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(54) Titre : ANTICORPS HUMAIN ANTI-DLK-1 AYANT UNE ACTIVITE ANTI-TUMORALE IN VIVO  
(54) Title: ANTI-HDLK-1 ANTIBODY HAVING AN ANTI-TUMOR ACTIVITY IN VIVO

(57) Abrégé/Abstract:

The present invention provides: antibodies specifically reacting against hDLK-1 and having anti-tumor activity in vivo (anti-hDLK-1 antibodies, and in particular, humanized anti-hDLK-1 antibodies); fragments of the antibodies; hybridomas that produce the antibodies; a complex of the antibody or antibody fragment and an agent; a pharmaceutical composition, a tumor therapeutic agent, a tumor diagnostic agent and an agent for inducing apoptosis in tumor cells, each of which comprises the aforementioned antibody or the like; a method for treating tumor, a method for detecting tumor, a method for inducing apoptosis in tumor cells, a kit for detecting and/or diagnosing tumor and a kit for inducing apoptosis in tumor cells, each of which comprises the use of the aforementioned antibody or the like; etc.

## ABSTRACT

The present invention provides: antibodies specifically reacting against hDlk-1 and having anti-tumor activity *in vivo* (anti-hDlk-1 antibodies, and in particular, 5 humanized anti-hDlk-1 antibodies); fragments of the antibodies; hybridomas that produce the antibodies; a complex of the antibody or antibody fragment and an agent; a pharmaceutical composition, a tumor therapeutic agent, a tumor diagnostic agent and an agent for inducing apoptosis in tumor cells, each of which comprises the aforementioned antibody or the like; a method for treating tumor, a method for detecting tumor, a method 10 for inducing apoptosis in tumor cells, a kit for detecting and/or diagnosing tumor and a kit for inducing apoptosis in tumor cells, each of which comprises the use of the aforementioned antibody or the like; etc.

## SPECIFICATION

ANTI-HDLK-1 ANTIBODY HAVING AN ANTI-TUMOR ACTIVITY *IN VIVO*

## 5 FIELD OF THE INVENTION

The present invention relates to anti-human Dlk-1 antibodies having anti-tumor activity and particularly to anti-human Dlk-1 antibodies having anti-tumor activity *in vivo*. In addition, the present invention also relates to hybridomas that produce the aforementioned antibodies and a use of the aforementioned antibodies.

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## BACKGROUND OF THE INVENTION

Human Dlk-1 (delta-like 1 homolog (*Drosophila*); which may be hereinafter referred to as "hDlk-1") is a type I transmembrane (one-transmembrane-type) protein with a full length of 383 amino acid residues which has 6 EGF-like motifs in its 15 extracellular region. The extracellular region shows homology with a Notch/Delta/Serrate family. A hDlk-1 gene has been cloned as a molecule expressed in a GRP (gastrin releasing peptide)-responsive lung small cell carcinoma-derived cell line (Non-Patent Document 1), or as a factor for suppressing preadipocyte differentiation (Non-Patent Document 2). From the viewpoint of the homology of the amino acid 20 sequence of hDlk-1 with that of Delta that is a ligand of a Notch receptor as a cell differentiation regulator, such Dlk-1 is generally referred to as a gene symbol, DLK1. It also has several other gene symbols such as Pref-1 (Non-Patent Document 2), pG2 (Non-Patent Document 3), SCP-1 (Non-Patent Document 4) and ZOG (Non-Patent Document 5). However, these gene symbols basically indicate the same molecule.

25 Moreover, hDlk-1 is cleaved with an unidentified protease which cuts the neighborhood of cell membrane in the extracellular region of hDlk-1 and it is then secreted into blood. Free hDlk-1 (hDlk-1 extracellular region) is a molecule identical to a glycoprotein called FA-1 (Fetal antigen-1) (Non-Patent Document 6) consisting of 225 to 262 amino acid residues.

The hDlk-1 gene and a gene product thereof are expressed at a high level in undifferentiated, highly proliferative, fetal cells. In particular, the hDlk-1 gene and the gene product thereof are highly expressed in fetal liver, fetal kidney, fetal skeletal muscle, fetal brain and the like. After birth, however, expression of such a hDlk-1 gene and a gene product thereof cannot be observed in most of the tissues. In normal adult tissues, the hDlk-1 gene and the gene product thereof are localized in adrenal gland, placenta and hypophysis (Patent Document 1, Non-Patent Document 2).

Furthermore, even in mature tissues, expression of hDlk-1 is observed in cells that are considered to be undifferentiated stem cells or precursor cells. For example, it has been reported that expression of hDlk-1 has been observed in hepatic oval cells that are undifferentiated and have pluripotency in adult liver (Non-Patent Documents 7 and 8), in mesenchymal stem cells that are the stem cells of bone/cartilage/adipose cells (Non-Patent Document 9), and in prostatic epithelial precursor cells in the basal cell layer of the prostate (Non-Patent Document 18). Further, it has also been reported that, in the case of mouse mesenchymal stem cells, free Dlk-1 (mouse Dlk-1 extracellular region) activates ERK/MAP kinase and induces expression of Sox-9, so that differentiation of the cells into adipose cells can be suppressed and at the same time, differentiation of the cells into chondrocytes can be induced, but that such free Dlk-1 suppresses differentiation of the cells into osteoblasts and maturation of chondrocytes (Non-Patent Documents 19 and 20). It has been suggested that hDlk-1 is associated with the properties of such tissue stem cells, such as the maintenance of undifferentiation ability.

Such an expression pattern of hDlk-1 restricted in fetal cells or stem cells and a family of genes/gene products having EGF-like motifs (Notch-receptor, Notch ligand (Delta, Jagged, serrate), etc.) generally controls the growth or differentiation of cells by intercellular interaction via EGF-like motifs. Thus, it has been suggested that hDlk-1 also has such functions. In fact, it has been well known that expression of hDlk-1 is decreased concomitant with differentiation of adipose precursor cells and that adipose differentiation is suppressed, if the hDlk-1 gene is forced to express in adipose precursor cells (Non-Patent Document 2). However, at the present time, details regarding a

molecule (a ligand) interacting with hDlk-1 are unknown.

On the other hand, it has been reported that the hDlk-1 gene and the gene product thereof are expressed with a high frequency in various types of cancers or tumors. The types of cancers, in which expression of hDlk-1 has been confirmed so far, include: 5 solid cancers such as neuroendocrine tumor, neuroblastoma, glioma, neurofibromatosis type 1, small cell lung cancer, liver cancer, kidney cancer, ovarian cancer, colon cancer, breast cancer, and pancreatic cancer (Patent Documents 1, 2, 4 and 5 and Non-Patent Documents 1, 3, 10, 11, 12, 13, 14 and 21); and blood cancers such as myelodysplastic syndrome (Patent Document 3 and Non-Patent Documents 15 and 16) and acute 10 myelocytic leukemia (Non-Patent Document 16). It has been reported that cell growth is accelerated if a hDlk-1 gene is introduced into a K562 cell that is an erythroleukemia cell line (Non-Patent Document 16) and also that, if such a hDlk-1 gene is introduced into glioblastomas, it causes the disappearance of contact inhibition of cells as well as acceleration of cell growth, so that anchorage-independent cell growth ability can be 15 achieved. The relationship between hDlk-1 and carcinogenesis has been suggested (Non-Patent Document 17).

Conventionally, as anti-hDlk-1 monoclonal antibodies showing cytotoxicity on human liver cancer cells *in vitro* in the presence of complement, rat anti-hDlk-1 monoclonal antibodies 1C1, 4C4 and 31C4 (clone names) have been known (Patent 20 Document 1). On the other hand, these clone antibodies have also been known as antibodies that do not show anti-tumor activity (tumor growth-inhibiting activity) *in vivo* (in treatment models with human cancer cell-bearing mice) (Patent Documents 4 and 5).

Patent Document 1: WO 2005/052156

25 Patent Document 2: WO 02/081625

Patent Document 3: Japanese Patent Laid-Open No. 2001-269174

Patent Document 4: WO 2008/056833

Patent Document 5: WO 2009/116670

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Non-Patent Document 3: Helman, L. J. et al., Proc. Natl. Acad. Sci. USA, vol. 84, pp. 2336-2339 (1987)

5 Non-Patent Document 4: Maruyama, K. et al., Unpublished, Genebank accession number D16847 (1993)

Non-Patent Document 5: Halder, S. K. et al., Endocrinology, vol. 139, pp. 3316-3328 (1998)

10 Non-Patent Document 6: Fay, T. N. et al., Eur. J. Obstet. Gynecol. Reprod. Biol., vol. 29, pp. 73-85 (1988)

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Non-Patent Document 14: Fukuzawa, R. et al., J. Clin. Pathol., vol. 58, pp. 145-150 (2006)

25 Non-Patent Document 15: Miyazato, A. et al., Blood, vol. 98, pp. 422-427 (2001)

Non-Patent Document 16: Sakajiri, S. et al., Leukemia, vol. 19 (8), pp. 1404-1410 (2005)

Non-Patent Document 17: Yin, D. et al., Oncogene, vol. 25 (13), pp. 1852-1861 (2006)

Non-Patent Document 18: Ceder, J. A. et al., Eur. Urol., Vol. 54(6), pp. 1344-1353 (2008)

Non-Patent Document 19: Sul, HS., Mol. Endocrinol., Vol. 23 (11), pp. 1717-1725 (2009)

Non-Patent Document 20: Wang, Y. et al., Mol. Cell Biol., Vol. 30(14), pp. 3480-3492 (2010)

5 Non-Patent Document 21: Yanai, H. et al., J. Biochem., Vol. 148(1), pp. 85-92 (2010)

## SUMMARY OF THE INVENTION

As described above, in the case of normal tissues, expression of hDlk-1 is restricted in embryonic cells or stem cells. However, in the case of cancer tissues, 10 hDlk-1 is expressed with a high frequency in various types of cells. Such hDlk-1 is a cell membrane protein/secretory protein. Based on these facts, hDlk-1 is considered to become a good target in the treatment of various types of tumors, etc. When such hDlk-1 is targeted, an anti-hDlk-1 antibody is considered to be useful. In order to be used as an antibody for cancer therapy for example, the antibody more desirably has an ability to 15 retain a stable antigen-binding activity in a liquid formulation and in human or monkey blood, as well as showing a significant anti-tumor activity by administration of the antibody alone in human-cancer-bearing mouse treatment models.

Thus, an object of the present invention is to provide an anti-hDlk-1 antibody having anti-tumor activity, specifically an anti-hDlk-1 monoclonal antibody having anti-tumor activity *in vivo* and particularly the aforementioned antibody, which is a 20 humanized antibody. Moreover, another object of the present invention is to provide a hybridoma that produces the aforementioned antibody, a complex of the aforementioned antibody and an agent, and the like. Furthermore, a further object of the present invention is to provide a pharmaceutical composition for diagnosing or treating tumor, a pharmaceutical composition for inducing apoptosis in tumor cells, a tumor therapeutic 25 agent, a tumor diagnostic agent, an agent for inducing apoptosis in tumor cells, a method for treating tumor, a method for detecting tumor, a method for inducing apoptosis in tumor cells, a kit for detecting or diagnosing tumor and a kit for inducing apoptosis in tumor cells, each of which comprises the aforementioned antibody, the aforementioned

complex or the like.

The present inventors have conducted intensive studies directed towards achieving the aforementioned objects. As a result, the inventors have found an antibody that specifically reacts with hDlk-1 (particularly, an anti-hDlk-1 monoclonal antibody) and has 5 anti-tumor activity (particularly, a humanized anti-hDlk-1 antibody). The inventors have then confirmed that such an antibody and a complex have anti-tumor activity *in vivo*. Further, the present inventors have succeeded in producing the aforementioned antibody, which is a humanized antibody. Still further, the present inventors have also found that such an antibody and a complex are useful for the treatment, diagnosis and detection of a 10 tumor, and induction of apoptosis in tumor cells, thereby completing the present invention.

That is to say, the present invention is as follows.

(1) An antibody that binds specifically to human Dlk-1, wherein the amino acid sequence of the H chain V region comprises the amino acid sequence as shown in any one 15 of SEQ ID NOS: 35, 40, 69, 73, 77, 81, 85 and 89, and the amino acid sequence of the L chain V region comprises the amino acid sequence as shown in SEQ ID NO: 45.

The antibody according to (1) above is an antibody having an anti-tumor activity *in vivo*, for example. Herein, the tumor is at least one type selected from, for example, the group consisting of human colon cancer, human breast cancer, human liver cancer, human 20 pancreatic cancer, human small cell lung cancer and human neuroblastoma.

The antibody according to (1) above is a humanized antibody, for example.

The antibody according to (1) above is a monoclonal antibody, for example.

The antibody according to (1) above is, for example, an antibody, which binds to at least a portion of a region comprising amino acids at positions 24 to 91 in the amino acid 25 sequence of human Dlk-1 as shown in SEQ ID NO: 2.

(2) An antibody fragment derived from the antibody according to (1) above.

Examples of the antibody fragment according to (2) above include an antibody

fragment comprising the amino acid sequence as shown in any one of SEQ ID NOS: 35, 40, 69, 73, 77, 81, 85 and 89, and an antibody fragment comprising the amino acid sequence as shown in SEQ ID NO: 45; and an antibody fragment comprising both the amino acid sequence as shown in any one of SEQ ID NOS: 35, 40, 69, 73, 77, 81, 85 and 89 and an antibody fragment comprising the amino acid sequence as shown in SEQ ID NO: 45.

5 (3) An antibody-agent complex, which comprises the antibody according to (1) above and a compound having an anti-tumor activity and/or a cell-killing activity.

(4) An antibody fragment-agent complex, which comprises the antibody fragment according to (2) above and a compound having an anti-tumor activity and/or a cell-killing 10 activity.

(5) A pharmaceutical composition, which comprises a pharmacologically acceptable carrier and at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above.

15 The pharmaceutical composition according to (5) above is used in the treatment of tumor, for example, and a particular example of the pharmaceutical composition is a pharmaceutical composition, which does not cause weight reduction as a side effect. In addition, the pharmaceutical composition according to (5) above is used in the diagnosis of tumor, for example. Moreover, the pharmaceutical composition according to (5) above is 20 used in induction of apoptosis in tumor cells, for example.

(6) A tumor therapeutic agent, which comprises at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above.

An example of the tumor therapeutic agent according to (6) above is a tumor 25 therapeutic agent, which does not cause weight reduction as a side effect.

(7) An agent for inducing apoptosis in tumor cells, which comprises at least one type selected from the group consisting of the antibody according to (1) above, the antibody

fragment according to (2) above and the complex according to (3) or (4) above.

Herein, in the pharmaceutical composition according to (5) above, the tumor therapeutic agent according to (6) above and the apoptosis-inducing agent according to (7) above, the tumor is at least one type selected from, for example, the group consisting of 5 human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.

(8) Use, for treating a tumor, of at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above.

10 An example of the use according to (8) above is a treatment use, which does not cause weight reduction as a side effect.

(9) A method for detecting a tumor, which comprises: allowing at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above, to react with 15 a sample collected from a living body; and detecting a signal(s) of the reacted antibody and/or antibody fragment.

(10) Use for inducing apoptosis in tumor cells, of at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above.

20 Herein, in the use for treatment according to (8) above, the detection method according to (9) above and the use for apoptosis induction according to (10) above, the tumor is at least one type selected from, for example, the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.

25 (11) A kit for treating, diagnosing, or detecting a tumor, which comprises at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above, and

instructions for using the antibody, antibody fragment or complex for treating, diagnosing, or detecting the tumor.

(12) A kit for inducing apoptosis in tumor cells, which comprises at least one type selected from the group consisting of the antibody according to (1) above, the antibody fragment according to (2) above and the complex according to (3) or (4) above, and instructions for using the antibody, antibody fragment or complex for inducing apoptosis in tumor cells.

5 Herein in the kits according to (11) and (12) above, the tumor is at least one type selected from, for example, the group consisting of human colon cancer, human breast 10 cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 shows the cDNA nucleotide sequence (SEQ ID NO: 12) of the H chain 15 (heavy chain) variable region (VH) of mouse anti-hDlk-1 monoclonal antibody clone BA-1-3D and a putative amino acid sequence thereof (SEQ ID NO: 13). The amino acid residue is indicated with a single letter, and signal peptides (peptides consisting of 19 amino acids from the N-terminus of the putative amino acid sequence) are described in italics. The double-lined glutamine (Q) represents the N-terminal amino acid residue of a mature 20 peptide of BA-1-3D VH. The cDNA nucleotide sequence of the mature peptide of BA-1-3D VH is as shown in SEQ ID NO: 14, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 15. The CDR sequences (underlined) were provided in accordance with the definition of Kabat et al. (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 25 1991). The amino acid sequences of CDR1 (DYAMH), CDR2 (VISTYYGNTNYNQFKKG) and CDR3 (GGLREYYYAMDY) of BA-1-3D VH are as shown in SEQ ID NOS: 16 to 18, respectively.

Figure 2 shows the cDNA nucleotide sequence (SEQ ID NO: 19) of the L chain (light chain) variable region (VL) of mouse anti-hDlk-1 monoclonal antibody clone BA-1-3D and a putative amino acid sequence thereof (SEQ ID NO: 20). The amino acid residue is indicated with a single letter, and signal peptides (peptides consisting of 20 amino acids 5 from the N-terminus of the putative amino acid sequence) are described in italics. The double-lined aspartic acid (D) represents the N-terminal amino acid residue

of a mature peptide of BA-1-3D VL. The cDNA nucleotide sequence of the mature peptide of BA-1-3D VL is as shown in SEQ ID NO: 21, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 22. The CDR sequences (underlined) were provided in accordance with the definition of Kabat et al. (Sequences of Proteins of 5 Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). The amino acid sequences of CDR1 (KSSQSLLNSSNQKNYLA), CDR2 (FASTRES) and CDR3 (QQHYSTPPT) of BA-1-3D VL are as shown in SEQ ID NOS: 23 to 25, respectively.

Figure 3 shows the nucleotide sequence (SEQ ID NO: 26) and amino acid 10 sequence of a BA-1-3D VH gene that has been designed such that it is sandwiched between a SpeI site (ACTAGT; underlined) and a HindIII site (AAGCTT; underlined). The nucleotide sequence described in italics (22 nucleotides on the 3'-terminal side including the HindIII site) indicates an intron sequence. Other than these, Figure 3 is the same as described in Figure 1.

15 Figure 4 shows the nucleotide sequence (SEQ ID NO: 27) and amino acid sequence of a BA-1-3D VL gene that has been designed such that it is sandwiched between a NheI site (GCTAGC; underlined) and an EcoRI site (GAATTC; underlined). The nucleotide sequence described in italics (22 nucleotides on the 3'-terminal side including the EcoRI site) indicates an intron sequence. Other than these, Figure 4 is the 20 same as described in Figure 2.

Figure 5 is a schematic view showing the structures of an expression vector for 25 chimeric and humanized BA-1-3D IgG $\alpha/\kappa$  antibodies. In a clockwise direction starting from the restriction enzyme site for Sall, such an expression vector comprises a H chain translation unit starting with a human cytomegalovirus (CMV) major immediate early promoter/an enhancer (CMV promoter) used for initiation of the transcription of an antibody H chain gene. The CMV promoter then proceeds to a VH exon, the exons of CH1, a hinge region, CH2 and CH3, and introns interspersed among the exons, and after the CH3 exon, a polyadenylation sequence is ligated. After the H chain gene sequence, the vector comprises a L chain translation unit starting with a CMV promoter, a VL exon,

a part of intron, and then, the exon of a human  $\kappa$  chain constant region (CL) and a polyadenylation sequence. Thereafter, the L chain gene proceeds to a segment comprising an SV40 early promoter (SV40 promoter), an *E. coli* xanthine guanine phosphoribosyl transferase (*gpt*) gene and the polyadenylation site of SV40 (SV40 poly(A) site). Finally, the plasmid has a part of a pUC19 plasmid comprising the replication origin (pUC ori) and a  $\beta$ -lactamase gene of *E. coli*.

Figure 6 shows an alignment of the amino acid sequences of, BA-1-3D VH, two types of humanized BA-1-3D VH (HuBA-1-3D VH1 and HuBA-1-3D VH2) and U00503 VH as an acceptor. The amino acid residue is indicated with a single letter, and the number indicated above each sequence was positioned in accordance with the definition of Kabat et al. (1991). The underlines in the amino acid sequence of BA-1-3D VH indicate CDR sequences as determined in accordance with the definition of Kabat et al. (1991) (DYAMH, VISTYYGNTNYNQFKKG, and GGLREYYYAMDY). The underlines in the amino acid sequences of HuBA-1-3D VH1 and HuBA-1-3D VH2 indicate amino acid residues that retain the amino acid residues at the same position in the amino acid sequence of the corresponding mouse BA-1-3D VH, and these amino acid residues are assumed to be important for formation of the structures of CDRs. The CDR sequences of U00503 VH are not shown in the figure.

It is to be noted that the amino acid sequence of BA-1-3D VH in the figure is as shown in SEQ ID NO: 15 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 14), the amino acid sequence of HuBA-1-3D VH1 in the figure is as shown in SEQ ID NO: 35 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 34), the amino acid sequence of HuBA-1-3D VH2 in the figure is as shown in SEQ ID NO: 40 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 39), and the amino acid sequence of U00503 VH in the figure is as shown in SEQ ID NO: 29 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 28).

Figure 7 shows an alignment of the amino acid sequences of, BA-1-3D VL, humanized BA-1-3D VL (HuBA-1-3D VL) and Z46622 VL as an acceptor. The amino acid residue is indicated with a single letter, and the number indicated above each

sequence was positioned in accordance with the definition of Kabat et al. (1991). The underlines in the amino acid sequence of BA-1-3D VL indicate CDR sequences as determined in accordance with the definition of Kabat et al. (1991) (KSSQSLLNSSNQKNYLA, FASTRES, and QQHYSTPPT). The underline in the 5 amino acid sequence of HuBA-1-3D VL indicates the amino acid residue that retains the amino acid residue at the same position in the amino acid sequence of the corresponding mouse BA-1-3D VL, and this amino acid residue is assumed to be important for formation of the structures of CDRs. The CDR sequences of Z46622 VL are not shown in the figure.

10 It is to be noted that the amino acid sequence of BA-1-3D VL in the figure is as shown in SEQ ID NO: 22 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 21), the amino acid sequence of HuBA-1-3D VL in the figure is as shown in SEQ ID NO: 45 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 44), and the amino acid sequence of Z46622 VL in the figure is as shown in SEQ ID 15 NO: 31 (a nucleotide sequence encoding this sequence is as shown in SEQ ID NO: 30).

Figure 8 shows the nucleotide sequence (SEQ ID NO: 36) and amino acid sequence of a HuBA-1-3D VH1 gene that has been designed such that it is sandwiched between a SpeI site (ACTAGT; underlined) and a HindIII site (AAGCTT; underlined). The nucleotide sequence described in italics (23 nucleotides on the 3'-terminal side 20 including the HindIII site) indicates an intron sequence.

The cDNA nucleotide sequence of HuBA-1-3D VH1 is as shown in SEQ ID NO: 32, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 33. The amino acid residue is indicated with a single letter, and signal peptides (peptides consisting of 19 amino acids from the N-terminus of the putative amino acid sequence) 25 are described in italics. The double-lined glutamine (Q) represents the N-terminal amino acid residue of a mature peptide of HuBA-1-3D VH1. The cDNA nucleotide sequence of the mature peptide of HuBA-1-3D VH1 is as shown in SEQ ID NO: 34, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 35. The CDR sequences (underlined) were provided in accordance with the definition of Kabat et al.

(Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). The amino acid sequences of CDR1 (DYAMH), CDR2 (VISTYYGNTNYNQKFKG) and CDR3 (GGLREYYYAMDY) of HuBA-1-3D VH1 are as shown in SEQ ID NOS: 16 to 18, 5 respectively.

Figure 9 shows the nucleotide sequence (SEQ ID NO: 41) and amino acid sequence of a HuBA-1-3D VH2 gene that has been designed such that it is sandwiched between a SpeI site (ACTAGT; underlined) and a HindIII site (AAGCTT; underlined). The nucleotide sequence described in italics (23 nucleotides on the 3'-terminal side 10 including the HindIII site) indicates an intron sequence.

The cDNA nucleotide sequence of HuBA-1-3D VH2 is as shown in SEQ ID NO: 37, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 38. The amino acid residue is indicated with a single letter, and signal peptides (peptides consisting of 19 amino acids from the N-terminus of the putative amino acid sequence) 15 are described in italics. The double-lined glutamine (Q) represents the N-terminal amino acid residue of a mature peptide of HuBA-1-3D VH2. The cDNA nucleotide sequence of the mature peptide of HuBA-1-3D VH2 is as shown in SEQ ID NO: 39, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 40. The CDR sequences (underlined) were provided in accordance with the definition of Kabat et al. 20 (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). The amino acid sequences of CDR1 (DYAMH), CDR2 (VISTYYGNTNYNQKFKG) and CDR3 (GGLREYYYAMDY) of HuBA-1-3D VH2 are as shown in SEQ ID NOS: 16 to 18, respectively.

Figure 10 shows the nucleotide sequence (SEQ ID NO: 46) and amino acid sequence of a HuBA-1-3D VL gene that has been designed such that it is sandwiched between a NheI site (GCTAGC; underlined) and an EcoRI site (GAATTG; underlined). The nucleotide sequence described in italics (23 nucleotides on the 3'-terminal side including the EcoRI site) indicates an intron sequence. 25

The cDNA nucleotide sequence of HuBA-1-3D VL is as shown in SEQ ID NO: 42, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 43. The amino acid residue is indicated with a single letter, and signal peptides (peptides consisting of 20 amino acids from the N-terminus of the putative amino acid sequence) 5 are described in italics. The double-lined aspartic acid (D) represents the N-terminal amino acid residue of a mature peptide of HuBA-1-3D VL. The cDNA nucleotide sequence of the mature peptide of HuBA-1-3D VL is as shown in SEQ ID NO: 44, and a putative amino acid sequence thereof is as shown in SEQ ID NO: 45. The CDR sequences (underlined) were provided in accordance with the definition of Kabat et al. 10 (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U.S. Department of Health and Human Services, 1991). The amino acid sequences of CDR1 (KSSQSLLNSSNQKNYLA), CDR2 (FASTRES) and CDR3 (QQHYSTPPT) of HuBA-1-3D VL are as shown in SEQ ID NOS: 23 to 25, respectively.

Figure 11 shows the nucleotide sequences of oligonucleotide primers (CMV2, 15 JNT026, JNT082, JNT097 and JNT098), which were used in the PCR amplification of the cDNAs of the H chain and L chain and sequence reactions in Examples 4 of the present application. The nucleotide sequences of CMV2, JNT026, JNT082, JNT097 and JNT098 are as shown in SEQ ID NOS: 47 to 51, respectively.

Figure 12 shows the nucleotide sequence (SEQ ID NO: 52) and amino acid 20 sequence (SEQ ID NO: 53) of the coding region of the H chain ( $\gamma 1$  chain) of a pChBA-1-3D vector. The amino acid residue is indicated with a single letter, and the position of a termination codon is indicated with the symbol "•".

Figure 13 shows the nucleotide sequence (SEQ ID NO: 54) and amino acid sequence (SEQ ID NO: 55) of the coding region of the L chain ( $\kappa$  chain) of a pChBA-1-25 3D vector. The amino acid residue is indicated with a single letter, and the position of a termination codon is indicated with the symbol "•".

Figure 14 shows the nucleotide sequence (SEQ ID NO: 56) and amino acid sequence (SEQ ID NO: 57) of the coding region of the H chain ( $\gamma 1$  chain) of a pHuBA-1-3D-1 vector. The amino acid residue is indicated with a single letter, and the position

of a termination codon is indicated with the symbol "•".

Figure 15 shows the nucleotide sequence (SEQ ID NO: 58) and amino acid sequence (SEQ ID NO: 59) of the coding region of the H chain ( $\gamma 1$  chain) of a pHuBA-1-3D-2 vector. The amino acid residue is indicated with a single letter, and the position 5 of a termination codon is indicated with the symbol "•".

Figure 16 shows the nucleotide sequence (SEQ ID NO: 60) and amino acid sequence (SEQ ID NO: 61) of the coding region of the L chain ( $\kappa$  chain) in each of a pHuBA-1-3D-1 vector, a pHuBA-1-3D-2 vector, a pHuBA-1-3D-1-T73K vector and a pHuBA-1-3D-1-A24G/T73K vector. In short, the L chains ( $\kappa$  chains) of the antibodies 10 HuBA-1-3D-1, HuBA-1-3D-2, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K have the same nucleotide sequence and the same amino acid sequence. In the figure, each amino acid is indicated with a single letter, and the position of a termination codon is indicated with the symbol "•".

Figure 17 shows SDS-PAGE performed on the purified antibodies (lane 1: 15 molecular weight marker (SeeBluePlus2 Prestained Standard (Invitrogen)), lane 2: ChBA-1-3D, lane 3: HuBA-1-3D-1, lane 4: HuBA-1-3D-2, lane 5: HuBA-1-3D-1-T73K, and lane 6: HuBA-1-3D-1-A24G/T73K). The figure shows the results obtained by applying 7.5  $\mu$ g of each antibody onto 4%-20% NuPAGE<sup>TM</sup> Bis-Tris gel under reduced 20 conditions using a MES-SDS Running buffer (Invitrogen). The numerical values on the left side of the figure indicate molecular weights.

Figure 18 shows the results of ELISA regarding the binding activity of a recombinant protein (hDlk-1-His) in the extracellular region of hDlk-1 to ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2. An ELISA plate was coated with each of 1  $\mu$ g/mL ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2. Then, a dilution series of hDlk-1-His 25 were produced (diluted by 2-fold from 1  $\mu$ g/mL), and were then added to the aforementioned plate for reaction. The binding of hDlk-1-His was detected with a HRP-labeled anti-His-tag antibody.

Figure 19 shows the results of ELISA regarding the binding activity of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to hDlk-1-His. An ELISA plate was coated

with 0.5  $\mu$ g/mL hDlk-1-His. Then, a dilution series of the test antibodies (ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2) were produced (diluted by 2-fold from 5  $\mu$ g/mL), and were then added to the aforementioned plate for reaction. The EC<sub>50</sub> values of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 are shown in the figure.

5 Figure 20 shows the results of ELISA regarding the binding activity of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to hDlk-1-His. An ELISA plate was coated with 0.05  $\mu$ g/mL hDlk-1-His. Then, a dilution series of the test antibodies (ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2) were produced (diluted by 2-fold from 5  $\mu$ g/mL), and were then added to the aforementioned plate for reaction.

10 Figure 21 shows the results of ELISA regarding the binding activity of ChBA-1-3D, HuBA-1-3D-2, HuVH/MuVL (wherein the VL of HuBA-1-3D-2 (HuBA-1-3D VL) was substituted with the VL of mouse BA-1-3D) and MuVH/HuVL (wherein the VH of HuBA-1-3D-2 (HuBA-1-3D VH2) was substituted with the VH of mouse BA-1-3D) to hDlk-1-His. An ELISA plate was coated with 0.05  $\mu$ g/mL hDlk-1-His. Then, a 15 2-fold dilution series of a culture supernatant of cells, in which each of the test antibodies (ChBA-1-3D, HuBA-1-3D-2, HuVH/MuVL and MuVH/HuVL) had been transiently expressed, were produced, and were then added to the aforementioned plate for reaction.

20 Figure 22 shows the amino acid sequences of HuBA-1-3D VH1 and amino acid substitution mutants thereof (V5Q to T73K/T75S). The amino acid is indicated with a single letter. In each amino acid substitution mutant, the same amino acids as those in HuBA-1-3D VH1 are indicated with the symbol "-", and only the substituted amino acids are indicated with single letters. The number above each sequence indicates an amino acid number (Kabat et al., 1991).

25 Figure 23 shows the results of ELISA regarding the binding activity of ChBA-1-3D, HuBA-1-3D-1, HuBA-1-3D-1-A24G, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K to hDlk-1-His. An ELISA plate was coated with 0.05  $\mu$ g/mL hDlk-1-His. Then, a 2-fold dilution series of a culture supernatant of cells, in which each of the test antibodies (ChBA-1-3D, HuBA-1-3D-1, HuBA-1-3D-1-A24G, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K) had been transiently expressed, were produced, and were

then added to the aforementioned plate for reaction.

Figure 24 shows the nucleotide sequence (SEQ ID NO: 62) and amino acid sequence (SEQ ID NO: 63) of the coding region of the H chain ( $\gamma 1$  chain) of pHuBA-1-3D-1-T73K. The amino acid residue is indicated with a single letter, and the position of 5 a termination codon is indicated with the symbol "•".

Herein, the cDNA nucleotide sequence (SEQ ID NO: 70) of the H chain variable region (VH) of HuBA-1-3D-1-T73K is a sequence comprising nucleotides at positions 1 to 420 in the nucleotide sequence as shown in SEQ ID NO: 62, and the putative amino acid sequence (SEQ ID NO: 71) of the VH of HuBA-1-3D-1-T73K is a 10 sequence comprising amino acids at positions 1 to 140 in the amino acid sequence as shown in SEQ ID NO: 63. In the aforementioned putative amino acid sequence (SEQ ID NO: 71) of the VH of HuBA-1-3D-1-T73K, peptides consisting of 19 amino acids from the N-terminus are signal peptides. The cDNA nucleotide sequence of a mature peptide of HuBA-1-3D-1-T73K VH is as shown in SEQ ID NO: 72, and a putative amino 15 acid sequence thereof is as shown in SEQ ID NO: 73.

Figure 25 shows the nucleotide sequence (SEQ ID NO: 64) and amino acid sequence (SEQ ID NO: 65) of the coding region of the H chain ( $\gamma 1$  chain) of pHuBA-1-3D-1-A24G/T73K. The amino acid residue is indicated with a single letter, and the position of a termination codon is indicated with the symbol "•".

20 Herein, the cDNA nucleotide sequence (SEQ ID NO: 74) of the H chain variable region (VH) of HuBA-1-3D-1-A24G/T73K is a sequence comprising nucleotides at positions 1 to 420 in the nucleotide sequence as shown in SEQ ID NO: 64, and the putative amino acid sequence (SEQ ID NO: 75) of the VH of HuBA-1-3D-1-A24G/T73K is a sequence comprising amino acids at positions 1 to 140 in the amino 25 acid sequence as shown in SEQ ID NO: 65. In the aforementioned putative amino acid sequence (SEQ ID NO: 75) of the VH of HuBA-1-3D-1-A24G/T73K, peptides consisting of 19 amino acids from the N-terminus are signal peptides. The cDNA nucleotide sequence of a mature peptide of HuBA-1-3D-1-A24G/T73K is as shown in SEQ ID NO: 76, and a putative amino acid sequence thereof is as shown in SEQ ID NO:

77.

Figure 26 shows the results of ELISA regarding the binding activity of ChBA-1-3D, HuBA-1-3D-1, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K to hDlk-1-His. An ELISA plate was coated with 0.05  $\mu$ g/mL hDlk-1-His. Then, a 2-fold dilution series of the test antibodies were produced from 5  $\mu$ g/mL, and were then added to the aforementioned plate for reaction.

Figure 27 shows the stability of the antigen binding activity of HuBA-1-3D-1-A24G/T73K in a liquid formulation. HuBA-1-3D-1-A24G/T73K was preserved in liquid formulation with various pH values at 40°C for 1 month, and the binding activity thereof was then examined by flow cytometry and antigen-immobilized ELISA. An antibody that had been preserved in liquid formulation with various pH values at -80°C was used as an activity standard product.

Figure 27(A): Using 293 cells that constantly express hDlk-1, the antigen-binding activity of the antibody was measured by flow cytometry. The vertical axis indicates a mean value of fluorescent intensity (MFI: mean fluoro-intensity), and the horizontal axis indicates antibody concentration.

Figure 27(B): Using hDlk-1-His-coated antigen-immobilized ELISA, antigen-binding activity was examined. The vertical axis indicates absorbance, and the horizontal axis indicates antibody concentration.

Figure 28 shows the results obtained by analyzing the stability of the antigen-binding activity of an antibody in cynomolgus monkey plasma. HuBA-1-3-D1-A24G/T73K was preserved at 37°C in cynomolgus monkey plasma for a period of incubation indicated in the figure. Thereafter, the antigen-binding activity of the antibody was examined using hDlk-1-His-coated antigen-immobilized ELISA. The vertical axis indicates the percentage of the antigen-binding activity (absorbance value) after each period of incubation, when the percentage of the antigen-binding activity at 0 h is defined as 100%. The horizontal axis indicates period of incubation.

Figure 29 shows the anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models using human hepatocellular carcinoma HepG2 cells.

Figure 29A shows tumor formation over time in a control group (●: PBS) and in HuBA-1-3D-1-A24G/T73K administration groups (○: 1 mg/kg, △: 5 mg/kg, □: 10 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis indicate the time points at which the antibody was administered. In all of the antibody 5 administration groups, significant anti-tumor effects ( $P < 0.01$  (by Student's t-test)) were observed after the 13<sup>th</sup> day (Day 13) in comparison with the control group.

Figure 29B shows the plotted tumor weight of each mouse at the time of the 23<sup>rd</sup> day (Day 23) (the final day of experiment) in the test of Figure 29A. \*\*  $P < 0.01$  (by Student's t-test).

10 Figure 30 shows the anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models using human neuroblastoma SK-N-F1 cells.

Figure 30A shows tumor formation over time in a control group (●: PBS) and in HuBA-1-3D-1-A24G/T73K administration groups (○: 1 mg/kg, △: 5 mg/kg, □: 10 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis 15 indicate the time points at which the antibody was administered. \*  $P < 0.05$ , \*\*  $P < 0.01$  (by Student's t-test).

Figure 30B shows the plotted tumor weight of each mouse at the time of the 34<sup>th</sup> day (Day 34) (the final day of experiment) in the test of Figure 30A. \*\*  $P < 0.01$  (by Student's t-test).

20 Figure 31 shows the anti-tumor activities of HuBA-1-3D-1-A24G/T73K and Nexavar on xenograft treatment models using human hepatocellular carcinoma HepG2 cells.

Figure 31A shows a change over time in the tumor volumes of a control group (●: PBS) and HuBA-1-3D-1-A24G/T73K administration groups (○: 0.1 mg/kg, △: 25 0.5 mg/kg, □: 1 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis indicate administration of the antibody. \*\*  $P < 0.01$  (by Student's t-test).

Figure 31B shows a change over time in the tumor volumes of a control group (●: PBS) and <sup>TM</sup> Nexavar administration groups (○: 40 mg/kg, △: 80 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis indicate the time

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points at which the Naxavar was administered. \*P < 0.05 (by Student's t-test).

Figure 31C shows a change over time in the body weights of mice in the experiments A and B. Such a change in body weights is shown as the percentage of the body weight on each day of measurement, when the body weight of each mouse at the 5 time of being divided into groups is defined at 100% (a mean value  $\pm$  standard deviation).

\*P < 0.05, \*\*P < 0.01 (by Student's t-test).

Figure 32 shows the anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models using human hepatocellular carcinoma HepG2/C3A cells.

Figure 32A shows a change over time in the tumor volumes of a control group 10 (●: PBS) and HuBA-1-3D-1-A24G/T73K administration groups (○: 0.1 mg/kg, △: 0.5 mg/kg, □: 1 mg/kg, ◇: 5 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis indicate administration of the antibody. \*\*P < 0.01 (by Student's t-test).

Figure 32B shows the plotted tumor weight of each mouse at the time of the 15 26<sup>th</sup> day (Day 26) (the final day of experiment) in the test of Figure 32A. \*\*P < 0.01 (by Student's t-test).

Figure 33 shows the anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models using human small cell lung cancer Lu-135 cells.

Figure 33A shows a change over time in the tumor volumes of a control group 20 (●: PBS) and HuBA-1-3D-1-A24G/T73K administration groups (○: 1 mg/kg, △: 10 mg/kg) (a mean value  $\pm$  standard deviation). The arrow heads on the horizontal axis indicate administration of the antibody. \*\*P < 0.05 (by Student's t-test).

Figure 33B shows the plotted tumor weight of each mouse at the time of the 25 34<sup>th</sup> day (Day 34) (the final day of experiment) in the test of Figure 33A. \*\*P < 0.05 (by Student's t-test).

Figure 34 shows photographs in which cell death caused by apoptosis was detected in the frozen sections of xenograft tumors after administration of HuBA-1-3D-1-A24G/T73K to xenograft treatment models using human hepatocellular carcinoma HepG2 cells.

Figure 34A shows photographs in which cell death caused by apoptosis was detected by TUNEL staining. From the left, the photographs show stained images 48 hours after administration of PBS, 24 hours after administration of HuBA-1-3D-1-A24G/T73K (5 mg/kg), and 48 hours after administration of HuBA-1-3D-1-A24G/T73K (5 mg/kg), respectively. Cancer cells in which dark brown nuclear staining was observed indicate TUNEL-positive apoptotic cells (the objective lens of a microscope: 400-fold).

Figure 34B shows photographs in which cell death caused by apoptosis was detected by immunohistochemistry using an anti-cleaved caspase-3 antibody. From the left, the photographs show stained images 48 hours after administration of PBS, 24 hours after administration of HuBA-1-3D-1-A24G/T73K (5 mg/kg), and 48 hours after administration of HuBA-1-3D-1-A24G/T73K (5 mg/kg), respectively. Cancer cells whose cytoplasm was stained into dark brown indicate active caspase-3-positive apoptotic cells (the objective lens of a microscope: 400-fold).

15

#### DETAILED DESCRIPTION OF THE INVENTION

Hereinafter, the present invention will be described in detail. The following descriptions are not intended to limit the scope of the present invention. Other than the following examples, the present invention may be modified and may be carried out, as appropriate, within a range that does not impair the intention of the present invention.

The present specification includes all of the contents as disclosed in the specification of U. S. Provisional Patent Application No. 61/709,282 (filed on October 3, 2012), which is a priority document of the present application.

25 1. Summary of the present invention

As described above, human Dlk-1 (delta-like 1 homolog (Drosophila); hDlk-1)

is a type I transmembrane (one-transmembrane-type) protein with a full length of 383 amino acid residues and this protein has 6 EGF-like motifs in its extracellular region. It has been known that a hDlk-1 gene and a gene product thereof are expressed with a high frequency in various types of cancer or tumor cells. In general, it is difficult to prepare 5 and obtain an antibody exhibiting anti-tumor activity *in vivo*. Thus, even if an anti-hDlk-1 monoclonal antibody is produced, it has anti-tumor activity *in vitro* but it does not exhibit the activity *in vivo* in many cases. Moreover, the functional domain of hDlk-1 that acts on the growth of cancer cells, a ligand (or a receptor) of hDlk-1, its 10 intracellular signal-transducing pathway and the like have not been clarified. Thus, it is substantially impossible to efficiently produce an antibody by narrowing down its target. Under such circumstances, in the present invention, a clone having anti-tumor activity *in vivo* has been successfully obtained by screening it from a large number of clones.

First, based on immunohistochemistry using known anti-hDlk-1 antibodies, the present inventors have discovered that hDlk-1 is expressed in colon cancer, breast cancer 15 and pancreatic cancer, in addition to the aforementioned cancers and tumor cells, in which expression of hDlk-1 had previously been confirmed.

Next, the present inventors have newly produced approximately 100 clones of anti-hDlk-1 monoclonal antibodies for the purpose of producing anti-hDlk-1 antibodies capable of killing hDlk-1-expressing cancer cells at an individual level or inhibiting 20 tumor growth, namely, anti-hDlk-1-antibodies having anti-tumor activity *in vivo*. Thereafter, the inventors have evaluated the *in vivo* pharmaceutical effects (anti-tumor action) of these clones, using tumor-bearing mice established by transplanting various types of cancer cell lines subcutaneously in nude mice. As a result, the present inventors have succeeded in obtaining several clones exhibiting significant tumor 25 growth-inhibiting activity (clone name: BA-1-3D, DI-2-14, 2-13, DI-6 and M3-1).

Moreover, among the aforementioned anti-hDlk-1 antibodies, the present inventors have discovered an antibody exhibiting a significant anti-tumor activity on cancer-bearing mouse treatment models using human cancer cells, when it is administered alone, which would be important for the development of a cancer

therapeutic antibody, and the inventors have also developed a humanized antibody thereof. Furthermore, the present inventors have added a specific modification (amino acid substitution mutation) to this humanized anti-hDlk-1 antibody, so as to discover a modified humanized anti-hDlk-1 antibody having avidity equivalent to that of a parent antibody (mouse BA-1-3D). Further, the inventors have demonstrated that this modified humanized anti-hDlk-1 antibody retains a stable antigen-binding activity for a long period of time in a liquid formulation and in monkey or human blood (plasma), etc.

2. Preparation of anti-hDlk-1 antibody

10 (1) Preparation of antigen

Information regarding the amino acid sequence (SEQ ID NO: 2) of hDlk-1 is disclosed as "Accession number: NP\_003827" at the website of NCBI (GenBank), for example. Moreover, information regarding a nucleotide sequence (SEQ ID NO: 1) encoding the amino acid sequence of hDlk-1 is disclosed as "Accession number: NM\_003836" at the same above website.

As an antigen, a polypeptide or peptide (which may be simply referred to as a "peptide" at times) comprising at least a portion of (entire or a part of) the amino acid sequence of hDlk-1 can be used and preferably, a peptide comprising at least a portion of (entire or a part of) the amino acid sequence of the extracellular region (FA-1) of hDlk-1 can be used. As stated above, the extracellular region of hDlk-1 comprises 6 EGF-like motifs (EGF-1 to EGF-6). This region indicates a region comprising amino acids at positions 24 to 244 in the amino acid sequence as shown in SEQ ID NO: 2 and preferably a region consisting of amino acids from "position 24" to "positions 248 to 285" (approximately 225 to 262 amino acid residues) in the amino acid sequence as shown in SEQ ID NO: 2.

Herein, in the case of a peptide used as an antigen, the length of the aforementioned "at least a portion of the amino acid sequence" is not particularly limited. For example, a region comprising one or two or more out of the 6 EGF-like motifs is preferable. More preferable examples include a region comprising EGF-1 and EGF-2

(namely, a region consisting of amino acids at positions 24 to 91 in the amino acid sequence as shown in SEQ ID NO: 2), a region comprising EGF-3 and EGF-4 (namely, a region consisting of amino acids at positions 92 to 167 in the amino acid sequence as shown in SEQ ID NO: 2) and a region comprising EGF-4, EGF-5 and EGF-6 (namely, a 5 region consisting of amino acids at positions 131 to 244 in the amino acid sequence as shown in SEQ ID NO: 2).

As a method for preparing a peptide used as an antigen, either a chemical synthesis, or a synthesis by a genetic engineering means using *Escherichia coli* or the like, may be applied. Methods well known to persons skilled in the art may be applied.

10 In the case of performing a chemical synthesis of peptide, such a peptide may be synthesized by well-known methods for synthesizing peptides. As such a synthesis, either a solid-phase synthesis method or a liquid-phase synthesis method may be applied. Commercially available peptide synthesizing apparatuses (e.g. PSSM-8, etc.; manufactured by Shimadzu Corp.) may be used.

15 In the case of synthesizing a peptide by genetic engineering, DNA encoding the peptide is first designed and synthesized. The designing and synthesis of the DNA can be carried out, for example, by a PCR method, using a vector comprising a full-length hDLk-1 gene or the like as a template and also using primers designed such that a desired DNA region can be synthesized therewith. Thereafter, the thus synthesized DNA is 20 ligated to a suitable vector to obtain a recombinant vector used in expression of a protein. This recombinant vector is then introduced into a host such that a gene of interest can be expressed therein, so as to obtain a transformant (Sambrook J. et al., Molecular Cloning, A Laboratory Manual, 3<sup>rd</sup> edition, Cold Spring Harbor Laboratory Press, 2001).

As a vector, a phage or plasmid capable of autonomously replicating in host 25 microorganisms can be used. Further, an animal virus or insect virus vector can also be used. For preparation of a recombinant vector, the purified DNA may be cleaved with suitable restriction enzymes, the obtained DNA portion may be then inserted into the restriction site of suitable vector DNA, etc. and it may be then ligated to a vector. The type of a host used in transformation is not particularly limited, as long as it is able to

express a gene of interest. Examples of such a host include bacteria (*Escherichia coli*, *Bacillus subtilis*, etc.), yeasts, animal cells (COS cells, CHO cells, etc.), insect cells and insects. It is also possible to use a mammal such as a goat as a host. A method for introducing a recombinant vector into a host is known.

5 The aforementioned transformant is cultured and a peptide used as an antigen is then collected from the culture. The term "culture" is used to mean any one of (a) a culture supernatant and (b) cultured cells, a cultured cell mass, or a disintegrated product thereof.

10 After completion of the culture, when a peptide of interest is produced in a bacterial cells (bacterial bodies) or in cells, such bacterial cells or cells are disintegrated and a peptide is then extracted. On the other hand, a peptide of interest is produced outside the bacterial cell or cells, a culture solution is directly used, or the bacterial cells or cells are eliminated by centrifugation or the like. Thereafter, common biochemical methods used in isolation and purification of peptides, such as ammonium sulfate 15 precipitation, gel filtration, ion exchange chromatography and affinity chromatography, are applied singly or in combination, so as to isolate and purify a peptide of interest.

15 In the present invention, a peptide used as an antigen can also be obtained by *in vitro* translation using a cell-free synthesis system. In this case, two types of methods, namely, a method using RNA as a template and a method using DNA as a template 20 (transcription/translation) can be applied. As such a cell-free synthesis system, commercially available systems such as Expressway<sup>TM</sup> system (Invitrogen), PURESYSTEM (registered trade mark; Post Genome Institute Co., Ltd.) and TNT system (registered trade mark; Promega) can be used.

25 The thus obtained peptide may also be bound to a suitable carrier protein such as bovine serum albumin (BSA), keyhole limpet hemocyanin (KLH), human thyroglobulin, or chicken gamma globulin.

Furthermore, such an antigen may be a peptide, which consists of an amino acid sequence comprising a deletion, substitution or addition of one or multiple amino acids with respect to the amino acid sequence of hDlk-1 (SEQ ID NO: 2) or the

aforementioned partial sequence thereof. For example, there can also be used a peptide, which consists of an amino acid sequence comprising a deletion of one or multiple (preferably one or several (for example 1 to 10 and more preferably 1 to 5) ) amino acids, a substitution of one or multiple (preferably one or several (for example 1 to 10 and more preferably 1 to 5) ) amino acids with other amino acids, or an addition of one or multiple (preferably one or several (for example 1 to 10 and more preferably 1 to 5) ) amino acids, with respect to the amino acid sequence of hDlk-1 or a partial sequence thereof.

10 In the present invention, an example of a gene to be introduced into cells or the like is a gene encoding a hDlk-1 protein, a partial fragment thereof, a mutant protein thereof, or a fragment thereof. As such a gene, a gene having the nucleotide sequence as shown in SEQ ID NO: 1 or a partial sequence thereof can be used, for example.

15 Further, as such a gene to be introduced into cells or the like, a nucleotide sequence, which hybridizes with a sequence complementary to the nucleotide sequence as shown in SEQ ID NO: 1 under stringent conditions and encodes a protein having hDlk-1 activity, or a partial sequence thereof can also be used.

20 The term "stringent conditions" is used to mean conditions applied to washing after hybridization, which consist of a salt (sodium) concentration of buffer between 10 and 500 mM and a temperature between 42°C and 72°C and preferably consist of the aforementioned salt concentration of buffer between 50 and 300 mM and a temperature between 55°C and 68°C.

Mutation can be introduced into a gene by known methods such as a Kunkel method or a Gapped duplex method, using mutation introduction kits that utilize site-directed mutagenesis, such as GeneTailor<sup>TM</sup> Site-Directed Mutagenesis System (manufactured by Invitrogen) or TaKaRa Site-Directed Mutagenesis System (Prime 25 STAR (registered trademark) Mutagenesis Basal Kit, Mutan (registered trademark)-Super Express Km, etc.; manufactured by Takara Bio Inc.).

## (2) Preparation of polyclonal antibody

The prepared antigen is administered to a mammal for immunization. The type of such a mammal is not particularly limited. Examples of such a mammal include a rat, a mouse and a rabbit. Among others, a mouse is preferable.

The dose of the antigen per animal can be determined, as appropriate, 5 depending on the presence or absence of an adjuvant. Examples of such an adjuvant include a Freund's complete adjuvant (FCA), a Freund's incomplete adjuvant (FIA) and an aluminum hydroxide adjuvant. Immunization can be carried out by injecting the antigen into the vein, footpad, subcutis, abdominal cavity, etc. In addition, immunization interval is not particularly limited. Immunization is carried out 1 to 10 10 times and preferably 2 or 3 times, at intervals of several days to several weeks and preferably at intervals of 1 week. Three to seven days after the final immunization, an antibody titer is measured by enzyme immunoassay (ELISA or EIA), radioimmunoassay (RIA), etc. On the day at which a desired antibody titer is obtained, blood is collected and antiserum is then obtained. In a case where an antibody should be purified in the 15 aforementioned method for collecting the antibody, a suitable method is appropriately selected from known methods such as an ammonium sulfate salting-out method, ion exchange chromatography, gel filtration chromatography and affinity chromatography, or these methods may be used in combination, so as to purify the antibody. Thereafter, the reactivity of a polyclonal antibody contained in the antiserum is measured by ELISA, etc.

20

(3) Preparation of monoclonal antibody

(3-1) Collection of antibody-producing cells

The type of the anti-hDlk-1 antibody of the present invention is not limited. A monoclonal antibody is preferable.

25

The prepared antigen is administered to a mammal such as a rat, a mouse or a rabbit for immunization. The dose of the antigen per animal can be determined, as appropriate, depending on the presence or absence of an adjuvant. The same adjuvants as those described above are used herein. Also, the same immunization methods as described above are applied herein. One to sixty days and preferably one to fourteen

days after the final immunization, antibody-producing cells are collected. Examples of such antibody-producing cells include splenic cells, lymph node cells and peripheral blood cells. Among others, lymph node cells and splenic cells are preferable.

5 (3-2) Cell fusion

In order to obtain a hybridoma (an antibody-producing cell line), cell fusion is carried out between antibody-producing cells and myeloma cells. As myeloma cells to be fused with antibody-producing cells, easily available, established cell lines, such as the cell lines of animals such as mice, can be used. As available cell lines, those, which 10 have drug selectivity, cannot survive in a HAT selective medium (containing hypoxanthine, aminopterin and thymidine) when they are in an unfused state and can survive therein only when they are fused with antibody-producing cells, are preferable.

Examples of myeloma cells used herein include mouse myeloma cell lines such as P3-X63-Ag8.653, P3-X63-Ag8(X63), P3-X63-Ag8.U1(P3U1), P3/NS I/1-Ag4-1(NS1) 15 and Sp2/0-Ag14(Sp2/0). Such myeloma cells can be selected, while taking into consideration the compatibility with antibody-producing cells, as appropriate.

Subsequently, myeloma cells are fused with antibody-producing cells for cell fusion. For such cell fusion, antibody-producing cells at a cell density of  $1 \times 10^6$  to  $1 \times 10^7$  cells/mL are mixed with myeloma cells at a cell density of  $2 \times 10^5$  to  $2 \times 10^6$  cells/mL, 20 in a medium used for animal cells that does not contain serum, such as DMEM or a RPMI-1640 medium. The cell ratio between such antibody-producing cells and such myeloma cells (antibody-producing cells : myeloma cells) is not limited. In general, such a cell ratio is preferably between 1 : 1 and 10 : 1 and more preferably 3 : 1. Subsequently, a fusion reaction is carried out in the presence of a cell fusion promoter. 25 As such a cell fusion promoter, polyethylene glycol having a mean molecular weight between 1,000 and 6,000 daltons (D) or the like can be used, for example. Also, antibody-producing cells can be fused with myeloma cells using a commercially available cell fusion device that utilizes electrical stimulation (e.g. electroporation).

(3-3) Selection of hybridoma and cloning

A hybridoma of interest is selected from cells obtained after the cell fusion treatment. As a selection method, a cell suspension is diluted with a fetal bovine serum-containing RPMI-1640 medium or the like, as appropriate and the diluted solution 5 is then dispersed on a microtiter plate. A selective medium is added to each well and culture is then carried out while the selective medium is appropriately exchanged with a fresh one. As a result, cells that grow approximately 14 days after initiation of the culture in the selective medium can be obtained as hybridomas.

Subsequently, the presence or absence of an antibody against hDlk-1 in a 10 culture supernatant of the growing hybridomas is screened. Such screening of hybridomas may be carried out in accordance with ordinary methods and thus the type of the screening method is not particularly limited. For example, a portion of the culture supernatant of the growing hybridomas contained in the well may be collected and such hybridomas may be then screened by ELISA, EIA, RIA, etc.

15 The fused cells may be cloned by limiting dilution or the like. An antibody exhibiting strong reactivity with hDlk-1 is determined by flow cytometry or the like and a hybridoma that produces the antibody is selected and is established as a clone.

(3-4) Collection of monoclonal antibody

20 As a method of culturing the established hybridomas and then collecting a monoclonal antibody from the obtained culture, a common cell culture method, an ascites formation method, etc. can be adopted. The term "culture" is used to mean that a hybridoma is allowed to grow in a culture dish or culture bottle, or that a hybridoma is allowed to proliferate in the abdominal cavity of an animal, as described below.

25 In the cell culture method, hybridomas may be cultured in an animal cell culture medium such as a 10% fetal bovine serum-containing RPMI-1640 medium, an MEM medium or a serum-free medium under common culture conditions (e.g. 37°C, 5% CO<sub>2</sub> concentration) for 7 to 14 days and an antibody may be then obtained from the culture supernatant.

In the ascites formation method, hybridomas are administered at a cell density of approximately  $1 \times 10^7$  cells into the abdominal cavity of an animal of the same species as a mammal from which myeloma cells are derived, so as to cause proliferation of a large amount of hybridomas. Thereafter, ascites is preferably collected 2 to 3 weeks 5 later.

In a case where an antibody should be purified in the aforementioned method for collecting the antibody, a suitable method is appropriately selected from known methods such as an ammonium sulfate salting-out method, ion exchange chromatography, gel filtration and affinity chromatography, or these methods are used in combination, so 10 as to purify the aforementioned antibody.

### (3-5) Selection of clone having anti-tumor activity

The anti-hDlk-1 antibody of the present invention is an antibody having anti-tumor activity *in vivo*.

15       Herein, the term "anti-tumor activity" is used to mean activity of killing tumor cells (cancer cells) or inhibiting tumor growth. In the present invention, as such anti-tumor activity, tumor angiogenesis-inhibiting activity is preferable, for example. Moreover, the types of human tumors (tumor cells), on which the antibody of the present invention is able to exhibit anti-tumor activity, include: the aforementioned known 20 human tumors in which expression of hDlk-1 had been confirmed (specifically, solid cancers such as neuroendocrine tumor, neuroblastoma, glioma, neurofibromatosis type 1, small cell lung cancer, liver cancer, kidney cancer and ovarian cancer and blood cancers such as myelodysplastic syndrome and acute myelocytic leukemia); and human colon cancer, human breast cancer and human pancreatic cancer, in which expression of hDlk-1 25 has been newly confirmed by the present inventors. Of these, one or two or more types selected from human colon cancer, human breast cancer, human pancreatic cancer, human liver cancer, human small cell lung cancer and human neuroblastoma are particularly preferable.

The presence of anti-tumor activity *in vivo* can be confirmed by using a cancer-

bearing mouse, in which desired tumor cells have been transplanted subcutaneously, and then administering the obtained antibody to the mouse. In this case, the antibody may be administered to the mouse immediately after transplantation of the tumor cells (a prevention model), or the antibody may also be administered to the mouse after the tumor has grown up to a desired volume after transplantation (a treatment model). The administration method is not limited at all. For example, the antibody may be administered into the abdominal cavity of the mouse once every 3 days at a dose of 20 mg/kg body weight via intraperitoneal administration. In the case of the prevention model, the presence or absence of anti-tumor activity and the level thereof can be evaluated depending on tumor formation frequency and tumor volume. In the case of the treatment model, the presence or absence of anti-tumor activity and the level thereof can be evaluated depending on tumor volume and tumor weight.

In the present invention, preferred examples of an anti-hDlk-1 antibody having anti-tumor activity *in vivo* include an anti-hDlk-1 monoclonal antibody (clone name: BA-1-3D) produced by a hybridoma having accession No. FERM BP-11337, an anti-hDlk-1 monoclonal antibody (clone name: M3-1) produced by a hybridoma having accession No. FERM BP-10707, an anti-hDlk-1 monoclonal antibody (clone name: DI-2-14) produced by a hybridoma having accession No. FERM BP-10899 and an anti-hDlk-1 monoclonal antibody (clone name: DI-6) produced by a hybridoma having accession No. FERM BP-10900. Furthermore, an anti-hDlk-1 monoclonal antibody with a clone name of DI-2-14 can be preferably used as an antibody having high anti-tumor activity *in vivo*.

Herein, the hybridoma having accession No. FERM BP-11337 has been referred to as "Mouse-Mouse hybridoma BA-1-3D," and has been deposited with International Patent Organism Depositary (IPOD), National Institute of Advanced Industrial Science and Technology (AIST Tsukuba Central 6, Higashi 1-1-1, Tsukuba, Ibaraki, Japan, postal code: 305-8566), on February 1, 2011. The hybridoma having accession No. FERM BP-10707 has been referred to as "Mouse-Mouse hybridoma: M3-1," and has been deposited with the same national institute as described above on October 18, 2006. The hybridoma having accession No. FERM BP-10899 has been

referred to as "Mouse-Mouse hybridoma DI-2-14," and has been deposited with the same national institute as described above on August 21, 2007. The hybridoma having accession No. FERM BP-10900 has been referred to as "Mouse-Mouse hybridoma DI-6," and has been deposited with the same national institute as described above on August 21, 5 2007.

Further, preferred examples of the anti-hDlk-1 antibody of the present invention include an anti-hDlk-1 antibody wherein the amino acid sequences of CDRs 1 to 3 of the H chain V region are the amino acid sequences as shown in SEQ ID NOS: 16 to 18, respectively, and/or an anti-hDlk-1 antibody wherein the amino acid sequences of 10 CDRs 1 to 3 of the L chain V region are the amino acid sequences as shown in SEQ ID NOS: 23 to 25, respectively. The aforementioned H chain V region preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 13, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 15 (mature peptide). The aforementioned L chain V region preferably consists of, for example, the 15 amino acid sequence as shown in SEQ ID NO: 20, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 22 (mature peptide).

Still further, another preferred example of the anti-hDlk-1 antibody of the present invention is an anti-hDlk-1 antibody that binds to a site (e.g. an epitope), to which a monoclonal antibody produced by the hybridoma having accession No. FERM 20 BP-11337, FERM BP-10707, FERM BP-10899 or FERM BP-10900 binds (recognizes).

#### (3-6) Epitope of anti-hDlk-1 antibody

An epitope (an antigenic determinant) of the anti-hDlk-1 antibody of the present invention is not limited, as long as it is at least a portion of hDlk-1 as an antigen. 25 For example, such an epitope is preferably at least a portion of a region consisting of amino acids at positions 24 to 91 (a region comprising EGF-1 to EGF-2 of hDlk-1), a region consisting of amino acids at positions 92 to 167 (a region comprising EGF-3 to EGF-4 of hDlk-1), or a region consisting of amino acids at positions 131 to 244 (a region comprising EGF-4 to EGF-6 of hDlk-1), in the amino acid sequence of hDlk-1 as shown

in SEQ ID NO: 2. Among others, a region comprising EGF-1 to EGF-2 of hDlk-1 is more preferable. An anti-hDlk-1 antibody that recognizes (binds to) such regions has high internalization activity into tumor cells, for example and thus it is extremely useful as an immunoconjugate as described later.

5

(4) Genetically recombinant antibody and antibody fragment

(4-1) Genetically recombinant antibody

In a preferred embodiment of the anti-hDlk-1 antibody of the present invention, there is provided a genetically recombinant antibody. The type of such a genetically recombinant antibody is not limited. Examples include a chimeric antibody, a humanized antibody and a human antibody.

A chimeric antibody (that is, a humanized chimeric antibody) is an antibody formed by ligating (conjugating) the variable region of a mouse-derived antibody to the constant region of a human-derived antibody (please refer to Proc. Natl. Acad. Sci. U.S.A. 81, 6851-6855, (1984), etc.). When such a chimeric antibody is produced, the thus ligated antibody can be easily constructed by a genetic recombination technique. As such variable regions of the mouse-derived antibody used herein, the H chain V region preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 13, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 15 (mature peptide), and the L chain V region preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 20, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 22 (mature peptide).

When a humanized antibody is produced, a complementarity determining region (CDR) is transplanted from the variable region of a mouse antibody into the variable region of a human antibody, so as to produce a reconstructed variable region, in which a framework region (FR) is derived from the human and CDR is derived from the mouse (what is called CDR grafting (CDR transplantation)). Subsequently, the thus humanized, reconstructed human variable region is ligated to a human constant region. Herein, as such humanized, reconstructed human variable regions, the H chain V region

preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 33, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 35 (mature peptide), or it preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 38, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 40 (mature peptide). On the other hand, the L chain V region preferably consists of, for example, the amino acid sequence as shown in SEQ ID NO: 43, and particularly preferably consists of the amino acid sequence as shown in SEQ ID NO: 45 (mature peptide). For a method for producing such humanized antibodies, *Nature*, 321, 522-525 (1986); *J. Mol. Biol.*, 196, 901-917 (1987); Queen C et al., *Proc. Natl. Acad. Sci. USA*, 86: 10029-10033 (1989); JP Patent Publication (Kohyo) No. 4-502408 A (1992) (Japanese Patent No. 2828340; Queen et al.), etc. can be referred, for example. The type of a mouse-derived CDR sequence that can be used herein for the humanized anti-hDlk-1 antibody of the present invention is not limited. As preferred examples of such mouse-derived CDR sequences, the amino acid sequences as shown in SEQ ID NOS: 16 to 18 are preferable as the CDRs 1 to 3 of the H chain V region (in this order), and the amino acid sequences as shown in SEQ ID NOS: 23 to 25 are preferable as the CDRs 1 to 3 of the L chain V region (in this order).

Moreover, the present invention includes modified amino acids, in which an amino acid(s) (preferably one to several, and more preferably one or two amino acids) in a part of the V region (excluding a CDR sequence) of the H chain or L chain of the aforementioned humanized antibody are substituted with other amino acids.

Preferred examples of such modified amino acids include modified amino acids, in which one or two amino acids in the H chain V region (excluding a CDR sequence) of the aforementioned humanized antibody are substituted with other amino acids. Preferred examples of the thus substituted amino acids include those in which the H chain V region is the following:

(1-1) the H chain V region consisting of the amino acid sequence as shown in SEQ ID NO: 67 (the nucleotide sequence: SEQ ID NO: 66), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 69 (mature peptide) (the nucleotide

sequence: SEQ ID NO: 68);

(1-2) the H chain V region consisting of the amino acid sequence as shown in SEQ ID NO: 71 (the nucleotide sequence: SEQ ID NO: 70), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 73 (mature peptide) (the nucleotide sequence: SEQ ID NO: 72);

(1-3) the H chain V region consisting of the amino acid sequence as shown in SEQ ID NO: 75 (the nucleotide sequence: SEQ ID NO: 74), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 77 (mature peptide) (the nucleotide sequence: SEQ ID NO: 76);

10 (2-1) the H chain V region consisting of the amino acid sequence as shown in SEQ ID NO: 79 (the nucleotide sequence: SEQ ID NO: 78), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 81 (mature peptide) (the nucleotide sequence: SEQ ID NO: 80);

(2-2) the H chain V region consisting of the amino acid sequence as shown in SEQ ID

15 NO: 83 (the nucleotide sequence: SEQ ID NO: 82), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 85 (mature peptide) (the nucleotide sequence: SEQ ID NO: 84); or

(2-3) the H chain V region consisting of the amino acid sequence as shown in SEQ ID

20 NO: 87 (the nucleotide sequence: SEQ ID NO: 86), and particularly consisting of the amino acid sequence as shown in SEQ ID NO: 89 (mature peptide) (the nucleotide sequence: SEQ ID NO: 88). Of these, the amino acid sequences according to (1-3) and

(2-3) above are more preferable. Hence, a modified humanized anti-hDLk-1 antibody, in which the H chain V region is modified to the amino acid sequence according to any one of (1-1) to (2-3) above and the L chain V region consists of the aforementioned 25 amino acid sequence as shown in SEQ ID NO: 43, and particularly consists of the amino acid sequence as shown in SEQ ID NO: 45 (mature peptide), is a humanized antibody having a much higher avidity (antigen-binding activity), and for example, this antibody is able to retain a binding activity to cancer cells, on the surface of which the expression level of antigen is low. In addition, the modified humanized anti-hDLk-1 antibody is

able to retain a stable antigen-binding activity for a long period of time in a liquid formulation, in monkey or human blood (plasma), etc.

Herein, with regard to the amino acid sequence as shown in SEQ ID NO: 67 according to (1-1) above, the alanine (A) at position 43 is substituted with glycine (G) in 5 the amino acid sequence as shown in SEQ ID NO: 33; and with regard to the amino acid sequence as shown in SEQ ID NO: 69 according to (1-1) above, the alanine (A) at position 24 is substituted with glycine (G) in the amino acid sequence as shown in SEQ ID NO: 35 (mature peptide).

In addition, with regard to the amino acid sequence as shown in SEQ ID NO: 10 71 according to (1-2) above, the threonine (T) at position 93 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 33; and with regard to the amino acid sequence as shown in SEQ ID NO: 73 according to (1-2) above, the threonine (T) at position 74 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 35 (mature peptide).

15 Moreover, with regard to the amino acid sequence as shown in SEQ ID NO: 75 according to (1-3) above, the alanine (A) at position 43 is substituted with glycine (G) and the threonine (T) at position 93 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 33; and with regard to the amino acid sequence as shown in SEQ ID NO: 77 according to (1-3) above, the alanine (A) at position 24 is 20 substituted with glycine (G) and the threonine (T) at position 74 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 35 (mature peptide).

Furthermore, with regard to the amino acid sequence as shown in SEQ ID NO: 79 according to (2-1) above, the alanine (A) at position 43 is substituted with glycine (G) in the amino acid sequence as shown in SEQ ID NO: 38; and with regard to the amino 25 acid sequence as shown in SEQ ID NO: 81 according to (2-1) above, the alanine (A) at position 24 is substituted with glycine (G) in the amino acid sequence as shown in SEQ ID NO: 40 (mature peptide).

Further, with regard to the amino acid sequence as shown in SEQ ID NO: 83 according to (2-2) above, the threonine (T) at position 93 is substituted with lysine (K) in

the amino acid sequence as shown in SEQ ID NO: 38; and with regard to the amino acid sequence as shown in SEQ ID NO: 85 according to (2-2) above, the threonine (T) at position 74 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 40 (mature peptide).

5 Still further, with regard to the amino acid sequence as shown in SEQ ID NO: 87 according to (2-3) above, the alanine (A) at position 43 is substituted with glycine (G) and the threonine (T) at position 93 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 38; and with regard to the amino acid sequence as shown in SEQ ID NO: 89 according to (2-3) above, the alanine (A) at position 24 is 10 substituted with glycine (G) and the threonine (T) at position 74 is substituted with lysine (K) in the amino acid sequence as shown in SEQ ID NO: 40 (mature peptide).

In general, in the case of a human antibody (a complete human antibody), its structure comprising a Hyper Variable region that is the antigen-binding site of a V region, other parts of the V region and a constant region is the same as the structure of 15 the antibody of a human. However, such a Hyper Variable site may also be derived from other animals. A technique of producing a human antibody is publicly known and a method for producing gene sequences that are common in humans by genetic engineering has been established. A human antibody can be obtained, for example, by a method using a human antibody-producing mouse that has human chromosomal 20 fragments comprising the genes of the H chain and L chain of the human antibody (please refer to Tomizuka, K. et al., *Nature Genetics*, (1977) 16, 133-143; Kuroiwa, Y. et. al., *Nuc. Acids Res.*, (1998) 26, 3447-3448; Yoshida, H. et. al., *Animal Cell Technology: Basic and Applied Aspects*, (1999) 10, 69-73 (Kitagawa, Y., Matsuda, T. and Iijima, S. eds.), Kluwer Academic Publishers; Tomizuka, K. et. al., *Proc. Natl. Acad. Sci. USA*, 25 (2000) 97, 722-727, etc.), or by a method of obtaining a phage display-derived human antibody selected from a human antibody library (please refer to Wormstone, I. M. et. al, *Investigative Ophthalmology & Visual Science*, (2002) 43 (7), 2301-8; Carmen, S. et. al., *Briefings in Functional Genomics and Proteomics*, (2002) 1 (2), 189-203; Siriwardena, D. et. al., *Ophthalmology*, (2002) 109 (3), 427-431, etc.).

In the case of the aforementioned chimeric antibody, humanized antibody and human antibody, the N-glycoside-linked sugar chain in the antibody Fc region is preferably, for example, a sugar chain, in which fucose does not bind to N-acetylglucosamine at the reducing terminal thereof. A specific example is an antibody 5 consisting of genetically recombinant antibody molecules, which has, in the Fc region of the antibody molecules, a sugar chain in which the position 1 of the fucose does not bind to the position 6 of the N-acetylglucosamine at the reducing terminal of the N-glycoside-linked sugar chain via an  $\alpha$  bond. Such an antibody is able to significantly improve ADCC activity. This point (the characteristics of the N-glycoside-linked sugar chain in 10 the antibody Fc region) is preferable also for the aforementioned polyclonal antibody and monoclonal antibody.

#### (4-2) Antibody fragment

The anti-hDlk-1 antibody fragment of the present invention is included in the 15 antibody of the present invention. Herein, the antibody fragment of the present invention has binding activity to hDlk-1 and anti-tumor activity *in vivo*, as in the case of the anti-hDlk-1 antibody of the present invention (including humanized antibodies and the like, other than mouse antibodies).

The fragment of the antibody means a region of a portion of an anti-hDlk-1 20 polyclonal antibody or anti-Dlk-1 monoclonal antibody (namely, an antibody fragment derived from the anti-hDlk-1 antibody of the present invention). Examples of such an antibody fragment include peptides comprising, as at least a portion thereof, Fab, Fab', F(ab')<sub>2</sub>, Fv (variable fragment of antibody), a single-stranded antibody (an H chain, an L chain, an H chain V region and an L chain V region, etc.), scFv, diabody (scFv dimer), 25 dsFv (a disulfide-stabilized V region) and a complementarity determining region (CDR).

Fab is an antibody fragment with a molecular weight of approximately 50,000 having antigen-binding activity, which is formed by binding about a half of the N-terminal side of the H chain and the entire L chain via a disulfide bond, among fragments obtained by treating antibody molecules with a protease, papain. In addition, it is also

possible to produce such Fab by inserting DNA encoding the Fab of an antibody into a prokaryote expression vector or a eukaryote expression vector and then introducing the vector into a prokaryote or a eukaryote so as to allow the DNA to express therein.

5 F(ab')<sub>2</sub> is an antibody fragment with a molecular weight of approximately 100,000 having antigen-binding activity, whose size is slightly greater than Fab that binds to Fab via disulfide bond in the hinge region, among fragments obtained by treating antibody molecules with a protease, pepsin. In addition, it is also possible to produce such F(ab')<sub>2</sub> by the thioether bond or disulfide bond of Fab, as described later.

10 Fab' is an antibody fragment with a molecular weight of approximately 50,000 having antigen-binding activity, which is formed by cleaving the disulfide bond in the hinge region of the aforementioned F(ab')<sub>2</sub>. In addition, it is also possible to produce such Fab' by inserting DNA encoding the Fab' fragment of an antibody into a prokaryote expression vector or a eukaryote expression vector and then introducing the vector into a prokaryote or a eukaryote so as to allow the DNA to express therein.

15 scFv is an antibody fragment having antigen-binding activity, which is a VH-P-VL or VL-P-VH polypeptide formed by ligating a single H chain V region (VH) to a single L chain V region (VL) using a suitable peptide linker (P). Such scFv can be produced by obtaining cDNA encoding the VH and VL of an antibody, constructing DNA encoding scFv, inserting the DNA into an expression vector for prokaryote or an 20 expression vector for eukaryote and then introducing the vector into a prokaryote or a eukaryote so as to allow the DNA to express therein.

25 Diabody is an antibody fragment formed by dimerization of scFv, which has divalent antigen-binding activities. Such divalent antigen-binding activities may be identical to each other, or they may also be different from each other. Such diabody can be produced by obtaining cDNA encoding the VH and VL of an antibody, constructing DNA encoding scFv such that the length of the amino acid sequence of P is 8 residues or less, inserting the DNA into an expression vector for prokaryote or an expression vector for eukaryote and then introducing the vector into a prokaryote or a eukaryote so as to allow the DNA to express therein.

dsFv is an antibody fragment formed by binding polypeptides, in which one amino acid residue in each of VH and VL has been substituted with a cysteine residue, to each other via a disulfide bond between the cysteine residues. The amino acid residue to be substituted with cysteine residues can be selected based on estimation of the three-dimensional structure of the antibody according to the method of Reiter et al. (Protein Engineering, 7, 697-704, 1994). Such dsFv can be produced by obtaining cDNA encoding the VH and VL of an antibody, constructing DNA encoding dsFv, inserting the DNA into an expression vector for prokaryote or an expression vector for eukaryote and then introducing the vector into a prokaryote or a eukaryote so as to allow the DNA to express therein.

A peptide comprising CDRs comprises at least one region of CDRs (CDRs 1 to 3) of VH or VL. A peptide multiple peptides comprising CDRs can be bound to one another, directly or via a suitable peptide linker. Such a peptide comprising CDRs can be produced by constructing DNA encoding the VH and VL of an antibody, inserting the DNA into an expression vector for prokaryote or an expression vector for eukaryote and then introducing the expression vector into a prokaryote or a eukaryote so as to allow the DNA to express therein. Moreover, such a peptide comprising CDRs can also be produced by chemical synthesis methods such as a Fmoc method (a fluorenylmethyloxycarbonyl method) and a tBoc method (a t-butyloxycarbonyl method).

The antibody fragment of the present invention, as is, may be an antibody fragment, which comprises a part of or the entire antibody Fc region in which fucose does not bind to N-acetylglucosamine at the reducing terminal of an N-glycoside-linked sugar chain. Otherwise, the antibody fragment of the present invention may also be a fusion protein, in which the aforementioned antibody fragment is fused with a part of or the entire antibody Fc region in which fucose does not bind to N-acetylglucosamine at the reducing terminal of an N-glycoside-linked sugar chain. Such an antibody fragment is able to significantly improve ADCC activity and thus it is preferable.

The type of the antibody fragment of the present invention is not limited. Specific examples of the present antibody fragment include antibody fragments

comprising, as at least a portion thereof, the amino acid sequences as shown in SEQ ID NOS: 16 to 18 (CDRs 1 to 3 of the H chain V region). Specifically, examples of such antibody fragments include antibody fragments each comprising the amino acid sequence (the H chain V region) as shown in any one of SEQ ID NO: 13 (in particular, SEQ ID NO: 15), SEQ ID NO: 33 (in particular, SEQ ID NO: 35), SEQ ID NO: 38 (in particular, SEQ ID NO: 40), SEQ ID NO: 67 (in particular, SEQ ID NO: 69), SEQ ID NO: 71 (in particular, SEQ ID NO: 73), SEQ ID NO: 75 (in particular, SEQ ID NO: 77), SEQ ID NO: 79 (in particular, SEQ ID NO: 81), SEQ ID NO: 83 (in particular, SEQ ID NO: 85) and SEQ ID NO: 87 (in particular, SEQ ID NO: 89). Moreover, other specific examples of the present antibody fragments include antibody fragments comprising, as at least a portion thereof, the amino acid sequence as shown in any one of SEQ ID NOS: 23 to 25 (CDRs 1 to 3 of the L chain V region). A specific example is an antibody fragment comprising the amino acid sequence (the L chain V region) as shown in SEQ ID NO: 20 (in particular, SEQ ID NO: 22) or SEQ ID NO: 43 (in particular, SEQ ID NO: 45).

Hereinafter, in the descriptions of the present specification, the aforementioned antibody fragments are also included in the anti-hDlk-1 antibody of the present invention.

### 3. Preparation of antibody-agent complex

As an immunoconjugate prepared using the aforementioned anti-hDlk-1 antibody of the present invention, there can be provided an antibody-agent complex, which comprises the aforementioned antibody and a compound having anti-tumor activity and/or cell-killing activity. It is to be noted that a complex formed by previously preparing each of the aforementioned antibody molecule and the aforementioned compound having anti-tumor activity and/or cell-killing activity, separately and then combining them is generally referred to as an immunoconjugate. On the other hand, a complex obtained by ligating a protein toxin used as such a compound having anti-tumor activity and/or cell-killing activity to an antibody gene on a

gene according to a genetic recombination technique, so as to allow it to express as a single protein (a fusion protein), is generally referred to as an immunotoxin.

Examples of a compound having anti-tumor activity include doxorubicin, calicheamicin, mitomycin C and Auristatin E.

5 Examples of a compound having cell-killing activity include saporin, lysine, pseudomonas exotoxin and diphtheria toxin. Of these, saporin and pseudomonas exotoxin are preferably used.

10 A method for producing an antibody-agent complex is not limited. For example, a method of coupling an antibody with an agent via a disulfide bond or a hydrazone bond is applied.

15 The aforementioned anti-hDlk-1 antibody of the present invention is excellent in terms of internalization activity into target tumor cells that express hDlk-1. Thus, by previously combining a compound having anti-tumor activity and cell-killing activity with the anti-hDlk-1 antibody, it becomes possible to allow such a compound to directly and highly selectively act on the tumor cells. The antibody-agent complex of the present invention is extremely excellent in terms of ability to deliver the agent to the target tumor cells.

20 The internalization activity into cells can be evaluated by fluorescently labeling an antibody with rhodamine or the like and then observing the migratory behavior and localization of the antibody using a fluorescence microscope or the like.

Moreover, in the present invention, in addition to the aforementioned antibody-agent complex, there can also be provided an antibody fragment-agent complex, in which the aforementioned antibody fragment is used instead of an antibody. With regard to the details of such an antibody fragment-agent complex, the descriptions of the 25 aforementioned antibody-agent complex can be applied, as appropriate.

Hereinafter, in the descriptions of the present specification, such an antibody fragment-agent complex is also included in the antibody-agent complex of the present invention.

#### 4. Pharmaceutical composition

The anti-hDlk-1 antibody and antibody-agent complex of the present invention are useful as active ingredients contained in a pharmaceutical composition.

The pharmaceutical composition is useful as a pharmaceutical composition for 5 treating and/or diagnosing a tumor. That is to say, the anti-hDlk-1 antibody and antibody-agent complex of the present invention are useful as active ingredients contained in a tumor therapeutic agent or a tumor diagnostic agent. Herein, the treatment of a tumor includes inhibition of tumor angiogenesis (hereinafter, the same applies throughout the present specification).

10 The anti-hDlk-1 antibody and antibody-agent complex of the present invention are preferable in that they do not cause side effects such as weight reduction when they are used in the treatment of a tumor.

Moreover, the present pharmaceutical composition is useful as a pharmaceutical composition used in induction of apoptosis in tumor cells. That is to say, the anti-hDlk-15 1 antibody and antibody-agent complex of the present invention are useful as active ingredients contained in an agent for inducing apoptosis in tumor cells.

It is preferable to provide the pharmaceutical composition of the present invention in the form of a pharmaceutical composition comprising the anti-hDlk-1 antibody and/or antibody-agent complex of the present invention as active ingredient(s) 20 and further comprising a pharmacologically acceptable carrier.

Target diseases (tumors), to which the pharmaceutical composition of the present invention is applied, include: the aforementioned known human tumors, in which expression of hDlk-1 had previously been confirmed (specifically, solid cancers such as neuroendocrine tumor, neuroblastoma, glioma, neurofibromatosis type 1, small cell lung 25 cancer, liver cancer, kidney cancer and ovarian cancer, and blood cancers such as myelodysplastic syndrome and acute myelocytic leukemia); and human colon cancer, human breast cancer and human pancreatic cancer, in which expression of hDlk-1 has been confirmed by the present inventors for the first time. Among others, one or two or more types selected from human colon cancer, human breast cancer, human liver cancer,

human pancreatic cancer, human small cell lung cancer and human neurocytoma are particularly preferable. Such target disease may be a single disease, or two or more diseases may be developed in combination.

Examples of the "pharmacologically acceptable carrier" include an excipient, a diluent, an extender, a disintegrator, a stabilizer, a preservative, a buffer, an emulsifier, an aromatic, a coloring agent, a sweetener, a thickener, a corrigent, a solubilizer and other additives. Using one or more types of such carriers, a pharmaceutical composition can be prepared in the form of an injection, a liquid agent, a capsule, a suspension, an emulsion, a syrup, etc. These pharmaceutical compositions can be administered orally or parenterally. Another form for parenteral administration is an injection comprising one or more active ingredients, which is prepared by an ordinary method. Such an injection can be produced by dissolving or suspending the present antibody in a pharmacologically acceptable carrier such as a normal saline solution or a commercially available distilled water used for injection.

In particular, when an antibody fragment derived from the anti-hDLK-1 antibody of the present invention (particularly, an antibody fragment with a low molecular weight) is administered into a living body, a colloidal dispersion system can be used in addition to the aforementioned components. Such a colloidal dispersion system is anticipated to have an effect of enhancing the stability of a compound (an antibody fragment) in a living body or an effect of efficiently transporting such a compound to a specific organ, tissue, or cell. The type of such a colloidal dispersion system is not limited, as long as it is commonly used. An example of such a colloidal dispersion system is a dispersion system comprising, as a base, polyethylene glycol, a macromolecular complex, a macromolecular aggregate, a nanocapsule, microsphere, beads and lipids including an oil in water emulsifier, micelle, mixed micelle and liposome. Preferred examples of such a colloidal dispersion system include multiple liposomes and the vesicles of artificial membrane, which have an effect of efficiently transporting such a compound to a specific organ, tissue, or cell (Mannino et al., *Biotechniques*, 1988, 6, 682; Blume and

Cevc, Biochem. et Biophys. Acta, 1990, 1029, 91; Lappalainen et al., Antiviral Res., 1994, 23, 119; Chonn and Cullis, Current Op. Biotech., 1995, 6, 698).

The dose of the pharmaceutical composition of the present invention differs depending on the age, sex, body weight and symptoms of a patient, therapeutic effects, 5 an administration method, a treatment time, the types of the anti-hDlk-1 antibody and antibody-agent complex of the present invention contained in the pharmaceutical composition, etc. In general, the present pharmaceutical composition may be administered within the range between 600  $\mu$ g and 6,000 mg per adult per administration. However, the dose is not limited to the aforementioned range.

10 In a case where the pharmaceutical composition is administered in the form of an injection, for example, it may be administered at a dose of 10  $\mu$ g to 100 mg, or 30  $\mu$ g to 100 mg, or 50  $\mu$ g to 100 mg, or 100  $\mu$ g to 100 mg, per administration and per body weight of a human patient, or it may be administered at a dose in a range in which the lower limits of the aforementioned doses are combined as appropriate (e.g. 30  $\mu$ g to 200 15  $\mu$ g or 100  $\mu$ g to 500  $\mu$ g), once or divided over several administrations, as an average daily dose. Examples of the dosage form include intravenous injection, subcutaneous injection, intradermal injection, intramuscular injection and intraperitoneal injection. Of these, intravenous injection is preferable. In addition, such an injection may be prepared in the form of a nonaqueous diluent (e.g. polyethylene glycol, vegetable oil 20 such as olive oil, alcohols such as ethanol, etc.), a suspension, or an emulsion. Such an injection can be sterilized by mechanical sterilization using a filter, the mixing of a microbicide, etc. The injection can be produced in the form of an injection to be prepared before using. That is, a sterilized solid composition is prepared by a freeze-drying method or the like and the composition is then dissolved in sterilized distilled 25 water used for injection or other solvents before it is used, so that it can be then used.

The present invention provides the use of the aforementioned anti-hDlk-1 antibody and/or antibody-agent complex of the present invention in production of a pharmaceutical (an agent) for treating a tumor, diagnosing a tumor and/or inducing apoptosis in tumor cells. In addition, the present invention provides the aforementioned

anti-hDlk-1 antibody and/or antibody-agent complex of the present invention, which are used for treating a tumor, diagnosing a tumor and/or inducing apoptosis in tumor cells.

Moreover, the present invention provides a method for treating a tumor, a method for diagnosing a tumor and/or a method for inducing apoptosis in tumor cells, 5 which comprises using (namely, administering to patients) the aforementioned anti-hDlk-1 antibody and/or antibody-agent complex of the present invention. Furthermore, the present invention also provides the use of the aforementioned anti-hDlk-1 antibody and/or antibody-agent complex of the present invention for treating a tumor, diagnosing a tumor and/or inducing apoptosis in tumor cells.

10

### 5. Method for detecting tumor

The method for detecting a tumor of the present invention (which may be a method for diagnosing a tumor) is characterized in that it comprises allowing the aforementioned anti-hDlk-1 antibody of the present invention to react with a sample 15 collected from a living body (hereinafter referred to as a biological sample) and detecting a signal of the reacted antibody.

As described above, since hDlk-1 has been confirmed to be specifically expressed in various types of tumor cells, hDlk-1 and particularly, free hDlk-1 (an extracellular region portion of hDlk-1) can be used as a marker for various types of 20 tumors. In particular, such hDlk-1 can be preferably used as a marker for human colon cancer, human breast cancer, human liver cancer and human pancreatic cancer.

Thus, the anti-hDlk-1 antibody of the present invention is allowed to react with a biological sample and a signal of the reacted antibody is then detected, so as to detect a tumor. The obtained antibody signal can be used as an indicator of the amount of an 25 antigen in the biological sample (that is, an hDlk-1 amount or a free hDlk-1 amount). In detection of the tumor using the antibody of the present invention, first, a biological sample collected as an analyte from a subject, such as a tissue section or blood used as a test target, is allowed to bind to the antibody of the present invention by an antigen-antibody reaction. Subsequently, based on the measurement results of the amount of

the bound antibody, the amount of an antigen of interest contained in the biological sample is measured. This measurement may be carried out in accordance with known immunoassay methods. For example, an immunoprecipitation method, an immunoagglutination method, radioimmunoassay, immunonephelometry, a Western blot 5 method, flowcytometry and the like can be used. In radioimmunoassay, a labeled antibody is used and thus an antibody signal is expressed as the amount of the labeled antibody that is directly detected. Otherwise, an antibody whose concentration or antibody titer has been known may be used as a standard solution and thus a signal of the target antibody may be expressed as a relative value. That is, both the standard solution 10 and the analyte may be measured using a measurement device and an antibody signal in a biological sample may be expressed as a value relative to the value of the standard solution used as a criterion. Examples of such radioimmunoassay include the ELISA method, the EI method, the RIA method, fluorescence immunoassay (FIA) and luminescence immunoassay. Of these, the ELISA method is particularly preferable in 15 that it is simple and highly sensitive.

In the present invention, the state of tumor can be evaluated or diagnosed, using the detection result obtained by the aforementioned detection method as an indicator. For example, when the detection result exceeds a predetermined standard value, the state 20 of tumor is defined as tumor positive and when the detection result is less than the predetermined standard value, it is defined as tumor negative. In the case of tumor positive, it is determined that a certain type of tumor could have been developed and thus the tumor state can be evaluated. The term "the state of tumor" is used herein to mean the presence or absence of the development of tumor, or the progression degree thereof. Thus, specific examples of the state of tumor include the presence or absence of the 25 development of tumor, the progression degree thereof, the degree of malignancy, the presence or absence of metastasis and the presence or absence of recurrence.

In the aforementioned evaluation, as a state of tumor to be evaluated, only one state may be selected from the aforementioned examples, or multiple examples may be combined and selected. The presence or absence of tumor can be evaluated by

determining whether or not the tumor has been developed, with reference to the predetermined standard value used as a boundary, based on the obtained detection result. The degree of malignancy is used as an indicator that indicates the progression degree of cancer. Based on the detection result, the target tumor can be classified into a certain 5 disease stage and it can be evaluated. Otherwise, early cancer and advanced cancer can be distinguished from each other and then they can be evaluated. For example, it is also possible to determine the target tumor as early cancer or advanced cancer, using the detection result as an indicator. The metastasis of tumor can be evaluated by determining whether or not neoplasm has appeared at a site apart from the position of the 10 initial lesion, using the detection result as an indicator. The recurrence can be evaluated by determining whether or not the detection result has exceeded the predetermined standard value again after interval stage or remission.

6. Kit for detecting or diagnosing tumor, and kit for treating tumor or inducing apoptosis  
15 in tumor cells

The anti-hDlk-1 antibody of the present invention can be provided in the form of a kit for detecting a tumor or a kit for diagnosing a tumor. In addition, the anti-hDlk-1 antibody and antibody-drug complex of the present invention can be provided in the form of a kit for treating a tumor or a kit for inducing apoptosis in tumor cells.

20 The kit of the present invention comprises a labeling substance, a solid-phase reagent on which the antibody or the labeled antibody has been immobilized, etc., as well as the aforementioned antibody. A labeling substance that labels the antibody means a substance labeled with an enzyme, a radioisotope, a fluorescent compound, a chemiluminescent compound, etc. The kit of the present invention may also comprise 25 other reagents used for carrying out the detection of the present invention, in addition to the aforementioned constitutional elements. For example, when such a labeling substance is an enzyme labeling substance, the kit of the present invention may comprise an enzyme substrate (a chromogenic substrate, etc.), an enzyme substrate-solving solution, an enzyme reaction stop solution, a diluent used for analytes, etc. Moreover,

the present kit may further comprise various types of buffers, sterilized water, various types of cell culture vessels, various types of reactors (an Eppendorf<sup>TM</sup> tube, etc.), a blocking agent (a serum component such as bovine serum albumin (BSA), skim milk, or goat serum), a washing agent, a surfactant, various types of plates, an antiseptic such as 5 sodium azide, an experimental operation manual (instruction), etc.

The kit of the present invention can be effectively used to carry out the above-described method for detecting a tumor, method for treating a tumor, and method for inducing apoptosis in tumor cells of the present invention, etc. Thus, the present kit is extremely useful.

10

Hereinafter, the present invention will be more specifically described in the following examples. However, these examples are not intended to limit the scope of the present invention.

[Example 1]

15 Cloning of mouse anti-human Dlk-1 monoclonal antibody (clone BA-1-3D) gene and determination of variable region sequences

A mouse anti-human Dlk-1 monoclonal antibody, clone BA-1-3D (mouse IgG2a) that exhibited significant tumor growth-inhibiting activity in WO 2008/056833 (as described above; Patent Document 4) and WO 2009/116670 (as described above; 20 Patent Document 5) (hereinafter referred to as "mouse BA-1-3D") was used. A hybridoma generating the mouse BA-1-3D has been referred to as "Mouse-Mouse hybridoma BA-1-3D" and has been deposited with International Patent Organism Depositary (IPOD), National Institute of Advanced Industrial Science and Technology (AIST Tsukuba Central 6, Higashi 1-1-1, Tsukuba, Ibaraki, Japan, postal code: 305-25 8566) on February 1, 2011 (Accession No.: FERM BP-11337).

The aforementioned mouse BA-1-3D-generating hybridoma was cultured at 37°C in a RPMI-1640 medium containing 20% fetal bovine serum (FBS; HyClone), 1 mM sodium pyruvate, 100 units/ml penicillin, 100 µg/ml streptomycin and 1 x Hybridoma Fusion and Cloning Supplement (Roche Diagnostics, Indianapolis, IN) in a

7.5% CO<sub>2</sub> incubator. Total RNA was extracted from 10<sup>7</sup> hybridomas using a TRIzol reagent (Invitrogen), and thereafter, using oligo dT primers, cDNA was synthesized from the total RNA employing SMARTer RACE cDNA Amplification Kit (Clontech, Mountain View, CA) in accordance with the method included in the kit. Using the thus synthesized cDNA as a template, genes encoding the H chain variable region (VH) and L chain variable region (VL) of the mouse BA-1-3D were cloned by a PCR method employing Phusion<sup>TM</sup> DNA polymerase (New England Biolabs, Beverly, MA). In the PCR method, Universal Primer A Mix (UPM) or Nested Universal Primer A (NUP) included with the kit was used as a 5'-primer. On the other hand, as a 3'-primer for VH amplification, a primer having a sequence complementary to a mouse  $\gamma$ 2a constant region was used, and as a 3'-primer for VL amplification, a primer having a sequence complementary to a mouse  $\kappa$  constant region was used.

5'-Primer (F primer; Universal Primer A Mix (UPM)):

15 Long:

5'-CTAATACGACTCACTATAGGCAGCAGTGGTATCAACGCAGAGT-3' (SEQ ID NO: 3)

Short:

5'-CTAATACGACTCACTATAGGC-3' (SEQ ID NO: 4)

20

5'-Primer (F primer; Nested Universal Primer A (NUP)):

5'-AAGCAGTGGTATCAACGCAGAGT-3' (SEQ ID NO: 5)

3'-Primer (R primer):

25 VH: 5'-GCCAGTGGATAGACCGATGG-3' (SEQ ID NO: 6)

VL: 5'-GATGGATACAGTTGGTGCAGC-3' (SEQ ID NO: 7)

The PCR reaction was carried out with the following composition of a reaction solution under the following reaction conditions using each of the aforementioned

primers.

< Composition of reaction solution >

	Template cDNA:	2.5 $\mu$ L
5	5x PrimeSTAR buffer ( $Mg^{2+}$ plus):	10 $\mu$ L
	2.5 mM dNTP:	4 $\mu$ L
	Phusoin DNA polymerase (2.0 U/ $\mu$ l):	0.5 $\mu$ L
	10x UPM or NUP:	5 $\mu$ L
	R primer (10 $\mu$ M):	1 $\mu$ L
10	<u>Sterilized water:</u>	<u>27 <math>\mu</math>L</u>
	Total:	50 $\mu$ L

< Reaction conditions >

After completion of the reaction at 94°C (10 sec), one cycle consisting of "Heat 15 denaturation/dissociation: 98°C (10 sec) → Annealing: 60°C (5 sec) → Synthesis/elongation: 72°C (60 sec)" was repeated 30 times (total 30 cycles). Finally, reaction was carried out at 72°C (3 min)

The synthesized cDNAs of the VH and VL (BA-1-3D VH and BA-1-3D VL) of 20 the mouse BA-1-3D were each subcloned into a pCR-BluntII-TOPO vector (Invitrogen), and the nucleotide sequences thereof were then determined. The nucleotide sequences of a plurality of VH clones and VL clones were decoded, and the typical nucleotide sequences of the variable regions of mouse H chain and L chain were identified. Figure 1 and Figure 2 show the consensus cDNA nucleotide sequences of BA-1-3D VH and 25 BA-1-3D VL and their putative amino acid sequences.

[Example 2]

Construction of mouse/human chimeric BA-1-3D IgG1/κ expression vector

A gene encoding BA-1-3D VH (BA-1-3D VH gene) was generated as an exon,

to which a mouse germ cell line JH4 sequence-derived splice donor signal was added and to both ends of which restriction enzyme sites were added. Specifically, the gene was synthesized according to a PCR method using the cDNA of the BA-1-3D VH gene as a template. During the PCR reaction, a 5'-primer, to which a SpeI site had been added as 5 a restriction enzyme site to be inserted into an animal cell expression vector, and a 3'-primer, to which a HindIII site had been added as such a restriction enzyme site, were used.

5'-Primer (F primer):

10 5'-GCAACTAGTACCACCATGGGTTGGAGCTGTATC-3' (SEQ ID NO: 8)  
(Underline: SpeI site)

3'-Primer (R primer):

15 5'-GGGAAGCTTGAGAGGCCATTCTACCTGAGGAGACGGTGACTGAGGT-3'  
(SEQ ID NO: 9) (Underline: HindIII site)

The PCR reaction was carried out with the following composition of a reaction solution under the following reaction conditions, using each of the aforementioned primers (SEQ ID NOS: 8 and 9).

20

< Composition of reaction solution >

Template cDNA:	1.0 $\mu$ L
5x PrimeSTAR buffer ( $Mg^{2+}$ plus):	10 $\mu$ L
2.5 mM dNTP:	4 $\mu$ L
25 Phusoin DNA polymerase (2.0 U/ $\mu$ l):	0.5 $\mu$ L
F primer (10 $\mu$ M):	3 $\mu$ L
R primer (10 $\mu$ M):	1.0 $\mu$ L
<u>Sterilized water:</u>	<u>30.5 <math>\mu</math>L</u>
Total:	50 $\mu$ L

## &lt; Reaction conditions &gt;

One cycle consisting of "Heat denaturation/dissociation: 98°C (10 sec) → Annealing: 57°C (10 sec) → Synthesis/elongation: 72°C (60 sec)" was repeated 35 times 5 (total 35 cycles).

Likewise, a gene encoding BA-1-3D VL (BA-1-3D VL gene) was generated as an exon, to which a mouse germ cell line Jκ5 sequence-derived splice donor signal was added and to both ends of which restriction enzyme sites were added. Specifically, the 10 gene was synthesized according to a PCR method using the cDNA of the BA-1-3D VL gene as a template. During the PCR reaction, a 5'-primer, to which a NheI site had been added as a restriction enzyme site to be inserted into an animal cell expression vector, and a 3'-primer, to which an EcoRI site had been added as such a restriction enzyme site, were used.

15

5'-Primer (F primer):

5'-GCTGCTAGACCACCATGGAATCACAGACCCAG-3' (SEQ ID NO: 10)

(Underline: NheI site)

20 3'-Primer (R primer):

5'-GCAGAATTCAGAAAAGTGTACTTACGTTCAGCTCCAGCTTGGTCC-3'

(SEQ ID NO: 11) (Underline: EcoRI site)

The PCR reaction was carried out with the following composition of a reaction 25 solution under the following reaction conditions, using each of the aforementioned primers (SEQ ID NOS: 10 and 11).

## &lt; Composition of reaction solution &gt;

Template cDNA: 1.0 μL

5x PrimeSTAR buffer (Mg <sup>2+</sup> plus):	10 µL
2.5 mM dNTP:	4 µL
Phusoin DNA polymerase (2.0 U/µl):	0.5 µL
F primer (10 µM):	3 µL
5 R primer (10 µM):	1.0 µL
<u>Sterilized water:</u>	<u>30.5 µL</u>
Total:	50 µL

< Reaction conditions >

10 One cycle consisting of "Heat denaturation/dissociation: 98°C (10 sec) → Annealing: 57°C (10 sec) → Synthesis/elongation: 72°C (60 sec)" was repeated 35 times (total 35 cycles).

15 The thus generated BA-1-3D VH and BA-1-3D VL genes having functions as exons are shown in Figure 3 and Figure 4, respectively.

The generated BA-1-3D VH and BA-1-3D VL genes were each subcloned into a pCR-BluntII-TOPO vector (Invitrogen), and the nucleotide sequences thereof were then determined. Thereafter, using a SpeI/HindIII site for insertion of the BA-1-3D VH gene and also using a NheI/EcoRI site for insertion of the BA-1-3D VL gene, these genes 20 were each inserted into an animal cell expression vector (Figure 5) having the constant regions of human  $\gamma$ 1 chain and  $\kappa$  chain, so as to generate a mouse-human chimeric BA-1-3D IgG1/ $\kappa$  antibody (ChBA-1-3D) expression vector (pChBA-1-3D).

[Example 3]

25 Generation of humanized BA-1-3D VH and VL genes

Humanization designing of BA-1-3D VH and BA-1-3D VL was carried out as follows according to the method of Queen et al. (Proc. Natl. Acad. Sci. USA 86: 10029-10033, 1989). First, the molecular modeling of the three-dimensional structures of the variable regions of the antibody BA-1-3D was carried out using computers, and amino

acids in a framework region important for formation of CDR structures were then identified. At the same time, a homology search was performed between the BA-1-3D variable regions and the variable region sequences of human antibody genes, so as to select cDNA (U00503 VH) with GenBank accession number: U00503 (Huang and 5 Stollar, J. Immunol. 151: 5290, 1993) as an acceptor for providing a framework (FR) region necessary for humanization of BA-1-3D VH. Likewise, cDNA (Z46622 VL) with GenBank accession number: Z46622 (Giachino et al., J. Exp. Med. 181:1245, 1995) was selected as an acceptor for providing a framework (FR) region necessary for humanization of BA-1-3D VL.

10 For humanization of BA-1-3D VH, the CDR sequence of BA-1-3D VH was first transplanted into the corresponding position in U00503 VH as an acceptor. Subsequently, as a result of the analysis of three-dimensional structures by computer modeling performed on mouse BA-1-3D variable regions, with regard to amino acid residues in FR region (isoleucine (I) at position 48, lysine (K) at position 66, alanine (A) 15 at position 67, and valine (V) at position 71), which are adjacent to the CDRs of BA-1-3D VH and are assumed to play important roles in the maintenance of the structures, those of the BA-1-3D VH were retained, and other FR regions were substituted with those of the human acceptor sequences. The positional numbers of the amino acid residues in VH were used in accordance with the definitions of Kabat et al. (Sequences 20 of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U. S. Department of Health and Human Services, 1991). The thus generated, humanized BA-1-3D VH was referred to as HuBA-1-3D VH1.

The lysine (K) at position 66 in BA-1-3D VH is adjacent to the CDR sequences. As a result of the more detailed analysis of BA-1-3D variable regions by computer 25 modeling, it was suggested that the lysine (K) at position 66 in HuBA-1-3D VH1 could be substituted with arginine (R) at a position corresponding to U00503 VH without impairing affinity for antigen. Thus, for the purpose of reducing potential immunogenicity, humanized BA-1-3D VH, in which the lysine (K) at position 66 of HuBA-1-3D VH1 was substituted with arginine (R), was also produced. The thus

substituted, humanized BA-1-3D VH was referred to as HuBA-1-3D VH2.

The alignment of the amino acid sequences of BA-1-3D VH, HuBA-1-3D VH1, HuBA-1-3D VH2 and U00503 VH is shown in Figure 6.

For humanization of BA-1-3D VL as well, the CDR sequence of BA-1-3D VL  
5 was transplanted into the corresponding position in Z46222 VL as an acceptor. Subsequently, as a result of the analysis of three-dimensional structures by computer modeling performed on mouse BA-1-3D variable regions, with regard to an amino acid residue in FR region (valine (V) at position 48), which is adjacent to the CDRs of BA-1-3D VL and is assumed to play an important role in the maintenance of the structures, that  
10 of the BA-1-3D VL was retained, and other FR regions were substituted with those of the human acceptor sequences. The positional numbers of the amino acid residues in VL were used in accordance with the definitions of Kabat et al. (Sequences of Proteins of Immunological Interests, Fifth edition, NIH Publication No. 91-3242, U. S. Department of Health and Human Services, 1991). The thus produced, humanized BA-1-3D VL  
15 was referred to as HuBA-1-3D VL.

The alignment of the amino acid sequences of BA-1-3D VL, HuBA-1-3D VL and Z46622 VL is shown in Figure 7.

Genes encoding HuBA-1-3D VH1 and HuBA-1-3D VH2 were generated by gene synthesis (GenScript USA, Piscataway, NJ) as exons, each of which comprised a mouse BA-1-3D VH signal peptide and a human germ line JH3 sequence-derived splice donor signal, and to both ends of each of which suitable restriction enzyme sites for insertion of an animal cell expression vector were added (SpeI added to the 5'-terminal side and HindIII added to the 3'-terminal side). The gene sequences of the thus generated HuBA-1-3D VH1 gene and HuBA-1-3D VH2 gene, and the amino acid  
20 sequences of HuBA-1-3D VH1 and HuBA-1-3D VH2, are shown in Figure 8 and Figure  
25 9, respectively.

Likewise, a gene encoding HuBA-1-3D VL was generated by gene synthesis (GenScript USA, Piscataway, NJ) as an exon, which comprised a mouse BA-1-3D VL signal peptide and a human germ line Jκ2 sequence-derived splice donor signal, and to

both ends of which suitable restriction enzyme sites for insertion of an animal cell expression vector were added (NheI added to the 5'-terminal side and EcoRI added to the 3'-terminal side). The gene sequence of the thus generated HuBA-1-3D VL gene and the amino acid sequence of HuBA-1-3D VL are shown in Figure 10.

5 Subsequently, using a SpeI/HindIII site for insertion of the HuBA-1-3D VH1 and VH2 genes, and also using a NheI/EcoRI site for insertion of the HuBA-1-3D VL gene, these sites were each inserted into an animal cell expression vector (Figure 5) having the constant regions of human  $\gamma$ 1 chain and  $\kappa$  chain. Specifically, a combination of the HuBA-1-3D VH1 gene with the HuBA-1-3D VL gene, and a combination of the  
10 HuBA-1-3D VH2 gene with the HuBA-1-3D VL gene, were each inserted into the aforementioned expression vector. Thus, an expression vector (pHuBA-1-3D-1) for expressing a humanized BA-1-3D IgG1/ $\kappa$  antibody (HuBA-1-3D-1) constituted with HuBA-1-3D VH1 and HuBA-1-3D VL, and an expression vector (pHuBA-1-3D-2) for expressing a humanized BA-1-3D IgG1/ $\kappa$  antibody (HuBA-1-3D-2) constituted with  
15 HuBA-1-3D VH2 and HuBA-1-3D VL, were generated.

[Example 4]

Generation of NS0 cell lines stably producing mouse-human chimeric BA-1-3D antibody (ChBA-1-3D) and humanized BA-1-3D antibodies (HuBA-1-3D-1 and HuBA-1-3D-2)

20 A mouse myeloma cell line NS0 (European Collection of Animal Cell Cultures, Salisbury, Wiltshire, UK) was cultured at 37°C in a DME medium containing 10% fetal bovine serum in a 7.5% CO<sub>2</sub> incubator. In order to generate cell lines capable of stably producing ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2, 20  $\mu$ g each of antibody gene expression vectors (pChBA-1-3D, pHuBA-1-3D-1 and pHuBA-1-3D-2) (previously  
25 linearized with a restriction enzyme FspI) was transfected into NS0 cells (approximately  $10^7$  cells) by electroporation according to the method of Bebbington et al. (Bio/Technology 10: 169-175, 1992). Forty-eight hours later, the medium was exchanged with a selective medium (a 10% FBS-containing DME medium, HT media supplement (Sigma, St. Louis, MO), 0.25 mg/ml xanthine, and 1  $\mu$ g/ml mycophenolic

acid), and then, approximately ten days later, the presence or absence of an antibody produced in a culture supernatant was analyzed.

ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 in the culture supernatant were detected and measured by a sandwich ELISA method. Specifically, a goat anti-human IgG Fcγ chain-specific polyclonal antibody (Sigma) diluted with PBS to a concentration of 1/2,000 was added in an amount of 100 µl per well to a 96-well plate, so that the 96-well plate was coated with the aforementioned antibody at 4°C overnight. Thereafter, the plate was washed with a washing buffer (PBS + 0.05% Tween<sup>TM</sup> 20). Subsequently, 300 µl of a blocking buffer (PBS + 2% skim milk + 0.05% Tween<sup>TM</sup> 20) was added to each well, so that the plate was blocked with the blocking buffer at room temperature for 30 minutes. Thereafter, the plate was washed with a washing buffer, and 100 µl of a culture supernatant that had been diluted at a suitable dilution magnification with an ELISA buffer (PBS + 1% skim milk + 0.025% Tween<sup>TM</sup> 20) was then added to each well. The obtained mixture was reacted at room temperature for 1 hour. A human or humanized IgG1/κ antibody was used as a standard. The reaction mixture was washed with a washing buffer. Thereafter, 100 µl of an HRP-conjugated goat anti-human kappa chain polyclonal antibody (Southern Biotech) that had been diluted with an ELISA buffer to a concentration of 1/2,000 was added as a detection antibody to each well, followed by reaction at room temperature for 30 minutes. Thereafter, the resultant was washed with a washing buffer, and 100 µl of an ABTS substrate was then added to each well to perform a color reaction. Then, 100 µl of 2% oxalic acid was added to each well to terminate the reaction. Thereafter, the absorbance at 405 nm was measured.

NS0-ChBA-1-3D 2A4, NS0-HuBA-1-3D-1 2D2 and NS0-HuBA-1-3D-2 3F7 were established as NS0 cell lines stably producing ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 antibodies, respectively, and these cell lines were then acclimated to a serum-free medium (Hybridoma SFM (Invitrogen)).

The sequences of the H chain and L chain of antibodies produced by the individual NS0 cell lines, NS0-ChBA-1-3D 2A4, NS0-HuBA-1-3D-1 2D2 and NS0-HuBA-1-3D-2 3F7, were confirmed by cDNA sequencing. Specifically, total RNA was

first extracted from each cell line using a TRIzol reagent (Invitrogen), and thereafter, using oligo dT primers, cDNA was synthesized from the total RNA employing SuperScript III First-Strand Synthesis System for RT-PCR (Invitrogen) in accordance with the method included in the kit. Subsequently, the coding region of a human  $\gamma$ 1 chain was amplified by PCR using CMV2 and JNT098 as primers, and sequencing was then carried out using CMV2, JNT082, JNT097 and JNT098 as primers. Likewise, the coding region of a human  $\kappa$ 1 chain was amplified by PCR using CMV2 and JNT026 as primers, and sequencing was then carried out using CMV2 and JNT026 as primers. It is to be noted that the aforementioned primers (CMV2, JNT026, JNT082, JNT097 and JNT098) each consist of the nucleotide sequences shown in Figure 11.

As a result, the cDNA sequences of the H chain and L chain of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 produced by the aforementioned NS0 cell lines were completely matched with the corresponding cDNA sequences of the vectors pChBA-1-3D, pHuBA-1-3D-1 and pHuBA-1-3D-2 (Figures 12 to 16).

15

[Example 5]

Purification of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2

The each of NS0 cell lines NS0-ChBA-1-3D 2A4, NS0-HuBA-1-3D-1 2D2 and NS0-HuBA-1-3D-2 3F7 were cultured using a roller bottle. As a medium, Hybridoma-SFM (Invitrogen) was used. At a stage where the cell density had reached approximately  $1 \times 10^6$  cells/mL, 60 mg/ml Ultrafiltered Soy Hydrolysate (Irvine Scientific, Santa Ana, CA) (which had been dissolved in SFM4MAb media (HyClone)) was added in an amount of 1/10 to the cells. Then, the cell culture was carried out until the percentage of living cells became 50% or less. A culture supernatant was recovered by centrifugation and filtration, and the recovered cell supernatant was loaded onto a Protein-A Sepharose column (HiTrap MABSelect <sup>TM</sup> SuRe, GE Healthcare, Piscataway, NJ). The column was washed with PBS, and elution was then carried out with 0.1 M Glycine-HCl (pH 3.0). The antibody was neutralized with 1M Tris-HCl (pH 8.0), and the buffer was then replaced with PBS by dialysis. The concentration of the antibody was

determined by measuring the absorbance at 280 nm (1 mg/ml = 1.4 OD). With regard to the yield of the antibody by the culture of 500 mL of each NS0 cell line, 6.1 mg of ChBA-1-3D, 5.0 mg of HuBA-1-3D-1 and 3.8 mg of HuBA-1-3D-2 were each obtained.

The purified antibodies ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 were 5 subjected to SDS-PAGE under reduced conditions according to an ordinary method. As a result, an approximately 50-kDa H chain band and an approximately 25-kDa L chain band were confirmed in all of the antibodies (Figure 17). In addition, all of the antibodies had a purity of 95% or more after purification.

10 [Example 6]

Characterization of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2

The binding activity of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to an antigen (human Dlk-1) was analyzed using three different types of formats of ELISA.

As a first format of ELISA, ELISA was carried out to analyze a monovalent 15 antigen-antibody reaction. The antibodies ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 antibodies, which had been each diluted with PBS to a concentration of 1  $\mu$ g/ml, were each added in an amount of 100  $\mu$ l/well to a 96-well plate, followed by coating at 4°C overnight. The plate was washed with a washing buffer, and it was then blocked with a blocking buffer. Thereafter, the plate was washed with a washing buffer again. 20 A dilution series was produced by mixing a recombinant protein of the hDlk-1 extracellular region (hDlk-1-His) (Nakamura and Tajima, US2009/0326205 A1) with an ELISA buffer by 2-fold dilution from a concentration of 1  $\mu$ g/ml, and the thus diluted recombinant protein was then added in an amount of 100  $\mu$ l/well to the plate, followed by reaction at room temperature for 1 hour. Subsequently, the plate was washed with a 25 washing buffer, and an HRP-conjugated mouse anti-His tag antibody (Hypromatrix, Worcester, MA) that had been diluted with an ELISA buffer to a concentration of 1/2,000 was added in an amount of 100  $\mu$ l/well to the plate, followed by reaction at room temperature for 30 minutes. Thereafter, the resultant was washed with a washing buffer, and 100  $\mu$ l of an ABTS substrate was then added to each well to perform a color reaction.

Then, 100  $\mu$ l of 2% oxalic acid was added to each well to terminate the reaction. Thereafter, the absorbance at 405 nm was measured. As a result, the binding curves of hDlk-1-His to ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 were completely overlapped (Figure 18). Thus, it was demonstrated that the antigen affinity of HuBA-1-3D-1 and HuBA-1-3D-2 retained the antigen affinity of ChBA-1-3D, and that humanization of BA-1-3D was successful.

As a second format of ELISA, hDlk-1-His, which had been diluted with PBS to a concentration of 0.5  $\mu$ g/ml, was added in an amount of 100  $\mu$ l/well to a 96-well plate, followed by coating at 4°C overnight. The plate was washed with a washing buffer, and 10 it was then blocked with a blocking buffer. Thereafter, the plate was washed with a washing buffer again. ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2, in each of which a 2-fold dilution series was produced with an ELISA buffer from a concentration of 5  $\mu$ g/ml, were each added in an amount of 100  $\mu$ l/well to the plate, followed by reaction at room temperature for 1 hour. Subsequently, the plate was washed with a washing 15 buffer, and an HRP-conjugated goat anti-human kappa chain polyclonal antibody that had been diluted with an ELISA buffer to a concentration of 1/2,000 was added in an amount of 100  $\mu$ l/well to the plate, followed by reaction at room temperature for 30 minutes. Thereafter, a color reaction was carried out by the same method as described above. As a result, the EC<sub>50</sub> values of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 20 were found to be 116 ng/ml, 148 ng/ml and 154 ng/ml, respectively (Figure 19), and the humanized antibodies HuBA-1-3D-1 and HuBA-1-3D-2 both showed antigen affinity equivalent to that of ChBA-1-3D.

As a third format of ELISA, hDlk-1-His to be coated on a 96-well plate was diluted to a concentration of 1/10, and 0.05  $\mu$ g/ml hDlk-1-His was then added in an 25 amount of 100  $\mu$ l/well to the 96-well plate, followed by coating at 4°C overnight, so as to produce an ELISA plate coated with a low concentration of hDlk-1-His. Other than the aforementioned operations, the binding of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to hDlk-1-His was measured in the same manner as that in the second format of ELISA. As a result, the binding activities of HuBA-1-3D-1 and HuBA-1-3D-2 were unexpectedly

reduced in comparison with ChBA-1-3D (Figure 20).

As demonstrated in the first format of ELISA, the monovalent binding activities of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to hDlk-1-His were not substantially different from one another (Figure 18). In addition, as demonstrated in the 5 second format of ELISA, even in ELISA involving the coating with a high concentration of hDlk-1-His, the binding activities of ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 to the hDlk-1-His protein were not substantially different from one another (Figure 18). Accordingly, it was considered that the results of the third format of ELISA regarding a 10 reduction in the binding activities of HuBA-1-3D-1 and HuBA-1-3D-2 to a low concentration of hDlk-1-His in comparison with ChBA-1-3D (Figure 20) were obtained from a reduction in avidity (antigen-binding activity) caused by a reduction of flexibility in the movement of the two binding arms of a humanized antibody to an antigen. As in the case of the second format of ELISA, when the density of an antigen is high, all of the ChBA-1-3D, HuBA-1-3D-1 and HuBA-1-3D-2 can divalently bind to an antigen. 15 Accordingly, their binding activities are detected at equivalent levels (Figure 19). As in the case of the third format ELISA, when the density of an antigen is low, ChBA-1-3D can divalently bind to an antigen. However, HuBA-1-3D-1 and HuBA-1-3D-2 can only 20 monovalently bind to an antigen due to their reduced avidity. Thus, it was considered that HuBA-1-3D-1 and HuBA-1-3D-2 showed lower antigen-binding activities than that of ChBA-1-3D.

[Example 7]

Generation of mutants of humanized BA-1-3D antibody and characterization

In order to determine VH or VL, which causes a reduction in the avidity of 25 HuBA-1-3D-1 and HuBA-1-3D-2, the following experiment was carried out. First, a HuBA-1-3D VL gene fragment (Figure 10) sandwiched between the restriction enzyme sites NheI and EcoRI in a pHuBA-1-3D-2 vector was substituted with the NheI-EcoRI fragment (Figure 4) of mouse BA-1-3D VL, so as to generate an expression vector (pHuVH2/MuVL) constituted with HuBA-1-3D VH2 and mouse BA-1-3D VL, namely,

with humanized VH and mouse VL (HuVH/MuVL). Then, a HuBA-1-3D VH2 gene fragment (Figure 9) sandwiched between the restriction enzyme sites SpeI and HindIII in a pHuBA-1-3D-2 vector was substituted with the SpeI-HindIII fragment (Figure 3) of mouse BA-1-3D VH, so as to generate an expression vector (pMuVH/HuVL) constituted 5 with mouse BA-1-3D VH and HuBA-1-3D VL, namely, with mouse VH and humanized VL (MuVH/HuVL).

Subsequently, the expression vectors pChBA-1-3D, pHuBA-1-3D-2, pHuVH2/MuVL and pMuVH/HuVL were each transfected into HEK293 cells using Lipofectamine 2000 reagent (Invitrogen) according to the method included with the 10 reagent. The resulting cells were then cultured at 37°C in a 10% fetal bovine serum-containing DME medium in a 7.5% CO<sub>2</sub> incubator for several days, and a culture supernatant was then recovered. The concentration of an antibody in the culture supernatant was measured by the above-mentioned sandwich ELISA. The binding activity of each of ChBA-1-3D, HuBA-1-3D-2, HuVH2/MuVL and MuVH/HuVL to 15 hDlk-1 was measured by the above-mentioned third format of ELISA (that is, ELISA in which hDlk-1-His was coated in a concentration of 0.05 µg/ml on the plate). As a result, the binding activities of HuVH2/MuVL and HuBA-1-3D-2 to hDlk-1-His were weak, whereas the binding activity of MuVH/HuVL to hDlk-1-His was equivalent to that of ChBA-1-3D (Figure 21). Thus, it was demonstrated that HuBA-1-3D VL does not 20 contribute to a reduction in avidity and that HuBA-1-3D VH causes such a reduction in avidity.

In order to recover the reduced avidity, amino acid substitution was performed on HuBA-1-3D VH1. As shown in Figure 6, a total of 23 amino acids (amino acid numbers 5, 9, 11, 12, 13, 16, 20, 24, 38, 40, 41, 42, 43, 44, 73, 75, 82a, 82b, 83, 85, 87, 25 89 and 108 (which were assigned in accordance with the definitions of Kabat et al. (1991)) were different between the alignments of the amino acid sequences of HuBA-1-3D VH1 and mouse BA-1-3D VH. Hence, there was generated an expression vector for a mutant (pHuBA1-3D-1 mutant), in which the amino acids with these amino acid numbers in HuBA-1-3D VH1 were substituted with the corresponding amino acids in

mouse BA-1-3D VH.

It is to be noted that, with regard to amino acid numbers in the alignments shown in Figure 6, there are also assigned numbers that are similar to but are distinguished from 52 or 82 (e.g. 52a, 82a, etc.), such as 52 and 52a, 82 and 82a, 82b, 5 and 82c (this also applies to Figure 22). Accordingly, the amino acid numbers used in Figure 6 (and Figure 22) are different from the amino acid numbers in the amino acid sequences (SEQ ID NOS: 15, 35, 40, 67 and 73) of mature peptides of VH in each figure. Since the numbers of the substituted amino acids are indicated based on the descriptions of amino acid numbers in Figure 6 (and Figure 22) (e.g. T73K, etc.) in the present 10 specification and drawings, for example, the amino acid at position 73 in Figure 6 (and Figure 22) corresponds to the amino acid at position 74 in the amino acid sequences (SEQ ID NOS: 15, 35 and 40) of mature peptides of VH in Figures 1, 8 and 9 (the same applies to amino acids with other amino acid numbers or the amino acid numbers of VL).

Herein, each amino acid substitution mutant can be prepared from DNA 15 encoding it based on the common technical knowledge of a person skilled in the art regarding gene recombination technology. In order to prepare each substitution mutant, a mutation can be introduced into DNA by known methods such as a Kunkel method or a Gapped duplex method, using mutation introduction kits that utilize site-directed mutagenesis, such as GeneTailor<sup>TM</sup> Site-Directed Mutagenesis System (manufactured by 20 Invitrogen) or TaKaRa Site-Directed Mutagenesis System (Prime STAR<sup>(registered trademark)</sup> Mutagenesis Basal kit, Mutan<sup>(registered trademark)</sup>-Super Express Km, etc.; manufactured by Takara Bio Inc.). An expression vector for each substitution mutant can be prepared, for example, by introducing a mutation into DNA encoding HuBA-1-3D VH1 in a pHuBA1-3D-1 vector.

25 Figure 22 shows the names of the generated 23 types of HuBA-1-3D VH1 mutants (V5Q to T73K/T75S) and the amino acid sequences thereof (wherein only amino acids different from those in the amino acid sequence of HuBA-1-3D VH1 are shown).

Expression vectors for individual pHuBA-1-3D-1 mutants were each transfected into HEK293 cells, and then, using a culture supernatant, the binding activity

of each amino acid substitution antibody to hDlk-1 was measured by the third format of ELISA (that is, ELISA in which a low concentration of hDlk-1-His (0.05 µg/ml) was coated on the plate). Among the 23 types of HuBA-1-3D VH1 mutants, a T73K mutant (HuBA-1-3D-1-T73K) in which the threonine (T) with amino acid number 73 was substituted with lysine (K) was found to recover its antigen-binding activity, partially but apparently. In addition, an A24G mutant (HuBA-1-3D-1-A24G) in which the alanine (A) with amino acid number 24 was substituted with glycine (G) was also found to recover its antigen-binding activity (Figure 23). Other 21 types of mutants were not found to recover their antigen-binding activity, in comparison with HuBA-1-3D-1, or the recovered antigen-binding activity was only slightly observed.

Moreover, to recover the reduced avidity of HuBA-1-3D-1, a two-amino acid substitution (A24G/T73K), in which the amino acid substitution A24G was combined with the amino acid substitution T73K, was performed to generate a mutant (Figure 22). Furthermore, it had previously been reported that the 5<sup>th</sup> amino acid (V) and the 75<sup>th</sup> amino acid (T) are positioned close to the 73<sup>rd</sup> amino acid in the three-dimensional structure of a variable region, and that the 11<sup>th</sup> amino acid (V) is contained in a ball-and-socket joint between the VH and CH of a γ chain (Landolfi et al., J. Immunol. 166: 1748, 2001). Hence, mutants (V5Q/T73K, V11L/T73K and T73K/T75S), in which the 5<sup>th</sup>, 11<sup>th</sup> and 75<sup>th</sup> amino acids were substituted with other amino acids, as well as the T73K substitution, were also generated (Figure 22). These amino acid substitution mutants and the expression vectors therefor were prepared by the same method as that for preparation of the aforementioned 23 types of amino acid substitution mutants.

Expression vectors (pHuBA-1-3D-1-A24G/T73K, pHuBA-1-3D-1-V5Q/T73K, pHuBA-1-3D-1-V11L/T73K and pHuBA-1-3D-1-T73K/T75S) for the aforementioned 4 types of two-amino acid substitution mutants (HuBA-1-3D-1-A24G/T73K, HuBA-1-3D-1-V5Q/T73K, HuBA-1-3D-1-V11L/T73K and HuBA-1-3D-1-T73K/T75S) and the expression vectors pChBA-1-3D and pHuBA-1-3D-1 were each transfected into HEK293 cells, and then, using a culture supernatant, the binding activity of each amino acid substitution antibody to hDlk-1 was measured by the third format of ELISA (that is,

ELISA in which a low concentration of hDlk-1-His (0.05 µg/ml) was coated on the plate). As a result, among the aforementioned 4 types of mutants, the A24G/T73K mutant (HuBA-1-3D-1-A24G/T73K) exhibited a strong binding activity to hDlk-1-His, which was equivalent to ChBA-1-3D (Figure 23), and other 3 types of mutants hardly improved 5 from the T73K mutant (HuBA-1-3D-1-T73K) as a one-amino acid substitution mutant.

[Example 8]

Expression, purification and characterization of HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K

10 Expression vectors (pHuBA-1-3D-1-T73K and pHuBA-1-3D-1-A24G/T73K) for HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K as mutant antibodies were transfected into NS0 cells by the same method as that described in Example 4, so that a NS0 cell line (NS0-HuBA-1-3D-1-T73K 3E12) stably producing HuBA-1-3D-1-T73K and NS0 cell lines (NS0-HuBA-1-3D-1-A24G/T73K 2G3, NS0-HuBA-1-3D-1- 15 A24G/T73K 5C7 and NS0-HuBA-1-3D-1-A24G/T73K 5F9) stably producing HuBA-1-3D-1-A24G/T73K could be established. The established cell lines were adapted to a serum-free medium (Hybridoma SFM (Invitrogen)).

20 The sequences of the H chain and L chain of an antibody produced by each of these NS0 cell lines NS0-HuBA-1-3D-1-T73K 3E12, NS0-HuBA-1-3D-1-A24G/T73K 2G3, NS0-HuBA-1-3D-1-A24G/T73K 5C7 and NS0-HuBA-1-3D-1-A24G/T73K 5F9 were confirmed by cDNA sequencing that was the same method as that described in Example 4. The cDNA sequences of the H chain and L chain of HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K produced by the aforementioned NS0 cell lines were 25 complexly matched with the corresponding cDNA sequences of the vectors pHuBA-1-3D-1-T73K and pHuBA-1-3D-1-A24G/T73K, respectively (Figures 16, 24 and 25).

NS0-HuBA-1-3D-1-T73K 3E12 cells and NS0-HuBA-1-3D-1-A24G/T73K 2G3 cells were cultured in a Hybridoma SFM medium by the same method as that described in Example 5, and thereafter, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K were purified from each culture supernatant using a Protein A column.

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The purified HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K were subjected to SDS-PAGE under reduced conditions. As a result, an approximately 50-kDa H chain and an approximately 25-kDa L chain were confirmed (Figure 17), and the purity of each antibody was 95% or more.

5 Subsequently, the avidity (antigen-binding activity) of the purified ChBA-1-3D, HuBA-1-3D-1, HuBA-1-3D-1-T73K and HuBA-1-3D-1-A24G/T73K to an antigen was analyzed by the aforementioned third format of ELISA, in which hDlk-1-His was coated in a low concentration (0.05  $\mu$ g/ml) on a 96-well plate. As a result, the antigen-binding activity of HuBA-1-3D-1-T73K was stronger than that of HuBA-1-3D-1, but was weaker  
10 than that of the antibody ChBA-1-3D. On the other hand, the EC<sub>50</sub> value of HuBA-1-3D-A24G/T73K was 35.5 ng/ml, which was close to the EC<sub>50</sub> value of ChBA-1-3D (25.4 ng/ml). Thus, it was demonstrated that HuBA-1-3D-1-A24G/T73K had an improved avidity, which was reduced in HuBA-1-3D-1, and thus that HuBA-1-3D-1-A24G/T73K acquired an antigen-binding activity equivalent to that of ChBA-1-3D (Figure 26).

15

[Example 9]

Generation of NS0 cell line highly producing HuBA-1-3D-1-A24G/T73K

Transfection of the vector pHuBA-1-3D-1-A24G/T73K into NS0 cells, construction of a stable cell line, and adaptation of the cell line to a serum-free medium  
20 (Hybridoma SFM) were carried out in the same manners as those described in Example 4 and Example 8. NS0-HuBA-1-3D-1-A24G/T73K 8A3, one of the established NS0 cell lines highly producing the antibody HuBA-1-3D-1-A24G/T73K, was cultured at 37°C in 40 ml of a Hybridoma SFM medium containing 2 mM L-glutamine and 0.1% pluronic F-68 solution (Sigma) in a 250-ml plastic Erlenmeyer flask in a 5% CO<sub>2</sub> incubator, using a  
25 rotary shaker at a rotation number of 100 rpm.

At the time at which the cell density reached approximately  $2 \times 10^6$  cells/ml, 35 mg/ml Cell Boost 4 (HyClone) in an amount of 1/10 and a 0.1% pluronic F-68 solution were added to the medium. Two days later, 60 mg/ml Ultrafiltered Soy Hydrolysate (Irvine Scientific) diluted with a SFM4MAb medium (HyClone) in an amount of 1/10

and a 0.1% pluronic F-68 solution were further added to the medium, and the culture was continued until the percentage of living cells became 50% or less. The concentration of HuBA-1-3D-1-A24G/T73K in the culture supernatant was 73 µg/ml.

The sequences of the H chain and L chain of an antibody produced by NS0-5 HuBA-1-3D-1-A24G/T73K 8A3 cells were confirmed by cDNA sequencing that was the same method as that described in Example 4. The thus confirmed sequences were completely matched with the corresponding cDNA sequences of the vector pHuBA-1-3D-1-A24G/T73K (Figures 16 and 25).

10 [Example 10]

Examination of antigen-binding stability of HuBA-1-3-D-1-A24G/T73K

The antigen-binding stability of HuBA-1-3D-1-A24G/T73K as a mutant antibody was examined by an accelerated test in a liquid formulation and a preservation test in cynomolgus monkey plasma.

15 First, an accelerated test in a liquid formulation was carried out as follows. HuBA-1-3D-1-A24G/T73K was preserved in 3 types of buffers having different pH values at 40°C for 1 month. The used buffer was a solution containing 10 mM sodium glutamate (Wako), 262 mM D-sorbitol (Wako) and 0.05 mg/ml polysolvate 80 (Wako), and this solution was adjusted to have 3 types of pH values, namely, pH 4.0, 5.5 and 7.0. 20 The concentrations of the antibody in the buffers having different pH values were 0.977 mg/ml (pH 4.0), 0.996 mg/ml (pH 5.5) and 0.959 mg/ml (pH 7.0), and preservation was initiated at 40°C. After completion of the preservation, each sample was preserved at -80°C until the measurement of antigen-binding activity. In addition, as an activity standard product, a sample prepared by preserving at -80°C an antibody solution before 25 preservation at 40°C for 1 month was used. For the measurement of antigen-binding activity, FACS analysis and antigen-immobilized ELISA were carried out. The FACS analysis was carried out using HEK293-hDlk-1 cells prepared by allowing a full-length human Dlk-1 gene to stably express in HEK293 cells (Nakamura and Tajima, US2009/0326205 A1). The cells were removed from the culture dish by a treatment

with trypsin. To a cell suspension of the  $5 \times 10^5$  cells, 100  $\mu$ l of an antibody solution prepared by diluting the accelerated test sample or the activity standard product to a concentration of 10, 3, 1, 0.3 or 0.1  $\mu$ g/ml with a 10% FCS-containing medium was added as a primary antibody. The obtained mixture was incubated at 4°C for 20 minutes. Thereafter, the reaction product was washed with 1 ml of a 10% FCS-containing medium, and 100  $\mu$ l of a secondary antibody solution that contained a 2000-fold diluted biotin-labeled anti-human IgG Fc antibody (Rockland) and 500-fold diluted streptavidin-labeled PE (BD Pharmingen) was then added to the resultant. The obtained mixture was incubated at 4°C for 20 minutes, and the reaction product was then washed with 1 ml of a 10% FCS-containing medium again. Thereafter, the sample that contained the labeled cells was suspended in 1 ml of PBS containing 1% FCS and 2mM EDTA, and the obtained suspension was then analyzed using FACSCalibur (Becton Dickinson). As a result of the accelerated test at 40°C for 1 month, the samples exhibited an antigen-binding activity equivalent to that of the activity standard product preserved at -80°C in all of the examined buffers with 3 types of pH values (Figure 27A).

Moreover, the measurement of antigen-binding activity was carried out by antigen-immobilized ELISA. The antigen-immobilized ELISA was carried out as follows. A 96-well plate (BD FALCON) was coated with a recombinant protein of the hDlk-1 extracellular region (hDlk-1 His) that had been diluted with PBS to a concentration of 3  $\mu$ g/ml in an amount of 50  $\mu$ l/well (4°C, overnight). Thereafter, the plate was washed with a washing buffer (PBS containing 0.01% Tween 20), and a blocking buffer (PBS containing 2% skim milk and 0.05% Tween 20) was added in an amount of 200  $\mu$ l/well to the plate, so as to block it (room temperature, 1 hour). After the plate had been washed with a washing buffer, a test antibody was diluted with an ELISA buffer (PBS containing 1% skim milk and 0.025% Tween 20) to concentrations of 1, 0.1, 0.03, 0.01 and 0.001  $\mu$ g/ml, and each antibody solution was then added in an amount of 50  $\mu$ l/well to the plate (room temperature, 2 hours). Thereafter, the plate was washed with a washing buffer, and as a detection antibody, a HRP-labeled goat anti-human  $\kappa$  chain antibody (Southern Biotech) that had been 2,000-fold diluted with an

ELISA buffer was then added in an amount of 50  $\mu$ l/well to the plate (room temperature, 1 hour). The plate was washed with a washing buffer, and TMB (3,3',5,5'-tetramethylbenzidine; SIGMA) was then added as a substrate solution in an amount of 50  $\mu$ l/well to the plate to perform a color reaction. 1 M sulfuric acid was added in an amount of 25  $\mu$ l/well to the plate to terminate the reaction. Thereafter, employing iMark Microplate reader (Bio Rad), the absorbance at 450 nm was measured using the absorbance at 655 nm as a reference. As a result, as with the results of the FACS analysis, a decrease in the activity due to preservation at 40°C for 1 month in the buffers with the 3 different types of pH values was not observed (Figure 27B).

10 From these results, it became clear that HuBA-1-3D-1-A24G/T73K retains a stable antigen-binding activity in a liquid formulation.

Next, the antigen-binding activity of HuBA-1-3D-1-A24G/T73K in cynomolgus monkey plasma was examined by antigen-immobilized ELISA. The used cynomolgus monkey plasma was heparin-treated, pooled plasma, which was purchased 15 from Japan SLC, Inc. Then, the cynomolgus monkey plasma was preserved at -80°C before use. When used, the thawed cynomolgus monkey plasma was centrifuged with a small centrifuge (Beckman) at 12,000 rpm for 5 minutes, and the obtained supernatant was then used. A sample to be used in antigen-immobilized ELISA was prepared as follows. HuBA-1-3D-1-A24G/T73K was mixed with cynomolgus monkey plasma to 20 prepare a solution of 10 $\mu$ g/ml HuBA-1-3D-1-A24G/T73K, and the solution was then incubated at 37°C for 1, 6, 24, 48 hours and 7 days. The samples that had been incubated for different time periods were preserved at -80°C before measurement. As an activity standard product, a sample immediately after being prepared as a 10 $\mu$ g/ml HuBA-1-3D-1-A24G/T73K solution was used. Upon the measurement of antigen- 25 binding activity, the thawed measurement samples were each centrifuged with a small centrifuge (Beckman) at 12,000rpm for 5 minutes, and the obtained supernatants were then used. Antigen-immobilized ELISA was carried out as follows. A 96-well plate (BD FALCON) was coated with a recombinant protein (hDlk-1 His) of the hDlk-1 extracellular region that had been diluted with PBS to a concentration of 3  $\mu$ g/ml in an

amount of 50  $\mu$ l/well (4°C, overnight). Thereafter, the plate was washed with a washing buffer (PBS containing 0.05% Tween 20), and a blocking buffer (PBS containing 1% casein) was added in an amount of 200  $\mu$ l/well to the plate, so as to block it (room temperature, 1 hour). After the plate had been washed with a washing buffer, 5 the measurement sample was diluted with a blocking buffer to a concentration of 0.1  $\mu$ g/ml, and the diluted solution was then added in an amount of 50  $\mu$ l/well to the plate (room temperature, 1 hour). Thereafter, the plate was washed with a washing buffer, and for detection of HuBA-1-3D-1-A24G/T73K, a HRP-labeled goat anti-human  $\kappa$  chain antibody (Southern Biotech) that had been 2,000-fold diluted with a blocking buffer was 10 added in an amount of 50  $\mu$ l/well to the plate (room temperature, 1 hour). The plate was washed with a washing buffer, and TMB (3,3',5,5'-tetramethylbenzidine; SIGMA) was then added as a substrate solution in an amount of 50  $\mu$ l/well to the plate to perform a color reaction. 1 M sulfuric acid was added in an amount of 25  $\mu$ l/well to the plate to terminate the reaction. Thereafter, employing iMark Microplate reader or Microplate 15 reader Model 550 (Bio Rad), the absorbance at 450 nm was measured using the absorbance at 655 nm as a reference. As a result, a significant decrease in the antigen-binding activity was not observed in HuBA-1-3D-1-A24G/T73K even after incubation for 7 days (Figure 28). Accordingly, it was demonstrated that HuBA-1-3D-1- A24G/T73K can retain a stable antigen-binding activity in cynomolgus monkey plasma. 20 These results suggested that HuBA-1-3D-1-A24G/T73K could retain a stable antigen-binding activity also in human plasma (in human blood).

[Example 11]

Anti-tumor activity of humanized anti-human Dlk-1 antibody (HuBA-1-3D-1-A24G/T73K) *in vivo* (This title is also applied to Examples 11 to 16.)  
< Anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models of human hepatocellular carcinoma HepG2 cells >

The anti-tumor activity of HuBA-1-3D-1-A24G/T73K *in vivo* was examined with xenograft treatment models using human hepatocellular carcinoma HepG2 cells, in

which hDlk-1 was endogenously expressed on the cell surface thereof.

HepG2 cells ( $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice (Day 0). Nine days after the transplantation (Day 9), when the mean tumor volume reached about  $100 \text{ mm}^3$ , the mice 5 were divided into a control group (PBS administration group,  $N = 8$ ,  $96.6 \pm 11.0 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group ( $N = 8$ ,  $96.2 \pm 8.5 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (5mg/kg body weight) administration group ( $N = 8$ ,  $96.3 \pm 8.6 \text{ mm}^3$ ), and a HuBA-1-3D-1-A24G/T73K (10 mg/kg body weight) administration group ( $N = 8$ ,  $96.2 \pm 8.5 \text{ mm}^3$ ). From the same day, the antibody was 10 intraperitoneally administered to the mice at intervals of once every 3 days.

As a result, on the 23<sup>rd</sup> day after transplantation of cancer cells (Day 23), the tumor volume was  $900.1 \pm 248.6 \text{ mm}^3$  in the control group, whereas an extremely high anti-tumor activity (tumor formation-inhibiting activity) was observed in all of the HuBA-1-3D-1-A24G/T73K administration groups with different doses. That is, the 15 tumor volume was  $93.4 \pm 47.3 \text{ mm}^3$  in the 1 mg/kg body weight administration group (inhibitory rate: 89.6%,  $P < 0.01$  by Student's t-test), it was  $102.6 \pm 39.7 \text{ mm}^3$  in the 5 mg/kg body weight administration group (inhibitory rate: 88.6%,  $P < 0.01$  by Student's t-test), and it was  $140.6 \pm 55.0 \text{ mm}^3$  in the 10 mg/kg body weight administration group (inhibitory rate: 84.4 %,  $P < 0.01$  by Student's t-test) (Figure 29A).

20 Likewise, with regard to the tumor weight on the 23<sup>rd</sup> day (Day 23) after transplantation of cancer cells as well, the tumor weight was  $0.440 \pm 0.105 \text{ g}$  in the control group, whereas an extremely high anti-tumor activity (tumor formation-inhibiting activity) was observed in all of the HuBA-1-3D-1-A24G/T73K administration groups with different doses. That is, the tumor weight was  $0.030 \pm 0.026 \text{ g}$  in the HuBA-1-3D- 25 1-A24G/T73K (1 mg/kg body weight) administration group (inhibitory rate: 93.2%,  $P < 0.01$  by Student's t-test), it was  $0.042 \pm 0.026 \text{ g}$  in the 5 mg/kg body weight administration group (inhibitory rate: 90.5%,  $P < 0.01$  by Student's t-test), and it was  $0.065 \pm 0.039 \text{ g}$  in the 10 mg/kg body weight administration group (inhibitory rate: 85.1 %,  $P < 0.01$  by Student's t-test) (Figure 29B).

## [Example 12]

< Anti-tumor activity of HuBA-1-3D-1-A24G/T73K on xenograft treatment models of human neuroblastoma SK-N-F1 cells >

5 The anti-tumor activity of HuBA-1-3D-1-A24G/T73K *in vivo* was examined with Xenograft treatment models using human neuroblastoma SK-N-F1 cells, in which hDlk-1 was endogenously expressed on the cell surface thereof.

SK-N-F1 cells (approximately  $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice (Day 0). Thirteen days 10 after the transplantation (Day 13), when the mean tumor volume reached about  $100 \text{ mm}^3$ , the mice were divided into a control group (PBS administration group, N = 8,  $91.7 \pm 18.3 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group (N = 10,  $91.9 \pm 16.9 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (5mg/kg body weight) administration group (N = 15,  $91.5 \pm 16.5 \text{ mm}^3$ ), and a HuBA-1-3D-1-A24G/T73K (10 mg/kg body weight) administration group (N = 8,  $90.2 \pm 11.7 \text{ mm}^3$ ). From the same day, the antibody was intraperitoneally administered to the mice at intervals of once every 3 days.

As a result, on the 34<sup>th</sup> day after transplantation of cancer cells (Day 34), the tumor volume was  $1231.6 \pm 411.1 \text{ mm}^3$  in the control group, whereas a dose-dependent anti-tumor activity (tumor formation-inhibiting activity) was observed in the HuBA-1-20 3D-1-A24G/T73K administration groups. That is, the tumor volume was  $713.6 \pm 343.8 \text{ mm}^3$  in the 1 mg/kg body weight administration group (inhibitory rate: 42.1%, P < 0.05 by Student's t-test), it was  $317.0 \pm 160.6 \text{ mm}^3$  in the 5 mg/kg body weight administration group (inhibitory rate: 74.3%, P < 0.01 by Student's t-test), and it was  $189.0 \pm 104.0 \text{ mm}^3$  in the 10 mg/kg body weight administration group (inhibitory rate: 84.7 %, P < 0.01 by 25 Student's t-test) (Figure 30A).

Likewise, with regard to the tumor weight on the 34<sup>th</sup> day (Day 34) after transplantation of cancer cells as well, the tumor weight was  $0.584 \pm 0.213 \text{ g}$  in the control group, whereas a dose-dependent anti-tumor activity (tumor formation-inhibiting activity) was observed in the HuBA-1-3D-1-A24G/T73K administration groups. That

is, the tumor weight was  $0.379 \pm 0.183$  g in the HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group (inhibitory rate: 64.8%), it was  $0.165 \pm 0.115$  g in the 5 mg/kg body weight administration group (inhibitory rate: 71.8%,  $P < 0.01$  by Student's t-test), and it was  $0.093 \pm 0.059$  g in the 10 mg/kg body weight administration group 5 (inhibitory rate: 84.1 %,  $P < 0.01$  by Student's t-test) (Figure 30B).

[Example 13]

< Evaluation of drug efficacys of low-dose HuBA-1-3D-1-A24G/T73K on xenograft treatment models of human hepatocellular carcinoma HepG2 cells, and comparison with 10 drug efficacys of existing anticancer agent >

The anti-tumor activity of HuBA-1-3D-1-A24G/T73K *in vivo* was examined with xenograft treatment models using human hepatocellular carcinoma HepG2 cells, in which hDlk-1 was endogenously expressed on the cell surface thereof. At the same time, HuBA-1-3D-1-A24G/T73K was compared with the existing "Nexavar" (sorafenib 15 tosylate tablets, Bayer) approved as a therapeutic agent for liver cancer, in terms of anti-tumor activity.

HepG2 cells ( $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice (Day 0). Ten days after the transplantation (Day 10), when the mean tumor volume reached about  $100 \text{ mm}^3$ , the mice 20 were divided into a control group (PBS administration group,  $N = 8$ ,  $107.0 \pm 16.8 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (0.1 mg/kg body weight) administration group ( $N = 8$ ,  $108.0 \pm 13.9 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (0.5mg/kg body weight) administration group ( $N = 8$ ,  $107.9 \pm 10.5 \text{ mm}^3$ ), and a HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group ( $N = 8$ ,  $107.9 \pm 10.0 \text{ mm}^3$ ). From the same day, the 25 antibody was intraperitoneally administered to the mice at intervals of once every 3 days. In addition, with regard to a Nexavar (40 mg/kg body weight) administration group ( $N = 8$ ,  $107.7 \pm 9.7 \text{ mm}^3$ ) and a Nexavar (80 mg/kg body weight) administration group ( $N = 8$ ,  $107.9 \pm 9.6 \text{ mm}^3$ ) as well, from the same day, the agent was orally administered to the mice at a cycle consisting of 5 days a week of administration and 2 days a week of drug

withdrawal.

As a result, on the 28<sup>th</sup> day after transplantation of cancer cells (Day 28), the tumor volume was  $945.2 \pm 562.1 \text{ mm}^3$  in the control group, whereas it was  $219.4 \pm 182.8 \text{ mm}^3$  in the 0.5 mg/kg HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 5 76.8%, P < 0.01 by Student's t-test) and it was  $116.5 \pm 69.2 \text{ mm}^3$  in the 1 mg/kg HuBA- 1-3D-1-A24G/T73K administration group (inhibitory rate: 87.7%, P < 0.01 by Student's t-test) (Figure 31A). Thus, an extremely high anti-tumor activity was observed even at a low dose (0.5 mg/kg) in the cases of the HuBA-1-3D-1-A24G/T73K administration groups. The anti-tumor activity in the Nexavar administration groups was weaker than 10 that in the HuBA-1-3D-1-A24G/T73K administration groups. A significant anti-tumor activity was not observed in the 40 mg/kg Nexavar administration group ( $588.0 \pm 314.0 \text{ mm}^3$ ) in comparison with the control group, and it was  $384.1 \pm 190.4 \text{ mm}^3$  even in the 80 mg/kg Nexavar administration group (inhibitory rate: 59.4%, P < 0.05 by Student's t-test) (Figure 31B).

15 As an indicator of side effects, with regard to a change in the body weights of the mice after transplantation of cancer cells, the mean value of the body weights of the mice in each group at the time of the grouping (Day 10) was set at 100%, and an increase rate in the body weights of the mice in each group was examined over time until the 28<sup>th</sup> day (Day 28). In the control group, a decrease in the body weight of the mice was 20 observed with the growth of tumor ( $93.0 \pm 8.5\%$ , N = 8, Day 28). In the HuBA-1-3D-1- A24G/T73K administration groups, which exhibited anti-tumor effects, such a decrease in the body weights of the mice was not observed (0.5 mg/kg administration group: 99.0  $\pm 10.0\%$ , 1mg/kg administration group:  $100.0 \pm 4.2\%$ ). In the Nexavar administration groups, a decrease in the body weights was observed over time, and the body weight- 25 decreasing rate on Day 28 was  $83.0 \pm 5.2\%$  in the 40 mg/kg Nexavar administration group (N = 8, P < 0.01 by Student's t-test), and it was  $80.0 \pm 7.7\%$  in the 80 mg/kg Nexavar administration group (N = 7, P < 0.05 by Student's t-test) (Figure 31C). From the above results, it became clear that the antibody HuBA-1-3D-1-A24G/T73K has an activity of almost completely inhibiting the growth of tumor even it is administered at a

low dose such as 0.5 mg/kg body weight. Moreover, it also became clear that the antibody HuBA-1-3D-1-A24G/T73K exhibits a strong anti-tumor activity when compared with Nexavar, an existing therapeutic agent for liver cancer, and does not cause side effects.

5

[Example 14]

< Evaluation of drug efficacys of HuBA-1-3D-1-A24G/T73K on xenograft treatment models of human hepatocellular carcinoma HepG2/C3A cells >

The anti-tumor activity of HuBA-1-3D-1-A24G/T73K on liver cancer was  
10 examined with xenograft treatment models using human hepatocellular carcinoma HepG2/C3A cells (ATCC, Cat#CRL-10741).

HepG2/C3A cells ( $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice (Day 0). Ten days after the transplantation (Day 10), when the mean tumor volume reached  $100 \text{ mm}^3$ , the mice were  
15 divided into a control group (PBS administration group, N = 8,  $120.8 \pm 22.6 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (0.1 mg/kg body weight) administration group (N = 8,  $120.4 \pm 18.4 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (0.5 mg/kg body weight) administration group (N = 8,  $120.1 \pm 18.8 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group (N = 8,  $120.3 \pm 18.8 \text{ mm}^3$ ), and a HuBA-1-3D-1-A24G/T73K (5  
20 mg/kg body weight) administration group (N = 8,  $120.6 \pm 21.0 \text{ mm}^3$ ). From the same day, the antibody was intraperitoneally administered to the mice at intervals of once every 3 days.

As a result, on the 26<sup>th</sup> day after transplantation of cancer cells (Day 26), the tumor volume was  $637.6 \pm 353.9 \text{ mm}^3$  in the control group (N = 8), whereas a  
25 statistically significant anti-tumor activity was observed in the 1 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group and the 5 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group. The tumor volume was  $132.9 \pm 266.1 \text{ mm}^3$  in the 1 mg/kg body weight administration group (inhibitory rate: 79.2%, N = 8, P < 0.01 by Student's t-test), and it was  $128.0 \pm 75.6 \text{ mm}^3$  in the 5 mg/kg body weight

administration group (inhibitory rate: 79.9%, N = 8, P < 0.01 by Student's t-test) (Figure 32A).

Likewise, with regard to the tumor weight on the 26<sup>th</sup> day (Day 26) after transplantation of cancer cells as well, the tumor weight was  $0.624 \pm 0.381$  g in the 5 control group, whereas it was  $0.107 \pm 0.117$  g in the 1 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 82.9%, P < 0.01 by Student's t-test), and it was  $0.079 \pm 0.056$  g in the 5 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 87.3 %, P < 0.01 by Student's t-test), and thus, an extremely strong anti-tumor activity was confirmed (Figure 32B).

10

[Example 15]

< Evaluation of drug efficacys of HuBA-1-3D-1-A24G/T73K on xenograft treatment models of human small cell lung cancer Lu-135 cells >

The anti-tumor activity of HuBA-1-3D-1-A24G/T73K on small cell lung cancer was examined with xenograft treatment models using human small cell lung cancer Lu-135 cells (purchased from the Health Science Research Resources Bank, the Japan Health Sciences Foundation, Cat#JCRB0170), in which hDlk-1 was endogenously expressed on the cell surface thereof.

Lu-135 cells ( $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice (Day 0). Ten days after the transplantation (Day 10), when the mean tumor volume reached about  $100 \text{ mm}^3$ , the mice were divided into a control group (PBS administration group, N = 8,  $100.9 \pm 12.7 \text{ mm}^3$ ), a HuBA-1-3D-1-A24G/T73K (1 mg/kg body weight) administration group (N = 8,  $100.6 \pm 8.1 \text{ mm}^3$ ), and a HuBA-1-3D-1-A24G/T73K (10 mg/kg body weight) administration group (N = 8,  $102.9 \pm 12.0 \text{ mm}^3$ ). From the same day, the antibody was intraperitoneally administered to the mice at intervals of once every 3 days. As a result, on the 34<sup>th</sup> day after transplantation of cancer cells (Day 34), the tumor volume was  $972.7 \pm 266.8 \text{ mm}^3$  in the control group, whereas it was  $631.9 \pm 218.9 \text{ mm}^3$  in the 1 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate:

35.0%,  $P < 0.05$  by Student's t-test), and it was  $582.3 \pm 220.4 \text{ mm}^3$  in the 10 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 40.1%,  $P < 0.05$  by Student's t-test). Thus, a statistically significant anti-tumor activity was confirmed in the HuBA-1-3D-1-A24G/T73K administration groups (Figure 33A).

5        Likewise, with regard to the tumor weight on the 34<sup>th</sup> day (Day 34) after transplantation of cancer cells as well, the tumor weight was  $0.632 \pm 0.177 \text{ g}$  in the control group, whereas it was  $0.429 \pm 0.161 \text{ g}$  in the 1 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 32.1%,  $P < 0.05$  by Student's t-test), and it was  $0.420 \pm 0.178 \text{ g}$  in the 10 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group (inhibitory rate: 33.5 %,  $P < 0.05$  by Student's t-test).  
10      Thus, a statistically significant anti-tumor activity was confirmed in the HuBA-1-3D-1-A24G/T73K administration groups (Figure 33B).

[Example 16]

15      < Induction of apoptosis in cancer cells by administration of HuBA-1-3D-1-A24G/T73K in xenograft treatment models of human hepatocellular carcinoma HepG2 cells >

Next, with regard to the action mechanism of anti-tumor activity exhibited by HuBA-1-3D-1-A24G/T73K, the apoptosis of cancer cells in xenograft tumors after administration of the antibody was examined by a TUNEL method and an  
20      immunohistostaining method using an anti-Cleaved Caspase-3 antibody.

HepG2 cells ( $5 \times 10^6$  cells) were transplanted into the subcutis of the right flank of each of 7-week-old female NOD-scid mice. When the mean tumor volume reached  $200 \text{ mm}^3$ , the mice were divided into a control group (PBS administration group) and a HuBA-1-3D-1-A24G/T73K administration group (5 mg/kg body weight). Forty-  
25      eight hours after administration of PBS, xenograft tumors were recovered from the control group ( $N = 3$ ). Twenty-four and forty-eight hours after administration of the antibody, xenograft tumors were recovered from the HuBA-1-3D-1-A24G/T73K administration group ( $N = 3$  in each time). The thus recovered xenograft tumors were embedded in O.C.T Compound (Tissue-Tek<sup>TM</sup> O.C.T. Compound, Funakoshi), and frozen

blocks were then prepared under liquid nitrogen. Frozen sections of the xenograft tumors were produced in a cryostat, and the apoptosis of the cancer cells were detected by the TUNEL method in accordance with a method described in TumorTACS™ *In Situ* Apoptosis Detection Kit (Trevigen, 4815-30-K).

5 The prepared frozen sections were fully air-dried at room temperature, and were then rehydrated with an ethanol series, followed by immobilization with PBS containing 3.7% formaldehyde (Wako, 064-00406). The resultant was washed with PBS at room temperature for 5 minutes twice, and was then permeabilized with Cytonin (Trevigen, 4876-05-01). Thereafter, the resultant was washed with distilled water at 10 room temperature for 2 minutes twice, and was then treated with a solution prepared by adding a hydrogen peroxide solution (Wako, 081-04215) to methanol to a final concentration of 3% at room temperature for 5 minutes, so as to remove endogenous peroxidase. Thereafter, the residue was washed with PBS at room temperature for 1 minute, and was then pre-treated with a solution prepared by 10-fold diluting 10 × TdT 15 Labeling Buffer (Trevigen, 4810-30-02) with distilled water (hereinafter referred to as a "1 × TdT Labeling Buffer"). The resultant was allowed to react with Labeling Reaction Mix produced by mixing TdT dNTP Mix (Trevigen, 4810-30-04), 50 × Mn<sup>2+</sup> (Trevigen, 4810-30-14), TdT Enzyme (Trevigen, 4810-30-05) and 1 × TdT Labeling Buffer, in accordance with an instruction manual included with TumorTACS™ *In Situ* Apoptosis 20 Detection Kit at 37°C for 1 hour, and biotin-labeled dNTP was added to fragmented DNA. Subsequently, the reaction mixture was allowed to react with a solution prepared by 10-fold diluting 10 × Stop Buffer (Trevigen, 4810-30-03) with distilled water at room temperature for 5 minutes, so as to terminate the labeling reaction. Thereafter, the reaction mixture was washed with PBS at room temperature for 2 minutes twice, and was 25 then allowed to react with a solution prepared by 50-fold diluting Strep-HRP (Trevigen, 4800-30-06) with PBS at room temperature for 10 minutes, thereby forming an ABC complex. The thus obtained ABC complex was washed with PBS at room temperature for 2 minutes twice, and color development was then carried out using a DAB solution prepared by mixing PBS, DAB (Trevigen, 4800-30-09) and a 30% hydrogen peroxide

solution in accordance with an instruction manual included with TumorTACS<sup>TM</sup> *In Situ* Apoptosis Detection Kit. After confirmation of the color development, the reaction mixture was washed with deionized water for 2 minutes 4 times, and the nucleus was then stained with 1% Methyl Green (Trevigen, 4800-30-18). Thereafter, the resultant 5 was dehydrated with ethanol, was then penetrated with xylene, and was then mounted in Entellan New (MERCK, 1079610100), followed by observation under a microscope. A tissue section, in which 10% or more of all cancer cells were stained in the tissue section, was defined as a positive section.

As a result, in xenograft tumors in the control group (PBS administration group, 10 N = 3), cancer cells, in which TUNEL-positive apoptosis was induced, were not observed. In contrast, in the 5 mg/kg body weight HuBA-1-3D-1-A24G/T73K administration group, 24 hours after administration of the antibody, cancer cells in which TUNEL-positive apoptosis was induced were observed. Forty-eight hours after administration of the antibody, such apoptosis was observed in 30% or more of all cancer 15 cells in all of the three cases (Figure 34A).

Likewise, the apoptosis of cancer cells in xenograft tumors was examined by immunostaining with activated caspase-3. Frozen sections were fixed by treatment with PBS containing 4% Paraformaldehyde (Wako, 160-16061) at 4°C for 15 minutes. The resultant was washed with PBS at room temperature for 5 minutes twice, and was then 20 treated at room temperature for 10 minutes with a solution prepared by adding a hydrogen peroxide solution (Wako, 081-04215) to methanol to a final concentration of 3%, so as to remove endogenous peroxidase. Thereafter, the resultant was washed with PBS at room temperature for 5 minutes twice, and was then blocked with PBS containing 1.5% normal goat serum (Vector, S-1000) (for 1 hour at room temperature).

25 Subsequently, the resultant was allowed to react with an anti-Cleaved Caspase-3 antibody (Cell Signaling Technology, cat# 9661) that had been 600-fold diluted with a blocking buffer at 4°C overnight, and was then allowed to react with ChemMate<sup>TM</sup> EnVision polymer reagent (DAKO, K5027) at room temperature for 30 minutes. Thereafter, the resultant was washed with PBS at room temperature for 5 minutes three

times, and color development was then carried out using Histofine Peroxidase Substrate Simple Stain DAB solution (Nichirei Bioscience, 415171). The resultant was washed with deionized water for 5 minutes, and the nucleus was then stained with Mayer's Hematoxylin Solution (Wako, 131-09665). Thereafter, the resultant was dehydrated 5 with ethanol, was then penetrated with xylene, and was then mounted in Entellan New (MERCK, 1079610100), followed by observation under a microscope. A tissue section, in which 10% or more of all cancer cells were stained in the tissue section, was defined as a positive section.

As a result, in xenograft tumors in the control group (PBS administration group, 10 N = 3), activated caspase-3 was not detected. In contrast, in the HuBA-1-3D-1-A24G/T73K (5 mg/kg body weight) administration group, 24 hours after administration of the antibody, activated caspase-3-positive apoptosis was induced in cancer cells in 2 out of 3 cases, and 48 hours after administration of the antibody, such induction of activated caspase-3-positive apoptosis in cancer cells was observed in all of the 3 cases. 15 In particular, in the xenograft tumors 48 hours after administration of the antibody, cell death caused by activated caspase-3-positive apoptosis was observed in 80% or more of all cancer cells (Figure 34B).

From the above results, it became clear that HuBA-1-3D-1-A24G/T73K induces cell death caused by apoptosis in hepatocellular carcinoma HepG2 cells, and it 20 was demonstrated that this is at least one of the action mechanisms of the anti-tumor activity of HuBA-1-3D-1-A24G/T73K.

#### INDUSTRIAL APPLICABILITY

According to the present invention, there can be provided anti-hDlk-1 25 antibodies having an anti-tumor activity, specifically, anti-hDlk-1 monoclonal antibodies having a significant anti-tumor activity *in vivo* even by administration of antibodies alone, and particularly, the aforementioned antibodies, which are humanized antibodies. In addition, among the humanized antibodies, the present invention can provide amino acid substitution type humanized anti-hDlk-1 monoclonal antibodies, which have been

modified to have a higher avidity (antigen-binding activity).

Moreover, the present invention can provide hybridomas that produce the aforementioned antibodies, and a complex of the aforementioned antibodies and various types of agents.

5 Furthermore, the present invention can also provide a pharmaceutical composition for diagnosing or treating a tumor, a pharmaceutical composition for inducing apoptosis in tumor cells, a tumor therapeutic agent, a tumor diagnostic agent, an agent for inducing apoptosis in tumor cells, a method for treating a tumor, a method for detecting a tumor, a kit for detecting or diagnosing a tumor and a kit for inducing 10 apoptosis in tumor cells, each of which comprises the aforementioned antibody, the aforementioned complex or the like.

#### SEQUENCE LISTING FREE TEXT

SEQ ID NOS: 3 to 11	Synthetic DNAs
15 SEQ ID NO: 26	Recombinant DNA
SEQ ID NO: 27	Recombinant DNA
SEQ ID NO: 32	Recombinant DNA
SEQ ID NO: 33	Recombinant protein
SEQ ID NO: 34	Recombinant DNA
20 SEQ ID NO: 35	Recombinant protein
SEQ ID NO: 36	Recombinant DNA
SEQ ID NO: 37	Recombinant DNA
SEQ ID NO: 38	Recombinant protein
SEQ ID NO: 39	Recombinant DNA
25 SEQ ID NO: 40	Recombinant protein
SEQ ID NO: 41	Recombinant DNA
SEQ ID NO: 42	Recombinant DNA
SEQ ID NO: 43	Recombinant protein
SEQ ID NO: 44	Recombinant DNA

	SEQ ID NO: 45	Recombinant protein
	SEQ ID NO: 46	Recombinant DNA
	SEQ ID NOS: 47 to 51	Synthetic DNAs
	SEQ ID NO: 52	Recombinant DNA
5	SEQ ID NO: 53	Recombinant protein
	SEQ ID NO: 54	Recombinant DNA
	SEQ ID NO: 55	Recombinant protein
	SEQ ID NO: 56	Recombinant DNA
	SEQ ID NO: 57	Recombinant protein
10	SEQ ID NO: 58	Recombinant DNA
	SEQ ID NO: 59	Recombinant protein
	SEQ ID NO: 60	Recombinant DNA
	SEQ ID NO: 61	Recombinant protein
	SEQ ID NO: 62	Recombinant DNA
15	SEQ ID NO: 63	Recombinant protein
	SEQ ID NO: 64	Recombinant DNA
	SEQ ID NO: 65	Recombinant protein
	SEQ ID NO: 66	Recombinant DNA
	SEQ ID NO: 67	Recombinant protein
20	SEQ ID NO: 68	Recombinant DNA
	SEQ ID NO: 69	Recombinant protein
	SEQ ID NO: 70	Recombinant DNA
	SEQ ID NO: 71	Recombinant protein
	SEQ ID NO: 72	Recombinant DNA
25	SEQ ID NO: 73	Recombinant protein
	SEQ ID NO: 74	Recombinant DNA
	SEQ ID NO: 75	Recombinant protein
	SEQ ID NO: 76	Recombinant DNA
	SEQ ID NO: 77	Recombinant protein

	SEQ ID NO: 78	Recombinant DNA
	SEQ ID NO: 79	Recombinant protein
	SEQ ID NO: 80	Recombinant DNA
	SEQ ID NO: 81	Recombinant protein
5	SEQ ID NO: 82	Recombinant DNA
	SEQ ID NO: 83	Recombinant protein
	SEQ ID NO: 84	Recombinant DNA
	SEQ ID NO: 85	Recombinant protein
	SEQ ID NO: 86	Recombinant DNA
10	SEQ ID NO: 87	Recombinant protein
	SEQ ID NO: 88	Recombinant DNA
	SEQ ID NO: 89	Recombinant protein

SEQUENCE LISTING IN ELECTRONIC FORM

In accordance with Section 111(1) of the Patent Rules, this description contains a sequence listing in electronic form in ASCII text format (file: 30179-239 Seq 23-03-2015 v1.txt).

A copy of the sequence listing in electronic form is available from the Canadian Intellectual Property Office.

CLAIMS:

1. An antibody that binds specifically to human Dlk-1, wherein the amino acid sequence of the H chain V region comprises the amino acid sequence as shown in any one of SEQ ID NOS: 35, 40, 69, 73, 77, 81, 85 and 89, and the amino acid sequence of the L chain V region 5 comprises the amino acid sequence as shown in SEQ ID NO: 45.
2. The antibody according to claim 1, which has an anti-tumor activity *in vivo*.
3. The antibody according to claim 2, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.
- 10 4. The antibody according to any one of claims 1 to 3, which is a humanized antibody.
5. The antibody according to any one of claims 1 to 4, which is a monoclonal antibody.
6. The antibody according to any one of claims 1 to 5, which binds to at least a portion of a region comprising amino acids at positions 24 to 91 in the amino acid sequence of human Dlk-1 as shown in SEQ ID NO: 2.
- 15 7. An antibody fragment of the antibody according to any one of claims 1 to 6, which fragment comprises both the amino acid sequence as shown in any one of SEQ ID NOS: 35, 40, 69, 73, 77, 81, 85 and 89 and the amino acid sequence as shown in SEQ ID NO: 45.
8. An antibody-agent complex, which comprises the antibody according to any one of claims 1 to 6 and a compound having an anti-tumor activity and/or a cell-killing activity.
- 20 9. An antibody fragment-agent complex, which comprises the antibody fragment according to claim 7 and a compound having an anti-tumor activity and/or a cell-killing activity.
10. A pharmaceutical composition, which comprises a pharmacologically acceptable carrier and at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, and the complex according to 25 claim 8 or 9.

11. The composition according to claim 10, for use in the treatment of tumor.
12. The composition for use according to claim 11, which does not cause weight reduction as a side effect.
13. The composition according to claim 10, for use in the diagnosis of tumor.
- 5 14. The composition according to claim 10, for use in induction of apoptosis in tumor cells.
15. The composition for use according to any one of claims 11 to 14, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.
- 10 16. A tumor therapeutic agent, which comprises at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, and the complex according to claim 8 or 9.
17. The therapeutic agent according to claim 16, which does not cause weight reduction as a side effect.
- 15 18. The therapeutic agent according to claim 16 or 17, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.
19. An agent for inducing apoptosis in tumor cells, which comprises at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, and the complex according to claim 8 or 9.
- 20 20. The apoptosis-inducing agent according to claim 19, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.
- 25 21. A method for detecting a tumor, which comprises: allowing at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody

fragment according to claim 7, and the complex according to claim 8 or 9, to react with a sample collected from a living body; and detecting a signal(s) of the reacted antibody and/or antibody fragment.

22. A kit for treating, diagnosing, or detecting a tumor, which comprises at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, and the complex according to claim 8 or 9; and instructions for using the antibody, antibody fragment or complex for treating, diagnosing, or detecting the tumor.
23. A kit for inducing apoptosis in tumor cells, which comprises at least one type selected from the group consisting of the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, and the complex according to claim 8 or 9; and instructions for using the antibody, antibody fragment or complex for inducing apoptosis in tumor cells.
24. The kit according to claim 22 or 23, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.
25. Use of at least one of: the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, or the complex according to claim 8 or 9, for treating, diagnosing, or detecting a tumor.
26. Use of at least one of: the antibody according to any one of claims 1 to 6, the antibody fragment according to claim 7, or the complex according to claim 8 or 9, for inducing apoptosis in tumor cells.
27. The use according to claim 25 or 26, wherein the tumor is at least one type selected from the group consisting of human colon cancer, human breast cancer, human liver cancer, human pancreatic cancer, human small cell lung cancer and human neuroblastoma.

Fig. 1

ATGGGTTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGTGTGCACCTCCAG  
 M G W S C I I F F L V A T A T G V H S Q  
  
 GTCCAGCTGCAGCAGTCTGGGCCTGAGCTGGTGAGGCCTGGGTCTCAGTGAAGAGATTCC  
 V Q L Q Q S G P E L V R P G V S V K I S  
  
 TGCAAGGGTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTGAAGCAGAGTCAT  
 C K G S G Y T F T D Y A M H W V K Q S H  
  
 GCAAAGAGTCTAGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATACAAACTACAAC  
 A K S L E W I G V I S T Y Y G N T N Y N  
  
 CAGAAGTTAAGGCCAAGGCCACAATGACTGTAGACAAATCCTCCAGCACAGCCTATATG  
Q K F K G K A T M T V D K S S S T A Y M  
  
 GAACTTGCCAGATTGACATCTGAGGATTCTGCCATCTATTACTGTGCAAGAGGAGGATTA  
 E L A R L T S E D S A I Y Y C A R G G L  
  
 CGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCTCAGTCACCGTCTCCTCA  
R E Y Y A M D Y W G Q G T S V T V S S

Fig. 2

ATGGAATCACAGACCCAGGTCTCATGTTCTCTGCTCTGGTATCTGGTGCCTGTGCA  
 M E S Q T Q V L M F L L L W V S G A C A  
  
 GACATTGTGATGACACAGTCTCCATCCTCCCTGGCTATGTCAGTAGGACAGAAGGTCACT  
 D I V M T Q S P S S L A M S V G Q K V T  
    
  
 ATGAGCTGCAAGTCCAGTCAGAGCCTTTAAATAGTAGCAATCAAAAGAACTATTTGGCC  
 M S C K S S Q S L L N S S N Q K N Y L A  
  
 TGGTACCAGCAGAAACCAGGACAGTCTCCTAAACTCTGGTATACTTGCATCCACTAGG  
 W Y Q Q K P G Q S P K L L V Y F A S T R  
  
 GAATCTGGGTCCCTGATCGCTTCATAGGCAGTGGATCTGGACAGATTCACCTTACC  
 E S G V P D R F I G S G S G T D F T L T  
  
 ATCAGCAGTGTGCAGGCTGAAGACCTGGCAGATTACTCTGTCAGCAACATTATAGCACT  
 I S S V Q A E D L A D Y F C Q Q H Y S T  
  
 CCTCCCCACGTTGGTCTGGGACCAAGCTGGAGCTGAAA  
 P P T F G A G T K L E L K

Fig. 3

**SpeI**  
ACTAGTACCACCATGGGTTGGAGCTGTATCATCTTCTTCGGTAGCAACAGCTACAGGT  
M G W S C I I F F L V A T A T G

GTGCACTCCCAGGTCCAGCTGCAGCAGTCTGGGCCTGAGCTGGTGAGGCCTGGGTCTCA  
V H S Q V Q L Q Q S G P E L V R P G V S  
  

GTGAAGATTCCTGCAAGGGTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTG  
V K I S C K G S G Y T F T D Y A M H W V

AAGCAGAGTCATGCAAAGAGTCTAGAGTGGATTGGAGTTATTAGTACTTACTATGGTAAT  
K Q S H A K S L E W I G V I S T Y Y G N

ACAAACTACAACCAGAAGTTAAGGGCAAGGCCACAATGACTGTAGACAAATCCTCCAGC  
T N Y N Q K F K G K A T M T V D K S S S

ACAGCCTATATGGAACCTGCCAGATTGACATCTGAGGATTCTGCCATCTATTACTGTGCA  
T A Y M E L A R L T S E D S A I Y Y C A

AGAGGAGGATTACGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCTCAGTC  
R G G L R E Y Y A M D Y W G Q G T S V

**HindIII**  
ACCGTCTCCTCAGGTAAGAATGGCCTCTCAAGCTT  
T V S S

Fig. 4

## NheI

GCTAGCACCACCATGGAATCACAGACCCAGGTCTCATGTTCTTCTGCTCTGGGTATCT  
 M E S Q T Q V L M F L L L W V S

GGTGCCTGTGCAGACATTGTGATGACACAGTCTCCATCCTCCCTGGCTATGTCAGTAGGA  
 G A C A D I V M T Q S P S S L A M S V G

CAGAAGGTCACTATGAGCTGCAAGTCCAGTCAGAGCCTTTAAATAGTAGCAATCAAAAG  
 Q K V T M S C K S S Q S L L N S S N Q K

AACTATTGGCCTGGTACCAAGCAGAAACCAGGACAGTCTCCTAAACTCTGGTATACTTT  
 N Y L A W Y Q Q K P G Q S P K L L V Y F

GCATCCACTAGGAAATCTGGGTCCCTGATCGCTTCATAGGCAGTGGATCTGGACAGAT  
 A S T R E S G V P D R F I G S G S G T D

TTCACTCTTACCATCAGCAGTGTGCAGGCTGAAGACCTGGCAGATTACTCTGTCAGCAA  
 F T L T I S S V Q A E D L A D Y F C Q Q

CATTATAGCACTCCTCCCACGTTGGCTGGACCAAGCTGGAGCTGAAACGTAAGTAC  
 H Y S T P P T F G A G T K L E L K

**EcoRI**  
ACTTTCTGAATTC

Fig. 5

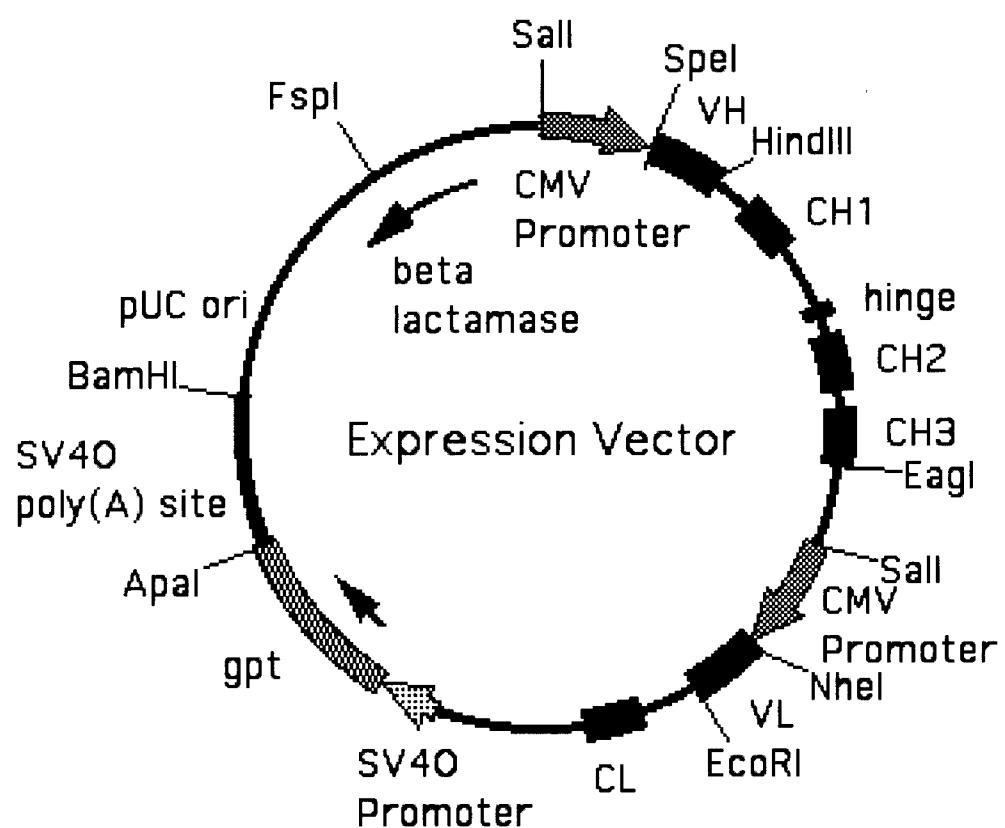


Fig. 6

	1	2	3	
BA-1-3D VH	123456789	0123456789	0123456789 0123456789	
HuBA-1-3D VH1	QVQLQQSGP	ELVRPGVSVK	ISCKGSGYTF TDYAMHWVKQ	
HuBA-1-3D VH2	QVQLVQSGA	EVKKPGASVK	VSCKASGYTF TDYAMHWVRQ	
U00503 VH	QVQLVQSGA	EVKKPGASVK	VSCKASGYTF TDYAMHWVRQ	
	QVQLVQSGA	EVKKPGASVK	VSCKASGYTF T-----WVRQ	
	4	5	6	7
BA-1-3D VH	0123456789	012223456789	0123456789 0123456789	
HuBA-1-3D VH1	APGQGLEWIG	VISTYYGNTNY	NQKFKGKATM	TVDKSSSTAY
HuBA-1-3D VH2	APGQGLEWIG	VISTYYGNTNY	NQKFKGKATM	TVDTSTSTAY
U00503 VH	APGQGLEWIG	VISTYYGNTNY	NQKFKGRATM	TVDTSTSTAY
	APGQGLEWMG	-----	-----	RVTM TTDTSTSTAY
	8	9	1	1
BA-1-3D VH	0122223456789	0123456789	00000123456789	0123
HuBA-1-3D VH1	abc	0	abcd	
HuBA-1-3D VH2	MELARLTSEDSAI	YYCARGGLRE	YYYAMDYWGQGTSV	TVSS
U00503 VH	MELRSLRSDDTAV	YYCARGGLRE	YYYAMDYWGQGTMV	TVSS
	MELRSLRSDDTAV	YYCARGGLRE	YYYAMDYWGQGTMV	TVSS
	MELRSLRSDDTAV	YYCAR-----	-----WGQGTMV	TVSS

Fig. 7

	1	2	3	
	123456789	0123456789	012345677777789	0123456789
			abcdef	
BA-1-3D VL	DIVMTQSPS	SLAMSVGQKV	TMSCKSSQSLLNSSNQ	KNYLAWYQQK
HuBA-1-3D VL	DIVMTQSPD	SLAVSLGERA	TINCKSSQSLLNSSNQ	KNYLAWYQQK
Z46622 VL	DIVMTQSPD	SLAVSLGERA	TINC-----	-----WYQQK
	4	5	6	7
	0123456789	0123456789	0123456789	0123456789
BA-1-3D VL	PGQSPKLLVY	<u>FASTRESGVP</u>	DRFIGSGSGT	DFTLTISSVQ
HuBA-1-3D VL	PGQPPKLLVY	FASTRESGVP	DRFSGSGSGT	DFTLTISSLQ
Z46622 VL	PGQPPKLLIY	-----GVP	DRFSGSGSGT	DFTLTISSLQ
	8	9	0	1
	0123456789	0123456789	01234567	
BA-1-3D VL	AEDLADYFCQ	<u>QHYSTPPPTFG</u>	AGTKLELK	
HuBA-1-3D VL	AEDVAVYYCQ	QHYSTPPPTFG	QGTKLEIK	
Z46622 VL	AEDVAVYYC-	-----FG	QGTKLEIKR	

Fig. 8

SpeI

ACTAGTACCACCATGGGTTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGC  
M G W S C I I F F L V A T A T G

GTGCACTCCCAAGTCCAGCTGGTGCAGTCTGGGCTGAAGTGAAGAACCTGGGCCTCA  
V H S Q V Q L V Q S G A E V K K P G A S

GTGAAAGTCTCCTGCAAGGCTTCCGGTACACATTCACTGATTATGCTATGCACTGGGTG  
V K V S C K A S G Y T F T D Y A M H W V

CGACAGGCCCTGGACAAGGCCTGGACTGGATTGGAGTTATTAGTACTTACTATGGTAAT  
R Q A P G Q G L E W I G V I S T Y Y G N

ACAAACTACAACCAAGAGTTAAGGGCAAGGCCACAATGACTGTCGACACATCCACCGC  
T N Y N Q K F K G K A T M T V D T S T S

ACAGCCTATATGGAACTTAGGAGCTTGAGATCTGACGATACTGCCGTGTATTACTGTGCA  
T A Y M E L R S L R S D D T A V Y Y Y C A

AGAGGAGGGATTGCGAGAGTATTACTATGCTATGGACTACTGGGGTCAAGGAACCATGGTC  
R G G L R E Y Y Y A M D Y W G Q O G T M V

HindIII  
ACCGTCTCCTCAGGTAAGATGGGCTTCCTAAGCTT  
T V S S

Fig. 9

## SpeI

ACTAGTACCACCATGGGTTGGAGCTGTATCATCTCTTCTGGTAGCAACAGCTACAGGC  
 M G W S C I I F F L V A T A T G

GTGCACTCCCAAGTCCAGCTGGTGCAGTCTGGGGCTGAAGTGAAGAACGCTGGGCCTCA  
 V H S Q V Q L V Q S G A E V K K P G A S

GTGAAAGTCTCCTGCAAGGCTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTG  
 V K V S C K A S G Y T F T D Y A M H W V

CGACAGGCCCTGGACAAGGCCTGGAGTGGATTGGAGTTATTAGTACTTACTATGGTAAT  
 R Q A P G Q G L E W I G V I S T Y Y G N

ACAAACTACAACCAGAACGTTAAGGCCGAGCCACAATGACTGTCGACACATCCACCAGC  
T N Y N Q K F K G R A T M T V D T S T S

ACAGCCTATATGGAACCTAGGAGCTTGAGATCTGACGATACTGCCGTGTATTACTGTGCA  
 T A Y M E L R S L R S D D T A V Y Y C A

AGAGGAGGATTGCGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCATGGTC  
R G G L R E Y Y A M D Y W G Q G T M V

## HindIII

ACCGTCTCCTCAGGTAAGATGGCTTCTAAGCTT  
 T V S S

Fig. 10

**NheI**

GCTAGCACCACCATGGAATCACAGACCCAGGTCTCATGTTCTTGCTCTGGTATCT  
 M E S Q T Q V L M F L L L W V S

GGTGCCTGTGCAGACATTGTCATGACACAGTCTCCAGACTCCCTGGCTGTGTCAGTGGGA  
 G A C A D I V M T Q S P D S L A V S L G  
GAGAGGGCCACTATCAACTGCAAGTCAGTCAGAGCCTCTGAATAGTAGCAATCAAAG  
 E R A T I N C K S S Q S L L N S S N Q K

AACTATTTGGCCTGGTACCAGCAGAAACCAGGACAGCCTCCTAAACTCTGGTCTACTTT  
N Y L A W Y Q Q K P G Q P P K L L V Y F

GCATCCACTAGGAATCTGGGTCCCTGATCGCTTCAGTGGCAGTGGATCTGGACAGAT  
A S T R E S G V P D R F S G S G S G T D

TTCACTCTTACCATCAGCAGTCTGCAGGCTGAAGATGTGGCAGTTACTACTGTCAGCAA  
 F T L T I S S L Q A E D V A V Y Y C Q Q

CATTATAGCACTCCTCCCACATTGGTCAGGGGACCAAGCTGGAGATCAAACGTAAGTAC  
H Y S T P P T F G Q G T K L E I K

**ECORI**

TTTTTTTTCGAATTC

## Fig. 11

CMV2	GAACCGTCAGATGCCCTGGAGACG
JNT026	TGAAAGATGAGCTGGAGGAC
JNT082	CTTCCTTGTCCACCTTGGTG
JNT097	GCTGTCCTACAGTCCTCAG
JNT098	ACGTGCCAAGCATCCTCG

Fig. 12

1 ATGGGTTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGTGTGCACTCCCAG  
 M G W S C I I F F L V A T A T G V H S Q  
 61 GTCCAGCTGCAGCAGTCTGGCCTGAGCTGGTGGAGGCCTGGGTCTCAGTGAAGATTTCC  
 V Q L Q Q S G P E L V R P G V S V K I S  
 121 TGCAAGGGTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTGAAGCAGAGTCAT  
 C K G S G Y T F T D Y A M H W V K Q S H  
 181 GCAAAGAGTCTAGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATAACAAACTACAAC  
 A K S L E W I G V I S T Y Y G N T N Y N  
 241 CAGAAGTTAAGGGCAAGGCCACAATGACTGTAGACAAATCCTCCAGCACAGCCTATATG  
 Q K F K G K A T M T V D K S S S T A Y M  
 301 GAACTTGCAGATTGACATCTGAGGATTCTGCCATCTATTACTGTGTCAAGAGGGAGGAGTA  
 E L A R L T S E D S A I Y Y C A R G G L  
 361 CGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCTCAGTCACCGTCTCCTCA  
 R E Y Y Y A M D Y W G Q G T S V T V S S  
 421 GCCTCCACCAAGGGCCATCGGTCTTCCCCCTGGCACCCCTCCAAAGAGCACCTCTGGG  
 A S T K G P S V F P L A P S S K S T S G  
 481 GGCACAGCGGCCCTGGCTGCCCTGGTCAAGGACTACTTCCCGAACCGGTGACGGTGTGCG  
 G T A A L G C L V K D Y F P E P V T V S  
 541 TGGAACTCAGGCCCTGACCAGCGCGTGCACACCTCCCGTGTCCCTACAGTCCTCA  
 W N S G A L T S G V H T F P A V L Q S S  
 601 GGACTCTACTCCCTCAGCAGCGTGGTACCGTGCCTCCAGCAGCTGGCACCCAGACC  
 G L Y S L S S V V T V P S S S L G T Q T  
 661 TACATCTGCAACGTGAATCACAAGCCAGCAACACCAAGGTGGACAAGAAAGTTGAGCCC  
 Y I C N V N H K P S N T K V D K K V E P  
 721 AAATCTGTGACAAAACACTCACACATGCCAACCGTGCCAGCACCTGAACCTGGGGGGGA  
 K S C D K T H T C P P C P A P E L L G G  
 781 CCGTCAGTCTTCCCTTTCCCCCAAAACCAAGGACACCCCTCATGATCTCCGGACCCCT  
 P S V F L F P P K P K D T L M I S R T P  
 841 GAGGTACATGCGTGGTGGACGTGAGCCACGAAGACCCCTGAGGTCAAGTTCAACTGG  
 E V T C V V V D V S H E D P E V K F N W  
 901 TACGTGGACGGCGTGGAGGTGCATAATGCCAACAGACAAAGCCGCGGGAGGAGCAGTACAAC  
 Y V D G V E V H N A K T K P R E E Q Y N  
 961 AGCACGTACCGTGTGGTCAGCGTCCCTCACCGTCTGCACCAGGACTGGCTGAATGGCAAG  
 S T Y R V V S V L T V L H Q D W L N G K  
 1021 GAGTACAAGTGCAGGTCTCCAACAAAGCCCTCCAGCCCCATCGAGAAAACCATCTCC  
 E Y K C K V S N K A L P A P I E K T I S  
 1081 AAAGCCAAAGGGCAGCCCCGAGAACACACAGGTGTACACCCCTGCCCAATCCCGGGATGAG  
 K A K G Q P R E P Q V Y T L P P S R D E  
 1141 CTGACCAAGAACCAAGGTCAAGCCTGACCTGCCTGGTCAAAGGCTTCTATCCAGCGACATC  
 L T K N Q V S L T C L V K G F Y P S D I  
 1201 GCCGTGGAGTGGAGAGCAATGGGAGCCGGAGAACAAACTACAAGACCACGCCCTCCCGTG  
 A V E W E S N G Q P E N N Y K T T P P V  
 1261 CTGGACTCCGACGGCTCCTCTTCTACAGCAAGCTCACCGTGGACAAGAGCAGGTGG  
 L D S D G S F F L Y S K L T V D K S R W  
 1321 CAGCAGGGAAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAAACCACTACACG  
 Q Q G N V F S C S V M H E A L H N H Y T  
 1381 CAGAAGAGCCTCTCCCTGTCTCCGGTAAATGA  
 Q K S L S L S P G K .

Fig. 13

```

1 ATGGAATCACAGACCCAGGTCTCATGTTCTGCTCTGGTATCTGGTGCCTGTGCA
    M E S Q T Q V L M F L L W V S G A C A
61 GACATTGTGATGACACAGTCTCCATCCTCCCTGGCTATGTCAGTAGGACAGAAGGTCACT
    D I V M T Q S P S S L A M S V G Q K V T
121 ATGAGCTGCAAGTCCAGTCAGAGCCTTTAAATAGTAGCAATCAAAGAACTATTTGGCC
    M S C K S S Q S L L N S S N Q K N Y L A
181 TGGTACCAAGCAGAAACCAGGACAGTCTCCTAAACTCTGGTATACTTGCATCCACTAGG
    W Y Q Q K P G Q S P K L L V Y F A S T R
241 GAATCTGGGGTCCCTGATCGCTTCATAGGCAGTGGATCTGGACAGATTCACTCTTACCC
    E S G V P D R F I G S G S G T D F T L T
301 ATCAGCAGTGTGCAGGCTGAAGACCTGGCAGATTACTCTGTCAAGAACATTATAGCACT
    I S S V Q A E D L A D Y F C Q Q H Y S T
361 CCTCCCACGTTGGCTGGGACCAAGCTGGAGCTGAAACGAACACTGTGGCTGCACCACCT
    P P T F G A G T K L E L K R T V A A P S
421 GTCTTCATCTTCCCCCATCTGATGAGCAGTTGAAATCTGGAACACTGCCTCTGTTGTGCG
    V F I F P P S D E Q L K S G T A S V V C
481 CTGCTGAATAACTCTATCCCAGAGAGGCCAAAGTACAGTGGAAAGGTGGATAACGCCCTC
    L L N N F Y P R E A K V Q W K V D N A L
541 CAATCGGGTAACCTCCAGGAGAGTGTACAGAGCAGGACAGCAAGGACAGCACCTACAGC
    Q S G N S Q E S V T E Q D S K D S T Y S
601 CTCAGCAGCACCTGACGCTGAGCAAAGCAGACTACGAGAAACACAAAGTCTACGCCTGC
    L S S T L T L S K A D Y E K H K V Y A C
661 GAAGTCACCCATCAGGGCCTGAGCTCGCCCGTCACAAAGAGCTTCAACAGGGAGAGTGT
    E V T H Q G L S S P V T K S F N R G E C
721 TAG
    •

```

Fig. 14

1 ATGGGTTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGCGTGCACCTCCAA  
 M G W S C I I F F L V A T A T G V H S Q  
 61 GTCCAGCTGGTGCAGTCTGGGCTGAAGTGAAGAAGCCTGGGCCTCAGTCAAAGTCTCC  
 V Q L V Q S G A E V K K P G A S V K V S  
 121 TGCAAGGCTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTGCGACAGGCCCT  
 C K A S G Y T F T D Y A M H W V R Q A P  
 181 GGACAAGGCCTGGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATACAAACTACAAAC  
 G Q G L E W I G V I S T Y Y G N T N Y N  
 241 CAGAAGTTAACGGCAAGGCCACAATGACTGTCGACACATCCACCAGCACAGCCTATATG  
 Q K F K G K A T M T V D T S T S T A Y M  
 301 GAACTTAGGAGCTTGAGATCTGACGATACTGCCGTGATTACTGTGCAAGAGGAGGATTG  
 E L R S L R S D D T A V Y Y C A R G G L  
 361 CGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCATGGTCACCGTCTCCCTCA  
 R E Y Y Y A M D Y W G Q G T M V T V S S  
 421 GCCTCCACCAAGGGCCATCGGTCTTCCCCCTGGCACCCCTCCAAAGAGCACCTCTGGG  
 A S T K G P S V F P L A P S S K S T S G  
 481 GGCACAGCGGCCCTGGCTGCCTGGTCAAGGACTACTTCCCCAACCGGTGACGGTGTGCG  
 G T A A L G C L V K D Y F P E P V T V S  
 541 TGGAACTCAGGCGCCCTGACCAGCGCGTGCACACCTCCGGCTGTCTACAGTCCCTCA  
 W N S G A L T S G V H T F P A V L Q S S  
 601 GGACTCTACTCCCTCAGCAGCGTGGTGACCGTGCCTCCAGCAGCTGGCACCCAGACC  
 G L Y S L S S V V T V P S S S L G T Q T  
 661 TACATCTGCAACGTGAATCACAGCCAGCAACACCAAGGTGGACAAGAAAGTTGAGCC  
 Y I C N V N H K P S N T K V D K K V E P  
 721 AAATCTTGTGACAAAACCTCACACATGCCAACCGTGGCCAGCACCTGAACCTCTGGGGGA  
 K S C D K T H T C P P C P A P E L L G G  
 781 CCGTCAGTCTCCTCTTCCCCCAAAACCAAGGACACCCCTCATGATCTCCGGACCCCT  
 P S V F L F P P K P K D T L M I S R T P  
 841 GAGGTACATGCGTGGTGGACGTGAGCCACGAAGACCCCTGAGGTCAAGTTCAACTGG  
 E V T C V V V D V S H E D P E V K F N W  
 901 TACGTGGACGGCGTGGAGGTGCATAATGCCAACAGACAAAGCCGGAGGAGCAGTACAAC  
 Y V D G V E V H N A K T K P R E E Q Y N  
 961 AGCACGTACCGTGTGGTCAGCGTCCTCACCGTCCCTGCACCAAGGACTGGCTGAATGGCAAG  
 S T Y R V V S V L T V L H Q D W L N G K  
 1021 GAGTACAAGTGCAGGTCTCCAAACAAAGCCCTCCAGCCCCATCGAGAAAACCATCTCC  
 E Y K C K V S N K A L P A P I E K T I S  
 1081 AAAGCCAAAGGGCAGCCCCGAGAACCCACAGGTGTACACCCCTGCCCTCATCCGGGATGAG  
 K A K G Q P R E P Q V Y T L P P S R D E  
 1141 CTGACCAAGAACCAAGGTACGCCCTGACCTGCCTGGTCAAAGGCTTCTATCCCAGCGACATC  
 L T K N Q V S L T C L V K G F Y P S D I  
 1201 GCCGTGGAGTGGAGAGCAATGGGAGCCGGAGAACAACTACAAGACCACGCCCTCCCGTG  
 A V E W E S N G Q P E N N Y K T T P P V  
 1261 CTGGACTCCGACGGCTCCTCTTCCCTACAGCAAGCTCACCGTGGACAAGAGCAGGTGG  
 L D S D G S F F L Y S K L T V D K S R W  
 1321 CAGCAGGGGAACGTCTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCAACTACACG  
 Q Q G N V F S C S V M H E A L H N H Y T  
 1381 CAGAAGAGCCTCTCCCTGTCTCCGGTAAATGA  
 Q K S L S L S P G K \*

Fig. 15

1 ATGGGTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGCGTGCACCTCCAA  
 M G W S C I I F F L V A T A T G V H S Q  
 61 GTCCAGCTGGTGCAGTCTGGGGCTGAAGTGAAGAAGCCTGGGCCTCAGTAAAGTCTCC  
 V Q L V Q S G A E V K K P G A S V K V S  
 121 TGCAAGGCTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTGCGACAGGCCCT  
 C K A S G Y T F T D Y A M H W V R Q A P  
 181 GGACAAAGGCTGGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATACAAACTACAAC  
 G Q G L E W I G V I S T Y Y G N T N Y N  
 241 CAGAAGTTAACGGGCCAGGCCACAATGACTGTCGACACATCCACCAGCACAGCCTATATG  
 Q K F K G R A T M T V D T S T S T A Y M  
 301 GAACTTAGGAGCTTGAGATCTGACGATACTGCCGTGTATTACTGTGCAAGAGGAGGATTG  
 E L R S L R S D D T A V Y Y C A R G G L  
 361 CGAGAGTATTACTATGCTATGGACTACTGGGGTCAAGGAACCATGGTCACCGTCTCCCTCA  
 R E Y Y Y A M D Y W G Q G T M V T V S S  
 421 GCCTCCACCAAGGGCCCATCGGTCTCCCCCTGGCACCCCTCCAAAGAGCACCTCTGGG  
 A S T K G P S V F P L A P S S K S T S G  
 481 GGCACAGCGGCCCTGGGCTGCCGGTCAAGGACTACTTCCCCGAACCGGTGACGGTGTGCG  
 G T A A L G C L V K D Y F P E P V T V S  
 541 TGGAACTCAGGCCCTGACCAGCGCGTGCACACCTCCGGCTGTCTACAGTCTCA  
 W N S G A L T S G V H T F P A V L Q S S  
 601 GGACTCTACTCCCTCAGCAGCGTGGTGACCGTGGCCCTCCAGCAGCTGGCACCCAGACC  
 G L Y S L S S V V T V P S S S L G T Q T  
 661 TACATCTGCAACGTGAATACAAGCCCAGCAACACCAAGGTGGACAAGAAAGTTGAGCCC  
 Y I C N V N H K P S N T K V D K K V E P  
 721 AAATCTTGTGACAAAATCACACATGCCACCGTGCCAGCACCTGAACCTCTGGGGGA  
 K S C D K T H T C P P C P A P E L L G G  
 781 CCGTCAGTCTTCCCTCTCCCCCCTAAACCCAAGGACACCCCTCATGATCTCCGGACCCCT  
 P S V F L F P P K P K D T L M I S R T P  
 841 GAGGTACATGCGTGGTGGACGTGAGCCACGAAGACCCCTGAGGTCAAGTTCAACTGG  
 E V T C V V V D V S H E D P E V K F N W  
 901 TACGTGGACGGCGTGGAGGTGCATAATGCCAAGACAAAGCCGCGGGAGGAGCAGTACAAC  
 Y V D G V E V H N A K T K P R E E Q Y N  
 961 AGCACGTACCGTGTGGTCAGCGTCTCACCGTCTGCACCAAGGACTGGCTGAATGGCAAG  
 S T Y R V V S V L T V L H Q D W L N G K  
 1021 GAGTACAAGTGCAGGTCTCCAACAAAGCCCTCCAGCCCCCATCGAGAAAACCATCTCC  
 E Y K C K V S N K A L P A P I E K T I S  
 1081 AAAGCCAAAGGGCAGCCCCGAGAACCAAGGTGTACACCCCTGCCCTGGGATGAG  
 K A K G Q P R E P Q V Y T L P P S R D E  
 1141 CTGACCAAGAACAGGTCAAGCCTGACCTGCCCTGGTCAAAGGCTTCTATCCCAGCGACATC  
 L T K N Q V S L T C L V K G F Y P S D I  
 1201 GCCGTGGAGTGGAGAGCAATGGGAGCCGGAGAACAACTACAAGACCAAGGCCCTCCGTG  
 A V E W E S N G Q P E N N Y K T T P P V  
 1261 CTGGACTCCGACGGCTCCTTCTTCTACAGCAAGCTCACCGTGGACAAGAGCAGGTGG  
 L D S D G S F F L Y S K L T V D K S R W  
 1321 CAGCAGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACACAG  
 Q Q G N V F S C S V M H E A L H N H Y T  
 1381 CAGAAGAGCCTCCCTGTCTCCGGTAAATGA  
 Q K S L S L S P G K .

Fig. 16

1 ATGGAATCACAGACCCAGGTCTCATGTTCTCTGCTCTGGTATCTGGTCCTGTGCA  
 M E S Q T Q V L M F L L L W V S G A C A  
 61 GACATTGTCATGACACAGTCTCCAGACTCCCTGGCTGTGTCAGGGAGAGAGGGCCACT  
 D I V M T Q S P D S L A V S L G E R A T  
 121 ATCAAATGCAAGTCCAGTCAGAGCCTCTGAATAGTAGCAATCAAAAGAACTATTTGGCC  
 I N C K S S Q S L L N S S N Q K N Y L A  
 181 TGGTACCAGCAGAAACCAGGACAGCCTCTAAACTCTGGTCTACTTGCATCCACTAGG  
 W Y Q Q K P G Q P P K L L V Y F A S T R  
 241 GAATCTGGGTCCCTGATCGCTTCAGTGGCAGTGGATCTGGACAGATTCACTCTTACCC  
 E S G V P D R F S G S G S G T D F T L T  
 301 ATCAGCAGTCTGCAGGCTGAAGATGTGGCAGTTACTACTGTCAGCAACATTATAGCACT  
 I S S L Q A E D V A V Y Y C Q Q H Y S T  
 361 CCTCCCCACATTCGGTCAGGGACCAAGCTGGAGATCAAACGAACTGTGGCTGCACCATCT  
 P P T F G Q G T K L E I K R T V A A P S  
 421 GTCTTCATCTCCGCCATCTGATGAGCAGTTGAAATCTGGAACGTGCCTCTGTTGTG  
 V F I F P P S D E Q L K S G T A S V V C  
 481 CTGCTGAATAACTCTATCCAGAGAGGCCAAAGTACAGTGGAAAGGTGGATAACGCCCTC  
 L L N N F Y P R E A K V Q W K V D N A L  
 541 CAATCGGGTAACCTCCAGGAGAGTGTACAGAGCAGGACAGCAAGGACAGCACCTACAGC  
 Q S G N S Q E S V T E Q D S K D S T Y S  
 601 CTCAGCAGCACCTGACGCTGAGCAAAGCAGACTACGAGAAACACAAAGTCTACGCCCTGC  
 L S S T L T L S K A D Y E K H K V Y A C  
 661 GAAGTCACCCATCAGGGCCTGAGCTCGCCCGTCACAAAGAGCTTCAACAGGGAGAGTGT  
 E V T H Q G L S S P V T K S F N R G E C  
 721 TAG  
 •

Fig. 17

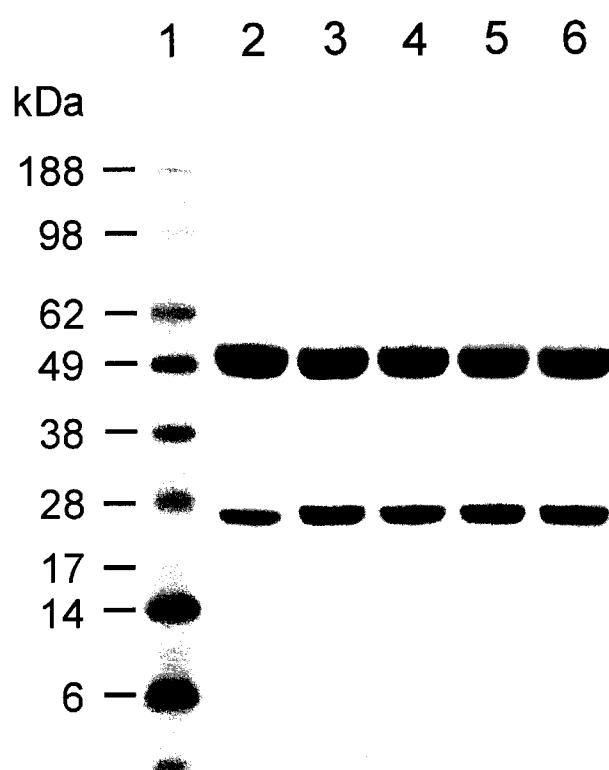


Fig. 18

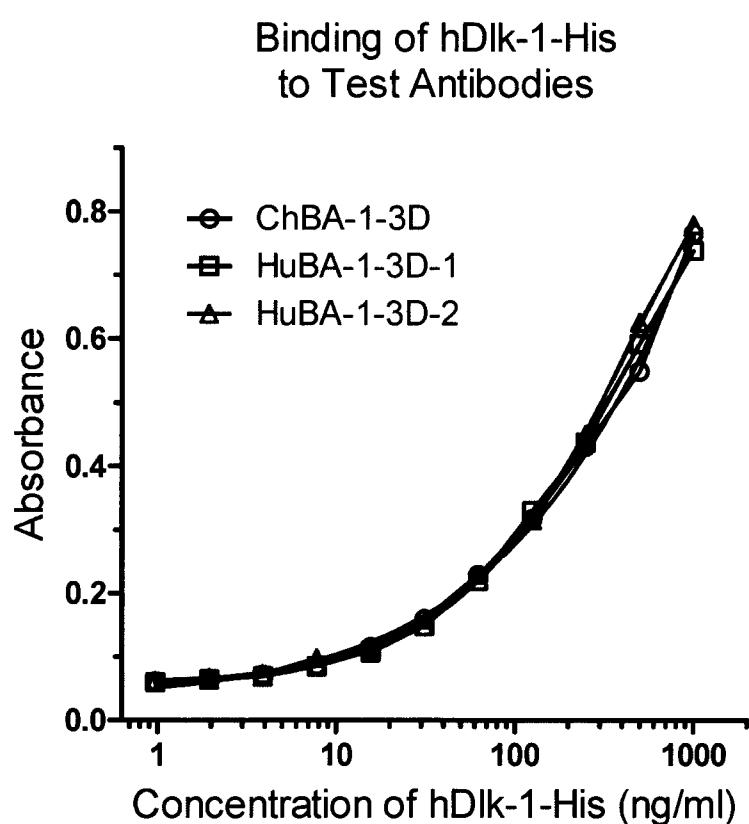


Fig. 19

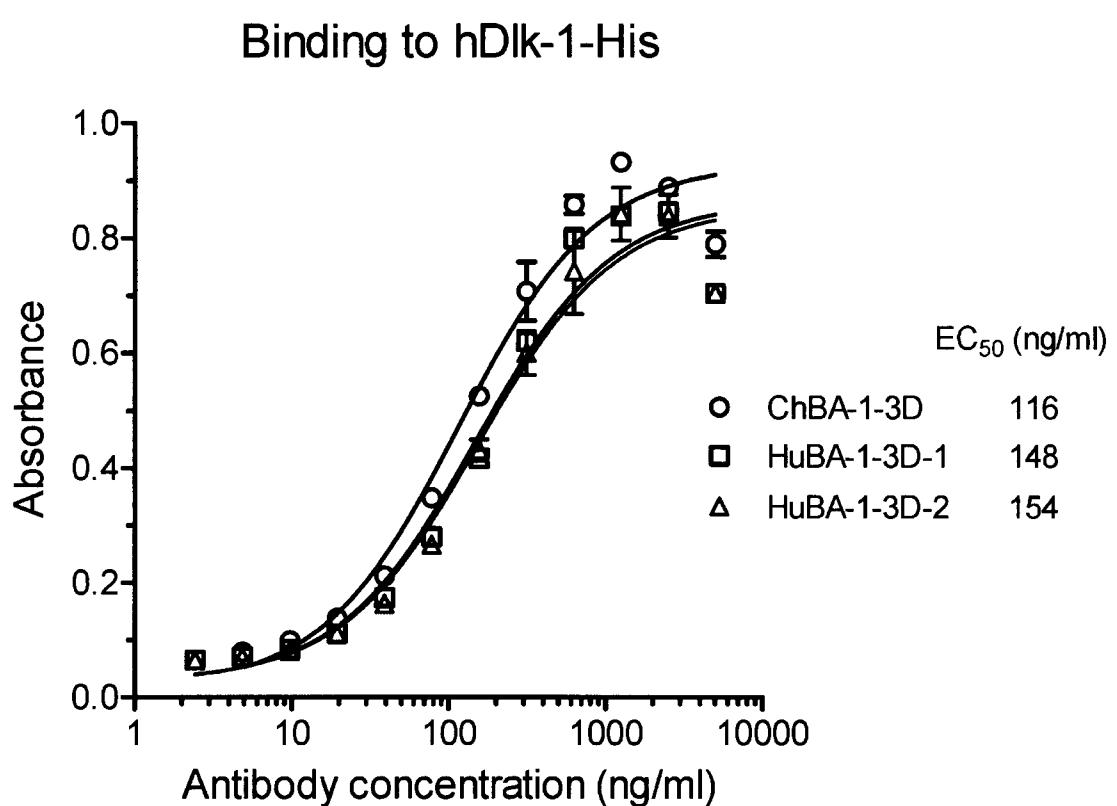


Fig. 20

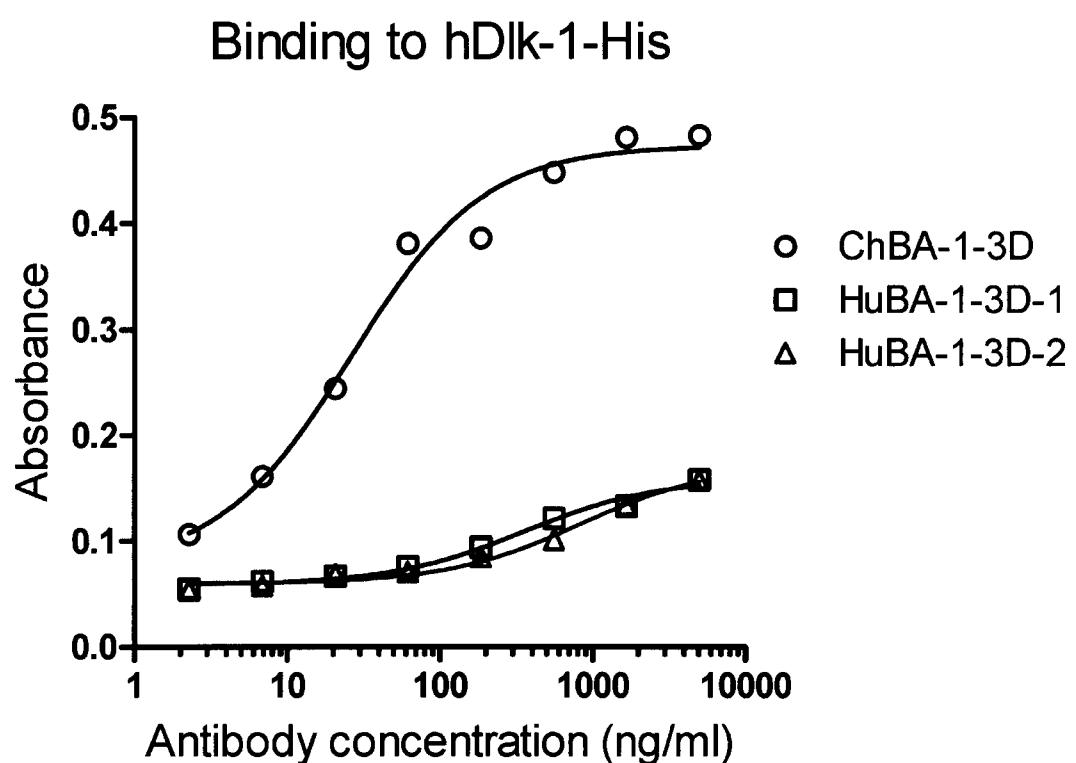


Fig. 21

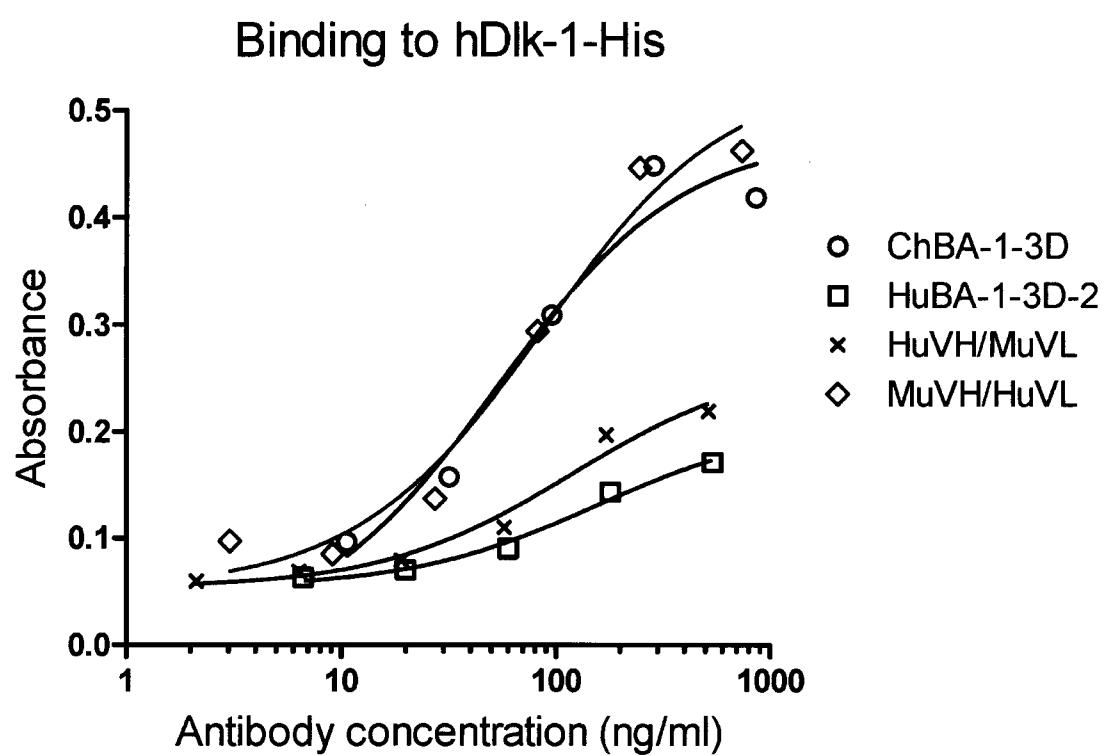


Fig. 22

	1	2	3	4	5
	123456789	0123456789	0123456789	0123456789	0123456789
					01223456789
					<sup>a</sup>
HuBA1-3D VH1	QVQLVQSGA	EVKKPGASVK	VSCKASGYTF	TDYAMHWVRQ	APGQGLEWIG
V5Q	-Q-	-----	-----	-----	-----
A9P	-----	-P-	-----	-----	-----
V11L	-----	-L-	-----	-----	-----
K12V	-----	-V-	-----	-----	-----
K13R	-----	-R-	-----	-----	-----
A16V	-----	-V-	-----	-----	-----
V20I	-----	-----	I-	-----	-----
A24G	-----	-----	-----	-G-	-----
R38K	-----	-----	-----	-----	-K-
A40S	-----	-----	-----	-----	S
P41H	-----	-----	-----	-----	-H-
G42A	-----	-----	-----	-----	-A-
Q43K	-----	-----	-----	-----	-K-
G44S	-----	-----	-----	-----	S
T73K	-----	-----	-----	-----	-----
T75S	-----	-----	-----	-----	-----
R82aA	-----	-----	-----	-----	-----
S82bR	-----	-----	-----	-----	-----
R83T	-----	-----	-----	-----	-----
D85E	-----	-----	-----	-----	-----
T87S	-----	-----	-----	-----	-----
V89I	-----	-----	-----	-----	-----
M108S	-----	-----	-----	-----	-----
V5Q/T73K	-Q-	-----	-----	-----	-----
V11L/T73K	-----	-L-	-----	-----	-----
A24G/T73K	-----	-----	-G-	-----	-----
T73K/T75S	-----	-----	-----	-----	-----

	6	7	8	9	0	1	1
	0123456789	0123456789	0122223456789	0123456789	00000123456789	0123	
					abc	abcd	
							1
HuBA1-3D VH1	NQKFKKGKATM	TVDTSTSTAY	MELRSLRSDDTAV	YYCARGGLRE	YYYAMDYWGQGTMV	TVSS	
V5Q	-----	-----	-----	-----	-----	-----	
A9P	-----	-----	-----	-----	-----	-----	
V11L	-----	-----	-----	-----	-----	-----	
K12V	-----	-----	-----	-----	-----	-----	
K13R	-----	-----	-----	-----	-----	-----	
A16V	-----	-----	-----	-----	-----	-----	
V20I	-----	-----	-----	-----	-----	-----	
A24G	-----	-----	-----	-----	-----	-----	
R38K	-----	-----	-----	-----	-----	-----	
A40S	-----	-----	-----	-----	-----	-----	
P41H	-----	-----	-----	-----	-----	-----	
G42A	-----	-----	-----	-----	-----	-----	
Q43K	-----	-----	-----	-----	-----	-----	
G44S	-----	-----	-----	-----	-----	-----	
T73K	-----	-K-	-----	-----	-----	-----	
T75S	-----	S	-----	-----	-----	-----	
R82aA	-----	-----	-A-	-----	-----	-----	
S82bR	-----	-----	-R-	-----	-----	-----	
R83T	-----	-----	-T-	-----	-----	-----	
D85E	-----	-----	E	-----	-----	-----	
T87S	-----	-----	S	-----	-----	-----	
V89I	-----	-----	I	-----	-----	-----	
M108S	-----	-----	-----	-----	-----	S	
V5Q/T73K	-----	-K-	-----	-----	-----	-----	
V11L/T73K	-----	-K-	-----	-----	-----	-----	
A24G/T73K	-----	-K-	-----	-----	-----	-----	
T73K/T75S	-----	-K-S	-----	-----	-----	-----	

Fig. 23

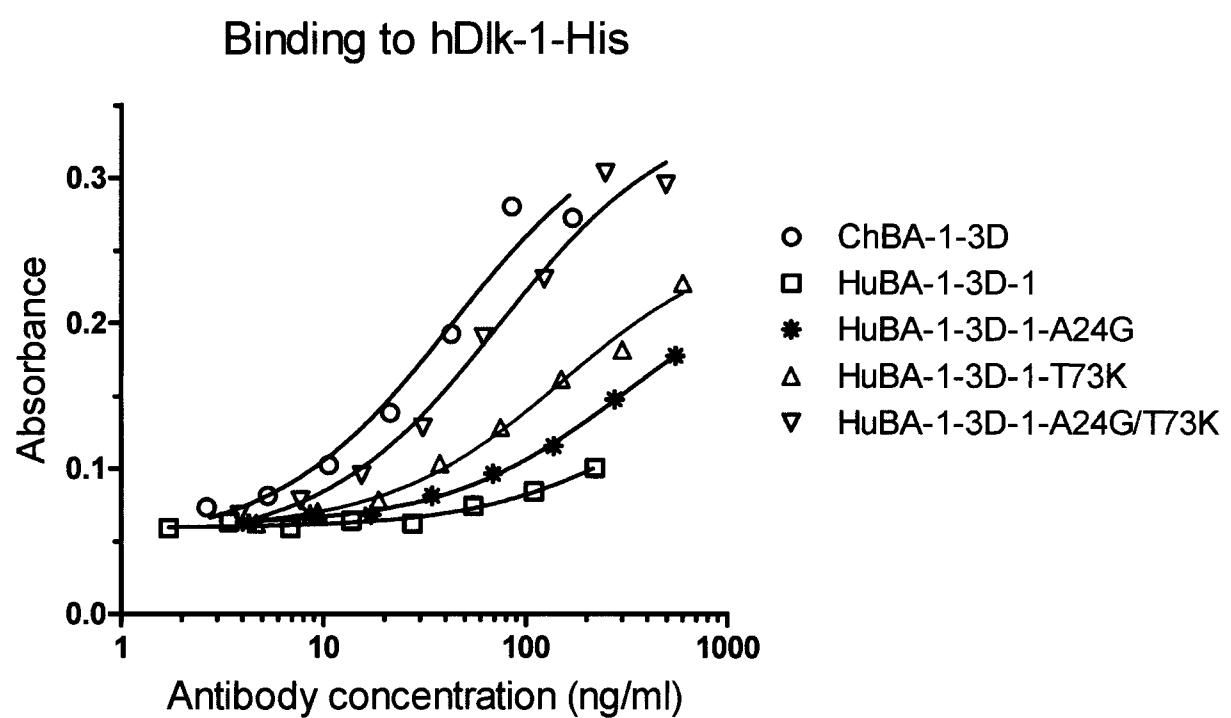


Fig. 24

1 ATGGGTGGAGCTGTATCATCTTCTGGTAGCAACAGCTACAGCGTGCACCTCCAA  
 M G W S C I I F F L V A T A T G V H S Q  
 61 GTCCAGCTGGTGCAGTCTGGGCTGAAGTGAAGAAGCCTGGGCCTCAGTAAAGTCTCC  
 V Q L V Q S G A E V K K P G A S V K V S  
 121 TGCAAGGCTCCGGCTACACATTCACTGATTATGCTATGCACTGGTGCACAGGCCCT  
 C K A S G Y T F T D Y A M H W V R Q A P  
 181 GGACAAGGCCTGGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATACAAACTACAAAC  
 G Q G L E W I G V I S T Y Y G N T N Y N  
 241 CAGAAGTTAACGGCAAGGCCACAATGACTGTCGACAAATCCACCAGCACGCCCTATATG  
 Q K F K G K A T M T V D K S T S T A Y M  
 301 GAACTTAGGAGCTTGAGATCTGACGATACTGCCGTGTATTACTGTGCAAGAGGGAGGATTG  
 E L R S L R S D D T A V Y Y C A R G G L  
 361 CGAGAGTATTACTATGCTATGGACTACTGGGGTCAAGGAACCATGGTCACCGTCTCCTCA  
 R E Y Y Y A M D Y W G Q G T M V T V S S  
 421 GCCTCCACCAAGGGCCATCGGTCTTCCCCCTGGCACCCCTCCAAAGAGCACCTCTGGG  
 A S T K G P S V F P L A P S S K S T S G  
 481 GGCACAGCGGCCCTGGCTGGTCAAGGACTACTTCCCCGAACCGGTGACGGTGTGCG  
 G T A A L G C L V K D Y F P E P V T V S  
 541 TGGAACTCAGGCGCCCTGACCAGCGCGTGCACACCTTCCCAGGTCTACAGTCCTCA  
 W N S G A L T S G V H T F P A V L Q S S  
 601 GGACTCTACTCCCTCAGCAGCGTGGTGACCGTGCCCTCCAGCAGCTGGCACCCAGACC  
 G L Y S L S S V V T V P S S S L G T Q T  
 661 TACATCTGCAACGTGAATACAAGCCCAGCAACACCAAGGTGGACAAGAAAGTTGAGCCC  
 Y I C N V N H K P S N T K V D K K V E P  
 721 AAATCTTGTGACAAAACACACATGCCACCGTGCCAGCACCTGAACCTCTGGGGGA  
 K S C D K T H T C P P C P A P E L L G G  
 781 CCGTCAGTCTTCTCTTCCCCCAAAACCAAGGACACCCCTCATGATCTCCGGACCCCT  
 P S V F L F P P K P K D T L M I S R T P  
 841 GAGGTACATGCGTGGTGGACGTGAGCCACGAAGACCCCTGAGGTCAAGTTCAACTGG  
 E V T C V V V D V S H E D P E V K F N W  
 901 TACGTGGACGGCGTGGAGGTGCATAATGCCAAGACAAAGCCGGAGGAGCAGTACAAC  
 Y V D G V E V H N A K T K P R E E Q Y N  
 961 AGCACGTACCGTGTGGTCAGCGTCTCACCGTCTGCACCCAGGACTGGCTGAATGGCAAG  
 S T Y R V V S V L T V L H Q D W L N G K  
 1021 GAGTACAAGTGCAGGTCTCCAACAAAGCCCTCCAGCCCCCATCGAGAAAACCATCTCC  
 E Y K C K V S N K A L P A P I E K T I S  
 1081 AAAGCCAAAGGGCAGCCCCGAGAACCCACAGGTGTACACCCCTGCCCTCCGGATGAG  
 K A K G Q P R E P Q V Y T L P P S R D E  
 1141 CTGACCAAGAACCGAGGTCAAGCCTGACCTGCCGTCAAAGGCTTCTATCCAGCGACATC  
 L T K N Q V S L T C L V K G F Y P S D I  
 1201 GCCGTGGAGTGGAGAGCAATGGCAGCCGGAGAACAACTACAAGACCAAGCCTCCGTG  
 A V E W E S N G Q P E N N Y K T T P P V  
 1261 CTGGACTCCGACGGCTCCCTCTTCTACAGCAAGCTCACCGTGGACAAGAGCAGGTGG  
 L D S D G S F F L Y S K L T V D K S R W  
 1321 CAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACTACACG  
 Q Q G N V F S C S V M H E A L H N H Y T  
 1381 CAGAAGAGCCTCTCCCTGCTCCGGTAAATGA  
 Q K S L S L S P G K •

Fig. 25

1 ATGGGTTGGAGCTGTATCATCTTCTTCTGGTAGCAACAGCTACAGGCGTGCACCTCCAA  
 M G W S C I I F F L V A T A T G V H S Q  
 61 GTCCAGCTGGTGCAGTCTGGGGCTGAAGTGAAGAAGCCTGGGGCTCAGTGAAGTCTCC  
 V Q L V Q S G A E V K K P G A S V K V S  
 121 TGCAAGGGTTCCGGCTACACATTCACTGATTATGCTATGCACTGGGTGCGACAGGCCCT  
 C K G S G Y T F T D Y A M H W V R Q A P  
 181 GGACAAGGCCTGGAGTGGATTGGAGTTATTAGTACTTACTATGGTAATACAAACTACAAC  
 G Q G L E W I G V I S T Y Y G N T N Y N  
 241 CAGAAGTTAAGGGCAAGGCCACAATGACTGTCGACAAATCCACCAGCACAGCCTATATG  
 Q K F K G K A T M T V D K S T S T A Y M  
 301 GAACTTAGGAGCTTGAGATCTGACGATACTGCCGTGTATTACTGTGCAAGAGGAGGATTG  
 E L R S L R S D D T A V Y Y C A R G G L  
 361 CGAGAGTATTACTATGCTATGGACTACTGGGTCAAGGAACCATGGTCACCGTCTCCTCA  
 R E Y Y Y A M D Y W G Q G T M V T V S S  
 421 GCCTCCACCAAGGGCCATCGGTCTTCCCCCTGGCACCCCTCCAAAGAGCACCTCTGGG  
 A S T K G P S V F P L A P S S K S T S G  
 481 GGCACAGCGGCCCTGGGCTGCCTGGTCAAGGACTACTTCCCGAACCGGTGACGGTGTG  
 G T A A L G C L V K D Y F P E P V T V S  
 541 TGGAACTCAGGCCCTGACCAGCGCGTGCACACCTCCCGTGTCTACAGTCCTCA  
 W N S G A L T S G V H T F P A V L Q S S  
 601 GGACTCTACTCCCTCAGCAGCGTGGTGACCGTGCCCTCCAGCAGCTGGCACCCAGACC  
 G L Y S L S S V V T V P S S S L G T Q T  
 661 TACATCTGCAACGTGAATCACAAGCCAGAACACCAAGGTGGACAAGAAAGTTGAGCCC  
 Y I C N V N H K P S N T K V D K K V E P  
 721 AAATCTTGTGACAAAACACATGCCAACCGTGCCAGCACCTGAACCTGGGGGA  
 K S C D K T H T C P P C P A P E L L G G  
 781 CCGTCAGTCTCCCTTCCCCCAAAACCAAGGACACCCCTCATGATCTCCGGACCCCT  
 P S V F L F P P K P K D T L M I S R T P  
 841 GAGGTCACATGCGTGGTGGACGTGAGCCACGAAGACCTGAGGTCAAGTTCAACTGG  
 E V T C V V V D V S H E D P E V K F N W  
 901 TACGTGGACGGCGTGGAGGTGCATAATGCCAACAGACAAAGCCGGAGGAGCAGTACAAC  
 Y V D G V E V H N A K T K P R E E Q Y N  
 961 AGCACGTACCGTGTGGTCAGCGTCCCTCACCGTCTGCACCAAGGACTGGCTGAATGGCAAG  
 S T Y R V V S V L T V L H Q D W L N G K  
 1021 GAGTACAAGTGCAGGTCTCCAAACAAAGCCCTCCCAGCCCCCATCGAGAAAACCATCTCC  
 E Y K C K V S N K A L P A P I E K T I S  
 1081 AAAGCCAAAGGGCAGCCCCGAGAACCCACAGGTGTACACCCCTGCCCAATCCGGGATGAG  
 K A K G Q P R E P Q V Y T L P P S R D E  
 1141 CTGACCAAGAACCAAGGTCAAGCCTGACCTGCCCTGGTCAAAGGCTTCTATCCAGCGACATC  
 L T K N Q V S L T C L V K G F Y P S D I  
 1201 GCCGTGGAGTGGAGAGCAATGGCAGCCGGAGAACAACTACAAGACCACGCCCTCCGTG  
 A V E W E S N G Q P E N N Y K T T P P V  
 1261 CTGGACTCCGACGGCTCCTTCTTCTACAGCAAGCTACCGTGGACAAGAGCAGGTGG  
 L D S D G S F F L Y S K L T V D K S R W  
 1321 CAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCATGAGGCTCTGCACAACCACACTACAG  
 Q Q G N V F S C S V M H E A L H N H Y T  
 1381 CAGAAGAGCCTCTCCCTGTCTCCGGTAAATGA  
 Q K S L S L S P G K •

Fig. 26

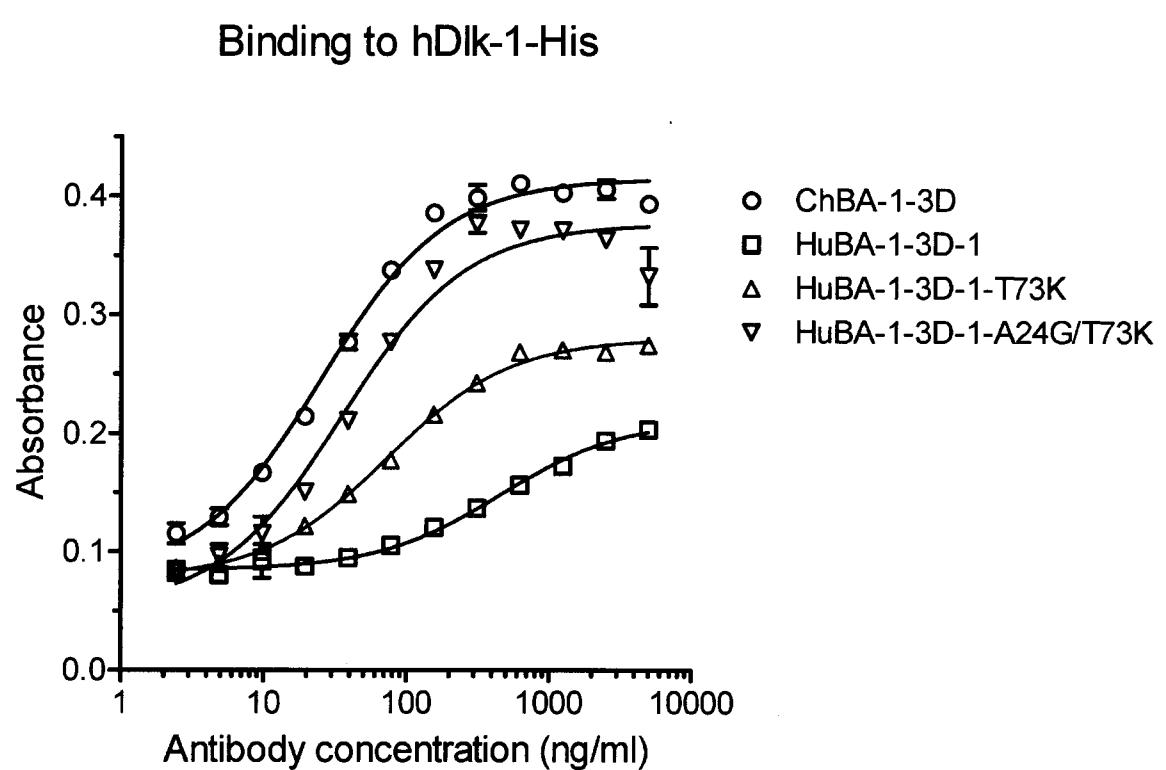
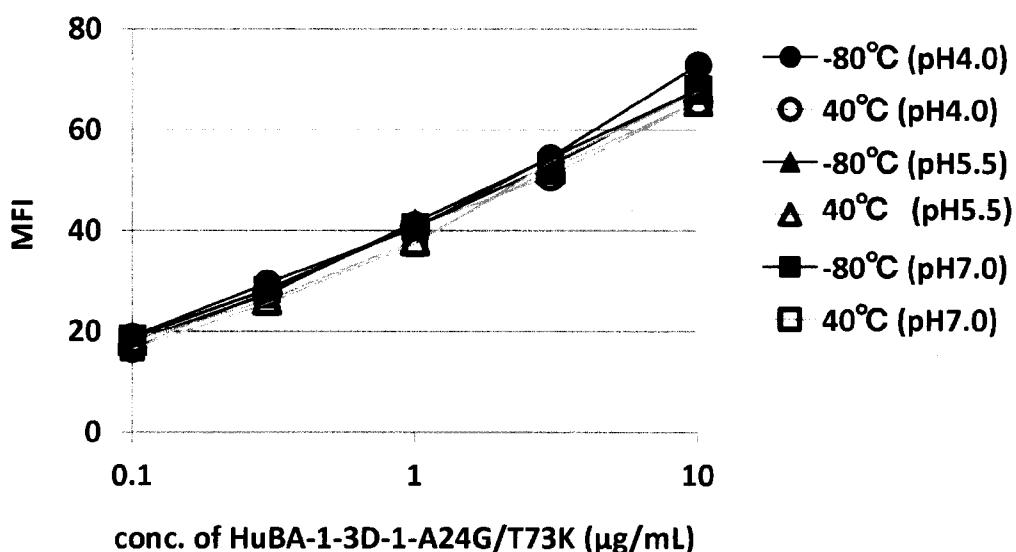


Fig. 27

(A)



(B)

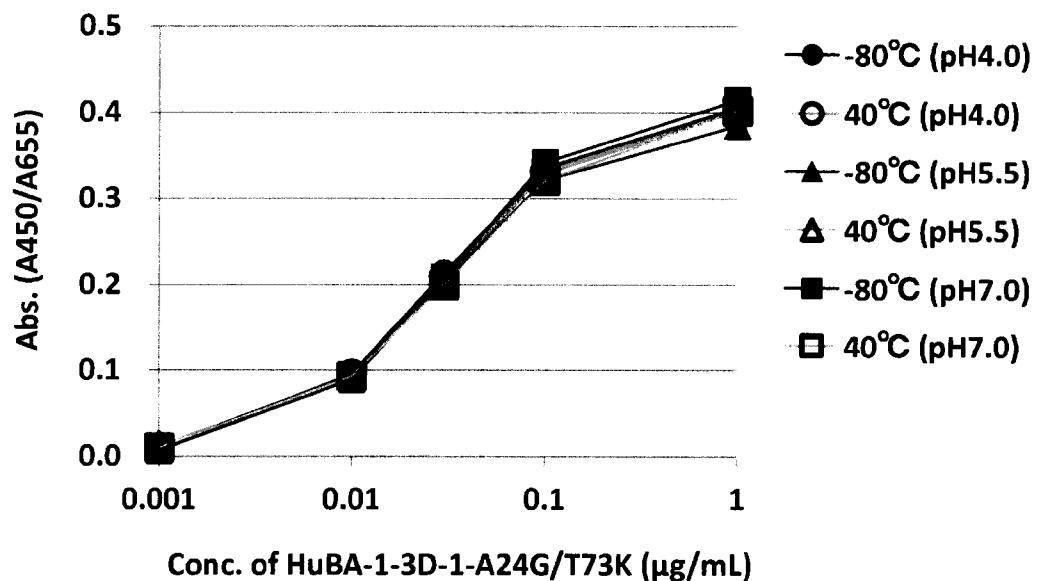


Fig. 28

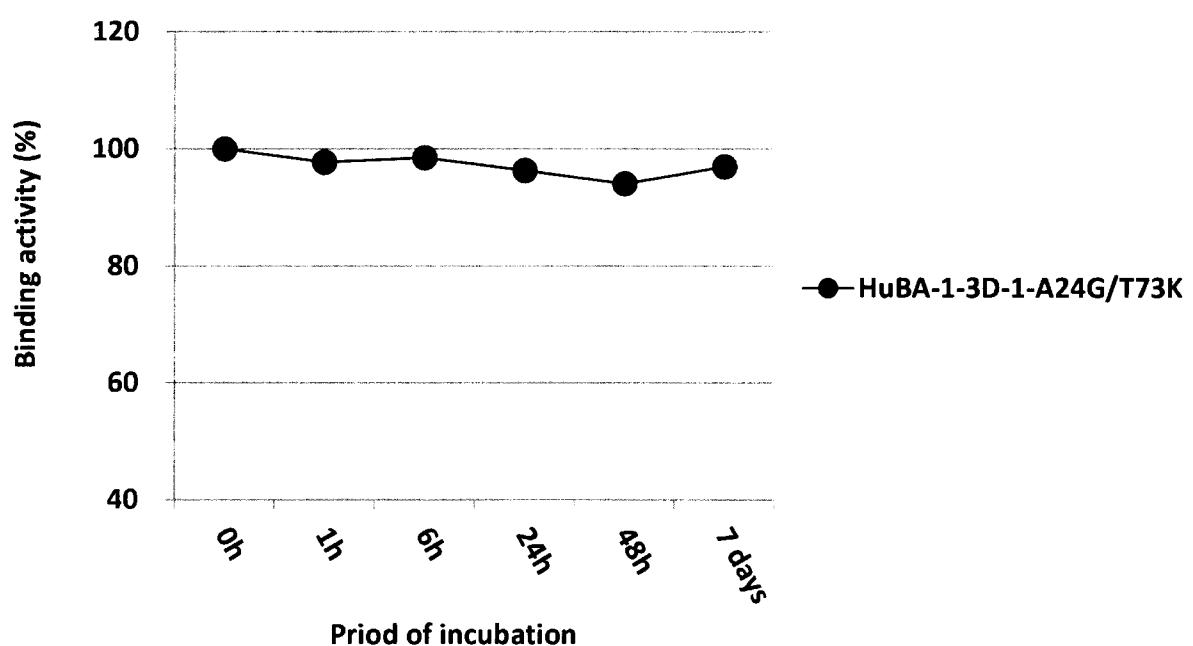
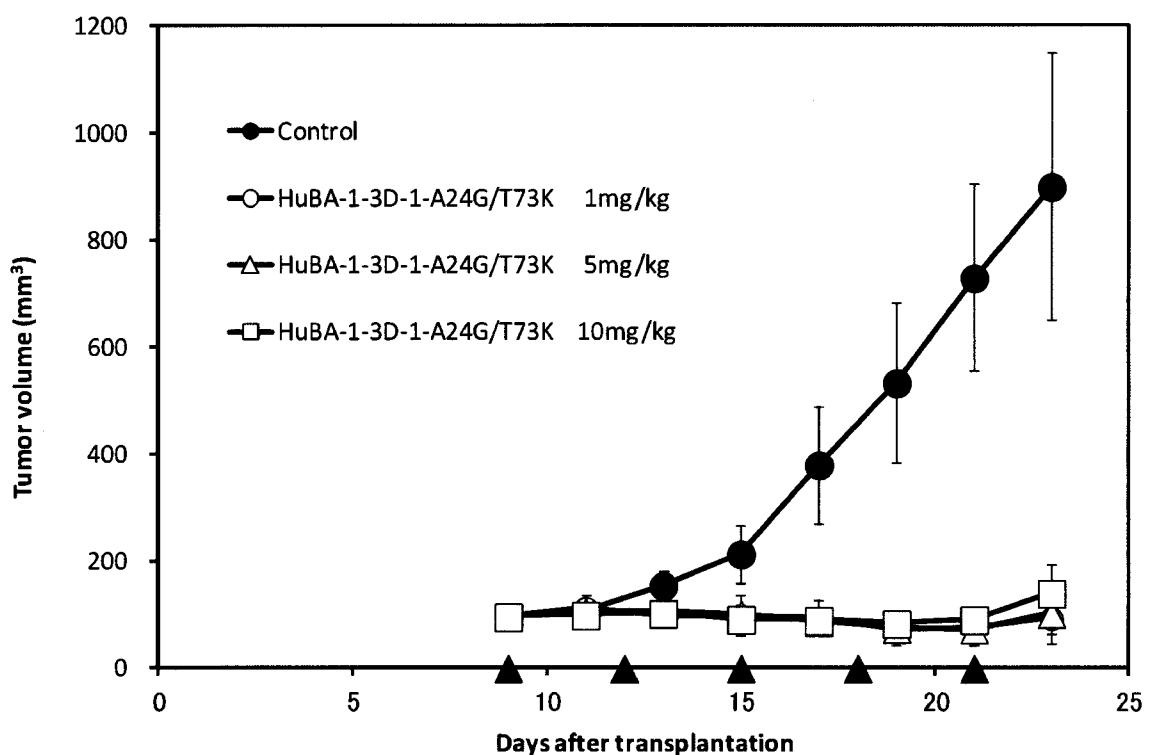


Fig. 29

A



B

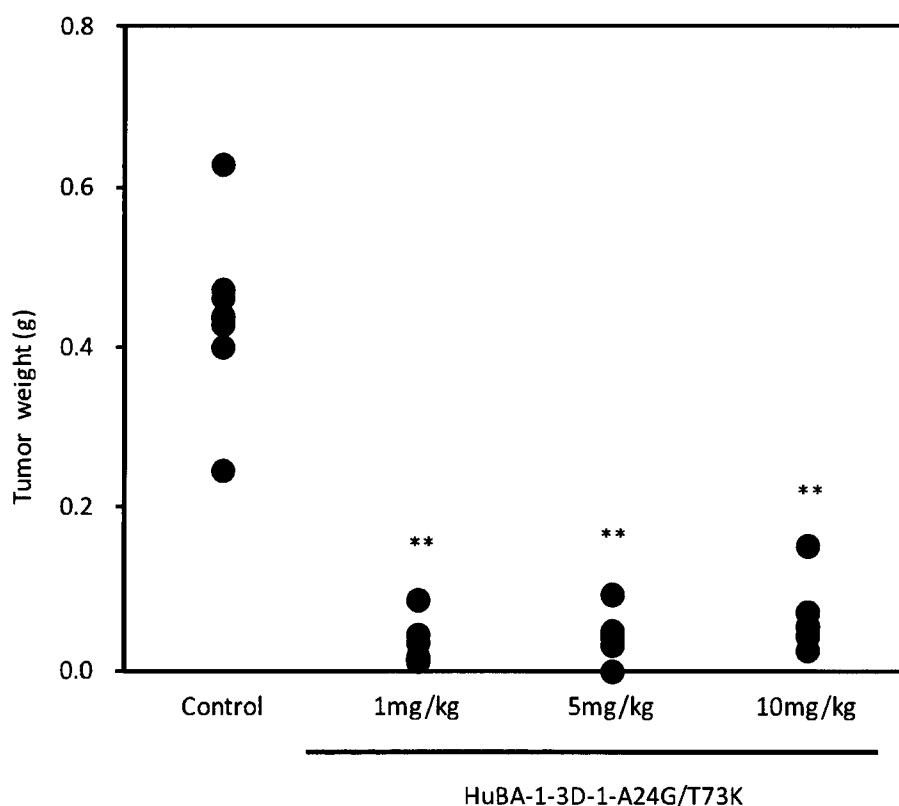
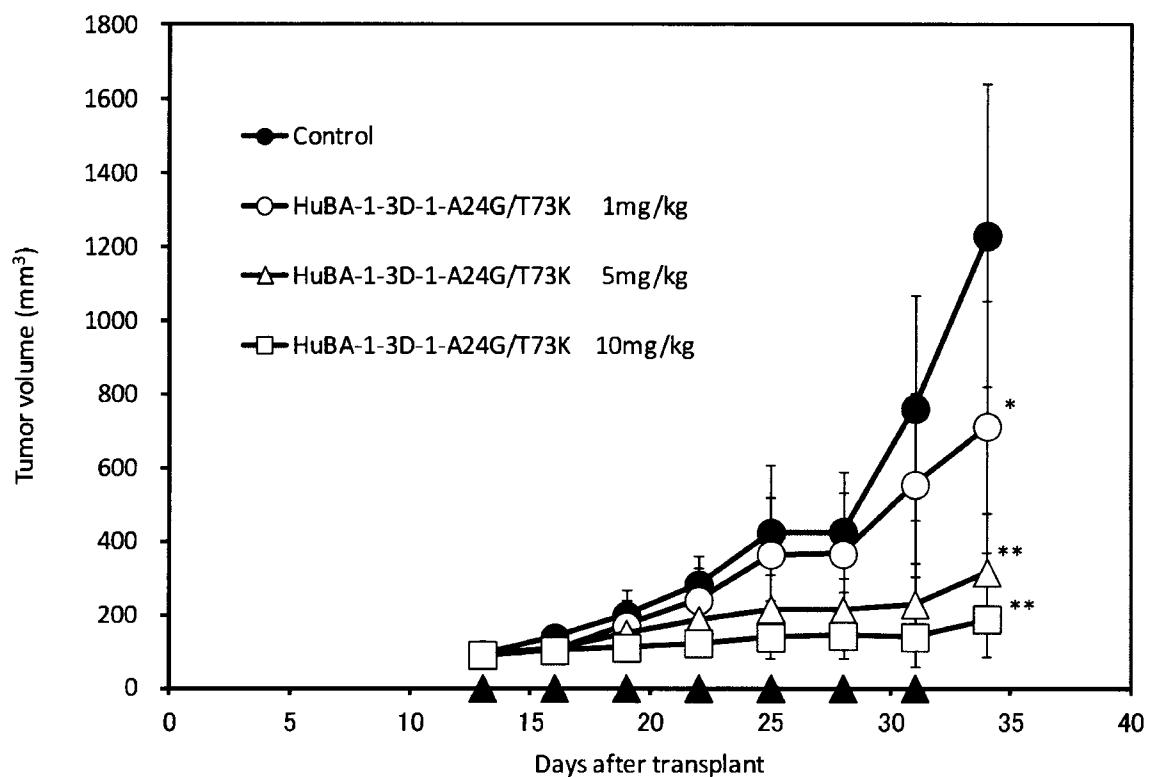


Fig. 30

A



B

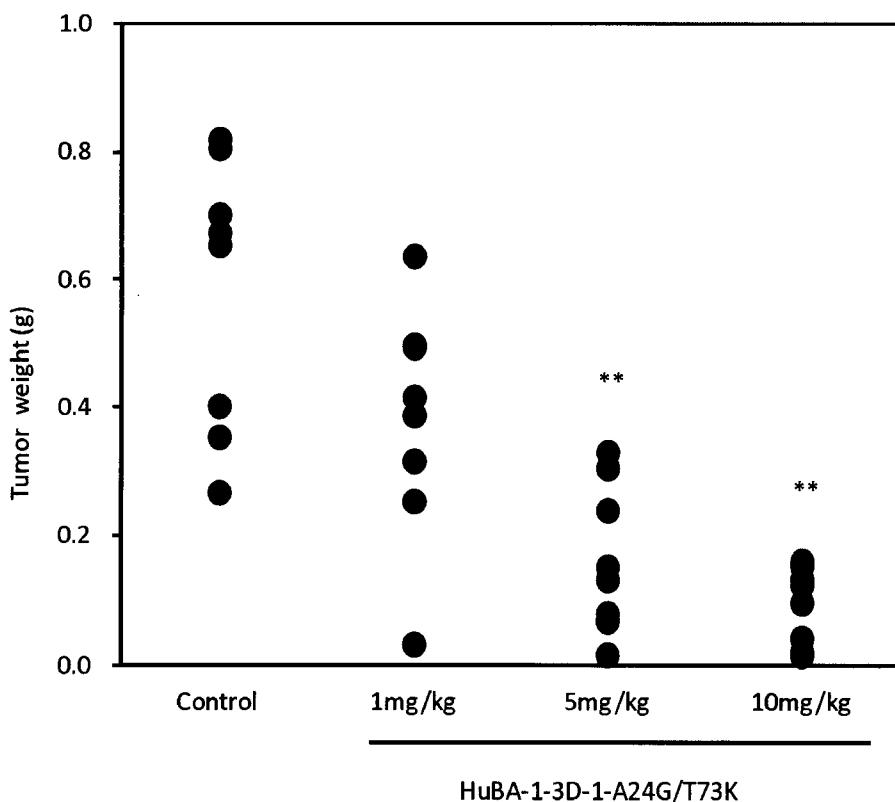


Fig. 31

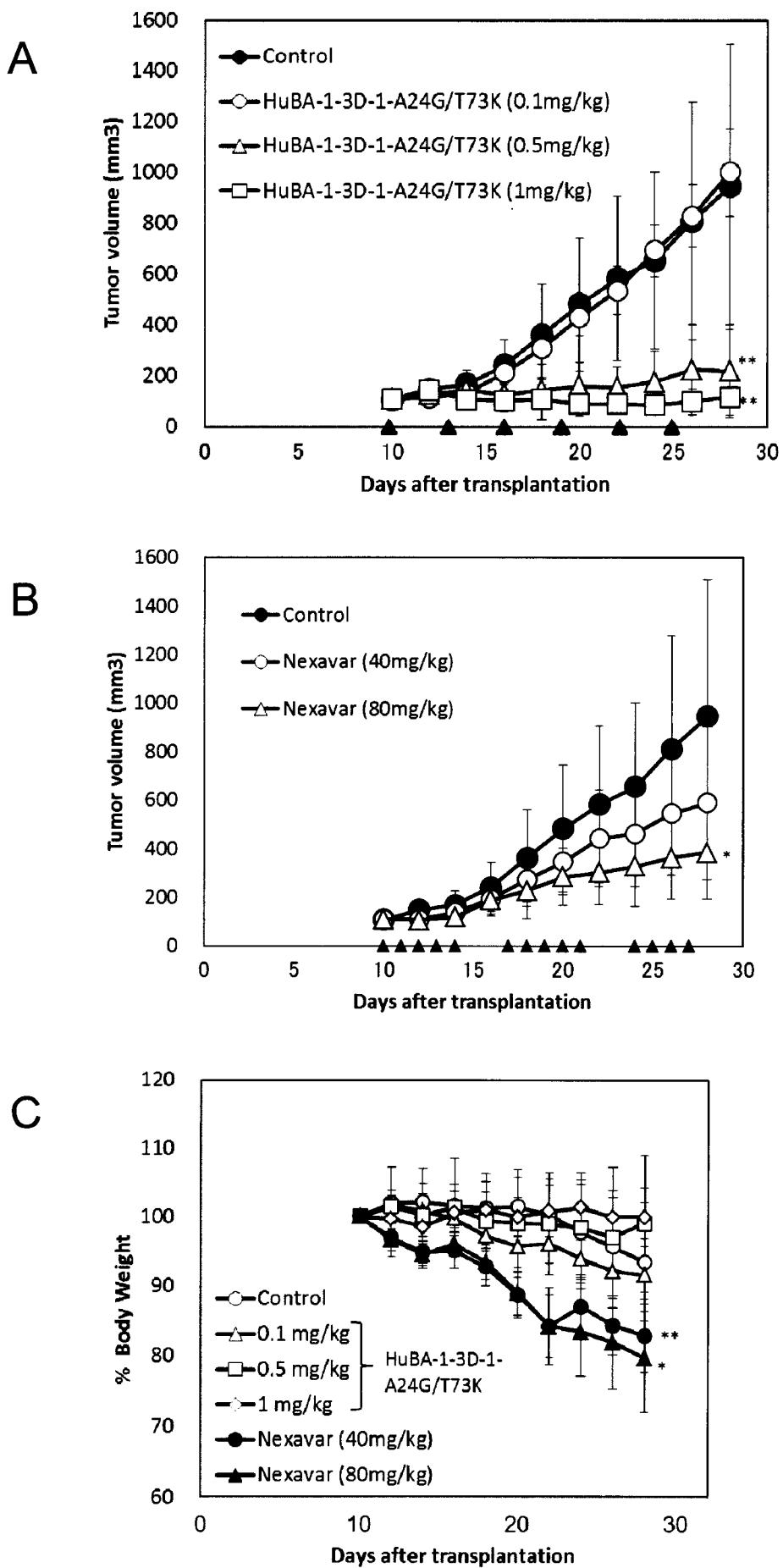
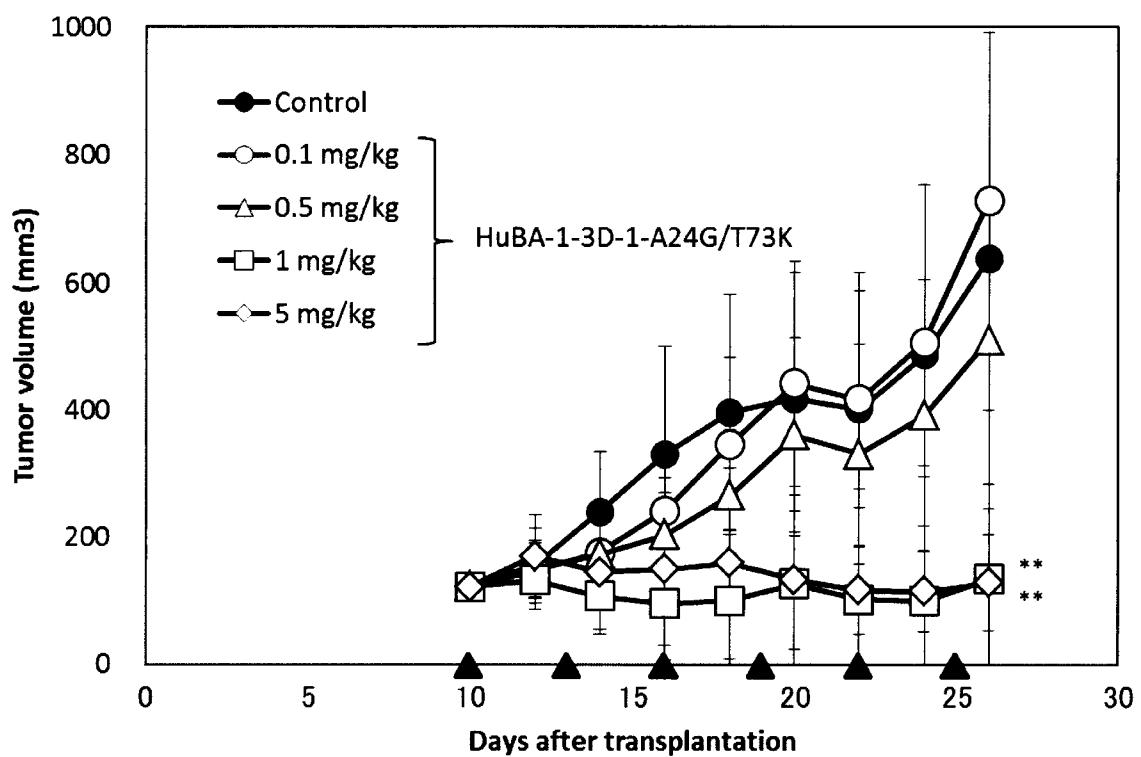


Fig. 32

A



B

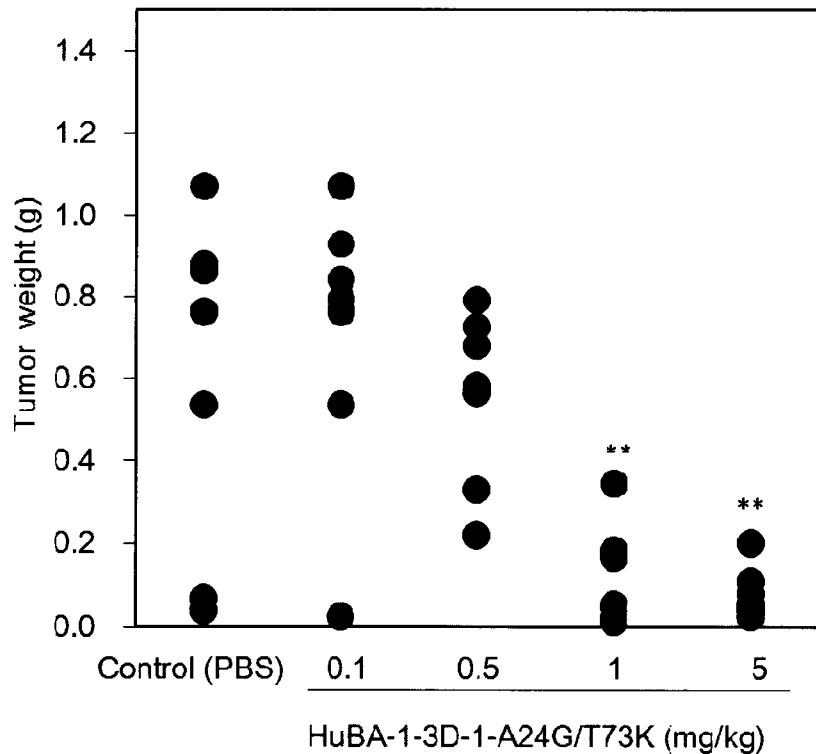
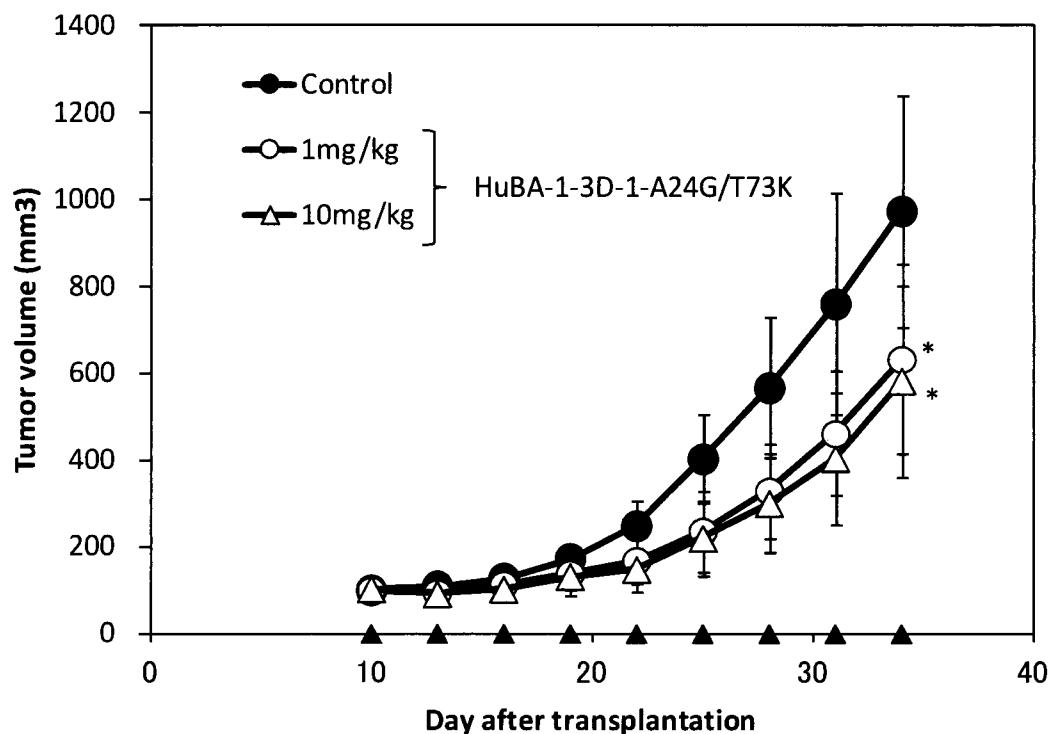


Fig. 33

A



B

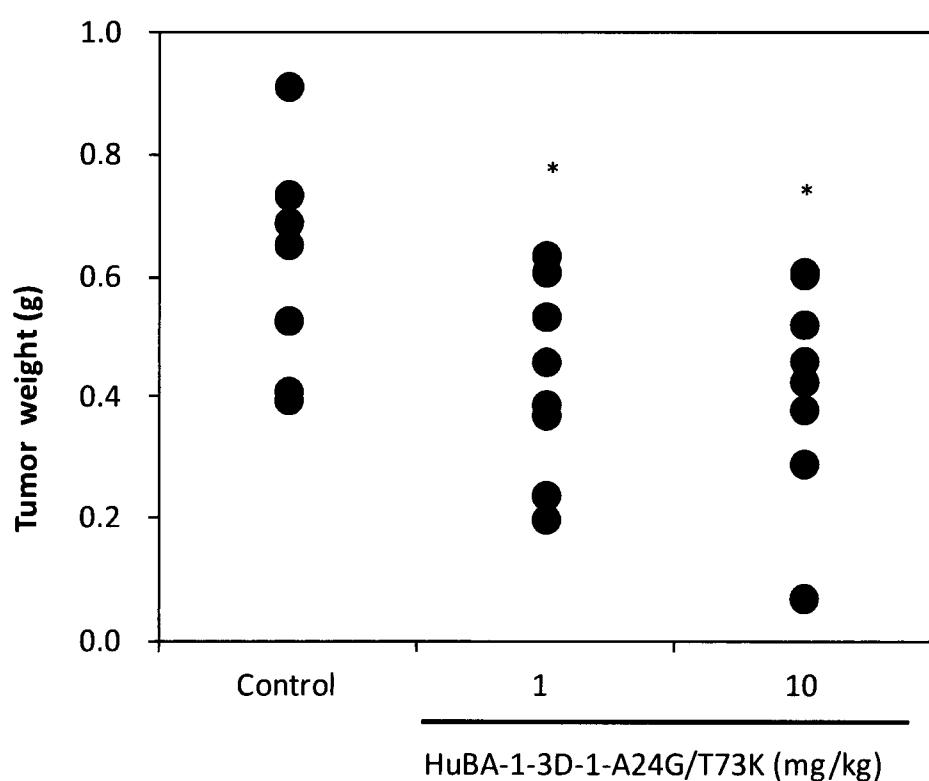


Fig. 34

