(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number WO 2012/109557 A3

(43) International Publication Date 16 August 2012 (16.08.2012)

(51) International Patent Classification:

A61M 29/02 (2006.01) A61M 25/10 (2006.01)

A61M 25/088 (2006.01)

(21) International Application Number:

PCT/US2012/024680

(22) International Filing Date:

10 February 2012 (10.02.2012)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

61/441,546 10 February 2011 (10.02.2011) US 13/290,295 7 November 2011 (07.11.2011) US

- (71) Applicant (for all designated States except US): DC DEVICES, INC. [US/US]; One Highwood Drive, Suite 300, Highwood Office Park, Tewksbury, MA 01876 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CELERMAJER, David [AU/AU]; 45 Olola Avenue, Vaucluse, Sydney, NSW 2030 (AU). MCNAMARA, Edward, I. [US/US]; 67 High Street, Chelmsford, MA 01824 (US). SUTHER-LAND, Michael, W. [US/US]; 27 Jackson Street, Apt. 234, Lowell, MA 01852 (US). SUGIMOTO, Hiroatsu [JP/US]; 1560 Cambridge Street, Apt. 2, Cambridge, MA 02139 (US).

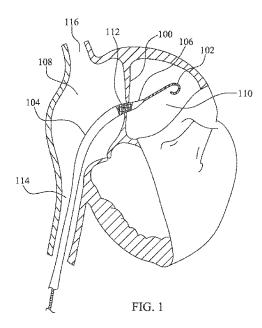
- (74) Agent: OKEY, David; GTC Law Group LLP & Affiliates, c/o CPA Global, P.O. Box 52050, Minneapolis, MN 55402 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))

[Continued on next page]

(54) Title: APPARATUS AND METHODS TO CREATE AND MAINTAIN AN INTRA-ATRIAL PRESSURE RELIEF OPEN-



(57) Abstract: The present disclosure relates to a method and a device for treating heart failure by normalizing elevated blood pressure in the left and right atria of a heart of a mammal. The present disclosure includes methods for creating and maintaining an opening in the atrial septum. Tools for making an opening and enlarging the opening are also disclosed. Use of the techniques and tools described herein prolongs the patency of an intra-atrial pressure relief opening.



(88) Date of publication of the international search report: 17 January 2013

International application No. **PCT/US2012/024680**

A. CLASSIFICATION OF SUBJECT MATTER

A61M 29/02(2006.01)i, A61M 25/088(2006.01)i, A61M 25/10(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61M 29/02; A61M 25/01; A61M 29/00; A61M 5/00; A61B 6/00; A61M 1/10; A61B 5/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: catheter, dilator, atrial, septum, pressure

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2006-0009715 A1 (KHAIRKHAHAN et al.) 12 January 2006 See the abstract; paragraphs [0048], [0060], [0088], [0089], [0091]; and figs. 8, 15B and 16A.	1-4,6-7,15
A	US 2009-0112050 A1 (FARNAN et al.) 30 April 2009 See claim 60; paragraphs [0097], [0123]; and figs. 6, 6A and 12A.	1-4,6-7,15
A	US 2008-0215008 A1 (NANCE et al.) 04 September 2008 See abstract; claims 1 and 9; paragraphs [0061], [0071], [0074]; and figs. 5 and 7.	1-4,6-7,15
A	US 2007-0270741 A1 (HASSETT et al.) 22 November 2007 See the abstract; claim 1; paragraphs [0029] and [0039]-[0040]; and figs. 1 and 8.	1-4,6-7,15

	Further documents are l	listed in the	continuation	of Box C.
--	-------------------------	---------------	--------------	-----------

See patent family annex.

- * Special categories of cited documents:
- 'A" document defining the general state of the art which is not considered to be of particular relevance
- 'E" earlier application or patent but published on or after the international filing date
- 'L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of mailing of the international search report

Date of the actual completion of the international search

22 OCTOBER 2012 (22.10.2012)

43 OCTODED 4014 (43 10 4

23 OCTOBER 2012 (23.10.2012)

Name and mailing address of the ISA/KR



Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City, 302-701, Republic of Korea

Facsimile No. 82-42-472-7140

Authorized officer

LEE, Cheol Soo

Telephone No. 82-42-481-8525



INTERNATIONAL SEARCH REPORT

International application No.

PCT/US2012/024680

Box No. II	Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This internat	ional search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
bec C Ii	ims Nos.: 16-30 ause they relate to subject matter not required to be searched by this Authority, namely: laims 16-30 pertain to methods for treatment of the human body by therapy, and thus relate to a subject matter which this atternational Searching Authority is not required to search under Article 17(2)(a)(i) of the PCT and Rule 39.1(iv) of the egulations under the PCT.
bec ext	ims Nos.: 10-13 ause they relate to parts of the international application that do not comply with the prescribed requirements to such an ent that no meaningful international search can be carried out, specifically: the claims 10-13 do not comply with PCT Article 6 because they refer to unsearchable claim 9.
<u> </u>	tims Nos.: 5,8-9,14 cause they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III	Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This Internation	ional Searching Authority found multiple inventions in this international application, as follows:
	all required additional search fees were timely paid by the applicant, this international search report covers all searchable ms.
	all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment uny additional fee.
	only some of the required additional search fees were timely paid by the applicant, this international search report covers y those claims for which fees were paid, specifically claims Nos.:
	required additional search fees were timely paid by the applicant. Consequently, this international search report is ricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark on	Protest The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee. The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation. No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2012/024680

AU 2003-225822 A1	information on patent family members		161/6	PCT/US2012/024680	
AU 2003-225822 A1					
EP 2203213 A1 07.07.2010 JP 2011–500286 A 06.01.2011 US 2011–190567 A1 04.08.2011 US 2011–190707 A1 04.08.2011 W0 2009–055651 A1 30.04.2009 US 2008–0215008 A1 04.09.2008 W0 2008–079828 A2 03.07.2008 W0 2008–079828 A3 14.08.2008 US 2007–0270741 A1 22.11.2007 CN 101442946 A 27.05.2009 EP 2018208 A2 28.01.2009 EP 2018208 B1 05.09.2012 JP 2009–537255 A 29.10.2009 W0 2007–137136 A2 29.11.2007	US 2006-0009715 A1	12.01.2006	AU 2003-225822 A1 AU 2003-225822 A8 CA 2405396 A1 CN 1436056 A0 EP 1272099 A1 JP 2003-530190 A US 2002-0169377 A1 US 6650923 B1 US 7056294 B2 WO 01-78596 A1	30.10.2001 29.09.2003 29.09.2003 25.10.2001 13.08.2003 08.01.2003 14.10.2003 14.11.2002 18.11.2003 06.06.2006 25.10.2001 25.09.2003	
W0 2008-079828 A3 14.08.2008 US 2007-0270741 A1 22.11.2007 CN 101442946 A 27.05.2009 EP 2018208 A2 28.01.2009 EP 2018208 A4 25.05.2011 EP 2018208 B1 05.09.2012 JP 2009-537255 A 29.10.2009 W0 2007-137136 A2 29.11.2007	US 2009-0112050 A1	30.04.2009	EP 2203213 A1 JP 2011-500286 A US 2011-190567 A1 US 2011-190707 A1	30.04.2009 07.07.2010 06.01.2011 04.08.2011 04.08.2011 30.04.2009	
EP 2018208 A2 28.01.2009 EP 2018208 A4 25.05.2011 EP 2018208 B1 05.09.2012 JP 2009-537255 A 29.10.2009 W0 2007-137136 A2 29.11.2007	JS 2008-0215008 A1	04.09.2008		03.07.2008 14.08.2008	
	JS 2007-0270741 A1	22.11.2007	EP 2018208 A2 EP 2018208 A4 EP 2018208 B1 JP 2009-537255 A WO 2007-137136 A2	27.05.2009 28.01.2009 25.05.2011 05.09.2012 29.10.2009 29.11.2007 06.11.2008	