

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
10 October 2002 (10.10.2002)

PCT

(10) International Publication Number  
**WO 02/079760 A3**

(51) International Patent Classification<sup>7</sup>: **G01N 21/21**,  
21/47, 21/956, 21/25, H01L 21/66

**Fred, E.**; 22873 Longdown Road, Cupertino, CA 95014 (US).

(21) International Application Number: PCT/US02/09938

(74) Agent: **SCHNECK, Thomas**; Law Offices of Thomas Schneck, P.O. Box 2-E, San Jose, CA 95109-0005 (US).

(22) International Filing Date: 29 March 2002 (29.03.2002)

(81) Designated State (*national*): JP.

(25) Filing Language: English

(84) Designated States (*regional*): European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR).

(26) Publication Language: English

(30) Priority Data:  
60/280,714 30 March 2001 (30.03.2001) US

**Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

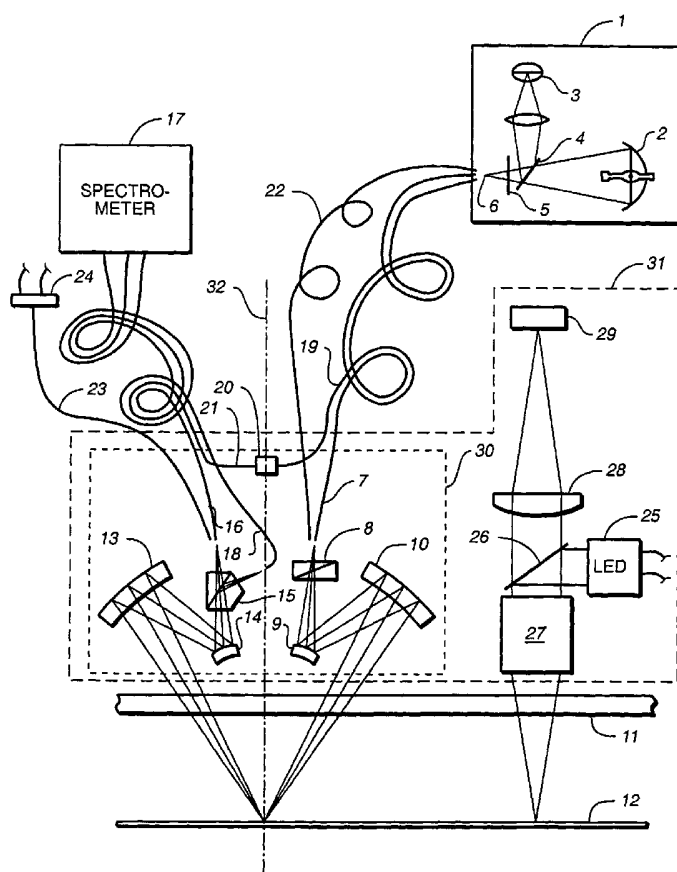
(71) Applicant: **THERMA-WAVE, INC.** [US/US]; 1250 Reliance Way, Fremont, CA 94539 (US).

(72) Inventors: **NORTON, Adam, E.**; 3696 Ross Road, Palo Alto, CA 94303 (US). **SEZGINER, Abdurrahman**; 105 Forest Hill Drive, Los Gatos, CA 95032 (US). **STANKE,**

(88) Date of publication of the international search report:  
20 March 2003

[Continued on next page]

(54) Title: POLARIMETRIC SCATTEROMETER FOR CRITICAL DIMENSION MEASUREMENTS OF PERIODIC STRUCTURES



(57) Abstract: An optical measurement system for evaluating a sample has an azimuthally rotatable measurement head. A motor-driven rotating mechanism is coupled to the measurement head to allow the optics to rotate with respect to the sample. In particular, a preferred embodiment is a polarimetric scatterometer (Fig. 1) for measuring optical properties of a periodic structure on a wafer sample (12). This scatterometer has optics (30) directing a polarized illumination beam at non-normal incidence onto the periodic structure. In addition to a polarizer (8), the illumination path can also be provided with an E-O modulator for modulating the polarization. The measurement head optics also collect light reflected from the periodic structure and feed that light to a spectrometer (17) for measurement. A polarization beamsplitter (18) is provided in the collection path so that both S and P polarization from the sample can be separately measured. The entire measurement head can be mounted for rotation of the plane of incidence to different azimuthal directions relative to the periodic structures on the wafer. The instrument can be integrated within a wafer process tool in which wafers may be provided at arbitrary orientation.



WO 02/079760 A3



---

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/09938

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G01N21/21 G01N21/47 G01N21/956 G01N21/25 H01L21/66

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G01N H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

COMPENDEX, EPO-Internal, INSPEC, PAJ, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	EP 0 816 926 A (CANON KK) 7 January 1998 (1998-01-07)	6-12,17, 24,25, 27,39, 41, 45-49, 53, 59-64, 67,68
Y	page 3, line 29 - line 34  page 6, line 52 -page 7, line 42 page 8, line 47 - line 49 figure 2  ---  -/--	1-5, 28-30, 32, 34-38, 54,55, 57,65

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

## ° Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\* & \* document member of the same patent family

Date of the actual completion of the international search

27 December 2002

Date of mailing of the international search report

08/01/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Verdoodt, E

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 02/09938

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 608 526 A (PIWONKA-CORLE TIMOTHY R ET AL) 4 March 1997 (1997-03-04)  abstract column 11, line 1 - line 30 column 12, line 12 - line 27 ----	1-5, 28-30, 32, 34-38, 54,55, 57,65
A	WO 99 02970 A (THERMA WAVE INC) 21 January 1999 (1999-01-21) abstract figure 2 ----	13,43
A	WO 00 02037 A (APPLIED MATERIALS INC) 13 January 2000 (2000-01-13) figure 7 ----	59-63
A	US 5 867 276 A (MCNEIL JOHN R ET AL) 2 February 1999 (1999-02-02) the whole document ----	1
A	EP 0 237 415 A (SOPRA SOCIETE DE PRODUCTION ET) 16 September 1987 (1987-09-16) the whole document -----	1

**INTERNATIONAL SEARCH REPORT**  
information on patent family members

International Application No  
PCT/US 02/09938

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
EP 0816926	A	07-01-1998	JP	10022205 A		23-01-1998
			JP	10112425 A		28-04-1998
			EP	0816926 A2		07-01-1998
			US	2002093656 A1		18-07-2002
<hr/>						
US 5608526	A	04-03-1997	US	5910842 A		08-06-1999
<hr/>						
WO 9902970	A	21-01-1999	US	5798837 A		25-08-1998
			US	6278519 B1		21-08-2001
			EP	1012571 A1		28-06-2000
			WO	9902970 A1		21-01-1999
			US	5900939 A		04-05-1999
			US	2002176081 A1		28-11-2002
			US	6304326 B1		16-10-2001
			US	2001046049 A1		29-11-2001
			US	2002154302 A1		24-10-2002
			US	6297880 B1		02-10-2001
			US	2001033378 A1		25-10-2001
<hr/>						
WO 0002037	A	13-01-2000	US	6366690 B1		02-04-2002
			EP	1093575 A1		25-04-2001
			JP	2002519694 T		02-07-2002
			TW	439165 B		07-06-2001
			US	2002054704 A1		09-05-2002
			WO	0002037 A1		13-01-2000
<hr/>						
US 5867276	A	02-02-1999	NONE			
<hr/>						
EP 0237415	A	16-09-1987	FR	2595471 A1		11-09-1987
			DE	3766727 D1		31-01-1991
			EP	0237415 A1		16-09-1987
			JP	1865486 C		26-08-1994
			JP	5080981 B		11-11-1993
			JP	62266439 A		19-11-1987
			US	5329357 A		12-07-1994