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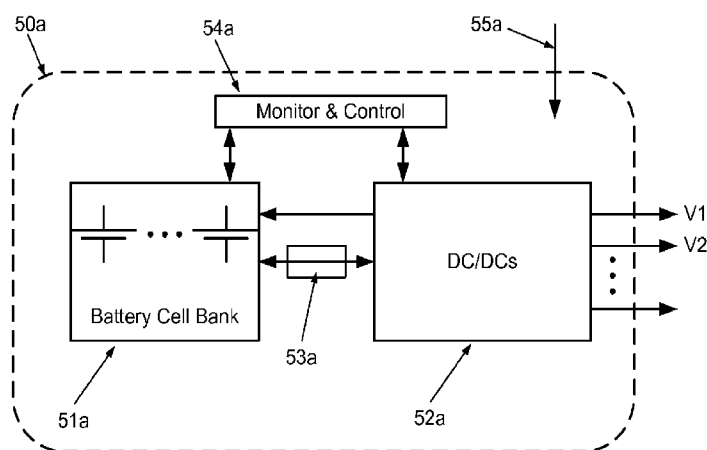


Fig. 5a

(57) Abstract: A battery cell converter (BCC) unit including one or more energy-storing battery cells coupled to one or more DC/DC converters is disclosed. A management unit can monitor and control the charging and discharging of each battery cells; including monitoring of voltages & State-of-Charge of each cell as well as controlling the switching of the DC/DC converters. The combined power and cell switching algorithms optimizes the charging and discharging process of the battery cells. A compound battery cell converter system comprising a series stack of BCCs to achieve high effective converter output voltage is also disclosed. The new proposed Battery Cell Converter architecture will enable improvements in battery pack usage efficiencies, will increase battery pack useable time per charge, will extend battery pack life-time and will lower battery pack manufacturing cost.



BATTERY-CELL CONVERTER MANAGEMENT SYSTEMS

BACKGROUND OF INVENTION

1. Field of the Invention

5 The present invention generally relates to systems and methods of constructing a battery unit out of a plurality of battery cells coupled to or integrated with a plurality of voltage/current converter units for rechargeable batteries.

2. Description of Related Art

10 With the growing requirements of high-energy battery-operated applications, the demand of multi-cell battery packs has been increasing drastically. Multi-cell is needed to serve the high capacity/energy requirements of certain battery applications. Within a multi-cell battery pack, there is usually more than one cell connected in series. For example, a battery pack with four 1.2-volt cells connected in
15 series gives a nominal voltage of 4.8V (Fig. 1). Other applications such as battery packs for laptop computers may have four 3.6-volt cells connected in series (Fig. 2) to provide a nominal battery pack output voltage of 14.4V. In addition, two of such 4-cell strings may be connected in parallel to increase the capacity from 2000 milli-Amp-hours (mAh) to 4000mAh. This configuration is generally known in the industry as
20 4S2P, or 4-cell series 2-in-parallel. At this moment, popular multi-cell rechargeable battery packs used in handheld appliances, computers, power tools, etc, are rather expensive and range from US\$30 to US\$300, or even at thousands of US\$ depending on the number of cells and their respective capacity in the pack.

25 A battery cell can be damaged by being excessively charged to a high voltage or excessively discharged to a low voltage. This is particularly true for Lithium-ion and Lithium polymer-based batteries. The high- and low-voltage cutoffs are typically around 4.2V and 2.7V respectively. The properties of Li-ion battery are shown in Fig. 3. After the battery discharges to about 2.7 – 3.0V, the battery quickly dies out and can also get damaged.

Therefore, it is critical to provide a rechargeable battery pack with a smart battery management system facilitating over-charge, over-discharge, and over-temperature protection and SOC (State-Of-Charge) monitoring of the battery cell in a pack. The benefit is further advantageous by the fact that over-charge or over-
5 discharge of battery cells can lead to reduction of battery capacity, shorter battery lifetime, and even hazardous conditions such as fires and explosions.

One of the key challenges in charging/discharging multi-cell battery units is related to the non-uniformity of battery cells within the pack due to manufacturing tolerances. There is more than one type of battery cell mismatch. Referring to Fig 4b, a
10 battery cell pack 40 includes cells 41, 42 and 43. Cell 42 has lower capacity than cells 41 and 43, which is symbolically shown by a smaller "bucket size" for cell 42 in Fig 4b. When fully charged, cell 42 will provide less charge during operation than cells 41 and 43. In a battery cell pack 400 including cells 410, 420, and 430, the cells 410 and 430 are fully charged, while cell 420 is not fully charged. Therefore, there is SOC mismatch
15 between cells 410, 430 and cell 420.

A weakest battery cell tends to limit the overall capacity of the entire battery pack unit. Therefore, special manufacturing processes are needed to ensure tighter tolerance. One example of such special manufacturing process involves binning and grouping cells based on their capacity properties. The pack will use cells from the
20 same bin. However, such an extra step increases manufacturing cost. Moreover, mismatch between the cells increases after charge/discharge cycles which reduces the benefit of binning at the factory. The factories that do not go through a costly binning process have the yields on their battery cells severely impacted. Besides, disposal of out-of-spec cells can increase the pollution footprint of the manufacturing
25 facility.

It is apparent that this binning step is a brute-force approach and can only partially mitigate cell mismatch issue since cell mismatches tend to get worse after multiple charge/discharge cycles. Also, mismatches may result from different cell temperatures in the operating environment. As a result, mismatch degradations
30 cannot be easily addressed during battery cell manufacturing and quality control.

In addition, a battery pack that includes a series of stacked battery cells will no longer be functional if any given cell in the stack is severely degraded, such as the case

as shown in Fig. 4a. In other words, the battery pack's life time is then cut short due to one single damaged cell.

Hence, it would be essential to have a smart battery management system that can ensure safety, extend battery life and reduce battery manufacturing cost.

5 The Li-ion battery charging process typically uses medium accuracy constant-current (CC) charging in a first phase, transitioning to high-accuracy constant-voltage (CV) charging in a second phase. This is to allow the cell to be fully charged to the desired voltage while preventing the cell from being overcharged. Such charging control is more straightforward for a single battery cell, but is a complex task for a series string
10 of battery cells when the cells are not well-matched. Hence, cell balancing during charging is used to ensure each of the cells will not be overcharged while allowing each cell to be charged to near its respective capacity. The concept of cell "balancing" refers to the process of monitoring and adjusting the charges stored in each of the cells in the battery pack (typically including cells connected in series in today's
15 design), hence balancing the terminal voltage and the capacity of each of the cells within the voltage limits and managing the SOC of the cells via fuel gauging. Since the cells are not identical and do have mismatches, the process of balancing may involve purposely dissipating energy stored in certain cells that have higher terminal voltages or SOC in order to avoid cell overcharging and equalize the SOC among all cells in a
20 given charging instance. Alternatively, charge can be moved from more charged cells to less charged cells to equalize the SOC among cells.

A number of conventional approaches describe methods of charging battery cells, mostly focusing on uniform charging to ensure that no cell constitutes a weak cell in a multi-cell battery pack, while ignoring mismatches that occurred during discharge
25 cycles. Some conventional approaches explore methods of transferring charge from stronger cells to weaker cells in a multi-cell battery pack, in order to mitigate the operational limitation due to weak cell. Note that practical implementation of charge transfer type of battery cell balancing is typically limited to charge transfer to a neighboring cell, it is impractical to implement a matrix of charge transfer circuits that
30 can allow any two cells to have a charge transfer path. In addition, there are losses associated with charge balancing.

Also, many multi-cell battery packs are configured in series-parallel fashion as in Fig. 2. As an individual cell becomes defective, the whole chain of series-stacked cells cannot be used and the multi-cell battery unit capacity is immediately halved.

5 SUMMARY OF THE INVENTION

A new method of constructing a rechargeable battery unit is by exploring the advantages of the combined, integrated solution of power converters and charge-storing battery cells. This new topology improves battery per-charge use-time, battery pack life-time, and battery pack manufacturing cost by practically eliminating a) the
10 need for special cell binning procedures during battery pack manufacturing to select better matched cells into a given battery pack, and b) the need for special cell balancing procedure during charging and/or discharging of battery packs (which also eliminates the external components such as Inductors, Capacitors, or Resistors needed for cell balancing operations). The new BCC architecture enables a multi-cell
15 battery pack to continue to function substantially close to normal operation with the presence of badly degraded battery cells residing in the pack. The new BCC architecture enables individual cells in a multi-cell battery pack to deliver all of their available stored energy regardless of whether other individual cells have different capacity or reduced capacity.

20

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 – Conventional multi-cell battery arrangement stacking the cells in series configuration

Fig. 2 – Conventional multi-cell battery with series-parallel arrangement (stacking the
25 cells in series, and arranging the stacks in parallel)

Fig. 3 – Properties of Lithium Ion Battery Cell

Fig. 4a – Degraded battery cell limits battery pack life-time

Fig. 4b – Mismatches of Battery Cells

Fig. 5a – Battery Cell Converter Block Diagram

Fig 5b – One of the proposed multi-cell Battery-Cell Converter configurations

Fig. 6a,b, c – Examples of buck/boost, buck, and boost DC/DC converters to be used in a Battery Cell Converter

Fig. 7 – An example of a Battery Cell Converter using two cells and a DC/DC converter
5 with shared components

Fig. 8a – Simplified schematic of a 2-cell Battery Cell Converter with stacked battery cells

Fig 8b – Simplified schematics of a 2-cell Battery Cell Converter with parallel battery cells

10 Fig. 9a – An example of a two-phase Battery Cell Converter using a single cell coupled to two DC/DC converters or a two-phase DC/DC converter

Fig. 9b – An example of a two-phase Battery Cell Converter with a set of coupled inductors, each coupled to a dedicated phase of a two-phase DC/DC converter

Fig 9c – An example of a two-phase Battery Cell Converter with multiple parallel-
15 connected cells, with local cell redundancy and with global cell redundancy

Fig. 10 – Battery Cell Converter system with redundancy

Fig. 11 – Stacking Battery Cell Converters

Fig. 12a – Stacking Battery Cell Converters with local & central monitor control units

Fig 12b – Stacking Battery Cell Converters with monitor, control unit

20 Fig. 13 – Charging individual battery cells in Battery Cell Converter stacks

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Disclosed herein in exemplary embodiments are a series of new system configurations and new methodologies which include the coupling of one or more
25 DC/DC converters to one or more battery cells. These system configurations, herein referred to as Battery Cell Converters (BCC), provide a near constant voltage output or near constant multiple voltage outputs or output voltages at programmable fixed or time varying levels; the system topologies and algorithms also optimize the usage and reliability of individual battery cell as well as the battery pack system as a whole .

A block diagram of a multi-cell BCC system is shown in Fig. 5a. BCC unit 50a comprising one or more energy-storing battery cells 51a, one or more DC/DC converters 52a each having input and output terminals; terminals of the energy-storing battery cells are coupled to or integrate with input terminals of one or more of
5 said DC/DC converters via one or more electrical connections in 53a;

There are one or more BCC system outputs ($V_1, V_2, \dots$), which are also outputs of DC/DC converters; and a monitoring & control unit 54a. An external charging source 55a is used to charge BCC unit 50a. To charge the BCC system unit, an example is: as the monitor and control unit detects the presence of active external charging source,
10 the DC/DC converter inputs will then be switched over to the incoming external charging source. So the DC/DC converter (or the BCC) outputs continue to be available. In the mean time, part of the incoming energy from the external source will be diverted to charge each of the battery cells by the monitor & control unit. Alternatively, a power source can be applied to one or more outputs of one or more of
15 the DC/DC converters 52a. Those DC/DC converters can then operate at negative forward power to deliver power to one or more battery cells in 51a, thus recharging them.

Fig 5b shows one of the system configurations of multi-cell BCC unit 50. The energy-storing devices illustrated in this written description and accompanying
20 drawings are battery cells, but capacitors, such as super capacitors or ultra capacitors, can be used instead of, or in combination with, batteries. Battery or capacitor cells 56 are connected to rails 51 and 52 through switches 55 (a "cell" is considered a single cell or a group of battery cells directly connected in parallel or series). Note that switches 55 can be in series with battery cells 56 connecting to the low-voltage rail 51 or can be in series with battery cells 56 connecting to the high-voltage rails;
25 connection to high-voltage rail 52 is depicted in Fig 5b. The switches are controlled by a control unit 57, which is symbolically depicted by a dashed arrow in Fig 5b. In Fig 5b, only one switch is closed while other switches are opened. In alternative implementations more than one switch can be closed at the same time. The on/off
30 switching mechanisms are controlled by the BCC control algorithm applicable to specific applications or by a load-dependent adaptive algorithm. The voltage V_b across the battery cells can vary from cell to cell, and can vary with the state of

discharge of each battery cell. The DC/DC converter 54 converts voltage V_b to a programmable, pre-determined or time varying voltage V_{out} , thus providing a near constant output voltage or a well regulated, programmable time varying output voltage of the multi-cell battery converter unit 50. V_{out} can be larger or smaller than V_b . For the Battery Cell Converter configuration as shown in Fig 5b, there are numerous possible operating modes as determined by the switching sequencing control algorithm of switches 55.

For example,

- a) One cell 56 connects at a time: the voltage V_b is monitored by control unit 57 and when the cell voltage drops below a pre-determined threshold, the connected cell 56 will then be considered as “discharged” by control unit 57. Then the corresponding switch 55 opens, while another switch then connects a “non-discharged” cell to rail 52;
- b) Switch 55 associated with each battery cell is turned on in a sequential round-robin configuration. One possible arrangement is that each of the switches 55 is sequentially turned on per switching cycle. Voltage V_b of each cell 56 is monitored, and when the cell voltage drops below a pre-determined threshold, the connected cell 56 will then be considered as “discharged” by control unit 57. The corresponding switch 55 opens and disconnects the “discharged” cell till the battery is charged again. With one or more of the “discharged” cells disconnected, the remaining cells continued to be switched on and off sequentially till each of them is “discharged” or until the battery is charged again.
- c) Switch 55 associated with each battery cell is turned on in accordance and proportional to the SOC of the cell. This helps to equalize the SOC among the various cells during discharge.
- d) Switches 55 associated with all cells are turned during the same time interval for some period of time, and then individual switches 55 are turned off to stop drawing power from or recharging their respective cells.

Note the versatility and flexibilities of the switching arrangements. Different switching algorithms can be used to optimize different application scenarios and objectives.

It is important to highlight that the relationship between cell terminal voltage and SOC is a function of cell current and operating temperature. Cell SOC can be inferred by cell terminal voltage with certain correction factors depending on cell current and temperature. Alternatively, SOC can be measured using “coulomb counting”, by measuring the cell current and integrating with time. The monitor, control and charging management unit can apply various methods to measure and to assess the cell SOC. Also, individual cells can have switch 55 open during cell voltage measurement in order to measure open circuit cell voltage.

For purposes of illustration, some descriptions herein are based on simplified DC/DC converter schematics and with specific switching sequence controls waveforms. Based on the disclosure and teachings provided herein, it is obvious to anyone skilled in the art that there are many possible dc/dc switching topologies and switching sequence options that will provide various system benefits. The new concept of combining battery cells and power converters will enable improvements in battery pack usage efficiencies will increase battery pack useable time per charge, will extend battery pack life-time and will lower battery pack manufacturing cost.

An example step-up/step-down DC/DC converter 60 is shown in Fig. 6a. A DC/DC converter includes inductor 61, capacitor 62, connecting switches 63 and equalizing switches 64. Switches 63 and 64 operate using non-overlapping clocks, while the duty cycle of such clocks determines the ratio of output voltage V_{out} to input voltage V_{in} . A detailed operation of DC/DC converter of Fig. 6a as well as other converters can be found in power electronics textbooks such as “Fundamentals of Power Electronics” by Robert W. Erickson and Dragan Maksimovic. A step-down DC/DC converter is shown in Fig. 6b, and a step-up DC/DC converter is shown in Fig. 6c, both are which similar to the converter of Fig. 6a. It will be clear to anyone skilled in the art that the present invention may use all types of DC/DC converters from Figs. 6a-c, with the understanding that the step-down converter can only have output voltage smaller or equal to the input voltage, while step-up converter can only have output voltage larger or equal to the input voltage. A unique characteristic of BCC is that the switches that coupled between the battery cells and the dc/dc converter can serve dual functions as they are used to connect and disconnect the battery cells and also as part of the DC/DC converter. In other words, the DC/DC converter and the

switching of the battery cells are integrated into one building block. In addition, a BCC unit can be generalized to have one or multiple battery cells coupled with one or multiple DC/DC converters with one or multiple voltage outputs. Another characteristic of the BCC is that the same DC/DC converter, or a part of it, can be used both to deliver power from the battery cells, as well as to recharge the battery cells by delivering power to them, depending on the direction of current and power flow in the DC/DC converter.

As mentioned previously in this disclosure, the switching sequence of switches coupled between the battery cells and the dc/dc converter is largely flexible. Applying this flexibility, one method of sharing a DC/DC converter in a BCC unit is shown in Fig. 7, which displays a system 70 having inductor 71 and capacitor 72. Fig. 7 shows an example of system 70 which shares a DC/DC converter comprising inductor 71, capacitor 72 and switches 73, 74, 75, 76. The DC/DC converter is shared between two battery cells 77 and 78. The switch control unit 79 ensures switches are properly operated thus ensuring desired output voltage V_{out} . In one example shown in Fig. 7, switches 73-76 are operated in four clock-phase sequences, with switch 74 closed every other clock-phase sequence. The clock waveforms as shown in Fig. 7 correspond to up-converter switching sequences. It is clear to anyone skilled in the art that the switches can operate in other clock-phasing arrangements such as to operate the power converter as a step-down converter or having other clock-phase sequences. Note that the clock-phase 75/73 high followed by 74-high utilizes extraction of charge from the battery cell 77, and the sequence 76/73 high followed by 74 high utilizes extraction of charge from the battery cell 78. Alternatively, switches 75 & 76 can be combined to use the same clock 73. In another example of present invention, control unit 79 can measure the SOC of the two batteries and make a decision as to which battery's charge is to be extracted to the output. For example, if battery cell 77 has SOC smaller than that of battery cell 78, then the control unit will extract charge from battery cell 78 by providing 76/73 high followed by 74 high in consecutive clock sequences, without asserting 75/73 high. Then SOC of battery cell 78 will decrease until it becomes essentially equal to that of battery cell 77, upon which the control unit 79 extracts charge from the two battery cells alternatively. Therefore, this method ensures that the battery cells are discharged more uniformly, and no one battery cell has SOC substantially smaller than the rest in the pack. The capability of

uniform cell discharge is important is because the battery cell life can be prolonged by charging the battery cell often and avoiding full-discharge cycles for certain rechargeable batteries such as Lithium Ion batteries. In addition, the switching algorithms used in conjunction with switching of DC/DC converter switching
5 eliminates the need for separate, specific external components and the specific procedure of cell balancing during discharge.

Based on the disclosure and teachings provided herein, it is clear to anyone skilled in the art that the discussion of system 70 can be generalized to the case of more than two battery cells. Moreover, a discussion of system 70 can also be
10 generalized in case if battery cells 77 and or 78 comprise more than one battery cell connected in series.

Charging of Battery Cell Converter unit can also be done safely, as shown in Fig 8b, which depicts a multi-cell battery unit 80 comprising inductor 81, capacitor 82, switches 83, 84a/b, 85, 86, and battery cells 87, 88. This is so because each of battery
15 cells 87, 88 is not connected in series with any other cell and hence can each be imposed with an accurate voltage in the CV charging mode at the final charging stage. Therefore, the BCC topology eliminates the need of specific on-chip and off-chip components as well as the specific procedures for cell balancing during the charging process. Alternatively, the BCC can use its own switching regulator to draw power
20 from Vout to provide controlled recharging of the battery cells.

Another BBC configuration is to use a more conventional stacked battery cell topology, except a normally opened switch is put in parallel to each battery cell. Fig. 8a shows an example of a 2-stacked-cell BCC. The positive terminal of cell 87a is coupled to DC/DC converter input through switch 85a. Switches 86a and 86b are
25 connected in parallel to cell 87a and 88a respectively. The corresponding parallel switch will be closed (shorting the positive and negative cell terminals) in case one of the 2 stacked cells has degraded substantially. For example, if cell 87a is degraded and can no longer be charged properly. Then the monitor and control unit (not shown in Fig 8a) will turn on 86a. Since the battery cell is coupled to the DC/DC converter,
30 the output of BCC remains at the desired Vout value. As one can see, this approach can extend the practical life-time of a multi-cell battery pack; and the BCC architecture provides the desired output voltage even as each cell ages. This characteristic

eliminates the need for the electronics powered by the output(s) of BCC units to tolerate large variations of supply voltages hence ease the electrical requirements. When compared to other parallel connected cell topologies, the cell-stacking configuration will face the usual undesirable characteristics relating to cell mismatch
5 issues and would require additional circuitries to allow cell balancing during charge and discharge cycles.

Fig 9c depicts a two-phase BCC system 90. The system 90 includes two DC/DC converters or a single 2-phase converter having respectively inductors 91a and 91b, battery cells 92a and 92b, switches 93a and 93b, 94a and 94b, 95a and 95b, 96a and
10 96b, a common shared capacitor 97 coupled to the output of the BCC system 90, and a multi-phase control unit 98. The multi-phase control unit 98 controls phases of clocks of the switches to ensure that the system operates in correct 2-phase cycles. The fact that unit 98 controls the switch operation in BCC system 90 is symbolically shown by an arrow. The exemplary switch clocking diagram is also depicted in Fig 9c, with the
15 understanding that the switch is in "short" state when its clock is high and in "open" state when its clock is low. A variation of a two-phase BCC system 90 is shown in Fig. 9a, where the 2-phase DC/DC converter is coupled to the same battery cell 92. And another variation of a two-phase BCC system 90 is shown in Fig. 9b. Here in comparison with Fig 9c, the two separate inductors are replaced by a coupled
20 inductor unit 91, which allows a more efficient DC/DC converter operation with faster startup time. Note that simple generalizations can be made to the exemplary embodiments of Figs. 9/9a/9b. For example, a shared battery could also be used in a coupled inductor system. A two-phase system can also be generalized to a BCC system with any number of phases, with additional hardware relating to the implementation
25 of multi-phase DC/DC converter(s).

A multi-phase BCC system also provides additional battery life extension flexibility and capability. For example, in case one of the battery cells in a 4-phase BCC system became defective, the system control unit will know about it and disconnect the defective cell from the system if the cells are connected in the parallel
30 mode. Or alternatively, the control unit can reconfigure the 4-phase system into a 3-phase system if the cells are connected uniquely to each of the input of each phase of

the power converter. Hence, one can see the ability of the BCC system to allow battery packs to continue to operate even with some of the cells became defective.

In addition, switching algorithms are used to support load-dependent & SOC-dependent adaptive auto-configure multi-cell, multi-phase BCC system. This enables the system to optimize system power consumptions and further enhance the ability to extend battery pack per-charge use-time.

Fig. 10 shows examples that illustrate the different types of charge cell redundancy in a 2-phase BCC system 100. Cells 102a and 102ab are simultaneously coupled to a DC/DC converter which include inductor 101a, switches 103a, 104a, 105a, and 106a which delivers charge to output capacitor 107. Connecting cell 102ab in parallel with the cell 102a reduces the rate of discharge of cell 102a during operation. Local redundancy is depicted in the cells coupled to the second or second-phase of DC/DC converter including inductor 101b, switches 103b, 103bb, 104b, 105b and 106b, delivering charge to the output capacitor 107. The battery cells 102b and 102bb connect to separate switches 103b and 103bb, and therefore can be operated one-at-a-time (unlike cells 102a and 102ab that are connected "directly" in parallel). For example, if battery cell 102b runs out of charge, cell 102bb can be used in further operation so that the BCC system still functions. Alternatively, switches 103b and 103bb can be time-multiplexed thereby allowing charges to be drawn from cells 102b and 102bb respectively based on duty-cycled clocking sequence. Since it is clear that the battery cell 102bb can only "help" cell 102b, but not cells 102a/102ab, the name "local" redundancy is used. Finally, battery cell 102c connected to switches 108a and 108b provides global redundancy, because it can replace any battery cell in the pack, in case that particular cell fails. A multi-phase clock and redundancy control unit 109 controls the clocks of the two-phase BCC system 100 to ensure operation already described in conjunction with Figs. 9/9a/9b. In addition, it controls redundancy cell connection, i.e. it decides which switch 103b, 103bb, or 108b is to be closed when charge is delivered to inductor 101b, and which switch among 103a and 108a is to be closed when charge is delivered to inductor 101a. In one exemplary embodiment, the clock and redundancy control unit monitors the SOC of battery cells by monitoring voltage output and charge fuel gauging, and controls operation of related switches in a

way that the strongest cells deliver charge first, i.e. the equivalent SOC discharging rate of the cells is equalized.

It is clear to anyone skilled in the art that the parallel cell connection, local redundancy and global redundancy concepts and redundancy control can be applied to BCC systems working with multi-phase operation, having shared battery cells,
5 and/or having coupled inductors, as described in conjunctions with Figs. 9/9a/9b.

If high output voltage such as 48V or higher is desired, convention solutions will simply stack a series of battery cells. As the number of series-connected cells increased, it is obvious that the problems relating to cell mismatch during charging
10 and discharging will be amplified dramatically. That is, if one cell turns bad, the entire series-stacked cell chain will become defective. A stacked BCC structure will eliminate many of those undesirable characteristics in the conventional approach (this will be further discussed later in this document). A stacked BCC approach is desirable over simply using DC/DC converters to multiply up the output voltage
15 because DC/DC converter efficiency degrades for large converting ratios. For example, for converter ratio of no more than two, it is practical to achieve efficiency of $\sim 95\%$. However, if the converting ratio increases to ten, efficiency would probably degrade to 80% or less. Fig. 11 shows a BCC unit 110 that includes four stacked BCC sub-units 111-114, each having constant output voltage V_1 - V_4 Volts respectively. The numbers
20 $V_1, \dots, V_4$ are not necessarily equal to each other. It is clear that the output voltage of a BCC system is $V_1 + V_2 + V_3 + V_4$. Moreover, if charge of all cells in a particular BCC sub-unit is prematurely exhausted (say, sub-unit 112), it can simply be bypassed by a parallel switch while remaining V_1, V_3, V_4 can be adjusted so that $V_1 + V_3 + V_4$ is equal to the original pre-determined value. A side benefit of employing stacked BCC
25 topology is that one does not need a very high voltage silicon process to support a high voltage output, because each BCC generates a voltage substantially lower than the total output voltage. This broadens the possible selections of process technologies and enables the design of highly integrated and efficient power converters.

Fig 12b shows another embodiment of BCC unit 120 which includes four
30 stacked BCC sub-units 121-124 each having output voltage V_1 - V_4 respectively. A control unit 125 controls voltages V_1 - V_4 by controlling settings of DC/DC converters in 121-124. By measuring SOC of operational battery cells within units 121-124,

control unit 125 adjusts output voltages so that the output voltage is set to be proportional to the SOC of the BCC unit. Yet, the sum $V1+V2+V3+V4$ can be controlled to remain constant. Such action reduces power drainage on the weakest battery cell and prolongs the lifetime of the whole stacked cell battery system 120. Moreover, pulsing switches in DC/DC converters produces spurious noise at the output of the multi-cell battery unit. In stacked cells, the voltage noise adds linearly.

Fig. 12a shows that each stacked BBC unit 121a – 124a has its own local monitor, and control & charge management units 125a-1, 125a-2, 125a-3 and 125a-4 respectively. Units 125a-1 to 125a-4 are connected to a centralized controller 125a. Note that with proper definition of the interface signaling; only the controller 125a may need to be able to support high voltage. This architecture allows the design of the stacked BCC units to be modular based and able to communicate to the main controller 125a.

For stacked BCC architecture as shown in Fig 12b & 12a, it is possible to run the DC/DC converters at each stack at different phases, with phase synchronization between the controllers at each stacked level, one can achieve an equivalent of a multiphase converter solution to the final stacked output, with cancellation of the output ripple voltages

Based on the disclosure and teachings provided herein, it is clear to anyone skilled in the art that the discussion of Figs. 11, 12 and 12a can be generalized to any number of stacked BCC sub-units

In another embodiment, control unit 125 or 125a misaligns or dithers pulse phases of each individual DC/DC converter in the stack, in order to spread the output voltage noise of the whole stack to higher frequencies, or spread across a wider range of frequencies

As previously mentioned, a stacked BCC topology of multi- or single-cell units ease the challenges of charging and discharging battery cells as described in this document. It is because each of the cells in the stacked BCC structure can still be charged independently. An example is shown in Fig. 13. A BCC system 130 includes two stacked BCC sub-units, with DC/DC converter-coupled multi-cell batteries 130a and 130b, each correspondingly having inductors 131a,b, capacitors 132a, 132b,

switches 133a, 133b, 134aa, 134ab, 134ba, 134bb, 135a, 135b, 136a, 136b, and battery cells 137a, 137b and 138a, 138ab, 138b. The charging mechanism of each of the stacked BBC unit is similar to that of the un-stacked BCC units as described earlier in this disclosure. It is clear to any persons skilled in the art that the individual
5 charging of battery cells can be generalized to any number of stacked BCC units, each unit having an arbitrary appropriate number of battery cells.

Individual BCCs or stacks of BCCs can also be placed in parallel, with the current output of each stack controlled to provide the appropriate desired power draw from each module according to the state and capabilities of their battery cells. A
10 variety of different control algorithms can be used to maintain the appropriate currents from each stack while regulating the output voltage. For example, each module can be commanded to produce its desired voltage with a programmable equivalent output resistance.

While the invention has been particularly shown and described with reference
15 to the preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made without departing from the spirit and scope of the invention.

What is claimed is:

CLAIMS

1. A cell converter management apparatus comprising:
at least one electrical energy storage device, wherein each device comprises at
least one cell;
5 coupled to the at least one electrical energy storage device, at least one DC/DC
converter; and
coupled to the at least one electrical energy storage device and to the at least
one DC/DC converter, at least one monitor and control module adapted to monitor
and control each device and DC/DC converter separately, during charging of the
10 device(s) and during discharging of the device(s).
2. The apparatus of claim 1 wherein each cell is a battery or a capacitor.
3. The apparatus of claim 2 wherein the cells within each device are connected to
15 each other in any type of serial and/or parallel combination.
4. The apparatus of claim 1 wherein each monitor and control module is adapted
to monitor and/or control at least one device characteristic from the group of
characteristics consisting of:
20 current flow;
state of charge;
state of health;
voltage;
charge fuel gauging;
25 temperature;
history of any of the above characteristics.
5. The apparatus of claim 1 wherein each device is coupled to a DC/DC converter
via at least one switch.
30
6. The apparatus of claim 5 wherein each switch is a dedicated switch
corresponding to a single device.

7. The apparatus of claim 1 wherein each device is charged by a technique from the group of techniques consisting of:

a charging circuit charges the device while a corresponding DC/DC converter delivers output power from the device to a load;

5 a DC/DC converter charges the device.

8. The apparatus of claim 1 wherein at least one monitor and control module is adapted to selectively disconnect a first device from other devices, and/or to selectively disconnect at least one DC/DC converter, by turning off at least one switch
10 connected in series between the first device and other devices and/or DC/DC converter(s), and by selectively activating other switches, to complete circuits coupling said other devices to one or more DC/DC converters.

9. The apparatus of claim 1 wherein at least one monitor and control module is
15 adapted to control at least one function from the following group of functions:

opening and closing of coupling switches between the device(s) and the DC/DC converter(s);

coupling of switches within DC/DC converters;

switching phases within at least one DC/DC converter.

20

10. The apparatus of claim 1 wherein at least one monitor and control module is adapted to control an access sequence and a length of access time by which the device(s) are coupled to the DC/DC converter(s), based upon a condition of at least one of the following characteristics:

25

current flow;

state of charge;

state of health;

voltage;

charge fuel gauging;

30

temperature;

history of any of the above characteristics.

11. The apparatus of claim 1 wherein each DC/DC converter is of a type from the group of types consisting of:

- single-phase converters;
- multi-phase converters.

5

12. The apparatus of claim 1 wherein at least one DC/DC converter is a multi-phase converter, and the monitor and control module is adapted to control and define phase relationships of on/off duty cycles of phases of the converter.

10 13. The apparatus of claim 1 wherein there are a plurality of devices, and wherein at least one converter is a multi-phase converter and is coupled to the devices by one of the following means:

- the converter is coupled to all of the devices at a common set of terminals;
- the different phases of the converter are coupled to corresponding different

15 dedicated subsets of devices in parallel;

each phase of the converter reconfigures which devices said converter is connected to, via switches.

14. The apparatus of claim 1 wherein at least one converter is a multi-phase
20 converter, and at least one monitor and control module is adapted to perform at least one function from the following group of functions:

- altering phase controls of the converter;
- altering duty cycles of the converter;
- changing the number of phases of the converter;
- 25 altering a desired output voltage of the converter;
- altering a desired output current of the converter;
- altering desired currents of individual phases of the converter.

15. The apparatus of claim 14 wherein the monitor and control module is adapted
30 to perform said function(s) in response to status of individual devices, wherein status is at least one of:

- state of health;
- state of charge;

charge fuel gauging;
temperature;
history of any of the above characteristics.

5 16. A cell converter management system wherein a plurality of cell converter management apparatuses of claim 1 are stacked in series, so that the output voltage of the system is equal to the sum of output voltages of the individual apparatuses.

10 17. The system of claim 16 wherein at least one voltage control unit is adapted to set the output voltage of each apparatus, so that the sum of output voltages of the apparatuses is equal to the desired output value for the system.

15 18. The system of claim 17 wherein the voltage control unit sets an output voltage for each apparatus based upon the status of each device, where status is at least one of:

state of health;
state of charge;
voltage;
charge fuel gauging;
20 temperature;
history of any of the above characteristics.

25 19. The system of claim 16 further comprising a communication connection channel coupled to at least one monitor and control module from each apparatus.

20. The system of claim 19 further comprising a master system control unit coupled via the communication connection channel to at least one monitor and control module of each apparatus; wherein:

30 the master system control unit sets output voltages for each apparatus based upon the status of the devices in each of the apparatuses.

21. The system of claim 16 wherein the DC/DC converter switching phases of the apparatuses are synchronized with each other in controlled phase relationships.

22. A method for extending cell lifetime in the apparatus of claim 1, said method
5 comprising at least one step from the following steps:

- minimizing any single device from being overly discharged;
- reducing or increasing the depth of discharge of devices;
- reducing or increasing the rate of charge of devices;
- reducing or increasing the final state of charge of devices after recharging;
- 10 balancing discharge rate and state of charge of devices;
- optimizing discharge rate and state of charge of devices.

23. A method for extending cell lifetime in the apparatus of claim 1, said method comprising at least one step from the following steps:

- 15 connecting at least two cells in parallel;
- disconnecting a substantially degraded device by turning off a switch connected in series between the device and at least one DC/DC converter or at least one other device, or by disabling an associated phase of a multi-phase DC/DC converter that is coupled to the substantially degraded device;
- 20 replacing a connection with one or more disconnected devices by creating a new connection with other devices.

24. The apparatus of claim 1 wherein:

- at least two cells are connected in series;
- 25 a switch is connected in parallel with a device to bypass the device when the device is substantially degraded.

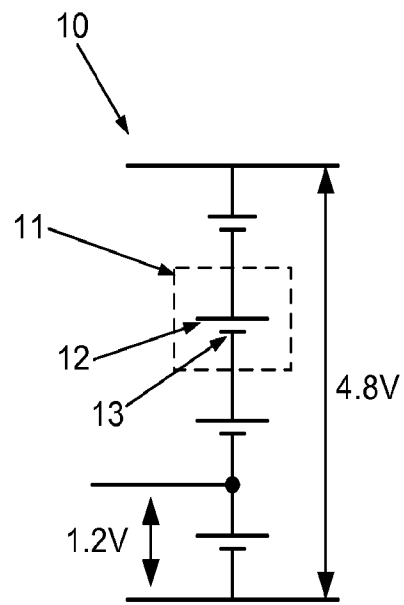
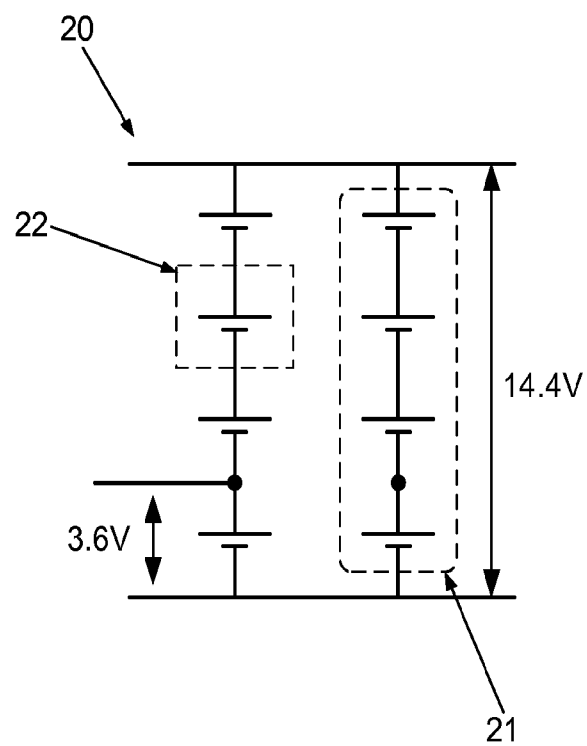
25. A method for extending cell lifetime in the apparatus of claim 1, said method comprising bypassing a degraded device via a bypass switch connected in parallel
30 with that device.

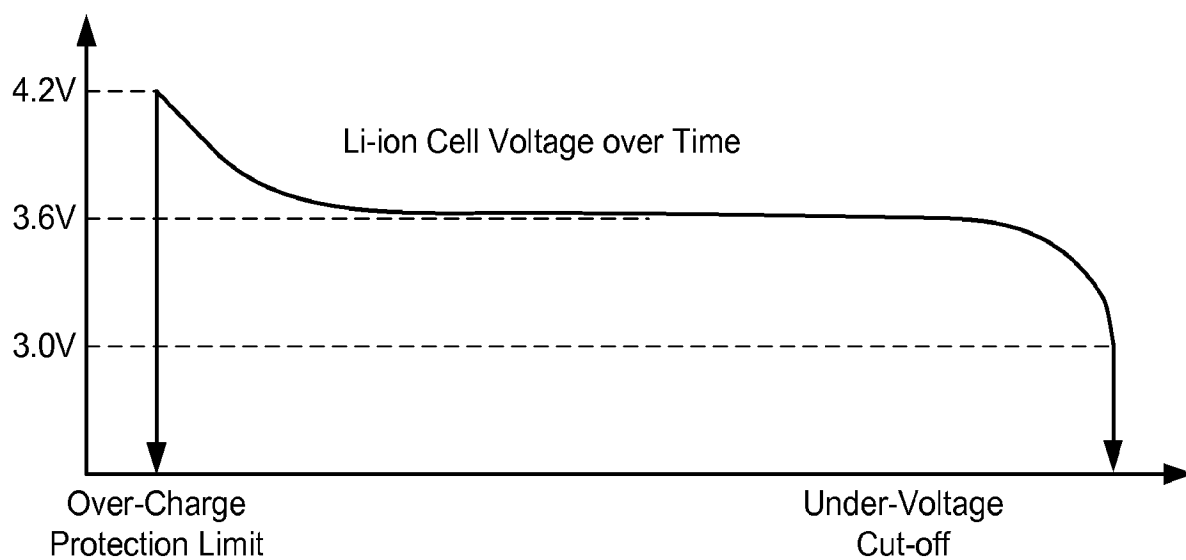
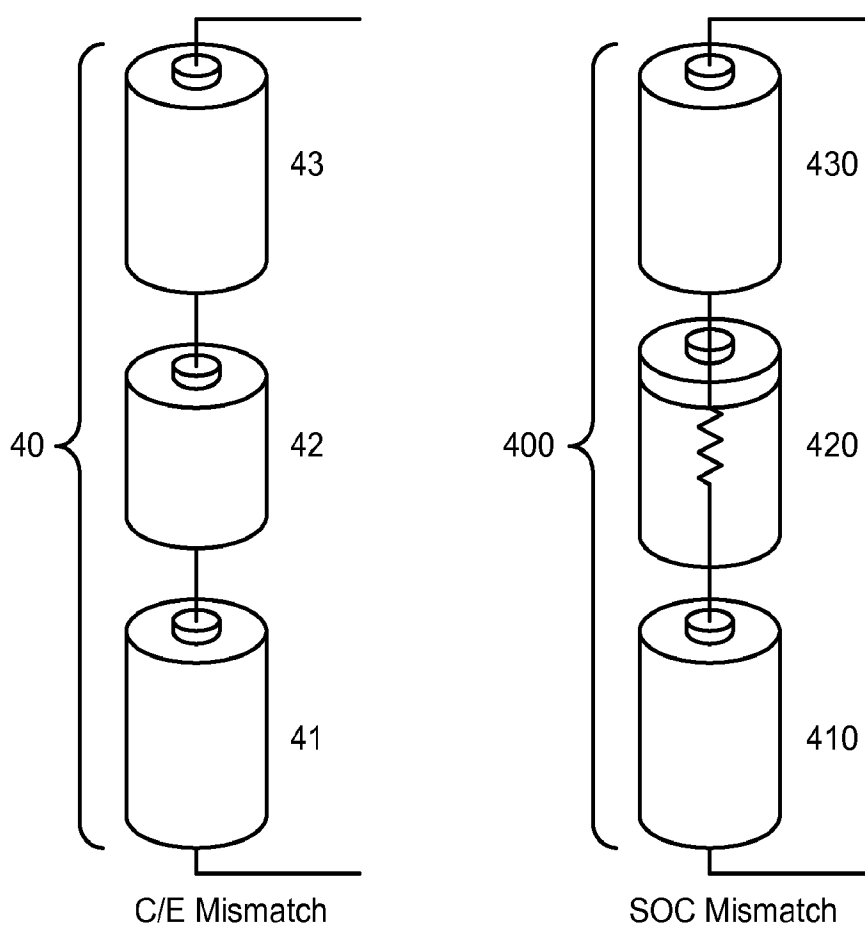
26. A method for extending cell lifetime in the apparatus of claim 1, said method comprising the step of adding redundant cells via switches in substitution of degraded cells.

5 27. A cell converter management system comprising a plurality of apparatuses as claimed in claim 1; wherein said apparatuses are connected to each other in any type of series and/or parallel combination.

10 28. The system of claim 27 wherein each apparatus is programmed to provide a programmable share of total system output current and/or voltage, in order to control power drawn from each apparatus, as well as power from individual devices.

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**Fig. 1****Fig. 2**

**Fig. 3****Fig. 4b**

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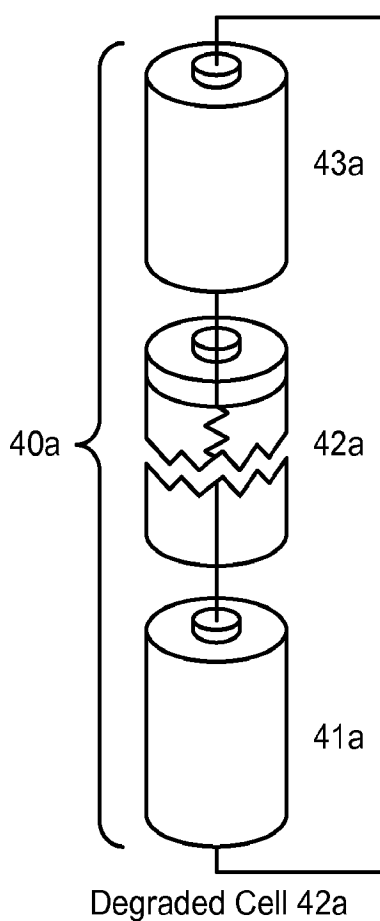


Fig. 4a

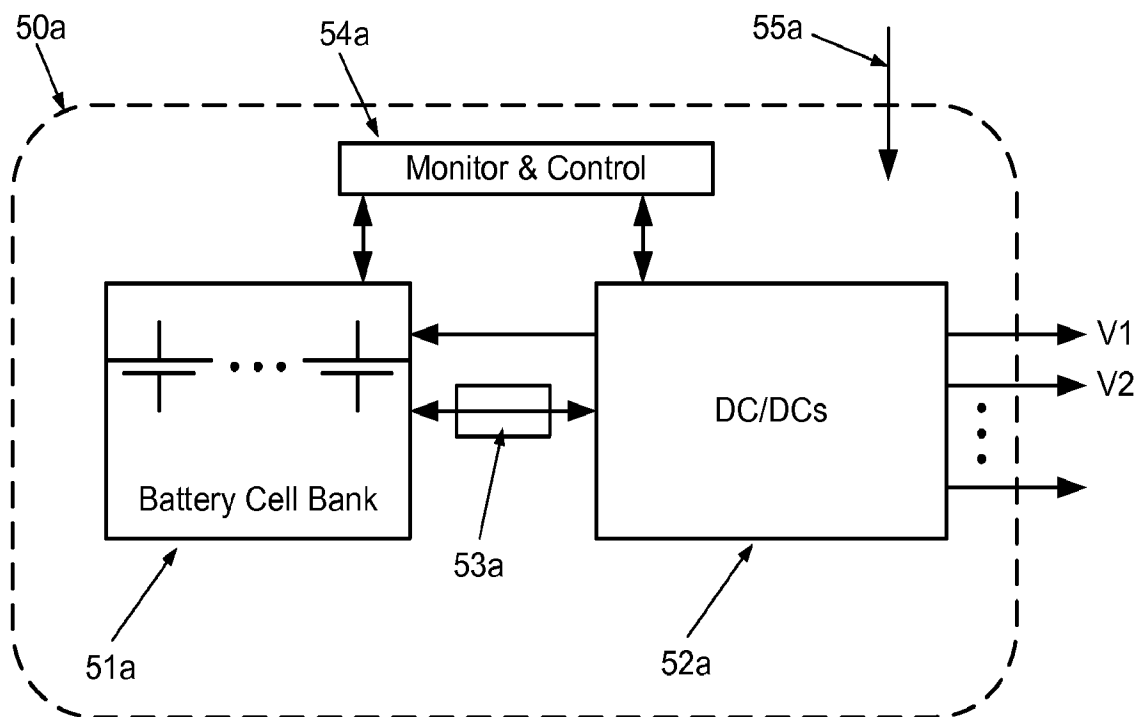
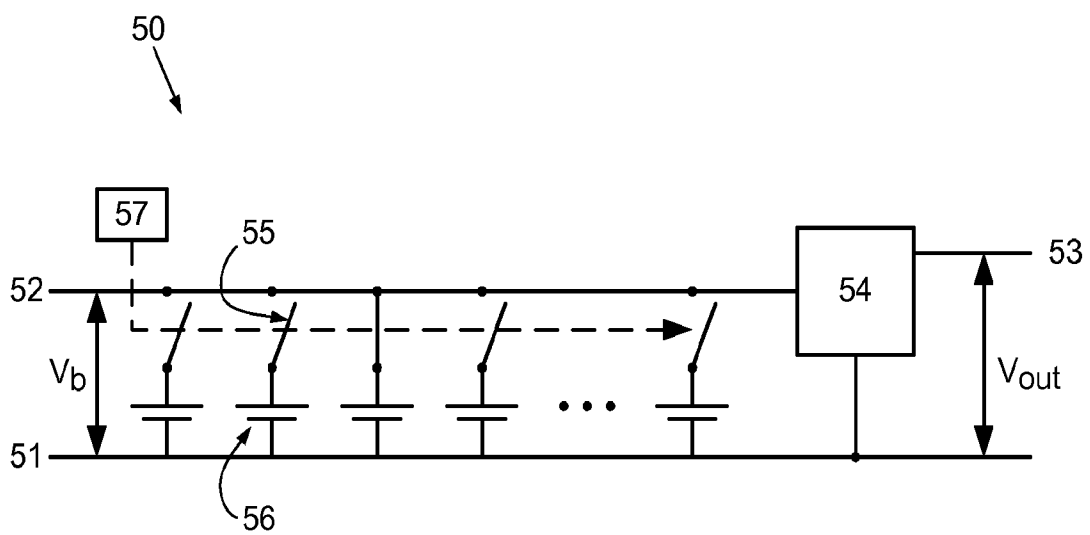


Fig. 5a

**Fig. 5b**

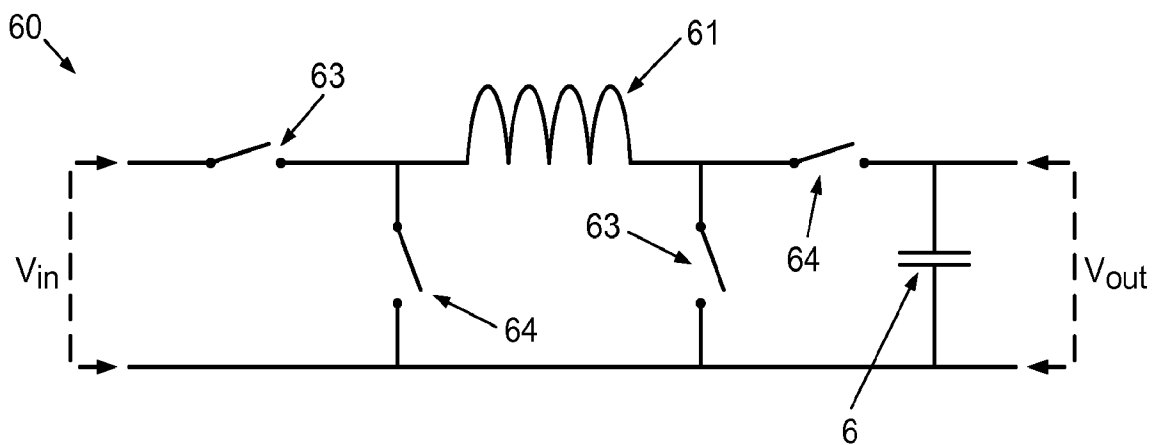


Fig. 6a

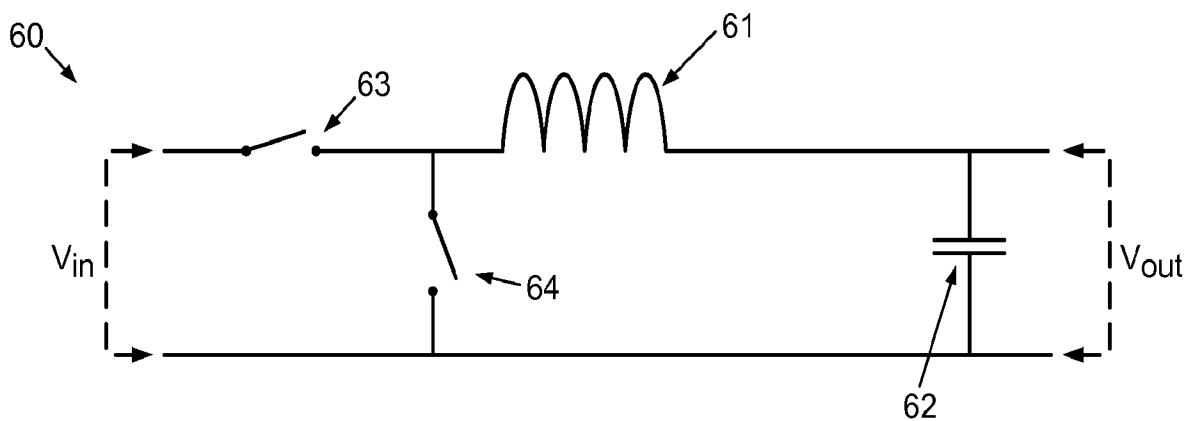


Fig. 6b

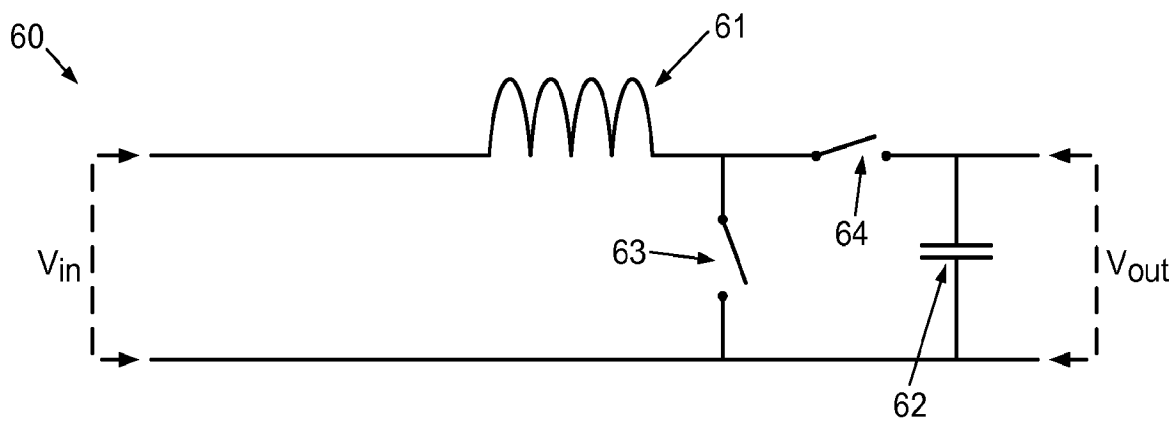


Fig. 6c

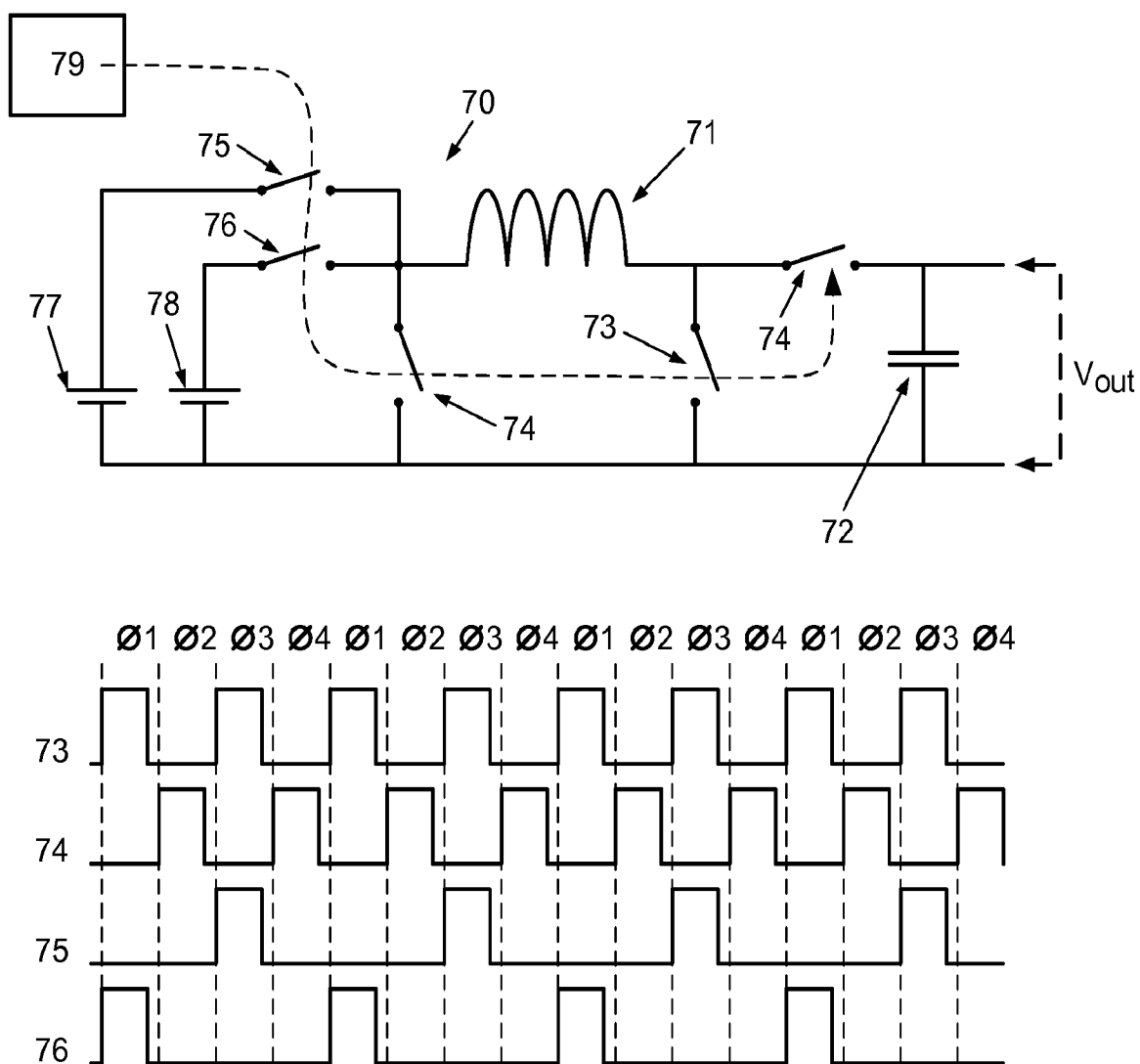
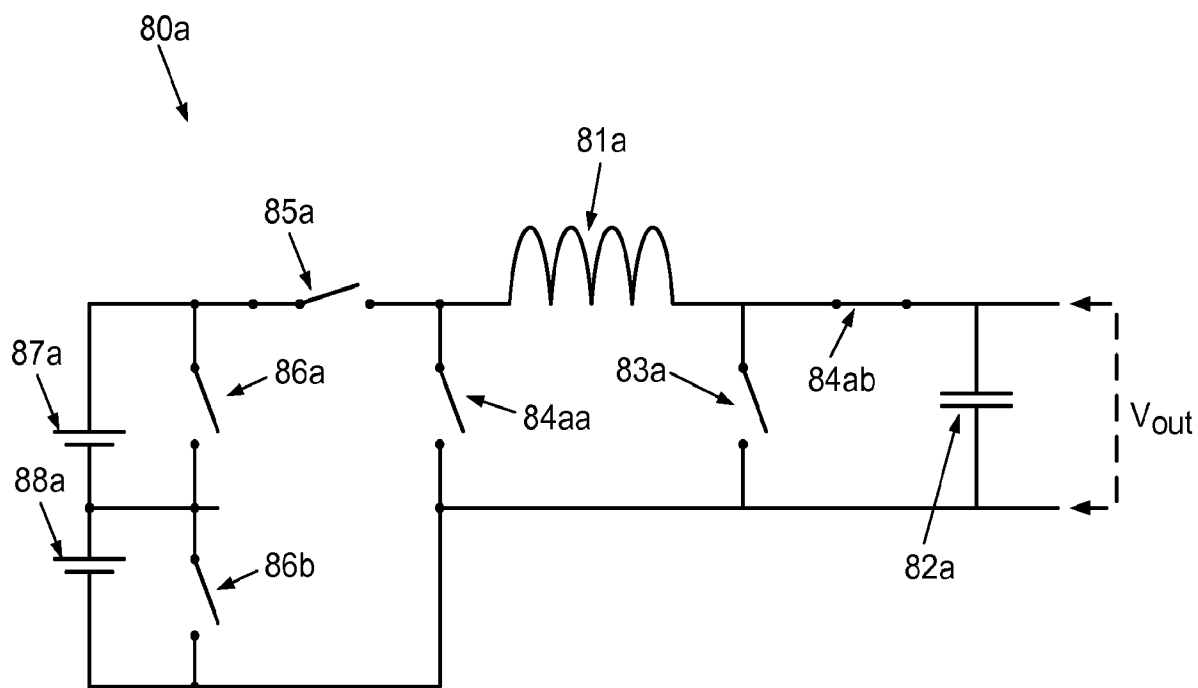
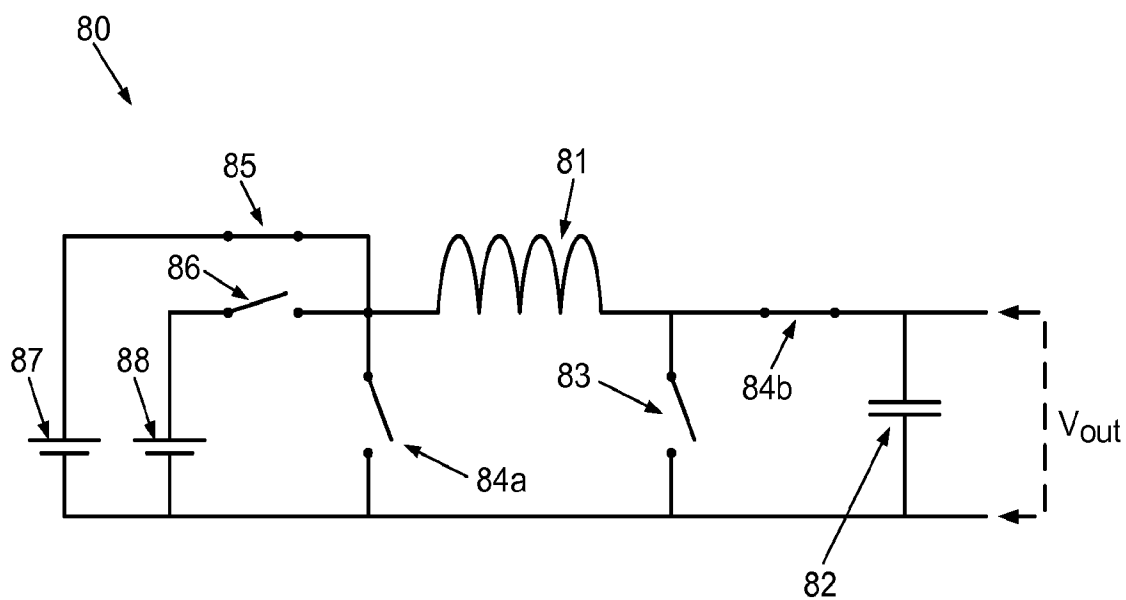


Fig. 7

**Fig. 8a****Fig. 8b**

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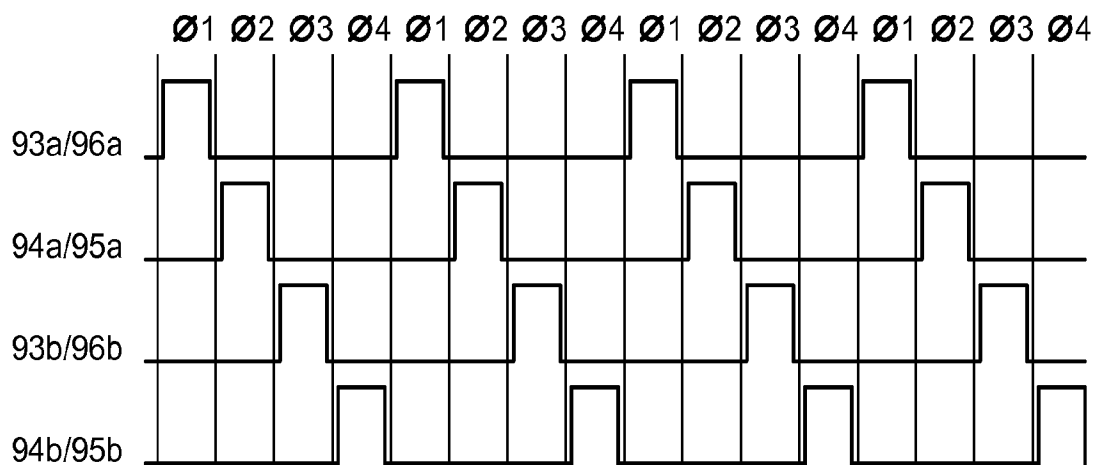
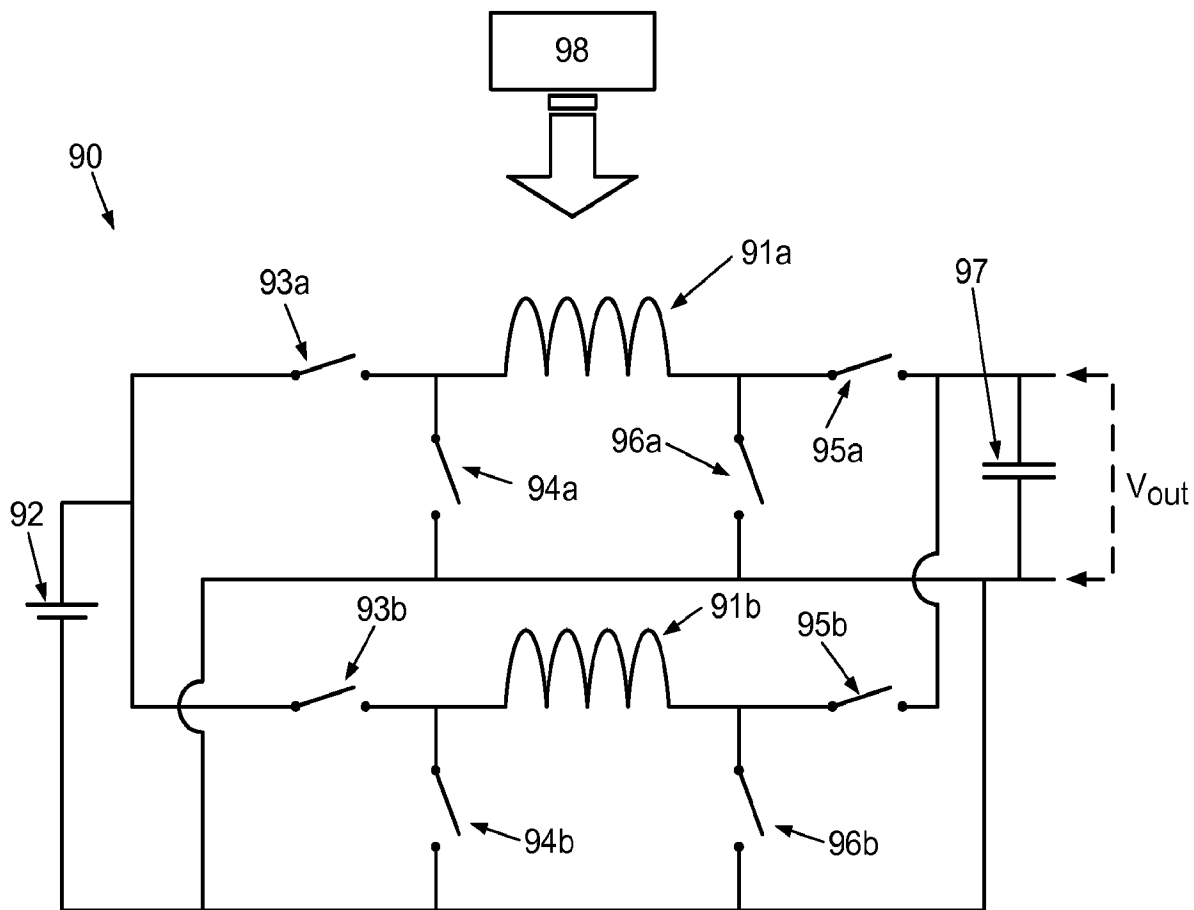


Fig. 9a

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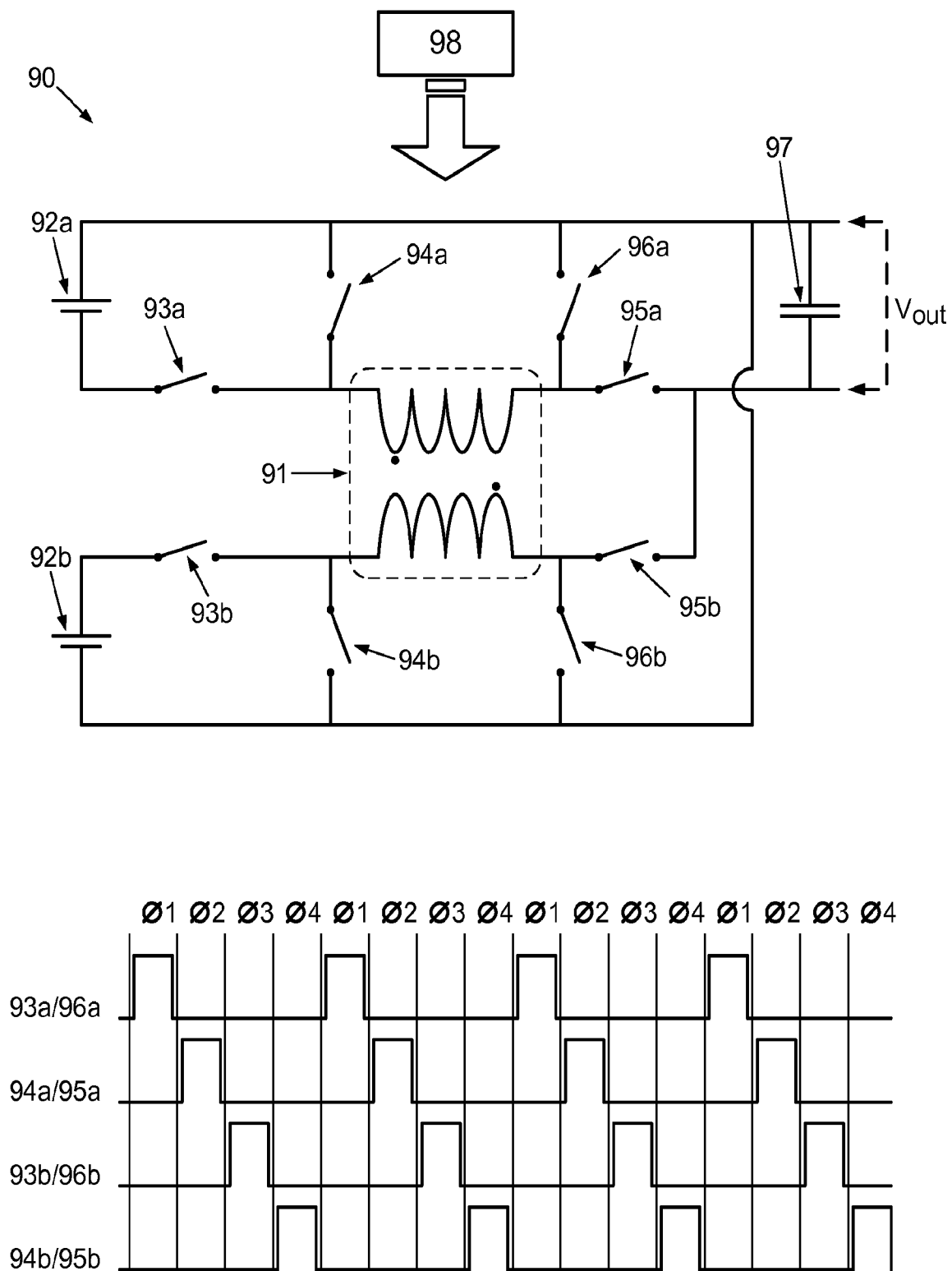


Fig. 9b

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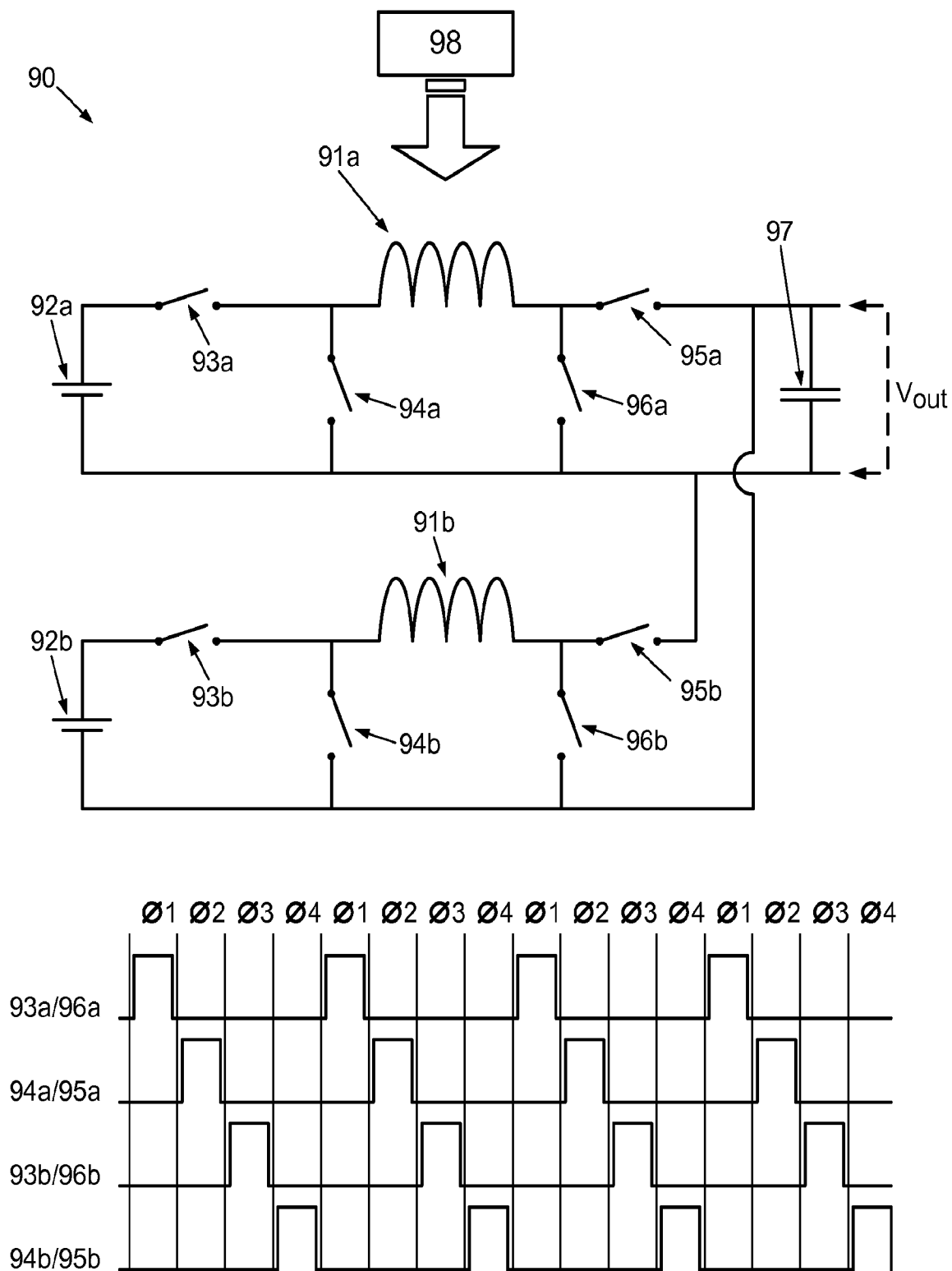
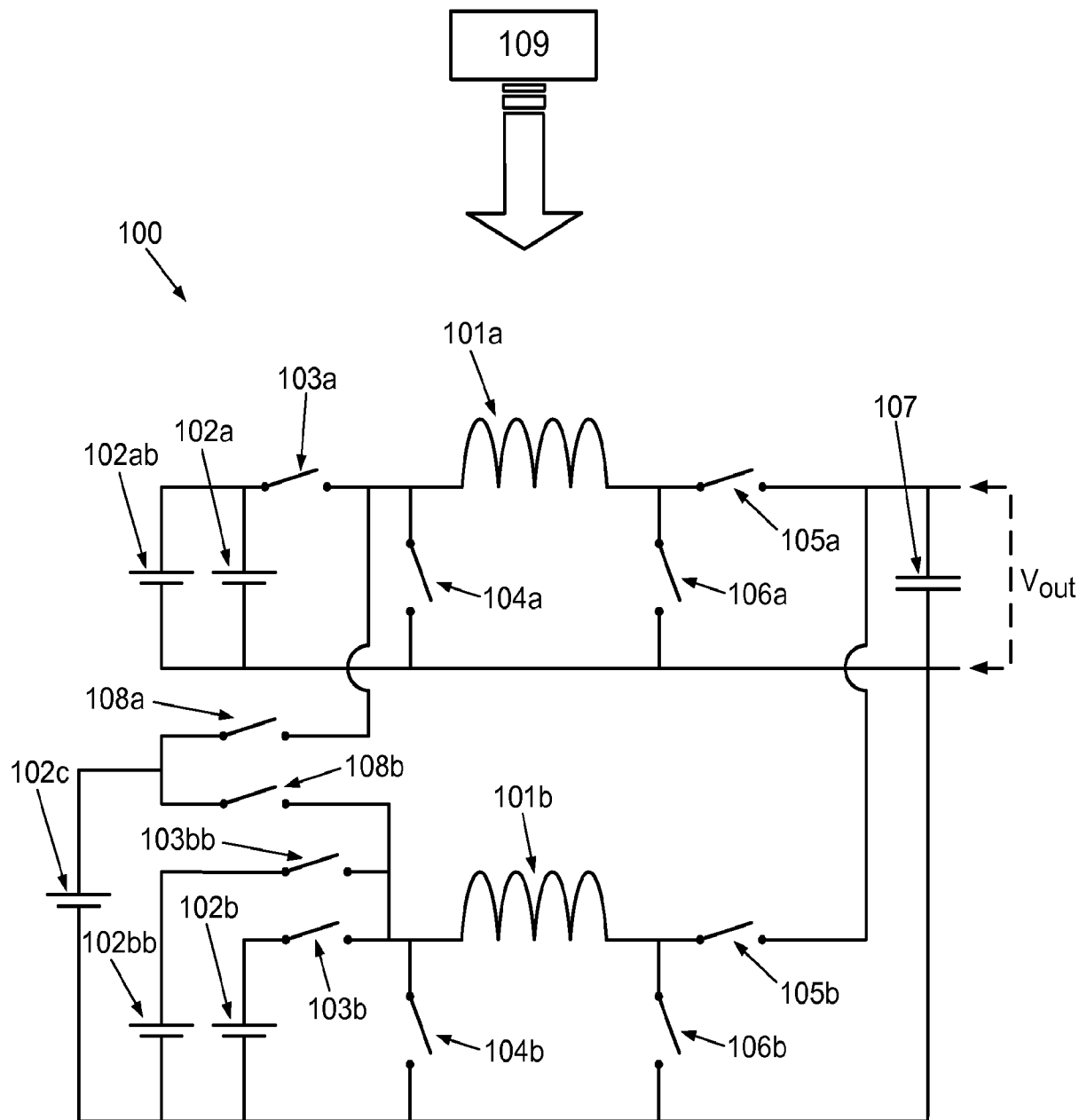


Fig. 9c

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**Fig. 10**

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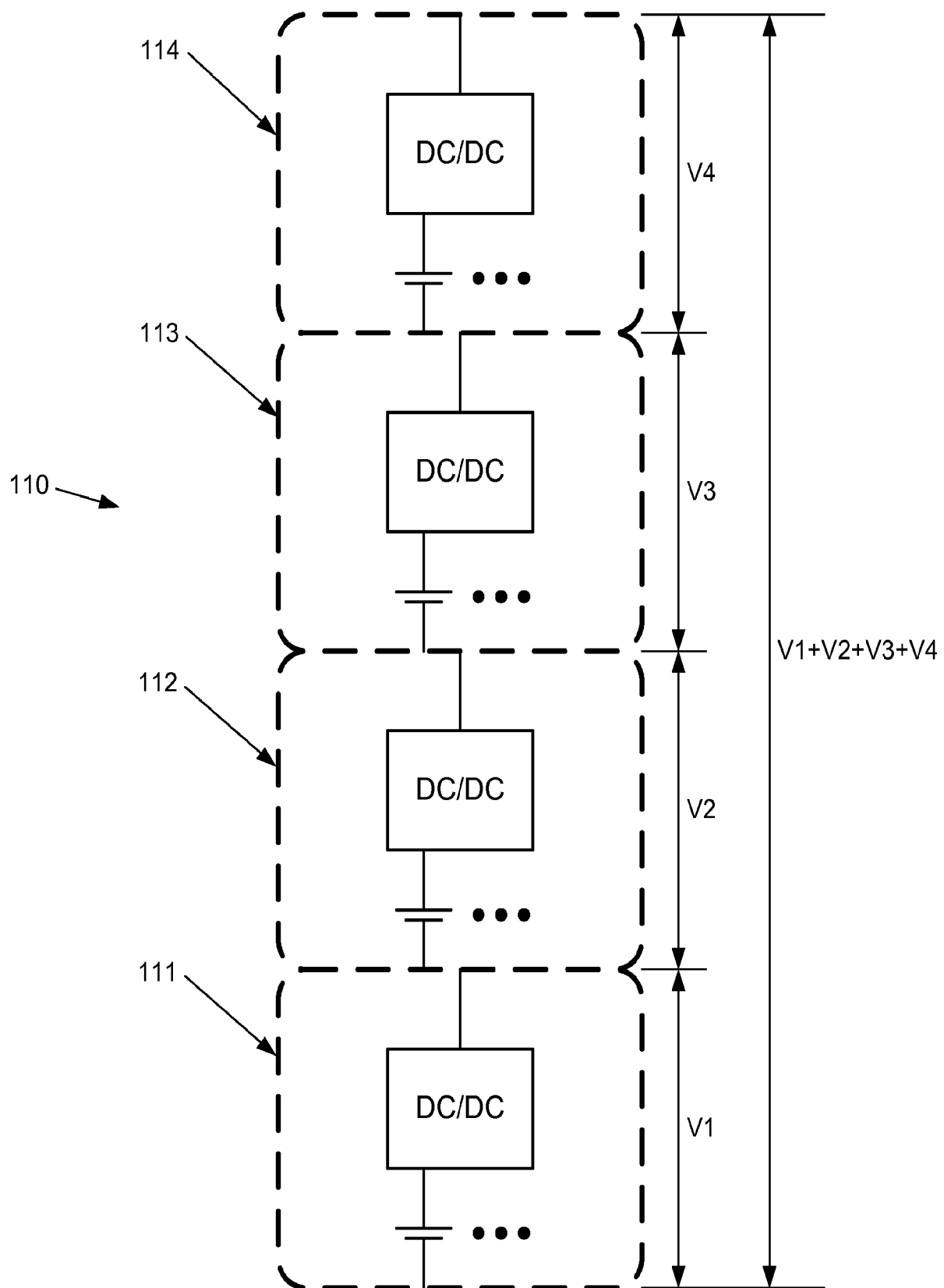
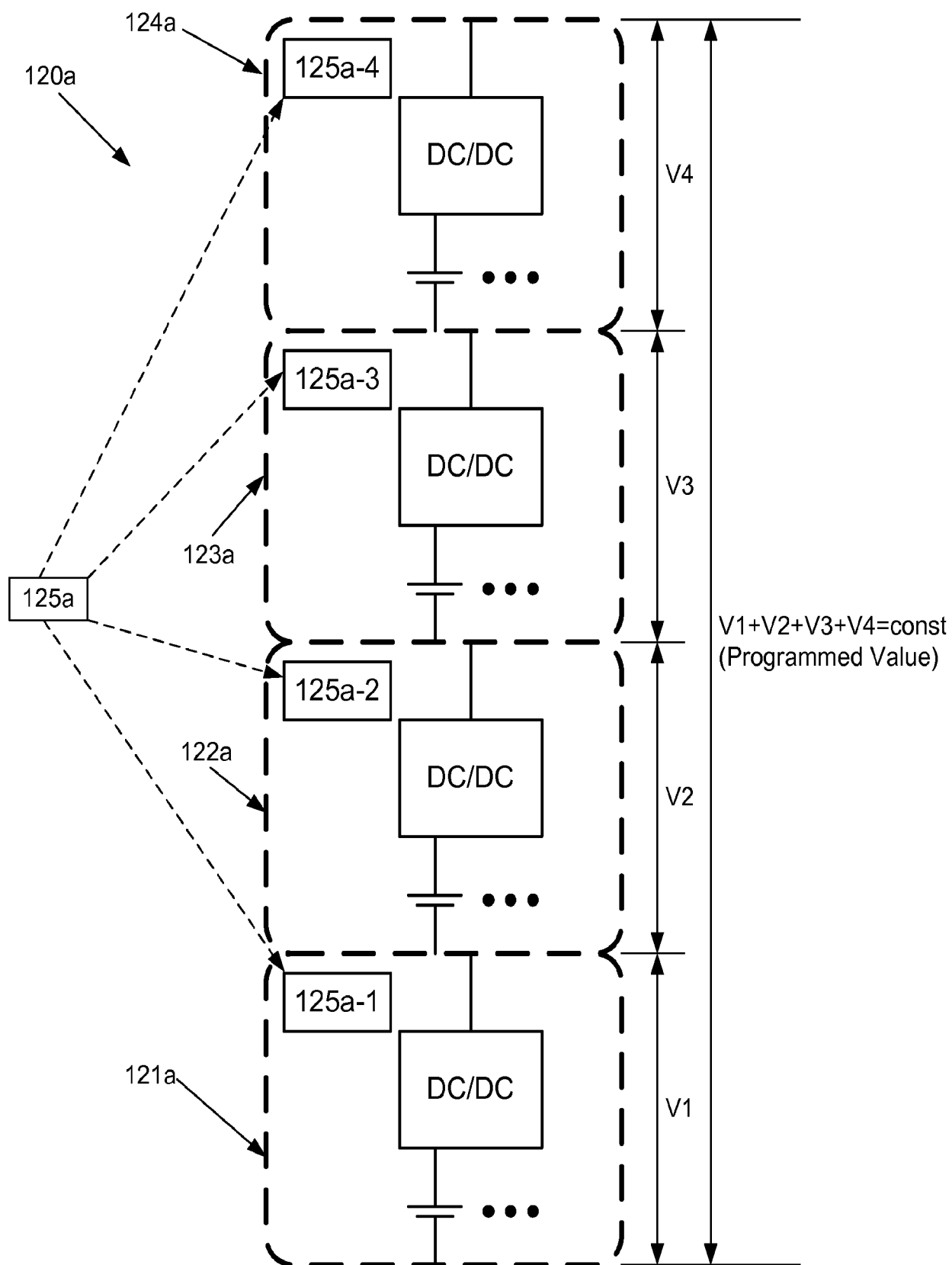
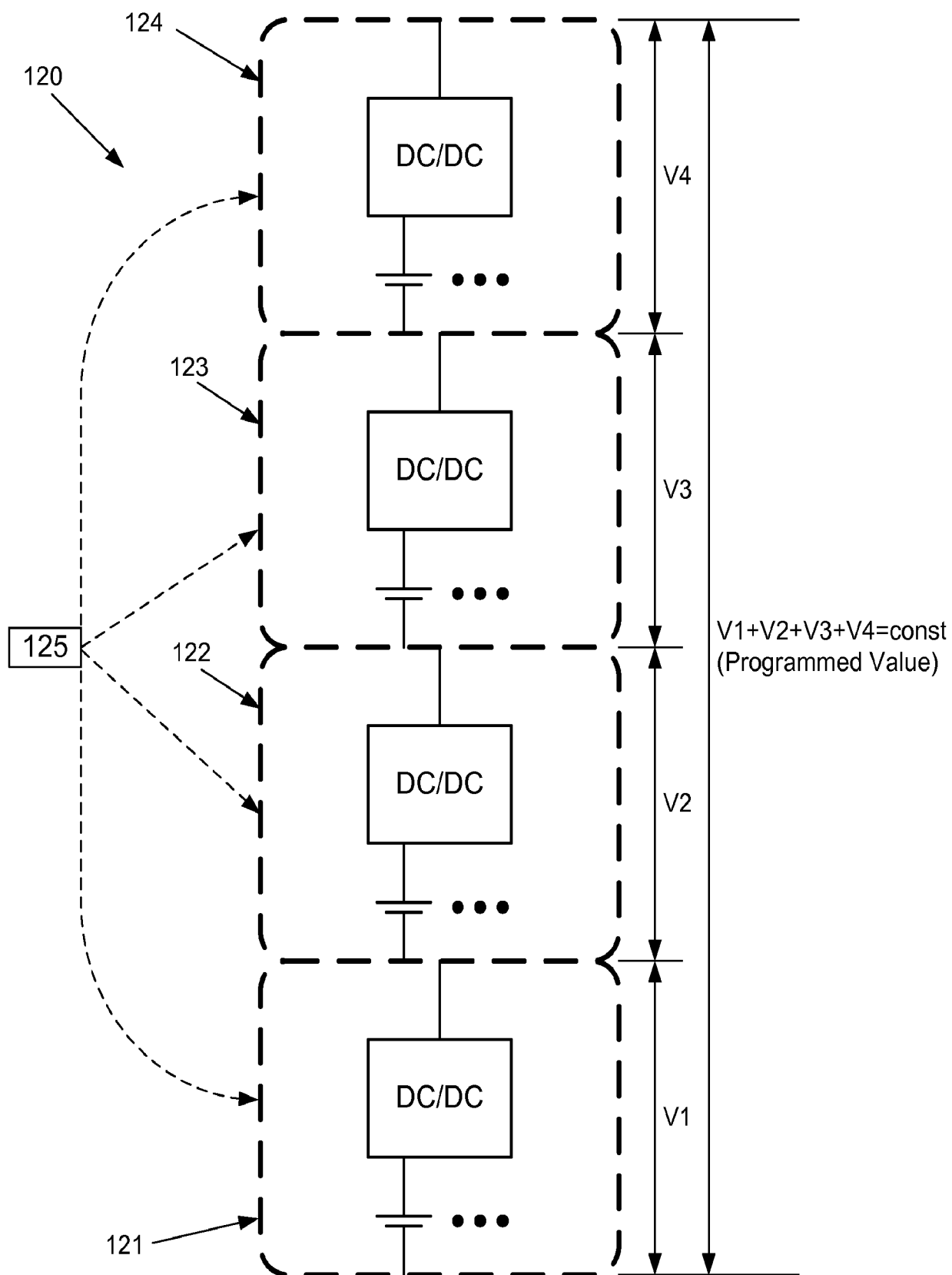
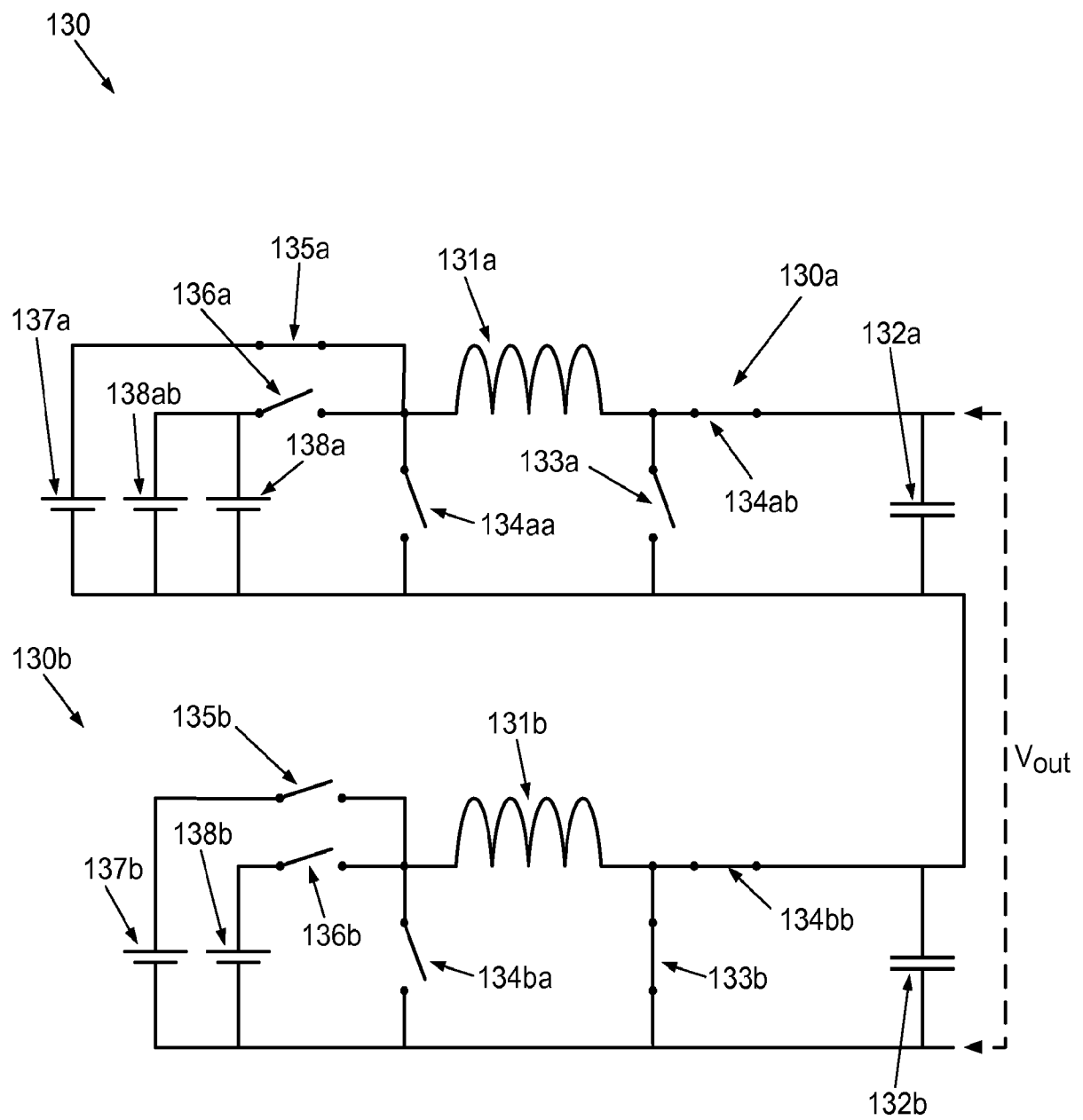


Fig. 11

**Fig. 12a**

**Fig. 12b**

**Fig. 13**