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(54) Title: CONNECTOR FOR COUPLING ANATOMICAL WALLS

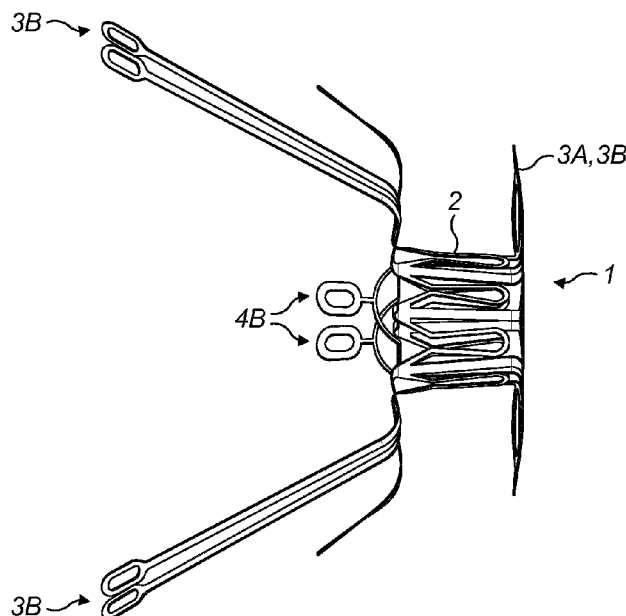


FIG. 1

(57) Abstract: The present invention relates to a connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises a neck adapted and configured to be positioned across the anatomical wall(s); primary means for securing the neck across the anatomical wall(s); and secondary means for securing the neck across the anatomical wall(s). The invention also relates to a method for coupling two anatomical walls using said connector.





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**CONNECTOR FOR COUPLING ANATOMICAL WALLS****FIELD OF THE INVENTION**

5        The present invention generally relates to the field of intracorporeal medical devices. More specifically to a connector for assisting fluid communication between two anatomical compartments and for supporting, maintaining and protecting the anatomical walls of the compartments in close contact with each other. The present invention is particularly useful in the context of minimally invasive transcatheter and/or percutaneous procedures, such as those  
10 described in PCT application No. PCT/EP2015/055578, entitled "PERCUTANEOUS SYSTEM, DEVICES AND METHODS" filed 17 March 2015 and expressly incorporated herein by reference in its entirety.

**BACKGROUND**

15        In PCT/EP2015/055578, the Inventor describes an intracorporeal connector for fluid communication between a first and a second anatomical compartment, which is configured to anchor a fluid regulation device such as a pump, through one or more anatomical walls. The connector comprises an anchor adapted and configured to lie against the anatomical wall of the  
20 second compartment, a neck adapted to be positioned through the anatomical wall(s) and a shield adapted and configured to expand in the second compartment. The connector can be inserted through a delivery catheter in a folded state and deployed at the implantation site. The deployed connector pulls the anatomical walls in contact with one another, prevents the leaking of blood into the interstitial space between the anatomical walls and allows the pump to be anchored to  
25 the anatomical walls.

      It has however been observed that there is a potential risk of blood leak during the implantation process itself. As the folded connector exits the delivery catheter, the anchor unfolds until it reaches its working position against the anatomical wall. Similarly, the shield  
30 unfolds until it reaches its working position. There is therefore a time period during which the anatomical walls are punctured, and the puncture is supported only by the delivery catheter until the anchor and shield are fully deployed. Any slight unintentional movement can potentially lead to blood leak into the interstitial space between the anatomical walls. In extreme cases, in particular in the case of accidental movements, the delivery catheter could become dislodged and  
35 the puncture exposed, resulting in severe blood loss. On the other hand, accelerating the

deployment could equally lead to injury to the patient, in particular if the anchor and/or shield were designed to accelerate the deployment, they could snap into a deployed configuration against the anatomical walls and result to injury. Also, the anchor and/or shield could be deployed before the practitioner has had the time to correctly position them.

5

Consequently, a compromise must be found between a shorter deployment time so as to minimise the time during which the anatomical walls are left unsupported, and allowing enough time to the medical practitioner to correctly implant the connector.

10 There is therefore the need for a new device with improved accuracy and safety, a device which is more forgiving in that it allows more time for the medical practitioner to correctly position the connector, whilst minimising the risk of blood leak.

## SUMMARY OF THE INVENTION

15

It is an object of this invention to mitigate problems such as those described above.

According to a first aspect of the invention, there is provided a connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises a neck adapted and configured to be positioned across the anatomical wall(s); primary means for securing the neck across the anatomical wall(s); and secondary means for securing the neck across the anatomical wall(s).

Thus, the invention seeks to provide a connector for fluid communication between two anatomical compartments and which can be implanted in a safe and controlled manner. The secondary securing means is provided as a safety feature to prevent fluid loss during the delivery and implantation process of the connector. As such, it provides a connector, the manipulation of which is more forgiving. Once the neck is positioned across the anatomical wall(s), the connector is secured to the anatomical wall(s) by the primary securing means so that it does not become dislodged from the wall due to blood flow, patient's movements, manipulations from the medical practitioner and the like. The primary securing means also performs the function of supporting the anatomical wall(s). This is particularly important when manipulating, inserting

and/or implanting of further medical devices through the anatomical wall(s) as such manipulation, insertion and/or manipulation could lead to injury or trauma of the anatomical wall(s), which in turn could result in potentially fatal blood loss. It is also important to note that blood pressure in certain parts of the human body (e.g. the heart) can be significant and the  
5 connector supports and protects the anatomical wall(s) against such pressure.

The connector according to the present invention supports, maintains and protects the anatomical wall(s). The connector minimises the risk of damage, rupture, trauma, injury and perforation of the anatomical wall(s).

10 The anatomical compartments may be separated by one wall, for example in the case of two adjoining compartments and the connector prevents any uncontrolled fluid flow between the two compartments. The present invention is particularly advantageous when the anatomical compartments are separated by two anatomical walls, i.e. in the case of two adjacent but remote  
15 compartments normally separated by a gap. In this embodiment, the connector prevents any uncontrolled blood loss into the compartments and said gap.

The connector may be used to establish fluid communication between two anatomical compartments, more preferably controlled blood flow between compartments of the cardio-vascular system, although other fluids and anatomical compartments are envisaged.  
20 Compartments of the cardio-vascular system include for example the left atrium, the right atrium, the left ventricle, the right ventricle, the aorta, the pulmonary artery, the vena cava as well as arteries, veins and other compartments of the peripheral vascular system. More advantageously, the connector of the present invention connects two adjacent but remote  
25 compartments so that in its working configuration, the connector is positioned across two anatomical walls.

Preferably, the connector can be arranged into a delivery configuration and into a working configuration in which the neck is secured across the anatomical wall(s). For example, the  
30 connector may be collapsible and/or extendable so that, in a first configuration, it facilitates delivery to the implantation site and across the anatomical wall(s) and, in a second configuration,

it connects the two compartments for fluid communication. This enables the connector to be used in smaller patients (children) and/or patients with compromised and/or narrower delivery paths.

Preferably, the primary securing means and/or the secondary securing means are movable from a delivery configuration to a working configuration in which the neck is secured across the anatomical wall(s). The primary securing means may have one or more elements which are movable relative to the neck and/or the secondary securing means may have one or more elements which are movable relative to the neck.

Preferably, the secondary securing means is capable of securing the neck across the anatomical wall(s) before the primary securing means secures the neck across the anatomical wall(s). Thus, the secondary securing means can act as an interim securing means, before the primary securing means becomes effective and as an additional safety against potential fluid and/or blood leaks once the primary securing means is in its working configuration.

Preferably, the primary securing means and/or the secondary securing means comprise a plurality of arms. The use of arms as securing means allows for more accurate control of the implantation process in terms of predictability, homogeneity and diffusion of the pressure across the structure, controlled delivery speed and safety control.

The arms of the primary securing means and/or of the secondary securing means may be deployable from a delivery configuration to a working configuration. Similarly, the arms may be foldable and/or compressable from a working configuration to a delivery configuration. Within the context of the invention, a delivery configuration means a configuration which is suitable for the connector to be delivered to the implantation site and which differs from a working configuration, in which the connector connects the two anatomical compartments for fluid communication therebetween and is secured to the anatomical wall(s). The mechanism may be any mechanism which enables the connector to switch from one configuration to the other, and include deployment, compression, folding, extending, retracting and the like.

Preferably, the arms extend from one or both ends of the neck. For example, the primary securing means may comprise a set of arms extending from one end of the neck; or a first set of

arms extending from a first end of the neck and a second set of arms extending from a second end of the neck. The secondary securing means may comprise a set of arms extending from one end of the neck; or a first set of arms extending from a first end of the neck and a second set of arms extending from a second end of the neck. Preferably, the primary securing means  
5 comprises two sets of arms, each set extending from an end of the neck. Preferably, the secondary securing means comprises two sets of arms, each set extending from an end of the neck. These features ensure that the connector is suitably secured to the anatomical wall(s) and that the neck is suitably buried across the anatomical wall(s) as a safety against potential blood losses and so as to prevent any potential injury caused by the neck extending into the  
10 compartment(s).

In a preferred embodiment, the primary and/or secondary securing means are integrally formed with the neck. For example, the arms may be integrally formed with neck. This firstly ensures a smooth transition between the arms and the neck, with no additional hinging  
15 mechanism which may potentially cause injury during the deployment process. In addition, this structure is less complex to manufacture. The connector may for example comprise or consist of a shape memory material, such that the arms can be pushed inwards (relative to the neck) into a delivery configuration and extended outwards into a working configuration when it exits e.g. a delivery catheter. This allows for a gentle, continuous and smooth securing process and bringing  
20 together of anatomical walls with limited risk of injury.

Preferably, each deployable arm is independently movable from the other arms. More generally, the primary securing means may be independently movable from the secondary securing means. If the primary and/or secondary securing means comprises one or more sets of  
25 arms, then each set of arms may be independently movable from other sets of arms. For example, one or more arms could be non-movable whilst one or more arms are movable. In a preferred embodiment, the speed of deployment of a securing means may be faster than the speed of deployment of another securing means.

30 In a preferred embodiment, the arms can extend substantially parallel to the longitudinal axis of the neck or at an angle sufficient to fit into a catheter in a delivery configuration. Preferably, in the working configuration, the arms extend substantially perpendicular to the

longitudinal axis of the neck or at an angle sufficient to partially or completely contact the anatomical wall.

Preferably, the arms of the secondary securing means are adapted and configured to fit, in  
5 their working configuration, in a delivery catheter or other transcatheter or percutaneous delivery device. In a preferred embodiment, the arms are partially deployed or completely deployed in their working configuration whilst in the delivery device. For example, the arms may be of dimensions such that they fit in the delivery device in a partially or completely deployed configuration. The arms in their full working configuration may have dimensions smaller than  
10 the inner dimensions of the delivery device so that they fit in full working configuration in the delivery device. Alternatively, the arms in their full working configuration may have dimensions greater than the inner dimensions of the delivery device so that they fit in a partly deployed configuration. Thus, the secondary securing means can exit the delivery device and be implanted in a partly deployed or fully deployed configuration so that they can promptly or immediately  
15 secure the connector to the anatomical walls. This is particularly advantageous when the primary securing means requires more time to deploy into its working configuration and the primary securing means acts as a safety securing means until the primary securing means is fully effective.

20 Preferably, each arm forms a loop. It is not required that all arms form a loop, and for example, some of the arms may form a loop. This is particularly advantageous to provide further support to the anatomical wall, i.e. by increasing the contacting area without compromising the efficiency and versatility of the connector. It is also useful to homogenise and/or to spread the pressure exerted by the arms onto the anatomical wall.

25 Within the context of the invention, a loop means that the beginning of the arm and adjacent the end of the arm. For example, an arm can form a loop by having both ends connected to the neck of the connector. The loop can be partly or fully circular or oval. Rounded loops are preferred to angular loops to minimise the risk of injury to the patient. The loop can for example  
30 comprise two or more substantially linear portions, which may or may not be parallel to each other. It is also envisaged an embodiment wherein a securing means consists of a single arm which forms a loop or a shape suitable to secure the neck to the anatomical wall(s). Therefore,



the present connector is versatile in that its shape can be adjusted for different requirements including requirements resulting from uses, implantation sites, patient anatomy and the like.

Preferably, each arm comprises one or more eyelets to provide an improved grip to the anatomical wall(s) and to prevent slipping of the arms on the surface of the anatomical wall(s). This, in turn, minimises the risk of the connector becoming displaced or dislodged and the risk of trauma to the anatomical tissues and increases safety against blood leaks. The eyelets also provide a mechanism for securing the connector to the delivery device for secure and accurate delivery.

The arms may be substantially flat to provide increased support area. They may be rounded or tubular to minimise the risk of trauma and injury to the patient's tissues and anatomical walls.

Preferably, a web of material or mesh extends between the arms. This feature may be advantageous in increasing the contacting area of the connector to provide further support and/or to spread the pressure exerted by the arms. The material may also act as a shield to prevent tissues and/or walls from being pulled with the fluid flow.

In another embodiment, the primary securing means and/or the secondary securing means may be devoid of arms. For example, it may comprise a shield or an anchor as illustrated in PCT application No. PCT/EP2015/055578, incorporated herein by reference. It may comprise a web, mesh and/or other material. Preferably, the material is flexible or semi-rigid. Preferably, the primary securing means and/or the secondary securing means are adapted and configured to be deployable from a delivery configuration to a working configuration. It may be made of a shape memory material. The primary securing means and/or the secondary securing means may be substantially flat or substantially bowl- or umbrella-shaped. The primary securing means and/or the secondary securing means may also act as a shield to prevent tissues and other elements hindering fluid passage through the neck.

The securing means and the neck may be made of the same or different material, and may have the same or different thicknesses. It may also be envisaged that the neck may be made of

different materials and/or materials of different thicknesses so that the thickness of the neck would vary for different sections.

In another embodiment of the present invention, the primary securing means and/or the  
5 secondary securing means form a diffusor to improve and/or enhance fluid flow from one compartment to the other. Preferably, the securing means form a cavity or compartment at one or both ends of the neck. More preferably, the securing means form a cavity or compartment in the receiving compartment so as to direct and/or improve the flow pattern of the blood released from the pump into the receiving compartment. Preferably, the securing means comprise or consists of  
10 arms, mesh and/or grid, which is optionally coated so as to form a cavity or compartment.

Preferably, the connector is configured and adapted to be coupled to an intracorporeal pump. Preferred pumps include but are not limited to the fluid regulating device described in PCT application No. PCT/EP2015/055578, incorporated herein by reference. The connector is  
15 particularly advantageous for coupling a pump intracorporeally, i.e. not pre-coupled with a pump outside the patient's body. However, it is envisaged in another embodiment that the connector may be integrally formed with a medical device, such as an intracorporeal pump.

Preferably, the neck comprises or is made of a resilient, flexible or semi-rigid material.  
20 Alternatively, the neck comprises or is made of a resilient, flexible or semi-rigid structure, such as a mesh structure. The neck is preferably able to expand to receive or remove a pump and to compress back to retain and secure the pump to the connector. It should preferably be rigid enough to maintain the aperture in the anatomical wall(s) opened. The neck is preferably able to expand radially to receive, secure and release the pump and other devices as required; and/or  
25 longitudinally according to the thickness of the anatomical walls and the size of the gap between the anatomical walls to prevent too much pressure being exerted onto the anatomical wall and therefore injury to the patient. In a preferred embodiment, the neck comprises or consists of a substantially diamond-patterned mesh or web.

30 The length of the neck can be adjusted to substantially match the thickness of the anatomical wall(s) and any gap therebetween; or the neck can be longitudinally expandable to accommodate the thickness of the anatomical wall(s) and any gap therebetween. However, for

optimum protection against blood or fluid leaks, the neck is covered or coated with an impermeable membrane, which is preferably biocompatible. Alternatively or additionally, the neck is partially or completely surrounded by a ring of impermeable material, such as rubber.

5           Suitable materials include, but are not limited to, polymers such as polytetrafluoroethylene (pTFE), silicon, polyvinylidene fluorinated (PVDF) polymers, polyurethane and combinations thereof. Suitable application techniques include, but are not limited to, electro-spinning, electro-spun, dip coating techniques.

10           Preferably, the neck comprises a tapered portion. This feature allows the pump or other medical device to be easily inserted into and across the neck, without the application of force, and to retain and secure the device across the neck of the connector. In particular, this feature improves safety in that the taper prevents the wall(s) from sliding back over the neck, thereby releasing the anatomical walls from contact, reopening the space therebetween and risking  
15 potentially fatal blood leakage. In an alternative embodiment, the neck is substantially hourglass-shaped, i.e. the neck comprises a cross section of smaller diameter between a distal and a proximal section of larger diameter. Thus, the anatomical walls are compressed at the waist of the hour-glass shaped neck and the effective size of the neck to push the pump through is minimised. In another embodiment, the connector is devoid of a channel. It may for example  
20 comprise or consist of a ring-shaped element.

          According to a second aspect of the invention, there is provided a connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises primary means for securing the connector across the  
25 anatomical wall(s); and secondary means for securing the connector across the anatomical wall(s). In other words, there is provided a connector as described in any one of the preceding paragraphs, without a neck.

          Preferably, the connector comprises means for connecting the primary securing means to  
30 the secondary securing means. For example, the connector may comprise at least one ring connected to the primary securing means and the secondary securing means. The ring is preferably circular, but may be any other suitable shape.

The advantage of a connector with no neck portion is that the anatomical walls are pressed against each other and maintained in contact by the securing means, so that blood flows directly from one compartment to the other without passing through a neck channel and without the risk of leaking into the gap between the two anatomical wall(s). In this embodiment, the primary and secondary securing means may have any of the features and characteristics of the primary and secondary securing means as described in the preceding paragraphs with respect to a connector with a neck.

According to a third aspect of the invention, there is provided a method for coupling two anatomical walls using a connector as described in any one of the preceding paragraphs, with or without a neck. The method may also be a method for implanting a connector through one or more anatomical walls.

A method for coupling two anatomical walls using a connector comprising primary means for securing the connector across the anatomical wall(s); and secondary means for securing the connector across the anatomical wall(s), preferably comprises the step of securing the connector to the anatomical wall(s) using the secondary securing means prior to securing the connector to the anatomical wall(s) using the primary securing means.

The present method enables the medical practitioner to safely position the connector across the anatomical wall(s) with minimum risk of blood loss. During the insertion process, the secondary securing means initially secures the connector to the anatomical wall(s) so the medical practitioner is able to manipulate the connector into the correct position before the primary securing means finally secures the connector to the wall(s).

Preferably, the connector can be arranged into a delivery configuration and into a working configuration in which the neck is secured across the anatomical wall(s).

Preferably, the primary means and/or the secondary means are movable from a delivery configuration to a working configuration in which the neck is secured across the anatomical wall(s).

Preferably, the method comprises the step of delivering the connector across the anatomical wall(s) using a delivery catheter or device. The connector may therefore be inserted in the delivery device in its delivery configuration and deploy into its working configuration as it  
5 exits the delivery device.

Preferably, the primary securing means comprises a first set of arms and a second set of arms; and the secondary securing means comprises a first set of arms and a second set of arms.

10 Preferably, the method comprises the step of deploying the first set of arms of the secondary securing means in the distal compartment. In other words, sequentially, the first set of arms of the secondary securing means is deployed into a working configuration before any other arms. Within the context of the invention, the terms “distal” and “proximal” are relative to the direction of insertion, e.g. from a proximal compartment to a distal compartment.

15 Preferably, the first set of arms of the secondary securing means is partially or completely pre-deployed in the delivery catheter.

20 Preferably, the first set of arms of the secondary securing means have smaller dimensions than the first set of arms of the primary securing means. This can result in the first set of arms of the secondary securing means being partially or completely deployed in the delivery device so that it can be effective as it soon as possible as it exits the delivery device; and/or, more generally, in the first set of arms of the secondary securing means being in its working configuration before the first set of arms of the primary securing means reaches its working  
25 configuration.

Preferably, the method comprises the step of deploying the first set of arms of the primary securing means in the distal compartment.

30 Preferably, the method comprises the step of deploying the second set of arms of the second securing means in the proximal compartment.

Preferably, the second set of arms of the secondary securing means is partially or completely pre-deployed in the delivery catheter.

Preferably, the second set of arms of the secondary securing means have smaller dimensions than the second set of arms of the primary securing means. This can result in the second set of arms of the secondary securing means being partially or completely deployed in the delivery device so that it can be effective as it soon as possible as it exits the delivery device; and/or, more generally, in the second set of arms of the secondary securing means being in its working configuration before the second set of arms of the primary securing means reaches its working configuration.

Preferably, the method comprises the step of deploying the second set of arms of the primary securing means in the proximal compartment.

Preferably, the first set of arms of the primary securing means have smaller dimensions than the second set of arms of the primary securing means. This feature provides optimum support to the anatomical wall(s) against the pressure exerted by the blood flow.

In an embodiment of the invention, the connector is coupled to the insertion device so as to improve safety. In this embodiment, no catheter or wire exchange is required so that the implantation can be effected promptly.

Thus, the device according to the present invention enables the medical practitioner to safely implant and position the connector through the anatomical wall(s).

## LIST OF EMBODIMENTS

The following is a non-limiting list of potential embodiments of the present invention, set forth as embodiment groups (each an "Embodiment"). Additional embodiments of the invention are possible, as set forth throughout this specification and the drawings.

Embodiment 1. A connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises

a neck adapted and configured to be positioned across the anatomical wall(s);  
primary means for securing the neck across the anatomical wall(s); and  
secondary means for securing the neck across the anatomical wall(s).

- 5    Embodiment 2.        The connector according to Embodiment 1, wherein the connector can be arranged into a delivery configuration and into a working configuration in which the neck is secured across the anatomical wall(s).

- Embodiment 3.        The connector according to Embodiment 1 or 2, wherein the primary  
10    securing means and/or the securing secondary means are movable from a delivery position to a working position in which the neck is secured across the anatomical wall(s).

- Embodiment 4.        The connector according to any preceding Embodiment, wherein the  
secondary securing means is capable of securing the neck across the anatomical wall(s) before  
15    the primary securing means secures the neck across the anatomical wall(s).

Embodiment 5.        The connector according to any preceding Embodiment, wherein the primary securing means and/or the secondary securing means comprise a plurality of arms.

- 20    Embodiment 6.        The connector according to Embodiment 5, wherein the arms of the primary securing means and/or of the secondary securing means are deployable from a delivery configuration to a working configuration.

- Embodiment 7.        The connector according to Embodiment 5 or 6, wherein each deployable  
25    arm is independently deployable.

Embodiment 8.        The connector according to any one of Embodiments 5 to 7, wherein the arms extend from one or both ends of the neck.

- 30    Embodiment 9.        The connector according to any one of Embodiments 5 to 8, wherein the primary securing means comprises two sets of arms, each set extending from an end of the neck.

Embodiment 10. The connector according to any one of Embodiments 5 to 9, wherein the secondary securing means comprises two sets of arms, each set extending from an end of the neck.

- 5 Embodiment 11. The connector according to any one of Embodiments 5 to 10, wherein the arms of the secondary securing means are adapted and configured to fit, in their working configuration, in a delivery catheter.

- Embodiment 12. The connector according to any one of Embodiments 5 to 11, wherein a  
10 web of material extends between the arms.

Embodiment 13. The connector according to any one of Embodiments 5 to 12, wherein each arm forms a loop.

- 15 Embodiment 14. The connector according to any one of Embodiments 5 to 13, wherein each arm comprises one or more eyelets.

- Embodiment 15. The connector according to any preceding Embodiment wherein the connector is configured and adapted to be coupled to an intracorporeal pump.  
20

Embodiment 16. The connector according to Embodiment 15, wherein the neck is flexible.

- Embodiment 17. The connector according to Embodiment 15 or 16, wherein the neck comprises is formed of a mesh structure.  
25

Embodiment 18. The connector according to any one or Embodiments 15 to 17, wherein the neck comprises a tapered portion.

- Embodiment 19. The connector according to any one or Embodiments 15 to 18, wherein the  
30 neck is covered or coated with a biocompatible impermeable membrane.



Embodiment 20. The connector according to any preceding Embodiment, wherein the connector enables fluid communication between at least two anatomical walls.

Embodiment 21. The connector according to any preceding Embodiment, wherein the  
5 primary securing means form a diffuser.

Embodiment 22. A connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises primary means for securing the connector across the anatomical wall(s); and secondary means for  
10 securing the connector across the anatomical wall(s).

Embodiment 23. The connector according to Embodiment 22, further comprising at least one ring connected to the primary securing means and the secondary securing means.

15 Embodiment 24. An intracorporeal pump integrally formed with a connector according to any preceding Embodiment.

Embodiment 25. A method for coupling two anatomical walls using a connector comprising primary means for securing the connector across the anatomical wall(s); and secondary means  
20 for securing the connector across the anatomical wall(s), the method comprising the step of securing the connector to the anatomical wall(s) using the secondary securing means prior to securing the connector to the anatomical wall(s) using the primary securing means.

Embodiment 26. The method according to Embodiment 25, wherein the connector can be  
25 arranged into a delivery configuration and into a working configuration in which the neck is secured across the anatomical wall(s).

Embodiment 27. The method according to Embodiment 25 or 26, wherein the primary means and/or the secondary means are movable from a delivery position to a working position in  
30 which the neck is secured across the anatomical wall(s).

Embodiment 28. The method according to any one of Embodiments 25 to 26, wherein comprising the step of delivering the connector across the anatomical wall(s) using a delivery catheter.

- 5 Embodiment 29. The method according to any one of Embodiments 25 to 27, wherein the primary securing means comprises a first set of arms and a second set of arms; and the secondary securing means comprises a first set of arms and a second set of arms.

Embodiment 30. The method according to Embodiment 29, comprising the step of  
10 deploying the first set of arms of the secondary securing means in the distal compartment.

Embodiment 31. The method according to any one of Embodiments 29 to 30, wherein the first set of arms of the secondary securing means is pre-deployed in the delivery catheter.

- 15 Embodiment 32. The method according to any one of Embodiments 29 to 31, wherein the first set of arms of the secondary securing means have smaller dimensions than the first set of arms of the primary securing means.

Embodiment 33. The method according to any one of Embodiments 29 to 32, comprising  
20 the step of deploying the first set of arms of the primary securing means in the distal compartment.

Embodiment 34. The method according to any one of Embodiments 29 to 33, comprising  
25 the step of deploying the second set of arms of the second securing means in the proximal compartment.

Embodiment 35. The method according to one of Embodiments 29 to 34, wherein the second set of arms of the secondary securing means is pre-deployed in the delivery catheter.

- 30 Embodiment 36. The method according to one of Embodiments 29 to 35, wherein the first set of arms of the secondary securing means have smaller dimensions than the second set of arms of the primary securing means.

Embodiment 37. The method according to any one of Embodiments 29 to 36, comprising the step of deploying the second set of arms of the primary securing means in the proximal compartment.

5

Embodiment 38. The method according to any one of Embodiments 29 to 37, wherein the second set of arms of the primary securing means have smaller dimensions than the second set of arms of the primary securing means.

10 In the above list of embodiments of the invention, each listed Embodiment, as a group of embodiments, comprises a single specific embodiment and/or plural specific embodiments, as specified in the particular combination of embodiments for each Embodiment group.

## 15 BRIEF DESCRIPTION OF THE DRAWINGS

The invention will be further described with reference to the drawings and figures, in which

Figure 1 is a schematic representation (side view) of a first connector according to the  
20 present invention in its working configuration;

Figure 2 is a schematic representation (top view) of the connector as shown in figure 1;

Figures 3A and 3B are partial schematic representations of the connector as shown in figure 1;

Figure 4 is a schematic representation of the connector as shown in figure 1 in its delivery  
25 configuration;

Figure 5 is a schematic representation (perspective view) of the connector as shown in figure 1 - cut and laid flat;

Figure 6 are schematic representations (side views) of securing arms for use in connectors according to the present invention;

30 Figures 7A and 7B are schematic representations (top views) of securing arms for use in connectors according to the present invention;

Figure 8 is a schematic representation of a second connector according to the present invention – cut and laid flat;

Figures 9A and 9B are schematic representations (perspective views) of a third connector according to the present invention, coupled with a pump;

5 Figure 9C is a schematic representation (side view) of the connector as shown in figure 9A; Figure 10 is a schematic representation (bottom view) of the connector as shown in figure 9A;

Figure 11 is a partial schematic representation of the connector as shown in figure 9A;

10 Figure 12 is a schematic representation (side view) of a fourth connector according to the present invention in its working configuration;

Figure 13 is a schematic representation (side view) of a fifth connector according to the present invention in its working configuration; and

Figures 14A to 14F illustrate a method for securing a connector according to the present invention across two anatomical walls.

15

## DETAILED DESCRIPTION

The invention is described by way of examples, which are provided for illustrative purposes only. These examples should not be construed as intending to limit the scope of protection that is defined in the claims. For example, although various aspects have been described with respect to the heart and the circulatory system, this is not intended to be limiting, and is merely performed to provide an example of implementation. Aspects disclosed herein may be utilised in any medical device implantable within the human body, for example in the cardiovascular system, respiratory system, gastric system, neurological system, and the like, some examples including implantable pumps and drug delivery pumps. As used herein, the term “means” can be equivalently expressed as, or substituted with, any of the following terms: device, apparatus, structure, part, sub-part, assembly, sub-assembly, machine, mechanism, article, medium, material, appliance, equipment, system, body or similar wording.

30 Referring to figure 1, there is illustrated a connector 1 for fluid communication between two anatomical compartments C through at least one anatomical wall W, wherein the connector 1 comprises a neck 2 adapted and configured to be positioned across the anatomical wall(s) W;

primary securing means 3A, 3B for securing the neck 2 across the anatomical wall(s) W; and secondary securing means 4A, 4B for securing the neck 2 across the anatomical wall(s) W.

In this embodiment, the two compartments C are the left atrium C<sub>1</sub> and the ascending aorta C<sub>2</sub>, and the connector implantation site is at a location where the left atrium C<sub>1</sub> and the aorta C<sub>2</sub> are separated by the wall W<sub>1</sub> of the left atrium C<sub>1</sub> and the aortic wall W<sub>2</sub> (i.e. two anatomical walls). The exemplified direction of insertion is from the left atrium C<sub>1</sub> (proximal compartment) to the aorta C<sub>2</sub> (distal compartment).

The primary and secondary securing means each comprises a plurality of arms 3,4 extending from the neck 2 of the connector 1. The primary securing means comprises a first set of arms 3A extending from one end of the neck (the aortic side) and a second set of arms 3B extending from the other end of the neck (the atrial side). The secondary securing means comprises a first set of arms 4A extending from one end of the neck (the aortic side) and a second set of arms 4B extending from the other end of the neck (the atrial side).

Hereinafter, the arms of the first set of arms 3A of the primary securing means will be referred to as long aortic arms 3A; the arms of second set of arms 3B of the primary securing means will be referred to as long atrial arms 3B; the arms of the first set of arms 4A of the secondary securing means will be referred to as short aortic arms 4A; the arms of second set of arms 4B of the secondary securing means will be referred to as short atrial arms 4B; bearing in mind that the present invention is not limited to left atrium/aorta procedures.

With reference to figures 3A and 3B, the long aortic arms 3A comprise a substantially linear portion 5 connecting an eyelet 6 to the neck 2. The long atrial arms 3B are longer than the long aortic arms 3A, and comprise a substantially linear portion 5 connecting an eyelet 6 to the neck 2. The short aortic arms 4A comprise a substantially linear portion 5 connecting an eyelet 6 to the neck 2. The short atrial arms 4B are longer than short aortic arms 4A, and comprise a substantially linear portion 5 connecting two eyelets 6 to the neck 2.

Figure 1 shows a connector 1 according to the present invention in its working configuration. The long and short aortic arms 3A, 4A extend substantially perpendicularly from

the aortic end of the neck 2 so as to lie against and support the aortic wall  $W_2$ . Part of the linear portion 5 of the long and short atrial arms 3B, 4B extend substantially perpendicularly from the atrial end of the neck 2 so as to lie against and support the atrial wall  $W_1$ , whilst the remaining portion of the long and short atrial arms 3B, 4B extend away from the atrial wall  $W_1$  so as to form a shield which prevents surrounding tissues from hindering the channel of the neck 2.

Figure 4 shows a connector 1 according to the present invention in its delivery configuration, in which the arms 3A, 3B, 4A, 4B extend substantially parallel relative to the neck 2 so as to fit, for example, in a delivery catheter 7.

The arms 3A, 3B, 4A, 4B are made of or comprise a shape memory metal, or other material which allows the arms to adopt a delivery configuration and a working configuration (which preferably differ from each other). The arms may or may not be made of the same material as the neck 2, preferably the same material if the arms and neck are integrally formed. In the examples included herein, the arms of the primary and secondary securing means are integrally formed with the neck 2 of the connector 1 but it could be envisaged that one or more or all arms are formed separately and movably connected to the neck 2.

Examples of arm shapes are illustrated in figures 6, 7A and 7B. The shape and dimensions of the arms can be adjusted depending on the requirements. The arms may be partially or completely straight, curved or bent relative to the anatomical wall W (see figure 6). They may comprise one or more linear portions 6 and/or one or more eyelets 5 (see figures 7A and 7B). When the arms comprise two or more linear portions 6 (preferably two), the linear portions may be substantially parallel to each other.

In this embodiment, the neck 2 is substantially cylindrical. However, the neck 2 may comprise a tapered portion or be tapered, as illustrated in figure 13. The neck 13 preferably tapers from the atrial side to the aortic side to allow easy insertion as the pump P as it is initially inserted. Once the pump P is inserted the narrower side of neck taper may engage a step on the pump P to prevent the pump P from dislodging. In another embodiment, shown in figure 12, the connector 1 may be devoid of a neck and the arms are connected by a connecting means, such as

a substantially ring-shaped connecting means. In other words, the neck 2 may simply be a connecting ring.

The neck 2 is made of a metal structure for example in the form of woven or shaped wiring. The neck 2 may comprise a repeating pattern which may for example be undulated (including but not limited to serpentine, sinusoidal, triangular, square, rectangular) as shown in figure 5 or a diamond-patterned mesh, as shown in figure 8. In the embodiment of figure 8, the neck 2 comprises a diamond-shaped pattern from which the arms extend longitudinally. Owing to the material and/or the pattern, the neck 2 is capable of expanding radially to accommodate and retain a pump P or other medical device therethrough. The neck 2 is also rigid enough to maintain the tissues surrounding the aperture in the anatomical wall(s) apart and maintaining the neck aperture opened.

With reference to figures 5 and 8, the connector 1 may be cut, for example with a laser, from a sheet or tubing of suitable biocompatible material. The sheet or tubing may be homogeneous in thickness, but, in a preferred embodiment, the sheet or tubing comprises areas of differing thicknesses. For example, an area of greater thickness may be provided to produce the neck section, thereby increasing the radial strength and resistance of the neck 2; an area of thinner thickness may be provided to produce the flexible arms 3.

The outer surface of the neck 2 is covered by an impermeable membrane (not shown) to prevent leakage of blood into the pericardial space, for example in the event that the atrial and aortic walls are not sufficiently pressed against each other. The neck 2 may be coated with a membrane and/or be partially or completely surrounded by an impermeable belt. Suitable materials include, but are not limited to, polymers such as polytetrafluoroethylene (pTFE), silicon, polyvinylidene fluorinated (PVDF) polymers, polyurethane and combinations thereof. Suitable application techniques include, but are not limited to, electro-spinning, electro-spun, dip coating techniques.

The neck 2 preferably comprises a septum or valve to allow, prevent and/or control the flow of fluid therethrough. The blood flow can therefore be adjusted and controlled, once the connector 1 is suitably implanted and during the delivery and until the implantation of the pump

P. The neck 2 may comprise means for retaining the septum or valve, for example the neck 2 may comprise one or more retaining tabs on its inner surface. The neck 2 may comprises means for retaining the pump P and/or any other medical device, for example, the neck 2 may comprise a twist and lock or screw means on its inner surface. It may also be envisaged that the neck 2  
5 comprises a coating membrane (not shown) which forms a valve to seal the opening of the neck 2 prior to the implantation of the intracorporeal pump.

Owing to its shape and structure, the connector according to the present invention is advantageously small or can be compressed to small dimensions such that it is possible to reduce  
10 the puncture size. It is easy to manipulate and can be used with patients with compromised or difficult anatomical structures.

A method according to the present invention will now be described by way of example with reference to a left-aorta connection.

15 The insertion devices (for example guide wire, needle, dilator, sheaths) are inserted by methods known in the art. For example, a needle carrying a guide wire is placed on the groin area of the patient, adjacent the femoral artery. Pressure is applied so that the patient's skin is punctured by the tip of the needle and pushed through the skin and tissues into the femoral  
20 artery. Once in place, the guide wire is advanced along the femoral artery and up the inferior vena cava. The guide wire exits the inferior vena cava and enters the right atrium. The septal puncture between the right and left atrium  $C_1$  can also be carried out by methods known in the art. A guide wire now extends from outside the patient, into the femoral artery through the skin puncture, the inferior vena cava, the right atrium, the atrial septum and the left atrium  $C_1$  lodged  
25 preferably in superior left pulmonary vein. Next, a large and steerable support sheath 8 can be deployed into the left atrium  $C_1$  over the wire to facilitate the final steps of the procedure. The puncture of the anatomical walls  $W_1$ ,  $W_2$  is carried out by pushing the atrial wall  $W_1$  against the aortic wall  $W_2$  using the support sheath 8 until the walls are contacting. A needle is pushed against and through the walls to create an opening, which can be subsequently widened using a  
30 dilator. A detailed procedure is illustrated in PCT application No. PCT/EP2015/055578.



The connector 1 is inserted in the delivery catheter 7 in its delivery configuration. The arms 3A, 3B, 4A, 4B extends substantially parallel from the neck 2 so that they fit within the delivery catheter 7. The catheter 7 is pushed across the anatomical walls  $W_1$ ,  $W_2$  (figure 14A) and the connector 1 is gradually pushed forward (or the catheter is gradually pulled back) so as to exit the catheter 7.

First, the long aortic arms 3A (arms 3A of the primary securing means) partially exit the catheter 7. However, the short aortic arms 4A (arms 4A of the secondary securing means) will be fully exited and deployed first, so as to lie against the aortic wall  $W_2$  and secure the connector to said wall  $W_2$  (figure 14B). In this embodiment, the short aortic arms 4A deploy before the long aortic arms 3A, owing to their relatively shorter length. However, other mechanisms can be used to create this sequence. For example, the arms 3A may be shaped and sized so that they are partially or fully deployed in their delivery configuration in the insertion device; the arms 3A may comprise a hinge or other mechanism adapted to deploy arms 3A first.

Secondly, the long aortic arms 3A fully exit the delivery catheter 7 and deploy into their working configuration (figure 14C) so as to secure the connector to the aortic wall  $W_2$  and to provide support to said wall  $W_2$ . The delivery catheter 7 is gradually pulled back to release the neck 2 of the connector 1, which is now positioned across the anatomical walls  $W_1$  and  $W_2$ . Advantageously, the aortic arms 3A and 4A engage the aorta and provide counter traction to pull the aorta A onto the atrium LA during the deployment process.

Once the aortic arms 3A, 4A are deployed, the short atrial arms 4B (arms 4B of the secondary securing means) are released allowing the delivery catheter 7 to remain in contact with the atrial wall  $W_1$  and holding it against the aortic wall  $W_2$ . The short atrial arms 4B can now secure the connector 1 to the atrial wall  $W_1$  (figure 14D) and support the wall  $W_1$  until the long atrial arms 3B are deployed.

Finally the long atrial arms (arms 3B of the primary securing means) are deployed, while the small atrial arms maintain pressure on the atrial wall to the aortic wall. The long atrial arms 3B secure the connector 1 to the atrial wall  $W_1$  (figure 14E) and to prevent surrounding tissues from hindering the opening of the neck 2. The long aortic arms 3A can prevent soft tissues in the

aorta from being sucked into the pump and the long atrial arms 3B can keep the surface expanded to prevent atrial collapse.

Fluid flow can be prevent, allowed and/or controlled by using a septum or valve (not shown) incorporated in the connector 1, for example in the neck 2 of the connector 1. In another embodiment, the neck 2 may comprise or consist of a collapsible membrane, for example an impermeable membrane. In a first step of the implantation process, the connector 1 is secured to the anatomical wall  $W_2$ , and as the delivery catheter is pulled back, the neck 2 collapses so that the puncture point is substantially closed and re-opened upon the introduction of a pump P or other medical device. Thus, this feature prevents any fluid passage, until the pump P is inserted.

A pump P or other medical device is delivered to the implantation site through the same or different delivery catheter 7 and coupled to the connector 1 (figure 14F). If the connector 1 comprises any retaining means (e.g. twist-and-lock or screw means), then these are used to secure the pump P to the connector 1.

The arms of the connector 1 provide compressive load onto the atrial and aortic wall tissues to seal the connection created and prevent blood leakage external to the heart. The arms 4A, 4B of the secondary securing means can be deployed inside the delivery catheter, for minimum support and to keep both adjacent compartments together until the long arms 3A, 3B are deployed. In addition, a septum or valve of the connector 1 prevents any uncontrolled blood loss, whilst the pump is being delivered, implanted and/or removed.

In a preferred embodiment, the connector 1 according to the present invention is implanted across the anatomical walls using a sheath (support sheath 8 and/or delivery catheter 7) comprising a plurality of distal recesses or slits. The recesses are arranged and configured to facilitate the deployment of the short atrial arms 4B. The long aortic arms 3A and the short aortic arms 4A are deployed as described above; the neck 2 of the connector 1 is positioned across the anatomical walls  $W_1$  and  $W_2$ ; the distal end of the support sheath 8 with the distal recesses (not shown) pushes the walls  $W_1$  and  $W_2$  against each other. The delivery catheter 7 is slid out of walls  $W_1$  and  $W_2$  to free the short atrial arms 4B. The short atrial arms 4B are deployed and pass through the distal recesses to then lie against anatomical wall  $W_1$ . The two walls  $W_1$  and  $W_2$  are

now secured and compressed against each between the long aortic arms 3A and the short aortic arms 4A on one side, and the short atrial arms 4B on the other side. The long atrial arms 3B can then be released and deployed. The distal recesses allow the safe implantation of the connector 1 by minimising the risk of blood leakage during the implantation process. This is because the  
5 walls  $W_1$  and  $W_2$  can be promptly and accurately secured in a one-step implantation process, without the need for additional stapling or suturing steps prior to connector implantation.

Thus, from the above description, it can be seen that the present invention provides a connector for establishing fluid communication between two anatomical compartments. The  
10 connector also enables a pump or other medical devices to be securely implanted across one or more anatomical walls. This can be achieved accurately and safely. The present invention provides a device which can establish fluid communication with minimal risk of blood leakage during the implantation procedure, and whilst providing support to the anatomical walls and tissues so as to prevent injury to the patient.

15

20

**CLAIMS**

1. A connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises

5 a neck adapted and configured to be positioned across the anatomical wall(s);  
primary means for securing the neck across the anatomical wall(s); and  
secondary means for securing the neck across the anatomical wall(s).

2. The connector according to claim 1, wherein the connector can be arranged into a  
10 delivery configuration and into a working configuration in which the neck is secured across the anatomical wall(s).

3. The connector according to claim 1 or 2, wherein the primary securing means and/or the securing secondary means are movable from a delivery position to a working position  
15 in which the neck is secured across the anatomical wall(s).

4. The connector according to any preceding claim, wherein the secondary securing means is capable of securing the neck across the anatomical wall(s) before the primary securing means secures the neck across the anatomical wall(s).

20 5. The connector according to any preceding claim, wherein the primary securing means and/or the secondary securing means comprise a plurality of arms.

6. The connector according to claim 5, wherein the arms of the primary securing  
25 means and/or of the secondary securing means are deployable from a delivery configuration to a working configuration.

7. The connector according to claim 5 or 6, wherein each deployable arm is independently deployable.

30 8. The connector according to any one of claims 5 to 7, wherein the arms extend from one or both ends of the neck.

9. The connector according to any one of claims 5 to 8, wherein the primary securing means comprises two sets of arms, each set extending from an end of the neck.

10. The connector according to any one of claims 5 to 9, wherein the secondary  
5 securing means comprises two sets of arms, each set extending from an end of the neck.

11. The connector according to any one of claims 5 to 10, wherein the arms of the secondary securing means are adapted and configured to fit, in their working configuration, in a delivery catheter.

10 12. The connector according to any one of claims 5 to 11, wherein a web of material extends between the arms.

13. The connector according to any one of claims 5 to 12, wherein each arm forms a  
15 loop.

14. The connector according to any one of claims 5 to 13, wherein each arm comprises one or more eyelets.

20 15. The connector according to any preceding claim, wherein the connector is configured and adapted to be coupled to an intracorporeal pump.

16. The connector according to claim 15, wherein the neck is flexible.

25 17. The connector according to claim 15 or 16, wherein the neck comprises is formed of a mesh structure.

18. The connector according to any one or claims 15 to 17, wherein the neck  
30 comprises a tapered portion.

19. The connector according to any one or claims 15 to 18, wherein the neck is covered or coated with a biocompatible impermeable membrane.

20. The connector according to any preceding claim, wherein the connector enables fluid communication between at least two anatomical walls.

21. The connector according to any preceding claim, wherein the primary securing means form a diffuser.

22. A connector for fluid communication between two anatomical compartments through at least one anatomical wall, wherein the connector comprises primary means for securing the connector across the anatomical wall(s); and secondary means for securing the connector across the anatomical wall(s).

23. The connector according to claim 22, further comprising at least one ring connected to the primary securing means and the secondary securing means.

24. An intracorporeal pump integrally formed with a connector according to any preceding claim.

25. A method for coupling two anatomical walls using a connector comprising primary means for securing the connector across the anatomical wall(s); and secondary means for securing the connector across the anatomical wall(s), the method comprising the step of securing the connector to the anatomical wall(s) using the secondary securing means prior to securing the connector to the anatomical wall(s) using the primary securing means.

26. The method according to claim 25, wherein the connector can be arranged into a delivery configuration and into a working configuration in which the connector is secured across the anatomical wall(s).

27. The method according to claim 25 or 26, wherein the primary means and/or the secondary means are movable from a delivery position to a working position in which the connector is secured across the anatomical wall(s).

28. The method according to any one of claims 25 to 26, wherein comprising the step of delivering the connector across the anatomical wall(s) using a delivery catheter.

29. The method according to any one of claims 25 to 27, wherein the primary securing means comprises a first set of arms and a second set of arms; and the secondary securing means comprises a first set of arms and a second set of arms.

5 30. The method according to claim 29, comprising the step of deploying the first set of arms of the secondary securing means in the distal compartment.

31. The method according to any one of claims 29 to 30, wherein the first set of arms  
10 of the secondary securing means is pre-deployed in the delivery catheter.

32. The method according to any one of claims 29 to 31, wherein the first set of arms  
of the secondary securing means have smaller dimensions than the first set of arms of the  
15 primary securing means.

33. The method according to any one of claims 29 to 32, comprising the step of  
deploying the first set of arms of the primary securing means in the distal compartment.

20 34. The method according to any one of claims 29 to 33, comprising the step of  
deploying the second set of arms of the second securing means in the proximal compartment.

35. The method according to one of claims 29 to 34, wherein the second set of arms  
25 of the secondary securing means is pre-deployed in the delivery catheter.

36. The method according to one of claims 29 to 35, wherein the first set of arms of  
the secondary securing means have smaller dimensions than the second set of arms of the  
30 primary securing means.

37. The method according to any one of claims 29 to 36, comprising the step of  
deploying the second set of arms of the primary securing means in the proximal compartment.

38. The method according to any one of claims 29 to 37, wherein the second set of arms of the primary securing means have smaller dimensions than the second set of arms of the primary securing means.



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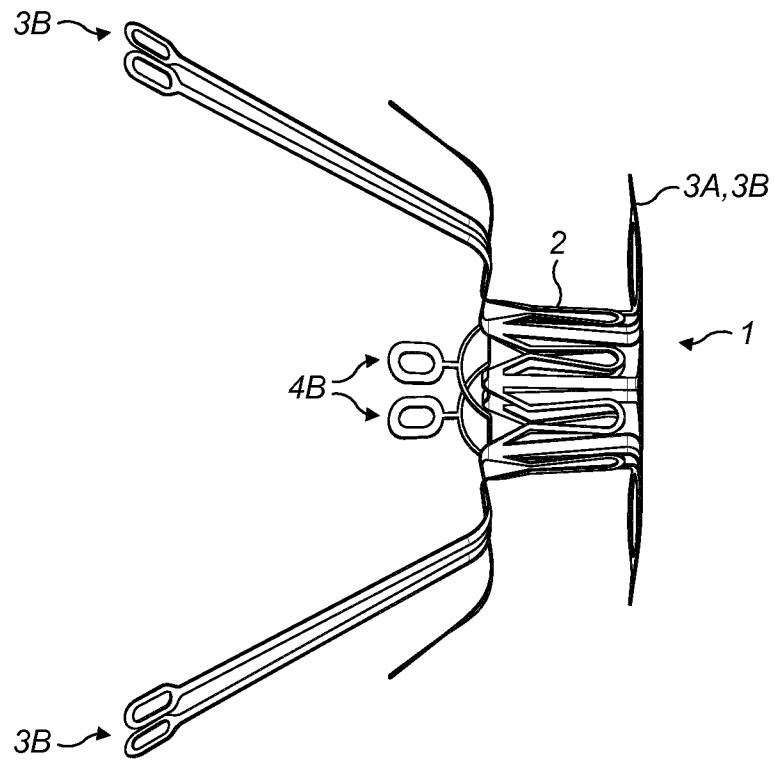


FIG. 1

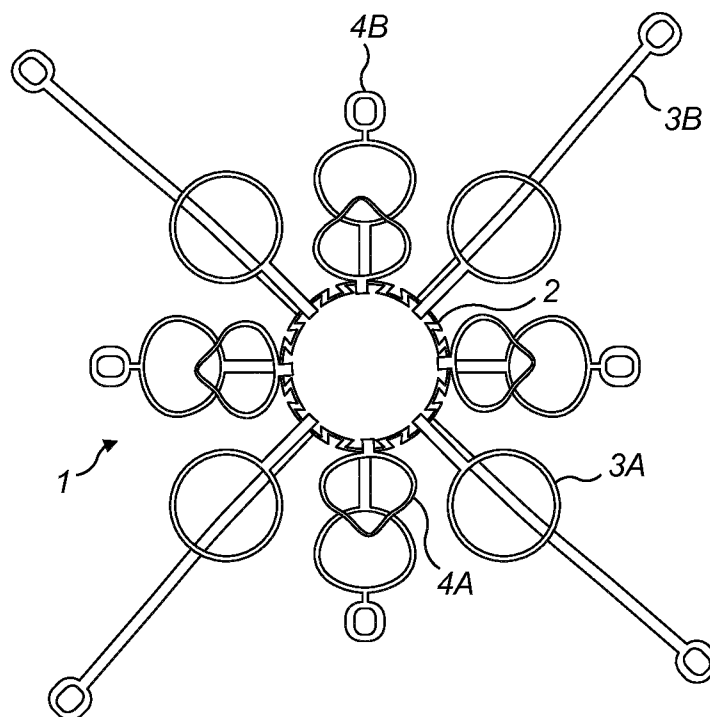
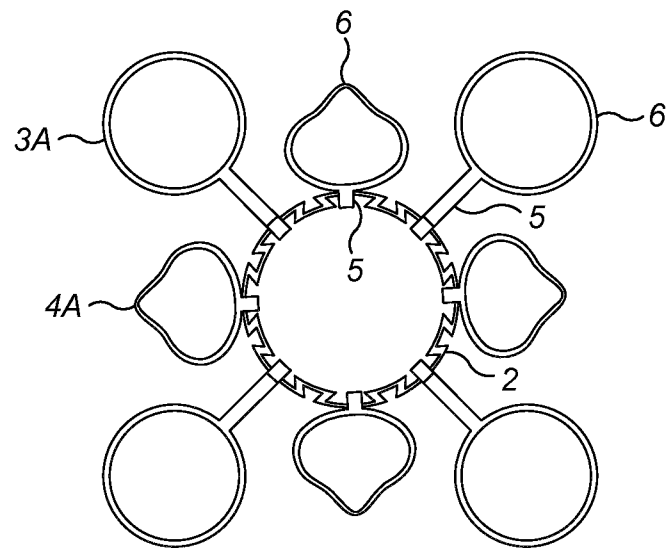
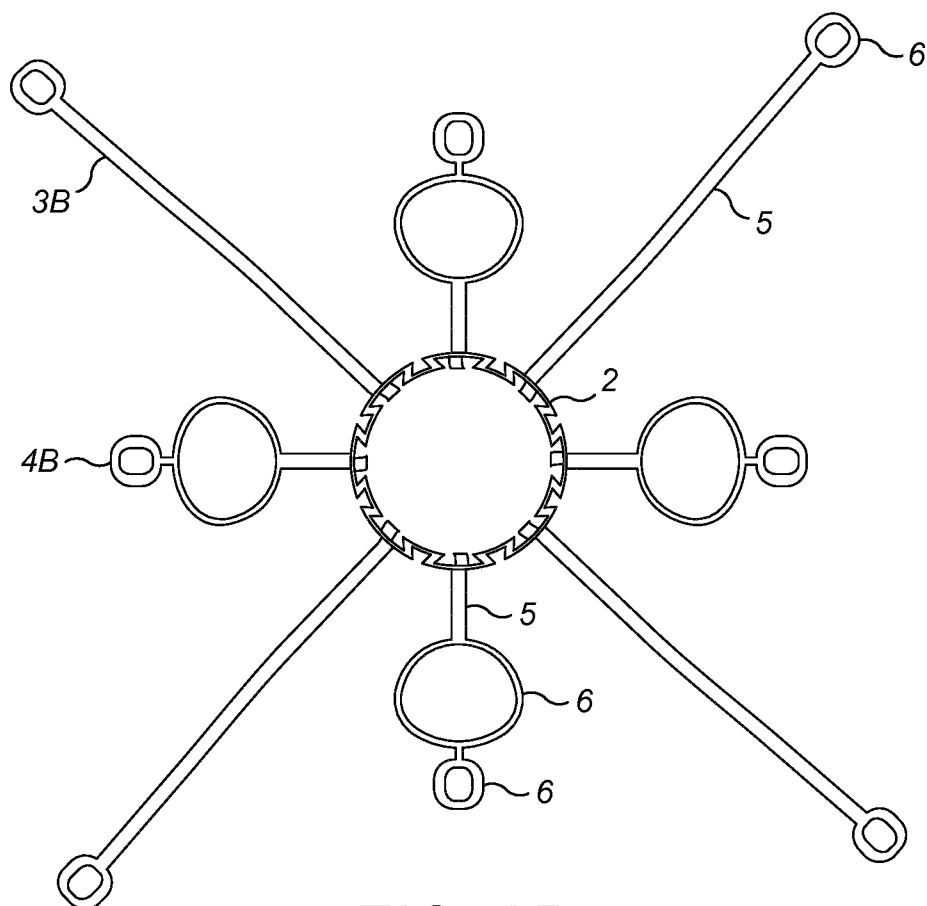


FIG. 2

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**FIG. 3A**



**FIG. 3B**

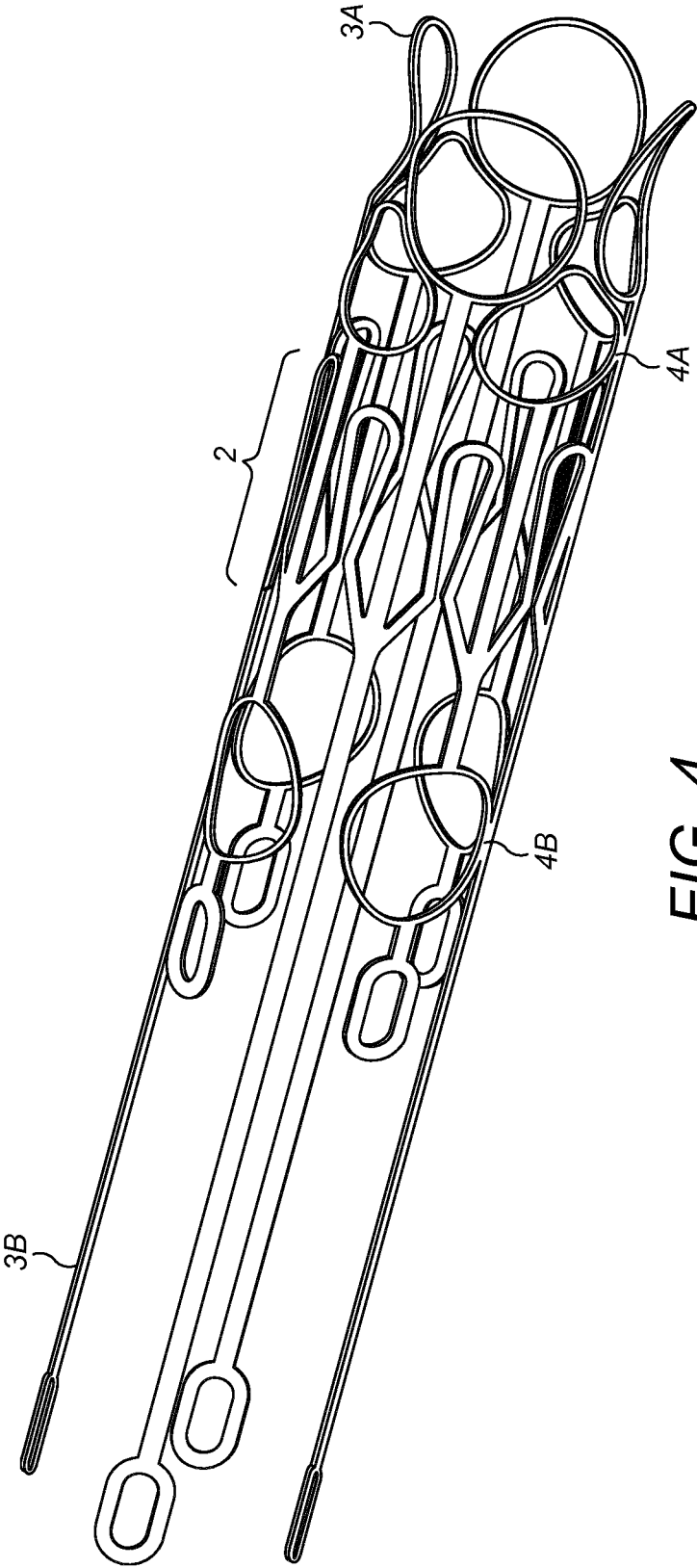


FIG. 4

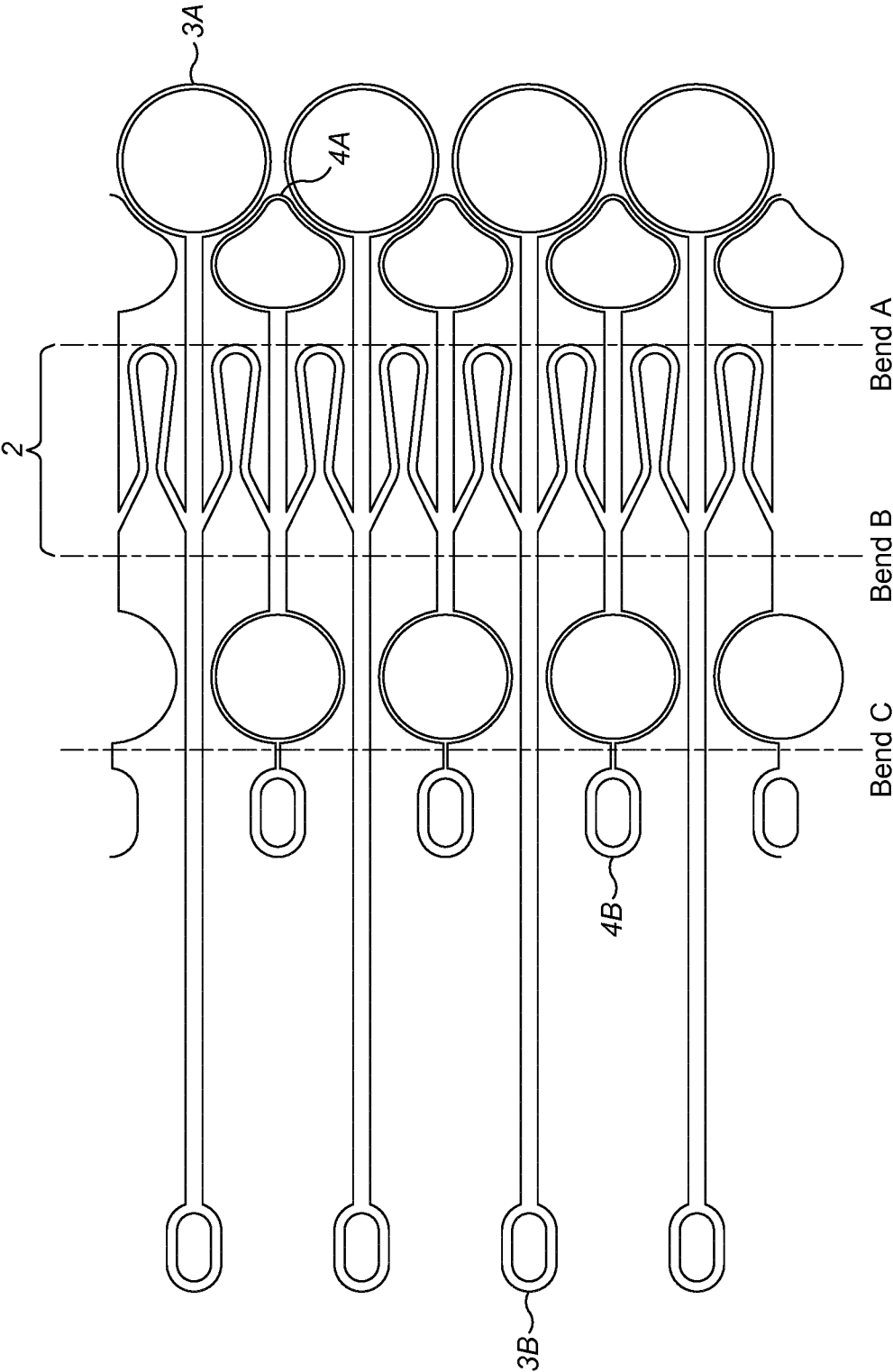


FIG. 5

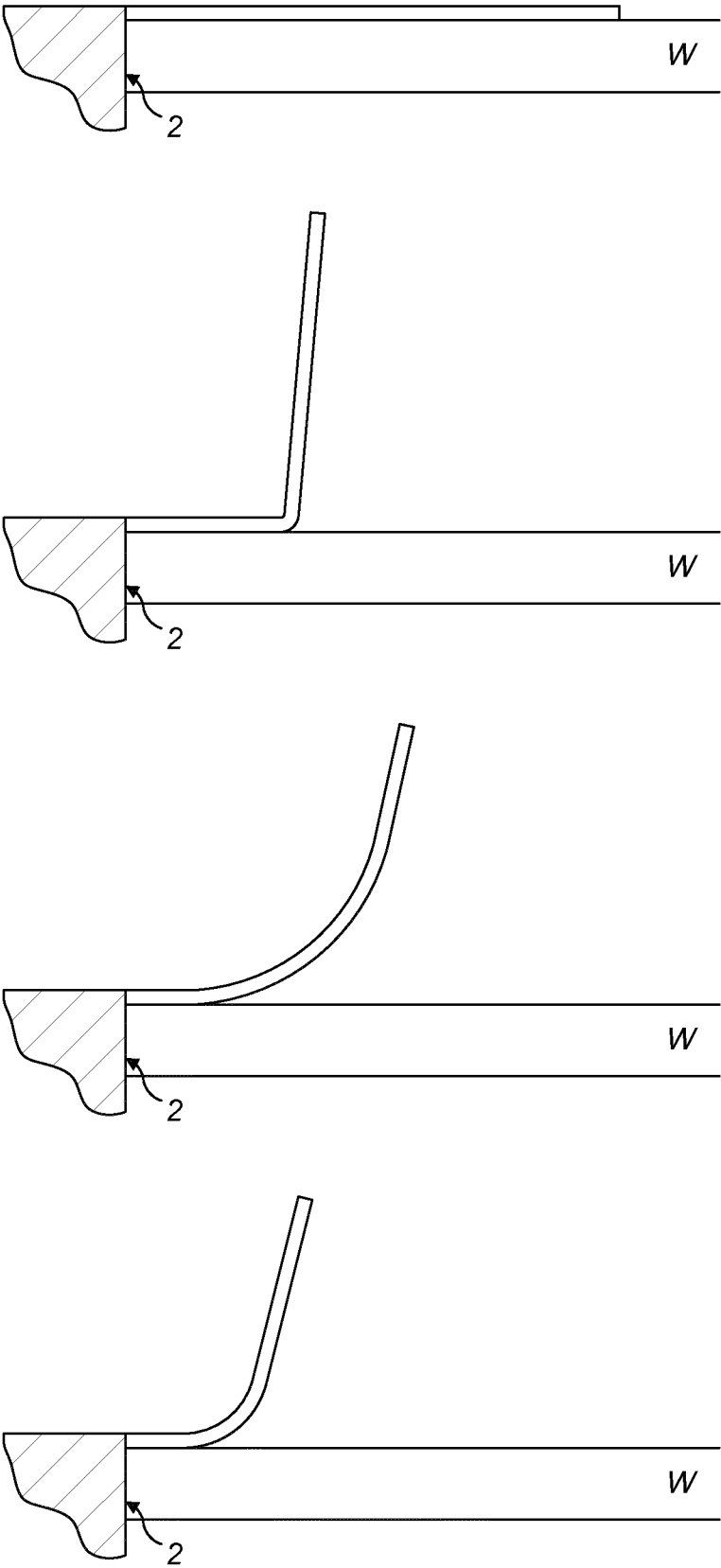
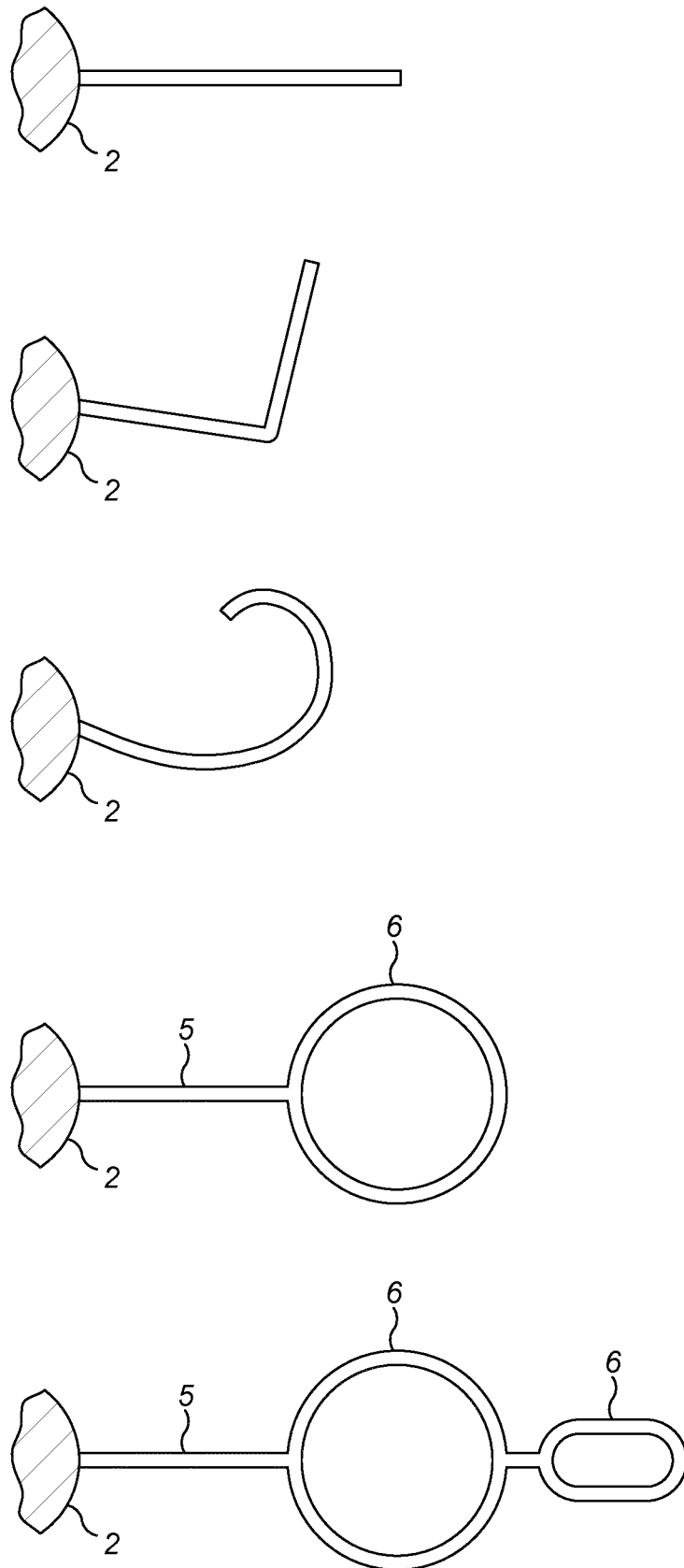


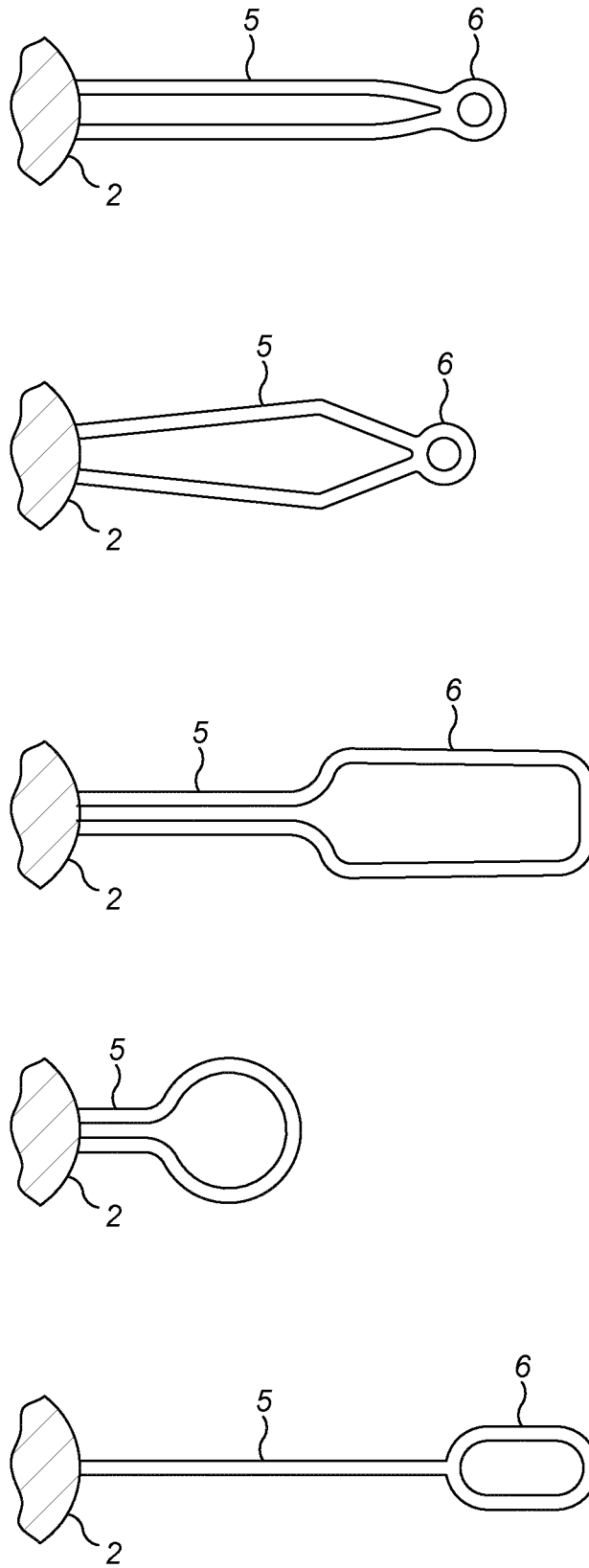
FIG. 6

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**FIG. 7A**

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**FIG. 7B**

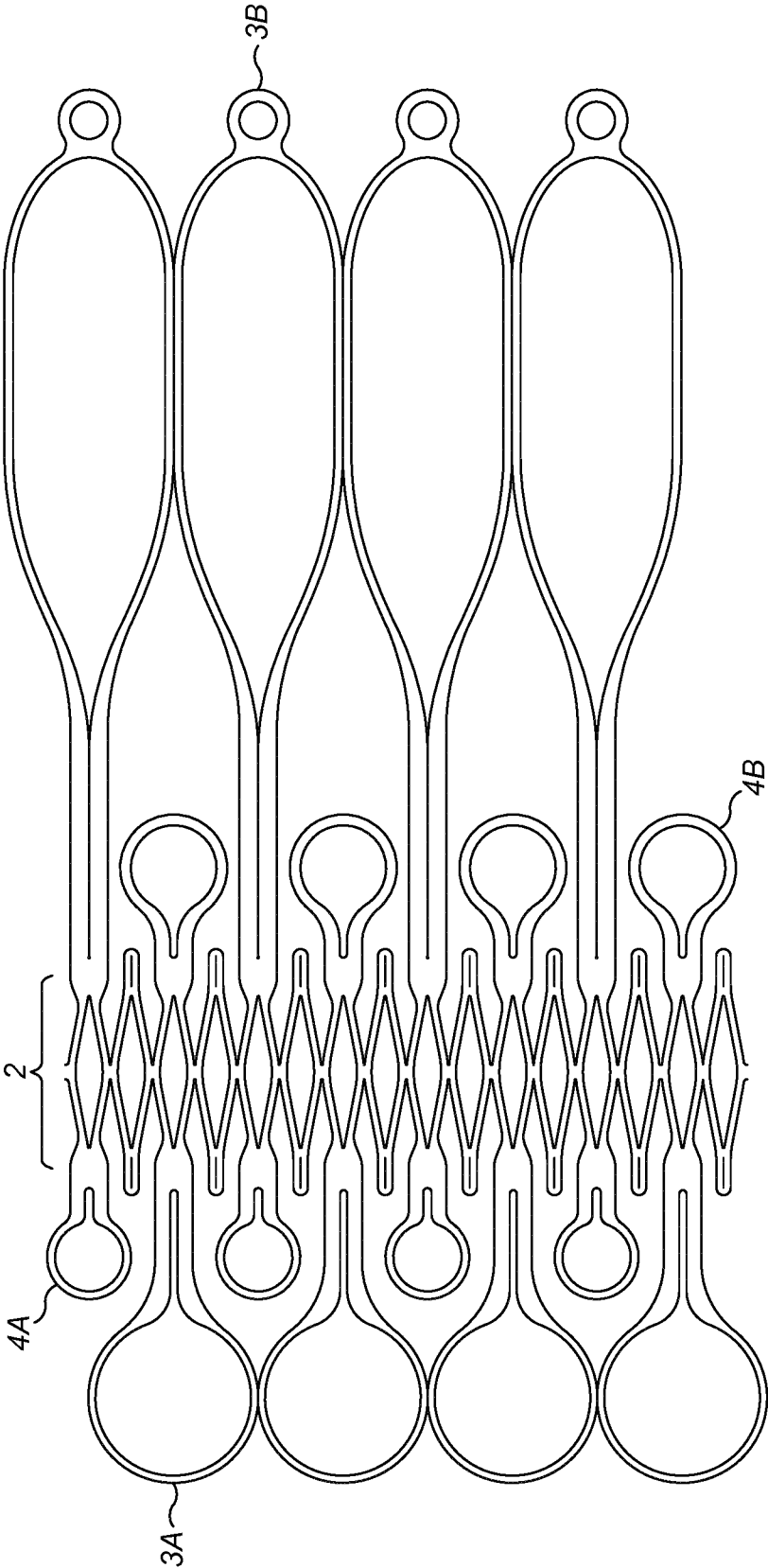
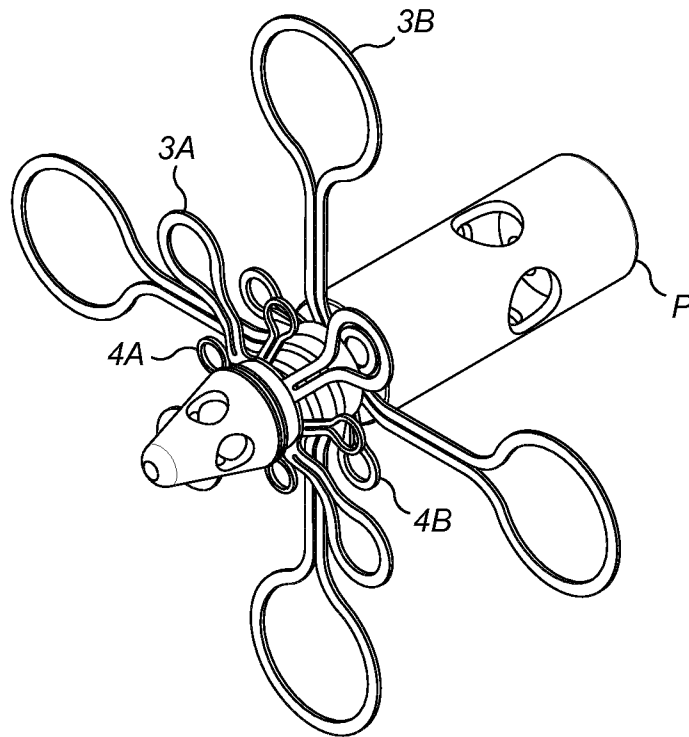


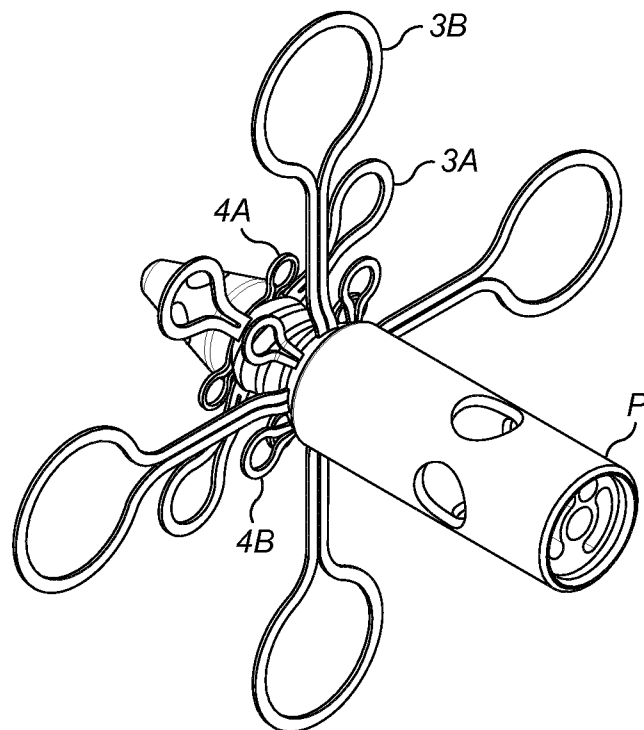
FIG. 8



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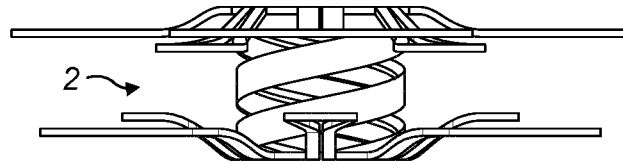


**FIG. 9A**

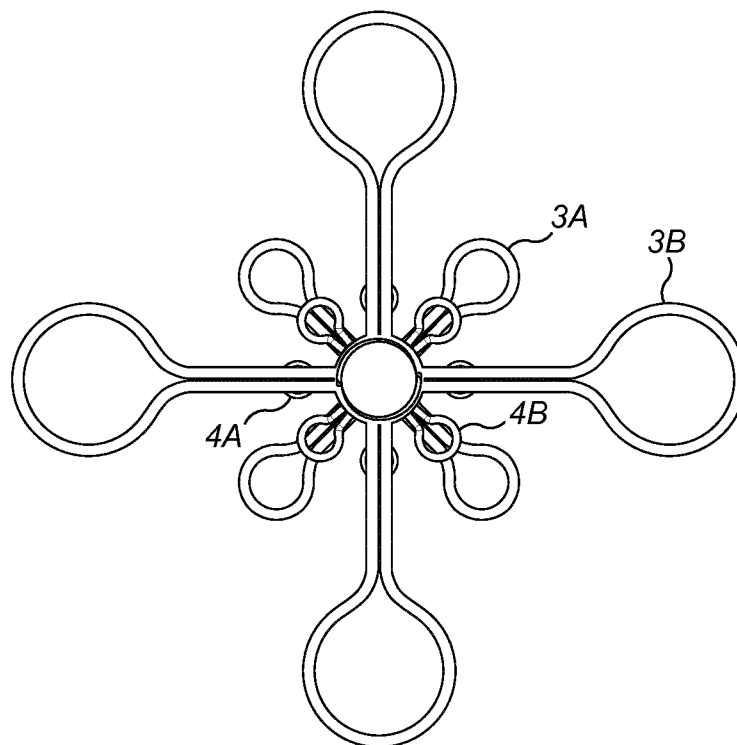


**FIG. 9B**

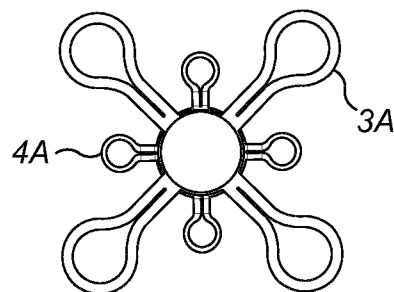
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**FIG. 9C**



**FIG. 10**



**FIG. 11**

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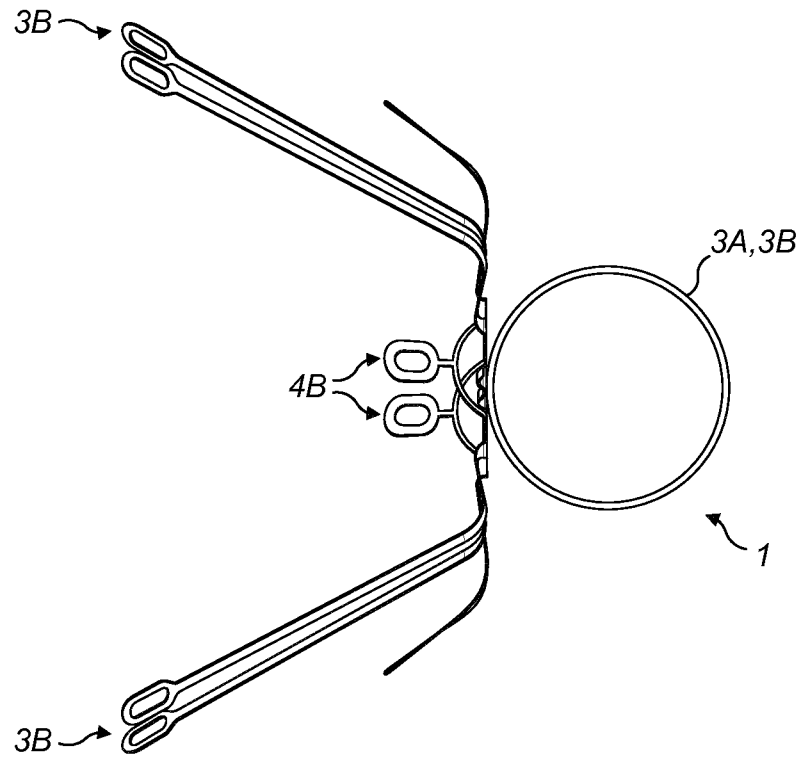


FIG. 12

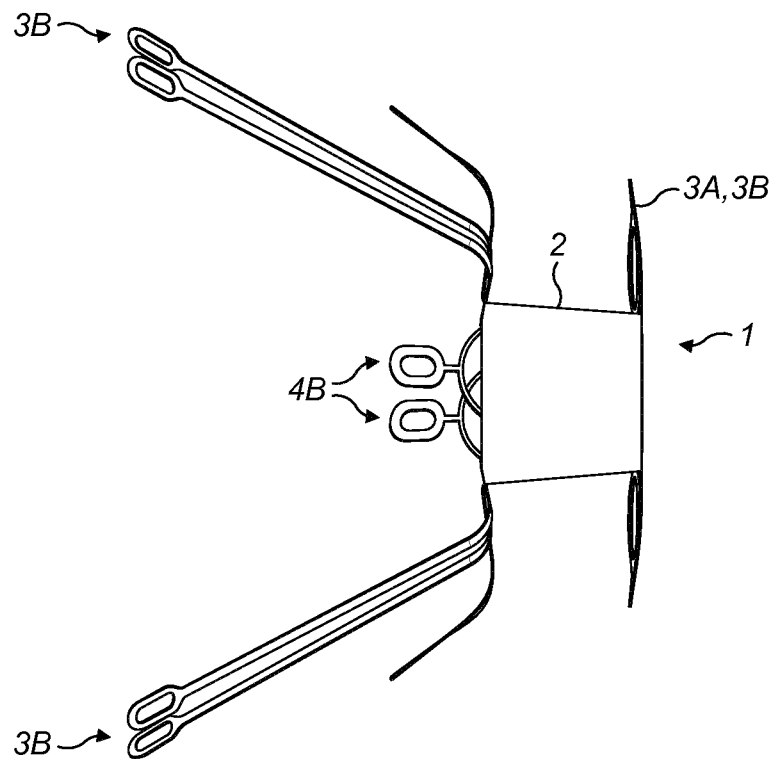


FIG. 13

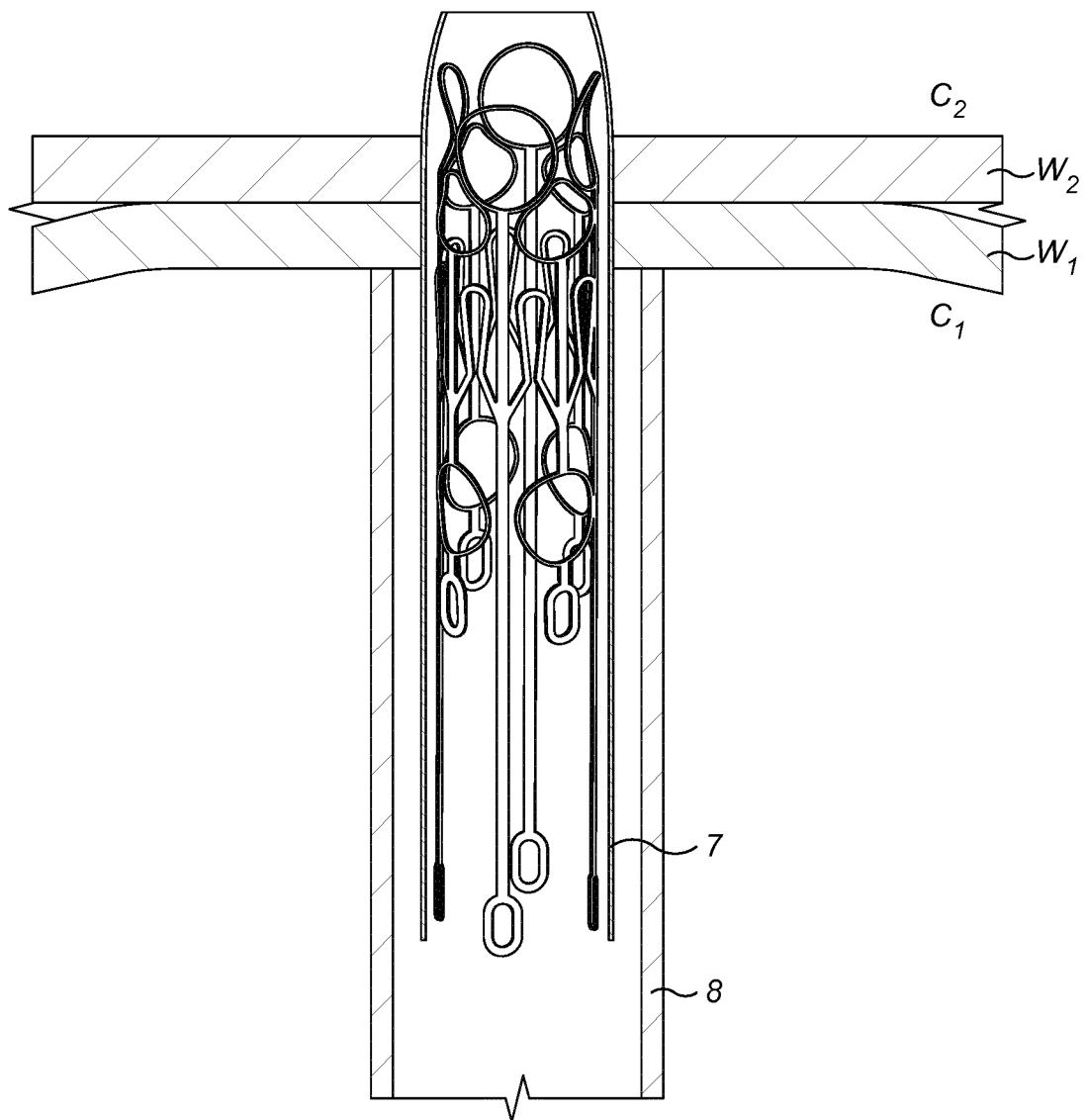
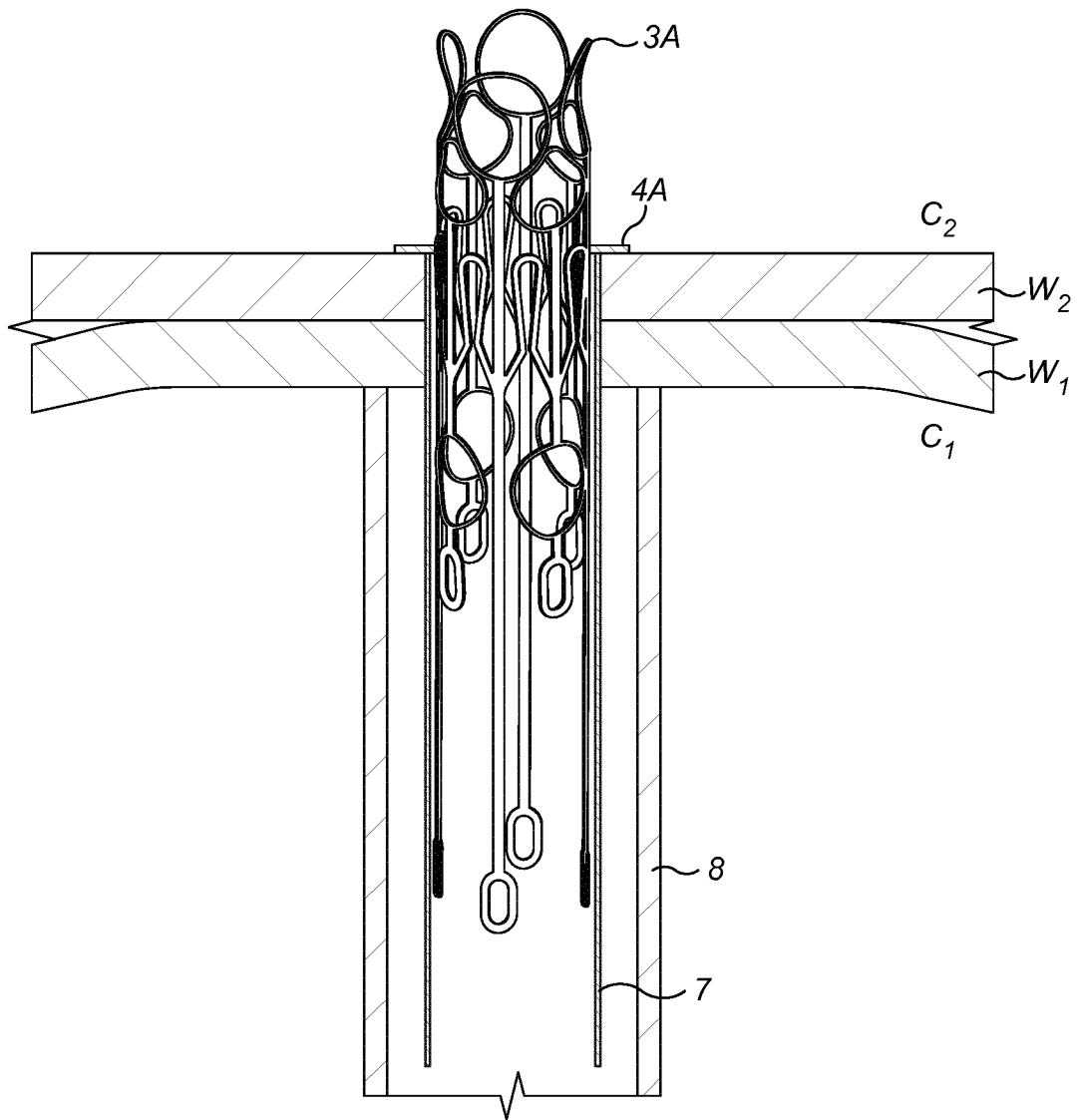


FIG. 14A



**FIG. 14B**

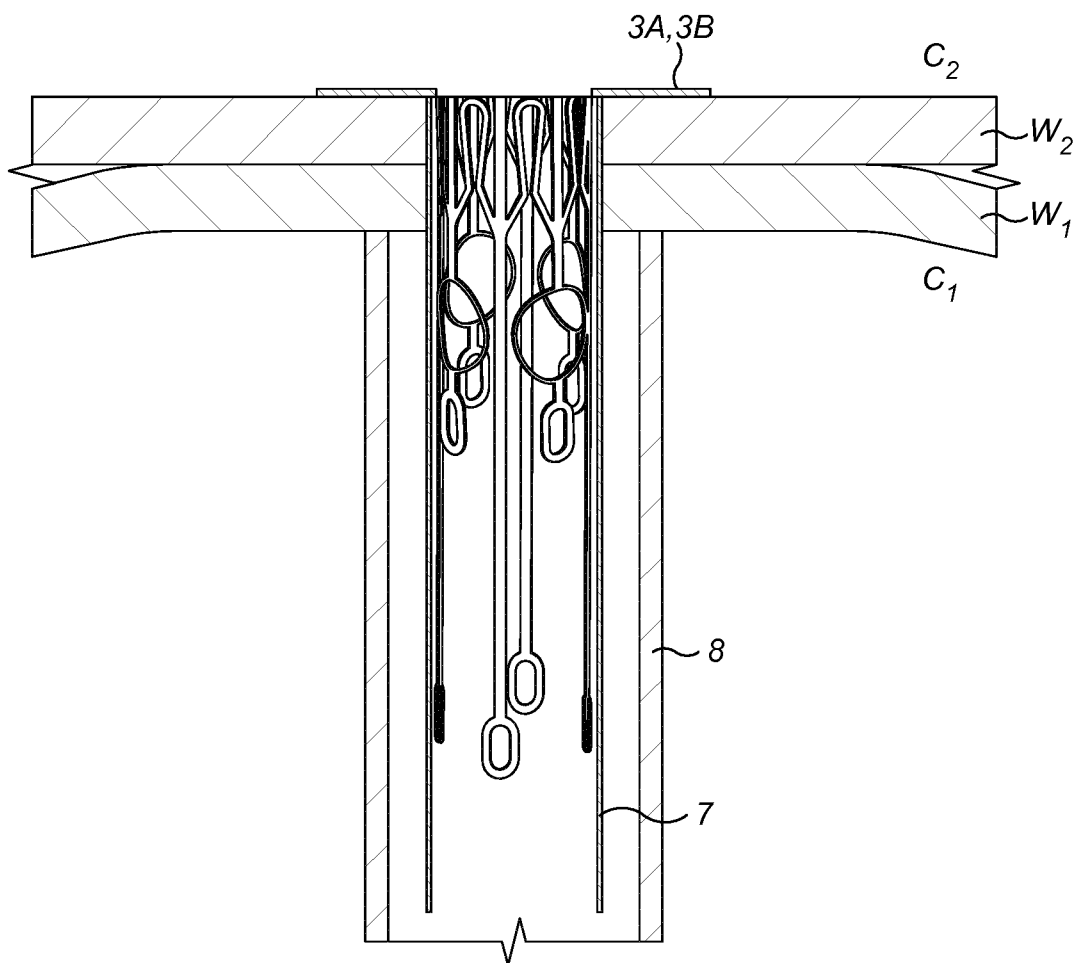


FIG. 14C

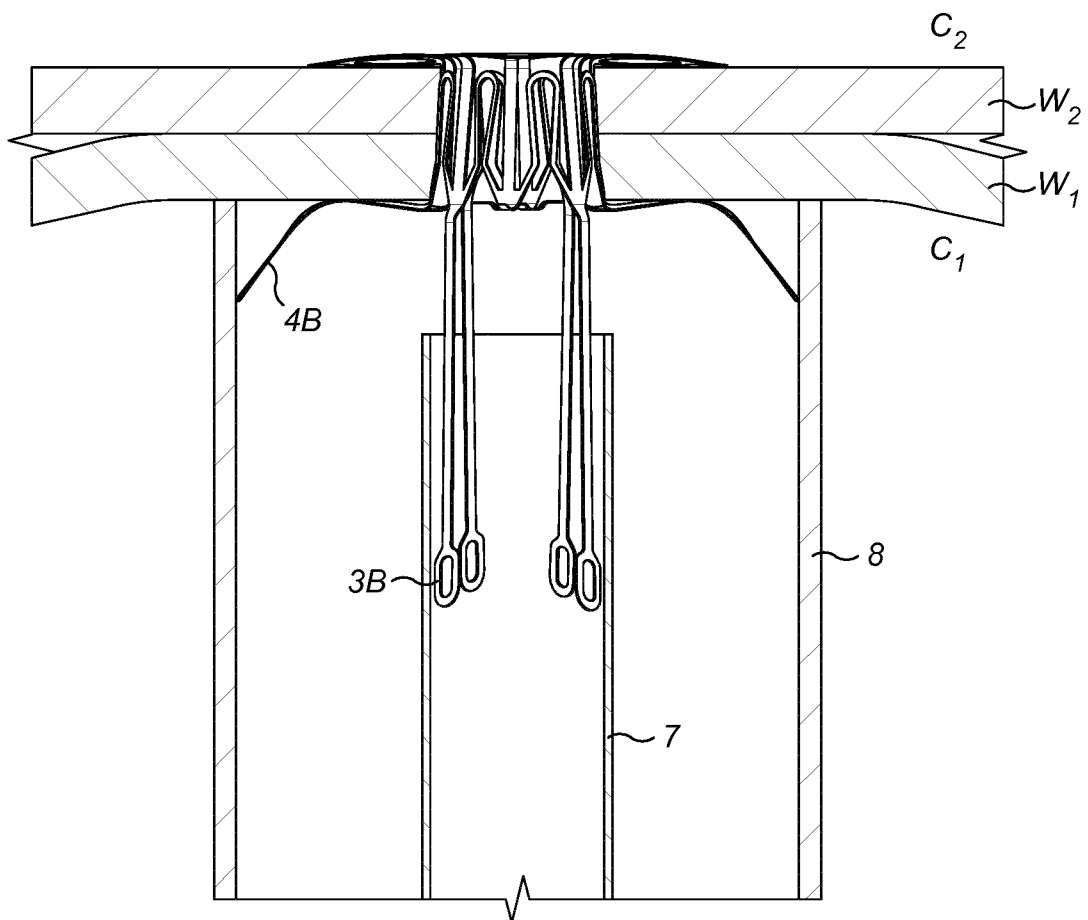


FIG. 14D

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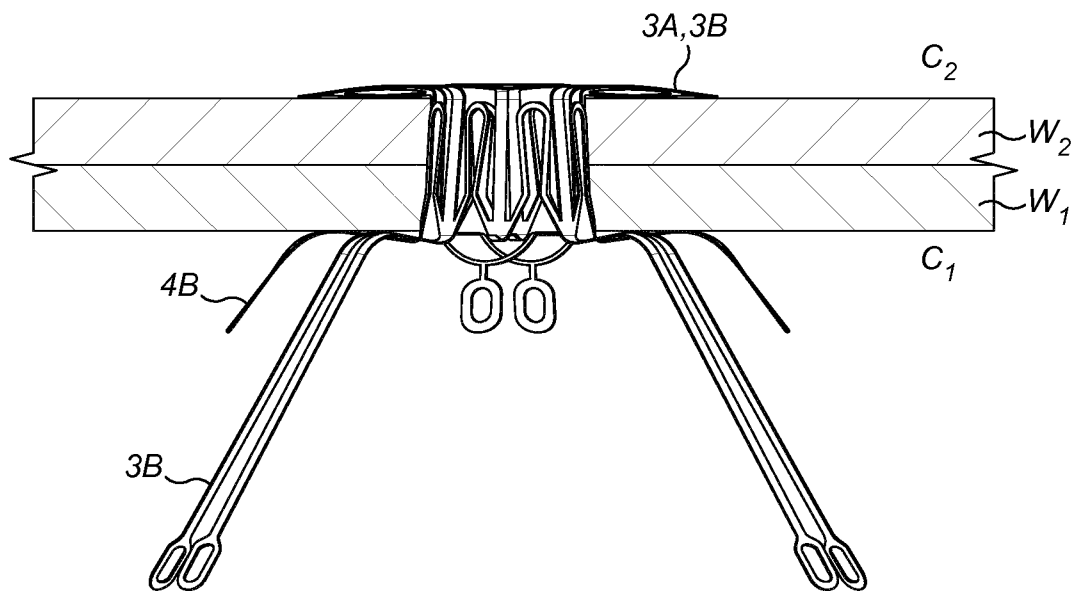


FIG. 14E

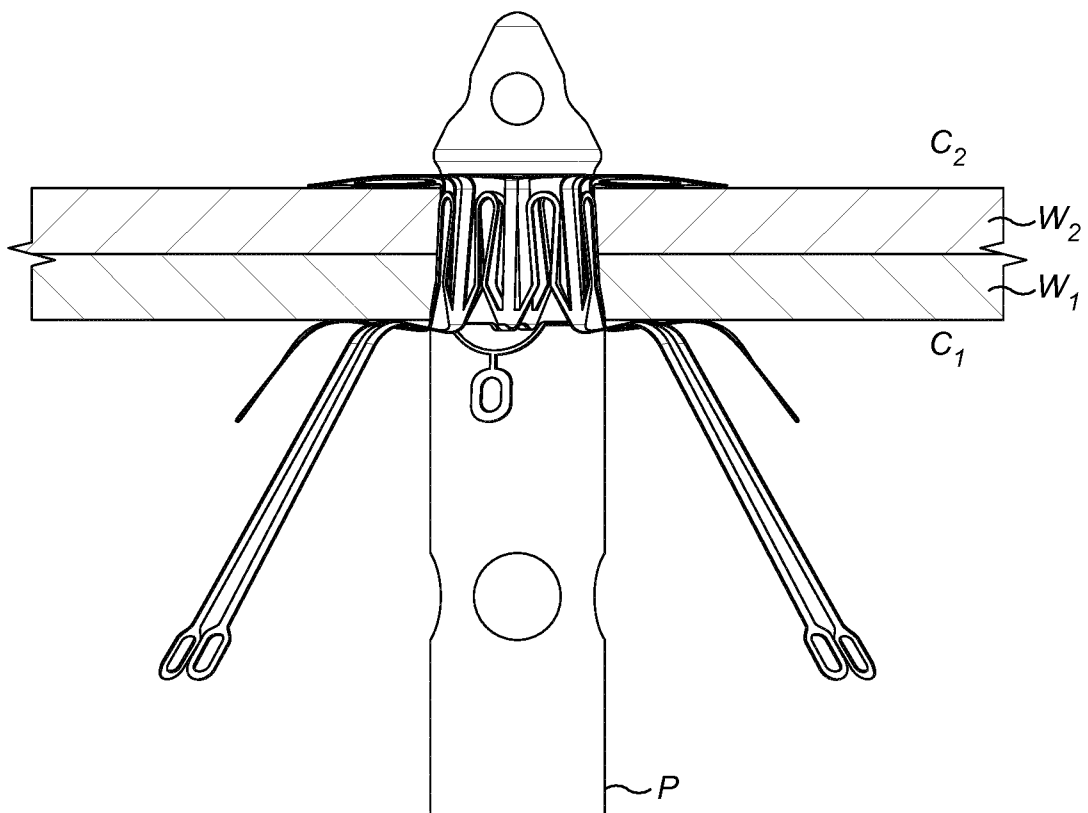


FIG. 14F



# INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2017/050275

A. CLASSIFICATION OF SUBJECT MATTER  
INV. A61B17/11  
ADD. A61B17/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 97/27898 A1 (TRANSVASCULAR INC [US]; EVARD PHILIP C [US]; FLAHERTY J C [US]; GARIBO) 7 August 1997 (1997-08-07) column 10; figures 3,4 -----	1-17, 20-24
X	US 2010/268316 A1 (BRENNEMAN RODNEY A [US] ET AL) 21 October 2010 (2010-10-21)  paragraph [0147]; figure 16 paragraph [0096] -----	1-11, 13-16, 18-24
X	WO 2011/011787 A2 (UNIV OREGON HEALTH & SCIENCE [US]; SELDEN NATHAN R [US]; DREILINGER RA) 27 January 2011 (2011-01-27) figure 4a -----	1-11,16, 20-22



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

21 March 2017

Date of mailing of the international search report

30/03/2017

Name and mailing address of the ISA/

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2017/050275

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: **25-38**  
because they relate to subject matter not required to be searched by this Authority, namely:  
The method defined in claims 25-38 is a method of treatment of the human or animal body by surgery. No international search and no preliminary examination are required for such methods (Art. 17(2)(a)i, Rule 39.1(iv); Art. 34(4)(a)i, Rule 67.1(iv), PCT GL 9.08-9.10). See also Rule 43 bis PCT.
2. ☐ Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ☐ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/EP2017/050275

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