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(54) Title: NANOPARTICLES FOR DELIVERY OF NUCLEIC ACIDS AND STABLE DOUBLE-STRANDED RNA

(57) Abstract: Nanoparticles of double-stranded nucleic acid complexed about a complexing agent such as the melamine derivatives of formulae I and II, preferably forming a trimeric nucleic acid complex. In alternative embodiments, polyarginine or a polymer of Gln and Asn further complexed with the double-stranded nucleic acid complex. In a preferred embodiment, the ds nucleic acid is a double stranded RNA having 15 to 30 base pairs suitable for RNA interference. In another aspect of the invention, a ds RNA is produced in which all of the uridines are changed to 5-methyluridine. Preferably, the resultant ds RNAs have 15 to about 30 base pairs and are suitable for RNA interference.



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NANOPARTICLES FOR DELIVERY OF NUCLEIC ACIDS AND STABLE DOUBLE-STRANDED RNA

5

Background of the Invention

The teachings of all of the references cited herein are incorporated in their entirety herein by reference.

10

The following is a discussion of relevant art pertaining to RNAi. The discussion is provided only for understanding of the invention that follows. The summary is not an admission that any of the work described below is prior art to the claimed invention.

15 RNA interference refers to the process of sequence-specific post-transcriptional gene silencing in animals mediated by short interfering RNAs (siRNAs). See Fire *et al.*, *Nature*, 391:806 (1998) and Hamilton *et al.*, *Science*, 286: 950-951 (1999). The corresponding process in plants is commonly referred to as post-transcriptional gene silencing or RNA silencing and is also referred to as quelling in fungi. The process of post-transcriptional gene
20 silencing is thought to be an evolutionarily-conserved cellular defense mechanism used to prevent the expression of foreign genes and is commonly shared by diverse flora and phyla [Fire *et al.*, *Trends Genet.*, 15: 358 (1999)]. Such protection from foreign gene expression may have evolved in response to the production of double-stranded RNAs (dsRNAs) derived from viral infection or from the random integration of transposon elements into a host
25 genome via a cellular response that specifically destroys homologous single-stranded RNA or viral genomic RNA. The presence of dsRNA in cells triggers the RNAi response through a mechanism that has yet to be fully characterized. This mechanism appears to be different from the interferon response that results from dsRNA-mediated activation of protein kinase PKR and 2',5'-oligoadenylate synthetase resulting in non-specific cleavage of mRNA by
30 ribonuclease L.

The presence of long dsRNAs in cells stimulates the activity of a ribonuclease III enzyme referred to as dicer. Dicer is involved in the processing of the dsRNA into short pieces of dsRNA known as short interfering RNAs (siRNAs) [Hamilton *et al.*, *supra*; Bernstein *et al.*,
35 *Nature*, 409: 363(2001)]. Short interfering RNAs derived from dicer activity are typically about 21 to about 23 nucleotides in length and comprise about 19 base pair duplexes [Hamilton *et al.*, *supra*; Elbashir *et al.*, *Genes Dev.*, 15: 188 (2001)]. Dicer has also been implicated in the excision of 21- and 22-nucleotide small temporal RNAs (stRNAs) from

precursor RNA of conserved structure that are implicated in translational control [Hutvagner *et al.*, *Science*, 293: 834 (2001)]. The RNAi response also features an endonuclease complex, commonly referred to as an RNA-induced silencing complex (RISC), which mediates cleavage of single-stranded RNA having sequence complementary to the antisense strand of the siRNA duplex. Cleavage of the target RNA takes place in the middle of the region complementary to the antisense strand of the siRNA duplex [Elbashir *et al.*, 2001, *Genes Dev.*, 15, 188 (2001)].

RNAi has been studied in a variety of systems. Fire *et al.*, *Nature*, 391, 806 (1998), were the first to observe RNAi in *C. elegans*. Bahramian and Zarbl, *Molecular and Cellular Biology*, 19: 274-283 (1999) and Wianny and Goetz, 1999, *Nature Cell Biol.*, 2, 70, describe RNAi mediated by dsRNA in mammalian systems. Hammond *et al.*, *Nature*, 404: 293 (2000), describe RNAi in *Drosophila* cells transfected with dsRNA. Elbashir *et al.*, *Nature*, 411: 494 (2001), describe RNAi induced by introduction of duplexes of synthetic 21-nucleotide RNAs in cultured mammalian cells including human embryonic kidney and HeLa cells. Recent work in *Drosophila* embryonic lysates [Elbashir *et al.*, *EMBO J*, 20: 6877 (2001)] has revealed certain requirements for siRNA length, structure, chemical composition, and sequence that are essential to mediate efficient RNAi activity. These studies have shown that 21-nucleotide siRNA duplexes are most active when containing 3'-terminal dinucleotide overhangs. Furthermore, complete substitution of one or both siRNA strands with 2'-deoxy (2'-H) or 2'-O-methyl nucleotides abolishes RNAi activity, whereas substitution of the 3'-terminal siRNA overhang nucleotides with 2'-deoxy nucleotides (2'-H) was shown to be tolerated. Single mismatch sequences in the center of the siRNA duplex were also shown to abolish RNAi activity. In addition, these studies also indicate that the position of the cleavage site in the target RNA is defined by the 5'-end of the siRNA guide sequence rather than the 3'-end of the guide sequence (Elbashir *et al.*, *EMBO J*, 20: 6877 (2001)). Other studies have indicated that a 5'-phosphate on the target-complementary strand of a siRNA duplex is required for siRNA activity and that ATP is utilized to maintain the 5'-phosphate moiety on the siRNA (Nykanen *et al.*, *Cell*, 107: 309 (2001)).

Recent developments in the areas of gene therapy, antisense therapy and RNA interference therapy have created a need to develop efficient means of introducing nucleic acids into cells. Unfortunately, existing techniques for delivering nucleic acids to cells are limited by instability of the nucleic acids, poor efficiency and/or high toxicity of the delivery reagents.

Thus, there is a need to provide for methods and compositions for effectively delivering double-stranded nucleic acids to cells to produce an effective therapy especially for delivering siRNAs for RNA interference therapy.

5 **Brief Description of the Drawing**

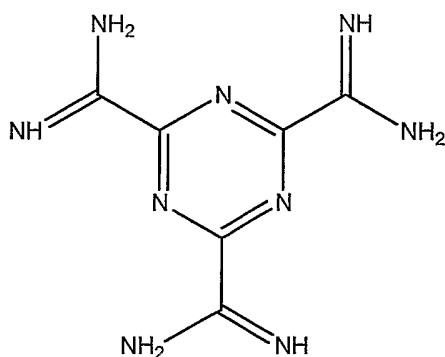
Figure 1 is an SDS PAGE gel showing the results of the stability studies of Example 3, in which the only stable siRNA construct was the first construct in which all of the uridines had been changed to 5-methyluridine ribothymidine.

10

Description of the Invention

 The present invention fills this need by providing for a method of forming complexes of double-stranded nucleic acids to facilitate delivery of the nucleic acids into a cell of
15 choice. In particular the present invention is directed towards methods and compositions to administer double-stranded nucleic acids to a mammal so as to effectuate transfection of the double-stranded nucleic acid into a desired tissue of the mammal. In a preferred embodiment the double-stranded nucleic acid is a small interfering nucleic acid (siNA) such as a double-stranded RNA, in particular a double-stranded RNA that has 30 or fewer nucleotides, and is a
20 short interfering RNA (siRNA).

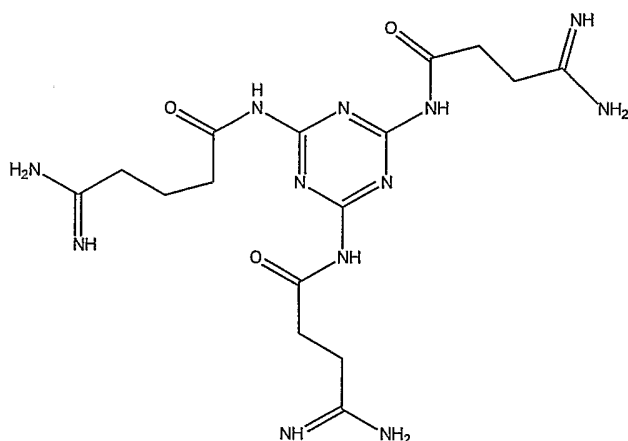
 A first aspect of the present invention involves complexing double-stranded nucleic acid with a compound having a structure called 2, 4, 6-Triguanidino Triazine:

Formula I

5

In an alternative embodiment the compound is 2, 4, 6-Triamidosarcocyl Melamine having the following structure:

10

Formula II

These melamine derivatives complex with a phosphate group of a double-stranded nucleic acid at one of three positively charged positions so that theoretically three double-stranded nucleic acids can complex with one molecule of a melamine derivative of formula I or formula II. A negatively charged phosphate group of a double-stranded nucleic acid will complex with a positively charged guanidine group of the melamine derivative of formula I. Likewise, a negatively charged phosphate group of a double-stranded nucleic acid will complex with a positively charged creatine group of the melamine derivative of formula II.

In another embodiment the dsRNA is complexed with polyarginine polypeptide. Preferably the polyarginine is comprised of alternating D-arginine residues and L-arginine so as to produce a polypeptide having the positively charged side-group of each of the arginine residues on the same side of the polypeptide. Another chemical moiety can be attached to the polyarginine to direct the nucleic acid complex to a specific cell or tissue. Examples of such moieties are mannose, galactose and the TAT polypeptide of the human immunodeficiency virus.

In another embodiment the dsRNA is complexed with a polypeptide comprised of alternating glutamine and asparagines residues. Preferably, the amino acid residues alternate between the D and L forms such that in one embodiment all of the glutamine residues are D-glutamines and all of the asparagines residues are L-asparagines, and in another embodiment all of the glutamine residues are L-glutamines and all of the asparagines residues are D-asparagines.

In a preferred embodiment, the dsRNA is complexed with one of the melamine derivatives, the polyarginine and the Gln-Asn polypeptide.

The dsRNA can be any length. However, the preferred size is 15 – 30 nucleotides, preferably 15 – 25 and most preferably about 20 nucleotides.

In the preferred dsRNA all of the uridines are replaced with ribothymidines (5-methyl-uridine) to inhibit degradation by Rnases.

When a dsRNA that is less than about 30 nucleotides is used this produces a ds RNA that can enter into a cell without triggering the interferon system, which shuts down on protein synthesis in a cell. The antisense strand is designed to hybridize with an mRNA, which one wishes to silence or destroy using the RNA interference mechanism describe below.

The present invention also features a method for preparing the claimed ds RNA nanoparticles. A first solution containing one of the melamine derivatives disclosed above is dissolved in an organic solvent such as dimethyl sulfoxide, or dimethyl formamide to which an acid such as HCl has been added. The concentration of HCl would be about 3.3 moles of HCl for every mole of the melamine derivative. The first solution is then mixed with a second solution, which includes a nucleic acid dissolved or suspended in a polar or hydrophilic solvent (*e.g.*, an aqueous buffer solution containing, for instance, ethylenediaminetetraacetic acid (EDTA), or tris(hydroxymethyl) aminomethane (TRIS), or

combinations thereof. The mixture forms a first emulsion. The mixing can be done using any standard technique such as, for example sonication, vortexing, or in a microfluidizer. This causes complexing of the nucleic acids with the melamine derivative forming a trimeric nucleic acid complex. While not being bound to theory or mechanism, it is believed that
5 three nucleic acids are complexed in a circular fashion about one melamine derivative moiety, and that a number of the melamine derivative moieties can be complexed with the three nucleic acid molecules depending on the size of the number of nucleotides that the nucleic acid has. The concentration should be at least 1 to 7 moles of the melamine derivative for every mole of a double stranded nucleic acid having 20 nucleotide pairs, more if the ds
10 nucleic acid is larger. The resultant nucleic acid particles can be purified and the organic solvent removed using size-exclusion chromatography or dialysis or both.

The complexed nucleic acid nanoparticles can then be mixed with an aqueous solution containing either polyarginine, a Gln-Asn polymer or both in an aqueous solution. The
15 preferred molecular weight of each polymer is 5000 – 15,000 Daltons. This forms a solution containing nanoparticles of nucleic acid complexed with the melamine derivative and the polyarginine and the Gln-Asn polymers. The mixing steps are carried out in a manner that minimizes shearing of the nucleic acid while producing nanoparticles on average smaller than 200 nanometers in diameter. While not being bound by theory of mechanism, it is believed
20 that the polyarginine complexes with the negative charge of the phosphate groups within the minor groove of the nucleic acid, and the polyarginine wraps around the trimeric nucleic acid complex. At either terminus of the polyarginine other moieties, such as the TAT polypeptide, mannose or galactose, can be covalently bound to the polymer to direct binding of the nucleic acid complex to specific tissues, such as to the liver when galactose is used. While not being
25 bound to theory, it is believed that the Gln-Asn polymer complexes with the nucleic acid complex within the major groove of the nucleic acid through hydrogen bonding with the bases of the nucleic acid. The polyarginine and the Gln-Asn polymer should be present at a concentration of 2 moles per every mole of nucleic acid having 20 base pairs. The concentration should be increased proportionally for a nucleic acid having more than 20 base
30 pairs. So perhaps, if the nucleic acid has 25 base pairs, the concentration of the polymers should be 2.5 – 3 moles per mole of ds nucleic acid. An example of is a polypeptide operatively linked to an N-terminal protein transduction domain from HIV TAT. The HIV TAT construct for use in such a protein is described in detail in Vocero-Akbani *et al. Nature Med.*, 5:23-33 (1999). See also United States Patent Application No. 20040132161,
35 published on July 8, 2004.

The resultant nanoparticles can be purified by standard means such as size exclusion chromatography followed by dialysis. The purified complexed nanoparticles can then be lyophilized using techniques well known in the art.

5 This method of delivering double-stranded nucleic acids is especially useful in the context of therapeutics utilizing RNA interference. RNA interference or RNAi is a system in most plant and animal cells that censors the expression of genes. The genes might be the genes of the host cell that is being inappropriately expressed or viral nucleic acids. When a threatening gene is expressed, the RNAi machinery silences it by intercepting and destroying
10 only the offending messenger RNA (mRNA), without disturbing the mRNA expressed from other genes.

 Scientists have now discovered how to synthetically produce double-stranded RNA that is able to trigger the RNAi machinery to destroy a desired mRNA. The scientist
15 produces a short antisense strand (generally 30 base pairs or less) and a sense strand that hybridizes to the antisense strand. This short dsRNA is called a short (or small) interfering RNA, or siRNA. The antisense strand is a stretch of RNA that specifically binds to an mRNA that the scientist wishes to silence. When an siRNA is inserted into a cell, the siRNA duplex is then unwound, and the antisense strand of the duplex is loaded into an assembly of
20 proteins to form the RNA-induced silencing complex (RISC).

 Within the silencing complex, the siRNA molecule is positioned so that mRNAs can bump into it. The RISC will encounter thousands of different mRNAs that are in a typical cell at any given moment. But the siRNA of the RISC will adhere well only to an mRNA that
25 closely complements its own nucleotide sequence. So unlike an interferon response to a viral infection, the silencing complex is highly selective in choosing its target mRNAs.

 When a matched mRNA finally docks onto the siRNA, an enzyme known as slicer cuts the captured mRNA strand in two. The RISC then releases the two pieces of the mRNA
30 (now rendered incapable of directing protein synthesis) and moves on. The RISC itself stays intact capable of finding and cleaving another mRNA.

 A preferred embodiment of the present invention is comprised of nanoparticles of double-stranded RNA less than 100 nanometers (nm). More, specifically, the double-
35 stranded RNA is less than about 30 nucleotide pairs in length, preferably 20 – 25 nucleotide base pairs in length. More specifically, the present invention is comprised of a double-stranded RNA complex wherein two or more double-stranded

In a preferred embodiment, the ribose uracils of the siRNA are replaced with ribose thymine. In fact it has been surprisingly discovered that the stability of double-stranded RNA is greatly increased and is less susceptible to degradation by Rnases when all of the ribose uracils are changed to ribose thymine in both the sense and anti-sense strands of the RNA.

- 5 Thus a preferred siRNA is a double-stranded RNA having 15 – 30 bases pairs wherein all of the ribose uracils that would normally be present have been changed to a 5-alkyluridine such as ribothymidine (rT) [5-methyluridine]. Alternatively, some of the uracils can be changed so that only those ribose uracils present in the sense strand are changed to ribothymidine, or in the alternative, only those ribose uracils present in the antisense strand are changed to
- 10 ribothymidine. Examples 2 and 3 illustrate this aspect of the invention.

For example a stable siNA duplex of the present invention which would target the mRNA of the VEGF receptor 1 (see SEQ ID NO:2000 of United States Patent Application Publication No. 2004/01381 published July 15, 2004 would be:

15

G.C.A.rT.rT.rT.G.G.C.A.rT.A.A.G.A.A.A.rTdTdT (SEQ ID NO:9)

A.rT.rT.rTrT.C.rT.rT.A.rT.G.C.C.A.A.A.rT.C.dT.dT (SEQ ID NO:10)

- 20 An siNA duplex of the present invention, which would target the RNA of Hepatitis B virus and target a subsequence of the HBV RNA would be:

C.C.rT.G.C.rT.G.C.rT.A.rT.G.C.C.rT.C.A.rT.C.dT.dT (SEQ ID NO:11)

- 25 G.A.rT.G.A.G.G.C.A.rT.A.G.C.A.G.C.A.G.G.dTdT (SEQ ID NO:12)

See United States Patent Application Publication No. 2003/0206887 published Nov. 6, 2003.

- 30 An siNA duplex of the present invention which would target RNA of the human immunodeficiency virus (HIV) would be:

rT.rT.rT.G.G.A.A.A.G.G.A.C.C.A.G.C.A.A.A.dT.dT (SEQ ID NO:13)

- 35 rT.rT.rT.G.C.rT.G.G.rT.C.C.rTrT.rT.C.C.A.A.A.dT.dT (SEQ ID NO:14)

See United States Patent Application Publication No. 2003/0175950 published Sept. 18, 2003.

An siNA duplex of the present invention which would target the mRNA of human tumor necrosis factor-alpha (TNF α) would be:

5 C.A.C.C.C.rT.G.A.C.A.A.G.C.rT.G.C.C.A.G.dT.dT (SEQ ID NO:15)

C.rT.G.G.C.A.G.C.rT.rT.G.rT.C.A.G.G.G.rT.G.dT.dT (SEQ ID NO:16)

Another siNA targeted against the TNF α mRNA would be:

10

rT.G.C.A.C.rT.rT.rT.G.G.A.G.rT.G.A.rT.C.G.G.dT.dT (SEQ ID NO:17)

C.C.G.A.rT.C.A.C.rT.C.C.A.A.A.G.rT.G.C.A.dT.dT (SEQ ID NO:18)

15 An siNA duplex of the present invention targeted against the TNF α -receptor 1A mRNA would be:

G.A.G.rT.C.C.C.G.G.G.A.A.G.C.C.C.A.G.dT.dT (SEQ ID NO:19)

20 C.rT.G.G.G.G.C.rT.rT.C.C.C.G.G.G.A.C.rT.C.dT.dT (SEQ ID NO:20)

Another siNA duplex of the present invention targeted against the TNF α -receptor 1A mRNA would be:

25 A.A.A.G.G.A.A.C.C.rT.A.C.rT.rT.G.rT.A.C.A.dT.dT (SEQ ID NO:21)

rT.G.rT.A.C.A.A.G.rT.A.G.G.rT.rT.C.C.rT.rT.rT.dT.dT (SEQ ID NO:22)

30 See International Patent Application Publication No. WO 03/070897. 'RNA Interference Mediated Inhibition of TNF and TNF Receptor Superfamily Gene Expression Using Short Interfering Nucleic Acid (siNA)'. These would be useful in treating TNF- α associated diseases as rheumatoid arthritis.

35 As used herein "cell" is used in its usual biological sense, and does not refer to an entire multicellular organism, e.g., specifically does not refer to a human. The cell can be present in an organism, e.g., birds, plants and mammals such as humans, cows, sheep, apes, monkeys, swine, dogs, and cats. The cell can be prokaryotic (e.g., bacterial cell) or eukaryotic (e.g., mammalian or plant cell). The cell can be of somatic or germ line origin, totipotent or

pluripotent, dividing or non-dividing. The cell can also be derived from or can comprise a gamete or embryo, a stem cell, or a fully differentiated cell.

5 In another aspect, the invention provides mammalian cells containing one or more siNA molecules of this invention. The one or more siNA molecules can independently be targeted to the same or different sites.

10 By "RNA" is meant a molecule comprising at least one ribonucleotide residue. By "ribonucleotide" is meant a nucleotide with a hydroxyl group at the 2' position of a .beta.-D-ribo-furanose moiety. The terms include double-stranded RNA, single-stranded RNA, isolated RNA such as partially purified RNA, essentially pure RNA, synthetic RNA, recombinantly produced RNA, as well as altered RNA that differs from naturally occurring RNA by the addition, deletion, substitution and/or alteration of one or more nucleotides. Such alterations can include addition of non-nucleotide material, such as to the end(s) of the siNA
15 or internally, for example at one or more nucleotides of the RNA. Nucleotides in the RNA molecules of the instant invention can also comprise non-standard nucleotides, such as non-naturally occurring nucleotides or chemically synthesized nucleotides or deoxynucleotides. These altered RNAs can be referred to as analogs or analogs of naturally-occurring RNA.

20 By "subject" is meant an organism, which is a donor or recipient of explanted cells or the cells themselves. "Subject" also refers to an organism to which the nucleic acid molecules of the invention can be administered. In one embodiment, a subject is a mammal or mammalian cells. In another embodiment, a subject is a human or human cells.

25 The term "universal base" as used herein refers to nucleotide base analogs that form base pairs with each of the natural DNA/RNA bases with little discrimination between them. Non-limiting examples of universal bases include C-phenyl, C-naphthyl and other aromatic derivatives, inosine, azole carboxamides, and nitroazole derivatives such as 3-nitropyrrole, 4-
30 nitroindole, 5-nitroindole, and 6-nitroindole as known in the art (see for example Loakes, 2001, Nucleic Acids Research, 29, 2437-2447).

The nucleic acid molecules of the instant invention, individually, or in combination or in conjunction with other drugs, can be used to treat diseases or conditions discussed herein. For
35 example, to treat a particular disease or condition, the siNA molecules can be administered to a patient or can be administered to other appropriate cells evident to those skilled in the art, individually or in combination with one or more drugs under conditions suitable for the treatment.

In a further embodiment, the siNA molecules can be used in combination with other known treatments to treat conditions or diseases discussed above. For example, the described molecules could be used in combination with one or more known therapeutic agents to treat a disease or condition. Non-limiting examples of other therapeutic agents that can be readily
5 combined with a siNA molecule of the invention are enzymatic nucleic acid molecules, allosteric nucleic acid molecules, antisense, decoy, or aptamer nucleic acid molecules, antibodies such as monoclonal antibodies, small molecules, and other organic and/or inorganic compounds including metals, salts and ions.

10 By "comprising" is meant including, but not limited to, whatever follows the word "comprising." Thus, use of the term "comprising" indicates that the listed elements are required or mandatory, but that other elements are optional and may or may not be present. By "consisting of" is meant including, and limited to, whatever follows the phrase "consisting of." Thus, the phrase "consisting of" indicates that the listed elements are required or
15 mandatory, and that no other elements may be present. By "consisting essentially of" is meant including any elements listed after the phrase, and limited to other elements that do not interfere with or contribute to the activity or action specified in the disclosure for the listed elements. Thus, the phrase "consisting essentially of" indicates that the listed elements are required or mandatory, but that other elements are optional and may or may not be present
20 depending upon whether or not they affect the activity or action of the listed elements.

Synthesis of Nucleic Acid Molecules

Synthesis of nucleic acids greater than 100 nucleotides in length is difficult using automated
25 methods, and the therapeutic cost of such molecules is prohibitive. In this invention, small nucleic acid motifs ("small" refers to nucleic acid motifs no more than 100 nucleotides in length, preferably no more than 80 nucleotides in length, and most preferably no more than 50 nucleotides in length; e.g., individual siNA oligonucleotide sequences or siNA sequences synthesized in tandem) are preferably used for exogenous delivery. The simple structure of
30 these molecules increases the ability of the nucleic acid to invade targeted regions of protein and/or RNA structure. Exemplary molecules of the instant invention are chemically synthesized, and others can similarly be synthesized.

Oligonucleotides (e.g., certain modified oligonucleotides or portions of oligonucleotides
35 lacking ribonucleotides) are synthesized using protocols known in the art, for example as described in Caruthers et al., 1992, Methods in Enzymology 211, 3-19, Thompson et al., International PCT Publication No. WO 99/54459, Wincott et al., 1995, Nucleic Acids Res. 23, 2677-2684, Wincott et al., 1997, Methods Mol. Bio., 74, 59, Brennan et al., 1998,

Biotechnol Bioeng., 61, 33-45, and Brennan, U.S. Pat. No. 6,001,311.

RNA including certain siNA molecules of the invention follows the procedure as described in Usman et al., 1987, J. Am. Chem. Soc., 109, 7845; Scaringe et al., 1990, Nucleic Acids Res., 18, 5433; and Wincott et al., 1995, Nucleic Acids Res. 23, 2677-2684 Wincott et al.,
5 1997, Methods Mol. Bio., 74, 59.

Alternatively, the nucleic acid molecules of the present invention can be synthesized separately and joined together post-synthetically, for example, by ligation (Moore et al., 1992, Science 256, 9923; Draper et al., International PCT Publication No. WO 93/23569;
10 Shabarova et al., 1991, Nucleic Acids Research 19, 4247; Bellon et al., , *Nucleosides & Nucleotides*, 16, 951 (1997); Bellon et al., , *Bioconjugate Chem.* 8, 204 (1997), or by hybridization following synthesis and/or deprotection.

Administration of Nucleic Acid Molecules

15 Methods for the delivery of nucleic acid molecules are described in Akhtar et al., *Trends Cell Bio.*, 2, 139 (1992); Delivery Strategies for Antisense Oligonucleotide Therapeutics, ed. Akhtar, 1995, Maurer et al., *Mol. Membr. Biol.*, 16: 129-140 (1999); Hofland and Huang, *Handb. Exp. Pharmacol.*, 137: 165-192 (1999); and Lee et al., *ACS Symp. Ser.*, 752: 184-192 (2000), Sullivan et al., PCT WO 94/02595, further describes the
20 general methods for delivery of enzymatic nucleic acid molecules. These protocols can be utilized for the delivery of virtually any nucleic acid molecule. Nucleic acid molecules can be administered to cells by a variety of methods known to those of skill in the art, including, but not restricted to, encapsulation in liposomes, by iontophoresis, or by incorporation into other
25 vehicles, such as hydrogels, cyclodextrins, biodegradable nanocapsules, and bioadhesive microspheres, or by proteinaceous vectors (O'Hare and Normand, International PCT Publication No. WO 00/53722). Alternatively, the nucleic acid/vehicle combination is locally delivered by direct injection or by use of an infusion pump. Direct injection of the nucleic acid molecules of the invention, whether subcutaneous, intramuscular, or intradermal, can
30 take place using standard needle and syringe methodologies, or by needle-free technologies such as those described in Conry et al., *Clin. Cancer Res.*, 5: 2330-2337 (1999) and Barry et al., International PCT Publication No. WO 99/31262. The molecules of the instant invention can be used as pharmaceutical agents. Pharmaceutical agents prevent, modulate the occurrence, or treat (alleviate a symptom to some extent, preferably all of the symptoms) of a
35 disease state in a patient.

Thus, the invention features a pharmaceutical composition comprising one or more nucleic acid(s) of the invention in an acceptable carrier, such as a stabilizer, buffer, and the

like. The negatively charged polynucleotides of the invention can be administered (e.g., RNA, DNA or protein) and introduced into a patient by any standard means, with or without stabilizers, buffers, and the like, to form a pharmaceutical composition. When it is desired to use a liposome delivery mechanism, standard protocols for formation of liposomes can be followed. The compositions of the present invention may also be formulated and used as tablets, capsules or elixirs for oral administration, suppositories for rectal administration, sterile solutions, suspensions for injectable administration, and the other compositions known in the art.

The present invention also includes pharmaceutically acceptable formulations of the compounds described. These formulations include salts of the above compounds, e.g., acid addition salts, for example, salts of hydrochloric, hydrobromic, acetic acid, and benzene sulfonic acid.

A pharmacological composition or formulation refers to a composition or formulation in a form suitable for administration, e.g., systemic administration, into a cell or patient, including for example a human. Suitable forms, in part, depend upon the use or the route of entry, for example oral, transdermal, or by injection. Such forms should not prevent the composition or formulation from reaching a target cell (i.e., a cell to which the negatively charged nucleic acid is desirable for delivery). For example, pharmacological compositions injected into the blood stream should be soluble. Other factors are known in the art, and include considerations such as toxicity and forms that prevent the composition or formulation from exerting its effect.

By "systemic administration" is meant in vivo systemic absorption or accumulation of drugs in the blood stream followed by distribution throughout the entire body. Administration routes which lead to systemic absorption include, without limitation: intravenous, subcutaneous, intraperitoneal, inhalation, oral, intrapulmonary and intramuscular. Each of these administration routes expose the desired negatively charged polymers, e.g., nucleic acids, to an accessible diseased tissue. The rate of entry of a drug into the circulation has been shown to be a function of molecular weight or size. The use of a liposome or other drug carrier comprising the compounds of the instant invention can potentially localize the drug, for example, in certain tissue types, such as the tissues of the reticular endothelial system (RES). A liposome formulation that can facilitate the association of drug with the surface of cells, such as, lymphocytes and macrophages is also useful. This approach may provide enhanced delivery of the drug to target cells by taking advantage of the specificity of macrophage and lymphocyte immune recognition of abnormal cells, such as cancer cells.

By "pharmaceutically acceptable formulation" is meant, a composition or formulation that allows for the effective distribution of the nucleic acid molecules of the instant invention in the physical location most suitable for their desired activity. Nonlimiting examples of agents suitable for formulation with the nucleic acid molecules of the instant invention

5 include: P-glycoprotein inhibitors (such as Pluronic P85), which can enhance entry of drugs into the CNS [Jolliet-Riant and Tillement, *Fundam. Clin. Pharmacol.*, 13:16-26 (1999)]; biodegradable polymers, such as poly (DL-lactide-coglycolide) microspheres for sustained release delivery after intracerebral implantation (Emerich, D F et al., *Cell Transplant*, 8: 47-58 (1999)] (Alkermes, Inc. Cambridge, Mass.); and loaded nanoparticles, such as those made

10 of polybutylcyanoacrylate, which can deliver drugs across the blood brain barrier and can alter neuronal uptake mechanisms (*Prog Neuropsychopharmacol Biol Psychiatry*, 23: 941-949, (1999)). Other non-limiting examples of delivery strategies for the nucleic acid molecules of the instant invention include material described in Boado et al., *J. Pharm. Sci.*, 87:1308-1315 (1998); Tyler et al., *FEBS Lett.*, 421: 280-284 (1999); Pardridge et al., *PNAS USA.*, 92: 5592-5596 (1995); Boado, *Adv. Drug Delivery Rev.*, 15: 73-107 (1995); Aldrian-Herrada et al., *Nucleic Acids Res.*, 26: 4910-4916 (1998); and Tyler et al., *PNAS USA.*, 96: 7053-7058 (1999).

The invention also features the use of the composition comprising surface-modified

20 liposomes containing poly (ethylene glycol) lipids (PEG-modified, or long-circulating liposomes or stealth liposomes). These formulations offer a method for increasing the accumulation of drugs in target tissues. This class of drug carriers resists opsonization and elimination by the mononuclear phagocytic system (MPS or RES), thereby enabling longer blood circulation times and enhanced tissue exposure for the encapsulated drug (Lasic et al.

25 *Chem. Rev.*, 95:2601-2627 (1995); Ishiwata et al., *Chem. Pharm. Bull.*, 43: 1005-1011 (1995)). Such liposomes have been shown to accumulate selectively in tumors, presumably by extravasation and capture in the neovascularized target tissues [Lasic et al., *Science*, 267: 1275-1276 (1995); Oku et al., *Biochim. Biophys. Acta*, 1238, 86-90 (1995)]. The long-circulating liposomes enhance the pharmacokinetics and pharmacodynamics of DNA and

30 RNA, particularly compared to conventional cationic liposomes which are known to accumulate in tissues of the MPS (Liu et al., *J. Biol. Chem.* 42: 24864-24870 (1995); Choi et al., International PCT Publication No. WO 96/10391; Ansell et al., International PCT Publication No. WO 96/10390; Holland et al., International PCT Publication No. WO 96/10392). Long-circulating liposomes are also likely to protect drugs from nuclease

35 degradation to a greater extent compared to cationic liposomes, based on their ability to avoid accumulation in metabolically aggressive MPS tissues such as the liver and spleen.

The present invention also includes compositions prepared for storage or

administration, which include a pharmaceutically effective amount of the desired compounds in a pharmaceutically acceptable carrier or diluent. Acceptable carriers or diluents for therapeutic use are well known in the pharmaceutical art, and are described, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co. (A. R. Gennaro edit. 1985). For
5 example, preservatives, stabilizers, dyes and flavoring agents may be provided. These include sodium benzoate, sorbic acid and esters of p-hydroxybenzoic acid. In addition, antioxidants and suspending agents may be used.

A pharmaceutically effective dose is that dose required to prevent, inhibit the
10 occurrence of, or treat (alleviate a symptom to some extent, preferably all of the symptoms) a disease state. The pharmaceutically effective dose depends on the type of disease, the composition used, the route of administration, the type of mammal being treated, the physical characteristics of the specific mammal under consideration, concurrent medication, and other factors that those skilled in the medical arts will recognize. Generally, an amount between 0.1
15 mg/kg and 100 mg/kg body weight/day of active ingredients is administered dependent upon potency of the negatively charged polymer.

The present invention also includes compositions prepared for storage or administration that include a pharmaceutically effective amount of the desired compounds in
20 a pharmaceutically acceptable carrier or diluent. Acceptable carriers or diluents for therapeutic use are well known in the pharmaceutical art, and are described, for example, in Remington's Pharmaceutical Sciences, Mack Publishing Co. (A. R. Gennaro edit. 1985), hereby incorporated by reference herein. For example, preservatives, stabilizers, dyes and flavoring agents can be provided. These include sodium benzoate, sorbic acid and esters of p-
25 hydroxybenzoic acid. In addition, antioxidants and suspending agents can be used.

A pharmaceutically effective dose is that dose required to prevent, inhibit the occurrence, or treat (alleviate a symptom to some extent, preferably all of the symptoms) of a disease state. The pharmaceutically effective dose depends on the type of disease, the
30 composition used, the route of administration, the type of mammal being treated, the physical characteristics of the specific mammal under consideration, concurrent medication, and other factors that those skilled in the medical arts will recognize. Generally, an amount between 0.1 mg/kg and 100 mg/kg body weight/day of active ingredients is administered dependent upon potency of the negatively charged polymer.

35 The nucleic acid molecules of the invention and formulations thereof can be administered orally, topically, parenterally, by inhalation or spray, or rectally in dosage unit formulations containing conventional non-toxic pharmaceutically acceptable carriers,

adjuvants and/or vehicles. The term parenteral as used herein includes percutaneous, subcutaneous, intravascular (e.g., intravenous), intramuscular, or intrathecal injection or infusion techniques and the like. In addition, there is provided a pharmaceutical formulation comprising a nucleic acid molecule of the invention and a pharmaceutically acceptable carrier. One or more nucleic acid molecules of the invention can be present in association with one or more non-toxic pharmaceutically acceptable carriers and/or diluents and/or adjuvants, and if desired other active ingredients. The pharmaceutical compositions containing nucleic acid molecules of the invention can be in a form suitable for oral use, for example, as tablets, troches, lozenges, aqueous or oily suspensions, dispersible powders or granules, emulsion, hard or soft capsules, or syrups or elixirs.

Compositions intended for oral use can be prepared according to any method known to the art for the manufacture of pharmaceutical compositions and such compositions can contain one or more such sweetening agents, flavoring agents, coloring agents or preservative agents in order to provide pharmaceutically elegant and palatable preparations. Tablets contain the active ingredient in admixture with non-toxic pharmaceutically acceptable excipients that are suitable for the manufacture of tablets. These excipients can be, for example, inert diluents; such as calcium carbonate, sodium carbonate, lactose, calcium phosphate or sodium phosphate; granulating and disintegrating agents, for example, corn starch, or alginic acid; binding agents, for example starch, gelatin or acacia; and lubricating agents, for example magnesium stearate, stearic acid or talc. The tablets can be uncoated or they can be coated by known techniques. In some cases such coatings can be prepared by known techniques to delay disintegration and absorption in the gastrointestinal tract and thereby provide a sustained action over a longer period. For example, a time delay material such as glyceryl monostearate or glyceryl distearate can be employed.

Formulations for oral use can also be presented as hard gelatin capsules wherein the active ingredient is mixed with an inert solid diluent, for example, calcium carbonate, calcium phosphate or kaolin, or as soft gelatin capsules wherein the active ingredient is mixed with water or an oil medium, for example peanut oil, liquid paraffin or olive oil.

Aqueous suspensions contain the active materials in admixture with excipients suitable for the manufacture of aqueous suspensions. Such excipients are suspending agents, for example sodium carboxymethylcellulose, methylcellulose, hydropropyl-methylcellulose, sodium alginate, polyvinylpyrrolidone, gum tragacanth and gum acacia; dispersing or wetting agents can be a naturally-occurring phosphatide, for example, lecithin, or condensation products of an alkylene oxide with fatty acids, for example polyoxyethylene stearate, or condensation products of ethylene oxide with long chain aliphatic alcohols, for example

heptadecaethyleneoxycetanol, or condensation products of ethylene oxide with partial esters derived from fatty acids and a hexitol such as polyoxyethylene sorbitol monooleate, or condensation products of ethylene oxide with partial esters derived from fatty acids and hexitol anhydrides, for example polyethylene sorbitan monooleate. The aqueous suspensions
5 can also contain one or more preservatives, for example ethyl, or n-propyl p-hydroxybenzoate, one or more coloring agents, one or more flavoring agents, and one or more sweetening agents, such as sucrose or saccharin.

Oily suspensions can be formulated by suspending the active ingredients in a
10 vegetable oil, for example arachis oil, olive oil, sesame oil or coconut oil, or in a mineral oil such as liquid paraffin. The oily suspensions can contain a thickening agent, for example beeswax, hard paraffin or cetyl alcohol. Sweetening agents and flavoring agents can be added to provide palatable oral preparations. These compositions can be preserved by the addition of an anti-oxidant such as ascorbic acid.

15 Dispersible powders and granules suitable for preparation of an aqueous suspension by the addition of water provide the active ingredient in admixture with a dispersing or wetting agent, suspending agent and one or more preservatives. Suitable dispersing or wetting agents or suspending agents are exemplified by those already mentioned above. Additional
20 excipients, for example sweetening, flavoring and coloring agents, can also be present.

Pharmaceutical compositions of the invention can also be in the form of oil-in-water emulsions. The oily phase can be a vegetable oil or a mineral oil or mixtures of these. Suitable emulsifying agents can be naturally-occurring gums, for example gum acacia or gum
25 tragacanth, naturally-occurring phosphatides, for example soy bean, lecithin, and esters or partial esters derived from fatty acids and hexitol, anhydrides, for example sorbitan monooleate, and condensation products of the said partial esters with ethylene oxide, for example polyoxyethylene sorbitan monooleate. The emulsions can also contain sweetening and flavoring agents.

30 Syrups and elixirs can be formulated with sweetening agents, for example glycerol, propylene glycol, sorbitol, glucose or sucrose. Such formulations can also contain a demulcent, a preservative and flavoring and coloring agents. The pharmaceutical compositions can be in the form of a sterile injectable aqueous or oleaginous suspension. This
35 suspension can be formulated according to the known art using those suitable dispersing or wetting agents and suspending agents that have been mentioned above. The sterile injectable preparation can also be a sterile injectable solution or suspension in a non-toxic parentally acceptable diluent or solvent, for example as a solution in 1,3-butanediol. Among the

acceptable vehicles and solvents that can be employed are water, Ringer's solution and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose, any bland fixed oil can be employed including synthetic mono-or diglycerides. In addition, fatty acids such as oleic acid find use
5 in the preparation of injectables.

The nucleic acid molecules of the invention can also be administered in the form of suppositories, *e.g.*, for rectal administration of the drug. These compositions can be prepared by mixing the drug with a suitable non-irritating excipient that is solid at ordinary
10 temperatures but liquid at the rectal temperature and will therefore melt in the rectum to release the drug. Such materials include cocoa butter and polyethylene glycols.

Nucleic acid molecules of the invention can be administered parenterally in a sterile medium. The drug, depending on the vehicle and concentration used, can either be suspended or dissolved in the vehicle. Advantageously, adjuvants such as local anesthetics, preservatives
15 and buffering agents can be dissolved in the vehicle.

Dosage levels of the order of from about 0.1 mg to about 140 mg per kilogram of body weight per day are useful in the treatment of the above-indicated conditions (about 0.5
20 mg to about 7 g per patient per day). The amount of active ingredient that can be combined with the carrier materials to produce a single dosage form varies depending upon the host treated and the particular mode of administration. Dosage unit forms generally contain between from about 1 mg to about 500 mg of an active ingredient.

It is understood that the specific dose level for any particular patient depends upon a
25 variety of factors including the activity of the specific compound employed, the age, body weight, general health, sex, diet, time of administration, route of administration, and rate of excretion, drug combination and the severity of the particular disease undergoing therapy.

For administration to non-human animals, the composition can also be added to the
30 animal feed or drinking water. It can be convenient to formulate the animal feed and drinking water compositions so that the animal takes in a therapeutically appropriate quantity of the composition along with its diet. It can also be convenient to present the composition as a premix for addition to the feed or drinking water.

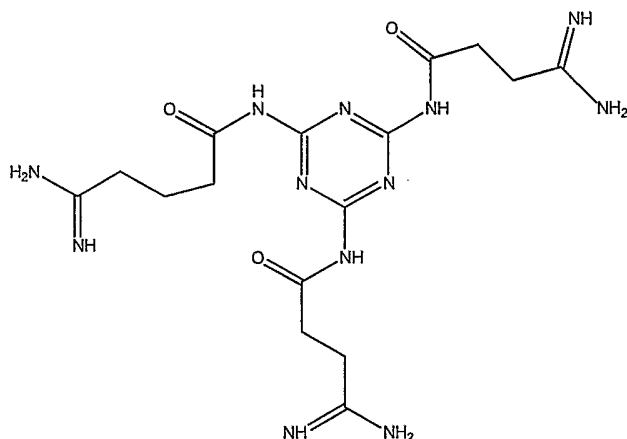
35 The nucleic acid molecules of the present invention may also be administered to a patient in combination with other therapeutic compounds to increase the overall therapeutic effect. The use of multiple compounds to treat an indication may increase the beneficial

effects while reducing the presence of side effects.

In one embodiment, the invention compositions suitable for administering nucleic acid molecules of the invention to specific cell types, such as hepatocytes. For example, the asialoglycoprotein receptor (ASGPr) (Wu and Wu, *J. Biol. Chem.* 262:4429-4432 (1987)] is unique to hepatocytes and binds branched galactose-terminal glycoproteins, such as asialoorosomucoid (ASOR). Binding of such glycoproteins or synthetic glycoconjugates to the receptor takes place with an affinity that strongly depends on the degree of branching of the oligosaccharide chain, for example, triantennary structures are bound with greater affinity than biantennary or monoantennary chains (Baenziger and Fiete, *Cell*, 22: 611-620 (1980); Connolly *et al.*, *J. Biol. Chem.*, 257: 939-945 (1982). Lee and Lee, *Glycoconjugate J.*, 4: 317-328 (1987), obtained this high specificity through the use of N-acetyl-D-galactosamine as the carbohydrate moiety, which has higher affinity for the receptor, compared to galactose. This "clustering effect" has also been described for the binding and uptake of mannosyl-terminating glycoproteins or glycoconjugates (Ponpipom *et al.*, *J. Med. Chem.*, 24: 1388-1395(1981). The use of galactose and galactosamine based conjugates to transport exogenous compounds across cell membranes can provide a targeted delivery approach to the treatment of liver disease such as HBV infection or hepatocellular carcinoma. The use of bioconjugates can also provide a reduction in the required dose of therapeutic compounds required for treatment. Furthermore, therapeutic bioavailability, pharmacodynamics, and pharmacokinetic parameters can be modulated through the use of nucleic acid bioconjugates of the invention.

Example 1**Preparation of Melamine Derivatives**

5

Methods and Materials for 2, 4, 6-Triamidosarcocyl Melamine

10

4-Methoxy-2,3,6-trimethylbenzenesulfonyl (Mtr) creatine

A solution of creatine (390mgs-3mmol) in a mixture of 4N NaOH (3ml) and acetone is cooled in an ice water bath and treated with Mtr chloride (680mgs-5.25mmol) in acetone (3mls). The mixture is stirred overnight at room temperature and then acidified with 10% citric acid in water. The acetone is evaporated and the residual aqueous suspension is extracted with ethyl acetate, 3 X 10ml. The combined extracts are dried over magnesium sulfate, filtered and the filtrate is evaporated to dryness. The residue is crystallized from ethyl acetate: hexane.

20

2, 4, 6-Mtr-triamidosarcocyl melamine

The Mtr-creatine (694mgs-2mmol) is dissolved in 5ml of dimethylformamide (DMF) with melamine (76mgs-0.6mmol), hydroxybenzotriazole (310mgs-2mmol) and diisopropylethylamine (403ul-2.3mmol). With the addition of diisopropylcarbodiimide (DIC) (310ul-2mmol) the mixture is stirred overnight at room temperature.

25

The next day the reaction is diluted with 50ml of ethyl acetate, extracted 3 X10ml of 10% citric acid, 1 X brine, 3 X 10% sodium bicarbonate and 1 X brine. The ethyl acetate is dried

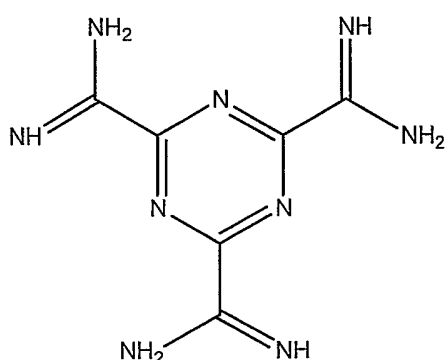
over magnesium sulfate, filtered, evaporated and the residue is crystallized from ether:hexane.

2, 4, 6-Triamidosarcocyl Melamine

5

The 2, 4, 6-Mtr-triamidosarcocyl melamine (340mgs-0.3mmol) is dissolved in trifluoroacetic acid:thianisole (95:5) (5ml) and stirred of for four hours. The solution is evaporated to an oil and triturated with ether and dried.

10 Methods and Materials for 2, 4, 6-Triguanidino Triazine



15

Melamine trithiourea sulfonic acid

A mixture of melamine (1620mgs-13mmol) is and methyl thiocynate (2870mgs-139mmol) in 70mls of ethyl alcohol is refluxed for one hour. After evaporation the corresponding urea is isolated by evaporation of the alcohol. The triisothiourea triazine intermediate is then dissolved in water (10ml) containing sodium chloride (mg-mmol), sodium molybdate dehydrate and cooled to 0°C with vigorous stirring. Hydrogen peroxide (30%-41mmol) is added dropwise to the stirring suspension. The sulfonic acid product is collected by filtration and washed with cold brine and dried.

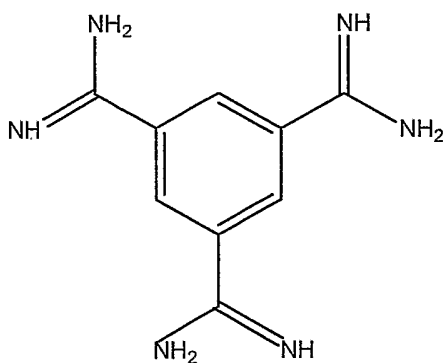
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2, 4, 6-Triguanidino Triazine

The melamine trithiourea sulfonic acid (1520mgs-10mmol) is added to the appropriate amine (13mmol) in 5ml of acetonitrile at room temperature. The mixture is stirred overnight. The

30

pH is adjusted to 12 with 3N NaOH. Depending on the amine used, the guanidine product can be filtered off as a solid or extracted with methylene chloride for isolation purposes.



5

Example 2

Beta-gal siRNA sequence

10

The double-stranded siRNA sequences shown below were produced synthetically using standard techniques. The siRNA sequences were designed to silence the beta galactosidase mRNA. The siRNAs were encapsulated in lipofectamine to promote transfection of the siRNA into the cells. The sequences are identical except for the varied substitution of ribose uracils by ribose thymines. The siRNA of duplex 4 did not replace any of the ribose uracils with ribose thymine. The siRNAs of duplexes 1 – 3 represent siRNAs of the present invention in which some or all of the uracils present in duplex 4 have been changed to ribose thymines. All of the uracils have been changed to ribose thymines in the siRNA of duplex 1. Only the uracils in the sense strand have been changed to ribose thymines in the siRNA of duplex 2. In duplex 3 only the uracils in the antisense strand were changed to ribose thymines. The purpose of the present experiment was to determine which siRNAs would be effective in silencing the β -galactosidase mRNA.

25

1. Duplex 1

C.rT.A.C.A.C.A.A.A.rT.C.A.G.C.G.A.rT.rT.dT.dT (SEQ ID NO:1)

A.A.A.rT.C.G.C.rT.G.A.rT.rT.rT.G.rT.G.rT.A.G.dT.dT (SEQ ID NO:2)

30

2. Duplex 2

C.rT.A.C.A.C.A.A.A.rT.C.A.G.C.G.A.rT.rT.rT.dT.dT (SEQ ID NO:3)

5 A.A.A.U.C.G.C.U.G.A.U.U.U.G.U.G.U.A.G.dT.dT (SEQ ID NO:4)

3. Duplex 3

10

C.U.A.C.A.C.A.A.A.U.C.A.G.C.G.A.U.U.U.dT.dT (SEQ ID NO:5)

A.A.A.rT.C.G.C.rT.G.A.rT.rT.rT.G.rT.G.rT.A.G.dT.dT (SEQ ID NO:6)

15

4. Duplex 4

20 C.U.A.C.A.C.A.A.A.U.C.A.G.C.G.A.U.U.U.dT.dT (SEQ ID NO:7)

A.A.A.U.C.G.C.U.G.A.U.U.U.G.U.G.U.A.G.dT.dT (SEQ ID NO:8)

Procedure

25 **β-Gal activity assay protocol for 9LacZR cells:**

9lacZ/R cells were seeded in 6-well collagen-coated plates with 5×10^5 cells/well (2 mls total per well) and cultured with DMEM/high glucose media at 37° C and 5% CO₂ overnight.

30 Preparation for transfection: 250μl of Opti-MEM media without serum was mixed with 5μl of 20pmol/μl siRNA and 5μl of Lipofectamine is mixed with another 250μl Opti-MEM media. After both mixtures were allowed to equilibrate for 5min, tubes were then mixed and left at room temperature for 20 min to form transfection complexes. During this time, complete media was aspirated from 6 well plates and cells were washed with incomplete
35 Opti-MEM. 500 μl of transfection mixture were applied to wells and cells were left at 37°C for 4hrs. To ensure adequate coverage cells were gently shaken or rocked during this incubation.

After 4hr incubation, the transfection media was washed once with complete DMEM/high glucose media and then replaced with the same media. The cells were then incubated for 48hrs at 37°, 5% CO₂.

5 **β -Galactosidase assay (Invitrogene assay kit)**

Transfected cells were washed with PBS, then harvested with 0.5mls of trypsin/EDTA. Once the cells were detached, 1ml of complete DMEM/high glucose was added per well and the samples were transferred to microfuge tubes. The samples were then spun at 250 x g for 5 minutes and the supernatant was then removed. The cells were resuspended in 50 μ l of 1x lysis buffer at 4°C. The samples were then freeze-thawed with dry ice and a 37° water bath 2 times. After freeze-thawing, the samples were centrifuged for 5 minutes at 4°C and the supernatant was transferred to a new microcentrifuge tube.

For each sample, 1.5 and 10 μ l of lysate were transferred to a fresh tube and made up each sample to a final volume of 30 μ l with sterile water. Add 70 μ l of ONPG and 200 μ l of 1x cleavage buffer with β -mercaptoethanol and mixed briefly, then incubated samples for 30 min. at 37° C. After incubation, add 500 μ l of stop buffer for a final of 800 μ l. Samples were then read in disposable cuvettes at 420nm.

20 **Protein**

Protein concentration was determined by BCA method.

Results

25

All of the siRNA were effective in silencing the β -galactosidase mRNA.

Example 3

Stability of siRNA in Rat Plasma

30

Purpose

The purpose of this experiment was to determine how stable the siRNAs of Example 2 were to the ribonucleases present in rat plasma.

35

A 20 μ g aliquot of each siRNA duplex of example 2 was mixed with 200 μ l of fresh rat plasma incubated at 37 °C. At various time points (0, 30, 60 and 20 min), 50 μ l of the mixture was taken out and immediately extracted by phenol:chloroform. SiRNAs were dried following precipitation by adding 2.5 volume of isopropanol alcohol and subsequent washing

step with 70% ethanol. After dissolving in water and gel loading buffer the samples were analyzed on 20% polyacrylamide gel, containing 7 M urea and visualized by ethidium bromide staining and quantitated by densitometry.

5 Results

None of the siRNAs were stable in the rat plasma except for the siRNA of duplex 1 in which all of the ribose uracils were changed to ribose thymines. This is shown in Figure 1 which is an SDA PAGE gel of the each of the constructs after treatment with rat plasma.

10 Stability studies of double strand modified (rT/rT; A), single strand modified (U/rT and rT/U, A and B) and non-modified (siRNA, B). Double strand modified siRNA is significantly stable than single strand modified siRNAs and non modified siRNA. It is also noticed that the mobility of the modified double strand siRNA is slower than regular siRNA.

15 Thus, it has been unexpectedly and surprisingly discovered that an siRNA in which all of the uridines have been changed to 5-methyluridine (ribothymidine) have been changed to results in an unexpectedly stable double-stranded RNA.

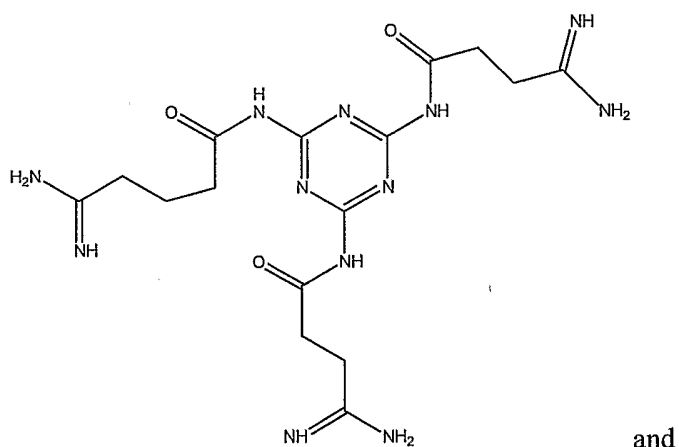
20 The teachings of all of references cited herein including patents, patent applications and journal articles are incorporated herein in their entirety by reference.

WHAT IS CLAIMED IS:

1. A double-stranded (ds) nucleic acid complexed to a compound capable of complexing two or more ds nucleic acids.
- 5 2. The ds nucleic acid of claim 1 wherein the ds complex is further complexed with a polyarginine polypeptide.
3. The ds nucleic acid complex of claim 2 wherein the arginine residues present in the polypeptide are a mixture of D and L optical isomers of arginine.
- 10 4. The ds nucleic acid complex of claim 3 wherein the polyarginine is comprised of alternating D and L optical isomers of arginine.
- 15 5. The ds nucleic acid complex of Claim 2 wherein another moiety is attached to either end of the polyarginine.
6. The ds nucleic acid of Claim 5 wherein the moiety is either a carbohydrate moiety or a polypeptide moiety.
- 20 7. The ds nucleic acid complex of Claim 6 wherein the carbohydrate is mannose or galactose.
8. The ds nucleic acid complex of Claim 6 wherein the polypeptide is the TAT sequence of the human immunodeficiency virus or a portion thereof.
- 25 9. The ds nucleic acid of claim 1 wherein the ds nucleic acid complex is further complexed with a polypeptide comprised amino acid residues wherein the amino acid residues are glutamine (Gln) and asparagine (Asn) residues.
- 30 10. The ds nucleic acid complex of claim 9 wherein the amino acid residues are a mixture of D and L optical isomers.
11. The ds nucleic acid complex of claim 10 wherein a D amino acid residue alternates with an L amino acid residue.
- 35 12. The ds nucleic acid complex of claim 11 wherein the Gln residues are all D optical isomers and the Asn residues are all L optical isomers.

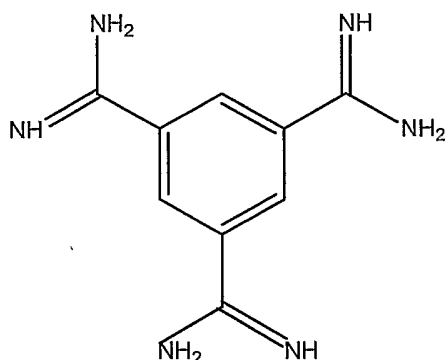
13. The ds nucleic acid complex of claim 11 wherein the Gln residues are all L optical isomers and the Asn residues are all D optical isomers.
- 5 14. The ds nucleic acid complex of claim 1 wherein the compound complexes with the ds nucleic acid at a phosphate group of the ds nucleic acid.
15. The ds nucleic complex of claim 1 wherein the compound is able to complex with at least three ds nucleic acids.
- 10 16. The ds nucleic acid complex of claim 15 wherein the compound is a melamine derivative.
17. The ds nucleic acid complex of claim 16 wherein the melamine derivative is selected from the group consisting of:
- 15

Formula I



20

Formula II



5

18. The ds nucleic acid complex of claim 17 wherein the ds nucleic acid is a ds ribonucleic acid (RNA) having a sense strand and an anti-sense strand.

10 19. The ds nucleic acid complex of claim 18 wherein the anti-sense strand can hybridize to an mRNA present within a cell of interest.

20. The ds RNA complex of claim 19 wherein each ds RNA has 30 or fewer nucleotide pairs.

15 21. The ds RNA complex of claim 20 wherein each ds RNA complexes with 1 – 10 molecules of the melamine derivative.

22. The ds RNA complex of claim 19 wherein each ds RNA has 20 – 25 nucleotide pairs.

20 23. The ds RNA complex of claim 22 wherein each ds RNA is complexed with 1 – 7 molecules of the melamine derivative.

24. The ds nucleic acid complex of claim 15 wherein the ds RNA complex is further complexed with a polyarginine polypeptide.

25

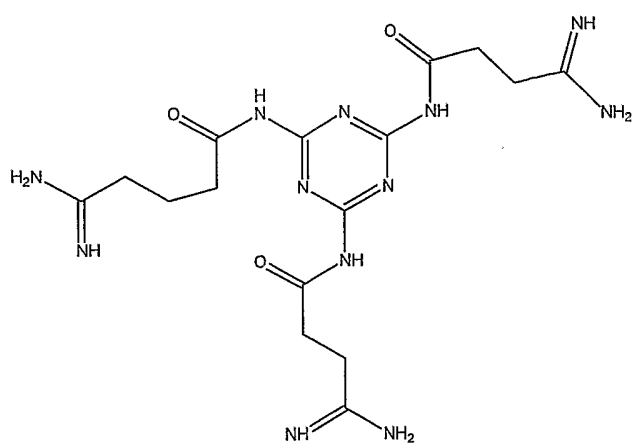
25. The ds nucleic acid complex of claim 24 wherein arginine residues present in the polypeptide are a mixture of D and L optical isomers of arginine.

30 26. The ds nucleic acid complex of claim 25 wherein the polyarginine is comprised of alternating D and L optical isomers of arginine.

27. The ds nucleic acid complex of claim 26 wherein an additional moiety is bonded to at least one of the polyarginine, wherein the additional moiety is selected from the group consisting a carbohydrate moiety or a polypeptide.
- 5 28. The ds nucleic acid of claim 15 wherein the ds nucleic acid complex is further complexed with a polypeptide comprised of amino acid residues wherein the amino acid residues are glutamine (Gln) and asparagine (Asn) residues.
29. The ds nucleic acid complex of claim 28 wherein the amino acid residues are a mixture
10 of D and L optical isomers.
30. The ds nucleic acid complex of claim 29 where a D amino acid residue alternates with an L amino acid residue.
- 15 31. The ds nucleic acid complex of claim 30 wherein the Gln residues are all L optical isomers and the Asn residues are all D optical isomers.
32. The ds nucleic acid complex of claim 30 wherein the Gln residues are all D optical isomers and the Asn residues are all L optical isomers.
- 20 33. A double-stranded (ds) nucleic acid complexed to a compound capable of complexing two or more ds nucleic acids to form a ds nucleic complex, wherein the ds nucleic acid complex is further complexed with a polyarginine.
- 25 34. The ds nucleic acid complex of claim 33 wherein arginine residues present in the polypeptide are a mixture of D and L optical isomers of arginine.
35. The ds nucleic acid complex of claim 34 wherein the polyarginine is comprised of alternating D and L optical isomers of arginine.
- 30 36. The ds nucleic acid complex of Claim 33 wherein another moiety is attached to the polyarginine.
37. The ds nucleic acid of Claim 36 wherein the moiety attached to the polyarginine is either
35 a carbohydrate moiety or a polypeptide moiety.
38. The ds nucleic acid complex of Claim 37 wherein the carbohydrate is mannose or galactose.

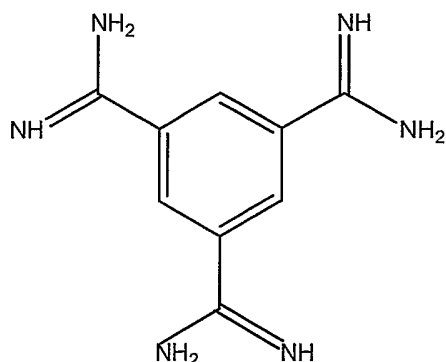
39. The ds nucleic acid complex of Claim 37 wherein the polypeptide is the TAT sequence of the human immunodeficiency virus or a portion thereof.
- 5 40. The ds nucleic acid of claim 33 wherein the ds nucleic acid complex is further complexed with a polypeptide comprised amino acid residues wherein the amino acid residues are glutamine (Gln) and asparagine (Asn) residues.
- 10 41. The ds nucleic acid complex of claim 40 wherein the amino acid residues are a mixture of D and L optical isomers.
42. The ds nucleic acid complex of claim 41 wherein a D amino acid residue alternates with an L amino acid residue.
- 15 43. The ds nucleic acid complex of claim 42 wherein the Gln residues are all D optical isomers and the Asn residues are all L optical isomers.
44. The ds nucleic acid complex of claim 42 wherein the Gln residues are all L optical isomers and the Asn residues are all D optical isomers.
- 20 45. The ds nucleic acid complex of claim 33 wherein the compound complexes with the ds nucleic acid at a phosphate group of the ds nucleic acid.
46. The ds nucleic complex of claim 33 wherein the compound is able to complex with at least three ds nucleic acids.
- 25 47. The ds nucleic acid complex of claim 45 wherein the compound is a melamine derivative.
- 30 48. The ds nucleic acid complex of claim 47 wherein the melamine derivative is selected from the group consisting of:

Formula I



and

Formula II



5

49. The ds nucleic acid complex of claim 48 wherein the ds nucleic acid is a ds ribonucleic acid (RNA) having a sense strand and an anti-sense strand.

50. The ds nucleic acid complex of claim 49 wherein the anti-sense strand can hybridize to
10 an mRNA present within a cell of interest.

51. The ds RNA complex of claim 50 wherein each ds RNA has 30 or fewer nucleotide pairs.

15 52. The ds RNA complex of claim 51 wherein each ds RNA complexes with 1 – 10 molecules of the melamine derivative.

53. The ds RNA complex of claim 50 wherein each ds RNA has 20 – 25 nucleotide pairs.

20 54. The ds RNA complex of claim 53 wherein each ds RNA is complexed with 1 – 7 molecules of the melamine derivative.

55. The ds nucleic acid of claim 51 wherein the ds nucleic acid complex is further
complexed with a polypeptide comprised of amino acid residues wherein the amino acid
25 residues are glutamine (Gln) and asparagine (Asn) residues.

56. The ds nucleic acid complex of claim 55 wherein the amino acid residues are a mixture of D and L optical isomers.

30 57. The ds nucleic acid complex of claim 56 where a D amino acid residue alternates with an L amino acid residue.

58. The ds nucleic acid complex of claim 57 wherein the Gln residues are all L optical isomers and the Asn residues are all D optical isomers.

5 59. The ds nucleic acid complex of claim 57 wherein the Gln residues are all D optical isomers and the Asn residues are all L optical isomers.

60. A double-stranded (ds) nucleic acid complexed to a compound capable of complexing two or more ds nucleic acids to form a ds nucleic acid complex wherein the ds nucleic acid
10 complex is further complexed with a polypeptide comprised amino acid residues wherein the amino acid residues are glutamine (Gln) and asparagine (Asn) residues.

61. The ds nucleic acid complex of claim 60 wherein the amino acid residues are a mixture of D and L optical isomers.

15 62. The ds nucleic acid complex of claim 61 wherein a D amino acid residue alternates with an L amino acid residue.

63. The ds nucleic acid complex of claim 62 wherein the Gln residues are all D optical
20 isomers and the Asn residues are all L optical isomers.

64 The ds nucleic acid complex of claim 62 wherein the Gln residues are all L optical isomers and the Asn residues are all D optical isomers.

25 65. The ds nucleic acid of claim 60 wherein the ds complex is further complexed with a polyarginine polypeptide.

66. The ds nucleic acid complex of claim 65 wherein arginine residues present in the polypeptide are a mixture of D and L optical isomers of arginine.

30 67. The ds nucleic acid complex of claim 66 wherein the polyarginine is comprised of alternating D and L optical isomers of arginine.

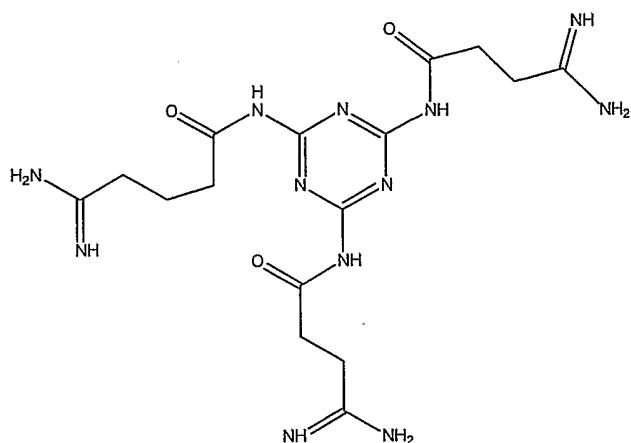
68. The ds nucleic acid complex of Claim 65 wherein another moiety is attached to either
35 end of the polyarginine.

69. The ds nucleic acid of Claim 68 wherein the moiety is either a carbohydrate moiety or a polypeptide moiety.

70. The ds nucleic acid complex of Claim 69 wherein the carbohydrate is mannose or galactose.
- 5 71. The ds nucleic acid complex of Claim 69 wherein the polypeptide is the TAT sequence of the human immunodeficiency virus or a portion thereof.
72. The ds nucleic acid complex of claim 60 wherein the compound complexes with the ds nucleic acid at a phosphate group of the ds nucleic acid.
- 10 73. The ds nucleic complex of claim 60 wherein the compound is able to complex with at least three ds nucleic acids.
74. The ds nucleic acid complex of claim 73 wherein the compound is a melamine
- 15 derivative.

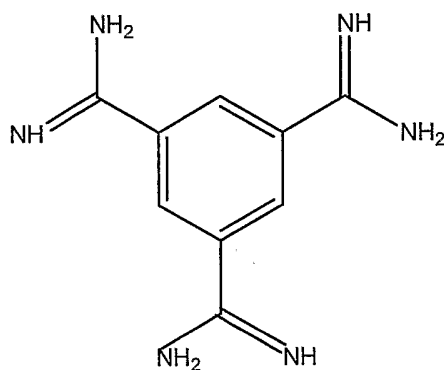
75. The ds nucleic acid complex of claim 74 wherein the melamine derivative is selected from the group consisting of:

Formula I



and

Formula II



76. The ds nucleic acid complex of claim 75 wherein the ds nucleic acid is a ds ribonucleic acid (RNA) having a sense strand and an anti-sense strand.

77. The ds nucleic acid complex of claim 76 wherein the anti-sense strand can hybridize to an mRNA present within a cell of interest.

78. The ds RNA complex of claim 77 wherein each ds RNA has 30 or fewer nucleotide pairs.
79. The ds RNA complex of claim 78 wherein each ds RNA complexes with 1 – 10
5 molecules of the melamine derivative.
80. The ds RNA complex of claim 77 wherein each ds RNA has 20 – 25 nucleotide pairs.
81. The ds RNA complex of claim 80 wherein each ds RNA is complexed with 1 – 7
10 molecules of the melamine derivative.
82. The ds nucleic acid complex of claim 78 wherein the ds RNA complex is further complexed with a polyarginine polypeptide.
- 15 83. The ds nucleic acid complex of claim 75 the wherein arginine residues present in the polypeptide are a mixture of D and L optical isomers of arginine.
84. The ds nucleic acid complex of claim 83 wherein the polyarginine is comprised of alternating D and L optical isomers of arginine.
20
85. The ds nucleic acid complex of claim 82 wherein an additional moiety is bonded to the polyarginine, wherein the additional moiety is selected from the group consisting a carbohydrate moiety or a polypeptide.
- 25 86. A double-stranded ribonucleic acid (dsRNA) having a sense strand and an antisense wherein all of the uridines present are changed to a 5-methyluridine (ribothymidine).
87. The dsRNA of claim 86 wherein the dsRNA has 15 – 30 base pairs.

30

FIGURE 1 A

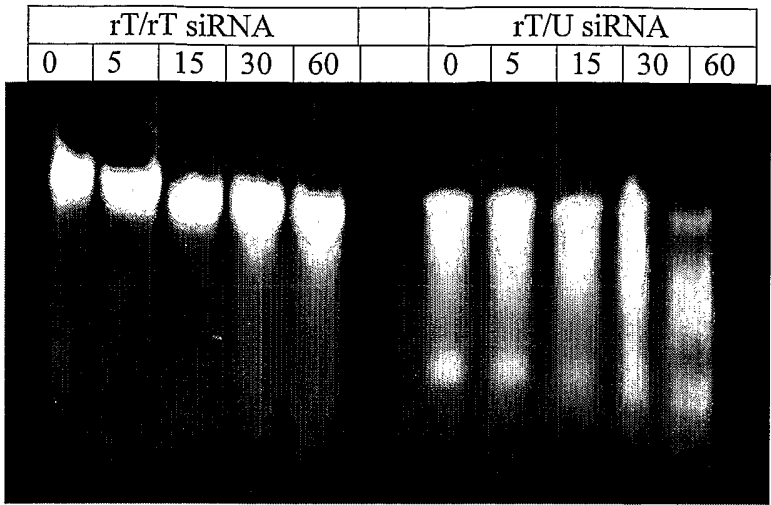
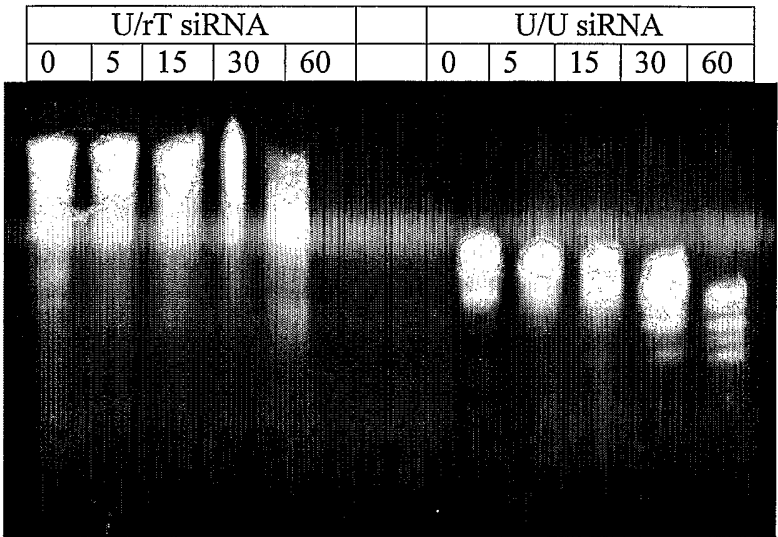


FIGURE 1 B



SEQLIST.TXT

SEQUENCE LISTING

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Cui, Kunyuan
Dattilo, James W.

<120> Nanoparticles for Delivery of Nucleic
Acids and Stable Double-Stranded RNA

<130> 03-10PCT

<150> 60/497,740

<151> 2003-08-25

<160> 22

<170> FastSEQ for Windows Version 4.0

<210> 1

<211> 21

<212> RNA

<213> Artificial Sequence

<220>

<223> The last two Ts are dTs.

<400> 1

ctacacaaat cagcgatttt t

21

<210> 2

<211> 21

<212> RNA

<213> Artificial Sequence

<220>

<223> The last two Ts are dTs.

<400> 2

aaatcgctga tttgtgtagt t

21

<210> 3

<211> 21

<212> RNA

<213> Artificial Sequence

<220>

<223> The last two Ts are dTs.

<400> 3

ctacacaaat cagcgatttt t

21

<210> 4

<211> 21

<212> RNA

<213> Artificial Sequence

<220>

<223> The last two Ts are dTs

<400> 4

SEQLIST.TXT

aaaucgcuga uuuguguagt t 21

<210> 5
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <223> siRNA against GalB including two dTs.

<400> 5
 cuacacaaaau cagcgauuut t 21

<210> 6
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <223> siRNA against GalB iin which all of the Ts are rTs
 except the last two Ts are dTs.

<400> 6
 aaatcgctga tttgtgtagt t 21

<210> 7
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <223> siRNA against GalB including two dTs.

<400> 7
 cuacacaaaau cagcgauuut t 21

<210> 8
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <223> siRNA against GalB including two dTs.

<400> 8
 aaaucgcuga uuuguguagt t 21

<210> 9
 <211> 20
 <212> RNA
 <213> Artificial Sequence

<220>
 <221> modified_base
 <222> 4,5,6,11, 18
 <223> t
 The 'ts' at positions 19 and 20 are thymidines.

<223> This is the same as the human sequence except that
 the uridines have been changed to ribothymidines
 and the last two nucleotides are thymidines.

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<400> 9
gcatttggca taagaaattt 20

<210> 10
<211> 20
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 2,3,4,5,7,8,10,17
<223> t
The 'ts' at positions 18 and 19 are thymidines.

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyridine
and two thymidines have been added at positions 19
and 20.

<400> 10
attttcttat gccaaatctt 20

<210> 11
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 3,6,9,11,15,18
<223> t
The 'ts' at positions 20 and 21 are thymines

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyridine
and two thymidines have been added at positions 20
and 21.

<400> 11
cctgctgcta tgcctcatct t 21

<210> 12
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 3,10
<223> t
The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyridine
and two thymidines have been added at positions 20
and 21.

<400> 12
gatgaggcat agcagcaggt t 21

<210> 13
<211> 21

SEQLIST.TXT

```

<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 1,2,3
<223> t
    The 'ts' are positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
    the uridines have been changed to 5-methyuridine
    and two thymidines have been added at positions 20
    and 21.

<400> 13
tttggaagg accagcaaat t
21

<210> 14
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 1,2,3,6,9,12,13,14
<223> t
    The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
    the uridines have been changed to 5-methyuridine
    and two thymidines have been added at positions 20
    and 21.

<400> 14
tttgctggtc ctttccaaat t
21

<210> 15
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 6,14
<223> t
    The 'ts' at positions 20 and 21 are thymidines

<223> This is the same as a human sequence except that
    the uridines have been changed to 5-methyuridine
    and two thymidines have been added at positions 20
    and 21.

<400> 15
caccctgaca agctgccagt t
21

<210> 16
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base

```

SEQLIST.TXT

<222> 2,9,10,12,18
 <223> t
 The 'ts' at positions 20 and 21 are thymidines

<223> This is the same as a human sequence except that
 the uridines have been changed to 5-methyuridine
 and two thymidines have been added at positions 20
 and 21.

<400> 16
 ctggcagctt gtcagggtgt t 21

<210> 17
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <221> modified_base
 <222> 1,6,7,8,13,16
 <223> t
 The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
 the uridines have been changed to 5-methyuridine
 and two thymidines have been added at positions 20
 and 21.

<400> 17
 tgcactttgg agtgatcggt t 21

<210> 18
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <221> modified_base
 <222> 5,9,16
 <223> t
 The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
 the uridines have been changed to 5-methyuridine
 and two thymidines have been added at positions 20
 and 21.

<400> 18
 ccgatcactc caaagtgcatt t 21

<210> 19
 <211> 21
 <212> RNA
 <213> Artificial Sequence

<220>
 <221> modified_base
 <222> 4
 <223> t
 The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that

SEQLIST.TXT

the uridines have been changed to 5-methyuridine
and two thymidines have been added at positions 20
and 21.

```

<400> 19
gagtcccgagg aagccccagt t                                21

<210> 20
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 2,8,9,18
<223> t
The 'ts' at positions 20 and 21 are thymidine

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyuridine
and two thymidines have been added at positions 20
and 21.

<400> 20
ctggggcttc ccgggactct t                                21

<210> 21
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 10,13,14,16,
<223> t
The 'ts' at positions 20 and 21 are thymidines.

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyuridine
and two thymidines have been added at positions 20
and 21.

<400> 21
aaaggaacct acttgtagat t                                21

<210> 22
<211> 21
<212> RNA
<213> Artificial Sequence

<220>
<221> modified_base
<222> 1,3,9,13,14,17,18
<223> t
The 'ts' at positions 20 and 21 are thymidines

<223> This is the same as a human sequence except that
the uridines have been changed to 5-methyuridine
and two thymidines have been added at positions 20
and 21.

<400> 22

```

tgtacaagta gggttcctttt t

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21