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| <p>(21) International Application Number: PCT/US93/01142</p> <p>(22) International Filing Date: 8 February 1993 (08.02.93)</p> <p>(30) Priority data:<br/>07/838,486 20 February 1992 (20.02.92) US</p> <p>(71) Applicant: SYNVASIVE TECHNOLOGY, INC. [US/US];<br/>11328 Sunrise Gold Circle, Rancho Cordova, CA 95642 (US).</p> <p>(72) Inventor: FISHER, Michael, G. ; 1468 Lake Hills Drive,<br/>Folsom, CA 95630 (US).</p> <p>(74) Agent: CRANFILL, Raymond; Graham &amp; James, One<br/>Maritime Plaza, 3rd. Floor, San Francisco, CA 94111 (US).</p>   |                  | <p>(81) Designated States: European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b><br/><i>With international search report.<br/>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p> |
| <p>(54) Title: SURGICAL CUTTING BLOCK AND METHOD OF USE</p>  |                  |  |
|  |                  |  |
| <p>(57) Abstract</p> <p>An improved surgical cutting block (10) for guiding bone saws in joint surgery and similar instruments is disclosed. The cutting block (10) is provided with one or more cutting guide surfaces (16) that may be positioned on exterior faces of the block (10) or along channels within the block. The cutting surface (16) of the material is composed of a material that has a Knoop hardness of 466 or greater (under a 500 gm load or greater), a chrome content of less than 10 % and a nickel content of less than 4 %. According to another aspect of the invention, a method of cutting bone tissue utilizing the improved surgical cutting device (10) whereby heat-generated damage to bone tissue is reduced and production of toxic residue is minimized.</p> |                  |  |

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**SURGICAL CUTTING BLOCK AND METHOD OF USE****Field of the Invention**

5 The field of the invention relates to surgical devices, and more particularly to surgical cutting blocks used for guiding saws and similar cutting devices in the shaping of bone, cartilage and other medium to hard tissue.

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**Reference**

Sunderman et al. 1989. Journal of Orthopaedic Research 7:307-315.

**Background of the Invention**

15 Artificial joints, such as knee and hip socket replacements, are frequently implanted in the body to repair or replace damaged or diseased joints. In order to achieve a successful implant, the bone adjacent to the joint must first be cut and shaped in an  
20 appropriate shape that is geometrically reciprocal to the shape of the implant. Typically, the majority of cutting is done with a saw blade attached to a motorized surgical handpiece, which propels the blade in a variety of directional or bi-directional motions.

25 In most joint replacement surgery, the fit between the bone surface and the replacement is very precise, often with tolerances of a few thousandths of an inch. Virtually all surgeons use a cutting block to force, hold captive or guide a blade along a reference surface  
30 along one or more sides of the cutting block. The reference surface assures that the cutting plane will be extended to and through the bone by helping to guide the blade on its path through the bone.

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Although widely used, known cutting blocks and surgical methods based on the use of known cutting blocks suffer from several serious problems. A commonly encountered problem is systemic toxicity following treatment. Standard surgical cutting blocks are made from various grades of stainless steel that are quickly eroded by the high speeds at which most surgical blades operate. The result of such erosion is the production of a slurry, commonly referred to in the industry as "sludge," in and near the operation site. The sludge contains the various elements present within the alloy making up both the cutting block and the surgical blades. A number of metals often found in stainless steel alloys, including both nickel and chrome, are left behind in the joint and eventually make their way throughout the patient's body. Nickel in particular is a known carcinogen. In a recent study, Sunderman et al. (1989) report that nickel concentrations in patients having joint replacement surgery rose 11 fold in the 1-2 days following surgery as compared to preoperative levels.

Aside from the problem of toxic sludge, erosion of stainless steel cutting blocks quickly cause the fretting of the reference plane surface, thereby destroying the ability of the cutting block to provide a precise reference edge during surgery. In most applications, tolerances of a few thousandths of an inch are lost after 5-10 minutes of cutting, thereby forcing the surgeon to replace the cutting block (often impractical during surgery) or accept a less precise cut. Unfortunately, the failure to provide a precise alignment along the surface of contact between the prosthesis and the remaining bone can result in post-

operative bone degradation, infection and joint failure.

Another serious problem encountered in the use of known cutting blocks is the heat of friction created during surgery. Although much of the heat comes from the frictional interaction of the saw teeth and the bone, a substantial amount of heat is generated and thus added to the blade by friction between the blade and the cutting block. It is well known that damage to bone tissue begins after bone temperature exceeds 50°C and that irreparable damage takes place after temperatures exceed 70°C for three or more minutes. Existing cutting devices and methods of use can generate heat in excess of 50°C and even 70°C.

In view of the foregoing, there is a clear need for a cutting block and method of use that does not deliver toxic elements to the patient as a by-product of erosion of the cutting block and/or blade. There is also a need for a cutting block with superior hardness that is capable of retaining its original configuration without unacceptable fretting during surgery. A further need is for a cutting block and blade combination that has a relatively low coefficient of friction during operation, thereby reducing blade heating and bone tissue degradation.

#### Summary of the Invention

It is a general object of the invention to provide an improved cutting block having a reference surface composed of a composition that has minimal amounts of toxic and carcinogenic elements such as nickel and chrome.

It is another object of the present invention to

provide a cutting block having a cutting guide surface with a superior hardness such that the configuration and tolerances of the cutting surface is retained substantially throughout use during an operation.

5           It is yet another object of the present invention to provide a cutting block having a cutting guide surface that has a relatively low coefficient of friction when used in surgery with a given blade whereby heat build up in the blade from frictional contact with the cutting guide surface is minimized.

10           Still another object of the invention is to provide a method for cutting bone and similar hard tissue that does not leave behind toxic by-products at the operation site.

15           Another object of the invention is provide a method of cutting bone and similar hard tissue that does is capable of making a precise cut with a desired tolerance of a few thousandths of an inch.

20           A further object of the invention is to provide a method of cutting bone or similar hard tissue that minimizes heat damage to bone tissue.

25           Another object of the invention is to provide a method for precision cutting of sort tissues that does not result in deposit of toxic substances in the body or result is heat-associated tissue damage.

30           The invention meets these objects by providing an improved cutting block having one or more cutting guide surfaces composed of a composition having a Knoop hardness of 466 or greater (under a 500 gm load or greater), a chrome content of less than 10% by weight and a nickel content that is substantially less than 4% by weight.

The cutting block of the invention may be entirely

composed of the desired composition or may be a composite construction having a core unit composed of stainless steel or other material suitable for surgical applications that is fitted with and coupled to one or more units composed of the composition described above and configured with a desired cutting guide surface. Such units may be discrete blocks or laminas that are physically affixed to or inlaid into a desired surface of the core unit or alternatively may constitute coatings or deposits that are bonded to a desired surface of the core unit using known techniques.

The method of the invention meets these objects by utilizing the cutting block of the invention in concert with known blades or blades having the hardness and chemical composition characteristic of the cutting guide surface.

The surgical device and method of the invention are advantageous over prior art in that toxic deposits within the joint as a result of surgery are minimized, in that the tolerances of the reference cutting surface are maintained throughout surgery, and in that blade heating due to friction between the blade and cutting guide surface is reduced.

These and other objects and advantages of the invention will become more fully apparent when the following detailed description of the invention is read in conjunction with the accompanying drawings.

#### Brief Description of the Drawings

FIGS. 1A & 1B are views in perspective of two embodiments of the cutting block of the invention.

FIG. 2 is a view in perspective of a first saw-captive embodiment of the cutter of the invention.

FIG. 3 is a view in perspective of a second saw-captive embodiment of the cutter of the invention.

#### Detailed Description of the Invention

5           Turning now to the figures, the cutting block of the invention will now be described. According to one aspect of the invention, cutting block 10 can be comprised of a single material or composition that has been shaped to provide one or more cutting guide  
10 surfaces 12. Alternatively, as shown in FIG. 1A and 1B, cutting block 10 may be comprised of a core unit 14 coupled to one or more cutting guide units 16. Additionally, cutting block 10 can be provided with positioning pins 18 that are either integral with the  
15 cutting block or which may be attached to the cutting block via screw holes 20.

          Although the composition of the interior portion of the block and positioning pins can be of almost any durable, hard material that is not easily fractured,  
20 such as stainless steel, the cutting guide surface 12 of the cutting block should be of a material having a high degree of hardness coupled with low chrome and nickel content. Satisfactory parameters for such a material include a Knoop hardness of 466 or greater  
25 (under a 500 gm load or greater), a chrome content of less than 10% and a nickel content of less than 4%. A preferred material would have a Knoop hardness of 800 or greater (under a 500 gm load or greater), a chrome content of less than 8% and a nickel content of less  
30 than 3%. A most preferred material would have a Knoop hardness of 1000 or greater (under a 500 gm load or greater), a chrome content of less than 6% and a nickel content of less than 2%.

It will be appreciated that the cutting guide units 16 can be laminated or otherwise affixed at desired positions and angles on a core unit that may or may not have these characteristics. Alternatively, 5 such cutting surface may be achieved by directly depositing a material having these characteristics on a preformed cutting guide surface, so long as the thickness of the material deposited is sufficiently thick to perform under normal surgical conditions. Of 10 course, it will also be apparent to one skilled in the art that the entire block may be fabricated out of a composition having the desired hardness and chemical composition outlined above, provided that doing so is time and cost effective.

15 Materials having the desired characteristics that would be suitable for this work include ceramics such as zirconia, aluminas, certain borides such as titanium diboride and boron carbide, nitrogen-hardened titanium and similar materials. Such materials are known in the 20 art and can be obtained from Coors Ceramic Company (Golden, Colorado). Although any of the materials just listed have the desired characteristics for cutting surface material as described above, ceramics like 25 zirconia with very high Knoop hardness, high fracture toughness and low thermal conductivity are preferred. Such compounds are additionally advantageous because they have low coefficients of friction when used with standard metallic cutting blades, thus reducing heat 30 generation, and are essentially nickel and chrome-free.

Although cutting blocks with cutting guide surfaces positioned on one or more outside faces are suitable for all types of orthopaedic surgery, saw-

captive blocks are preferred by some surgeons. Saw-captive embodiments of the cutting block of the invention are depicted in FIGS. 2 and 3. Cutting block 10 may be configured to provide open channels extending laterally from each end, as shown in FIG. 2 or may be provided with internal channels as shown in FIG. 3. In each case, the block may be comprised of a solid piece of material having the desired hardness and metal content characteristics, or may be a composite comprised of a core unit 14 coupled with cutting units 16 so that the cutting guide surfaces 12 are comprised of the desired material.

According to another aspect of the invention, a method of precision cutting of bone and other hard to moderately hard body tissues, such as cartilage, is provided. Typically, the surgeon will first expose the joint or joint region to be replaced. Holes will be drilled into the bone having a depth and position reciprocal to the configuration of the alignment pins of the cutting block so that the cutting guide surface of the cutting block is properly aligned to cut the bone at a desired angle and to a desired depth using a standard saw. A cutting block having a cutting guide surface composed of a material having the hardness and chemical characteristics set forth above is then placed into the joint by inserting the alignment pins into the bone drill holes. The surgeon then uses the cutting guide surface to align and guide the blade as a cut is made into the cartilage and bone tissue. With cutting blocks having the cutting guide surface on an external face of the block, the surgeon made place pressure on the blade during surgery to cause the blade to bow, thereby adjusting the angle of the cut as

surgery proceeds. After the bone has been cut and removed, the joint prosthesis is implaced and the joint is closed. The cutting block of the invention may also be used to cut soft tissues, either using adjacent bone as a reference anchor or by using a adjustable mount  
5 separate from the patient.

From the foregoing, it will be appreciated how the objects and features of the invention are met. The hardness of the cutting guide surface helps to maintain an even reference cutting surface that is not liable to fret. The preferred materials are also low in toxic  
10 metal ions which may be shed in residue during surgery. Further, the hardness of the material, particularly ceramics such as zirconia, ensure that fretting is  
15 minimized which reduces friction and thus heat generation during operation and reduces sludge production that can be shed during the operation in the treatment area.

Although the invention has been described with respect to a particular surgical cutting block and  
20 method for its use, it will be appreciated that various modifications of the apparatus and method are possible without departing from the invention, which is defined by the claims set forth below.

25

I claim:

1. A surgical cutting block having at least one cutting guide surface for guiding bone saws and similar blades wherein the cutting guide surface is comprised of a material having a Knoop hardness of 466 or greater (under a 500 gm load or greater) and a chrome content of 10% or less.
2. The surgical cutting block of claim 1 wherein the material has a nickel content of less than 4%.
3. The surgical cutting block of claim 1 wherein the material is selected from the group consisting of zirconia, alumina, nitrogen-hardened titanium, and borides.
4. The surgical cutting block of claim 3 wherein the material is zirconia.
5. A surgical cutting block for use in joint or other surgery involving the cutting of bone and cartilage, said cutting block comprising:
  - a) a core unit; and
  - b) at least one cutting guide unit coupled to said core unit and comprised of a material having a Knoop hardness of 466 or greater (under a 500 gm load or greater) and a chrome content of 10% or less.
6. The surgical cutting block of claim 5 wherein the material has a nickel content of less than 4%.
7. The surgical cutting block of claim 5 wherein

the material is selected from the group consisting of zirconia, alumina, nitrogen-hardened titanium, and borides.

5           8. The surgical cutting block of claim 7 wherein the material is zirconia.

9. A surgical cutting block for use in joint or other surgery involving the cutting of bone and cartilage, said cutting block comprising:

10           a) a core unit having a first end and a second end; and

15           b) a first cutting guide unit coupled to the first end of said core unit and provided with a through channel having at least one cutting guide surface; and

20           c) a second cutting guide unit coupled to the second end of said core unit and provided with a through channel having at least one cutting guide surface,

wherein said first and second cutting guide units are comprised of a material having a Knoop hardness of 466 or greater (under a 500 gm load or greater) and a chrome content of 10% or less.

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10. The surgical cutting block of claim 9 wherein the material has a nickel content of less than 4%.

11. The surgical cutting block of claim 9 wherein the material is selected from the group consisting of zirconia, alumina, nitrogen-hardened titanium, and borides.

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12. The surgical cutting block of claim 11 wherein the material is zirconia.

5 13. A method of cutting bone for receipt of a coupling surface of joint prosthesis utilizing a cutting block having at least one cutting guide surface for guiding bone saws and similar blades, said method comprising the steps of:

10 a) implanting said cutting block in a desired position on the joint; and

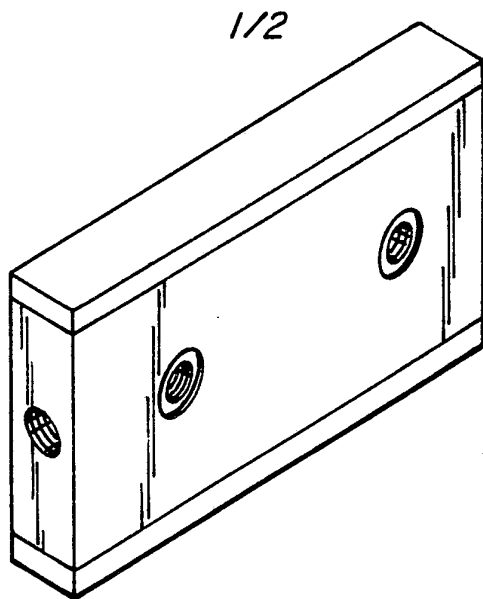
b) cutting the bone to sculpt a surface for that is geometrically reciprocal to and capable of snug receipt of the coupling surface of said joint prosthesis

15 wherein the cutting guide surface of said cutting block is comprised of a material having a Knoop hardness of 466 or greater (under a 500 gm load or greater) and a chrome content of 10% or less thereby reducing heat build up in the cutting blade and minimizing deposit of  
20 toxic residue in the joint during and after surgery.

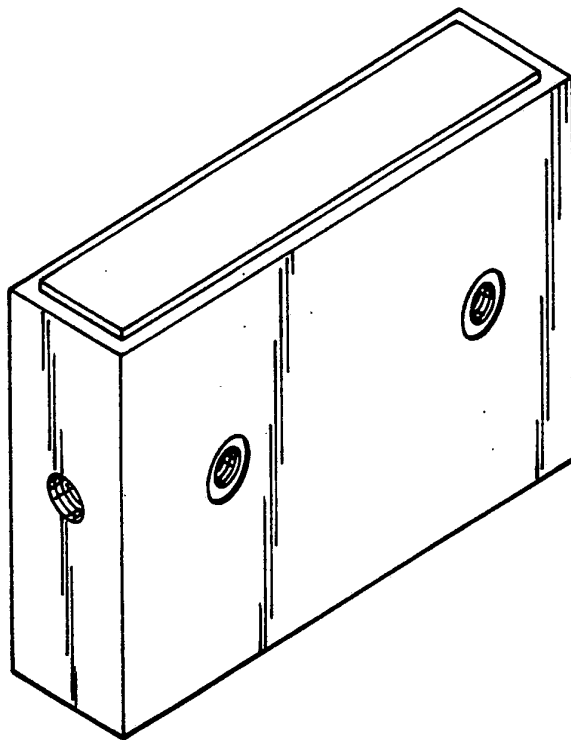
14. The method of claim 13 wherein the material of the cutting guide surface has a nickel content of less than 4%.

25 15. The method of claim 13 wherein the material of the cutting guide surface is selected from the group consisting of zirconia, alumina, nitrogen-hardened titanium, and borides.

30 16. The method of claim 15 wherein the material of the cutting guide surface is zirconia.

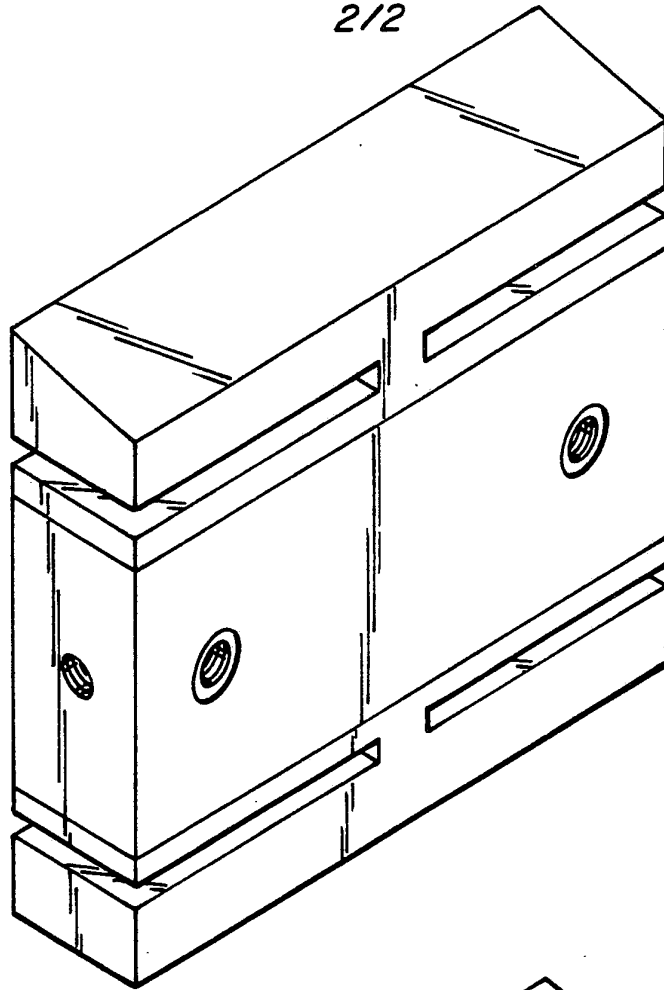


**FIG. 1A.**

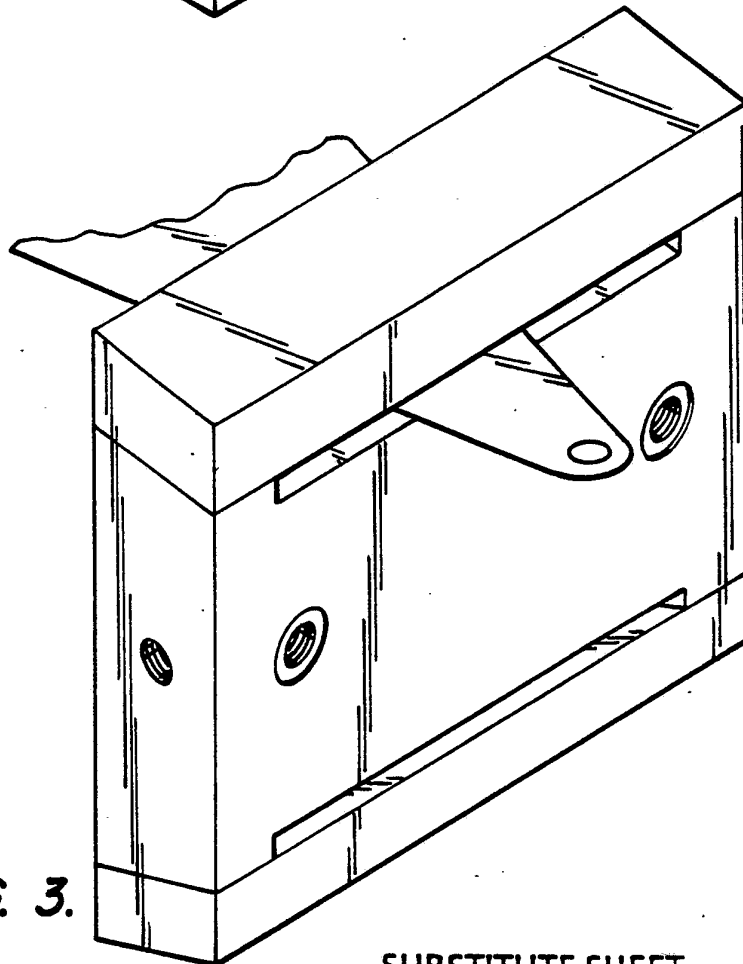


**FIG. 1B.**

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**FIG. 2.**



**FIG. 3.**

## INTERNATIONAL SEARCH REPORT

PCT/US93/01142

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| <b>A. CLASSIFICATION OF SUBJECT MATTER</b>   |   |   |
| IPC(5) :A61B 17/32; A61B 17/56<br>US CL :606/79,88<br>According to International Patent Classification (IPC) or to both national classification and IPC                          |   |   |
| <b>B. FIELDS SEARCHED</b>  |   |   |
| Minimum documentation searched (classification system followed by classification symbols)<br>U.S. : 606/62,75,82,86,87,89,90,96,177,178; 51/309; 420/417,421; 83/821,824,743,745 |   |   |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  |   |   |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)   |   |   |
| <b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>  |   |   |
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No.   |
| Y,P  | US, A, 5,092,869 (WALDRON) 03 March 1992, See entire document, especially figures 3-6,9.  | 1-16  |
| Y  | US, A, 4,960,735 (MEHROTRA ET AL.) 02 October 1990, See entire document.  | 1-16  |
| Y  | US, A, 4,808,557 (WATANABE ET AL.) 28 February 1989, See entire document, especially column 1, lines 25-28.   | 1-16  |
| Y  | US, A, 4,926,847 (LUCKMAN) 22 May 1990, See entire document.  | 1-16  |
| A  | US, A, 4,892,093 (ZARNOWSKI ET AL.) 09 January 1990, See entire document.   | 1-16  |
| <input checked="" type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.                                 |   |   |
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| C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT |  |                       |
|---|--|-----------------------|
| Category*   | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
| A   | US,A,4,736,737 (FARGIE ET AL) 12 April 1988, See entire document.                  | 1-16                  |
| A   | US, A, 5,085,671 (MARTIN ET AL.) 04 February 1992, See entire document.            | 1-16                  |
| A   | US, A 5,059,564 (MEHROTRA ET AL.) 22 October 1991, See entire document.            | 1-16                  |
| A   | US, A, 4,883,778 (SINGHDEQ ET AL.) 28 November 1989, See entire document.          | 1-16                  |
| A   | US, A, 4,965,231 (MEHROTRA ET AL.) 23 October 1990, See entire document.           | 1-16                  |
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| A   | US, A, 4,852,789 (POND, SR.) 01 August 1989.                                       | 1-16                  |
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