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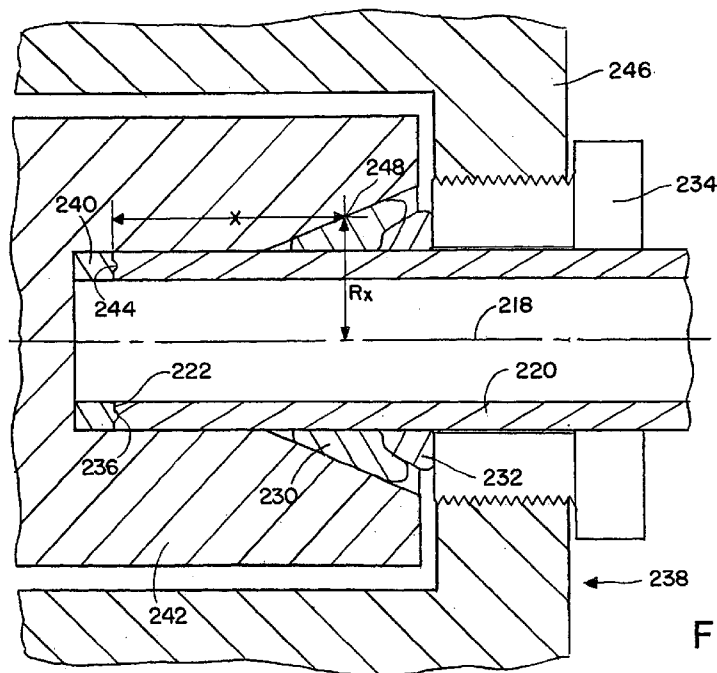


FIG. 2

(57) Abstract: Described is a sealing system for use at high pressure. End-face seals minimize the sealing radius and therefore allow various fittings—including known ferrule fittings—to be used in high-pressure systems. End-face seals at such high pressure may require smooth surfaces. In order to reduce cost, an end-face preparation tool forges a dimple into the end face to mechanically deform and smooth the surface.

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## HIGH-PRESSURE TUBE FITTINGS, SEALS, AND END-FACE PREPARATION TOOLS

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### Related Applications

This application claims the benefit of U.S. Provisional Application No. 61/329,601 filed April 30, 2010, which is hereby incorporated herein by reference.

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### Field of Invention

The present invention relates generally to sealing assemblies and associated components and methods used with compression fittings for coupling the end of a length of tubing to another member, and more particularly to such a sealing assembly for use with any coupling means known to those skilled in the art that it is capable of sustaining the high axial loads required for high-pressure applications and which is particularly adapted for use with hardened or other tubing used in "high-pressure" applications (e.g., an inverted ferrule arrangement, a locking back ferrule arrangement, a threaded collar (cone and thread) coupler, or the like).

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### Background

As the pressure required in a system increases, the choices of appropriate tube fittings declines. For "high-pressure" situations—typically around 15-20 Kpsi and above—the only types of tube fittings usually available are cone and thread fittings and welded joints. However, these types of fittings are often sensitive to vibration and impact loads. Further, cone and thread fittings may often be physically large. Finally, cone and thread fittings often require coning and other preparation in the field, during installation. Hand-coning in the field is often difficult and labor intensive. Machine-coning typically involves an electrical or other power source that is not always available at an installation location. Because of at least the increased cost of installation and maintenance, and their large size, it is desirable to find alternatives to these high-pressure tube fittings.

Compression fittings of a ferruled-type are widely employed as medium- and low- pressure fittings in a variety of instrumentation, pneumatic, hydraulic, process, power, refrigeration, and other fluid transport applications utilizing plastic or metal tubing. Typically employing one or two ferrules for gripping the tubing and forming a fluid-tight seal between the tubing and the fitting body, these fittings have been adapted for use as connectors with many different tubing types including plastics such as polyurethane (PU), polytetrafluoroethylene (PTFE), fluorinate ethylene polypropylene (FEP), perfluoroalkoxy resin (PFA), polyethylene (PE), polypropylene (PP), rigid and flexible nylon, acrylonitrile-butadiene-styrene (ABS) copolymer, and metals such as copper, brass, steel, stainless steel, titanium, aluminum, and alloys such as nickel-copper, Hastelloy.RTM., Alloy 600, 6Mo, Inconel.RTM., Incoloy.RTM., and the like. Examples of such prior-art fittings may be found, for example, in United States Patent No. 6,851,729 issued February 8, 2005, which is hereby incorporated by reference herein, and may illustrate—when viewed in conjunction with the present disclosure—the improvements and advantages disclosed herein.

In basic construction, such fittings for use with metal tubing often are formed from the same metal as the tubing (or in the case of copper tubing from brass) as including a body and one or more branches, ends, or other openings for connection to a tubing end. Often, these fittings are termed as being standard or inverted depending on the style of nut which is used in the fitting. Under such convention, "standard" nuts are internally-threaded for engagement with an externally-threaded body, with "inverted" nuts being externally-threaded for engagement with an internally-threaded body. However, these known fittings have not, historically, been suitable for high-pressure applications (above 20,000 psi).

### Summary of Invention

The present invention is directed to a type of coupling with sealing capability suitable for high-pressure applications. More particularly, the invention is directed at a tube-end preparation and/or sealing arrangement for use with known ferruled or other type couplers. In a preferred embodiment, the tube end,

such as a cut tube end, may be relatively inexpensively and easily prepared, for example in the field, to provide a smooth sealing surface for an end face seal with a sealing radius less than the radius of the outer diameter surface of the tube, this being in contrast to prior art designs where the sealing radius is equal  
5 or greater than the radius of the outer diameter surface of the tube. The smaller sealing radius minimizes the force against the seal, thus allowing for effective sealing in higher pressure applications.

An aspect of the present invention includes a tube fitting assembly for high pressure applications including a fitting body having an annular seating  
10 face; a tube having a tube axial end face juxtaposed to the seating face of the fitting body; and an annular seal including an annular sealing member interposed between and engaging the seating face and the tube axial end face for sealing the tube to the fitting body.

According to another aspect, the tube axial end face has formed therein a  
15 forged annular dimple forming a sealing surface against which the annular sealing member seals.

According to another aspect, the seal further includes a seal cage for aligning the seal with respect to the dimple on the tube end face and/or as a stop for defining a minimum spacing between the tube axial end face and the seating  
20 face when abutted therebetween.

According to another aspect, the tube fitting further includes a holding device for holding the tube in the fitting body with the seal axially compressed between the tube axial end face and the seating face.

According to another aspect, the holding device includes a nut for  
25 threadably engaging the fitting body.

According to another aspect, the holding device includes a front ferrule configured to clamp the tube.

According to another aspect, the nut is threadably engageable with the fitting body and compresses the front ferrule between the nut and the fitting  
30 body.

According to another aspect, the distance between the tube axial end face and the front ferrule is precisely controlled to provide appropriate force on the seal.

According to another aspect, the sealing member is a metal seal.

According to another aspect, the sealing member is a plastic or soft metal seal.

According to another aspect, the sealing member is an elastomeric seal.

5 According to another aspect, the metal seal has an arc-shaped cross-section.

According to another aspect, the arc-shaped cross-section is arranged to oppose fluid pressure from inside the fitting.

10 According to another aspect, the plastic or soft metal seal has a T-shaped cross-section.

According to another aspect, the fitting body has a fluid passageway.

According to another aspect, the fluid passageway opens into a counterbore

According to another aspect, the counterbore is sized to receive the tube.

15 According to another aspect, the seating face is formed on the bottom of the counterbore.

According to another aspect, the tube fitting includes a back ferrule.

According to another aspect, the back ferrule is compressed between the nut and the front ferrule.

20 According to another aspect, the holding device comprises a cone and thread fitting.

According to another aspect, the holding device comprises an inverted ferrule arrangement.

25 According to another aspect, the holding device comprises a locking back ferrule arrangement.

30 An aspect of the present invention includes a method of sealing a tube to a tube fitting body for high pressure applications, including dimpling an axial end face of a tube to provide a sealing surface that is smoother than the axial end face prior to the dimpling; assembling an annular seal between a seating surface on the fitting body and the sealing surface formed on the axial end face of the tube.

According to another aspect, the method includes clamping a front ferrule onto the tube by tightening a nut threadably engaged with the fitting body to

compress the front ferrule between the nut and the fitting body.

According to another aspect, the method includes aligning the seal with respect to the sealing surface on the axial end face with a seal cage.

According to another aspect, the method includes defining a minimum  
5 spacing between the axial end face and the seating face with a seal cage.

According to another aspect, the method includes compressing an annular seal between the seating surface on the fitting body and the sealing surface formed on the axial end face of the tube by tightening the nut.

Another aspect includes a tube end preparation tool for use in forming a  
10 dimpled surface in an axial end face of a tube to be assembled in sealed relationship to a tube fitting body, including a tube holder for holding a tube therein; a die having an annular dimple forming protrusion; and an actuator for forceably urging the die into an axial end face of the tube held in the tube holder with the annular dimple forming protrusion forming an annular dimple in the axial  
15 end face of the tube so as to provide a sealing surface smoother than the axial end face prior to dimpling.

According to another aspect, the actuator is hydraulically powered.

According to another aspect, the die further comprises frustroconical sidewalls for setting a front ferrule on the tube.

According to another aspect, the distance between the axial end face of  
20 the tube and a contact point on the front ferrule is precisely controlled.

According to another aspect, the die is removable.

Another aspect includes an annular seal for high pressure sealing between an axial end face of a tube and a seating face of a fitting body, including  
25 an annular sealing member for sealing between the axial end face of the tube and the seating face of the fitting body; and an annular cage for holding the sealing member.

According to another aspect, the cage is configured to align the seal with respect to the axial end face of the tube and/or is sized to define a minimum  
30 spacing between the tube axial end face and the seating face when abutted therebetween.

According to another aspect, the annular seal includes a seal cage having a protrusion to support the sealing member.

According to another aspect, the sealing member is an elastomeric seal.

According to another aspect, the sealing member is a plastic or soft metal seal.

According to another aspect, wherein the sealing member is a metal seal.

5 According to another aspect, the metal seal has an arc-shaped cross-section.

According to another aspect, the arc-shaped cross-section is arranged to oppose fluid pressure radially inward of the seal.

10 According to another aspect, the sealing member has a T-shaped cross-section.

According to another aspect, the metal seal is plated with a soft metal.

According to another aspect, the metal seal is coated with a plastic.

According to another aspect, the sealing member is resilient.

15 Another aspect includes a tube fitting assembly for high pressure applications, including a fitting body having an annular seating face and a fluid passageway opening into a counterbore; a tube having a tube axial end face juxtaposed to the seating face of the fitting body; and an annular seal including an annular sealing member interposed between and engaging the seating face and the tube axial end face for sealing the tube to the fitting body, wherein the  
20 counterbore is sized to receive the tube and the seating face is formed on the bottom of the counterbore.

According to another aspect, the sealing member is a plastic or soft metal seal.

According to another aspect, the sealing member is T-shaped.

25 These and further features of the present invention will be apparent with reference to the following description and attached drawings. In the description and drawings, particular embodiments of the invention have been disclosed in detail as being indicative of some of the ways in which the principles of the invention may be employed, but it is understood that the invention is not limited  
30 correspondingly in scope. Rather, the invention includes all changes, modifications and equivalents coming within the spirit and terms of the claims appended hereto.

Features that are described and/or illustrated with respect to one embodiment may be used in the same way or in a similar way in one or more other embodiments and/or in combination with or instead of the features of the other embodiments.

5 It should be emphasized that the term "comprises/comprising" when used in this specification is taken to specify the presence of stated features, integers, steps or components but does not preclude the presence or addition of one or more other features, integers, steps, components or groups thereof.

### 10 **Brief Description of the Drawings**

In the annexed drawings:

Fig. 1 is a cross-sectional view of an exemplary tube sealing assembly according to the invention, which by way of example includes an inverted nut and tube fitting;

15 Fig. 2 is a cross-sectional view of a portion of a tube end preparation tool for forming an annular dimple in the end face of a tube held to the preparation tool;

Fig. 3 is a detailed cross-sectional view of the tube end preparation tool with a different preset insert;

20 Fig. 4 is a detailed cross-sectional view of the tube end preparation tool with another preset insert;

Fig. 5 is a detailed cross-sectional view of the tube end preparation tool with still another preset insert;

25 Fig. 6 is a cross-sectional view of another tube sealing assembly according to the invention, employing a metal arc-shape seal and seal cage;

Fig. 7 is a cross-sectional view of another tube sealing assembly according to the invention, employing a metal arc-shape seal, an elastomeric seal and a seal cage;

30 Fig. 8 is a cross-sectional view of a further tube sealing assembly according to the invention, employing an arc-shape seal and secondary plastic seal;

Fig. 9 is a cross-sectional view of yet another tube sealing assembly according to the invention, employing an elastomeric seal and seal cage;

Fig. 10 is a cross-sectional view of a tube sealing assembly similar to Fig. 9 but without the use of a dimple;

Fig. 11 is a cross-sectional view of still another tube sealing assembly according to the invention, employing a plastic or soft metal seal; and

5 Fig. 12 is a cross-sectional view of yet another tube sealing assembly according to the invention, similar to Fig. 11 but also employing a seal cage.

### Detailed Description

For illustrative purposes, the precepts of a tube fitting assembly in accordance with the present invention are described in connection with a "straight" configuration such as for a union or coupling. It will be appreciated, however, that aspects of the present invention will find application in other fitting configurations, such as tees, elbows, and crosses, and as port connections for valves, cylinders, manifolds, sensors, and other fluid components. Further, the word "tube" and "tubing" is used throughout, but there may be cases where pipe or piping may also be appropriate for use and thus the reference to "tube" or "tubing" is intended to encompass pipe or piping unless otherwise indicated. Still further, the use of the term "tube fitting" or the like in this specification not only refers to the inverted tube fitting used for illustrative purposes, but also encompasses non-inverted ("standard") tube fittings as well, though some of the advantages disclosed herein may not always be as evident with standard fittings that are often used in low-pressure applications. Use within these other such configurations and components therefore should be considered to be expressly within the scope of the present invention.

25 Referring first to Fig. 1, an exemplary tube fitting (also referred to herein as a tube fitting assembly) 100 in accordance with the present invention includes a body 112 having an external surface 114 and an internal bore 116 which extends coaxially with a central longitudinal axis 118. Depending upon the configuration of the fitting 100, the body's external surface 114 may be configured as having hexagonal or other flats portions for engagement with a wrench or other tool during make-up or disassembly. Of course, and as is known, by providing body 112 as having ends or branches which are angled, or as having multiple ends or branches, configurations other than straight, such as

elbows, tees, or crosses may be provided. Alternatively, the body 112 may itself be a manifold, valve, piston, or other component or structure.

The bore 116 may be counterbored beginning from the body opening 128 and may extend therefrom along the longitudinal axis 118 into the body 112 in a  
5 stepwise fashion of generally decreasing diametric extent to the adjoining annular seating face 124. For example, the bore 116 may open into a counterbore 117, which may in turn open into a conical cam surface 119. The conical cam surface 119 may open into a second counterbore 121 which may end at the opening 128. The second counterbore 121 may include internal  
10 threading for engaging with a threaded holding mechanism. The bore 116, may be sized to accept the tubing 120, preferably with a close fit.

The tube axial end face 122 is sealed to the seating face 124 with a seal 126. The seating face 124 may be formed on the bottom of the counterbore 117. The seal 126 may be made of a variety of materials and be formed in a variety of  
15 shapes as described in more detail below. The sealed area and the load on the seal 126—both dependent on the square of the sealed radius—is considerably reduced in comparison to traditional compression ferrule fittings. In high-pressure applications, the difference between  $R_s$ —the radius of the seal in this embodiment—and  $R_c$ —the radius of the seal in traditional compression ferrule  
20 fittings—is especially pronounced due to thicker-walled tubes. At least because of this decreased loading, this new ferrule-type fitting 100 is suitable for high-pressure applications. Further, the force tending to push tube 120 out of the fitting 100 may also be reduced by the reduced sealing radius.

The tubing 120 may be held to the fitting body 112 by a holding device  
25 that holds the tube in the fitting body with the seal 126 axially compressed between the tube axial end face 122 and the seating face 124. In the illustrated exemplary embodiment, the holding device includes a generally annular (generally frustoconically shaped) front ferrule 130 and, preferably, also a generally annular (generally frustoconically shaped) back ferrule 132—each of  
30 which may be preset onto the outer diameter of the tube in a manner known in the art—and further through a generally annular, "inverted" nut 134. Although a particular ferrule arrangement has been shown for illustrative purposes, any suitable holding device including those employed high-pressure applications.

For example, an inverted ferrule arrangement, a locking back ferrule arrangement, a threaded collar (cone and thread) coupler, or the like may be used.

The body 112 and the ferrules may be formed of the same or generally  
5 the same material as the tubing itself, e.g. brass for copper, with such material typically being selected for strength, corrosion or temperature resistance, or other physical or mechanical property as required, or otherwise for chemical compatibility with the service environment or with the fluid being handled. Such fluid most often will be a liquid such as water, hydraulic oil, or a process stream,  
10 or air or another gas.

Unlike traditional compression couplings which seal along the ferrules (or the cone in cone and thread couplings), embodiments of the present invention seal along the axial end face 122 of the tube 120. Therefore, the outside finish and the hardness of the tube 120 may not be as critical as it is in prior art  
15 couplings. Further, the present invention may utilize collar/ferrule designs (including threaded) that grip a wider variety of tube diameters and materials. Finally, the tube end preparation may be much simpler than that required with a tapered conical tube end for a cone and thread fitting.

A preferred way of tube end preparation is now described with reference  
20 to Fig. 2. The tube 220 may have a tube end face 222 that is rough and filled with imperfections due to either low manufacturing tolerances or to cutting the tube 220 to length in the field. The imperfections on the tube end face 222 could cause a poor seal to form, or could abrade and thus degrade a seal 126 over time. A dimple 236 may be machined or may be punched, worked, forged,  
25 or otherwise mechanically permanently deformed in the tube end face 222 to smooth the surface over dimple area of the tube end face 222, thus allowing for a better seal and less abrasion of the seal 126. The dimple 236 forms a generally concave smooth surface with fewer and less severe surface imperfections than would otherwise exist on the end face 222 of raw cut tube  
30 220.

In order to form the dimple 236, any suitable means may be employed to die-form, preferably by cold forging, the dimple into the axial end face of the tube. In Fig. 2, an exemplary end preparation tool 238 is shown. The end

preparation tool 238 may be a manual or hydraulic end preparation tool 238. The tool may also have functions in addition to forming the dimple 236 as described below. The tool may have a dedicated die for forming the dimple, or may use removable die inserts for forming the dimple.

5 The tube 220 may be inserted into the tool 238 to abut a generally annular, hardened preset insert 240 (or other die) that may rest against the inside of a preset body 242 which may act as an actuator to push the preset insert 240 into the tube end face 222. The preset insert 240 may be removable from the preset body 242. Alternatively, the preset insert 240 may be formed integral with the  
10 preset body 242. The preset insert 240 may include one or more preset protrusions 244 that form one or more annular dimples 236 on the tube end face 222. The preset insert 240 may be used, for example, to prepare the tube 220 for use with the seal 926 shown in Fig.9 and discussed below.

The end preparation tool 238 may also be used to clamp the front and  
15 back ferrules 230, 232 onto the tube 220, as in well known manner.

A manual or hydraulic ram (not shown) may push the preset body 242, and thus the preset insert 240, into the tube end face 222. To resist this pushing force, the nut 234 may be threadably engaged with an end preparation tool head 246, which is fixed in relation to the preset body and may act as a tube holder.  
20 The nut 234 may provide a backstop for the front and back ferrules 230, 232 to allow the tube 220 to resist the motion of the preset insert 240. In addition, the preset body 242 may push against the front ferrule 230 directly, thus setting or clamping the ferrules 230, 232 to the tube 220.

The distance X between the tube end face 222 and the point of contact  
25 248 between the front ferrule 230 and the preset body 242 may be tightly controlled during this process. Alternatively or additionally, the radius Rx from the longitudinal centerline 218 to the point of contact 248 may be tightly controlled during this process. The point of contact 248 may be a point, a ring, or a frustoconical surface along the front ferrule 230, and may correspond to a  
30 point of contact between this front ferrule 230 and the fitting body 112 (or, more specifically, the conical cam surface 119) when the fitting 100 is assembled. This tight control may allow for a proper fit during the fitting 100 assembly which may ensure a proper seal load, preventing damage to the seal 126.

Alternatively, the presetting process described above may use the fitting body 112 instead of a separate end preparation tool 238. For example, the preset insert 240 may be placed in the fitting body 112 in place of the seal 126. The nut 234 may be used to tighten down the fitting 100 pressing the tube end face 222 into the preset insert 240 to form the dimple 236. The nut 234 may be tightened to a predetermined torque setting to provide the appropriate amount of axial force to form the dimple 244. The fitting 100 may then be disassembled to replace the preset insert 240 with the seal 126.

Turning to Figs. 3-5, shown are three more exemplary tube end preparation tools. In Fig.3, the tube 320 may be inserted into the preset body 342 in order to improve the tube end face 322 for sealing. The preset body 342 may push the preset insert 340 into the tube end face 322. The preset protrusion 344 may remove imperfections in the tube end face 322 by forming the dimple 336. This preset insert 340 may be used, for example, to prepare the tube 320 for use with the seal 626 shown in Fig.6 and discussed below.

In Fig.4, the tube 420 may be inserted into the preset body 442 in order to improve the tube end face 422 for sealing. The preset body 442 may push the preset insert 440 into the tube end face 422. The preset protrusions 444', 444'' may remove imperfections in the tube end face 422 by forming the dimples 436', 436''. This preset insert 440 may be used, for example, to prepare the tube 420 for use with the seal 726 shown in Fig.7 and discussed below.

In Fig.5, the tube 520 may be inserted into the preset body 542 in order to improve the tube end face 522 for sealing. The preset body 542 may push the preset insert 540 into the tube end face 522. The preset protrusions 544', 544'' may remove imperfections in the tube end face 522 by forming the dimples 536', 536''. This preset insert 540 may be used, for example, to prepare the tube 520 for use with the seal 826 shown in Fig.8 and discussed below.

Turning now to Fig.6, shown is a detail drawing illustrating the area around a seal 626. The tube 620 is inserted into fitting body 612. The tube end face 622 and bore seating face 624 are sealed with a metal seal 650. The metal seal 650 may fit into the dimple 636 in the tube end face 622. The metal seal 650 may also fit into a groove 652 in the bore seating face 624 either caused by the metal seal 650 digging into the seating face 624, or by a machining operation

during the manufacture of the fitting body 612. Alternatively, because the seating face 624 may be machined with high precision and therefore contain fewer and less serious imperfections than the tube end face 622, no groove 652 may exist or be needed. The seal 626 may also include a seal cage 654.

5 In this and the other embodiments employing a metal seal, the metal seal may be formed of any suitable material preferably having sufficient resiliency to accommodate the axial deflection imparted to the seal so as to maintain a metal-to-metal seal under a biasing force.

The seal cage 654 in some embodiments may act as a stop to prevent  
10 overloading of the metal seal 650.

Additionally or alternatively, the seal cage 654 in some embodiments may help align the metal seal 650 with any dimples 636 or notches 652 present.

Finally, the seal cage 654 in some embodiments may act to prevent the metal seal 650 from buckling by providing extra support with a cage projection  
15 656.

Turning now to Fig.7, shown is a detail drawing illustrating the area around a seal 726. The tube 720 is inserted into fitting body 712. The tube end face 722 and bore seating face 724 are sealed with the metal seal 750 (metal-to-metal) and with the elastomeric seal 758. The metal seal 750 may fit into the  
20 dimple 736" and the elastomeric seal 758 may fit into the dimple 736' in the tube end face 722. The metal seal 750 may also fit into a groove 752 in the bore seating face 724. Alternatively, because the seating face 724 may be machined with high precision and therefore contain fewer and less serious imperfections than the tube end face 722, no groove 752 may exist or be needed. The seal  
25 726 may also include a seal cage 754. The seal cage 754 may act as a stop to prevent overloading of the metal seal 750 or the elastomeric seal 758. Further, the seal cage 754 may help align the metal seal 750 and the elastomeric seal 758 with any dimples 736', 736" or notches 752 present.

Turning now to Fig.8, shown is a detail drawing illustrating the area  
30 around a seal 826. The tube 820 is inserted into fitting body 812. The tube end face 822 and bore seating face 824 are sealed with the metal seal 850 and with the plastic (or soft metal) seal 860. The metal seal 850 may fit into the dimple 836" and the plastic seal 860 may fit into the dimple 836' in the tube end face

822. The metal seal 850 may also fit into a groove 852 in the bore seating face 824. Alternatively, because the seating face 824 may be machined with high precision and therefore contain fewer and less serious imperfections than the tube end face 822, no groove 852 may exist or be needed. The plastic seal 860  
5 may help align the metal seal 850 with any dimples 836" or notches 852 present. Further, the plastic seal 860 may act to prevent the metal seal 850 from buckling by providing extra support with a projection 862.

Turning now to Fig.9, shown is a detail drawing illustrating the area around a seal 926. The tube 920 is inserted into fitting body 912. The tube end  
10 face 922 and bore seating face 924 are sealed with the elastomeric seal 958. The elastomeric seal 958 may fit into the dimple 936 in the tube end face 922. The seal 926 may also include a seal cage 954. The seal cage 954 may act as a stop to prevent overloading of the elastomeric seal 958. Further, the seal cage 954 may help align the elastomeric seal 958 with any dimples 936 present.  
15 Although not shown, the seal cage may also include spurs or other protrusions that may mate with complimentary dimples formed in the tube end face 922 in order to further improve the seal.

Turning now to Fig. 10, shown is another embodiment of a seal 1026. The seal 1026 is similar to that shown in Fig.9, and all common elements are  
20 labeled with a numeral incremented by 100. Seal 1026, unlike the seal 926 in Fig.9, seal 1026 may seal directly to the tube end face 1022 without any dimples formed therein. Again, although not shown, the seal cage may also include spurs or other protrusions that may mate with complimentary dimples formed in the tube end face 1022 in order to further improve the seal.

Turning now to Fig.11, shown is a detail drawing illustrating the area around a seal 1126. The tube 1120 is inserted into fitting body 1112. The tube  
25 end face 1122 and bore seating face 1124 are sealed with the plastic (or soft metal) seal 1160 without any dimples in the tube end face 1122. The plastic seal 1160 may be T-shaped, or may have another shape such as indicated by the shadow lines in the figure. Further, although not shown, the plastic seal 1160  
30 may also include spurs or other protrusions that may mate with complimentary dimples formed in the tube end face 1122 in order to further improve the seal.

Turning now to Fig.12, shown is a detail drawing illustrating the area

around a seal 1226. The tube 1220 is inserted into fitting body 1212. The tube end face 1222 and bore seating face 1224 are sealed with the plastic (or soft metal) seal 1260 without any dimples in the tube end face 1222. The plastic seal 1260 may be T-shaped, or may have another shape such as indicated by the shadow lines in the figure. Further, a seal cage 1254 may be included to help position the plastic seal 1260, or to provide a stop to prevent overloading the plastic seal 1260. Further, although not shown, the plastic seal 1260 and/or the seal cage 1254 may also include spurs or other protrusions that may mate with complimentary dimples formed in the tube end face 1122 in order to further improve the seal.

The seals described herein may take many forms and be made of many materials without departing from the scope of the present invention. For example, metal seals are annular and preferably have an arc-shaped (bowed) cross section with the arc disposed radially inwardly to counter pressure from the inside of the tube fitting. That is, a convex side of the seal faces radially inwardly and the seal may have a radially outer concave side facing radially outwardly. With fluid pushing on the convex side of the seal, the strength of the seal actually increases. In order to prevent buckling, the arc-shape may be reinforced by either another seal or a cage having a protrusion, or otherwise shaped to support the metal seal. Further, seals may be formed for ease of manufacturing, or to fit shaped tube ends or seating faces. The T-shaped seals shown in Figs. 11 and 12 may be especially advantageous in some cases because they may form a seal very close to the inside diameter of the tube and/or bore. Although elastomeric, metal, and plastic seals have been used for illustrative purposes, other resilient or soft materials may also be used.

Plastic seals may be made from, for example, PEEK, Nylon, or the like. Metal seals may be made from, for example, copper, zinc, or the like. Further, metal seals can be plated with a soft metal (e.g., silver, zinc, copper, or the like) or coated with a plastic (e.g., PTFE or the like) in order to improve sealing. Flexible metal seals may be preferred in some cases in order to maintain a seal during small tube movements caused by thermo-cycling, vibration, line loads, or the like. Plastics and soft metals may be especially preferable in some cases because they may deform easily to seal to fitting body and tube end face

imperfections. Further, plastics and soft metals are generally sufficiently rigid to maintain their position and not be pulled into the system flow.

Seal cages may be formed from a variety of materials, but are preferably rigid metals in order to prevent damage to seals caused by overloading. In many  
5 cases, the functions of a cage may be replicated by substituting a plastic seal.

One or more secondary seal members may be incorporated into a seal in order to provide a backup seal.

Although the invention has been shown and described with respect to a certain embodiment or embodiments, it is obvious that equivalent alterations and  
10 modifications will occur to others skilled in the art upon the reading and understanding of this specification and the annexed drawings. In particular regard to the various functions performed by the above described elements (components, assemblies, devices, compositions, etc.), the terms (including a  
15 reference to a "means") used to describe such elements are intended to correspond, unless otherwise indicated, to any element which performs the specified function of the described element (i.e., that is functionally equivalent), even though not structurally equivalent to the disclosed structure which performs the function in the herein illustrated exemplary embodiment or embodiments of the invention. In addition, while a particular feature of the invention may have  
20 been described above with respect to only one or more of several illustrated embodiments, such feature may be combined with one or more other features of the other embodiments, as may be desired and advantageous for any given or particular application.

### Claims

1. A tube fitting assembly for high pressure applications, comprising:  
a fitting body having an annular seating face;  
5 a tube having a tube axial end face juxtaposed to the seating face of the fitting body; and  
an annular seal including an annular sealing member interposed between and engaging the seating face and the tube axial end face for sealing the tube to the fitting body.  
10
2. The tube fitting of claim 1, wherein the tube axial end face has formed therein a forged annular dimple forming a sealing surface against which the annular sealing member seals.
- 15 3. The tube fitting of claim 1 or claim 2, wherein the seal further includes a seal cage for aligning the seal with respect to the dimple on the tube end face and/or as a stop for defining a minimum spacing between the tube axial end face and the seating face when abutted therebetween.
- 20 4. The tube fitting of any previous claim, further including a holding device for holding the tube in the fitting body with the seal axially compressed between the tube axial end face and the seating face.
5. The tube fitting of claim 3, wherein the holding device includes a nut for  
25 threadably engaging the fitting body.
6. The tube fitting of either one of claims 4 or 5, wherein the holding device includes a front ferrule configured to clamp the tube.
- 30 7. The tube fitting of 6, wherein the nut is threadably engageable with the fitting body and compresses the front ferrule between the nut and the fitting body.

8. The tube fitting of any one of claims 6 or 7, wherein the distance between the tube axial end face and the front ferrule is precisely controlled to provide appropriate force on the seal.
- 5 9. The tube fitting of any previous claim, wherein the sealing member is a metal seal.
10. The tube fitting of any one of claims 1-8, wherein the sealing member is a plastic or soft metal seal.
- 10 11. The tube fitting of any one of claims 1-8, wherein the sealing member is an elastomeric seal.
12. The tube fitting of claim 9, wherein the metal seal has an arc-shaped  
15 cross-section.
13. The tube fitting of claim 12, wherein the arc-shaped cross-section is arranged to oppose fluid pressure from inside the fitting.
- 20 14. The tube fitting of claim 10, wherein the plastic or soft metal seal has a T-shaped cross-section.
15. The tube fitting of any preceding claim, wherein the fitting body has a fluid passageway.
- 25 16. The tube fitting of claim 15, wherein the fluid passageway opens into a counterbore
17. The tube fitting of claim 16, wherein the counterbore is sized to receive  
30 the tube.
18. The tube fitting of claim 16 or 17, wherein the seating face is formed on the bottom of the counterbore.

19. The tube fitting of any one of claims 5-7, further including a back ferrule.
20. The tube fitting of claim 19, wherein the back ferrule is compressed  
5 between the nut and the front ferrule.
21. The tube fitting of any one of claims 4, 5, or 9-18, wherein the holding device comprises a cone and thread fitting.
- 10 22. The tube fitting of any one of claims 4, 5, or 9-18, wherein the holding device comprises an inverted ferrule arrangement.
23. The tube fitting of any one of claims 4, 5, or 9-18, wherein the holding device comprises a locking back ferrule arrangement.  
15
24. A method of sealing a tube to a tube fitting body for high pressure applications, comprising:  
dimpling an axial end face of a tube to provide a sealing surface that is smoother than the axial end face prior to the dimpling;  
20 assembling an annular seal between a seating surface on the fitting body and the sealing surface formed on the axial end face of the tube.
25. The method of claim 24, further comprising:  
clamping a front ferrule onto the tube by tightening a nut threadably  
25 engaged with the fitting body to compress the front ferrule between the nut and the fitting body.
26. The method of any one of claims 24-25, further comprising:  
aligning the seal with respect to the sealing surface on the axial end face  
30 with a seal cage.
27. The method of any one of claims 24-26, further comprising:  
defining a minimum spacing between the axial end face and the seating

face with a seal cage.

28. The method of any one of claims 24-27, further comprising:  
compressing an annular seal between the seating surface on the fitting  
5 body and the sealing surface formed on the axial end face of the tube by  
tightening the nut.
29. A tube end preparation tool for use in forming a dimpled surface in an  
axial end face of a tube to be assembled in sealed relationship to a tube fitting  
10 body, comprising:  
a tube holder for holding a tube therein;  
a die having an annular dimple forming protrusion; and  
an actuator for forceably urging the die into an axial end face of the tube  
held in the tube holder with the annular dimple forming protrusion forming an  
15 annular dimple in the axial end face of the tube so as to provide a sealing  
surface smoother than the axial end face prior to dimpling.
30. The tube end preparation tool of claim 29, wherein the actuator is  
hydraulically powered.  
20
31. The tube end preparation tool of any one of claims 29-30, wherein the die  
further comprises frustoconical sidewalls for setting a front ferrule on the tube.
32. The tube end preparation tool of claim 31, wherein the distance between  
25 the axial end face of the tube and a contact point on the front ferrule is precisely  
controlled.
33. The tube end preparation tool of any one of claims 29-32, wherein the die  
is removable.  
30
34. An annular seal for high pressure sealing between an axial end face of a  
tube and a seating face of a fitting body, comprising:

an annular sealing member for sealing between the axial end face of the tube and the seating face of the fitting body; and  
an annular cage for holding the sealing member.

- 5 35. The annular seal of claim 34, wherein the cage is configured to align the seal with respect to the axial end face of the tube and/or is sized to define a minimum spacing between the tube axial end face and the seating face when abutted therebetween.
- 10 36. The annular seal of claim 34, further comprising a seal cage having a protrusion to support the sealing member.
37. The annular seal of any one of claims 34-36, wherein the sealing member is an elastomeric seal.
- 15 38. The annular seal of any one of claims 34-36, wherein the sealing member is a plastic or soft metal seal.
39. The annular seal of any one of claims 34-36, wherein the sealing member  
20 is a metal seal.
40. The annular seal of claim 39, wherein the metal seal has an arc-shaped cross-section.
- 25 41. The annular seal of claim 40, wherein the arc-shaped cross-section is arranged to oppose fluid pressure radially inward of the seal.
42. The annular seal of any one of claims 34-39, wherein the sealing member has a T-shaped cross-section.
- 30 43. The annular seal of any one of claims 39-42, wherein the metal seal is plated with a soft metal.

44. The annular seal of any one of claims 39-42, wherein the metal seal is coated with a plastic.

45. The annular seal of any of claims 34-44, wherein the sealing member is  
5 resilient.

46. A tube fitting assembly for high pressure applications, comprising:  
a fitting body having an annular seating face and a fluid passageway  
opening into a counterbore;

10 a tube having a tube axial end face juxtaposed to the seating face of the fitting body; and

an annular seal including an annular sealing member interposed between and engaging the seating face and the tube axial end face for sealing the tube to the fitting body,

15 wherein the counterbore is sized to receive the tube and the seating face is formed on the bottom of the counterbore.

47. The tube fitting of claim 46, wherein the sealing member is a plastic or soft metal seal.

20

48. The tube fitting of either of claims 46 or 47, wherein the sealing member is T-shaped.



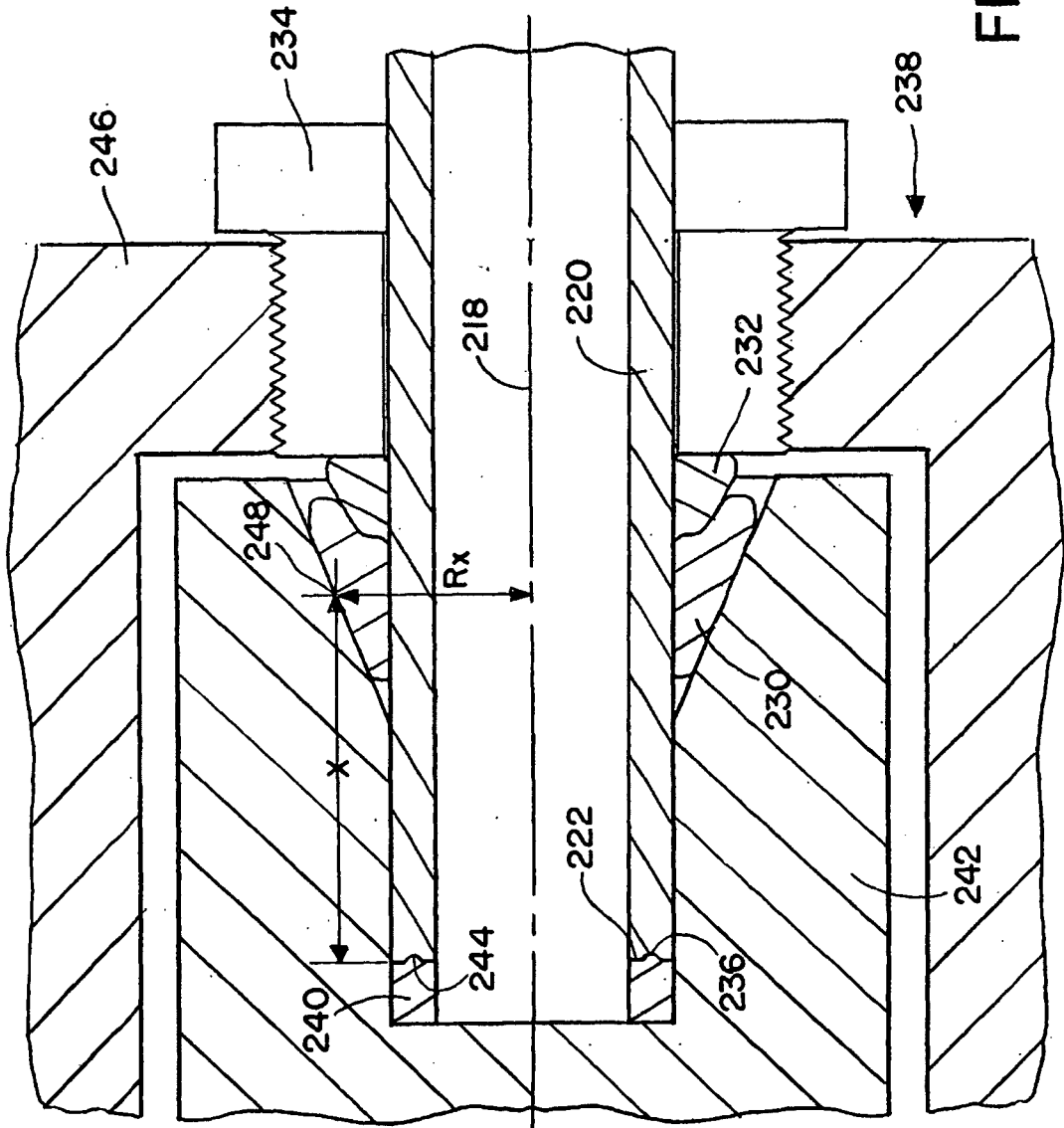


FIG. 2

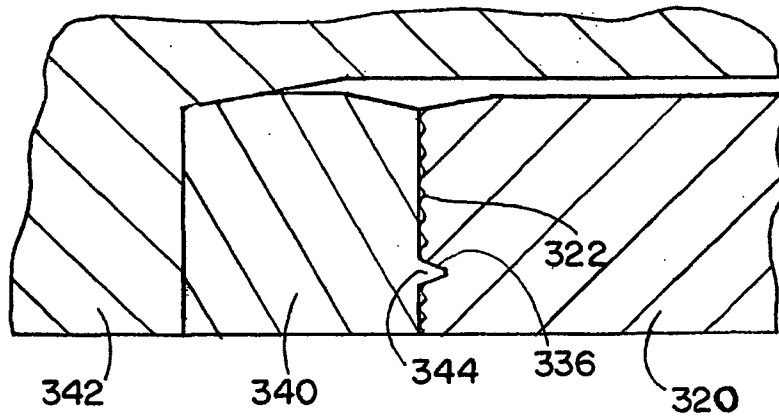


FIG. 3

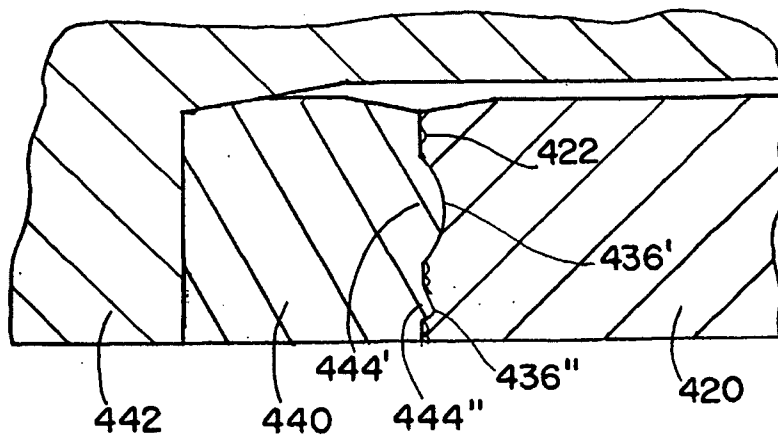


FIG. 4

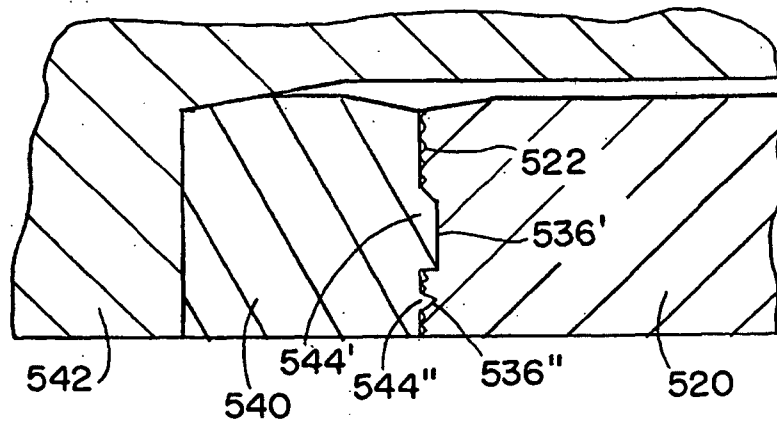


FIG. 5

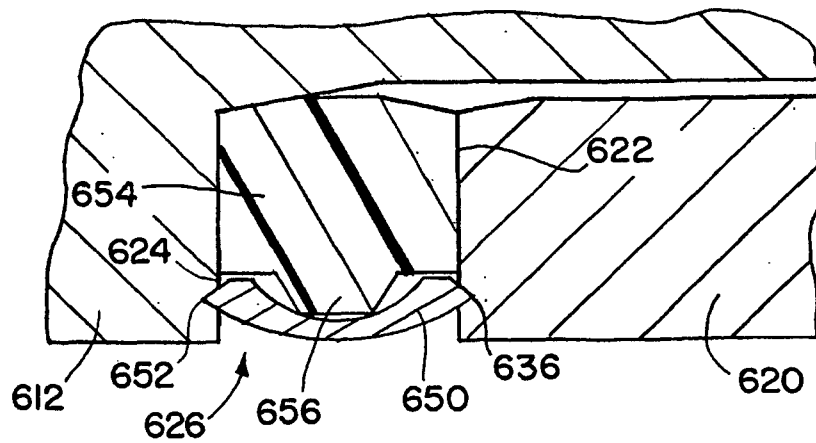


FIG. 6

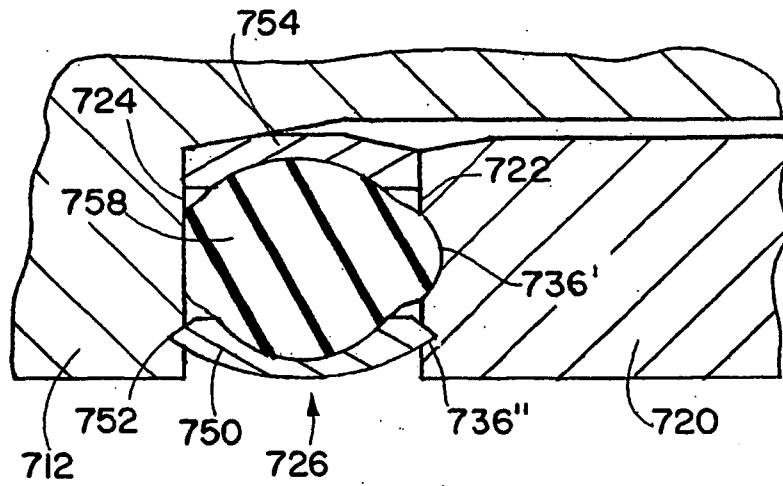


FIG. 7

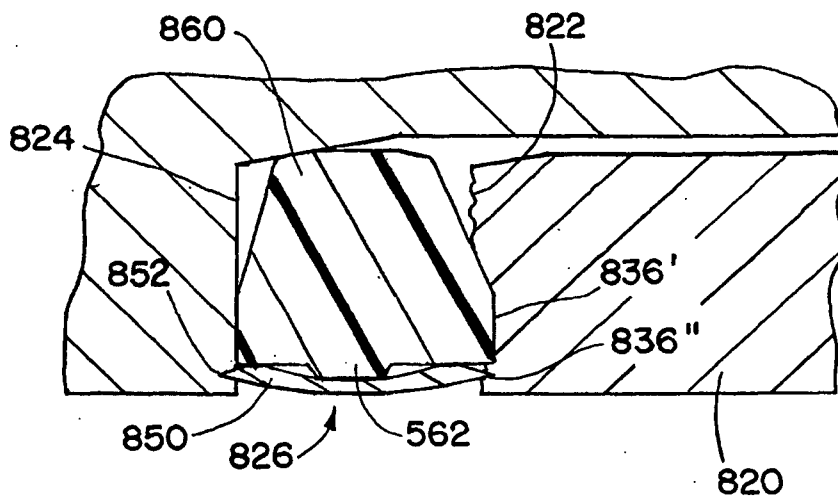


FIG. 8

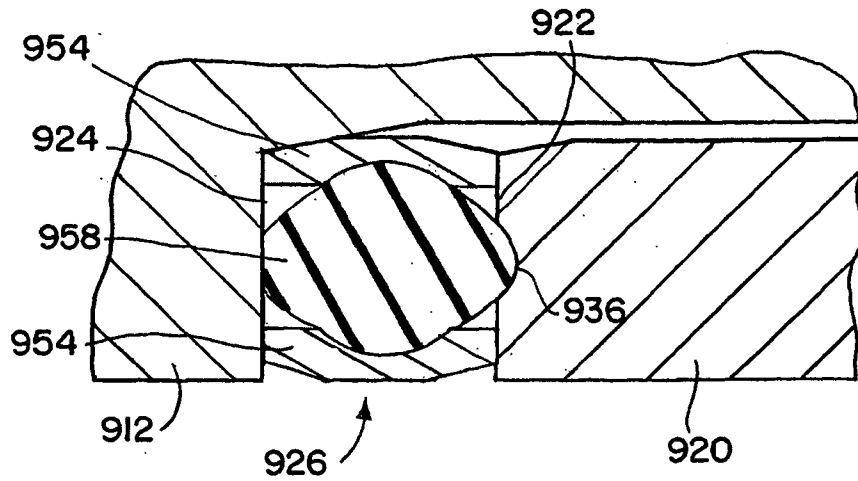


FIG. 9

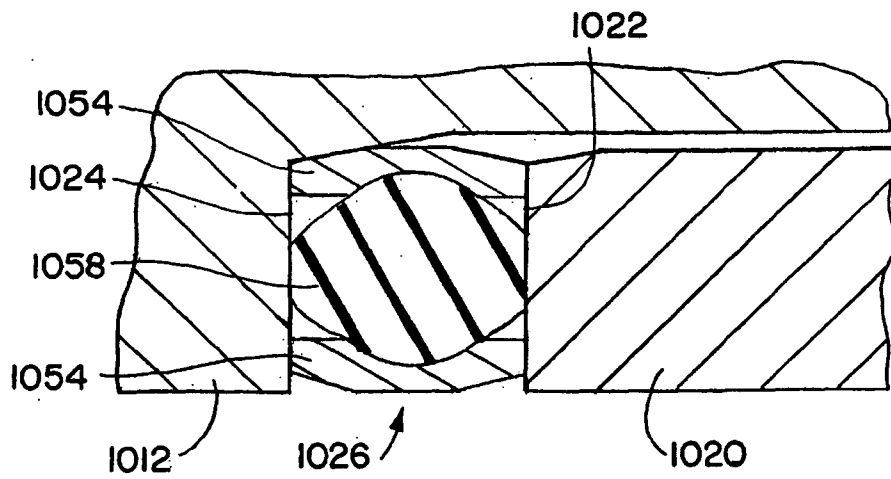


FIG. 10

