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[Continued on next page]

(54) **Title:** PLC BASED THERMOSTAT TEMPERATURE CONTROL FOR HEATING SYSTEMS

(57) **Abstract:** A system and method disclosed herein are for providing local and remote control of home and enterprise heating systems (thermostats) via the Power line communication (PLC) networks configured to provide communication and power control capability within a local area network. The PLC based control unit allows the remote access and control of the thermostat of local heating systems from within and outside the PLC based local area network.

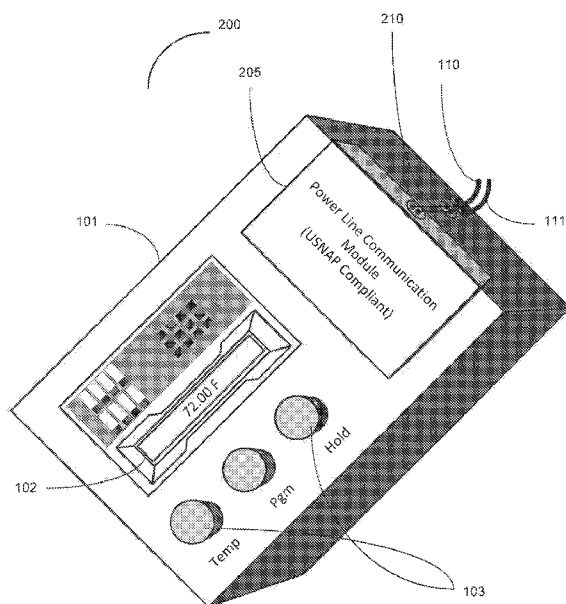


Figure 2

**WO 2015/020694 A1**



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TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, **Published:**  
KM, ML, MR, NE, SN, TD, TG).

— *with international search report (Art. 21(3))*

## PLC BASED THERMOSTAT TEMPERATURE CONTROL FOR HEATING SYSTEMS

5

### CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority to U.S. Patent Application No. 13/960,741 filed 06 August 2013 which is incorporated herein.

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### BACKGROUND OF THE INVENTION

#### TECHNICAL FIELD

This invention relates generally to the field of providing control of room temperature. More specifically, this invention relates to remote control of low voltage thermostats used for temperature control in homes and enterprises, using power line communication connections through the low voltage power lines.

### DESCRIPTION OF THE RELATED ART

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It has been found that 45-50% of the energy usage in the home is due to heating and cooling the home. Successful implementation of the Smart Grid for control of home heating and cooling requires communication to the home and to thermostat for control. The system for thermostat control must be able to respond to changes in grid conditions such as energy prices, critical peaks, and other curtailment events and provide control capability for the thermostat over the local area network (LAN), Home area network (HAN) and the internet.

Utilities and service providers are deploying a variety of communication standards and technologies today. Smart Grid information may reach the home through diverse means, e.g. AMI system, cellular, FM radio, Internet, paging,

30

power-line carrier, etc., each being optimal under different circumstances. Within the home, these signals may be received directly by individual end devices or may pass through an energy management system or gateway.

- 5 The term USNAP is an acronym for Universal Smart Network Access Port, a simple, cost effective, solution that enables any LAN, HAN or DR (Demand Response) standard, present and future. This standard can be used to communicate with thermostats within the home. By providing industry with a protocol independent modular communication interface (MCI) that connects
- 10 communication devices to any products that comply with advanced metering infrastructure (AMI) standard, it is possible for manufacturers to produce intelligent and energy aware consumer products, such as thermostats that are able to interact with the Smart Grid.
- 15 Currently there are few remote controlled thermostats being used. Some of the new thermostat control products that are USNAP compliant and operate via wireless links are such as Wi-Fi, Z-wave and ZigBee®. The advantage of the USNAP compliance is that the various USNAP compliant modules are replaceable within the thermostat and connect seamlessly to the controller to
- 20 provide the necessary controllability via the LAN, HAN or internet. FIG.1 is a block diagram representation 100 of the prior art thermostat 101 with a USNAP enabled replaceable plug-in module 105 that is one of a Wi-Fi or ZigBee® enabled. The display 102 provides the temperature and other program information relevant to the controller. Under normal operation the temperature of
- 25 the room is displayed. The set of controls 103 allows setting and changing of the temperature of the surroundings of the thermostat 101. A low power supply line 110 combined with a control lead 111 run from the thermostat to the furnace and air conditioning unit. The low voltage power supply typically provides a 24 Volt AC supply to the thermostat or controller from the furnace.

30

Previously providing data communication connection to appliances that were isolated by step-down or step-up transformers was expensive as special bypass capacitors had to be installed on the transformers to allow data to pass from one side to the other. In the case of thermostat control the thermostat is powered by  
5 low voltage generated by stepping down the supply voltage. This has limited the use of the power line communication (PLC) based data communication connections to the thermostat for climatic (temperature) control of the home or enterprise.

## 10 SUMMARY OF THE INVENTION

A system and method disclosed herein are for providing local and remote control of home and enterprise heating systems (thermostats) via the Power line communication (PLC) networks configured to provide communication and power  
15 control capability within a local area network. The PLC based control unit allows the remote access and control of the thermostat of local heating systems from within and outside the PLC based local area network.

## BRIEF DESCRIPTION OF THE DRAWINGS

20 FIG. 1 is a block representation of a thermostat with plug-in USNAP compatible ZigBee® or Wi-Fi communication module, according to the prior art.

FIG. 2 is a block representation of a thermostat with plug-in USNAP compatible  
25 PLC communication module, according to an embodiment;

FIG. 3 is a block diagram of a PLC based USNAP compliant interface plug-in unit for a thermostat control connected through the low voltage power connection from the furnace/AC, according to an embodiment; and  
30

FIG. 4 is a block diagram of a PLC LAN having an internet gateway with multiple connected components including the PLC based thermostat for home climate-control, according to an embodiment; and

5 FIG. 5 is a block diagram of a processing unit, according to an embodiment.

## DETAILED DESCRIPTION OF THE INVENTION

Power line communication (PLC) systems have not been very accepting of  
10 interconnection through different power levels in the past due to the need for  
bypass capacitors to be added to step-up and step-down transformers, etc., for  
enabling transfer of data. Experimentation has recently shown that  
communication can be enabled without adding components to each transformer  
used for step-up and step-down. This finding has resulted in the current  
15 capability for providing a system and method for local and remote control of  
home and enterprise heating systems (thermostats) via the PLC networks  
configured to provide communication and power control capability within a local  
area network. The PLC based control unit allows the remote access and control  
of the thermostat of local heating systems from within and outside of the PLC  
20 based local area network (LAN).

In accordance with an embodiment, a PLC based thermostat control capability  
that is low cost, meets the Universal Smart Network Access Port (USNAP)  
specification, and facilitates smart grid implementation, is provided for thermostat  
25 control within the home or enterprise.

Implementation of green technologies requires monitoring and control of the  
carbon footprint of homes and offices and has created a need to assess the  
power usage pattern and the magnitude of usage remotely and to supervise and  
30 control the power remotely. It is necessary to monitor and control the power use  
on a micro level for the consumer, who can exercise the necessary constraints

on use if the proper tools are provided. It is also necessary to monitor the usage pattern and collect data on a macro level to develop policies that are beneficial to the overall reduction of the carbon foot print at the home and office level, as well as on a national level. Empowering the individual and the society to exercise the necessary controls by monitoring the power usage is an area where power line communication and control can be effectively and optimally used.

PLC based systems have become more common within the HAN and LAN, due to their capability to use existing power line wiring within the home and enterprise while providing high bandwidth connectivity within the premises at a lower cost than other connection means. PLC based systems are also able to connect to the internet easily and provide the capability for the monitor and control of connected appliances within the home from the HAN, LAN and internet. Co-pending patent applications 13/032,454 filed on 2/22/2011, 13/249,058 filed on 9/29/2011, 13/181,293 filed on 7/12/2011, and 13/270,896 filed on 10/11/2011 by the same applicants and assigned to the same entity, which are incorporated into this application in their entirety by reference, provide the capability for establishing the PLC based LAN and HAN and provide for monitoring and controlling systems for the smart grid implementation.

In an embodiment of the invention, a group of sensor devices is connected to the power line and configured for collecting power usage information and enabling power management to reduce the carbon foot print of the home, as well as to provide local area networking. In one embodiment of the invention, the devices include an intelligent master sensor and its sub-sets, such as but not limited to a communication and power management sensor and a power control switch sensor. The master device is configured to collect, compile, and communicate the collected data via the web or other communication medium to the outside world. The master device also receives and distributes control instructions that are used to control the power usage via the associated switches in the home or office. The sensors are used in the home or office environment to provide

integrated sensing and control of home power usage and power management, while establishing a LAN having communication capability, including streaming media delivery capability.

- 5 The fact that over 45% of the power usage of the home is due to climatic control of the home namely heating and cooling has made it desirable to find a way to control the thermostat for climatic control by an optimum means meeting the green technology limitations. The PLC based thermostat control meets this need well. Such a system is disclosed that works with a PLC based LAN to provide  
10 monitoring and control of the climatic system of the home or enterprise.

FIG. 2 is a block representation 200 of a thermostat 101 for home climate control where a PLC based plug-in unit 205 that is USNAP compliant is incorporated. The controller has a display screen 102 to display the temperature of the rooms  
15 and the control steps when under local control. The three exemplary control knobs 103 of controller 101 are used for setting the temperature or programming the controller manually. The PLC based interface plug-in 205 replaces the prior art plug-in 105 and enables PLC based connection 210 of the controller via the low voltage power supply line 110. It also connects to the furnace and air  
20 conditioning unit ("furnace/AC" or sometime collectively "furnace") through the cable 111 for control and monitoring.

FIG. 3 shows an exemplary block diagram 300 of the PLC based USNAP compliant thermostat interface plug-in (PLC-IPI) module 205. The low voltage  
25 power is generated by the step-down transformer 301 at the furnace location and sent over the low voltage power line 110 to the PLC-IPI module 205. The low voltage power line 110 connects to a power supply unit 313. Power supply unit 313 generates the necessary voltages for the PLC based plug in unit comprising at least the communication module 309 and the microprocessor unit (MCU) 307.  
30 The power to the thermostat is provided through a power connection and switch module 302 connected to a power plug 303 that supplies power to the



thermostat. A switch control connection 304 from the MCU 307 provides for controlling and monitoring the power flow from the power supply line to the thermostat through the power plug 303. The low power line 110, which also acts as a part of the PLC LAN network, is connected to communication module 309 through a coupler filter 311. The coupler filter 311 is connected to the low power supply line 110 by a link 312 and the coupler filter 311 is further connected to the MCU by another communication link 310. The coupler filter 311 helps to isolate communication module 309 from the power supply 110 and allow only the modulated data, either broad band or narrow band, which comes over power line 110 to pass through to communication module 309. Communication module 309 acts as the link between the MCU 307 and the low voltage power supply lines 110 acting as part of the PLC network, to provide the necessary capability to modulate the information and monitoring data provided by the MCU 307 and put such information and monitoring data on the PLC network, that is the low power supply line 110, through the coupler filter module 307. The MCU 307 communicates with communication module 309 by communication link 308. The MCU 307 is connected to the thermostat through the USNAP interface port 306. The thermostat sensors measure and collect the status of climatic control of the home or enterprise and pass it on to the MCU 307 for compilation and processing and for modulation by the communication module 309 and transfer to the PLC network through the low power supply line 110. Similarly any control instructions received from the PLC LAN over the low voltage power lines 110 are received and demodulated by the communication module and passed on to the MCU 307 for providing control instruction to the switch module 303 and for control and programming via the USNAP interface 306 to the connected thermostat. The control instructions from the thermostat to the furnace and air conditioning unit are sent through the control link 111.

In an embodiment, MCU 307 may be implemented as a processing unit 500. For example as shown in FIG. 5, an exemplary block diagram of a . processing unit 500 has various components that may include among others at least a processor

502-1 and 502-2, multiple memory modules 504 and 506, a data and control bus 508 for transferring data and instructions between the components, one or more input/output interface modules 510 and 512 and a display 514, a removable drive 516 enabled for a machine readable medium 524, and other components needed or desired to generate instructions contained in associated software, firmware, or combination thereof. These sets of instructions may cause the system to perform any one of the foregoing methodologies and may be executed for enabling thermostat control using the herein described module and system. MCU 307 may be implemented as a system on chip (SoC), an application specific integrated circuit (ASIC), a field programmable gate array (FPGA), or a combination thereof, with any additional necessary components, and without prejudice.

FIG. 4 shows an exemplary block diagram 400 of a PLC LAN 450 having an internet gateway with multiple connected components including the PLC based thermostat for home climate control.

PLC LAN 450 has a master unit (MST) 401 that provides the computing power and storage capability necessary to collect and compile power consumption information provided to it. The connected power switch (SW) units 402-1 to 402-2 and the data communication enabled, e.g. Ethernet enabled, power switch units, (ETH) 403-1 to 403-4, monitor the power usage of devices and appliances connected to their respective power plugs. This information is sent over the local power distribution lines in the home or office to the MST 401 for compilation of data on usage. A pair of ZigBee® enabled power switch units (Z-SW) 404-1 and 404-2 are used to monitor and control the water and gas meters via PLC LAN 450. With the capability and computing power available, MST 401, with the proper software, is able also to exert local and emergency control of the appliances connected to the SWs and ETH units. MST 401 may further act as a gateway connecting to the broad band communication modem to enable a

communication pathway to the internet cloud, e.g. wide area network (WAN) cloud.

5 A step-down transformer 301 at the furnace/AC unit 410 provides a low voltage power supply via the low voltage power line 406 to the PLC-IPI module 408 and through the power supply connection 303 to the thermostat 405. The low power supply line also carries the control instructions to the thermostat 405 and measures status response from the thermostat 405 via the data link 306 of the PLC-IPI module 408, to the MST 401 of the PLC LAN 450. A furnace/AC control  
10 link 407 connects the thermostat 405 to the furnace/ AC 410. The fact that the USNAP interface between the thermostat and the PLC-IPI module 408 is standardized allows commercially available thermostats 405 to be used with the PLC-IPI module 408 without any major changes.

15 The PLC-IPI module 408 described herein is able to provide a PLC LAN based system that provides capability for control of the home or enterprise climate control from within the PLC LAN 450 or remotely from outside the PLC LAN 450 from the WAN. As described in the applications incorporated by reference earlier the system is able to provide the capability for monitoring and control of the  
20 power usage of the connected units. The system further provides the ability to handle communication within the LAN and also connect to the WAN through the MST. By using one or more current embodiments, the ability to completely monitor and control the climatic system of the home or enterprise has also been established or is achieved.

25 To facilitate the green technology, macro level collection and compilation of power usage information and the collected power monitoring and usage information are transmitted over the WAN gateway to one or more central power usage collection units. These units collect the data for analysis and provide input  
30 to the public bodies for making policy decisions on greenhouse gas reduction requirements.

A person skilled-in-the-art would readily appreciate that the invention disclosed herein is described with respect to specific embodiments of the units currently used. However, this should not be considered a limitation on the scope of the invention. Specifically, other implementations of the disclosed invention are envisioned and hence the invention should not be considered to be limited to the specific embodiments discussed herein above. The units may be implemented as an assembly of individual components, as a combination of components and integrated circuits, or as one or more SOCs. The interface unit may also be made as an independent unit which can be connected by wire to the thermostat. The invention should not be considered as being limited in scope based on specific block level details, but should be considered on the basis of current and future envisioned functionality.

It is to be understood that embodiments may be used as or to support software programs or software modules executed upon some form of processing core (such as the CPU of a computer) or otherwise implemented or realized upon or within a system or computer readable medium. A machine-readable medium includes any mechanism for storing or transmitting information in a form readable by a machine, e.g. a computer. For example, a machine readable medium includes read-only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other form of propagated signals, for example, carrier waves, infrared signals, digital signals, etc., or any other type of media suitable for storing or transmitting information.

Further, it is to be understood that embodiments may include performing operations and using storage with cloud computing. For the purposes of discussion herein, cloud computing may mean executing algorithms on any network that is accessible by internet-enabled or network-enabled devices, servers, or clients and that do not require complex hardware configurations, e.g.

requiring cables and complex software configurations, e.g. requiring a consultant to install. For example, embodiments may provide one or more cloud computing solutions that enable enterprises to provide PLC based thermostat temperature control for heating systems on such internet-enabled or other network-enabled devices, servers, or clients. It further should be appreciated that one or more cloud computing embodiments may include aspects of PLC based thermostat temperature control for heating systems using mobile devices, tablets, and the like, as such devices are becoming standard consumer as well as computing devices.

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Although the invention is described herein with reference to the preferred embodiment, one skilled in the art will readily appreciate that other applications may be substituted for those set forth herein without departing from the spirit and scope of the present invention. Accordingly, the invention should only be limited by the Claims included below.

15

## CLAIMS

1. A system that enables a PLC LAN having an internet gateway to be communicatively connected to a PLC based USNAP compliant thermostat interface plug-in (PLC-IPI) module for a thermostat for environment climate control, comprising:

a master unit (MST) configured to provide computing power and storage capability necessary to collect and compile power consumption information, wherein the MST is the internet gateway connecting to a broad band communication modem and enables a communication pathway to the internet cloud;

a step-down transformer at a furnace/AC unit configured to provide a low voltage power supply via a low voltage power line to the PLC-IPI module through the power supply connection to the thermostat, wherein the low power supply line carries control instructional data to the thermostat and measures status response data from the thermostat via a data link of the PLC-IPI module to the MST and wherein the data link of the PLC-IPI module to the MST allows remote access, monitoring, and control of the thermostat from within and outside the PLC based LAN;

a furnace/AC control link configured to connect the thermostat to the furnace or AC unit; and

wherein the USNAP interface between the thermostat and the PLC-IPI module is standardized and allows commercially available thermostats to be used with the PLC-IPI module without major changes.

2. A method that enables a PLC LAN having an internet gateway to be communicatively connected to a PLC based USNAP compliant thermostat interface plug-in (PLC-IPI) module for a thermostat for environment climate control, comprising:

providing a master unit (MST) configured to provide computing power and storage capability necessary to collect and compile power consumption

information, wherein the MST is the internet gateway connecting to a broad band communication modem and enables a communication pathway to the internet cloud;

providing a step-down transformer at a furnace/AC unit configured to provide a low voltage power supply via a low voltage power line to the PLC-IPI module through the power supply connection to the thermostat, wherein the low power supply line carries control instructional data to the thermostat and measures status response data from the thermostat via a data link of the PLC-IPI module to the MST and wherein the data link of the PLC-IPI module to the MST allows remote access, monitoring, and control of the thermostat from within and outside the PLC based LAN;

providing a furnace/AC control link configured to connect the thermostat to the furnace or AC unit; and

wherein the USNAP interface between the thermostat and the PLC-IPI module is standardized and allows commercially available thermostats to be used with the PLC-IPI module without major changes.

3. An apparatus for a PLC based Universal Smart Network Access Port (USNAP) compliant thermostat interface plug-in (PLC-IPI) to enable remote monitoring and controlling of climatic control of an environment, comprising:

a power connection and switch unit configured to receive low voltage power generated by a step-down transformer at a furnace/AC location that is sent over a low voltage power line, wherein the power connection and switch unit supplies power to a thermostat;

a communication module;

a microprocessor unit (MCU); and

a switch control connection from MCU to provide controlling and monitoring of power flow from the low voltage power supply line to the thermostat;

wherein the low voltage power line is part of a PLC LAN network and is connected to the communication module and wherein only modulated data,

including broad band or narrow band, comes over the power line and passes through to the communication module;

wherein the communication module serves as a link between the MCU and the low voltage power supply line as part of the PLC LAN network by passing modulated information and monitored data provided by the MCU to the low voltage power line;

wherein the MCU is connected to the thermostat through a USNAP compliant interface port;

wherein thermostat sensors measure and collect data about a status of the climatic control of the environment and pass the data to the MCU, wherein the MCU compiles and processes the data, and then modulates the climatic control via the communication module; and

wherein the control instructions are sent remotely over the low voltage power line and are received and demodulated by the communication module and passed on to the MCU for remote control and programming via the USNAP interface of the thermostat.

4. A method for a PLC based Universal Smart Network Access Port (USNAP) compliant thermostat interface plug-in (PLC-IPI) to enable remote monitoring and controlling of climatic control of an environment, comprising:

providing a power connection and switch unit configured to receive low voltage power generated by a step-down transformer at a furnace/AC location that is sent over a low voltage power line, wherein the power connection and switch unit supplies power to a thermostat;

providing a communication module;

providing a microprocessor unit (MCU); and

providing a switch control connection from MCU to provide controlling and monitoring of power flow from the low voltage power supply line to the thermostat;

wherein the low voltage power line is part of a PLC LAN network and is connected to the communication module and wherein only modulated data,



including broad band or narrow band, comes over the power line and passes through to the communication module;

wherein the communication module serves as a link between the MCU and the low voltage power supply line as part of the PLC LAN network by passing modulated information and monitored data provided by the MCU to the  
5 low voltage power line;

wherein the MCU is connected to the thermostat through a USNAP compliant interface port;

wherein thermostat sensors measure and collect data about a status of  
10 the climatic control of the environment and pass the data to the MCU, wherein the MCU compiles and processes the data, and then modulates the climatic control via the communication module; and

wherein the control instructions are sent remotely over the low voltage power line and are received and demodulated by the communication module and  
15 passed on to the MCU for remote control and programming via the USNAP interface of the thermostat.

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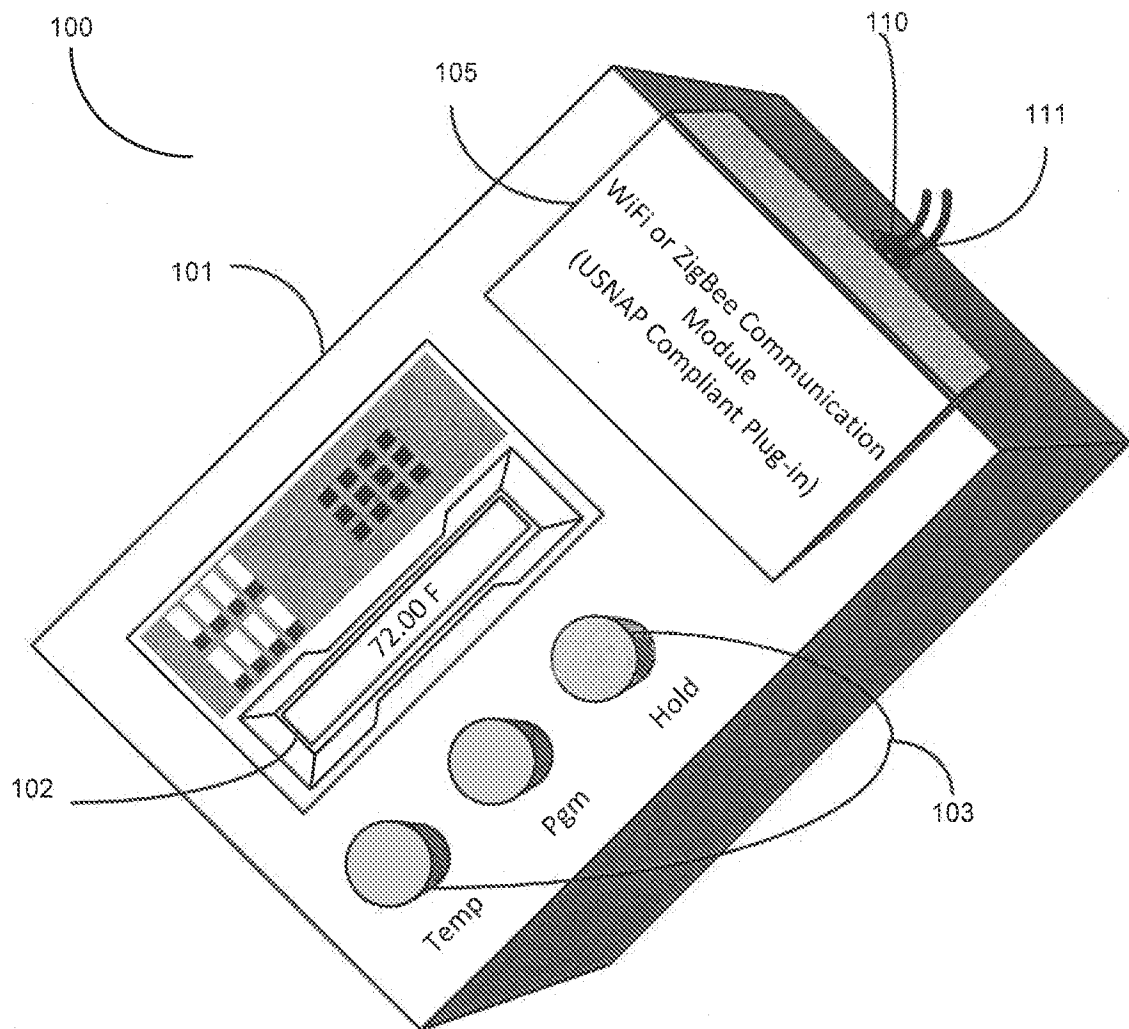
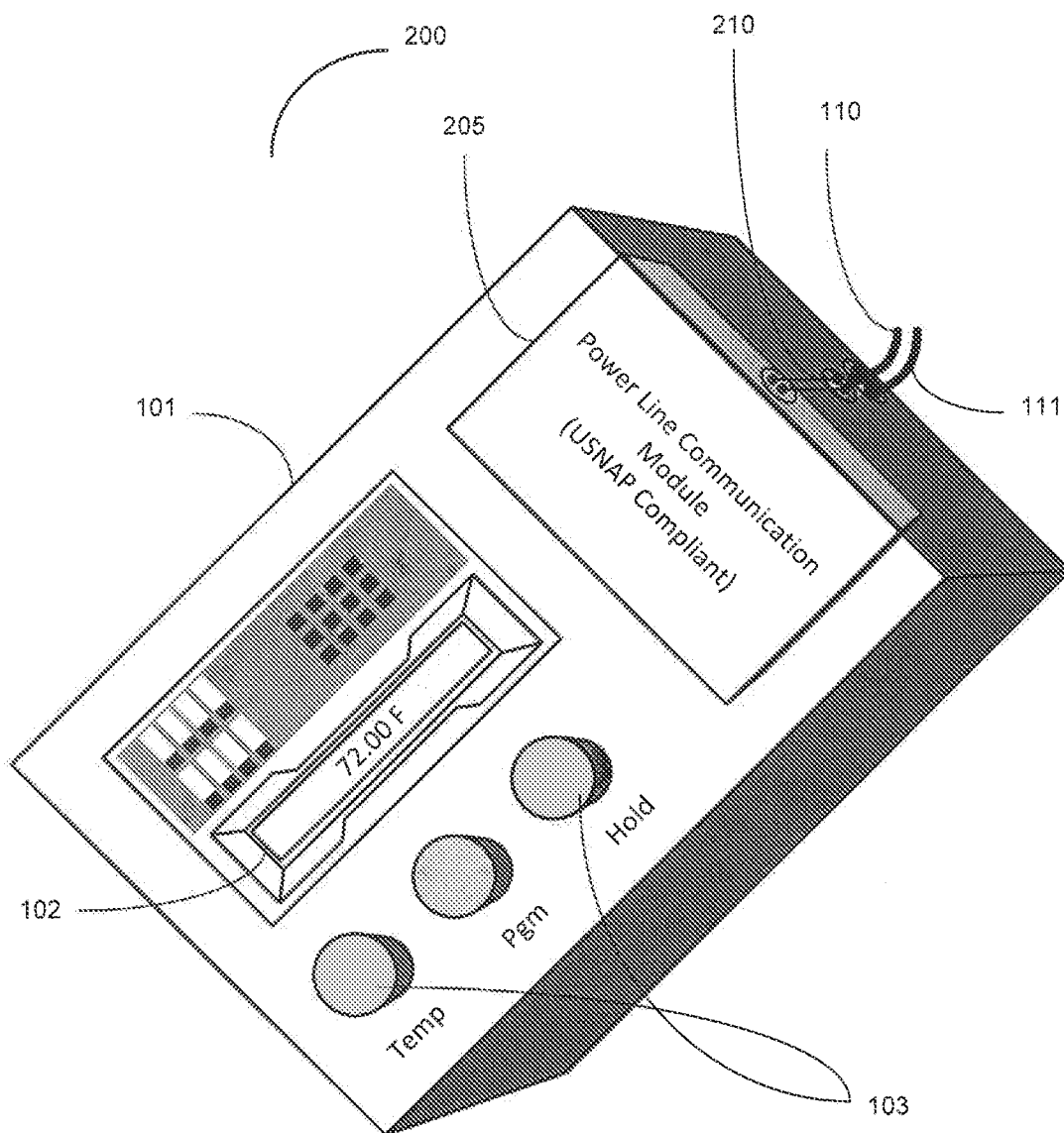


Figure 1 (Prior Art)

2/5

Figure 2

3/5

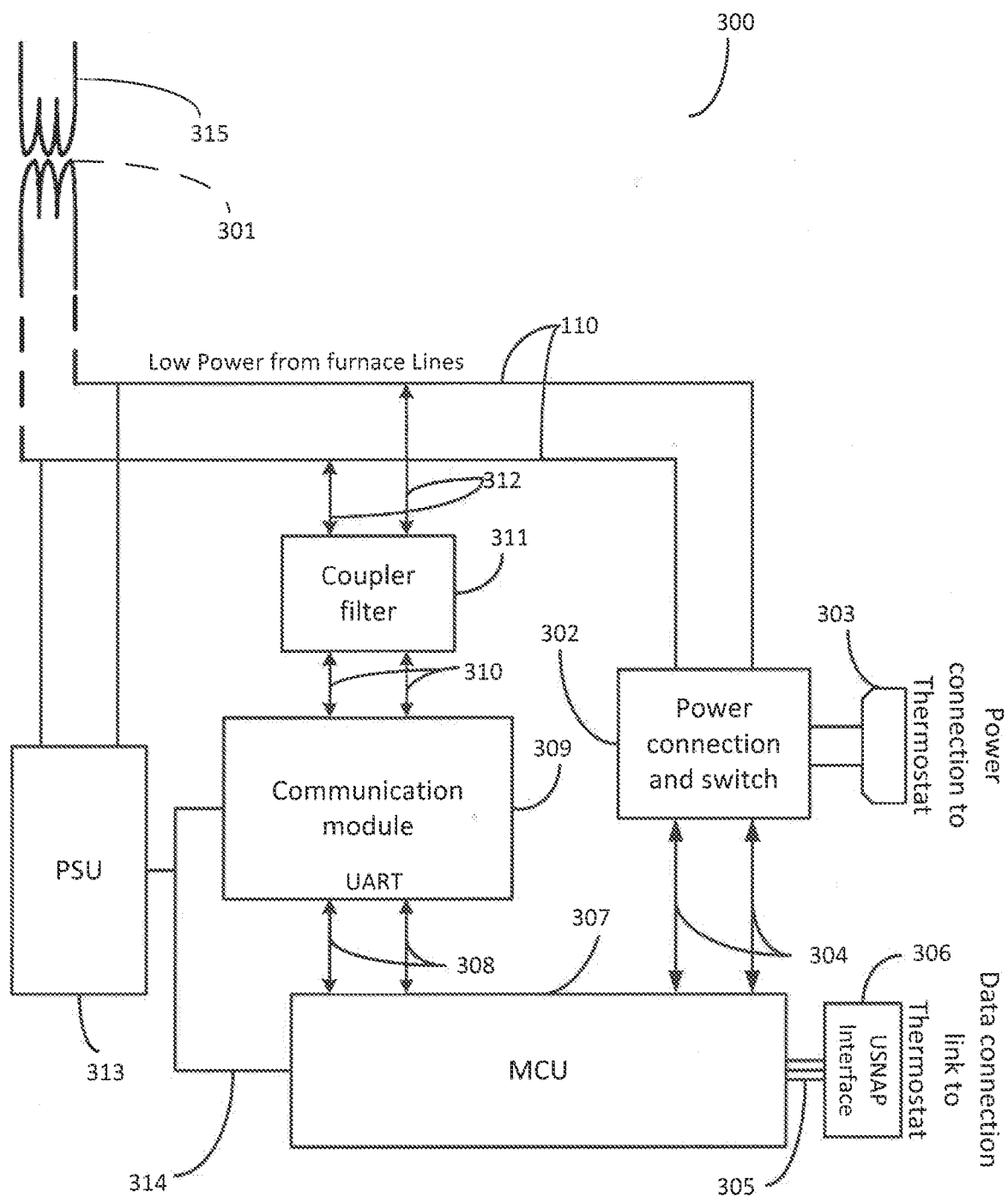


Figure 3

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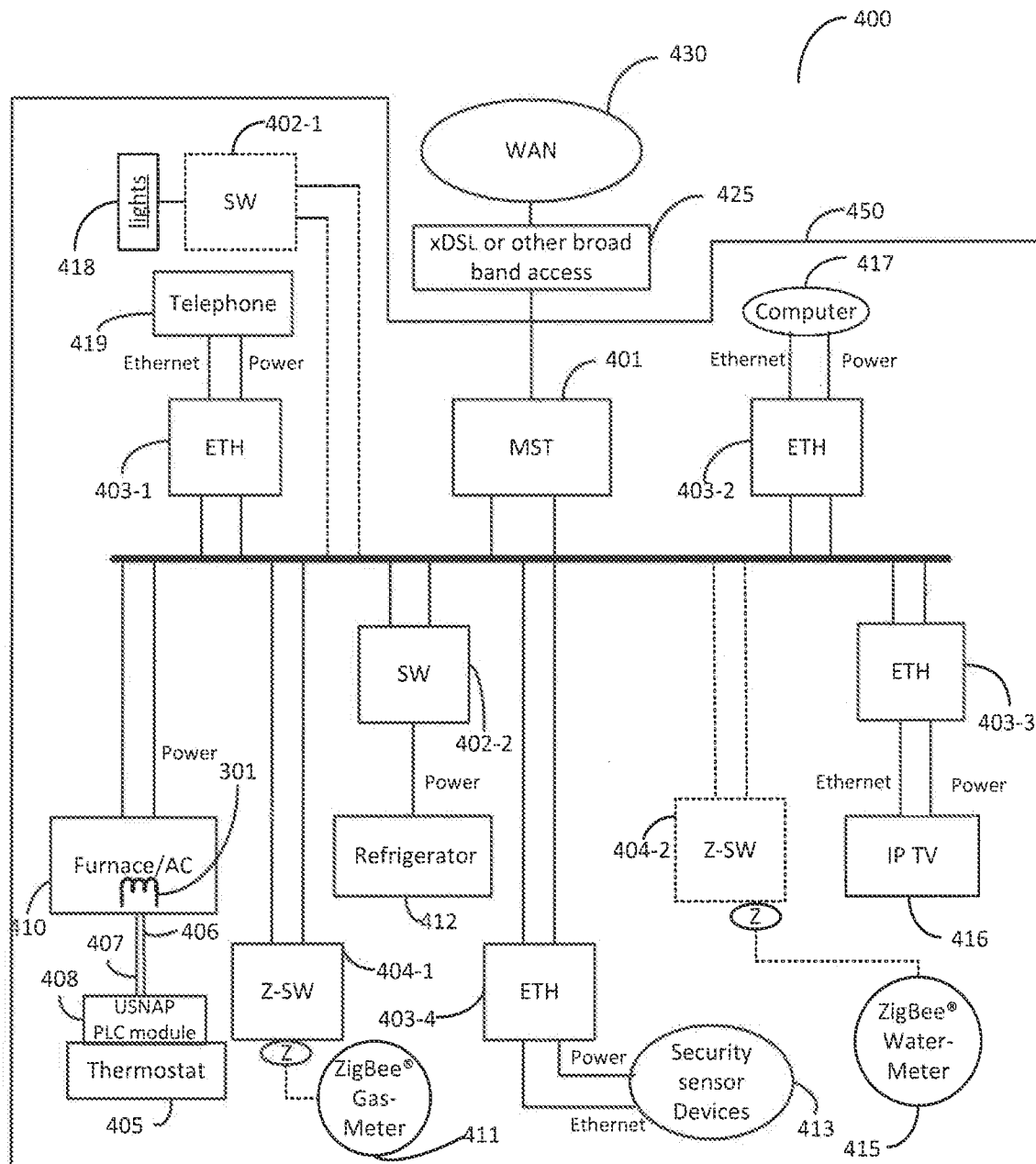


Figure 4

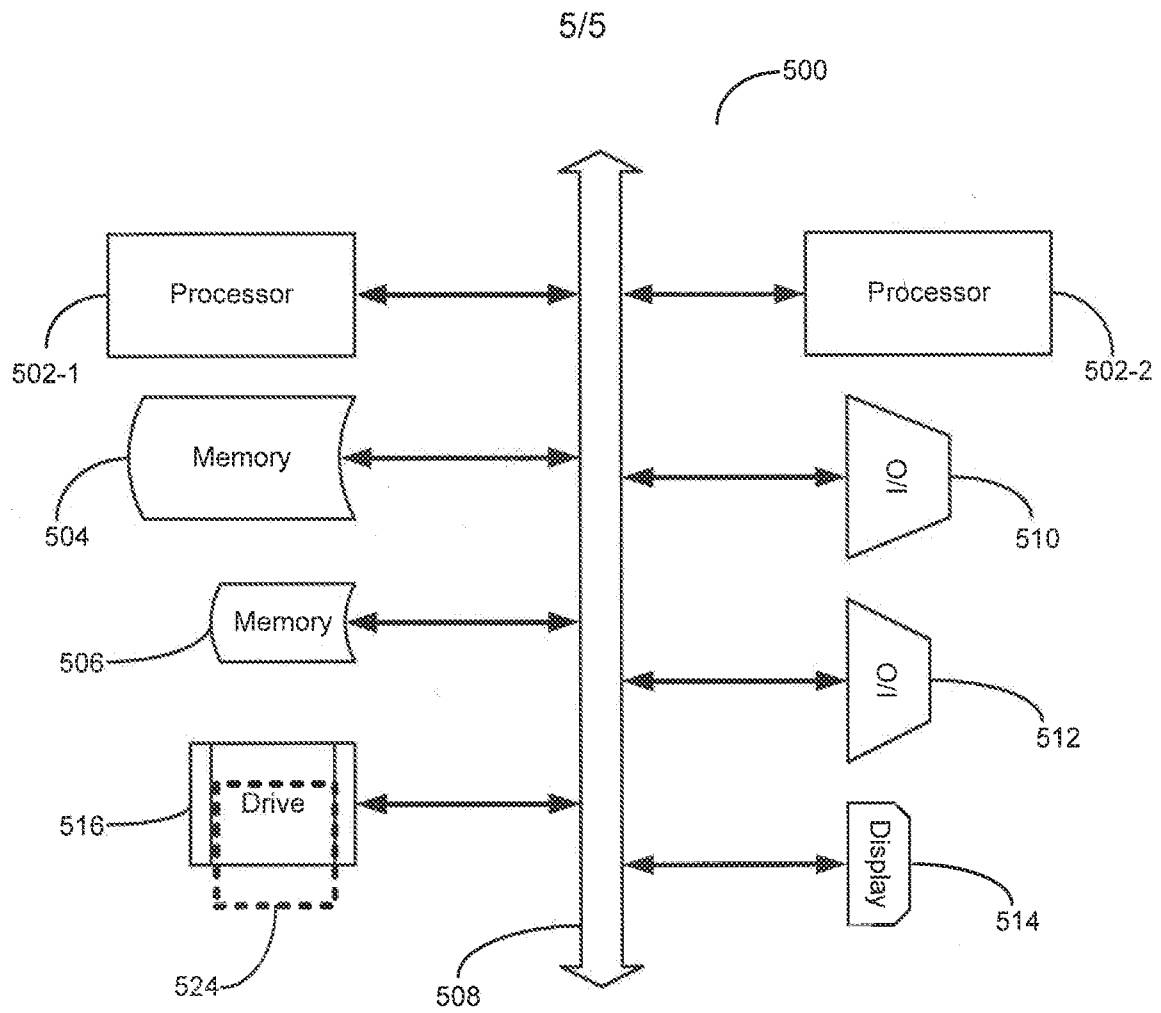


Figure 5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 14/21239

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - G05D 23/00 (2014.01)

USPC - 236/91R

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

USPC: 236/91R

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
USPC: 236/15R, 91R, 91D (keyword limited--see terms below)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Patbase; Google Patents; Google Scholar; Google Web

Search Terms Used: Thermostat, temperature, heat, HVAC, climate, furnace, PLC, power, line, communication, internet, gateway, USNAP, remote, switch, plug in, supply, LAN

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2011/0140911 A1 (PANT et al.) 16 June 2011 (16.06.2011), para [0057], [0084], [0120]-[0126], [0140]-[0142], [0144], [0148], [0210]-[0212]	1-4
Y	US 2013/0184885 A1 (KEIL et al.) 18 July 2013 (18.07.2013), para [0056]-[0057]	1-4
A	US 2009/0125351 A1 (DAVIS et al.) 14 May 2009 (14.05.2009), see entire document.	1-4

☐ Further documents are listed in the continuation of Box C.


## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

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"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

24 June 2014 (24.06.2014)

Date of mailing of the international search report

05 AUG 2014

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