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**Errico et al.**

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(54) **WEDGE PLATE INSERTER/IMPACTOR AND RELATED METHODS FOR USE IN IMPLANTING AN ARTIFICIAL INTERVERTEBRAL DISC**

of application No. 09/906,119, filed on Jul. 16, 2001, and a continuation-in-part of application No. 09/982,148, filed on Oct. 18, 2001.

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(51) **Int. Cl.**  
*A61B 17/56* (2006.01)  
(52) **U.S. Cl.** ..... 606/99; 606/61; 623/17.15  
(58) **Field of Classification Search** ..... 606/99, 606/61, 1, 204, 217, 206, 79-81, 91, 100; 623/17.15

(73) Assignee: **SpineCore, Inc.**, Summit, NJ (US)

See application file for complete search history.

(\* ) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 34 days.

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This patent is subject to a terminal disclaimer.

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(22) Filed: **Apr. 29, 2003**

(Continued)

(65) **Prior Publication Data**

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**Related U.S. Application Data**

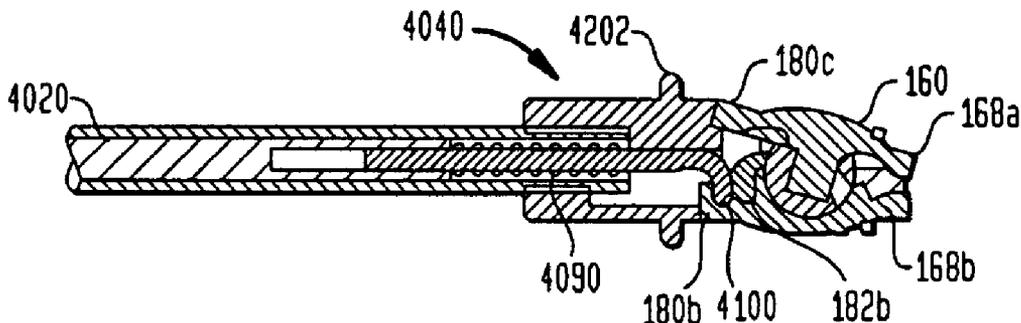
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(63) Continuation-in-part of application No. 10/282,356, filed on Oct. 29, 2002, now Pat. No. 7,169,182, which is a continuation-in-part of application No. 10/256,160, filed on Sep. 26, 2002, now Pat. No. 6,989,032, which is a continuation-in-part of application No. 10/175,417, filed on Jun. 19, 2002, which is a continuation-in-part of application No. 10/151,280, filed on May 20, 2002, which is a continuation-in-part of application No. 09/970,479, filed on Oct. 4, 2001, now Pat. No. 6,669,730, and a continuation-in-part of application No. 10/140,153, filed on May 7, 2002, said application No. 09/970,479 is a continuation-in-part of application No. 09/968,046, filed on Oct. 1, 2001, said application No. 10/140,153 is a continuation-in-part of application No. 09/970,479, and a continuation-in-part of application No. 10/128,619, filed on Apr. 23, 2002, which is a continuation-in-part

(57) **ABSTRACT**

A wedge plate inserter/impactor instrument is provided primarily for holding, inserting, repositioning, removing, impacting, extracting, and otherwise manipulating an artificial intervertebral disc having features suitable for being manipulated thereby. A spring-loaded holding pin of the instrument can be used to engage a corresponding hole in the disc and confront the angled surfaces of the disc to enable the holding and manipulation of the disc. A forward wedge extension of the inserter/impactor has upper and lower surfaces that engage inwardly facing surfaces of the disc to hold the baseplates of the disc at a preferred lordosis angle with respect to one another. The instrument can engage the disc from a variety of surgical approach angles.

**8 Claims, 5 Drawing Sheets**



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FIG. 1A

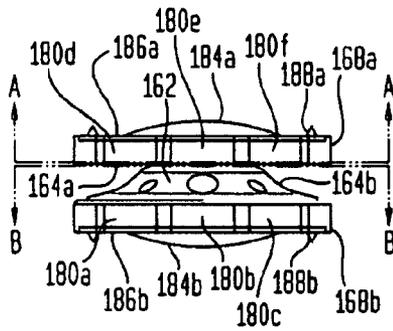


FIG. 1B

C-C (FROM FIG. 1C)

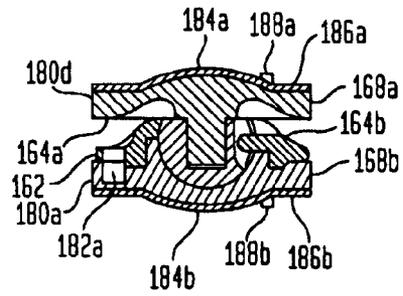


FIG. 1C

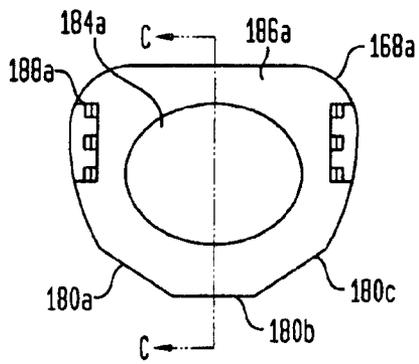
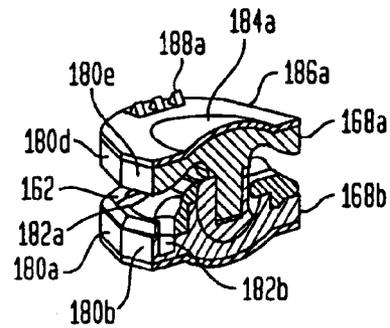
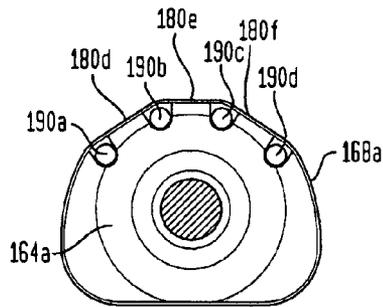


FIG. 1D



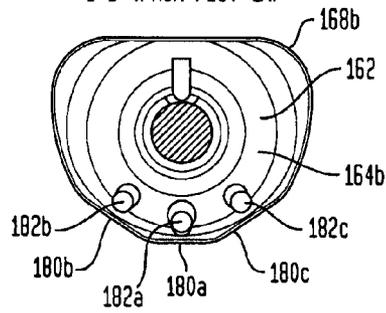
**FIG. 1E**

A-A (FROM FIG. 1A)

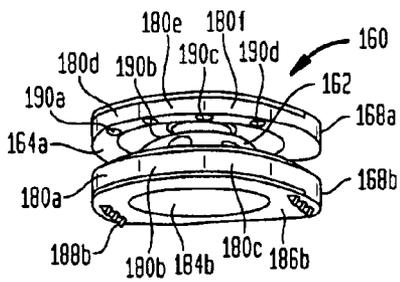


**FIG. 1F**

B-B (FROM FIG. 1A)



**FIG. 1G**



**FIG. 1H**

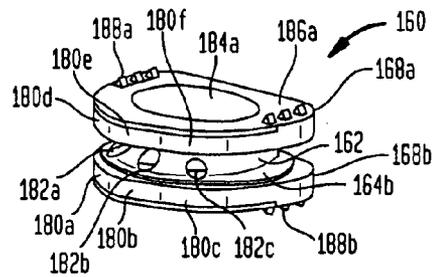


FIG. 2A

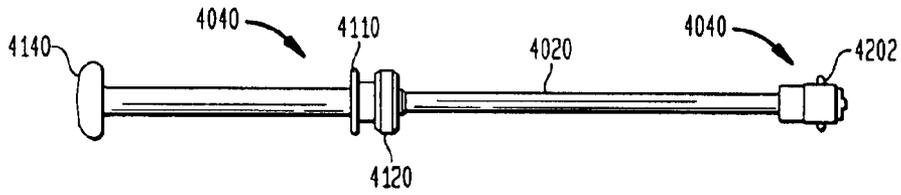


FIG. 2B

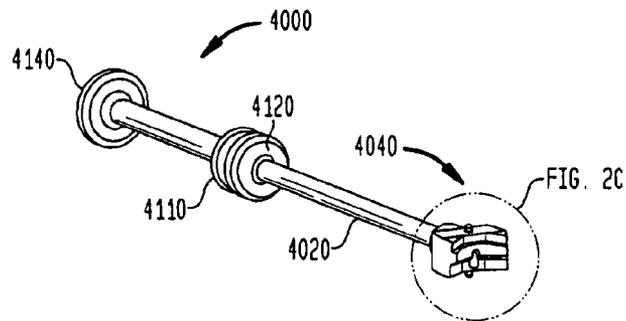


FIG. 2C

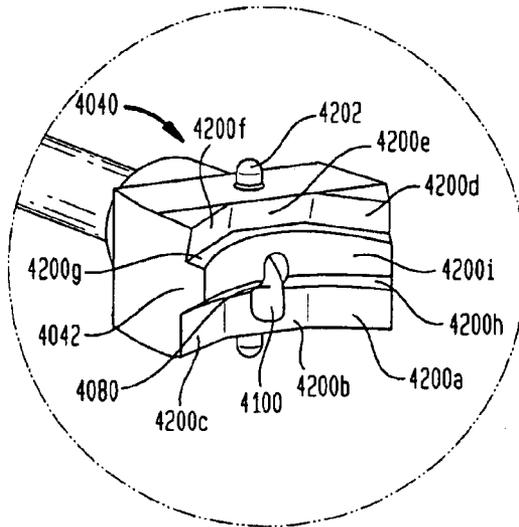


FIG. 3A

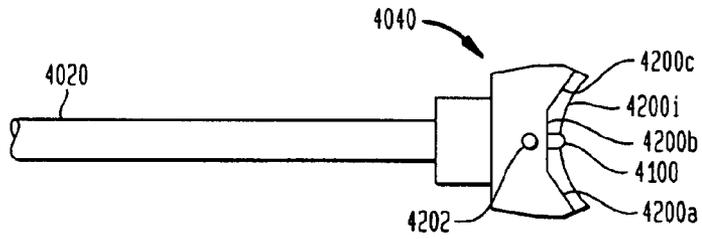


FIG. 3B

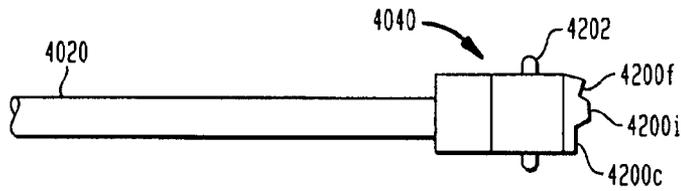


FIG. 3C

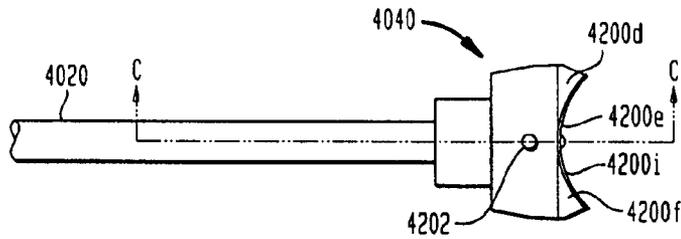


FIG. 3D

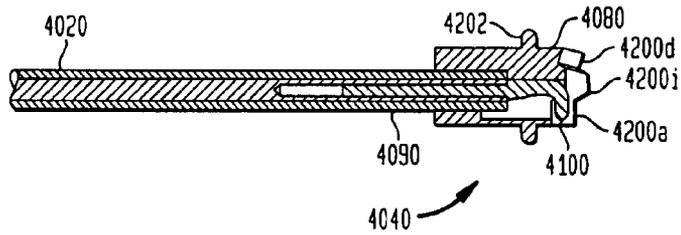


FIG. 4A

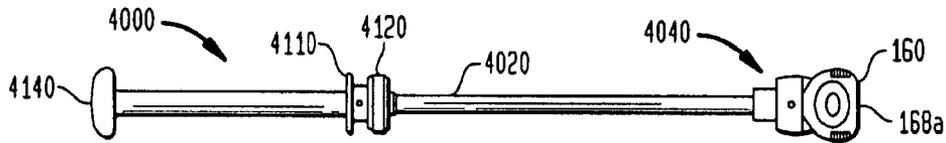


FIG. 4B

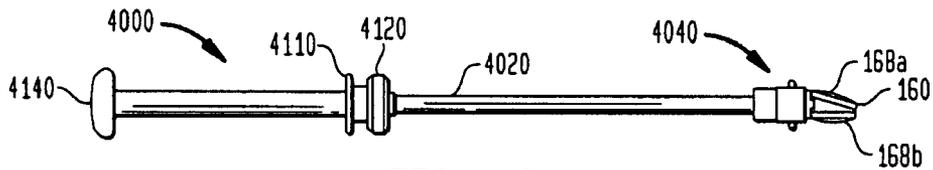


FIG. 4C

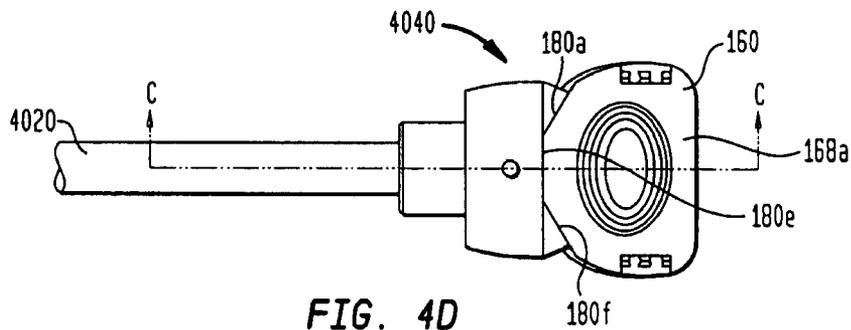


FIG. 4D

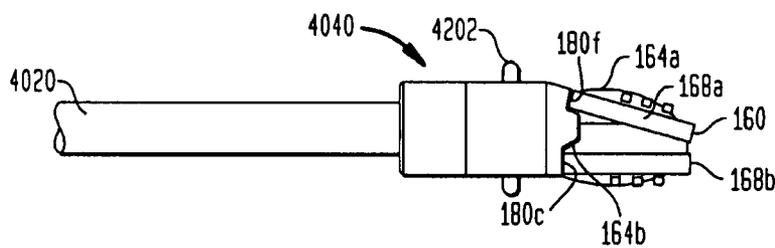
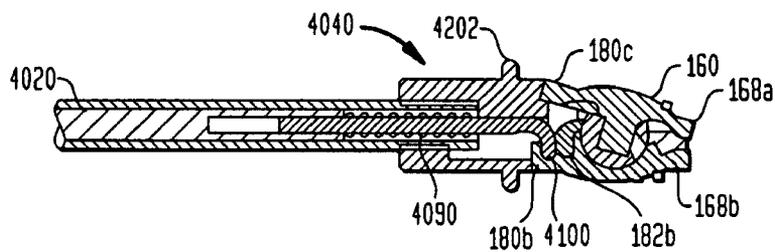


FIG. 4E



**WEDGE PLATE INSERTER/IMPACTOR AND  
RELATED METHODS FOR USE IN  
IMPLANTING AN ARTIFICIAL  
INTERVERTEBRAL DISC**

CROSS-REFERENCE TO RELATED  
APPLICATIONS

The present application is a continuation-in-part applica-  
tion of U.S. patent application Ser. No. 10/282,356 (filed 10  
Oct. 29, 2002 now U.S. Pat. No. 7,169,182) entitled "Instru-  
mentation and Methods For Use In Implanting an Artificial  
Intervertebral Disc", which is a continuation-in-part appli-  
cation of U.S. patent application Ser. No. 10/256,160 (filed  
Sep. 26, 2002 now U.S. Pat. No. 6,989,032) entitled "Arti- 15  
ficial Intervertebral Disc Having Limited Rotation Using a  
Captured Ball and Socket Joint With a Solid Ball and  
Compression Locking Post", which is a continuation-in-part  
application of U.S. patent application Ser. No. 10/175,417  
(filed Jun. 19, 2002) entitled "Artificial Intervertebral Disc 20  
Utilizing a Ball Joint Coupling", which is a continuation-  
in-part application of U.S. patent application Ser. No.  
10/151,280 (filed May 20, 2002) entitled "Tension Bearing  
Artificial Disc Providing a Centroid of Motion Centrally  
Located Within an Intervertebral Space", which is a con- 25  
tinuation-in-part application of both U.S. patent application  
Ser. No. 09/970,479 (filed Oct. 4, 2001 now U.S. Pat. No.  
6,669,730) entitled "Intervertebral Spacer Device Utilizing a  
Spirally Slotted Belleville Washer Having Radially Extend-  
ing Grooves" as well as U.S. patent application Ser. No. 30  
10/140,153 (filed May 7, 2002) entitled "Artificial Interver-  
tebral Disc Having a Flexible Wire Mesh Vertebral Body  
Contact Element", the former being a continuation-in-part  
application of U.S. patent application Ser. No. 09/968,046  
(filed Oct. 1, 2001) entitled "Intervertebral Spacer Device 35  
Utilizing a Belleville Washer Having Radially Extending  
Grooves" and the latter being a continuation-in-part appli-  
cation of both U.S. patent application Ser. No. 09/970,479  
(detailed above) as well as U.S. patent application Ser. No.  
10/128,619 (filed Apr. 23, 2002) entitled "Intervertebral 40  
Spacer Having a Flexible Wire Mesh Vertebral Body Con-  
tact Element", which is a continuation-in-part application of  
both U.S. patent application Ser. No. 09/906,119 (filed Jul.  
16, 2001) and entitled "Trial Intervertebral Distraction Spa-  
cers" as well as U.S. patent application Ser. No. 09/982,148 45  
(filed Oct. 18, 2001) and entitled "Intervertebral Spacer  
Device Having Arch Shaped Spring Elements". All of the  
above mentioned applications are hereby incorporated by  
reference herein in their respective entireties.

FIELD OF THE INVENTION

This invention relates generally to systems and methods  
for use in spine arthroplasty, and more specifically to instru- 55  
ments for inserting and impacting artificial intervertebral  
discs, and methods of use thereof.

BACKGROUND OF THE INVENTION

The bones and connective tissue of an adult human spinal  
column consists of more than twenty discrete bones coupled  
sequentially to one another by a tri-joint complex that  
consists of an anterior disc and the two posterior facet joints,  
the anterior discs of adjacent bones being cushioned by  
cartilage spacers referred to as intervertebral discs. These 65  
more than twenty bones are anatomically categorized as  
being members of one of four classifications: cervical,

thoracic, lumbar, or sacral. The cervical portion of the spine,  
which comprises the top of the spine, up to the base of the  
skull, includes the first seven vertebrae. The intermediate  
twelve bones are the thoracic vertebrae, and connect to the  
5 lower spine comprising the five lumbar vertebrae. The base  
of the spine is the sacral bones (including the coccyx). The  
component bones of the cervical spine are generally smaller  
than those of the thoracic spine, which are in turn smaller  
than those of the lumbar region. The sacral region connects  
laterally to the pelvis. While the sacral region is an integral  
part of the spine, for the purposes of fusion surgeries and for  
this disclosure, the word spine shall refer only to the  
cervical, thoracic, and lumbar regions.

The spinal column is highly complex in that it includes  
these more than twenty bones coupled to one another,  
housing and protecting critical elements of the nervous  
system having innumerable peripheral nerves and circula-  
tory bodies in close proximity. In spite of these complica-  
tions, the spine is a highly flexible structure, capable of a  
high degree of curvature and twist in nearly every direction.

Genetic or developmental irregularities, trauma, chronic  
stress, tumors, and degenerative wear are a few of the causes  
that can result in spinal pathologies for which surgical  
intervention may be necessary. With respect to the failure of  
the intervertebral disc, and the insertion of implants and/or  
height restorative devices, several methods and devices have  
been disclosed in the prior art that achieve immobilization  
and/or fusion of adjacent bones by implanting artificial  
assemblies in or on the spinal column. More recently, the  
development of non-fusion implant devices, which purport  
to permit continued natural movement in the tri-joint com-  
plex, have provided great promise as a preferably alternative  
to fusion devices. The region of the back that needs to be  
corrected, as well as the individual variations in anatomy,  
determine the appropriate surgical protocol and implantation  
assembly. Generally, the preparation of the intervertebral  
space for the receipt of fusion or non-fusion devices  
involves removing the damaged disc material and thereafter  
distracting the adjacent vertebral bones to their appropriate  
distance apart. Once the proper height of the intervertebral  
space is restored, the fusion or non-fusion device can be  
implanted.

It is an object of the invention to provide instrumentation  
and methods that enable surgeons to more accurately, easily,  
and efficiently implant fusion or non-fusion devices. Other  
objects of the invention not explicitly stated will be set forth  
and will be more clearly understood in conjunction with the  
descriptions of the preferred embodiments disclosed here-  
after.

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SUMMARY OF THE INVENTION

The preceding objects are achieved by the invention,  
which includes, among other aspects, an inserter/impactor  
(sometimes referred to herein as an "inserter/impactor")  
useful for holding and manipulating artificial intervertebral  
discs.

More particularly, the systems and methods disclosed  
herein are intended for use in spine arthroplasty procedures,  
and specifically for use with the systems and methods  
described herein in conjunction with the systems and meth-  
ods in conjunction with the systems and methods described  
in U.S. patent application Ser. No. 10/282,356 (filed Oct. 29,  
2002) entitled "Instrumentation and Methods For Use In  
Implanting an Artificial Intervertebral Disc" (hereinafter  
referred to as "the '356 application") as well as U.S. patent  
application Ser. No. 10/256,160 (filed Sep. 26, 2002)

entitled "Artificial Intervertebral Disc Having Limited Rotation Using a Captured Ball and Socket Joint With a Solid Ball and Compression Locking Post" (hereinafter referred to as "the '160 application") as well as U.S. patent application Ser. No. 09/906,127 (filed Jul. 16, 2001) entitled "Insertion Tool For Use With Intervertebral Spacers" (hereinafter referred to as "the '127 application"), both applications of which are mentioned above. However, it should be understood that the systems and methods described herein are also suitable for use with other systems and methods without departing from the scope of the invention.

While the instrumentation described herein (e.g., the inserter/impactor) will be discussed for use with the artificial intervertebral disc of FIG. 1 of the present application (hereinafter, such figures will merely be referred to as "FIG. 1"), such discussions are merely by way of example and not intended to be limiting of their uses. Thus, it should be understood that the instrumentation and methods can be used with any of the artificial intervertebral discs disclosed in the '356 or '160 applications, or any other artificial intervertebral disc having (or being modifiable or modified to have) suitable features therefor. Moreover, it is anticipated that the features of the artificial intervertebral discs (e.g., plate surfaces and engagement holes) that are used by the inserter/impactor discussed herein to hold and/or manipulate the artificial intervertebral disc can be applied, individually, or collectively or in various combinations, to other trials, spacers, artificial intervertebral discs, or other orthopedic devices as stand-alone innovative features for enabling such trials, spacers, artificial intervertebral discs, or other orthopedic devices to be more efficiently and more effectively held and/or manipulated by the inserter/impactor described herein or by tools having suitable features. In addition, it should be understood that the invention encompasses instrumentation and methods for implanting artificial intervertebral discs, spacers, trials (static or dynamic), and/or other orthopedic devices, that have one or more of the features disclosed herein, in any combination, and that the invention is therefore not limited to artificial intervertebral discs, spacers, trials, and/or other orthopedic devices having all of the features simultaneously.

Preferably, with regards to each artificial intervertebral disc to be implanted, a plurality of sizes of the artificial intervertebral disc would be available (e.g., the artificial intervertebral disc 160 of FIG. 1). That is, preferably, a plurality of the same type of artificial intervertebral disc would be available, each of the plurality having a respective width and depth dimension combination that allows it to fit within a correspondingly dimensioned intervertebral space. For example, the plurality of artificial intervertebral discs could include artificial intervertebral discs having widths being either 35 mm or 40 mm, and depths ranging from 14 mm to 18 mm in 1 mm increments, for a total of 10 discs. It should be understood that the artificial intervertebral discs can be offered in a variety of dimensions without departing from the scope of the invention, and that the dimensions specifically identified and quantified herein are merely exemplary. Each of the plurality of artificial intervertebral disc preferably further includes features that can be used by the inserter/impactor (described below) and/or the inserter/impactor described in the '356 application.

With regard to features that can be used by the inserter/impactor described in the '356 application, each artificial intervertebral disc includes an anteriorly facing flat surface, flanked by two anteriolaterally facing flat surfaces (one on each side of the anteriorly facing flat surface), and, to provide for holding of the disc for an anterior insertion

approach, a hole spaced from the anteriorly facing flat surface, the hole having a longitudinal axis parallel to the anteriorly facing flat surface. The holding pin of the inserter/impactor fits within the hole, and the angled flat surfaces of the disc fit against the correspondingly angled flat surfaces of the inserter/impactor, and operation of the inserter/impactor pulls the holding pin toward the flat surface of the inserter/impactor opposite the pin, to rigidly hold the disc by the lower baseplate. The holding pin protrudes from the wedge-shaped extended surface of the distal end of the inserter/impactor and is restricted from upward movement with respect to the distal head by the presence of the wedge-shaped extended surface of the distal end of the inserter/impactor. More particularly, with any attempted upward movement of the holding pin, the pin encounters the upper surface of the channel in which the pin travels, preventing any such upward movement. When the intervertebral disc is held in this manner, rotation of the disc about a longitudinal axis relative to the inserter/impactor is prevented by interference of the corners of the disc's flat surfaces and the corners of the inserter/impactor's flat surfaces, similar to the manner in which a wrench holding a nut prevents rotation of the nut relative to the wrench. Further, when the disc is held in this manner, rotation of the disc about a lateral axis of the disc relative to the inserter/impactor is prevented by interference of the inwardly facing surface of the first baseplate (e.g., upper baseplate) of the disc and the corresponding surface (e.g., upper surface) of the wedge on the distal end, and by interference of the inwardly facing surface of the second baseplate (e.g., lower baseplate) of the disc and the corresponding surface (e.g., lower surface) of the wedge on the distal end. It is preferable that the wedge on the inserter/impactor will interfere between the first and second baseplates (e.g., upper and lower) so that the surfaces of the first and second baseplates align at a preferred 15 degrees angle of lordosis when the disc is held by the inserter/impactor.

Preferably, in order to provide for a holding of the disc for two additional (here, anteriolateral) insertion approaches, each disc also include two additional holes, one spaced apart from one of the anteriolaterally facing flat surfaces, and the other spaced apart from the other of the anteriolaterally facing flat surfaces. Accordingly, operation of the inserter/impactor can fit the holding pin into either of these two additional holes, and hold the anteriolaterally facing flat surface (the one associated with the hole into which the pin is fit) of the disc against the flat surface of the inserter/impactor opposite the pin. It should be understood that preferably, in order to facilitate these two additional approaches, the angle separating the anteriorly facing flat surface of the disc and one of the anteriolaterally facing flat surfaces of the disc is equal to the angle separating the anteriorly facing flat surface and the other of the anteriolaterally facing flat surfaces.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 of the present application show front (FIG. 1a), side cutaway (FIG. 1b), top (FIG. 1c), perspective cutaway (FIG. 1d), bottom cutaway (FIG. 1e), top cutaway (FIG. 1f), bottom perspective (FIG. 1g), and top perspective (FIG. 1h) views of an exemplary artificial intervertebral disc for use with the present invention.

FIGS. 2a-c show side (FIG. 2a), perspective (FIG. 2b), and close-up perspective (FIG. 2c) views of a wedge plate inserter/impactor of the present invention.

FIGS. 3*a-d* show bottom (FIG. 3*a*), side (FIG. 3*b*), top (FIG. 3*c*), and side cutaway (FIG. 3*d*) views of a distal end of a wedge plate inserter/impactor of the present invention.

FIGS. 4*a-b* show top (FIG. 4*a*) and side (FIG. 4*b*) views of a wedge plate inserter/impactor of the present invention holding an exemplary artificial intervertebral disc.

FIGS. 4*c-e* show top (FIG. 4*c*), side (FIG. 4*d*), and side cutaway (FIG. 4*e*) views of a distal end of a wedge plate inserter/impactor of the present invention holding an exemplary artificial intervertebral disc.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

While the invention will be described more fully hereinafter with reference to the accompanying drawings, it is to be understood at the outset that persons skilled in the art may modify the invention herein described while achieving the functions and results of the invention. Accordingly, the descriptions that follow are to be understood as illustrative and exemplary of specific structures, aspects and features within the broad scope of the invention and not as limiting of such broad scope. Like numbers refer to similar features of like elements throughout.

A preferred embodiment of an artificial intervertebral disc (e.g., artificial intervertebral disc **160**) for use with the instrumentation of the present invention is referenced and described in the '356 application, and the same description is hereby incorporated by reference herein. The artificial intervertebral disc illustrated in FIGS. 1*a-h* of the present application is discussed herein with reference to such figures, as an example of an artificial intervertebral disc suitable for use with the present invention.

Referring now to FIGS. 1*a-h*, an artificial intervertebral disc of the present invention is shown in front (FIG. 1*a*), side cutaway (FIG. 1*b*), top (FIG. 1*c*), side cutaway (FIG. 1*d*), bottom cutaway (FIG. 1*e*), top cutaway (FIG. 1*f*), bottom perspective (FIG. 1*g*), and top perspective (FIG. 1*h*) views.

It should be understood that the illustration and reference herein to the artificial intervertebral disc shown in FIGS. 1*a-h* is merely to show an example of one type of artificial intervertebral disc that is contemplated by, encompassed by, and suitable for use with, the present invention, and that such illustration and reference herein is not meant to limit the scope of the present invention or limit the uses of the present invention. Rather, any other artificial intervertebral disc (or any other orthopedic device) having suitable features for being manipulated by the instrumentation and methods described herein are contemplated by the present invention. Indeed, the features suitable for manipulation (e.g., angled flat surfaces with adjacent holes) are encompassed by the present invention, regardless of to what orthopedic device they may be applied. Other exemplary suitable artificial intervertebral discs include, but are not limited to, the artificial intervertebral discs described in the '160 application. The artificial intervertebral disc shown in FIGS. 1*a-h* has features similar to those of these other suitable artificial intervertebral discs of the '160 application, and it should be understood that such similar features are structurally and functionally as described in the '160 application. Such similar features include an inwardly facing surface of the upper baseplate **164a**, and a convex structure **162** on the lower baseplate **168b**, the convex structure **162** having an inwardly facing surface **164b**.

And, while the instrumentation described herein will be discussed for use with the artificial intervertebral disc of FIGS. 1*a-h*, such discussions are merely by way of

example and are not intended to be limiting of their uses. Thus, it should be understood that the tools can be used with any of the artificial intervertebral discs disclosed in the '160 application, or any other artificial intervertebral disc having (or being modifiable or modified to have) suitable features therefor. Moreover, it is anticipated that the features of the artificial intervertebral disc (e.g., the flat surfaces and accompanying holes) and/or the static trials (e.g., the cylindrical trunks and flat surfaces and accompanying holes) that are used by the tools discussed herein to hold and/or manipulate these devices can be applied, individually or collectively or in various combinations, to other trials, spacers, artificial intervertebral discs or other orthopedic devices as stand-alone innovative features for enabling such trials, spacers, artificial intervertebral discs, or other orthopedic devices to be more efficiently and more effectively held and/or manipulated by the tools described herein or by other tools having similar features. In addition, it should be understood that the invention encompasses artificial intervertebral discs, spacers, trials (static or dynamic), and/or other orthopedic devices, that have one or more of the features disclosed herein, in any combination, and that the invention is therefore not limited to artificial intervertebral discs, spacers, trials, and/or other orthopedic devices having all of the features simultaneously.

A plurality of static trials are provided primarily for use in determining the appropriate size of an artificial intervertebral disc to be implanted (or whether a particular size of the artificial intervertebral disc can be implanted) into the distracted intervertebral space (e.g., the artificial intervertebral disc **160** of FIGS. 1*a-h*). Preferably, for each artificial intervertebral disc to be implanted, a plurality of sizes of the artificial intervertebral disc would be available. That is, preferably, a plurality of the same type of artificial intervertebral disc would be available, each of the plurality having a respective width and depth dimension combination that allows it to fit within a correspondingly dimensioned intervertebral space. For example, the plurality of artificial intervertebral discs could include artificial intervertebral discs having widths being either 35 mm or 40 mm, and depths ranging from 14 mm to 18 mm in 1 mm increments, for a total of 10 discs. Accordingly, preferably, each of the plurality of static trials for use with a particular plurality of differently sized artificial intervertebral discs would have a respective width and depth dimension set corresponding to the width and depth of a respective one of the plurality of differently sized artificial intervertebral discs. For example, the plurality of static trials for use with the set of artificial intervertebral discs described for example could include static trials having widths being either 35 mm or 40 mm, and depths ranging from 14 mm to 18 mm in 1 mm increments, for a total of 10 static trials. It should be understood that the artificial intervertebral discs and/or the static trials can be offered in a variety of dimensions without departing from the scope of the invention, and that the dimensions specifically identified and quantified herein are merely exemplary. Moreover, it should be understood that the set of static trials need not include the same number of trials for each artificial intervertebral disc in the set of artificial intervertebral discs, but rather, none, one, or more than one trial can be included in the trial set for any particular artificial intervertebral disc in the set.

A preferred embodiment of a wedge plate inserter/impactor of the present invention will now be described.

Referring now to FIGS. 2*a-4e*, FIGS. 2*a-c* side (FIG. 2*a*), perspective (FIG. 2*b*), and close-up perspective (FIG. 2*c*), and perspective (FIG. 4*d*) views of a wedge plate inserter/

impactor of the present invention. FIGS. 3a-d show bottom (FIG. 3a), side (FIG. 3b), top (FIG. 3c), and side cutaway (FIG. 3d) views of a distal end of a wedge plate inserter/impactor of the present invention. FIGS. 4a-b show top (FIG. 4a) and side (FIG. 4b) views of a wedge plate inserter/impactor of the present invention holding an exemplary artificial intervertebral disc. FIGS. 4c-e show top (FIG. 4c), side (FIG. 4d), and side cutaway (FIG. 4e) views of a distal end of a wedge plate inserter/impactor of the present invention holding an exemplary artificial intervertebral disc.

It should be understood that the illustration and reference herein to the artificial intervertebral disc shown in FIGS. 1a-h of the present application is merely to show an example of one type of artificial intervertebral disc that is contemplated by, encompassed by, and suitable for use with, the present invention, and that such illustration and reference herein is not meant to limit the scope of the present invention or limit the uses of the present invention. Rather, any other artificial intervertebral disc (or any other orthopedic device) having suitable features for being used with the instrumentation and methods described herein are contemplated by the present invention. Indeed, the features suitable for manipulation (e.g., angled flat surfaces with adjacent holes and/or opposing notches, and/or inwardly facing baseplate surfaces) are encompassed by the present invention, regardless of to what orthopedic device they may be applied. Other exemplary suitable artificial intervertebral discs include, but are not limited to, the artificial intervertebral discs described in the '160 application with regard to FIGS. 8a-y, 9a-t, 10a-t, 11a-j, and 12a-o thereof and by the accompanying descriptions therefor (e.g., embodiments identified as the first, second, third, fourth, and fifth preferred embodiments of the fourth embodiment family, etc.). It should be noted that, as can be seen from FIG. 1a-h of the present application, that the artificial intervertebral disc shown in FIG. 11-h of the present application has features similar to those of these other suitable artificial intervertebral discs of the '160 application, and it should be understood that such similar features are structurally and functionally as described in the '160 application. Such similar features include an inwardly facing surface of the upper baseplate, and a convex structure on the lower baseplate, the convex structure having an inwardly facing surface.

And, while the instrumentation described herein (e.g., the inserter/impactor) as well as the instrumentation described in the '356 application (e.g., the inserter/impactor described therein) will be discussed for use with the artificial intervertebral disc of FIGS. 1a-h of the present application, such discussions are merely by way of example and not intended to be limiting of their uses. Thus, it should be understood that the tools can be used with any of the artificial intervertebral discs disclosed in the '356 application or the '160 application, or any other artificial intervertebral disc having (or being modifiable or modified to have) suitable features therefor. Moreover, it is anticipated that the features of the artificial intervertebral disc (e.g., the angled flat surfaces and the inwardly facing baseplate surfaces, and accompanying holes) that are used by the tool discussed herein (or in the '356 application) to hold and/or manipulate these devices (certain features, it should be noted, were first shown and disclosed in the '160 application, the '127 application, and/or the '356 application) can be applied, individually or collectively or in various combinations, to other trials, spacers, artificial intervertebral discs or other orthopedic devices as stand-alone innovative features for enabling such trials, spacers, artificial intervertebral discs, or other ortho-

pedic devices to be more efficiently and more effectively held and/or manipulated by the tools described herein (or in the '356 application) or by other tools having suitable features. In addition, it should be understood that the invention encompasses artificial intervertebral discs, spacers, trials (static or dynamic), and/or other orthopedic devices, that have one or more of the features disclosed herein (or in the '356 application), in any combination, and that the invention is therefore not limited to artificial intervertebral discs, spacers, trials, and/or other orthopedic devices having all of the features simultaneously.

Preferably, for each artificial intervertebral disc to be implanted, a plurality of sizes of the artificial intervertebral disc would be available. That is, preferably, a plurality of the same type of artificial intervertebral disc would be available, each of the plurality having a respective width and depth dimension combination that allows it to fit within a correspondingly dimensioned intervertebral space. For example, the plurality of artificial intervertebral discs could include artificial intervertebral discs having widths being either 35 mm or 40 mm, and depths ranging from 14 mm to 18 mm in 1 mm increments, for a total of 10 discs.

The inserter/impactor 4000 is provided primarily for holding, inserting, repositioning, removing, impacting, extracting, and otherwise manipulating an artificial intervertebral disc having features suitable for being manipulated by the inserter/impactor. (However, it can also be used to hold, insert, reposition, remove, impact, extract, and otherwise manipulate any other orthopedic device having suitable features therefor. For example, it should be understood that distraction of an intervertebral space can be accomplished in conjunction with a cooperating tool or spacer that can be gripped by the inserter/impactor.) Exemplary suitable artificial intervertebral discs include, but are not limited to, the artificial intervertebral disc 160 described herein and the artificial intervertebral discs described in the '160 application with regard to FIGS. 8a-y, 9a-t, 10a-t, 11a-j, and 12a-o thereof and by the accompanying descriptions therefor (e.g., embodiments identified as the first, second, third, fourth, and fifth preferred embodiments of the fourth embodiment family, etc.). Regarding the features suitable for being manipulated by the inserter/impactor 4000, such features include those discussed above as being suitable features on the disc 160, namely, an anteriorly facing flat surface on the second (e.g., lower) baseplate of the trial or disc, flanked by two anteriorlaterally facing flat surfaces (one on each side of the anteriorly facing flat surface), and, to provide for holding of the trial or disc for an anterior insertion approach, a hole spaced from the anteriorly facing flat surface, the hole having a longitudinal axis parallel to the anteriorly facing flat surface. Further regarding the features suitable for being manipulated by the inserter/impactor, such features further include the inwardly facing surfaces of the baseplates of the disc.

More particularly, the inserter/impactor 4000 includes a shaft 4020 having a distal end 4040 that has angled flat surfaces 4200a-c corresponding to and fittable against angled flat surfaces of the artificial intervertebral disc (e.g., the surfaces 180a-c of the artificial intervertebral disc 160) to be implanted. The distal end 4040 has angled flat surfaces 4200d-f corresponding to and fittable against angled flat surfaces of the artificial intervertebral disc. (e.g., the surfaces 180d-f of the artificial intervertebral disc 160) to be implanted. The distal end 4040 has a wedge-shaped extension 4042 including upper 4200g and lower 4200h wedge surfaces corresponding to and fittable against the inwardly facing surfaces of the artificial intervertebral disc (e.g., the

lower surface **164a** of the upper baseplate **168a** of the disc **160**, and the upper surface **164b** of the lower baseplate **168b** of the disc **160**, respectively) to be implanted. For example, in an anterior approach for the disc **160** (as shown in FIGS. **4a-e**), **180a** and **180d** facing **4200a** and **4200d**, **180b** and **180e** facing **4200b** and **4200e**, **180c** and **180f** facing **4200c** and **4200f**, and **164a** facing **4200g** and **164b** facing **4200h**.

The inserter/impactor **4000** holds the disc **160** in a preferred position with respect to the inserter/impactor **4000**. (It should be understood that the surfaces of the wedge-shaped extension **4042** can be modified within the scope of the present invention to hold the disc **160** (or another orthopedic device) at positions other than those illustrated herein.) In the illustrated embodiment of the inserter/impactor **4000** in use with the disc **160**, the preferred position is with the baseplates **168a,b** of the disc **160** angle at 15 degrees of lordosis with respect to one another. More particularly, preferably, the upper and lower surfaces (e.g., **4200g** and **4200h**) of the wedge-shaped extension **4042** protrude from the distal end **4040** and are formed to hold the baseplates **168a,b** such that they are angled at 15 degrees of lordosis with respect to one another. A surface (e.g., lower surface **4200h**) of the wedge-shape extension **4042** that mates with an inwardly facing surface of a baseplate (e.g., the lower baseplate **168b**) of a disc (e.g., **160**) may be correspondingly shaped (e.g., curved or flat) for interaction or mating with the disc baseplate (e.g., the lower surface **4200h** of the wedge-shaped extension as illustrated is curved to accommodate the surface of the shield of the disc). Preferably, the forward surface **4200i** of the wedge-shaped extension **4042** has a concave curvature towards the shaft **4020** of the inserter/impactor **4000**, also for accommodating the curvature of the surface of the shield of the disc.

Also preferably with regard to the preferred positioning, the wedge surfaces of the distal end **4040** protrude from a distance midway with respect to the top and bottom of the distal end **4040** and span (e.g., right to left or vice-versa) the entire distal face of the distal end **4040**, and the surfaces **4200d-f** above the wedge on the distal end **4040** are respectively perpendicular to the wedge's upper surface **4200g** such that each is disposed in parallel with its respective corresponding surface of the disc **160** when the disc **160** is held by the inserter/impactor **4000** at the appropriate lordosis angle. (And, accordingly, are angled approximately 15 degrees with respect to the surfaces below the wedge **4200a-c**.) Preferably, for an anterior approach, the wedge-shaped extension **4042** is designed and shaped to fit with its antero-lateral confronting surfaces (**4200d,f** and **4200a,c**) tightly against the correspondingly antero-laterally facing surfaces (**180d,f** and **180a,c**) of the disc **160**, but such that its anterior confronting surfaces (**4200e** and **4200b**) are slightly spaced from the anteriorly facing surfaces (**180d** and **180b**) of the disc **160**, when the disc is held by the inserter/impactor **4000**. This is primarily to address manufacturing issues (in some cases, tolerances may not be adequately defined to ensure that all of those surfaces fit tightly against their corresponding surfaces), so that if there are manufacturing anomalies, any slight tolerance differences that may exist are nevertheless still adequate to ensure at least the tight fitting of the antero-lateral confronting surfaces, so that manipulation of the disc **160** is possible (e.g., in the manner of a wrench against an angled nut). This can be achieved, e.g., by designing the anterior confronting surfaces (**4200e** and **4200b**) to each be slightly greater in length than the corresponding anteriorly facing surfaces (**180e** and **180b**) of the disc baseplates, while still being angled with respect to the antero-lateral confronting surfaces (**4200d,f** and **4200a,**

**c**) at the same angle the antero-laterally facing surfaces (**180d,f** and **180a,c**) of the disc baseplates are angled with respect to the anteriorly facing surfaces (**180e** and **180b**) of the disc. The increased length of the anterior confronting surfaces on the wedge extension results in the slight clearance between the anteriorly facing surfaces (**180e** and **180b**) of the disc and the corresponding anterior confronting surface (**4200e** and **4200b**) of the wedged distal end, thereby ensuring that the disc will be fully seated against the antero-lateral confronting surfaces of the distal end despite possible manufacturing, material or other inevitable variations in tolerances of the artificial intervertebral disc or the inserter/impactor. As noted above, similar in this regard to the manner in which a wrench engages a nut, this fitting increases the mechanical advantage toward repositioning the disc in the intervertebral space. It should be noted, inasmuch as the inserter/impactor **4000** described herein can engage the disc from the antero-lateral angles as well, the anterior confronting surfaces (**4200e** and **4200b**) should also be longer than the antero-laterally facing surfaces (**180d,f** and **180a,c**) of the disc, so that a similar fitting occurs when the disc is held from the antero-lateral angles. Stated broadly, the primary confronting surfaces (e.g., the anterior confronting surfaces) of the inserter/impactor are preferably slightly longer than the primary confronted surfaces (e.g., anteriorly facing surfaces) of the disc for any given holding orientation.

Further, the inserter/impactor **4000** includes a holding pin **4080** that extends from the wedge **4042** along a longitudinal axis of the shaft **4020**, the pin **4080** having a distal end **4100** that is bent downwardly. The holding pin **4080** is spring loaded (e.g., by a spring **4090**) in a central channel of the shaft **4020**, so that it is biased toward the shaft **4020** (preferably, the bent end **4100** of the pin **4080** prevents it from entering the central channel). The holding pin **4080** is restricted from upwardly lateral movement with respect to the distal end of the inserter/impactor by the presence of the wedge-shaped extension **4042** of the distal end **4040** of the inserter/impactor **4000**. More particularly, with any attempted upward movement of the holding pin **4080**, the pin encounters the upper surface of the channel in which the pin **4080** travels, preventing any such upward movement. The holding pin **4080** is preferably heat treated (e.g., cold formed) to increase material quality (e.g., strength).

A flange **4110**, mechanically connected to the pin **4080** and translating adjacent the shaft **4020**, can be pushed distally to overcome the bias of the spring **4090** to space the pin **4080** away from the wedge **4042**. (An alternative configuration is one in which the flange **4110** and the pin **4080** are formed from a single piece, rather than being mechanically connected.) In this extended position, the pin **4080** can be inserted in a hole (e.g., **182b**) in the baseplate (e.g., **168b**) of the artificial intervertebral disc (e.g., **160**). Releasing the flange **4110** allows the spring **4090** to pull the pin **4080** back, causing the anteriorly facing surface **180b** of the baseplate **168b** to be held against the lower central flat surface **4200b** of the inserter/impactor **4000**. This can be further understood in light of the description of the manner in which the inserter/impactor of the '160 application functions to grip an orthopedic device, which is included in the '160 application and incorporated by reference herein. Simultaneously, the anteriorly facing surface **180e** of the baseplate **168a** is pulled against the upper central flat surface **4200e** of the inserter/impactor **4000** and the antero-laterally facing flat surfaces **180d,f** of the artificial intervertebral disc **160** is pulled against the other corresponding flat surfaces **4200d,f** of the inserter/impactor **4000**. Additionally, the upper and lower

wedge surfaces (4200g,h) interfere between the inwardly facing surfaces 164a,b of the disc baseplates, causing the baseplate to be angled at a 15 degree lordosis angle, with the lower surface 164a of the upper baseplate 168a held against the upper surface 4200g, and the upper surface of the shield being held against the lower surface 4200h, as best shown in FIGS. 4a-e.

A knob 4120, threaded on the shaft 4020, can be rotated about the longitudinal axis of the shaft 4020 to push the flange 4110 farther proximally, to pull the pin 4080 tighter and therefore lock its position (the interference of the threads of the knob-shaft interface prevents the knob 4120 from moving distally unless the knob 4120 is reverse rotated to effect that result) to more securely hold the baseplate 168b, and reverse rotated to unlock and loosen the pin 4080.

When the disc 160 is held in this manner, rotation of the disc 160 about a longitudinal axis (of the disc 160) relative to the inserter/impactor 4000 is prevented by interference of the corners of the disc's 160 flat surfaces (180a-c and 180d-f) and the corners of the inserter/impactor's 4000 flat surfaces (4200a-c and 4200d-f), similar to the manner in which a wrench holding a nut prevents rotation of the nut relative to the wrench. Further, the holding of the disc 160 in this manner allows for some repositioning of the disc 160 in the intervertebral space via rotation of the disc 160 in either direction about the longitudinal axis of the intervertebral space. Further when the disc is held in this manner, rotation of the disc about a lateral axis (of the disc 160) relative to the inserter/impactor 4000 is prevented by interference of the inwardly facing surface 164a of the first baseplate (e.g., upper baseplate) of the disc and the upper surface 4200g of the wedge on the distal end 4040, and by interference of the inwardly facing surface 164b of the second baseplate (e.g., lower baseplate) of the disc and the lower surface 4200h of the wedge on the distal end 4040. Accordingly, the holding of the disc in this manner allows for some repositioning of the disc in the intervertebral space via rotation of the disc in either direction about the longitudinal or latitudinal axis of the intervertebral space.

In some embodiments, when the artificial intervertebral disc 160 is held by the inserter/impactor 4000, the flat surfaces 180a-c are more closely confronted by the angled flat surfaces 4200a-c of the inserter/impactor 4000, compared with the flat surfaces 180d-f being less closely confronted by the angled flat surfaces 4200d-f of the inserter/impactor 4000. As such, the structure of the artificial intervertebral disc 160 having the flat surfaces 180d-f (e.g., the upper baseplate 168a) has slightly more rotation and angulation freedom relative to the inserter/impactor 4000 when being held, compared to the structure of the artificial intervertebral disc 160 having the flat surfaces 180a-c (e.g., the lower baseplate 168b). This permits the artificial intervertebral disc 160 to adjust to the intervertebral space (e.g., to the angulation of the adjacent vertebral endplates, defining the intervertebral space, relative to one another) as it is being inserted thereinto. That is, typically, the adjacent vertebral endplates will be lordotically angled with respect to one another as a result of the intervertebral space being prepared and distracted.

Preferably, in order to provide for a holding of the disc 160 for two additional (here, anteriolateral) insertion approaches, each disc 160 also includes two additional holes 182a and 182c, one (e.g., 182a) spaced apart from one of the anteriolaterally facing flat surfaces (e.g. 180a), and the other (e.g. 182c) spaced apart from the other of the anteriolaterally facing flat surfaces (e.g. 180c). Accordingly, operation of the inserter/impactor 4000 can fit the holding pin 4080 into

either of these two additional holes 182a or 182c, and hold the associated anteriolaterally facing flat surface (the one associated with the hole into which the pin 4080 is fit) of the disc 160 against the flat surface of the inserter/impactor 4000 opposite the pin 4080. For example, in a first anteriolateral approach for the disc 160, 180a and 180d facing 4200b and 4200e, 180c and 180f not confronted, and 180b and 180e facing 4200c and 4200f, and in a second anteriolateral approach for the disc 160, 180b and 180e facing 4200a and 4200d, 180a and 180d not confronted, and 180c and 180f facing 4200b and 4200e. It should be understood that preferably, in order to facilitate these additional approaches, the angle separating the anteriorly facing flat surface of the disc 160 and one of the anteriolaterally facing flat surfaces of the disc 160 is equal to the angle separating the anteriorly facing flat surface and the other of the anteriolaterally facing flat surfaces. Preferably, the surfaces are angled with respect to one another at an angle of 33.4 degrees.

It should also be understood that the inclusion of additional adjacent angulated surfaces (or placing the angulated surfaces in other locations on the disc or other orthopedic device), and/or including corresponding holes adjacent to such surfaces, can provide the surgeon with additional approaches, e.g., other anteriolateral approaches, directly lateral approaches, posteriolateral approaches, and/or directly posterior approaches. For example, a trial or disc can have angled surfaces (and corresponding holes) along the entire perimeter of one or both of the baseplates, and thus enable the surgeon to engage the trial or disc from a number of angles, including anterior, posterior, lateral, anteriolateral, and posteriolateral angles.

The inserter/impactor 4000 further includes at a proximal end a cap 4140 for use as an impact surface if the disc 160 must be impacted further into the intervertebral space after insertion, or forcibly extracted from the intervertebral space. A mallet can be used to strike the cap 4140 (in a distal direction for impaction, or in a proximal direction (using the flange of the cap 4140) for extraction). It should be noted a striking of the cap 4140 will translate the striking force to the baseplates through the shaft 4020 and the flat surfaces, but will not damage the holding pin 4080 because the holding pin 4080 is spring loaded in the central channel and thus buffered from the striking force thereby. The distal end 4040 of the inserter/impactor 4000 further preferably includes at least one vertebral body stop 4202 that protrudes longitudinally with respect to the shaft 4020, from the surfaces of the distal end. The stops help prevent the inserter/impactor from being used to insert the disc (or other orthopedic device) too far into the intervertebral space.

Accordingly, the inserter/impactor 4000 can be used to grip the artificial intervertebral disc to be implanted, and hold the same during insertion and/or removal of the same, and is useful for a variety of surgical approach angles.

While there has been described and illustrated specific embodiments of instrumentation, it will be apparent to those skilled in the art that variations and modifications are possible without deviating from the broad spirit and principle of the invention. The invention, therefore, shall not be limited to the specific embodiments discussed herein.

What is claimed is:

1. A holding instrument for an implant comprising: a shaft having a proximal end, a distal end and a longitudinal axis extending between said proximal and distal ends; a body attached to said distal end of said shaft having at least one concave surface comprising a first set of substantially flat surfaces that are angled relative

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to one another, wherein said body further comprises a second set of substantially flat surfaces that are angled relative to one another, said second set of substantially flat surfaces being spaced from said first set of substantially flat surfaces, and a projection having a concave surface provided between said first set of substantially flat surfaces and said second set of substantially flat surfaces;

a pin extendable from said body attached to said distal end of said shaft, said pin having a hooked end, said pin being movable between a retracted position and an extended position;

a spring coupled with said pin for normally urging said pin into the retracted position;

a flange coupled with said pin, said flange being movable relative to said shaft and toward said distal end of said shaft for extending said hooked end of said pin from said body attached to said distal end of said shaft.

2. The instrument as claimed in claim 1, wherein said pin has a first section that extends along said longitudinal axis of said shaft and said hooked end is connected to said first section of said pin.

3. The instrument as claimed in claim 1, wherein said hooked end of said pin comprises a single hook.

4. The instrument as claimed in claim 1, wherein said projection further comprises a substantially flat upper sur-

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face extending from said concave surface of said projection toward said proximal end of said instrument and a substantially flat lower surface extending from said concave surface of said projection toward said proximal end of said instrument.

5. The instrument as claimed in claim 4, wherein said substantially flat upper surface of said projection and said substantially flat lower surface of said projection are angled relative to one another.

6. The instrument as claimed in claim 1, wherein said projection includes a channel having an opening and said hooked end of said pin is extendable from said channel.

7. The instrument as claimed in claim 1, further comprising a knob coupled to said shaft, wherein said knob is rotatable in a first direction for locking said flange from movement relative to said shaft and is rotatable in a second direction for unlocking said flange to allow for movement of said flange relative to said shaft.

8. The instrument as claimed in claim 1, further comprising a vertebral body stop extending upwardly from said body attached to said distal end of said shaft.

\* \* \* \* \*

UNITED STATES PATENT AND TRADEMARK OFFICE  
**CERTIFICATE OF CORRECTION**

PATENT NO. : 7,235,081 B2  
APPLICATION NO. : 10/425267  
DATED : June 26, 2007  
INVENTOR(S) : Joseph P. Errico, Michael W. Dudasik and Rafail Zubok

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 3, line 14, delete the phrase "FIG. 1" and insert the phrase --FIGS. 1A-H--.  
Column 3, line 15, delete the phrase "FIG. 1" and insert the phrase --FIGS. 1A-H--.  
Column 3, line 45, delete the phrase "FIG. 1" and insert the phrase --FIGS. 1A-H--.  
Column 4, line 59, delete the phrase "FIG. 1" and insert the phrase --FIGS. 1A-H--.  
Column 7, line 35, delete the word "FIG." and insert the words --FIGS.--  
Column 7, line 37, delete "11-h" and insert --11a-h--.

Signed and Sealed this

Seventeenth Day of June, 2008

A handwritten signature in black ink that reads "Jon W. Dudas". The signature is written in a cursive style with a large, stylized initial "J".

JON W. DUDAS  
*Director of the United States Patent and Trademark Office*