



(22) Date de dépôt/Filing Date: 1991/02/12

(41) Mise à la disp. pub./Open to Public Insp.: 1991/08/21

(45) Date de délivrance/Issue Date: 2001/08/14

(30) Priorité/Priority: 1990/02/20 (9003762.3) GB

(51) Cl.Int.⁵/Int.Cl.⁵ C23C 30/00, C25D 3/62, C25D 3/48

(72) Inventeurs/Inventors:

Hendriks, Jan J. M., NL;
Somers, Gerardus A., NL;
van der Steen, Henrica M. H., NL

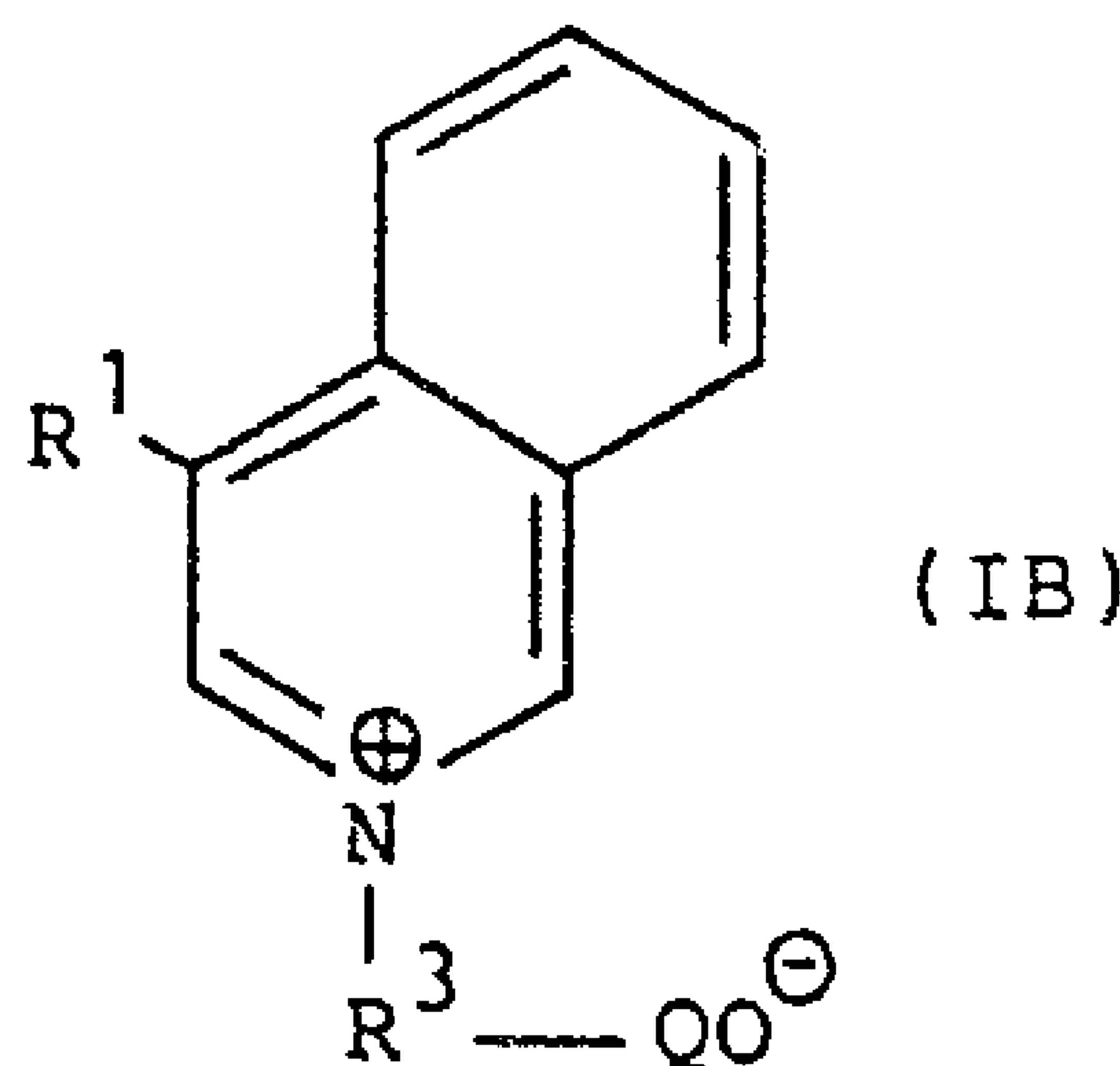
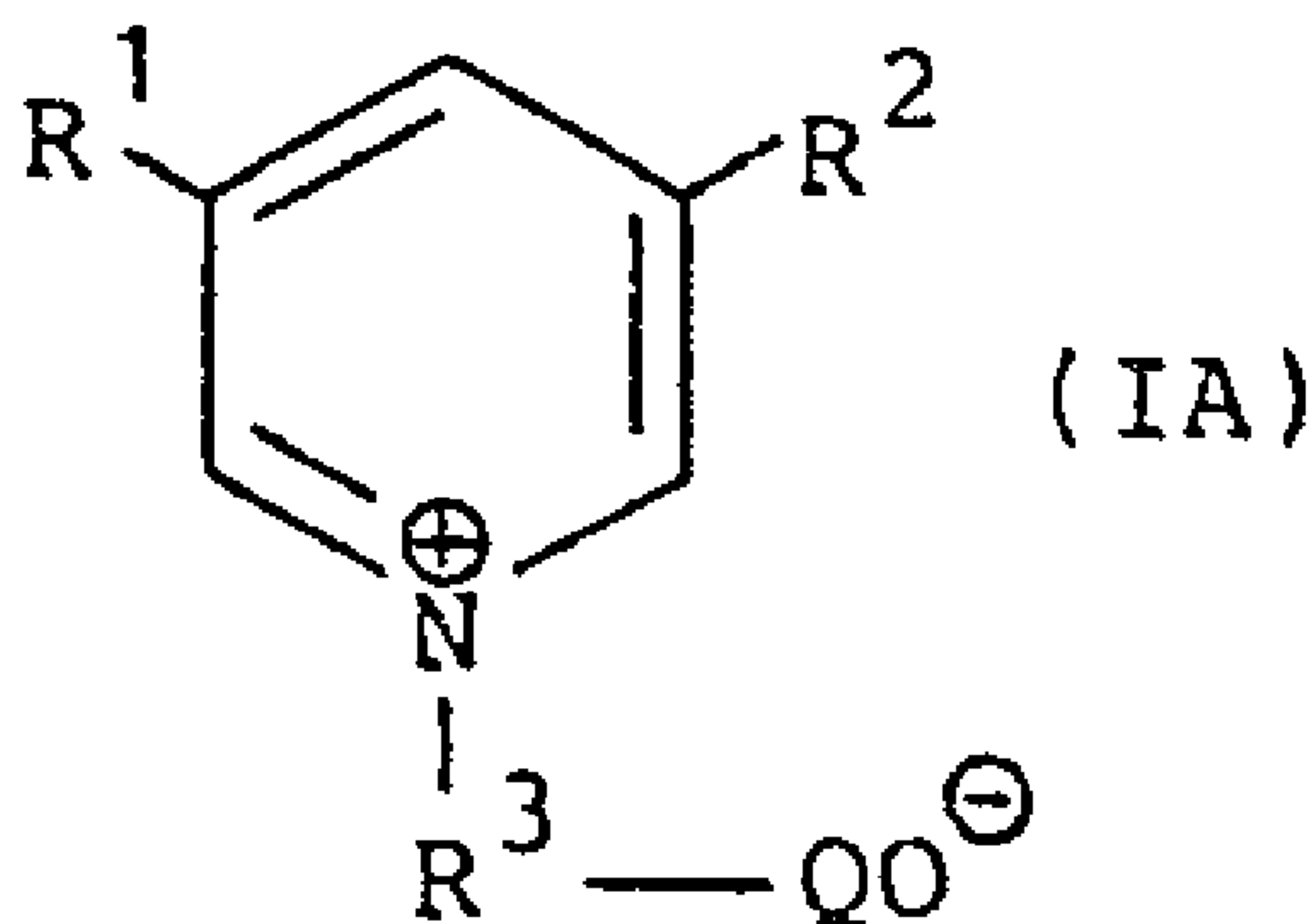
(73) Propriétaires/Owners:

Hendriks, Jan J. M., NL;
Somers, Gerardus A., NL;
van der Steen, Henrica M. H., NL

(74) Agent: SWABEY OGILVY RENAULT

(54) Titre : COMPOSITIONS ET PROCEDES DE PLACAGE

(54) Title: PLATING COMPOSITIONS AND PROCESSES



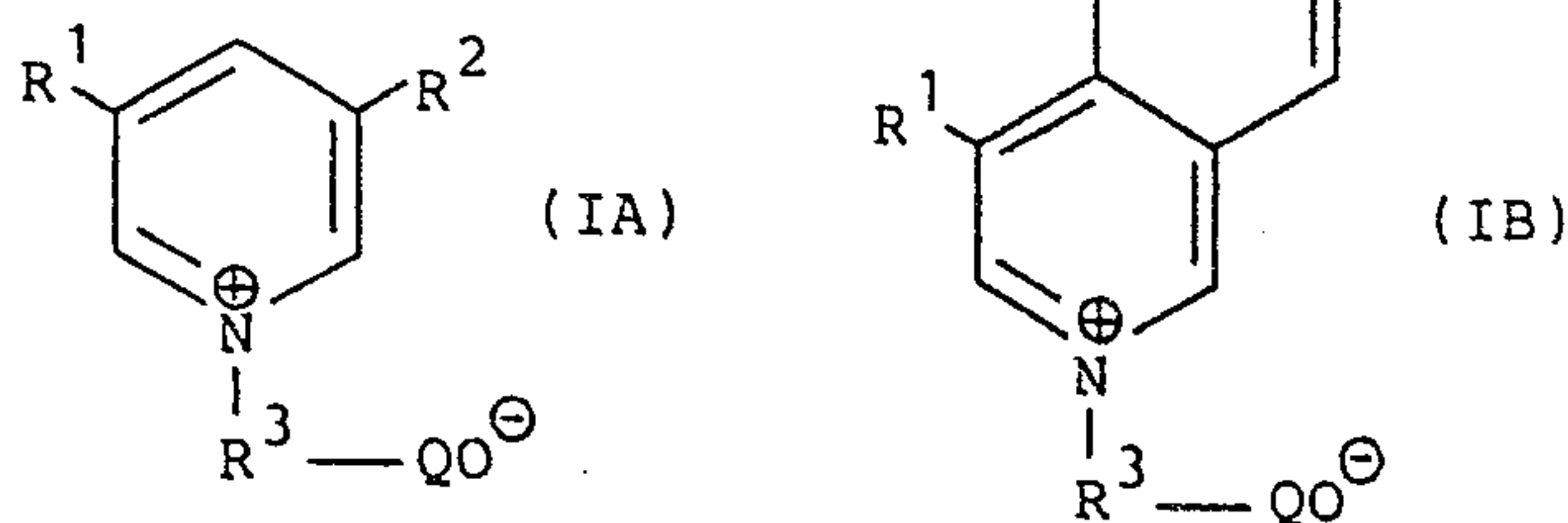
(57) Abrégé/Abstract:

A gold or gold alloy plating composition comprises: a source of gold ions such as potassium gold (I) cyanide; optionally a source of alloying metal (eg nickel or cobalt) ions, for example as a sulphate; optionally a complexing agent for the alloying metal ions if present, such as citric acid or oxalic acid; and a rate promoting additive compound of general formula IA or IB: (see formula IA) (see formula IB) wherein: each of R¹ and R² independently represents a hydrogen or halogen atom or a formyl, carbamoyl, C₁₋₄ alkyl, amino, phenyl or benzyl group, wherein the alkyl, phenyl and benzyl moieties may optionally be substituted with one or more hydroxy or amino groups or halogen atoms; R³ represents a C₁₋₆ alkylene radical which may optionally be hydroxylated; and Q represents -SO₂- or -CO-. The rate promoter extends the plating current density range of the composition, particularly by reducing or preventing burn at high current densities, and gives a net increase in achievable plating speed for bright deposition.

ABSTRACT

PLATING COMPOSITIONS AND PROCESSES

A gold or gold alloy plating composition comprises: a source of gold ions such as potassium gold (I) cyanide; optionally a source of alloying metal (eg nickel or cobalt) ions, for example as a sulphate; optionally a complexing agent for the alloying metal ions if present, such as citric acid or oxalic acid; and a rate promoting additive compound of general formula IA or IB:



wherein:

each of R^1 and R^2 independently represents a hydrogen or halogen atom or a formyl, carbamoyl, C_{1-4} alkyl, amino, phenyl or benzyl group, wherein the alkyl, phenyl and benzyl moieties may optionally be substituted with one or more hydroxy or amino groups or halogen atoms;

R^3 represents a C_{1-6} alkylene radical which may optionally be hydroxylated; and

Q represents $-\text{SO}_2-$ or $-\text{CO}-$.

The rate promoter extends the plating current density range of the composition, particularly by reducing or preventing burn at high current densities, and gives a net increase in achievable plating speed for bright deposition.

PLATING COMPOSITIONS AND PROCESSES

1
2
3 This invention relates to gold or gold alloy plating
4 compositions and processes as well as articles plated
5 thereby. In particular, the invention relates to gold
6 or gold alloy plating compositions containing one or
7 more additives which function as rate promoters. Rate
8 promoters are desirable to extend the plating current
9 density range of the composition, particularly by
10 reducing or preventing burn at high current densities,
11 and to give a net increase in achievable plating speed
12 for bright deposition.
13
14 Gold is electroplated for a variety of functional and
15 decorative uses, and the hardness of the plate can be
16 increased by incorporating a base metal alloy metal in
17 the deposit. Typical alloying metals include cobalt,
18 nickel, iron and sodium. Certain rate promoters are
19 known in gold alloy plating compositions, as is
20 apparent from the following few paragraphs.
21
22 US-A-4069113 discloses gold alloy electroplating baths
23 containing aluminium ions and formic acid as rate
24 promoting additives.
25
26 US-A-4615774 discloses gold alloy electroplating
27 compositions in which higher plating speeds are
28 obtained by avoiding the use of citrates.
29
30 US-A-4670107 discloses gold alloy electroplating
31 compositions said to achieve rapid plating speeds and
32 including formic acid and a phosphonic acid chelating
33 agent.

1 US-A-4744871 discloses gold alloy plating compositions
2 containing combinations of certain low molecular weight
3 monocarboxylic and dicarboxylic acids, which are said
4 to permit the use of high current densities.
5

6 EP-A-0150439 discloses gold alloy electroplating baths
7 containing rate promoters which are substituted
8 pyridine compounds, particularly pyridine carboxylic
9 acids, pyridine sulphonic acids, pyridine thiols and
10 their derivatives, or quinoline derivatives.
11

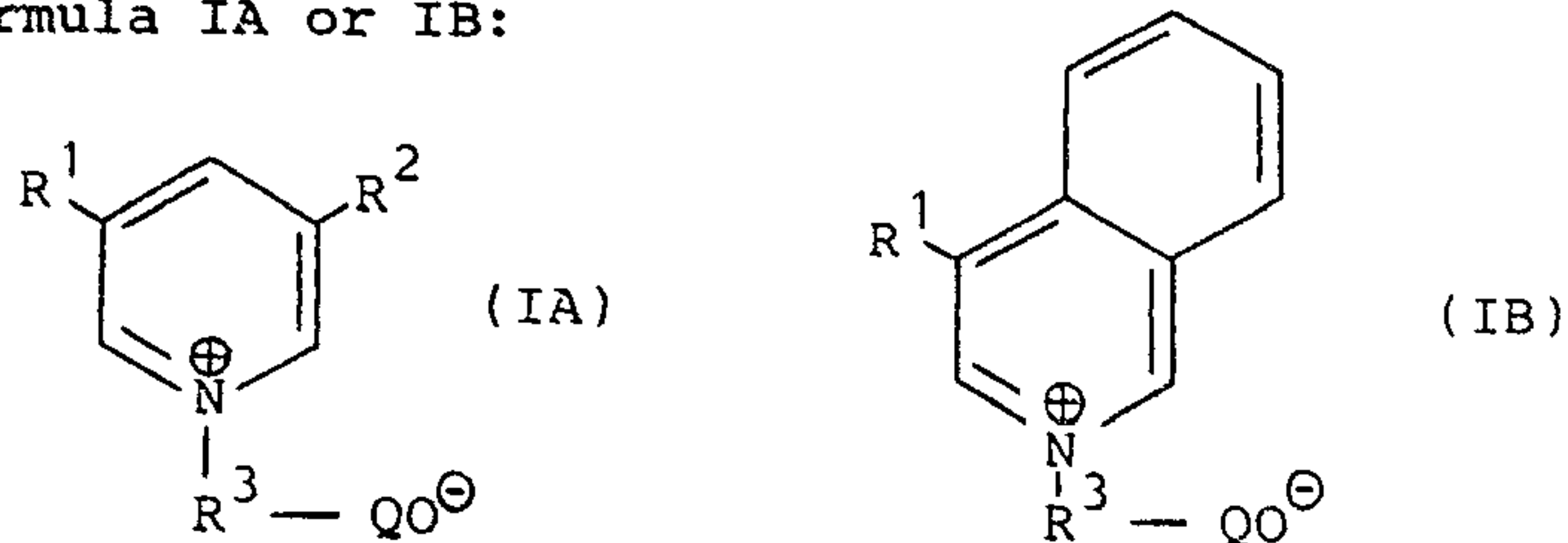
12 US-A-3929595 discloses pyridine-3-sulphonic acids,
13 picoline sulphonic acids and quinoline sulphonic acids
14 as additives for gold and gold alloy electroplating
15 baths.
16

17 EP-A-0188386 discloses gold alloy electroplating baths
18 including rate promoting additives which are pyridine
19 or piperazine derivatives and which are favourably
20 compared to pyridine-3-sulphonic acid.
21

22 The current invention seeks to provide gold or gold
23 alloy plating compositions containing effective rate
24 promoters which are distinct from and an improvement on
25 those previously proposed. It has been discovered that
26 excellent rate promotion can be had by incorporation
27 into gold alloy plating compositions one or more
28 pyridine or isoquinoline betaines, which give
29 favourable results when compared to, for example,
30 pyridine-3-sulphonic acid.
31

32 According to a first aspect of the present invention,
33 there is provided a gold or gold alloy plating

composition comprising: a source of gold ions; optionally a source of alloying metal ions; optionally a complexing agent for the alloying metal ions if present; and at least one additive compound of general formula IA or IB:



wherein:

each of R^1 and R^2 independently represents a hydrogen or halogen atom or a formyl, carbamoyl, C_{1-4} alkyl, amino, phenyl or benzyl group, wherein the alkyl, phenyl and benzyl moieties may optionally be substituted with one or more hydroxy or amino groups or halogen atoms;

R^3 represents a C_{1-6} alkylene radical which may optionally be hydroxylated; and

Q represents $-SO_2-$ or $-CO-$.

The source of gold ions will generally be bath soluble and is preferably a gold (I) salt, which could for example be an alkali metal gold (I) cyanide or ammonium gold (I) cyanide. The gold may be present in an amount of from 1 to 30 g/l, preferably from 2 to 20 g/l, for example from 4 to 12 g/l.

1 The alloying metal ions if present may be any suitable
2 alloy metal. Alloying metal ions typically used
3 include nickel, cobalt and iron, although iron is less
4 preferred because it has a tendency to give brittle
5 deposits. Nickel is the most preferred alloying metal,
6 as the improvements seen by virtue of the additives of
7 the invention are particularly notable. The source of
8 alloying metal ions will generally be bath soluble and
9 can comprise any bath soluble and compatible salt of
10 the alloying metal. Sulphates are particularly
11 suitable salts and are preferred. The alloying metal
12 may be present in an amount of from 0 to 20 g/l,
13 preferably from 0.05 or 0.5 to 5 g/l, for example from
14 1 to 3 g/l.

15
16 Gold alloy plating compositions in accordance with the
17 invention can comprise one or more complexing agents
18 for the alloying metal ions. The nature of the
19 complexing agent is not believed to be critical, and so
20 any suitable complexing agent in appropriate amounts
21 can be used. Weak organic acids such as citrate and
22 oxalate may be used, as may DEQUEST compositions. (The
23 word DEQUEST is a trade mark.) If one or more weak
24 organic acids are used as complexing agents, as is
25 preferred, they can also serve the additional function
26 of buffering the aqueous plating composition.
27 Therefore, compounds which would have the capability of
28 complexing an alloying metal ion may be present in a
29 pure gold plating bath in which no appreciable amount
30 of alloying ions are present. It is to be understood
31 that throughout this specification reference to a weak
32 organic acid and its anion are used interchangeably;
33 the nature of the species present will depend on the pH

1 of the bath. Citric acid is a useful complexing agent,
2 as is oxalic acid, which can be used in conjunction
3 with malic acid. The concentration of the complexing
4 agent may range from 0.1M to 2M, for example 0.2M to
5 1.5M, typically from 0.5M to 1.1M.

6
7 The additive compound is a pyridine betaine or
8 isoquinoline betaine of general formula IA or IB, as
9 given above. It is preferred for at least one of the
10 substituents R^1 and R^2 in general formula IA (the
11 pyridine betaines) to be hydrogen and for the
12 substituent R^1 in general formula IB (the isoquinoline
13 betaines) to be hydrogen. In general formula IA, at
14 least one of R^1 and R^2 may be carbamoyl or, preferably,
15 formyl.

16
17 R^3 preferably represents a C_{1-4} alkylene moiety, such
18 as ethylene or propylene. The alkylene moiety can be
19 hydroxylated; for example a 2-hydroxy propylene radical
20 is particularly preferred.

21
22 It is preferred that Q represents SO_2 , so that the
23 additive compounds are betaine sulphonates rather than
24 betaine carboxylates. Among the most preferred
25 compounds are:

26
27 1-(3-sulphopropyl)-pyridinium betaine;
28 1-(2-hydroxy-3-sulphopropyl)-pyridinium betaine;
29 3-formyl-1-(3-sulphopropyl)-pyridinium betaine;
30 3-carbamoyl-1-(3-sulphopropyl)pyridinium betaine;
31 1-(2-sulphoethyl)-pyridinium betaine; and
32 1-(3-sulphopropyl)-isoquinolinium betaine,
33

1 all of which are available commercially.

2

3 The additive compound may be present in compositions of
4 the invention in an amount of from 0.05 or 0.1 to
5 10 g/l, typically 0.5 to 5 g/l, for example 1 to 3 g/l.

6

7 A pH adjusting agent, for example potassium hydroxide
8 or another alkali metal hydroxide, may be present in
9 the bath, preferably in an amount which will provide a
10 final bath pH of from 3.2 to 5.5, more particularly
11 from 3.9 to 4.9. As mentioned above, a buffering
12 system may be present to assist in the stabilisation of
13 the pH, and a citric acid/alkaline metal citrate system
14 works efficiently in this respect. Any other
15 appropriate buffering system may be present if desired.

16

17 Although it is not necessary for the bath to contain
18 any further ingredients, other additives may be used to
19 modify and/or further improve brightness, ductility,
20 grain refinement and the like. Components for these
21 and other purposes, as may be conventional in the art,
22 may be added in accordance with known practice. In
23 doing so, however, the components added should be
24 compatible with the other bath components and not have
25 any adverse effects on the bath or its operation.

26

27 According to a second aspect of the invention, there is
28 provided a process for electrodepositing a gold or gold
29 alloy plate on a substrate, the process comprising
30 contacting a substrate as a cathode in an aqueous
31 composition in accordance with the first aspect and
32 passing current between the cathode and an anode in the
33 composition.

1 The composition may be operated at a temperature of
2 from 20°C to 80°C, preferably from 30° to 70°C, for
3 example from 35° to 60°C, during plating.

4

5 The substrate may be contacted with the composition in
6 any convenient manner. It will usually be most
7 convenient to immerse the substrate in a bath of the
8 aqueous composition, but this is not the only way in
9 which contact between the composition and the substrate
10 can be achieved; for example, spray plating or brush
11 plating may be appropriate or desirable in some
12 circumstances.

13

14 Whatever the method of contact between the composition
15 and the substrate, it is generally preferred to cause
16 the composition to be agitated so as to cause
17 turbulence in a plating bath. Agitation may be
18 achieved by any convenient means, and will usually be
19 dictated by the particular plating method used. The
20 invention can be used in barrel plating, rack plating,
21 controlled immersion plating and jet plating, and each
22 plating method has its own means for achieving
23 agitation.

24

25 The additives used in compositions of the present
26 invention enables higher current densities to be used,
27 or a lower concentration of gold to be used or a
28 combination of these two advantages. If maximising
29 current density is the main objective, barrel plating
30 may take place at 0.6 ASD or more, rack plating at 2 or
31 3 ASD or more, controlled immersion plating at 15 ASD
32 or more and jet plating at 100 ASD or more.

33

1 The plating time will be such as to achieve the desired
2 thickness of plate and will clearly be related to the
3 plating speed. The plating speed in turn will depend
4 on the current density. Plating speeds in the order of
5 10 to 20 μ m/min are readily achievable by means of the
6 present invention. Contact times between the substrate
7 and the plating composition may therefore vary from a
8 few seconds (for example 2 or 5 seconds) to several
9 minutes (for example from 5 to 10 minutes or more).
10 After plating the duly plated substrate is preferably
11 rinsed in softened or deionised water, particularly
12 when oxalate is used in the composition, so as to avoid
13 unwanted deposits of calcium oxalate or other salts.
14
15 According to a third aspect of the present invention,
16 there is provided a substrate which has been plated by
17 means of a composition and/or following a process as
18 described above. The thickness of the gold or gold
19 alloy plate on the substrate may be at least 1 μ m. It
20 should be noted that the present invention also has
21 application to electroforming, and so the original
22 substrate may be removed after a suitable thickness of
23 plate has been built up. Plating may continue after
24 removal of the forming substrate.
25
26 Other preferred features of the second and third
27 aspects are as for the first aspect mutatis mutandis.
28
29 For a better understanding of the invention, the
30 following non-limiting examples are given and are to be
31 contrasted with the comparison examples.
32
33

1 Comparison Example 1

2

3 A bath having the following composition was made up:

4

5 DL-Malic acid	95 g/l
6 Oxalic acid	37.0 g/l
7 Gold (as gold (I) potassium cyanide)	8 g/l
8 Nickel (as nickel sulphate)	1.0 g/l
9 Potassium hydroxide	to pH 4.2
10 Distilled water	to 1 litre

11

12 The bath formulated as above was placed in a laboratory
13 scale turbulent agitation plating system. Electrolyte
14 was pumped through two pipes into a one litre beaker
15 and was directed through holes in the pipes onto the
16 substrate, which was immersed as the cathode in the
17 beaker. Electrolyte solution was pumped away through a
18 third pipe in the beaker. The cathode is located
19 between the two supply pipes and anodes are placed
20 around the supply pipe at such a position that they do
21 not disturb the solution flow.

22

23 The solution is heated to and kept at a temperature of
24 45°C and pumped around the system at a flow rate of
25 2 l/min (which flow rate is measured with water at room
26 temperature).

27

28 This bath operated at an ultimate acceptable current
29 density of 4 ASD. A fully bright 1.5µm deposit was
30 achieved at a plating speed of 1.5µm/min. The plating
31 efficiency was 65mg/A.min. For comparison purposes, an
32 acceptability rating of 0 was assigned to the bath.
33 The acceptability rating is primarily based on plating
34 efficiency and the ability to withstand burn at high
35 current density areas.

1 Example 1

2

3 The procedure of Comparative Example 1 was repeated,
4 but with the addition of 2.0g of
5 1-(3-sulphopropyl)-pyridinium betaine (available from
6 Raschig GmbH, Ludwigshafen, Germany) in the plating
7 composition. The current density used in this bath was
8 15 ASD at which fully bright deposits of 1.5 μ m were
9 achieved with a plating speed of 2.7 μ m/min,
10 representing a significant advancement over Comparative
11 Example 1. The plating efficiency was 31mg/A.min. At
12 4 ASD the speed was 1.3 μ m/min, which represents a
13 plating efficiency of 55mg/A.min. The bath was awarded
14 an acceptability rating of 10.

15

16 Example 2

17

18 The procedure of Comparative Example 1 was repeated,
19 but with the addition of 1.5g/l of 1-(3-sulphopropyl)-
20 isoquinolinium betaine (Raschig) in the plating
21 composition. The maximum current density usable in
22 this bath was 10 ASD at which fully bright deposits of
23 1.5 μ m were achieved at a maximum plating speed of
24 2.0 μ m/min. The plating efficiency was 33 mg/A.min.
25 The bath was awarded an acceptability rating of 8.

26

27 Example 3

28

29 The procedure of Comparative Example 1 was repeated,
30 but with the addition of 2g/l of
31 3-formyl-1-(3-sulphopropyl) pyridinium betaine
32 (Raschig) in the plating composition. The maximum
33 current density usable in this bath was 15 ASD at which

1 fully bright deposits of $1.5\mu\text{m}$ were achieved at a
2 maximum plating speed of $2.0\mu\text{m}/\text{min}$. The plating
3 efficiency was 23 mg/A.min. The bath was awarded an
4 acceptability rating of 8.

5

6 Example 4

7

8 The procedure of Comparative Example 1 was repeated,
9 but with the addition of 2 g/l of
10 1-(2-hydroxy-3-sulphopropyl) pyridinium betaine
11 (Raschig) in the plating composition. The maximum
12 current density usable in this bath was 11 ASD at which
13 fully bright deposits of $1.5\mu\text{m}$ were achieved at a
14 maximum plating speed of $2.5\mu\text{m}/\text{min}$. The plating
15 efficiency was 37 mg/A.min. The bath was awarded an
16 acceptability rating of 9.

17

18 Example 5

19

20 The procedure of Comparative Example 1 was repeated,
21 but with the addition of 1g/l of 1-(2-sulphoethyl)
22 pyridinium betaine (BASF) in the plating composition.
23 The maximum current density usable in this bath was
24 12 ASD at which fully bright deposits of $1.5\mu\text{m}$ were
25 achieved at a maximum plating speed of $2.3\mu\text{m}/\text{min}$. The
26 plating efficiency was 33 mg/A.min. The bath was
27 awarded an acceptability rating of 9.

28

29 Comparative Example 2

30

31 The procedure of Comparative Example 1 was repeated,
32 but with the addition of 1g/l of pyridine-3-sulphonic
33 acid (as in US-A-3929595) in the plating composition.

1 The maximum current density usable in this bath was
2 only 7 ASD at which fully bright deposits of $1.5\mu\text{m}$ were
3 achieved at a maximum plating speed of $2.1\mu\text{m}/\text{min}$. The
4 plating efficiency was 52 mg/A.min. The bath was
5 awarded an acceptability rating of 6.

6

7 Comparative Example 3

8

9 The procedure of Comparative Example 1 was repeated,
10 but with the addition of 1 g/l of
11 pyridine-4-ethanesulphonic acid in the plating
12 composition. The maximum current density usable in
13 this bath was only 7 ASD at which fully bright deposits
14 of $1.5\mu\text{m}$ were achieved at a maximum plating speed of
15 $2.0\mu\text{m}/\text{min}$. The plating efficiency was 50 mg/A.min.
16 The bath was awarded an acceptability rating of 6.

17

18 Comparative Example 4

19

20 A bath having the following composition was made up.

21

22 Potassium citrate	50 g/l
23 Citric acid	70 g/l
24 Potassium oxalate	50 g/l
25 Nickel (as nickel sulphate)	1 g/l
26 Gold (as potassium gold (I) cyanide)	8 g/l
27 Potassium hydroxide	to pH 4.2
28 Distilled water	to 1 litre

29

30 A substrate was plated under the same conditions as
31 described in Comparative Example 1. The maximum
32 current density used in this bath was 4 ASD, at which
33 burnt deposits of $1.5\mu\text{m}$ were achieved at a plating

1 speed of 1.8 μ m/min. The plating efficiency was
2 80mg/A.min. The bath was awarded an acceptability
3 rating of 0.

4
5 Example 6

6
7 The procedure of Comparative Example 4 was repeated,
8 but with the addition of 7 g/l 1-(3-sulphopropyl)-
9 pyridinium betaine (Raschig) in the plating
10 composition. The maximum current density usable in
11 this bath was 10 ASD, at which fully bright deposits of
12 1.5 μ m were achieved at a maximum plating speed of
13 2.3 μ m/min. The plating efficiency was 40 mg/A.min.
14 The bath was awarded an acceptability rating of 9.

15
16 Comparative Example 5

17
18 A bath having the following composition was made up:

19

20 Citric acid	110 g/l
21 Potassium citrate	90 g/l
22 DEQUEST 2010	50 ml/l
23 Cobalt (as cobalt sulphate)	1 g/l
24 Gold (as potassium gold (I) cyanide)	8 g/l
25 Potassium hydroxide	to pH 4.0

26

27 A substrate was plated under the same conditions as
28 described in Comparative Example 1. The maximum
29 current density used in this bath was 8 ASD, at which
30 acceptable deposits of 1.5 μ m were achieved at a maximum
31 plating speed of 2.3 μ m/min. The plating efficiency was
32 50 mg/A.min. The bath was awarded an acceptability
33 rating of 6.

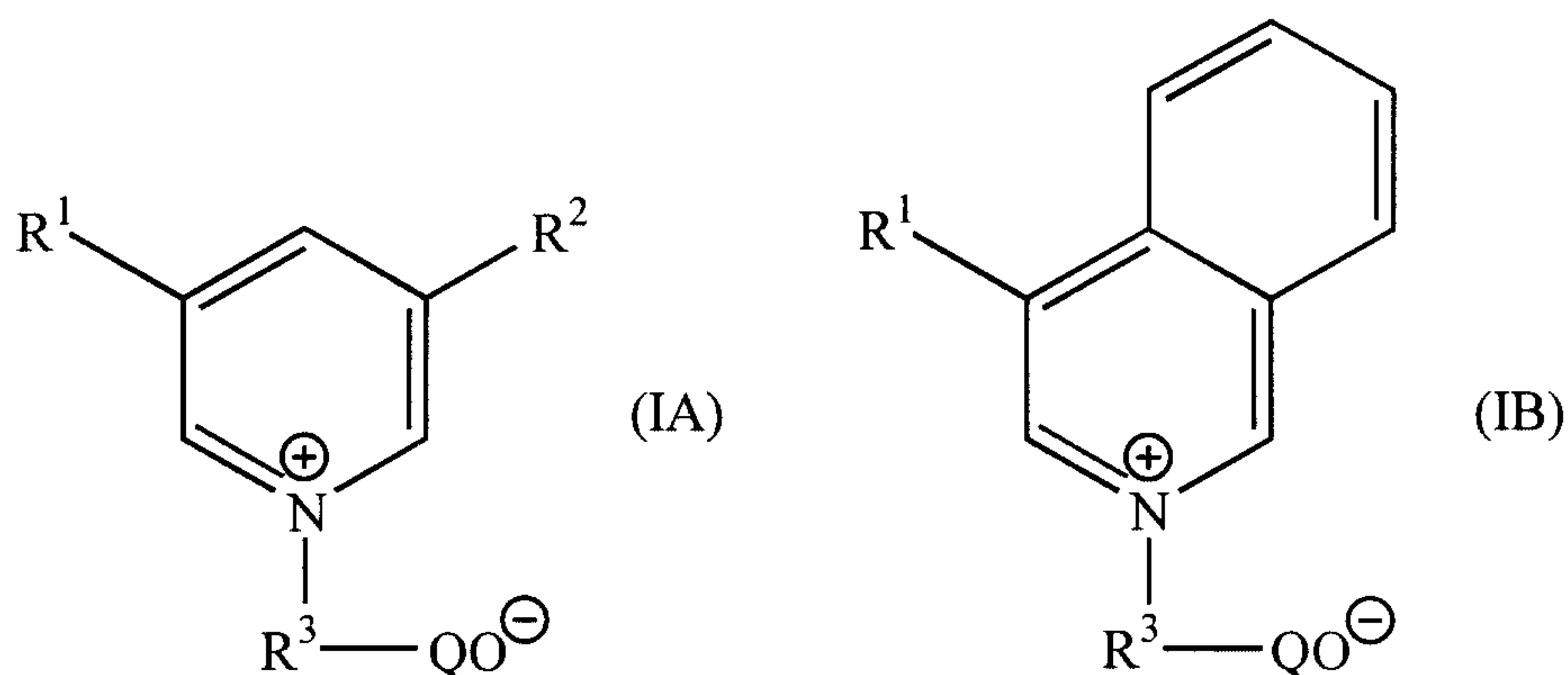
1 Example 7

2

3 The procedure of Comparative Example 5 was repeated but
4 with the addition of 1g/l 1-(3-sulphopropyl)-pyridinium
5 betaine (Raschig) in the plating composition. The
6 maximum current density usable in this bath was 13 ASD,
7 at which fully bright deposits of 1.5 μ m were achieved
8 at a maximum plating speed of 3.0 μ m/min. the plating
9 efficiency was 41 mg/A.min. The bath was awarded an
10 acceptability rating of 10.

The embodiments of the invention, in which an exclusive property or privilege is claimed are defined as follows:

1. A gold or gold alloy plating composition comprising a source of gold ions and at least one additive compound of general formula IA or IB:



wherein:

each of R^1 and R^2 independently represents a hydrogen or halogen atom or a formyl, carbamoyl, C_{1-4} alkyl, amino, phenyl or benzyl group, wherein the alkyl, phenyl and benzyl moieties are unsubstituted or substituted with at least one hydroxy or amino group or halogen atom;

R^3 represents a C_{1-6} alkylene radical; and

Q represents $-SO_2-$ or $-CO-$.

2. A composition as claimed in claim 1, wherein the source of gold ions is a gold (I) salt.
3. A composition as claimed in claim 1 or 2, wherein the gold is present in an amount of from 2 to 20 g/l.
4. A composition as claimed in claim 1, 2 or 3, further including a source of alloying metal ions and a complexing agent for the alloying metal ions.

5. A composition as claimed in claim 4, wherein the alloying metal ions comprise nickel, cobalt and/or iron.
6. A composition as claimed in claim 5, wherein the alloying metal ions comprise nickel.
7. A composition as claimed in claim 4, wherein the source of alloying metal ions comprises a sulphate of the alloying metal.
8. A composition as claimed in any one of claims 4 to 7, wherein the alloying metal is present in an amount of from 0.05 to 5 g/l.
9. A composition as claimed in any one of claims 4 to 8, wherein the complexing agent comprises citric acid or oxalic acid.
10. A composition as claimed in any one of claims 1 to 9, wherein the additive agent is present in an amount of from 0.05 to 10 g/l.
11. A composition as claimed in any one of claims 1 to 10, wherein in general formula IA at least one of the substituents R^1 and R^2 is hydrogen.
12. A composition as claimed in any one of claims 1 to 11, wherein in general formula IA at least one of the substituents R^1 and R^2 is carbamoyl or formyl.
13. A composition as claimed in any one of claims 1 to 12, wherein in general formula IB the substituent R^1 is hydrogen.

14. A composition as claimed in any one of claims 1 to 13, wherein R^3 represents a C_{1-6} alkylene radical which is hydroxylated.

15. A composition as claimed in any one of claims 1 to 13, wherein R^3 represents an ethylene or propylene radical.

16. A composition as claimed in any one of claims 1 to 15, wherein Q represents SO_2 .

17. A composition as claimed in any one of claims 1 to 16, wherein the additive compound is one or more of:

- 1-(3-sulphopropyl)-pyridinium betaine;
- 1-(2-hydroxy-3-sulphopropyl)-pyridinium betaine;
- 3-formyl-1-(3-sulphopropyl)-pyridinium betaine;
- 3-carbamoyl-1-(3-sulphopropyl)pyridinium betaine;
- 1-(2-sulphoethyl)-pyridinium betaine; and
- 1-(3-sulphopropyl)-isoquinolinium betaine.

18. A composition as claimed in any one of claims 1 to 17, having a pH of from 3.9 to 4.9.

19. A process for electrodepositing a gold or gold alloy plate on a substrate, the process comprising contacting a substrate as a cathode in an aqueous composition as claimed in any one of claims 1 to 18, and passing current between the cathode and an anode in the composition.

20. A process as claimed in claim 19, which is operated at from 30° to $70^\circ C$ during plating.

21. A substrate which has been plated by means of a composition as claimed in any one of claims 1 to 18 and/or following a process as claimed in claim 19 or 20.

