



(12) **DEMANDE DE BREVET CANADIEN**  
**CANADIAN PATENT APPLICATION**

(13) **A1**

(86) Date de dépôt PCT/PCT Filing Date: 2019/03/12  
(87) Date publication PCT/PCT Publication Date: 2019/09/19  
(85) Entrée phase nationale/National Entry: 2020/07/31  
(86) N° demande PCT/PCT Application No.: EP 2019/056067  
(87) N° publication PCT/PCT Publication No.: 2019/175125  
(30) Priorité/Priority: 2018/03/13 (EP18161340.7)

(51) Cl.Int./Int.Cl. *C07K 16/28* (2006.01),  
*A61K 39/00* (2006.01), *C07K 16/30* (2006.01),  
*C07K 16/32* (2006.01), *C07K 16/40* (2006.01)

(71) Demandeur/Applicant:  
F. HOFFMANN-LA ROCHE AG, CH

(72) Inventeurs/Inventors:  
CLAUS, CHRISTINA, CH;  
FERRARA KOLLER, CLAUDIA, CH;  
KLEIN, CHRISTIAN, CH;  
SAM, JOHANNES, CH;  
UMANA, PABLO, CH

(74) Agent: GOWLING WLG (CANADA) LLP

(54) Titre : POLYTHERAPIE AVEC DES AGONISTES DE 4-1 BB (CD137) CIBLES  
(54) Title: COMBINATION THERAPY WITH TARGETED 4-1BB (CD137) AGONISTS

(57) Abrégé/Abstract:

The present invention relates to combination therapies employing 4-1BB (CD137) agonists, in particular 4-1BBL trimer containing antigen binding molecules, in combination with HER-2 targeting agents, the use of these combination therapies for the treatment of 5 cancer, and methods of using the combination therapies.

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property

Organization

International Bureau

(43) International Publication Date

19 September 2019 (19.09.2019)



(10) International Publication Number

**WO 2019/175125 A1**

## (51) International Patent Classification:

*C07K 16/28* (2006.01) *C07K 16/40* (2006.01)*C07K 16/30* (2006.01) *A61K 39/00* (2006.01)*C07K 16/32* (2006.01)

MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

## (21) International Application Number:

PCT/EP2019/056067

## Declarations under Rule 4.17:

— of inventorship (Rule 4.17(iv))

## (22) International Filing Date:

12 March 2019 (12.03.2019)

## Published:

— with international search report (Art. 21(3))

— with sequence listing part of description (Rule 5.2(a))

## (25) Filing Language:

English

## (26) Publication Language:

English

## (30) Priority Data:

18161340.7 13 March 2018 (13.03.2018) EP

(71) Applicant (for all designated States except US): **F. HOFFMANN-LA ROCHE AG** [CH/CH]; Grenzacherstrasse 124, 4070 Basel (CH).(71) Applicant (for US only): **HOFFMANN-LA ROCHE INC.** [US/US]; Overlook at Great Notch, 150 Clove Road 8th Floor, Suite 8 - Legal Department, Little Falls, New Jersey 07424 (US).(72) Inventors: **CLAUS, Christina**; c/o Roche Glycart AG, Wagistrasse 10, 8952 Schlieren (CH). **FERRARA KOLLER, Claudia**; c/o Roche Glycart AG, Wagistrasse 10, 8952 Schlieren (CH). **KLEIN, Christian**; c/o Roche Glycart AG, Wagistrasse 10, 8952 Schlieren (CH). **SAM, Johannes**; c/o Roche Glycart AG, Wagistrasse 10, 8952 Schlieren (CH). **UMANA, Pablo**; c/o Roche Glycart AG, Wagistrasse 10, 8952 Schlieren (CH).(74) Agent: **KLOSTERMEYER-RAUBER, Doerte**; Grenzacherstrasse 124, 4070 Basel (CH).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JO, JP, KE, KG, KH, KN, KP, KR, KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,

(54) Title: COMBINATION THERAPY WITH TARGETED 4-1BB (CD137) AGONISTS

(57) Abstract: The present invention relates to combination therapies employing 4-1BB (CD137) agonists, in particular 4-1BBL trimer containing antigen binding molecules, in combination with HER-2 targeting agents, the use of these combination therapies for the treatment of 5 cancer, and methods of using the combination therapies.



WO 2019/175125 A1

## Combination therapy with targeted 4-1BB (CD137) agonists

### FIELD OF THE INVENTION

The present invention relates to combination therapies employing 4-1BB (CD137) agonists, in particular 4-1BBL trimer containing antigen binding molecules, and a HER-2 targeting agent, and the use of these combination therapies for the treatment of cancer and  
5 methods of using the combination therapies.

### BACKGROUND

Cancer is one of the leading causes of death worldwide. Despite advances in treatment options, prognosis of patients with advanced cancer remains poor. Consequently, there is a persisting and urgent medical need for optimal therapies to increase survival of cancer  
10 patients without causing unacceptable toxicity. Recent results from clinical trials have shown that immune therapies can extend the overall survival of cancer patients and lead to durable responses. Despite these promising results, current immune-based therapies are only effective in a proportion of patients and combination strategies are needed to improve therapeutic benefit.

15 The human epidermal growth factor receptor-2 (HER-2; ErbB2) is a receptor tyrosine kinase and a member of the epidermal growth factor receptor (EGFR) family of transmembrane receptors. HER-2 is overexpressed in a range of tumor types and it has been implicated in disease initiation and progression. It is associated with poor prognosis. For example, overexpression of HER-2 is observed in approximately 30% of human breast  
20 cancers and it is implicated in the aggressive growth and poor clinical outcomes associated with these tumors (Slamon et al (1987) Science 235:177-182).

The humanized anti-HER-2 monoclonal antibody trastuzumab (CAS 180288-69-1, HERCEPTIN®, huMAb4D5-8, rhuMAb HER2, Genentech) targets the extracellular domain of HER-2 (US 5677171; US 5821337; US 6054297; US 6165464; US 6339142; US 6407213;  
25 US 6639055; US 6719971; US 6800738; US 7074404; Coussens et al (1985) Science 230:1132-9; Slamon et al (1989) Science 244:707-12; Slamon et al (2001) New Engl. J. Med. 344:783-792). Trastuzumab has been shown to inhibit the proliferation of human tumor cells that overexpress HER-2 and is a mediator of antibody-dependent cellular cytotoxicity, ADCC (Hudziak et al (1989) Mol Cell Biol 9:1 165-72; Lewis et al (1993) Cancer Immunol

Immunother; 37:255-63; Baselga et al (1998) Cancer Res. 58:2825-2831; Hotaling et al (1996) [abstract]. Proc. Annual Meeting Am Assoc Cancer Res; 37:471; Pegram MD, et al (1997) [abstract]. Proc Am Assoc Cancer Res; 38:602; Sliwkowski et al (1999) Seminars in Oncology 26(4), Suppl 12:60- 70; Yarden Y. and Sliwkowski, M. (2001) Nature Reviews: Molecular Cell Biology, Macmillan Magazines, Ltd., Vol. 2:127-137).

HERCEPTIN® (trastuzumab, Genentech Inc.) was approved in 1998 for the treatment of patients with HER2-overexpressing metastatic breast cancers (Baselga et al, (1996) J. Clin. Oncol. 14:737-744). In 2006, the FDA approved HERCEPTIN® as part of a treatment regimen containing doxorubicin, cyclophosphamide and paclitaxel for the adjuvant treatment of patients with HER2-positive, node-positive breast cancer.

Trastuzumab-MCC-DM1 (T-DM1, trastuzumab emtansine, ado-trastuzumab emtansine, KADCYLA®), a novel antibody-drug conjugate (ADC) for the treatment of HER2-positive breast cancer, is composed of the cytotoxic agent DM1 (a thiol-containing maytansinoid anti-microtubule agent) conjugated to trastuzumab at lysine side chains via an MCC linker, with an average drug load (drug to antibody ratio) of about 3.5. After binding to HER2 expressed on tumor cells, T-DM1 undergoes receptor-mediated internalization, resulting in intracellular release of cytotoxic catabolites containing DM1 and subsequent cell death. The FDA approved ado-trastuzumab emtansine, marketed under the tradename KADCYLA®, in 2013 for the treatment of patients with HER2-positive, metastatic breast cancer who previously received treatment with trastuzumab and a taxane.

Pertuzumab (also known as recombinant humanized monoclonal antibody 2C4, rhuMab 2C4, PERJETA®, Genentech, Inc, South San Francisco) is another antibody treatment targeting HER-2. Pertuzumab is a HER dimerization inhibitor (HDI) and functions to inhibit the ability of HER2 to form active heterodimers or homodimers with other HER receptors (such as EGFR/HER1, HER2, HER3 and HER4). See, for example, Harari and Yarden Oncogene 19:6102-14 (2000); Yarden and Sliwkowski. Nat Rev Mol Cell Biol 2:127-37 (2001); Sliwkowski Nat Struct Biol 10:158-9 (2003); Cho et al. Nature 421:756-60 (2003); and Malik et al. Proc Am Soc Cancer Res 44:176-7 (2003); US 7560111. PERJETA®, was first approved in 2012 in combination with trastuzumab and docetaxel for the treatment of patients with advanced or late-stage (metastatic) HER2-positive breast cancer. The combination therapy using trastuzumab and pertuzumab is meanwhile also approved for the neoadjuvant (before surgery) treatment of HER2-positive, locally advanced, inflammatory, or early stage breast cancer and for adjuvant (after surgery) treatment of HER2-positive early breast cancer (EBC) at high risk of recurrence. The mechanisms of action of Perjeta and Herceptin are believed to complement each other, as both bind to the HER2 receptor, but to different places. The combination of Perjeta and Herceptin is thought to provide a more



comprehensive, dual blockade of HER signaling pathways, thus preventing tumor cell growth and survival.

Bispecific, bivalent HER-2 antibodies that are directed against domains II, III and IV of human ErbB2 are disclosed in WO 2012/143523. Bispecific HER-2 antibodies comprising  
5 optimized variants of the antibodies rhuMab 2C4 and hu4D5, called Herceptarg, have been described in WO 2015/091738.

Although the therapeutic efficacy of trastuzumab in breast carcinoma is well demonstrated, there are many patients who do not benefit from trastuzumab because of resistance. Given the lack of an effective anti-HER2 therapy in specific cancers expressing  
10 low levels of HER2, the resistance to the current therapies, and the prevalence of HER-2 related cancers, new therapies are required to treat such cancers.

4-1BB (CD137), a member of the TNF receptor superfamily, was first identified as an inducible molecule expressed by activated by T cells (Kwon and Weissman, 1989, Proc Natl Acad Sci USA 86, 1963-1967). Subsequent studies demonstrated that many other immune  
15 cells also express 4-1BB, including NK cells, B cells, NKT cells, monocytes, neutrophils, mast cells, dendritic cells (DCs) and cells of non-hematopoietic origin such as endothelial and smooth muscle cells (Vinay and Kwon, 2011, Cell Mol Immunol 8, 281-284). Expression of 4-1BB in different cell types is mostly inducible and driven by various stimulatory signals, such as T-cell receptor (TCR) or B-cell receptor triggering, as well as signaling induced  
20 through co-stimulatory molecules or receptors of pro-inflammatory cytokines (Diehl et al., 2002, J Immunol 168, 3755-3762; Zhang et al., 2010, Clin Cancer Res 13, 2758-2767).

4-1BB ligand (4-1BBL or CD137L) was identified in 1993 (Goodwin et al., 1993, Eur J Immunol 23, 2631-2641). It has been shown that expression of 4-1BBL was restricted on professional antigen presenting cells (APC) such as B-cells, DCs and macrophages. Inducible  
25 expression of 4-1BBL is characteristic for T-cells, including both  $\alpha\beta$  and  $\gamma\delta$  T-cell subsets, and endothelial cells (Shao and Schwarz, 2011, J Leukoc Biol 89, 21-29).

Co-stimulation through the 4-1BB receptor (for example by 4-1BBL ligation) activates multiple signaling cascades within the T cell (both CD4<sup>+</sup> and CD8<sup>+</sup> subsets), powerfully augmenting T cell activation (Bartkowiak and Curran, 2015). In combination with TCR  
30 triggering, agonistic 4-1BB-specific antibodies enhance proliferation of T-cells, stimulate lymphokine secretion and decrease sensitivity of T-lymphocytes to activation-induced cell death (Snell et al., 2011, Immunol Rev 244, 197-217). This mechanism was further advanced as the first proof of concept in cancer immunotherapy. In a preclinical model administration of an agonistic antibody against 4-1BB in tumor bearing mice led to potent anti-tumor effect  
35 (Melero et al., 1997, Nat Med 3, 682-685). Later, accumulating evidence indicated that 4-1BB usually exhibits its potency as an anti-tumor agent only when administered in combination

with other immunomodulatory compounds, chemotherapeutic reagents, tumor-specific vaccination or radiotherapy (Bartkowiak and Curran, 2015, *Front Oncol* 5, 117).

Signaling of the TNFR-superfamily needs cross-linking of the trimerized ligands to engage with the receptors, so does the 4-1BB agonistic antibodies which require wild type Fc-binding (Li and Ravetch, 2011, *Science* 333, 1030-1034). However, systemic administration of 4-1BB-specific agonistic antibodies with the functionally active Fc domain resulted in influx of CD8<sup>+</sup> T-cells associated with liver toxicity (Dubrot et al., 2010, *Cancer Immunol Immunother* 59, 1223-1233) that is diminished or significantly ameliorated in the absence of functional Fc-receptors in mice. In the clinic, an Fc-competent 4-1BB agonistic Ab (BMS-663513) (NCT00612664) caused a grade 4 hepatitis leading to termination of the trial (Simeone and Ascierto, 2012, *J Immunotoxicol* 9, 241-247). Therefore, there is a need for effective and safer 4-1BB agonists.

Fusion proteins composed of one extracellular domain of a 4-1BB ligand and a single chain antibody fragment (Hornig et al., 2012, *J Immunother* 35, 418-429; Müller et al., 2008, *J Immunother* 31, 714-722) or a single 4-1BB ligand fused to the C-terminus of a heavy chain (Zhang et al., 2007, *Clin Cancer Res* 13, 2758-2767) have been made. WO 2010/010051 discloses the generation of fusion proteins that consist of three TNF ligand ectodomains linked to each other and fused to an antibody part. In the present invention, antigen binding molecules composed of a trimeric and thus biologically active 4-1BB ligand and an antigen binding domain specific for the tumor-associated antigen and an Fc inactive domain, are shown particularly stable and robust. For tumor specific co-stimulation via 4-1BB (CD137) a molecule comprising an antigen binding domain targeting FAP in the tumor-stroma and a trimer of 4-1BB ligands is shown to be particularly useful, hereinafter named FAP-4-1BBL. The FAP antigen binding domain replaces the unspecific Fc $\gamma$ R-mediated crosslinking that is responsible for Fc-mediated toxicity in particular in the liver, by a FAP-targeted specific crosslinking, thus reducing the risk of toxicity.

We herein describe a novel combination therapy for tumors expressing HER-2.

## SUMMARY OF THE INVENTION

The present invention relates to 4-1BB (CD137) agonists, in particular 4-1BBL trimer containing antigen binding molecules, and their use in combination with HER-2 targeting therapy, in particular to their use in a method for treating or delaying progression of cancer. It has been found that the combination therapy described herein is more effective in inhibiting tumor growth and eliminating tumor cells than treatment with the 4-1BB agonists or known HER-2 targeting therapies alone.

In some aspects, the invention provides a 4-1BB (CD137) agonist for use in a method for treating or delaying progression of cancer, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen.

In some aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug conjugate. In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine.

10 In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2

15 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate, in particular trastuzumab emtansine (ado-trastuzumab emtansine).

20 In some aspects, the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof. In some aspects, the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO: 6, SEQ ID NO:7 and

25 SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.

In further aspects, the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen. In some aspects, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable

30 of specific binding to a tumor-associated antigen. In one aspect, the tumor-associated antigen selected from the group consisting of Fibroblast activation protein (FAP) and CEA.

In further aspects, the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain. In some aspects, the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or

35 more amino acid substitution that reduces binding to an Fc receptor and/or effector function. In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising a Fc domain with modifications reducing Fc $\gamma$  receptor binding and/or effector function. The

crosslinking by a tumor associated antigen makes it possible to avoid unspecific FcγR-mediated crosslinking and thus higher and more efficacious doses of the 4-1BB agonists may be administered in comparison to common 4-1BB antibodies.

In some aspects, the 4-1BB agonist is an antigen binding molecule comprising three  
 5 ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to Fibroblast activation protein (FAP). In some aspects, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises

- 10 (a) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and  
 15 (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or  
 (b) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid  
 20 sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising three  
 ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to  
 25 FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:22 or wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of  
 30 SEQ ID NO:24.

In some aspects, the 4-1BB agonist is an antigen binding molecule comprising  
 (a) at least one antigen binding domain capable of specific binding to FAP, and  
 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein  
 the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are  
 35 connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof. In some aspects, the 4-1BB agonist is an

antigen binding molecule comprising (a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44.

In some aspects, the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA. In some aspects, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises (a) a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence

of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising  
 (a) at least one antigen binding domain capable of specific binding to CEA, and  
 5 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

In some aspects, the 4-1BB agonist is an antigen binding molecule comprising  
 10 (a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising the amino acid sequence of SEQ ID NO:40, and  
 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises  
 15 the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

20 In a further aspect, the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

In other aspects, the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions. In further aspects, the 4-1BB agonist acts synergistically with the HER-2 targeting agent. In other aspects, the 4-1BB agonist is administered concurrently with, prior to,  
 25 or subsequently to the HER-2 targeting agent.

In further aspects, provided is a combination comprising a 4-1BB agonist and a HER-2 targeting agent. In one aspect, the combination is for use as a medicament, wherein the 4-1BB agonist and a HER-2 targeting agent are for simultaneous administration. In other aspects, the combination is for use as a medicament, wherein the 4-1BB agonist and a HER-2 targeting agent are for sequential administration.  
 30

In another aspect, there is provided a pharmaceutical product comprising (A) a first composition comprising as active ingredient a 4-1BB agonist and a pharmaceutically acceptable carrier; and (B) a second composition comprising as active ingredient a HER-2

targeting agent and a pharmaceutically acceptable carrier, for use in the combined, sequential or simultaneous, treatment of a disease, in particular cancer.

In a further aspect, there is provided a pharmaceutical composition comprising a 4-1BB agonist and a HER-2 targeting agent. In some aspects, the HER-2 targeting agent comprises  
5 trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent is a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In further aspects, there is provided the pharmaceutical composition for use in treating or delaying progression of cancer, in  
10 particular for the treatment of advanced and/or metastatic solid tumors.

In a further aspect, the invention relates to the use of a combination of a 4-1BB agonist and a HER-2 targeting agent in the manufacture of a medicament for treating or delaying progression of a proliferative disease, in particular cancer. In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some  
15 aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent is a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine.

In another aspect, the invention provides a method for treating or delaying progression of cancer in a subject comprising administering to the subject an effective amount of a 4-1BB agonist and an effective amount of a HER-2 targeting agent. In some aspects, the HER-2  
20 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent is a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine.

In some aspects, the invention relates to a method for treating or delaying progression of cancer in a subject, comprising administering to the subject an effective amount of a 4-1BB agonist and an effective amount of a HER-2 targeting agent, wherein the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof. In some aspects, the 4-1BB agonist is any 4-1BB agonist provided herein. In some aspects, the 4-1BB agonist and the  
25 HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions. In some aspects, the 4-1BB agonist and the HER-2 targeting agent are administered intravenously or subcutaneously. In some aspects, the 4-1BB agonist is administered concurrently with, prior to, or subsequently to the HER-2 targeting agent.  
30

In further aspects, the invention relates to a 4-1BB agonist in combination with a HER-2 targeting agent or pharmaceutical composition for use in treating or delaying progression of cancer, a use of a combination of a 4-1BB agonist and a HER-2 targeting agent in the manufacture of a medicament for treating or delaying progression of a proliferative disease, in particular cancer, or a method for treating or delaying progression of cancer in a subject, wherein the cancer is a HER-2 positive cancer. In some aspects, the cancer is breast cancer, ovarian cancer, stomach cancer, gastric cancer, oesophageal cancer, lung cancer, uterine cancer, salivary duct carcinoma, bladder cancer, endometrial cancer, pancreatic cancer, colon cancer, prostate cancer, and/or head and neck cancer.

## BRIEF DESCRIPTION OF THE DRAWINGS

**Figure 1** shows particular FAP-4-1BBL antigen binding molecules. These molecules are described in more detail in Example 1. The thick black point stands for the knob-into-hole modification. Figure 1A shows a monovalent FAP 4-1BBL-trimer containing antigen binding molecule with modifications in the CH1 and CL domain adjacent to the 4-1BBL dimer and 4-1BBL monomer. As it comprised the FAP binder 4B9, it was named mono FAP(4B9)-4-1BBL herein. Figure 1B shows the bivalent construct with binder FAP(4B9), termed bi FAP(4B9)-4-1BBL. Figures 1C and 1D show untargeted control molecules (the FAP binder has been replaced by a non-binding DP47 Fab).

**Figures 2A and 2B** show the simultaneous binding of hybrid surrogate FAP-mu4-1BBL (Analyte 1) to immobilized murine 4-1BB and human FAP or murine FAP (Analyte 2), respectively. In **Figure 2C** is shown the simultaneous binding of murine bispecific FAP-4-1BB antibody muFAP-4-1BB (Analyte 1) to immobilized murine 4-1BB and murine FAP (Analyte 2).

**Figure 3** shows the pharmacokinetic profile of muFAP-4-1BB after single injection in C57BL/6 mice as described in Example 4. A stable PK-behavior was observed which suggests that the compound can be administered in a once weekly schedule.

**Figures 4A and 4B** show the treatment schedule and treatment groups of huCD16TgScid mice as described in Example 5.

**Figure 5A** shows the tumor growth kinetics (Mean +/- SEM) as observed in mice treated with trastuzumab alone, hybrid FAP-mu4-1BBL alone, or with the combination of both. The individual tumor growth kinetics of each animal for all treatment groups are shown in **Figures 5B** (control group), **5C** (treatment with trastuzumab alone), **5D** (treatment with FAP-mu4-1BBL alone) and **5E** (treatment with the combination). The number of tumor-free mice at study termination is indicated.



## DETAILED DESCRIPTION OF THE INVENTION

### Definitions

Unless defined otherwise, technical and scientific terms used herein have the same meaning as generally used in the art to which this invention belongs. For purposes of  
5 interpreting this specification, the following definitions will apply and whenever appropriate, terms used in the singular will also include the plural and vice versa.

As used herein, the term "**antigen binding molecule**" refers in its broadest sense to a molecule that specifically binds an antigenic determinant. Examples of antigen binding molecules are antibodies, antibody fragments and scaffold antigen binding proteins.

10 The term "**antibody**" herein is used in the broadest sense and encompasses various antibody structures, including but not limited to monoclonal antibodies, polyclonal antibodies, monospecific and multispecific antibodies (e.g., bispecific antibodies), and antibody fragments so long as they exhibit the desired antigen-binding activity.

The term "**monoclonal antibody**" as used herein refers to an antibody obtained from a  
15 population of substantially homogeneous antibodies, i.e., the individual antibodies comprising the population are identical and/or bind the same epitope, except for possible variant antibodies, e.g. containing naturally occurring mutations or arising during production of a monoclonal antibody preparation, such variants generally being present in minor amounts. In contrast to polyclonal antibody preparations, which typically include different antibodies  
20 directed against different determinants (epitopes), each monoclonal antibody of a monoclonal antibody preparation is directed against a single determinant on an antigen.

The term "**monospecific**" antibody as used herein denotes an antibody that has one or more binding sites each of which bind to the same epitope of the same antigen. The term "**bispecific**" means that the antigen binding molecule is able to specifically bind to at least  
25 two distinct antigenic determinants. Typically, a bispecific antigen binding molecule comprises two antigen binding sites, each of which is specific for a different antigenic determinant. In certain embodiments the bispecific antigen binding molecule is capable of simultaneously binding two antigenic determinants, particularly two antigenic determinants expressed on two distinct cells.

30 The term "**valent**" as used within the current application denotes the presence of a specified number of binding sites in an antigen binding molecule. As such, the terms "bivalent", "tetravalent", and "hexavalent" denote the presence of two binding sites, four binding sites, and six binding sites, respectively, in an antigen binding molecule.

The terms “full length antibody”, “intact antibody”, and “whole antibody” are used herein interchangeably to refer to an antibody having a structure substantially similar to a native antibody structure. “**Native antibodies**” refer to naturally occurring immunoglobulin molecules with varying structures. For example, native IgG-class antibodies are

5 heterotetrameric glycoproteins of about 150,000 daltons, composed of two light chains and two heavy chains that are disulfide-bonded. From N- to C-terminus, each heavy chain has a variable region (VH), also called a variable heavy domain or a heavy chain variable domain, followed by three constant domains (CH1, CH2, and CH3), also called a heavy chain constant region. Similarly, from N- to C-terminus, each light chain has a variable region (VL), also

10 called a variable light domain or a light chain variable domain, followed by a light chain constant domain (CL), also called a light chain constant region. The heavy chain of an antibody may be assigned to one of five types, called  $\alpha$  (IgA),  $\delta$  (IgD),  $\epsilon$  (IgE),  $\gamma$  (IgG), or  $\mu$  (IgM), some of which may be further divided into subtypes, e.g.  $\gamma 1$  (IgG1),  $\gamma 2$  (IgG2),  $\gamma 3$  (IgG3),  $\gamma 4$  (IgG4),  $\alpha 1$  (IgA1) and  $\alpha 2$  (IgA2). The light chain of an antibody may be assigned

15 to one of two types, called kappa ( $\kappa$ ) and lambda ( $\lambda$ ), based on the amino acid sequence of its constant domain.

An “**antibody fragment**” refers to a molecule other than an intact antibody that comprises a portion of an intact antibody that binds the antigen to which the intact antibody binds. Examples of antibody fragments include but are not limited to Fv, Fab, Fab', Fab'-SH,

20 F(ab')<sub>2</sub>; diabodies, triabodies, tetrabodies, cross-Fab fragments; linear antibodies; single-chain antibody molecules (e.g. scFv); and single domain antibodies. For a review of certain antibody fragments, see Hudson et al., Nat Med 9, 129-134 (2003). For a review of scFv fragments, see e.g. Plückthun, in The Pharmacology of Monoclonal Antibodies, vol. 113, Rosenberg and Moore eds., Springer-Verlag, New York, pp. 269-315 (1994); see also WO

25 93/16185; and U.S. Patent Nos. 5,571,894 and 5,587,458. For discussion of Fab and F(ab')<sub>2</sub> fragments comprising salvage receptor binding epitope residues and having increased in vivo half-life, see U.S. Patent No. 5,869,046. Diabodies are antibody fragments with two antigen-binding sites that may be bivalent or bispecific, see, for example, EP 404,097; WO 1993/01161; Hudson et al., Nat Med 9, 129-134 (2003); and Hollinger et al., Proc Natl Acad

30 Sci USA 90, 6444-6448 (1993). Triabodies and tetrabodies are also described in Hudson et al., Nat Med 9, 129-134 (2003). Single-domain antibodies are antibody fragments comprising all or a portion of the heavy chain variable domain or all or a portion of the light chain variable domain of an antibody. In certain embodiments, a single-domain antibody is a human single-domain antibody (Domantis, Inc., Waltham, MA; see e.g. U.S. Patent No. 6,248,516 B1).

35 Antibody fragments can be made by various techniques, including but not limited to proteolytic digestion of an intact antibody as well as production by recombinant host cells (e.g. E. coli or phage), as described herein.

Papain digestion of intact antibodies produces two identical antigen-binding fragments, called “Fab” fragments containing each the heavy- and light-chain variable domains and also the constant domain of the light chain and the first constant domain (CH1) of the heavy chain. As used herein, Thus, the term “**Fab fragment**” refers to an antibody fragment comprising a  
 5 light chain fragment comprising a VL domain and a constant domain of a light chain (CL), and a VH domain and a first constant domain (CH1) of a heavy chain. Fab’ fragments differ from Fab fragments by the addition of a few residues at the carboxy terminus of the heavy chain CH1 domain including one or more cysteins from the antibody hinge region. Fab’-SH are Fab’ fragments in which the cysteine residue(s) of the constant domains bear a free thiol  
 10 group. Pepsin treatment yields an F(ab’)<sub>2</sub> fragment that has two antigen-combining sites (two Fab fragments) and a part of the Fc region.

The term “**cross-Fab fragment**” or “xFab fragment” or “crossover Fab fragment” refers to a Fab fragment, wherein either the variable regions or the constant regions of the heavy and light chain are exchanged. Two different chain compositions of a crossover Fab molecule are  
 15 possible and comprised in the bispecific antibodies of the invention: On the one hand, the variable regions of the Fab heavy and light chain are exchanged, i.e. the crossover Fab molecule comprises a peptide chain composed of the light chain variable region (VL) and the heavy chain constant region (CH1), and a peptide chain composed of the heavy chain variable region (VH) and the light chain constant region (CL). This crossover Fab molecule is also  
 20 referred to as CrossFab<sub>(VLVH)</sub>. On the other hand, when the constant regions of the Fab heavy and light chain are exchanged, the crossover Fab molecule comprises a peptide chain composed of the heavy chain variable region (VH) and the light chain constant region (CL), and a peptide chain composed of the light chain variable region (VL) and the heavy chain constant region (CH1). This crossover Fab molecule is also referred to as CrossFab<sub>(CLCH1)</sub>.

25 A “single chain Fab fragment” or “**scFab**” is a polypeptide consisting of an antibody heavy chain variable domain (VH), an antibody constant domain 1 (CH1), an antibody light chain variable domain (VL), an antibody light chain constant domain (CL) and a linker, wherein said antibody domains and said linker have one of the following orders in N-terminal to C-terminal direction: a) VH-CH1-linker-VL-CL, b) VL-CL-linker-VH-CH1, c) VH-CL-  
 30 linker-VL-CH1 or d) VL-CH1-linker-VH-CL; and wherein said linker is a polypeptide of at least 30 amino acids, preferably between 32 and 50 amino acids. Said single chain Fab fragments are stabilized via the natural disulfide bond between the CL domain and the CH1 domain. In addition, these single chain Fab molecules might be further stabilized by generation of interchain disulfide bonds via insertion of cysteine residues (e.g. position 44 in  
 35 the variable heavy chain and position 100 in the variable light chain according to Kabat numbering).

A “crossover single chain Fab fragment” or “**x-scFab**” is a polypeptide consisting of an antibody heavy chain variable domain (V<sub>H</sub>), an antibody constant domain 1 (CH1), an antibody light chain variable domain (V<sub>L</sub>), an antibody light chain constant domain (CL) and a linker, wherein said antibody domains and said linker have one of the following orders in N-terminal to C-terminal direction: a) V<sub>H</sub>-CL-linker-V<sub>L</sub>-CH1 and b) V<sub>L</sub>-CH1-linker-V<sub>H</sub>-CL; wherein V<sub>H</sub> and V<sub>L</sub> form together an antigen-binding site which binds specifically to an antigen and wherein said linker is a polypeptide of at least 30 amino acids. In addition, these x-scFab molecules might be further stabilized by generation of interchain disulfide bonds via insertion of cysteine residues (e.g. position 44 in the variable heavy chain and position 100 in the variable light chain according to Kabat numbering).

A “**single-chain variable fragment (scFv)**” is a fusion protein of the variable regions of the heavy (V<sub>H</sub>) and light chains (V<sub>L</sub>) of an antibody, connected with a short linker peptide of ten to about 25 amino acids. The linker is usually rich in glycine for flexibility, as well as serine or threonine for solubility, and can either connect the N-terminus of the V<sub>H</sub> with the C-terminus of the V<sub>L</sub>, or *vice versa*. This protein retains the specificity of the original antibody, despite removal of the constant regions and the introduction of the linker. scFv antibodies are, e.g. described in Houston, J.S., Methods in Enzymol. 203 (1991) 46-96). In addition, antibody fragments comprise single chain polypeptides having the characteristics of a V<sub>H</sub> domain, namely being able to assemble together with a V<sub>L</sub> domain, or of a V<sub>L</sub> domain, namely being able to assemble together with a V<sub>H</sub> domain to a functional antigen binding site and thereby providing the antigen binding property of full length antibodies.

“**Scaffold antigen binding proteins**” are known in the art, for example, fibronectin and designed ankyrin repeat proteins (DARPin) have been used as alternative scaffolds for antigen-binding domains, see, e.g., Gebauer and Skerra, Engineered protein scaffolds as next-generation antibody therapeutics. Curr Opin Chem Biol 13:245-255 (2009) and Stumpp et al., Darpins: A new generation of protein therapeutics. Drug Discovery Today 13: 695-701 (2008). In one aspect of the invention, a scaffold antigen binding protein is selected from the group consisting of CTLA-4 (Evibody), Lipocalins (Anticalin), a Protein A-derived molecule such as Z-domain of Protein A (Affibody), an A-domain (Avimer/Maxibody), a serum transferrin (*trans*-body); a designed ankyrin repeat protein (DARPin), a variable domain of antibody light chain or heavy chain (single-domain antibody, sdAb), a variable domain of antibody heavy chain (nanobody, aVH), V<sub>NAR</sub> fragments, a fibronectin (AdNectin), a C-type lectin domain (Tetranectin); a variable domain of a new antigen receptor beta-lactamase (V<sub>NAR</sub> fragments), a human gamma-crystallin or ubiquitin (Affilin molecules); a kunitz type domain of human protease inhibitors, microbodies such as the proteins from the knottin family, peptide aptamers and fibronectin (adnectin).

Lipocalins are a family of extracellular proteins which transport small hydrophobic molecules such as steroids, bilins, retinoids and lipids. They have a rigid beta-sheet secondary structure with a number of loops at the open end of the conical structure which can be engineered to bind to different target antigens. Anticalins are between 160-180 amino acids in size, and are derived from lipocalins. For further details see Biochim Biophys Acta 1482: 337-350 (2000), US7250297B1 and US20070224633.

Designed Ankyrin Repeat Proteins (DARPs) are derived from Ankyrin which is a family of proteins that mediate attachment of integral membrane proteins to the cytoskeleton. A single ankyrin repeat is a 33 residue motif consisting of two alpha-helices and a beta-turn. They can be engineered to bind different target antigens by randomizing residues in the first alpha-helix and a beta-turn of each repeat. Their binding interface can be increased by increasing the number of modules (a method of affinity maturation). For further details see J. Mol. Biol. 332, 489-503 (2003), PNAS 100(4), 1700-1705 (2003) and J. Mol. Biol. 369, 1015-1028 (2007) and US20040132028A1.

A single-domain antibody is an antibody fragment consisting of a single monomeric variable antibody domain. The first single domains were derived from the variable domain of the antibody heavy chain from camelids (nanobodies or V<sub>H</sub>H fragments). Furthermore, the term single-domain antibody includes an autonomous human heavy chain variable domain (aVH) or V<sub>NAR</sub> fragments derived from sharks.

An “**antigen binding molecule that binds to the same epitope**” as a reference molecule refers to an antigen binding molecule that blocks binding of the reference molecule to its antigen in a competition assay by 50% or more, and conversely, the reference molecule blocks binding of the antigen binding molecule to its antigen in a competition assay by 50% or more.

The term “**antigen binding domain**” refers to the part of an antigen binding molecule that comprises the area which specifically binds to and is complementary to part or all of an antigen. Where an antigen is large, an antigen binding molecule may only bind to a particular part of the antigen, which part is termed an epitope. An antigen binding domain may be provided by, for example, one or more variable domains (also called variable regions). Preferably, an antigen binding domain comprises an antibody light chain variable region (VL) and an antibody heavy chain variable region (VH).

As used herein, the term “**antigenic determinant**” is synonymous with “antigen” and “epitope,” and refers to a site (e.g. a contiguous stretch of amino acids or a conformational configuration made up of different regions of non-contiguous amino acids) on a polypeptide macromolecule to which an antigen binding moiety binds, forming an antigen binding moiety-antigen complex. Useful antigenic determinants can be found, for example, on the surfaces of

tumor cells, on the surfaces of virus-infected cells, on the surfaces of other diseased cells, on the surface of immune cells, free in blood serum, and/or in the extracellular matrix (ECM). The proteins useful as antigens herein can be any native form the proteins from any vertebrate source, including mammals such as primates (e.g. humans) and rodents (e.g. mice and rats),  
 5 unless otherwise indicated. In a particular embodiment the antigen is a human protein. Where reference is made to a specific protein herein, the term encompasses the “full-length”, unprocessed protein as well as any form of the protein that results from processing in the cell. The term also encompasses naturally occurring variants of the protein, e.g. splice variants or allelic variants.

10 By “**specific binding**” is meant that the binding is selective for the antigen and can be discriminated from unwanted or non-specific interactions. The ability of an antigen binding molecule to bind to a specific antigen can be measured either through an enzyme-linked immunosorbent assay (ELISA) or other techniques familiar to one of skill in the art, e.g. Surface Plasmon Resonance (SPR) technique (analyzed on a BIAcore instrument) (Liljeblad  
 15 et al., Glyco J 17, 323-329 (2000)), and traditional binding assays (Heeley, Endocr Res 28, 217-229 (2002)). In one embodiment, the extent of binding of an antigen binding molecule to an unrelated protein is less than about 10% of the binding of the antigen binding molecule to the antigen as measured, e.g. by SPR. In certain embodiments, a molecule that binds to the antigen has a dissociation constant (Kd) of  $\leq 1 \mu\text{M}$ ,  $\leq 100 \text{ nM}$ ,  $\leq 10 \text{ nM}$ ,  $\leq 1 \text{ nM}$ ,  $\leq 0.1 \text{ nM}$ ,  $\leq$   
 20  $0.01 \text{ nM}$ , or  $\leq 0.001 \text{ nM}$  (e.g.  $10^{-8} \text{ M}$  or less, e.g. from  $10^{-8} \text{ M}$  to  $10^{-13} \text{ M}$ , e.g. from  $10^{-9} \text{ M}$  to  $10^{-13} \text{ M}$ ).

“**Affinity**” or “binding affinity” refers to the strength of the sum total of non-covalent interactions between a single binding site of a molecule (e.g. an antibody) and its binding partner (e.g. an antigen). Unless indicated otherwise, as used herein, “binding affinity” refers  
 25 to intrinsic binding affinity which reflects a 1:1 interaction between members of a binding pair (e.g. antibody and antigen). The affinity of a molecule X for its partner Y can generally be represented by the dissociation constant (Kd), which is the ratio of dissociation and association rate constants (koff and kon, respectively). Thus, equivalent affinities may comprise different rate constants, as long as the ratio of the rate constants remains the same.  
 30 Affinity can be measured by common methods known in the art, including those described herein. A particular method for measuring affinity is Surface Plasmon Resonance (SPR).

The term “**tumor-associated antigen**” means any antigen that is highly expressed by tumor cells or in the tumor stroma. Particular tumor-associated antigens are CEA or FAP.

The term “**Fibroblast activation protein (FAP)**”, also known as Prolyl endopeptidase  
 35 FAP or Seprase (EC 3.4.21), refers to any native FAP from any vertebrate source, including mammals such as primates (e.g. humans) non-human primates (e.g. cynomolgus monkeys)

and rodents (e.g. mice and rats), unless otherwise indicated. The term encompasses “full-length,” unprocessed FAP as well as any form of FAP which results from processing in the cell. The term also encompasses naturally occurring variants of FAP, e.g., splice variants or allelic variants. In one embodiment, the antigen binding molecule of the invention is capable of specific binding to human, mouse and/or cynomolgus FAP. The amino acid sequence of human FAP is shown in UniProt ([www.uniprot.org](http://www.uniprot.org)) accession no. Q12884 (version 149, SEQ ID NO:56), or NCBI ([www.ncbi.nlm.nih.gov/](http://www.ncbi.nlm.nih.gov/)) RefSeq NP\_004451.2. The extracellular domain (ECD) of human FAP extends from amino acid position 26 to 760. The amino acid sequence of a His-tagged human FAP ECD is shown in SEQ ID NO: 81. The amino acid sequence of mouse FAP is shown in UniProt accession no. P97321 (version 126, SEQ ID NO:58), or NCBI RefSeq NP\_032012.1. The extracellular domain (ECD) of mouse FAP extends from amino acid position 26 to 761. SEQ ID NO: 83 shows the amino acid sequence of a His-tagged mouse FAP ECD. SEQ ID NO: 84 shows the amino acid sequence of a His-tagged cynomolgus FAP ECD. Preferably, an anti-FAP binding molecule of the invention binds to the extracellular domain of FAP. Exemplary anti-FAP binding molecules are described in International Patent Application No. WO 2012/020006 A2.

The term “**Carcinoembryonic antigen (CEA)**”, also known as Carcinoembryonic antigen-related cell adhesion molecule 5 (CEACAM5), refers to any native CEA from any vertebrate source, including mammals such as primates (e.g. humans) non-human primates (e.g. cynomolgus monkeys) and rodents (e.g. mice and rats), unless otherwise indicated. The amino acid sequence of human CEA is shown in UniProt accession no. P06731 (version 151, SEQ ID NO:61). CEA has long been identified as a tumor-associated antigen (Gold and Freedman, *J Exp Med.*, 121:439-462, 1965; Berinstein N. L., *J Clin Oncol.*, 20:2197-2207, 2002). Originally classified as a protein expressed only in fetal tissue, CEA has now been identified in several normal adult tissues. These tissues are primarily epithelial in origin, including cells of the gastrointestinal, respiratory, and urogenital tracts, and cells of colon, cervix, sweat glands, and prostate (Nap et al., *Tumour Biol.*, 9(2-3):145-53, 1988; Nap et al., *Cancer Res.*, 52(8):2329-23339, 1992). Tumors of epithelial origin, as well as their metastases, contain CEA as a tumor associated antigen. While the presence of CEA itself does not indicate transformation to a cancerous cell, the distribution of CEA is indicative. In normal tissue, CEA is generally expressed on the apical surface of the cell (Hammarström S., *Semin Cancer Biol.* 9(2):67-81 (1999)), making it inaccessible to antibody in the blood stream. In contrast to normal tissue, CEA tends to be expressed over the entire surface of cancerous cells (Hammarström S., *Semin Cancer Biol.* 9(2):67-81 (1999)). This change of expression pattern makes CEA accessible to antibody binding in cancerous cells. In addition, CEA expression increases in cancerous cells. Furthermore, increased CEA expression promotes increased intercellular adhesions, which may lead to metastasis (Marshall J., *Semin Oncol.*, 30(a Suppl. 8):30-6, 2003). The prevalence of CEA expression in various tumor entities is generally very

high. In concordance with published data, own analyses performed in tissue samples confirmed its high prevalence, with approximately 95% in colorectal carcinoma (CRC), 90% in pancreatic cancer, 80% in gastric cancer, 60% in non-small cell lung cancer (NSCLC, where it is co-expressed with HER3), and 40% in breast cancer; low expression was found in small cell lung cancer and glioblastoma.

CEA is readily cleaved from the cell surface and shed into the blood stream from tumors, either directly or via the lymphatics. Because of this property, the level of serum CEA has been used as a clinical marker for diagnosis of cancers and screening for recurrence of cancers, particularly colorectal cancer (Goldenberg D M., The International Journal of Biological Markers, 7:183-188, 1992; Chau I., et al., J Clin Oncol., 22:1420-1429, 2004; Flamini et al., Clin Cancer Res; 12(23):6985-6988, 2006).

A “**T-cell antigen**” as used herein refers to an antigenic determinant presented on the surface of a T lymphocyte, particularly a cytotoxic T lymphocyte.

A “**T cell activating therapeutic agent**” as used herein refers to a therapeutic agent capable of inducing T cell activation in a subject, particularly a therapeutic agent designed for inducing T-cell activation in a subject.

An “**activating T cell antigen**” as used herein refers to an antigenic determinant expressed by a T lymphocyte, particularly a cytotoxic T lymphocyte, which is capable of inducing or enhancing T cell activation upon interaction with an antigen binding molecule. Specifically, interaction of an antigen binding molecule with an activating T cell antigen may induce T cell activation by triggering the signaling cascade of the T cell receptor complex. An exemplary activating T cell antigen is CD3.

The term “**variable region**” or “variable domain” refers to the domain of an antibody heavy or light chain that is involved in binding the antigen binding molecule to antigen. The variable domains of the heavy chain and light chain (VH and VL, respectively) of a native antibody generally have similar structures, with each domain comprising four conserved framework regions (FRs) and three hypervariable regions (HVRs). See, e.g., Kindt et al., Kuby Immunology, 6th ed., W.H. Freeman and Co., page 91 (2007). A single VH or VL domain may be sufficient to confer antigen-binding specificity.

The term “**hypervariable region**” or “HVR,” as used herein refers to each of the regions of an antibody variable domain which are hypervariable in sequence and/or form structurally defined loops (“hypervariable loops”). Generally, native four-chain antibodies comprise six HVRs; three in the VH (H1, H2, H3), and three in the VL (L1, L2, L3). HVRs generally comprise amino acid residues from the hypervariable loops and/or from the “complementarity determining regions” (CDRs), the latter being of highest sequence



variability and/or involved in antigen recognition. Exemplary hypervariable loops occur at amino acid residues 26-32 (L1), 50-52 (L2), 91-96 (L3), 26-32 (H1), 53-55 (H2), and 96-101 (H3). (Chothia and Lesk, *J. Mol. Biol.* 196:901-917 (1987).) Exemplary CDRs (CDR-L1, CDR-L2, CDR-L3, CDR-H1, CDR-H2, and CDR-H3) occur at amino acid residues 24-34 of L1, 50-56 of L2, 89-97 of L3, 31-35B of H1, 50-65 of H2, and 95-102 of H3. (Kabat et al., *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD (1991).) Hypervariable regions (HVRs) are also referred to as complementarity determining regions (CDRs), and these terms are used herein interchangeably in reference to portions of the variable region that form the antigen binding regions. This particular region has been described by Kabat et al., U.S. Dept. of Health and Human Services, "Sequences of Proteins of Immunological Interest" (1983) and by Chothia *et al.*, *J. Mol. Biol.* 196:901-917 (1987), where the definitions include overlapping or subsets of amino acid residues when compared against each other. Nevertheless, application of either definition to refer to a CDR of an antibody or variants thereof is intended to be within the scope of the term as defined and used herein. The appropriate amino acid residues which encompass the CDRs as defined by each of the above cited references are set forth below in Table B as a comparison. The exact residue numbers which encompass a particular CDR will vary depending on the sequence and size of the CDR. Those skilled in the art can routinely determine which residues comprise a particular CDR given the variable region amino acid sequence of the antibody.

**TABLE A. CDR Definitions<sup>1</sup>**

| <b>CDR</b>          | <b>Kabat</b> | <b>Chothia</b> | <b>AbM<sup>2</sup></b> |
|---------------------|--------------|----------------|------------------------|
| V <sub>H</sub> CDR1 | 31-35        | 26-32          | 26-35                  |
| V <sub>H</sub> CDR2 | 50-65        | 52-58          | 50-58                  |
| V <sub>H</sub> CDR3 | 95-102       | 95-102         | 95-102                 |
| V <sub>L</sub> CDR1 | 24-34        | 26-32          | 24-34                  |
| V <sub>L</sub> CDR2 | 50-56        | 50-52          | 50-56                  |
| V <sub>L</sub> CDR3 | 89-97        | 91-96          | 89-97                  |

<sup>1</sup> Numbering of all CDR definitions in Table A is according to the numbering conventions set forth by Kabat et al. (see below).

<sup>2</sup> "AbM" with a lowercase "b" as used in Table A refers to the CDRs as defined by Oxford Molecular's "AbM" antibody modeling software.

Kabat *et al.* also defined a numbering system for variable region sequences that is applicable to any antibody. One of ordinary skill in the art can unambiguously assign this system of "Kabat numbering" to any variable region sequence, without reliance on any experimental data beyond the sequence itself. As used herein, "Kabat numbering" refers to the numbering system set forth by Kabat et al., U.S. Dept. of Health and Human Services,

"Sequence of Proteins of Immunological Interest" (1983). Unless otherwise specified, references to the numbering of specific amino acid residue positions in an antibody variable region are according to the Kabat numbering system.

With the exception of CDR1 in VH, CDRs generally comprise the amino acid residues that form the hypervariable loops. CDRs also comprise "specificity determining residues," or "SDRs," which are residues that contact antigen. SDRs are contained within regions of the CDRs called abbreviated-CDRs, or a-CDRs. Exemplary a-CDRs (a-CDR-L1, a-CDR-L2, a-CDR-L3, a-CDR-H1, a-CDR-H2, and a-CDR-H3) occur at amino acid residues 31-34 of L1, 50-55 of L2, 89-96 of L3, 31-35B of H1, 50-58 of H2, and 95-102 of H3. (See Almagro and Fransson, *Front. Biosci.* 13:1619-1633 (2008).) Unless otherwise indicated, HVR residues and other residues in the variable domain (e.g., FR residues) are numbered herein according to Kabat et al., *supra*.

As used herein, the term "**affinity matured**" in the context of antigen binding molecules (e.g., antibodies) refers to an antigen binding molecule that is derived from a reference antigen binding molecule, e.g., by mutation, binds to the same antigen, preferably binds to the same epitope, as the reference antibody; and has a higher affinity for the antigen than that of the reference antigen binding molecule. Affinity maturation generally involves modification of one or more amino acid residues in one or more CDRs of the antigen binding molecule. Typically, the affinity matured antigen binding molecule binds to the same epitope as the initial reference antigen binding molecule.

"**Framework**" or "FR" refers to variable domain residues other than hypervariable region (HVR) residues. The FR of a variable domain generally consists of four FR domains: FR1, FR2, FR3, and FR4. Accordingly, the HVR and FR sequences generally appear in the following sequence in VH (or VL): FR1-H1(L1)-FR2-H2(L2)-FR3-H3(L3)-FR4.

An "**acceptor human framework**" for the purposes herein is a framework comprising the amino acid sequence of a light chain variable domain (VL) framework or a heavy chain variable domain (VH) framework derived from a human immunoglobulin framework or a human consensus framework, as defined below. An acceptor human framework "derived from" a human immunoglobulin framework or a human consensus framework may comprise the same amino acid sequence thereof, or it may contain amino acid sequence changes. In some embodiments, the number of amino acid changes are 10 or less, 9 or less, 8 or less, 7 or less, 6 or less, 5 or less, 4 or less, 3 or less, or 2 or less. In some embodiments, the VL acceptor human framework is identical in sequence to the VL human immunoglobulin framework sequence or human consensus framework sequence.

The term "**chimeric**" antibody refers to an antibody in which a portion of the heavy and/or light chain is derived from a particular source or species, while the remainder of the heavy and/or light chain is derived from a different source or species.

The "**class**" of an antibody refers to the type of constant domain or constant region possessed by its heavy chain. There are five major classes of antibodies: IgA, IgD, IgE, IgG, and IgM, and several of these may be further divided into subclasses (isotypes), e.g. IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub>, IgG<sub>4</sub>, IgA<sub>1</sub>, and IgA<sub>2</sub>. The heavy chain constant domains that correspond to the different classes of immunoglobulins are called  $\alpha$ ,  $\delta$ ,  $\epsilon$ ,  $\gamma$ , and  $\mu$  respectively..

A "**humanized**" antibody refers to a chimeric antibody comprising amino acid residues from non-human HVRs and amino acid residues from human FRs. In certain embodiments, a humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the HVRs (e.g., CDRs) correspond to those of a non-human antibody, and all or substantially all of the FRs correspond to those of a human antibody. A humanized antibody optionally may comprise at least a portion of an antibody constant region derived from a human antibody. A "**humanized form**" of an antibody, e.g., a non-human antibody, refers to an antibody that has undergone humanization. Other forms of "humanized antibodies" encompassed by the present invention are those in which the constant region has been additionally modified or changed from that of the original antibody to generate the properties according to the invention, especially in regard to C1q binding and/or Fc receptor (FcR) binding.

A "**human**" antibody is one which possesses an amino acid sequence which corresponds to that of an antibody produced by a human or a human cell or derived from a non-human source that utilizes human antibody repertoires or other human antibody-encoding sequences. This definition of a human antibody specifically excludes a humanized antibody comprising non-human antigen-binding residues.

The term "Fc domain" or "**Fc region**" herein is used to define a C-terminal region of an antibody heavy chain that contains at least a portion of the constant region. The term includes native sequence Fc regions and variant Fc regions. An IgG Fc region comprises an IgG CH2 and an IgG CH3 domain. The "CH2 domain" of a human IgG Fc region usually extends from an amino acid residue at about position 231 to an amino acid residue at about position 340. In one embodiment, a carbohydrate chain is attached to the CH2 domain. The CH2 domain herein may be a native sequence CH2 domain or variant CH2 domain. The "CH3 domain" comprises the stretch of residues C-terminal to a CH2 domain in an Fc region (i.e. from an amino acid residue at about position 341 to an amino acid residue at about position 447 of an IgG). The CH3 region herein may be a native sequence CH3 domain or a variant CH3 domain (e.g. a CH3 domain with an introduced "protuberance" ("knob") in one chain thereof and a

corresponding introduced “cavity” (“hole”) in the other chain thereof; see US Patent No. 5,821,333, expressly incorporated herein by reference). Such variant CH3 domains may be used to promote heterodimerization of two non-identical antibody heavy chains as herein described. In one embodiment, a human IgG heavy chain Fc region extends from Cys226, or  
5 from Pro230, to the carboxyl-terminus of the heavy chain. However, the C-terminal lysine (Lys447) of the Fc region may or may not be present. Unless otherwise specified herein, numbering of amino acid residues in the Fc region or constant region is according to the EU numbering system, also called the EU index, as described in Kabat et al., Sequences of Proteins of Immunological Interest, 5th Ed. Public Health Service, National Institutes of  
10 Health, Bethesda, MD, 1991.

The “**knob-into-hole**” technology is described e.g. in US 5,731,168; US 7,695,936; Ridgway et al., Prot Eng 9, 617-621 (1996) and Carter, J Immunol Meth 248, 7-15 (2001). Generally, the method involves introducing a protuberance (“knob”) at the interface of a first polypeptide and a corresponding cavity (“hole”) in the interface of a second polypeptide, such  
15 that the protuberance can be positioned in the cavity so as to promote heterodimer formation and hinder homodimer formation. Protuberances are constructed by replacing small amino acid side chains from the interface of the first polypeptide with larger side chains (e.g. tyrosine or tryptophan). Compensatory cavities of identical or similar size to the protuberances are created in the interface of the second polypeptide by replacing large amino  
20 acid side chains with smaller ones (e.g. alanine or threonine). The protuberance and cavity can be made by altering the nucleic acid encoding the polypeptides, e.g. by site-specific mutagenesis, or by peptide synthesis. In a specific embodiment a knob modification comprises the amino acid substitution T366W in one of the two subunits of the Fc domain, and the hole modification comprises the amino acid substitutions T366S, L368A and Y407V  
25 in the other one of the two subunits of the Fc domain. In a further specific embodiment, the subunit of the Fc domain comprising the knob modification additionally comprises the amino acid substitution S354C, and the subunit of the Fc domain comprising the hole modification additionally comprises the amino acid substitution Y349C. Introduction of these two cysteine residues results in the formation of a disulfide bridge between the two subunits of the Fc  
30 region, thus further stabilizing the dimer (Carter, J Immunol Methods 248, 7-15 (2001)).

A “region equivalent to the Fc region of an immunoglobulin” is intended to include naturally occurring allelic variants of the Fc region of an immunoglobulin as well as variants having alterations which produce substitutions, additions, or deletions but which do not decrease substantially the ability of the immunoglobulin to mediate effector functions (such as  
35 antibody-dependent cellular cytotoxicity). For example, one or more amino acids can be deleted from the N-terminus or C-terminus of the Fc region of an immunoglobulin without substantial loss of biological function. Such variants can be selected according to general

rules known in the art so as to have minimal effect on activity (see, e.g., Bowie, J. U. et al., Science 247:1306-10 (1990)).

The term “**effector functions**” refers to those biological activities attributable to the Fc region of an antibody, which vary with the antibody isotype. Examples of antibody effector functions include: C1q binding and complement dependent cytotoxicity (CDC), Fc receptor binding, antibody-dependent cell-mediated cytotoxicity (ADCC), antibody-dependent cellular phagocytosis (ADCP), cytokine secretion, immune complex-mediated antigen uptake by antigen presenting cells, down regulation of cell surface receptors (e.g. B cell receptor), and B cell activation.

10 An “**activating Fc receptor**” is an Fc receptor that following engagement by an Fc region of an antibody elicits signaling events that stimulate the receptor-bearing cell to perform effector functions. Activating Fc receptors include FcγRIIIa (CD16a), FcγRI (CD64), FcγRIIa (CD32), and FcαRI (CD89). A particular activating Fc receptor is human FcγRIIIa (see UniProt accession no. P08637, version 141).

15 An “**ectodomain**” is the domain of a membrane protein that extends into the extracellular space (i.e. the space outside the target cell). Ectodomains are usually the parts of proteins that initiate contact with surfaces, which leads to signal transduction. The ectodomain of 4-1BBL as defined herein thus refers to the part of the 4-1BBL that extends into the extracellular space (the extracellular domain), but also includes shorter parts or fragments thereof that are responsible for the trimerization and for the binding to the corresponding receptor 4-1BB. The term “ectodomain of 4-1BBL or a fragment thereof” thus refers to the extracellular domain of 4-1BBL that forms the extracellular domain or to parts thereof that are still able to bind to the receptor (receptor binding domain).

25 “**4-1BBL**” or “**4-1BB ligand**” or “**CD137L**” is a costimulatory TNF ligand family member, which is able to costimulate proliferation and cytokine production of T-cells. Costimulatory TNF family ligands can costimulate TCR signals upon interaction with their corresponding TNF receptors and the interaction with their receptors leads to recruitment of TNFR-associated factors (TRAF), which initiate signalling cascades that result in T-cell activation. 4-1BBL is a type II transmembrane protein. Complete or full length 4-1BBL having the amino acid sequence of SEQ ID NO:62 has been described to form trimers on the surface of cells. The formation of trimers is enabled by specific motives of the ectodomain of 4-1BBL. Said motives are designated herein as “trimerization region”. The amino acids 50-254 of the human 4-1BBL sequence (SEQ ID NO:63) form the extracellular domain of 4-1BBL, but even fragments thereof are able to form the trimers. In specific embodiments of the invention, the term “ectodomain of 4-1BBL or a fragment thereof” refers to a polypeptide having an amino acid sequence selected from SEQ ID NO:4 (amino acids 52-254 of human 4-

1BBL), SEQ ID NO:1 (amino acids 71-254 of human 4-1BBL), SEQ ID NO:3 (amino acids 80-254 of human 4-1BBL), SEQ ID NO:2 (amino acids 85-254 of human 4-1BBL), SEQ ID NO:5 (amino acids 71-248 of human 4-1BBL), SEQ ID NO:6 (amino acids 85-248 of human 4-1BBL), SEQ ID NO:7 (amino acids 80-248 of human 4-1BBL) and SEQ ID NO:8 (amino acids 52-248 of human 4-1BBL), but also other fragments of the ectodomain capable of trimerization are included herein.

The term “**4-1BB**” or “**CD137**”, as used herein, refers to any native 4-1BB from any vertebrate source, including mammals such as primates (e.g. humans) and rodents (e.g., mice and rats), unless otherwise indicated. The term encompasses “full-length,” unprocessed 4-1BB as well as any form of 4-1BB that results from processing in the cell. The term also encompasses naturally occurring variants of 4-1BB, e.g., splice variants or allelic variants. The amino acid sequence of an exemplary human 4-1BB is shown in SEQ ID NO: 88 (Uniprot accession no. Q07011), the amino acid sequence of an exemplary murine 4-1BB is shown in SEQ ID NO: 89 (Uniprot accession no. P20334) and the amino acid sequence of an exemplary cynomolgous 4-1BB (from *Macaca mulatta*) is shown in SEQ ID NO:66 (Uniprot accession no. F6W5G6).

The terms “**anti-4-1BB antibody**”, “anti-4-1BB”, “4-1BB antibody” and “an antibody that specifically binds to 4-1BB” refer to an antibody that is capable of binding 4-1BB with sufficient affinity such that the antibody is useful as a diagnostic and/or therapeutic agent in targeting 4-1BB. In one embodiment, the extent of binding of an anti-4-1BB antibody to an unrelated, non-4-1BB protein is less than about 10% of the binding of the antibody to 4-1BB as measured, e.g., by a radioimmunoassay (RIA) or flow cytometry (FACS). In certain embodiments, an antibody that binds to 4-1BB has a dissociation constant ( $K_D$ ) of  $\leq 1\mu\text{M}$ ,  $\leq 100\text{ nM}$ ,  $\leq 10\text{ nM}$ ,  $\leq 1\text{ nM}$ ,  $\leq 0.1\text{ nM}$ ,  $\leq 0.01\text{ nM}$ , or  $\leq 0.001\text{ nM}$  (e.g.  $10^{-6}\text{ M}$  or less, e.g. from  $10^{-68}\text{ M}$  to  $10^{-13}\text{ M}$ , e.g., from  $10^{-8}\text{ M}$  to  $10^{-10}\text{ M}$ ).

The term “**HER-2**”, also known as “ErbB2”, “ErbB2 receptor”, or “c-Erb-B2”, refers to any native, mature HER-2 which results from processing of a HER-2 precursor protein in a cell. The term includes HER-2 from any vertebrate source, including mammals such as primates (e.g. humans and cynomolgus monkeys) and rodents (e.g., mice and rats), unless otherwise indicated. The term also includes naturally occurring variants of HER-2, e.g., splice variants or allelic variants. The amino acid sequence of an exemplary human HER-2 protein is shown in SEQ ID NO:95.

The term “**peptide linker**” refers to a peptide comprising one or more amino acids, typically about 2 to 20 amino acids. Peptide linkers are known in the art or are described herein. Suitable, non-immunogenic linker peptides are, for example,  $(G_4S)_n$ ,  $(SG_4)_n$  or  $G_4(SG_4)_n$  peptide linkers, wherein “n” is generally a number between 1 and 10, typically

between 2 and 4, in particular 2, i.e. the peptides selected from the group consisting of GGGGS (SEQ ID NO:67) GGGGSGGGGS (SEQ ID NO:68), SGGGSGGGG (SEQ ID NO:69) and GGGGSGGGGSGGGG (SEQ ID NO:70), but also include the sequences GSPGSSSSGS (SEQ ID NO:71), (G4S)<sub>3</sub> (SEQ ID NO:72), (G4S)<sub>4</sub> (SEQ ID NO:73),  
 5 GSGSGSGS (SEQ ID NO:74), GSGSGNGS (SEQ ID NO:75), GGSGSGSG (SEQ ID NO:76), GGSGSG (SEQ ID NO:77), GGSG (SEQ ID NO:78), GGSGNGSG (SEQ ID NO:79), GGNGSGSG (SEQ ID NO:80) and GGNGSG (SEQ ID NO:81). Peptide linkers of particular interest are (G4S) (SEQ ID NO:67), (G4S)<sub>2</sub> and GGGGSGGGGS (SEQ ID NO:68).

The term "**amino acid**" as used within this application denotes the group of naturally occurring carboxy  $\alpha$ -amino acids comprising alanine (three letter code: ala, one letter code: A), arginine (arg, R), asparagine (asn, N), aspartic acid (asp, D), cysteine (cys, C), glutamine (gln, Q), glutamic acid (glu, E), glycine (gly, G), histidine (his, H), isoleucine (ile, I), leucine (leu, L), lysine (lys, K), methionine (met, M), phenylalanine (phe, F), proline (pro, P), serine (ser, S), threonine (thr, T), tryptophan (trp, W), tyrosine (tyr, Y), and valine (val, V).

15 By "fused" or "connected" is meant that the components (e.g. a polypeptide and an ectodomain of 4-1BBL) are linked by peptide bonds, either directly or via one or more peptide linkers.

"**Percent (%) amino acid sequence identity**" with respect to a reference polypeptide (protein) sequence is defined as the percentage of amino acid residues in a candidate sequence  
 20 that are identical with the amino acid residues in the reference polypeptide sequence, after aligning the sequences and introducing gaps, if necessary, to achieve the maximum percent sequence identity, and not considering any conservative substitutions as part of the sequence identity. Alignment for purposes of determining percent amino acid sequence identity can be achieved in various ways that are within the skill in the art, for instance, using publicly  
 25 available computer software such as BLAST, BLAST-2, ALIGN, SAWI or Megalign (DNASTAR) software. Those skilled in the art can determine appropriate parameters for aligning sequences, including any algorithms needed to achieve maximal alignment over the full length of the sequences being compared. For purposes herein, however, % amino acid sequence identity values are generated using the sequence comparison computer program  
 30 ALIGN-2. The ALIGN-2 sequence comparison computer program was authored by Genentech, Inc., and the source code has been filed with user documentation in the U.S. Copyright Office, Washington D.C., 20559, where it is registered under U.S. Copyright Registration No. TXU510087. The ALIGN-2 program is publicly available from Genentech, Inc., South San Francisco, California, or may be compiled from the source code. The ALIGN-  
 35 2 program should be compiled for use on a UNIX operating system, including digital UNIX V4.0D. All sequence comparison parameters are set by the ALIGN-2 program and do not vary. In situations where ALIGN-2 is employed for amino acid sequence comparisons, the %

amino acid sequence identity of a given amino acid sequence A to, with, or against a given amino acid sequence B (which can alternatively be phrased as a given amino acid sequence A that has or comprises a certain % amino acid sequence identity to, with, or against a given amino acid sequence B) is calculated as follows:

5           100 times the fraction X/Y

where X is the number of amino acid residues scored as identical matches by the sequence alignment program ALIGN-2 in that program's alignment of A and B, and where Y is the total number of amino acid residues in B. It will be appreciated that where the length of amino acid sequence A is not equal to the length of amino acid sequence B, the % amino acid  
10 sequence identity of A to B will not equal the % amino acid sequence identity of B to A. Unless specifically stated otherwise, all % amino acid sequence identity values used herein are obtained as described in the immediately preceding paragraph using the ALIGN-2 computer program.

In certain embodiments, **amino acid sequence variants** of the antigen binding  
15 molecules provided herein are contemplated. For example, it may be desirable to improve the binding affinity and/or other biological properties of the antigen binding molecules. Amino acid sequence variants of the antigen binding molecules may be prepared by introducing appropriate modifications into the nucleotide sequence encoding the molecules, or by peptide synthesis. Such modifications include, for example, deletions from, and/or insertions into  
20 and/or substitutions of residues within the amino acid sequences of the antibody. Any combination of deletion, insertion, and substitution can be made to arrive at the final construct, provided that the final construct possesses the desired characteristics, e.g., antigen-binding. Sites of interest for substitutional mutagenesis include the HVRs and Framework (FRs). Conservative substitutions are provided in Table C under the heading "Preferred  
25 Substitutions" and further described below in reference to amino acid side chain classes (1) to (6). Amino acid substitutions may be introduced into the molecule of interest and the products screened for a desired activity, e.g., retained/improved antigen binding, decreased immunogenicity, or improved ADCC or CDC.

**TABLE B**

| Original Residue | Exemplary Substitutions | Preferred Substitutions |
|------------------|-------------------------|-------------------------|
| Ala (A)          | Val; Leu; Ile           | Val                     |
| Arg (R)          | Lys; Gln; Asn           | Lys                     |
| Asn (N)          | Gln; His; Asp, Lys; Arg | Gln                     |
| Asp (D)          | Glu; Asn                | Glu                     |



| Original Residue | Exemplary Substitutions             | Preferred Substitutions |
|------------------|-------------------------------------|-------------------------|
| Cys (C)          | Ser; Ala                            | Ser                     |
| Gln (Q)          | Asn; Glu                            | Asn                     |
| Glu (E)          | Asp; Gln                            | Asp                     |
| Gly (G)          | Ala                                 | Ala                     |
| His (H)          | Asn; Gln; Lys; Arg                  | Arg                     |
| Ile (I)          | Leu; Val; Met; Ala; Phe; Norleucine | Leu                     |
| Leu (L)          | Norleucine; Ile; Val; Met; Ala; Phe | Ile                     |
| Lys (K)          | Arg; Gln; Asn                       | Arg                     |
| Met (M)          | Leu; Phe; Ile                       | Leu                     |
| Phe (F)          | Trp; Leu; Val; Ile; Ala; Tyr        | Tyr                     |
| Pro (P)          | Ala                                 | Ala                     |
| Ser (S)          | Thr                                 | Thr                     |
| Thr (T)          | Val; Ser                            | Ser                     |
| Trp (W)          | Tyr; Phe                            | Tyr                     |
| Tyr (Y)          | Trp; Phe; Thr; Ser                  | Phe                     |
| Val (V)          | Ile; Leu; Met; Phe; Ala; Norleucine | Leu                     |

Amino acids may be grouped according to common side-chain properties:

- (1) hydrophobic: Norleucine, Met, Ala, Val, Leu, Ile;
- (2) neutral hydrophilic: Cys, Ser, Thr, Asn, Gln;
- 5 (3) acidic: Asp, Glu;
- (4) basic: His, Lys, Arg;
- (5) residues that influence chain orientation: Gly, Pro;
- (6) aromatic: Trp, Tyr, Phe.

Non-conservative substitutions will entail exchanging a member of one of these classes  
10 for another class.

The term “**amino acid sequence variants**” includes substantial variants wherein there are amino acid substitutions in one or more hypervariable region residues of a parent antigen binding molecule (e.g. a humanized or human antibody). Generally, the resulting variant(s) selected for further study will have modifications (e.g., improvements) in certain biological  
15 properties (e.g., increased affinity, reduced immunogenicity) relative to the parent antigen binding molecule and/or will have substantially retained certain biological properties of the parent antigen binding molecule. An exemplary substitutional variant is an affinity matured

antibody, which may be conveniently generated, e.g., using phage display-based affinity maturation techniques such as those described herein. Briefly, one or more CDR residues are mutated and the variant antigen binding molecules displayed on phage and screened for a particular biological activity (e.g. binding affinity). In certain embodiments, substitutions, insertions, or deletions may occur within one or more CDRs so long as such alterations do not substantially reduce the ability of the antigen binding molecule to bind antigen. For example, conservative alterations (e.g., conservative substitutions as provided herein) that do not substantially reduce binding affinity may be made in CDRs. A useful method for identification of residues or regions of an antibody that may be targeted for mutagenesis is called "alanine scanning mutagenesis" as described by Cunningham and Wells (1989) *Science*, 244:1081-1085. In this method, a residue or group of target residues (e.g., charged residues such as Arg, Asp, His, Lys, and Glu) are identified and replaced by a neutral or negatively charged amino acid (e.g., alanine or polyalanine) to determine whether the interaction of the antibody with antigen is affected. Further substitutions may be introduced at the amino acid locations demonstrating functional sensitivity to the initial substitutions. Alternatively, or additionally, a crystal structure of an antigen-antigen binding molecule complex to identify contact points between the antibody and antigen. Such contact residues and neighboring residues may be targeted or eliminated as candidates for substitution. Variants may be screened to determine whether they contain the desired properties.

Amino acid sequence insertions include amino- and/or carboxyl-terminal fusions ranging in length from one residue to polypeptides containing a hundred or more residues, as well as intrasequence insertions of single or multiple amino acid residues. Examples of terminal insertions include antigen binding molecules with an N-terminal methionyl residue. Other insertional variants of the molecule include the fusion to the N- or C-terminus to a polypeptide which increases the serum half-life of the antigen binding molecules.

In certain embodiments, the antigen binding molecules provided herein are altered to increase or decrease the extent to which the antibody is glycosylated. Glycosylation variants of the molecules may be conveniently obtained by altering the amino acid sequence such that one or more glycosylation sites is created or removed. Where the antigen binding molecule comprises an Fc region, the carbohydrate attached thereto may be altered. Native antibodies produced by mammalian cells typically comprise a branched, biantennary oligosaccharide that is generally attached by an N-linkage to Asn297 of the CH2 domain of the Fc region. See, e.g., Wright et al. *TIBTECH* 15:26-32 (1997). The oligosaccharide may include various carbohydrates, e.g., mannose, N-acetyl glucosamine (GlcNAc), galactose, and sialic acid, as well as a fucose attached to a GlcNAc in the "stem" of the biantennary oligosaccharide structure. In some embodiments, modifications of the oligosaccharide in the antigen binding molecules may be made in order to create variants with certain improved properties. In one aspect, variants of antigen binding molecules are provided having a carbohydrate structure

that lacks fucose attached (directly or indirectly) to an Fc region. Such fucosylation variants may have improved ADCC function, see e.g. US Patent Publication Nos. US 2003/0157108 (Presta, L.) or US 2004/0093621 (Kyowa Hakko Kogyo Co., Ltd). Further variants of the antigen binding molecules of the invention include those with bisected oligosaccharides, e.g.,  
5 in which a biantennary oligosaccharide attached to the Fc region is bisected by GlcNAc. Such variants may have reduced fucosylation and/or improved ADCC function., see for example WO 2003/011878 (Jean-Mairet et al.); US Patent No. 6,602,684 (Umana et al.); and US 2005/0123546 (Umana *et al.*). Variants with at least one galactose residue in the oligosaccharide attached to the Fc region are also provided. Such antibody variants may have  
10 improved CDC function and are described, e.g., in WO 1997/30087 (Patel et al.); WO 1998/58964 (Raju, S.); and WO 1999/22764 (Raju, S.).

In certain embodiments, it may be desirable to create **cysteine engineered variants** of the antigen binding molecules of the invention, e.g., “thioMAbs,” in which one or more residues of the molecule are substituted with cysteine residues. In particular embodiments, the  
15 substituted residues occur at accessible sites of the molecule. By substituting those residues with cysteine, reactive thiol groups are thereby positioned at accessible sites of the antibody and may be used to conjugate the antibody to other moieties, such as drug moieties or linker-drug moieties, to create an immunoconjugate. In certain embodiments, any one or more of the following residues may be substituted with cysteine: V205 (Kabat numbering) of the light  
20 chain; A118 (EU numbering) of the heavy chain; and S400 (EU numbering) of the heavy chain Fc region. Cysteine engineered antigen binding molecules may be generated as described, e.g., in U.S. Patent No. 7,521,541.

In certain aspects, the antigen binding molecules provided herein may be further modified to contain additional non-proteinaceous moieties that are known in the art and  
25 readily available. The moieties suitable for derivatization of the antibody include but are not limited to water soluble polymers. Non-limiting examples of water soluble polymers include, but are not limited to, polyethylene glycol (PEG), copolymers of ethylene glycol/propylene glycol, carboxymethylcellulose, dextran, polyvinyl alcohol, polyvinyl pyrrolidone, poly-1, 3-dioxolane, poly-1,3,6-trioxane, ethylene/maleic anhydride copolymer, polyaminoacids (either  
30 homopolymers or random copolymers), and dextran or poly(n-vinyl pyrrolidone)polyethylene glycol, propylene glycol homopolymers, polypropylene oxide/ethylene oxide copolymers, polyoxyethylated polyols (e.g., glycerol), polyvinyl alcohol, and mixtures thereof. Polyethylene glycol propionaldehyde may have advantages in manufacturing due to its stability in water. The polymer may be of any molecular weight, and may be branched or  
35 unbranched. The number of polymers attached to the antibody may vary, and if more than one polymer is attached, they can be the same or different molecules. In general, the number and/or type of polymers used for derivatization can be determined based on considerations including, but not limited to, the particular properties or functions of the antibody to be

improved, whether the bispecific antibody derivative will be used in a therapy under defined conditions, etc. In another aspect, conjugates of an antibody and non-proteinaceous moiety that may be selectively heated by exposure to radiation are provided. In one embodiment, the non-proteinaceous moiety is a carbon nanotube (Kam, N.W. et al., Proc. Natl. Acad. Sci. USA 5 102 (2005) 11600-11605). The radiation may be of any wavelength, and includes, but is not limited to, wavelengths that do not harm ordinary cells, but which heat the non-proteinaceous moiety to a temperature at which cells proximal to the antibody-non-proteinaceous moiety are killed. In another aspect, immunoconjugates of the 4-1BBL-containing antigen binding molecules provided herein maybe obtained. An “**immunoconjugate**” is an antibody 10 conjugated to one or more heterologous molecule(s), including but not limited to a cytotoxic agent.

The term “**polynucleotide**” refers to an isolated nucleic acid molecule or construct, e.g. messenger RNA (mRNA), virally-derived RNA, or plasmid DNA (pDNA). A polynucleotide may comprise a conventional phosphodiester bond or a non-conventional bond (e.g. an amide 15 bond, such as found in peptide nucleic acids (PNA)). The term “nucleic acid molecule” refers to any one or more nucleic acid segments, e.g. DNA or RNA fragments, present in a polynucleotide.

By “**isolated**” nucleic acid molecule or polynucleotide is intended a nucleic acid molecule, DNA or RNA, which has been removed from its native environment. For example, 20 a recombinant polynucleotide encoding a polypeptide contained in a vector is considered isolated for the purposes of the present invention. Further examples of an isolated polynucleotide include recombinant polynucleotides maintained in heterologous host cells or purified (partially or substantially) polynucleotides in solution. An isolated polynucleotide includes a polynucleotide molecule contained in cells that ordinarily contain the 25 polynucleotide molecule, but the polynucleotide molecule is present extrachromosomally or at a chromosomal location that is different from its natural chromosomal location. Isolated RNA molecules include in vivo or in vitro RNA transcripts of the present invention, as well as positive and negative strand forms, and double-stranded forms. Isolated polynucleotides or nucleic acids according to the present invention further include such molecules produced 30 synthetically. In addition, a polynucleotide or a nucleic acid may be or may include a regulatory element such as a promoter, ribosome binding site, or a transcription terminator.

By a nucleic acid or polynucleotide having a nucleotide sequence at least, for example, 95% “identical” to a reference nucleotide sequence of the present invention, it is intended that the nucleotide sequence of the polynucleotide is identical to the reference sequence except 35 that the polynucleotide sequence may include up to five point mutations per each 100 nucleotides of the reference nucleotide sequence. In other words, to obtain a polynucleotide having a nucleotide sequence at least 95% identical to a reference nucleotide sequence, up to

5% of the nucleotides in the reference sequence may be deleted or substituted with another nucleotide, or a number of nucleotides up to 5% of the total nucleotides in the reference sequence may be inserted into the reference sequence. These alterations of the reference sequence may occur at the 5' or 3' terminal positions of the reference nucleotide sequence or  
5 anywhere between those terminal positions, interspersed either individually among residues in the reference sequence or in one or more contiguous groups within the reference sequence. As a practical matter, whether any particular polynucleotide sequence is at least 80%, 85%, 90%, 95%, 96%, 97%, 98% or 99% identical to a nucleotide sequence of the present invention can be determined conventionally using known computer programs, such as the ones discussed  
10 above for polypeptides (e.g. ALIGN-2).

The term "**expression cassette**" refers to a polynucleotide generated recombinantly or synthetically, with a series of specified nucleic acid elements that permit transcription of a particular nucleic acid in a target cell. The recombinant expression cassette can be incorporated into a plasmid, chromosome, mitochondrial DNA, plastid DNA, virus, or nucleic  
15 acid fragment. Typically, the recombinant expression cassette portion of an expression vector includes, among other sequences, a nucleic acid sequence to be transcribed and a promoter. In certain embodiments, the expression cassette of the invention comprises polynucleotide sequences that encode bispecific antigen binding molecules of the invention or fragments thereof.

20 The term "**vector**" or "expression vector" is synonymous with "expression construct" and refers to a DNA molecule that is used to introduce and direct the expression of a specific gene to which it is operably associated in a target cell. The term includes the vector as a self-replicating nucleic acid structure as well as the vector incorporated into the genome of a host cell into which it has been introduced. The expression vector of the present invention  
25 comprises an expression cassette. Expression vectors allow transcription of large amounts of stable mRNA. Once the expression vector is inside the target cell, the ribonucleic acid molecule or protein that is encoded by the gene is produced by the cellular transcription and/or translation machinery. In one embodiment, the expression vector of the invention comprises an expression cassette that comprises polynucleotide sequences that encode  
30 bispecific antigen binding molecules of the invention or fragments thereof.

The terms "**host cell**", "host cell line," and "host cell culture" are used interchangeably and refer to cells into which exogenous nucleic acid has been introduced, including the progeny of such cells. Host cells include "transformants" and "transformed cells," which include the primary transformed cell and progeny derived therefrom without regard to the  
35 number of passages. Progeny may not be completely identical in nucleic acid content to a parent cell, but may contain mutations. Mutant progeny that have the same function or biological activity as screened or selected for in the originally transformed cell are included

herein. A host cell is any type of cellular system that can be used to generate the bispecific antigen binding molecules of the present invention. Host cells include cultured cells, e.g. mammalian cultured cells, such as CHO cells, BHK cells, NS0 cells, SP2/0 cells, YO myeloma cells, P3X63 mouse myeloma cells, PER cells, PER.C6 cells or hybridoma cells,  
5 yeast cells, insect cells, and plant cells, to name only a few, but also cells comprised within a transgenic animal, transgenic plant or cultured plant or animal tissue.

An "**effective amount**" of an agent refers to the amount that is necessary to result in a physiological change in the cell or tissue to which it is administered.

The combination therapies in accordance with the invention have a synergistic effect. A  
10 "**synergistic effect**" of two compounds is one in which the effect of the combination of the two agents is greater than the sum of their individual effects and is statistically different from the controls and the single drugs. In another embodiment, the combination therapies disclosed herein have an additive effect. An "**additive effect**" of two compounds is one in which the effect of the combination of the two agents is the sum of their individual effects and is  
15 statistically different from either the controls and/or the single drugs.

A "**therapeutically effective amount**" of an agent, e.g. a pharmaceutical composition, refers to an amount effective, at dosages and for periods of time necessary, to achieve the desired therapeutic or prophylactic result. A therapeutically effective amount of an agent for example eliminates, decreases, delays, minimizes or prevents adverse effects of a disease.

20 An "**individual**" or "subject" is a mammal. Mammals include, but are not limited to, domesticated animals (e.g. cows, sheep, cats, dogs, and horses), primates (e.g. humans and non-human primates such as monkeys), rabbits, and rodents (e.g. mice and rats). Particularly, the individual or subject is a human.

The term "**pharmaceutical composition**" refers to a preparation which is in such form  
25 as to permit the biological activity of an active ingredient contained therein to be effective, and which contains no additional components which are unacceptably toxic to a subject to which the formulation would be administered.

A "**pharmaceutically acceptable carrier**" refers to an ingredient in a pharmaceutical composition, other than an active ingredient, which is nontoxic to a subject. A  
30 pharmaceutically acceptable excipient includes, but is not limited to, a buffer, a stabilizer, or a preservative.

The term "**package insert**" is used to refer to instructions customarily included in commercial packages of therapeutic products, that contain information about the indications,

usage, dosage, administration, combination therapy, contraindications and/or warnings concerning the use of such therapeutic products.

As used herein, “**treatment**” (and grammatical variations thereof such as “treat” or “treating”) refers to clinical intervention in an attempt to alter the natural course of the individual being treated, and can be performed either for prophylaxis or during the course of clinical pathology. Desirable effects of treatment include, but are not limited to, preventing occurrence or recurrence of disease, alleviation of symptoms, diminishment of any direct or indirect pathological consequences of the disease, preventing metastasis, decreasing the rate of disease progression, amelioration or palliation of the disease state, and remission or improved prognosis. In some embodiments, the molecules of the invention are used to delay development of a disease or to slow the progression of a disease.

The terms “**cancer**” and “cancerous” refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth, i.e. proliferative diseases, such as solid tumors or melanoma. A “tumor” comprises one or more cancerous cells. The term “solid tumor” as used herein refers to an abnormal mass of tissue that usually does not contain cysts or liquid areas. Solid tumors may be benign or malignant. Examples of cancer include, but are not limited to, carcinoma, lymphoma, blastoma, sarcoma, and leukemia or lymphoid malignancies. More particular examples of such cancers include breast cancer, squamous cell cancer (e.g., epithelial squamous cell cancer), lung cancer including small-cell lung cancer, non-small cell lung cancer (“NSCLC”), adenocarcinoma of the lung and squamous carcinoma of the lung, cancer of the peritoneum, hepatocellular cancer, gastric or stomach cancer including gastrointestinal cancer, pancreatic cancer, glioblastoma, cervical cancer, ovarian cancer, liver cancer, bladder cancer, hepatoma, colon cancer, rectal cancer, colorectal cancer, endometrial or uterine carcinoma, salivary gland carcinoma, kidney or renal cancer, prostate cancer, vulval cancer, thyroid cancer, hepatic carcinoma, anal carcinoma, penile carcinoma, as well as head and neck cancer. In a preferred embodiment, the cancer is breast cancer. In another preferred embodiment, the cancer is gastric cancer.

Reference to a tumor or cancer as a “Stage 0,” “Stage I,” “Stage II,” “Stage III,” or “Stage IV,” and various sub-stages within this classification, indicates classification of the tumor or cancer using the Overall Stage Grouping or Roman Numeral Staging methods known in the art. Although the actual stage of the cancer is dependent on the type of cancer, in general, a Stage 0 cancer is an in situ lesion, a Stage I cancer is small localized tumor, a Stage II and III cancer is a local advanced tumor which exhibits involvement of the local lymph nodes, and a Stage IV cancer represents metastatic cancer. The specific stages for each type of tumor is known to the skilled clinician.

The term "**metastatic breast cancer**" means the state of breast cancer where the cancer cells are transmitted from the original site to one or more sites elsewhere in the body, by the blood vessels or lymphatics, to form one or more secondary tumors in one or more organs besides the breast.

5 An "advanced" cancer is one which has spread outside the site or organ of origin, either by local invasion or metastasis. Accordingly, the term "advanced" cancer includes both locally advanced and metastatic disease. A "refractory" cancer is one which progresses even though an anti-tumor agent, such as a chemotherapy, is being administered to the cancer patient. An example of a refractory cancer is one which is platinum refractory. A "recurrent"

10 cancer is one which has regrown, either at the initial site or at a distant site, after a response to initial therapy, such as surgery. A "locally recurrent" cancer is cancer that returns after treatment in the same place as a previously treated cancer. An "operable" or "resectable" cancer is cancer which is confined to the primary organ and suitable for surgery (resection). A "non-resectable" or "unresectable" cancer is not able to be removed (resected) by surgery.

15 A cancer or biological sample which "displays HER expression, amplification, or activation" is one which, in a diagnostic test, expresses (including overexpresses) a HER receptor, has amplified HER gene, and/or otherwise demonstrates activation or phosphorylation of a HER receptor.

The terms "HER-2-positive" and "HER-2 expressing" are used herein interchangeably.

20 A "HER-2-positive" cancer comprises cancer cells which have higher than normal levels of HER-2. Examples of HER-2-positive cancer include HER-2-positive breast cancer and HER2-positive gastric cancer. Optionally, HER2-positive is a HER-2 overexpressing cancer, and in certain embodiments the HER-2 positive cancer has an immunohistochemistry (HC) score of 2+ or 3+ and/or an *in situ* hybridization (ISH) amplification ratio  $\geq 2.0$ .

25 *In situ* hybridization (ISH) determines the number of *her2* copies using a DNA probe coupled to a fluorescent, chromogenic, or silver detection system (ie, FISH, CISH, or SISH), or a combination of CISH and SISH systems (bright-field double ISH (BDISH) or dual-hapten, dual-color ISH (DDISH)). ISH may be conducted using a single probe to enumerate *her2* copies per nucleus only or as a dual-probe technique where hybridization of a

30 chromosome 17 centromere probe (chromosome enumeration probe 17, CEP17) allows determination of the *her2*:CEP17 ratio. The two-probe approach may be performed as a dual-color technique, with cohybridization of the two probes on the same slide, or as a monochrome assay where each probe is used on sequential slides. The *her2*:CEP17 ratio is sometimes regarded as a better reflection of *her2* amplification status than mean *her2* copy

35 number, as the latter is also dependent on other parameters, such as the mitotic index of the tumor, section thickness, nuclear truncation effects, and abnormal chromosome copy number



(aneusomy). The phrase "situ hybridization (ISH) amplification ratio  $\geq 2.0$ " refers to *her2*:CEP17 ratio  $\geq 2.0$ . For further details see, e.g. Sauter G, et al. Guidelines for human epidermal growth factor receptor 2 testing: biologic and methodologic considerations. *J Clin Oncol* 2009; 27: 1323-1333, and the review article by Hanna et al. *Modern Pathology* (2014) 27, 4-18.

Herein, a "patient" or "subject" is a human patient. The patient may be a "cancer patient," i.e. one who is suffering or at risk for suffering from one or more symptoms of cancer, in particular gastric or breast cancer.

A "**patient population**" refers to a group of cancer patients. Such populations can be used to demonstrate statistically significant efficacy and/or safety of a drug, such as Pertuzumab. A "relapsed" patient is one who has signs or symptoms of cancer after remission. Optionally, the patient has relapsed after adjuvant or neoadjuvant therapy.

"**Neoadjuvant therapy**" or "preoperative therapy" herein refers to therapy given prior to surgery. The goal of neoadjuvant therapy is to provide immediate systemic treatment, potentially eradicating micrometastases that would otherwise proliferate if the standard sequence of surgery followed by systemic therapy were followed. Neoadjuvant therapy may also help to reduce tumor size thereby allowing complete resection of initially unresectable tumors or preserving portions of the organ and its functions. Furthermore, neoadjuvant therapy permits an in vivo assessment of drug efficacy, which may guide the choice of subsequent treatments.

"**Adjuvant therapy**" herein refers to therapy given after definitive surgery, where no evidence of residual disease can be detected, so as to reduce the risk of disease recurrence. The goal of adjuvant therapy is to prevent recurrence of the cancer, and therefore to reduce the chance of cancer-related death. Adjuvant therapy herein specifically excludes neoadjuvant therapy.

"**Chemotherapy**" refers to the use of a chemotherapeutic agent useful in the treatment of cancer.

A "**chemotherapeutic agent**" is a chemical compound useful in the treatment of cancer, regardless of mechanism of action. Classes of chemotherapeutic agents include, but are not limited to: alkylating agents, antimetabolites, spindle poison plant alkaloids, cytotoxic/antitumor antibiotics, topoisomerase inhibitors, antibodies, photosensitizers, and kinase inhibitors.

"**CD20**" refers to B-lymphocyte antigen CD20, also known as B-lymphocyte surface antigen B1 or Leukocyte surface antigen Leu-16, and includes any native CD20 from any

vertebrate source, including mammals such as primates (e.g. humans) non-human primates (e.g. cynomolgus monkeys) and rodents (e.g. mice and rats), unless otherwise indicated. The amino acid sequence of human CD20 is shown in Uniprot accession no. P11836 (version 149, SEQ ID NO:85). CD20 is a hydrophobic transmembrane protein with a molecular weight of approximately 35 kD expressed on pre-B and mature B lymphocytes. The corresponding human gene is membrane-spanning 4-domains, subfamily A, member 1, also known as MS4A1. This gene encodes a member of the membrane-spanning 4A gene family. Members of this nascent protein family are characterized by common structural features and similar intron/exon splice boundaries and display unique expression patterns among hematopoietic cells and nonlymphoid tissues. This gene encodes the B-lymphocyte surface molecule which plays a role in the development and differentiation of B-cells into plasma cells. This family member is localized to 11q12, among a cluster of family members. Alternative splicing of this gene results in two transcript variants which encode the same protein. The term “CD20” encompasses “full-length,” unprocessed CD20 as well as any form of CD20 that results from processing in the cell. The term also encompasses naturally occurring variants of CD20, e.g., splice variants or allelic variants.

The terms “**anti-CD20 antibody**” and “an antibody that binds to CD20” refer to an antibody that is capable of binding CD20 with sufficient affinity such that the antibody is useful as a diagnostic and/or therapeutic agent in targeting CD20. In one embodiment, the extent of binding of an anti-CD20 antibody to an unrelated, non-CD20 protein is less than about 10% of the binding of the antibody to CD20 as measured, e.g., by a radioimmunoassay (RIA). In certain embodiments, an antibody that binds to CD20 has a dissociation constant (Kd) of  $\leq 1\mu\text{M}$ ,  $\leq 100\text{ nM}$ ,  $\leq 10\text{ nM}$ ,  $\leq 1\text{ nM}$ ,  $\leq 0.1\text{ nM}$ ,  $\leq 0.01\text{ nM}$ , or  $\leq 0.001\text{ nM}$  (e.g.  $10^{-8}\text{ M}$  or less, e.g. from  $10^{-8}\text{ M}$  to  $10^{-13}\text{ M}$ , e.g., from  $10^{-9}\text{ M}$  to  $10^{-13}\text{ M}$ ). In certain embodiments, an anti-CD20 antibody binds to an epitope of CD20 that is conserved among CD20 from different species.

By “**Type II anti-CD20 antibody**” is meant an anti-CD20 antibody having binding properties and biological activities of Type II anti-CD20 antibodies as described in Cragg et al., Blood 103 (2004) 2738-2743; Cragg et al., Blood 101 (2003) 1045-1052, Klein et al., mAbs 5 (2013), 22-33. A type II anti-CD20 antibody binds to class II epitope on CD20, it does not localize CD20 to lipid rafts, shows ADCC activity, but low CDC if it is a IgG1 isotype antibody, has less binding capacity to B cells compared with antibodies binding to the Class I CD20 epitope, shows homotypic aggregation and strong death induction. Examples of type II anti-CD20 antibodies include e.g. obinutuzumab (GA101), tositumumab (B1), humanized B-Ly1 antibody IgG1 (a chimeric humanized IgG1 antibody as disclosed in WO 2005/044859), 11B8 IgG1 (as disclosed in WO 2004/035607) and AT80 IgG1. In a particular aspect, the Type II anti-CD20 antibody is obinutuzumab (recommended INN, WHO Drug Information, Vol. 26, No. 4, 2012, p. 453). As used herein, obinutuzumab is

synonymous for GA101. The tradename is GAZYVA® or GAZYVARO®. This replaces all previous versions (e.g. Vol. 25, No. 1, 2011, p.75-76), and is formerly known as afutuzumab (recommended INN, WHO Drug Information, Vol. 23, No. 2, 2009, p. 176; Vol. 22, No. 2, 2008, p. 124). In one aspect, the Type II anti-CD20 antibody is tositumomab.

## 5 Exemplary 4-1BB agonists for use in the invention

The present invention relates to 4-1BB agonists and their use in combination with HER-2 targeting therapy, in particular their use in a method for treating or delaying progression of cancer. In some embodiments, the 4-1BB agonists and their use in combination with HER-2 targeting therapy is in a method for treating or delaying progression of solid tumors.

10 In particular, the 4-1BB agonists as used in combination with HER-2 targeting therapy are molecules comprising 4-1BBL. In particular, the 4-1BB agonist used in the invention comprises three ectodomains of 4-1BBL or fragments thereof.

In a particular aspect, the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino  
15 acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO: 6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.

The 4-1BB agonist is especially useful if it comprises an antigen binding domain that is specific for a tumor-associated antigen, in particular for a target on cancer cells or in the  
20 stroma. Thus, in a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to Fibroblast activation protein (FAP) or CEA.

In a further aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable  
25 of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises

(a) a heavy chain variable region ( $V_H$ FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light  
30 chain variable region ( $V_L$ FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or  
(b) a heavy chain variable region ( $V_H$ FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ  
35 ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region ( $V_L$ FAP) comprising (iv) CDR-L1 comprising the amino acid

sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

In a particular aspect, the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

In a further aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:22 or wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:24. More particularly, the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:24.

In another aspect, the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association. In one aspect, the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain. Particularly, the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function. In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising an IgG1 Fc domain comprising the amino acid substitutions L234A, L235A and P329G.

In one aspect, the 4-1BB agonist is an antigen binding molecule comprising (a) at least one antigen binding domain capable of specific binding to FAP, (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising (a) at least one Fab domain capable of specific binding to FAP, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that

- 5 (i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or
- 10 (ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or
- 15 (iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

- 25 In another aspect, the 4-1BB agonist is an antigen binding molecule comprising
  - (a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and
  - (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.
- 30
- 35

In a particular aspect, the 4-1BB agonist is an antigen binding molecule selected from the group consisting of

a) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44; and

b) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:45, a first light chain comprising the amino acid sequence of SEQ ID NO:46, a second heavy chain comprising the amino acid sequence of SEQ ID NO:47 and a second light chain comprising the amino acid sequence of SEQ ID NO:48.

Particularly, the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising (a) at least one antigen binding domain capable of specific binding to FAP, (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

In one aspect, the 4-1BB agonist is an antigen binding molecule comprising (a) at least one antigen binding domain capable of specific binding to FAP, (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers, and (c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

Particular bispecific antibodies are described in PCT publication No. WO 2016/075278 A1 or in PCT publication No. WO 2016/156291A1.

In a further aspect, the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence

of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

5 In a further aspect, the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid  
10 sequence of SEQ ID NO:40.

In another aspect, the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association. In one aspect, the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain. Particularly, the 4-1BB agonist is an  
15 antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function. In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising an IgG1 Fc domain comprising the amino acid substitutions L234A, L235A and P329G.

In one aspect, the 4-1BB agonist is an antigen binding molecule comprising  
20 (a) at least one antigen binding domain capable of specific binding to CEA,  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

25 In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising  
(a) at least one Fab domain capable of specific binding to CEA, and  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that

(i) the first polypeptide contains a CH1 or CL domain and the second polypeptide  
30 contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or  
35 a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or  
(ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two

ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or

5 (iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two

10 ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain

15 variable region (V<sub>H</sub>CEA) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising the amino acid sequence of SEQ ID NO:40, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID

20 NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

In a particular aspect, the 4-1BB agonist is an antigen binding molecule comprising a

25 first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:82 and a second light chain comprising the amino acid sequence of SEQ ID NO:83.

In another aspect, the 4-1BB agonist is an antigen binding molecule comprising

30 (a) at least one antigen binding domain capable of specific binding to CEA,

(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

In one aspect, the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to CEA,

35 (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers, and



(c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

- 5 In another aspect, the 4-1BB agonist comprises an anti-CEA/anti-4-1BB bispecific antibody.

### **Agents targeting HER-2**

In aspects of the present invention, a 4-1BB agonist described herein is used in combination with HER-2 targeting therapy.

- 10 In some aspects of the present invention, the 4-1BB agonist is used in combination with a HER-2 targeting agent. In some embodiments, the agent interacts with HER-2. In some embodiments, the agent is a HER-2 antagonist. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug conjugate. In some embodiments, the agent is an antibody or antigen binding fragment, in particular an anti-HER-2 antibody. In some embodiments, the agent is a bispecific HER-2 antibody. In some embodiments, the agent is an antibody-drug conjugate.

- In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2 targeting agent is trastuzumab or pertuzumab.
- 20 In some aspects, the HER-2 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate, in particular trastuzumab emtansine (ado-trastuzumab emtansine).

- 25 In some aspects, the HER-2 targeting agent comprises an antibody comprising a heavy chain variable domain (VH) of SEQ ID NO:96 and a light chain variable domain (VL) of SEQ ID NO:97. In some aspects, the HER-2 targeting agent comprises an antibody comprising a heavy chain variable domain (VH) of SEQ ID NO:98 and a light chain variable domain (VL) of SEQ ID NO:99.

In some embodiments, and for any use described herein, the agent comprises trastuzumab (CAS 180288-69-1, HERCEPTIN®, huMAb4D5-8, rhuMAb HER2, Genentech), pertuzumab (rhuMAb 2C4, PERJETA®, Genentech, Inc, South San Francisco) or trastuzumab emtansine (Trastuzumab-MCC-DM1, T-DM1, ado-trastuzumab emtansine, KADCYLA®). In some embodiments, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some embodiments, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some embodiments, the HER-2 targeting agent comprises or consists of a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some embodiments, the HER-2 targeting agent comprises or consists of a combination of trastuzumab and pertuzumab. More particularly, the agent is trastuzumab.

Trastuzumab is a recombinant DNA-derived, IgG1 kappa, monoclonal antibody that is a humanized version of a murine anti-HER-2 antibody (4D5) that selectively binds with high affinity in a cell-based assay ( $K_d = 5$  nM) to the extracellular domain of HER-2. Trastuzumab is an antibody that has antigen binding residues of, or derived from, the murine 4D5 antibody (ATCC CRL 10463, deposited with American Type Culture Collection, 12301 Parklawn Drive, Rockville, Md. 20852 under the Budapest Treaty on May 24, 1990). Exemplary humanized 4D5 antibodies include huMAb4D5-1, huMAb4D5-2, huMAb4D5-3, huMAb4D5-4, huMAb4D5-5, huMAb4D5-6, huMAb4D5-7 and huMAb4D5-8 (HERCEPTIN®) as in US 5821337.

Pertuzumab is a recombinant humanized monoclonal antibody, generated based on human IgG1(K) framework, see for example US 7560111. Pertuzumab differs from trastuzumab in the epitope binding regions of the light chain (12 amino acid differences) and the heavy chain (30 amino acid differences). As a result of these differences, pertuzumab binds to a completely different epitope on the HER2 receptor. Binding of pertuzumab to the HER2 receptor on human epithelial cells prevents it from forming complexes with other members of the HER receptor family (Agus et al., Cancer Cell 2:127-137 (2002)). By blocking complex formation, pertuzumab prevents the growth-stimulatory effects of ligands for the complexes (e.g., EGF and heregulin).

Trastuzumab emtansine is an antibody-drug conjugate where trastuzumab is linked through linker moiety MCC to the maytansinoid drug moiety DM1 (US 5208020; US 6441163). The drug to antibody ratio or drug loading ranges in integer values from 1 to about 8. Trastuzumab-MCC-DM1 includes all mixtures of variously loaded and attached antibody-drug conjugates where 1, 2, 3, 4, 5, 6, 7, and 8 drug moieties are covalently attached to the antibody trastuzumab (US 7097840; US 2005/0276812; US 2005/0166993). Average drug load is about 3.5.

Trastuzumab, pertuzumab and trastuzumab emtansine may be used in any combination. In some embodiments, the HER-2 targeting agent comprises trastuzumab and pertuzumab.

The terms “**HER-2 targeting therapy**”, “**HER-2 targeting agent**” and “**agent that interacts with HER-2**” as used herein refers to an agent or agents which target the HER-2 (ErbB2) receptor tyrosine kinase. In particular, the term refers to an agent or agents which selectively bind to HER-2. The agent(s) may be an antibody, in particular a monoclonal antibody. In some cases, the agent(s) selectively binds to HER-2 with high affinity, for example in the nM or pM range. In some cases, the agent(s) binds to the extracellular domain of the HER-2 receptor. An agent(s) may cause: downregulation of HER-2 receptor expression, inhibition of proliferation of human tumour cells that overexpress HER-2 protein, enhancement of immune recruitment and ADCC against tumour cells that overexpress HER-2 protein, and/or downregulation of angiogenesis factors. In some aspects, the HER-2 targeting agent is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. The term “**anti-HER-2 antibody**” or “antibody binding to human HER-2” or “antibody that specifically binds to human HER-2” or “antagonistic anti-HER2” refers to an antibody specifically binding to the human HER-2. The antibody may bind the human HER-2 antigen with a binding affinity of K<sub>d</sub>-value of <10 nM, <5 nM, <2 nM, <1 nM, <0.5 nM, or lower. The binding affinity can be determined with a standard binding assay, such as surface plasmon resonance technique (BIAcore®, GE-Healthcare Uppsala, Sweden).

### **Preparation of bispecific antibodies for use in the invention**

In certain aspects, the therapeutic agents used in the combination comprise multispecific antibodies, e.g. bispecific antibodies. Multispecific antibodies are monoclonal antibodies that have binding specificities for at least two different sites. In certain aspects, the binding specificities are for different antigens. In certain aspects, the binding specificities are for different epitopes on the same antigen. Bispecific antibodies can be prepared as full length antibodies or antibody fragments.

Techniques for making multispecific antibodies include, but are not limited to, recombinant co-expression of two immunoglobulin heavy chain-light chain pairs having different specificities (see Milstein and Cuello, Nature 305: 537 (1983)), WO 93/08829, and Traunecker et al., EMBO J. 10: 3655 (1991)), and “knob-in-hole” engineering (see, e.g., U.S. Patent No. 5,731,168). Multi-specific antibodies may also be made by engineering electrostatic steering effects for making antibody Fc-heterodimeric molecules (WO 2009/089004A1); cross-linking of two or more antibodies or fragments (see, e.g., US Patent No. 4,676,980, and Brennan et al., Science, 229: 81 (1985)); using leucine zippers to produce bi-specific antibodies (see, e.g., Kostelny et al., J. Immunol., 148(5):1547-1553 (1992)); using

"diabody" technology for making bispecific antibody fragments (see, e.g., Hollinger et al., Proc. Natl. Acad. Sci. USA, 90:6444-6448 (1993)); and using single-chain Fv (sFv) dimers (see, e.g. Gruber et al., J. Immunol., 152:5368 (1994)); and preparing trispecific antibodies as described, e.g., in Tutt et al. J. Immunol. 147: 60 (1991).

5 Engineered antibodies with three or more functional antigen binding sites, including "Octopus antibodies," are also included herein (see, e.g. US 2006/0025576A1).

The antibodies or fragmentsa herein also include a "Dual Acting FAb" or "DAF" comprising an antigen binding site that binds to two different antigens (see, US 2008/0069820, for example). "Crossmab" antibodies are also included herein (see e.g. WO 2009/080251,  
10 WO 2009/080252, WO2009/080253, or WO2009/080254).

Another technique for making bispecific antibody fragments is the "bispecific T cell engager" or BiTE® approach (see, e.g., WO2004/106381, WO2005/061547, WO2007/042261, and WO2008/119567). This approach utilizes two antibody variable domains arranged on a single polypeptide. For example, a single polypeptide chain includes  
15 two single chain Fv (scFv) fragments, each having a variable heavy chain (VH) and a variable light chain (VL) domain separated by a polypeptide linker of a length sufficient to allow intramolecular association between the two domains. This single polypeptide further includes a polypeptide spacer sequence between the two scFv fragments. Each scFv recognizes a different epitope, and these epitopes may be specific for different cell types, such that cells of  
20 two different cell types are brought into close proximity or tethered when each scFv is engaged with its cognate epitope. One particular embodiment of this approach includes a scFv recognizing a cell-surface antigen expressed by an immune cell, e.g., a CD3 polypeptide on a T cell, linked to another scFv that recognizes a cell-surface antigen expressed by a target cell, such as a malignant or tumor cell.

25 As it is a single polypeptide, the bispecific T cell engager may be expressed using any prokaryotic or eukaryotic cell expression system known in the art, e.g., a CHO cell line. However, specific purification techniques (see, e.g., EP1691833) may be necessary to separate monomeric bispecific T cell engagers from other multimeric species, which may have biological activities other than the intended activity of the monomer. In one exemplary  
30 purification scheme, a solution containing secreted polypeptides is first subjected to a metal affinity chromatography, and polypeptides are eluted with a gradient of imidazole concentrations. This eluate is further purified using anion exchange chromatography, and polypeptides are eluted using with a gradient of sodium chloride concentrations. Finally, this eluate is subjected to size exclusion chromatography to separate monomers from multimeric  
35 species. In one aspect, the bispecific bispecific antibodies used in the invention are composed

of a single polypeptide chain comprising two single chain FV fragments (scFV) fused to each other by a peptide linker.

### **Fc domain modifications reducing Fc receptor binding and/or effector function**

5 The Fc domain of the antigen binding molecules of the invention consists of a pair of polypeptide chains comprising heavy chain domains of an immunoglobulin molecule. For example, the Fc domain of an immunoglobulin G (IgG) molecule is a dimer, each subunit of which comprises the CH2 and CH3 IgG heavy chain constant domains. The two subunits of the Fc domain are capable of stable association with each other.

10 The Fc domain confers favorable pharmacokinetic properties to the antigen binding molecules of the invention, including a long serum half-life which contributes to good accumulation in the target tissue and a favorable tissue-blood distribution ratio. At the same time it may, however, lead to undesirable targeting of the bispecific antibodies of the invention to cells expressing Fc receptors rather than to the preferred antigen-bearing cells. Accordingly, in particular aspects, the Fc domain of the antigen binding molecules of the invention exhibits reduced binding affinity to an Fc receptor and/or reduced effector function, 15 as compared to a native IgG1 Fc domain. In one aspect, the Fc does not substantially bind to an Fc receptor and/or does not induce effector function. In a particular aspect the Fc receptor is an Fc $\gamma$  receptor. In one aspect, the Fc receptor is a human Fc receptor. In a specific aspect, the Fc receptor is an activating human Fc $\gamma$  receptor, more specifically human Fc $\gamma$ RIIIa, Fc $\gamma$ RI or Fc $\gamma$ RIIa, most specifically human Fc $\gamma$ RIIIa. In one aspect, the Fc domain does not induce effector function. The reduced effector function can include, but is not limited to, one or more of the following: reduced complement dependent cytotoxicity (CDC), reduced antibody-dependent cell-mediated cytotoxicity (ADCC), reduced antibody-dependent cellular phagocytosis (ADCP), reduced cytokine secretion, reduced immune complex-mediated 25 antigen uptake by antigen-presenting cells, reduced binding to NK cells, reduced binding to macrophages, reduced binding to monocytes, reduced binding to polymorphonuclear cells, reduced direct signaling inducing apoptosis, reduced dendritic cell maturation, or reduced T cell priming.

30 In certain aspects, one or more amino acid modifications may be introduced into the Fc region of an antibody provided herein, thereby generating an Fc region variant. The Fc region variant may comprise a human Fc region sequence (e.g., a human IgG1, IgG2, IgG3 or IgG4 Fc region) comprising an amino acid modification (e.g. a substitution) at one or more amino acid positions.

35 In a particular aspect, the invention provides an antibody, wherein the Fc domain comprises one or more amino acid substitution that reduces binding to an Fc receptor, in particular towards Fc $\gamma$  receptor.

In one aspect, the Fc domain of the antibody of the invention comprises one or more amino acid mutation that reduces the binding affinity of the Fc domain to an Fc receptor and/or effector function. Typically, the same one or more amino acid mutation is present in each of the two subunits of the Fc domain. In particular, the Fc domain comprises an amino acid substitution at a position of E233, L234, L235, N297, P331 and P329 (EU numbering).  
5 In particular, the Fc domain comprises amino acid substitutions at positions 234 and 235 (EU numbering) and/or 329 (EU numbering) of the IgG heavy chains. More particularly, provided is an antibody according to the invention which comprises an Fc domain with the amino acid substitutions L234A, L235A and P329G ("P329G LALA", EU numbering) in the IgG heavy  
10 chains. The amino acid substitutions L234A and L235A refer to the so-called LALA mutation. The "P329G LALA" combination of amino acid substitutions almost completely abolishes Fcγ receptor binding of a human IgG1 Fc domain and is described in International Patent Appl. Publ. No. WO 2012/130831 A1 which also describes methods of preparing such mutant Fc domains and methods for determining its properties such as Fc receptor binding or effector  
15 functions.

Fc domains with reduced Fc receptor binding and/or effector function also include those with substitution of one or more of Fc domain residues 238, 265, 269, 270, 297, 327 and 329 (U.S. Patent No. 6,737,056). Such Fc mutants include Fc mutants with substitutions at two or more of amino acid positions 265, 269, 270, 297 and 327, including the so-called "DANA" Fc  
20 mutant with substitution of residues 265 and 297 to alanine (US Patent No. 7,332,581).

In another aspect, the Fc domain is an IgG4 Fc domain. IgG4 antibodies exhibit reduced binding affinity to Fc receptors and reduced effector functions as compared to IgG1 antibodies. In a more specific aspect, the Fc domain is an IgG4 Fc domain comprising an amino acid substitution at position S228 (Kabat numbering), particularly the amino acid  
25 substitution S228P. In a more specific aspect, the Fc domain is an IgG4 Fc domain comprising amino acid substitutions L235E and S228P and P329G (EU numbering). Such IgG4 Fc domain mutants and their Fcγ receptor binding properties are also described in WO 2012/130831.

Mutant Fc domains can be prepared by amino acid deletion, substitution, insertion or  
30 modification using genetic or chemical methods well known in the art. Genetic methods may include site-specific mutagenesis of the encoding DNA sequence, PCR, gene synthesis, and the like. The correct nucleotide changes can be verified for example by sequencing.

Binding to Fc receptors can be easily determined e.g. by ELISA, or by Surface Plasmon Resonance (SPR) using standard instrumentation such as a BIAcore instrument (GE  
35 Healthcare), and Fc receptors such as may be obtained by recombinant expression. Alternatively, binding affinity of Fc domains or cell activating antibodies comprising an Fc

domain for Fc receptors may be evaluated using cell lines known to express particular Fc receptors, such as human NK cells expressing FcγIIIa receptor.

Effector function of an Fc domain, or antibodies of the invention comprising an Fc domain, can be measured by methods known in the art. A suitable assay for measuring ADCC is described herein. Other examples of in vitro assays to assess ADCC activity of a molecule of interest are described in U.S. Patent No. 5,500,362; Hellstrom et al. Proc Natl Acad Sci USA 83, 7059-7063 (1986) and Hellstrom et al., Proc Natl Acad Sci USA 82, 1499-1502 (1985); U.S. Patent No. 5,821,337; Bruggemann et al., J Exp Med 166, 1351-1361 (1987). Alternatively, non-radioactive assays methods may be employed (see, for example, ACTI™ non-radioactive cytotoxicity assay for flow cytometry (CellTechnology, Inc. Mountain View, CA); and CytoTox 96® non-radioactive cytotoxicity assay (Promega, Madison, WI)). Useful effector cells for such assays include peripheral blood mononuclear cells (PBMC) and Natural Killer (NK) cells. Alternatively, or additionally, ADCC activity of the molecule of interest may be assessed in vivo, e.g. in a animal model such as that disclosed in Clynes et al., Proc Natl Acad Sci USA 95, 652-656 (1998).

In some aspects, binding of the Fc domain to a complement component, specifically to C1q, is reduced. Accordingly, in some embodiments wherein the Fc domain is engineered to have reduced effector function, said reduced effector function includes reduced CDC. C1q binding assays may be carried out to determine whether the bispecific antibodies of the invention are able to bind C1q and hence have CDC activity. See e.g., C1q and C3c binding ELISA in WO 2006/029879 and WO 2005/100402. To assess complement activation, a CDC assay may be performed (see, for example, Gazzano-Santoro et al., J Immunol Methods 202, 163 (1996); Cragg et al., Blood 101, 1045-1052 (2003); and Cragg and Glennie, Blood 103, 2738-2743 (2004)).

## **Fc domain modifications promoting heterodimerization**

The bispecific antigen binding molecules of the invention comprise different antigen-binding sites, fused to one or the other of the two subunits of the Fc domain, thus the two subunits of the Fc domain may be comprised in two non-identical polypeptide chains. Recombinant co-expression of these polypeptides and subsequent dimerization leads to several possible combinations of the two polypeptides. To improve the yield and purity of the bispecific antibodies of the invention in recombinant production, it will thus be advantageous to introduce in the Fc domain of the bispecific antigen binding molecules of the invention a modification promoting the association of the desired polypeptides.

Accordingly, in particular aspects the invention relates to the bispecific antigen binding molecule comprising (a) at least one antigen binding domain capable of specific binding to a tumor-associated antigen, (b) a first and a second polypeptide that are linked to each other by

a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises two ectodomains of 4-1BBL or two fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises only one ectodomain of said 4-1BBL or a fragment thereof, and (c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the Fc domain comprises a modification promoting the association of the first and second subunit of the Fc domain. The site of most extensive protein-protein interaction between the two subunits of a human IgG Fc domain is in the CH3 domain of the Fc domain. Thus, in one aspect said modification is in the CH3 domain of the Fc domain.

10 In a specific aspect said modification is a so-called “knob-into-hole” modification, comprising a “knob” modification in one of the two subunits of the Fc domain and a “hole” modification in the other one of the two subunits of the Fc domain. Thus, the invention relates to an antigen binding molecule comprising (a) at least one antigen binding domain capable of specific binding to a tumor-associated antigen, (b) a first and a second polypeptide that are  
15 linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises two ectodomains of 4-1BBL or two fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises only one ectodomain of 4-1BBL or a fragment thereof, and (c) a Fc domain composed of a first and a second subunit capable  
20 of stable association, wherein the first subunit of the Fc domain comprises knobs and the second subunit of the Fc domain comprises holes according to the knobs into holes method. In a particular aspect, the first subunit of the Fc domain comprises the amino acid substitutions S354C and T366W (EU numbering) and the second subunit of the Fc domain comprises the amino acid substitutions Y349C, T366S and Y407V (numbering according to Kabat EU  
25 index).

The knob-into-hole technology is described e.g. in US 5,731,168; US 7,695,936; Ridgway et al., Prot Eng 9, 617-621 (1996) and Carter, J Immunol Meth 248, 7-15 (2001). Generally, the method involves introducing a protuberance (“knob”) at the interface of a first polypeptide and a corresponding cavity (“hole”) in the interface of a second polypeptide, such  
30 that the protuberance can be positioned in the cavity so as to promote heterodimer formation and hinder homodimer formation. Protuberances are constructed by replacing small amino acid side chains from the interface of the first polypeptide with larger side chains (e.g. tyrosine or tryptophan). Compensatory cavities of identical or similar size to the protuberances are created in the interface of the second polypeptide by replacing large amino  
35 acid side chains with smaller ones (e.g. alanine or threonine).

Accordingly, in one aspect, in the CH3 domain of the first subunit of the Fc domain of the bispecific antigen binding molecules of the invention an amino acid residue is replaced



with an amino acid residue having a larger side chain volume, thereby generating a protuberance within the CH3 domain of the first subunit which is positionable in a cavity within the CH3 domain of the second subunit, and in the CH3 domain of the second subunit of the Fc domain an amino acid residue is replaced with an amino acid residue having a smaller side chain volume, thereby generating a cavity within the CH3 domain of the second subunit within which the protuberance within the CH3 domain of the first subunit is positionable. The protuberance and cavity can be made by altering the nucleic acid encoding the polypeptides, e.g. by site-specific mutagenesis, or by peptide synthesis. In a specific aspect, in the CH3 domain of the first subunit of the Fc domain the threonine residue at position 366 is replaced with a tryptophan residue (T366W), and in the CH3 domain of the second subunit of the Fc domain the tyrosine residue at position 407 is replaced with a valine residue (Y407V). In one aspect, in the second subunit of the Fc domain additionally the threonine residue at position 366 is replaced with a serine residue (T366S) and the leucine residue at position 368 is replaced with an alanine residue (L368A).

In yet a further aspect, in the first subunit of the Fc domain additionally the serine residue at position 354 is replaced with a cysteine residue (S354C), and in the second subunit of the Fc domain additionally the tyrosine residue at position 349 is replaced by a cysteine residue (Y349C). Introduction of these two cysteine residues results in formation of a disulfide bridge between the two subunits of the Fc domain, further stabilizing the dimer (Carter (2001), J Immunol Methods 248, 7-15). In a particular aspect, the first subunit of the Fc domain comprises the amino acid substitutions S354C and T366W (EU numbering) and the second subunit of the Fc domain comprises the amino acid substitutions Y349C, T366S and Y407V (numbering according to Kabat EU index).

In an alternative aspect, a modification promoting association of the first and the second subunit of the Fc domain comprises a modification mediating electrostatic steering effects, e.g. as described in PCT publication WO 2009/089004. Generally, this method involves replacement of one or more amino acid residues at the interface of the two Fc domain subunits by charged amino acid residues so that homodimer formation becomes electrostatically unfavorable but heterodimerization electrostatically favorable.

The C-terminus of the heavy chain of the bispecific antibody as reported herein can be a complete C-terminus ending with the amino acid residues PGK. The C-terminus of the heavy chain can be a shortened C-terminus in which one or two of the C terminal amino acid residues have been removed. In one preferred aspect, the C-terminus of the heavy chain is a shortened C-terminus ending PG. In one aspect of all aspects as reported herein, a bispecific antibody comprising a heavy chain including a C-terminal CH3 domain as specified herein, comprises the C-terminal glycine-lysine dipeptide (G446 and K447, numbering according to Kabat EU index). In one embodiment of all aspects as reported herein, a bispecific antibody

comprising a heavy chain including a C-terminal CH3 domain, as specified herein, comprises a C-terminal glycine residue (G446, numbering according to Kabat EU index).

### Modifications in the Fab domains

In one aspect, the invention relates to a 4-1BBL-containing antigen binding molecule, comprising (a) a Fab fragment capable of specific binding to a tumor-associated antigen, (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises two ectodomains of 4-1BBL or two fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises only one ectodomain of 4-1BBL or a fragment thereof, and (c) a Fc domain composed of a first and a second subunit capable of stable association, wherein in one of the Fab fragments either the variable domains VH and VL or the constant domains CH1 and CL are exchanged. The bispecific antibodies are prepared according to the Crossmab technology.

Multispecific antibodies with a domain replacement/exchange in one binding arm (CrossMabVH-VL or CrossMabCH-CL) are described in detail in WO2009/080252 and Schaefer, W. et al, PNAS, 108 (2011) 11187-1191. They clearly reduce the byproducts caused by the mismatch of a light chain against a first antigen with the wrong heavy chain against the second antigen (compared to approaches without such domain exchange).

In one aspect, the invention relates to a bispecific antigen binding molecule comprising (a) a first Fab fragment capable of specific binding to a tumor associated antigen, (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises two ectodomains of 4-1BBL or two fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises only one ectodomain of 4-1BBL or a fragment thereof, and wherein each of them is linked to a CH1 or CL domain, and (c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the constant domains CL and CH1 adjacent to 4-1BBL are replaced by each other so that the CH1 domain is part of the light chain and the CL domain is part of the heavy chain.

In another aspect, the invention relates to a bispecific antigen binding molecule, comprising (a) two light chains and two heavy chains of an antibody comprising two Fab fragments capable of specific binding to 4-1BB and the Fc domain, and (b) two additional Fab fragments capable of specific binding to a tumor associated antigen, wherein said additional Fab fragments are each connected via a peptide linker to the C-terminus of the heavy chains of (a). In a particular aspect, the additional Fab fragments are Fab fragments, wherein the variable domains VL and VH are replaced by each other so that the VH domain is part of the light chain and the VL domain is part of the heavy chain.

In another aspect, and to further improve correct pairing, the bispecific antigen binding molecule comprising (a) a first Fab fragment capable of specific binding to a tumor associated antigen, (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises two ectodomains of 4-1BBL or two fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises only one ectodomain of 4-1BBL or a fragment thereof, and wherein each of them is linked to a CH1 or CL domain, and (c) a Fc domain composed of a first and a second subunit capable of stable association, can contain different charged amino acid substitutions (so-called "charged residues"). These modifications are introduced in the crossed or non-crossed CH1 and CL domains. In a particular aspect, the invention relates to a bispecific antigen binding molecule, wherein in one of CL domains the amino acid at position 123 (EU numbering) has been replaced by arginine (R) and the amino acid at position 124 (EU numbering) has been substituted by lysine (K) and wherein in one of the CH1 domains the amino acids at position 147 (EU numbering) and at position 213 (EU numbering) have been substituted by glutamic acid (E).

More particularly, the invention relates to a bispecific binding molecule comprising a Fab, wherein in the CL domain adjacent to 4-1BBL the amino acid at position 123 (EU numbering) has been replaced by arginine (R) and the amino acid at position 124 (EU numbering) has been substituted by lysine (K), and wherein in the CH1 domain adjacent to 4-1BBL the amino acids at position 147 (EU numbering) and at position 213 (EU numbering) have been substituted by glutamic acid (E).

### **Polynucleotides**

The invention further provides isolated polynucleotides encoding an antibody as described herein or a fragment thereof.

The isolated polynucleotides encoding the antibodies of the invention may be expressed as a single polynucleotide that encodes the entire antigen binding molecule or as multiple (e.g., two or more) polynucleotides that are co-expressed. Polypeptides encoded by polynucleotides that are co-expressed may associate through, e.g., disulfide bonds or other means to form a functional antigen binding molecule. For example, the light chain portion of an immunoglobulin may be encoded by a separate polynucleotide from the heavy chain portion of the immunoglobulin. When co-expressed, the heavy chain polypeptides will associate with the light chain polypeptides to form the immunoglobulin.

In some aspects, the isolated polynucleotide encodes the entire antibody according to the invention as described herein. In other embodiments, the isolated polynucleotide encodes a polypeptide comprised in the antibody according to the invention as described herein.

In certain embodiments the polynucleotide or nucleic acid is DNA. In other embodiments, a polynucleotide of the present invention is RNA, for example, in the form of messenger RNA (mRNA). RNA of the present invention may be single stranded or double stranded.

## 5        **Recombinant Methods**

Bispecific antibodies as used in the invention may be obtained, for example, by solid-state peptide synthesis (e.g. Merrifield solid phase synthesis) or recombinant production. For recombinant production one or more polynucleotide encoding the antibody or polypeptide fragments thereof, e.g., as described above, is isolated and inserted into one or more vectors  
10 for further cloning and/or expression in a host cell. Such polynucleotide may be readily isolated and sequenced using conventional procedures. In one aspect of the invention, a vector, preferably an expression vector, comprising one or more of the polynucleotides of the invention is provided. Methods which are well known to those skilled in the art can be used to construct expression vectors containing the coding sequence of the antibody (fragment) along  
15 with appropriate transcriptional/translational control signals. These methods include in vitro recombinant DNA techniques, synthetic techniques and in vivo recombination/genetic recombination. See, for example, the techniques described in Maniatis et al., *MOLECULAR CLONING: A LABORATORY MANUAL*, Cold Spring Harbor Laboratory, N.Y. (1989); and Ausubel et al., *CURRENT PROTOCOLS IN MOLECULAR BIOLOGY*, Greene  
20 Publishing Associates and Wiley Interscience, N.Y. (1989). The expression vector can be part of a plasmid, virus, or may be a nucleic acid fragment. The expression vector includes an expression cassette into which the polynucleotide encoding the antibody or polypeptide fragments thereof (i.e. the coding region) is cloned in operable association with a promoter and/or other transcription or translation control elements. As used herein, a "coding region" is  
25 a portion of nucleic acid which consists of codons translated into amino acids. Although a "stop codon" (TAG, TGA, or TAA) is not translated into an amino acid, it may be considered to be part of a coding region, if present, but any flanking sequences, for example promoters, ribosome binding sites, transcriptional terminators, introns, 5' and 3' untranslated regions, and the like, are not part of a coding region. Two or more coding regions can be present in a single  
30 polynucleotide construct, e.g. on a single vector, or in separate polynucleotide constructs, e.g. on separate (different) vectors. Furthermore, any vector may contain a single coding region, or may comprise two or more coding regions, e.g. a vector of the present invention may encode one or more polypeptides, which are post- or co-translationally separated into the final proteins via proteolytic cleavage. In addition, a vector, polynucleotide, or nucleic acid of the  
35 invention may encode heterologous coding regions, either fused or unfused to a polynucleotide encoding the antibody of the invention or polypeptide fragments thereof, or variants or derivatives thereof. Heterologous coding regions include without limitation specialized elements or motifs, such as a secretory signal peptide or a heterologous functional

domain. An operable association is when a coding region for a gene product, e.g. a polypeptide, is associated with one or more regulatory sequences in such a way as to place expression of the gene product under the influence or control of the regulatory sequence(s). Two DNA fragments (such as a polypeptide coding region and a promoter associated therewith) are "operably associated" if induction of promoter function results in the transcription of mRNA encoding the desired gene product and if the nature of the linkage between the two DNA fragments does not interfere with the ability of the expression regulatory sequences to direct the expression of the gene product or interfere with the ability of the DNA template to be transcribed. Thus, a promoter region would be operably associated with a nucleic acid encoding a polypeptide if the promoter was capable of effecting transcription of that nucleic acid. The promoter may be a cell-specific promoter that directs substantial transcription of the DNA only in predetermined cells. Other transcription control elements, besides a promoter, for example enhancers, operators, repressors, and transcription termination signals, can be operably associated with the polynucleotide to direct cell-specific transcription.

Suitable promoters and other transcription control regions are disclosed herein. A variety of transcription control regions are known to those skilled in the art. These include, without limitation, transcription control regions, which function in vertebrate cells, such as, but not limited to, promoter and enhancer segments from cytomegaloviruses (e.g. the immediate early promoter, in conjunction with intron-A), simian virus 40 (e.g. the early promoter), and retroviruses (such as, e.g. Rous sarcoma virus). Other transcription control regions include those derived from vertebrate genes such as actin, heat shock protein, bovine growth hormone and rabbit  $\alpha$ -globin, as well as other sequences capable of controlling gene expression in eukaryotic cells. Additional suitable transcription control regions include tissue-specific promoters and enhancers as well as inducible promoters (e.g. promoters inducible tetracyclins). Similarly, a variety of translation control elements are known to those of ordinary skill in the art. These include, but are not limited to ribosome binding sites, translation initiation and termination codons, and elements derived from viral systems (particularly an internal ribosome entry site, or IRES, also referred to as a CITE sequence). The expression cassette may also include other features such as an origin of replication, and/or chromosome integration elements such as retroviral long terminal repeats (LTRs), or adeno-associated viral (AAV) inverted terminal repeats (ITRs).

Polynucleotide and nucleic acid coding regions of the present invention may be associated with additional coding regions which encode secretory or signal peptides, which direct the secretion of a polypeptide encoded by a polynucleotide of the present invention. For example, if secretion of the antibody or polypeptide fragments thereof is desired, DNA encoding a signal sequence may be placed upstream of the nucleic acid an antibody of the invention or polypeptide fragments thereof. According to the signal hypothesis, proteins

secreted by mammalian cells have a signal peptide or secretory leader sequence which is cleaved from the mature protein once export of the growing protein chain across the rough endoplasmic reticulum has been initiated. Those of ordinary skill in the art are aware that polypeptides secreted by vertebrate cells generally have a signal peptide fused to the N-terminus of the polypeptide, which is cleaved from the translated polypeptide to produce a secreted or "mature" form of the polypeptide. In certain embodiments, the native signal peptide, e.g. an immunoglobulin heavy chain or light chain signal peptide is used, or a functional derivative of that sequence that retains the ability to direct the secretion of the polypeptide that is operably associated with it. Alternatively, a heterologous mammalian signal peptide, or a functional derivative thereof, may be used. For example, the wild-type leader sequence may be substituted with the leader sequence of human tissue plasminogen activator (TPA) or mouse  $\beta$ -glucuronidase.

DNA encoding a short protein sequence that could be used to facilitate later purification (e.g. a histidine tag) or assist in labeling the fusion protein may be included within or at the ends of the polynucleotide encoding an antibody of the invention or polypeptide fragments thereof.

In a further aspect of the invention, a host cell comprising one or more polynucleotides of the invention is provided. In certain embodiments a host cell comprising one or more vectors of the invention is provided. The polynucleotides and vectors may incorporate any of the features, singly or in combination, described herein in relation to polynucleotides and vectors, respectively. In one aspect, a host cell comprises (e.g. has been transformed or transfected with) a vector comprising a polynucleotide that encodes (part of) an antibody of the invention of the invention. As used herein, the term "host cell" refers to any kind of cellular system which can be engineered to generate the fusion proteins of the invention or fragments thereof. Host cells suitable for replicating and for supporting expression of antigen binding molecules are well known in the art. Such cells may be transfected or transduced as appropriate with the particular expression vector and large quantities of vector containing cells can be grown for seeding large scale fermenters to obtain sufficient quantities of the antigen binding molecule for clinical applications. Suitable host cells include prokaryotic microorganisms, such as *E. coli*, or various eukaryotic cells, such as Chinese hamster ovary cells (CHO), insect cells, or the like. For example, polypeptides may be produced in bacteria in particular when glycosylation is not needed. After expression, the polypeptide may be isolated from the bacterial cell paste in a soluble fraction and can be further purified. In addition to prokaryotes, eukaryotic microbes such as filamentous fungi or yeast are suitable cloning or expression hosts for polypeptide-encoding vectors, including fungi and yeast strains whose glycosylation pathways have been "humanized", resulting in the production of a polypeptide with a partially or fully human glycosylation pattern. See Gerngross, *Nat Biotech* 22, 1409-1414 (2004), and Li et al., *Nat Biotech* 24, 210-215 (2006).

Suitable host cells for the expression of (glycosylated) polypeptides are also derived from multicellular organisms (invertebrates and vertebrates). Examples of invertebrate cells include plant and insect cells. Numerous baculoviral strains have been identified which may be used in conjunction with insect cells, particularly for transfection of *Spodoptera frugiperda* cells. Plant cell cultures can also be utilized as hosts. See e.g. US Patent Nos. 5,959,177, 6,040,498, 6,420,548, 7,125,978, and 6,417,429 (describing PLANTIBODIES<sup>TM</sup> technology for producing antibodies in transgenic plants). Vertebrate cells may also be used as hosts. For example, mammalian cell lines that are adapted to grow in suspension may be useful. Other examples of useful mammalian host cell lines are monkey kidney CV1 line transformed by SV40 (COS-7); human embryonic kidney line (293 or 293T cells as described, e.g., in Graham et al., J Gen Virol 36, 59 (1977)), baby hamster kidney cells (BHK), mouse sertoli cells (TM4 cells as described, e.g., in Mather, Biol Reprod 23, 243-251 (1980)), monkey kidney cells (CV1), African green monkey kidney cells (VERO-76), human cervical carcinoma cells (HELA), canine kidney cells (MDCK), buffalo rat liver cells (BRL 3A), human lung cells (W138), human liver cells (Hep G2), mouse mammary tumor cells (MMT 060562), TRI cells (as described, e.g., in Mather et al., Annals N.Y. Acad Sci 383, 44-68 (1982)), MRC 5 cells, and FS4 cells. Other useful mammalian host cell lines include Chinese hamster ovary (CHO) cells, including dhfr- CHO cells (Urlaub et al., Proc Natl Acad Sci USA 77, 4216 (1980)); and myeloma cell lines such as YO, NS0, P3X63 and Sp2/0. For a review of certain mammalian host cell lines suitable for protein production, see, e.g., Yazaki and Wu, Methods in Molecular Biology, Vol. 248 (B.K.C. Lo, ed., Humana Press, Totowa, NJ), pp. 255-268 (2003). Host cells include cultured cells, e.g., mammalian cultured cells, yeast cells, insect cells, bacterial cells and plant cells, to name only a few, but also cells comprised within a transgenic animal, transgenic plant or cultured plant or animal tissue. In one embodiment, the host cell is a eukaryotic cell, preferably a mammalian cell, such as a Chinese Hamster Ovary (CHO) cell, a human embryonic kidney (HEK) cell or a lymphoid cell (e.g., YO, NS0, Sp20 cell). Standard technologies are known in the art to express foreign genes in these systems. Cells expressing a polypeptide comprising either the heavy or the light chain of an immunoglobulin, may be engineered so as to also express the other of the immunoglobulin chains such that the expressed product is an immunoglobulin that has both a heavy and a light chain.

In one aspect, a method of producing an antibody of the invention or polypeptide fragments thereof is provided, wherein the method comprises culturing a host cell comprising polynucleotides encoding the antibody of the invention or polypeptide fragments thereof, as provided herein, under conditions suitable for expression of the antibody of the invention or polypeptide fragments thereof, and recovering the antibody of the invention or polypeptide fragments thereof from the host cell (or host cell culture medium).

In certain embodiments the moieties capable of specific binding to a target cell antigen (e.g. Fab fragments) forming part of the antigen binding molecule comprise at least an immunoglobulin variable region capable of binding to an antigen. Variable regions can form part of and be derived from naturally or non-naturally occurring antibodies and fragments thereof. Methods to produce polyclonal antibodies and monoclonal antibodies are well known in the art (see e.g. Harlow and Lane, "Antibodies, a laboratory manual", Cold Spring Harbor Laboratory, 1988). Non-naturally occurring antibodies can be constructed using solid phase-peptide synthesis, can be produced recombinantly (e.g. as described in U.S. patent No. 4,186,567) or can be obtained, for example, by screening combinatorial libraries comprising variable heavy chains and variable light chains (see e.g. U.S. Patent. No. 5,969,108 to McCafferty).

Any animal species of immunoglobulin can be used in the invention. Non-limiting immunoglobulins useful in the present invention can be of murine, primate, or human origin. If the fusion protein is intended for human use, a chimeric form of immunoglobulin may be used wherein the constant regions of the immunoglobulin are from a human. A humanized or fully human form of the immunoglobulin can also be prepared in accordance with methods well known in the art (see e. g. U.S. Patent No. 5,565,332 to Winter). Humanization may be achieved by various methods including, but not limited to (a) grafting the non-human (e.g., donor antibody) CDRs onto human (e.g. recipient antibody) framework and constant regions with or without retention of critical framework residues (e.g. those that are important for retaining good antigen binding affinity or antibody functions), (b) grafting only the non-human specificity-determining regions (SDRs or a-CDRs; the residues critical for the antibody-antigen interaction) onto human framework and constant regions, or (c) transplanting the entire non-human variable domains, but "cloaking" them with a human-like section by replacement of surface residues. Humanized antibodies and methods of making them are reviewed, e.g., in Almagro and Fransson, *Front Biosci* 13, 1619-1633 (2008), and are further described, e.g., in Riechmann et al., *Nature* 332, 323-329 (1988); Queen et al., *Proc Natl Acad Sci USA* 86, 10029-10033 (1989); US Patent Nos. 5,821,337, 7,527,791, 6,982,321, and 7,087,409; Jones et al., *Nature* 321, 522-525 (1986); Morrison et al., *Proc Natl Acad Sci* 81, 6851-6855 (1984); Morrison and Oi, *Adv Immunol* 44, 65-92 (1988); Verhoeyen et al., *Science* 239, 1534-1536 (1988); Padlan, *Molec Immun* 31(3), 169-217 (1994); Kashmiri et al., *Methods* 36, 25-34 (2005) (describing SDR (a-CDR) grafting); Padlan, *Mol Immunol* 28, 489-498 (1991) (describing "resurfacing"); Dall'Acqua et al., *Methods* 36, 43-60 (2005) (describing "FR shuffling"); and Osbourn et al., *Methods* 36, 61-68 (2005) and Klimka et al., *Br J Cancer* 83, 252-260 (2000) (describing the "guided selection" approach to FR shuffling). Particular immunoglobulins according to the invention are human immunoglobulins. Human antibodies and human variable regions can be produced using various techniques known in the art. Human antibodies are described generally in van Dijk



and van de Winkel, *Curr Opin Pharmacol* 5, 368-74 (2001) and Lonberg, *Curr Opin Immunol* 20, 450-459 (2008). Human variable regions can form part of and be derived from human monoclonal antibodies made by the hybridoma method (see e.g. *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63 (Marcel Dekker, Inc., New York, 1987)).

5 Human antibodies and human variable regions may also be prepared by administering an immunogen to a transgenic animal that has been modified to produce intact human antibodies or intact antibodies with human variable regions in response to antigenic challenge (see e.g. Lonberg, *Nat Biotech* 23, 1117-1125 (2005). Human antibodies and human variable regions may also be generated by isolating Fv clone variable region sequences selected from human-  
10 derived phage display libraries (see e.g., Hoogenboom et al. in *Methods in Molecular Biology* 178, 1-37 (O'Brien et al., ed., Human Press, Totowa, NJ, 2001); and McCafferty et al., *Nature* 348, 552-554; Clackson et al., *Nature* 352, 624-628 (1991)). Phage typically display antibody fragments, either as single-chain Fv (scFv) fragments or as Fab fragments.

In certain aspects, the antibodies are engineered to have enhanced binding affinity  
15 according to, for example, the methods disclosed in PCT publication WO 2012/020006 (see Examples relating to affinity maturation) or U.S. Pat. Appl. Publ. No. 2004/0132066. The ability of the antigen binding molecules of the invention to bind to a specific antigenic determinant can be measured either through an enzyme-linked immunosorbent assay (ELISA) or other techniques familiar to one of skill in the art, e.g. surface plasmon resonance technique  
20 (Liljeblad, et al., *Glyco J* 17, 323-329 (2000)), and traditional binding assays (Heeley, *Endocr Res* 28, 217-229 (2002)). Competition assays may be used to identify an antigen binding molecule that competes with a reference antibody for binding to a particular antigen. In certain embodiments, such a competing antigen binding molecule binds to the same epitope (e.g. a linear or a conformational epitope) that is bound by the reference antigen binding  
25 molecule. Detailed exemplary methods for mapping an epitope to which an antigen binding molecule binds are provided in Morris (1996) "Epitope Mapping Protocols", in *Methods in Molecular Biology* vol. 66 (Humana Press, Totowa, NJ). In an exemplary competition assay, immobilized antigen is incubated in a solution comprising a first labeled antigen binding molecule that binds to the antigen and a second unlabeled antigen binding molecule that is  
30 being tested for its ability to compete with the first antigen binding molecule for binding to the antigen. The second antigen binding molecule may be present in a hybridoma supernatant. As a control, immobilized antigen is incubated in a solution comprising the first labeled antigen binding molecule but not the second unlabeled antigen binding molecule. After incubation under conditions permissive for binding of the first antibody to the antigen, excess  
35 unbound antibody is removed, and the amount of label associated with immobilized antigen is measured. If the amount of label associated with immobilized antigen is substantially reduced in the test sample relative to the control sample, then that indicates that the second antigen binding molecule is competing with the first antigen binding molecule for binding to the

antigen. See Harlow and Lane (1988) Antibodies: A Laboratory Manual ch.14 (Cold Spring Harbor Laboratory, Cold Spring Harbor, NY).

Antibodies of the invention prepared as described herein may be purified by art-known techniques such as high performance liquid chromatography, ion exchange chromatography, gel electrophoresis, affinity chromatography, size exclusion chromatography, and the like. The actual conditions used to purify a particular protein will depend, in part, on factors such as net charge, hydrophobicity, hydrophilicity etc., and will be apparent to those having skill in the art. For affinity chromatography purification an antibody, ligand, receptor or antigen can be used to which the antigen binding molecule binds. For example, for affinity chromatography purification of fusion proteins of the invention, a matrix with protein A or protein G may be used. Sequential Protein A or G affinity chromatography and size exclusion chromatography can be used to isolate an antigen binding molecule essentially as described in the Examples. The purity of the antigen binding molecule or fragments thereof can be determined by any of a variety of well-known analytical methods including gel electrophoresis, high pressure liquid chromatography, and the like. For example, the 4-1BBL-containing antigen binding molecules expressed as described in the Examples were shown to be intact and properly assembled as demonstrated by reducing and non-reducing SDS-PAGE.

## Assays

The antigen binding molecules provided herein may be identified, screened for, or characterized for their physical/chemical properties and/or biological activities by various assays known in the art.

### 1. Affinity assays

The affinity of the bispecific antigen binding molecules provided herein for the corresponding receptor can be determined in accordance with the methods set forth in the Examples by surface plasmon resonance (SPR), using standard instrumentation such as a BIAcore instrument (GE Healthcare), and receptors or target proteins such as may be obtained by recombinant expression. The affinity of the bispecific antigen binding molecule for the target cell antigen can also be determined by surface plasmon resonance (SPR), using standard instrumentation such as a BIAcore instrument (GE Healthcare), and receptors or target proteins such as may be obtained by recombinant expression. For the FAP-4-1BBL antigen binding molecules the methods have been described in more detail in International Patent Appl. Publ. No. WO 2016/075278 A1. According to one aspect,  $K_D$  is measured by surface plasmon resonance using a BIACORE® T100 machine (GE Healthcare) at 25 °C.

## 2. Binding assays and other assays

In one aspect, the FAP-4-1BBL antigen binding molecules as reported herein are tested for its antigen binding activity as described in more detail in International Patent Appl. Publ. No. WO 2016/075278 A1.

## 5 3. Activity assays

In one aspect, assays are provided for identifying the biological activity of FAP-4-1BBL antigen binding molecules.

In certain embodiments, an antibody as reported herein is tested for such biological activity in *in vitro* co-culture assays with human immune effector cells.

## 10 Pharmaceutical Compositions, Formulations and Routes of Administration

In a further aspect, the invention provides pharmaceutical compositions comprising the 4-1BB agonists provided herein and a HER-2 targeting therapy or agent provided herein, e.g., for use in any of the below therapeutic methods. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug  
 15 conjugate. In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab,  
 20 pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2  
 25 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate, in particular trastuzumab emtansine (ado-trastuzumab emtansine).

In some aspects, a pharmaceutical composition comprises a 4-1BB agonist provided herein and at least one pharmaceutically acceptable excipient. In other embodiments, a  
 30 pharmaceutical composition comprises a 4-1BB agonist provided herein and at least one additional therapeutic agent, e.g., as described below. In some embodiments, there is provided a pharmaceutical composition comprising a HER-2 targeting agent and at least one pharmaceutically acceptable excipient.

Pharmaceutical compositions of the present invention comprise a therapeutically effective amount of one or more antibodies or agents dissolved or dispersed in a pharmaceutically acceptable excipient, carrier, adjuvant or diluent. The phrases "pharmaceutical or pharmacologically acceptable" refers to molecular entities and compositions that are generally non-toxic to recipients at the dosages and concentrations employed, i.e. do not produce an adverse, allergic or other untoward reaction when administered to an animal, such as, for example, a human, as appropriate. The preparation of a pharmaceutical composition that contains at least one antibody and optionally an additional active ingredient will be known to those of skill in the art in light of the present disclosure, as exemplified by Remington's Pharmaceutical Sciences, 18th Ed. Mack Printing Company, 1990, incorporated herein by reference. In particular, the compositions are lyophilized formulations or aqueous solutions. As used herein, "pharmaceutically acceptable excipient" includes any and all solvents, buffers, dispersion media, coatings, surfactants, antioxidants, preservatives (e.g. antibacterial agents, antifungal agents), isotonic agents, salts, stabilizers and combinations thereof, as would be known to one of ordinary skill in the art.

Parenteral compositions include those designed for administration by injection, e.g. subcutaneous, intradermal, intralesional, intravenous, intraarterial intramuscular, intrathecal or intraperitoneal injection. For injection, the antigen binding molecules of the invention may be formulated in aqueous solutions, preferably in physiologically compatible buffers such as Hanks' solution, Ringer's solution, or physiological saline buffer. The solution may contain formulatary agents such as suspending, stabilizing and/or dispersing agents. Alternatively, the fusion proteins may be in powder form for constitution with a suitable vehicle, e.g., sterile pyrogen-free water, before use. Sterile injectable solutions are prepared by incorporating the fusion proteins of the invention in the required amount in the appropriate solvent with various of the other ingredients enumerated below, as required. Sterility may be readily accomplished, e.g., by filtration through sterile filtration membranes. Generally, dispersions are prepared by incorporating the various sterilized active ingredients into a sterile vehicle which contains the basic dispersion medium and/or the other ingredients. In the case of sterile powders for the preparation of sterile injectable solutions, suspensions or emulsion, the preferred methods of preparation are vacuum-drying or freeze-drying techniques which yield a powder of the active ingredient plus any additional desired ingredient from a previously sterile-filtered liquid medium thereof. The liquid medium should be suitably buffered if necessary and the liquid diluent first rendered isotonic prior to injection with sufficient saline or glucose. The composition must be stable under the conditions of manufacture and storage, and preserved against the contaminating action of microorganisms, such as bacteria and fungi. It will be appreciated that endotoxin contamination should be kept minimally at a safe level, for example, less than 0.5 ng/mg protein. Suitable pharmaceutically acceptable excipients include, but are not limited to: buffers such as phosphate, citrate, and other organic acids; antioxidants

including ascorbic acid and methionine; preservatives (such as octadecyldimethylbenzyl ammonium chloride; hexamethonium chloride; benzalkonium chloride; benzethonium chloride; phenol, butyl or benzyl alcohol; alkyl parabens such as methyl or propyl paraben; catechol; resorcinol; cyclohexanol; 3-pentanol; and m-cresol); low molecular weight (less than about 10 residues) polypeptides; proteins, such as serum albumin, gelatin, or immunoglobulins; hydrophilic polymers such as polyvinylpyrrolidone; amino acids such as glycine, glutamine, asparagine, histidine, arginine, or lysine; monosaccharides, disaccharides, and other carbohydrates including glucose, mannose, or dextrans; chelating agents such as EDTA; sugars such as sucrose, mannitol, trehalose or sorbitol; salt-forming counter-ions such as sodium; metal complexes (e.g. Zn-protein complexes); and/or non-ionic surfactants such as polyethylene glycol (PEG). Aqueous injection suspensions may contain compounds which increase the viscosity of the suspension, such as sodium carboxymethyl cellulose, sorbitol, dextran, or the like. Optionally, the suspension may also contain suitable stabilizers or agents which increase the solubility of the compounds to allow for the preparation of highly concentrated solutions. Additionally, suspensions of the active compounds may be prepared as appropriate oily injection suspensions. Suitable lipophilic solvents or vehicles include fatty oils such as sesame oil, or synthetic fatty acid esters, such as ethyl oleates or triglycerides, or liposomes.

Active ingredients may be entrapped in microcapsules prepared, for example, by coacervation techniques or by interfacial polymerization, for example, hydroxymethylcellulose or gelatin-microcapsules and poly-(methylmethacrylate) microcapsules, respectively, in colloidal drug delivery systems (for example, liposomes, albumin microspheres, microemulsions, nano-particles and nanocapsules) or in macroemulsions. Such techniques are disclosed in Remington's Pharmaceutical Sciences (18th Ed. Mack Printing Company, 1990). Sustained-release preparations may be prepared. Suitable examples of sustained-release preparations include semipermeable matrices of solid hydrophobic polymers containing the polypeptide, which matrices are in the form of shaped articles, e.g. films, or microcapsules. In particular embodiments, prolonged absorption of an injectable composition can be brought about by the use in the compositions of agents delaying absorption, such as, for example, aluminum monostearate, gelatin or combinations thereof.

Exemplary pharmaceutically acceptable excipients herein further include interstitial drug dispersion agents such as soluble neutral-active hyaluronidase glycoproteins (sHASEGP), for example, human soluble PH-20 hyaluronidase glycoproteins, such as rHuPH20 (HYLENEX®, Baxter International, Inc.). Certain exemplary sHASEGPs and methods of use, including rHuPH20, are described in US Patent Publication Nos. 2005/0260186 and 2006/0104968. In one aspect, a sHASEGP is combined with one or more additional glycosaminoglycanases such as chondroitinases.

Exemplary lyophilized antibody formulations are described in US Patent No. 6,267,958. Aqueous antibody formulations include those described in US Patent No. 6,171,586 and WO2006/044908, the latter formulations including a histidine-acetate buffer.

10 In addition to the compositions described previously, the antigen binding molecules may also be formulated as a depot preparation. Such long acting formulations may be administered by implantation (for example subcutaneously or intramuscularly) or by intramuscular injection. Thus, for example, the fusion proteins may be formulated with suitable polymeric or hydrophobic materials (for example as an emulsion in an acceptable oil) or ion exchange resins, or as sparingly soluble derivatives, for example, as a sparingly soluble salt.

15 Pharmaceutical compositions comprising the antigen binding molecules of the invention may be manufactured by means of conventional mixing, dissolving, emulsifying, encapsulating, entrapping or lyophilizing processes. Pharmaceutical compositions may be formulated in conventional manner using one or more physiologically acceptable carriers, diluents, excipients or auxiliaries which facilitate processing of the proteins into preparations that can be used pharmaceutically. Proper formulation is dependent upon the route of administration chosen.

20 The antibodies of the invention may be formulated into a composition in a free acid or base, neutral or salt form. Pharmaceutically acceptable salts are salts that substantially retain the biological activity of the free acid or base. These include the acid addition salts, e.g. those formed with the free amino groups of a proteinaceous composition, or which are formed with inorganic acids such as for example, hydrochloric or phosphoric acids, or such organic acids as acetic, oxalic, tartaric or mandelic acid. Salts formed with the free carboxyl groups can also be derived from inorganic bases such as for example, sodium, potassium, ammonium, calcium 25 or ferric hydroxides; or such organic bases as isopropylamine, trimethylamine, histidine or procaine. Pharmaceutical salts tend to be more soluble in aqueous and other protic solvents than are the corresponding free base forms.

30 The composition herein may also contain more than one active ingredients as necessary for the particular indication being treated, preferably those with complementary activities that do not adversely affect each other. Such active ingredients are suitably present in combination in amounts that are effective for the purpose intended.

The formulations to be used for *in vivo* administration are generally sterile. Sterility may be readily accomplished, e.g., by filtration through sterile filtration membranes.

### Administration of the 4-1BB agonist and the HER-2 targeting therapy

Both the 4-1BB agonist and the HER-2 targeting therapy/agent (both called substance herein) can be administered by any suitable means, including parenteral, intrapulmonary, and intranasal, and, if desired for local treatment, intralesional administration. The methods of the present invention are particularly useful, however, in relation to therapeutic agents administered by parenteral, particularly intravenous, infusion. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug conjugate. In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate, in particular trastuzumab emtansine (ado-trastuzumab emtansine).

Parenteral infusions include intramuscular, intravenous, intraarterial, intraperitoneal, or subcutaneous administration. Dosing can be by any suitable route, e.g. by injections, such as intravenous or subcutaneous injections, depending in part on whether the administration is brief or chronic. Various dosing schedules including but not limited to single or multiple administrations over various time-points, bolus administration, and pulse infusion are contemplated herein. In one embodiment, the therapeutic agent is administered parenterally, particularly intravenously. In a particular embodiment, the therapeutic agent is administered by intravenous infusion.

In some aspects, the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition. In some embodiments, the 4-1BB agonist and the HER-2 targeting agent are administered separately in two or more different compositions.

Both the 4-1BB agonist and the HER-2 targeting therapy/agent would be formulated, dosed, and administered in a fashion consistent with good medical practice. Factors for consideration in this context include the particular disorder being treated, the particular mammal being treated, the clinical condition of the individual patient, the cause of the disorder, the site of delivery of the agent, the method of administration, the scheduling of administration, and other factors known to medical practitioners. Both the 4-1BB agonist and

the HER-2 targeting therapy/agent need not be, but are optionally formulated with one or more agents currently used to prevent or treat the disorder in question. The effective amount of such other agents depends on the amount of therapeutic agent present in the formulation, the type of disorder or treatment, and other factors discussed above. These are generally used  
5 in the same dosages and with administration routes as described herein, or about from 1 to 99% of the dosages described herein, or in any dosage and by any route that is empirically/clinically determined to be appropriate.

For the prevention or treatment of disease, the appropriate dosage of the 4-1BB agonist and the HER-2 targeting therapy/agent (when used in their combination or with one or more  
10 other additional therapeutic agents) will depend on the type of disease to be treated, the type of 4-1BB agent, the severity and course of the disease, whether both agents are administered for preventive or therapeutic purposes, previous therapy, the patient's clinical history and response to the therapeutic agent, and the discretion of the attending physician. Each substance is suitably administered to the patient at one time or over a series of treatments.  
15 Depending on the type and severity of the disease, about 1  $\mu$ g/kg to 15 mg/kg (e.g. 0.1 mg/kg – 10 mg/kg) of the substance can be an initial candidate dosage for administration to the subject, whether, for example, by one or more separate administrations, or by continuous infusion. One typical daily dosage might range from about 1  $\mu$ g/kg to 100 mg/kg or more, depending on the factors mentioned above. For repeated administrations over several days or  
20 longer, depending on the condition, the treatment would generally be sustained until a desired suppression of disease symptoms occurs. One exemplary dosage of each substance would be in the range from about 0.05 mg/kg to about 10 mg/kg. Thus, one or more doses of about 0.5 mg/kg, 1.0 mg/kg, 2.0 mg/kg, 3.0 mg/kg, 4.0 mg/kg, 5.0 mg/kg, 6.0mg/kg, 7.0 mg/kg, 8.0 mg/kg, 9.0 mg/kg or 10 mg/kg (or any combination thereof) may be administered to the  
25 subject. Such doses may be administered intermittently, e.g. every week, every two weeks, or every three weeks (e.g. such that the subject receives from about two to about twenty, or e.g. about six doses of the therapeutic agent). An initial higher loading dose, followed by one or more lower doses, or an initial lower dose, followed by one or more higher doses may be administered. An exemplary dosing regimen comprises administering an initial dose of about  
30 10 mg, followed by a bi-weekly dose of about 20 mg of the therapeutic agent. However, other dosage regimens may be useful. The progress of this therapy is easily monitored by conventional techniques and assays.

In one aspect, the administration of both the 4-1BB agonist and the HER-2 targeting therapy/agent is a single administration. In some embodiments, the 4-1BB agonist and the  
35 HER-2 targeting therapy/agent are administered simultaneously. The term “simultaneously” means at the same time or within a short period of time, usually less than 1 hour. In certain aspects, the administration of the therapeutic agent is two or more administrations. A drug that is administered “concurrently” with one or more other drugs is administered during the



same treatment cycle, on the same day of treatment as the one or more other drugs, and, optionally, at the same time as the one or more other drugs. For instance, for therapies given every 3 weeks, the concurrently administered drugs are each administered on day-1 of a 3-week cycle. In one particular aspect, the substances are administered every week, every two weeks, or every three weeks, particularly every two weeks. In one aspect, the substance is administered in a therapeutically effective amount. In one aspect the substance is administered at a dose of about 50 µg/kg, about 100 µg/kg, about 200 µg/kg, about 300 µg/kg, about 400 µg/kg, about 500 µg/kg, about 600 µg/kg, about 700 µg/kg, about 800 µg/kg, about 900 µg/kg or about 1000 µg/kg. In one embodiment, the 4-1BB agonist is administered at a dose which is higher than the dose of the 4-1BB agonist in a corresponding treatment regimen without the administration of the Her-2 targeting therapy/agent. In one embodiment, the HER-2 targeting therapy/agent is administered at a dose which is higher than the dose of the HER-2 targeting therapy/agent in a corresponding treatment regimen without the administration of the 4-1BB agonist. In one aspect, the administration of the 4-1BB agonist comprises an initial administration of a first dose of the 4-1BB agonist, and one or more subsequent administrations of a second dose of the 4-1BB agonist, wherein the second dose is higher than the first dose. In one aspect, the administration of the 4-1BB agonist comprises an initial administration of a first dose of the 4-1BB agonist and one or more subsequent administrations of a second dose of the 4-1BB agonist wherein the first dose is not lower than the second dose.

When both therapeutic agents are co-administered sequentially the agents are administered in two separate administrations that are separated by a “specific period of time”. The term specific period of time is meant anywhere from 1 hour to 15 days. For example, one of the agents can be administered within about 1, 2, 3, 4, 5, 6 or 7 days or 1 to 24 hours from the administration of the other agent, and, in one embodiment, the specific period time is 1 to 3 days, or 2 to 8 hours.

In one aspect, the 4-1BB agonist is administered prior to the administration of the HER-2 targeting therapy/agent. In another aspect, the HER-2 targeting therapy/agent is administered prior to the administration of the 4-1BB agonist.

In some aspects, the initial pharmaceutically effective amount of trastuzumab emtansine administered per dose will be in the range of about 0.3 to 15 mg/kg/day of patient body weight. A commercial T-DM1 formulation (KADCYLA®, ado-trastuzumab emtansine) is a sterile, white to off-white preservative free lyophilized powder in single-use vials. Each vial contains 100 mg or 160 mg ado-trastuzumab emtansine. Following reconstitution, each single use vial contains ado-trastuzumab emtansine (20 mg/mL), polysorbate 20 [0.02% (w/v)], sodium succinate (10 mM), and sucrose [6%> (w/v)] with a pH of 5.0 and density of 1.026

g/mL. The resulting solution containing 20 mg/mL adotrastuzumab emtansine is administered by intravenous infusion following dilution.

A commercial formulation of pertuzumab (PERJETA®) contains pertuzumab 420mg/14mL (30mg/mL) in the form of a preservative-free solution for IV infusion, and may  
5 be administered in a fixed dose of approximately 420 mg (equivalent to doses of 6 mg/kg for a 70-kg subject), approximately 525 mg, approximately 840 mg, and/or approximately 1050 mg. Treatment may start with a higher loading dose (e.g. 840 mg, equivalent to 12 mg/kg of body weight). A suitable pertuzumab formulation for therapeutic use comprises 30 mg/mL pertuzumab in 20 mM histidine acetate, 120 mM sucrose, 0.02% polysorbate 20, at pH 6.0.  
10 An alternate pertuzumab formulation comprises 25 mg/mL pertuzumab, 10 mM histidine-HCl buffer, 240 mM sucrose, 0.02% polysorbate 20, pH 6.0.

In another aspect, the 4-1BB agonist is for use with the HER-2 targeting therapy/agent, wherein a pretreatment with a Type II anti-CD20 antibody, preferably obinutuzumab, is performed prior to the combination treatment, wherein the period of time between the  
15 pretreatment and the combination treatment is sufficient for the reduction of B-cells in the individual in response to the Type II anti-CD20 antibody, preferably obinutuzumab.

Activation of T cells can lead to severe cytokine release syndrome (CRS). In a phase 1 study conducted by TeGenero (Suntharalingam et al., N Engl J Med (2006) 355,1018-1028), all 6 healthy volunteers experienced near fatal, severe cytokine release syndrome (CRS)  
20 rapidly post-infusion of an inappropriately-dosed, T-cell stimulating super-agonist anti-CD28 monoclonal antibody. The cytokine release associated with administration of a T-cell activating therapeutic agent, such as the 4-1BB agonists described herein, to a subject can be significantly reduced by pre-treatment of said subject with a Type II anti-CD20 antibody, such as obinutuzumab. The use of GAZYVA® pre-treatment (Gpt) should aid in the rapid  
25 depletion of B cells, both in the peripheral blood and in secondary lymphoid organs, such that the risk of highly relevant adverse events (AEs) from strong systemic T cell activation by T-cell activating therapeutic agents (e.g. CRS) is reduced, while supporting exposure levels of T-cell activating therapeutic agents that are high enough from the start of dosing to mediate tumour cell elimination. To date, the safety profile of obinutuzumab (including cytokine  
30 release) has been assessed and managed in hundreds of patients in ongoing obinutuzumab clinical trials. Finally, in addition to supporting the safety profile of T-cell activating antibodies, Gpt should also help prevent the formation of anti-drug antibodies (ADAs) to these unique molecules.

In the present invention, the combination of the 4-1BB agonist and the HER-2 targeting  
35 therapy/agent can be used in combination with further agents in a therapy. For instance, at least one additional therapeutic agent may be co-administered. In certain aspects, an

additional therapeutic agent is an immunotherapeutic agent. In some embodiments, the additional therapeutic agent is a chemotherapeutic agent. Suitable chemotherapeutic agents include one or more: anthracycline drugs, such as doxorubicin and epirubicin (pharmorubicin®); taxane drugs, such as paclitaxel (Taxol®) and docetaxel (Taxotere®); fluorouracil (5FU);  
5 cyclophosphamide; methotrexate; cisplatin; and capecitabine. Other additional therapeutic agents include the kinase inhibitors lapatinib (Tykerb) and neratinib (Nerlynx).

Such combination therapies noted above encompass combined administration (where two or more therapeutic agents are included in the same or separate formulations), and separate administration, in which case, administration of the therapeutic agent can occur prior  
10 to, simultaneously, and/or following, administration of an additional therapeutic agent or agents. In one embodiment, administration of the therapeutic agent and administration of an additional therapeutic agent occur within about one month, or within about one, two or three weeks, or within about one, two, three, four, five, or six days, of each other.

### **Therapeutic methods and compositions**

15 In one aspect, provided is a method for treating or delaying progression of cancer in an individual comprising administering to the subject an effective amount of a 4-1BB agonist described herein and an effective amount of a HER-2 targeting agent described herein. In various aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug conjugate. In some aspects, the HER-2 targeting  
20 agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some  
25 aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate,  
30 in particular trastuzumab emtansine (ado-trastuzumab emtansine).

In one such aspect, the method further comprises administering to the individual an effective amount of at least one additional therapeutic agent. Suitable additional agents include one or more: anthracycline drugs, such as doxorubicin and epirubicin (pharmorubicin  
35 ®); taxane drugs, such as paclitaxel (Taxol®) and docetaxel (Taxotere®); fluorouracil (5FU); cyclophosphamide; methotrexate; cisplatin; and capecitabine. Other suitable additional therapeutic agents include the kinase inhibitors lapatinib (Tykerb) and neratinib (Nerlynx). In

further embodiments, herein is provided a method for tumor shrinkage comprising administering to the subject an effective amount of a 4-1BB agonist and a HER-2 targeting agent, as described herein. An “individual” or a “subject” according to any of the above aspects is preferably a human.

5 In one aspect, the invention provides a 4-1BB agonist for use in a method for treating or delaying progression of cancer, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent. In another aspect, the invention provides a 4-1BB agonist and a HER-2 targeting agent for use in a method for treating or delaying progression of cancer. In some embodiments, the 4-1BB agonist and/or the HER-2 targeting agent are combined with an  
10 additional therapeutic agent.

In further aspects, a composition for use in cancer immunotherapy is provided comprising a 4-1BB agonist and a HER-2 targeting agent. In certain embodiments, a composition comprising a 4-1BB agonist and a HER-2 targeting agent for use in a method of cancer immunotherapy is provided.

15 In a further aspect, herein is provided for the use of a composition comprising a 4-1BB agonist and a HER-2 targeting agent in the manufacture or preparation of a medicament. In some embodiments, the medicament is for treatment of cancer. In some embodiments, the medicament is for treatment of solid tumors. In some embodiments, the medicament is for use in a method of tumor shrinkage comprising administering to an individual having a solid  
20 tumor an effective amount of the medicament. In one such embodiment, the method further comprises administering to the individual an effective amount of at least one additional therapeutic agent. In some embodiments, the medicament is for treating solid tumors.

In particular aspects, the individual has HER-2 positive cancer. In some embodiments, the cancer may comprise cells overexpressing HER-2. In some embodiments, the cancer  
25 comprises a solid tumor. In some embodiments, the solid tumor is an advanced and/or metastatic solid tumor. In some aspects, HER-2 positive cancer is breast cancer, ovarian cancer, stomach cancer, gastric cancer, oesophageal cancer, lung cancer e.g. adenocarcinoma of the lung or non-small-cell lung cancer (NSCLC), uterine cancer e.g. uterine serous endometrial carcinoma, salivary duct carcinoma, bladder cancer, endometrial cancer,  
30 pancreatic cancer, colon cancer, prostate cancer, and/or head and neck cancer. In some aspects, the breast cancer is a breast carcinoma or a breast adenocarcinoma. In some aspects, the breast carcinoma is an invasive ductal carcinoma. In some aspects, the lung cancer is a lung adenocarcinoma. In some embodiments, the colon cancer is a colorectal adenocarcinoma. An “individual” according to any of the above embodiments may be a human.

35 In some aspects, the cancer is HER-2 positive breast cancer, in particular metastatic breast cancer (stage IV breast cancer). In some aspects, the cancer is a Stage II or III HER-2

positive breast cancer. In some aspects, the cancer is a HER-2 positive early breast cancer. In some aspects, the cancer is HER-2 positive gastric cancer.

The treatment may be aimed at prevention of the development or progression of a cancer. As such, subjects may be prophylactically treated against development of a disease state using the 4-1BB agonists and HER-2 targeting agents described herein. This may take place before the onset of symptoms of the disease state, and/or may be given to subjects considered to be at greater risk of development or progression of cancer.

In some embodiments, the 4-1BB agonist acts synergistically with the HER-2 targeting agent.

The combination therapies noted above encompass combined administration (where two or more therapeutic agents are included in the same or separate formulations), and separate administration, in which case, administration of the antibody as reported herein can occur prior to, simultaneously, and/or following, administration of the additional therapeutic agent or agents. In one embodiment, administration of a 4-1BB agonist and a HER-2 targeting agent and optionally the administration of an additional therapeutic agent occur within about one month, or within about one, two or three weeks, or within about one, two, three, four, five, or six days, of each other.

Both the 4-1BB agonist and the HER-2 targeting agent as described herein (and any additional therapeutic agent) can be administered by any suitable means, including parenteral, intrapulmonary, and intranasal, and, if desired for local treatment, intralesional administration. Parenteral infusions include intramuscular, intravenous, intraarterial, intraperitoneal, or subcutaneous administration. Dosing can be by any suitable route, e.g. by injections, such as intravenous or subcutaneous injections, depending in part on whether the administration is brief or chronic. Various dosing schedules including but not limited to single or multiple administrations over various time-points, bolus administration, and pulse infusion are contemplated herein.

Both the 4-1BB agonist and the HER-2 targeting agent as described herein would be formulated, dosed, and administered in a fashion consistent with good medical practice. Factors for consideration in this context include the particular disorder being treated, the particular mammal being treated, the clinical condition of the individual patient, the cause of the disorder, the site of delivery of the agent, the method of administration, the scheduling of administration, and other factors known to medical practitioners. The antibodies need not be, but are optionally formulated with one or more agents currently used to prevent or treat the disorder in question. The effective amount of such other agents depends on the amount of antibodies present in the formulation, the type of disorder or treatment, and other factors discussed above. These are generally used in the same dosages and with administration routes

as described herein, or about from 1 to 99% of the dosages described herein, or in any dosage and by any route that is empirically/clinically determined to be appropriate.

### Articles of Manufacture (Kits)

In another aspect of the invention, a kit containing materials useful for the treatment,  
5 prevention and/or diagnosis of the disorders described above is provided. The kit comprises at least one container and a label or package insert on or associated with the container. Suitable containers include, for example, bottles, vials, syringes, IV solution bags, etc. The containers may be formed from a variety of materials such as glass or plastic. The container holds a composition which is by itself or combined with another composition effective for treating,  
10 preventing and/or diagnosing the condition and may have a sterile access port (for example the container may be an intravenous solution bag or a vial having a stopper that is pierceable by a hypodermic injection needle). At least two active agents in the kit are a 4-1BB agonist and a HER-2 targeting agent of the invention. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody, a bispecific HER-2 antibody and/or a HER-2 antibody drug  
15 conjugate. In some aspects, the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent is selected from the group consisting of trastuzumab, pertuzumab, and trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a combination of trastuzumab,  
20 pertuzumab, and/or trastuzumab emtansine. In some aspects, the HER-2 targeting agent comprises a HER-2 antibody selected from the group consisting of trastuzumab, pertuzumab and margetuximab. In one aspect, the HER-2 targeting agent is trastuzumab or pertuzumab. More particularly, the HER-2 targeting agent is trastuzumab. In some aspects, the HER-2 targeting is a glycoengineered HER-2 antibody, e.g. TrasGex. In some aspects, the HER-2  
25 targeting agent is a bispecific HER-2 antibody, e.g. Herceptarg. In some aspects, the HER-2 targeting agent is a HER-2 antibody drug conjugate, in particular trastuzumab emtansine (ado-trastuzumab emtansine).

In a particular aspect, provided is a kit for treating or delaying progression of cancer in a subject, comprising a package comprising (A) a first composition comprising as active  
30 ingredient a 4-1BB agonist and a pharmaceutically acceptable carrier; (B) a second composition comprising as active ingredient a HER-2 targeting agent and a pharmaceutically acceptable carrier, and (C) instructions for using the compositions in a combination therapy.

The label or package insert indicates how the composition is used for treating the condition of choice and provides the instructions for using the compositions in a combination  
35 therapy. Moreover, the kit may comprise (a) a first container with a composition contained therein, wherein the composition comprises a 4-1BB agonist of the invention; and (b) a second container with a composition contained therein, wherein the composition comprises a

HER-2 targeting agent of the invention. In addition, the kit may comprise one or more further containers comprising further active ingredients that can be used in combination. The article of manufacture in this embodiment of the invention may further comprise a package insert indicating that the compositions can be used to treat a particular condition.

- 5 Alternatively, or additionally, the kit may further comprise a second (or third) container comprising a pharmaceutically-acceptable buffer, such as bacteriostatic water for injection (BWI), phosphate-buffered saline, Ringer's solution and dextrose solution. It may further include other materials desirable from a commercial and user standpoint, including other buffers, diluents, filters, needles, and syringes.

10

**Table C (Sequences):**

| SEQ ID NO: | Name                       | Sequence                                                                                                                                                                                                          |
|------------|----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | Human (hu) 4-1BBL (71-254) | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                   |
| 2          | hu 4-1BBL (85-254)         | DLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVAKAGVYYVFFQLE<br>LRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                                   |
| 3          | hu 4-1BBL (80-254)         | DPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVAKAGVYY<br>VFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLS<br>AGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                             |
| 4          | hu 4-1BBL (52-254)         | PWAVSGARASPGSAASPRLREGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLA<br>GVSLTGGLSYKEDTKELVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE |
| 5          | Human (hu) 4-1BBL (71-248) | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGL                        |
| 6          | hu 4-1BBL (85-248)         | DLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVAKAGVYYVFFQLE<br>LRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIP                                           |

| SEQ ID NO: | Name                                                                 | Sequence                                                                                                                                                                                                                                                                                                                         |
|------------|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                      | AGL                                                                                                                                                                                                                                                                                                                              |
| 7          | hu 4-1BBL (80-248)                                                   | DPAGLLDLRQGMFAQLVAQNVLIDGPLSWYS<br>DPGLAGVSLTGGLSYKEDTKELVVAKAGVYY<br>VFFQLELRRVVAGEGSGSVSLALHLQPLRSAA<br>GAAALALTVDLPPASSEARNSAFGFQGRLLHLS<br>AGQRLGVHLHTEARARHAWQLTQGATVLGLF<br>RVTPEIPAGL                                                                                                                                     |
| 8          | hu 4-1BBL (52-248)                                                   | PWAVSGARASPGSAASPRREGPELSPDDPAGL<br>DLRQGMFAQLVAQNVLIDGPLSWYSDPGLA<br>GVSLTGGLSYKEDTKELVVAKAGVYYVFFQLE<br>LRRVVAGEGSGSVSLALHLQPLRSAAGAAALA<br>LTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIP<br>AGL                                                                                                       |
| 9          | FAP (28H1) CDR-H1                                                    | SHAMS                                                                                                                                                                                                                                                                                                                            |
| 10         | FAP (28H1) CDR-H2                                                    | AIWASGEQYYADSVKG                                                                                                                                                                                                                                                                                                                 |
| 11         | FAP (28H1) CDR-H3                                                    | GWLGNFYD                                                                                                                                                                                                                                                                                                                         |
| 12         | FAP (28H1) CDR-L1                                                    | RASQSVRSYLA                                                                                                                                                                                                                                                                                                                      |
| 13         | FAP (28H1) CDR-L2                                                    | GASTRAT                                                                                                                                                                                                                                                                                                                          |
| 14         | FAP (28H1) CDR-L3                                                    | QQGQVIPPT                                                                                                                                                                                                                                                                                                                        |
| 15         | FAP(4B9) CDR-H1                                                      | SYAMS                                                                                                                                                                                                                                                                                                                            |
| 16         | FAP(4B9) CDR-H2                                                      | AIIGSGASTYYADSVKG                                                                                                                                                                                                                                                                                                                |
| 17         | FAP(4B9) CDR-H3                                                      | GWFGGFNY                                                                                                                                                                                                                                                                                                                         |
| 18         | FAP(4B9) CDR-L1                                                      | RASQSVTSSYLA                                                                                                                                                                                                                                                                                                                     |
| 19         | FAP(4B9) CDR-L2                                                      | VGSRRAT                                                                                                                                                                                                                                                                                                                          |
| 20         | FAP(4B9) CDR-L3                                                      | QQGIMLPPT                                                                                                                                                                                                                                                                                                                        |
| 21         | FAP(28H1) VH                                                         | EVQLLESGLLVQPGGSLRLSCAASGFTFSSHA<br>MSWVRQAPGKGLEWVSAIWASGEQYYADSV<br>KGRFTISRDNKNTLYLQMNSLRAEDTAVYYC<br>AKGWLGNFDYWGQGTLVTVSS                                                                                                                                                                                                   |
| 22         | FAP(28H1) VL                                                         | EIVLTQSPGTLSPGERATLSCRASQSVRSYL<br>AWYQQKPGQAPRLLIIGASTRATGIPDRFSGSG<br>SGTDFLTISRLEPEDFAVYYCQQGQVIPPTFGQ<br>GTKVEIK                                                                                                                                                                                                             |
| 23         | FAP(4B9) VH                                                          | EVQLLESGLLVQPGGSLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br>GRFTISRDNKNTLYLQMNSLRAEDTAVYYCA<br>KGWFGGFNYWGQGTLVTVSS                                                                                                                                                                                                  |
| 24         | FAP(4B9) VL                                                          | EIVLTQSPGTLSPGERATLSCRASQSVTSSYL<br>AWYQQKPGQAPRLLINVGSRRTGIPDRFSGSG<br>SGTDFLTISRLEPEDFAVYYCQQGIMLPPTFGQ<br>GTKVEIK                                                                                                                                                                                                             |
| 25         | dimeric hu 4-1BBL (71-254)<br>connected by (G4S) <sub>2</sub> linker | REGPELSPDDPAGLLDLRQGMFAQLVAQNVL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGLPSRSEGGGGSGGGG<br>SREGPELSPDDPAGLLDLRQGMFAQLVAQNVL<br>LIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH |



| SEQ ID NO: | Name                                                              | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                       |
|------------|-------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                   | LQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                                                                                                                                                                                                                                                                                                                                        |
| 26         | dimeric hu 4-1BBL (85-254) connected by (G4S) <sub>2</sub> linker | LDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSEGGGGSGGGGSLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                                                                   |
| 27         | dimeric hu 4-1BBL (80-254) connected by (G4S) <sub>2</sub> linker | DPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSEGGGGSGGGGSDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE                                                          |
| 28         | dimeric hu 4-1BBL (52-254) connected by (G4S) <sub>2</sub> linker | PWAVSGARASPGSAASPRLREGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSEGGGGSGGGGSPWAVSGARASPGSAASPRLREGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLPSRSE |
| 29         | dimeric hu 4-1BBL (71-248) connected by (G4S) <sub>2</sub> linker | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGLGGGGSGGGGSREGPELSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLELRRVVAGEGSGSVSLALHLQPLRSAAGAAALALTVDLPPASSEARNSAFGFQGRLLHLSAGQRLGVHLHTEARARHAWQLTQGATVLGLFRVTPEIPAGL                                                 |
| 30         | dimeric hu 4-1BBL (85-248) connected by (G4S) <sub>2</sub> linker | LDLRQGMFAQLVAQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELVVAKAGVYYVFFQLE                                                                                                                                                                                                                                                                                                                                                               |

| SEQ ID NO: | Name                                                                          | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|------------|-------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                               | LRRVVAGEGSGSVSLALHLQPLRSAAGAAALA<br>LTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIP<br>AGLGGGGSGGGGSLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGL                                                                                                                                                   |
| 31         | dimeric hu 4-1BBL (80-248)<br>connected by (G4S) <sub>2</sub> linker          | DPAGLLDLRQGMFAQLVAQNVLLIDGPLSWYS<br>DPGLAGVSLTGGLSYKEDTKELVVAKAGVYY<br>VFFQLELRRVVAGEGSGSVSLALHLQPLRSAA<br>GAAALALTVDLPPASSEARNSAFGFQGRLLHLS<br>AGQRLGVHLHTEARARHAWQLTQGATVLGLF<br>RVTPEIPAGLGGGGSGGGSDPAGLLDLRQGM<br>FAQLVAQNVLLIDGPLSWYSDPGLAGVSLTG<br>LSYKEDTKELVVAKAGVYYYVFFQLELRRVVA<br>GEGSGSVSLALHLQPLRSAAGAAALALTVDLP<br>PASSEARNSAFGFQGRLLHLSAGQRLGVHLHT<br>EARARHAWQLTQGATVLGLFRVTPEIPAGL                                                                   |
| 32         | dimeric hu 4-1BBL (52-248)<br>connected by (G4S) <sub>2</sub> linker          | PWAVSGARASPGSAASPRLREGPELSPDDPAGL<br>DLRQGMFAQLVAQNVLLIDGPLSWYSDPGLA<br>GVSLTGGLSYKEDTKELVVAKAGVYYYVFFQLE<br>LRRVVAGEGSGSVSLALHLQPLRSAAGAAALA<br>LTVDLPPASSEARNSAFGFQGRLLHLSAGQRLG<br>VHLHTEARARHAWQLTQGATVLGLFRVTPEIP<br>AGLGGGGSGGGGSPWAVSGARASPGSAASPRL<br>REGPELSPDDPAGLLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGL |
| 33         | CEA-HCDR1 (CEACAM5)                                                           | DTYMH                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 34         | CEA-HCDR2 (CEACAM5)                                                           | RIDPANGNSKYVPKFQG                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 35         | CEA-HCDR3 (CEACAM5)                                                           | FGYYVSDYAMAY                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 36         | CEA-LCDR1 (CEACAM5)                                                           | RAGESVDIFGVGFLH                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 37         | CEA-LCDR2 (CEACAM5)                                                           | RASNRAT                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 38         | CEA-LCDR3 (CEACAM5)                                                           | QQTNEDPYT                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| 39         | CEA VH (CEACAM5)                                                              | QVQLVQSGAEVKKPGSSVKVSCKASGFNIKDT<br>YMHWVRQAPGQGLEWMGRIDPANGNSKYVP<br>KFQGRVTITADTSTSTAYMELSSLRSEDNAVYY<br>CAPFGYYVSDYAMAYWGQGTLLTVSS                                                                                                                                                                                                                                                                                                                                 |
| 40         | CEA VL (CEACAM5)                                                              | EIVLTQSPATLSLSPGERATLSCRAGESVDIFGV<br>GFLHWYQQKPGQAPRLLIYRASNRATGIPARFS<br>GSGSGTDFLTISSELPEDFAVYYCQQTNEDPY<br>TFGQGTKLEIK                                                                                                                                                                                                                                                                                                                                            |
| 41         | Dimeric hu 4-1BBL (71-<br>248) – CL* Fc knob chain<br>(Construct 2.4 and 5.4) | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ                                                                                                                                                                                                                                                                                     |

| SEQ ID NO: | Name                                                              | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
|------------|-------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                   | GATVLGLFRVTPEIPAGLGGGSGGGGSREGPE<br>LSPDDPAGLLDLRQGMFAQLVAQNVLLIDGPL<br>SWYSDPGLAGVSLTGGLSYKEDTKELVVAKA<br>GVYYVFFQLELRRVVAGEGSGSVSLALHLQPL<br>RSAAGAAALALTVDLPPASSEARNSAFGFQGR<br>LLHLSAGQRLGVHLHTEARARHAWQLTQGAT<br>VLGLFRVTPEIPAGLGGGSGGGGSRTVAAPSV<br>FIFPPSDRKLKSGTASVVCLLNNFYPREAKVQW<br>KVDNALQSGNSQESVTEQDSKDYSLSTLT<br>SKADYEKHKVYACEVTHQGLSSPVTKSFNRGE<br>CDKTHTCPPCPAPEAAGGPSVFLFPPKPKDTLM<br>ISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEV<br>HNAKTKPREEQYNSTYRVVSVLTVLHQDWLN<br>GKEYKCKVSNKALGAPIEKTISKAKGQPREPQV<br>YTLPPCRDELTKNQVSLWCLVKGFYPSDIAVE<br>WESNGQPENNYKTTPPVLDSDGSFFLYSKLT<br>DKSRWQQGNVFSCSVMHEALHNHYTQKSLSL<br>SPGK |
| 42         | Monomeric hu 4-1BBL (71-248) – CH1*<br>(Construct 2.4 and 5.4)    | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGLGGGSGGGGSASTK<br>GPSVFPLAPSSKSTSGGTAAALGCLVEDYFPEPV<br>TVSWNSGALTSGVHTFPAVLQSSGLYSLSSVVT<br>VPSSSLGTQTYICNVNHKPSNTKVDEKVEPKSC                                                                                                                                                                                                                                                                                                 |
| 43         | anti-FAP (4B9) Fc hole chain<br>(Construct 2.4)                   | EVQLLESQGGGLVQPGGSLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br>GRFTISRDNKNTLYLQMNSLRAEDTAVYYCA<br>KGWFGGFNYWGQGTLLTVSSASTKGPSVFPLA<br>PSSKSTSGGTAAALGCLVKDYFPEPVTVSWNSG<br>ALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGT<br>QTYICNVNHKPSNTKVDKKVEPKSCDKTHTC<br>PCPAPEAAGGPSVFLFPPKPKDTLMISRTPEVTC<br>VVVDVSHEDPEVKFNWYVDGVEVHNAKTKPR<br>EEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV<br>SNKALGAPIEKTISKAKGQPREPQVCTLPPSRDE<br>LTKNQVSLSCAVKGFYPSDIAVEWESNGQPE<br>NYKTTPPVLDSDGSFFLVSKLTVDKSRWQQGN<br>VFSCSVMHEALHNHYTQKSLSLSPGK                                                                                                                  |
| 44         | anti-FAP (4B9) light chain<br>(Construct 2.4)                     | EIVLTQSPGTLSPGERATLSCRASQSVTSSYL<br>AWYQQKPGQAPRLINVGSRRTATGIPDRFSGSG<br>SGTDFTLTISRLEPEDFAVYYCQQGIMLPPTFGQ<br>GTKVEIKRTVAAPSVFIFPPSDEQLKSGTASVVC<br>LLNNFYPREAKVQWKVDNALQSGNSQESVTE<br>QDSKDYSLSTLTLSKADYEKHKVYACEVT<br>HQLGLSSPVTKSFNRGEC                                                                                                                                                                                                                                                                                                                                                                                         |
| 45         | Dimeric hu 4-1BBL (71-254) – CL* Fc knob chain<br>(Construct 1.2) | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

| SEQ ID NO: | Name                                                  | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|------------|-------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                       | GATVLGLFRVTPEIPAGLPSPRSEGGGGSGGGG<br>SREGPELSPDDPAGLLDLRQGMFAQLVAQNVL<br>LIDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGLPSPRSEGGGGSGGGG<br>SRTVAAPS VFIFPPSDRKLKSGTASVVCLLNNF<br>YPREAKVQWKVDNALQSGNSQESVTEQDSKD<br>STYLSSTLTLSKADYEEKHKVYACEVTHQGLSS<br>PVTKSFNRGEC DKHTHTCPPCPAPEAAGGPSVFL<br>FPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKF<br>NWYVDGVEVHNAKTKPREEQYNSTYRVVSVL<br>TVLHQDWLNGKEYKCKVSNKALGAPIEKTISK<br>AKGQPREPQVYTLPPCRDELTKNQVSLWCLVK<br>GFYPSDIAVEWESNGQPENNYKTTPPVLDSDGS<br>FFLYSKLTVDKSRWQQGNVFSCSVMHEALHN<br>HYTQKSLSLSPGK |
| 46         | Monomeric hu 4-1BBL (71-254) –CH1*<br>(Construct 1.2) | REGPELSPDDPAGLLDLRQGMFAQLVAQNVLL<br>IDGPLSWYSDPGLAGVSLTGGLSYKEDTKELV<br>VAKAGVYYVFFQLELRRVVAGEGSGSVSLALH<br>LQPLRSAAGAAALALTVDLPPASSEARNSAFGF<br>QGRLLHLSAGQRLGVHLHTEARARHAWQLTQ<br>GATVLGLFRVTPEIPAGLPSPRSEGGGGSGGGG<br>SASTKGPSVFPLAPSSKSTSGGTAALGCLVEDY<br>FPEPVTVSWNSGALTSGVHTFPAVLQSSGLYSL<br>SSVVTVPSSSLGTQTYICNVNHKPSNTKVDEKV<br>EPKSC                                                                                                                                                                                                                                                                                                             |
| 47         | anti-FAP(28H1) Fc hole chain                          | EVQLLES GGGGLVQP GGSRLRLSCAASGFTFSSHA<br>MSWVRQAPGKGLEWVSAIWASGEQYYADSV<br>KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYC<br>AKGWLGNFDYWGQGT LTVSSASTKGPSVFPL<br>APSSKSTSGGTAALGCLVKDYFPEPVTVSWNS<br>GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSL<br>GTQTYICNVNHKPSNTKV DKKVEPKSCDKHT<br>CPPCPAPEAAGGPSVFLFPPKPKDTLMISRTPEV<br>TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTK<br>PREEQYNSTYRVVSVLTVLHQDWLNGKEYKC<br>KVS NKALGAPIEKTISKAKGQPREPQVCTLPPS<br>RDELTKNQVSLSCAVKGFYPSDIAVEWESNGQ<br>PENNYKTTPPVLDSDGSFFLVSKLTVDKSRWQ<br>QGNVFSCSVMHEALHNHYTQKSLSLSPGK                                                                                                                                  |
| 48         | anti-FAP (28H1) light chain                           | EIVLTQSPGTLSPGERATLSCRASQSVSRSYL<br>AWYQQKPGQAPRLLIIGASTRATGIPDRFSGSG<br>SGTDFLTISRLEPEDFAVYYCQQGQVIPPTFGQ<br>GTKVEIKRTVAAPS VFIFPPSDEQLKSGTASVVC<br>LLNNFYPREAKVQWKVDNALQSGNSQESVTE<br>QDSKDSTYLSSTLTLSKADYEEKHKVYACEVT<br>HQGLSSPVTKSFNRGEC                                                                                                                                                                                                                                                                                                                                                                                                             |
| 49         | DP47 Fc hole chain                                    | EVQLLES GGGGLVQP GGSRLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAISGSGGSTYYYADSV<br>KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYC<br>AKGSGFDYWGQGT LTVSSASTKGPSVFPLAP                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

| SEQ ID NO: | Name                                                                     | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------|--------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                          | SSKSTSGGTAALGCLVKDYFPEPVTVSWNSGA<br>LTSGVHTFPAVLQSSGLYSLSSVTVPSSSLGTQ<br>TYICNVNHKPSNTKVDKKVEPKSCDKTHTCPP<br>CPAPEAAGGPSVFLFPPKPKDTLMISRTPEVTCV<br>VVDVSHEDPEVKFNWYVDGVEVHNAKTKPRE<br>EQYNSTYRVVSVLTVLHQDWLNGKEYKCKVS<br>NKALGAPIEKTISKAKGQPREPQVCTLPSPRDEL<br>TKNQVSLSCAVKGFYPSDIAVEWESNGQPENN<br>YKTTTPVLDSGDSFFLVSKLTVDKSRWQQGNV<br>FSCSVMHEALHNHYTQKSLSLSPGK                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 50         | anti-FAP (4B9) Fc hole<br>chain fused to dimeric hu 4-<br>1BBL (71-254)  | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br>GRFTISRDNKNTLYLQMNSLRAEDTAVYYCA<br>KGWFGGFNYWGQGTLLTVSSASTKGPSVFPLA<br>PSSKSTSGGTAALGCLVKDYFPEPVTVSWNSG<br>ALTSGVHTFPAVLQSSGLYSLSSVTVPSSSLGT<br>QTYICNVNHKPSNTKVDKKVEPKSCDKTHTCP<br>PCAPEAAGGPSVFLFPPKPKDTLMISRTPEVTC<br>VVVDVSHEDPEVKFNWYVDGVEVHNAKTKPR<br>EEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV<br>SNKALGAPIEKTISKAKGQPREPQVCTLPSPRDE<br>LTKNQVSLSCAVKGFYPSDIAVEWESNGQPE<br>NYKTTTPVLDSGDSFFLVSKLTVDKSRWQQGN<br>VFSCSVMHEALHNHYTQKSLSLSPGGGGGSGG<br>GGSGREGPELSPDDPAGLLDLRQGMFAQLVAQN<br>VLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKE<br>LVVAKAGVYYYVFFQLELRRV VAGEGSGSVSLA<br>LHLQPLRSAAGAAALALTVDLPPASSEARNSAF<br>GFQGRLLHLSAGQRLGVHLHTEARARHAWQL<br>TQGATVLGLFRVTPEIPAGLPSRSEGGGGSGG<br>GGSGREGPELSPDDPAGLLDLRQGMFAQLVAQN<br>VLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKE<br>LVVAKAGVYYYVFFQLELRRV VAGEGSGSVSLA<br>LHLQPLRSAAGAAALALTVDLPPASSEARNSAF<br>GFQGRLLHLSAGQRLGVHLHTEARARHAWQL<br>TQGATVLGLFRVTPEIPAGLPSRSE |
| 51         | anti-FAP (4B9) Fc knob<br>chain fused to monomeric<br>hu 4-1BBL (71-254) | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br>GRFTISRDNKNTLYLQMNSLRAEDTAVYYCA<br>KGWFGGFNYWGQGTLLTVSSASTKGPSVFPLA<br>PSSKSTSGGTAALGCLVKDYFPEPVTVSWNSG<br>ALTSGVHTFPAVLQSSGLYSLSSVTVPSSSLGT<br>QTYICNVNHKPSNTKVDKKVEPKSCDKTHTCP<br>PCAPEAAGGPSVFLFPPKPKDTLMISRTPEVTC<br>VVVDVSHEDPEVKFNWYVDGVEVHNAKTKPR<br>EEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV<br>SNKALGAPIEKTISKAKGQPREPQVYTLPPCRD<br>ELTKNQVSLWCLVKGFYPSDIAVEWESNGQPE<br>NNYKTTTPVLDSGDSFFLYSKLTVDKSRWQQG<br>NVFSCSVMHEALHNHYTQKSLSLSPGGGGGSG<br>GGSGREGPELSPDDPAGLLDLRQGMFAQLVAQ<br>NVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDT<br>KELVVAKAGVYYYVFFQLELRRV VAGEGSGSVS                                                                                                                                                                                                                                                                                                                                    |

| SEQ ID NO: | Name                                                                | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
|------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                     | LALHLQPLRSAAGAAALALTVDLPPASSEARN<br>AFGFQGRLLHLSAGQRLGVHLHTEARARHAW<br>QLTQGATVLGLFRVTPEIPAGLPSRSE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| 52         | anti-FAP (28H1) Fc hole chain fused to dimeric hu 4-1BBL (71-254)   | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSHA<br>MSWVRQAPGKGLEWVSAIWASGEQYYADSV<br>KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYC<br>AKGWLGNFDYWGQGT LVTVSSASTKGPSVFPL<br>APSSKSTSGGTAALGCLVKDYFPEPVT VSWNS<br>GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSL<br>GTQTYICNVNHKPSNTKVDKKVEPKSCDKTHT<br>CPPCPAPEAAGGPSVFLFPPKPKDTLMISRTPEV<br>TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTK<br>PREEQYNSTYRVVSVLTVLHQDWLNGKEYKC<br>KVSNAKALGAPIEKTISKAKGQPREPQVCTLPSS<br>RDELTKNQVSLSCAVKGFYPSDIAVEWESNGQ<br>PENNYKTTTPVLDS DGSFFLVSKLTVDKSRWQ<br>QGNVFSCSVMHEALHNHYTQKSLSLSPGGGGG<br>SGGGGSREGPELSPDDPAGLLDLRQGMFAQLV<br>AQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKE<br>DTKELVVAKAGVYYVFFQLELRRVVAGEGSGS<br>VSLALHLQPLRSAAGAAALALTVDLPPASSEAR<br>NSAFGFQGRLLHLSAGQRLGVHLHTEARARHA<br>WQLTQGATVLGLFRVTPEIPAGLPSRSEGGGG<br>SGGGGSREGPELSPDDPAGLLDLRQGMFAQLV<br>AQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKE<br>DTKELVVAKAGVYYVFFQLELRRVVAGEGSGS<br>VSLALHLQPLRSAAGAAALALTVDLPPASSEAR<br>NSAFGFQGRLLHLSAGQRLGVHLHTEARARHA<br>WQLTQGATVLGLFRVTPEIPAGLPSRSE |
| 53         | anti-FAP (28H1) Fc knob chain fused to monomeric hu 4-1BBL (71-254) | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSHA<br>MSWVRQAPGKGLEWVSAIWASGEQYYADSV<br>KGRFTISRDN SKNTLYLQMNSLRAEDTAVYYC<br>AKGWLGNFDYWGQGT LVTVSSASTKGPSVFPL<br>APSSKSTSGGTAALGCLVKDYFPEPVT VSWNS<br>GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSL<br>GTQTYICNVNHKPSNTKVDKKVEPKSCDKTHT<br>CPPCPAPEAAGGPSVFLFPPKPKDTLMISRTPEV<br>TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTK<br>PREEQYNSTYRVVSVLTVLHQDWLNGKEYKC<br>KVSNAKALGAPIEKTISKAKGQPREPQVYTLPPC<br>RDELTKNQVSLWCLVKGFYPSDIAVEWESNGQ<br>PENNYKTTTPVLDS DGSFFLYSKLTVDKSRWQ<br>QGNVFSCSVMHEALHNHYTQKSLSLSPGGGGG<br>SGGGGSREGPELSPDDPAGLLDLRQGMFAQLV<br>AQNVLLIDGPLSWYSDPGLAGVSLTGGLSYKE<br>DTKELVVAKAGVYYVFFQLELRRVVAGEGSGS<br>VSLALHLQPLRSAAGAAALALTVDLPPASSEAR<br>NSAFGFQGRLLHLSAGQRLGVHLHTEARARHA<br>WQLTQGATVLGLFRVTPEIPAGLPSRSE                                                                                                                                                                                                                          |
| 54         | DP47 Fc hole chain fused to dimeric hu 4-1BBL (71-254)              | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYA<br>MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br>GRFTISRDN SKNTLYLQMNSLRAEDTAVYYCA<br>KGWFGGFNYWGQGT LVTVSSASTKGPSVFPLA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |

| SEQ ID NO: | Name                                                     | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
|------------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                          | <p>PSSKSTSGGTAALGCLVKDYFPEPVTVSWNSG<br/> ALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGT<br/> QTYICNVNHKPSNTKVDKKVEPKSCDKTHTCP<br/> PCPAPEAAAGGPSVFLFPPKPKDTLMISRTPEVTC<br/> VVVDVSHEDPEVKFNWYVDGVEVHNAKTKPR<br/> EEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV<br/> SNKALGAPIEKTISKAKGQPREPQVCTLPSSRDE<br/> LTKNQVSLSCAVKGFYPSDIAVEWESNGQPEN<br/> NYKTTTPVLDSDGSFFLVSKLTVDKSRWQQGN<br/> VFSCSVMHEALHNHYTQKSLSLSPGGGGGSGG<br/> GGSREGPELSPDDPAGLLDLRQGMFAQLVAQN<br/> VLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKE<br/> LVVAKAGVYYVFFQLELRRV VAGEGSGSVSLA<br/> LHLQPLRSAAGAAALALTVDLPPASSEARNSAF<br/> GFQGRLLHLSAGQRLGVHLHTEARARHAWQL<br/> TQGATVLGLFRVTPEIPAGLPSRSEGGGSGG<br/> GGSREGPELSPDDPAGLLDLRQGMFAQLVAQN<br/> VLLIDGPLSWYSDPGLAGVSLTGGLSYKEDTKE<br/> LVVAKAGVYYVFFQLELRRV VAGEGSGSVSLA<br/> LHLQPLRSAAGAAALALTVDLPPASSEARNSAF<br/> GFQGRLLHLSAGQRLGVHLHTEARARHAWQL<br/> TQGATVLGLFRVTPEIPAGLPSRSE</p> |
| 55         | DP47 Fc knob chain fused to monomeric hu 4-1BBL (71-254) | <p>EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYA<br/> MSWVRQAPGKGLEWVSAIIGSGASTYYADSVK<br/> GRFTISRDNKNTLYLQMNSLR AEDTAVYYCA<br/> KGWFGGFNYWGQGT LTVTVSSASTKGPSVFPLA<br/> PSSKSTSGGTAALGCLVKDYFPEPVTVSWNSG<br/> ALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGT<br/> QTYICNVNHKPSNTKVDKKVEPKSCDKTHTCP<br/> PCPAPEAAAGGPSVFLFPPKPKDTLMISRTPEVTC<br/> VVVDVSHEDPEVKFNWYVDGVEVHNAKTKPR<br/> EEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV<br/> SNKALGAPIEKTISKAKGQPREPQVYTLPPCRD<br/> ELTKNQVSLWCLVKGFYPSDIAVEWESNGQPE<br/> NNYKTTTPVLDSDGSFFLYSKLTVDKSRWQQG<br/> NVFSCSVMHEALHNHYTQKSLSLSPGGGGGSG<br/> GGGSREGPELSPDDPAGLLDLRQGMFAQLVAQ<br/> NVLLIDGPLSWYSDPGLAGVSLTGGLSYKEDT<br/> KELVVAKAGVYYVFFQLELRRV VAGEGSGSVS<br/> LALHLQPLRSAAGAAALALTVDLPPASSEARN<br/> AFGFQGRLLHLSAGQRLGVHLHTEARARHAW<br/> QLTQGATVLGLFRVTPEIPAGLPSRSE</p>                                                                            |
| 56         | Human (hu) FAP                                           | UniProt no. Q12884                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 57         | hu FAP ectodomain+poly-lys-tag+his <sub>6</sub> -tag     | <p>RPSRVHNSEENTMRALTLKDILNGTFSYKTFPP<br/> NWISGQEYLHQSA DNNIVLYNIETGQSYTILSN<br/> RTMKSVNASNYGLSPDRQFVYLESDYSKLWR<br/> YSYTATYYIYDLSNGEFVRGNELPRPIQYLCWS<br/> PVGSKLAYVYQNNIYLKQRP GDPPFQITFNGRE<br/> NKIFNGIPDWVYEEEMLATKYALWWSPNGKFL<br/> AYA EFNDTDIPVIAYSYYGDEQYPR TINIPYPKA<br/> GAKNPVVRIFIIDTTPAYVGPQEV PVPAMIASS<br/> DYYFSWLTWVTD ERVCLQWLKRVQNVSVLSI<br/> CDFREDWQ TWDCPKTQE HIEESRTGWAGGFFV</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

| SEQ ID NO: | Name                                                                | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                                                     | STPVFSYDAISYYKIFSDKDGYPKHHYIKDTVEN<br>AIQITSGKWEAINIFRVTQDSLIFYSSNEFEEYYPG<br>RRNIYRISIGSYPPSKKCVTCHLRKERCQYYTAS<br>FSDYAKYYALVCYGPPISTLHDGRDQEIIL<br>EENKELENALKNIQLPKEEIKKLEVDITLWYK<br>MILPPQFDRSKKYPLLIQVYGGPCSQSVRSVFA<br>VNWISYLASKEGMVIALVDGRGTAFQGDKLLY<br>AVYRKLGVYEVEDQITAVRKFIEMGFIDEKRIA<br>IWGWSYGGYVSSLALASGTGLFKCGIAVAPVS<br>SWEYYASVYTERFMGLPTKDDNLEHYKNSTV<br>MARAEYFRNVDYLLIHGTADDNVHFQNSAQIA<br>KALVNAQVDFQAMWYSDQNHGLSGLSTNHLY<br>THMTHFLKQCFSLSDGKKKKKKKGHHHHHHH                                                                                                                                                                                                                                                                                                                                                                              |
| 58         | mouse FAP                                                           | UniProt no. P97321                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| 59         | Murine FAP<br>ectodomain+poly-lys-<br>tag+his <sub>6</sub> -tag     | RPSRVYKPEGNTKRALTLKDILNGTFSYKTYFP<br>NWISEQEYLHQSEDDNIVFYNIETRESYIILSNST<br>MKS VNATDYGLSPDRQFVYLESDYSKLWRYS<br>YTATYYIYDLQNGEFVRGYELPRPIQYLCWSPV<br>GSKLAYVYQNNIYLKQRPDPPFQITYTGREN<br>IFNGIPDWVYEEEMLATKYALWWSPDGKFLAY<br>VEFNDSDIPIIAYSYYGDGQYPRITINIPYKAGA<br>KNPVVRVFIVDTTYPHHVGPMEVPVPEMIASSD<br>YYFSWLTWVSSERVCLQWLKRVQNVSVLSICD<br>FREDWHAWECPKNQEHVEESRTGWAGGFFVS<br>TPAFSQDATSYKIFSDKDGYPKHHYIKDTVEN<br>AIQITSGKWEAIYIFRVTQDSLIFYSSNEFEGYPG<br>RRNIYRISIGNSPPSKKCVTCHLRKERCQYYTAS<br>FSYKAKYYALVCYGPGLPISTLHDGRDQEIQV<br>LEENKELENSLRNIQLPKEIKKLDGGLTFWY<br>KMILPPQFDRSKKYPLLIQVYGGPCSQSVKSVF<br>AVNWITYLASKEGIVIALVDGRGTAFQGDKFL<br>HAVYRKLGVYEVEDQLTAVRKFIEMGFIDEERI<br>AIWGWSYGGYVSSLALASGTGLFKCGIAVAPV<br>SSWEYYASISYERFMGLPTKDDNLEHYKNSTV<br>MARAEYFRNVDYLLIHGTADDNVHFQNSAQIA<br>KALVNAQVDFQAMWYSDQNHGILSGRSQNH<br>YTHMTHFLKQCFSLSDGKKKKKKKGHHHHHHH |
| 60         | Cynomolgus FAP<br>ectodomain+poly-lys-<br>tag+his <sub>6</sub> -tag | RPPRVHNSEENTMRALTLKDILNGTFSYKTFPP<br>NWSGQEYLHQSAADNNIVLYNIETGQSYTILSN<br>RTMKSVNASNYGLSPDRQFVYLESDYSKLWR<br>YSYTATYYIYDLNNGEFVRGNELPRPIQYLCWS<br>PVGSKLAYVYQNNIYLKQRPDPPFQITFNGRE<br>NKIFNGIPDWVYEEEMLATKYALWWSPNGKFL<br>AYAEFNDDIPVIAYSYYGDEQYPRITINIPYKKA<br>GAKNPVVRIFIIDTTPAYVGPQEVVPVAMIASS<br>DYYFSWLTWVTDERVCLQWLKRVQNVSVLSI<br>CDFREDWQTDWDCPKTQEHIEESRTGWAGGFFV<br>STPVFSYDAISYYKIFSDKDGYPKHHYIKDTVEN<br>AIQITSGKWEAINIFRVTQDSLIFYSSNEFEDYPG<br>RRNIYRISIGSYPPSKKCVTCHLRKERCQYYTAS<br>FSDYAKYYALVCYGPPISTLHDGRDQEIIL<br>EENKELENALKNIQLPKEEIKKLEVDITLWYK<br>MILPPQFDRSKKYPLLIQVYGGPCSQSVRSVFA                                                                                                                                                                                                                                                            |



| SEQ ID NO: | Name                                          | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|------------|-----------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            |                                               | VNWISYLASKEGMVIALVDGRGTAFQGDKLLY<br>AVYRKLGVYEVEDQITAVRKFIEMGFIDEKRIA<br>IWGWSYGGYVSSLALASGTGLFKCGIAVAPVS<br>SWEYYASVYTERFMGLPTKDDNLEHYKNSTV<br>MARAEYFRNVDYLLIHGTADDNVHFQNSAQIA<br>KALVNAQVDFQAMWYSDQNHGLSGLSTNHLY<br>THMTHFLKQCFSLSDGKKKKKKGGHHHHH                                                                                                                                                                                                                                                                      |
| 61         | human CEA                                     | UniProt no. P06731                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 62         | full length 4-1BBL                            | UniProt No. P41273                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| 63         | 4-1BBL (50-254)                               | ACPWAVSGARASPGSAASPRREGPELSPDDPA<br>GLDLRQGMFAQLVAQNVLLIDGPLSWYSDPG<br>LAGVSLTGGLSYKEDTKELVVAAGVYVFFQ<br>LELRRV VAGEGSGSVSLALHLQPLRSAAGAAA<br>LALTVDLPPASSEARNSAFGFQGRLLHLSAGQR<br>LGVHLHTEARARHAWQLTQGATVLGLFRVTP<br>EIPAGLPSRSE                                                                                                                                                                                                                                                                                         |
| 64         | human 4-1BB                                   | UniProt accession No. Q07011                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 65         | murine 4-1BB                                  | UniProt accession No. P20334                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 66         | cynomolgus 4-1BB                              | Uniprot accession No. F6W5G6                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| 67         | G4S peptide linker                            | GGGGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| 68         | (G4S) <sub>2</sub>                            | GGGGS GGGGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 69         | (SG4) <sub>2</sub>                            | SGGGGS GGGG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| 70         | peptide linker                                | GGGGS GGGGS GGGG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| 71         | peptide linker                                | GSPGSSSSGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 72         | (G4S) <sub>3</sub> peptide linker             | GGGGS GGGGS GGGGS <sub>3</sub>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| 73         | (G4S) <sub>4</sub> peptide linker             | GGGGS GGGGS GGGGS GGGGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| 74         | peptide linker                                | GSGSGSGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 75         | peptide linker                                | GSGSGNGS                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 76         | peptide linker                                | GGSGSGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 77         | peptide linker                                | GGSGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 78         | peptide linker                                | GGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| 79         | peptide linker                                | GGSGNGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 80         | peptide linker                                | GGNGSGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 81         | peptide linker                                | GGNGSG                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| 82         | anti-CEACAM5 Fc hole chain<br>(Construct 5.4) | QVQLVQSGAEVKKPGSSVKVSKASGFNIKDT<br>YMHWRQAPGQGLEWMGRIDPANGNSKYVP<br>KFQGRVTITADTSTSTAYMELSSLRSED TAVYY<br>CAPFGYYVSDYAMAYWGQGLTVTVSSASTKG<br>PSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVT<br>VSWNSGALTSGVHTFPAVLQSSGLYSLSSVVT<br>VPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSC<br>DKTHTCPPCPAPEAAGGPSVFLFPPKPKDTLMIS<br>RTPEVTCVVDVSHEDPEVKFNWYVDGVEVH<br>NAKTKPREEQYNSTYRVVSVLTVLHQDWLNG<br>KEYKCKVSNKALGAPIEKTISKAKGQPREPQVC<br>TLPPSRDELTKNQVSLSCAVKGFYPSDIAVEWE<br>SNGQPENNYKTTTPVLDSDGSFFLVSKLTVDKS<br>RWQQGNVFCFSVMHEALHNHYTQKSLSLSPG<br>K |
| 83         | anti-CEACAM5 light chain                      | EIVLTQSPATLSLSPGERATLSCRAGESVDIFGV                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |

| SEQ ID NO: | Name                                        | Sequence                                                                                                                                                                                                                                    |
|------------|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|            | (Construct 5.4)                             | GFLHWYQQKPGQAPRLLIYRASNRATGIPARFS<br>GSGSGTDFLTITSSLEPEDFAVYYCQQTNEDPY<br>TFGQGTKLEIKRTVAAPSVFIFPPSDEQLKSGTA<br>SVVCLLNNFYPREAKVQWKVDNALQSGNSQE<br>SVTEQDSKDYSLSSSTLTLSKADYEKHKVYA<br>CEVTHQGLSSPVTKSFNRGEC                                 |
| 84         | DP47 light chain                            | EIVLTQSPGTLSPGERATLSCRASQSVSSSYL<br>AWYQQKPGQAPRLLIYGASSRATGIPDRFSGSG<br>SGTDFLTISRLEPEDFAVYYCQQYGSSPLTFGQ<br>GTKVEIKRTVAAPSVFIFPPSDEQLKSGTASVVC<br>LLNNFYPREAKVQWKVDNALQSGNSQESVTE<br>QDSKDYSLSSSTLTLSKADYEKHKVYACEVT<br>HQGLSSPVTKSFNRGEC |
| 85         | CD20                                        | UniProt accession No. P11836                                                                                                                                                                                                                |
| 86         | di-mu4-1BBL-CL Fc knob chain                | see Table 3                                                                                                                                                                                                                                 |
| 87         | mono-mu4-1BBL-CH1 chain                     | see Table 3                                                                                                                                                                                                                                 |
| 88         | VHCH1 (4B9) Fc hole chain                   | see Table 3                                                                                                                                                                                                                                 |
| 89         | VLCL(4B9) Light chain                       | see Table 3                                                                                                                                                                                                                                 |
| 90         | VHCH1 (MU137-1)-Heavy chain Fc-DD-VL (28H1) | see Table 5                                                                                                                                                                                                                                 |
| 91         | VHCH1 (20H4.9)-Heavy chain Fc-KK-VH (28H1)  | see Table 5                                                                                                                                                                                                                                 |
| 92         | VLCL-Light chain (MU137-1)                  | see Table 5                                                                                                                                                                                                                                 |
| 93         | VHCH1 (MU137-1)-Heavy chain Fc-DD-VH (28H1) | see Table 6                                                                                                                                                                                                                                 |
| 94         | VHCH1 (MU137-1)-Heavy chain Fc-KK-VL (28H1) | see Table 6                                                                                                                                                                                                                                 |
| 95         | human HER-2                                 | UniProt Acc. No. P04626-1                                                                                                                                                                                                                   |
| 96         | heavy chain variable domain VH, trastuzumab | EVQLVESGGGLVQPGGSLRLSCAASGFNIKDTY<br>IHWVRQAPGKGLEWVARIYPTNGYTRYADSV<br>KGRFTISADTSKNTAYLQMNSLRAEDTAVYYC<br>SRWGGDGFYAMDYWGQGTLLTVSS                                                                                                        |
| 97         | light chain variable domain VL, trastuzumab | DIQMTQSPSSLSASVGDRVTITCRASQDVNTAV<br>AWYQQKPGKAPKLLIYSASFLYSGVPSRFSGSR<br>SGTDFLTITSSLPEDFATYYCQQHYYTPPTFGQ<br>GTKVEIK                                                                                                                      |
| 98         | heavy chain variable domain VH, pertuzumab  | EVQLVESGGGLVQPGGSLRLSCAASGFTFTDYT<br>MDWVRQAPGKGLEWVADVNPNSGGSIYNQRF<br>KGRFTLSVDRSKNTLYLQMNSLRAEDTAVYYC<br>ARNLGPSFYFDYWGQGTLLTVSS                                                                                                         |
| 99         | light chain variable domain VL, pertuzumab  | DIQMTQSPSSLSASVGDRVTITCKASQDVSIGV<br>AWYQQKPGKAPKLLIYSASYRYTGVPSPRFSGS<br>GSGTDFLTITSSLPEDFATYYCQQYYIYPYTFG<br>QGGTKVEIK                                                                                                                    |

General information regarding the nucleotide sequences of human immunoglobulins light and heavy chains is given in: Kabat, E.A., et al., Sequences of Proteins of Immunological Interest, 5th ed., Public Health Service, National Institutes of Health, Bethesda, MD (1991). Amino acids of antibody chains are numbered and referred to  
 5 according to the numbering systems according to Kabat (Kabat, E.A., et al., Sequences of Proteins of Immunological Interest, 5th ed., Public Health Service, National Institutes of Health, Bethesda, MD (1991)) as defined above.

### Aspects of the Invention

In the following, some of the aspects of the invention are listed.

- 10 1. A 4-1BB agonist for use in a method for treating or delaying progression of cancer, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent.
2. A 4-1BB agonist for use in a method for treating or delaying progression of cancer, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding  
 15 domain capable of specific binding to a tumor-associated antigen.
3. The 4-1BB agonist for use in a method of aspect 1, wherein the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions.
4. The 4-1BB agonist for use in a method of aspect 1 or 2, wherein the 4-1BB agonist  
 20 comprises three ectodomains of 4-1BBL or fragments thereof.
5. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID  
 25 NO:5, SEQ ID NO: 6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.
6. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to a tumor-  
 30 associated antigen.
7. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to Fibroblast activation protein (FAP).

8. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises

- 5 (a) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and
- 10 (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or
- (b) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid
- 15 sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

9. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein
- 20 the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:22 or
  - wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a
  - 25 light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:24.

10. The 4-1BB agonist for use in a method of of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

11. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB
- 30 agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

12. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising
- (a) at least one antigen binding domain capable of specific binding to FAP,
  - 35 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

13. The 4-1BB agonist for use in a method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to CD19, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

5 wherein the antigen binding molecule is characterized in that

(i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or

(ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or

(iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

14. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and

SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

15. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB  
5 agonist is an antigen binding molecule selected from the group consisting of

a) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44; and

10 b) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:45, a first light chain comprising the amino acid sequence of SEQ ID NO:46, a second heavy chain comprising the amino acid sequence of SEQ ID NO:47 and a second light chain comprising the amino acid sequence of SEQ ID NO:48.

16. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB  
15 agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to FAP,  
(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

17. The 4-1BB agonist for use in a method of of the preceding aspects, wherein the 4-  
20 1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to FAP,  
(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers, and

(c) a Fc domain composed of a first and a second subunit capable of stable association,  
25 wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

18. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

30 19. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA.

20. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments  
35 thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises (a) a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of

SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

21. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

22. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

23. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

24. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to CEA,

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

25. The 4-1BB agonist for use in a method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to CEA, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that

(i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or

- (ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or
- (iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

26. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising the amino acid sequence of SEQ ID NO:40, and
- (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

27. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:82 and a second light chain comprising the amino acid sequence of SEQ ID NO:83.

28. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one antigen binding domain capable of specific binding to CEA,
- (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

29. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising



- (a) at least one antigen binding domain capable of specific binding to CEA,  
 (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers, and  
 (c) a Fc domain composed of a first and a second subunit capable of stable association,  
 5 wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

30. The 4-1BB agonist for use in a method of the preceding aspects, wherein the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

- 10 31. The 4-1BB agonist for use in a method of any one of the preceding aspects, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the combination is administered at intervals from about one week to three weeks.

32. A pharmaceutical product comprising (A) a first composition comprising as active ingredient a 4-1BB agonist and a pharmaceutically acceptable carrier; and (B) a second  
 15 composition comprising as active ingredient a HER-2 targeting agent and a pharmaceutically acceptable carrier, for use in the combined, sequential or simultaneous, treatment of a disease, in particular cancer.

33. A pharmaceutical composition comprising a 4-1BB agonist and a HER-2 targeting agent.

- 20 34. The pharmaceutical composition of aspect 32 for use in the treatment of solid tumors.

35. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof.

36. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-  
 25 1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO: 6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.

- 30 37. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to a tumor associated antigen.

38. The pharmaceutical composition of any one of the preceding aspects, wherein the  
 35 tumor associated antigen is FAP.

39. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises

- 5 (a) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and
- 10 (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or
- (b) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid
- 15 sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

40. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP,
- 20 wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:22 or
  - wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a
  - 25 light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:24.

41. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association.

42. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an
- 30 IgG1 Fc domain or an IgG4 Fc domain.

43. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

44. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG1 Fc domain comprising the amino acid substitutions L234A, L235A and P329G.
- 35

45. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to FAP,

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

5 wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

46. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

10 (a) at least one Fab domain capable of specific binding to FAP, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the antigen binding molecule is characterized in that

(i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and  
15 wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or

(ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second  
25 polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or

(iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two  
30 ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

35 47. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light

chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and

- 5 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence  
10 selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

48. The pharmaceutical composition of any one of the preceding aspects, wherein wherein the 4-1BB agonist is an antigen binding molecule selected from the group consisting of

- 15 a) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44; and  
b) a molecule comprising a first heavy chain comprising the amino acid sequence of  
20 SEQ ID NO:45, a first light chain comprising the amino acid sequence of SEQ ID NO:46, a second heavy chain comprising the amino acid sequence of SEQ ID NO:47 and a second light chain comprising the amino acid sequence of SEQ ID NO:48.

49. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- 25 (a) at least one antigen binding domain capable of specific binding to FAP,  
(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

50. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- 30 (a) at least one antigen binding domain capable of specific binding to FAP,  
(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers, and  
(c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof  
35 that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

51. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

52. The pharmaceutical composition of any one of the preceding aspects, wherein the tumor associated antigen is CEA.

5 53. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence  
10 of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

15 54. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a  
20 light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

55. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association.

25 56. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

57. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

30 58. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG1 Fc domain comprising the amino acid substitutions L234A, L235A and P329G.

59. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising  
35 (a) at least one antigen binding domain capable of specific binding to CEA,  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

60. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to CEA, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the antigen binding molecule is characterized in that

- (i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or
- (ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or
- (iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

61. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising the amino acid sequence of SEQ ID NO:40, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID

NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

5           62. The pharmaceutical composition of any one of the preceding aspects, wherein wherein the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:82 and a second light chain comprising the amino acid sequence of  
10       SEQ ID NO:83.

          63. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising  
(a) at least one antigen binding domain capable of specific binding to CEA,  
(b) a polypeptide comprising ectodomains of 4-1BBL or fragments thereof that are connected  
15       to each other by peptide linkers.

          64. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising  
(a) at least one antigen binding domain capable of specific binding to CEA,  
(b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are  
20       connected to each other by peptide linkers, and  
(c) a Fc domain composed of a first and a second subunit capable of stable association, wherein the polypeptide comprising the three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers is fused to the N- or C-terminal amino acid of one of the two subunits of the Fc domain, optionally through a peptide linker.

25           65. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

          66. The 4-1BB agonist for use or the pharmaceutical composition of any one of the preceding aspects, wherein the HER-2 targeting agent is one or more of trastuzumab, pertuzumab, and/or trastuzumab emtansine.

30           67. The 4-1BB agonist for use or the pharmaceutical composition of any one of the preceding aspects, wherein the HER-2 targeting agent is trastuzumab.

          68. The pharmaceutical composition of any one of the preceding aspects, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the combination is administered at intervals from about one week to three weeks.

35           69. The pharmaceutical composition of any one of the preceding aspects for use in treating or delaying progression of a proliferative disease, in particular cancer.

70. The pharmaceutical composition of any one of the preceding aspects for use in the treatment of breast cancer, ovarian cancer, stomach cancer, gastric cancer, oesophageal cancer, lung cancer, uterine cancer, salivary duct carcinoma, bladder cancer, endometrial cancer, pancreatic cancer, colon cancer, prostate cancer, and/or head and neck cancer.

5 71. A kit for treating or delaying progression of cancer in a subject, comprising a package comprising (A) a first composition comprising as active ingredient an 4-1BB agonist and a pharmaceutically acceptable carrier; (B) a second composition comprising as active ingredient a HER-2 targeting agent and a pharmaceutically acceptable carrier, and (C) instructions for using the compositions in a combination therapy.

10 72. Use of a combination of a 4-1BB agonist and a HER-2 targeting agent in the manufacture of a medicament for treating or delaying progression of a proliferative disease, in particular cancer.

73. Use of a combination of a 4-1BB agonist and a HER-2 targeting agent in the manufacture of a medicament, wherein the medicament is for the treatment of solid tumors.

15 74. A method for treating or delaying progression of cancer in a subject comprising administering to the subject an effective amount of a 4-1BB agonist and an effective amount of a HER-2 targeting agent.

20 75. A method for treating or delaying progression of cancer in a subject comprising administering to the subject an effective amount of of a 4-1BB agonist and an effective amount of a HER-2 targeting agent, wherein the 4-1BB agonist is an antigen binding molecule.

76. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain.

25 77. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain with modifications reducing Fc $\gamma$  receptor binding and/or effector function.

78. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof.

30 79. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and an antigen binding domain capable of specific binding to a tumor associated antigen.

35 80. The method of any one of the preceding aspects, wherein the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:



6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.

81. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one moiety capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises

(a) a heavy chain variable region ( $V_H$ FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region ( $V_L$ FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or

(b) a heavy chain variable region ( $V_H$ FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region ( $V_L$ FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

82. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to FAP, wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region ( $V_H$ FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region ( $V_L$ FAP) comprising an amino acid sequence of SEQ ID NO:22 or wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region ( $V_H$ FAP) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region ( $V_L$ FAP) comprising an amino acid sequence of SEQ ID NO:24.

83. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association.

84. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

85. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

86. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to FAP,

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

5 wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

87. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

10 (a) at least one Fab domain capable of specific binding to FAP, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the antigen binding molecule is characterized in that

(i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and  
15 wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or

(ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second  
25 polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or

(iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two  
30 ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

35 88. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light

chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and

- 5 (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence  
10 selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

89. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule selected from the group consisting of

- a) a molecule comprising a first heavy chain comprising the amino acid sequence of  
15 SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44; and  
b) a molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:45, a first light chain comprising the amino acid sequence of SEQ ID NO:46, a  
20 second heavy chain comprising the amino acid sequence of SEQ ID NO:47 and a second light chain comprising the amino acid sequence of SEQ ID NO:48.

90. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one antigen binding domain capable of specific binding to FAP,  
25 (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

91. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

92. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an  
30 antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one moiety capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises  
a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID  
35 NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence

of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

93. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA, wherein the antigen binding domain capable of specific binding to CEA comprises a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

94. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule further comprising a Fc domain composed of a first and a second subunit capable of stable association.

95. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

96. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector function.

97. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one antigen binding domain capable of specific binding to CEA,
- (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

98. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one Fab domain capable of specific binding to CEA, and
- (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that

- (i) the first polypeptide contains a CH1 or CL domain and the second polypeptide contains a CL or CH1 domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the CH1 or CL domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the CL or CH1 domain of said polypeptide, or

- (ii) the first polypeptide contains a CH3 domain and the second polypeptide contains a CH3 domain, respectively, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to the C-terminus of the CH3 domain by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to the C-terminus of the CH3 domain of said polypeptide, or
- (iii) the first polypeptide contains a VH-CL or a VL-CH1 domain and the second polypeptide contains a VL-CH1 domain or a VH-CL domain, respectively, wherein the second polypeptide is linked to the first polypeptide by a disulfide bond between the CH1 and CL domain, and wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other and to VH or VL by a peptide linker and wherein the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof connected via a peptide linker to VL or VH of said polypeptide.

99. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40, and
- (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

100. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:82 and a second light chain comprising the amino acid sequence of SEQ ID NO:83.

101. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

- (a) at least one antigen binding domain capable of specific binding to FAP,
- (b) a polypeptide comprising three ectodomains of 4-1BBL or fragments thereof that are connected to each other by peptide linkers.

102. The method of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

103. The method of any one of the preceding aspects, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the combination is administered at  
5 intervals from about one week to three weeks.

104. The method of any one of the preceding aspects, wherein the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions.

105. The method of any one of the preceding aspects, wherein the 4-1BB agonist and  
10 the HER-2 targeting agent are administered intravenously or subcutaneously.

106. The method of any one of the preceding aspects, wherein the 4-1BB agonist is administered concurrently with, prior to, or subsequently to the HER-2 targeting agent.

107. The method of any one of the preceding aspects, wherein the 4-1BB agonist and the HER-2 targeting agent are administered simultaneously.

108. A 4-1BB agonist for use in a method for treating or delaying progression of cancer  
15 of any of the preceding aspects, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein the 4-1BB agonist acts synergistically with the HER-2 targeting agent.

109. The 4-1BB agonist for use in a method for treating or delaying progression of  
20 cancer, wherein the 4-1BB agonist is used in combination with a HER-2 targeting agent and wherein a pretreatment with a Type II anti-CD20 antibody is performed prior to the combination treatment, wherein the period of time between the pretreatment and the combination treatment is sufficient for the reduction of B-cells in the individual in response to the Type II anti-CD20 antibody.

110. The 4-1BB agonist for use in a method of aspect 109, wherein the Type II anti-  
25 CD20 antibody is obinutuzumab.

111. A method for treating or delaying progression of cancer in a subject comprising administering to the subject a combination of an effective amount of a 4-1BB agonist and an effective amount of a HER-2 targeting agent, wherein a pretreatment with an Type II anti-  
30 CD20 antibody is performed prior to the treatment with the combination, wherein the period of time between the pretreatment and the combination treatment is sufficient for the reduction of B-cells in the individual in response to the Type II anti-CD20 antibody.

112. The method of aspect 111, wherein the Type II anti-CD20 antibody is obinutuzumab.

113. A combination comprising a 4-1BB (CD137) agonist and a HER-2 targeting agent, wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen.

114. The combination of aspect 113, wherein the HER-2 targeting agent comprises a  
5 HER-2 antibody, a HER-2 bispecific antibody and/or a HER-2 antibody drug conjugate.

115. The combination of aspects 113 or 114, wherein the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine.

116. The combination of any one of the preceding aspects, wherein the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof.

10 117. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO: 6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or  
15 SEQ ID NO:5.

118. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to a tumor-associated antigen selected from the group consisting of Fibroblast activation protein (FAP) and CEA.

20 119. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

120. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid  
25 substitution that reduces binding to an Fc receptor and/or effector function.

121. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to Fibroblast activation protein (FAP).

122. The combination of any one of the preceding aspects, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to FAP comprising

- (a) a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region ( $V_{\text{LFAP}}$ ) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or
- (b) a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region ( $V_{\text{LFAP}}$ ) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

123. The combination of any one of the preceding aspects, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to FAP comprising a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region ( $V_{\text{LFAP}}$ ) comprising an amino acid sequence of SEQ ID NO:22 or wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region ( $V_{\text{LFAP}}$ ) comprising an amino acid sequence of SEQ ID NO:24.

124. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising
- (a) at least one antigen binding domain capable of specific binding to FAP,
  - (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

125. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising
- (a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising the amino acid sequence of SEQ ID NO:21 and a light chain variable region ( $V_{\text{LFAP}}$ ) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region ( $V_{\text{HFAP}}$ ) comprising the amino acid sequence of SEQ ID NO:23



and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and

- (b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

126. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44.

127. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

128. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA.

129. The combination of any one of the preceding aspects, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to CEA comprising (a) a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

130. The combination of any one of the preceding aspects, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

131. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one antigen binding domain capable of specific binding to CEA,

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

5 wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

132. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an antigen binding molecule comprising

10 (a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region ( $V_{HCEA}$ ) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region ( $V_{LCEA}$ ) comprising the amino acid sequence of SEQ ID NO:40, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises

15 the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

20 133. The combination of any one of the preceding aspects, wherein the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

134. The combination of any one of the preceding aspects for use as medicament.

135. The combination of any one of the preceding aspects, wherein the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or

25 administered separately in two or more different compositions.

136. The combination of any one of the preceding aspects, wherein the 4-1BB agonist and the HER-2 targeting agent are for simultaneous administration or for sequential administration.

137. The combination of any one of the preceding aspects for use in the treatment of  
30 HER-2 positive cancer.

## EXAMPLES

The following are examples of methods and compositions of the invention. It is understood that various other embodiments may be practiced, given the general description provided above.

### 5        **Recombinant DNA techniques**

Standard methods were used to manipulate DNA as described in Sambrook et al., Molecular cloning: A laboratory manual; Cold Spring Harbor Laboratory Press, Cold Spring Harbor, New York, 1989. The molecular biological reagents were used according to the manufacturer's instructions. General information regarding the nucleotide sequences of human  
10 immunoglobulin light and heavy chains is given in: Kabat, E.A. et al., (1991) Sequences of Proteins of Immunological Interest, Fifth Ed., NIH Publication No 91-3242.

#### **DNA sequencing**

DNA sequences were determined by double strand sequencing.

#### **Gene synthesis**

15        Desired gene segments were either generated by PCR using appropriate templates or were synthesized by Geneart AG (Regensburg, Germany) from synthetic oligonucleotides and PCR products by automated gene synthesis. In cases where no exact gene sequence was available, oligonucleotide primers were designed based on sequences from closest homologues and the genes were isolated by RT-PCR from RNA originating from the  
20 appropriate tissue. The gene segments flanked by singular restriction endonuclease cleavage sites were cloned into standard cloning / sequencing vectors. The plasmid DNA was purified from transformed bacteria and concentration determined by UV spectroscopy. The DNA sequence of the subcloned gene fragments was confirmed by DNA sequencing. Gene segments were designed with suitable restriction sites to allow sub-cloning into the respective  
25 expression vectors. All constructs were designed with a 5'-end DNA sequence coding for a leader peptide which targets proteins for secretion in eukaryotic cells.

#### **Cell culture techniques**

Standard cell culture techniques were used as described in Current Protocols in Cell Biology (2000), Bonifacino, J.S., Dasso, M., Harford, J.B., Lippincott-Schwartz, J. and  
30 Yamada, K.M. (eds.), John Wiley & Sons, Inc.

## Protein purification

Proteins were purified from filtered cell culture supernatants referring to standard protocols. In brief, antibodies were applied to a Protein A Sepharose column (GE healthcare) and washed with PBS. Elution of antibodies was achieved at pH 2.8 followed by immediate  
5 neutralization of the sample. Aggregated protein was separated from monomeric antibodies by size exclusion chromatography (Superdex 200, GE Healthcare) in PBS or in 20 mM Histidine, 150 mM NaCl pH 6.0. Monomeric antibody fractions were pooled, concentrated (if required) using e.g., a MILLIPORE Amicon Ultra (30 MWCO) centrifugal concentrator, frozen and stored at -20°C or -80°C. Part of the samples were provided for subsequent protein  
10 analytics and analytical characterization e.g. by SDS-PAGE, size exclusion chromatography (SEC) or mass spectrometry.

## SDS-PAGE

The NuPAGE® Pre-Cast gel system (Invitrogen) was used according to the manufacturer's instruction. In particular, 10% or 4-12% NuPAGE® Novex® Bis-TRIS Pre-  
15 Cast gels (pH 6.4) and a NuPAGE® MES (reduced gels, with NuPAGE® Antioxidant running buffer additive) or MOPS (non-reduced gels) running buffer was used.

## Analytical size exclusion chromatography

Size exclusion chromatography (SEC) for the determination of the aggregation and oligomeric state of antibodies was performed by HPLC chromatography. Briefly, Protein A  
20 purified antibodies were applied to a Tosoh TSKgel G3000SW column in 300 mM NaCl, 50 mM KH<sub>2</sub>PO<sub>4</sub>/K<sub>2</sub>HPO<sub>4</sub>, pH 7.5 on an Agilent HPLC 1100 system or to a Superdex 200 column (GE Healthcare) in 2 x PBS on a Dionex HPLC-System. The eluted protein was quantified by UV absorbance and integration of peak areas. BioRad Gel Filtration Standard 151–1901 served as a standard.

## 25 Mass spectrometry

This section describes the characterization of the multispecific antibodies with VH/VL exchange (VH/VL CrossMabs) with emphasis on their correct assembly. The expected primary structures were analyzed by electrospray ionization mass spectrometry (ESI-MS) of the deglycosylated intact CrossMabs and deglycosylated/plasmin digested or alternatively  
30 deglycosylated/limited LysC digested CrossMabs.

The VH/VL CrossMabs were deglycosylated with N-Glycosidase F in a phosphate or Tris buffer at 37°C for up to 17 h at a protein concentration of 1 mg/ml. The plasmin or limited LysC (Roche) digestions were performed with 100 µg deglycosylated VH/VL CrossMabs in a Tris buffer pH 8 at room temperature for 120 hours and at 37°C for 40 min,

respectively. Prior to mass spectrometry the samples were desalted via HPLC on a Sephadex G25 column (GE Healthcare). The total mass was determined via ESI-MS on a maXis 4G UHR-QTOF MS system (Bruker Daltonik) equipped with a TriVersa NanoMate source (Advion).

5           **Determination of binding and binding affinity of multispecific antibodies to the respective antigens using surface plasmon resonance (SPR) (BIACORE)**

Binding of the generated antibodies to the respective antigens is investigated by surface plasmon resonance using a BIACORE instrument (GE Healthcare Biosciences AB, Uppsala, Sweden). Briefly, for affinity measurements Goat-Anti-Human IgG, JIR 109-005-098  
10 antibodies are immobilized on a CM5 chip via amine coupling for presentation of the antibodies against the respective antigen. Binding is measured in HBS buffer (HBS-P (10 mM HEPES, 150 mM NaCl, 0.005% Tween 20, pH 7.4), 25°C (or alternatively at 37°C). Antigen (R&D Systems or in house purified) was added in various concentrations in solution. Association was measured by an antigen injection of 80 seconds to 3 minutes; dissociation  
15 was measured by washing the chip surface with HBS buffer for 3 - 10 minutes and a KD value was estimated using a 1:1 Langmuir binding model. Negative control data (e.g. buffer curves) are subtracted from sample curves for correction of system intrinsic baseline drift and for noise signal reduction. The respective Biacore Evaluation Software is used for analysis of sensorgrams and for calculation of affinity data.

20

**Example 1**

**Preparation, purification and characterization of FAP-4-1BB ligand trimer-containing antigen binding molecules**

FAP-targeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules were prepared as described in International Patent Appl. Publ. No. WO 2016/075278 A1.

25

In particular, the following molecules were made:

a) Monovalent FAP-targeted and untargeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules

30

A polypeptide encoding a dimeric 4-1BB ligand fused to human CL domain was subcloned in frame with the human IgG1 heavy chain CH2 and CH3 domains on the knob (Merchant, Zhu et al. 1998, Nature Biotechnol. 16, 677-681). A polypeptide containing one ectodomain of the 4-1BB ligand was fused to the human IgG1-CH1 domain. In Construct 2.4, in order to improve correct pairing of the chains the following mutations were additionally introduced in the crossed CH-CL (charged variant). In the dimeric 4-1BB ligand fused to

human CL, E123R and Q124K, in the monomeric 4-1BB ligand fused to human CH1, K147E and K213E.

The variable region of heavy and light chain DNA sequences encoding a binder specific for FAP, clone 28H1 or clone 4B9, were subcloned in frame with either the constant heavy chain of the hole or the constant light chain of human IgG1. The Pro329Gly, Leu234Ala and Leu235Ala mutations have been introduced in the constant region of the knob and hole heavy chains to abrogate binding to Fc gamma receptors according to the method described in WO 2012/130831. Combination of the dimeric ligand-Fc knob chain containing the S354C/T366W mutations, the monomeric CH1 fusion, the targeted anti-FAP-Fc hole chain containing the Y349C/T366S/L368A/Y407V mutations and the anti-FAP light chain allows generation of a heterodimer, which includes an assembled trimeric 4-1BB ligand and a FAP binding Fab (**Figure 1A**). An untargeted version has been prepared accordingly by replacing the FAP binder by germline DP47 (**Figure 1C**).

**Table 1: Monovalent Constructs**

|                                           | Example in WO 2016/075278        | composed of                                                 |
|-------------------------------------------|----------------------------------|-------------------------------------------------------------|
| mono FAP(4B9)-4-1BBL<br>(Charged variant) | Example 2.1.4<br>(Construct 2.4) | SEQ ID NO:41, SEQ ID NO:42<br>SEQ ID NO:43 and SEQ ID NO:44 |
| mono FAP(28H1)-4-1BBL                     | Example 1.1<br>(Construct 1.2)   | SEQ ID NO:45, SEQ ID NO:46<br>SEQ ID NO:47 and SEQ ID NO:48 |
| mono untargeted DP47-4-1BBL               | Example 1.4<br>(Control B)       | SEQ ID NO:45, SEQ ID NO:46<br>SEQ ID NO:49 and SEQ ID NO:84 |

a) Bivalent FAP-targeted and untargeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules

The DNA sequences encoding the heavy and light chain variable regions of heavy and light chain specific a binder specific for FAP, clone 28H1 or clone 4B9, were subcloned in frame with either the constant heavy chain of the hole, the knob or the constant light chain of human IgG1. The Pro329Gly, Leu234Ala and Leu235Ala mutations were introduced in the constant region of the knob and hole heavy chains to abrogate binding to Fc gamma receptors according to the method described in WO 2012/130831. Furthermore, a polypeptide comprising two ectodomains of 4-1BB ligand was fused to the C-terminus of human IgG1 Fc hole chain and a polypeptide comprising one ectodomain of 4-1BB ligand was fused to the C-

terminus of human IgG1 Fc knob chain. Combination of the anti-FAP huIgG1 hole dimeric ligand heavy chain containing the Y349C/T366S/L368A/Y407V mutations, the anti-FAP huIgG1 knob monomeric ligand heavy chain containing the S354C/T366W mutations and the anti-FAP light chains allowed generation of a heterodimer, which included an assembled  
5 trimeric 4-1BB ligand and two FAP binding Fabs (**Figure 1B**). An untargeted version has been prepared accordingly by replacing the FAP binder by germline DP47 (**Figure 1D**).

**Table 2: Bivalent Constructs**

|                           | Example in WO 2016/075278     | composed of                                     |
|---------------------------|-------------------------------|-------------------------------------------------|
| bi FAP(4B9)-4-1BBL        | Example 2.1.3 (Construct 2.3) | 2 x SEQ ID NO:44, SEQ ID NO:50 and SEQ ID NO:51 |
| bi FAP(28H1)-4-1BBL       | Example 1.1 (Construct 1.5)   | 2 x SEQ ID NO:48, SEQ ID NO:52 and SEQ ID NO:53 |
| bi untargeted DP47-4-1BBL | Example 2.2 (Control C)       | 2 x SEQ ID NO:49, SEQ ID NO:54 and SEQ ID NO:55 |

The production and characterization of the FAP-targeted and untargeted 4-1BB ligand  
10 trimer-containing Fc fusion antigen binding molecules is described in detail in WO 2016/075278, Examples 1 to 6, respectively.

**Example 2****Preparation, purification and characterization of FAP targeted mouse 4-1BBL antigen binding molecule (Hybrid surrogate)**

15 A bispecific antigen binding molecule comprising an agonistic mouse 4-1BB ligand with monovalent binding for FAP, also termed hybrid surrogate or FAP-mu4-1BBL, was prepared as described in International Patent Appl. Publ. No. WO 2016/075278 A1. The targeted mouse 4-1BBL was prepared as described for the human ligand by replacing the human ligand with the mouse ectodomain.

20 The DNA sequence encoding part of the ectodomain (amino acid 104-309, including the C160S mutation) of mouse 4-1BB ligand was synthesized according to the Q3U1Z9-1 sequence of Uniprot database. The FAP binder used to target the 4-1BB ligand was clone

4B9. The amino acid sequences for the hybrid surrogate FAP-mu4-1BBL can be found in Table 3.

**Table 3: Amino acid sequences of mature hybrid surrogate FAP-mu4-1BBL**

| SEQ ID NO: | Description                  | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|------------|------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 86         | di-mu4-1BBL-CL Fc knob chain | RTEPRPALTITTTSPNLGTRENNADQVTPVSHIGCPNTTQQGSPVFAKLLAKNQASLSNTTLNWHSQDGAGSSYLSQGLRYEEDKKELVVDSPGLYYVFLELKLSPFTNTGHKVVQGWVSLVLQAKPQVDDFDNLALTVELFPCSMENKLVDRSWSQLLLLKAGHRLSVGLRAYLHGAQDAYRDWELSYPNNTTSFGLFLVKPDNPWEGGGGSGGGGSRTTEPRPALTITTTSPNLGTRENNADQVTPVSHIGCPNTTQQGSPVFAKLLAKNQASLSNTTLNWHSQDGAGSSYLSQGLRYEEDKKELVVDSPGLYYVFLELKLSPFTNTGHKVVQGWVSLVLQAKPQVDDFDNLALTVELFPCSMENKLVDRSWSQLLLLKAGHRLSVGLRAYLHGAQDAYRDWELSYPNNTTSFGLFLVKPDNPWEGGGGSGGGGSRTVAAPS VFIFPPSDRKLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESVTEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGECDKTHTCPPCPAPEAAGGPSVFLFPPKPKDTLMISRTPEVTCVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALGAPIEKTISKAKGQPREPQVYTLPPCRDELTKNQVSLWCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK |
| 87         | mono-mu4-1BBL-CH1 chain      | RTEPRPALTITTTSPNLGTRENNADQVTPVSHIGCPNTTQQGSPVFAKLLAKNQASLSNTTLNWHSQDGAGSSYLSQGLRYEEDKKELVVDSPGLYYVFLELKLSPFTNTGHKVVQGWVSLVLQAKPQVDDFDNLALTVELFPCSMENKLVDRSWSQLLLLKAGHRLSVGLRAYLHGAQDAYRDWELSYPNNTTSFGLFLVKPDNPWEGGGGSGGGGSASTKGPSVFPLAPSSKSTSGGTAALGCLVEDYFPEPVTVSWNSGALTSGVHTFPAVLQSSGLYSLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDEKVEPKSC                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| 88         | VHCH1 (4B9) Fc hole chain    | EVQLLESGGGLVQPGGSLRLSCAASGFTFSSYAMSWVRQAPGKGLEWVSAIIGSGASTYYADSVKGRFTISRDN SKNTLYLQMNSLRAEDTAVYYCAKGWFGGFNYWGQGT LTVTSSASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGALTSGVHTFPAVLQSSGLYSLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDEKVEPKSCDKTHTCPPCPAPEAAGGPSVFLFPPKPKDTLMISRTPEVTCVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALGAPIEKTISKAKGQPREPQVCTLPSPRDELTKNQVSLSCAVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSFFLVSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK                                                                                                                                                                                                                                                                                                                                    |
| 89         | VLCL(4B9) Light chain        | EIVLTQSPGTL SLSPGERATLSCRASQSVTSSYLAWYQQKPGQAPRLINVGSRRTATGIPDRFSGSGSGTDFTLTISRLEPEDFAVYYCQQGIMLPPTFGQGTKVEIKRTVAAPS VFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESVTEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |



The hybrid surrogate FAP-mu4-1BBL was produced by co-transfecting CHO-K1 cells growing in suspension with the mammalian expression vectors using eviFect (Evitria AG). The cells were transfected with the corresponding expression vectors in a 1:1:1:1 ratio (“vector Fc-hole heavy chain”: “vector FAP light chain”: “vector 4-1BBL Fc-knob heavy chain”: “vector mu4-1BBL light chain”).

For transfection CHO-K1 cells are cultivated in suspension serum free in eviMake culture medium (Evitria AG). After 7 days at 37 °C in an incubator with a 5 % CO<sub>2</sub> atmosphere, cultivation supernatant is collected for purification by centrifugation and the solution is sterile filtered (0.22 mm filter) and kept at 4°C.

Secreted proteins were purified from cell culture supernatants by affinity chromatography using Protein A, followed by size exclusion chromatography. For affinity chromatography, the supernatant was loaded on a Protein A MabSelectSure column (GE Healthcare) equilibrated with 20 mM sodium phosphate, 20 mM sodium citrate pH 7.5. Unbound protein was removed by washing with 20 mM sodium phosphate, 20 mM sodium citrate containing buffer (pH 7.5). The bound protein was eluted using a linear pH-gradient of sodium chloride of 20 mM sodium citrate, 100 mM NaCl, 100 mM Glycine, 0.01% Tween20 pH 3.0. The column was then washed with 20 mM sodium citrate, 100 mM NaCl, 100 mM Glycine, 0.01% Tween20 pH 3.0. The pH of collected fractions was adjusted by adding 1/40 (v/v) of 2M Tris, pH8.0. The protein was concentrated and filtered prior to loading on a HiLoad Superdex column (GE Healthcare) equilibrated with 20 mM Histidine, 140 mM NaCl, 0.01% Tween20 pH6.0.

The protein concentration of purified bispecific constructs was determined by measuring the OD at 280 nm, using the molar extinction coefficient calculated on the basis of the amino acid sequence. Purity and molecular weight of the bispecific constructs were analyzed by CE-SDS in the presence and absence of a reducing agent (Invitrogen, USA) using a LabChipGXII (Caliper). The aggregate content of bispecific constructs was analyzed using a TSKgel G3000 SW XL analytical size-exclusion column (Tosoh) equilibrated in a 25 mM K<sub>2</sub>HPO<sub>4</sub>, 125 mM NaCl, 200mM L-Arginine Monohydrochloride, 0.02 % (w/v) NaN<sub>3</sub>, pH 6.7 running buffer at 25°C.

**Table 4: Biochemical analysis of hybrid surrogate FAP-mu4-1BBL**

| Molecule     | Monomer [%] | Yield [mg/l] | CE-SDS (non-red) |
|--------------|-------------|--------------|------------------|
| FAP-mu4-1BBL | 95          | 3.2          | 92               |

## 2.1 Functional characterization of hybrid surrogate FAP-mu4-1BBL by surface plasmon resonance

The capacity of binding simultaneously murine 4-1BB Fc(kih) and human or murine FAP was assessed by surface plasmon resonance (SPR) in the manner as described in WO 2016/075278 A1. All SPR experiments were performed on a Biacore T200 at 25 °C with HBS-EP as running buffer (0.01 M HEPES pH 7.4, 0.15 M NaCl, 3 mM EDTA, 0.005% Surfactant P20, Biacore, Freiburg/Germany). Biotinylated murine 4-1BB Fc(kih) was directly coupled to a flow cell of a streptavidin (SA) sensor chip. Immobilization levels up to 600 resonance units (RU) were used.

The FAP-targeted mu4-1BBL construct was passed at a concentration range of 200 nM with a flow of 30 µL/minute through the flow cells over 90 seconds and dissociation was set to zero sec. Human or murine FAP was injected as second analyte with a flow of 30 µL/minute through the flow cells over 90 seconds at a concentration of 500 nM. The dissociation was monitored for 120 sec. Bulk refractive index differences were corrected for by subtracting the response obtained in a reference flow cell, where no protein was immobilized.

As can be seen in the graphs of **Figure 2A** and **2B**, the hybrid surrogate FAP-mu4-1BBL can bind simultaneously murine 4-1BB and murine/human FAP.

### Example 3

#### **Preparation, purification and characterization of bispecific antigen binding molecules with bivalent binding to mouse 4-1BB and monovalent binding to FAP**

Bispecific agonistic mouse 4-1BB antibodies with bivalent binding for 4-1BB and monovalent binding for FAP, also termed 2+1, have been prepared in analogy to **Figure 1**. In this example, the first heavy chain HC1 of the construct was comprised of the following components: VHCH1 of an anti-4-1BB (clone MU137-1) followed by Fc containing the mutations Lys392Asp and Lys409Asp (termed Fc-DD), at which C-terminus a VL, or VH, of anti-FAP binder (clone 28H1) was fused. The second heavy chain HC2 was comprised of VHCH1 of anti-4-1BB (clone MU137-1) followed by Fc containing the mutation Glu356Lys and Asp399Lys (termed Fc-KK), at which C-terminus a VH, or VL, of anti-FAP binder (clone 28H1) was fused. The DDKK mutations for enhancing antibody Fc heterodimer formation are inter alia described by Gunasekaran et al., J. Biol. Chem. 2010,19637-19646. Combination of the targeted anti-FAP-Fc DD with the anti-4-1BB-Fc KK chain allows generation of a heterodimer, which includes a FAP binding moiety and two murine mouse 4-1BB binding Fabs. DAPG mutations were introduced in the constant regions of the heavy chains to abrogate binding to mouse Fc gamma receptors according to the method described e.g. in

Baudino et al. J. Immunol. (2008), 181, 6664-6669, or in WO 2016/030350 A1. Briefly, the Asp265Ala and Pro329Gly mutations have been introduced in the constant region of the Fc-DD and Fc-KK heavy chains to abrogate binding to Fc gamma receptors (numbering according to Kabat EU index; i.e. D265A, P329G).

- 5 The amino acid sequences for 2+1 anti-4-1BB(MU137-1), anti-FAP(28H1) construct with a-FAP(28H1) VH fused to Fc-KK and VL fused to Fc-DD chain can be found respectively in **Table 5**. The amino acid sequences for 2+1 anti-4-1BB(MU137-1), anti-FAP(28H1) construct with a-FAP(28H1) VL fused to Fc-KK and VH fused to Fc-DD chain can be found respectively in **Table 6**.

- 10 **Table 5:** Sequences of bispecific, bivalent anti-**4-1BB** (MU137-1)/anti-FAP (28H1) mouse IgG1 DAPG antigen binding molecules (Constructs with FAP VL fused to Fc-DD chain and VH fused to Fc-KK chain, also termed below Fc-DD-VL)

| SEQ ID NO: | Description                                 | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
|------------|---------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 90         | VHCH1 (MU137-1)-Heavy chain Fc-DD-VL (28H1) | DVQLVESGGGLVQPGRSLKLSCAASGFIFSYFDMAWVRQAP<br>TKGLEWVASISPSGDIPYYRDSVKGRFTVSRENAKSSLYLQM<br>DSLRS EDTATYYCARRSYGGYSELDYWGGQGMVTVSSAKT<br>TPPSVYPLAPGSA AQTNSMVTLGCLVKGYFPEPVTVTWNSG<br>SLSSGVHTFPAVLQSDLYTLSSSVTVPSSTWPSQTVTCNVAH<br>PASSTKVDKKIVPRDCGCKPCICTVPEVSSVFIFPPKPKDVLTI<br>TLTPKVTCVVVAISKDDPEVQFSWFVDDVEVHTAQT KPREE<br>QINSTFRSVSELPIMHQDWLNGKEFKCRVNSA AFGAPIEKTIS<br>KTKGRPKAPQVYTIPPPKEQMAKDKVSLTCMITNFFPEDITV<br>EWQWNGQPAENYDNTQPIMDTDGSYFVYSDLNQKSNWE<br>AGNTFTCSVLHEGLHNHHTTEKSLSHSPGGGGGSGGGGSGGG<br>GSGGGGSEIVLTQSPGTLSPGERATLSCRASQSVSRSYLA<br>WYQQKPGQAPRLLIIGASTRATGIPDRFSGSGSGTDFTLTISR<br>LEPEDFAVYYCQQGQVIPPTFGQGTKVEIK                  |
| 91         | VHCH1 (20H4.9)-Heavy chain Fc-KK-VH (28H1)  | DVQLVESGGGLVQPGRSLKLSCAASGFIFSYFDMAWVRQAP<br>TKGLEWVASISPSGDIPYYRDSVKGRFTVSRENAKSSLYLQM<br>DSLRS EDTATYYCARRSYGGYSELDYWGGQGMVTVSSAKT<br>TPPSVYPLAPGSA AQTNSMVTLGCLVKGYFPEPVTVTWNSG<br>SLSSGVHTFPAVLQSDLYTLSSSVTVPSSTWPSQTVTCNVAH<br>PASSTKVDKKIVPRDCGCKPCICTVPEVSSVFIFPPKPKDVLTI<br>TLTPKVTCVVVAISKDDPEVQFSWFVDDVEVHTAQT KPREE<br>QINSTFRSVSELPIMHQDWLNGKEFKCRVNSA AFGAPIEKTIS<br>KTKGRPKAPQVYTIPPPKKQMAKDKVSLTCMITNFFPEDITV<br>EWQWNGQPAENYKNTQPIMKTDGSYFVYSKLNQKSNWE<br>AGNTFTCSVLHEGLHNHHTTEKSLSHSPGGGGGSGGGGSGGG<br>GSGGGGSEVQLLES GGGGLVQPGGSLRLSCAASGFTFSSHAM<br>SWVRQAPGKGLEWVSAIWASGEQYYADSVKGRFTISRDN<br>KNTLYLQMNSLRAEDTAVYYCAKGWLG NFDYWGGQGLTVT<br>VSS |
| 92         | VLCL-Light chain (MU137-1)                  | DIQMTQSPASLSASLEEIVTITCQASQDIGNWLAWYHQKPGK<br>SPQLLIYGTSSLADGVPSRFSGSSSGSQYSLKISRLQVEDIGIY<br>YCLQAYGAPWTFGGGKLELKRADAAPT VSIFFPSSEQLTSG<br>GASVVCFLNNFYPK DINVKWKIDGSE RQNGVLNSWTDQDS<br>KDSTYSMSSTLTLT KDEYERHNSYTCEATHKTSTSPIVKSFN                                                                                                                                                                                                                                                                                                                                                                                                                              |

|  |  |      |
|--|--|------|
|  |  | RNEC |
|--|--|------|

**Table 6:** Sequences of bispecific, bivalent anti-**4-1BB** (MU137-1)/monovalent anti-FAP (28H1) mouse IgG1 DAPG antigen binding molecules (Constructs with FAP VH fused to Fc DD chain and VL fused to Fc KK chain, termed Fc-DD-VH)

| SEQ ID NO: | Description                                 | Sequence                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
|------------|---------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 93         | VHCH1 (MU137-1)-Heavy chain Fc-DD-VH (28H1) | DVQLVESGGGLVQPGRSLKLSCAASGFIFSYFDMAWVRQAP<br>TKGLEWVASISPSGDIPYYRDSVKGRFTVSRENAKSSLYLQM<br>DSLRS EDTATYYCARRSYGGYSELDYWGQGVMTVSSAKT<br>TPPSVYPLAPGSAAQTNSMVTLGCLVKGYFPEPVTVTWNSG<br>SLSSGVHTFPAVLQSDLYTLSSSVTPSSTWPSQTVTCNVAH<br>PASSTKVDKKIVPRDCGCKPCICTVPEVSSVFIFPPKPKDVLTI<br>TLTPKVTCVVVAISKDDPEVQFSWFVDDVEVHTAQTTPREE<br>QINSTFRSVSELPIMHQDWLNGKEFKCRVNSAAFGAPIEKTIS<br>KTKGRPKAPQVYTIPPPKEQMAKDKVSLTCMITNFFPEDITV<br>EWQWNGQPAENYDNTQPIMDTDGSYFVYSDLVNPKSNWE<br>AGNTFTCSVLHEGLHNHHTTEKSLSHSPGGGGGSGGGGSGGG<br>GSGGGGSEVQLLES GGGLVQPGGSLRLSCAASGFTFSSHAM<br>SWVRQAPGKGLEWVSAIWASGEQYYADSVKGRFTISRDN<br>KNTLYLQMNSLRAEDTAVYYCAKGWLG NFDYWGQGT LVT<br>VSS |
| 94         | VHCH1 (MU137-1)-Heavy chain Fc-KK-VL (28H1) | DVQLVESGGGLVQPGRSLKLSCAASGFIFSYFDMAWVRQAP<br>TKGLEWVASISPSGDIPYYRDSVKGRFTVSRENAKSSLYLQM<br>DSLRS EDTATYYCARRSYGGYSELDYWGQGVMTVSSAKT<br>TPPSVYPLAPGSAAQTNSMVTLGCLVKGYFPEPVTVTWNSG<br>SLSSGVHTFPAVLQSDLYTLSSSVTPSSTWPSQTVTCNVAH<br>PASSTKVDKKIVPRDCGCKPCICTVPEVSSVFIFPPKPKDVLTI<br>TLTPKVTCVVVAISKDDPEVQFSWFVDDVEVHTAQTTPREE<br>QINSTFRSVSELPIMHQDWLNGKEFKCRVNSAAFGAPIEKTIS<br>KTKGRPKAPQVYTIPPPKQMAKDKVSLTCMITNFFPEDITV<br>EWQWNGQPAENYKNTQPIKTDGSYFVYSKLVNPKSNWE<br>AGNTFTCSVLHEGLHNHHTTEKSLSHSPGGGGGSGGGGSGGG<br>GSGGGGSEIVLTQSPGTLSPGERATLSCRASQSVSRSYLA<br>WYQQKPGQAPRLLIIGASTRATGIPDRFSGSGSGTDFTLTISR<br>LEPEDFAVYYCQQGQVIPPTFGQGTKVEIK                   |
| 92         | VLCL-Light chain (MU137-1)                  | see Table 5                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

5

The bispecific 2+1 anti-4-1BB anti-FAP muIgG1 DAPG was produced by co-transfecting CHO-K1 cells growing in suspension with the mammalian expression vectors using eviFect (Evitria AG). The cells were transfected with the corresponding expression vectors in a 1:1:1 ratio (“vector Fc-DD heavy chain”: “vector light chain” : “vector Fc-KK heavy chain”).

10

For transfection CHO-K1 cells are cultivated in suspension serum free in eviMake (Evitria AG) culture medium. After 7 days at 37 °C in an incubator with a 5 % CO<sub>2</sub>

atmosphere, cultivation supernatant is collected for purification by centrifugation and the solution is sterile filtered (0.22 µm filter) and kept at 4°C.

Secreted proteins were purified from cell culture supernatants by affinity chromatography using Protein A, followed by size exclusion chromatography. For affinity chromatography, the supernatant was loaded on a Protein A MabSelectSure column (CV = 5 mL, GE Healthcare) equilibrated with 40 mL 20 mM sodium phosphate, 20 mM sodium citrate pH 7.5. Unbound protein was removed by washing with at least 10 column volumes of 20 mM sodium phosphate, 20 mM sodium citrate containing buffer (pH 7.5). The bound protein was eluted using a linear pH-gradient of sodium chloride (from 20 to 100 mM) created over 15 column volumes of 20 mM sodium citrate, 100 mM NaCl, 100 mM Glycine, pH 3.0. The column was then washed with 10 column volumes of 20 mM sodium citrate, 100 mM NaCl, 100 mM Glycine, pH 3.0. The pH of collected fractions was adjusted by adding 1/40 (v/v) of 2M Tris, pH8.0. The protein was concentrated and filtered prior to loading on a HiLoad Superdex 16/600 S200 column (GE Healthcare) equilibrated with 20 mM Histidine, 140 mM NaCl, pH6.0.

The protein concentration of purified bispecific constructs was determined by measuring the OD at 280 nm, using the molar extinction coefficient calculated on the basis of the amino acid sequence. Purity and molecular weight of the bispecific constructs were analyzed by CE-SDS in the presence and absence of a reducing agent (Invitrogen, USA) using a LabChipGXII (Caliper). The aggregate content of bispecific constructs was analyzed using a TSKgel G3000 SW XL analytical size-exclusion column (Tosoh) equilibrated in a 25 mM K<sub>2</sub>HPO<sub>4</sub>, 125 mM NaCl, 200mM L-Arginine Monohydrochloride, 0.02 % (w/v) NaN<sub>3</sub>, pH 6.7 running buffer at 25°C.

**Table 7** - Biochemical analysis of bispecific antigen binding molecules with a bivalent binding to 4-1BB and a monovalent binding to FAP (2+1) anti-4-1BB (MU137-1), anti-FAP(28H1) mouse IgG1 DAPG

| Molecule                                                                                | Monomer [%] | Yield [mg/l] | CE-SDS (non-red) |
|-----------------------------------------------------------------------------------------|-------------|--------------|------------------|
| 4-1BB (MU137-1)/ FAP(28H1) DAPG IgG1 2+1 (Fc-DD-VL), in the following named muFAP-4-1BB | 98          | 3.6          | 92               |

### 3.1 Functional characterization of mouse surrogate muFAP-4-1BB by surface plasmon resonance

The capacity of binding simultaneously murine 4-1BB Fc(kih) and murine FAP was assessed by surface plasmon resonance (SPR) in the manner as described in WO 2016/075278

5 A1. All SPR experiments were performed on a Biacore T200 at 25 °C with HBS-EP as running buffer (0.01 M HEPES pH 7.4, 0.15 M NaCl, 3 mM EDTA, 0.005% Surfactant P20, Biacore, Freiburg/Germany). Biotinylated murine 4-1BB Fc(kih) was directly coupled to a flow cell of a streptavidin (SA) sensor chip. Immobilization levels up to 600 resonance units (RU) were used.

10 The FAP-targeted 4-1BB constructs were passed at a concentration range of 200 nM with a flow of 30 µL/minute through the flow cells over 90 seconds and dissociation was set to zero sec. Murine FAP was injected as second analyte with a flow of 30 µL/minute through the flow cells over 90 seconds at a concentration of 500 nM. The dissociation was monitored for 120 sec. Bulk refractive index differences were corrected for by subtracting the response  
15 obtained in a reference flow cell, where no protein was immobilized. As can be seen in the graph of **Figure 2C**, the mouse surrogate muFAP-4-1BB can bind simultaneously murine 4-1BB and murine FAP.

#### Example 4

##### Pharmacokinetic profile of muFAP-4-1BB after single injection in C57BL/6 mice

20 A single dose of 2.5 mg/kg of muFAP-4-1BB (prepared according to Example 3) was injected into C57BL/6 mice. All mice were injected i.v. with 200 µl of the appropriate solution. To obtain the proper amount of compounds per 200 µl, the stock solution (muFAP-4-1BB; 1.81 mg/mL in 20 mM Histidine, 140 mM NaCl, pH 6.0,) was diluted with histidine buffer. Three mice per time point were bled at 10min, 1hr, 6hr, 24hr, 48hr, 72hr, 96hr, 6 days  
25 and 9 days. The injected compound was analyzed in serum samples by ELISA. Biotinylated murine 4-1BB, test serum sample, detection antibody anti-mIgG labelled with HRP were added stepwise to a 96-well streptavidin-coated microtiter plate and incubated after every step for 1h at room temperature. The plate was washed three times after each step to remove unbound substances. Finally, the peroxidase-bound complex was visualized by adding ABTS  
30 substrate solution to form a colored reaction product. The reaction product intensity was photometrically determined at 405nm (with reference wavelength at 490nm) and was proportional to the analyte concentration in the serum sample. The result is shown in **Figure 3**. muFAP-4-1BB showed a stable PK-behavior which suggested a once weekly schedule for efficacy studies.

## Example 5

### ***In vivo* anti-tumor efficacy of hybrid FAP-mu4-1BBL construct in combination with trastuzumab**

The first proof of concept for the combination of hybrid FAP-mu4-1BBL (anti-FAP, mouse 4-1BBL, human IgG backbone) and Trastuzumab was carried out in tumor-bearing (N87-bearing) huCD16TgScid mice.

N87 cells (Her2+ human gastric carcinoma) were originally obtained from ATCC and after expansion deposited in the Roche Glycart internal cell bank. Cells were cultured in RPMI containing 10% FCS and 1% Glutamax. The cells were cultured at 37 °C in a water-saturated atmosphere at 5 % CO<sub>2</sub>. 5 x 10<sup>6</sup> cells per animal were injected subcutaneously into the right flank of the animals in RPMI cell culture medium (Gibco) and GFR matrigel (1:1, total volume of 100μl) at a viability of > 95.0%.

Human FcγRIIIa (CD16) transgenic SCID mice (which express both murine FcγRIV-positive macrophages and human FcγRIIIa-positive transgenic murine NK cells as effectors) aged 8-10 weeks at start of the experiment (bred at Charles River, France) were maintained under specific-pathogen-free condition with daily cycles of 12 h light / 12 h darkness according to committed guidelines (GV-Solas; Felasa; TierschG). The experimental study protocol was reviewed and approved by local government. After arrival, animals were maintained for one week to get accustomed to the new environment and for observation. Continuous health monitoring was carried out on a regular basis.

According to the protocol (**Figure 4A**), female huCD16TgScid mice were injected with tumor cells subcutaneously as described above and treated once weekly with the compounds or PBS (Vehicle) when tumor size reached appr. 220 mm<sup>3</sup> (day 28). All mice were injected intravenously with 200 μl of the appropriate solution once per week. To obtain the proper amount of compounds per 200 μl, the stock solutions (Table 1) were diluted with histidine buffer when necessary. For combination therapy (Group D, **Figure 4B**) with Trastuzumab and FAP-4-1BBL constructs, therapies were injected concomitant. Tumor growth was measured twice weekly using a caliper (**Figure 5A** and **5B**) and tumor volume was calculated as followed:

$$T_v: (W^2/2) \times L \quad (W: \text{Width}, L: \text{Length})$$

Study was terminated and all mice were sacrificed after eight injections of the compounds (day 86 after tumor cell injection). **Figure 5A** and **5B** show the tumor growth kinetics (Mean +/- SEM) in all treatment groups as well as the individual tumor growth kinetics of each animal for all groups. Monotherapy of hybrid FAP-mu4-1BBL didn't reveal

any tumor growth inhibition. Trastuzumab, given as monotherapy, induced tumor stasis (TGI: 95 and TCR: 0.35) with one mouse being tumor free at study termination. However, the combination of Trastuzumab and hybrid FAP-mu4-1BBL induced strong tumor regression (TGI: 132 and TCR: 0.09) resulting in 60% tumor free mice by day 86.

5 Statistical analysis was carried out using JMP software:

$$TGI: \frac{100 - \text{Av} (T_{\text{treatment}}^{\text{[day x]}} - T_{\text{treatment}}^{\text{[baseline]}})}{\text{Av} (T_{\text{Vehicle}}^{\text{[day x]}} - T_{\text{Vehicle}}^{\text{[baseline]}})} * 100$$

$$TCR: \frac{\text{Av} (T_{\text{treatment}}^{\text{[day x]}})}{\text{Av} (T_{\text{Vehicle}}^{\text{[day x]}})}$$

10 The calculations based on day 59 of the study are shown in Table 9 below.

**Table 8: Compositions used in the *in vivo* experiments**

| Compound     | Formulation buffer                      | Concentration (mg/mL)      |
|--------------|-----------------------------------------|----------------------------|
| FAP-mu4-1BBL | 20mM Histidine,<br>140mM NaCl,<br>pH6.0 | 5.33<br>(= stock solution) |
| Trastuzumab  | 20mM Histidine,<br>140mM NaCl,<br>pH6.0 | 25<br>(= stock solution)   |

**Table 9: Tumor Growth Inhibition (TGI) and TCR on day 59**

| Group                      | TGI | TCR  |
|----------------------------|-----|------|
| Trastuzumab                | 95  | 0.46 |
| FAP-mu4-1BBL               | -17 | 0.99 |
| Trastuzumab + FAP-mu4-1BBL | 132 | 0.23 |

TGI: Tumor Growth Inhibition => TGI>100 means tumor regression, TGI=100 means tumor stasis;

TCR: Treatment to Control Ratio => TCR=1 means no effect, TCR=0 means complete tumor regression

15



## Example 6

### Preparation, purification and characterization of CEA-4-1BBL antigen binding molecules

CEA-targeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules  
5 were prepared as described in International Patent Appl. Publ. No. WO 2016/075278 A1.

In particular, monovalent CEA-targeted and untargeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules were made.

A polypeptide encoding a dimeric 4-1BB ligand fused to the human CL domain was subcloned in frame with the human IgG1 heavy chain CH2 and CH3 domains on the knob  
10 (Merchant, Zhu et al. 1998, Nature Biotechnol. 16, 677-681). A polypeptide containing one ectodomain of the 4-1BB ligand was fused to the human IgG1-CH1 domain. In order to improve correct pairing of the chains the following mutations were additionally introduced in the crossed CH-CL (charged variant): In the dimeric 4-1BB ligand fused to human CL, E123R and Q124K, in the monomeric 4-1BB ligand fused to human CH1, K147E and K213E.

15 The variable region of heavy and light chain DNA sequences encoding a binder specific for CEA, clone T84.66-LCHA (CEACAM5), were subcloned in frame with either the constant heavy chain of the hole or the constant light chain of human IgG1. The Pro329Gly, Leu234Ala and Leu235Ala mutations were introduced in the constant region of the knob and hole heavy chains to abrogate binding to Fc gamma receptors according to the method  
20 described in WO 2012/130831. Combination of the dimeric ligand-Fc knob chain containing the S354C/T366W mutations, the monomeric CH1 fusion, the targeted anti-CEA-Fc hole chain containing the Y349C/T366S/L368A/Y407V mutations and the anti-CEA light chain allows generation of a heterodimer, which includes an assembled trimeric 4-1BB ligand and a CEA binding Fab (in analogy to **Figure 1A**, anti-FAP replaced by anti-CEA).

25 **Table 10: Monovalent Construct**

|                                                    | Example in WO<br>2016/075278      | composed of                                                 |
|----------------------------------------------------|-----------------------------------|-------------------------------------------------------------|
| mono CEA (T84.66-LCHA)-4-1BBL<br>(Charged variant) | Example 11.2.4<br>(Construct 5.4) | SEQ ID NO:41, SEQ ID NO:42<br>SEQ ID NO:82 and SEQ ID NO:83 |

The production and characterization of the CEA-targeted and untargeted 4-1BB ligand trimer-containing Fc fusion antigen binding molecules is described in detail in WO 2016/075278, Examples 11 to 13, respectively.

\*\*\*

Claims

1. A 4-1BB (CD137) agonist for use in a method for treating or delaying progression of cancer, wherein the 4-1BB (CD137) agonist is used in combination with a HER-2 targeting agent and wherein the 4-1BB agonist is an antigen binding molecule comprising at least one  
5 antigen binding domain capable of specific binding to a tumor-associated antigen.

2. The 4-1BB (CD137) agonist for use in a method of claim 1, wherein the HER-2 targeting agent comprises a HER-2 antibody, a HER-2 bispecific antibody and/or a HER-2 antibody drug conjugate.

3. The 4-1BB (CD137) agonist for use in a method of claim 1 or 2, wherein the HER-2  
10 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine.

4. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 3, wherein the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof.

5. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 4, wherein the 4-1BB agonist is a molecule comprising three ectodomains of 4-1BBL or fragments  
15 thereof and wherein the ectodomains of 4-1BBL comprise an amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO: 2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO: 6, SEQ ID NO:7 and SEQ ID NO:8, particularly the amino acid sequence of SEQ ID NO:1 or SEQ ID NO:5.

6. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 5, wherein  
20 the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to a tumor-associated antigen selected from the group consisting of Fibroblast activation protein (FAP) and CEA.

7. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 6, wherein  
25 the 4-1BB agonist is an antigen binding molecule comprising an IgG Fc domain, specifically an IgG1 Fc domain or an IgG4 Fc domain.

8. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 7, wherein the 4-1BB agonist is an antigen binding molecule comprising a Fc domain that comprises one or more amino acid substitution that reduces binding to an Fc receptor and/or effector  
30 function.

9. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8, wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to Fibroblast activation protein (FAP).

5           10. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 9, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to FAP comprising  
(a) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:9, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID  
10 NO:10, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:11, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:12, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:13, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:14, or  
(b) a heavy chain variable region (V<sub>H</sub>FAP) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:15, (ii) CDR-H2 comprising the amino acid sequence of SEQ  
15 ID NO:16, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:17, and a light chain variable region (V<sub>L</sub>FAP) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID NO:18, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:19, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:20.

20           11. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 10, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:22 or  
25 wherein the antigen binding domain capable of specific binding to FAP comprises a heavy chain variable region (V<sub>H</sub>FAP) comprising an amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising an amino acid sequence of SEQ ID NO:24.

          12. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 11, wherein the 4-1BB agonist is an antigen binding molecule comprising  
30 (a) at least one antigen binding domain capable of specific binding to FAP,  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

13. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 12, wherein the 4-1BB agonist is an antigen binding molecule comprising

(a) at least one Fab domain capable of specific binding to FAP comprising a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:21 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:22 or a heavy chain variable region (V<sub>H</sub>FAP) comprising the amino acid sequence of SEQ ID NO:23 and a light chain variable region (V<sub>L</sub>FAP) comprising the amino acid sequence of SEQ ID NO:24, and

(b) a first and a second polypeptide that are linked to each other by a disulfide bond,

wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

14. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 13,

wherein the 4-1BB agonist is an antigen binding molecule comprising a first heavy chain comprising the amino acid sequence of SEQ ID NO:41, a first light chain comprising the amino acid sequence of SEQ ID NO:42, a second heavy chain comprising the amino acid sequence of SEQ ID NO:43 and a second light chain comprising the amino acid sequence of SEQ ID NO:44.

15. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 3 or 7 to 11, wherein the 4-1BB agonist is an anti-FAP/anti-4-1BB bispecific antibody.

16. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8,

wherein the 4-1BB agonist is an antigen binding molecule comprising three ectodomains of 4-1BBL or fragments thereof and at least one antigen binding domain capable of specific binding to CEA.

17. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8 or 17, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen

is an antigen binding domain capable of specific binding to CEA comprising (a) a heavy chain variable region (V<sub>H</sub>CEA) comprising (i) CDR-H1 comprising the amino acid sequence of SEQ ID NO:33, (ii) CDR-H2 comprising the amino acid sequence of SEQ ID NO:34, and (iii) CDR-H3 comprising the amino acid sequence of SEQ ID NO:35, and a light chain variable region (V<sub>L</sub>CEA) comprising (iv) CDR-L1 comprising the amino acid sequence of SEQ ID

NO:36, (v) CDR-L2 comprising the amino acid sequence of SEQ ID NO:37, and (vi) CDR-L3 comprising the amino acid sequence of SEQ ID NO:38.

18. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8 or 16 or 17, wherein the antigen binding domain capable of specific binding to a tumor-associated antigen is an antigen binding domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising an amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising an amino acid sequence of SEQ ID NO:40.

19. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8 or 16 to 18, wherein the 4-1BB agonist is an antigen binding molecule comprising  
(a) at least one antigen binding domain capable of specific binding to CEA,  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the first polypeptide comprises two ectodomains of 4-1BBL or fragments thereof that are connected to each other by a peptide linker and in that the second polypeptide comprises one ectodomain of 4-1BBL or a fragment thereof.

20. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8 or 16 to 19, wherein the 4-1BB agonist is an antigen binding molecule comprising  
(a) at least one Fab domain capable of specific binding to CEA comprising a heavy chain variable region (V<sub>H</sub>CEA) comprising the amino acid sequence of SEQ ID NO:39 and a light chain variable region (V<sub>L</sub>CEA) comprising the amino acid sequence of SEQ ID NO:40, and  
(b) a first and a second polypeptide that are linked to each other by a disulfide bond, wherein the antigen binding molecule is characterized in that the first polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:25, SEQ ID NO:26, SEQ ID NO:27, SEQ ID NO:28, SEQ ID NO:29, SEQ ID NO:30, SEQ ID NO:31 and SEQ ID NO:32 and in that the second polypeptide comprises the amino acid sequence selected from the group consisting of SEQ ID NO:1, SEQ ID NO:2, SEQ ID NO:3, SEQ ID NO:4, SEQ ID NO:5, SEQ ID NO:6, SEQ ID NO:7 and SEQ ID NO:8.

21. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 8 or 17 or 18, wherein the 4-1BB agonist is an anti-CEA/anti-4-1BB bispecific antibody.

22. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 21, wherein the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions.

23. The 4-1BB (CD137) agonist for use in a method of any one of claims 1 to 22, wherein the 4-1BB agonist acts synergistically with the HER-2 targeting agent.

24. A pharmaceutical product comprising (A) a first composition comprising as active ingredient a 4-1BB (CD137) agonist and a pharmaceutically acceptable carrier, wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen; and (B) a second composition  
5 comprising as active ingredient a HER-2 targeting agent and a pharmaceutically acceptable carrier, for use in the combined, sequential or simultaneous, treatment of a disease, in particular cancer.

25. A pharmaceutical composition comprising a 4-1BB agonist and a HER-2 targeting agent, wherein the 4-1BB agonist is an antigen binding molecule comprising at least one  
10 antigen binding domain capable of specific binding to a tumor-associated antigen.

26. The pharmaceutical composition of claim 25, wherein the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine.

27. The pharmaceutical composition of claim 25 or 26 for use in treating or delaying progression of cancer, in particular for the treatment of advanced and/or metastatic solid  
15 tumors.

28. Use of a combination of a 4-1BB agonist and a HER-2 targeting agent in the manufacture of a medicament for treating or delaying progression of a proliferative disease, in particular cancer, wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen.

20 29. The use of a combination of a 4-1BB agonist and a HER-2 targeting agent of claim 28, wherein the HER-2 targeting agent comprises trastuzumab, pertuzumab, and/or trastuzumab emtansine.

30. A method for treating or delaying progression of cancer in a subject comprising administering to the subject an effective amount of a 4-1BB agonist and an effective amount  
25 of a HER-2 targeting agent, wherein the 4-1BB agonist is an antigen binding molecule comprising at least one antigen binding domain capable of specific binding to a tumor-associated antigen.

31. The method for treating or delaying progression of cancer in a subject of claim 30, wherein the HER-2 targeting agent is trastuzumab, pertuzumab, and/or trastuzumab emtansine.

30 32. The method for treating or delaying progression of cancer in a subject of claim 30 or 31, wherein the 4-1BB agonist comprises three ectodomains of 4-1BBL or fragments thereof.

33. The method for treating or delaying progression of cancer in a subject of any one of claims 30 to 32, wherein the 4-1BB agonist and the HER-2 targeting agent are administered together in a single composition or administered separately in two or more different compositions.

5           34. The method for treating or delaying progression of cancer in a subject of any one of claims 30 to 33, wherein the 4-1BB agonist and the HER-2 targeting agent are administered intravenously or subcutaneously.

10           35. The method for treating or delaying progression of cancer in a subject of any one of claims 30 to 34, wherein the 4-1BB agonist is administered concurrently with, prior to, or subsequently to the HER-2 targeting agent.

36. The 4-1BB (CD137) agonist or pharmaceutical composition for use of any one of claims 1 to 23 or 25 to 27, the use of a combination of a 4-1BB agonist and a HER-2 targeting agent of claim 28 or 29, or the method of any one of claims 30 to 35, wherein the cancer is a HER-2 positive cancer.

15           37. The 4-1BB (CD137) agonist or pharmaceutical composition for use of any one of claims 1 to 23 or 25 to 27, the use of a combination of a 4-1BB agonist and a HER-2 targeting agent of claim 28 or 29, or the method of any one of claims 30 to 35, wherein the cancer is breast cancer, ovarian cancer, stomach cancer, gastric cancer, oesophageal cancer, lung cancer, uterine cancer, salivary duct carcinoma, bladder cancer, endometrial cancer, pancreatic cancer,  
20   colon cancer, prostate cancer, and/or head and neck cancer.

\*\*\*



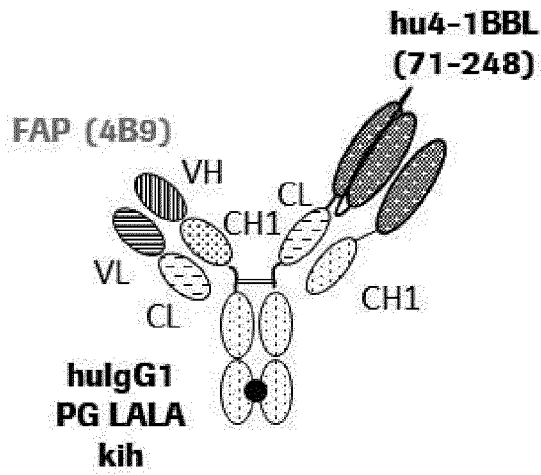


Fig. 1A

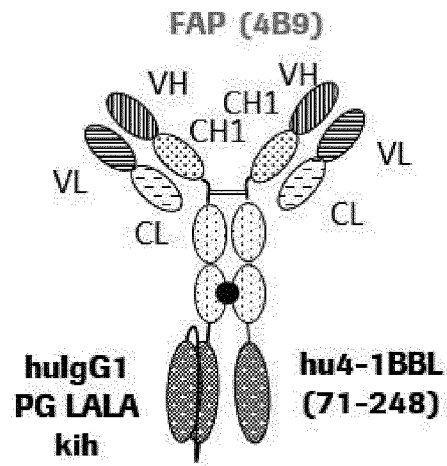


Fig. 1B

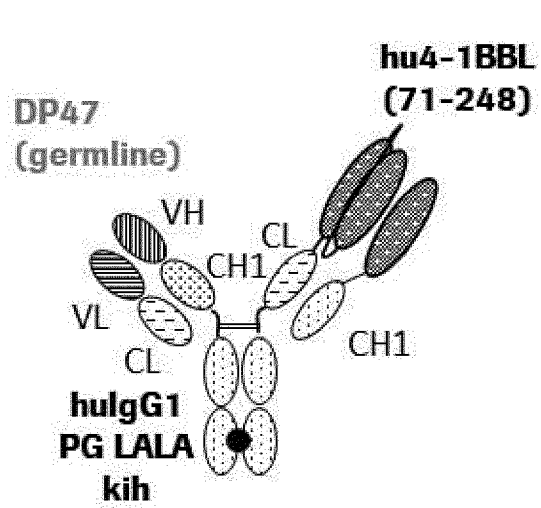


Fig. 1C

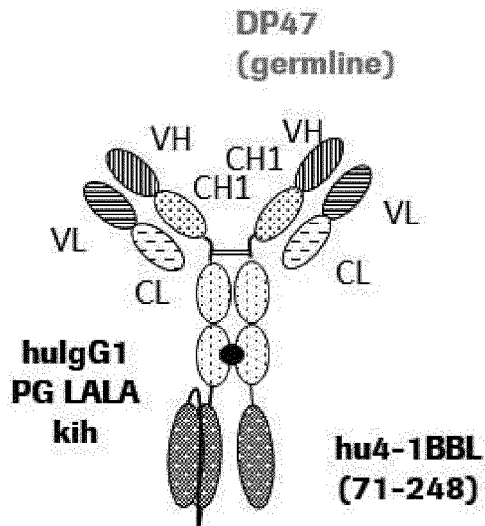


Fig. 1D

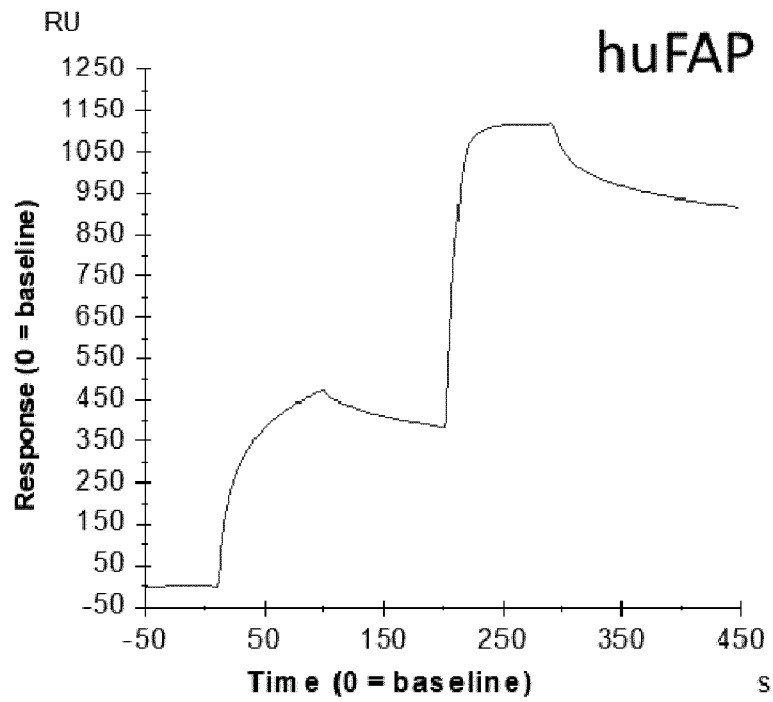


Fig. 2A

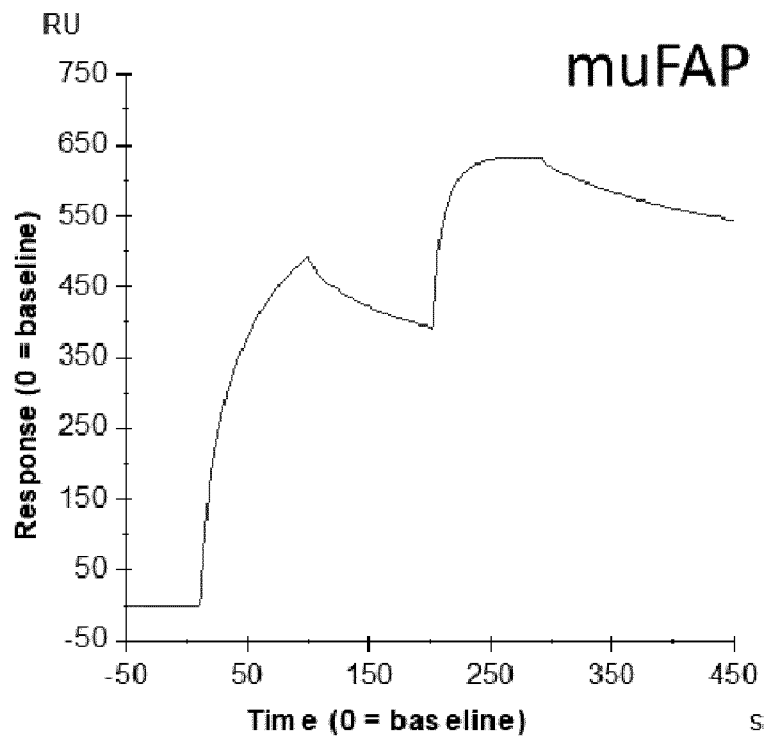


Fig. 2B

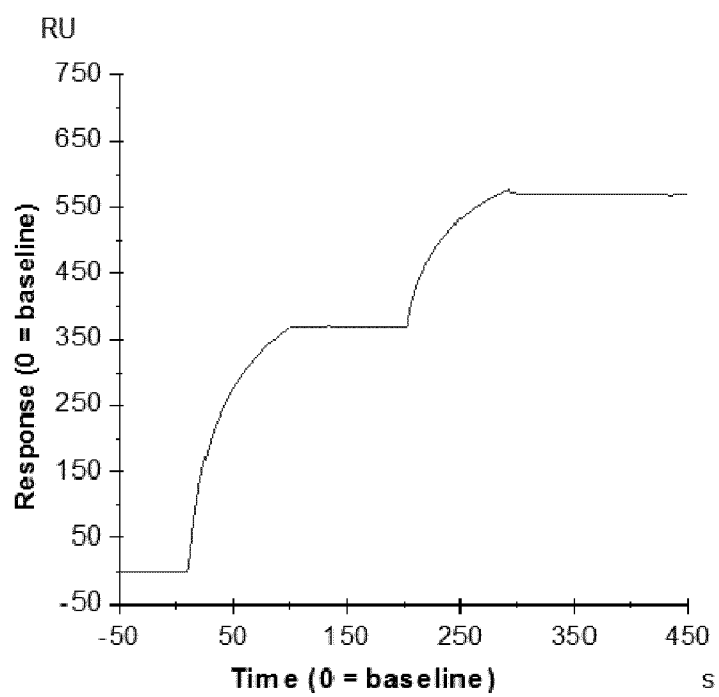


Fig. 2C

4/7

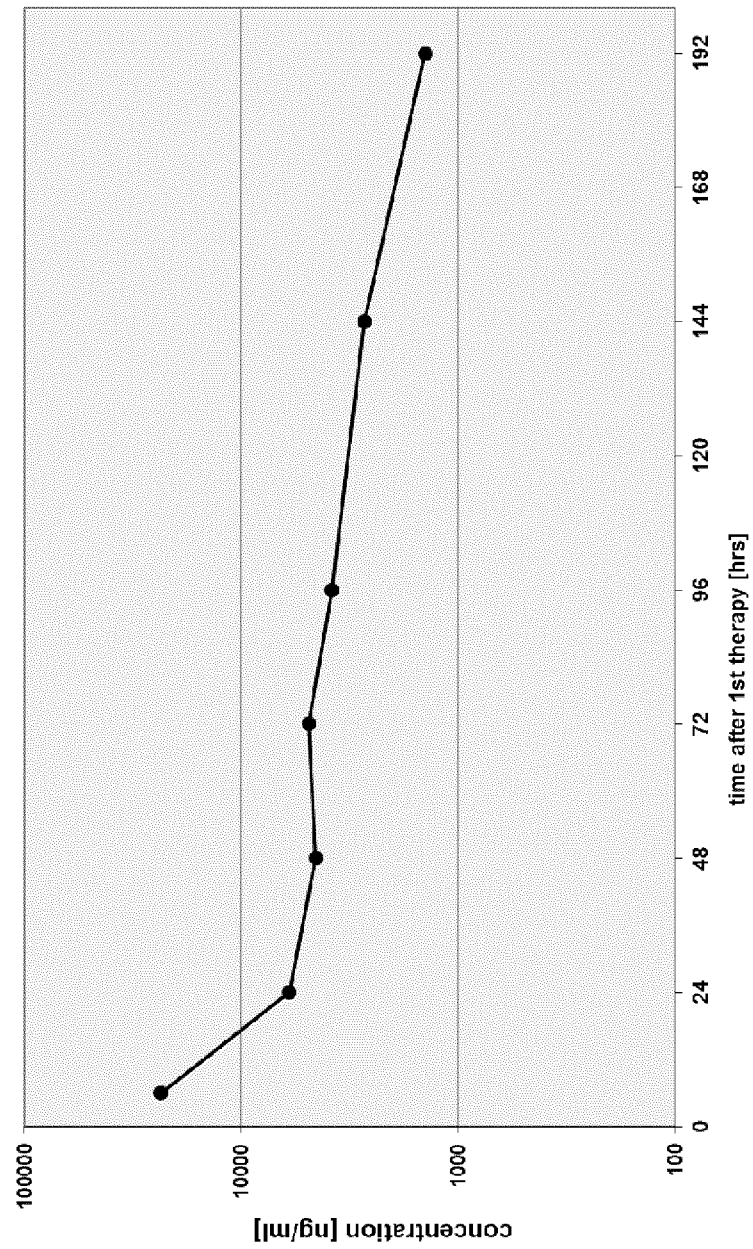


Fig. 3

Fig. 4A

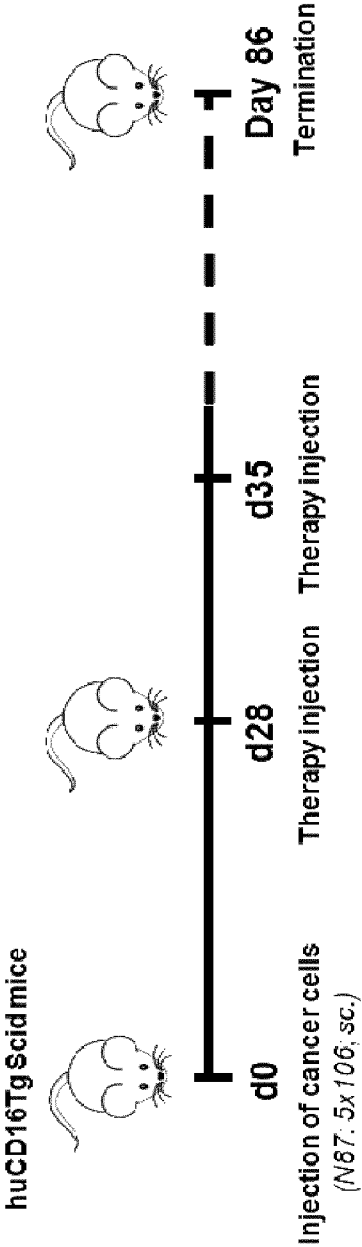


Fig. 4B

| Group | No. of animals | Compound                     | Dose (mg/kg) | Route of administration (therapy) | No. of treatments |
|-------|----------------|------------------------------|--------------|-----------------------------------|-------------------|
| A     | 10             | Vehicle                      | --           | i.v.                              | 8 (once weekly)   |
| B     | 10             | Trastuzumab                  | 10           | i.v.                              | 8 (once weekly)   |
| C     | 10             | FAP-mu4-1BBL                 | 3            | i.v.                              | 8 (once weekly)   |
| D     | 10             | Trastuzumab+<br>FAP-mu4-1BBL | 10 / 3       | i.v.                              | 8 (once weekly)   |

6/7

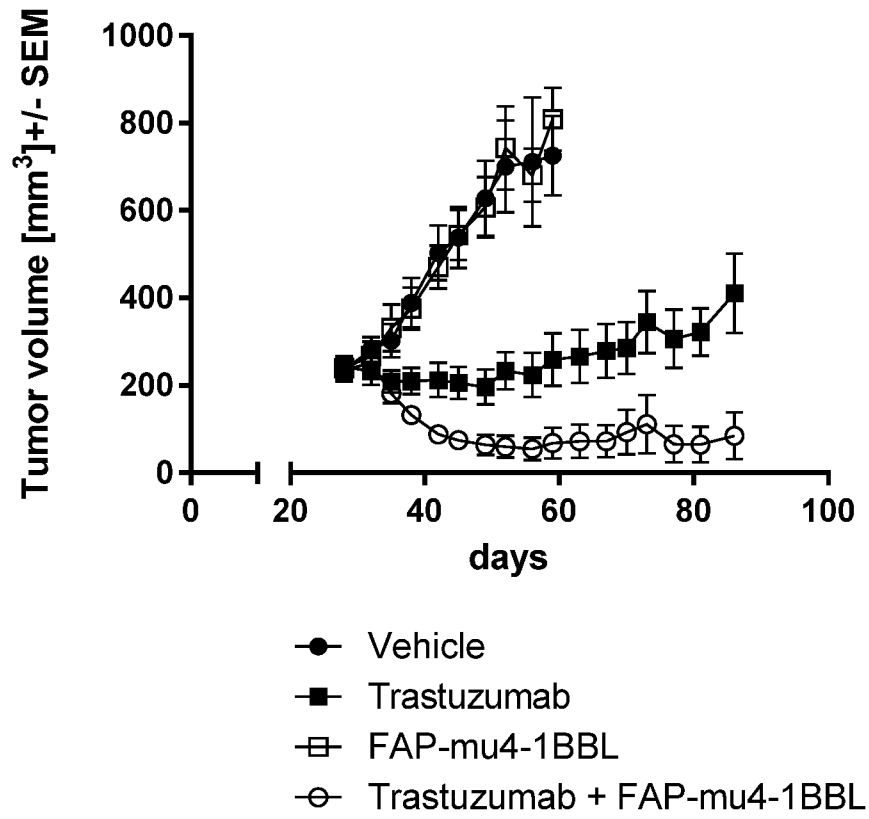


Fig. 5A

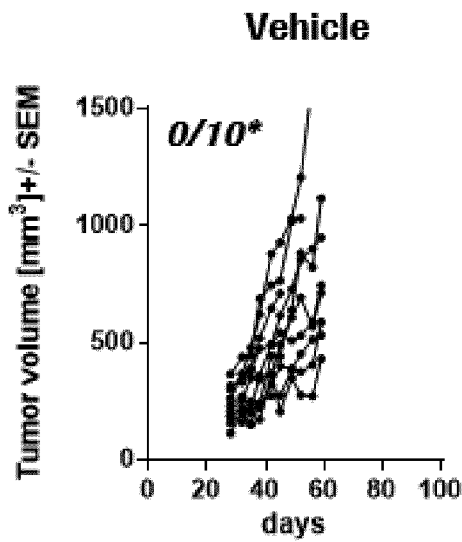


Fig. 5B

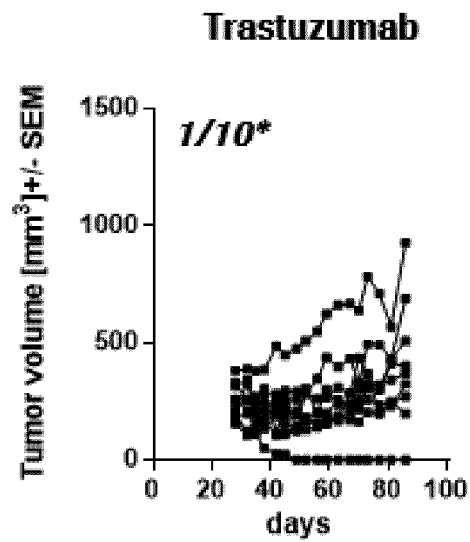


Fig. 5C

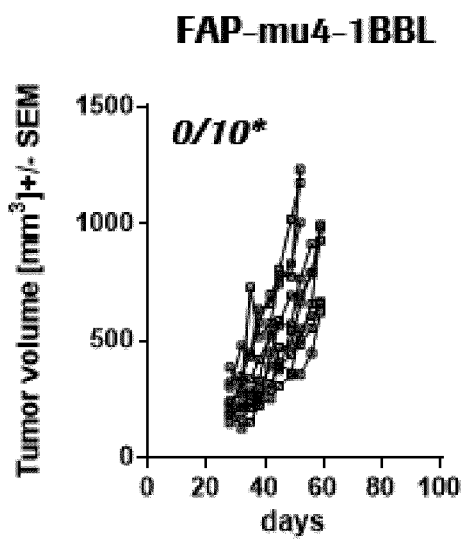


Fig. 5D

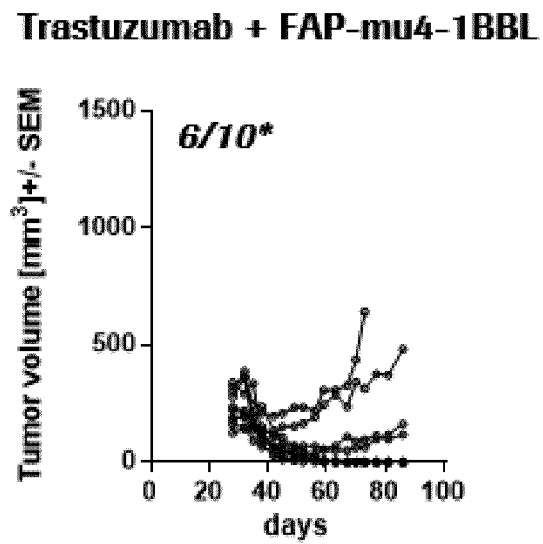


Fig. 5E

\*: Tumor free mice at study termination