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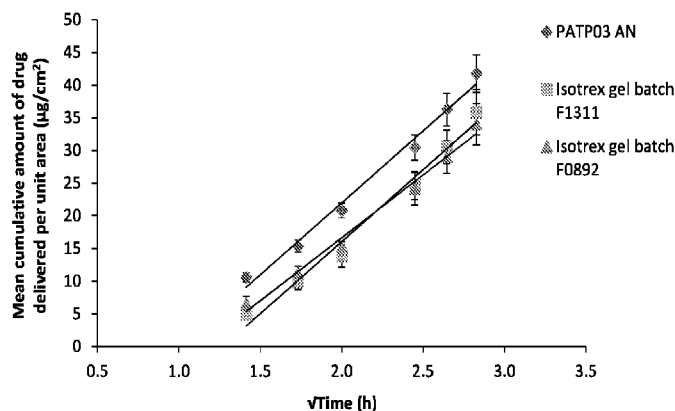
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FIGURE 2



(57) Abstract: Provided herein are novel isotretinoin formulations that provide an enhanced targeted dermal delivery system for the drug isotretinoin with improved thermodynamic activity using no to a small level of ethanol relative to existing isotretinoin gel products, and methods for treatment of ichthyosis and other skin conditions using the same.

## **ISOTRETINOIN FORMULATIONS AND USES AND METHODS THEREOF**

### **CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This PCT International Application claims the benefit and priority in and to U.S. Provisional Application No. 62/248,760, filed October 30, 2015, and U.S. Provisional Application No. 62/301,759, filed March 1, 2016, which are both incorporated in their entirety herein by reference.

### **FIELD OF THE INVENTION**

[0002] The present invention relates to novel isotretinoin formulations. More particularly, the present invention provides an enhanced targeted dermal delivery system for the drug isotretinoin with minimal to no systemic penetration and improved thermodynamic activity using no ethanol or a small level of ethanol relative to existing isotretinoin gel products, and methods for treatment of ichthyosis and other skin conditions using the same.

### **BACKGROUND OF THE INVENTION**

[0003] The following discussion of the background of the invention is merely provided to aid the reader in understanding the invention and is not admitted to describe or constitute prior art to the present invention. This PCT International Application refers to various publications and publicly available products, and the disclosures from such publications and products are incorporated in their entirety herein by reference.

[0004] Ichthyosis is a heterogeneous family of at least 28 mostly genetic skin disorders, characterized by dry, thickened, scaly or flaky skin, resulting from excessive aggregation of keratinocytes (abnormal cornification). Ichthyosis and related skin type disorders include a broad spectrum of conditions differing in onset (congenital to adult onset), etiology (inherited versus acquired), intensity (mild to severe), and involvement (confined to the skin versus multisystem). In most, the barrier function of the skin is abnormal due to the abnormal process of epidermal maturation/differentiation, the quantity and quality of the stratum corneum, and keratinocyte proliferation kinetics. The American College of Osteopathic Dermatology estimates that 1 in 250 individuals are affected by ichthyosis vulgaris, one of the more common types of ichthyosis. While several types can be acquired, most forms of ichthyosis are considered congenital. These types include, but not limited to, Ichthyosis

vulgaris, X-linked ichthyosis, Lamellar ichthyosis, Congenital Ichthyosiform Erythroderma, Epidermolytic ichthyosis, Erythrokeratoderma variabilis, Pachyonychia congenital, Palmoplantar keratodermas, Harlequin type ichthyosis, Refsum disease, Conradi-Hunermann-Happle syndrome, CHILD syndrome, ichthyosis en confettis, Epidermolytic nevus, Loricrin keratoderma, Voishwinkel's disease and Sjögren-Larsson syndrome. Current lifelong therapy consists of emollients, including most standard ones which are poorly effective for treatment of severe ichthyosis, and keratolytic agents, such as alphahydroxy acids, produce burning sensations, especially in children. For congenital ichthyosis, however, there currently exist no FDA approved therapies.

**[0005]** Isotretinoin is a retinoid, approved by the FDA for the treatment of severe recalcitrant nodular acne. Chemically, isotretinoin is 13-cis-retinoic acid and is a derivative of vitamin A. Isotretinoin was initially developed and approved in 1982 for the treatment of acne. There are a number of ongoing studies regarding the use of isotretinoin for treatment of musculoskeletal and connective tissue inflammations, emphysema, ulcerating diseases and various cancers, namely treating cervical tumors in HIV positive women, the prevention of lung cancer in smokers and the prevention of skin cancer. Studies have been recently completed or ongoing regarding the role of isotretinoin (usually in combination with other drugs) in the treatment of neuroblastoma, recurrent prostate cancer, leukemia, high-grade glioma, head and neck cancers and multiple myeloma. Isotretinoin has also been proved to be useful in the treatment of certain dermatological conditions such as gram-negative folliculitis, recalcitrant rosacea, pyoderma faciale, generalized lichen planus, psoriasis, cutaneous lupus erythematosus, acne fulminans, and squamous cell carcinoma. It is also used for the treatment of cutaneous photoaging. Further, isotretinoin has been used in treating ichthyosis patients; however, this use has been limited to systemic delivery via oral administration, which is burdened with acute and chronic side effects to the patients, including birth defects, miscarriage, elevated cholesterol and triglycerides levels, skin dryness or stickiness, and pseudotumor cerebri. *See, e.g.,* John J. DiGiovanna et al., "Systemic Retinoids in the Management of Ichthyoses and Related Skin Types," *Dermatol. Ther.*, Vol. 26(1): 26-38 (Jan-Feb. 2013).

**[0006]** Isotretinoin was originally approved as a capsule, and it is still only available in this form in the United States. Isotretinoin formulations as a gel or cream were also developed after the oral dosage form and are available in some countries outside the U.S. Additionally, a

formulation known as “Isotrexin,” comprising isotretinoin and erythromycin, has been marketed outside the United States. However, many topical formulations comprise high concentrations of ethanol as a solvent and penetration enhancer. High levels of ethanol, while aiding in drug permeability, can cause severe skin irritation and dryness, making many existing isotretinoin formulations unsuitable for use in treatment of skin conditions such as ichthyosis.

[0007] Therefore, there is a need for novel topical delivery systems of isotretinoin that can safely be used for dermal administration without the irritating effects of the existing topical formulations of isotretinoin but maintaining the targeted administration of the drug. Further, there is a need for novel topical delivery systems of isotretinoin in order to reduce or eradicate the risk of systemic side effects associated with isotretinoin administration.

### SUMMARY OF THE INVENTION

[0008] The present invention is based on the surprising discovery of novel formulations of isotretinoin that have no ethanol or significantly lower amounts of ethanol than presently marketed isotretinoin products but enhanced targeted local delivery of isotretinoin into the epidermis and dermis. Additionally, such novel formulations of isotretinoin demonstrate minimal to no systemic penetration.

[0009] In one aspect, the present invention comprises a pharmaceutical composition comprising: isotretinoin; at least one penetration enhancer; at least one solvent; at least one viscosity modifying agent at least one preservative; and water. In another aspect, an antioxidant can also be included. In another aspect, the pharmaceutical composition is selected from the group consisting of a gel, ointment, lotion, emulsion, cream, foam, mousse, liquid, spray, suspension, dispersion and aerosol. In another aspect, the at least one penetration enhancer can be selected from the group consisting of ethanol, transcutol, and propylene glycol, or a combination thereof, and wherein the concentration of the penetration enhancer is 0% w/w but no greater than 12.5% w/w. In another aspect, the antioxidant can be BHT, N-acetylcysteine (“NAC”), or a combination thereof. In a further aspect, the antioxidant can be present in a concentration of 0.1%-30% w/w. In another aspect, the viscosity modifying agents can include cetyl alcohol, glycerol, polyethylene glycol (“PEG”), or a combination thereof. In another aspect, the at least one preservative can be selected from the group consisting of methyl parabens, propyl parabens, BHT, and a combination thereof.

In yet further aspect, the solvent can be selected from the group consisting of PEG, propylene glycol, Transcutol P, ethanol, and a combination thereof. The penetration enhancers can, in some aspects, be present in a concentration of 0% w/w, 2% w/w, 5% w/w, or 10% w/w, or within the range of 0% w/w but no greater than 2% w/w. In a further aspect, the isotretinoin is present in a concentration selected from the group consisting of 0.025% w/w, 0.05% w/w, 0.10% w/w, 0.15% w/w, and 0.2% w/w. With respect to PEG, PEG can be any PEG of various molecular weights known in the art, such as those within the range of PEG 200 to PEG 4000. In a further aspect, the PEG can be a PEG (*e.g.*, PEG 3350; PEG 4000), or combination of PEGs (*e.g.*, PEG 1450 & PEG 3350). In another aspect, at least one humectant, which includes but not limited to urea or the like. In a further aspect, the at least one humectant can be present in a concentration of 1%-40% w/w. In another aspect, an anti-inflammatory compound can also be included. In a further aspect, the anti-inflammatory compound can be NAC, a steroid, a nonsteroidal anti-inflammatory compound, or a combination thereof. In a further aspect, the anti-inflammatory compound can be present in a concentration of 0.003%-10% w/w.

**[0010]** In another aspect, a pharmaceutical composition is provided comprising isotretinoin, PEG400, water, ethanol, methyl parabens, propyl parabens, PEG 4000, and BHT, wherein the concentration of ethanol is between 0% w/w and 10% w/w. The isotretinoin, in certain aspects, can be present in a concentration 0.025% w/w, 0.05% w/w, 0.10% w/w, 0.15% w/w, and 0.2% w/w; and in preferred aspects can be present in a concentration of 0.2% w/w.

**[0011]** In yet another aspect, a pharmaceutical composition is provided comprising about 0.05% w/w to about 0.5% w/w isotretinoin, about 60% w/w to about 65% w/w PEG 400, about 6% w/w to about 12% w/w water, about 5% w/w to about 10% w/w ethanol, about 0.05% w/w to about 0.5% w/w methyl parabens, about 0.01 % w/w to about 0.05% propyl parabens, about 15% w/w to about 20% w/w PEG 4000, and about 0.05% w/w to about 0.2% w/w BHT.

**[0012]** In a still further aspect, the present invention provides a pharmaceutical composition comprising about 0.2% w/w isotretinoin, about 63.4% w/w PEG 400, about 9% w/w water, about 10% w/w ethanol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

**[0013]** In yet another aspect, a pharmaceutical composition is provided comprising about 0.2% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutool, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

**[0014]** In another aspect, the present invention provides a pharmaceutical composition comprising about 0.1% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutool, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

**[0015]** In another aspect, the present invention provides a method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition comprising: isotretinoin; at least one penetration enhancer; at least one solvent; at least one preservative; and water; wherein the concentration of ethanol is between 0% w/w and 10% w/w. In some aspects, the pharmaceutical composition can also comprise at least one component selected from the group consisting of: an antioxidant, an emollient, a viscosity modifying agent, and a combination thereof. In some aspects, the administration can be dermal. In other aspects, the congenital ichthyosis is selected from the group consisting of Ichthyosis vulgaris, X-linked ichthyosis, Lamellar ichthyosis, Congenital Ichthyosiform Erythroderma, Epidermolytic ichthyosis, Erythrokeratoderma variabilis, Pachyonychia congenita, Palmoplantar keratodermas, Harlequin type ichthyosis, Refsum disease, Conradi-Hunermann-Happle syndrome, CHILD syndrome, ichthyosis en confettis, Epidermolytic nevus, Loricrin keratoderma, Voishwinkel's disease and Sjögren-Larsson syndrome. In certain aspects, the pharmaceutical composition comprises about 0.01% w/w to about 0.5% w/w isotretinoin, about 60% w/w to about 65% w/w PEG 400, about 6% w/w to about 12% w/w water, about 5% w/w to about 12.5% w/w ethanol, about 0.05% w/w to about 0.5% w/w methyl parabens, about 0.01 % w/w to about 0.05% propyl parabens, about 15% w/w to about 20% w/w PEG 4000, and about 0.05% w/w to about 0.2% w/w BHT. In other aspects, the pharmaceutical composition comprises about 0.2% w/w isotretinoin, about 63.4% w/w PEG 400, about 9% w/w water, about 10% w/w ethanol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT. In still other aspects, the pharmaceutical composition comprises about 0.2% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutool, about 0.2% w/w methyl

parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT. In still further aspects, the pharmaceutical composition comprises about 0.1% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcitol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT. In a further aspect, the subject is human.

**[0016]** In another aspect, a pharmaceutical composition comprises isotretinoin, and at least one solvent. In a further aspect, the pharmaceutical composition further comprises at least one penetration enhancer. In yet further aspect, the at least one penetration enhancer is selected from the group consisting of ethanol, transcitol, propylene glycol, and a combination thereof. In a further aspect, the at least one penetration enhancer is ethanol, and the concentration of ethanol is no greater than 2% w/w. In a further aspect, the pharmaceutical composition further comprises 0% w/w ethanol and/or 0% w/w water. In a further aspect, the pharmaceutical composition further comprises at least one preservative. In yet a further aspect, the at least one preservative is selected from the group consisting of methyl parabens, propyl parabens, BHT, and a combination thereof. In yet a further aspect, the at least one solvent is selected from the group consisting of polyethylene glycol (PEG), propylene glycol, and a combination thereof. In a further aspect, the pharmaceutical composition is formulated as a gel, ointment, lotion, emulsion, cream, foam, mousse, liquid, spray, suspension, dispersion or aerosol.

**[0017]** In a further aspect, the pharmaceutical composition further comprises at least one antioxidant. The at least one antioxidant can be NAC, BHT, or a combination thereof. In a further aspect, the concentration of NAC is at least 0.1% w/w but no greater than 30% w/w. In a further aspect, an anti-inflammatory compound can also be included, wherein the anti-inflammatory compound can be NAC, a steroid, a nonsteroidal anti-inflammatory compound, or a combination thereof. In yet a further aspect, the anti-inflammatory compound can be present in a concentration of 0.003%-10% w/w.

**[0018]** In a further aspect, the pharmaceutical composition further comprises at least one humectant. The at least one humectant can be urea, and the concentration of urea can be at least 1% w/w but no greater than 40% w/w. In a further aspect, the pharmaceutical composition further comprises at least one viscosity modifying agent. The at least one viscosity modifying agent can be glycerol, PEG, or a combination thereof.

[0019] In another aspect, a pharmaceutical composition comprising isotretinoin, PEG 400, PEG 3350, and BHT. In a further aspect, the pharmaceutical composition further comprises methyl parabens and/or propyl parabens. In a further aspect, the isotretinoin is present in the concentration of about 0.01% to about 0.2% w/w. In a further aspect, the isotretinoin is present in the concentration of about 0.025% to about 0.2% w/w. In a further aspect, the pharmaceutical composition further comprises ethanol, wherein the concentration of ethanol is no greater than 2% w/w. Alternatively, in a further aspect, the pharmaceutical composition contains 0% w/w ethanol. In a further aspect, the pharmaceutical composition further comprises NAC.

[0020] In another aspect, a pharmaceutical composition comprising isotretinoin, PEG 400, PEG 3350, PEG 1450, and BHT. In a further aspect, the pharmaceutical composition further comprises methyl parabens and/or propyl parabens. In a further aspect, the isotretinoin is present in the concentration of about 0.01% to about 0.2% w/w. In a further aspect, the isotretinoin is present in the concentration of about 0.025% to about 0.2% w/w. In a further aspect, the pharmaceutical composition further comprises ethanol, wherein the concentration of ethanol is no greater than 2% w/w. Alternatively, in a further aspect, the pharmaceutical composition contains 0% w/w ethanol. In a further aspect, the pharmaceutical composition further comprises NAC.

[0021] In another aspect, a pharmaceutical composition comprising about 0.01% w/w to about 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, 0% w/w to about 2% w/w ethanol, about 0.2% w/w methyl parabens; about 0.02% w/w propyl parabens, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT. In a further aspect, wherein the amount of isotretinoin is about 0.025% to about 0.6%.

[0022] In another aspect, a pharmaceutical composition comprising about 0.01% w/w to about 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, 0% w/w to about 2% w/w ethanol, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT. In a further aspect, wherein the amount of isotretinoin is about 0.025% to about 0.6%.



[0023] In another aspect, a method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition comprising isotretinoin, and at least one solvent. In a further aspect, the at least one solvent is 0% w/w but no greater than 2% w/w ethanol and/or PEG. In a further aspect, the pharmaceutical composition further comprises at least one antioxidant, wherein the at least one antioxidant is BHT, NAC, or a combination thereof. In a further aspect, the administration is dermal. In a further aspect, the congenital ichthyosis is selected from the group consisting of Ichthyosis vulgaris, X-linked ichthyosis, Lamellar ichthyosis, Congenital Ichthyosiform Erythroderma, Epidermolytic ichthyosis, Erythrokeratoderma variabilis, Pachyonychia congenita, Palmoplantar keratodermas, Harlequin type ichthyosis, Refsum disease, Conradi-Hunermann-Happle syndrome, CHILD syndrome, ichthyosis en confettis, Epidermolytic nevus, Loricrin keratoderma, Voishwinkel's disease, and Sjögren-Larsson syndrome. In a further aspect, the subject is human.

[0024] In another aspect, a method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition, the pharmaceutical composition comprising about 0.01% w/w to about 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, about 0% w/w to about 2% w/w ethanol, about 0.2% w/w methyl parabens; about 0.02% w/w propyl parabens, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT. In a further aspect, wherein the amount of isotretinoin is about 0.025% to about 0.6%. In a further aspect, wherein the amount of isotretinoin is about 0.025% to about 0.2%. In a further aspect, the subject is human.

[0025] As used herein, "transdermal" administration means transport of an agent through or by way of the skin for introduction into systemic circulation.

[0026] As used herein, "dermal" administration means transport of an agent across the stratum corneum and into the dermis and/or epidermis for treatment of a topical skin disorder (such as congenital ichthyosis) that responds to local, non-systemic administration of an agent. It will be appreciated that some of the agent intended for dermal therapy can be transdermally administered, however typically not in an amount sufficient for therapy.

[0027] As used herein, “therapeutically effective amount” means an amount of isotretinoin sufficient to prevent or reduce the symptoms associated with a disease or condition (such as congenital ichthyosis) and/or lessen the severity of the disease or condition. A therapeutically effective amount is understood to be in context to the condition being treated, where the actual effective amount is readily discerned by those of skill in the art.

[0028] As used herein, “isotretinoin” refers to isotretinoin in the form of a free acid or its pharmaceutically acceptable salts, such as alkali metal salts. Isotretinoin is 13-cis-retinoic acid. Tretinoin (all-trans retinoic acid) and isotretinoin are geometric isomers and show reversible interconversion *in vivo*. The administration of one isomer can give rise to another. Other major metabolites of isotretinoin such as 4-oxo-isotretinoin and its geometrical isomer 4-oxo-tretinoin are also contemplated in the term “isotretinoin.”

[0029] As used herein, “permeation rate” means the rate of passage of the drug through the skin. Permeation rate is calculated as the slope of the linear portion of the cumulative amount of drug permeated per cm<sup>2</sup> over time.

[0030] As used herein, “transport rate” refers to the rate of passive drug transport across human skin as governed by Fick’s Law of diffusion. The mass transport equation is given as:  $J = 1/A(dM/dt) = P\Delta C/dt$  where J is flux (μg cm<sup>2</sup>/hr), A is cross sectional area of the skin membrane (cm<sup>2</sup>), P is the apparent permeability coefficient (cm hr), ΔC is the concentration gradient across the membrane, and (dM/dt) is the mass transport rate.

### BRIEF DESCRIPTION OF THE FIGURES

[0031] Figure 1 is a schematic showing a Franz cell as used in the *in vitro* drug transport experiments described herein, including a donor component, a receptor component, and a sampling side arm.

[0032] Figure 2 is a histogram showing the mean cumulative amount of isotretinoin (μg/cm<sup>2</sup>) delivered from a claimed composition (“PATPO3 AN”) as compared to Isotrex gel 0.05% (batches: F1311 and F0892), through a silicone membrane between 2 and 8 h (time represented as  $\sqrt{t}$ , mean ± SE, 5 ≤ n ≤ 6).

[0033] Figure 3 is a histogram showing the percentage of applied dose of isotretinoin delivered from PATPO3 AN and comparator product, Isotrex gel 0.05% (batches: F1311 and F0892), through silicone membrane between 2 and 8h (time represented as  $\sqrt{\text{time}}$ , mean  $\pm$  SE,  $5 \leq n \leq 6$ ).

[0034] Figure 4 is a bar graph showing the percentage of applied dose of isotretinoin recovered from skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following the application of PATPO3 AN and comparator product, Isotrex gel 0.05% (batch F0892), after the final sampling point (48 h) (mean  $\pm$  SE,  $n=12$ ).

[0035] Figure 5 is a histogram showing the mean cumulative amount of isotretinoin delivered per unit area ( $\mu\text{g}/\text{cm}^2$ ) over a 24 h experimental period through silicone membrane from PEG ointments and gel including isotretinoin at 0.10%, compared to that from two batches of Isotrex gel 0.05% (mean  $\pm$  SE,  $n \geq 5$ ).

[0036] Figure 6 is a histogram showing the mean cumulative amount per unit area of isotretinoin ( $\mu\text{g}/\text{cm}^2$ ) delivered from PEG ointments and gel including isotretinoin at 0.10% through silicone membrane between 1 and 8 h (time represented as  $\sqrt{\text{time}}$ ), compared to that from two batches of Isotrex gel 0.05% (mean  $\pm$  SE,  $n \geq 5$ ).

[0037] Figure 7 is a histogram showing the mean cumulative amount of isotretinoin delivered per unit area ( $\mu\text{g}/\text{cm}^2$ ) over a 24 h experimental period through silicone membrane from PEG ointments and gel including isotretinoin at 0.20%, compared to that from two batches of Isotrex gel 0.05% (mean  $\pm$  SE,  $n \geq 5$ ).

[0038] Figure 8 is a histogram showing the mean cumulative amount per unit area of isotretinoin ( $\mu\text{g}/\text{cm}^2$ ) delivered from PEG ointments and gel including isotretinoin at 0.20% through silicone membrane between 1 and 8 h (time represented as  $\sqrt{\text{time}}$ ), compared to that from two batches of Isotrex gel 0.05% (mean  $\pm$  SE,  $n \geq 5$ ).

[0039] Figure 9 is a bar graph showing the mean cumulative amount of isotretinoin permeated across the skin ( $\mu\text{g}/\text{cm}^2$ ) into the receiver fluid following the application of certain tested formulations and comparator product, Isotrex gel 0.05% (mean  $\pm$  SE,  $10 \leq n \leq 12$ ).

[0040] Figure 10 is a bar graph highlighting the recovery of isotretinoin ( $\mu\text{g}$ ) from the skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following the application of

certain tested formulations and comparator product, Isotrex gel 0.05%, after the final sampling point (48 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ). SC denotes *Stratum corneum*.

[0041] Figure 11 is a chromatogram showing stability of the isotretinoin formulation PATPO3 AN containing 0.2% w/w isotretinoin, overlaid with PATPO3 AN placebo at  $t = 0$  weeks following storage at 40°C, between 2 and 17 minutes.

[0042] Figure 12 is a chromatogram showing stability of the isotretinoin formulation PATPO3 AN containing 0.2% w/w isotretinoin, overlaid with PATPO3 AN placebo at  $t = 4$  weeks following storage at 40°C, between 2 and 17 minutes.

[0043] Figure 13 is a bar graph showing recovery of isotretinoin ( $\mu\text{g}$ ) from the surface (residual formulation), skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following the application of certain tested formulations and comparator product, Isotrex gel 0.05%, after the final sampling point (48 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ). SC denotes *Stratum corneum*.

[0044] Figure 14 is a bar graph showing the cumulative amount of isotretinoin permeated across the skin ( $\mu\text{g}/\text{cm}^2$ ) into the receiver fluid following the application of certain tested formulations and comparator product, Isotrex gel 0.05%, after final sampling point (24 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ).

[0045] Figure 15 is a bar graph showing the amount of isotretinoin penetrated through the skin ( $\mu\text{g}$ ) from the surface (residual formulation), skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following the application of certain tested formulations and comparator product, Isotrex gel 0.05%, after the final sampling point (48 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ). SC denotes *Stratum corneum*.

[0046] Figure 16 is a bar graph showing, with the surface removed, the amount of isotretinoin penetrated through the skin ( $\mu\text{g}$ ) from skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following the application of certain tested formulations and comparator product, Isotrex gel 0.05%, after the final sampling point (48 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ). SC denotes *Stratum corneum*.

[0047] Figure 17 is a bar graph showing, the amount of isotretinoin penetrated through the skin ( $\mu\text{g}$ ) from skin strata (*Stratum corneum*, epidermis, dermis) and receiver fluid following

the application of all PATPO3 AN (0.2%) (first and second data sets from the left) and Isotrex gel 0.05% (third and fourth data sets from the left), respectively, after the final sampling point (48 h) (mean  $\pm$  SE,  $10 \leq n \leq 12$ ). SC denotes *Stratum corneum*. The first (PATPO3 AN) and third (Isotrex (0.05%)) data sets from the left are based on a fourth skin donor, while the second (PATPO3 AN) and fourth (Isotrex FO892 (0.05%)) data sets are based on first three skin donors.

## DETAILED DESCRIPTION OF THE INVENTION

[0048] The present invention is directed to novel targeted formulations of isotretinoin that result in increased penetration of the dermal layers with minimal to no systemic penetration and without increasing the concentration of ethanol (or eliminating ethanol altogether) relative to the presently marketed products, and methods of treatment of ichthyosis using the same.

[0049] Dermal delivery of drugs provides many advantages; primarily, such a means of delivery is a comfortable, convenient and noninvasive way of administering drugs. The variable rates of absorption and metabolism encountered in oral treatment are avoided, and other inherent inconveniences, *e.g.*, gastrointestinal irritation and the like, are eliminated as well. Further, significant side effects associated with delivery to other areas (*e.g.*, to subdermal or extradermal structures and/or to tissues other than dermis) are avoided.

[0050] Skin, however, is a structurally complex membrane. Molecules moving from the environment into and through intact skin must first penetrate the stratum corneum and any material on its surface. They must then penetrate the viable epidermis, the papillary dermis, and the capillary walls into the blood stream or lymph channels. To be so absorbed, molecules must overcome a different resistance to penetration in each type of tissue. Transport across the skin membrane is thus a complex phenomenon. However, it is the cells of the stratum corneum, which present the primary barrier to absorption of topical compositions or transdermally administered drugs. The stratum corneum is a thin layer of dense, highly keratinized cells approximately 10-15 microns thick over most of the body. With many drugs, the rate of permeation through the skin is extremely low without the use of some means to enhance the permeability of the skin.

[0051] Numerous chemical agents have been studied as a means of increasing the rate at which a drug penetrates through the skin. As will be appreciated by those in the field,

chemical enhancers are compounds that are administered along with the drug (or in some cases the skin can be pretreated with a chemical enhancer) in order to increase the permeability of the stratum corneum, and thereby provide for enhanced penetration of the drug through the skin. Ideally, such chemical penetration enhancers or “permeation enhancers,” as the compounds are referred to herein, are compounds that are innocuous and serve merely to facilitate diffusion of the drug through the stratum corneum. The permeability of many therapeutic agents with diverse physicochemical characteristics can be enhanced using these chemical enhancement means. However, there are skin irritation, dryness and sensitization problems associated with high levels of certain enhancers, such as ethanol.

[0052] The novel formulations herein are surprisingly shown herein to have improved thermodynamic activity vis-a-vis the presently available isotretinoin topical gel formulations, while having none or decreased levels of irritating ethanol agents. Typically, the influence of ethanol on drug permeability is concentration dependent, with the percutaneous absorption being optimized at a level of greater than 50% w/w ethanol. In fact, the existing Isotrex gel products have over 95% w/w ethanol. The aspects of isotretinoin ointment formulations, though, three representative formulations of which are shown in **Table 1** below, each comprise less than 10% w/w ethanol. Thus, these novel formulations enhance the delivery of isotretinoin into the epidermis and dermis, with little chance of causing skin irritation and dryness.

**TABLE 1:**

<b>Component:</b>	<b>% w/w Formulation 1 (PATPO3 AN)</b>	<b>% w/w Formulation 2 (PATPO6)</b>	<b>% w/w Formulation 3 (PATPO3 AI)</b>
Isotretinoin	0.2	0.2	0.1
PEG 400	63.4	62.4	60.4
Water	9	10	10
Ethanol	10	5	0
Glycerol	0	0	5
Propylene Glycol	0	0	7
Methyl Parabens	0.2	0.2	0.2
Propyl Parabens	0.02	0.02	0.02
PEG 4000	17.08	17.08	17.18
BHT	0.1	0.1	0.1
Transcutol	0	5	0
Total	100	100	100

**[0053]** The novel preparations described herein are, in some aspects, formulated as a gel, ointment, lotion, emulsion, cream, foam, mousse, liquid, spray, suspension, dispersion or aerosol, or any other vehicle known to those of skill in the art. In preferred aspects, the preparations are formulated as a gel, ointment, foam, or cream.

**[0054]** A lotion can contain finely powdered substances that are insoluble in the dispersion medium through the use of suspending agents and dispersing agents. Alternatively, lotions can have dispersed phase liquid substances that are immiscible with the vehicle and are usually dispersed by means of emulsifying agents or other suitable stabilizers. In some aspects, the lotion is in the form of an emulsion having a viscosity of between 100 and 1000 centistokes. The fluidity of lotions permits rapid and uniform application over a wide surface area. Lotions are typically intended to dry on the skin leaving a thin coat of their medicinal components on the skin's surface.

**[0055]** Creams can contain emulsifying agents and/or other stabilizing agents. In one aspect, the formulation is in the form of a cream having a viscosity of greater than 1000 centistokes, typically in the range of 20,000-50,000 centistokes.

**[0056]** The basic difference between a cream and a lotion is the viscosity, which is dependent on the amount/use of various oils and the percentage of water used to prepare the formulations. Creams are typically thicker than lotions, can have various uses and often one uses more varied oils/butters, depending upon the desired effect upon the skin. In a cream formulation, the water-base percentage is about 60-75% and the oil-base is about 20-30% of the total, with the other percentages being the emulsifier agent, preservatives and additives for a total of 100%.

**[0057]** Examples of suitable ointment bases include hydrocarbon bases (*e.g.*, petrolatum, white petrolatum, yellow ointment, and mineral oil); absorption bases (hydrophilic petrolatum, anhydrous lanolin, lanolin, and cold cream); water-removable bases (*e.g.*, hydrophilic ointment), and water-soluble bases (*e.g.*, PEG ointments). Pastes typically differ from ointments in that they contain a larger percentage of solids. Pastes are typically more absorptive and less greasy than ointments prepared with the same components.

**[0058]** Some emulsions can be gels or otherwise include a gel component. Some gels, however, are not emulsions because they do not contain a homogenized blend of immiscible components. Suitable gelling agents include, but are not limited to, modified celluloses, such

as hydroxypropyl cellulose and hydroxyethyl cellulose; Carbopol homopolymers and copolymers; and combinations thereof. Suitable solvents in the liquid vehicle include, but are not limited to, diglycol monoethyl ether; alkylene glycols, such as propylene glycol; dimethyl isosorbide; alcohols, such as isopropyl alcohol and ethanol. The solvents are typically selected for their ability to dissolve the drug. Other additives, which improve the skin feel and/or emolliency of the formulation, can also be incorporated. Examples of such additives include, but are not limited, isopropyl myristate, ethyl acetate, C12-C15 alkyl benzoates, mineral oil, squalane, cyclomethicone, capric/caprylic triglycerides, and combinations thereof.

**[0059]** Foams can include an emulsion in combination with a gaseous propellant. The gaseous propellant can include primarily of hydro fluoroalkanes (HFAs). Suitable propellants include HFAs such as 1,1,1,2-tetrafluoroethane (HFA 134a) and 1,1,1,2,3,3,3-heptafluoropropane (HFA 227), but mixtures and admixtures of these and other HFAs that are currently approved or can become approved for medical use are suitable. The propellants preferably are not hydrocarbon propellant gases which can produce flammable or explosive vapors during spraying. Furthermore, the compositions preferably contain no volatile alcohols, which can produce flammable or explosive vapors during use.

**[0060]** The novel preparations are, in particularly preferred aspects, formulated as ointments. Ointments are semisolid preparations that are typically based on petrolatum or other petroleum derivatives. The specific ointment foundation to be used, as will be appreciated by those skilled in the art, is one that will provide for optimum drug delivery, and, preferably, will provide for other desired characteristics as well, *e.g.*, emolliency or the like. As with other carriers or vehicles, an ointment foundation should be inert, stable, nonirritating and nonsensitizing. As explained in *Remington: The Science and Practice of Pharmacy*, 20<sup>th</sup> edition (Lippincott Williams & Wilkins, 2000), ointment foundations can be grouped in four classes: oleaginous, emulsifiable, emulsion, and water-soluble. Oleaginous ointment foundations include, for example, vegetable oils, fats obtained from animals, and semisolid hydrocarbons obtained from petroleum. Emulsifiable ointment foundations, also known as absorbent ointment foundations, contain little or no water and include, for example, hydroxystearin sulfate, anhydrous lanolin and hydrophilic petrolatum. Emulsion ointment foundations are either water-in-oil (W/O) emulsions or oil-in-water (O/W) emulsions, and



include, for example, cetyl alcohol, glyceryl monostearate, lanolin and stearic acid. Preferred water-soluble ointment foundations are prepared from PEGs of varying molecular weight.

**[0061]** Various additives, known to those skilled in the art, can, in some aspects, be included in the ointments. For example, solvents, including relatively small amounts of alcohol, can be used to solubilize certain drug substances. Other optional additives include opacifiers, antioxidants, fragrance, colorant, gelling agents, thickening agents, stabilizers, surfactants and the like. Other agents can also be added, such as antimicrobial agents, to prevent spoilage upon storage, *i.e.*, to inhibit growth of microbes such as yeasts and molds. Suitable antimicrobial agents are typically selected from the group consisting of the methyl and propyl esters of p-hydroxybenzoic acid (*i.e.*, methyl and propyl paraben), sodium benzoate, sorbic acid, imidurea, and combinations thereof.

**[0062]** In some aspects, the ointments can also include penetration enhancing agents. Examples of classes of enhancers include, but are not limited to, fatty acids, both saturated and unsaturated; fatty alcohols; bile acids; nonionic surfactants, including esters of fatty acids, fatty (long-chain alkyl or alkenyl) esters of monohydric alcohols, diols, and polyols, diols and polyols that are both esterified with a fatty acid and substituted with a polyoxyalkylene, polyoxyalkylene fatty acid esters, polyoxyalkylene fatty ethers, polyoxyalkylene fatty ethers, and polyglyceryl fatty acid esters; amines; amides; N-alkyl-azacycloalkanones and N-alkyl-azacycloalkenones; hydrocarbon solvents; terpenes; lower alkyl esters; cyclodextrin enhancers; nitrogen-containing heterocycles; sulfoxides; and urea and its derivatives.

**[0063]** Specific examples of suitable enhancing agents include ethers such as diethylene glycol monoethyl ether (available commercially as Transcutol®, Gattefosse SA) and diethylene glycol monomethyl ether; surfactants such as sodium laurate, sodium lauryl sulfate, cetyltrimethylammonium bromide, benzalkonium chloride, Poloxamer (231, 182, 184), Tween (20, 40, 60, 80) and lecithin; alcohols such as ethanol, propanol, octanol, benzyl alcohol, and the like; fatty acids such as lauric acid, oleic acid and valeric acid; fatty acid esters such as isopropyl myristate, isopropyl palmitate, methylpropionate, and ethyl oleate; polyols and esters thereof such as PEG, and polyethylene glycol monolaurate; amides and other nitrogenous compounds such as urea, dimethylacetamide, dimethylformamide, 2-pyrrolidone, 1-methyl-2-pyrrolidone, ethanolamine, diethanolamine and triethanolamine; terpenes; alkanones; and organic acids, particularly citric acid and succinic acid. Azone® and

sulfoxides such as dimethylsulfoxide and decylmethylsulfoxide can also be used, but are less preferred. *Percutaneous Penetration Enhancers*, eds. Smith et al. (CRC Press, 1995) provides an excellent overview of the field and further information concerning possible secondary enhancers for use in conjunction with the present invention.

### **EXAMPLES**

[0064] The present invention, thus generally described, will be understood more readily by reference to the following examples, which are provided by way of illustration and are not intended to be limiting of the exemplary formulations and methods discussed herein.

#### **[0065] EXAMPLE 1: *In Vitro* Drug Transport Assessment**

[0066] To assess the transport profiles of isotretinoin from certain formulations that included those described in **Table 1**, an *in vitro* drug transport investigation was performed across a synthetic membrane. The transport of the drug from the selected formulations was compared using method based on the principles of the FDA's SUPAC-SS guidelines [FDA (CDER), 1997, Guidance for industry - SUPAC-SS Non-sterile Semisolid Dosage Form, Scale-up and post approval changes: Chemistry, manufacturing and controls; in vitro drug transport testing and in vivo bioequivalence documentation].

[0067] A total of six formulations at each of the five concentrations of isotretinoin (0.025, 0.05, 0.10, 0.15 and 0.20% w/w, were assessed (each at n=6) herein and compared against the results of the comparator product, Isotrex gel 0.05%. A total of two batches of Isotrex gel were used in this experiment, which were both tested in six replicates. Furthermore, one batch of Isotrex gel 0.05% was tested concurrently in a subset of cells (n=3) as a control to assess the run-to-run method variability. The following parameters were employed: Receiver fluid: 2% Bruj in 20% ethanol: 80% PBS with 0.01% BHA; synthetic membrane: silicone; time points: t= 0, 1, 2, 3, 4, 6, 7, 8, and 24 h; dose: greater than 0.3 g.

[0068] Figures 5 and 7 depict the transport of the drug from the claimed formulations at various concentrations of isotretinoin, compared to that from Isotrex gel 0.05% (both batches, F0892 and F1311). The steady state transport of isotretinoin across silicone membrane was seen from 1 h after dosing, for all the formulations assessed. Figures 6 and 8 highlight the steady state drug transport between 1 and 8 h (presented as a  $\sqrt{t}$  time, as recommended in the SUPAC guidelines) from the tested prototype formulations at various concentrations of

isotretinoin, compared to that from Isotrex gel 0.05%. The calculated transport rates ( $\mu\text{g}/\text{cm}^2/\sqrt{\text{h}}$ ) of isotretinoin from all the formulations across silicone are reported in **Tables 2 and 3**.

**TABLE 2:**

Isotretinoin % (w/w)	0.025%	Transport rate	0.05%	Transport rate	0.10%	Transport rate	0.15%	Transport rate	0.20%	Transport rate
Formulations	SSA37	7.74 $\pm$ 0.66	SSA36	22.40 $\pm$ 2.31	SSA33	40.52 $\pm$ 1.37	SSA31	53.22 $\pm$ 4.48	SSA24	48.62 $\pm$ 5.92
	PATPO3 AF	6.40 $\pm$ 1.38	PATPO3 n	8.04 $\pm$ 0.43	PATPO3 AH	13.67 $\pm$ 1.83	PATPO3 li	18.48 $\pm$ 3.29	PATPO3 AN	20.06 $\pm$ 3.61
	PATPO3 AA	6.11 $\pm$ 1.02	PATPO3 AC	7.38 $\pm$ 0.31	PATPO3 h	13.48 $\pm$ 2.60	PATPO3 Ji	16.44 $\pm$ 1.46	PATPO3 AO	19.55 $\pm$ 2.00
	PATPO3 AD	4.46 $\pm$ 0.70	PATPO3 e	6.72 $\pm$ 0.44	PATPO3 Ji	12.80 $\pm$ 1.88	PATPO3 oi	13.58 $\pm$ 1.99	PATPO3 6	18.55 $\pm$ 3.42
	PATPO3 AB	3.47 $\pm$ 0.65	PATPO3 f	5.43 $\pm$ 0.79	PATPO3 AI	11.36 $\pm$ 1.51	PATPO3 5	12.91 $\pm$ 1.15	PATPO3 gi	17.65 $\pm$ 1.52
	PATPO3 fi	3.28 $\pm$ 0.33	PATPO3 ii	5.00 $\pm$ 0.88	PATPO3 ni	9.91 $\pm$ 1.88	PATPO3 AG	12.80 $\pm$ 1.88	PATPO3 hii	13.77 $\pm$ 2.39

**TABLE 3:**

Isotrex gel 0.05%	
Batch no. F1131	Batch no. F0892
19.09 $\pm$ 3.86	17.30 $\pm$ 5.59

[0069] The statistical analysis of these results indicates that all the prototype gel formulations provided surprisingly and significantly ( $p \leq 0.05$ ) greater transport rate of isotretinoin compared to Isotrex gel 0.05%, with the exception of SSA37 (0.025%). For the PEG ointments including 0.1, 0.15 and 0.2% of isotretinoin, the transport of the drug was observed to be similar ( $p > 0.05$ ) to that determined when using Isotrex gel 0.05%. In contrast, the Isotrex gel was found to provide a greater transport with respect to the PEG ointments containing 0.025 and 0.05% of isotretinoin, which is unsurprising considering that the Isotrex gel includes mainly ethanol, the evaporation of which causes the drug thermodynamic activity, and so the flux, to increase over the duration of the experiment.

#### [0070] EXAMPLE 2: *In Vitro* Permeation and Penetration Assessments

[0071] Following completion of the transport experiment, quantification of isotretinoin on the surface of the skin and the skin strata was performed as described below:

[0072] Commonly, *in vitro* skin permeation experiments involve the use of a diffusion cell designed to mimic the physiological and anatomical conditions of skin *in situ*. The model used in this experiment was the Franz diffusion cell as described in Figure 1 (where the synthetic membrane was replaced with human dermatomed skin). The subcutaneous fat was removed mechanically and the skin was dermatomed to a thickness of  $400 \pm 100 \mu\text{m}$  using a Nouvag TCM 3000 cutter. Human dermatomed skin was positioned between the donor and receptor compartment of a Franz cell (Figure 1) with the *Stratum corneum* side up. For each active formulation up to 4 repetitions per formulation per skin donor (3 donors) were performed; however, only a single repetition was performed for the placebo formulation (n=1).

[0073] **Table 4** lists the PEG ointment formulations for each of the possible penetration enhancer options selected for the initial permeation studies. The penetration enhancer compositions, expressed as % w/w, of the PEG ointments, are shown with the circles indicating those selected for the *in vitro* permeation and penetration experimentation.

**TABLE 4:**

	Formulations					%w/w
	PATPO3 fi	PATPO3 AA	PATPO3 AD	PATPO3 AB	PATPO3 AF	0.025
Ethanol	-	5	-	-	-	
Transcutol	-	-	-	5	5	
Propylene glycol	-	-	5	-	5	
	PATPO3 e	PATPO3 f	PATPO3 n	PATPO3 ii	PATPO3 AC	0.05
Ethanol	-	-	-	-	-	
Transcutol	5	-	10	5	-	
Propylene glycol	-	-	-	5	5	
	PATPO3 h	PATPO3 ni	PATPO3 AH	PATPO3 AI	PATPO3 Ji	0.10
Ethanol	5	-	5	-	5	
Transcutol	-	10	-	-	5	
Propylene glycol	5	-	-	7	-	

	PATPO5	PATPO3 Ji	PATPO3 li	PATPO3 oi	PATPO3 AG	<b>0.15</b>
Ethanol	5	5	5	5	5	
Transcutol	-	5	-	-	-	
Propylene glycol	5	-	5	5	-	
	PATPO6	PATPO3 gi	PATPO3 hii	PATPO3 AN	PATPO3 AO	<b>0.20</b>
Ethanol	5	5	5	10	-	
Transcutol	5	-	-	-	10	
Propylene glycol	-	5	5	-	-	

[0074] The following parameters were employed for the *in vitro* skin permeation experiment:

- Receiver fluid: 2% Brij in 20% ethanol: 80% PBS with 0.01% BHA.
- Time points: t=0, 6, 24 and 48 h.
- Membrane: human dermatomed skin with a thickness of  $400 \pm 100 \mu\text{m}$  (from three different donors).
- Dose:  $\sim 10 \text{ mg/cm}^2$ .

[0075] The following procedures were employed: Franz diffusion cells with an average surface area of approximately  $0.6 \text{ cm}^2$  and a volume of approximately  $2.0 \text{ mL}$  were employed. First, prior to dosing, the integrity of the skin was assessed as follows: (a) Dermatomed skin (from three donors) was mounted between the donor and receiver compartments and the cells were sealed together using Parafilm® and clips. (b) The donor and receiver chambers were filled with PBS solution and a small magnetic follower was placed in the receiver compartment. (c) Cells were equilibrated in a water bath ensuring a membrane temperature of  $32^\circ\text{C}$  for 30 min (water bath temperature of  $37^\circ\text{C}$ ). (d) The resistance of the skin in each Franz cell was measured using the LCR 6401 Databridge (SOP 3118). (e) The electrodes were placed in the receiver compartment through the sampling arm and the donor chamber. (f) The LCR was set at 100 Hz and set to 'R' for resistance. (g) Cells with a resistance below the acceptable limits were discarded and remounted.

Acceptable limits are defined according to the measurement of controls for dermatomed skin, where the skin has been deliberately perturbed. Cells with greater than twice the resistance ( $K\Omega$ ) of the control were considered acceptable and selected for the Franz cell permeation experiment.

[0076] Second, following skin integrity testing the PBS solution was removed from each compartment and the receiver compartment of acceptable cells was filled with receiver fluid (2% Brij in 20% ethanol: 80% PBS with 0.01% BHA). Each cell was then equilibrated to ensure a surface temperature of 32 °C (external skin surface temperature) for at least 30 min prior to dosing (water bath temperature of 37 °C). Third, a positive displacement pipette was used to apply the formulation (~8 mg) to the plunger of a 1 mL syringe. The formulation (6-7 mg) was applied to the skin surface and spread over the diffusion area using the plunger. Prior to and after application the weight of the plunger was recorded and the amount per cell was calculated. Fourth, receiver fluid (200  $\mu$ L) was removed at the following time points  $t=0$ , 6, 24 and 48 h and transferred to a HPLC vial for analysis using HPLC. Fifth, fresh pre-warmed receiver fluid (200  $\mu$ L) was used to replace the receiver fluid removed at each time point. Sixth, following the final time point (48 h), the Franz cells were dismantled and the drug was recovered from the skin.

[0077] Isotretinoin was recovered from the surface of the skin as follows: (i) After dismantling the donor chamber from the Franz cell, one dry cotton swab was used to remove any residual formulation from the surface of the skin and the swab placed into the 7 mL vial; (ii) A second swab was immersed into the extraction diluent (90: 10 - ethanol: water) and used to swab the surface of the skin; this swab will then be placed into the vial containing the first swab; (iii) The final swab was used dry to swab the surface of the skin and then placed into the glass vial containing the two other swabs; (iv) An initial tape strip (using D-Squame®) from the surface of the skin was also placed in with the cotton wool swabs and 2 mL of extraction diluent (90: 10 ethanol: water) was added; (v) Each vial was then shaken on an orbital shaker at ambient temperature for at least 16 –20 h in the extraction solvents to facilitate the extraction; (vi) Following the extraction procedure, the extraction diluent was removed from the vials and centrifuged at 13,000 rpm for 10 min to remove all un-dissolved materials and particles; and (vii) The supernatant from each sample was then transferred to a HPLC vial and analysed using HPLC.

[0078] The following procedure was then used to recover isotretinoin from the *Stratum corneum*: (i) A total of five further tape strips (using D-Squame®) from the surface of the skin were taken, placed into a glass vial and 2 mL of extraction diluent (90: 10 - ethanol: water) was added to it; (ii) The vials from Step (i) were shaken on an orbital shaker at ambient laboratory temperature for 16 to 20 h; (iii) Following the extraction procedure (Step (ii)), the extraction solution was removed from the vials and centrifuged at 13,000 rpm for 10 min to remove all un-dissolved materials and particulates; and (iv) The supernatant was placed into vials for analysis by HPLC.

[0079] As described in greater detail below, the remaining epidermis and dermis were processed as follows: (i) The remaining epidermis (after removing the *Stratum corneum* by using 5 tape strips) was heat-separated from the dermis by dry heating at 60 degrees Celsius for 2 minutes; (ii) The epidermal and dermal layers were placed into individual glass vials and 2 mL of extraction solvent (90:10 - ethanol: water) was added to these vials; (iii) The vials from Step (ii) were shaken on an orbital shaker at ambient laboratory temperature for 16 to 20 h; (iv) Following the extraction procedure (Step (iii)), the extraction solution was removed from the vials and centrifuged at 13,000 rpm for 10 min to remove all un-dissolved materials and particulates; and (v) The supernatant was placed into vials for analysis by HPLC.

[0080] The data was interpreted as follows: (i) Data was manually transcribed into Excel spreadsheets. The levels of isotretinoin detected in the receiver fluid and skin strata were calculated from the respective calibration standards; (ii) The total amount ( $\mu\text{g}$ ) of isotretinoin per volume sampled was calculated ( $\text{total amount/volume sampled} = \mu\text{g/mL} \times \text{volume sampled}$ ); (iii) The total amount ( $\mu\text{g}$ ) of isotretinoin recovered at each time point as then calculated ( $\text{total amount} = \mu\text{g/mL} \times \text{total volume of each Franz cell}$ ); (iv) The cumulative amount ( $\mu\text{g}$ ) of isotretinoin was calculated by adding the total amount ( $\mu\text{g}$ , Step (iii)) at each time point with the total amount withdrawn ( $\mu\text{g}$ ) from each of the previous time points (Step (ii)); (v) The cumulative amount per unit area of isotretinoin ( $\mu\text{g/cm}^2$ ) was calculated by dividing the cumulative amount ( $\mu\text{g}$ , Step (iv)) by the diffusion area ( $\mu\text{g/cm}^2 = \text{cumulative amount } (\mu\text{g}) / \text{diffusion area}$ ); (vi) Any outliers were rejected according to internal procedures; (vii) The transport rate of isotretinoin across silicone membrane from all the formulations tested was calculated as slope of the linear portion of the profile “cumulative amount permeated of drug permeated per  $\text{cm}^2$  vs.  $\sqrt{t}$  (as recommend per SUPAC guidelines);

and (viii) The total amount ( $\mu\text{g}$ ) of isotretinoin in each of the skin matrices was calculated (total amount =  $\mu\text{g/mL}$  x extraction dilution).

**[0081]** Statistical analysis of data was performed using statistical package for social science (SPSS) version 19.0 (SPSS Inc., USA). Such analysis was carried out to determine any significant difference in the amount of isotretinoin released from each test formulations and comparator product. Furthermore, statistical analysis was carried out to identify significant difference in the levels of drug recovered from surface, *Stratum corneum*, epidermis and dermis and receiver fluid at 48 h, from each of the tested formulations compared to the Isotrex gel 0.05%.

**[0082]** A total of 15 formulations were selected in addition to the comparator product (Isotrex gel 0.05%) for permeation and penetration analysis. Figure 9 shows the amount of drug permeated across the skin into the receiver fluid at 6, 24 and 48 h, following application of all the formulations to the surface of the skin at T=0 h. Figure 13 depicts the penetration profiles of the drug (using human skin from three donors) from all the prototype formulations investigated, at each of the five concentrations of isotretinoin, compared to that from Isotrex gel 0.05% (batch F0892). Figure 10 highlights the amount of isotretinoin penetrated into the skin layers and receiver fluid, with the exclusion of the amount of drug recovered from the surface of the skin (residual formulation). The analysis of the permeation data showed that the amount of drug recovered in the receiver fluid was below the LOQ for most of the formulations tested, at 6 and 24 h. Exclusions to this trend were observed when the drug was formulated as PATPO3 AF 0.025%, PATPO3 h 0.10%, PATPO5 0.15%, PATPO3 AG 0.15%, PATPO3 AO 0.20%, SSA36 0.05%, SSA31 0.15% and SSA24 0.20%, where isotretinoin was detected in the receiver fluid (Figure 9) at levels above the LOQ. The drug was recovered in the receiver fluid at 48 h, following the application of all the formulations, with the exception of PATPO3 N 0.05%, PATPO3 AI 0.10%.

**[0083]** The analysis of the penetration data showed that as a general trend the highest amount of isotretinoin was recovered from the surface of the skin after the application of all the tested formulations, with the highest amount of isotretinoin recovered being  $4.78 \pm 0.66$   $\mu\text{g}$  (SSA24, 0.20 %). The highest delivery of isotretinoin into the epidermal layer (site of action of the drug) was observed with the application of PATPO5 0.15% ( $0.29 \pm 0.10$   $\mu\text{g}$ ), PATPO6 0.20% ( $0.29 \pm 0.09$   $\mu\text{g}$ ) and PATPO3 AN 0.20% ( $0.28 \pm 0.10$   $\mu\text{g}$ ), which provided



levels of isotretinoin approximately 10-fold and significantly ( $p \leq 0.05$ ) higher compared to those observed with Isotrex gel, 0.05% ( $0.03 \pm 0.01 \mu\text{g}$ ).

[0084] The analysis of the data related to the amount of isotretinoin recovered from the dermis indicate that the formulations providing the highest levels of the drug into this skin layer were PATPO3 AN 0.20% ( $0.54 \pm 0.15 \mu\text{g}$ ) and PATPO3 AO 0.20% ( $0.47 \pm 0.12 \mu\text{g}$ ), which again were found to be ca. 10-fold and significantly higher ( $p \leq 0.05$ ) compared to those achieved with Isotrex gel, 0.05% ( $0.05 \pm 0.01 \mu\text{g}$ ). The highest level of isotretinoin recovered from the *Stratum corneum* was observed after the application of the comparator product Isotrex gel ( $0.64 \pm 0.25 \mu\text{g}$ ), followed by SSA24 ( $0.58 \pm 0.09 \mu\text{g}$ ) and PATPO6 0.20% ( $0.44 \pm 0.10 \mu\text{g}$ ).

[0085] Interestingly, as noted in EXAMPLE 1, the difference in the transport of isotretinoin across silicone from PATPO3 AN and Isotrex gel (Figure 3) did not manifest into a similar relationship for delivery to the skin as shown in Figure 4, where a 2-3 fold increase in the percentage of isotretinoin penetrated into the skin strata (epidermis and dermis) was observed following the application of PATPO3 AN (when expressed as % of applied dose). When the data was expressed as cumulative amount of drug permeated into and across the skin ( $\mu\text{g}/\text{cm}^2$ ), the enhancement in the delivery of isotretinoin from PATPO3 AN to epidermal and dermal layers was measured to be approximately 10-fold, compared to Isotrex gel. The drug delivery profile of PATPO3 AN appears to be unique in that the delivery of drug appears to be targeted to the pathological site (epidermis/dermis) (despite the low levels of ethanol) unlike the Isotrex gel which has a more typical profile where delivery is focused to the *Stratum corneum* (Figure 3). Thus, PATPO3 AN provides a unique targeted dermal delivery system that nevertheless resists systemic delivery.

#### [0086] EXAMPLE 3: Stability Assessments

[0087] Following completion of the permeation/penetration experiment, accelerated stability testing on PATPO3 AN formulations containing 0.025% and 0.2% w/w isotretinoin, as set forth below in **Table 5**, where X= an isotretinoin assay and related substances, visual appearance, microscopic observations, and apparent pH; and A= backup.

**TABLE 5:**

Storage conditions	Initial t=0	2 weeks	4 weeks
2-8 °C	X	A	X
25 °C		X	X
40 °C		X	X

**[0088]** Representative batches of PATPO3 AN containing 0.2% w/w isotretinoin in sealed containers were stored at 2-8 °C (the stability of isotretinoin at this temperature was tested at 4 weeks only), 25 °C and 40°C for four weeks and then tested using HPLC with n=3 replicates at t=2 and t=4 weeks. A PATPO3 AN placebo was tested for baseline comparison. The results are shown in Figures 11 and 12, which provide a representative chromatogram of PATPO3 AN containing 0.2% w/w isotretinoin, as compared against a placebo, between 2 and 17 minutes, at t=0 and t=4 weeks, respectively.

**[0089]** In addition, based on physical stability experiments (*e.g.*, storage at 25°C for up to 5 weeks after manufacture (with two freeze thaw cycles - between 2-8 and 25°C) prior to assessment; centrifuge test ranging from 2-16 minutes of centrifuging), formulations with 0% to 2% w/w of ethanol show surprisingly improved physical stability (with reduced susceptibility to syneresis) during centrifuge tests relative to equivalent formulations with a higher ethanol content. Also, formulations with PEG 3350 shows improved physical stability (with reduced susceptibility to syneresis) relative to equivalent formulations prepared with PEG 4000.

**[0090]** Glycerol appears to improve physical stability where syneresis in formulations with propylene glycol occurs to a greater degree in 8% glycerol versus 15% glycerol formulations. Here, only a very small droplet of liquid was observed, following centrifugation for 12-15 minutes, and could be distinguished from the main formulation by placement of glass pipette at the surface of the formulation.

**[0091] EXAMPLE 4: *In Vivo* Assessment**

**[0092]** To evaluate the toxicity of the formulations herein, three concentrations of PATPO3 AN were administered daily as a topical ointment to miniature swine (*Sus scrofa*) for 90-

days, followed by a 28-day recovery period. In addition, the toxicokinetic (“TK”) characteristics of PATPO3 AN were evaluated.

**[0093]** Forty-eight (48) Hanford miniature swine were assigned to 5 groups, with 4 animals per gender per group in the main cohort and two additional animals per gender per group in the vehicle and high dose groups for the recovery cohort. Groups 1 through 5 were the sham, vehicle, low (0.1 mg/kg), mid (0.2 mg/kg) and high (0.4 mg/kg) dose groups, respectively. The dose of 0.2 g/kg was applied to a single site for each animal and was adequate to uniformly cover the entire 10% body surface area with a thin layer of PATPO3 AN. The size of the site (approximately 10% of the total body surface area for each animal) was based on the following formula:

$$10\% \text{ Total body surface area (cm}^2\text{)} = 9.5 \times [\text{BW (grams)}]^{2/3} \times 0.10$$

**[0094]** The average body surface area for each gender was used to determine the approximate 10% body surface for each study animal. Dose sites were clipped with electric clippers (blade no. 40 or finer) between Day -3 and Day -1, and at least the corners of the dose sites were marked with a permanent marker. Dose sites were re-clipped and re-marked throughout the study period as necessary. The size of the dose sites were adjusted throughout the study as needed. Prior to each dose administration, the dose site was washed with water soaked gauze and then dried with dry gauze. Prior to dose administration each day, tubes containing test article and vehicle used on that day were shaken at least 5 times. Animals were dosed (by weight) with the appropriate vehicle or test article daily, which remained in place for 24 hours  $\pm$  2 hours.

**[0095]** Animals were topically treated once per day for 90 days, followed by a 28-day recovery period. At designated time points, blood samples were collected for toxicokinetic (“TK”) analysis. On Day 91, the main cohort animals were necropsied with tissue collection, followed by recovery cohort animals on Day 118.

**[0096]** Animals were evaluated for signs of toxicity through physical examinations, clinical observations, body weight, body weight change, dose site Draize scoring, clinical pathology (hematology, coagulation, serum chemistry and urinalysis), electrocardiography, ophthalmology, gross pathology, organ weight and histopathology. Toxicokinetic characteristics were assessed on study Day 1 and Day 90.

[0097] The study design, parameters evaluated, and TK sample collection scheme are presented in **Tables 6, 7 and 8**, respectively.

**TABLE 6: Study Design**

Treatment Group	Test Article	Number of Animals				Application Rate g/kg (mL/kg <sup>a</sup> )	Isotretinoin Conc. mg/g (%)	Isotretinoin Dose Level (mg/kg)
		Main		Recovery				
		Male	Female	Male	Female			
1 (Sham)	N/A	4	4	N/A	N/A	N/A	N/A	N/A
2 (Vehicle)	Vehicle	4	4	2	2	0.2 (0.19)	N/A	0
3 (Low)	PATP03 AN	4	4	N/A	N/A	0.2 (0.19)	0.5 (0.05%)	0.1
4 (Mid)		4	4	N/A	N/A	0.2 (0.19)	1.0 (0.1%)	0.2
5 (High)		4	4	2	2	0.2 (0.19)	2.0 (0.2%)	0.4

Study Day 1 corresponds to the first day of dose administration.

<sup>a</sup> Formulation density = 1.068 g/mL

**TABLE 7: Parameters Evaluated and Intervals**

Parameters	Approximate Intervals
Mortality/Moribundity observations	At least twice daily
Physical examination	During acclimation
Clinical observations	Once during acclimation Prior to each dose on Days 1 – 90 Then daily thereafter on Days 91 – 118
Draize score (dose site)	Once during acclimation Prior to each dose on Days 1 – 7 Then weekly thereafter
Body weights	Prior to randomization, prior to first dose, weekly thereafter and prior to termination
Ophthalmology	During acclimation (main & recovery), prior to termination of main cohort (main & recovery), and prior to termination of recovery cohort
Clinical Pathology (hematology, serum chemistry, coagulation, & urinalysis)	During acclimation (main & recovery), prior to termination of main cohort (main & recovery), and prior to termination of recovery cohort
ECG	During acclimation (main & recovery), prior to termination of main cohort (main & recovery), and prior to termination of recovery cohort
Toxicokinetics	Day 1 and 90
Necropsy/organ weights	Day 91 main animals and Day 118 recovery animals
Histopathology	Dose site and control skin on all animals (main and recovery), standard tissues on all study animals (main & recovery) in all groups, including gross lesions (if any)

**TABLE 8: TK Blood Collection Scheme**

Study Day	Target Sample Collection Time Points
1 (Groups 1 – 5 )	Pre, 0.5, 1, 2, 4, 6, 8, 12, 16, and 24 hours postdose
90 (Groups 1 – 5 )	Pre, 0.5, 1, 2, 4, 6, 8, 12, 16, and 24 hours postdose

[0098] Blood samples were collected into tubes containing K<sub>2</sub>-EDTA as the anticoagulant. Plasma samples were prepared by centrifuging at ~ 3000 rpm for approximately 15 minutes at ~ 4°C. All of the plasma samples were aliquoted in a single container and frozen on dry ice. The plasma samples were stored in a freezer at approximately -70°C until shipment on dry ice to the analytical lab for analysis. All samples from all animals were collected according to **Table 8** on Days 1 and 90. Groups 1 and 2 only had the 2-hour post-dose sample analyzed for isotretinoin and tretinoin for Days 1 and 90. Groups 3-5 had all samples analyzed for isotretinoin and tretinoin for Days 1 and 90.

[0099] Animals, housing and environmental conditions used for this assessment is found in **Table 9**.

**TABLE 9: Animals, Housing and Environmental Conditions**

Species:	<i>Sus scrofa</i> , miniature swine
Strain:	Hanford, naïve
Source:	Sinclair Bio-Resources, LLC
Age at Acclimation:	2.5-4.0 months
Weight at Week -1:	9.5-17.3 kg
Number and Gender:	48 (24 males and 24 females)
Identification:	Numbered ear tag and cage card
Acclimation:	At least 14 days
Caging:	Animals were housed in ~3' x 5' stainless steel solid lower walls with upper vertical bars and front gate and elevated PVC-coated expanded metal flooring
Enrichment	A steel chain suspended by a rope was provided in each pen for animals as enrichment
Number per cage:	1
Environmental conditions:	Temperature: 20.3°C to 26.4°C (68.5°F to 79.5°F)
Photoperiod:	12-hr light/12-hr dark

[0100] Detailed clinical observations were made once during acclimation, prior to dosing on Days 1-90, and then daily thereafter from Days 91-118. The dose sites were observed and scored for skin irritation once during acclimation, prior to each dose on Days 1-7, and then weekly thereafter until study termination. Local findings at the dose sites were scored using a

modified Draize-scoring system (**Table 10**) to determine the degree of inflammation (erythema and edema).

**TABLE 10: Draize Scoring System**

Category	Score	Description
Erythema	0	No erythema
	1	Slight erythema
	2	Well-defined erythema
	3	Moderate or severe erythema
	4	Severe erythema or slight eschar formation (injuries in depth)
Edema	0	No edema
	1	Very slight edema
	2	Slight edema (well-defined edges)
	3	Moderate edema (raised > 1 mm)
	4	Severe edema (raised >1 mm and extending beyond the area of exposure)

[0101] Ophthalmology examinations were performed during acclimation (main and recovery cohorts), prior to main cohort termination, and prior to termination of recovery cohort. A board-certified veterinary ophthalmologist performed all ocular examinations. The examinations included, but were not limited to, the conjunctiva, cornea, anterior chamber, iris, lens, vitreous humor, retina, and ocular fundus. An appropriate mydriatic agent was administered prior to the examination.

[0102] Electrocardiography (ECG) were performed on all study animals during acclimation (main and recovery cohorts), prior to main cohort termination, and prior to recovery cohort termination. The animals' hair at the sites to which the electrodes were attached was clipped and moistened with gel before placement. The appropriate electrodes were attached according to the electrocardiogram machine manufacturer's instructions with settings consistent with conventional veterinary procedures. Recordings of the standard leads I, II, and III were collected at paper speeds of 25 mm/sec and 50 mm/sec with a minimum of three complexes for each recording. ECG recording times were for 20 to 60 seconds at 25 mm/sec to assess arrhythmias and a brief tracing at 50 mm/sec for ease of measurement of P-QRS-T waveforms. The ECG data was submitted to a board-certified veterinary cardiologist for interpretation of qualitative parameters (*i.e.*, abnormalities in rhythm, conduction, etc.) and quantitative data, including, but not limited to: HR, RR, PR, QRS, QT and QTc intervals.

**[0103]** For clinical pathology, blood samples were collected from study animals for clinical pathology tests during acclimation (main and recovery cohorts), prior to main cohort termination (main and recovery cohorts), and prior to recovery cohort termination.

**[0104]** Blood samples (~2 mL/animal) were collected into a tube containing K<sub>3</sub>-EDTA as anticoagulant. Samples were stored on wet ice or refrigerated at ~4°C until analyzed. The hematology analysis included:

White blood cell count	Neutrophils (% and absolute)
Red blood cell count	Eosinophils (% and absolute)
Hemoglobin	Basophils (% and absolute)
Hematocrit	Lymphocytes (% and absolute)
Mean cell volume	Monocytes (% and absolute)
Mean cell hemoglobin	Platelet count
Mean cell hemoglobin concentration	Reticulocytes
Differential white blood cell count	

**[0105]** Blood samples (~1.8 mL/animal) were collected into tubes containing sodium citrate (3.2%). Plasma was prepared by centrifuging for ~15 minutes at ~3000 rpm at ~4°C. Plasma was stored temporarily on wet ice or refrigerated at 4°C until analyzed. The coagulation analysis included:

Activated partial thromboplastin time (APTT)	Prothrombin time (PT)
Fibrinogen	

**[0106]** With respect to serum chemistry Blood samples (~2 mL/animal) were collected from each animal, into tubes without anticoagulant. Blood was allowed to clot before being centrifuged for ~15 minutes at ~3000 rpm at ~4°C and serum was harvested into a polypropylene cryovial. Serum was stored temporarily on wet ice or refrigerated at 4°C until analyzed. The serum chemistry analysis included:

Alanine aminotransferase (ALT)	Creatinine
Albumin	Globulin
Albumin/ Globulin ratio	Glucose
Alkaline phosphatase (ALP)	Inorganic phosphorus
Aspartate aminotransferase (AST)	Potassium
Blood Urea Nitrogen (BUN)	Sodium
Calcium	Total bilirubin
Chloride	Total protein
Cholesterol	Triglycerides

[0107] Urine (~2 mL if available) was collected using metabolism cages overnight at room temperature. The sample was placed in a sterile red top tube without anticoagulant and stored on wet ice or refrigerated at ~4°C until analyzed. The samples were analyzed for the following parameters:

Bilirubin	Protein
Blood	Specific gravity
Color and clarity	Urobilinogen
Glucose	Urine microscopic examination including the
Ketones	presence of WBC, RBC, crystals and bacteria
pH	

[0108] At scheduled sacrifices, the following organs (when present) were weighed, with paired organs weighed together. Relative organ weights (organ-to-body weight and organ-to-brain weight) ratios were calculated:

adrenal (2)	pituitary gland
Brain	spleen
epididymis (2)	testis (2)
Heart	thymus
kidney (2)	thyroid
liver with gall bladder (drained)	uterus

[0109] The following tissues (when present) from all animals were preserved in 10% neutral-buffered formalin (except where noted):

adrenal (2)	ovary (2) with oviduct
aorta	pancreas
bone (femur & sternum with marrow)	pituitary gland
bone marrow smear***	rectum
brain (cerebellum, cerebrum, medulla & pons)	salivary gland [mandibular (2)]
cecum	sciatic nerve
cervix	seminal vesicle (2)
colon	skeletal muscle (quadriceps femoris)
duodenum	skin – abdomen
epididymis (2)	skin – from dose site with underlying tissue
esophagus	skin – from non-dose site with underlying tissue
eyes (2) with optic nerve*	spinal cord (cervical, thoracic & lumbar)
heart	spleen
ileum	stomach
jejunum	testis (2)*
kidney (2)	thymus
Lacrimal gland	thyroid (2)



lesions**	tongue
liver with gallbladder (drained)	trachea
lung with main stem bronchi	urinary bladder
lymph nodes (mandibular)	uterus
lymph node (mesenteric)	vagina
mammary gland (females)	

\* Eyes and testes were fixed in Davidson's and Modified Davidson's, respectively for 1-3 days and then both were stored in 70% alcohol.

\*\* Gross lesions were collected.

\*\*\* Bone marrow smears were fixed in methanol and retained for evaluation at the discretion of the Study Director in consultation with the study pathologist and Sponsor.

[0110] All preserved tissues were submitted to Histo-Scientific Research Laboratories ("HSRL"). Main and Recovery cohort animals had preserved tissues embedded in paraffin, sectioned, stained with hematoxylin and eosin, and examined microscopically by a board-certified veterinary pathologist. Gross lesions were examined microscopically. Following histopathology evaluations, all prepared slides, remaining wet tissues, blocks, and raw data were returned for archive.

[0111] Once daily topical (dermal) application of PATP03 AN to 10% of the total body surface of miniature swine for 90 days at up to 0.4 mg/kg/day resulted in no toxicologically meaningful effects on mortality/moribundity, non-dermal clinical observations, body weight gain, ophthalmologic or electrocardiographic examinations, hematology, serum chemistry or urinalysis parameters, or organ weights. There were no unscheduled deaths or significant moribundity for any animal. Dermal effects observed were consistent with the well-known characteristics of topically applied retinoids.

[0112] Analysis of plasma isotretinoin/tretinoin parameters showed minimal isotretinoin systemic exposure following a single PATP03 AN application with tretinoin exposure greater than that of isotretinoin. With repeat PATP03 AN dermal administration, isotretinoin exposure plateaued at the mid-dose level (0.2 mg/kg). Isotretinoin accumulation was evident, but without an associated increase in tretinoin exposure. A systemic No Observable Adverse Effect Level ("NOAEL") of 0.4 mg/kg/day was identified (associated with a Day 90 isotretinoin AUC values of 44.3 hr\*ng.mL).

#### [0113] EXAMPLE 5: Additional Formulations

[0114] Table 11 lists four formulations at 0.2% w/w of isotretinoin and two formulations at 0.6% w/w of isotretinoin were assessed herein and compared against results of the

comparator product, Isotrex gel 0.05%. Unlike Isotrex gel that has over 95% ethanol, the six formulations had no or much lower concentration (*e.g.*, 2%) of ethanol, respectively. Additionally, five of the six formulations (EtOH 2%/PEG3350; PATPO3 PEG only with 1450-b; PATPO3 f-d, 5% glycerol, PEG3350; EtOH 2%/PEG3350; and PATPO3 PEG only with 1450-b) did not include water.

**TABLE 11**

Excipient	Target composition % w/w					
	EtOH 2%/ PEG3350 (0.2%API)	Water 5%/ PEG3350 (0.2%API)	PATPO3 PEG only with 1450 - b (0.2%API)	PATPO3 f - d, 5% Glycerol, PEG3350 (0.2%API)	EtOH 2%/ PEG3350 (0.6%API)	PATPO3 PEG only with 1450 - b (0.6%API)
SR PEG 400	67.58	65.38	69.3	69.7	67.18	69.3
Water	-	5	-	-	-	-
Glycerol	-	-	-	5	-	-
Ethanol	2	-	-	-	2	-
Methyl parabens	0.2	0.2	0.2	0.2	0.2	0.2
Propyl parabens	0.02	0.02	0.02	0.02	0.02	0.02
PEG 4000	-	-	-	-	-	-
PEG 3350	29.9	29.1	14.78	14.78	29.9	14.78
PEG-1450	-	-	15	10	-	15
BHT	0.1	0.1	0.1	0.1	0.1	0.1
Isotretinoin	0.2	0.2	0.2	0.2	0.6	0.6
Total	100	100	100	100	100	100

(-): not included.

[0115] Figures 14 and 15 show results using the six formulations listed in **Table 11** against the comparator product, Isotrex gel 0.05% using the same *in vitro* skin permeation and penetration experiment parameters, procedures and data calculations discussed in EXAMPLE 2 above. However, the dermatoned skin used for these experiments came from a fourth donor. As shown in Figure 14, isotretinoin was not found in the receiver fluid for the t=0 and 6-hour time points for any of the formulations tested. However, after 24 hours, isotretinoin is found in the receiver fluid in minimal amounts in the tested formulations, including Isotrex and PATPO3 AN. These results show greater permeability when comparing the results in Figure 9, which shows that isotretinoin in receiver fluid did not occur until 48 hours. This may be due to greater permeability from the fourth donor than the three donors used during

the previous assessment discussed earlier. *See also* Figure 17, which shows a comparison of two skin penetration data sets of PATPO3 AN (0.2%) and Isotrex (0.05%), respectively, and that the first and third data sets from the left, which both are based on the fourth donor, show greater permeability than the second and fourth data sets from the left, which both are based on the three previous donors.

[0116] Figure 16 shows that the following formulations demonstrate unexpected, superior delivery of isotretinoin to the epidermis and dermis than Isotrex gel: PATPO3 AN; EtOH 2%/PEG3350; PATPO3 PEG only with 1450-b; and PATPO3 f-d, 5% glycerol, PEG3350. Again, these formulations have much a lower concentration of to no ethanol, which is in severe contrast to the more than 95% ethanol in Isotrex gel. Formulations prepared at 0.6% w/w do not appear to deliver more isotretinoin than formulations prepared at 0.2% w/w. Hence, the novel formulations disclosed herein show unexpected, superior delivery efficiency of isotretinoin to the epidermis and dermis, and that such delivery efficiency is not simply a result of increasing the amount of isotretinoin in the formulation. Indeed, Figure 15 confirms that a large amount of isotretinoin remained at the skin surface for the 0.6% w/w isotretinoin formulations (*see, e.g.*, Figure 15: EtOH 2% PEG 3350 (0.6%) and PATPO3 PEG only +PEG1450b (0.6%)).

[0117] The various aspects of the invention described above can be combined to provide further aspects of the invention. All of the references and products referred to in this application are in their entirety incorporated herein by reference. Aspects of the invention can be modified, if necessary to employ concepts of the references and/or products referred to in this application.

[0118] In general, in the following claims, the terms used should not be construed to limit the claims to the specific aspects disclosed in the specification and the claims, but should be construed to include all possible aspects along with the full scope of equivalents to which such claims are entitled. Accordingly, the claims are limited by the disclosure.

## CLAIMS

### WHAT IS CLAIMED IS:

1. A pharmaceutical composition comprising:  
isotretinoin;  
at least one penetration enhancer;  
at least one solvent;  
at least one preservative; and  
water.
2. The pharmaceutical composition of claim 1, wherein the pharmaceutical composition is formulated as a gel, ointment, lotion, emulsion, cream, foam, mousse, liquid, spray, suspension, dispersion or aerosol.
3. The pharmaceutical composition of claim 1, further comprising at least one antioxidant, at least one emollient, and/or at least one viscosity modifying agent.
4. The pharmaceutical composition of claim 1, wherein the at least one penetration enhancer is selected from the group consisting of ethanol, transcitol, and propylene glycol, and wherein the concentration of the penetration enhancer is between 0% w/w and 12.5% w/w.
5. The pharmaceutical composition of claim 1, wherein the at least one preservative is selected from the group consisting of methyl parabens and propyl parabens.
6. The pharmaceutical composition of claim 1, wherein the at least one solvent is selected from the group consisting of polyethylene glycol and propylene glycol.
7. The composition of claim 1, wherein the at least one penetration enhancer is present in a concentration of 0% w/w, 5% w/w, 10% w/w, or 12.5% w/w.

8. The composition of claim 3, wherein the at least one viscosity modifying agent is selected from the group consisting of cetyl alcohol, glycerol, and polyethylene glycol.

9. The composition of claim 1, wherein the isotretinoin is present in a concentration selected from the group consisting of 0.025% w/w, 0.05% w/w, 0.1% w/w, 0.15% w/w, and 0.2% w/w.

10. A pharmaceutical composition comprising isotretinoin, PEG 400, water, ethanol, methyl parabens, propyl parabens, PEG 4000, and BHT, wherein the concentration of ethanol is between 0% w/w and 10% w/w.

11. The pharmaceutical composition of claim 9, wherein the isotretinoin is present in a concentration of about 0.2% w/w.

12. A pharmaceutical composition comprising about 0.05% w/w to about 0.5% w/w isotretinoin, about 60% w/w to about 65% w/w PEG 400, about 6% w/w to about 12% w/w water, about 5% w/w to about 10% w/w ethanol, about 0.05% w/w to about 0.5% w/w methyl parabens, about 0.01 % w/w to about 0.05% propyl parabens, about 15% w/w to about 20% w/w PEG 4000, and about 0.05% w/w to about 0.2% w/w BHT.

13. A pharmaceutical composition comprising about 0.2% w/w isotretinoin, about 63.4% w/w PEG 400, about 9% w/w water, about 10% w/w ethanol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

14. A pharmaceutical composition comprising about 0.2% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

15. A pharmaceutical composition comprising about 0.1% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w

transcutol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

16. A method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition comprising:

isotretinoin;

at least one penetration enhancer;

at least one solvent;

at least one preservative; and

water;

wherein the at least one penetration enhancer is ethanol, and the concentration of ethanol is between 0% w/w and 12.5% w/w.

17. The pharmaceutical composition of claim 16, further comprising at least one antioxidant, at least one emollient, and/or at least one viscosity modifying agent.

18. The method of claim 16, wherein the administration is dermal.

19. The method of claim 16, wherein the congenital ichthyosis is selected from the group consisting of Ichthyosis vulgaris, X-linked ichthyosis, Lamellar ichthyosis, Congenital Ichthyosiform Erythroderma Epidermolytic ichthyosis, Erythrokeratoderma variabilis, Pachyonychia congenital, Palmoplantar keratodermas, Harlequin type ichthyosis, Refsum disease, Conradi-Hunermann-Happle syndrome, CHILD syndrome, ichthyosis en confettis, Epidermolytic nevus, Loricrin keratoderma, Voishwinkel's disease, and Sjögren-Larsson syndrome.

20. The method of claim 16, wherein the pharmaceutical composition comprises about 0.05% w/w to about 0.5% w/w isotretinoin, about 60% w/w to about 65% w/w PEG 400, about 6% w/w to about 12% w/w water, about 5% w/w to about 10% w/w ethanol, about 0.05% w/w to about 0.5% w/w methyl parabens, about 0.01 % w/w to about 0.05% propyl parabens, about 15% w/w to about 20% w/w PEG 4000, and about 0.05% w/w to about 0.2% w/w BHT.

21. The method of claim 16, wherein the pharmaceutical composition comprises about 0.2% w/w isotretinoin, about 63.4% w/w PEG 400, about 9% w/w water, about 10% w/w ethanol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

22. The method of claim 16, wherein the pharmaceutical composition comprises about 0.2% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

23. The method of claim 16, wherein the pharmaceutical composition comprises about 0.1% w/w isotretinoin, about 62.4% w/w PEG 400, about 10% w/w water, about 5% w/w ethanol, about 5% w/w transcutol, about 0.2% w/w methyl parabens, about 0.02% w/w propyl parabens, about 17.08% w/w PEG 4000, and about 0.1% w/w BHT.

24. The method of claim 16, wherein the subject is human.

25. A pharmaceutical composition comprising:  
isotretinoin, and  
at least one solvent.

26. The pharmaceutical composition of claim 25, further comprising at least one penetration enhancer.

27. The pharmaceutical composition of claim 26, wherein the at least one penetration enhancer is selected from the group consisting of ethanol, transcutol, propylene glycol, and a combination thereof.

28. The pharmaceutical composition of claim 26, wherein the at least one penetration enhancer is ethanol, and the concentration of ethanol is no greater than 2% w/w.

29. The pharmaceutical composition of claim 25, further comprising 0% w/w ethanol.

30. The pharmaceutical composition of claim 25, further comprising 0% w/w water.

31. The pharmaceutical composition of claim 25, further comprising at least one preservative.

32. The pharmaceutical composition of claim 31, wherein the at least one preservative is selected from the group consisting of methyl parabens, propyl parabens, BHT, and a combination thereof.

33. The pharmaceutical composition of claim 25, wherein the at least one solvent is selected from the group consisting of polyethylene glycol (PEG), propylene glycol, and a combination thereof.

34. The pharmaceutical composition of claim 25, wherein the pharmaceutical composition is formulated as a gel, ointment, lotion, emulsion, cream, foam, mousse, liquid, spray, suspension, dispersion or aerosol.

35. The pharmaceutical composition of claim 1 or 25, further comprising of at least one antioxidant.

36. The pharmaceutical composition of claim 35, wherein the at least one antioxidant is selected from the group consisting of N-acetylcysteine (NAC), BHT, and a combination thereof.

37. The pharmaceutical composition of claim 1 or 25, further comprising of at least one anti-inflammatory compound.

38. The pharmaceutical composition of claim 37, wherein the at least one anti-inflammatory compound is NAC, a steroid, or a nonsteroidal anti-inflammatory compound.

39. The pharmaceutical composition of claim 36, wherein the concentration of NAC is at least 0.1% w/w but no greater than 30% w/w.



40. The pharmaceutical composition of claim 1 or 25, further comprising of at least one humectant.

41. The pharmaceutical composition of claim 40, wherein the at least one humectant is urea, and wherein the concentration of urea is at least 1% w/w but no greater than 40% w/w.

42. The pharmaceutical composition of claim 25, further comprising at least one viscosity modifying agent.

43. The pharmaceutical composition of claim 42, wherein the at least one viscosity modifying agent is selected from the group consisting of glycerol, PEG, and a combination thereof.

44. A pharmaceutical composition comprising isotretinoin, PEG 400, PEG 3350, and BHT.

45. A pharmaceutical composition comprising isotretinoin, PEG 400, PEG 3350, PEG 1450, and BHT.

46. The pharmaceutical composition of claim 44 or 45, further comprising methyl parabens and propyl parabens.

47. The pharmaceutical composition of claim 44 or 45, wherein the isotretinoin is present in the concentration of about .01% to about 0.2% w/w.

48. The pharmaceutical composition of claim 44 or 45, further comprising ethanol, wherein the concentration of ethanol is no greater than 2% w/w.

49. The pharmaceutical composition of claim 44 or 45, further comprising 0% w/w ethanol.

50. The pharmaceutical composition of claim 44 or 45, further comprising NAC.

51. A pharmaceutical composition comprising about 0.01% w/w to about 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, 0% w/w to about 2% w/w ethanol, about 0.2% w/w methyl parabens; about 0.02% w/w propyl parabens, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT.

52. A pharmaceutical composition comprising about 0.01% w/w to about 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, 0% w/w to about 2% w/w ethanol, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT.

53. The pharmaceutical composition of 51 or 52, wherein the amount of isotretinoin is about 0.01% to about 0.2%.

54. A method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition comprising:

isotretinoin,  
and  
at least one solvent.

55. The method of claim 54, wherein the at least one solvent is 0% w/w but no greater than 2% w/w ethanol and PEG.

56. The method of claim 54, wherein the amount of isotretinoin is about 0.01% to about 0.2%.

57. The method of claim 54, wherein the pharmaceutical composition further comprises at least one antioxidant, wherein the at least one antioxidant is BHT, NAC, or a combination thereof.

58. The method of claim 54, wherein the administration is dermal.

59. The method of claim 54, wherein the congenital ichthyosis is selected from the group consisting of Ichthyosis vulgaris, X-linked ichthyosis, lamellar ichthyosis, congenital ichthyosiform Erythroderma Epidermolytic ichthyosis, Erythrokeratoderma variabilis, Pachyonychia congenita, Palmoplantar keratodermas, Harlequin type ichthyosis, Refsum disease, Conradi-Hunermann-Happle syndrome, CHILD syndrome, ichthyosis en confettis, Epidermolytic nevus, Loricrin keratoderma, Voilwinkel's disease, and Sjögren-Larsson syndrome.

60. A method of treating congenital ichthyosis, comprising administering to a subject in need thereof a therapeutically effective amount of a pharmaceutical composition, the pharmaceutical composition comprising about 0.02% w/w to 0.6% w/w isotretinoin, about 67% w/w to about 70% w/w PEG 400, about 0% w/w to about 2% w/w ethanol, about 0.2% w/w methyl parabens; about 0.02% w/w propyl parabens, about 14% w/w to about 30% w/w PEG 3350, about 0% to about 15% w/w PEG 1450, and about 0.1% w/w BHT.

61. The method of claim 54 or 60, wherein the subject is human.

FIGURE 1

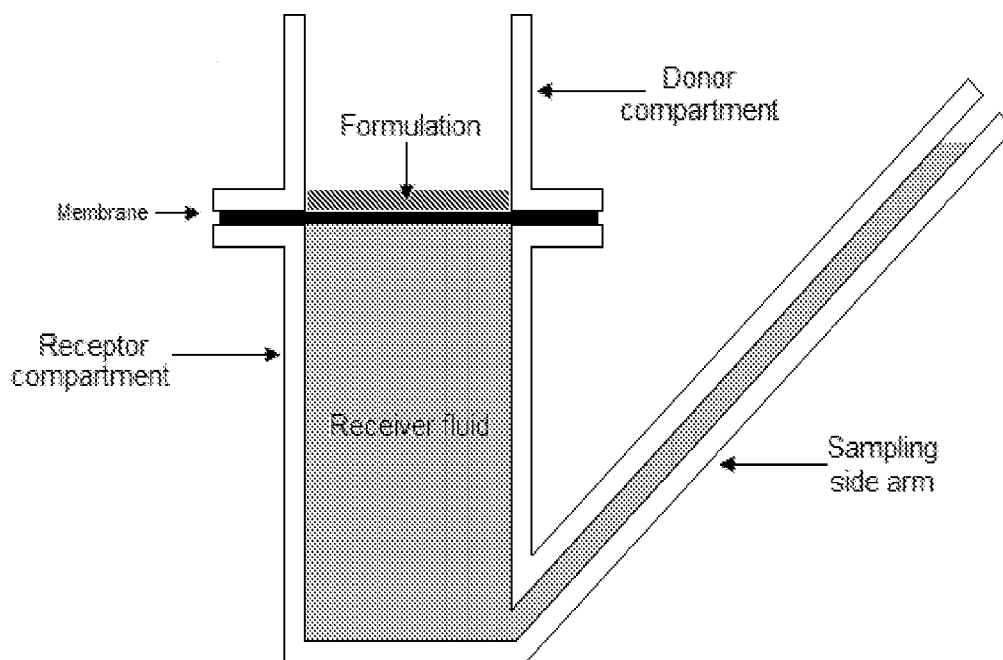


FIGURE 2

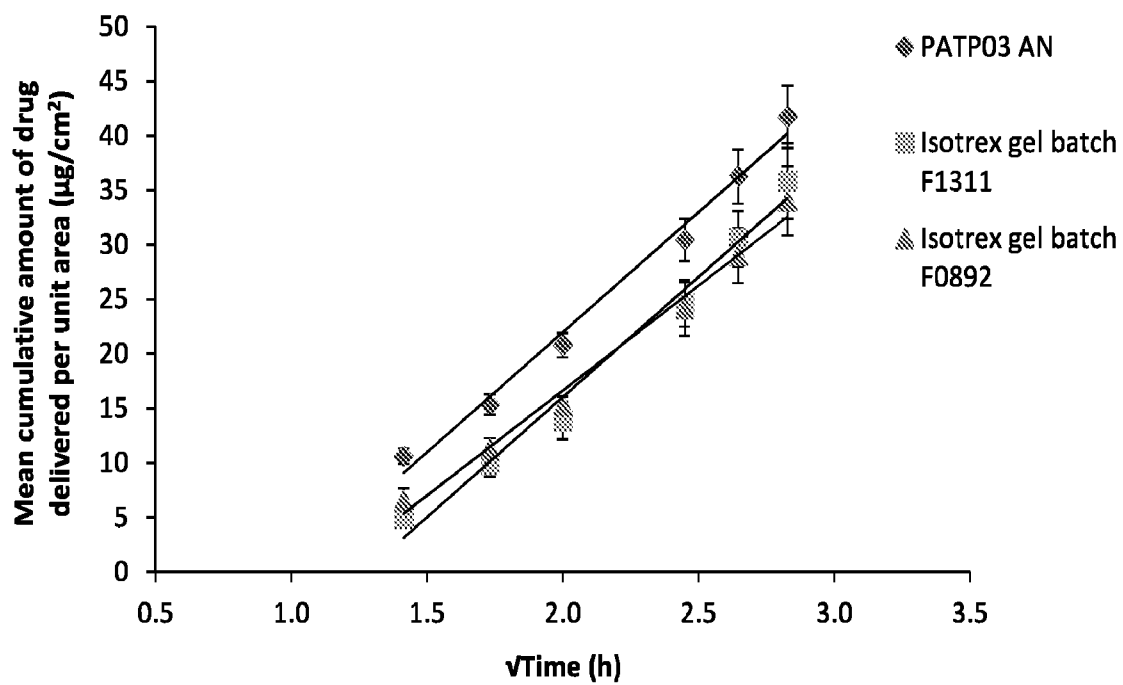


FIGURE 3

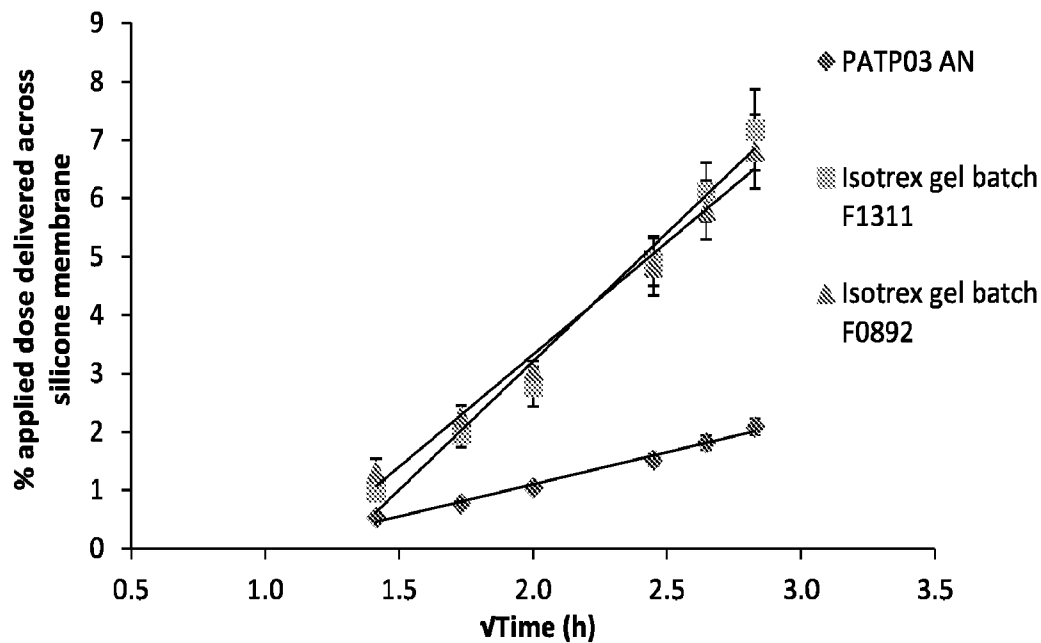


FIGURE 4

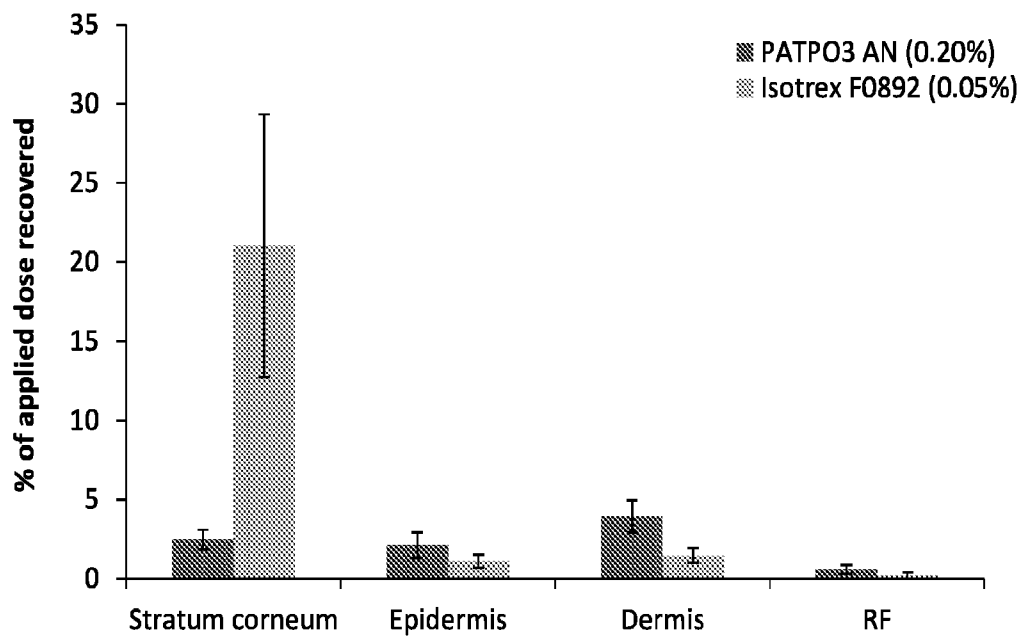


FIGURE 5

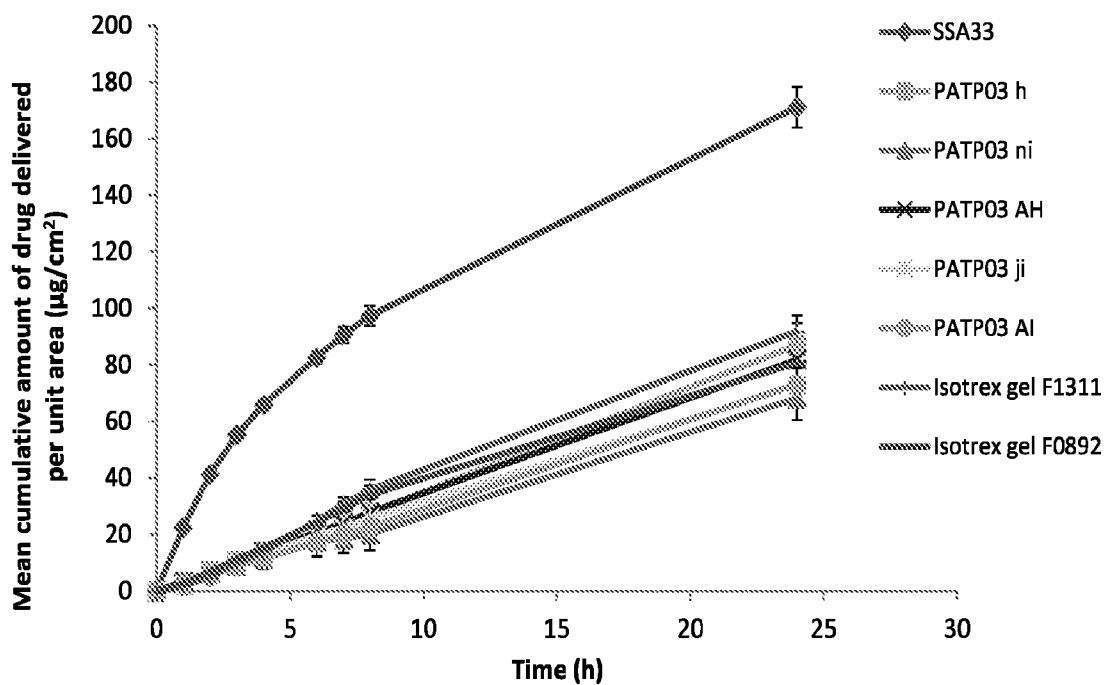


FIGURE 6

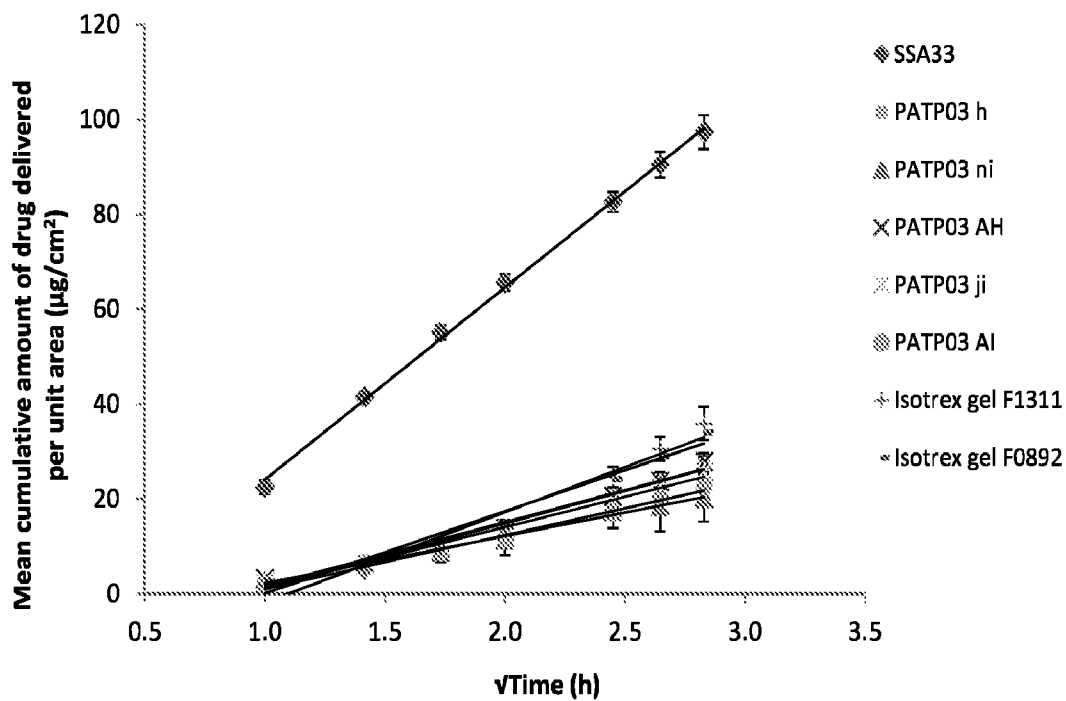


FIGURE 7

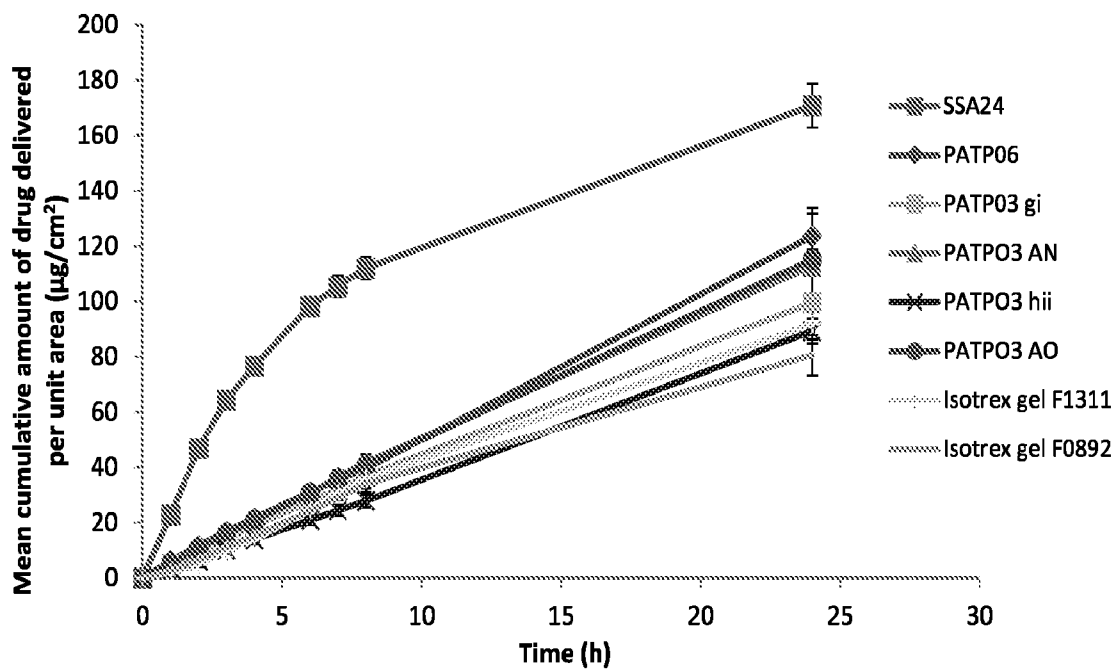


FIGURE 8

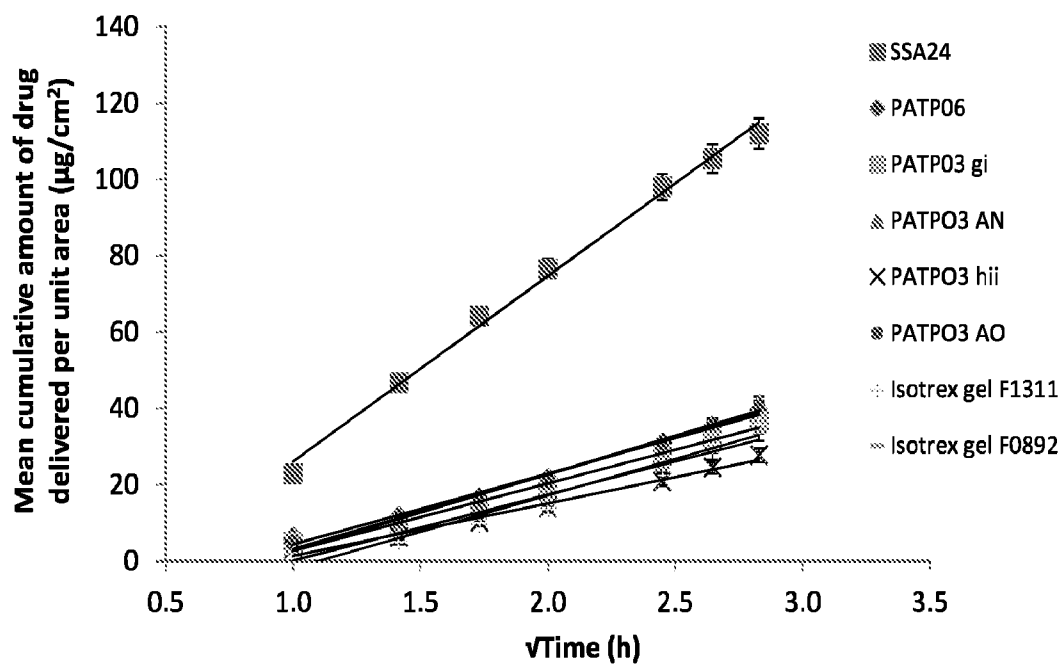


FIGURE 9

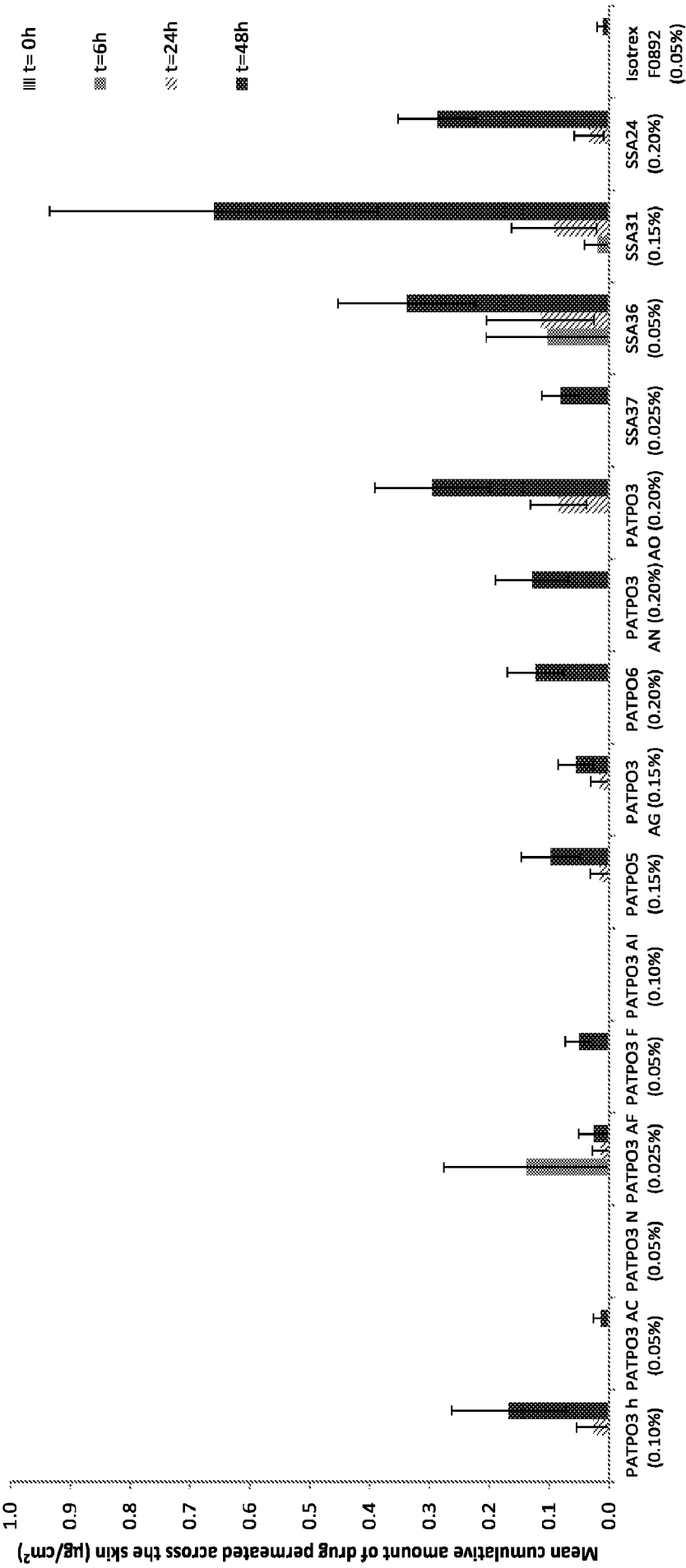




FIGURE 10

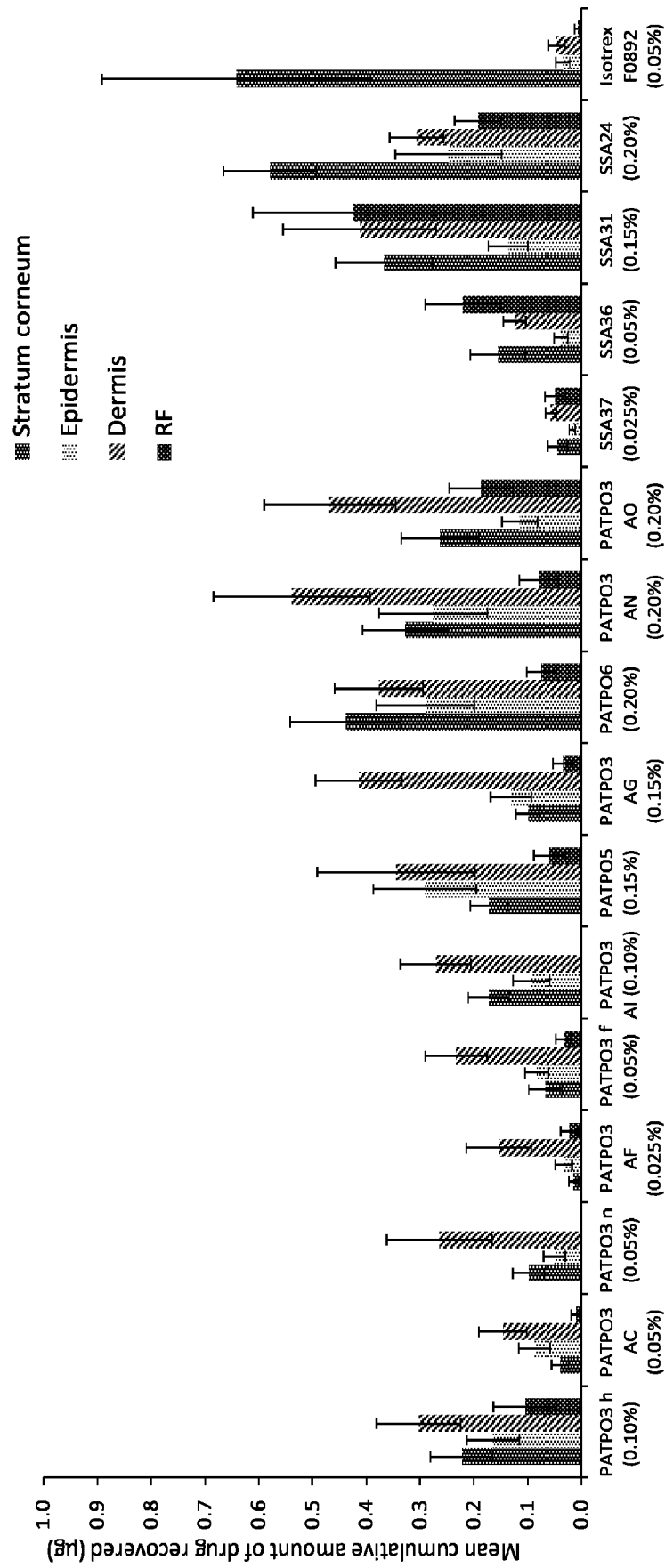
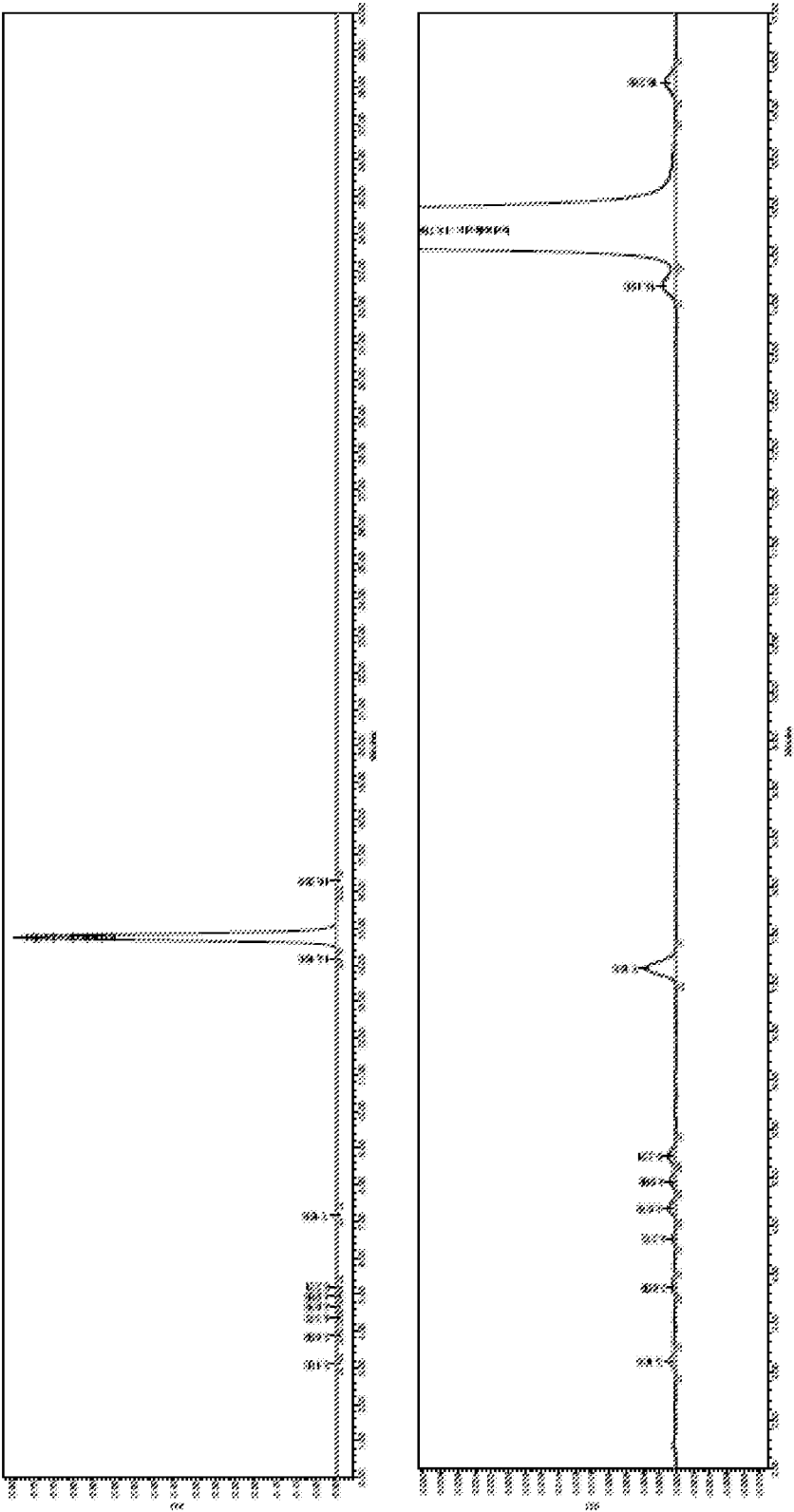


FIGURE 11



**FIGURE 12**

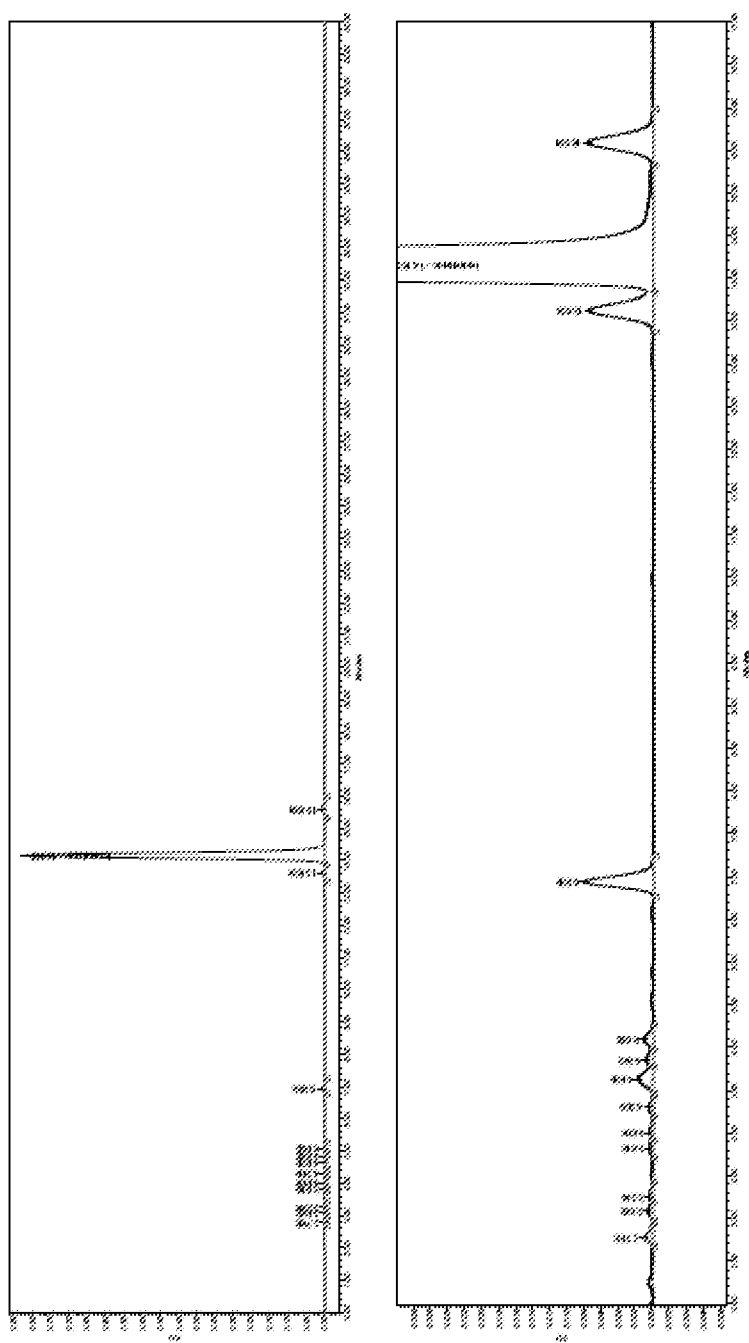


FIGURE 13

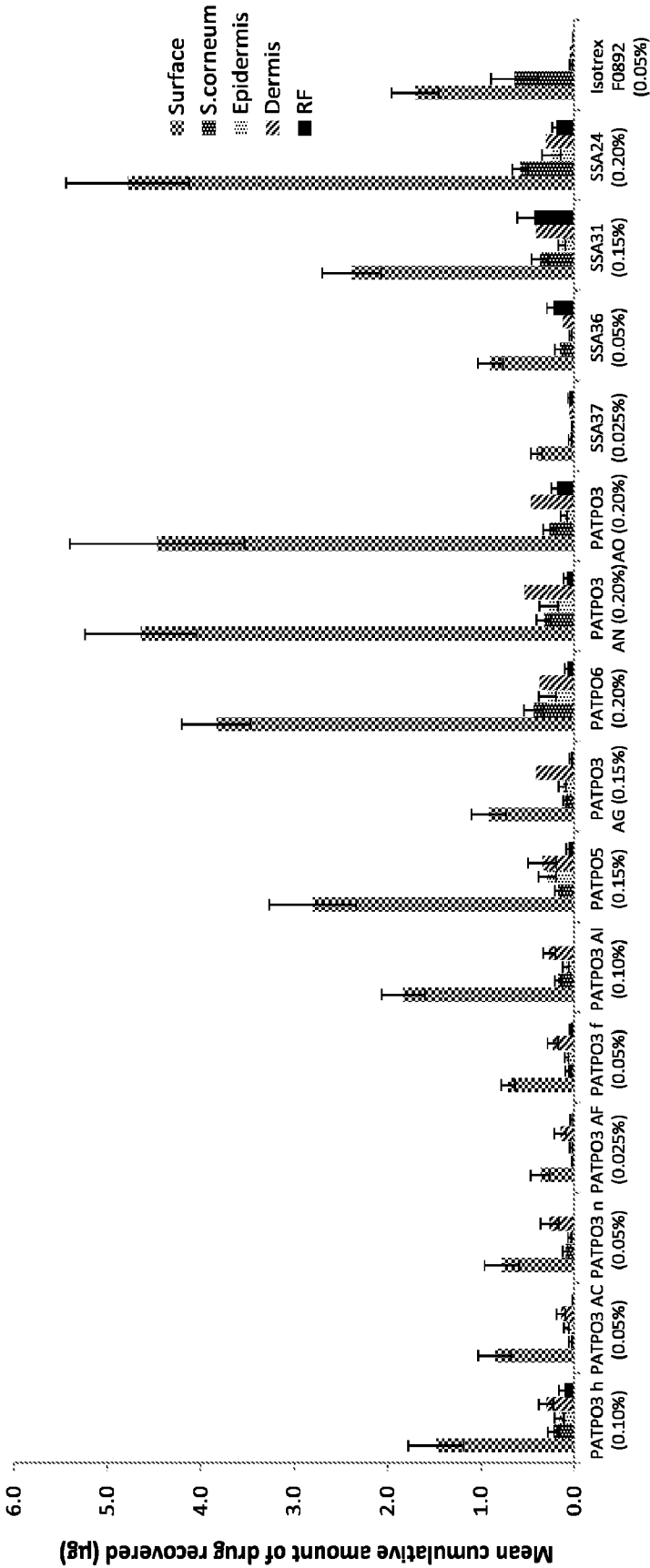


FIGURE 14

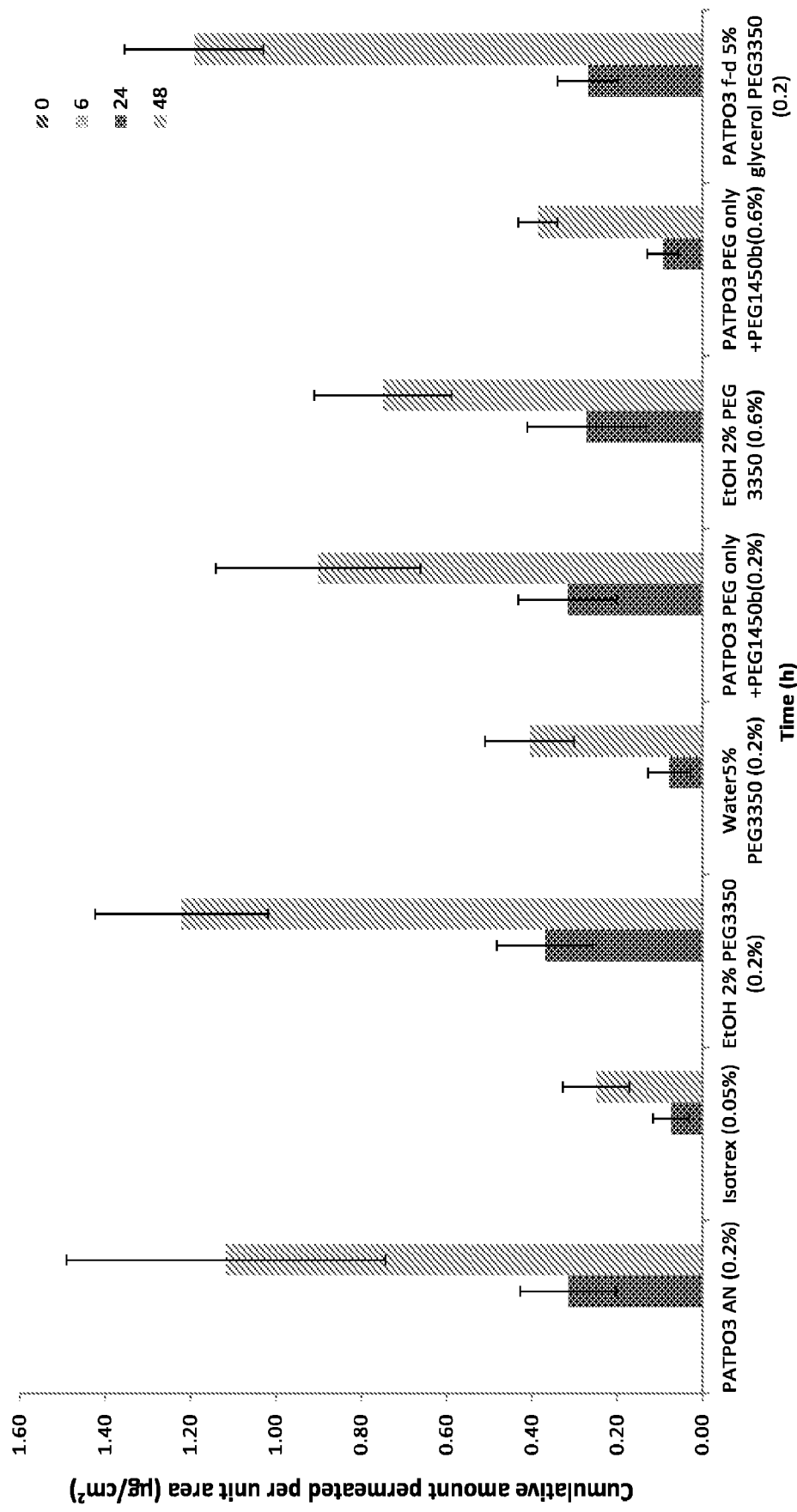


FIGURE 15

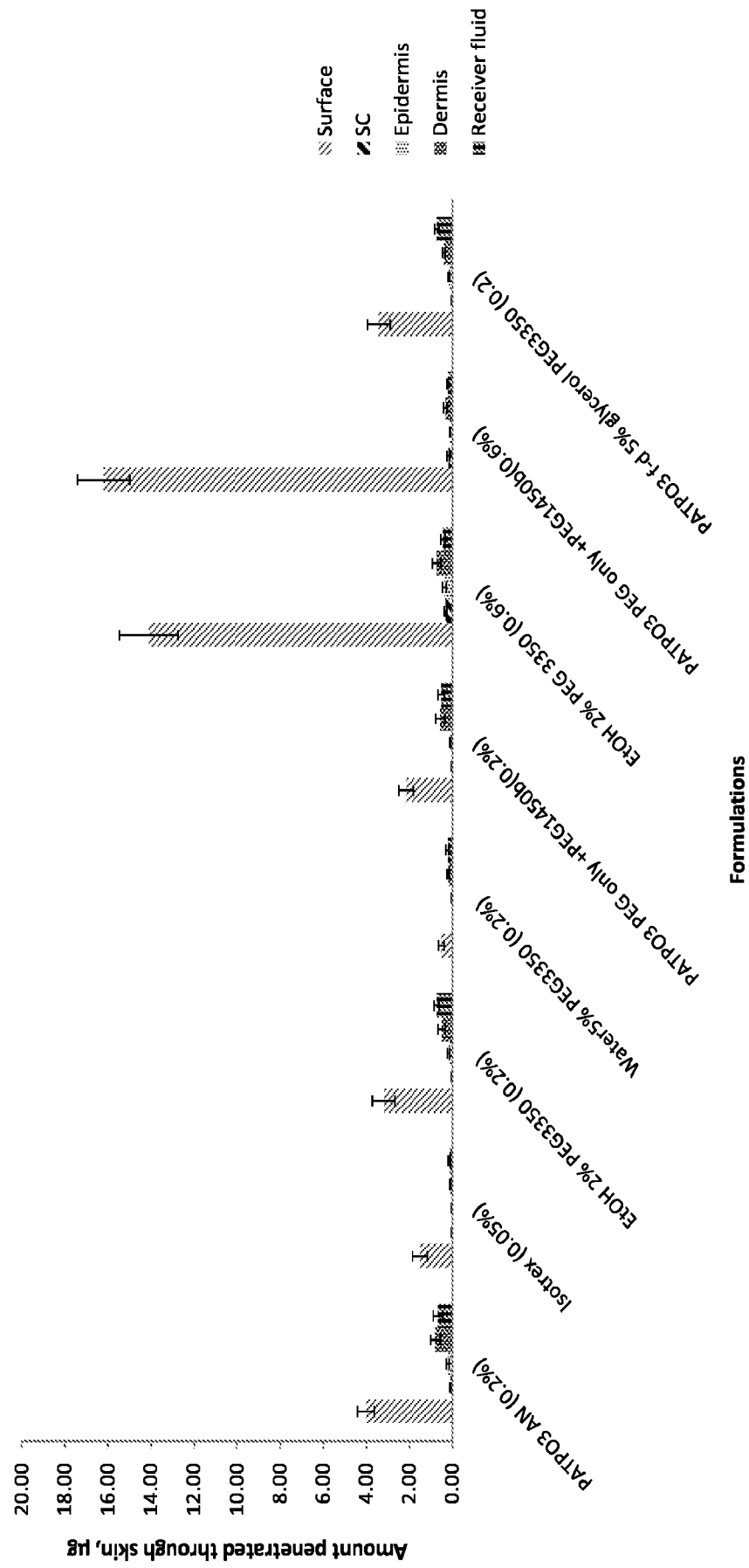
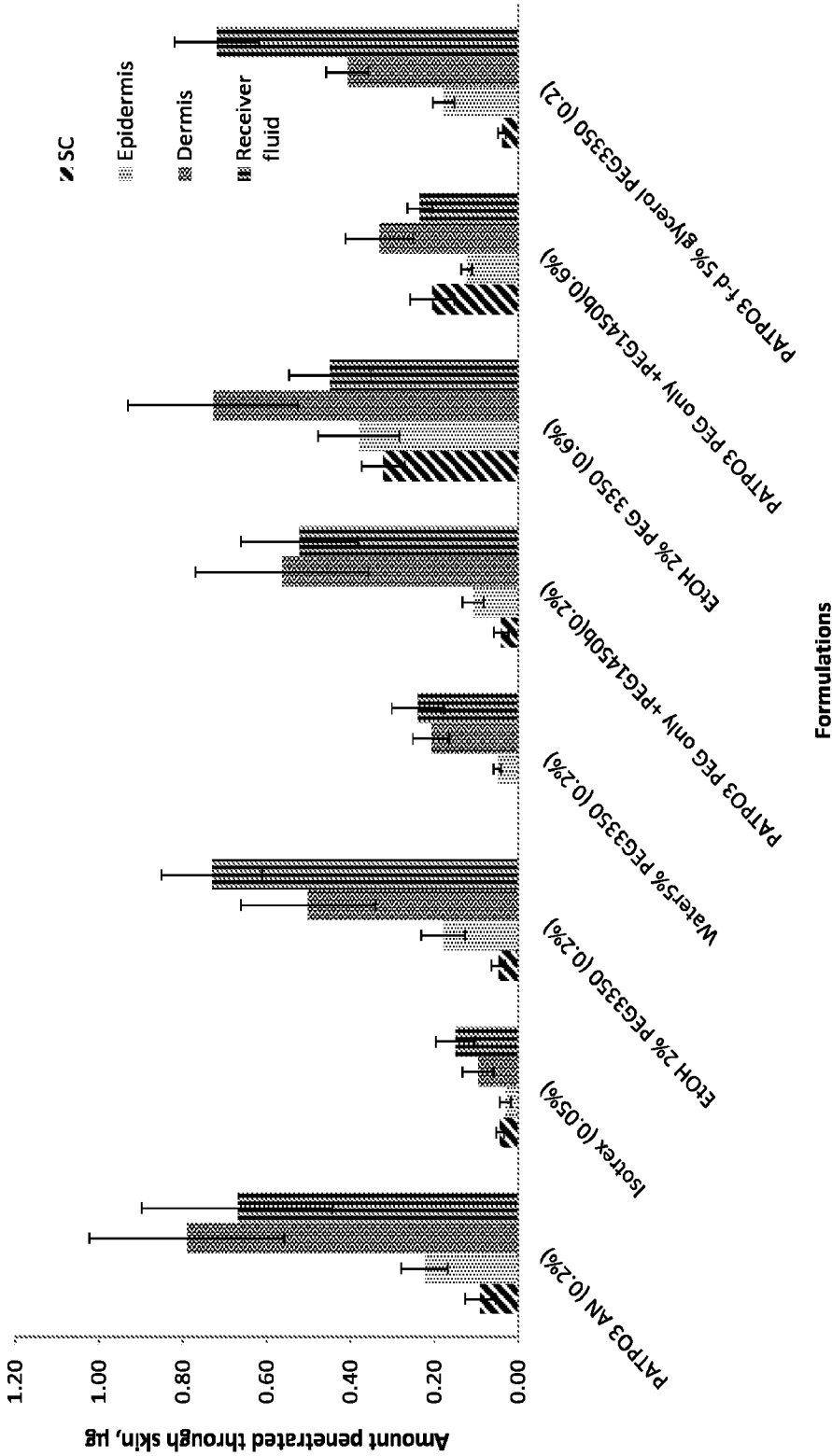
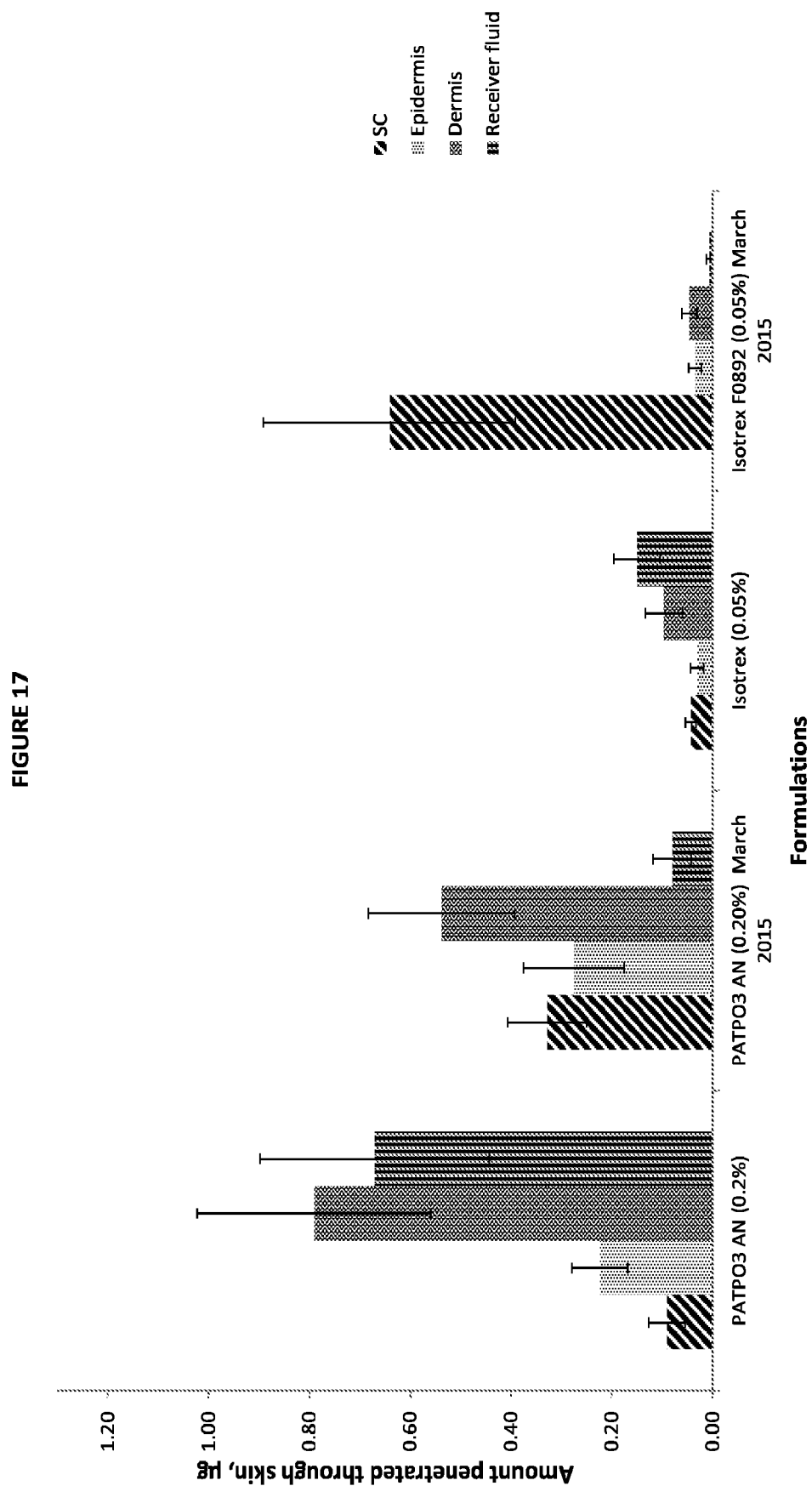


FIGURE 16







## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 16/58746

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(8) - A61K 9/00, A61Q 19/00, A61K 9/48, A61K 9/14 (2016.01)

CPC - A61K 31/202, A61K 9/1075, A61K 9/0014

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): A61K 9/00, A61Q 19/00, A61K 9/48, A61K 9/14 (2016.01)

CPC: A61K 31/202, A61K 9/1075, A61K 9/0014

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

PatBase, Google Patents, Google Scholar

Search terms used: isotretinoin, penetration enhancer, solvent, preservative, water

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- Y	US 2005/0031547 A1 (TAMARKIN et al.) 10 February 2005 (10.02.2005); para [0003], [0014], [0022], [0061], [0068]-[0069], [0074], [0097], [0111], [0136], [0143]-[0144], [0146], [0157], [0163], [0175], [0209], [0211], [0230], [0234]-[0235], [0237]-[0238], [0255], [0331], [0336], [0347], [0350], [0355]	1-8, 10, 25-38, 40-43 ----- 9, 11-15, 20-23, 39, 51-53, 60, 61/(60)
X -- Y	WO 2013/138744 A1 (M. ALPHABET 1, LLC) 19 September 2013 (19.09.2013); pg 11 ln 4-5; pg 12 ln 14, 19-22; pg 14 ln 1-3; pg 15 ln 8-9, 11-12, 15, 25-28; pg 16 ln 20, 24-27; pg 17 ln 20-23; pg 18 ln 3-6, 14-15; pg 20 ln 12-13, 28-30; pg 21 ln 4	16-19, 24, 54-59, 61/(54) ----- 9, 11-15, 20-23, 39, 50, 60, 61/(60)
X -- Y	US 2013/0108557 A1 (ABRAM et al.) 02 May 2013 (02.05.2013); para [0011], [0035], [0039], [0045], [0063], [0069], [0071]-[0072]	44-49 ----- 50-53, 60, 61/(60)
A	US 5,252,604 A (NAGY et al.) 12 October 1993 (12.10.1993); see entire document	1-61



Further documents are listed in the continuation of Box C.



\* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;" document member of the same patent family

Date of the actual completion of the international search

19 December 2016

Date of mailing of the international search report

25 JAN 2017

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