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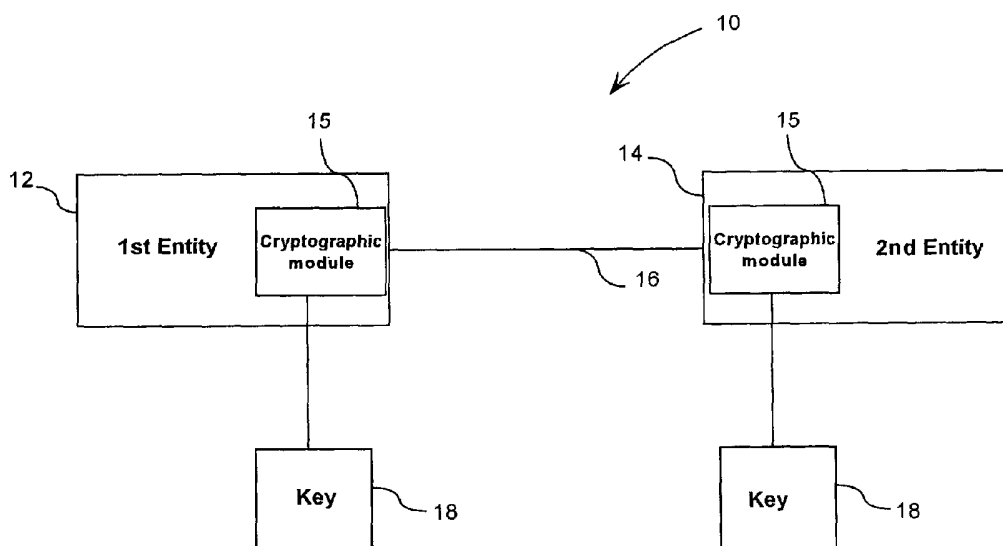
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(54) Title: NEW TRAPDOOR ONE-WAY FUNCTION ON ELLIPTIC CURVES AND THEIR APPLICATIONS TO SHORTER SIGNATURES AND ASYMMETRIC ENCRYPTION



(57) Abstract: The present invention provides a new trapdoor one-way function. In a general sense, some quadratic algebraic integer  $z$  is used. One then finds a curve  $E$  and a rational map defining  $[z]$  on  $E$ . The rational map  $[z]$  is the trapdoor one-way function. A judicious selection of  $z$  will ensure that  $[z]$  can be efficiently computed, that it is difficult to invert, that determination of  $[z]$  from the rational functions defined by  $[z]$  is difficult, and knowledge of  $z$  allows one to invert  $[z]$  on a certain set of elliptic curve points. Every rational map is a composition of a translation and an endomorphism. The most secure part of the rational map is the endomorphism as the translation is easy to invert. If the problem of inverting the endomorphism and thus  $[z]$  is as hard as the discrete logarithm problem in  $E$ , then the size of the cryptographic group can be smaller than the group used for RSA trapdoor one-way functions.

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**NEW TRAPDOOR ONE-WAY FUNCTION ON ELLIPTIC CURVES AND THEIR APPLICATIONS TO SHORTER SIGNATURES AND ASYMMETRIC ENCRYPTION**

**FIELD OF THE INVENTION:**

**[0001]** The present invention relates to trapdoor one-way encryption functions and cryptosystems utilising such functions.

**DESCRIPTION OF THE PRIOR ART**

**[0002]** A trapdoor one-way function (TOWF) is a publicly computable function, which only one entity can invert. A special secret, called a private key, is required to compute the inverse of TOWF.

**[0003]** The classic example of a TOWF is the RSA function based on the relationship  $M^{ed} \equiv M \pmod{N}$ . The public RSA function  $w$  is computed as follows:  $W(x) = x^e \pmod{N}$ . The numbers  $e$  and  $N$  are public values. The number  $N$  is chosen to be a product of two secret distinct primes  $p$  and  $q$ . Inverting the RSA function with the private key operation  $w$ , can be done as follows:  $W^{-1}(y) = y^d \pmod{N}$ , where  $d = (1/e) \pmod{(p-1)(q-1)}$  and is the private key.

**[0004]** Inverting the RSA function without the private key is believed to be a hard problem. Factoring  $N$  to obtain the primes  $p$ ,  $q$  is computationally infeasible for large values of  $N$  and therefore the private key  $w = (p-1)(q-1)$  also maintains secrecy. In fact, the security of much of the online banking currently done depends on the RSA function being hard to invert without the private key. In other words, the world generally believes that the RSA function is a TOWF.

**[0005]** As a TOWF, the RSA function can be used as the basis of a cryptosystem that performs both digital signatures and public-key encryption. To digitally sign a message  $M$  with a trapdoor one-way function  $W$  one computes  $S = W^{-1}(H(M))$  using the private key operation  $W^{-1}$  and a public hash function  $H$ . The hash function has two purposes: to compress  $M$  down to the size of digest which  $W^{-1}$  can handle and to prevent some potential attacks involving the conversion of a signature of one message to the signature of a related

1 but unauthorized message. To verify a signature  $S$  of message  $M$  with a trapdoor one-way  
2 function, one checks that  $H(M) = W(S)$ .

3 **[0006]** Public-key encryption with a TOWF is somewhat the opposite to signing. Instead  
4 of hashing, an encoding scheme  $E$  is used. To encrypt a message  $M$ , one computes a  
5 ciphertext  $C = W(E(M))$ . To decrypt a ciphertext  $C$ , one computes  $M = E^{-1}(W^{-1}(C))$ . The  
6 encoding function serves to adapt  $M$  to the size needed for  $W$  to be applied, and also to  
7 prevent certain kinds of related message attacks.

8 **[0007]** An alternative cryptosystem is based on the difficulty of the discrete log problem.  
9 A particularly robust cryptosystem, which bases its security on the discrete log problem  
10 utilizes elliptic curves and has the advantage of reduced bandwidth compared with RSA  
11 TOWF cryptosystems.

12 **[0008]** Whilst elliptic curve cryptosystems reduce the bandwidth compared to the RSA  
13 TOWF, there is still a need to minimize the bandwidth whilst maintaining the desirable  
14 attributes of existing systems. Moreover, TOWF's do not rely on the random number  
15 generator and therefore in some circumstances may be easier to implement even though the  
16 bandwidth required is greater.

17 **[0009]** It is therefore an object of the present invention to provide a TOWF cryptosystem  
18 to obviate or mitigate the above mentioned disadvantages.

19 **[0010]** To facilitate the understanding of the underlying principles of the present  
20 invention, a review of the mathematical basis of these principles is set forth below.

21 **[0011]** An elliptic curve  $E$  is the set of points  $(x, y)$  that satisfy the defining equation of  
22 the elliptic curve. The defining equation is a quadratic in  $y$  and a cubic in  $x$ , and is non-  
23 singular. The coordinates  $x$  and  $y$  are elements of a field, which is a set of elements that can  
24 be added, subtracted, multiplied, and divided (with the exception of zero for division).  
25 Examples of fields include rational numbers and real numbers. There are also finite fields,  
26 which are the fields most often used in cryptography. An example of a finite field is the set  
27 of integers modulo a prime  $q$ .

1   **[0012]**     Without the loss of generality, the defining equation of the elliptic curve can be in  
 2   the Weierstrass form. When the field  $F$  is derived from the integers modulo a prime  $q > 3$ ,  
 3   then the Weierstrass equation takes the form  $y^2 = x^3 + ax + b$ , where  $a$  and  $b$  are elements of  
 4   the field  $F$ .

5   **[0013]**     The elliptic curve  $E$  includes the points  $(x, y)$ , which are all solutions to the  
 6   defining equation, and one further point, namely the point  $O$  at infinity. The elliptic curve  $E$   
 7   also has a group structure, which means that the two points  $P$  and  $Q$  on the curve can be  
 8   added to form a third point  $P + Q$ . The point  $O$  is the identity of the group, meaning  $P + O =$   
 9    $O + P = P$ , for all points  $P$ . Addition is associative, so that  $P + (Q + R) = (P + Q) + R$ , and  
 10   commutative, so that  $P + Q = Q + R$ , for all points  $P, Q$  and  $R$ . Each point  $P$  has a negative  
 11   point  $-P$ , such that  $P + (-P) = O$ . When the curve equation is the Weierstrass equation of the  
 12   form  $y^2 = x^3 + ax + b$ , the negative of  $P = (x, y)$  is determined easily as  $-P = (x, -y)$ . The  
 13   formula for adding points  $P$  and  $Q$  in terms of their coordinates is only moderately  
 14   complicated involving just a handful of field operations in the field over which  $E$  is defined.

15   **[0014]**     A rational function  $r(x,y)$  in two variables over a field is the ratio of two  
 16   polynomials in two variables each over the same field. So  $r(x,y) = p(x,y)/q(x,y)$ , where  $p$  and  
 17    $q$  are polynomials in  $x$  and  $y$ . A polynomial in  $x$  and  $y$  is a sum of terms of the form  $a x^m y^n$ ,  
 18   where  $a$  is a field element (possibly depending on  $m$  and  $n$ ), and  $m$  and  $n$  are non-negative  
 19   integers. For example,  $x^2y - 3y^4 + 1$  is a polynomial in  $x$  and  $y$ . For any rational function  
 20    $r(x, y)$  and field elements  $u$  and  $v$ , there is a value of the rational function  $r(x,y)$  at the point  
 21    $(u, v)$ . The value is a field element or the point at infinity, and is written  $r(u, v)$ . The value  
 22    $r(u, v)$  is obtained simply by substituting each occurrence of the variable  $x$  by the field  
 23   element  $u$  and each  $y$  by  $v$ , and then evaluating all the field operations such as multiplication,  
 24   addition and division. Occasionally division by zero results, which generally indicates that  
 25   the value  $r(u, v)$  is actually infinity, which is regarded as an exception because the value is  
 26   not in the field. Thus, it is possible to evaluate  $r(x,y)$  for points  $(x,y)$  on the curve. It is also  
 27   possible to define the value of  $r(x,y)$  at the point  $O$ , this enabling evaluation of  $r$  on each point  
 28   of the curve.

29   **[0015]**     A rational map on an elliptic curve  $E$  is a pair of rational functions  $r(x,y)$  and  
 30    $s(x,y)$  such that if  $(u, v)$  is a point on  $E$ , then  $(t, w) = (r(u, v), s(u, v))$  is also a point on  $E$ .

1 More generally, this needs to also hold if  $(u,v)$  is replaced by  $O$ , and furthermore if it is  
 2 acceptable for  $(t, w)$  to be  $O$ , which corresponds to  $t$  and  $w$  both being infinity.

3 **[0016]** Rational maps on elliptic curves can actually be added just like points on the  
 4 curve. The addition rules are similar, except that instead of doing operations with field  
 5 elements, one instead does operations with rational functions, that is, with the symbolic  
 6 functions of  $x$  and  $y$ .

7 **[0017]** A rational map  $(r, s)$  on  $E$  is considered equivalent to another rational map  $(r', s')$   
 8 on  $E$  if  $r$  is equivalent to  $r'$  and  $s$  is equivalent to  $s'$ , as rational functions on  $E$ .

9 **[0018]** A special kind of rational map is an endomorphism. An endomorphism  $e$ , is a  
 10 rational map  $e = (r, s)$  with the additive property, that is  $e(P + Q) = e(P) + e(Q)$  for any two  
 11 points  $P$  and  $Q$ . An important theorem in elliptic curve theory says that if  $e$  is a rational map  
 12 with the property  $e(O) = O$ , then  $e$  is also an endomorphism. This theorem considerably  
 13 simplifies the determination of whether a given rational map is an endomorphism.

14 **[0019]** An important example of an endomorphism is  $e = [m]$  which is defined by  $e(P) =$   
 15  $mP$ , that is, the sum of  $m$  copies of the point  $P$ . Because the addition law for curve  $E$  is  
 16 defined by rational functions, then so is the iterated sum  $mP$  of  $m$  copies of  $P$ , because these  
 17 rational functions can be iterated. Therefore  $e(P)$  is a rational map. Because the addition  
 18 operation on the curve  $E$  is associative, we have  $e(P + Q) = m(P+Q) = m(P) + m(Q) = e(P) +$   
 19  $e(Q)$  for  $e=[m]$ . Therefore,  $e$  is an endomorphism because it has the additive property.

20 **[0020]** If there is an endomorphism different than  $[m]$ , then  $E$  is said to have complex  
 21 multiplication. Elliptic curves defined over finite fields always have complex multiplication.  
 22 In other words, they always have an endomorphism  $e$  which is different from  $[m]$  for all  
 23 integers  $m$ .

24 **[0021]** A powerful theorem of elliptic curve theory says that any endomorphism  $e$  is  
 25 equivalent to a unique rational map of the form  $(r(x), cyr'(x))$ , where  $r(x)$  is a rational function  
 26 of a single variable,  $c$  is a constant field element, and  $r'(x)$  is the derivate of  $r(x)$ . This result  
 27 is not at all obvious, but if  $e$  is in the form  $(f(x,y), g(x,y))$ , it is not too difficult to determine  
 28  $r(x)$ , as outlined below.

1   **[0022]**   To illustrate, one replaces each occurrence of  $y^2$  in  $f(x, y)$  with a polynomial that  
 2   is linear or constant in  $y$ . For example, if the curve's defining equation is  $y^2 = x^3 + ax + b$ ,  
 3   then each  $y^2$  can be replaced by  $x^3 + ax + b$ , which is constant in  $y$ . Apply this as many times  
 4   as necessary so that the numerator and denominator do not have any powers of  $y$  higher than  
 5   1, in other words they are linear in  $y$ . The modified  $f(x, y)$  has the form  $(a(x) + b(x)y) / (c(x)$   
 6    $+ d(x)y)$ , where  $a, b, c$ , and  $d$  are polynomial functions, not to be confused with previous uses  
 7   of these variables. The  $y$  can be eliminated from the denominator by multiplying the top and  
 8   bottom by  $(c(x) - d(x)y)$ , which gives  $c(x)^2 - d(x)^2 y^2 = c(x)^2 - d(x)^2 (x^3 + ax + b)$  in the  
 9   bottom. The  $y^2$  in the numerator can also be eliminated. This gives a form  $g(x) + h(x)y$   
 10   where  $g(x)$  and  $h(x)$  are rational functions in  $x$ . It can be proven that  $h(x) = 0$ , because as  $e$  is  
 11   an endomorphism we have  $e(-P) = -e(P)$ , so  $e(x, -y) = -e(x, y)$ , thus  $g(x) + h(x)y = g(x) - h(x)$   
 12    $y$ , for all  $(x, y)$  on the curve. So now we have found  $r(x)$  as  $g(x)$ . It is clear that  $r(x)$  found in  
 13   this way is unique.

14   **[0023]**   Similarly, the rational function  $g(x, y)$  can be expressed as a linear function  $h(x) +$   
 15    $y k(x)$  where  $h(x)$  and  $k(x)$  are rational functions of  $x$ , and it can be shown that  $h(x) = 0$  by  
 16   similar reasons. This means that  $k(x)$  can be determined, which provides a means to find the  
 17   constant  $c$  in the form  $(r(x), c y r'(x))$ . Alternately,  $c$  could be found by differentiating  $r(x)$ , and  
 18   then evaluating  $e$  at a some point  $P$  to solve for  $c$ .

19   **[0024]**   Every endomorphism has an action on an elliptic curve group that corresponds to  
 20   a quadratic algebraic integer. A quadratic algebraic integer  $z$  is a complex number such that  
 21    $z^2 + uz + v = 0$  for some integers  $u$  and  $v$ . The endomorphism  $e$  corresponds to this algebraic  
 22   integer if  $e^2 + [u]z + [v] = [0]$ , where the addition here is the addition of rational maps, as  
 23   explained above. In this case, we can write  $e = [z]$ , where  $[ ]$  indicates the rational map  
 24   corresponding to a rational integer.

25   **[0025]**   All real integers are quadratic algebraic integers, and the endomorphism  $[m]$   
 26   corresponds to the integer  $m$ . A quadratic algebraic integer that is not a real integer is the  
 27   complex number  $i$ , the square root of  $-1$ , which satisfies quadratic equation  $i^2 + 1 = 0$ . For  
 28   each quadratic algebraic integer that is not a real integer, there are only a limited set of  
 29   elliptic curves that have  $[z]$  as an endomorphism. Known results give theoretical procedures  
 30   for determining such curves, as well as a way of determining  $[z]$  as a rational map.

1 [0026] Generally, the degree of endomorphism  $e$  is the number of points  $P$  such that  $e(P)$   
 2  $= O$ . More precisely, this is called the separable degree of  $e$ . The actual degree is the product  
 3 of the separable degree and something else called the inseparable degree. When  $e$  is  
 4 expressed in its canonical form as  $(r(x), cyr'(x))$ , the degree of the numerator of  $r(x)$  is the  
 5 degree of  $e$ , and the degree of the denominator of  $r(x)$  is one less. (Here we assume the  
 6 numerator and denominator of  $r(x)$  to be co-prime) Furthermore, for  $e = [z]$ , we generally  
 7 have the degree of  $e$  as  $|z|^2$ . The degree of the endomorphism  $[m]$ , for example, is thus  $|m|^2 =$   
 8  $m^2$ .

9 [0027] In conventional elliptic curve cryptography, the endomorphism  $[m]$  is evaluated  
 10 frequently. The number  $m$  represents a private key, and  $[m]P = mP$  represents a public key.  
 11 The function  $[m]$  can be computed efficiently, even for a large value of  $m$ , much faster than  
 12 one could add up the  $m^2$  terms that would appear in the fully expanded polynomial forms of  
 13 the numerator and denominators of  $r(x)$  for  $[m]$ . The crucial observation here is that a large  
 14 degree endomorphism can be efficiently computed.

15 [0028] The following example lists every possible endomorphism of degree 2 on any  
 16 elliptic curve. This list is complete up to equivalence of rational maps and elliptic curves.  
 17 These are taken from Silverman's *Advance Topics in the Arithmetic Elliptic Curves*  
 18 (Silverman's).

19 [0029] The first is  $e = [z] = [1 + i]$ , defined on the curve  $E$  :

$$20 \quad y^2 = x^3 + x, \text{ as: } e(x, y) = \left( \frac{x^2 + 1}{z^2 x}, \frac{y(x^2 - 1)}{z^3 x^2} \right)$$

21 Notice that  $z$  appears as a rational function defining the action of  $e$ , so  $e$  is only defined when  
 22  $E$  is defined over a field  $F$  that contains a value corresponding to  $z$ . (This comment also  
 23 applies to the two endomorphism  $e$  below)

24 [0030] The second is  $e = [z] = [\sqrt{-2}]$ , defined on  $E$  :

$$25 \quad y^2 = x^3 + 4x^2 + 2x, \text{ as: } e(x, y) = \left( \frac{x^2 + 4x + 2}{z^2 x}, \frac{y(x^2 - 2)}{z^3 x^2} \right)$$



1   **[0031]**   The third is  $e = [z] = [(1 + \sqrt{(-7)})/2]$ , defined on E :

2            $y^2 = x^3 - 35x + 98$ , as:

$$3 \quad e(x, y) = \left( \frac{x^2 + x(z^2 - 2) - 7(1 - z)^4}{z^2(x + z^2 - 2)}, \frac{y((x + z^2 - 2)^2 + 7(1 - z)^4)}{z^3(x + z^2 - 2)^2} \right)$$

4

## 5 SUMMARY OF THE INVENTION

6   **[0032]**   The inventors have recognized that it is possible to use the attributes of elliptic  
7 curve cryptosystems to obtain a TOWF that provides a robust cryptosystem with a reduced  
8 bandwidth.

9   **[0033]**   In one aspect, the present invention provides a cryptographic system operating on  
10 an elliptic curve E of order n. The cryptosystem has an endomorphism [z] corresponding to a  
11 quadratic algebraic integer z that has the form  $z^2 + uz + v = 0$ , where u and v are secret  
12 integers, and v is relatively prime to n; a public key operation to apply the endomorphism [z]  
13 to cryptographic data x to obtain modified data x'; and a private key operation to apply [-  
14 w][u] + [z] to the modified data x' in order to obtain the data x, where w is an integer and  $wv$   
15  $= 1 \pmod n$ .

16   **[0034]**   In another aspect, the present invention provides method for performing  
17 cryptographic operations in a cryptographic system operating on an elliptic curve E of order  
18 n. The method comprises the steps of deriving an endomorphism [z] corresponding to a  
19 quadratic algebraic integer z that has the form  $z^2 + uz + v = 0$ , where u and v are secret  
20 integers, and v is relatively prime to n; applying a public key operation using the  
21 endomorphism [z] to cryptographic data x to obtain modified data x'; and applying a private  
22 key operation using [-w][u] + [z] to the modified data x' in order to obtain the data x, where  
23 w is an integer and  $wv = 1 \pmod n$ .

24

## 1 BRIEF DESCRIPTION OF THE DRAWINGS

2 [0035] An embodiment of the invention will now be described by way of example only  
3 with reference to the accompanying drawings, in which:

4 [0036] Figure 1 is a schematic representation of a cryptographic exchange scenario.

5 [0037] Figure 2 is a schematic representation showing an application of a trapdoor one-  
6 way function.

7 [0038] Figure 3 is a schematic representation showing an application of the trapdoor one-  
8 way function of Figure 2 for encryption.

9 [0039] Figure 4 is a schematic representation showing an application of the trapdoor one-  
10 way function of Figure 2 for digital signatures.

11 [0040] Figure 5 is a schematic representation showing an application of the trapdoor one-  
12 way function of Figure 2 for aggregated signatures.

13 [0041] Figure 6 is a schematic representation showing an application of the trapdoor one-  
14 way function of Figure 2 for aggregated signatures with a single message and multiple  
15 trapdoor one-way functions for multiple signers.

16

## 17 DETAILED DESCRIPTION OF THE INVENTION

18 [0042] Referring therefore to Figure 1, a cryptosystem 10 has a first entity 12, and a  
19 second entity 14 that communicate via a communication channel 16. The first entity 12 and  
20 second entity 14 each have a cryptographic module 15 that applies public key functions or  
21 private key functions 18 available to both entities 12, 14. Each entity 12, 14 will utilize the  
22 key functions 18 with the TOWF to obtain encryption/decryption or signing/verifying as  
23 described above.

24 [0043] In order to implement such a system, it is necessary to determine a suitable TOWF  
25 with corresponding public key functions and private key functions. The inventors have  
26 recognized that a suitable TOWF may be obtained by use of a quadratic algebraic integer  $z$ .

1 One then finds a curve  $E$  and rational map defining  $[z]$  on  $E$ . The rational map  $[z]$  is the  
 2 TOWF. Judicious selection of  $z$  will ensure that it has the necessary cryptographic attributes,  
 3 namely:

- 4 (a)  $[z]$  can be efficiently computed
- 5 (b) that  $[z]$  is difficult to invert
- 6 (c) determination of  $z$  from the rational functions defining  $[z]$  is difficult, and
- 7 (d) knowledge of  $z$  allows one to invert  $[z]$  on a certain set of elliptic curve points.

8 **[0044]** More generally, one can use a rational map  $r$  between two different curves  $E$  and  
 9  $E'$ . The rational map can be used as a TOWF. For ease of implementation, however, it is  
 10 more convenient to use  $E = E'$ . A rational map from  $E$  to  $E$  is the preferred implementation.

11 **[0045]** Because every rational map (i.e. from  $E$  to  $E$ ) is a composition of a translation and  
 12 an endomorphism, where the translation is easy to determine and invert, the most secure part  
 13 of the rational map is the endomorphism. Therefore an endomorphism is the preferred  
 14 implementation of the rational map.

15 **[0046]** The inventors have recognized that one potential way to calculate the trapdoor  
 16 inverse, for inverting  $z$ , is to use the quadratic equation for  $z$ :  $z^2 + uz + v = 0$ , where  $u$  and  $v$   
 17 are integers. Dividing this equation by  $vz$  gives  $(z + u)/v + (1/z) = 0$ . Hence  $(1/z) = -(z +$   
 18  $u)/v$ . Now,  $(1/z)$  is not generally a quadratic algebraic integer. More precisely, if  $z$  has  
 19 degree greater than 1, then  $(1/z)$  is not a quadratic algebraic integer. Therefore, there is no  
 20 endomorphism that inverts  $[z]$ . Instead there is a dual endomorphism  $[z'] = [- (z + u)]$ , which  
 21 satisfies  $[z][z'] = [v]$ . In a specific field  $F$ , the order  $n$  of the elliptic curve  $E$  can sometimes  
 22 be relatively prime to  $v$ , which means there is an integer  $w$  such that  $wv = 1 \bmod n$ . This  
 23 means that  $[w]$  acts as an inverse of  $[v]$  for the points of  $E$  defined over  $F$ .

24 **[0047]** In this case, the action of  $[z]$  on  $E(F)$  is invertible by the endomorphism  $[w][z'] =$   
 25  $[-w(z + u)]$ . If  $[z]$  can be found efficiently, then it is likely that  $[-w(z + u)]$  can as well. An  
 26 alternate expression for this is  $[-w]([u] + [z])$ .

1   **[0048]**     Accordingly, it is possible to utilize the endomorphism  $[z]$  as the public key  
2   operation and the relationship  $[-w][w] + [z]$  as the private key operation.

3   **[0049]**     The integers  $u, v$  are maintained secret and are only available to the entity  
4   performing the private key function.

5   **[0050]**     It will be appreciated that this will be specific to the field  $F$  and will not be true for  
6    $E$  defined over another field  $F'$ . The points of  $E$  defined over  $F$  are sometimes indicated as  
7    $E(F)$  to emphasize that points with coordinates outside of  $F$  are not under consideration.

8   **[0051]**     In order for  $[z]$  to be a trapdoor one-way function, it should be computationally  
9   infeasible to determine  $u$  and  $v$  from the public definition of  $[z]$ , otherwise its inverse on  $E(F)$   
10   is efficiently computable as  $[-w]([u] + [z])$ . Therefore,  $[z]$  needs to be given in a form that  
11   does not allow an easy determination of  $u$  and  $v$ .

12   **[0052]**     By providing  $[z]$  as a pair of rational functions, it is believed that  $u$  and  $v$  cannot  
13   easily be determined. Typically, the first coordinate is a function of  $x$  only, so that  $[z]$  is  
14   somewhat in canonical form  $(r(x), g(x, y))$ , then the description for evaluating  $r(x)$  may  
15   potentially reveal the degree of the numerator of  $r(x)$ , even though the full expansion of  $r(x)$   
16   as a ratio of two polynomials may be infeasible due to the large number of terms. Since the  
17   degree of  $[z]$  is  $v$ , it is possible that the description of  $[z]$  will reveal  $v$ . Therefore, to make  
18   sure that  $[z]$  is a one-way trapdoor, it is important to ensure that  $u$  is also not revealed,  
19   otherwise  $[z]$  could be inverted, as described above.

20   **[0053]**     According to Silverman's, determining the endomorphism ring of a general elliptic  
21   curve is a non-trivial problem. Since  $v$  and  $u$  essentially determine the endomorphism ring,  
22   up to an integer factor, it is generally infeasible to determine  $v$  and  $u$  from a description of the  
23   elliptic curve alone. It is therefore plausible that from the description of a single complex  
24   endomorphism, it is still a non-trivial problem to determine the endomorphism ring. In  
25   particular, this means it is still plausible that determining  $u$  from the description of  $[z]$  as a  
26   pair of rational functions is a non-trivial problem.

27   **[0054]**     Accordingly, the degree of  $z$  should be chosen such that it has a reasonably large  
28   order. This helps to ensure that all possible values of  $u$  cannot be exhausted using the

1 relationship  $u^2 < 4v$ . This follows from above, because  $z$  must be an imaginary complex  
2 number.

3 **[0055]** One possible construction for  $[z]$  is based on the following observations. As  
4 discussed above, if  $e = [z] = (r(x), \text{cyr}'(x))$  has degree  $m$ , then  $r(x) = p(x)/q(x)$  where  $p$  and  $q$   
5 are polynomials of degree  $m$  and  $m-1$  respectively. The kernel of  $e$  is the set of  $m$  points  
6 elliptic  $O = Z_1, Z_2, \dots, Z_m$ , such that  $e(Z_j) = O$  for  $j$  from 1 to  $m$ . If  $Z_j = (z_j, y_j)$  for  $j$  from 2 to  
7  $m$ , then it can be assumed that  $q(x) = (x - z_2)(x - z_3) \dots (x - z_m)$ . Moreover,  $mZ_j = O$ , since  
8  $[z'] [z] = [m]$  where  $z'$  is the conjugate of  $z$  as determined above as  $mZ_j = [m] Z_j = [z'] [z] Z_j =$   
9  $[z'] O = O$ . Furthermore, the kernel of  $e$  is a subgroup of order  $m$  in the elliptic curve  $E$ ,  
10 though not necessarily as a part of  $E(F)$ . The elliptic curve, as a whole, generally has at least  
11  $m+1$  such subgroups.

12 **[0056]** Next, consider the elliptic curve containing the point  $B = (0, \sqrt{b})$ . Suppose that  
13 there is some point  $W$  such that  $[z]W = B$ . Let  $W_j = W + Z_j$  for  $j$  from 1 to  $m$ . (Note  $W_1 = W$   
14  $+ Z_1 = W + O = W$ ) Suppose that  $W_j = (w_j, u_j)$  for  $j = 1$  to  $m$ . Then  $p(x) = d(x - w_1)(x - w_2)$   
15  $\dots (x - w_m)$  for some constant  $d$ .

16 **[0057]** Notice that  $p(x) = d(x - w_1)u(x)$  where the roots of  $u(x)$  are essentially a rational  
17 function of the roots of  $q(x)$ . When the roots of two polynomials have a simple relationship  
18 such as this, there is a transformation of the coefficients of the polynomial. For example if  
19 the roots of  $u(x)$  are the squares of the roots of  $q(x)$  then  $u(x) = q(\sqrt{x})q(-\sqrt{x})(-1)^{\deg q(x)}$ . In this  
20 way, it is seen that the ability to evaluate  $q(x)$  provides a means to evaluate  $u(x)$ .

21 **[0058]** Applying the above observations, one may search for a subgroup of order  $m$  in  
22 some elliptic curve  $E$ , whose finite  $x$ -coordinates are the zeros of a low Hamming Weight  
23 polynomial  $q(x)$ . It is desirable to have a low Hamming Weight polynomial  $q(x)$  because  
24 they are efficient to evaluate. One would then find a point  $W$  as mentioned above, which  
25 allows one to compute the numerator  $p(x)$  efficiently, as outlined above. Once  $p(x)$  and  $q(x)$   
26 can be evaluated, then  $r(x)$  can be evaluated.

27 **[0059]** An illustration of how one may find such polynomials  $p(x)$ ,  $q(x)$  is as follows.  
28 Note that if  $Z_j$  is in the kernel of  $[z]$  then so is  $-Z_j$  and thus  $z_j$  can appear as a double root of  
29  $q(x)$ . Suppose that  $q(x)$  has a degree  $m$  that is prime. Suppose further that  $m$  is an Elkies

1 prime, the precise meaning of which is not a concern for the following discussion. This  
 2 means that  $q(x) = s(x)^2$  for a polynomial  $s(x)$  of degree  $(m-1)/2$ , which is a factor of the  $m^{\text{th}}$   
 3 division polynomial. The Schoof-Elkies-Atkin (SEA) algorithm for counting points on an  
 4 elliptic curve  $E(F)$  includes a step where a polynomial of the form  $s(x)$  is found. The  
 5 coefficients of the polynomial  $v(x)$  are found by a recursion equation. Therefore, methods  
 6 are known for constructing such a polynomial. In the SEA algorithm, such  $s(x)$  are found for  
 7 relatively small values of  $m$ , but for the present purpose, it is advantageous to make  $m$  large.

8 **[0060]** Another possible approach is to choose an irreducible polynomial  $s(x)$  of low  
 9 Hamming weight. Let  $z$  be one of its roots, where  $z$  is the  $x$ -coordinate of some point over  
 10 the elliptic curve  $E$ . The point may have a finite order  $m$ . This finite order will hold for any  
 11 root  $z$  of  $s(x)$ , by applying Galois automorphisms. If it is also the case that these points  
 12 arising from the roots of  $s(x)$  are closed under, that is, they form a subgroup of  $E$ , then  $s(x)$   
 13 has the desired form. For this to happen, we would basically need a Galois automorphism  $g$   
 14 and a point  $P$  on  $E$  such that  $g(P) = 2P$ . By searching for a  $g$ ,  $P$ , and  $E$  such that this is  
 15 possible, one may be able to find a polynomial  $s(x)$  of the desired form. In practice, the  $y$ -  
 16 coordinate can be ignored because it can only take one of two values.

17 **[0061]** If the endomorphism's kernel intersects the group  $E(F)$  at only the point  $O$ , then  
 18 the action of the endomorphism  $e$  on the group  $E(F)$  is invertible. In this case, the  
 19 endomorphism  $e$  is an automorphism of the group  $E(F)$ . Generally the group  $E(F)$  will be  
 20 cyclic, and in the following discussion, we assume that  $E(F)$  is cyclic. If  $e$  is an  
 21 automorphism of a cyclic group of order  $n$ , then an algorithm realized by the inventors  
 22 determines an integer  $d$  such that  $e(G) = dG$ , where one uses additive notation for the group.  
 23 The cost of this algorithm depends on the factorization of  $n - 1$ . It is known that random  
 24 values of  $n$  generally have a factor  $f$  that is approximately  $n^{1/3}$ . Given a factor of this size, the  
 25 algorithm can determine  $d$  in a constant multiple of  $f$  steps. This is considerably faster than  
 26 the generic algorithms for finding  $d$  given  $dG$ . These generic algorithms take  $n^{1/2}$  steps.

27 **[0062]** Therefore, it is desirable that the group  $E(F)$  has order  $n$  such that  $n - 1$  does not  
 28 have a factor  $f$  near to  $n^{1/3}$ . An alternative to choosing  $n$  in this way is simply to choose  $n$   
 29 slightly larger, so that cost of an attack of  $n^{1/3}$  is out of reach for the adversaries under  
 30 consideration. For example, at a security level of 80 bits, such a larger  $n$  could be chosen so  
 31 that  $n$  is approximately  $2^{240}$ , and at a security level of 128 bits,  $n$  could be chosen so that  $n$  is

1 approximately  $2^{384}$ . However, for efficiency reasons it is preferable to use a smaller  $n$ , and  
 2 therefore it is presumed that the extra work necessary to ensure  $n - 1$  has a size similar to  $n^{1/3}$   
 3 will be undertaken.

4 **[0063]** The manner in which an endomorphism  $e$  would be used is generally shown in  
 5 Figure 2. The first entity 12 takes an  $x$  value. It could choose one of the two corresponding  $y$   
 6 values arbitrarily. It would then apply the public key function  $[z]$  as a rational map  $e = (r(x),$   
 7  $g(x,y))$  and evaluate  $e(x, y)$  to arrive at some value  $(x', y')$ . This would be the basic public  
 8 key operation. A second entity 14 receives the message  $(x', y')$  and then applies  $e^{-1}$  to get the  
 9 value  $(x, y)$ . This would be the basic private key operation  $[-w][u] + [z]$ . Notice that if  $y$  is  
 10 changed to  $-y$ , the  $y'$  changes to  $-y'$ , but  $x'$  and  $x$  are unaffected. Therefore  $y$  can more or  
 11 less be ignored for all practical purposes.

12 **[0064]** To apply this to encryption as shown in Figure 3, the first entity 12 sets  $x$  to the  
 13 plaintext and  $x'$  to the ciphertext by application of the public key function  $[z]$ . Known  
 14 sophisticated approaches to public key encryption generally apply some randomized padding  
 15 to the plaintext  $x$ , so that, among other things, repeated encryption of the same plaintext give  
 16 different ciphertexts. The second entity 14 decrypts the ciphertext  $x'$  using the private key  
 17 function to obtain plaintext  $x$ .

18 **[0065]** To apply this to signatures as shown in Figure 4, the second entity 14 sets  $x'$  to be  
 19 the message to be signed, and computes  $x$  as the signature by application of the private key  
 20 function. Generally some hashing is used to create  $x'$  from a longer message, which is a  
 21 standard technique for digital signatures. The first entity 12 uses the public key operation  $e$   
 22 to confirm that  $e(x, y) = (x', y')$ . The hash function is one-way, so the first entity cannot forge  
 23 a signature by starting from  $(x, y)$  and applying  $e$  to get  $(x', y')$ , because the next step would  
 24 be to find a message  $M$ , such that  $x' = \text{Hash}(M)$ , which is considered infeasible for a one-  
 25 way hash function.

26 **[0066]** If the problem of inverting  $[z]$  is as hard as the discrete logarithm problem in  $E$ ,  
 27 then the size of the cryptographic group can be smaller than the group used for the RSA  
 28 TOWF. For example, a 3072 bit RSA modulus is considered to be roughly as secure as an  
 29 elliptic curve defined over a 256-bit field. The security level of both these objects is  
 30 considered to be 128 bits, which is a commercial grade security level now most widely used

1 across the Internet, such as for online banking. The elliptic curve trapdoor one-way function  
2 [z], the size of signature x or basic ciphertext x' is 256 bits, whereas for RSA the size is 3072  
3 bits.

4 [0067] Comparing to conventional elliptic curve cryptography (ECC), a signature for a  
5 256-bit elliptic curve is about 512 bits long, which is twice the size of the signature for an  
6 elliptic curve TOWF. A similar savings is possible for encryption.

7 [0068] In another embodiment and application of the present invention the TOWF is  
8 applied to the aggregation of signatures or ciphertexts. The following will be explained for  
9 signatures, but it will be appreciated that the details for ciphertexts are quite similar.

10 [0069] Aggregation of signatures means a single signature represents a multiplicity of  
11 messages signed by a single signer, or a single message signed by a multiplicity of signers, or  
12 a multiplicity of messages signed by a multiplicity of signers.

13 [0070] Referring now to Figure 5, to sign t messages  $m_1, m_2, \dots, m_t$  a signer (e.g. first  
14 entity 12) hashes each message and converts each hash to an elliptic curve point, yielding t  
15 points  $P_1, \dots, P_t$  which are then added together to yield a point  $P = P_1 + \dots + P_t$ . The signer  
16 then applies the inverse function  $e^{-1}$  to obtain the signature  $S = e^{-1}(P)$ , which is a single  
17 message for multiple messages. Verification by another entity (e.g. second entity 14) consists  
18 then of hashing the messages, converting each hash to a point, summing to a total P, and then  
19 applying the public key 18 operation e to S by checking if  $e(S) = P$ . The advantage of doing  
20 this over simply concatenating the messages is to achieve greater flexibility for the signer  
21 wishing to change parts of the message, because the signing is additive.

22 [0071] The procedure described above does not impose an order of signing individual  
23 message components, i.e., signature verification is relative to an (unordered) set of signatures  
24 signed by the same entity. It should be noted, however, that this procedure can easily be  
25 generalized towards weighted sums of individual signatures, rather than the sum of individual  
26 signature components  $S_1, \dots, S_t$ , provided that the individual scalar multiples (the 'weights')  
27 can be retrieved or derived by the verifying entity. This would allow the enforcement of  
28 ordering in the signing process of these t messages, by making the weights dependent on the  
29 applicable ordering.



1 [0072] Referring now to Figure 6, if  $t$  different signers (e.g. collectively the first entity  
 2 12) use the same elliptic curve group and have different TOWF  $e_1, \dots, e_t$ , then they may form  
 3 an aggregate signature of a single message as follows. To sign a message  $m$ , the first signer  
 4 of the first entity 12 computes a hash of the message and convert the hash to an elliptic curve  
 5 point  $P$ . Then they together (i.e. all signers of the first entity 12) compute  $e_t^{-1}(e_{t-1}^{-1}(\dots(e_1^{-1}$   
 6  $^1(P))))$ , by each applying their private key operation, where signing takes place by entities 1,  
 7 2, ...,  $t$  in order. Verification (e.g. by the second entity 14) consists of applying each of the  
 8 corresponding public key 18 operations, in reverse order, and checking whether the resulting  
 9 point  $P$  corresponds to the hash value of the signed message  $m$ .

10 [0073] Generally, elliptic curve endomorphisms commute, so the order in which signing  
 11 of a single message by multiple entities seems irrelevant. It should be noted, however, that  
 12 this procedure can easily be generalized such as to enforce an ordering in the signing process.  
 13 This can be realized by, for example, having each signing entity apply an offset to the  
 14 signature computed, as described below.

15 [0074] Suppose the individual signature by entity  $i$  on point  $P$  is  $e_i^{-1}(P+A_i)$ , where the  
 16 elliptic curve point  $A_i$  is unique for entity  $i$ . Then the ordered aggregate signature over  
 17 message  $m$  by entities 1, 2, ...,  $t$  is obtained by hashing  $m$  and converting this to the elliptic  
 18 curve point  $P$  (as before), and subsequently having each of the signing entities apply his own  
 19 signing operation on the resulting value. This results in  $S_1=e_1^{-1}(P+A_1)$ ,  $S_2=e_2^{-1}(S_1+A_2)$ , ...,  
 20  $S_t=e_t^{-1}(S_{t-1}+A_t)$ , where  $S_t$  is the resulting aggregate signature. Signature verification is now a  
 21 trivial modification of the procedure described above, provided the individual offsets  $A_1, \dots$ ,  
 22  $A_t$  can be retrieved or derived by the verifying entity and depends on computing the sequence  
 23  $S_{t-1}=e_t(S_t)-A_t$ ,  $S_{t-2}=e_t(S_{t-1})-A_{t-1}$ , ...,  $S_1=e_2(S_2)-A_2$ ,  $P=e_1(S_1)-A_1$  and checking whether the  
 24 elliptic curve point  $P$  corresponds with the hash value of the signed message  $m$ .

25 [0075] Above, a modification of the original scheme is described such as to enforce an  
 26 ordering of the signing process using offsets  $A_i$  that are unique for each of the signing  
 27 entities. It will be seen that variations hereof are possible, such as defining  $S_i=e_i^{-1}(f(P,i))$   
 28 rather than  $S_i=e_i^{-1}(P+A_i)$ , where  $f$  is a mapping on  $E$  with the property that one can  
 29 efficiently re-compute  $P$  from  $f(P,i)$  and public information associated with signing entity  $i$ .  
 30 The ordered signing of a single message by multiple entities could be useful for signing off,  
 31 for example, projects in a large organization, where multiple signatures are required and a

1 project needs to be signed off by authorized parties involved in a particular hierarchical order  
2 (e.g., bottom-up).

3 [0076] Although the invention has been described with reference to certain specific  
4 embodiments, various modifications thereof will be apparent to those skilled in the art  
5 without departing from the spirit and scope of the invention as outlined in the claims  
6 appended hereto. The entire disclosures of all references recited above are incorporated  
7 herein by reference.

8

1    **What is claimed is:**

2

3       1. A cryptographic system operating on an elliptic curve  $E$  of order  $n$ , said cryptosystem  
4       having an endomorphism  $[z]$  corresponding to a quadratic algebraic integer  $z$  that has  
5       the form  $z^2 + uz + v = 0$ , where  $u$  and  $v$  are secret integers, and  $v$  is relatively prime to  
6        $n$ ; a public key operation to apply said endomorphism  $[z]$  to cryptographic data  $x$  to  
7       obtain modified data  $x'$ ; and a private key operation to apply  $[-w][u] + [z]$  to said  
8       modified data  $x'$  in order to obtain said data  $x$ , where  $w$  is an integer and  $wv = 1 \bmod$   
9        $n$ .

10      2. A cryptographic system according to claim 1 wherein said integer  $z$  is a complex  
11      number having real and imaginary components.

12      3. A cryptographic system according to claim 1 wherein said endomorphism  $[z]$  is  
13      represented as a rational map.

14      4. A cryptographic system according to claim 1 wherein said cryptographic data  $x$   
15      comprises a message  $m$ , said public key operation operates to encrypt said message  $m$   
16      to obtain an encrypted message  $m'$ , and said private key operation operates to decrypt  
17      said encrypted message  $m'$  to obtain said message  $m$ , said public key operation  
18      performed by a first entity and said private key operation performed by a second  
19      entity, said first and second entities being part of said cryptographic system.

20      5. A cryptographic system according to claim 1 wherein said data  $x'$  comprises a  
21      message  $m$  for signature by a first entity of said cryptographic system, said private  
22      key operation operates on said message  $m$  to obtain a signature  $s$ , and said public key  
23      operation operates on said signature  $s$  by a second entity of said cryptographic system  
24      to verify said signature, said message  $m$  being originally generated by said second  
25      entity.

26      6. A cryptographic system according to claim 5 wherein said message  $m$  is generated  
27      from a hash function applied to an original message  $M$ .

28      7. A cryptographic system according to claim 1 wherein said cryptographic data  $x$   
29      comprises a plurality of messages to receive a signature by a first entity of said

- 1 cryptographic system, said private key operation operating on a combination of said  
2 plurality of messages to obtain said signature, and said public key operation being  
3 used by a second entity of said cryptographic system to verify said signature and  
4 thereby verify each said plurality of messages.
- 5 8. A method for performing cryptographic operations in a cryptographic system  
6 operating on an elliptic curve  $E$  of order  $n$ , said method comprising the steps of  
7 deriving an endomorphism  $[z]$  corresponding to a quadratic algebraic integer  $z$  that  
8 has the form  $z^2 + uz + v = 0$ , where  $u$  and  $v$  are secret integers, and  $v$  is relatively  
9 prime to  $n$ ; applying a public key operation using said endomorphism  $[z]$  to  
10 cryptographic data  $x$  to obtain modified data  $x'$ ; and applying a private key operation  
11 using  $[-w][u] + [z]$  to said modified data  $x'$  in order to obtain said data  $x$ , where  $w$  is  
12 an integer and  $wv = 1 \bmod n$ .
- 13 9. A method according to claim 8 wherein said integer  $z$  is a complex number having  
14 real and imaginary components.
- 15 10. A method according to claim 8 wherein said endomorphism  $[z]$  is represented as a  
16 rational map.
- 17 11. A method according to claim 8 wherein said cryptographic data  $x$  comprises a  
18 message  $m$ , application of said public key operation encrypts said message  $m$  to  
19 obtain an encrypted message  $m'$ , and application of said private key operation  
20 decrypts said message  $m$  from said encrypted message  $m'$ .
- 21 12. A method according to claim 8 wherein said data  $x'$  comprises a message  $m$  for  
22 signature; said private key operation operates on said message  $m$  to obtain a signature  
23  $s$ , and said public key operation operates on said signature  $s$  to verify same.
- 24 13. A method according to claim 12 wherein said message  $m$  is generated from a hash  
25 function applied to an original message  $M$ .
- 26 14. A method according to claim 8 wherein said cryptographic data  $x$  comprises a  
27 plurality of messages to receive a signature, said private key operation operates on a  
28 combination of said plurality of messages to obtain said signature, and said public key

1 operation operating on said signature to verify same and thereby verify each said  
2 plurality of messages.

3 15. A method according to claim 8 wherein said data x comprises a message to be signed  
4 by a plurality of signers, said private key operation comprising a plurality of  
5 operations corresponding to each said signer, said private key operations being  
6 applied successively to said message to obtain a signature, said public key operation  
7 comprising a plurality of operations corresponding to each said signer, said public key  
8 operations being applied successively in opposite order to said private key operations  
9 to verify said signature.

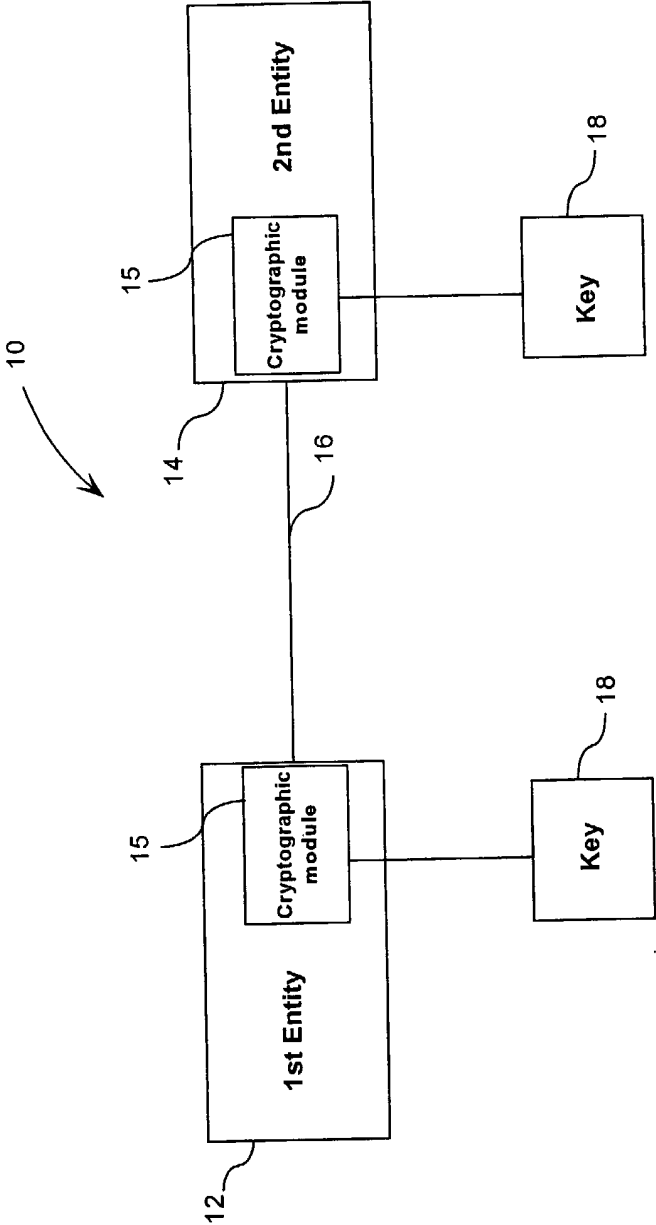


Figure 1

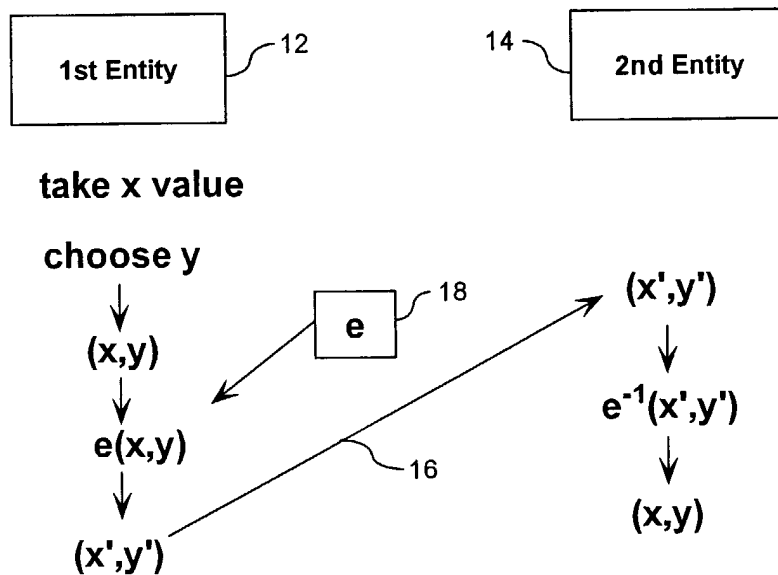


Figure 2

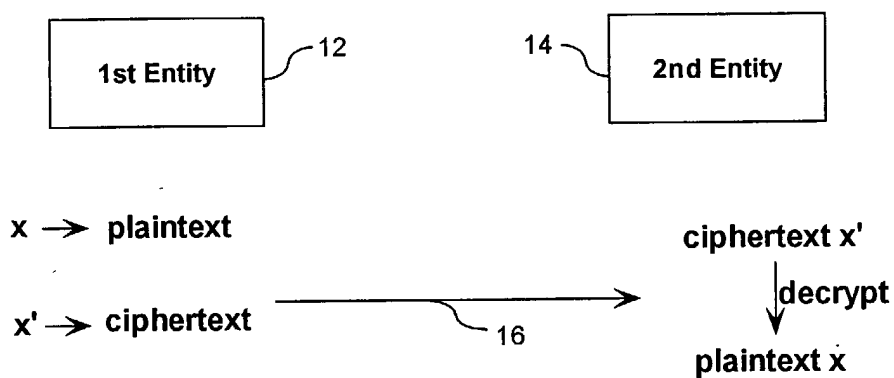
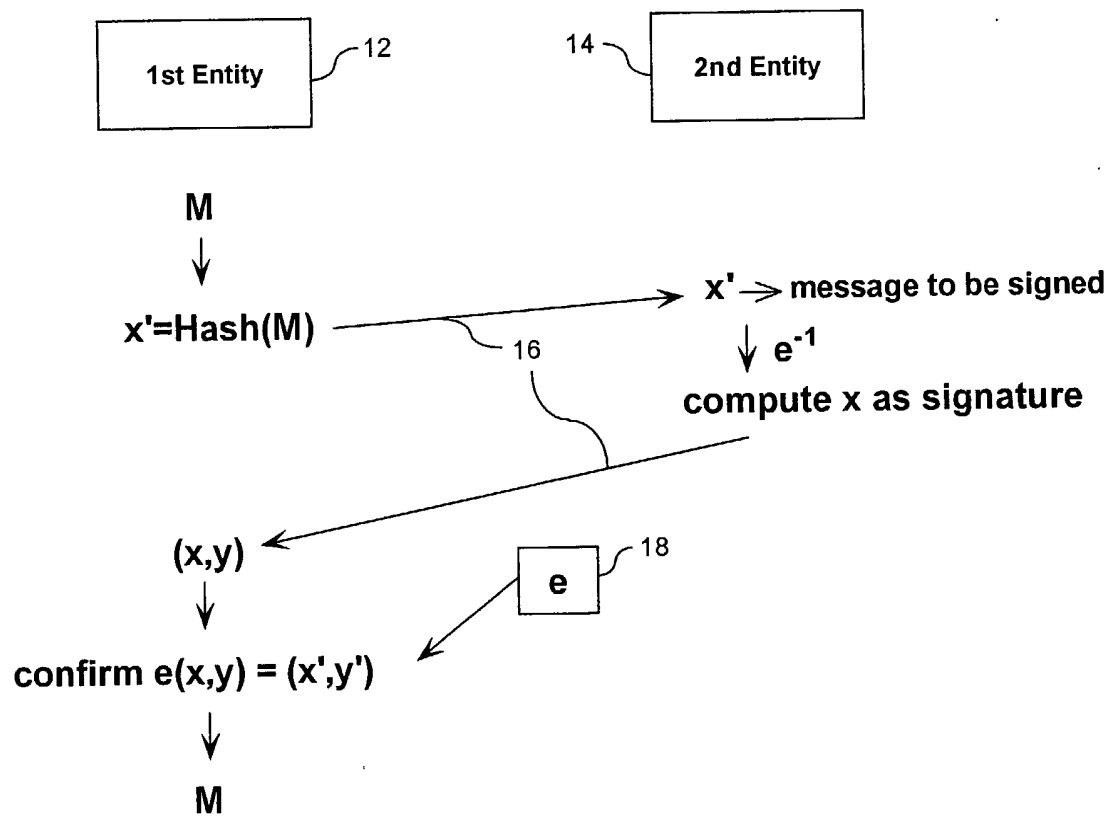
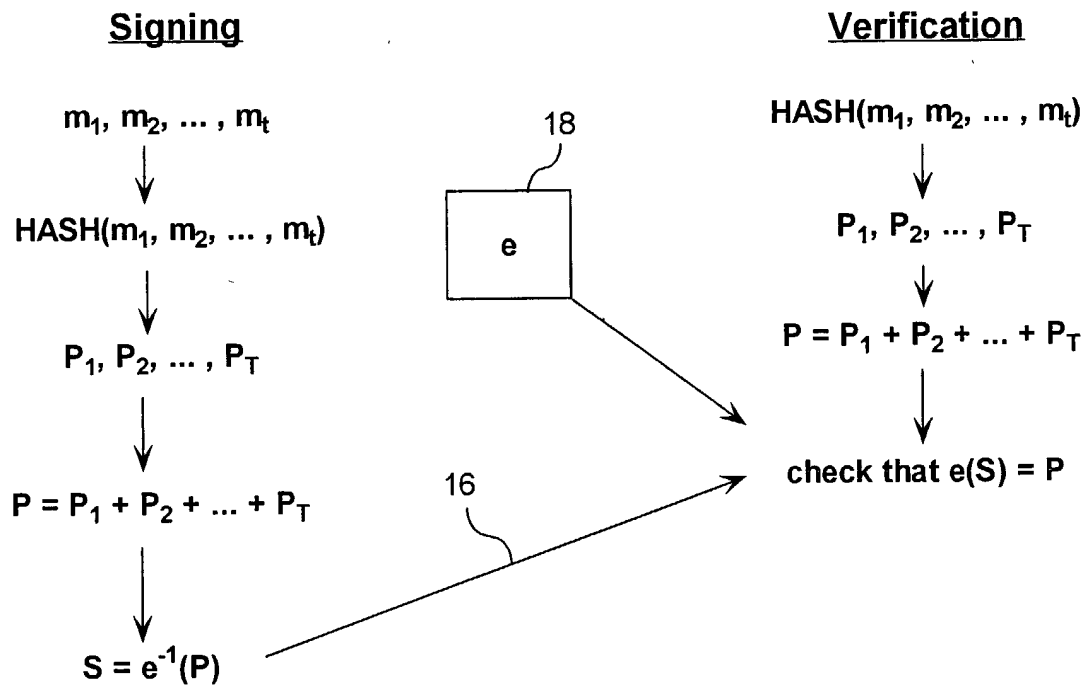


Figure 3

**Figure 4**



**Figure 5**

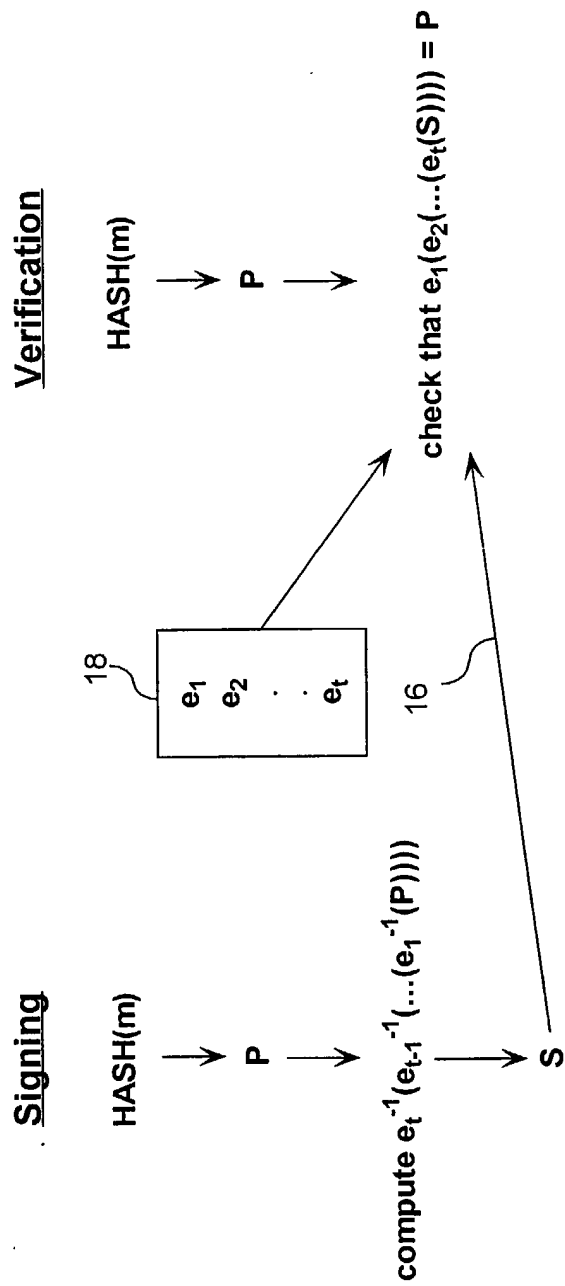


Figure 6

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CA2005/001720

## A. CLASSIFICATION OF SUBJECT MATTER

**IPC: H04L 9/30** (2006.01)

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
H04L-9/30 (using keywords)

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic database(s) consulted during the international search (name of database(s) and, where practicable, search terms used)  
Delphion, Canadian Patent Database, WEST  
Keywords: public key, crypto\$, elliptic, trapdoor, one-way, \$morphism, map, rational

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category* | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No. |
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| A         | US5,751,808; "Multipurpose High Speed Cryptographically Secure Sequence Generator Based on Zeta-One-Way Functions"; Anshel et al. May 12, 1998 (12-05-1998)<br>[col. 2, line 49 to col. 3, line 59], [col. 8, line 32 to col. 11, line 64].  | 1-15                  |
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☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

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| "P" document published prior to the international filing date but later than the priority date claimed  |  |

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| Date of the actual completion of the international search<br>22 February 2006 (22-02-2006)  | Date of mailing of the international search report<br>10 March 2006 (10-03-2006) |
| Name and mailing address of the ISA/CA<br>Canadian Intellectual Property Office<br>Place du Portage I, C114 - 1st Floor, Box PCT<br>50 Victoria Street<br>Gatineau, Quebec K1A 0C9<br>Facsimile No.: 001(819)953-2476 | Authorized officer<br><br>Lawrence J. Engel (819) 997-2936                       |

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CA2005/001720

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