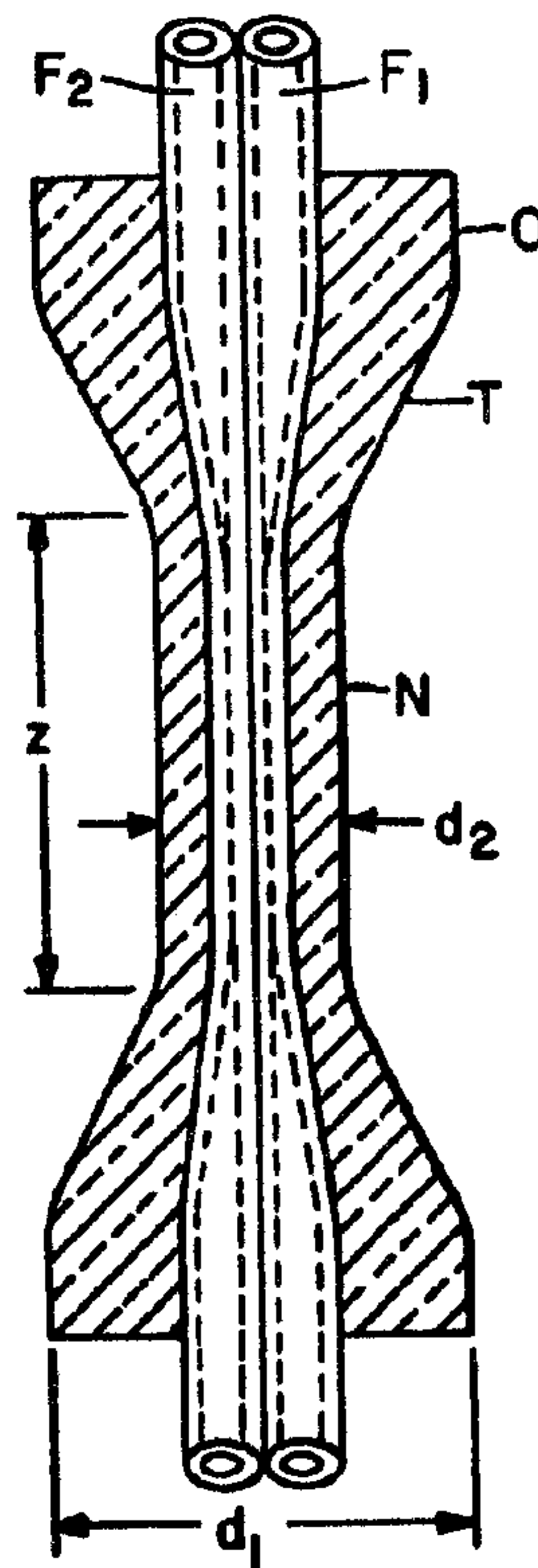




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(54) Titre : COUPLEUR OPTIQUE A FIBRES ACHROMATIQUES  
 (54) Title: ACHROMATIC FIBER OPTIC COUPLER



(57) Abrégé/Abstract:

An achromatic fiber optic coupler of the type wherein first and second single-mode optical fibers, each having a core and a cladding, are fused together along a portion of the lengths thereof to form a coupling region. A matrix glass of lower index than the fiber claddings surrounds the coupling region. The fiber diameters are smaller in the coupling region than in the remainder of the fibers. The refractive index  $n_2$  of the cladding of the first fiber is different from the refractive index  $n_2'$  of the cladding of the second fiber, the difference between the refractive indices  $n_2$  and  $n_2'$  being such that the coupler exhibits very little change in coupling ratio with wavelength over a band of wavelengths.

Abstract of the Disclosure

An achromatic fiber optic coupler of the type wherein first and second single-mode optical fibers, each having a core and a cladding, are fused together along a portion of the lengths thereof to form a coupling region. A matrix glass of lower index than the fiber claddings surrounds the coupling region. The fiber diameters are smaller in the coupling region than in the remainder of the fibers. The refractive index  $n_2$  of the cladding of the first fiber is different from the refractive index  $n'_2$  of the cladding of the second fiber, the difference between the refractive indices  $n_2$  and  $n'_2$  being such that the coupler exhibits very little change in coupling ratio with wavelength over a band of wavelengths.

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## ACHROMATIC FIBER OPTIC COUPLER

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Cross-Reference to Related Appliation

This application is related to U.S. Patent No. 5,044,716  
(G.E. Berkey 20) entitled "Chlorine-Doped Optical Component",  
15 which issued on September 3, 1991.

Background of the Invention

This invention relates to single-mode fiber optic  
20 couplers that are capable of effecting a relatively uniform  
coupling of light from one fiber to another over a  
relatively broad band of wavelengths.

Coupling occurs between two closely spaced cores in a  
multiple core device. Fiber optic couplers referred to  
25 herein as "fused fiber couplers" have been formed by  
positioning a plurality of fibers in a side-by-side  
relationship along a suitable length thereof and fusing the  
claddings together to secure the fibers and reduce the  
spacings between the cores. The coupling efficiency  
30 increases with decreasing core separation and, in the case  
of single-mode cores, with decreasing core diameter.

European published patent application No. 0302745  
teaches that various coupler properties can be improved by  
inserting the fibers into a capillary tube prior to heating  
35 and stretching the fibers, thereby resulting in the  
formation of an "overclad coupler". After the fibers have  
been inserted into the tube, the tube midregion is heated



to cause it to collapse onto the fibers; the central portion of the midregion is thereafter drawn down to that diameter which is necessary to obtain the desired coupling. The coupling region of an overclad coupler is hermetically sealed, and the optical characteristics thereof are relatively insensitive to changes in temperature. The tube also greatly enhances the mechanical strength of the coupler.

Identical optical fibers are used to make overclad couplers referred to herein as "standard couplers", the coupling ratio of which is very wavelength dependent. A standard coupler which exhibits 3 dB coupling at 1310 nm cannot function as a 3 dB coupler at 1550 nm because of that wavelength dependence. A 3 dB coupler is one that couples 50% of the power from a first fiber to a second fiber. A standard coupler can be characterized in terms of its power transfer characteristics in a window centered about 1310 nm, which is referred to as the first window. For example, a standard coupler might exhibit a coupling ratio that does not vary more than about  $\pm 5\%$  within a 60 nm window.

It has been known that an achromatic coupler, the coupling ratio of which is less sensitive to wavelength than it is for a standard coupler, can be formed by employing fibers having different propagation constants, i.e. by using fibers of different diameter and/or fibers of different refractive index profile or by tapering one of two identical fibers more than the other. There is no widely accepted definition of achromatic couplers. The least stringent definition would merely require an achromatic coupler to exhibit better power transfer characteristics than the standard coupler in the first window. More realistically, the specification is tightened by requiring an achromatic coupler to perform much better than the standard coupler in that first window, or to require it to exhibit low power transfer slopes in two windows of specified widths. These windows might be

specified, for example, as being 100 nm wide and centered around about 1310 nm and 1530 nm. These windows need not have the same width; their widths could be 80 nm and 60 nm, for example. An optimally performing achromatic coupler would be capable of exhibiting low values of coupled power slope over essentially the entire single-mode operating region. For silica-based optical fibers this operating region might be specified as being between 1260 nm to 1580 nm, for example. It is noted that the total permissible variation in power includes insertion loss and that the permissible power variation specification becomes tighter as insertion loss increases. Furthermore, for a 3 dB coupler, for example, the coupled power at the center of the window should be 50%. If the 50% coupling wavelength is not at the center of the window, the coupled power specification becomes even tighter.

In the following discussion, the relative refractive index difference  $\Delta_{a-b}$  between two materials with refractive indices  $n_a$  and  $n_b$  is defined as

$$\Delta_{a-b} = (n_a^2 - n_b^2) / 2n_a^2 \quad (1)$$

For simplicity of expression,  $\Delta$  is often expressed in per cent, i.e. one hundred times  $\Delta$ .

A usual requirement for fiber optic couplers is that the fibers extending therefrom, referred to herein as "pigtailed", be optically and mechanically compatible with standard system fibers to which they will be connected in order to minimize connection loss. For example, the outside diameter and the mode field diameter of the coupler pigtailed should be substantially the same as those of a standard fiber. One of the fibers employed in the fabrication of the coupler can be a standard, commercially available fiber. That feature of the other fiber that is modified to change the propagation constant should affect the outside diameter and mode field diameter of the pigtail portion of the other fiber as little as possible.



U.S. patent No. 4,798,436 (Mortimore) discloses a 3 dB fused fiber coupler wherein different propagation constants are obtained by pretapering one of the fibers. First and second identical standard fibers can be used to form such a coupler. The central portion of the first fiber is initially heated and stretched such that the core and the cladding diameter thereof in the tapered region is smaller than the core and cladding diameter of the second fiber. The pigtail portions of the stretched fiber can be connected with low loss to a standard system fiber since the ends thereof are identical to the ends of the stretched fiber. However, since a separate prestretching operation is employed for each coupler made, and since fiber diameter varies continuously along the length thereof, it is difficult to maintain process reproducibility. Also, a pretapered fiber is fragile and difficult to handle.

U.S. Patent No. 4,822,126 (Sweeney et al.) teaches a 3 dB fused fiber coupler wherein  $\Delta_{\text{cores}}$ , the relative refractive index difference between the two coupler cores, is 0.061%. The value of  $\Delta_{\text{cores}}$  is obtained by substituting the two core refractive indices of the Sweeney et al. patent into equation (1) and solving for  $\Delta$ . It is apparent from Fig. 6 of the Sweeney et al. patent that the value of  $\Delta_{\text{cores}}$  should have been greater than 0.061% in order to have achieved good achromaticity with standard diameter fibers. However, when  $\Delta\beta$  is obtained by employing fibers having such large differences between the core refractive indices, the mode field diameter of one of the coupler pigtails differs sufficiently from that of a standard fiber that it will not efficiently couple to the fibers of the system in which the coupler is utilized. Rather than increasing the difference between the core refractive indices to provide a  $\Delta_{\text{cores}}$  greater than 0.061%, Sweeney et al. maintained that value of  $\Delta_{\text{cores}}$  and, in addition, etched the fiber claddings in order to improve achromaticity.

The Sweeny et al. patent states that although wavelength independence is achieved, as contemplated therein, by having the cores of different indices of refraction, similar results could be achieved by keeping the cores at like indices of refraction and making the claddings one different from the other with respect to indices of refraction. It will be obvious from the following discussion that it is impossible to form achromatic overclad-type 3 dB couplers wherein the difference between the refractive indices of the fiber claddings is such that  $\Delta_{\text{clads}}$  is 0.06%, assuming that the core and cladding diameters of the two fibers are identical. The value of  $\Delta_{\text{clads}}$  is obtained by substituting the cladding index  $n'_2$  of one fiber and the cladding index  $n_2$  of the other fiber for  $n_a$  and  $n_b$ , respectively, of equation (1) and solving for  $\Delta$ .

#### Summary of the Invention

It is an object of the invention to provide a single-mode achromatic fiber optic coupler that is characterized by a very small change in coupled power over a wide band of wavelengths. Another object is to provide an achromatic coupler, the connection pigtails of which can be coupled with low insertion loss to system fibers. Yet another object is to provide an achromatic coupler, wherein the feature or parameter that modifies the propagation constant  $\beta$  of the non-standard fiber has negligible effect on the fiber mode field diameter. A further object is to provide a reproducible method of making achromatic overclad fiber optic couplers.

The achromatic coupler of the invention comprises an elongated body of matrix glass having a refractive index  $n_3$ . The body includes two opposed ends and a midregion. A plurality of optical fibers extends longitudinally through the body, each of the fibers comprising a core of refractive index  $n_1$  and a cladding of refractive index less



than  $n_1$  but greater than  $n_3$ . The refractive index  $n_2$  of the cladding of the first fiber is different from the refractive index  $n'_2$  of the cladding of the second fiber by such an amount that the value of  $\Delta_{\text{clads}}$  is greater than zero but less than 0.03%. The fibers are fused together along with the midregion of the matrix glass. The diameters of the optical fibers in the central portion of the midregion are smaller than the diameters thereof at the ends of the body, whereby a portion of the optical power propagating in one of the fibers couples to the other of the fibers.

The value of  $\Delta_{\text{clads}}$  is preferably greater than 0.005%. To form a coupler that is capable of coupling about 50% of the power from the first fiber to the second fiber at a predetermined wavelength, the value of  $\Delta_{\text{clads}}$  is preferably less than 0.02%. The refractive index  $n_3$  is preferably such that  $\Delta_{2-3}$  is greater than 0.4%. Couplers made in accordance with the invention have exhibited an insertion loss less than 4 dB in each leg thereof over a 300 nm range of wavelengths up to 1565 nm.

The achromatic fiber optic coupler of the present invention is formed by inserting into a glass tube at least a portion of each of a plurality of optical fibers so that the portions occupy the midregion of the tube. Each of the fibers comprises a core of refractive index  $n_1$  and a cladding of refractive index less than  $n_1$ , the refractive index  $n_2$  of the cladding of the first fiber being different from the refractive index  $n'_2$  of the cladding of the second fiber. The difference between  $n_2$  and  $n'_2$  is such that the value of  $\Delta_{\text{clads}}$  is greater than zero but less than 0.03%. The midregion of the tube is collapsed onto fibers, and the central portion of the midregion is stretched until a predetermined coupling occurs between the fibers.

The step of stretching may comprise providing relative movement between the ends of the tube, and varying the rate at which the relative movement occurs. The stretching rate can vary continuously, or the variation can occur in



descrete steps. One stretching operation can stop after a predetermined coupling is achieved; thereafter, stretching can occur at a second stretch rate.

5 The stretching operation can be stopped before a predetermined coupling is achieved; thereafter, the central portion of the tube midregion can be reheated, and the central portion of the tube midregion can again be stretched. The reheat temperature is preferably lower than the temperature to which the tube is initially heated. The last employed stretch rate may be lower than the first stretch rate.

10 In an embodiment wherein a first fiber extends from both ends of the tube, and a second fiber extends from only the second end of the tube, the coupler preform can be stretched until some coupling begins to occur between the fibers. Detectors can be connected to the ends of the first and second fibers which extend from the second end of the tube. The coupled power is employed to maximize the power coupled from the second fiber to its respective detector. The ratio of the optical power coupled to the two detectors is used to generate the signal which stops the stretch operation.

Brief Description of the Drawings

25 Fig. 1 is a cross-sectional view of an overclad coupler.

Figs. 2 and 3 are graphs of output voltage  $v$ . stretching time for couplers having two different overclad refractive indices.

30 Fig. 4 is a graph of the coupled power slope (centered around 1310 nm) plotted as a function of  $\Delta_{clads}$ .

35 Fig. 5 shows theoretical spectral response curves for single-window and double-window achromatic couplers wherein  $\Delta_{clads}$  is 0.005%.

Fig. 6 is a graph which schematically illustrates the temporal variation in percent coupled power during the stretching of couplers having different values of  $\Delta_{\text{clads}}$ .

Fig. 7 is a graph illustrating non-uniform stretch rates.

Fig. 8 is a graph illustrating the effect of chlorine on  $\Delta_{\text{clads}}$ .

Fig. 9 is a refractive index profile of a non-standard fiber employed in the coupler of the invention.

Figs. 10-12 illustrate achromatic couplers having more than two ports at one end thereof.

Fig. 13 is a cross-sectional view of a capillary tube after optical fibers have been inserted therein.

Figs. 14 and 15 are schematic illustrations of two steps during the formation of an antireflection termination on a fiber.

Fig. 16 is a schematic illustration of an apparatus for collapsing a capillary tube and stretching the midregion thereof.

Fig. 17 is a partial cross-sectional view illustrating the collapse of the glass tube around the fibers to form a solid midregion.

Fig. 18 is a partial cross-sectional illustration of a fiber optic coupler after it has been drawn down and sealed at its ends.

Fig. 19 is a graph illustrating the spectral insertion loss curves for an achromatic coupler produced by the method of Example 1.

### Description of the Preferred Embodiments

The drawings are not intended to indicate scale or relative proportions of the elements shown therein.

Referring to Fig. 1, each of the optical fibers  $F_1$  and  $F_2$  has a core of refractive index  $n_1$  surrounded by cladding of refractive index lower than  $n_1$ . The claddings of fibers  $F_1$  and  $F_2$  have different refractive indices  $n_2$  and  $n_2'$ ,



respectively, the values of which are such that the propagation constants of those fibers differ to the extent necessary to provide achromaticity.

5 A coupler preform is formed by threading fibers  $F_1$  and  
 $F_2$  through glass overclad tube O, the refractive index  $n_3$   
of which is less than the refractive indices of the fiber  
claddings. Whereas those portions of the fibers extending  
from the tube preferably have protective coating material  
(not shown in this illustrative embodiment), those portions  
10 thereof within the tube have no coating. The original  
diameter of the tube is  $d_1$ . The midregion of the coupler  
preform is evacuated and heated to collapse it onto the  
fibers. The tube is reheated and the ends thereof are  
pulled in opposite directions to increase the tube length  
15 and reduce its diameter. The combined rate at which the  
two tube ends move away from each other constitutes the  
stretch rate. The central portion of the stretched  
midregion constitutes neckdown region N of diameter  $d_2$   
where the fiber cores are sufficiently closely spaced for a  
20 sufficiently long distance  $z$  to effect the desired coupling  
therebetween. Region N is illustrated as having a constant  
diameter even though a slight taper exists therein, whereby  
the longitudinal center of section N exhibits the minimum  
diameter. Draw ratio  $R$ , which is equal to  $d_1/d_2$ , is a  
25 critical parameter in determining the optical  
characteristics of the particular device being made. A  
preferred range of draw ratios for achromatic overclad  
couplers is between about 3:1 and 10:1 depending upon the  
value of  $\Delta_{clads}$  and the amount of power to be coupled.  
30 Tapered regions T connect the neckdown region with the  
unstretched end regions of tube O. The duration of the  
heating period for the stretch step is shorter than that  
for the tube collapse step; only the central portion of the  
midregion is stretched.

35 It is conventional practice to monitor output signals  
to control process steps in the manufacture of optical  
devices as evidenced by U.S. patents Nos. 4,392,712 and

4,726,643, 4,798,436, U.K. Patent Application No. GB  
2,183,866 A and International Publication No. WO 84/04822.  
Furthermore, computers are often employed in feedback  
systems which automatically perform such monitor and  
5 control functions. A suitably programmed Digital PDP 11-73  
micro-computer can be utilized to perform these functions.  
During the tube collapse and stretch steps, the ends of the  
tube are affixed to computer controlled stages. The amount  
of stretching to which the tube must be subjected to  
10 achieve given characteristics is initially determined by  
injecting light energy into the input fiber of a coupler  
preform and monitoring the output power at one or more of  
the output fibers during the stretch operation. If a 2x2  
coupler is being formed, a light source can be connected to  
15 an input end of the first and second fibers, and a detector  
can be aligned with the output ends thereof, the fibers  
being manipulated to maximize the output power coupled to  
each detector. During stretching, the input end of only  
the first fiber is connected to a source, and the output  
20 ends of both fibers are monitored. The detection of a  
predetermined ratio of powers at the outputs of the first  
and second fibers can be used as an interrupt to cause the  
computer controlled stages to stop pulling the sample. If  
a 1x2 coupler is being formed, the second fiber cannot be  
25 accurately positioned with respect to certain detectors  
until some light is coupled thereto from the first fiber.  
An achromatic coupler can be made by monitoring only the  
output from the first fiber. When the output from the  
first fiber drops to a predetermined value, the system is  
30 instructed to stop stretching. An alternative procedure  
for monitoring 1x2 couplers is described below.

After having determined the proper stretching distance  
to achieve predetermined coupling characteristics, the  
apparatus can be programmed to move the stages that proper  
35 stretching distance during the fabrication of subsequent  
couplers that are to have said predetermined  
characteristics. The timing sequences that have been used



in the fabrication of a particular type of coupler can be entered in a separate multiple command file that the computer recalls at run-time. The collapse and stretch steps that are required to make that particular coupler can be executed in succession by the computer on each coupler preform to reproducibly manufacture couplers. The process parameters that can be controlled by the computer to ensure coupler reproducibility are heating times and temperatures, gas flow rates, and the rate or rates at which the stages pull and stretch the coupler preform.

If the device that is being made is a 3 dB coupler, for example, the stretching operation is not stopped when the output power from the two fibers is equal. Various parts of the system exhibit momentum, whereby stretching of the coupler preform continues after the stage motors are instructed to stop. The coupling ratio therefore changes after the stopping signal is generated. Also, the coupling characteristics may change as a newly formed coupler cools down. Experiments can be performed on a particular type of coupler to determine that coupling ratio which must be used to generate the interrupt signal in order to achieve a predetermined coupling ratio after the device cools.

Following are examples of the various stretching operations that can be performed.

A. Heat the coupler preform, and stretch it at a single rate until a predetermined coupling has been achieved.

B. After subjecting the coupler preform to a single heating step, stretching it at differing stretch rates until a predetermined coupling has been achieved. Two or more discrete stretch rates could be employed, or the stretch rate could continually vary with respect to time. This stretching technique has been employed to tune the power transfer characteristic, i.e. the amount of power transferred from the input fiber to the output fiber during the first power transfer cycle of the coupler preform stretching operation.

C. Heat the coupler preform and perform a first stretch which does not achieve the predetermined coupling; reheat the resultant device and perform a second stretch. The heat and reheat steps may be performed at a single temperature or at different temperatures. The first and second stretch steps can be done at the same stretch rate or at different stretch rates. More than two heat and stretch steps could be performed.

A species of stretching embodiment C is especially useful in the formation of 1x2 couplers. The stretching operation is temporarily terminated after some minimal amount of power has been coupled to the second fiber. For example, stretching could be stopped after the coupler preform has been stretched some predetermined distance, such as between 90% and 99% of the total distance required to achieve the final coupling ratio. The second fiber can be connected to a detector, and the power coupled to that detector can be maximized. Thereafter, a second stretching operation can be initiated, the interrupt signal being based on the ratio of the two output signals. The second stretching operation is preferably conducted at a final stretch rate that is lower than the initial stretch rate. Also, during the second stretch, it is preferable to employ a flame which has a lower temperature and/or which is less focussed than the flame employed during the first stretch.

Tube 0 can be characterized by the symbol  $\Delta_{2-3}$ , the value of which is obtained by substituting  $n_2$  and  $n_3$  into equation (1). Commercially available single-mode optical fibers usually have a value of  $n_2$  that is equal to or near that of silica. If silica is employed as the base glass for the tube, a dopant is added thereto for the purpose of decreasing the tube refractive index  $n_3$  to a value lower than  $n_2$ . In addition to lowering the refractive index of the tube, the dopant  $B_2O_3$  also advantageously lowers the softening point temperature thereof to a value lower than that of the fibers. This enhances to a certain extent the collapsing of the tube onto the fibers; the tube glass



flows around the fibers without distorting their shape. For certain purposes it may be desirable to employ a tube glass that is sufficiently hard that the tube slightly flattens the fibers as it forces the fibers together.

5 Fluorine can also be employed to lower the tube refractive index. Suitable tube compositions are  $\text{SiO}_2$  doped with 1 to 25 wt. %  $\text{B}_2\text{O}_3$ ,  $\text{SiO}_2$  doped with 0.1 to approximately 2.5 wt. % fluorine, and  $\text{SiO}_2$  doped with combinations of  $\text{B}_2\text{O}_3$  and fluorine. When  $\Delta_{2-3}$  is below about 0.2%, the amount of  
10  $\text{B}_2\text{O}_3$  in a silica tube is insufficient to soften the tube glass, whereby it excessively deforms the fibers during the collapse step. The value of  $\Delta_{2-3}$  for standard couplers has therefore usually been between 0.26% and 0.35%. Suitable achromatic overclad couplers have been made from preforms  
15 comprising tube and fibers exhibiting refractive index values such that  $\Delta_{2-3}$  is within that range. However, process reproducibility is enhanced by employing preforms having  $\Delta_{2-3}$  values above that previously employed range.

To demonstrate the effect of the overclad glass,  
20 reference is made to Figs. 2 and 3 which are plots of the voltage from a detector connected to the output end of the input fiber during the manufacture of 1x2 couplers wherein the values of  $\Delta_{2-3}$  are 0.36% and 0.48%, respectively. Referring to Fig. 2, the output is initially highest at  
25 point a since coupling has not yet occurred. As the stretching process is initiated and power begins to couple, the power remaining in the input fiber begins to decrease at some point in time after point a. At point b, the detected power is such that the computer controlled stages  
30 are instructed to stop moving. A few microseconds later, the stretching step is terminated (point c), and the finished coupler begins to cool. During cooling, the coupled power begins to vary until it finally stabilizes at  
35 point d when it is sufficiently cool that there is no further change in stress or refractive index within the coupler. It is possible, by experimentation, to form a coupler, the 3 dB point of which is within 10 nm of the

desired wavelength by causing the stretching operation to stop at some predetermined coupling other than 50%.

5 The meandering of the coupling ratio upon cooling of the coupler can be essentially eliminated by utilizing overclad tubes of sufficiently high refractive index that the value of  $\Delta_{2-3}$  is greater than about 0.4%. This stabilizing effect is illustrated in Fig. 3 wherein reference letters similar to those of Fig. 2 are represented by primed reference numerals. The amount of coupled power begins to decrease at point a', the computer controlled stages stop moving at point b', and the stretching step is terminated at point c'. During cooling, the coupled power varies only slightly until it stabilizes at point d'. After the stretching has stopped (points c and c'), the coupled power will more predictably reach point d' than point d.

10 A theoretical analysis was made of 3 dB couplers of the type wherein  $\Delta\beta$  was obtained by fiber cladding index difference. Coupled mode theory was used to model the behavior of the achromatic couplers [A.W. Snyder and J.D. Love, Optical Waveguide Theory, Chapman and Hall, New York, 1983]. In accordance with this theory, the mode field of the overclad coupler is assumed to be a linear combination of the fundamental modes  $\psi_1$  and  $\psi_2$  of each of the fibers  $F_1$  and  $F_2$  in the absence of the other fiber, i.e. with the fiber surrounded by overclad index  $n_3$  only. The mode fields and propagation constants can be determined exactly for such a structure [M.J. Adams, An Introduction to Optical Waveguides].

20 The coupling constant which describes the optical coupling between the two cores can then be written as an overlap integral:

$$C = \int \psi_1(r) \psi_2(r') (n - n') dA \quad (2)$$

25 In this equation,  $\psi_1$  and  $\psi_2$  are the mode fields of the two cores, r and r' are the radial distances from the center of the cores of fibers  $F_1$  and  $F_2$ , respectively, n is the index structure of the entire coupler, n' is the index structure



with the core of  $F_1$  replaced by overcladding material of index  $n_3$ , and the integral is over the entire cross-section of the coupler (but  $n - n'$  is only non-zero over the core and cladding of fiber  $F_1$ ). The mode fields are assumed to be normalized in this equation, i.e. the integrals  $\int \psi_1^2 dA$  and  $\int \psi_2^2 dA$  both equal 1.

While these are tapered devices, their behavior is adequately modeled by assuming a constant draw ratio over a given coupling length, with no coupling outside this length, i.e. assuming that the diameter of region N of Fig. 1 is constant over the entire length  $z$ . This approximation works well since the coupling constant is a rapidly increasing function of draw ratio, and thus the behavior of a coupler is dominated by the behavior at the highest draw ratio. Using this approximation, with the power launched into core 1, then, as a function of  $z$ , the length along the coupler axis, the power in the two cores is given by

$$P_1(z) = 1 - F^2 \sin^2(Cz/F) \quad (3)$$

and

$$P_2(z) = F^2 \sin^2(Cz/F) \quad (4)$$

where the factor  $F$  is given by

$$F = \left[ 1 + \left( \frac{\beta_1 - \beta_2}{2C} \right)^2 \right]^{-1/2} \quad (5)$$

where  $\beta_1$  and  $\beta_2$  are the propagation constants of fibers  $F_1$  and  $F_2$ , respectively.

Optimal achromatic performance was defined, for a single-window device having a center wavelength of 1310 nm and a width of 50 nm, as being the point where

$$P_2(1297.5 \text{ nm}) = P_2(1322.5 \text{ nm}) = 0.5 \quad (6)$$

The achromaticity was defined as

$$\text{Achromaticity} = \frac{P_2(1335 \text{ nm}) - P_2(1310 \text{ nm})}{25 \text{ nm}} \quad (7)$$

The coupled mode model was used to determine a suitable range of  $\Delta_{\text{clads}}$ . Most of the assumptions which were made concerning coupler parameters are based on work done on standard overclad couplers. Fiber  $F_1$  was assumed

to be a standard 125  $\mu\text{m}$  outside diameter single-mode fiber having a core radius of 4  $\mu\text{m}$ . The core and cladding refractive indices  $n_1$  and  $n_2$  were assumed to be 1.461000 and 1.455438, respectively. It was assumed that fiber  $F_2$  was identical to fiber  $F_1$  except that the cladding index  $n_2'$  was greater than  $n_2$ . The value of  $\Delta_{2-3}$  was assumed to be 0.3%. In order to determine the combination of draw ratio and length  $z$  for which achromaticity was best,  $P_2$  was calculated at the appropriate wavelengths for a range of draw ratios. The combination of draw ratio and coupling length  $z$  which satisfied equation (6) was determined, and then the achromaticity (the variation in coupled power in percent per nanometer) calculated for that combination.

As shown in Fig. 4, the theoretical analysis revealed that the variation in coupled power (at 1310 nm) increases as the value of  $\Delta_{\text{clads}}$  decreases. This is in accordance with the expected relationship whereby coupler achromaticity decreases as the difference between the fiber propagation constants decreases. The relationship shown in Fig. 4 is for couplers having a  $\Delta_{2-3}$  value of 0.3%. For couplers having greater values of  $\Delta_{2-3}$ , the curve is displaced toward higher values of variation in percent coupled power. When the value of  $\Delta_{\text{clads}}$  is less than 0.005%, the variation in percent coupled power rapidly increases. The achromaticity therefore rapidly decreases at values of  $\Delta_{\text{clads}}$  below this value. Also, as the value of  $\Delta_{\text{clads}}$  decreases below 0.005%, the required length of the neckdown region increases to such an extent that the resultant achromatic coupler would be impractical in that it would be undesirably long and would be difficult to make.

Fig. 5 shows the theoretical relationship of coupled power with respect to wavelength for both single-window and double-window couplers, with  $\Delta_{\text{clads}} = 0.005\%$  and  $\Delta_{2-3} = 0.3\%$ . The value of  $d_1/d_2$  is 6.6 for the single-window device as determined by requiring equation (6) to be satisfied. The value of  $d_1/d_2$  is 6.2 for the double-window



device as determined by requiring an analogous equation to be satisfied for the wavelengths 1310 nm and 1550 nm.

Whereas the model indicated that a draw ratio of about 6:1 would be needed to form a coupler wherein  $\Delta_{\text{clads}}$  is 0.005%, 3 dB achromatic couplers having low values of  $\Delta_{\text{clads}}$  have been made having draw ratios as low as about 3.5:1. The draw ratio can be even lower for taps (less than 50% coupling) since less stretching is required. As the value of  $\Delta_{\text{clads}}$  increases, the draw ratio must increase in order to achieve the desired coupling ratio. Although Fig. 4 would seem to suggest that a  $\Delta_{\text{clads}}$  value of 0.025% would be desirable from the standpoint of providing very good achromaticity, such a coupler is difficult to make since the draw ratio required to make it is around 10:1. Also, for reasons discussed below, the coupled power at higher values of  $\Delta_{\text{clads}}$  may be inadequate to achieve the desired coupling ratio.

While a coupler preform is being stretched to form a coupler, the diameter of neckdown region N becomes smaller with increasing time. Fig. 6 shows that the coupled power varies during the stretching process. The curves of Fig. 6 do not bear an exact relationship with respect to one another; rather, it is intended that they qualitatively illustrate the relative relationship between the temporal coupled power curves of couplers having different  $\Delta_{\text{clads}}$  values. During the stretching of a standard coupler ( $\Delta_{\text{clads}} = 0$ ), the coupled power relatively quickly reaches 50% and eventually reaches almost 100%. During the stretching of devices having greater values of  $\Delta_{\text{clads}}$ , greater time periods are required to achieve 50% coupling, and the maximum possible amount of coupled power decreases. For a given set of stretching conditions including rate of stretch, temperature of the coupler preform, and the like, there will be a value of  $\Delta_{\text{clads}}$  for which the coupled power just reaches 50% on the first peak of the coupled power curve. For a given set of draw conditions, this value of  $\Delta_{\text{clads}}$  is shown in Fig. 6 to be 0.015%. For higher values

of  $\Delta_{\text{clads}}$ , such as 0.025%, the first power transfer peak of the coupled power curve cannot provide 50% coupling.

However, it can be seen that a device for coupling less than half the input power, for example a 10% tap, might

5 easily be made by stretching a coupler preform having a  $\Delta_{\text{clads}}$  value of 0.025% until the coupled power is 10%, a value that can be attained on the first peak.

The curves of Fig. 6 are not continued in time any further than the extent necessary to illustrate the

10 specific point being discussed. The first power transfer peak is shown for couplers wherein  $\Delta_{\text{clads}}$  is 0.015 and 0.025. Subsequent power transfer peaks are not shown.

However, if the coupler preforms were stretched for longer periods of time, the coupled power would continue to

15 oscillate between zero and some maximum value, the period of each subsequent oscillation being narrower than the previous one. If the curves representing couplers having  $\Delta_{\text{clads}}$  values of 0 and 0.005 were continued in time, they would experience similar oscillations in coupled power.

20 The relationship between coupled power and coupling length (which is a function of stretching time) over a plurality of coupled power peaks is graphically illustrated in the aforementioned U.S. patent No. 4,798,436.

It is assumed that curve t ( $\Delta_{\text{clads}} = 0.025\%$ ) is for a

25 stretching operation wherein the coupler preform is heated once and stretched at a single rate. If all other conditions remain the same, the power transfer curve can be displaced upwardly to curve t' (toward greater power transfer) by stretching the coupler preform at more than

30 one stretch rate as illustrated in Fig. 7. By way of example only, Fig. 7 illustrates a stretch technique involving stretching at two discrete rates (curves  $s_1$  and  $s_2$ ) and a technique wherein the stretch rate varies continually with respect to time (curve s'). In accordance

35 with a specific embodiment depicted in Fig. 7, the coupler preform is heated and stretched 0.2 cm at a stretch rate of 0.95 cm/sec, the stretch rate abruptly decreasing to 0.45



cm/sec while the coupler preform is stretched an additional 0.55 cm.

For certain stretching conditions, including a  $\Delta_{\text{clads}}$  value of about 0.025% or higher, a subsequent power transfer peak such as the third peak might be required to reach the desired coupling value, eg. 50%. Since the third peak is much narrower than the first, the stretching operation must be stopped at precisely the right time in order to achieve the desired coupling ratio. If stretching is continued for only a short additional length of time, the neckdown ratio may change sufficiently to cause the coupled power to drastically decrease. It is difficult to draw such a coupler when output power is being monitored to stop the draw, and it is almost impossible to make such a coupler by drawing to a predetermined length. Furthermore, the achromaticity becomes degraded when the coupler has to be stretched beyond the first power transfer peak. For the aforementioned reasons, the maximum preferred value of  $\Delta_{\text{clads}}$  for 3 dB couplers is about 0.025% and the maximum value of  $\Delta_{\text{clads}}$  for a power tap is about 0.03%.

In view of the value of  $\Delta_{\text{cores}}$  that was required for the achromatic fused fiber coupler taught in the aforementioned Sweeney et al. patent, the above-defined range of  $\Delta_{\text{clads}}$  that is suitable for achromatic overclad couplers is unexpectedly low. It appears that the presence of the overclad tube enables the achievement of achromaticity with relatively small values of  $\Delta_{\text{clads}}$  and that a value of  $\Delta_{\text{clads}}$  larger than 0.03% would be required if no overclad tube were employed, i.e. for a fused fiber coupler.

The low range of values of  $\Delta_{\text{clads}}$  that was determined by the aforementioned model has been verified by experimental results. When couplers were formed having values of  $\Delta_{\text{clads}}$  below about 0.005%,  $\Delta\beta$  was so insignificant that coupling behavior approached that of a standard coupler. Couplers having a  $\Delta_{\text{clads}}$  value in the range of about 0.015% exhibited an insertion loss of less

than 4 dB in each leg thereof over a 300 nm range of wavelengths up to 1565 nm.

5 A number of advantages result from the unexpectedly low values of  $\Delta_{\text{clads}}$ . Couplers having low  $\Delta_{\text{clads}}$  values can be connected with low loss into the system. One of the fibers can be a standard single-mode fiber. To provide a  $\Delta_{\text{clads}}$  value of 0.015%, for example, the cladding index of the other fiber (or non-standard fiber) need differ from that of the standard fiber by only 0.00022. Such  
10 non-standard fiber exhibits substantially the same mode field diameter as the standard fiber. Since the diameters of both fibers are substantially identical, the non-standard fiber, as well as the standard fiber, can be connected to the system fibers with low loss.

15 The required value of  $\Delta_{\text{clads}}$  can be obtained by adding a dopant to the cladding of only one of the fibers or by adding different amounts of the same or different dopants to the claddings of the two fibers. For example, the cladding of one fiber could consist of silica and that of  
20 the other could consist of silica doped with fluorine or  $\text{B}_2\text{O}_3$  to lower the refractive index or silica doped with chlorine,  $\text{GeO}_2$  or the like to increase the refractive index.

The process of making the non-standard fiber is  
25 facilitated by the low value of  $\Delta_{\text{clads}}$  that is required to form an achromatic coupler. When added to silica, commonly employed dopants such as  $\text{B}_2\text{O}_3$ , fluorine,  $\text{GeO}_2$  and the like have a relatively large effect on refractive index. It is therefore difficult to deliver such dopants in the small,  
30 precisely controlled amounts that are necessary to change the refractive index of the base glass to an extent sufficient to provide a  $\Delta_{\text{clads}}$  value between 0.005% and 0.03%. It has been found that chlorine has a sufficient effect on the refractive index of silica that it can be  
35 used as a dopant in the cladding of the non-standard fiber. Since the change in refractive index per weight percent dopant in silica is much less for chlorine than for



conventional dopants such as  $B_2O_3$ , fluorine,  $GeO_2$  and the like, chlorine can be used to provide precisely controlled refractive index values that are only slightly higher than that of the silica to which the chlorine is added.

5 Furthermore, the use of chlorine simplifies the process of making the non-standard fiber since it is conventionally employed for drying purposes. Sufficient amounts of chlorine can simply be added to the cladding region of the non-standard fiber in conjunction with the  
10 drying/consolidation process.

The standard fiber can be made by a conventional process, such as that disclosed in U.S. patent No. 4,486,212, which is incorporated herein by reference. Briefly, that process consists of forming on a cylindrical  
15 mandrel a porous preform comprising a core region and a thin layer of cladding glass. The mandrel is removed, and the resultant tubular preform is gradually inserted into a consolidation furnace muffle, the maximum temperature of which is between  $1200^\circ$  and  $1700^\circ C$  and preferably about  
20  $1490^\circ C$  for high silica content glass. The temperature profile of the muffle is highest in the central region as taught in U.S. patent No. 4,165,223, which is incorporated herein by reference. Chlorine, which is present in the minimum concentration that is required to achieve drying,  
25 may be supplied to the preform by flowing into the preform aperture a drying gas consisting of helium and about 5 volume percent chlorine. The end of the aperture is plugged to cause the gas to flow through the preform pores. A helium flushing gas is simultaneously flowed through the  
30 muffle.

The resultant tubular glass article is stretched in a standard draw furnace while a vacuum is applied to the aperture to form a "core rod" in which the aperture has been closed. A suitable length of the rod is supported in  
35 a lathe where particles of silica are deposited thereon. The resultant final preform is gradually inserted into the consolidation furnace where it is consolidated while a

mixture of 99.5 volume percent helium and 0.5 volume percent chlorine is flowed upwardly therethrough. The resultant glass preform is drawn to form a step-index, single-mode optical fiber, the entire cladding of which comprises silica doped with a residual amount of chlorine. When the cladding is consolidated in a standard downfeed consolidation furnace, as described above, about 0.04 - 0.06 wt. % chlorine is normally present in the fiber cladding.

The non-standard fiber can be made by a process which is initially identical to the process by which the standard fiber is made. For example, the core rod, which consists of a solid glass rod of core glass, that is optionally surrounded by a thin layer of silica cladding glass, is initially formed. A porous layer of silica particles is deposited on the rod, and the porous layer is consolidated in an atmosphere containing an amount of chlorine greater than that which would be necessary for drying purposes. The chlorine concentration in the consolidation furnace is controlled to provide the desired value of  $\Delta_{\text{clads}}$ . The amount of chlorine that is incorporated into the base glass depends upon various process conditions such as the maximum temperature and temperature profile of the consolidation furnace, the concentrations of chlorine and oxygen therein and the rate of insertion of the preform into the furnace. The porosity and composition of the preform would also affect the final chlorine concentration. A graph such as that shown in Fig. 8 can be generated for a given standard fiber. For the specific relationship shown in Fig. 8, the standard fiber cladding contained about 0.05 wt. % chlorine. Therefore, about 0.2 wt. % chlorine should be incorporated into the cladding of the non-standard fiber to achieve a  $\Delta_{\text{clads}}$  value of 0.015 %. This chlorine concentration is determined by reading from the graph of Fig. 8 the incremental increase in chlorine content for the desired value of  $\Delta_{\text{clads}}$  and adding 0.05 wt. %. If desired, both fibers could be of the non-standard type, i.e. both



could contain more chlorine than standard, commercially available fibers. For example, a  $\Delta_{\text{clads}}$  value of 0.015% could also be obtained by utilizing fibers, the claddings of which contain 0.10 wt. % and 0.23 wt. % chlorine.

5 If the non-standard fiber is made by initially forming a core rod comprising core glass surrounded by a thin layer of cladding glass (containing a small amount of residual chlorine) and the outer cladding glass is doped with a larger amount of chlorine, the refractive index profile of the resultant fiber would appear as illustrated in Fig. 9.  
10 The radii of the various layers of a standard fiber might be 4  $\mu\text{m}$  core radius  $r_1$ , 10.5  $\mu\text{m}$  inner cladding radius  $r_2$  and 62.6  $\mu\text{m}$  outer radius  $r_3$ . Because of the small area of the inner cladding layer, the refractive index of that  
15 layer need not be taken into consideration when specifying the cladding refractive index. That is, the effective refractive index of the entire cladding beyond radius  $r_1$  is essentially the same as that of the layer between  $r_2$  and  
20  $r_3$ .

It is noted that attempts have been made by certain fiber manufacturers to reduce the amount of chlorine in optical fibers in order to lower the attenuation (see Japanese Kokai No. 63/285137). If one fiber had a pure silica cladding (by removing the chlorine therefrom) about  
25 0.13 wt. % chlorine would be needed in the other fiber to achieve a  $\Delta_{\text{clads}}$  value of 0.015 %. However, it has been found that the presence of chlorine in the short lengths of coupler fibers has little or no effect on coupler loss. The additional step of removing chlorine from coupler  
30 fibers would therefore be an unnecessary expense.

Whereas 2x2 couplers are illustrated in Fig. 1, this invention also applies to other configurations. An NxN coupler ( $N > 1$ ) can be formed for the purpose of coupling one fiber to N fibers. A 1x2 coupler is described in the  
35 specific embodiment. More than 2 fibers can be joined at their waists to form an NxN coupler. Sometimes, one or more fibers are severed from one end of an NxN coupler so

that a plurality of fibers, unequal in number, extend from opposite ends of the coupler. The embodiments of Figs. 10-12 are schematic illustrations of coupled fibers, the overclad tubing glass having been omitted for simplicity.

5 The presence of an overclad glass is indicated by the symbol  $n_3$  adjacent the fibers. In the 1x3 coupler of Fig. 10, standard fiber S is coupled to two non-standard fibers  $S^+$  and  $S^-$ . The refractive index of the cladding of fiber  $S^+$  is negative with respect to the cladding of fiber S, whereby the value of  $\Delta_{clads}$  of fiber  $S^+$  with respect to  
10 fiber S is positive. The refractive index of the cladding of fiber  $S^-$  is such that the value of  $\Delta_{clads}$  of fiber  $S^-$  with respect to fiber S is negative.

In the 1x4 embodiment of Figs. 11 and 12, the refractive index of the cladding glass of fibers  $S^+$  is such  
15 that the value of  $\Delta_{clads}$  of fibers  $S^+$  with respect to fiber S is positive. Fig. 12 shows that fibers  $S^+$  are preferable equally spaced around fiber S.

Whereas the preferred manufacturing technique results  
20 in a coupler having optical fiber pigtailed extending therefrom, the invention also applies to overclad couplers of the type wherein the fibers extend through the elongated matrix glass body but end flush with the body endface. Methods of making such a coupler are disclosed in U.S.  
25 patents Nos. 4,773,924 and 4,799,949. Briefly, the method comprises inserting a plurality of optical fiber preform rods into a glass tube, heating and stretching the resultant preform to form a glass rod which is then severed into a plurality of units. Heat is applied to the central  
30 region of each unit, and the central region is stretched to form a tapered region as described herein.

A method of making 1x2 achromatic 3 dB fiber optic couplers is illustrated in Figs. 13-18. A glass capillary  
35 tube 10 having a 3.8 cm length, 2.8 mm outside diameter, and 270  $\mu$ m longitudinal aperture diameter was secured by chucks 32 and 33 of the apparatus of Fig. 16. Tube 10, which was formed by a flame hydrolysis process, consisted



of silica doped with about 6 wt. %  $B_2O_3$  and about 1 wt. % fluorine. Tapered apertures 12 and 13 were formed by flowing the gas phase etchant  $NF_3$  through the tube while uniformly heating the end of the tube.

5 Coated fibers 17 and 18 comprised 125  $\mu m$  diameter single-mode optical fibers 19 and 20 having a 250  $\mu m$  diameter urethane acrylate coatings 21 and 22, respectively. Both fibers had a 8  $\mu m$  diameter core of silica doped with 8.5 wt. %  $GeO_2$ . The cutoff wavelengths of the fibers are below the operating wavelength of the coupler. If, for example, the minimum operating wavelength is 1260 nm, the cutoff wavelengths of the fibers are selected to be between 1200 nm and 1250 nm. All chlorine concentrations were measured by microprobe techniques. The initial steps of the processes of making both fibers was the same; these steps are set forth above in conjunction with a discussion of U.S. Patent No. 4,486,212. A first layer of glass particles comprising  $SiO_2$  doped with 8.5 wt. %  $GeO_2$  was deposited on a mandrel, and a thin layer of  $SiO_2$  particles was deposited on the first layer. The mandrel was removed, and the resultant porous preform was gradually inserted into a furnace having an alumina muffle where it was dried and consolidated. During this process, an gas mixture containing 65 sccm (standard cubic centimeter per minute) chlorine and 650 sccm helium flowed into the center hole where the mandrel had been removed. A flushing gas containing 40 slpm (liter per minute) helium and 0.5 slpm oxygen flowed upwardly from the bottom of the muffle. The aperture was evacuated, and the lower end of the tubular body was heated to 1900°C and drawn at a rate of about 15 cm/min to form a 5 mm solid glass rod. The rod was severed to form sections, each of which was supported in a lathe where it functioned as a mandrel upon which  $SiO_2$  cladding soot was deposited to form a final porous preform.

35 a. Forming a Standard Fiber

One final porous preform was gradually inserted into the alumina muffle of a consolidation furnace having a

maximum temperature of 1490°C. A gas mixture containing 40 slpm helium, 0.5 slpm chlorine and 0.5 slpm oxygen flowed through the muffle. The porous preform was consolidated to form a draw blank, the outer cladding of which had the same composition as the inner cladding layer, i.e. SiO<sub>2</sub> doped with about 0.05 wt. % chlorine. The tip of the draw blank was heated to about 2100°C, and a standard optical fiber was drawn therefrom, the fiber being coated during drawing. The fiber had an 8 μm diameter core and a 125 μm diameter homogeneous cladding layer of silica containing about 0.05 wt. % chlorine as a residual from the drying process.

b. Forming a Non-Standard Fiber

Another final porous preform was gradually inserted into a consolidation furnace having a silica muffle. The maximum temperature of 1450°C. The porous preform was subjected to an upwardly flowing gas mixture containing about 2 slpm helium and 0.6 slpm chlorine. The porous preform was consolidated to form a draw blank, the outer cladding of which consisted of SiO<sub>2</sub> doped with about 0.2 wt. % chlorine. The resultant non-standard fiber was similar to the standard fiber except that it had a 10.5 μm diameter inner cladding region containing about 0.05 wt. % chlorine and an outer, 125 μm diameter cladding region containing about 0.2 wt. % chlorine. The refractive indices of the claddings of this fiber and the standard fiber were such that the value of  $\Delta_{\text{clads}}$  was 0.015.

The standard and non-standard fibers were interchangeable in the following process.

A 6 cm long section of coating was removed from the end of a 1.5 meter length of coated fiber 18. A flame was directed at the center of the stripped region of fiber, and the end of the fiber was pulled and severed to form a tapered end (Fig. 14). The fiber end remote from the tapered end was connected to a reflectance monitoring apparatus. The tapered end was moved slowly along its longitudinal axis to the right (as shown in Figs. 14 and 15 wherein only the bright, central portion 23 of the flame is



illustrated). As the tip of fiber 20 was heated by flame 23 of burner 24', the glass receded and formed rounded endface 25 (Fig. 15), the diameter of which was preferably equal to or slightly smaller than the original uncoated fiber diameter. A current specification for the reflected power is -50 dB. The resultant length of uncoated fiber was about 2.9 cm.

Tube 10 was inserted through ring burner 34 (Fig. 16) and was clamped to draw chucks 32 and 33. The chucks were mounted on motor controlled stages 45 and 46 which were controlled by a computer. Approximately 3.2 cm of coating was stripped from the central region of a 3 meter length of fiber 17. The uncoated sections of fibers 17 and 18 were wiped, and a small amount of ethyl alcohol was squirted into the tube to temporarily lubricate the fibers during the insertion process.

Coated fiber 17 was inserted through aperture 11 until its uncoated portion was situated below tube end 15. The uncoated portion of coated fiber 18 was held adjacent the uncoated portion of coated fiber 17, and both were moved together toward tube end 14 until the coating end regions become wedged in tapered aperture 13. The uncoated portion of coated fiber 17 was then disposed intermediate end surfaces 14 and 15, the uncoated portion of coated fiber 17 preferably being centered within aperture 11. End 25 of fiber 18 was located between midregion 27 and end 14 of tube 10. The fibers were threaded through the vacuum attachments 41 and 41', which were then attached to the ends of preform 31. Referring to Fig. 13, vacuum attachment 41 was slid over the end of tube 10, and collar 39 was tightened, thereby compressing O-ring 38 against the tube. Vacuum line 42 was connected to tube 40. One end of a length of thin rubber tubing 43 was attached to that end of vacuum attachment 41 opposite preform 31; the remaining end of the tubing extending between clamp jaws 44. Upper vacuum attachment 41' was similarly associated with line

42', tubing 43' and clamp jaws 44'. The coated portions of the fibers extended from tubing 43 and 43'.

5 Vacuum was applied to the lower portion of coupler preform 31 by clamping jaws 44 on tubing 43 while the upper vacuum attachment was connected to a source of nitrogen to purge the aperture contents. Jaws 44' were then clamped against tubing 43' to apply vacuum to the upper portion of preform 31.

10 The upper end of fiber 17 was connected to a monochromater coupled to a white light source. The monochromater was adjusted so that the fiber was provided with a beam 1310 nm light. The lower end of fiber 17 was connected to a detector which formed a part of the system that controls the movement of chucks 32 and 33.

15 With a vacuum of 10 inches (25.4 cm) of mercury connected to the tube aperture, ring burner 34 was ignited. The apparatus located above ring burner 34 was protected by heat shield 35. Flames of about 1800°C were generated by supplying gas and oxygen to the burner at rates of 0.8 slpm  
20 and 0.85 slpm, respectively. The flame from ring burner 34 heated tube 10 for about 25 seconds. The matrix glass collapsed onto fibers 19 and 20 as shown in Fig. 17. Midregion 27, the central portion of which forms the coupling region of the resultant coupler, became a solid  
25 region wherein substantially the entire lengths of fibers 19 and 20 were in mutual contact.

After the tube cooled, the flow rates of both the gas and oxygen were increased to 0.9 slpm, and the burner was reignited. Flames having a temperature of about 1900°C  
30 heated the center of the collapsed region to the softening point of the materials thereof. After 12 seconds, the supply of oxygen to burner 34 was turned off. Stages 45 and 46 were pulled in opposite directions at a combined rate of 2.5 cm/sec until the central portion of midregion  
35 27 was stretched 1.46 cm. The flame became extinguished after the stretching operation. This increase in length was just short of the length to which coupler preform 31



would have had to be stretched in order to have achieved achromaticity in a single stretching operation. A sufficient amount of power began to couple to fiber 18 to enable the end of that fiber to be connected to a detector, and the power output to the detector was peaked.

Flow rates of gas and oxygen to burner 34 were then adjusted to 0.65 slpm and 0.6 slpm, respectively, to produce a broader flame having a temperature of about 1650°C. Twelve seconds after the flame was ignited, the oxygen flow was turned off, and stages 45 and 46 pulled in opposite directions at a combined rate of 0.5 cm/sec to further increase the length of coupler preform 31 by about 0.02 cm. During this step, the light emanating from fibers 17 and 18 was monitored at 1310 nm. The stretching operation automatically stopped when the ratio of the optical power from fiber 17 to that of fiber 18 was 1.2, at which time the control system instructs the stages to stop moving. Because of system momentum, a sufficient amount of stretching continues to occur to provide a power ratio of 1, whereby equal light power emanated from fibers 17 and 18 at 1310 nm. The diameter of the midregion is reduced by the stretching operations as illustrated by region 51 of Fig. 18.

After the coupler had cooled, the vacuum lines were removed from the resultant coupler, and a drops 48 and 49 of heat curable adhesive were applied from a syringe to ends 14 and 15, respectively, of the capillary tube. After the adhesive was cured by exposure to heat (arrow H), the coupler was removed from the draw.

The resultant devices couple approximately 50% of the signal propagating in that end of optical fiber 17 at end 14 to optical fiber 18 at about 1310 nm and 1490 nm; the power slope at 1310 nm is 0.077 % per nm or 0.006 dB per nm. These couplers exhibited a median excess device loss of about 0.3 dB. The lowest measured excess loss was 0.05 dB.

The spectral insertion loss curves for a specific coupler made in accordance with the specific example are shown in Fig. 19. Curve  $P_2$  represents the coupled power. The excess loss for that coupler was 0.09 dB and 0.05 dB at 5 1310 nm and 1550 nm, respectively. The insertion loss was less than 4 dB in each leg of that coupler over a 300 nm range of wavelengths up to about 1565 nm.

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WHAT IS CLAIMED IS

1. An achromatic fiber optic coupler comprising  
an elongated body of matrix glass having a refractive  
index  $n_3$ , said body having two opposed ends and a  
midregion,

a plurality of optical fibers extending longitudinally  
through said body, each of said fibers comprising a core of  
refractive index  $n_1$  and a cladding of refractive index less  
than  $n_1$  but greater than  $n_3$ , the refractive index  $n_2$  of the  
cladding of a first of said fibers being different from the  
refractive index  $n_2'$  of the cladding of a second of said  
fibers by such an amount that the value of  $\Delta_{\text{clads}}$  is  
greater than zero but less than 0.03%, wherein  $\Delta_{\text{clads}}$   
equals  $(n_2^2 - n_2'^2)/2n_2^2$ ,

said fibers being fused together along with the  
midregion of said matrix glass, the diameter of the central  
portion of said midregion and the diameters of said optical  
fibers in said central portion being smaller than the  
diameters thereof at the ends of said body, whereby a  
portion of an optical power propagating in one of said  
fibers couples to the other of said fibers.

2. A coupler in accordance with claim 1 wherein the  
difference between said refractive indices  $n_2$  and  $n_2'$  is  
such that the insertion loss is less than 4 dB in each leg  
thereof over a 300 nm wavelength range.

3. A coupler in accordance with claim 2 wherein the value  
of  $\Delta_{\text{clads}}$  is greater than 0.005%.

4. A coupler in accordance with claim 2 wherein said  
coupler is capable of coupling about 50% of the power from  
one of said fibers to the other of said fibers at a  
predetermined wavelength, and the value of  $\Delta_{\text{clads}}$  is  
between 0.005% and 0.02%.

5. A coupler in accordance with claim 4 wherein  $\Delta_{2-3}$  is greater than 0.4%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .
- 5 6. A coupler in accordance with claim 2 wherein  $\Delta_{2-3}$  is greater than 0.4%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .
- 10 7. A coupler in accordance with claim 1 wherein the difference between said refractive indices  $n_2$  and  $n_2'$  is such that the insertion loss is less than 4 dB in each leg thereof over a 300 nm wavelength range.
- 15 8. A coupler in accordance with claim 1 wherein the value of  $\Delta_{\text{clads}}$  is greater than 0.005%
- 20 9. A coupler in accordance with claim 8 wherein  $\Delta_{2-3}$  is greater than 0.4%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .
- 25 10. A coupler in accordance with claim 1 wherein said coupler is capable of coupling about 50% of the power from one of said fibers to the other of said fibers at a predetermined wavelength, and the value of  $\Delta_{\text{clads}}$  is between 0.005% and 0.02%
- 30 11. A coupler in accordance with claim 10 wherein  $\Delta_{2-3}$  is greater than 0.4%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .
- 35 12. A coupler in accordance with claim 1 wherein  $\Delta_{2-3}$  is between 0.4% and 0.65%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .
13. An achromatic fiber optic coupler comprising first and second single-mode glass optical fibers, each having a core and a cladding, the refractive indices  $n_2$  and  $n_2'$  of said



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fibers being lower than the refractive index  $n_1$  of said cores, said fibers being fused together along a portion of the lengths thereof to form a coupling region, said coupling region being surrounded by matrix glass having a refractive index  $n_3$  that is lower than the refractive indices of said claddings, the diameters of said fibers being smaller in said coupling region than in the remainder of said fibers, and said cores being more closely spaced in said coupling region than in the remainder of said fibers, thereby forming a coupling region wherein a portion of a signal propagating in one of said fibers is coupled to the other of said fibers, the difference between said refractive indices  $n_2$  and  $n_2'$  being such that the insertion loss is less than 4 dB in each leg thereof over a 300 nm wavelength range.

14. A coupler in accordance with claim 13 wherein the difference between said refractive indices  $n_2$  and  $n_2'$  is such that the insertion loss is less than 4 dB in each leg thereof between 1265 nm and 1565 nm.

15. A coupler in accordance with claim 13 wherein  $\Delta_{2-3}$  is greater than 0.4%, wherein  $\Delta_{2-3}$  is equal to  $(n_3^2 - n_2^2)/2n_3^2$ .

16. A method of making an achromatic fiber optic coupler comprising the steps of  
inserting into a glass tube of refractive index  $n_3$  at least a portion of each of a plurality of optical fibers so that said portions occupy the midregion of said tube, each of said fibers comprising a core of refractive index  $n_1$  and a cladding of refractive index less than  $n_1$  but greater than  $n_3$ , the refractive index  $n_2$  of the cladding of a first of said fibers being different from the refractive index  $n_2'$  of the cladding of a second of said fibers, the difference between  $n_2$  and  $n_2'$  being such that the value of  $\Delta_{\text{-clads}}$  is

greater than zero but less than 0.03%, wherein  $\Delta_{\text{clads}}$   
equals

$$(n_2^2 - n_2'^2) / 2n_2^2,$$

5 collapsing the midregion of said tube onto fibers, and  
stretching the central portion of said midregion until  
a predetermined coupling occurs between said fibers.

10 17. A method in accordance with claim 16 wherein the step  
of stretching comprises providing relative movement between  
the ends of said tube, and varying the rate at which said  
relative movement occurs.

15 18. A method in accordance with claim 17 wherein the step  
of stretching comprises continuously varying the rate at  
which said relative movement occurs.

20 19. A method in accordance with claim 17 wherein the step  
of stretching comprises stretching at one stretch rate for  
a first period of time and stretching at another stretch  
rate for a second period of time.

25 20. A method in accordance with claim 16 wherein the step  
of stretching comprises pulling the ends of said tube away  
from each other at a first stretch rate, and before said  
predetermined coupling is achieved, pulling the ends of  
said tube away from each other at a second stretch rate  
that is different from said first stretch rate.

30 21. A method in accordance with claim 16 wherein the step  
of stretching comprises pulling the ends of said tube away  
from each other at a first stretch rate, and before said  
predetermined coupling is achieved, pulling the ends of  
said tube away from each other at a second stretch rate  
that is less than said first stretch rate.

35 22. A method in accordance with claim 16 wherein the step  
of stretching comprises heating the central portion of said



5 tube midregion, stretching the central portion of said tube midregion, stopping said stretching operation before said predetermined coupling is achieved, reheating the central portion of said tube midregion and further stretching the central portion of said tube midregion.

10 23. A method in accordance with claim 16 wherein the step of stretching comprises heating the central portion of said tube midregion to a first temperature, stretching the central portion of said tube midregion, stopping said stretching operation before said predetermined coupling is achieved, heating the central portion of said tube midregion to a second temperature lower than said first temperature and stretching the central portion of said tube  
15 midregion.

20 24. A method in accordance with claim 16 wherein the step of stretching comprises heating the central portion of said tube midregion to a first temperature, stretching the central portion of said tube midregion at a first stretch rate, stopping said stretching operation before said predetermined coupling is achieved, heating the central portion of said tube midregion to a second temperature lower than said first temperature and stretching the  
25 central portion of said tube midregion at a second stretch rate lower than said first stretch rate.

30 25. A method in accordance with claim 16 wherein the step of stretching comprises stretching the central portion of said tube midregion at a first stretch rate until some coupling between said fibers begins to occur, and before said predetermined coupling is achieved, stretching the central portion of said tube midregion at a second stretch rate different from said first stretch rate.

35 26. A method in accordance with claim 16 wherein said tube has first and second ends, at least a first of said fibers

extends from both ends of said tube, and at least a second  
of said fibers extends from only the second end of said  
tube, and wherein the step of stretching comprises  
stretching the central portion of said tube midregion until  
some coupling between said fibers begins to occur, and  
using the ratio of the optical power from said fibers to  
5 stop said stretching operation.

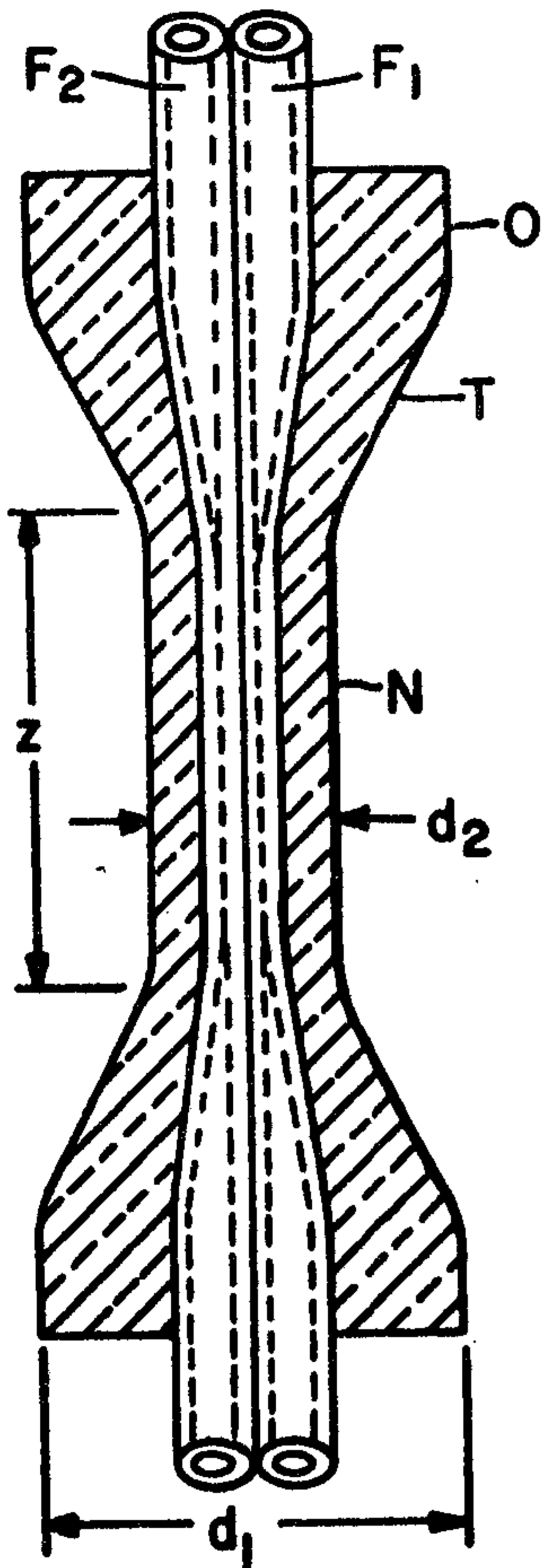
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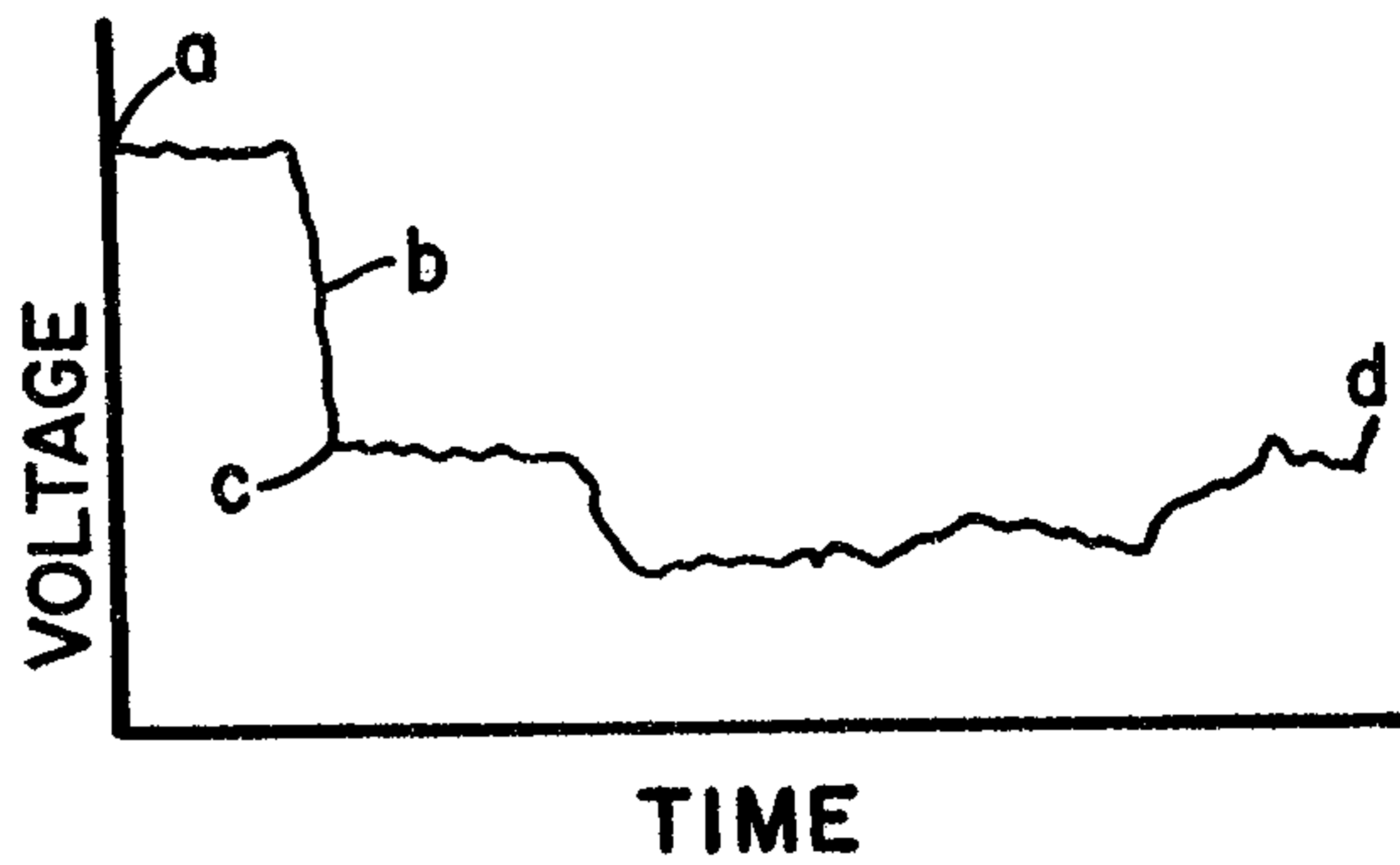
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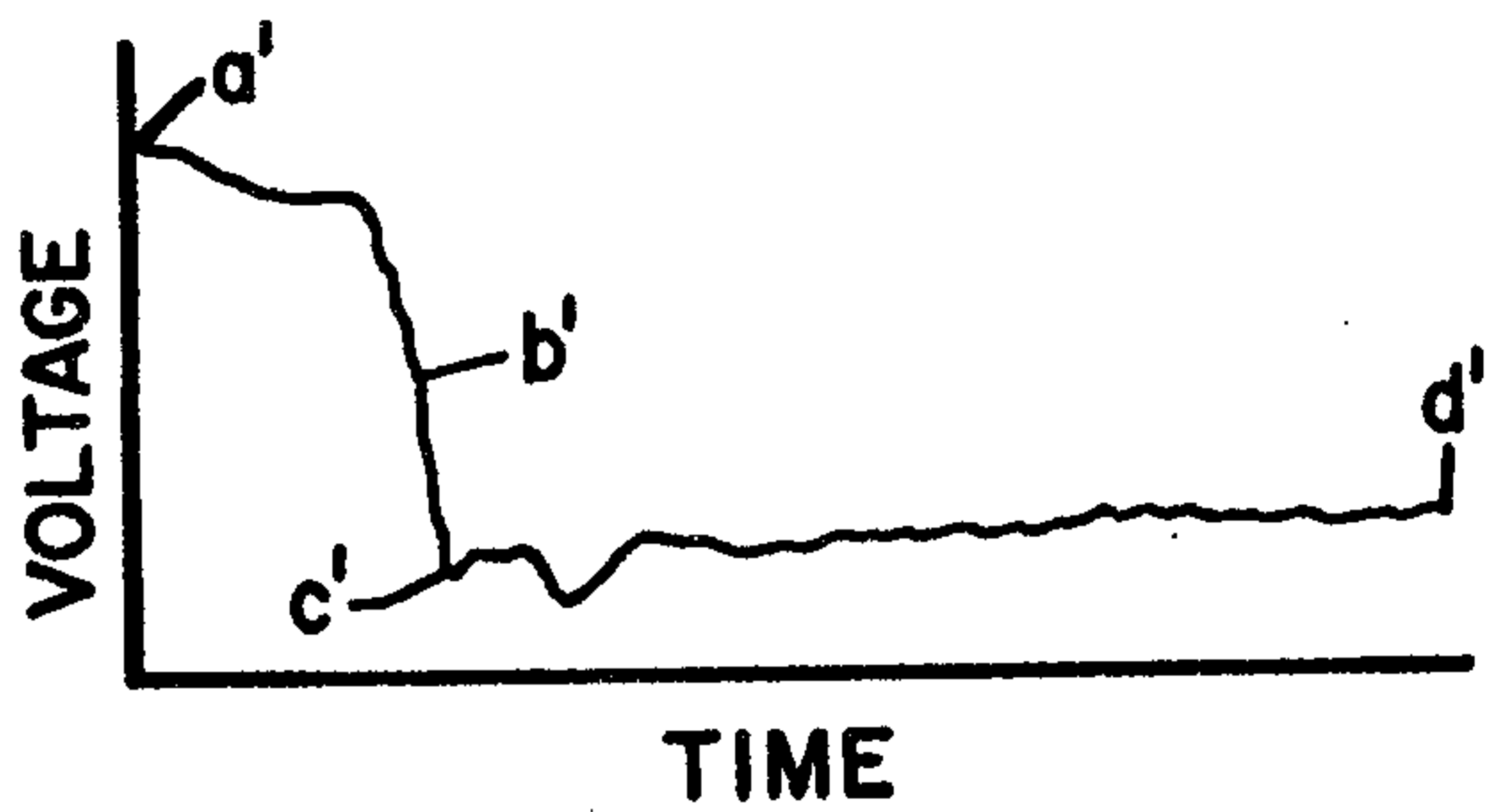




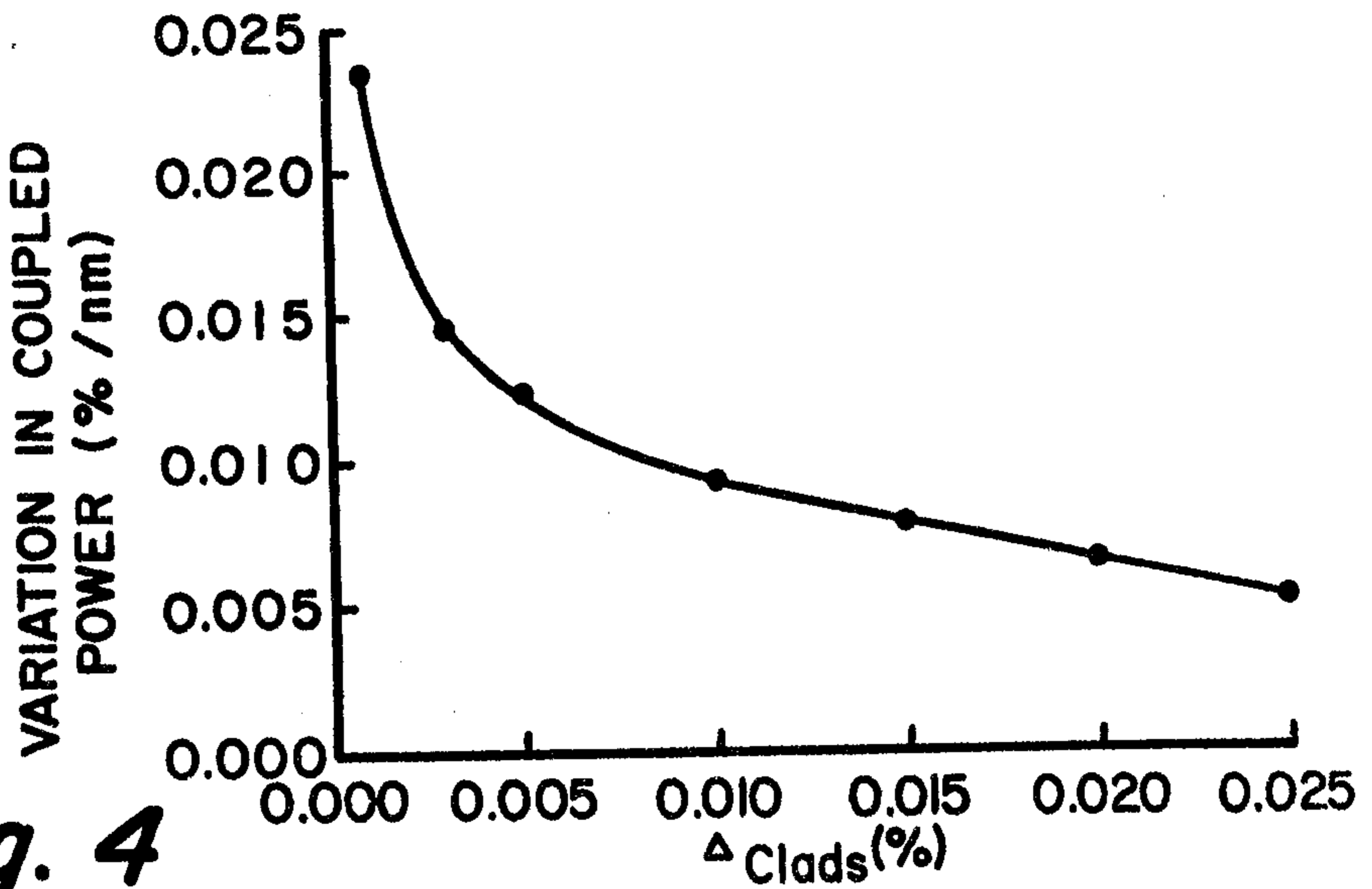
**Fig. 1**



**Fig. 2**



**Fig. 3**



**Fig. 4**

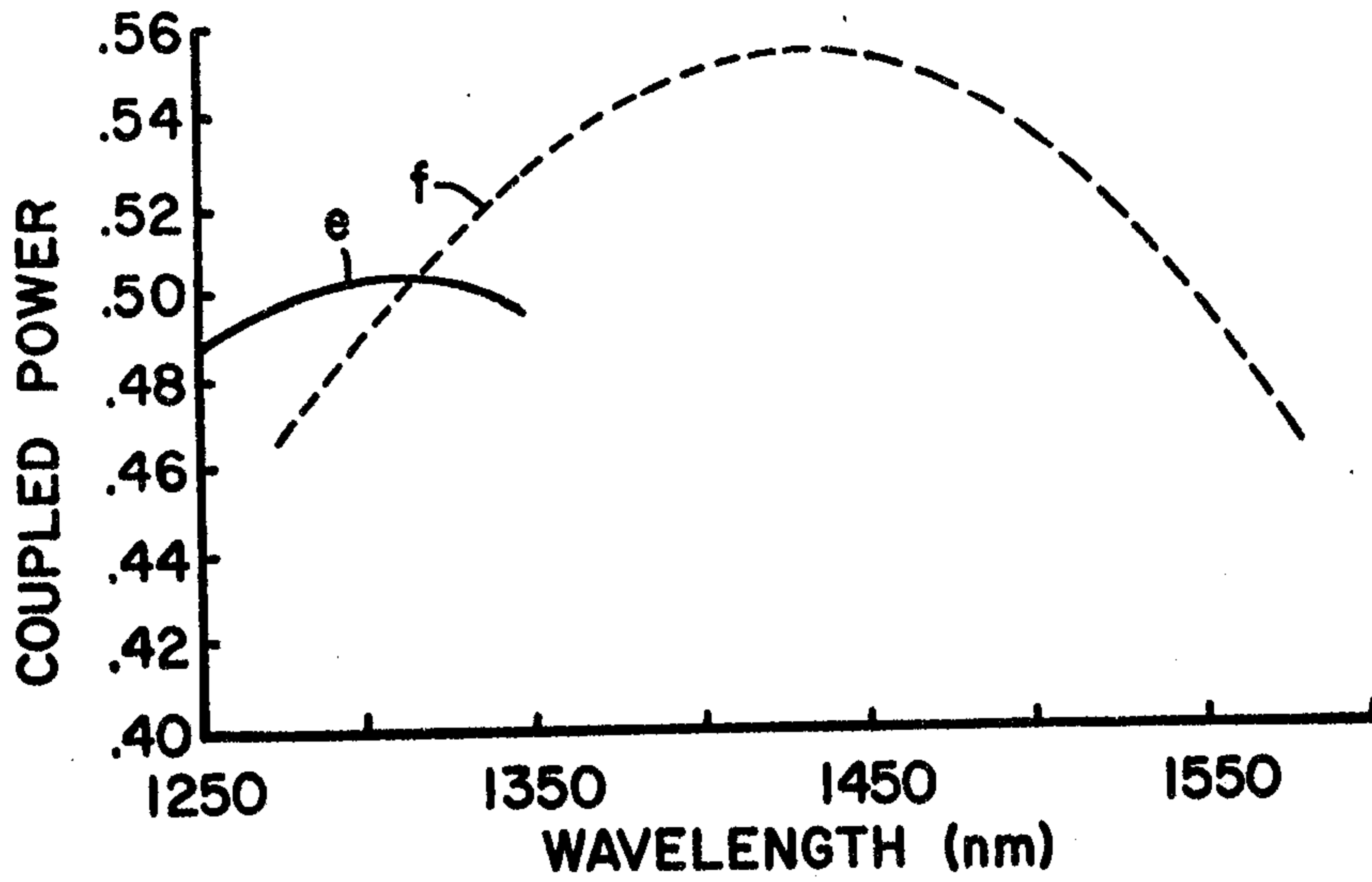


Fig. 5

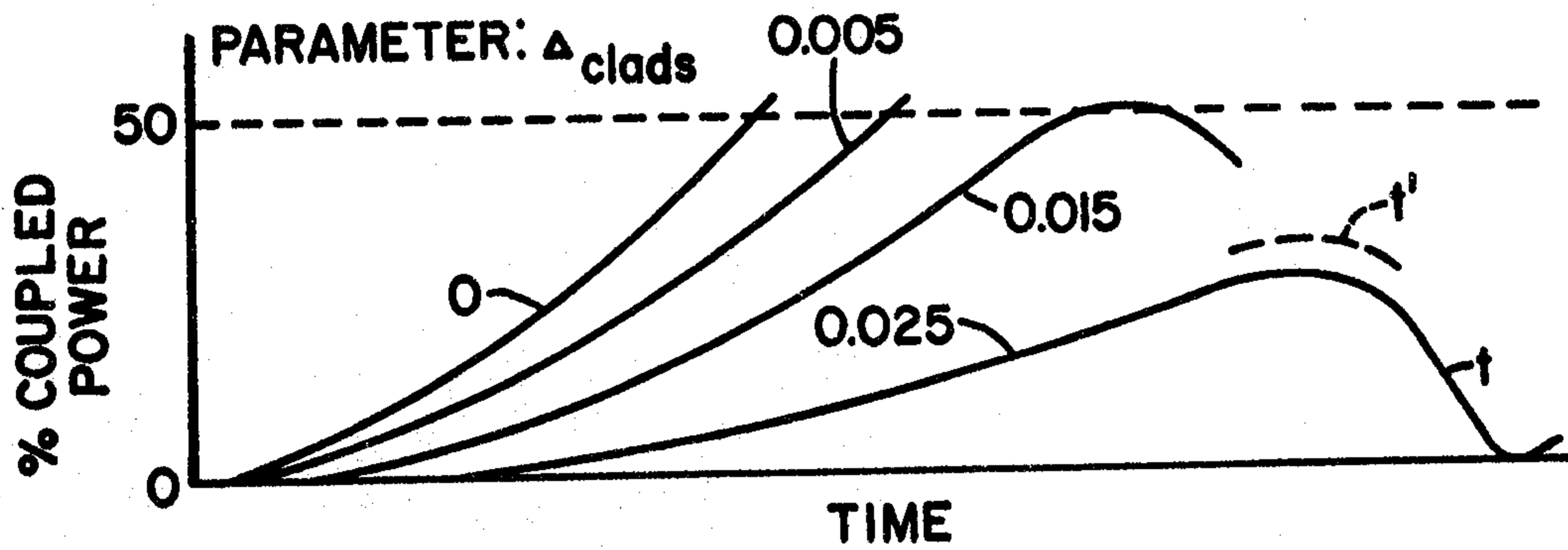


Fig. 6

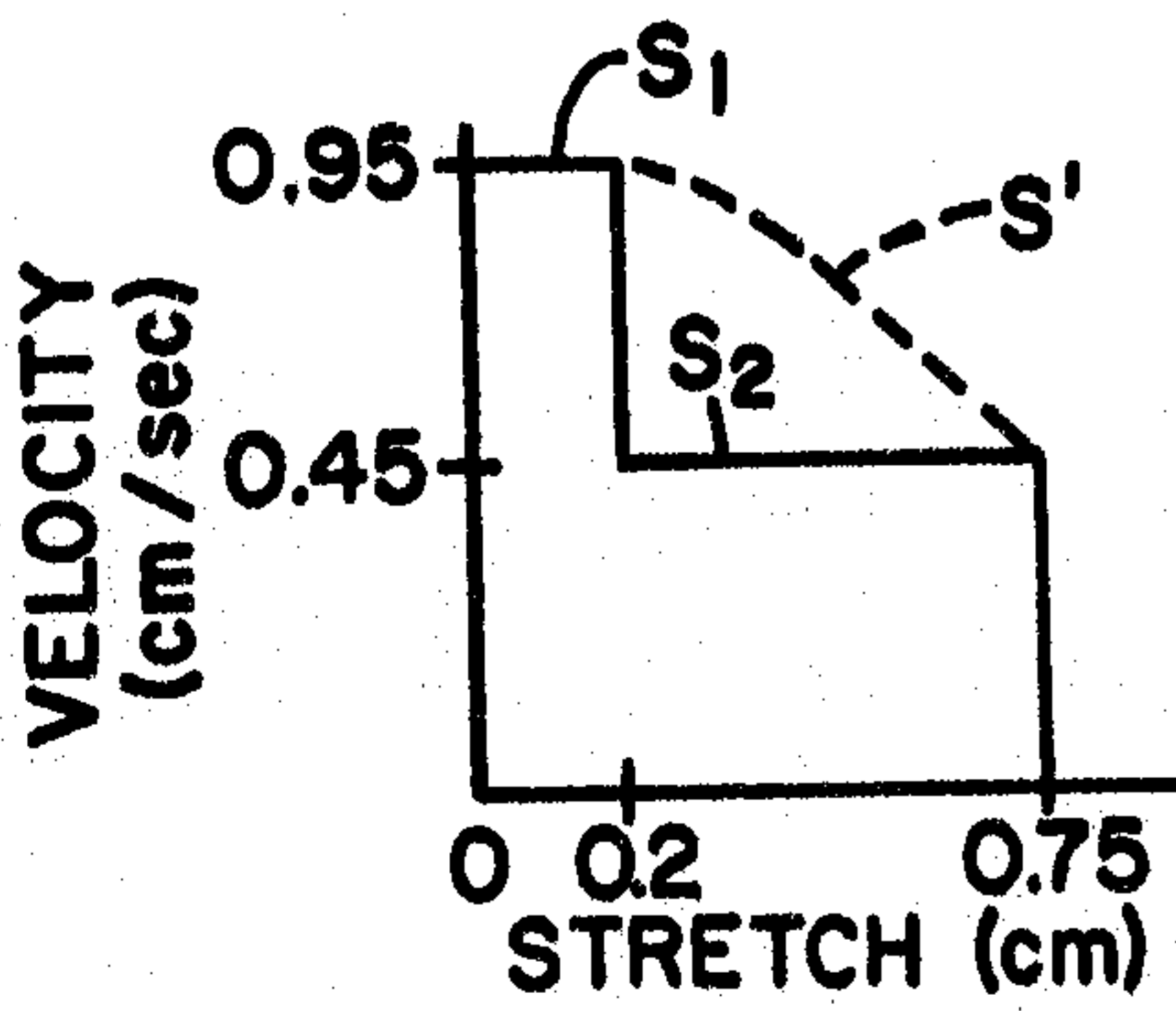


Fig. 7

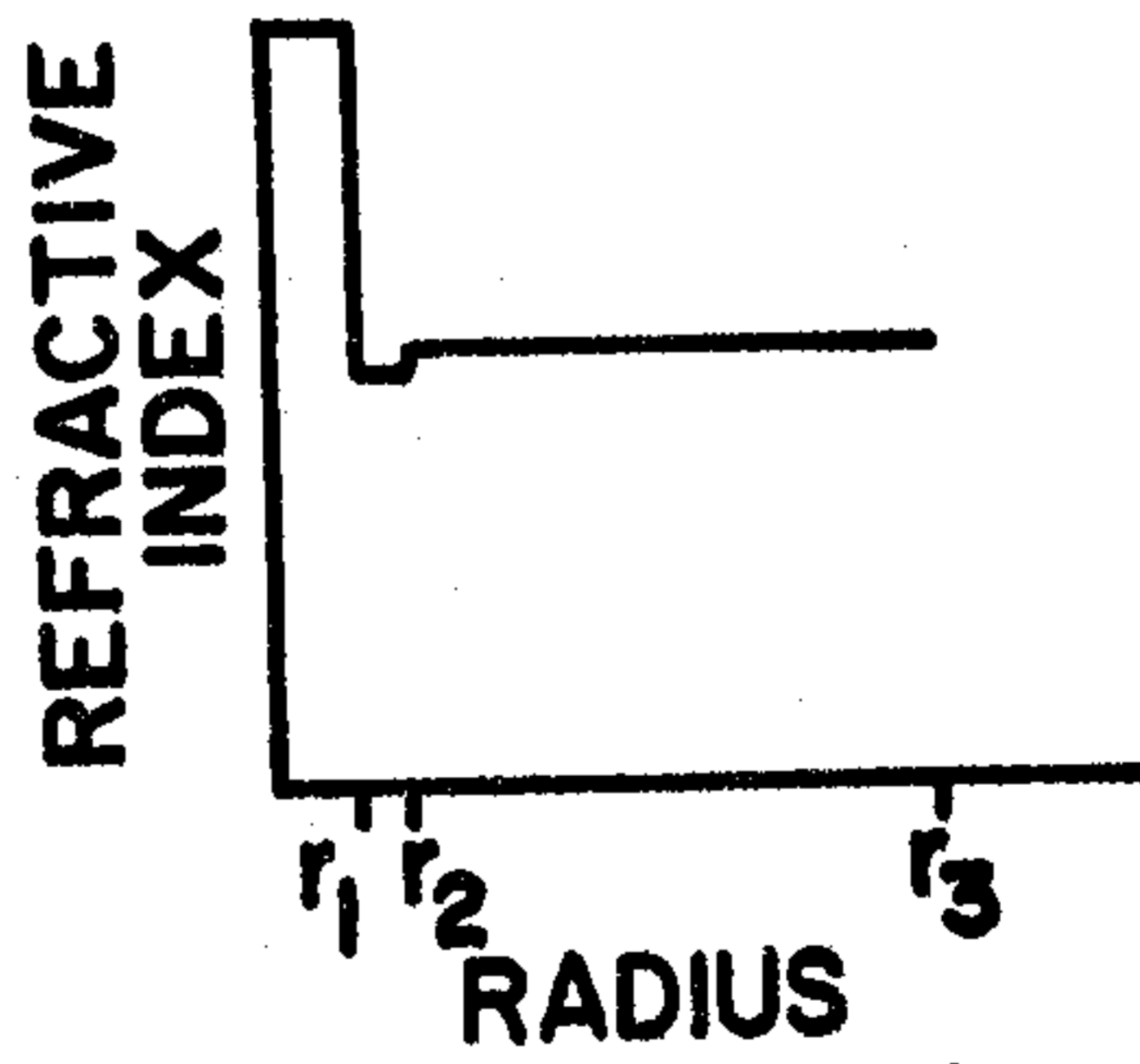
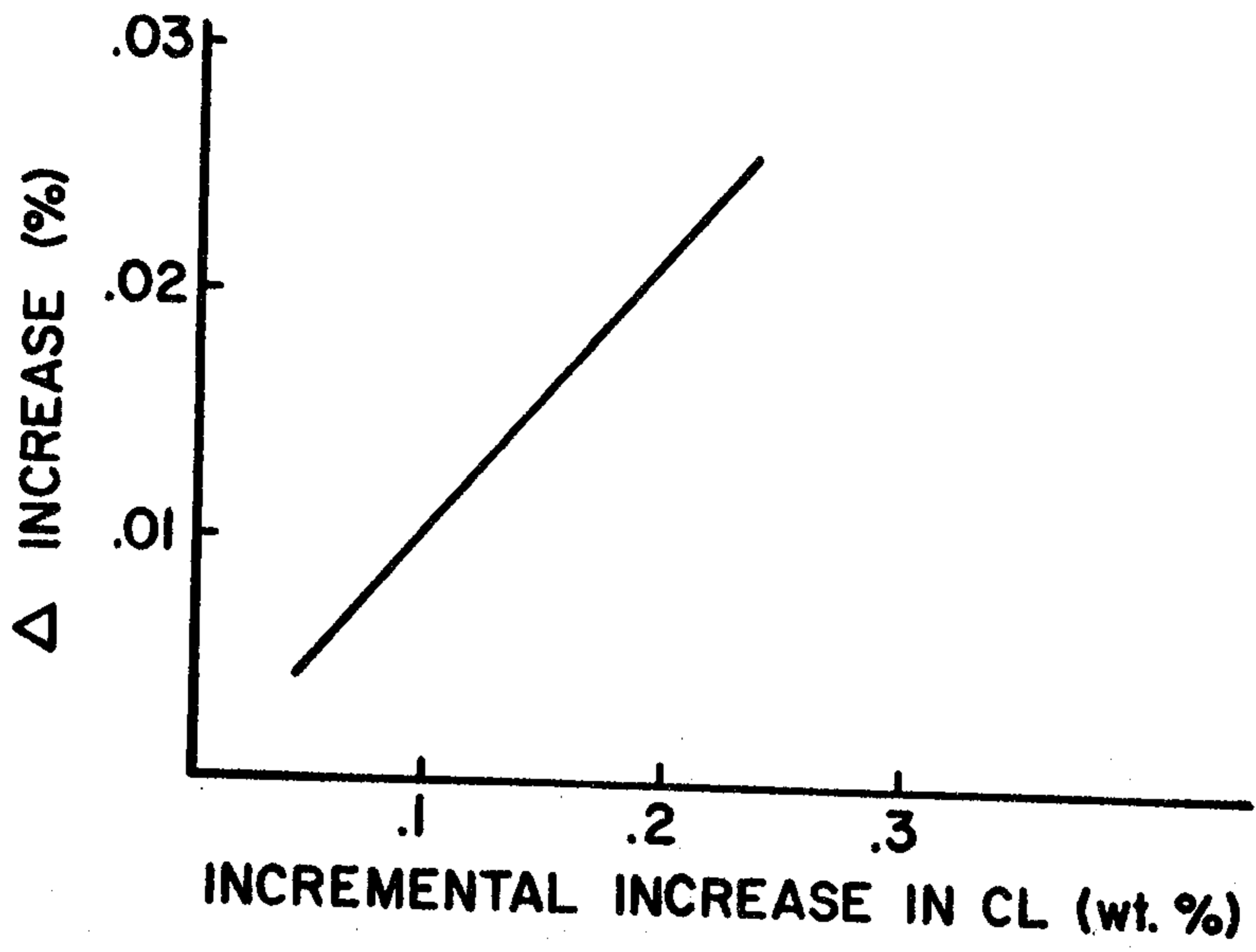
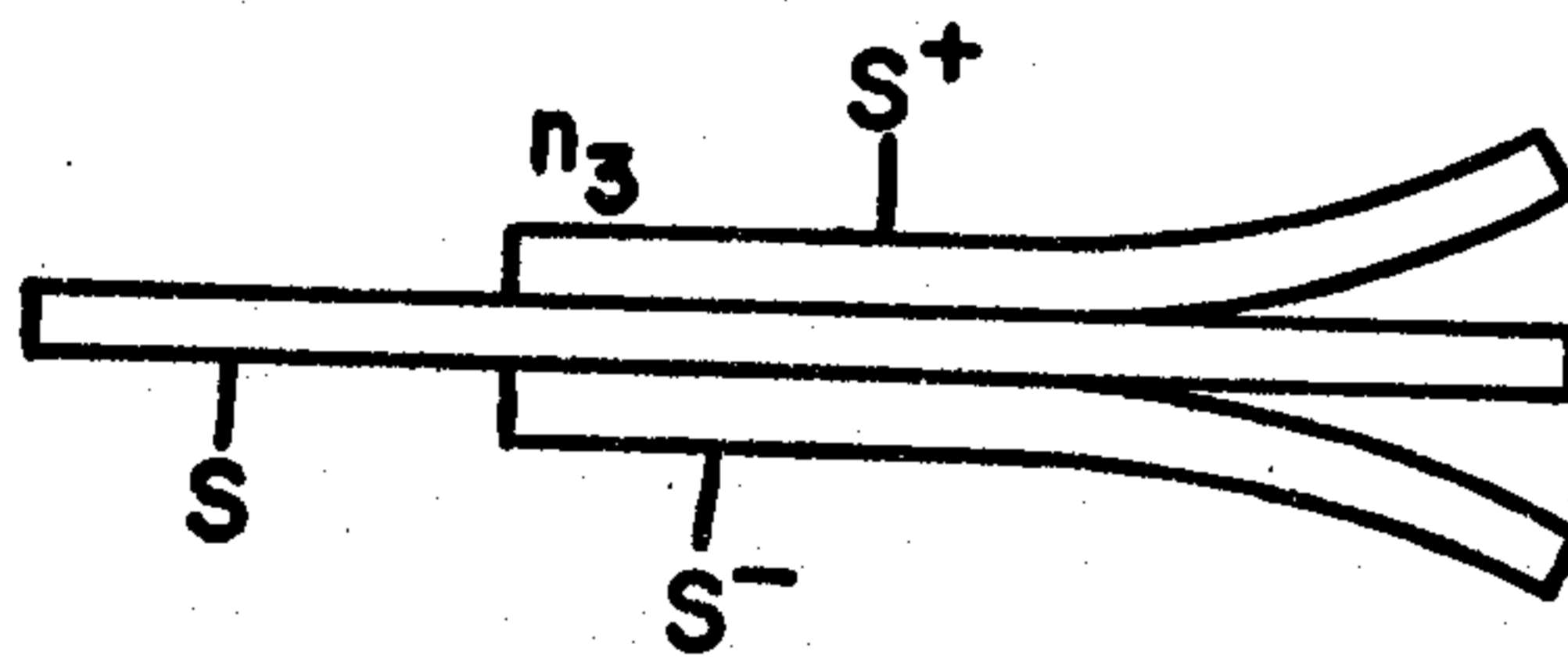


Fig. 9

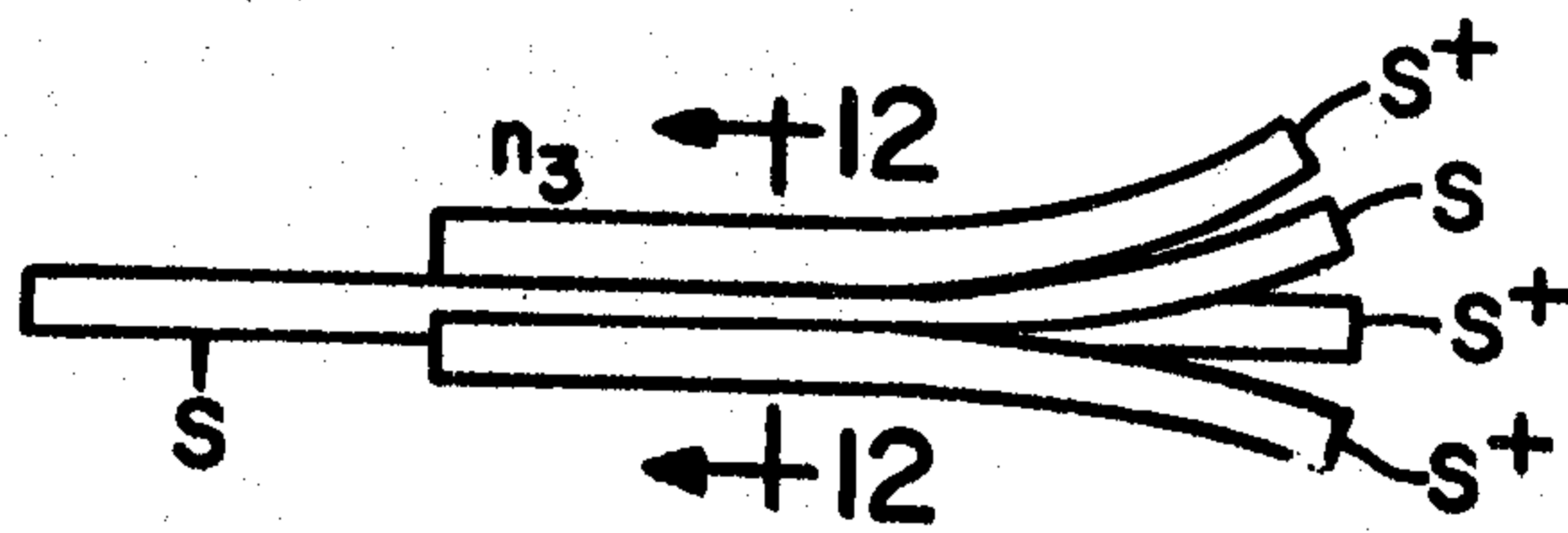




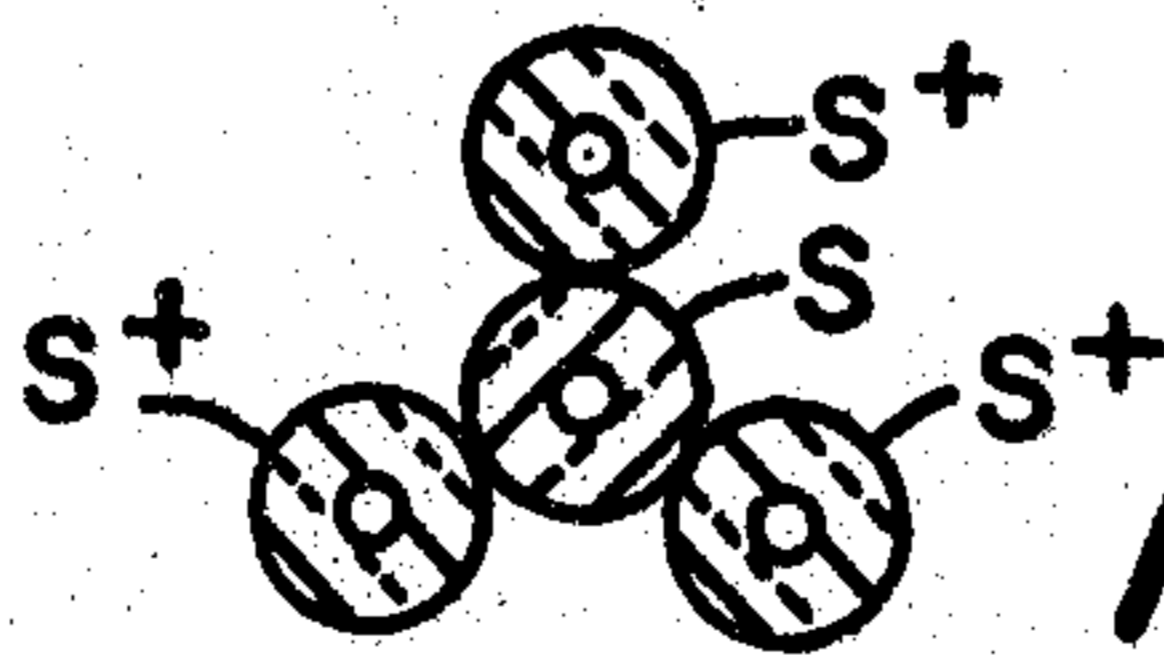
**Fig. 8**



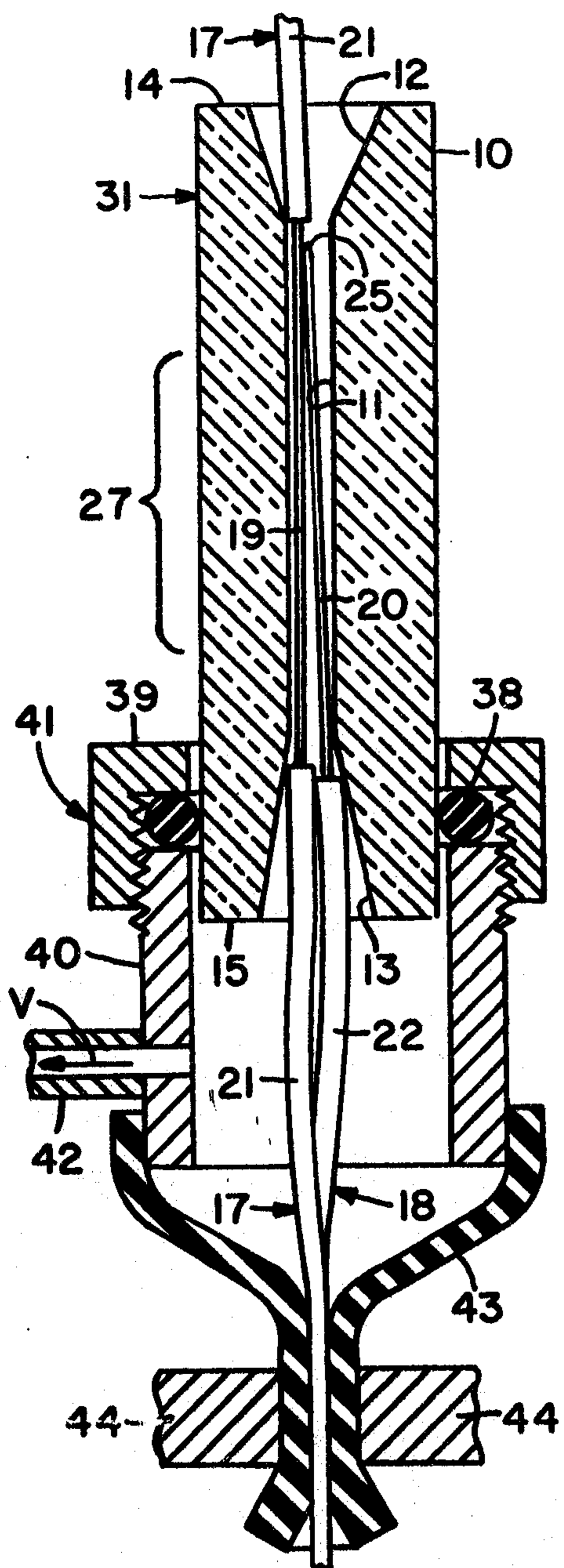
**Fig. 10**



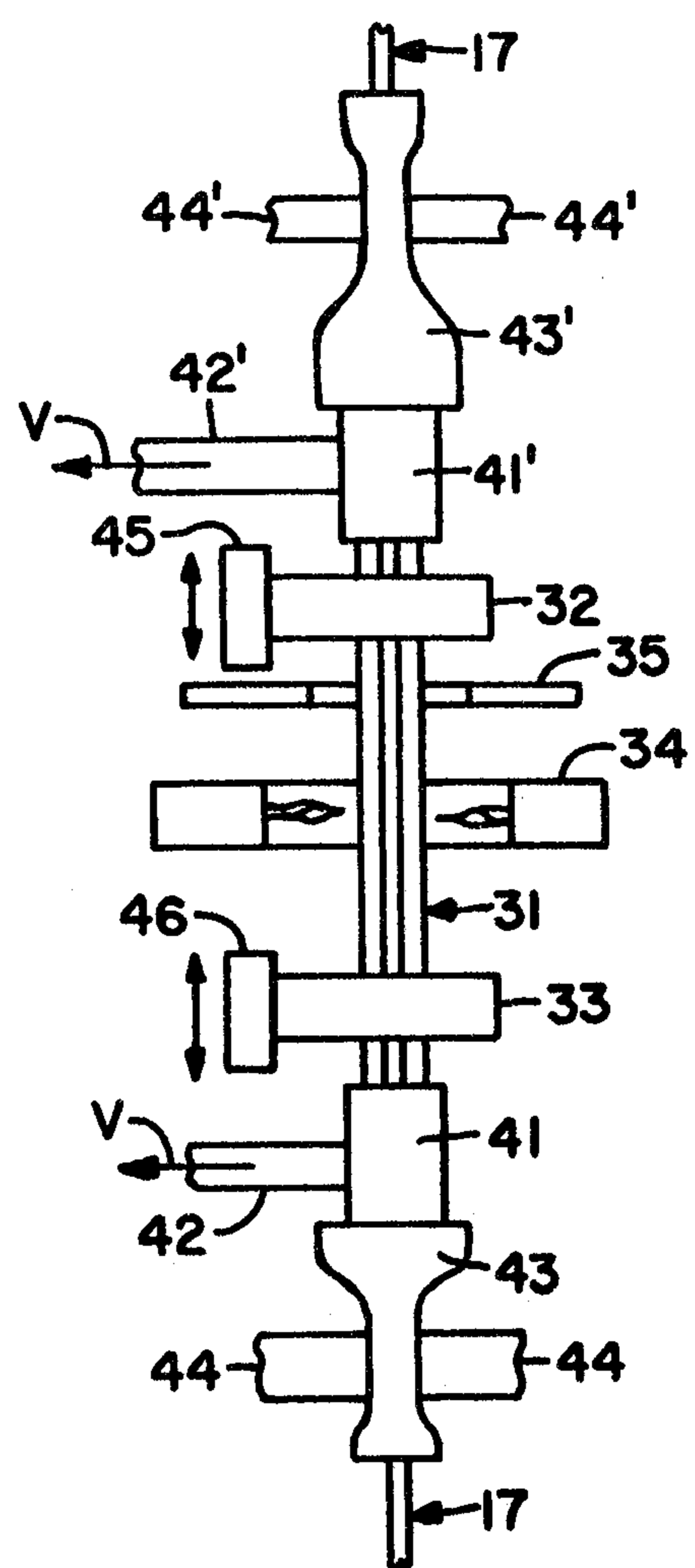
**Fig. 11**



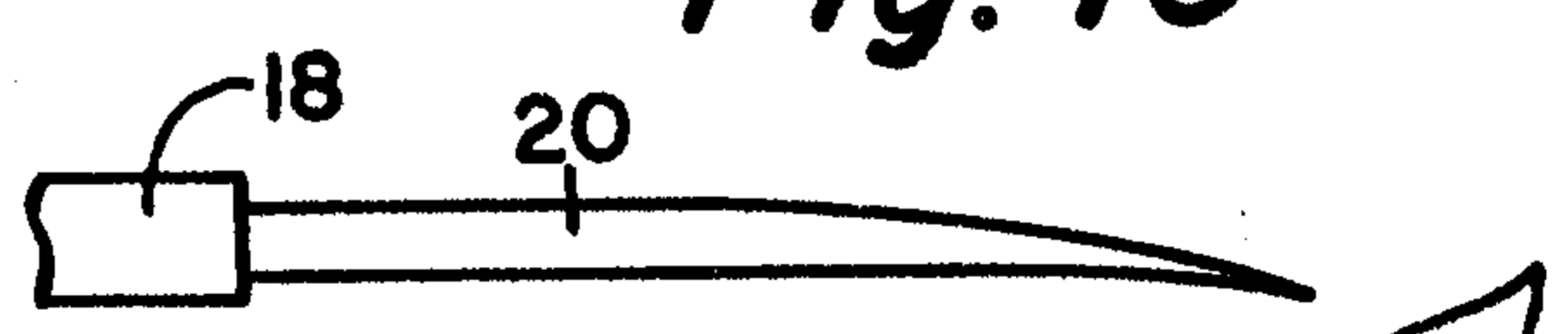
**Fig. 12**



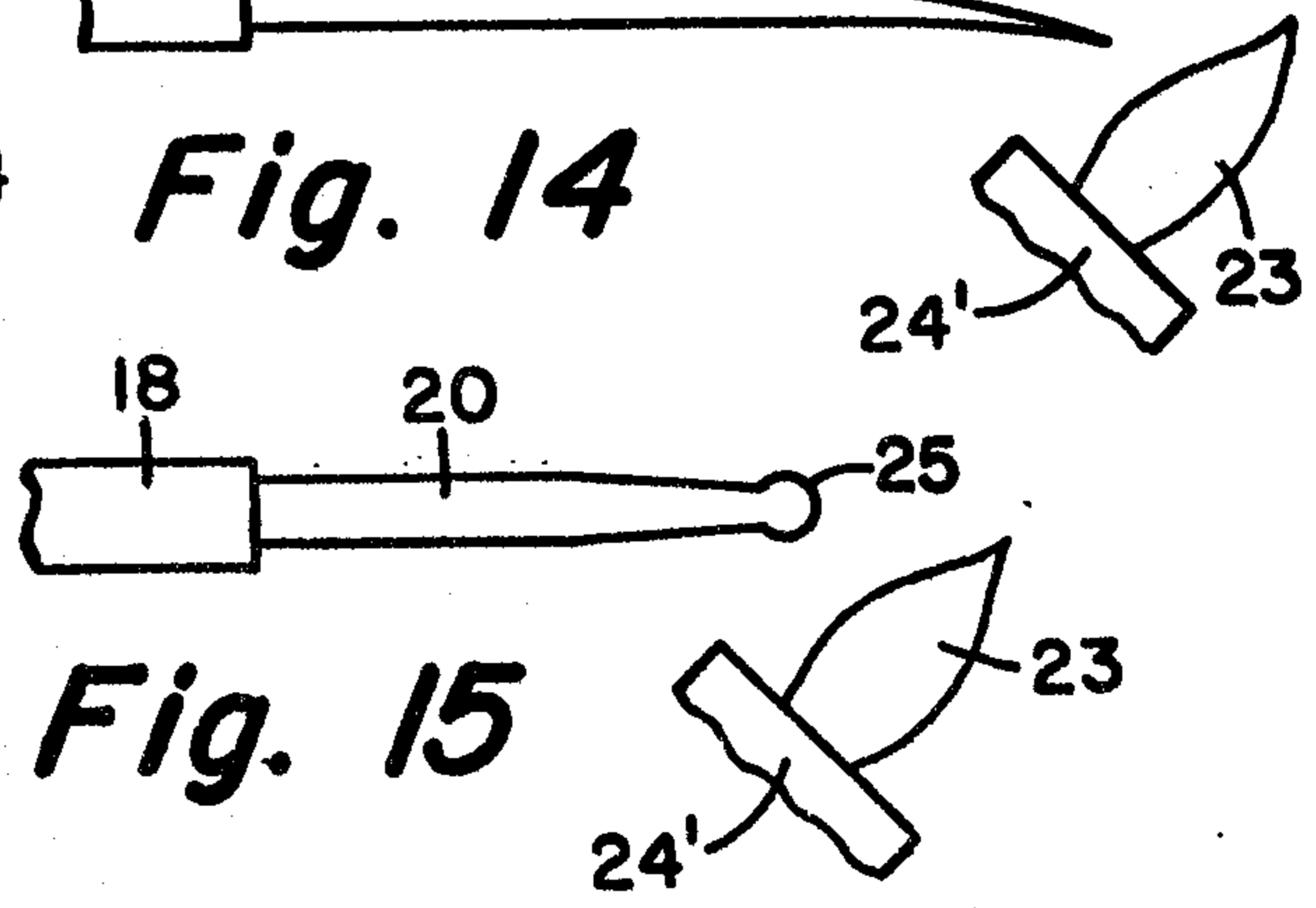
**Fig. 13**



**Fig. 16**



**Fig. 14**



**Fig. 15**



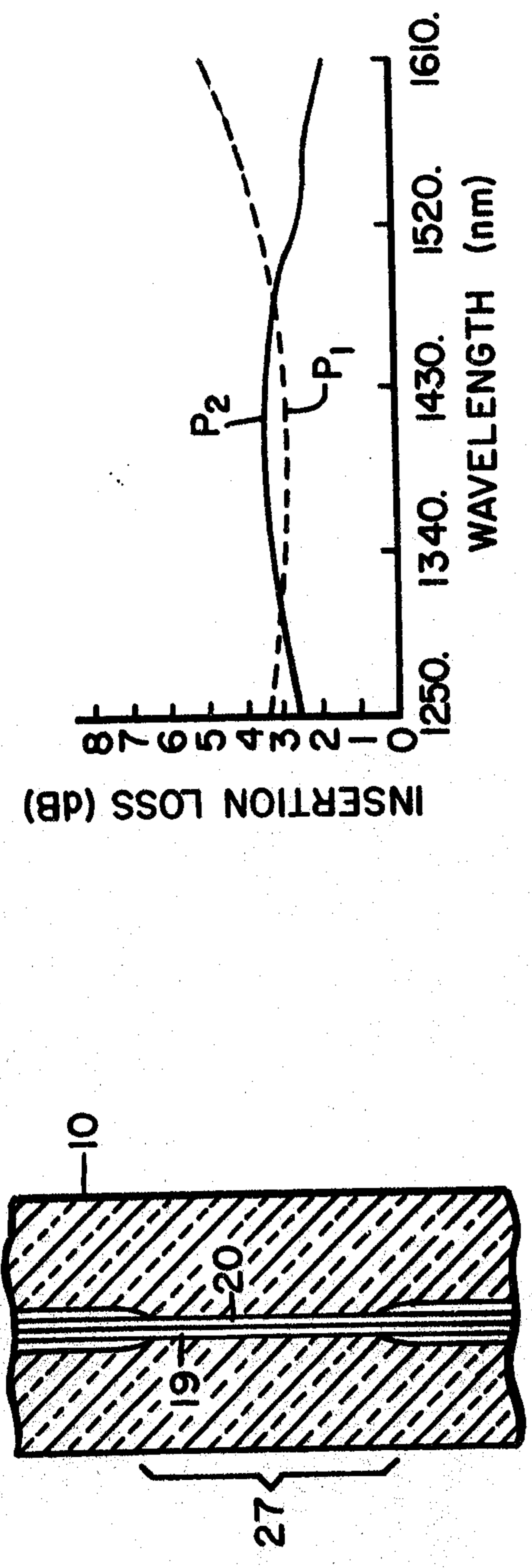


Fig. 17

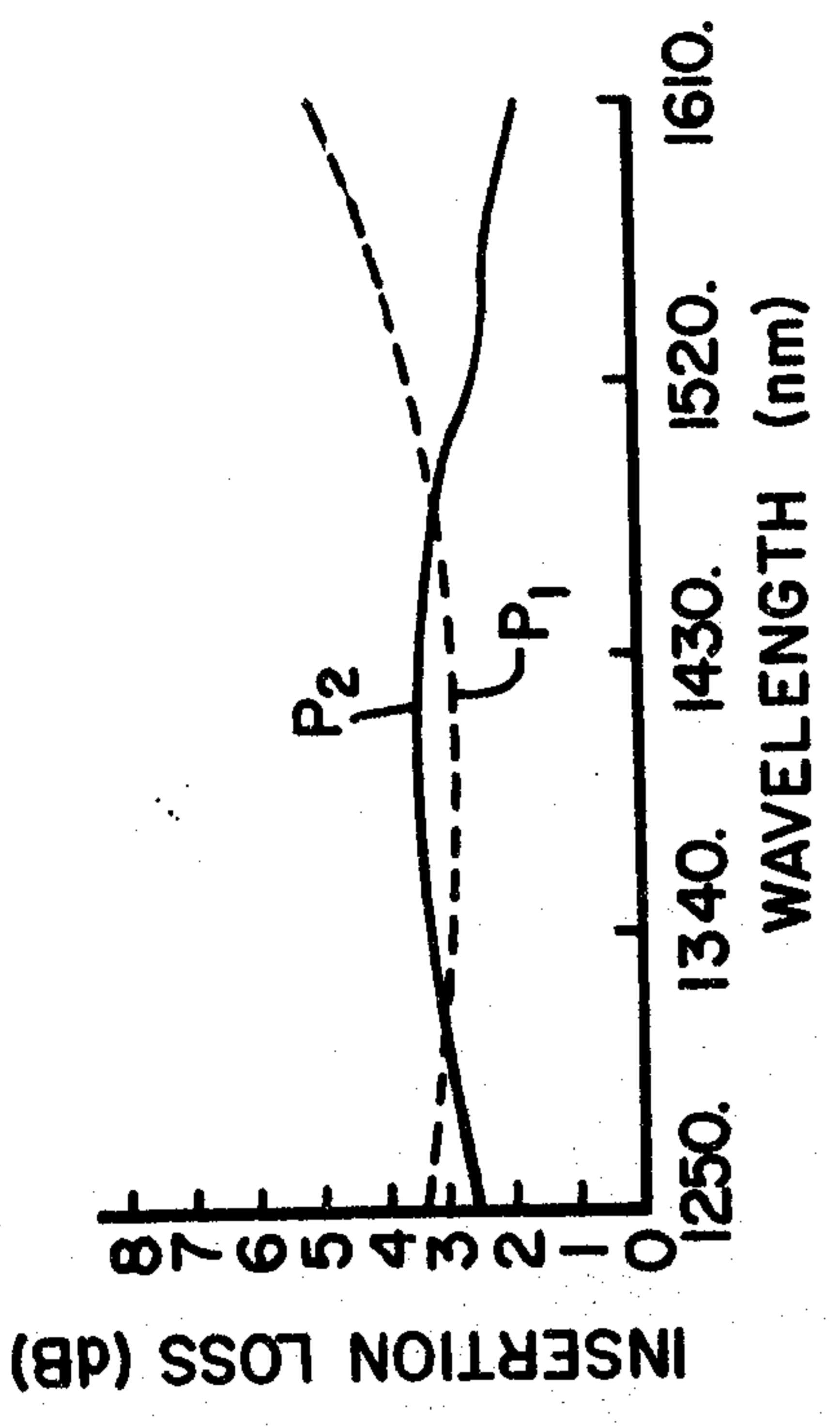


Fig. 19

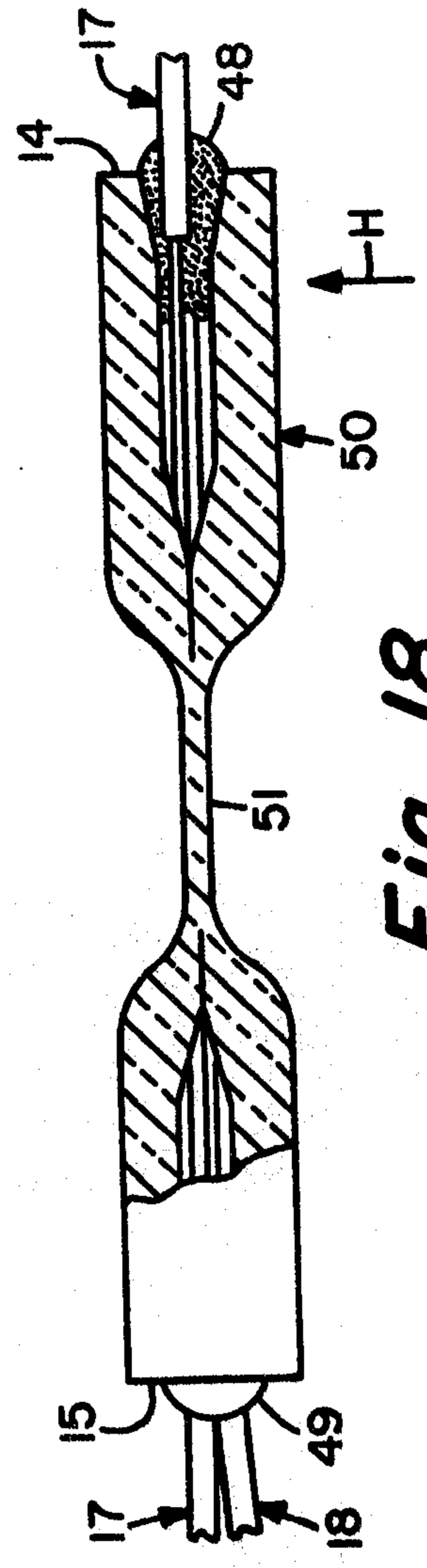


Fig. 18

