(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) **International Patent Classification:**
G01N 33/574 (2006.0 1)   *C12Q 1/68* (2006.0 1)

(21) **International Application Number:**
PCT/EP201 1/057691

(22) **International Filing Date:**
12 May 201 1 (12.05.201 1)

(25) **Filing Language:** English

(26) **Publication Language:** English

(30) **Priority Data:**
61/334,3 18   13 May 2010 (13.05.2010)   US
10162783.4   13 May 2010 (13.05.2010)   EP

(71) **Applicants** *(for all designated States except US):* **UNI-VERSITÄT ZÜRICH** [CH/CH]; Ramistrasse 71, CH-8006 Zurich (CH). **ETH ZÜRICH** [CH/CH]; Ramistr. 101, CH-8092 Zurich (CH). **PAREQ AG** [DE/DE]; Konigsallee 90, 40212 Dusseldorf (DE).

(72) **Inventors; and**

(75) **Inventors/ Applicants** *(for US only):* **BELEUT, Manfred** [DE/CH]; Neuwiesenstr. 47, CH-8400 Winterthur (CH). **SCHRAML, Peter** [DE/CH]; Clarahofweg 11, CH-4058 Basel (CH). **MOCH, Holger** [DE/CH]; Wehntalerstr. 73, CH-8057 Zurich (CH). **BAUDIS, Michael** [DE/CH]; Ekkehardstr. 17, CH-8006 Zurich (CH). **ZIMMER-MANN, Philip** [CH/CH]; Bettlachstr. 153a, CH-2540 Grenchen (CH). **GRUISSEM, Wilhelm** [DE/CH]; Auwisstr. 7, CH-Forch 8127 (CH). **HENCO, Karsten** [DE/DE]; Am Tiefenberg 27, 40629 Dusseldorf (DE).

(74) **Agent: BÜHLER, Dirk;** Maiwald Patentanwalts GmbH, Elisenhof, Elisenstr. 3, 80335 Munich (DE).

(81) **Designated States** *(unless otherwise indicated, for every kind of national protection available):* AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) **Designated States** *(unless otherwise indicated, for every kind of regional protection available):* ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ,

[Continued on next page]

(54) **Title:** DISCRETE STATES FOR USE AS BIOMARKERS

Fig. 7
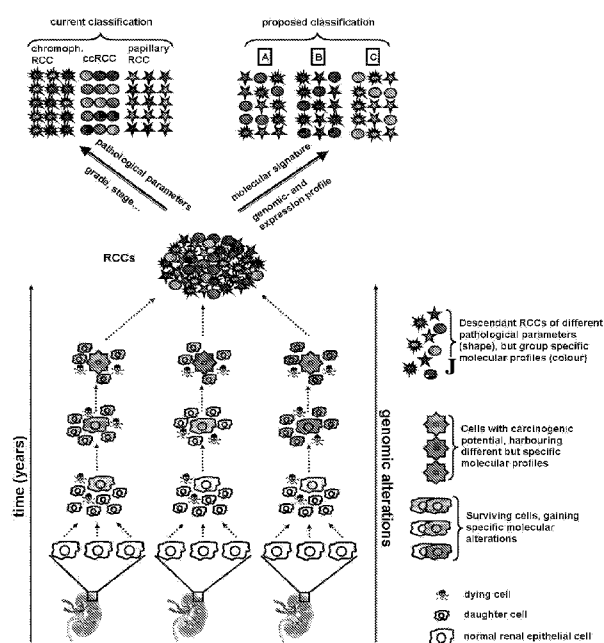
(57) **Abstract:** The present invention describes the use of discrete states and signatures for classifying samples.

WO 2011/141544 A1

w o 2011/141544 A i |||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||||

TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

DISCRETE STATES FOR USE AS BIOMARKERS

BACKGROUND OF THE INVENTION

Before the advent of molecular biology and medicine, diseases have largely been classified on the basis of their phenotypic characteristics. This, of course, means that a disease can only be diagnosed when phenotypic characteristics become apparent which may occur at a rather late stage of disease development. Further, it is nowadays understood that similar phenotypes may result from different molecular mechanisms. A strictly phenotype-based therapy may therefore be useless if the therapeutic approach taken does not address the right underlying mechanism.

As an example, breast cancer may develop by different molecular mechanisms which lead to the same appearance in terms of tumor formation. One such mechanism will involve up-regulation of Her2 while others will not. Therapy with the antibody Herceptin® which addresses overexpression of Her2 will therefore only help patients which are afflicted correspondingly. If one does not understand at least to some degree the molecular mechanisms underlying a disease, a chosen therapy may not prove effective.

Molecular biology and medicine therefore aim at deciphering the molecular basis of disease development. A better understanding of the molecular basis of a disease will help detecting imminent or ongoing disease development early on and will allow medical practitioners adjusting their therapy early on or developing alternative treatment approaches. For example, if one knows that Herceptin® will be effective only in a specific group of patients, one can pre-select these patients and treat them accordingly. Further, if one realizes that different diseases result at least to some degree from the same mechanism, one can consider a drug, which has originally been developed for one disease only also for treatment of the other diseases. This, of course, requires that molecular markers, which are frequently designated as

- 2 -

biomarkers, are at hand being characteristic for the disease in question and relating to relevant mechanisms, relevant clinical endpoints and relevant criteria to select proper treatment. Such markers may be found on the DNA, the RNA or the protein level.

5   In the case of monogenetic diseases, using molecular markers as a diagnostic tool is relatively straightforward as one can use the aberration on the DNA level to predict whether the disease will develop with a certain probability or not. For example, tri-nucleotide expansions on the DNA level may be used to predict whether an individual will develop Huntington Chorea. Similarly, mutations in the *Survival of*
10   *Motor Neurons* gene can be used to predict whether an individual will develop Spinal Muscular Atrophy.

Since the beginning of molecular understanding of tumor diseases there is a desire to define molecular markers associated with tumorigenesis, malignancy, progression,
15   metastasis formation, responsiveness to treatment, survival times and other functional properties important for clinicians and for the development of efficient therapies. A number of useful markers were identified, first of all pathological markers for the inspection of samples such as derived from tissue sections (large sections, fine needle biopsies), , body fluids, smears (blood, feces, sputum, urine) or
20   hair samples. A number of markers got identified such as markers of inflammation or ongoing apoptosis, markers of metabolic properties or molecular markers derived from mechanistic understanding of tumor induction, induced by deregulated balances between oncogenes such as Ras, Myc, CDKs and tumor suppressor genes such as p16, p27 or p53 (see e.g. Hanahan & Weinberg in "The Hallmarks of Cancer"
25   (2000).

Specific understanding of tumor development mechanisms such as uncontrolled cellular growth, senescence and apoptosis evasion, such as extravasation, invasion, and evasion of immune responses have further accentuated the tumor suppressor
30   gene hypothesis.

- 3 -

However, the vast majority of diseases such as hyper-proliferative disease including cancers does not result from mono-genetic causes but are due to aberrant complex molecular interactions.

Cancer, for example, is considered as a prime example for multi-factorial diseases which arise from subtle to severe deregulation of complex molecular networks. In most cases, these diseases do not develop from a single gene mutation but rather result from the accumulation from mutations in various genes. Each single mutation may not be sufficient in itself to start disease development. Rather, accumulation of mutations over time seems to increasingly deregulate the complex molecular signaling networks within cells. In these cases, disease development has therefore usually been considered to be a gradual continuous process which cannot be characterized by key events. As a consequence thereof, it is commonly assumed that such diseases cannot be diagnosed or classified by a single biomarker but by a group of markers which ideally would reflect in a simplified manner the complex molecular mechanisms underlying the disease.

Despite the large amount of molecular information available from many human cancers, current cancer research mainly still focuses on single, frequently altered chromosomal loci ideally harboring tumor type-specific biomarker candidates with drug target potential such as enhanced angiogenesis lead to the understanding of tumor promoting roles of the Her-receptor family and its ligands and related mutants. Some of those attempts indeed led to certain useful markers for the selection of tumor therapies (Herceptin® treatment for patients of amplified Her-2 receptors).

All these results mainly resulted from a maximum of expert knowledge. The general and common assumption is that tumors must be different from normal tissues due to above mentioned target expression. The majority of studies, often linked to pathologic parameters (such as tumor subtypes, grade or staging), therefore address

- 4 -

their focus on the investigation of single targets. Even though their role in certain pathways and their binding partners may become evident in appropriate cell lines or mouse models their specific role as part of an entire network remains unclear.

5 The human genome project together with all its spin-off projects such as analysis of individual genome varieties between individuals or just individual cells affected by a disease, analyses of respective transcriptomes, proteomes etc. were assumed to directly provide a large variety of useful biomarkers. Interestingly, most of these approaches have tried again to link the phenotypic differences observed for disease
10 with distinct molecular pathways.

There are e.g. a number of types and subtypes of diseases, obviously associated with some clearly differentiable markers on the level of e.g. organs such as lung cancer or prostate cancer or e.g. cell types. The common concept for identifying biomarkers is
15 to link such phenotypes to distinct combinations of biomarkers which then allow diagnosing the specific subtype of disease, which displays the respective phenotype. Such approaches, for example, try identifying distinct proteome expression patterns for small cell lung cancer tissues or non-small lung cancer tissues of afflicted patients vs. healthy individuals and to then use such expression patterns to diagnose patients
20 in the future. Interestingly, these approaches frequently do not look at linking clinically relevant parameters such as survival time with markers.
However, the wealth and complexity of data have hindered clear cut identification of such patterns to some extent.

25 There is thus a continuing need for tools allowing classification of diseases on the molecular level and provision of biomarkers which can be used for e.g. diagnostic purposes.

- 5 -

SUMMARY OF THE INVENTION

It is one objective of the present invention to provide new types of markers, which are suitable and specific for classifying diseases, preferably with clear correlation to clinically or pharmacologically relevant endpoints.

It is also an objective of the present invention to provide methods for detecting markers which are suitable and effective for classifying diseases, preferably with clear correlation to clinically or pharmacologically relevant endpoints.

These and other objectives as they will become apparent from the ensuing description are attained by the subject matter of the independent claims. The dependent claims relate to some of the preferred embodiments of the invention.

The present invention provides a strategic and direct approach to global and functional biomarkers of clinical relevance for essentially all kinds of tumors and potentially non-tumor diseases, too. With the present finding of tumors being associated with discrete stable or meta-stable states, one is now able to define methods allowing the skilled person to not only identify and prove the existence of such discrete states for any kind of tumor but to assign such states with descriptors and signatures associated with such states. In addition, the technology allows to identify a minimum of those descriptors which unequivocally identify and discriminate each such discrete state from alternative states in a given tumor cell sample.

The understanding of such states also allows identifying those descriptors with a large dynamic range for quantitative measurement and ease of experimental access.

The invention is thus based on the surprising finding that diseases can be characterized by discrete states, which reflect the underlying molecular mechanisms.

- 6 -

Interestingly, these discrete states are distinct from one another so that disease development does not seem to be characterized by a continuous process. Rather, a discrete state seems to be maintained until a certain threshold level is reached when a switch to another discrete state occurs. Further, it seems that the discrete states can

5   be linked to clinically and pharmacologically important parameters. However, they do not necessarily seem to coincide with standard histological classification schemes.

Each discrete state can be described by way of different signatures. A signature is a pattern reflecting the qualitative and/or quantitative appearance of at least one

10   descriptor. Preferably, a signature is a pattern reflecting the qualitative and/or quantitative appearance of multiple descriptors. Descriptors may in principle be any testable molecule, function, size, form or other parameter that can be linked to a cell. Descriptors may thus be e.g. genes or gene-associated molecules such as proteins and RNAs. The expression pattern of such molecules may define a signature.

15

These findings of the invention can be used for various diagnostic, prognostic and therapeutic purposes. They may also be used for research and development on and of new treatments for diseases such as hyper-proliferative diseases.

20   In one aspect, the invention thus relates to at least one discrete disease-specific state for use as a diagnostic and/or prognostic marker in classifying samples from patients, which are suspected of being afflicted by a disease such as a hyper-proliferative disease. The invention further relates to at least one discrete disease-specific state for use as a diagnostic and/or prognostic marker in classifying cell lines of a disease

25   such as a hyper-proliferative disease. The invention also relates to at least one discrete disease-specific state for use as a target for development, identification and/or screening of pharmaceutically active compounds.

As discrete disease specific states may be determined by signatures, the invention in

30   one embodiment relates to at least one signature for use as a diagnostic and/or

- 7 -

prognostic marker in classifying samples from patients which are suspected to be afflicted by a disease such as a hyper-proliferative disease. The invention also relates to at least one signature for use as a diagnostic and/or prognostic marker in classifying cell lines of a disease such as a hyper-proliferative disease. The invention

5   further relates to at least one signature for use as a read out of a target for development, identification and/or screening of pharmaceutically active compounds.

In some embodiments, the invention relates to methods of diagnosing a disease such as a hyper-proliferative disease by making use of signatures and discrete disease-

10   specific states.

The invention also relates to methods of determining the responsiveness of a test population suffering from a disease such as a hyper-proliferative disease towards a pharmaceutically active agent by making use of signatures and discrete disease-

15   specific states.

Further, the invention relates to methods of predicting the responsiveness of patients suffering from a disease such as a hyper-proliferative disease in clinical trials towards a pharmaceutically active agent by making use of signatures and discrete

20   disease-specific states.

The invention also relates to methods of determining the effects of a potential pharmaceutically active compound by making use of signatures and discrete disease-specific states.

25

Aside from the specific uses of discrete disease specific states and signatures, the invention also relates to methods for identifying signatures and discrete disease specific states in samples which may be derived from patients or which may e.g. be cell lines.

30

- 8 -

All of these embodiments of the invention can be used in the context of diseases including hyper-proliferative diseases such as cancer and preferably in the context of renal cell carcinoma.

5   **DESCRIPTION OF THE FIGURES**

Figure 1   A) Regional genomic CNAs in RCC shown as percentage of analyzed cases. Imbalance frequencies are shown as percentages on -50 to 50 scale for chromosomes 1 to 22 (every second chromosome is indicated for orientation). Upper
10   panel: depiction of the overall CNAs in the 45 study cases, genomic gains are depicted above the zero line, genomic losses are depicted below the zero line. Lower Panel: published chromosomal and array CGH RCC data accessible through the Progenetix database (472 cases). CNVs were not filtered from the study case data besides application of a lOOkb size limit. Genomic gains are depicted above the zero
15   line, genomic losses are depicted below the zero line. B) The PANTHER classification output matches 557 genes previously identified by SNP to 76 superior biological processes. The 4 dominating "networks" are numbered. The Y-axis indicates the number of genes found for a network on a scale of 0 to 38. Note: To increase matching efficacy, the initial 769-gene list was simultaneously run against
20   "Pubmed" and "Celera" databank. Therefore, divergent output numbers are shown in this bar chart (ex. Genes/Total genes).

Figure 2   Hierarchical clustering of HG-U133A microarray probe sets representing genes from the Angiogenesis (A), Inflammation (B), Integrin (C), and
25   Wnt (D) "pathways" as annotated by PANTHER, across a set of 147 microarrays from our RCC experiment. Blue: relative increase-, white: -decrease in gene expression. For each "pathway", up to four probe set clusters (boxes) were selected, which were strongly representative for the overall partitioning of the RCC samples. The clusters were identified by the SAM software. Each row designates the genes
30   analyzed for each pathway. Each line represents the samples analyzed. The

densograms next to the lines and above the rows indicate the grouping of the samples and genes.

Figure 3   Identification of RCC groups A, B, C and cell lines. Two-way hierarchical clustering of Affymetrix expression microarray data of 147 RCC samples against 92 genes assembled from clustering the most significant biological processes. Blue: relative increase-, white: -decrease in gene expression. The clusters were identified by the SAM software. Each row designates the genes analyzed. Each line represents the samples analyzed. The densograms next to the lines and above the rows indicate the grouping of the samples and genes.

Figure 4   Heatmaps of RCC group- and different cancer type-specific signatures. Yellow or red (absolute values) indicate relative increase-, blue or green (ratios of tumors vs. normal tissues) relative decrease in gene expression. The areas in which overexpression is observed are indicated by arrows. A) Gene expression of the about 50 best classifiers of tumor type B against A and C across a subset of types A, B and C tumors (left picture). Comparative meta-analysis of these genes in GENEVESTIGATOR revealed multiple other tumor types with identical expression signatures (right picture). Rows indicate the samples, lines indicate the genes. The first 34 lines (top to bottom, left and right picture) correspond to the genes in the order of table 1. The last 16 lines (top to bottom, left and right picture) correspond to the genes in the order of table 2. The first 16 rows (left to right, left picture) correspond to samples of which 7 were papillary RCCs and 9 were clear cell RCC. All of them are of state B. The next 24 rows (left to right, left picture) correspond to samples of which 7 were papillary RCCs and 17 were clear cell RCC. All of them were either state A or C. The next 20 rows (left to right, right picture) correspond to samples of which 4 were kidney cancers and RCCs, 3 were breast cancers, 1 was multiple myeloma, 1 was adnexal serous carcinoma, 4 were anaplastic large cell lymphoma, 1 was oral squamous cell carcinoma, 1 was gastric cancer, 1 was colorectal adenoma, 4 were angioimmunoblastic T-cell lymphoma. These were either

- 10 -

state A or C. The next 8 rows (left to right, right picture) correspond to samples of which 1 was a gastric tumor, 6 were an ovarian tumor and 1 was an aldosterone-producing adenoma. All of them were state B. In the left picture, the upper left part and lower right part indicate overexpression. The lower left part and upper right part indicate reduced expression. The dashed line indicates the left, right, upper and lower parts. In the right picture, the upper left part and lower right part indicate reduced expression. The lower left part and upper right part indicate overexpression. The dashed line indicates the left, right, upper and lower parts. B) Gene expression of the 24 best classifiers of tumor type A against C across a subset of types A and C tumors (left picture), and correlated other tumors (right picture) as identified in GENEVESTIGATOR. All signatures are cancer-specific and not detectable in corresponding "normal" tissues. Rows indicate the sample, lines indicate the genes. The first 5 lines (top to bottom, left and right picture) correspond to the genes in the order of table 3. The first two lines represent different isoforms of the same gene (RARRES 1). The last 19 lines (top to bottom, left and right picture) correspond to the genes in the order of table 4. The first 9 rows (left to right, left picture) correspond to samples all of which were clear cell RCCs. All these are state A. The next 15 rows (left to right, left picture) correspond to samples of which 7 were papillary RCCs and 8 were clear cell RCC. These are state C. The next 4 rows (left to right, right picture) correspond to samples of which 2 were kidney cancers and 2 were thyroid cancers. These are state A. The next 12 rows (left to right, right picture) correspond to samples, of which 2 were cervical squamous cell carcinoma, 1 was adenocarcinoma, 1 was adnexal serous carcinoma, 3 were bladder cancers and 5 were breast cancers. These are state C. In the left picture, the upper left part and lower right part indicate reduced expression. The lower left part and upper right part indicate overexpression. The dashed line indicates the left, right, upper and lower parts. In the right picture, the upper left part and lower right part indicate reduced expression. The lower left part and upper right part indicate overexpression. The dashed line indicates the left, right, upper and lower parts. C) Hierarchical clustering of 40 RCC samples across all probe sets of the HG-U133A array, identifying the 3

- 11 -

groups which are indicated by arrows as state A, B or C (left). Hierarchical clustering of the 40 (colour coded) RCC samples based on expression signal values from 662 probe sets representing a subset of the 769 genes identified from the SNP array analysis, unravelling the 3 RCC groups (right). The densogram reflects the relationship between the 40 RCC samples. D) Kaplan-Meier analysis of tumour-specific survival in 176 RCC patients; grouped in A (high MVD, DEK and MSH positive), B (MSH6 negative) and C (low MVD, DEK and MSH positive) (log rank test: p<0.0001). The y-axis indicates the percentage of survivors in 0% to 100% scale. The x-axis indicates the average survival time on a 0 to 100 month scale.

Figure 5   RCC test-TMA with antibody staining combinations of the markers CD34, DEK and MSH6 used to define group A, B and C. Magnified images illustrate specific staining of endothelial micro vessels (CD34) and nuclei of tumor cells (DEK and MSH6).

Figure 6   Shows the analysis of RCC testing with different antibodies.

Figure 7   An evolutionary driven molecular classification model for renal cell cancer.

- 12 -

DETAILED DESCRIPTION OF THE INVENTION

The present invention as illustratively described in the following may suitably be practiced in the absence of any element or elements, limitation or limitations, not

5   specifically disclosed herein.

The present invention will be described with respect to particular embodiments and with reference to certain figures but the invention is not limited thereto but only by the claims. Terms as set forth hereinafter are generally to be understood in their

10   common sense unless indicated otherwise.

Where the term "comprising" is used in the present description and claims, it does not exclude other elements. For the purposes of the present invention, the term "consisting of is considered to be a preferred embodiment of the term "comprising

15   of. If hereinafter a group is defined to comprise at least a certain number of embodiments, this is also to be understood to disclose a group, which preferably consists only of these embodiments.

Where an indefinite or definite article is used when referring to a singular noun, e.g.

20   "a", "an" or "the", this includes a plural of that noun unless something else is specifically stated.

In the context of the present invention the terms "about" or "approximately" denote an interval of accuracy that the person skilled in the art will understand to still ensure

25   the technical effect of the feature in question. The term typically indicates deviation from the indicated numerical value of ±10%, and preferably of ±5%.

As mentioned above, previous attempts in finding diagnostic tools for disease characterization have assumed that disease development is a continuous process and

30   have tried to link different primarily histological phenotypes of e.g. cancers such as

- 13 -

lung cancer with specific expression patterns assuming that the different detectable phenotypes reflect continuous and progressive disease development.

The present invention is instead based on the finding that it seems that diseases such as hyper-proliferative diseases can comprehensively be described by a limited set of discrete disease-specific states which do not necessarily correlate with established histological characterization of different subtypes of such a hyper-proliferative disease but which can be linked to clinically relevant parameters such as survival time. Without wanting to be bound to a specific scientific theory or expert knowledge, it is hypothesized that a disease is characterized by switching to discrete disease-specific states. This suggests that de-regulation of regulatory networks within a cell can occur to a certain a threshold level without the overall discrete state being affected. However, once the threshold level has been exceeded cells seem to switch to another specific discrete state. These states can therefore be considered as stable or meta-stable in that they may allow for a certain degree of variation before they may switch. We understand a discrete state to reflect the flow and extent of interactions between and within different regulatory networks. As cells seem to switch to different discrete states, such a switch seems to indicate a major re-arrangement of the flow and extent of interactions between and within different regulatory networks, which may lead to a changed aggressiveness of a disease and which may also help explaining why different discrete states can be linked to e.g. different average survival times.

Interestingly, there are discrete states that can be found in different types of hyper-proliferative diseases such as renal cell carcinoma or ovarian cancer, which may indicate that at least some forms of these diseases involve comparable molecular mechanisms. Further, there may be discrete states that can be found only within a specific hyper-proliferative disease.

- 14 -

The extent and flow of interactions between and within such different regulatory networks may be detectable by e.g. the expression level of e.g. proteins within such regulatory networks. The molecular entities, which are looked at can be designated as descriptors. The pattern, which is detected for a set of descriptors, can be

5   considered as a signature. In the aforementioned example, the signature will be the expression pattern of proteins, which function as the descriptors. Of course, one may chose different types of descriptors and different types of signatures. One may thus look at expression levels of genes on the RNA level. One may look at the regulation of miRNAs and one may even look at the qualitative distribution of descriptors such

10   as the cellular localization of certain factors or the shape of a cell. One may use a given set of descriptors of the same type of molecules (e.g. mRNAs) to define signatures with the different signatures reflecting e.g. different expression patterns or one may use a given set of descriptors which are a group of different molecules (such as mRNAs, proteins and miRNAs). It is thus important to note that according to the

15   invention's logic a discrete state can be correlated to different signatures. As single signature will, however, define one discrete state only.

It follows from the invention as laid out hereinafter that the same discrete state can be characterized through different signatures.

20

As illustrated hereinafter for renal cell carcinoma (RCC), such discrete disease-specific states can be linked to medically important parameters such as average survival times. Interestingly, however, the discrete disease-specific states do not necessarily correlate with common histological classification schemes meaning that

25   e.g. papillary RCCs of different patients may be characterized by different discrete molecular states and that the patients may thus have different survival expectations even though their cancers have been classified as comparable by histological standards. Moreover, it has been found that some of the discrete molecular states found for RCC can also be detected in other cancer types suggesting that different

- 15 -

cancers, which are usually considered being unrelated in fact result at least to some extent from the same molecular interactions that define a discrete state.

Thus, a novel interpretation of carcinogenesis is suggested, which aims at a
5   molecular de novo classification of tumors. This de novo classification lead to the identification of discrete disease specific states and signatures. The signatures were initially dissected in renal cell carcinoma (RCC) as a model, unbiased from current clinico-pathological (i.e. tumor stage, subtype, differentiation grade, tumor-specific survival), genetic (i.e. allelic gain/increased "oncogene" expression, allelic
10   loss/decreased "tumor suppressor gene" expression) and biological (i.e. von Hippel-Lindau protein regulated pathways) valuations.

The finding that diseases such as hyper-proliferative disease can be characterized by different discrete disease-specific states, which may be present in different types of
15   diseases, has important implications.

The discrete disease-specific state(s) may be used to classify patients and samples thereof as falling within distinct groups. As the discrete disease-specific state can moreover be linked to clinically important parameters such as survival time or
20   responsiveness to distinct drugs, this will help selecting therapeutic regimens. The discrete molecular state(s) may thus be used as diagnostic and/or markers providing a new way of classifying tumors into clinically relevant subgroups e.g. subgroups of RCC, ovarian cancer, breast cancer etc.

25   A lot of projects for the development of novel pharmaceuticals suffer from insufficient differentiation from existing therapies, non-conclusive statistical data or a need for enormously high numbers of patients in Phase II or Phase III demanding for multimillion dollar investments and extensive time periods. If, however, a drug can be shown to act preferentially only in a selected group of patients which suffer
30   from e.g. a subtype of lung cancer and which are characterized by the same discrete

- 16 -

disease-specific state of interacting molecular networks, then this drug may be tested in other patients which suffer from a different disease, but are characterized by the same discrete molecular state. It can be expected that such clinical trials will give statistically reliable results for much smaller patient groups. In fact, one may be able

5   to show that treatment is effective where large scale clinical trial could not give such results because the large number of non-responders will avoid any statistically meaningful interpretation of the results.

The discrete states thus provide a stratifying tool for the testing of pharmacological

10   treatments as it allows grouping of patients for clinical trials. Assuming a drug candidate is identified which is expected or hoped to positively influence the critical parameter of survival time substantially, this needs to be proven by clinical trials in order to receive FDA approval. Future drugs will likely focus on mechanistic intervention. If the mechanistically active drug is successful for the clinical end point

15   parameter "survival time", it probably interacts selectively with mechanisms linked to the parameter "survival time". These mechanistic subgroups are exactly those defined by e.g. the discrete molecular states enabled by this invention. It is thus fair to believe, that most probably one subgroup of patients reacts positively to a different degree than another subgroup does. Knowledge of this patient cohort-specific

20   imbalance is of utmost importance for the industry seeking approval for a drug, important to know for the physician to choose the optimum regimen and for the payors to spend money most efficiently on patients with promise of therapeutic success. Any definition of a subgroup reacting with maximum relative effect in terms of prolonged life expectancy improves the chance for FDA registration.

25

The knowledge about discrete disease-specific states may also allow using these states as targets during development of pharmaceutical products. For example, different discrete specific disease states may be linked to clinically relevant parameters such as survival time or response rate to a certain drug. If an agent is

30   shown to switch the discrete disease-specific state in a sample or in a cell line from a

- 17 -

state, which is linked to short survival time, into a state with long survival time, such a switch may be used as an indication that the agent may be therapeutically effective in treating the disease in question. Thus, assays can be designed which make use of the correlation between a discrete disease-specific state and e.g. the associated

5   clinical parameter.

Further, knowing that discrete disease-specific states exist as such enables one now to identify new discrete disease-specific states. For example, the present invention shows that RCCs can be roughly characterized by three different discrete disease-

10   specific states. Some of these discrete disease-specific states are shown to be present in cancers different from RCC such as e.g. ovarian cancer in addition. However, not all ovarian cancers can be linked to the discrete states, which were found for RCCs meaning that different discrete disease-specific states should be identifiable for ovarian cancer. In this context the invention also provides methods for identifying

15   discrete molecular states or statistically excluding novel discrete disease specific states of a substantial subset of patients. For example, the invention shows that all cases of RCC can be attributed to three distinct discrete disease specific states.

The logic of these methods can also be used to define discrete substates within

20   discrete states and further discrete substates within discrete substates which for ease of nomenclature may be designated as discrete level. This discrete substates and discrete level may allow describing a disease at an even finer level.

The specific discrete disease-specific states as identified herein can thus be used to

25   not only characterize RCCs, but also to characterize other cancers or diseases in general. Further, they can provide guidance whether other discrete disease-specific states will exist in these other diseases.

The invention further provides methods for identifying such discrete disease-specific

30   states as such as well as methods for identifying signatures of descriptors, which can

- 18 -

be used to detect a discrete disease-specific state. For RCC, the invention in fact provides a list of gene descriptors, the expression pattern of which (i.e. the signature) allows classifying RCCs according to the average survival time.

5   The fact that one now knows that discrete disease-specific states exist and drive disease development in all its aspects allows one to identify signatures of descriptors, which can then be used in a diagnostic test to classify diseases such as different types of hyper-proliferative diseases. These signatures of descriptors thus serve as a read-out for the classification of a disease or its subtype.

10

The invention and its embodiments will now be described in greater detail. For a better understanding of the following definitions, a rough outline of the findings in the context of RCCs is given. The data, which led to the identification of discrete disease specific states, will then be discussed in further detail later on.

15

It was found that the overall majority of RCCs irrespective of their histological characterizations as papillary, chromophobe and clear cell RCC can be classified into three discrete disease-specific states which are indicative of a long, intermediate and short survival time. The discrete disease-specific states are thus likely reflecting the

20   aggressiveness of the tumor. The read-out for these three discrete molecular states which are designated hereinafter as A, B and C are the expression patterns, i.e. the signatures of a limited set of descriptors, i.e. genes. The same signatures, i.e. expression patterns of the same genes were then detected at least to some extent in other cancer types such as lymphoma, myeloma, breast cancer, colorectal cancer or

25   ovarian cancer. This suggests that developing different hyper-proliferative diseases involves to at least some extent the same molecular mechanisms. Further, this finding suggests that different hyper-proliferative disease can be classified to some extent into the same discrete disease-specific stages. These states in turn allow a prognosis of the survival times of these different hyper-proliferative diseases. In

30   order to identify the signatures and thus the discrete disease-specific states of RCCs

- 19 -

an approach of hierarchical clustering of expression data was used which can be applied to identify further discrete disease-specific states in these different cancers or other diseases. It is key feature of this approach that it looks at descriptors from at least two different regulatory networks.

5

We will now provide definitions useful to understand the present invention and will then discuss the invention in more detail.

"State" means a stable or meta-stable constellation of a cell and/or cell population

10   which is identified in at least two biological samples from at least two patients and which can be described by means of a single descriptor or multiple descriptors on the cellular or molecular level referenced against a standard state. As explained hereinafter, such state can be identified through analyzing descriptors from at least two regulatory networks. As explained hereinafter, such state can be characterized by

15   at least one or various signatures or surrogate signatures.

"Substate" means a stable or meta-stable constellation of a cell within a state which is identified in at least two biological samples from at least two patients and which can be described by means of at least two descriptors on the cellular or molecular

20   level referenced against a standard state. As explained hereinafter, such substate can be identified through analyzing descriptors from at least two regulatory networks. As explained hereinafter, such substate can be characterized by at least one or various signatures or surrogate signatures.

25   "Level" means a stable or meta-stable constellation of a cell within a substate which is identified in at least two biological samples from at least two patients and which can be described by a at least three descriptors on the cellular or molecular level referenced against a standard state. As explained hereinafter, such level can be identified by analyzing descriptors from at least two regulatory networks. As

- 20 -

explained hereinafter, such level can be characterized by at least one or various signatures or surrogate signatures.

By definition, different states, substates and levels refer to different stabile and metastabile constellations of a cell meaning that these constellations are distinct from each other in terms of the kind and extent of molecules of at least two regulatory networks interacting within a cell. Different states, substates and levels can be characterized by a limited set of descriptors giving rise to different signatures. They may therefore also be designated a "discrete molecular state, substate or level".

If a state, substate or level is indicative of a disease, it may be designated as "disease specific molecular state, substate, or level". In certain instances, a disease specific state, substate, or level may be linkable to clinically relevant parameters such as survival rate, therapy responsiveness, and the like.

A state, substate or level, which can be found in healthy human or animal subjects may be designated as "healthy state, substate, or level".

The term discrete disease specific state, substate or level preferably allows distinguishing different subtypes of a disease according to a new classification scheme which links the subtype being characterized by a discrete disease specific state, substate or level to clinically or pharmacologically important parameters.

The terms "clinical or pharmacological relevant parameter" preferably relate to efficacy-related parameters as they will be typically analyzed in clinical trials. They thus do not necessarily relate to a change in the histological appearance of a disease, but rather to important clinical end points such as average survival time, progression-free survival times, responsiveness to a certain drug, subjective patient- or physician-rated improvements making use established scale systems, tolerability, adverse events. The terms also include responsiveness towards treatment.

- 21 -

"Descriptor" means a measurable parameter on the molecular or cellular level which can be detected in terms of, but not limited to existence, constitution, quantity, localization, co-localization, chemical derivative or other physical property. A

5   descriptor thus reports at least one qualitative and/or quantitative measuring parameter of, but not limited to existence, kinetic variation, clustering, cellular localization or co-localization of at least one specific mRNA, processing or maturation derivatives of at least one specific mRNA, specific DNA-motifs, variants or chemical derivatives of such motifs, such as but not limited to methylation pattern,

10   miRNA motifs, variants or chemical derivatives of such miRNA motifs, proteins or peptides, processing variants or chemical derivatives of such proteins or peptides or any combination of the foregoing.

By way of example, a descriptor may be a protein the over- or underexpression of

15   which can be used to describe a discrete disease-specific state, substate or level vs. a different discrete disease-specific state, substate or level or vs. the discrete healthy state, substate or level. If different proteins, i.e. different descriptors are analyzed for their expression behavior, the observed pattern of over- and/or underexpression for this set of descriptors gives a rise to a pattern, which may be designated as signature

20   (see below). It is to be understood that different types of descriptors may be used to describe the same discrete state, substate and level. For example, a set of descriptors may comprise expression data for a first set of proteins, data on post-translational modifications of a second set of proteins and data for a group of miRNAs.

25   Preferred descriptors include genes and gene-related molecules such as mRNAs or proteins.

The "qualitative" detection of a descriptor refers preferably to e.g. determining the localization of a descriptor such as a protein, an mRNA or miRNA within e.g. a cell.

30   It may also refer to the size and/or the shape of cell.

- 22 -

The "quantitative" detection of a descriptor refers preferably to e.g. determining the presence and preferably the amount of a descriptor within a given sample.

5   In a preferred embodiment the quantitative measurement of a descriptor relates to detecting the amount of genes and gene-related molecules such as mRNAs or proteins.

The pattern resulting from the analysis of this combined set of descriptors will then
10   be considered to be a signature.

"Signature" means a pattern of a set of at least two experimentally detectable and/or quantifiable descriptors with the pattern being a characteristic description for a discrete state, substate and/or level.

15

"Surrogate signature" shall mean any kind of potential alternative signature suitable for characterizing the same discrete state, substate or level.

Signal transduction refers to the communication between molecules interacting
20   outside, on and/or inside in order to provide a chemical or physical output signal in response to a chemical or physical input signal. It is thus used as common in the art.

The term "signal transduction chain" as it is commonly used in the arte refers to the full or complete series of molecules, which linearly interact with each other to
25   convert a set of specific chemical or physical input signals into a set of specific or chemical output signals. Thus, linear signal transduction pathways have been defined to describe e.g. the step wise signaling from specific receptors such as integrins into the cell's nucleus. It is understood that different linear signal transduction chains can cross-communicate with each other or comprise regulatory mechanisms such as feed-
30   back loops.

- 23 -

"Regulatory network" describes the multidimensional nature and kybernetics of linearly simplified signal transduction chains and their interactions. They thus define the set of molecules which may belong to different signal transduction pathways but

5   which may contribute to biological processes such as inflammation, angiogenesis etc. the impairment of which may contribute to a disease in all its aspects.

Regulatory networks may preferably those, which are provided by the PANTHER software (Protein Analysis Through Evolutionary Relationships, see e.g.

10   http://www.pantherdb.org, Thomas et al,. Genome Res., 13: 2129-2141 (2003), (20, 21)). The PANTHER software when used at its standard parameters comprises 165 regulatory networks, which may also be designated as pathways.

The term "diseases" relate to all types of diseases including hyper-proliferative

15   diseases. The term reflects the all stages of a disease, e.g. the formation of a disease including initial stages, the development of a disease including the spreading of a disease, the stages of manifestation, the maintenance of a disease, the surveillance of a disease etc.

20   The term "hyper-proliferative" diseases relates to all diseases associated with the abnormal growth or multiplication of cells. A hyper-proliferative disease may be a disease that manifests as lesions in a subject. Hyper-proliferative diseases include benign and malignant tumors of all types, but also diseases such as hyperkeratosis and psoriasis.

25

Tumor diseases include cancers such as such as lung cancer (including non small cell lung cancer), kidney cancer, bowel cancer, head and neck cancer, colo(rectal) cancer, glioblastom, breast cancer, prostate cancer, skin cancer, melanoma, non Hodgkin lymphoma and the like.

30

- 24 -

In particular, cancers considered are as defined according to the International Classification of Diseases in the field of oncology (see http://en.wikipedia.org/wiki/carcinoma). Such cancers include epithelial carcinomas such as epithelial neoplasms; squamous cell neoplasms including squamous cell

5   carcinoma; basal cell neoplasms including basal cell carcinoma; transitional cell papillomas and carcinomas; adenomas and adenocarcinomas (glands) including adenoma, adenocarcinoma, linitis plastic, insulinoma, glucagonoma, gastrinoma, vipoma, cholangiocarcinoma, hepatocellular carcinoma, adenoid cystic carcinoma, carcinoid tumor, prolactinoma, oncocytoma, hurthle cell adenoma, renal cell

10   carcinoma, grawitz tumor, multiple endocrine adenomas, endometrioid adenoma; adnexal and skin appendage neoplasms; mucoepidermoid neoplasms; cystic, mucinous and serous neoplasms including cystadenoma, pseudomyxoma peritonei; ductal, lobular and medullary neoplasms; acinar cell neoplasms; complex epithelial neoplasms including Warthin's tumor, thymoma; specialized gonadal neoplasms

15   including sex cord-stromal tumor, thecoma, granulosa cell tumor, arrhenoblastoma, Sertoli-Leydig cell tumor; paragangliomas and glomus tumors including paraganglioma, pheochromocytoma, glomus tumor; nevi and melanomas including melanocytic nevus, malignant melanoma, melanoma, nodular melanoma, dysplastic nevus, lentigo maligna melanoma, sarcoma and mesenchymal derived cancers,

20   superficial spreading melanoma and acral lentiginous malignant melanoma.

The term "sample" typically refers to a human or individual that is suspected to suffer from e.g. a hyper-proliferative disease. Such individuals may be designated as patients. Samples may thus be tissue, cells, saliva, blood, serum, etc.

25

The term "cell lines" will designate cell lines which are either primary cell lines which were developed from patients' samples or which are typically be considered to be representative for a certain type of hyper-proliferative diseases.

It is to be understood that all methods and uses described herein in one embodiment may be performed with at least one step and preferably all steps outside the human or animal body. If it is therefore e.g. mentioned that "a sample is obtained" this means that the sample is preferably provided in a form outside the human or animal body.

5

It will be first described how signatures can be identified in accordance with the invention. It is to be understood that a signature will be indicative of a discrete disease-specific state.

10   In principle, signatures and discrete disease-specific states can be identified by analyzing for the quality and/or quantity of descriptors from at least two different regulatory networks for a multitude of samples from either patients of a hyper-proliferative diseases or cell lines of a hyper-proliferative disease. This data is then analyzed for certain patterns by (i) grouping the data for the quality and/or quantity

15   across descriptors and (ii) grouping samples or cell lines in a second step for similarities of the quality and/or quantity of descriptor across all potential descriptors.

The present invention in one embodiment thus relates to a method of identifying a

20   signature and optionally at least one discrete disease-specific state being implicated in a disease, optionally in a hyper-proliferative disease comprising at least the steps of:

a. Testing for quality and/or quantity of descriptors of genes or gene associated molecules in disease-specific samples derived from human

25   or animal individuals which are suspected of suffering from said disease or in cell lines of said disease;

b. Clustering the results obtained in step a.) comprising at least the steps of:

i. Sorting the results for each descriptor by its quality and/or

30   quantity,

- 26 -

ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

iii. Identifying different patterns for common sets of descriptors;

iv. Allocating to each pattern identified in step a.)iii.) a signature;

v. Optionally allocating to each signature identified in step b.),iv.) a discrete disease-specific state.

For such methods, it can be preferred to detect the quantity such as the expression of descriptors such as mRNAs or proteins. However, one may also look at other properties of other descriptors such as localization and processing of miRNAs or post-translational modification of proteins. One may thus look e.g. at the localization, the processing, the modification, the kinetics, the expression etc. of descriptors. For the sake of clarity, the following embodiments will be discussed with respect to expression patterns of descriptors such as mRNAs or proteins as these descriptors shall allow for straightforward identification of signatures and their implementation for e.g. diagnostic and/or prognostic purposes. It is however to be understood that this focus on expression data serves an explanatory purpose and shall not be construed as limiting the invention to expression data.

The clustering step b.) may be e.g. a hierarchical clustering process as it is implemented in various software programs. A suitable software may be e.g. the TIGR MeV software *(23)* using Euclidian distance and average linkage. The software is used with its default parameters.

The clustering step may preferably be a "two way hierarchical" clustering approach wherein e.g. first genes, i.e. descriptors are sorted by their expression intensity and wherein then samples are sorted for a comparable expression across all genes, i.e. all descriptors. In more detail, a two way clustering may be performed by the software according to gene expression intensities and tumor similarities. As a result, those

- 27 -

tumors with an overall similar gene expression profile reside adjacent to each other. The software is used with its default parameters with Pearson Correlation as distance measure and optimal Leaf Ordering.

5   If this approach is undertaken for e.g. all human genes across a sufficient number of samples, in principle signatures, i.e. patterns of e.g. expression data should appear for a given set of descriptors. The identification of such signatures can be performed using SAM *(12)*. The software is used with its default parameters. If a pattern for a set of descriptors has been identified, one can cross-check the accuracy by using

10   alternative software such GENEVESTIGATOR *(10, 11)*. It is to be understood that for a set of given descriptors, the appearance of different signatures is tantamount to the presence of discrete disease-specific states at this level of resolution. In more detail, a two way clustering may be performed by the software according to gene expression intensities and tumor similarities. As a result, those tumors with an

15   overall similar gene expression profile reside adjacent to each other. The software is used with its default parameters with Pearson Correlation as distance measure and optimal Leaf Ordering.

This general approach may be limited in practical terms by e.g. the number of

20   samples available or the necessary computing power.

There are, however, means to overcome these limitations and to allow identification of signatures with higher accuracy and speed.

25   In a preferred embodiment, the invention therefore relates to a method of identifying a signature and optionally at least one discrete disease-specific state being implicated in a disease, optionally a hyper-proliferative disease comprising at least the steps of:

       a. Testing for quality and/or quantity of descriptors of genes or gene associated molecules in disease-specific samples derived from human

- 28 -

or animal individuals suffering from said disease or in cell lines of said diseases;

b. Clustering the results obtained in step a.) comprising at least the steps of:

    i. Sorting the results for each descriptor by its quality and/or quantity,

    ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

    iii. Identifying different groups of descriptors which are differentially regulated across said disease-specific samples or cell lines;

c. Combining the descriptors which are identified in step b.)iii.) wherein the quality and/or quantity of said descriptors disease-specific samples or cell lines are already known from step a.);

d. Clustering the results obtained in step c.) comprising at least the steps of:

    i. Sorting the results for each descriptor of step c.) by its quality and/or quantity,

    ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

    iii. Identifying different patterns for the set of descriptors obtained in step a);

    iv. Allocating to each pattern identified in step d.)iii.) a signature;

    v. Optionally allocating to each signature identified in step d.),iv.) a discrete disease-specific state.

This approach differs from the above embodiment in that the obtained data is clustered twice according to the same sorting principle. Thus in the first round of

clustering, roughly defined groups of genes can be characterized which are differentially regulated across different samples such as different tumor samples or cell lines. This repeated clustering may allow reducing the amount of data and thus improving the signal-to-noise ratio.

It is the attempt of all clustering processes described hereinafter such as the two-way clustering to bring tumors with descriptor profiles such as the same expression profiles in proximity. The resulting dendrogram tells one the conditions which are concentrated into one "pattern".

The clustering in both steps may be performed by the TIGR MeV software *(23)* using Euclidian distance and average linkage. The software is used with its default parameters. The identification of groups after the first clustering step and then of signatures after the second clustering step can be performed using SAM *(12)*. The software is used with its default parameters. If a pattern for a set of descriptors has been identified, one can cross-check the accuracy by using alternative software such GENEVESTIGATOR *(10, 11)*.

In this first round, the selection may be rather rough allowing inclusion of groups which are not clearly defined by e.g. visual inspection as the second round of clustering will then sharpen the analysis.

In principle, the accuracy of the analysis will benefit if as many genes and as many samples are analyzed. If, however, e.g. computing power is a limitation, expression may be analyzed of about 100 to about 2000 genes, such as about 200 to about 1000 genes, about 200 to about 800 genes, about 200 to about 600 genes or preferably about 200 to about 400 genes in about 50 to about 400 samples, in about 75 to about 300 samples, in about 100 to about 200 samples and preferably in about 100 samples.

- 30 -

This data is then subjected to a first round of e.g. hierarchical two-way clustering yielding groups of differential regulated genes. These groups of genes are then combined and submitted to a second round of hierarchical two-way clustering. The expression data, which was initially obtained before the first round of clustering, can, of course, be used for the second round of clustering.

This approach allows for more straightforward identification of signatures and thus of discrete disease-specific states. As an example, one may obtain expression data for about 200 to about 400 genes in about 100 RCC samples, which will evenly represent all types of RCCs such as papillary, clear cell and chromophobe RCCs. In the first round of clustering, one may identify 20 groups with overall 100 genes. Group 1 may comprise 10 genes, Group 2 may comprise 20 genes, Group 3 may comprise 6 genes etc.

These 100 genes are then submitted to a second round of hierarchical two-way clustering. The software will then yield three distinguishable patterns, i.e. three signatures for the set of 400 descriptors. As there will be only three signatures for all types of RCCs one knows, that there are three discrete disease-specific states on this level of resolution. In a further step, one can then identify the set of genes for which the expression data most reliably distinguish between the three different states. One can then also analyze how these signatures correlate with e.g. survival rates.

There are further approaches that make identification of groups with differentially regulated genes and thus the identification of signatures and discrete disease-specific states more quickly and which ultimately can help reducing the size of set of descriptors.

This approach looks for analysis of quality and/or quantity of descriptors in known regulatory networks. The identification of groups of e.g. differentially expressed genes within single networks may be more straightforward as some networks may

- 31 -

contribute stronger to e.g. tumor development than others. This may allow sorting out of certain networks, reducing the amount of data and thus improving the signal-to-noise ratio.

5   The invention in a particularly preferred embodiment thus relates to a method of identifying a signature and optionally at least one discrete disease-specific state being implicated in a disease, optionally in a hyper-proliferative disease comprising at least the steps of:

　　　　a. Testing for quality and/or quantity of descriptors of genes or gene

10   associated molecules which are associated with at least two regulatory networks in hyper-proliferative disease-specific samples derived from human or animal individuals suffering from said disease or in cell lines of hyper-proliferative diseases;

　　　　b. Clustering the results obtained in step a.) comprising at least the steps

15   of:

　　　　　　i. Sorting the results for each descriptor within at least one regulatory network by its quality and/or quantity,

　　　　　　ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all

20   descriptors within one regulatory network;

　　　　　　iii. Identifying different groups of descriptors which are differentially regulated across said disease-specific samples or cell lines within each regulatory network;

　　　　c. Combining the descriptors which are identified in step b.)iii.) wherein

25   the quality and/or quantity of said descriptors disease-specific samples or cell lines are already known from step a.);

　　　　d. Clustering the results obtained in step c.) comprising at least the steps of:

　　　　　　i. Sorting the results for each descriptor of step c.) by its

30   quality and/or quantity,

- 32 -

    ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

    iii. Identifying different patterns for the set of descriptors obtained in step a);

    iv. Allocating to each pattern identified in step d.)iii.) a signature;

    v. Optionally allocating to each signature identified in step d.),iv.) a discrete disease-specific state.

Again, clustering may be a hierarchical two-way clustering as described above. The clustering in both steps may be performed by the TIGR MeV software *(23)* using Euclidian distance and average linkage. The software is used with its default parameters. The identification of groups after the first clustering step and then of signatures after the second clustering step can be performed using SAM *(12)*. The software is used with its default parameters. If a pattern for a set of descriptors has been identified, one can cross-check the accuracy by using alternative software such GENEVESTIGATOR *(10, 11)*.

In this embodiment, one will thus run a first clustering round for all genes which are allocated by e.g. a software (see below) to a specific regulatory network (steps a to b)iii.)). This clustering round will be run for different regulatory networks. As a limited set of genes is thus clustered for each network, specific patterns may emerge (see Fig. 2). The descriptors, e.g. the genes of these patterns of all analyzed networks are then combined (step c) and the combined set is subjected to a second clustering (steps d)i. to v.).

One may further streamline this method of identifying signatures and states.

- 33 -

As mentioned, focusing on regulatory networks in a first round of clustering (step b) may be the most reliable way of identifying signatures as a lot of networks will not result in identifiable groups in step b.)iii.). The number of descriptors such as genes which will be combined for the second clustering step will thus be even more

5   reduced.

However, as for the afore-described embodiment with two clustering rounds, a set of descriptors will be obtained which is the combined list of all descriptor groups which were identified in the regulatory network analysis. In the second round of clustering,

10   this set of descriptors will then give rise to patterns, i.e. signatures which allow grouping sample into distinct discrete disease-specific states. In the case of RCC, this approach was taken (see Figures 2, 3 and 4) and three states A, B, C were identified.

The networks, which are used in the first clustering round, may be those as they are

15   described in the PANTHER software. In principle, one may use all 165 regulatory networks of the PANTHER software. However, one may incorporate an initial selection step and determine for a given set of samples those regulatory networks which are most affected in the samples. To this end, one may analyze, which networks comprise most frequent descriptors. One may then select the most e.g. 2, 3,

20   4, 5, 6, 7, 8, 9 or 10 most affected regulatory networks and perform the initial clustering step for these networks only. The example for RCC shows that the general results, i.e. the number of discrete disease-specific states will not differ depending on whether one analyzes the 4 most affected pathways or all 76 affected pathways. Of course, looking at a reduced number of pathways may reduce the number of

25   descriptors, i.e. the set of descriptors, which is used for the second clustering round and may thus improve the signal-to-noise ratio and simplify signature identification.

The analysis may further be simplified by initially identifying descriptors such as genes which are likely affected in a disease. This may be done by e.g. identifying

30   single nucleotide polymorphisms (SNPs) which may be indicative of disease

- 34 -

samples. For example and as described in the experimental section, one may analyze samples from disease affected tissue of one individual, where histological analysis confirms that the tissue is affected by the disease, and samples from the same tissue of the same individual, where histological analysis confirms that the tissue is not

5   affected by the disease, for differences in SNPs. These candidate genes can then e.g. be allocated to regulatory networks by e.g. using the PANTHER software. One then identifies the 1, 2, 3, 4, 5 or more regulatory networks which seem most frequently affected because e.g. they comprise the majority of genes for which SNPs were identified. In a subsequent analysis, disease samples are then analyzed for the

10   expression of all genes belonging to these most frequently affected networks even not all of these genes were identified in the SNP analysis. One then uses this expression data in the above described methods.

One may use any initial selection method that yield such candidate descriptors such

15   as methods identifying methylation, phosphorylation etc.

In principle, it could be sufficient to use the above approaches with just e.g. two regulatory networks and analyze just two samples. The reliability and resolution of the analysis will usually be increased if one considers more regulatory networks and

20   tests more samples. Good results may be obtainable by testing e.g. at least about 50 such as about 75, 100, 150 or 200 samples. In terms of regulatory networks, it may be sufficient to analyze the about 3, 4, or 5 networks which seem most affected as may become apparent from e.g. expression data.

25   It is to be understood that a set of descriptors does not necessarily have to yield different signatures. Thus a chosen set of descriptors may only yield one signature. This will thus indicate that the disease examined has only one discrete disease-specific state. Of course, this assumes that the analysis has been performed with a comprehensive set of sample covering all relevant types of a disease such as samples

30   for clear cell, papillary and chromophobe RCC. The skilled person will know how to

- 35 -

select a sufficient number of samples in order to be sure that the majority of all relevant subtypes of a disease have been covered for the analysis.

Of course, a given set of descriptors may also yield multiple signatures such as 2, 3, 4, 5 or more signatures. The number of signatures will indicate the number of discrete disease-specific states that can be observed on this level of resolution for a disease. For example, if one analyzes a comprehensive set of samples for small-cell lung cancer and identifies e.g. three signatures, this means that small cell lung cancer can be characterized by three discrete disease-specific states. If one includes non-small cell lung cancer in the analysis, one may identify two additional signatures, which means that on the level of non-small and small cell lung cancer, these cancers can be classified into five discrete disease-specific states. The selection of the types of samples thus defines on which disease level one may observe discrete disease-specific states.

It is further important to understand that a given signature will unequivocally relate to a discrete disease-specific state. However, a discrete disease-specific state may be described through multiple signatures depending on what type and combination of descriptors have been used for identifying the signatures.

The approaches described above therefore provide just some out of numerous possibilities for identifying signatures and discrete disease-specific states. One may, for example, also use other clustering methods than two-way hierarchical clustering such as Biclustering. These methods have in common that they bring samples of e.g. tumors with similar traits together. The finding of the invention is that these "aligned groups of samples" which may be groups of tumors can then be considered as discrete disease specific states which can be used to characterize a disease.

In general, one can identify groups by grouping samples according to the similarity of a parameter which is attributable to a descriptor (such as expression) over a

- 36 -

complete set or over a subset of genes or gene-associated molecules, wherein the similarity is preferably measured using a statistical distance measure such as Euclidian distance, Pearson correlation, Spearman correlation, or Manhattan distance.

5

However, as the approaches which are mentioned above and which rely e.g. on two-way hierarchical clustering, make use of parameters that are easily accessible and testable on a large scale (e.g. expression on the RNA or protein level), they provide an important tool to identify the number of discrete disease-specific states for a given

10   resolution as well as to identify signatures describing these states.

Once one has identified a number of signatures for a set of descriptors such as by the above-described methods one can further reduce the number of descriptors, which are necessary to distinguish best between different signatures.

15

To this end, one may analyze samples for which one knows the disease specific states from the above analysis for descriptors that allow the best differentiation of different discrete disease specific states. These descriptors do not necessarily have to be those which led initially to the identification of discrete disease specific stages.

20

For example, once one has identified discrete specific states for disease-specific samples such as tumor samples by the aforementioned methods making e.g. use of expression data for genes, one may analyze samples for which one knows the discrete disease specific states for expression across all approximately 24.000 genes.

25   One can then select the genes which are most differentially regulated between the samples of different discrete disease specific states and may use these expression patterns as signatures. This sort of analysis may be performed by micro array expression analysis.

- 37 -

For example, in the examples expression data of 92 genes, i.e. descriptors allowed identification of three signatures and thus of three discrete disease-specific states A, B, and C for RCCs (see Fig. 3). In a further analysis, the samples, for which it was then known whether they are of discrete disease specific state A, B or C, were

5   analyzed for expression of approximately 20.000 genes using the Affymetrix gene chip. The software was then used to identify the genes which are most differentially regulated between sample of discrete disease specific states A, B or C. It turns out that by looking at certain gene lists (see below), one can initially best allocate samples to the discrete RCC specific states B and AC which stands for A and C. The

10   state AC can then be further distinguished into A and C by looking at additional genes.

Using this approach a set of about 50 genes was identified. Overexpression of about 34 of these genes (table 1) and underexpression of about 16 of these genes allows for

15   optimal distinction between state B vs. A and C. Another analysis revealed that overexpression of a group of about 4 (table 3) genes and underexpression of a group of about 19 genes (table 4) is well suited for distinguishing states A and C. These genes do not necessarily are the same as the about 92 genes which originally allowed for identification of the discrete disease specific states.

20

It is to be understood that the term "genes" in the context of tables 1, 2, 3 and 4 refers to the probes on the Affymetrix gene chip. Tables 1, 2 ,3 and 4 all name the Probe Identifiers which allow a clear identification. Where a DNA or amino acid sequence is known for a Probe Identifier is known, this has been indicated. All statements

25   hereinafter which relate to table 1, 2, 3 and 4 preferably only include those genes where the DNA and/or amino acid sequence is known.

In order to identify state B with a reliability of about 50% or more, it is for example sufficient to test for the over- or underexpression of at least one gene of table 1 or 2,

30   respectively. In order to identify state B with a reliability of about 80% or more, it

- 38 -

may be sufficient to test for the over- or underexpression of at least two genes of table 1 or 2, respectively. In order to identify state B with a reliability of about 90% or more, it may be sufficient to test for the over- or underexpression of at least three genes of table 1 or 2, respectively. In order to identify state B with a reliability of

5   about 95% or more, it may be sufficient to test for the over- or underexpression of at least five genes of table 1 or 2, respectively. In order to identify state B with a reliability of about 99% or more, it may be sufficient to test for the over- or underexpression of at least six genes of table 1 or 2, respectively.

10   In order to identify state A vs. C with a reliability of about 50% or more, it is for example sufficient to test for the over- or underexpression of at least two genes of table 3 or 4, respectively. In order to identify state A vs. C with a reliability of about 70% or more, it may be sufficient to test for the over- or underexpression of at least three genes of table 3 or 4, respectively. In order to identify state A vs. C with a

15   reliability of about 80% or more, it may be sufficient to test for the over- or underexpression of at least four genes of table 3 or 4, respectively. In order to identify state A vs. C with a reliability of about 90% or more, it may be sufficient to test for the over- or underexpression of at least five genes of table 3 or 4, respectively. In order to identify state A vs. C with a reliability of about 95% or

20   more, it may be sufficient to test for the over- or underexpression of at least six genes of table 3 or 4, respectively. In order to identify state A vs. C with a reliability of about 99% or more, it may be sufficient to test for the over- or underexpression of at least seven genes of table 3 or 4, respectively.

25   In order to identify a set of descriptors, which allows best distinguishing different signatures and thus discrete states, one can use the SAM software (12) and set an at least a 2-fold change in the expression level as a selection parameter. If one wants to increase the preciseness of the signatures and at the same time to reduce the number of descriptors which is used to differentiate between different signature, one can the

30   threshold higher such as 3, 4, 5 or more.

- 39 -

It is to be noted that the invention wherever it mentions methods of identifying discrete disease-specific states, signatures etc. always considers that the quality and/or quantity of descriptors has to be tested. This testing may include technical means such as use of e.g. micro-arrays to determine expression of genes. If the invention considers applying such methods by relying on and using data which are indicative of the quality and/or quantity of descriptors and which are deposited in e.g. databases after they have been determined using technical means, these methods will be run on technical devices such as a computer. All methods as they are described herein for identifying discrete disease-specific states, signatures etc. may therefore be performed in a computer-implemented way.

As will become apparent from the examples, the discrete disease-specific states, which were identified for RCCs can also be found to some extent in other hyper-proliferative diseases.

The aforementioned methods are thus suitable to identify a comprehensive set of signatures and thus discrete disease-specific states within a set of samples such as patient samples for hyper-proliferative diseases or cell lines of hyper-proliferative diseases. The signature and states can then be correlated to clinically relevant parameters such as average survival time and thus allow a clinically important characterization of diseases by easily accessible parameters such as expression data. It is, however, new that such signatures do not necessarily correlate with phenotypic histological characterization of the respective disease but rather seem to describe discrete states on e.g. the molecular level that characterize the disease development.

As pointed out above, these discrete disease-specific states allow obviously for some change (e.g. mutations, de-regulation etc.) until a threshold level is reached and switching to another discrete disease-specific state occurs.

- 40 -

It is currently not clear whether e.g. the three states of RCCs represent consecutive states such that first state A occurs which switches then to state B and then to state C or whether these states occur in parallel or are a combination of consecutives and parallel development. The important aspect, however, is that e.g. hyper-proliferative

5   diseases such as RCCs occur in discrete states which can be linked to clinically relevant parameters such as survival time. One can for example test whether chemical compounds are capable of switching cell from a state being correlated with short survival time to a state being correlated to long survival time. This will be explained in more detail below.

10

Further, the signatures and states, which were found to characterize a disease, can be used to characterize other diseases. This, for example may allow predicting the efficacy of a pharmaceutically active compound for different disease if these diseases can be characterized by the same states.

15

In the following, we will set forth in detail that signatures and discrete disease-specific states can be used for diagnostic, prognostic, analytical and therapeutic purposes. These aspects will be discussed in parallel for discrete disease-specific states and signatures as if these terms were interchangeable. It has, however, to be

20 born in mind that a discrete disease-specific state can be described through various signatures depending on the type and combinations of descriptors chosen. If in the following the term signature is used this is thus meant to incorporate all signatures that can be used to describe a single discrete disease-specific state. Further, all embodiments, which are discussed for signatures, equally apply to discrete disease-

25 specific states.

The invention as mentioned relates to discrete disease-specific states for use as a diagnostic and/or prognostic marker in classifying samples from patients, which are suspected of being afflicted by a disease, optionally by a hyper-proliferative disease.

30 The invention also relates to discrete disease-specific states for use as a diagnostic

- 41 -

and/or prognostic marker in classifying cell lines of a disease, optionally of a hyper-proliferative disease. The invention further relates to discrete disease-specific states for use as a target for development of pharmaceutically active compounds.

5   The invention also relates to signatures for use as a diagnostic and/or prognostic marker in classifying samples from patients, which are suspected of being afflicted by a disease, optionally by hyper-proliferative disease wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state. As for states,

10   the invention also relates to signatures for use as a diagnostic and/or prognostic marker in classifying cell lines of a disease, optionally of a hyper-proliferative disease wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state. Further, the invention relates to signatures for use as a read out for a

15   target in the development, identification and/or application of pharmaceutically active compounds, wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state. The target may be the discrete disease specific state which is reflected by the signature.

20

As mentioned above, a discrete disease specific state can be described by way of one or more signatures comprising at least two descriptors, which have been identified by comparing at least two regulatory networks in at least two patient derived-samples or cell lines.

25

The discrete disease-specific states and signatures relating thereto can be used for diagnostic purposes. Thus, samples of patients suffering from a disease such as a hyper-proliferative disease may be analyzed for their discrete disease-specific states and classified accordingly. The importance of discrete disease-specific states for

- 42 -

classifying samples and thus for diagnosing patients become clear from the experiments on RCCs.

These examples show that it may be more informative to differentiate RCCs based on their discrete disease-specific state than by their phenotypic classification such as papillary, clear cell and chromophobe RCCs. In fact, the experiments show that papillary RCC samples, which were derived from different patients, may differ with respect to their discrete disease specific states. At the same time different papillary and clear cell RCCs may be characterized by the same discrete disease-specific state.

Thus, even though tumors may look comparable on the histological level, they may differ in terms of the underlying molecular mechanisms. Conversely, tumors may show different histological properties but still share the same underlying molecular mechanism in term of a discrete disease specific state. Given that the three discrete disease specific states, which could be identified for RCCs, clearly correlate with average survival time, classifying samples not e.g. according to their histological properties but according to their discrete disease-specific molecular state provides a new important classification scheme. Further, the knowledge about discrete disease specific states can help to diagnose ongoing disease development in samples obtained from patients early on at a point in time where histological changes or other phenotypic properties are not discernible yet.

The present invention in one aspect thus relates to a method of diagnosing, stratifying and/or screening a disease, optionally a hyper-proliferative disease in at least one patient, which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease or in at least one cell line of a disease, optionally of a hyper-proliferative disease comprising at least the steps of:

    a. Providing a sample of a human or animal individual which is suspected of being afflicted by said disease;

    b. Testing said sample for a signature;

- 43 -

    c. Allocating a discrete disease-specific state to said sample based on the signature determined in step b.).

The sample may be a tumor sample.

There may be different ways to test for a signature. If the signature is not known yet, one may identify it as described above. If the signature is already known, one can test for it by analyzing the quality and/or quantity of descriptors that were used for identification of the signature. One can also use optimized signatures which allow best differentiation between different states. If for example the signature is based on expression data for a set of given genes or gene-associated molecules such as RNAs or proteins, one can test for a signature by simply determining the expression pattern for this set of molecules. This may be done by standard methods such as by micro-array expression analysis.

If one has identified the signature, one also knows the discrete disease specific state which correlates with this signature. Using such methods one can thus classify patient samples by common molecular mechanisms that lead to the same discrete disease specific molecular states. If such discrete disease specific states occur before phenotypic changes become apparent, it is thus possible to diagnose a hyper-proliferative disease such as RCC early on.

Preferably, "discrete disease specific states, substates or levels" are used as a new stratifying tool for categorizing diseases which otherwise are diagnosed on a general level.

Thus, the invention preferably relates in one embodiment to identifying discrete disease specific states, substates, levels, etc. by analyzing disease such as hyper-proliferative disease for signatures being indicative of discrete disease specific states, substates and levels as described above. This analysis will be performed for a

- 44 -

specific type of hyper-proliferative disease such as e.g. RCC, lung cancer, breast cancer etc. Thus, the diseases may be identified by common selection criteria such as the organs being affected. However, initially no attention will be given to sub-classifications of these hyper-proliferative diseases, which are based on e.g.

5   histological classification schemes. Once one has identified different discrete disease specific states for a disease like e.g. RCCs, one can test samples as described above for ongoing disease development already at a point in time when no phenotypic changes are recognizable. The discrete disease specific state therefore usually allows one to directly predict which sub-type of the disease in question is developing (e.g.

10   state A, B, or C for RCC). These subtypes are correlated with e.g. clinically relevant parameters such as survival time. Thus, the term discrete disease specific state, substate or level preferably allows distinguishing different subtypes of a disease according to a new classification scheme, which links the subtype to clinically or pharmacologically important parameters. The finding of the present invention that

15   discrete disease specific states exist in diseases and can be correlated with subtypes that are characterized not necessarily by their histological properties but by clinically or pharmacologically relevant parameters thus allows deciphering disease through a new code which is based on the discrete disease specific states, substates and levels.

20   The knowledge that discrete disease-specific states exist e.g. in RCC and other hyper-proliferative diseases can also be used to stratify patient cohorts undergoing clinical trials for new treatments of RCC or other hyper-proliferative diseases. As mentioned herein, certain pharmaceutically active agents may act only on specific discrete disease-specific states. If a patient cohort which undergoes a clinical trial

25   with such an active agent consists mainly of individuals with other discrete disease-specific states, any effects of the pharmaceutically active agent on the specific discrete disease-specific state may not be discernible. Such effects may become, however, statistically significant if the patient cohort is grouped according to the discrete disease-specific states. Thus, the knowledge on the existence of discrete

- 45 -

disease-specific states can be used to stratify test populations undergoing clinical trials according to their discrete disease-specific states.

Further, once a discrete disease specific state is known, the knowledge about its
5   existence can be used to test whether it also occurs as a subtype in different hyper-proliferative diseases. The discrete disease specific states, substates and levels and the signature relating thereto can thus be used to screen different diseases for the presence of these subtypes.

10   The classification of samples for their discrete disease specific states through identifying respective signatures can thus be used for diagnosing disease such as hyper-proliferative diseases. However, the classification of samples, be it of patients or cell lines for diseases such as hyper-proliferative diseases, for their discrete disease specific states has further implications.

15

Given that discrete disease specific states seem to reflect decisive stages of the underlying molecular disease mechanisms, they can be linked to relevant clinical and pharmacological parameters such as average survival times or responsiveness to drugs. This means that analyzing samples of patients for their respective discrete
20   disease specific molecular states does not only allow diagnosing the type of the disease at an early point in time but also makes a prognosis possible as to the future course of the disease. Thus, one will early know whether a patient suffers from e.g. RCC and whether this RCC will be an aggressive or comparatively moderate form. This prognosis can then be used for therapeutic purposes when making decisions as
25   to the kind of medication, physical treatment or surgery.

Further, the possibility of assigning a discrete disease specific state to samples allows analyzing the effectiveness of treatments with specific drugs. For example, one can test a patient or a population of patients suffering from a hyper-proliferative disease
30   for (i) their reaction towards treatment with a pharmaceutically active agent and (ii)

- 46 -

for their discrete disease specific molecular state. The reaction towards treatment may be measured by e.g. the quality of and quantity of clinical improvement. One can then try to correlate such responders towards treatment with discrete disease specific states. If it turns out that patients for which the disease is characterized by a

5   specific discrete disease specific state react more favorably towards treatment, these patients show a higher responsiveness towards treatment.

The invention in one aspect thus relates to a method of determining the responsiveness of at least one human or animal individual which is suspected of

10   being afflicted by a disease, optionally by a hyper-proliferative disease towards a pharmaceutically active agent comprising at least the steps of:

a. Providing a sample of at least one human or animal individual which is suspected of being afflicted by a disease before the pharmaceutically active agent is administered;

15   b. Testing said sample for a signature;

c. Allocating a discrete disease-specific state to said sample based on the signature determined;

d. Determining the effect of a pharmaceutically active compound on the disease symptoms and/or the discrete-disease specific state in said individual;

20   e. Identifying a correlation between the effects on disease symptoms and/or the discrete disease-specific state and the initial discrete disease-specific state of the sample.

The signature may be tested for as described above. The sample may be a tumor

25   sample.

Being able to predict the responsiveness of e.g. patients with a discrete disease specific state towards treatment is helpful in many aspects. For example, if such responsiveness is known, one can pre-select patients for treatment. Identification of

30   signatures and discrete disease specific states can thus serve as companion

- 47 -

diagnostics, which allow pre-selecting patients for effective treatment. Tools for identifying patients that will respond to a particular treatment become more and more important with public health systems requiring such tests in order to reimburse expensive therapies. Being able to predict whether a specific group of patients which

5   is characterized by their discrete disease specific states will react favorably towards a specific pharmaceutically active agent is also important for other areas. For example, a lot of drugs receive their initial marketing authorization from regulatory agencies such as the FDA for a specific indication only. Frequently, one then tries to test whether such drugs are also effective for treating other diseases. Such clinical trials

10   are, however, extremely costly.

If one knew upfront that only patients with a specific discrete disease specific state have reacted positively towards a specific drug and if one now tests this drug for other diseases, one will be able to conduct such clinical trials with a significantly

15   smaller patient group by selecting only patients with the discrete disease specific profile which has shown a positive response when patients with the same state were tested albeit for a different disease. These clinical trials will not only be less costly in view of the smaller test population, they are also likely to lead to a positive outcome as the effects of the treatment may be more pronounced and thus more easily

20   discernible by statistical methods as the signal-to-noise ratio will be improved.

Being able to predict the responsiveness of a treatment also forms part of the prognostic aspects of the invention.

25   The invention in one embodiment thus relates to a method of predicting the responsiveness of at least one patient which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease towards a pharmaceutically active agent comprising at least the steps of:

a. Determining whether a correlation exists between effects on disease

30   symptoms and/or discrete disease-specific states and the initial discrete

- 48 -

disease-specific states as a consequence of administration of a
pharmaceutically active agent as described above;

b. Testing a sample of a human or animal individual which is suspected of being
afflicted by a disease, optionally by a hyper-proliferative disease for a
signature;

c. Allocating a discrete disease-specific state to said sample based on the
signature determined;

d. Comparing the discrete disease-specific state of the sample in step c. vs. the
discrete disease-specific state for which a correlation has been determined
in step a.);

e. Predicting the effect of a pharmaceutically active compound on the disease
symptoms in said patient.

The sample may be a tumor sample.

The finding that diseases such as hyper-proliferative diseases are characterized by
discrete disease specific states also allows new approaches for development and/or
identification of new therapeutically active agents.

As mentioned above, samples from patients can be characterized as to their discrete
disease specific states. Further, cell lines of diseases may also display such discrete
disease specific states. It is assumed that a pharmaceutically active agent towards
which a patient with a discrete disease specific state is responsive may in some
instances induce a switch to another discrete disease specific sate. This other discrete
disease specific state may either be a completely new discrete disease specific state
or it may be a discrete disease specific state, which has been found in other patients.
For example, a pharmaceutically active agent may induce a switch from a discrete
disease specific state which is correlated with low average survival times to a
discrete disease specific state which is correlated with a longer average survival time.

- 49 -

The discrete disease specific states and signatures relating thereto may be identified as described above.

If indeed a pharmaceutically active agent is capable of inducing a switch of discrete
5   disease specific states, one can use discrete disease specific states and the signatures relating thereto as a read-out parameter for the potential effectiveness of pharmaceutically active agents. The target on which the pharmaceutically active agent would act is thus the discrete disease specific state. The discrete disease specific states are thus considered to targets of pharmaceutically active agents.
10
The invention in one embodiment therefore relates to a method of determining the effects of a pharmaceutically active compound, comprising at least the steps of:
a. Providing a sample of at least one human or animal individual which is suspected of being afflicted by a disease, optionally by a hyper-
15   proliferative disease or a cell line of a disease, optionally of a hyper- proliferative disease before a pharmaceutically active agent is applied;
b. Testing said sample or cell line for a signature;
c. Allocating a discrete disease-specific state to said sample or cell line based on the signature determined;
20   d. Testing said sample or cell line for a signature after the pharmaceutically active agent is applied;
e. Allocating a discrete disease-specific state to said sample or cell line based on the signature determined;
f. Comparing the discrete disease-specific states identified in steps c.) and e.).
25
The sample may be a tumor sample.

The effects that are determined by this method may e.g. allow identification of compounds which may have a positive influence on the disease if e.g. a switch to a
30   discrete disease specific state correlated with a more favorable clinical parameter

- 50 -

such as increased survival time is observed. The methods may, however, also allow identification of toxic compounds if these compounds induce a switch to a discrete disease specific state correlated with a less favorable clinical parameter such as decreased survival time. These methods may thus be used as assays in the

5   development, identification and/or screening of potential pharmaceutically active compounds, e.g. to determine the potential effectiveness of a pharmaceutically active compound in a disease such as a hyper-proliferative disease. These assays may also be used for determining the toxicity of a pharmaceutically active compound.

10   Such discrete state-related assay systems for active and/or toxic drug candidates could be of enormous value to identify new pharmaceuticals. With the reasonable assumption that certain discrete states of a tumor are not just indicative for the status of being a hyper-proliferating cell but also being related e.g. to the aggressiveness of a tumor or survival time of a patient, the switch in state monitored by switch in

15   signature marks an interesting screening system as a general "read out" for changing a tumor status. So the "read out" is related to functional efficacy rather than blocking a certain molecular target not necessarily being related to tumor function. Such screening system would simply pick up any compound switching the state irrespective of the molecular target of interaction. Such screening resembles assays

20   interfering with virus propagation in cell cultures rather than screening for inhibitors of a certain viral enzyme just as reverse transcriptase.

On the other hand such assays could be indicative for the tumorgenicity of compounds turning a status characteristic for a healthy cell into a status characteristic

25   for the status of a hyperproliferative cell.

For example, expression analysis has been performed for HS 294T cells. After administration of 5 mM acetyl cysteine at 6 hours, expression analysis revealed presence of a discrete disease specific state corresponding to state B of RCCs. This

- 51 -

state could not be detected before administration. This indicates that acetyl cystein may induce a switch to this state B in the HS 294T cells.

Similarly, expression analysis has been performed for human malignant peripheral nerve sheath tumor (90-8) cells. These cells were infected with G207, an ICP34.5-deleted oncolytic herpes simplex virus (oHSV). After infection, expression analysis revealed presence of a discrete disease specific state corresponding to state B of RCCs. This state could not be detected before infection. This indicates that oHSV may induce a switch to this state B in the 90-8 cells.

Compounds such as mevalonate, U0126, MK886, deferoxamine, paclitaxel may have similar effects.

The finding of discrete disease specific states as being characteristic for diseases thus allows for various diagnostic, prognostic, therapeutic, screening and developmental approaches. In most of these approaches, one uses signatures as a read-out parameter for the presence of a discrete disease specific state. Of course, one will aim to use signatures, which can be easily and reliably be determined.

Therefore, one may preferably use signatures, wherein genes or gene-associated molecules such as RNA and proteins are used as descriptors and wherein the expression pattern thereof serves as a signature. The advantage of this approach is that one can rely on common micro-array expression profiling for identifying signatures. Further, one can use existing expression data from micro-array analysis for identifying relevant signatures and states by making use of the aforementioned identification methods.

As mentioned hereinafter, three different discrete disease specific states have been identified for RCC. Further, these states were found at least to some degree in other

- 52 -

hyper-proliferative diseases such as ovarian carcinoma. These states can be described by signatures, which are based on expression data.

As this data provides a reliable and straightforward read-out, the present invention

5   relates in one embodiment to a signature for use as diagnostic and/or prognostic marker in the classification of a disease such as a hyper-proliferative disease, preferably of cancers, more preferably of renal cell carcinoma, or for use as read out of a target for developing, identifying and/or screening of a pharmaceutically active compound, wherein the signature is characterized by:

10   a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene of table 2.

The presence of this signature will be indicative of a discrete disease-specific state at least in RCC, which is indicative of an intermediate average survival time where

15   about 45 to about 55% such as about 50%> of patients can be expected to live after 60 months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state at least in RCC, which is indicative of an intermediate average survival time where about 40 to about 50%> such as about 45% of patients can be expected to live after 90 months.

20

Such a signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene of table 2,

and wherein determination of the over- and/or underexpression of at

25   least one gene of table 1 and table 2 respectively allows assigning a

discrete disease-specific state with a likelihood of $\geq 50\%$.

Such a signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

30   b. an underexpression of at least one gene table 2,

- 53 -

and wherein determination of the over- and/or underexpression of at least two genes of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of ≥ 80 %.

5   Such a signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene table 2,

and wherein determination of the over- and/or underexpression of at least three genes of table 1 and table 2 respectively allows assigning a

10   discrete disease-specific state with a likelihood of ≥ 90 %.

Such a signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene table 2,

15   and wherein determination of the over- and/or underexpression of at least four genes of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of ≥ 95 %.

Such a signature is characterized by:

20   a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene table 2,

and wherein determination of the over- and/or underexpression of at least five genes of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of ≥ 99 %.

25

It is to be understood that even though analysis of a single gene of table 1 or table 2 may be sufficient for assigning the discrete disease-specific state, the likelihood of a correct assignment will increase if more genes are analyzed. Thus, the signature also includes analysis for the overexpression of at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13,

30   14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33 or 34

- 54 -

genes of table 1 and/or the underexpression of at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15 or 16 genes of table 2. It may be most straightforward to look at the expression data of all genes of table 1 and/or table 2. By considering more than just one descriptor may allow to determine a signature and thus a discrete disease with a

5   likelihood of at least about 50%, at least about 60%, at least about 70%, at least about 80%, at least about 90%>, at least about 95%, at least about 98%> or at least about 99%. Preferably the signatures are determined through analyzing 2, 3, 4, 5, 6, 7, 8, 9, or 10 genes of table 1 and/or table 2.

10   The present invention relates in one embodiment to a signature for use as diagnostic and/or prognostic marker in the classification of hyper-proliferative diseases such as cancers, preferably of renal cell carcinoma, or for use as target for development of pharmaceutically active compounds, wherein the signature is characterized by:

        a. an overexpression of at least one gene of table 3, and/or

15   b. an underexpression of at least one gene of table 4.

The presence of this signature will be indicative of a discrete disease-specific state at least in RCC, which is indicative of a low average survival time where e.g. about 30%> to about 45%₀ such as about 40%> of patients can be expected to live after 60

20   months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state at least in RCC, which is indicative of an intermediate average survival time where about 5 to about 30%> such as about 10%> to 20%> of patients can be expected to live after 90 months.

25   Such a signature is characterized by:

        a. an overexpression of at least one gene of table 3, and/or

        b. an underexpression of at least one gene of table 4,

           and wherein determination of the over- and/or underexpression of at

           least two genes of table 3 and table 4, respectively allows assigning a

30   discrete disease-specific state with a likelihood of $\geq 50\ \%$.

- 55 -

Such a signature is characterized by:

    a. an overexpression of at least one gene of table 3, and/or

    b. an underexpression of at least one gene table 4,

      and wherein determination of the over- and/or underexpression of at least three genes of table 3 and table 4 respectively allows assigning it discrete disease-specific state with a likelihood of $\geq 70\,\%$.

Such a signature is characterized by:

    a. an overexpression of at least one gene of table 3, and/or

    b. an underexpression of at least one gene table 4,

      and wherein determination of the over- and/or underexpression of at least four genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 80\,\%$.

Such a signature is characterized by:

    a. an overexpression of at least one gene of table 3, and/or

    b. an underexpression of at least one gene table 4,

      and wherein determination of the over- and/or underexpression of at least five genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 90\,\%$.

Such a signature is characterized by:

    a. an overexpression of at least one gene of table 3, and/or

    b. an underexpression of at least one gene table 4,

      and wherein determination of the over- and/or underexpression of at least six genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 95\,\%$.

Such a signature is characterized by:

- 56 -

      a. an overexpression of at least one gene of table 3, and/or

      b. an underexpression of at least one gene table 4,

and wherein determination of the over- and/or underexpression of at least seven genes of table 3 and table 4 respectively allows assigning a

5   discrete disease-specific state with a likelihood of ≥ 99 %.

It is to be understood that even though analysis of a single gene of table 3 or table 4 may be sufficient for assigning the discrete disease-specific state the likelihood of a correct assignment will increase if more genes are analyzed. Thus, the signature also

10 includes analysis for the overexpression of at least 2, 3, or 4 genes of table 3 and/or the underexpression of at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18 or 19 genes of table 4. It may be most straightforward to look at the expression data of all genes of table 3 and/or table 4. By considering more than just one descriptor may allow to determine a signature and thus a discrete disease with a likelihood of at

15 least about 50%, at least about 60%, at least about 70%>, at least about 80%>, at least about 90%, at least about 95%, at least about 98%> or at least about 99%. Preferably the signatures are determined through analyzing 2, 3, 4, 5, 6, 7, 8, 9, or 10 genes of table 3 and/or table 4.

20 The present invention relates in one embodiment to a signature for use as diagnostic and/or prognostic marker in the classification of hyper-proliferative diseases such as cancers, preferably of renal cell carcinoma, or for use as target for development of pharmaceutically active compounds, wherein the signature is characterized by:

      a. an underexpression of at least one gene of table 3, and/or

25   b. an overexpression of at least one gene of table 4.

The presence of this signature will be indicative of a discrete disease-specific state at least in RCC, which is indicative of a high average survival time where about 70 to about 90% such as about 80%> of patients can be expected to live after 60 months.

30 Preferably, the presence of this signature will be indicative of a discrete disease-

- 57 -

specific state at least in RCC, which is indicative of an intermediate average survival time where about 60 to about 80% such as about 70%> of patients can be expected to live after 90 months.

5   Such a signature is characterized by:

            a. an underexpression of at least one gene of table 3, and/or

            b. an overexpression of at least one gene of table 4,

                and wherein determination of the under- and/or overexpression of at

                least two genes of table 3 and table 4, respectively allows assigning a

10   discrete disease-specific state with a likelihood of $\geq 50\%$.

Such a signature is characterized by:

            a. an underexpression of at least one gene of table 3, and/or

            b. an overexpression of at least one gene table 4,

15   and wherein determination of the under- and/or overexpression of at

                least three genes of table 3 and table 4 respectively allows assigning a

                discrete disease-specific state with a likelihood of $\geq 70\%$.

Such a signature is characterized by:

20   a. an underexpression of at least one gene of table 3, and/or

            b. an overexpression of at least one gene table 4,

                and wherein determination of the under- and/or overexpression of at

                least four genes of table 3 and table 4 respectively allows assigning a

                discrete disease-specific state with a likelihood of $\geq 80\%$.

25

Such a signature is characterized by:

            a. an underexpression of at least one gene of table 3, and/or

            b. an overexpression of at least one gene table 4,

- 58 -

and wherein determination of the under- and/or overexpression of at least five genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq$ 90 %.

5   Such a signature is characterized by:

   a. an underexpression of at least one gene of table 3, and/or
   b. an overexpression of at least one gene table 4,
       and wherein determination of the under- and/or overexpression of at least six genes of table 3 and table 4 respectively allows assigning a
10   discrete disease-specific state with a likelihood of $\geq$ 95 %.

Such a signature is characterized by:

   a. an underexpression of at least one gene of table 3, and/or
   b. an overexpression of at least one gene table 4,
15   and wherein determination of the under- and/or overexpression of at least seven genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq$ 99 %.

It is to be understood that even though analysis of a single gene of table 3 or table 4

20   may be sufficient for assigning the discrete disease-specific state the likelihood of a correct assignment will increase if more genes are analyzed. Thus, the signature also includes analysis for the underexpression of at least 2, 3 or 4 genes of table 3 and/or the overexpression of at least 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18 or 19 genes of table 4. It may be most straightforward to look at the expression data of

25   all genes of table 3 and/or table 4. By considering more than just one descriptor may allow to determine a signature and thus a discrete disease with a likelihood of at least about 50%, at least about 60%, at least about 70%>, at least about 80%>, at least about 90%, at least about 95%, at least about 98% or at least about 99%. Preferably the signatures are determined through analyzing 2, 3, 4, 5, 6, 7, 8, 9, or 10 genes of table

30   3 and/or table 4.

- 59 -

These signatures and the discrete disease specific states relating thereto can preferably be used for the aforementioned diagnostic, therapeutic and prognostic purposes in the context of RCC. However, as these signatures and states were also

5   identified in bladder cancer, breast cancer, ovarian cancer, myeloma, colorectal cancer, large cell lymphoma, oral squamous cell carcinoma, cervical squamous cell carcinoma, thyroid cancer, adenocarcinoma, they may also be used for the above purposes in the context of these cancer types.

10   Signature of high, intermediate and low survival time as mentioned above (e.g. about 80 % after 90 months) may be determined for RCCs as well as the preceding cancers by analyzing the expression of the genes CD34 (SEQ ID Nos.: 780 (DNA sequence), 781 (amino acid sequence)), DEK (SEQ ID Nos.: 782 (DNA sequence), 783 (amino acid sequence))and MSH 6 (SEQ ID Nos.: 784 (DNA sequence), 785 (amino acid

15   sequence)).

A discrete state with high survival time can be identified by high expression of CD34, low to high expression of DEK and low to high expression of MSH6. A discrete state with intermediate survival time can be identified by low to high

20   expression of CD34, no expression of DEK and no expression of MSH6. A discrete state with low survival time can be identified by low expression of CD34, low to high expression of DEK and low to high expression of MSH6. These signatures may be used in all embodiments as described herein.

25   As already mentioned above, the present invention hinges on the finding that hyper-proliferative diseases such as renal cell carcinoma seem to exist in different discrete disease-specific states. Three such discrete disease-specific states have originally been identified for renal cell carcinoma (RCC) using a two times, two-way hierarchical clustering approach. In the first step, differentially expressed genes

30   within a distinct tumor cohort, which are however commonly deregulated for some

but not for all tumors of this cohort, were identified (see Figures 2A to 2D). In a second step, these genes enabling a differentiation between tumor sub-groups were picked and combined into a matrix for the second two-way hierarchical clustering step against the same tumor cohort. For the case of RCC, this revealed three discrete

5   disease specific states which were labeled A, B, and C 8 (see Figure 3). Some of these states were identified in other tumors (see Figure 4). For RCC, certain genes were identified as being suitable descriptors (see above and e.g. Tables 1 to 4). The expression profile of these genes yields different signatures indicative of the three afore-mentioned states.

10

With this knowledge at hand, computer-implemented, algorithm based approaches were undertaken to identify further sets of genes which allow characterization of RCC by its three discrete disease-specific states.

15   These computer-implemented, algorithm based approaches which are described in the following led to the identification of approximately 454 genes depicted in Table 10, the expression patterns of which can be used to distinguish between the discrete RCC specific states B vs AC. The expression pattern of another set of approximately 195 genes which are depicted in Table 11 can be used to distinguish between the

20   discrete RCC specific states A vs C. In the following, the implications of these results are set forth. Then, the computer-implemented, algorithm based approaches are explained in further detail.

As mentioned, the expression pattern of about 454 genes, which are listed in Table

25   10, can be used to unambiguously identify one of the three discrete RCC specific states which for sake of nomenclature has been named B herein. More precisely, if genes 1 to 286 of Table 10 are found to be overexpressed and if genes 287 to 454 of Table 10 are found to be underexpressed for a sample of a human or animal individual, the individual will be characterized as having the discrete RCC specific

30   state B. As mentioned before this state is indicative of an intermediate average

- 61 -

survival time where about 45 to about 55% such as about 50%> of patients can be expected to live after 60 months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 40 to about 50% such as about 45% of patients can be expected to live after 90 months.

If, however, it is found that genes 1 to 286 of Table 10 are underexpressed and that genes 287 to 454 of Table 10 are overexpressed, the individual can be diagnosed to display one of the remaining two discrete RCC-specific states, namely A or C.

In order to determine whether such an individual displays states A or C, the expression pattern of the genes listed in Table 11 can be examined. If genes 1 to 19 of Table 11 are overexpressed and if genes 20 to 195 of Table 11 are underexpressed, the individual will display state C which is indicative of a low average survival time where e.g. about 30%> to about 45% such as about 40%> of patients can be expected to live after 60 months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 5 to about 30%> such as about 10%> to 20%> of patients can be expected to live after 90 months.

If, however, genes 1 to 19 of Table 11 are underexpressed and if genes 20 to 195 of Table 11 are overexpressed, the individual will display state A which is indicative of a high average survival time where about 70 to about 90%> such as about 80%> of patients can be expected to live after 60 months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 60 to about 80% such as about 70%> of patients can be expected to live after 90 months.

Expression levels may be determined using the Affymetrix gene chips HG-U133A, HG-U133B, HG-U133_Plus_2, etc. The decision as to whether a certain gene in a

- 62 -

specific sample is over- or underexpressed will be taken in comparison to a control. This control will be either implemented in the software, or an overall median or other arithmetic mean across measurements is built. By implying a multitude of samples it is also conceivable to calculate a median and/or mean for each gene respectively. In relation to these results, a respective gene expression value is monitored as up or downregulated.

It is to be understood that the RCC signatures as they are defined by the expression patterns of the genes of Tables 10 and 11 reflect the outcome of a statistical analysis across multiple samples.

For the methods of diagnosis, prognosis, stratification, determining responsiveness etc. as described herein, one will usually test samples obtained from an individual. On the individual level, the expression level of a single gene of Table 10 and/or 11 may not necessarily be sufficient to unambiguously allocate a discrete RCC specific state as the individual may not e.g. overexpress this single gene. Therefore, one will usually analyze the expression pattern of more than one gene of Tables 10 and 11.

Typically one will analyze the expression pattern of at least about 6, at least about 7, at least about 8, at least about 9, at least about 10, at least about 11, at least about 12, at least about 13, at least about 14, at least about 15, at least about 16, at least about 17, at least about 18, at least about 19 or at least about 20 genes of Table 10 to decide on whether the discrete RCC specific state being labeled herein as B is present or not. The analysis of the expression pattern of at least 6 genes of Table 10 will allow deciding whether state B or state A or C is present with a reliability of about 60% or more. This reliability will increase if more genes are analyzed. Thus, the analysis of the expression pattern of at least 10 genes of Table 10 will allow deciding whether state B or state A or C is present with a reliability of about 80% or more. The analysis of the expression pattern of at least 15 genes of Table 10 will allow deciding whether state B or state A or C is present with a reliability of about 90% or more and

- 63 -

the analysis of the expression pattern of at least 20 genes of Table 10 will allow

deciding whether state B or state A or C is present with a reliability of about 99% or

more. The set of about 454 genes of Table 10 thus serves as a reservoir for the

unambiguous characterization of state B. By analyzing the expression behavior of

5   e.g. approximately 10 genes of this reservoir, one will be able to decide with a

reliability of at least 80% (i) on whether a patient suffers from RCC and (ii) whether

the patient suffers from cancer of state B or any of the two other states A or C which

will allow to make a prognosis as to the average survival time. In order to

differentiate between states A and C, one then has to analyze the expression pattern

10   of genes of Table 11.

Similarly, one will analyze the expression pattern of at least about 6, at least about 7,

at least about 8, at least about 9, at least about 10, at least about 11, at least about 12,

at least about 13, at least about 14, at least about 15, at least about 16, at least about

15   17, at least about 18, at least about 19 or at least about 20 genes of Table 11 to decide

on whether the discrete RCC specific state being labeled herein as A or C is present.

The analysis of the expression pattern of at least 5 genes of Table 11 will allow

deciding whether state A or C is present with a reliability of about 60% or more. This

reliability will increase if more genes are analyzed. Thus, the analysis of the

20   expression pattern of at least 10 genes of Table 11 will allow deciding whether state

A or C is present with a reliability of about 80% or more. The analysis of the

expression pattern of at least 15 genes of Table 11 will allow deciding whether state

A or C is present with a reliability of about 90% or more and the analysis of the

expression pattern of at least 20 genes of Table 11 will allow deciding whether state

25   A or C is present with a reliability of about 99% or more.

The present invention thus relates to a signature, which can be derived from the

expression pattern of at least about 6, at least about 7, at least about 8, at least about

9, at least about 10, at least about 11, at least about 12, at least about 13, at least

30   about 14, at least about 15, at least about 16, at least about 17, at least about 18, at

least about 19 or at least about 20 genes of Table 10. This signature will allow to unambiguously decide whether one of three discrete RCC specific states, namely state B is present. This signature is defined by an over expression of genes 1 to 286 and an underexpression of genes 287 to 454 of Table 10. The signature which is

5   defined by an underexpression of genes 1 to 286 and an overexpression of genes 287 to 454 of Table 10 is indicative of the two other states of RCC, namely A or C.

The invention also relates to a signature, which can be derived from the expression pattern of at least about 6, at least about 7, at least about 8, at least about 9, at least

10   about 10, at least about 11, at least about 12, at least about 13, at least about 14, at least about 15, at least about 16, at least about 17, at least about 18, at least about 19 or at least about 20 genes of Table 11. This signature will allow to unambiguously decide which of the two remaining discrete RCC specific states, namely states A or C is present. The signature which is defined by an over expression of genes 1 to 19 and

15   an underexpression of genes 20 to 195 of Table 11 is indicative of state C. The signature which is defined by an underexpression of genes 1 to 19 and an overexpression of genes 20 to 195 of Table 11 is indicative of state A.

The present invention also relates to the above signatures for use as a diagnostic

20   and/or prognostic marker in the context of RCC. By determining whether the signatures are present, one can take a decision as to whether a patient suffers from RCC as such and/or will likely develop RCC as such in the future. Further, one can distinguish between the aggressiveness of RCC development and adjust therapy accordingly. Further, the present invention relates to the above signatures for use in

25   stratifying test populations for clinical trials for treatment of RCC.

Further, the present invention relates to the above signatures for use as a read out of a target for development, identification and/or screening of at least one pharmaceutically active compound in the context of RCC as described above.

30

- 65 -

The present invention also relates to the above signatures for use in stratifying human or animal individuals which are suspected to suffer from ongoing or imminent RCC development. Stratification allows to group these individuals by their discrete RCC specific states. Potential pharmaceutically active compounds which are assumed to

5   be effective in RCC treatment can thus be analyzed in such pre-selected patient groups.

The present invention in one embodiment also relates to a method of diagnosing, prognosing, stratifying and/or screening renal cell carcinoma in at least one human or

10   animal patient, which is suspected of being afflicted by said disease, comprising at least the steps of:

   a. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma;

   b. Testing said sample for a signature indicative of a discrete renal cell

15   carcinoma specific state by determining expression of at least 6, preferably at least 10 genes of Table 10;

   c. Allocating a discrete renal cell carcinoma specific state to said sample based on the signature determined in step b.).

20   Further, the present invention in one embodiment relates to a method of determining the responsiveness of at least one human or animal individual, which is suspected of being afflicted by renal cell carcinoma, towards a pharmaceutically active agent comprising at least the steps of:

   a. Providing a sample of a human or animal individual being suspected

25   to suffer from renal cell carcinoma before the pharmaceutically active agent is administered;

   b. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6, preferably at least 10 genes of Table 10;

- 66 -

    c. Allocating a discrete renal cell carcinoma-specific state to said sample based on the signature determined in step b.);

    d. Determining the effect of the pharmaceutically active agent on the disease symptoms and/or discrete renal cell carcinoma-specific states in said individual;

    e. Identifying a correlation between the effects on disease symptoms and/or discrete renal cell carcinoma-specific states and the initial discrete renal cell carcinoma-specific state of the sample as determined in step c).

In yet another embodiment, the invention relates to a method of predicting the responsiveness of at least one patient which is suspected of being afflicted by renal cell carcinoma, towards a pharmaceutically active agent comprising at least the steps of:

    a. Determining whether a correlation between effects on disease symptoms and/or discrete renal cell carcinoma-specific states and the initial discrete renal cell carcinoma-specific state as a consequence of administration of a pharmaceutically active agent exists by using the above method ;

    b. Testing a sample of a human or animal individual patient which is suspected of being afflicted by renal cell carcinoma for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6, preferably of at least 10 genes of Table 10;

    c. Allocating a discrete disease-specific state to said sample based on the signature determined in step c);

    d. Comparing the discrete renal cell carcinoma-specific state of the sample in step c. vs. the discrete renal cell carcinoma-specific state for which a correlation has been determined in step a.);

- 67 -

e. Predicting the effect of a pharmaceutically active compound on the disease symptoms in said patient.

One embodiment of the invention relates to a method of determining the effects of a
5   potential pharmaceutically active agent for treatment of renal cell carcinoma, comprising at least the steps of:

a. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma before a pharmaceutically active agent is applied;

10   b. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6, preferably of at least 10 genes of Table 10;

c. Allocating a discrete renal cell carcinoma specific state to said sample based on the signature determined in step b.);

15   d. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma after a pharmaceutically active agent is applied;

e. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6,
20   preferably of at least 10 genes of Table 10;

f. Allocating a discrete renal cell carcinoma specific state to said sample based on the signature determined in step e.);

g. Comparing the discrete renal cell carcinoma specific states identified in steps c.) and f).

25

In these methods, one signature is characterized by the expression pattern of at least 6, 7, 8, or 9, preferably of at least 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20 genes of Table 10 with genes 1 to 286 of Table 10 being overexpressed and genes 287 to 454 of Table 10 being underexpressed. This signature is indicative of discrete RCC
30   specific state B. The signature is thus indicative of an RCC type with an intermediate

- 68 -

average survival time where about 45 to about 55% such as about 50%> of patients can be expected to live after 60 months. Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 40 to about 50% such as about
5   45% of patients can be expected to live after 90 months.

In these methods, one signature is characterized by the expression pattern of at least 6, 7, 8, or 9, preferably of at least 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20 genes of Table 10 with genes 1 to 286 of Table 10 being underexpressed and genes 287 to 454
10   of Table 10 being overexpressed. This signature is indicative of the discrete RCC specific states A or C. For an unambiguous differentiation, one may rely on signatures based on the expression profile of genes of Table 11.

Such signatures may be characterized by the expression pattern of at least 6, 7, 8 or
15   9, preferably of at least 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20 genes of Table 11 with genes 1 to 19 of Table 11 being overexpressed and genes 20 to 195 of Table 11 being underexpressed. This signature is indicative of discrete specific RCC state C. It thus indicates an RCC type with a low average survival time where e.g. about 30%> to about 45% such as about 40% of patients can be expected to live after 60 months.
20   Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 5 to about 30%> such as about 10%> to 20%> of patients can be expected to live after 90 months.

25   Another signature may be characterized by the expression pattern of at least 6, 7, 8, or 9, preferably of at least 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20 genes of Table 11 with genes 1 to 19 of Table 11 being underexpressed and genes 20 to 195 of Table 11 being overexpressed. This signature is indicative of discrete specific RCC state A. It thus indicates an RCC type with a high average survival time where about 70 to
30   about 90% such as about 80%> of patients can be expected to live after 60 months.

- 69 -

Preferably, the presence of this signature will be indicative of a discrete disease-specific state in RCC, which is indicative of an intermediate average survival time where about 60 to about 80% such as about 70%> of patients can be expected to live after 90 months.

5

As mentioned above the set of genes in Tables 10 and 11 were identified by computer-implemented, algorithm-based approaches after it had been shown that three discrete disease specific states exist in the case of RCC. With this knowledge at hand, it was speculated that computer-implemented, algorithm-based approaches can be used to identify such patterns in existing expression data. Such an approach is described in the Example section under "3. Identification of RCC specific gene sets".

The invention in some embodiments thus relates to:

15   1. Discrete disease-specific state for use as a diagnostic and/or prognostic marker in classifying a sample from at least one patient, which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease.

        2. Discrete disease-specific state for use as a diagnostic and/or prognostic
20   marker in classifying a least one cell line of a disease, optionally of a hyper-proliferative disease.

        3. Discrete disease-specific state for use as a target for development, identification and/or screening of at least one pharmaceutically active
25   compound.

        4. Discrete disease-specific state according to any of 1 to 3, which can be described by way of a signature of at least one descriptor.

- 70 -

5. Discrete disease-specific state according to 4, wherein said state can be described by way of a signature which comprises at least two descriptors which have been identified by comparing at least two regulatory networks in at least two patient derived-samples or cell lines.

6. Signature for use as a diagnostic and/or prognostic marker in classifying at least sample from at least one patient which is suspected to be afflicted by a disease, optionally by a hyper-proliferative disease wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state.

7. Signature for use as a diagnostic and/or prognostic marker in classifying at least one cell line of at least one disease, optionally of a hyper-proliferative disease, wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state.

8. Signature for use as a read out of a target for development, identification and/or screening of at least one pharmaceutically active compound, wherein the signature comprises a qualitative and/or quantitative pattern of at least one descriptor and wherein the signature is indicative of a discrete disease-specific state.

9. Signature according to any of 6 to 8, which can be identified by analyzing multiple descriptors from at least two different regulatory networks in at least two patient-derived samples or in at least two different cell lines.

10. Signature according to 9, which can be identified by analyzing approximately 200 to 400 descriptors from approximately 76 regulatory

pathways in approximately 100 patient derived samples or approximately 20 cell lines.

11. Signature according to 9, which is identified by analyzing approximately 200 to 400 descriptors from approximately 165 regulatory pathways in approximately 100 patient derived samples or approximately 20 cell lines.

12. Signature according to any of 6 to 11, wherein the localization, the processing, the modification, the kinetics and/or the expression pattern of descriptors serves as a signature.

13. Signature according to any of 6 to 12, wherein genes or gene-associated molecules are used as descriptors and wherein the expression pattern thereof serves as a signature.

14. Signature according to 13, wherein expression is tested on the RNA or protein level.

15. Signature according to any of 6 to 14 for use as diagnostic and/or prognostic marker in the classification of at least one disease, optionally of at least one hyper-proliferative disease, preferably of renal cell carcinoma, or for use as read out of a target for development, identification and/or screening of at least one pharmaceutically active compound, wherein the signature is characterized by:
   • an overexpression of at least one gene of table 1, and/or
   • an underexpression of at least one gene of table 2.

16. Signature according to 15, wherein the signature is characterized by:
   a. an overexpression of at least one gene of table 1, and/or
   b. an underexpression of at least one gene of table 2,

and wherein determination of the over- and/or underexpression of at least one gene of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of more than 50%.

5   17. Signature according to 16, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene of table 2,

and wherein determination of the over- and/or underexpression of at least four genes of table 1 and table 2 respectively allows assigning a discrete

10   disease-specific state with a likelihood of $\geq$ 95%>.

18. Signature according to any of 15 to 17, wherein the signature is indicative of a discrete disease-specific state at least in RCC, which is indicative of an intermediate average survival time where about 45 to about 55% of patients

15   can be expected to live after 60 months.

19. Signature according to any of 7 to 14 for use as diagnostic and/or prognostic marker in the classification of at least one disease, optionally of at least one hyper-proliferative disease, preferably of renal cell carcinoma,

20   or for use as a read out of a target for development, identification and/or screening of at least one pharmaceutically active compound, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 3, and/or

b. an underexpression of at least one gene of table 4.

25

20. Signature according to 19, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 3, and/or

b. an underexpression of at least one gene of table 4,

- 73 -

and wherein determination of the over- and/or underexpression of at least one gene of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq$ 50%.

5   21. Signature according to 20, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 3, and/or

b. an underexpression of at least one gene of table 4,

and wherein determination of the over- and/or underexpression of at least six genes of table 3 and table 4 respectively allows assigning a discrete

10   disease-specific state with a likelihood of $\geq$ 95%.

22. Signature according to any of 19 to 21, wherein the signature is indicative of a discrete disease-specific state at least in RCC, which is indicative of a low average survival time where about 35 to about 45% of patients can be

15   expected to live after 60 months.

23. Signature according to any of 7 to 14 for use as diagnostic and/or prognostic marker in the classification of at least one disease, optionally of at least one hyper-proliferative disease, preferably of renal cell carcinoma,

20   or for use as a read out of a target for development, identification and/or screening of at least one pharmaceutically active compound, wherein the signature is characterized by:

a. an underexpression of at least one gene of table 3, and/or

b. an overexpression of at least one gene of table 4.

25

24. Signature according to 23, wherein the signature is characterized by:

a. an underexpression of at least one gene of table 3, and/or

b. an overexpression of at least one gene of table 4,

- 74 -

and wherein determination of the under- and/or overexpression of at least one gene of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 50\%$.

25. Signature according to 24, wherein the signature is characterized by:
    a. an underexpression of at least one gene of table 3, and/or
    b. an overexpression of at least one gene of table 4,
    and wherein determination of the under- and/or overexpression of at least six genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 95\%>$.

26. Signature according to any of 23 to 25, wherein the signature is indicative of a discrete disease-specific state at least in RCC, which is indicative of a high average survival time where about 70 to about 90%> can be expected to live after 60 months.

27. Signature according to any of 7 to 26, wherein the signature is indicative of a discrete disease-specific state that is indicative of a functional clinical parameter such as survival time.

28. Method of identifying a signature and optionally at least one discrete disease-specific state being implicated in at least one disease, optionally in at least one hyper-proliferative disease comprising at least the steps of:
    a. Testing for quality and/or quantity of descriptors of genes or gene associated molecules in disease-specific samples derived from human or animal individuals suffering from said disease or in cell lines of said disease;
    b. Clustering the results obtained in step a.) comprising at least the steps of:

- 75 -

    i. Sorting the results for each descriptor by its quality and/or quantity,

    ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

    iii. Identifying different patterns for common sets of descriptors;

    iv. Allocating to each pattern identified in step b.)iii.) a signature;

    v. Optionally allocating to each signature identified in step b.),iv.) a discrete disease-specific state.

29. Method according to 28, comprising at least the steps of:

    a. Testing for quality and/or quantity of descriptors of genes or gene associated molecules in disease-specific samples derived from human or animal individuals suffering from said disease or in cell lines of said disease;

    b. Clustering the results obtained in step a.) comprising at least the steps of:

        i. Sorting the results for each descriptor by its quality and/or quantity,

        ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

        iii. Identifying different groups of descriptors which are differentially regulated across said disease-specific samples or cell lines;

    c. Combining the descriptors which are identified in step b.)iii.) wherein the quality and/or quantity of said descriptors disease-specific samples or cell lines are already known from step a.);

    d. Clustering the results obtained in step c.) comprising at least the steps of:

    i. Sorting the results for each descriptor of step c.) by its quality and/or quantity,

    ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

    iii. Identifying different patterns for the set of descriptors obtained in step a);

    iv. Allocating to each pattern identified in step d.)iii.) a signature;

    v. Optionally allocating to each signature identified in step d.),iv.) a discrete disease-specific state.

30. Method according to 28 or 29, comprising at least the steps of:

    a. Testing for quality and/or quantity of descriptors of genes or gene associated molecules which are associated with at least two regulatory networks in disease-specific samples derived from human or animal individuals suffering from said disease or in cell lines of said disease;

    b. Clustering the results obtained in step a.) comprising at least the steps of:

        i. Sorting the results for each descriptor within at least one regulatory network by its quality and/or quantity,

        ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors within one regulatory network;

        iii. Identifying different groups of descriptors which are differentially regulated across said disease-specific samples or cell lines within the at least one regulatory network;

    c. Combining the descriptors which are identified in step b.)iii.) wherein the quality and/or quantity of said descriptors of disease-specific samples or cell lines are already known from step a.);

- 77 -

    d. Clustering the results obtained in step c.) comprising at least the steps of:

         i. Sorting the results for each descriptor of step c.) by its quality and/or quantity,

        ii. Sorting the disease-specific samples or cell lines for comparable quality and/or quantity of descriptors across all descriptors;

       iii. Identifying different patterns for the set of descriptors obtained in step a);

       iv. Allocating to each pattern identified in step d.)iii.) a signature;

        v. Optionally allocating to each signature identified in step d.),iv.) a discrete disease-specific state.

31. Method according to 30, wherein approximately 200 to 400 descriptors from approximately 76 regulatory pathways in approximately 100 patient derived samples or approximately 20 cell lines are analyzed.

32. Method according to 31, wherein approximately 200 to 400 descriptors from approximately 165 regulatory pathways in approximately 100 patient derived samples or approximately 20 cell lines are analyzed.

33. Method according to any of 28 to 32, wherein the localization, the processing, the modification, the kinetics and/or the expression pattern of descriptors serves as a signature.

34. Method according to any of 28 to 33, wherein genes or gene-associated molecules are used as descriptors and wherein the expression pattern thereof serves as a signature.

- 78 -

35. Method according to 34, wherein expression is tested on the RNA or protein level.

36. Method according to any of 30 to 35, wherein the regulatory networks are those identifiable by the Panther Software.

37. Method according to any of 28 to 36, wherein the clustering process in steps b. or d. of claims 28 to 30 is a two-way hierarchical clustering with the TIGR MeV software.

38. Method according to any of 28 to 37, wherein the identification of groups and signatures process in steps b. or d. of claims 28 to 30 is done with the SAM software.

39. Method according to any of 28 to 38, wherein the disease-specific samples are renal cell carcinoma cell lines.

40. Method according to any of 28 to 38, wherein the cell lines are primary or permanent renal cell carcinoma cell lines.

41. Methods according to any of 28 to 40, wherein the discrete disease specific states and the signatures describing them can be linked to functional clinical parameters such as survival time.

42. A set of descriptors obtainable by a method of any of 28 to 41.

43. A signature obtainable by a method of any of 28 to 41.

44. A discrete disease-specific state obtainable by a method of any of 28 to 41.

- 79 -

45. Use of a set of descriptors of 42, a signature of 43 and/or a discrete disease-specific sample of 44 as a diagnostic or prognostic marker for at least one disease, optionally at least one hyper-proliferative disease or as a read out of a target or as a target for the development and/or application of at least one pharmaceutically active compound.

46. A method of diagnosing, stratifying and/or screening a disease, optionally a hyper-proliferative disease in at least one patient, which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease or in at least one cell line of a disease, optionally of a hyper-proliferative disease comprising at least the steps of:

   a. Providing a sample of a human or animal individual being suspected to suffer from said disease, optionally of said hyper-proliferative disease or at least one cell line of said disease, optionally of said hyper-proliferative disease;

   b. Testing said sample for a signature, optionally a signature of 43;

   c. Allocating a discrete disease-specific state to said sample or cell line based on the signature determined in step b.).

47. A method of determining the responsiveness of at least one human or animal individual which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease towards a pharmaceutically active agent comprising at least the steps of:

   a. Providing a sample of at least one human or animal individual which is suspected of being afflicted by a disease before the pharmaceutically active agent is administered;

   b. Testing said sample for a signature, optionally a signature of 43;

   c. Allocating a discrete disease-specific state to said sample based on the signature determined;

- 80 -

    d. Determining the effect of a pharmaceutically active compound on the disease symptoms and/or discrete disease-specific state in said individual;

    e. Identifying a correlation between the effects on disease symptoms and/or discrete disease-specific state and the discrete disease-specific state of the sample.

48. A method of predicting the responsiveness of at least one patient which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease towards a pharmaceutically active agent comprising at least the steps of:

    a. Determining whether a correlation between effects on disease symptoms as a consequence of administration of a pharmaceutically active agent and a discrete disease-specific state exists by using the method of 46;

    b. Testing a sample of a human or animal individual which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease for a signature, optionally a signature of 43;

    c. Allocating a discrete disease-specific state to said sample based on the signature determined;

    d. Comparing the discrete disease-specific state of the sample in step c. vs. the discrete disease-specific state for which a correlation has been determined in step a.);

    e. Predicting the effect of a pharmaceutically active compound on the disease symptoms in said patient.

49. A method of determining the effects of a potential pharmaceutically active compound, comprising at least the steps of:

    a. Providing a sample of at least one human or animal individual which is suspected of being afflicted by a disease, optionally by a hyper-

- 81 -

proliferative disease or a cell line of a disease, optionally of a hyper-proliferative disease before a pharmaceutically active agent is applied;

b. Testing said sample or cell line for a signature, optionally a signature of 43;

c. Allocating a discrete disease-specific state to said sample or cell line based on the signature determined;

d. Providing a sample of at least one human or animal individual which is suspected of being afflicted by a disease, optionally by a hyper-proliferative disease or a cell line of a disease, optionally of a hyper-proliferative disease after a pharmaceutically active agent is applied;

e. Testing said sample or cell line for a signature, optionally a signature of 43;

f. Allocating a discrete disease-specific state to said sample or cell line based on the signature determined;

g. Comparing the discrete disease-specific state identified in steps c.) and f).

50. A method of any of 46 to 49, wherein said discrete disease-specific states are determined for samples of a patient being suspected of suffering from renal cell carcinoma or for renal cell carcinoma cell lines.

51. A method of any of 46 to 50, wherein a discrete disease specific state of a disease, optionally of a hyper-proliferative disease, preferably of renal cell carcinoma is allocated by a signature, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene of table 2.

52. Method of 51, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

- 82 -

b. an underexpression of at least one gene of table 2,

and wherein determination of the over- and/or underexpression of at least one gene of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 50\ \%$.

53. Method of 52, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 1, and/or

b. an underexpression of at least one gene table 2,

and wherein determination of the over- and/or underexpression of at least four genes of table 1 and table 2 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 90\ \%$.

54. Method according to any of 51 to 53, wherein the signature is indicative of a discrete disease-specific state at least in RCC, which is indicative of an intermediate average survival time where about 45 to about 55% of patients can be expected to live after 60 months.

55. A method of any of 46 to 50, wherein a discrete disease specific state of renal cell carcinoma is allocated by a signature, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 3, and/or

b. an underexpression of at least one gene of table 4.

56. A method of 55, wherein the signature is characterized by:

a. an overexpression of at least one gene of table 3, and/or

b. an underexpression of at least one gene of table 4,

and wherein determination of the over- and/or underexpression of at least one gene of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of ≥ 50 %>.

- 83 -

57. A method of 56, wherein the signature is characterized by:

    a. an overexpression of at least one gene of table 3, and/or

    b. an underexpression of at least one gene of table 4,

    and wherein determination of the over- and/or underexpression of at least six genes of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 95\ \%$.

58. Method according to any of 55 to 57, wherein the signature is indicative of a discrete disease-specific state at least in RCC, which is indicative of a low average survival time where about 35 to 45% of patients can be expected to live after 60 months.

59. A method of any of 46 to 50, wherein a discrete disease specific state of renal cell carcinoma is allocated by a signature, wherein the signature is characterized by:

    a. an underexpression of at least one gene of table 3, and/or

    b. an overexpression of at least one gene of table 4.

60. A method of 59, wherein the signature is characterized by:

    a. an underexpression of at least one gene of table 3, and/or

    b. an overexpression of at least one gene of table 4,

    and wherein determination of the under- and/or overexpression of at least one gene of table 3 and table 4 respectively allows assigning a discrete disease-specific state with a likelihood of $\geq 50\ \%$.

61. A method of 60, wherein the signature is characterized by:

    a. an underexpression of at least one gene of table 3, and/or

    b. an overexpression of at least one gene of table 4,

- 84 -

and wherein determination of the under- and/or overexpression of at least
six genes of table 3 and table 4 respectively allows assigning a discrete
disease-specific state with a likelihood of $\geq 95\%$.

62. Method according to any of 60 to 62, wherein the signature is indicative of
a discrete disease-specific state at least in RCC, which is indicative of a
high average survival time where about 70 to about 90% of patients can be
expected to live after 60 months.

63. A method according to any of 46 to 62, wherein the signature is indicative
of a discrete disease-specific state that is indicative of a functional clinical
parameter such as survival time.

The invention is now described with respect to specific experiments. These
experiment shall, however, not be construed as being limiting.

Experiments

1. Materials and Methods

Tissue specimens, cell lines, nucleic acid extraction

Frozen primary renal cell carcinoma (RCC) and tissue from RCC metastases were
obtained from the tissue biobank of the University Hospital Zurich. This study was
approved by the local commission of ethics (ref. number StV 38-2005). All tumors
were reviewed by a pathologist specialized in uropathology, graded according to a 3-
tiered grading system *(14)* and histologically classified according to the World
Health Organisation classification *(15)*. All tumor tissues were selected according to
the histologically verified presence of at least 80% tumor cells. DNA was extracted
from 56 ccRCC, 13 pRCC and 69 matched normal renal tissues using the Blood and

- 85 -

Tissue Kit (Qiagen). RNA was extracted from 74 ccRCC, 22 pRCC, 2 chromophobe RCC, 15 metastases of ccRCC using the RNeasy minikit (Qiagen). DNA and RNA from 46 ccRCC and 10 pRCC were used for both SNP array and microarray experiments. Expression analysis was additionally performed with RNA from 24

5   RCC cell lines, 6 cell lines from RCC metastasis and 4 prostate cancer cell lines as controls. All tumours and cell lines used in SNP- and expression array experiments are listed in table 6.

SNP array analysis and classification

10

SNP array analysis was performed with Genome Wide Human SNP 6.0 arrays according to manufacturer`s instructions (Affymetrix). Arrays were scanned using the GeneChip Scanner 3000 7G.

15   Raw probe data CEL files were processed with the R statistical software framework (http://www.cran.org), using the array analysis packages from the aroma.affymetrix project *(16)* (http://groups.google.com/group/aroma-affymetrix/). Total copy number estimates were generated using the CRMAv2 method *(17)* including allelic cross talk calibration, normalization for probe sequence effects and normalization for PCR

20   fragment-length effects. Copy number segmentation was performed using the Circular Binary Segmentation method *(18)*, implemented in the DNA copy package available through the Bioconductor project (http://www.bioconductor.org). Normalized data plots including segmentation results, oncogene map positions and known copy number variations as reported in the Database of Genomic Variants

25   (DGV, http://projects.tcag.ca/variation/; *(19))* were generated with software packages developed for the Progenetix project(2) (http://www.progenetix.net). Map positions were referenced with respect to the UCSC genome assembly hgl8, based on the March 2006 human reference sequence (NCBI Build 36.1). Data from arrays with prominent probe level noise after normalization were excluded before proceeding

- 86 -

with the evaluation of copy number imbalances. Overall, 114 SNP 6.0 arrays (45 tumors, 69 normal tissue samples) were used for final data processing.

Since oncogenomic imbalances frequently cover huge genomic regions with hundreds of possible target genes, a dynamic thresholding approach was used on the copy number segmentation data. For the determination of focussed genomic imbalances containing oncogenetic targets, size-limited regions with high deviation from the copy number baseline were evaluated for their gene content. Primary candidate genes were selected from copy number imbalanced regions if no corresponding full-overlap CNV had been reported in DGV. For the generation of overall genomic imbalance profiles, probabilistic thresholds of 0.13/-0.13 were used for genomic gains and losses, respectively. Recurrently appearing candidates were listed and considered only once for further analysis. Functional gene classifications were performed with Ingenuity (http://www.ingenuity.com), KEGG (Kyoto Encyclopedia of Genes and Genomes - http://www.genome.jp/kegg/) and PANTHER (Protein Analysis Through Evolutionary Relationships) Classification System *(20, 21)* (http://www.pantherdb.org). Generation and analysis of gene/protein lists were performed with PANTHER by considering both, PubMed & Celera, datasets.

Microarrays and expression analysis

RNA was hybridized according to the manufacturer's instructions (Affymetrix, Santa Clara, CA). Arrays were scanned using the HT Scanner. Affymetrix GeneChip data was normalized using MAS5 from Bioconductor *(22)* and $\log_2$-scaled. Hierarchical clustering was done with TIGR MeV(2J) using Euclidian distance and average linkage. The identification of tumor type specific biomarkers was performed using SAM *(12)*. The most significant genes were cross-checked in GENEVESTIGATOR *(10, 11)* to remove probe sets that had absent calls across all samples.

- 87 -

Probesets could be identified for at least half of the genes from the four pathways extracted from PANTHER (195 probe sets for angiogenesis, 271 for inflammation, 196 for integrin, and 263 for Wnt). For each pathway, a two-way hierarchical clustering of probe sets versus the complete set of expression arrays (147 arrays) was applied. We selected up to four clusters that best represented the overall array clustering in each pathway (Fig. 2, table 8). Finally, a joint clustering of all probe sets from these clusters resulted in the groupings described (Fig. 3, table 5).

Microarray and SNP data have been deposited in GEO under GSE 19949 (tentative release: 30.06.2010). Reviewer link:
http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?token=zronrguasyacefq&acc=GSEl 9949

Raw microarray expression data were generated by using the HG-U133A Affymetrix chip for each sample respectively. For further analysis, these raw data were uploaded into the online, high quality and manually curated expression database and meta-analysis system GENEVESTIGATOR (www.genevestigator. com). As mentioned, a two way hierarchical clusterings were than performed. Genexpressions versus the entire set of samples were clustered. The gene list used for this first clustering was provided by the PANTHER classification system (www.pantherdb.org) and encompassed the entirety of genes belonging to one pathway (see Fig. 2 and Fig. 3). The result of such a clustering is, that tumors with same expression profiles, seen over all probesets entered, reside in close vicinity. Dependent on the presence of recurrent differentially regulated genes in different tumor samples, distinct clustered form throughout the entire tumor cohort. In a second step, probesets representing these formed clusters, were picked and combined into another clustering matrix. The same two way hierarchical clustering conditions was thereafter performed against the same sample cohort. Upon this analysis, tumor groups appeared (Fig. 3).

- 88 -

In a further step the question was raised whether the best gene candidates were already picked in Fig.3 to enable a clear differentiation between distinct groups. To answer this question out of Fig.3, 40 tumor samples (Affymetrix HG-U133A, raw data) from different groups were arbitrarily picked for best identifier detection. By

5   using GENVESTIGATOR in combination with the statistical program SAM (Significance Analysis of Microarrays) the best identifiers for the respective group, were calculated (Fig.4). Thus these 40 arbitrarily chosen samples were statistically analyzed with respect to expression of all 22.000 probesets present on the Affymetrix HG-U133A microarray. The data generated in Fig. 3 and Fig.4 are absolute

10   expression values.

We used this resulting signature (Fig.4) as a "marker-signature" for the following meta analysis. For this purpose the expression characteristics of these genes across different tumor studies available from all HG_U133A microarrays in the

15   GENEVESTIGATOR database was confirmed. The values shown here are relative values (right picture of Fig. 4A and 4B). Here for every Affymetrix tumor chip, a corresponding control was present. The signature appeared in the tumor samples but not in the control. Further, the values shown are mean values. Several expression array chips from one experimental procedure representing a distinct tumortype were

20   overlaid.

TMA construction and immunohistochemistry

We used two tissue micro arrays (TMAs) with tumor tissue from 27 and 254 RCC-

25   related nephrectomy specimen respectively. The samples were retrieved from the archives of the Institute for Surgical Pathology; University Hospital Zurich (Zurich, Switzerland) between the years 1993 to 2007. TMAs were constructed as previously described *(24).* To sufficiently address tumor heterogeneity, we used 3 punches per tumor for the construction of the TMA with 27 tumor samples (25). One biopsy

30   cylinder per tumour was regarded as sufficient for constructing the TMA with 254

tumors. TMA sections (2.5 μηι) on glass slides were subjected to immunohistochemical analysis according to the Ventana (Tucson, AZ, USA) automat protocols. CD34 (Serotec Ltd. - clone QBEND-10, dilution 1:800), MSH6 (BD Biosciences - clone 44, dilution 1:500) and DEK (BD Biosciences - clone 2, dilution 1:400) stainings were performed and analysed under a Leitz Aristoplan microscope (Leica, Wetzlar, Germany). Tumors were considered MSH6 or DEK positive if more than 1% of tumour cells showed unequivocal nuclear expression. MVD was determined as previously described *(26)*. Statistics were performed with Statview 5.0 (SAS, USA) and SPSS 17.0 for Windows (SPSS Inc., Chicago; IL).

2. Results

First, the genomic profiles of 45 RCCs and matched normal tissues were analyzed using Affymetrix SNP arrays. For illustration, we extracted an overall summary of genomic imbalances using the progenetix website (http://www.progenetix.net) and compared them to the entire available dataset of 472 RCCs (Fig. 1A). Consistent with previous CGH data *(8),* our results confirmed the overall composite of CGH profiles in RCC.

We next focused on tumor-specific genomic changes below 5 Mb, which is the resolution limit for chromosomal losses and gains obtained by CGH *(9).* We identified 126 different regions in our cohort varying between 0.5 kb to 5 Mb and encompassing 6 1 allelic gains and 65 allelic losses. Irrespective of the type of allelic imbalance and gene function, we assigned the same relevance to each identified region and gene by considering it as "affected". In total, coding regions of 769 genes were partially or entirely involved and only 5 genes *(AUTS2, ETSl, FGD4, PRKCH, FTO)* were found recurrently affected in only up to 5 tumors.

In contrast to large chromosomal aberrations commonly detected by CGH in public data, the genomic alterations < 5 Mb could not be linked to morphologically defined

- 90 -

RCC subtypes. Additional expression analysis of the 769 genes against the GENEVESTIGATOR *(10, 11)* (http://www.genevestigator.com) human microarray dataset showed no apparent clustering (data not shown).

5   We next ran the entire gene list against classification systems such as Ingenuity, KEGG and PANTHER. The PANTHER software integrated them into superior biological processes. This database mapped 557 of 769 IDs (73%). PANTHER BAR CHART allocated the 557 genes to 76 of a total of 165 available signaling- and metabolic "networks" (Fig. IB, Table 7). Analyzing the genes for each of these four

10   processes revealed the diversity and plasticity with genes commonly involved in different "pathways", culminating in superior biological processes. As an example the "Actin related protein 2/3 complex", initially affiliated to "Inflammation" (PANTHER pathway ID P00031), contains the gene ARPC5L which is also implicated in Integrin signalling (PANTHER pathway ID P00034), Huntington

15   disease (PANTHER pathway ID P00029) or the Cytoskeletal regulation by Rho GTPases (PANTHER pathway ID P00016).

We then generated gene lists of each of the 76 processes as assigned by PANTHER and investigated each of these gene lists on the RNA expression level by hierarchical

20   clustering in 98 primary RCCs (including the samples used for the SNP array experiment), 15 RCC metastases as well as in 34 cell lines, using Affymetrix HG-U133A arrays (Table 6). For example, the four dominating biological processes (Fig. IB) "Inflammation", "Angiogenesis", "Integrin" and "Wnt" consisted of 476, 354, 365 and 497 genes, respectively. Within the clustering of these four dominating

25   processes we observed different, clearly distinguishable major group patterns (Fig. 2A-D, table 5), suggesting several tumor group-specific gene regulatory mechanisms. In contrast, no clear differential gene expression patterns were obtained through hierarchical clustering of the genes of the remaining 72 biological processes (including those for apoptosis, HIF or p53 signaling).

30

- 91 -

We then selected up to four gene clusters from each of the four matrices with a total of 92 genes that were most representative for the overall clustering of the samples (Fig.2A-D, red boxes, Table 8) and combined them into a new matrix. Subsequent clustering of this matrix yielded four clearly distinct tumor groups (termed "A", "B",

5   "C" and "cell lines") (Fig.3, table 5). Although being members of four "pathways" as proposed by PANTHER, the 92 genes represented only a small percentage of genes involved in these biological processes. We therefore preferred to subdivide the tumor groups into "A", "B" "C" and "cell lines" rather than considering them as pathway-specific. Notably, even though only one *(ITGAL)* out of the 92 selected cluster-

10   related genes was directly affected by a CNA in only one tumor of our RCC set, they collectively constitute group-specific expression signatures which ultimately appear to have originated from the genomic alterations detected by our SNP array analysis.

In contrast to the cell lines which represent a separate group, RCC metastases and

15   primary RCCs split into group A, B or C irrespective of the tumor subtype, stage or differentiation grade. Although clear cell RCC (ccRCC), papillary RCC (pRCC) and chromophobe RCC have a different morphological phenotype, the combined appearance of the three subtypes across different clusters suggests molecular similarities.

20

We then profiled gene expression across 40 primary RCC samples that were arbitrarily chosen from the three tumor groups previously identified in Fig. 3. Hierarchical clustering of these samples across all 22,000 probe sets of this array showed that type B was clearly distinct from A and C (Fig.4C left) and group A

25   appeared as a tight cluster within the C clad. Using SAM *(12),* at least a 2-fold change in the expression level was seen for more than 2,000 genes, with 1,455 genes higher and 715 genes lower expressed in B compared to A and C, and 221 genes positively and 11 genes negatively regulated in A versus C. These independent findings confirmed the previous grouping of RCCs based on the genes derived from

30   the SNP array results.

- 92 -

The most differentially regulated genes between group B and groups A and C were represented by 48 genes, with 16 being low expressed in B but strongly expressed in A and C (8.7 - 5.7 fold change) and 32 transcripts being abundant in B but decreased in A and C (14.4 - 5.2 fold change) (Fig. 4A left, Tables 1 and 2). Twenty-three genes clearly distinguished groups A and C with 4 genes being highly expressed in C but not in A (14.3 - 2.5 fold change), while 19 were highly expressed in A but not in C (16.0 - 4.2 fold change) (Fig. 4B left, Tables 3 and 4).

We then compared the expression characteristics of these genes across 80 different tumor studies (comparison sets of "tumor" versus "healthy") available from all HG_U133A microarrays in the GENEVESTIGATOR database. For those genes differentially expressed between RCC tumors B versus RCC tumors A and C, four independent kidney cancer experiments and 24 further tumor sets exhibited a very similar bimodal expression signature. Sixteen of these sets had a similar signature as RCC types A and C; eight sets were similar to type B. Similarly, for those genes that were most significantly deregulated between RCC tumors type A versus type C, 16 tumor sets showed similar characteristics in GENEVESTIGATOR. Not only kidney cancer but also thyroid cancer were similar to RCC type A, while 12 other sets, including breast-, bladder- and cervical carcinoma, were highly correlated to type C. For the remaining 39 tumor sets present in the database, none had group A, B or C specific gene signatures. These results validated our approach as they demonstrate high reproducibility of three different general molecular signatures in carcinogenesis, not only in RCC but also in other tumor types, arguing for conforming molecular strategies exploited by a number of different human cancers.

We then randomly selected 27 RCCs from the three respective groups (Fig. 3) and placed them into a small tissue microarray (TMA). A Hematoxylin/Eosin stained TMA section was blindly evaluated by a pathologist. All nine tumors of group A were characterized by high microvessel density (MVD), whereas there were no

- 93 -

specific morphologic features in the tumors of groups B and C. To further verify this finding, we immunohistochemically stained the endothelial cell marker CD34 in the 27 RCCs.

5   As shown in Table 9 and Fig. 5, the results largely confirmed group-specific angiogenic traits. All nine tumors in group A, but only three in group B and one in group C had more than 100 microvessels, whereas the remaining ones had less than 50 microvessels per arrayed spot (0. 036 mm^2). Tumors with high and low MVD were classified accordingly. No further specific morphological features were seen in 10   the tumors assigned to group B and C.

We then searched with SAM for genes with a clear present or absent expression profile in the three groups. By examining staining patterns of several protein candidates coded by these genes, we were finally able to assign tumors with high 15   MVD as well as DEK and MSH6 positivity to group A, MSH6 negative tumors to group B, and tumors with low MVD but DEK and MSH6 positivity to group C.

To evaluate the obtained group-specific protein expression patterns in a much higher number of tumors, we screened a TMA with 254 RCCs. By strictly applying the 20   staining combinations obtained form the small test TMA, 189 tumors (75%) were clearly assigned to a specific group. There were organ-confined and metastasizing RCCs of different tumor subtype and nuclear differentiation grade but varying frequencies in these groups (Fig. 6).

25   To determine the clinical aggressiveness of these groups, we focused our analysis on 176 of 189 RCC samples on the TMA for which survival data were available. Kaplan-Meier analysis showed a highly significant correlation (log rank test: p<0.0001) of group affiliation with overall survival, in which patient outcome was best in group A and worst in group C (Fig. 4D). This result was independent from 30   tumor stage and Thoenes grade in a multivariate analysis (Fig. 6). By performing this

- 94 -

survival analysis, we demonstrate that the molecular re-classification of RCC allows the identification of early stage tumors (pTl and pT2) with high metastasizing potential associated with poor patient prognosis. In addition, the finding of late stage non-metastasizing RCCs in group A also suggests the existence of patients with a relative good prognosis although their tumors were categorized as pT3.

The data presented in this report suggests that the discovered group forming clusters represent gene signatures reflecting three common modalities of cancerogenesis. These gene clusters could be determined only by applying the entire set of the 126 tumor-specific CNAs detected in our RCC cohort. It is therefore remarkable that, although the frequencies of CNAs were largely differing in a single tumor and varied between none and 18 altered genomic regions, each of the group-specific gene expression patterns remained stable. Consequently, each of these RCC must have developed individually balanced mechanisms different to CNAs (i.e. mutations, methylations, transcriptional and translational modifications), which together support the regulation of molecular components to reach one of the three tumor groups (Fig. V).

Our meta-analysis suggests similar strategies pursued by a number of different human cancer types. It is therefore tempting to speculate that either the entirety of different types of molecular alterations (i.e. mutations, CNAs and methylation) existing in a single tumor or the entirety of a specific type of molecular alteration (i.e. CNAs only) in a tumor type cohort would always lead to group-specific outputs, visualizable by gene expression profiling.

The data indicate that each tumor has programmed its own molecular road map by trial and error to finally reach one of the three different "destinations". As our meta-analysis demonstrated the existence of these groups or discrete states in different but not in all human cancer types, additional yet unknown groups may exist.

3. Identification of RCC specific gene sets

Expression data generation

5   The data used for the computer-implemented, algorithm-based analysis was created using micro array chips such as those made by Affimetrix. The mRNA in the sample is amplified using PCR. On the micro array chip each gene is represented by multiple (usually 10 to 20) sequences of 25 nucleotides taken from the gene. Usually each sequence is found a second time on the chip in a modified version. This modified

10   version is called mismatch (the correct version is called perfect match). It is used to estimate the unspecific binding of mRNA to the particular sequence. This pair of sequences is called probe pair. All probe pairs for one gene are called probe set. The sequences and their layout across the chip are defined and documented by the vendor of the micro array chip. After each measurement of a sample one has up to 50 values

15   per gene which need to be combined into one expression level for the gene in the sample.

Normalization

20   In order to determine the expression level different approaches can be used. One prominent example is the model-based-normalization (27). In model-based-normalization for each probe pair the difference between perfect match (PM) and mismatch (MM) is calculated. One then considers the results for one probe set (in other words: for one gene) but from multiple samples or measurements.

25

It is assumed that the sensitivity s_p of each probe pair p is different but specific to this probe pair. On the other hand expression e_s level for each gene in one sample s should be constant across all probe pairs. Hence one assumes

30            $$\mathbf{PM}_{s,p} - \mathbf{MM}_{s,p} = s_p \, e_s + n_{p,s} \qquad\qquad (1)$$

where $n_{p,s}$ is some additional noise. s_p and e_s are now optimised such that the sum of «p,s is minimal and the sum of s_p^2 is equal to the number of probe pairs

An additional way of normalizing data relies on using kernel regression. The rationale for using kernel regression normalization is that probe pair signal and/or expression levels may still exhibit different scaling and offsets across different measurements. For example, the duration and effectiveness of the PCR may modify these signals in this way. Furthermore signals amplification may differ in a non linear way between measurements. In order to compare measurements these modifications have to be compensated. One option for this compensation are kernel regression methods (32), e.g. Lowess.

In order to determine the regression one has to define a set of genes and a reference sample. The reference sample is taken to be the most average sample with the least number of outliers. The gene set may include all genes, but also a subset of genes can be used, e.g. the predefined set of housekeeping genes as supplied by the micro array vendor) or a set of genes determined by the invariant set method (28).

However, a systematic amplification of a group of genes due to a cancer status might lead to a similar non-linear relationship between samples. Therefore kernel regression methods shall be used with caution in this context. The software dChip (29) implements most of the aforementioned normalization methods.

Scaling

The normalization is usually done on a set of samples. Hence these sample become comparable in scale and offset. However, samples measured and normalized at different places will still differ in this respect. Therefore a scaling of the data is required that is robust against

> • Errors in extreme data points,

> · Offsets and

- Linear scalings.

Furthermore the scaling shall not depend at this point on any data from other samples. One possibility to achieve this is to use the following formula:

$$e_S{}^{caied} = (f(e) - m) / \sigma \qquad\qquad (2)$$

with

- Some function f, which may transform the expression level in order to reduce the influence of extreme value. For example it might be the identity or the logarithm. If a function like the logarithm is used one may need to add a small constant ε before evaluating the logarithm in order to avoid non finite values. Then the size of ε should be of the order of the smallest measured expression levels.
- Some average m taken over all expression levels (after transformation by f) within one sample. Examples for this average are the arithmetic average or the median.
- Some quantity σ representing the scale of the data. An example here is the standard deviation taken over all expression levels (after transformation by f) within one sample. One may also reduce the range taken into account to the 2 central quartiles.

Another possibility is to scale the expression levels linearly with respect to a set of house keeping genes. These genes shall be selected similarly as for the kernel regression.

Cluster Search

The states are considered common properties separating one group of tumors from the other both in gene expression levels and medical parameters. These groups of tumors can be established by applying different kinds of methods (33) of

- 98 -

unsupervised learning (like neural gases (31) and cluster search, e.g. k-nearest neighbour search (35) to the gene expression profiles of the tumors of a learning set. The selection of distance-measure (a metric) used by the algorithms is also important. One may choose simple euclidean norm but also correlations. The type of scaling used also influences the metric and hence the results of the cluster search.

Therefore it is advisable to use different algorithms, metrics and scalings to get a comprehensive picture from which the states can be derived.

These states may also form a kind of hierarchy, where two sets of states are clearly separated, but themselves split into sub-states.

In the case of RCC cancer 3 states were found, labeled A,B,C. The states A and C are sub states of a more general state which may be designated as AC.

Gene Search

Once the states are determined one has to find the genes which differentiate one state from the others in a given set of samples. The genes shall be selected in a way that they are as robust as possible against systematic errors of all kinds. This includes a good choice of scaling function as well as good choice of selection criteria. Possible selection criteria are

- The Significance Analysis of Microarray-Index (30).
- The correlation of the expression levels of all samples in a learning set against a function being 0 for all samples except those being in the state of interest. In latter case this function will be 1.
- The correctness of the prediction based on the gene using an optimised single gene model (e.g. see next section).

- 99 -

In order to enhance the quality of gene selection these criteria can be tested on different scalings, e.g. (2) and (4) or different normalizations, e.g. dChip-data and just model-based-normalized data and any combination of these.

5   The gene search shall only include such genes that fulfill minimum values for all selected criteria, e.g. the absolute of the correlation greater than 0.7 or the correctness greater than 0.85.

Model

10

Each sub-model consists of a list of genes g with corresponding thresholds θ_g and sides ((1) and (-1)) and two sets of status (set "in" and set "out"). In the first turn each gene is evaluated individually. This list of genes is determined using the gene search mentioned above and genes threshold is determined such that the correctness is

15   optimal. Genes with positive correlation are considered "overexpressed", the other genes are considered "underexpressed".

If side is "overexpressed" the following test is done:

$$\text{if} \quad e_g > \theta_g + a \quad \text{increase } N_{in} \text{ by 1} \tag{3a}$$

20    $$\text{if} \quad e_g < \theta g - a \quad \text{increase } N_{out} \text{ by 1} \tag{3b}$$

If side is "underexpressed" the following test is done:

$$\text{if} \quad e_g < \theta_g - a \quad \text{increase } N_{in} \text{ by 1} \tag{3c}$$

$$\text{if} \quad e_g > \theta g + a \quad \text{increase } N_{out} \text{ by 1} \tag{3d}$$

25

The factor $a >= 0$ defines a range of uncertainty around the genes threshold θ_g. A reasonable choice for a is $1/3$ if a scale-free scaling (like (2)) was used. Otherwise the scale has to be included into a.

30   These tests are done for all genes in a sub-model. In the end one has two counts N_{i_n}

- 100 -

and N_{out}. Now these two counts are compared:

- If $N_{in} > \beta N_{all}$ and $N_{in} > \gamma N_{out}$ then the tumor is considered to be in one of the "in" states
- If $N_{out} > \beta N_{all}$ and $N_{out} > \gamma N_{in}$ then the tumor is considered to be in one of the "out" states

.

The factor β defines a minimum fraction of genes which must have taken a decision in (3). The factor γ defines by how much the state set considered must beat the other set of states. Reasonable choices are $\beta = 1/3$ and $\gamma = 2$.

Reduced Models

For some applications the gene lists created by the gene search (see section Gene Search) are too exhaustive. In this case one may use just the best genes as selected by one or more of the criteria mentioned in section Gene Search. But this might not be the best selection for a given number of genes to be used. Although, all genes are tested individually and give a high number of correct predicted states, they may misclassify the same sample unless the genes are selected carefully from the larger list. The smaller the size of the requested subset the more careful the selection has to be done. Therefore an algorithm for sub-selecting genes is required.

This can be done with any optimisation algorithm, such as genetic algorithms or simple random-walk-optimisation on a set of optimisation criteria. Such criteria may include:

- Correctness of prediction on the learning set of samples. The result of the full gene list is assumed to be the correct state for the sample. Thus the reduced model is consistent with the full model.
- Correctness of the tendencies of prediction on the learning set of samples. If one remove the ranges of uncertainty totally ($a = 0, \beta = 0, \gamma = 1$) or in part (e.g. $\beta = 0, \gamma = 1$) from the model defined above, one still gets a state

- 101 -

but with less reliability. One can call these states tendency of the prediction and use it here if the unchanged model does not predict the state. Again the prediction and tendencies using the full gene list are assumed to represent the correct state for the sample. Thus the reduced

5   model is consistent with the full model both in prediction and tendency.

- Errors in prediction and tendencies of test set samples. Thess test set samples have not been included in the original learning set. Such data might be obtained from the Gene Expression Omnibus (34)

10   Additional constraints (e.g. at least 25 % of overexpressed gene) can be applied to the selection algorithm.

- 102 -

Table 1

No.	Probeset ID*	Gene Symbol	SEQ ID No. (mRNA)	SEQ ID No. (amino acid
1	216527_at	-	---	---
2	214715_x_at	ZNF160	1	2
3	222368_at	-	---	---
4	214911_s_at	BRD2	3	4
5	214870_x_at	LOC100288442	5	6
6	215978_x_at	LOC152719	7	8
7	221501_x_at	LOC339047	9	10
8	212177_at	SFRS18	11	12
9	216563_at	ANKRD12	13	14
10	213311_s_at	TCF25	15	16
11	216187_x_at	-	---	---
12	208246_x_at	-	---	---
13	214235_at	CYP3A5	17	18
14	220796_x_at	SLC35E1	19	20
15	206792_x_at	PDE4C	21	22
16	214035_x_at	LOC399491	23	24
17	215545_at	-	---	---
18	212487_at	GPATCH8	25	26
19	221191_at	STAG3L1	27	28
20	213813_x_at	-	---	---
21	220905_at	-	---	---
22	214052_x_at	BAT2D1	29	30
23	212520_s_at	SMARCA4	31	32
24	221419_s_at	-	---	---
25	211948_x_at	BAT2D1	33	34
26	221860_at	HNRNPL	35	36
27	211600_at	PTPRO	37	38
28	214055_x_at	BAT2D1	39	40
29	220940_at	ANKRD36B	41	42
30	212027_at	RBM25	43	44
31	213917_at	PAX8	45	46
32	208610_s_at	SRRM2	47	48
33	202379_s_at	NKTR	49	50
34	211996_s_at	LOC100132247	51	52

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

5

Table 2

No.	Probeset ID*	Gene Symbol	SEQ ID No. (mRNA)	SEQ ID No. (amino acid
1	201554_x_at	GYG1	53	54
2	221449_s_at	ITFG1	55	56
3	201337_s_at	VAMP3	57	58
4	203207_s_at	MTFR1	59	60
5	214359_s_at	HSP90AB1	61	62
6	208029_s_at	LAPTM4B	63	64
7	209739_s_at	PNPLA4	65	66
8	202226_s_at	CRK	67	68
9	207124_s_at	GNB5	69	70
10	211450_s_at	MSH6	71	72
11	218163_at	MCTS1	73	74
12	218462_at	BXDC5	75	76
13	211563_s_at	C19orf2	77	78
14	215236_s_at	PICALM	79	80
15	200973_s_at	TSPAN3	81	82
16	219819_s_at	MRPS28	83	84

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

Table 3

No.	Probeset ID*	Gene Symbol	SEQ ID No. (mRNA)	SEQ ID No. (amino acid
1	221872_at	RARRES1	85	86
2	211519_s_at	KIF2C	87	88
3	219429_at	FA2H	89	90
4	204259_at	MMP7	91	92

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

Table 4

No.	Probeset ID*	Gene Symbol	SEQ ID No. (mRNA)	SEQ ID No. (amino acid
1	206836_at	SLC6A3	93	94
2	208711_s_at	CCND1	95	96
3	221031_s_at	APOLD1	97	98
4	205903_s_at	KCNN3	99	100
5	205247_at	NOTCH4	101	102
6	219371_s_at	KLF2	103	104
7	204677_at	CDH5	105	106
8	205902_at	KCNN3	107	108
9	212558_at	SPRY1	109	110
10	221529_s_at	PLVAP	111	112
11	212538_at	DOCK9	113	114
12	218995_s_at	EDN1	115	116
13	218353_at	RGS5	117	118
14	204468_s_at	TIE1	119	120
15	219091_s_at	MMRN2	121	122
16	205507_at	ARHGEF15	123	124
17	209070_s_at	RGS5	125	126
18	221489_s_at	SPRY4	127	128
19	203934_at	KDR	129	130

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

5   **Table 5**

No.	Probeset ID*	Gene Symbol
1	202677_at	RASA1
2	207121_s_at	MAPK6
3	203218_at	MAPK9
4	200885_at	RHOC
5	200059_s_at	RHOA
6	218236_s_at	PRKD3
7	206702_at	TEK
8	221016_s_at	TCF7L1
9	203238_s_at	NOTCH3
10	202273_at	PDGFRB
11	205247_at	NOTCH4
12	32137_at	JAG2
13	204484_at	PIK3C2B
14	202743_at	PIK3R3
15	205846_at	PTPRB
16	203934_at	KDR
17	202668_at	EFNB2
18	212099_at	RHOB

19	219304_s_at	PDGFD
20	210220_at	FZD2
21	204422_s_at	FGF2
22	202647_s_at	NRAS
23	202095_s_at	BIRC5
24	219257_s_at	SPHK1
25	205962_at	PAK2
26	205897_at	NFATC4
27	208041_at	GRK1
28	208095_s_at	SRP72
29	200885_at	RHOC
30	212294_at	GNG12
31	208736_at	ARPC3
32	217898_at	C15orf24
33	200059_s_at	RHOA
34	207157_s_at	GNG5
35	208640_at	RAC1
36	201921_at	GNG10
37	209239_at	NFKB1
38	211963_s_at	ARPC5
39	204396_s_at	GRK5
40	201473_at	JUNB
41	201466_s_at	JUN
42	212099_at	RHOB
43	202112_at	VWF
44	213222_at	PLCB1
45	203896_s_at	PLCB4
46	202647_s_at	NRAS
47	219918_s_at	ASPM
48	217820_s_at	ENAH
49	202647_s_at	NRAS
50	205055_at	ITGAE
51	200950_at	ARPC1A
52	203065_s_at	CAV1
53	208750_s_at	ARF1
54	201659_s_at	ARL1
55	200059_s_at	RHOA
56	201097_s_at	ARF4
57	204732_s_at	TRIM23
58	219431_at	ARHGAP10
59	209081_s_at	COL18A1
60	216264_s_at	LAMB2
61	210105_s_at	FYN
62	204484_at	PIK3C2B
63	202743_at	PIK3R3
64	204543_at	RAPGEF1
65	221180_at	YSK4
66	206044_s_at	BRAF
67	217644_s_at	SOS2
68	206370_at	PIK3CG
69	213475_s_at	ITGAL

70	205718_at	ITGB7
71	221016_s_at	TCF7L1
72	205656_at	PCDH17
73	219656_at	PCDH12
74	204677_at	CDH5
75	204726_at	CDH13
76	208712_at	CCND1
77	213222_at	PLCB1
78	219427_at	FAT4
79	201921_at	GNG10
80	202981_x_at	SIAH1
81	201375_s_at	PPP2CB
82	201218_at	CTBP2
83	200765_x_at	CTNNA1
84	208652_at	PPP2CA
85	212294_at	GNG12
86	203896_s_at	PLCB4
87	220085_at	HELLS
88	202468_s_at	CTNNAL1
89	206194_at	HOXC4
90	206858_s_at	HOXC6
91	201321_s_at	SMARCC2

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

Table 6

Chip #	Genevestigator_Chip Title	Grade Thoenes	Stage	Subtype/Cell line	Clusters to Group	also on SNP array
1	RCC_clear cell_BI_rep1	2	2	clear cell RCC	B	yes
2	RCC_clear cell_BI_rep2	2	2	clear cell RCC	B	no
3	RCC_clear cell_BI_rep5	2	2	clear cell RCC	A	no
4	RCC_clear cell_S1_BI_rep1	2	1	clear cell RCC	C	yes
5	RCC_clear cell_S1_BI_rep2	1	2	clear cell RCC	B	no
6	RCC_clear cell_S1_BI_rep3	2	2	clear cell RCC	B	yes (out)
7	RCC_clear cell_S1_BI_rep4	1	1	clear cell RCC	A	no
8	RCC_clear cell_S1_BI_rep5	2	2	clear cell RCC	B	yes (out)
9	RCC_clear cell_S3_BI_rep1	3	3	clear cell RCC	B	yes (out)
10	RCC_clear cell_S3_BI_rep2	2	3	clear cell RCC	A	no
11	RCC_clear cell_S3_BI_rep3	3	2	clear cell RCC	C	yes
12	RCC_clear	2	3	clear cell RCC	C	yes

	cell_S3_BI_rep4					
13	RCC_clear cell_S3_BI_rep5	2	3	clear cell RCC	A	no
14	RCC_clear cell_S3_BI_rep6	3	3	clear cell RCC	A	yes
15	RCC_clear cell_S4_BI_rep1	1	3	clear cell RCC	C	yes
16	RCC_clear cell_S4_BI_rep2	1	3	clear cell RCC	A	yes (out)
17	RCC_clear cell_S4_BI_rep3	2	3	clear cell RCC	C	yes (out)
18	RCC_clear cell_S4_BI_rep4	2	3	clear cell RCC	B	no
19	RCC_clear cell_S4_BI_rep5	2	3	clear cell RCC	C	no
20	RCC_clear cell_S4_BI_rep6	2	2	clear cell RCC	B	yes (out)
21	RCC_clear cell_BII_rep1	2	3	clear cell RCC	B	yes (out)
22	RCC_clear cell_BII_rep2	2	2	clear cell RCC	B	yes (out)
23	RCC_clear cell_BII_rep3	2	2	clear cell RCC	B	yes
24	RCC_clear cell_BII_rep4	2	3	clear cell RCC	A	no
25	RCC_clear cell_BII_rep5	1	1	clear cell RCC	A	yes
26	RCC_clear cell_BII_rep6	2	2	clear cell RCC	A	yes
27	RCC_clear cell_BII_rep7	2	2	clear cell RCC	C	no
28	RCC_clear cell_BII_rep8	1	1	clear cell RCC	A	yes
29	RCC_clear cell_BII_rep9	1	1	clear cell RCC	A	yes
30	RCC_clear cell_BII_rep10	1	1	clear cell RCC	A	yes
31	RCC_clear cell_BII_rep11	2	3	clear cell RCC	A	yes
32	RCC_clear cell_BII_rep12	1	1	chromophobe RCC	C	no
33	RCC_clear cell_BII_rep13	1	1	clear cell RCC	A	no
34	RCC_clear cell_BII_rep14	2	1	clear cell RCC	A	no
35	RCC_clear cell_BII_rep15	1	3	clear cell RCC	A	yes
36	RCC_clear cell_BII_rep16	1	2	clear cell RCC	A	no
37	RCC_clear cell_BII_rep17	2	3	clear cell RCC	A	yes
38	RCC_clear cell_BII_rep18	1	1	clear cell RCC	A	no
39	RCC_clear cell_BII_rep19	1	1	clear cell RCC	A	yes (out)
40	RCC_clear cell_BII_rep20	1	1	clear cell RCC	A	yes
41	RCC_clear cell_BII_rep21	2	1	clear cell RCC	A	yes
42	RCC_clear cell_BII_rep22	1	1	clear cell RCC	A	yes
43	RCC_clear cell_BII_rep23	2	1	clear cell RCC	A	yes (out)
44	RCC_clear cell_BII_rep24	3	3	clear cell RCC	C	yes (out)
45	RCC_clear cell_BII_rep25	1	1	clear cell RCC and papillary RCC	C	yes
46	RCC_clear cell_BII_rep26	1	1	clear cell RCC	A	no
47	RCC_clear cell_BII_rep27	1	1	clear cell RCC	A	yes

- 108 -

						(out)
48	RCC_clear cell_BII_rep28	2	2	clear cell RCC	A	yes (out)
49	RCC_clear cell_BII_rep29	1	3	clear cell RCC	A	no
50	RCC_clear cell_BII_rep30	1	1	clear cell RCC	B	no
51	RCC_clear cell_BII_rep31	1	1	clear cell RCC	A	no
52	RCC_clear cell_BII_rep32	2	3	clear cell RCC	A	no
53	RCC_clear cell_BII_rep33	1	3	clear cell RCC	C	no
54	RCC_clear cell_BII_rep34	1	3	clear cell RCC	A	no
55	RCC_clear cell_BII_rep35	-	-	Metastasis	B	no
56	RCC_clear cell_BII_rep36	1	1	clear cell RCC	A	no
57	RCC_clear cell_BII_rep37	1	1	clear cell RCC	A	no
58	RCC_clear cell_BII_rep38	2	3	clear cell RCC	A	yes
59	RCC_clear cell_BII_rep39	2	2	clear cell RCC	A	yes
60	RCC_clear cell_BII_rep40	2	2	papillary RCC	C	yes (out)
61	RCC_clear cell_BII_rep41	2	3	clear cell RCC	C	yes
62	RCC_clear cell_BII_rep42	1	1	clear cell RCC	A	no
63	RCC_clear cell_S1_BII_rep1	1	2	clear cell RCC	B	yes (out)
64	RCC_clear cell_S1_BII_rep2	1	1	clear cell RCC	A	yes
65	RCC_clear cell_S1_BII_rep3	1	1	clear cell RCC	A	yes
66	RCC_clear cell_S1_BII_rep4	1	1	clear cell RCC	A	yes
67	RCC_clear cell_S1_BII_rep5	2	1	clear cell RCC	A	yes
68	RCC_clear cell_S2_BII_rep1	1	2	chromophobe RCC	B	yes
69	RCC_clear cell_S2_BII_rep2	1	2	clear cell RCC	A	no
70	RCC_clear cell_S2_BII_rep3	1	2	clear cell RCC	A	yes
71	RCC_clear cell_S3_BII_rep1	1	3	clear cell RCC	B	no
72	RCC_clear cell_S3_BII_rep2	1	3	clear cell RCC	B	no
73	RCC_clear cell_S3_BII_rep3	1	3	clear cell RCC	A	no
74	RCC_clear cell_S3_BII_rep4	1	3	clear cell RCC	A	yes
75	RCC_clear cell_S3_BII_rep5	2	3	clear cell RCC	A	no
76	RCC_clear cell_S3_BII_rep6	1	3	clear cell RCC	A	yes (out)
77	RCC_clear cell_S3_BII_rep7	2	3	clear cell RCC	A	yes (out)
78	RCC_clear cell_S4_BII_rep1	1	3	clear cell RCC	B	no
79	RCC_clear cell_S4_BII_rep2	1	1	clear cell RCC	A	no

80	RCC_papillary_BI_rep1	2	2	papillary RCC	A	no
81	RCC_papillary_BI_rep2	2	2	papillary RCC	B	no
82	RCC_papillary_BI_rep3	1	1	papillary RCC	C	yes
83	RCC_papillary_BI_rep5	2	3	papillary RCC	C	no
84	RCC_papillary_BI_rep6	1	3	papillary RCC	B	no
85	RCC_papillary_BI_rep7	2	2	papillary RCC	B	no
86	RCC_papillary_BI_rep8	3	2	papillary RCC	B	no
87	RCC_papillary_S1_BI_rep1	1	1	papillary RCC	C	yes
88	RCC_papillary_S1_BI_rep2	1	1	papillary RCC	C	yes
89	RCC_papillary_S2_BI_rep1	2	2	papillary RCC	B	no
90	RCC_papillary_S2_BI_rep2	2	2	papillary RCC	C	yes
91	RCC_papillary_S4_BI_rep1	2	3	papillary RCC	C	yes
92	RCC_papillary_S4_BI_rep2	1	2	papillary RCC	B	yes (out)
93	RCC_papillary_BII_rep1	2	3	papillary RCC	C	yes
94	RCC_papillary_BII_rep2	1	2	papillary RCC	C	yes
95	RCC_papillary_BII_rep3	1	1	papillary RCC	C	yes
96	RCC_papillary_BII_rep4	1	1	papillary RCC	C	no
97	RCC_papillary_BII_rep5	1	1	papillary RCC	C	no
98	RCC_papillary_BII_rep6	1	1	papillary RCC	C	no
99	RCC_papillary_BII_rep7	3	3	clear cell RCC and papillary RCC	C	no
100	RCC_meta._BI_rep1	-	-	Metastasis	C	no
101	RCC_meta._BI_rep2	-	-	Metastasis	A	no
102	RCC_meta._BI_rep3	-	-	Metastasis	C	no
103	RCC_meta._BI_rep4	-	-	Metastasis	C	no
104	RCC_meta._BI_rep5	-	-	Metastasis	C	no
105	RCC_meta._BI_rep6	-	-	Metastasis	C	no
106	RCC_meta._BI_rep7	-	-	Metastasis	C	no
107	RCC_meta._BI_rep8	-	-	Metastasis	C	no
108	RCC_meta._BI_rep9	-	-	Metastasis	C	no
109	RCC_meta._BI_rep10	-	-	Metastasis	C	no
110	RCC_meta._BI_rep11	-	-	Metastasis	C	no
111	RCC_meta._BI_rep12	-	-	Metastasis	C	no
112	RCC_meta._BI_rep13	-	-	Metastasis	A	no
113	RCC_meta._BI_rep14	-	-	Metastasis	A	no
114	RCC_cell line_BI_rep1	-	-	UMRC2	NA	no
115	RCC_cell line_BI_rep2	-	-	SLR24	NA	no
116	RCC_cell line_BI_rep3	-	-	A-498	NA	no
117	RCC_cell line_BI_rep4	-	-	SK-RC 52	NA	no
118	RCC_cell line_BI_rep5	-	-	786O (vhl19)	NA	no
119	RCC_cell line_BI_rep6	-	-	UMRC 6	NA	no
120	RCC_cell line_BI_rep7	-	-	ACHN	NA	no
121	RCC_cell line_BI_rep8	-	-	786O (vhl30)	NA	no
122	RCC_cell line_BI_rep9	-	-	A-704	NA	no
123	RCC_cell line_BI_rep10	-	-	SLR 26	NA	no
124	RCC_cell line_BI_rep11	-	-	Caki-1	NA	no
125	RCC_cell line_BI_rep12	-	-	RCC4 (vhl)	NA	no
126	RCC_cell line_BI_rep13	-	-	769-P	NA	no
127	RCC_cell line_BI_rep14	-	-	KC 12	NA	no

128	RCC_cell line_BI_rep15	-	-	RCC4 (neo)	NA	no
129	RCC_cell line_BI_rep16	-	-	SK-RC 29	NA	no
130	RCC_cell line_BI_rep17	-	-	SW 156	NA	no
131	RCC_cell line_BI_rep18	-	-	SK-RC 31	NA	no
132	RCC_cell line_BI_rep19	-	-	SLR 22	NA	no
133	RCC_cell line_BI_rep20	-	-	SK-RC 38	NA	no
134	RCC_cell line_BI_rep21	-	-	786-O	NA	no
135	RCC_cell line_BI_rep22	-	-	SK-RC 42	NA	no
136	RCC_cell line_BI_rep23	-	-	786O	NA	no
137	RCC_cell line meta._BI_rep1	-	-	SLR 25	NA	no
138	RCC_cell line meta._BI_rep2	-	-	SLR 20	NA	no
139	RCC_cell line meta._BI_rep3	-	-	Caki-2	NA	no
140	RCC_cell line meta._BI_rep4	-	-	SLR 21	NA	no
141	RCC_cell line meta._BI_rep5	-	-	KU 19-20	NA	no
142	RCC_cell line meta._BI_rep6	-	-	SLR 23	NA	no
143	RCC_prost. can. cell line_BI_rep1	-	-	PC3 hep 27	NA	no
144	RCC_prost. can. cell line_BI_rep2	-	-	PC3 hep 30	NA	no
145	RCC_prost. can. cell line_BI_rep3	-	-	PC3 vec 1	NA	no
146	RCC_prost. can. cell line_BI_rep4	-	-	PC3 vec 3	NA	no
147	RCC_kidney cell line_BI_rep1	-	-	HK-2	NA	no

Table 7

Pathway Name (Panther Accession Nr.)	Nr. of genes *	Percent of gene hit against total Nr. genes	Percent of gene hit against total Nr. pathway hits
2-arachidonoylglycerol biosynthesis (P05726)	2	0,2	0,4
5-Hydroxytryptamine degredation (P04372)	1	0,1	0,2
5HT1 type receptor mediated signaling pathway (P04373)	4	0,4	0,8
5HT2 type receptor mediated signaling pathway (P04374)	10	1	2
5HT3 type receptor mediated signaling pathway (P04375)	2	0,2	0,4
5HT4 type receptor mediated signaling pathway (P04376)	4	0,4	0,8

- 111 -

Adenine and hypoxanthine salvage pathway (P02723)	2	0,2	0,4
Adrenaline and noradrenaline biosynthesis (P00001)	2	0,2	0,4
Alpha adrenergic receptor signaling pathway (P00002)	6	0,6	1,2
Alzheimer disease-amyloid secretase pathway (P00003)	6	0,6	1,2
Alzheimer disease-presenilin pathway (P00004)	8	0,8	1,6
Angiogenesis (P00005)	21	2,2	4,3
Angiotensin II-stimulated signaling through G proteins and beta-arrestin (P05911)	4	0,4	0,8
Apoptosis signaling pathway (P00006)	15	1,5	3
Axon guidance mediated by Slit/Robo (P00008)	2	0,2	0,4
Axon guidance mediated by netrin (P00009)	2	0,2	0,4
Axon guidance mediated by semaphorins (P00007)	2	0,2	0,4
B cell activation (P00010)	12	1,2	2,4
Beta1 adrenergic receptor signaling pathway (P04377)	6	0,6	1,2
Beta2 adrenergic receptor signaling pathway (P04378)	6	0,6	1,2
Beta3 adrenergic receptor signaling pathway (P04379)	4	0,4	0,8
Cadherin signaling pathway (P00012)	4	0,4	0,8
Cortocotropin releasing factor receptor signaling pathway (P04380)	4	0,4	0,8
Cytoskeletal regulation by Rho GTPase (P00016)	8	0,8	1,6
Dopamine receptor mediated signaling pathway (P05912)	7	0,7	1,4
EGF receptor signaling pathway (P00018)	10	1	2
Endogenous_cannabinoid_signaling (P05730)	2	0,2	0,4
Endothelin signaling pathway (P00019)	13	1,3	2,6
Enkephalin release (P05913)	4	0,4	0,8
FAS signaling pathway (P00020)	6	0,6	1,2
FGF signaling pathway (P00021)	14	1,4	2,8
Formyltetrahydroformate biosynthesis (P02743)	2	0,2	0,4
Heterotrimeric G-protein signaling pathway-Gi alpha and Gs alpha mediated pathway (P00026)	12	1,2	2,4

- 112 -

Heterotrimeric G-protein signaling pathway-Gq alpha and Go alpha mediated pathway (P00027)	12	1,2	2,4
Heterotrimeric G-protein signaling pathway-rod outer segment phototransduction (P00028)	2	0,2	0,4
Histamine H1 receptor mediated signaling pathway (P04385)	6	0,6	1,2
Histamine H2 receptor mediated signaling pathway (P04386)	2	0,2	0,4
Huntington disease (P00029)	12	1,2	2,4
Inflammation mediated by chemokine and cytokine signaling pathway (P00031)	33	3,4	6,7
Insulin/IGF pathway-mitogen activated protein kinase kinase/MAP kinase cascade (P00032)	4	0,4	0,8
Insulin/IGF pathway-protein kinase B signaling cascade (P00033)	2	0,2	0,4
Integrin signalling pathway (P00034)	20	2,1	4,1
Interferon-gamma signaling pathway (P00035)	4	0,4	0,8
Interleukin signaling pathway (P00036)	8	0,8	1,6
Ionotropic glutamate receptor pathway (P00037)	2	0,2	0,4
JAK/STAT signaling pathway (P00038)	2	0,2	0,4
Metabotropic glutamate receptor group I pathway (P00041)	2	0,2	0,4
Metabotropic glutamate receptor group II pathway (P00040)	4	0,4	0,8
Metabotropic glutamate receptor group III pathway (P00039)	6	0,6	1,2
Methylcitrate cycle (P02754)	2	0,2	0,4
Muscarinic acetylcholine receptor 1 and 3 signaling pathway (P00042)	6	0,6	1,2
Muscarinic acetylcholine receptor 2 and 4 signaling pathway (P00043)	6	0,6	1,2
Nicotinic acetylcholine receptor signaling pathway (P00044)	6	0,6	1,2
Notch signaling pathway (P00045)	2	0,2	0,4
Opioid prodynorphin pathway (P05916)	4	0,4	0,8
Opioid proenkephalin pathway (P05915)	4	0,4	0,8
Opioid proopiomelanocortin pathway (P05917)	4	0,4	0,8
Oxidative stress response (P00046)	13	1,3	2,6
Oxytocin receptor mediated	10	1	2

signaling pathway (P04391)			
PDGF signaling pathway (P00047)	11	1,1	2,2
PI3 kinase pathway (P00048)	4	0,4	0,8
Parkinson disease (P00049)	8	0,8	1,6
Ras Pathway (P04393)	6	0,6	1,2
Synaptic_vesicle_trafficking (P05734)	2	0,2	0,4
T cell activation (P00053)	10	1	2
TCA cycle (P00051)	2	0,2	0,4
TGF-beta signaling pathway (P00052)	10	1	2
Thyrotropin-releasing hormone receptor signaling pathway (P04394)	8	0,8	1,6
Toll receptor signaling pathway (P00054)	2	0,2	0,4
Transcription regulation by bZIP transcription factor (P00055)	2	0,2	0,4
Ubiquitin proteasome pathway (P00060)	2	0,2	0,4
VEGF signaling pathway (P00056)	11	1,1	2,2
Wnt signaling pathway (P00057)	18	1,8	3,7
p38 MAPK pathway (P05918)	1	0,1	0,2
p53 pathway feedback loops 2 (P04398)	2	0,2	0,4
p53 pathway (P00059)	8	0,8	1,6

* double value is shown, as candidates were blasted against Celera and NCBI (H. sapiens)

Table 8

Angiogenesis		Inflammation		Integrin		Wnt	
cluster I - Probe Set ID*	Gene Symbol	cluster I - Probe Set ID*	Gene Symbol	cluster I - Probe Set ID*	Gene Symbol	cluster I - Probe Set ID*	Gene Symbol
202677_at	RASA1	205962_at	PAK2	217820_s_at	ENAH	221016_s_at	TCF7L1
207121_s_at	MAPK6	205897_at	NFATC4	202647_s_at	NRAS	205656_at	PCDH17
203218_at	MAPK9	208041_at	GRK1	205055_at	ITGAE	219656_at	PCDH12
200885_at	RHOC			200950_at	ARPC1A	204677_at	CDH5
200059_s_at	RHOA			203065_s_at	CAV1	204726_at	CDH13
218236_s_at	PRKD3					208712_at	CCND1
						213222_at	PLCB1
						219427_at	FAT4
cluster II - Probe Set ID*		cluster II - Probe Set ID*		cluster II - Probe Set ID*		cluster II - Probe Set	

- 114 -

					ID*	
206702_at	TEK	208095_s_at	SRP72	208750_s_at	ARF1	201921_at	GNG10
221016_s_at	TCF7L1	200885_at	RHOC	201659_s_at	ARL1	202981_x_at	SIAH1
203238_s_at	NOTCH3	212294_at	GNG12	200059_s_at	RHOA	201375_s_at	PPP2CB
202273_at	PDGFRB	208736_at	ARPC3	201097_s_at	ARF4	201218_at	CTBP2
205247_at	NOTCH4	217898_at	C15orf24			200765_x_at	CTNNA1
32137_at	JAG2	200059_s_at	RHOA			208652_at	PPP2CA
204484_at	PIK3C2B	207157_s_at	GNG5			212294_at	GNG12
202743_at	PIK3R3	208640_at	RAC1				
205846_at	PTPRB	201921_at	GNG10				
203934_at	KDR	209239_at	NFKB1				
202668_at	EFNB2	211963_s_at	ARPC5				
212099_at	RHOB						
219304_s_at	PDGFD						
cluster III - Probe Set ID*		cluster III - Probe Set ID*		cluster III - Probe Set ID*		cluster III - Probe Set ID*	
210220_at	FZD2	204396_s_at	GRK5	204732_s_at	TRIM23	203896_s_at	PLCB4
204422_s_at	FGF2	201473_at	JUNB	219431_at	ARHGAPIO	220085_at	HELLS
202647_s_at	NRAS	201466_s_at	TUN	209081_s_at	COL18A1	202468_s_at	CTNNALI
202095_s_at	BIRC5	212099_at	RHOB	216264_s_at	LAMB2		
219257_s_at	SPHK1	202112_at	VWF	210105_s_at	FYN		
		213222_at	PLCB1	204484_at	PIK3C2B		
				202743_at	PIK3R3		
		cluster IV - Probe Set ID*		cluster IV - Probe Set ID*		cluster IV - Probe Set ID*	
		203896_s_at	PLCB4	204543_at	RAPGEF1	206194_at	HOXC4
		202647_s_at	NRAS	221180_at	YSK4	206858_s_at	HOXC6
		219918_s_at	ASPM	206044_s_at	BRAF	201321_s_at	SMARCC2
				217644_s_at	SOS2		
				206370_at	PIK3CG		
				213475_s_at	ITGAL		
				205718_at	ITGB7		

*The Probeset ID refers to the identification no. of the Affymetrix HG-U133A Chip.

Table 9

	Group A			Group B			Group C		
	CD34	DEK	MSH6	CD34	DEK	MSH6	CD34	DEK	MSH6
Tumor 1	high MVD	1	2	low MVD	3	0	low MVD	0	0
Tumor 2	high MVD	1	1	high MVD	1	0	low MVD	2	2
Tumor 3	high MVD	2	2	high MVD	0	0	low MVD	1	1
Tumor 4	high MVD	0	0	high MVD	0	0	low MVD	2	0
Tumor 5	high MVD	1	1	low MVD	0	0	low MVD	0	0
Tumor 6	high MVD	2	1	low MVD	0	0	low MVD	1	0
Tumor 7	high MVD	2	2	low MVD	0	0	low MVD	2	2
Tumor 8	high MVD	2	2	low MVD	0	0	low MVD	0	1
Tumor 9	high MVD	1	2	low MVD	0	0	low MVD	2	2

0=negative, 1=weak staining intensity, 2=moderate staining intensity, 3=strong staining intensity

5

Table 10

No.	ProbeSet ID	S	T*	Entrez	SEQ	No	ProbeSet ID	S	T*	Entrez	SEQ
1	221860_at	1	3.3441	3191	131	71	205367_at	1	1.2617	10603	201
2	219754_at	1	2.8455	55285	132	72	222186_at	1	1.7502	54469	202
3	211454_x_at	1	3.8185	400949	133	73	208936_x_at	1	2.4216	3964	203
4	216112_at	1	1.3782	---	134	74	202102_s_at	1	3.5148	23476	204
5	211386_at	1	1.8448	84786	135	75	213971_s_at	1	2.1596	100292841	205
6	33768_at	1	1.5069	1762	136	76	201680_x_at	1	3.3522	51593	206
7	206789_s_at	1	2.0255	5451	137	77	213876_x_at	1	2.1010	8233	207
8	215338_s_at	1	2.9496	4820	138	78	221350_at	1	0.2892	3224	208
9	32029_at	1	2.4556	5170	139	79	216525_x_at	1	2.8062	5387	209
10	212487_at	1	2.8105	23131	140	80	221182_s_at	1	3.4695	4848	210
11	222368_at	1	2.0125	—	141	81	214473_x_at	1	2.7307	5387	211
12	222366_at	1	2.8874	—	142	82	208475_at	1	0.9177	55691	212
13	204771_s_at	1	3.2049	7270	143	83	215667_x_at	1	2.4823	100132832	213
14	213813_x_at	1	2.8246	---	144	84	219392_x_at	1	4.3567	55771	214
15	212783_at	1	2.8134	5930	145	85	213205_s_at	1	0.3487	23132	215
16	221191_at	1	1.2696	54441	146	86	222047_s_at	1	3.9224	51593	216
17	216527_at	1	1.2548	---	147	87	209932_s_at	1	3.8225	1854	217
18	215545_at	1	1.2992	—	148	88	219507_at	1	2.6101	51319	218
19	220905_at	1	2.7221	---	149	89	204538_x_at	1	4.8527	9284	219
20	208662_s_at	1	3.4742	7267	150	90	41386_i_at	1	1.0805	23135	220
21	208120_x_at	1	3.3528	400949	151	91	214004_s_at	1	4.1868	9686	221
22	48580_at	1	2.9000	30827	152	92	217804_s_at	1	2.7713	3609	222
23	213185_at	1	1.6378	23247	153	93	216751_at	1	0.6769	—	223
24	203496_s_at	1	2.7044	5469	154	94	215541_s_at	1	0.7933	1729	224
25	203701_s_at	1	1.6273	55621	155	95	212028_at	1	2.5778	58517	225
26	207186_s_at	1	3.5894	2186	156	96	217576_x_at	1	0.7751	6655	226
27	219437_s_at	1	3.5114	29123	157	97	215434_x_at	1	3.6643	100132406	227
28	212317_at	1	2.7809	23534	158	98	212759_s_at	1	2.7559	6934	228
29	217994_x_at	1	3.1814	54973	159	99	45687_at	1	3.0172	78994	229
30	210463_x_at	1	1.6328	55621	160	100	209534_x_at	1	3.0095	11214	230
31	212994_at	1	2.7464	57187	161	101	213956_at	1	2.3039	9857	231
32	202379_s_at	1	4.4881	4820	162	102	202384_s_at	1	1.9349	6949	232
33	219639_x_at	1	2.9579	56965	163	103	220940_at	1	3.7431	57730	233
34	205178_s_at	1	2.9427	5930	164	104	216550_x_at	1	2.9023	23253	234
35	215032_at	1	1.8919	6239	165	105	201224_s_at	1	2.8594	10250	235
36	213235_at	1	2.7268	400506	166	106	220696_at	1	-0.7982	---	236
37	210266_s_at	1	2.9143	51592	167	107	206565_x_at	1	3.2219	11039	237
38	203297_s_at	1	2.0353	3720	168	108	213650_at	1	3.8542	23015	238
39	212596_s_at	1	2.1500	10042	169	109	204403_x_at	1	4.2212	9747	239
40	218555_at	1	1.3394	29882	170	110	201856_s_at	1	1.8053	51663	240
41	202774_s_at	1	2.6265	6433	171	111	210069_at	1	2.6025	1375	241
42	214001_x_at	1	2.6765	---	172	112	202574_s_at	1	1.6621	1455	242

No	ProbeSet ID	S	T*	Entrez	SEQ	No	ProbeSet ID	S	T*	Entrez	SEQ
43	212571_at	1	2.3779	57680	173	113	204741_at	1	2.5709	636	243
44	202682_s_at	1	3.1557	7375	174	114	218920_at	1	2.4642	54540	244
45	202473_x_at	1	0.9283	3054	175	115	221526_x_at	1	2.9858	56288	245
46	214464_at	1	3.9210	8476	176	116	208930_s_at	1	3.8138	3609	246
47	206567_s_at	1	2.4514	51230	177	117	204428_s_at	1	1.7085	3931	247
48	209579_s_at	1	4.2461	8930	178	118	214041_x_at	1	3.0486	6168	248
49	34260_at	1	1.0468	9894	179	119	221043_at	1	0.7752	---	249
50	214195_at	1	0.9257	1200	180	120	212451_at	1	2.5922	9728	250
51	219105_x_at	1	2.2929	23594	181	121	218808_at	1	0.0000	55152	251
52	213328_at	1	2.8602	4750	182	122	213311_s_at	1	4.0840	22980	252
53	208663_s_at	1	2.9901	7267	183	123	44146_at	1	1.6473	26205	253
54	214843_s_at	1	2.6647	23032	184	124	205415_s_at	1	0.7316	4287	254
55	220072_at	1	2.8119	79848	185	125	213729_at	1	3.6076	55660	255
56	219468_s_at	1	2.1238	404093	186	126	217734_s_at	1	2.7312	11180	256
57	220370_s_at	1	1.5578	57602	187	127	205339_at	1	0.0537	6491	257
58	212318_at	1	2.6286	23534	188	128	221718_s_at	1	2.9493	11214	258
59	206169_x_at	1	2.8966	23264	189	129	39650_s_at	1	0.5091	80003	259
60	201728_s_at	1	2.6719	9703	190	130	221496_s_at	1	2.8841	10766	260
61	205434_s_at	1	3.3218	22848	191	131	210094_s_at	1	3.0740	56288	261
62	203597_s_at	1	2.3050	11193	192	132	214526_x_at	1	2.3316	5379	262
63	222291_at	1	2.7298	25854	193	133	214723_x_at	1	1.7216	375248	263
64	208859_s_at	1	2.8255	546	194	134	209715_at	1	3.3266	23468	264
65	201959_s_at	1	3.4533	23077	195	135	212177_at	1	4.3567	25957	265
66	40569_at	1	1.2913	7593	196	136	217679_x_at	1	3.9197	---	266
67	209088_s_at	1	3.1422	29855	197	137	213850_s_at	1	4.4362	9169	267
68	209945_s_at	1	2.6078	2932	198	138	216563_at	1	2.3194	23253	268
69	206967_at	1	1.4198	904	199	139	202818_s_at	1	2.9712	6924	269
70	206416_at	1	1.4064	7755	200	140	221829_s_at	1	4.5874	3842	270

Table 10 continued

No	ProbeSet ID	S	T*	Entrez	SEQ	No	ProbeSet ID	S	T*	Entrez	SEQ
141	220368_s_at	1	1.4496	55671	271	210	208989_s_at	1	1.7391	22992	340
142	210666_at	1	1.3500	3423	272	211	202821_s_at	1	2.0807	4026	341
143	211342_x_at	1	2.5036	9968	273	212	213926_s_at	1	2.2782	3267	342

3						2					
144	216450_x_at	1	3.3900	7184	274	213	215856_at	1	0.3196	284266	343
145	212926_at	1	2.0261	23137	275	214	32032_at	1	2.2692	8220	344
146	208995_s_at	1	2.0054	9360	276	215	201072_s_at	1	2.1603	6599	345
147	217152_at	1	0.6767	---	277	216	208710_s_at	1	3.9944	8943	346
148	213277_at	1	-0.6754	677	278	217	200702_s_at	1	3.8224	57062	347
149	222104_x_at	1	4.0594	2967	279	218	217485_x_at	1	2.1485	5379	348
150	215279_at	1	0.9778	---	280	219	213526_s_at	1	1.3571	55957	349
151	217620_s_at	1	1.3042	5291	281	220	220456_at	1	1.6757	55304	350
152	218742_at	1	1.2959	64428	282	221	214756_x_at	1	2.0706	5379	351
153	207605_x_at	1	1.2134	51351	283	222	214353_at	1	0.2380	---	352
154	210579_s_at	1	-0.3495	10107	284	223	78495_at	1	1.6266	155060	353
155	208803_s_at	1	2.7603	6731	285	224	203204_s_at	1	1.2374	9682	354
156	44822_s_at	1	0.8390	54531	286	225	217878_s_at	1	2.0496	996	355
157	214870_x_at	1	4.9662	10028844	287	226	41160_at	1	2.1791	53615	356
158	205787_x_at	1	2.0152	9877	288	227	214017_s_at	1	0.0077	9704	357
159	213893_x_at	1	2.7065	5383	289	228	214659_x_at	1	2.2679	56252	358
160	48612_at	1	1.5062	9683	290	229	50376_at	1	2.4008	55311	359
161	222133_s_at	1	1.4355	51105	291	230	216187_x_at	1	4.4533	---	360
162	212027_at	1	3.5596	58517	292	231	213445_at	1	1.5876	23144	361
163	222024_s_at	1	3.4062	11214	293	232	217611_at	1	0.4124	157697	362
164	208993_s_at	1	3.0181	9360	294	233	205068_s_at	1	2.7417	23092	363
165	205370_x_at	1	4.7353	1629	295	234	201635_s_at	1	2.8099	8087	364
166	222193_at	1	0.2730	60526	296	235	214552_s_at	1	0.8368	9135	365
167	214035_x_at	1	4.8781	399491	297	236	220962_s_at	1	0.4547	29943	366
168	201861_s_at	1	4.8281	9208	298	237	221780_s_at	1	2.2823	55661	367
169	208797_s_at	1	1.1428	23015	299	238	211097_s_at	1	-0.1281	5089	368
170	204195_s_at	1	0.9807	5316	300	239	217622_at	1	0.2285	25807	369
171	222034_at	1	1.6939	10399	301	240	201026_at	1	1.6815	9669	370
172	220828_s_at	1	-0.4009	55338	302	241	211996_s_at	1	4.9230	100132247	371
173	208900_s_at	1	3.6447	7150	303	242	220609_at	1	1.7994	202181	372
174	205134_s_at	1	1.5062	26747	304	243	213344_s_at	1	-0.0550	3014	373
175	216310_at	1	1.3131	57551	305	244	207205_at	1	-0.3542	1089	374

WO 2011/141544   PCT/EP2011/057691

- 119 -

176	201205_at	1	0.5415	100292328	306	245	206966_s_at	1	0.0713	11278	375
177	201996_s_at	1	2.0236	23013	307	246	208610_s_at	1	4.7898	23524	376
178	221501_x_at	1	5.0156	339047	308	247	204097_s_at	1	2.7474	51634	377
179	216843_x_at	1	2.3442	5379	309	248	211948_x_at	1	4.0689	23215	378
180	208879_x_at	1	1.4191	24148	310	249	212885_at	1	2.7556	10199	379
181	43544_at	1	1.8638	10025	311	250	37278_at	1	0.8022	6901	380
182	204909_at	1	-0.1882	1656	312	251	206500_s_at	1	0.8385	55320	381
183	202509_s_at	1	2.2336	7127	313	252	214055_x_at	1	4.4115	23215	382
184	214395_x_at	1	1.9902	1936	314	253	214501_s_at	1	3.9227	9555	383
185	215582_x_at	1	0.9031	8888	315	254	214335_at	1	0.1607	6141	384
186	220796_x_at	1	4.0349	79939	316	255	AFFX-M27830_5_at	1	3.7141	---	385
187	206323_x_at	1	3.5161	4983	317	256	221023_s_at	1	-0.6003	81033	386
188	209136_s_at	1	2.1393	9100	318	257	217654_at	1	-0.0306	---	387
189	218859_s_at	1	2.6581	51575	319	258	220466_at	1	0.3488	80071	388
190	216212_s_at	1	2.1806	1736	320	259	215605_at	1	0.8272	10499	389
191	220071_x_at	1	4.0476	55142	321	260	46142_at	1	0.9219	64788	390
192	208994_s_at	1	2.5538	9360	322	261	201024_x_at	1	4.6527	9669	391
193	204227_s_at	1	1.1780	7084	323	262	202301_s_at	1	2.7863	65117	392
194	202773_s_at	1	0.6752	6433	324	263	202414_at	1	2.6600	2073	393
195	222351_at	1	1.8154	5519	325	264	211886_s_at	1	-0.6122	6910	394
196	58900_at	1	1.6313	222070	326	265	217380_s_at	1	-0.4102	7511	395
197	206056_x_at	1	4.4435	6693	327	266	214250_at	1	0.4289	4926	396
198	210251_s_at	1	3.0237	22902	328	267	214911_s_at	1	4.4278	6046	397
199	203468_at	1	2.9207	8558	329	268	208685_x_at	1	4.2214	6046	398
200	211289_x_at	1	2.1424	728642	330	269	214693_x_at	1	4.9733	100132406	399
201	214052_x_at	1	2.7074	23215	331	270	214742_at	1	0.5155	22994	400
202	204649_at	1	-0.3037	10024	332	271	222023_at	1	-0.9535	11214	401
203	219380_x_at	1	1.4667	5429	333	272	202339_at	1	1.4628	8189	402
204	215848_at	1	0.4399	49855	334	273	203792_x_at	1	-0.0612	7703	403
205	207598_x_at	1	1.8445	7516	335	274	221686_s_at	1	-0.1091	9400	404
206	217644_s_at	1	0.6763	6655	336	274	221686_s_at	1	-0.1091	9400	404
207	222249_at	1	-0.5170	---	337	275	212079_s_at	1	1.6697	4297	405
208	218914_at	1	1.2460	51093	338	276	208237_x_at	1	0.1915	53616	406

8					6					
20 9	2 12620 _at	1	1.2975	23060	339	27 7	221683 _s_at	1	1.1982	80184	407

Table 10 continued

No	ProbeSet ID	S	T*	Entrez	SEQ	No	ProbeSet ID	S	T*	Entrez	SEQ
27 8	2 17471 _at	1	- 0.9988	---	408	34 7	2 11676 _s_at	-1	0.7779	3459	477
27 9	2 12106 _at	1	2.3823	23197	409	34 8	203776 _at	-1	0.3872	27238	478
28 0	2 17498 _at	1	- 0.9042	---	4 10	34 9	221 381 _s_at	-1	1.1420	10933	479
28 1	220401 _at	1	- 1.0337	79860	4 11	35 0	2091 12 _at	-1	1.4732	1027	480
28 2	8 1737 _at	1	- 0.4582	---	4 12	35 1	209310 _s_at	-1	0.7831	837	481
28 3	2 19897 _at	1	0.6897	79845	4 13	35 2	203261 _at	-1	1.7458	10671	482
28 4	221007 _s_at	1	2.0715	8 1608	414	35 3	208860 _s_at	-1	- 0.41 57	546	483
28 5	207349 _s_at	1	- 0.1878	7352	4 15	35 4	2061 74 _s_at	-1	0.8336	5537	484
28 6	2141 13 _s_at	- 1	1.01 33	9939	4 16	35 5	2 12168 _at	-1	0.5727	101 37	485
28 7	202919 _at	- 1	1.1561	25843	4 17	35 6	201529 _s_at	-1	0.6302	6 1 17	486
28 8	2 19485 _s_at	- 1	0.7641	5716	4 18	35 7	2 12438 _at	-1	0.3453	110 17	487
28 9	2 16304 _x_at	- 1	1.0802	10730	4 19	35 8	2 12544 _at	-1	1.0899	9326	488
29 0	2 17959 _s_at	- 1	1.2672	5 1399	420	35 9	203689 _s_at	-1	1.1102	2332	489
29 1	214429 _at	- 1	1.1991	9 107	421	36 0	201 179 _s_at	-1	0.2789	2773	490
29 2	201020 _at	- 1	1.1169	7533	422	36 1	208857 _s_at	-1	1.1374	5 110	491
29 3	200056 _s_at	- 1	1.0703	10438	423	36 2	2031 38 _at	-1	0.5301	8520	492
29 4	209551 _at	- 1	0.1229	84272	424	36 3	202799 _at	-1	1.0538	8 192	493
29 5	2 12268 _at	- 1	0.4909	1992	425	36 4	2 18519 _at	-1	0.0973	55032	494
29 6	208992 _s_at	- 1	1.21 0 1	6774	426	36 5	2 18486 _at	-1	0.8606	8462	495
29 7	2 17865 _at	- 1	1.9469	5581 9	427	36 6	203758 _at	-1	1.961 1	1519	496
29 8	2 12833 _at	- 1	0.9751	9 1137	428	36 7	2 11967 _at	-1	2.2047	11490 8	497
29 9	2 18449 _at	- 1	0.9798	55325	429	36 8	208029 _s_at	-1	0.5497	55353	498
30 0	221531 _at	- 1	- 0.2519	80349	430	36 9	201408 _at	-1	1.3267	5500	499
30 1	2031 56 _at	- 1	1.2641	112 15	431	37 0	2 18395 _at	-1	0.8451	64431	500
30 2	2 13027 _at	- 1	1.1477	6738	432	37 1	200973 _s_at	-1	0.0428	10099	501
30 3	221547 _at	- 1	0.2353	8559	433	37 2	200983 _x_at	-1	2.2568	966	502
30 4	209096 _at	- 1	0.7868	7336	434	37 3	204045 _at	-1	0.6250	9338	503
30 5	2 12461 _at	- 1	1.1393	5 1582	435	37 4	2 11985 _s_at	-1	1.4396	801	504
30 6	2021 66 _s_at	- 1	- 0.0224	5504	436	37 5	2 13882 _at	-1	- 0.51 82	83941	505

307	201176_s_at	-1	2.1289	372	437	376	205084_at	-1	0.1770	55973	506
308	212815_at	-1	0.5212	10973	438	377	200777_s_at	-1	2.5773	9689	507
309	219819_s_at	-1	0.0455	28957	439	378	213883_s_at	-1	1.3376	83941	508
310	212573_at	-1	0.9699	23052	440	379	212536_at	-1	0.9452	23200	509
311	202381_at	-1	1.9412	8754	441	380	212515_s_at	-1	0.9260	1654	510
312	202194_at	-1	2.0624	50999	442	381	200628_s_at	-1	0.7853	7453	511
313	201351_s_at	-1	1.2193	10730	443	382	213405_at	-1	0.5778	57403	512
314	203136_at	-1	1.4305	10567	444	383	209296_at	-1	1.3937	5495	513
315	211703_s_at	-1	-0.6144	83941	445	384	218229_s_at	-1	0.8658	57645	514
316	209786_at	-1	0.7245	10473	446	385	218946_at	-1	1.8086	27247	515
317	214545_s_at	-1	-0.8808	11212	447	386	202823_at	-1	0.9882	6921	516
318	204342_at	-1	0.5450	29957	448	387	208666_s_at	-1	0.5861	6767	517
319	212335_at	-1	0.9285	2799	449	388	201689_s_at	-1	-0.0148	7163	518
320	202089_s_at	-1	-0.3621	25800	450	389	201716_at	-1	0.8628	6642	519
321	200698_at	-1	1.9150	11014	451	390	218137_s_at	-1	0.8201	60682	520
322	219162_s_at	-1	0.3746	65003	452	391	200054_at	-1	0.4418	8882	521
323	203376_at	-1	0.7431	51362	453	392	208638_at	-1	2.6546	10130	522
324	218042_at	-1	1.3650	51138	454	393	206542_s_at	-1	1.1986	6595	523
325	213750_at	-1	-0.0803	26156	455	394	209208_at	-1	-0.2471	9526	524
326	220199_s_at	-1	0.7265	64853	456	395	218185_s_at	-1	0.6604	55156	525
327	217786_at	-1	-0.0522	10419	457	396	209300_s_at	-1	0.3792	25977	526
328	203646_at	-1	-0.0231	2230	458	397	214531_s_at	-1	0.5117	6642	527
329	208761_s_at	-1	1.3619	7341	459	398	209027_s_at	-1	0.6943	10006	528
330	202579_x_at	-1	1.6123	10473	460	399	200876_s_at	-1	2.7394	5689	529
331	208841_s_at	-1	1.7403	9908	461	400	221808_at	-1	1.2999	9367	530
332	218616_at	-1	-0.4623	57117	462	401	200812_at	-1	1.8392	10574	531
333	217919_s_at	-1	0.6034	28977	463	402	217898_at	-1	2.4200	56851	532
334	212418_at	-1	0.7882	1997	464	403	213404_s_at	-1	1.7281	6009	533
335	212038_s_at	-1	2.2340	7416	465	404	217313_at	-1	1.0846	---	534
336	203142_s_at	-1	0.5976	8546	466	405	208852_s_at	-1	1.5732	821	535
337	201078_at	-1	1.5492	9375	467	406	205961_s_at	-1	0.3967	11168	536
338	202979_s_at	-1	-0.0674	58487	468	407	218408_at	-1	0.7486	26519	537
339	209330_s_at	-	1.4640	3184	469	408	202978_s_at	-1	0.0798	58487	538

9		1				8					
340	218578_at	-1	0.0056	79577	470	409	214812_s_at	-1	2.4679	55233	539
341	209861_s_at	-1	1.0650	10988	471	410	212878_s_at	-1	0.1008	3831	540
342	200991_s_at	-1	1.0796	9784	472	411	202119_s_at	-1	2.0419	8895	541
343	202675_at	-1	1.2146	6390	473	412	209387_s_at	-1	0.3916	4071	542
344	218570_at	-1	0.2103	114971	474	413	209440_at	-1	2.0282	5631	543
345	208944_at	-1	2.1295	7048	475	414	220985_s_at	-1	0.4970	81790	544
346	200071_at	-1	1.0260	10285	476	415	218172_s_at	-1	0.4654	79139	545

Table 10 continued

No.	ProbeSet ID	S	T*	Entrez	SEQ
416	203284_s_at	-1	0.6826	9653	546
417	202163_s_at	-1	0.3248	9337	547
418	216483_s_at	-1	0.6811	56005	548
419	212887_at	-1	1.2028	10484	549
420	206989_s_at	-1	1.7013	9169	550
421	217725_x_at	-1	1.4834	26135	551
422	202314_at	-1	0.2208	1595	552
423	202680_at	-1	0.3848	2961	553
424	217843_s_at	-1	1.1394	29079	554
425	209025_s_at	-1	0.9337	10492	555
426	200668_s_at	-1	2.8886	7323	556
427	210691_s_at	-1	0.4321	27101	557
428	201472_at	-1	1.6450	7411	558
429	212956_at	-1	0.8992	23158	559
430	220926_s_at	-1	0.1949	80267	560
431	219356_s_at	-1	1.6198	51510	561
432	201511_at	-1	0.8429	14	562
433	212453_at	-1	1.5109	26128	563
434	212440_at	-1	1.7380	11017	564
435	218236_s_at	-1	0.8765	23683	565
436	201515_s_at	-1	2.1329	7247	566
437	201858_s_at	-1	1.1537	5552	567
438	212250_at	-1	1.6486	92140	568
439	217900_at	-1	2.1840	55699	569
440	217989_at	-1	1.7563	51170	570
441	210250_x_at	-1	1.3997	158	571
442	218761_at	-1	1.1344	54778	572
443	203053_at	-1	1.5515	10286	573
444	203721_s_at	-1	1.2257	51096	574
445	212989_at	-1	0.3137	259230	575
446	201847_at	-1	2.1432	3988	576
447	203983_at	-1	1.0034	7257	577
448	221761_at	-1	0.5938	159	578
449	203302_at	-1	0.3182	1633	579
450	212112_s_at	-1	1.9386	23673	580
451	210283_x_at	-1	1.2625	10605	581
452	217987_at	-1	1.4309	54529	582
453	218118_s_at	-1	0.6889	10431	583
454	202832_at	-1	1.3710	9648	584

"No." refers to gene numbers of Table 10 as mentioned herein. "ProbeSetID" refers to the identification number on the Affymetrix gene chip HT_HG-U133A. "S" refers to "side". The "side" defines whether a gene has to be over- or underexpressed for state B according to the model described in the Example section under "3. Identification of RCC specific gene sets". The value "1" indicates an

- 123 -

overexpression and the value "-1" indicates underexpression. "T*" refers to "threshold" and describes the value which used as control to decide on overexpression or underexpression. It corresponds to threshold O_g in equation (3) of example 3, "Entrez" describes the Entrez Genbank accession number. "SEQ" refers to the SEQ ID No..

5

Table 11

No	ProbeSet_ID	S	T*	Entrez	SEQ	No.	ProbeSet_ID	S	T*	Entrez	SEQ
1	203744_at	1	0.4538	3149	585	71	40687_at	-1	1.7953	2701	655
2	208699_x_at	1	2.1223	7086	586	72	221123_x_at	-1	2.2593	55893	656
3	218847_at	1	0.7209	10644	587	73	55583_at	-1	0.8311	57572	657
4	203355_s_at	1	0.1877	23362	588	74	214438_at	-1	0.3285	3142	658
5	213009_s_at	1	1.3696	4591	589	75	205656_at	-1	2.0638	27253	659
6	219874_at	1	-0.3138	84561	590	76	205572_at	-1	1.2492	285	660
7	218412_s_at	1	1.9539	9569	591	77	206271_at	-1	1.7322	7098	661
8	214039_s_at	1	3.7728	55353	592	78	218149_s_at	-1	2.9141	55893	662
9	208905_at	1	3.8095	54205	593	79	211266_s_at	-1	-0.6392	2828	663
10	201870_at	1	1.2113	10953	594	80	205903_s_at	-1	-1.5187	3782	664
11	34764_at	1	0.3539	23395	595	81	32137_at	-1	0.9339	3714	665
12	212186_at	1	1.2865	31	596	82	204642_at	-1	1.3247	1901	666
13	218526_s_at	1	1.4536	29098	597	83	44783_s_at	-1	1.7356	23462	667
14	202515_at	1	2.3460	1739	598	84	207414_s_at	-1	0.0035	5046	668
15	222056_s_at	1	1.1711	51011	599	85	213030_s_at	-1	0.3669	5362	669
16	217852_s_at	1	3.1994	55207	600	86	205199_at	-1	1.5983	768	670
17	222165_x_at	1	0.2755	79095	601	87	202479_s_at	-1	1.4550	28951	671
18	221196_x_at	1	0.7029	79184	602	88	202878_s_at	-1	2.8315	22918	672
19	206836_at	-1	2.3095	6531	603	89	218804_at	-1	-0.1414	55107	673
20	208712_at	-1	2.9253	595	604	90	209543_s_at	-1	2.1103	947	674
21	221747_at	-1	2.6506	7145	605	91	219091_s_at	-1	2.1372	79812	675
22	208711_s_at	-1	3.0413	595	606	92	209200_at	-1	1.5685	4208	676
23	218864_at	-1	0.6244	7145	607	93	201578_at	-1	2.5705	5420	677
24	205247_at	-1	0.9875	4855	608	94	204464_s_at	-1	1.5208	1909	678
25	219232_s_at	-1	2.6881	112399	609	95	210512_s_at	-1	4.3501	7422	679
26	222033_s_at	-1	2.7751	2321	610	96	206995_x_at	-1	0.5703	8578	680
27	205902_at	-1	-0.5815	3782	611	97	52255_s_at	-1	-0.0854	50509	681
28	208981_at	-1	2.8818	5175	612	98	219315_s_at	-1	2.0402	79652	682
29	204468_s_at	-1	0.3388	7075	613	99	210078_s_at	-1	0.1896	7881	683
30	218995_s_at	-1	0.6571	1906	614	100	218731_s_at	-1	2.3146	64856	684
31	221529_s_at	-1	2.6064	83483	615	101	212382_at	-1	1.9947	6925	685
32	202112_at	-1	3.0937	7450	616	102	212977_at	-1	1.7593	57007	686
33	212171_x_at	-1	3.1837	7422	617	103	215104_at	-1	-0.3730	83714	687
34	210513_s_at	-1	2.6802	7422	618	104	212793_at	-1	0.1560	23500	688
35	204736_s_at	-1	-0.0441	1464	619	105	206814_at	-1	-0.1826	4803	689
36	215244_at	-1	0.1464	26220	620	106	201655_s_at	-1	2.4592	3339	690
37	204726_at	-1	0.7609	1012	621	107	200878_at	-1	4.2720	2034	691
38	221009_s_at	-1	2.4870	51129	622	108	203438_at	-1	1.1001	8614	692
39	209652_s_at	-1	0.3797	5228	623	109	203238_s_at	-1	3.3351	4854	693
40	221794_at	-1	1.0626	57572	624	110	212538_at	-1	1.4583	23348	694
41	219134_at	-1	2.1160	64123	625	111	213349_at	-1	2.0507	23023	695
42	204677_at	-1	2.2375	1003	626	112	212758_s_at	-1	1.7063	6935	696
43	221031_s_at	-1	1.6851	81575	627	113	204904_at	-1	1.5891	2701	697
44	205073_at	-1	1.7351	1573	628	114	208851_s_at	-1	1.9715	7070	698

45	209071_s_at	-1	4.2320	8490	629	115	221814_at	-1	1.0068	25960	699
46	210287_s_at	-1	-0.8701	2321	630	116	213541_s_at	-1	0.5653	2078	700
47	203934_at	-1	2.2038	3791	631	117	219821_s_at	-1	-0.0525	54438	701
48	210869_s_at	-1	3.0257	4162	632	118	218507_at	-1	3.3707	29923	702
49	214297_at	-1	-0.6537	1464	633	119	204200_s_at	-1	0.5029	5155	703
50	206481_s_at	-1	1.9796	9079	634	120	218839_at	-1	0.8679	23462	704
51	206236_at	-1	-0.0285	2828	635	121	221748_s_at	-1	3.7428	7145	705
52	205507_at	-1	0.4425	22899	636	122	222079_at	-1	-0.8229	2078	706
53	218484_at	-1	1.9968	56901	637	123	201328_at	-1	1.9460	2114	707
54	219656_at	-1	0.8195	51294	638	124	201041_s_at	-1	4.0892	1843	708
55	218353_at	-1	4.3356	8490	639	125	212951_at	-1	2.1755	221395	709
56	218950_at	-1	0.6994	64411	640	126	202478_at	-1	1.6323	28951	710
57	208982_at	-1	3.2519	5175	641	127	211148_s_at	-1	0.0334	285	711
58	209784_s_at	-1	0.8851	3714	642	128	207290_at	-1	-1.5986	5362	712
59	203421_at	-1	-0.1158	9537	643	129	47550_at	-1	0.6652	11178	713
60	208394_x_at	-1	2.5754	11082	644	130	38918_at	-1	0.2916	9580	714
61	211626_x_at	-1	1.1110	2078	645	131	212387_at	-1	2.0779	6925	715
62	211527_x_at	-1	2.4324	7422	646	132	205846_at	-1	0.5781	5787	716
63	209439_s_at	-1	1.7810	5256	647	133	209183_s_at	-1	2.8982	11067	717
64	209086_x_at	-1	1.6992	4162	648	134	203753_at	-1	2.4717	6925	718
65	213075_at	-1	1.9951	169611	649	135	204463_s_at	-1	0.4644	1909	719
66	218723_s_at	-1	2.6944	28984	650	136	205326_at	-1	0.9484	10268	720
67	221489_s_at	-1	1.5033	81848	651	137	209199_s_at	-1	1.7644	4208	721
68	209070_s_at	-1	3.0697	8490	652	138	212386_at	-1	2.8700	6925	722
69	213792_s_at	-1	2.9646	3643	653	139	219619_at	-1	0.8413	54769	723
70	218825_at	-1	0.4534	51162	654	140	218660_at	-1	2.1403	8291	724

Table 11 continued

No.	ProbeSet ID	S	T*	Entrez Gene	SEQ
141	201624_at	-1	2.7292	1615	725
142	218975_at	-1	-0.5101	50509	726
143	219700_at	-1	0.4445	57125	727
144	213891_s_at	-1	2.3933	6925	728
145	201809_s_at	-1	2.5137	2022	729
146	202877_s_at	-1	1.9466	22918	730
147	205935_at	-1	-0.3196	2294	731
148	203063_at	-1	0.4574	9647	732
149	217844_at	-1	2.5577	58190	733
150	200632_s_at	-1	4.0630	10397	734
151	201365_at	-1	1.9308	4947	735
152	220027_s_at	-1	1.1731	54922	736
153	222146_s_at	-1	2.3658	6925	737
154	200904_at	-1	3.7534	3133	738
155	41856_at	-1	0.3849	219699	739
156	207560_at	-1	0.4660	9154	740
157	220335_x_at	-1	0.9676	23491	741
158	218876_at	-1	0.3678	51673	742
159	219777_at	-1	2.1049	474344	743
160	205341_at	-1	-0.9784	30846	744
161	212813_at	-1	2.0963	83700	745
162	219761_at	-1	0.1239	51267	746
163	209438_at	-1	1.2005	5256	747
164	212730_at	-1	1.0922	23336	748
165	214265_at	-1	0.3480	8516	749
166	204134_at	-1	0.8627	5138	750
167	200795_at	-1	3.4708	8404	751
168	218892_at	-1	-1.2529	8642	752
169	202912_at	-1	3.5054	133	753

- 125 -

170	221870_at	-1	2.5560	30846	754
171	212599_at	-1	2.5884	26053	755
172	208850_s_at	-1	1.5384	7070	756
173	206477_s_at	-1	-1.2220	4858	757
174	45297_at	-1	1.2774	30846	758
175	201150_s_at	-1	2.9579	7078	759
176	38671_at	-1	1.8357	23129	760
177	218656_s_at	-1	2.0210	10186	761
178	212552_at	-1	3.2921	3241	762
179	213869_x_at	-1	2.4380	7070	763
180	219602_s_at	-1	0.1530	63895	764
181	208983_s_at	-1	1.1928	5175	765
182	212235_at	-1	2.0713	23129	766
183	205801_s_at	-1	1.0815	25780	767
184	219719_at	-1	-0.9314	51751	768
185	204220_at	-1	1.6400	9535	769
186	212494_at	-1	1.3189	23371	770
187	220471_s_at	-1	-0.7667	80177	771
188	336_at	-1	-0.5174	6915	772
189	211340_s_at	-1	2.6655	4162	773
190	222101_s_at	-1	1.1035	8642	774
191	220507_s_at	-1	0.3747	51733	775
192	203439_s_at	-1	0.3593	8614	776
193	212226_s_at	-1	3.8913	8613	777
194	218805_at	-1	1.8552	55340	778
195	64064_at	-1	1.6477	55340	779

"No." refers to gene numbers of Table 10 as mentioned herein. "ProbeSetID" refers to the identification number on the Affymetrix gene chip HT_HG-U133A."S" refers to "side". The "side" defines whether a gene has to be over- or underexpressed in state C according to the model described in the Example section under "3. Identification of RCC specific gene sets". The value "1" indicates an overexpression and the value "-1" indicates underexpression. "T*" refers to "threshold" and describes the value which used as control to decide on overexpression or underexpression. It corresponds to threshold O_g in equation (3) of example 3. "Entrez" describes the Entrez Genbank accession number. "SEQ" refers to the SEQ ID No..

The following publications were considered in the context of the invention:

1.   D. Hanahan, R. A. Weinberg, Cell 100, 57 (2000).

2.   M. Baudis, M. L. Cleary, Bioinformatics 17, 1228 (2001).

3.   L. J. Engle, C. L. Simpson, J. E. Landers, Oncogene 25, 1594 (2006).

4.   R. Beroukhim et al, Cancer Res 69, 4674 (2009).

5.   R. Beroukhim et al, Nature 463, 899 (2010).

6.   R. S. Huang, M. E. Dolan, Pharmacogenomics 11, 471 (2010).

7.   J. L. Huret, S. Senon, A. Bernheim, P. Dessen, Cell Mol Biol (Noisy-le-grand) 50, 805 (2004).

8.   M. Baudis, BMC Cancer 7, 226 (2007).

- 126 -

9.   F. Forozan, R. Karhu, J. Kononen, A. Kallioniemi, O. P. Kallioniemi, Trends in Genetics 13, 405 (1997).

10.   T. Hruz et al, Adv Bioinformatics 2008, 420747 (2008).

11.   P. Zimmermann, L. Hennig, W. Gruissem, Trends Plant Sci 10, 407 (2005).

12.   V. G. Tusher, R. Tibshirani, G. Chu, Proc Natl Acad Sci U S A 98, 5116 (2001).

13.   G. M. Poage et al, PLoS One 5, e9651 (2010).

14.   W. Thoenes, S. Storkel, H. J. Rumpelt, Pathol Res Pract 181, 125 (1986).

15.   J. N. Eble, G. Sauter, E. J.I., I. A. E. Sesterhenn, World Health Organization Classification of Tumours. Pathology and Genetics of Tumours of the Urinary System and Male Genital Organs. (IARC Press, Lyon, 2004).

16.   H. Bengtsson, P. Wirapati, T. P. Speed, Bioinformatics 25, 2149 (2009).

17.   H. Bengtsson, A. Ray, P. Spellman, T. P. Speed, Bioinformatics 25, 861 (2009).

18.   E. S. Venkatraman, A. B. Olshen, Bioinformatics 23, 657 (2007).

19.   A. J. Iafrate et al, Nat Genet 36, 949 (2004).

20.   P. D. Thomas et al, Genome Res 13, 2129 (2003).

21.   H. Mi et al, Nucleic Acids Res 33, D284 (2005).

22.   R. C. Gentleman et al, Genome Biol 5, R80 (2004).

23.   A. I. Saeed et al, Methods Enzymol 411, 134 (2006).

24.   J. Kononen et al, Nat Med 4, 844 (1998).

25.   M. A. Rubin, R. Dunn, M. Strawderman, K. J. Pienta, Am J Surg Pathol 26, 312 (2002).

26.   P. Schraml et al, J Pathol 196, 186 (2002).

27.   Cheng Li and Wing Hung Wong, Proc. Natl. Acad. Sci. Vol. 98, 31-36 (2001a).

28.   Cheng Li and Wing Hung Wong, Genome Biology 2(8) (2001b).

29.   [dChip] dChip Software, available at http://www.dchip.org .

30.   Tusher VG, Tibshirani R, Chu G, Proc Natl Acad Sci U S A/ 98(9): 5116-5121 (2001).

- 127 -

31.   T. Martinetz and K. Schulten. A, Artificial Neural Networks, 1:397-402, (1991).

32.   Jianqing Fan, Irene Gijbels: Local Polynomial Modelling and Its Applications. Chapman and Hall/CRC, 1996, ISBN 978-0412983214.

33.   Clarke, R., Ressom, H. W., Wang, A., Xuan, J., Liu, M. C , Gehan, E. A., & Wang, Y., Nature Reviews Cancer, 8(1), 37-49, (2008).

34.   Gene Expression Omnibus: http://wwwncbi.nlm.nih. gov/geo/

35.   Gregory Shakhnarovich, Trevor Darrell, Piotr Indyk : Nearest-neighbor methods in learning and vision. MIT Press, 2005, ISBN 026219547X

- 128 -

CLAIMS

1.   Method of diagnosing, prognosing, stratifying and/or screening renal cell carcinoma in at least one human or animal patient, which is suspected of being afflicted by said disease, comprising at least the steps of:
    a. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma;
    b. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6 genes of Table 10;
    c. Allocating a discrete renal cell carcinoma-specific state to said sample based on the signature determined in step b.).

2.   Method of determining the responsiveness of at least one human or animal individual, which is suspected of being afflicted by renal cell carcinoma, towards a pharmaceutically active agent comprising at least the steps of:
    a. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma before the pharmaceutically active agent is administered;
    b. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6 genes of Table 10;
    c. Allocating a discrete renal cell carcinoma-specific state to said sample based on the signature determined in step b.);
    d. Determining the effect of the pharmaceutically active agent on the disease symptoms in said individual;
    e. Identifying a correlation between the effects on disease symptoms and/or discrete renal cell carcinoma-specific states and the initial discrete renal cell carcinoma-specific state of the sample.

- 129 -

3.   Method of predicting the responsiveness of at least one patient which is suspected of being afflicted by renal cell carcinoma, towards a pharmaceutically active agent comprising at least the steps of:

a. Determining whether a correlation between effects on disease symptoms and/or discrete renal cell carcinoma-specific states and the initial discrete renal cell carcinoma-specific state as a consequence of administration of a pharmaceutically active agent exists by using the method of claim 2;

b. Testing a sample of a human or animal individual patient which is suspected of being afflicted by renal cell carcinoma for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6 genes of Table 10a signature;

c. Allocating a discrete and a discrete renal cell carcinoma specific state -specific state to said sample based on the signature determined in step a);

d. Comparing the discrete and a discrete renal cell carcinoma specific state-specific state of the sample in step c. vs. the discrete and a discrete renal cell carcinoma specific state-specific state for which a correlation has been determined in step a.);

e. Predicting the effect of a pharmaceutical active compound on the disease symptoms in said patient.

4.   A method of determining the effects of a potential pharmaceutically active compound for treatment of renal cell carcinoma, comprising at least the steps of:

a. Providing a sample of a human or animal individual being suspected to suffer from renal cell carcinoma before a pharmaceutically active agent is applied;

b. Testing said sample for a signature indicative of a discrete renal cell carcinoma specific state by determining expression of at least 6 genes of Table 10;

    c. Allocating a discrete renal cell carcinoma-specific state to said sample based on the signature determined in step b.);

    d. Providing a sample of the same human or animal individual being suspected to suffer from renal cell carcinoma after a pharmaceutically active agent is applied;

    e. Testing said sample for a signature indicative of a discrete renal cell carcinoma-specific state by determining expression of at least 6 genes of Table 10;

    f. Allocating a discrete renal cell carcinoma specific state to said sample based on the signature determined in step e.);

    g. Comparing the discrete renal cell carcinoma specific states identified in steps c.) and f).

5. A method of any of claims 1 to 4 wherein the signature is characterized by the expression pattern of at least 10 genes of Table 10 with genes 1 to 286 of Table 10 being overexpressed and genes 287 to 454 of Table 10 being underexpressed.

6. A method of any of claims 1 to 4 wherein the signature is characterized by the expression pattern of at least 10 genes of Table 10 with genes 1 to 286 of Table 10 being underexpressed and genes 287 to 454 of Table 10 being overexpressed.

7. A method of claim 6, wherein the signature can be further sub-divided by determining expression of at least 6 genes of Table 11.

8. A method of claim 7, wherein the signature is characterized by the expression pattern of at least 10 genes of Table 11 with genes 1 to 19 of Table 11 being overexpressed and genes 20 to 195 of Table 11 being underexpressed.

- 131 -

9.   A method of claim 7, wherein the signature is characterized by the expression pattern of at least 10 genes of Table 11 with genes 1 to 19 of Table 11 being underexpressed and genes 20 to 195 of Table 11 being overexpressed.

10.   A signature which is defined by the expression pattern of at least 6 genes of Table 10 for use in diagnosing, prognosing, stratifying and/or screening renal cell cancer in human or animal individuals.

11.   A signature which is defined by the expression pattern of at least 6 genes of Table 10 for use as a read out of a target for development, identification and/or screening of at least one pharmaceutically active compound for treatment or renal cell cancer.

12.   A signature for use according to claim 10 or 11, which is defined by the expression pattern of at least 6 genes of Table 10 with genes 1 to 286 of Table 10 being overexpressed and genes 287 to 454 of Table 10 being underexpressed.

13.   A signature for use according to claim 10 or 11, which is defined by the expression pattern of at least 6 genes of Table 10 with genes 1 to 286 of Table 10 being underexpressed and genes 287 to 454 of Table 10 being overexpressed and which is further defined by the expression pattern of at least 6 genes of Table 11.

14.   A signature for use according to claim 13, wherein genes 1 to 19 of Table 11 are overexpressed and wherein genes 20 to 195 of Table 11 are underexpressed.

15.   A signature for use according to claim 13, wherein genes 1 to 19 of Table 11 are underexpressed and wherein genes 20 to 195 of Table 11 are overexpressed.

Fig. 1

A)
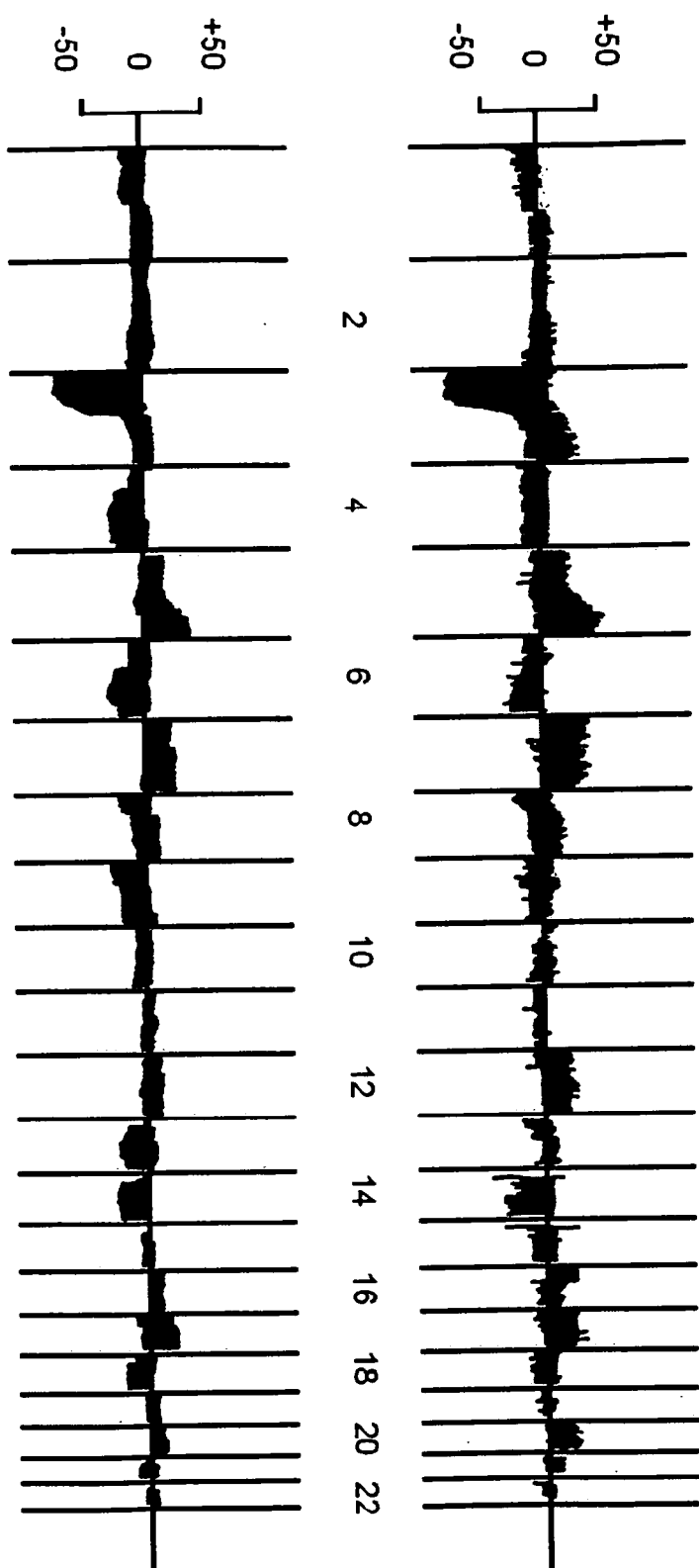
Fig. 1

B)
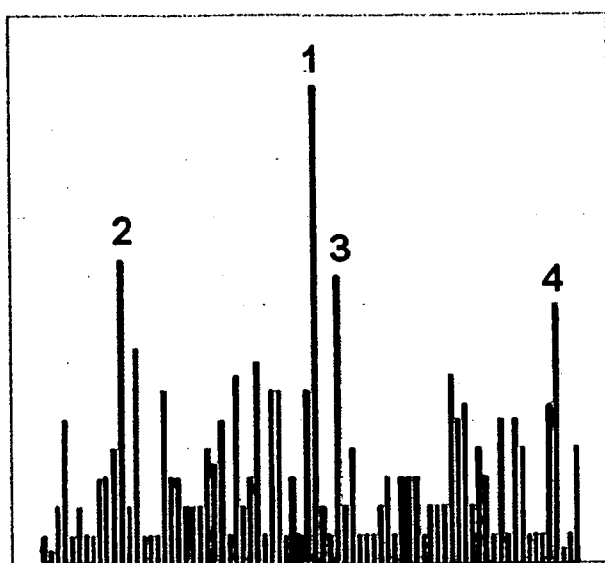
■ (1) Inflammation mediated by chemokine
and cytokine signaling pathway

■ (2) Angiogenesis

■ (3) Integrin signaling pathway

■ (4) Wnt signaling pathway
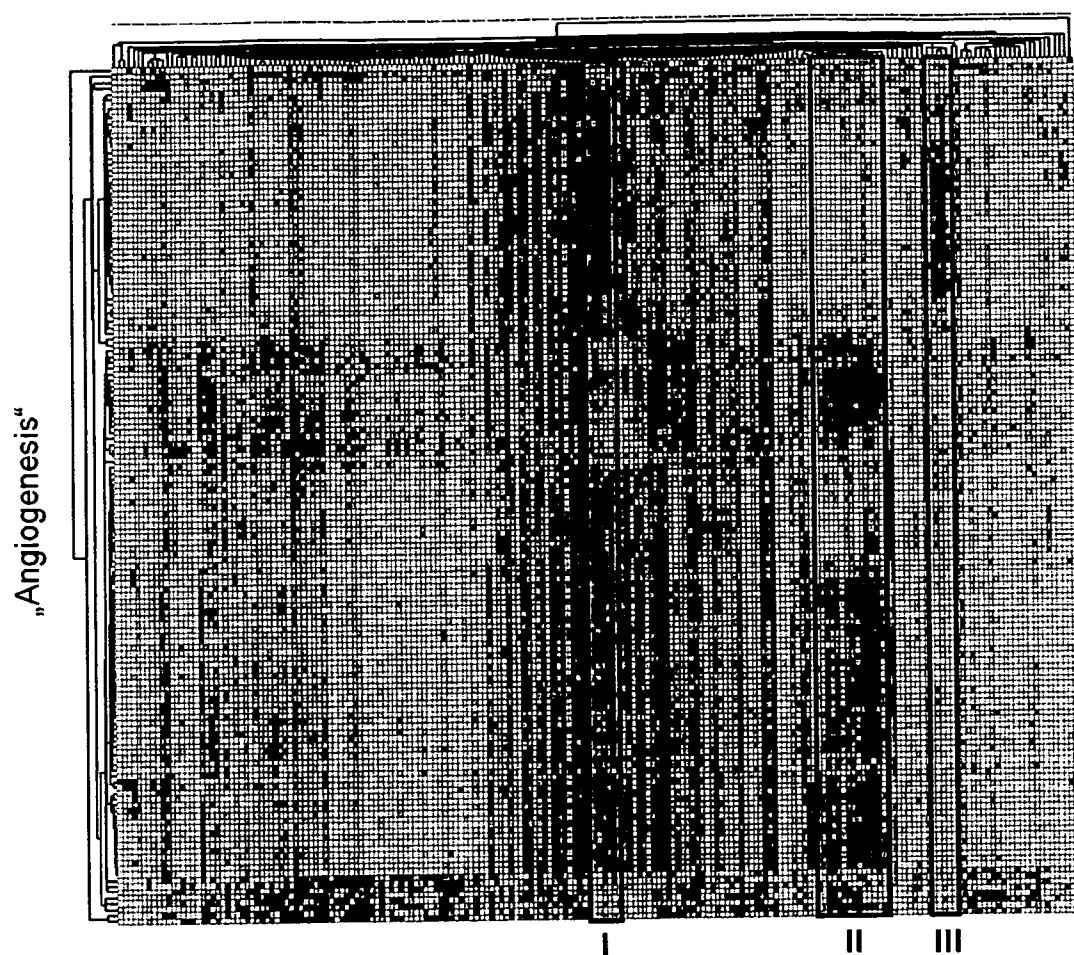
Fig. 2A

Fig. 2B

Fig. 2C

Fig. 2D
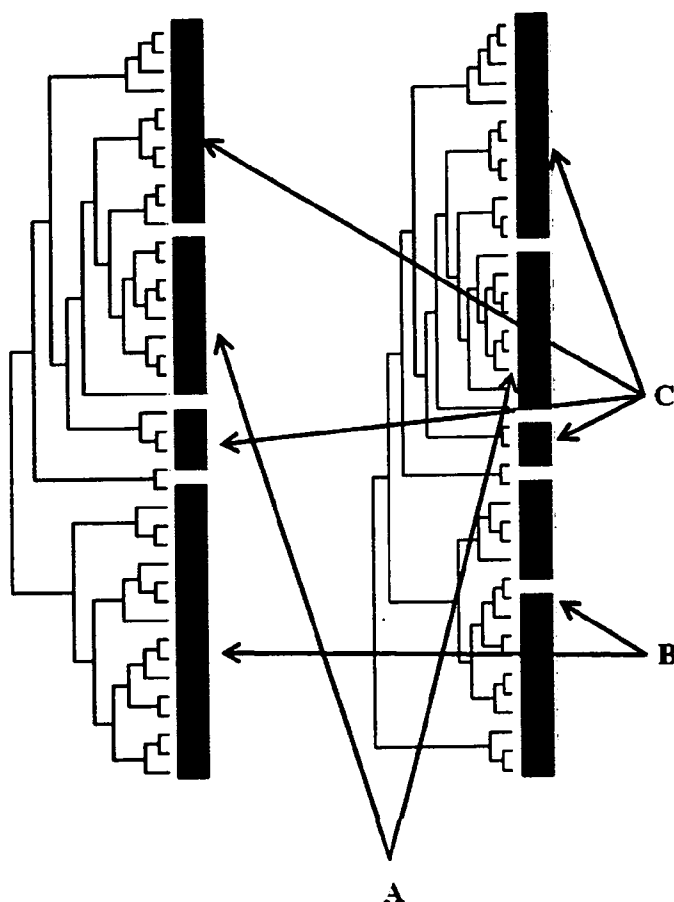
Fig. 3

Fig. 4

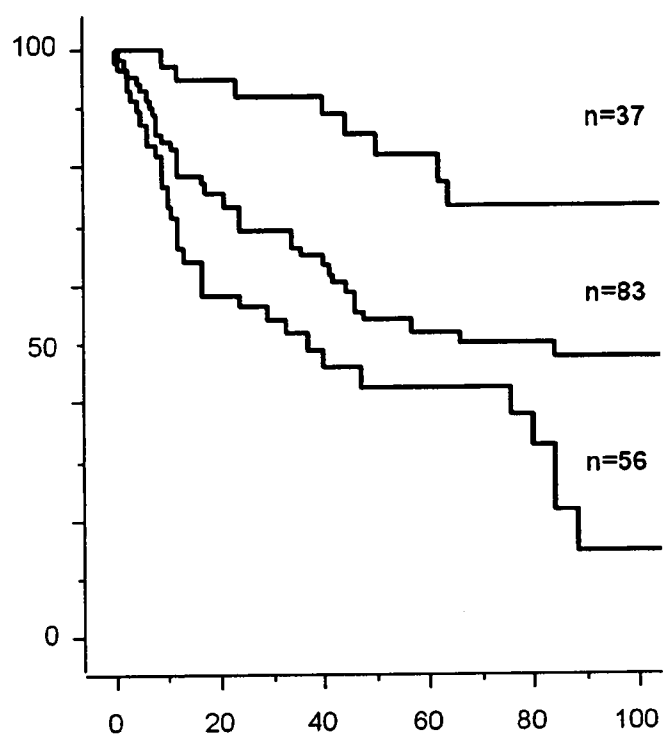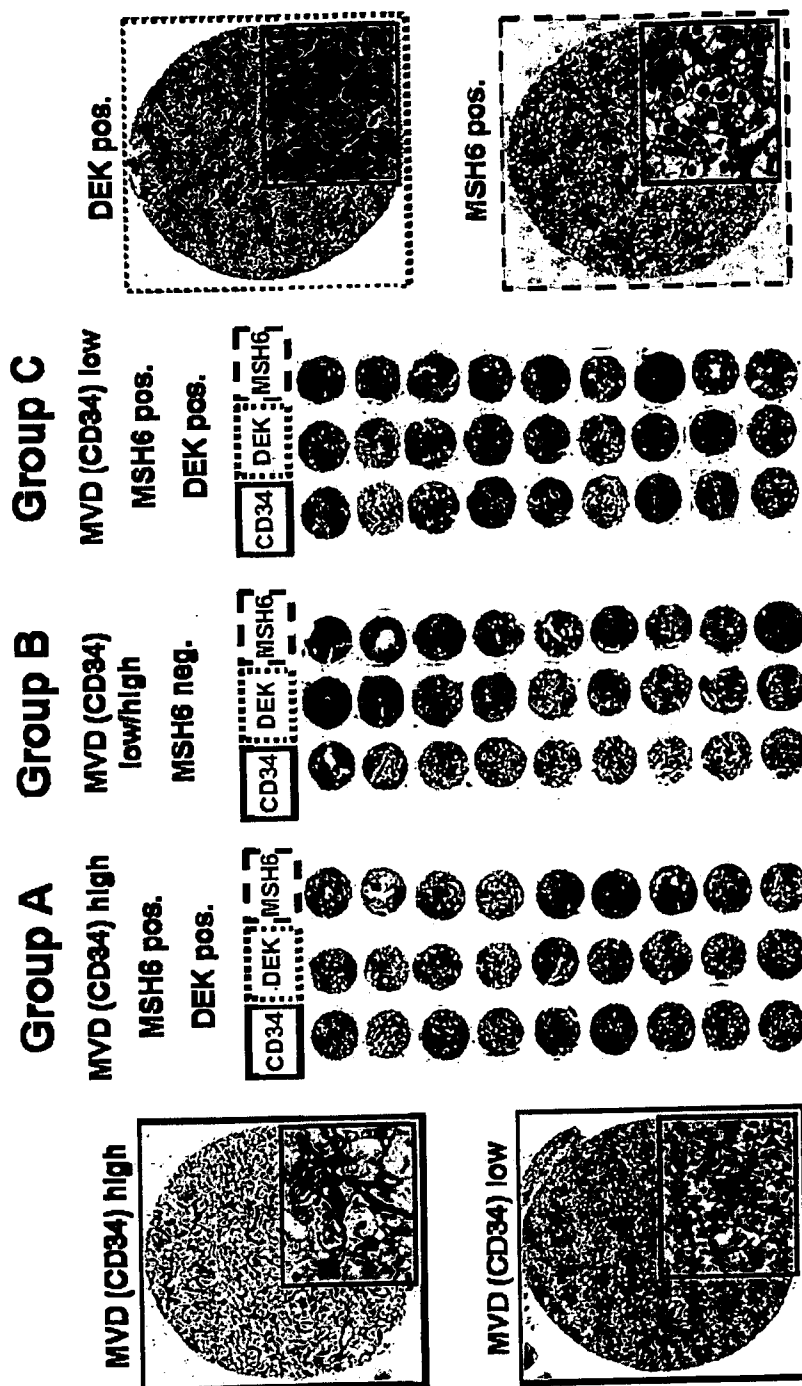A)

increased expression

B)

Fig. 4

C)

Fig. 4

D)
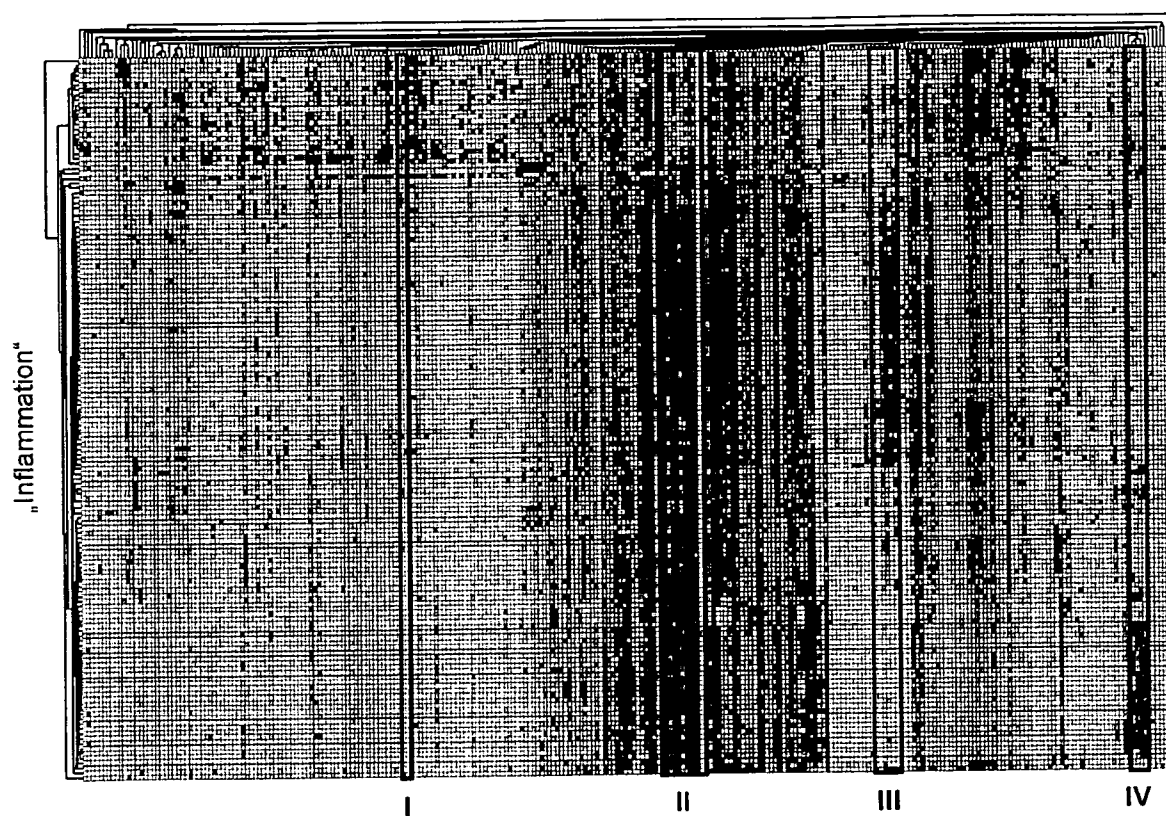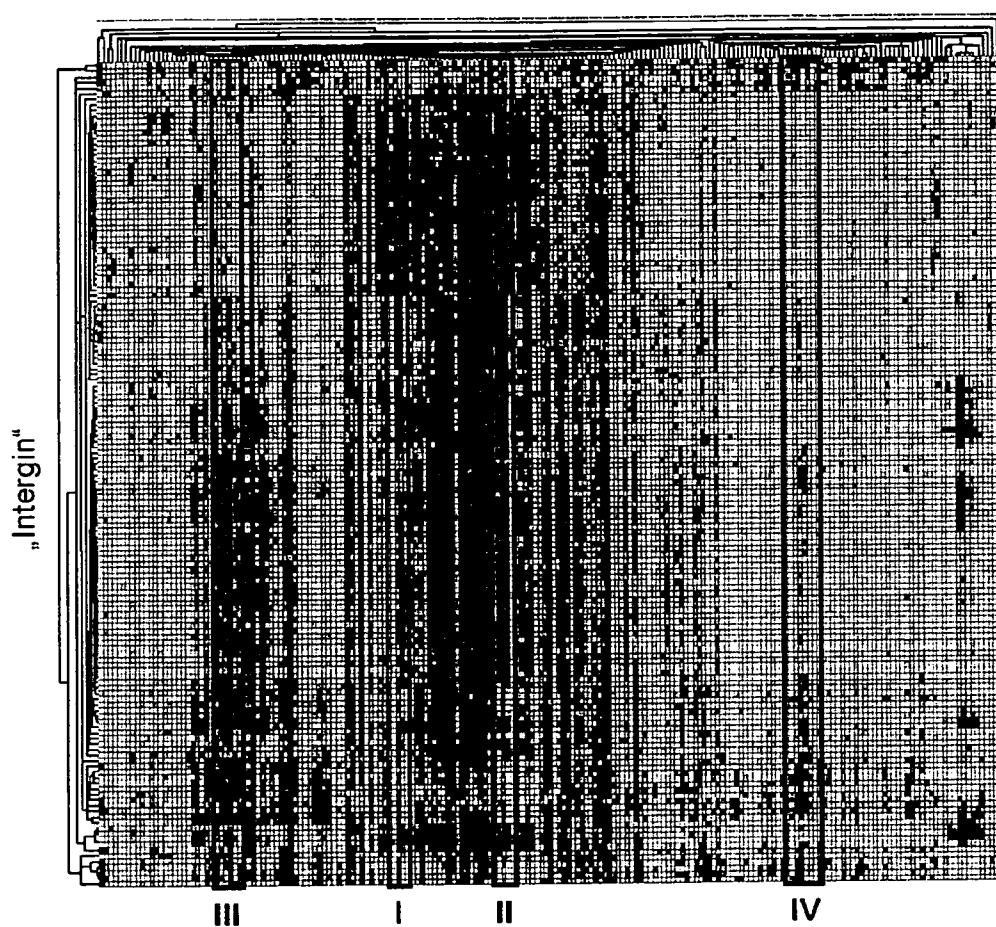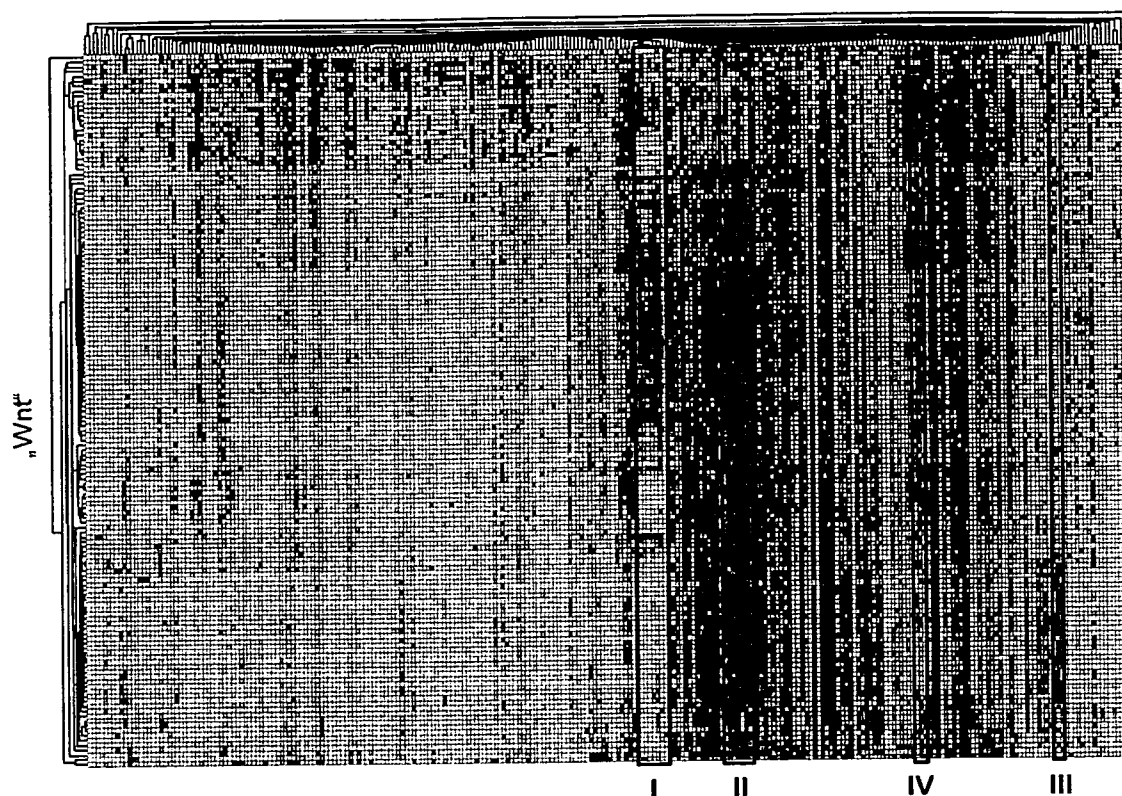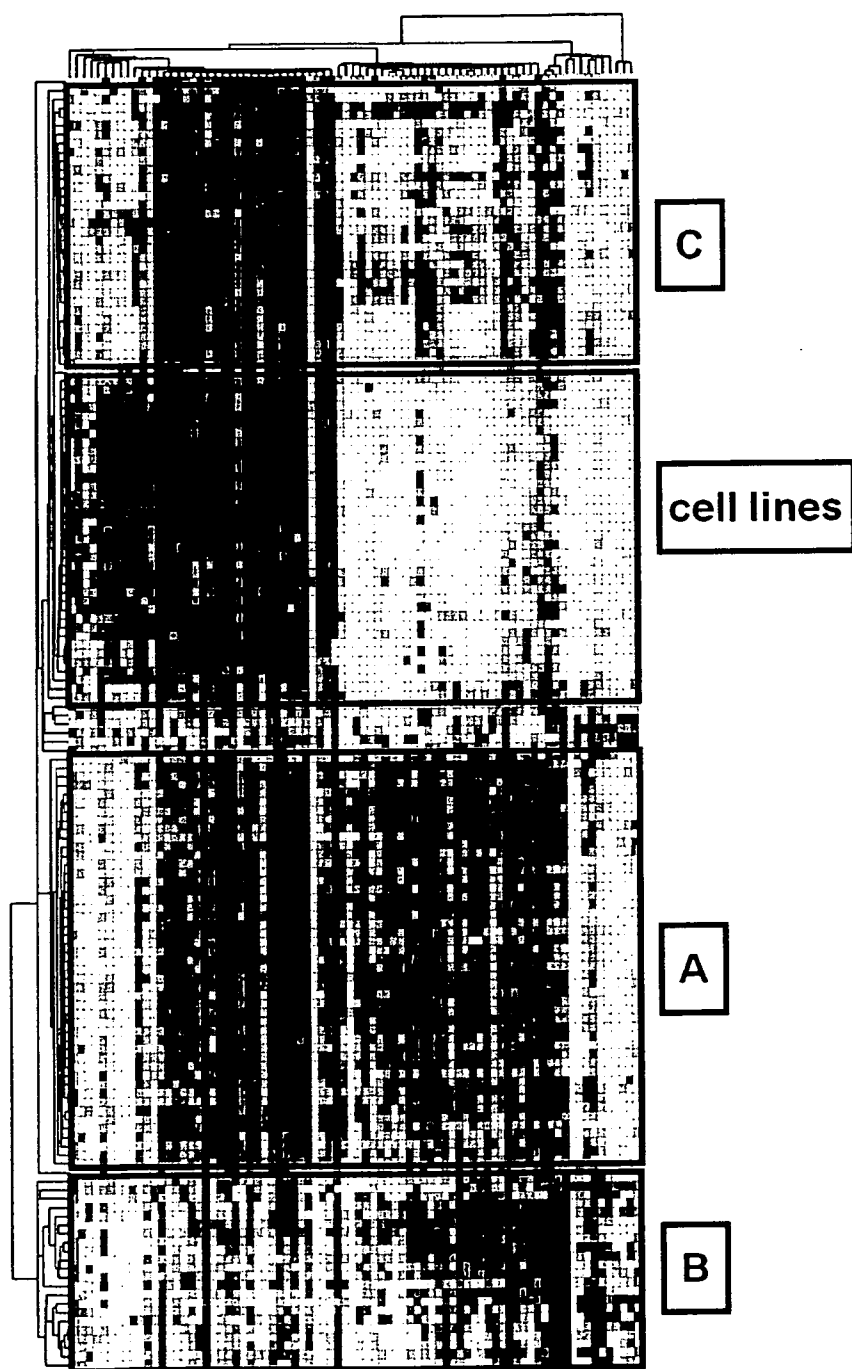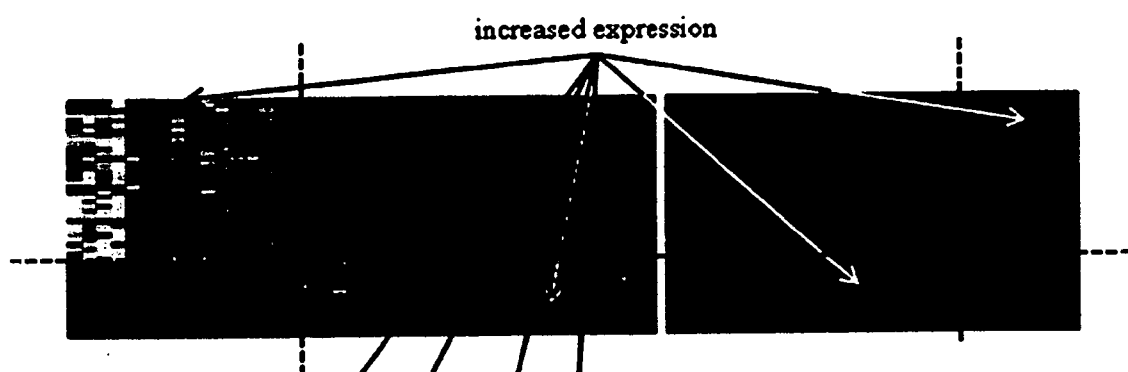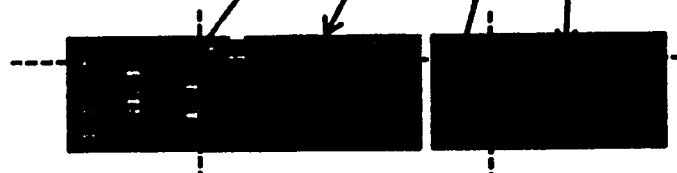
Fig. 5

Fig. 6

Statistics: Evaluation RCC-TMA (n=254): MVD (CD34), DEK, MSH6

Criteria: - Group A: MVD (CD34) high; DEK 1-3 and MSH6 1-3
             - Group B: MVD (CD34) low/high; MSH6=0
             - Group C: MVD (CD34) low; DEK 1-3 and MSH6 1-3

Subtypes vs. Group

RCC subtype	Group A	Group B	Group C	Total nr.
Chromophobe	0	7	3	10
Clear cell	39	66	41	146
Papillary – type 1/2	0	17	16	33
Total nr.	39	90	60	189

Group vs. Stage

Group	local	metastasizing	Total nr.
A	27	12	39
B	48	39	87
C	20	36	56
Total nr.	95	87	182

Group vs. Grade

Group	Thoenes 1	Thoenes 2	Thoenes 3	Total nr.
A	19	17	3	39
B	18	42	28	88
C	13	22	25	60
Total nr.	50	81	56	187

Cox proportional hazard regression analysis for survival

Variables	Univariate			Multivariate		
	95% CI	RR	P	95% CI	RR	P
Thoenes grade 1/2/3	1.85-3.07	2.38	<.0001	1.03-2.08	1.46	<.033
Stage pT1/2 vs. pT3/4	2.60-5.55	3.80	<.0001	1.57-4.64	2.70	<.0001
Group A/B/C	1.49-2.82	2.05	<.0001	1.09-2.20	1.55	<.013

CI = Confidence interval; RR = Relative risk;
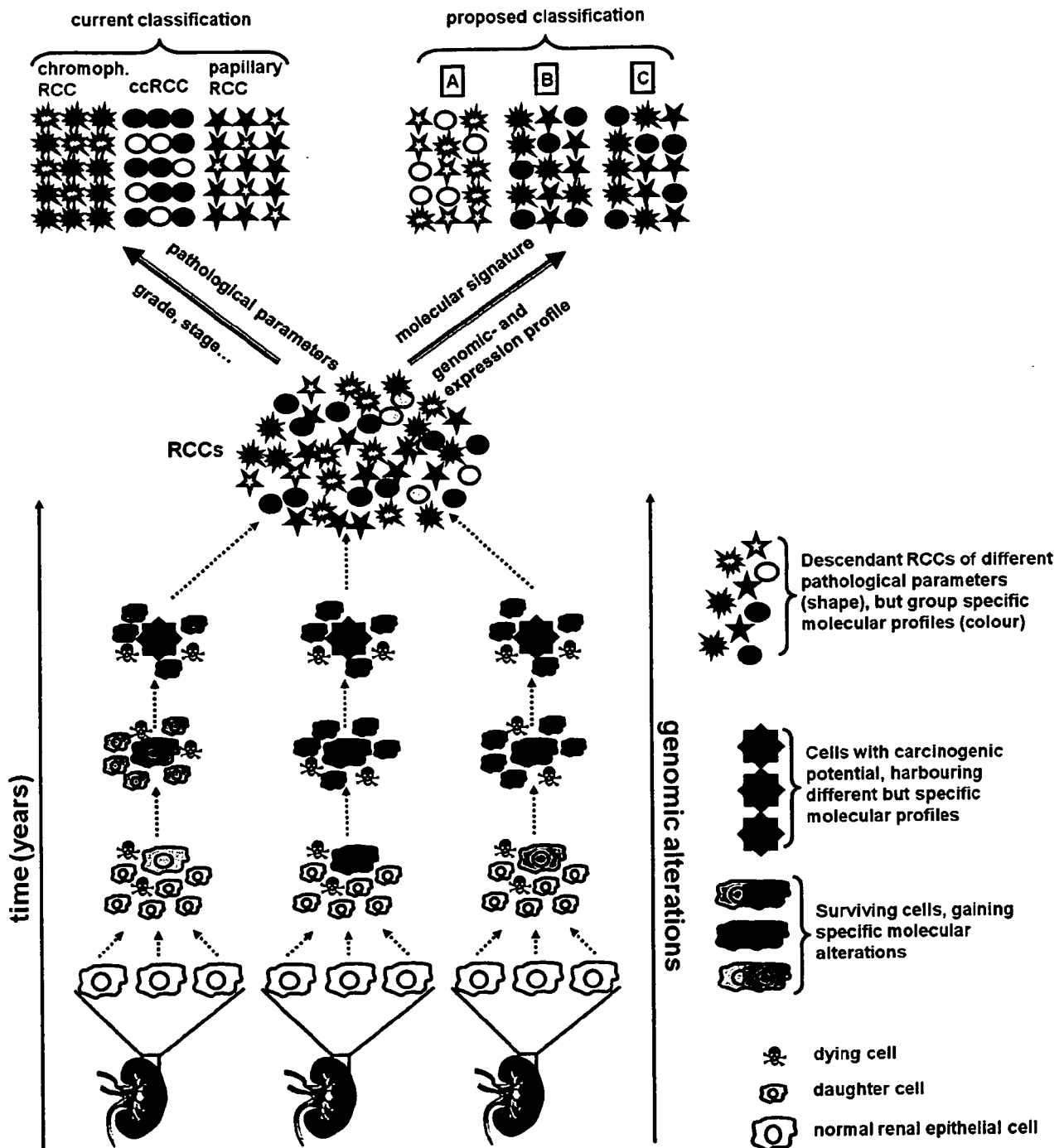
Fig. 7

Box No. I	Nucleotide and/or amino acid sequence(s) (Continuation of item 1.c of the first sheet)

1. With regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed invention, the international search was carried out on the basis of:

    a.   (means)

       ☐ on paper

       ☒ in electronic form

    b.   (time)

       ☒ in the international application as filed

       ☐ together with the international application in electronic form

       ☐ subsequently to this Authority for the purpose of search

2.   ☐   In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.

3.   Additional comments:

Form PCT/ISA/21 0 (continuation of first sheet (1)) (July 2009)

INTERNATIONAL SEARCH REPORT

Box No. II   **Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. [X] Claims Nos.:   10 - 15
   because they relate to subject matter not required to be searched by this Authority, namely:

   Rule 39.1(v)   PCT - Presentation of information
   It is not clear what is meant with "signature" in claims 10-15, most probably
   a list of genes is meant and this would fall under non-patentable
   subject-matter according to R. 39.1(v) PCT.

2. [ ] Claims Nos.:
   because they relate to parts of the international application that do not comply with the prescribed requirements to such
   an extent that no meaningful international search can be carried out, specifically:

3. [ ] Claims Nos.:
   because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III   **Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1. [ ] As all required additional search fees were timely paid by the applicant, this international search report covers all searchable
   claims.

2. [ ] As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of
   additional fees.

3. [ ] As only some of the required additional search fees were timely paid by the applicant, this international search report covers
   only those claims for which fees were paid, specifically claims Nos. :

4. [ ] No required additional search fees were timely paid by the applicant. Consequently, this international search report is
   restricted to the invention first mentioned in the claims; it is covered by claims Nos. :

Remark on Protest   [ ] The additional search fees were accompanied by the applicant's protest and, where applicable, the
                                 payment of a protest fee.

                             [ ] The additional search fees were accompanied by the applicant's protest but the applicable protest
                                 fee was not paid within the time limit specified in the invitation.

                             [ ] No protest accompanied the payment of additional search fees.

Form PCT/ISA/21 0 (continuation of first sheet (2)) (April 2005)

INTERNATIONAL SEARCH REPORT

A. CLASSIFICATION OF SUBJECT MATTER

INV. G01N33/574   C12Q1/68
ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
G01N   C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LENBURG MARC E ET AL: "Previously unidentified changes in renal cell carcinoma gene expression identified by parametric analysis of microarray data", BMC CANCER, BIOMED CENTRAL, LONDON, GB, vol. 3, no. 1, 27 November 2003 (2003-11-27), page 31, XP021004597, ISSN: 1471-2407, DOI: DOI:10.1186/1471-2407-3-31 whole doc, in particular abstract and methods -----	1,5-9
X	WO 2008/128043 A2 (GEN HOSPITAL CORP [US]; ILIOPOULOS OTHON [US]; HULICK PETER [US]) 23 October 2008 (2008-10-23) whole doc, in particular abstract, claims and paragraph [0303] ----- -/- ·	1,5-9

| X | Further documents are listed in the continuation of Box C. | | X | See patent family annex. |

Date of the actual completion of the international search	Date of mailing of the international search report
12 July 2011	22/07/2011

| Name and mailing address of the ISA/
 European Patent Office, P.B. 5818 Patentlaan 2
 NL - 2280 HV Rijswijk
 Tel. (+31-70) 340-2040,
 Fax: (+31-70) 340-3016 | Authorized officer

 Ludemann, Susanna |

INTERNATIONAL SEARCH REPORT

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 2006/133420 A2 (MILLENNIUM PHARM INC [US]; BRYANT BARBARA M [US]; DAMOKOSH ANDREW I [U) 14 December 2006 (2006-12-14) whole doc, in particular abstract, claims and table 1. -----	2-9
A	LUU V D ET AL: "[Von-Hippel-Lindau gene mutation types. Association of gene expression signatures in clear cell renal cell carcinoma].", DER PATHOLOGE NOV 2008 LNKD-PUBMED: 18751980, vol. 29 Suppl 2, November 2008 (2008-11), pages 303-307, XP002648617, ISSN: 1432-1963 the whole document -----	1-9

Patent document cited in search report		Publication date	Patent family member(s)		Publication date
Wo 2008128043	A2	23-10-2008	US	2010222230 AI	02-09-2010
Wo 2006133420	A2	14-12-2006	AU	2006254834 AI	14-12-2006
			CA	2611728 AI	14-12-2006
			EP	1899486 A2	19-03-2008
			JP	2008544223 A	04-12-2008