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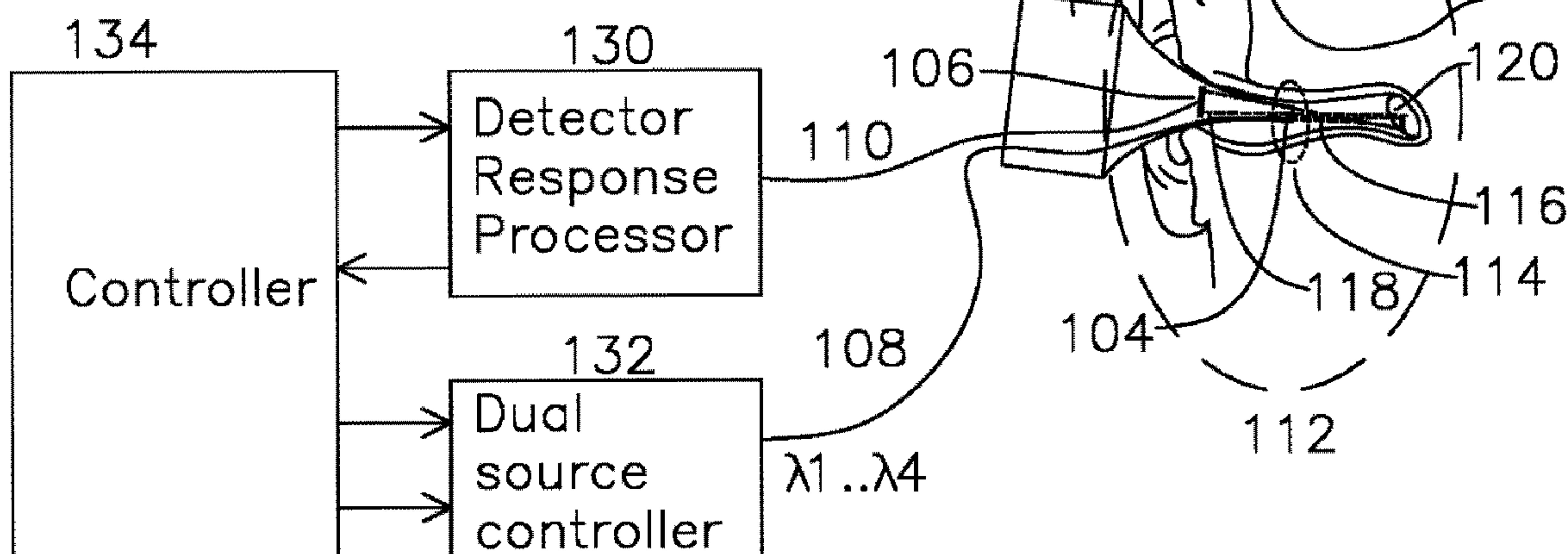
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(54) Titre : OTOSCOPE INFRAROUGE POUR LA CARACTERISATION D'UN EPANCHEMENT

(54) Title: INFRARED OTOSCOPE FOR CHARACTERIZATION OF EFFUSION

*Figure 1*  
IR Spectroscopy system



(57) Abrégé/Abstract:

An otoscope uses differential reflected response of optical energy at an absorption range and an adjacent wavelength range to determine the presence of water (where the wavelengths are water absorption wavelength and an adjacent non-absorption excitation wavelengths). In another example of the invention, the otoscope utilizes OCT in combination with absorption and non-absorption range for bacteria and water.

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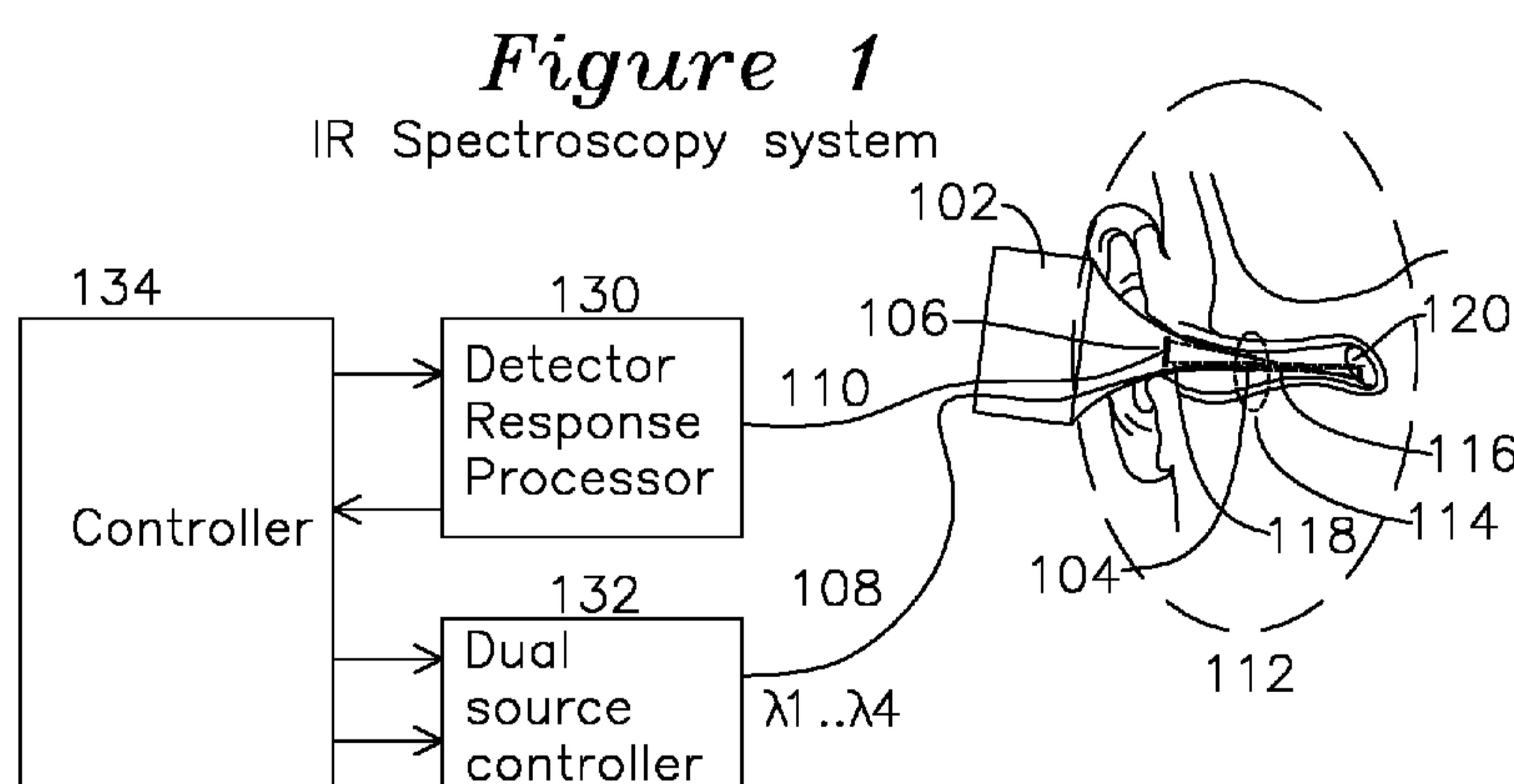
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## (54) Title: INFRARED OTOSCOPE FOR CHARACTERIZATION OF EFFUSION



(57) **Abstract:** An otoscope uses differential reflected response of optical energy at an absorption range and an adjacent wavelength range to determine the presence of water (where the wavelengths are water absorption wavelength and an adjacent non-absorption excitation wavelengths). In another example of the invention, the otoscope utilizes OCT in combination with absorption and non-absorption range for bacteria and water.

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7 Infrared Otoscope for Characterization of Effusion

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9 [0001] Field of the Invention

10 [0002] The present invention relates to an otoscope  
11 for characterization of fluid in an ear. In particular,  
12 the invention relates to the detection of bacteria in a  
13 fluid opposite a membrane using a measurement of optical  
14 properties of the fluid and bacteria using one or more dual  
15 wavelength optical sources and a detector which is  
16 exclusively responsive to a particular source during a  
17 particular time interval.

18

19

20 [0003] Background of the Invention

21 [0004] Acute Otitis Media (AOM) is a common disease of  
22 the inner ear, involving tissue inflammation and fluidic  
23 pressure which impinges on the tympanic membrane. Acute

1 Otitis Media may be caused by a viral infection, which  
2 generally resolves without treatment, or it may be caused  
3 by a bacterial infection, which may progress and cause  
4 hearing loss or other deleterious and irreversible effects.  
5 Unfortunately, it is difficult to distinguish between viral  
6 or bacterial infection using currently available diagnostic  
7 devices, and the treatment methods for the two underlying  
8 infections are quite different. For bacterial infections,  
9 antibiotics are the treatment of choice, whereas for viral  
10 infections, the infection tends to self-resolve, and  
11 antibiotics are not only ineffective, but may result in an  
12 antibiotic resistance which would make them less effective  
13 in treating a subsequent bacterial infection. It is  
14 important to accurately diagnose acute otitis media, as AOM  
15 can be a precursor to chronic otitis media with effusion  
16 (COME), for which surgical drainage of the effusion and  
17 insertion of a tube in the tympanic membrane is indicated.

18 [0005] The definitive diagnostic tool for inner ear  
19 infections is myringotomy, an invasive procedure which  
20 involves incisions into the tympanic membrane, withdrawal  
21 of fluid, and examination of the effusion fluid under a  
22 microscope to identify the infectious agent in the  
23 effusion. Because of complications from this procedure, it

1 is only used in severe cases. This presents a dilemma for  
2 medical practitioners, as the prescription of antibiotics  
3 for a viral infection is believed to be responsible for the  
4 evolution of antibiotic resistance in bacteria, which may  
5 result in more serious consequences later in life, and with  
6 no efficacious treatment outcome, as treatment of viral  
7 infectious agents with antibiotics is ineffective. An  
8 improved diagnostic tool for the diagnosis of acute otitis  
9 media is desired.

10

11 [0006] Objects of the Invention

12 [0007] A first object of the invention is a device for  
13 measurement of infectious agents present in an individual  
14 suspected of suffering from acute otitis media, the device  
15 having a plurality of optical sources, each optical source  
16 operative at a unique wavelength or range of wavelengths,  
17 each optical source operative within a particular range of  
18 wavelengths for an interval of time which is exclusive from  
19 the interval of time when optical sources at other  
20 wavelengths are operative, the device having a detector for  
21 measurement of reflected optical energy, the detector  
22 measuring a ratio of detected optical energy at a first

1 wavelength to detected optical energy at a second or third  
2 wavelength, thereafter forming a ratio metric value as a  
3 proxy for estimated bacterial load.

4 [0008] A second object of the invention is a method  
5 for determination of bacterial concentration by  
6 successively illuminating a first surface of a membrane  
7 using a first and second wavelength at exclusive time  
8 intervals, measuring the reflected optical energy from the  
9 opposite surface of the membrane during each associated  
10 interval, forming a ratio of the first wavelength and  
11 second wavelength detector responses from the associated  
12 illumination events, each illumination event at a unique  
13 wavelength or range of wavelengths, where at least one of  
14 the illumination wavelengths corresponds to a bacterial  
15 absorption band, and another of the illumination  
16 wavelengths is in a wavelength with non-absorption or non-  
17 scattering characteristic for a bacterial colony or group  
18 of dispersed bacterium.

19 [0009] A third object of the invention is a speculum  
20 tip for insertion into an ear canal, one or more pairs of  
21 optical sources, each optical source coupling an optical  
22 output through the speculum tip, each optical source  
23 operative in a unique wavelength or range of wavelengths,

1 each pair of optical sources generating a first optical  
2 output at a first wavelength selected for reflective  
3 attenuation for either watery fluid or bacteria, and also  
4 generating a second wavelength selected for comparative  
5 non-attenuation reflection for either watery fluid or  
6 bacteria, the second wavelength operative near the first  
7 wavelength, where reflected optical energy from the  
8 tympanic membrane is directed to a detector responsive to  
9 each optical source wavelength for optical energy reflected  
10 into the speculum tip, the detector coupled to a controller  
11 measuring a ratio of detector response from said first and  
12 said second wavelength, thereby forming a metric indicating  
13 the presence of bacteria and/or watery fluid from the  
14 detector response ratio associated with each pair of  
15 emitters.

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18

19 [00010] Summary of the Invention

20 [00011] In a first example of the invention, a  
21 controller enables one of a first plurality of optical  
22 sources, or alternatively a single first optical source at  
23 a wavelength for bacterial absorption, and one of a second

1 plurality of optical sources, or alternatively a second  
2 optical source operative at an adjacent wavelength which is  
3 non-absorptive for bacteria, an optional third source  
4 operative at a wavelength absorptive for watery fluid and  
5 an optional fourth source operative at an adjacent non-  
6 absorptive wavelength for watery fluid, each optical source  
7 or sources optionally operative at alternating or exclusive  
8 intervals of time. Each wavelength source is optically  
9 coupled through a tapered speculum which is inserted into  
10 the ear canal of a subject to be examined. The optical  
11 beam from each optical source may be carried as a directed  
12 beam, or the optical beam may be carried in an annular  
13 light guide or light pipe which surrounds the speculum, the  
14 optical energy from the illumination configuration  
15 impinging onto a front (distal) surface of a tympanic  
16 membrane, the tympanic membrane having a bacterial film or  
17 bacterial fluid on an opposite (proximal) surface of the  
18 tympanic membrane to be characterized. Reflected optical  
19 energy is coupled into the speculum tip to a single  
20 detector having a first wavelength response for energy  
21 reflected from the first source and a second wavelength  
22 response for energy reflected from the second wavelength  
23 source, or to separate detectors which are operative in

1 each optical wavelength range of a respective optical  
2 source. The first wavelength response and second  
3 wavelength response are averaged over the associated  
4 interval the respective optical source is enabled to form  
5 an average measurement for each first wavelength response  
6 and each second wavelength response, and a ratio is formed  
7 from the two measurements. A first wavelength is in an  
8 absorption or scattering range of wavelengths for a  
9 bacterium to be characterized, and a second of the  
10 wavelengths is adjacent to the first wavelength and outside  
11 of the bacterial scattering or absorption wavelength. The  
12 response ratio for the first and second wavelength is  
13 applied to a polynomial or to a look-up table which  
14 provides an estimate of bacterial load from the ratio of  
15 power in the first wavelength to the power in the second  
16 wavelength, optionally compensating for the wavelength  
17 specific attenuation when absorptive or scattering fluid is  
18 not present, for example by using a stored wavelength  
19 scaling coefficient which compensates for scattering alone.  
20 A similar ratio for the detector responses associated with  
21 the third and fourth wavelength sources which are in  
22 adjacent absorptive and non-absorptive wavelengths,  
23 respectively, for water may be formed as well.

1 [0012] In a second example of the invention providing  
2 axial extent specificity over the region of measurement,  
3 the first and second wavelength sources are selected as  
4 adjacent wavelengths for absorption response and non-  
5 absorption response for bacteria, and also have a short  
6 coherence length, with the optical output of each source  
7 directed to the proximal surface of the tympanic membrane  
8 and middle ear to be characterized after splitting the  
9 optical energy into a measurement path and a reference  
10 path. The measurement path directs optical energy to the  
11 fluid to be characterized having a length equal to the  
12 reference path, the reflected optical energy from the  
13 measured path and reflected path are combined, thereby  
14 forming a coherent response over a narrow depth range,  
15 which is set to include the proximal surface of the  
16 tympanic membrane and middle ear region to be  
17 characterized. The first wavelength source and second  
18 wavelength source are enabled during exclusive intervals of  
19 time, and the combined measurement path and reference path  
20 optical energy directed to a detector response to the  
21 associated wavelengths. The first wavelength detector  
22 response and second wavelength detector response form a  
23 ratio which is used as a bacterial load metric, the ratio

1 metric acting as a proxy for detection of the presence of  
2 bacteria. The third and fourth wavelengths are selected as  
3 in the first example to be adjacent but comparatively  
4 scattering and non-scattering for watery fluid, and used to  
5 form a second ratio which acts as a proxy for detection of  
6 watery fluid in the selected axial extent.

7 [0013] For the first or second example, by combining  
8 the second metric (presence of watery fluid) with the first  
9 metric (presence of bacteria), a more complete survey of  
10 the scope of acute otitis media may be determined.

11

12

13 [0014] Brief Description of the Drawings

14 [0015] Figure 1 shows a block diagram of an infrared  
15 spectroscopy system for making measurements of a tympanic  
16 membrane.

17 [0016] Figure 2 shows a detail view of a speculum tip  
18 and optical components with respect to a tympanic membrane.

19 [0017] Figure 3 shows a plot of scattered IR spectral  
20 response vs wavelength from a tympanic membrane.

1 [0018] Figure 4 shows a plot of waveforms for  
2 measurement of reflected optical energy from a first and  
3 second optical source.

4 [0019] Figure 5 shows a block diagram of an OCT  
5 measurement system for dual wavelength measurements.

6 [0020] Figures 6A and 6B shows a block diagram for a  
7 multi-wavelength detector.

8 [0021] Figures 7A, 7B, 7C, 7D, 7E, and 7F show  
9 waveform plots for a normal tympanic membrane.

10 [00022] Figures 8A, 8B, 8C, 8D, 8E, and 8F show  
11 waveform plots for viral effusion in a tympanic membrane.

12 [00023] Figures 9A, 9B, 9C, 9D, 9E, and 9F show  
13 waveform plots for bacterial effusion in a tympanic  
14 membrane.

15 [0024] Figure 10 shows a block diagram of an optical  
16 fiber based OCT system for dual wavelength in-fiber dual  
17 spectroscopy.

18

19 [0025] Detailed Description of the Invention

20 [0026] Figure 1 shows a block diagram for an infrared  
21 (IR) spectroscopy system with an expanded view of the

1 speculum tip in figure 2. A controller 134 is coupled to a  
2 detector response processor 130 and dual source controller  
3 132. The dual source controller 132 enables and provides  
4 power to a first optical source (not shown) at a first  
5 wavelength  $\lambda_1$  and a second wavelength source (not shown) at  
6 a second wavelength  $\lambda_2$  during alternating intervals. The  
7 optical energy from the sources is directed through a  
8 speculum tip 102 and onto the front (distal) surface of a  
9 tympanic membrane 120 to be characterized, with the  
10 speculum tip 120 minimizing the reflected optical energy  
11 from inside the speculum tip 120 to the detector 106  
12 through paths other than those which first reflect from the  
13 tympanic membrane 120. The reflected optical energy is  
14 sensed by an optical detector 106 and provided to image  
15 processor 130, which compares the reflected optical energy  
16 at a first wavelength to reflected optical energy at a  
17 second wavelength, and forms a metric such as ratio of  
18 reflected optical power measured at the detector in each  
19 wavelength  $\frac{\lambda_1refl}{\lambda_2refl}$ . The wavelength metric may be used to  
20 estimate the likelihood of presence of bacteria or  
21 bacterial load in the inner ear fluid on the opposite  
22 (proximal) surface of the tympanic membrane 120.

1 [0027] Figure 2 shows an example detailed view of IR  
2 speculum tip 102 with respect to other elements of an  
3 example embodiment. For bacterial measurement, first  
4 wavelength  $\lambda_1$  and adjacent second wavelength  $\lambda_2$  optical  
5 energy 212 may be coupled to the speculum tip 102 in any  
6 known manner which then couples to an annular light pipe,  
7 such as with a plurality of optical fibers positioned  
8 around the circumference of speculum tip 102, thereby  
9 coupling optical energy 200 to tympanic membrane 120 and to  
10 fluid 204 which may be on the proximal side of tympanic  
11 membrane 120, but without directly coupling to detector 106  
12 until after reflection from tympanic membrane 120 and any  
13 fluid 204 which may lie opposite the tympanic membrane 120  
14 distal surface which is facing the speculum tip 102. It  
15 may be additionally advantageous to add structure which  
16 exclude optical energy from sources other than tympanic  
17 membrane reflection. Reflected optical energy, which  
18 includes responses from tympanic membrane 120 and any fluid  
19 204 which may be present, is focused by lens 206 into a  
20 dual range wavelength detector 106. In one example  
21 embodiment, the inner surfaces of speculum tip 212 are  
22 reflective and no lens or focusing mechanism 206 is present  
23 to guide unfocused reflected light to detector 106. Where

1 a lens 206 is not present, the detector 106 is responsive  
2 to optical energy traveling directly from the tympanic  
3 membrane, as well as optical energy which has reflected  
4 from the inner reflective surface of the speculum tip 212.  
5 In this embodiment, identification of the selection region  
6 may be accomplished using a laser pointer (not shown) or  
7 other optical viewing system. The laser pointer emitter  
8 may optionally be disabled during measurement intervals to  
9 avoid contributing unwanted detector response from the  
10 laser pointer scattered reflection. A similar set of  
11 third and fourth wavelengths may be used to measure water  
12 content with adjacent wavelengths in absorption and non-  
13 absorption wavelengths. In another example embodiment, lens  
14 system 206 is present with the detector 106 having a small  
15 extent and comparatively small number of pixels and  
16 positioned at focal point 207, or alternatively it may be  
17 placed at an image plane as shown in figure 2 with a large  
18 number of pixels, such as 50x50 or 100x100, or a resolution  
19 which is governed by the pixel pitch and available inner  
20 diameter of speculum 102 at the image or focal plane.

21 [0028] Figure 3 shows a spectral response for energy  
22 reflected from a tympanic membrane with and without  
23 bacterial/watery fluid. The reflection characteristic has

1 a characteristic  $\frac{1}{f}$  absorption falloff associated with  
2 Rayleigh scattering, whereby longer wavelengths have fewer  
3 scattering interactions and lower absorption than shorter  
4 wavelengths. The absorption plot 302 is generally  
5 reciprocal with increasing wavelength, however bacteria  
6 having a physical length which interacts with optical  
7 energy at an associated wavelength, such as the range 309  
8 which has a greater absorption 312,314 for various  
9 bacterium in region 309 of the plot for bacterial fluid  
10 compared to non-bacterial fluid in response plot 302.  
11 Particular bacteria which are absorptive in range 309  
12 include Haemophilus Influenzae, Moraxella Catarrhalis, and  
13 Streptococcus Pneumoniae. Similarly, an elevated  
14 absorption peak 306 is found associated with water  
15 absorption in a different range of wavelengths. In the  
16 present invention, the detector is responsive to reflected  
17 optical energy in a first wavelength range 309 such as  
18 1050nm to 1150nm which provides for a decreased response at  
19 the detector due to bacterial scattering, and the detector  
20 uses absorption in an adjacent wavelength 322 such as  
21 1000nm or the visible optical range 308 of 400 to 800nm,  
22 which may also be used as a fifth wavelength  $\lambda_5$  for pointing  
23 and illuminating the region of examination used for forming

1 the  $\lambda_1$  and  $\lambda_2$  or  $\lambda_3$  and  $\lambda_4$  metric ratios. In this case,  $\lambda_5$   
2 may be in a visible range or detection wavelength range for  
3 a 2D detector 106, with the  $\lambda_5$  source having a narrow  
4 dispersion laser (not shown) for illuminating the region of  
5 examination and indicating a landmark region such as the  
6 "cone of light" of the tympanic membrane for locating the  
7 measurement region.

8 [0029] In an illustrative example, Figure 3 326 shows  
9 a first wavelength with an increased absorption when  
10 bacteria is present (region 309) compared to second  
11 wavelength 322 which is unaffected by the presence of  
12 bacteria, and third wavelength 326 has greater absorption  
13 when watery fluid is present compared to fourth wavelength  
14 324 which is adjacent to the absorptive wavelength for  
15 watery fluid. These examples are given for illustrative  
16 purposes, wavelengths for absorption by bacteria or water  
17 may vary from those shown in the example of figure 3. In  
18 the context of the present specification, wavelength  
19 specific absorption may also be referred to as scattering  
20 or reflective attenuation. In one example of the  
21 invention, a first wavelength operative for increased  
22 absorption or scattering in the presence of bacteria is in  
23 the range 1050nm to 1150nm, and an adjacent wavelength is

1 one below 1050nm or above 1150nm. In another example of  
2 the invention, a third wavelength operative for increased  
3 absorption or scattering in the presence of watery fluid is  
4 the range 310 from 1450nm to 1600nm, and a fourth  
5 wavelength which is adjacent to the third wavelength is  
6 below 1450nm or above 1600nm.

7 [0030] Figure 4 shows a plot of waveforms for  
8 operation of the device of figures 1 and 2, which uses two  
9 optical sources such as  $\lambda_1$  and  $\lambda_2$ , although the commutation  
10 (also known as time multiplexing) for four wavelengths may  
11 be done in any order. A first wavelength  $\lambda_1$  optical source  
12 402 is commutated on during intervals 408, 416, and 424 and  
13 off during exclusive intervals 412, 420 when the second  
14 wavelength  $\lambda_2$  optical source is enabled. Intermediate gaps  
15 410, 414, 418, 422 may be used for ambient light  
16 corrections at the detector, which may be used to estimate  
17 an ambient light and detector offset value, and thereafter  
18 subtracted from the detector response during intervals 408,  
19 416, 424 of  $\lambda_1$ , and intervals 412 and 420 of  $\lambda_2$ . The  
20 detector response 406 includes detector noise, which may be  
21 averaged over the measurement interval 408, 416, 424 for  
22 the first wavelength  $\lambda_1$ , or 412, 420 for the second

1 wavelength  $\lambda_2$ . In one example of the invention extended  
2 from the one shown in figure 4,  $\lambda_1$  is a wavelength of  
3 increased bacterial absorption,  $\lambda_2$  is a nearby reference  
4 wavelength which is outside the bacterial absorption  
5 wavelength of  $\lambda_1$ ,  $\lambda_3$  is a wavelength for water absorption,  
6  $\lambda_4$  is a wavelength near to  $\lambda_3$  but not affected by water  
7 absorption, and  $\lambda_5$  is an optical wavelength for  
8 visualization, each wavelength  $\lambda_1$  and  $\lambda_2$  are commutated on  
9 during exclusive intervals as waveforms 402 and 404 of  
10 figure 4 for forming a bacterial metric  $\frac{\lambda_{1refl}}{\lambda_{2refl}}$ , optionally  
11 after which each wavelength  $\lambda_3$  and  $\lambda_4$  are commutated during  
12 exclusive intervals 402 and 404 to form fluid metric  $\frac{\lambda_{3refl}}{\lambda_{4refl}}$ .  
13 Each corresponding metric may then be compared with a  
14 threshold for each metric to arrive at an estimated  
15 likelihood of presence of fluid or presence of bacteria. In  
16 one example of the invention, the respective bacterial or  
17 water fluid detector wavelength responses may be corrected  
18 for wavelength-specific attenuation or scattering (in the  
19 absence of watery fluid or bacteria) so that each pair of  
20 wavelengths (pathogen specific and adjacent) provide a

1 unity metric ratio (  $\frac{\lambda_{1refl}}{\lambda_{2refl}}$  or  $\frac{\lambda_{3refl}}{\lambda_{4refl}}$  ) when bacteria or watery  
2 fluid, respectively, are not present.

3 [0031] Figure 5 shows a block diagram for an optical  
4 coherence tomography (OCT) characterization system, which  
5 has the benefit of narrow depth of axial specificity, which  
6 allows the response being measured to be restricted to a  
7 particular axial depth and range of depth, such as the  
8 proximal surface of the tympanic membrane and middle ear  
9 region. A low coherence source 514 having a plurality of  
10 wavelength range outputs includes a first wavelength  $\lambda_1$  and  
11 a second wavelength  $\lambda_2$  which are directed along path 518 to  
12 first splitter 516, and thereafter to second splitter 526.  
13 Half of the optical energy is thereafter directed to the  
14 measurement optical path 528, and half to mirror 512 and  
15 movable reflector 508, which adjusts the length of the  
16 reference path to be equal to the measurement path length  
17 which includes the proximal surface of the tympanic  
18 membrane and middle ear region. The optical energy returned  
19 from the reflector 508 and returned from tympanic membrane  
20 532 combine at second splitter 526, and the summed optical  
21 energy continues to first splitter 516 and thereafter to  
22 mirror 524 and detector 520. Where the reference optical

1 path (optical distance from splitter 526 to reflector 508)  
2 is exactly the same length as measurement optical path  
3 (from second splitter 526 to tympanic membrane 532), the  
4 coherently summed reference optical energy and reflected  
5 optical energy is directed, in sequence, to second splitter  
6 526, first splitter 516, mirror 524, and to detector 520.  
7 The short coherence length of source 514 provides depth  
8 specificity, which allows measurement of bacterial  
9 response, typically with specificity of less than an  
10 optical wavelength in depth on the proximal side of  
11 tympanic membrane 532. Schematic figure 5 is shown for  
12 illustration only, other configurations of optical mirrors  
13 and splitters may be used.

14 [0032] Figure 6A shows a first example of a multi-  
15 wavelength detector 520A, where a first wavelength  $\lambda_1$   
16 detector 602 is responsive to  $\lambda_1$  and transparent for second  
17 wavelength  $\lambda_2$  associated with second detector 604. By  
18 bonding a first detector 602 and second detector 604  
19 together using an optically transparent adhesive, the  
20 front-facing detector 602 is transparent for the optical  
21 energy  $\lambda_2$  of the detector 604 behind it. This construction  
22 of the detector 602/604 may require commutation of the

1 various optical sources as was described in figure 4,  
2 particularly where one of the detectors has an out-of-band  
3 response to adjacent wavelength optical energy used for a  
4 different measurement, such as water vs bacterial  
5 absorption.

6 [0033] Figure 6B shows another embodiment of a multi-  
7 wavelength detector 520A, which utilizes a diffraction  
8 grating 608 to separate the various wavelengths  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ ,  
9  $\lambda_4$ , etc. to detector 606 for spatial isolation of each  
10 wavelength. Because the various wavelengths are spatially  
11 separated, this configuration of detector may permit the  
12 four optical sources to be operated continuously and  
13 simultaneously, as they are inherently non-interfering  
14 because of the spatial separation by wavelength not present  
15 in the detector configuration of figure 6A. Dark current  
16 detector response (the detector response in the absence of  
17 optical energy used to establish a baseline response level  
18 which is subtracted from a reading when optical energy is  
19 present) may be made before or after the optical sources  
20 are enabled.

21 [0034] Figures 7A, 7B, 7C, 7D, 7E, and 7F show  
22 associated waveforms for positional drive 701 and 703,

1 which modulate the axial position of reflector 508 of  
2 figure 5, where the position "0" corresponds to position  
3 536b of figure 5, the position "-0.5" indicates position  
4 536a, "+0.5" indicates position 536c, and "+1.0" indicates  
5 position 536d.

6 [0035] For the attenuation plot of figure 3, and using  
7  $\lambda_1$  at an exemplar maximum viral attenuation wavelength of  
8 1100nm and  $\lambda_2$  at an exemplar adjacent wavelength 1000nm, and  
9  $\lambda_3$  at an exemplar water absorption wavelength of 1500nm and  
10  $\lambda_4$  at an exemplar nearby wavelength of 1400nm which is  
11 outside the water absorption wavelength, it is possible to  
12 compare the relative responses of  $\lambda_1$  with  $\lambda_2$ , and  $\lambda_3$  with  $\lambda_4$   
13 to determine the three conditions of clinical interest:  
14 absence of watery fluid, presence of effusion fluid without  
15 bacteria, and presence of effusion fluid with bacteria, as  
16 is desired for subjects suffering from ear discomfort. The  
17 apparatus and method thereby providing a diagnostic tool  
18 for viral vs bacterial infection, as well as determining  
19 that no fluid is present proximal to the tympanic membrane.

20 [0036] Figures 7A and 7D are plots of axial position  
21 for the reflector 508 of figure 5, figures 7B and 7C show  
22 the  $\lambda_1$  and  $\lambda_2$  responses, respectively, which are

1 differential for bacteria, and figures 7E and 7F show the  $\lambda$ 3  
2 and  $\lambda$ 4 responses, respectively, which are differential for  
3 presence of watery fluid. The waveforms 702, 740, 703, and  
4 741 show equal amplitude detector responses 714 and 750  
5 where no fluid is present proximal to the tympanic  
6 membrane. Responses 706, 744, 718, and 754 are minimal  
7 coherent reflections due to patches of ear wax, ear  
8 follicles, or other minor structures distal to the tympanic  
9 membrane, and responses 712, 713, 722, and 758 are the  
10 respective detector responses for  $\lambda$ 1 through  $\lambda$ 4,  
11 respectively at the tympanic membrane. The short duration  
12 of the responses 708, 748, 721, and 757 at position +0.5  
13 near the tympanic membrane also indicates that only the  
14 tympanic membrane is providing return signal, and only over  
15 the short duration of coherent reflection from the tympanic  
16 membrane. As minimal differential attenuation is present  
17 which is specific to wavelength, the response amplitudes  
18 714, 750, 724, and 756 are all equivalent amplitude.

19 [0037] Figures 8A and 8D similarly show a plot of  
20 reflector position 801 and 803, respectively, corresponding  
21 to the region of coherence about the tympanic membrane, as  
22 was described for figures 7A and 7D. The plots of figure

1 8B and 8C show the OCT responses from viral (watery) fluid  
2 proximal to the tympanic membrane. The responses 806, 844,  
3 818, and 854 distal to the tympanic membrane are minimal,  
4 as before. The tympanic membrane responses and proximal  
5 responses 812, 841, 822, and 858 have an extended duration  
6 of response associated with the fluid boundary proximal to  
7 the tympanic membrane, and include a longer time extent 808  
8 and 848 of response, related to the spatially expanded  
9 response from fluid adjacent to the tympanic membrane,  
10 compared to the narrow tympanic membrane detector response  
11 such as 712 of figure 7. The peak amplitude detector  
12 responses 814 ( $\lambda_1$ ) and 850 ( $\lambda_2$ ) are similar in amplitude,  
13 whereas the peak response 824 ( $\lambda_3$ ) is reduced compared to  
14 856 ( $\lambda_4$ ) because of the differential absorption of water at  
15  $\lambda_3$  compared to  $\lambda_4$ .

16 [0038] Figures 9A and 9D show the reflector position  
17 plots with responses of figures 9B, 9C, 9E, and 9F for  
18 bacterial effusion proximal to the tympanic membrane. The  
19 amplitude 914 of OCT detector response 912 to  $\lambda_1$  is reduced  
20 compared to the detector amplitude response 947 at  $\lambda_2$ , which  
21 is not as absorptive for bacteria. The extent of OCT  
22 response 908 and 948 is lengthened, as before, due to the

1 bacterial concentration which may be adjacent to the  
2 tympanic membrane. The water attenuation of  $\lambda_3$  compared to  
3  $\lambda_4$  is shown in plots 903 and 941, with responses 922  
4 attenuated at amplitude 924 compared to plot 958 at greater  
5 amplitude 956.

6 [0039] As described in the previous response plots,  
7 the ratio of reflected signal  $\lambda_1/\lambda_2$  may be used to estimate  
8 bacterial concentration, and the ratio of reflected signal  
9  $\lambda_3/\lambda_4$  may be used to estimate fluid presence adjacent to the  
10 tympanic membrane, and the ratio may compensate for lower  
11 amplitude response from shorter wavelengths (having more  
12 Rayleigh scattering) of each pair of wavelengths such that  
13 the ratio is normalized to 1 for the absence of either  
14 bacteria or watery fluid in each respective ratio.

15 [0040] Figure 10 shows a fiber optic architecture for  
16 performing OCT to form a differential measurements  
17 previously described. Low coherence source 1002 generates  
18  $\lambda_1, \lambda_2, \lambda_3, \lambda_4$  in a commutated sequence (for detector 1022  
19 of figure 6A, or concurrently for the detector of figure  
20 6B), which is applied to first splitter 1006, the low  
21 coherence source being coupled to optical fiber 1008 and to  
22 second splitter 1010, half of the optical source power

1 directed thereafter to optical fiber 1012 and lens 1013,  
2 which directs the beam through the speculum tip (not  
3 shown), to tympanic membrane 1051, with reflections from  
4 the tympanic membrane and adjacent structures directed back  
5 along L<sub>meas</sub> path to lens 1013, optical fiber 1012, and back  
6 to second splitter 1010. The other half of the power  
7 traveling from the source 1002 through splitter 1004 to  
8 second splitter 1010 is directed to reference path 1017  
9 with length L<sub>ref</sub> terminating in a polished fiber end 1019,  
10 which reflects optical energy in a counter-propagating  
11 direction and back to second splitter 1010. The reference  
12 path length L<sub>ref</sub> is equal to the total measurement length  
13 from second splitter 1010 to the tympanic membrane 1050.  
14 By adjusting L<sub>ref</sub> using the PZT modulator 1014 which  
15 changes the length of the optical fiber by stretching it  
16 longitudinally, the region of optical coherence can be  
17 modulated axially about the tympanic membrane.

18 [0041] The foregoing is a description of preferred  
19 embodiments of the invention. It is understood that  
20 various substitutions can be made without limitation to the  
21 scope of the invention. For example, other wavelengths may  
22 be preferable for bacterial absorption or water absorption  
23 than those specified.

1 We claim:

2 1) A device for characterization of a liquid which is  
3 either watery fluid or bacteria adjacent to a tympanic  
4 membrane, the device comprising:

5 a speculum tip for insertion into an ear canal;  
6 one or more pairs of optical sources, each optical  
7 source of a pair coupling an optical output through the  
8 speculum tip, each optical source of a pair operative in a  
9 unique wavelength or range of wavelengths, each pair of  
10 optical sources generating a first optical output at a  
11 first wavelength selected for reflective attenuation for  
12 either watery fluid or bacteria, and also generating a  
13 second wavelength selected for comparative non-attenuation  
14 reflection for either watery fluid or bacteria, the second  
15 wavelength operative near the first wavelength;

16 a detector responsive to each optical source  
17 wavelength for optical energy reflected into the speculum  
18 tip;

19 a controller measuring a ratio of detector response  
20 from said first and said second wavelength of each said  
21 optical source pair;

22 the controller forming a metric indicating the  
23 presence of bacteria or watery fluid from a detector ratio

1 associated with the detector response for each optical  
2 source wavelength pair.

3

4 2) The device of claim 1 where the optical detector  
5 comprises a first detector responsive to a first wavelength  
6 and transparent to a second wavelength positioned in front  
7 of a second detector responsive to a second wavelength.

8

9 3) The device of claim 1 where the optical detector  
10 comprises a diffraction grating for separating wavelengths  
11 which are applied to a first detector placed with an edge  
12 adjacent to a second detector.

13

14 4) The device of claim 1 where said first wavelength  
15 is in the range 1050nm to 1150nm, and the second wavelength  
16 is below 1050nm.

17

18 5) The device of claim 2 where, for each pair of  
19 optical sources, said optical source first wavelength and  
20 said optical source second wavelength are operative at  
21 exclusive intervals of time.

22

1           6) The device of claim 3 where, for each pair of  
2 optical sources, said optical source first wavelength and  
3 said optical source second wavelength are operative  
4 concurrently.

5

6           7) The device of claim 1 where, for each pair of  
7 optical sources, said optical source first wavelength and  
8 said optical source second wavelength are coupled through  
9 an annular light guide formed by the speculum tip.

10

11           8) The device of claim 1 where optical energy  
12 reflected into the speculum tip is focused onto said  
13 detector using one or more lenses.

14

15           9) The device of claim 1 where optical energy  
16 reflected into the speculum tip is guided onto said  
17 detector using reflective coatings inside the speculum tip.

18

19           10) The device of claim 1 where, for each pair of  
20 optical wavelengths, an effusion metric is formed from the  
21 ratio of optical energy reflected onto said detector at  
22 said first wavelength to the optical energy reflected onto

1 said detector at said second wavelength for each said pair  
2 of optical sources.

3

4

5

6 11) A device for the measurement of bacterial or  
7 watery fluid adjacent to a membrane, the device having:

8 a speculum tip for insertion into a subject's ear  
9 canal;

10 a plurality of low coherence optical sources, each  
11 said optical source operative at a unique wavelength, said  
12 plurality of optical sources including a first wavelength  
13 which is absorptive for a bacteria of interest, a second  
14 wavelength which is comparatively less absorptive for a  
15 bacteria of interest, a third wavelength which is  
16 absorptive for watery fluid, and a fourth wavelength which  
17 is not absorptive for watery fluid;

18 said plurality of low coherent optical sources coupled  
19 through a first splitter, said first splitter having an  
20 output directed to a second splitter which divides incoming  
21 optical energy into a reference optical path and a  
22 measurement optical path;

1        said reference optical path coupled to a reflector  
2 having a path length equal to a measurement path length of  
3 interest;

4        said measurement optical path directed to a tympanic  
5 membrane to characterize;

6        reflected optical energy from said measurement optical  
7 path and said reference optical path combining at said  
8 second splitter, said optical energy thereafter directed to  
9 said first splitter and to a detector;

10        said detector forming a first metric based on the  
11 ratio of reflected optical energy at said first wavelength  
12 to the reflected optical energy at said second wavelength;

13        said detector forming a second metric based on the  
14 ratio of reflected optical energy at said third wavelength  
15 to the reflected optical energy at said fourth wavelength

16

17        12) The device of claim 11 where the optical detector  
18 comprises a first detector responsive to a first wavelength  
19 and transparent to a second wavelength positioned in front  
20 of a second detector responsive to a second wavelength.

21

22        13) The device of claim 11 where the optical detector  
23 comprises a diffraction grating for separating wavelengths

1 which are applied to a first detector placed with an edge  
2 adjacent to a second detector.

3

4 14) The device of claim 11 where said first wavelength  
5 is in the range 1050nm to 1150nm, and the second wavelength  
6 is below 1050nm.

7

8 15) The device of claim 12 where said optical source  
9 first wavelength and said optical source second wavelength  
10 are operative at exclusive intervals of time.

11

12 16) The device of claim 13 where said optical source  
13 first wavelength and said optical source second wavelength  
14 are operative concurrently.

15

16 17) The device of claim 1 where said optical source  
17 first wavelength and said optical source second wavelength  
18 are coupled through an annular light guide formed by the  
19 shell thickness of the speculum tip.

20

21 18) The device of claim 11 where said first splitter,  
22 said second splitter, said reference optical path and part

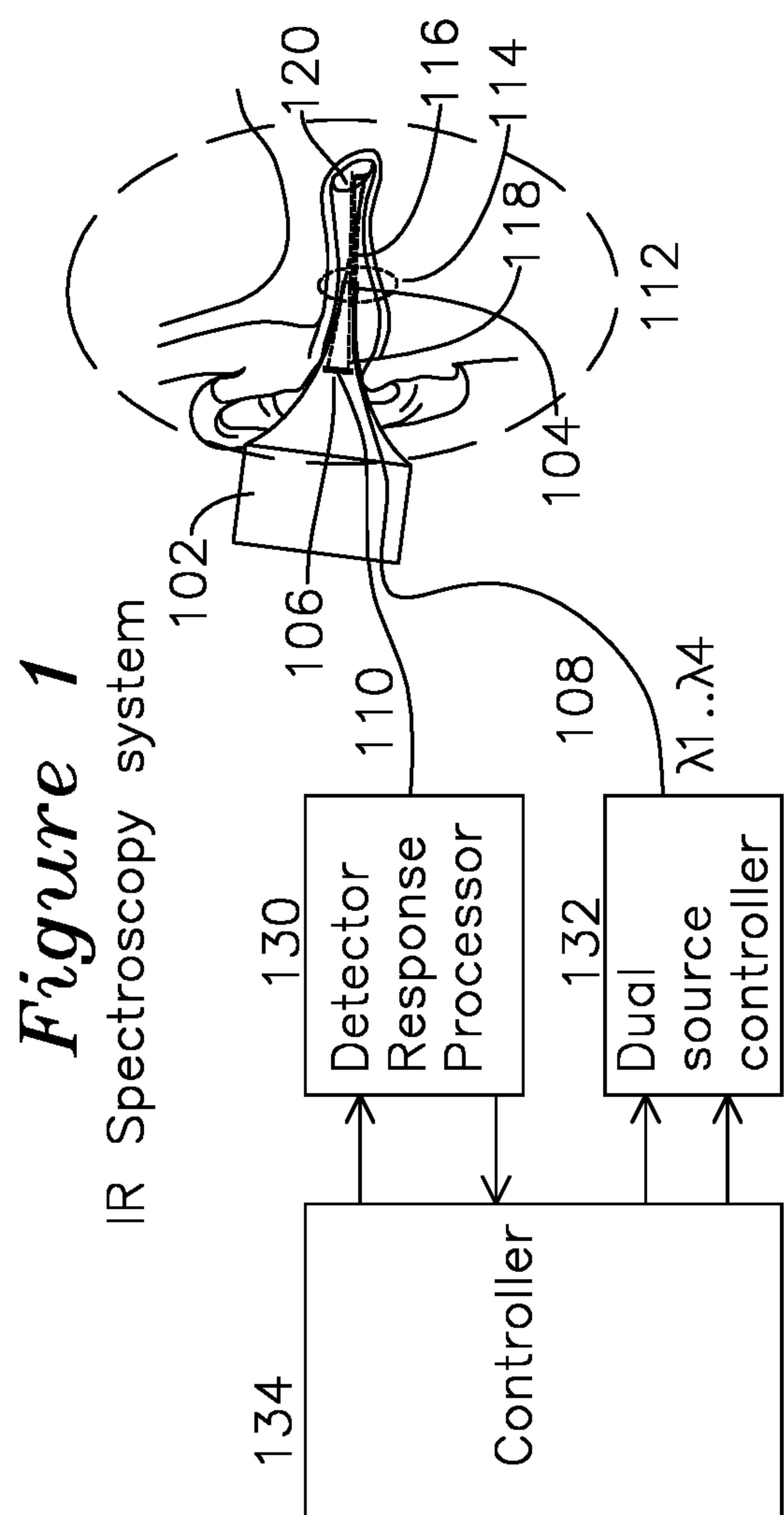
1 of said measurement optical path are formed from optical  
2 fiber.

3

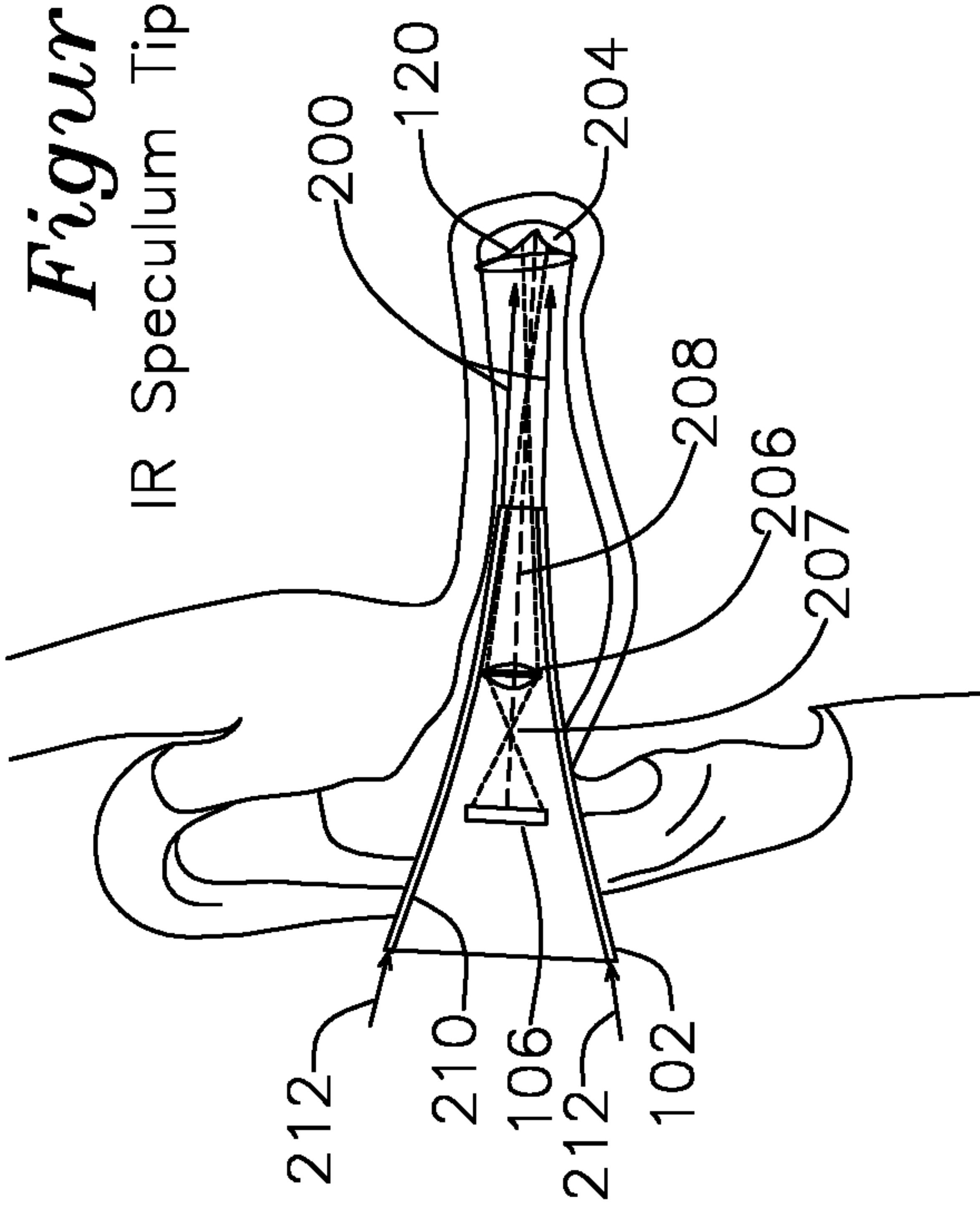
4 19) The device of claim 11 where said first splitter,  
5 said second splitter, said reference optical path and said  
6 optical path are formed using mirrors and lenses.

7

8

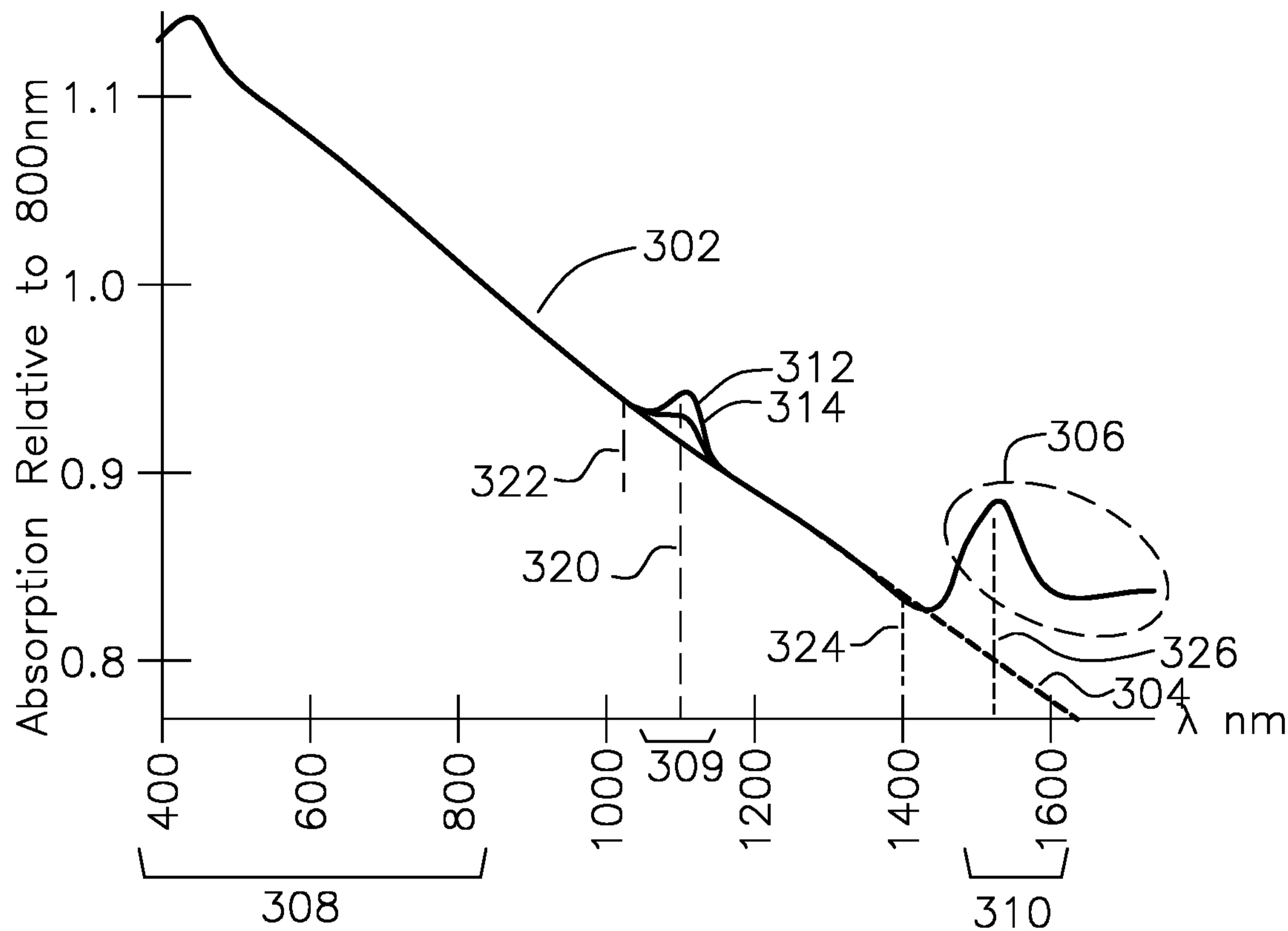


**Figure 2**  
IR Speculum Tip detail

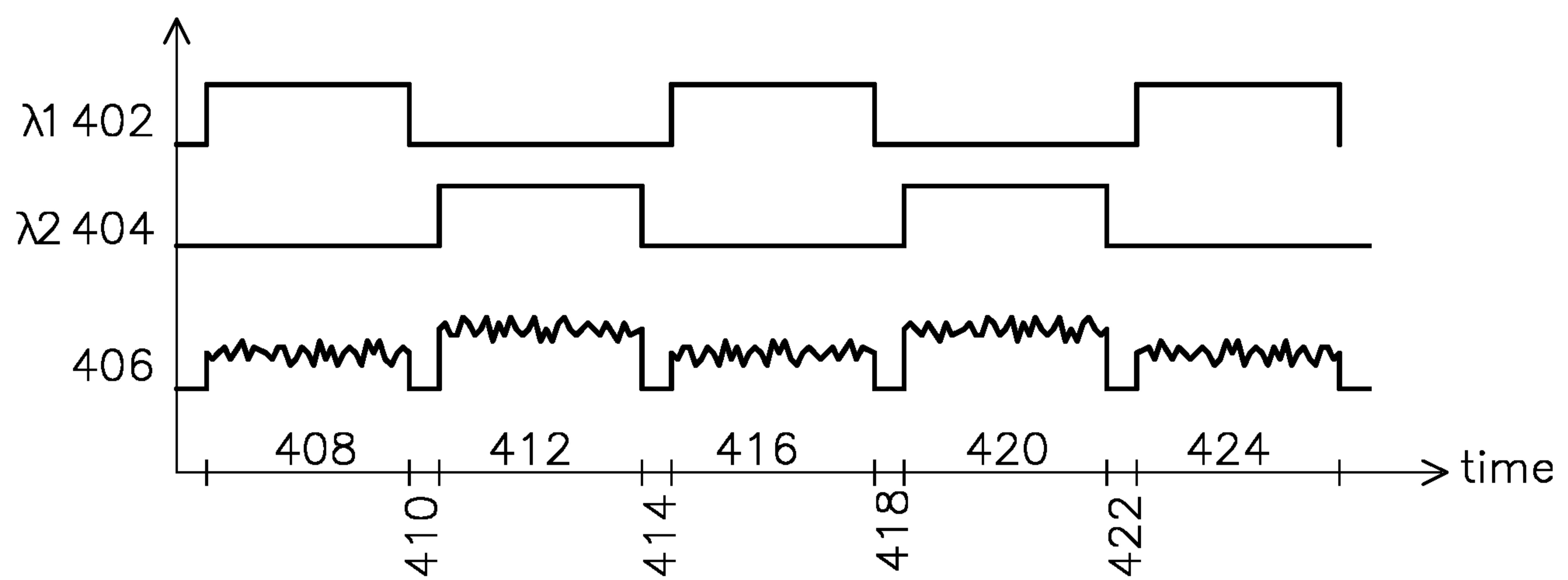


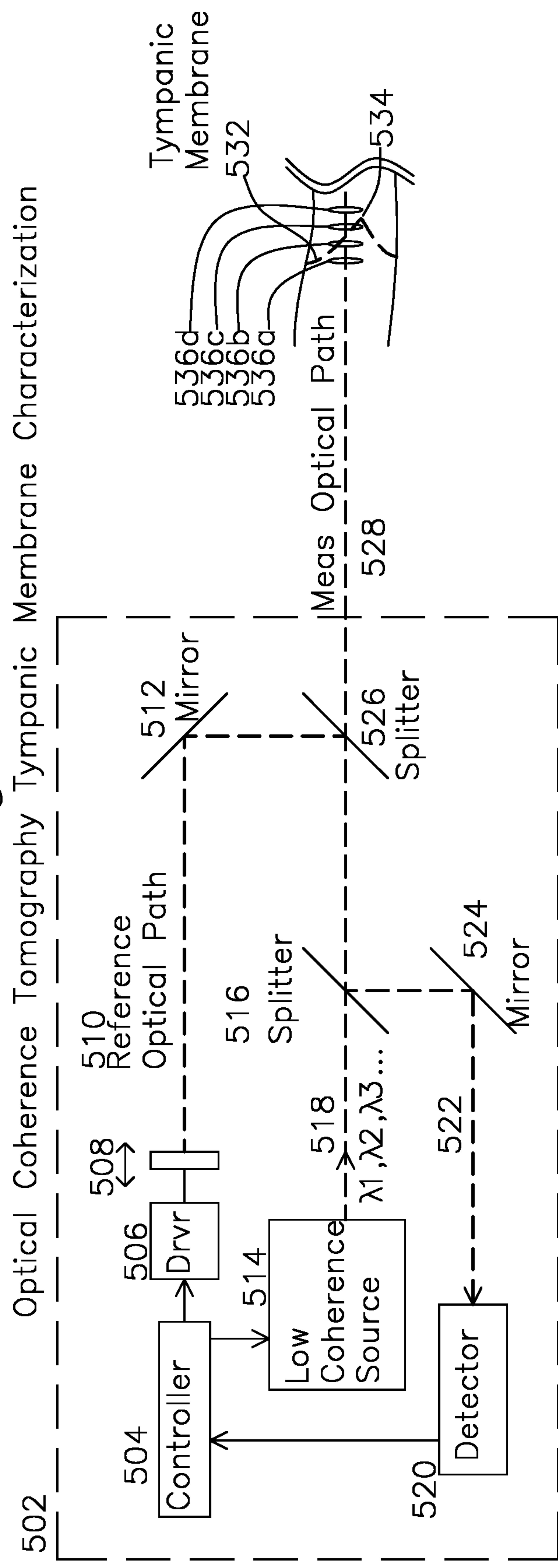
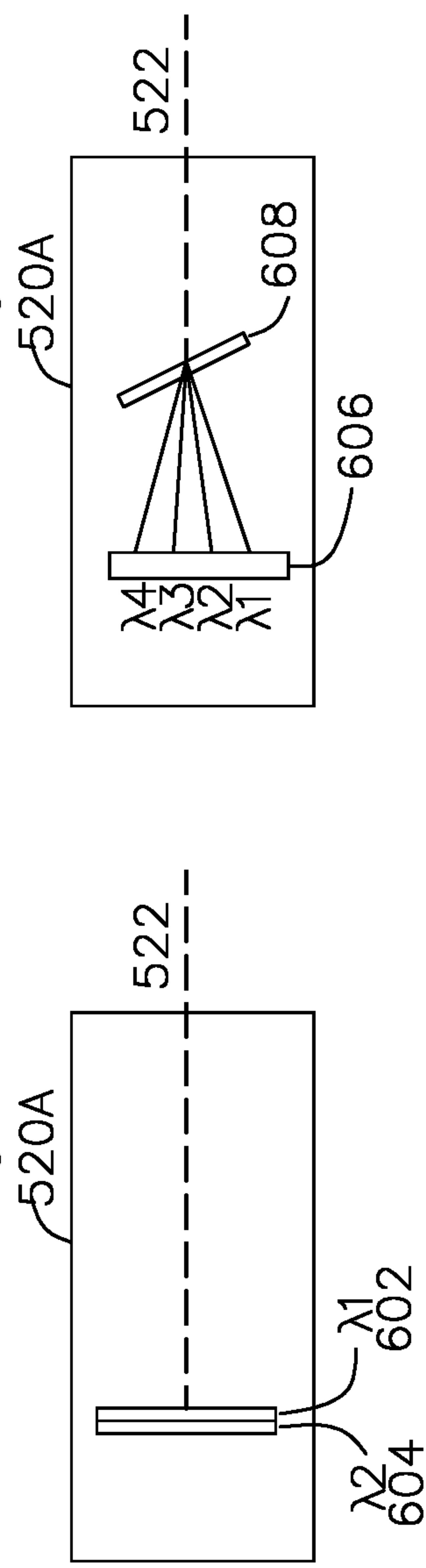
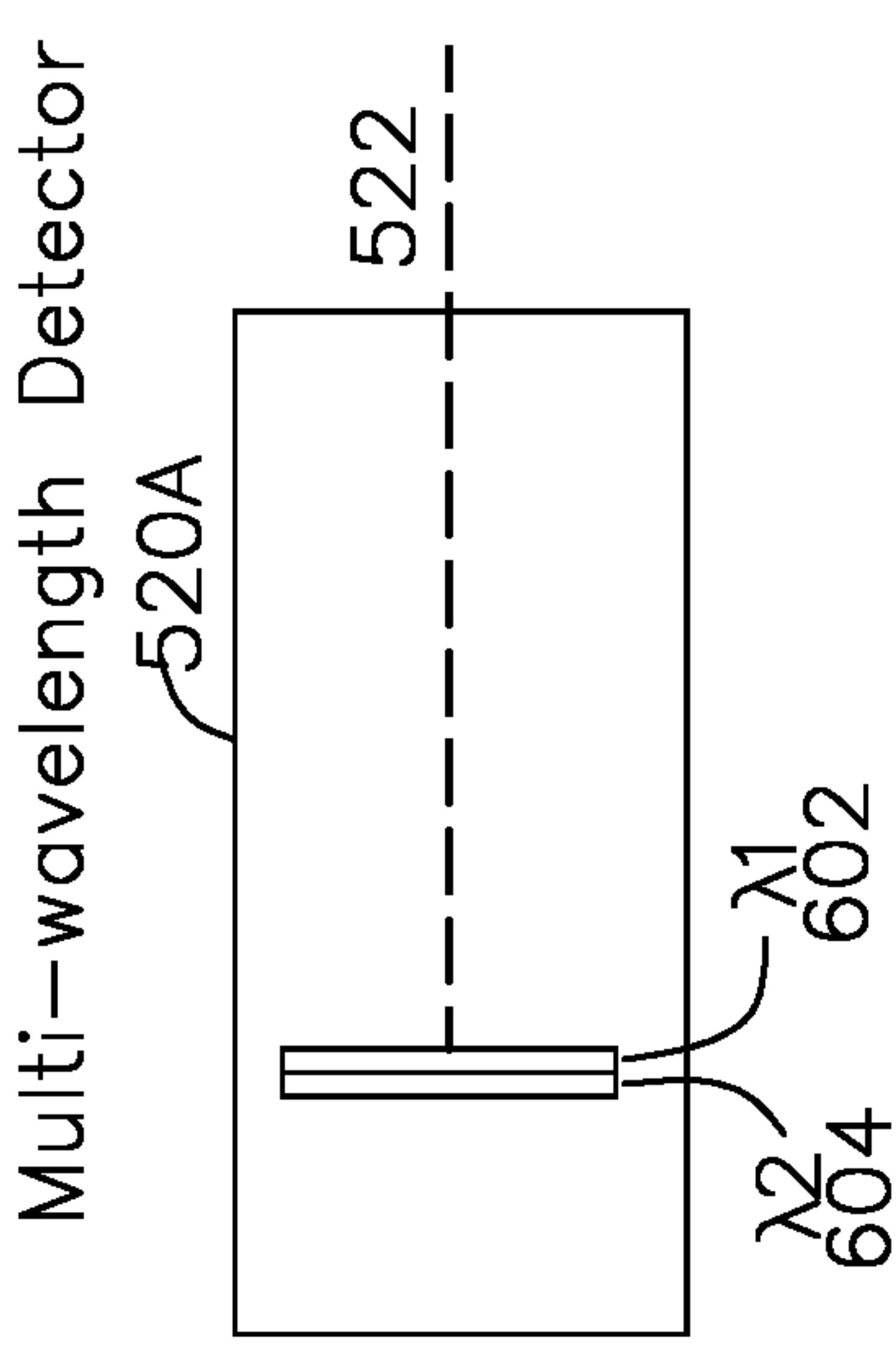
2/7

*Figure 3*  
Normalized spectral response from TM

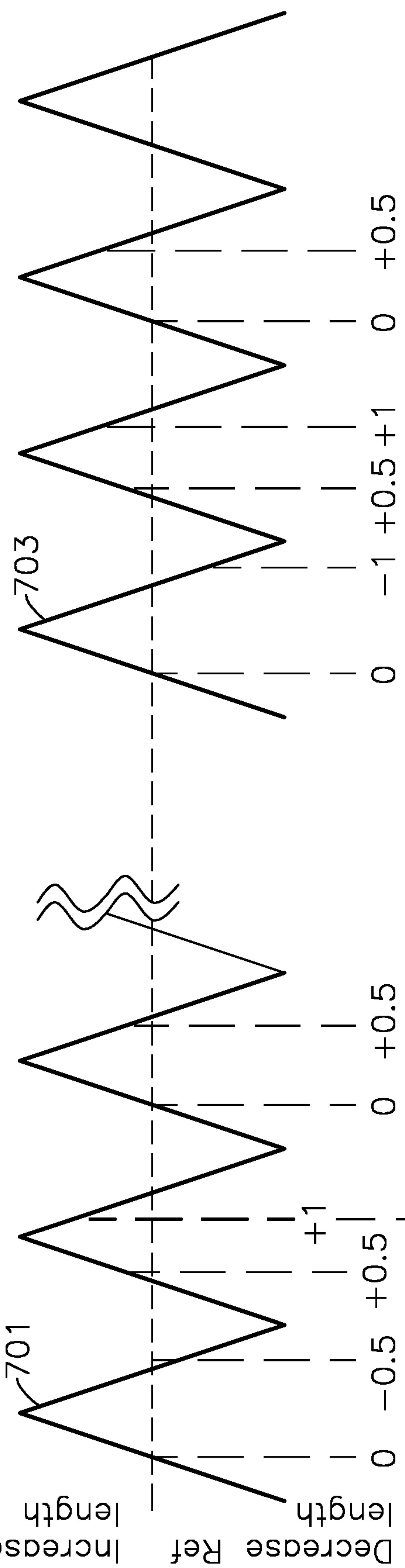
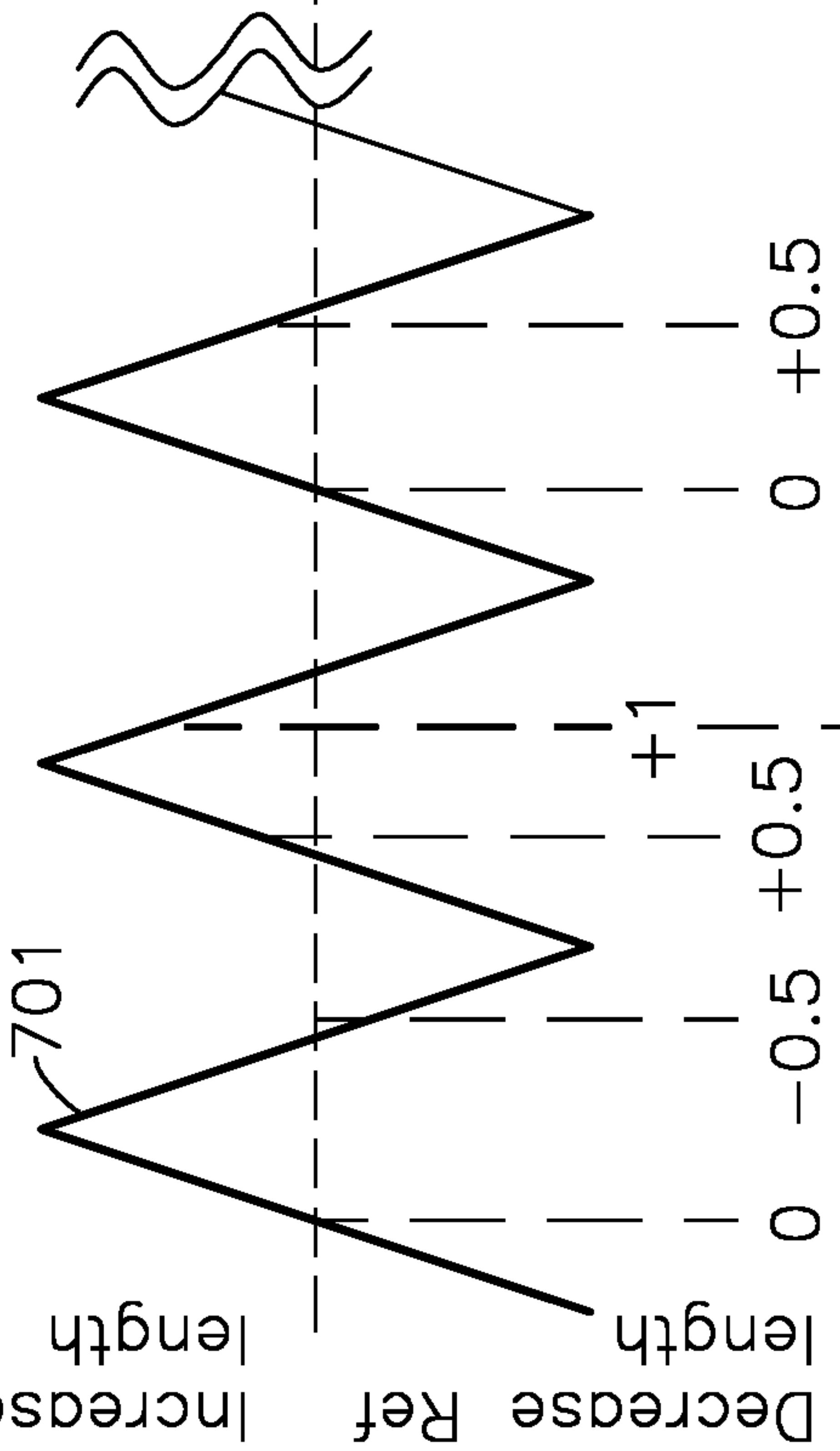
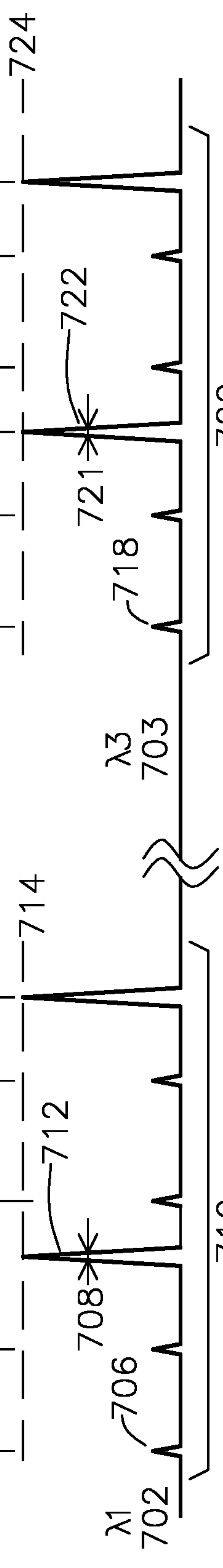
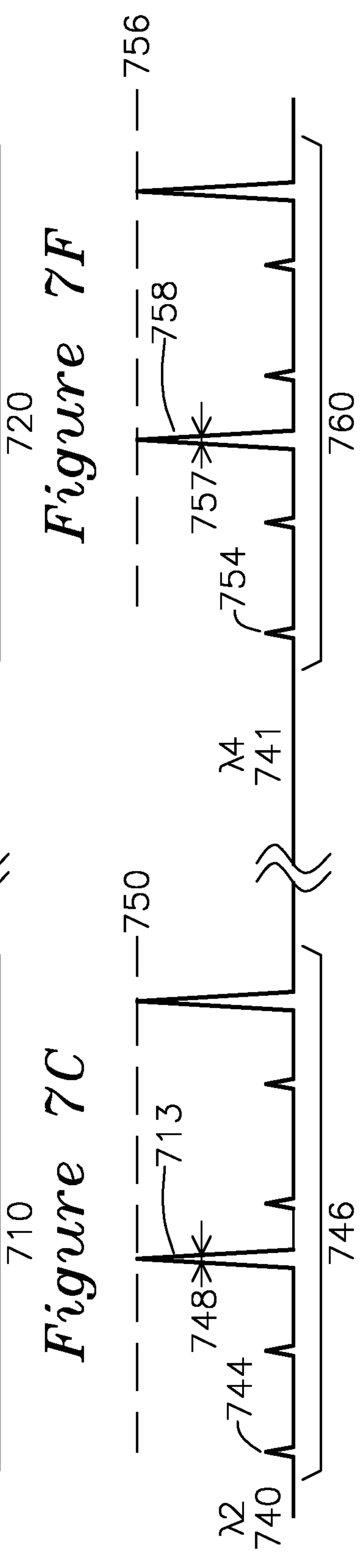
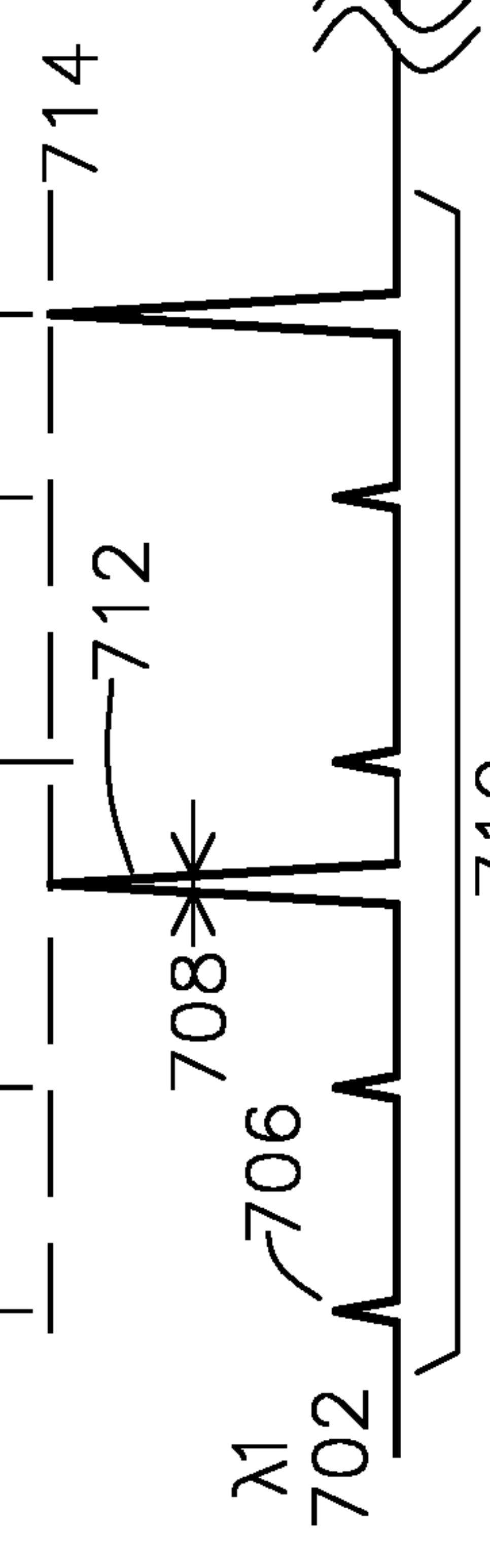
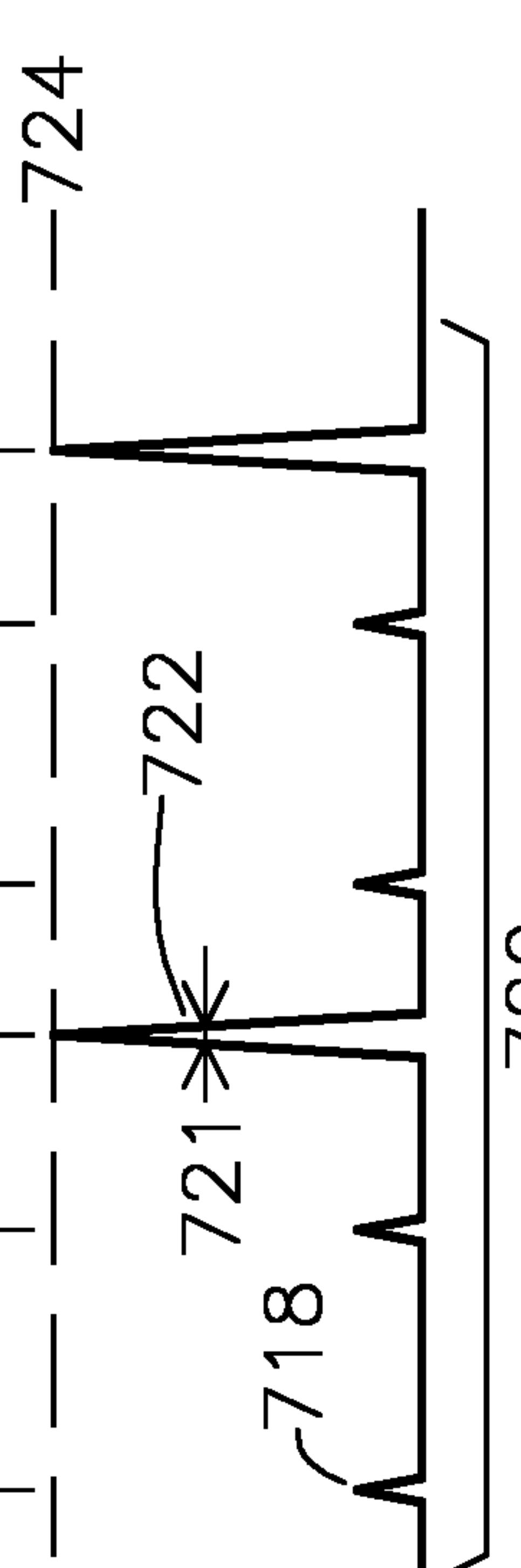


*Figure 4*  
Measurement waveforms



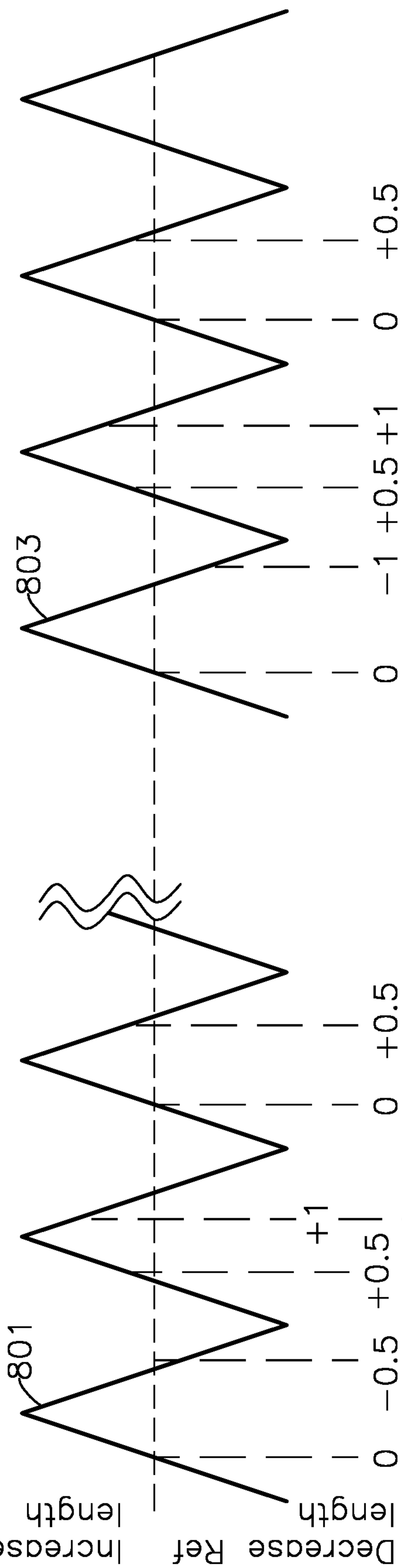
**Figure 5****Figure 6B****Figure 6A**

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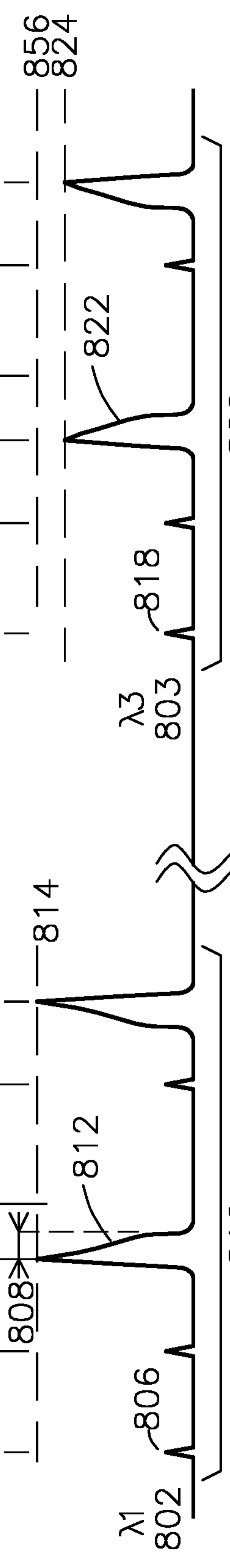
*Figure 7D*No Effusion ( $\lambda_3, \lambda_4$ )*Figure 7A*No Effusion ( $\lambda_1, \lambda_2$ )*Figure 7E**Figure 7F**Figure 7C**Figure 7D*

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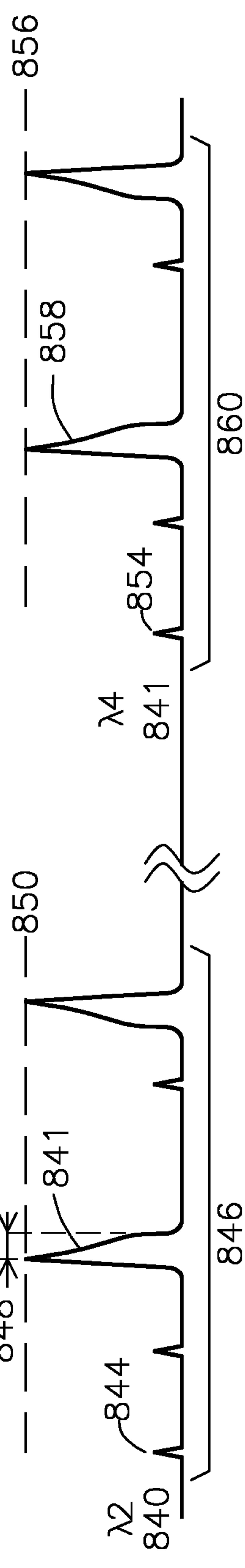
*Figure 8A*  
Viral Effusion  $(\lambda_1, \lambda_2)$



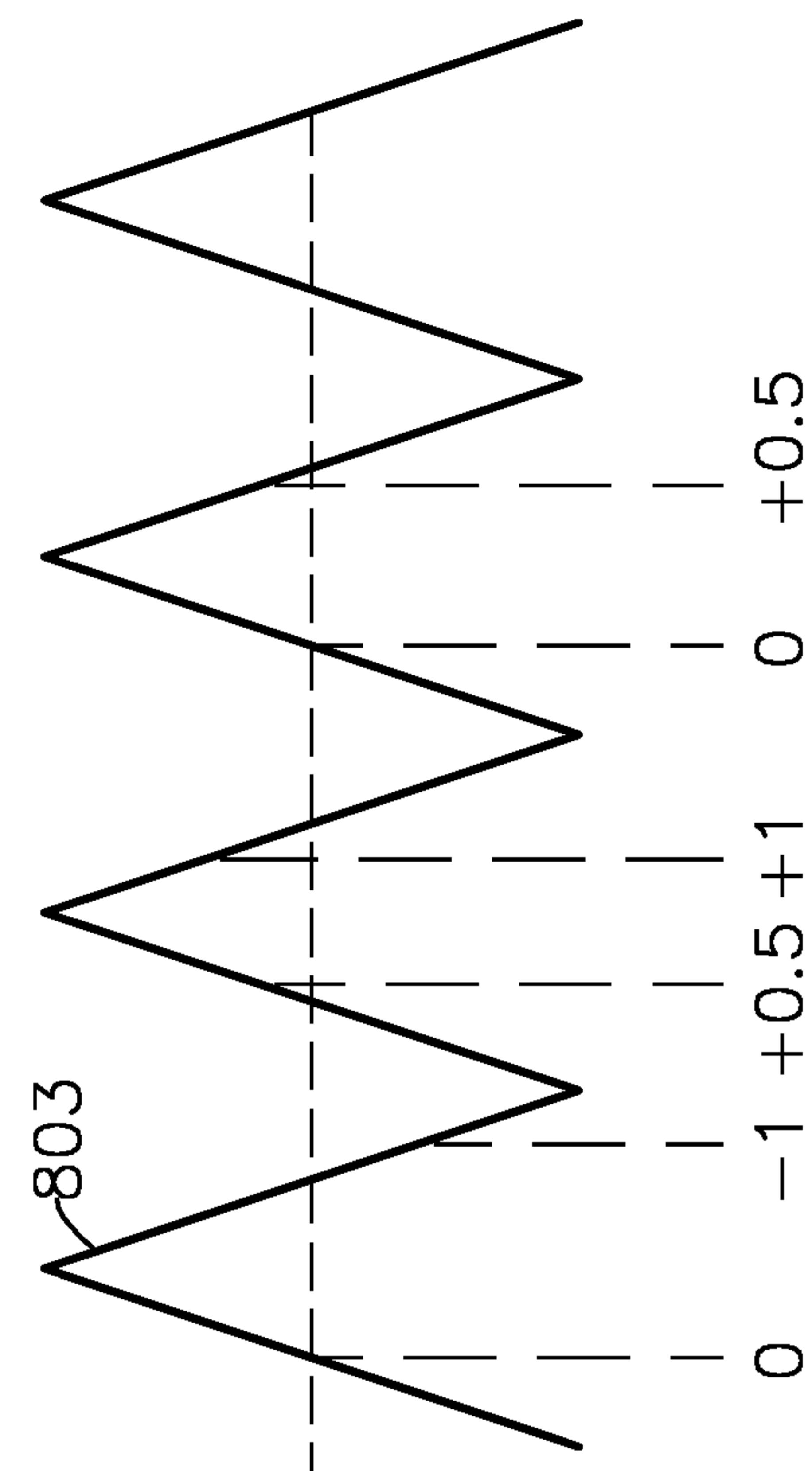
*Figure 8B*



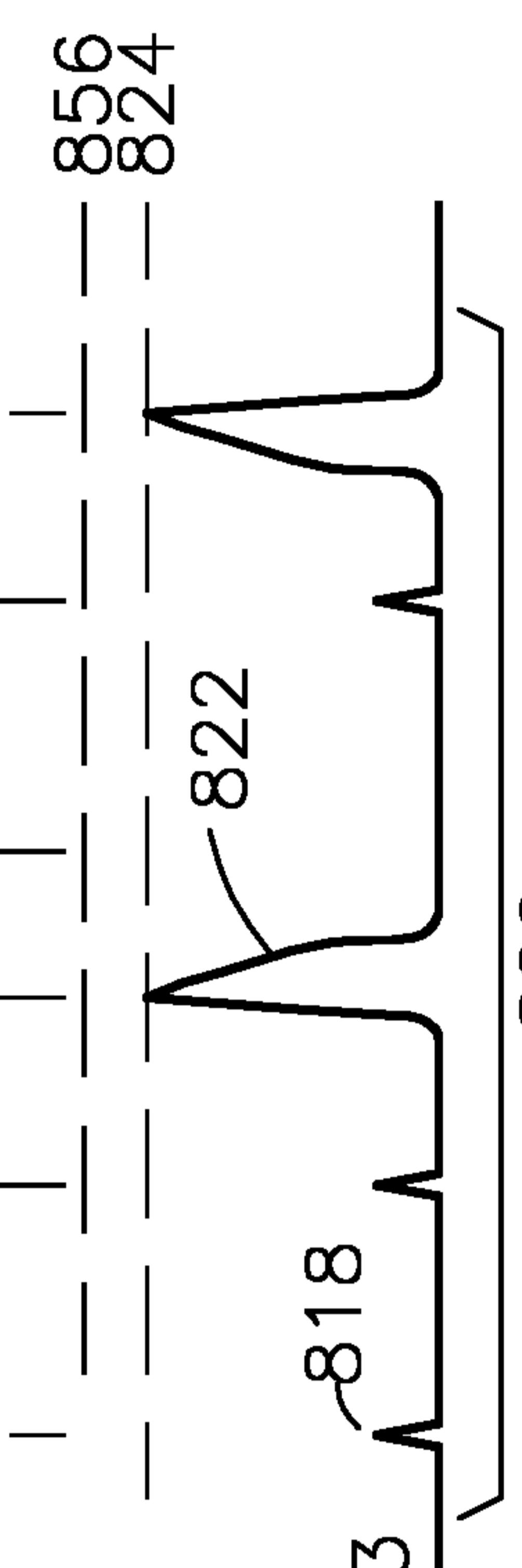
*Figure 8C*



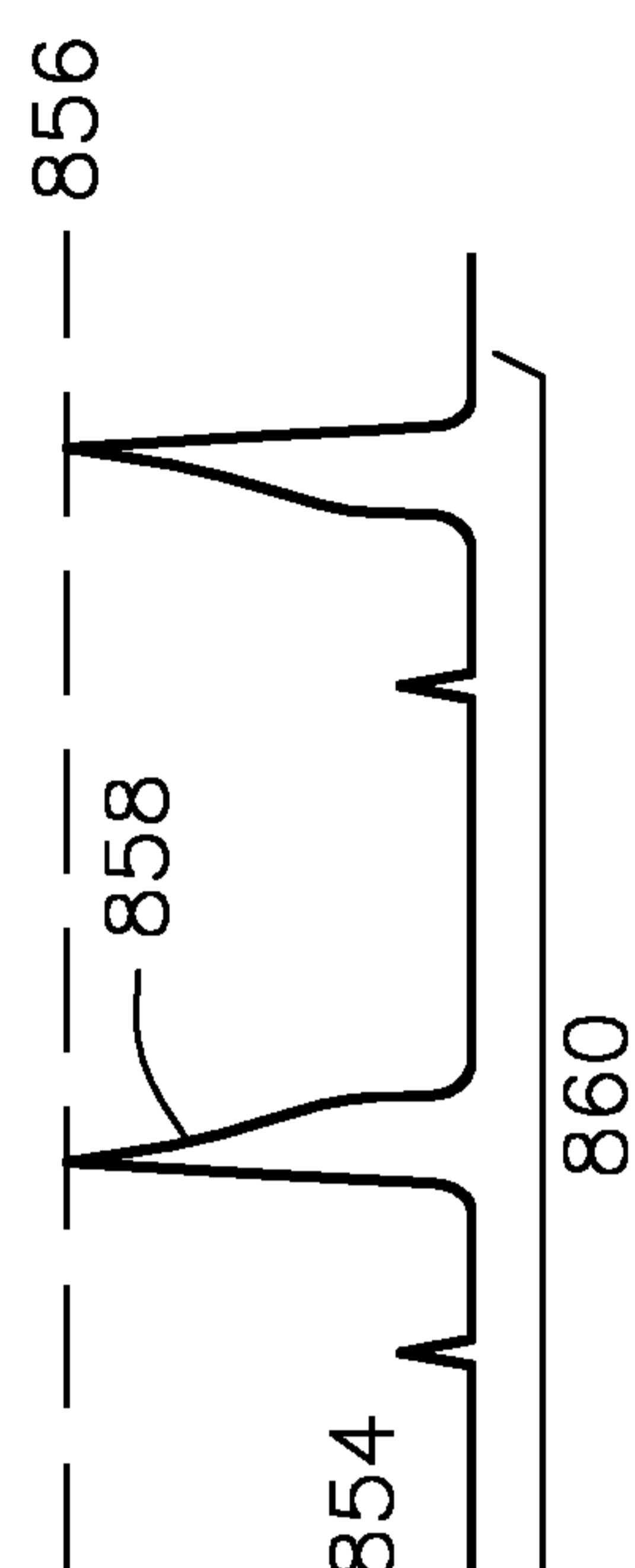
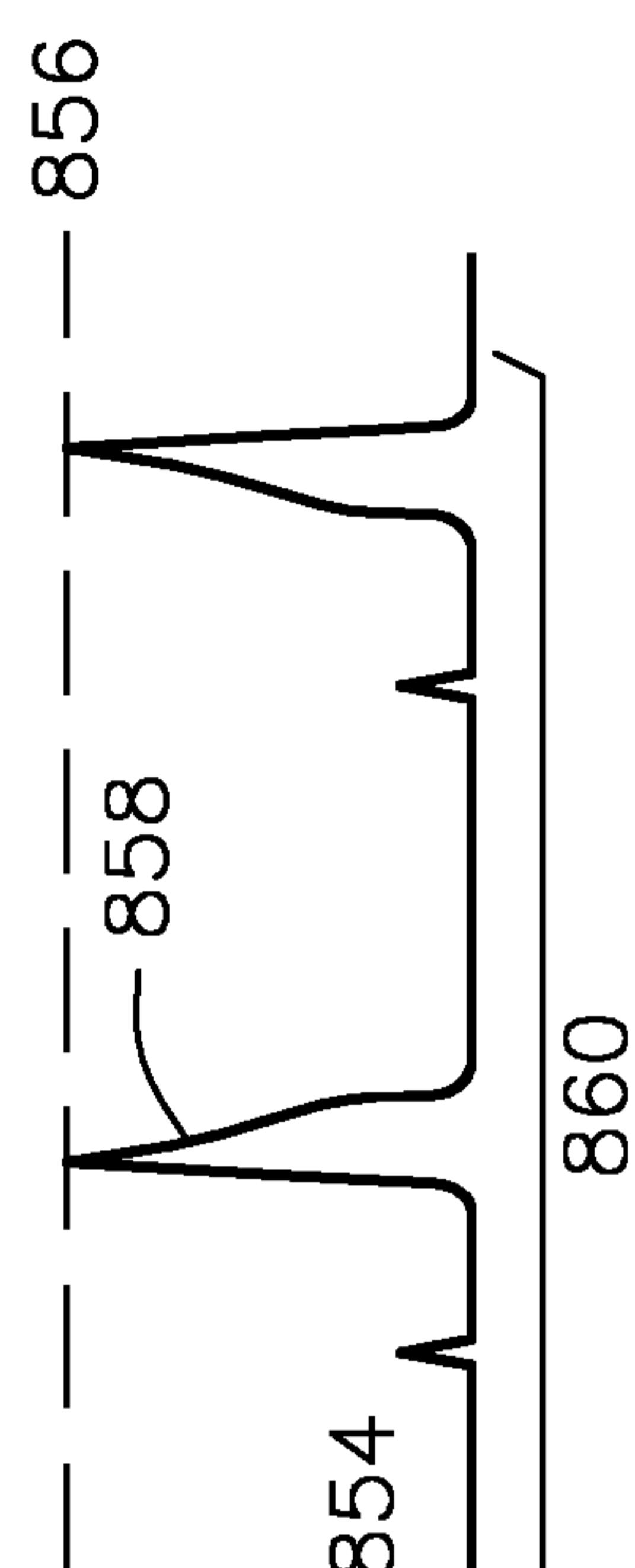
*Figure 8D*  
Viral Effusion  $(\lambda_3, \lambda_4)$

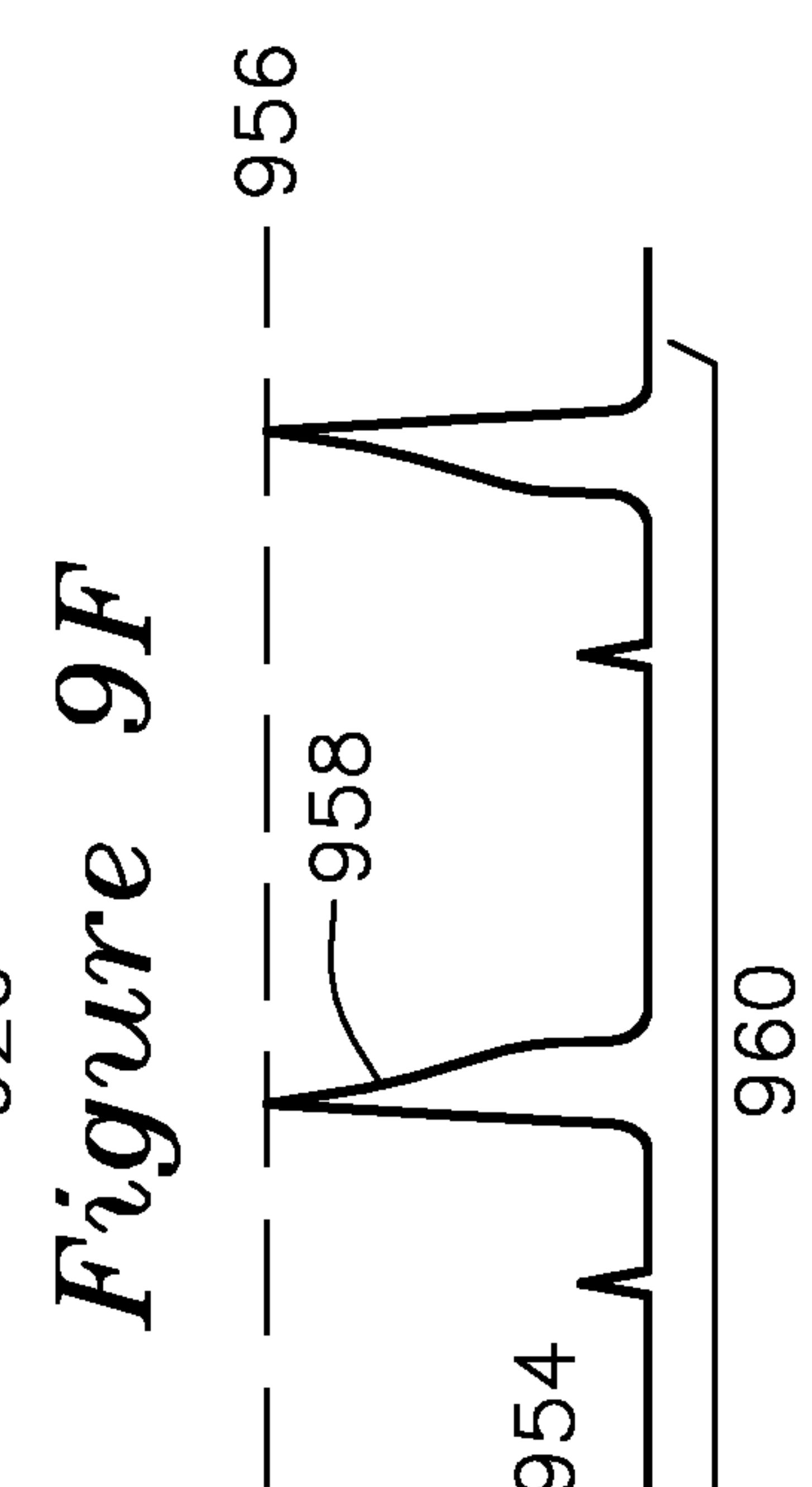
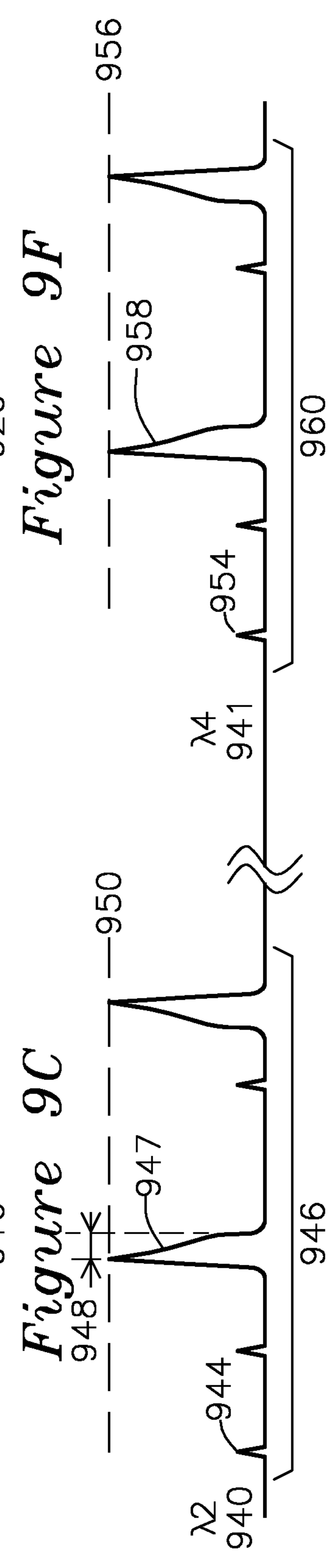
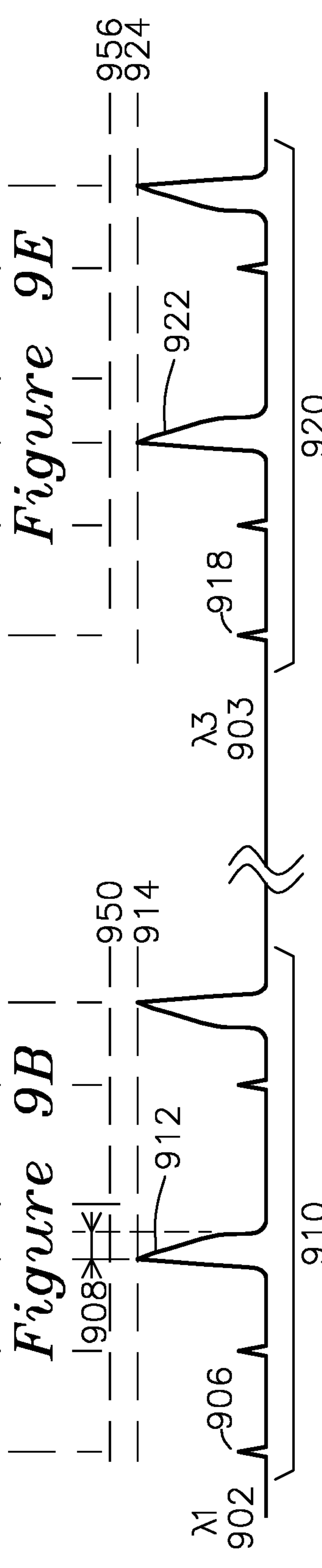
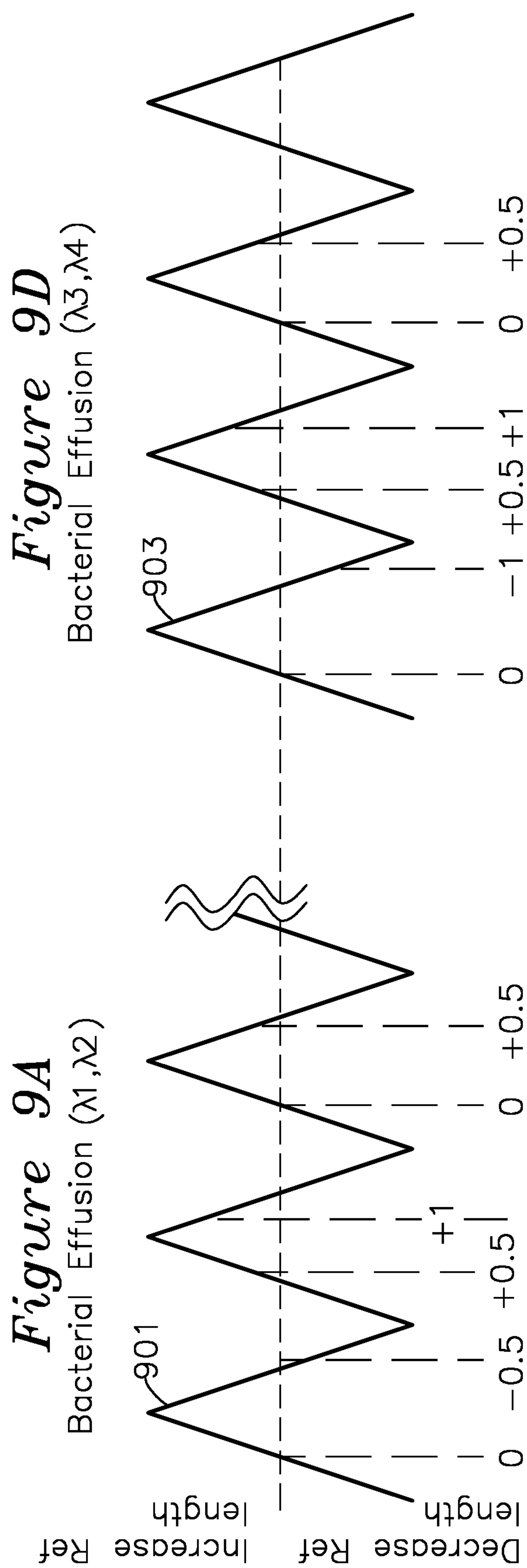
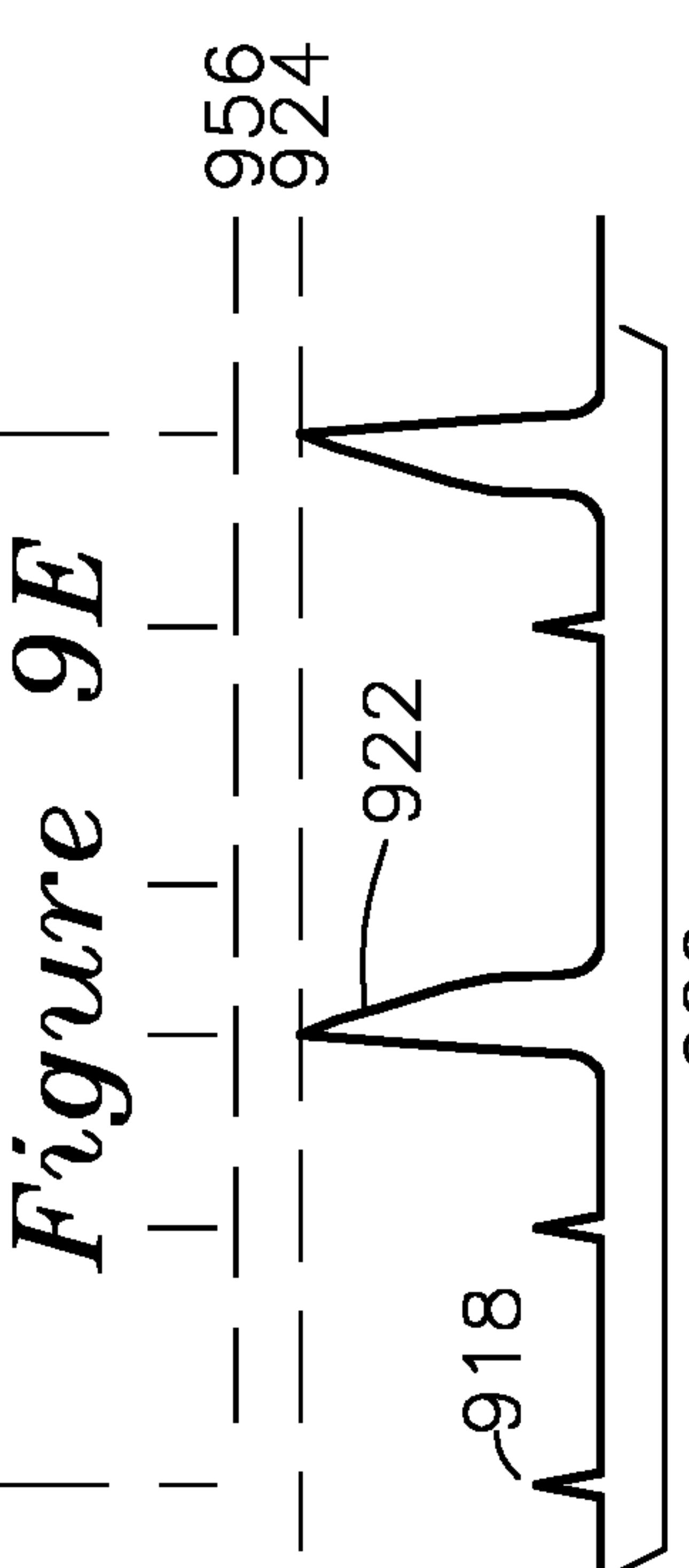
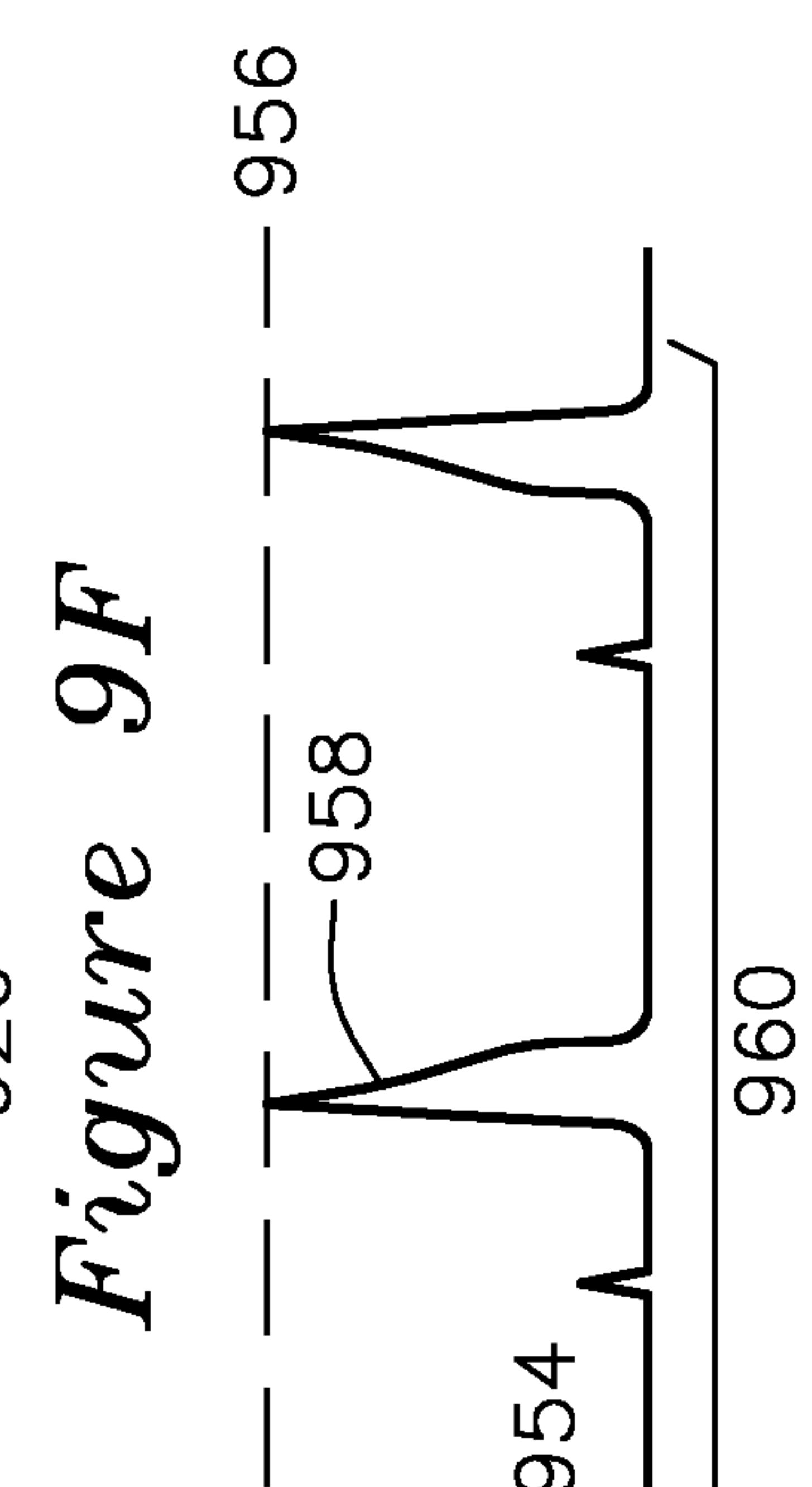


*Figure 8E*

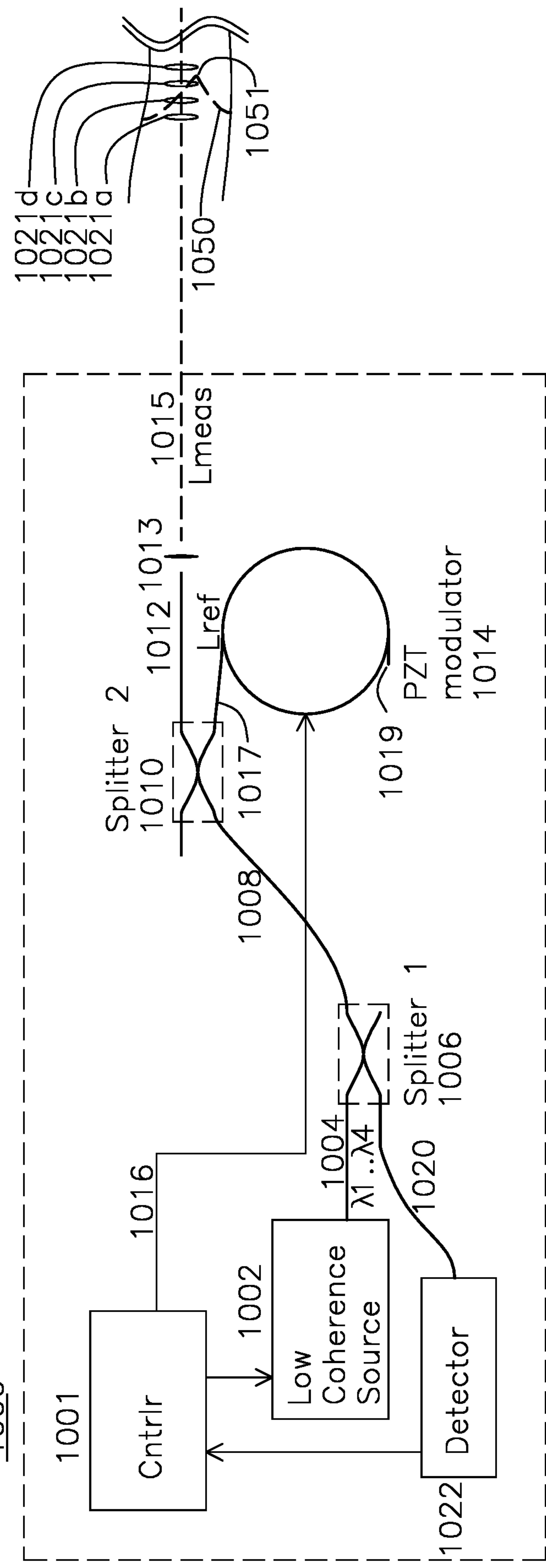


*Figure 8F*



**Figure 9E****Figure 9F**

**Figure 10**  
Optical Waveguide system for OCT measurement of TM



*Figure 1*

IR Spectroscopy system

