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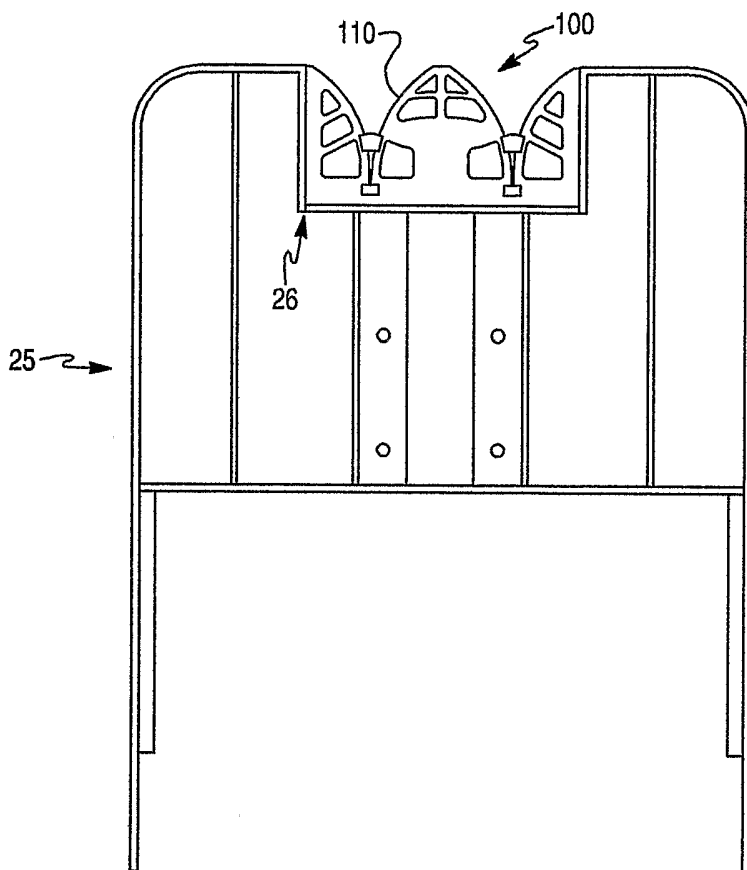
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(54) Title: ANTENNA FOR MOBILE WIRELESS DATA COLLECTION SYSTEM



(57) Abstract: The present invention provides an antenna system for reading RFID tags and/or transmitting and receiving wireless network signals, including for example wireless local area network (WLAN) signals, in a material handling environment. A ruggedized antenna can be mounted on the load backrest of a lift truck and configured to accomplish the reading of RFID tags that indicate particular pallet storage locations and the reading of RFID tags that indicate warehouse locations, such as specific loading docks. The antenna system can also be configured to accomplish transmitting and receiving WLAN signals for communication with a warehouse.

WO 2006/124399 A2



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## ANTENNA FOR MOBILE WIRELESS DATA COLLECTION SYSTEM

### CROSS REFERENCE TO RELATED APPLICATIONS

- 5 This application claims priority to United States Non-Provisional Patent Application  
Serial No. 11/402,798 entitled "Antenna For Mobile Wireless Data Collection  
System" filed on April 13, 2006. This applications also claims priority under 35 U.S.C.  
§ 119(e) from U.S. Provisional Patent Application Nos. 60/680,925, filed on May 13,  
2005, and 60/718,947, filed on September 20, 2005, both of which are incorporated  
10 herein by reference.

### STATEMENT REGARDING SPONSORED RESEARCH OR DEVELOPMENT

Not Applicable.

### 15 REFERENCE TO SEQUENCE LISTING

Not Applicable.

### BACKGROUND OF THE INVENTION

#### Field of the Invention

- 20 This invention relates generally to antenna systems, and more particularly to  
antennas for use with mobile wireless data collection systems.

#### Description of Related Art

- Current "best practices" employed in the movement and tracking of goods in  
25 warehouses and distribution centers include the use of barcode-based data  
collection and wireless networked computers on lift trucks and other mobile material  
handling equipment. While these technologies have greatly enhanced the efficiency  
and accuracy of material handling operations over recent decades, they still  
fundamentally rely on manual data collection activity in the validation of material  
30 movement.

For greater efficiencies the data collection needs to be synchronized with operator  
directives and activity. Operators are typically presented with instructions from the

warehouse management software application on the screen of a mobile wireless computer on the lift truck. Upon completion of the required action, the operator typically enters data through a bar code scan or keyboard entry to confirm accurate completion of the task. The information is transmitted over a wireless connection to the application on a network server. The application may then assign the operator the next task. Thus, the application and process require real-time, precise data, appropriate to the task and synchronous with operator actions and application instructions.

Furthermore, almost all full pallet load moves require two basic data collection elements: (1) load identification and (2) location identification. If, for instance, a lift operator is instructed to drive to a particular location, he may then be required to scan a bar code to verify that he has arrived at the correct location. If he is then directed to pick up a pallet, he may then be required to scan a bar code on the pallet load to identify the load to the application software. A subsequent instruction to deposit the load at a particular location would typically be followed by a bar code scan identifying the deposit location to validate task compliance.

The use of radio frequency identification (RFID) technology presents great promise for automating the data collection process. A RFID system typically uses a RFID tag reader to query a RFID tag attached to an object. The RFID tag provides certain information associated with the tagged object. Prior attempts to implement RFID technology in a warehouse environment have typically failed to fully take into account the observations mentioned above.

For example, the use of RFID "portals" at dock doors in warehouses is typically intended to deliver identification of the load passing through the portal and to designate the location (dock door) identification through the reader's network address. However, this is seldom synchronized with lift operator instructions and application instructions to the operator. Also, the attractive characteristic of RFID as a solution approach is that it can be automated easily and does not require "line of sight" to identify items or locations. RFID systems can, and typically do, read multiple tags; but this often results in extraneous data, which fails to deliver discrete, precise data as required by the application. Thus, the use of RFID systems in

warehouse inventory control presents a unique set of problems that have to be resolved to ensure efficient operation of the RFID system.

To be successful for use in a warehouse inventory control, the RFID-based solution should address the observations above. A first key will be that the RFID implementation becomes inherently part of the synchronized activities of the lift operation itself. A second key will be that the RFID implementation collects precisely the data expected and required at each step of the lift operation. The RFID tag readers must, therefore, be able to communicate effectively to identify RFID tags, while simultaneously transmitting tag information to a warehouse management system for processing and receiving instructions.

With specific reference to material handling control, the RFID system has to efficiently operate in a harsh operating environment that is typical of a warehouse. RFID tag readers are installed at various locations in the material storage facility. Some of these locations are stationary mounting locations, such as that of a RFID tag reader installed on a post located adjacent to a conveyor belt. Other locations are mobile mounting locations, such as that of a RFID tag reader installed on a lift truck. The RFID tag reader mounted on the lift truck is typically operated to communicate with RFID tags attached to various objects transported by the lift truck as well as stationary objects that may be located on a storage shelf. The results of the tag reads are typically transmitted to the warehouse management system over a wireless local area network.

Mounting the RFID tag reader upon the lift truck involves several operational as well as logistical considerations. Consequently, prior to installation of the RFID tag reader, an acceptable mounting location has to be identified such that installation and operation of the RFID tag reader will not interfere with, nor be affected by, the operation of the forklift. Particularly, antennas for communicating with RFID tags and communicating with the material handling system should be mounted in a manner that addresses multiple factors. Some of these factors include use of low RF power to limit tag readings to a single tag; optimal direction of the RF power pattern to prevent reading unintended tags; rugged construction to withstand typical material handling activities; locating antennas near desired tags to permit use of lower RF power; mounting antennas to limit obstructions to driver visibility; and minimizing

cable routing. In other words, RF power control through transmit power level control and RF power distribution through antenna pattern shaping are elements of an effective RFID material handling system.

There remains a need in the industry for a for an antenna system that addresses the  
5   aforementioned needs.

#### SUMMARY OF THE INVENTION

The present invention provides an antenna system for reading RFID tags and/or transmitting and receiving wireless network signals, including for example wireless  
10   local area network (WLAN) signals, in a material handling environment. With use of modern inventory management systems, RFID location tags are typically included in numerous locations throughout the material handling environment, including, for example, at inventory storage locations and loading docks in a warehouse. RFID tags can be used to identify products and locations in the warehouse and this  
15   information can be synchronized with lift truck operations and transmitted via a WLAN connection to a warehouse or inventory management system that monitors and/or controls warehouse operations.

The antenna system can be configured, in certain embodiments and without limitation, to read RFID tags that indicate particular pallet storage locations and/or  
20   pallet contents and to read RFID tags that indicate warehouse locations, such as specific loading docks. In certain embodiments, a ruggedized, essentially-upward-looking antenna can be mounted on the load backrest (or load rest) of a lift truck (e.g., forklift, clamp truck, pallet truck, hand truck, or other mobile material handling equipment). In certain embodiments, the antenna's structure and location on the  
25   load rest permit use of localized, low-level RF power, which helps to ensure reading of a desired location tag without reading unintended nearby tags. In other certain embodiments, the antenna effectively provides a limited beam width that enables reading of RFID tags mounted overhead (including, for example, on a doorjamb crosspiece) without reading unintended nearby tags through antenna pattern  
30   shaping and RF transmit power control. Among other embodiments, the antenna system may incorporate a flared-notch radiator design that allows for transmission of essentially upward-looking RFID signals. Other embodiments that have an opening

to radiate RF signals are contemplated, such as an inverted "F" shaped antenna, a slot-type antenna, or a waveguide antenna mounted atop a load backrest.

In certain embodiments, the antenna system can be configured to accomplish, without limitation, transmitting and receiving wireless network signals for communication with a warehouse management system. Embodiments of the antenna system may incorporate openings within the antenna system structure for transmission and reception of WLAN signals (e.g., 2.4 GHz frequency signals).

Integrating the antenna into the top or upper portion of a load backrest provides a relatively high point to maximize signal coverage and simplifies cable-routing with an associated RFID tag reading system. Openings for WLAN transmission/receiving may be in the form of smaller slots or notch designs. The ruggedized load backrest antenna may incorporate RFID or WLAN capabilities individually or in combination.

In one embodiment, the invention provides an antenna system for use on the load rest of a powered industrial truck. The antenna system includes a radiating element of conducting material that has a substantially uniform voltage distribution at low frequency across the radiating element (e.g., less than 1 MHz) and one or more radio frequency feed lines, each feed line being coupled across an opening of the planar radiating element. The planar radiating element is configured to be affixed to a portion of the load rest so as to project at least one radio frequency signal in an essentially upward direction. The opening(s) of said planar radiating element may be in the form of a flared notch or other configuration that allows for upward transmission of an RF signal.

In another embodiment of the invention, a load backrest for a lift truck is provided. The load backrest includes a frame adapted for connection to an elevating carriage and extending in a generally vertical plane above the carriage. Mounted or otherwise integrated into the frame is an antenna mounted in essentially the same vertical plane as said frame. The antenna has a radiating element of conducting material configured to have substantially uniform voltage distribution across the radiating element at low frequency and having at least one opening, wherein said antenna projects at least one radio frequency signal.

Yet another embodiment of the invention provides a data collection system for a lift truck with a lift carriage. The data collection system has a load backrest mounted to

the lift carriage. The load backrest includes an integrated antenna with a radiating element configured to have substantially uniform voltage distribution across the radiating element at a low frequency and having an opening configured for projecting a radio frequency signal. The data collection system also has a feed line coupled to the opening to communicate a signal for reading a RFID tag and a RFID tag reading system located elsewhere on the lift truck that includes a RFID radio and a central processing unit (CPU). The communication system may also include a power source for both the reader and the upward-looking antenna.

In another embodiment, a method of collecting inventory tracking data in a material handling environment is provided. The method includes the steps of providing location RFID tags in an inventory storage area, wherein the location of each RFID tag represent a unique location in the inventory storage area, and providing product RFID tags on the inventory, wherein the product RFID tags represent inventory contents. Additional steps include reading information from the location RFID tags and the product RFID tags using an RF signal transmitted from an antenna having a radiating element on a load backrest of a lift carriage and having a substantially uniform voltage distribution across the radiating element at low frequency, and communicating the RFID tag information to an inventory management system.

In a further embodiment, a method is provided for reading an overhead RFID tag using an antenna mounted on a lift truck. The method includes the steps of projecting in a predominantly upward direction a first RF signal that has, at half power, a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2; receiving a second signal from the RFID tag; and transmitting the second signal to a RFID reader. The minor dimension of the major lobe is aligned with the primary plane of the load backrest and the major dimension of the major lobe is aligned to the primary direction of travel of said lift truck.

In yet a further embodiment a RFID antenna for a lift truck is provided. The RFID antenna has a planar radiating element on an essentially-vertically-oriented load backrest. The radiating element is oriented transverse to the primary direction of travel of said lift truck. The antenna has a linear polarization aligned with the primary



plane of the load back rest and projects an electromagnetic signal in predominantly an upward direction.

Another embodiment of the invention provides a WLAN antenna for a lift truck. The WLAN antenna has a planar radiating element on an essentially-vertically-oriented load backrest. The radiating element is oriented transverse to the primary direction of travel of said lift truck and has a linear polarization aligned with the primary plane of the load back rest.

In an additional embodiment, a dual band antenna element is provided. The antenna element includes a radiating element of conducting material that has a substantially uniform voltage distribution across the radiating element at low frequency. The radiating element has a first opening and a second opening. Also included is a first radio frequency feed line coupled across the first opening in the radiating element, to radiate a radio frequency signal at a first frequency in essentially an upward direction. A second radio frequency feed line is coupled across the second opening in the radiating element to project a second radio frequency signal.

Additional features of the invention will be set forth in the description which follows, and in part will be obvious from the description, or may be learned by practice of the invention. The features of the invention may be realized and obtained by means of the instrumentalities and combinations particularly pointed out hereinafter.

#### BRIEF DESCRIPTION OF FIGURES

The accompanying drawings, which are included to provide further understanding of the invention and are incorporated in and constitute a part of this specification, illustrate embodiments of the invention and together with the description serve to explain the principles of the invention. In the drawings:

FIG. 1 provides a rear-side perspective view of a typical lift truck;

FIG. 2 provides a schematic of a typical pallet storage arrangement with RFID tags;

FIG. 3 provides a schematic of a lift truck with a load rest antenna according to one embodiment of the present invention at a pallet storage area equipped with RFID tags;

FIG. 4A provides a side view schematic of a lift truck with a load rest antenna according to one embodiment of the present invention at a loading dock door equipped with RFID tags;

FIG. 4B provides a front view schematic of a lift truck with a load rest antenna  
5 according to one embodiment of the present invention at a loading dock door equipped with RFID tags;

FIG. 5A provides a front view of a load backrest incorporating an upward looking antenna according to one embodiment of the present invention;

FIG. 5B provides a side view of a load backrest incorporating an upward looking  
10 antenna according to one embodiment of the present invention;

FIG. 6 provides a front perspective view of a flared notch antenna in accordance with an embodiment of the present invention;

FIG. 7 provides a rear perspective view of a flared notch antenna in accordance with an embodiment of the present invention;

15 FIG. 8 provides a representative signal pattern of principal planes for the antenna configuration of FIGS. 6 and 7;

FIG. 9 provides a rear view of a flared notch antenna for WLAN in accordance with an embodiment of the present invention;

FIG. 10 provides a rear view of a combined antenna system for RFID and WLAN;

20 FIG. 11 provides a system block diagram for a forklift-mounted communication system utilizing an antenna system according to embodiments of the present invention; and

FIG. 12 provides a flow chart of a method of collecting inventory tracking data in according to an embodiment of the present invention.

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## DETAILED DESCRIPTION OF THE INVENTION

Reference will now be made in detail to the particular embodiments of the present invention, examples of which are illustrated in the accompanying drawings.

FIG. 1 provides a rear-side perspective view of a typical lift truck **1015**, showing a lift  
30 carriage **1020** in an elevated position with conventional forklift tines **1010** and a conventional load backrest **1025** mounted to the lift carriage **1020**. The lift truck **1015** of FIG. 1 is representative of numerous lift trucks that may be used in a

material handling environment and configured for use in accordance with embodiments of the present invention.

FIG. 2 shows a front view of a pallet storage area **10** in a warehouse that incorporates radio-frequency identification (RFID) technology. Multiple pallets **1** loaded with inventory are placed on or under a set of racks **2**. Above each pallet location is a RFID tag **3** with information specific to identify the pallet location beneath each respective tag. The RFID tags **3** are generally passive (non-powered) tags; however active RFID tags are also contemplated within the scope of the present invention. When any pallet **1** is either placed on or removed from its respective storage location, the corresponding RFID tag **3** is to be "read" by a RFID tag reader (not shown) that communicates through a RFID antenna (not shown, but discussed below). The location information in the RFID tag **3** is communicated to a warehouse or inventory management system that associates the contents of the pallet with the RFID tag **3** location. Thus, it is essential for efficient warehouse operations that the proper RFID tag **3** is read when a pallet is placed or removed from the racks **2**. The RFID tags **3** in FIG. 2 are used to represent particular locations, which in the present example, are locations on storage racks **2**. Other RFID tags (not shown) may be included on the actual pallets **1** or on the inventory itself in order to be able associate particular pallets or inventory with the locations represented by RFID tags **3**.

FIG. 3 shows a side view of pallet storage area **10** with a lift truck **15** having a lift carriage **20** that includes an antenna **100** in accordance with an embodiment of the present invention. The term lift truck as used herein may be a forklift, clamp truck, pallet truck, hand truck, or other mobile material handling equipment, either powered or manually driven. In FIG. 3 the lift carriage **20** is shown either placing or removing the pallet **1b** from its respective location on the racks **2**. The RFID tag **3b** above the pallet **1b** is read by the antenna **100** that is located on a load backrest **25** of the lift carriage **20**. The term load backrest (or, interchangeably, load rest) as used herein may be any generally upright surface mounted between the load to be lifted and the lift truck operator area. The surface of the load backrest **25** may be derived from a structure having openings or may be substantially a continuous surface. A typical load rest may be mounted to a lift carriage to simultaneously maneuver the forklift

tines and the load rest of the lift truck. The antenna **100** emits a relatively low-power RFID signal that is typically in the UHF range of about 900 MHz, although other RFID frequencies may be used. A representative signal pattern or radiated power distribution **19** is shown emanating from antenna **100**. More particularly, the antenna

5 **100** emits a directed, low-power, essentially upward-looking signal that allows only the tag **3b** associated with the lifted pallet **1b** to be read, avoiding spurious or multiple tag reads. Some factors that contribute to a correct tag read include the location of the antenna, the primary direction on the antenna signal, the reader transmit power level, tag sensitivity, and the signal pattern or distribution respective

10 to the spacing between RFID tags.

Embodiments of the present invention may also be used to identify locations within a warehouse or other environment that a warehouse management system can then use to track inventory movement, for example, as occur in operations requiring moving goods from a trailer into a warehouse or vice versa. Referring now to FIGS.

15 4A and 4B, FIG. 4A shows a side view, and FIG. 4B shows a front view of a loading dock area with a lift truck **15** having a lift carriage **20** that includes an antenna **100** in accordance with an embodiment of the present invention. In the figures, the lift truck **15** with the lift carriage **20** is shown either placing or removing a pallet **1** onto or off of a mobile trailer **5**. The trailer **5** also includes a downward-facing RFID tag **8** located

20 on an unobstructed upper portion of the trailer. When entering the rear of the trailer **5**, the lift carriage **20** passes under a dock door with a doorjamb **6**. A RFID tag **7** is located on the underside of the doorjamb **6** crosspiece or in a similarly situated unobstructed portion near the dock door. It is understood that placement of RFID tag **7** and **8** can vary from the examples given. For example, a trailer **5** may have

25 an overhead RFID tag **8** that is forward facing and effectively responds to a signal from a RFID interrogating source antenna **100** that is positioned in front of or below the RFID tag **8**. Similarly, a doorjamb **6** may have a RFID tag **7** located on a front side of the doorjamb **6**. The lift carriage **20** includes a load backrest **25** with the antenna **100** mounted thereon or integrated therein. In accordance with an

30 embodiment of the present invention, the antenna **100** projects a signal pattern **29** in essentially an upward direction, with the read zone of the signal pattern limited to no more than approximately that of the doorjamb **6** opening so as to prevent reading of

unintended nearby tags on adjacent dock doors. A tag read zone may be controlled by antenna pattern shaping and by transmit power level control. Signal pattern shaping is discussed in more detail with the text regarding FIG. 8. Still referring to FIG. 4A and 4B, as the lift carriage **20** passes under the RFID tags **7, 8** the antenna **100** projects the signal **29** enabling reading of the tags **7, 8** for incorporation the tag embedded information into the warehouse management system.

Some embodiments of the invention may include an adjustable power level to allow for accurate RFID tag reading in different locations or environments. For example, in comparison with RFID tag reading associated with the loading of pallets shown in FIG. 3, the reading of RFID tags on a dock door or truck as shown in FIGS. 4A and 4B may require a more powerful signal from antenna **100**. A maximum transmit power level of, for example, 1 watt may be desired for reading overhead RFID tags; while a low power reading of, for example, 100 milliwatts may be desirable during a pallet pick. Adjustable power levels are not required, but may be effective in some environments.

FIG 5A shows an exemplary embodiment of antenna **100**, having a planar element **110** mounted on a typical load backrest **25**. In the embodiment shown on FIG. 5A, the antenna **100** is mounted directly to the load backrest **25**. No insulator or dielectric interface is required to mount the antenna because the planar element **110** has a substantially uniform voltage across its entirety at low frequency (e.g., less than 1 MHz). For example, substantially uniform voltage distribution allows the planar element **110** to be DC ground. Substantially uniform low frequency voltage is also a feature of other contemplated embodiments such an inverted "F" shaped antenna, a slot-type antenna, a waveguide antenna, or other grounded antennas. In the case of the notch radiating element, the notch will have a voltage difference or potential distribution across the slot at the operational frequency and can have an effective impedance value greater than 20 Ohms with a bandpass or highpass characteristic. In other words, there is at least a band of frequency values that can support effective radiation from the notch and often the notch can be designed to support one or more bands greater than a transition frequency and effective radiation cannot be supported at a frequency below a transition frequency. The transition frequency value is a function of the notch size and shape. At a low frequency the

notch will have an impedance value that is too low to effectively radiate RF energy from the notch. The impedance value at a low frequency will be less than one (1) Ohm. The antenna **100** can be affixed to the load backrest **25** by a number of suitable methods including welding, bolting, clamping, tongue-in-groove, or other mechanical means. Other means of affixing may include insulating means or electrically bonding means.

The planar element **110** is formed of a rugged material, such as quarter-inch (0.250") thick steel as shown in FIG. 5A. Lesser or greater thickness of the planar element may be suitable depending on the operating environment. Other materials can be used, such as solid aluminum, sheet steel, fiberglass (with an embedded metal mesh or fine microwave reflective material included in the fiberglass resin), steel mesh or a metal surface on a circuit board. The rugged design of planar element **110** allows for installation of the antenna **100** without a radome, cover, or other protection that is often required for conventional antennas. However, a radome could be used, if preferred, in some applications. The preferred embodiment of the antenna **100** is without a radome as the antenna is often in the line of view by the lift truck operator and an often opaque radome would block or obscure a viewing direction in some circumstances. The preferred embodiment of the antenna **100** can allow for mitigating blockage of view by inherent open spaces in the antenna **100** structure.

The load backrest **25**, as shown in FIG. 5A, includes a mounting area or cutout that is formed along the top of the load backrest **25**. The mounting area may be formed by a frame **26** on the bottom and each side of the mounting area. The frame **26** may include mounting brackets or slots to receive the antenna **100**. Other embodiments of the present invention are contemplated where the antenna **100** is integrally formed with the load backrest **25**. Alternatively, the antenna could be mounted on the top of a conventional load backrest (e.g., a backrest without a specifically designed antenna-mounting area) by the inclusion of additional interface brackets atop the backrest.

As shown in FIG. 5B, the thickness **28** of the antenna **100** is less than that of the thickness **27** of the load backrest **25**. While the embodiments of FIGs. 5A and 5B use a quarter-inch (0.250") thickness for the planar element **110** of antenna **100** the material thickness may be increased or decreased. Primary factors in determining

appropriate thickness include the desired structural strength and the ease of manufacture. For example, an eighth-inch (0.125") thickness may be appropriate in some light-duty material-handling applications. Generally, the thickness **28** of the antenna **100** should be less than the thickness **27** of the load backrest **25** to avoid  
5 unwanted protrusion into either the load area of the lift carriage or into the machinery behind the load rest. However, due to the extremely rugged steel construction of the planar element **110**, damage to the antenna would not be a concern if it should be necessary to have the planar element extend out into the load area..

While FIG. 5A shows an embodiment of the antenna system that incorporates a  
10 flared-notch design, other antenna configurations may be suitable for mounting to a load backrest to allow for transmission of RFID signals. Other configurations may include an inverted "F" shaped antenna, a slot-type antenna, a waveguide antenna, or other antennas with an opening to project RF signals. These other configurations can implement similar features, such as ruggedized construction, substantially  
15 uniform voltage distribution at low frequencies across the radiating element, and low profile that could allow for an implementation similar to that of the configurations shown in greater detail hereafter.

FIG. 6 provides a perspective view of the front of one embodiment of antenna **100**. The antenna **100** includes a planar element **110**, which as described above with  
20 respect to FIG. 5A, may be steel or other suitably robust metals to provide a DC ground. Transmitting antenna systems generally need a properly functioning ground system to provide for proper operator safety and efficient radiation of the maximum amount of RF energy. Because embodiments of the present invention have the antenna at DC ground, insulating layers or other grounding means are unnecessary.

25 The planar element can be mounted directly to a load backrest, which may simplify installation, reduce costs, and eliminate structurally weaker parts. Formed in planar element **110** are two notches **112**, **114** that extend from holes **111** and **113**, respectively, and flare to the top of the planar element. While the notches in FIG. 6 show a non-linear taper, other slot configurations are contemplated by the invention,  
30 such as, for example, a linear tapered notch or a non-tapered slot. The various shaped openings in the planar element **110** may be generally referred to as a notch. The preferred embodiment is a flared notch **112**, **114** as it can provide a good

impedance transition from the region of the transmission line coupling into the slot to the radiation environment of free-space.

A number of optional cutouts **115** are provided in the planar element **110** to, for example, provide improved operator visibility, reduce weight and/or reduce material costs (or form trademark indicia). Bolt holes **116** are similarly optional and may be provided to allow for mechanical mounting to the frame **26** (FIG. 5A) of the load backrest. Other mounting means, such as welding or clamping, would not require the use of bolt holes **116**. Optional protective guards **118** are also shown in FIG. 6. The guards may be made of an insulating material, such as, for example, high-density polyethylene (HDPE), and are placed so as to prevent objects from being pinched or caught in the converging sides of the flared notches. The optional guards **118** may be affixed to either the front side of planar element **110** (as shown) or on the rear side. In another embodiment, the guards **118** may be integrated into the antenna notch to fill in at least part of the space formed by the notch, so that, for example, the guard **118** is flush with the front and/or back surface of planar element **110**.

FIG. 7 provides a perspective view of the backside of an embodiment of antenna **100**. The antenna is shown mounted on the load backrest **25** in a cutout formed by an embodiment of frame **26**. The optional cutouts **115**, bolt holes **116**, and protective guards **118** shown in FIG. 6 are removed from FIG. 7 for clarity. A power distribution and feed circuit board **120** is mounted on the rear surface of the planar element **110**, so that the feed circuit is essentially parallel to the planar element **110**. The power distribution and feed circuit board **120** is a laminate construction made of materials that provides a controlled dielectric constant and low loss. A wide variety of materials will function, including polyethylene, polytetrafluoroethylene, (PTFE), polyisobutylene, silicon rubber, polystyrene, polyphenylene, alumina, beryllia and ceramic. The power distribution and feed circuit board **120** includes feed lines or traces **122**, **124** which are conventional microstrip transmission lines that can feed or couple RF energy into the narrow slot section of each respective flared notch **112**, **114**, near each respective hole (holes **111**, **113** shown in FIG. 6). The narrow slot section of the flare is a region of low RF energy radiation and the narrow slot itself can be a transmission structure of RF energy as is the microstrip transmission line.



The holes **111**, **113** are effective as projecting an open circuit boundary to the narrow slot portion of the slot structure and effectively reverse the flow of the portion of RF energy flowing in the narrow slot toward the holes **111**, **113** to the direction of the increasing slot width. Other materials and structures for the feed lines **122**, **124** may be used, as are known in the art; while in some embodiments feed lines may not be required. In the embodiment shown in FIG. 7, the feed lines receive RF energy via a coaxial cable connection **140**. The RF energy from the coaxial cable connection **140** is split between the feed lines **122** and **124** by a conventional power divider (not shown). Thus, the notches **112**, **114** are coupled to form an array. A coaxial cable (not shown) may extend from connection **140** to a RFID radio transceiver **422** (FIG. 11) mounted, for example, either on the load backrest or elsewhere on the lift truck. In other embodiments, coaxial cable connection **140** can be eliminated in favor of direct soldering or coupling through other known connector means.

The radius and/or length of the flared notches **112**, **114** can be varied for a given installation depending upon the desired signal pattern width. The planar element **110** of FIGS. 6 and 7 shows two flared notches **112**, **114**; however, a single notch or more than two notches could be used. Generally, the use of more than one notch element allows for improved pattern shaping of the RF signal; but the number of notches may be limited by other factors, such as the space available in a given load backrest configuration. For example, a single notch configuration may be sufficient in cases where the antenna is placed sufficiently close to the desired tag that to allow a low-power signal would only interpret the single tag. Conversely, planar elements with three or more notches may be desirable in instances where a more precise pattern is required and there is sufficient width to accommodate such an antenna structure.

FIG. 8 provides a representative signal pattern for principle planes for the two-notch antenna embodiment shown in FIGS. 6 and 7. The pattern **170a** for the H-plane (i.e., front-to-back relative to the lift truck) is significantly larger than the pattern **170b** for the E-plane (i.e., side-to-side). In other words, the antenna produces a major lobe whose transverse cross-section has a large ratio of major to minor dimensions. In particular embodiments of the present invention, the ratio of major to minor dimensions at half power may be greater than or equal to 2. The spacing of the

notches for a given installation may vary depending upon the wavelength of the RF transmission. For a signal frequency of approximately 900 MHz, for example, the notches **112** and **114** of FIG. 7 could be spaced about 8 inches (8") apart.

The following discussion refers to FIGS. 2-8 collectively. In the embodiment shown in FIGS. 5, 6 and 7, the antenna **100** has a linear polarization aligned with the primary (i.e., vertical) plane of the load back rest **25**. Many conventional RFID tags **3** are also linearly polarized (LP). A LP RFID tag **3** may be placed overhead (such as the crosspiece of door jamb **6** of FIG. 4A) or on a rack system (such as rack system **2** of FIG. 2) with the linear polarization aligned with the doorway or rack support structure (i.e., in the E-plane). This alignment is transverse to the direction of the lift truck **15** and can be defined as a horizontal linear polarization parallel to floor. As mounted on load rest **25**, antenna **100** also has a horizontal linear polarization. Because antenna **100** has a matched polarization orientation (i.e., horizontal) and type (i.e., linear) with the RFID tags **3**, the likelihood of a successful tag read is increased.

FIG. 9 shows rear view of an exemplary embodiment of a wireless local area network (WLAN) antenna system for mounting on the load backrest of a lift carriage, for use in communicating with a warehouse management system. The antenna system **200** includes a planar element **210**, which may be steel or other suitably robust metals that provide a suitable electrical conductivity to serve as a DC ground. Formed in planar element **210** are two notches **212**, **214** that flare to the top of the planar element **210**. While the notches in FIG. 9 show a non-linear taper, other slot configurations are contemplated by the invention, such as, for example, a linear tapered notch, a non-tapered slot, or other opening. The various shaped openings in the planar element **210** may be generally referred to as a notch. As described with respect to the embodiment shown in FIG. 6, optional cutouts, bolt holes, and protective guards are similarly contemplated for embodiments of antenna **200**. In FIG. 9, the planar element **210** may be mounted to the load backrest via any suitable means, including bonding, clamping, welding, or tongue-in-groove. Feed circuit boards **222**, **224** are mounted using conventional methods on the rear surface of the planar element **210**. Feed circuit board **222** is mounted across the base of flared notch **212**; while feed circuit board **224** is mounted across the base of flared notch

**214.** In the embodiment shown in FIG. 9, each circuit board receives RF energy via separate coaxial cable. Feed circuit board **222** is connected to RF cable **224**, and feed circuit board **224** is connected to RF cable **244**. The coaxial cables **242** and **244** may extend from an Institute of Electrical and Electronics Engineers (IEEE) standard 802.11 wireless network radio **424** (FIG. 11) or other radio mounted, for example, either on the load backrest or elsewhere on the lift truck. The coaxial cables **242** and **244** may be detachably connected or permanently connected to their respective feed circuit boards **222** and **224**.

Still referring to FIG. 9, the feed circuit boards **222** and **224** carry RF energy across the respective base portion of each respective flared notch **212**, **214** to create two separate antennas. In the embodiment of FIG. 9, the two antennas (one at each of notches **212** and **214**) are formed using the single planar element **210**. The notches **212** and **214** are spaced at least approximately one wavelength and typically approximately two wavelengths apart to achieve a spatial diversity for combating fading due to multipath signal propagation between one or both of the WLAN antennas and an access point of the network and improve signal reception, as is generally known in the art. While the embodiment of FIG. 9 includes two WLAN antennas in planar element **210**, a different number of antennas can be used. For example, a single antenna could be used, or three or more antennas could be used. The spacing of the notches for a given installation may vary depending upon the wavelength of the RF transmission. For a signal frequency of approximately 2.4 GHz, for example, the notches could be spaced at least about 5 inches (5") apart. The WLAN signals can use a number of options to communicate with the warehouse management system, and 802.11 networks or other wireless communication means.

FIG. 10 shows rear view of an exemplary embodiment of an antenna system that incorporates both RFID tag reading and WLAN capabilities. As described in relation to previous figures, the antenna system is configured for mounting on the load backrest of a lift carriage, for use in communicating with a warehouse management system. The antenna system **300** includes a planar element **310** that incorporates two flared notches **312**, **314** for RFID communications and two smaller flared notches **316**, **318** for WLAN communications. Optional cutouts, bolt holes, and protective guards are contemplated for embodiments of the antenna **300**, but are not

shown for clarity. A RFID power distribution and feed circuit board **320** is mounted on the rear surface of the planar element **310**. The power distribution and feed circuit board **320** includes feed lines **322**, **324** which are conventional microstrip transmission lines that can feed RF energy across the base of each respective flared notch **312**, **314**, at a crossing location near each respective hole. In the embodiment shown in FIG. 10, the feed lines receive RF energy via a coaxial cable connection **340**. In other embodiments, coaxial cable connection **340** can be eliminated in favor of direct soldering or other known coupling or connector means.

Still referring to FIG. 10, WLAN feed circuit boards **326**, **328** are mounted on the rear surface of the planar element **310** by conventional means. The feed circuit board **326** is mounted across the base of flared notch **316**; while feed circuit board **328** is mounted across the base of flared notch **318**. Each circuit boards receives RF energy via separate coaxial cable (not shown) or other suitable cable. The coaxial cables may extend from an 802.11 network radio **424** (FIG. 11) mounted, for example, either on the load backrest or elsewhere on the lift truck.

FIG. 11 provides a system block diagram for a lift-truck-mounted communication system **400** utilizing an antenna system according to embodiments of the present invention. Ruggedized antenna structure **410** may be mounted at various locations on the lift truck, including, for example, on the load backrest of a forklift. The antenna structure **410** may include, for example, an upward looking dual notch RFID antenna **412** and two wireless network antennas **414**, **416**. While three antennas are shown as part of antenna structure **412** in FIG. 11, other antenna combinations are also contemplated within the scope of embodiments of the present invention. The RFID antenna is operatively connected to RFID radio transceiver **422**; while the wireless network antenna **414**, **416** are operatively connected to an wireless communications radio (e.g., an IEEE standards 802.11 radio) **424**. The radio transceiver **422** and communications radio **424** may be housed or otherwise operatively connected to a central processing unit (CPU) **426** in a RFID reader **420**. Signals from RFID antenna **412** are transmitted through the RFID radio transceiver **422** to a CPU **426**. The CPU **426** interprets the RFID signal and transmits information to a warehouse management system (not shown) via wireless network radio **424** and antennas **414**, **416**. The CPU may also contain a power source **430**

for the radio transceiver **422** and communications radio **424** and antennas **412**, **414**, **416**. As noted above with respect to FIGS. 4A and 4B, the power level of the RFID antenna **412** and/or reader **420** may be adjusted to suit particular applications, such as reading a RFID tag at a pallet location or reading a RFID tag at a loading dock door. The reader **420** may be located, for example, on the load rest of the lift truck, in the operator's cabin, or partially in each location. A power supply **430** from, for example, the forklift battery provides power for the communication system **400**.

FIG. 12 provides a flow chart for a method **500** of collecting inventory tracking data in a material handling environment according to an embodiment of the invention.

Method **500** includes a first step **505** of providing location RFID tags in an inventory storage area. The location tags may be located throughout the storage area, such as on pallet storage shelves, dock doors and other points where knowledge of specific locations is desired. The location of the RFID tags may each represent a unique location in the inventory storage area; or, in some cases, a group of tags could be used to represent a unique area. In step **510**, product RFID tags are provided on the inventory. Product RFID tags represent inventory contents and may have, for example, a single tag for an entire pallet, multiple tags on different places throughout the pallet contents, or tags on each inventory segment on the pallet. In step **515**, information from the location RFID tags and the product RFID tags is read using an RF signal transmitted from an antenna having a radiating element on a load backrest of a lift carriage and having a substantially uniform voltage distribution across the radiating element at low frequency. In an alternative embodiment, step **515** may include reading location tags using an antenna mounted on the load-rest, while product RFID tags may be read using a separate antenna located elsewhere on the lift truck. In step **520**, the RFID tag information is communicated to the inventory management system. RFID tag information may be communicated using a wireless network antenna co-located on the radiating element of the load backrest antenna to send a second RF signal. Alternatively, the wireless network antenna may be located elsewhere on the lift truck.

Additional advantages and modifications will readily occur to those skilled in the art. Therefore, the invention in its broader aspects is not limited to the specific details and representative embodiments shown and described herein. Accordingly, various

modifications may be made without departing from the spirit or scope of the general invention concept as defined by the appended claims and their equivalents.

## CLAIMS

1. An antenna element for use on the load backrest of a lift truck, comprising:  
a radiating element of conducting material that has a substantially uniform  
5 voltage distribution across the radiating element at low frequency; and  
a radio frequency feed line, the feed line being coupled across an opening in  
the radiating element, wherein the radiating element is adapted to be affixed to a  
portion of said load backrest so as to project at least one radio frequency signal in an  
essentially upward direction.  
10
2. The antenna element of claim 1, wherein the radiating element is configured  
for mounting on the load backrest so as not to protrude into a plane of a load area of  
the lift truck.
- 15 3. The antenna element of claim 1, wherein said element is affixed to the load  
backrest by at least one of welding, clamping, bolting and tongue-in-groove mounting.
4. The antenna element of claim 1, wherein the radiating element is a planar  
element and the opening of said planar radiating element is a notch formed therein  
20 and said feed line is coupled across the notch.
5. The antenna element of claim 4, wherein the material of the planar radiating  
element is at least one-eighth inch (1/8") thick steel.
- 25 6. The antenna element of claim 4, wherein the planar radiating element  
includes cutouts.
7. The antenna element of claim 4, wherein the feed line is coupled to an  
opening to project and/or receive a signal for communication with a wireless network.  
30
8. The antenna element of claim 7, wherein said signal is transmitted over an  
IEEE standard 802.11 wireless network.

9. The antenna element of claim 7, wherein said planar radiating element has a second notch and a second feed line, and wherein each feed line coupled across each of the notches is for a wireless network.

5

10. The antenna element of claim 4, wherein the feed line is adapted for a RFID tag reader, wherein the radio frequency signal is projected from the notch in a generally upward direction.

10 11. The antenna element of claim 10, wherein said planar radiating element has a second notch, the first and second notches being coupled to form an array.

12. The antenna element of claim 11, wherein the first and second notches are flared notches and the effective read zone of said projected radio frequency signal is  
15 limited to essentially the width of a dock door.

13. The antenna element of claim 10, wherein the projected radio frequency signal has a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2.

20

14. The antenna element of claim 10, wherein at least a second feed line is coupled to a second opening to project and/or receive a signal for communication with a wireless network.

25 15. The antenna element of claim 4, wherein the planar radiating element comprises a first and a second notch, the first and the second flared notch coupled to a feed line adapted for a RFID tag reader, and further comprising a third opening, the third opening coupled to a feed line adapted to transmit and/or receive a wireless network signal.

30

16. The antenna element of claim 1, wherein the antenna element is uncovered.



17. A load backrest for a lift truck, comprising:

a frame adapted for connection to an lift carriage and extending in a generally vertical plane above the carriage; and

an antenna configured to have substantially uniform voltage distribution  
5 across the radiating element at low frequency and to be in essentially the same vertical plane as said frame, wherein said antenna has a radiating element of conducting material with at least one opening, said radiating element configured to project at least one radio frequency signal.

10 18. The load backrest of claim 17, wherein said frame further comprises a mounting bracket to receive said antenna.

19. The load backrest of claim 17, wherein the radiating element is configured for mounting on the load backrest so as not to protrude into a plane of a load area of the  
15 lift truck.

20. The load backrest of claim 17, wherein the radiating element is a planar element and the opening of said planar radiating element is at least one notch formed therein.

20 21. The load backrest of claim 20, wherein the planar radiating element includes cutouts.

22. The load backrest of claim 20, further comprising at least one feed line  
25 coupled to the opening to project or receive a signal.

23. The load backrest of claim 22, wherein said signal is an wireless network signal for communication with a warehouse management system.

30 24. The load backrest of claim 22, wherein said opening is a notch and said signal is for a RFID tag reader.

25. The load backrest of claim 24, wherein the projected signal at half power has a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2.

5 26. The load backrest of claim 25, further comprising at least a second feed line coupled to a second opening to project or receive a wireless network signal for communication with a warehouse management system.

10 27. A data collection system for a powered industrial truck with a lift carriage, said system comprising:

a load backrest mounted to said lift carriage, said load backrest including an integrated antenna with a radiating element configured to have substantially uniform voltage distribution across the radiating element at low frequency and having an opening configured for projecting at least one radio frequency signal;

15 a feed line coupled to the opening to communicate a signal for reading a RFID tag;

a RFID tag reading system that includes a RFID radio and a CPU; and  
a power source for said reader and said antenna.

20 28. The data collection system of claim 27, further comprising a second feed line coupled to a second opening to communicate a signal for a wireless network, and wherein said reader further includes a wireless network radio.

25 29. The data collection system of claim 27, wherein the second one of the openings is vertical slot for communicating over an 802.11 network.

30. The data collection system of claim 27, wherein the opening is a flared notch to project the signal in a predominantly upward direction.

30 31. A method of collecting inventory tracking data in material handling environment, said method comprising the steps of:

providing location RFID tags in an inventory storage area, wherein the location RFID tags represent unique location in the inventory storage area;

providing product RFID tags on the inventory, wherein the product RFID tags represent inventory contents;

5       reading information from the location RFID tags and the product RFID tags using an RF signal transmitted from an antenna having a radiating element on a load backrest of a lift carriage and having a substantially uniform voltage distribution across the radiating element at low frequency; and

10       communicating the RFID tag information to an inventory management system.

32.   The method of claim 31, wherein the signal transmitted from the antenna is a RF signal that has, at half power, a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2.

15   33.   The method of claim 31, wherein the step of communicating the RFID tag information is conducted using a wireless network antenna co-located on the radiating element to send a second RF signal.

20   34.   The method of claim 31, wherein the radiating element is configured to project the RF signal transmitted from the antenna in a predominantly upward direction.

35.   The method of claim 31, wherein said antenna has a linear polarization aligned with the primary plane of the load backrest.

25   36.   A method for reading an overhead RFID tag using an antenna mounted on a lift truck, comprising:

      projecting in a predominantly upward direction a first RF signal that has, at half power, a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2;

30       receiving a second signal from the RFID tag; and  
      transmitting the second signal to a RFID reader.

37. The method of claim 36, wherein said antenna has a radiating element having a substantially uniform voltage distribution across the radiating element at low frequency.

5 38. The method of claim 36, wherein information about the second signal is transmitted to an inventory management system using a wireless network antenna co-located on the radiating element.

10 39. The method of claim 36, wherein the antenna has an adjustable power level and the power level is adjusted to allow for accurate RFID tag reading in different applications.

40. A RFID antenna for a lift truck, comprising:  
a planar radiating element on an essentially-vertically-oriented load backrest,  
15 said radiating element oriented transverse to the primary direction of travel of said lift truck,  
wherein said antenna has a linear polarization aligned with the primary plane of the load back rest and is configured for projecting an electromagnetic signal in predominantly an upward direction.

20

41. The antenna of claim 40, wherein said radiating element has a substantially uniform voltage distribution across the radiating element at low frequency.

25 42. The antenna element of claim 40, wherein the radiating element is configured on the load backrest so as not to protrude into a plane of a load area of the lift truck.

43. The antenna element of claim 40, wherein said element is affixed to the load backrest by at least one of welding, bolting and tongue-in-groove mounting.

30 44. A WLAN antenna for a lift truck, comprising:

a planar radiating element on an essentially-vertically-oriented load backrest, said radiating element oriented transverse to the primary direction of travel of said lift truck,

wherein said antenna has a linear polarization aligned with the primary plane  
5 of the load back rest.

45. The antenna of claim 44, wherein said radiating element has a substantially uniform voltage distribution across the radiating element at low frequency.

10 46. A dual band antenna element, comprising:

a radiating element of conducting material that has a substantially uniform voltage distribution across the radiating element at low frequency, said radiating element comprising a first opening and a second opening; and

a first radio frequency feed line coupled across said first opening in the  
15 radiating element, to radiate a radio frequency signal at a first frequency in essentially an upward direction;

a second radio frequency feed line coupled across said second opening in the radiating element, wherein the radiating element is configured for projecting a second radio frequency signal.

20

47. The antenna element of claim 46, wherein the material of the radiating element is at least one-eighth inch (1/8") thick steel.

48. The antenna element of claim 46, wherein the radiating element is a planar  
25 radiating element.

49. The antenna element of claim 46, wherein the first feed line is adapted for an RFID tag reader.

30 50. The antenna element of claim 49, wherein the feed line is coupled to an opening to project and/or receive a signal for communication with a wireless network.

51. The antenna element of claim 46, wherein said radiating element has a third opening, the first and third openings being coupled to said first feed line to form an array.
- 5 52. The antenna element of claim 51, wherein the first and third openings are flared notches and the effective read zone of said projected radio frequency signal from said first and third openings has, at half power, a major lobe with a transverse cross-section that has a ratio of major to minor dimensions greater than or equal to 2.
- 10 53. The antenna element of claim 1, wherein the antenna element is uncovered.

Fig. 1  
Prior Art

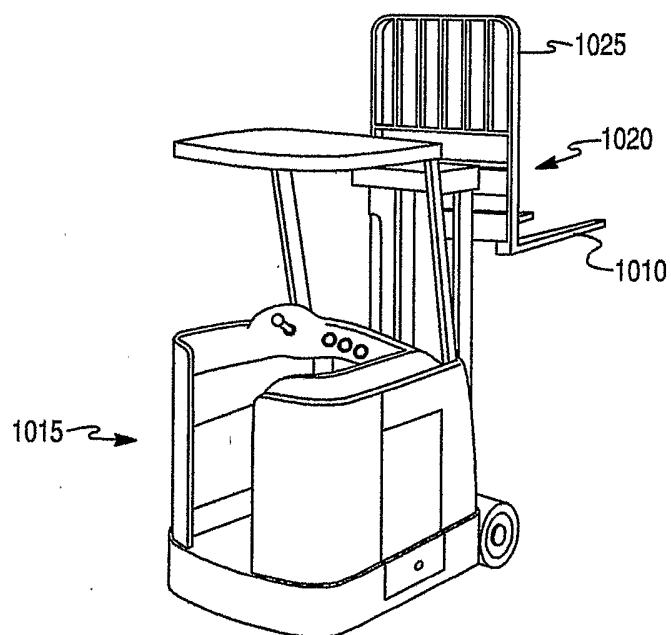


Fig. 2

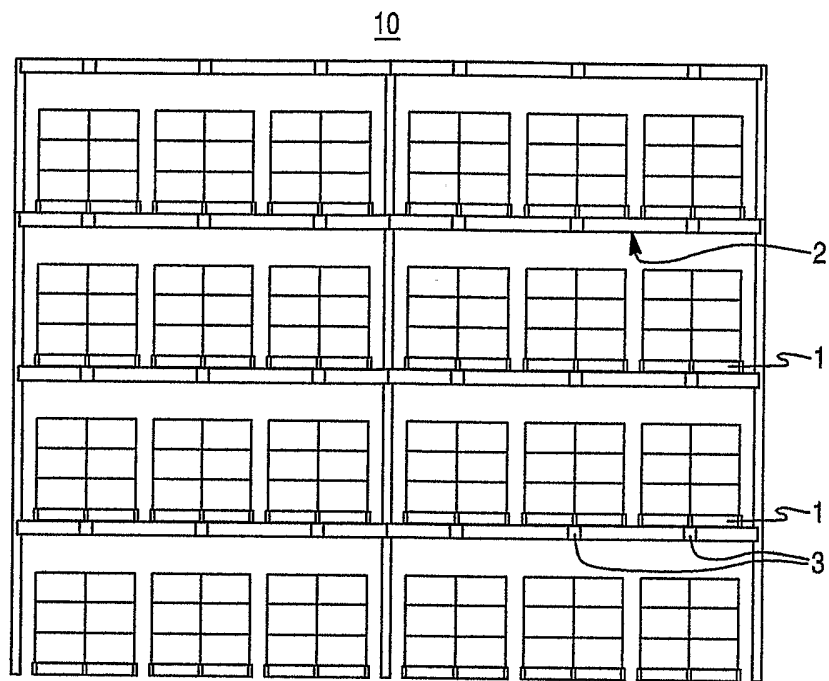




Fig. 3

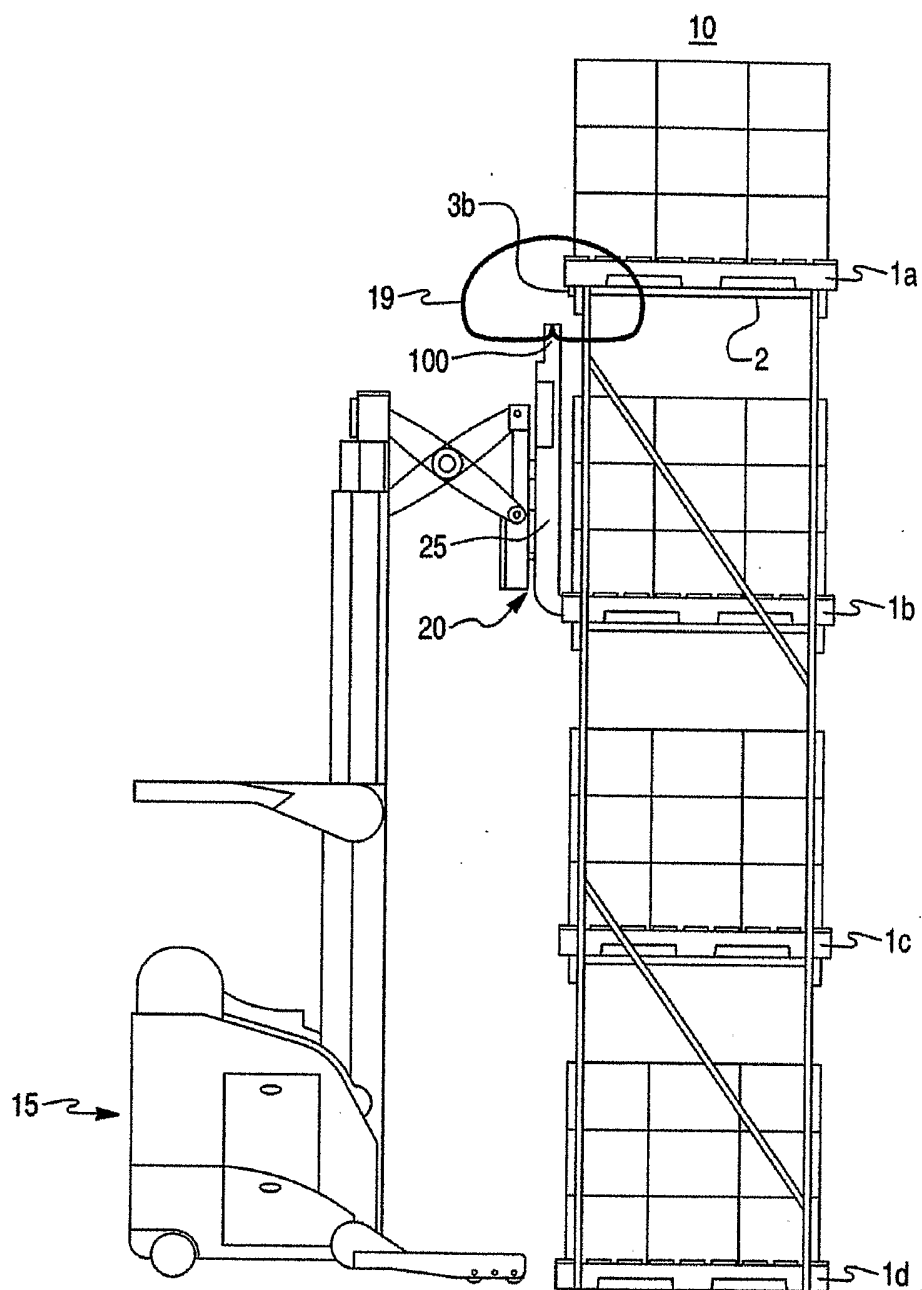


Fig. 4A

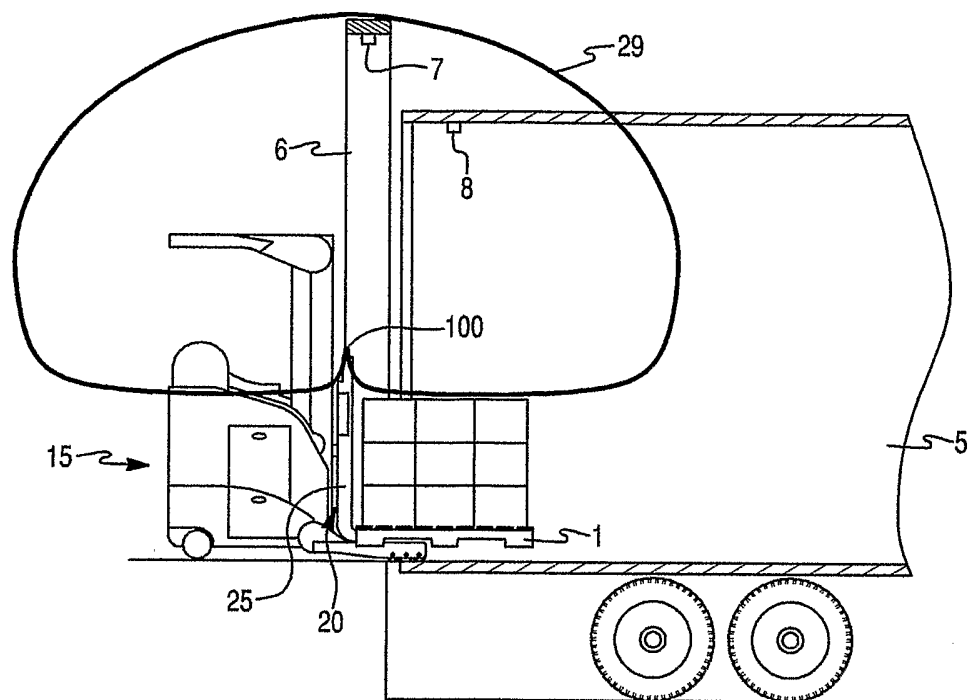


Fig. 4B

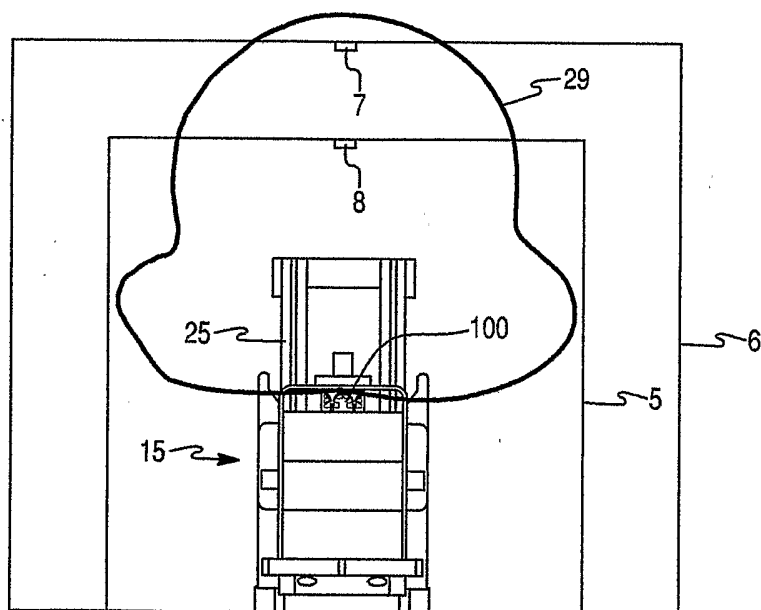


Fig. 5A

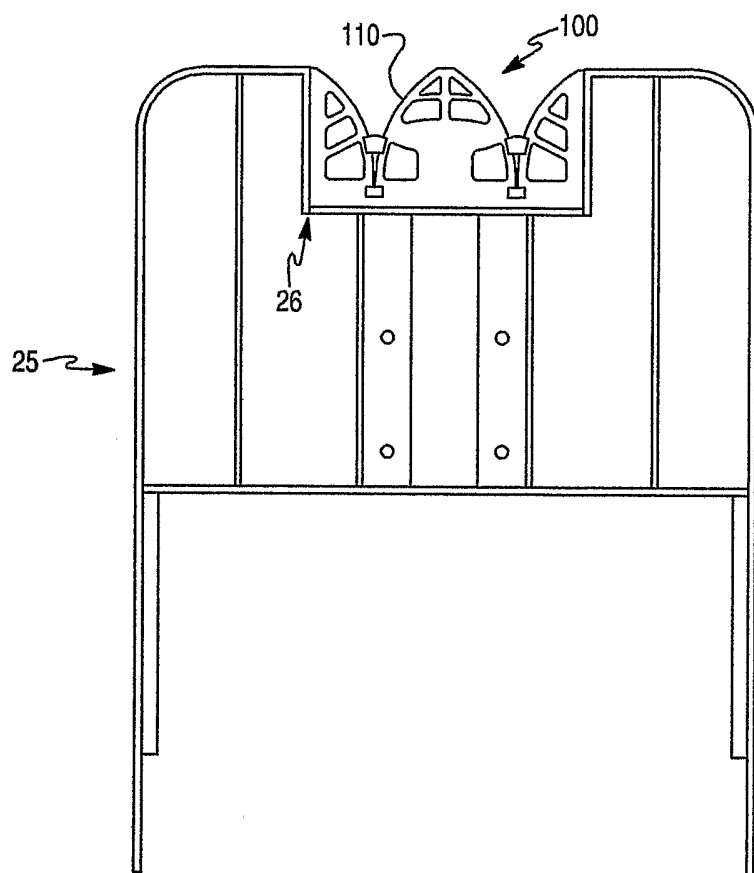


Fig. 5B

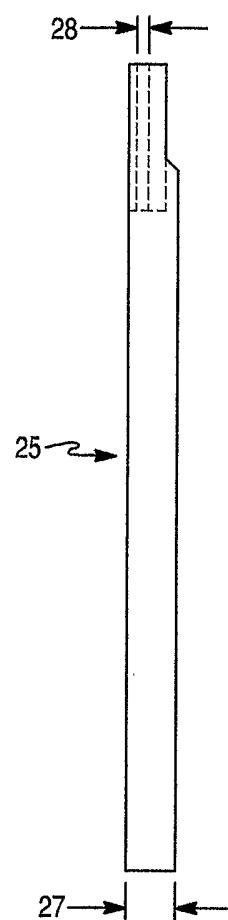


Fig. 6

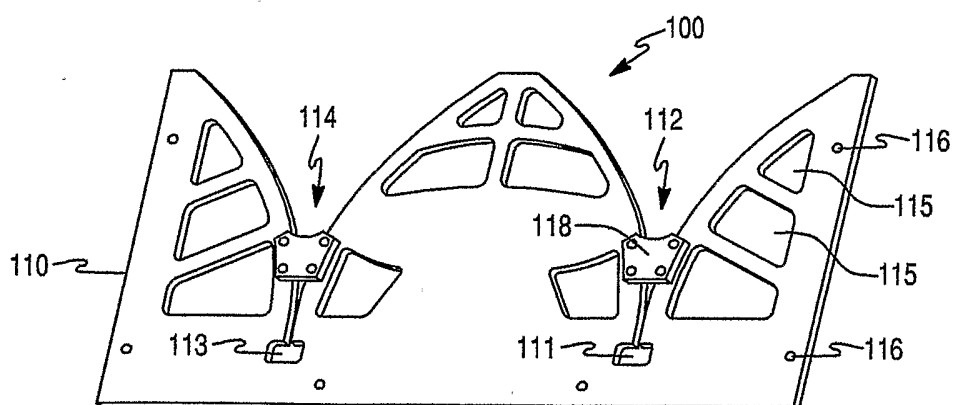


Fig. 7

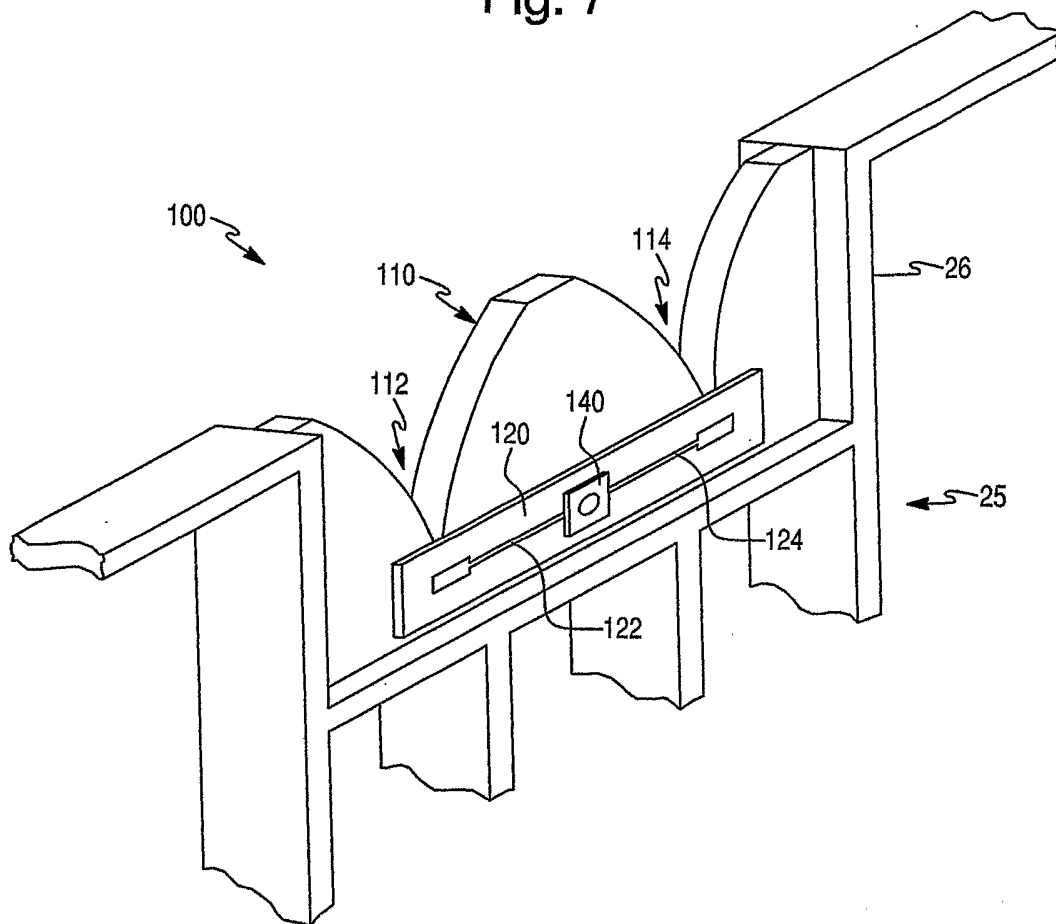


Fig. 8

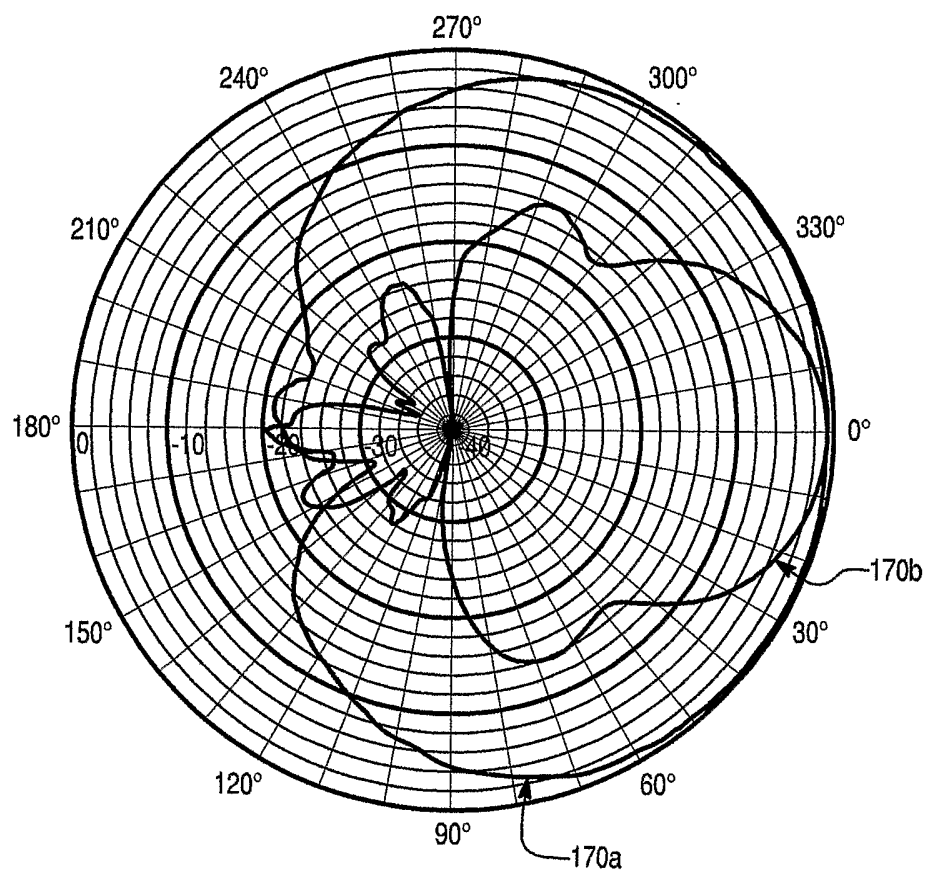


Fig. 9

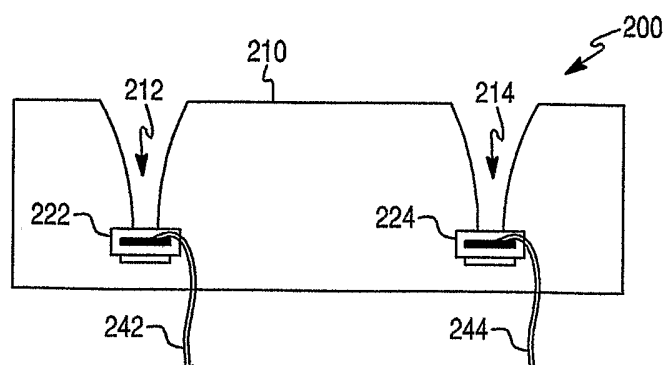


Fig. 10

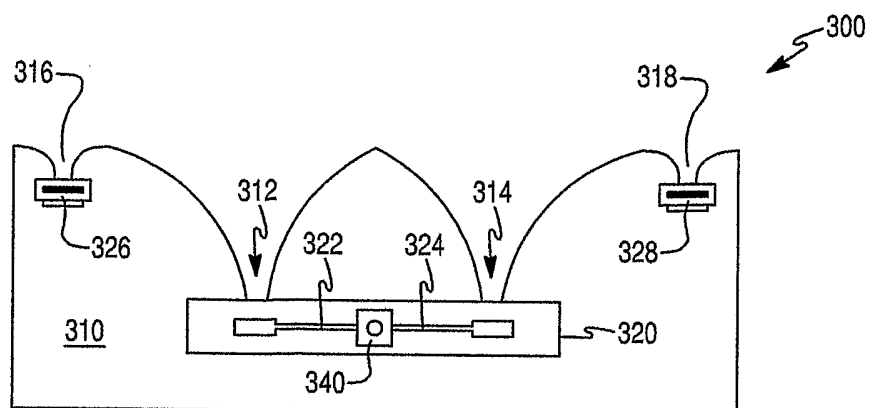


Fig. 11

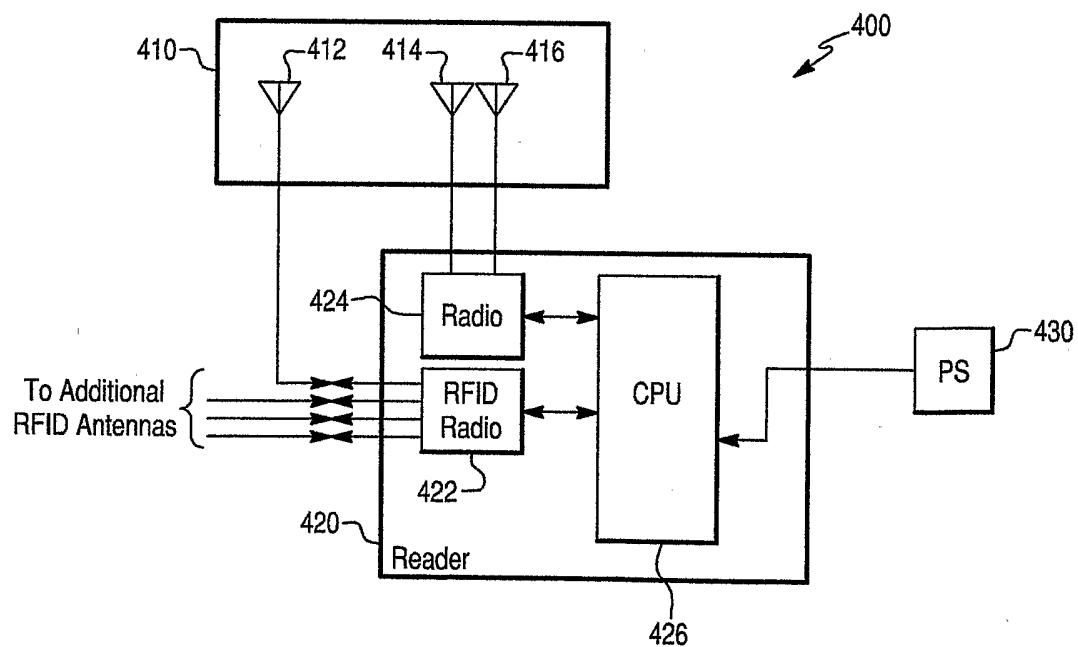


Fig. 12

500