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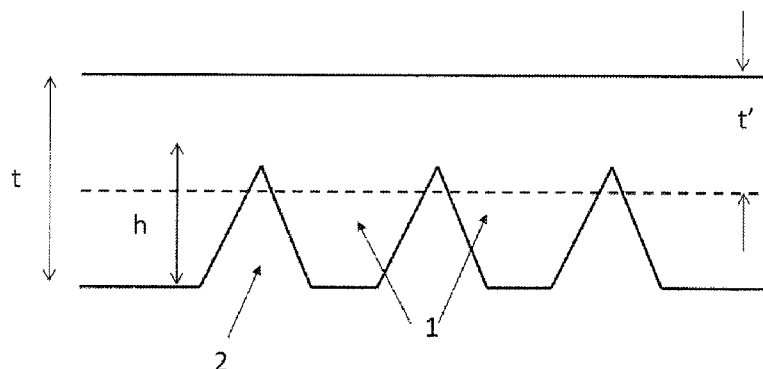


FIG. 5A

(57) Abstract: Micro- or nano-pores are produced in a membrane for various applications including filtration and sorting functions. Pores with at least one cross-sectional dimension in or near the nano-scale are provided. Device designs and processing allow for the use of thin film disposition and nano- imprinting or nano-molding to produce arrays of nano-pores in membrane materials functioning in applications such as filtration membranes, drug application/control structures, body fluid sampling structures, and sorting membranes. The nano-imprinting or nano-molding approach is utilized to create nano-elements in an organic or inorganic mold material with at least one nano- element cross-sectional dimension in or close to the nano-scale. These nano-elements can be in various shapes including slits, cones, columns, domes, and hemispheres.

NANO-PORE ARRAYS FOR BIO-MEDICAL, ENVIRONMENTAL, AND INDUSTRIAL
SORTING, FILTERING, MONITORING, OR DISPENSING

STATEMENT OF GOVERNMENT SUPPORT

[0001] This invention was partially made with government support under Grant No. DUE
5 1205105, awarded by the National Science Foundation. The Government has certain rights in the
invention.

FIELD OF THE INVENTION

[0002] The present invention relates to the field of biological and medical (bio-medical)
10 sorting and filtering applications including clinical applications. It also relates to industrial and
environmental sorting and filtering applications such as fluid purification processing. In
particular, it relates to the design, fabrication, and utilization of nano-pore arrays, serving as
sorting and filtering membranes, for various bio-medical, industrial, and environmental
applications. The resulting nano-scale or micro-scale nano-pore array structures may function as
15 a filtration membrane or as a drug or chemical dispensing membrane. The pores of these arrays
may be arranged to be electrically biased as a whole array or individually biased to further
control sorting, filtering, and flow. In addition these nano-pore array membrane structures may
use fluid flow to and through the pores and/or electric and/or magnetic fields to trap nano-scale
structures at the pore sites. Such trapped, precisely spaced nano-structures arrayed in a
20 membrane may be used for applications, such as drug delivery or sensing or they may be
designed to be opto-electronic or electronic devices.

BACKGROUND OF THE INVENTION

[0003] Widespread use of prolonged or continuous therapies in medicine has caused a
25 great deal of interest in wearable or implantable devices requiring fluid flow control, monitoring,
or both. These devices can be involved in a spectrum of functions from body fluid monitoring
and drug dispensing to kidney or lung replacement. A key feature of these functions is the need
for membranes containing controlled dimension and sized pores. Conventional polymer filtration
membranes currently in use have polydisperse and irregularly shaped pores, making it difficult to
30 achieve the desired hydraulic permeability while maintaining an absolute barrier to
macromolecular passage. Typically, the pores in commercially available polymer membranes

can be as small as about half a nanometer across. Membranes can be produced in non-polymeric materials by ion track-etching, an approach that can largely eliminate polydispersity in pore size. However, in this case, the membranes tend to be fairly thick and they must have low porosity (<2%) due to the need to minimize the formation of overlapping ion tracks and consequently overlapping pores that would compromise membrane selectivity and immunoisolation (1). As in the case of polymer membranes, track-etched membranes have a random spatial distribution of the pores.

[0004] Recently it has been found that these issues with polymer and track-etched membranes can be avoided by utilizing microelectromechanical systems (MEMS) and nanoelectromechanical systems (NEMS) approaches to produce systematic arrays of silicon nanopores for forming filtration membranes (1). Using this MEMS/NEMS approach, which utilizes essentially silicon microelectronics processing, filtration membranes with pores having at least one cross-sectional dimension in the nano-scale, have been fabricated from silicon. MEMS/NEMS silicon nanopore membranes (SNMs) with uniformly sized pores arranged in arrays have been found to be superior to polydisperse porous materials in reducing resistance to fluid flow while maintaining molecular selectivity. Unlike polymeric filtration membranes, controlled pore shape, such as elongated or slit-shaped pores, are possible with these Si MEMS/NEMS filtration membranes. Slit-shaped pores have been shown to provide additional reductions in hydraulic resistance when compared with round or irregular pores (1). Further exploration of Si nanoporous membranes with long, slit-shaped pores has shown their superiority over round pores in terms of hydraulic permeability and molecular selectivity (1-3). Interestingly, the kidney's filters have elongated, slit-shaped structures, rather than the irregular and more cylindrically shaped pores of polymer membranes. Slit pores in 4- μm thick polysilicon membranes have been fabricated as an array of 10 nm x 45 μm pores uniformly spaced with 2 mm separation. The argument has been made that Si wafer-based MEMS/NEMS technology should be able to provide 10^{11} pores (7 nm x 40 μm pores) in a 0.1- m^2 membrane, which is a reasonable size for in vivo implantation (1). The pores fabricated by Si MEMS/NEMS have been shown to exhibit smooth surfaces and minimal tortuosity through the thickness of the membrane. Pore size distribution in these membranes showed less than 1% mean variation (1). In general Si wafer-based MEMS/NEMS technology for filtration membranes allows nearly complete control over pore size and shape within sub nanometer fidelity across several centimeters of silicon wafer (1-3).

[0005] To limit membrane fouling by globular proteins in silicon nanopore membranes (SNMs) fabricated from silicon by MEMS/NEMS processes, the surfaces of materials in these

SNMs have been covalently modified with PEG(1). A number of previous studies have demonstrated that attachment of PEG can significantly reduce protein adsorption and improve biocompatibility of a variety of surfaces. The technique used for PEG attachment in ref 1 involved a single-step attachment to a PEG polymer through a trimethoxysilane group forming a Si-O-Si-PEG sequence by a methanol dehydration reaction. The results of ref 1 and other studies show such surface treatments of silicon can improve Si membrane fouling behavior (1).

[0006] Overall membrane characteristics and hydraulic permeability results reported for these Si-based nanopore membranes (SNMs) have led to artificial organ function studies (1-3). *In vitro and in vivo* testing of SNM membranes shows that their performance matches predicted hydraulic permeability and steric and electrostatic hindrances, and outperforms conventional polymer membranes with round pores. In general, the required pore size and shape for a given application is a function of the ionic strength of the solution, the surface charge of the final membrane material, and fluid-membrane interactions during filtration. Current work indicates that the required pore sizes for bio-medical applications are around 10 nm (1-3). Here and throughout the term pore size refers to the minimum cross-sectional dimension. The term pore is applied to this minimum cross-sectional area region whereas nano-pore refers to the whole structure.

[0007] In the case of bio-medical filtration applications, some of the most advanced work in MEMS filtering membranes is currently focused on artificial kidneys (4). The silicon of these artificial kidneys is organized into layers with the Si filtration membrane layers containing millions of MEMS produced slit-shaped pores. The pore size and pore array layout can be exploited to eliminate the need for an internal pump, allowing, instead, the use of natural blood pressure supplied by the heart to run the device (4). In the specific example discussed in Ref 4, alternate spaces between the silicon plates carry fluid and filtrate. The spaces carrying fluid have Si plates whose surfaces are covered with human kidney cells of the type that line the urine-generating tubes (4). These cells, which line the spaces carrying the fluid but not those carrying the filtrate, extract glucose, salts and other desirables from the fluid and transfer them through the pores to the filtrate along with some of the water, just as would happen in a real kidney. What emerges, in different streams, is cleansed blood and urine. The current assessment (4) is that systems like this based on silicon MEMS/NEMS SNMs would be expensive to buy, but cheap to run, and could sustain 95% of patients who die while awaiting a transplant. The cost problem arises for MEMS/NEMS SNMs from the use of standard microelectronics processes. These costs are inexpensive per device when there are billions of devices on a wafer but no so when the whole wafer is essentially the device.

[0008] In the case of non-biomedical applications (e.g., environmental and industrial filtration), some of the most advanced work on filtering membranes is focused on water purification (5). For example, desalination plants usually employ a process in which seawater is put under pressure on one side of a polymer membrane. The polymers used are chosen for the pores naturally occurring in a membrane of the materials. These pores are big enough for water molecules to pass, but not big enough for the sodium chloride ions with, as noted earlier, the pores in commercially available polymer membranes being about half a nanometer across.

[0009] As is the case for biomedical applications, the avoidance of polymeric materials is also of great interest in non-biomedical applications. Si MEMS/NEMS SNMs are one approach. Graphene based membranes have also been proposed to replace the polymer membranes. In the case of an alternative material such as graphene, the pores of a graphene-based membrane through which the water would pass would have to be fabricated in a manner similar to that employed for Si membranes. Avoiding the use of polymers provides several advantages. First, the pores can be engineered to be of the optimum size. This is found to be 1.2 nm for water desalination, a diameter that permits the passage of water more easily than a polymer membrane does, but is still small enough to exclude hydrated chloride ions, which in turn, hold back the sodium ions since, being negatively charged, the chloride ions attract the positively charged sodium. Second, the pores would all be of the same size, so there would be no gaps large enough to let sodium and chloride ions through. Third, pores fabricated by MEMS/NEMS techniques are straight, rather than being convoluted channels as is the case of the pores in a polymer membrane. This morphology speeds-up the passage of the water molecules. This difference is manifest in lower pressure being needed to desalinate water using systematic nano-pore arrays than is required in a conventional polymer-based system.

[0010] In summary current advanced filtration membrane design and fabrication, whether for bio-medical, environmental, or industrial use, is moving away from relying on polymeric materials and is increasingly using silicon-based microelectronics MEMS/NEMS type processing to create nano-pore arrays with controlled size and spatial distribution. Several pore shapes fabricated using Si MEMS/NEMS processing are shown schematically in FIG. 1. Materials that are now being used or proposed for MEMS/NEMS nano-pore membrane formation vary from silicon to graphene. Several pore shapes fabricated using current Si MEMS/NEMS processing are shown schematically in prior art FIG. 1

[0011] However, Si-based MEMS/NEMS processing has versatility, materials and fabrication costs limitations. Thus, there exists a need for a new type of manufacturable nano-pore material structure having controlled pore dimensions and array size and applications

versatility. There further exists a need for such a material structure having a controlled thickness that can be fashioned from a variety of compositions in response to the application.

SUMMARY OF THE INVENTION

5 [0012] Nano-imprinting or nano-molding processing is used together with thin film disposition to produce micro- or nano-pores assembled in arrays in a membrane for various applications including filtration and sorting functions. While the emphasis in this disclosure is on pores with at least one cross-sectional dimension in or near the nano-scale, it should be appreciated that the invention is not so limited. While the emphasis is on nano-imprinting and
10 nano-molding, their micro-scale counterparts are also covered by the invention herein. The invention incorporates device designs and processing that allow the use of thin film disposition and nano-imprinting or nano-molding to produce arrays of nano-pores in membrane materials functioning in applications such as filtration membranes, drug application/control structures, body fluid sampling structures, and sorting membranes. The nano-imprinting or nano-molding
15 approach is utilized to create nano-elements in an organic or inorganic mold material with at least one nano-element cross-sectional dimension in or close to the nano-scale. These nano-elements can be in various shapes including slits, cones, columns, domes, and hemispheres.

[0013] There are two types of nano-elements in this invention: positive nano-elements wherein the nano-element is composed of a mold material (e.g., a polymer) and negative nano-
20 elements wherein the nano-element is a cavity in a mold material (e.g., in a polymer). The nano-pores of this invention are derived from these nano-elements. As seen in FIG. 2A, the nano-element 2 in the positive case is composed of the mold material 1 whereas FIG. 2B shows that the nano-element is a cavity in a mold material.

[0014] The present invention affords a tunable pore size and pore spacing based on the
25 mold nano-elements.

BRIEF DESCRIPTION OF THE DRAWINGS

[0015] The subject matter that is regarded as the invention is particularly pointed out and distinctly claimed in the claims at the conclusion of the specification. The foregoing and other
30 objects, features, and advantages of the invention are apparent from the following detailed description taken in conjunction with the accompanying figures in which, relative scale is distorted for visual clarity. In these figures like numerals used with respect to multiple figures correspond to similar materials and structures. While the nano-pore cross-sections in these figures are, for definitiveness, those of a cone or dome-like structure, it is understood that these

figures apply to various cross-sectional shapes including those of cones, columns, domes, hemispheres, and slits. Since the figure captions apply to all possible nano-pore shapes, it is noted that within a figure pertaining to this invention cross-sections may appear cone-like or dome-like. Only one pore cross-sectional dimension need be in the nano- or near nano-scale. Actually, for micron scale applications such as cell sorting both cross-sectional dimensions may be in the micron scale.

[0016] FIG. 1 A schematic of the prior art capable of producing controlled pore sizes and shapes showing (top view and cross-section AA) a region of a silicon membrane with cone-like pores and (top view and cross-section BB) a region of another silicon membrane with slit-like pores. Currently, systematic nano- and micro-pore arrays with these and other shapes are made in Si with the well-known MEMS/NEMS techniques.

[0017] FIGS. 2A and 2B are schematics showing the positive (2A) and negative (2B) nano-element approaches of this invention. The mold material in both cases is denoted by 1 and the nano-element in both cases is denoted by 2. It is important to realize that in this invention methodology, the structure of Fig. 2a may be used as a mold to make the structure of Fig. 2b and vice versa. Fig 2A may also be used directly to make pores by using it to (1) mechanically puncture a membrane material or (2) by using it as a filling mold as seen in Figs C and D. The negative elements of Fig 2B also may be used in several ways as will be described.

[0018] FIGS. 2C and 2D are cross-sectional schematics outlining membrane designs based on filling the positive nano-elements mold. The positive nano-elements seen in FIG. 2A offer the options of not being coated or of being coated with layer 4 (e.g., a metal) shown in FIGS. 2C and D, respectively. Whether layer 4 is or is not present, FIG. 2D shows (for the case of 4 being present) material 1 itself is then used as a mold and filled to level 35 with a membrane material or membrane precursor material. The thickness t of this membrane material, the shape of the nano-element, and the nano-element height h determine the nano-pore cross-sectional dimension that will be produced at the actual pore opening at the resulting nano-pore tip. If the coating 4 is present as seen in seen in FIG. 2E, then an etching step or chemo-mechanical polishing (CMP) step to remove layer 4 above 35 will also be needed to create the pore opening at the tip. In either case, the nano-pores are then formed by removing (e.g., dissolution, etching) material 1 giving rise to the nano-porous membrane..

[0019] FIGS. 3A-3C are schematics showing nano-element formation by nano-imprinting (FIG. 3A and B). FIG. 3B depicts negative nano-element formation with the imprinting tool 13 and FIG. 3C depicts positive nano-element formation with a different imprinting tool 13. A optional substrate 10 for support during processing is seen in FIGS. 3 A-C.

Figs 3B can also be used to how the structure of Fig 2A may be used directly to make pores by using it to mechanically puncture a membrane material 1 in Fig 3B. in this case membrane material 1 would be chosen to be of composition and thickness to allow full penetration of the cavities 2.

5 [0020] FIG. 4 gives schematics showing nano-element formation by nano-molding .For this approach a master mold 14 is needed as seen in FIG. 4A for creating positive nano-elements as in Fig 4B.. As seen in FIG. 4C a corresponding master mold 14 is used for creating negative nano-elements as in Fig 4D. Obviously these master molds 14 can be made as shown in Fig 3. Further, obviously the positive element array made in Fig 4B can be the master mold used in Fig
10 4D.

[0021] The master mold 14 of FIG. 4A is then filled to the required depth with the mold material 1 to define and attain the positive nano-elements 2 of FIG. 4B. Correspondingly, the master mold 14 of FIG. 4C is filled to the required depth with the mold material 1 to define and attain the negative nano-elements of FIG. 4D; i.e., to form the cavities 2 which appear on
15 removal of 14.

[0022] FIGS. 5A -5G. These figures are cross-sectional schematics outlining a number of membrane design possibilities based on negative nano-elements. FIGS. 5D and 5E represent possible completed nano-pore membrane possibilities. The initial schematic (FIG. 5A) depicts nano-elements 2 positioned in mold material 1. These are the empty nano-elements of the
20 negative nano-element approach. If the height h of these nano-elements is greater than t , which is the thickness of the mold material 1, then nano-molding, for example, will actually result in the picture seen in FIG. 5B. This requires 'that the mold material or material precursor fluid, when placed in the master mold, is controlled to achieve $h > t$. As may be discerned from FIGS. 5A and B, the ratio of h and t will determine the nano-pore size 5. The nano-pore array seen in cross-section in FIG. 5B may also be achieved by removing the volume defined by t' in FIG. 5A. This
25 can be done in controlled manner by dissolution, CMP, or etching (e.g., wet etching, plasma etching). In this case h and $t-t'$ will determine the pore size 5 in FIG. 5C. In either approach, the nano-pore size 5 may be modified by the addition of a coating 4 inside the nano-elements and shown disposed in the examples of FIG. 5D and E from the back. Highly controlled monolayer-capable techniques such as self-assembly (SAMs) or ALD may be used to further refine the
30 nano-pore size 5 as shown schematically in FIGS. 5F and 5G. In addition transport through nano-pores 5 may be affected by modifying the surface energy at 5. Also as noted earlier, if the coating 4 is a metal or semiconductor, this material may be biased with respect to the medium thereby giving further control of the pore size through modification of the space charge region at

the pore with voltage. As is well known, such space charge regions at pores give an effective pore size since they affect ion transport (6-8).

[0023] FIG. 6. A schematic showing an exemplary nano-pore filtration membrane with built-in spacers. These spacers can be built into material 1 during one-step nano-imprinting or nano-molding (FIG. 4). The material defining bottom of flow channel is not shown.

[0024] FIG. 7. A schematic showing an exemplary configuration of nano-pore filtration membrane units and flow channel units in series. These units may be stacked and filtration membranes in a stack may have different pore sizes. The material defining bottom of flow channel is not shown.

[0025] FIG. 8. An FESEM cross-sectional micrograph of a silicon wafer master mold.

[0026] FIG. 9. An FESEM micrograph of a PUA positive nano-element array produced by the master mold of FIG. 8. (30 degree tilted)

[0027] FIG. 10. An FESEM micrograph of Ag nano-pores fabricated by the process steps described in the Example 3.

DETAILED DESCRIPTION OF THE INVENTION

[0028] The present invention takes a very different and unique approach to producing pore-bearing membranes. Unlike polymeric membranes or track-etched membranes, it allows for precise pore distributions as well as precise size and shapes without using Si microelectronics-based MEMS/NEMS processing. Further, the manufacturing approach is amenable to continuous roll-to-roll processing opening the door to important cost-savings. The device designs and processing designs presented herein are based on thin film materials and on the utilization of nano-molding and nano-imprinting for pattern transfer. The invention disclosed has the distinct, novel advantages of (1) being able to control pore shape using nano-imprinting or nano-molding processing in a wide variety of inorganic and organic membrane materials, (2) being potentially significantly less expensive to manufacture than MEMS/NEMS approaches since it is based on thin films and nano-imprinting or nano-molding, (3) being manufacturable with roll-to-roll processing due to (3), (4) using a wide variety of organic and inorganic thin film materials as opposed to only using materials amenable to MEMS/NEMS processing, (5) allowing plastic membranes, (6) significantly avoiding Si fouling problems by allowing total avoidance of Si, (7) allowing the tailoring of nano-pore diameters by precise pore size control

using techniques such as self-assembling molecules (SAMs) and atomic layer deposition (ALD), (8) allowing the tailoring of nano-pore diameter, selectivity, and transport by pore functionalization using entities such as selected peptides, antibodies, antigens, etc, (9) allowing a version in which electrical biasing of each nano-pore can be achieved thereby allowing precise, and if needed, individual electrical pore size control, (10) allowing a version in which electrical biasing of each nano-pore can be reversed and/or modulated to control pore fouling and (11) having the capability for inherent integration of filtering and flow functions. Current porous membrane designs and processing do not approach these design and processing capabilities and flexibility of materials-use.

[0029] This invention uses either positive or negative nano-elements produced by nano-imprinting or nano-molding together with thin film disposition to create micro- or nano-pores and thereby the micro- or nano-pore arrays needed for filtration membranes and drug or chemical injection and monitoring, etc. The invention provides designs and processing flow for producing micro-pores but, more importantly, for producing nano-pores with at least one cross-sectional dimension in or close to the nano-scale. The shapes of these pores can be varied, as desired, from forms such as slits to cones, columns, domes, and hemispheres. The approach of this invention is not limited to using silicon for the membranes nor does it rely on classic MEMS/NEMS processing techniques. It does rely on the use of positive or negative nano-elements with shapes, dimensions, and lattices controlled by nano-imprinting or nano-molding. This freedom from standard MEMS/NEMS pattern transfer and processing techniques allows the use of a wide variety of membrane materials. It also offers the possibility of employing roll-to-roll manufacturing and of significant cost reduction. The latter also is an important point, since, as noted in Ref 4, biomedical devices based on MEMS/NEMS approaches can be relatively costly to manufacture.

[0030] The nano-elements basic to this invention can be, as noted, positive or negative nano-elements (FIG. 2). They are positioned in arrays according to some lattice arrangement (e.g., square, hexagonal). Fabrication of these nano-elements and of the arrays in which they are arranged begins with nano-imprinting or nano-molding pattern transfer.

[0031] The nano-imprinting/nano-molding methods of pattern transfer employed in this invention both utilize what we have termed a mold material 1. This is seen in FIG. 3 which is schematic showing the nano-imprinting approach for pattern transfer into the mold material 1. The imprinting tool 13 is seen in FIG. 3B to have created, in this example, negative nano-elements 2 in the mold material 1 (e.g., a polymer) of the figure. These nano-elements may go all the way through material 1 directly producing a membrane (materials 1) with pores (cavities 2)

.There may be a working substrate, as seen in FIG. 3, which is removed after imprinting or may be a mesh-like material giving support but also allowing flow continuity through the pores. . As seen in FIG. 3B and C the mold material must be separated from the imprinting tool 13. This may be done with some combination of mechanical, thermal, or chemical means (i.e.,
5 mechanical/chemical means). Imprinting may be used also to give positive nano-elements. This may be noted from FIG. 3C.

[0032] FIG. 4 shows schematically how nano-molding can be used for pattern transfer into the mold material 1 for forming the nano-elements. For this approach a master mold 14 is needed as seen in FIG. 4A for positive nano-elements and as seen in FIG. 4C for negative nano-
10 elements. The master mold 14 of FIG. 4A is then filled to the required depth with the mold material 1 to attain the positive nano-elements of FIG. 4B. Correspondingly, the master mold 14 of FIG. 4C is then filled to the required depth with the mold material 1 to attain the negative nano-elements of FIG. 4D. After disposing of the fluid mold material in a master mold, it is solidified (for example, by using photons, heat, etc) yielding the patterned molds 1 of FIG. 4.
15 This figure shows a processing substrate 10 is present. This is not necessary. The molding material in the negative nano-element case or the material applied between positive nano-elements in the positive case is the membrane material and this membrane can serve as its own substrate. As seen in FIG. 4B and D, the mold material must be separated from the master mold 14. This may be done with some combination of mechanical, thermal, or chemical means (i.e.,
20 mechanical/chemical means). This invention provides the advantage of being able to avoid the need for any further separation steps after the separation from 14 in FIG. 4.

[0033] Once either nano-imprinting or nano-molding has been chosen to make the nano-elements, various design paths and processing flows are then available depending on whether one has selected positive nano-elements or negative nano-elements. FIGS. 2A, 2C and 2D give
25 cross-sectional schematics outlining membrane designs based on the positive nano-element/thin film concepts of this invention. The positive nano-elements seen in FIG. 2A offer the options of not being coated or of being coated with layer 4 (e.g., a metal, metal/insulator) as shown in FIGS. 2C and D, respectively. When present, material 4 is seen to be disposed on the outside (free) surface and onto the inter-element region of material 1. In either case material 1 is then
30 used as a mold and filled to level 35 with a membrane material or membrane precursor material (possibly requiring thermal annealing, UV exposure, etc). The thickness t of this membrane material, the shape of the nano-element, and the nano-element height h determine the nano-pore cross-sectional dimension that will result at pore 5 of FIG. 2E. If the coating 4 is present, then a processing step (e.g., dry etching, wet etching, CMP) to remove layer 4 above 35 will also be

needed. The nano-pores are then formed by removing (e.g., dissolution, etching) material 1 giving rise to the nano-porous membrane seen in FIG. 2E for the case in which layer 4 was not used.

[0034] In both positive and negative nano-element approaches highly controlled monolayer-capable disposing techniques such as self-assembly (SAMs) or ALD may be used to further refine the nano-pore size 5. In addition transport through nano-pores 5 may be affected by modifying the surface energy at 5. For example, treatments may be undertaken to render the surface of 5 hydrophobic or hydrophilic. As noted earlier, if layer 4 is present and a metal or semiconductor, this material may be biased with respect to the media on either side of the membrane thereby giving further control of the pore size through modification of the space charge region at the pore with voltage. As is well known, such space charge regions at pores give an effective pore size and can affect ion transport (7-10).

[0035] FIG. 5 gives cross-sectional schematics outlining a number of the filtration membrane/drug flow membrane design possibilities based on negative nano-element/thin film concepts of this invention with FIGS. 5F and 5G representing possible completed nano-pore membranes. The initial schematic (FIG. 5A) depicts nano-elements 2 positioned in mold material 1. These are the empty nano-elements of the negative nano-element approach. If the height h of these nano-elements is greater than t , which is the thickness of the mold material 1, then nano-molding, for example, will actually result in the picture seen in FIG. 5B. This requires that the mold material precursor fluid, when placed in the master mold, is controlled to achieve $h > t$. As may be discerned from FIGS. 5A and B, the nano-element shape as well as the ratio of h and t will determine the nano-pore size 5. The nano-pore array seen in cross-section in FIG. 5B may also be achieved by removing the volume defined by t' in FIG. 5A. This can be done in a controlled manner by dissolution, CMP, or etching (e.g., wet etching, plasma etching). In this case the nano-element shape as well as h and $t-t'$ will determine the pore size 5 in FIG. 5C. In either approach, the nano-pore size 5 may be modified by the addition of a coating 4 inside the nano-elements and disposed in the examples of FIG. 5D and E from the back. We note that the resulting pore shape may be different in FIGS. 5D and E, as shown, since the coating step giving FIG. 5E can be done before removing the volume defined by t' .

[0036] Highly controlled monolayer-capable techniques such as self-assembly (SAMs) or ALD may be used to further refine the nano-pore size 5 as shown in FIGS. 5F and 5G. In addition transport through nano-pores 5 may be affected by modifying the surface energy at 5. For example, treatments may be undertaken to render 5 hydrophobic, hydrophilic, etc. As noted earlier, if the coating 4 is a metal or semiconductor, this material may be biased with respect to

the media on either side of the membrane thereby giving further control of the pore size and pore allowed flow through modification of the space charge region at the pore with voltage. As is well known, such space charge regions at pores give an effective pore size since they affect ion transport (7-10).

[0037] The processing that leads to the nano-pore filtering and drug control membranes depicted in the examples of FIGS. 5B, 5C, 5D, 5E, 5F, and 5G may be used to fabricate flow control units such as that exemplified by FIG. 6A. Here spacers 7 are positioned to allow these flow control units to be located adjacent to or between one or more nano-porous filtration units. FIG. 6B shows an exemplary molding approach to fabricating 6A using mold 14 of FIG. 4. The processing that leads to the nano-pore filtering membranes depicted in the examples of FIGS. 5B, 5C, 5D, 5E, 5F, and 5G may also be used to fabricate nano-pore filtration membranes with built-in spacers such as those seen in FIG. 7. Spacer geometry and positioning is that suitable for the application is chosen. These spacers can be built-into material 1 during nano-imprinting or nano-molding.

[0038] Flow control units and filtration membrane units may be arranged in series as seen in FIG. 8. These units may be stacked and filtration membranes in a stack may have different pore sizes. Series and parallel combinations may also be utilized.

[0039] The present invention is further detailed with respect the following non-limiting examples. These examples are intended to provide details regarding specific embodiments of the present invention and not to limit the appended claims to the scope of these examples.

EXAMPLE 1

[0040] Example 1 uses a design based on the positive nano-element approach seen in FIG.

2A. The nano-elements 2 could be fabricated by nano-imprinting as shown in Fig 3C or by nano-molding as shown in FIGS. 4A and 4B. In this example, the latter approach is used. The master mold 14 in this example is single crystal Si. Coated Si (for wear resistance) as well as a variety of other materials, including metals, may be used for the master mold. A silicon wafer, e-beam lithography, and dry etching (e.g., reactive ion etching (RIE)) were used to fabricate the Si master mold 14 (which may be selected to have a variety of shapes including slits, domes, columns, cones, hemispheres) seen in an actual cross-sectional FESEM in FIG. 8 (which is a Si master mold 14 having 'cup-like' shapes). While the master mold is Si, the use of this Si is far different from that in MEMS/NEMS porous membrane design and processing. In this invention the master mold is designed to maintain its integrity and to be reused over and over to create

units such as that seen in FIG. 2A. In this example, material 1 of FIGS. 2A and 4B is taken to be polyurethane acrylate (PUA). An actual PUA positive nano-element array is seen in FIG. 9. In approaches such as Example 1 based on positive nano-elements, material 1 must be removable from the master mold, as was achieved here. After formation of the structure seen in FIG. 2A, a
5 membrane material is positioned among the nano-elements to level 35 seen in FIG. 2C using the structure of FIGS. 2A and 2C as a mold.

[0041] Any desirable membrane material that is a fluid or whose precursor is a fluid may be utilized. When the thickness of this membrane material t is kept below h , the nano-porous filtration membrane of FIG. 5B results on removal of material 1. This removal can be done by
10 mechanical separation, dry etching, wet etching, and dissolution. The overall nano-pore length L through the membrane is essentially t , which can be up to many microns, if desired.

EXAMPLE 2

[0042] Example 2 also uses a design based on the positive nano-element approach seen
15 in

[0043] FIG. 2A. The nano-elements 2 are fabricated by nano-molding as shown in FIGS. 4A and 4B. The master mold 14 in this example is again fabricated from single crystal Si. Coated Si as well as a variety of other materials, including metals, may be used for the master mold. A silicon wafer, e-beam lithography, and dry etching (e.g., reactive ion etching (RIE)) were used to
20 fabricate this master mold. In general, if the master mold is Si, the use of this Si is far different from that in MEMS/NEMS, as noted. Here the master mold is designed to maintain its integrity and to be reused over and over to create units such as that seen in FIG. 2A. In this example, material 1 of FIGS. 2A and 4B is taken to be polyimide, a polymer which can withstand processing temperatures up to 450C. This material is then coated with layer 4 as seen in FIG. 2D.
25 Polymers capable of exposure to elevated temperatures (e.g., polyimide) are required for Example 2 if coating 4 is disposed using elevated temperatures.

[0044] Since the coating 4 is positioned on the outside of the nano-elements, void filling by
by

layer 4 inside a nano-element is not utilized. This allows the element height h and therefore
30 overall nano-pore length L through the membrane, to be many microns, if desired.

[0045] After formation of the structure seen in FIG. 2D, a membrane material is positioned among the nano-elements to level 35 using the structure of FIG. 2D as a mold. Any desirable membrane material that is a fluid or whose precursor is a fluid may be utilized. When the thickness of this membrane material t is kept below h , the nano-porous filtration membrane

of FIG. 5E results after (1) removal of the tip of layer 4 defined by the volume characterized by h-t and (2) subsequent removal of material 1. The tip removal step may be accomplished by, for example, RIE, wet etching, CMP. The resulting overall nano-pore length L through the membrane is essentially t which can be many microns or in the nano-scale, as desired and as controlled by t.

[0046] If layer 4 is a metal or semiconductor, the array of nano-pores, with pore region 5, seen in FIG. 5E may be electrically biased with respect to the medium being filtered or monitored. As noted earlier, this allows voltage control of the effective pore size at 5. If layer 4 is a composite composed of an insulator layer on a metal layer, for example, then a dc voltage impressed on the metal (which is interconnected across the bottom of the membrane) will modify current flow at all pores 5 without drawing current. If this metallization across the bottom of the membrane is patterned to define interconnects, array column nano-pore biasing and addressability become possible. If transistors are added at each pore by building on this bottom surface, individual nano-pore biasing and addressability become possible. The filling and/or fouling of nano-pores may be addressed with this Example 2 variant with electric field intervention at the pores 5. If desired, this may be done by varying the biasing to obtain field reversal, field pulsing, or AC field response. These may be done with or without a DC bias and be done continuously, continually, or when flow adjustment is needed.

EXAMPLE 3

[0047] Example 3 uses a design based on the negative nano-element approach seen in FIG. 2B. The empty nano-elements 2 are fabricated by nano-molding as shown in FIGS. 4C and 4D. The master mold 14 in this example is again fabricated from single crystal Si. Coated Si as well as a variety of other materials, including metals, may be used for the master mold. A silicon wafer, e-beam lithography, and dry etching (e.g., reactive ion etching (RIE)) were used to fabricate this master mold. In general, if the master mold is Si, the use of this Si is far different from that in MEMS/NEMS. As is the case in general in this invention, the master mold is designed to maintain its integrity and to be reused over and over to create units such as that seen in FIG. 2B.

[0048] In this example 3, the walls of the nano-pores 2 seen in FIG. 2B are subsequently coated with layer 4. The result is the actual array seen in the FESEM micrograph of FIG. 11 for the case in which the layer 4 is sputtered Ag. In the case of this micrograph, after Ag disposition material 1 was mechanically separated to create the nano-pores. As seen in Fig 11, the resulting membrane has Ag nano-pores supported by an Ag substrate. The region among the nano-pores

may be filled with an alternative membrane material if desired and/or the flow unit of FIG. 6 may be added onto the Ag functioning as a membrane. As seen, this mechanical removal resulted in Ag tip removal and pore creation at every nano-pore of FIG. 11. As may be noted from Fig 11, there are about 10^{12} pores in this membrane. A final membrane material of any thickness t less than the nano-pore height is then disposed using the array of FIG. 11 as the mold. The result as may be inferred from FIG. 11 is a filtration membrane with Ag nano-pores.

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[0059] While at least one exemplary embodiment has been presented in the foregoing detailed description, it should be appreciated that a vast number of variations exist. It should also be appreciated that the exemplary embodiment or exemplary embodiments are only examples,

and are not intended to limit the scope, applicability, or configuration of the described embodiments in any way. Rather, the foregoing detailed description will provide those skilled in the art with a convenient road map for implementing the exemplary embodiment or exemplary embodiments. It should be understood that various changes can be made in the function and arrangement of elements without departing from the scope as set forth in the appended claims and the legal equivalents thereof.

Claims

1. A pore array comprising at least one nano-element of height h defined by an organic or inorganic molded material wherein said nano-element has a shape and height h to form a pore in a membrane with said pore having at least one cross-sectional dimension of from 0.2 to 1000 nanometers.
5
2. The pore array of claim 1 wherein said at least one nano-element of height h is a negative nano-element constituting a cavity devoid of material, said nano-element formed in a mold material of thickness t , wherein said nano-element has a shape and a height h equal to or greater than t to form a pore with the ratio of h to t being such that said pore has at least one cross-sectional dimension of from 0.2 to 1000 nanometers.
10
3. A pore array comprising at least one micro-element of height h defined by an organic or inorganic molded material wherein said micro-element has a shape and height h to form a pore in a membrane with cross-sectional dimensions greater than 1000 nanometers.
4. A pore array comprising at least one micro-element of height h is a negative micro-element constituting a cavity devoid of material, said micro-element formed in a mold material of thickness t , wherein said micro-element has a shape and height h equal to or greater than t thereby to form a pore with the ratio of h and t being such said pore has cross-sectional dimensions greater than 1000 nanometers.
15
5. The array of any one of claims 1 to 4 wherein said pore has a shape of a slit, cone, cup, column, dome, or hemisphere.
20
6. The array of any one of claims 1 to 4 further comprising said pore and a plurality of pores arranged in the membrane material, and optionally said plurality of pores define a lattice pattern.
7. The array of any one of claims 2 to 4 wherein said pore has an internal wall and an inter-pore region.
25
8. The array of any one of claims 1 to 4 wherein said pore forms a filtration membrane or delivering/monitoring membrane.

9. The array of claim 1 wherein said pore has a coating layer disposed on at least one of the internal wall or inter-pore region.

10. The array of claim 9 wherein said coating layer controls pore cross-sectional dimensions.

5 11. The array of claim 9 wherein said coating layer is comprised of at least a SAM material or an ALD material.

12. The array of claim 9 wherein said coating layer exposed area is at least partially comprised of functionalizing layer incorporating at least one of RNA, double stranded DNA, single stranded DNA, peptides, proteins, antibodies, or antigens.

10 13. The array of claim 9 wherein the composition of said coating layer is a conductor or semiconductor.

14. The array of claim 9 wherein the composition is a conductor or semiconductor layer upon which is disposed an insulator layer.

15 15. The array of any one of claims 9 to 14 wherein said coating layer controls at least the property of pore dimensionality, pore transport, or specificity.

16. The array of any one of claims 9 to 14 wherein said coating layer is biased with respect to a surrounding media to control one or more of pore effective size, pore flow properties, selectivity, fouling control, and nano-structure positioning.

20 17. The array of any one of claims 9 to 14 wherein said pore forms a particle or molecular filter for environmental/industrial applications such as water filtration and for biomedical applications such as dialysis, drug delivery, and diagnostic fluid sampling.

18. The use of the two or more of the arrays of any one of claims 9 to 14 in series or parallel flow configurations employing flow control units.

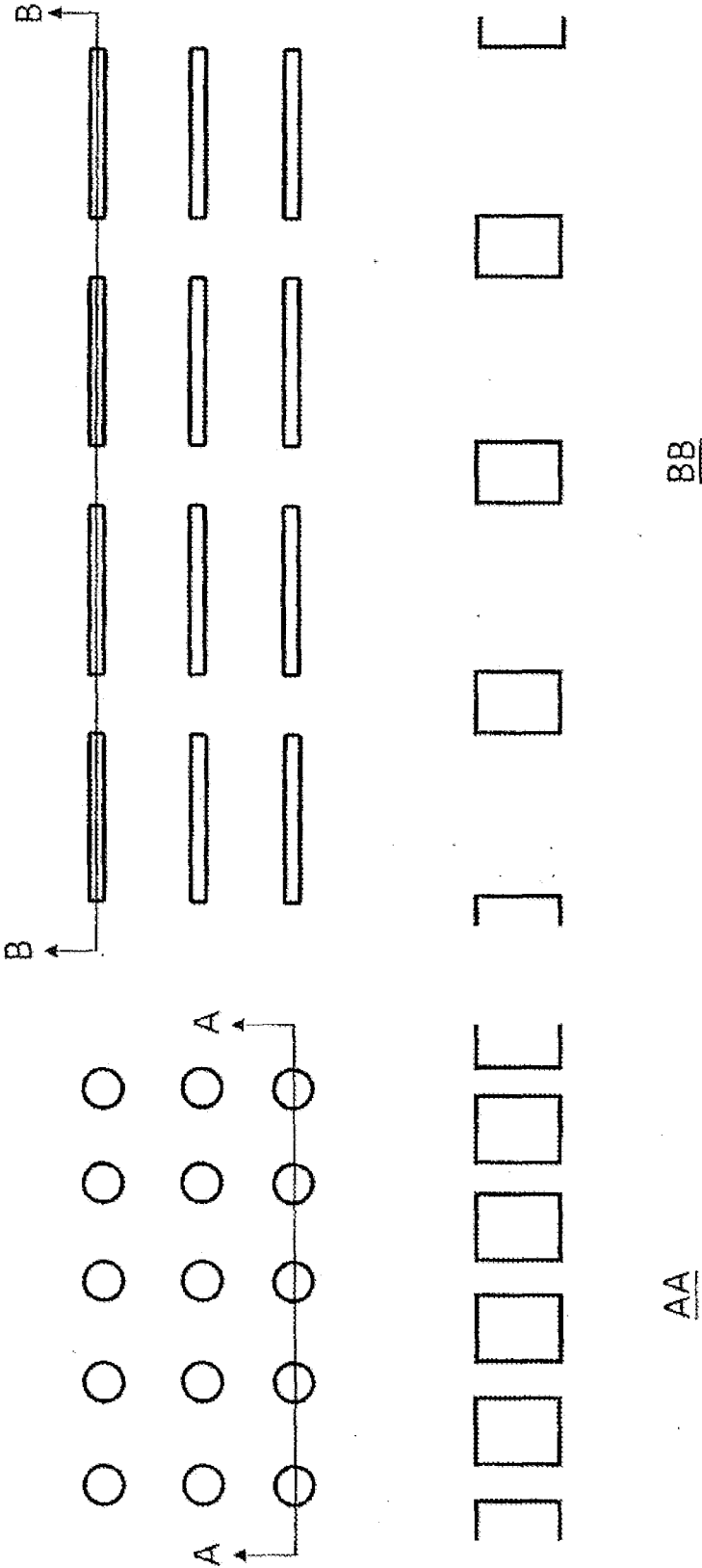
25 19. The process for the formation of an array of any one of claims 9 to 14 comprising:

positioning a molding material in a master mold to nano-mold a nano-element array with nano-elements of height h , said nano-element array being defined and supported by the organic or inorganic molded material said nano-element array in said molded material being composed of negative nano-elements or of positive nano-elements wherein

said molded material is adjusted to be thinner than h in the final structure for negative nano-elements thereby creating a nano-porous membrane or wherein said molded material is used for as a mold for a membrane material disposed among the nano-elements to a height less than h and said molded material is removed thereby creating a nano-porous membrane.

20. A pore array comprising: at least one nano-element of height h defined by an organic or inorganic molded material wherein said nano-element has height h constituting a protrusion of material, wherein said nano-element having a shape and height h , wherein the inter-element region has disposed in it a membrane material of thickness t and t being such that at least one pore is created on removal of said molded material, said pore having at least one cross-sectional dimension of between 02. and 1000 nanometers.
21. A pore array comprising:
at least one micro-element of height h defined by an organic or inorganic molded material wherein said nano-element has height h constituting a protrusion of material, wherein said micro-element having a shape and height h , wherein the inter-element region has disposed in it a membrane material of thickness t and t being such that at least one pore is created on removal of said molded material, said pore having cross-sectional dimensions greater than 1000nm.
22. The array of claim 21 or 22 wherein said at least one pore has a shape of a slit, cone, cup, column, dome, or hemisphere.
23. The array of claim 21 or 22 said at least one pore is a plurality of pores arranged according to a lattice pattern.
24. The array of claims 21 wherein said protruding nano-element has a coating layer disposed on its surface prior to membrane layer disposition.
25. The array of claim 24 wherein said coating layer controls pore cross-sectional dimensions.
26. The array of claim 24 wherein said coating layer is comprised of at least a SAM material or an ALD material.

27. The array of claim 24 wherein said coating layer exposed area is at least partially comprised of a functionalizing layer incorporating RNA, nucleic acids, double and single stranded. DNA, peptides, proteins, anti-bodies, and anti-genes.
- 5 28. The array of claim 24 wherein said coating layer controls at least the property of pore dimensionality, pore transport, or specificity.
29. The array of claim 24 wherein said at least one pore forms a filtration membrane or delivering/monitoring membrane.
30. The array of claim 24 wherein the composition of said coating layer is a conductor or semiconductor.
- 10 31. The array of claim 24 wherein the composition is a conductor or semiconductor layer upon which is disposed an insulator layer.
32. The array of any one of claims 30 or 31 wherein said coating layer is biased with respect to the surrounding media to control one or more of: pore effective size, pore flow properties, selectivity, fouling control, and nano-structure positioning.
- 15 33. The array of any one of claims 24 to 31 wherein said at least one pore forms a particle or molecular filter for environmental/industrial applications such as water filtration and for biomedical applications such as dialysis, drug delivery, and diagnostic fluid sampling.
34. The use of the two or more of the arrays of any one of claims 24 to 31 in series or parallel flow configurations employing flow control units.
- 20 35. A array comprising at least one positive nano-element of height h defined by an organic or inorganic molded material wherein said nano-element has a shape and height h to form a pore in a membrane with at least one cross-sectional dimension of from 0.2 to 1000 nanometers by nano-imprinting.



PRIOR ART FIG. 1

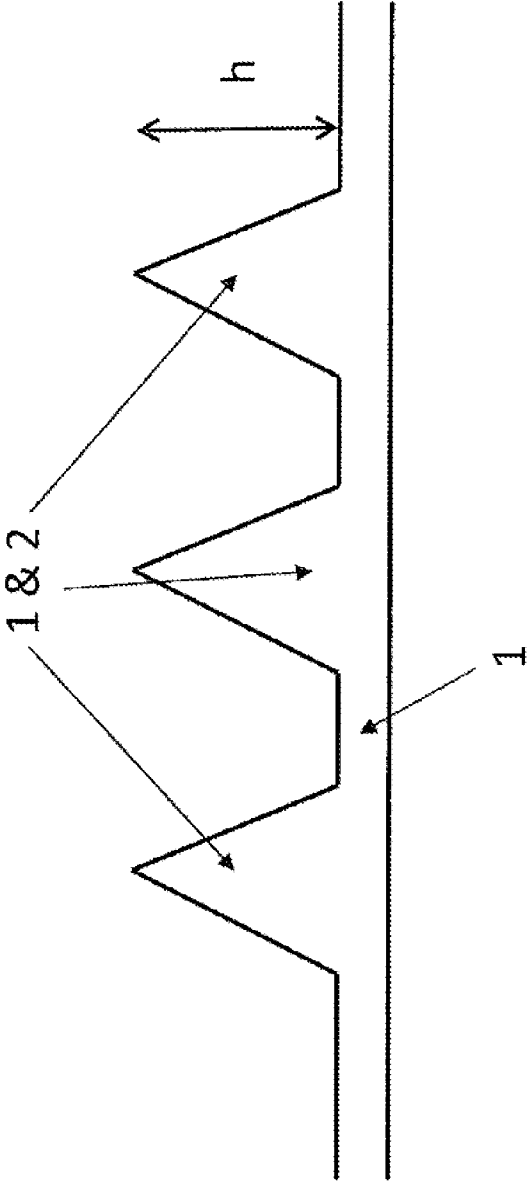


FIG. 2A

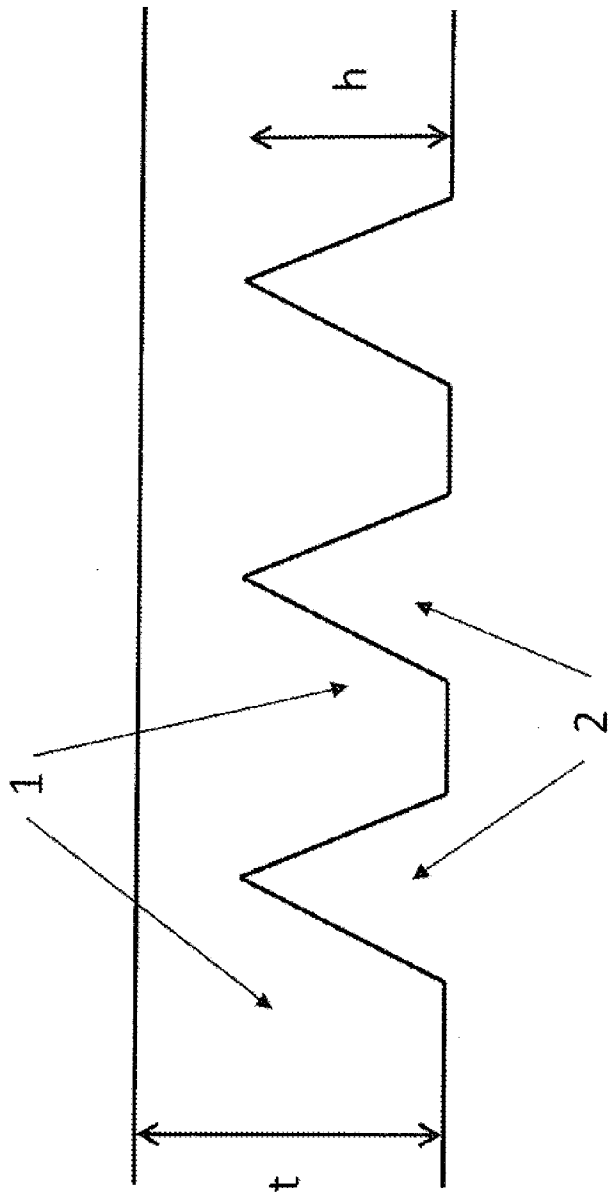


FIG. 2B

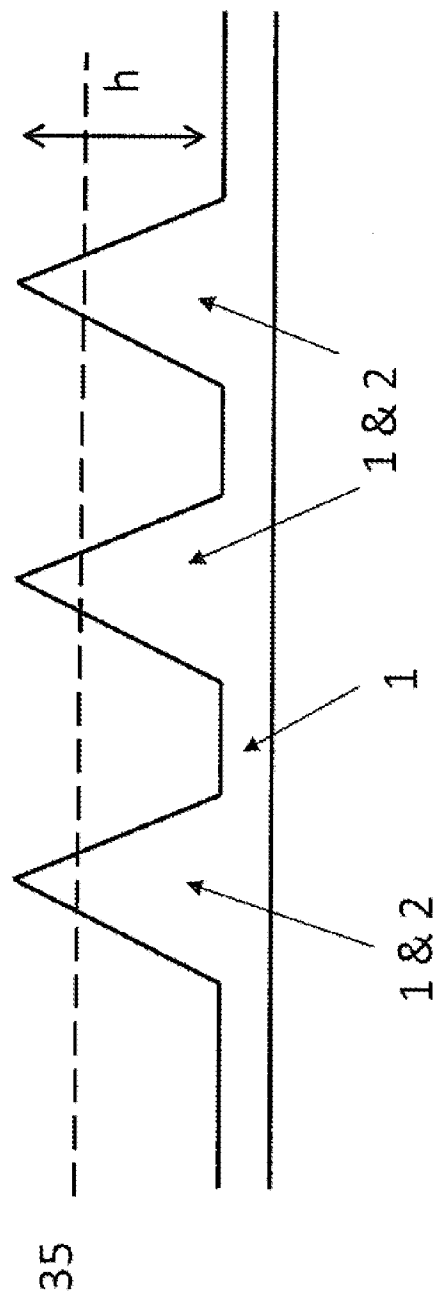


FIG. 2C

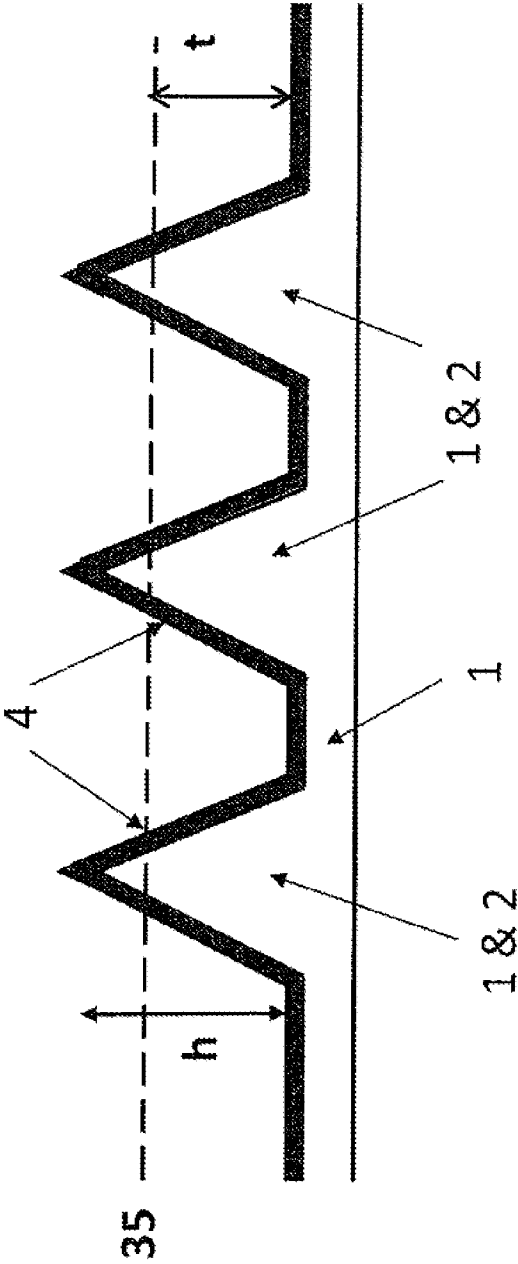


FIG. 2D

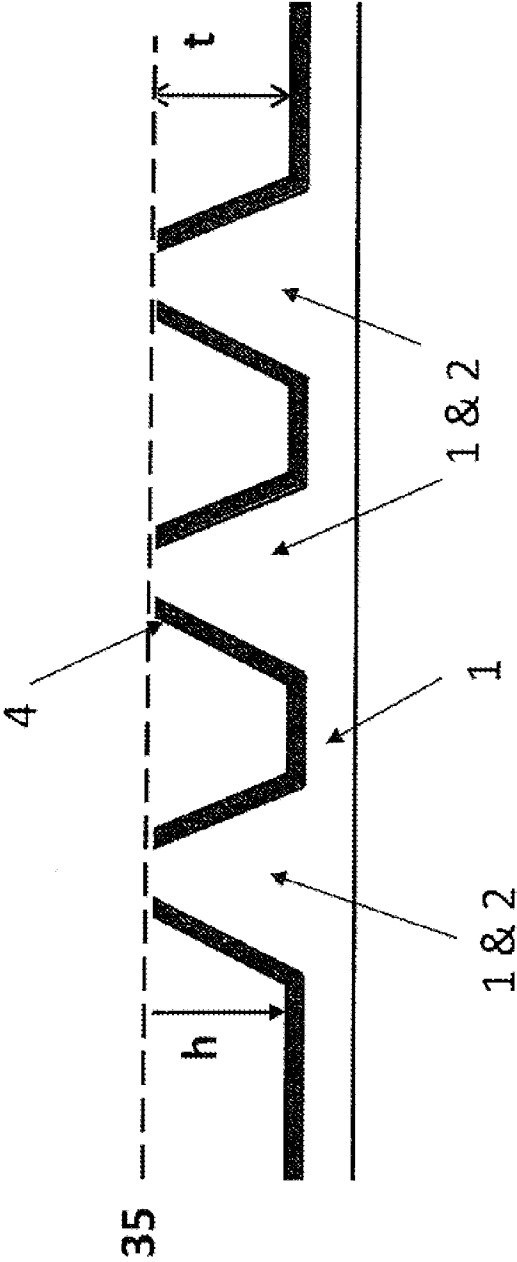


FIG. 2E

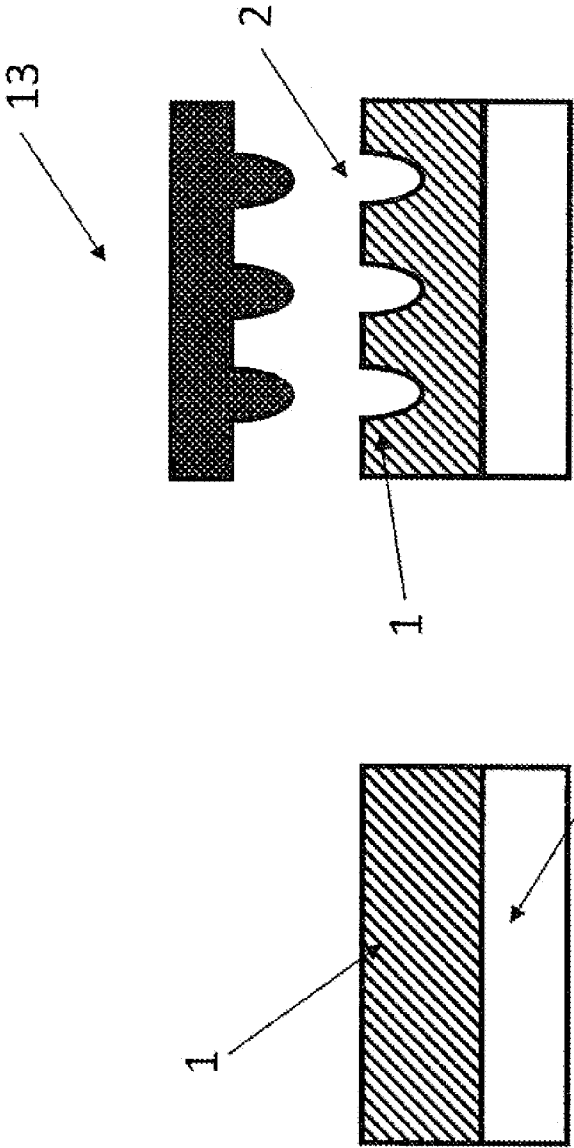


FIG. 3B

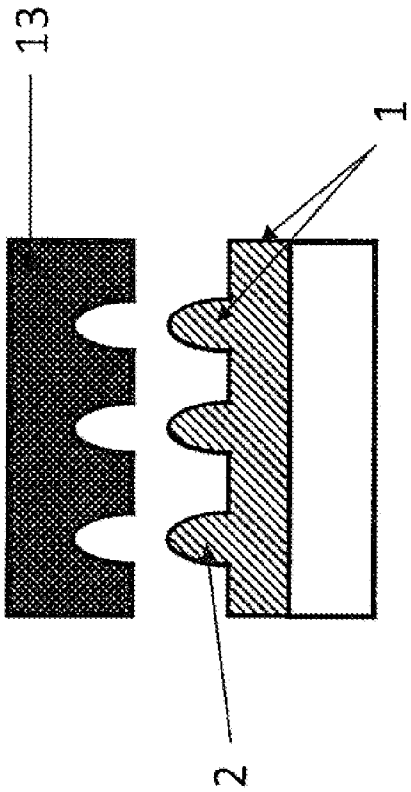


FIG. 3C

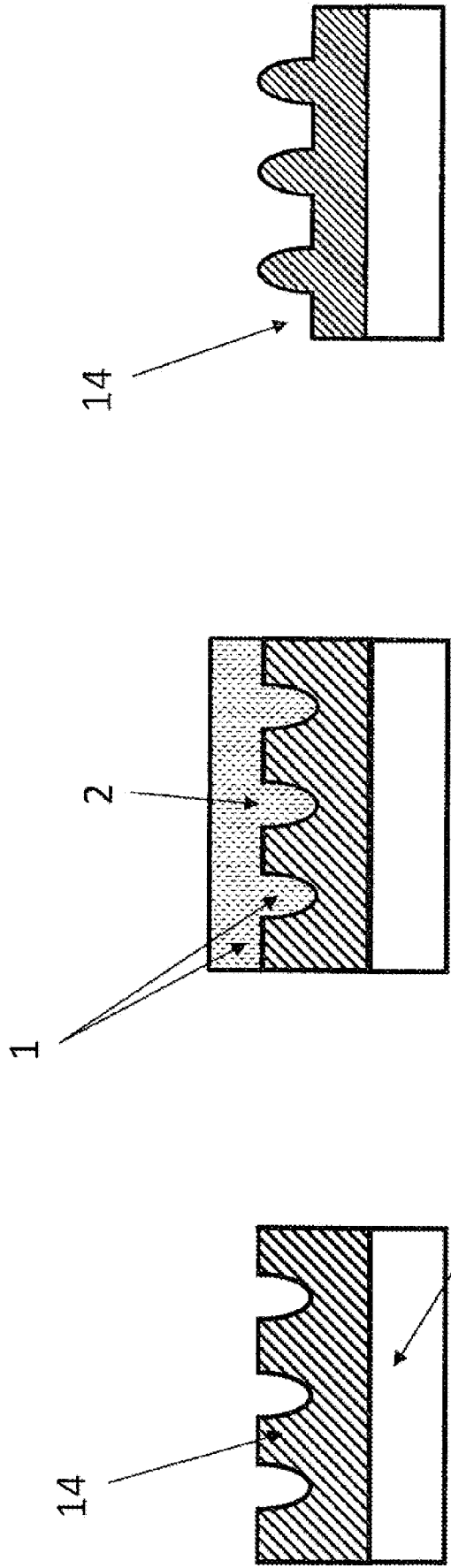


FIG. 4C

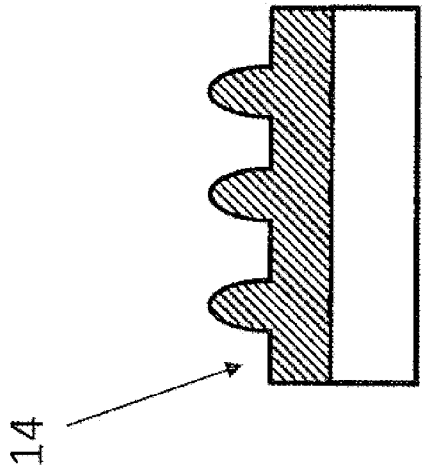


FIG. 4B

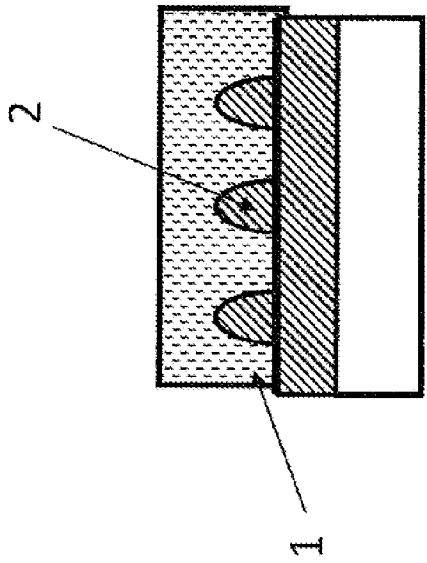


FIG. 4D

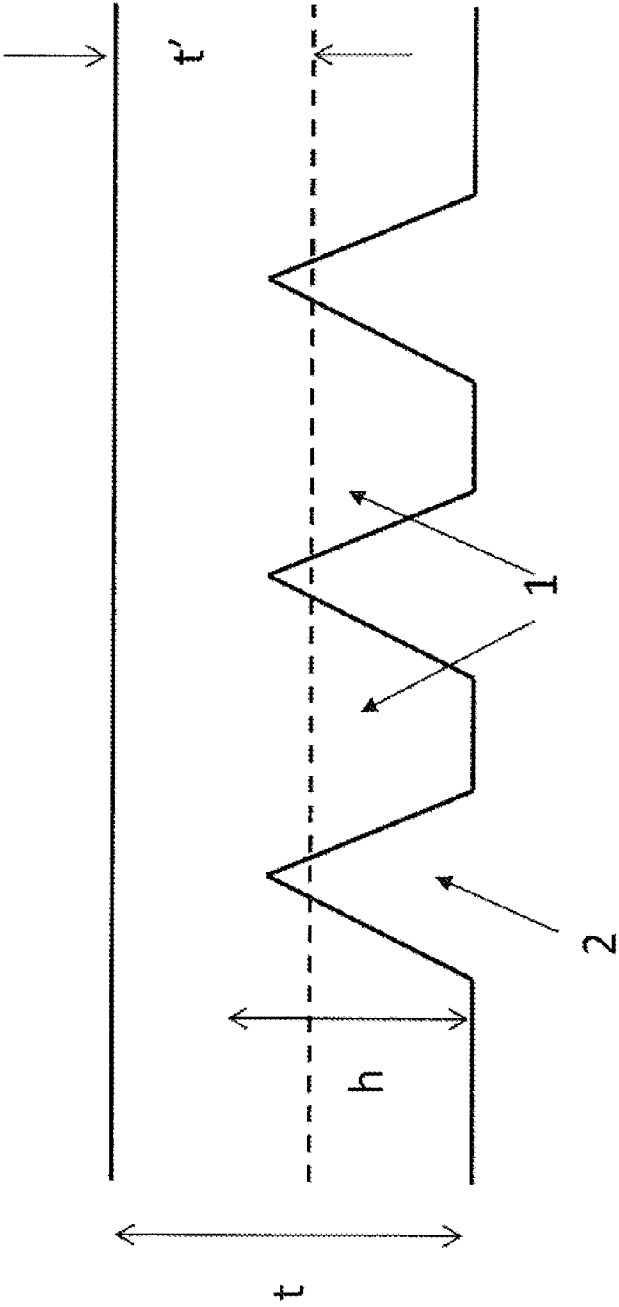


FIG. 5A

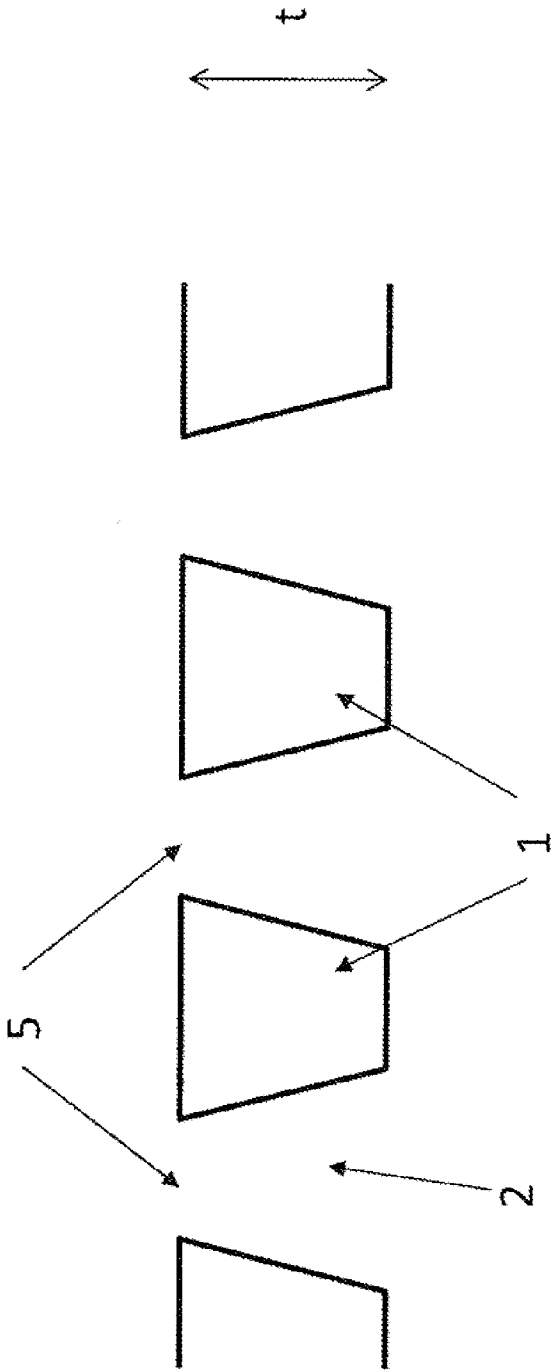


FIG. 5B

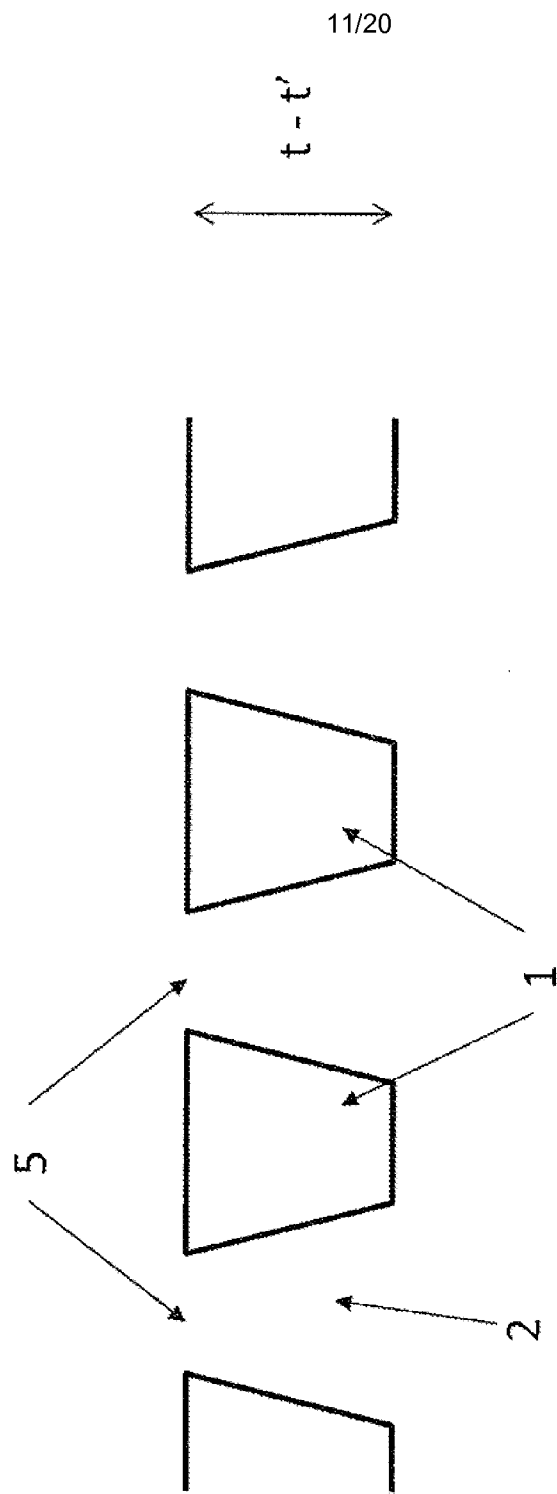


FIG. 5C

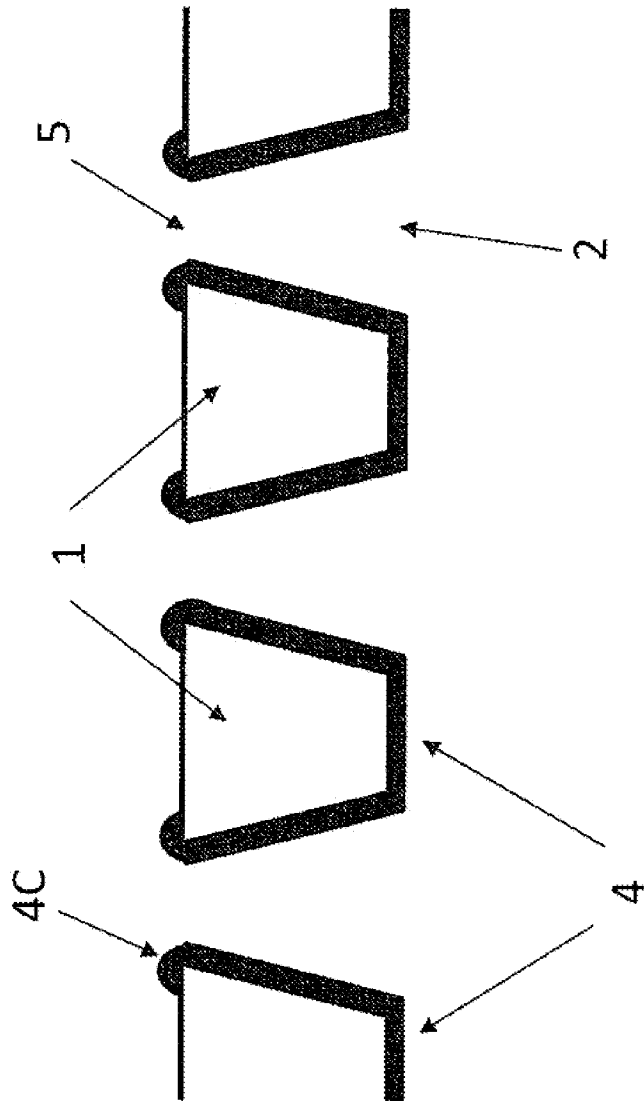


FIG. 5D

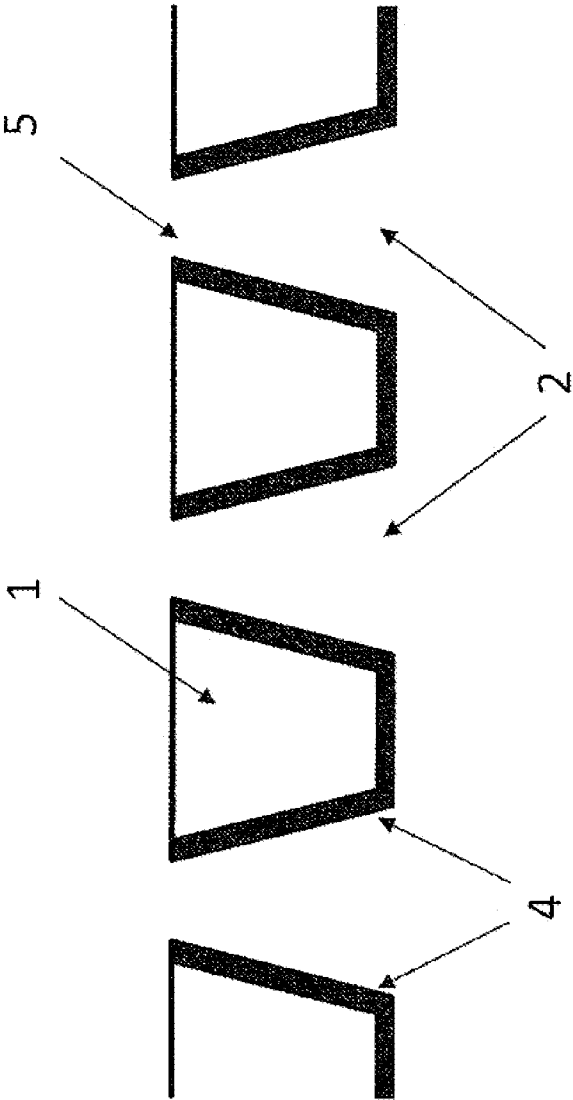


FIG. 5E

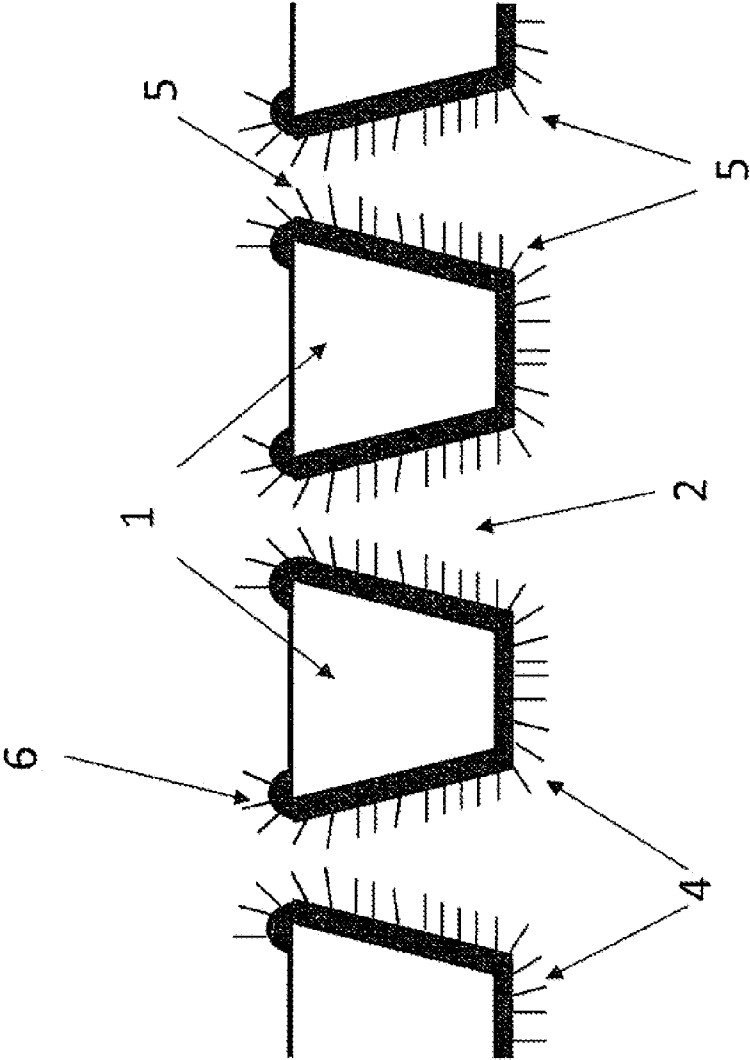


FIG. 5F

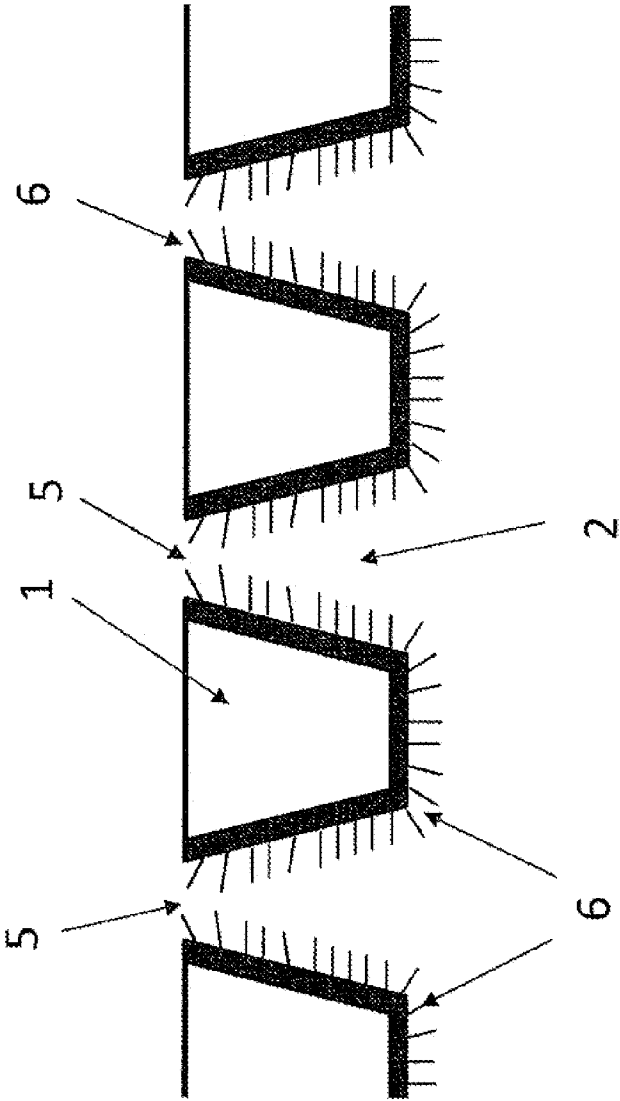


FIG. 5G

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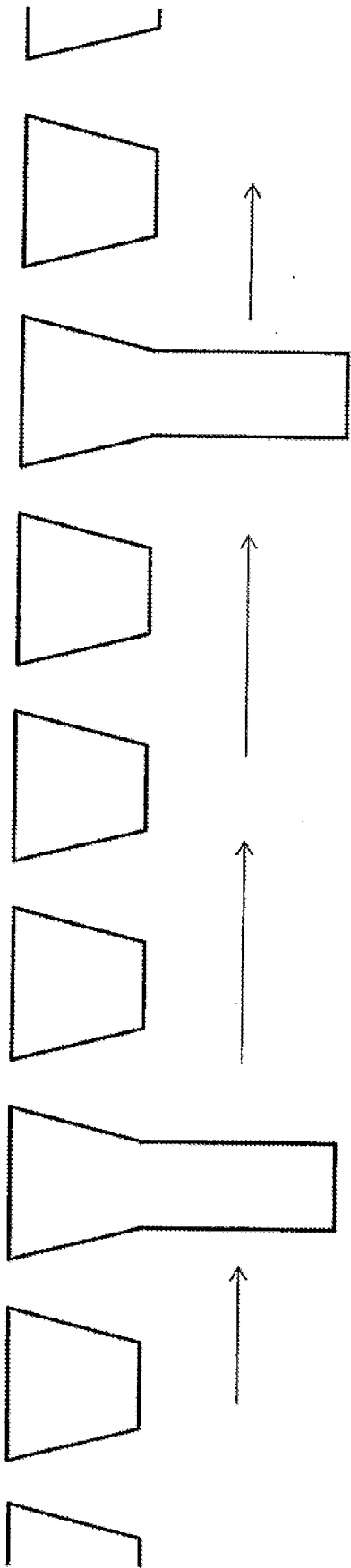


FIG. 6

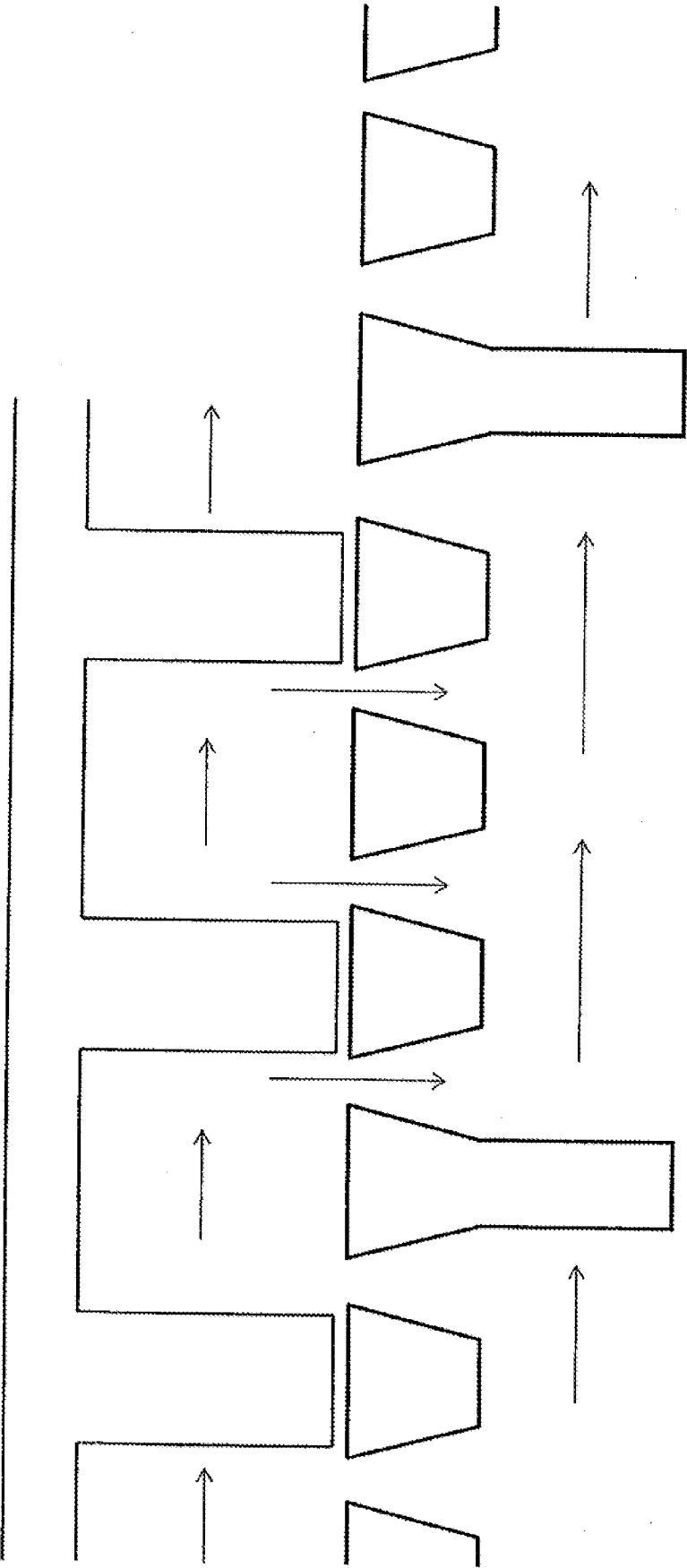
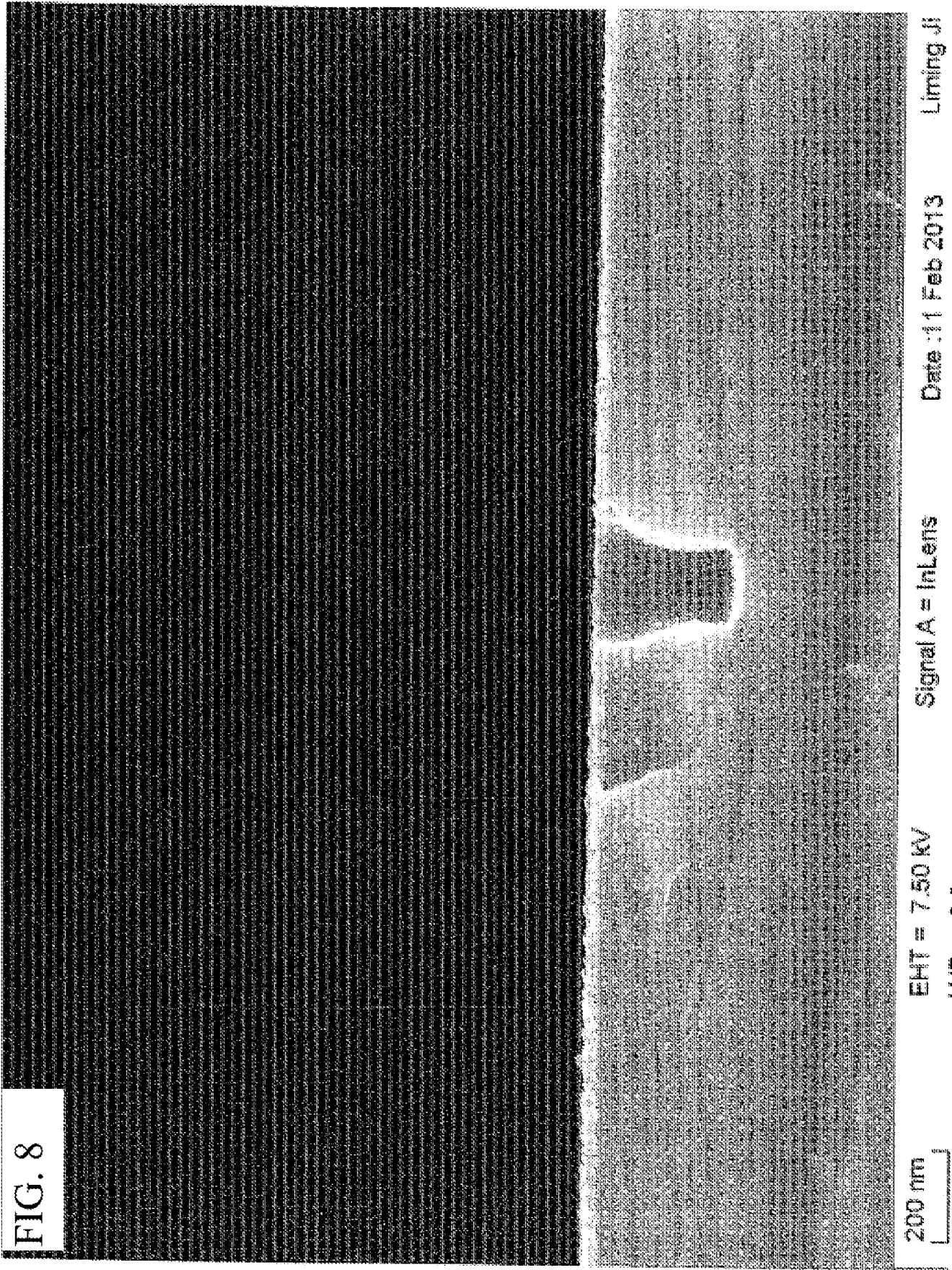
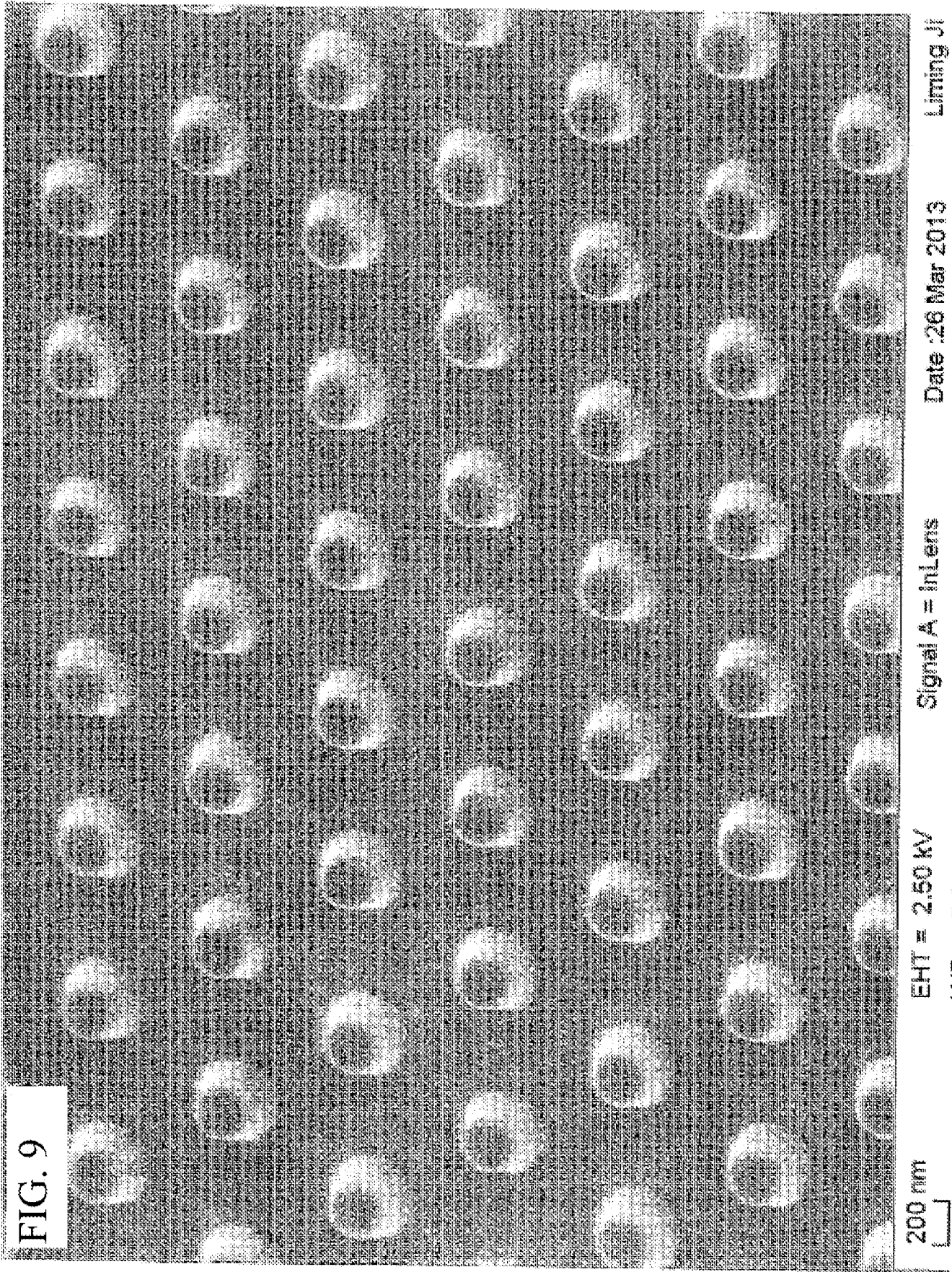
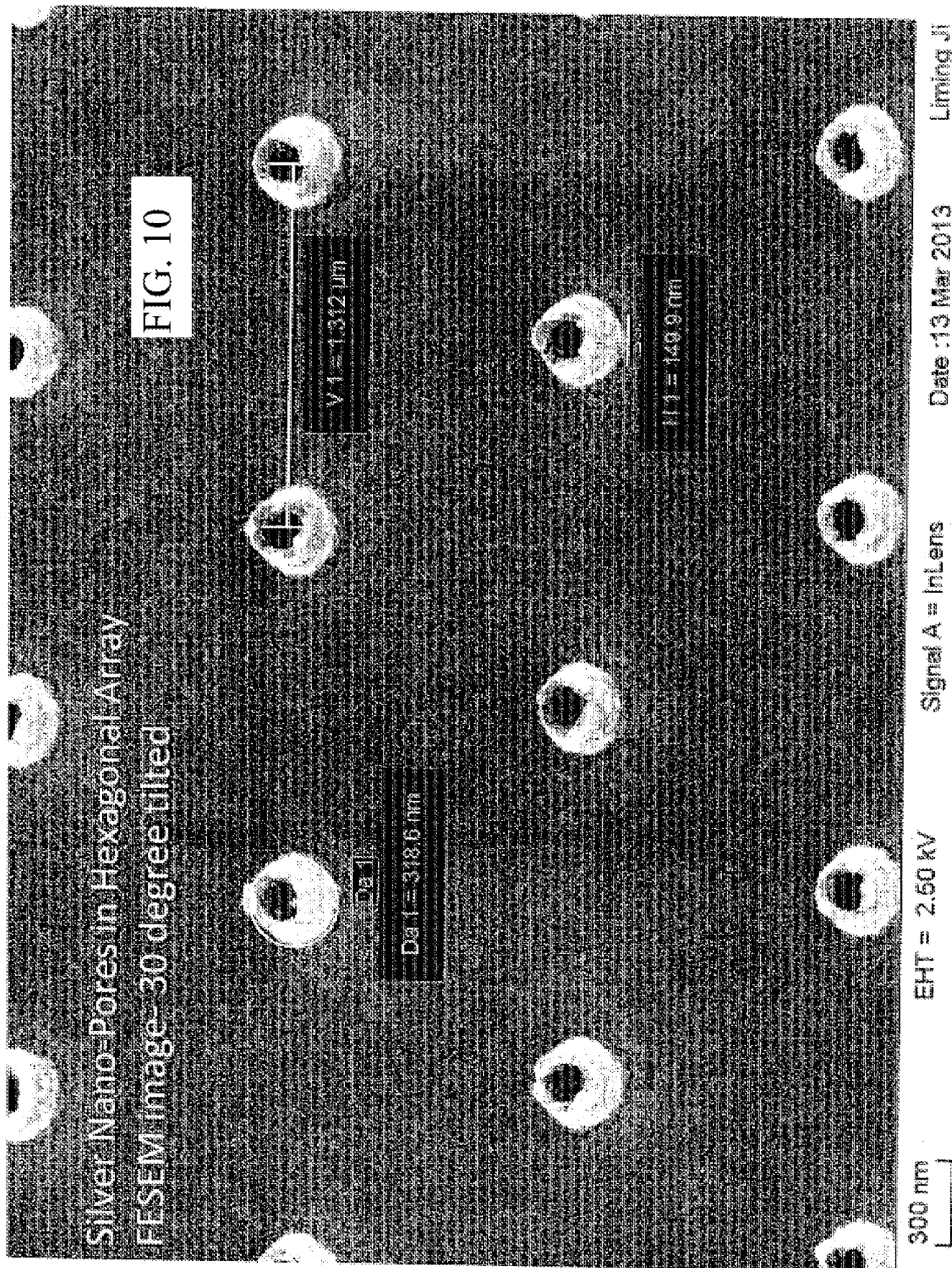


FIG. 7







INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2015/014596**A. CLASSIFICATION OF SUBJECT MATTER****C12M 1/12(2006.01)i, C12Q 1/68(2006.01)i, G01N 1/28(2006.01)i, G01N 33/53(2006.01)i, G01N 33/68(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C12M 1/12; A61B 5/04; A61B 5/05; C23F 1/00; B01D 69/12; B32B 3/26; B01D 67/00; C12Q 1/68; G01N 1/28; G01N 33/53; G01N 33/68

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords: nano-pore array, nano-element, membrane, pore, mold

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2014-210306 A1 (THE PENN STATE RESEARCH FOUNDATION) 31 December 2014 See abstract; claim 1; figures 4A-5D.	1-35
A	US 2013-0164522 A1 (INTERNATIONAL BUSINESS MACHINES CORPORATION) 27 June 2013 See abstract; claim 1; figures 1-2.	1-35
A	US 2012-0037591 A1 (TRINGE, JOSEPH W. et al.) 16 February 2012 See abstract; claims 1, 4 and 6.	1-35
A	WO 2012-064177 A1 (MIMOS BERHAD) 18 May 2012 See abstract; claims 1 and 8-10; figures 4-6.	1-35
A	NABAR, BHARGAV P. et al., 'A nanoporous silicon nitride membrane using a two-step lift-off pattern transfer with thermal nanoimprint lithography', Journal of Micromechanics and Microengineering, 15 March 2012, Vol. 22, No. 4, Article No: 045012 (internal pages 1-8). See the whole document.	1-35



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

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"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

25 September 2015 (25.09.2015)

Date of mailing of the international search report

25 September 2015 (25.09.2015)

Name and mailing address of the ISA/KR

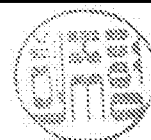
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Republic of Korea

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HEO, Joo Hyung

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INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2015/014596

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2014-210306 A1	31/12/2014	None	
US 2013-0164522 A1	27/06/2013	US 2012-021204 A1 US 8535544 B2 US 9057719 B2	26/01/2012 17/09/2013 16/06/2015
US 2012-0037591 A1	16/02/2012	US 2013-306549 A1 US 8512588 B2	21/11/2013 20/08/2013
WO 2012-064177 A1	18/05/2012	None	