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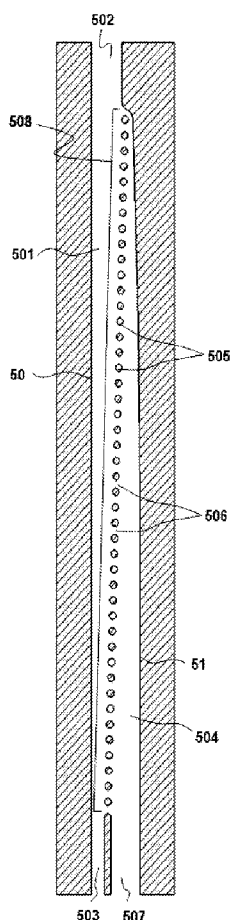
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[Continued on next page]

(54) Title: A SYSTEM AND METHOD FOR PARTICLE FILTRATION

Fig. 5A



(57) Abstract: Embodiments of the present disclosure feature a filtration system comprising a filtration module for particle filtration and methods of using the device for the isolation of particles (e.g., viable cells). Advantageously, embodiments of the device provide for the high throughput filtration of large volumes of sample while preserving cell viability and providing high yields.

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A SYSTEM AND METHOD FOR PARTICLE FILTRATION

BACKGROUND

Methods for separating cells from biological samples are important to many clinical
5 procedures and to scientific research methods. In cord blood banking, umbilical cord blood
may be volume reduced using a cell separation process before entering cryopreservation to
reduce the long term storage cost. In cellular therapy, certain cell types may be enriched
before transfusing into a patient to increase engraftment. Current filtration technologies for
cell separation often fail to preserve cell viability and typically have low yields. For
10 example, cell separation techniques that rely on size exclusion subject fragile cells to shear
stress causing cell damage or lysis. The accumulation of cellular debris accelerates device
fouling and clogging. Often cells isolated using such methods are activated, altered,
damaged, or killed. Microfluidic devices are limited by the volume of sample that they can
process. Simply increasing flow rate through such devices is unsuccessful because as flow
15 rate increases, the shear stress of cell moving through the device also increases. Thus, shear
stress limits the volumetric throughput. It is thus desirable to provide a method and device
for particle filtration that does not use size exclusion as the filtration mechanism. In
particular, it is desirable to provide a method and device for cell filtration that does not easily
clog, that has high volumetric throughput, that is physically compact, and that does not
20 damage or activate the cells.

SUMMARY

As described below, the present disclosure features a device for particle filtration and
methods of using the device for the enrichment of viable cells. In particular, the present
25 disclosure features the use of such devices for isolation of blood cell types, volume reduction
of umbilical cord bloods, and preparation of stromal vascular fractions.

Advantageously, the device may provide for the high throughput filtration of large
volumes of sample while preserving cell viability and providing high yields. Some
embodiments of the present disclosure may comprise devices suitable for automation and
30 high throughput processing, and some embodiments of the present disclosure may comprise
systems that enable processing clinical samples in closed systems. Further, the method for
using the device may provide for high performance, high recovery, and in some cases high
purity. In addition, the method for using the device as applied to clinical sample processing,
e.g. cord blood volume reduction, bone marrow stem cell enrichment, peripheral blood stem

cell processing, and stromal vascular fraction preparation, may provide for maintaining a high degree of post-separation cell viability, ease of use, safety, and cost efficiency.

In one embodiment, the disclosure provides a particle filtration device that provides for the high-throughput separation of viable cells. Because the particle filtration device provides for particle separation with minimal shear force at least about 50%, 75%, 85%, 95%, 98%, 99%, 99.5% or more of the separated cells are viable and suitable for research and medical use. In various embodiments, the filtration system features one or more containers suitable for holding a sample and/or carrier fluid for delivery to one or more filter unit devices, and one or more additional containers suitable for holding retentate or filtrate flowing out of the device. In one embodiment, the containers are flexible bags suitable for holding liquids. In another embodiment, the containers are connected to the filter unit by flexible tubing suitable for carrying fluids. If desired, the tubing is connected to the container and/or filter unit housing by an adapter.

Aspects and embodiments of the disclosure are directed to a system for particle filtration containing a cartridge containing a housing and a plurality (for example, about 5, 10, 15, 20, 25, 30, 35, 40, 50, 75, 100, 200, 250, 500, 750, 1,000, 2,000, or 5,000) of filtration units, where the housing contains a feed sample inlet, a retentate outlet, and a filtrate outlet; and each filtration unit contains a retentate chamber having proximal and distal ends, a filtrate chamber, and a row of pillars positioned between the retentate chamber and the filtrate chamber, the pillars defining a plurality of pores permitting fluid communication between the retentate chamber and the filtrate chamber, where the width of the retentate chamber decreases from the proximal end to the distal end, the width of the filtrate chamber increases from the proximal end to the distal end, and the filtration unit is configured such that the effective pore size of the pores is smaller than, for example, about 30%, 50%, 60%, 70%, 80%, 90%, 95%, or 98% of the physical pore size of the pores; the feed sample inlet is in fluid connection with the proximal end of the retentate chamber present in each filtration unit; the filtrate outlet is in fluid connection with the filtrate chamber present in each filtration unit; and the retentate outlet is in fluid connection with the distal end of the retentate chamber present in each of the plurality of filtration units.

In another aspect, the disclosure provides a system for particle filtration containing a cartridge comprising a housing and a plurality of filtration units, where the housing contains a feed sample inlet, a retentate outlet, and a filtrate outlet; and each filtration unit contains a retentate chamber having proximal and distal ends, a filtrate chamber containing at least one distal end, and a filter containing a plurality of pores positioned between the retentate

chamber and the filtrate chamber, the pores permitting fluid communication between the retentate chamber and the filtrate chamber, where the filtrate chamber, the filter and the retentate chamber are configured such that the effective pore size of the pores is smaller than the physical pore size of the pores; the feed sample inlet is in fluid connection with the proximal end of the retentate chamber present in each filtration unit; the filtrate outlet is in fluid connection with the filtrate chamber present in each filtration unit; and the retentate outlet is in fluid connection with the distal end of the retentate chamber present in each of the plurality of filtration units.

In another aspect, the disclosure provides a system for particle filtration containing a cartridge containing a housing and a plurality of filtration units, where the housing contains a feed sample inlet, a retentate outlet, and a filtrate outlet; and each filtration unit contains a first flow chamber, a second flow chamber, and a filter containing about 3, 5, 6, 7, 8, 9, 10, 15, 20, 25, 30, 40, 50, 100, 200, 250, 300, 500, 1,000, 2,000, 5,000 or more pores having a physical pore size between about 100 nm and about 3 mm (for example, about 100 nm, 200 nm, 300 nm, 400 nm, 500 nm, 750 nm, 1 μm , 2 μm , 3 μm , 5 μm , 7.5 μm , 10 μm , 20 μm , 30 μm , 50 μm , 75 μm , 100 μm , 200 μm , 300 μm , 500 μm , 1 mm, 2 mm, or 3 mm) where the filter is disposed between the first flow chamber and the second flow chamber; and the first flow chamber and second flow chamber are configured such that the retentate particles are retained by the filter without physical restriction; the feed sample inlet is in fluid connection with the proximal end of the first flow chamber present in each filtration unit; the filtrate outlet is in fluid connection with the distal end of the second chamber present in each filtration unit; and the retentate outlet is in fluid connection with the distal end of the first flow chamber present in each of the plurality of filtration units.

In another aspect, the disclosure provides a system for particle filtration containing a cartridge containing a housing and a plurality of filtration units, where the housing contains a feed sample inlet, a retentate outlet, and a filtrate outlet; and each filtration unit contains a first flow chamber having proximal and distal ends, a second flow chamber, and a filter disposed between the first flow chamber and the second flow chamber containing pores having a physical pore size between about 10 nm and 10 mm, where the first flow chamber and second flow chamber are configured such that the retention size of the filter is smaller than the physical pore size; the feed sample inlet is in fluid connection with the proximal end of the first flow chamber present in each filtration unit; the filtrate outlet is in fluid connection with the distal end of the second flow chamber present in each filtration unit; and

the retentate outlet is in fluid connection with the distal end of the first flow chamber present in each of the plurality of filtration units.

In another aspect, the disclosure provides a system for particle filtration containing a cartridge containing a housing and a plurality of filtration units, where the housing contains a feed sample inlet, a retentate outlet, a filtrate outlet, and optionally a carrier fluid inlet. Each
5 filtration unit may include a first input port, a first output port, a second output port, and optionally a second input port in fluid connection with the carrier fluid inlet. Each filtration unit may have a design efficiency index of greater than about 0.3 mm^{-2} . The feed sample inlet may be in fluid connection with the first input port present in each filtration unit. The
10 filtrate outlet may be in fluid communication with the first output port present in each filtration unit. The retentate outlet may be in fluid connection with the second output port present in each of the plurality of filtration units.

In another aspect, the disclosure provides a tube filter system containing a centrifuge tube, a tube insert, and a cap, where the tube insert contains at least one filtration unit
15 according to any of the previous aspects, a feed sample reservoir and optionally a carrier fluid reservoir, each of which is in fluid connection with the first flow chamber or the proximal end of the retentate chamber, and an output reservoir in fluid communication with the distal end of the retentate chamber or the second flow chamber, where the output reservoir is adapted to receive the retentate or filtrate from the filtration unit.

In another aspect, the disclosure provides a plate filter system containing one or more
20 of a sample well and optionally a carrier fluid well in fluid connection with a filtration unit according to any previous aspect or any other aspect of the disclosure delineated herein; and a filtrate well and a retentate well in fluid connection with the filtration unit, where the filtrate well and a retentate well are configured to receive filtrate and retentate from the filtration
25 unit.

In another aspect, the disclosure provides a plate filter system containing one or more
of a sample well and optionally a carrier fluid well in fluid connection with a filtration unit according to any previous aspect or any other aspect of the disclosure delineated herein; and a filtrate well and a retentate well, where the filtrate well and a retentate well are configured to
30 receive filtrate and retentate from the filtration unit. In one embodiment, the filtrate well or the retentate well is not on the same plate as the sample well.

In various embodiments of any of the above aspects or any other aspect of the disclosure delineated herein the feed sample inlet has a proximal end connected to an adaptor via a tubing line, the retentate outlet is connected to a retentate collection bag via a tubing

line, and the filtrate outlet is connected to a filtrate collection bag via a tubing line. In other embodiments of the above aspects, the feed sample inlet is connected to a sample collection bag having proximal and distal ends, where the proximal end contains a membrane adapted to receive a needle and the distal end contains a port where an adaptor can be attached. In other
5 embodiments of the above aspects, the feed sample inlet has a proximal end connected to a sample collection bag via a tubing line, the retentate outlet is connected to a retentate collection bag via a tubing line, and the filtrate outlet is connected to a filtrate collection bag via a tubing line. In still other embodiments of the above aspects, the sample collection bag contains a needle for drawing sample into the sample collection bag.

10 Compositions and articles defined by the disclosure were isolated or otherwise manufactured in connection with the examples provided below. Other features and advantages of the disclosure will be apparent from the detailed description, and from the claims.

In accordance with an aspect of the present disclosure, there is provided a filtration
15 device. The filtration device comprises a first flow chamber. The first flow chamber includes at least one inlet configured to receive a feed comprising particles and a fluid, and at least one retentate outlet. The filtration device comprises a second flow chamber including a distal end having at least one filtrate outlet, and a filter positioned between the first flow chamber and the second flow chamber. The filter includes a first row of pillars and a
20 plurality of pores defined by spacings between adjacent pillars. Each pore of the plurality of pores includes a physical pore size defined by a distance between the adjacent pillars which define the pore and an effective pore size smaller than the physical pore size. The filtration device further comprises means for moving the feed through the filtration device. The first flow chamber, the second flow chamber, the filter, and the means for moving the feed
25 through the filtration device are configured to retain a substantial fraction of particles having a size greater than the effective pore sizes of the pores and smaller than the physical pore sizes of the pores as retentate in the first flow chamber, and pass a substantial fraction of the fluid as filtrate into the second flow chamber.

In accordance with some embodiments, the first flow chamber comprises a first
30 substantially constant depth. In accordance with some embodiments, the second flow chamber comprises a second substantially constant depth. In accordance with some embodiments, a distance between the filter and a sidewall of the first flow chamber decreases along a length from the at least one inlet to the at least one retentate outlet. In accordance with some embodiments, a distance between the filter and a sidewall of the second flow

chamber increases along a length from a proximal end of the second flow chamber to the distal end.

In accordance with some embodiments, an angle between a line tangent to a sidewall of the second flow chamber and a line tangent to the row of pillars is less than about 5
5 degrees.

In accordance with some embodiments, a subset of the pores has substantially identical physical pore sizes.

In accordance with some embodiments, a subset of the pores has substantially identical effective pore sizes.

10 In accordance with some embodiments, the first row of pillars comprises more than about 10 percent of all the pillars present in the filtration device.

In accordance with some embodiments, the filtration device of claim has a device length defined by the greater of a length of the first flow chamber and a length of the second flow chamber and a device width defined by a sum of a width of the first flow chamber and a
15 width of the second flow chamber at a point of the greatest sum of a width of the first flow chamber and a width of the second flow chamber, the device length to the device width having a ratio of more than about 6.

In accordance with some embodiments, each pore has an effective pore size that is less than about 80 percent of the physical pore size of the pore.

20 In accordance with some embodiments, the first chamber comprises at least one carrier fluid inlet distinct from the at least one inlet.

In accordance with some embodiments, the at least one carrier fluid comprises at least one of nucleic acid stains, fixatives, freezing solutions, alkylating agents, antibodies, magnetic beads, enzymes, collagenase, lipase, DNase, substrates of certain enzymes, active
25 derivatives of cyclophosphamide, growth factors, detergents, and lysis solutions.

In accordance with some embodiments, each of the first flow chamber and the filter are free of any leading edge having a radius of curvature smaller than about 1 μm , in a flow path through the device.

In accordance with some embodiments, a first subset of the pores has a different
30 effective pore size than a second subset of the pores. In some embodiments, the at least one filtrate outlet of the second flow chamber is configured to collect the filtrate passed through the first subset of pores and wherein the second flow chamber comprises a second filtrate outlet configured to collect filtrate passed through the second subset of pores.

In accordance with some embodiments, the filtration device further comprises a second filter and a third flow chamber. The second filter may be disposed between the first flow chamber and the third flow chamber. The third flow chamber may include a proximal end and a distal end, the distal end having at least one outlet. The third chamber may widen
5 along a length from the proximal end to distal end.

In accordance with some embodiments, the filtration device has a device length defined by a length of the first flow chamber and a device width defined by a sum of a width of the first flow chamber, a width of the second flow chamber and a width of the third flow chamber at a point of the greatest sum of a width of the first flow chamber, a width of the
10 second flow chamber and a width of the third flow chamber, the device length to the device width having a ratio of more than about 5.

In accordance with some embodiments, the filtration device has fewer than about 5,000 pillars.

In accordance with some embodiments, the first filter and the second filter comprise
15 more than about 15 percent of all pillars included in the filtration device.

In accordance with some embodiments, the filtration device is substantially symmetric about a mirror plane through a center line of the first flow chamber.

In accordance with some embodiments, a tangent line defined by the first row of pillars and a tangent line defined by the second row of pillars are non-parallel.

In accordance with some embodiments, the filtration device further comprises a
20 second filter, a third flow chamber, and a fourth flow chamber. The second filter may be disposed between the third flow chamber and the fourth flow chamber. The third flow chamber may comprise at least one inlet and at least one outlet. The fourth flow chamber may comprise at least one outlet.

In accordance with some embodiments, the at least one outlet of the third flow
25 chamber is configured to collect a retentate from the first filter. The third flow chamber may further comprise a second outlet distinct from the at least one outlet, wherein the second outlet of the third flow chamber is configured to collect a retentate from the second filter.

In accordance with some embodiments, the at least one outlet of the third flow
30 chamber is configured to collect a retentate from the first filter and a retentate from the second filter.

In accordance with some embodiments, the at least one outlet of the third flow chamber is configured to collect a retentate from the first filter and a retentate from the second filter. The third flow chamber may further comprises a second outlet distinct from the

at least one outlet, wherein the second outlet of the third flow chamber is configured to collect a filtrate from the first filter.

In accordance with some embodiments, the filtration device of claim has a device length defined by a sum of a length of the first flow chamber and a length of the third flow chamber and a device width defined by the greater of a sum of a width of the first flow chamber and a width of the second flow chamber at a point of the greatest sum of a width of the first flow chamber and a width of the second flow chamber and a sum of a width of the third flow chamber and a width of the fourth flow chamber at a point of the greatest sum of a width of the third flow chamber and a width of the fourth flow chamber, the device length to the device width having a ratio of more than about 10.

In accordance with some embodiments, the first filter and the second filter comprise no fewer than 10 percent of all pillars included in the filter device.

In accordance with some embodiments, the at least one inlet of the third flow chamber is in fluid connection with the at least one filtrate outlet of the second flow chamber.

In accordance with some embodiments, the at least one inlet of the third flow chamber is in fluid connection with the at least one retentate outlet of the first flow chamber.

In accordance with some embodiments, the at least one inlet of the third flow chamber is in fluid connection with the at least one outlet of the first flow chamber and with the at least one outlet of the second flow chamber.

In accordance with some embodiments, the third flow chamber further comprises at least one carrier fluid inlet distinct from the at least one inlet.

In accordance with some embodiments, the filtration device is configured to satisfy the "filtrate chamber expansion criterion."

In accordance with some embodiments, the filtration device is configured to satisfy the "minimum pore number criterion."

In accordance with some embodiments, the filtration device is configured to flow a fluid through each pore at a volumetric flow rate of less than about 3 percent of a volumetric flow rate at the proximal end of the first flow chamber.

In accordance with some embodiments, the filtration device is configured to flow a fluid through the first chamber at a substantially constant flow speed.

In accordance with some embodiments, the filtration device is configured to flow a fluid through the second chamber at a substantially constant flow speed.

In accordance with some embodiments, the filtration device is configured to flow a fluid through essentially all of the pores at a substantially identical flow rate.

In accordance with some embodiments, the pillars have egg-shaped cross sections.

In accordance with some embodiments, the filtration device further comprises a second filter, a third filter, a fourth filter, a third flow chamber, a fourth flow chamber, a fifth flow chamber, and a sixth flow chamber. The second filter may be disposed between the first flow chamber and the third flow chamber. The third filter may be disposed between the
5 fourth flow chamber and the fifth flow chamber. The fourth filter may be disposed between the fourth flow chamber and the sixth flow chamber. The third flow chamber may comprise a first end and at least one outlet. The third flow chamber may widen along a length from the first end of the third flow chamber towards the at least one outlet of the third flow chamber.
10 The fifth flow chamber may comprise a first end and at least one outlet. The fifth flow chamber may widen along a length from the first end of the fifth flow chamber towards the at least one outlet of the fifth flow chamber. The sixth flow chamber may comprise a first end and at least one outlet. The sixth flow chamber may widen along a length from the first end of the sixth flow chamber towards the at least one outlet of the sixth flow chamber. The
15 fourth flow chamber may comprise at least one inlet and at least one outlet. The at least one inlet of the fourth flow chamber may be in fluid connection with the at least one retentate outlet of the first flow chamber, the at least one filtrate outlet of the second flow chamber, and the at least one outlet of the third flow chamber.

In accordance with some embodiments, the filtration device further comprises a
20 second filter and a third flow chamber. The second filter may be disposed between the second flow chamber and the third flow chamber. The third flow chamber may comprise at least one inlet and at least one outlet.

In accordance with some embodiments, the device is substantially symmetric about a mirror plane through the first flow chamber and the fourth flow chamber.

25 In accordance with some embodiments, the fourth chamber further comprises a carrier fluid inlet distinct from the at least one inlet of the fourth flow chamber.

In accordance with another aspect of the present disclosure, there is provided a method for particle filtration. The method comprises providing a filtration device. The filtration device includes at least one filtration unit. Each filtration unit includes a first flow
30 chamber including a feed inlet and a retentate outlet, a second flow chamber including a filtrate outlet, and a filter including a plurality of pores having physical pore sizes, the filter being disposed between the first flow chamber and the second flow chamber. The method further comprises introducing a feed including a feed fluid and at least one population of particles having sizes smaller than the physical pore sizes immersed the feed fluid into the

device through the feed inlet, applying a driving force to drive the feed through the filtration device, passing the feed through the filtration device such that a substantial fraction of the particles of the at least one population are retained as retentate in the first flow chamber, and a substantial fraction of the feed fluid pass through the filter as filtrate into the second flow chamber, collecting the retentate at the retentate outlet, and collecting the filtrate at the filtrate outlet.

In accordance with some embodiments, providing a filtration device comprises providing a filtration device which includes more than 10 filtration units.

In accordance with some embodiments, introducing the feed into the device comprises introducing a liquid suspension of cells into the first flow chamber.

In accordance with some embodiments, the feed comprises viable cells, and the method further comprises separating cells from the feed, wherein at least about 90% of the viable cells remain viable after separation.

In accordance with some embodiments, the method further comprises separating the cells from the feed, and wherein less than about 0.03 percent of the cells are lysed by the filtration device.

In accordance with some embodiments, less than about 0.03% of the cells are trapped in the filtration device.

In accordance with some embodiments, passing the feed through the filtration device comprises passing more than 10^5 cells per second through the filtration device.

In accordance with some embodiments, passing the feed through the filtration device comprises passing more than 10^6 cells per second through the filtration device.

In accordance with some embodiments, passing the feed through the filtration device comprises passing more than 10^7 cells per second through the filtration device.

In accordance with some embodiments, providing the filtration device comprises providing a filtration device comprising at least one filtration unit having a hold up volume of smaller than 0.8 microliter.

In accordance with some embodiments, providing the filtration device comprises providing a filtration device having a footprint area and a substantially constant chamber depth, and wherein passing the feed through the filtration device comprises passing cells through the filtration device at a normalized processing speed, defined as the number of cells passing through the filtration device per second divided by the product of the substantially constant chamber depth and the footprint area, of greater than 10,000 cells per second per cubic millimeter.

In accordance with some embodiments, providing the filtration device comprises providing a filtration device having a footprint area and a substantially constant chamber depth, and wherein passing the feed through the filtration device comprises passing cells through the filtration device at a normalized processing speed, defined as the number of cells
5 passing through the filtration device per second divided by the product of the substantially constant chamber depth and the footprint area, of greater than 100,000 cells per second per cubic millimeter.

In accordance with some embodiments, providing the filtration device comprises providing a filtration device having a characteristic chamber depth, a footprint area, and a
10 filtration unit density, defined as the number of filtration modules included in the module divided by the product of the characteristic chamber depth and the footprint area, wherein the filtration unit density is greater than 400 filtration units per cubic centimeter.

In accordance with some embodiments, introducing the feed into the device comprises introducing a feed liquid including bone marrow into the first flow chamber.

15 In accordance with some embodiments, introducing the feed into the device comprises introducing a feed liquid including blood into the first flow chamber.

In accordance with some embodiments, introducing the feed comprises introducing a feed liquid including umbilical cord blood into the first flow chamber.

20 In accordance with some embodiments, introducing the feed comprises introducing a feed liquid including stem cells into the first flow chamber.

In accordance with some embodiments, introducing the feed comprises introducing a feed liquid including colony forming cells into the first flow chamber. In accordance with some embodiments, introducing the feed comprises introducing a feed liquid including immune cells into the first flow chamber.

25 In accordance with some embodiments, introducing the feed into the device comprises introducing amniotic fluid into the first flow chamber.

In accordance with some embodiments, introducing the feed into the device comprises introducing digested adipose tissue into the first flow chamber.

30 In accordance with some embodiments, introducing the feed into the device comprises introducing one of cells, blood cells, cord blood cells, bone marrow cells, erythrocytes, leukocytes, lymphocytes, epithelial cells, stem cells, cancer cells, tumor cells, circulating tumor cells, progenitor cells, cell precursors, cord blood stem cells, hematopoietic stem cells, mesenchymal stem cells, adipose stem cells, pluripotent stem cells, induced pluripotent stem cells, embryonic stem cells, cells derived from umbilical cord, cells derived from fat tissues,

cells in stromal vascular fractions (SVF), cells in amniotic fluids, cells in menstrual blood, cells in cerebral spinal fluid, cells in urine, bone marrow stem cells, peripheral blood stem cells, CD34+ cells, colony forming cells, T cells, B cells, neural cells, immuno cells, dendritic cells, megakaryocytes, immobilized bone marrow cells, platelets, sperms, eggs, oocytes, microbes, microorganisms, bacteria, fungi, yeasts, protozoans, viruses, organelles, nuclei, nucleic acids, mitochondria, micelles, lipids, proteins, protein complexes, cell debris, parasites, fat droplets, multi-cellular organisms, spores, algae, clusters, aggregates of the above, industrial powders, polymers, powders, emulsions, droplets, dusts, microspheres, particles, and colloids into the first flow chamber.

10 In accordance with some embodiments, the feed comprises particles having sizes between about 5 μm and about 30 μm .

In accordance with some embodiments, the method further comprising collecting retentate particles including one of cells, CD34+ cells, a stromal vascular fraction, stem cells, progenitor cells, colony forming cells, hematopoietic stem cells, adipose stem cells, mesenchymal stem cells, amniotic stem cells, nucleated cells, leukocytes, lymphocytes, cancer cells, tumor cells, dendritic cells, dead cells, live cells, dividing cells, reticulocytes, red blood cells, fat cell, and fat droplets.

In accordance with some embodiments, collecting retentate particles comprises collecting cells and wherein greater than about 95% of the cells in the retentate are viable.

20 In accordance with some embodiments the method further comprises collecting filtrate including one of cells, CD34+ cells, a stromal vascular fraction, stem cells, progenitor cells, colony forming cells, hematopoietic stem cells, adipose stem cells, mesenchymal stem cells, amniotic stem cells, plasma, platelets, red blood cells, nucleated cells, leukocytes, lymphocytes, cancer cells, tumor cells, dendritic cells, dead cells, live cells, dividing cells, reticulocytes, red blood cells, fat cell, and fat droplets.

In accordance with some embodiments, collecting the filtrate comprises collecting cells and wherein greater than about 95% of the cells in the filtrate are viable.

In accordance with some embodiments, the method further comprises providing a filtration device having a retention size significantly smaller than the physical pore sizes.

30 In accordance with another aspect of the present disclosure there is provided a method for cord blood volume reduction. The method comprises procuring a sample comprising umbilical cord blood having at least one population of nucleated cells, the sample having a

sample volume. The method further comprises providing a filtration device. The filtration device includes a first collection receptacle, a second collection receptacle, a feed access means, and at least three filtration units. Each filtration unit has a microfluidic flow chamber including a feed inlet, a retentate outlet, and a filtrate outlet. The microfluidic flow chambers
5 include at least one dimension which is perpendicular to a length thereof which is smaller than about 1 millimeter. The feed inlet is in fluid communication with the feed access means. The retentate outlet is in fluid connection with the first collection receptacle. The filtrate outlet is in fluid connection with the second collection receptacle. The method further comprises introducing the sample to the feed inlets of the filtration units using the feed access
10 means, applying a driving force to the sample, passing the sample through the microfluidic flow chambers of the filtration device, creating laminar flow conditions that direct a substantial fraction of the sample volume to the filtrate outlet and a substantial fraction of the at least one population of nucleated cells to the retentate outlet, collecting a fluid output from the retentate outlet in the first collection receptacle, and collecting a fluid output from the
15 filtrate outlet in the second collection receptacle.

In accordance with some embodiments, collecting the fluid output from the retentate outlet comprises collecting greater than 70% of the nucleated cells from the sample in a volume of less than 25% of the sample volume in the first collection receptacle.

In accordance with some embodiments, the at least one population of nucleated cells
20 comprises CD34+ cells and collecting the fluid output from the retentate outlet comprises collecting greater than 75% of the CD34+ cells from the sample into the first collection receptacle.

In accordance with some embodiments, the method further comprises separating viable cells from the sample, and wherein at least about 95% of the viable cells remain viable
25 after separation.

In accordance with some embodiments, procuring a sample comprises procuring a sample comprising umbilical cord blood nucleated cells of greater than about 95% viability, and wherein collecting the fluid output from the retentate outlet comprises collecting nucleated cells of greater than about 95% viability.

In accordance with some embodiments, passing the sample through the microfluidic flow chambers comprises passing more than 10,000,000 blood cells per second through the filtration device.
30

In accordance with another aspect of the present disclosure, there is provided a particle filtration apparatus. The particle filtration apparatus comprises a common feed inlet,

a common filtrate outlet, a common retentate outlet, and at least one high module density device. The at least one high module density device includes a plurality of filtration units. Each of the filtration units includes a first flow chamber includes at least one inlet configured to receive a feed comprising feed particles in a feed fluid, and at least one retentate outlet, a
5 second flow chamber including a proximal end, a distal end having at least one filtrate outlet, and a first filter positioned between the first flow chamber and the second flow chamber. The first filter includes a first row of pillars, and a plurality of pores defined by spacings between adjacent pillars of the row of pillars. Each pore of plurality of pores includes a physical pore size defined by a distance between the adjacent pillars which define the pore. The particle
10 filtration apparatus further comprises means for moving the feed through the plurality of filtration units. The first flow chamber, the second flow chamber, the filter, and the means for moving the feed through the plurality of filtration units are configured to have a retention size smaller than the effective pore sizes of the pores, and to retain a substantial fraction of the feed particles having a size greater than the retention size as retentate in the first flow
15 chamber, and pass a substantial fraction of the feed fluid as filtrate into the second flow chamber. Each of the at least one inlets of the plurality of filtration units is in fluid communication with the common feed inlet. Each of the at least one filtrate outlets of the plurality of filtration units is in fluid communication with the common filtrate outlet. Each of the at least one retentate outlets of the plurality of filtration units is in fluid communication
20 with the common retentate outlet.

In accordance with some embodiments, the particle filtration apparatus further comprises a tube, a tube cap, and a tube insert. The high module density device may be configured to be mounted within the tube insert. The tube may be configured to accommodate the tube insert. The tube insert may include a feed reservoir in fluid
25 connection with the common feed inlet. The tube cap may be configured to cover the tube and the tube insert.

In accordance with some embodiments, the tube is configured to receive retentate from the high module density device. The tube insert may further include a filtrate reservoir configured to receive filtrate from the high module density device.

30 In accordance with some embodiments, the tube is configured to receive filtrate from the high module density device. The tube insert may further include a retentate reservoir configured to receive retentate from the high module density device.

In accordance with some embodiments, the tube insert further includes a carrier fluid reservoir configured to supply a carrier fluid to an inlet of at least one first flow chamber.

In accordance with some embodiments, the particle filtration apparatus further comprises a retentate collection bag in fluid connection with the common retentate outlet and a filtrate collection bag in fluid connection with to the common filtrate outlet.

5 In accordance with some embodiments, the particle filtration apparatus further comprises a common carrier fluid inlet in fluid connection with an inlet of at least one first flow chamber.

In accordance with some embodiments, the particle filtration apparatus further comprises a carrier fluid receptacle configured to supply a carrier fluid to the carrier fluid common inlet.

10 In accordance with some embodiments, the particle filtration apparatus further comprises an adaptor configured to establish a fluid connection between a feed collection bag and the common feed inlet.

In accordance with some embodiments, the particle filtration apparatus further comprises a feed collection bag in fluid connection with the common feed inlet.

15 In accordance with some embodiments, the feed collection bag comprises at least one needle configured to draw feed into the feed collection bag.

In accordance with some embodiments, the feed collection bag contains an anticoagulant.

In accordance with some embodiments, the feed collection bag contains a fluid.

20 In accordance with some embodiments, the particle filtration apparatus further comprises a first well in fluid communication with the common feed inlet and configured as a fluid reservoir, a second well in fluid communication with the common retentate outlet and configured as a fluid reservoir, and a third well in fluid communication with the common filtrate outlet and configured as a fluid reservoir.

25 In accordance with some embodiments, the first well, the second well, and the third well are configured in a multi-well plate format.

In accordance with some embodiments, the particle filtration apparatus further comprises a fourth well in fluid communication with the inlet of at least one first flow chamber and configured to supply a carrier fluid to at least one first flow chamber.

30 In accordance with some embodiments, the particle filtration apparatus further comprises a cap configured to enclose at least one of the first well, the second well, and the third well.

In accordance with some embodiments, the cap comprises a foil substantially impermeable to air and vapor and configured to seal the at least one of the first well, the second well, and the third well.

In accordance with some embodiments, at least one of the first well, the second well,
5 and the third well contains a fluid.

In accordance with some embodiments, each filtration unit of the plurality of filtration units has a hold up volume of smaller than 1 microliter.

In accordance with some embodiments, the high module density device has a filtration unit density of greater than 500 filtration units per cubic centimeter.

10 In accordance with some embodiments, the high module density device includes more than 30 filtration units.

In accordance with some embodiments, the high module density device has a design efficiency index of greater than about 0.5 mm^{-2} .

15 In accordance with some embodiments, the high module density device has a design efficiency index of greater than about 5 mm^{-2} .

In accordance with another aspect of the present disclosure there is provided a filter device. The filter device comprises a first flow chamber including at least one inlet configured to introduce a feed comprising particles and at least one retentate outlet configured to collect a retentate of the feed. The filter device further comprises a second
20 flow chamber including a first end and at least one filtrate outlet, the at least one filtrate outlet configured to collect a filtrate. The filter device further comprises a first filter. The first filter includes a plurality of pores having a physical pore size and a retention size which is smaller than the physical pore size. The first filter is disposed between the first flow chamber and the second flow chamber. The first flow chamber, the second flow chamber and the first
25 filter are configured to facilitate flow conditions that substantially increase a retention rate of particles smaller than the physical pore size and larger than a retention size.

In accordance with some embodiments, the filter device is configured to satisfy the “filtrate chamber expansion criterion.”

30 In accordance with some embodiments, an angle between a tangent line of a sidewall of the second flow chamber and a tangent line of the first filter is less than about 5 degrees.

In accordance with some embodiments, the retention size is smaller than about 90 percent of the physical pore size of the pores.

In accordance with some embodiments, the filter device is configured to flow a fluid through each pore at a volumetric flow rate of less than about 3 percent of a volumetric flow rate at the at least one inlet of the first flow chamber.

5 In accordance with some embodiments, the filter device has a length to width ratio of more than about 10.

In accordance with some embodiments, the first flow chamber has substantially a first constant depth. The second flow chamber may have a substantially a second constant depth, and the second flow chamber may expand in width from the first end of the second flow chamber towards the at least one filtrate outlet of the second flow chamber.

10 In accordance with some embodiments, the filter device is configured to flow a fluid through the first chamber at a substantially constant flow speed.

In accordance with some embodiments, the filter device is configured to flow a fluid through the second chamber at a substantially constant flow speed.

15 In accordance with some embodiments, the filter device is configured to flow a fluid through substantially all of the pores at a substantially identical flow rate.

In accordance with some embodiments, the first filter comprises a row of pillars, wherein the pores of the first filter comprise fluid passages between adjacent pillars of the row of pillars, and wherein the row of pillars comprises no fewer than 10 percent of all the pillars present in the device.

20 In accordance with some embodiments the first chamber comprises at least one carrier fluid inlet distinct from the at least one inlet and configured to introduce a carrier fluid into the first flow chamber.

In accordance with some embodiments, the filter device is free of any leading edge having a radius of curvature smaller than $0.5 \mu\text{m}$, along a flow path through the device.

25 In accordance with some embodiments a first subset of the pores has a different physical pore size than a second subset of the pores.

In accordance with some embodiments, the at least one filtrate outlet of the second flow chamber is configured to collect the filtrate passed through the first subset of pores and wherein the second flow chamber comprises a second filtrate outlet configured to collect
30 filtrate passed through the second subset of pores.

In accordance with some embodiments, the filter device further comprises a second filter and a third flow chamber, wherein the second filter is disposed between the first flow chamber and the third flow chamber, and wherein the third flow chamber comprises at least one outlet.

In accordance with some embodiments, the filter device has a length to width ratio of greater than about 5.

In accordance with some embodiments, the first filter comprises a first row of pillars. The pores of the first filter may comprise fluid passages between adjacent pillars of the first
5 row of pillars. The second filter may comprise a second row of pillars. The pores of the second filter may comprise fluid passages between adjacent pillars of the second row of pillars. The first row of pillars and the second row of pillars may comprise no fewer than 10 percent of all the pores present in the filter device.

In accordance with some embodiments the filter device is substantially symmetric
10 about a mirror plane going through a center of the first flow chamber.

In accordance with some embodiments the filter device further comprises a second filter and a third flow chamber, wherein the second filter is disposed between the second flow chamber and the third flow chamber, and wherein the third flow chamber comprises at least one inlet and at least one outlet.

15 In accordance with some embodiments, the filter device further comprises a second filter, a third flow chamber, and a fourth flow chamber, wherein the second filter is disposed between the third flow chamber and the fourth flow chamber, wherein the third flow chamber comprises at least one inlet and at least one outlet, and wherein the fourth flow chamber comprises at least one outlet.

20 In accordance with some embodiments, the filter device has less than about 6,000 pillars.

In accordance with some embodiments, the first filter and the second filter comprise no fewer than 10 percent of the pores included in the device.

In accordance with some embodiments, the at least one inlet of the third flow chamber
25 is in fluid connection with the at least one filtrate outlet of the second flow chamber.

In accordance with some embodiments, the at least one inlet of the third flow chamber is in fluid connection with the at least one retentate outlet of the first flow chamber.

In accordance with some embodiments, the at least one inlet of the third flow chamber is in fluid connection with the at least one outlet of the first flow chamber and with the at
30 least one outlet of the second flow chamber.

In accordance with some embodiments, the third flow chamber further comprises at least one carrier fluid inlet distinct from the at least one inlet and configured to introduce a carrier fluid.

In accordance with some embodiments, the at least one outlet of the third flow chamber is configured to collect a retentate from the first filter. The third flow chamber may further comprise a second outlet distinct from the at least one outlet. The second outlet of the third flow chamber may be configured to collect a retentate from the second filter.

5 In accordance with some embodiments, the at least one outlet of the third flow chamber is configured to collect a retentate from the first filter and a retentate from the second filter.

In accordance with some embodiments, the at least one outlet of the third flow chamber is configured to collect a retentate from the first filter and a retentate from the
10 second filter. The third flow chamber may further comprise a second outlet distinct from the at least one outlet. The second outlet of the third flow chamber may be configured to collect a filtrate from the first filter.

BRIEF DESCRIPTION OF THE DRAWINGS

15 The accompanying drawings are not intended to be drawn to scale and the number of elements (for example, the number of pillars) may be reduced from what would be present in an actual embodiment for the sake of legibility. In the drawings, each identical or nearly identical component that is illustrated in various figures is represented by a like numeral. For purposes of clarity, not every component may be labeled in every drawing. In the drawings:

20 Figures 1A-1G are schematic diagrams showing various methods for particle isolation. Figure 1A illustrates the exclusion of a large particle from a small pore. Figure 1B illustrates the deformation of a large particle, which partially enters a pore, but is unable to squeeze through the pore. Figure 1C shows a particle entering a narrowing opening, and getting trapped within the pore. Figure 1D shows particles trapped in pores. Figure 1E
25 shows the failure of size exclusion. In this diagram, a particle goes through a pore because the particle is smaller than the pore. Figure 1F shows another failure in size exclusion. In this case, the particle goes through a pore because it may deform and squeeze through the pore. Figure 1G illustrates another failure in size exclusion. In this case, particles fail to be
30 filtered because their flow path does not provide for them to encounter physically restricting pores.

Figures 2A and 2B are schematic diagrams. Figure 2A shows the flow exclusion effect observed in microcapillaries of blood circulation. Figure 2B shows a possible mechanism for flow exclusion.

Figures 3A-3C are schematic diagrams that illustrate flow exclusion principles in an embodiment of the present disclosure.

Figure 4 is a graph showing effective pore size as a function of flow through a pore. Effective pore size was calculated by computer fluid dynamics simulation.

5 Figures 5A-5F are schematic diagrams showing filter module embodiments. Figure 5A illustrates a top view schematic diagram. Figure 5B provides a three dimensional assembled view. Figure 5C provides a three dimensional exploded view. Figure 5D provides a three dimensional view showing pillars with an aspect ratio smaller than one. The lid of the filter module embodiment is not shown. Figure 5E provides a three dimensional view
10 showing pillars with an aspect ratio greater than one. The lid of the embodiment is not shown. Figure 5F provides a three dimensional view showing tapered pillars. The lid of the embodiment is not shown.

Figures 6A and 6B are schematic diagrams that provide top views of two filter module embodiments.

15 Figures 7A -7B are schematic diagrams that illustrate filter module embodiments. Figure 7A provides a top view of a filter module embodiment. Figure 7B provides a top view of a filter module embodiment. Figure 7C is a graph that illustrates the effective pore sizes of filter modules shown in Figure 7A. Figure 7D is a graph that illustrates the effective pore sizes of filter modules shown in Figure 7B.

20 Figure 8 is a schematic diagram that provides a three dimensional view of a filter module embodiment with different pore sizes. The lid of the module is not shown.

Figures 9A-9H are schematic diagrams that provide top views of portions of filter module embodiments. Figure 9A illustrates a wavy filtrate chamber. Figures 9B-9H show various cross sectional shapes of pillars.

25 Figures 10A-10C are schematic diagrams showing a filter module having a filtrate chamber shallower than its retentate chamber. Figures 10A and 10B are a top view and a three dimensional view, respectively. The lid of the module is not shown. Figure 10C illustrates a particle moving in the module.

30 Figures 11A and 11B are two schematic diagrams showing a three dimensional assembled view and a three dimensional exploded view of a filter module comprising a screen filter.

Figures 11C and 11D are two schematic diagrams showing a three dimensional assembled view and a three dimensional exploded view of a filter module comprising a porous membrane filter.

Figure 12 is a schematic diagram showing a top view of a filter module.

Figure 13 is a schematic diagram showing a top view of a filter module employing a carrier flow.

Figures 14A and 14B are schematic diagrams showing top views of two dual filter
5 modules.

Figures 15A and 15B show top views of two dual filter modules.

Figures 16A and 16B show top views of two multiple filter modules.

Figures 17A-17D are schematic diagrams. Figure 17A provides a top view of a filter
cascade module comprising two substantially identical filter modules. Figure 17B provides a
10 top view of a filter cascade module comprising two substantially identical dual filter modules.
Figures 17C and 17D provide top views of two filter cascade modules each comprising two
dual filter modules.

Figures 18A-18C are schematic diagrams that provide top views of filter cascade
modules comprising different filter modules. Figure 18D is a graph showing qualitative
15 filtration characteristics.

Figures 19A and 19B show top views of filter cascade modules comprising different
dual filter modules.

Figures 20A and 20B are schematic diagrams. Figure 20A provides a top view of a
filter cascade module comprising two different filter modules. Figure 20B provides a top
20 view of a simplified filter cascade module. Figure 20C is a graph showing qualitative
filtration characteristics.

Figures 21A and 21B are schematic diagrams that provide top views of two dual filter
modules.

Figures 22A and 22B are schematic diagrams that provide top views of two dual filter
25 cascade module configurations.

Figures 23A-23C are schematic diagrams that provide top views of three dual filter
module configurations. Figure 23D is a schematic diagram showing a multiple filter module.
Figure 23E is a schematic diagram showing a filter cascade modules comprising two dual
filter modules shown in Figure 23C.

Figures 24A-24F are schematic diagrams. Figures 24A-24D and 24F provide top
30 views of high module density devices. Figure 24E provides a three dimensional view of a
high module density device. The lid of the device is not shown.

Figure 25 is a schematic diagram showing a three dimensional assembled view and a three dimensional exploded view of a device comprising a stack of four high module density devices and a lid.

Figures 26A-26E are schematic diagrams of a cartridge. Figure 26A is a schematic
5 diagram showing a three dimensional assembled view of the cartridge. Figure 26B is a schematic diagram showing a front view of the cartridge. Figure 26C is a schematic diagram showing a side view of the cartridge. Figure 26D is a schematic diagram showing a three dimensional exploded view of the cartridge. Figure 26E is a schematic diagram showing a side exploded view of the cartridge.

10 Figures 27A-27C are schematic diagrams of a bag system.

Figure 28 is a schematic diagram of a bag system.

Figures 29A and 29B are schematic diagrams showing respectively a three dimensional assembled view and a three dimensional exploded view of a tube system.

Figures 30A-30G are schematic diagrams of a tube insert. Figure 30A is a schematic
15 diagram showing a three dimensional view of the tube insert. Figure 30B is a schematic diagram showing a cross-sectional view of the tube insert. Figures 30C, 30D, 30E, 30F, and 30G are schematic diagrams showing respectively a top view, a front view, a side view, a rear view, and a bottom view of the tube insert.

Figures 31A-31C are schematic diagrams of a plate system. Figure 31A is a
20 schematic diagram showing a three dimensional view of the plate system. Figure 31B is a schematic diagram showing a three dimensional exploded view of the plate system. Figure 31C is a schematic diagram showing a side view of the plate system.

Figures 32A-32D are schematic diagrams of a plate system. Figure 32A is a
25 schematic diagram showing a three dimensional view of the plate system. Figures 32B, 32C, and 32D are schematic diagrams showing respectively a top view, a side view, and a front view of the plate system.

Figure 33 is a table showing experimental results of leukocyte isolation from whole peripheral blood using a high module density device.

Figures 34A-34B are histograms showing leukocyte (WBC), erythrocyte (RBC) and
30 platelet (PLT) size distributions in the blood samples and in the retentates used in an experiment where lymphocytes are isolated from peripheral blood. Figure 34C is a table showing counts of various cell types. Figure 34D is a table showing the performance of a high module density device.

Figures 35A-35C are tables showing experimental results of umbilical cord blood volume reduction using a high module density device.

DETAILED DESCRIPTION

5 This disclosure is not limited in its application to the details of construction and the arrangement of components set forth in the following description or illustrated in the drawings. The disclosure is capable of other embodiments and of being practiced or of being carried out in various ways. Also, the phraseology and terminology used herein is for the purpose of description and should not be regarded as limiting. The use of “including,”
10 “comprising,” “having,” “containing,” “involving,” and variations thereof herein is meant to encompass the items listed thereafter and equivalents thereof as well as additional items.

Aspects and embodiments of the present disclosure are directed to filtration systems that may be useful for particle filtration and to methods of operating such filtration systems.

Aspects and embodiments of the disclosure are based, at least in part, on the discovery
15 of a device that employs flow exclusion and that provides high capacity, high throughput, low particle damage, low shear, and clogging resistant filtration of particles and biological samples. Further, the present disclosure provides a method and device that can be easily manufactured as a compact device, using inexpensive materials including, but not limited to, silicon and plastics.

20 Ranges provided herein are understood to be shorthand for all of the values within the range. For example, a range of 1 to 50 is understood to include any number, combination of numbers, or sub-range from the group consisting of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, or 50.

25 Unless specifically stated or obvious from context, as used herein, the term “or” is understood to be inclusive. Unless specifically stated or obvious from context, as used herein, the terms “a,” “an,” and “the” are understood to be singular or plural.

Unless specifically stated or obvious from context, as used herein, the term “about” is understood as within a range of normal tolerance in the art, for example, within 2 standard
30 deviations of the mean. About can be understood as within 10%, 9%, 8%, 7%, 6%, 5%, 4%, 3%, 2%, 1%, 0.5%, 0.1%, 0.05%, or 0.01% of the stated value. Unless otherwise clear from context, all numerical values provided herein are modified by the term about.

The recitation of a listing of chemical groups in any definition of a variable herein includes definitions of that variable as any single group or combination of listed groups. The

recitation of an embodiment for a variable or aspect herein includes that embodiment as any single embodiment or in combination with any other embodiments or portions thereof.

Any compositions or methods provided herein can be combined with one or more of any of the other compositions and methods provided herein.

5 The term “particles” as use herein includes, but is not limited to, cells, blood cells, cord blood cells, bone marrow cells, erythrocytes, leukocytes, lymphocytes, epithelial cells, stem cells, cancer cells, tumor cells, circulating tumor cells, progenitor cells, cell precursors, cord blood stem cells, hematopoietic stem cells, mesenchymal stem cells, adipose stem cells, pluripotent stem cells, induced pluripotent stem cells, embryonic stem cells, cells derived
10 from umbilical cord, cells derived from fat tissues, cells in stromal vascular fractions (SVF), cells in amniotic fluids, cells in menstrual blood, cells in cerebral spinal fluid, cells in urine, bone marrow stem cells, peripheral blood stem cells, CD34+ cells, colony forming cells, T cells, B cells, neural cells, immuno cells, dendritic cells, megakaryocytes, immobilized bone marrow cells, Wharton’s jelly stem cells, eukaryotic cells, prokaryotic cells, animal cells,
15 platelets, sperms, eggs, oocytes, microbes, microorganisms, bacteria, fungi, yeasts, protozoans, viruses, organelles, nuclei, nucleic acids, mitochondria, micelles, lipids, proteins, protein complexes, cell debris, parasites, fat droplets, multi-cellular organisms, spores, algae, clusters or aggregates of the above, as well as other non-biological particles suspended in fluid, such as industrial powders, polymers, powders, emulsions, droplets, dusts,
20 microspheres, and colloids. The particles may be rigid or deformable, and could have a variety of sizes and shapes. The particles may range in size, e.g. may have a maximum dimension, from about 50 nm to about 1 mm. The shape of particles may be, but are not limited to, oblong, spherical, disc-like, box-like, rod-like, spiral, or chains or aggregates of the above. Embodiments of the present disclosure may be useful for the filtration of particles
25 that are deformable, fragile, or vulnerable to large shear stress.

“Mechanical properties” include, but are not limited to, physical dimensions, size, shape, deformability, flexibility, elasticity, density, viscosity, rigidity, and the spatial distributions or time response of the above characters.

The term “size exclusion” as used herein comprises preventing or restricting entrance
30 or passage by physical blocking. An embodiment of size exclusion is to use small pores **002** to prevent large non-deformable particles **001** from entering the pores and from passing through the filter **003** (Fig. 1A). Another embodiment of size exclusion is to use a small opening to prevent a deformable particle **001** from squeezing into and pass through the opening **002** (Fig. 1B). Yet another embodiment of size exclusion is shown in Fig. 1C, where

a particle **001** may enter, a wide opening of a pore **002**, and get stuck at the narrow part of the pore **002**. Yet another embodiment of size exclusion is shown in Fig. 1D. A particle **001** may enter a pore **002**, and get trapped inside the filter **003**.

The term “size exclusion” as used herein also comprises “physical restriction.” Fig. 5 1E, 1F and 1G show examples where particles are not size excluded or physically restricted by a filter. A particle **001** may be too small to be excluded by the pores **002** (Fig. 1E). A particle **001** may also be so deformable that it squeezes through a pore **002** under a driving force (Fig. 1F). In Fig. 1G, particles **001** are driven by a tangential force **004** so that they do not move into the narrow parts of the pores **002** which may otherwise trap the particles. 10 Particles in Fig. 1E, 1F and 1G are not considered to be size excluded or physically restricted by the filter.

The term “filtration” as used herein generally comprises, but is not limited to, particle separation, fractionation, particle isolation, washing, concentration, enrichment, purification and/or buffer exchange, in a particle separation device with or without the use of a filter.

15 “Filtration” is also used to refer to partial or complete removal or retention of one or more particle populations. The term “filtration” as used herein also includes specific applications such as cell separation, stem cell isolation, leuko-reduction, leukocyte isolation, cancer cell isolation, cord blood volume reduction, plasma skimming, and generation of stromal vascular fractions (SVF).

20 A “filter” as used herein refers to, but is not limited to, a structure comprising multiple openings or fluid passages, called “pores.” The term “pore” as used herein comprises an opening or a fluid passage, for example on or in a filter. The cross sectional shape of a pore may be, but is not limited to, circular, rectangular, round, polygonal, irregular, long and narrow, or slit-like. The term “pore” as used herein includes, but is not 25 limited to, the space between pillars. As used herein, one embodiment of a pore is the space between two adjacent pillars in a fluidic channel. Another embodiment of a “pore” is a gap between a weir structure in and a ceiling of a fluidic channel.

A filter may be used to partially or completely allow passage of certain particles, and/or disallow passage or reduce a flux of other particles. As the term is used herein, 30 “filters” are not limited to sieves where particles are blocked or separated based on size exclusion. As used herein, one embodiment of a filter comprises a physical structure, including obstacles and pores. Another embodiment of a filter comprises a physical structure that separates particles using bifurcating flows and pores larger than retentate particles. Yet another embodiment of a filter comprises a physical structure that retains particles smaller

than the pore openings of the physical structure using flow forces or fluid dynamic forces. Yet another embodiment of a filter comprises a hydrophilic pattern on a hydrophobic surface to create “pores” or avenues of fluid passage for aqueous solutions.

To “filter,” as used herein, means to perform filtration using a filter.

5 The term “retentate” as used herein comprises particles that are retained by a filter or that do not pass through a filter. “Retentate” as used herein may also include the fluid that comprises retained particles. “Retentate” as used herein may also refer to the fluid and particle output that comprises particles retained by a filter in an embodiment of the present disclosure. “Retentate” as used herein may also refer to the fluid output comprising particles
10 of interest, using a separation device, which may or may not comprise a filter structure.

The term “filtrate” as used herein comprises particles that pass through a filter. “Filtrate” as used herein may also comprise the fluid that contains the particles that pass through the filter. “Filtrate” as used herein may also refer to the fluid and particle output that comprises particles that pass through a filter in an embodiment of the present disclosure.

15 “Filtrate” as used herein may also refer to the fluid output comprising a fluid in which particles of interest are partially or fully removed, using a separation device, which may or may not comprise a filter structure.

The term “feed” as used herein comprises particles that are to be processed by a filtration process, or particles that are entering a filtration device. The term “feed” may also
20 comprise a fluid that contains the particles to be processed by the filtration process. The term “feed” as used herein may include, but is not limited to, particles, bloods, umbilical cord bloods, serums, fat tissues, digested fat tissues, stromal vascular fractions, amniotic fluids, menstrual bloods, cerebral spinal fluids, milk, bone marrows, urines and other bodily fluids.

The term “retention rate” of a particle as used herein refers to the probability that the
25 particle is retained by a filter incorporated in a device. The term “retention rate” of a particle population as used herein refers to the proportion of the particle population that is collected as the retentate of a device. The term “retention rate” of a fluid as used herein also refers to the proportion of the fluid that is collected as the retentate by a device. The device herein may comprise a filter, a filtration module, a filtration unit, or a filtration system. For
30 example, the “retention rate” of a substantially uniform particle population may be calculated as the ratio between the number of the particles in the resulting retentate and the number of the particles in the feed that are processed. The retention rate of a certain particle population may refer to the proportion of such population in the feed that is collected as the retentate in a

filtration process. The “retention rate” may also be referred to as the “recovery yield” or “carryover”.

The term “physical pore size” as used herein refers to the size of the physical spacing of a pore. In practice, the “physical pore size” of a pore may be essentially measured as the maximum diameter of a non-deformable sphere, e.g. a polymer microsphere, that can pass through the pore without substantial physical restriction or size exclusion under “dead-ended” filtration configurations. For example, a pore comprising the spacing of two pillars that are 10 μm apart in a 50 μm deep microfluidic channel has a physical pore size of 10 μm .

Similarly, a pore comprising a circular hole of 5 μm diameter in a membrane has a physical pore size of 5 μm . If a pore comprises a slit, the physical pore size is substantially the width of the slit. Dead-ended filtration is described extensively in the following reference: Zeman, L. J. et al. “Microfiltration and Ultrafiltration” Marcel Dekker, Inc., ISBN 0-8247-9735-3, p.328-331 (1996), the disclosed description of dead-ended filtration of which is hereby incorporated herein by reference.

The “effective pore size” of a pore as used herein refers to the minimum diameter of a non-deformable sphere, e.g. a polymer microsphere, that can be retained substantially by the pore under the flow conditions of interest. An effective pore size can be experimentally measured and determined. For example, a baseline retention rate by a pore can be established using small non-deformable spheres that substantially track the flow streamlines when flowing through the pore under the flow conditions of interest, without flow exclusion. Larger non-deformable spheres may be retained due to flow exclusion by the pore at higher retention rates than the baseline, under substantially the same operating conditions. The diameter of the smallest non-deformable sphere that can be retained at a substantially higher retention rate than the baseline, e.g. 40%, 50%, 60%, 80%, 90%, 98%, 99%, or 100% higher than the baseline, is referred to as the “effective pore size” of the pore. When measuring the effective pore size, it is preferred that the particles used have the following characteristics: (a) the particles are substantially spherical; (b) the particles are substantially non-deformable and rigid; (c) the particles are suspended in substantially single particle suspensions; (d) the particle suspension is dilute and there is substantially no particle-particle interaction; (e) the particles do not settle substantially over time periods of interest; (f) the particles do not substantially stick to or foul the fluidic channel or the filter surfaces; and (g) the particles do not interact with each other or with the fluidic channel, the filter surfaces or the pores due to

electric charge, sticking, affinity, or magnetic forces. It is understood that the above particle characteristics are not meant to be limiting.

The “retention size” of a device as used herein refers to the minimum diameter of a non-deformable sphere, e.g. a polymer microsphere, that has a retention rate substantially
5 higher than, for example, about 40%, 50%, 60%, 80%, 90%, 98%, 99%, or 100% higher than the retention rate of a fluid processed using the device in substantially the same operating conditions. The retention size of a device can be experimentally measured and determined. For example, the retention rate of a fluid may be established as a baseline using small non-deformable spheres that substantially track the flow motion of the fluid under a set of
10 operating conditions. Larger non-deformable spheres mixed in the fluid may have a higher retention rate than the baseline under substantially the same operating conditions. The diameter of the smallest non-deformable sphere that has a retention rate substantially higher than the baseline, for example, about 40%, 50%, 60%, 80%, 90%, 98%, 99%, or 100% higher than the baseline, is characterized as the “retention size” of the device. The device herein
15 may comprise a filter, a filtration module, a filtration unit, or a filtration system. When measuring the retention size, the particles used may have the following characteristics: (a) the particles are substantially spherical; (b) the particles are substantially non-deformable and rigid; (c) the particles are suspended in substantially single particle suspensions; (d) the particle suspension is dilute and there is substantially no particle-particle interaction; (e) the
20 particles do not settle substantially over time periods of interest; (f) the particles do not substantially stick to or foul the fluidic channel or the filter surfaces; and (g) the particles do not interact with each other or with the fluidic channel, the filter surfaces or the pores due to electric charge, sticking, affinity, or magnetic forces. It is understood that the above particle characteristics are not meant to be limiting.

25 The term “flow exclusion” as used herein refers to using fluid flow conditions around a pore to achieve an effective pore size substantially smaller than the physical pore size. The term “flow exclusion” as used herein also refers to using fluid flow configurations around a filter to achieve a retention size substantially smaller than the physical pore size of the constituting pores of the filter.

30 It is appreciated that the above definitions are meant to convey the spirit of the present disclosure, and are not meant to be limiting.

Particle Filtration Device

Aspects and embodiments of the present disclosure provide a device for particle filtration comprising (a) a first flow chamber having at least one inlet and at least one outlet; (b) a second flow chamber having at least one outlet; and (c) a filter comprising a plurality of pores, for example, at least 10 pores. Here, the filter is disposed between the first flow chamber and the second flow chamber and has a physical pore size of between about 10 nm and about 10 mm. The first flow chamber and second flow chamber are configured such that the effective pore size of the filter pores is substantially smaller, for example, up to about 95% smaller than the physical pore size. The device may be made of materials including, for example, silicon, glass or plastic. Some embodiments may be constructed so that particles encounter no sharp edges, reducing damage.

A particle filtration device in accordance with aspects and embodiments of the present disclosure may be configured in several different ways. In some embodiments, the first flow chamber has at least one inlet that can be used to introduce a carrier fluid. Other embodiments comprise a second filter and a third flow chamber, wherein said second filter is disposed between said first flow chamber and said third flow chamber and wherein said third flow chamber comprises at least one outlet. Still other embodiments comprise a second filter and a third flow chamber having at least one outlet so that the second filter is disposed between the second flow chamber and a third flow chamber.

In some embodiments particles are driven through the device by at least one of the following: a fluid flow, a hydrodynamic flow, a pressure drop, a hydrodynamic pressure, a pressure source, a vacuum, a head height, gravity, a centrifugal force, an electric field, an electrophoretic field, an electrokinetic force, an electro-osmotic force, a capillary action or a combination of the above. In some embodiments the particles ("feed particles") pass through or are processed through the device at a rate of at least about 100 feed particles, e.g. at least 10^2 , 10^3 , 10^4 , 10^5 , 10^6 , 10^7 , 10^8 , 10^{10} , 10^{12} , or 10^{15} per second. In some embodiments the device has a hold up volume smaller than 500 nl, 200 nl, 100 nl, 50nl, 20nl or 10 nl. In some embodiments the particles undergo a shear stress that is not damaging to the particles.

Embodiments of the filter can be formed in multiple ways. In some embodiments the filter has one or more rows of pillars or protrusions. The pillars or protrusions can be of a variety of shapes and sizes. In other embodiments there are at least two rows of pillars or protrusions. Other embodiments of the disclosure provide a filter formed from a membrane comprising pores. Still other embodiments of the disclosure provide a filter formed from a screen filter. In some embodiments the filter is constructed so that the particles do not

encounter any sharp edges so that the potential for damage to the particles is reduced or eliminated. This may be important when the particles are living or apoptotic cells.

In some embodiments of the disclosure, the filter comprises pores whose effective pore size is smaller than their physical pore size by at least about 0.5 μm . In other
5 embodiments the effective pore size is smaller than 95% of the physical pore size. In still
other embodiments the effective pore size may be substantially smaller than the physical pore
size, e.g. the effective pore size is about 75%, about 60%, about 50%, about 30%, about 10%,
or about 5% of the physical pore size. In yet other embodiments, the retention size may be
substantially smaller than the physical pore size, for example, the effective pore size may be
10 about 90%, about 75%, about 60%, about 50%, about 30%, about 10%, or about 5% of the
physical pore size. In yet other embodiments a particle encounters no more than about 5,000
pores during its passage through the device.

Aspects and embodiments of the present disclosure can be used to filter, separate,
fractionate, process, enrich, or isolate many types of particles such as simple or complex
15 sediments, detriments or heavy metal contaminants found in wastewater or various
contaminants found in naturally occurring or synthesized fluids such as oil, biofuels or the
like. In addition, some aspects and embodiments of the disclosure can be used for clinical
purposes to filter many different types of cells such as those that are healthy, diseased,
growing, dying or dead. Examples of cell types are blood cells, stem cells, hematopoietic
20 stem cells, progenitor cells, mesenchymal stem cells, adipose stem cells, CD34+ cells, tumor
cells, bone marrow cells, cord blood cells, lymphocytes, leukocytes, cancer cells, cerebral
spinal fluid cells, amniotic fluid cells, Wharton's jelly stem cells, eukaryotic cells,
prokaryotic cells, animal cells, stromal vascular fraction cells, umbilical cord derived cells,
liver cells, neuron cells and immune cells. Other cell types include bacterial cells, yeast cells
25 and abnormal cells.

Aspects and embodiments of the present disclosure can be used to process, filter,
separate, or fractionate many types of fluids such as bloods, umbilical cord bloods, serums,
fat tissues, digested fat tissues, stromal vascular fractions, amniotic fluids, menstrual bloods,
cerebral spinal fluids, milk, bone marrows, and urines.

30 Aspects and embodiments of the present disclosure also include methods for particle
filtration using devices such as one or more of those described above. In some embodiments
of the method feed particles are introduced into the first flow chamber of the device via the
inlet(s) and a driving force is applied to the particles to propel the particles through the

device. The retentate particles are collected from the outlets(s) of the first flow chamber; and the filtrate particles are collected from the outlets of the second and/or third flow chambers. In some embodiments a carrier fluid is introduced into the first flow chamber of the device via at least one inlet.

5

Flow Exclusion Principles

Filtration can occur using flow bifurcation instead of size exclusion. Specifically, small particles may be retained by a large filter pore under certain flow arrangements.

Because small particles are excluded from entering a large pore by the flow, herein this effect is referred to as “flow exclusion.” Flow exclusion effect was observed as early as 1921 in microcirculation, i.e. blood flow in minute blood vessels (Krogh, A. “Studies on the Physiology of Capillaries: II. The Reactions to Local Stimuli of the Blood-vessels in the Skin and Web of the Frog” *J. Physiol.* 55(5-6): 412-422 (1921); Fahraeus, R. “The Suspension Stability of the Blood” *Physiological Reviews* 9: 241-274 (1929). When a small blood vessel branches into two vessels, blood cells may preferentially enter the vessel with higher flow rates, even though there is no physical restriction or size exclusion that prevents the cells from entering the vessel of low flow rates, if flow patterns were changed to favor size exclusion (Fig. 2A). This effect occurs due to complex hydrodynamic interactions and forces between the cells, the vessels, and the blood flow. Flow exclusion is the most pronounced when the flow rates in the two branches differ significantly. Further, nucleated cells seemed to experience flow exclusion more significantly than enucleated cells, e.g. red blood cells and platelets.

There have been different theories developed in attempt to explain flow exclusion observed in microcapillaries (Krogh, A. “Studies on the Physiology of Capillaries: II. The Reactions to Local Stimuli of the Blood-vessels in the Skin and Web of the Frog” *J Physiol* 55(5-6): 412-422 (1921); Fahraeus, R. “The Suspension Stability of the Blood” *Physiological Reviews* 9: 241-274 (1929); Svanes, K. et al. “Variations in Small Blood Vessel Hematocrits Produced in Hypothermic Rats by Micro-Occlusion” *Microvasc Res.* 1: 210-220 (1968); Yen, R. T. et al. “Model Experiments on Apparent Blood Velocity and Hematocrit in Pulmonary Alveoli” *J. Appl. Physiol.* 35: 510-517 (1973); Mayrovitz, H. N. et al. “Leukocyte distribution to arteriolar branches: dependence on microvascular blood flow” *Microvasc Res.* 29(3): 282-294 (1985).

Insights may be gained if we consider the Navier–Stokes equation, which governs the hydrodynamic behavior of incompressible Newtonian fluids:

$$\rho \left(\frac{\partial \mathbf{v}}{\partial t} + \mathbf{v} \cdot \nabla \mathbf{v} \right) = -\nabla p + \mu \nabla^2 \mathbf{v} + \mathbf{f}.$$

Here ρ is the density of the fluid, \mathbf{v} is the velocity of the fluid, p is the pressure, μ is
 5 the viscosity and \mathbf{f} is the external body forces, such as gravity. Consider a single cell moving
 in a branching vessel as shown in Fig. 2A. To analyze the cell's migration path, the exact
 fluid flow distribution and forces on the cell have to be calculated. This is often a daunting
 task that requires intensive computer calculation, even for a single cell. The problem
 becomes much more difficult when many cells are interacting with each other, as in the case
 10 of blood flow in circulation. Perhaps the easiest way to gain insight to how flow exclusion
 occurs is to apply Bernoulli's principle, which states that an increase in the speed of the fluid
 occurs simultaneously with a decrease in pressure. Because of the flow rate difference
 between the two branching vessels, a cell experiences a lift force towards the vessel with
 higher flow speeds (Fig. 2B). This lift force prevents or discourages the cell from entering
 15 the vessel of lower flow rate, even though the vessel may be physically large enough to allow
 passage of the cell. Therefore, flow exclusion occurs. Obviously, the above theory may be
 an oversimplification for the following reasons: (a) fluids involved, such as blood and bone
 marrow may not be Newtonian; (b) particle concentrations are so high that particle-particle
 interaction may be a main factor dominating the motion of the particles; (c) particles involved
 20 are deformable and flexible in response to the hydrodynamic forces.

Without being held to a particular mechanism or theory, aspects and embodiments of
 the present disclosure can be understood according to tangential flow filtration and flow
 exclusion principles. In one embodiment of the present disclosure, a filter comprising large
 pores is used to retain relatively small particles, in contrast to conventional tangential flow
 25 filtration, where small pores are used to retain large particles by size exclusion. A significant
 advantage of some embodiments the present disclosure is the significant reduction or
 elimination of particle damage and filter clogging, allowing processing of deformable and/or
 fragile particles at high throughputs. As shown in Fig. 3, embodiments of the present
 disclosure may use a tangential flow **301**, a filter **306** comprising an arrangement of pillars
 30 **302** and pores **304**, and a flow chamber **303** (Fig. 3A). In some embodiments the flow
 chamber **303** may gradually widen along the direction of fluid flow so that under operating
 conditions, only a small fraction of the tangential flow **301** is drawn through the pores **304**.
 The rate at which the flow chamber **303** widens together with the filter geometry determines

the amount of flow drawn through each pore **304**. The more gradual the chamber **303** expands, the less flow it will draw through the pores.

Under laminar flow conditions (Fig. 3A), the tangential flow **301** bifurcates around each pillar **302**, much as blood flow bifurcates around branching blood vessels in
5 microcirculation. If the branching flow **305** entering a pore **304** has a much smaller flow rate than the tangential flow **301** does, then flow exclusion effect may occur. A particle **321** that flows by the pillar **302** may or may not enter the pore **304**, depending on the strength of flow exclusion on the particle (Fig. 3B). Because different cell types experience different flow exclusion effects, and because flow exclusion is a function of the flow rate through a pore,
10 one can create flow exclusion conditions that are useful for separating certain cell types by controlling the flow rates at the pores **304**. For example, one can design a gradually widening flow chamber **303** to create bifurcating flow conditions that cause strong flow exclusion on lymphocytes **311** and weak flow exclusion on red blood cells **312** (Fig. 3C). As a result the lymphocytes **311** are retained by the filter **306** and the red blood cells **312** pass through the
15 filter **306**. Flow exclusion is used as the basis for particle filtration in some aspects and embodiments the present disclosure.

In some aspects and embodiments of the present disclosure, the volumetric flow rate through a pore is much smaller than that of the tangential flow. Using computer fluid dynamics calculation of a single rigid spherical particle in a laminar flow of low Reynolds
20 number conditions, the effective pore size can be estimated as a function of the amount of flow drawn through a pore for a particular design. Fig. 4 shows the results of such calculation for an embodiment shown in Fig. 5A, assuming a flow chamber depth of 30 μm , an feed inlet width of 110 μm , a pillar diameter of 30 μm , and a 40 μm center-to-center distance between adjacent pillars, resulting in a physical pore size of about 10 μm . When the
25 flow rate through each pore is about 0.4% of the tangential flow rate at the feed inlet **502**, the effective pore size of a pore is approximately 3.8 μm , which is significantly smaller than the physical pore size of 10 μm . Notice however, that when the volumetric flow rate through each pore is about 1.6% of the tangential volumetric flow rate at the inlet, the effective pore size becomes about the same as the physical pore size. When the flow rate through each pore
30 is larger than 1.6% of the tangential flow rate at the inlet, size exclusion becomes the main basis for particle separation, and the device becomes a conventional filtration device. In contrast to conventional tangential flow filtration, which employs a transmembrane pressure

to achieve size exclusion based separation, the present disclosure employs a flow rate distribution around the pores to achieve flow exclusion based separation.

Although the above mentioned computer calculation gives us insights to flow exclusion under idealized and over-simplified conditions (a single, rigid, spherical particle in
5 Newtonian fluid with no Brownian motion), the filtration process of the feed particles in the present disclosure may be substantially stochastic, described by probability, and may not be deterministic.

Particle-particle interactions, particle deformations, and Brownian motion, among other factors, may change the flow pattern and forces exerted on particles, causing flow
10 exclusion to be stochastic. This stochastic nature of flow exclusion may be prominent and very substantial especially when the feed particles comprise complicated particles and fluids, e.g. blood, umbilical cord blood, bone marrow, stromal vascular fraction, etc. To appreciate the complexity of such real world samples, let's consider umbilical cord blood. A typical
15 umbilical cord blood sample contains about 4 billion red blood cells, 10 million white blood cells, and 200 million platelets per milliliter. These cells constitute about 40% of the blood volume, and deform as they interact with each other. Further, the cells settle at different rates under gravity. Without diluting the sample significantly, e.g., by a factor of 1,000, 10,000, 100,000 or more, particle-particle interactions may make blood cells move stochastically, and it may be substantially impossible to predetermine whether a particular cell would be retained
20 using an embodiment of the present disclosure.

Physical Pore Size and Effective Pore Size

One technique for characterizing a filter and its pore size is the measurement of particle retention using rigid spheres (Zeman, L. J. et al. "Microfiltration and Ultrafiltration"
25 Marcel Dekker, Inc., ISBN 0-8247-9735-3, p.265-274 (1996)). Examples of particle retention measurements disclosed in this publication are herein incorporated by reference. Examples of particles which may be used for such measurements include latex beads and polymer microspheres. The "physical pore size", the "effective pore size", and the "retention size" can be measured and characterized using such techniques, as described above. Using
30 rigid spheres as a standard, different filters and devices can be characterized and compared, regardless of their intended use. For example, a conventional filtration device for removing bacteria in water can be compared with a blood filtration device, even though bacteria may have very different sizes, shapes, deformability, charge, concentration, and other characteristics from blood cells.

In conventional size exclusion filtration, the effective pore size of a pore is larger than or substantially equal to the physical pore size, and the retention size of a filter is also larger than or substantially equal to the physical pore size. In contrast, in some aspects and embodiments of the present disclosure, the effective pore size of a pore is smaller or
5 substantially smaller than the physical pore size of the pore, using flow exclusion (Fig. 4).

While devices can be characterized and compared using standard rigid spheres, actual embodiments of the present disclosure for biological samples may be empirically optimized for each particular application. A particle that is substantially larger than the effective pore size of a pore may still go through the filter due to particle deformation or the stochastic
10 nature of the process. This phenomenon is herein called “leakage.” In a conventional filter, where the effective pore size is larger than or substantially equal to the physical pore size, particles tend to clog and foul the filter when leakage occurs. When deformable and fragile particles leak through a conventional filter, the particles may suffer large shear and get damaged or lysed, triggering a cascade of filter fouling in addition to clogging. This is a
15 serious problem for applications using biological samples and cells.

Some aspects and embodiments of the present disclosure comprise methods and devices that employ pores substantially larger than the effective pore size, thereby significantly reducing or avoiding filter fouling and clogging. In addition, embodiments of the present disclosure employ low volumetric flow rates through their pores as a means to
20 create flow exclusion. The combination of large pores and small flow rate facilitates low shear in and around the pores, thereby further reducing fouling, clogging, particle activation, and particle damage problems.

FILTRATION MODULES, UNITS, AND DEVICES

25 Filter Module

Another embodiment of the present disclosure is a filter module, shown in Fig. 5. A first flow chamber **501** has an inlet **502** and an outlet **503**. Feed particles, i.e. particles to be processed by filtration, enter the inlet **502** and are driven through the first flow chamber **501** from the inlet towards the outlet, using a driving force. The first flow chamber **501** is
30 separated from and in fluid connection with a second flow chamber **504** by a filter **508**, which comprises an arrangement of pillars **505**. The spacing between the pillars constitutes the pores **506** of the filter **508**. The second flow chamber **504** is arranged to draw small amounts of flows through the pores **506** across the filter **508**, to receive the filtrate particles, and to harvest filtrate particles via a filtrate outlet **507**. The flow rates through each pore **506** are

designed to be a small fraction, e.g. 1/10, 1/20, 1/30, 1/50, 1/100, 1/200, 1/300, 1/500, 1/1,000, 1/2,000, 1/5,000, 1/10,000, 1/20,000, 1/50,000, or 1/100,000, of the flow rates at the inlet **502** of the first flow chamber **501** to facilitate flow exclusion. In some embodiments, the pores **506** are sized such that the physical pore sizes are substantially larger than the effective pore size. Some embodiments of the filter **508** may have from about 10 to about 50,000 pores **506**, e.g. 10, 20, 50, 100, 200, 500, 1,000, 2,000, 5,000, 10,000, or 50,000 pores. For the convenience of further discussion, the first flow chamber **501**, where feed and retentate particles migrate, is herein referred to as the “retentate chamber,” and the second flow chamber **504**, where filtrate particles migrate, as the “filtrate chamber.”

The particle flow inside various embodiments may be created using a fluid flow, a driving pressure, a vacuum, a head height, gravity, a centrifugal force, a magnetic force, a capillary action, or a combination of the above. The particle flow may also be created using an electric field, an electrophoretic field, a dielectrophoretic field, an electro-osmotic force, an electrokinetic force, or a combination of the above forces. These fields or forces may move the particles and may or may not move the fluids in which the particles are contained. In some instances, these fields or forces may move the particles without moving the fluids in which the particles are contained. For example, in the absence of any electrokinetic flow, an electrophoretic field may drive charged particles through embodiments of a device of the present disclosure without creating a fluid flow. In the case of gravity, particles having densities greater than that of the fluid may settle through the fluid. In other cases, the fluid may flow in the opposite direction as the particles. Clearly, flow exclusion does not occur in these examples. However, the driving forces inside the device can create their own exclusion effects, much as fluid flows do. Therefore, gravity, centrifugal forces, electric fields, electrophoretic fields, and electrokinetic forces may also be used to drive the particles, and achieve filtration effects that do not rely on size exclusion or physical restriction.

In some embodiments, the pillars **505** may have heights similar to their widths, thereby having an aspect ratio close to 1, e.g. 0.8, 0.9, 1.0, 1.1, 1.2, or 1.3, as shown in Fig. 5B and 5C. Alternatively, the pillars **505** may have heights smaller than their widths, thereby having an aspect ratio substantially smaller than 1, e.g. 0.1, 0.2, 0.3, 0.4, 0.5, or 0.6, as shown in Fig. 5D, or heights greater than their widths, thereby having an aspect ratio substantially greater than 1, e.g. 1.5, 2, 3, 5, 8, 10, 20, 100, 500, 2,000, or 10,000, as shown in Fig. 5E. High aspect ratio pillars designs have the advantage of higher capacities and throughputs, whereas low aspect ratio pillars designs have the advantage of ease of fabrication. The pillars **505** may become gradually narrower or tapered (Fig. 5E). The draft angle could be close to

90 degrees, e.g. 80, 85, 87, 88, or 89 degrees. The tapered pillars may facilitate demolding and may make fabrication using injection molding, embossing, soft lithography, or other replication techniques less difficult.

In some embodiments, the sidewalls of the retentate chamber **50** and the filtrate chamber **51** are approximately parallel to each other (Fig. 5A). In some embodiments, the retentate chamber **501** may have a substantially constant width, may become gradually wider, or may become gradually narrower (Fig. 6). A change in the width of the retentate chamber **501** may result in a change in the flow rates in the chamber **501** and the resulting shear stress. In the embodiment illustrated in Fig. 6B, because feed liquids are drawn into the filtrate chamber **504**, the flow speed in the retentate chamber **501** gradually become smaller as fluids move towards the outlet **503**. In contrast, in the embodiment illustrated in Fig. 6A, fluids in the retentate chamber **501** may accelerate towards the outlet **503**, as the total cross sectional area of the retentate chamber **501** and the filtrate chamber **504** becomes smaller. The degree to which the filtrate chamber **504** becomes wider may substantially determine the amount of flow drawn through the pores **506**, and may be optimized for a desired effective pore size.

In another embodiment of the present disclosure, the retentate chamber may gradually narrow from the inlet side towards the retentate outlet, and the filtrate chamber may gradually widen towards the filtrate outlet. For applications where high flow rate and low shear stress is desired, it may be preferred that the retentate chamber be wide at the inlet side and narrow at the outlet side. Such configuration may keep the flow speed low at the inlet and the shear stress low throughout the retentate chamber. In another embodiment of the present disclosure, the retentate chamber may be configured to gradually narrow from the inlet side towards the retentate outlet and to keep the average flow speed in the retentate chamber substantially constant as fluids flow from the inlet towards the outlet. In another embodiment of the present disclosure, the retentate chamber and the filtrate chamber may be configured such that the average flow speed in the retentate chamber substantially constant as fluids flow from the inlet towards the outlet.

In another embodiment of the present disclosure, the filter comprises pillars arranged on a curve (Fig. 7). The “curving” of the filter may result in a specific filter characteristic. That is, each pore may have a different effective pore size designed to achieve certain filtration requirements. In the embodiment illustrated in Fig. 7A, the filter **701** initially forms a small angle with the sidewall **710** of the filtrate chamber **711**, allowing the filtrate chamber to draw a very small amount of flow across the filter **701**. The angle between the filter **702** and the sidewall **710** then becomes larger to increase the amount of flow drawn through the

pores, resulting in larger effective pore sizes. The angle between the filter **703** and the sidewall **710** may become smaller towards the filtrate outlet **720**, reducing the amount of flow drawn through the pores. In Fig. 7B, the filter **704** comprises pillars arranged on a curve designed to maintain a certain filter characteristics. Each pore's effective pore size as a function of its position from the inlet **722** side to the outlet **721** side is illustrated qualitatively in Fig. 7C and Fig. 7D for the embodiments shown in Fig. 7A and 7B, respectively. It is understood that other pillar arrangements may also be used, depending on the desired filter characteristics for the particular application under consideration.

In yet another embodiment, the flow rates through each pore are essentially identical. In yet another embodiment, the flow rates drawn through each pore are smaller than or equal to a maximum fraction x of the flow rates of the tangential flow, where x ranges from about 1/5 to about 1/100,000. For example, a desirable x may be 1/5, 1/10, 1/20, 1/50, 1/100, 1/200, 1/500, 1/1,000, 1/2,000, 1/5,000, 1/10,000, 1/20,000, 1/50,000, or 1/100,000. An example of this embodiment is shown in Fig. 5. The filter comprises between about 10 and about 100,000 pillars, e.g. about 10, 20, 50, 100, 200, 500, 1000, 2,000, 5,000, 10,000, 30,000, or 100,000 pillars. The pillars and the filtrate chamber are configured in a way that the effective pore size is substantially smaller than the physical pore size.

In yet another embodiment of the present disclosure, the filter comprises an arrangement of pillars which are equally spaced, as shown in Fig. 5, Fig. 6, and Fig. 7. In yet another embodiment of the present disclosure the pillars are unevenly spaced, as shown in Fig. 8. For some applications, it may be advantageous to vary the physical pore sizes so that certain particles are allowed to pass through physically large pores. The pillars may have different cross sectional shapes. Examples of desirable cross-sectional shapes include, but are not limited to, those shown in Fig. 9, e.g. round (Fig. 9A and 9B), oval shaped (Fig. 9C), elliptical (Fig. 9D), egg shaped (Fig. 9E and 9F), airfoil shaped (Fig. 9G), etc. A filter may also comprise pillars of different shapes and/or sizes (Fig. 9H). For gentle separation of fragile particles, it may be preferred that the pillars have no sharp edges that may be in contact with the particles. Sharp edges may cut open, split, or lyse fragile particles. While non-sharp pillar surfaces may be preferred in many applications requiring gentle filtration, it is also possible to use rectangular, square, or polygonal pillar cross sections, for example, in cases where particle damage is not of concern.

In another embodiment of the present disclosure, the filtrate chamber **901** has a wavy sidewall **902**, comprising alternating convex and concave parts (Fig. 9A), and the period of

the wavy sidewall coincides with the center-to-center distance of the pores **903**. The wavy sidewalls may help stabilize the flow and maintain small effective pore sizes.

It is understood that in embodiments of the present disclosure, the filter may comprise pillars of different shapes and sizes, arranged evenly or unevenly on a straight line or a curve,
5 in order to achieve certain filter characteristics.

In another embodiment of the present disclosure, the filtrate chamber **504** is shallower than the retentate chamber **501** (Fig. 10). In this embodiment, the filter **508** comprises a contiguous surface **512** and pillars **505**. The filtrate chamber **504** may be shallower than
10 some large retentate particles **321** (Fig. 10C). However, because the retentate particles **321** are flow excluded from the physical pores, they substantially never enter the shallow filtration chamber **504**, or the narrow parts **571** of the pores (Fig. 10C). Consequently, the detrimental effects associated with size exclusion filtration may rarely occur in this embodiment. This design reduces the aspect ratios of pillars **505** without reducing the filter area or depth, and may make the device fabrication easy and robust.

In yet another embodiment of the present disclosure, a filter module comprises a retentate chamber **130**, a filter **131** comprising a screen filter, and a filtrate chamber **132** that controls the flows going across the screen filter **131** (Figs. 11A and 11B). The flow chambers **130**, **132** comprise layers **133**, **134** comprising recesses. The filtrate chamber **132** comprises a gradually deepening recess in layer **134**, arranged to draw small amounts of flow through
20 the filter **131**. The filter **131** is sandwiched between the retentate chamber layer **133** and the filtrate chamber layer **134**. This embodiment allows for a large filter area, and can achieve very high capacity and throughput. A variation to this embodiment comprises a porous filter layer **131** sandwiched between a retentate chamber layer **133** and a filtrate chamber layer **134** (Figs. 11C and 11D). The porous filter layer may comprise, for example, a track etched
25 membrane, or a laser machined metal sheet, etc. The layers may be glued, bonded, or simply pressed together (Figs. 11C and 11D). The pores on the filter **131** may be regularly spaced, as shown in Figs. 11A-11D, or may be randomly distributed, as with radiation track etched membrane filters.

The above described embodiments of the present disclosure may be useful as devices
30 for concentrating particles, or for removing a retentate particle population from a filtrate particle population. However, in some instances, it may be desirable to deplete the filtrate population from the retentate population, or isolate the retentate particles in a different fluid.

For example, in some instances, it may be desirable to isolate nucleated blood cells from whole blood, and remove as many enucleated red blood cells as possible. A carrier

fluid **522** may be introduced to the retentate chamber **501** (Fig 13). In one embodiment the retentate flow chamber **501** comprises at least one carrier flow inlet **521** in addition to at least one feed inlet **502**. Here, a carrier fluid **522** may be injected into the retentate chamber **501** and form a laminar flow stream **522** alongside the feed flow stream **523**. The laminar flow conditions may cause the carrier flow **522** and the feed flow **523** to move side by side without convective mixing. The interface between the two streams **522**, **523** is shown as the dashed line **524** in Fig. 13. Retentate particles **531** may be retained by the filter comprising pillars **505** and moved from the feed stream **523** into the carrier stream **522**. At the retentate outlet **503**, retentate particles **531** are in the carrier flow **522**, thereby substantially rid of the filtrate population. Depending on the desired purity requirement, the carrier fluid flow rates may be smaller than, equal to, or greater than the retentate fluid flow rates. It is understood that a carrier flow may be applied in a similar manner to any of the embodiments of the present disclosure, and is not limited to any particular embodiment. The carrier flow may also be introduced to wash, treat or label the retentate particles. In some embodiments, more than one carrier flows may be introduced to treat the retentate particles. For example, one can use some embodiments of the present disclosure to label and wash cells in a continuous flow fashion. A solution containing antibody labels or stains against specific retentate cells may be introduced alongside the feed flow as the first carrier flow, and a wash solution may be introduced next to the first carrier flow as the second carrier flow. Due to flow exclusion, retentate cells may migrate from the feed flow into the first carrier flow, where the cells are stained or labeled, and then may migrate from the first carrier flow into the second carrier flow, where the cells are washed. More than one inlet may be used at a retentate chamber to introduce carrier flows for any of the embodiments of the present disclosure.

25 **Dual Filter Module**

In some embodiments, two substantially identical filter modules may be combined to form a “dual filter module.” In one embodiment, two filter modules may form mirror images with respect to each other and share one retentate chamber to form a “dual filter module” (Fig. 14A). The retentate chamber **501** may have at least one inlet **502** and one outlet **503**. Feed particles may enter the inlet **502** and may be driven through the flow chamber **501** towards the outlet **503**, using, for example, a fluid flow, a pressure drop, a hydrodynamic pressure, a pressure source, a vacuum, a head height, gravity, a centrifugal force, an electric field, an electrophoretic field, an electrokinetic force, an elctro-osmotic force, a capillary action or a combination of the above. The retentate flow chamber **501** may be separated from

each of the two filtrate flow chambers **504** by a filter **508**, and may be arranged symmetrically with respect to the centerline **514**. Embodiments of the filter **508** may comprise an arrangement of from about 10 to about 100,000 pillars **505**, e.g. 10, 20, 50, 100, 200, 500, 1,000, 2,000, 5,000, 10,000, 20,000, or 100,000 pillars. The openings between the pillars may constitute pores **506** of the filter **508**. The filtrate flow chambers **504** may be designed to draw a small amount of flow through each pore **506**, and remove filtrate particles via filtrate outlets **507**. The flow rate through each pore **506** may be designed to be a small fraction, e.g. 1/10, 1/20, 1/30, 1/50, 1/100, 1/200, 1/300, 1/500, 1/1,000, 1/2,000, 1/5,000, 1/10,000, 1/20,000, 1/50,000, or 1/100,000, of the flow rates at the retentate flow chamber **501** to facilitate flow exclusion.

In any of the dual filter module embodiments, the retentate chamber may further comprise a carrier flow inlet **521** (Fig. 14B). A carrier flow **522** may be introduced in between the two feed flows **523**, so that the retentate particles are harvested in the carrier flow **522** at the retentate outlet **503**. This embodiment may be capable of yielding high purity retentate particles.

Another embodiment of the dual filter module is shown in Fig. 15, where two filter modules form mirror images and share one filtrate chamber. The filtrate chamber **504** comprising a filtrate outlet **507** may be placed between two retentate chambers **501**. The filtrate chamber **504** may draw a small amount of flow through each pore **506** at filters **508** to facilitate flow exclusion. Feed flow may enter the retentate chamber **501** via an inlet **502**. Retentate particles may be harvested at retentate outlets **503**; filtrate particles may be harvested at the filtrate outlet **507**. This embodiment may further comprise at least one carrier flow inlet **521** (Fig. 15B). Carrier flow streams **522** may be established alongside the feed flow stream **523**, so that retentate particles are harvested in the carrier flow streams **522**. Again, the carrier flow increases the purity of the retentate particles.

Multiple Filter Module

Two dual filter modules can further share a retentate chamber or a filtrate chamber to form multiple filter modules (Fig. 16). In the embodiment shown in Fig. 16A, two dual filter modules (Fig. 14A) share a filtrate chamber and form a multiple filter module that has four filters in the module. Further, more than two dual filter modules can also share retentate chambers or filtrate chambers to form multiple filter modules (Fig. 16B). A dual filter module design may also be combined with a filter module to form a multiple filter module

comprising three filters. A multiple filter module design may also be combined with a filter module in a similar fashion.

Filter Cascade Module

5 In some embodiments, two or more filter modules, dual filter modules, or multiple filter modules may be connected in series to form a “filter cascade module.” In the embodiment shown in Fig. 17A, two substantially identical filter modules **171**, **172** are connected in series. The inlet **177** of the second module **172** is in fluid connection with the outlets **503**, **507** of the first module **171**. Feed particles may enter the inlet **502** of the first
10 module **171** and may be separated into retentate and filtrate by the first filter **173**. When the device is operated in laminar flow conditions, the retentate and the filtrate may form two laminar flow streams side by side without convective mixing after separation. As the two particles streams enter the second module **172**, the filtrate from the first module **171** may encounter the second filter **174** by which some particles may be retained. The retentate of the
15 filter cascade module **170** may be collected at outlet **503**. The filtrate of the filter cascade module **170** as a whole may pass through both filters **173**, **174**, and may be collected at outlet **507**. This embodiment increases the recovery yield of retentate particles, as particles that may not be retained by the first filter **173** may be retained by the second filter **174**. Similarly, two or more dual filter modules may be combined in series to form a filter cascade module
20 (Fig. 17B). The inlet **177** of the second module **172** may be in fluid connection with the outlets **503**, **507** of the first module **171**. More than two dual filter modules may be connected in a similar fashion. Other filter configurations, such as multiple filter modules, may also be combined in series to form a filter cascade module.

 It is understood that filter modules, dual filter modules, or multiple filter modules that
25 are connected in series to form a filter cascade module may or may not be substantially identical, and may or may not have substantially identical effective pore sizes or retention sizes. In any of the filter cascade module embodiments, the retentate chamber of a module may further comprise a carrier flow inlet. Fig. 17C shows a filter cascade module embodiment comprising two dual filter modules **171**, **172**. The dual filter module **172**
30 comprises a carrier flow inlet **175**, which may comprise a channel and a through hole **176**. Fig. 17D shows a filter cascade module embodiment comprising two dual filter modules comprising two carrier fluid inlets **521**, **175**.

 Different filter modules, dual filter modules, or multiple filter modules of substantially different effective pore sizes or retention sizes may be combined to form a filter

cascade module that can fractionate feed into multiple fractions. In one embodiment, shown in Fig. 18A, a cascade module **180** comprises a first filter module **181** and a second module **182**. The first module **181** comprises a first chamber **501** comprising an inlet **502** for the feed, and an outlet **503** for a first retentate, herein referred to as “fraction 1.” A first filter **508** is disposed between the first chamber **501** and a second chamber **504**. The second chamber may be designed to draw a small amount of flow through the pores at the first filter **508** to facilitate flow exclusion, and may receive the filtrate from the first filter as the first filtrate. The filtrate outlet **183** of the first module **181** is in fluid connection with the inlet **184** of the second module **182**. The second module **182** comprises a filter **509** that may retain a subpopulation of the first filtrate as “fraction 2,” which is harvested at an outlet **510**. A third chamber **511** may be placed to receive the filtrate of the second filter **509**, and may draw a small amount of flow through the pores of the second filter to facilitate flow exclusion. The filtrate of the second filter **509** may exit via outlet **507**, and is herein referred to as “fraction 3.” The second module **182** may employ a smaller retention size than that of the first module **181**. The two modules **181**, **182** can be arranged to reduce the length of the second chamber **504** (Fig. 18B). In another embodiment of the present disclosure, shown in Fig. 18C, a cascade module **180** comprises a first filter module **181** and a second module **182**. The inlet **184** of the second module **182** is connected to the outlets **183**, **186** of the first module **181**. When the module **180** is operated under laminar flow conditions, the filtrate and the retentate from the first module **181** may flow side by side as two separated flow streams without convective mixing. The interface between the two streams is shown as the dashed line **185**. The cascade module **180** may fractionate feed particles into three different fractions, fraction 1, fraction 2, and fraction 3, which may be collected via exits **503**, **510** and **507** respectively. To increase the purity of fraction 1, a carrier fluid may be introduced via inlet **521**.

Fig. 18D depicts qualitatively the size distribution outcome that a cascade module may achieve when separating dilute rigid spherical particles into three fractions. Complex feeds, such as blood, may be separated into three or more fractions. The separation may be based on several factors, including particle-particle interactions, deformation of particles, and/or non-Newtonian fluid behaviors.

Dual filter modules and multiple filter modules may be cascaded to form filter cascade modules, similar to the manner that filter modules may. Fig. 19 shows two such embodiments. Fig. 19A shows an embodiment that represents a mirror image arrangement of two filter cascade modules shown in Fig. 18C, while sharing the retentate chamber **501**.

Particles are fractionated and collected at outlets **503**, **510**, **507**. Similarly, two filter cascade modules of Fig. 18C may share chambers **504** to form an embodiment shown in Fig. 19B.

Filter cascade modules may be useful for separating particles into three or more fractions according to the particles' mechanical properties, e.g. size, shape, deformability, flexibility, elasticity, and/or viscosity. For example, a filter cascade module may fractionate whole blood into lymphocyte, granulocyte, and erythrocyte populations. Another embodiment of a filter cascade module may fractionate enzyme digested fat tissues into fat cells, a stromal vascular fraction comprising adipose stem cells, and blood cells.

Another embodiment of a filter cascade module is shown in Fig. 20A. A retentate chamber **501** may receive a feed fluid at an inlet **502**. The feed may be driven against a first filter **508**. A first filtrate chamber **504** may be configured to draw small amounts of flows through pores of the first filter **508** to facilitate flow exclusion, and to collect the filtrate from the first filter **508**. The retentate of the first filter **508** may enter the second filter module against a second filter **509**. A second filtrate chamber **516** may be configured to draw small amounts of flows through pores of the second filter **509**, and to collect the filtrate from the second filter **509** via an outlet **513**. The effective pore size of the first filter **508** may be configured to be smaller than the effective pore size of the second filter **509**. The retentate of the second filter may be harvested via an outlet **503** at the retentate chamber **501**.

The embodiment of Fig. 20A may be simplified to that shown in Fig. 20B, because of the laminar flow operating conditions. Filtrates of the two filters **508**, **509** of different retention sizes may be collected by the same filtrate chamber **504**. The two filtrates may not mix convectively and may therefore be collected separately via two outlets **541**, **542**.

In the embodiments shown in Figs. 20A and 20B, the feed particles may be fractionated into three fractions: the filtrate of the first filter **508** (fraction 3), the filtrate of the second filter **509** (fraction 2), and the retentate of the second filter **509** (fraction 1). For feed comprising rigid spherical particles, an example of the size distribution of the three fractions is depicted qualitatively in Fig. 20C. It is appreciated that the filter cascade modules may form dual filter cascade modules (Fig. 21), much as two filter modules may form a dual filter module (Fig. 14, 15). The dual filter modules in Figs. 20A and 20B may further cascade to form cascade modules in the same manner that two dual filter modules of Fig. 14A may form a cascade module shown in Fig. 17B.

It is appreciated that filter cascade modules may comprise cascades of two or more filter modules, dual filter modules, or multiple filter modules.

The above embodiments of filter cascade modules may also employ a carrier flow or multiple carrier flows to increase the purity of retentates, to wash the particles, to treat the particles with different reagents as the carrier flow, or to label the particles.

It is appreciated that a dual filter cascade module may comprise filters of more than
5 two retention sizes, to separate a feed into more than three fractions. It is also appreciated that although the embodiments of dual filter cascade modules described above are symmetric with respect to the centerlines, a dual filter cascade modules may be asymmetric, or may even comprise filters of different effective pore sizes on opposite sides of the centerline.

10 Other Module Configurations

In another embodiment of the present disclosure, a filter module, comprising a retentate chamber, a filter, and a filtrate chamber, may be curved. Such a filter module embodiment may have the advantage of a reduced footprint, when a long filter length is desired. Alternatively, filter modules and filter cascade modules may be arranged in a
15 serpentine shape.

Modules may be combined in ways to attain different filter characteristics. For example, Fig. 22A shows an embodiment of the present disclosure to concentrate retentate particles effectively. Feed may enter the first module **221** via inlet **220**. The first module may concentrate target particles in the feed as its retentate. The retentate may enter the
20 second module **222** as feed and may be concentrated again, before exiting via an outlet **225**. If each module concentrates its feed by a volume reduction factor of 5, then the two modules together may reduce the volume by a factor of 25. More modules, for example, 3, 4, or 5, may be linked together in a similar fashion to get even more concentrated output. If three modules are cascaded in a similar fashion, and if each module has a volume reduction factor
25 of 4, then the three modules together may reduce the volume by a factor of 64. It is appreciated that the modules do not have to concentrate particles by the same factor.

Fig. 22B shows an embodiment of the present disclosure where retentate particles may be washed effectively. Feed may enter a first module **223** via inlets **227**. A carrier fluid may be introduced via inlet **226**. The retentate of the first module may be “washed” by the
30 carrier flow, and may enter a second module **224**. The second module may comprise an inlet **228** for a second carrier flow. The inlet **228** may comprise a through hole in the embodiment. The second carrier flow may or may not be identical with the first carrier flow. The retentate from the first module **223** may be washed by the second carrier flow in the second module **224**. This embodiment may be used to deplete filtrate particle population more completely

and get higher purification of the retentate particle population. It may also be used to treat, wash or label retentate particles using carrier flows. For example, the carrier flow may comprise antibody against a target antigen on the retentate population. As the retentate particles move into the carrier flow stream, the target particles may be labeled by the
5 antibody. It is appreciated that more than two modules may be cascaded in similar fashions.

Figs. 23A, 23B, and 23C show embodiments of dual filter modules where the constituting modules are offset from each other. In the embodiment shown in Fig. 23B, feed particles may enter a retentate chamber **236** via an inlet **230**. The particles may be separated into a retentate fraction and a filtrate fraction by a filter **237**. The filtrate may flow through a
10 filtrate chamber **231** and may enter another chamber **232**. Although this chamber **232** may allow the filtrate from the first filter **237** to pass through, the chamber **232** may also serve as a retentate chamber for a second filter **238**. Because of the laminar flow conditions, the retentate and the filtrate may not mix convectively, and may be collected after they flow through the retentate chamber **232**. The filtrate fractions from the first filter **237** and the
15 second filter **238** may exit via a first outlet **235** and a second outlet **234**, respectively, whereas the retentate fractions of both filters **237**, **238** may be collected via an outlet **233**. Similarly, in the embodiment shown in Fig. 23C, retentate from a first retentate chamber **236** and filtrate from a first filtrate chamber **231** may flow side by side through a second retentate chamber **232**. Under laminar flow conditions the retentate and filtrate do not mix convectively. The
20 dashed line **239** shows the fluid interface between the retentate and the filtrate, which may exit via two different outlets **233**, **235** respectively.

Fig. 23D shows an embodiment of a multiple filter module. This module comprises two modules shown in Fig. 23C as mirror images with respect to each other. The filtrate chambers **231** and the retentate chambers **232** are shared. Because the flow is laminar,
25 filtrate and retentate streams may not mix convectively. The interfaces between the streams are shown as the dashed lines **239**. Filtrate streams may be collected via outlets **234**, **235**, whereas retentate streams may be collected via outlets **233**.

Fig. 23E shows an embodiment of a filter cascade module. This filter cascade module comprises two modules **2310**, **2311**, each of which comprises a module shown in Fig. 23C.

It is understood that the various filter module designs and configurations described
30 above are by way of example only, and are not intended to be limiting. In the spirit of the present disclosure, the filter may comprise pillars of various cross sections, as shown in Fig. 9. Modules may be combined and/or cascaded in various ways to form dual filter modules, multiple filter modules, various filter cascade modules, etc. A carrier flow or multiple carrier

flows may be introduced to the various modules to facilitate filtrate population depletion, filtrate population removal, particle washing, particle labeling, particle treatment, and so on.

Structural Conditions for Flow Exclusion

5 To achieve an effective pore size that is substantially smaller than the physical pore size, a filtrate chamber of a filtration device may be configured to expand gradually. One skilled in the art can consider the conditions when flow exclusion may occur in embodiments of the present disclosure.

Without being bound to any particular mathematical formulas, equations, derivations
10 and theories, conditions that may encourage flow exclusion are described below. For example, let us consider the embodiment shown in Fig. 12. Because the flow through a pore is controlled by the expansion and contraction of the chambers, for example, the widening of the filtrate chamber and/or the narrowing of the retentate chamber, let us define the
15 “proportional filtrate chamber cross sectional area” w as the ratio between the cross sectional area of the filtrate chamber and the cross sectional area of all the chambers, where the cross sections are taken substantially perpendicular to the average flow direction. When a filtration module has chambers of substantially constant depth, as in the embodiment shown in Fig. 12, the “proportional filtrate chamber cross sectional area” w is

$$w = \frac{b}{a + b}$$

20 , where a is the width of the retentate chamber and b is the width of the filtrate chamber at a cross section of interest. The flow through a pore as a fraction of the total flow in the chambers is substantially depends on the increment of the “proportional filtrate chamber cross sectional areas” around the pore. In the embodiment shown in Fig. 12, the increment is designated as

$$w' - w = \frac{b'}{a' + b'} - \frac{b}{a + b}$$

25 On the other hand, because the amount of flow drawn through a pore is approximately proportional to the area of the pore opening and the average flow speed through the pore, and because the amount of flow going down the chambers is approximately proportional to the total cross sectional area of the chambers and the average flow speed in the chambers, it is
30 expected that the flow through a pore as a fraction of the flow in the chamber is substantially proportional to the physical pore size squared divided by the total cross sectional area of the chambers.

Because flow exclusion occurs when the flow through the pore is weaker than the physical pore size may allow (Fig. 4), one condition for substantial flow exclusion to occur may therefore be

$$w' - w < \frac{(Physical\ Pore\ Size)^2}{3 \times (Total\ Cross\ Sectional\ Area)}$$

5 The factor of three at the denominator is a proportional factor estimated by computer simulation (Fig.4). This criterion is herein referred to as the “filtrate chamber expansion criterion.” In some embodiments of the present disclosure, a filtration module comprises a retentate chamber, a filtrate chamber, and a filter comprising pillars and pores comprising a physical pore size, wherein the filtrate chamber expands at a rate that satisfies the “filtrate chamber expansion criterion.” In some embodiments of the present disclosure, the angle(s) at
10 which the filtrate chamber widens, i.e. the varying or fixed angle(s) between the filter and the filtrate chamber sidewall, is very small, for example, about 0.1 degrees, 0.2 degrees, 0.3 degrees, 0.5 degrees, 0.7 degrees, 1 degree, 1.5 degrees, 2 degrees, 2.5 degrees, 3 degrees, or 5 degrees.

15 Another condition that may encourage flow exclusion is to incorporate a large number of pores in a filtration module, because when there are more pores, the flow through each pore may be reduced and flow exclusion may occur. Similar to the previous derivation, it is expected that the number of pores that may be required for flow exclusion depends substantially on the amount of flow collected at the filtrate chamber, and the amount of flow
20 allowed by a pore comprising a physical pore size. Therefore, the minimum number of pores that may be required for flow exclusion may be substantially proportional to the ratio between the cross sectional area of the filtrate chamber outlet, and the physical pore size squared. Therefore another condition for substantial flow exclusion may be

$$N \geq 3k \frac{(Retentate\ chamber\ inlet\ cross\ sectional\ area)}{(Physical\ pore\ size)^2}$$

25 , where N is the number of pores in a module and k is the “proportional filtrate chamber cross sectional area” on the outlet side of the filtration module. The proportion factor of three is estimated using computer simulation. This criterion is herein referred to as the “minimum pore number criterion.” For the embodiment shown in Fig. 12, the condition for substantial flow exclusion may be

$$N \geq 3 \left(\frac{W_2}{W_1 + W_2} \right) \frac{(W_0 D)}{(Physical\ pore\ size)^2}$$

30

In some embodiments of the present disclosure, a filtration module comprises a retentate chamber, a filtrate chamber, and a filter comprising pillars and pores comprising a physical pore size, wherein the number of pores satisfies the “minimum pore number criterion.”

5 It is understood that the above theory, formulas, equations, and derivations are not meant to be limiting. It is appreciated that the “filtrate chamber expansion criterion” and “minimum pore number criterion” may be applied to various embodiments in accordance with the present disclosure filtration modules, including but are not limited to filter modules, dual filter modules, multiple filter modules, and filter cascade modules.

10

Filtration Units

One embodiment of the present disclosure is a filtration unit comprising a filtration module disclosed above, fluidic channels, and ports. The fluidic channels are configured to provide fluid connection between the ports and the module. The fluidic channels may also be
15 configured to provide appropriate fluidic resistances to establish desired flow distributions in the module, for example, the correct proportions of feed and carrier fluid in the module and/or the correct proportions of fluids collected as the retentate and the filtrate, under desired operating conditions.

High Module Density Devices

One of the significant advantages of some embodiments of the present disclosure is to enable high throughput and high capacity devices for flow exclusion-based particle filtration, while maintaining compact device footprints and low shear. The above disclosed module and unit configurations are compact and may be easily patterned into devices of high module
25 density. Such devices may have scalable capacity and processing throughput, and may be extremely useful for many applications, such as volume reduction of umbilical cord blood, cell washing, isolation of stem cells, preparation of stromal vascular fractions, plasma skimming, and filtration of bone marrow stem cells. Stacking many of these compact devices together as one device may provide even higher capacity and throughput.

30 In another embodiment of the present disclosure, a device comprises a plurality of filtration units, where each filtration unit comprises a module disclosed above and fluidic channels that are in fluid connection with the module. In yet another embodiments of the present disclosure, a plurality of filtration units, for example, about 3, 5, 8, 10, 15, 20, 30, 50, 75, 100, 150, 200, 300, 500, 800, or more filtration units, is disposed in a high density

configuration on a single device. Such a device is herein called a “high module density device.”

Figs. 24A – 24F show several embodiments of the high module density devices where filtration units **249** are repeated to increase throughputs and capacities. In Fig. 24A, eight
5 filtration units, each comprising a dual filter module, are disposed. The feed inlets **502**,
retentate outlets **503**, and filtrate outlets **507** of the modules are connected to input ports 241
and output ports **242**, **243** using inlet channels **244** and outlet channels **245**, **246** respectively.

The flow resistances across the channels **244**, **245**, **246** may be configured to establish
proper amounts of flows entering the inlets **502** and exiting the outlets **503**, **507** under
10 operating conditions, and to facilitate the operation of the individual modules. The flow
resistances across the channels **244**, **245**, **246** may be designed to be smaller than, appreciable
to, or greater than the flow resistance of the modules, depending on the operation conditions
for which the device is designed. In some embodiments, the flow resistances across the inlet
and outlet channels **245**, **246** may be from about 0.01 to about 0.99 times the dual filter
15 module resistance.

In another embodiment of the present disclosure (Fig. 24B), each dual filter module
may comprise a carrier flow inlet **521**, which may be connected to an input port **247** via a
channel **248**. The flow resistances of the channels may be designed to facilitate the proper
operation of the individual modules. In yet another embodiment of the present disclosure
20 (Fig. 24C), a multiple filter module is connected to input and output ports using channels. In
yet another embodiment of the present disclosure (Fig. 24D), many modules may share an
input port **241** and an output port **242**. The channels connecting the modules to the ports may
be designed to have substantially equal resistances. In yet another embodiment of the present
disclosure (Fig. 24E), modules may be disposed on a circular disk. In the embodiment of Fig.
25 24E, the disk may be spun about a central axis to generate a centrifugal force which may
drive a fluid through the modules disposed on the disk.

Modules are not limited to be disposed in just one row. Two or more rows of
modules may be disposed as one device. With two or more rows of modules, there are more
possible arrangements to share ports and reduce the footprints of the devices. Fig. 24F shows
30 a plurality of 20 dual filter modules disposed in two rows sharing common feed input ports.
Furthermore, devices may be stacked to achieve high capacity and throughput (Fig. 25).

It is appreciated that a plurality of filter modules, dual filter modules, filter cascade
modules, dual filter cascade modules, multiple filter modules, multiple filter cascade

modules, other configurations, or any combinations of the above modules may be placed in any possible two or three dimensional relationship with respect to one another.

Filtration Device Manufacturing Techniques

5 A variety of techniques may be used to fabricate embodiments of devices in accordance with the present disclosure. In one embodiment of the disclosure, a device may be micromachined. Micromachining techniques may be selected from, but are not limited to, those known in the art, for example, techniques conventionally used for silicon-based
10 integrated circuit fabrication, embossing, soft embossing, casting, imprinting, molding, injection molding, extrusion, stereo laser lithography, selective laser sintering, photodefinable glass lithography and wet etching, computer numerical control (CNC) machining, polydimethylsiloxane (PDMS) soft lithography, ultrasound micromilling, thick photoresist lithography, combinations of the above techniques, and so on. Examples of suitable fabrication techniques include photolithography, deep reactive ion etching, wet etch,
15 molding, embossing, imprinting, laser ablation, thick photoresist lithography, soft lithography, radiation track etching, and other techniques. Some aspects and embodiments of filtration devices may be made of materials that are compatible with the conditions present in the particular application of interest. Such conditions may include, but are not limited to, pH, temperature, organic solvents, biocompatibility, ionic strength, pressure, application of
20 electric fields, sticking properties, surface charge, surface functionalization, surface treatment, wet angle, hydrophilicity, hydrophobicity, mechanical strength, and heat expansion. The materials of the device may also be chosen for their optical properties, mechanical properties, chemical properties, chemical resistance to solvents, melting properties, and for their inertness to components of the application to be carried out in the
25 device. Such materials may include, but are not limited to, glass, fused silica, silicone rubber, silicon, ceramics, photodefinable glass, plastics, polymeric materials, photosensitive polymers, thick photoresist, SU-8 resist, polydimethylsiloxane (PDMS), cyclic olefin copolymer (COC), cyclic olefin polymer (COP), polycarbonate, polyethylene, polypropylene, polymethylmethacrylate (PMMA), pressure sensitive materials, Teflon, acrylic,
30 polyethersulfone, polytetrafluoroethylene, etc. The devices may be sterilized using standard sterilization techniques, e.g. gamma irradiation, ethylene oxide (EO) sterilization, ultra violet light illumination, autoclaving, etc.

Efficiency Metrics for Characterizing Microfluidic Filtration Modules, Units and Devices

Compared to other microfluidic devices, some aspects and embodiments of the present disclosure create conditions for flow exclusion much more efficiently. Embodiments
5 of a filter module in accordance with the present disclosure may be disposed as one physically compact device, e.g. a high module density device, that has a desired capacity and/or throughput. Embodiments of the present disclosure may have many significant advantages. For example, embodiments of the present disclosure may not be susceptible to clogging. Second, some aspects and embodiments of the present disclosure may be relatively
10 easy to manufacture because these aspects and embodiments may comprise a very small footprint and a relatively small number of pillars. Third, some aspects and embodiments of the present disclosure may tend to be gentle to the particles being filtered. In some embodiments a filtrate particle may go through as few as one pore per module during the filtration process. Fourth, some aspects and embodiments of the present disclosure introduce
15 little diffusion, because particles are not subject to the constant collision and scattering that other designs may inflict. Little diffusion may result in highly efficient separation.

Aspects and embodiments of the present disclosure may comprise high throughput, low shear, and compact filtration devices that are easy and cost effective to manufacture. Metrics can be defined to quantify the efficiency of a device design and the potential efforts
20 required to manufacture. One metric that reflects the potential efforts required to manufacture a microfluidic filtration device is the hold up volume of the device. The hold up volume is the void volume inside a device, and may represent the amount of material that is removed or displaced during the device fabrication process. For example, one method for fabricating microfluidic filtration devices in silicon is photolithography followed by reactive
25 ion etching. The number of devices that can be made on a wafer depends on the size of the etched area in a device, whereas the machine time for reactive ion etching depends on the etch depth. The hold up volume of a device may be approximately the size of the etched area multiplied by the etch depth, and therefore may represent the efforts and costs required to fabricate the device. For example, for filtration devices microfabricated in silicon using
30 microfabrication, the larger the hold up volume a device has, the more wafer material, photolithography efforts, and etching machine time will be needed. Other device fabrication techniques, such as injection molding, also result in similar correlations between the hold up volume and the efforts needed to make the device.

For microfluidic filtration devices comprising one or more filtration modules, e.g. a filter module, a dual filter module, a filter cascade module, or a multiple filter module, the hold up volume of the filtration module can serve as a good metric for characterizing the filtration module and or the device. Some aspects and embodiments of the present disclosure
5 comprise filtration modules with small hold up volume, e.g. a filtration module may comprise a hold up volume of <1 μl , <0.3 μl , <0.1 μl , <0.03 μl , <0.01 μl , or smaller. The hold up volumes of several exemplary embodiments of the present disclosure are calculated and disclosed in the example section below.

Another metric that can be defined to estimate the efforts required to manufacture a
10 module is the “filtration unit density,” defined herein as number of filtration units per volume. More specifically, the “filtration unit density” may be calculated as

$$\text{Filtration Unit Density} = \frac{(\text{Number of Modules per Device})}{(\text{Device Footprint}) \times (\text{channel Depth})}$$

For example, consider a high module density device having 100 identical filtration units, a footprint of 2 cm x 2 cm, and an average characteristic channel depth of 50 μm , the
15 “filtration unit density” is $100 / [(2 \text{ cm} \times 2 \text{ cm}) \times 50 \mu\text{m}]$, which equals to $5,000 \text{ cm}^{-3}$. Such a “filtration unit density” means that in principle, up to 5,000 filtration units can be packed in a high module density device that is a cubic centimeter in size. In order to increase the usefulness and reduce the cost of a microfluidic filtration device, it may be desired to maximize the “filtration unit density” of the device, as the device throughput depends on the
20 number of modules in the device, and the cost tends to scale with the volumetric amount of fluidic features in the device. Some aspects and embodiments of the present disclosure enable devices that have a high “filtration unit density.” The “filtration unit density” of several exemplary embodiments of the present disclosure are calculated and disclosed in the example section below.

25 In addition to the device footprint and the channel depth, an important performance specification of a microfluidic separation device is the particle processing speed, defined as the number of feed particles processed per unit time. To characterize the particle processing speed of a device, it may be important to take into account the device footprint and the fluidic channel depth, which correlates to the manufacturing difficulty and cost of the device. A
30 “normalized processing speed” may be defined for a microfluidic separation device as follows:

$$\text{Normalized Processing Speed} = \frac{(\text{Particle Processing Speed})}{(\text{Device Footprint}) \times (\text{Channel Depth})}$$

It may be desired that a device has a high normalized processing speed. Many aspects and embodiments of the present disclosure enable separation devices to have high “processing speed indices.” The processing speed indices for several exemplary
 5 embodiments of the present invention are calculated and disclosed in the example section below.

Another important factor relating to the efficiency and the manufacturing cost of a microfluidic separation device may be the operating flow speed. Increasing the flow speed in many instances increases the throughput of the device without increasing the manufacturing
 10 cost. However, this approach may come with significant limitations for applications where shear stress is a concern. Increased flow speeds may cause higher shear stress conditions, leading to potential particle damage and/or filter fouling. For cell filtration applications, it may be desirable for shear to be limited. Cells may be vulnerable to high shear stress, and may be activated, damaged, altered, or even lysed by high shear stress. Many aspects and
 15 embodiments of the present disclosure allow for maximizing the flow speed while limiting the shear.

When comparing throughputs of different microfluidic flow-through separation devices, one may desire to normalize the throughputs according to device footprints, channel depths, and operating shear conditions. Further, the throughputs may be normalized
 20 according to the square of the characteristic retention size of the filtration devices, because a device with a larger retention size may tend to have a higher throughput. Herein a “design efficiency index” (*D.E.I.*), representing the normalized throughput of a microfluidic separation device is defined as:

$$D.E.I. = \frac{Q}{ADSR^2}$$

25 , where Q is the volumetric throughput at which the device processes the feed, S is the maximum shear rate that a particle experiences when flowing through the device, A is the device footprint, which is an area, D is the characteristic depth of the device channels, and R is the retention size of the device. Shear rate herein is defined as the velocity gradient of the fluid in the direction perpendicular to the velocity, and has the dimension of 1/time. The
 30 design efficiency index has the dimension of 1/length², and may be considered an intrinsic

property of the device, regardless of the device size, channel depths, operating shear conditions, and retention size.

The “design efficiency index” may be a good indicator for the usefulness of a device design. Devices with high design efficiency indices may be of high throughput, and may be compact, gentle, and easy to manufacture. Design efficiency indices may be extremely useful for characterizing the intrinsic throughput performances of microfluidic flow-through devices for particle filtration, where the operation conditions are such that the flow is laminar, where the Reynolds number Re is low, e.g. <0.01 , <0.1 , <1 , <10 , <100 , or <500 , and where the particle size range is between about 50 nm and about 300 μm .

Aspects and embodiments of the present disclosure may enable devices of high design efficiency indices. The “design efficiency indices” of several exemplary embodiments of the present disclosure are calculated and disclosed in the example section below.

It is appreciated that aspects and embodiments of the present disclosure may enable filtration devices, particularly microfluidic separation devices, to comprise design features that significantly improve the device performance and cost efficiency, as characterized by the hold up volume, the filtration unit density, the normalized processing speed, and/or the design efficiency index metrics.

SYSTEMS

Bag System for Particle Filtration

In some embodiments of the present disclosure, high module density devices are housed in a filter cartridge and connected to tubing lines and bags to form a closed system. Such systems may be particularly useful for clinical applications, e.g. umbilical cord blood volume reduction, peripheral blood component separation, stem cell isolation from amniotic fluid, bone marrow filtration, leuko-reduction, plasma skimming, generation of stromal vascular fractions (SVF), etc. The particle sample being processed may not be exposed to outside contaminants. Further, the particle sample may be contained in the system, thereby reducing biohazard risks to the operator.

Figs. 26A-26E show an embodiment of a filter cartridge comprising a housing **260** and multiple high module density devices **261**. The housing **260** may comprise a feed channel **262**, a retentate collection channel **263**, and a filtrate collection channel **264**. The channels may be connected to fittings **265**, **266**, **267** so that the cartridge can be connected to tubing to form a bag system. The cartridge **260** may distribute the feed across the high module density devices **261** so that the devices can process the feed in parallel to achieve

high volumetric throughput. The cartridge **260** may also collect the retentate and filtrate from the high module density devices **261**. As shown in Figs. 26D and 26E, multiple high module density devices **261** may be stacked using gaskets **268** to provide proper seal so that the feed, retentate and filtrate are not cross contaminated. Alternatively, the high module density
5 devices **261** may be glued or bonded.

The different parts of the filter cartridge can be glued, bonded, ultrasound bonded, clipped, or screwed together. The housing of the cartridge can be made of plastic using standard manufacturing techniques, such as injection molding, embossing, molding, hot embossing, stereo lithography, machining, etc. The plastic material for the housing may
10 include, but not be limited to cyclic olefin copolymer (COC), cyclic olefin polymer (COP), polycarbonate, polyethylene, polypropylene, polymethylmethacrylate (PMMA), pressure sensitive materials, Teflon, acrylic, polyethersulfone, polytetrafluoroethylene, etc. The gaskets can be made of rubber materials such as silicone, latex, neoprene, vinyl rubber, using standard techniques such as die cutting, molding, waterjetting, etc.

15 Figs. 27A-27C show a bag system comprising a cartridge **270** comprising multiple high module density devices, a filtrate collection bag **273** and a retentate collection bag **272**. The bags **272**, **273** may be connected to the cartridge **270** using tubing **275**, **276**. The feed inlet of the cartridge **270** may be connected to an adaptor **271** using a tubing **274**. The adaptor **271** may comprise a sike designed to penetrate a sample collection bag **278** at a port
20 **279** (Fig. 27B), and allow feed particles in the sample collection bag **278** to enter the cartridge for filtration. The feed may comprise feed or particles described above, for example, blood, umbilical cord blood, peripheral blood stem cells, bone marrow, etc. After the adaptor **271** is plugged into the sample collection bag **278**, the bag system may be hung under gravity (Fig. 27C), which may drive the feed through the high module density devices.
25 Alternatively, a pressure can be applied to squeeze the sample bag **278** to drive the sample through the filter cartridge **270**. Alternatively, a peristaltic pump can be applied to pump the fluids. The sample collection bag **278** can further comprise a needle **2710** to facilitate sample collection from a sample source, such as a patient or an umbilical cord.

The volumetric capacity of the bags may depend on the specific application the
30 system is designed for. For umbilical cord blood banking purposes, umbilical cord blood is collected from an umbilical cord. The sample bag **278** may be capable of accommodating a range of about 20ml to about 250ml of umbilical cord blood, plus a range of about 0ml to about 400ml of anticoagulant or additives. Citrate phosphate dextrose (CPD) and heparin may be used as anticoagulants for umbilical cord blood collection. Additives may comprise

phosphate buffered saline solution, Hank's balanced salt solution, a blood expander, a stem cell growth medium, growth factors etc. The anticoagulant or additives can be preloaded in the sample collection bag **278**. In one embodiment of the present disclosure, a sample collection bag for umbilical cord blood may contain about 25ml to 35ml of CPD and may
5 have the capacity to collect up to about 200ml of umbilical cord blood.

In umbilical cord blood banking, umbilical cord blood may be processed to reduce the blood volume before freezing. This practice may reduce the long term storage cost. A bag system embodiment of the present disclosure can be used for umbilical cord blood volume reduction, where the bag system comprises high module density devices designed to separate
10 red blood cells and plasma from retentate. The retentate may comprise hematopoietic stem cells, progenitor cells, colony forming cells, and CD34+ cells. The retentate may be mixed with a freezing medium, e.g. dimethyl sulfoxide (DMSO), and may be frozen under cryopreservation conditions for later therapeutic use. The retentate collection bag **272** may comprise a cryopreservation freezing bag. In another embodiment of the present disclosure,
15 the retentate collection bag may comprise a cryopreservation freezing bag comprising at least 2 compartments. In yet another embodiment of the present disclosure, the retentate collection bag may comprise a cryopreservation freezing bag with a capacity of 25 ml.

A bag system can further comprise a line clamp **277** on the feed tubing, retentate tubing, or filtrate tubing to control fluid flow in the bag system (Fig. 27).

In another embodiment of the present disclosure, a sample collection bag **281** may be
20 connected to a filter cartridge **280** using a tubing line **285** (Fig. 28). The system may further comprise a line clamp **287**, which initially may be in a closed position. Sample, e.g. blood, umbilical cord blood, bone marrow, etc. may be collected using a needle **284** from a source, e.g. a patient, an umbilical cord, etc. The system optionally comprises a second needle,
25 which may be used when the first needle is clogged. After the sample collection is completed, the line clamp **287** may be switched to the open position to allow liquid connection between the sample bag **281** and the filter cartridge **280**. The sample may be driven by a driving force, such as gravity, a pressure, or a peristaltic pump.

30 **Tube System for Particle Filtration**

In another embodiment of the present disclosure, a high module density device may be incorporated in a tube system for sample filtration. The tube system may comprise a centrifuge tube **290**, a tube insert **291**, and a cap **292** (Fig. 29). The tube insert **291** may comprise a high module density device **293**, a feed sample reservoir **294**, an output reservoir

295, and optionally a carrier fluid reservoir 296 (Fig. 30). The output reservoir may be designed to contain the filtrate or retentate from the high module density device 293.

To use the tube system, a feed sample may be added to the feed sample reservoir. Optionally, a carrier fluid may be added to the carrier fluid reservoir. The carrier fluid may be marketed together with the tube system as a kit. The carrier fluid may be degassed to reduce the risk of bubble formation in the high module density device, or pre-packaged in a bottle under vacuum, i.e. at a pressure in a range of from about 0.05 atm to about 0.95 atm. Alternatively, the carrier fluid may be preloaded in a tube insert that is sealed using a foil, e.g. an aluminum foil.

The high module density device may separate a feed sample into two fractions. One fraction may be collected in the tube (290 in fig. 29), and the other fraction may be collected in the tube insert. In one embodiment, the retentate may be collected in the tube. In another embodiment, the filtrate may be collected in the tube. In yet another embodiment, feed samples may be fractionated into three or more fractions. Two or more output fractions may be collected using the insert.

To operate the tube system (Fig. 29), the tube insert 291 may be inserted in the tube 290. A carrier fluid and a feed sample may be added to the carrier fluid and sample reservoirs respectively. The cap 292 may then be put on to close the tube. The tube system can be driven by gravity. Alternatively, the tube system may be driven by centrifugal force, i.e. the assembled tube system may be spun in a centrifuge. The tube in the system can be a standard off-the-shelf centrifuge tube, e.g. a 50 ml, 15 ml, or 10 ml centrifuge tube, a standard off-the-shelf micro-centrifuge tube, e.g. a 2 ml, 1.5 ml, or 1 ml micro-centrifuge tube, or a non-standard custom made tube of any desired size.

Cartridge System and Plate System for Particle Filtration

In another embodiment of the present disclosure, a filtration device may be connected to wells to form a cartridge for sample filtration. The cartridge may comprise a filtration device and wells or reservoirs to accommodate a feed sample, retentate, filtrate, or carrier fluid. The cartridge may comprise multiple filtration devices and multiple sets of reservoirs to facilitate filtration of multiple samples. The reservoirs in the cartridge may be sealed with film, e.g., a plastic film, an aluminum film, etc.

In other embodiments of the present disclosure, a filtration device may be connected to wells to form a plate system for sample filtration. The system may comprise a filtration device and wells to accommodate the input and output fluids. The filtration device may

comprise a filter module, a dual filter module, a filter cascade module, a multiple filter module, a high module density device, or any filter configuration disclosed in the present disclosure.

5 Figs. 31A-31C show a plate system embodiment of the present disclosure, comprising a high module density device **3105**, a sample well **3101**, a carrier fluid well **3102**, a filtrate well **3103** and a retentate well **3104**. To use the system, a feed sample and a carrier fluid may be loaded into the sample well **3101** and the carrier fluid well **3102** respectively. A pressure may then be applied to the sample well **3101** and the carrier fluid well **3102** to drive the fluids through the filtration devices **3105**. Alternatively, a mild vacuum may be applied to the
10 filtrate well **3103** and the retentate well **3104** to drive the fluids. The filtrate and retentate may be collected in a filtrate well **3103** and a retentate well **3104** respectively.

A plurality of plate systems as disclosed above can be made in parallel as one plate system. Figs. 32A-32D show a 96 well plate system embodiment of the present disclosure, comprising multiple high module density devices and 96 wells in a 96 well plate format.
15 This system may have the advantage of using a standard 96 well plate format, and can be integrated into a standard workflow using standard pipetting and processing robots or workstations. This system may have the further advantage of processing multiple samples in one system, either simultaneously or consecutively. Alternatively, a plate system can be designed and made into other standard plate formats, e.g. a 6 well plate, a 384 well plate, etc.
20 Further, a plate system can be designed and made into other non-standard plate formats without deviating from the spirit of the present disclosure.

The particles and fluids involved in the cartridge system or the plate system may be transferred manually or using an automated instrument, such as a pipetting robot.

25 **Other System Formats for Particle Filtration**

Other system of different formats can be designed and made without deviating from the spirit of the present disclosure. For example, filtration devices can be integrated with reservoirs and dispensing tips to dispense filtrate, retentate and optionally other fractions into test tubes or multi-well plates. In another embodiment of the present disclosure, a device is
30 connected to vacutainers.

System Manufacturing Techniques

In accordance with some embodiments systems as described above can be made in plastic using standard manufacturing techniques, such as injection molding, embossing,

molding, hot embossing, stereo lithography, etc. The plastic material for the housing may include, for example, polydimethylsiloxane (PDMS), cyclic olefin copolymer (COC), cyclic olefin polymer (COP), polycarbonate, polyethylene, polypropylene, polymethylmethacrylate (PMMA), pressure sensitive materials, Teflon, acrylic, polyethersulfone, 5 polytetrafluoroethylene, etc. The systems may be sterilized using standard sterilization techniques, e.g. gamma irradiation, ethylene oxide (EO) sterilization, ultra violet light illumination, etc.

Operation of Devices and Systems

10 In various aspects and embodiments of the present disclosure, particles and fluids may be driven through a device or system using a fluid flow, a driving pressure, a vacuum, a head height, gravity, a centrifugal force, a magnetic force, a capillary action, an electric field, an electrophoretic field, an dielectrophoretic field, an electro-osmotic force, an electrokinetic force, or a combination of the above forces. Further, for a device or a system comprising a 15 flexible bag, a driving pressure may be created by applying a pressure on the bag. For example, a bag may be sandwiched between two rigid plates. A pressure within the bag can be created and controlled by controlling the spacing between the plates or pressure exerted on the plates.

Particles and fluids may also be driven or transferred using one or more pumps, 20 peristaltic pumps, syringe pumps, a centrifuge, or a combination of the above, and controlled using one or more valves or line clamps, e.g., pinch valves, check valves, vent valves, line clamps, etc. Further, the particles and fluids may also be transferred within a closed system, in an open system, using pipettes, using pipetting robots, using the suction of one or more vacutainers, or a combination of the above.

25 Aspects and embodiments of devices and systems of the present disclosure may also be operated with temperature control. Temperature control, e.g. heating elements, cooling elements, and thermometer components, can be incorporated into a device or system for the purpose of increasing the reproducibility of the filtration process or optimizing the filtration process. For example, in stromal vascular fractions (SVF) preparation, it may be 30 advantageous to set the temperature of the device to between about 25C and about 37C to reduce the viscosity of the fluids being processed.

Packaging and Kits

In another embodiment of the present disclosure, a device or system may be preloaded or prefilled with reagents, e.g. carrier fluids. In yet another embodiment of the present disclosure, a device or system may be packaged with reagents, a user's manual, instructions, 5 labels, operating protocols, data worksheets, disposable parts, collection tubes, pipette tips, transfer pipettes, vacutainers, test strips, biochips, lateral flow test strips, a cell counting chamber, a hemacytometer, and/or other devices to form a kit. Several devices or systems may be packaged and marketed as one kit. In another embodiment of the present disclosure, s device, system or kit may be sterilized. In yet another embodiment of the present 10 disclosure, s device or system may individually packaged for extra sterility benefits.

It is understood that the various embodiments described herein are by way of example only, and are not intended to limit the scope of the disclosure. Various modifications, combinations, and variations of the described method and device of the disclosure will be apparent to those skilled in the art without departing from the scope and spirit of the 15 disclosure. For example, many of the materials and structures described herein may be substituted with other materials and structures without deviating from the spirit of the disclosure. Further, a fluid flow described herein may be substituted with an electric field, an electrophoretic field, and electrokinetic flow, gravity, or a centrifugal force. It is also understood that the various theories and explanations described herein are not intended to be 20 limiting. For example, the embodiments described herein may employ a fluid flow, a pressure drop, a hydrodynamic pressure, a pressure source, a vacuum, a head height, gravity, a centrifugal force, an electric field, an electrophoretic field, an electrokinetic force, or a combination of the above to drive the particles, without deviating from the spirit of the disclosure.

It is appreciated that while the filters in many of the embodiments described herein 25 comprise pillars and pores, other filter designs comprising pores that use flow exclusion or other non-size-exclusion filtration mechanisms may be employed without deviating from the spirit of the disclosure. It is also appreciated that the embodiments of the present disclosure may be combined with other components or processes to form a more complicated device, 30 system, or instrument.

EXAMPLES

Example 1. Polymer microsphere separation and measurement of retention size

Fluorescent polymer microspheres of 3.0 μm and 6.9 μm diameter were separated using a device comprising a dual filter module such as is illustrated in Fig. 14B. The dual filter module comprised channels and chambers 30 μm deep, and two filters comprising 165
5 pillars each. The pillars were 30 μm tall and 12 μm apart, thereby creating pores having physical pore sizes of 12 μm . The retentate chamber and filtrate chamber were designed such that the flow rate through a pore was between about 0.22% and about 0.28% of the flow rate at the retentate chamber inlet. The dual filter module was about 4 mm long and 0.25 mm
10 wide.

The device was fabricated in silicon using standard microfabrication techniques. Photolithography and deep silicon reactive etching were used to create the fluidic channels, chambers, and filter structures. The etch depth was 30 μm . The silicon substrate was sealed on the etched channel face to a glass wafer to form enclosed fluidic channels using anodic
15 bonding. The bonded wafer was then diced into individual devices. The device was mechanically mated to a plastic enclosure with external fluidic reservoirs to deliver sample fluids.

The sample fluid comprised fluorescent polymer microspheres of 3.0 μm and 6.9 μm diameter suspended in Dulbecco's phosphate-buffered salt solution containing 1% bovine
20 serum albumin. The density of the microspheres was 1.05 g/cm^3 . The volumetric concentrations of the 3.0 μm and 6.9 μm microspheres in the sample fluid were 0.00004% and 0.00048% respectively, which were about 28 microspheres each per μl . At such concentrations, particle-particle interactions are negligible.

The device was mounted on a fluorescence microscope to visualize the fluorescent
25 polymer microspheres. A carrier fluid was added to the carrier fluid reservoir in the plastic enclosure to prime the device. The carrier fluid comprised Dulbecco's phosphate-buffered salt solution containing 1% bovine serum albumin. The sample fluid was subsequently added to the sample reservoir. Both reservoirs were then raised above the level of the retentate and filtrate reservoirs to create a head height of about 30 cm. The fluids were driven through the
30 device by gravity and the head height. The average flow speed in the dual filter module was about 1.5 cm/s , corresponding to a Reynold's number in the chambers of about 0.45. The channel depth was used as the characteristic length in the calculation of the Reynold's number. The flow is laminar at such a Reynold's number.

The fluorescent polymer microspheres flowing through the device were manually counted as they departed the dual filter module. The results are shown as follows:

Microsphere Diameter	Retained	Not Retained	Retention Rate
3.0 μm	0	20	0%
6.9 μm	258	3	99%

5 The 3.0 μm microspheres represents the baseline where little retention occurs, and has a retention rate of about 0%. The retention rate for the 6.9 μm microspheres is about 99%, which is substantially higher than the baseline established by the 3.0 μm microspheres. The “retention size” of the dual filter module is therefore determined to be in the range of 3.0 μm to 6.9 μm , which is <58% of the physical pore size of 12 μm . For the dual filter module to
10 have such a retention size, the “effective pore size” of the constituting pores must be no greater than 6.9 μm . The exemplary device herein has an effective pore size of <58% of the physical pore size.

It is appreciated that the exemplary method of using polymer microspheres to measure the retention size and the effective pore size may be applied to other filtration devices as a
15 standard test for characterizing the retention sizes, regardless of the intended use of the filtration devices. For example, the devices used in Examples 2, 3, 4 and 5 below can be characterized using polymer microspheres, even though the devices were intended for cell processing.

20 **Example 2. Isolation of leukocytes from whole peripheral blood**

Leukocytes were isolated from whole peripheral blood using a high module density device.

The exemplary device was a high module density device such as is illustrated in Fig. 24B comprising 72 filtration units (**249** in Fig. 24B) each comprising a dual filter module, a carrier fluid input port (**247** in Fig. 24B), a sample input port, a retentate output port, two
25 filtrate output ports, and channels connecting the dual filter module and ports. The channels and chambers in the device were 30 μm deep. The dual filter modules each comprised 2 filters comprising 240 pores each. Each pore had a cross section of 30 μm x 12 μm , thereby

having a physical pore size of 12 μm . The retentate chambers and filtrate chambers of the dual filter modules were designed such that the flow rate through a pore was between about 0.12% and about 0.18% of the flow rate at the inlet of the retentate chamber. The device was 25 mm long, 24 mm wide, 0.6 mm thick, and had a footprint of 600 mm^2 (25 mm x 24 mm).

5 Using the method described in Example 1, the effective pore size and the retention size was measured. It was estimated that the retention size of the device was about 4 μm , which is significantly smaller than the physical pore size of 12 μm .

The device was fabricated in silicon using standard microfabrication techniques. Photolithography and deep silicon reactive etching were used to create the fluidic channels, chambers, and filter structures. The etch depth was 30 μm . The silicon substrate was sealed
10 on the etched channel face to a glass wafer to form enclosed fluidic channels using anodic bonding. The bonded wafer was then diced into individual devices. The device was mechanically mated to a plastic enclosure comprising external sample, carrier fluid, retentate, and filtrate reservoirs.

15 Human whole peripheral blood was used for samples in this example. The blood was drawn from consenting adult donors using K_2EDTA , ACD, or heparin vacutainers (Becton Dickinson, Franklin Lakes, New Jersey). The hematocrits of the blood samples were about 40%. The bloods contained greater than 4 billion erythrocytes per ml. The hematocrit is the proportion of blood volume that is occupied by red blood cells. The blood was processed at
20 room temperature within six hours from draw. Dulbecco's phosphate buffered saline solution containing 0.5% bovine serum albumin and 2 mM K_2EDTA was used as the carrier fluid.

8 ml of the carrier fluid was added to the carrier fluid reservoir in the plastic enclosure to prime the device. 4 ml of whole blood was subsequently added to the sample reservoir. Both reservoirs were then raised above the level of the retentate and filtrate reservoirs to
25 create a head height of about 40 cm. The blood and the carrier fluid were driven through the device by gravity and the head height. The filtrate and the retentate were collected in the filtrate and retentate reservoirs respectively. After about 40 minutes, the blood was completely processed through the device. The filtrate and the retentate were then measured and analyzed using an automated cell counter (Coulter AcT diff hematology analyzer,
30 Beckman Coulter, Fullerton, California). The viability of the isolated leukocytes was measured immediately after the run, using propidium iodide, a stain that permeated cells with compromised membranes, a hemacytometer, and a fluorescence microscope.

The resulting retentate and filtrate volumes were about 3.5 ml and 7.6 ml respectively. Leukocytes were collected in the carrier fluid as the retentate. This experiment was performed twice, using blood samples from two different donors. The results are shown in Fig. 33. On average, the whole blood processing throughput was about 5.4 ml/hr. The device demonstrated the capability to process over 6 million cells per second. The leukocyte retention was about 94%, the erythrocyte carryover was about 2%, and the platelet carryover was <1%. Here, the erythrocyte carryover and the platelet carryover refer to the retention rates of erythrocytes and platelets, respectively. The leukocyte viability after processing was indistinguishable within the measurement error from that before processing. The measurements showed that the device and the isolation process do not reduce leukocyte viability, and that the device is able to isolate leukocytes with >99% viability.

The performance and cost efficiency metrics are shown below. The hold up volume of a filtration module in the exemplary device was about 0.03 μ l. Each filtration unit comprised 480 pillars, and occupied a footprint of less than 8.4 mm^2 (i.e. a device footprint of 25 mm x 24 mm divided by 72 filtration units). With a channel depth of 30 μ m, a device footprint of 600 mm^2 (25 mm x 24 mm), and 72 filtration units on the device, the “filtration unit density” of the device was therefore

$$\text{Filtration Unit Density} = \frac{72}{600\text{mm}^2 \times 0.03\text{mm}^2} = 4,000 \text{ filtration units per cm}^3$$

The “normalized processing speed” of the exemplary device is calculated as follows:

$$\text{Normalized processing speed} = \frac{6 \times 10^6 \text{ s}^{-1}}{(25 \text{ mm} \times 24 \text{ mm}) \times (0.03 \text{ mm})} = 0.33 \times 10^6 \text{ s}^{-1}\text{mm}^{-3}$$

Such a “normalized processing speed” means that every cubic millimeter of channel and filter structure on this device contributes to processing 0.33×10^6 cells per second.

The design efficiency index of the exemplary device is calculated below.

Feed processing throughput: $Q = 5.4 \text{ ml/hr} = 1.5 \text{ mm}^3/\text{s}$

Characteristic channel depth: $D = 30 \text{ }\mu\text{m} = 0.03 \text{ mm}$

Device footprint: $A = 25 \text{ mm} \times 24 \text{ mm} = 600 \text{ mm}^2$

5 Retention size: $R = 4 \text{ }\mu\text{m} = 0.004 \text{ mm}$

Shear rate: $S = 1900 \text{ s}^{-1}$ (calculated below)

The maximum shear rate that a feed blood cell may experience in the device occurs at the surface of the feed inlet channel, according to computer modeling. The maximum shear rate can be calculated using computer fluid dynamics, or can be estimated analytically as follows assuming that the flow profile is parabolic in the feed inlet channel. From the fact that the device contains 144 feed inlet channels (2 per module, 72 modules) and that each inlet channel has a known cross section of $70 \text{ }\mu\text{m} \times 30 \text{ }\mu\text{m}$, the average flow velocity $\langle v \rangle$ in the feed inlet channel is calculated to be

$$15 \quad \langle v \rangle = \frac{1.5 \text{ mm}^3/\text{s}}{144 \times (70 \text{ }\mu\text{m} \times 30 \text{ }\mu\text{m})} = 5.0 \text{ mm/s}$$

The shear rate at the surface of the feed inlet channel assuming a parabolic flow profile is therefore

$$S \approx 6 \times \frac{\langle v \rangle}{30 \text{ }\mu\text{m}} \cong 1000 \text{ s}^{-1}$$

The design efficiency index (*D.E.I.*) of the exemplary device is therefore

$$20 \quad D.E.I. = \frac{Q}{ADSR^2} \approx \frac{1.5 \text{ mm}^3/\text{s}}{600 \text{ mm}^2 \times 0.03 \text{ mm} \times 1000 \text{ s}^{-1} \times (0.004 \text{ mm})^2} = 5.2 \text{ mm}^{-2}$$

Similarly, the design efficiency index (*D.E.I.*) of a filtration unit of the exemplary device may be calculated. Because there were 72 filtration units on the device, each filtration unit contributed to a feed processing throughput of $0.0208 \text{ mm}^3/\text{s}$ ($1.5 \text{ mm}^3/\text{s}$ divided by 72). The average footprint of a filtration unit is 8.33 mm^2 ($25 \text{ mm} \times 24 \text{ mm} \div 72$). The design efficiency index (*D.E.I.*) of a filtration unit is therefore

$$25 \quad D.E.I. = \frac{Q}{ADSR^2} \approx \frac{0.0208 \text{ mm}^3/\text{s}}{8.33 \text{ mm}^2 \times 0.03 \text{ mm} \times 1000 \text{ s}^{-1} \times (0.004 \text{ mm})^2} = 5.2 \text{ mm}^{-2}$$

Despite that the device has a much higher processing throughput than a single filtration unit, the design efficiency index for the filtration unit is exactly the same as that of

the device. It is appreciated that much as polymer microspheres may be used as a standard test to measure the retention size of a device regardless of the intended use, the design efficiency index may be used as a standard characteristic of a device regardless of its channel sizes, operating flow rates, and retention sizes.

5

Example 3. Leukocyte reduction of whole blood

The exemplary device in Example 2 may serve as a leuko-reduction filter. The filtrate of the device contained only 6% or less of the leukocytes entering the device. Example 2 showed that the devices of the present disclosure can be used to reduce leukocytes from whole blood. Other device configurations, with or without a carrier fluids, may also be used as leuko-reduction filters.

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Example 4. Isolation of lymphocytes from peripheral blood

Lymphocytes were isolated from peripheral blood using a high module density device.

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The exemplary device was a high module density device comprising 87 filtration units each comprising a filter cascade module such as is illustrated in Fig. 17C. Each filter cascade module comprised a first dual filter module (element 171 in Fig. 17C) and a second dual filter module (element 172 in Fig. 17C) comprising a carrier fluid inlet (element 175 in Fig. 17C). The channels and chambers in the device were 30 μm deep. The first dual filter module comprised 2 filters comprising 116 pores each. Each pore had a cross section of 30 μm x 12 μm , thereby having a physical pore size of 12 μm . The retentate chambers and filtrate chambers of the first dual filter module were designed such that the flow rate through a pore was about 0.29% of the flow rate at the inlet of the retentate chamber of the first dual filter module. The second dual filter module comprised 2 filters comprising 120 pores each. Each pore had a cross section of 30 μm x 12 μm , thereby having a physical pore size of 12 μm . The retentate chambers and filtrate chambers of the second dual filter module were designed such that the flow rate through each pore was about 0.34% of the flow rate at the inlet of the retentate chamber of the second dual filter module. The device was 21 mm long, 24 mm wide, 0.6 mm thick, and had a footprint of 504 mm^2 (21 mm x 24 mm).

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The device was fabricated in silicon using standard microfabrication techniques. Photolithography and deep silicon reactive etching were used to create the fluidic channels, chambers, and filter structures. The etch depth was 30 μm . The silicon substrate was sealed

on the etched channel face to a glass wafer to form enclosed fluidic channels using anodic bonding. The bonded wafer was then diced into individual devices. The device was mechanically mated to a plastic enclosure comprising external sample, carrier fluid, retentate, and filtrate reservoirs.

5 Human peripheral blood was used for samples in this example. The blood was drawn from consenting adult donors using K₂EDTA vacutainers (Becton Dickinson, Franklin Lakes, New Jersey). The blood was diluted 1:1 with Hank's balanced salt solution and processed at room temperature within 8 hours from draw. Hank's balanced salt solution containing 0.5% bovine serum albumin and 2 mM K₂EDTA was used as the carrier fluid.

10 10 ml of the carrier fluid was added to the carrier fluid reservoir in the plastic enclosure to prime the device. 8ml of blood sample was subsequently added to the sample reservoir. Both reservoirs were then raised above the level of the retentate and filtrate reservoirs to create a head height of about 45 cm. The blood and the carrier fluid were driven through the device by gravity and the head height. The filtrate and the retentate were
15 collected in the filtrate and retentate reservoirs respectively. After about 40 min, the blood was completely processed through the device. The filtrate and the retentate were then measured and analyzed using an automated cell counter (Coulter AcT diff hematology analyzer, Beckman Coulter, Fullerton, California), where lymphocytes, monocytes, granulocytes, erythrocytes, and platelets were differentially counted.

20 The 8 ml of blood sample and 10ml of carrier fluid input resulted in about 5 ml of retentate and about 13 ml of filtrate. Lymphocytes were collected as the retentate in the carrier fluid. This experiment was performed twice, using blood samples from two different donors. The results are shown in Figs. 34A-34D. The average processing throughput was 9.2 ml/hr, and the isolated lymphocyte purity was >90%, i.e. of all leukocytes in the retentate,
25 >90% were lymphocytes. The erythrocyte carryover was <0.5%, and the platelet carryover was <1%. The diluted blood used here contained greater than 2 billion erythrocytes per ml. Therefore, the device demonstrated the capability to process over 5 million cells per second.

The exemplary device here demonstrated that each module can isolate lymphocytes with high efficiency and performance, and that many such modules can operate in parallel as
30 a high module density device. Specifically, each filtration unit comprises 472 pillars, and occupied a footprint of less than 5.8 mm² (i.e. a device footprint of 21 mm x 24 mm divided by 87 filtration units). The hold up volume of a filtration module in the exemplary device was about 0.015 µl. With a channel depth of 30 µm, a device footprint of 504 mm² (21 mm x

24 mm), and 87 filtration units on the device, the “filtration unit density” of the device was therefore

$$\text{Filtration Unit Density} = \frac{87}{504\text{mm}^2 \times 0.03\text{mm}^2} \cong 5,750 \text{ filtration units per cm}^3$$

The “normalized processing speed” of the exemplary device index is calculated as follows:

$$\text{Normalized processing speed} = \frac{5 \times 10^6 \text{ s}^{-1}}{(21 \text{ mm} \times 24 \text{ mm}) \times (0.03 \text{ mm})} = 0.33 \times 10^6 \text{ s}^{-1} \text{ mm}^{-3}$$

Such a “normalized processing speed” means that every cubic millimeter of channel and filter structure fabricated on this device contributes to processing 0.33 million cells per second.

This example epitomized the complex nature of flow exclusion in some aspects and embodiments of the present disclosure, and how flow exclusion could be used to isolate components from a complex fluid, such as the isolating lymphocytes from blood, in a manner that was not anticipated or obvious in light of previous disclosures to date. In particular, all major types of cells in the blood, i.e. erythrocytes, granulocytes, monocytes, and lymphocytes, were substantially smaller than the physical pore size of the device. The average cell diameters of erythrocytes, granulocytes, monocytes, and lymphocytes are approximately 7 μm , 8 μm , 6 μm , and 5 μm , respectively. Further, lymphocytes are the smallest components among the four major cell types, having an average cell volume of about 60 fl, compared to about 90 fl, 250 fl, and 120 fl for erythrocytes, granulocytes, and monocytes respectively. However, the lymphocytes were the only cell type that was substantially retained by the filters in the exemplary device, with a retention rate of about 60%, compared to the retention rates of about 0% for all other cell types (Fig. 34C).

This example also clearly demonstrated that the separation process in the exemplary application is stochastic, and the particle retention is best described using probability, i.e. a retention probability or a retention rate. In particular, the migration path of a blood cell may not be predetermined, at least not solely predetermined according to a critical size. Possible factors that might have affected the retention probability include cell cell interaction, Brownian motion, cell deformation, and perturbation of flow patterns.

Example 5. Volume reduction of human umbilical cord blood and enrichment of hematopoietic stem cells with high cell viability

The volume of umbilical cord blood was reduced while leukocytes, CD34+ cells, and colony forming stem cells and progenitor cells, including CFC-GM, were recovered with high cell viability using a high module density device.

The device used in this example was a high module density device comprising 87
5 filtration units each comprising a filter cascade module as illustrated in Fig. 17C. Each filter cascade module comprised a first dual filter module (element **171** in Fig. 17C) and a second dual filter module (element **172** in Fig. 17C). The channels and chambers in the device are 30 μm deep. The first dual filter module comprised 2 filters comprising 120 pores each. Each pore had a cross section of 30 μm x 12 μm , thereby having a physical pore size of 12
10 μm . The retentate chambers and filtrate chambers of the first dual filter module were designed such that the flow rate through each pore was about 0.28% of the flow rate at the inlet of the retentate chamber of the first dual filter module. The second dual filter module comprised 2 filters comprising 320 pores each. Each pore had a cross section of 30 μm x 12 μm , thereby having a physical pore size of 12 μm . The retentate chambers and filtrate
15 chambers of the second dual filter module were designed such that the flow rate through a pore was between about 0.10% and about 0.14% of the flow rate at the inlet of the retentate chamber of the second dual filter module. The device was 23 mm long, 24 mm wide, 0.6 mm thick, and a footprint of 552 mm² (23 mm x 24 mm).

It is estimated that the retention size of the device was about 4 μm , which is
20 significantly smaller than the physical pore size of 12 μm .

The device was fabricated in silicon using standard microfabrication techniques. Photolithography and deep silicon reactive etching were used to create the fluidic channels, chambers, and filter structures. The etch depth was 30 μm . The silicon substrate was sealed on the etched channel face to a glass wafer to form enclosed fluidic channels using anodic
25 bonding. The bonded wafer was then diced into individual devices. The device was mechanically mated to a plastic enclosure comprising external sample, retentate, and filtrate reservoirs.

Human umbilical cord blood was used for samples in this example. The blood was collected from consenting adult women using cord blood collection bags (Fenwal Inc., Round
30 Lake, IL). The cord blood collection bags contained citrate phosphate dextrose (CPD) as an anticoagulant. The blood was processed at room temperature within 6 hours from draw.

12 ml of cord blood with no further dilution was added to the device. The hematocrits of the cord blood feeds were in the range of 19% to 45%, and in average contained 2.8 billion

red blood cells per ml. The hematocrit is the proportion of blood volume that is occupied by red blood cells. The blood was driven through the device by gravity and a head height of about 40 cm. The filtrate and the retentate were collected in a filtrate reservoir and a retentate reservoir, respectively. Leukocytes, CD34+ cells, and colony forming stem cells and progenitor cells were expected to be recovered as the retentate. After about 1 hour, the blood was completely processed through the device. The filtrate and the retentate were then measured and analyzed using an automated cell counter (Coulter AcT diff hematology analyzer, Beckman Coulter, Fullerton, California) to calculate the leukocyte recovery yield. The viability of the recovered cells was measured immediately after the run using propidium iodide, a stain that permeated cells with compromised membranes, a hemacytometer, and a fluorescence microscope. The CD34+ cell recovery was measured using flow cytometry. To count the colony forming cells, the cord blood and the retentate were mixed with an ammonium chloride lysis solution (Stemcell Technologies, Vancouver, BC, Canada) to lyse the red blood cells, washed, and then cultured in a methylcellulose growth medium (Stemcell Technologies, Vancouver, BC, Canada) for 14 days using an incubator set at 37 degrees Celsius, 5% CO₂, and high humidity. After 14 days, the CFC-GM colonies were counted manually using an inverted microscope.

Results of the experiments are shown in Figs. 35A – 35C. Leukocytes, CD34+ cells, and colony forming cells (e.g. CFC-GM) were recovered in the retentates with recovery yields of about 88%, 87% and 92% respectively. The device reduced the cord blood volume by a factor of about 5.4, i.e. the retentate volumes were about 18.5% of the cord blood feed volume. With such a volume reduction factor, 100 ml of cord blood would have been reduced to 18.5 ml. The cell viabilities before and after processing were substantially identical, well within the measurement error, and were >99%. The processing throughput was about 11.4 ml/hr in average. This throughput is equivalent to processing about 9 million cells per second.

The “normalized processing speed” of the exemplary device is calculated as follows:

$$\text{Normalized processing speed} = \frac{9 \times 10^6 \text{ s}^{-1}}{(23 \text{ mm} \times 24 \text{ mm}) \times (0.03 \text{ mm})} = 0.54 \times 10^6 \text{ s}^{-1} \text{mm}^{-3}$$

Every cubic millimeter of channel and filter structure fabricated on this exemplary device contributed to the processing of 0.54 million cells per second.

This example demonstrated that the device utilized could concentrate cord blood stem cells and progenitor cells with very good recovery yield and cell viability. Specifically,

each filtration unit comprised 880 pillars, and occupied a footprint of less than 6.4 mm^2 (i.e. a device footprint of $23 \text{ mm} \times 24 \text{ mm}$ divided by 87 filtration units). The hold up volume of a filtration module in the exemplary device was about $0.04 \text{ }\mu\text{l}$. With a channel depth of $30 \text{ }\mu\text{m}$, a device footprint of 552 mm^2 ($23 \text{ mm} \times 24 \text{ mm}$), and 87 filtration units on the device, the

5 “filtration unit density” of the device was therefore

$$\text{Filtration Unit Density} = \frac{87}{552 \text{ mm}^2 \times 0.03 \text{ mm}^2} \cong 5,250 \text{ filtration units per cm}^3$$

The design efficiency index of the utilized device is calculated below.

Feed processing throughput: $Q = 11.4 \text{ ml/hr} = 3.17 \text{ mm}^3/\text{s}$

10 Characteristic channel depth: $D = 30 \text{ }\mu\text{m} = 0.03 \text{ mm}$

Device footprint: $A = 23 \text{ mm} \times 24 \text{ mm} = 552 \text{ mm}^2$

Retention size: $R = 4 \text{ }\mu\text{m} = 0.004 \text{ mm}$

Shear rate: $S = 1900 \text{ s}^{-1}$ (calculated below)

15 The maximum shear rate in the device occurs at the surface of the retentate chamber close to its inlet. The maximum shear rate can be calculated using computer fluid dynamics, or can be estimated analytically as follows assuming that the flow profile is parabolic in the retentate chamber. From the fact that the device contained 87 filtration modules and that each retentate chamber had a known cross section of $130 \text{ }\mu\text{m} \times 30 \text{ }\mu\text{m}$ at the inlet, the average

20 flow velocity $\langle v \rangle$ in the retentate chamber at the inlet was calculated to be

$$\langle v \rangle = \frac{3.17 \text{ mm}^3/\text{s}}{87 \times (130 \text{ }\mu\text{m} \times 30 \text{ }\mu\text{m})} = 9.34 \text{ mm/s}$$

The shear rate at the surface of the retentate chamber, assuming a parabolic flow profile was therefore

$$S \approx 6 \times \frac{\langle v \rangle}{30 \text{ }\mu\text{m}} \cong 1900 \text{ s}^{-1}$$

25 The design efficiency index (*D.E.I.*) of the exemplary device is therefore

$$D.E.I. = \frac{Q}{ADSR^2} \approx \frac{3.17 \text{ mm}^3/\text{s}}{552 \text{ mm}^2 \times 0.03 \text{ mm} \times 1900 \text{ s}^{-1} \times (0.004 \text{ mm})^2} = 6.3 \text{ mm}^{-2}$$

Example 6. Labeling isolated cells

The exemplary device in Example 2 may serve to label subpopulations of cells having at least one specific antigen, using a carrier fluid comprising antibodies against the at least one specific antigen. The antibody may be conjugated to a fluorophore or a magnetic bead to label target cells fluorescently or magnetically. During the separation process, retentate cells are directed from the feed stream into the carrier fluid stream, and mixed with the antibodies. Retentate cells having the specific antigen are labeled and collected as the retentate. Optionally a wash solution may be introduced in the filtration modules of the device in the same manner as the carrier flow, to wash the cells as they flow through the modules. The separation process may be performed at a temperature favorable for specific antibody labeling. Subsequently, fluorescently labeled cells may be counted and characterized using a flow cytometer, and magnetically labeled cells may be isolated using a magnet. Antibodies which may be used for labeling subpopulations of leukocytes and other cells present in the blood include, anti-CD45, anti-CD34, anti-CD71, anti-CD138, anti-CD14, anti-CD15, anti-CD3, anti-CD4, anti-CD8, anti-CD19, anti-HLA, anti-GPA, anti-CD271, anti-CD43, anti-CD10, anti-CD33, anti-CD66, and anti-CD105 antibodies. The carrier fluid may comprise other reagents than antibodies to label, treat, alter, stain, wash, or even lyse the retentate cells may also be performed in a similar fashion. Possible reagents that may be used as the carrier flow may include nucleic acid stains, fixatives, freezing solutions, alkylating agents, antibodies, magnetic beads, enzymes, collagenase, lipase, DNase, substrates of certain enzymes, active derivatives of cyclophosphamide, growth factors, detergents, and lysis solutions. This example illustrates the use of a filtration device of the present disclosure to perform separation and cell labeling, treatment, alteration, staining, washing, or lysis in one step. Such a method is expected to be very useful in many applications, including isolation of CD34+ stem cells, isolation of circulating tumor cells, preparation of stromal vascular fractions, counting CD4+ cells, isolation of malignant plasma cells, detecting aldehyde dehydrogenase activities, separation of specific cells based on enzyme activities, isolation of specific cells based on surface antigens.

Other Embodiments

From the foregoing description, it will be apparent that variations and modifications may be made to the disclosure described herein to adopt it to various usages and conditions. Such embodiments are also within the scope of the following claims.

The recitation of a listing of elements in any definition of a variable herein includes definitions of that variable as any single element or combination (or sub-combination) of

listed elements. The recitation of an embodiment herein includes that embodiment as any single embodiment or in combination with any other embodiments or portions thereof.

All patents and publications mentioned in this specification are herein incorporated by reference to the same extent as if each independent patent and publication was specifically
5 and individually indicated to be incorporated by reference.

Having thus described several aspects of at least one embodiment of this disclosure, it is to be appreciated various alterations, modifications, and improvements will readily occur to those skilled in the art. Such alterations, modifications, and improvements are intended to be part of this disclosure, and are intended to be within the spirit and scope of the disclosure.
10 Accordingly, the foregoing description and drawings are by way of example only.

What is claimed is:

CLAIMS

1. A filtration device comprising:
a first flow chamber including
5 at least one inlet configured to receive a feed comprising particles and a fluid,
and
at least one retentate outlet;
a second flow chamber including
a distal end having at least one filtrate outlet, and
10 a filter positioned between the first flow chamber and the second flow chamber; the
filter including
a first row of pillars, and
a plurality of pores defined by spacings between adjacent pillars,
wherein each pore of the plurality of pores includes
15 a physical pore size defined by a distance between the adjacent pillars
which define the pore, and
an effective pore size smaller than the physical pore size; and
means for moving the feed through the filtration device;
wherein the first flow chamber, the second flow chamber, the filter, and the
20 means for moving the feed through the filtration device are configured to retain a
substantial fraction of particles having a size greater than the effective pore sizes of
the pores and smaller than the physical pore sizes of the pores as retentate in the first
flow chamber, and pass a substantial fraction of the fluid as filtrate into the second
flow chamber.
25
2. The filtration device of claim 1, wherein the first flow chamber comprises a first
substantially constant depth, wherein the second flow chamber comprises a second
substantially constant depth, wherein a distance between the filter and a sidewall of the first
flow chamber decreases along a length from the at least one inlet to the at least one retentate
30 outlet, and wherein a distance between the filter and a sidewall of the second flow chamber
increases along a length from a proximal end of the second flow chamber to the distal end.

3. The filtration device of claim 1, wherein an angle between a line tangent to a sidewall of the second flow chamber and a line tangent to the row of pillars is less than about 5 degrees.
- 5 4. The filtration device of claim 1, wherein a subset of the pores has substantially identical physical pore sizes.
5. The filtration device of claim 1, wherein a subset of the pores has substantially identical effective pore sizes.
- 10 6. The filtration device of claim 1, wherein the first row of pillars comprises more than about 10 percent of all the pillars present in the filtration device.
7. The filtration device of claim 1, having a device length defined by the greater of a
15 length of the first flow chamber and a length of the second flow chamber and a device width defined by a sum of a width of the first flow chamber and a width of the second flow chamber at a point of the greatest sum of a width of the first flow chamber and a width of the second flow chamber, the device length to the device width having a ratio of more than about 6.
- 20 8. The filtration device of claim 1, wherein each pore has an effective pore size that is less than about 80 percent of the physical pore size of the pore.
9. The filtration device of claim 1, wherein the first chamber comprises at least one
25 carrier fluid inlet distinct from the at least one inlet.
10. The filtration device of claim 1, each of the first flow chamber and the filter being free of any leading edge having a radius of curvature smaller than about 1 μm , in a flow path through the device.
- 30 11. The filtration device of claim 1, wherein a first subset of the pores has a different effective pore size than a second subset of the pores.

12. The filtration device of claim 1, wherein the filtration device further comprises a second filter and a third flow chamber, wherein the second filter is disposed between the first flow chamber and the third flow chamber, wherein the third flow chamber includes a proximal end and a distal end, the distal end having at least one outlet, and wherein the third chamber widens along a length from the proximal end to distal end.

13. The filtration device of claim 12, having a device length defined by a length of the first flow chamber and a device width defined by a sum of a width of the first flow chamber, a width of the second flow chamber and a width of the third flow chamber at a point of the greatest sum of a width of the first flow chamber, a width of the second flow chamber and a width of the third flow chamber, the device length to the device width having a ratio of more than about 5.

14. The filtration device of claim 12, having fewer than about 5,000 pillars.

15. The filtration device of claim 12, wherein the first filter and the second filter comprise more than about 15 percent of all pillars included in the filtration device.

16. The filtration device of claim 12, wherein the filtration device is substantially symmetric about a mirror plane through a center line of the first flow chamber.

17. The filtration device of claim 12, wherein a tangent line defined by the first row of pillars and a tangent line defined by the second row of pillars are non-parallel.

18. The filtration device of claim 1, wherein the filtration device further comprises a second filter, a third flow chamber, and a fourth flow chamber, wherein the second filter is disposed between the third flow chamber and the fourth flow chamber, wherein the third flow chamber comprises at least one inlet and at least one outlet, and wherein the fourth flow chamber comprises at least one outlet.

19. The filtration device of claim 18, having a device length defined by a sum of a length of the first flow chamber and a length of the third flow chamber and a device width defined by the greater of a sum of a width of the first flow chamber and a width of the second flow chamber at a point of the greatest sum of a width of the first flow chamber and a width of the

second flow chamber and a sum of a width of the third flow chamber and a width of the fourth flow chamber at a point of the greatest sum of a width of the third flow chamber and a width of the fourth flow chamber, the device length to the device width having a ratio of more than about 10.

5

20. The filtration device of claim 18, having less than about 5,000 pillars.

21. The filtration device of claim 18, wherein the first filter and the second filter comprise no fewer than 10 percent of all pillars included in the filtration device.

10

22. The filtration device of claim 18, wherein the at least one inlet of the third flow chamber is in fluid connection with the at least one outlet of the first flow chamber and with the at least one outlet of the second flow chamber.

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23. The filtration device of claim 22, wherein the third flow chamber further comprises at least one carrier fluid inlet distinct from the at least one inlet.

24. A method for particle filtration comprising:
providing a filtration device including at least one filtration unit, each filtration unit

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including a first flow chamber including

a feed inlet, and

a retentate outlet,

a second flow chamber including a filtrate outlet, and

a filter including a plurality of pores having physical pore sizes, the filter

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being disposed between the first flow chamber and the second flow chamber;

introducing a feed including a feed fluid and at least one population of particles

having sizes smaller than the physical pore sizes immersed the feed fluid into the device through the feed inlet;

applying a driving force to drive the feed through the filtration device, and

30

passing the feed through the filtration device such that a substantial fraction of the particles of the at least one population are retained as retentate in the first flow chamber, and a substantial fraction of the feed fluid pass through the filter as filtrate into the second flow chamber;

collecting the retentate at the retentate outlet; and

collecting the filtrate at the filtrate outlet.

25. The method of claim 24, wherein providing the filtration device comprises providing a filtration device which includes more than 10 filtration units.

5

26. The method of claim 24, wherein introducing the feed into the device comprises introducing a liquid suspension of cells into the first flow chamber.

10 27. The method of claim 26, wherein the feed comprises viable cells, wherein the method further comprises separating cells from the feed, and wherein at least about 90% of the viable cells remain viable after separation.

15 28. The method of claim 26, wherein the method further comprises separating the cells from the feed, and wherein less than about 0.03 percent of the cells are lysed by the filtration device.

29. The method of claim 26, wherein less than about 0.03% of the cells are trapped in the filtration device.

20 30. The method of claim 26, wherein passing the feed through the filtration device comprises passing more than 10^5 cells per second through the filtration device.

25 31. The method of claim 30, wherein passing the feed through the filtration device comprises passing more than 10^6 cells per second through the filtration device.

32. The method of claim 31, wherein passing the feed through the filtration device comprises passing more than 10^7 cells per second through the filtration device.

30 33. The method of claim 24, wherein providing the filtration device comprises providing a filtration device comprising at least one filtration unit having a hold up volume of smaller than 0.8 microliter.

34. The method of claim 24, wherein providing the filtration device comprises providing a filtration device having a footprint area and a substantially constant chamber depth, and

wherein passing the feed through the filtration device comprises passing cells through the filtration device at a normalized processing speed, defined as the number of cells passing through the filtration device per second divided by the product of the substantially constant chamber depth and the footprint area, of greater than 10,000 cells per second per cubic
5 millimeter.

35. The method of claim 24, wherein providing the filtration device comprises providing a filtration device having a characteristic chamber depth, a footprint area, and a filtration unit density, defined as the number of filtration modules included in the filtration device divided
10 by the product of the characteristic chamber depth and the footprint area, wherein the filtration unit density is greater than 400 filtration units per cubic centimeter.

36. The method of claim 24, wherein introducing the feed into the device comprises introducing a feed liquid including bone marrow into the first flow chamber.
15

37. The method of claim 24, wherein introducing the feed into the device comprises introducing a feed liquid including blood into the first flow chamber.

38. The method of claim 24, wherein introducing the feed comprises introducing a feed
20 liquid including umbilical cord blood into the first flow chamber.

39. The method of claim 24, wherein introducing the feed comprises introducing a feed liquid including stem cells into the first flow chamber.

25 40. The method of claim 24, wherein introducing the feed into the device comprises introducing amniotic fluid into the first flow chamber.

41. The method of claim 24, wherein introducing the feed into the device comprises introducing digested adipose tissue into the first flow chamber.
30

42. The method of claim 24, wherein introducing the feed into the device comprises introducing one of cells, blood cells, cord blood cells, bone marrow cells, erythrocytes, leukocytes, lymphocytes, epithelial cells, stem cells, cancer cells, tumor cells, circulating tumor cells, progenitor cells, cell precursors, cord blood stem cells, hematopoietic stem cells,

mesenchymal stem cells, adipose stem cells, pluripotent stem cells, induced pluripotent stem cells, embryonic stem cells, cells derived from umbilical cord, cells derived from fat tissues, cells in stromal vascular fractions (SVF), cells in amniotic fluids, cells in menstrual blood, cells in cerebral spinal fluid, cells in urine, bone marrow stem cells, peripheral blood stem
5 cells, CD34+ cells, colony forming cells, T cells, B cells, neural cells, immuno cells, dendritic cells, megakaryocytes, immobilized bone marrow cells, platelets, sperms, eggs, oocytes, microbes, microorganisms, bacteria, fungi, yeasts, protozoans, viruses, organelles, nuclei, nucleic acids, mitochondria, micelles, lipids, proteins, protein complexes, cell debris, parasites, fat droplets, multi-cellular organisms, spores, algae, clusters, aggregates of the
10 above, industrial powders, polymers, powders, emulsions, droplets, dusts, microspheres, particles, and colloids into the first flow chamber.

43. The method of claim 24, further comprising collecting retentate including one of cells, CD34+ cells, a stromal vascular fraction, stem cells, progenitor cells, colony forming cells,
15 hematopoietic stem cells, adipose stem cells, mesenchymal stem cells, amniotic stem cells, nucleated cells, leukocytes, lymphocytes, cancer cells, tumor cells, dendritic cells, dead cells, live cells, dividing cells, reticulocytes, red blood cells, fat cell, and fat droplets.

44. The method of claim 43, wherein collecting retentate comprises collecting cells and
20 wherein greater than about 95% of the cells in the retentate are viable.

45. The method of claim 24, further comprising collecting filtrate including one of cells, CD34+ cells, a stromal vascular fraction, stem cells, progenitor cells, colony forming cells, hematopoietic stem cells, adipose stem cells, mesenchymal stem cells, amniotic stem cells,
25 plasma, platelets, red blood cells, nucleated cells, leukocytes, lymphocytes, cancer cells, tumor cells, dendritic cells, dead cells, live cells, dividing cells, reticulocytes, red blood cells, fat cell, and fat droplets.

46. The method of claim 45, wherein collecting the filtrate comprises collecting cells and
30 wherein greater than about 95% of the cells in the filtrate are viable.

47. The method of claim 24, wherein providing a filtration device comprises providing a filtration device having a retention size significantly smaller than the physical pore sizes.

48. A method for cord blood volume reduction comprising:
procuring a sample including umbilical cord blood having at least one population of nucleated cells, the sample having a sample volume;
providing a filtration device including
- 5 a first collection receptacle,
a second collection receptacle,
a feed access means, and
at least three filtration units, each filtration unit having a microfluidic flow chamber including
- 10 a feed inlet,
a retentate outlet, and
a filtrate outlet,
wherein each microfluidic flow chamber includes at least one dimension which is perpendicular to a length thereof which is smaller than about 1 millimeter,
- 15 wherein the feed inlet is in fluid communication with the feed access means,
wherein the retentate outlet is in fluid connection with the first collection receptacle, and
wherein the filtrate outlet is in fluid connection with the second collection receptacle;
- 20 introducing the sample to the feed inlets of the filtration units using the feed access means;
applying a driving force to the sample;
passing the sample through the microfluidic flow chambers of the filtration device;
creating laminar flow conditions that direct a substantial fraction of the sample
- 25 volume to the filtrate outlet and a substantial fraction of the at least one population of nucleated cells to the retentate outlet;
collecting a fluid output from the retentate outlet in the first collection receptacle; and
collecting a fluid output from the filtrate outlet in the second collection receptacle.
- 30 49. The method of claim 48, wherein collecting the fluid output from the retentate outlet comprises collecting greater than 70% of the nucleated cells from the sample in a volume of less than 25% of the sample volume in the first collection receptacle.

50. The method of claim 48, wherein the at least one population of nucleated cells comprises CD34+ cells and collecting the fluid output from the retentate outlet comprises collecting greater than 75% of the CD34+ cells from the sample into the first collection receptacle.

5

51. The method of claim 48, wherein the method further comprises separating viable cells from the sample, and wherein at least about 95% of the viable cells remain viable after separation.

10 52. The method of claim 48, wherein procuring a sample comprises procuring a sample comprising umbilical cord blood nucleated cells of greater than about 95% viability, and wherein collecting the fluid output from the retentate outlet comprises collecting nucleated cells of greater than about 95% viability.

15 53. The method of claim 48, wherein passing the sample through the microfluidic flow chambers comprises passing more than 10,000,000 blood cells per second through the filtration device.

54. A particle filtration apparatus comprising:

20

a common feed inlet;

a common filtrate outlet;

a common retentate outlet; and

at least one high module density device including a plurality of filtration units, each of the filtration units including

25

a first flow chamber including

at least one inlet configured to receive a feed comprising feed particles in a feed fluid, and

at least one retentate outlet;

a second flow chamber including

30

a proximal end,

a distal end having at least one filtrate outlet, and

a first filter positioned between the first flow chamber and the second flow chamber, the first filter including

a first row of pillars, and

a plurality of pores defined by spacings between adjacent pillars of the row of pillars;

wherein each pore of plurality of pores includes a physical pore size defined by a distance between the adjacent pillars which define the pore;

5 means for moving the feed through the plurality of filtration units;

wherein the first flow chamber, the second flow chamber, the filter, and the means for moving the feed through the plurality of filtration units are configured to have a retention size smaller than the effective pore sizes of the pores, and

10 retain a substantial fraction of the feed particles having a size greater than the retention size as retentate in the first flow chamber, and pass a substantial fraction of the feed fluid as filtrate into the second flow chamber;

wherein each of the at least one inlets of the plurality of filtration units is in fluid communication with the common feed inlet;

15 wherein each of the at least one filtrate outlets of the plurality of filtration units is in fluid communication with the common filtrate outlet; and

wherein each of the at least one retentate outlets of the plurality of filtration units is in fluid communication with the common retentate outlet.

55. The particle filtration apparatus of claim 54, further comprising:

20 a tube;

a tube cap; and

a tube insert;

wherein the high module density device is configured to be mounted within the tube insert;

25 wherein the tube is configured to accommodate the tube insert;

wherein the tube insert includes a feed reservoir in fluid connection with the common feed inlet; and

wherein the tube cap is configured to cover the tube and the tube insert.

30 56. The particle filtration apparatus of claim 55, wherein the tube is configured to receive retentate from the high module density device, and wherein the tube insert further includes a filtrate reservoir configured to receive filtrate from the high module density device.

57. The particle filtration apparatus of claim 55, wherein the tube is configured to receive filtrate from the high module density device, and wherein the tube insert further includes a retentate reservoir configured to receive retentate from the high module density device.
- 5 58. The particle filtration apparatus of claim 55, wherein the tube insert further includes a carrier fluid reservoir configured to supply a carrier fluid to an inlet of at least one first flow chamber.
59. The particle filtration apparatus of claim 54, further comprising:
10 a retentate collection bag in fluid connection with the common retentate outlet; and
a filtrate collection bag in fluid connection with to the common filtrate outlet.
60. The particle filtration apparatus of claim 59, further comprising a common carrier fluid inlet in fluid connection with an inlet of at least one first flow chamber.
- 15 61. The particle filtration apparatus of claim 60, further comprising a carrier fluid receptacle configured to supply a carrier fluid to the carrier fluid common inlet.
62. The particle filtration apparatus of claim 59, further comprising an adaptor configured
20 to establish a fluid connection between a feed collection bag and the common feed inlet.
63. The particle filtration apparatus of claim 59, further comprising a feed collection bag in fluid connection with the common feed inlet.
- 25 64. The particle filtration apparatus of claim 63, wherein the feed collection bag comprises at least one needle configured to draw feed into the feed collection bag.
65. The particle filtration apparatus of claim 63, wherein the feed collection bag contains an anticoagulant.
- 30 66. The particle filtration apparatus of claim 63, wherein the feed collection bag contains a fluid.
67. The particle filtration apparatus of claim 54, further comprising:

a first well in fluid communication with the common feed inlet and configured as a fluid reservoir;

a second well in fluid communication with the common retentate outlet and configured as a fluid reservoir; and

5 a third well in fluid communication with the common filtrate outlet and configured as a fluid reservoir.

68. The particle filtration apparatus of claim 67, wherein the first well, the second well, and the third well are configured in a multi-well plate format.

10

69. The particle filtration apparatus of claim 67, further comprising a fourth well in fluid communication with the inlet of at least one first flow chamber and configured to supply a carrier fluid to at least one first flow chamber.

15 70. The particle filtration apparatus of claim 67, further comprising a cap configured to enclose at least one of the first well, the second well, and the third well.

71. The particle filtration apparatus of claim 70, wherein the cap comprises a foil substantially impermeable to air and vapor and configured to seal the at least one of the first
20 well, the second well, and the third well.

72. The particle filtration apparatus of claim 70, wherein at least one of the first well, the second well, and the third well contains a fluid.

25 73. The particle filtration apparatus of claim 54, wherein each filtration unit of the plurality of filtration units has a hold up volume of smaller than 1 microliter.

74. The particle filtration apparatus of claim 54, wherein the high module density device has a filtration unit density of greater than 500 filtration units per cubic centimeter.

30

75. The particle filtration apparatus of claim 54, wherein the high module density device includes more than 30 filtration units.

76. The particle filtration apparatus of claim 54, wherein the high module density device has a design efficiency index of greater than about 0.5 mm^{-2} .

Fig. 1A

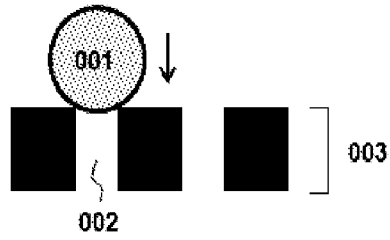


Fig. 1E

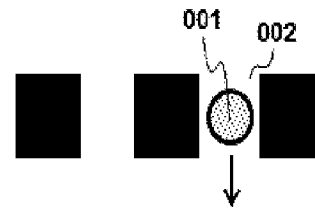


Fig. 1B

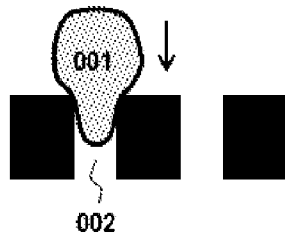


Fig. 1F

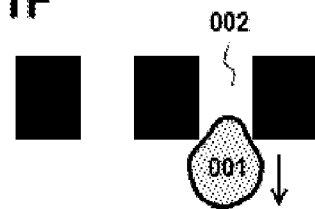


Fig. 1C

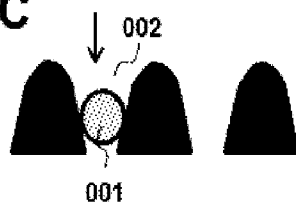


Fig. 1G

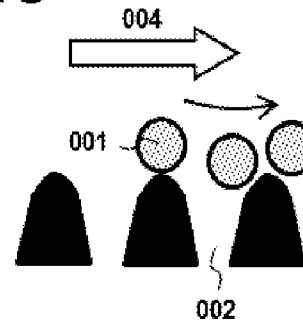


Fig. 1D



Fig. 2A

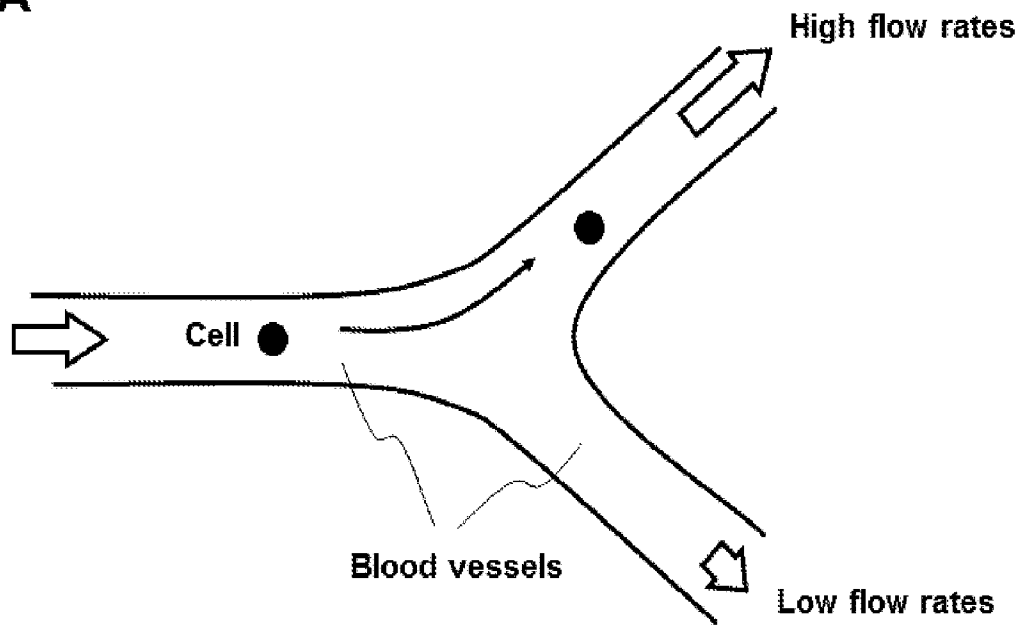


Fig. 2B

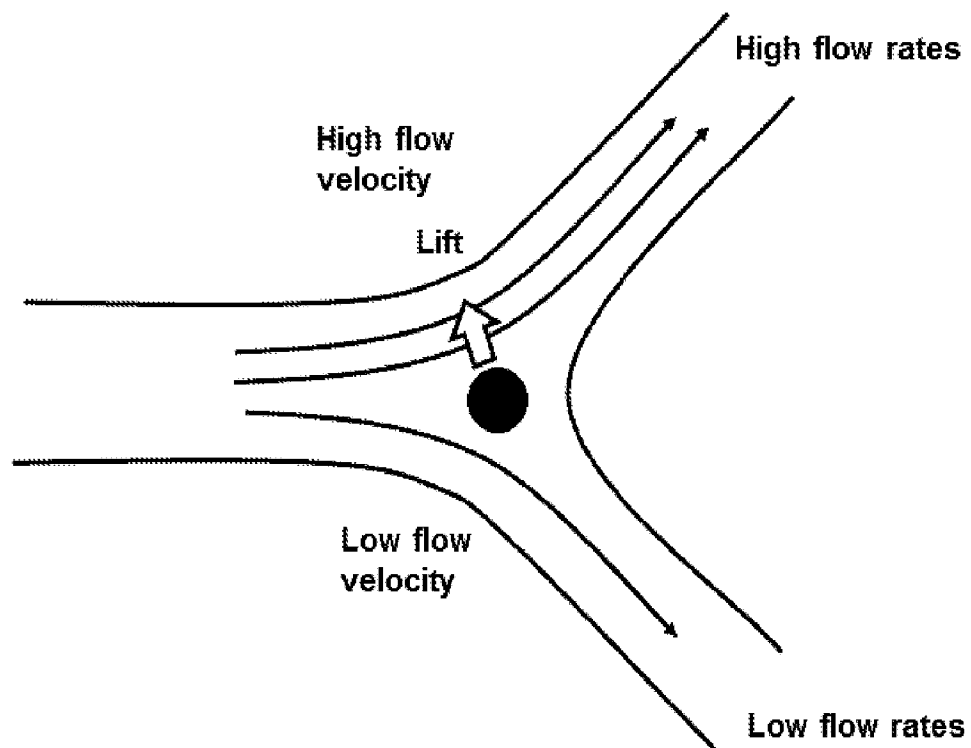


Fig. 3A

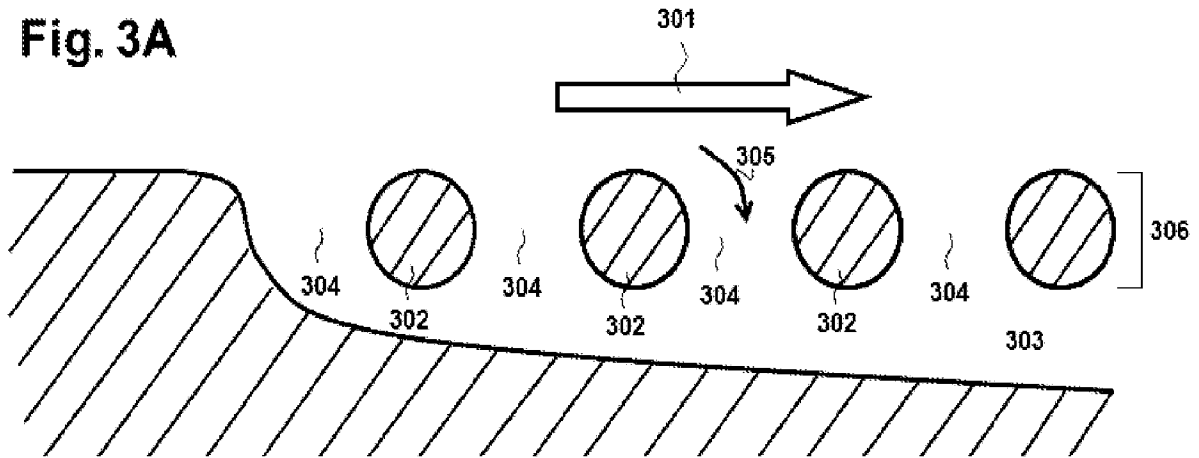


Fig. 3B

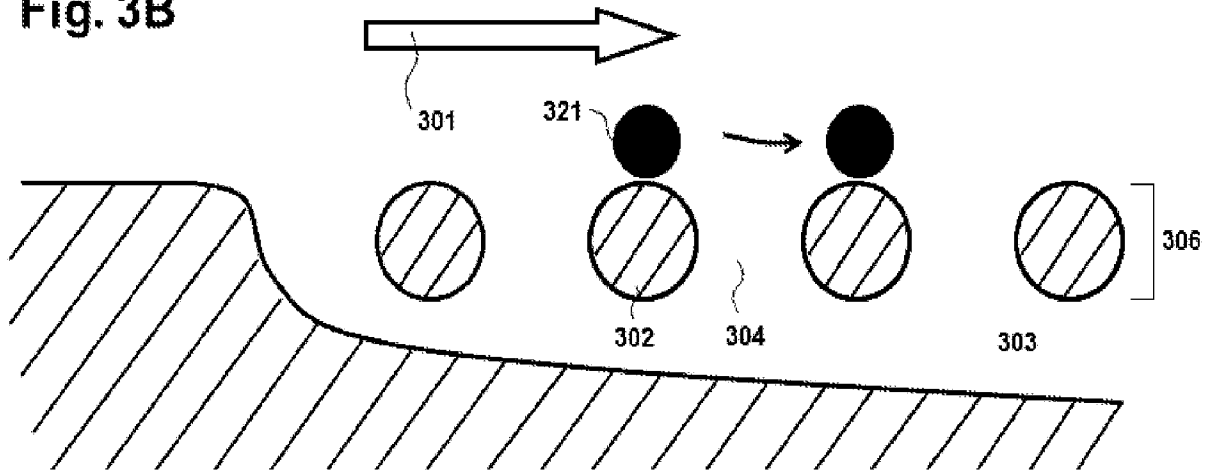


Fig. 3C

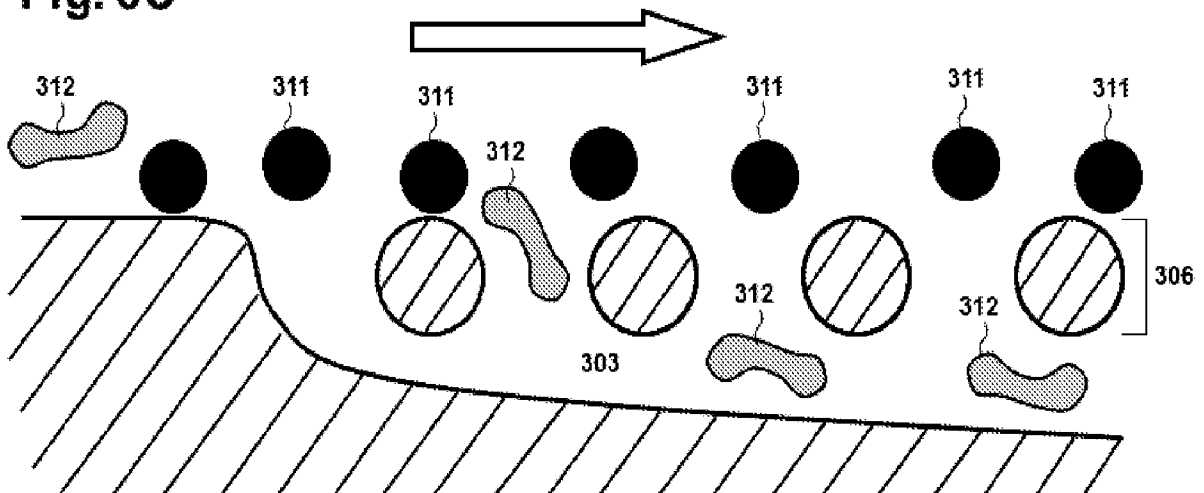


Fig. 4

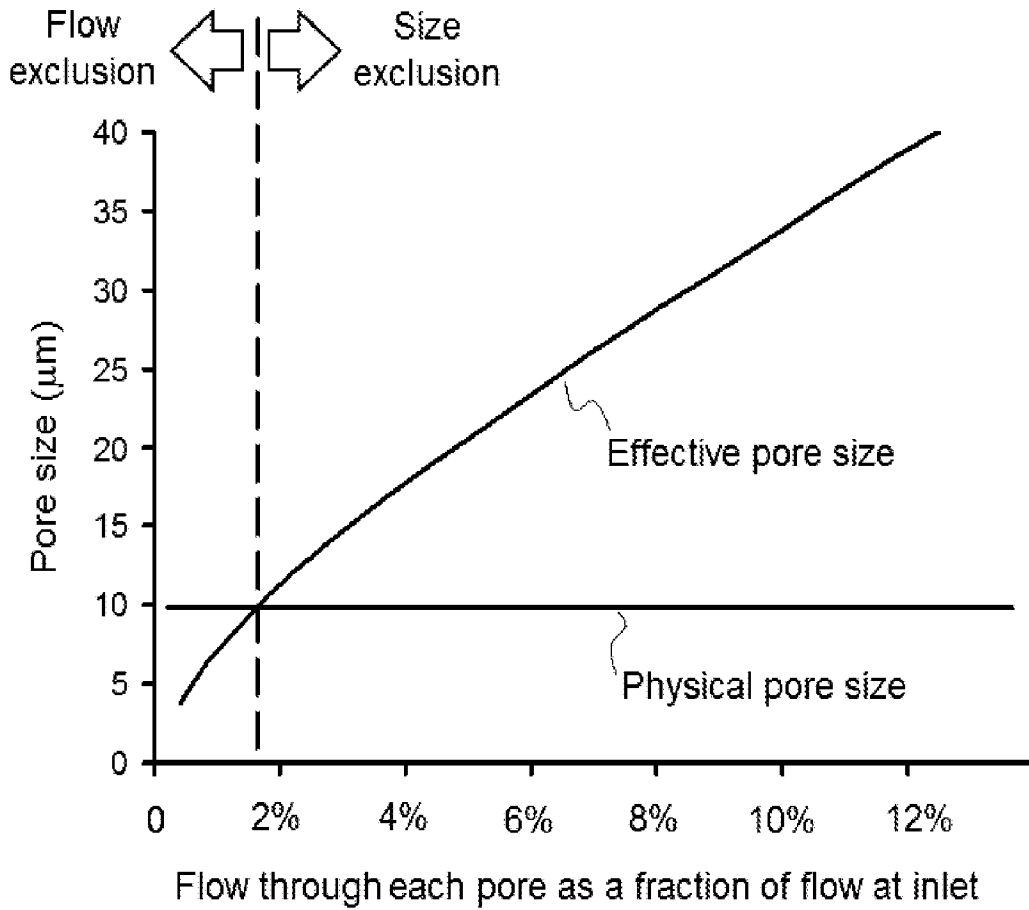


Fig. 5A

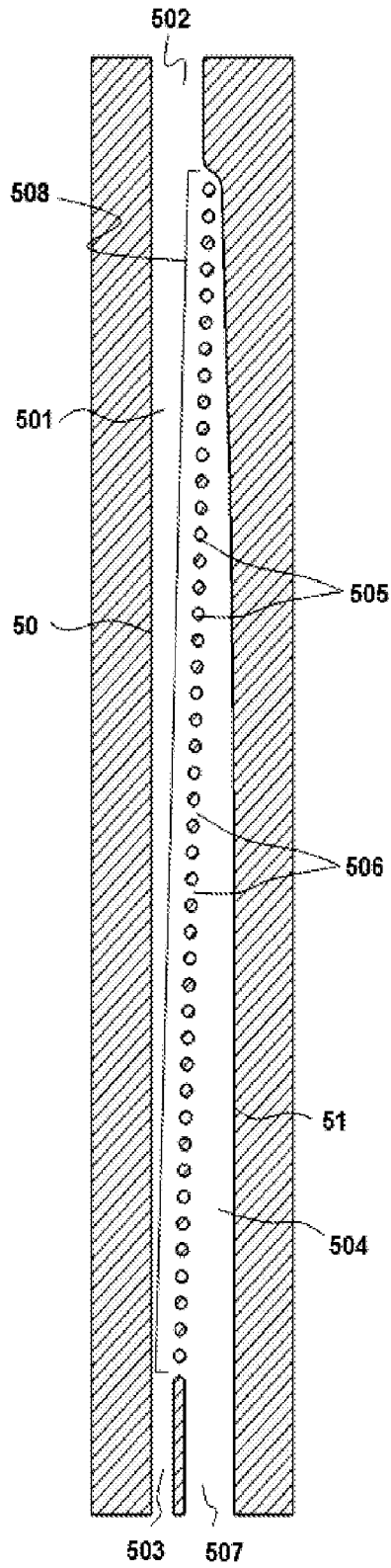


Fig. 5B

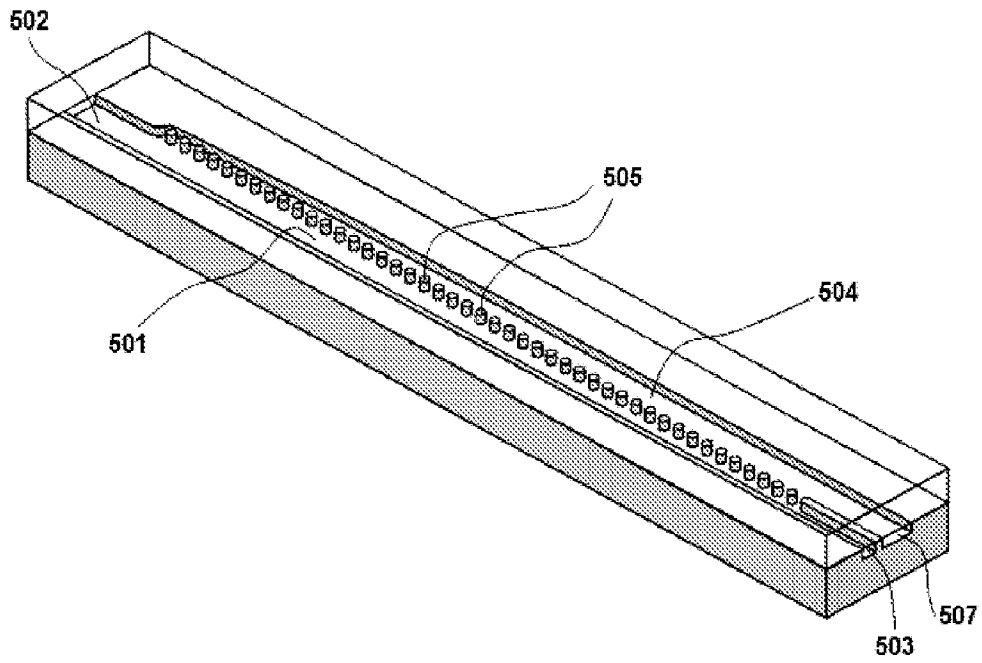


Fig. 5C

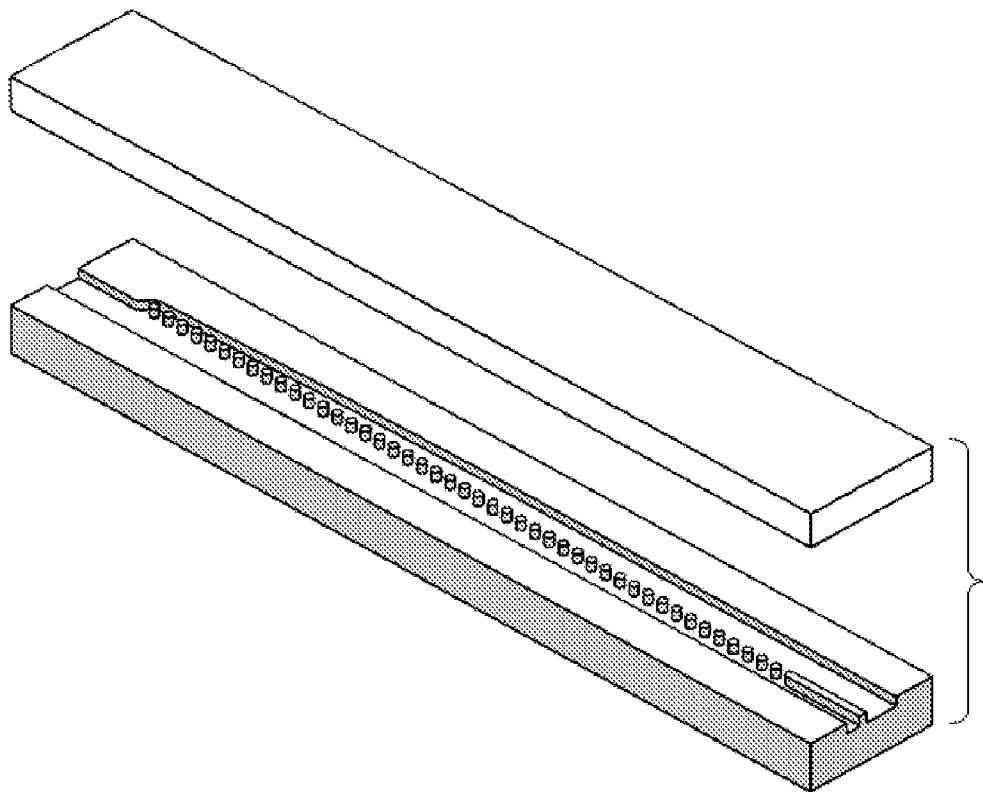


Fig. 5D

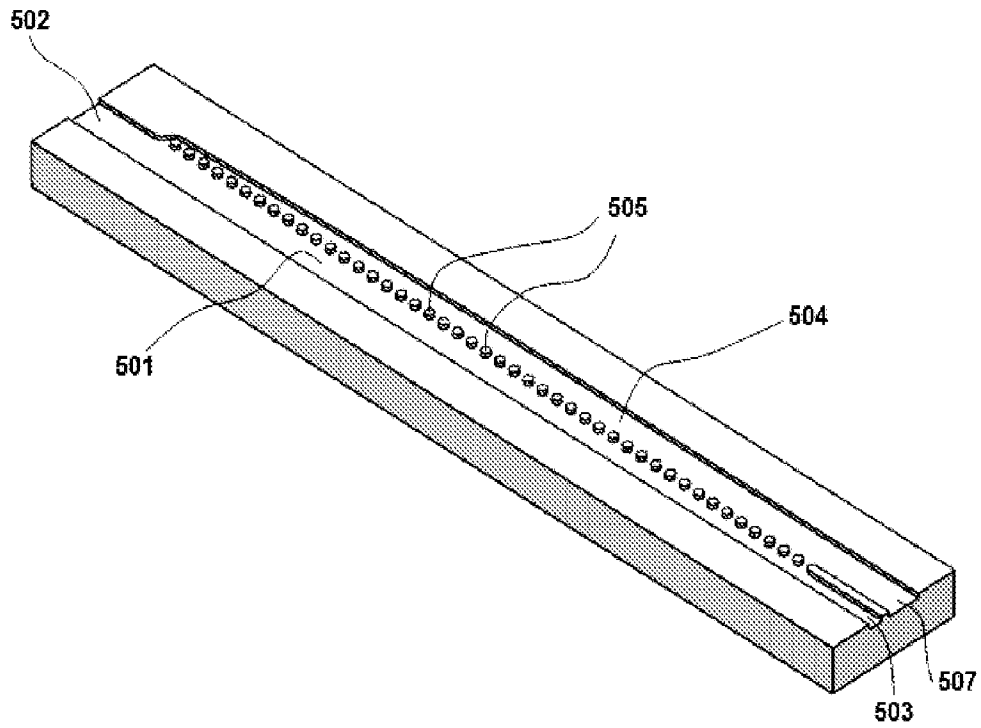


Fig. 5E

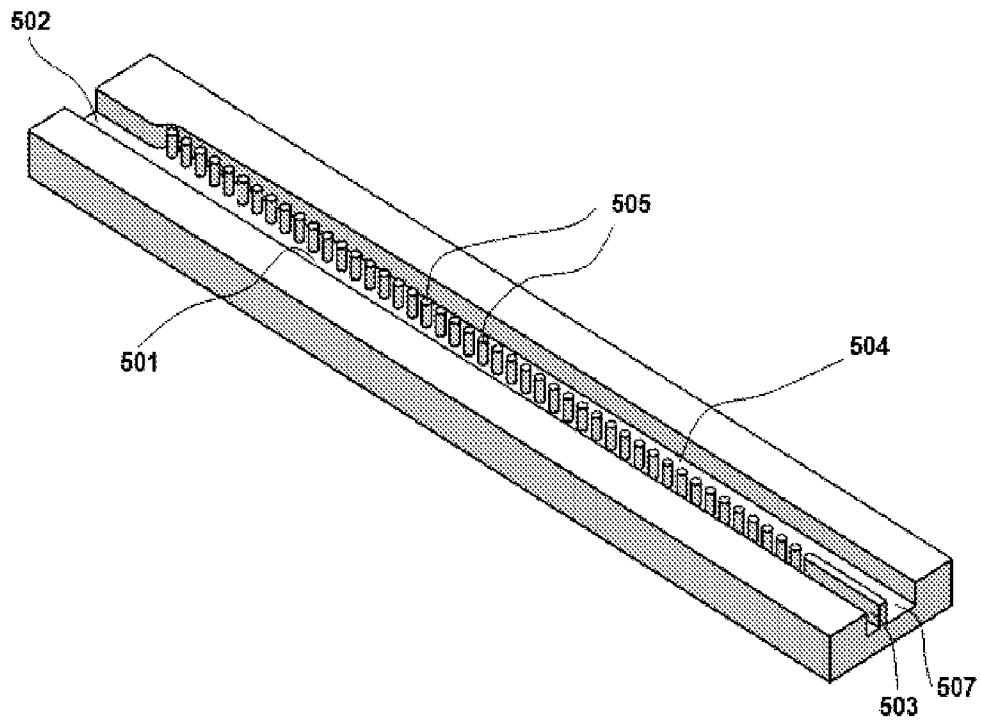


Fig. 5F

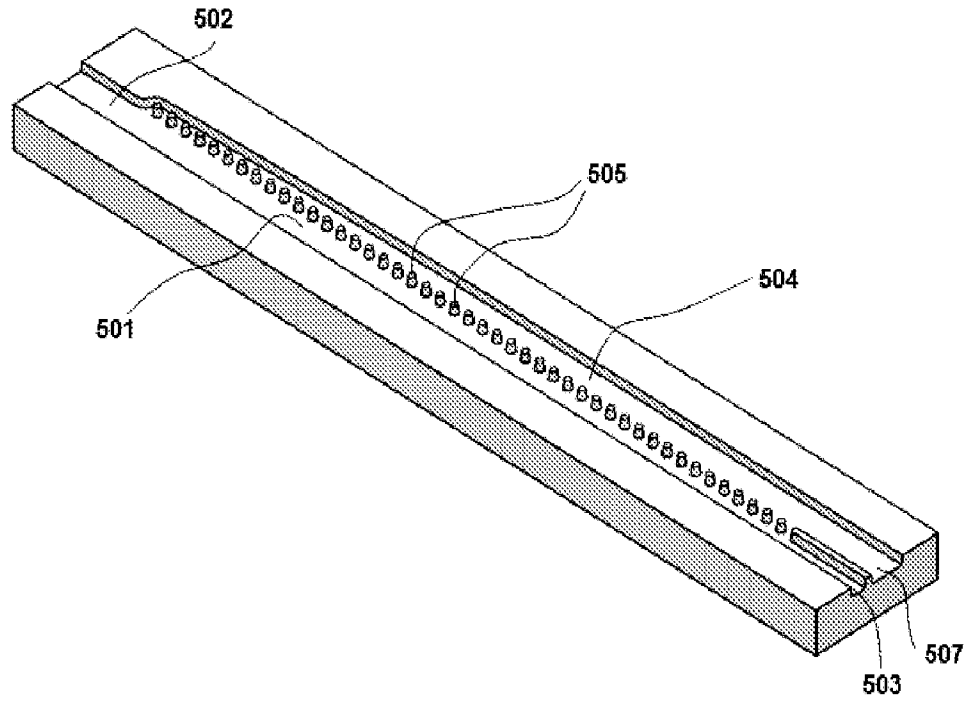


Fig. 6A

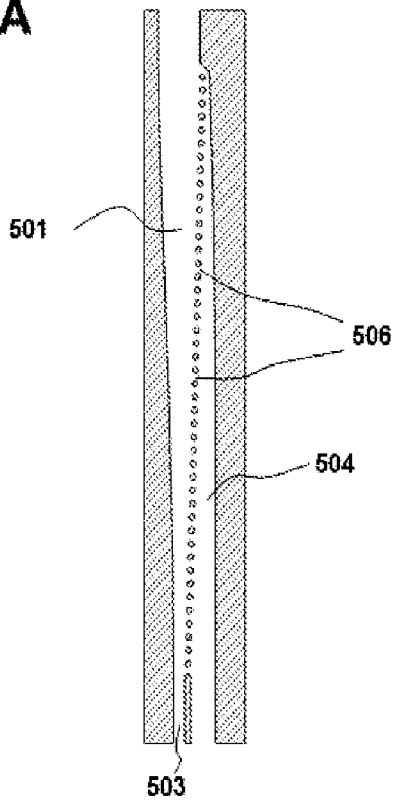


Fig. 6B

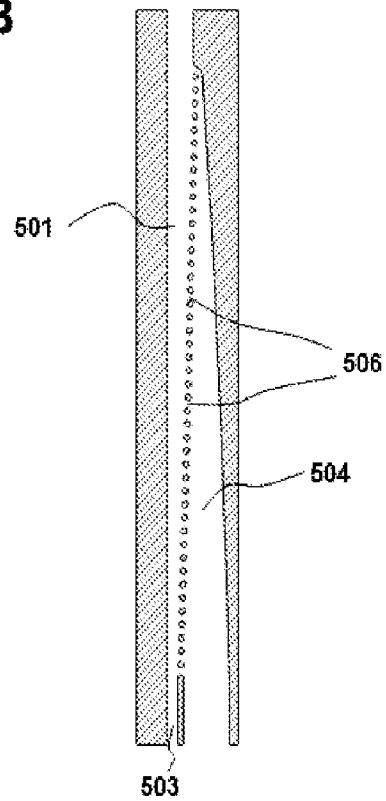


Fig. 7A

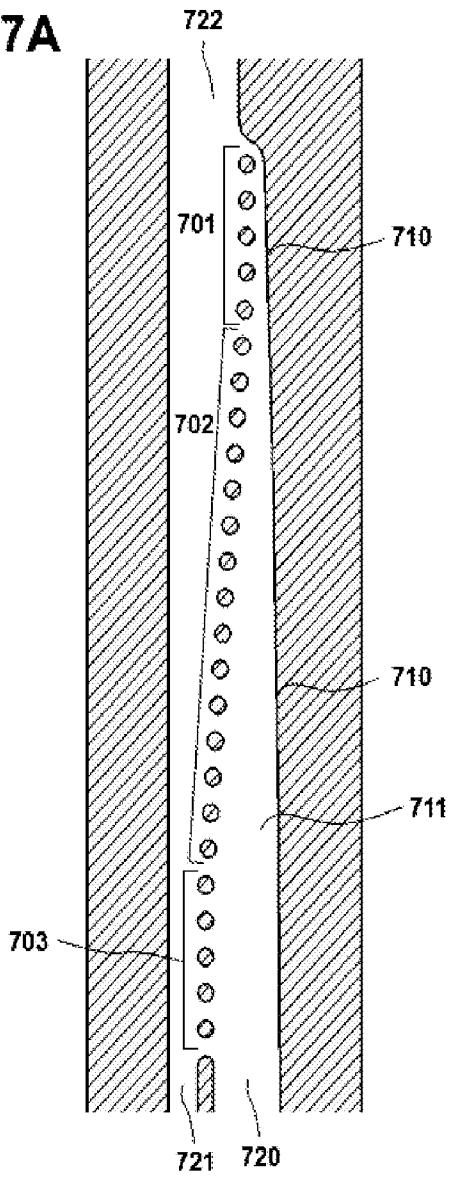


Fig. 7B

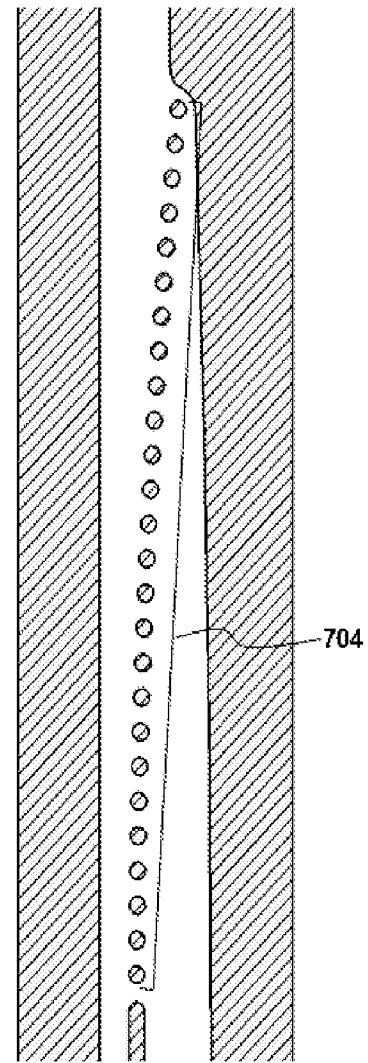


Fig. 7C

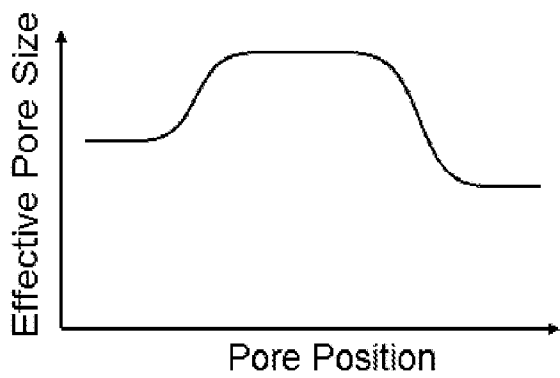


Fig. 7D

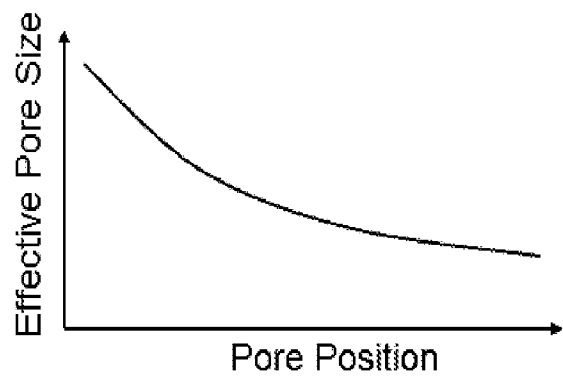


Fig. 8

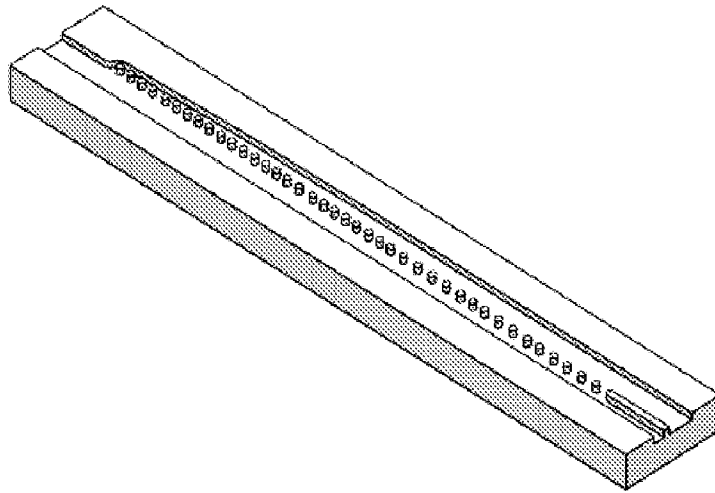


Fig. 9A

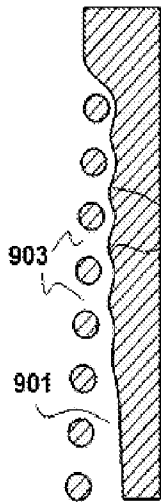


Fig. 9B

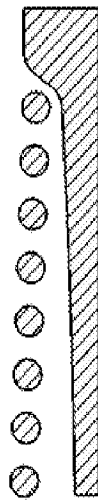


Fig. 9C

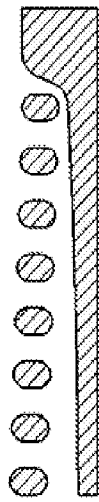


Fig. 9D

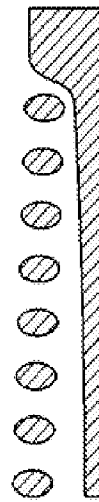


Fig. 9E

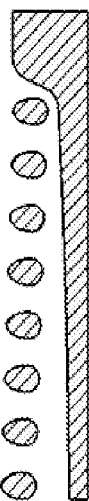


Fig. 9F



Fig. 9G



Fig. 9H

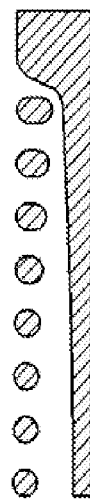


Fig. 10A

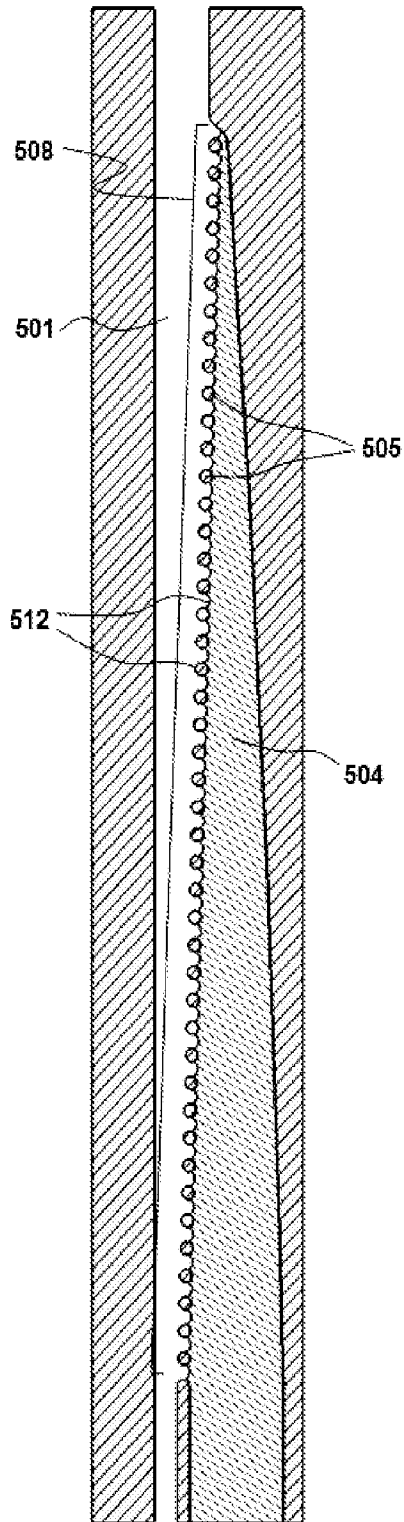


Fig. 10B

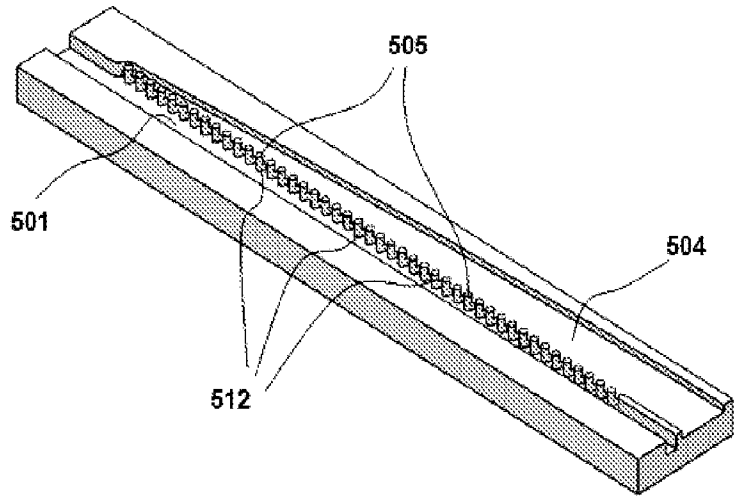


Fig. 10C

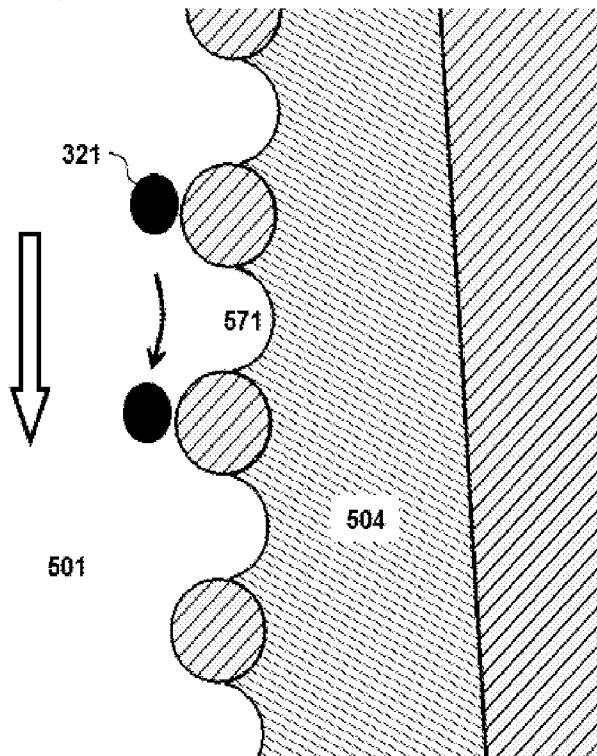


Fig. 11A

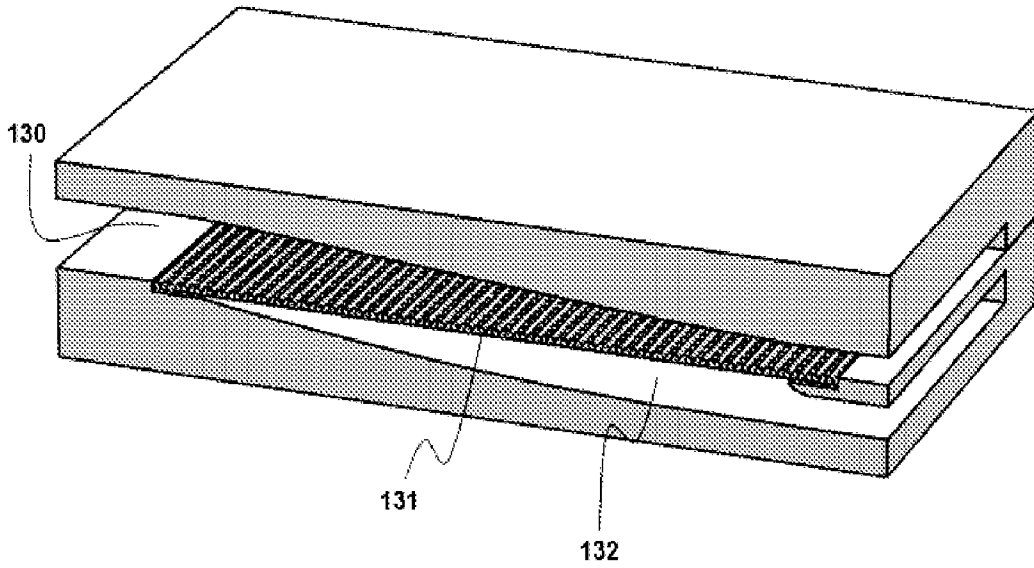


Fig. 11B

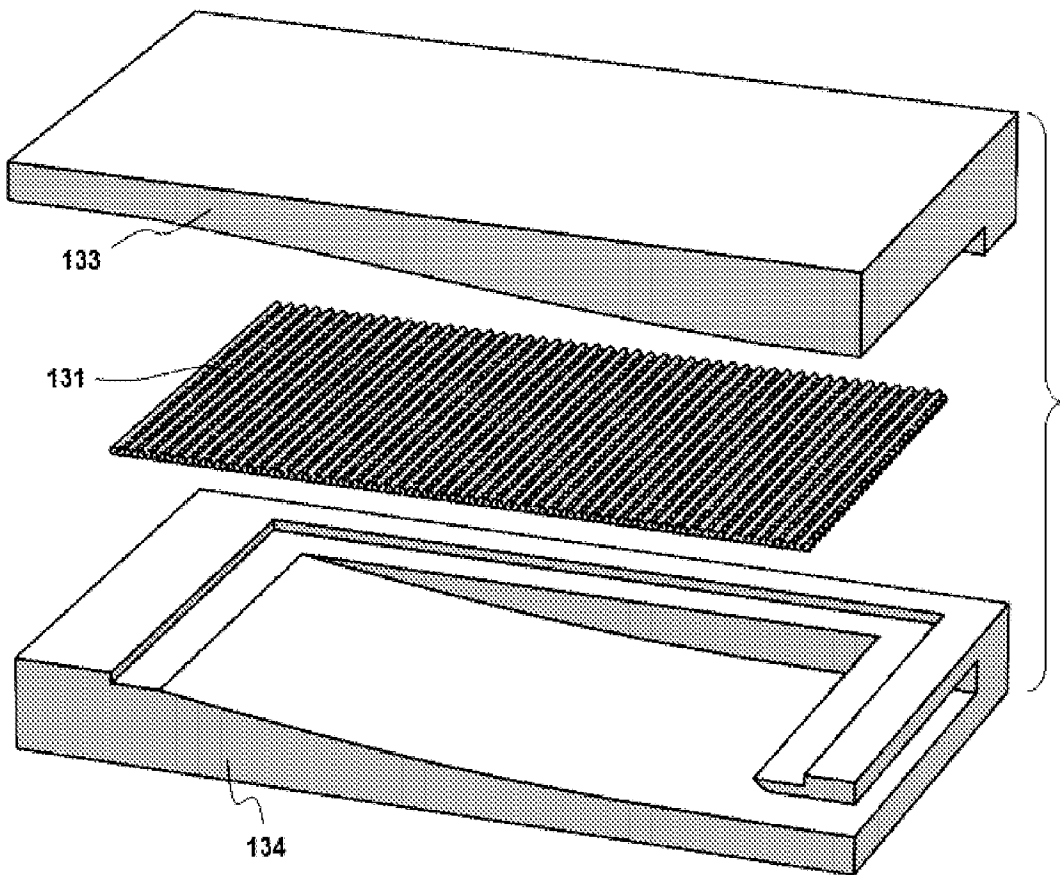


Fig. 11C

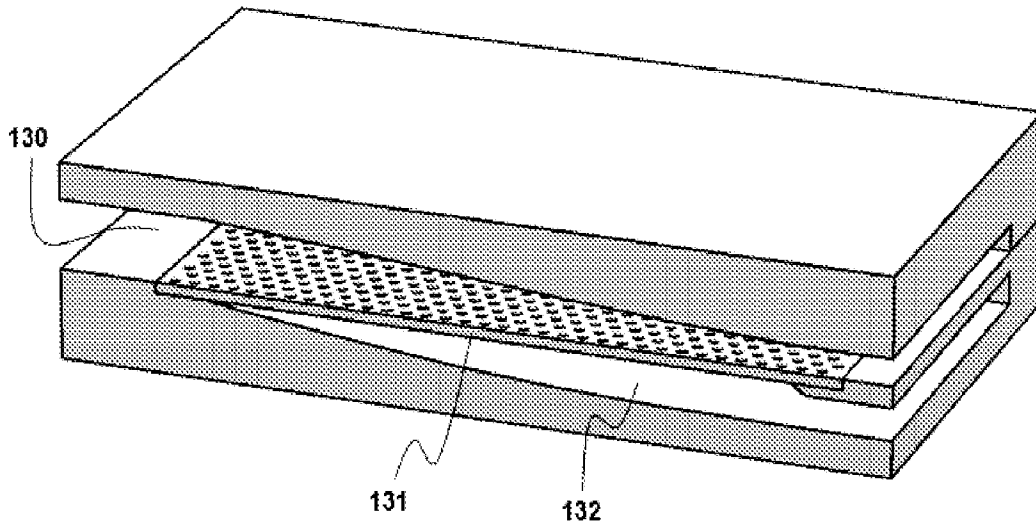


Fig. 11D

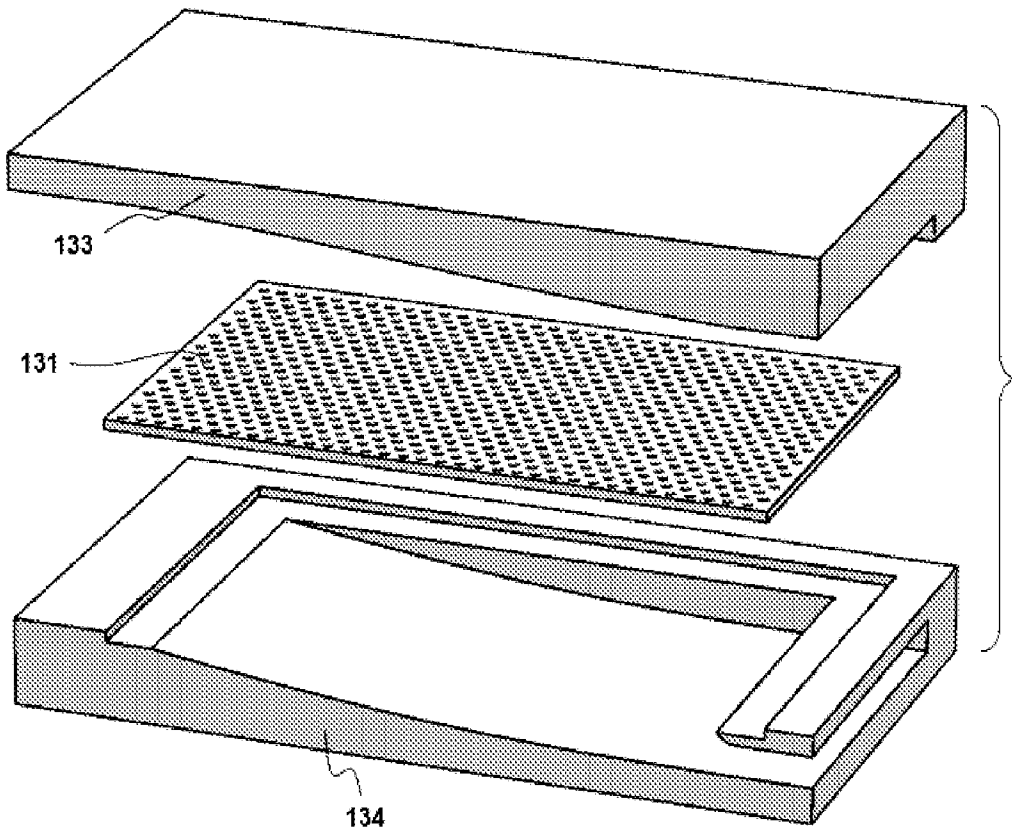


Fig. 12

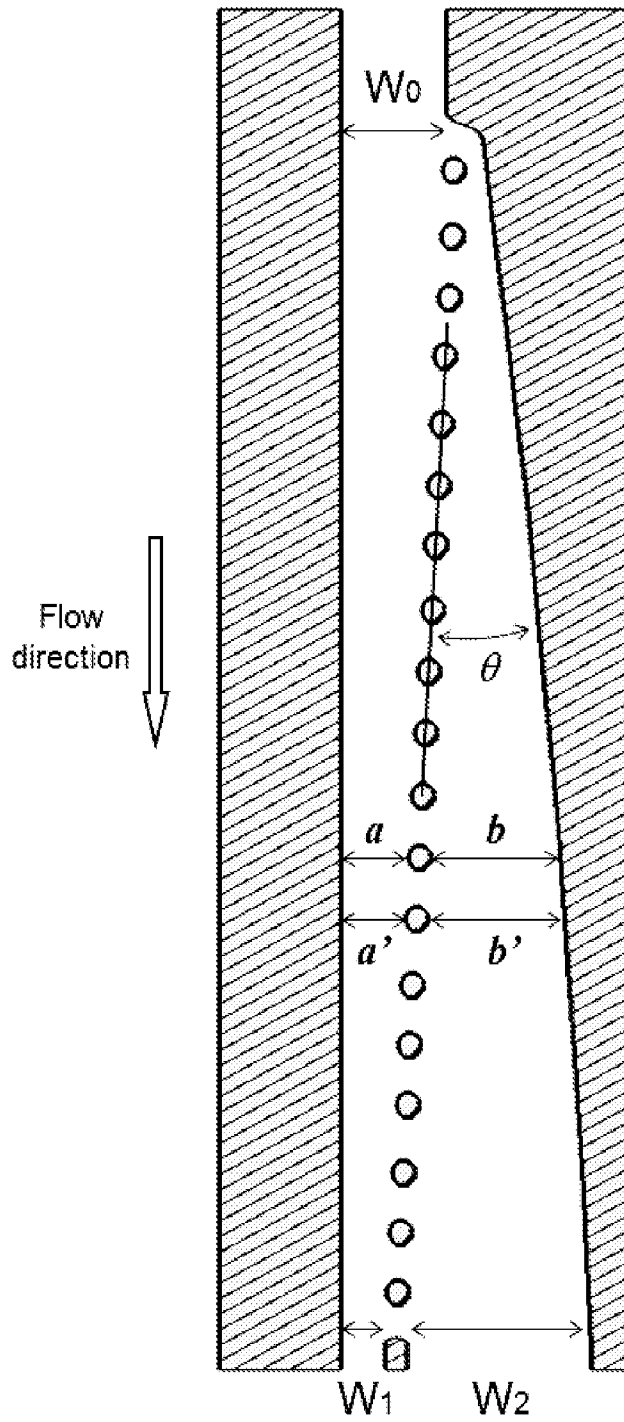


Fig. 13

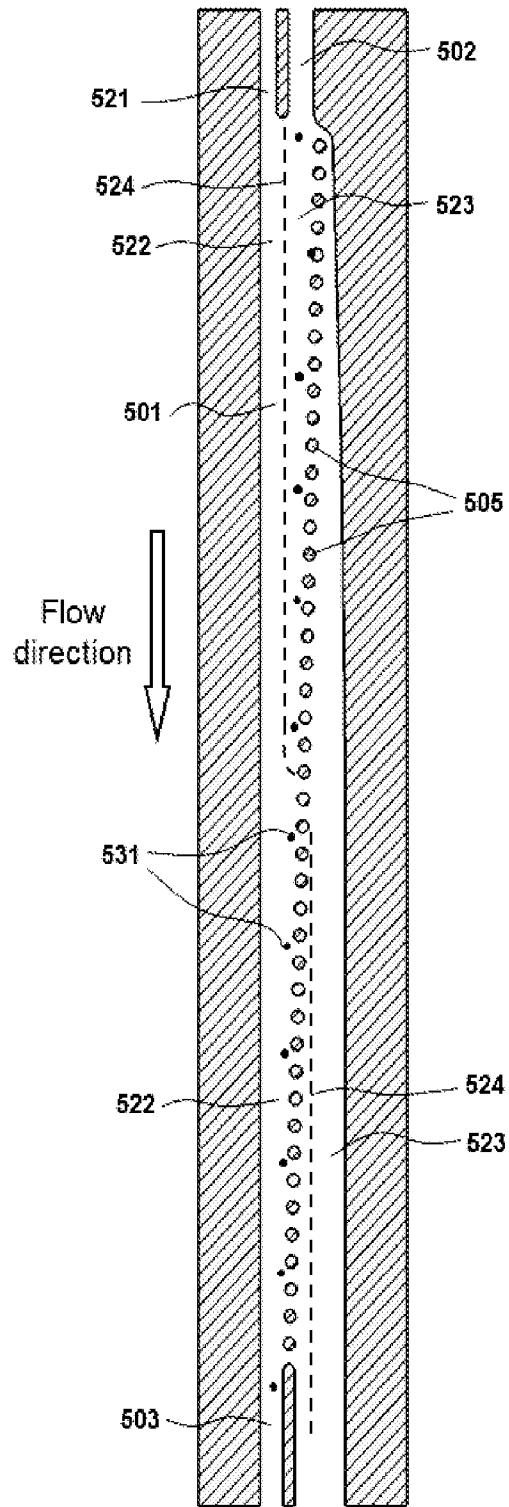


Fig. 14A

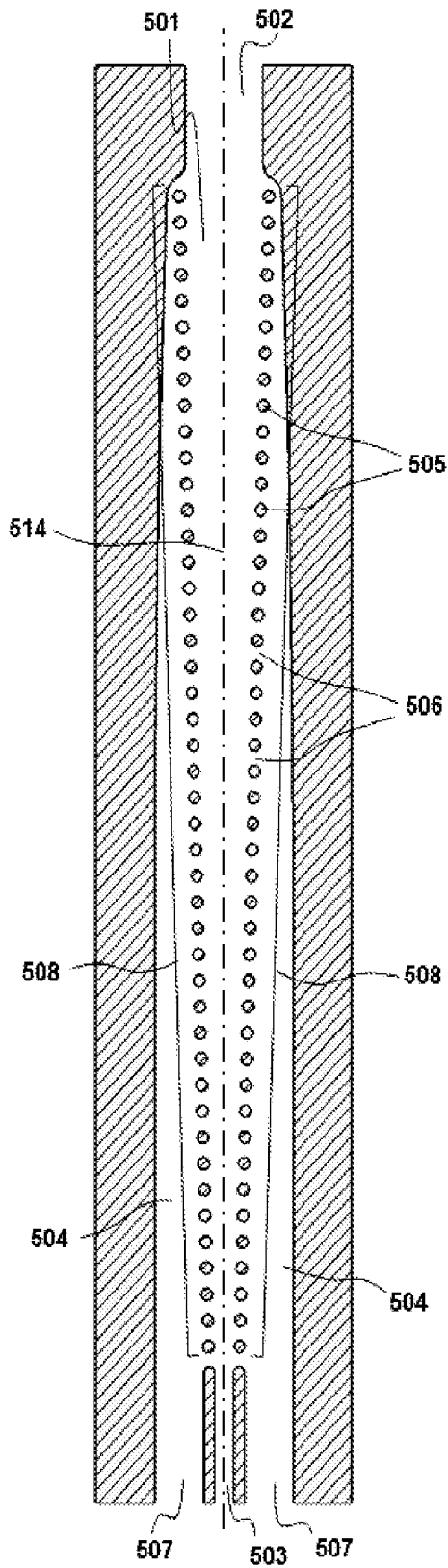


Fig. 14B

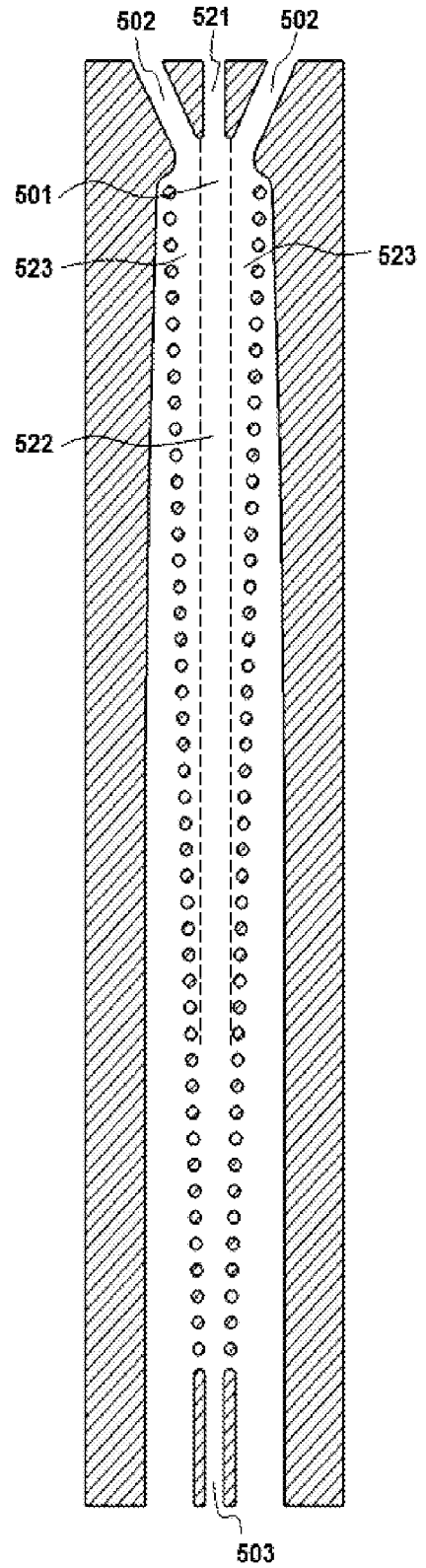


Fig. 15A

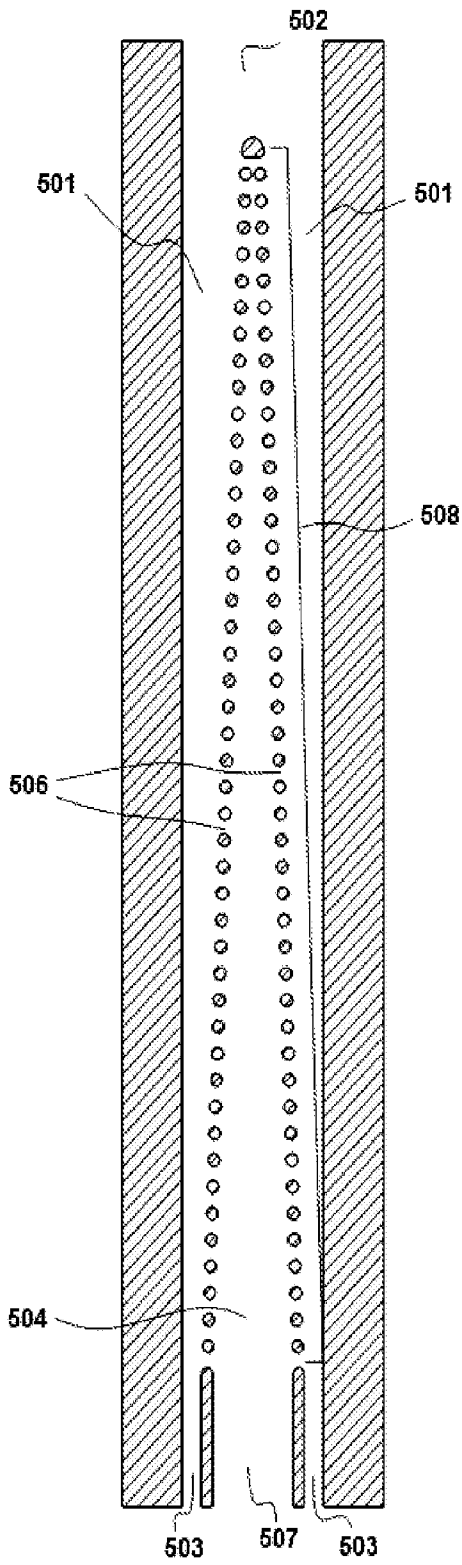


Fig. 15B

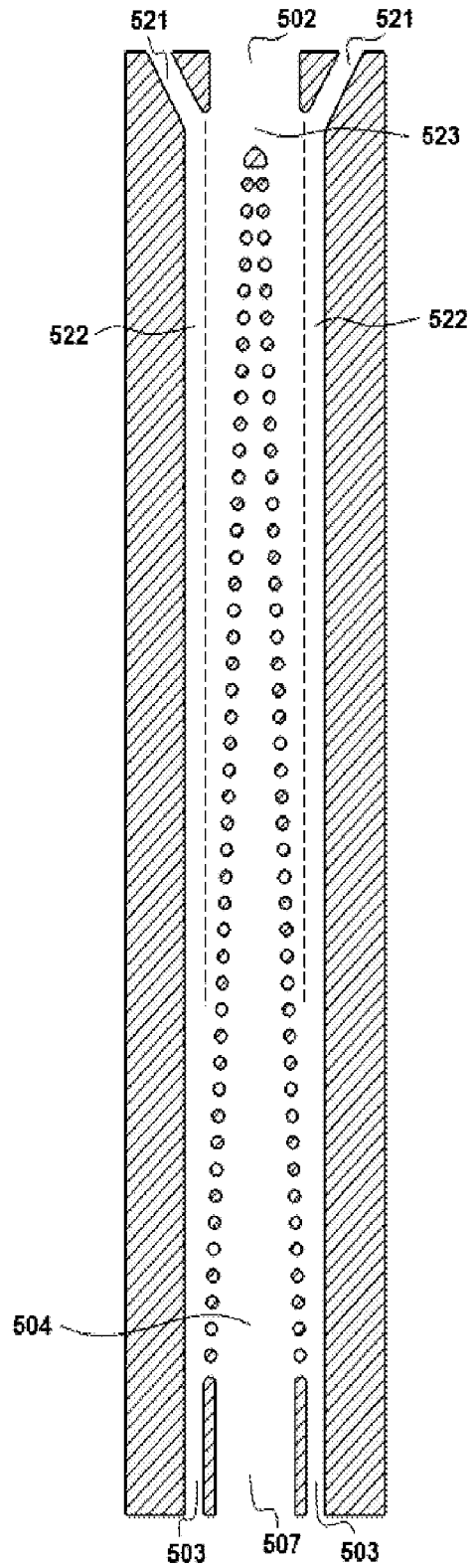


Fig. 16A

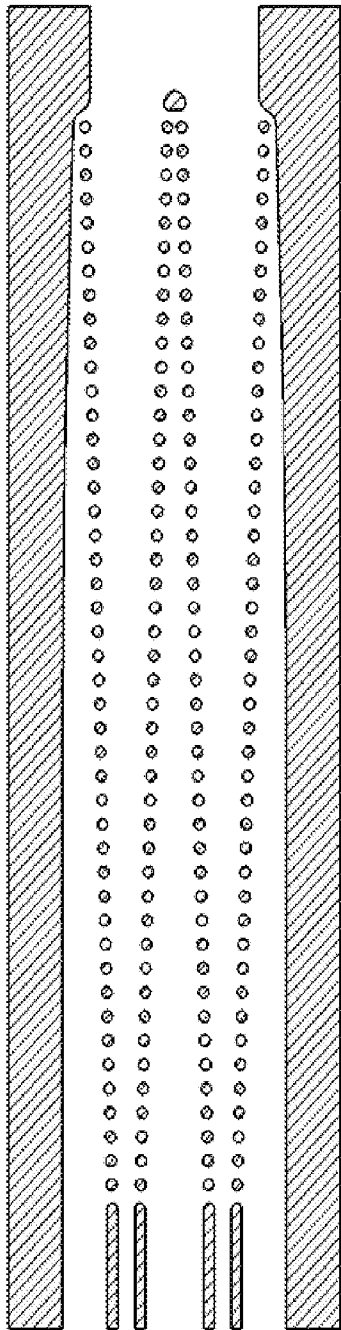


Fig. 16B

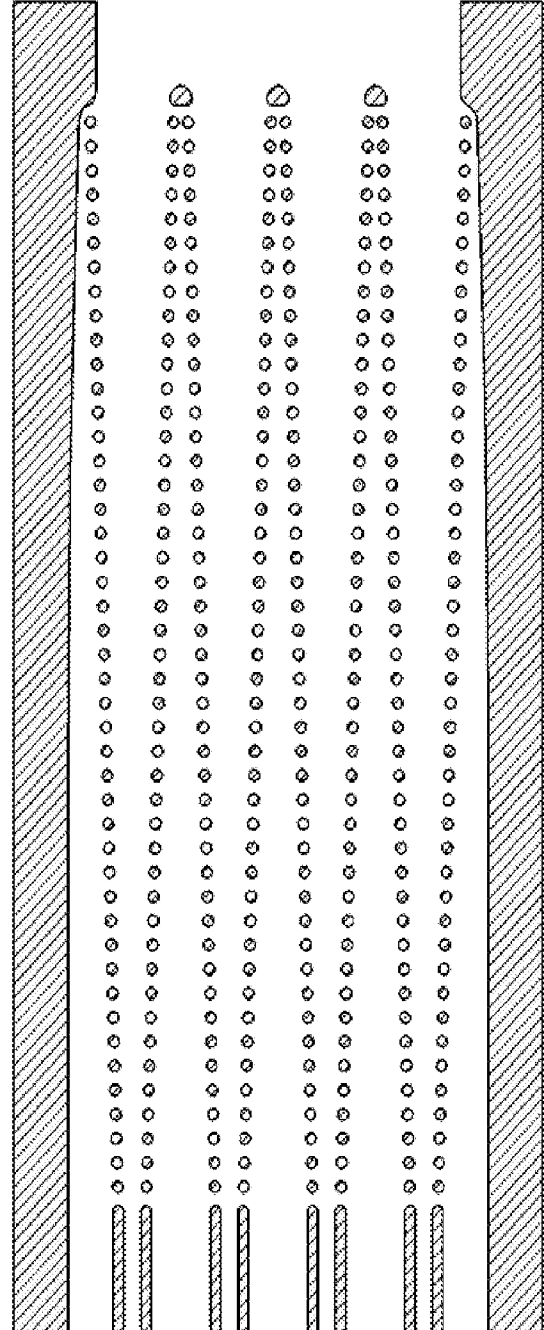


Fig. 17A

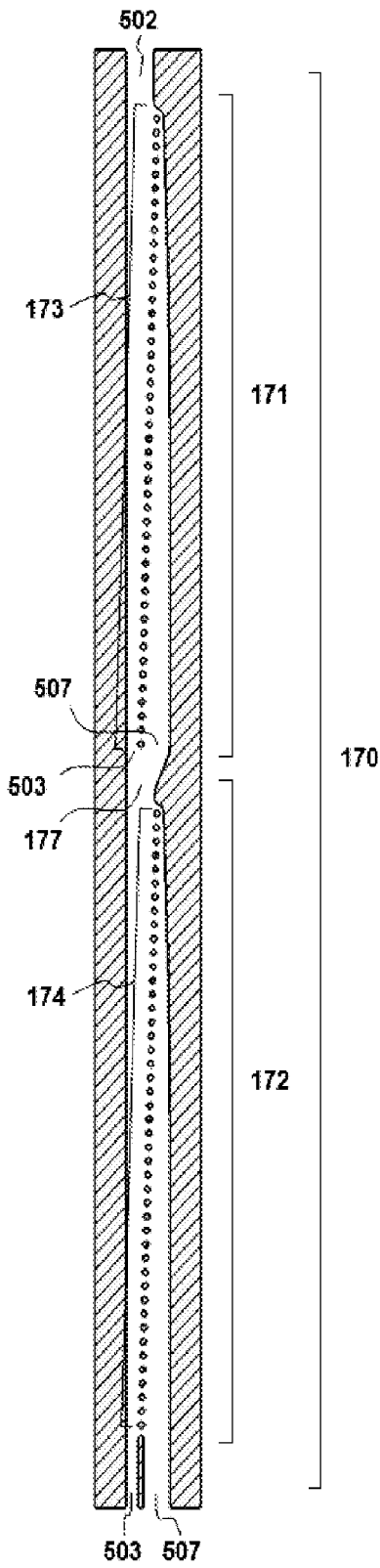


Fig. 17B

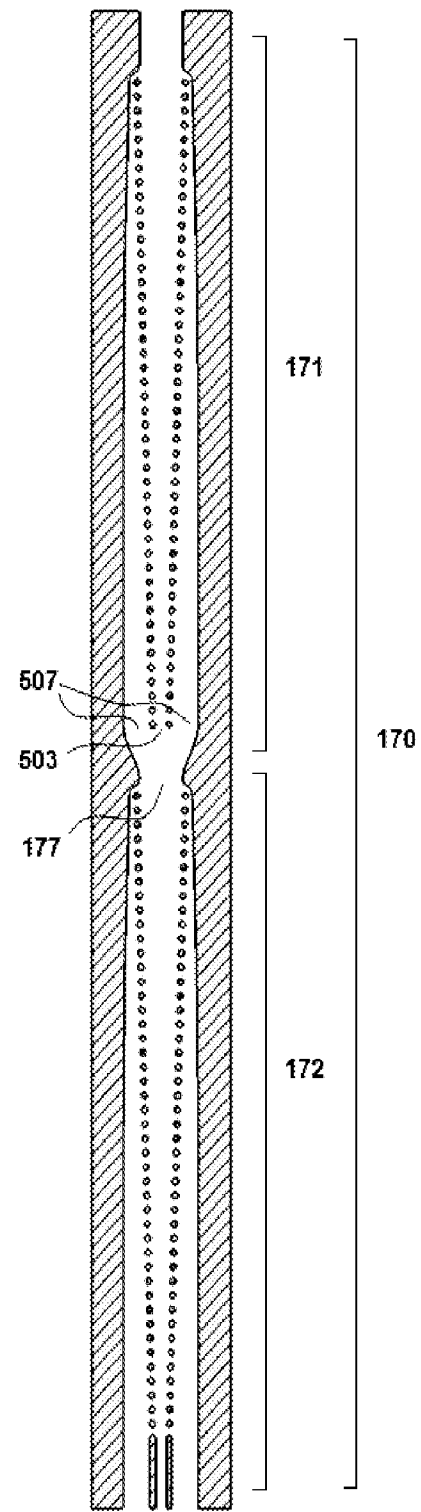


Fig. 17C

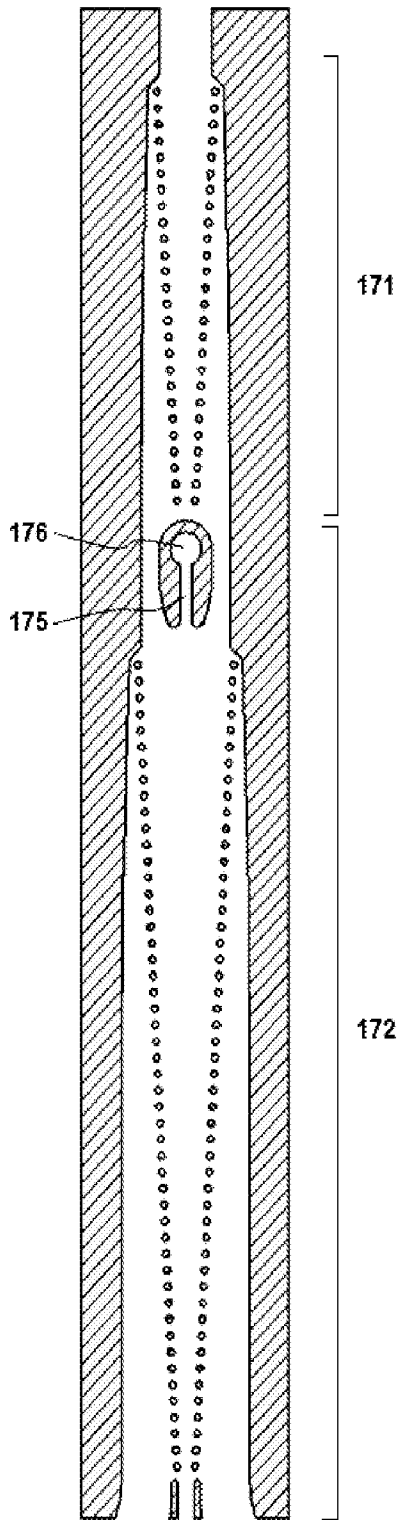


Fig. 17D

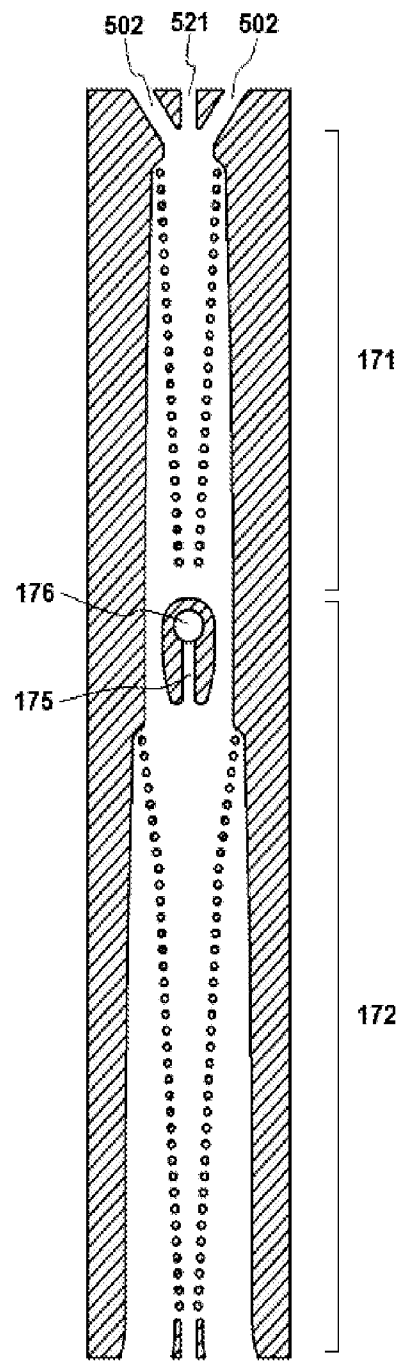


Fig. 18A

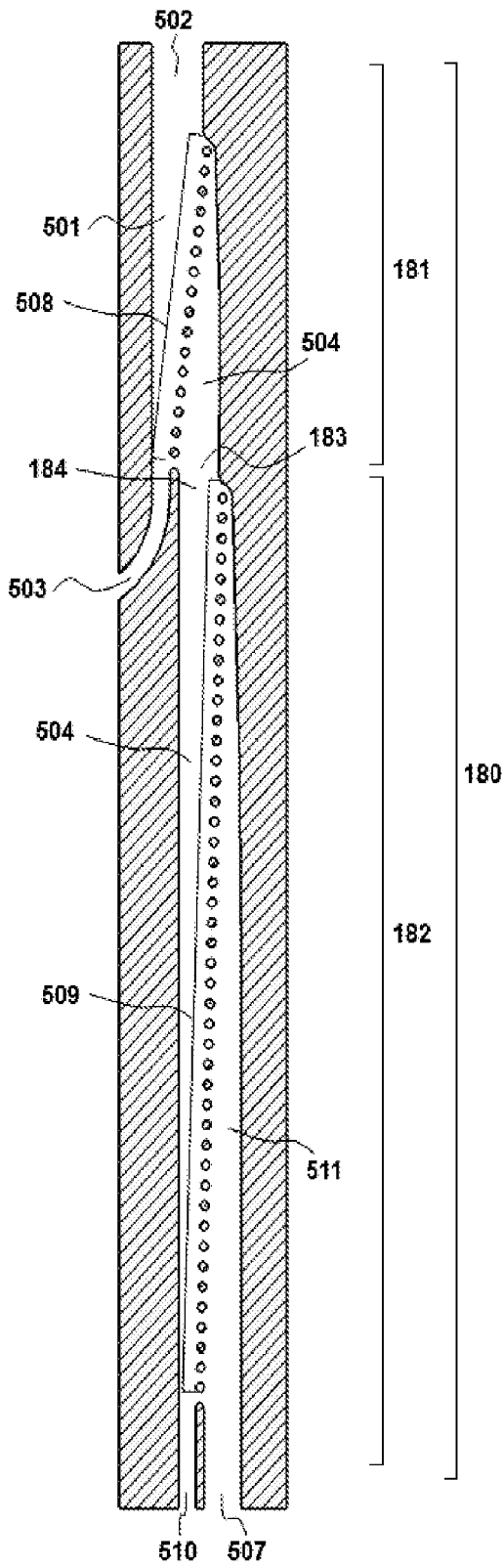


Fig. 18B

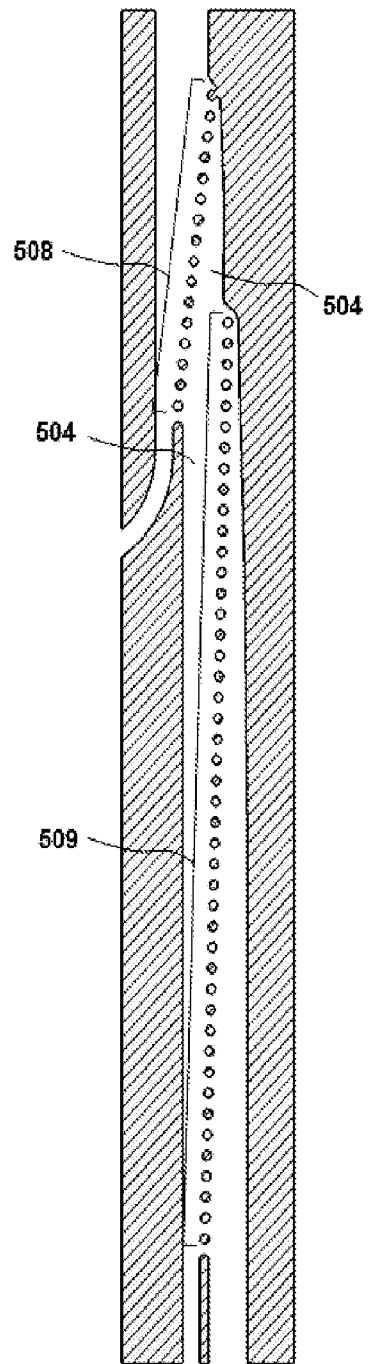


Fig. 18C

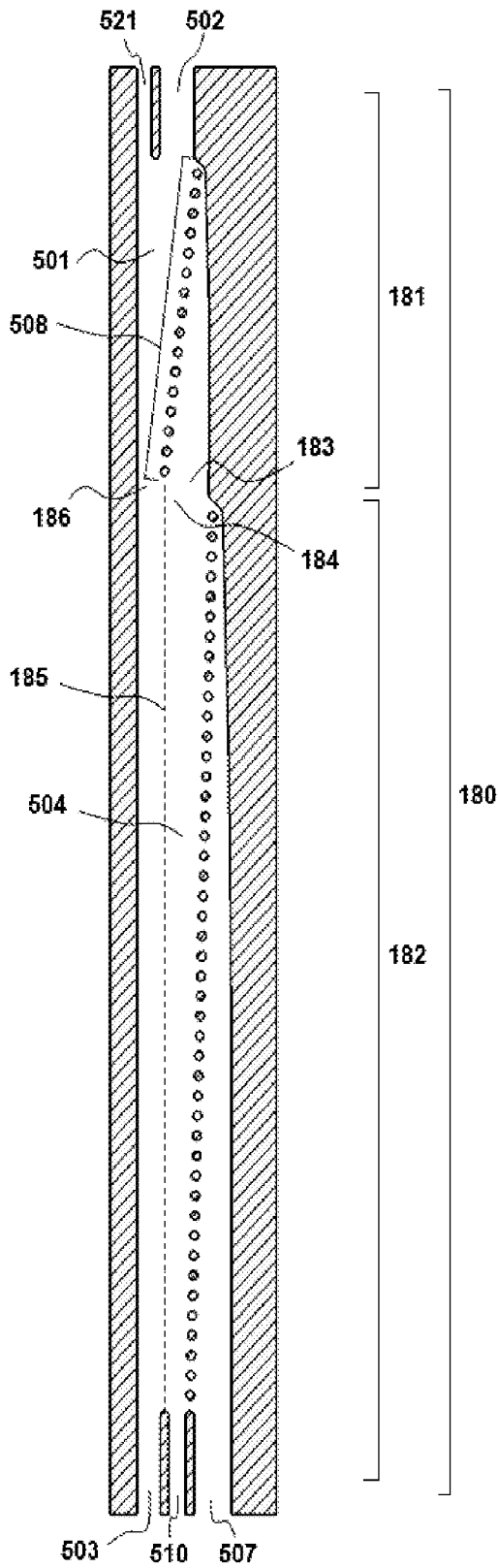


Fig. 18D

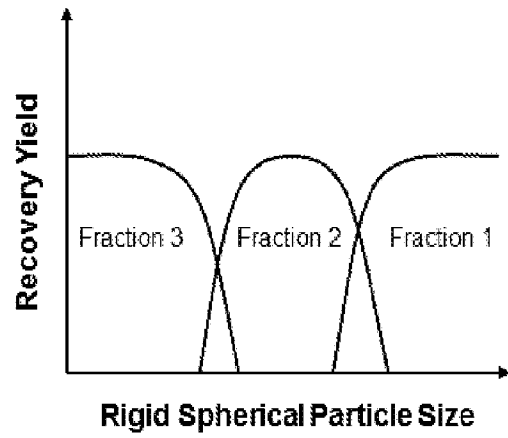


Fig. 19A

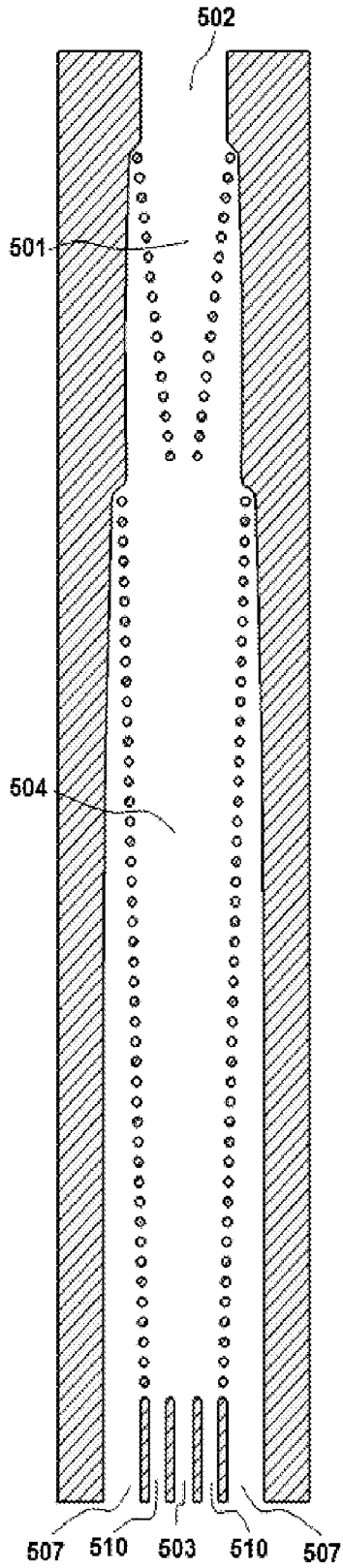


Fig. 19B

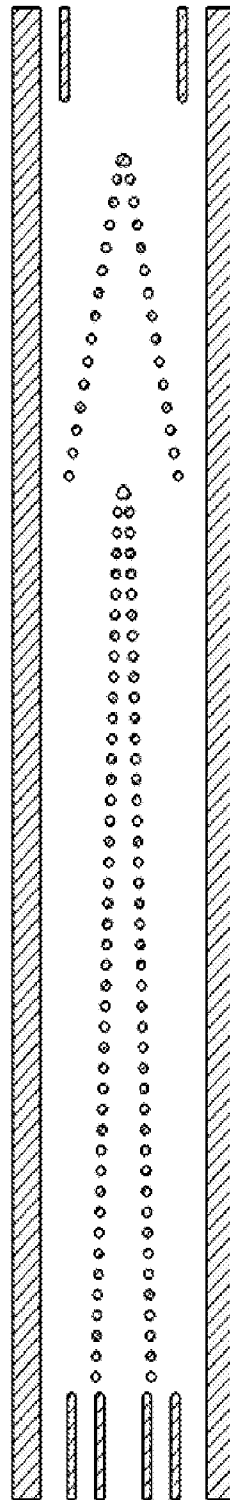


Fig. 20A

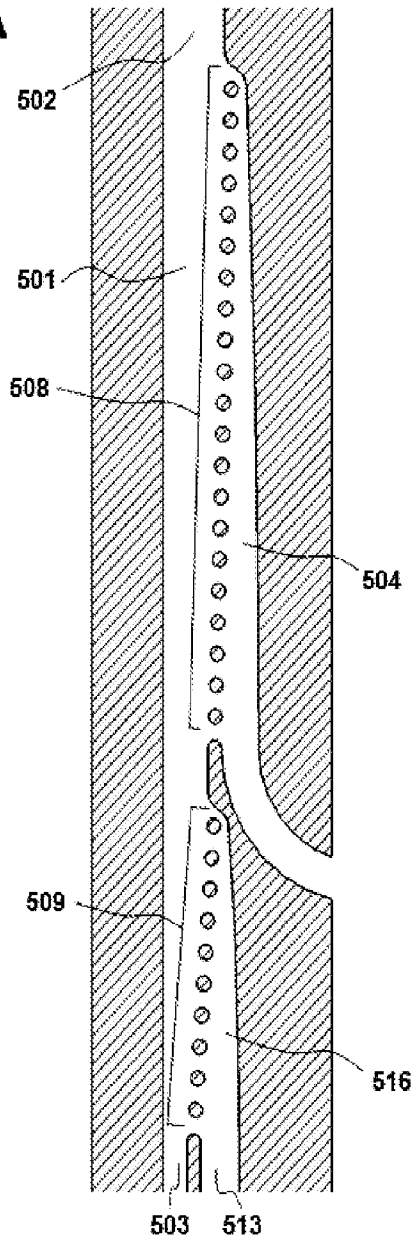


Fig. 20B

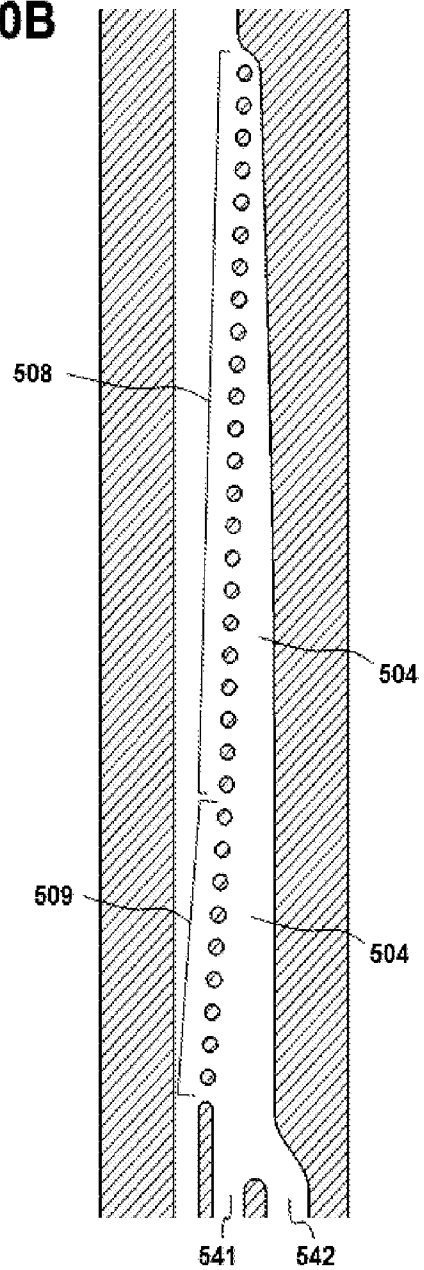


Fig. 20C

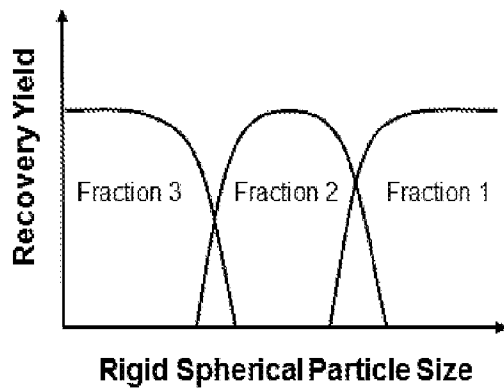


Fig. 21A

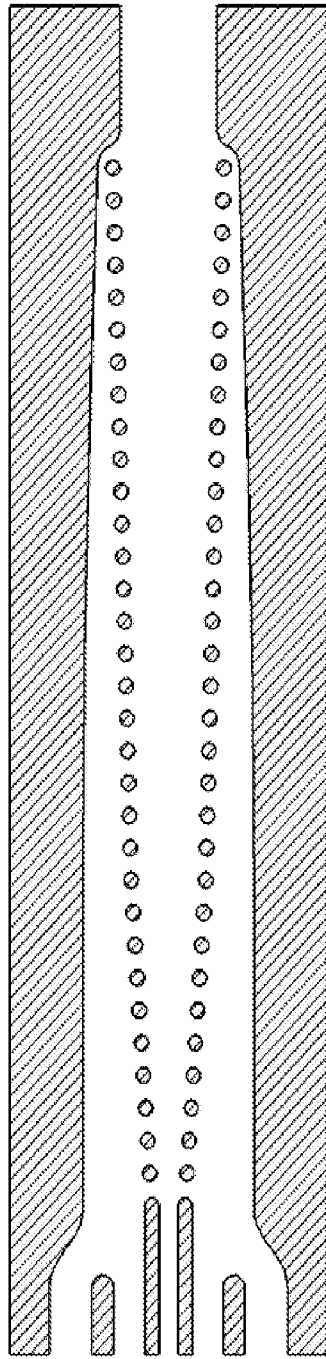


Fig. 21B

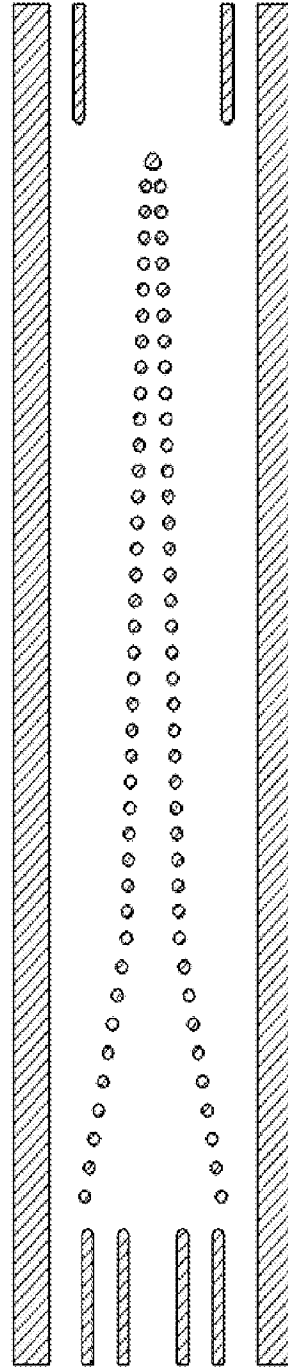


Fig. 22A

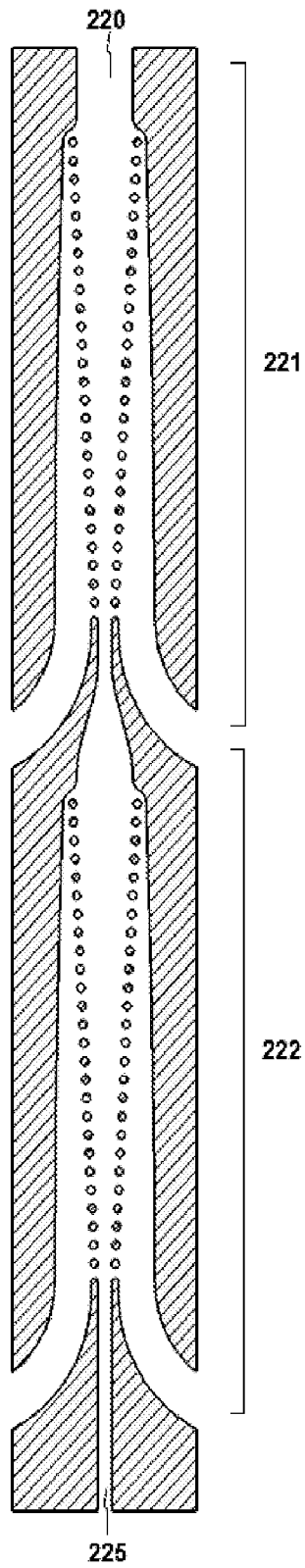


Fig. 22B

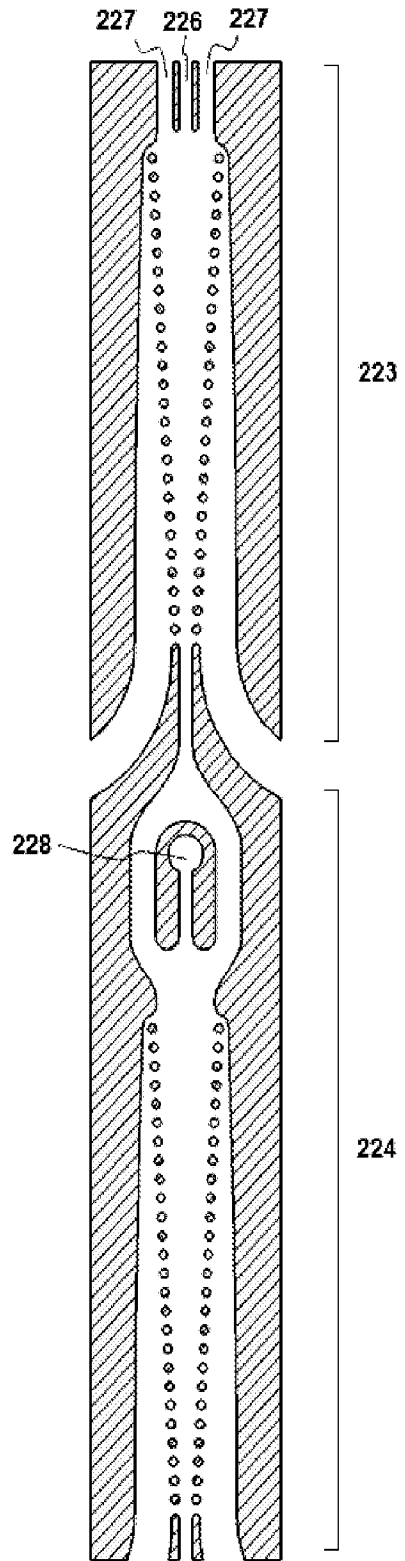


Fig. 23A

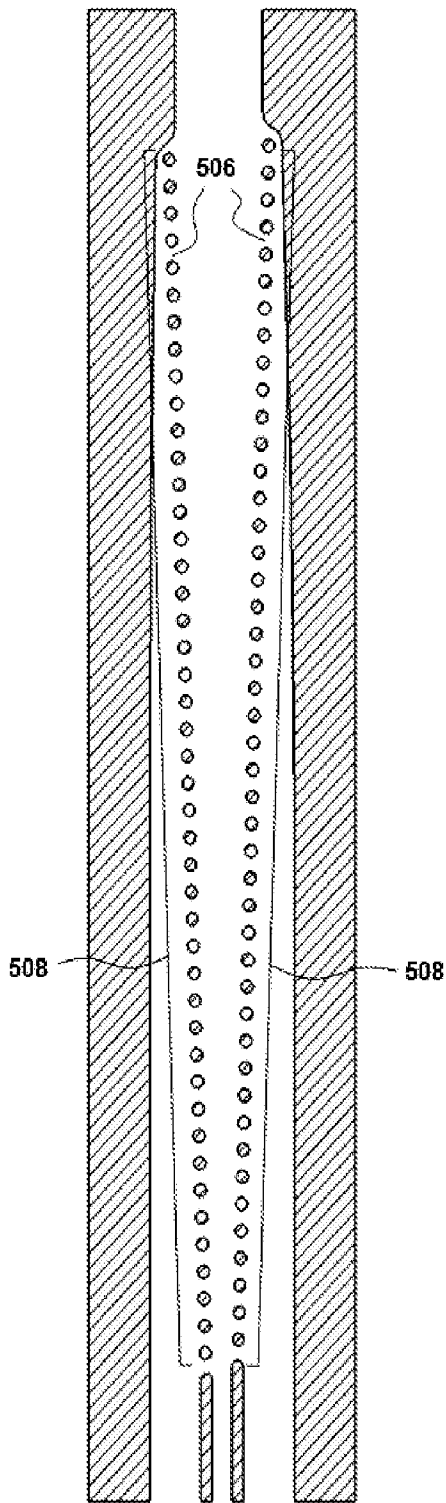


Fig. 23B

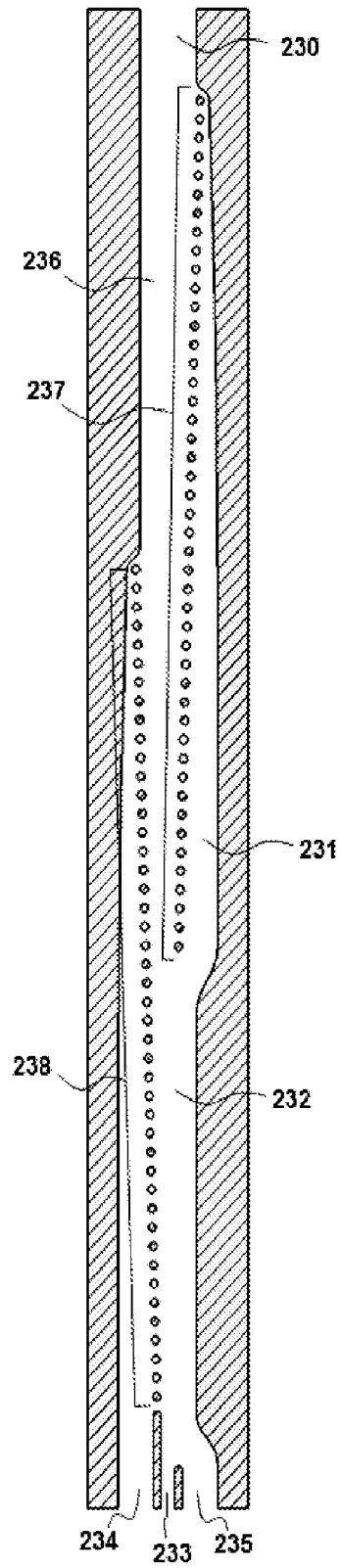


Fig. 23C

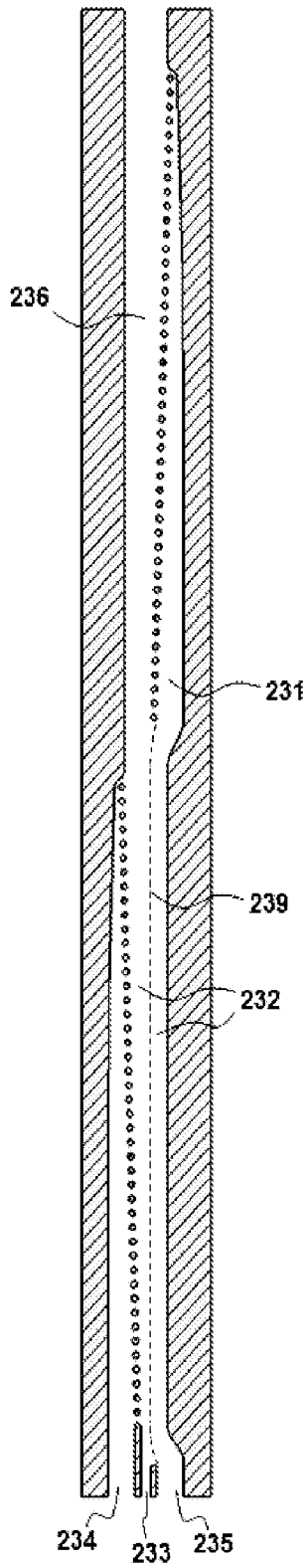


Fig. 23D

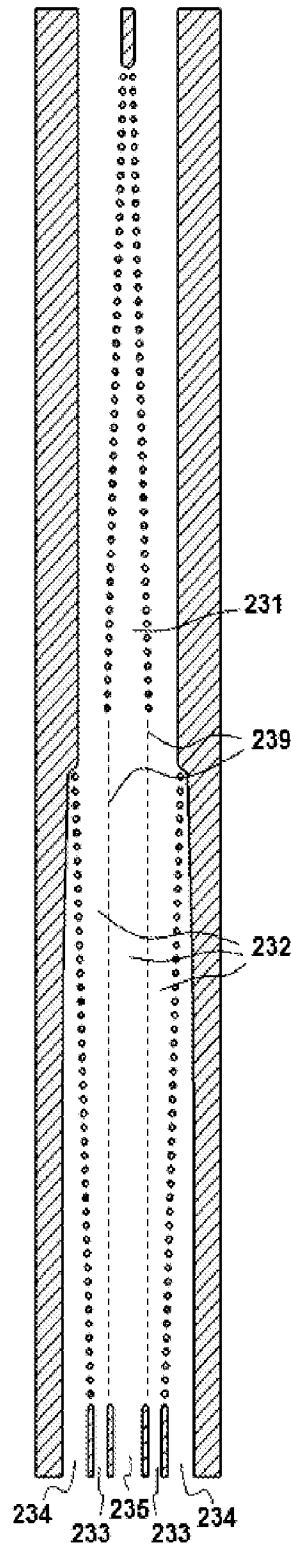


Fig. 23E



Fig. 24A

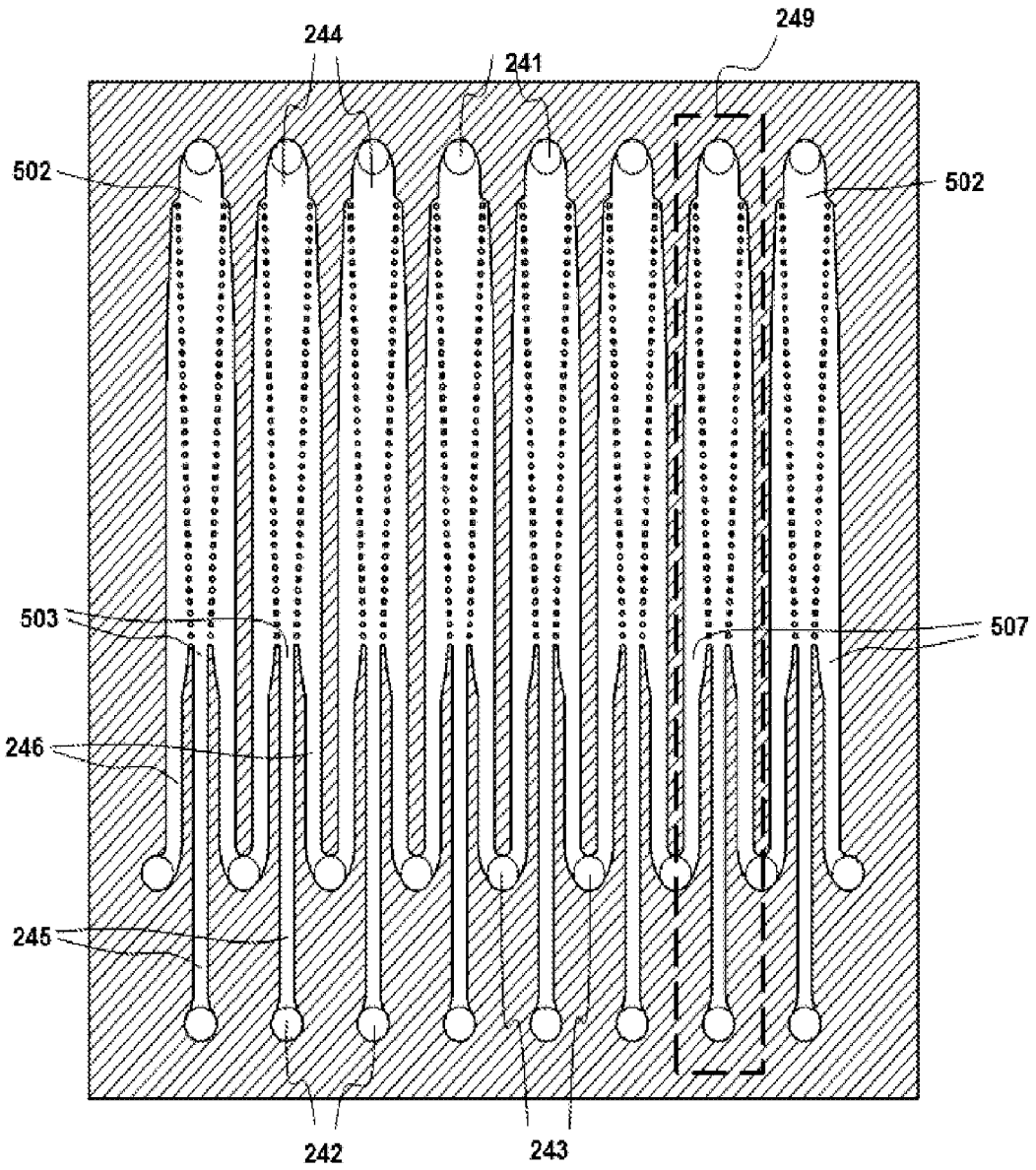


Fig. 24B

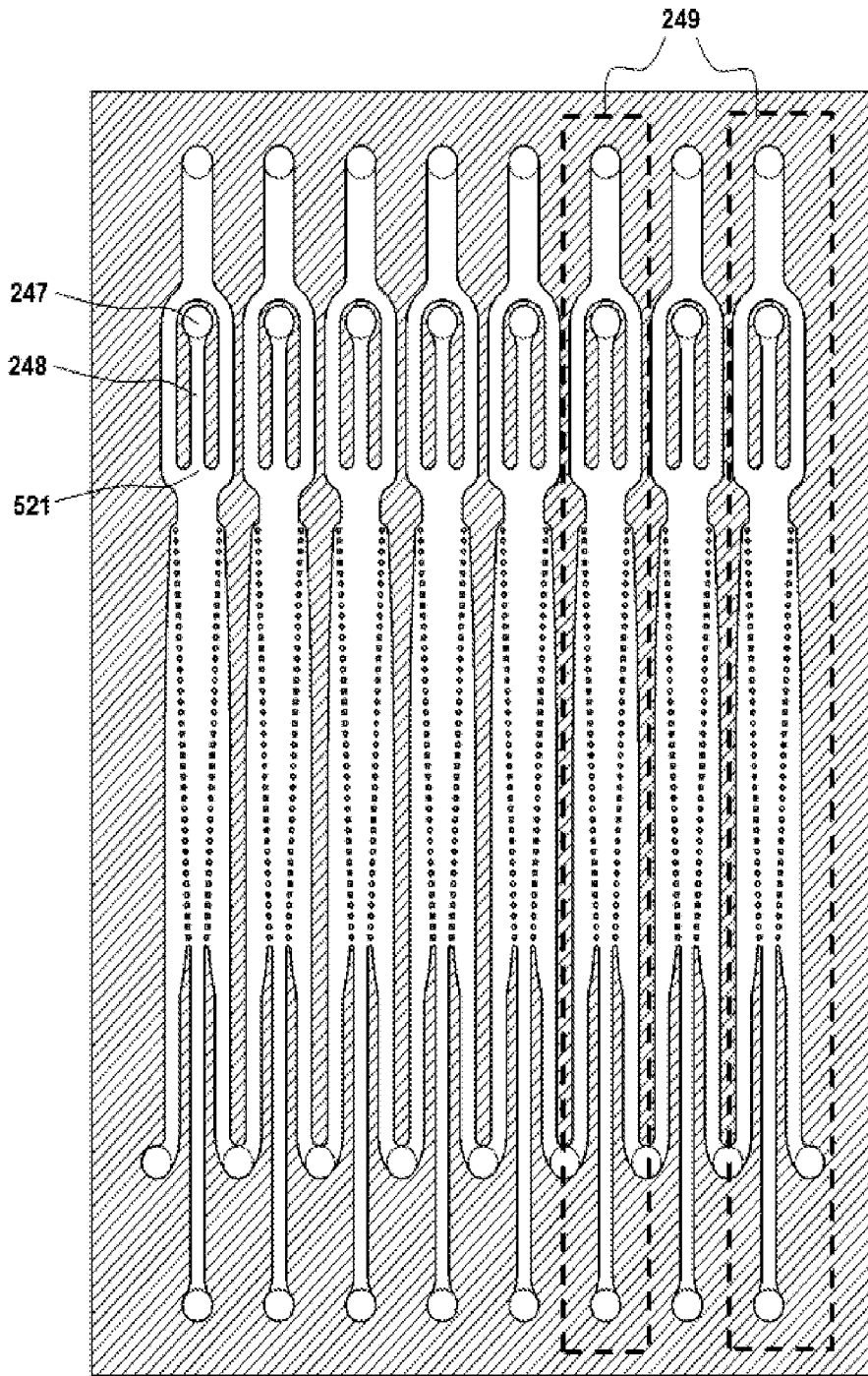


Fig. 24C

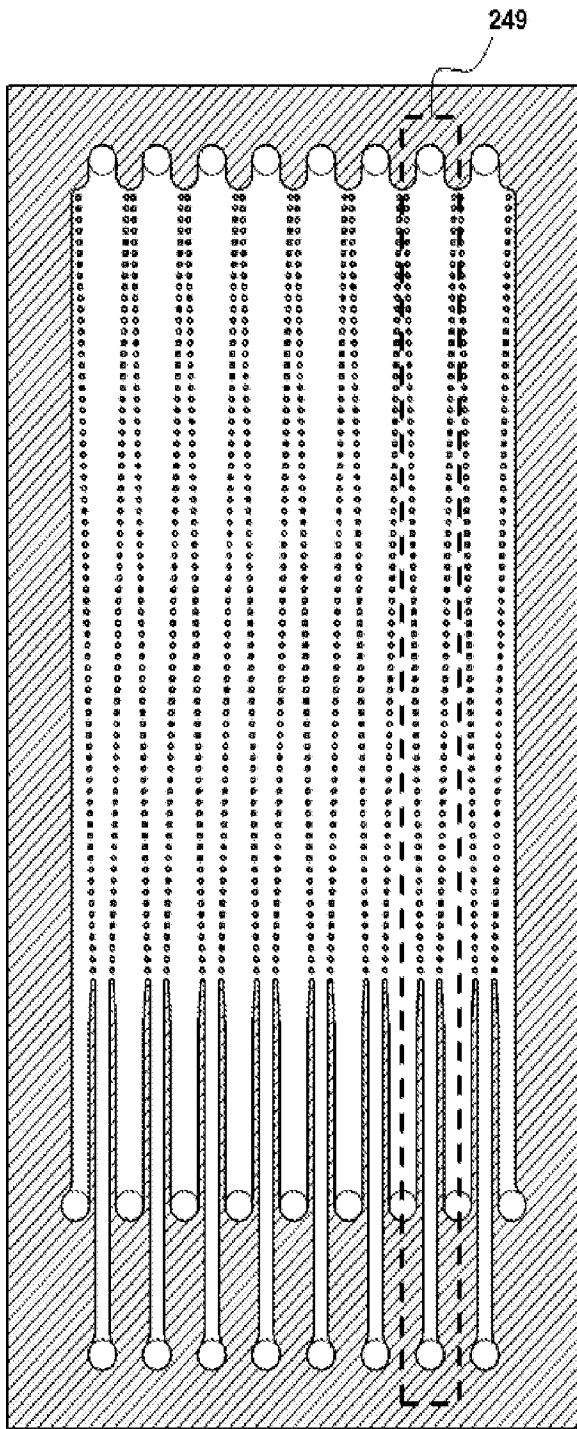


Fig. 24D

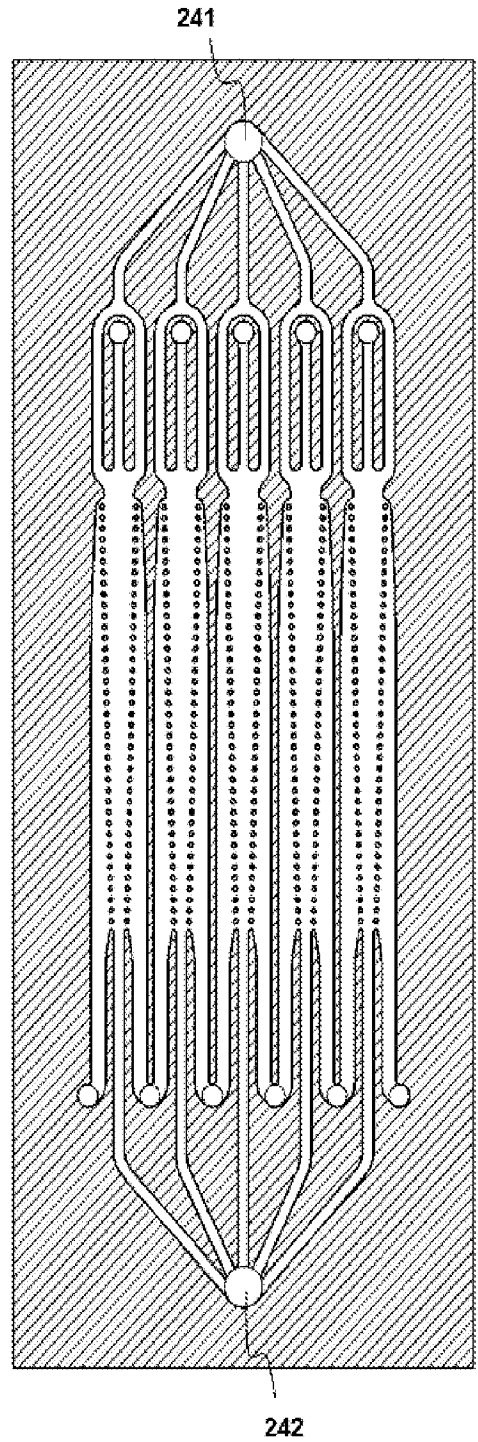


Fig. 24E

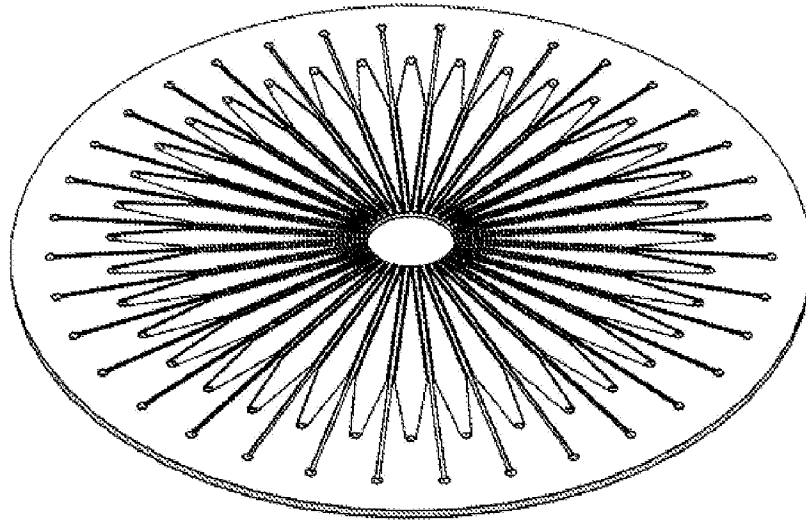


Fig. 24F

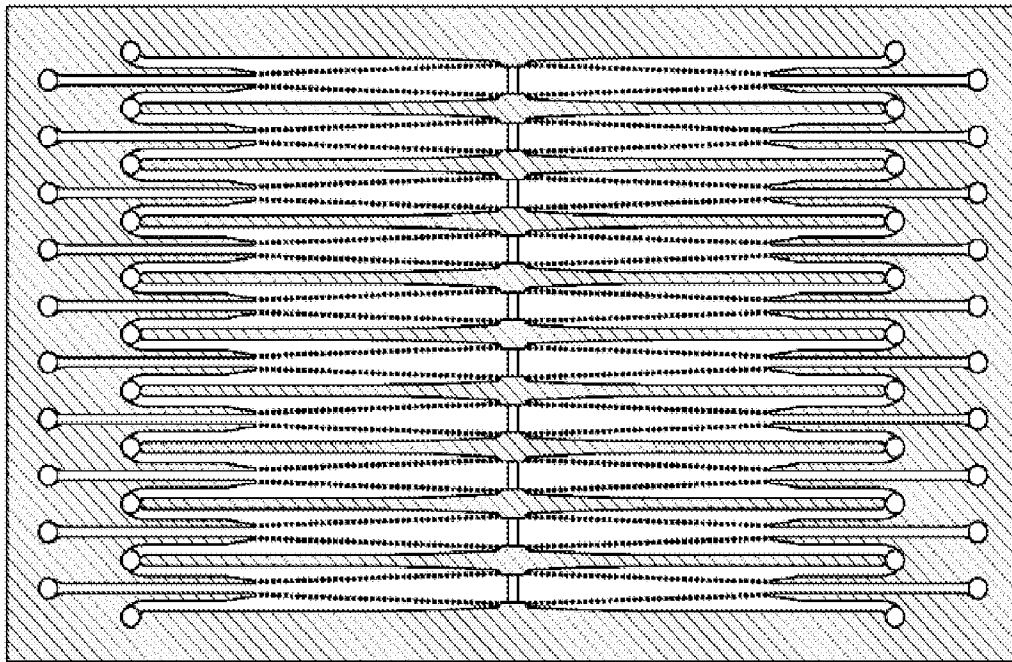


Fig. 25

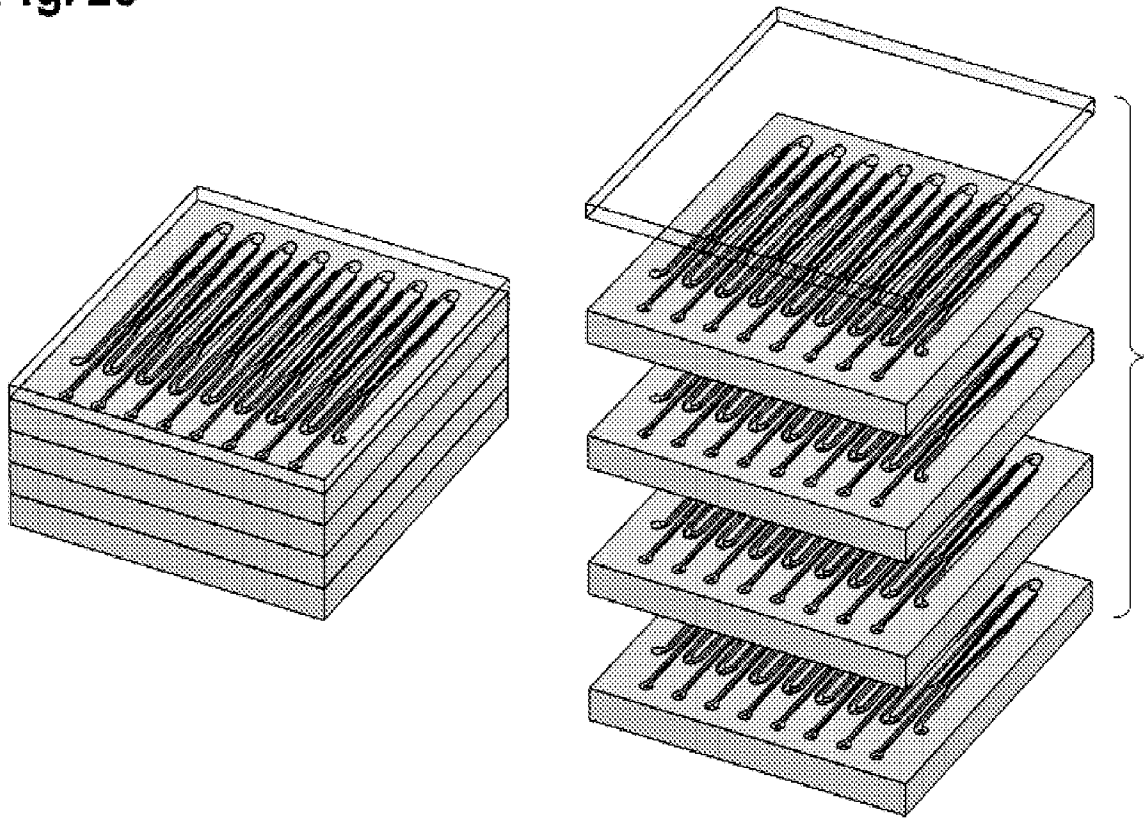


Fig. 26A

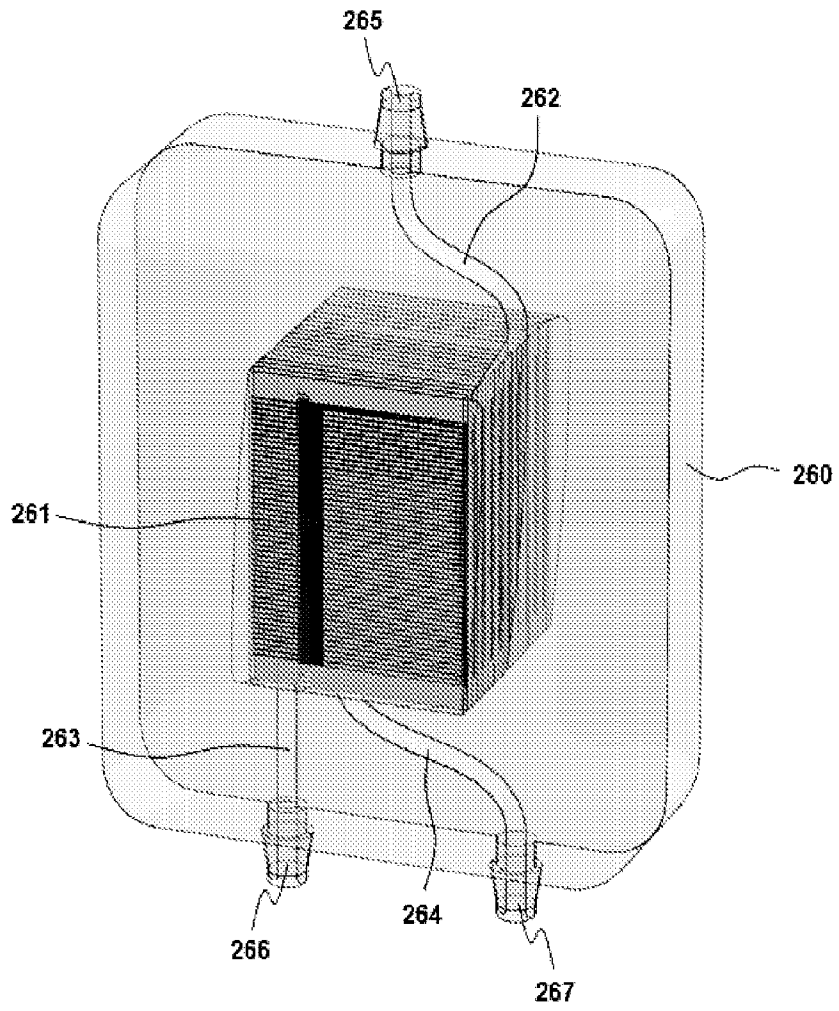


Fig. 26B

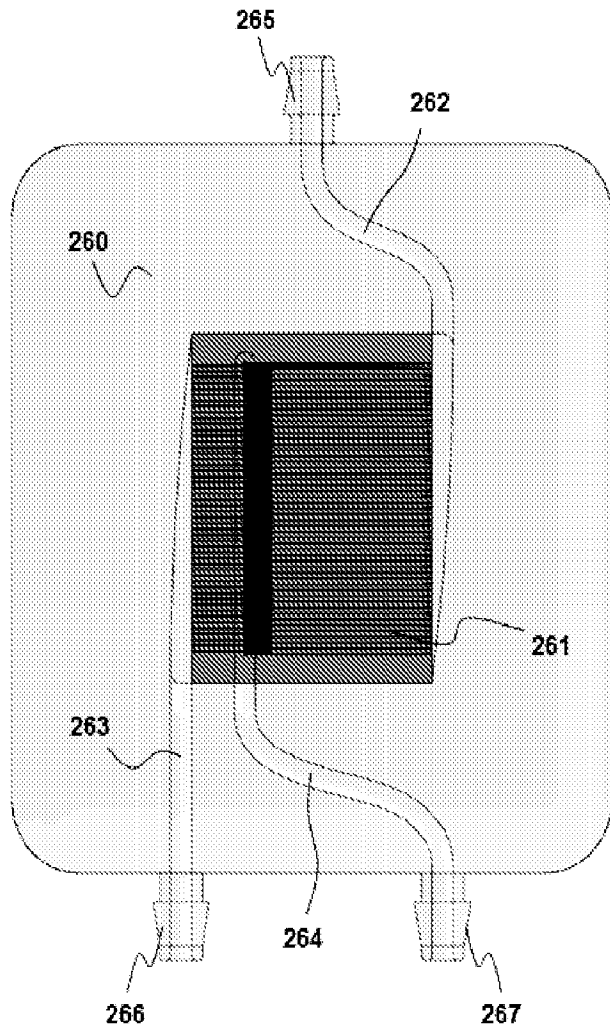
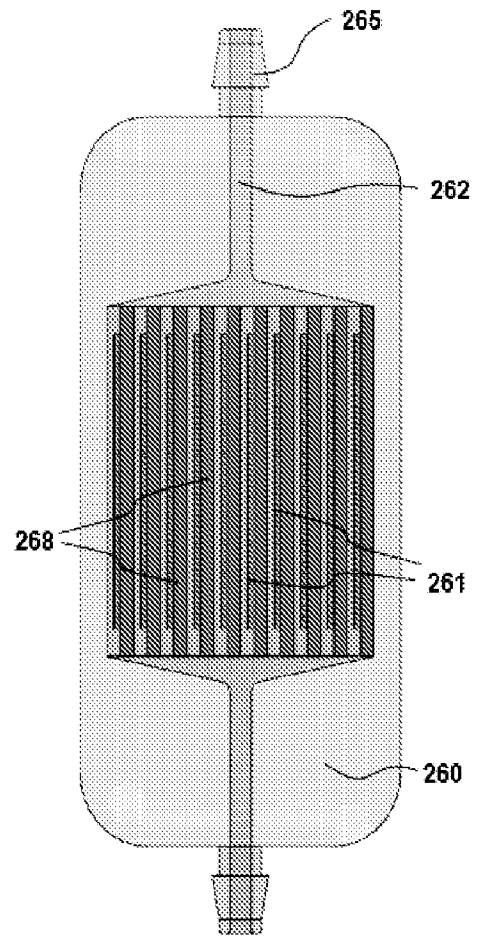


Fig. 26C



36/47

Fig. 26D

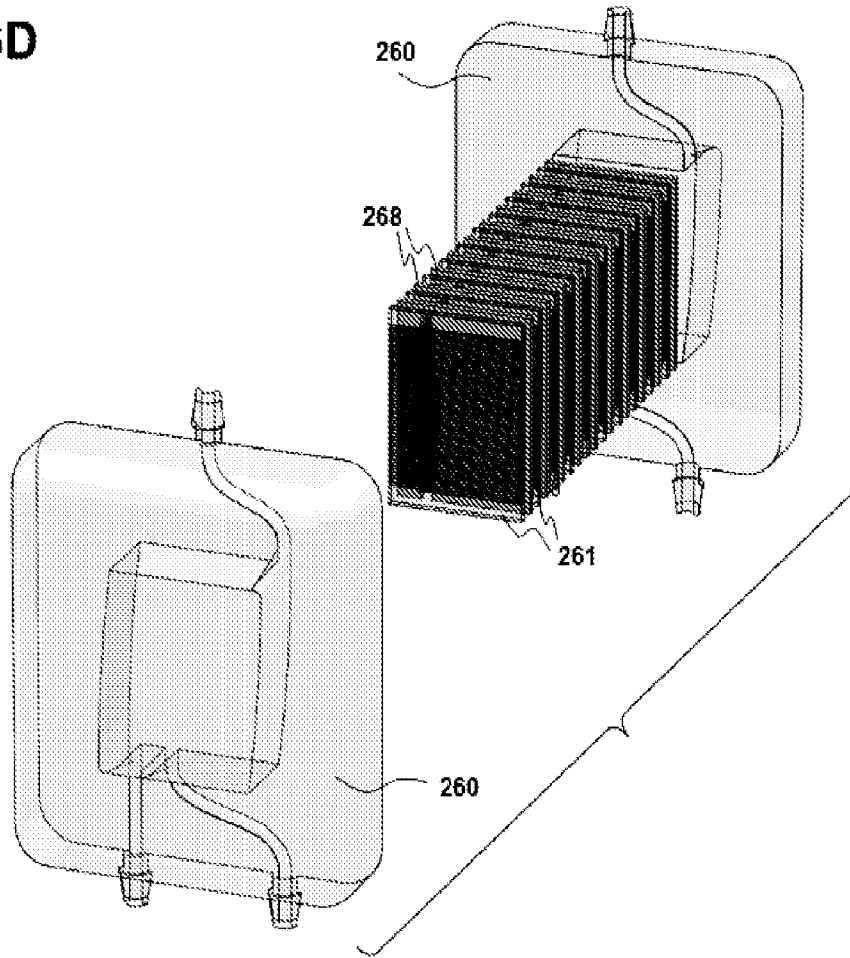


Fig. 26E

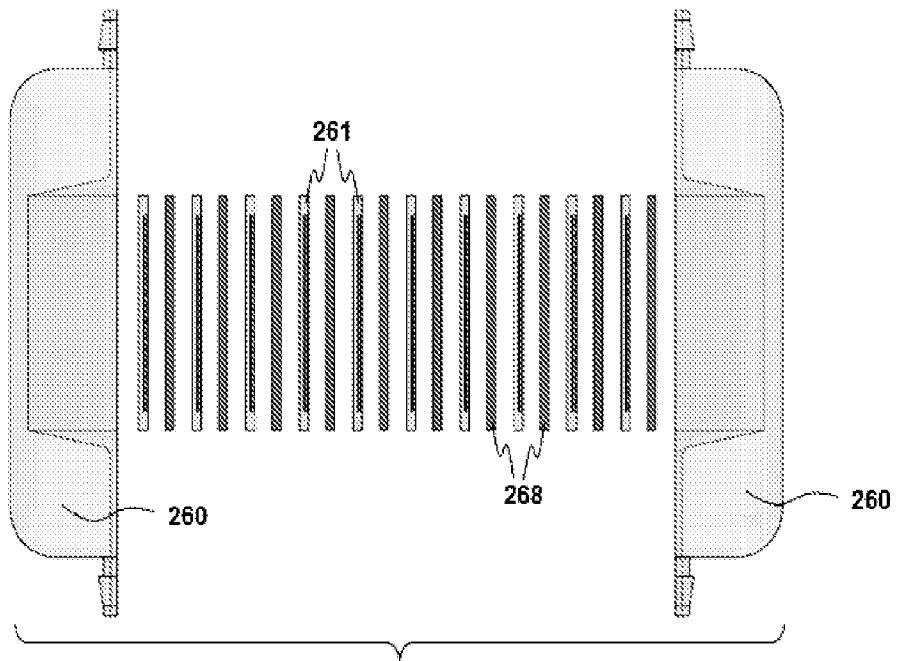


Fig. 27A

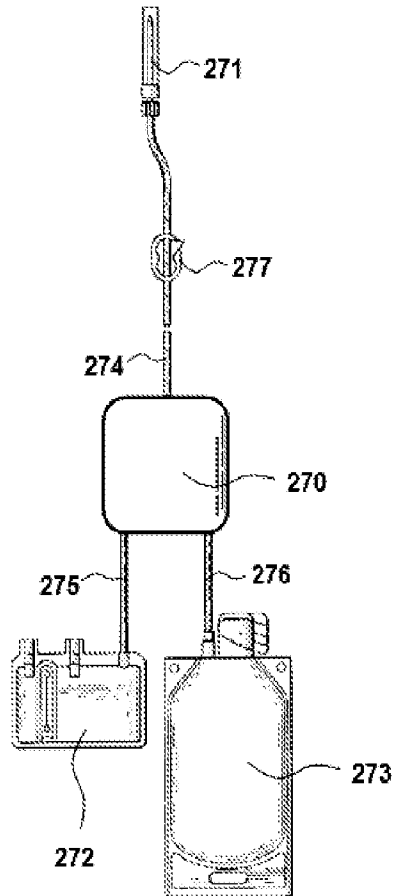


Fig. 27B

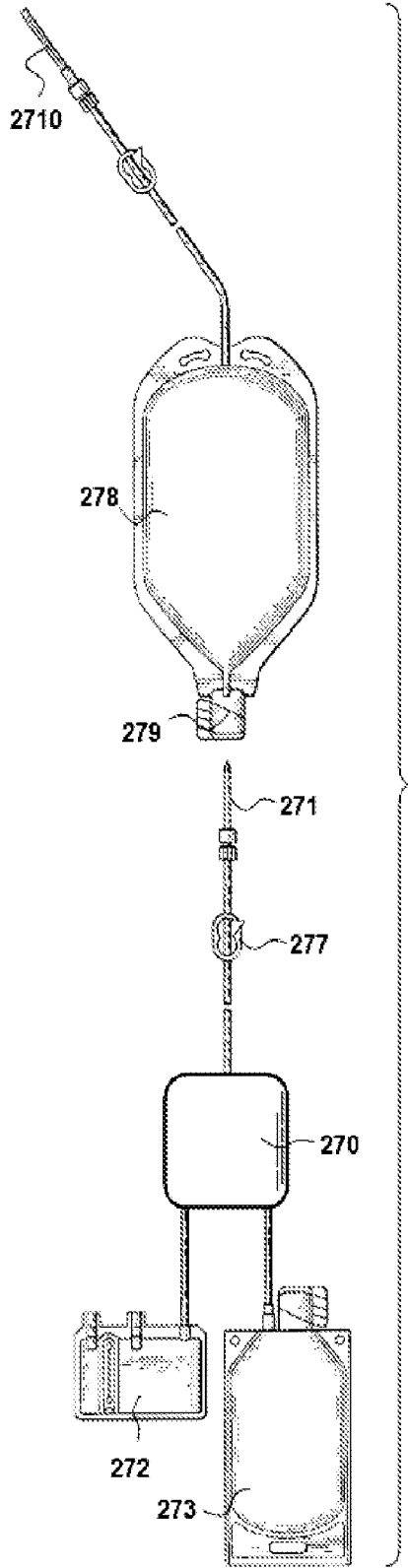


Fig. 27C

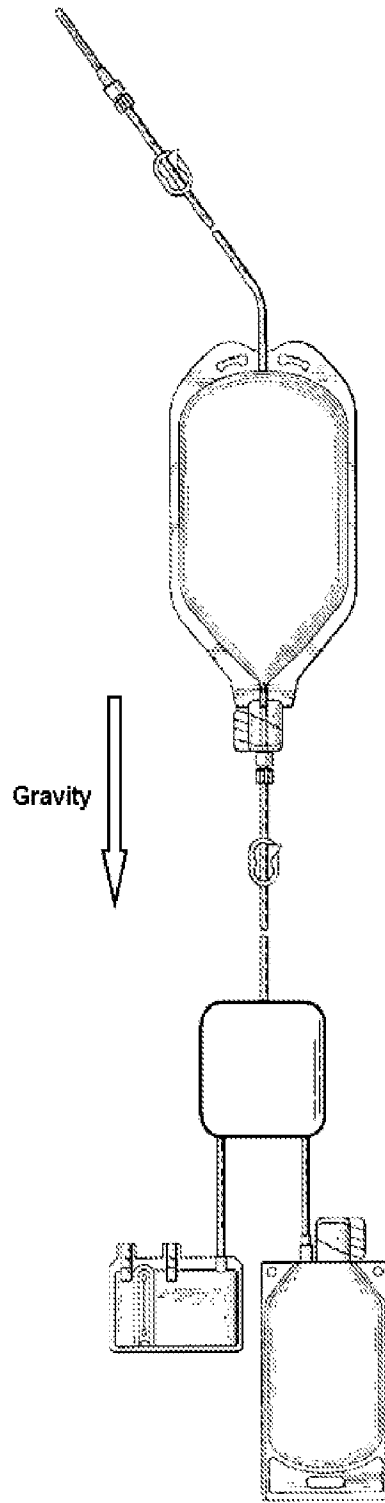


Fig. 28

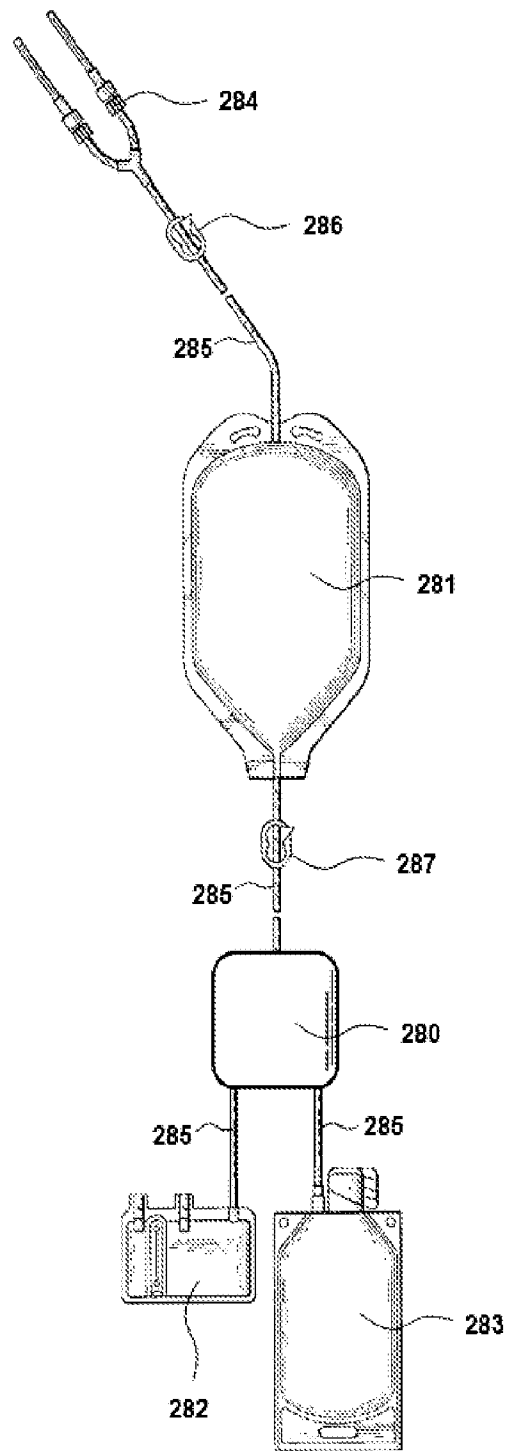


Fig. 29A

Fig. 29B

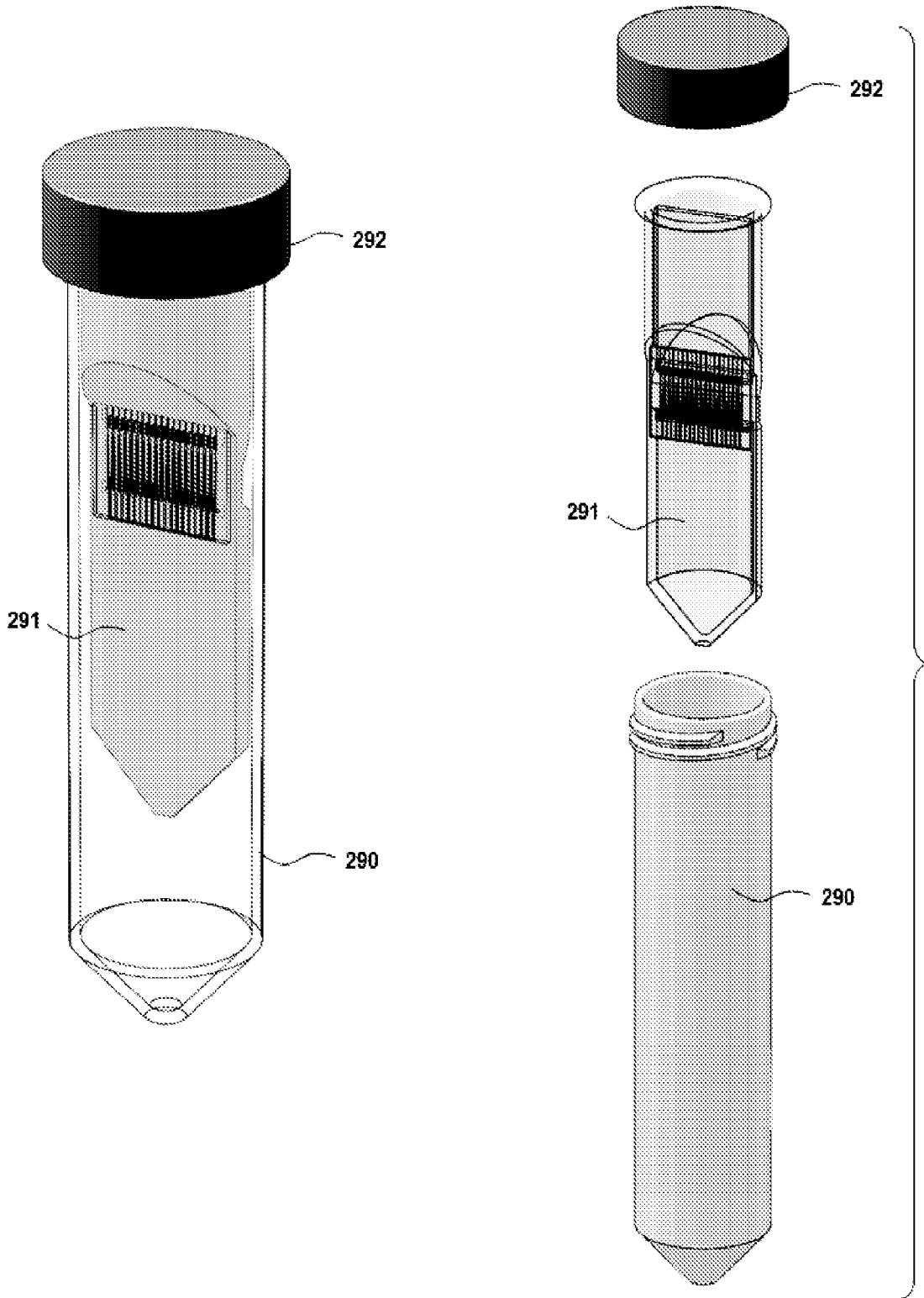


Fig. 30A

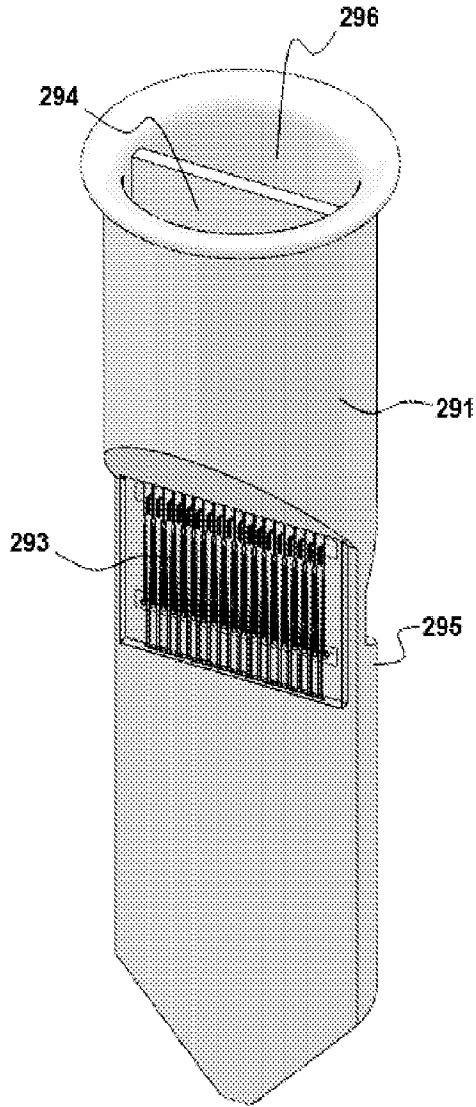


Fig. 30B

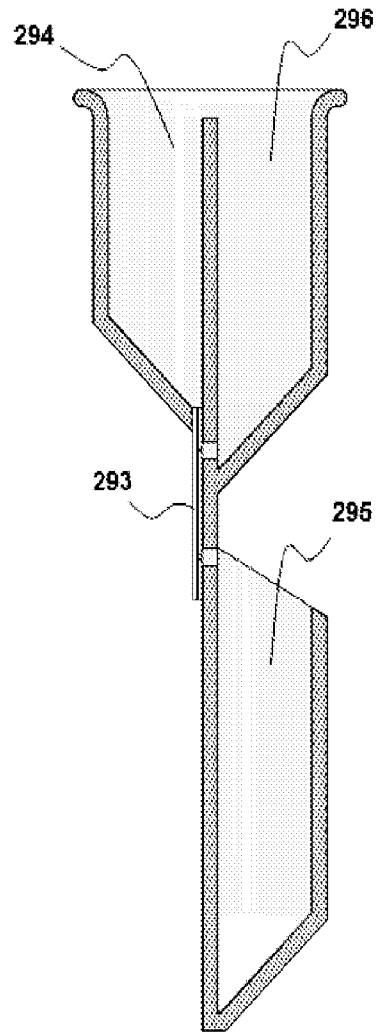


Fig. 30C

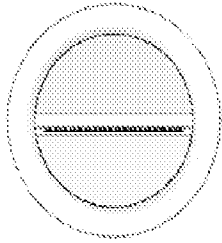


Fig. 30D

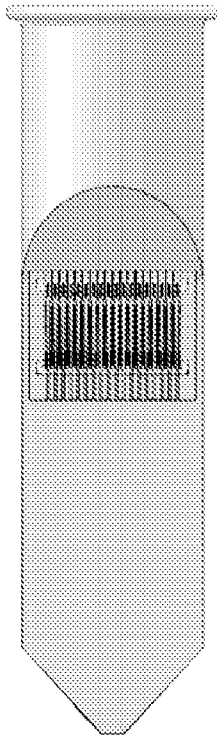


Fig. 30E

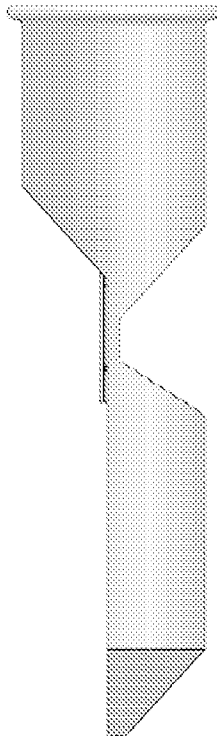


Fig. 30F

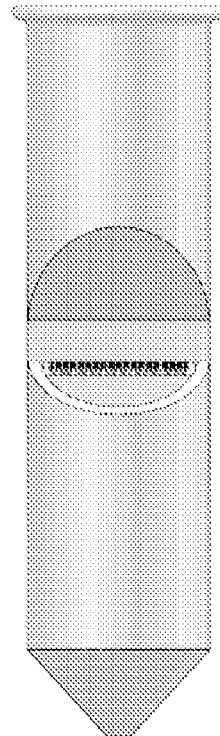


Fig. 30G

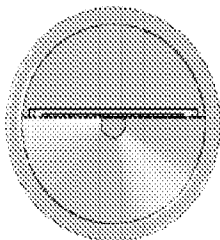


Fig. 31A

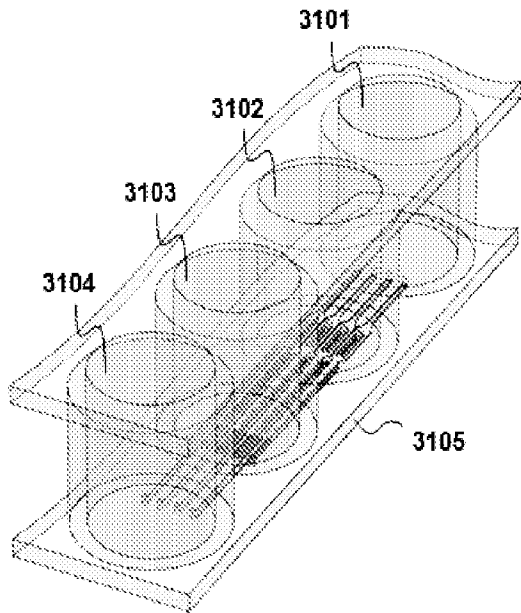


Fig. 31B

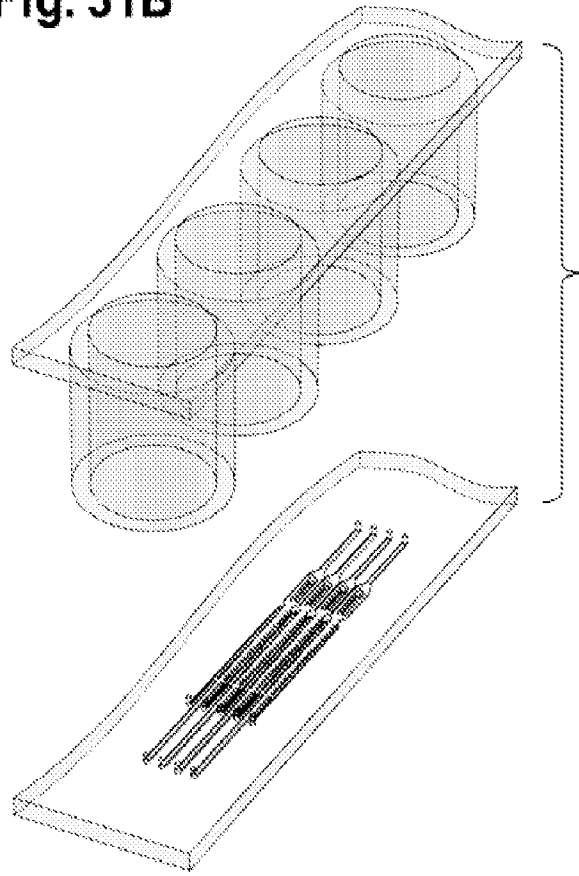


Fig. 31C

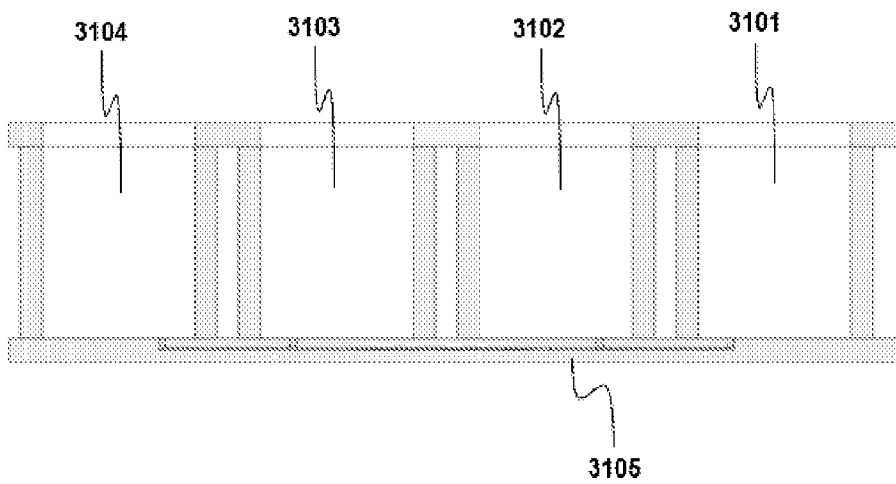


Fig. 32A

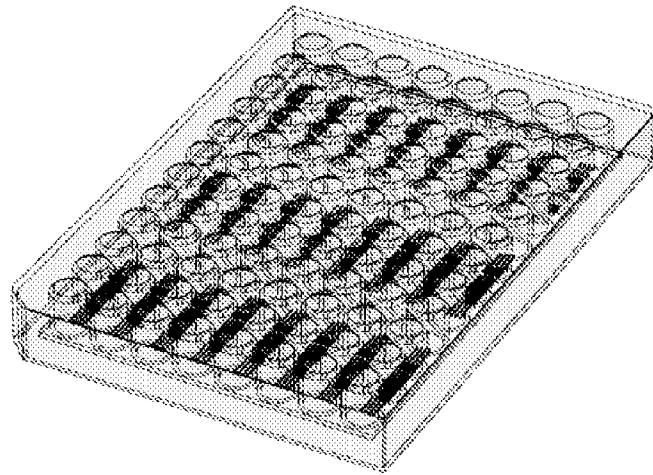


Fig. 32B

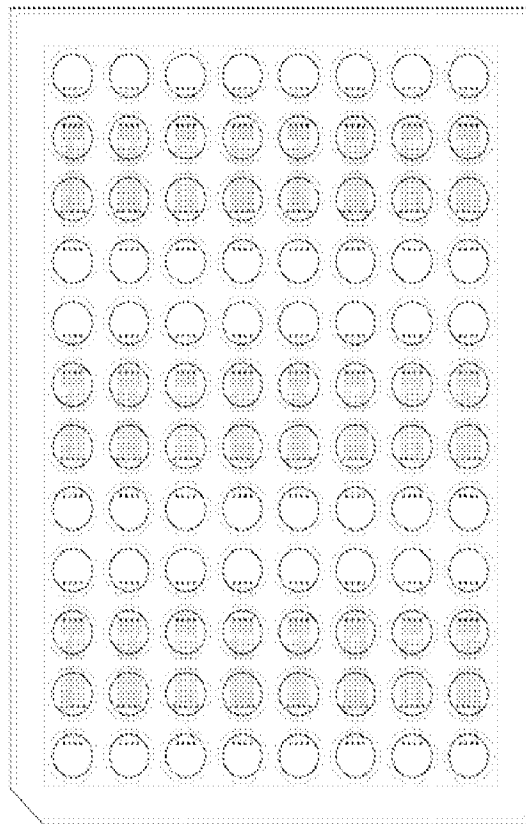


Fig. 32C

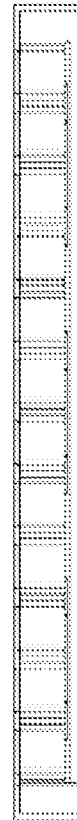


Fig. 32D

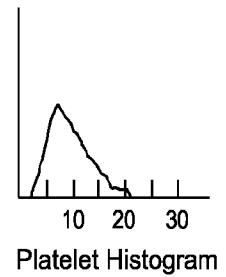
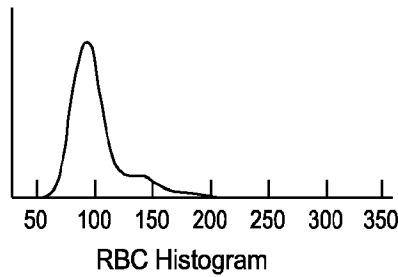
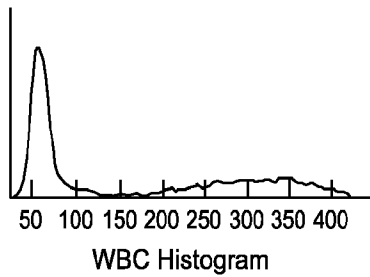


FIG. 33

Sample	Processing Throughput	Leukocyte Retention	Erythrocyte Carryover	Platelet Carryover	Leukocyte Viability Before Isolation	Leukocyte Viability After Isolation
1	5.5 ml/hr	96%	2.1%	<1%	99.6%	99.7%
2	5.3 ml/hr	93%	1.8%	<1%	99.5%	99.3%
Average	5.4 ml/hr	94%	2.0%	<1%	99.6%	99.5%

FIG. 34A

Sample 1



Sample 2

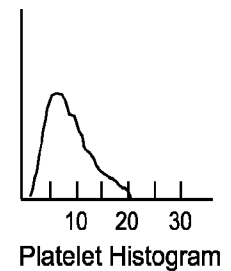
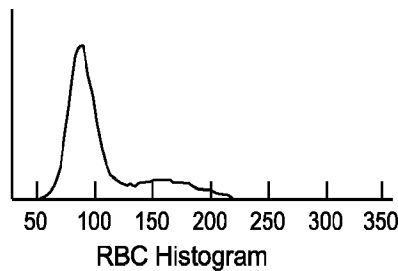
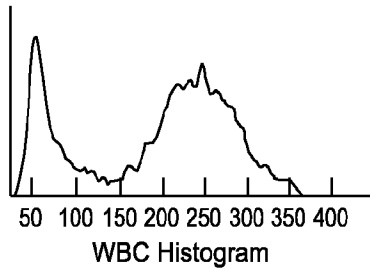
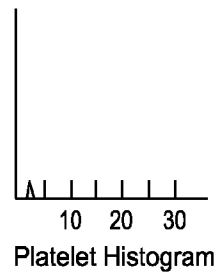
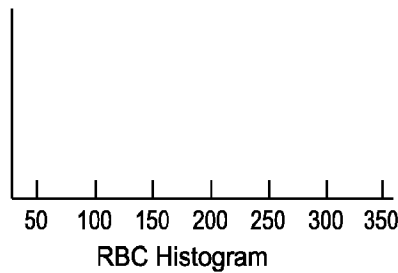
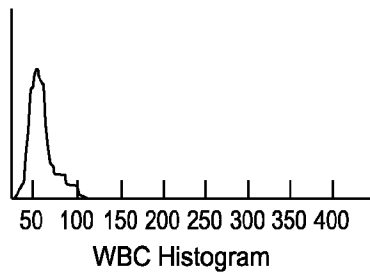


FIG. 34B

Retentate 1



Retentate 2

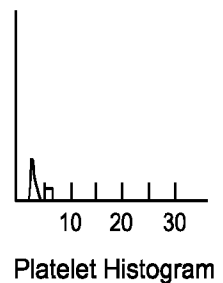
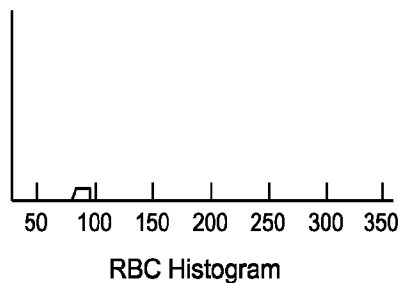
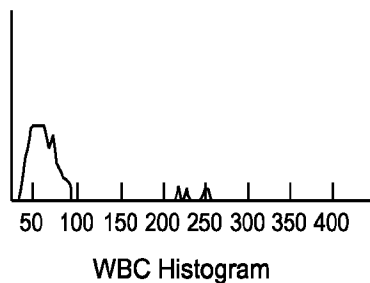


Fig. 34C

	Lymphocyte (10 ³ /μl)	Monocyte (10 ³ /μl)	Granulocyte (10 ³ /μl)	Erythrocyte (10 ⁶ /μl)	Platelet (10 ³ /μl)
Blood Sample 1	1.2	0.1	1.1	2.08	81
Blood Sample 2	0.9	0.1	2.2	2.45	93
Retentate 1	0.6	0.0	0.0	0.00	0
Retentate 2	0.7	0.0	0.0	0.00	0

Fig. 34D

Sample	Processing Throughput	Lymphocyte Purity	Erythrocyte Carryover	Platelet Carryover
1	8.7 ml/hr	>90%	<0.5%	<1%
2	9.6 ml/hr	>90%	<0.5%	<1%
Average	9.2 ml/hr	>90%	<0.5%	<1%

Fig. 35A

Sample	Throughput (ml/hr)	Volume Reduction Factor	Leukocyte Recovery Yield	Viability Before Processing	Viability After Processing
1	12.0	5.7	86%	99.5%	99.4%
2	10.2	5.6	91%	99.8%	99.6%
3	12.8	5.3	87%	99.1%	99.2%
4	11.4	5.3	87%	98.7%	99.6%
5	11.6	5.1	84%	99.5%	99.7%
6	9.8	5.6	89%	99.4%	99.3%
7	12.0	5.2	89%	99.4%	99.3%
8	11.2	5.5	83%	99.8%	99.9%
9	12.0	4.8	93%	99.6%	99.7%
Average	11.4	5.3	88%	99.4%	99.5%

Fig. 35B

Sample	Cord Blood CD34+ Cell Frequency	Retentate CD34+ Cell Frequency	CD34+ Cell Recovery Yield
10	0.22%	0.22%	87%
11	0.38%	0.38%	89%
12	0.68%	0.74%	85%
Average			87%

Fig. 35C

Sample	Cord Blood CFC-GM Frequency	Retentate CFC-GM Frequency	CFC-GM Recovery Yield
13	0.06%	0.06%	92%
14	0.17%	0.21%	87%
15	0.17%	0.20%	98%
Average			92%

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 10/61866

A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - B81B 1/00; B01D 29/03; B01D 29/50 (2011.01)

USPC - 210/336; 210/335

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

USPC: 210/336; 210/335

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

USPC: 210/336; 210/335 (keyword delimited)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PubWEST(USPT,PGPB,EPAB,JPAB); Google; Google Patents

Search terms used: microfluidic, filter, retentate, pillars, filtrate, pore, bag, wells

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 2008/024070 A1 (Zhu et al.) 28 February 2008 (28.02.2008), figs. 1, 3B, 7; para [022]-[026]; [034]; [044]; [061]-[065]	1-23
Y	US 2009/0183871 A1 (Salamitou et al.) 23 July 2009 (23.07.2009), figs. 1, 3, 4, 5; para [0044]	1-23, 54-76
Y	US 2006/0046305 A1 (Liu et al.) 02 March 2006 (02.03.2006), fig. 4A, 4B, 5; para [0066]-[0067]; [0073]	9, 12-17, 23, 54-76
Y	US 5,601,711 A (Sklar et al.) 11 February 1997 (11.02.1997), fig. 5; col 7, ln 26-41	55-58
Y	US 2008/0081033 A1 (Sowemimo-Coker et al.) 03 April 2008 (03.04.2008), figs. 1, 4; para [0090]; [0106]; [0158]	59-66
Y	US 2003/0124715 A1 (Tortorella) 03 July 2003 (03.07.2003), figs. 1A, 4; para [0044]	67-72
A	US 2005/0189297 A1 (Bosch et al.) 01 September 2005 (01.09.2005), fig. 1; para [0014]; [0049]	1-23; 54-76
A	US 2005/0092662 A1 (Gilbert et al.) 05 May 2005 (05.05.2005), figs. 3, 4, 6, 10A; para [0043]-[0048]	1-23; 54-76
A	US 4,422,939 A (Sharp et al.) 27 December 1983 (27.12.1983), figs. 1, 2, 3, 4, 5; col 2, ln 45 to col 3, ln 12	1-23; 54-76
A	US 2008/0085551 A1 (Kim et al.) 10 April 2008 (10.04.2008), figs. 3, 4, 5; para [0025]	1-23; 54-76
P,A	US 2010/0120077 A1 (Daridon) 13 May 2010 (13.05.2010), fig. 17; para [0443], [0444]	1-23; 54-76

 Further documents are listed in the continuation of Box C.


* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

18 April 2011 (18.04.2011)

Date of mailing of the international search report

19 MAY 2011

Name and mailing address of the ISA/US

Mail Stop PCT, Attn: ISA/US, Commissioner for Patents

P.O. Box 1450, Alexandria, Virginia 22313-1450

Facsimile No. 571-273-3201

Authorized officer:

Lee W. Young

PCT Helpdesk: 571-272-4300

PCT OSP: 571-272-7774

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US/10/61866

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:
This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

--See Additional Sheet--

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
1-23 and 54-76

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

Continuation of Box No. III – Observations where unity of invention is lacking

– Group I: claims 1-23; 54-76 directed to a filtration device comprising:

a first flow chamber including

at least one inlet configured to receive a feed comprising particles and a fluid, and

at least one retentate outlet;

a second flow chamber including

a distal end having at least one filtrate outlet, and

a filter positioned between the first flow chamber and the second flow chamber; the filter including

a first row of pillars, and

a plurality of pores defined by spacings between adjacent pillars,

wherein each pore of the plurality of pores includes

a physical pore size defined by a distance between the adjacent pillars which define the pore, and

an effective pore size smaller than the physical pore size; and

means for moving the feed through the filtration device;

wherein the first flow chamber, the second flow chamber, the filter, and the means for moving the feed through the filtration device are configured to retain a substantial fraction of particles having a size greater than the effective pore sizes of the pores and smaller than the physical pore sizes of the pores as retentate in the first flow chamber, and pass a substantial fraction of the fluid as filtrate into the second flow chamber.

– Group II: claims 24-47 directed to a method for particle filtration comprising:

providing a filtration device including at least one filtration unit, each filtration unit including a first flow chamber including

a feed inlet, and

a retentate outlet,

a second flow chamber including a filtrate outlet, and

a filter including a plurality of pores having physical pore sizes, the filter being disposed between the first flow chamber and the second flow chamber;

introducing a feed including a feed fluid and at least one population of particles having sizes smaller than the physical pore sizes

immersed the feed fluid into the device through the feed inlet;

applying a driving force to drive the feed through the filtration device, and

passing the feed through the filtration device such that a substantial fraction of the particles of the at least one population are retained as retentate in the first flow chamber, and a substantial fraction of the feed fluid pass through the filter as filtrate into the second flow chamber;

collecting the retentate at the retentate outlet; and

collecting the filtrate at the filtrate outlet.

– Group III: claims 48-53 directed to a method for cord blood volume reduction comprising:

procuring a sample including umbilical cord blood having at least one population of nucleated cells, the sample having a sample volume;

providing a filtration device including

a first collection receptacle,

a second collection receptacle,

a feed access means, and

at least three filtration units, each filtration unit having a micro fluidic flow chamber including

a feed inlet,

a retentate outlet, and

a filtrate outlet,

wherein each micro fluidic flow chamber includes at least one dimension which is perpendicular to a length thereof which is smaller than about 1 millimeter,

wherein the feed inlet is in fluid communication with the feed access means,

wherein the retentate outlet is in fluid connection with the first collection receptacle, and

wherein the filtrate outlet is in fluid connection with the second collection receptacle;

introducing the sample to the feed inlets of the filtration units using the feed access means;

applying a driving force to the sample;

passing the sample through the microfluidic flow chambers of the filtration device;

creating laminar flow conditions that direct a substantial fraction of the sample volume to the filtrate outlet and a substantial fraction of the at least one population of nucleated cells to the retentate outlet;

collecting a fluid output from the retentate outlet in the first collection receptacle; and

collecting a fluid output from the filtrate outlet in the second collection receptacle.

The inventions listed as Groups I-III do not relate to a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2 they lack the same or corresponding technical features for the following reasons:

- Group I includes the technical features of the pores being defined by a plurality of pillars and effective pore diameters not found in groups II and III.

- Group II includes the technical feature of at least one population of particles having sizes smaller than the physical pore sizes, not found in groups I and III.

- Group III includes the technical features of the cord blood filtering including collection receptacles, at least three filtration units having a micro fluidic flow chambers and the laminar flow conditions not found in Groups I and II.

The common features of groups I, II and III of a filtration device for filtering particulates including; a feed inlet; a retentate outlet; a filtrate outlet, and a filter with pores is taught by US 2005/0189297 A1 to Bosch et al (hereinafter 'Bosch') published 01 September 2005 (fig. 1; para [0014]). The common feature of groups I and II of flow chambers is also taught by Bosch (para [0014]). The common feature of groups II and III of a driving force is also taught by Bosch (para [0049]; pumping); therefore the common feature is not an improvement over the prior art.

Groups I-III therefore lack unity under PCT Rule 13 because they do not share a same or corresponding special technical feature.