## (12) UK Patent Application (19) GB (11) 2 422 796 (13) A

(43) Date of A Publication

09.08.2006

0602570.4 (21) Application No:

(22) Date of Filing: 08.02.2006

(30) Priority Data:

(31) 0502556

(32) 08.02.2005

(33) GB

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(continued on next page)

(51) INT CL:

G01N 35/00 (2006.01) G01N 15/14 (2006.01)

B01L 3/00 (2006.01) G01N 35/10 (2006.01)

UK CL (Edition X ):

B1X XM

(56) Documents Cited:

FP 1403644 A1 US 20050220675 A1

WO 2005/114223 A1

(58) Field of Search:

INT CL B01F, B01L, B81B, F15C, G01N

Other: Online: WPI & EPODOC

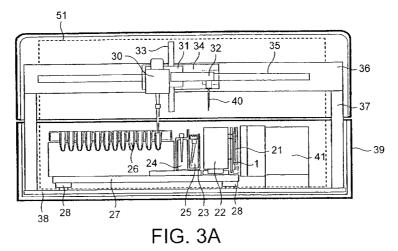
## (54) Abstract Title: Analysis instrument

(57) The invention relates to an analysis instrument for processing a microfluidic device 1, comprising sample storage means 26, a microfluidic device holder 21, sample loading means 30 for loading sample into a microfluidic device disposed in the holder, processing means for enabling a reaction in a microfluidic device 22, and detection means for detecting and/or measuring the reaction 41, characterised in that the microfluidic device holder is adapted to hold the microfluidic device comprising or including a tape in position for processing and/or detection.

A second invention disclosed relates to a microfluidic processing device, comprising a reaction chamber, a sample loading chamber into which a sample is injectable, the reaction chamber being operatively connected to the sample loading chamber, a cover that extends across at least part of the sample loading chamber, the cover and the reaction chamber comprising pierceable material and being separated by an overspill cavity configured to accept any overspill of an injected sample.

Finally, the invention concerns a kit comprising an analysis instrument and a microfluidic processing device as described above.

The device holder 21, a electrical probe block assembly 22, pipette tip holder 23 and micro-titer sample storage plate 26 are mounted on a moveable platform 27. Above the moveable platform 27 is a fixed gantry beam 36 which carries a pump 30, to inject a sample into the microfluidic device, and a piercing tool to break the pierceable material of the device. The detection means 41 may comprise a CCD camera assembly and processing can comprise fractionation, isolation, purification, PCR, biomolecular seperation, molecular binding and isolation or retrieval of reaction and products.



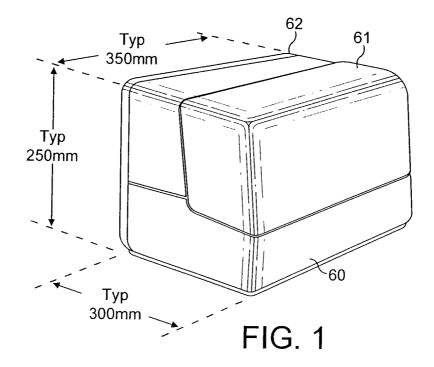
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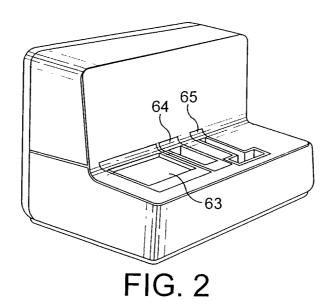
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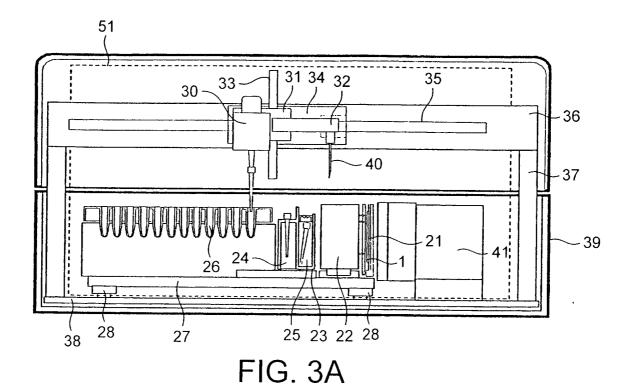


FIG. 3B

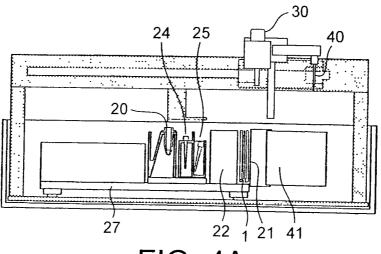
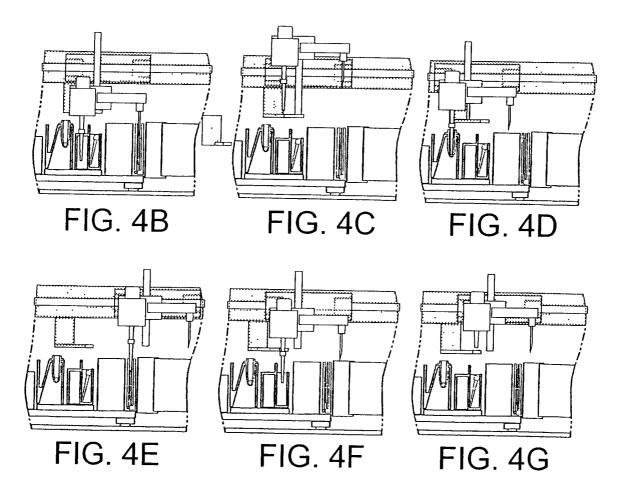


FIG. 4A



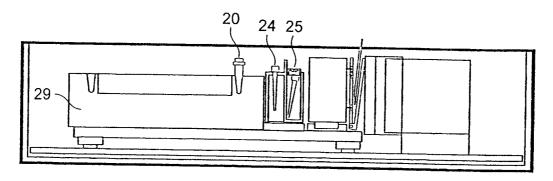


FIG. 5A

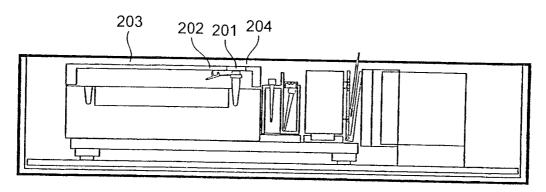


FIG. 5B

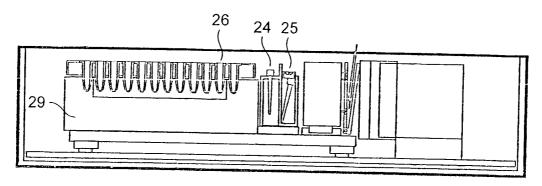
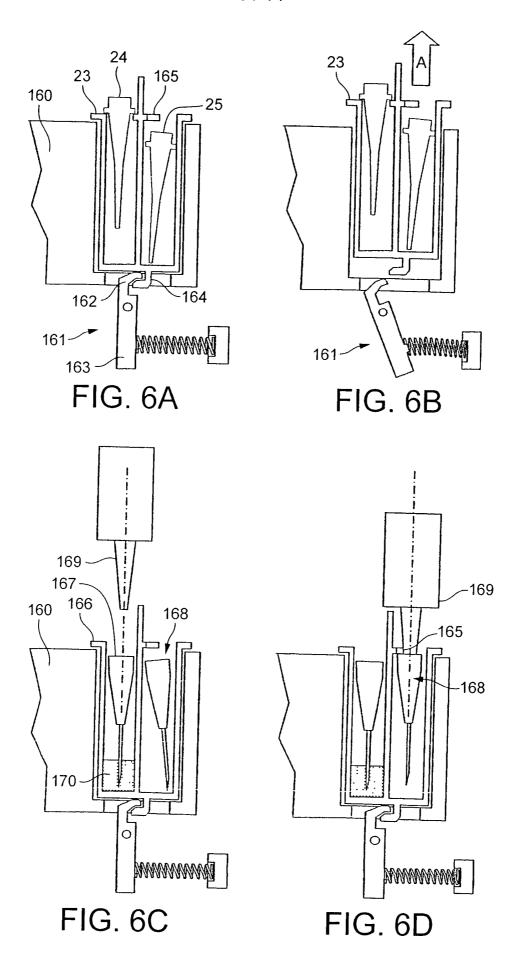
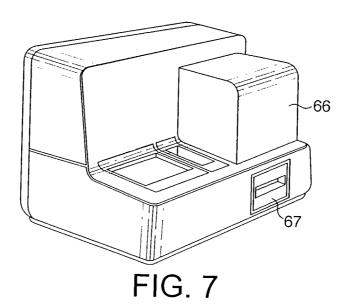
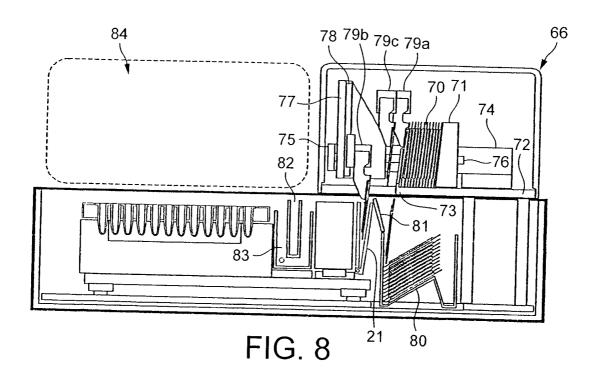
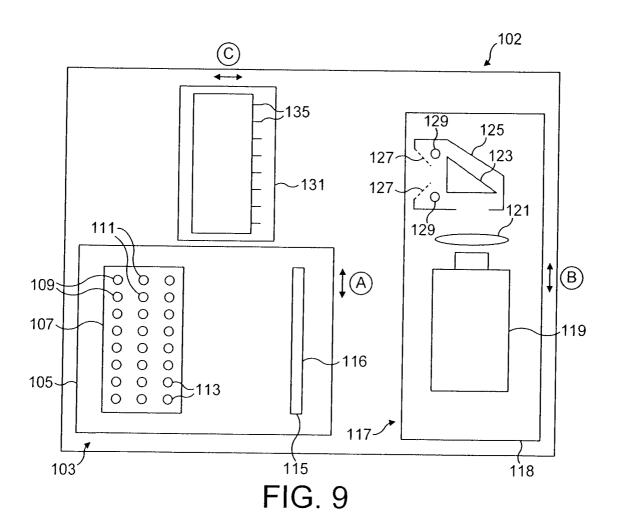


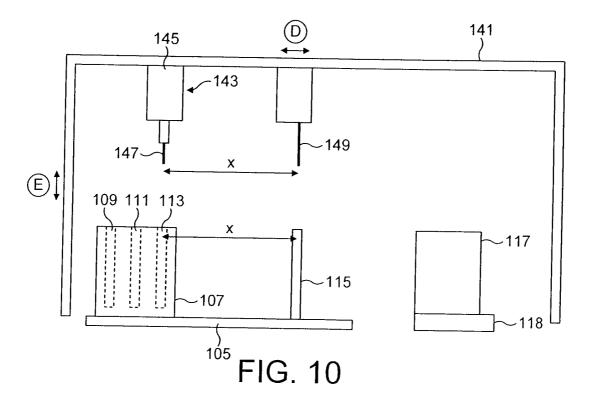
FIG. 5C











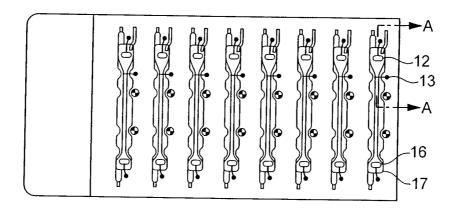


FIG. 11

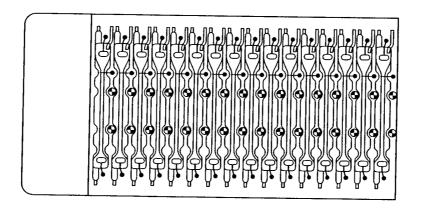


FIG. 12

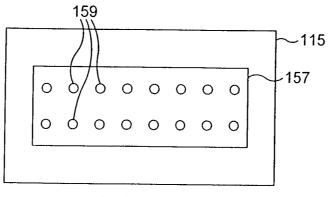
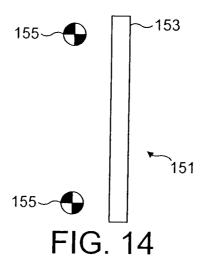


FIG. 13



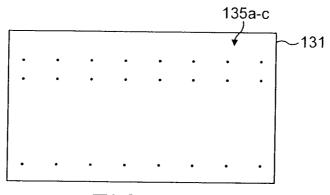


FIG. 15

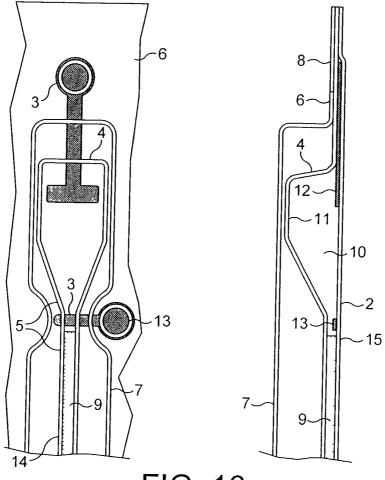
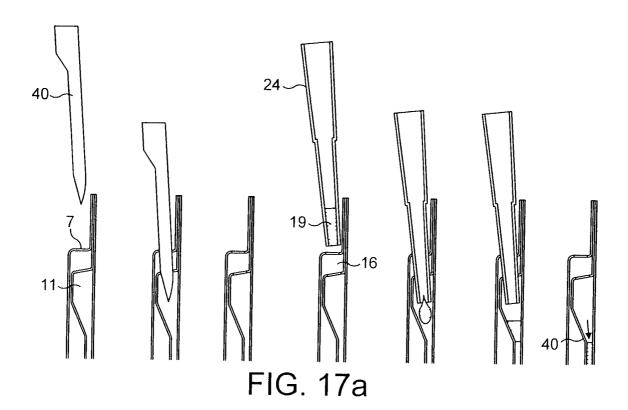
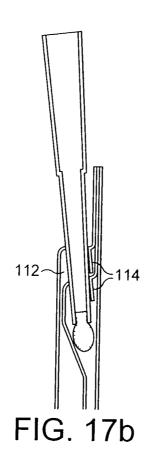


FIG. 16





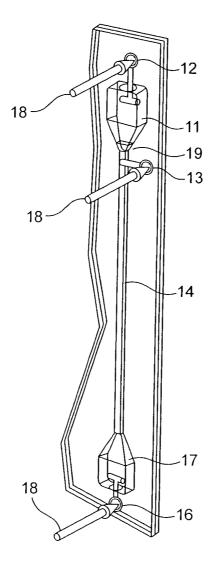
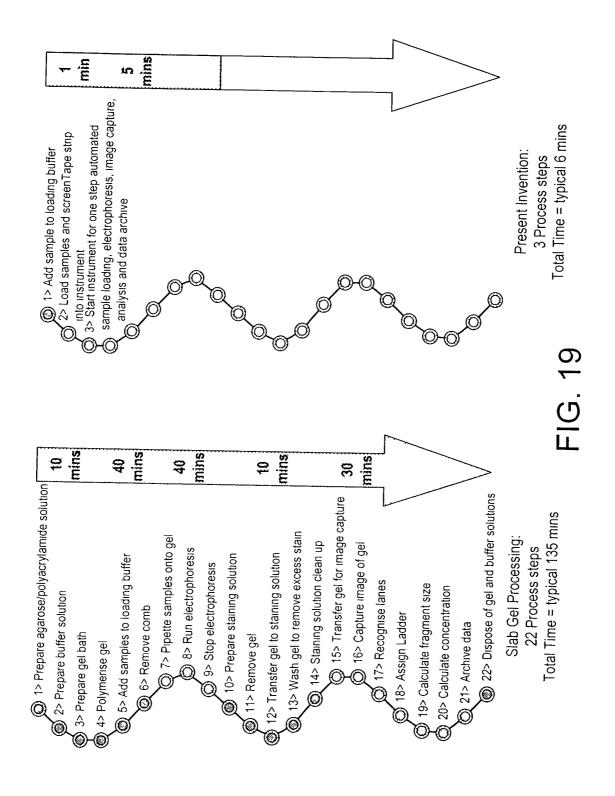


FIG. 18



## 1 Analysis Instrument 2 3 The present invention relates to an analysis instrument 4 for use in the determination of properties of biological 5 and/or biochemical samples using a variety of techniques including immunoassay, cell based assay and PCR. In 6 7 particular, the invention relates to the analysis of 8 samples containing RNA, DNA or proteins using an 9 electrophoresis process. 10 11 There are a large number of analysis instruments and 12 equipment available. Analysis of biological samples 13 continues to be extensively done on a macro scale and 14 frequently requires a large number of process steps. 15 16 One of the most extensively used analysis techniques 17 within the life sciences laboratory is gel bath based 18 electrophoresis. This process enables separation of a 19 complex mixture of charged molecules, such as nucleic 20 acids or proteins, according to their electro-phoretic 21 mobility. Following this method, the relative molecular 22 weight and amounts of the constituent molecules can be 23 determined. However, this well established technique is 24 time consuming, labour intensive and requires significant amounts of bench space. Sample preparation, sample 25 26 analysis and sample clean-up all involve wet chemistry in which some of the reagents used (e.g. ethidium bromide) 27 are toxic, and require specialised handling and disposal 28 29 methods.

30

31 A common operating configuration for the electrophoresis

32 and analysis of DNA fragments using a slab gel includes:

- 1 an ultra pure demineralised water supply;
- 2 bulk supply bottles of buffer reagent;
- 3 chemical stains or dyes;
- 4 gel powder;
- 5 laboratory glassware for gel preparation;
- 6 a heating and stirring device for gel preparation (mix
- 7 powder with buffer);
- 8 a gel tank and all its accessory parts;
- 9 an electrical power supply unit;
- 10 a sample loading pipette;
- 11 a light box on to which the processed gel is transferred
- 12 such that the fluorochromes in the gel can be activated;
- 13 and
- 14 a gel camera, the most basic arrangement of which would
- 15 be an instant camera attached to a metal hood which can
- 16 be fitted in a light tight arrangement to the light box.

- 18 Available improvements to this traditional process are:
- 19 pre-cast gels that "drop-in" to a standard gel tank, such
- 20 as those provided by the Novex® brand of Invitrogen
- 21 Corporation, or the ReadyAgarose® brand of Bio-Rad
- 22 Laboratories. However, these gels still require "wet
- 23 chemistry" handling procedures and remain time and labour
- 24 intensive.

- 26 Other improvements include:
- 27 rigid gel plates into which the user can cast a gel
- 28 matrix;
- 29 gel tanks that can simultaneously process multiple pre-
- 30 cast or home made gels but which require much handling
- 31 and wet chemistry preparation;

- 1 pre-cast gels that do not require a gel tank or buffers
- 2 such as the "E-gel" system provided by Invitrogen
- 3 Corporation (ref US Patents 5,582,702 and 5,865,924).

- 5 The E- $gel^{\text{IM}}$ " system still incurs the inconvenience and
- 6 handling overheads of manual sample loading and the use
- 7 of a separate image capture station for analysis

8

- 9 One of the commonest nucleic acid stainers employed
- 10 during electrophoretic separation and imaging is ethidium
- 11 bromide. This stainer has the disadvantage of requiring
- 12 an Ultra-Violet (UV) light source to trigger the
- 13 fluorescence upon which electrophoretic imaging relies. A
- 14 requirement of UV imaging systems is to protect the user
- 15 from UV radiation using either fully enclosed shielded
- 16 light boxes or using goggles within a dark room.

17

- 18 An arrangement in common use is to use one of a number of
- 19 commercially available gel imaging systems. A gel is
- 20 processed in the traditional manner in a gel bath, but it
- 21 is then manually transferred from the gel bath to the top
- 22 surface of a separate light box contained within a light
- 23 tight enclosure that contains a digital camera connected
- 24 externally by cable to a viewer or an image printing
- 25 device. Examples of available systems are manufactured by
- 26 UVP Incorporated (brand name GelDoc-It), Bio-Rad
- 27 Laboratories (brand name Gel Doc) or Synoptics Limited
- 28 (brand name Syngene).

- 30 A similar solution is to use a walk-in dark room which
- 31 hosts a UV light box and a camera. However, systems
- 32 including these imaging techniques, still require
- 33 significant levels of reagent preparation, careful manual

4 sample loading and the set up and use of multiple pieces 1 2 of apparatus. 3 Examples of systems which automate the traditional slab 4 gel process are Helena Bio-Sciences, US patents 4,954,237 5 and 5,147,522. These systems are relatively bulky and 6 their automation process still involves the preparation, 7 processing and automated handling of a traditional wet 8 9 chemistry slab gel. 10 Fully automated electrophoresis devices that use 11 capillary electrophoresis (as distinct from slab gel 12 electrophoresis) address some of the issues involved in 13 gel bath electrophoresis. However, these types of 14 apparatus are large and expensive and require specially 15 16 trained operators. They are normally used to carry out high resolution separation (down to a single base pair) 17 of nucleic acids or high throughput single nucleotide 18 19 polymorphism (SNP) analysis where automation is essential. An example of this type of system is the 20 21 Applied Biosystems Inc Prism 3100 Genetic Analyser. The cost and complexity of these systems usually 22 23 prohibits their use in small laboratories. Microfluidic devices are beginning to be used in 25

24

molecular biology. The Agilent Bio-analyser 2100 is a 26

bench top device using the Caliper "Labchip®". 27

system exploits microfluidic techniques to achieve rapid 28

separation. The system is however not fully automated 29

and samples are processed in a serial (as opposed to 30

31 parallel) fashion.

- 1 The challenges for systems that seek to replace slab gel
- 2 electrophoresis and aim to achieve significant reductions
- 3 in separation time are:
- 4 to eliminate the need for reagent preparation (gel,
- 5 buffer, electrolyte) other than those associated with
- 6 test sample preparation.

- 8 In addition, a number of challenges exist in the general
- 9 field of analysing biological and or biochemical samples
- 10 such as:
- 11 to allow the user to load samples in a range of different
- 12 standard laboratory vessel types;
- 13 to employ a micro-scale separation device to speed up
- 14 molecule separation without the risk of joule heating;
- 15 to achieve highly parallel testing leading to improved
- 16 sample throughput;
- 17 to reduce the quantities (therefore the cost) of reagents
- 18 and test samples used;
- 19 to automate the process such that process steps are
- 20 integrated and user intervention is minimised;
- 21 to achieve these improvements using a very small
- 22 footprint;
- 23 to achieve all of the above in a manner which is cost
- 24 competitive with traditional slab gel processing.

25

- 26 It is the object of the present invention to provide an
- 27 analysis instrument in which the above challenges are
- 28 addressed and whereby samples are analysed in a quick,
- 29 clean and efficient manner.

- 31 In accordance with a first aspect of the present
- 32 invention, there is provided an analysis instrument for
- 33 processing a microfluidic device, comprising sample

- 1 storage means, a microfluidic device holder, sample
- 2 loading means for loading sample into a microfluidic
- 3 device disposed in the holder, processing means for
- 4 enabling a reaction in a microfluidic device, and
- 5 detection means for detecting and/or measuring the
- 6 reaction, characterised in that the microfluidic device
- 7 holder is adapted to hold the microfluidic device
- 8 comprising or including a tape in position for processing
- 9 and/or detection.

- 11 The reaction carried out in the microfluidic device may
- 12 be electro-chemical and/or bio-chemical.

13

- 14 Preferably, the sample loading means is moveable relative
- 15 to the sample storage means and relative to the
- 16 microfluidic device holder.

17

- 18 The instrument may further comprise opening means for
- 19 opening a microfluidic device.

20

- 21 Preferably, the sample loading means and the microfluidic
- 22 device opening means are disposed a fixed distance apart
- 23 on a moveable common support and spaced such that the
- 24 sample loading means can acquire sample from the sample
- 25 storage means whilst at the same time the microfluidic
- 26 device opening means opens the microfluidic device.

27

- 28 The sample loading means may comprise a nozzle, the
- 29 nozzle being adapted to removably mount a pipette tip,
- 30 the nozzle further being operably attached to a pump for
- 31 pumping liquid into a mounted pipette tip.

- 1 Alternatively, the sample loading means comprises a pump,
- 2 which can aspirate liquid from the sample storage means
- 3 and dispense liquid into the microfluidic device.
- 4 Preferably, the pump has a pump nozzle, the pump nozzle
- 5 being attachable to a pipette tip.

- 7 Preferably the pump and the microfluidic device opening
- 8 means are mounted to a common support structure and they
- 9 are spaced a fixed distance apart such that the pump can
- 10 acquire a new sample at the same time as the microfluidic
- 11 device opening means prepares the microfluidic device for
- 12 receiving that new sample.

13

- 14 Optionally the pump and the microfluidic device opening
- 15 means are mounted to a common support structure and they
- 16 are spaced a fixed distance apart such that the pump can
- 17 pick up a pipette tip at the same time as the
- 18 microfluidic device opening means prepares the
- 19 microfluidic device for receiving that new sample.

20

- 21 The instrument may further include means for removal of a
- 22 used pipette tip from the nozzle. The removal means may
- 23 comprise a flange, the pipette tip being removed by
- 24 relative movement between the mounted pipette tip and the
- 25 flange. Preferably, the instrument includes a receptacle
- 26 for receiving a spent pipette tip.

- 28 Preferably, the instrument includes a fresh pipette tip
- 29 store adapted to store pipette tips such that the nozzle
- 30 can be brought into contact with a pipette tip for
- 31 attachment to the nozzle. In this embodiment, the
- 32 receptacle and the store are preferably parts of a single
- 33 demountable unit.

1 2 Preferably, the microfluidic device opening means 3 comprises a piercing tool for penetrating a membrane of the microfluidic device. The piercing tool may be 4 removably mounted on the moveable common support, and 5 6 said piercing tool may comprise a needle. 7 8 Preferably, the needle has a shaped point that can cut an opening in the microfluidic device in the form of a flap 10 that remains joined to the device. 11 12 The instrument may include means for removal of a used needle from the moveable common support. 13 14 15 Preferably, the removal means comprises a flange, the 16 used needle being removed by relative movement between the needle and the flange. The instrument preferably 17 18 includes a receptacle for receiving a used needle. 19 20 Preferably, the analysis instrument comprises an 21 automatic needle changeover means, in the event that the 22 needle becomes blunt through usage. 23 Preferably the needle comprises a means of automatic 24 25 attachment to the automatic needle changeover means. 26 27 This enables rapid attachment and removal without the use 28 of any tools and without the need for user intervention. 29 Preferably, the automatic needle changeover means 30

comprises a cartridge containing a receptacle to receive

the used needle and a receptacle containing a new needle.

32 33

- 1 Preferably, the cartridge is automatically loadable into
- 2 the automatic needle changeover means in the instrument.
- 3 This eliminates a hazard as a user prevented from
- 4 handling both the old and the new needles.

- 6 Preferably the cartridge can be automatically drawn into
- 7 the analysis instrument under the control of machine
- 8 software.

9

- 10 Preferably a needle attachment means, the needle
- 11 cartridge and the motion system of the analysis
- 12 instrument can cooperate to achieve automated needle
- 13 changeover.

14

- 15 Preferably, the instrument is adapted to maintain a count
- 16 of needle usage to alert a user to a requirement for
- 17 needle changeover.

18

- 19 Preferably this process is aided by the instrument
- 20 control software which will maintain a count of needle
- 21 usage (number of piercings) such that the external
- 22 personal computer can alert the user to a requirement for
- 23 needle changeover. Accordingly, the potential
- 24 disadvantage of the needle becoming blunt is overcome.

25

- 26 The instrument may include a fresh needle store adapted
- 27 to store needles such that the common support can be
- 28 brought into contact with a needle for attachment
- 29 thereto. Preferably, the receptacle and the store are
- 30 parts of a single demountable unit.

- 32 Preferably, the sample storage means comprises a sample
- 33 holder, which can accommodate one or more standard

1 laboratory vials or a standard laboratory multi-well 2 plate. 3 The instrument may be operable to process a single sample 4 5 using one single element of a microfluidic device. 6 7 Alternatively, the instrument may be operable to process 8 a single sample using one single element of a microfluidic device by comprising a single sample loading 9 means only, the single sample loading means being enabled 10 to load sample one sample at a time from a plurality of 11 12 sample holders, and deliver each said sample to a 13 separate element of a microfluidic device. 14 15 Preferably, the instrument is operable to permit a batch of multiple samples to be processed up to the limit of 16 17 the test element capacity of a single microfluidic 18 device. 19 20 Alternatively, the instrument is operable to permit a 21 batch of multiple samples to be processed up to the limit 22 of the capacity of a microfluidic device feeder module. 23 24 Thus, the system has the flexibility to cope with a range 25 of samples from one to many. 26 Preferably, the sample storage means includes a pipette 27 28 tip holder, which may be a used pipette tip holder.

29

- 30 Preferably the pump includes means for removably
- 31 attaching a pipette tip to the pump.

- 1 The pump must be configured to pick up a pipette tip for
- 2 one time use in the handling of a sample. In addition,
- 3 the pump can dispose of a used pipette tip once the
- 4 sample is loaded into the microfluidic device.

- 6 Preferably, the used pipette tip holder is provided with
- 7 removal means for removing the pipette tip from the pump.

8

- 9 Preferably, the removal means is provided with an opening
- 10 shaped to catch a used pipette tip so that it is retained
- 11 in the used pipette tip holder when the pump is
- 12 retracted.

13

- 14 Preferably, the sample loading means is moveable to
- 15 ensure the used pipette tip is caught upon the opening of
- 16 the used pipette holder.

17

- 18 Optionally, the sample storage means is mounted on a
- 19 platform, moveable relative to the sample detection
- 20 means.

21

- 22 Preferably, the sample detection means and the
- 23 microfluidic device holder are moveable relative to one
- 24 another to allow samples within the microfluidic device
- 25 to be positioned at a predetermined location for
- 26 detection.

27

- 28 Preferably, the microfluidic device holder is adapted to
- 29 accommodate a microfluidic device having a plurality of
- 30 microfluidic processing elements such that each said
- 31 element can be individually detected by the detection
- 32 means.

- 1 Preferably, the microfluidic device holder is mounted on
- 2 the same platform as the sample storage means.

- 4 Preferably, the microfluidic device holder has one or
- 5 more aperture to allow the reaction in the sample to be
- 6 monitored.

7

- 8 Optionally, the sample processing equipment holder is
- 9 provided with a reflective surface adjacent to the
- 10 position in which microfluidic processing apparatus is
- 11 mountable.

12

- 13 Preferably, the processing means is adapted to facilitate
- 14 bio-molecular separation.

15

- 16 Preferably, the sample processing means comprises probes
- 17 for applying voltages to a sample, the probes being
- 18 configured in an array to correspond with an equivalent
- 19 array of conductive pads on the microfluidic device.

20

- 21 Preferably, the electrical polarity of the probes is
- 22 controllable.

23

- 24 Optionally, the processing means can comprise any
- 25 combination of
- 26 sample preparation including fractionation, isolation or
- 27 purification
- 28 polymerase chain reaction
- 29 bio-molecular separation
- 30 molecular binding by affinity
- 31 isolation of any reaction end products
- 32 retrieval of any reaction end products.

1 Optionally, the microfluidic device within the 2 microfluidic device holder can be indexed past a fixed 3 detection point so that one or more test elements can be 4 monitored for the results of any reaction process. 5 Test 6 elements may be monitored simultaneously. 7 8 Preferably, the sample loading means is mounted on a frame above the sample storage means for movement to and 9 from the sample storage means and in a direction 10 substantially perpendicular to the movement direction of 11 12 the sample storage means. 13 Optionally, the sample processing means comprises a 14 plurality of probes for applying voltage to a sample in a 15 microfluidic device mounted in the holder. The probes may 16 17 be disposed to contact conductive pads of the microfluidic device. The instrument may be adapted to 18 19 enable electro-phoretic separation of a sample 20 containing, molecules of DNA or RNA or proteins. 21 Optionally, the instrument may be adapted to enable 22 23 electro-kinetic transport of a biological sample past a 24 zone within the microfluidic device that contains one or more antibodies, such that binding between the sample and 25 26 any antibody material can be enabled. 27 Preferably, the detection means is adapted to detect 28 change in conductivity in a sample. 29 30 Optionally, the detection means can be electro-chemical, 31 whose function is enabled by electrical probes in contact 32

with the microfluidic device such that any change in

- 1 conductivity from a sample reaction process can be
- 2 detected.

- 4 Preferably, the sample detection means comprises an
- 5 optical assembly.

6

- 7 Preferably, the optical assembly includes a light source
- 8 for exciting a sample in a microfluidic device holder and
- 9 a receiver arranged to receive a signal from said
- 10 microfluidic device holder, the receiver being arranged
- 11 in an optical path relative to the microfluidic device
- 12 holder.

13

- 14 Preferably, the optical assembly includes a light source
- 15 capable of emitting at a predetermined first frequency
- 16 for excitation of constituents of the sample to allow the
- 17 sample to emit light at a second frequency, and a light
- 18 receiver. The receiver may comprise a charged coupled
- 19 device, or a line scan camera. The receiver may be
- 20 configured to send image data to an external data
- 21 processing device.

22

- 23 Preferably, the receiver comprises a charged coupled
- 24 device.

25

26 Alternatively, the receiver is a line scan camera.

27

- 28 Preferably, the light source and receiver are on the same
- 29 side of the Microfluidic device holder.

30

- 31 Optionally, the light source and receiver are on opposing
- 32 sides of the microfluidic device holder.

- 1 Optionally, the light source projects directly into the
- 2 light path of the optical assembly.

- 4 Optionally, the light source emits in the ultra-violet
- 5 range of the electromagnetic spectrum.

6

- 7 Preferably, the receiver is capable of detecting light in
- 8 the visible range of the electromagnetic spectrum.

9

- 10 Preferably, the receiver can be configured to send image
- 11 data to an external data processing device.

12

- 13 Preferably, the data processing device is a Personal
- 14 Computer.

15

- 16 Optionally, the data processing device, which may be a
- 17 Personal Computer, can be embodied within the analysis
- 18 instrument.

19

- 20 Preferably, the system control of the analysis instrument
- 21 is hosted on that same personal computer.

22

- 23 The instrument may include an on-board system controller,
- 24 the controller being programmable by a user to perform
- 25 automated microfluidic device processing, or, as an
- 26 alternative, the instrument may be adapted to be
- 27 controlled by an external system controller.

28

- 29 Preferably, the analysis instrument is configured to
- 30 operate from low voltage electrical supplies and that an
- 31 external dc power supply, such as is used by a laptop
- 32 computer, can be its primary source of electrical supply.

- 1 The system can be modularly extended to incorporate
- 2 automated handling of multiple microfluidic devices, for
- 3 example, to allow continuous processing of a micro-titre
- 4 plate and/or automated handling of pipette tips and/or
- 5 automated handling and storage of used microfluidic
- 6 devices.

- 8 Preferably, the automated handling is provided by a
- 9 feeder module removeably attachable to the analysis
- 10 instrument and that this module can store multiple
- 11 microfluidic devices that can be automatically loaded
- 12 into or unloaded from the microfluidic device holder.

13

- 14 One benefit of the invention described herein over the
- 15 current state of the art is that it integrates a novel
- 16 microfluidic device with a novel analysis instrument
- 17 possessing an adaptable handling configuration. The
- 18 resulting system is very easy to use and can achieve high
- 19 test throughput within an extremely small footprint.

20

- 21 The sample loading mechanism can use a consumable
- 22 laboratory pipette tip which eliminates the risk of
- 23 contamination from previously processed samples, includes
- 24 a means of storing and disposing of tips, and optionally
- 25 allows the sample loading pipette to be washed at a wash
- 26 station within the apparatus.

27

- 28 The instrument may include a feeder module removeably
- 29 attachable to the instrument and storing multiple
- 30 microfluidic devices for automatic loading into or
- 31 unloading from the microfluidic device holder.

- 1 The sample loading mechanism can use a consumable
- 2 laboratory pipette tip which eliminates the risk of
- 3 contamination from previously processed samples, includes
- 4 a means of storing and disposing of tips, and optionally
- 5 allows the sample loading pipette to be washed at a wash
- 6 station within the apparatus.

- 8 In accordance with a second aspect of the invention there
- 9 is provided a microfluidic processing device, comprising
- 10 a reaction chamber, a sample loading chamber into which a
- 11 sample is injectable, the reaction chamber being
- 12 operatively connected to the sample loading chamber, a
- 13 cover that extends across at least part of the sample
- 14 loading chamber, the cover and the reaction chamber
- 15 comprising pierceable material and being separated by an
- 16 overspill cavity configured to accept any overspill of an
- 17 injected sample.

18

- 19 Preferably, the reaction chamber contains a molecular
- 20 separation medium.

21

- 22 The reaction chamber may be a channel and the
- 23 microfluidic processing device may further include a
- 24 receiving chamber at an end of the reaction channel
- 25 remote from the sample loading chamber.
- 26 The microfluidic device may be used with the analysis
- 27 instrument of the first aspect of the invention.

28

- 29 The presence of the overspill cavity allows excess
- 30 reagent that would otherwise be spilled into the analysis
- 31 instrument to be contained between the cover and the
- 32 loading chamber.

1 Preferably, the cover and/or the loading chamber are 2 manufactured from polymer film. 3 Preferably, the microfluidic processing device further 4 comprises electrodes. 5 6 The chambers and electrodes of a single microfluidic 7 element combine to become a single processing element. 8 Preferably, a single processing element is provided with 9 10 three electrical contacts. 11 Preferably, the three electrical contacts operate as a 12 cathode, a compacting electrode and an anode. 13 14 15 Preferably, the cathode is arranged in the loading chamber, the compacting electrode is arranged at the 16 17 upper end of the reaction channel and the anode in the 18 receiving chamber. 19 20 The polarities of the electrical contacts may be 21 reversed. 22 Preferably, the electrical contacts extend from a 23 position outside the microfluidic device to a position 24 inside the microfluidic device. 25 26 Preferably, the electrical contacts have coupling means 27

for connecting them to an external electrical supply to

allow the creation of a circuit incorporating the

30 31

28

29

reaction chamber.

- 1 Preferably, reagents within the microfluidic device are
- 2 pre-filled at the point of manufacture, thereby avoiding
- 3 the need for reagent handling at the point of use.

- 5 Preferably, the loading chamber is pre-filled with an
- 6 electrolyte.

7

- 8 Preferably, the reaction channel is pre-filled with a
- 9 molecular separation medium.

10

- 11 Preferably, the receiving pocket is pre-filled with
- 12 either the molecular separation medium or an electrolytic
- 13 buffer.

14

- 15 The microfluidic processing device may have a laminated
- 16 structure.

17

- 18 Preferably, the microfluidic processing device includes
- 19 optical fiducial marks whose position is known relative
- 20 to the reaction chamber and which can be acquired by the
- 21 detection means of an analysis instrument to accurately
- 22 identify the position of a reaction process.
- 23 microfluidic device holder.

24

- 25 Preferably the device further comprises an identifying
- 26 label or tab.

27

- 28 Preferably this tab can be used as a handling tab for
- 29 loading and unloading the microfluidic device such that
- 30 manual contact with any optical surface of the device is
- 31 avoided.

- 1 According to a third aspect of the invention there is
- 2 provided a kit comprising an instrument as hereinbefore
- 3 defined, and a microfluidic device as herein defined.

- 5 One benefit of the invention described herein over the
- 6 current state of the art is that it integrates a novel
- 7 microfluidic device with a novel analysis instrument
- 8 possessing an adaptable handling configuration. The
- 9 resulting system is very easy to use and can achieve high
- 10 test throughput within an extremely small footprint.

11

- 12 The present invention will now be described by way of
- 13 example only with reference to the accompanying drawings
- 14 in which:

15

- 16 Figure 1 shows a general external view of the processing
- 17 instrument for a microfluidic device;

18

- 19 Figure 2 shows the zones of the instrument that an
- 20 operator will access for loading the system;

21

- 22 Figure 3a is a side view of an embodiment of the present
- 23 invention and Figure 3b is a corresponding plan view;

24

- 25 Figures 4a to 4G show the automated handling sequence for
- 26 a test sample;

27

- 28 Figure 5a shows how test samples can be loaded from open
- 29 topped laboratory vials;

30

- 31 Figure 5b shows how test samples can be loaded from
- 32 laboratory vials with a hinged lid;

```
Figure 5c shows how test samples can be loaded from a
  1
  2
      multi well plate;
  3
     Figures 6a and 6b show an arrangement for retaining the
  4
  5
     pipette tip holder within the instrument;
  6
     Figures 6c and 6d show the use of the same arrangement as
  7
     in figures 6a and 6b to retain a needle cartridge whereby
  8
  9
     the piercing tool for the microfluidic device can be
 10
     automatically replaced;
 11
 12
     Figure 7 shows the instrument enclosure configured to
     accommodate an automatic feeder module for microfluidic
13
14
     devices:
15
     Figure 8 shows a more detailed side view of the feeder
16
17
     module configuration;
18
     Figure 9 is a plan view of the base section of an
19
     alternative embodiment of an analysis instrument in
20
21
     accordance with the present invention;
22
    Figure 10 is a side view of the analysis instrument of
23
24
    Figure 9;
25
    Figure 11 is a plan view of a microfluidic processing
26
    device with eight separate microfluidic processing areas;
27
28
    Figure 12 is a plan view of a microfluidic processing
29
    device with sixteen separate microfluidic processing
30
31
    areas;
32
```

- 1 Figure 13 is a side view of the microfluidic device
- 2 holder of the embodiment of the present invention shown
- 3 in Figures 9 and 10;

- 5 Figure 14 shows quadrant markers and areas of interest
- 6 found on the microfluidic processing apparatus used in
- 7 the analysis instrument of the present invention;

8

- 9 Figure 15 is a side view of a probe block as used in the
- 10 embodiment of Figure 9 and 10 of the present invention;

11

- 12 Figure 16 shows details of the upper part of a single
- 13 test element of the microfluidic device in accordance
- 14 with the second aspect of the invention;

15

- 16 Figure 17a shows how the microfluidic device is loaded
- 17 with a test sample;

18

- 19 Figure 17b shows further detail of the method of piercing
- 20 the microfluidic device and the method of containment of
- 21 any spillage.

22

- 23 Figure 18 shows one complete segment of the microfluidic
- 24 device including illustration of the method of
- 25 interfacing the external probes; and

26

- 27 Figure 19 shows a comparison of slab gel processing and
- 28 processing using an embodiment of the present invention.

- 30 Figure 1 shows a typical instrument enclosure. A main
- 31 enclosure component 60 carries a lid 61 at the front for
- 32 operator access to the loading and unloading stations and

```
a rear cover 62 for access to the onboard drive and
  1
  2
     control circuit boards.
  3
  4
     Figure 2 shows the operator loading stations. Station 63
  5
     is the sample loading and unloading station, station 64
  6
     is the pipette tip loading and unloading station, station
  7
     65 is the microfluidic device loading and unloading
 8
     station.
 9
10
     Figures 3a and 3b show a microfluidic device 1 held
     within holder 21 which is mounted to platform 27 which is
11
12
     movable in one axis along slides 28. These slides are
13
     attached to baseplate 38. Also mounted to platform 27 is
     the electrical probe block assembly 22, a pipette tip
14
15
     holder 23 which can store unused pipette tips 24 and used
     pipette tips 25. A suitable pipette tip is, for example,
16
17
     the "Eppendorf PMP-885-501W" and a typical sample loading
18
     volume is around 1 microlitre, but conveniently could be
19
     in the range 0.1 to 5 mcrolitres. Also mounted on this
20
    platform is the test sample storage device, in this case
21
     a 96 well micro-titer plate 26. Nothing precludes other
     types of micro-titer plate (e.g. 384 well) or even the
22
    use of individual vials for sample storage.
23
24
25
    Above the movable platform 27 is a fixed gantry beam 36
26
    supported by pillars 37 on the baseplate 38. Baseplate
27
    38, in turn, is attached to lower casing 39. A slide 35,
28
    along which a carriage plate 34 can move is attached to
29
    the gantry 36. This movement is transverse to the
30
    movement of platform 27.
31
    A vertical slide 33 along which carriage plate 31 can
32
33
    move is attached to carriage plate 34. A pump 30 and an
```

```
1
     arm 32 which locates a piercing tool 40 is attached to
  2
     carriage plate 31.
  3
     Baseplate 38 also supports the image capture assembly 41
  4
  5
     which comprises a CCD camera 42, a lens 43, a filter 44,
  6
     a mirror (or prism) 45, a lampholder 46 which contains
 7
     lamp tubes 47, reflectors 48, lenses 49 and a slit 50
     through which the camera light path can pass.
 8
 9
10
     Control for the various active functions of the
     instrument and delivery of the captured images is
11
12
     provided by electronic controller 51, which comprises a
13
     micro-controller whose programme sequence is delivered
14
     from an external personal computer via, for example, a
15
     USB cable. The particular architecture allows the
     instrument enclosure to be serviced by only two cables,
16
     one for delivery of DC power, the other a communications
17
18
     cable to the external PC. This layout contributes to the
19
    extremely compact footprint of the instrument enclosure.
20
    Figures 3a and 3b also show the pump 30 positioned ready
21
    to withdraw test sample from the first well of the second
22
23
    row of the micro-titer plate 26. This is achieved by
24
    suitably synchronizing the positions of platform 27,
25
    carriage 34 and carriage 31 which are controlled as
26
    elements of a 3-axis Cartesian robot. The drives and
    controls for this X, Y, Z system are not described since
27
28
    the means of achieving this are already known, but, for
29
    example, the drives can be lead screws driven by stepper
    motors and the control can be from a software sequence
30
    embedded in a micro-controller.
31
```

- 1 Figures 4a to 4g show a "snapshot" of the processing
- 2 sequence whereby platform 27 has moved from the operator
- 3 load station 51 into the sample transfer station. This
- 4 station is behind bulkhead 52 so that load station 51 is
- 5 isolated from the internal mechanisms of the instrument.

6

- 7 An advantageous step in this sequence is that the
- 8 piercing tool 40 opens an access port in the microfluidic
- 9 device 1 by means of penetration of pocket 7 and cavity
- 10 11 and that it does this simultaneously with the pick up
- 11 of pipette tip 24.

12

- 13 Figures 5a to 5c show arrangements that allow the user
- 14 to load test samples either in individual vials 20 or in
- 15 a strip of vials (for example, a PCR strip) or in a
- 16 multi-well plate 26, which can be a 96 well micro-titre
- 17 plate or a 96 well PCR thermo-cycler plate. These vials
- 18 and plates are mounted on a common support block 29.
- 19 This arrangement is also compatible with other types of
- 20 micro-titre plate, for example, a 384 well plate. Figure
- 21 5b shows the use of a vial 201 with hinged lid 202. The
- 22 lid is trapped under the lid retaining plate 203, that
- 23 has an access aperture 204.

- 25 Figure 6a shows an arrangement that allows pipette tips
- 26 24 to be loaded in a removable pipette tip holder 23
- 27 which can be securely retained within support block 160
- 28 by a latch mechanism 161 which engages the tongue 162 of
- 29 a pivotable lever 163 into an undercut feature 164 on the
- 30 underside of pipette tip holder 23. The pipette tip
- 31 holder 23 incorporates a slotted flange 165 which allows
- 32 a used tip to be entered into the pipette tip holder 23
- 33 such that a small sideways motion of the pipette tip 25

- 1 engages the pipette tip with the underside of the slotted
- 2 flange 165 and such that when the pump nozzle holding the
- 3 pipette tip is retracted vertically upwards, the used
- 4 pipette tip is disengaged to fall into the pipette tip
- 5 holder. The latch mechanism 161 ensures that the pipette
- 6 tip holder 23 is not withdrawn during this operation.
- 7 Figure 6b shows the latch mechanism 161 disengaged to
- 8 allow the operator to remove and replace the pipette tip
- 9 holder in the direction of arrow "A".

- 11 Figure 6c shows how this same arrangement can be used to
- 12 allow automated replacement of the piercing tool for the
- 13 microfluidic device, this piercing tool comprising a
- 14 needle 167. A needle cartridge 166 (instead of the
- 15 pipette tip holder 23) contains a new needle 167 and
- 16 space to accommodate the used needle 168. The cartridge
- 17 may have a peel-off or removable lid to expose the new
- 18 needle. The new needle can be retained temporarily during
- 19 the loading process by a foam plug 170. Needle
- 20 replacement involves a motion sequence of the needle
- 21 holder 169 which is mounted on, for example, arm 32 of
- 22 figure 3a. With further reference to figure 3a it can be
- 23 seen that the motion system capable of manipulating pump
- 24 30 is equally capable of manipulating needle 167 as part
- of an automated replacement sequence. With reference to
- 26 Figure 6d, the needle holder 169 enters the used needle
- 27 168 into a cavity of the needle cartridge 166 which
- 28 incorporates a similar slotted flange 165 to that used in
- 29 pipette tip holder 23, thereby enabling removal of the
- 30 used needle. The needle holder 166 is prevented from
- 31 withdrawal by the retaining action of latch mechanism
- 32 161. Thus the holder 160 and latch mechanism 161 can
- 33 serve an important dual function, that is, retention of a

- 1 pipette tip holder during normal use or retention of a
- 2 needle cartridge during the maintenance sequence for
- 3 replacing the piercing tool. The needle replacement
- 4 sequence can be initiated by the system storing a count
- 5 of the number of piercings carried out (for example in
- 6 EEPROM) and alerting the operator on the system PC once a
- 7 preset count is reached.

8

- 9 Figure 7 shows the integration of a separate discrete
- 10 feeder module 66 whose function is to allow multiple
- 11 microfluidic devices to be automatically loaded and
- 12 discarded. Used microfluidic devices are disposed of
- 13 into a drawer 67 which can be opened for emptying. This
- 14 configuration is targeted at providing "hands off"
- 15 operation for automated processing of one complete multi-
- 16 well plate of test samples.

- 18 Figure 8 shows details of the feeder mechanism. A
- 19 loading hopper 70 can stack multiple microfluidic devices
- 20 1. These devices are held together by a spring loaded
- 21 paddle 71 which pushes the stack of microfluidic devices
- 22 1 against a restraining lip 73 which extends up each side
- 23 and along the bottom edge of the microfluidic device at
- 24 the front of the stack. Paddle 71 mounts to a slide
- 25 which is attached to support plate 72. Surrounding the
- 26 hopper area is a frame comprising side plates 74 and a
- 27 cross plate 75. This frame is attached to support plate
- 28 72. The side plates 74 incorporate slides 76 which carry
- 29 a cross beam 77 which carries a vertical slide 78 to
- 30 which is mounted a pick up tool 79. This tool can be
- 31 positioned by means of suitable linear actuator drives
- 32 (not shown) such that at position 79a it can pick a
- 33 microfluidic device from the front of the hopper stack

- 1 70, at position 79b it can load the microfluidic device
- 2 into the holder 21, at position 79c it can deposit the
- 3 used tape into the waste trap 80 which is integrated with
- 4 drawer 67.

5

- 6 The remaining requirement for fully automated handling is
- 7 to provide automated pipette tip handling. This can be
- 8 accomplished by the pick and place unit 84 which will
- 9 load pipette tips from a standard pipette holding tray
- 10 into the tip holder 23.

11

- 12 The alternative is to replace tip holder 23 with a wash
- 13 bath 82. The liquid transfer pump 30 will be fed with a
- 14 wash compound and pump fresh washing agent through the
- 15 liquid transfer nozzle into wash bath 82 which will
- 16 overspill into catchment tray 83, which will drain into a
- 17 sump container underneath the test sample loading zone.

18

- 19 Figure 9, shows an alternative embodiment of the analysis
- 20 instrument of the present invention. The base area 102 of
- 21 the analysis instrument is shown in plan and comprises a
- 22 sample assembly 103 having a sample assembly platform 105
- 23 upon which a cartridge holder 107 and a tape holder 115
- 24 are mounted. The cartridge holder 107 contains a pipette
- 25 tip holder 109, a used pipette tip holder 111 and a
- 26 sample chamber 113. The sample to be analysed is kept in
- 27 chamber 113 and the pipette tips are kept in pipette tip
- 28 holder 109 prior to their use.

- 30 The used pipette tip holder 111 has a keyed shape. That
- is, the entrance to the pipette tip holder is narrowed
- 32 towards one end of it. This narrowing allows the edge of
- 33 a pipette tip to be caught on the narrowed section of the

- 1 used pipette tip holder and assists in the removal of the
- 2 pipette tip from the pump nozzle 147 (Figure 10). It
- 3 should be noted that the cartridge holder 107
- 4 accommodates eight pipette tip holders 109, used pipette
- 5 tip holders 111 and sample chambers 113. This size of
- 6 cartridge holder 107 has been chosen for convenience and
- 7 it is anticipated that a cartridge holder with space for
- 8 more than or less than eight samples could be used.

9

- 10 The tape holder 115 consists of a box shaped section
- 11 having one open side 157 (Figure 13) and an open top end
- 12 116 into which a microfluidic processing apparatus can be
- 13 inserted.

14

- 15 The analysis instrument is designed such that each of the
- 16 microfluidic processing channels is substantially in
- 17 alignment with the corresponding sample chamber 113.
- 18 Consequently, the microfluidic processing tape as used
- 19 with this embodiment of the present invention will
- 20 contain eight separate microfluidic processing areas.
- 21 Platform 5 is mounted on rails that allow it to move to
- 22 and from the position of the probe block 133.

- 24 The optical assembly 117 consists of a platform 118 which
- 25 allows the entire assembly to move in direction B. A
- 26 camera 119 is provided with a lens 121 and a prism 123
- 27 which is used to redirect a beam of light that has been
- 28 reflected from the sample when in use. The prism is
- 29 partially enclosed within an opaque enclosure 125 which
- 30 also partially encloses two radiation sources 129. In
- 31 this example, these sources emit ultra-violet radiation
- 32 at a wavelength of approximately 310 nm. It will be
- 33 appreciated that, depending upon the analysis undertaken,

- 1 radiation sources emitting radiation at other wavelengths
- 2 may be used. The radiation sources are provided with a
- 3 transparent screen 127 that allows radiation to pass out
- 4 from the opaque enclosure 125 towards the probe block 131
- 5 where analysis of the sample is undertaken.

- 7 The probe block 131 is this example contains a number of
- 8 pins 135. As can be seen from Figure 15, these pins are
- 9 arranged such that two pins in each row are positioned
- 10 towards the top of the probe block and a single pin is
- 11 positioned towards the bottom. The polarity of each of
- 12 the pins may be change to enhance analysis of the sample.

13

- 14 Figure 10 shows the side view of the embodiment of the
- 15 analysis instrument of Figure 9. In this diagram the
- 16 optical assembly 117, the cartridge holder 107 and the
- 17 tape holder 115 are shown as described above.

18

- 19 In addition, a sample transfer means is shown. The
- 20 sample transfer means consists of a tape filler having a
- 21 pump 145, connected to a pump nozzle 147 that extends
- 22 downwards towards the position of the cartridge holder
- 23 107. The sample transfer means is further provided with
- 24 a tape puncturing means 149 which in this example
- 25 comprises a needle with a shaped point that extends down
- 26 towards the position of the tape holder 115.

- 28 These devices are mounted on a moveable frame 41 which
- 29 allows movement in directions D and E as shown in Figure
- 30 10. In addition, the distance between the pump nozzle
- 31 147 and the tape puncturing means 149 is defined by x.
- 32 This distance is substantially identical to the distance

- 1 between the tape holder 115 and the sample chamber 113,
- 2 also denoted by X on Figure 10.

- 4 Figure 13 shows the side view of tape holder 115 and
- 5 shows a number of reflective pads 159. In use, these
- 6 pads provide a reflective background which lies behind
- 7 the position of quadrant markers 155 which are found on a
- 8 microfluidic processing device as shown in Figure 14.

9

- 10 The combination of these reflective pads and the quadrant
- 11 markers allows easy alignment of the optical assembly 117
- 12 to maximise the amount of reflected radiation that is
- 13 detected by the camera 119.

14

- 15 In use a set of samples is loaded into the sample
- 16 chambers 113 and a set of pipette tips are loaded into
- 17 the pipette tip holders 109. A microfluidic processing
- 18 device such as a microfluidic processing tape, having
- 19 eight microfluidic processing areas is then loaded into
- 20 the tape holder 115. Thereafter, the moveable frame 141
- 21 moves the tape filler 143 into position above the pipette
- 22 tip holder 109 and is then lowered in order to pick up a
- 23 pipette.

- 25 Thereafter, the tape filler moves to the position above
- 26 the sample chamber 113 and is then lowered into a sample
- 27 chamber 113 where the pump is actuated and the sample is
- 28 drawn into a pipette which is coupled to the pump nozzle
- 29 147 of tape filler 143. Substantially simultaneously,
- 30 the tape puncturing means 149 is lowered to the tape
- 31 holder 115 where the tape puncturing means punctures a
- 32 hole in a microfluidic processing area of the

microfluidic processor (which in this example is in tape 1 2 form). 3 Advantageously, therefore, a single processing step 4 allows a hole to be punctured in the microfluidic 5 processor and allows a pipette to be filled. 6 7 Thereafter, the pipette on the end of the pump nozzle 47 8 is moved to a position above the tape holder 15 and 9 subsequently lowered to allow the microfluidic processing 10 area to be filled with the sample. 11 12 These process steps are repeated until the samples have 13 been removed from each of the sample chambers 13 and 14 added to the corresponding microfluidic processing areas 15 found in the tape holder 15. 16 17 Turning to Figure 9, once the sample is in the 18 microfluidic processing area 115, the sample assembly 19 platform is moved in direction A towards the probe block 20 131 and the probe block 131 moves towards the tape 21 holder. The probe block pins move through the open side 22 23 157 of the tape holder and are coupled to electrical connections upon the microfluidic processing areas. 24 25 As can be seen in Figure 15, there are sets of three pins 26 which are coupled to each microfluidic processing area. 27 The polarity of these pins can be reversed. 28 example, in the analysis of DNA, once the negatively 29 charged DNA sample has been added to the microfluidic 30

33 This allows the DNA to form a consistent mass at or near

negative and the polarity of pin 135b is set to positive.

processing area, the polarity of pin 135a is set to

31

the electrode 135b. Thereafter, this electrode is 1 switched off and electrode 135c is given a positive 2 polarity so that the DNA sample can migrate down the 3 4 column. 5 During this processing, the radiation sources 129 emit 6 7 radiation at 310 nm onto the sample. In the case of a DNA sample such incident radiation provides an output at 8 600 nm in the visible spectrum. This radiation is 9 provided to the camera by the total internal reflection 10 by the prism 23 and the camera detects the lights and 11 provides results accordingly. 12 13 Figures 11 and 12 show the outline profile of a 14 microfluidic device whose configuration is compatible 15 with the instrument processing methods already described. 16 The spacing between test elements on the microfluidic 17 device is conveniently set at the same spacing as the 18 wells of standard laboratory micro titre plates, for 19 example, in Figure 11 showing an 8-way microfluidic 20 device, the spacing between elements is 9mm to correspond 21 with a 96 well plate. Similarly in Figure 12 showing a 22 16-way microfluidic device, the spacing between elements 23 is 4.5mm to correspond with a 384 well plate. Figure 11 24 also shows locations 12, 13 and 16 which are electrodes 25 in contact with the reagents inside the device but which 26 pass between layers of the device such they can be 27 accessed by external probes 18 of Figure 18. 28 29 Figures 16 to 18 show further views of a suitable 30 microfluidic device. For the purpose of example, a three 31 layer polymer lamination is shown. A transparent layer 2 32

incorporates electrode pads 3 on its inner surface and is

1 attached to a process layer 4 that incorporates channel

34

- 2 and cavity structures containing chemical reagents,
- 3 together they comprise the microfluidic assembly 5. A
- 4 carrier layer 6 supports and protects item 5 and
- 5 incorporates pockets 7. Access holes 8 through item 4 and
- 6 6 allow external electrical probes to interface with
- 7 electrodes 3. The device is generally planar and is
- 8 typically processed in a vertical plane such that its
- 9 upper edge presents loading ports to the processing
- 10 instrument. In this example, the device has on-board
- 11 reagents comprising a separation gel 9 which can be pre-
- 12 loaded with a suitable stainer, for example ethidium
- 13 bromide, and an electrolytic buffer 10 which fills the
- 14 top cavity 11 of the microfluidic assembly 5. The
- 15 electrodes 3 comprise an anode 12 within the top cavity
- 16 11, a compacting electrode 13 which crosses the capillary
- 17 channel 14 immediately above the top of the gel surface
- 18 15, and a cathode 16 within the lower cavity 17.

- 20 With reference to Figure 18, bio-molecular separation can
- 21 be enabled by
- loading the sample diluted in a low ionic strength
- buffer and mixed with glycerol which causes the
- loaded sample to sink under gravity to the lower end
- of top cavity 11.
- Application of a low voltage dc potential (for
- example 10 volts) between cathode 12 and anode 16
- will cause a DNA sample to rapidly migrate to the
- top of the gel surface 15; this method being the
- 30 already known method of stacking by use of
- 31 discontinuous buffers. Sample migration into the gel
- with this voltage is strongly retarded (due to the
- higher ionic strength of the gel) for a stacking

```
1
          duration which can be in the range of 5 to 30
 2
          seconds.
        - switch the voltage to a much higher level, for
 3
          example in the range of 120 to 200 volts, which
 4
 5
          drives the stacked sample into the gel for
 6
          separation. A separation column of 20mm in length
 7
          will allow separation of a DNA sample in the range
          25 to 2000 base pairs when using an agarose gel of
 8
          0.8% concentration in typically 60 to 75 seconds.
 9
10
11
    With reference to Figure 18, an alternative stacking
    method is to use the compacting electrode 13 to compact a
12
    DNA sample loaded into top cavity 11 by switching top
13
    electrode 12 negative and the compacting electrode 13
14
15
    positive, thereby focussing the sample on the compacting
16
    electrode which is preferably gold or platinum or silver
    thereby avoiding chemical affinity between the electrode
17
    and the DNA sample. Typically, the voltage used can be
18
19
    100V for 20 seconds. The compacting electrode can then be
20
    switched off and the positive charge switched to the
21
    lower electrode 16 at the other end of the separation
22
    channel to separate the sample. Typically this can be
    150V for 75 seconds.
23
24
    Figure 17b shows an enlargement of the pipette insertion
25
26
    step of figure 17a, showing an overspill 112 and the
27
    configuration of the flaps 114.
28
29
    Further features of the microfluidic device are:
    the embodiment of fiducial marks which can be applied
30
31
    simultaneously with the electrodes 12, 13, and 16 by a
    process which can conveniently be screen printing, but
32
```

- 1 may also be ink jet, hot foil, flexo print or other
- 2 similar printing techniques; and
- 3 the embodiment of a side label which can be used as
- 4 handling tab during the loading and unloading of the
- 5 microfluidic device onto or from the instrument and can
- 6 also be used as an identification label that incorporates
- 7 useful data such as the device type, use by date, batch
- 8 code and that this data can be in the form of a 1D or 2D
- 9 bar code.

- 11 It is a function of the analysis instrument to load the
- 12 test sample into top cavity 11 using a pipette tip 24
- 13 after first using a piercing tool 40 to penetrate pocket
- 14 7 and cavity 11.

15

- 16 The loaded sample can then be stacked into a narrow band
- 17 at the top of the gel using techniques for sample
- 18 stacking in either electrophoresis or column
- 19 chromatography devices. These include, for example, the
- 20 use of discontinuous buffers in which the sample is
- 21 diluted or the transient application to the sample of
- 22 much lower voltages than those used for sample
- 23 separation.

- 25 With reference to Figure 18, a further alternative method
- 26 of utilising the three electrodes is:
- 27 apply voltage between electrodes 12 and 16 at low dc
- 28 voltage (typically in the range 2V to 10V) for a period
- 29 of approximately 20 seconds to stack the test sample 19
- 30 on to the top surface of the gel;
- 31 apply voltage between electrodes 12 and 13 (typically
- 32 150V for 20 seconds) which results in absorbance of any
- 33 residual test sample 19 in top cavity 11 into

- 1 theelectrode 13 which specifically is composed of carbon,
- 2 therefore having a high absorbance for DNA (and therefore
- 3 avoids smearing during the subsequent separation process
- 4 from residual DNA in top cavity 11 since this residual
- 5 material is absorbed); and apply voltage between
- 6 electrodes 12 and 16 (typically 150V for 60 seconds) to
- 7 electro-kinetically move and separate the test sample 19
- 8 within capillary channel 14.

- 10 Excite the test sample stainer (for example, ethidium
- 11 bromide or cybrgreen) with a light source of appropriate
- 12 wavelength and capture an image of the capillary channel
- 13 showing the resulting fluorescence pattern displayed by
- 14 the separated nucleic acid fragments in channel 14.

15

- 16 With reference to Figure 19, the above operating sequence
- 17 combined with the microscale nature of the microfluidic
- 18 device combined with the automated handling described in
- 19 Figures 3a and 3b will enable one microfluidic device
- 20 (which can incorporate up to at least 16 parallel test
- 21 segments) to be processed in less than six minutes and in
- 22 three steps. This compares favourably with an equivalent
- 23 slab gel process which can typically take around 135
- 24 minutes involving 22 process steps.

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- 26 Advantageously, the present invention provides a highly
- 27 compact, automated, simple to use, rapid and efficient
- 28 means of providing bio-analysis results, and in
- 29 particular, when this involves electro-phoretic
- 30 separation.

- 32 Improvements and modifications may be incorporated herein
- 33 without deviating from the scope of the invention.

### **Claims**

1. An analysis instrument for processing a microfluidic device, comprising sample storage means, a microfluidic device holder, sample loading means for loading sample into a microfluidic device disposed in the holder, processing means for enabling a reaction in a microfluidic device, and detection means for detecting and/or measuring the reaction, characterised in that the microfluidic device holder is adapted to hold the microfluidic device comprising or including a tape in position for processing and/or detection.

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- 2. An instrument according to claim 1, wherein the sample loading means is moveable relative to the sample storage means and relative to the microfluidic device holder.
- 3. An instrument according to claim 1 or claim 2, further comprising opening means for opening a microfluidic device.
  - 4. An instrument according to claim 3, the sample loading means and the microfluidic device opening means being disposed a fixed distance apart on a moveable common support and spaced such that the sample loading means can acquire sample from the sample storage means whilst at the same time the microfluidic device opening means opens the microfluidic device.
- 5. An instrument according to claim 3 or claim 4, the sample loading means comprising a nozzle, the nozzle being adapted to removably mount a pipette tip, the nozzle further being operably attached to a pump for pumping liquid into a mounted pipette tip.
- 6. An instrument according to claim 5, including means for removal of a used pipette tip from the nozzle.

- 7. An instrument according to claim 6, the removal means comprising a flange, the pipette tip being removed by relative movement between the mounted pipette tip and the flange.
- 5 8. An instrument according to claim 7, including a receptacle for receiving a spent pipette tip.
  - 9. An instrument according to any of claims 5 to 8, including a fresh pipette tip store adapted to store pipette tips such that the nozzle can be brought into contact with a pipette tip for attachment to the nozzle.

- 10. An instrument according to claim 9 when dependant upon claim 8, the receptacle and the store being parts of a single demountable unit.
- 15 11. An instrument according to any of claims 4 to 10, wherein the opening means comprises a piercing tool, removably mounted on the moveable common support.
- 12. An instrument according to claim 11, wherein the piercing tool comprises a needle.
  - 13. An instrument according to claim 11 or claim 12, including means for removal of a used needle from the moveable common support.
- 25 14. An instrument according to claim 13, the removal means comprising a flange, the used needle being removed by relative movement between the needle and the flange.
- 15. An instrument according to claim 14, including a receptacle for receiving a used needle.

- 16. An instrument according to any of claims 12 to 15, including a fresh needle store adapted to store needles such that the common support can be brought into contact with a needle for attachment thereto.
- 5 17. An instrument according to claim 16 when dependant upon claim 15, the receptacle and the store being parts of a single demountable unit.
  - 18. An instrument according to any of claims 12 to 17, adapted to maintain a count of needle usage to alert a user to a requirement for needle changeover.
    - 19. An instrument according to any preceding claim, operable to process a single sample using one single element of a microfluidic device.
- 20. An instrument according to claim 19, operable to process a single sample using one single element of a microfluidic device by comprising a single sample loading means only, the single sample loading means being enabled to load sample one sample at a time from a plurality of sample holders, and deliver each said sample to a separate element of a microfluidic device.
  - 21. An instrument according to any preceding claim, operable to permit a batch of multiple samples to be processed up to the limit of the test element capacity of a single microfluidic device.

- 22. An instrument according to any of claims 1 to 18, operable to permit a batch of multiple samples to be processed up to the limit of the capacity of a microfluidic device feeder module.
- 30 23. An instrument according to any preceding claim, the sample storage means being mounted on a platform, moveable relative to the sample

detection means.

- 24. An instrument according to any preceding claim, wherein the sample detection means and the microfluidic device holder are moveable relative to one another to allow samples within the microfluidic device to be positioned at a predetermined location for detection.
- 25. An instrument according to any preceding claim, wherein the microfluidic device holder is adapted to accommodate a microfluidic device having a plurality of microfluidic processing elements such that each said element can be individually detected by the detection means.
- 26. An instrument according to any preceding claim, wherein the microfluidic device holder is mounted on the same platform as the sample storage means.
- 27. An instrument according to any preceding claim, wherein the sample processing means comprises a plurality of probes for applying voltage to a sample in a microfluidic device mounted in the holder.

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- 28. An instrument according to claim 27, wherein the probes are disposed to contact conductive pads of the microfluidic device.
- 29. An instrument according to claim 27 or claim 28, adapted to enable
   electro-phoretic separation of a sample containing, molecules of DNA or RNA or proteins.
  - 30. An instrument according to claim 27 or claim 28, adapted to enable electo-kinetic transport of a biological sample past a zone within the microfluidic device that contains one or more antibodies, such that binding between the sample and any antibody material can be enabled.

- 31. An instrument according to any preceding claim, wherein the detection means is adapted to detect change in conductivity in a sample.
- 5 32. An instrument according to any of claims 1 to 30, wherein the sample detection means comprises an optical assembly.
  - 33. An instrument according to claim 32, wherein the optical assembly includes a light source capable of emitting at a predetermined first frequency for excitation of constituents of the sample to allow the sample to emit light at a second frequency, and a light receiver.
    - 34. An instrument according to claim 33, wherein the receiver comprises a charged coupled device, or a line scan camera.

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- 35. An instrument according to claim 33 or claim 34, wherein the receiver is configured to send image data to an external data processing device.
- 36. An instrument according to any preceding claim, including an onboard system controller, the controller being programmable by a user to perform automated microfluidic device processing.
  - 37. An instrument according to any of claims 1 to 35, adapted to be controlled by an external system controller.

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38. An instrument according to any preceding claim, including a feeder module removeably attachable to the instrument and storing multiple microfluidic devices for automatic loading into or unloading from the microfluidic device holder.

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39. An instrument substantially as hereinbefore described with reference

to the accompanying drawings.

- 40. A microfluidic processing device, comprising a reaction chamber, a sample loading chamber into which a sample is injectable, the reaction chamber being operatively connected to the sample loading chamber, a cover that extends across at least part of the sample loading chamber, the cover and the reaction chamber comprising pierceable material and being separated by an overspill cavity configured to accept any overspill of an injected sample.
- 41. A microfluidic processing device according to claim 40, wherein the reaction chamber contains a molecular separation medium.
  - 42. A microfluidic processing device according to claim 40 or claim 41, wherein the reaction chamber is a channel.

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- 43. A microfluidic processing device according to claim 42, wherein the microfluidic processing device further includes a receiving chamber at an end of the reaction channel remote from the sample loading chamber.
- 44. A microfluidic processing device according to any of claims 41 to 43, wherein the cover and/or the loading chamber are manufactured from polymer film.
- 45. A microfluidic processing device according to any of claims 40 to 44, having a laminated structure.
  - 46. A microfluidic processing device according to any of claims 40 to 45, including optical fiducial marks whose position is known relative to the reaction chamber and which can be acquired by the detection means of an analysis instrument to accurately identify the position of a reaction process.

- 47. A microfluidic processing device substantially as hereinbefore described with reference to the accompanying drawings.
- 48. A kit comprising an analysis instrument according to any of claims 1 to 39 and a microfluidic processing device according to any of claims 40 to 45.



**Application No:** 

GB0602570.4

**Examiner:** 

Mr Stuart Purdy

Claims searched:

1-39 & 48

Date of search:

5 June 2006

# Patents Act 1977: Search Report under Section 17

#### Documents considered to be relevant:

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
X	11, 12, 19-30, 32-	WO 2005/114223 A1 (CALIPER LIFE SCIENCES) see whole document and in particular p. 3 ln 26-30, p6 ln 25-32, p7 ln 1-4, ln10-14, 19-21, 34- p8 ln 5, p8 ln 13-15, p11 ln 9-10, p12 ln 16-18, & p14 ln 23-30;
X	1 ' '	EP 1403644 A1 (AGILENT TECHNOLOGIES) see whole document and in particular para 1, 22, 26-28, 31-34;
X	1, 2, 19- 25, 27-29, 32-37 at least	US 2005/0220675 A1 (REED) see whole document and in particular note paras 199, 299, 532;

## Categories:

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#### Field of Search:

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Worldwide search of patent documents classified in the following areas of the IPC

B01F; B01L; B81B; F15C; G01N

The following online and other databases have been used in the preparation of this search report

WPI & EPODOC