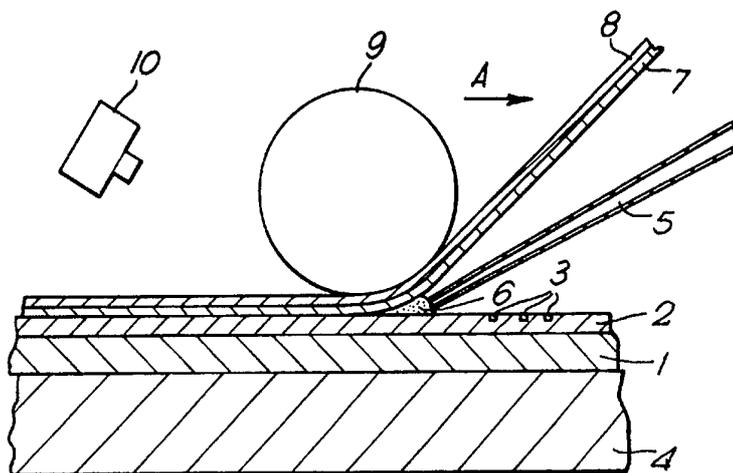




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(54) Title: ORGANIC OPTICAL COMPONENTS AND PREPARATION THEREOF



## (57) Abstract

A polymeric structure for use in the preparation of an organic optical component which polymeric structure comprises (a) a first layer (2) of an optically transmissive first polymer having a first refractive index, the first layer having a surface in which is defined at least one retaining feature (3); (b) an optically transmissive second polymer retained within the at least one retaining feature, the second polymer having a second refractive index which is greater than the first refractive index; and (c) an overlay of the second polymer having a maximum thickness of less than 1.5  $\mu\text{m}$  over the surface of the first layer. An organic optical component prepared from such a structure shows an optical loss of less than 2.0 dB.cm<sup>-1</sup> at at least one wavelength in the range 300 to 1600 nm. The method of forming such a structure, e.g. waveguide, requires forming a line of contact between a flexible dispensing layer and the polymer surface in which the features defining the waveguide are located and progressively contacting the surface with the flexible dispensing layer such the line of contact advances across the surface. Sufficient of a resin (6) capable of forming the second polymer is applied along the line of contact and sufficient pressure is applied such that substantially all of the resin which is surplus to that required to fill the feature progresses with the line of contact.

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### Organic Optical Components and Preparation Thereof

The present invention relates to organic optical components and the preparation thereof.

Optical components may be used to transmit and manipulate light signals in various fields of technology such as telecommunications, data communications, avionic control systems, sensor networks and automotive control systems.

Generally such optical components are classed as either passive or active. Examples of passive optical components are those which provide the, polarisation control, transmission, distribution, splitting, combining, multiplexing and demultiplexing of the light signal. Active optical components include those requiring electrical connections to power and/or control circuitry, such as laser sources and photodiode detectors, and/or to manipulate the light signal using the electro-optic effect, such as provided by certain non-linear optical materials.

It is known to use inorganic materials, such as silica on silicon and ion-exchanges glass, to produce passive optical components. The methods of manufacture of such inorganic passive optical components are based on the lithographic technologies used in the mass production of semiconductor wafers. However, the subsequent connecting of optical fibres to such components is complex and lengthy and requires the use of active alignment techniques which are increasingly more difficult as the complexity of the components increase.

Inorganic active optical components can be prepared by the combination of active semiconductor materials, such as lithium niobate, into inorganic passive optical components, such as silica. However, the preparation of such active components is difficult such that their occurrence and use is uncommon.

Organic passive optical components comprising polymeric materials are known using direct and indirect lithographic processes. In the indirect lithographic process a master pattern is formed from an organic or inorganic resist material. The master pattern is then replicated by electrodeposition to provide a series of moulds which are then filled with a suitable polymeric material to produce the organic passive optical components.

Organic optical components usually comprise two or more polymers having different refractive indices. The polymer having the higher refractive index when surrounded by the other polymer can function as a wave guide which allows for the transmission and manipulation of a light signal. The higher refractive index polymer is usually introduced into the other polymer as a resin which is then cured. Such a resin needs to be introduced in a precise and controlled manner in order to reduce optical loss from the subsequent

waveguide. Hitherto, the processes used in the preparation of such waveguides lead to the formation of a relatively thick and uneven residual layer of resin being present in the organic optical component which when cured results in a relatively thick layer of polymer of variable thickness which gives rise to problems such as unacceptably high optical losses, non-uniform output and inconsistent performance thereby reducing the incentive to use such organic optical components.

It is an object of the present invention to provide an organic optical component having at least one significantly improved characteristic and a method which allows the mass production of such a component.

10 Accordingly in a first aspect the present invention provides a polymeric structure for use in the preparation of an organic optical component which polymeric structure comprises

- (a) a first layer of an optically transmissive first polymer having a first refractive index, the first layer having a surface in which is defined at least one retaining feature;
- (b) an optically transmissive second polymer retained within the at least one retaining 15 feature, the second polymer having a second refractive index which is greater than the first refractive index; and
- (c) an overlay of the second polymer having a maximum thickness of less than 1.5  $\mu\text{m}$  over the surface of the first layer.

In a second aspect of the present invention there is provided an organic optical 20 component comprising

- (a) a first layer of an optically transmissive first polymer having a first refractive index, the first layer having a surface in which is defined at least one retaining feature;
- (b) an optically transmissive second polymer retained within the at least one retaining 25 feature, the second polymer having a second refractive index which is greater than the first refractive index; and
- (c) a second layer of an optically transmissive third polymer having a third refractive index which is less than the second refractive index and which is the same or different from the first refractive index and which second layer contacts the second polymer retained within the at least one retaining feature and the surface of the first layer

30 wherein the organic optical component exhibits an optical loss of less than 2.0  $\text{dB}\cdot\text{cm}^{-1}$  at at least one wavelength in the range 300 to 1600 nm.

In a third aspect of the present invention there is provided a method of preparing a polymeric structure having

- (i) a first layer of an optically transmissive first polymer having a first refractive index, 35 the first layer having a surface in which is defined at least one retaining feature;

- (ii) an optically transmissive second polymer retained within the at least one retaining feature, the second polymer having a second refractive index which is greater than the first refractive index; and
- (iii) an overlay of the second polymer having a maximum thickness of less than 1.5  $\mu\text{m}$  over the surface of the first layer which method comprises
  - (a) forming a line of contact between a flexible dispensing layer and the surface of the first layer and progressively contacting the surface with the flexible dispensing layer such that the line of contact advances across the surface;
  - 10 (b) applying sufficient of a resin, capable of being cured to form the second polymer and to substantially fill the at least one retaining feature, along the line of contact;
  - (c) applying sufficient pressure along the line of contact such that
    - (1) substantially all of the resin which is surplus to that required to fill the at least one retaining feature at the line of contact progresses with the advancing line of contact thereby 15 filling the at least one retaining feature with resin; and
    - (2) no more than a quantity of resin capable of forming the overlay of second polymer passes the line of contact; and
    - (d) curing the resin filling the at least one retaining feature.

The first layer may be supported by a suitable substrate which may be subsequently 20 removed from the first layer. Alternatively, the substrate may remain with or is subsequently applied to the first layer thereby providing a laminate structure in which the relatively expensive first layer is of a thickness which is sufficient to provide the desired depth of retaining feature and complementary optical contrast and the substrate provides the desired level of support and may also provide additional positioning features for such items as optical 25 fibres and other optical components. Thus in a preferred embodiment, the first layer is supported by a thicker support layer which possesses positioning grooves which are in alignment with the at least one retaining feature and which grooves are able to receive and locate optical fibres in abutment to the end of each at least one retaining feature. It is particularly preferred that the optical fibres are so located during the application of the second 30 polymer and that sufficient of the second polymer is applied such that a suitable optically correct join is formed between the end of the retaining feature and the abutting end of the optical fibre.

The second layer may also be supported by a suitable, optionally releasable, substrate. Conveniently, the dispensing layer provides the second layer such that the second 35 layer is superimposed upon the surface as a consequence of the advancement of the line of

contact. The second layer may also be provided with retaining features in which is retained an optically transmissive polymer, which may be the same as the second polymer and which may be so placed that at least some of the retaining features of the first layer are matched with at least some of the retaining features of the second layer such that they can form a 5 composite optical component.

The optically transmissive polymers may be selected from those known in the art including those developed as light curable adhesives for joining optical components for example those sold under the LUXTRAK (LUXTRAK is a tradename of Zeneca plc), those developed for polymer optical fibre fabrication and those developed for optical recording 10 using polymer photoresists.

In particular the optically transmissive second polymer may be formed from a suitable resin for example halogenated and deuterated siloxanes, acrylates and methacrylates such as ethyleneglycol dimethacrylate, tetrafluoropropylmethacrylate, pentafluorophenylmethacrylate, tetrachloroethylacrylate, multifunctional derivatives of triazine 15 and phosphazene. Resins and polymers that contain highly fluorinated aliphatic and aromatic moieties, particularly those capable of being formed into cross-linked or covalent networks, are preferred. Additionally the second polymer may comprise a resin or polymer formulation that provides a non-linear optical (NLO) property such as described in European patent application EP-0474402-A, second order NLO properties, third order NLO properties or signal 20 amplification properties.

The optically transmissive first polymer in which the retaining feature is formed may be prepared from curable resins or can be fabricated from halogenated and deuterated polymers such as polymethylmethacrylate, polycarbonate, polystyrene and polyimides.

Suitably for the preparation of monomodal organic optical components, in particular 25 those in which the retaining features have a height and a width from 5 to 10  $\mu\text{m}$ , the difference between the second refractive index and the first refractive index is from 0.001 and 0.02, preferably from 0.002 and 0.01 and particularly from 0.004 and 0.007, i.e. the difference is from 0.05 to 1.5% of the first refractive index. Where the retaining features have a height and a width from 1 to 5  $\mu\text{m}$ , and in which instance the reduction in the thickness of the overlay 30 is even more advantageous, it is preferred that the difference in refractive index is from 1 to 30%, particularly 1 to 20%, and especially 1 to 5% of the first refractive index.

Preferably, the second polymer has a refractive index from 1.4 and 1.7, for example from 1.43 and 1.5, and particularly from 1.45 and 1.46 at the wavelength of light used in the organic optical component. Where the optical component is multimodal it is preferred that the 35 refractive index of the second polymer is from 1.5 to 1.7 and preferably about 1.6, for example

1.6 to 1.65.

Where the second polymer is coupled to the end of an optical fibre it is preferred that the second polymer has a refractive index which closely matches that of the optical fibre. In such circumstances it is also preferred to select a second polymer which shows good 5 intrinsic adhesion to the optical fibre which is principally formed from silica.

The refractive index of the polymers may be modified by the inclusion of suitable additives into the polymer, in particular mixtures of those polymers mentioned above may be used to achieve a desired refractive index. Where the major component of the second polymer is bis(oxy ethyl methacrylate) 2,2',3,3',4,4' hexafluoroglutarate, it is particularly 10 preferred that the refractive index of the second polymer is adjusted by adding appropriate amounts of ethylene glycol dimethacrylate which can increase the refractive index (as measured at 1.32 or 1.55  $\mu\text{m}$ ) by an absolute value in excess of 0.02 when added at a level of 30% by weight.

The overlay of the second polymer has a maximum thickness of less than 1.5  $\mu\text{m}$ , 15 preferably less than 1  $\mu\text{m}$ , and particularly less than 0.5  $\mu\text{m}$  over the surface of the first layer.

The average thickness of the overlay is preferably less than 1  $\mu\text{m}$  and particularly less than 0.5  $\mu\text{m}$ . The variation of the thickness of the overlay across the surface is preferably less than  $\pm 0.75 \mu\text{m}$ , particularly less than  $\pm 0.5 \mu\text{m}$  and especially less than  $\pm 0.25 \mu\text{m}$ . When 20 formed into an organic optical component the component will exhibit an optical loss of less than 2.0  $\text{dB}\cdot\text{cm}^{-1}$ , preferably less than 1.0  $\text{dB}\cdot\text{cm}^{-1}$  and particularly less than 0.5  $\text{dB}\cdot\text{cm}^{-1}$ .

The at least one retaining feature is conveniently in the form of a channel, and typically is of a generally trapezoidal cross-section although other configurations are possible, for example rectangular, square or semi-circular. As stated above, for a monomodal organic optical device, the height and width of a retaining feature may be in the range 1 to 10  $\mu\text{m}$  25 depending on the difference in refractive index used. However, preferably the height and width of the retaining features are in the range 5 to 10  $\mu\text{m}$ , and particularly in the range 6 to 8  $\mu\text{m}$ . Preferably the maximum width of the retaining feature is at the surface of the first layer. Typically, the ratio of the maximum width to minimum width is less than 2:1 and is preferably about 1:1. The retaining feature may be formed by any suitable technique for example a 30 lithographic etching process such as reactive ion etching through a suitable mask although moulding or embossing is preferred. Where the organic optical device is to be used as a multimodal device then similar fabrication techniques can be used with suitable adaptation of the dimensions and selection of materials, usually though the height and width of the retaining features when employed in a multimodal device are in the range 10 to 100  $\mu\text{m}$ , for 35 example 10 to 50  $\mu\text{m}$  and consequently the presence of an overlay is less of a problem than

in the case of a monomodal device.

In order to facilitate the curing of the resin it is preferred to use an initiator, for example a thermal and/or photoinitiator and particularly an initiator which does not absorb light at the operating wave length of the organic optical component. Typically, when used, an initiator is present in the resin at a concentration from 0.1 to 3.0 % by weight, and preferably from 0.5 to 2.0 % by weight. Suitable photoinitiators include 2-methyl-1-[4-(methylthio)phenyl]-2-morpholino propanone-1 (Irgacure 907), 1-hydroxy-cyclohexyl-phenyl ketone (Irgacure 184), isopropylthioxanthone (Quantacure ITX), Camphorquinone/dimethylaminoethylmethacrylate.

10 Similarly a suitable thermal initiator is tert-butylperoxy-2-ethyl hexanoate (Interox TBPEH).

As the line of contact moves across the surface of the first layer the resin is effectively pushed across the surface and flows into the at least one retaining feature. The rate at which the line of contact advances across the surface will depend, amongst other things, on the characteristics of the resin. Typically, the resin has a viscosity from 0.1 to 100  
15 poise and more typically from 10 to 100 poise. Preferably the line of contact is moved along the length of the retaining feature, particularly when the retaining feature has a width or height greater than 10  $\mu\text{m}$ , thereby improving the filling of the retaining feature and reducing the possibility of inclusion of gas bubbles.

The resin may be fully retained within a retaining feature as the line of contact  
20 moves from the retaining feature, in which case the resin may be cured at any convenient subsequent time. However, the resin may often show some degree of resilience in the non-cured form in which case as the line of contact moves from the retaining feature the resin therein will tend to relax and stand proud of the retaining feature thereby reducing the effectiveness of any optical component subsequently formed from the polymeric structure. To  
25 counter the relaxation of the resin it is preferred that the resin is cured whilst the line of contact passes over it. Conveniently and preferably therefore, the resin contains a photoinitiator which is activated by a particular wavelength of light, particularly UV light. A suitable source of light may then used to cure the resin before the pressure applied along the line of contact is released and before the resin relaxes from the retaining feature. It is  
30 especially preferred that the dispensing layer is transparent to the light used and that the light is shone through the dispensing layer towards the resin. In order to focus the light substantially at the tip and thereby avoiding, for example, premature curing of the resin, the angle of incidence of the light onto the line of contact may be required to be adjusted from polymer to polymer. Alternatively, for a given angle of incidence and where the first layer is at  
35 least partially transmissive to the light, the first layer may be chosen to have a thickness such

that the internal refraction of the incident light acts to focus the light at the line of contact. Additionally, where the first layer is at least partially transmissive to the light and is of a suitable thickness, a mirrored support may be positioned under the first layer thereby causing the transmitted light to be reflected back to the line of contact.

5           The pressure is applied along the line of contact by any suitable means. Suitably, the pressure is applied using a roller under a compressive load which may thus on rotation retain the resin in the nip formed by the roller between the buffer layer and the surface. It is therefore preferred that the resin is cured at the nip as the line of contact progresses across the surface.

10           The present invention is illustrated by reference to the following figures.

Figure 1 shows a schematic diagram of an organic optical component being formed according to the process of the present invention.

Figure 2 shows an optical device capable of being formed by the process of the present invention.

15           Figure 3 shows an analysis of the output of the optical device formed in Example 1.

Figure 4 shows an analysis of the output of the optical device formed in Example 2.

Figure 5 is a cross-section of the branched end of the device formed in Example 1.

Figure 6 is a cross-section of the stem end of the device formed in Example 2.

Figure 7 shows an analysis of the output of the optical device formed in Example 7.

20           Figure 8 shows an additional optical device capable of being formed by the process of the present invention.

Figure 9 shows an analysis of the output of the optical device as shown in Figure 8.

Figure 10 shows the positioning of an optical fibre within an optical device capable of being formed by the process of the present invention

25           In Figure 1, a first layer (2) is supported on a substrate layer (1) which is held in position by a vacuum bed (4). The first layer (2) has retaining features (3) in the form of channels. A resin dispenser (5) provides a supply of resin (6) containing a photoinitiator to the line of contact formed between the first layer (2) and a second layer (7) supported on a substrate layer (8). The roller (9) applies a pressure along the line of contact and moves in  
30 the direction indicated by the arrow (A). The resin is thus squeezed across the surface of the first layer (2) by the action of the roller and into the retaining features (3) thereby only leaving a very small residual overlay of the resin between the second layer (7) which is superimposed over the first layer (2). As the resin filled retaining features pass through the nip of the roller, UV light from the source (10) irradiates the resin and initiates the curing of the resin.

35           Figure 2 is an optical device which has as a wave guide a so-called "Y" junction or

splitter in which light is directed along the stem of the Y and is split between the two branches.

Figure 3 shows a graphical representation of the intensity of the light signal as emitted from the branched end of the optical device as prepared in Example 1. The major peaks represent the light emitted from the actual branches of the "Y" junction. The absence of other peaks show that the optical device is able to retain substantially all of the light signal within the wave guide, i.e. there is little or no overlay present.

Figure 4 is a similar graphical representation as that of Figure 3 except that the "Y" junction was that prepared in Example 2. As before, the major peaks represent the light emitted from the actual branches of the "Y" junction. However, the presence of a substantial overlay allows leakage of the light signal from the wave guide which can be seen by the large number of minor peaks to either side of each major peak.

Figure 5 shows a cross-section of the optical device as prepared in Example 1 comprising a first layer (1a) having embossed, and filled, retaining features (2) and a second layer (1b). As is evident there is little or no discernible overlay present.

Figure 6 shows a cross-section of the optical device as prepared in Example 2 comprising a first layer (1a) having embossed, and filled retaining features (2) and a second layer (1b). Between the first layer (1a) and second layer (1b) there is an interposing, overlay (3).

Figure 7 shows a graphical representation of the intensity of the light signal as emitted from the branched end of the optical device as prepared in Example 7. The major peaks represent the light emitted from the actual branches of the 1 x 8 passive splitter. The absence of other peaks shows that the optical device is able to retain substantially all of the light signal within the wave guide.

Figure 8 is an optical component known as a 2 x 4 splitter. An incoming light signal from one or other of stems (1a, 1b) is progressively split into two signals along branches (2a, 2b) and thereafter four signals along branches (3a, 3b, 3c, 3d).

Figure 9 shows a graphical representation of the intensity of the light signal as emitted from the ends of branches (3a, 3b, 3c, 3d) of the 2 x 4 splitter as shown in Figure 8 and fabricated according to the process of the present invention. The major peaks represent the light emitted from the ends of the branches. The absence of other peaks show that the optical device is able to retain substantially all of the light signal within the wave guide, i.e. there is little or no overlay present. The signal from each of the branches was within 0.1 dB of each other.

Figure 10 shows how an optical fibre (1) may be abutted against a retaining feature

(2) which has been filled with the second polymer. The retaining feature (2) is located within a first layer (3) over which has been applied further first polymer to form a second layer (4). the first layer (3) is supported by a substrate (5a) in which is formed a positioning feature (6), e.g. a "V" groove in which the optical fibre (1) is located. Further supporting layers (5b, 7a, 5 7b) are also provided.

The invention is also illustrated by the following examples.

#### Formulation A

This formulation was used to prepare the first polymer in which the at least one retaining feature was formed. The formulation contained  
10 100 parts by wt. of bis(oxy ethyl methacrylate) 2,2',3,3',4,4' hexafluoroglutarate (HFG)  
2 parts by wt. of "Irgacure 651" (Ciba-Geigy)  
1 part by wt. tert-butylperoxy-2-ethyl hexanoate ("Interox TBPEH", Interox Chemicals Ltd).

The viscosity of the formulation was measured to be 0.4 Poise using a Carrimed rheometer.

15 When spin coated to a thickness of 10 $\mu$ m onto a high refractive index substrate a fully cured optical coating of Formulation A had a refractive index of 1.449 obtained using the critical angle method.

#### Formulation B

This formulation was used as the resin from which the retained second polymer was  
20 formed. The formulation contained  
75 parts by wt. of HFG  
25 parts by wt. of 1,3,5 tris(oxy ethyl methacrylate) triazine  
2 parts by wt. of "Irgacure 651"  
1 part by wt. of "Interox TBPEH".

25 The formulation viscosity was 0.8 Poise.

Using the method described for Formulation A, Formulation B provided an optical coating with a refractive index of 1.466.

#### Formulation C

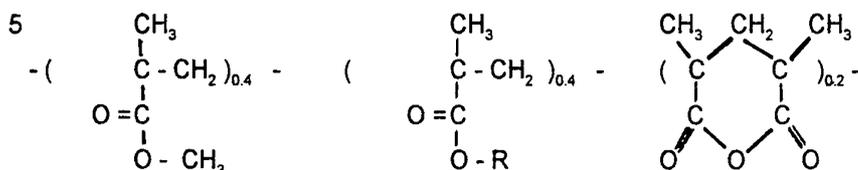
This formulation was used as an alternative to Formulation B. The formulation  
30 contained  
92.5 parts by wt. of HFG  
7.5 parts by wt. of ethylene glycol dimethacrylate  
2 parts by wt. of "Irgacure 651"  
1 part by wt. of "Interox TBPEH"

35 The formulation viscosity was the same as that of Formulation A. The refractive index was

1.4623 at 1.32  $\mu\text{m}$  and 1.4605 at 1.55  $\mu\text{m}$ .

#### Formulation D

This formulation was based on Formulation B except that 5 parts by wt. of a viscosity modifying polymer of the general formula



where R is ethyl acrylate

10 was added.

The formulation viscosity was 1.3 Poise.

#### Formulation E

This formulation contained

100 parts by wt. of an aliphatic polyester acrylate ("Photomer 5018", Harcros Chemicals)

15 2 parts by wt. of "Irgacure 651"

1 part by wt. of "Interox TBPEH".

The formulation had a viscosity at 25°C of between 7 to 12 Poise and a refractive index (when cured) of 1.488 at 633 nm (Formulation A which had a refractive index of 1.4638 at 633 nm).

#### Formulation F

20 This formulation contained

75 parts by wt. of HFG

25 parts by wt. of ethylene glycol dimethacrylate

2 parts by wt. of "Irgacure 651"

1 part by wt. of "Interox TBPEH".

25 The viscosity of this formulation was similar to that of Formulation A and had a refractive index (when cured) of 1.4734 at 633 nm.

#### Example 1

A flexible substrate comprising polyethylene terephthalate film (Melinex grade 506 - Melinex is a tradename of Imperial Chemical Industries plc), 100  $\mu\text{m}$  nominal thickness, was  
 30 coated with Formulation A (50  $\mu\text{m}$  wet coat thickness) using a No. 5 K bar coater and partially UV cured using a Fusion Systems UV lamp type LXF300, fitted with a type D bulb model No. 1787 emitting in the range 200-880 nm with maximum output at 365 nm, providing 118 W.cm<sup>-1</sup> at the focal point.

Retaining features, in the form of channels, were then embossed into the partially cured film which was then further cured at 20°C using the "Fusion Systems" UV source to form a first layer.

The retaining features were generally of a square cross-section (7.5 µm by 7.5 µm) in the form of a "Y" junction. The configuration of the "Y" junction is that shown in Figure 2 wherein the two arms join the stem of the Y as "S" bends of curve radius 150 mm. Each of the arms and stem were of 40 mm in length.

The first layer was transferred to a table and held in position using a vacuum bed to form a supported film. The table was provided with a laminating roller (70 mm outside diameter including a 10 mm silicone rubber sleeve, width 64.5 mm) and a UV lamp (GEW serial No.8428, type MD2-10 fitted with a type 708A.FCD lamp providing the same output and wavelength range as the Fusion Systems lamp above). Both the laminating roller and the UV lamp were able to traverse the length of supported film.

A line of contact was formed between a flexible dispensing layer of Melinex 400 film 15 and the supported film. The UV lamp was positioned and the light therefrom focused (using a parabolic lens) such that illumination at a level of 120 W.cm<sup>-1</sup> occurred along the line of contact. The laminating roller was positioned along the line of contact and a load of 68 kg applied to the roller.

The laminating roller and the UV lamp traversed the length of the supported film at a 20 rate of 0.5 m.s<sup>-1</sup> such that an area of supported film of 65 mm by 65 mm was covered and during which time 0.2g of resin of Formulation B was dispensed using a pipette to the line of contact between the first and second film.

The resin was thus squeezed across the surface of the supported film by the action of the roller thereby filling the retaining features and leaving only a small residual overlay of 25 cured Formulation B between the laminated supported film and the dispensing film. The conditions of the experiment were therefore such that the time for cure of the resin in the retaining feature approximated to the residence time of the laminated films in the nip of the laminating roller.

The dispensing layer was readily delaminated from the residual overlay. The 30 overlay was then coated with a 50 µm thick layer of Formulation A which was then partially UV cured using the GEW UV source. Fabrication was then completed by laminating a substrate of Melinex 505 film onto the partially cured layer of Formulation A which was then fully UV cured to produce a laminated product. The laminated product was then baked in an oven at 80°C for 18 hours before testing.

35 A sample of filled retaining feature was cut out of the baked laminated product and

sectioned using a microtome. The thickness of the overlay in the microtomed sample was measured by optical microscopy to be  $0.25 \pm 0.20 \mu\text{m}$ . The cross-sectional dimensions were also measured to be

- depth  $7.5 \mu\text{m}$
- 5 width at surface of first layer  $7.4 \mu\text{m}$
- width at bottom of channel  $7.1 \mu\text{m}$ .

The effective refractive index difference between the cured Formulation B in the retaining feature and the surrounding region was determined to be 0.007.

The "Y" shaped waveguides thus formed were prepared for optical characterisation 10 by sandwiching them between two glass slides and microtoming the ends such that the end faces were perpendicular.

#### Example 2 - Comparative

The procedure of Example 1 was repeated except the UV curing of the resin in the retaining feature was performed using a lower intensity UV source (Model B-100A Blak Ray 15 Ultraviolet lamp, UVP Inc. with  $1.0 \text{ mW}\cdot\text{cm}^{-2}$  at 38cm from the source) that was not directed along the line of contact. Therefore, in this example the reduced power of the UV light resulted in the curing time being less than the residence time at the nip with a consequential leakage of the resin from the retaining feature so as to form a thicker overlay.

The overlay thickness was measured subsequently determined and found to vary in 20 the range  $1.5$  to  $3.0 \mu\text{m}$ .

The wave guides formed in Example 1 and Example 2 were characterised using fibre coupling techniques using "pigtailed" laser diodes having wavelengths of around  $1.3$  and  $1.55 \mu\text{m}$  (Northern Telecom LC71-18 ( $1.32 \mu\text{m}$ ) and LC81-18 ( $1.552 \mu\text{m}$ )).

The output from the lasers was transmitted via a standard  $9/125 \mu\text{m}$  silica 25 monomode optical fibre, the end face of which was prepared using a standard fibre cleaving technique.

A sandwiched waveguide of Example 1 was mounted on a 4-axis manipulator stage (Photon Control DM2), whilst the end of the optical fibre was mounted on an adjacent manipulator block (Photon Control Microblock). The end of the optical fibre was then brought 30 into alignment with the input face of the "Y" shaped waveguide.

The laser light was then coupled into the wave guide through a 2 to 10 mm wide air gap and was transmitted along the waveguide to the relevant output face. The light emitted from the output face was imaged using a microscope objective lens onto the sensing element of a vidicon camera (Electrophysics Micronviewer 7290). The lens was also mounted on a 35 manipulator block in order to allow the appropriate section of the output face to be viewed.

The image received by the vidicon was inputted into an image capture board (Synoptics Synapse 768) mounted in a Nectar 486 computer running an image analysis programme (PC Image Plus, Foster Findlay Associates). The programme allows the relative intensities of different sections of the received image to be measured and plotted.

5 The output from the two arms of the "Y" shaped wave guide is shown in Figure 3. The confinement of the light centred on the regions of the retaining features is clear. No significant light intensity is seen in the region between the two output peaks and the contrast ratio (as determined by the maximum intensity of the peaks divided by the maximum intensity between the peaks is in excess of 1000). Thus, little light is emitted from the overlay of the  
10 cured Formulation B resin.

In a further example, a straight waveguide prepared according to Example 1 showed a similar contrast ratio.

In comparison, Figure 4 shows the results of characterising the "Y" shaped waveguide prepared according to Example 2. The contrast ratio of about 7 is principally due  
15 to the thicker overlay. Straight waveguides produced according to Example 2 also showed a reduced contrast ratio of between 5 to 100.

#### Example 3

Example 1 was repeated except that Formulation C was used instead of Formulation B. The resulting laminated product had a similar thickness and variation in thickness to that of  
20 Example 1.

#### Example 4 - Comparative

Example 2 was repeated except that Formulation D was used instead of Formulation B. The filling of the retaining features was as described in Example 2. The resin in the retaining features was cured after the line of contact had passed over the filled portion of the  
25 retaining feature, thereby allowing the retained resin to partially relax out of the retaining feature, this resulted in the formation of a very large overlay.

#### Example 5

Example 1 was repeated except that Formulation E was used instead of Formulation B. An overlay comparable to that described in Example 1 was generated.

30 Example 6 (a), (b) and (c)

Example 1 was repeated except that a multimode optical device was prepared comprising channels of 28  $\mu\text{m}$  depth and of between 60 to 60  $\mu\text{m}$  width. In Example 6 (a), Formulation B was replaced by a resin comprising an epoxytated bis phenol A diacrylate having a refractive index of 1.5562 at 633 nm. In examples 6 (b) and 6 (c) Formulations E  
35 and F replaced Formulation B respectively.

In each of Example 6 (a), (b) and (c) an overlay comparable to that of Example 1 was produced.

#### Example 7

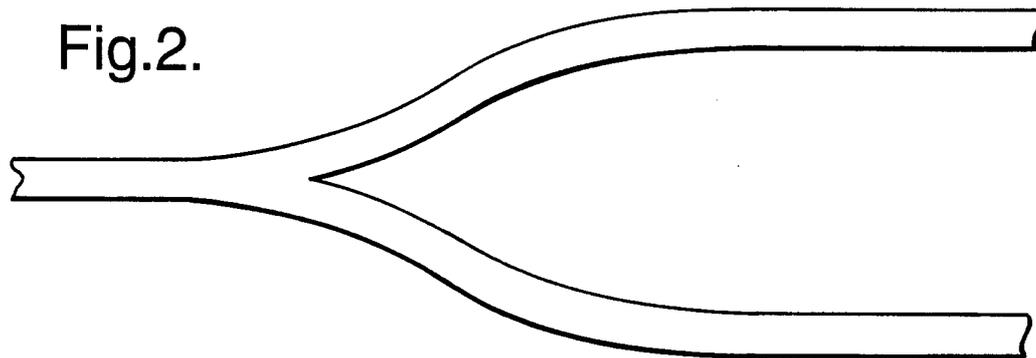
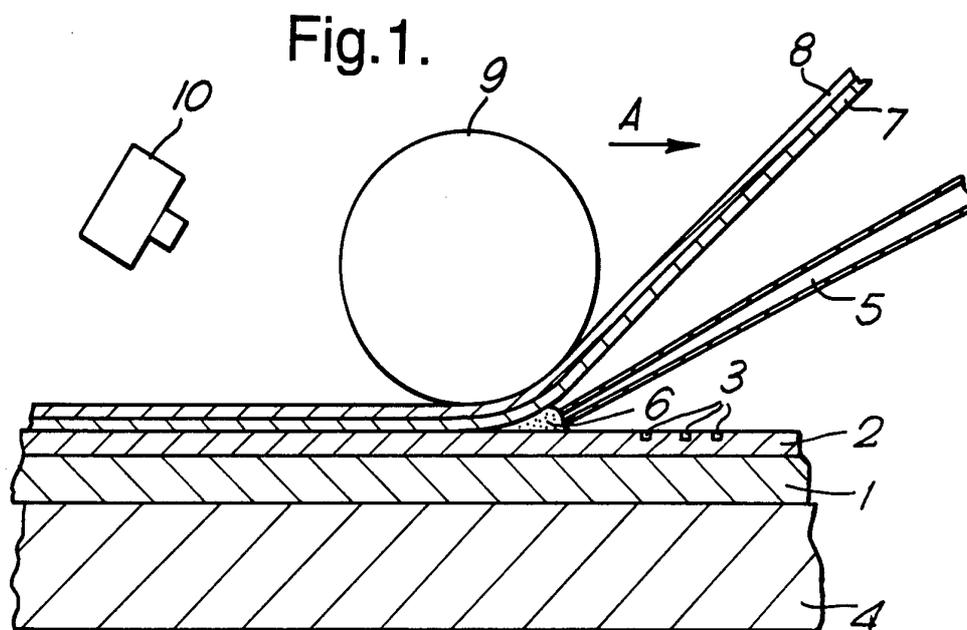
Example 1 was repeated except that a 1 x 8 passive splitter was formed and 5 Formulation B was replaced by a resin comprising 90 parts by wt. of HFG and 10 parts by wt. of ethylene glycol dimethacrylate. An analysis comparable to that performed for Examples 1 and 2 was conducted and the results are as shown in Figure 7. The best total loss for the configuration was 16.6 +/- 1.6 dB with an average loss of 17.3 +/- 2.7 dB.

## CLAIMS

1. A polymeric structure for use in the preparation of an organic optical component which polymeric structure comprises
  - (a) a first layer of an optically transmissive first polymer having a first refractive index, 5 the first layer having a surface in which is defined at least one retaining feature;
  - (b) an optically transmissive second polymer retained within the at least one retaining feature, the second polymer having a second refractive index which is greater than the first refractive index; and
  - (c) an overlay of the second polymer having a maximum thickness of less than 1.5  $\mu\text{m}$  10 over the surface of the first layer.
2. A polymeric structure as claimed in either claim 1 or claim 2 wherein the first layer is supported by a substrate which is removable from the first layer.
3. A polymeric structure as claimed in either claim 1 or claim 2 wherein the second polymer is formed from a resin selected from at least one of halogenated and deuterated 15 siloxanes, acrylates and methacrylates.
4. A polymeric structure as claimed in claim 3 wherein the second polymer is formed from a resin selected from at least one of ethyleneglycol dimethacrylate, tetrafluoropropylmethacrylate, pentafluorophenylmethacrylate, tetrachloroethylacrylate, multifunctional derivatives of triazine and phosphazene.
- 20 5. A polymeric structure as claimed in any one of claims 1 to 4 wherein the at least one retaining feature has a height and width in the range 1 to 10  $\mu\text{m}$ .
6. A polymeric structure as claimed in claim 5 wherein the at least one retaining feature has a height and a width in the range 1 to 5  $\mu\text{m}$ .
7. A polymeric structure as claimed in any one of claims 1 to 6 wherein the variation of 25 the thickness of the overlay across the surface is less than  $\pm 0.75 \mu\text{m}$ .
8. An organic optical component comprising
  - (a) a first layer of an optically transmissive first polymer having a first refractive index, the first layer having a surface in which is defined at least one retaining feature;
  - (b) an optically transmissive second polymer retained within the at least one retaining 30 feature, the second polymer having a second refractive index which is greater than the first refractive index; and
  - (c) a second layer of an optically transmissive third polymer having a third refractive index which is less than the second refractive index and which is the same or different from the first refractive index and which second layer contacts the second polymer retained within 35 the at least one retaining feature and the surface of the first layer

wherein the organic optical component exhibits an optical loss of less than 2.0 dB.cm<sup>-1</sup> at at least one wavelength in the range 300 to 1600 nm.

9. A method capable of preparing a polymeric structure as claimed in any one of claims 1 to 7, which polymeric structure has
- 5 (i) a first layer of an optically transmissive first polymer having a first refractive index, the first layer having a surface in which is defined at least one retaining feature;
- (ii) an optically transmissive second polymer retained within the at least one retaining feature, the second polymer having a second refractive index which is greater than the first refractive index; and
- 10 (iii) an overlay of the second polymer having a maximum thickness of less than 1.5  $\mu\text{m}$  over the surface of the first layer
- which method comprises
- (a) forming a line of contact between a flexible dispensing layer and the surface of the first layer and progressively contacting the surface with the flexible dispensing layer such that
- 15 the line of contact advances across the surface;
- (b) applying sufficient of a resin, capable of being cured to form the second polymer and to substantially fill the at least one retaining feature, along the line of contact;
- (c) applying sufficient pressure along the line of contact such that
- (1) substantially all of the resin which is surplus to that required to fill the at least one
- 20 retaining feature at the line of contact progresses with the advancing line of contact thereby filling the at least one retaining feature with resin; and
- (2) no more than a quantity of resin capable of forming the overlay of second polymer passes the line of contact; and
- (d) curing the resin filling the at least one retaining feature.
- 25 10. A method as claimed in claim 9 wherein the resin is cured as it passes the line of contact.



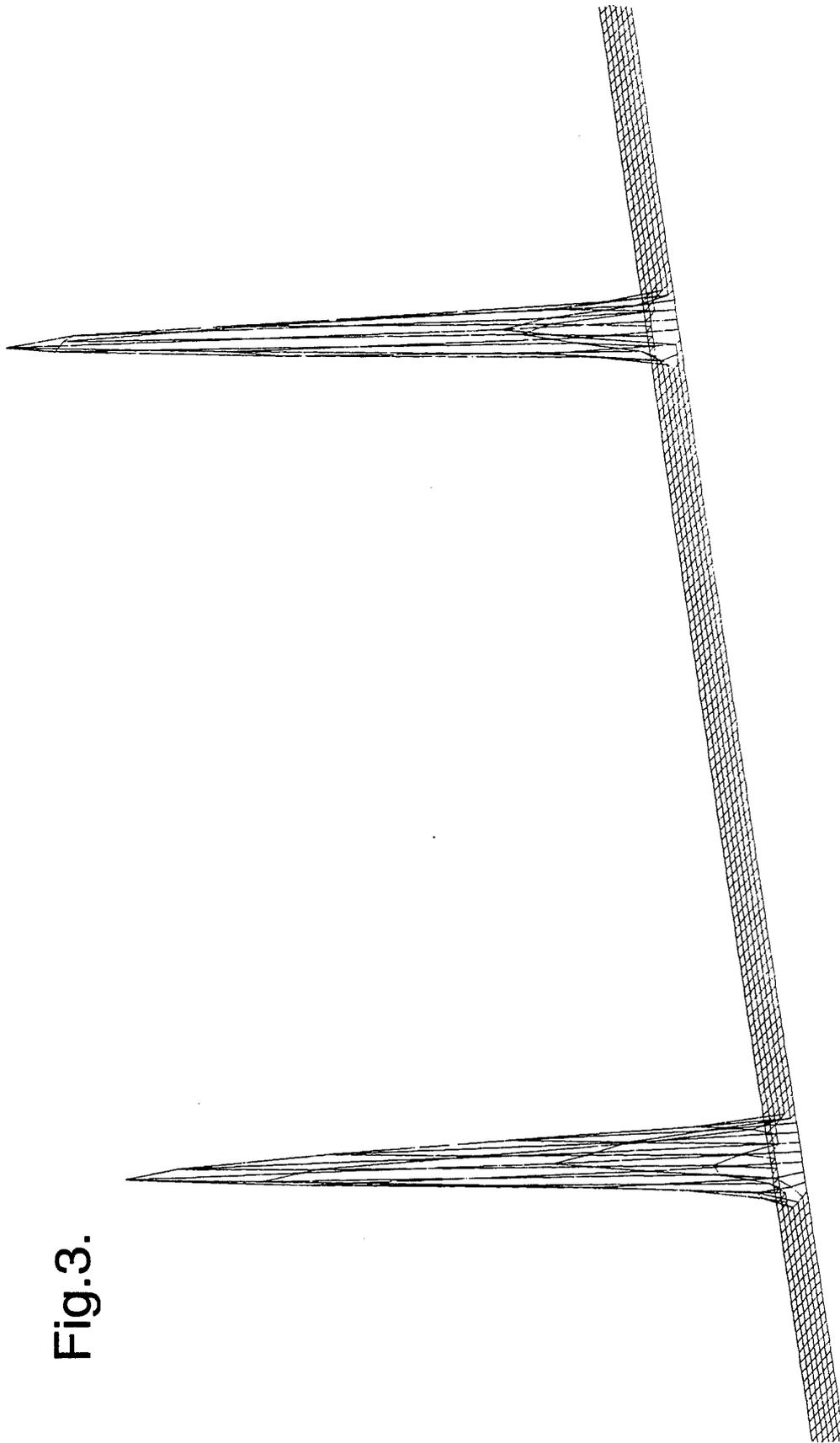


Fig.3.

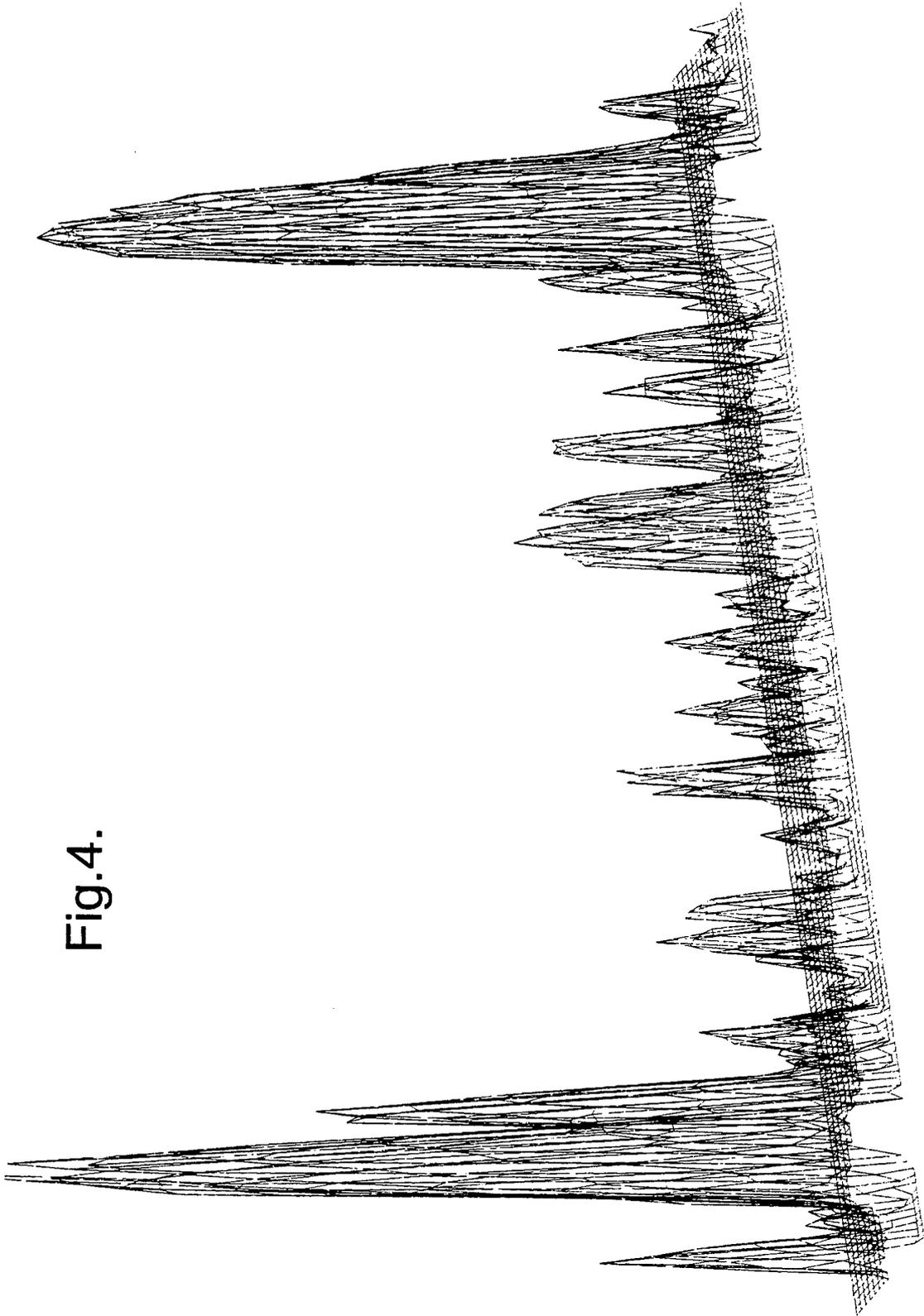


Fig.4.

Fig.5.

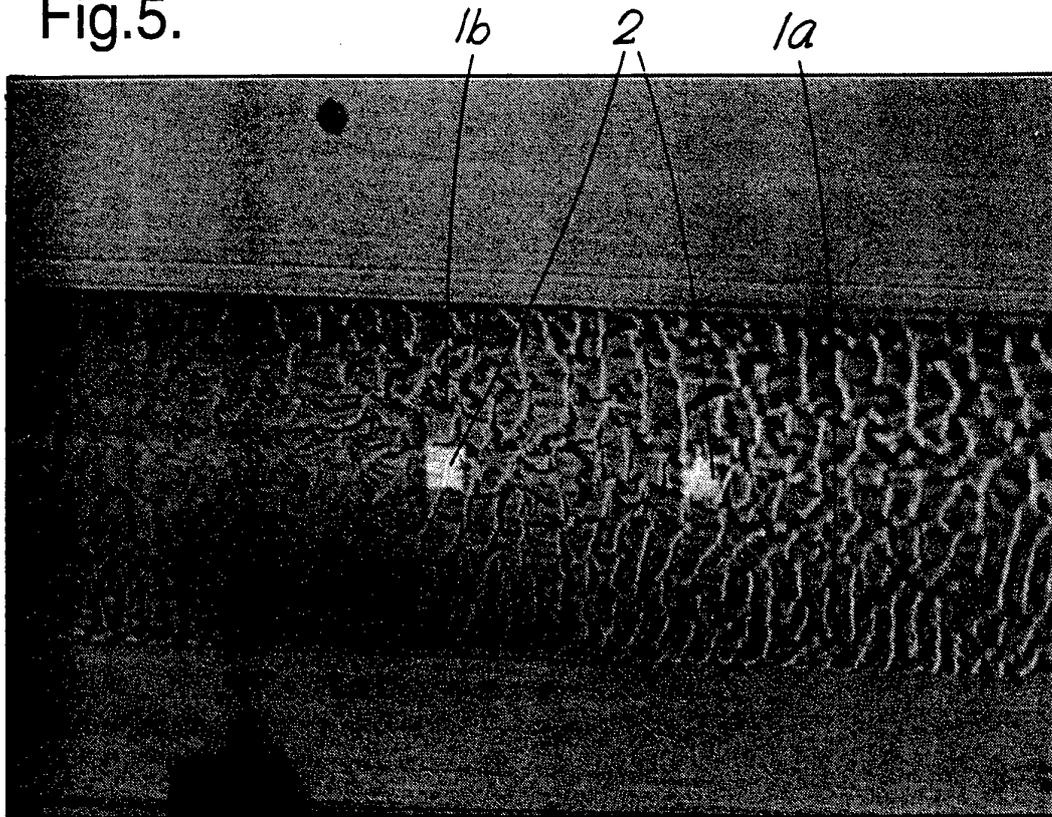
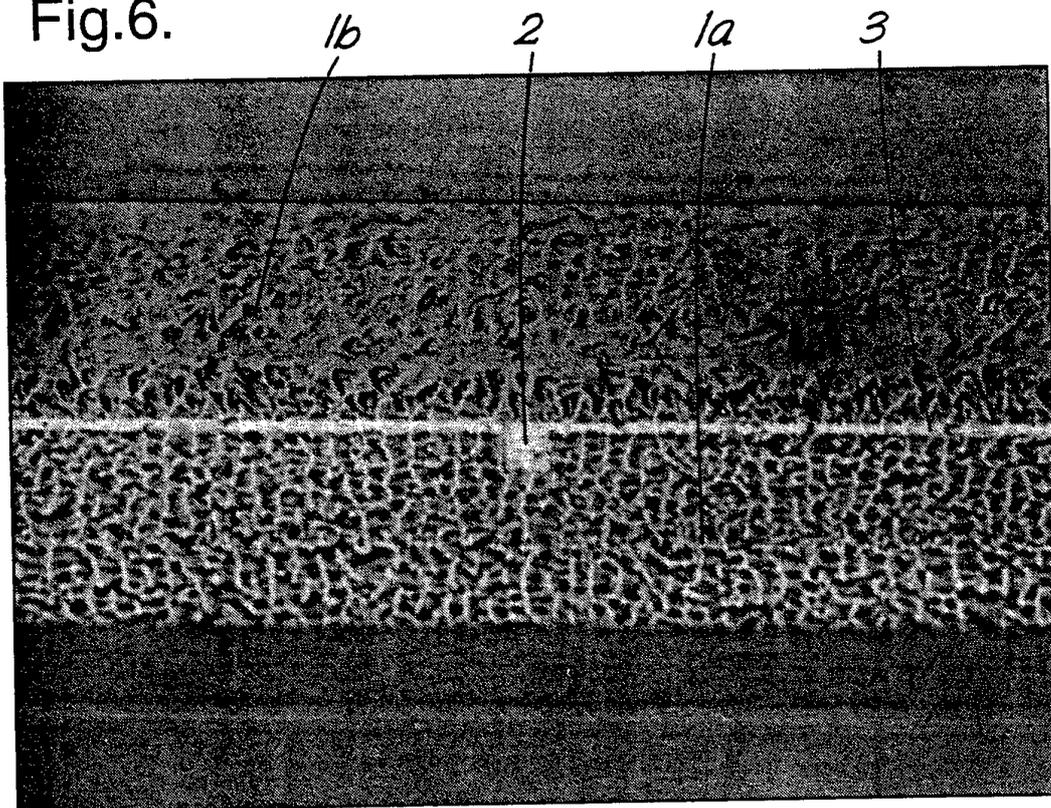


Fig.6.



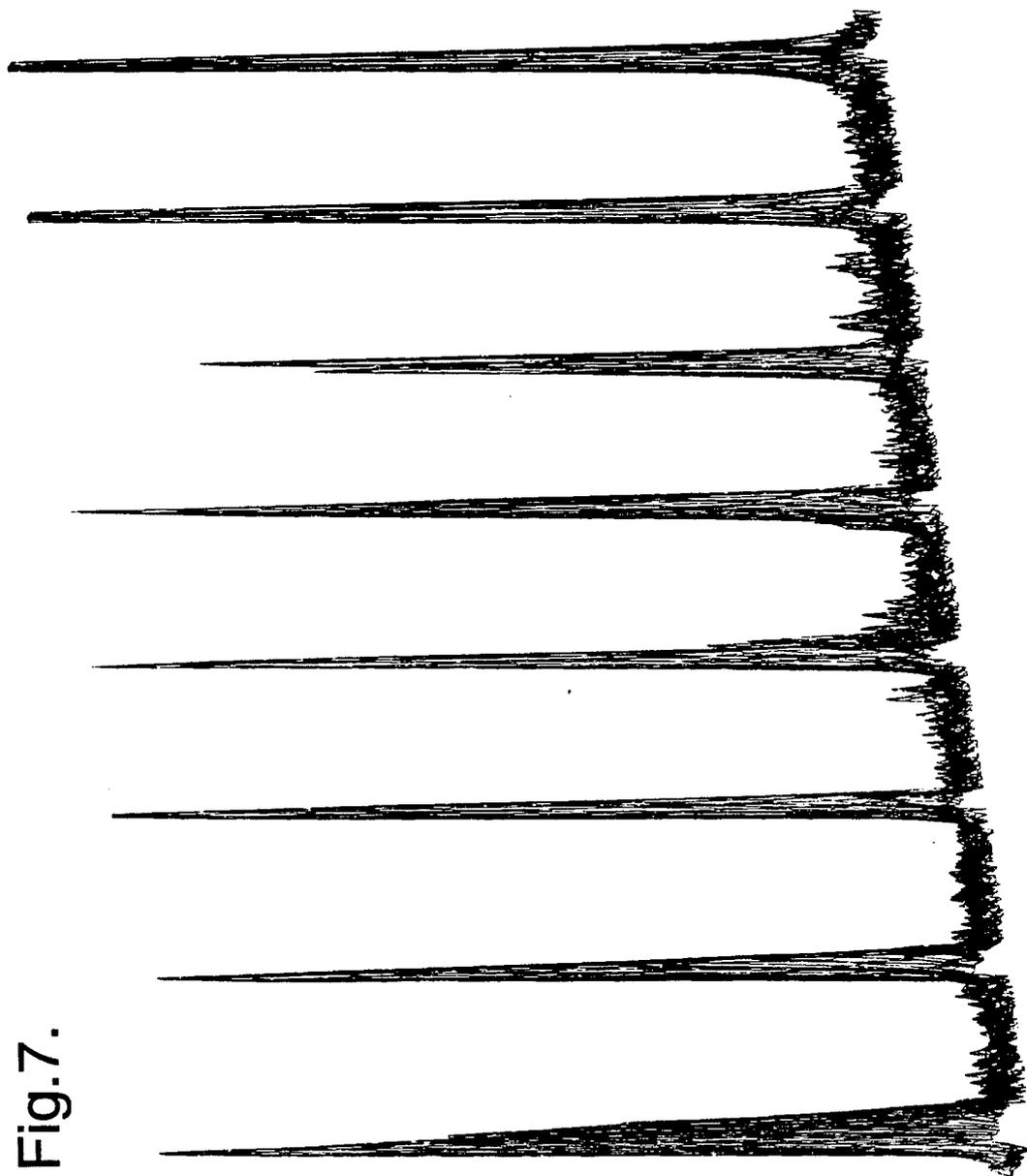


Fig.7.

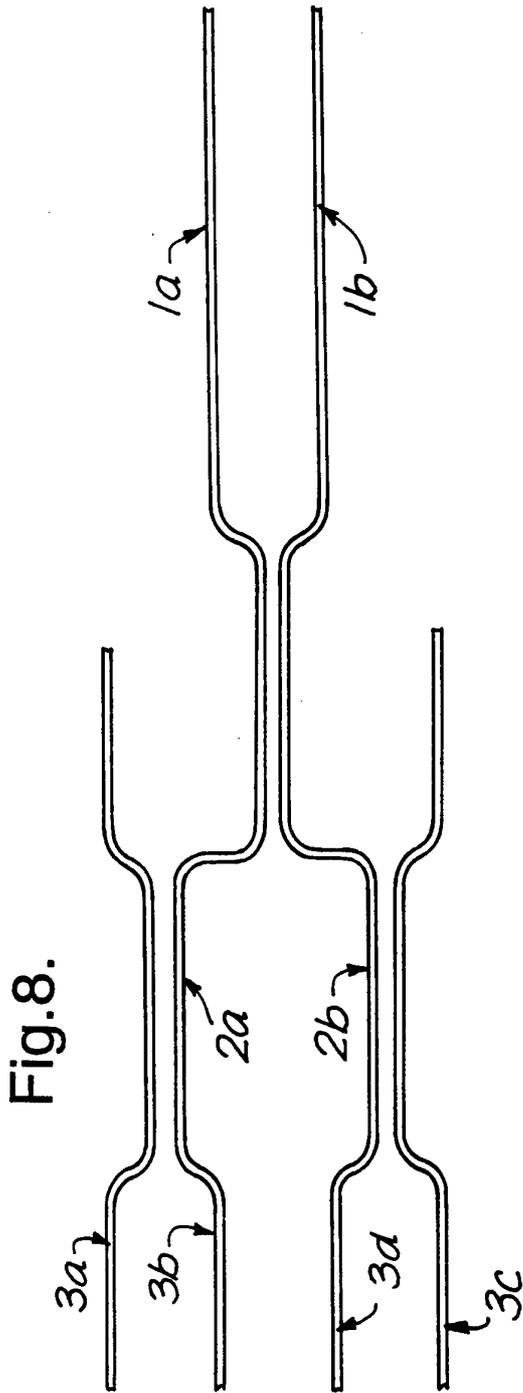
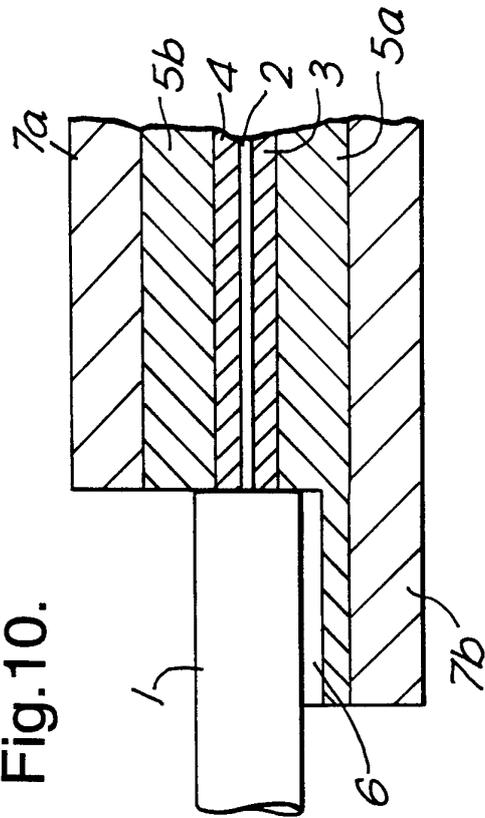


Fig.10.



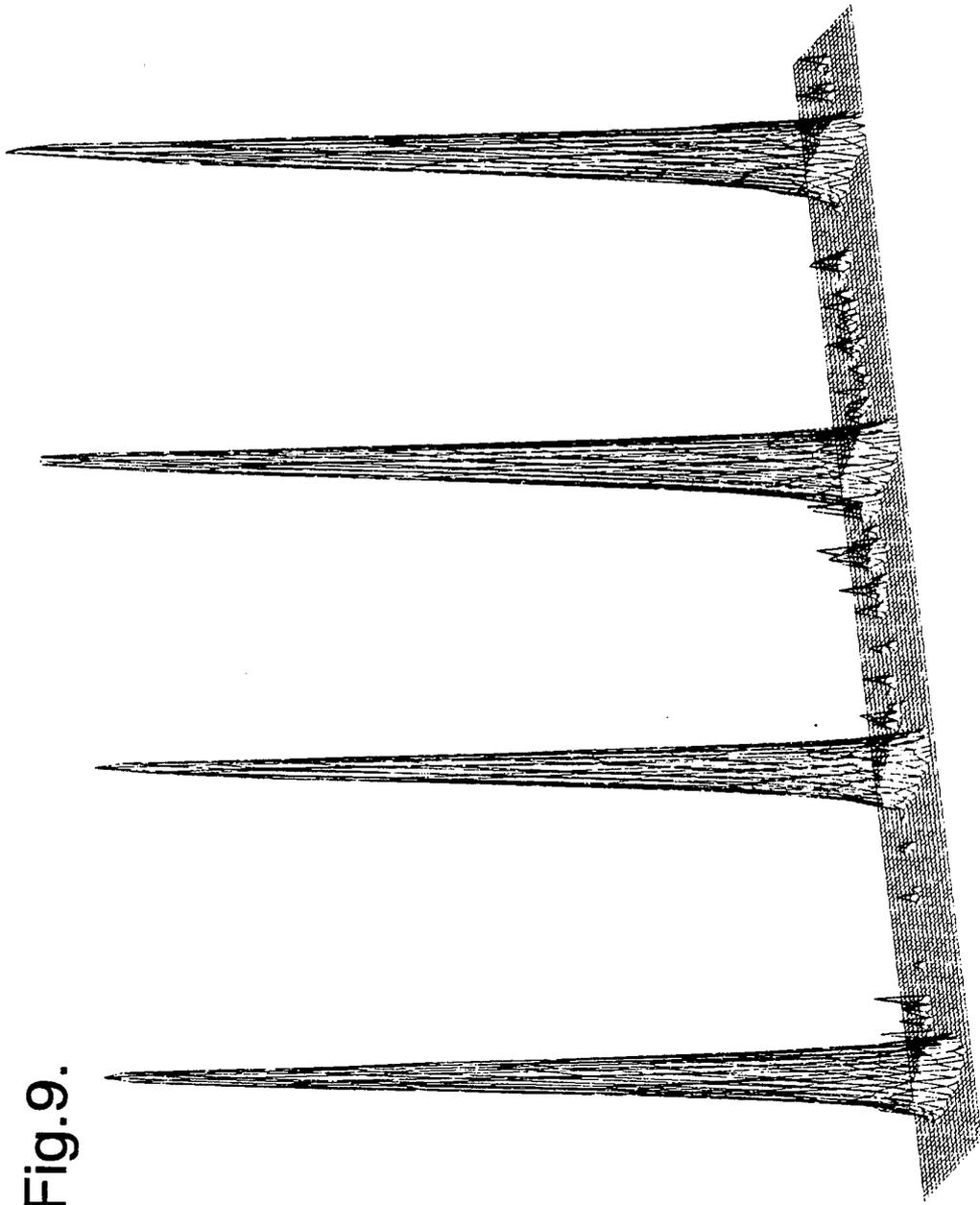


Fig.9.

INTERNATIONAL SEARCH REPORT

International Application No  
PCT/GB 94/02118

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 6 B29D11/00 B29C43/28		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) IPC 6 B29D B29C G02B		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	DE,A,31 49 907 (FUJI PHOTO FILM CO.LTD.) 15 July 1982 abstract see figures 3C,3D ---	1-8
X	FR,A,2 358 970 (THE STANDARD PRODUCT COMPANY) 17 February 1978 see page 2, line 28 - page 3, line 7; figure 4 ---	9,10
A	DE,A,30 02 881 (BASF AG) 15 October 1981 ---	9
A	GB,A,2 180 665 (TELEPHONE CABLES LIMITED) 1 April 1987 -----	1,9
<input type="checkbox"/> Further documents are listed in the continuation of box C. <input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents :		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family
Date of the actual completion of the international search  13 December 1994		Date of mailing of the international search report  01-02-1995
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016		Authorized officer  Roberts, P