

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
4 December 2008 (04.12.2008)

PCT

(10) International Publication Number
WO 2008/144845 A1

(51) International Patent Classification:
C08K 3/34 (2006.01) *B65D 65/00* (2006.01)
B65D 65/38 (2006.01) *C08L 3/00* (2006.01)

(74) Agent: WATERMARK PATENT & TRADEMARK
ATTORNEYS; 302 Burwood Road, Hawthorn, VIC 2122
(AU).

(21) International Application Number:
PCT/AU2008/000787

(22) International Filing Date: 2 June 2008 (02.06.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
2007902980 1 June 2007 (01.06.2007) AU

(71) Applicant (for all designated States except US): PLAN-
TIC TECHNOLOGIES LTD [AU/AU]; 51 Burns Road,
Altona, Victoria 3018 (AU).

(72) Inventors; and

(75) Inventors/Applicants (for US only): KHEMANI, Kis-
han, C. [US/AU]; 16 College Street, Williamstown, Victo-
ria 3016 (AU). MOAD, Graeme [AU/AU]; 9 Clarkmont
Road, Sassafra, Victoria 3787 (AU). LASCARIS, Ed-
mond [AU/AU]; 2 Oasis Place, Bundoora, Victoria 3083
(AU). LI, Guoxin [AU/AU]; 20 Prospect Terrace, Keys-
borough, Victoria 3173 (AU).

(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA,
CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE,
EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID,
IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC,
LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN,
MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH,
PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV,
SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN,
ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,
FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL,
NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG,
CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:
— with international search report

(54) Title: STARCH NANOCOMPOSITE MATERIALS

(57) Abstract: In one aspect, the invention provides a substantially exfoliated nanocomposite material including starch and hydrophobically modified layered silicate clay. In another aspect, the invention provides packaging made from material including the substantially exfoliated nanocomposite material described above. The nanocomposite material has improved mechanical and rheological properties and reduced sensitivity to moisture in that the rates of moisture uptake and/or loss are reduced. In another aspect, the invention provides a process for preparing the substantially exfoliated nanocomposite material described above, including a step of mixing the starch in the form of an aqueous gel with the hydrophobic clay in a melt mixing device. In a further aspect, the invention provides a process for preparing the substantially exfoliated nanocomposite material, including the steps of mixing the starch with the hydrophobic clay to form a masterbatch (hereinafter "the masterbatch process") and mixing the masterbatch with further starch.



WO 2008/144845 A1

STARCH NANOCOMPOSITE MATERIALS

FIELD OF THE INVENTION

The present invention relates generally to nanocomposite materials. More particularly, the invention relates to nanocomposite materials which include starch and a substantially exfoliated hydrophobic clay. The invention also relates to a process for preparing such nanocomposite materials.

In one particular aspect, the invention relates to a nanocomposite material suitable for use in packaging materials and it will be convenient hereinafter to describe the invention in relation to that application. It should be appreciated, however, that the present invention is not limited to that application only, and may be applied to other applications.

BACKGROUND OF THE INVENTION

The following discussion is intended to facilitate an understanding of the present invention. However, it should be appreciated that the discussion is not an acknowledgement or admission that any of the matter referred to was published, known or part of the knowledge of the skilled worker at the priority date of the application.

Thermoplastic materials are typically prepared from hydrocarbon raw materials. Due to environmental problems associated with their production and degradation, alternative materials have been developed.

One alternative is to use a natural polymer such as starch to make thermoplastic materials. Natural polymers originate from renewable sources and are intrinsically biodegradable.

Nanocomposites made from unmodified low amylose starch and unmodified or hydrophilic clays have been disclosed.

United States patent no. 6811599 discloses a biodegradable thermoplastic material comprising a natural polymer, a plasticiser and an exfoliated clay having a layered structure. The description refers to a need to choose an organic modificant of the clay for compatibility with the natural polymer. This suggests that a hydrophilic clay is desirable. A problem with the material is that only partial exfoliation was obtained.

A paper by H Park *et al*, entitled "Preparation and properties of biodegradable thermoplastic starch/clay hybrids" in *Macromolecular Materials and*

Engineering **2002**, 287, (8), 553-558, discloses starch-based nanocomposites based on both sodium montmorillonite unmodified clay (CLOISITE™ Na⁺) and organic modified clays (CLOISITE™ 6A, 10A, and 30B). The most desirable mechanical properties were obtained with the unmodified clay and little exfoliation or expansion of the clay structure was observed.

A paper by B Q Chen and J R G Evans, entitled "Thermoplastic starch-clay nanocomposites and their characteristics" in *Carbohydrate Polymers* **2005**, 61, (4), 455-463, discloses nanocomposites based on glycerol-plasticised thermoplastic starch. The clays used were sodium montmorillonite, sodium hectorite, sodium hectorite modified with dimethyl di(hydrogenated tallow) ammonium chloride and kaolinite. Samples were prepared by melt processing using a two-roll mill. Only the unmodified montmorillonite and hectorite clays, both of which are hydrophilic, were reported to give partial exfoliation.

A paper by K Bagdi *et al*, entitled "Thermoplastic starch/layered silicate composites: structure, interaction, properties" in *Composite Interfaces* **2006**, 13, (1), 1-17, discloses the preparation of clay (nano)composites based on glycerol-plasticised wheat starch. The clays used were sodium montmorillonite and clay modified with aminiododecanoic acid (NANOFIL™ 784), stearyl dihydroxyethyl ammonium chloride (NANOFIL™ 804) or distearyldimethylammonium chloride (NANOFIL™ 948). No or only limited expansion of the clay was observed.

A paper by B Chiou *et al*, entitled "Rheology of starch-clay nanocomposites" in *Carbohydrate Polymers* **2005**, 59, (4), 467-475, discusses the rheology of thermoplastic starch-clay nanocomposites (clays used were CLOISITE™ Na⁺, CLOISITE™ 30B, 10A, and 15A). Various starches were examined, including wheat, potato, corn and waxy corn starch.

International patent WO 2005068364 claims starch and modified starches as intercalants for nanoclays. The method employed makes use of the water-friendly nature of clay.

Nanocomposite materials based on starch and clay having a high degree of exfoliation of the clay, and having improved properties including, for example, a high degree of transparency, improved mechanical and rheological properties and/or reduced sensitivity to moisture, would be desirable.

It has been found that use of a hydrophobic clay in the preparation of a nanocomposite material results in surprising levels of improvement in the

properties of the resulting material, including in its clarity, pliability, tensile strength, impact resistance and/or tensile properties.

Thus in one aspect, the invention provides a substantially exfoliated nanocomposite material including starch and hydrophobically modified layered
5 silicate clay.

The hydrophobically modified layered silicate clay (hereinafter "hydrophobic clay") is present preferably in an amount of 0.1 % to 5 % w/w, more preferably 0.1 % to 3 %, and most preferably 0.5 % to 2 %.

Preferred clays which include such long chain alkyl ammonium ions
10 include CLOISITE™ 20A and CLOISITE™ 25A.

Preferably, the nanocomposite material includes one or more plasticisers, and/or one or more water-soluble polymers such as but not limited to polyvinyl alcohol, and/or one or more processing aids.

The starch may be blended with other suitable polymers including polyvinyl
15 alcohol and polyesters such as polylactide and polycaprolactone. The blends used may be modified according to the functional and mechanical properties required.

The nanocomposite material preferably has water content of between 5 % and 30 % by weight, more preferably 5 % to 15 %, and most preferably 8 % to
20 12 %.

The nanocomposite material may be used, for example, as rigid thermoplastic packaging trays, injection moulded products such as bottles, flexible films and barrier films, and biomaterials. Thus in another aspect, the invention provides packaging made from material including the substantially
25 exfoliated nanocomposite material described above.

The nanocomposite material has improved mechanical and rheological properties and reduced sensitivity to moisture in that the rates of moisture uptake and/or loss are reduced. The nanocomposite material has a higher melt strength which facilitates its use in processes such as foaming or film blowing, and has
30 improved aging characteristics and reduced gas and water permeability.

The improved properties of the nanocomposite material reduce the need for plasticisers and/or processing aids. In particular, as the nanocomposite material of the invention is more plastic than other materials previously disclosed, the amount of plasticiser added may be reduced.

The nanocomposite material has improved clarity, which is an indication of exfoliation. The material becomes transparent during the preparation process, and its level of transparency continues to increase after preparation on drying to the desired moisture content. The material remains transparent for an extended
5 time because it has a reduced rate of retrogradation.

In another aspect, the invention provides a process for preparing the substantially exfoliated nanocomposite material described above, including a step of mixing the starch in the form of an aqueous gel with the hydrophobic clay in a melt mixing device. Suitable melt mixing devices include extruders. Preferably,
10 the clay is in the form of a powder.

In a further aspect, the invention provides a process for preparing the substantially exfoliated nanocomposite material, including the steps of mixing the starch with the hydrophobic clay to form a masterbatch (hereinafter "the masterbatch process") and mixing the masterbatch with further starch. The
15 masterbatch is collected as strand and may be dried and pelletized for use in further processing. Preferably the masterbatch is mixed with further starch in a second and subsequent step. The second step can be performed immediately following the first step or after a period of time. The period of time of time between the first and second steps is preferably less than three months, and more
20 preferably less than two months.

Preferably, the masterbatch process includes a step of rehydrating the masterbatch before the subsequent step of mixing the masterbatch with further starch.

Preferably, the masterbatch process includes a step of grinding and/or
25 milling the resulting masterbatch to a powder before the subsequent step of mixing the masterbatch with further starch.

More generally, the invention provides a process for preparing a nanocomposite material, including a step of mixing starch with clay to form a masterbatch and a subsequent step of mixing the masterbatch with further starch.
30 Preferably, the clay is present in the masterbatch in an amount of between 5 % and 70 % by weight.

The inclusion of a masterbatch in the process has a number of advantages. The masterbatch concentrate is easy to handle and store, and may be fed into an extruder more easily than a raw clay or a clay slurry. The use of a

masterbatch also reduces potential for exposure of the operator to nanoclay dust during the subsequent step. The masterbatch may be stored for more than three months, and potentially indefinitely, in a relatively dry state with moisture content of less than 15 % by weight, to be rehydrated before use. Most notably, the masterbatch process leads to improved exfoliation when compared with one step preparation.

BRIEF DESCRIPTION OF FIGURES

Figure 1 is a schematic representation of one suitable extruder which may be employed in the inventive process.

Figure 2 is a reference wide angle X-ray scattering (WAXS) graph of CLOISITE™ 20A according to Examples 30 to 36.

Figure 3 is WAXS patterns for R1 and of the 1 % and 2 % CLOISITE™ 20A nanocomposites according to Examples 30 to 36.

Figure 4 is WAXS patterns for the 3 % and 5 % CLOISITE™ 20A nanocomposites according to Examples 30 to 36.

Figure 5 is a thermograph of CLOISITE™ 20A according to Examples 30 to 36.

Figures 6A and 6B are thermogravimetric derivative mass curves for R1 and CLOISITE™ 20A nanocomposites according to Examples 30 to 36.

Figure 7 is a transmission electron microscopy (TEM) micrograph of 2 % CLOISITE™ 20A according to Example 53 at 50K magnification.

Figure 8 is a TEM micrograph of 2 % CLOISITE™ 25A according to Example 54 at 50K magnification.

Figure 9 is a TEM micrograph of 2 % CLOISITE™ Na⁺ according to Example 55 at 50 K magnification.

Figure 10 is a TEM micrograph of 2 % CLOISITE™ 20A according to Example 53 at 115 K magnification.

Figure 11 is a TEM micrograph of 2 % CLOISITE™ 25A according to Example 54 at 115 K magnification.

Figure 12 is a TEM micrograph of 2 % CLOISITE™ CLOISITE™ Na⁺ according to Example 55 at 115 K magnification.

Figure 13 is WAXS patterns for 2 % CLOISITE™ 20A nanocomposites according to Examples 56 to 60.

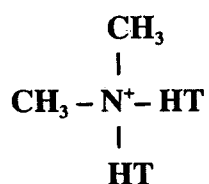
DETAILED DESCRIPTION

In its simplest form, the substantially exfoliated nanocomposite material of the invention consists only of starch and hydrophobic clay. As would be appreciated by formulators in the art, a raft of additional compounds may be added during preparation of the nanocomposite material, including plasticisers and processing aids.

When used in the present specification, "substantially exfoliated" nanocomposite material means a material in which a majority of clay agglomerates are disrupted to tactoids and individual clay layers. Preferably, said tactoids have dimensions that are less than the wavelength of visible light such that the material appears transparent and has few visibly discernable clay particles. More preferably said tactoids comprise between 2 to 10 clay layers and have a lateral dimension of between 100 and 300 nm.

When used in the present specification, "clay" is a synthetic or natural layered silicate capable of being exfoliated into nanoparticles. Preferred clays include montmorillonite, bentonite, beidelite, mica, hectorite, saponite, nontronite, sauconite, vermiculite, ledikite, magadite, kenyaite, stevensite, volkonskoite or a mixture thereof.

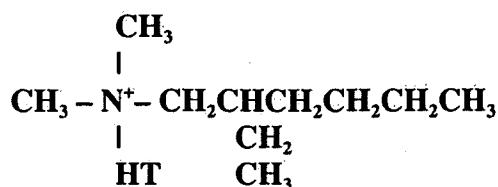
A "hydrophobically modified layered silicate clay" or "hydrophobic clay" is preferably a clay modified by exchange with a surfactant comprising long chain alkyl groups such as a long chain alkylammonium ion, for example, mono- or di-C₁₂-C₂₂ alkylammonium ion, wherein polar substituents such as hydroxyl or carboxyl are not attached to the long chain alkyl. Examples of suitable clays include CLOISITE™ 20A or CLOISITE™ 25A from Southern Clay Industries. The long chain alkylammonium ion in CLOISITE™ 20A is depicted in Formula 1 and that in CLOISITE™ 25A is depicted in Formula 2.



Where HT is Hydrogenated Tallow (~65% C18; ~30% C16; ~5% C14)

Anion: Chloride

Formula 1: Long chain alkylammonium ion in CLOISITE™ 20A



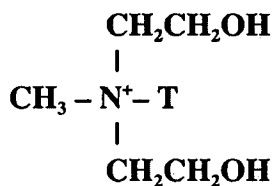
Where HT is Hydrogenated Tallow (~65% C18; ~30% C16; ~5% C14)

Anion: methyl sulfate

Formula 2: Long chain alkylammonium ion in CLOISITE™ 25A

The surfactant in CLOISITE™ 20A includes two hydrophobic hydrogenated tallow long chains, and does not include polar substituents such as hydroxyl or carboxyl. That in CLOISITE™ 25A includes one hydrophobic hydrogenated tallow long chain, and a second chain which is six carbon atoms in length. Again, the modifier does not include hydroxyl or carboxyl substituents.

Examples of unsuitable surfactants include CLOISITE™ Na⁺ and CLOISITE™ 30B, which is depicted in Formula 3.



Where T is Tallow (~65% C18; ~30% C16; ~5% C14)

Anion: Chloride

Formula 3: CLOISITE™ 30B

CLOISITE™ 30B is unsuitable as it has polar hydroxyl groups. CLOISITE™ Na is unsuitable as it does not include any long chain alkyl organic modifiers.

Other modifying agents such as suitable phosphonium ion or suitable non-ionic surfactants may also be used.

The hydrophobic clay may be preformed or may be formed *in situ* during production of the nanocomposite or nanocomposite masterbatch from unmodified clay and the modifying agent.

The starch may be a natural or derivatized starch such as corn (maize) starch, potato starch, tapioca starch, wheat starch, rice starch, cassava starch, arrowroot starch or sago starch. Starch consists of amylopectin, amylose and

other minor components. High amylose starch is preferred, although low amylose starch may also be employed. Derivatized starches include esters such as acetylated starch or starch succinate, or carboxymethylated starch, and ethers, such as hydroxyalkylated starch, preferably hydroxypropylated starch. Derivatized starches may be formed as the reaction product of starch with epoxides, cyclic lactones, cyclic carbonates, cyclic and acyclic anhydrides. A high amylose hydroxypropylated starch such as one having an amylose level above 50 %, for example GELOSE™ A939 (Penford) or ECOFILM™ (National Starch & Chemical Company) or equivalent starch is preferred. The most appropriate starch is to be selected based on the properties required of the product and its processability. The starch is present preferably in an amount of 5 % to 99 % by weight.

Although the water present by virtue of the starch may act as a plasticiser, any other suitable hydrophobic or hydrophilic plasticiser may also be introduced into the process if required. Suitable plasticisers include polyols and may be one or more of poly(vinyl alcohol) (such as ELVANOL™), sorbitol, maltitol, glycerol, mannitol, xylitol, erythritol, ethylene glycol and diethylene glycol.

Suitable processing aids include C₁₂-C₂₂ fatty acids and their salts such as stearic acid, calcium stearate, sodium stearate, palmitic acid and lauric acid.

The process of nanocomposite material formation can be performed by direct addition of the hydrophobic clay as a dry powder, as a powder moistened with water or a plasticiser, as an aqueous slurry or as a starch based masterbatch. Preferably, the process of nanocomposite material formation is performed as a masterbatch process. Firstly, a starch clay masterbatch containing 5 % to 70 % by weight clay is prepared by mixing the starch, the hydrophobic clay, one or more plasticisers and optionally one or more processing aids or other components.

The masterbatch is collected as strand and may be dried and pelletized for use in the second step. If the masterbatch is to be stored for a long period it is preferred to have a low water content, preferably between 5 % and 15 % by weight. The masterbatch is rehydrated by addition of water and equilibrated to have a water content which is preferably between 15 % and 30 %, more preferably between 20 % and 30 % by weight.

Subsequently, a nanocomposite material containing 0.1 % to 5 % clay by weight is prepared by mixing the masterbatch with further starch and optionally plasticisers and processing aids.

5 The materials may be extruded. Preferred conditions for the extrusion are a maximum temperature of between 120 and 140 °C and pressure of between 1 and 4 atmospheres.

10 The process includes premixing the modified starch, clay, or clay masterbatch, and any other dry components to produce a mixture. This can be carried out in any conventional mixer. The mixture can be introduced into a screw extruder and subjected to elevated temperatures by the shearing action of the screw and the application of external heat to the barrel. The temperature can be raised to a range of about 120 °C to about 180 °C. The material is best manufactured by means of extrusion compounding, using co- or counter-rotating twin screw or selected design single screw extruders. Twin screw co-rotating
15 compounding, with an extrusion pressure of at least about 5 or about 10 bar (1 bar = 100 kPa) and with a screw speed of at least about 80 rpm or about 100 rpm.

Water can be introduced substantially simultaneously with the start of extrusion such as in the initial barrel sections by means of liquid injection for
20 "gelatinising" (also referred to as deconstructurising, cooking, or melting) the starch into a polymeric gel structure. Starch was cooked by the combined action of water, elevated temperature and shear provided by the screw. Water may also serve to dissolve any other water soluble polymers added, such as poly(vinyl alcohol) and to act as a plasticiser in the end-product thereby softening the
25 material and reducing the modulus and brittleness. The melt and/or deconstructurised starch nanocomposite blend can then be propelled toward the die and in moving forward the temperature can be reduced to prevent foaming without the need for venting. Alternatively, a foaming agent may be added to the combination during the process. Water may be added to a calculated
30 concentration of about 10 % to 50 % by weight, preferably about 20 % to 40 % by weight, more preferably about 22 % to about 40 % by weight, or even more preferably about 25 % to about 35 % by weight of the total mixture or another mixture. During and following cooking of the starch the extruder serves to mix and homogenize the nanocomposite composition. A typical residence time in the

extruder is between 1 and 2.5 min, depending on temperature profile and screw speed.

Whilst not intending to be bound by theory, it is speculated that modifying the clay so that it becomes hydrophobic may enable the clay particles to come
5 apart more easily. This may result in the high level of exfoliation observed in the resulting nanocomposite material.

EXAMPLES

Materials

CLOISITE™ 20A, a natural montmorillonite modified by dimethyl
10 di(hydrogenated tallow) quaternary ammonium chloride from Southern Clay Industries

CLOISITE™ 25A, a natural montmorillonite modified by dimethyl 2-ethylhexyl (hydrogenated tallow) ammonium methylsulfate from Southern Clay Industries

15 CLOISITE™ 93A, a natural montmorillonite modified with methyl di(hydrogenated tallow) ammonium bisulfate from Southern Clay Industries

GELOSE™ A939, a high amylose corn starch, GELOSE™ 80, with a quoted amylose content of ~80 %, modified by reaction with propylene oxide to form 6.5 % hydroxypropyl substitution (w/w). GELOSE™ A939 was obtained from
20 Penford, Australia

ECOFILM™, high amylose corn starch, HYLON™ VII, with a quoted amylose content of ~70 %, modified by reaction with propylene oxide to give 6.5 % hydroxypropyl residues (w/w). It was obtained from National Starch and Chemical Company

25 Poly(vinyl alcohol), ELVANOL™ from Dupont

Stearic acid, PALMERA™ from Palm-Oleo BHD

Equipment

A twin screw extruder including ten temperature controlled barrel zones, three unheated zones, and a cooled feed block was used for clay-starch
30 masterbatch preparation. Materials were fed into the extruder via a gravimetric feeder. The extruder was operated in co-rotating (intermeshing self wiping) mode. The melt temperature was monitored at three positions along the barrel and in the die. A schematic representation of one suitable extruder is shown in Figure 1.

Starch and clay were fed into the barrel through the hopper at C1. Water was injected into the barrel through a liquid pump at C4. Temperature zones from C5 to C9 are cooking zones and full gelatinization should be completed in these zones. Die or sheet die is after C11. The temperature profiles with ten heating zones and die were controlled as follows:

Table 1 Temperature profiles of extrusion

Starch type	Temperature profiles (°C)	Die temperature (°C)	Sheet die temperature (°C)
For GELOSE™ A939 masterbatch	60, 80, 90, 110, 120, 120, 115, 110, 75, 80	80	
For GELOSE™ A939 2 nd extrusion	60, 80, 90, 120, 130, 130, 130, 120, 110, 80	80	90

The proportions quoted in the following tables are weight percentage. They are not dry weights. They include the moisture contained in the materials. The typical moisture content of GELOSE™ A939 is 11 %·(w/w).

Processing of clay-starch masterbatch was carried out in a laboratory scale co-rotating twin screw extruder. The general screw geometry was: diameter 27 mm, L/D ratio 48, and maximum rotation speed 1200 rpm. Materials were fed into the extruder via a gravimetric feeder. In the extruder, the materials went through convey, water, blend, compress, mix, convey, mix, compress, mix, convey, and compress stages. The melt temperature was monitored at three positions along the barrel and in the die. The temperature profiles were controlled as follows:

Table 2 Temperature profiles of sheet extrusion from clay-starch masterbatch

Material	Temperature profiles (°C)	Sheet die temperature (°C)
Clay-starch masterbatch	40, 70, 80, 90, 95, 120, 140, 150, 150, 140, 120, 100,	105, 100, 105
Control 1 (without clay-starch masterbatch)	40, 70, 80, 90, 95, 120, 140, 150, 150, 140, 120, 100,	105, 100, 105
Control 2 (without clay-starch masterbatch)	40, 70, 80, 90, 95, 120, 130, 135, 135, 130, 120, 100,	105, 100, 105

Trays were thermoformed from sheet produced from the range of formulations by using a contact heat thermoforming machine. The key thermoforming conditions were: heating time 1 s, heat vent time 0.5 s, form time 1 s, form vent time 0.4 s, thermoforming temperature 125 °C, and mould heat temperature 21 °C.

The following examples demonstrate processes for production of masterbatches and of nanocomposites formed using said masterbatches according to the invention.

10 Examples 1 and 2

The examples tabulated in Table 3 demonstrate clay-starch masterbatch preparation.

The following procedure is typical:

Preparation of 10 % clay masterbatch (CLOISITE™ 25A)

15 GELOSE™ A939 (16.2 kg), PVOH (1.62 kg), stearic acid (180 g) and CLOISITE™ 25A (2 kg) were combined in a tumble mixer for 2 h. The mixed powder was fed to the main feed hopper of the extruder via a gravimetric feeder through an auger at rate of 3.5 kg/h. The temperature profile was set as shown in Table 1. Water was injected into the barrel through a liquid pump at a flow rate of 26 g/min. The screw speed was 162 rpm. The extrudate strand was collected, air-dried overnight and pelletized.

Table 3 Preparation of the masterbatch

Example	Clay type	Clay (%)	GELOSE™ A939 (%)	PVOH (%)	Stearic acid (%)
1	CLOISITE™ 25A	10.00	81.00	8.10	0.90
2	CLOISITE™ 20A	10.00	81.00	8.10	0.90

Examples 3 to 5

25 The examples tabulated in Table 4 demonstrate the preparation of starch nanocomposite sheet (2 % CLOISITE™ 25A) from 10 % clay masterbatch

The following procedure is typical:

- A starch mixture was prepared comprising GELOSE™ A939 (9 kg), PVOH (0.9 kg) stearic acid (0.1 kg) and mixed in the tumble mixer for 2 h. The dry masterbatch pellets (from Example 1 above) were hydrated (the water was added into the mixer at a flow rate of 2 mL/min) in a tumble mixer overnight to achieve a
- 5 final water content of approximately 26 %. The temperature profile was set as shown in Table 1 for the 2nd extrusion. The screw speed was 162 rpm. The starch mixture was fed via a gravimetric feeder to the main hopper at a rate of 2.8 kg/h. The hydrated masterbatch pellets were fed via a second gravimetric feeder to the main hopper at a rate of 700 g/h. Water was injected at a flow rate of 26 g/min.
- 10 The extrudate sheet was collected, air-dried for 2 h, rolled and stored in plastic bags.

Table 4 Preparation of starch composite sheet

Example	Clay type	Masterbatch example (feed rate - g/h)	Final composition			
			Clay (%)	GELOSE™ A939 (%)	PVOH (%)	Stearic acid (%)
control	none	- (1750)	0	90	9	1
3	CLOISITE™ 25A	1 (350)	1.00	89.10	8.91	0.99
4	CLOISITE™ 25A	1 (700)	2.00	88.20	8.82	0.98
5	CLOISITE™ 25A	1 (1750)	5.00	85.50	8.55	0.95

Total feed rate (starch mixture + masterbatch) 3.5 kg/h

- 15 The examples prepared with hydrophobic clays possessed exceptional clarity and substantially higher melt strength compared to a control without CLOISITE™. This improvement in properties was most marked for composites prepared with the more hydrophobic CLOISITE™ 25A

Examples 6 to 8

- 20 The examples tabulated in Table 5 demonstrate clay-starch masterbatch preparation.

Procedures of starch composite preparation (CLOISITE™ 20A)

Preparation of 10 % CLOISITE™ 20A masterbatch

The following procedure is typical:

GELOSE™ A939 (16.2 kg), PVOH (1.62 kg), stearic acid (180 g) were mixed in a mixer for 2 h. The mixed powder was fed into barrel via a gravimetric feeder through hopper at rate of 3.15 kg/h. CLOISITE™ 20A was fed into barrel
5 through hopper at a flow rate of 350 g/h. The temperature profile was set as shown in Table 1 for master batch. Water was injected into the barrel through a liquid pump at a flow rate of 26 g/min. The screw speed was 162 rpm. The extrudate strings were collected, air-dried overnight and pelletized.

Plasticisers such as sorbitol, erythritol and xylitol may be added to the
10 powder before the powder was fed into the barrel.

Table 5 Preparation of masterbatch

Example	Clay type	Clay (%)	GELOSE™ A939 (%)	PVOH (%)	Stearic acid (%)
6	CLOISITE™ 25A	5.00	85.50	8.55	0.95
7	CLOISITE™ 93A	5.00	85.50	8.55	0.95
8	CLOISITE™ 20A	5.00	85.50	8.55	0.95

Examples 9 to 15

The examples in Table 6 demonstrate preparation of starch composite
15 sheet from 5 % clay masterbatch.

The dry masterbatch pellets were hydrated in a mixer overnight to achieve a water content of approximately 26 %. Water was added into the mixer at a flow rate of 2 ml/min. The mixed powder was fed into the barrel via a gravimetric feeder through the hopper at rate of 2.8 kg/h. The hydrated masterbatch pellets
20 were fed into barrel via a feeder at a rate of 700 g/h. The temperature profile was set as shown in Table 1 for the 2nd extrusion. Water was injected into the barrel through a liquid pump at a flow rate of 27 g/min. The screw speed was 162 rpm. The extrudate sheets were collected, air-dried for 2 h, rolled into a coil and stored.

Table 6 Preparation of starch composites

Example	Clay type	Masterbatch entry	Final composition			
			Clay (%)	GELOSE™ A939 (%)	PVOH (%)	Stearic acid (%)
9	CLOISITE™ 25A	2	1.00	89.10	8.91	0.99
10	CLOISITE™ 25A	2	5.00	85.50	8.55	0.95
11	CLOISITE™ 93A	4	1.00	89.10	8.91	0.99
12	CLOISITE™ 93A	4	2.00	88.20	8.82	0.98
13	CLOISITE™ 20A	5	0.50	89.55	8.955	0.995
14	CLOISITE™ 20A	5	1.00	89.10	8.91	0.99
15	CLOISITE™ 20A	5	2.00	88.20	8.82	0.98

The examples prepared with clays possessed exceptional clarity and substantially higher melt strength with respect to a control without CLOISITE™.

- 5 This improvement in properties was most marked for samples prepared with the more hydrophobic clays CLOISITE™ 20A and CLOISITE™ 25A.

Examples 16 to 17

The examples demonstrate 50 % clay-starch masterbatch preparation and are tabulated in Table 7.

- 10 The following procedure is typical:

Procedures of starch composite preparation (CLOISITE™ 25A)

- 15 GELOSE™ A939 (9.0 kg), PVOH (0.9 kg), stearic acid (100 g) and CLOISITE™ 25A (10.0 kg) were mixed for 2 h in rotary mixer. The mixed powder was fed into a barrel via a gravimetric feeder at rate of 3.5 kg/h. The temperature profile was set as shown in Table 1 for the masterbatch. Water was injected into the barrel through a liquid pump at a flow rate of 25 g/min. The screw speed was 162 rpm. The extrudate strand was collected, air-dried overnight and pelletized.

Table 7 Preparation of the 50 % clay masterbatch

Example	Clay type	Clay (kg)	GELOSE™ A939 (kg)	PVOH (kg)	Stearic acid (%)
16	CLOISITE™ 25A	10.00	9.00	0.90	0.10
17	CLOISITE™ 20A	10.00	9.00	0.90	0.10

Examples 18 to 29: Comparative Transparency of CLOISITE™ Na and CLOISITE™ 20A

5 **Table 8** Formulation R1

	Composition %·w/w
GELOSE™ A939 starch (including 12 % moisture)	90.0
PVOH (ELVANOL™)	9.0
Stearic acid	1.0
TOTAL DRY MIX	100.0

The formulation R1 is a composite which does not include a nanoclay and is used for comparative purposes in these examples.

As discussed in the Detailed Description, CLOISITE™ 20A is significantly more hydrophobic than CLOISITE™ Na.

Examples 18 to 29 demonstrate that formulations including the hydrophobically modified layered silicate clay CLOISITE™ 20A are significantly more transparent than formulations including either the more hydrophilic clay CLOISITE™ Na or that containing no clay.

UV-visible spectra were obtained over wavelength range of 800 to 200 nm at 1008 nm·min⁻¹. Transmittance was recorded and related to absorbance by the equation $A = -\log(T)$. Transparency was calculated based on the transmitted light from 350 to 800 nm and divided by 100 % transmitted light. Optical absorbance coefficient (OAC) was determined by normalisation of specimen thickness. The OAC was compared with R1 produced on the same day (or series) as the composites. The relative changes are listed in Table 9.

Table 9 UV-visible Transparency and comparison to R1 produced on the same day.

Example				
Composition	Clay type	Clay (%)	Transparency (%)	Optical Absorbance Coefficient (m^{-1})
18	Na	1	67.78	614
19		-	71.79	543
20	Na	2	70.56	571
21	Na f	3	66.94	709
22	Na c	3	67.41	772
23	Na	5	68.11	641
24	20A	1	84.41	260
25	20A	2	83.92	304
26	20A f	3	82.68	306
27		-	77.83	394
28	20A c	3	84.03	308
29	20A	5	83.32	288

f = masterbatch was fed directly to the extruder using feeder 2;

- 5 c = starch and masterbatch were blended in a concrete mixer before being fed into the extruder.

CLOISITE™ Na

All of the CLOISITE™ Na nanocomposites showed an increased OAC indicating a reduced transparency compared with the R1 made on same day. The OAC of R1 was 543 m^{-1} . Inclusion of 1 % CLOISITE™ Na resulted in OAC of 614 m^{-1} , an increase in OAC, therefore a reduction in transparency.

The OAC was increased up to 42% with 3 % CLOISITE™ Na concrete mixer method, in the worst case. The 3 % CLOISITE™ Na feeder and 5 % CLOISITE™ Na faired similarly in OAC. The 2 % CLOISITE™ Na showed lower OAC compared with the concrete mixer preparation, 5 % higher than R1.

CLOISITE™ 20A

Remarkable improvements in transparency, as indicated by reduction in OAC were observed across all compositions when compared with the R1 control made on same day. The OAC of R1 listed in Table 9 was 394 m^{-1} . Improvements of at least 21 to 49% in OAC reduction have been obtained for CLOISITE™ 20A nanocomposites. The most transparent were 1 and 5 % CLOISITE™ 20A with OAC of 260 m^{-1} and 288 m^{-1} , respectively. Addition of 2-3 % CLOISITE™ 20A exhibited similar OAC between 300 to 310 m^{-1} . Of the 3 % CLOISITE™ 20A nanocomposite, the concrete mixer method provided better consistency and lower variability in the measured transparency. This may be attributed to a more homogeneous input into the extruder.

Discussion

The introduction of CLOISITE™ Na resulted in a reduction in transparency and an apparent yellowness observed in the sheet materials. Conversely, the inclusion of CLOISITE™ 20A in amounts of up to about 5 % proved beneficial in increasing the transparency of the sheet. The improvement was most significant with 1 to 2 % CLOISITE™ 20A.

Examples 30 to 36: Mechanical Tensile Properties of CLOISITE™ 20A

The mechanical tensile experiments demonstrate that the addition of CLOISITE™ 20A improves mechanical tensile properties of sheet material.

Sheets were cut into strip ($25 \times 200 \text{ mm}^2$). Four thickness measurements were made for each strip. Mechanical tensile tests were conducted according to ASTM D882. The extension rate of $10 \text{ mm} \cdot \text{min}^{-1}$ was used with a 2 kN load cell. The thermoplastic composites were conditioned for 48 h at 50% and 25% relative humidity prior to testing. The average specimen thickness was $250 \mu\text{m}$. Statistical analysis was performed for minimum of $n = 10$ replicate specimens per composite. Tensile tests of thermoplastic starch films were conducted both in machine direction (MD) and transverse to the direction of extrusion (TD). The results are shown in Table 10.

Table 10 Summary of Elongation break of sheets as affected by relative humidity with reference to extrusion direction.

Sheets	Ratio of Elongation break values		
	Machine/ transverse (50% RH)	50%RH/25%RH (machine)	50%RH/25%RH (transverse)
Example 30 - R1	6.27	2.95	2.52
Example 31 - R1, 1% 20A	1.96	2.58	2.43
Example 32 - R1, 2% 20A	1.54	2.27	1.97
Example 33 - R1, 3% 20A, Feeder	1.69	2.19	1.58
Example 33 - R1	4.59	3.04	2.37
Example 34 - R1, 3% 20A concrete mix	1.09	2.25	1.72
Example 35 - R1, 5% 20A concrete mix	0.90	1.67	1.81

When relative humidity of the environment decreased from 50% to 25%, significant increases were found in the E-modulus values and Fmax values of both CLOISITE™ 20A sheets and R1 sheet, due to the loss of plasticizing water, whereas significant decrease in elongation break values were found in all sheets.

Adding CLOISITE™ 20A provided a more isotropic sheet as indicated by the elongation at break being similar in the machine and transverse directions. For example, for R1 the ratio of elongation break value in the machine direction to that in the transverse direction was 5-6, whereas ratios for the CLOISITE™ 20A nanocomposite sheet were between 1-2 (Table 10).

Adding CLOISITE™ 20A slightly improved mechanical performance tolerance with change in humidity from 50 %RH and 25 %RH (Table 10). In the machine direction, ratio of elongation break value for R1 at 50 %RH to 25 %RH was 3, whereas ratios for the composite sheet was between 1.67-2.58. In the transverse direction, ratio of elongation break value for R1 at 50 %RH to 25 %RH was 2.37-2.52, whereas ratios for the composite sheet were between 1.58-2.43.

Examples 30 to 36: WAXS of CLOISITE™ 20A

The WAXS experiments demonstrate that the formulations including 1 % or 2 % CLOISITE™ 20A were substantially exfoliated.

The reference WAXS pattern for CLOISITE™ 20A is shown in Figure 2.

5 Figure 3 shows the WAXS patterns for R1 and of the 1% and 2% CLOISITE™ 20A nanocomposites. They show no peaks due to clay and indicate an exfoliated structure was obtained. Figure 4 shows patterns for the 3% and 5% nanocomposites. The WAXS pattern obtained for the 3% CLOISITE™ 20A (concrete) nanocomposite suggests a high degree of exfoliation as no clay peaks
10 were observed. The 3% CLOISITE™ 20A (feeder) and 5% CLOISITE™ 20A nanocomposites show a small peak at 2θ of 4.6° . This suggests that the concrete method gave greater exfoliation of the clay.

Examples 30 to 36: Thermogravimetry of CLOISITE™ 20A

The thermogravimetry experiments demonstrate that formulations with
15 CLOISITE™ 20A have high thermal stability and low mass loss rates.

Figure 5 provides a thermogram of CLOISITE™ 20A. Three mass loss stages occurred, the first at $\sim 38^\circ\text{C}$, main degradation at 315°C , followed by a broad loss at 629°C . Mass losses can be attributed to water losses and addition degradation of organic modifier.

20 Figure 6A and Figure 6B show the thermogravimetric derivative mass curves for R1 and CLOISITE™ 20A nanocomposites. Table 11 lists extracted data for the sheets. R1 made on the two different days showed some differences in the thermogram, mainly in the third decomposition step. The 1% and 2% CLOISITE™ 20A slowed the mass loss rates in all the steps as listed in Table 11.

25 Composite 3% concrete seemed to show higher mass loss rates in all decomposition steps compared with 3% feeder nanocomposite. The 5% nanocomposite exhibited higher thermal stability and generally lower rates of mass loss compared to other nanocomposites and R1.

30 Composite 2% CLOISITE™ 20A seemed to show optimal properties; *ie*, low mass loss rates (broader peak in derivative curve), and higher thermal stability than R1.

Table 11 Thermogravimetric mass loss, rate and temperature data

Composition	Clay in composite (%)	Mass remain i (%)	Mass loss (temp, rate %·min ⁻¹)	Mass loss (temp, rate %·min ⁻¹)	Mass loss (temp, rate %·min ⁻¹)
CLOISITE™ 20A		62.5	1.0 (38.5, 0.39)	24.0 (315, 4.2)	13.0 (629, 1.5)
070417-1	0	0.73	10.8 (70.2, 2.2)	68.3 (353, 51.4)	19.5 (479, 16.4)
070417-2	1	1.74	11.1 (73.1, 2.05)	67.9 (360, 40.5)	18.9 (497, 13.9)
070417-3	0	0.49	10.9 (69.5, 2.2)	68.2 (355, 49.6)	18.8 (490, 15.9)
070417-4	2	1.59	10.8 (70.2, 1.9)	69.3 (357, 40.9)	18.0 (493, 15.4)
070417-5	3 f	2.08	10.9 (71.5, 2.1)	68.2 (352, 44.3)	17.0 (488, 13.6)
070417-6	3 c	1.99	11.3 (68.8, 2.0)	68.0 (344, 47.4)	17.2 (485, 14.9)
070417-7	5	3.13	10.9 (76.2, 1.9)	66.8 (357, 45.3)	16.7 (486, 10.6)

Examples 37 to 43: Processing, thermoforming and drop test of CLOISITE™ 20A

5 Examples 37 to 43 demonstrate that trays including CLOISITE™ 20A were clearer having significantly better drop test performance.

Master batch pellets were ground into powder. The following sheets were extruded:

Example 37 - R1

10 Example 38 - R1, 1 %20A

Example 39 - R1, 2 %20A

Example 40 - R1, 3 %20A (masterbatch added by feeder 2)

Example 41 - R1

Example 42 – R1, 3 %20A (masterbatch mixed with starch in concrete mixer)

15

Example 43 - R1, 5 %20A.

The formulation of each sheet is summarised in Table 12.

Table 12 The formulations of CLOISITE™ 20A sheets

Supplier	Product Batch	Eg 37 (kg)	Eg 38 (kg)	Eg 39 (kg)	Eg 40 (kg)	Eg 41 (kg)	Eg 42 (kg)	Eg 43 (kg)
Penford	A939 (C7317-09)	10.197	10.197	10.197	10.197	10.197	10.197	7.05
DuPont	PVOH 71-30	0.9	0.9	0.9	0.9	0.9	0.9	0.62
Acid-Chem International	Stearic Acid	0.06	0.06	0.06	0.06	0.06	0.06	0.04
Clay Cloisite	20AMB		0.22	0.46	0.72		0.72	0.88

All nanocomposite sheets and R1 sheets were extruded at a temperature around 130 °C. The processing conditions are summarized in Table 13.

5 **Table 13** Example 37 to 43 processing conditions

Example No.	Barrel Temp (°C)
37	35, 70, 80, 90, 95, 130, 135, 135, 130, 120
38	35, 70, 80, 90, 95, 130, 135, 135, 130, 120
39	35, 70, 80, 90, 95, 130, 135, 135, 130, 120
40	40, 70, 80, 90, 95, 130, 135, 135, 130, 120
41	35, 70, 80, 90, 95, 130, 135, 135, 130, 120
42	35, 70, 80, 90, 95, 130, 135, 135, 130, 120
43	35, 70, 80, 90, 95, 130, 135, 135, 130, 120

The output rate for each example was 7 to 15 kg/h, and the extruder speed for each example was 450 rpm.

Thermoforming of trays

- 10 Trays were thermoformed from sheet about 250 µm (0.25 mm) thick into chocolate trays of 13.5 x 13.5 cm using a thermoforming machine. The key thermoforming conditions were: heating time 1 s, heat vent time 0.5 s, form time 1 s, form vent time 0.4 s, thermoforming temperature 125 °C, and mould heat temperature 21 °C.

All CLOISITE™ 20A sheets had similar thermoforming properties to R1 control. Trays made from CLOISITE™ 20A sheets were clearer than trays made from R1 control.

Drop test

- 5 For drop tests, the tray cavities were filled with moulded plastic pieces corresponding to the weight of chocolate pieces totalling 125 g and the filled tray, packaged in a secondary carton package, was let drop from a height of either 0.9 m or 1.5 m, depending on the relative humidity conditions. Drop tests at 50 %RH (and 23 °C) were carried out from a height of 1.5 m, while at 35 %RH
- 10 the packed trays were dropped from a height of 0.9 m. A total of 10 trays were dropped for each trial. The damaged trays were rated thereafter, according to the following scale and definitions, where each tray is fitted into the highest numbered (worst performing) category applicable.

- 15 In Table 14 below, crack denoted running from the edge or inside the tray; chip was a piece missing from the edge of the tray; the size was the maximum dimension of the missing portion, not including any associated crack; hole occurred in the middle of a tray; and separated piece was a large piece that was 75 % or more detached from the tray.

Table 14 Damaged tray rating scale

Category	Defects			Number
	Crack size (mm)	Chip size (mm)	Hole size (mm)	Total allowable defects
0	0	0	0	0
1	≤ 10	≤ 5	0	≤ 2
2	≤ 30	≤ 20	≤ 10	≤ 4
3	≤ 30	≤ 20	≤ 10	≤ 6
4	> 30	> 20	> 10	≤ 4
5	> 30	> 20	> 10	> 4, or ≥ 1 separated piece

Table 15 Drop test results of trays thermoformed from CLOISITE™ 20A sheets and R1 sheet

Example	1 st Drop 1.1 m @ machine direction	2 nd Drop 1.3 m @ machine direction	3 rd Drop 1.3 m @ Transverse direction
37	3 out of 10 trays failed (small piece fallen off)	3 more trays failed (2 piece fallen off edge & 1 minor bridge break)	2 more trays failed (1 bridge break and 1 piece fallen off edge)
38	All passed	All passed	1 tray failed (small piece fallen off)
39	All passed	All passed	All passed
40	1 out of 10 trays failed (small piece fallen off)	All passed	All passed
41	8 out of 20 trays failed (2x large pieces fallen off edge, 6x small pieces off edge)	5 more trays failed (4x small piece fallen off edge, 1x large piece fallen off edge)	2 more trays failed (1x small piece fallen off edge, 1x large piece fallen off edge)
42	All passed	All passed	All passed
43	All passed	All passed	All passed

As shown in Table 15, all trays made from CLOISITE™ 20A sheets had significantly better drop test performance than R1. For R1, 75 %-80 % trays failed the drop tests, whereas all 2 % CLOISITE™ 20A, 3 % CLOISITE™ 20A (concrete mix) and 5 % CLOISITE™ 20A trays passed the drop test.

Examples 44 to 47: Trial conducted on large production extruder (ENTEK™ 103)

Examples 44 to 47 demonstrate that trays including CLOISITE™ 20A are clearer and have significantly better drop test performance.

Master batch pellets were ground into powder. The following sheets were extruded:

Example 44 - R1

Example 45 - R1, 2 % CLOISITE™ 20A

Example 46 - R1, 2 % CLOISITE™ 20A

Example 47 - R1, 2 % CLOISITE™ 20A

The output rate for each example was 210 kg/h, and the extruder screw speed for each example was 225 rpm.

Table 16 Barrel temperatures set on ENTEK™ 103

Example No.	Barrel Temp (°C)
44	30, 70, 90, 125, 135, 140, 130, 100, 75, 75, 75, 80, 80
45	30, 70, 90, 125, 135, 140, 130, 100, 75, 75, 75, 80, 80
46	30, 70, 90, 125, 135, 140, 130, 100, 75, 75, 75, 80, 80
47	30, 70, 90, 125, 135, 140, 130, 100, 75, 75, 75, 80, 80

Table 17 Drop test results on ENTEK™ 103 composites (50 %RH and 35 %RH)

Example number	Roll number	Drop test at 1.2 m 50 %RH	Drop test at 0.9 m at 35 %RH
44	23390	1.5	4.9
45	23391	0	2.9
46	23392	0	4
47	23394	0	3

5

Table 18 Haze results on ENTEK™ 103 composites

Example number	Roll number	Haze test
44	23390	31.83
45	23391	23.67
46	23392	23.88
47	23394	23.29

The haze result in the control was higher than normal due to the presence of Maltese Crosses in the sheet.

10

Examples 48 to 52: Trial conducted on pilot scale extruder (ENTEK™ 27)

Examples 48 to 52 demonstrate that trays including CLOISITE™ 20A are clearer and have significantly better drop test performance.

Master batch pellets were ground into powder. The following sheets were extruded:

15

Example 48 - R1

Example 49 - R1, 2 % CLOISITE™ 20A added as pure CLOISITE™ 20A (not masterbatched)

Example 50 - R1, 2 % CLOISITE™ 20A added as 50 % CLOISITE™ 20A masterbatch

Example 51 - R1

Example 52 - R1, 2 % CLOISITE™ 20A added as 25 % CLOISITE™ 20A masterbatch

5

The output rate for each example was 16 kg/h, and the extruder screw speed for each example was 395 rpm.

Table 19 Barrel temperatures set on ENTEK™ 27

Example No.	Barrel Temp (°C)
48	40, 70, 80, 90, 95, 110, 120, 120, 120, 120, 80, 70
49	40, 70, 80, 90, 95, 110, 120, 120, 120, 120, 80, 70
50	40, 70, 80, 90, 95, 110, 120, 120, 120, 120, 80, 70
51	40, 70, 80, 90, 95, 110, 120, 120, 120, 120, 80, 70
52	40, 70, 80, 90, 95, 110, 120, 120, 120, 120, 80, 70

10

Table 20 Drop test results on ENTEK™ 27 composites

Example number	Sample description	Drop test at 0.9 m 35 % RH
48	070824-R1	3.8
49	070824-2 % 20A-pure	2
50	070824-2 % 20A-50 % master	2.1
51	070828-R1-control	3.5
52	070828-2 % 20A-25 % masterbatch	2

Table 21 Haze results on ENTEK™ 27 composites

Example number	Roll number	Haze test
48	070824-R1	13.26
49	070824-2 % 20A-pure	8.63
50	070824-2 % 20A-50 % master	8.75
51	070828-R1-control	11.15
52	070828-2 % 20A-25 % masterbatch	6.87

Examples 53 to 55: TEM Analysis

TEM analysis was used in Examples 53 to 55 to demonstrate the substantial exfoliation achieved when hydrophobic CLOISITE™ 20A or CLOISITE™ 25A is used, and the degree of exfoliation is compared with hydrophilic CLOISITE™ Na. In each case, a concentration of 2 % CLOISITE™ was employed, and the specimens were prepared in accordance with the procedure outlined in Examples 44 to 47.

Figures 7 and 10 relate to CLOISITE™ 20A, Figures 8 and 11 relate to CLOISITE™ 25A, and Figures 9 and 12 relate to CLOISITE™ Na. In Figures 7, 8 and 9, the magnification is 50 K and the projected area is 2.58 μm^2 . In Figures 10, 11 and 12, the magnification is 115 K and the projected area is 0.48 μm^2 .

The TEM images in Figures 7, 8 and 9 were analysed as shown in Table 22.

Table 22 Analysis of TEM images in Figures 7, 8 and 9

	Distribution of platelets within specimen: % (actual number of layers)				
	Singular (1)	Double- Triple (2-3)	Multiple (4-9) Smaller tactoids	Micro-layer (10+): Larger tactoids	Overall number of species
Figure 7	52 (100)	26 (51)	22 (42)	0 (0)	193
Figure 8	62 (70)	19 (22)	19 (22)	0 (0)	114
Figure 9	46 (41)	28 (25)	23 (21)	3 (3)	90

Examples 56 to 60: 2 % CLOISITE™ 20A direct nanocomposite sheets by WAXS

Examples 56 to 61 the WAXS analysis of powdered 2 % CLOISITE™ 20A nanocomposite sheets made that were prepared for a 50 % masterbatch or by direct addition of clay.

Figure 13 shows WAXS pattern of powdered 2 % CLOISITE™ 20A nanocomposite sheet prepared from a 50 % masterbatch, powdered 2 % CLOISITE™ 20A nanocomposite sheet that was prepared by direct addition and CLOISITE™ 20A. An adapted scale is drawn by 2000 units to each curve. Table 16 shows the relevant d-spacing values. All sheets made from direct addition of 2 % CLOISITE™ 20A showed expansion of clay platelets due to intercalation of starch between clay platelets in the residual tactoids. The sheet made from 50 % CLOISITE™ 20A masterbatch pellets showed greatest expansion of the clay

platelets (d-spacing increased from 23.2 Å to 40.5 Å). The 2% 20A CLOISITE™ sheets made by direct addition show a smaller expansion. A possible explanation for the greater expansion observed for the 2 % CLOISITE™ 20A from 50 % masterbatch nanocomposite may be that this materials has been extruded twice.

- 5 One in forming the masterbatch and again in forming the nanocomposite sheet. The higher relative crystallinity values (from starch area) were not observed from nanocomposite sheets. However, all nanocomposite sheets show creation of V-type and E-type crystal structures.

Table 23: WAXS data of extruded 2 % CLOISITE™ 20A nanocomposite sheets and CLOISITE™ 20A.

Example	Composite	d (nm) (2 θ) of unexfoliated clay
56	CLOISITE™ 20 (A)	2.35 (3.8°), 1.21 (7.3°)
2 % CLOISITE™ 20A nanocomposites (Figure 13)		
57	2 % 20A_from 50 % 20A masterbatch (B)	4.05 (2.2°) [5.08, 3.54], 1.96 (4.5°)
58	<u>2 % 20A direct (C)</u>	3.74 (2.4°) [5.14, 3.44], 1.96 (4.5°)
59	2 % 20A_direct (D)	3.42 (2.6°), 1.97 (4.5°)
60	2 % 20A_direct (E)	3.32 (2.7°), 19.2 (4.6°)

Example 61: 10 % CLOISITE™ 25A in R1

- 15 A procedure for the preparation of nanocomposite sheets based on a 10 % CLOISITE™ 25A masterbatch in R1 is exemplified below:

Step 1. A small known amount (approximately 6 g) of CLOISITE 25A masterbatch, to make for a final diluted composition of 1.5 %·w/w of CLOISITE 25A, was taken and its moisture content measured at 160 °C for 10 min.

- 20 Step 2. Depending upon the moisture content of the CLOISITE 25A masterbatch, known weight of water was added to hydrate a known weight of the masterbatch to a value of approximately 20 %·w.w and soaked in a tumbler mixer for 60 min.

Step 3. To the tumbler mixer, known weights of A939 (powder, approximate moisture: 10.5 %), PVOH and stearic acid were added to make a final batch weight of 15 kg and mixed for 30 min.

Step 4. The temperature profile used for processing CLOISITE 25A
5 nanocomposites material used was similar to that for CLOISITE 20A.

Step 5. Sheet materials were produced with a thickness of approximately 220-250 μm . These sheets were used to make thermoformed trays (approximately 130 $^{\circ}\text{C}$).

Conclusion

10 Nanocomposite materials prepared in accordance with the examples exhibited a high degree of exfoliation of the clay, had improved mechanical and rheological properties and reduced sensitivity to moisture. They had improved clarity, remaining transparent for over two months.

15 CLOISITE™ 20A nanocomposites provide better overall properties compared with CLOISITE™ Na in terms of transparency, with up to 7 % improvement. Mechanical properties in machine and transverse directions have better tolerance due to better dispersion and exfoliation of the clay, which indicated better isotropic morphology that will be of benefit in thermoforming processes.

CLAIMS:

1. A substantially exfoliated nanocomposite material including starch and hydrophobically modified layered silicate clay.
2. The substantially exfoliated nanocomposite material of claim 1 wherein the
5 total amount of clay present is between 0.1 % and 5 % w/w.
3. The substantially exfoliated nanocomposite material of claim 1 or 2 wherein the total amount of clay present is between 0.1 % and 3 % w/w.
4. The substantially exfoliated nanocomposite material of any one of claims 1 to 3 wherein the total amount of clay present is between 0.5 % and 2 % w/w.
- 10 5. The substantially exfoliated nanocomposite material of any one of claims 1 to 4, including more than one hydrophobically modified layered silicate clay.
6. The substantially exfoliated nanocomposite material of any one of claims 1 to 5 further including one or more plasticisers.
7. The substantially exfoliated nanocomposite material of any one of claims 1
15 to 6 further including one or more water-soluble polymers.
8. The substantially exfoliated nanocomposite material of any one of claims 1 to 7 further including one or more processing aids.
9. Packaging made from material including the substantially exfoliated nanocomposite material of claim 1.
- 20 10. A process for preparing the substantially exfoliated nanocomposite material of any one of claims 1 to 9, including a step of mixing the starch in the form of an aqueous gel with the hydrophobic clay in the form of a powder.
11. The process of claim 10 wherein the mixing occurs in a melt mixing device.

12. A process for preparing the substantially exfoliated nanocomposite material of any one of claims 1 to 9, including a step of mixing the starch with the hydrophobic clay to form a masterbatch and a subsequent step of mixing the masterbatch with further starch.
- 5 13. The process of claim 12 including a step of rehydrating the masterbatch before the subsequent step of mixing the masterbatch with further starch.
14. The process of claim 12 or 13 including a step of grinding and/or milling the masterbatch to a powder before the subsequent step of mixing the masterbatch with further starch.
- 10 15. The process of any one of claims 12 to 14 wherein the clay is present in the masterbatch in an amount of between 5 % and 70 % w/w.

Schematic Representation of a Suitable Extruder

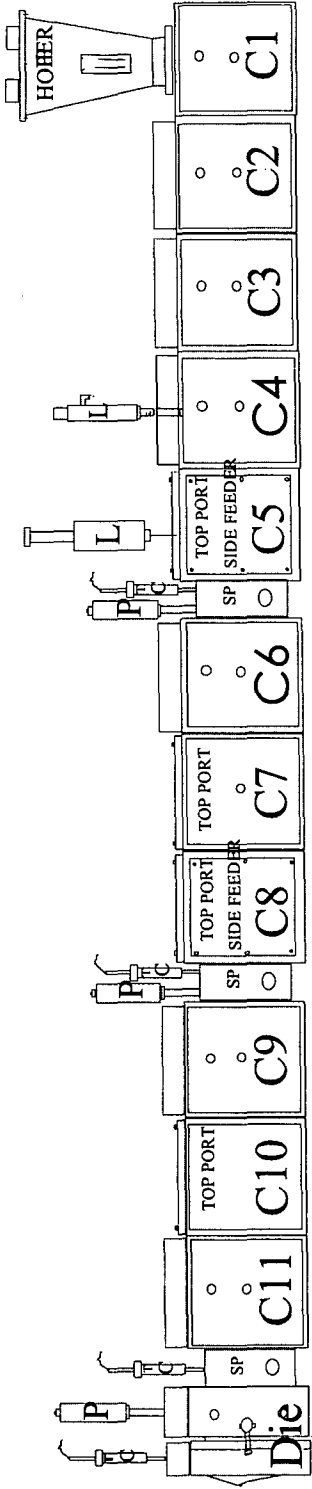


Figure 1

WAXS of CLOISITE™ 20A

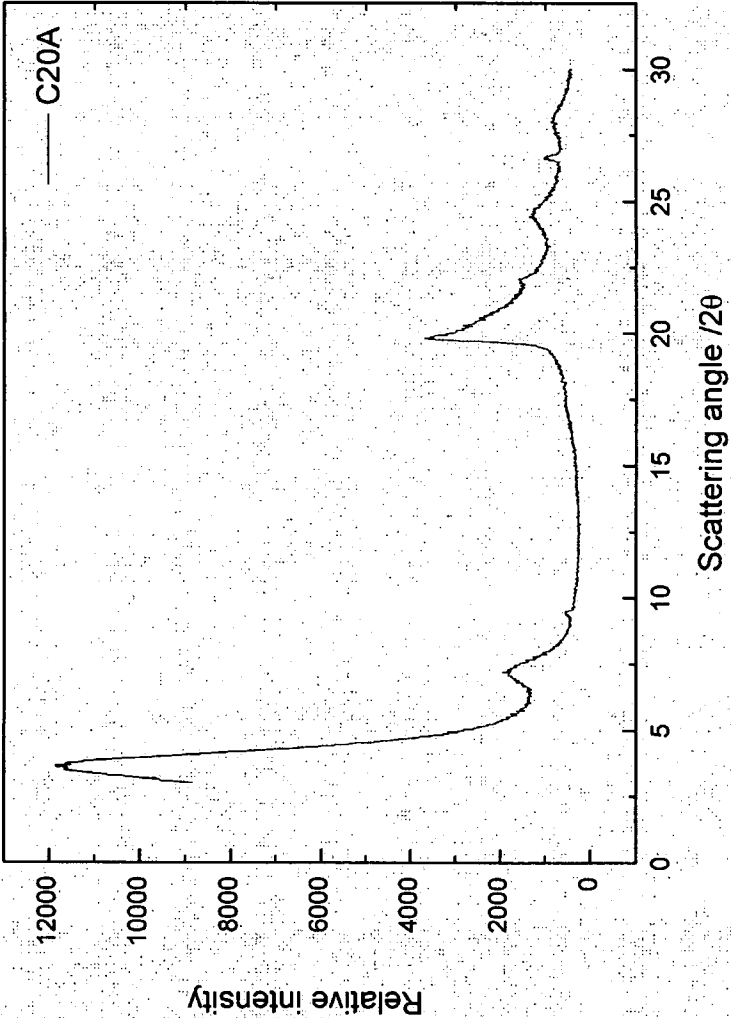
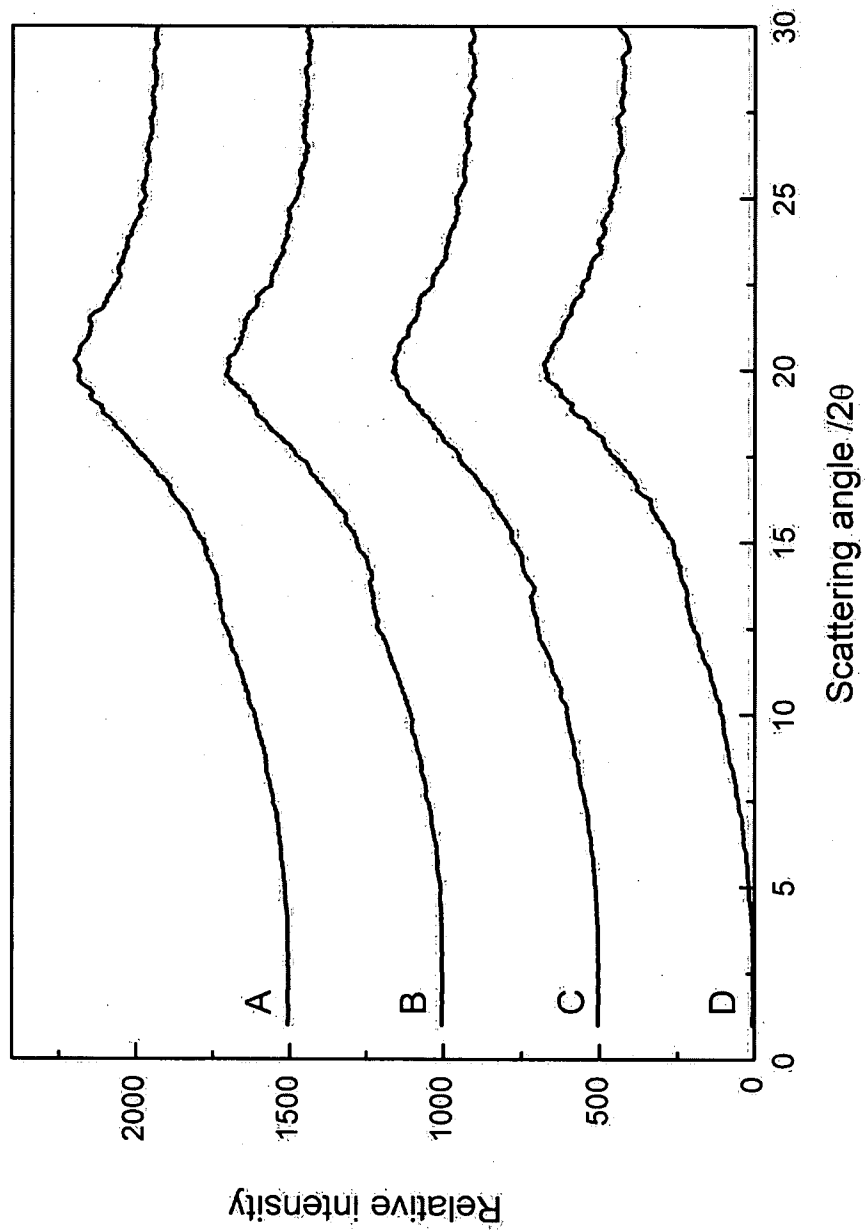


Figure 2

WAXS of R1s, 1% and 2% CLOISITE™ 20A Nanocomposites

**Figure 3**

WAXS of R1s (A and B), C = 1% and D = 2% CLOISITE™ 20A nanocomposites, curves offset by 500 units.

WAXS of 3% Concrete, 3% Feeder and 5% CLOISITE™ 20A Nanocomposites

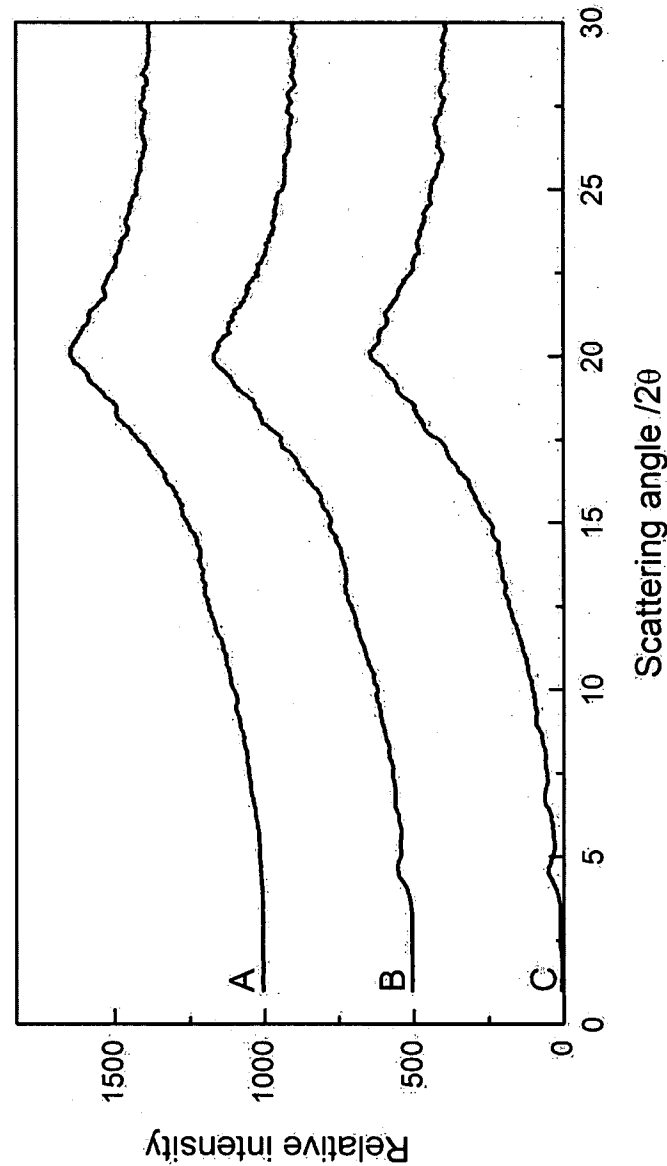


Figure 4

WAXS of A = 3% concrete, B = 3% feeder and C = 5% CLOISITE™ 20A nanocomposites, curves offset by 500 units.

Thermogram of Mass Loss and Derivative of CLOISITE™ 20A.

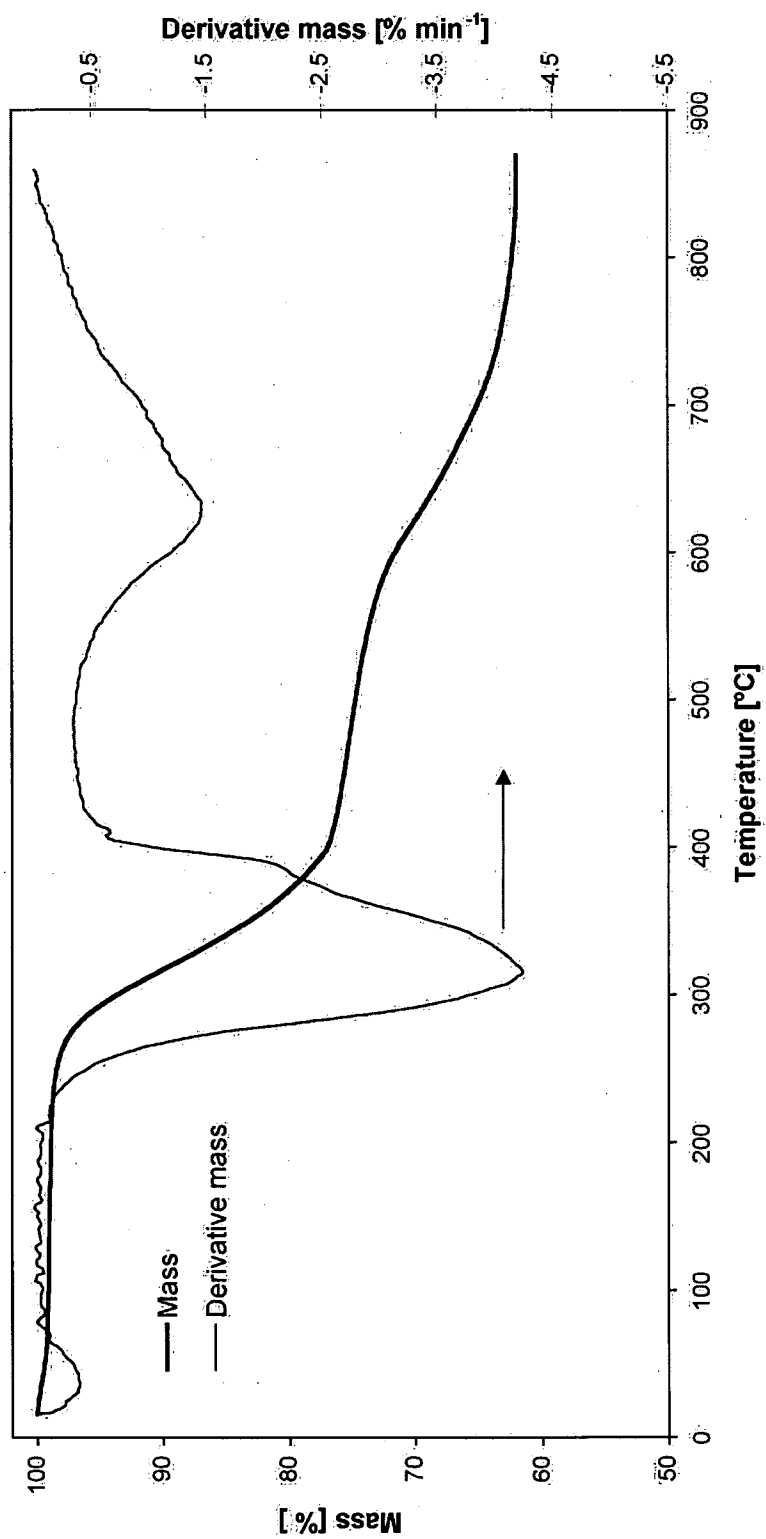


Figure 5

Derivative Mass Curves for R1 and CLOISITE™ 20A Nanocomposites

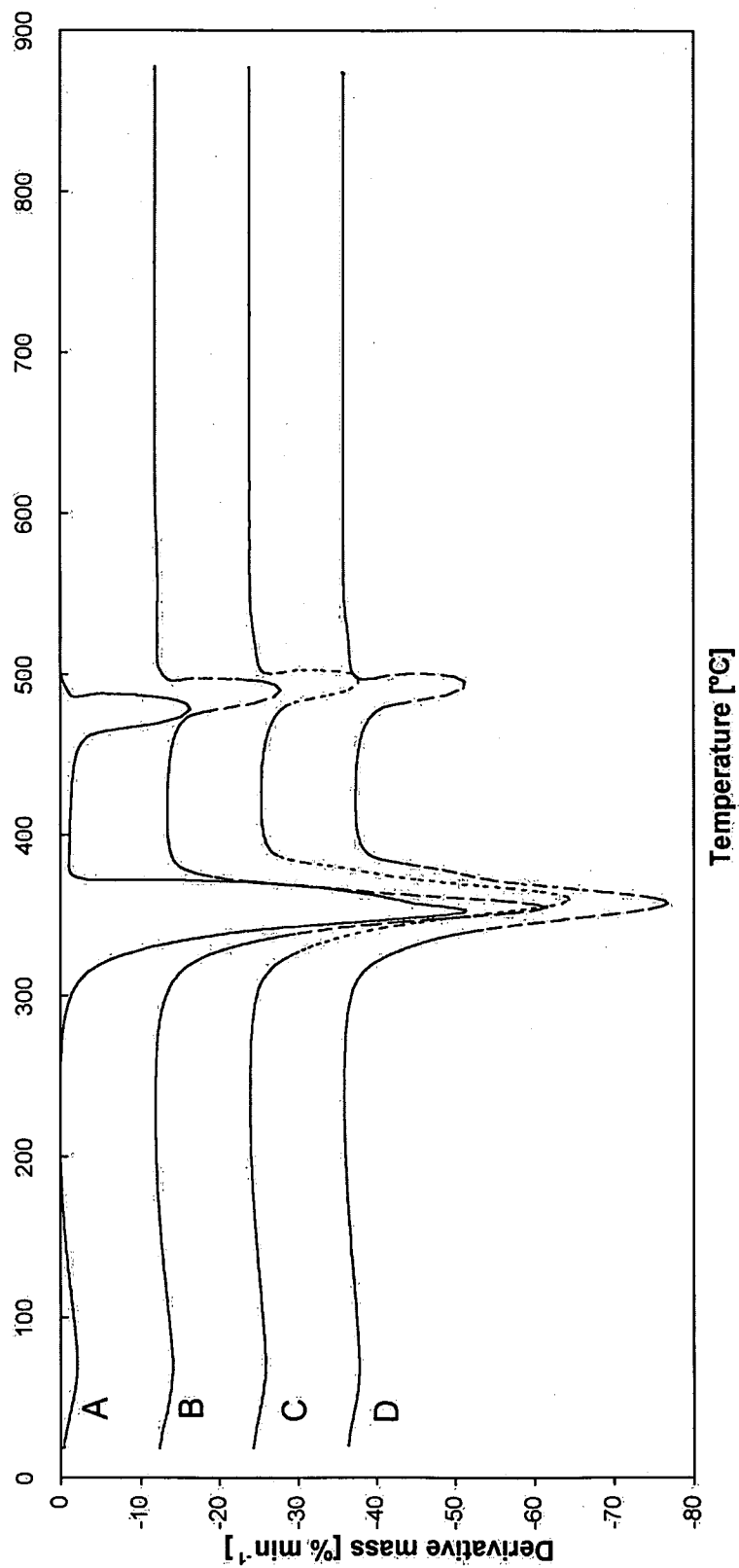


Figure 6A

Derivative mass curves for R1 and CLOISITE™ 20A nanocomposites, R1s (A and B), C = 1% and D = 2% CLOISITE™ 20A nanocomposites, curves offset by 12 units.

Derivative Mass Curves for R1 and CLOISITE™ 20A Nanocomposites

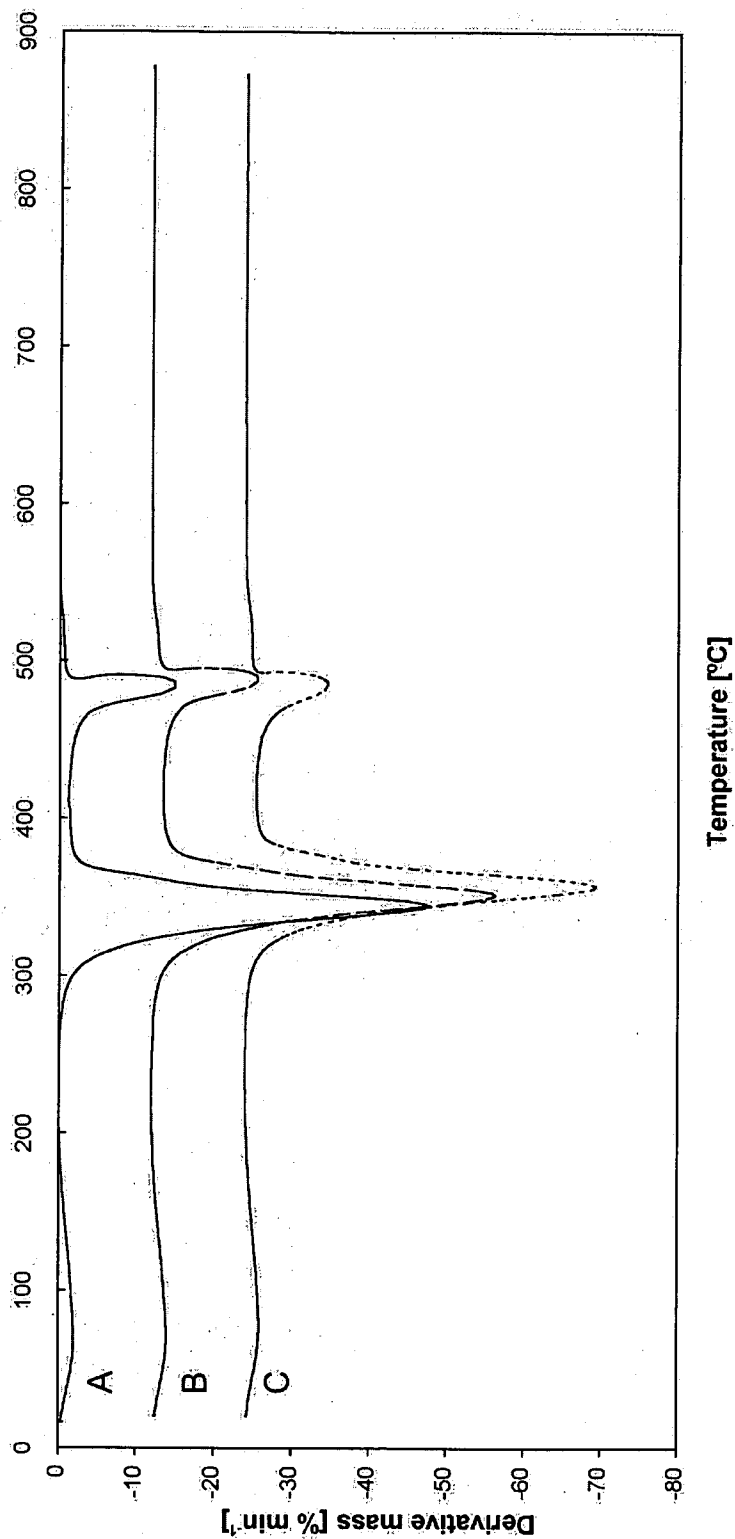


Figure 6B

Derivative mass curves for R1 and CLOISITE™ 20A nanocomposites, A = 3% concrete, B = 3% feeder and C = 5% CLOISITE™ 20A nanocomposites, curves offset by 12 units.

TEM Micrograph 2% CLOISITE™ 20A/R1 50K Magnification



Figure 7

TEM Micrograph of 2% CLOISITE™ 25A/R1 50K Magnification

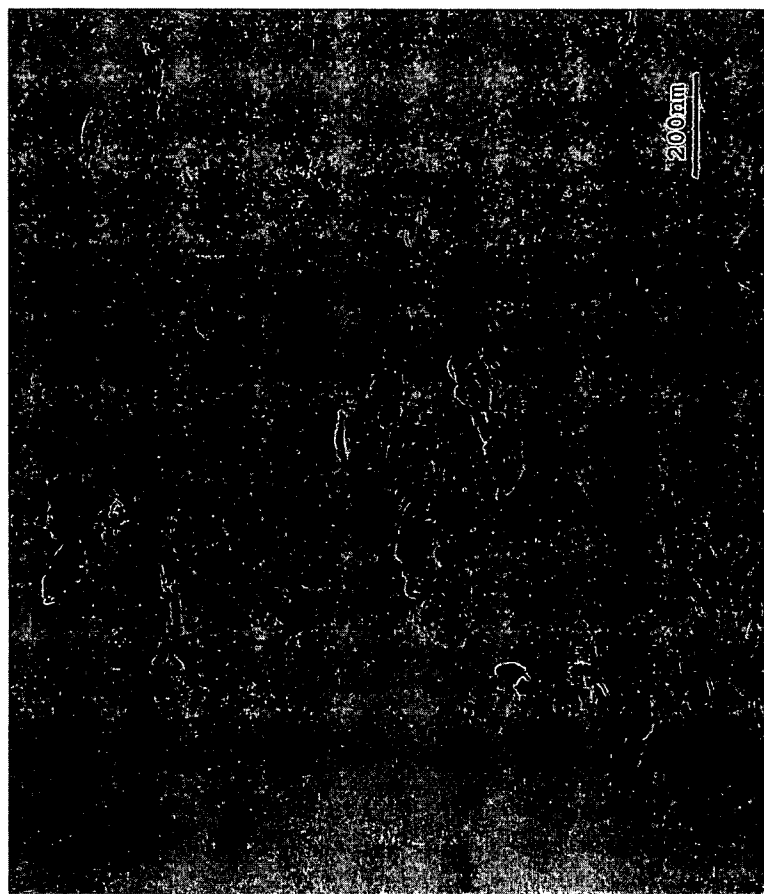


Figure 8

TEM Micrograph of 2% CLOISITE™ Na/R1 50K Magnification

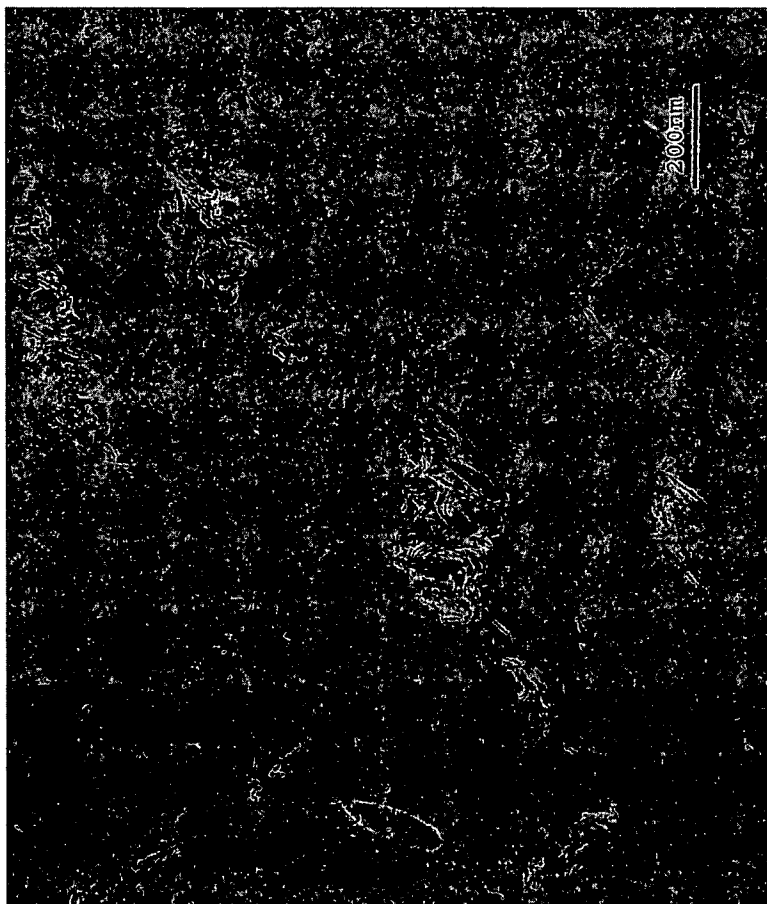


Figure 9

TEM Micrograph of 2% CLOISITE™ 20A/R1 115K Magnification

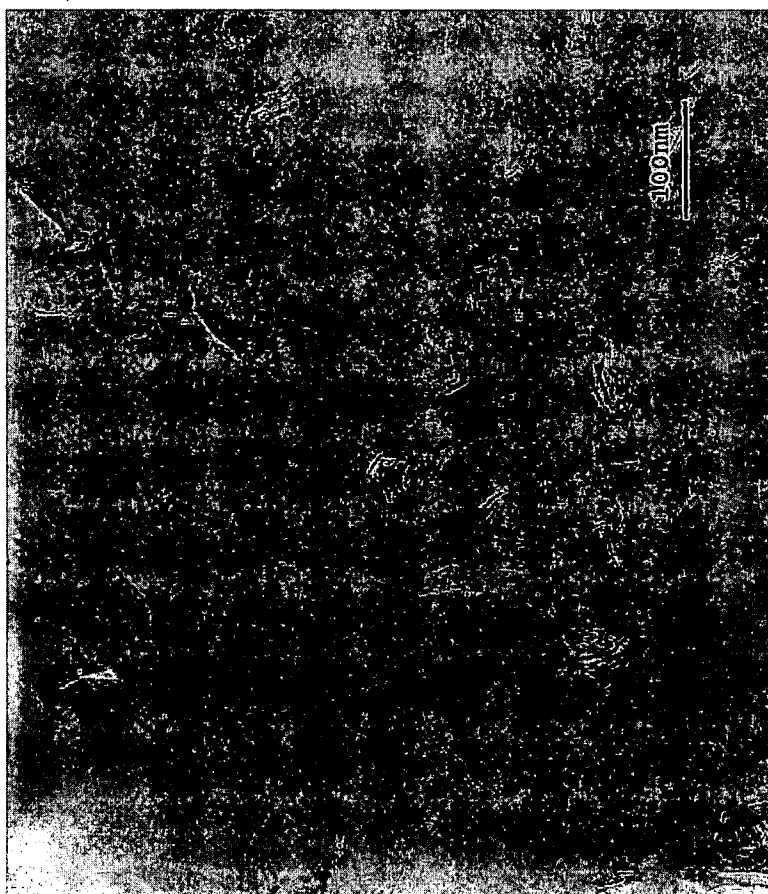


Figure 10

TEM Micrograph of 2% CLOISITE™ 25A/R1 115K Magnification

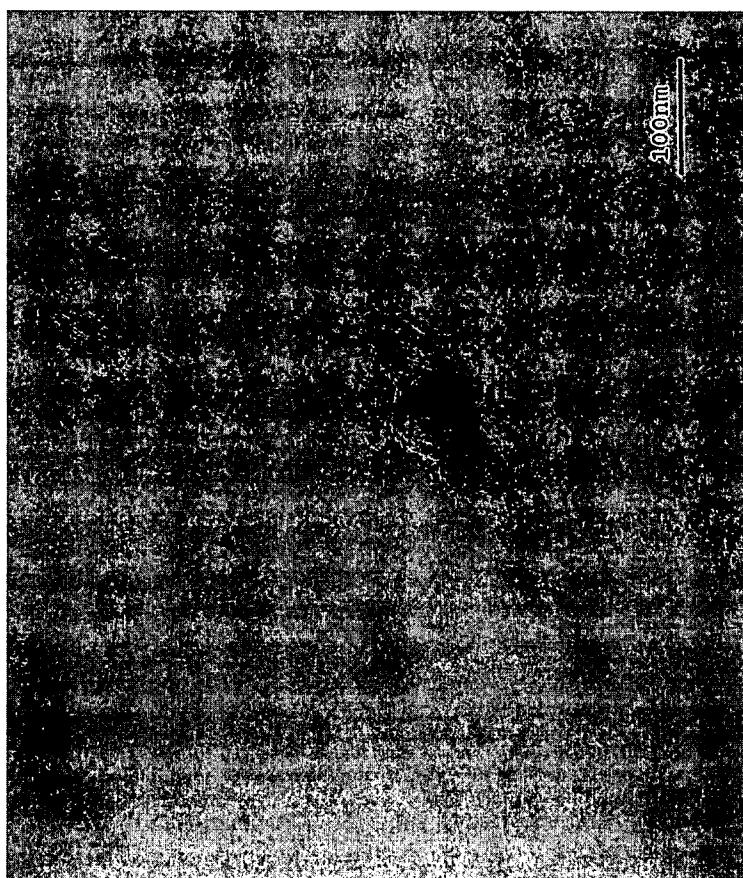


Figure 11

TEM Micrograph of 2% CLOISITE™ Na/R1 115K Magnification

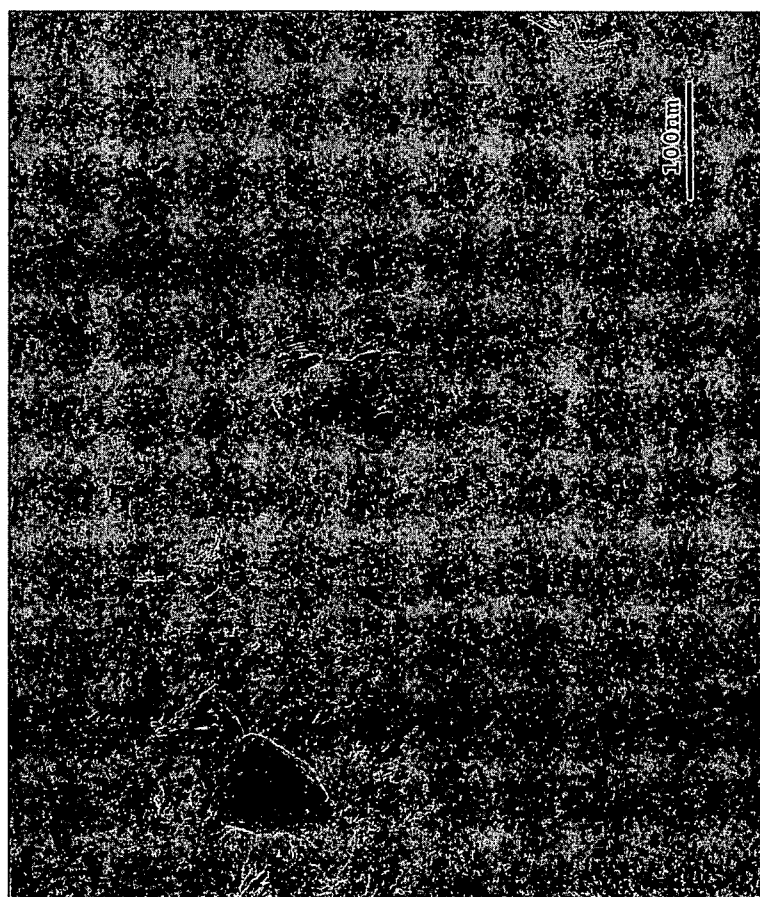
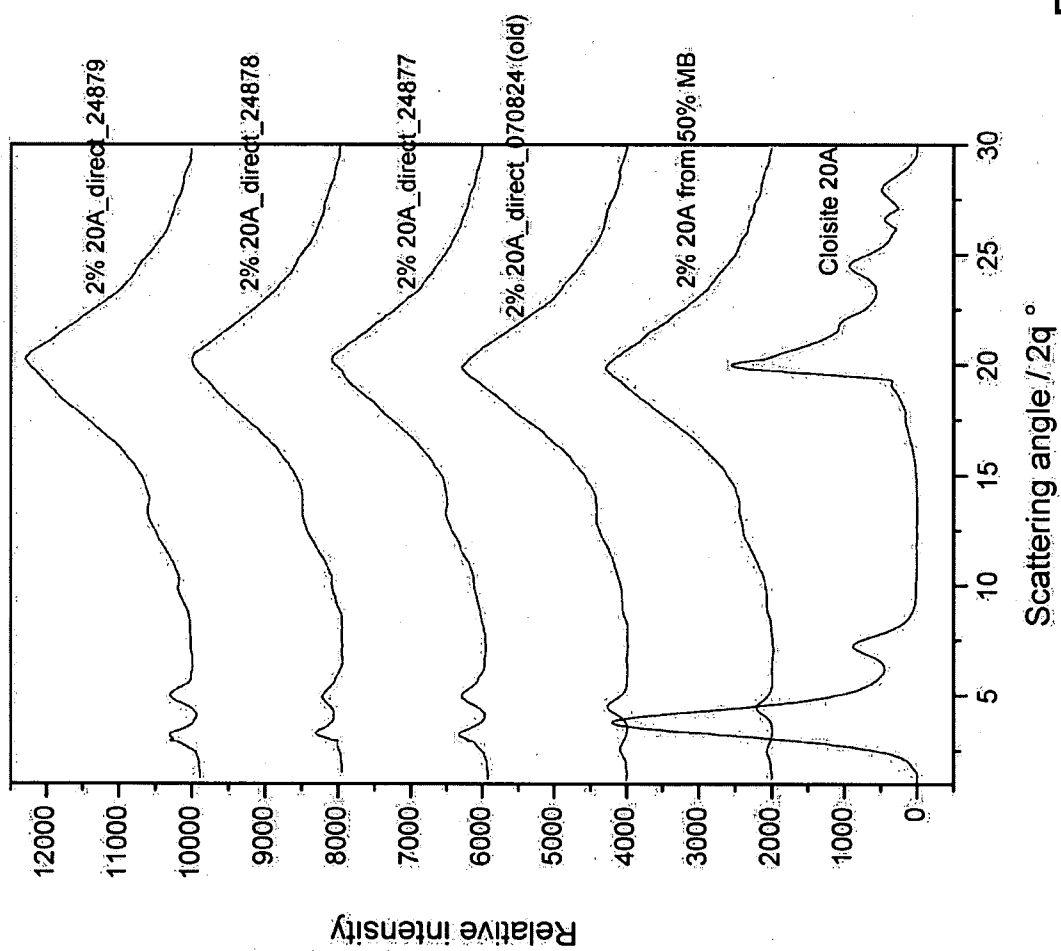


Figure 12

WAXRS of CLOISITE™ 20A and 2% 20A Nanocomposites**Figure 13**

INTERNATIONAL SEARCH REPORT

International application No.

PCT/AU2008/000787

A. CLASSIFICATION OF SUBJECT MATTER

Int. Cl. **C08K 3/34** (2006.01) **B65D 65/38** (2006.01) **B65D 65/00** (2006.01) **C08L 3/00** (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

DWPI, USPTO, ESPACE, JAPIO, CAPLUS (starch, clay, packaging)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Rose, E., "Clay Nanocomposites in Biodegradable Starch Plastics", (retrieved on 14 January 2008). Retrieved from the internet < URL: www.chegue.uq.edu.au/ugrad/theses/2001/Pdf/E.Rose.pdf See entire thesis.	1-15
Y	EP 1134258 A1 (NEDERLANDSE ORGANISATIE VOOR TOEGEPASTNATUURWETENSCHAPPELIJK ONDERZOEK TNO) 19 September 2001 See entire document.	1-15
Y	US 7,211,613 B2 (LORAH et al.) 1 May 2007 See entire document.	1-15
A	US 7,094,817 B2 (HALLEY et al.) 22 August 2006 See column 3 lines 29-48 and examples 3-4. (& WO 2002/083784 A1)	1



Further documents are listed in the continuation of Box C



See patent family annex

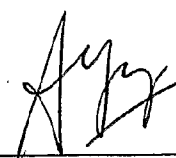
* "A"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E"	earlier application or patent but published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means	"&"	document member of the same patent family
"P"	document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search
27 June 2008

Date of mailing of the international search report
8 - JUL 2008

Name and mailing address of the ISA/AU
AUSTRALIAN PATENT OFFICE
PO BOX 200, WODEN ACT 2606, AUSTRALIA
E-mail address: pct@ipaaustralia.gov.au
Facsimile No. +61 2 6283 7999

Authorized officer
ALBERT S. J. YONG
AUSTRALIAN PATENT OFFICE
(ISO 9001 Quality Certified Service)
Telephone No : (02) 6283 2160



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/AU2008/000787

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member					
EP	1134258	AU	42867/01	EP	1263871	US	6811599		
		US	2003180524	WO	0168762				
US	7211613	AU	89118/01	AU	89119/01	AU	91024/01		
		AU	91025/01	AU	91026/01	BR	0113995		
		BR	0113997	BR	0113998	BR	0113999		
		BR	0114000	BR	0114001	CN	1461314		
		CN	1462280	CN	1462281	CN	1462282		
		CN	1462283	CN	1622959	CN	1664001		
		EP	1322675	EP	1325037	EP	1325038		
		EP	1328553	EP	1328554	EP	1328555		
		JP	2006257443	US	6646086	US	6759463		
		US	6765049	US	6818684	US	6838507		
		US	6914095	US	7259203	US	7291665		
		US	2002055580	US	2002055581	US	2002055599		
		US	2002058739	US	2002058740	US	2002086908		
		US	2004054068	US	2004220317	US	2005059769		
		WO	0224756	WO	0224757	WO	0224758		
		WO	0224759	WO	0224760	WO	02055563		
		US	7094817	EP	1392770	US	2004122135	WO	02083784
		Due to data integration issues this family listing may not include 10 digit Australian applications filed since May 2001.							
END OF ANNEX									