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(21) International Application Number: PCT/FI97/00143 (22) International Filing Date: 4 March 1997 (04.03.97) (30) Priority Data: 961022 5 March 1996 (05.03.96) FI (71) Applicant (for all designated States except US): NESTE OY [FI/FI]; Keilaniemi, FIN-02150 Espoo (FI). (72) Inventors; and (75) Inventors/Applicants (for US only): HILTUNEN, Elina [FI/FI]; Opastinsilta 9 A 4, FIN-00520 Helsinki (FI). SKOG, Maria [FI/FI]; Minna Canthinkatu 24 A 25, FIN-00250 Helsinki (FI). SELIN, Johan-Fredrik [FI/FI]; Isonmastontie 15 D, FIN-00980 Helsinki (FI). (74) Agent: NESTE OY; Patent Services, P.O.Box 310, FIN-06101 Porvoo (FI).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>In English translation (filed in Finnish).</i>
(54) Title: POLYLACTIDE FILMS (57) Abstract The invention relates to polylactide films the mechanical properties of which, in particular bursting strength, elongation and tearing strength, have been improved by adding to the polymer certain plasticizers. These plasticizers are preferably glycerol esters.		

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Poly lactide films

- 5 The invention relates to polylactide films with improved mechanical properties. The invention relates in particular to the use of plasticizers to improve mechanical properties.

Poly lactide, i.e. polylactic acid, which is usually prepared from lactic acid dimer, i.e. lactide, has already for years been used for medical purposes, for example in the manu-
10 facture of surgical sutures, for degradable bone nails, and for controlled release of drugs. The use of polymers for packaging materials and for other bulk products has so far been limited by the high price of polymers and their susceptibility to breaking down during technical processing. It has not been economically profitable to produce and treat in a manner intended for medical applications a polymer intended for bulk products.

15

In recent years, interest in biodegradable polymers, i.e. biopolymers, has greatly increased, and many companies have made efforts to launch on the market packaging materials, hygiene products, sacks and films for agricultural purposes, and sacks for waste. In particular, various films have gained importance.

20

The manufacture of films, in particular film blowing, by no means constitutes simple technology, and so far commercial biopolymers have not been successful in this area. The mechanical and optical properties of film and, for example, its sensitivity to moisture, vary widely.

25

Poly lactides, or condensation polymers which are based on lactic acid, are for many reasons a very attractive group of biopolymers. Their principal degradation product, lactic acid, is a product common in nature, it is not toxic and is used widely in the food and pharmaceutical industries. A high molecular weight polymer can be produced by ring-
30 opening polymerization from lactic acid dimer, lactide. Lactic acid is optically active, and thus its dimer appears in four different forms: L,L-lactide; D,D-lactide; L,D-lactide (mesolactide); and a racemic mixture of L,L- and D,D-lactides. By polymerizing these either as pure compounds or at different blend proportions, polymers are obtained which have different stereochemical structures affecting their resilience and crystallinity and,

consequently, also their mechanical and thermal properties. The obtained polymers are usually hard and optically clear, but not as such usable, owing to certain problems.

Upon forming, polylactide is in equilibrium with its monomer, lactide. This has sometimes been deemed to be advantageous, since monomers and oligomers may act as plasticizers of the polymer, but it also leads to rapid hydrolysis and causes problems of adhesion in the processing of the polymer. Furthermore, the presence of the monomer lowers thermal stability during melt processing. In general the residual lactide must be removed from the polymer. An acceptable lactide content is below 2 %, preferably below 1 %.

10 Various removal methods, such as evaporation, have been disclosed.

The breaking down of polymers during processing can be reduced by the removal of the residual lactide, the maintenance of the water content at a low level (below 200 ppm) or by the addition of commercial stabilizers (WO 94/07941, Cargill). In terms of film

15 blowing, an advantageous method is to mix certain peroxides with the polymer, whereby the melt strength of the polymer will be sufficient for film blowing (FI945964, FI945264, Neste).

Polylactide has excellent optical properties and a high tensile strength, but it is rigid and

20 brittle, and its elongation values are low, as are its tearing strength and bursting strength (dart-drop). Attempts have been made to plasticize the films by using various plasticizers. Battelle's patent publication WO 92/04493 mentions leaving monomers and oligomers in the polymer for plasticizing purposes. Cargill, WO 94/07941, has used various commercial plasticizers, in particular Citroflex plasticizers manufactured by Morflex, in order to

25 lower the glass transition temperature. However, the results have been modest.

However, in many film products, such as shopping bags and waste bags, bursting strength, elongation and tearing strength are especially important properties. For example, shopping bags must not break even when sharp corners impinge against the film.

30

It has now been observed, surprisingly, that by using certain types of plasticizers, available even commercially, it is possible to affect not only the glass transition temperature of a polymer but also its elongation and its mechanical properties. It was observed

in particular that by using certain plasticizers, or plasticizer/filler combinations, the bursting strength (dart-drop) and the tearing strength could be improved considerably. Usually the elongation at break of untreated polylactide is approx. 2-5 %; that of a polylactide according to the invention is at least 200 %. The bursting strength in proportion to the film thickness is usually 0.5-1.0 g/ μ m, i.e. in practice a weight below 20 g, which is the lowest weight in the standard test, will burst the film. For a film according to the invention, the bursting strength is up to approx. 10-30 g/ μ m. As a consequence of these improved properties, the use of polylactide films also for demanding purposes requiring good mechanical properties is facilitated. Bursting strength values of 3-10 g/ μ m are sufficient in films subjected to smaller stress, such as bread bags and other bags intended for food packaging, but are not sufficient for, for example shopping bags.

The polylactide used in the invention can be made from L-, D- or D,L-lactide, or blends thereof, by any polymerization process. Copolymers or polymer blends may also be used, but this is by no means necessary for the functioning of the invention. The use of poly-L-lactide is especially advantageous. The weight-average molecular weight (M_w) of the polymer according to the invention is approx. 20000 - 400000, preferably 40000 - 200000. This corresponds to a number-average molecular weight (M_n) of approx. 10000 - 20000, preferably 10000 - 100000.

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Polylactide films can effectively be tailored according to the intended use by the selection of a suitable plasticizer and, when needed, a filler.

Suitable plasticizers include many commonly available commercial plasticizers, such as mono- and polycarboxylic acid esters, polymeric polyesters, polyalkyl ethers, and glycerol and glycol esters. Blends of various plasticizers can also be used. The suitable plasticizer amounts are 10-30 % by weight, preferably 15-20 % by weight.

Glycerol esters such as glycerol triacetate and glycerol tripropionate are especially suitable plasticizers. Also various polymeric plasticizers such as adipate derivatives are suitable.

30

The fillers used may be any conventional inorganic or organic fillers, such as calcium carbonate, kaolin, mica, talc, silica and zeolite. The suitable filler amount may be 0.1-

10 % by weight, depending on the product. The purpose of the filler is to serve as an adhesion inhibitor and thereby facilitate, for example, the splitting of a tubular film.

When necessary, other conventional additives, such as colorants, can be used. For example, shopping bags are most often white, and in that case titanium dioxide can be used for producing a white film.

Plasticizers and, when so desired, fillers and other additives, are mixed with the polylactide, before film blowing, by a conventional melt mixing method, for example in a double- or single-screw extruder or in a batch mixer.

As noted in patent applications FI935964 and FI945264, in polymer stabilization it is possible to use many even commercially available organic peroxy compounds, in particular those from which acids are formed as degradation products. Peroxides acting as stabilizers are characterized by a short half-life, preferably below 10 s, but most preferably below 5 s. Examples which can be given of suitable peroxides include dilauroyl peroxide (half-life at 200 °C 0.057 s), tert-butylperoxydiethylacetate (0.452 s), t-butylperoxy-2-ethylhexanoate (0.278 s), tert-butylperoxyisobutyrate (0.463 s) and tert-butylperoxyacetate (3.9 s), tert-butylperoxybenzoate (4.47 s) and dibenzoylperoxide (0.742 s). The amount of peroxide to be used is approx. 0.05-3 % by weight. The required amount depends on the peroxide compound and above all on the desired end product.

The stabilized polymer material can be used for manufacturing films by the blowing method, or the polymer can, of course, be used for manufacturing cast films or sheets, which usually does not set so high requirements on the polymer.

The uses of the films include conventional uses of films, in particular those in which the aim is to minimize the amounts of waste and to process waste by, for example, composting. This involves various packaging materials, such as pouches, films, shopping bags and hygiene products, such as diapers and various agricultural films.

Sheets made from polylactide can be used as various packaging trays or covers or, for

example, in agricultural use as cultivation trays or pots.

The invention is described in greater detail with the help of the following examples.

- 5 The polylactide used in the experiments was made by ring-opening polymerization from L-lactide with the aid of a stannium octoate catalyst, the molecular weight M_w was approx. 100000-160000. The polylactide was manufactured by Neste Oy.

Before the adding of the plasticizers, residual lactide was removed from the polylactide
10 to a content below 1 %, and the polylactide was stabilized with a 0.1 % t-butyl peroxybenzoate (Triganox C, manufacturer Akzo Chemie) in accordance with patent application FI945964. The polymer was extruded into a band and was cut into pellets. The pellets were processed, without additional modification or after the modifications described in the examples, into films by using Collin film-blowing equipment. The films were tested by
15 the following standard methods.

Melt index: ASTM D 1238

Tensile strength at break (50 and 500 mm/min): ASTM D 882 (ISO 1184)

Elongation at break (50 and 500 mm/min): ASTM D 882 (ISO 1184)

- 20 Tearing strength (Trouser): ASTM D 1938 (ISO 6383/1)

Tearing strength (Elmendorf): ASTM D 1938 (ISO 6383/2)

Bursting strength (Dart drop): ISO 7765-1A

The glass transition temperatures were determined using DSC (Differential Scanning
25 Calorimetry) equipment. In the tensile strength at break and elongation at break tests, the lower speed was used for the more brittle polymers.

Example 1

- 30 15 % by weight of an acetyl-n-butyl citrate plasticizer (Citroflex A-4, manufacturer Morflex) was added to the polymer. The mixing was carried out in a double-screw extruder and the temperature profile used was 25-190-200-190-190-190-195-200 °C. A film was made from the obtained pellets by the blowing method. To facilitate the handling

of the blown film, talc was also added in an amount of 1 % by weight. The polymer became plasticized, the glass transition temperature T_g was 32.2 °C, the elongation was 290/270 % (MD/TD), and the tensile strength at break remained good, 45/43 MPa. However, the bursting strength was only 52 g for a film 46 μ m thick and the tearing strength was 0.65/0.84 N for a film 33/38 μ m thick (MD/TD).

Example 2

Polyethylene glycol (PEG) is water-soluble, and it is generally regarded as biodegradable. It has also been accepted for food contact (FDA). Commercial PEG grades having different molar mass values were mixed with polylactide in the same manner as in Example 1, and blown films were made from the polymer. The results are shown in Table 1.

Table 1. Plasticization of PLLA with polyethylene glycol grades having different molar mass values

PEG	p-%	T_g °C	MFR ₂ g/10 min	Elongation at break 50 mm/min MD/TD, %	Tensile strength at break MD/TD, MPa
600	10	31	6.5-9.5	275/310	46/39
1350	20	38	20-30	215/270	48/23
2000	20	41	25-35	330/360	33/28
4000	20	38	75-80	220/340	65/25
8000	10	37	3.5-5.0	230/300	44/40

Polyethylene glycol plasticizes polylactide well. Soft films were also obtained, and the tensile strengths improved considerably. However, after a few days or weeks, PEG began to migrate out of the films, and thus the usability of the plasticizer did not prove to be good.

Example 3

Triacetin manufactured by Bayer is glycerol triacetate, and it was used in this example as a plasticizer for polylactide. Triacetin has also been accepted for food contact. Polylactide blends modified with Triacetin plasticizer were prepared and tested. The blends and films were made as described above. The plasticizer amounts and the minerals used, as well as the test results, are shown in Table 3.

Table 2

Triacetin wt. %	Filler	Amounts of fillers, wt. %	Elongation at break 50 mm/min MD/TD, %	Tensile strength at break 50 mm/min MD/TD, MPa	Tearing strength (Trousers tear) MD, N/mm
10	-	-	246/250	47/47	5.7
14	TiO ₂ /SiO ₂ / Talc	2/3,5/3	110/140	30/15	1.9
15	SiO ₂	5	196/250	39/50	3.9
16	TiO ₂ /Talc	1.5/2	240/270	42/36	1.3
20	TiO ₂ /SiO ₂	3/5	-	49/18	100

The optimum properties are dependent on the correct proportions of the plasticizer and the filler

Example 4

More polylactide blends modified with Triacetin plasticizer were prepared and tested. The blends were prepared as described above, but the use of various minerals as anti-adhesion agents was in particular optimized in order that tubular blown films could be opened more easily. The amount of Triacetin used was 15 % by weight. The results are shown in Table 3.

Table 3. Plasticization of PLLA with Triacetin plasticizer by using additionally mineral fillers

	Mineral	Wt. %	Elongation at break 500 mm/min MD/TD, %	Tensile strength at break 500 mm/min MD/TD, MPa	Film thickness μm	Bursting strength W (50 %) g	Bursting strength/ film thickness $\text{g}/\mu\text{m}$	Tearing strength (Elmendorf) MD/TD, N
5	Talc	2	241/224	48/46	48	600	12.5	1.8/3.3
	Talc	5	234/231	42/43	50	644	12.9	4.4/5.3
	Talc + TiO_2	7 + 2	221/227	44/45	54	725	13.4	5.1/6.2
10	CaCO_3	2	227/236	40/46	55	181	3.3	1.1/1.4
	CaCO_3	5	221/229	38/39	48	715	14.9	1.4/2.0
	CaCO_3 + TiO_2	7 + 2	228/225	39/40	51	296	5.8	2.9/3.9

The bursting strengths obtained were very good, even better than for typical film materials polyethylene and polypropylene. According to the reference tests, the bursting strengths for commercial film materials were:

- Polyethylene (HDPE): 150 g (film thickness 15 μm), i.e. 10 $\text{g}/\mu\text{m}$
 LDPE: 60 g (25 μm), i.e. 2.4 $\text{g}/\mu\text{m}$ and
 PP: 128 g (40 μm), i.e. 3.2 $\text{g}/\mu\text{m}$.

Example 5

Films of Example 3 were investigated, which had been made of a polylactide with which there had been blended 16 % Triacetin plasticizer, 2 % talc, and 1.5 % titanium dioxide (white color). The films were tested above and below the glass transition temperature and at room temperature. The results are shown in Table 4.

Table 4. Testing of plasticized PLLA films at different temperatures

Temperature °C	Elongation at break 50 mm/min MD/TD, %	Tensile strength at break 50 mm/min MD/TD, MPa
0	60/90	40/38
23	240/270	42/36
40	200/170	26/18

Example 6

In addition to Triacetin plasticizer, also other esters are usable. The next of the glycerol esters tested was glycerol tripropionate. The product used was manufactured by Chemoxy International, under the trade name Tripropionin. The blending with polylactide was carried out in the same manner as in the previous examples. The amounts of plasticizer used were 10 and 15 % by weight, talc was added respectively in amounts of 1 and 2 % by weight. The results are shown in Table 5.

Table 5

Tripropionin wt. %	Talc wt. %	MFR ₂ g/10 min	T _g °C	Elongation at break 500 mm- /min MD/TD %	Tensile str- ength at break 500 mm/min MD/TD, MPa	Thick- ness μm	Bursting strength W (50%) g	Bursting strength- /film th- ickness g/μm
10	1	2.8-3.7	42.4	9/8	36/6	50	< 50	< 1
15	2	5.6-7.2	28.8	237/234	48/45	50	600	12

Example 7

Polymeric plasticizers were tested, their advantage being their FDA acceptance for packaging and adhesive application. The products used in the tests were Santicizer# products manufactured by Monsanto, which are based on polymeric adipates except Santicizer 160, which is benzyl butyl phthalate. The blending and film making were

carried out as previously. The results are shown in Table 6.

Table 6

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Santi- cizer	Amount wt. %	Filler	Amount wt. %	Elonga- tion at break MD/ TD, %	Tensile strength at break MD/TD MPa	Thick- ness μm	Bursting strength W (50%) g	Tearing strength N
160	15	Talc	1	281/278	42/42	40	33	0.39 (E)
160	20	Talc	2	217/252	48/42	60	> 1000	
430	15	Talc	2.5	233/240	44/48	30	< 20	
431	18	Talc /TiO ₂	2/2	246/249	47/52	30	246	
438	15	-	-	260/280	48/48			2.7 (T)
438	20	SiO ₂	3	250/260	44/35			

(T) Trousers tear, (E) Elmenforf

Claims:

1. Polylactide films, **characterized in that** their bursting strengths in proportion to the film thickness are above 5 g/ μ m measured according to standard ISO 7765-1A and their elongation at break above 200 % measured according to standard ISO 1184.
2. Films according to Claim 1, **characterized in that** the polylactide is a stabilized L-polylactide (PLLA) and its monomer content is below 2 % by weight.
3. Films according to Claim 1 or 2, **characterized in that** with the polylactide there is blended 10-30 % by weight, preferably 15-20 % by weight, of a plasticizer selected from the group mono- and polycarboxylic acid esters, polymeric polyesters, polyalkyl ethers, and glycerol esters and glycol esters.
4. Films according to any of the above claims, **characterized in that** the plasticizer is a glycerol ester.
5. Films according to Claim 4, **characterized in that** the glycerol ester is glycerol triacetate or glycerol tripropionate.
6. Films according to any of Claims 1-4, **characterized in that** the plasticizer is a polymeric adipate.
7. Films according to any of the above claims, **characterized in that** the plasticizer is a blend of two or more plasticizers.
8. Films according to any of the above claims, **characterized in that** one or several mineral fillers are additionally blended with the polylactide.

INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER		
IPC6: C08L 67/04, C08J 5/18 According to International Patent Classification (IPC) or to both national classification and IPC		
B. FIELDS SEARCHED		
Minimum documentation searched (classification system followed by classification symbols)		
IPC6: C08L, C08J		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
WPI		
C. DOCUMENTS CONSIDERED TO BE RELEVANT		
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