

(19) World Intellectual Property Organization  
International Bureau



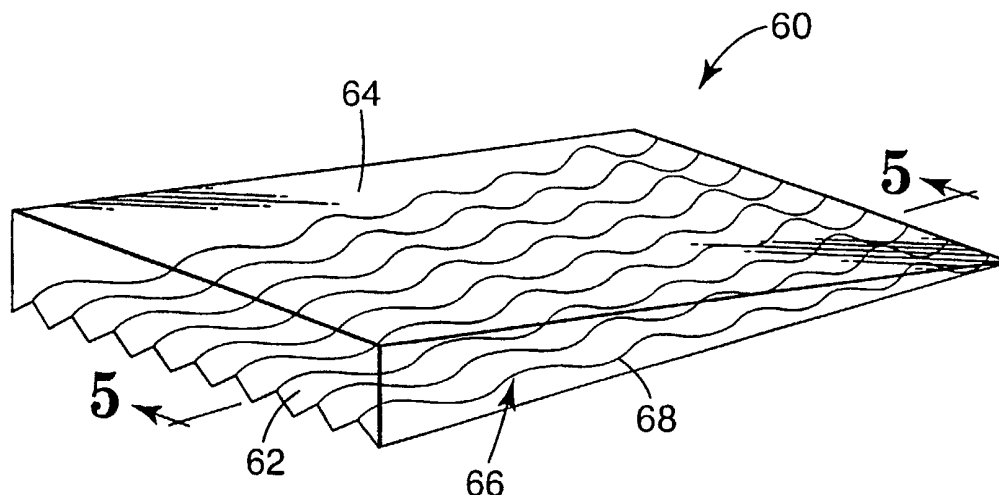
(43) International Publication Date  
19 April 2001 (19.04.2001)

PCT

(10) International Publication Number  
**WO 01/27527 A1**

- (51) International Patent Classification<sup>7</sup>: **F21V 8/00**, (81) Designated States (*national*): AE, AG, AL, AM, AT, AT (utility model), AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, CZ (utility model), DE, DE (utility model), DK, DK (utility model), DM, DZ, EE, EE (utility model), ES, FI, FI (utility model), GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KR (utility model), KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (utility model), SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.
- (21) International Application Number: PCT/US00/24204
- (22) International Filing Date: 31 August 2000 (31.08.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:  
09/415,471 8 October 1999 (08.10.1999) US (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- (71) Applicant: **3M INNOVATIVE PROPERTIES COMPANY** [US/US]; 3M Center, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).
- (72) Inventors: **GARDINER, Mark, E.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **COBB, Sanford, Jr.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **EPSTEIN, Kenneth, A.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US). **KRET-MAN, Wade, D.**; Post Office Box 33427, Saint Paul, MN 55133-3427 (US).
- (74) Agents: **BUCKINGHAM, Stephen, W.** et al.; Office of Intellectual Property Counsel, Post Office Box 33427, Saint Paul, MN 55133-3427 (US).
- Published:**  
— With international search report.  
— Before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL STRUCTURES



(57) Abstract: An optical element, such as a lightguide or an optical film, is formed with a predetermined, programmed pattern of optical structures. The optical structures may be arranged to selectively correct for non-uniformities in the output of a lightguide, or may be arranged to otherwise enhance the performance of a display.



WO 01/27527 A1

**OPTICAL ELEMENT HAVING PROGRAMMED OPTICAL STRUCTURES****BACKGROUND OF THE INVENTION**

5

Field of the Invention

10 The invention relates generally to optical elements and more particularly to lightguides, optical films and other optical elements suitable for use in display devices and having programmed optical structures.

Description of the Related Technology

15 Backlit display devices, such as liquid crystal display (LCD) devices, commonly use a wedge-shaped lightguide. The wedge-shaped lightguide couples light from a substantially linear source, such as a cold cathode fluorescent lamp (CCFL), to a substantially planar output. The planar output  
20 is then used to illuminate the LCD.

One measure of the performance of the backlit display is its uniformity. A user can easily perceive relatively small differences in brightness of a display from one area of the display to the next. Even relatively small non-  
25 uniformities can be very annoying to a user of the display.

Surface diffusers or bulk diffusers, which scatter the light exiting the lightguide, are sometimes used to mask or soften non-uniformities. However, this diffusion also results in light being directed away from a preferred  
30 viewing axis. A net result can be a reduction in overall brightness of the display along the preferred viewing axis, which is another performance measure of a display device.

Unlike non-uniformities, from a subjective standpoint relatively small increases or decreases in overall  
35 brightness are not easily perceived by the user of the display device. However, the display device designer is discouraged by even the smallest decreases in overall

brightness including decreases so small they might only be perceived by objective measurement. This is because display brightness and power requirements of the display are closely related. If overall brightness can be increased without  
5 increasing the required power, the designer can actually allocate less power to the display device, yet still achieve an acceptable level of brightness. For battery powered portable devices, this translates to longer running times.

## 10 SUMMARY OF THE INVENTION

In accordance with the invention, an optical element, such as a lightguide or an optical film, is formed with a predetermined, programmed pattern of optical structures.  
15 The optical structures may be arranged to selectively correct for non-uniformities in the output of a lightguide, or may be arranged to otherwise effect the performance of the display in a predetermined, and designed manner.

In a first aspect of the invention, an optically  
20 transmissive film having a first surface and a second surface and a first edge and a second edge is formed with a plurality of optical structures formed in the first side. The plurality of optical structures are arranged on the first side in a predetermined pattern, and each optical  
25 structure has at least one characteristic selected from the group consisting of an amplitude, a period and an aspect ratio. Each characteristic has a first value for a first predetermined location on the film between the first edge and the second edge and the characteristic has a second  
30 value, different from the first value, for a second predetermined location on the film, different than the first predetermined location on the film, between the first edge and the second edge.

In another aspect of the invention, the structure in  
35 accordance with the invention is part of a thick optical element, such as for example, a lightguide wedge. The structure is achieved on the thick element through injection

molding, compression molding, or by bonding a film with the structure to the additional optical element.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

5           The many advantages and features of the present invention will become apparent to one of ordinary skill in the art from the following detailed description of several preferred embodiments of the invention with reference to the attached drawings wherein like reference numerals refer to  
10 like elements throughout and in which:

Fig. 1 is a perspective view of an illumination device adapted in accordance with an embodiment of the invention;

FIG. 2 is a perspective view of an optical film incorporating a programmed pattern of optical structures in  
15 accordance with one embodiment of the invention;

FIG. 3 is a perspective view of an optical film incorporating a programmed pattern of optical structures in accordance with another embodiment of the invention;

FIG. 4 is a perspective view of a lightguide wedge  
20 incorporating an in-phase programmed pattern of optical structures in accordance with another embodiment of the invention;

FIG. 5 is a cross-section view taken along line 5-5 of in FIG. 4;

FIG. 6 is a perspective view of a lightguide wedge  
25 incorporating an out-of-phase programmed pattern of optical structures in accordance with another embodiment of the invention;

FIG. 7 is perspective view of a linear lens structure  
30 incorporating a programmed pattern of optical structures in accordance with another embodiment of the invention;

FIG. 8 is a perspective view of an optical film incorporating a programmed pattern of optical structures in  
35 accordance with an alternate preferred embodiment of the invention;

FIG. 9 is a perspective view of an optical film incorporating a programmed pattern of optical structures in

accordances with an alternate preferred embodiment of the invention;

FIG. 10 is a perspective view of an optical film incorporating a programmed pattern of optical structures in  
5 accordances with an alternate preferred embodiment of the invention;

FIG. 11 is a side view of a lightguide incorporating first programmed pattern of optical structures in a top surface and a second programmed pattern of optical  
10 structures in a bottom surface in accordance with a preferred embodiment of the invention.

#### **DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS**

15 The present invention is described in terms of several preferred embodiments, and particularly, in terms of an optical film or a lightguide suitable for use in a backlighting system typically used in flat panel display devices, such as a laptop computer display or a desktop flat  
20 panel display. The invention, however, is not so limited in application and one of ordinary skill in the art will appreciate that it has application to virtually any optical system, for example, to projection screen devices and flat panel televisions. Therefore, the embodiments described  
25 herein should not be taken as limiting of the broad scope of the invention.

Referring to Fig. 1, an illumination system 10 includes a light source 12; a light source reflector 14; a lightguide 16 with an output surface 18, a back surface 20, an input  
30 surface 21 and an end surface 22; a reflector 24 adjacent the back surface 20; a first light redirecting element 26; a second light redirecting element 28; and a reflective polarizer 30. The lightguide 16 may be a wedge, a modification thereof or a slab. As is well known, the  
35 purpose of the lightguide is to provide for the distribution of light from the light source 12 over an area much larger than the light source 12, and more particularly,

substantially over an entire area formed by output surface 18. The lightguide 16 further preferably accomplishes these tasks in a compact, thin package.

5 The light source 12 may be a CCFL that inputs light to the edge surface 21 of the lightguide 16, and the lamp reflector 14 may be a reflective film that wraps around the light source 12 forming a lamp cavity. The reflector 24 backs the lightguide 16 and may be an efficient back reflector, e.g., a lambertian film or a specular film or a  
10 combination.

In the embodiment shown, the edge-coupled light propagates from the input surface 21 toward the end surface 22, confined by total internal reflection (TIR). The light is extracted from the lightguide 16 by frustration of the  
15 TIR. A ray confined within the lightguide 16 increases its angle of incidence relative to the plane of the top and bottom walls, due to the wedge angle, with each TIR bounce.

Thus, the light eventually refracts out of the output surface 18 and at a glancing angle thereto, because it is no longer contained by TIR. Some of the light rays are  
20 extracted out of the back surface 20. These light rays are reflected back into and through the lightguide 16 by the back reflector 24. First light redirecting element 26 is arranged as a turning film to redirect these light rays exiting the output surface 18 along a direction  
25 substantially parallel to a preferred viewing direction.

As shown in Fig. 2, the first light redirecting element 26 may be a light transmissive optical film with an output surface 32 and an input surface 34 formed with prisms (not  
30 shown), which refract and reflect the light exiting the lightguide 16 along the preferred viewing direction. The prisms may have a substantially uniform configuration, or may have a non-uniform configuration as described in commonly assigned US patent application "OPTICAL FILM WITH  
35 VARIABLE ANGLE PRISMS" filed of even date herewith (attorney docket no. 28724/35320), the disclosure of which is hereby expressly incorporated herein by reference.

Referring back to FIG. 1, the second light redirecting element 28 may not be required in every configuration of the illumination system 10. When included in the system 10, the second light redirecting element may be a diffuser, a lenticular spreader or a prism film, for example a brightness enhancing film such as the 3M Brightness Enhancement Film product (sold as BEFIII) available from Minnesota Mining and Manufacturing Company, St. Paul, Minnesota. The reflective polarizer 30 may be an inorganic, polymeric or cholesteric liquid crystal polarizer film. A suitable film is the 3M Diffuse Reflective Polarizer Film product (sold as DRPF) or the Specular Reflective Polarizer film product (sold as DBEF), both of which are available from Minnesota Mining and Manufacturing Company.

Furthermore, at least the second light redirecting element 28 and the reflective polarizer 30, and potentially the first light redirecting element 26, may be combined into a single optical element. The commonly assigned US Patent Application entitled "DISPLAY ILLUMINATION DEVICE AND METHOD OF ENHANCING BRIGHTNESS IN A DISPLAY ILLUMINATION DEVICE" filed of even date herewith (attorney docket no. 28724/35321), the disclosure of which is hereby expressly incorporated herein by reference, describes several such combined optical structures.

With lightguides used for backlighting, such as lightguide 16, it is common for there to be non-uniformities in the light output from the lightguide. These non-uniformities can frequently be concentrated near the input surface 21. To mask these defects in applications of the lightguide, a diffuser that covers the output surface of the lightguide is typically used. However, a diffuser tends to reduce the overall brightness of the display and may not adequately mask all of the defects.

Referring now to FIG. 2, shown graphically is a film containing an in-phase varying amplitude pattern. The pattern described may be formed on a top or bottom surface of a wedge, on a plano film, or as described below, on a

turning film. In that regard, in addition to the prisms formed on the input surface 34 of the first light redirecting element 26, the output surface 32 may be formed with optical structures. More particularly, the first light  
5 redirecting element 26 has a first edge 36 and a second edge 38. Extending from the first edge 36 toward the second edge 38 are a plurality of optical structures 40 arranged in a pattern 42. Each optical structure 40 may have a number of characteristics, such as amplitude, period and aspect ratio  
10 of the peaks 44 and valleys 46. The pattern 42 may also have characteristics, such as for example, a pitch,  $p$ , between optical structures 40. The structures 40 in FIG. 2 are shown having amplitude variation. In application of the first light redirecting structure 26, the grooves may be  
15 arranged such that variation in amplitude is perpendicular to the lightsource 12.

With continued reference to FIG. 2, it is observed that within the pattern 42, the optical structures 40 are formed with larger amplitude at the first edge 36 and decrease in  
20 amplitude toward the second edge 38. The larger amplitude produces more optical power along the groove axis because of the higher surface slopes. The optical power of this pattern then decreases as a function of the distance from the first edge 36. This arrangement of the optical  
25 structures 40 and the pattern 42 is purposeful. As noted, non-uniformities in the output of lightguide 16 may be concentrated near the input surface 21 while there may be less non-uniformity farther from the input surface 21. Thus, the optical structures 40 and the pattern 42 are  
30 arranged to provide more diffusion near first edge 36. In application, first edge 36 will be disposed substantially adjacent the input surface 21 of the lightguide 16. Pattern 42 may have a uniform pitch,  $p$ , as shown, and the depth of the optical structures 40 may decrease to naught toward the  
35 second edge 38. This pattern, as will be discussed in more detail below, may be produced with any tool type.



It should be appreciated that using ray tracing and other analysis techniques, it is possible to determine particular arrangements for the optical structures 40 and the pattern 42 that best correct particular observed non-uniformities in the output of the lightguide 16. That is, one or more of the characteristics of the optical structures 40 and the pattern 42 may be tailored to correct a particular non-uniformity. As described above, in connection with first light redirecting element 26, the optical structures 40 and the pattern 42 provided optical power to the output of the lightguide 16 near the input surface 21 in order to mask non-uniformities that may occur near the input surface 21. Less or no optical power is provided away from the input surface 21 as fewer or less intense non-uniformities are typically observed from the lightguide 16 farther from the input surface 21. In this manner, optical power is provided where most needed to mask or soften non-uniformities, while less optical power is provided where there may be fewer non-uniformities to mask. Moreover, optical power may be added virtually anywhere to the output of the lightguide by adding optical structures and/or varying the characteristics of the optical structures. Furthermore, the addition of optical power need not be uniform. Instead, optical power may be added, as necessary, to discrete regions of the lightguide output if necessary to help mask a defect or create a particular optical effect.

Planar light guides, and some wedge light guides that operate using frustrated TIR, may include an extractor pattern on a back surface of the lightguide. Typically, the extractor pattern is a pattern of white dots disposed on the back surface of the lightguide. Light incident to one of the dots is diffusely reflected by the white dot, and a portion of this reflected light is caused to exit the light guide. In spite of the diffuse nature of this method of extracting light from the lightguide, the pattern of dots may itself be visible in the lightguide output. Thus, to

hide the dot pattern, additional diffusion is typically provided.

With reference to FIG. 3, an extractor film 50 is shown. Formed in a surface 52 of the extractor film are a plurality of optical structures 54 disposed in a pattern 56.

The optical structures 54 are arranged essentially to replace the white dot pattern for providing extraction of light from the lightguide. While shown in FIG. 3 as circles or dots, the optical structures 54 are not collectively limited to any particular shape nor are they limited to any one particular shape within the pattern 56. Therefore, the optical structures 54 may be prisms, lines, dots, squares, ellipses or generally any shape. Moreover, the optical structures 54 may be spaced very closely together within the pattern 56, much more so than the dots within a dot pattern may be spaced and, for example, within about 50-100  $\mu\text{m}$  of each other. This very close spacing of the optical structures 54 eliminates or reduces the need for diffusion in the output of the lightguide that is ordinarily necessary to hide the pattern of white dots. The invention also permits the changing of the slope of the lightguide at a micro-level. That is, the slope of the lightguide may be locally increased or decreased at the micro-level. When a light ray hits a higher positive slope, it will be extracted from the lightguide faster than if it hit the nominal wedge angle.

While so far discussed in terms of optical films, the invention has application to the lightguide wedge itself. Referring to FIGs. 4 and 5, a lightguide 60 has in an input surface 62, and an output surface 64 and a back surface 66.

The input surface 62 is arranged to be disposed adjacent a light source (not depicted) to provide a source of light incident to the input surface 62. The light incident to the input surface 62 is extracted out of the output surface 64 as a result of frustrated TIR within the lightguide 60. As discussed above, it is common for there to be non-

uniformities in the light output from the lightguide 60, particularly near the input surface 62.

With continued reference to FIGs. 4 and 5, diffusion is added to the back surface 66 of the lightguide 60 and is further adjusted in intensity extending away from the input surface 62. That is, the back surface 66 is formed with in-phase optical structures 68 arranged to provide diffusive extraction near the input surface 62 and to taper to naught away from the input surface 62. The pattern can also be non-tapering, i.e., constant, over the entire surface, increasing from naught, randomly varying, or distributed in discrete regions. It is also possible for the optical structures to be out-of-phase, such as optical structures 68' formed in a back surface 66' of the lightguide 60' shown in FIG. 6. It will be appreciated that patterns of optical structures may also be formed in the output surface 64 either separately or in conjunction with a pattern formed in the back surface 66. The overall purpose of providing the optical structures is to achieve an effect that minimizes non-uniformities of the lightguide output wherever they may occur, and for the lightguide 60 shown in FIGs. 4 and 5, the non-uniformities appear primarily adjacent the input surface 62.

With reference to FIG. 5, the optical structures 68 may be formed on a surface 72 of an optical film 70. The optical film 70 may then be coupled to the wedge structure of the lightguide 60 using ultraviolet (UV) curing, pressure sensitive or any other suitable adhesive. Alternatively, the wedge may be molded in bulk to include the optical structures 68 in the back surface 66.

As will be more generally appreciated from the foregoing discussion, virtually any configuration of optical structures may be formed into an optical film, and the optical film coupled, for example by bonding, to a lightguide or other bulk optical element. For example, glare reduction, anti-wetout, Fresnels, and virtually any other structure that may be formed in a surface of an

optical film may be easily replicated into the film and then the film coupled to another optical element.

Films incorporating programmed optical structures may be manufactured using a microreplication process. In such a manufacturing process, a master is made, for example by cutting the pattern into a metal roll, and the master is used to produce films by extrusion, cast-and-cure, embossing and other suitable processes. Alternatively, the films may be compression or injection molded or roll formed. A preferred apparatus and method for microreplication is described in the commonly assigned US patent application entitled "Optical Film With Defect-Reducing Surface and Method of Making Same," serial no. \_\_\_\_\_, (Attorney Docket No. 7780.374 US01), the disclosure of which is hereby expressly incorporated herein by reference.

As an example of the above-described feature of the invention, and with reference to FIG. 7, a linear Fresnel lens or prism 80 has a substantially planar input surface 82 and an output surface 84. The output surface 84 is formed with lens structures 86 and superimposed on the lens structures 86 are additional optical structures 88. The optical structures 88 have characteristics, such as amplitude, period, and aspect ratio, that vary from a first edge 90 of the lens 80 to a second edge 92 of the lens 80. The lens 80 may be formed in bulk, or as shown in FIG. 7, the lens structures 86 including the optical structures 88 may be formed on a film 94 that is then bonded to a bulk optical substrate 96.

Referring now to FIG. 8, shown graphically is a film 100 containing a varying amplitude pattern 102 that was formed using a "V" shaped tool. The pattern 102 may be formed on a top and/or bottom surface of the film 100. Likewise, the pattern may be formed in a wedge or slab. The film 100 has a first edge 104 and a second edge 106. Extending from the first edge 104 toward the second edge 106 are a plurality of optical structures 108 arranged in the pattern 102. Each optical structure 108 may have a number

of characteristics, such as amplitude, period and aspect ratio. The pattern 102 may also have characteristics, such as for example, a pitch,  $p$ , defining a spacing between optical structures 108. The optical structures 108 in FIG. 8 are shown having amplitude variation. In application of the film 100, the grooves may be arranged such that variation in amplitude is perpendicular to a lightsource of the lightguide incorporating the film 100.

With continued reference to FIG. 8, it is observed that within the pattern 102, the optical structures 108 are formed with larger amplitude at the first edge 104 and decrease in amplitude toward the second edge 106. The larger amplitude produces more optical power along the groove axis because of the higher surface slopes. The optical power of this pattern then decreases as a function of the distance from the first edge 104. This arrangement of the optical structures 108 and the pattern 102 is purposeful.

With reference to FIGs. 9 and 10, films 110 and 112, are shown respectively. Each film 110 and 112 has the same characteristics as film 100, and like reference numerals are used to describe like elements therebetween. As opposed to the pattern created by using a "V" shaped tool, the film 110, FIG. 9, has a pattern 114 of optical structure 116 that is formed using a curved nose tool. The film 112, FIG. 10, has a pattern 118 of optical structures 120 that is formed using a square nose tool. The patterns 114 and 118 are arranged as described to provide optical power in the surface or surfaces of the films 110 and 112. It will be appreciated that virtually any tool configuration may be used with the particular tool being selected to achieve a desired amount and form of optical power in the surface or surfaces of the film.

In the lightguide 121 illustrated in FIG. 11, a first pattern 122 of optical structures 124 is formed in a bottom surface 126 and a second pattern 128 of optical structures 130 is formed in a top surface 132 of the wedge 134. The first pattern 122 may be arranged to facilitate the extraction of light from the wedge 134, while the second pattern 128 may be arranged to mask non-uniformities in the light output from the wedge. It will be appreciated, however, that the patterns implemented in the wedge 134 will depend on the desired light output to be achieved from the wedge 134. Moreover, as described above, the patterns 122 and 128 may be formed first in an optical film that is later coupled to the wedge, for example, by bonding. In another form, surfaces 122 and 128 are injection molded with the wedge.

Still other modifications and alternative embodiments of the invention will be apparent to those skilled in the art in view of the foregoing description. This description is to be construed as illustrative only, and is for the purpose of teaching those skilled in the art the best mode of carrying out the invention. The details of the structure and method may be varied substantially without departing from the spirit of the invention, and the exclusive use of all modifications which come within the scope of the appended claims is reserved.

**CLAIMS**

What is claimed is:

5

1. An optically transmissive film, the film comprising a first surface and a second surface and a first edge and a second edge; and

10

a plurality of optical structures formed in the first surface, the plurality of optical structures being arranged on the first surface in a pattern, and wherein each optical structure has at least one characteristic selected from the group consisting of an amplitude, a period and an aspect ratio, and wherein the characteristic has a first value for a first predetermined location on the film between the first edge and the second edge and the characteristic has a second value, different from the first value, for a second predetermined location on the film, different than the first predetermined location on the film, between the first edge and the second edge.

20

2. The optical element of claim 1, wherein the characteristic is decreased in intensity from the first predetermined location at the second predetermined location.

25

3. The optical element of claim 1, wherein the first predetermined location is substantially adjacent the first edge.

30

4. The optical element of claim 1, wherein the characteristic has a maximum value at the first predetermined location and a minimum value at the second predetermined location.

35

5. The optical element of claim 1, wherein each optical structure comprises a discrete optical formation within the first surface.

6. The optical element of claim 5, wherein the discrete optical formation comprises a formation selected from the group comprising a prism, a line, a square, a dot and an ellipse.

7. The optical element of claim 1, further comprising a lens formed in the first surface and wherein the plurality of optical structures are formed in the lens.

8. The optical element of claim 7, wherein the lens comprises a lens selected from the group of lens consisting of a linear Fresnel lens, a circular Fresnel lens and a lenticular lens.

9. The optical element of claim 1, each optical structure having a substantially similar configuration to each other optical structure.

10. The optical element of claim 1, the optical structures further being uniformly distributed within the pattern.

11. The optical element of claim 1, wherein the plurality of optical structures produce a first optical effect at the first predetermined location and produce a second optical effect, different than the first optical effect, at the second predetermined location.

12. An optical element comprising:

an optically transmissive film, the film having a first surface and a second surface and a first edge and a second edge; and

a plurality of optical structures formed in the first surface, the plurality of optical structures being arranged on the first surface in a predetermined pattern, and wherein each optical structure has at least one characteristic selected from the group consisting of an amplitude, a period



and an aspect ratio, and wherein the characteristic has a first value for a first predetermined location on the film between the first edge and the second edge and the characteristic has a second value, different from the first value, for a second predetermined location on the film, different than the first predetermined location on the film, between the first edge and the second edge;

a lightguide having an input surface and an output surface; and

the film being coupled to one of the input surface and the output surface of the lightguide.

13. The optical element of claim 12, wherein the lightguide comprises one of a wedge, a pseudo-wedge, a slab and a hollow body.

14. The optical element of claim 12, wherein the lightguide operates by frustrated total internal reflection.

15. The optical element of claim 12, wherein the lightguide operates by diffuse extraction.

16. The optical element of claim 12, wherein the characteristic is decreased in intensity from the first predetermined location at the second predetermined location.

17. The optical element of claim 12, wherein the first predetermined location is substantially adjacent the first edge.

18. The optical element of claim 17, wherein the optical structures are configured to provide diffusion.

19. The optical element of claim 12, wherein the characteristic has a maximum value at the first predetermined location and a minimum value at the second predetermined location.

20. The optical element of claim 12, wherein each optical structure comprises a discrete optical formation within the first surface.

21. The optical element of claim 20, wherein the plurality of optical structures are arranged to provide diffuse extraction of light from the light guide.

22. The optical element of claim 12, wherein the optically transmissive film is bonded to the lightguide.

23. The optical element of claim 12, wherein the optically transmissive film is adhesively bonded to the lightguide.

24. An optical element comprising:

a first surface and a second surface and a first edge and a second edge; and

a plurality of optical structures formed in the first surface, the plurality of optical structures being arranged on the first surface in a predetermined pattern, and wherein each optical structure has at least one characteristic selected from the group consisting of an amplitude, a period and an aspect ratio, and wherein the characteristic has a first value for a first predetermined location on the first surface between the first edge and the second edge and the characteristic has a second value, different from the first value, for a second predetermined location on the first surface, different than the first predetermined location on

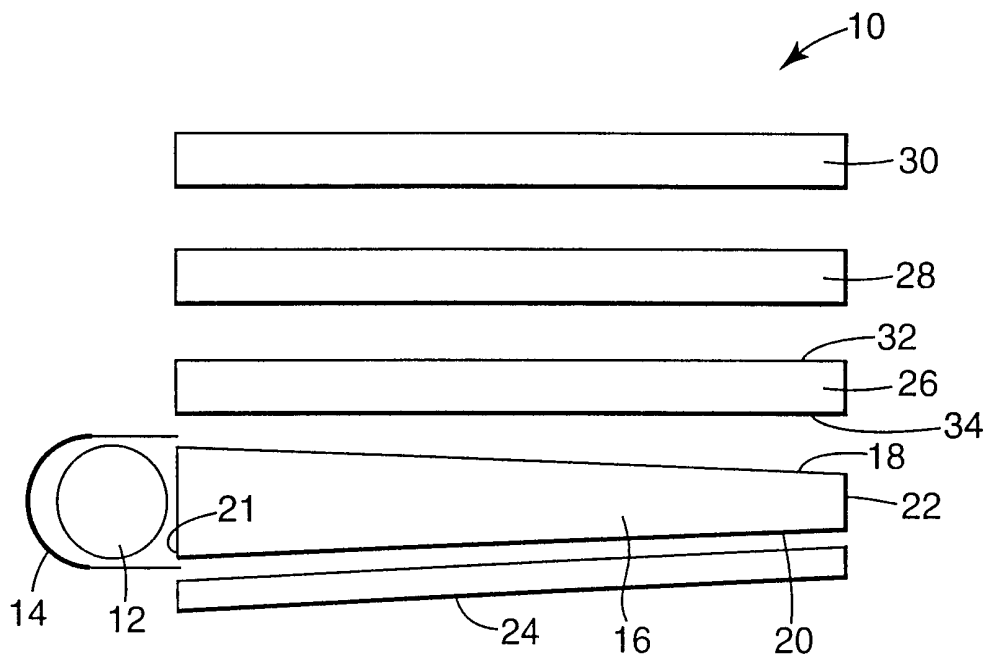
the first surface, between the first edge and the second edge.

25. The optical element of claim 24 comprising an optically transmissive film.

26. The optical element of claim 24 comprising an optically transmissive wedge.

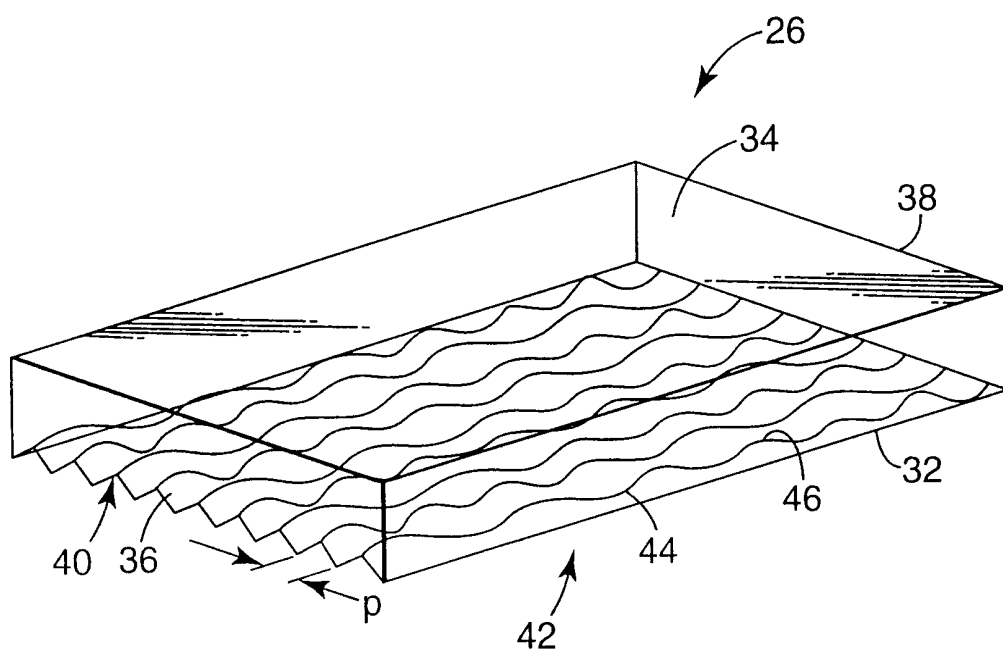
27. The optical element of claim 24, further comprising a plurality of optical structures formed in the second surface, the plurality of optical structures being arranged on the second surface in a predetermined pattern, and wherein each optical structure has at least one characteristic selected from the group consisting of an amplitude, a period and an aspect ratio, and wherein the characteristic has a first value for a first predetermined location on the second surface between the first edge and the second edge and the characteristic has a second value, different from the first value, for a second predetermined location on the second surface, different than the first predetermined location on the second surface, between the first edge and the second edge.

1/6



**Fig. 1**

2/6

**Fig. 2**

3/6

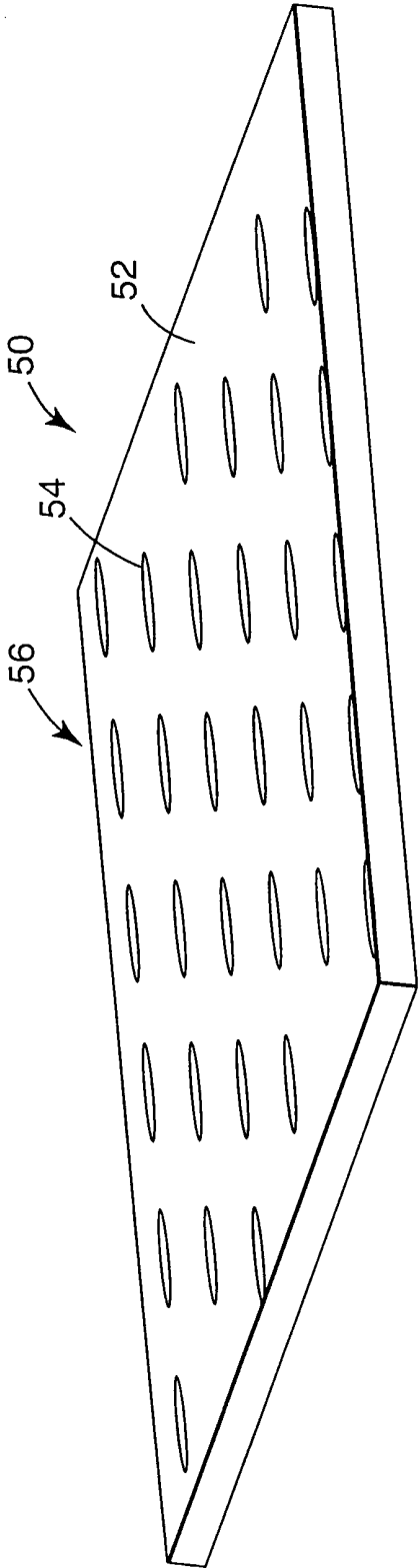
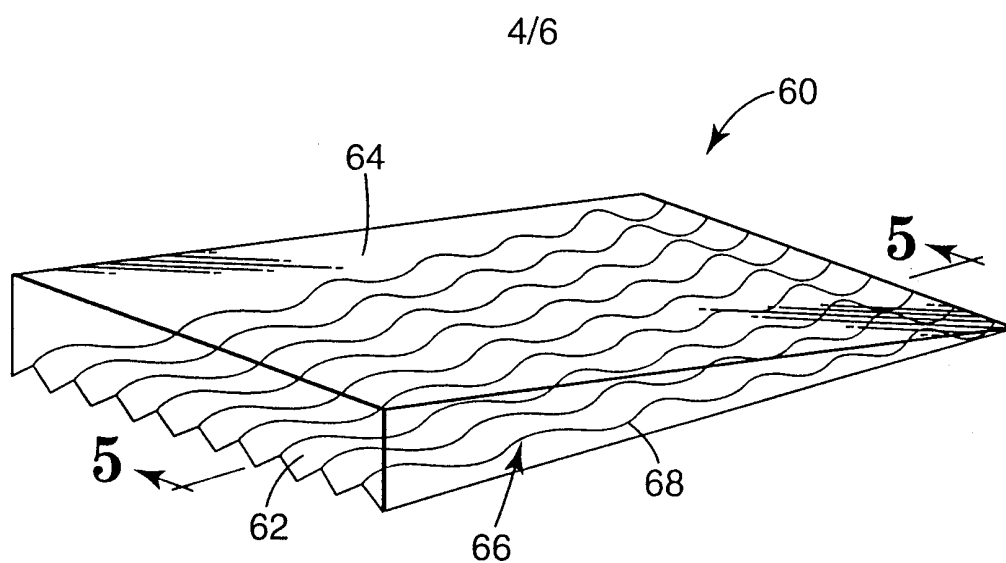
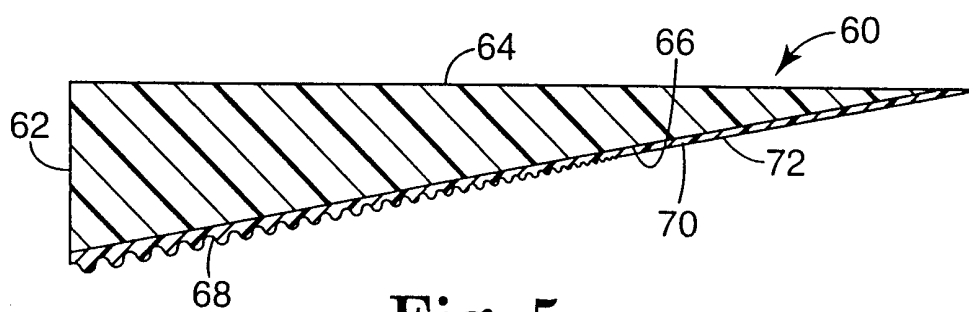
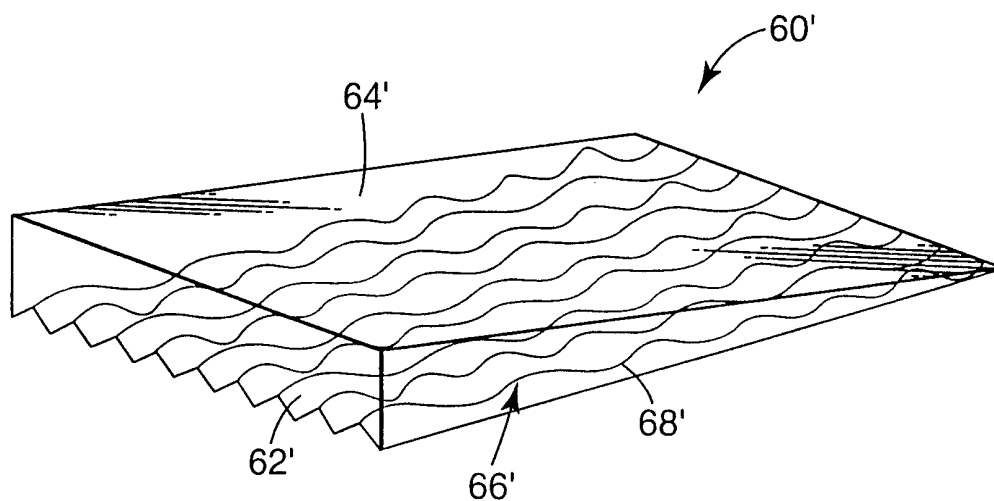
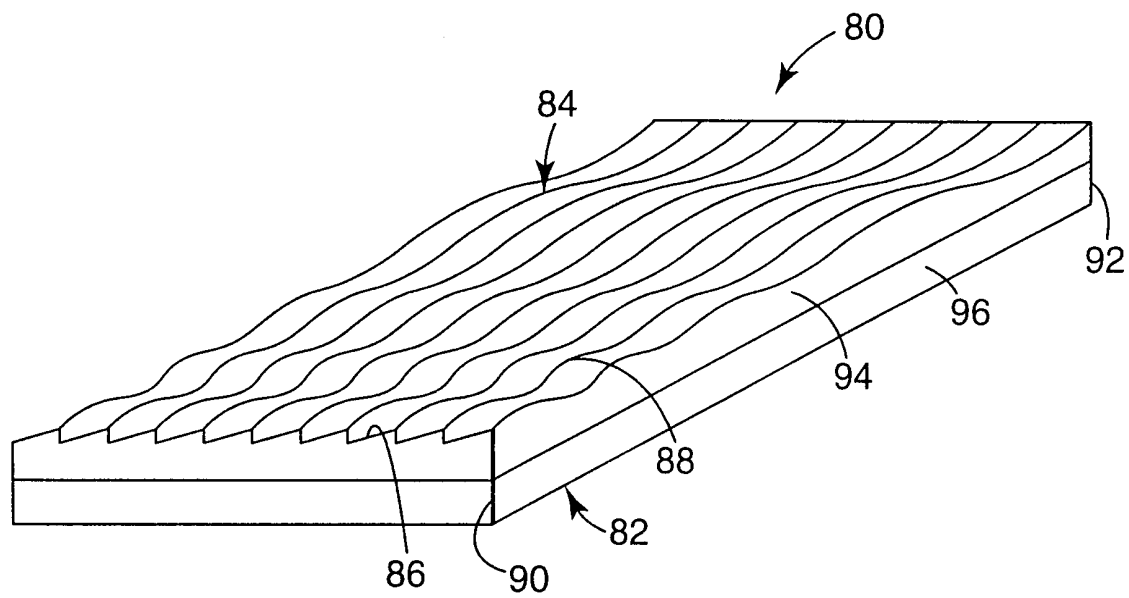


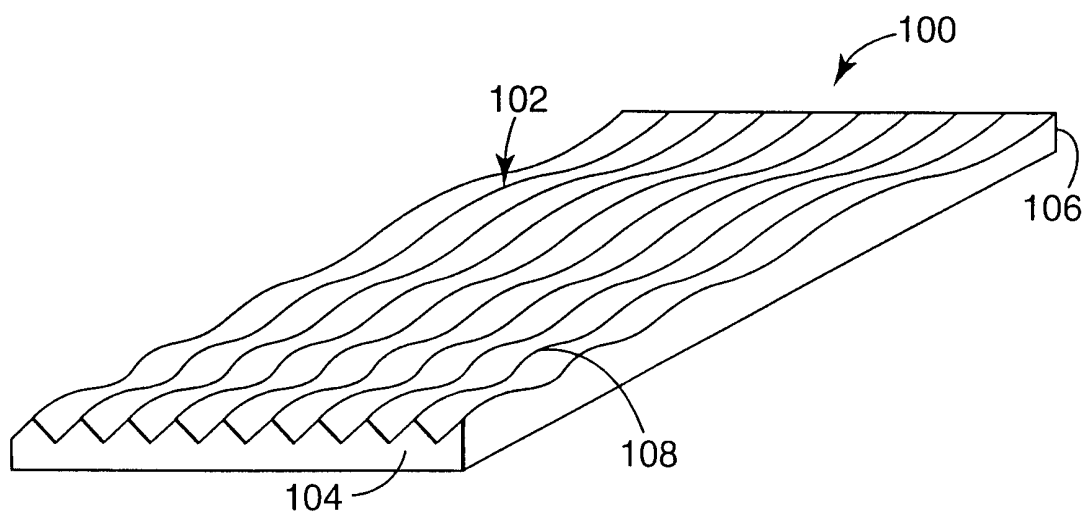
Fig. 3

**Fig. 4****Fig. 5****Fig. 6**

5/6



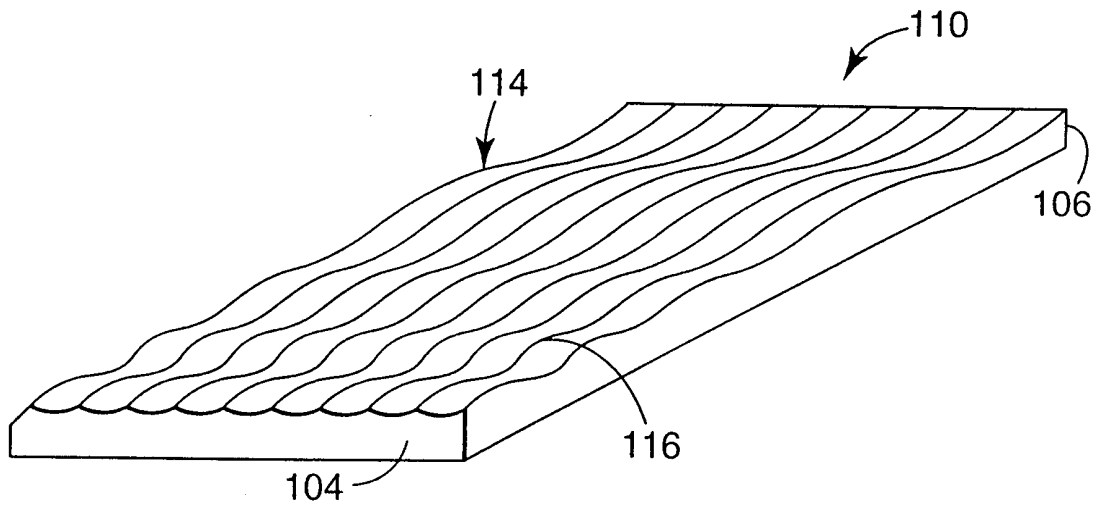
**Fig. 7**



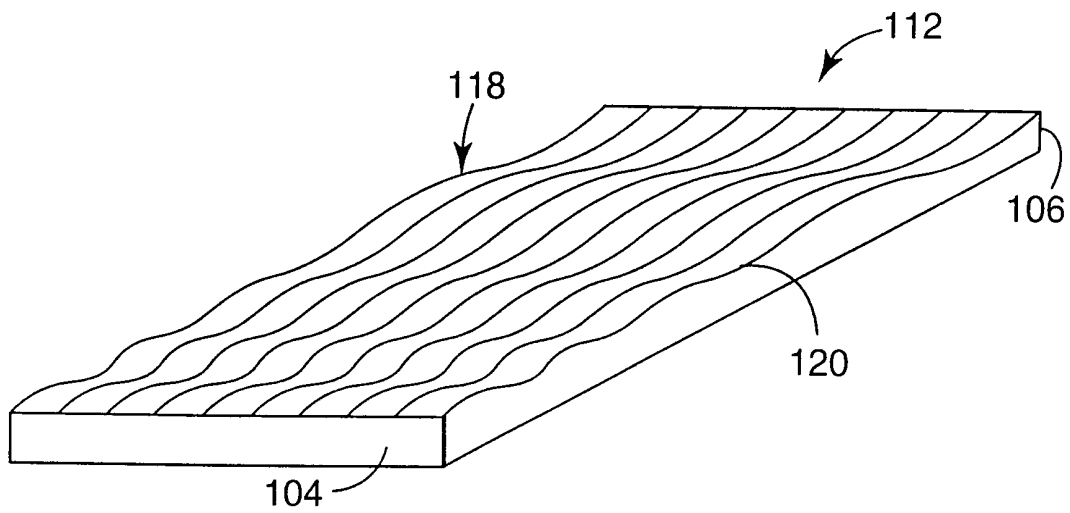
**Fig. 8**



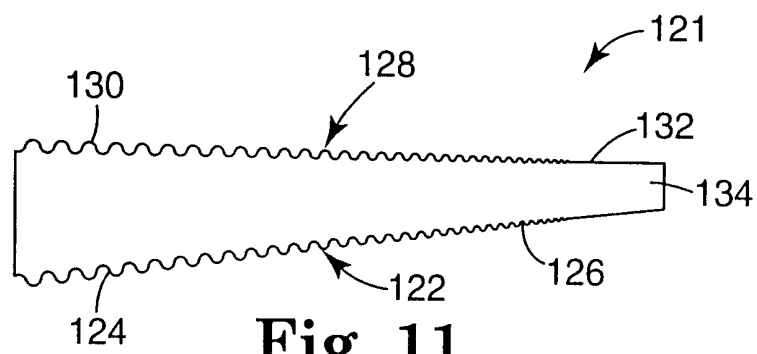
6/6



**Fig. 9**



**Fig. 10**



**Fig. 11**

# INTERNATIONAL SEARCH REPORT

In national Application No

PCT/US 00/24204

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 F21V8/00 G02F1/13357

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 F21V G02F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

WPI Data, PAJ, EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 1997, no. 10, 31 October 1997 (1997-10-31) -& JP 09 145933 A (HITACHI LTD;HITACHI ELECTRON DEVICES KK), 6 June 1997 (1997-06-06) abstract; figures 1,3,5 ---	1-6, 9-21,24, 25
X	EP 0 426 411 A (MINNESOTA MINING & MFG) 8 May 1991 (1991-05-08) column 2, line 15 - line 55 column 3, line 1 - line 55 figures 1-4 --- -/--	1,7,8, 12,24,27

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*G\* document member of the same patent family

Date of the actual completion of the international search

20 February 2001

Date of mailing of the international search report

07/03/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Ward, S

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 00/24204

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 03, 31 March 1999 (1999-03-31) -& JP 10 339815 A (SANYO ELECTRIC CO LTD), 22 December 1998 (1998-12-22)	24, 26
A	abstract	1, 12
X	US 5 997 148 A (OHKAWA SHINGO) 7 December 1999 (1999-12-07)	24
A	figures 1, 4	1-23, 25-27
X	-& JP 10 340611 A (ENPLAS CORP ET AL) 22 December 1998 (1998-12-22)	
X	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 12, 29 October 1999 (1999-10-29) -& JP 11 202135 A (OHTSU TIRE & RUBBER CO LTD:THE), 30 July 1999 (1999-07-30)	24
A	abstract figure 2	1-23, 25-27
X	PATENT ABSTRACTS OF JAPAN vol. 1999, no. 14, 22 December 1999 (1999-12-22) -& JP 11 250714 A (OMRON CORP), 17 September 1999 (1999-09-17)	24
A	abstract; figures	1-23, 25-27
X	PATENT ABSTRACTS OF JAPAN vol. 1995, no. 09, 31 October 1995 (1995-10-31) -& JP 07 159622 A (NIPPON CHEMITEC KK;OTHERS: 01), 23 June 1995 (1995-06-23)	24
A	abstract; figures	1-23, 25-27
X	US 5 921 651 A (ISHIKAWA TSUYOSHI) 13 July 1999 (1999-07-13)	24
A	abstract; figures	1-23, 25-27
X	US 5 775 791 A (YOSHIKAWA YUKIO ET AL) 7 July 1998 (1998-07-07)	24
A	abstract; figures	1-23, 25-27

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 00/24204

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
JP 09145933 A	06-06-1997	NONE	
EP 0426411 A	08-05-1991	US 5040883 A AU 644816 B AU 6481090 A CA 2026834 A DE 69033501 D DE 69033501 T JP 3185404 A JP 2000147216 A KR 150456 B	20-08-1991 23-12-1993 02-05-1991 01-05-1991 11-05-2000 21-12-2000 13-08-1991 26-05-2000 15-10-1998
JP 10339815 A	22-12-1998	US 5999685 A	07-12-1999
US 5997148 A	07-12-1999	JP 10340611 A	22-12-1998
JP 11202135 A	30-07-1999	NONE	
JP 11250714 A	17-09-1999	NONE	
JP 07159622 A	23-06-1995	NONE	
US 5921651 A	13-07-1999	JP 8271893 A	18-10-1996
US 5775791 A	07-07-1998	WO 9506889 A	09-03-1995