

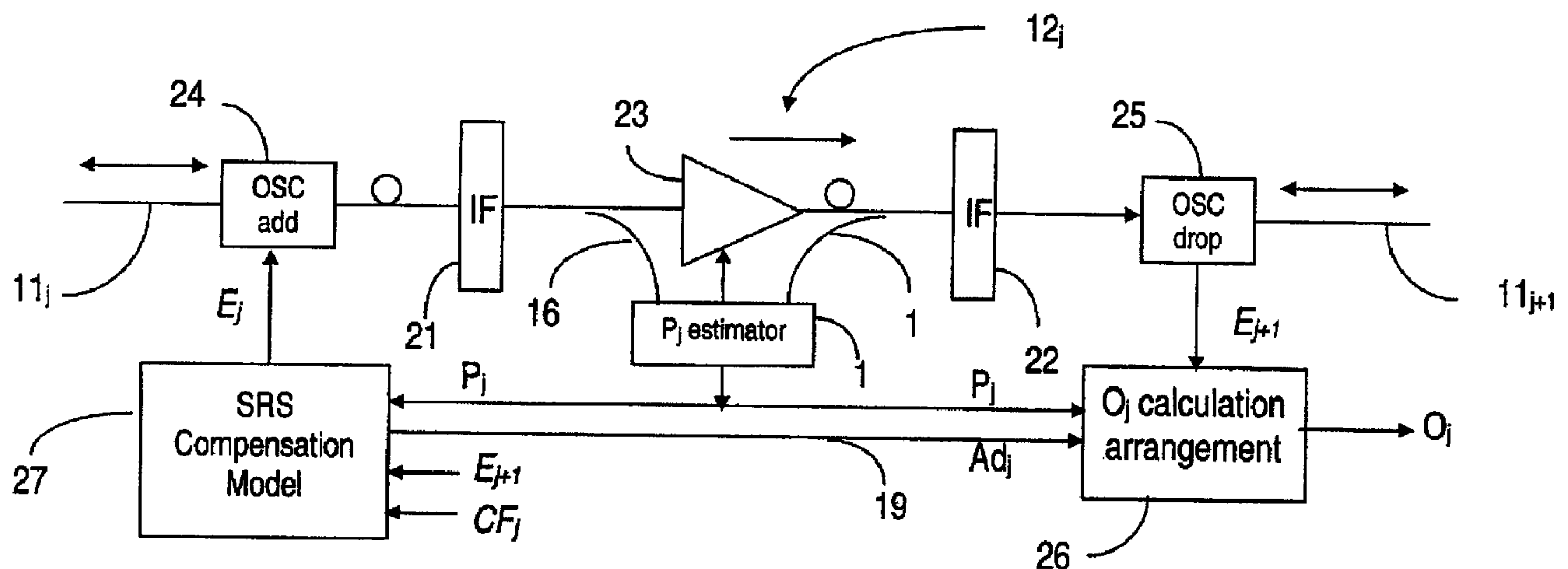


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(57) Abrégé/Abstract:

A performance monitoring method for an optically amplified transmission system. The method provides the optical power at each amplifier site, taking into account the inaccuracies introduced by the SRS in the power estimation obtained with the current methods. An optical spectrum analyzer is used at the output of the transmission link of interest to accurately measure the output power of each wavelength. This value is sent upstream to the last amplifier in the link, to compute an error term as the difference between the actual measurement and the estimation. The error term is used to infer the SRS-induced error by system elements not accounted for in the model. The error term is then fed-back to each amplifier in the link, so that the estimated power is adjusted to account for the SRS-induced inaccuracy.

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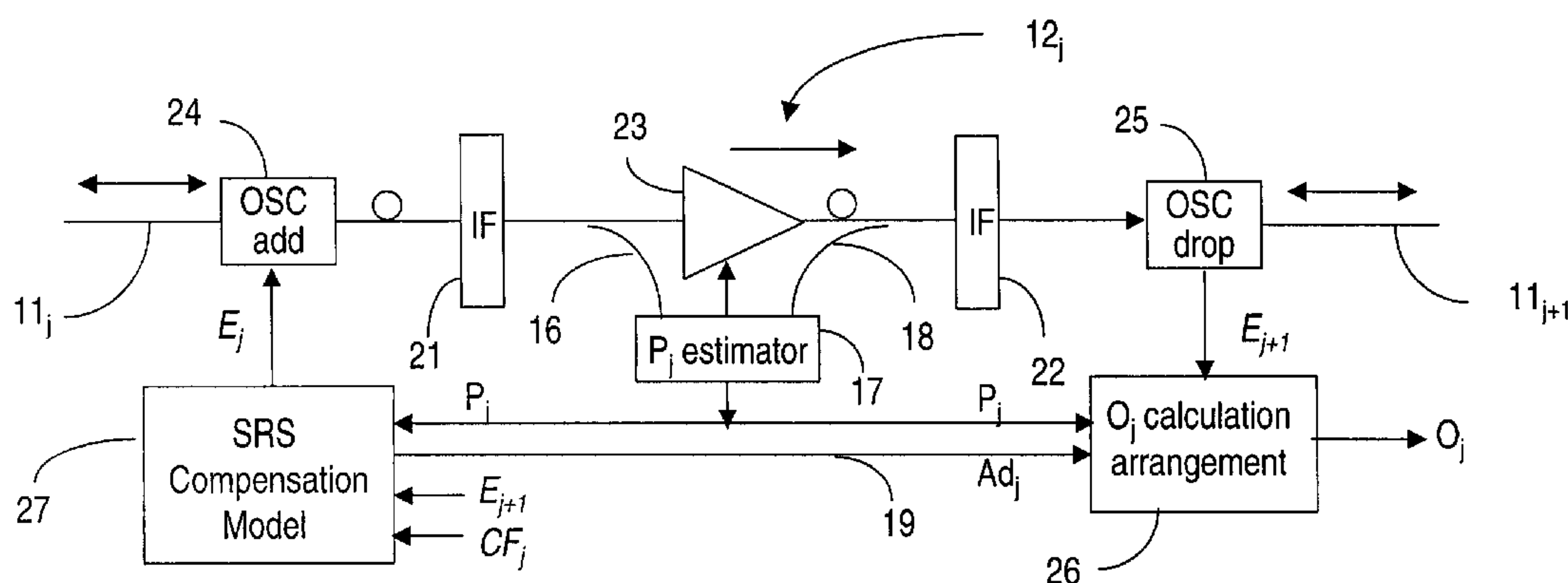
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(54) Title: OPTICAL PERFORMANCE MONITORING FOR D/WDM NETWORKS



(57) Abstract: A performance monitoring method for an optically amplified transmission system. The method provides the optical power at each amplifier site, taking into account the inaccuracies introduced by the SRS in the power estimation obtained with the current methods. An optical spectrum analyzer is used at the output of the transmission link of interest to accurately measure the output power of each wavelength. This value is sent upstream to the last amplifier in the link, to compute an error term as the difference between the actual measurement and the estimation. The error term is used to infer the SRS-induced error by system elements not accounted for in the model. The error term is then fed-back to each amplifier in the link, so that the estimated power is adjusted to account for the SRS-induced inaccuracy.

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OPTICAL PERFORMANCE MONITORING FOR DWDM NETWORKS**BACKGROUND OF THE INVENTION**5 Field of the Invention

This invention relates to optical transmission systems, and in particular to optical performance monitoring for wavelength division multiplexed (WDM) and dense WDM (DWDM) networks.

10 Background Art

Performance monitoring (PM) refers to in-service, non-intrusive monitoring of transmission quality (GR-253-CORE, issue 2, Dec 1995). Performance monitoring provides indications regarding the success of attempts to solve a problem, allows to isolate and resolve the problem
15 before it affects traffic, and to determine the entity responsible with the equipment affecting adversely the traffic quality.

The signal power of an optical channel has proved to be one of the most important factors in controlling the performance of an amplified optical network. Firstly, attenuation or transmission loss determines the
20 maximum transmission distance before signal restoration is necessary. Equally important for DWDM long reach transmission is the equalization of channels, which is necessary for optimal BER performance. Equalization means that all channel co-propagating along a fiber must have substantially similar optical signal-to-noise ratios at their respective
25 receivers.

A number of mechanisms are responsible for the signal attenuation within optical fibers. For a given type of fiber, there are a number of factors that influence these mechanisms. Thus, signal attenuation depends, inter alia, on the distance of the signal travelling along the fiber,
30 launch power, and the number of the co-propagating channels (wavelengths).

These mechanisms are influenced by the material composition of the transmission medium, the preparation and purification technique, and the waveguide structure. They may be categorized within several major
35 areas which include material absorption, material scattering (linear and non-linear scattering) curve and micro-bend losses, mode coupling radiation losses, and losses due to leaky modes. Material scattering

becomes an important problem at high powers and large number of wavelengths propagating along the same span of fiber.

Non-linear material scattering losses become especially important as the bandwidth (number of channels and the rate of the channels) and distance of the information transmitted over the fiber increases. Non-linear scattering causes the optical power from one mode to be transferred in either the forward or backward direction to the same, or other modes, at a different frequency. It depends critically on the optical power density within the fiber and hence it becomes significant above threshold power levels. The most important types of non-linear scattering are stimulated Brillouin and Raman scattering, both of which are usually only observed at high power densities. These scattering mechanisms in fact give optical gain, but with a shift in frequency, thus contributing to attenuation for light transmission at a specific wavelength.

Of interest to this invention is stimulated Raman scattering (SRS). Raman scattering is a non-linear phenomenon attributed to the modulation of light through thermal molecular vibrations within the fiber. SRS results in the energy from short wavelengths being transferred to longer wavelengths, which results in cross-talk between the channels in DWDM systems. To become significant, the launch power must exceed a given threshold dependent on the medium (fiber).

A very effective way of monitoring performance of an end-to-end transmission link is the 'analog maintenance-1 (AM-1)' method used in the Applicant's transmission systems. This method is described in US patent No. 5,513,029 (Roberts, issued April 30, 96 and assigned to Nortel Networks Corporation).

AM-1 involves amplitude modulating at the transmitter side each channel with a specific pseudo-random dither signal, the dither having a known modulation depth. For each channel, the receiver estimates the power of the received dither and determines the power received on the respective channel using the known modulation depth and the launch power.

However, application of this method to high-speed DWDM networks is limited because of complexity of the circuitry needed to detect the dithers for each channel, when an increased number of optical carriers share a relatively narrow portion of the spectrum.

Analog maintenance-2 (AM-2) is used by the Applicant for DWDM networks with a larger number of channels. This method is the object of US Patent 7,197,243 (Harley et al. filed 03/31/2000 and assigned to Nortel Networks Corporation).

AM-2 provides for modulating each channel with a distinct pulse (digital) sequence, where the RMS of the modulation is proportional to the average power of the respective channel. The far-end receiver recovers the pulse modulation and determines the RMS of the modulation for each channel. The channel power is then estimated based on the modulation depth (known) and the RMS value for the respective channel.

In general, systems with no more than 20 channels are negligibly affected by the SRS, so that AM-2 performance monitoring method can be used without any SRS compensation. However, at very high speeds and systems having over 20 transmission channels, SRS induces inaccuracies in the power estimate provided by AM-2. The SRS-induced inaccuracy can be conceptually expressed in the following equation (EQ1) as:

$$AM_{error} \propto \log\left(1 + \frac{g' \Delta\lambda \cdot P_0 \cdot N_{ch} (N_{ch} - 1) \cdot N_{sp}}{2\alpha}\right) \quad EQ1$$

20

AM_{error} is the SRS induced in accuracy (in dB), g' is the Raman gain (inversely proportional to the effective area of fiber), $\Delta\lambda$ is the spread of wavelengths (in nanometers), P_0 is the launch power, N_{ch} is the number of channels, N_{sp} is the number of spans, and α is the fiber attenuation.

25

EQ1 shows that the SRS-induced inaccuracy becomes more significant as the number of wavelengths, the launched power, the number of spans and the wavelength spread increase. It is also to be noted that the inaccuracy increases proportionally with the squared number of wavelengths.

30

SRS-induced AM-2 power measurement inaccuracy can be addressed using a spectrum analyzer. Optical spectrum analyzers are non-obtrusive and not susceptible to SRS, resulting in a better equalization of complex systems in which SRS is significant. When using

a spectrum analyzer, AM-2 method is still very useful, in that it provides wavelength identification (wave ID) and optical reflectometer functionality. On the other hand, the optical spectrum analyzer needs to be tightly integrated into the central network management systems. Furthermore, this is a very expensive piece of equipment.

There is a need to provide a reliable method of measuring the power of a channel in high-speed DWDM system, which accounts for the characteristics of the transport medium and for the inaccuracy introduced by the elements present in the network.

SUMMARY OF THE INVENTION

It is an object of this invention to provide a method for compensating for the inaccuracy introduced by the SRS in the current performance monitoring methods.

The compensation model used by the method according to the invention is derived from the analytical model of SRS with simplifying assumptions for implementation considerations. The method is in principle of a 'closed loop' type. Namely, the method provides for an accurate measurement of a parameter(s) of interest at the output of a transmission link, and corrects the estimated values given by the existing monitoring methods of the parameter(s) at each node, in reverse order starting with the output node.

According to one aspect of the invention, there is a performance monitoring method for an optically amplified transmission system comprising, at an optical amplifier A_j of an optical transmission link, providing an estimated value P_j for an optical parameter of an optical signal of interest, where j is an integer between 1 and N , providing a measured value O_{N+1} for said optical parameter at the output of said optical link, and at said optical A_j , determining a compensated value O_j for said parameter based on said estimated value P_j and said measured value O_{N+1} .

In yet another aspect of the invention there is an optical amplifier comprising, an optical amplifying module connected in an optical transmission link for amplifying an input optical signal to an output optical signal, a power estimator for providing an estimated value P_j for the optical power of said optical signal; a compensation model for receiving said estimated value P_j and an error term E_{j+1} and providing said

adjustment value Ad_j and a local error term E_j , and a calculation arrangement for receiving said estimated value P_j , said error term E_{j+1} and an adjustment value Ad_j , and determining a compensated value O_j for said optical power.

5 In yet a further aspect of the invention, there is an optical transmission link between a transmitting and a receiving terminal, comprising, a plurality of optical amplifiers $A_1...A_j...A_N$ connected in series between said transmitting and receiving terminals, at said receiving terminal, providing a measured value O_{N+1} for an optical parameter of
10 interest and transmitting said measured value to a last optical amplifier A_N in said link, at said last optical amplifier A_N , receiving said measured value O_{N+1} and determining a local error term E_N and a compensated value O_N for said parameter, and at each optical amplifier A_j , receiving an error term E_{j+1} and determining a local error term E_j and a compensated value O_j for
15 said parameter, where j is an integer between 1 and N and gives the sequential position of said optical amplifier in the link.

The performance monitoring method according to the invention sufficiently decreases the current power estimation inaccuracy to yield acceptable equalization in many systems, and sufficiently compensates
20 for the SRS-induced inaccuracies for systems with more than 20 channels. As a result, the compensation of the SRS induced error according to the invention extends the bandwidth and the transmission distance of the communication link.

In addition, the compensation method accounts for characteristics
25 of the transport medium such as fiber type, fiber attenuation, number of wavelengths, number of spans, launch power, etc. It also accounts for the inaccuracy introduced by the elements present in the network, such as optical amplifiers, DCM (dispersion compensation module), etc. It results in a more robust estimation of power than the previous methods, and less
30 sensitivity to system variables.

Furthermore, the method requires only one OSA for unidirectional system for two OSAs for bidirectional systems.

BRIEF DESCRIPTION OF THE DRAWINGS

35 The foregoing and other objects, features and advantages of the invention will be apparent from the following more particular description of

the preferred embodiments, as illustrated in the appended drawings, where:

Figure 1A illustrates the SRS mechanism;

Figure 1B is a graph showing the AC and DC transfer functions for a non-dispersion shifted fiber (NDSF), six spans;

Figure 2 is a block diagram of an amplified optical link with AM-2 error compensation;

Figure 3 shows a block diagram of an optical amplifier provided with the SRS compensated AM-2 system; and

Figure 4 shows compensation model coverage;

DESCRIPTION OF THE PREFERRED EMBODIMENT

Figure 1A illustrates intuitively how cross-talk between wavelengths occur due to SRS, for a five-channel example. It shows that all channels λ_1 – λ_5 have the same power at the input side of fiber 1, and how power is transferred towards higher frequencies at the output of the fiber 1. Thus, channel λ_2 has some information, denoted with 2, transferred through SRS from channel λ_1 , and channel λ_3 carries some information, denoted with 3, transferred from channels λ_1 and λ_2 through SRS. The information denoted with 4 was transferred on channel λ_4 from channels λ_1 , λ_2 and λ_3 , and the information denoted with 5, was transferred on channel λ_5 from channels λ_1 , λ_2 , λ_3 and λ_4 .

It is evident on Figure 1A that the cross-talk component on the higher wavelengths becomes larger as the number of channels grows. This is also consistent with EQ1.

Figure 1B shows the AC and DC power/wavelength transfer functions for a six-span link using non-shifted dispersion fiber (NSDF). The AC transfer function is denoted with 7, and the DC transfer function is denoted with 8. It is clear from this graph that the DC power varies proportionally with the wavelength.

Figure 2 illustrates an amplified optical link 9 provided between a transmitter terminal 10 and a receiver terminal 20, including a chain of N optical amplifiers $12_1, 12_2, \dots, 12_N$. Transmitter terminal 10 comprises a plurality of wavelength transmitters that modulate a plurality of channels with the respective information, and a multiplexer that combines the channels into a multichannel signal. In general, an optical service channel (OSC) travels along the same fiber with the information channels. Receiver terminal 20

comprises a demultiplexer for receiving the multichannel signal and separating the channels and a plurality of wavelength receivers for recovering the information from each respective channel.

Link 9 is bidirectional, as shown by the symbol used for illustrating the optical amplifiers. However, for the sake of simplification, the method of the present invention is described explicitly only for the West-East direction of traffic, similar operations and considerations apply evidently for the East-West direction of traffic. For W-E direction of traffic, optical amplifier 12_{j-1} is defined as being upstream from optical amplifier 12_j , and optical amplifier 12_{j+1} is defined as being downstream from optical amplifier 12_j . Again, for the sake of simplification we will discuss the applicability of the invention for one channel only. Similar operations are performed for all channels travelling along link 9.

The fiber span between the transmitter terminal 10 and the first optical amplifier 12_1 is denoted with 11_1 and the span between the last optical amplifier 12_N and the receiver terminal 20 is denoted with 11_{N+1} . In general, a span between any two successive amplifiers 12_{j-1} and 12_j is denoted with 11_j . Figure 2 does not explicitly illustrate elements such as splitters and couplers (used e.g. to connect the optical amplifiers to the fiber), dispersion compensating modules, attenuators and/or other elements that may be coupled in link 9.

Each optical amplifier 12_j is provided with means for estimating the power according to AM-2 system, as disclosed in the above-mentioned patent 7,197,243 (Harley et al.). It is to be understood that the amplifiers may be equipped with other means for estimating the power and that the present invention is not limited to correcting the estimates obtained with the AM-2 method. The present invention may be used in combination with other power estimation methods that do not account for the inaccuracies induced by the SRS. We denote this estimated power with P_j .

According to the method of the invention, an optical spectrum analyzer (OSA) 15 is used in the last span 11_{N+1} to measure the actual power of each wavelength. We use notation O_{N+1} for the power measured by OSA 15 for a certain channel at the output of the link for West-East direction. As discussed, this value measured with OSA 15 gives the channel power at the end of the link with accuracy, while the

analog maintenance AM-2 provide in general an estimate of the power at each amplifier site.

Optical amplifier 12_N determines an error term E_N as the difference between the measured value O_{N+1} and the estimated power P_N , and provides this error term to the upstream optical amplifier 12_{N-1} over span 11_N .

Optical amplifier 12_{N-1} makes the respective correction to the power P_{N-1} , to obtain a compensated value O_N , and also calculates a local error term E_{N-1} for the upstream optical amplifier 12_{N-2} .

As such, the compensation operates upstream from the direction of the traffic. In general, each optical amplifier 12_j in link 9 receives an error term E_{j+1} from the downstream amplifier 12_{j+1} and determines an estimated power P_j according to the AM-2 or other power estimation method. The error term and the power estimate are used to determine an adjustment value Adj used to calculate the compensated power O_j at that site. Also, each optical amplifier A_j calculates the error term E_j for the upstream optical amplifier 12_{j-1} . Upstream optical amplifier 12_{j-1} receives E_j on a reverse channel.

When combined with AM-2, the compensation method of the invention can be used for transmission links with a relatively small number of spans.

The error term is generated using an SRS compensation model to infer SRS-induced error by system elements non-accounted for in the current methods. The objective is to drive the first error term E_1 to zero ($E_1 = 0$). In this way, the SRS error, as measured by OSA 15 at the output of the transmission link will be fully accounted for each span.

Figure 3 show a block diagram of optical amplifier 12_j illustrating only the blocks of interest for the method according to the present invention. Although an optical amplifier generally comprises forward and reverse amplifying units, the block diagram illustrates only the blocks pertinent for the West-East direction of transmission for simplification. Figure 3 intuitively illustrates separation of traffic according to the direction of flow, using optical interfaces (IF) 21 and 22. Interfaces 21 and 22 could be for example optical couplers or circulators, etc.

The optical amplifier 12_j comprises an optical amplifying module 23, optically coupled to input span 11_j and output span 11_{j+1} through interfaces 21 and 22. Amplifying unit 23 is provided with optical taps 16

and **18** that sample the multichannel signal at the input and output of optical amplifier module **23**. The sample is usually a 2-3% fraction of the multichannel optical signal. The input and output tapped fractions are then used by a P_j estimator **17** to determine the estimated power P_j for each

5 channel, as per AM-2 or other power estimating systems. It is to be understood that P_j estimator 17 provides the estimated power P_j for each channel of the multichannel signal travelling along spans **11_j** and **11_{j+1}**. However, as indicated above, Figure 3 shows the units pertinent to one channel only for simplification.

10 In this embodiment, the error term E_{j+1} is received from the downstream amplifier **12_{j+1}** over a bidirectional optical service channel (OSC), which is generally present in all optical transmission systems for transmitting control and monitoring information between amplifier sites. An amplifier unit is equipped with means for demultiplexing the OSC from

15 the fiber and extracting the information of interest for the respective optical amplifier. Figure 3 shows OSC drop unit **25** which extracts the error term information E_{j+1} received over the east-west OSC channel at amplifier **12_j** from the downstream optical amplifier **12_{j+1}**. It is to be understood that the error term can be provided to optical amplifier **12_j**

20 using other means than OSC.

Error term E_{j+1} is used to correct the estimated power P_j . Figure 3 illustrates an O_j calculation arrangement **26**, which receives the estimated power P_j , an adjustment value Adj_j , and the error term E_{j+1} to provide the compensated power O_j , as a measure of the power at the output of optical

25 amplifier **12_j**. As indicated above, optical amplifier **12_j** determines the power P_j and the compensated power O_j for all channels, the compensated power O_j being then used for example for equalization of span **11_j**.

A SRS compensation model **27** provides the local error term E_j and

30 adjustment value Adj_j . Namely, error term E_j is determined by adjusting E_{j+1} according to estimated power P_j and also taking into account compensating factors CF_j available at the amplifier **12_j** site and specific to that site. For example, CF_j may include pertinent network provisioning data such as the position of the amplifier **12_j** in the link, the length of

35 spans **11₁** to **11_j**, the launch power, the number of wavelengths, the band.

The local error term E_j is added back in the OSC, and transmitted over the East-West fiber/direction as shown by unit **24**, so that the

upstream amplifier 12_{j-1} could receive it to perform a similar compensation operation.

Figure 4 shows compensation model coverage.

5 In general, for a small number of wavelengths (e.g. 20) and of spans, the optical amplifiers can be controlled using the estimated power according to AM-2, since the estimation is sufficiently accurate for the application.

10 As the number of channels and of spans grow, the SRS induced AM-2 inaccuracy degrades the link budget. The estimated power derived should therefore be adjusted using the compensation system according to this invention.

As seen on Figure 4, at even larger numbers of channels and spans, the only accurate method of measuring the power remains the optical spectrum analyzer (OSA)

15 While the invention has been described with reference to particular example embodiments, further modifications and improvements which will occur to those skilled in the art, may be made within the purview of the appended claims, without departing from the scope of the invention in its broader aspect.

20

CLAIMS:

1. A performance monitoring method for an optically amplified transmission system comprising:
 - at an optical amplifier A_j of an optical transmission link, providing an estimated value P_j for an optical parameter of an optical signal of interest, where j is an integer between 1 and N ;
 - receiving an error term E_{j+1} from a downstream optical amplifier A_{j+1} ;
 - providing an adjustment value Adj and a local error term E_j from a compensation model using said estimated value P_j and said error term E_{j+1} ; and
 - at said optical amplifier A_j ; determining a compensated value O_j for said parameter based on said estimated value P_j , said error term E_{j+1} and said adjustment value Adj .
2. A method as claimed in claim 1, wherein said optical parameter is the optical power.
3. A method as claimed in claim 2, wherein said step of providing an estimated value P_j comprises:
 - diverting a fraction of said optical signal at said optical amplifier A_j ;
 - separating from said fractions an overhead signal and measuring the RMS of said overhead signal; and
 - estimating the optical power of said optical signal using said RMS value and a known modulation depth of said overhead signal.
4. A method as claimed in claim 3, wherein said step of separating comprises converting said fraction into an electrical signal and detecting components of said overhead signal in said electrical signal.
5. A method as claimed in claim 4, wherein the step of estimating comprises measuring the DC value of said electrical signal, determining the modulation depth of said overhead signal by dividing said RMS value by said DC value, and

evaluating the optical power based on said modulation depth.

6. A method as claimed in claim 1, wherein said providing said adjustment value step uses compensation model including one or more correction factors.

7. A method as claimed in claim 1, further comprising transmitting said local error term E_j to an upstream amplifier A_{j-1} .

8. A method as claimed in claim 7, wherein said step of transmitting comprises inserting said local error term E_j on a channel traveling in a direction opposite to said optical signal.

9. An optical amplifier comprising: an optical amplifying module connected in an optical transmission link for amplifying an input optical signal to an output optical signal;

a power estimator for providing an estimated value P_j for the optical power of said optical signal;

a compensation model for receiving said estimated value P_j and an error term E_{j+1} and providing an adjustment value Ad_j and a local error term E_j ; and

a calculation arrangement for receiving said estimated value P_j , said error term E_{j+1} and an adjustment value Ad_j , and determining a compensated value O_j for said optical power.

10. An optical amplifier as claimed in claim 9, wherein said compensation model accounts for at least the type of fiber used for said link, the launch power at the input of said link and the sequential position of said optical amplifier in said link.

11. An optical amplifier as claimed in claim 9, wherein said error term E_{j+1} is received from one of a downstream amplifier and a receiving terminal at the output of said link.

12. An optical amplifier as claimed in claim 9, wherein said error term E_{j+1} is received over a reverse optical supervisory channel (OSC).
13. An optical amplifier as claimed in claim 9, wherein said local error term E_j is transmitted to one of an upstream amplifier and a transmit terminal at the input of said link.
14. An optical amplifier as claimed in claim 9, wherein said local error term E_j is transmitted over a reverse optical supervisory channel (OSC).
15. An optical transmission link between a transmitting and a receiving terminal, comprising:
 - a plurality of optical amplifiers $A_1 \dots A_j \dots A_N$ connected in series between said transmitting and receiving terminals;
 - at said receiving terminal, providing a measured value O_{N+1} for an optical parameter of interest and transmitting said measured value to a last optical amplifier A_N in said link;
 - at said last optical amplifier A_N , receiving said measured value O_{N+1} and determining a local error term E_N and a compensated value O_N for said parameter; and
 - at each optical amplifier A_j , receiving an error term E_{j+1} from the downstream optical amplifier A_{j+1} and determining a local error term E_j and a compensated value O_j for said parameter, where j is an integer between 1 and N and gives the sequential position of said optical amplifier in the link.
16. An optical transmission link as claimed in claim 9, wherein said error term is transmitted between said optical amplifiers and said terminals over a reverse optical supervisory channel (OSC).

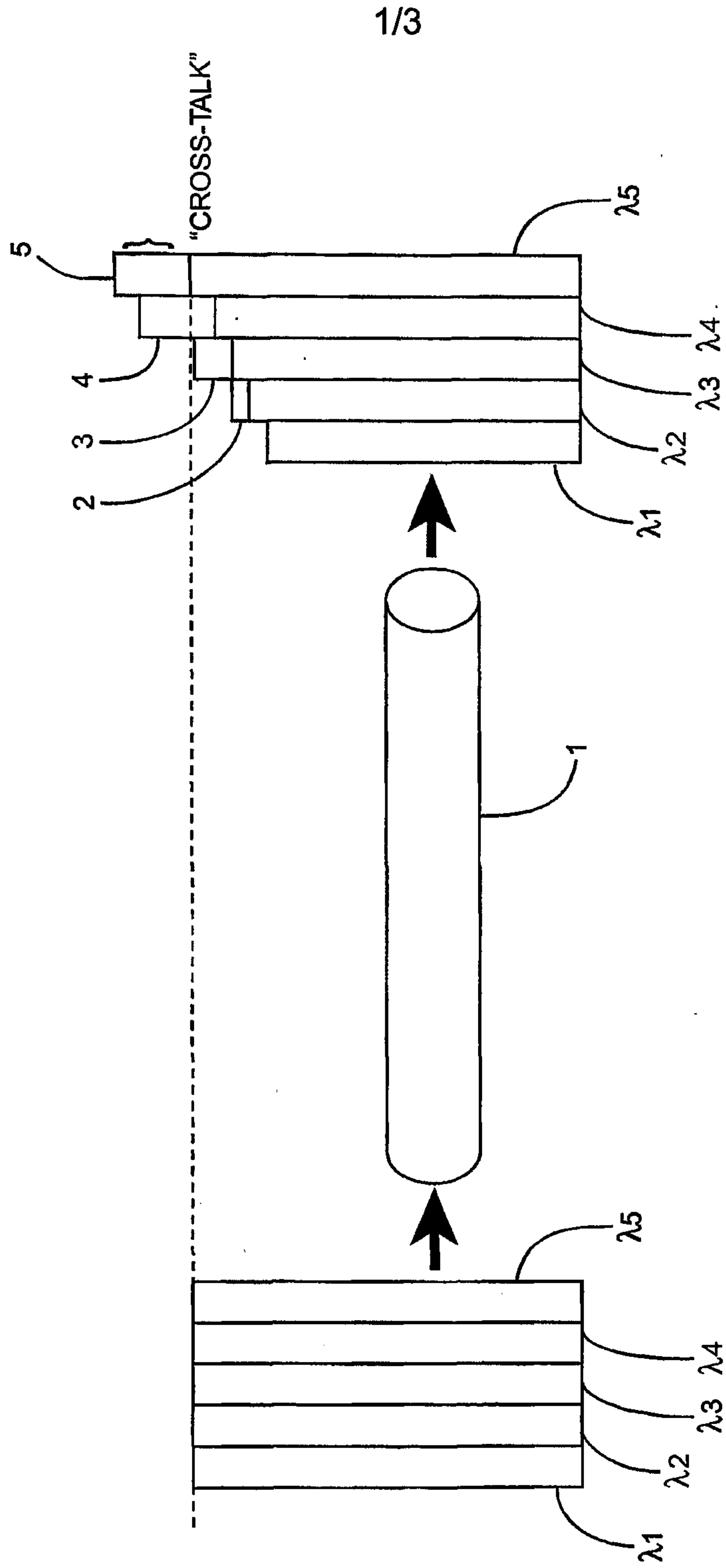


FIG. 1A

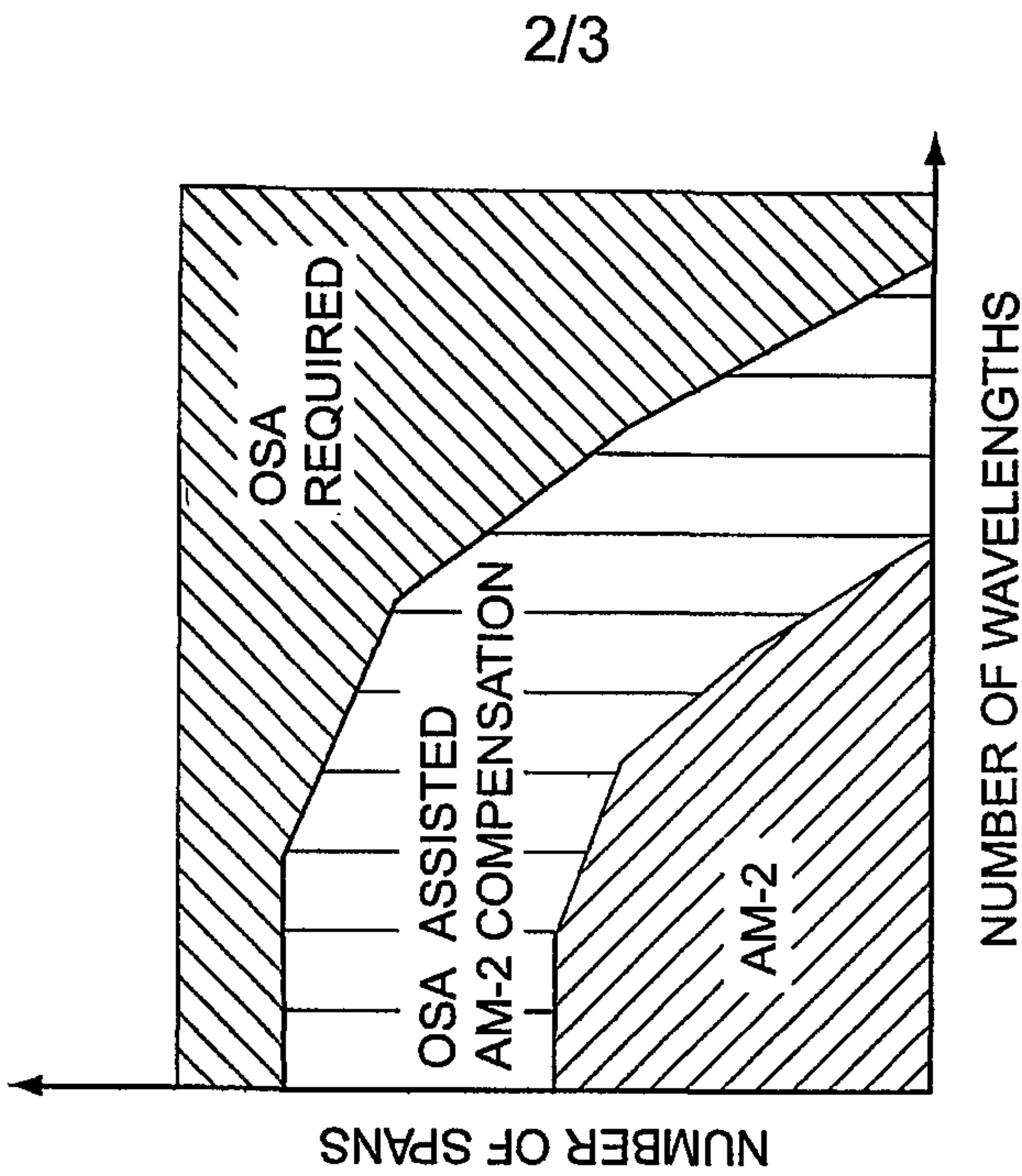


FIG. 4

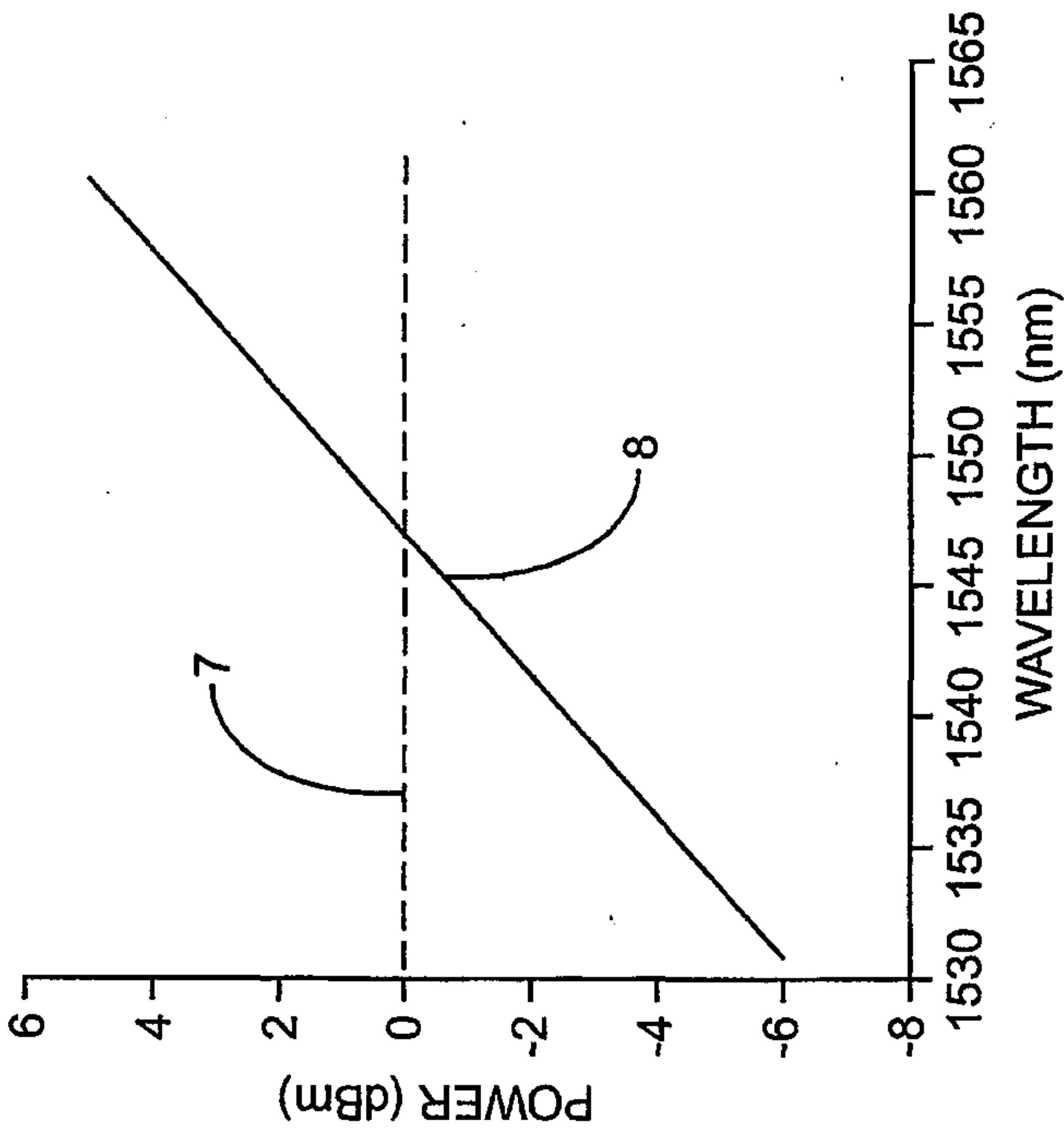


FIG. 1B

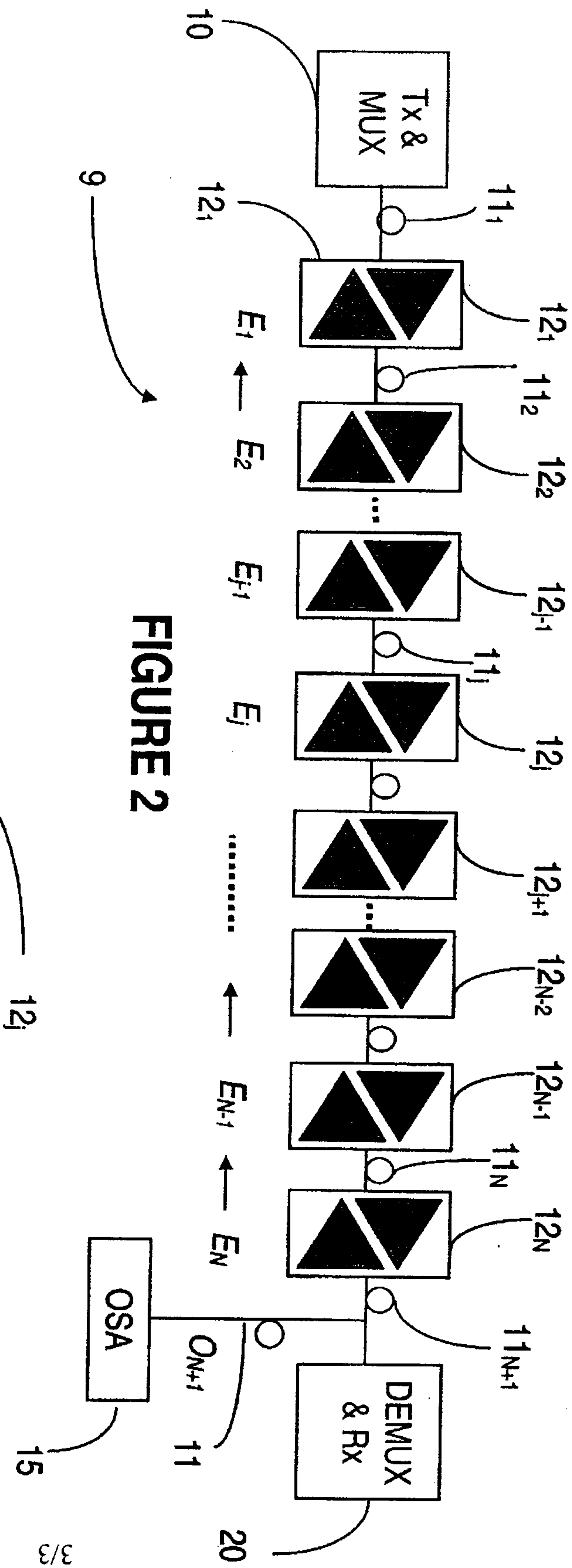


FIGURE 2

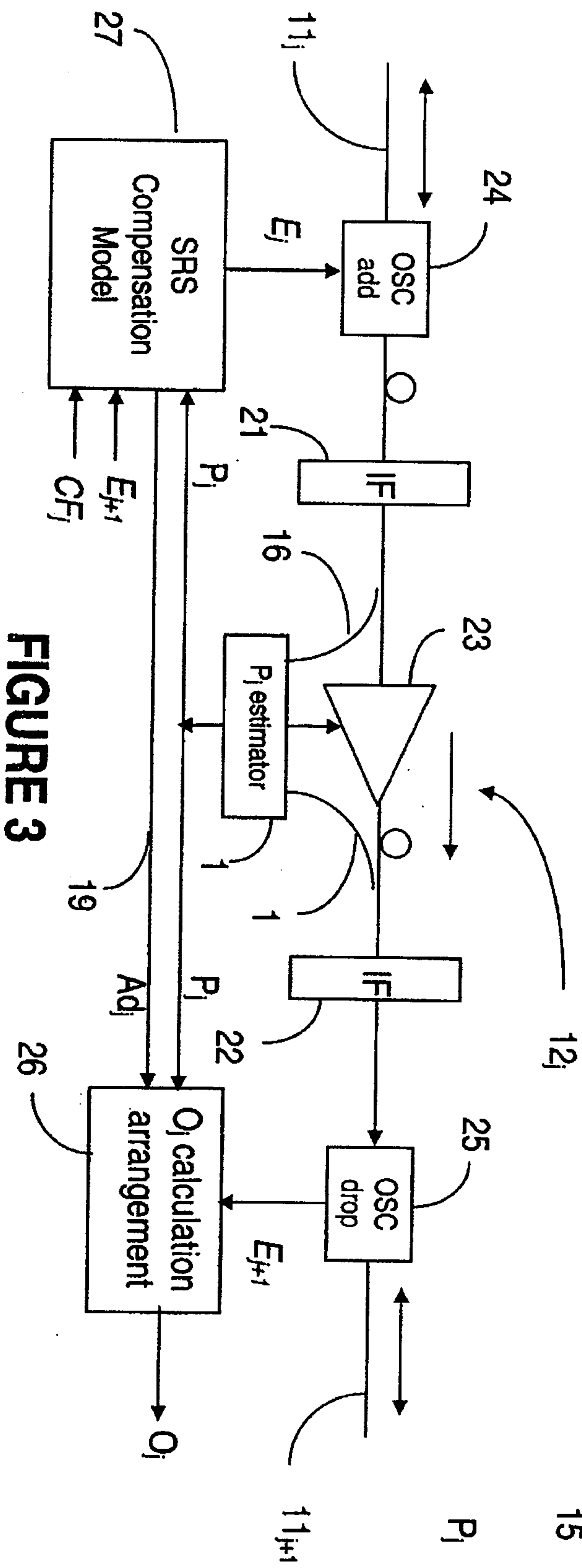


FIGURE 3

