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(54) Title: AN IMPROVED MONOLITHIC DRUG DELIVERY SYSTEM

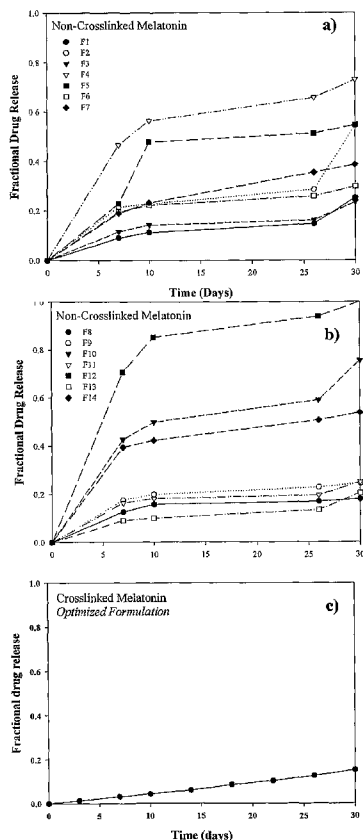


Figure 9: Release profiles of melatonin from polymer when drug was either (a) non-crosslinked or (b) non-crosslinked or (c) crosslinked during the formation of PLGA scaffolds.

(57) Abstract: This invention relates to an improved monolithic drug delivery dosage form which releases a pharmaceutically active agent at a predetermined rate. The dosage form comprises a salted-out or crosslinked polymer and a pharmaceutically active agent. The salted-out or crosslinked polymer functions to polymerically entangle the pharmaceutically active agent but, progressively relax on contact with an aqueous medium in use to release the pharmaceutically active agent at a predetermined rate.

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AN IMPROVED MONOLITHIC DRUG DELIVERY SYSTEM

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MONOLITHIC DRUG DELIVERY SYSTEM**FIELD OF THE INVENTION**

The field of this invention is the application of salting-out and crosslinking of polymers, preferably polyesters to modifying the physicochemical and physicommechanical properties of the said polymers and achieving rate modulated drug delivery system.

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BACKGROUND TO THE INVENTION

The correlation between the physicochemical and physicommechanical modifications of polymeric materials as well as the related release kinetics from drug delivery devices is significant to our understanding and elucidation of the mechanisms by which phenomena such as salting-out and crosslinking occur (Dashevsky, et al., 2005; Dayal et al., 2005; Huang et al., 2005; Jones et al., 2005; Young et al., 2005).

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Salting-out and cross-linking have major implications on the transitions of the physicochemical and physicommechanical properties of polymers that impact on the release kinetics of drug delivery devices and phenomena such as diffusion, relaxation and erosion. (Avgoustakis, 2004; Izutsu and Aoyagi, 2005). The alteration of the three-dimensional polymeric network that results from changes in bond vibrations, morphology, resilience and glass-transition temperature can be attained through ionic interactions between polymer-salt and polymer-polymer during salting-out and crosslinking (Gao et al., 2006).

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Salting-out, a colloidal phenomenon, has the capacity to change the morphology, resilience and glass-transition temperature of polymers, by means of salts that cause stochastic fluctuations of the free energy proportional to the salt concentration (Horvath, 1985; Tanaka and Takahashi, 2000). Zhang et al. (1995) showed that the salts can also modulate the release and swelling kinetics of bioerodible polyesters. Pillay and Fassihi (1999) reported on how electrolyte inclusions can alter the configuration and the micro-environment within hydrating matrices to control their swelling kinetics as well as physical rigidity. Furthermore, Hiroshu (2003) described the complexation of divalent ions such as calcium and magnesium to polyesters by ion-dipole bonds. The presence of these ionizable salts allows for non-collapsible diffusion channels to form within the polymeric structure. As the matrix hydrates, the salts and polymer compete for water of hydration, resulting in a programmed release rate (Pillay and Fassihi, 2001, Swenson 2001). Thus the salts will attract water molecules in an effort to solvate themselves, thereby dehydrating the polymer.

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One of the principal mechanisms of salting-out is the salt-induced surface tension increase of the water molecules (Eigen and Wicke, 1964; Melander and Horváth, 1977). Electron donor/acceptor interactions are a significant part of the salting-out technique, since the various anionic and cationic species in aqueous solution order certain extents of changes according to their efficacies in salting-out

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5 thermoplastic polymers such as OH polyester. Thermodynamic studies by Arakawa and Timashef (1982) demonstrated that the salts that decrease dissolution of hydrophobic polymers are preferentially excluded from the vicinity, strongly bind to the polymers and are called kosmotropes, whereas salts that increase the polymer solubility display weak preferential binding with the polymer
10 and tend to settle at the polymeric surfaces (Galinski et al., 1997; Moelbert et al., 2004).

Although there is a large variety of forces present, including electrostatic and Lifshitz-Van der Waals, the interactions responsible for the salting-out
15 phenomena seem to be dominated by the hydration forces ruled by electron donor/acceptor. Kosmotropes tend to tighten the inter- and intra-molecular structure allowing polymeric interactions, thus enhancing the polymeric properties that include resilience, energy of absorption and the deformability modulus of the polymer.

20 In aqueous polymeric solutions, salting-out creates stabilization of the water structure, thereby decreasing the hydrogen-bonding between water molecules and the polymeric chain. This is an alternate mode that enhances the hydrophobic interaction between polymeric chains (Bolen and Baskakov, 2001; Valery et al., 2004). These chains are rendered stiff by the introduction of
25 chemical bonds between their monomers (crosslinking), and between the polymeric chains and the salts, which further transform the properties of the polymer (Nystrom et al., 1995). The resulting crosslinked polymeric networks are dimensionally stable, with minimal hydrolysis of the polymer bonds, and exhibit
30 superior structural integrity, making them suitable for sustained drug delivery applications. While polymeric strengths are controlled by the degree of crosslinking, the degradation rate of these networks can be controlled independently by the chemical composition.

5 Furthermore, in addition to the potential transitions of the polymeric properties,
the drug release kinetics and mechanisms may also be significantly influenced by
a change in the physicomechanical properties of the polymeric material. Various
studies have reported on the mechanisms of drug release from monolithic
10 polymeric devices. In principle, a monolithic device is a simple drug delivery
system comprising homogenous drug dispersed within a polymeric matrix.
Langer and Peppas (1981) proposed that during the overall release of drugs from
monolithic matrices, two distinctive processes could be observed, namely,
swelling and 'true' dissolution of the polymer. In case of a swellable system, the
device will immediately swell once in contact with the dissolution media. Thus the
15 drug release is controlled by the hydration rate of the system. In order to
minimize an initial rapid drug release phase, the polymer employed must be able
to form a 'protective' gel layer prior to dissolution. Designing a monolithic system
for providing controlled drug release kinetics for water-soluble drugs such as,
melatonin, is often a challenge. Pillay and Fassihi, 2000, postulated that these
20 drawbacks may be attributed to the following factors, such as:

- (i) The increased hydrophilicity of the drug that causes a burst effect during
drug release;
- (ii) The lack of accurate management of polymer relaxation or disentanglement
25 over time-dependent processes in relation to drug dissolution and diffusion;
and
- (iii) The complexity of controlling the increase in the diffusional pathlength with
time is not easily attainable (Pillay and Fassihi).

30 The inherent ineffectiveness of this system can however, be manipulated through
the use of salts to modulate the internal geometry of the system. Salts have been
highly successful in controlling dissolution and drug release, by demonstrating
differential swelling boundaries and texturally variable matrices that manifest as
'peripheral matrix stiffening', a phenomenon that retards the release of water-
35 soluble drugs. Therefore, in this work, we evaluated the physicochemical and

5 physicochemical transitions occurring within salted-out polylactic-co-glycolic acid (PLGA), an α -OH polyester, using a statistical approach to develop a mechanistic understanding of its ability to control the release of melatonin from a monolithic drug delivery matrix. These salted-out complexes were termed 'PLGA scaffolds'.

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OBJECT OF THE INVENTION

15 It is an object of this invention to provide a means for modulating drug delivery through the salting-out and crosslinking of polymers carrying a pharmaceutically active ingredient and to provide a monolithic drug delivery dosage form.

SUMMARY OF THE INVENTION

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In accordance with this invention there is provided a monolithic drug delivery dosage form comprising a salted-out or crosslinked polymer and a pharmaceutically active agent disposed therewith, the salted-out or crosslinked polymer functioning to polymerically entangle the pharmaceutically active agent but, progressively relax on contact with an aqueous medium in use to release the pharmaceutically active agent at a predetermined rate.

25 There is also provided for the salted-out or crosslinked polymeric material to be poly-lactic co-glycolic acid that is able to control, in use, the release of a pharmaceutically active agent over a prolonged period of time depending on the rate of polymeric relaxation of the polymer on exposure to an aqueous medium.

30 There is also provided for the salting-out or crosslinking reaction to occur either in combination with the pharmaceutically active agent alternatively with the

5 polymeric material on its own to cause stochastic fluctuations of the reaction which results in polymeric entanglement of the pharmaceutically active agent.

There is further provided for polymeric relaxation reaction to occur in a time dependent manner from the outer boundaries of the dosage form towards its
10 inner boundaries and thus limit outward diffusion of the entangled pharmaceutically active agent in a controlled fashion as the inward ingress of aqueous medium causes a progressive relaxation of the polymeric chains from the outer boundaries of the dosage form or tablet in a direction towards its inner core.

15 There is also provided for the salting-out and crosslinking reaction of the polymer and a crosslinking reagent, preferably an inorganic salt further preferably an inorganic ionic salt, preferably from the Hofmeister series of salts examples of which are sodium chloride, aluminium chloride and calcium chloride.

20 There is further provided for the polymer to be a polyester, preferably a poly-lactic acid and/or its co-polymers and further preferably poly-lactic co-glycolic acid.

25 The invention extends to a method of producing a monolithic drug delivery dosage form comprising a salted-out or crosslinked polymer and a pharmaceutically active agent disposed therewith comprising salting-out or crosslinking a polymer to polymerically entangle the pharmaceutically active agent but, progressively relax on contact with an aqueous medium in use to
30 release the pharmaceutically active agent at a predetermined rate.

There is also provided for the salted-out or crosslinked polymeric material to be poly-lactic co-glycolic acid that is able to control, in use, the release of a
35 pharmaceutically active agent over a prolonged period of time depending on the rate of polymeric relaxation of the polymer on exposure to an aqueous medium.

5

There is also provided for the salting-out or crosslinking reaction to occur either in combination with the pharmaceutically active agent alternatively with the polymeric material on its own to cause stochastic fluctuations of the reaction which results in polymeric entanglement of the pharmaceutically active agent.

10

There is also provided for the salting-out and crosslinking reaction of the polymer and a crosslinking reagent, preferably an inorganic salt further preferably an inorganic ionic salt, preferably from the Hofmeister series of salts examples of which are sodium chloride, aluminium chloride and calcium chloride.

15

There is further provided for the polymer to be a polyester, preferably a poly-lactic acid and/or its co-polymers and further preferably poly-lactic co-glycolic acid.

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BRIEF DESCRIPTION OF AN EMBODIMENT OF THE INVENTION

One embodiment of a monolithic drug delivery dosage form according to the invention will be described below with reference to the accompanying figures in which:

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Figure 1 illustrates typical Force-Distance and Force-Time profiles of PLGA scaffolds for determining (a) energy absorbed (b) deformability modulus and (c) matrix resilience (N=10);

30

Figure 2 is a series of selected scanning electron micrographs of PLGA scaffolds demonstrating the surface morphology of PLGA: salted-out with NaCl [(a) and (b)], CaCl₂, [(c) and (d)], and AlCl₃ [(e) and (f)];

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- 5 Figure 3 shows profiles depicting differences in the physicochemical properties of PLGA scaffolds. Plot (a) Resilience (R), (b) Energy absorbed (E) and (c) Deformability modulus (DM);
- 10 Figure 4 shows typical surface response plots depicting the effects of the independent formulation variables on the physicochemical properties of the salted-out PLGA scaffolds, namely (a) Resilience (R) (%); (b) Energy absorbed (E) (J); and (c) Deformability modulus (DM) (N/mm). AC= $AlCl_3$, CC= $CaCl_2$, SC= $NaCl$. -1=0% w/v , 0=5% w/v , 1=10% w/v ;
- 15 Figure 5 presents a series of typical profiles used to construe the main and interactions effects of NaCl (a) and (d); $CaCl_2$ (b) and (e); $AlCl_3$ (c) and (f) for resilience in the presence of a combination of parameters;
- 20 Figure 6 illustrates profiles demonstrating the correlation between experimental and fitted response values. R=Resilience; E=Energy absorbed; DM= Deformability modulus;
- 25 Figure 7 shows five superimposed FTIR profiles depicting transitions from native PLGA to salted-out PLGA scaffolds. (a) = Native PLGA, (b)-(e) = PLGA salted-out with NaCl, $CaCl_2$, $AlCl_3$ and a combination of NaCl + $CaCl_2$ + $AlCl_3$ respectively;
- 30 Figure 8 illustrates Differential Scanning Calorimetry (DSC) profiles of native and salted-out PLGA scaffolds demonstrating thermal transitions of (a) native PLGA, (b) PLGA salted-out with NaCl, (c) $CaCl_2$, (d) $AlCl_3$, and (e) a combination of NaCl, $CaCl_2$, and $AlCl_3$; and

5 Figure 9 shows release profiles of melatonin from polymer when drug was either (a)(b) non-crosslinked or (c) crosslinked during the formation of PLGA scaffolds.

10 DETAILED DESCRIPTION OF AN EMBODIMENT OF THE INVENTION

1. Materials and Methods

1.1 Materials

PLGA was obtained from Boehringer Ingelheim Pharma (Ingelheim, Germany)
15 (Resomer[®] RG504 50:50; M_w 48,000; i.v. 0.48-0.60dl/g). Acetone was used as a solvent, and analytical grades of sodium chloride (NaCl), calcium chloride (CaCl_2), (Rochelle Chemicals, South Africa) and aluminium chloride (AlCl_3) (Merck, Darmstadt, Germany) were used as the ionic salts. Disodium hydrogen orthophosphate, (Na_2HPO_4), and potassium dihydrogen phosphate (KH_2PO_4)
20 were obtained from Saarchem (Pty) Ltd., South Africa. Model drug, melatonin was obtained from Sigma-Aldrich Co., Germany.

1.2 Building the experimental design

A 3 factor, 3 level Box-Behnken statistical design was built in order to model the
25 number of experiments needed for formulation optimisation and to establish the main and interaction effects of the independent formulation variables on the physicochemical and physicomechanical properties of the PLGA scaffolds using Minitab V14 (Minitab, USA).

30 1.3 Preparation of PLGA scaffolds

PLGA scaffolds were prepared by salting-out and subsequent crosslinking using a combination of acetone and ionic salts in accordance with a Box-Behnken design template outlined in Table 1. Fourteen polymeric solutions comprising 0.4g of PLGA dissolved in 15mL of acetone were prepared. The crosslinking
35 solutions comprising 75mL of 0^{w/v}, 5^{w/v} or 10%^{w/v} of NaCl, CaCl_2 or AlCl_3 was

5 added to the polymeric solution and agitated for a period of 30 minutes. The resultant PLGA scaffolds were removed from the crosslinking solution washed thrice with 500mL deionized water and dried to constant mass at room temperature. Each PLGA scaffold was stored for a maximum of 48 hours prior to physicochemical and physicomechanical evaluation.

10

Table 1: Box-Behnken design template with randomly generated PLGA Scaffold formulations

Formulations	Randomized Run Order	[NaCl] (%^w/v)	[CaCl₂] (%^w/v)	[AlCl₃] (%^w/v)
1	6	10	5	5
2	11	5	10	0
3	7	5	5	5
4	4	5	0	10
5	2	10	5	10
6	3	5	10	0
7	5	5	5	5
8	12	10	5	10
9	8	5	10	0
10	13	5	5	5
11	9	5	0	10
12	14	10	5	10
13	1	5	10	0
14	10	5	5	5

The quadratic model for the responses is shown in Equation 1:

15

$$\begin{aligned}
 \text{Response} = & b_0 + b_1 [\text{NaCl}] + b_2 [\text{CaCl}_2] + b_3 [\text{AlCl}_3] + b_4 [\text{NaCl}]^2 + b_5 [\text{NaCl}][\text{CaCl}_2] + \\
 & b_6 [\text{NaCl}][\text{AlCl}_3] + b_7 [\text{CaCl}_2][\text{AlCl}_3] + b_8 [\text{CaCl}_2]^2 + b_9 [\text{AlCl}_3]^2 \quad (\text{Equation 1})
 \end{aligned}$$

5

Where, the *Response* is associated with each factor level, $b_0...b_9$ are the regression coefficients, and [NaCl], [CaCl₂] and [AlCl₃] are the independent formulation variables.

10 **1.4 Morphological characterization of the PLGA scaffolds**

The surface morphology of the PLGA scaffolds was assessed from Scanning Electronic Microscopic (SEM) images employing a thermal emission JEOL JSM- (Japanese Electronic Optical Laboratories, Tokyo, Japan) electron micrograph. Samples of PLGA scaffolds were sectioned and mounted on aluminium stubs
15 prior to sputter-coating with a layer of carbon. Each sample was viewed under varying magnifications at an accelerating voltage of 20 kV.

1.5 Determination of the physicochemical properties of the PLGA scaffolds

20 The physicochemical properties of the PLGA scaffolds were evaluated using a Texture Analyzer (TA.XTplus Texture Analyzer, Stable Microsystems, UK). Stress-strain profiles with a high degree of accuracy and reproducibility were capture at a rate of 200 points per second employing Texture Exponent software V3.2 and subsequently analyzed. A 3.5cm flat-tipped circular steel probe was
25 attached to the force transducer. The parameter settings employed to obtain the energy absorbed, deformability modulus and matrix resilience are shown in Table 2.

Table 2: Textural parameter settings

Settings	Energy absorbed and Deformability modulus	Matrix resilience
Pre-test speed	1mm/sec	1mm/sec
Test speed	0.5mm/sec	0.5mm/sec

Post-test speed	1mm/sec	1mm/sec
Compression force / strain	40N	50%
Trigger type	Auto	Auto
Trigger force	0.5N	0.5N
Load cell	50kg	50kg
Distance	20mm	20mm

5

To elucidate the energy absorbed, matrix resilience and deformability modulus, Force-Distance and Force-Time profiles for each PLGA scaffold was generated. Typical textural profiles used for quantifying the physicommechanical properties are depicted in Figure 1.

10

Figure 1(a) depicts the anchors used in a Force-Distance profile for calculating the energy absorbed i.e. the total area under the curve (AUC) [Nm=Joules] between anchors 1 and 2. Figure 1(b) depicts the anchors employed for determining the deformability modulus (the tendency of the PLGA scaffolds to change shape upon the application of stress) i.e. the gradient between anchor 1 and the maximum force attained during sample analysis. Figure 1(c) depicts the anchors employed for calculating the matrix resilience, i.e. the ratio of the AUC between anchors 2 and 3 and 1 and 2 (AUC_{32}/AUC_{12}) for a Force-Time profile. Note that the resilience may be defined as

20

1.6 Elucidation of the molecular structural transformations within PLGA scaffolds

Fourier Transform Infra-Red (FTIR) spectroscopy was performed on native PLGA and PLGA scaffolds to determine chemical transformations potentially occurring within the polymeric backbone due to salting-out and subsequent crosslinking using a Nicolet Impact 400D (Nicolet Instrument Corporation, Pennsylvania, USA) instrument. The potassium bromide (KBr) disc approach was employed, whereby 7.5mg samples of each PLGA scaffold was triturated with 200mg of KBr

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5 and compressed in a transparent circular disc using a Beckman Hydraulic Press (WIKA Instruments (Pty) Ltd, Johannesburg, South Africa). Background scans were obtained for all samples and the % transmittance was recorded between 4000 – 400cm⁻¹ at an intermediate resolution.

10 **1.7 Thermal transition analysis of the PLGA scaffolds**

Differential Scanning Calorimetry (DSC) was used to record transitions in specific heat capacity and latent heat, which indicated changes in the amorphous or crystalline structure as a result of scaffold formation from crosslinking native PLGA. Thermal transitions were recorded on a Perkin-Elmer Pyris-1 connected
15 to a controller model TAC1/DX (Perkin-Elmer, Inc. USA). Samples were heated in increments from 25°C to 400°C at a rate of 10°C/min. Samples of 5-10mg of each PLGA scaffold was placed within a crimped aluminium pan and subjected to the heat gradient. Thermograms were obtained and subsequently analyzed.

20 **1.8 Preparation of the salted-out PLGA monolithic matrix**

Formulations of either drug-free PLGA or drug-loaded PLGA samples were salted-out at various concentrations in accordance with a Box-Behnken statistical design. Matrices were prepared by direct compression of a mixture comprising 300mg salted-out PLGA and 10mg melatonin for the drug-free PLGA and 350mg
25 of each drug-loaded variant was compressed using a Beckman Hydraulic Press (Beckman Instruments, Inc., Fullerton, USA).

1.9 Drug entrapment efficiency (DEE) of the PLGA scaffolds

DEE studies were performed by immersing each scaffold in 100mL acetone to
30 effect complete dissolution of the scaffold. Thereafter, melatonin content was established in triplicate using UV-spectroscopy at 278nm.

1.10 In vitro drug release from the monolithic matrices

Drug release studies were conducted in 500mL phosphate buffered saline (PBS)
35 (pH 7.4; 37⁰C) using a modified USP25 apparatus at 50 rpm. Melatonin assays

5 were performed with UV-spectroscopy (278 nm) (SPECORD 40, Jena, Germany). The dissolution data was subjected to a model-independent analysis known as the time-point approach. Briefly, the mean dissolution time set at 30 days (MDT₃₀) for each formulation was calculated. The application of the mean dissolution time provided a more precise analysis of the drug release
10 performance and a more accurate comparison of several dissolution data sets. Equation 2 was employed in this regard:

$$M D T = \sum_{i=1}^n t_i \frac{M_t}{M_{\infty}} \quad (\text{Equation 2})$$

Where M_t is the fraction of dose released in time $t_i = (t_i + t_{i-1}) / 2$ and M_{∞}
15 corresponds to the loading dose.

2. Results and Discussion

2.1 Proposed interactions between native PLGA polymeric chains and 20 crosslinking ions

The solvated ion pairs of Na^+ , Ca^{2+} , and Al^{3+} develop into electron nodes that facilitate ionic reactions. These solvated ion pairs are able to attract the adjacent cations of Cl^- and O^{2-} within the polymeric matrix thus contributing to crosslinking of lactide and glycolide chains within the PLGA molecular structure. This
25 crosslinking reaction depends primarily on the ionization energies of the salting-out ion, hydration enthalpies in solution and the thermodynamic stability of the monomeric PLGA units. Furthermore, the coordination number of each salt, 8, 6 and 4 for Al^{3+} , Na^+ and Ca^{2+} respectively and atomic size of ions (Table 3) also influences the attraction of adjacent cations during crosslinking with the ion
30 and/or salt possessing the highest coordination number and atomic size having the most influence on the crosslinking reaction and subsequently contributes a central factor in modifying the native PLGA polymeric structure to produce a robust PLGA scaffold.

5 **Table 3:** Physicochemical properties of the salts employed during crosslinking of native PLGA

Salt Type	Atomic radius of Metals (pm)	Metal Coordination Number	Cation Coordination Number
NaCl	186	6	6
CaCl ₂	197	4.2+/-0.5	5.4+/- 0.3
AlCl ₃	125	8	6

10 The salts used in this study, namely NaCl, CaCl₂ and AlCl₃ differ with regard to the physicochemical, physicommechanical and morphological structure of the resultant PLGA scaffolds. Furthermore, the shape and stereo-orientation within the PLGA structure differs and therefore, the ability of water molecules to be imbibed within the matrix depends on the rate of polymer-salt interactions, the reactivity, atomic size and coordination number of the concerned ions, the nature of the crystal-lattice packing of ions and the polymeric substrate present during
15 salting-out and subsequent crosslinking.

2.2 Scanning electron microscopic image analysis of the PLGA scaffold morphology

20 Three-dimensional architecture comprising various fiber volume and diameters, interconnections and pore sizes were obtained from polymer-salt interactions as a result of crosslinking during PLGA scaffold formation. The presence of salts generated areas of high entropy at the solid-liquid interfaces resulting in altered fibrous structures with distinct morphologies (Figure 2). The ionic interactions between the salts and PLGA molecules were dependent on the ionization
25 energies of crosslinking ions, hydration enthalpy in solution as well as the thermodynamic stability and molecular accumulation of salts and water at the lactide-glycolide strands of native PLGA. As a result several morphological conformations of each PLGA scaffold were obtained (Figure 2). Furthermore, the

5 coordination number of each salt influenced the number of covalent bonds formed. Hence, hydrogen bonding and other intra and inter-ionic forces located on the PLGA molecule (oxygen residues) cluster-packed with water molecules decided the nature and size of pores and fibers of the newly formed PLGA scaffolds.

10

SEM images of PLGA scaffolds salted-out with NaCl revealed a uniform distribution of interconnected pores, divided by struts of microporous structures that maintained homogeneity of crosslinked fibers in a neuronal meshwork archetype (Figures 2a and 2b). These fibers possessed pores and fiber diameters ranging between 0.1-1.4 μm , and fiber volumes ranging from 0.01-0.03 μm^3 . In Figures 2c and 2d, the divalent salt CaCl_2 produced a fibrillar composite PLGA scaffold with interconnecting channels in a distinct voluminous trabecular formation with scales of pore sizes and diameters ranging between 7.5-15 μm and fiber volumes of 800-14000 μm^3 . In Figures 2e and 2f, PLGA scaffolds salted-out with a trivalent salt namely AlCl_3 revealed fine fibrous morphologies with distinct crosslinks that resulted in a ramified interconnection of the PLGA scaffold design with pore sizes and diameters ranging from 0.03-0.10 μm and fiber volumes between 0.09-0.17 μm^3 .

25

In general, introduction of salting-out ions to the polymeric solution facilitated the native PLGA polymeric structure to assume a fixed three-dimensional configuration into crosslinked lactide-glycolide chains. Furthermore, the adjacent voids resulting from such a configuration were able to accommodate water molecules in accordance to the dimensions of voids created due to short and long distance interactions between native PLGA chains. These voids significantly provided the space for binding water molecules which was dependent on the rate of the salting-out and subsequent crosslinking reaction. An increase in the fibrillar nature of the PLGA scaffolds augmented the physicommechanical properties such as matrix resilience. The distinct differences in morphology revealed in each

30

5 micrograph of the PLGA scaffolds suggest the versatility of the scaffold and hence may be suitable for tailored manufacturing that match specific applications.

2.3 Textural profile analysis to quantify the physicochemical properties of PLGA scaffolds

10 Analysis of the textural profiles provided an insight on the Stress-Strain relationships for the PLGA scaffolds. Results demonstrated that native PLGA could be modified into a highly resilient polymeric material by rapid ionic salting-out and subsequent crosslinking in order to achieve elasticity that depended mainly on the type and the concentration of salt employed. The matrix resilience
15 values of PLGA scaffolds salted-out with NaCl and AlCl₃ were superior to that of PLGA scaffolds salted-out with CaCl₂ (Figure 3).

The physicochemical nature of the salt employed during salting-out created a micro-environment which accentuated the viscoelastic behaviour of the PLGA
20 scaffold. The viscoelasticity caused densification of the PLGA scaffolds, which resulted in resistance of the scaffold to deform under stress during textural analysis. Figures 4a and 4b revealed significant increases in the resilience and energy absorbed when concentrations of NaCl and AlCl₃ were increased, for instance in formulations 2, 12 and 14. The concentration of CaCl₂ was found to
25 be inversely proportional to the energy absorbed as demonstrated in formulations 6, 9 and 13. The increase in resilience and deformability moduli was linearly correlated to the quantity of energy absorbed by the PLGA scaffolds per unit volume shown in Figure 4b and c.

30 The modification of the physicochemical properties revealed by these profiles is consistent with the dense surface morphology of the PLGA scaffolds depicted in Figure 2. In general, salts that produced more compact polymeric structures with smaller and more uniform pores, such as NaCl and AlCl₃ accentuated the physicochemical properties of the PLGA scaffolds, whereas salts that
35 produced larger pores decreased the resilience.

5

Furthermore, the resultant accumulation of additional water molecules conferred more dipoles to the matrix, which were less responsive to activity within the PLGA backbone. Ferry (1980) reported that the presence of water molecules which are dipole and contributors of interfacial tension decreases matrix
10 resilience. The proximity of the polarising dipoles to the PLGA backbone and the extent to which they are influenced by the configurational activity of the PLGA chain directly influenced the total resilience, energy absorbed, and deformability modulus of the PLGA scaffold.

15 ***2.4 Response surface plots indicating interaction between dependent variables***

Surface plots (Figure 4) were constructed to visually demonstrate the individual and synergistic effects of the salts on modifying the physicomechanical properties of native PLGA by scaffold formation. Figure 4a revealed that at lower
20 concentrations (between 0-5%^{w/v}), NaCl significantly increased resilience of PLGA scaffolds up to a limit of 15% at which any further increase of NaCl (above 5%^{w/v}) resulted in a decreased resilience. At low concentrations (between 0 and 5%^{w/v}) of AlCl₃ a resilience of 10% was maintained and a further increase in AlCl₃ beyond 5%^{w/v} resulted in a linear increase of resilience.

25

Figure 4b demonstrated that a concentration of CaCl₂ above 5%^{w/v} lowered the energy absorbed and that a 10%^{w/v} CaCl₂ significantly diminished the ability of the PLGA scaffolds to absorb energy. Furthermore, Figure 4c depicts that the concentration of CaCl₂ had a minor effect on the deformability modulus of the
30 PLGA scaffolds, whereas an increase in AlCl₃ concentrations largely increased the deformability modulus of the PLGA scaffolds. The following three-dimensional surface plots depict each of the responses (physicomechanical properties) resulting from changes in the independent formulation variables.

5 **2.5 Determination of the main and interactions effects on the various responses**

The main and interaction effects of the salt type and concentration and their influence on the physicochemical properties of PLGA are demonstrated in Figure 5. The plots of main and interaction effects were run to provide a visual authentication of the significant variables on the resilience, energy absorbed, and deformability modulus model terms. The effects on the responses were found to be attributable to the main effects (i.e. the salts) as well as other interactions such as the polymeric substrate, solvent, water volume, and salting-out reaction time up to the last variable interactions. The degree of interactions was observed to rise exponentially with the number of factors. Digression from the centre-point designated a change in response over the tested range. Visually, the discrepancies in the mean values of the plot are the least squares estimate for the effect. Huge discrepancies indicated by higher gradients as in Figures 5a, c, d, and f signified important variables while diminutive discrepancies indicated by lower gradients signified trivial variables in a given plot. Parallel plots as in Figures 5b and 5e implied minimal or no interaction of the independent formulation variable.

As demonstrated in Figure 5, the type and concentration of salt played a vital role in the nature and extent of PLGA modification. In Figure 5c NaCl, a monovalent salt had the greatest effect on resilience with optimal resilience experienced at 5%^{w/v}. The divalent salt CaCl₂ had a minor effect on resilience, regardless of the change in concentrations. It was also observed that the resilience increased with the increase in concentration of the trivalent salt AlCl₃ from 5%^{w/v}. A similar correlation could be seen from Figure 3 and Figure 4. These observed transitions in resilience can be explained as a contribution from a combination of several effects such as variations of the water molecule structure present in the matrix hydration sheath as well as the adjustments of the interactions between the PLGA and solvent due to the presence of various salts.

5 **2.6 Correlation between the experimental and fitted responses
employing a quadratic model**

Figure 6 depicts the close correlation between the fitted and experimental values for the dependent formulation variables, namely, resilience, energy absorbed, and deformability modulus. No significant differences were noted between the
10 fitted and experimental values ($p>0.05$). This therefore, indicated that the Box-
Behnken design provided a suitable statistical approach to evaluate the effects of
various salts on modifying native PLGA into salted-out PLGA scaffolds.

15 **2.7 Assessment of the polymer-salt interactions and polymeric structural
transitions**

As observed in the FTIR profiles depicted in Figure 7, the functional groups of PLGA involved in interactions with the salts were similar. However, the degree and extent of these bond vibrations at finger-print regions varied. This implied that the polymer-salt interaction in solution was clearly influenced by the
20 molecular structure of the salt as well as the chemical backbone of PLGA that
resulted in the diverse morphological, physicochemical, and physicomechanical
transitions demonstrated by the PLGA scaffolds.

During salting-out and subsequent crosslinking the salts ionized in water and
25 reacted with the δ , π , σ , C-O and H-groups, to form hydrogen, ether bonds and
salt-oxygen bonds between the PLGA chains. This resulted in crosslinking of the
lactide-glycolide units within the PLGA molecular structure. Hydrogen, ether and
ion-oxygen bonds were formed by the salts between free pendant carbonyl
groups of PLGA into resonance stabilized bonds. This was demonstrated by the
30 prominent vibrational increase in the frequency ranges of $1180-1300\text{ cm}^{-1}$ (COC),
 $3200-3700\text{ cm}^{-1}$ (OH stretching), $1600-1900\text{ cm}^{-1}$ (CO) and the synchronous
decrease in the C=O groups (bending) vibration intensities in the range of $1530-2500\text{ cm}^{-1}$. The intensities of transmittance of aliphatic ester bonds present in PLGA were also decreased. Furthermore, crosslinks formed by the non-uniform

5 length of polymeric chains resulted in a three-dimensional dense network that caused further vibrational intensities (Figure 7).

2.8 Thermal transitions within the PLGA scaffolds

10 Figure 8 demonstrates the enthalpy changes due to various polymer-salt interactions. During salting-out of PLGA, the enthalpy of the PLGA scaffolds was enhanced by the increase in steric strain attributable to a gain of electron energy. Furthermore, enthalpy changes also occurred as a result of bond formation that increased the bond-energy of the system and resonance stabilization thereby increasing the internal energy and enthalpy of the PLGA scaffolds. Furthermore,

15 the steric strain caused by bond stretching, bond-angle deformation, and polymer-salt interactions increased the internal energy and enthalpy of the system. As molecules gained sufficient mobility to initiate the crosslinking reaction exothermic changes occurred in the temperature range of 40-47°C which essentially described the glass transition point.

20

Table 4 lists the significant parameters obtained from analysis of the DSC profiles. In Figure 8a-e a step transition from glass to rubbery state on the heating cycle was clearly observed as sharp peaks at 47.24, 41.79, 40.19, 43.35 and 42.82°C respectively. The melting point range of PLGA scaffolds was 140-

25 160°C which was a significant reduction from native PLGA that has a melting point range of 280-300°C. Re-crystallization and further decomposition of the polymeric-salt complex took place between 410-430°C.

Table 4: Thermal parameters of native and salted-out PLGA employing DSC

Formulation	Tg °C	mp °C	Tc °C	Td °C
Native PLGA	47.24	280-300	354.85	411.65
B	41.79	148.30	285.61	428.05
C	40.19	280	315.30	426.94
D	43.35	166.54	343.04	420.06

5 *T_g* =glass transition temperature; *mp* =melting point; *T_c* =re-crystallization temperature; *T_d* =degradation temperature

Depending on the type of salt employed, water can be trapped within the polymeric matrix and thus depress the *T_g*. The size of ions ($Al^{3+} < Na^{+} < Ca^{2+}$)
10 determined the degree of *T_g* depression. Kelly and co-workers (1987) reported that a significant change in *T_g* is observed with a 10% increase or decrease in the water content within the polymeric matrix. Thus the dynamic activity of PLGA chains may be restricted by confines of water molecules within the matrix. The large ionic radius of Ca^{2+} led to an increase in the number of voids within the
15 scaffold utilized by water molecules. Conversely, Na^{+} and Al^{3+} ions decreased the number of voids. Hence PLGA scaffolds salted-out with $CaCl_2$ had a lower *T_g* of 40.19°C. Studies by Paulaitis and co-workers (2004) have yielded verification on the dependence of polymeric hydration free energy on the solute size and shape. Moreover, work done by Bernazzani and co-workers (2003) demonstrated
20 that precipitation of polymers from dilute solutions would depress the *T_g* which may be attributed to the crosslink induced shorter and free chain ends that disarray the crystallinity of the matrix. This was consistent in the findings of this study as well.

25 **2.9 Characterization of the *in vitro* drug release from the monolithic matrices**

Theoretically, the primary drug release mechanism from both PLGA monolithic matrices should be diffusion through the matrix layer by a Fickian release mechanism. However, matrix swelling and erosion also played a significant role.
30 Since melatonin is water-soluble and PLGA is a hydrophobic polymer, the rate of drug release decreased as a function of time as the diffusional path length for drug release increased over time when the dissolution medium front approached the center of the matrices.

5 When melatonin was incorporated in a non-crosslinked manner, DEE varied between 46-90%. On the other hand, when melatonin was involved in the crosslinking process, an average DEE of 90% was achieved. Release profiles revealed that the monolithic matrices prepared by salting-out and subsequently crosslinking PLGA with melatonin employing various salts were able to achieve
10 zero-order release kinetics with less than 20% melatonin released over a period of 30 days (Figure 9c). Monolithic matrices demonstrated a mean dissolution time at 30 days (MDT₃₀) of 6 to 26 (Figure 9a and b). The fractional drug release (M_t/M_∞), and the drug release kinetics were calculated using the power law $M_t/M_\infty = k_0 t$, where k , the kinetic constant was found to be k_0 of 0.004 to 0.038.
15 The optimized formulation demonstrated 30-day zero-order kinetics for *in vitro* melatonin release (Figure 9c). This study demonstrated that crosslinking significantly controlled the rate of drug release due to the strong interactions between the drug and polymeric chains. The slow diffusion of melatonin from salted-out PLGA occurred due to shielding of polymeric reaction sites and coiling
20 which prevented the maintenance of the same effective collision rate at which chemical reactions are obtained between the same functional groups and the aqueous environment in non crosslinked polymer molecules, thus conferring the ability to achieve ideal zero-order drug release.

25

3. Conclusion

The salting-out and subsequent crosslinking approaches applied in the study in order to modify the physicochemical and the physicomechanical properties of
30 native PLGA and achieve more controlled drug release kinetics displayed significant potential. The resulting crosslinked PLGA scaffolds exhibited superior structural integrity as determined by parameters such as resilience, energy of absorption and deformability moduli which contributed to the overall robustness and level of porosity of the PLGA scaffolds making it a favourable candidate for
35 controlled drug delivery. The close correlation between the experimental and

5 fitted response values demonstrated the reliability of the selected statistical
design for experimental optimisation. The monovalent, divalent and trivalent ionic
salts employed in the study proved to be suitable in transforming the structure of
native PLGA into a modified PLGA scaffold with superior physicochemical
properties. These superior properties were confirmed by textural profile analysis,
10 SEM, DSC and FTIR studies. In general, the degree of bond formation in the
PLGA backbone demonstrated by vibrational intensity transitions from FTIR
studies, in combination with the newly formed hydrolytically degradable PLGA
crosslinks present a possible application of the PLGA scaffolds in rate-modulated
drug delivery. This study has also demonstrated that salting-out and subsequent
15 crosslinking of PLGA can significantly control the rate of drug release as a result
of strong bonds formed between the drug and PLGA during crosslinking
ultimately leading to zero-order release kinetics.

20 4. References

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5 **CLAIMS**

1. A monolithic drug delivery dosage form comprising a salted-out or crosslinked polymer and a pharmaceutically active agent disposed therewith, the salted-out or crosslinked polymer functioning to
10 polymerically entangle the pharmaceutically active agent but, progressively relax on contact with an aqueous medium in use to release the pharmaceutically active agent at a predetermined rate.
2. A monolithic drug delivery dosage form as claimed in claim 1 in which the
15 salted-out or crosslinked polymeric material is poly-lactic co-glycolic acid that is able to control, in use, the release of a pharmaceutically active agent over a prolonged period of time depending on the rate of polymeric relaxation of the polymer on exposure to an aqueous medium.
- 20 3. A monolithic drug delivery dosage form as claimed in claim 1 or in claim 2 in which the salting-out or crosslinking reaction occurs in combination with the pharmaceutically active agent to cause stochastic fluctuations of the reaction which results in polymeric entanglement of the pharmaceutically active agent.
- 25 4. A monolithic drug delivery dosage form as claimed in claim 1 or in claim 2 in which the salting-out or crosslinking reaction occurs with the polymeric material on its own to cause stochastic fluctuations of the reaction which results in polymeric entanglement of the pharmaceutically active agent.
- 30 5. A monolithic drug delivery dosage form as claimed in one of the preceding claims in which polymeric relaxation reaction occurs in a time dependent manner from the outer boundaries of the dosage form towards its inner boundaries and thus limits outward diffusion of the entangled
35 pharmaceutically active agent in a controlled fashion as the inward ingress

- 5 of aqueous medium causes a progressive relaxation of the polymeric chains from the outer boundaries of the dosage form or tablet in a direction towards its inner core.
6. A monolithic drug delivery dosage form as claimed in one of the preceding
10 claims in which the salting-out and crosslinking reaction of the polymer occurs with a crosslinking reagent.
7. A monolithic drug delivery dosage form as claimed in claim 6 in which the
15 crosslinking agent is an inorganic salt.
8. A monolithic drug delivery dosage form as claimed in claim 7 in which the
inorganic salt is an inorganic ionic salt.
9. A monolithic drug delivery dosage form as claimed in claim 7 in which the
20 inorganic ionic salt is one of the Hofmeister series of salts.
10. A monolithic drug delivery dosage form as claimed in claim 7 in which the
Hofmeister series of salts are selected from the group consisting of:
sodium chloride; aluminium chloride and calcium chloride.
- 25
11. A monolithic drug delivery dosage form as claimed in one of the preceding
claims in which the polymer is a polyester.
12. A monolithic drug delivery dosage form as claimed in claim 11 in which the
30 polyester is a poly-lactic acid and/or its co-polymers.
13. A monolithic drug delivery dosage form as claimed in claim 12 in which
the poly-lactic acid is poly-lactic co-glycolic acid and/or its co-polymers.

- 5 14. A method of producing a monolithic drug delivery dosage form comprising a salted-out or crosslinked polymer and a pharmaceutically active agent disposed therewith comprising salting-out or crosslinking a polymer to polymerically entangle the pharmaceutically active agent but, progressively relax on contact with an aqueous medium in use to release
10 the pharmaceutically active agent at a predetermined rate.
- 15 15. A method of producing a monolithic drug delivery dosage form as claimed in claim 14 in which the salted-out or crosslinked polymeric material is poly-lactic co-glycolic acid that is able to control, in use, the release of a pharmaceutically active agent over a prolonged period of time depending on the rate of polymeric relaxation of the polymer on exposure to an aqueous medium.
- 20 16. A method of producing a monolithic drug delivery dosage form as claimed in claim 14 or in claim 15 in which the salting-out or crosslinking reaction occurs in combination with the pharmaceutically active agent.
- 25 17. A method of producing a monolithic drug delivery dosage form as claimed in claim 14 or in claim 15 in which the salting-out or crosslinking reaction occurs with the polymeric material on its own to cause stochastic fluctuations of the reaction which results in polymeric entanglement of the pharmaceutically active agent in use.
- 30 18. A method of producing a monolithic drug delivery dosage form as claimed in any one of the preceding claims in which the salting-out and crosslinking reaction of the polymer and a crosslinking reagent occurs with a crosslinking agent.
- 35 19. A method of producing a monolithic drug delivery dosage form as claimed claim 18 in which the crosslinking agent is an inorganic salt.

5

20. A method of producing a monolithic drug delivery dosage form as claimed claim 19 in which the inorganic salt is an inorganic ionic salt.

10

21. A method of producing a monolithic drug delivery dosage form as claimed claim 20 in which the inorganic ionic salt is one of the Hofmeister series of salts.

15

22. A method of producing a monolithic drug delivery dosage form as claimed claim 21 in which the Hofmeister series salt is selected from the group consisting of: sodium chloride; aluminium chloride and calcium chloride.

23. A method of producing a monolithic drug delivery dosage form as claimed in any one of claims 14 to 22 in which the polymer is a polyester.

20

24. A method of producing a monolithic drug delivery dosage form as claimed claim 23 in which the polyester is a poly-lactic acid and/or its co-polymers.

25

25. A method of producing a monolithic drug delivery dosage form as claimed claim 24 in which the poly-lactic acid is poly-lactic co-glycolic acid. and/or its co-polymers.

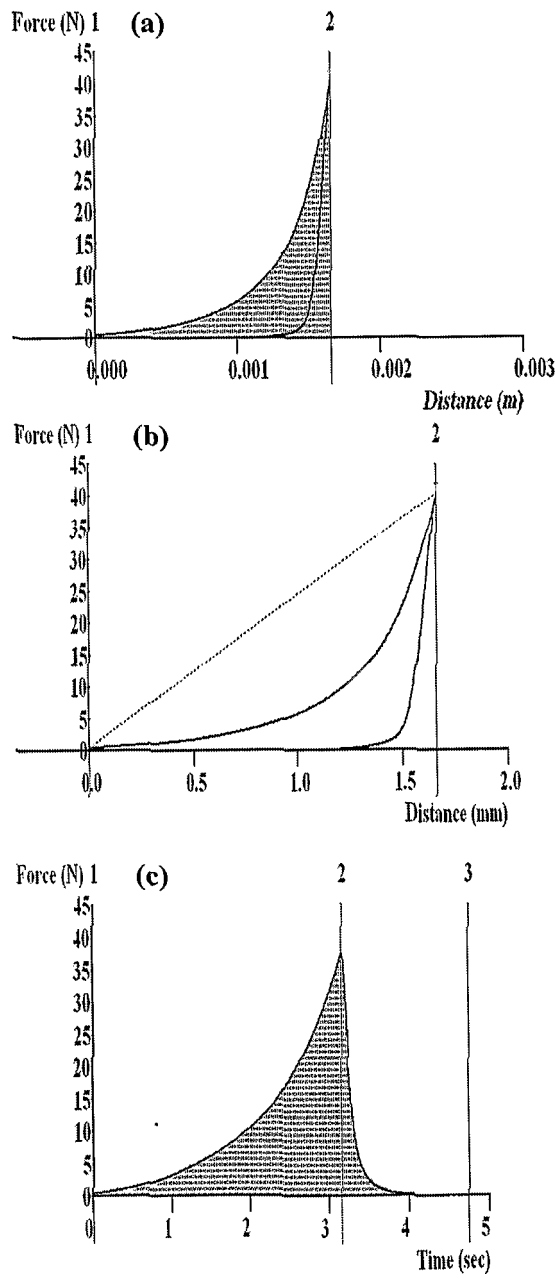


Figure 1: Typical Force-Distance and Force-Time profiles of PLGA scaffolds for determining (a) energy absorbed (b) deformability modulus and (c) matrix resilience (N=10).

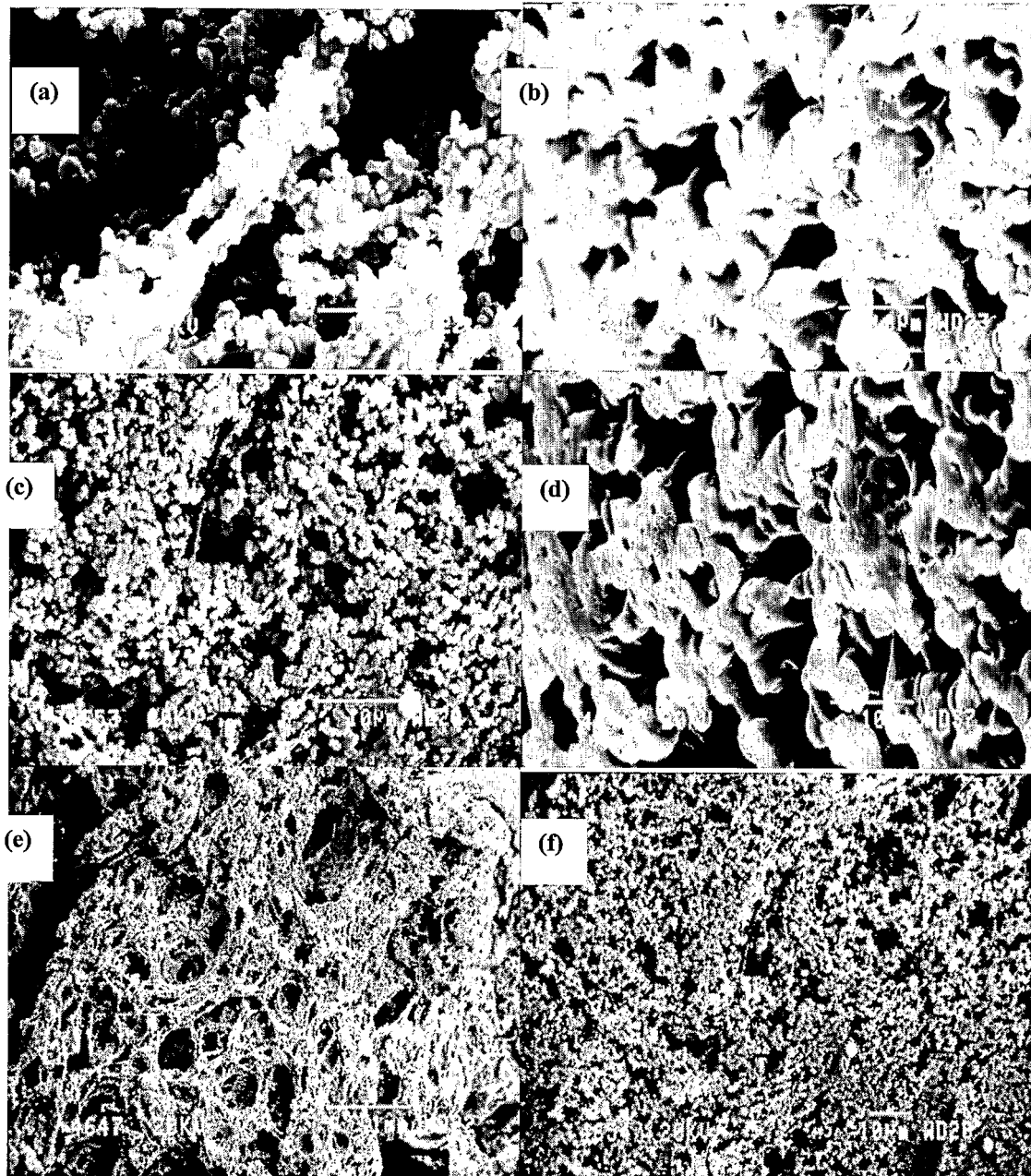


Figure 2: Selected scanning electron micrographs of PLGA scaffolds demonstrating the surface morphology of PLGA: salted-out with NaCl [(a) and (b)], CaCl₂, [(c) and (d)], and AlCl₃ [(e) and (f)]

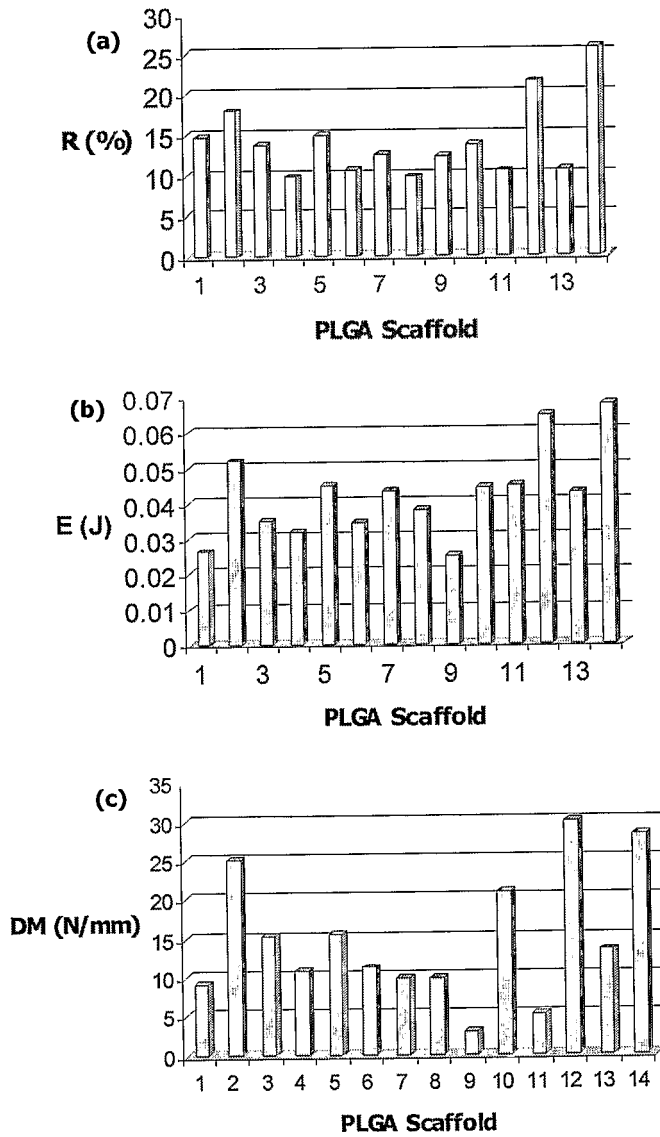


Figure 3: Profiles depicting differences in the physicomechanical properties of PLGA scaffolds. Plot (a) Resilience (R), (b) Energy absorbed (E) and (c) Deformability modulus (DM).

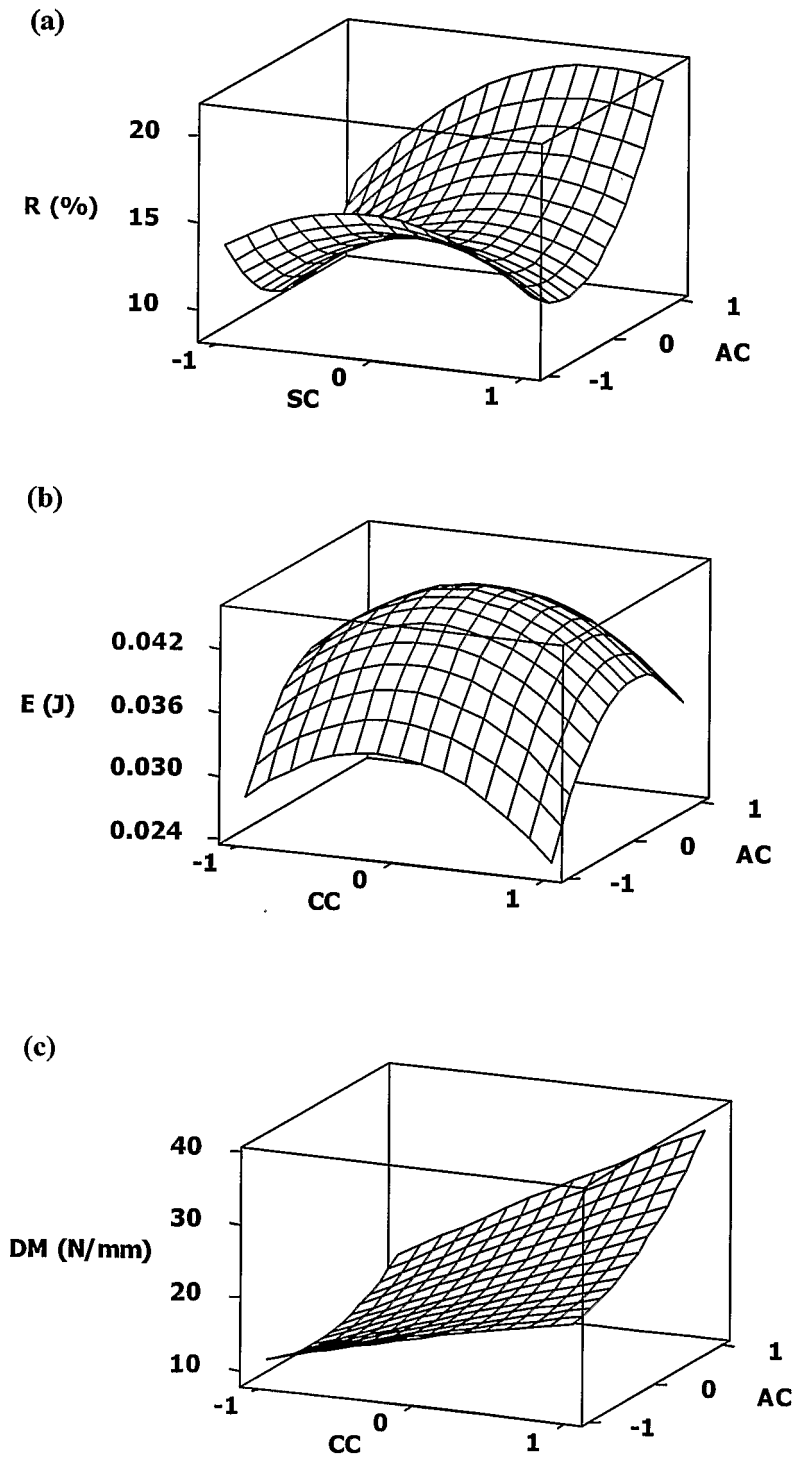


Figure 4: Typical surface response plots depicting the effects of the independent formulation variables on the physicomechanical properties of the salted-out PLGA scaffolds, namely (a) Resilience (R) (%); (b) Energy absorbed (E) (J); and (c) Deformability modulus (DM) (N/mm). AC= $AlCl_3$, CC= $CaCl_2$, SC= $NaCl$. -1=0% $^{w/v}$, 0=5% $^{w/v}$, 1=10% $^{w/v}$.

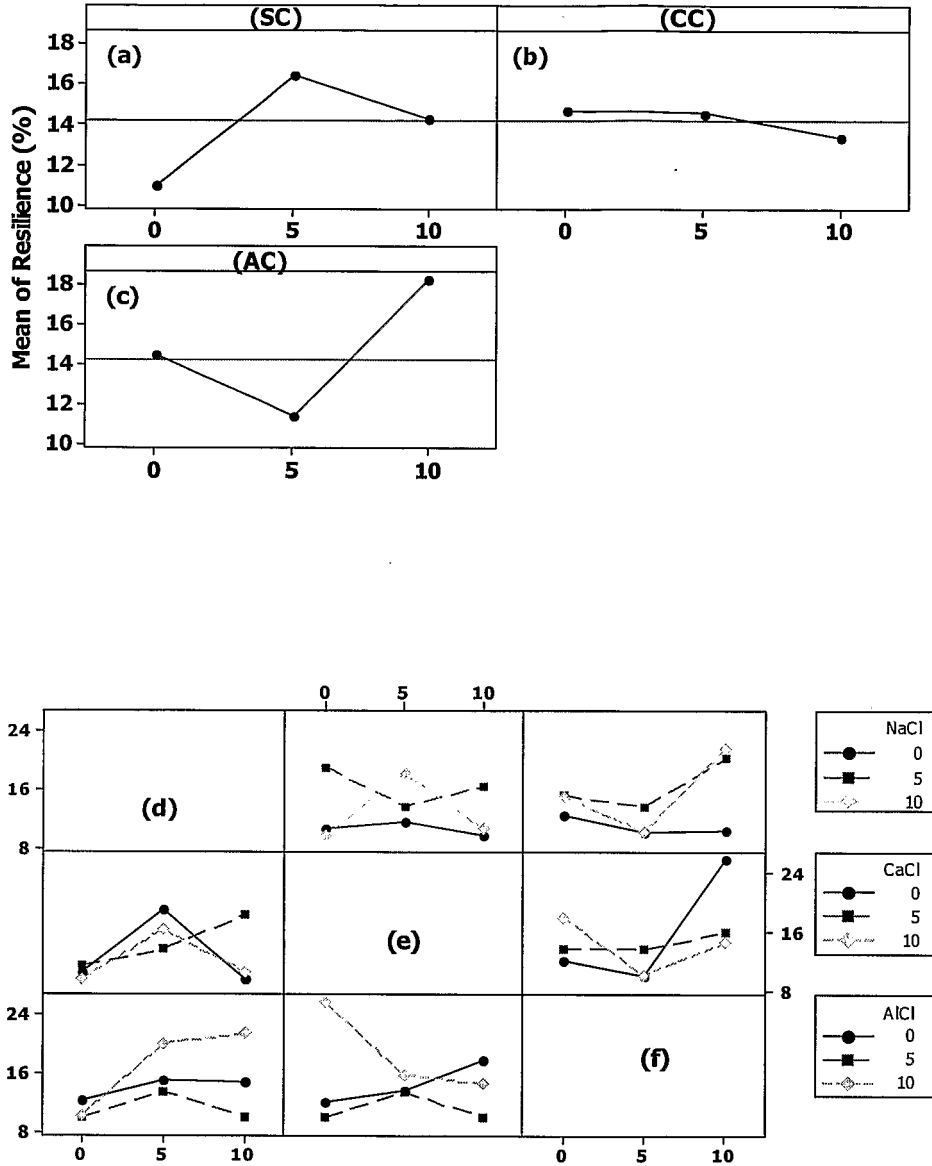


Figure 5: Typical profiles used to construe the main and interactions effects of NaCl (a) and (d); CaCl₂ (b) and (e); AlCl₃ (c) and (f) for resilience in the presence of a combination of parameters.

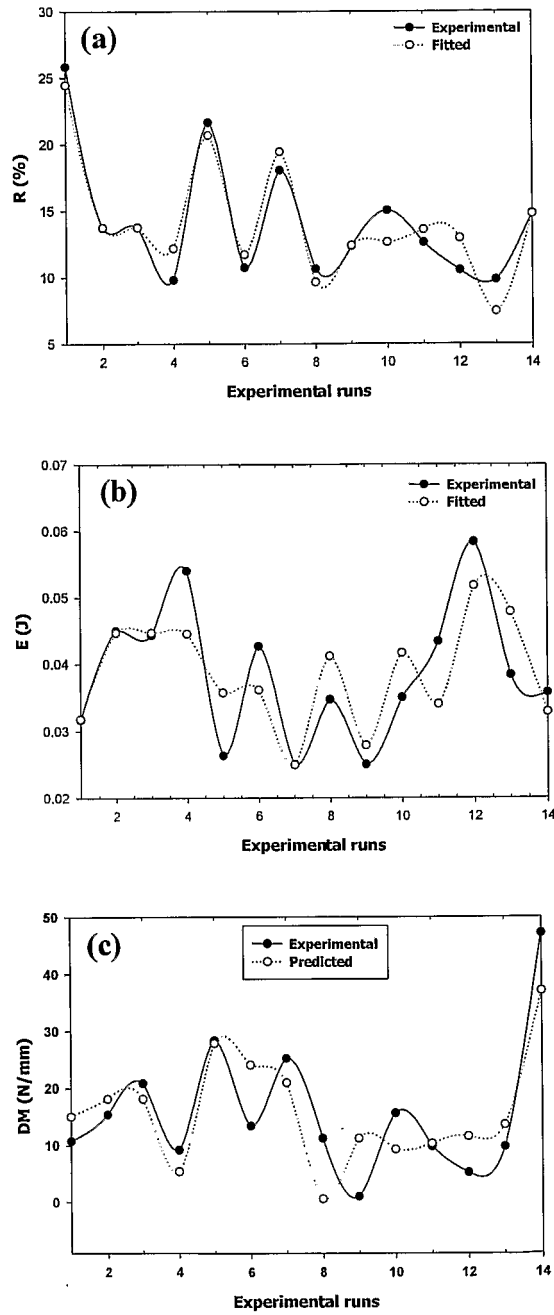


Figure 6: Profiles demonstrating the correlation between experimental and fitted response values. R=Resilience; E=Energy absorbed; DM= Deformability modulus.

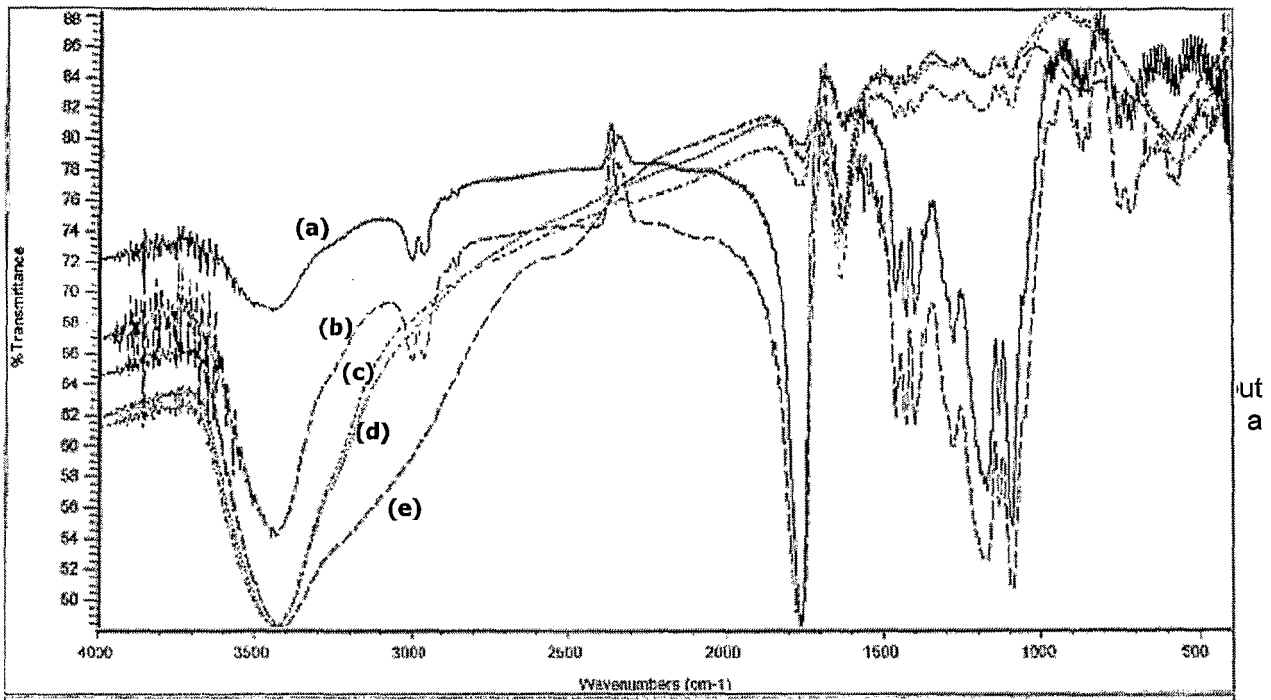


Figure 7: Five superimposed FTIR profiles depicting transitions from native PLGA to salted-out PLGA scaffolds. (a) = Native PLGA, (b)-(e) = PLGA salted-out with NaCl, CaCl₂, AlCl₃ and a combination of NaCl + CaCl₂ + AlCl₃ respectively.

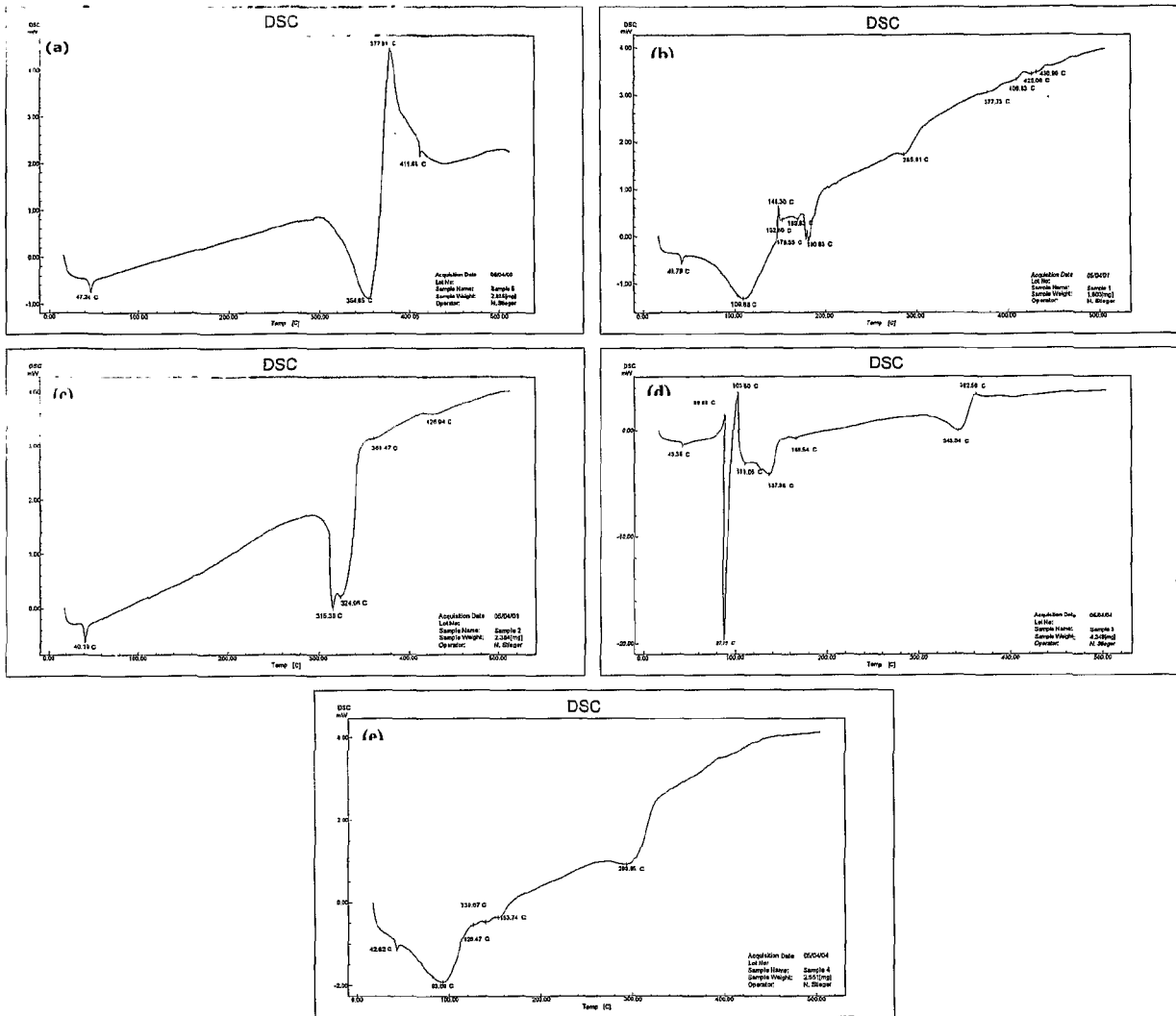


Figure 8: Differential Scanning Calorimetry (DSC) profiles of native and salted-out PLGA scaffolds demonstrating thermal transitions of (a) native PLGA, (b) PLGA salted-out with NaCl, (c) CaCl₂, (d) AlCl₃, and (e) a combination of NaCl, CaCl₂, and AlCl₃.

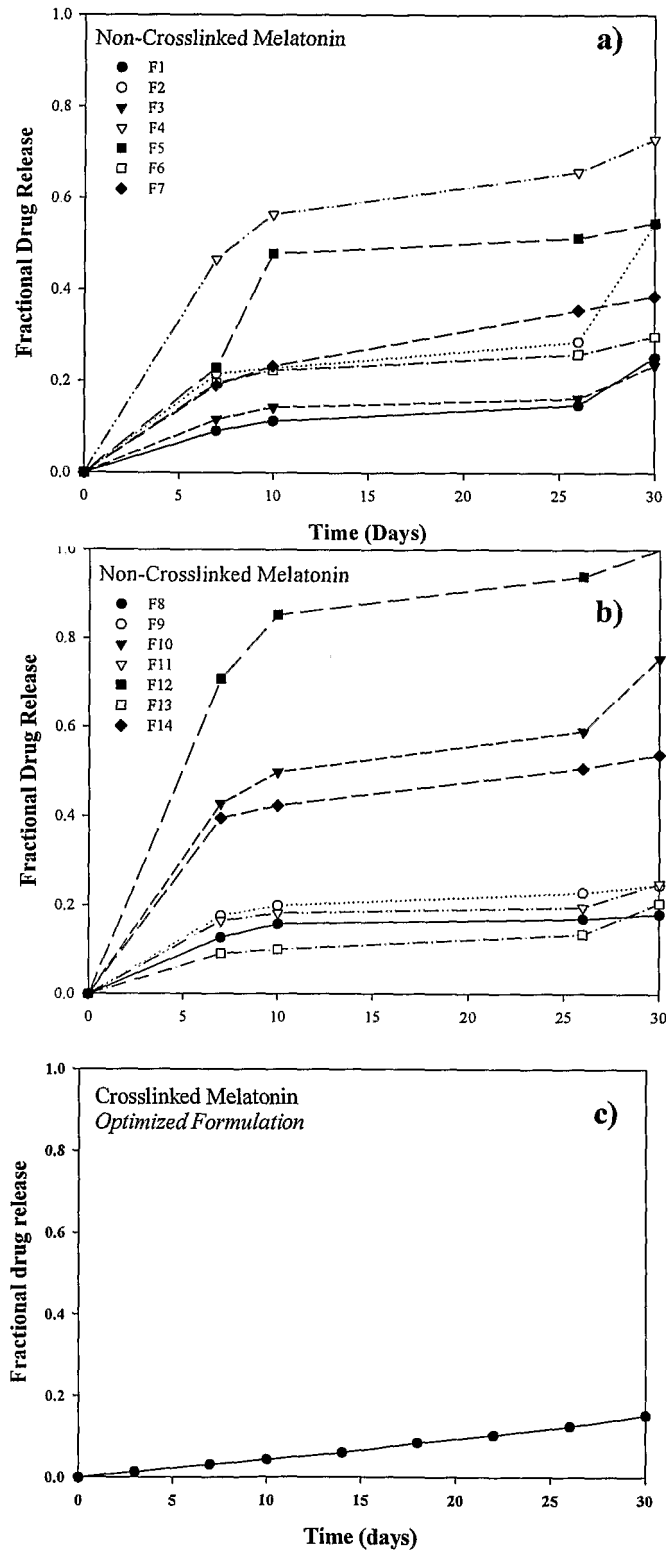


Figure 9: Release profiles of melatonin from polymer when drug was either (a) (b) non-crosslinked or (c) crosslinked during the formation of PLGA scaffolds.