



US00RE40792E

(19) **United States**
(12) **Reissued Patent**
Kelly

(10) **Patent Number:** **US RE40,792 E**
(45) **Date of Reissued Patent:** **Jun. 23, 2009**

(54) **HEALTH SUPPLEMENTS CONTAINING PHYTO-OESTROGENS, ANALOGUES OR METABOLITES THEREOF**

5,506,211 A 4/1996 Barnes et al. 514/27
5,516,528 A 5/1996 Hughes et al.
5,523,087 A 6/1996 Shlyankevich
5,530,112 A 6/1996 Greenshields et al. 536/123.1

(75) Inventor: **Graham Edmund Kelly**, Northbridge (AU)

(Continued)

(73) Assignee: **Novogen Research Pty Ltd**, New South Wales (AU)

FOREIGN PATENT DOCUMENTS

(21) Appl. No.: **09/602,191**

AU B-80655/87 5/1988
AU A-10227/95 7/1995
AU A-24813/97 6/1997
AU A-73072/98 9/1999
AU A-27714/00 11/2000
DE 44 32 947 A1 3/1996
EP 0129667 1/1985
EP 0135172 3/1985
EP 0136569 4/1985
EP 0 412 211 A1 2/1991
EP 0426998 A2 5/1991
EP 0 671 170 A1 9/1995
EP 0 682 877 A1 11/1995
EP 0 682 887 B1 11/1995
EP 0 795 553 A1 9/1997
EP 0 906 761 A2 4/1999
GB 1 322 844 10/1973
GB 1 482 238 8/1977
GB 1 495 189 A 12/1977
GB 1482238 8/1997
JP S50-0035393 4/1975
JP S50-101360 A 8/1975
JP S50-160483 A 12/1975
JP S61-247396 A 4/1986
JP 61-246124 A 11/1986

(22) PCT Filed: **May 19, 1993**

(86) PCT No.: **PCT/AU93/00230**

§ 371 (c)(1),
(2), (4) Date: **Jan. 12, 1995**

(87) PCT Pub. No.: **WO93/23069**

PCT Pub. Date: **Nov. 25, 1993**

Related U.S. Patent Documents

Reissue of:

(64) Patent No.: **5,830,887**
Issued: **Nov. 3, 1998**
Appl. No.: **08/338,567**
Filed: **May 19, 1993**

(30) **Foreign Application Priority Data**

May 19, 1992 (AU) PL 2511

(51) **Int. Cl.**

A61K 31/56 (2006.01)
A01N 43/04; A61K 31/70

(52) **U.S. Cl.** **514/182**; 426/545; 549/403;
549/406; 514/25; 424/464; 424/423; 424/449;
424/451

(58) **Field of Classification Search** 424/195.1,
424/464, 423, 449, 451; 514/182, 25; 426/545;
549/403, 406

See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

3,471,520 A 10/1969 Irmischer et al.
3,535,344 A 10/1970 Irmischer et al.
3,973,608 A 8/1976 Umezawa et al. 195/80
4,157,984 A 6/1979 Zilliken
4,200,692 A 4/1980 Puls et al.
4,232,122 A 11/1980 Zilliken
4,264,509 A 4/1981 Zilliken
4,301,251 A 11/1981 Rumyantseva et al. 435/267
4,366,082 A 12/1982 Zilliken
4,379,177 A * 4/1983 McCoy et al. 426/656
4,390,559 A 6/1983 Zilliken 426/545
4,428,876 A 1/1984 Iwamura
4,557,927 A 12/1985 Miyake et al.
4,814,346 A 3/1989 Albert et al.
5,141,746 A 8/1992 Fleury et al. 424/195.1
5,153,230 A 10/1992 Jafery
5,247,102 A 9/1993 Kállay et al.
5,320,949 A 6/1994 Shen 435/68.1
5,352,384 A 10/1994 Shen 252/398
5,424,331 A 6/1995 Shlyankevich
5,498,631 A 3/1996 Gorbach et al.

(Continued)

OTHER PUBLICATIONS

Sharma, Atherosclerosis, (1979), vol. 33, pp. 371–375.*
U.S. Appl. No. 09/546,565 filed Apr. 11, 2000, Kelly et al.
U.S. Appl. No. 10/176,762 filed Jun. 21, 2002, Kelly et al.
U.S. Appl. No. 10/177,387 filed Jun. 21, 2002, Kelly et al.
U.S. Appl. No. 10/181,549 filed Jan. 22, 2001, Husband et al.
U.S. Appl. No. 10/212,847 filed Aug. 5, 2002, Kelly et al.
U.S. Appl. No. 10/250,858 filed Jan. 16, 2002, Husband.
U.S. Appl. No. 10/421,659 filed Apr. 22, 2003, Kelly.
U.S. Appl. No. 10/469,957 filed Mar. 8, 2002, Heaton et al.
U.S. Appl. No. 10/471,668 filed Mar. 15, 2002, Husband et al.
U.S. Appl. No. 10/493,390 filed Oct. 25, 2002, Kelly et al.
U.S. Appl. No. 10/510,837 filed Oct. 8, 2004, Kelly et al.
U.S. Appl. No. 10/611,151 filed Jul. 2, 2003, Kelly.

(Continued)

Primary Examiner—Patrick T Lewis
(74) *Attorney, Agent, or Firm*—Finnegan, Henderson, Farabow, Garrett & Dunner L.L.P.

(57) **ABSTRACT**

Compositions enriched with natural phyto-oestrogens or analogues thereof selected from Genistein, Daidzein, Formononetin and Biochanin A. These may be used as food additives, tablets or capsules for promoting health in cases of cancer, pre-menstrual syndrome, menopause or hypercholesterolaemia.

18 Claims, No Drawings

U.S. PATENT DOCUMENTS

5,547,866	A	8/1996	Durzan et al.	435/123
5,554,519	A	9/1996	Weber et al.	435/125
5,569,459	A	10/1996	Shlyankevich	
5,637,561	A	6/1997	Shen et al.	514/2
5,639,785	A	6/1997	Kung	
5,679,806	A	10/1997	Zheng et al.	549/403
5,700,669	A	12/1997	Hanson et al.	435/123
5,702,752	A	12/1997	Gugger et al.	
5,726,034	A	3/1998	Bryan et al.	435/68.1
5,733,926	A	3/1998	Gorbach	
5,763,389	A	6/1998	Shen et al.	514/2
5,789,581	A	8/1998	Matsuura et al.	536/128
5,792,503	A	8/1998	Gugger et al.	426/634
5,804,234	A	9/1998	Suh et al.	
5,830,887	A	11/1998	Kelly	514/182
5,855,892	A	1/1999	Potter et al.	
5,942,539	A	8/1999	Hughes, Jr. et al.	
6,004,558	A	12/1999	Thurn et al.	
6,060,070	A	5/2000	Gorbach	
6,146,668	A	11/2000	Kelly et al.	
6,235,773	B1	5/2001	Bissett	
6,340,703	B1	1/2002	Kelly	
6,455,032	B1	9/2002	Kelly et al.	
6,497,906	B1	12/2002	Kelly	
6,562,380	B1	5/2003	Kelly	
6,599,536	B1	7/2003	Kelly et al.	
6,642,212	B1	11/2003	Kelly	
6,649,648	B1	11/2003	Kelly et al.	
6,987,098	B2	1/2006	Kelly	
7,045,155	B2	5/2006	Kelly	
2002/0035074	A1	3/2002	Kelly	
2002/0198248	A1	12/2002	Kelly et al.	
2003/0018060	A1	1/2003	Kelly et al.	
2003/0059384	A1	3/2003	Kelly et al.	
2003/0078214	A1	4/2003	Kelly	
2003/0157225	A1	8/2003	Husband et al.	
2003/0219499	A1	11/2003	Kelly et al.	
2004/0048812	A1	3/2004	Kelly	
2004/0072765	A1	4/2004	Kelly et al.	
2004/0116498	A1	6/2004	Husband	

FOREIGN PATENT DOCUMENTS

JP	61246124	A	11/1986
JP	62-106016		5/1987
JP	S62-106017	A	5/1987
JP	62126186	A	6/1987
JP	H01-042427	A	2/1989
JP	H01-226824	A	9/1989
JP	01258669	A	10/1989
JP	1-258669		10/1989
JP	267218		3/1990
JP	02-067218		3/1990
JP	02069165	A	3/1990
JP	H02-124883	A	5/1990
JP	2160722		6/1990
JP	02-160722		6/1990
JP	03047049	A	2/1991
JP	04-283518		10/1992
JP	05-170756		7/1993
JP	H05-170756	A	7/1993
JP	H06-040876	A	2/1994
JP	H06-040909	A	2/1994
JP	H06-086682	A	3/1994
JP	H06-321752	A	11/1994
JP	H07-173148	A	7/1995
JP	H09-067362	A	3/1997
JP	H10-059956	A	3/1998
WO	WO 91/14429	A1	10/1991
WO	WO93/23069		11/1993

WO	WO94/23716		10/1994
WO	WO 95/03293	A1	2/1995
WO	WO 96/10341	A1	4/1996
WO	WO 96/10341		4/1996
WO	WO 97/06273	A1	2/1997
WO	WO 97/46208	A2	12/1997
WO	WO 98/08503	A1	3/1998
WO	WO 98/48790	A1	11/1998
WO	WO 98/49153	A1	11/1998
WO	WO 98/52546	A1	11/1998
WO	WO 98/56373		12/1998
WO	WO 99/11260	A1	3/1999
WO	WO 99/11263	A1	3/1999
WO	WO 99/18927	A1	4/1999
WO	WO 99/36050	A1	7/1999
WO	WO 99/37633	A1	7/1999
WO	WO 99/43335	A1	9/1999
WO	WO 00/03707	A1	1/2000
WO	WO 00/16759	A2	3/2000
WO	WO 00/49009		8/2000
WO	WO 00/54753	A2	9/2000
WO	WO 00/62765	A2	10/2000
WO	WO 00/64438	A1	11/2000
WO	WO 00/66576	A1	11/2000
WO	WO 03/035635		5/2003
WO	WO 03/086386		10/2003

OTHER PUBLICATIONS

U.S. Appl. No. 10/636,902 filed Aug. 6, 2003, Kelly et al.
U.S. Appl. No. 10/704,385 filed Nov. 7, 2003, Heaton et al.
U.S. Appl. No. 10/799,022 filed Mar. 11, 2004, Kelly.
U.S. Appl. No. 10/851,270 filed Mar. 20, 2004, Heaton et al.
U.S. Appl. No. 10/947,356 filed Sep. 21, 2004, Kelly et al.
U.S. Appl. No. 11/024,512 filed Dec. 28, 2004, Kelly et al.
Partial European Search Report for EP 04103669, dated Dec. 22, 2004.
Office Action issued in U.S. Patent No. 6,146,668 on Sep. 9, 1998.
Amendment and Response filed in U.S. Pat No. 6,146,668 on Mar. 8, 1999.
Office Action issued in U.S. Patent No. 6,146,668 on Jun. 7, 1999.
Amendment After Final filed in U.S. Patent No. 6,146,668 on Dec. 7, 1999.
Notice of Allowance with Examiner's Amendment issued in U.S. Patent No. 6,146,668 on Jan. 11, 2000.
Preliminary Amendment filed in U.S. Appl. No. 09/546,565 on Apr. 11, 2000.
Office Action issued in U.S. Appl. No. 09/546,565 on Oct. 2, 2002.
Amendment filed in U.S. Appl. No. 09/546,565 on Apr. 2, 2003.
Office Action issued in U.S. Appl. No. 09/546,565 on Jun. 20, 2003.
Amendment filed in U.S. Appl. No. 09/546,565 on Nov. 20, 2003.
Office Action issued in U.S. Appl. No. 09/546,565 on Feb. 25, 2004.
Amendment filed in U.S. Appl. No. 09/546,565 on Aug. 25, 2004.
Notice of Allowance with Examiner's Amendment issued in U.S. Appl. No. 09/546,565 on Nov. 16, 2004.
Amendment filed in U.S. Appl. No. 09/546,565 on Jan. 26, 2005.
Office Action issued in U.S. Pat. No. 6,340,703 on Jul. 9, 1999.

- Office Action issued in U.S. Pat. No. 6,340,703 on Apr. 27, 2000.
- Amendment and Responses filed in U.S. Pat. No. 6,340,703 on Oct. 27, 2000.
- Office Action in U.S. Pat. No. 6,340,703 on Feb. 9, 2001.
- Amendment filed in U.S. Pat. No. 6,340,703 on May 31, 2001.
- Office Action issued in U.S. Appl. No. 09/986,509 on Jul. 23, 2002.
- Amendment filed in U.S. Appl. No. 09/986,509 on Dec. 23, 2002.
- Restriction Requirement issued in U.S. Appl. No. 09/986,509 on Apr. 18, 2003.
- Response in Restriction Requirement filed in U.S. Appl. No. 09/986,509 on Oct. 8, 2003.
- Office Action issued in U.S. Appl. No. 09/986,509 on Feb. 6, 2004.
- Amendment and Responses filed in U.S. Appl. No. 09/986,509 on Aug. 5, 2004.
- Office Action issued in U.S. Appl. No. 09/647,092 on Oct. 23, 2001.
- Amendment filed in U.S. Appl. No. 09/647,092 on Mar. 25, 2002.
- Office Action issued in U.S. Appl. No. 09/647,092 on Jun. 18, 2002.
- Amendment After Final filed in U.S. Appl. No. 09/647,092 on Dec. 17, 2002.
- Restriction Requirement issued in U.S. Appl. No. 09/647,092 on Feb. 10, 2003.
- Amendment and Response to Restriction Requirement filed in U.S. Appl. No. 09/647,092 on Mar. 11, 2003.
- Notice of Allowance with Statement of Reasons issued in U.S. Appl. No. 09/647,092 on Apr. 8, 2003.
- Preliminary Amendment filed in U.S. Appl. No. 10/459,537 on Jun. 12, 2003.
- Office Action issued in U.S. Appl. No. 09/914,035 on Dec. 18, 2002.
- Preliminary Amendment filed in U.S. Appl. No. 10/600,004 on Jun. 18, 2003.
- Office Action issued in U.S. Appl. No. 10/600,004 on Jun. 17, 2004.
- Amendment filed in U.S. Appl. No. 10/600,004 on Dec. 13, 2004.
- Statutory Declaration of Fiona Bathgate, declared Mar. 24, 1998, 4 pages.
- Statutory Declaration of Fiona Bathgate (Amended), declared Oct. 26, 1998, 2 pages.
- Statutory Declaration of Nancy Beckham, declared Sep. 8, 1998, 20 pages.
- Statutory Declaration of Kerry Martin Bone, declared Oct. 5, 1998, 31 pages.
- Statutory Declaration of Jennifer Carpinelli, declared Oct. 21, 1998, 2 pages.
- Statutory Declaration of G. Clements, declared Jan. 27, 1999, 2 pages.
- Statutory Declaration of Julie Hill, declared Apr. 4, 1998, 2 pages.
- Statutory Declaration of Norbert Krause, declared Nov. 5, 1998, 23 pages.
- Statutory Declaration of Ngaire Petit-Young, declared Nov. 5, 1998, 3 pages.
- Statutory Declaration of Hubert Regtop, declared Nov. 24, 1998, 53 pages.
- Statutory Declaration of Joseph Nicolas Van Haaster, declared Jan. 26, 1999, including Exhibit "JNVH-1", 20 pages.
- Constantinou, A. et al., "Induction of Differentiation and DNA Strand Breakage in Human HL-60 and K-562 Leukemia Cells by Genistein," *Cancer Res.* 50:2618-2624 (1990).
- Kiguchi, K. et al., "Genistein-Induced Cell Differentiation and Protein-Linked DNA Strand Breakage in Human Melanoma Cells," *Cancer Comm.* 2(8):271-278 (1990).
- Liu, Y. et al., "Effects of solid dispersion of daidzein on the blood pressure of spontaneously hypertensive rats," *Chemical Abstracts*, 115(8):406, Abstract No. 78763p (1991).
- Naim, M. et al., "Antioxidative and Antihemolytic Activities of Soybean Isoflavones," *J. Agric. Food Chem.* 24(6):1174-1177 (1976).
- Oenobiol Feminite Dietary Supplement, *International Product Alert* 16(12) (1999), and Oenobiol Product Insert (3 pages).
- Okura, A. et al., "Effect of Genistein on Topoisomerase Activity and on the Growth of [Val 12]Ha-ras-transformed NIH 3T3 Cells," *Biochem. Biophys. Res. Comm.* 157(1):183-189 (1988).
- Tamura, S. et al., "Chemical Studies on 'Clover Sickness.' Part I. Isolation and Structural Elucidation of Two New Isoflavonoids in Red Clover," *Agr. Biol. Chem.* 33(3):391-397 (1969).
- Van De Weijer, P. et al., "Isoflavones from red clover (Promensil®) significantly reduce menopausal hot flush symptoms compared with placebo," *Maturitas* 42:187-193 (2002).
- Yamashita, Y. et al., "Induction of mammalian topoisomerase II dependent DNA cleavage by nonintercalative flavonoids, Genistein and Orobol," *Biochem. Pharmacol.* 39(4):737-744 (1990).
- Statutory Declaration of Graham Edmund Kelly with two Exhibits (GK1 and GK2), dated Oct. 22, 2003.
- Supplementary European Search Report for EP 93 90 9679, dated Apr. 25, 1997, 3 pages.
- Response filed in U.S. Appl. No. 10/611,087 on Oct. 12, 2005.
- Office Action mailed in U.S. Appl. No. 10/611,087 on Mar. 27, 2006.
- Response filed in U.S. Appl. No. 10/611,087 on Jun. 26, 2006.
- Office Action mailed in U.S. Appl. No. 10/611,087 on Nov. 14, 2006.
- Preliminary Amendment filed in U.S. Appl. No. 11/490,865 on Jul. 20, 2006.
- U.S. Appl. No. 09/421,069 filed Oct. 19, 1999, Kelley.
- U.S. Appl. No. 09/986,509 filed Nov. 9, 2001, Kelly.
- U.S. Appl. No. 10/274,371 filed Oct. 21, 2002, Kelly.
- U.S. Appl. No. 10/459,537 filed Jun. 12, 2003, Kelly et al.
- U.S. Appl. 10/600,004 filed Jun. 18, 2003, Kelly et al.
- U.S. Appl. No. 10/611,087 filed Jul. 2, 2003, Kelly.
- U.S. Appl. No. 10/611,151 filed Jul. 2, 2003, Kelly.
- Bezuidenhoudt et al., "Synthesis of Isoflavonoid Oligomers Using a Pterocarpan as Inceptive Electrophile," *J. Chem. Soc. Perkin Transactions I*, pp. 2767-2778 (1984).
- Bingham et al., "Phyto-oestrogens: where are we now?," *British Journal of Nutrition*, vol. 79, pp. 393-406 (1998).

- Peterson et al. "Genistein Inhibition of the Growth of Human Breast Cancer Cells: Independence from Estrogen Receptors and the Multi-Drug Resistance Gene," *Biochemical and Biophysical Research Communications*, vol. 179, No. 1, pp. 661-667 (Aug. 30, 1991).
- Weil et al. "Antioxidant and Antipromotional Effects of the Soybean Isoflavone Genistein," *Proceedings of the Society for Experimental Biology and Medicine*, vol. 208, No. 1, pp. 124-130 (Oct. 1993).
- Adlercreutz, H. et al., "Effect of Dietary Components, Including Lignans and Phytoestrogens, on Enterohepatic Circulation and Liver Metabolism of Estrogens and on Sex Hormones Binding Globulin (SHBG)," *J. steroid Biochem*, vol. 27, No. 4-6, pp. 1135-1144 (1987).
- Akkad, Andrea A. et al., "Abnormal Uterine Bleeding on Hormone Replacement: The Importance of Intrauterine Structural Abnormalities," *Obstetrics & Gynecology*, vol. 86, pp. 330-334 (1995).
- Alegrio, L.V. et al.; "Diarylheptanoids and Isoflavonoids from *Centrolobium* Species"; *Phytochemistry*, vol. 28, No. 9, pp. 2359-2362, (1989).
- Al-Maharik, N.I. et al., "Synthesis of C-C-Bridged Bis-Isoflavones," *J. Org. Chem.*, vol. 65, pp. 2305-2308, (2000).
- Anderson et al. "Biphasic Effects of Genistein on Bone Tissue in the Ovariectomized, Lactating Rat Model," *P.S. E. B. M.* vol. 217, pp. 345-350 (1998).
- Baber, R. et al. "The effect of an isoflavone dietary supplement (Rimostil) on serum lipids, forearm bone density and endometrial thickness in post-menopausal women," *Proc 10th Annual Meeting of the North American Menopause Society*, New York, Sep. 23-25, 1999.
- Bannerjee et al., "Polarography of Flavanone and Isoflavone", *J. Electrochem. Soc. India*, vol. 47, No. 4, pp. 237-244, (Oct. 1998).
- Bannwart, C. et al., "Identification of the isoflavonic phytoestrogen daidzein in human urine," *Clinica Chimica Acta*, vol. 136, Nos. 2-3, pp. 165-172, (Jan. 1984).
- Beylot "Clinical signs of skin ageing." *Revue Francaise de Gynecologie et d'Obstetrique*, (1991) 86/6 (433-441) ISSN: 0035-290X.
- Burali, C. et al., "Synthesis and Anti-Rhinovirus Activity of Halogen-Substituted Isoflavones and Isoflavans," *European Journal of Medicinal Chemistry*, Editions Scientifique Elsevier, Paris, FR, 22(2):119-123 (Apr. 1987).
- Cassady, J.M. et al., Use of a Mammalian Cell Culture Benzo(a)pyrene Metabolism Assay for the Detection of Potential Anticarcinogens from Natural Products: Inhibition of Metabolism by Biochanin A, an Isoflavone from *Trifolium pratense* L., *Cancer Research*, vol. 48 (22), pp. 6257-6261, (Nov. 1998).
- Caswell, A. (ed) "Hypolipidaemic Agent," *MIMS Annual 23rd edition*, pp. 2-152 to 2-169, Singapore (1999).
- Chan K. et al., "Inhibitors of hydroxymethylglutaryl-coenzyme A reductase and risk of fracture among older women," *Lancet*; 355(9222):2185-8, Jun. 24, 2000.
- Chang et al., "Metabolites of daidzein and genistein and their biological activities." *Journal of Natural Products* (1995), 58(12), pp. 1901-1905, ISSN:0163-3864.
- Chang Y., "Microwave-Mediated Synthesis of Anticarcinogenic Isoflavones from Soybeans," *J Agric Food Chem*. 1994, 42: 1869-1871.
- Chicago Center for Clinical Research, Company Press Release Mar. 13, 2000, "Chicago Center for Clinical Research Study suggests New, More Effective Way to Treat Older Women with High Cholesterol".
- Clifton-Bligh, P. et al., "The effect of isoflavones extracted from red clover (Rimostil) on lipid and bone metabolism," *Menopause* (in submission), pp. 1-27, 2000.
- Collins, B.M. et al., "The estrogenic and antiestrogenic activities of phytochemicals with the human estrogen receptor expressed in yeast," *Steroids*, vol. 62, pp. 365-372, (Apr. 1997).
- Deschamps-Vallet, C. et al., "Transformation Du Cation Isoflavyium en Phenyl-3 Coumarines, Isoflavones-3 et Isoflavannes," *Tetrahedron Letters*, 24(37):3993-3996 (1983).
- Doren, M. et al., "Identification and Treatment of Postmenopausal Women at Risk for the Development of Osteoporosis," *International Journal of Clinical Pharmacology, Therapy and Toxicology*, vol. 20, No. 11, pp. 431-433 (1992).
- Dubey et al. "Phytoestrogens Inhibit Growth and MAP Kinase Activity in Human Aortic Smooth Muscle Cells," *Hypertension*, vol. 33 (part II), pp. 177-182, (1999).
- Ellis, G.P. (ed.); "Chromenes, Chromanones, and Chromones"; pp. 256-260; published by John Wiley & Sons, 1977.
- Evans, D. et al., "Ovarian Cancer Family and Prophylactic Choices," *Journal of Medical Genetics*, pp. 416-418, 1991.
- Evans, M. et al., "Hormones Replacement Therapy: Management of Common Problems," *Mayo Clin. Proc.* vol. 70, pp. 800-805, (1995).
- Fanti et al. "The Phytoestrogen Genistein Reduces Bone Loss in Short-Term Ovariectomized Rats," *Osteoporosis Int.*, vol. 8, pp. 274-281, (1998).
- Goh, J.T.W. et al., "Postmenopausal Endometrioma and Hormonal Replacement Therapy," *Aust NZ J. Obstet Gynaecol*, vol. 32, pp. 384-385 (1992).
- Graham, T. L., "Flavonoid and Isoflavonoid Distribution in Developing Soybean Seedling Tissues and in Seed and Root Exudates," *Pharm. Physiol.* vol. 95, pp. 594-603, (1991).
- Grodstein, F., et al., "Postmenopausal Hormone Use and Cholecystectomy in a Large Prospective Study," *Obstetrics & Gynecology*, vol. 83, No. 1, pp. 5-11 (1994).
- Hebert, P. et al., (1997), "Cholesterol lowering with statin drugs, risk of stroke, and total mortality. An overview of randomized trials," *JAMA* 278(4):313-21.
- Hodgson, J. et al., (1998), "Supplementation with isoflavonoid phytoestrogens does not alter serum lipid concentrations: a randomised controlled trial in humans," *Journal of Nutrition*, 128: 728-332.
- Hulley, S. et al., (1998), "Randomized trial of estrogen plus progestin for secondary prevention of coronary heart disease in postmenopausal women," *JAMA* 280(7): 605-613.
- Inoue, N., 1964, "Studies of Synthetic Isoflavones, V. The Reduction of Isoflavone," originally from *Bull. Chem. Soc. Japan*, May 1964, 37(5): 601-605, cited in ISTN International, CAPLUS database, (Columbus, Ohio), No. 61: 32297 (2 pages).
- Jurd, L. et al.; "Phenolic and Quinoidal Constituents of *Dalbergia Retusa*," *Tetrahedron Letters*, vol. 21, pp. 2149-2152, (1972).

- Kao, Y., et al., "Molecular Basis of the Inhibition of Human Aromatase (Estrogen Synthetase) by Flavone and Isoflavone Phytoestrogens: A Site-directed Mutagenesis Study," *Environmental Health Perspectives*, vol. 106, No. 2, pp. 85–92 (1998).
- Kelly et al., "Metabolites of dietary (soya) isoflavones in human urine," *Clinica Chimica Acta* 223(1–2), pp. 9–22 (Dec. 31, 1993).
- Kelly, S. A. et al., "Protein Tyrosine Phosphorylation Mediates TNF-Induced Endothelial-Neutrophil Adhesion in Vitro", *The American Physiological Society*, 274 (2Pt2), pp. H513–H519, (1998).
- Lamberton, et al., "Catalytic Hydrogenation of Isoflavones. the Preparatoin of (±)-Equol and Related Isoflavans", *Aust. J. Chem.* vol. 31, pp. 455–457, (Feb. 1978).
- Liepa, A.J. "A Synthesis of Hydroxylated Isoflavylium Salts and Their Reduction Products", *Aust. J. Chem.*, vol. 34, pp. 2647–2655, (1981).
- Lindner, H.R., "V/1 Occurrence of Anabolic Agents in Plants and their Importance," *Environmental Quality and Safety Supplement*, Thieme, Stuttgart, Germany, 1976, 5: 151–158.
- Liu, Y. et al., "Abstract No. 78763p; Effects of solid dispersion of diadzein on the blood pressure of spontaneously hypersensitive rats," *Chemical Abstracts*, vol. 115, No. 8, p. 466 (Aug. 26, 1991).
- Mäkelä, S. et al. "Inhibition of 17 β -Hydroxysteroid Oxidoreductase by Flavonoids in Breast and Prostate Cancer Cells," pp. 310–316 (1998).
- May, M. J. et al., "Effects of Protein Tyrosine Kinase Inhibitors on Cytokine-Induced Adhesion Molecule Expression by Human Umbilical Vein Endothelial Cells", *British Journal of Pharmacology*, No. 118, pp. 1761–1771, (1996).
- Mazur et al.; "Natural and anthropogenic environmental oestrogens: the scientific basis for risk assessment*—Naturally occurring oestrogens in food," *Pure & Appl. Chem.* 70(9), pp. 1759–1776 (1998).
- Namnoum, A.B., et al., "Incidence of symptom recurrence after hysterectomy for endometriosis," *Fertility and Sterility*, vol. 64, No. 5, pp. 898–902 (1995).
- Nestel, P. et al., (1997), "Soy isoflavones improve systemic arterial compliance but not plasma lipids in menopausal and peri-menopausal women," *Arteriosclerosis, Thrombosis and Vascular Biology* 17: 3392–3398.
- Nestel, P. et al., (1999), "Isoflavones from red clover improves systemic arterial compliance but not plasma lipids in menopausal women," *Journal of Clinical Endocrinology and Metabolism* 84: 895–898.
- Palmethofer, A. et al., " α -Galactosyl Epitope-Mediated Activation of Porcine Aortic Endothelial Cells", *Transplantation*, vol. 65, No. 7, pp. 971–978, (Apr. 15, 1998).
- Panchagnula, R. et al., "Transdermal iontophoresis revisited," *Curr. Opin. Chem. Biol.* Aug. 2000; 4(4):468–73.
- Parfitt, K., *Martindale 32nd edition*, "The complete drug reference," (1999), 32nd Edition, . Pharmaceutical Press, London, pp. v. and vi.
- Peterson, G. et al., "Genistein and Biochanin A Inhibit the Growth of Human Prostate Cancer Cells but not Epidermal Growth Factor Receptor Tyrosine Autophosphorylation," *The Prostate*, vol. 22, No. 4, pp. 335–345, (1993).
- Peterson, G. et al., "Genistein Inhibition of the Growth of Human Breast Cancer Cells: Independence From Estrogen Receptors and the Multi-drug Resistance Gene," *Biochemical and Biophysical Research Communications*, vol. 179, No. 1, pp. 661–667, (Aug. 1991).
- Potter, S. et al., (1998), "Soy protein and isoflavones: their effect on blood lipids and bone density in postmenopausal women," *American Journal of Clinical Nutrition*, 68(Suppl):1375S–1379S.
- Sacks, F. et al., (1996), "The effect of pravastatin on coronary events after myocardial infarction in patients with average cholesterol levels," *Cholesterol and Recurrent Events Trial Investigators*, *New England Journal of Medicine*, 335(14):1001–9.
- Samman, S. et al., (1999), "The effect of supplementation with isoflavones on plasma lipids and oxidisability of low density lipoprotein in pre-menopausal women," *Atherosclerosis* 147:277–283.
- Sanchez-Guerrero, J. et al., "Postmenopausal Estrogen Therapy and the Risk for Developing Systemic Lupus Erythematosus," *Annals of Internal Medicine*, vol. 122, No. 6, pp. 430–433 (1995).
- Sbarouni, E. et al., (1998), "The effect of hormone replacement therapy alone and in combination with simvastatin on plasma lipids of hypercholesterolemic postmenopausal women with coronary artery disease," *Journal of the American College of Cardiology* 32(5): 122–50.
- Scandiavian Simvastation Survival Study Group, (1994), "Randomised trial of cholesterol lowering in 4444 patients with coronary heart disease: the Scandiavn Simvastatin Survivl Study (4S)," *Lancet* 344:1383–89.
- Schultz, "Isoflavonglucoside Formononetin-7-glucosid und Biochanin A-7-glucosid in *Trifolium pratense* L.," *Die Naturwissenschaften*, 52(18), p. 517, Sep. 1965.
- Sener, A.B., et al., "The effects of hormone replacement therapy on uterine fibroids in postmenopausal women," *Fertility and Sterility*, vol. 65, No. 2, pp. 354–357 (1996).
- Siddiqui et al. "Hypolipidemic principles of *Cicer Arietinum*: Biochanin-A and Formononetin," *Lipids*, vol. 11, No. 3, pp. 243–246, (1975).
- Stampfer, M. et al., "A Prospective Study of Cholesterol, Apolipoproteins, and the Risk of Myocardial Infarction," *The New England Journal of Medicine*, vol. 325, No. 6, pp. 373–381, (1991).
- Szabo et al., 1973, "The Selective Reduction of Isoflavon," *Tetrahedron Letters*, 19: 1659–1662.
- Troisi, R.J., et al., "Menopause, Postmenopausal Estrogen Preparations and the Risk of Adult-Onset Asthma," *Am J Respir Crit Care Med*, vol. 152, pp. 1183–1188 (1995).
- Wähälä, K. et al., "Hydrogen Transfer Reduction of Isoflavones," *Heterocycles*, 28(1):183–186 (1989).
- Wang, C., et al., "Phytoestrogen Concentration Determines Effects on DNA Synthesis in Human Breast Cancer Cells," *Nutrition and Cancer*, 28(3), pp. 236–247 (1997).
- Weber, C., "Involvement of Tyrosine Phosphorylation in Endothelial Adhesion Molecule Induction", *Immunologic Research*, No. 15, pp. 30–37, (1996).
- Welshons, W.V. et al., "Stimulation of breast cancer cells in vitro by the environmental estrogen enterolactn and the phytoestrogen equol," *Breast Cancer Research and Treatment*, vol. 10, 169–175, (1987).
- Whalley, W.B.; "5,4'-Dihydroxy-8-Methylisoflavone, and a Note on Lotoflavin," *Journal of the Chemical Society*, pp. 1833–1837, (1957).

- Winship, K.A., "Unopposed estrogens," *Adv. Drug React. Ac. Pois. Rev.*, vol. 1, pp. 37–66, (1987).
- Yahara, S. et al., "Isoflavan and Related Compounds from *Dalbergia odorifera*. I" *Chem. Pharm. Bull.* 37(4): 979–987 (Apr. 1989).
- Grunert E. and Woelke, G., "Isoflavone in einigen Weiß- und Rothkleearten und ihre oestrogene Wirksamkeit bei juvenilen Mäusen", *Deutsche Tierärztliche Wochenschrift*, 74 Jahrgang 1967, p. 431–433.
- Naim, Michael, Treatise, The Isolation, Characterization and Biological Activity of Isoflavones from Soybeans, Submitted to the Senate of the Hebrew University of Jerusalem—Oct. 1974.
- PAJ Abstract corresponding to JP 1042427 A (Feb. 14, 1989).
- Sharma, R.D., "Effect of various isoflavones on lipid levels in triton-treated rats", *Atherosclerosis*, 33:271–375 (1979).
- Weinberg, David S. et al., "Identification and Quantification of Anticarcinogens in Garlic Extract and Licorice Root Extract Powder", *Journal of High Resolution Chromatography*, 15:641–654 (1992).
- EPO Examination Report dated Mar. 8, 2002.
- The Merck Index, 8th Ed., "Geinstein", and "Formononetin," pp. 320, 484, and 469–470 [respectively], (1968).
- Walz, E., "Isoflavon-un Saponin-Glucoside in Soja Hispida," *Justus Liebigs Am. Chem.*, vol. 489, pp. 118–155 (1931).
- Adlercreutz, H. et al., "Determination of Urinary Lignans and Phytoestrogen Metabolites, Potential Antiestrogens and Anticarcinogens, in Urine of Women on Various Habitual Diets," *J. Steroid Biochem*, vol. 25, No. 58, pp. 791–797 (1986).
- Adlercreutz, Herman et al., "Dietary phyto-oestrogens and the menopause in Japan," *The Lancet*, pp. 1295–1299 (1982).
- Adlercreutz, Herman et al., "Dietary Phytoestrogens and Cancer In Vitro and In Vivo Studies," *J. Steroid Biochem Molec. Biol.*, vol. 41, No. 3–8 pp. 331–337 (1992).
- Adlercreutz, H. et al., "Excretion of the Lignans Exterolactone and Enterodiol and of Equol in Omnivorous and Vegetarian Postmenopausal Women and in Women with Breast Cancer," *The Lancet*, pp. 1295–1299 (1982).
- Adlercreutz, H., "Lignans and Phytoestrogens", *Front gastrointest. Res.*, vol. 14, pp. 165–176 (1988).
- Adelcreutz, Herman et al., "Urinary Excretion of Lignans and Isoflavonoids Phytoestrogens in Japanese Men and Women Consuming a Traditional Japanese Diet," *Am. J. Clin. Nutr.*, vol. 54, pp. 1093–1100 (1991).
- Adlercreutz, Herman, "Western Diet and Western Diseases: Some Hormonal and Biochemical Mechanisms and Associations", *Scand. J. Clin. Lab. Invest*, Suppl. vol. 201 pp. 3–23 (1990).
- Anderson M.D., James et al., "Meta-Analysis of the Effects of Soy Protein Intake on Serum Lipids," *New Eng. J. Med.*, vol. 333, No. 5, pp. 276–282 (1995).
- Barnes, Stephen et al., "Soybeans Inhibit Mammary Tumors in Models of Breast Cancer," *Mutagens and Carcinogens in the Diet*, pp. 239–253 (1990).
- Bailey, E.T. et al., "Isoflavone Concentrations in the Leaves of the Species of the Genus *Trifolium*, Section *Calycomporhum*," *Aust. J. Agric. Res.*, vol. 22, No. 5, pp. 731–736 (1971).
- Barrow, N.J., "Nutrient Potential and Capacity," *Aust. J. Agric. Res.*, vol. 17, pp. 849–861 (1966).
- Barrow, N.J. et al., "Nutrient Potential and Capacity", *Aust. J. Agric. Res.*, 18:55–62 (1966).
- Beck, A.B., "The Oestrogenic Isoflavones of Subterranean Clover," *Aust. J. Agric. Res.*, 15:223–230 (1964).
- Beckham, N., "Menopause," *The Family Guide to Natural Therapies*, Greenhouse Publications, pp. 41–42, 50 (1988).
- Beckham, Nancy, "Herbal Help to Avoid Menopause Symptoms," *Australian Wellbeing*, No. 29, pp. 74–76 (1988).
- Beckham, Nancy, "Phyto-Oestrogens and Compounds that Affect Oestrogen Metabolism—Part I," *Aust. J. Med. Herbalism*, vol. 7, No. 1, pp. 11–16 (1995).
- Beckham, Nancy "Phyto-Oestrogens and Compounds that Affect Oestrogen Metabolism—Part II," *Aust. J. Med. Herbalism*, vol. 7, pp. 27–33 (1995).
- Bennetts, H.W. et al., "A Specific Breeding Problem of Sheep on Subterranean Clover Pastures in Western Australia," *The Australian Veterinary Journal*, vol. 22, pp. 2–12 (1946).
- Beuker Velasse—Advertising Brochure—with English language translation.
- Bombardelli, Ezio, "Technologies for the Processing of Medicinal Plants," in *Medicinal Plant Industry*, Chapt. 7, pp. 85–98 (1991).
- Bradbury, R.B. et al., "The Chemistry of Subterranean Clover. Part I. Isolation of Formononetin and Genistein," *J. Chem. Soc.*, pp. 3447–3449 (1951).
- Bradbury R.B. et al., "Estrogens and Related Substances in Plants", in *Vitamins and Hormones Advances in Research and Applications*, Harris, R.S. et al., eds., pp. 207–233 (1954).
- Brandi, M.L., "Flavonoids: biochemical effects and therapeutic applications," *Bone and Minerals*, vol. 19 (Suppl.) S3–S14 (1992).
- Braden, A. W. H. et al., "Comparison of Plasma Phyto-Oestrogen Levels in Sheep and Cattle After Feeding on Fresh Clover," *Aust. J. Agric. Res.*, vol. 22, pp. 663–670 (1971).
- Braden, A.W.H. et al., "The Oestrogenic Activity and Metabolism of Certain Isoflavones in Sheep," *Aust. J. Agric. Res.*, vol. 18 pp. 335–348 (1967).
- British Herbal Compendium, vol. 1, "A handbook of scientific information on widely used plant drugs," BHMA (1992).
- British Herbal Pharmacopoeia, Published by the British Herbal Medicine Association (1983).
- Buzzell, R. I., "Inheritance of flavonol glycosides in soybeans," *Can. J. Genet. Cytol* A(1973), 15(4), 865–7, Abstract Accession No. 1974:143143, reference No. 80:143143.
- Circle, S. J. et al., "Processing Soy Flours, Protein Concentrates and Protein Isolates," *Soybeans: Chemistry and Technology*, vol. 1, pp. 294–338 (1972).
- Coward, Lori et al., "Genistein, Daidzein, and Their β -Glycoside Conjugates: Antitumor Isoflavones in Soybean Foods from American and Asian Diets," *J. Agric. Food Chem.*, vol. 41, pp. 1961–1967 (1993).
- Culbreth, David M.R., *A Manual of Materia Medica and Pharmacology*, pp. 19–22.
- Davies, Lloyd H. et al., "Further Studies on Oestrogenic Activity in Strains of Subterranean Clover (*Trifolium Subterraneum* L.) In South-Western Australia," *Aust. J. Agric. Res.* vol. 16, No. 6, pp. 937–950 (1965).
- Davis, Harold et al., "Extraction," *Bentley's Text-Book of Pharmaceuticals*, 6th ed., XVIII, pp. 272–273 (1956).

- Dewick, Paul M., "Isoflavonoids," *The Flavonoids*, Edited by J.B. Harborne, Published by Chapman and Hall Ltd. (1988).
- Düker, Eva-Maria et al., "Effects of Extracts from *Clinicifuga Racemosa* on Gonadotropin Release in Menopausal Women and Ovariectomized Rats," *Planta Med.*, vol. 57, pp. 420-424 (1991).
- Eldridge, Arthur C., "Determination of Isoflavones in Soybean Flours, Protein Concentrates, and Isolates," *J. Agric. Food Chem.*, vol. 30, pp. 353-355 (1982).
- Eldridge, A.C., "High-performance liquid chromatography separation of soybean iso-flavones and their glucosides," *J. Chrom.*, vol. 234 pp. 494-496 (1982).
- Eldridge, Arthur C. et al., "Soybean Isoflavones: Effect of Environment and Variety of Composition," *J. Agric. Food Chem.*, vol. 31 pp. 394-396 (1983).
- Farmakalidis, Efi et al., "Isolation of 6"O-Acetylgenistin 6"-O-Acetylaidizin from Toasted Defatted Soyflakes," *J. Agric. Food Chem.*, vol. 33, pp. 385-389 (1985).
- Farmakalidis et al., "Semi-Preparative High-Performance Liquid Chromatographic Isolation Soybean Isoflavones," *J. Chrom.*, vol. 295, pp. 510-514 (1984).
- Farnsworth, Norman R. et al., "Potential Value of Plants as Sources of New Antifertility Agents II," *J. Pharm. Sciences*, vol. 64, No. 5, pp. 717-754 (1975).
- Francis, C.M. et al., "The Distribution of Oestrogenic Isoflavones in the Genus *Trifolium*," *Aust. J. Agric. Res.*, 18:47-54 (1967).
- Francis, C.M. et al., "Varietal Variation in the Isoflavone Content of Subterranean Clover: Its Estimation by a Micro-technique," *Aust. J. Agric. Res.*, 16:557-564 (1965).
- Gaynor, J.D., "HPLC separation and relative quantitation of kaempferol glycosides in soybean," *Chromatographia* (1988), 25(12), 1049-53, Abstract Accession No. 1989:150706, reference No. 110:150706, STN Easy Int'l.
- Gildersleeve, Rhonda R. et al., "Screening Rose Clover and Subterranean Clover Germplasm for Isoflavones," *Crop. Sci.*, vol. 31 pp. 1374-1376 (1991).
- Gildersleeve, Rhonda R. et al., "Detection of Isoflavones in Seedling Subterranean Clover," *Crop Sci.*, vol. 31, pp. 889-892 (1991).
- Gladstones, J.S., "Naturalized Subterranean Clover Strains in Western Australia: A Preliminary Agronomic Examination," *Aust. J. Agric. Res.*, vol. 18, pp. 713-731 (1967).
- Herman, C. et al., "Soybean Phytoestrogen Intake and Cancer Risk," *American Institute of Nutrition*, pp. 7575-7705 (1995).
- Holt, S., "Selected Bibliography of Scientific Studies on Genistein and Other Soya Isoflavones," *Soya for Health: The Definitive Medical Guide*, pp. 159-170 (1996).
- Jenkins, David J.A. et al., "Leguminous seeds in the dietary management of hyperlipidemia," *Am. J. Clin. Nut.*, vol. 38, pp. 567-573 (1983).
- Joannou, G. E., "A Urinary Profile Study of Dietary Phytoestrogens. The Identification and Mode of Metabolism of new Isoflavonoids," *J. Steroid Biochem. Molec. Biol.*, vol. 54, No. 3/4, pp. 167-184 (1995).
- Jones, Amanda E. et al., "Development and Application of a High-Performance Liquid Chromatographic Method for the Analysis of Phytoestrogens," *J. Sci. Food Agric.*, vol. 46, pp. 357-364 (1989).
- Kaldas, Rami S. et al., "Reproductive and General Metabolic Effects of Phytoestrogens in Mammals," *Reproductive Toxicology Review*, vol. 3, No. 2, pp. 81-89 (1989).
- Kelly, Graham, "Standardized Red Clover Extract Clinical Monograph," *American Botanical Council, HerbClip*, pp. 3-12 (Francis, C.M. et al., "Varietal Variation in the Isoflavone Content of Subterranean Clover: Its Estimation by a Microtechnique," *Aust. J. Agric. Res.*, 16:557-564 (1965).
- Kitada, Yoshimi et al., "Determination of Isoflavones in soy bean by high-performance liquid chromatography with amperometric detection," *J. Chrom.*, vol. 366, pp. 403-406 (1986).
- Kitts, D.D. et al., "Uterine Weight Changes and ³H-Uridine Uptake in Rats Treated with Phytoestrogens," *Can. J. Anim. Sci.*, vol. 60 pp. 531-534 (1980).
- Knuckles, Benny E., et al., "Coumestrol Content of Fractions Obtained During Wet Processing of Alfalfa," *J. Agric. Food Chem.*, vol. 24, No. 6, pp. 1177-1180, (1976).
- Kudou, Shigemitsu et al., "A New Isoflavone Glycoside in Soybean Seeds (Glycine max Merrill), Glycitein 7-O-β-D-(6"-O-Acetyl)-Glucopyranoside," *Agric. Biol. Chem.*, vol. 55, No. 3, pp. 859-860 (1991).
- Kudou, Shigemitsu et al., "Malonyl Isoflavone Glycosides in Soybean Seeds (*Glycine max* Merrill)," *Agric. Biol. Chem.*, vol. 55, No. 9, pp. 2227-2233 (1991).
- Lindner, H.R., "Study of the Fate of Phyto-Oestrogens in the Sheep by Determination of Isoflavones and Coumestrol in the Plasma and Adipose Tissue," *Aust. J. Agric. Res.*, vol. 18, pp. 305-333 (1967).
- Lock, Margaret, "Contested meanings of the menopause," *The Lancet*, vol. 337, pp. 1270-1272 (1991).
- Martin, P.M. et al., "Phytoestrogen Interaction with Estrogen Receptors in Human Breast Cancer Cells," *Endocrinology*, vol. 193, No. 5, pp. 1860-1867 (1978).
- Mazur, Witold M., Isoflavonoids and lignans in legumes: Nutritional and health aspects in humans, *Nutritional Biochemistry* (9-193-200), Elsevier Science Inc. (1998).
- MediHerb Price List and Order form, Nov. 1990.
- Messina, Mark et al., "The Role of Soy Products in Reducing Risk of Cancer," *J. of National Cancer Institute*, vol. 83, No. 8, pp. 541-546 (1991).
- Morris, P., "Identification and Accumulation of Isoflavonoids and Isoflavone Glucosides in Soybean Leaves and Hypocotyls in Resistance Responses to Phytophthora Megasperma f.sp. Glycinea," *Physiological and Molecular Plant Pathology*, 39 pp. 229-244 (1991).
- Mowrey, Daniel B. "Next Generation Herbal Medicine," *Second Edition/Completely Revised, Guaranteed Potency Herbs*, Keats Publishing, Inc., pp. 3-13 (1988).
- Murphy, P.A., "Phytoestrogen Content of Processed Soybean Products," *Food Technology*, pp. 60-64 (1982).
- Murphy, P.A., "Separation of Genistin, Daidzin and Their Aglucones, and Coumesterol by Gradient High Performance Liquid Chromatography," *J. Chrom.*, vol. 211, pp. 166-169 (1991).
- Naim, M. et al., "A New Isoflavone from Soya Beans," *Phytochemistry*, vol. 12, pp. 169-170 (1973).
- Naim, M. et al., "Soybean Isoflavones, Characterization, Determination, and Antifungal Activity," *J. Agr. Food Chem.*, vol. 22, No. 5, pp. 806-810 (1974).
- Nash, A.M. et al., "Fractionation and Characterization of Alcohol Extractables Associated with Soybean Proteins, Non-protein Components," *J. Agr. Food Chem.*, vol. 15, No. 1, pp. 102-108 (1967).
- Ohta, Naokazu et al., "Isoflavonoid Constituents of Soybeans and Isolation of a New Acetyl Daidzin," *Agric. Biol. Chem.*, 43, vol. No. 7, pp. 1415-1419 (1979).

- Okano, Koji et al., "Isolation of Four Kinds of Isoflavon from Soya Bean," *Bron: Bull. Agr. Chem. Soc. Japan*, vol. 15, p. 110 (1939).
- Okubo, Kazuyoshi et al., "Components Responsible for the Undesirable Taste of Soybean Seeds," *Biosci. Biotech. Biochem.*, vol. 56, No. 1, pp. 99–103 (1992).
- Pope, G.S., "The Importance of Pasture Plant Oestrogens in the Reproduction and Lactation of Grazing Animals," *Dairy Science Abstracts*, vol. 16, No. 5, pp. 333–356 (1954).
- Price, K.R. et al., "Naturally Occurring Oestrogens in Foods—A Review," *Food Additives and Contaminants*, vol. 2, No. 2 pp. 73–106 (1985).
- Reinli, Kathrin et al., "Phytoestrogen Content of Food—A Compendium of Literature Values," *Nutrition and Cancer*, vol. 26, No. 2, pp. 123–148 (1996).
- Rose, David P., "Dietary Fiber, Phytoestrogens, and Breast Cancer," *Nutrition*, vol. 8, No. 1 (1992).
- Rossiter, R.C., "Physiological and Ecological Studies on the Oestrogenic Isoflavones in Subterranean Clover (*T. Subterraneum* L.)" *Aust. J. Agric. Res.*, Chapter III, 18:23–37 (1967).
- Rossiter, R.C., "Physiological and Ecological Studies on the Oestrogenic Isoflavones in Subterranean Clover (*T. Subterraneum* L.)" *Aust. J. Agric. Res.*, Chapter IV, 18:39–46 (1966).
- Seo, A. et al., "Improved High-Performance Liquid Chromatographic Analysis of Phenolic Acids and Isoflavonoids from Soybean Protein Products," *J. Agric. Food Chem.*, vol. 32, No. 3, pp. 530–533 (1984).
- Setchell, K.D.R. et al., "High-Performance Liquid Chromatographic Analysis of Phytoestrogens in Soy Protein Preparations with Ultraviolet, Electrochemical and Thermospray Mass Spectrometric Detection," *J. Chrom.*, vol. 386 pp. 315–323 (1987).
- Setchell, K.D.R. et al., "Mammalian Lignans and Phyto-oestrogens Recent Studies on their Formation, Metabolism and Biological Role in Health and Disease," in *Role of the Gut Flora In Toxicity and Cancer*, pp. 315–339 (1988).
- Setchell, KDR et al., "Nonsteroidal estrogens of dietary origin: possible roles in hormone-dependent disease," *Am. J. Clin. Nut.*, vol. 40 pp. 569–578 (1984).
- Shimoyamada, Makoto et al., "Saponin Composition in Developing Soybean Seed (*Glycine max*(L.) Merrill, cv. Mikuriyaao)," *Agric. Biol. Chem.*, vol. 55, No. 5, pp. 1403–1405 (1991).
- Shutt, Donald A., "The Effects of Plant Oestrogens on Animal Reproduction," *Endeavour*, vol. 35, pp. 110–113 (1976).
- Shutt, D.A. et al., "Free and Conjugated Isoflavones in the Plasma of Sheep Followed Ingestion of Oestrogenic Clover," *Aust. J. Agric. Res.*, vol. 18 pp. 647–655 (1967).
- Shutt, D.A., "Interaction of Genistein With Oestradiol in the Reproductive Tract of the Ovariectomized Mouse," *Endocrin*, vol. 37, pp. 231–232 (1967).
- Shutt, D.A. et al., "Quantitative Aspects of Phyto-Oestrogen Metabolism in Sheep Fed on Subterranean Clover (*Trifolium Subterraneum* Cultivar Clare) or Red Clover (*Trifolium Pratense*)," *Aust. J. Agric. Res.* vol. 21, pp. 713–722 (1970).
- Shutt, D.A. et al., "The Significance of Equol in Relation to the Oestrogenic Responses in Sheep Ingesting Clover With a High Formononetin Content," *Aust. J. Agric. Res.* vol. 19, pp. 545–553 (1968).
- Shutt, D.A. et al., "Steroid and Phyto-Oestrogen Binding to Sheep Uterine Receptors In Vitro," *J. Endocr.*, vol. 52, pp. 299–310 (1972).
- Smith, G.R. et al., "Influence of Harvest Date, Cultivar, and Sample Storage Method on Concentration of Isoflavones in Subterranean Clover," *Crop Science*, vol. 26, pp. 1013–1016 (1986).
- Trease, G.E. et al., "Pharmacognosy," 12th Ed., pp. 242–260 (1983).
- Verdeal, Kathey et al., "Naturally-Occurring Estrogens in Plant Foodstuffs—A Review," *J. Food Protect.*, vol. 42, No. 7, pp. 577–583 (1979).
- Walter, E.D., "Genistin (an Isoflavone Glucoside) and its Aglucone, Genistein, from Soybeans," *J. Am. Chem. Soc.*, vol. 63, p. 3273 (1941).
- Wang, G. et al., "A Simplified HPLC Method for Determination of Phytoestrogens in Soybean and Its Processed Products," *J. Agr. Food Chem.*, vol. 38, No. 1, pp. 185–190 (1990).
- White, Edmund et al., "Extracta," *Pharmacopodia*, 2d ed. pp. 166–167 (1909).
- Wilcox, G. et al., "Oestrogenic effects of plant foods in post-menopausal women," *British Med. J.*, vol. 301, pp. 905–906 (1990).
- Wong, E., "Detection and Estimation of Oestrogenic Constituents in Red Clover," *J. Sci. Food Agric.*, vol. 13, pp. 304–308 (1962).
- Wong, E., "The Oestrogenic Activity of Red Clover Isoflavones and Some of Their Degradation Products," *J. Endocrin.*, vol. 24, pp. 341–348 (1962).
- "Estrogenic Activity in Plants," Brisbane Seminar (Summary of Talk by Nancy Beckham) (1985).
- "Phenolic Constituents," *Soybeans: Chemistry and Technology*, vol. 1, pp. 186–189 (1972).
- "Solvent Treatment of Beans and Fractions," *Soybeans: Chemistry and Technology*, p. 149 (1972).

* cited by examiner

HEALTH SUPPLEMENTS CONTAINING PHYTO-OESTROGENS, ANALOGUES OR METABOLITES THEREOF

Matter enclosed in heavy brackets [] appears in the original patent but forms no part of this reissue specification; matter printed in italics indicates the additions made by reissue.

TECHNICAL FIELD

This invention relates to natural products containing phyto-oestrogens, or phyto-oestrogen metabolites, which have various beneficial physiological effects in man, and which have a variety of uses, such as to promote good health and as a dietary additive, for example.

BACKGROUND ART

The particular product in accordance with the invention is an extract of certain plants with the particular purpose of enrichment for phyto-oestrogens, both in their natural state and their closely related derivatives and metabolites.

Plants which are used as foodstuffs or medicinal herbs contain a wide variety of chemicals which are assimilated into the body following ingestion. Some of these chemicals are important nutrients for man and animals (e.g. fats, carbohydrates, proteins, vitamins, minerals) while others have none, or little or no known nutritional value. The phyto-oestrogens hitherto have fallen into this latter category of no known nutritional value.

There are 3 principal classes of phyto-oestrogens, viz. isoflavones, lignans, and coumestans. The isoflavones are thought to have a broad range of biological functions in plants, although these are poorly understood. However, two particular functions are recognised—(a) as phyto-alexin or stressor chemicals which are secreted by the plant in response to attack by parasites such as insects, fungi, viruses, etc. and which display activity against these parasites, and (b) chemicals which encourage colonisation of nitrogen-fixing bacteria on the roots of legumes. The biological functions in plants of the lignans and coumestans is not generally understood.

The different types of phyto-oestrogens are as follows.

Type 1 phyto-oestrogens—(isoflavones)

Isoflavones appear to be widely distributed in the plant kingdom and over 700 different isoflavones are described. However, the isoflavones which display oestrogenic activity belong to a small sub-group and are restricted almost exclusively to the Leguminosae family. The known oestrogenic isoflavones are daidzein, formononetin, genistein and biochanin A. In common human foodstuffs such as soya, chickpeas, lentils and beans, the total levels of the oestrogenic isoflavones range between about 40 and 300 mg per 100 g dry weight.

In the raw plant material, isoflavones occur principally as glycosides. Following ingestion by man and animals, the glycoside moiety is hydrolysed free by a combination of gastric and hydrolysis and fermentation by intestinal bacteria. Some of the isoflavones in the aglucone form are absorbed directly and circulate in the blood, while the remainder are metabolised by intestinal fermentation to a variety of compounds which are also absorbed. The absorbed isoflavones and their metabolites appear to undergo little or no further metabolism in the body, being readily transported in the bloodstream, and ultimately being excreted in the urine.

Type 2 phyto-oestrogens (lignans).

Lignans are widely distributed in the plant kingdom. Over one hundred lignans are described and they are reported in common human foodstuffs such as cereals, fruits and vegetables. Oilseeds such as flax (linseed) have the highest known levels at 20–60 mg/100 g dry weight, while cereals and legumens have much lower levels at 0.3–0.6 mg/100 g, and vegetables even lower levels at 0.1–0.2 mg/100 g. The most common lignan described is metaresinol. Dietary lignans also appear to be metabolised fairly efficiently within the gut by bacterial fermentation, yielding metabolites such as enterodiol and enterolactone which are absorbed into the bloodstream and excreted in the urine.

Type 3 phyto-oestrogens (coumestans).

Compared to isoflavones and lignans, oestrogenic coumestans appear to have a relatively restricted distribution in plants and generally occur at much lower levels. Alfalfa, ladino clover and some other fodder crops such as barrel medic may have significant levels and have been reported to cause reproductive dysfunction in grazing animals. In the human diet, the important sources of coumestans are sprouts of soya and alfalfa where levels up to 7 mg/100g dry weight are reported. Whole soyabeans and other common foodstuff legumes contain levels of approx. 0.12 mg/100 g dry weight and most of that is concentrated in the seed hull which commonly is removed in the preparation of human foodstuffs.

Type 4 phyto-oestrogens (oestrogens).

These are compounds closely related to animal oestrogens such as oestrone, oestradiol and oestriol. These have been described in plants such as liquorice, apple, French bean, pomegranate and date palm. Little is known of the metabolism and biological significance of these chemicals in humans and animals.

The full range of biological effects in animals of these dietary phyto-oestrogens has received only recent study. A primary effect appears to be associated with their close structural relationship to naturally-occurring oestrogens which allows the phyto-oestrogens to mimic the effects of the endogenous oestrogens. The known biological effects of phyto-oestrogens can be summarised thus:

In vitro:

- (a) bind to both cytoplasmic and nuclear membrane (Type II) oestrogen receptors on human tissues;
- (b) strongly compete with oestrogens for oestrogen receptors, but only weakly stimulate those receptors;
- (c) strongly stimulate the production of sex hormone-binding globulin (SHBG) from human cells;

In vivo

- (d) weakly oestrogenic in animals;
- (e) competitively-inhibit the response of tissue to oestrogens.

The three major types of phyto-oestrogens appear to act at the cellular level in a similar manner, that is through interaction with cell surface oestrogen receptors. In the body, naturally-occurring oestrogens circulating in the blood largely exert their activity by interaction with oestrogen receptors on cell surfaces; such interactions then triggering a particular biological function of that particular cell. Phyto-oestrogens are able to bind to those oestrogen receptors because the structure of these compounds so closely resembles the endogenous oestrogens, but unlike the animal oestrogens, phyto-oestrogens only weakly activate the oestrogen receptor.

As a result of phyto-oestrogens and endogenous oestrogens competing for the oestrogen-binding sites on the cells, the more weakly oestrogenic phyto-oestrogens can be con-

sidered to have an anti-oestrogenic effect. This phenomenon is known as competitive-inhibition, by which is meant that the biological effect of an active substance is impaired by the competitive binding to a target receptor of a similar but less active compound.

Thus a primary biological effect of phyto-oestrogens is held to be competitive inhibition of endogenous oestrogens. However, another more direct effect is the stimulation of synthesis of SHBG in the liver, as occurs with orally administered synthetic steroidal oestrogens. High levels of dietary phyto-oestrogens are thought to be responsible for the higher SHBG levels seen in vegetarians and in cultures maintaining traditional (high legume-containing) diets.

At high levels, dietary phyto-oestrogens can have profound physiological effects. An example of this is sheep and cattle grazing pastures containing a high proportion of subterranean clover or red clover which can contain levels of phyto-oestrogens as high as 5% of the dry weight of the plant. As a result of the competitively-inhibitory effect of the dietary phyto-oestrogens on endogenous oestrogen function in the hypothalamus, male and female sheep and cows can develop androgenic symptoms.

Such high dietary levels of phyto-oestrogens, however, are rare. It is far more common that most animal and human diets contain low to moderate levels of phyto-oestrogens, and there is growing epidemiological evidence that such levels have a beneficial effect on human health.

In most traditional human diets in developing countries, the principal phyto-oestrogens consumed are isoflavones because of the generally high reliance on legumes (also known as pulses) as a source of protein. The general consumption rates (g/day/person) for legumes for different regions currently are approximately: Japan (50–90), India (40–80), South America (30–70), North Africa (40–50), Central/Southern Africa (20–50) and Southern Mediterranean (30–60). Legumes also are a source of lignans and, to a much lesser extent, coumestans, and the additional cereal and vegetables in the diet would also boost the lignan intake. However, the isoflavone intake in these traditional cultures with high legume consumption would typically be much in excess of either lignan or coumestan intake.

The major types of legumes used in traditional diets include soya, chickpeas, lentils, ground nuts, beans (e.g. broad, haricot, kidney, lima, navy), and grams (bengal, horse and green).

In Western, developed countries, the daily intake of dietary phyto-oestrogens generally is negligible to low. In Western Europe, North America and Australasia, legumes were a major source of protein for the majority of the populations up to the end of the 19th century. From that time, legume consumption has declined significantly, being replaced in the diet with protein of animal origin. Average legume consumption in these regions currently is between 5–15 g/day/person with a significant proportion of the population ingesting little or no legumes or other phyto-oestrogen containing foods on a regular basis. Moreover, the types of legumes consumed in these regions (e.g. garden peas, French beans) have a typically lower isoflavone content than legumes such as soya and chick peas.

Based on the typical consumption rates and types of food-stuffs consumed, the typical phyto-oestrogen intake (mg/day) for different regions can be calculated approximately as

	Isoflavones	Lignans	Coumestans
Japan	50-300	2-5	0.5
Australia	2/25	1-5	0.2

Thus it can be seen that regions which have maintained traditional diets have a higher average daily intake of phyto-oestrogens, particularly isoflavones, compared to western countries. People in communities such as Japan or developing countries with high legume intake excrete substantially higher phyto-oestrogen metabolites in their urine compared to people in Western countries. Within the latter, vegetarians also excrete higher phyto-oestrogen metabolite levels than do those consuming a more typical, omnivorous Western diet.

The presence of relatively large amounts of phyto-oestrogen metabolites in urine serves to highlight their potential biological significance. It has been shown that total urinary excretion of isoflavones and their active metabolites in people consuming moderate amounts of legumes is greatly in excess (up to 10,000×) of steroidal oestrogen levels. So that while the oestrogenicity of isoflavones to oestrogen receptors is only about 1% that of endogenous oestrogens, this weaker effect is off-set by the much higher blood levels of the isoflavones.

It is known that legumes have formed an important part of the human diet over the past 20,000–30,000 years. It therefore follows that human metabolism has evolved over at least this period in the presence of relatively large levels of dietary phyto-oestrogens, particularly isoflavones. Given the known biological effects of phyto-oestrogens, it also follows that endogenous oestrogen metabolism and function has evolved in the face of significant competitive inhibiting effects of phyto-oestrogens. It has been speculated that the presence of significant dietary levels of phyto-oestrogens in recent human evolution has led to a degree of adaption by tissues responsive to reproductive hormones to these dietary components. That is, both the rate of production and/or the function of endogenous oestrogens may be either dependent upon or influenced by the presence of phyto-oestrogens in the body. It follows therefore that a relative deficiency of dietary phyto-oestrogens could be expected to lead to an imbalance of endogenous oestrogen metabolism.

There is increasing interest in the likely contribution of a relative deficiency of dietary phyto-oestrogens to the development of the so-called “Western diseases”, especially cancer of the breast, benign (cystic) breast disease, cancer of the uterus, cancer of the prostate, cancer of the bowel, premenstrual syndrome, menopausal syndrome, and atherosclerosis. All of these diseases are associated to a greater or lesser extent to oestrogen metabolism, and oestrogen function is either known or is suspected to play a role in their aetiology and/or pathogenesis.

Each of these diseases occurs at much higher incidence in Western, developed countries than it does in developing communities. Moreover, it is thought that in Western communities, the incidences of each have risen over the past century. It is also generally held, that of all the environmental factors likely to be contributing to this phenomenon, diet is the principal factor. Of those dietary components with the potential to influence the aetiology of oestrogen-related disease, there is a growing awareness that phyto-oestrogens may have important potential.

The beneficial effects of phyto-oestrogens on human health are thought to derive from at least two principal

function, those being (i) competitive-inhibition of the function of endogenous oestrogens, and (ii) the stimulation of production of SHBG. SHBG plays an important role in primates in binding and transporting the reproductive hormones (oestrogens, androgens) in blood so that the availability of reproductive hormones is regulated to a large degree by SHBG levels. Higher SHBG levels are considered beneficial in leading to a reduction in both blood levels of unbound (and unregulated) reproductive hormones and metabolic clearance rates of the hormones. Although isoflavones are potent stimulators of SHBG synthesis, they only weakly bind to SHBG, so that the increased SHBG levels resulting from the dietary isoflavones are largely available for binding to endogenous oestrogens.

In terms of directly identifying the beneficial effects of phyto-oestrogens in amelioration of any or all of the "Western diseases" there are only two examples. In one example, the diets of women, with menopausal syndrome were supplemented with foodstuffs (soya, linseed, red clover) high in phyto-oestrogens, and an alleviation of menopausal symptoms to an extent similar to that obtained with replacement therapy with synthetic oestrogens was achieved; that effect was ascribed to the phyto-oestrogen content of the supplement. In the other example, legumes such as soya and various pulses have been shown to have a hypocholesterolaemic effect in humans; this effect has not been ascribed to phyto-oestrogens, although purified isoflavones do have a hypocholesterolaemic effect in animals with artificially-induced hypercholesterolaemia.

In summary, it could reasonably be deduced that the inclusion of greater levels of foodstuffs high in phyto-oestrogens in the standard diets of men and women in developed countries could be expected to redress a general imbalance of endogenous reproductive hormone metabolism, thereby reducing the predisposition of those communities to the above diseases. While there are various types of phyto-oestrogens which may be suitable to this end, the large discrepancy in isoflavone consumption between communities with Western and traditional diets suggest that foodstuffs with high isoflavone content are of prime interest.

However it is unrealistic to expect that public education programmes would readily convert communities in developed countries from a diet where the protein content is predominantly animal-derived, to one where the protein is predominantly legume-derived. Moreover, the legumes which are commonly consumed in developed countries are relatively poor sources of phyto-oestrogens and the general acceptance in the community of less well-known legumes with higher phyto-oestrogen content would be necessarily a slow process. Also, the highly variable levels of phyto-oestrogens in foodstuffs relating to plant strain type, degree of plant maturity, and climatic and other environmental conditions suggests that the supply of an assured amount of phyto-oestrogens through the use of whole foodstuffs may be difficult.

An alternative strategy it to make available either (i) phyto-oestrogens in a purified form, or (ii) foodstuffs which are enriched for phyto-oestrogens. In this way, the phyto-oestrogen could be added to the diet in a convenient form as a supplement without requiring any substantive change to the diet.

DISCLOSURE OF INVENTION

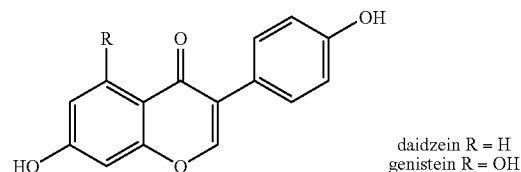
The present invention concerns a health supplement specifically enriched for isoflavones selected from genistein, daidzein, formononetin and biochanin A, or their natural glycoside form, or their analogues, in sufficient amounts to improve the health of a human.

Preferably the supplement contains an excipient, a diluent, a carrier or the like, or else the supplement is mixed with food or can be consumed directly. It is also preferred that foodstuffs, are readily available, have no known toxic components, and are rich sources of isoflavones; such foodstuffs preferably being red clover or soya. It is also preferred that the ratio of genistein and/or its methylated derivative biochanin A to daidzein and/or its methylated derivative formononetin is between 1:2 to 2:1. Other plant components with oestrogenic activity including lignans, coumestans and flavones may also be present in the extract, but is held that these are of secondary importance to the predominant isoflavones. The term phyto-oestrogens is used hereafter to indicate a predominance of isoflavones with lesser amounts of lignans, coumestans and flavones.

The invention also concerns a method of improving the health of a human by administering to the human a sufficient amount of phyto-oestrogen. Ideally, the phyto-oestrogen is administered regularly on a daily basis over a sufficient period such as at least a month. The health conditions which may be prevented or ameliorated include cancer of the breast, cancer of the prostate, cancer of the uterus, cancer of the bowel, benign (or cystic) breast disease, pre-menstrual syndrome (also known as pre-menstrual tension), or adverse symptoms associated with menopause in women. The method and supplement in accordance with the invention also improves the health of a human having elevated levels of blood cholesterol. The product also is useful in avoiding or ameliorating cancer in persons. The symptoms produced by these conditions and the general well-being is also improved by the use of these supplements.

The phyto-oestrogen in accordance with the invention may be obtained from a number of different sources. Preferably the phyto-oestrogens are extracted from a clover such as red clover or subterranean clover or from soya which contain high levels of phyto-oestrogens. However, any source rich in phyto-oestrogens may be used instead, if desired.

Various different isoflavones have been identified from these sources—they are principally genistein, biochanin A, daidzein, formononetin and glycitein. In plants these compounds occur principally in a glycoside form bound to sugars such as glucose, with smaller amounts present as the aglucone forms. The formulae of the isoflavones are:



The structure of biochanin A is the same as for genistein but with a 4'-methoxy group, and similarly formononetin has the same structure as daidzein, but with a 4'-methoxy group.

Following ingestion by humans, the glycosidic isoflavones are hydrolysed to the aglucone form and biochanin A and formononetin are demethylated by bacterial fermentation to genistein and daidzein respectively. A small proportion of these free isoflavones are absorbed directly from the bowel and circulate in the blood. The bulk of the isoflavones, however, remain in the bowel and undergo fermentation to form various metabolites which also are absorbed into the bloodstream. The principal metabolites which have been identified are equal to O-desmethylangolensin.

In vitro and in vivo studies have indicated that genistein, biochanin A, equol, daidzein, formononetin all have oestrogenic activity in descending order. O-desmethylangolensin is only very weakly oestrogenic and glycitein is non-oestrogenic

In animal and in vitro studies, genistein has been shown to have greater oestrogenic/anti-oestrogenic activity and SHBG-stimulating capacity than the other isoflavones or their metabolites (approximately 10 times that of daidzein and formononetin). However, the full range of biological effects of the different isoflavones have yet to be fully determined, and in particular their relative efficacies in the different biological effects such as oestrogenicity, hypocholesterolaemia, anti-angiogenesis, anti-oxidation, anti-carcinogenesis for example are not yet fully known.

It is thought that because the methyl forms (biochanin A and formononetin) ultimately are largely demethylated to their principals, genistein and daidzein, with improved biological efficacy, then it is unimportant whether the isoflavones are present in the claimed product in the methylated or demethylated forms.

Given that the relative biological importance of the two isoflavone groups (being genistein and daidzein) to human health remains unclear, and that each might indeed have different importance, plus the fact that both isoflavones are present in the diet in approximately equal proportions, then it is prudent that both isoflavones be present in the claimed product in approximately equal proportions.

Any leguminous plants such as detailed here could be used as sources of phyto-oestrogens (principally isoflavones with lesser amounts of lignans and coumestans): Indian liquorice (*Abrus precatorius*); various species of *Acacia* spp. including, *A. aneura*, *A. cibaria*, *A. longifolia*, and *A. owaldii*; ground nut (*Apios tuberosa*); ground pea (*Arachis hypogea*); milk vetch (*Astragalus edulis*); marama bean (*Bauhinia esculenta*); sword bean (*Cajanus cajan indicus*); jack bean (*Canavalia ensiformis*); sword bean (*Canavalia gladiata*); seaside sword bean (*Canavalia rosea*); various *Cassia* spp. including *C. floribunda*, *C. laevigata*, and *C. occidentalis*; caribbean (*Ceratonis siliqua*); chick pea (*Cicer arietinum*); yebnut (*cordeauxia edulis*); various *Crotalaria* spp. including *C. laburnifolia*, and *C. pallida*; cluster bean (*Cyamopsis psoralioides*); tallow tree (*Detarium senegalense*); sword bean (*Entada scandens*); balu (*Erythrina edulis*); soyabean (*Glycine max*); inga (*Ingaedulis*); Polynesian chestnut (*Inocarpus fagifer*); hyacinth bean (*Lablab purpureus*); grass pea or Indian vetch (*Lathyrus sativus*); cyprus vetch (*Lathyrus ochrus*); lentil (*Lens culinaris*); jumping bean (*Leucaena eucocephala*); various *Lupinus* spp. including *L. albus*, *L. luteus*, *L. angustifolium*, *L. mutabilis*, and *L. cosentinii*; ground bean (*Macrotylma geocarpa*); horse gram (*Macrotyloma uniflorum*); alfalfa (*Medicago sativa*); velvet bean (*Mucuna pureins*); yam beans (*Pachyrhizus erosus*, *P. tuberosus*); African locust bean (*Parkia clappertoniana*); *Parkia speciosa*; oil bean tree (*Pentaclethra macrophylla*); various *Phaseolus* spp. including *P. acutifolius*, *P. vulgaris*, *P. luntus*, *P. coccineus*, *P. adenathus*, *P. angulris*, *P. aureus*, *P. calcaratus*, *P. mungo*, and *P. polystachyus*; garden pea (*Pisum sativum*); djenko bean (*Pithecolobium lobatum*); mesquite (various *Prosopis* spp.); geo bean (*Psophocarpus scandens*, *P. tetragonolobus*); various *Psoralea* spp.; *Sesbania bispinosa*; yam bean (*Sphenostylis stenocarpa*); tamarind (*Tamarindus indica*); fenugreek (*Trigonella foenumgraecum*); vetches (various *Vicia* spp. including *V. sativa*, *V. atropurpurea*, *V. ervilia*, and *V. monantha*); broad bean (*Vicia faba*); black gram (*Vigna mungo*); various *Vigna*

spp. including *V. radiata*, *V. aconitifolia*, *V. adanatha*, *V. angularis*, *V. tribolata*, *V. umbellata*, and *V. unguiculata*; and earth pea (*Voandzeia subterranea*).

The ideal sources of phyto-oestrogens for preparation of a supplement in accordance with the invention are preferably those which (i) are readily available, (ii) are relatively inexpensive, (iii) are readily and economically processed so as to yield the extract, (iv) have a high isoflavone content so as to provide high yields, and (v) have no known toxic components requiring selective removal or inactivation.

Certain clovers, such as red clover (*T. pratense*) and subterranean clover (*T. subterranean*) are the preferred sources. On a dry weight basis, these clovers contain the highest amounts of oestrogenic isoflavones of all legumes tested to date with levels of 3–5 g % (*T. subterranean*) and 1–3 g % (*T. pratense*). In comparison, soya flour has a level of 0.15–0.30 g %, lentils (0.08–0.12 g %), chick peas (0.07–0.13 g %), and garden peas (0.02–0.03 g %). Thus it can be seen that clovers contain approximately at least 10–30 times by weight the isoflavone content of other commonly available, human leguminous foodstuffs meaning that for manufacturing purposes, the yield of isoflavones per unit weight of plant material is many times greater from clover than from other legumes.

Red clover and subterranean clover also are common fodder crops and are readily grown and are widely available. Clovers also are comparatively cheaper (\$200/tonne) than crops such as soya and lentils (\$500/tonne).

With clovers, the isoflavones are recovered from the leaf rather than from the seed in the case of soya, beans, nuts and grams. This provides a substantially higher yield of isoflavones per unit area of pasture for clovers compared to other legumes because of the greater leaf matter compared to seed matter recovered per plant.

Clovers also have an extended growing season, and faster growth rates compared to those legumes such as soya, lentils or chick peas where the seed is the end-product. Clover can be cropped for its leaf content repeatedly over a single growing season. An additional benefit of this is that as phytoalexins, the isoflavones content increases in response to the stress of cropping.

Thus it can be seen that in clovers versus other legumes provide a combination of (a) higher isoflavone content per dry weight of plant, (b) a higher yield of dry matter containing isoflavones per plant, and (c) a higher yield of dry matter per hectare.

An additional feature of clovers is that there are wide varieties of cultivars with widely differing isoflavone levels and types. This allows blending of different cultivars to achieve the desired ratio of the different isoflavones, although it is equally possible to use a single cultivar which provides the desired ratio.

Other legumes such as soyabean flour may be used for enrichment of phyto-oestrogens but the substantially poorer (approx. 10%) yield of isoflavones compared to clovers means that the manufacturing costs are substantially greater and there is substantially greater amounts of waste products which requires disposal or further treatment for re-use as a foodstuff. An alternative, however, to the use of whole soya for this purpose, is to use the hull, and hypocotyl (or germ) of the whole soyabean. The hull and hypocotyl represent only a small proportion by weight (8% and 2% respectively) of the intact bean. However, the coumestrol content of soya is concentrated in the hull, and the daidzein content of soya is concentrated in the hypocotyl. The two cotyledons which comprise the bulk of the soyabean (90% by weight) contain

the bulk of the genistein content of soya. During standard processing of soyabeans, the hulls being a fibrous component with little or no perceived nutritional value normally are separated and removed by physical means. The hypocotyls become separated following the splitting of the cotyledons, and while these currently generally are not deliberately isolated, they may be separated and isolated by passing the disturbed soyabeans over a sieve of sufficient pore size to selectively remove the small hypocotyl. The hypocotyl contains approx. 1.0–1.5 g % isoflavones (95% daidzein, 5% genistein). The raw hypocotyl and hull material can be ground or milled to produce, for example, a dry powder or flour which then could be either blended or used separately by a dietary supplement in a variety of ways including, for example, as a powder, in a liquid form, in a granulated form, in a tablet or encapsulated form, or added to other prepared foodstuffs. Alternatively, it could be further processed to yield an enriched extract of phyto-oestrogens. Either or both of these materials also could be added to other leguminous material such as clover to provide the invention.

In plants, the oestrogenic isoflavones are restricted principally to the leaf, fruit and root; the stem and petiole contain very little. With soya and other common human legume foodstuff crops, the leaves are rarely regarded as foodstuff, indeed with these crops, the plants normally are allowed to die and dry out before the seed crop is harvested. Nevertheless, the fresh leaves of these crops could be regarded as a source of phyto-oestrogens for the invention although the much lower isoflavone content of the leaves of these crops compared to clovers, plus their generally slow growth compared to clovers, suggests that they would not be a preferred source of large-scale isoflavone enrichment.

To provide a similar amount of isoflavone to that contained in most traditional legume-rich diets (50–100 mg oestrogenic isoflavones/day) would require an average daily consumption of 3–6 g dry weight or 15–30 g wet weight of specially selected cultivars of clover with particularly high isoflavone levels. Clover grasses generally are not eaten by humans, except to a limited extent as sprouts of some of the pleasanter testing varieties. Isoflavones are intensely astringent and are responsible in large part for the bitter taste of legumes. Thus the types of bean sprouts, clover sprouts and alfalfa sprouts generally available have been selected on the basis of cultivar and of age for pleasant taste, and in so doing inadvertently have been selected for low isoflavone content. Of the sprouts currently available in Western countries for human consumption, between approx. 100–250 g would need to be consumed daily to provide a dosage of 50–100 mg isoflavones. Certainly clovers and other legume sprouts are not generally eaten in such sufficient quantities by humans to obtain the advantages of the present invention.

The invention also concerns formulations containing the phyto-oestrogens discussed above together with a dietary suitable excipient, diluent, carrier, or with a food. Ideally the formulation is in the form of a pill, tablet, capsule, or similar dosage form.

The formulations may be a variety of kinds, such as nutritional supplements, pharmaceutical preparations, vitamin supplements, food additives or foods supplemented with the specified active phyto-oestrogens of the invention, liquid or solid preparations, including drinks, sterile injectable solutions, tablets, coated tablets, capsules, powders, drops, suspensions, or syrups, ointments, lotions, creams, pastes, gels, or the like. The formulations may be in convenient dosage forms, and may also include other active ingredients, and/or may contain conventional excipients, carriers and diluents. The inclusion of the subject phyto-oestrogens in herbal remedies and treatments is also a preferred part of the invention.

The invention is also directed to the amelioration, prevention, or of various conditions responsive to treatment with the phyto-oestrogen substances of the invention. The preferred amounts to be administered to the human fall within 20–200 mg on a daily basis. More preferably the dosage is from 50–150 mg on a daily basis, and most preferably at a dosage of about 100 mg. If desired greater dosages can be administered for therapeutic reasons. In contrast to prior practices such high dosages were not possible. For example, dosages of up to or greater than 1000 mg may be suitable to treat some conditions. In order to obtain the benefits of the invention, the treatment with the isoflavones should continue for a considerable period, ideally for at least a month, and ideally continuously for the whole period for which the health improvement advantages should accrue.

The product according to the present invention yields a constant and accurately known amount of isoflavones. The product is also ideally a natural product, which has advantages for consumer acceptance, and in accordance with the supposed theory behind the invention may very possibly be one of the main causes for its beneficial effects. Whole legumes have a widely variable isoflavone content due to two main causes: the type of legume and the environmental effect. The type of legume typically has a wide range of isoflavone content. The milligram of isoflavone per hundred gram of whole foodstuff (dry weight) is given in the following table.

Soya Products	
Whole Soya	150-300
Soya Milk	25-40 (mg per 200 ml)
Tofu	55-95
Lentils	80-120
Chickpeas	70-130
Broad beans	15-20
Garden peas	15-25

Thus common leguminous foodstuffs consumed in Western countries (broad beans, garden peas etc.) have relatively low oestrogenic isoflavone content and exceptionally large amounts of these would need to be consumed daily to approximate those isoflavone levels consumed in traditional diets. Most Western cultures do not traditionally eat legumes with high isoflavone contents, and those soya products (milk, tofu etc.) which are becoming increasingly popular in Western countries, also have relatively low isoflavone levels compared to whole soya, indicating that relatively large amounts of these would need to be consumed on a regular basis to deliver to the required isoflavone levels.

The environmental effect arises because the isoflavone levels in any species of plant depend generally on the age of the plant, the climatic conditions where it is grown, the fertiliser and so forth. Therefore constant and consistent dosage is very difficult with ordinary whole foodstuffs. The accurately determined quality and quantity of the active isoflavones in the product, and its easy consumability when compared with the almost impossible task of eating huge amounts of often practically inedible foods, is therefore an important feature of the invention for preventing and helping in overcoming various health problems.

Among the various health problems, the treatment or prevention of high blood cholesterol levels, and the treatment of PMS and menopausal symptoms is especially important. The product of the invention modulates the production and/or function of endogenous sex hormones in humans to

modify or produce health improving effects, including the following: (i) lowered levels of various blood lipoproteins including, for instance, low-density and very-low-density cholesterol leading to reduced risk of development of atherosclerosis, (ii) reduced risk of development of cancer of the prostate; (iii) reduced risk of cancer of the breast; (iv) reduced risk of development of cancer of the uterus; (v) reduced risk of development of cancer of the large bowel; (vi) reduced risk of development of the syndrome in women commonly referred to pre-menstrual syndrome (PMS), which includes pre-menstrual tension (PMT); (vii) reduced risk of development of many untoward symptoms (including dry vagina, peripheral flushing, depression etc.) commonly associated in women with menopause; and for treating benign breast disease in women (benign or cystic breast disease associated with non-malignant swelling and tenderness of breast tissue). The invention therefore is directed to a method for the prophylaxis or treatment of a human, to combat conditions associated with phyto-oestrogen deficiency, which comprises administering to the human an effective amount of phyto-oestrogen principally isoflavone but which might also include relatively smaller amounts of lignans and coumestans, ideally in a concentrated form, wherein the isoflavones include genistein, and/or biochanin A, and/or daidzein, and/or formononetin.

Cancer of the breast generally is considered to be associated with oestrogenic dysfunction. Breast cancer cells may display more oestrogen receptors than normal breast cells and stimulation of these receptors by endogenous oestrogens is thought to be a prime source of stimulation of their malignant growth. Currently synthetic anti-oestrogens are being used to prevent or treat the growth of malignant breast cells. Isoflavones are potent anti-oestrogens that could be expected to help prevent or to successfully treat breast cancer. It has been reported that the risk of breast cancer in western societies is indirectly proportional to the level of phyto-oestrogens in the diet and to the amounts of phyto-oestrogen metabolites excreted in the urine.

Cancer of the prostate generally is considered to be associated with sex hormone dysfunction and the growth of prostatic cancer cells is influenced by oestrogens and androgens. The incidence of prostatic cancer is low in communities with high legume intake and, conversely, is high in Western societies. Phyto-oestrogens are thought to protect from development of prostatic cancer. One mechanism may be the effect of phyto-oestrogens on lowering the proportion of unbound:bound reproductive hormones in the blood. However, there is other evidence to suggest that phyto-oestrogens, particularly isoflavones, can have a direct influence on certain cellular enzymes within prostatic cells.

Pre-menstrual syndrome has uncertain aetiology and pathogenesis, although most certainly is associated with reproductive hormone dysfunction. It also is a syndrome which has reportedly lower incidence in communities maintaining traditional high-legume diets. It is proposed that phyto-oestrogens will alleviate this condition by restoring balance to oestrogen metabolism.

Menopausal syndrome is associated with changes in the oestrogen profile in the body with advancing age. Adverse clinical symptoms may be treated with oestrogen replacement therapy. There is evidence that foodstuffs high in phyto-oestrogens are a suitable alternative to synthetic hormones in this respect, producing alleviation of adverse clinical symptoms. Again, it is proposed that phyto-oestrogens will function by restoring balance to oestrogen metabolism.

Benign (or cystic) breast disease has unknown aetiology. However, its association in women with certain stages of the

menstrual cycle is strongly suggestive of oestrogen dysfunction. There currently is no successful treatment of this condition. Phyto-oestrogens are proposed to successfully treat this condition by restoring balance to oestrogen metabolism. Atherosclerosis is associated with cholesterol metabolism which in turn is associated closely with oestrogen metabolism. The generally higher incidence of atherosclerosis in young men versus young women, the rising incidence in women following menopause, and the lower incidence in post-menopausal women receiving oestrogen replacement therapy, all point to the moderating influence of oestrogens on cholesterol metabolism. A prime effect of oestrogens on cholesterol metabolism is stimulation of the liver to process cholesterol, particularly the highly atherogenic low-density lipoproteins and very low-density lipoproteins, into bile salts. It is proposed that phyto-oestrogens have an important hypocholesterolaemic effect in humans. There may be a variety of mechanisms involved, but one may be the stimulation by phyto-oestrogens of cholesterol catabolism by the liver.

MODES FOR CARRYING OUT THE INVENTION

The invention is now described with reference to various examples.

EXAMPLE 1

Preparation of Red Clover Product

Tablets were prepared using red clover in accordance with the following procedure. The raw plant material is harvested and dried; such drying being either sun-drying or from applied heat. The dried product is then preferably chaffed, before the following extraction step, although this can be omitted if desired.

The dried material is extracted in an aqueous:organic solvent mix. The aqueous phase is required to extract the water-soluble glycoside form of isoflavones, while the organic solvent is required to solubilise the water-insoluble alkycone form. The organic solvent can be either alcohol, chloroform, acetone or ethyl acetate. The ratio of solvent in the water can be between 0.1% and 99.9%. The preferred method is to use 60% alcohol in water.

The isoflavones are extracted by exposing the plant material to the water:solvent mix. The exposure time in general terms is indirectly proportional to the temperature of the mixture. The temperature of the mix can range between ambient temperature and boiling temperature. The exposure time can be between 1 hour and 4 weeks or even longer. It has been determined that the adequate items for maximal recovery of isoflavones are 2 weeks at 50° C. and 24 hours at 90° C. The supernatant is separated from the undissolved plant material and the organic solvent removed by distillation. The aqueous supernatant then is concentrated, typically by distillation.

Additional processing steps can be used, if desired, to convert the extracted natural product to capsule, tablet, or other convenient form for ingestion, using normal techniques for doing this. Otherwise the product can be packaged as a convenient food additive.

EXAMPLE 2

Preparation of Soya Hypocotyl Product

Soyabbeans were heated in dry air so that the hull became brittle. The beans then were processed through a tumble mill which removed the hull and split the bean the two cotyle-

dons and the small-sized hypocotyl which separated from each other. The light-weight hulls then were removed by an air stream. The small-sized hypocotyls were separated from with larger cotyledons by sieving through a steel wire mesh with apertures of 1 mm×1 mm. This yields approximately 87% purity of hypocotyls with 13% contamination of small cotyledon chips.

Normal soybean processing steps isolate the hulls and then these are discarded or processed separately for use in human and animal foodstuffs. The hypocotyls normally are not separated and are processed along with the cotyledons. However, a small number of soybean processors are separating hypocotyls by the above methods in order to reduce the astringent taste of soyflour for human consumption, and currently these hypocotyls are either discarded or processed to flour for use in animal feed.

EXAMPLE 3

Effect of Administering Red Clover Extract to Humans

Seven normal individuals were studied for the comparative effects of red clover extract and whole legumes on blood cholesterol levels. All the individuals were consuming a standard Western diet with minimal levels of legumes.

Three men consumed between 100–150 g haricot or navy beans daily for 3 weeks as a supplement to their normal diet. This yielded an approximate daily isoflavone dosage or between 60–100 mg.

Four other individuals (3 men, 1 women) consumed 5 g of red clover extract containing 100 mg isoflavones daily for 3 weeks.

Total serum cholesterol levels were determined immediately before and immediately following the challenge.

	Pre-treatment	Post-treatment	% change
Beans only			
Patient 1	5.77	5.46	-5.4
Patient 2	6.24	6.12	-1.9
Patient 3	7.45	8.51	+14.3
Red clover extract			
Patient 5	6.53	5.90	-9.6
Patient 6	7.43	6.63	-10.08
Patient 7	6.33	5.50	-13.1
Patient 8	6.98	7.28	+4.3

The red clover extract had a significantly ($P < 0.05$) greater hypocholesterolaemic effect than did the whole beans.

Neither of the treatments produced any untoward side effects, although the whole bean eaters reported greater difficulty with compliance of treatment than did those taking the red clover extract.

EXAMPLE 4

Effect of Administering Soy Hypocotyls to Humans

Fifteen volunteers (8 women, 7 men) were given 5 g of soy hypocotyl containing (45 mg daidzein and 5 mg genistein) daily for 2 months. The hypocotyl was consumed as a powder added to the diet.

The effects on cholesterol levels are shown in the following table. The individuals are grouped according to their pre-treatment cholesterol levels (high, medium, low).

	n	Range (mean) ummol/L	
		Pre-treatment	Post-treatment
Group 1	6	6.3-8.4 (7.1)	5.4-6.5 (6.1)
Group 2	6	5.0-6.2 (5.5)	4.7-5.9 (5.1)
Group 3	3	3.3-4.7 (4.2)	3.4-4.6 (4.1)

The results show a significant fall in total cholesterol levels in those individuals with cholesterol levels considered to be at the upper end of the normal range.

In addition, 1 women reported substantial amelioration of her benign breast disease problem associated with mid-cycle swelling and tenderness, and another women reported regularisation of her menstrual cycle and reduced menstrual bleeding. Both of these effects were regarded as beneficial.

No other side-effects were reported as a result of the treatment.

I claim:

1. A method for treating or reducing the predisposition to a condition selected from the group consisting of benign breast disease[,] or cancer of the prostate, [or elevated blood cholesterol,] said method comprising administering to a subject having said condition or predisposed to said condition to a therapeutically effective amount of a health supplement composition comprising an extract from soya or clover, said composition comprising any two or more [phyto-estrogens] *phyto-oestrogens* of the group Genistein, Daidzein, Biochanin A, Formononetin or the natural glycosides of any of said [phyto-estrogens] *phyto-oestrogens*.

2. A method according to claim 1, wherein said composition is administered for treating benign breast disease.

3. The method according to claim 1, wherein said [phyto-oestrogen is] *phyto-oestrogens* are extracted from soy.

4. The method according to claim 3, wherein said [phyto-oestrogen is] *phyto-oestrogens* are extracted from soy hypocotyls.

5. A method according to claim 1, wherein said [phyto-oestrogen consists] *phyto-oestrogens* consist essentially of (a) genistein and (b) daidzein component, wherein component (a) optionally contains biochanin A, and component (b) optionally contains formononetin, and the ratio of [a]:b] (a) to (b) is about 1:2 to 2:1.

6. The method according to claim 1, wherein [the phyto-oestrogen is] said *phyto-oestrogens* are administered in an amount of from about 20 mg to 200 mg per day.

7. The method according to claim 1, wherein [the phyto-oestrogen is] said *phyto-oestrogens* are administered in an amount of from about 50 mg to 150 mg per day.

8. The method according to claim 1 whereby [the phyto-oestrogen is] said *phyto-oestrogens* are administered at least daily, over a period of at least a month.

9. A method according to claim 1, wherein said composition is administered for treating or reducing the predisposition to elevated levels of cholesterol in the blood stream.]

10. A method according to claim 1, wherein said composition is administered for treating cancer of the prostate.

11. A pharmaceutical preparation, in solid dosage unit form, [the] *having a* biologically active component [of said preparation] *comprising phyto-oestrogens* consisting essentially of [any two or more concentrated, phytoestrogen-derived isoflavones selected from the group consisting of Genistein, Daidzein, Biochanin A, Formononetin] (a) *genistein component and (b) daidzein component, wherein component (a) optionally contains biochanin A, and compo-*

15

ment (b) optionally contains formononetin, or the natural glycosides of [any of] said [phytoestrogens and] phyto-oestrogens, and wherein the ratio of (a) to (b) is about 1:2 to 2:1, said preparation including a pharmaceutically acceptable carrier.

12. A pharmaceutical preparation, as claimed in claim 11, wherein said solid dosage unit is selected from the group consisting of a pill, tablet, coated tablet, capsule or powder.

13. A pharmaceutical preparation, as claimed in claim 12, wherein said [isoflavone is] phyto-oestrogens are present in said solid dosage unit in an amount from about 20 mg[.] to about 200 mg[.] per dosage unit.

14. The pharmaceutical preparation according to claim 11, wherein said phyto-oestrogens are extracted from soy.

15. The pharmaceutical preparation according to claim 14, wherein said phyto-oestrogens are extracted from soy hypocotyls.

16. A method for treating or reducing the predisposition to a condition selected from the group consisting of benign breast disease, cancer of the prostate, or elevated blood cholesterol, said method comprising administering to a sub-

16

ject having said condition or predisposed to said condition a therapeutically effective amount of a health supplement composition comprising an extract from soy or clover, said composition comprising phyto-oestrogens, wherein the phyto-oestrogens consist essentially of (a) genistein and (b) daidzein, wherein component (a) optionally contains biochanin A, and component (b) optionally contains formononetin, wherein the ratio of (a) to (b) is about 1:2 to 2:1.

17. The method according to claim 16, wherein said phyto-oestrogens are administered in an amount of from about 20 mg to 200 mg per day.

18. The method according to claim 16, wherein said phyto-oestrogens are administered in an amount of from about 50 mg to 150 mg per day.

19. The method according to claim 16 wherein said phyto-oestrogens are administered at least daily, over a period of at least a month.

* * * * *