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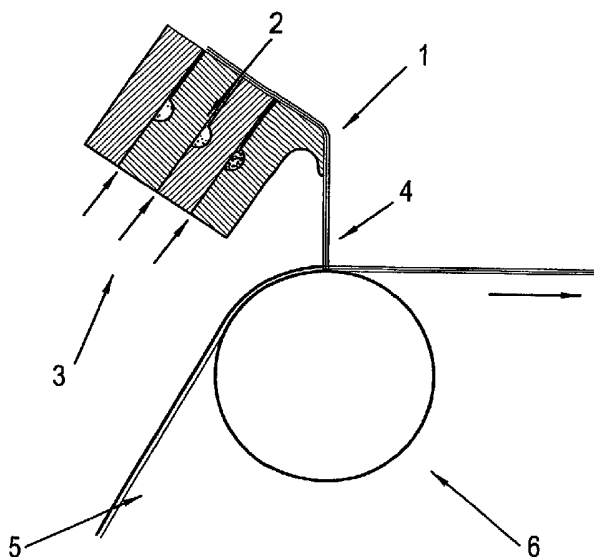
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(54) Title: METHOD OF PRODUCING A MULTILAYER COATED SUBSTRATE HAVING IMPROVED BARRIER PROPERTIES



(57) Abstract: The present invention refers to a method of producing a coated substrate comprising the steps of: a) forming a composite, multilayer free flowing curtain, whereby the multilayer free flowing curtain comprises at least two layers imparting at least two different barrier functionalities and b) contacting the curtain with a continuous web substrate.

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METHOD OF PRODUCING A MULTILAYER COATED SUBSTRATE HAVING  
IMPROVED BARRIER PROPERTIES

The present invention relates to a method of producing a  
5 coated substrate having barrier properties.

Substrates having barrier properties are of great importance  
for packaging food, beverage, or other products that are  
sensitive to environmental influences. Those substrates  
10 generally are provided with a barrier layer using well-known  
coating techniques such as blade coating, bar (rod) coating,  
reverse roll (film) coating, or air knife coating. However,  
each of these application methods has its own set of problems  
that can result in inferior barrier quality. Furthermore, a  
15 common feature of all these methods is that the amount of  
coating liquid applied to a paper web, which generally has an  
irregular surface with hills and valleys, is different  
depending on whether it is applied to a hill or a valley.  
Therefore, the coating thickness and thus the barrier  
20 properties will vary across the surface of the coated  
substrate resulting in barrier irregularities. Moreover, said  
methods are also limited in how thin a coating layer may be  
applied to the substrate. Another drawback of said coating  
methods known in the prior art that at each coating station  
25 only a single layer can be applied to the substrate. If  
several barrier layers are to be applied to a substrate, then  
each of said coating layers needs a separate coating station  
or subsequent coating in a further coating machine. This  
sequential approach to making multilayer coatings is  
30 undesirable in that the subsequent coating steps of the prior  
art fail when attempting to apply an additional layer to a  
layer which is very hydrophobic and water repellent. Despite  
their drawbacks, these coating methods are still the dominant  
processes in the paper industry due to their economics,  
35 especially since very high line speeds can be achieved.

Curtain coating is a relatively new coating technique. Japanese patent applications JP-94-89437, JP-93-311931, JP-93-177816, JP-93-131718, JP-92-298683, JP-92-51933, JP-91-298229, JP-90-217327, and JP-8-310110 and EP-A 517 223

5 disclose the use of curtain coating methods to apply one or more pigmented coating layers to a moving paper surface. More specifically, the prior art relates to:

- 10 (i) The curtain coating method being used to apply a single layer of pigmented coating to a basepaper substrate to produce a single-layer-pigmented coating on paper.
- (ii) The curtain coating method being used to apply a single priming layer of pigmented coating to a basepaper substrate prior to the application of a single layer of pigmented topcoat applied by a blade type coating process. Thus a multilayer-pigmented coating of paper was achieved by sequential applications of pigmented coating.
- 15 (iii) The curtain coating method being used to apply a single topcoating layer of pigmented coating to a basepaper substrate that has previously been primed with a single layer of pigmented precoat that was applied by a blade or a metering roll type coating process. Thus a multilayer-pigmented paper coating was achieved by sequential applications of pigmented coating.
- 20 (iv) The curtain coating method being used to apply two single layers of specialized pigmented coating to a basepaper substrate such that the single layers were applied in consecutive processes. Thus a multilayer-pigmented coating of paper was achieved by sequential applications of pigmented coating.
- 25 30

The use of a curtain coating method to apply a single layer of pigmented coating to the surface of a moving web of paper, as disclosed in the prior art discussed above, is stated to offer the opportunity to produce a superior quality coated paper surface compared to that produced by conventional

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coating means. However, the sequential application of single layers of pigmented coating using curtain coating techniques is constrained by the dynamics of the curtain coating process. Specifically, lightweight coating applications can  
5 only be made at coating speeds below those currently employed by conventional coating processes because at high coating speeds the curtain becomes unstable and this results in an inferior coated surface. Therefore, the conventional methods of producing multi-coated papers and paperboards employ the  
10 blade, rod or roll metering processes. Unfortunately, the application of consecutive single layers of pigmented coatings to paper or paperboard at successive coating stations, whether by any of the above coating methods, remains a capital-intensive process due to the number of  
15 coating stations required, the amount of ancillary hardware required, for example, drive units, dryers, etc., and the space that is required to house the machinery.

The curtain coating method for the simultaneous coating of  
20 multiple layers is well known and is described in U.S. Patents 3,508,947 and 3,632,374 for applying photographic compositions to paper and plastic web. However, photographic solutions or emulsions have a low viscosity and a low solids content, and are applied at low coating speeds.

25 In addition to photographic applications, the simultaneous application of multiple coatings by curtain coating methods is known from the art of making pressure sensitive copying paper. For example, U.S. Patent 4,230,743 discloses in one  
30 embodiment the simultaneous application of a base coating comprising microcapsules as main component and a second layer comprising a color developer as a main component onto a travelling web. However, it is reported that the resulting paper has the same characteristics as the paper made by  
35 sequential application of the layers. Moreover, the coating composition containing the color developer is described as having a viscosity between 10 and 20 cps at 22°C.

JP-A-10-328613 discloses the simultaneous application of two coating layers onto a paper web by curtain coating to make an inkjet paper. The coating compositions applied according to the teaching of that reference are aqueous solutions with an extremely low solids content of about 8 percent by weight. Furthermore a thickener is added in order to obtain non-Newtonian behavior of the coating solutions. The examples in JP-A-10-328613 reveal that acceptable coating quality is only achieved at line speeds below 400m/min.

It is taught in the art that a critical requirement for successful curtain coating at high speeds is that the kinetic energy of the falling curtain impacting the moving web be sufficiently high to displace the boundary layer air and wet the web to avoid air entrainment defects. This can be accomplished by raising the height of the curtain and/or by increasing the density of the coating. Hence, high speed curtain coating of low-density coatings, such as a functional or glossing coating containing synthetic polymer pigment for improved gloss, is taught to be difficult due to the lower kinetic energy of low-density materials, and due to the fact that increasing the height of the curtain is limited by the difficulty of maintaining a stable uniform curtain.

In view of the deficiencies of the prior art, it would be desirable to have an economical, improved process for preparing substrates, such as paper or paperboard, having barrier properties.

The technical problem underlying the present invention is the provision of a method of producing a coated substrate comprising barrier properties which overcomes the drawbacks of the prior art. It is a further object of the present invention to apply multiple barrier layers to a substrate, whereby each barrier layer imparts a specific barrier

functionality so that by selecting said specific layers a substrate having specific barrier properties can be designed.

The technical problem of the present invention is solved by a method of producing a coated substrate comprising the steps of:

- a) forming a composite, multilayer free flowing curtain, whereby the multilayer free flowing curtain comprises at least two layers imparting at least two different barrier functionalities selected from the group consisting of oil and/or grease barrier functionality, water vapor barrier functionality, water resistance functionality, and oxygen barrier functionality, and
- b) contacting the curtain with a continuous web substrate, whereby,
  - in case an oil and/or grease barrier layer is present in the multilayer curtain the coated substrate has a Kit value of at least 5 in the flat-test,
  - in case a water vapor barrier layer is present in the multilayer curtain the coated substrate has a water vapor transmission rate of less than 50 g/(m<sup>2</sup>/day) (50% relative humidity, 23°C),
  - in case a water resistance layer is present in the multilayer curtain the coated substrate has a 10 minute Cobb value of less than 20 g/m<sup>2</sup>,
  - in case an oxygen barrier layer is present in the multilayer curtain the coated substrate has an oxygen transmission rate of less than 200 cm<sup>3</sup>/(m<sup>2</sup>/24h/bar) (1 atm, 23°C, 90% relative humidity).

As used herein, the term "coated substrate" also encompasses coated basepaper or paperboard. The term "continuous web substrate" encompasses a continuous web substrate of basepaper and paperboard. Furthermore, as used herein, the term "barrier layer" is to be understood as a layer imparting at least one barrier functionality as defined above.

- The multilayer free flowing curtain of the invention has a bottom or interface layer, a top layer and optionally one or more internal layers. The coating curtain of the present invention includes at least two, preferably at least three, even more preferably at least four, more preferably at least five, and most preferably at least six layers. The layers of the curtain can include one or more printing layers, one or more functional layers, one or more spacing layers, one or more coating layers, and layers imparting barrier functionalities, and the like, or any combination thereof. A spacing layer is a layer that separates at least two other layers.
- Each layer of the curtain comprises a liquid, emulsion, dispersion, suspension or solution. In a preferred embodiment, the free-flowing curtain of step a) comprises a top layer ensuring printability.
- Preferably, the multilayer curtain of step a) comprises at least one layer comprising at least one pigment such as clay, kaolin, calcined clay, talc, calcium carbonate, titanium dioxide, satin white, synthetic polymer pigment, zinc oxide, barium sulfate, gypsum, silica, alumina trihydrate, mica, and diatomaceous earth. Kaolin, talc, calcium carbonate, titanium dioxide, satin white and synthetic polymer pigment, including hollow polymer pigments, are particularly preferred. For enhanced barrier properties at least one layer may comprise certain platy type pigments such as, for example, talc, laminar nanoparticles, high aspect ratio clay, mica, and the like.

Furthermore, in the multilayer curtain of step a) at least one layer comprises a binder. Binders useful in the practice of the present invention include, for example, styrene-butadiene latex, styrene-acrylate latex, styrene-butadiene-acrylonitrile latex, styrene-acrylate-acrylonitrile latex,



styrene-butadiene-acrylate-acrylonitrile latex, styrene-maleic anhydride latex, styrene-acrylate-maleic anhydride latex, polysaccharides, proteins, polyvinyl pyrrolidone, polyvinyl alcohol, polyvinyl acetate, cellulose and cellulose derivatives. Examples of preferred binders include carboxylated styrene-butadiene latex, carboxylated styrene-acrylate latex, carboxylated styrene-butadiene-acrylonitrile latex, carboxylated styrene-maleic anhydride latex, carboxylated polysaccharides, proteins, polyvinyl alcohol, carboxylated polyvinyl acetate latex and mixtures thereof. Examples of polysaccharides include agar, sodium alginate, and starch, including modified starches such as thermally modified starch, carboxymethylated starch, hydroxyethylated starch, and oxidized starch. Examples of proteins that can be suitably employed in the process of the present invention include albumin, soy protein, and casein.

The coatweight of each layer of the curtain can be adjusted to obtain the desired coated substrate properties. At least one of the layers of the multilayer curtain of step a) suitably has a dry coatweight of less than  $30 \text{ g/cm}^2$ , preferably less than  $20 \text{ g/cm}^2$ , more preferably less than  $10 \text{ g/cm}^2$ , even more preferably less than  $5 \text{ g/cm}^2$ , and most preferably less than  $3 \text{ g/cm}^2$ .

The coating prepared from the multilayer curtain of step a) suitably has a dry coatweight of less than  $60 \text{ g/cm}^2$ , preferably less than  $30 \text{ g/cm}^2$ , more preferably less than  $10 \text{ g/cm}^2$ , and even more preferably less than  $5 \text{ g/cm}^2$ .

The viscosity and solids content of each barrier layer can vary widely depending on the desired function. Any combination of viscosity and solids content can be employed so long as suitable barrier properties are obtained. Each barrier layer present in the multilayer curtain of the present invention preferably has a solids content of up to 75 percent by weight and a viscosity of up to 3,000 cps

(Brookfield, spindle 5, 100 rpm, 25°C) more preferably 30 to 2,000 cps. Preferably, the coatweight of a barrier layer is from 0.1 to 30 g/m<sup>2</sup>, more preferably 1 to 10 g/m<sup>2</sup>. Preferably, the viscosity of the barrier layer is at least 50 cps, is  
5 preferably at least 100 cps, is more preferably at least 200 cps, and even more preferably is from 230 cps to 2000 cps.

The free-flowing curtain of step a) preferably has a solids content of at least 10 weight percent, preferably at least 40  
10 wt%, more preferably at least 45 wt%, and most preferably at least 50 wt%.

The curtain of step a) of the invention can further include one or more non-barrier-functional layers. The purpose of the  
15 functional layer is to impart a desired functionality to the coated paper. Functional layers can be selected to provide, for example, printability, sheet stiffness, fold crack resistance, paper sizing properties, release properties, adhesive properties, and optical properties, such as, color,  
20 brightness, opacity, gloss, etc. Functional coatings that are very tacky in character would not normally be coated by conventional consecutive coating processes because of the tendency of the tacky coating material to adhere the substrate to guiding rolls or other coating equipment. The  
25 simultaneous multilayer method, on the other hand, allows such functional coatings to be placed underneath a topcoat that shields the functional coating from contact with the coating machinery.

30 Desirably, the barrier properties of the coated substrate are maintained even after the substrate is folded or bent. The fold crack resistance of the coated substrate can be determined according to a visual inspection of the folded substrate using a Heildelberg Quickfolder to crease the  
35 coated sample. The fold crack resistance of coated substrate of the present invention is preferably at least 2. In one embodiment of the invention, a flexible functional layer

and/or a flexible barrier layer is employed in order to increase the fold resistance of the coated substrate.

- In a preferred embodiment the multilayer curtain of step a) comprises at least one layer imparting barrier functionalities comprising at least one or more components such as, for example, ethylene acrylic acid copolymer, ethylene vinyl alcohol copolymer, polyurethane, epoxy resin, polyester, polyolefin, carboxylated styrene butadiene latex, carboxylated styrene acrylate latex, polyvinylidene chloride, starch, protein styrene-acrylic copolymer, styrene maleic anhydride, polyvinyl alcohol, polyvinyl acetate, carboxymethyl cellulose, silicone, wax and mixtures thereof.
- Preferably, in the composite multilayer free-flowing curtain of step a) the interface layer, which is the layer that comes in contact with the substrate to be coated, is not a barrier layer. One important function of the interface layer is to promote wetting of the substrate. The interface layer can have more than one function. For example, in addition to wetting, it may provide coverage of the substrate, and improved functional performance such as adhesion, sizing, stiffness or a combination of functions. This layer is preferably a relatively thin layer if it is not providing additional functionality. The coatweight of the interface layer suitably is from 0.1 to 30 g/m<sup>2</sup>, preferably from 1 to 3 g/m<sup>2</sup>. The solids content of the interface layer suitably is from 0.1 to 75 percent, based on the weight of the interface layer in the curtain. In one embodiment, the interface layer is relatively low in solids, preferably having a solids content of from 0.1 to 40 percent.

- In a preferred embodiment, at least one layer of the multilayer free-flowing curtain of step a) comprises additives customary to a person skilled in the art, such as, for example, at least one surfactant, at least one dispersant, at least one lubricant, at least one water-

retention agent, at least one crosslinking agent, at least one optical whitening agent, at least one pigment dye or colorant, at least one thickening agent, at least one deformer, at least one antifoaming agent, at least one biocide or at least one soluble dye or colorant, or the like. Mixtures of additives can be employed.

Preferably, the coating colors are deaerated prior to coating in order to remove air bubbles in the coating, which may cause coating defects.

The curtain layers can be simultaneously applied according to the present invention by using a curtain coating unit with a slide nozzle arrangement for delivering multiple liquid layers to form a continuous, multilayer curtain.

Alternatively, an extrusion type supplying head, such as a slot die or nozzle, having several adjacent extrusion nozzles can be employed in the practice of the present invention.

The barrier properties of the obtained coated substrate can be determined by methods customary to a person skilled in the art.

Preferably, the coated substrate has a Kit value of at least 5 in the flat-test and/or a Kit value of at least 3 in the creased-test when an oil and/or grease barrier layer is present in the multilayer curtain. Preferably, in the case where an oil and/or grease barrier layer is present in the multilayer curtain, the coated substrate will pass the hot oil (oleic acid) stain resistance test, the details of which are specified hereinbelow. In a preferred embodiment, when an oil and/or grease barrier layer is present in the multilayer curtain of step a) the coated paper or paper board has a Kit value of at least 8 in the flat test, more preferably at least 11 and most preferably at least 12. Moreover, in case that an oil and/or grease barrier layer is present in the multilayer curtain of step a) the coated paper or paper board

has a Kit value of at least 4 in the creased Kit, more preferably at least 7.

The coated substrate has preferably a water vapor  
5 transmission rate of less than  $40 \text{ g}/(\text{m}^2 \text{ day})$  (50% relative humidity,  $23^\circ\text{C}$ ), more preferably less than  $30 \text{ g}/(\text{m}^2 \text{ day})$  and most preferred less than  $10 \text{ g}/(\text{m}^2 \text{ day})$ .

10 Preferably, the coated substrate has a 10 minute Cobb value of less than  $12 \text{ g}/\text{m}^2$  when a water resistance layer is present in the multilayer curtain, more preferably less than 6, even more preferably less than  $1.5 \text{ g}/\text{m}^2$ , and most preferably less than  $0.5 \text{ g}/\text{m}^2$ .

15 Preferably, the coated substrate has an oxygen transmission rate of less than  $150 \text{ cm}^3/(\text{m}^2/24\text{h}/\text{bar})$  (1 atm,  $23^\circ\text{C}$ , 90% relative humidity) when an oxygen barrier layer is present in the multilayer curtain, more preferably less than 100  
20  $\text{cm}^3/(\text{m}^2/24\text{h}/\text{bar})$ , and even more preferably less than 50  $\text{cm}^3/(\text{m}^2/24\text{h}/\text{bar})$ .

In one embodiment, the continuous web substrate of step b) is neither precoated nor precalendared. In another embodiment the continuous web substrate of step b) is not precoated, and  
25 in a further embodiment the continuous web substrate of step b) is not precalendared.

The continuous web substrate of step b) can have a web velocity that is suitable for preparing an acceptable coated  
30 substrate. The velocity preferably is at least 200 m/min, more preferably at least 400 m/min, even more preferably at least 500 m/min, and most preferably at least 800 m/min.

The continuous web substrate of step b) preferably has a  
35 grammage, or basis weight, of from 30-400  $\text{g}/\text{m}^2$ .

Figure 1 is an explanatory cross-sectional view of a preferred curtain coating unit 1 with a slide nozzle arrangement 2 for delivering multiple streams 3 of curtain layer to form a continuous, multilayer curtain 4. When a  
5 dynamic equilibrium state is reached, the flow amount of the curtain layers flowing into the slide nozzle arrangement 2 is completely balanced with the flow amount flowing out of the slide nozzle arrangement. The free falling multilayer curtain 4 comes into contact with web 5 which is running continuously  
10 and thus the web 5 is coated with multiple layers of the respective curtain layers. The running direction of the web 5 is changed immediately before the coating area by means of a roller 6 to minimize the effect of air flow accompanying the fast moving web 5.

15 The advantage of the present invention over the prior art is that a coated barrier substrate having specific barrier properties can be obtained by combining specific functional layers within the multilayer curtain. Said technique makes it  
20 possible to apply several barrier layers to a substrate in one coating step. Furthermore, the applied barrier layers can be thinner than the barrier layers of the current state of the art. The method of the present invention also overcomes wetting or water repellency issues of coating color on a  
25 previously dry barrier layer which is the case with multistep film press or blade coating. The coated substrates of the present invention are useful in flexible and liquid packaging and can also be used as an economical protection for fabricated products.

30 The present invention is exemplified by the following examples. All parts and percentages are by weight unless otherwise indicated.

35

Examples:Test Methods5 Hot Oil Test

Hot oil (oleic acid) at 60°C is placed on the sample for 1 hour at room temperature and the sample is visually inspected for staining. If there is a stain the result is a failure. Passing samples at room temperature are placed in an oven  
10 having a temperature of 60°C for 24H, after which the oil is rubbed off and the sample is visually inspected for staining. If there is no stain the result is a pass, if there is a stain present the result is a failure.

15 Moisture Vapor Transmission Rate (MVTR)

The water vapor barrier is measured using the Technical Association of the Pulp and Paper Industry (TAPPI) test T-448. This procedure describes the means to test moisture vapor transmission rate at a temperature of 23°C and 50%  
20 relative humidity. The unit is [g/m<sup>2</sup>/day].

Cobb Test

The water resistance is measured as the resistance of the coating to the passage of puddled surface water. The test is  
25 the Cobb Size. The Cobb method measures the water absorptiveness of paper and is conducted in accordance to the test procedure defined by TAPPI T-441.

Kit Test

30 The 3M Kit Test is performed according to the test procedure defined by TAPPI T-559.

Oxygen Barrier

The oxygen barrier test is performed according to the test  
35 procedure defined by ASTM D1434.

### Fold Cracking Resistance

The fold crack resistance of the coated substrate can be determined according to a visual inspection of the folded substrate using a Heildelberg Quickfolder to crease the coated sample. Prior to folding, the samples are conditioned at 25 °C at 50% RH for 24 hours and then a black ink film is applied to enhance the contrast. After folding the crease is visual inspected and rated on a scale of 1 to 5. A rating of 1 indicates no damage to the film in the crease. A rating of 2 indicates some damage but the film remains intact. A rating of 3 indicates the film is damaged to the point that some delaminating of the film from the substrate has occurred. A rating of 4 indicates the film has failed but there is no fiber damage. A rating of 5 indicates a failed film and fiber damage.

### Brookfield Viscosity

The viscosity is measured using a Brookfield RVT viscometer (available from Brookfield Engineering Laboratories, Inc., Stoughton, Massachusetts, USA). For viscosity determination, 600 ml of a sample are poured into a 1000 ml beaker and the viscosity is measured at 25°C at a spindle speed of 20 and 100 rpm.

### Coatweight

The coatweight achieved in each paper coating experiment is calculated from the known volumetric flow rate of the pump delivering the coating to the curtain coating head, the speed at which the continuous web of paper is moving under the curtain coating head, the density and percent solids of the curtain, and the width of the curtain.

### Coating Density

The density of a curtain layer is determined by weighing a 100-millilitre sample of the coating in a pycnometer.



Paper Gloss

Paper gloss is measured using a Zehntner ZLR-1050 instrument at an incident angle of 75°.

5 Ink Gloss

The test is carried out on a Pruefbau Test Printing unit with Lorrilleux Red Ink No. 8588. An amount of 0.8 g/m<sup>2</sup> (or 1.6 g/m<sup>2</sup> respectively) of ink is applied to coated paper test strips mounted on a long rubber-backed platen with a steel  
10 printing disk. The pressure of the ink application is 1,000 N and the speed is 1 m/s. The printed strips are dried for 12 hours at 20°C at 55 % minimum room humidity. The gloss is then measured on a Zehntner ZLR-1050 instrument at an incident angle of 75°.

15

Ink Set Off

The test is carried out on a Pruefbau Test Printing unit. 250 mm<sup>3</sup> of ink (Huber No 520068) is distributed for 1 minute on the distributor. A metal printing disk is inked by being  
20 placed on the distributor for 15 seconds. The disk is placed on the first printing station. At the second printing station an uninked metal printing disk is placed, with a pressure of 400N. The coated paper strip, mounted on a rubber-backed platen, is printed with a printing pressure of 1000N at a  
25 speed of 1.5m/s. Time 0 is taken when printing happens. After the strip is printed at the first station, move the strip towards second printing station, or Set off station, by moving the hand lever. At the set off station, place a blank paper strip between the printed paper and the disk. At 15, 30  
30 60 and 120 seconds, the blank paper is pressed against the printed sample in the set off station by moving the hand lever. The amount of non-immobilized ink from the printed paper transferred to the blank paper is measured by ink densities as given by optical density measurements.

35

Brightness

Brightness is measured on a Zeiss Elrepho 2000. Brightness

is measured according to ISO standard 2469 on a pile of sheets. The result is given as R457.

#### Dry Pick Resistance (IGT)

- 5 This test measures the ability of the paper surface to accept the transfer of ink without picking. The test is carried out on an A2 type printability tester, commercially available from IGT Reptest BV. Coated paper strips (4 mm x 22 mm) are printed with inked aluminum disks at a printing pressure of
- 10 36 N with the pendulum drive system and the high viscosity test oil (red) from Reptest BV. After the printing is completed, the distance where the coating begins to show picking is marked under a stereomicroscope. The marked distance is then transferred into the IGT velocity curve and
- 15 the velocities in cm/s are read from the corresponding drive curve. High velocities mean high resistance to dry pick.

#### Wet Pick

- The test is carried out on a Pruefbau Test Printing unit
- 20 equipped with a wetting chamber. 500 mm<sup>3</sup> of printing ink (Hueber 1, 2, 3 or 4, depending on overall wet pick resistance of the paper) is distributed for 2 min on the distributor; after each print re-inking with 60 mm<sup>3</sup> of ink. A vulcanized rubber printing disk is inked by being placed on
- 25 the distributor for 15 sec. Then, 10 mm<sup>3</sup> of distilled water is applied in the wetting chamber and distributed over a rubber roll. A coated paper strip is mounted on a rubber-backed platen and is printed with a printing pressure of 600N and a printing speed of 1 m/s. A central strip of coated
- 30 paper is wetted with a test stripe of water as it passes through the wetting chamber. Printing is done on the same test strip immediately after coming out of the wetting chamber. Off print of the printing disk is done on a second coated paper test strip fixed on a rubber-backed platen; the
- 35 printing pressure is 400N. Ink densities on both test strips are measured and used in the following formulas:

Paper Roughness

The roughness of the coated paper surface is measured with a Parker PrintSurf roughness tester. A sample sheet of coated paper is clamped between a cork-melinex platen and a

5 measuring head at a clamping pressure of 1,000 kPa.

Compressed air is supplied to the instrument at 400 kPa and the leakage of air between the measuring head and the coated paper surface is measured. A higher number indicates a higher degree of roughness of the coated paper surface.

10

Formulations:

The following materials were used in the coating liquids:

- 15 • Carbonate: dispersion of calcium carbonate with particle size of 90% < 2  $\mu$ m in water (Hydrocarb® 90 ME available from Omya AG, Oftringen, Switzerland), 77% solids.
- Clay: dispersion of No. 1 high brightness kaolin clay with particle size of 98% < 2  $\mu$ m in water (Hydragloss® 90 available from J.M Huber Corp., Have de Grace, Maryland, 20 USA), 71% solids.
- Latex (A): carboxylated styrene-butadiene latex (DL 966 available from The Dow Chemical Company, Midland, Michigan, USA), 50% solids in water.
- 25 • Latex (B): carboxylated styrene-butadiene latex (DL 980 available from The Dow Chemical Company, Midland, Michigan, USA), 50% solids in water.
- PVOH: solution of 15% of low molecular weight synthetic polyvinyl alcohol (Mowiol® 6/98 available from Clariant AG, Basel Switzerland)
- 30 • Surfactant: aqueous solution of sodium di-alkylsulphosuccinate (Aerosol® OT available from Cyanamid, Wayne, New Jersey, USA), 75% solids.
- PE Dispersion (A): anionic dispersion of ethylene acrylic acid copolymer in water with minimum film formation 35 temperature of 26°C and Tg of 4°C (Techseal® E-799/35,

available from Trueb Chemie, Ramsen, Switzerland), 35% solids.

- PE Dispersion (B): ethylene vinyl alcohol copolymer in water (Exceval AQ 4005, available from EVAL Europe, Zwijndrecht, Belgium, this product is delivered as a dry powder and a solution is made at coater), 15% solids in water
- Whitener: fluorescent whitening agent derived from diamino-stilbenedisulfonic acid (Tinopal® ABP/Z, available from Ciba Specialty Chemicals Inc. Basel, Switzerland).

#### Coating procedure:

The formulations were coated onto paper moving at 500m/min according to the following procedure. A multilayer slide die type curtain coater manufactured by Troller Schweizer Engineering (TSE, Murgenthal, Switzerland) was used. The curtain coating apparatus was equipped with edge guides lubricated with a trickle of water and with a vacuum suction device to remove this edge lubrication water at the bottom of the edge guide just above the coated paper edge. In addition, the curtain coater was equipped with a vacuum suction device to remove interface surface air from the paper substrate upstream from the curtain impingement zone. The height of the curtain was 300 mm. Coating formulations were deaerated prior to use to remove air bubbles.

#### Example 1

The above ingredients were mixed in the amounts and applied at the coatweights given in Table 1.

Table 1

	Slot 1	Slot 2	Slot 3	Slot 4	Slot 5
Carbonate	70		70		70
Clay	30		30		30
Latex (A)					11
Latex (B)	50		50		
PVOH	1		1		2.5
PE Dispersion (B)				100	
PE Dispersion (A)		100			
Surfactant	0.4	0.2	0.4	0.4	0.2
Whitener					1
Density (g/cc)	1.34	0.98	1.34	1.03	1.57
Viscosity (100 rpm Brookfield) (mPa·s)	430	320	430	300	1040
Coatweight (g/m <sup>2</sup> )	6	2	2.5	2.5	4.5
pH	8.5	8.2	8.5	9.1	8.5
Solids (%)	59.9	34.7	59.9	16	65.1

The pH of the pigmented coatings formulations was adjusted by adding NaOH solution (10%) to a value as indicated in table 1. Water was added as needed to adjust the solids content of the formulations.

A pigmented layer (slot 1) was placed next to the paper. This formulation contained a high amount of a low Tg latex to ensure good fold cracking resistance for the barrier paper and a water soluble polymer to form the interface layer. The next layer (slot 2) contained an ethylene acrylic acid dispersion to form a water and water vapor barrier layer. The next layer (slot 3) contained a pigmented layer with a high amount of a low Tg latex to ensure good fold cracking resistance for the barrier paper. The next layer (slot 4) contained a water soluble ethylene vinyl alcohol copolymer to provide good grease and oil resistance. The top layer (slot 5) was a pigment layer with an optical brightening agent in the formulation to form a good printing surface.

Example 2

The method of Example 1 was repeated except that the intermediate coating layer (Slot 3 of Table 1) was removed and the coatweights of the barrier coating layers as well as the top printing layer were adjusted as shown in Table 2.

Table 2

	Slot 1	Slot 2	Slot 3	Slot 4
Carbonate	70			70
Clay	30			30
Latex (A)				11
Latex (B)	50			
PVOH	1			2.5
PE Dispersion (B)			100	
PE Dispersion (A)		100		
Surfactant	0.4	0.2	0.4	0.2
Whitener				1
Density (g/cc)	1.34	0.98	1.03	1.57
Viscosity (100 rpm Brookfield) (mPa·s)	430	320	300	1040
Coatweight (g/m <sup>2</sup> )	6	3	1.5	6
pH	8.5	8.2	9.1	8.5
Solids (%)	59.9	34.7	16	65.1

Example 3

- 10 The method of Example 2 was repeated except that coatweight of Slot 1 was decreased to 2 g/m<sup>2</sup> and the coatweights of the barrier layers Slot 2 and Slot 3 were increased to 5 and 2.5 g/m<sup>2</sup> respectively.
- 15 Table 3 contains the Cobb, MVTR, Kit and Hot Oil properties for examples.

Table 3

	Cobb 10 minutes (g/m <sup>2</sup> )	Water vapor transmission rate (g/m <sup>2</sup> /24h) T=23°C, RH=50%/ T=38°C, RH=90%	Kit Flat/creased	Hot Oil
Example 1	8.9	9.12/162	7/fail	pass
Example 2	10.3	n.m./119	5/n.m.	pass
Example 3	11.1	n.m./94	12/3	pass

n.m.=not measured

The results in Table 3 shows that it is possible to have a  
5 combination of improved water and oil/grease barrier  
properties from the multilayer curtain.

Table 4 summarizes the coated paper properties for the  
examples.

10

Table 4

Coated Paper Properties	Example 1	Example 2	Example 3
PAPER GLOSS 75°	63	62	66
INK GLOSS 75°; 0.8 g/m <sup>2</sup> INK	85	77	88
INK GLOSS 75°; 1.6 g/m <sup>2</sup> INK	91	88	93
SMOOTHNESS PPS H 1000	1.3	1.3	1.0
ISO BRIGHTNESS R 457	92.5	93.7	93.7
IGT DRY PICK	>110	>110	>110
WET PICK: INK TRANSFER	2	24	23
WET PICK: INK REFUSAL	98	70	75
WET PICK: WET PICK	0	6	2
INK SET OFF AFTER 15 SEC.	1.22	1.09	1.13
INK SET OFF AFTER 30 SEC.	1.14	0.92	1.10
INK SET OFF AFTER 60 SEC.	1.10	0.72	0.93
INK SET OFF AFTER 120 SEC.	1.07	0.64	0.89

The results in Table 4 show that the multilayer curtain with  
barrier layers and a top printing layer gave acceptable  
15 coated paper properties compared to current commercial  
papers.

## Claims

1. A method of producing a coated substrate comprising the steps of:
- 5 a) forming a composite, multilayer free flowing curtain, whereby the multilayer free flowing curtain comprises at least two layers imparting at least two different barrier functionalities selected from the group consisting of oil and/or grease barrier
- 10 functionality, water vapor barrier functionality, water resistance functionality, and oxygen barrier functionality, and
- b) contacting the curtain with a continuous web substrate, whereby,
- 15 in case an oil and/or grease barrier layer is present in the multilayer curtain the coated substrate has a Kit value of at least 5 in the flat-test,
- in case a water vapor barrier layer is present in the multilayer curtain the coated substrate has a water
- 20 vapor transmission rate of less than  $50 \text{ g}/(\text{m}^2/\text{day})$  (50% relative humidity,  $23^\circ\text{C}$ ),
- in case a water resistance layer is present in the multilayer curtain the coated substrate has a 10 minute Cobb value of less than  $20 \text{ g}/\text{m}^2$ ,
- 25 in case an oxygen barrier layer is present in the multilayer curtain the coated substrate has an oxygen transmission rate of less than  $200 \text{ cm}^3/(\text{m}^2/\text{d}/\text{bar})$  (1 atm,  $23^\circ\text{C}$ , 90% relative humidity).
- 30 2. A method according to claim 1, characterized in that the free flowing curtain of step a) comprises an additional top layer ensuring printability.
- 35 3. A method according to any of the preceding claims, characterized in that in case an oil and/or grease barrier layer is present in step a), the coated



substrate has a Kit value of at least 8 in the flat-test, preferably at least 11 in the flat-test.

4. A method according to any of the preceding claims,  
5 characterized in that in case a water vapor barrier layer is present in step a), the coated substrate has a water vapor transmission rate of less than 40 g/(m<sup>2</sup>/day) (50% relative humidity, 23°C), preferably less than 30 g/(m<sup>2</sup>/day) (50% relative humidity, 23°C).  
10
5. A method according to any of the preceding claims,  
characterized in that in case a water resistance barrier layer is present in step a), the coated substrate has a 10 minute Cobb value of less than 12  
15 g/m<sup>2</sup>, preferably less than 1.5 g/m<sup>2</sup>.
6. A method according to any of the preceding claims,  
characterized in that in case an oxygen barrier layer is present in step a), the coated substrate has an  
20 oxygen transmission rate of less than 150 cm<sup>3</sup>/(m<sup>2</sup>/24h/bar) (1 atm, 23°C, 90% relative humidity), preferably less than 100 cm<sup>3</sup>/(m<sup>2</sup>/24h/bar).
7. A method according to any of the preceding claims,  
25 characterized in that the multilayer curtain of step a) comprises an additional interface layer which is the layer that comes in contact with the substrate.
8. A method according to any of the preceding claims,  
30 characterized in that the multilayer curtain of step a) comprises at least an additional layer ensuring fold crack resistance.
9. A method according to any of the preceding claims,  
35 characterized in that at least one of the layers of the multilayer curtain of step a) has a coat-weight

when dried of less than 30 g/m<sup>2</sup>, preferably less than 20 g/m<sup>2</sup>, most preferred less than 10 g/m<sup>2</sup>.

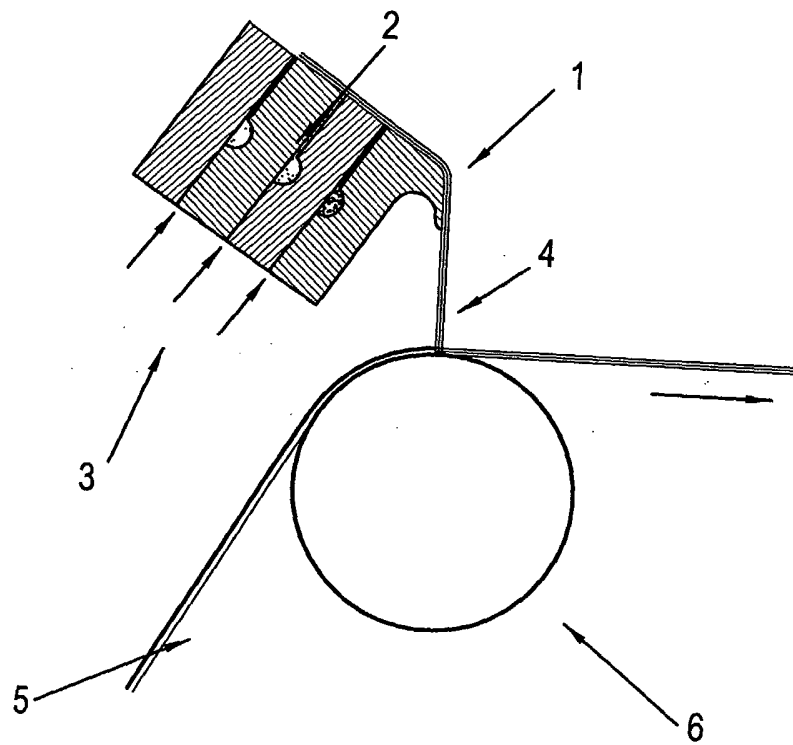
10. A method according to any of the preceding claims,  
5 characterized in that the multilayer curtain of step a) has a coat-weight when dried of less than 60 g/m<sup>2</sup>, preferably less than 30 g/m<sup>2</sup>.
11. A method according to any of the preceding claims,  
10 characterized in that the multilayer curtain of step a) comprises at least 3 layers, preferably at least 4 layers, more preferred at least 5 layers and most preferred at least 6 layers.
12. A method according to any of the preceding claims,  
15 characterized in that the multilayer curtain of step a) comprises at least one layer comprising at least one pigment.
13. A method according to claim 12, characterized in that  
20 the pigment is selected from the group consisting of clay, kaolin, calcined clay, talc, calcium carbonate, laminar nanoparticles, high aspect ratio clays, titanium dioxide, satin white, synthetic polymer  
25 pigment, zinc oxide, barium sulfate, gypsum, silica, alumina trihydrate, mica, and diatomaceous earth.
14. A method according to any of the preceding claims,  
30 characterized in that at least one layer imparting barrier functionality of the multilayer curtain of step a) comprises at least one or more components selected from the group consisting of ethylene  
35 acrylic acid copolymer, ethylene vinyl alcohol copolymer, polyurethane, epoxy resin, polyester, polyolefin, carboxylated styrene butadiene latex, carboxylated styrene acrylate latex, polyvinylidene chloride, starch, protein styrene-acrylic copolymer,

styrene maleic anhydride, polyvinyl alcohol, polyvinyl acetate, carboxymethyl cellulose, silicone, wax and mixtures thereof.

- 5      15.    A method according to any of the preceding claims, characterized in that at least one layer of the multilayer free flowing curtain of step a) comprises at least one surfactant.
- 10     16.    A method according to any of the preceding claims, characterized in that the multilayer free flowing curtain of step a) has a solids content of at least 10 wt%, preferably of at least 40 wt%, most preferred of at least 45 wt%.
- 15     17.    A method according to any of the preceding claims, characterized in that the continuous web substrate of step b) is a basepaper or a paperboard.
- 20     18.    A method according to any of the preceding claims, characterized in that the continuous web substrate of step b) is neither precoated nor precalendared.
- 25     19.    A method according to any of the preceding claims, characterized in that the continuous web substrate of step b) has a web velocity of at least 200 m/min, preferably at least 400 m/min, most preferred at least 500 m/min.
- 30     20.    A method according to any of the preceding claims, characterized in that the continuous web substrate of step b) has a grammage, or basis weight, of from 30 to 400 g/m<sup>2</sup>.
- 35     21.    A coated substrate obtainable by the method of any of the preceding claims.

22. A coated substrate according to claim 21,  
characterized in that the coated substrate is coated  
paper or paperboard.

FIG. 1



## INTERNATIONAL SEARCH REPORT

PCT/US 02/32938

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 D21H23/48 D21H19/80 D21H27/10 B05D1/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 D21H B05D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, EPO-Internal, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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X	WO 01 54828 A (TETRA LAVAL HOLDINGS & FINANCE ;FLEMMER KARLSSON KATARINA (SE); BE) 2 August 2001 (2001-08-02) abstract claims 1-3,5,6,10 ---	1,6, 11-14, 17,21,22
E	EP 1 249 533 A (DOW CHEMICAL CO) 16 October 2002 (2002-10-16) abstract claims 1,3,6,8,11,13 tables 1-3 paragraphs '0009!,'0033! ---	1,2,9-22
Y	WO 02 053838 A (ROCK TENN CO) 11 July 2002 (2002-07-11) figure A figures 1-3 --- -/--	1,21



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

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- \* & \* document member of the same patent family

Date of the actual completion of the international search

1 April 2003

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PCT/US 02/32938

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