(19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 13 December 2007 (13.12.2007)

PCT

(10) International Publication Number WO 2007/143022 A3

- (51) International Patent Classification: *G06O 40/00* (2006.01)
- (21) International Application Number:

PCT/US2007/012827

- (22) International Filing Date: 31 May 2007 (31.05.2007)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:

60/809,731

31 May 2006 (31.05.2006) U

- (71) Applicant (for all designated States except US): STAN-LEY, Morgan [US/US]; 1585 Broadway, New York, NY 10036 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): HARRIS, Trevor, Samuel [US/US]; 55 Alpine Drive, Closter, NJ 07624 (US). DONNER, Marc, Daniel [US/US]; 205 West End Avenue, #23U, New York, NY 10023 (US). COOK, Courtney; 55 Alpine Drive.
- (74) Agents: KNEDEISEN, Mark, G. et al.; Kirkpatrick & Lockhart Preston Gates Ellis LLP, Henry W. Oliver Building, 535 Smithfield Street, Pittsburgh, PA 15222-2312 (US).

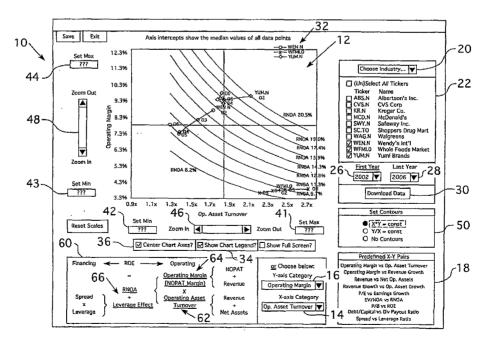
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

[Continued on next page]

(54) Title: SYSTEMS AND METHODS FOR TRACKING INVESTMENT METRICS



(57) Abstract: An interactive user interface for displaying information about one or more publicly traded companies at one time. The interface may comprise a two-dimensional chart comprising an x-axis and a y-axis and means for specifying financial metrics for the x- and y- axes. The interface may also include fields where the user can select the companies whose data is to be displayed on the chart. The interface may also include a time range selection field where the user can specify the date range for the date to be displayed on the chart. An identifier or other type of marker is positioned on the chart at the x and y coordinates for each of the publicly traded companies for each time point in the time range.





(88) Date of publication of the international search report: 7 February 2008

INTERNATIONAL SEARCH REPORT

International application No. PCT/US 07/12827

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - G06Q 40/00 (2007.10) USPC - 705/36R			
According to International Patent Classification (IPC) or to both national classification and IPC			
B. FIELDS SEARCHED			
Minimum documentation searched (classification system followed by classification symbols) USPC: 705/36R			
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC: 705/35, 36R; 715/700, 762, 763; 345/594			
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) PubWEST(USPAT, USPUB, EPO, JPO, DERWENT); DialogPRO; Google Scholar Search Terms: interactive and interface and axis and contour and x and y and drop-down and metric and maximum and minimum and industry etc.			
C. DOCUMENTS CONSIDERED TO BE RELEVANT			
Category*	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.
X	US 2004/0100467 A1 (Heaton) 27 May 2004 (27.05.2004), entire document.		1-36
Α	US 2004/0012624 A1 (Knight) 22 January 2004 (22.01.2004)		1-36
Α	US 2003/0110120 A1 (Salehi) 12 June 2003 (12.06.2003)		1-36
А	US 2003/0131252 A1 (Barton) 10 July 2003 (10.07.2003)		1-36
			'
		1	
		,	
		:	
Further documents are listed in the continuation of Box C.			
* Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand			
to be of	the principle or theory underlying the invention of particular relevance the principle or theory underlying the invention which is the principle or theory underlying the invention the principle or theory underlying the invention which is the principle or theory underlying the invention the principle or theory underlying the invention		
filing d "L" docume	iling date considered novel or cannot be considered novel or canno		ered to involve an inventive
special "O" docume	ted to establish the publication date of another citation or other "Y" document of particular relevance; the claimed invention car considered to involve an inventive step when the document referring to an oral disclosure, use, exhibition or other combined with one or more other such documents, such combined with one or more other such documents, such combined with one or more other such documents.		tep when the document is locuments, such combination
means "P" docume	being obvious to a person skilled in the art ent published prior to the international filing date but later than "&" document member of the same patent family ority date claimed		
Date of the actual completion of the international search Date of mailing of the international search report			
26 October 2007(26.10.2007) 3 0 NOV 2007			
Name and mailing address of the ISA/US Authorized officer:			
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 BCT Helpdack: 571,272,4300			
Facsimile No. 571-273-3201 PCT Helpdesk: 571-272-4300			