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(54) Title: NEUTRALIZING ANTIBODIES TO HIV-1 ENV AND THEIR USE

(57) Abstract: Neutralizing antibodies and antigen binding fragments that specifically bind to HIV-1 Env are disclosed. Nucleic acids encoding these antibodies, vectors and host cells are also provided. Methods for detecting HIV-1 using these antibodies are disclosed. In addition, the use of these antibodies, antigen binding fragment, nucleic acids and vectors to prevent and/or treat an HIV-1 infection is disclosed.

**NEUTRALIZING ANTIBODIES TO HIV-1 ENV AND THEIR USE****RELATED APPLICATIONS**

This claims the benefit of U.S. Provisional Application No. 62/170,558, filed June 3, 2015. The 5 prior application is incorporated by reference herein in its entirety.

**FIELD OF THE DISCLOSURE**

This relates to monoclonal antibodies and antigen binding fragments that specifically bind to HIV-1 Env and their use, for example, in methods of treating a subject with HIV-1 infection.

10

**BACKGROUND**

Human Immunodeficiency Virus type 1 (HIV-1) infection, and the resulting Acquired Immunodeficiency Syndrome (AIDS), remain threats to global public health, despite extensive efforts to develop anti-HIV-1 therapeutic agents. An enveloped virus, HIV-1 hides from humoral recognition 15 behind a wide array of protective mechanisms. The major HIV-1 envelope protein (HIV-1 Env) is a glycoprotein of approximately 160 kD (gp160). During infection, proteases of the host cell cleave gp160 into gp120 and gp41. gp41 is an integral membrane protein, while gp120 protrudes from the mature virus. Together gp120 and gp41 make up the HIV-1 envelope spike, which is a target for neutralizing 20 antibodies. Although certain HIV-1 neutralizing antibodies that bind to the HIV-1 Env have been identified, there is a need to develop additional neutralizing antibodies for HIV-1 with varying recognition and neutralization profiles.

**SUMMARY**

Isolated monoclonal antibodies and antigen binding fragments thereof that specifically bind to an 25 epitope on HIV-1 gp120 are provided herein. The disclosed antibodies and antigen binding fragments specifically bind to an epitope on gp120 that overlaps with the CD4 binding site, and neutralize HIV-1.

In some embodiments, the antibody or antigen binding fragment comprises a heavy chain variable region (V<sub>H</sub>) comprising a HCDR1, a HCDR2, and a HCDR3 of the V<sub>H</sub> set forth as SEQ ID NO: 1 (CH540-VRC40.01 V<sub>H</sub>) and/or a light chain variable region (V<sub>L</sub>) comprising a LCDR1, a LCDR2, and 30 a LCDR3 of the V<sub>L</sub> set forth as SEQ ID NO: 2 (CH540-VRC40.01 V<sub>L</sub>). In additional embodiments, the antibody or antigen binding fragment comprises a V<sub>H</sub> comprising a HCDR1, a HCDR2, and a HCDR3 of the V<sub>H</sub> set forth as SEQ ID NO: 3 (CH540-VRC40.02 V<sub>H</sub>) and/or a V<sub>L</sub> comprising a LCDR1, a LCDR2, and a LCDR3 of the V<sub>L</sub> set forth as SEQ ID NO: 4 (CH540-VRC40.02 V<sub>L</sub>). In further embodiments, the antibody or antigen binding fragment comprises a V<sub>H</sub> comprising a HCDR1, a HCDR2, and a HCDR3 of 35 the V<sub>H</sub> set forth as SEQ ID NO: 5 (CH540-VRC40.03 V<sub>H</sub>) and/or a V<sub>L</sub> comprising a LCDR1, a LCDR2, and a LCDR3 of the V<sub>L</sub> set forth as SEQ ID NO: 6 (CH540-VRC40.03 V<sub>L</sub>). In further embodiments, the antibody or antigen binding fragment comprises a V<sub>H</sub> comprising a HCDR1, a HCDR2, and a HCDR3 of

the  $V_H$  set forth as SEQ ID NO: 7 (CH540-VRC40.04  $V_H$ ) and/or a  $V_L$  comprising a LCDR1, a LCDR2, and a LCDR3 of the  $V_L$  set forth as SEQ ID NO: 8 (CH540-VRC40.04  $V_L$ ).

In some embodiments, the antibody can include a Fc domain that has been modified compared to a native Fc domain. For example, the Fc domain included on the antibody can be modified by amino acid substitution to increase binding to the neonatal Fc receptor and therefore the half-life of the antibody when administered to a subject. In one example, the Fc domain of the antibody or antigen binding fragment can include M428L and N434S mutations (the “LS” mutation) to increase binding to the neonatal Fc receptor and resulting half-life of the antibody. In additional embodiments, the glycosylation (for example, fucosylation) or sequence of a disclosed antibody or antigen binding fragment can be altered compared to that observed in nature. For example the glycosylation of a disclosed antibody or antigen binding fragment can be altered compared to that of native antibodies to increase the half-life the antibody, or to increase antibody-dependent cell-mediated cytotoxic activity.

Also disclosed are compositions including the antibodies and antigen binding fragments, nucleic acids encoding the antibodies and antigen binding fragments, expression vectors comprising the nucleic acids, and isolated host cells that comprise the nucleic acids. In several embodiments, the nucleic acid molecule encoding a disclosed antibody or antigen binding fragment can be a cDNA molecule that encodes the antibody or antigen binding fragment. In additional embodiments, the nucleic acid molecule can be a bicistronic expression construct encoding the  $V_H$  and  $V_L$  of the antibody or antigen binding fragment.

The disclosed antibodies and antigen binding fragments potently neutralize HIV-1 in an accepted *in vitro* model of HIV-1 infection. Accordingly, a method is disclosed for treating or inhibiting an HIV-1 infection in a subject. The methods include administering a therapeutically effective amount of one or more of the disclosed antibodies, antigen binding fragments, nucleic acid molecules, vectors, or compositions, to the subject, for example to a subject at risk of or having an HIV-1 infection.

The antibodies, antigen binding fragments, nucleic acid molecules, vectors, and compositions disclosed herein can be used for a variety of additional purposes, such as for detecting an HIV-1 infection or diagnosing HIV-1 infection in a subject, or detecting HIV-1 in a sample.

The foregoing and other features and advantages of this disclosure will become more apparent from the following detailed description of several embodiments which proceeds with reference to the accompanying figures.

## BRIEF DESCRIPTION OF THE FIGURES

**FIG. 1** shows a table illustrating the neutralization fingerprint analysis of a serum sample from donor CH540. The CH540 serum sample (1/24/08) was tested for neutralization on a panel of 21 viruses. The resulting neutralization profile was compared to neutralization profiles of the indicated antibodies to determine similarity of neutralization using established methods (discussed in Example 1). The neutralization profile of the CH540 serum was similar to the profile for the VRC01, b12, HJ16, and 8ANC195 antibodies. VRC01 is the prototypical VRC01-class antibody and targets the CD4 binding site

on gp120. b12 and HJ16 also compete with CD4 for binding to gp120, but are not VRC01-class antibodies. HJ16 and 8ANC195 specifically bind to a glycan moiety linked to N276 on gp120, which is adjacent to the CD4 binding site.

5 **FIGs. 2A and 2B** show a set of graphs illustrating neutralization of wild-type HIV-1 strains BG505 (left) and Q168.a2 (right), and the corresponding glycan N276 mutant (T278A), using the CH540 serum. The T278A mutant was more resistant to CH540 serum neutralization.

10 **FIG. 3** show a set of graphs illustrating CH540 serum neutralization of four viruses after pre-incubation with the HIV-1 HXB2 gp120 core protein 2CC-D368R (which includes the CD4 binding site and glycan N276) or the mutant protein 2CC-D368R/N276D (which includes the CD4 binding site, but not glycan N276), or a control medium. The CH540 serum neutralization was competed by 2CC-D368R, but not 2CC-D368R/N276D.

15 **FIGs. 4A-4C** show a set of graphs and a table illustrating antigen specific B cell sorting of a peripheral blood mononuclear cell (PBMC) sample from donor CH540. CD3-CD8-CD14-CD19+IgG+ memory B cells were stained with probes 2CC-D368R, 2CC-D368R/N276D, and BG505.T332N.SOSIP. BG505.T332N.SOSIP is an HIV-1 Env ectodomain in trimeric form; therefore, antibody that binds BG505.T332N.SOSIP is likely to bind the Env trimer. B cells that were 2CC-D368R positive and 2CC-D368R/N276D negative (FIG. 4A) or BG505.T332N.SOSIP positive (FIG. 4B) were sorted into 96-well plates at single cell per well. (FIG. 4C) The resulting antibody heavy and light chains were amplified, sequenced and analyzed for ImMunoGeneTics (IMGT) framework and CDR features. Sequence analysis 20 revealed VH1-2\*02 and VK 3-15\*01 usage, high V<sub>H</sub> and V<sub>L</sub> (kappa) mutation frequency (26% and 19%, respectively), and normal HCDR3 and LCDR3 length (15 and 9 amino acid, respectively). The CDRH3 sequences for CH540-VRC40.01 (SEQ ID NO: 11), CH540-VRC40.02 (SEQ ID NO: 11), CH540-VRC40.03 (SEQ ID NO: 11), and CH540-VRC40.04 (SEQ ID NO: 11) are shown, as are the CDRL3 sequences for CH540-VRC40.01 (SEQ ID NO: 14), CH540-VRC40.02 (SEQ ID NO: 14), CH540-VRC40.03 (SEQ ID NO: 17), and CH540-VRC40.04 (SEQ ID NO: 20).

25 **FIGs. 5A-5C** show a set of tables illustrating the neutralization activity of the CH540-VRC40 antibodies against a multi-clade panel of 31 HIV-1 pseudotyped viruses. Neutralization of the pseudoviruses was assessed using a TZM-bl assay as described in Example 1. The IC<sub>50</sub> (FIG. 5A), IC<sub>80</sub> (FIG. 5B), and IC<sub>90</sub> (FIG. 5C) values (μg/ml) were calculated and shown using different color scheme 30 from more to less potent: red (<0.1 μg/ml), orange (0.1-1 μg/ml), yellow (1-10 μg/ml), green (10-50 μg/ml) and white (>50 μg/ml, that is, no inhibition). CH540-VRC40.01 neutralized 28 out of 31 (90%) viruses with a geometric mean IC<sub>50</sub> of 0.102 μg/ml. CH540-VRC40.01 showed 87% virus coverage at the IC<sub>80</sub> and IC<sub>90</sub> cut-off of 50 μg/ml. The geometric mean IC<sub>80</sub> and IC<sub>90</sub> were identified as 0.36 and 0.864 μg/ml, respectively. The other three CH540-VRC40 antibodies showed similar neutralization 35 potency and breadth.

**FIGs. 6A and 6B** show a set of graphs and a table illustrating the neutralization activity of the CH540-VRC40.01 antibody against a multi-clade panel of 173 pseudotyped HIV-1 viruses. (FIG. 6A) Neutralization of the pseudoviruses was assessed using a TZM-bl assay as described in Example 1. FIG.

6A shows the neutralization potency-breadth curve of CH540-VRC40.01 for 173 viruses. The percentage of virus neutralized based on IC<sub>50</sub> or IC<sub>80</sub> cutoff was graphed versus the antibody concentration. (FIG. 6B) Summary of neutralization breadth and potency of CH540-VRC40.01, VRC01, 3BNC117, or VRC07-523-LS antibodies, or a combination of CH540-VRC40.01 and VRC01, or CH540-  
5 VRC40.01 and VRC07-523-LS antibodies. The VRC01, 3BNC117, and VRC07-523-LS antibodies are all VRC01-class antibodies that target the CD4 binding site on gp120. The neutralization breadth was defined as percent of viruses that were neutralized to at least 50% level (IC<sub>50</sub>) at 50 µg/ml of antibody. Median IC<sub>50</sub> and IC<sub>80</sub> was calculated based on all viruses including resistant ones. CH540-VRC40.01 neutralized 83% viruses with geometric mean IC<sub>50</sub> of 0.099 µg/ml on the panel of 173 pseudoviruses,  
10 which is comparable to the 3BNC117 antibody.

FIG. 7 shows a table illustrating the neutralization activity of the CH540-VRC40 antibody against a panel of 21 HIV-1 pseudotyped viruses that are resistant to VRC01 neutralization using the TZM-bl assay. Six VRC01 class antibodies were tested in parallel as controls. CH540-VRC40.01 neutralized six of the VRC01-resistant strains, including four strains (242-14, DU422.01, TV1.29 and  
15 TZA125.17) that are resistant to most VRC01 class antibodies.

FIG. 8 shows a table illustrating the neutralization activity of CH540-VRC40.01 antibody against pseudotyped HIV-1 viruses including mutation of the gp120 N276 glycosylation site. CH540-VRC40.01, as well as control antibodies VRC01, HJ16, and 8ANC195 were tested for neutralization on a panel of pseudotyped HIV-1 viruses (Q168.a2, HxB2.DG, TRO.11 and BG505) including native HIV-1  
20 Env sequence and, corresponding mutant viruses that lack the gp120 N276 glycosylation site (N276A or T278A). Similar to the control antibodies HJ16 and 8ANC195, CH540-VRC40.01 neutralization was abolished upon mutation of the N276 glycan site. In contrast, VRC01 neutralization was enhanced by these mutations.

FIG. 9 shows a table illustrating the neutralization activity of the CH540-VRC40.01 antibody against a panel of Q168.a2 mutants using the TZM-bl assay. The IC<sub>50</sub> titers in µg/ml are listed, as is the corresponding fold change in IC<sub>50</sub> compared to wildtype virus. >1 fold indicates that the mutant is less sensitive, while <1 fold indicates that the mutant is more sensitive. >5 fold were highlighted in red.

FIG. 10 shows a set of graphs illustrating binding of CH540-VRC40.01 antibody against a panel of HXB2 gp120 core (2CC) mutant proteins. CH540-VRC40.01, as well as control antibodies, were  
30 serially diluted and tested for binding to 2CC protein and a panel of mutants thereof using ELISA. The binding curves (OD450 absorbance) are shown.

FIGs. 11A and 11B show a set of graphs illustrating competition binding assays for CH540-VRC40.01 binding to gp120. Binding of biotinylated CH540-VRC40.01 to the HXB2 2CC core (FIG. 11A) or YU2 gp120 (FIG. 11B) was assayed in the presence of the indicated CD4 binding site targeted  
35 antibodies using ELISA.

FIG. 12 shows a table illustrating CH540-VRC40.01 neutralization of HIV-1 (YU2.DG strain) by pseudoviruses carrying the N279K, N280D, R456W, or G458D gp120 mutations (or combinations thereof), which confer resistance to VRC01 neutralization. IC<sub>50</sub> titers are shown.

**FIGs. 13A -13C** shows sequence alignments of the CD540-VRC40 antibodies, listing the  $V_H$  and  $V_L$  sequences, kabat and IMGT CDR and framework regions, and kabat numbering. The  $V_H$  and  $V_L$  sequences of the CH540-VRC40.01 (SEQ ID NOs: 1 and 2), CH540-VRC40.02 (SEQ ID NOs: 3 and 4), CH540-VRC40.03 (SEQ ID NOs: 5 and 6), CH540-VRC40.04 (SEQ ID NOs: 7 and 8) are shown.

5

## SEQUENCES

The nucleic and amino acid sequences listed in the accompanying sequence listing are shown using standard letter abbreviations for nucleotide bases, and three letter code for amino acids, as defined in 37 C.F.R. 1.822. Only one strand of each nucleic acid sequence is shown, but the complementary strand is understood as included by any reference to the displayed strand. The Sequence Listing is submitted as an ASCII text file named Sequence\_Listing.txt, created on May 24, 2016, ~40 KB, which is incorporated by reference herein. In the accompanying sequence listing:

**SEQ ID NO: 1** is the amino acid sequence of the  $V_H$  of the CH540-VRC40.01 antibody.

15    QVQLIQSGPQFKTPGASVTVSCKASGYIFTDYLIHWVRLVPGKGLEWLGRINTNAGLMLSHKFEGRIL  
RRVVDWRTPLSLGTVNMELRNVRSDDSAIIYFCGRVVDGFNAAGPLEFWGQGSPVIVSS

**SEQ ID NO: 2** is the amino acid sequence of the  $V_L$  of the CH540-VRC40.01 antibody.

20    QVVMTQSPATLSLSPGETAAVSCRASQYVDRSISWYQLKTGRAPRLLVYAASSRSIGVPDRFSGSGSGRD  
FTLTIRGVQSDDFALYYCQQDYYWPVTFGQGTRLDMK

**SEQ ID NO: 3** is the amino acid sequence of the  $V_H$  of the CH540-VRC40.02 antibody.

QVRLMQSGPQLKTPGASVTVSCKASGYIFTDYLIHWVRLVPGKGLEWLGRINTNGGLMLSYKFEGRIL  
RRDVDWRTPLSLGTVYMEKLNLRSDDSAIIYFCGRVVDGFNAAGPLEFWGQGSPVIVSS

25

**SEQ ID NO: 4** is the amino acid sequence of the  $V_L$  of the CH540-VRC40.02 antibody.

QVVMTQSPVTLVSPGETAAVSCRASQYVDRSISWYQLKTGRAPRLLVYAASSRSIGVPDRFSGSGSGRD  
FTLTIRGVQSDDFAVYYCQQDYYWPVTFGQGTRLDMK

30

**SEQ ID NO: 5** is the amino acid sequence of the  $V_H$  of the CH540-VRC40.03 antibody.

QVQLIQSGPQLKTPGASVTVSCKASGYVFADYLIHWVRLVPGKGLEWLGRINTNAGLMLSHKFEGRIL  
RRDRDWRTPLSLGTLYMELRNLKSDDSAIIYFCGRVVDGFNAAGPLEFWGQGSPVIVSS

**SEQ ID NO: 6** is the amino acid sequence of the  $V_L$  of the CH540-VRC40.03 antibody.

35    QVLMTQSPATLSVSPGETAAVSCRASQYVDRSISWYQVKSGRAPRLLVYAASSRSIGVPDRFSGSGSGTD  
FTLTIRGVQSDDFALYYCQQDYYGPVTFGQGTRLDMK

**SEQ ID NO: 7** is the amino acid sequence of the  $V_H$  of the CH540-VRC40.04 antibody.

40    QVRLMQSGTEFKTPGASVKVSCKTSGYIFSDYLIHWVRLVPGKGLEWLGRINTNAGLMLSPRFEGRVL  
RRESSFRTPLSLGTVYMELRNLKFDDSAVYFCGRVVDGFNAAGPLEFWGQGSLVIVSS

**SEQ ID NO: 8** is the amino acid sequence of the  $V_L$  of the CH540-VRC40.04 antibody.

EVVMTQSPATLSVSPGEAAALSGASDYIDRSVSWYQLKPGAPRLLVYAAASSRSIGIPDRFSGSGSGTA  
FTLTIRGVQSDDFALYYCQQDKYWPVTFGQGTRLDMK

**SEQ ID NOs: 9-20** are amino acid sequences of the IMGT CDRs of the CH540-VRC40.01, 5 CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies.

**SEQ ID NOs: 21-24** are consensus amino acid sequences of the IMGT CDRs of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies.

**SEQ ID NOS: 25-34** are amino acid sequences relating to chimeric antigen receptors.

**SEQ ID NO: 35** is the amino acid sequence of HIV-1 Env from the HXB2 strain of HIV-1.

10 **SEQ ID NO: 36** is an exemplary nucleic acid sequence encoding the CH540-VRC40.01 V<sub>H</sub>.  
CAGGTGCAGTTGATAACAGTCCGGGCCTCAGTTAACGACGCCTGGGCCTCAGTGACGGTCTCGTGCAAGG  
CCTCAGGATACATCTCACCGACTACCTCATACACTGGGTGGGCTGGTCCCCGGAAAGGGCCTTGAGTG  
GCTGGGCCAATCAACACCAATGCTGGTCTTATGTATCTTCACATAAAATTGAGGGTGCCTCATTCTG  
15 AGGAGAGTAGTTGACTGGAGGACACCGTCCCTGGGTACAGTCACATGGAATTAAAGGAACGTCAGATCTG  
ACGACTCGGCCATATATTTTGTGGCGGGTCGTGACGGCTTAACGCGCGGGCCCCCTTGAGTTTG  
GGGCCAGGAAGTCCAGTCATCGTTCTCA

**SEQ ID NO: 37** is an exemplary nucleic acid sequence encoding the CH540-VRC40.01 V<sub>L</sub>.  
CAAGTGGTGTGACGCAGTCCTCCAGCCACCCTGTCTGTCTCCGGGGAGACGGCCGCCGCTCTGCA  
GGGCCAGCCAATATGTTGACCGCTCTATATCTTGGTATCAACTAAAAACTGGCCGGCTCCAGACTCCT  
CGTCTATGCTGCATCGTCCAGGTCCATTGGTGTCCCAGACAGGTTCACTGGCAGTGGCTGGGAGAGAC  
TTCACTCTCACCATCAGAGGCGTCCAGTCTGACGACTTGCACTTATTACTGTCAACAAGATTACTACT  
GGCCGGTCACCTTCGGCCAAGGGACACGCCTGGACATGAAA

25 **SEQ ID NO: 38** is an exemplary nucleic acid sequence encoding the CH540-VRC40.02 V<sub>H</sub>.  
CAGGTGCGATTGATGCAATCCGGGCCTCACTTAAGACGCCTGGGCCTCAGTGACGGTCTCGTGCAAGG  
CCTCAGGATACATCTCACCGACTACCTCATACACTGGGTGGCTAGTCCCCGAAAGGGCCTTGAGTG  
GCTGGGCCAATCAACACCAATGGTGGTCTTATGTACCTTCATATAAAATTGAGGGTCGCCTCATTCTG  
AGGAGAGACGTTGACTGGAGGACACCGTCCCTGGCACAGTCTACATGGAATTAAAGAACCTCAGATCTG  
30 ACCACTCGGCCATATATTTTGTGGGCGAGTCGTCGACGGCTTAACGCGGCGGGCCCCCTTGAGTTTG  
GGGCCAGGAAGTCCAGTCATCGTTCTCA

**SEQ ID NO: 39** is an exemplary nucleic acid sequence encoding the CH540-VRC40.02 V<sub>L</sub>.  
CAAGTGGTGTGACGCAGCTCCAGTCACCTGTCTGTCTCCGGGGAGACGGCCGCCGCTCCGTCA  
GGGCCAGCCAATATGTTGACCGCTCTATATCTTGGTATCAACTAAAAACTGGCCGGCTCCCAGACTCCT  
CGTCTATGCTGCATCGTCCAGGTCCATTGGTGTCCCAGACAGGTTAGTGGCAGTGGCTGGGAGAGAC  
TTCACTCTCACCATCAGAGGCGTCCAGTCTGACGACTTGCAGTTATTACTGTCAACAAGATTACTACT  
GGCCGGTCACCTTCGGCCAAGGGACACGCCCTGGACATGAAA

40 **SEQ ID NO: 40** is an exemplary nucleic acid sequence encoding the CH540-VRC40.03 V<sub>H</sub>.  
CAGGTGCAGTTGATAACAGTCTGGGCCTCACTTAAGACGCCTGGGCCTCAGTGACTGTCTCGTGCAAGG  
CCTCAGGATACGTGTCGCCGACTACCTCATACACTGGGTGCGACTGGTCCCCGAAAGGGCCTTGAGTG  
GCTGGGCCGAATCAACACCAATGCTGGTCTTATGTACCTTCACATAAATTGAAGGTGCCCTCATTTG  
AGGAGAGACCGTGAUTGGAGGACACCGTCCCTGGGCACACTCTACATGGAATTAAAGGAACCTAAATCTG  
45 ACGATTCGGCCATATTTTGTCGGCGGGTCGTGACGGTTAACGCGGCGGGCCCCCTTGAGTTTG  
GGGCCAGGAAGTCGGTACGTTCTCAG

**SEQ ID NO: 41** is an exemplary nucleic acid sequence encoding the CH540-VRC40.03 V<sub>L</sub>.  
CAAGTGGTGTGACGCAGCTCCAGCCACCCTGTCTGTCTCCGGGGAGACGGCCGCCGCTCCTGCA  
GGGCCAGCCAGTATGTTGACCGCTCTATATCTTGGTATCAGGTAAAAAGTGGCCGGCTCCAGACTCCT  
CGTCTATGCTGCATCGTCCAGGTCCATTGGTGTCCCGGACAGGTTCACTGGCAGTGGCTGGGACAGAC  
TTCACTCTCACCATCAGAGGCGTCCAGTCTGACGACTTGCACATTACTGTCAACAAGATTACGGCT  
GGCCGGTCACCTTCGGCCAAGGGACACGCCCTGGACATGAAAG

5 **SEQ ID NO: 42** is an exemplary nucleic acid sequence encoding the CH540-VRC40.04 V<sub>H</sub>.  
 CAAGTGCAGTTGATGCAGTCTGGACTGAATTAAAGACGCCCTGGGCCTCAGTGAAGGTCTCGTGCAAGA  
 CCTCAGGATACATCTCAGCGACTACTTAATACACTGGGTGCAGTACTAGTCCCCGGAAAGGGCCTTGAGTG  
 GCTGGGCAGGATCAACACTAACGCTGGTCTATGTACCTTCACCGAGAGTTGAGGGTCGCGTCATTCTG  
 AGGAGAGAGAGTTCCCTCAGGACACCACCCCTGGGCACAGTCTACATGGAATTAAAGGAACCTAAATTG  
 ACGACTCGGCCGCTACTTTGTGGACGAGTCGTCGACGGATTAAACGCGCAGGCCCCCTGAATTG  
 GGGCCAGGGAGCCTGGTCATCGTCTCCCTCG

10 **SEQ ID NO: 43** is an exemplary nucleic acid sequence encoding the CH540-VRC40.04 V<sub>L</sub>.  
 GAAGTGGTGATGACGCAGTCTCCAGCCACCCCTGTCTGTCTCCGGGGAAAGAAGCCGCCCTCCCTGTG  
 GGGCCAGCGACTATATTGACCGGTCTGTGTCTGGTATCAACTAAACCTGGCCGGCTCCAGACTCCT  
 CGTCTATGCTGCGCCTCCAGGTCCATTGGTATCCCAGACAGGTTCACTGGCAGTGGCTGGGACAGCC  
 TTCACTCTCACCATCAGAGGCGTCCAGTCTGACGACTTGGCCTCTATTACTGTCAACAAAGACAAATACT  
 GGCGGTACCTTCGGCAAGGGACACGCGTGGACATGAAAC

15 **SEQ ID NOs: 44-46** are sequences of amino acid insertions into the heavy chains of the CH540-VRC40 antibodies.

20 **SEQ ID NOs: 47-52** are amino acid sequences of the V<sub>H</sub> and V<sub>L</sub> domains of the VRC01, VRC07, VRC07-523, and VRC07-544 antibodies.

## DETAILED DESCRIPTION

### I. Summary of Terms

Unless otherwise noted, technical terms are used according to conventional usage. Definitions of common terms in molecular biology may be found in Benjamin Lewin, *Genes X*, published by Jones & Bartlett Publishers, 2009; and Meyers *et al.* (eds.), *The Encyclopedia of Cell Biology and Molecular Medicine*, published by Wiley-VCH in 16 volumes, 2008; and other similar references.

As used herein, the singular forms “a,” “an,” and “the,” refer to both the singular as well as plural, unless the context clearly indicates otherwise. For example, the term “an antigen” includes single or plural antigens and can be considered equivalent to the phrase “at least one antigen.” As used herein, the term “comprises” means “includes.” It is further to be understood that any and all base sizes or amino acid sizes, and all molecular weight or molecular mass values, given for nucleic acids or polypeptides are approximate, and are provided for descriptive purposes, unless otherwise indicated. Although many methods and materials similar or equivalent to those described herein can be used, particular suitable methods and materials are described herein. In case of conflict, the present specification, including explanations of terms, will control. In addition, the materials, methods, and examples are illustrative only and not intended to be limiting. To facilitate review of the various embodiments, the following explanations of terms are provided:

**Administration:** The introduction of a composition into a subject by a chosen route.

Administration can be local or systemic. For example, if the chosen route is intravenous, the composition is administered by introducing the composition into a vein of the subject. Exemplary routes of administration include, but are not limited to, oral, injection (such as subcutaneous, intramuscular, intradermal, intraperitoneal, and intravenous), sublingual, rectal, transdermal (for example, topical), intranasal, vaginal, and inhalation routes.

**Amino acid substitution:** The replacement of one amino acid in a protein with a different amino acid.

**Anti-retroviral agent:** An agent that specifically inhibits a retrovirus from replicating or infecting cells. Non-limiting examples of antiretroviral drugs include entry inhibitors (e.g., enfuvirtide), 5 CCR5 receptor antagonists (e.g., aplaviroc, vicriviroc, maraviroc), reverse transcriptase inhibitors (e.g., lamivudine, zidovudine, abacavir, tenofovir, emtricitabine, efavirenz), protease inhibitors (e.g., lopinavir, ritonavir, raltegravir, darunavir, atazanavir), maturation inhibitors (e.g., alpha interferon, bevirimat and vivecon).

**Anti-retroviral therapy (ART):** A therapeutic treatment for HIV-1 infection involving 10 administration of at least one anti-retroviral agents (e.g., one, two, three or four anti-retroviral agents) to an HIV-1 infected individual. One example of an ART regimen includes treatment with a combination of tenofovir, emtricitabine and efavirenz. In some examples, ART includes Highly Active Anti-Retroviral Therapy (HAART). One example of a HAART regimen includes treatment with a combination of tenofovir, emtricitabine and efavirenz.

**Antibody and antigen binding fragment:** An immunoglobulin, antigen-binding fragment 15 thereof, or derivative thereof, that specifically binds and recognizes an analyte (antigen) such as HIV-1 gp120, an antigenic fragment thereof, or a dimer or multimer of the antigen. The term “antibody” is used herein in the broadest sense and encompasses various antibody structures, including but not limited to monoclonal antibodies, polyclonal antibodies, multispecific antibodies (e.g., bispecific antibodies), so 20 long as they exhibit the desired antigen-binding activity. Non-limiting examples of antibodies include intact immunoglobulins and variants thereof known in the art that retain binding affinity for the antigen. Examples of antibody fragments include but are not limited to Fv, Fab, Fab', Fab'-SH, F(ab')<sub>2</sub>; diabodies; linear antibodies; single-chain antibody molecules (e.g. scFv); and multispecific antibodies formed from antibody fragments. Antibody fragments include antigen binding fragments either produced by the 25 modification of whole antibodies or those synthesized *de novo* using recombinant DNA methodologies (see, e.g., Kontermann and Dubel (Ed), *Antibody Engineering*, Vols. 1-2, 2<sup>nd</sup> Ed., Springer Press, 2010).

A single-chain antibody (scFv) is a genetically engineered molecule containing the V<sub>H</sub> and V<sub>L</sub> domains of one or more antibody(ies) linked by a suitable polypeptide linker as a genetically fused single chain molecule (see, for example, Bird *et al.*, *Science*, 242:423-426, 1988; Huston *et al.*, *Proc. Natl. 30 Acad. Sci.*, 85:5879-5883, 1988; Ahmad *et al.*, *Clin. Dev. Immunol.*, 2012, doi:10.1155/2012/980250; Marbry, *IDrugs*, 13:543-549, 2010). The intramolecular orientation of the V<sub>H</sub>-domain and the V<sub>L</sub>-domain in a scFv, is typically not decisive for scFvs. Thus, scFvs with both possible arrangements (V<sub>H</sub>-domain-linker domain-V<sub>L</sub>-domain; V<sub>L</sub>-domain-linker domain-V<sub>H</sub>-domain) may be used.

In a dsFv the V<sub>H</sub> and V<sub>L</sub> have been mutated to introduce a disulfide bond to stabilize the 35 association of the chains. Diabodies also are included, which are bivalent, bispecific antibodies in which V<sub>H</sub> and V<sub>L</sub> domains are expressed on a single polypeptide chain, but using a linker that is too short to allow for pairing between the two domains on the same chain, thereby forcing the domains to pair with

complementary domains of another chain and creating two antigen binding sites (see, for example, Holliger *et al.*, *Proc. Natl. Acad. Sci.*, 90:6444-6448, 1993; Poljak *et al.*, *Structure*, 2:1121-1123, 1994).

Antibodies also include genetically engineered forms such as chimeric antibodies (such as humanized murine antibodies) and heteroconjugate antibodies (such as bispecific antibodies). See also, 5 *Pierce Catalog and Handbook*, 1994-1995 (Pierce Chemical Co., Rockford, IL); Kuby, J., *Immunology*, 3<sup>rd</sup> Ed., W.H. Freeman & Co., New York, 1997.

An “antibody that binds to the same epitope” as a reference antibody refers to an antibody that blocks binding of the reference antibody to its antigen in a competition assay by 50% or more, and conversely, the reference antibody blocks binding of the antibody to its antigen in a competition assay by 10 50% or more. Antibody competition assays are known, and an exemplary competition assay is provided herein.

An antibody may have one or more binding sites. If there is more than one binding site, the binding sites may be identical to one another or may be different. For instance, a naturally-occurring immunoglobulin has two identical binding sites, a single-chain antibody or Fab fragment has one binding 15 site, while a bispecific or bifunctional antibody has two different binding sites.

Typically, an immunoglobulin has heavy (H) chains and light (L) chains interconnected by disulfide bonds. Immunoglobulin genes include the kappa, lambda, alpha, gamma, delta, epsilon and mu constant region genes, as well as the myriad immunoglobulin variable domain genes. There are two types of light chain, lambda ( $\lambda$ ) and kappa ( $\kappa$ ). There are five main heavy chain classes (or isotypes) 20 which determine the functional activity of an antibody molecule: IgM, IgD, IgG, IgA and IgE.

Each heavy and light chain contains a constant region (or constant domain) and a variable region (or variable domain; see, *e.g.*, Kindt *et al.* Kuby Immunology, 6<sup>th</sup> ed., W.H. Freeman and Co., page 91 (2007).) In several embodiments, the  $V_H$  and  $V_L$  combine to specifically bind the antigen. In additional embodiments, only the  $V_H$  is required. For example, naturally occurring camelid antibodies consisting of 25 a heavy chain only are functional and stable in the absence of light chain (see, *e.g.*, Hamers-Casterman *et al.*, *Nature*, 363:446-448, 1993; Sheriff *et al.*, *Nat. Struct. Biol.*, 3:733-736, 1996). Any of the disclosed antibodies can include a heterologous constant domain. For example the antibody can include constant domain that is different from a native constant domain, such as a constant domain including one or more modifications (such as the “LS” mutations) to increase half-life.

30 References to “ $V_H$ ” or “ $VH$ ” refer to the variable region of an antibody heavy chain, including that of an antigen binding fragment, such as Fv, scFv, dsFv or Fab. References to “ $V_L$ ” or “ $VL$ ” refer to the variable domain of an antibody light chain, including that of an Fv, scFv, dsFv or Fab.

The  $V_H$  and  $V_L$  contain a “framework” region interrupted by three hypervariable regions, also called “complementarity-determining regions” or “CDRs” (see, *e.g.*, Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, U.S. Department of Health and Human Services, 1991). The sequences of the framework regions of different light or heavy chains are relatively conserved within a species. The framework region of an antibody, that is the combined framework regions of the constituent light and heavy chains, serves to position and align the CDRs in three-dimensional space.

The CDRs are primarily responsible for binding to an epitope of an antigen. The amino acid sequence boundaries of a given CDR can be readily determined using any of a number of well-known schemes, including those described by Kabat *et al.* ("Sequences of Proteins of Immunological Interest," 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD, 1991; "Kabat" numbering scheme), Al-Lazikani *et al.*, (JMB 273,927-948, 1997; "Chothia" numbering scheme), and Lefranc *et al.* ("IMGT unique numbering for immunoglobulin and T cell receptor variable domains and Ig superfamily V-like domains," Dev. Comp. Immunol., 27:55-77, 2003; "IMGT" numbering scheme). The CDRs of each chain are typically referred to as CDR1, CDR2, and CDR3 (from the N-terminus to C-terminus), and are also typically identified by the chain in which the particular CDR is located. Thus, a V<sub>H</sub> CDR3 is 5 the CDR3 from the V<sub>H</sub> of the antibody in which it is found, whereas a V<sub>L</sub> CDR1 is the CDR1 from the V<sub>L</sub> of the antibody in which it is found. Light chain CDRs are sometimes referred to as LCDR1, LCDR2, and LCDR3. Heavy chain CDRs are sometimes referred to as HCDR1, HCDR2, and HCDR3.

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A "monoclonal antibody" is an antibody obtained from a population of substantially 15 homogeneous antibodies, that is, the individual antibodies comprising the population are identical and/or bind the same epitope, except for possible variant antibodies, for example, containing naturally occurring mutations or arising during production of a monoclonal antibody preparation, such variants generally being present in minor amounts. In contrast to polyclonal antibody preparations, which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody of a monoclonal antibody preparation is directed against a single determinant on an antigen. Thus, the 20 modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. For example, the monoclonal antibodies may be made by a variety of techniques, including but not limited to the hybridoma method, recombinant DNA methods, phage-display methods, and methods utilizing transgenic animals containing all or part of the human 25 immunoglobulin loci, such methods and other exemplary methods for making monoclonal antibodies being described herein. In some examples monoclonal antibodies are isolated from a subject. Monoclonal antibodies can have conservative amino acid substitutions which have substantially no effect on antigen binding or other immunoglobulin functions. (See, for example, Harlow & Lane, *Antibodies, A Laboratory Manual*, 2<sup>nd</sup> ed. Cold Spring Harbor Publications, New York (2013).)

30 A "humanized" antibody or antigen binding fragment includes a human framework region and one or more CDRs from a non-human (such as a mouse, rat, or synthetic) antibody or antigen binding fragment. The non-human antibody or antigen binding fragment providing the CDRs is termed a "donor," and the human antibody or antigen binding fragment providing the framework is termed an "acceptor." In one embodiment, all the CDRs are from the donor immunoglobulin in a humanized immunoglobulin. 35 Constant regions need not be present, but if they are, they can be substantially identical to human immunoglobulin constant regions, such as at least about 85-90%, such as about 95% or more identical. Hence, all parts of a humanized antibody or antigen binding fragment, except possibly the CDRs, are substantially identical to corresponding parts of natural human antibody sequences.

A “chimeric antibody” is an antibody which includes sequences derived from two different antibodies, which typically are of different species. In some examples, a chimeric antibody includes one or more CDRs and/or framework regions from one human antibody and CDRs and/or framework regions from another human antibody.

5 A “fully human antibody” or “human antibody” is an antibody which includes sequences from (or derived from) the human genome, and does not include sequence from another species. In some embodiments, a human antibody includes CDRs, framework regions, and (if present) an Fc region from (or derived from) the human genome. Human antibodies can be identified and isolated using technologies for creating antibodies based on sequences derived from the human genome, for example by 10 phage display or using transgenic animals (see, e.g., Barbas *et al. Phage display: A Laboratory Manual*. 1<sup>st</sup> Ed. New York: Cold Spring Harbor Laboratory Press, 2004. Print.; Lonberg, Nat. Biotech., 23: 1117-1125, 2005; Lonenberg, Curr. Opin. Immunol., 20:450-459, 2008).

15 **Antibody or antigen binding fragment that neutralizes HIV-1:** An antibody or antigen binding fragment that specifically binds to HIV-1 Env (for example, that binds gp120) in such a way as to inhibit a biological function associated with HIV-1 Env (such as binding to its target receptor). In several embodiments, an antibody or antigen binding fragment that neutralizes HIV-1 reduces the 20 infectious titer of HIV-1.

Broadly neutralizing antibodies to HIV-1 are distinct from other antibodies to HIV-1 in that they neutralize a high percentage of the many types of HIV-1 in circulation. In some embodiments, broadly 25 neutralizing antibodies to HIV-1 are distinct from other antibodies to HIV-1 in that they neutralize a high percentage (such as at least 90%, at least 95%, at least 96%, at least 97%, at least 98%, at least 99%) of the many types of HIV-1 in circulation. Non-limiting examples of HIV-1 broadly neutralizing antibodies include N6, 2G12, PGT122, VRC01, and 35O22.

30 **Antibody self-reactivity or autoreactivity:** A property of an antibody, whereby the antibody reacts with self-epitopes, which are epitopes of proteins and/or lipids that are produced by the subject. An antibody that does not have self-reactivity does not substantially bind to epitopes or lipids present on the membrane of a cell from a subject. Methods of determining if an antibody reacts with self epitopes are known to the person of ordinary skill in the art. In one example, antibody self reactivity is evaluated using HEp-2 cell staining, a cardiolipin binding assay, or an anti-nuclear antigen (ANA) assay. The anti-ANA assay can include an anti-ANA LUMINEX® assay or an ANA cell-staining assay, for example. In several embodiments, a disclosed antibody is not self-reactive (or autoreactive), or is minimally self-reactive. In one non-limiting example, a disclosed antibody does not have self reactivity above 35 background levels, for example, as measured using an anti-ANA LUMINEX® assay or an ANA cell-staining assay.

**35 Biological sample:** A sample obtained from a subject. Biological samples include all clinical samples useful for detection of disease or infection (for example, HIV-1 infection) in subjects, including, but not limited to, cells, tissues, and bodily fluids, such as blood, derivatives and fractions of blood (such as serum), cerebrospinal fluid; as well as biopsied or surgically removed tissue, for example tissues that

are unfixed, frozen, or fixed in formalin or paraffin. In a particular example, a biological sample is obtained from a subject having or suspected of having an HIV-1 infection.

**Bispecific antibody:** A recombinant molecule composed of two different antigen binding domains that consequently binds to two different antigenic epitopes. Bispecific antibodies include 5 chemically or genetically linked molecules of two antigen-binding domains. The antigen binding domains can be linked using a linker. The antigen binding domains can be monoclonal antibodies, antigen-binding fragments (e.g., Fab, scFv), or combinations thereof. A bispecific antibody can include one or more constant domains, but does not necessarily include a constant domain.

**CD3 (Cluster of differentiation 3 T-cell Co-receptor):** A specific protein complex including at 10 least four polypeptide chains, which are non-covalently associated with the T-cell receptors on the surface of T-cells. The four polypeptide chains include two CD3-epsilon chains, a CD3-delta chain and a CD3-gamma chain. CD3 is present on both helper T cells and cytotoxic T cells.

**CD4:** Cluster of differentiation factor 4 polypeptide; a T-cell surface protein that mediates 15 interaction with the MHC class II molecule. CD4 also serves as the primary receptor site for HIV-1 on T-cells during HIV-1 infection. CD4 is known to bind to gp120 from HIV-1. The known sequence of the CD4 precursor has a hydrophobic signal peptide, an extracellular region of approximately 370 amino acids, a highly hydrophobic stretch with significant identity to the membrane-spanning domain of the class II MHC beta chain, and a highly charged intracellular sequence of 40 residues (Maddon, *Cell* 42:93, 1985).

**CD4bs antibodies:** Antibodies that bind to or substantially overlap the CD4 binding surface of a 20 gp120 polypeptide. The antibodies interfere with or prevent CD4 from binding to a gp120 polypeptide.

**Chimeric Antigen Receptor (CAR):** An engineered T cell receptor having an extracellular 25 antibody-derived targeting domain (such as an scFv) joined to one or more intracellular signaling domains of a T cell receptor. A “**chimeric antigen receptor T cell**” is a T cell expressing a CAR, and has antigen specificity determined by the antibody-derived targeting domain of the CAR. Methods of making CARs are available (see, e.g., Park *et al.*, *Trends Biotechnol.*, 29:550-557, 2011; Grupp *et al.*, *N Engl J Med.*, 368:1509-1518, 2013; Han *et al.*, *J. Hematol Oncol.*, 6:47, 2013; PCT Pubs. 30 WO2012/079000, WO2013/059593; and U.S. Pub. 2012/0213783, each of which is incorporated by reference herein in its entirety.)

**Conditions sufficient to form an immune complex:** Conditions which allow an antibody or antigen binding fragment to bind to its cognate epitope to a detectably greater degree than, and/or to the substantial exclusion of, binding to substantially all other epitopes. Conditions sufficient to form an immune complex are dependent upon the format of the binding reaction and typically are those utilized in immunoassay protocols or those conditions encountered *in vivo*. See Harlow & Lane, *Antibodies, A Laboratory Manual*, 2<sup>nd</sup> ed. Cold Spring Harbor Publications, New York (2013), for a description of immunoassay formats and conditions. The conditions employed in the methods are “physiological conditions” which include reference to conditions (e.g., temperature, osmolarity, pH) that are typical inside a living mammal or a mammalian cell. While it is recognized that some organs are subject to 35

extreme conditions, the intra-organismal and intracellular environment normally lies around pH 7 (e.g., from pH 6.0 to pH 8.0, more typically pH 6.5 to 7.5), contains water as the predominant solvent, and exists at a temperature above 0°C and below 50°C. Osmolarity is within the range that is supportive of cell viability and proliferation.

5 The formation of an immune complex can be detected through conventional methods known to the skilled artisan, for instance immunohistochemistry, immunoprecipitation, flow cytometry, immunofluorescence microscopy, ELISA, immunoblotting (for example, Western blot), magnetic resonance imaging, CT scans, X-ray and affinity chromatography. Immunological binding properties of selected antibodies may be quantified using methods well known in the art.

10 **Conjugate:** A complex of two molecules linked together, for example, linked together by a covalent bond. In one embodiment, an antibody is linked to an effector molecule; for example, an antibody that specifically binds to HIV-1 gp120 covalently linked to an effector molecule. The linkage can be by chemical or recombinant means. In one embodiment, the linkage is chemical, wherein a reaction between the antibody moiety and the effector molecule has produced a covalent bond formed 15 between the two molecules to form one molecule. A peptide linker (short peptide sequence) can optionally be included between the antibody and the effector molecule. Because conjugates can be prepared from two molecules with separate functionalities, such as an antibody and an effector molecule, they are also sometimes referred to as “chimeric molecules.”

20 **Conservative variants:** “Conservative” amino acid substitutions are those substitutions that do not substantially affect or decrease a function of a protein, such as the ability of the protein to interact with a target protein. For example, an HIV-specific antibody can include up to 1, 2, 3, 4, 5, 6, 7, 8, 9, or up to 10 conservative substitutions compared to a reference antibody sequence and retain specific binding activity for HIV-1 antigen, and/or HIV-1 neutralization activity. The term conservative variation also includes the use of a substituted amino acid in place of an unsubstituted parent amino acid.

25 Furthermore, one of ordinary skill will recognize that individual substitutions, deletions or additions which alter, add or delete a single amino acid or a small percentage of amino acids (for instance less than 5%, in some embodiments less than 1%) in an encoded sequence are conservative variations where the alterations result in the substitution of an amino acid with a chemically similar amino acid.

30 Conservative amino acid substitution tables providing functionally similar amino acids are well known to one of ordinary skill in the art. The following six groups are examples of amino acids that are considered to be conservative substitutions for one another:

- 35 1) Alanine (A), Serine (S), Threonine (T);
- 2) Aspartic acid (D), Glutamic acid (E);
- 3) Asparagine (N), Glutamine (Q);
- 4) Arginine (R), Lysine (K);
- 5) Isoleucine (I), Leucine (L), Methionine (M), Valine (V); and
- 6) Phenylalanine (F), Tyrosine (Y), Tryptophan (W).

Non-conservative substitutions are those that reduce an activity or function of the HIV-specific antibody, such as the ability to specifically bind to gp120. For instance, if an amino acid residue is essential for a function of the protein, even an otherwise conservative substitution may disrupt that activity. Thus, a conservative substitution does not alter the basic function of a protein of interest.

5 **Contacting:** Placement in direct physical association; includes both in solid and liquid form, which can take place either *in vivo* or *in vitro*. Contacting includes contact between one molecule and another molecule, for example the amino acid on the surface of one polypeptide, such as an antigen, that contacts another polypeptide, such as an antibody. Contacting can also include contacting a cell for example by placing an antibody in direct physical association with a cell.

10 **Control:** A reference standard. In some embodiments, the control is a negative control, such as sample obtained from a healthy patient not infected with HIV-1. In other embodiments, the control is a positive control, such as a tissue sample obtained from a patient diagnosed with HIV-1 infection. In still other embodiments, the control is a historical control or standard reference value or range of values (such as a previously tested control sample, such as a group of HIV-1 patients with known prognosis or

15 outcome, or group of samples that represent baseline or normal values).

A difference between a test sample and a control can be an increase or conversely a decrease. The difference can be a qualitative difference or a quantitative difference, for example a statistically significant difference. In some examples, a difference is an increase or decrease, relative to a control, of at least about 5%, such as at least about 10%, at least about 20%, at least about 30%, at least about 40%, 20 at least about 50%, at least about 60%, at least about 70%, at least about 80%, at least about 90%, at least about 100%, at least about 150%, at least about 200%, at least about 250%, at least about 300%, at least about 350%, at least about 400%, or at least about 500%.

25 **Degenerate variant:** In the context of the present disclosure, a “degenerate variant” refers to a polynucleotide encoding a protein (for example, an antibody or variable region thereof that specifically binds gp120) that includes a sequence that is degenerate as a result of the genetic code. There are twenty natural amino acids, most of which are specified by more than one codon. Therefore, all degenerate nucleotide sequences are included as long as the amino acid sequence of the antibody that binds gp120 encoded by the nucleotide sequence is unchanged.

30 **Detectable marker:** A detectable molecule (also known as a label) that is conjugated directly or indirectly to a second molecule, such as an antibody, to facilitate detection of the second molecule. For example, the detectable marker can be capable of detection by ELISA, spectrophotometry, flow cytometry, microscopy or diagnostic imaging techniques (such as CT scans, MRIs, ultrasound, fiberoptic examination, and laparoscopic examination). Specific, non-limiting examples of detectable markers include fluorophores, chemiluminescent agents, enzymatic linkages, radioactive isotopes and heavy 35 metals or compounds (for example super paramagnetic iron oxide nanocrystals for detection by MRI). In one example, a “labeled antibody” refers to incorporation of another molecule in the antibody. For example, the label is a detectable marker, such as the incorporation of a radiolabeled amino acid or attachment to a polypeptide of biotinyl moieties that can be detected by marked avidin (for example,

streptavidin containing a fluorescent marker or enzymatic activity that can be detected by optical or colorimetric methods). Various methods of labeling polypeptides and glycoproteins are known in the art and may be used. Examples of labels for polypeptides include, but are not limited to, the following: radioisotopes or radionuclides (such as <sup>35</sup>S or <sup>131</sup>I), fluorescent labels (such as fluorescein isothiocyanate (FITC), rhodamine, lanthanide phosphors), enzymatic labels (such as horseradish peroxidase, beta-galactosidase, luciferase, alkaline phosphatase), chemiluminescent markers, biotinyl groups, predetermined polypeptide epitopes recognized by a secondary reporter (such as a leucine zipper pair sequences, binding sites for secondary antibodies, metal binding domains, epitope tags), or magnetic agents, such as gadolinium chelates. In some embodiments, labels are attached by spacer arms of various lengths to reduce potential steric hindrance. Methods for using detectable markers and guidance in the choice of detectable markers appropriate for various purposes are discussed for example in Sambrook *et al.* (Molecular Cloning: A Laboratory Manual, 4<sup>th</sup> ed, Cold Spring Harbor, New York, 2012) and Ausubel *et al.* (In Current Protocols in Molecular Biology, John Wiley & Sons, New York, through supplement 104, 2013).

**15 Detecting:** To identify the existence, presence, or fact of something. General methods of detecting are known to the skilled artisan and may be supplemented with the protocols and reagents disclosed herein. For example, included herein are methods of detecting a cell that expresses gp120 in a subject.

**20 Effector molecule:** A molecule intended to have or produce a desired effect; for example, a desired effect on a cell to which the effector molecule is targeted. Effector molecules can include, for example, polypeptides and small molecules. In one non-limiting example, the effector molecule is a toxin. The skilled artisan will understand that some effector molecules may have or produce more than one desired effect.

**25 Epitope:** An antigenic determinant. These are particular chemical groups or peptide sequences on a molecule that are antigenic, *i.e.* that elicit a specific immune response. An antibody specifically binds a particular antigenic epitope on a polypeptide. In some examples a disclosed antibody specifically binds to an epitope on gp120.

**30 Expression:** Transcription or translation of a nucleic acid sequence. For example, an encoding nucleic acid sequence (such as a gene) can be expressed when its DNA is transcribed into an RNA or RNA fragment, which in some examples is processed to become mRNA. An encoding nucleic acid sequence (such as a gene) may also be expressed when its mRNA is translated into an amino acid sequence, such as a protein or a protein fragment. In a particular example, a heterologous gene is expressed when it is transcribed into an RNA. In another example, a heterologous gene is expressed when its RNA is translated into an amino acid sequence. Regulation of expression can include controls on transcription, translation, RNA transport and processing, degradation of intermediary molecules such as mRNA, or through activation, inactivation, compartmentalization or degradation of specific protein molecules after they are produced.

**Expression Control Sequences:** Nucleic acid sequences that regulate the expression of a heterologous nucleic acid sequence to which it is operatively linked. Expression control sequences are operatively linked to a nucleic acid sequence when the expression control sequences control and regulate the transcription and, as appropriate, translation of the nucleic acid sequence. Thus expression control sequences can include appropriate promoters, enhancers, transcription terminators, a start codon (ATG) in front of a protein-encoding gene, splicing signal for introns, maintenance of the correct reading frame of that gene to permit proper translation of mRNA, and stop codons. The term “control sequences” is intended to include, at a minimum, components whose presence can influence expression, and can also include additional components whose presence is advantageous, for example, leader sequences and fusion partner sequences. Expression control sequences can include a promoter.

A promoter is a minimal sequence sufficient to direct transcription. Also included are those promoter elements which are sufficient to render promoter-dependent gene expression controllable for cell-type specific, tissue-specific, or inducible by external signals or agents; such elements may be located in the 5' or 3' regions of the gene. Both constitutive and inducible promoters are included (see for example, Bitter *et al.*, *Methods in Enzymology* 153:516-544, 1987). For example, when cloning in bacterial systems, inducible promoters such as pL of bacteriophage lambda, plac, ptrp, ptac (ptrp-lac hybrid promoter) and the like may be used. In one embodiment, when cloning in mammalian cell systems, promoters derived from the genome of mammalian cells (such as metallothionein promoter) or from mammalian viruses (such as the retrovirus long terminal repeat; the adenovirus late promoter; the vaccinia virus 7.5K promoter) can be used. Promoters produced by recombinant DNA or synthetic techniques may also be used to provide for transcription of the nucleic acid sequences.

A polynucleotide can be inserted into an expression vector that contains a promoter sequence which facilitates the efficient transcription of the inserted genetic sequence of the host. The expression vector typically contains an origin of replication, a promoter, as well as specific nucleic acid sequences that allow phenotypic selection of the transformed cells.

**Expression vector:** A vector comprising a recombinant polynucleotide comprising expression control sequences operatively linked to a nucleotide sequence to be expressed. An expression vector comprises sufficient cis- acting elements for expression; other elements for expression can be supplied by the host cell or in an in vitro expression system. Expression vectors include all those known in the art, such as cosmids, plasmids (*e.g.*, naked or contained in liposomes) and viruses (*e.g.*, lentiviruses, retroviruses, adenoviruses, and adeno-associated viruses) that incorporate the recombinant polynucleotide.

**Fc polypeptide:** The polypeptide including the constant region of an antibody excluding the first constant region immunoglobulin domain. Fc region generally refers to the last two constant region immunoglobulin domains of IgA, IgD, and IgG, and the last three constant region immunoglobulin domains of IgE and IgM. An Fc region may also include part or all of the flexible hinge N-terminal to these domains. For IgA and IgM, an Fc region may or may not include the tailpiece, and may or may not be bound by the J chain. For IgG, the Fc region includes immunoglobulin domains Cgamma2 and

Cgamma3 (C $\gamma$ 2 and C $\gamma$ 3) and the lower part of the hinge between Cgamma1 (C $\gamma$ 1) and C $\gamma$ 2. Although the boundaries of the Fc region may vary, the human IgG heavy chain Fc region is usually defined to include residues C226 or P230 to its carboxyl-terminus, wherein the numbering is according to the EU index as in Kabat. For IgA, the Fc region includes immunoglobulin domains Calpha2 and Calpha3 (C $\alpha$ 2 and C $\alpha$ 3) and the lower part of the hinge between Calpha1 (C $\alpha$ 1) and C $\alpha$ 2.

5 **HIV-1 Envelope protein (Env):** The HIV-1 envelope protein is initially synthesized as a precursor protein of 845-870 amino acids in size, designated gp160. Individual gp160 polypeptides form a homotrimer and undergo glycosylation within the Golgi apparatus as well as processing to remove the signal peptide, and cleavage by a cellular protease between approximately positions 511/512 to generate 10 separate gp120 and gp41 polypeptide chains, which remain associated as gp120/gp41 protomers within the homotrimer. The ectodomain (that is, the extracellular portion) of the HIV-1 Env trimer undergoes several structural rearrangements from a prefusion mature (cleaved) closed conformation that evades antibody recognition, through intermediate conformations that bind to receptors CD4 and co-receptor (either CCR5 or CXCR4), to a postfusion conformation.

15 The numbering used in the disclosed HIV-1 Env proteins and fragments thereof is relative to the HXB2 numbering scheme as set forth in *Numbering Positions in HIV Relative to HXB2CG* Bette Korber *et al.*, Human Retroviruses and AIDS 1998: A Compilation and Analysis of Nucleic Acid and Amino Acid Sequences. Korber *et al.*, Eds. Theoretical Biology and Biophysics Group, Los Alamos National Laboratory, Los Alamos, NM, which is incorporated by reference herein in its entirety.

20 **HIV-1 gp120:** A polypeptide that is part of the HIV-1 Env protein. Mature gp120 includes approximately HIV-1 Env residues 31-511, contains most of the external, surface-exposed, domains of the HIV-1 Env trimer, and it is gp120 which binds both to cellular CD4 receptors and to cellular chemokine receptors (such as CCR5). A mature gp120 polypeptide is an extracellular polypeptide that interacts with the gp41 ectodomain to form an HIV-1 Env protomer that trimerizes to form the HIV-1 25 Env trimer.

The gp120 polypeptide is heavily N-glycosylated giving rise to an apparent molecular weight of 120 kD. gp120 is comprised of five conserved regions (C1-C5) and five regions of high variability (V1-V5). The gp120 core has a unique molecular structure, which comprises two domains: an “inner” domain (which faces gp41) and an “outer” domain (which is mostly exposed on the surface of the 30 oligomeric envelope glycoprotein complex). The two gp120 domains are separated by a “bridging sheet” that is not part of either of these domains.

The binding site for the CD4 receptor on gp120 spans the outer domain and bridging sheet regions of gp120. On the outer domain, the recognition surface involves a number of discontinuous segments, which include the CD4-binding loop, the base of the V5 loop, Loop D, and the outer domain-entry and -exit loops. Several glycosylation sites are located near the CD4 binding site on gp120, including the N276 glycosylation site.

**HIV-1 gp140:** A recombinant HIV-1 Env polypeptide including gp120 and the gp41 ectodomain, but not the gp41 transmembrane or cytosolic domains. HIV-1 gp140 polypeptides can trimerize to form a soluble HIV-1 Env ectodomain trimer.

**HIV-1 gp41:** A polypeptide that is part of the HIV-1 Env protein. Mature gp41 includes approximately HIV-1 Env residues 512-860, and includes cytosolic-, transmembrane-, and ecto-domains. The gp41 ectodomain (including approximately HIV-1 Env residues 512-644) can interact with gp120 to form an HIV-1 Env protomer that trimerizes to form the HIV-1 Env trimer.

**Human Immunodeficiency Virus type 1 (HIV-1):** A retrovirus that causes immunosuppression in humans (HIV-1 disease), and leads to a disease complex known as the acquired immunodeficiency syndrome (AIDS). “HIV-1 disease” refers to a well-recognized constellation of signs and symptoms (including the development of opportunistic infections) in persons who are infected by HIV-1, as determined by antibody or western blot studies. Laboratory findings associated with this disease include a progressive decline in T cells. Related viruses that are used as animal models include simian immunodeficiency virus (SIV) and feline immunodeficiency virus (FIV). Treatment of HIV-1 with HAART has been effective in reducing the viral burden and ameliorating the effects of HIV-1 infection in infected individuals.

**HXB2 numbering system:** A reference numbering system for HIV-1 protein and nucleic acid sequences, using HIV-1 HXB2 strain sequences as a reference for all other HIV-1 strain sequences. The person of ordinary skill in the art is familiar with the HXB2 numbering system, and this system is set forth in “Numbering Positions in HIV Relative to HXB2CG,” Bette Korber *et al.*, Human Retroviruses and AIDS 1998: A Compilation and Analysis of Nucleic Acid and Amino Acid Sequences. Korber B, Kuiken CL, Foley B, Hahn B, McCutchan F, Mellors JW, and Sodroski J, Eds. Theoretical Biology and Biophysics Group, Los Alamos National Laboratory, Los Alamos, NM, which is incorporated by reference herein in its entirety. HXB2 is also known as: HXBc2, for HXB clone 2; HXB2R, in the Los Alamos HIV database, with the R for revised, as it was slightly revised relative to the original HXB2 sequence; and HXB2CG in GENBANK™, for HXB2 complete genome. The numbering used in gp120 polypeptides disclosed herein is relative to the HXB2 numbering scheme. For reference, the amino acid sequence of HIV-1 Env of *HXB2* is set forth below:

MRVKEKYQHLWRWGRWGTMLLGMLMICSATEKLWVTYYGVPVWKEATTILFCASDAKAYDTEVHNWATHACVPT  
30 DPNPQEVLVNVNTENFMWKNDMVEQMHEIDIISLWDQSLKPCVKLTPLCVSLKCTDLKNDTNTNSSGRMIMEKGEI  
KNCSFNISTSIRGKVQKEYAFFYKLDIIPIDNDTTSYKLTSCNTSVITQACPKVSFEPPIHYCAPAGFAILKCNNK  
TFNGTGPCTNVSTVQCTH GIRPVVSTQLLNGSLAEEEVIRSVNFTDNAKTIIVQLNTSVEINCTRPNNNTRKRIR  
IQRGPGRAFVTIGKIGNMRQAHCNISRACKNNTLQKQIAASKLREQFGNNKTIIFKQSSGGDPEIVTHSFNCGGEFFYC  
NSTQLFNSTWFNSTWSTEGLSNTTEGSDTITLPCRIKQIINMWQKVGKAMYAPPISGQIRCSSNITGLLTRDGGNSN  
35 NESEIFRPGGGDMRDNRSELYKYKVVKIEPLGVAPTKAKRQVVQREKRAVGIGALFLGFLGAAGSTMGAASMTLTV  
QARQLLSGIVQQQNLLRAIEAQQHLLQLTVWGIQLQARILAVERYLKDQQLLGIWGCSGKILCTAVPWNASWSN  
KSLEQIWNHTTMEWDREINNYTSIHSLLIESQNQQEKNEQELLELDKWAISLWNWFNITNWLYIKLFIMIVGGLV  
GLRIVFAVLSIVNVRQGYSPLSFQTHLPTPRGPDRPEGIEEEGGERDRDRSIRLNVNGSLALIWDDLRLSCLFSYHR  
40 LRDLLIVTRIVELLGRRGWEALKYWWNLLQYWSQELKNSAVSLNATAIAVAEGTDRVIEVVQGACRAIRHIPRI  
RQGLERILL (SEQ ID NO: 35; GENBANK® Accession No. K03455, incorporated by reference herein as present in the database on May 4, 2015).

5 **IgA:** A polypeptide belonging to the class of antibodies that are substantially encoded by a recognized immunoglobulin alpha gene. In humans, this class or isotype comprises IgA<sub>1</sub> and IgA<sub>2</sub>. IgA antibodies can exist as monomers, polymers (referred to as pIgA) of predominantly dimeric form, and secretory IgA. The constant chain of wild-type IgA contains an 18-amino-acid extension at its C- terminus called the tail piece (tp). Polymeric IgA is secreted by plasma cells with a 15-kDa peptide called the J chain linking two monomers of IgA through the conserved cysteine residue in the tail piece.

10 **IgG:** A polypeptide belonging to the class or isotype of antibodies that are substantially encoded by a recognized immunoglobulin gamma gene. In humans, this class comprises IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub>, and IgG<sub>4</sub>. In mice, this class comprises IgG<sub>1</sub>, IgG<sub>2a</sub>, IgG<sub>2b</sub>, IgG<sub>3</sub>.

15 **Immune complex:** The binding of antibody or antigen binding fragment (such as a scFv) to a soluble antigen forms an immune complex. The formation of an immune complex can be detected through conventional methods known to the skilled artisan, for instance immunohistochemistry, immunoprecipitation, flow cytometry, immunofluorescence microscopy, ELISA, immunoblotting (for example, Western blot), magnetic resonance imaging, CT scans, X-ray and affinity chromatography.

20 Immunological binding properties of selected antibodies may be quantified using methods well known in the art.

25 **Isolated:** A biological component (such as a nucleic acid, peptide, protein or protein complex, for example an antibody) that has been substantially separated, produced apart from, or purified away from other biological components in the cell of the organism in which the component naturally occurs, that is, other chromosomal and extra-chromosomal DNA and RNA, and proteins. Thus, isolated nucleic acids, peptides and proteins include nucleic acids and proteins purified by standard purification methods. The term also embraces nucleic acids, peptides and proteins prepared by recombinant expression in a host cell, as well as, chemically synthesized nucleic acids. A isolated nucleic acid, peptide or protein, for example an antibody, can be at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 96%, at least 97%, at least 98%, or at least 99% pure.

30 **Linker:** A bi-functional molecule that can be used to link two molecules into one contiguous molecule, for example, to link an effector molecule to an antibody. In some embodiments, the provided conjugates include a linker between the effector molecule or detectable marker and an antibody. In some cases, a linker is a peptide within an antigen binding fragment (such as an Fv fragment) which serves to indirectly bond the V<sub>H</sub> and V<sub>L</sub>. Non-limiting examples of peptide linkers include a (GGGGS; residues 1-5, SEQ ID NO: 25)<sub>1</sub> linker, a (GGGGS; residues 1-5, SEQ ID NO: 25)<sub>2</sub> linker, or a (GGGGS; residues 1-5, SEQ ID NO: 25)<sub>3</sub> linker.

35 The terms “conjugating,” “joining,” “bonding,” or “linking” can refer to making two molecules into one contiguous molecule; for example, linking two polypeptides into one contiguous polypeptide, or covalently attaching an effector molecule or detectable marker radionuclide or other molecule to a polypeptide, such as an scFv. In the specific context, the terms include reference to joining a ligand, such as an antibody moiety, to an effector molecule. The linkage can be either by chemical or recombinant

means. "Chemical means" refers to a reaction between the antibody moiety and the effector molecule such that there is a covalent bond formed between the two molecules to form one molecule.

**Nucleic acid:** A polymer composed of nucleotide units (ribonucleotides, deoxyribonucleotides, related naturally occurring structural variants, and synthetic non-naturally occurring analogs thereof) linked via phosphodiester bonds, related naturally occurring structural variants, and synthetic non-naturally occurring analogs thereof. Thus, the term includes nucleotide polymers in which the nucleotides and the linkages between them include non-naturally occurring synthetic analogs, such as, for example and without limitation, phosphorothioates, phosphoramidates, methyl phosphonates, chiral-methyl phosphonates, 2-O-methyl ribonucleotides, peptide-nucleic acids (PNAs), and the like. Such polynucleotides can be synthesized, for example, using an automated DNA synthesizer. The term "oligonucleotide" typically refers to short polynucleotides, generally no greater than about 50 nucleotides. It will be understood that when a nucleotide sequence is represented by a DNA sequence (i.e., A, T, G, C), this also includes an RNA sequence (i.e., A, U, G, C) in which "U" replaces "T."

Conventional notation is used herein to describe nucleotide sequences: the left-hand end of a single-stranded nucleotide sequence is the 5'-end; the left-hand direction of a double-stranded nucleotide sequence is referred to as the 5'-direction. The direction of 5' to 3' addition of nucleotides to nascent RNA transcripts is referred to as the transcription direction. The DNA strand having the same sequence as an mRNA is referred to as the "coding strand;" sequences on the DNA strand having the same sequence as an mRNA transcribed from that DNA and which are located 5' to the 5'-end of the RNA transcript are referred to as "upstream sequences;" sequences on the DNA strand having the same sequence as the RNA and which are 3' to the 3' end of the coding RNA transcript are referred to as "downstream sequences."

"cDNA" refers to a DNA that is complementary or identical to an mRNA, in either single stranded or double stranded form.

"Encoding" refers to the inherent property of specific sequences of nucleotides in a polynucleotide, such as a gene, a cDNA, or an mRNA, to serve as templates for synthesis of other polymers and macromolecules in biological processes having either a defined sequence of nucleotides (i.e., rRNA, tRNA and mRNA) or a defined sequence of amino acids and the biological properties resulting therefrom. Thus, a gene encodes a protein if transcription and translation of mRNA produced by that gene produces the protein in a cell or other biological system. Both the coding strand, the nucleotide sequence of which is identical to the mRNA sequence and is usually provided in sequence listings, and non-coding strand, used as the template for transcription, of a gene or cDNA can be referred to as encoding the protein or other product of that gene or cDNA. Unless otherwise specified, a "nucleotide sequence encoding an amino acid sequence" includes all nucleotide sequences that are degenerate versions of each other and that encode the same amino acid sequence. Nucleotide sequences that encode proteins and RNA may include introns.

The nucleotides can be ribonucleotides, deoxyribonucleotides, or modified forms of either nucleotide. The term includes single- and double- stranded forms of DNA.

**Operably linked:** A first nucleic acid sequence is operably linked with a second nucleic acid sequence when the first nucleic acid sequence is placed in a functional relationship with the second nucleic acid sequence. For instance, a promoter, such as the CMV promoter, is operably linked to a coding sequence if the promoter affects the transcription or expression of the coding sequence.

5 Generally, operably linked DNA sequences are contiguous and, where necessary to join two protein-coding regions, in the same reading frame.

**Pharmaceutically acceptable carriers:** The pharmaceutically acceptable carriers of use are conventional. *Remington's Pharmaceutical Science*, 22th ed., Pharmaceutical Press, London, UK (2012), describes compositions and formulations suitable for pharmaceutical delivery of the disclosed agents.

10 In general, the nature of the carrier will depend on the particular mode of administration being employed. For instance, parenteral formulations usually include injectable fluids that include pharmaceutically and physiologically acceptable fluids such as water, physiological saline, balanced salt solutions, aqueous dextrose, glycerol or the like as a vehicle. For solid compositions (e.g., powder, pill, tablet, or capsule forms), conventional non-toxic solid carriers can include, for example, pharmaceutical grades of mannitol, lactose, starch, or magnesium stearate. In addition to biologically neutral carriers, pharmaceutical compositions to be administered can contain minor amounts of non-toxic auxiliary substances, such as wetting or emulsifying agents, added preservatives (such as non-natural preservatives), and pH buffering agents and the like, for example sodium acetate or sorbitan monolaurate. In particular examples, the pharmaceutically acceptable carrier is sterile and suitable for parenteral administration to a subject for example, by injection. In some embodiments, the active agent and pharmaceutically acceptable carrier are provided in a unit dosage form such as a pill or in a selected quantity in a vial. Unit dosage forms can include one dosage or multiple dosages (for example, in a vial from which metered dosages of the agents can selectively be dispensed).

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25 Polypeptide: A polymer in which the monomers are amino acid residues that are joined together through amide bonds. When the amino acids are alpha-amino acids, either the L-optical isomer or the D-optical isomer can be used, the L-isomers being preferred. The terms "polypeptide" or "protein" as used herein are intended to encompass any amino acid sequence and include modified sequences such as glycoproteins. A polypeptide includes both naturally occurring proteins, as well as those that are recombinantly or synthetically produced. A polypeptide has an amino terminal (N-terminal) end and a carboxy-terminal end. In some embodiments, the polypeptide is a disclosed antibody or a fragment thereof.

30

35 Polypeptide modifications: polypeptides can be modified by a variety of chemical techniques to produce derivatives having essentially the same activity and conformation as the unmodified peptides, and optionally having other desirable properties. For example, carboxylic acid groups of the protein, whether carboxyl-terminal or side chain, may be provided in the form of a salt of a pharmaceutically-acceptable cation or esterified to form a C<sub>1</sub>-C<sub>16</sub> ester, or converted to an amide of formula NR<sub>1</sub>R<sub>2</sub> wherein R<sub>1</sub> and R<sub>2</sub> are each independently H or C<sub>1</sub>-C<sub>16</sub> alkyl, or combined to form a heterocyclic ring, such as a 5- or 6- membered ring. Amino groups of the peptide, whether amino-terminal or side chain, may be in the

form of a pharmaceutically-acceptable acid addition salt, such as the HCl, HBr, acetic, benzoic, toluene sulfonic, maleic, tartaric and other organic salts, or may be modified to C<sub>1</sub>-C<sub>16</sub> alkyl or dialkyl amino or further converted to an amide.

Hydroxyl groups of the peptide side chains can be converted to C<sub>1</sub>-C<sub>16</sub> alkoxy or to a C<sub>1</sub>-C<sub>16</sub> ester using well-recognized techniques. Phenyl and phenolic rings of the peptide side chains can be substituted with one or more halogen atoms, such as F, Cl, Br or I, or with C<sub>1</sub>-C<sub>16</sub> alkyl, C<sub>1</sub>-C<sub>16</sub> alkoxy, carboxylic acids and esters thereof, or amides of such carboxylic acids. Methylene groups of the peptide side chains can be extended to homologous C<sub>2</sub>-C<sub>4</sub> alkynes. Thiols can be protected with any one of a number of well-recognized protecting groups, such as acetamide groups.

**10 Recombinant:** A recombinant nucleic acid is one that has a sequence that is not naturally occurring or has a sequence that is made by an artificial combination of two otherwise separated segments of sequence. This artificial combination can be accomplished by chemical synthesis or, more commonly, by the artificial manipulation of isolated segments of nucleic acids, for example, by genetic engineering techniques. A recombinant protein is one that has a sequence that is not naturally occurring or has a sequence that is made by an artificial combination of two otherwise separated segments of sequence. In several embodiments, a recombinant protein is encoded by a heterologous (for example, recombinant) nucleic acid that has been introduced into a host cell, such as a bacterial or eukaryotic cell. The nucleic acid can be introduced, for example, on an expression vector having signals capable of expressing the protein encoded by the introduced nucleic acid or the nucleic acid can be integrated into the host cell chromosome.

**25 Sequence identity:** The similarity between amino acid sequences is expressed in terms of the similarity between the sequences, otherwise referred to as sequence identity. Sequence identity is frequently measured in terms of percentage identity (or similarity or homology); the higher the percentage, the more similar the two sequences are. Homologs or variants of a polypeptide will possess a relatively high degree of sequence identity when aligned using standard methods.

Methods of alignment of sequences for comparison are well known in the art. Various programs and alignment algorithms are described in: Smith and Waterman, *Adv. Appl. Math.* 2:482, 1981; Needleman and Wunsch, *J. Mol. Biol.* 48:443, 1970; Pearson and Lipman, *Proc. Natl. Acad. Sci. U.S.A.* 85:2444, 1988; Higgins and Sharp, *Gene* 73:237, 1988; Higgins and Sharp, *CABIOS* 5:151, 1989; Corpet *et al.*, *Nucleic Acids Research* 16:10881, 1988; and Pearson and Lipman, *Proc. Natl. Acad. Sci. U.S.A.* 85:2444, 1988. Altschul *et al.*, *Nature Genet.* 6:119, 1994, presents a detailed consideration of sequence alignment methods and homology calculations.

35 The NCBI Basic Local Alignment Search Tool (BLAST) (Altschul *et al.*, *J. Mol. Biol.* 215:403, 1990) is available from several sources, including the National Center for Biotechnology Information (NCBI, Bethesda, MD) and on the internet, for use in connection with the sequence analysis programs blastp, blastn, blastx, tblastn and tblastx. A description of how to determine sequence identity using this program is available on the NCBI website on the internet.

Homologs and variants of a  $V_L$  or a  $V_H$  of an antibody that specifically binds a polypeptide are typically characterized by possession of at least about 75%, for example at least about 80%, 85%, 90%, 91%, 92%, 93%, 94%, 95%, 96%, 97%, 98% or 99% sequence identity counted over the full length alignment with the amino acid sequence of interest. Proteins with even greater similarity to the reference sequences will show increasing percentage identities when assessed by this method, such as at least 80%, at least 85%, at least 90%, at least 95%, at least 98%, or at least 99% sequence identity. When less than the entire sequence is being compared for sequence identity, homologs and variants will typically possess at least 80% sequence identity over short windows of 10-20 amino acids, and may possess sequence identities of at least 85% or at least 90% or 95% depending on their similarity to the reference sequence.

5 Methods for determining sequence identity over such short windows are available at the NCBI website on the internet. One of skill in the art will appreciate that these sequence identity ranges are provided for guidance only; it is entirely possible that strongly significant homologs could be obtained that fall outside of the ranges provided.

10

Terms used to describe sequence relationships between two or more nucleotide sequences or amino acid sequences include “reference sequence,” “selected from,” “comparison window,” “identical,” “percentage of sequence identity,” “substantially identical,” “complementary,” and “substantially complementary.”

For sequence comparison of nucleic acid sequences, typically one sequence acts as a reference sequence, to which test sequences are compared. When using a sequence comparison algorithm, test and reference sequences are entered into a computer, subsequence coordinates are designated, if necessary, and sequence algorithm program parameters are designated. Default program parameters are used. Methods of alignment of sequences for comparison are well known in the art. Optimal alignment of sequences for comparison can be conducted, *e.g.*, by the local homology algorithm of Smith & Waterman, *Adv. Appl. Math.* 2:482, 1981, by the homology alignment algorithm of Needleman & Wunsch, *J. Mol. Biol.* 48:443, 1970, by the search for similarity method of Pearson & Lipman, *Proc. Nat'l. Acad. Sci. USA* 85:2444, 1988, by computerized implementations of these algorithms (GAP, BESTFIT, FASTA, and TFASTA in the Wisconsin Genetics Software Package, Genetics Computer Group, 575 Science Dr., Madison, WI), or by manual alignment and visual inspection (see, *e.g.*, Sambrook *et al.* (*Molecular Cloning: A Laboratory Manual*, 4<sup>th</sup> ed, Cold Spring Harbor, New York, 2012) and Ausubel *et al.* (*In Current Protocols in Molecular Biology*, John Wiley & Sons, New York, through supplement 104, 2013)). One example of a useful algorithm is PILEUP. PILEUP uses a simplification of the progressive alignment method of Feng & Doolittle, *J. Mol. Evol.* 35:351-360, 1987. The method used is similar to the method described by Higgins & Sharp, *CABIOS* 5:151-153, 1989. Using PILEUP, a reference sequence is compared to other test sequences to determine the percent sequence identity relationship using the following parameters: default gap weight (3.00), default gap length weight (0.10), and weighted end gaps. PILEUP can be obtained from the GCG sequence analysis software package, *e.g.*, version 7.0 (Devereaux *et al.*, *Nuc. Acids Res.* 12:387-395, 1984).

Another example of algorithms that are suitable for determining percent sequence identity and sequence similarity are the BLAST and the BLAST 2.0 algorithm, which are described in Altschul *et al.*, *J. Mol. Biol.* 215:403-410, 1990 and Altschul *et al.*, *Nucleic Acids Res.* 25:3389-3402, 1997. Software for performing BLAST analyses is publicly available through the National Center for Biotechnology Information (ncbi.nlm.nih.gov). The BLASTN program (for nucleotide sequences) uses as defaults a word length (W) of 11, alignments (B) of 50, expectation (E) of 10, M=5, N=-4, and a comparison of both strands. The BLASTP program (for amino acid sequences) uses as defaults a word length (W) of 3, and expectation (E) of 10, and the BLOSUM62 scoring matrix (see Henikoff & Henikoff, *Proc. Natl. Acad. Sci. USA* 89:10915, 1989). An oligonucleotide is a linear polynucleotide sequence of up to about 100 nucleotide bases in length.

**Specifically bind:** When referring to an antibody or antigen binding fragment, refers to a binding reaction which determines the presence of a target protein, peptide, or polysaccharide in the presence of a heterogeneous population of proteins and other biologics. Thus, under designated conditions, an antibody binds preferentially to a particular target protein, peptide or polysaccharide (such as an antigen present on the surface of a pathogen, for example HIV-1 Env) and does not bind in a significant amount to other proteins or polysaccharides present in the sample or subject. Specific binding can be determined by methods known in the art. With reference to an antibody-antigen complex, specific binding of the antigen and antibody has a  $K_D$  of less than about  $10^{-7}$  Molar, such as less than about  $10^{-8}$  Molar,  $10^{-9}$ , or even less than about  $10^{-10}$  Molar.

$K_D$  refers to the dissociation constant for a given interaction, such as a polypeptide ligand interaction or an antibody antigen interaction. For example, for the bimolecular interaction of an antibody or antigen binding fragment and an antigen it is the concentration of the individual components of the bimolecular interaction divided by the concentration of the complex.

The antibodies disclosed herein specifically bind to a defined target (or multiple targets, in the case of a bispecific antibody). Thus, an antibody that specifically binds to an epitope on gp120 is an antibody that binds substantially to gp120, including cells or tissue expressing gp120, substrate to which the gp120 is attached, or gp120 in a biological specimen. It is, of course, recognized that a certain degree of non-specific interaction may occur between an antibody or conjugate including an antibody (such as an antibody that specifically binds gp120 or conjugate including such antibody) and a non-target (such as a cell that does not express gp120). Typically, specific binding results in a much stronger association between the antibody and protein or cells bearing the antigen than between the antibody and protein or cells lacking the antigen. Specific binding typically results in greater than 2-fold, such as greater than 5-fold, greater than 10-fold, or greater than 100-fold increase in amount of bound antibody (per unit time) to a protein including the epitope or cell or tissue expressing the target epitope as compared to a protein or cell or tissue lacking this epitope. Specific binding to a protein under such conditions requires an antibody that is selected for its specificity for a particular protein. A variety of immunoassay formats are appropriate for selecting antibodies or other ligands specifically immunoreactive with a particular protein. For example, solid-phase ELISA immunoassays are routinely used to select monoclonal

antibodies specifically immunoreactive with a protein. See Harlow & Lane, *Antibodies, A Laboratory Manual*, 2<sup>nd</sup> ed., Cold Spring Harbor Publications, New York (2013), for a description of immunoassay formats and conditions that can be used to determine specific immunoreactivity.

**Subject:** Living multi-cellular vertebrate organisms, a category that includes human and non-human mammals. In an example, a subject is a human. In a particular example, the subject is a newborn infant. In an additional example, a subject is selected that is in need of inhibiting of an HIV-1 infection. For example, the subject is either uninfected and at risk of HIV-1 infection or is infected in need of treatment.

**Therapeutically effective amount:** The amount of agent, such as a disclosed gp120 specific antibody or antigen binding fragment that is sufficient to prevent, treat (including prophylaxis), reduce and/or ameliorate the symptoms or underlying causes of a disorder or disease, such as HIV-1 infection. In some embodiments, a therapeutically effective amount is sufficient to reduce or eliminate a symptom of HIV-1 infection, such as AIDS. For instance, this can be the amount necessary to inhibit or prevent HIV-1 replication or to measurably alter outward symptoms of the HIV-1 infection. Ideally, a therapeutically effective amount provides a therapeutic effect without causing a substantial cytotoxic effect in the subject.

In some embodiments, administration of a therapeutically effective amount of a disclosed antibody or antigen binding fragment that binds to gp120 can reduce or inhibit an HIV-1 infection (for example, as measured by infection of cells, or by number or percentage of subjects infected by HIV-1, or by an increase in the survival time of infected subjects) by a desired amount, for example by at least 10%, at least 20%, at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98%, or even at least 100% (elimination or prevention of detectable HIV-1 infection), as compared to a suitable control.

Several preparations disclosed herein are administered in therapeutically effective amounts. A therapeutically effective amount of an antibody or antigen binding fragment that specifically binds gp120 that is administered to a subject will vary depending upon a number of factors associated with that subject, for example the overall health and/or weight of the subject. A therapeutically effective amount can be determined by varying the dosage and measuring the resulting therapeutic response, such as, for example, a reduction in viral titer. Therapeutically effective amounts also can be determined through various *in vitro*, *in vivo* or *in situ* immunoassays.

A therapeutically effective amount encompasses a fractional dose that contributes in combination with previous or subsequent administrations to attaining a therapeutic response. For example, a therapeutically effective amount of an agent can be administered in a single dose, or in several doses, for example daily, during a course of treatment lasting several days or weeks. However, the therapeutically effective amount can depend on the subject being treated, the severity and type of the condition being treated, and the manner of administration. A unit dosage form of the agent can be packaged in a therapeutic amount, or in multiples of the therapeutic amount, for example, in a vial (e.g., with a pierceable lid) or syringe having sterile components.

**Transformed:** A transformed cell is a cell into which a heterologous nucleic acid molecule has been introduced by molecular biology techniques. As used herein, the term transformation encompasses all techniques by which a nucleic acid molecule might be introduced into such a cell, including transfection with viral vectors, transformation with plasmid vectors, and introduction of DNA by 5 electroporation, lipofection, and particle gun acceleration.

**Treating or preventing a disease:** Inhibiting the full development of a disease or condition, for example, in a subject who is at risk of or has an HIV-1 infection. “Treatment” refers to a therapeutic intervention that ameliorates a sign or symptom of a disease or pathological condition after it has begun to develop. The term “ameliorating,” with reference to a disease or pathological condition, refers to any 10 observable beneficial effect of the treatment. The beneficial effect can be evidenced, for example, by a delayed onset of clinical symptoms of the disease in a susceptible subject, a reduction in severity of some or all clinical symptoms of the disease, a slower progression of the disease, a reduction in the viral load, an improvement in the overall health or well-being of the subject, or by other parameters well known in the art that are specific to the particular disease. A “prophylactic” treatment is a treatment administered 15 to a subject who does not exhibit signs of a disease for the purpose of reducing the risk of developing pathology.

**Vector:** Recombinant DNA vectors are vectors having recombinant DNA. A vector can include nucleic acid sequences that permit it to replicate in a host cell, such as an origin of replication. A vector can also include one or more selectable marker genes and other genetic elements known in the art. Viral 20 vectors are recombinant nucleic acid vectors having at least some nucleic acid sequences derived from one or more viruses. In some embodiments, a viral vector is provided that comprises one or more nucleic acid molecules encoding a disclosed antibody or antigen binding fragment that specifically binds to HIV-1 gp120 and neutralizes HIV-1. In some embodiments, the viral vector can be an adeno-associated virus (AAV) vector. A replication deficient viral vector is a vector that requires complementation of one or 25 more regions of the viral genome required for replication due to a deficiency in at least one replication-essential gene function. For example, such that the viral vector does not replicate in typical host cells, especially those in a human patient that could be infected by the viral vector in the course of a therapeutic method.

**VRC01-class antibody, heavy chain, or light chain:** A class of antibodies that bind to the CD4 30 binding site on gp120 and can neutralize HIV-1, as well as heavy and light chains thereof. The prototypical member of the VRC01-class of antibodies – VRC01 – can neutralize over 90% of circulating HIV-1 isolates with an average 50% inhibitory concentration (IC<sub>50</sub>) of ~0.3 µg/ml. Despite overall sequence differences between VRC01-class antibodies, antibody-gp120 co-crystal structures revealed 35 VRC01-class recognition of gp120 to be consistent across the class. Indeed, three-dimensional structure analysis of HIV-1 gp120 from different HIV-1 clades in complexes with different VRC01-class antibodies from multiple donors show that the VRC01-class antibodies share striking similarity in physical structure, and revealed several antibody features that contribute to gp120 binding and HIV-1

neutralization. The substantial structural and ontogenetic characterization of VRC01-class of antibodies allows recognition of the members of this class by interrogation of antibody sequence.

For example, the  $V_H$  of a VRC01-class antibody has a VH1-2 germline origin, wherein the VRC01-class  $V_H$  encoding sequence is from 20-35% (such as 25-30%) divergent from the corresponding germline gene sequence. The VRC01-class  $V_H$  includes a tryptophan residue at kabat position 50 ( $V_H$  Trp<sub>50</sub>), an asparagine residue at kabat position 58 ( $V_H$  Asn<sub>58</sub>), and an arginine residue at kabat position 71 ( $V_H$  Arg<sub>71</sub>). These residues form specific interactions with amino acids on gp120 that contribute to the VRC01-class specificity and neutralization properties. When a VRC01-class antibody is bound to gp120,  $V_H$  Trp<sub>50</sub> forms a hydrogen bond with gp120 Asn<sub>280</sub>,  $V_H$  Asp<sub>58</sub> forms hydrogen bonds with gp120 Arg<sub>456</sub> 10 and Gly<sub>458</sub>,  $V_H$  Arg<sub>71</sub> forms salt bridges with gp120 Asp<sub>368</sub>, and  $V_H$  Trp100B forms a hydrogen bond with gp120 Asn<sub>279</sub>.

Further, the  $V_L$  of a VRC01-class antibody has an IGKV1-33, IGKV3-11, IGKV3-15, IGKV3-20, or IGLV2-14 germline origin, wherein the VRC01-class  $V_L$  encoding sequence is from 15-35% (such as 25-30%) divergent from the corresponding germline gene sequence. The VRC01-class  $V_L$  includes 15 either a LCDR1 (kabat positioning) with a 2-6 amino acid deletion, or a LCDR1 with glycine residues at kabat positions 28 and 30. The deletion or the presence of the glycine residues provides flexibility that allows the LCDR1 to avoid structural clash with the D loop of gp120 when the antibody is bound to the CD4 binding site. Further, the VRC01-class  $V_L$  includes an LCDR3 that is five amino acids in length (according to kabat positioning) and includes a hydrophobic residue (such as leucine or tyrosine) at kabat 20 position 91, deletion of kabat positions 92-95, and a glutamate or glutamine residue at kabat position 96. The hydrophobic residue at position 91 packs against the backbone of gp120 Loop D, and the glutamate or glutamine residue at kabat position 96 interacts with a conserved electropositive region on the base of the gp120 V5 domain.

Non-limiting examples of antibodies that fall within the VRC01-class include the VRC01, 25 VRC03, VRC07, VRC07-523, VRC13, 3BCN117, 12A12, 12A21, VRC-PG04, NIH45-46, VRC23, VRC-CH30, VRC-CH31, and VRC-PG20 antibodies. Description, characterization, and productions of these antibodies, as well as the VRC01-class of antibodies is available and familiar to the person of ordinary skill in the art (see, e.g., Diskin *et al.*, *Science*, 334(6060):1289-93, 2011; Kwong and Mascola, *Immunity*, 37, 412-425, 2012; Li *et al.*, *J. Virol.*, 85, 8954-8967, 2011; Rudicell *et al.*, *J. Virol.*, 88, 30 12669-12682, 2012; Scheid *et al.*, *Science*, 333(6049):1633-1637, 2011; West *et al.*, *PNAS*, 109:E2083-2090, 2012; Wu *et al.*, *Science*, 329(5993):856-861, 2010; Wu *et al.*, *Science*, 333(6049):1593-1602, 2011; Zhou *et al.*, *Immunity*, 39:245-258, 2013; Georgiev *et al.*, *Science*, 340:751-756, 2013; Zhu *et al.*, *PNAS*, 110, E4088-E4097, 2013; and WIPO Pub. Nos. WO 2012/158948, WO2011038290, WO2012154312, WO2013142324 and WO2013016468, each of which is incorporated by reference 35 herein in its entirety).

The VRC01, VRC07, VRC07-523 (VRC07H-G54H heavy and VRC01L-E1I2del-V3S light), and VRC07-544 (VRC07H-I37V/G54H/T93A heavy and VRC01-E1del-V3S light) antibodies are described in U.S. Pat. Pubs. 8337036 and 2014/0322163 and Rudicell *et al.*, *J. Virol.*, 88:12669-12682,

2014, each of which is incorporated by reference in its entirety. The  $V_H$  and  $V_L$  sequences of these antibodies are provided below:

5 **VRC01  $V_H$**

QVQLVQSGGQMCKPGESMRISCRASGYEFIDCTLNWIRLAPGKRPEWMGWLKPRGGAVNYARPLQGRVTM  
TRDVYSDTAFLERSLTVDVTAVYFCTRGKNCNDYNWDFEHWGRGTPVIVSS (SEQ ID NO: 47)

10 **VRC01  $V_L$**

EIVLTQSPGTLSSLSPGETAIISCRTSQYGS LAWYQQRPGQAPRLVIYSGSTRAAGIPDRFSGSRWGP DYN  
LTISNLESGDFGVYYCQQYEFFGQGTVQV DIK (SEQ ID NO: 48)

15 **VRC07  $V_H$**

QVRLSQSGGQMCKPGDSMRISCRASGYEFINCPINWIRLAPGKRPEWMGWMKPRGGAVSYARQLQGRVTM  
TRDMYSETAFLELRSLTSDDTAVYFCTRGKYCTARDYYNWDFEHWGQGTPVTVSS (SEQ ID NO:  
49)

20 **VRC07  $V_L$**

EIVLTQSPGTLSSLSPGETAIISCRTSQYGS LAWYQQRPGQAPRLVIYSGSTRAAGIPDRFSGSRWGP DYN  
LTISNLESGDFGVYYCQQYEFFGQGTVQV DIK (SEQ ID NO: 48)

25 **VRC07-523  $V_H$**

QVRLSQSGGQMCKPGDSMRISCRASGYEFINCPINWIRLAPGKRPEWMGWMKPRHGAVSYARQLQGRVTM  
TRDMYSETAFLELRSLTSDDTAVYFCTRGKYCTARDYYNWDFEHWGQGTPVTVSS (SEQ ID NO:  
50)

25 **VRC07-523  $V_L$**

SLTQSPGTLSSLSPGETAIISCRTSQYGS LAWYQQRPGQAPRLVIYSGSTRAAGIPDRFSGSRWGP DYNLT  
ISNLESGDFGVYYCQQYEFFGQGTVQV DIK (SEQ ID NO: 51)

30 **VRC07-544  $V_H$**

QVRLSQSGGQMCKPGDSMRISCRASGYEFINCPINWVRLAPGKRPEWMGWMKPRHGAVSYARQLQGRVTM  
TRDMYSETAFLELRSLTSDDTAVYFCARGKYCTARDYYNWDFEHWGQGTPVTVSS (SEQ ID NO:  
52)

35 **VRC07-544  $V_L$**

SLTQSPGTLSSLSPGETAIISCRTSQYGS LAWYQQRPGQAPRLVIYSGSTRAAGIPDRFSGSRWGP DYNLT  
ISNLESGDFGVYYCQQYEFFGQGTVQV DIK (SEQ ID NO: 51)

**II. Description of Several Embodiments**

40 Isolated monoclonal antibodies and antigen binding fragments that specifically bind an epitope on gp120 are provided. The antibodies and antigen binding fragments can be fully human. In several embodiments, the antibodies and antigen binding fragments can be used to neutralize HIV-1. Also disclosed herein are compositions including the antibodies and antigen binding fragments and a pharmaceutically acceptable carrier. Nucleic acids encoding the antibodies or antigen binding fragments, 45 expression vectors (such as adeno-associated virus (AAV) viral vectors) including these nucleic acids are also provided.

The antibodies, antigen binding fragments, nucleic acid molecules, host cells, and compositions can be used for research, diagnostic and therapeutic purposes. For example, the monoclonal antibodies and antigen binding fragments can be used to diagnose or treat a subject with an HIV-1 infection, or can

be administered prophylactically to prevent HIV-1 infection in a subject. In some embodiments, the antibodies can be used to determine HIV-1 titer in a subject.

**A. Neutralizing Monoclonal Antibodies and Antigen Binding Fragments**

5 This disclosure provides the novel CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies and variants thereof (including antigen binding fragments). Epitope mapping and competition binding studies show that the disclosed antibodies and antigen binding fragments specifically bind HIV-1 Env at an epitope that overlaps with the CD4-binding site on gp120. For example, as discussed in Example 1, CH540-VRC40.01 competes for binding to gp120 with known 10 CD4-binding site antibodies, such as VRC01.

The disclosed antibodies and antigen binding fragments are surprisingly effective for neutralization of HIV-1. For example, as discussed in Example 1, the CH540-VRC40.01 antibody neutralized 83% of a panel of 173 HIV-1 pseudotyped viruses with median IC<sub>50</sub> of 0.099 µg/ml. Surprisingly, even though the CH540-VRC40 antibodies competed with VRC01 for binding to the CD4 15 binding site of HIV1 gp120, the CH540-VRC40 antibodies neutralized a significant number of HIV-1 strains that are VRC01-resistant.

In some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> comprising one or 20 more (*i.e.*, one, two, or all three) HCDRs from one of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies. In some embodiments, the antibody or antigen binding fragment includes a V<sub>L</sub> comprising one or more (*i.e.*, one, two, or all three) LCDRs from one of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies. In several embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> and a V<sub>L</sub> including the 25 HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3, respectively, of one of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies, and specifically binds to gp120 and neutralize HIV-1.

In some embodiments, the antibody or antigen binding fragment includes HCDR1, HCDR2, HCDR3, LCDR1, LCDR2, and LCDR3 amino acid sequences that in aggregate are at least 90% (such as at least 91%, at least 92%, at least 93%, at least 94%, at least 95%, at least 96%, at least 97%, at least 98%, at least 99%) identical to the amino acid sequences of the CDRs of one of the CH540-VRC40.01, 30 CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies, and specifically binds to gp120 and neutralizes HIV-1 infection.

In some embodiments, the antibody or antigen binding fragment can include a V<sub>H</sub> comprising one or more (*i.e.*, one, two, or all three) HCDRs from the V<sub>H</sub> set forth as one of SEQ ID NO: 1 (CH540-VRC40.01), SEQ ID NO: 3 (CH540-VRC40.02), SEQ ID NO: 5 (CH540-VRC40.03), or SEQ ID NO: 7 35 (CH540-VRC40.04), or a V<sub>H</sub> comprising an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, or at least 99%) identical thereto. In some embodiments, the antibody or antigen binding fragment can include a V<sub>L</sub> comprising one or more (*i.e.*, one, two, or all three) LCDRs from the V<sub>L</sub> set forth as one of SEQ ID NO: 2 (CH540-VRC40.01), SEQ ID NO: 4 (CH540-VRC40.02), SEQ ID

NO: 6 (CH540-VRC40.03), or SEQ ID NO: 8 (CH540-VRC40.04), or a V<sub>L</sub> comprising an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, or at least 99%) identical thereto.

In some embodiments, the antibody or antigen binding fragment can include a V<sub>H</sub> comprising one or more (*i.e.*, one, two, or all three) HCDRs from the V<sub>H</sub> set forth as one of SEQ ID NO: 1 (CH540-

5 VRC40.01), SEQ ID NO: 3 (CH540-VRC40.02), SEQ ID NO: 5 (CH540-VRC40.03), or SEQ ID NO: 7 (CH540-VRC40.04), or a V<sub>H</sub> comprising an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, or at least 99%) identical thereto, and a V<sub>L</sub> comprising one or more (*i.e.*, one, two, or all three) LCDRs from the V<sub>L</sub> set forth as one of SEQ ID NO: 2 (CH540-VRC40.01), SEQ ID NO: 4 (CH540-VRC40.02), SEQ ID NO: 6 (CH540-VRC40.03), or SEQ ID NO: 8 (CH540-VRC40.04),

10 or a V<sub>L</sub> comprising an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, or at least 99%) identical thereto. The antibody or antigen binding fragment specifically binds to gp120 and neutralizes HIV-1.

The discussion of monoclonal antibodies below refers to monoclonal antibodies that include a V<sub>H</sub> and a V<sub>L</sub> including CDRs with reference to the IMGT numbering scheme (unless the context indicates otherwise). The person of ordinary skill in the art will understand that various CDR numbering schemes (such as the Kabat, Chothia or IMGT numbering schemes) can be used to determine CDR positions. The amino acid sequence and the CDR positions of the V<sub>H</sub> and V<sub>L</sub> of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies according to the IMGT numbering scheme are shown in Table 1.

20

Table 1. IMGT CDR sequences of gp120 specific antibodies.

CH540-VRC40.01 V <sub>H</sub>			
V <sub>H</sub>	SEQ ID NO: 1 positions	CDR protein sequence	HCDR SEQ ID NO
HCDR1	26-33	GYIFTDYL	9
HCDR2	51-58	INTNAGLM	10
HCDR3	102-116	GRVVDGFNAAGPLEF	11
CH540-VRC40.01 V <sub>L</sub>			
V <sub>L</sub>	SEQ ID NO: 2 positions	A.A. Sequence	LCDR SEQ ID NO
LCDR1	27-32	QYVDRS	12
LCDR2	50-52	AAS	13
LCDR3	89-97	QQDYYWPVTL	14
CH540-VRC40.02 V <sub>H</sub>			
V <sub>H</sub>	SEQ ID NO: 3 positions	CDR protein sequence	HCDR SEQ ID NO
HCDR1	26-33	GYIFTDYL	9
HCDR2	51-58	INTNGGLM	15
HCDR3	102-116	GRVVDGFNAAGPLEF	11
CH540-VRC40.02 V <sub>L</sub>			
V <sub>L</sub>	SEQ ID NO: 4 positions	A.A. Sequence	LCDR SEQ ID NO
LCDR1	27-32	QYVDRS	12
LCDR2	50-52	AAS	13
LCDR3	89-97	QQDYYWPVTL	14
CH540-VRC40.03 V <sub>H</sub>			

V <sub>H</sub>	SEQ ID NO: 5 positions	CDR protein sequence	HCDR SEQ ID NO
HCDR1	26-33	GYVFADYL	16
HCDR2	51-58	INTNAGLM	10
HCDR3	102-116	GRVVDGFNAAGPLEF	11
<b>CH540-VRC40.03 V<sub>L</sub></b>			
V <sub>L</sub>	SEQ ID NO: 6 positions	A.A. Sequence	LCDR SEQ ID NO
LCDR1	27-32	QYVDRS	12
LCDR2	50-52	AAS	13
LCDR3	89-97	QQDYGWPV	17
<b>CH540-VRC40.04 V<sub>H</sub></b>			
V <sub>H</sub>	SEQ ID NO: 7 positions	CDR protein sequence	HCDR SEQ ID NO
HCDR1	26-33	GYIFSDYL	18
HCDR2	51-58	INTNAGLM	10
HCDR3	102-116	GRVVDGFNAAGPLEF	11
<b>CH540-VRC40.04 V<sub>L</sub></b>			
V <sub>L</sub>	SEQ ID NO: 8 positions	A.A. Sequence	LCDR SEQ ID NO
LCDR1	27-32	DYIDRS	19
LCDR2	50-52	AAS	13
LCDR3	89-97	QQDKYWPV	20

In some embodiments, the antibody or antigen binding fragment includes one or more IMGT CDRs, such as those listed in Table 1. For example, in some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, HCDR2, and/or HCDR3 including amino acids 26-33, 51-58, and/or 102-116, respectively, of one of SEQ ID NOs: 1, 3, 5, or 7, and specifically binds to gp120 and neutralizes HIV-1. In some embodiments, the antibody or antigen binding fragment includes a V<sub>L</sub> including a LCDR1, LCDR2, and/or LCDR3 including amino acids 27-32, 50-52, and/or 89-97, respectively, of one of SEQ ID NOs: 2, 4, 6, or 8, and specifically binds to gp120 and neutralizes HIV-1.

In some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and a HCDR3 including amino acids 26-33, 51-58, and 102-116 of SEQ ID NO: 1, respectively, and a V<sub>L</sub> including a LCDR1, LCDR2, and LCDR3 including amino acids 27-32, 50-52, and 89-97 of SEQ ID NO: 2, respectively, and specifically binds to gp120 and neutralizes HIV-1. In further embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and a HCDR3 including amino acids 26-33, 51-58, and 102-116 of SEQ ID NO: 3, respectively, and a V<sub>L</sub> including a LCDR1, LCDR2, and LCDR3 including amino acids 27-32, 50-52, and 89-97 of SEQ ID NO: 4, respectively, and specifically binds to gp120 and neutralizes HIV-1. In additional embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and a HCDR3 including amino acids 26-33, 51-58, and 102-116 of SEQ ID NO: 5, respectively, and a V<sub>L</sub> including a LCDR1, LCDR2, and LCDR3 including amino acids 27-32, 50-52, and 89-97 of SEQ ID NO: 6, respectively. In additional embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and a HCDR3 including amino acids 26-33, 51-58, and 102-116 of SEQ

ID NO: 7, respectively, and a V<sub>L</sub> including a LCDR1, LCDR2, and LCDR3 including amino acids 27-32, 50-52, and 89-97 of SEQ ID NO: 8, respectively, and specifically binds to gp120 and neutralizes HIV-1.

In one embodiment, an isolated antibody or antibody fragment includes at least one CDR with a sequence that has at least 95% sequence identity to any one of SEQ ID NOs: 9-20, wherein the antibody 5 specifically binds to gp120 and neutralizes HIV-1. In additional embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and a HCDR3 including amino acid sequences at least 90% (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to amino acids 26-33, 51-58, and 102-116, respectively, of one of SEQ ID NOs: 1, 3, 5, or 7, and/or a V<sub>L</sub> including a LCDR1, a LCDR2, and a LCDR3 including amino acid sequences at least 90% 10 (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to amino acids 27-32, 50-52, and 89-97, respectively, of one of SEQ ID NOs: 2, 4, 6, or 8, and specifically binds to gp120 and neutralizes HIV-1.

The CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies are clonal variants of each other, and include similar heavy and light chain CDRs that derive 15 from the same heavy and light chain germline genes. Accordingly, the CDR sequences of these antibodies can be used to generate consensus CDR sequences for a genus of antibodies and antigen binding fragments that specifically bind to gp120 and neutralize HIV-1. In some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and/or a HCDR3 including the amino acid sequences of SEQ ID NO: 21 (GYX<sub>1</sub>FX<sub>2</sub>DYL, wherein X<sub>1</sub> is I or V, and X<sub>2</sub> is T, 20 A, or S), SEQ ID NO: 22 (INTNX<sub>1</sub>GLM, wherein X<sub>1</sub> is A or G), and/or SEQ ID NO: 11 (GRVVVDGFNAAGPLEF), respectively, and specifically binds to gp120 and neutralizes HIV-1. In some 25 embodiments, the antibody antigen binding fragment includes a V<sub>L</sub> including a LCDR1, a LCDR2, and/or a LCDR3 including the amino acid sequences of SEQ ID NO: 23 (X<sub>1</sub>YX<sub>2</sub>DRS, wherein X<sub>1</sub> is Q or D, and X<sub>2</sub> is V or I), SEQ ID NO: 13 (AAS), and/or SEQ ID NO: 24 (QQDX<sub>1</sub>X<sub>2</sub>WPVTF, wherein X<sub>1</sub> is Y or K, and X<sub>2</sub> is Y or G), respectively, and specifically binds to gp120 and neutralizes HIV-1. In some 30 embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including a HCDR1, a HCDR2, and/or a HCDR3 including the amino acid sequence of SEQ ID NO: 21, SEQ ID NO: 22, and SEQ ID NO: 11, respectively, and further includes a V<sub>L</sub> including a LCDR1, a LCDR2, and/or a LCDR3 including the amino acid sequences of SEQ ID NO: 23, SEQ ID NO: 13, and SEQ ID NO: 24, respectively, and specifically binds to gp120 and neutralizes HIV-1.

In some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to the amino acid sequence set forth as one of SEQ ID NOs: 1, 3, 5, or 7, and specifically binds to gp120 and neutralizes HIV-1. In more embodiments, the antibody or antigen 35 binding fragment includes a V<sub>L</sub> including an amino acid sequence at least 90% (such as at least 95%, at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to the amino acid sequence set forth as one of SEQ ID NOs: 2, 4, 6, or 8, and specifically binds to gp120 and neutralizes HIV-1. In some embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including an amino acid

sequence at least 90% (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to the amino acid sequence set forth as one of SEQ ID NOs: 1, 3, 5, or 7, and a V<sub>L</sub> including an amino acid sequence at least 90% (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to the amino acid sequence set forth as one of SEQ ID NOs: 2, 4, 6, or 8, and

5 specifically binds to gp120 and neutralizes HIV-1.

In additional embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> and a V<sub>L</sub> including amino acid sequences at least 90% (such as at least 95%, at least 96%, at least 97%, at least 98%, or at least 99%) identical to the amino acid sequences set forth as one of SEQ ID NOs: 1 and 2, respectively, SEQ ID NOs: 3 and 4, respectively, SEQ ID NOs: 5 and 6, respectively, or SEQ ID NOs: 7 and 8, respectively.

In additional embodiments, the antibody or antigen binding fragment includes a V<sub>H</sub> including the amino acid sequence set forth as one of SEQ ID NOs: 1, 3, 5, or 7, and specifically binds to gp120 and neutralizes HIV-1. In some embodiments, the antibody includes a V<sub>L</sub> including the amino acid sequence set forth as one of SEQ ID NO: 2, 4, 6, or 8, and specifically binds to gp120 and neutralizes HIV-1. In

10 additional embodiments, the antibody or antigen binding fragment include a V<sub>H</sub> and a V<sub>L</sub> including the amino acid sequences set forth as SEQ ID NOs: 1 and 2, respectively, SEQ ID NOs: 3 and 4, respectively, SEQ ID NOs: 5 and 6, respectively, or SEQ ID NOs: 7 and 8, respectively, and specifically binds to gp120 and neutralizes HIV-1. V<sub>H</sub> and V<sub>L</sub> segments of the disclosed antibodies can be “mixed and matched,” in which different pairs of the V<sub>L</sub> and V<sub>H</sub> segments are combined and screened for binding

20 to gp120 to select V<sub>L</sub>/V<sub>H</sub> pair combinations of interest.

In some embodiments, the antibody or antigen binding fragment includes a HCDR1 with the amino acid sequence of one of SEQ ID NOs: 9, 16, or 18, a HCDR2 with the amino acid sequence of one of SEQ ID NOs: 10 or 15, and a HCDR3 with the amino acid sequence of SEQ ID NO: 11, and specifically binds to gp120 and neutralizes HIV-1. In some embodiments, the antibody or antigen binding fragment includes a LCDR1 with the amino acid sequence of one of SEQ ID NOs: 12 or 19, a LCDR2 with the amino acid sequence of SEQ ID NO: 13, and a LCDR3 with the amino acid sequence of one of SEQ ID NOs: 14, 17, or 20, and specifically binds to gp120 and neutralizes HIV-1. In some

25 embodiments, the antibody or antigen binding fragment includes a HCDR1 with the amino acid sequence of one of SEQ ID NOs: 9, 16, or 18, a HCDR2 with the amino acid sequence of one of SEQ ID NOs: 10 or 15, a HCDR3 with the amino acid sequence of SEQ ID NO: 11, a LCDR1 with the amino acid sequence of one of SEQ ID NOs: 12 or 19, a LCDR2 with the amino acid sequence of SEQ ID NO: 13, and a LCDR3 with the amino acid sequence of one of SEQ ID NOs: 14, 17, or 20, and specifically binds

30 to gp120 and neutralizes HIV-1.

As illustrated in FIG. 13, the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and

35 CH540-VRC40.04 antibodies include a five amino acid insertion between kabat positions 72A and 72B of the V<sub>H</sub>. Accordingly, in some embodiments, the V<sub>H</sub> of any of the disclosed antibodies or antigen binding fragments can include a five amino acid insertion between kabat positions 72A and 72B. The insertion in the CH540-VRC40 antibodies includes the amino acid sequence of DWRTP (SEQ ID NO:

44) for CH540-VRC40.01, CH540-VRC40.02, and CH540-VRC40.03, or SF RTP (SEQ ID NO: 45) for CH540-VRC40.04. In some embodiments, the  $V_H$  of any disclosed antibody or antigen binding fragment can include a five amino acid insertion between kabat positions 72A and 72B that comprises the consensus amino acid sequence set forth as  $X_1X_2RTP$  (SEQ ID NO: 46), wherein  $X_1$  is D or S, and  $X_2$  is 5 W or F. In some embodiments, the  $V_H$  of any disclosed antibody or antigen binding fragment can include a five amino acid insertion between kabat positions 72A and 72B that comprises the consensus amino acid sequence set forth as DWRTP (SEQ ID NO: 44) or SF RTP (SEQ ID NO: 45).

Amino acid substitutions (such as conservative amino acid substitutions) can be made in the  $V_H$  and the  $V_L$  regions, for example to increase production yield or solubility. In some embodiments, the  $V_H$  10 of the antibody or antigen binding fragment can include up to 10 (such as up to 1, up to 2, up to 3, up to 4, up to 5, up to 6, up to 7, up to 8, or up to 9) amino acid substitutions (such as conservative amino acid substitutions) compared to the amino acid sequence set forth as one of SEQ ID NOs : 1, 3, 5, or 7, and specifically binds to gp120 and neutralizes HIV-1. In some embodiments, the  $V_L$  of the antibody or antigen binding fragment can include up to 10 (such as up to 1, up to 2, up to 3, up to 4, up to 5, up to 6, 15 up to 7, up to 8, or up to 9) amino acid substitutions (such as conservative amino acid substitutions) compared to the amino acid sequence set forth as one of SEQ ID NOs: 2, 4, 6, or 8, and specifically binds to gp120 and neutralizes HIV-1.

In some embodiments, the antibody or antigen binding fragment can include, in aggregate, up to 20 10 (such as up to 1, up to 2, up to 3, up to 4, up to 5, up to 6, up to 7, up to 8, or up to 9) amino acid substitutions (such as conservative amino acid substitutions) in the CDRs of the  $V_H$  of the antibody or antigen binding fragment, or the  $V_L$  of the antibody or antigen binding fragment, or the  $V_H$  and  $V_L$  of the antibody or antigen binding fragment, compared to the CDRs of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody, and maintain the specific binding activity for gp120 and neutralization of HIV-1.

25 In some embodiments, the antibody or antigen binding fragment can include, in aggregate, up to 10 (such as up to 1, up to 2, up to 3, up to 4, up to 5, up to 6, up to 7, up to 8, or up to 9) amino acid substitutions (such as conservative amino acid substitutions) in the framework regions of the  $V_H$  of the antibody or antigen binding fragment, or the  $V_L$  of the antibody or antigen binding fragment, or the  $V_H$  and  $V_L$  of the antibody or antigen binding fragment, compared to a known framework region, or 30 compared to the framework regions of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody, and maintain the specific binding activity for gp120 and neutralization of HIV-1. In certain embodiments, substitutions, insertions, or deletions may occur within one or more CDRs so long as such alterations do not substantially reduce the ability of the antibody to bind antigen. For example, conservative alterations (e.g., conservative substitutions as provided herein) that do not 35 substantially reduce binding affinity may be made in CDRs. In certain embodiments of the variant  $V_H$  and  $V_L$  sequences provided herein, each CDR either is unaltered, or contains no more than one, two or three amino acid substitutions.

1. Additional Description of Antibodies and Antigen Binding Fragments

The antibody or antigen binding fragment can be a human antibody or fragment thereof.

Chimeric antibodies are also provided. The antibody or antigen binding fragment can include any suitable framework region, such as (but not limited to) a human framework region. Human framework regions, and mutations that can be made in a human antibody framework regions, are known in the art (see, for example, in U.S. Patent No. 5,585,089, which is incorporated herein by reference).

5 Alternatively, a heterologous framework region, such as, but not limited to a mouse or monkey framework region, can be included in the heavy or light chain of the antibodies. (See, for example, Jones *et al.*, *Nature* 321:522, 1986; Riechmann *et al.*, *Nature* 332:323, 1988; Verhoeyen *et al.*, *Science* 239:1534, 1988; Carter *et al.*, *Proc. Natl. Acad. Sci. U.S.A.* 89:4285, 1992; Sandhu, *Crit. Rev. Biotech.* 12:437, 1992; and Singer *et al.*, *J. Immunol.* 150:2844, 1993.)

10 The antibody can be of any isotype. The antibody can be, for example, an IgM or an IgG antibody, such as IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub>, or IgG<sub>4</sub>. The class of an antibody that specifically binds gp120 can be switched with another. In one aspect, a nucleic acid molecule encoding V<sub>L</sub> or V<sub>H</sub> is isolated using methods well-known in the art, such that it does not include any nucleic acid sequences encoding the constant region of the light or heavy chain, respectively. A nucleic acid molecule encoding V<sub>L</sub> or V<sub>H</sub> is then operatively linked to a nucleic acid sequence encoding a C<sub>L</sub> or C<sub>H</sub> from a different class of immunoglobulin molecule. This can be achieved using a vector or nucleic acid molecule that comprises a C<sub>L</sub> or C<sub>H</sub> chain, as known in the art. For example, an antibody that specifically binds gp120, that was 20 originally IgM may be class switched to an IgG. Class switching can be used to convert one IgG subclass to another, such as from IgG<sub>1</sub> to IgG<sub>2</sub>, IgG<sub>3</sub>, or IgG<sub>4</sub>.

In some examples, the disclosed antibodies are oligomers of antibodies, such as dimers, trimers, tetramers, pentamers, hexamers, septamers, octomers and so on.

25 (a) Binding affinity

In several embodiments, the antibody or antigen binding fragment can specifically bind gp120 with an affinity (e.g., measured by K<sub>D</sub>) of no more than 1.0 x 10<sup>-8</sup> M, no more than 5.0 x 10<sup>-8</sup> M, no more than 1.0 x 10<sup>-9</sup> M, no more than 5.0 x 10<sup>-9</sup> M, no more than 1.0 x 10<sup>-10</sup> M, no more than 5.0 x 10<sup>-10</sup> M, or no more than 1.0 x 10<sup>-11</sup> M. K<sub>D</sub> can be measured, for example, by a radiolabeled antigen binding assay 30 (RIA) performed with the Fab version of an antibody of interest and its antigen using known methods. In one assay, solution binding affinity of Fabs for antigen is measured by equilibrating Fab with a minimal concentration of (<sup>125</sup>I)-labeled antigen in the presence of a titration series of unlabeled antigen, then capturing bound antigen with an anti-Fab antibody-coated plate (see, e.g., Chen *et al.*, *J. Mol. Biol.* 293:865-881 (1999)). To establish conditions for the assay, MICROTITER® multi-well plates (Thermo 35 Scientific) are coated overnight with 5 µg/ml of a capturing anti-Fab antibody (Cappel Labs) in 50 mM sodium carbonate (pH 9.6), and subsequently blocked with 2% (w/v) bovine serum albumin in PBS for two to five hours at room temperature (approximately 23° C.). In a non-adsorbent plate (Nunc #269620), 100 µM or 26 pM [<sup>125</sup>I]-antigen are mixed with serial dilutions of a Fab of interest (e.g., consistent with

assessment of the anti-VEGF antibody, Fab-12, in Presta *et al.*, *Cancer Res.* 57:4593-4599 (1997)). The Fab of interest is then incubated overnight; however, the incubation may continue for a longer period (e.g., about 65 hours) to ensure that equilibrium is reached. Thereafter, the mixtures are transferred to the capture plate for incubation at room temperature (e.g., for one hour). The solution is then removed and the plate washed eight times with 0.1% polysorbate 20 (TWEEN-20®) in PBS. When the plates have dried, 150 µl/well of scintillant (MICROSCINT-20™; Packard) is added, and the plates are counted on a TOPCOUNT™ gamma counter (Packard) for ten minutes. Concentrations of each Fab that give less than or equal to 20% of maximal binding are chosen for use in competitive binding assays.

In another assay,  $K_D$  can be measured using surface plasmon resonance assays using a

10 BIACORE®-2000 or a BIACORE®-3000 (BIAcore, Inc., Piscataway, N.J.) at 25° C with immobilized antigen CM5 chips at ~10 response units (RU). Briefly, carboxymethylated dextran biosensor chips (CM5, BIACORE®, Inc.) are activated with N-ethyl-N'-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC) and N-hydroxysuccinimide (NHS) according to the supplier's instructions. Antigen is diluted with 10 mM sodium acetate, pH 4.8, to 5 µg/ml (~0.2 µM) before injection at a flow rate of 5 l/minute to achieve approximately 10 response units (RU) of coupled protein. Following the injection of antigen, 1 M ethanolamine is injected to block unreacted groups. For kinetics measurements, two-fold serial dilutions of Fab (0.78 nM to 500 nM) are injected in PBS with 0.05% polysorbate 20 (TWEEN-20™) surfactant (PBST) at 25° C at a flow rate of approximately 25 l/min. Association rates ( $k_{on}$ ) and dissociation rates ( $k_{off}$ ) are calculated using a simple one-to-one Langmuir binding model (BIACORE® Evaluation Software version 3.2) by simultaneously fitting the association and dissociation sensorgrams. The equilibrium dissociation constant ( $K_D$ ) is calculated as the ratio  $k_{off}/k_{on}$ . See, e.g., Chen *et al.*, *J. Mol. Biol.* 293:865-881 (1999). If the on-rate exceeds 106 M<sup>-1</sup> s<sup>-1</sup> by the surface plasmon resonance assay above, then the on-rate can be determined by using a fluorescent quenching technique that measures the increase or decrease in fluorescence emission intensity (excitation=295 nm; emission=340 nm, 16 nm band-pass) at 25° C. of a 20 nM anti-antigen antibody (Fab form) in PBS, pH 7.2, in the presence of increasing concentrations of antigen as measured in a spectrometer, such as a stop-flow equipped spectrophotometer (Aviv Instruments) or a 8000-series SLM-AMINCO™ spectrophotometer (ThermoSpectronic) with a stirred cuvette.

30 (b) Neutralization

In some embodiments, the antibody or antigen binding fragment can also be distinguished by neutralization breadth. In some embodiments, the antibody or antigen binding fragment can neutralize at least 70% (such as at least 75%, at least 80%, at least 85%, least 90%, or at least 95%) of the HIV-1 isolates included in a standardized panel of HIV-1 pseudoviruses (such as that provided in FIG. 5) with an IC<sub>50</sub> of less than 50 µg/ml. As illustrated in FIG. 7, the CH540-VRC40.01 antibody can neutralize the following VRC01-resistant strains of HIV-1 with an inhibitory concentration (IC<sub>50</sub>) of <50 µg/ml: 242-14, DU172.17, DU422.01, TV1.29, TZA125.17, and 57128.vrc15. Accordingly, in some embodiments, any of the disclosed antibodies or antigen binding fragments can neutralize the 242-14, DU172.17,

DU422.01, TV1.29, TZA125.17, and 57128.vrc15 strains of HIV-1 with an inhibitory concentration (IC<sub>50</sub>) of <50 µg/ml.

The person of ordinary skill in the art is familiar with methods of measuring the neutralization breadth and potency of an HIV-1 gp120 specific monoclonal antibody, for example such methods include the single-round HIV-1 Env-pseudoviruses infection of TZM-bl cells. Exemplary pseudovirus neutralization assays and panels of HIV-1 pseudovirus are described for example, in *Li et al., J Virol* 79, 10108-10125, 2005, *Seaman et al., J. Virol.*, 84:1439-1452, 2010; *Sarzotti-Kelsoe et al., J. Immunol. Methods*, 409:131-46, 2014; and WO2011/038290, each of which is incorporated by reference herein.

10 (c) Multispecific antibodies

In some embodiments, the antibody or antigen binding fragment is included on a multispecific antibody, such as a bi-specific antibody. Such multispecific antibodies can be produced by known methods, such as crosslinking two or more antibodies, antigen binding fragments (such as scFvs) of the same type or of different types. Exemplary methods of making multispecific antibodies include those described in PCT Pub. No. WO2013/163427, which is incorporated by reference herein in its entirety. Suitable crosslinkers include those that are heterobifunctional, having two distinctly reactive groups separated by an appropriate spacer (such as m-maleimidobenzoyl-N-hydroxysuccinimide ester) or homobifunctional (such as disuccinimidyl suberate). Such linkers are available from Pierce Chemical Company, Rockford, Ill.

20 In some embodiments, the antibody or antigen binding fragment is included on a bispecific antibody that specifically binds to gp120 and further specifically binds to CD3. Examples of CD3 binding domains that can be included on the bispecific antibody or antigen binding fragment are known and include those disclosed in PCT Pub. No. WO2013/163427, which is incorporated by reference herein in its entirety.

25 Various types of multi-specific antibodies are known. Bispecific single chain antibodies can be encoded by a single nucleic acid molecule. Examples of bispecific single chain antibodies, as well as methods of constructing such antibodies are known in the art (see, e.g., U.S. Pat. Nos. 8,076,459, 8,017,748, 8,007,796, 7,919,089, 7,820,166, 7,635,472, 7,575,923, 7,435,549, 7,332,168, 7,323,440, 7,235,641, 7,229,760, 7,112,324, 6,723,538, incorporated by reference herein). Additional examples of 30 bispecific single chain antibodies can be found in PCT application No. WO 99/54440; Mack, *J. Immunol.*, 158:3965-3970, 1997; Mack, *PNAS*, 92:7021-7025, 1995; Kufer, *Cancer Immunol. Immunother.*, 45:193-197, 1997; Loffler, *Blood*, 95:2098-2103, 2000; and Bruhl, *J. Immunol.*, 166:2420-2426, 2001. Production of bispecific Fab-scFv (“bibody”) molecules are described, for example, in Schoonjans *et al.* (*J. Immunol.* 165:7050-57, 2000) and Willems *et al.* (*J Chromatogr B Analyt Technol Biomed Life Sci.* 786:161-76, 2003). For bibodies, a scFv molecule can be fused to one of the VL-CL (L) or VH-CH1 chains, e.g., to produce a bibody one scFv is fused to the C-term of a Fab chain.

## (d) Fragments

Antigen binding fragments are encompassed by the present disclosure, such as Fab, F(ab')<sub>2</sub>, and Fv which include a heavy chain and V<sub>L</sub> and specifically bind gp120. These antibody fragments retain the ability to selectively bind with the antigen and are “antigen-binding” fragments. Non-limiting examples of such fragments include:

(1) Fab, the fragment which contains a monovalent antigen-binding fragment of an antibody molecule, can be produced by digestion of whole antibody with the enzyme papain to yield an intact light chain and a portion of one heavy chain;

(2) Fab', the fragment of an antibody molecule can be obtained by treating whole antibody with pepsin, followed by reduction, to yield an intact light chain and a portion of the heavy chain; two Fab' fragments are obtained per antibody molecule;

(3) (Fab')<sub>2</sub>, the fragment of the antibody that can be obtained by treating whole antibody with the enzyme pepsin without subsequent reduction; F(ab')<sub>2</sub> is a dimer of two Fab' fragments held together by two disulfide bonds;

(4) Fv, a genetically engineered fragment containing the V<sub>H</sub> and V<sub>L</sub> expressed as two chains; and

(5) Single chain antibody (such as scFv), defined as a genetically engineered molecule containing the V<sub>H</sub> and the V<sub>L</sub> linked by a suitable polypeptide linker as a genetically fused single chain molecule (see, *e.g.*, Ahmad *et al.*, Clin. Dev. Immunol., 2012, doi:10.1155/2012/980250; Marbry, IDRugs, 13:543-549, 2010). The intramolecular orientation of the V<sub>H</sub>-domain and the V<sub>L</sub>-domain in a scFv, is not decisive for the provided antibodies (*e.g.*, for the provided multispecific antibodies). Thus, scFvs with both possible arrangements (V<sub>H</sub>-domain-linker domain-V<sub>L</sub>-domain; V<sub>L</sub>-domain-linker domain-V<sub>H</sub>-domain) may be used.

(6) A dimer of a single chain antibody (scFV<sub>2</sub>), defined as a dimer of a scFV. This has also been termed a “miniantibody.”

Methods of making these fragments are known in the art (see for example, Harlow and Lane, *Antibodies: A Laboratory Manual*, 2<sup>nd</sup>, Cold Spring Harbor Laboratory, New York, 2013).

In some embodiments, the antigen binding fragment can be an Fv antibody, which is typically about 25 kDa and contain a complete antigen-binding site with three CDRs per each heavy chain and each light chain. To produce Fv antibodies, the V<sub>H</sub> and the V<sub>L</sub> can be expressed from two individual nucleic acid constructs in a host cell. If the V<sub>H</sub> and the V<sub>L</sub> are expressed non-contiguously, the chains of the Fv antibody are typically held together by noncovalent interactions. However, these chains tend to dissociate upon dilution, so methods have been developed to crosslink the chains through glutaraldehyde, intermolecular disulfides, or a peptide linker. Thus, in one example, the Fv can be a disulfide stabilized Fv (dsFv), wherein the V<sub>H</sub> and the V<sub>L</sub> are chemically linked by disulfide bonds.

In an additional example, the Fv fragments include V<sub>H</sub> and V<sub>L</sub> chains connected by a peptide linker. These single-chain antigen binding proteins (scFv) can be prepared by constructing a nucleic acid molecule encoding the V<sub>H</sub> and V<sub>L</sub> domains connected by an oligonucleotide. The nucleic acid molecule is

inserted into an expression vector, which is subsequently introduced into a host cell such as a mammalian cell. The recombinant host cells synthesize a single polypeptide chain with a linker peptide bridging the two V domains. Methods for producing scFvs are known in the art (see Whitlow *et al.*, *Methods: a Companion to Methods in Enzymology*, Vol. 2, page 97, 1991; Bird *et al.*, *Science* 242:423, 1988; U.S.

5 Patent No. 4,946,778; Pack *et al.*, *Bio/Technology* 11:1271, 1993; Ahmad *et al.*, *Clin. Dev. Immunol.*, 2012, doi:10.1155/2012/980250; Marbry, *IDrugs*, 13:543-549, 2010). Dimers of a single chain antibody (scFV<sub>2</sub>), are also contemplated.

10 Antigen binding fragments can be prepared by proteolytic hydrolysis of the antibody or by expression in a host cell (such as an *E. coli* cell) of DNA encoding the fragment. Antigen binding fragments can also be obtained by pepsin or papain digestion of whole antibodies by conventional methods. For example, antigen binding fragments can be produced by enzymatic cleavage of antibodies with pepsin to provide a 5S fragment denoted F(ab')<sub>2</sub>. This fragment can be further cleaved using a thiol reducing agent, and optionally a blocking group for the sulphydryl groups resulting from cleavage of disulfide linkages, to produce 3.5S Fab' monovalent fragments. Alternatively, an enzymatic cleavage 15 using pepsin produces two monovalent Fab' fragments and an Fc fragment directly (see U.S. Patent No. 4,036,945 and U.S. Patent No. 4,331,647, and references contained therein; Nisonhoff *et al.*, *Arch. Biochem. Biophys.* 89:230, 1960; Porter, *Biochem. J.* 73:119, 1959; Edelman *et al.*, *Methods in Enzymology*, Vol. 1, page 422, Academic Press, 1967; and Coligan *et al.* at sections 2.8.1-2.8.10 and 2.10.1-2.10.4).

20 Other methods of cleaving antibodies, such as separation of heavy chains to form monovalent light-heavy chain fragments, further cleavage of fragments, or other enzymatic, chemical, or genetic techniques may also be used, so long as the fragments bind to the antigen that is recognized by the intact antibody.

25 Antigen binding single V<sub>H</sub> domains, called domain antibodies (dAb), have also been identified from a library of murine V<sub>H</sub> genes amplified from genomic DNA of immunized mice (Ward *et al.* *Nature* 341:544-546, 1989). Human single immunoglobulin variable domain polypeptides capable of binding 30 antigen with high affinity have also been described (see, for example, PCT Publication Nos. WO 2005/035572 and WO 2003/002609). The CDRs disclosed herein can also be included in a dAb.

30 In some embodiments, one or more of the heavy and/or light chain complementarity determining regions (CDRs) from a disclosed antibody (such as the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody) is expressed on the surface of another protein, such as a scaffold protein. The expression of domains of antibodies on the surface of a scaffolding protein are known in the art (see *e.g.*, Liu *et al.*, *J. Virology* 85(17): 8467-8476, 2011). Such expression creates a chimeric protein that retains the binding for gp120. In some specific embodiments, one or more of the 35 heavy chain CDRs is grafted onto a scaffold protein, such as one or more of heavy chain CDR1, CDR2, and/or CDR3. One or more CDRs can also be included in a diabody or another type of single chain antibody molecule.

(e) Additional antibodies that bind to the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 epitope on gp120.

Also included are antibodies that bind to the same epitope on gp120 to which the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04 antibodies bind. Antibodies that bind to such an epitope can be identified based on their ability to cross-compete (for example, to competitively inhibit the binding of, in a statistically significant manner) with one of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibodies provided herein in gp120 binding assays (such as those described in the Examples). An antibody “competes” for binding when the competing antibody inhibits gp120 binding of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody by more than 50%, in the presence of competing antibody concentrations higher than  $10^6 \times K_D$  of the competing antibody. In a certain embodiment, the antibody that binds to the same epitope on gp120 as the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody is a human monoclonal antibody. Such human monoclonal antibodies can be prepared and isolated as described herein.

Human antibodies that bind to the same epitope on gp120 to which the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody binds can be produced using various techniques known in the art. Human antibodies are described generally in van Dijk and van de Winkel, *Curr. Opin. Pharmacol.* 5: 368-74 (2001) and Lonberg, *Curr. Opin. Immunol.* 20:450-459 (2008). Such antibodies may be prepared, for example, by administering an immunogen to a transgenic animal that has been modified to produce intact human antibodies or intact antibodies with human variable regions in response to antigenic challenge. Such animals typically contain all or a portion of the human immunoglobulin loci, which replace the endogenous immunoglobulin loci, or which are present extrachromosomally or integrated randomly into the animal's chromosomes. In such transgenic mice, the endogenous immunoglobulin loci have generally been inactivated. For review of methods for obtaining human antibodies from transgenic animals, see Lonberg, *Nat. Biotech.* 23:1117-1125 (2005). See also, e.g., U.S. Pat. Nos. 6,075,181 and 6,150,584 describing XENOMOUSE™ technology; U.S. Pat. No. 5,770,429 describing HUMAB® technology; U.S. Pat. No. 7,041,870 describing K-M MOUSE® technology, and U.S. Patent Application Publication No. US 2007/0061900, describing VELOCIMOUSE® technology). Human variable regions from intact antibodies generated by such animals may be further modified, e.g., by combining with a different human constant region.

Human antibodies that bind to the same epitope on gp120 to which the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 antibody binds can also be made by hybridoma-based methods. Human myeloma and mouse-human heteromyeloma cell lines for the production of human monoclonal antibodies have been described. (See, e.g., Kozbor *J. Immunol.*, 133: 3001 (1984); Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63 (Marcel Dekker, Inc., New York, 1987); and Boerner *et al.*, *J. Immunol.*, 147: 86 (1991).) Human antibodies generated via human B-cell hybridoma technology are also described in Li *et al.*, *Proc. Natl. Acad. Sci. USA*, 103:3557-3562 (2006). Additional methods include those described, for example, in

U.S. Pat. No. 7,189,826 (describing production of monoclonal human IgM antibodies from hybridoma cell lines) and Ni, *Xiandai Mianyixue*, 26(4):265-268 (2006) (describing human-human hybridomas). Human hybridoma technology (Trioma technology) is also described in Vollmers and Brandlein, *Histology and Histopathology*, 20(3):927-937 (2005) and Vollmers and Brandlein, *Methods and Findings in Experimental and Clinical Pharmacology*, 27(3): 185-91 (2005). Human antibodies may also be generated by isolating Fv clone variable domain sequences selected from human-derived phage display libraries. Such variable domain sequences may then be combined with a desired human constant domain.

Antibodies and antigen binding fragments that specifically bind to the same epitope on gp120 as CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 can also be isolated by screening combinatorial libraries for antibodies with the desired binding characteristics. For example, a variety of methods are known in the art for generating phage display libraries and screening such libraries for antibodies possessing the desired binding characteristics. Such methods are reviewed, *e.g.*, in Hoogenboom *et al.* in *Methods in Molecular Biology* 178:1-37 (O'Brien *et al.*, ed., Human Press, Totowa, N.J., 2001) and further described, *e.g.*, in the McCafferty *et al.*, *Nature* 348:552-554; Clackson *et al.*, *Nature* 352: 624-628 (1991); Marks *et al.*, *J. Mol. Biol.* 222: 581-597 (1992); Marks and Bradbury, in *Methods in Molecular Biology* 248:161-175 (Lo, ed., Human Press, Totowa, N.J., 2003); Sidhu *et al.*, *J. Mol. Biol.* 338(2): 299-310 (2004); Lee *et al.*, *J. Mol. Biol.* 340(5): 1073-1093 (2004); Fellouse, *Proc. Natl. Acad. Sci. USA* 101(34): 12467-12472 (2004); and Lee *et al.*, *J. Immunol. Methods* 284(1-2): 119-132 (2004).

In certain phage display methods, repertoires of V<sub>H</sub> and V<sub>L</sub> genes are separately cloned by polymerase chain reaction (PCR) and recombined randomly in phage libraries, which can then be screened for antigen-binding phage as described in Winter *et al.*, *Ann. Rev. Immunol.*, 12: 433-455 (1994). Phage typically display antibody fragments, either as single-chain Fv (scFv) fragments or as Fab fragments. Libraries from immunized sources provide high-affinity antibodies to the immunogen without the requirement of constructing hybridomas. Alternatively, the naive repertoire can be cloned (*e.g.*, from human) to provide a single source of antibodies to a wide range of non-self and also self antigens without any immunization as described by Griffiths *et al.*, *EMBO J.*, 12: 725-734 (1993). Finally, naive libraries can also be made synthetically by cloning unarranged V-gene segments from stem cells, and using PCR primers containing random sequence to encode the highly variable CDR3 regions and to accomplish rearrangement in vitro, as described by Hoogenboom and Winter, *J. Mol. Biol.*, 227: 381-388 (1992). Patent publications describing human antibody phage libraries include, for example: U.S. Pat. No. 5,750,373, and US Patent Publication Nos. 2005/0079574, 2005/0119455, 2005/0266000, 2007/0117126, 2007/0160598, 2007/0237764, 2007/0292936, and 2009/0002360.

35 (f) Variants

In certain embodiments, amino acid sequence variants of the antibodies provided herein are contemplated. For example, it may be desirable to improve the binding affinity and/or other biological properties of the antibody. Amino acid sequence variants of an antibody may be prepared by introducing

appropriate modifications into the nucleotide sequence encoding the antibody, or by peptide synthesis. Such modifications include, for example, deletions from, and/or insertions into and/or substitutions of residues within the amino acid sequences of the antibody. Any combination of deletion, insertion, and substitution can be made to arrive at the final construct, provided that the final construct possesses the 5 desired characteristics, *e.g.*, antigen-binding.

In certain embodiments, antibody variants having one or more amino acid substitutions are provided. Sites of interest for substitutional mutagenesis include the CDRs and the framework regions. Amino acid substitutions may be introduced into an antibody of interest and the products screened for a desired activity, *e.g.*, retained/improved antigen binding, decreased immunogenicity, or improved ADCC 10 or CDC.

The variants typically retain amino acid residues necessary for correct folding and stabilizing between the  $V_H$  and the  $V_L$  regions, and will retain the charge characteristics of the residues in order to preserve the low pI and low toxicity of the molecules. Amino acid substitutions (such as conservative 15 amino acid substitutions) can be made in the  $V_H$  and the  $V_L$  regions, for example to increase production yield or solubility.

In certain embodiments, substitutions, insertions, or deletions may occur within one or more CDRs so long as such alterations do not substantially reduce the ability of the antibody to bind antigen. For example, conservative alterations (*e.g.*, conservative substitutions as provided herein) that do not 20 substantially reduce binding affinity may be made in CDRs. In certain embodiments of the variant  $V_H$  and  $V_L$  sequences provided above, each CDR either is unaltered, or contains no more than one, two or three amino acid substitutions.

To increase binding affinity of the antibody, the  $V_L$  and  $V_H$  segments can be randomly mutated, such as within HCDR3 region or the LCDR3 region, in a process analogous to the *in vivo* somatic mutation process responsible for affinity maturation of antibodies during a natural immune response. 25 Thus *in vitro* affinity maturation can be accomplished by amplifying  $V_H$  and  $V_L$  regions using PCR primers complementary to the HCDR3 or LCDR3, respectively. In this process, the primers have been "spiked" with a random mixture of the four nucleotide bases at certain positions such that the resultant PCR products encode  $V_H$  and  $V_L$  segments into which random mutations have been introduced into the  $V_H$  and/or  $V_L$  CDR3 regions. These randomly mutated  $V_H$  and  $V_L$  segments can be tested to determine 30 the binding affinity for gp120. Methods of *in vitro* affinity maturation are known (see, *e.g.*, Chowdhury, *Methods Mol. Biol.* 207:179-196 (2008)), and Hoogenboom *et al.* in *Methods in Molecular Biology* 178:1-37 (O'Brien *et al.*, ed., Human Press, Totowa, N.J., (2001).)

A useful method for identification of residues or regions of an antibody that may be targeted for 35 mutagenesis is called "alanine scanning mutagenesis" as described by Cunningham and Wells (1989) *Science*, 244:1081-1085. In this method, a residue or group of target residues (*e.g.*, charged residues such as arg, asp, his, lys, and glu) are identified and replaced by a neutral or negatively charged amino acid (*e.g.*, alanine or polyalanine) to determine whether the interaction of the antibody with antigen is affected. Further substitutions may be introduced at the amino acid locations demonstrating functional

sensitivity to the initial substitutions. Alternatively, or additionally, a crystal structure of an antigen-antibody complex is used to identify contact points between the antibody and antigen. Such contact residues and neighboring residues may be targeted or eliminated as candidates for substitution. Variants may be screened to determine whether they contain the desired properties.

5 In certain embodiments, an antibody or antigen binding fragment is altered to increase or decrease the extent to which the antibody or antigen binding fragment is glycosylated. Addition or deletion of glycosylation sites may be conveniently accomplished by altering the amino acid sequence such that one or more glycosylation sites is created or removed.

Where the antibody comprises an Fc region, the carbohydrate attached thereto may be altered.

10 Native antibodies produced by mammalian cells typically comprise a branched, biantennary oligosaccharide that is generally attached by an N-linkage to Asn297 of the CH<sub>2</sub> domain of the Fc region. See, e.g., Wright *et al.* *TIBTECH* 15:26-32 (1997). The oligosaccharide may include various carbohydrates, e.g., mannose, N-acetyl glucosamine (GlcNAc), galactose, and sialic acid, as well as a fucose attached to a GlcNAc in the “stem” of the biantennary oligosaccharide structure. In some 15 embodiments, modifications of the oligosaccharide in an antibody may be made in order to create antibody variants with certain improved properties.

In one embodiment, antibody variants are provided having a carbohydrate structure that lacks fucose attached (directly or indirectly) to an Fc region. For example, the amount of fucose in such antibody may be from 1% to 80%, from 1% to 65%, from 5% to 65% or from 20% to 40%. The amount 20 of fucose is determined by calculating the average amount of fucose within the sugar chain at Asn297, relative to the sum of all glycostructures attached to Asn 297 (e.g. complex, hybrid and high mannose structures) as measured by MALDI-TOF mass spectrometry, as described in WO 2008/077546, for example. Asn297 refers to the asparagine residue located at about position 297 in the Fc region; however, Asn297 may also be located about  $\pm$ 3 amino acids upstream or downstream of position 297, 25 i.e., between positions 294 and 300, due to minor sequence variations in antibodies. Such fucosylation variants may have improved ADCC function. See, e.g., US Patent Publication Nos. US 2003/0157108 (Presta, L.); US 2004/0093621 (Kyowa Hakko Kogyo Co., Ltd). Examples of publications related to “defucosylated” or “fucose-deficient” antibody variants include: US 2003/0157108; WO 2000/61739; WO 2001/29246; US 2003/0115614; US 2002/0164328; US 2004/0093621; US 2004/0132140; US 30 2004/0110704; US 2004/0110282; US 2004/0109865; WO 2003/085119; WO 2003/084570; WO 2005/035586; WO 2005/035778; WO2005/053742; WO2002/031140; Okazaki *et al.* *J. Mol. Biol.* 336:1239-1249 (2004); Yamane-Ohnuki *et al.* *Biotech. Bioeng.* 87: 614 (2004). Examples of cell lines capable of producing defucosylated antibodies include Lec 13 CHO cells deficient in protein fucosylation (Ripka *et al.* *Arch. Biochem. Biophys.* 249:533-545 (1986); US Pat Appl No US 2003/0157108 A1, 35 Presta, L; and WO 2004/056312 A1, Adams *et al.*, especially at Example 11), and knockout cell lines, such as alpha-1,6-fucosyltransferase gene, FUT8, knockout CHO cells (see, e.g., Yamane-Ohnuki *et al.* *Biotech. Bioeng.* 87: 614 (2004); Kanda, Y. *et al.*, *Biotechnol. Bioeng.*, 94(4):680-688 (2006); and WO2003/085107).

Antibodies variants are further provided with bisected oligosaccharides, *e.g.*, in which a biantennary oligosaccharide attached to the Fc region of the antibody is bisected by GlcNAc. Such antibody variants may have reduced fucosylation and/or improved ADCC function. Examples of such antibody variants are described, *e.g.*, in WO 2003/011878 (Jean-Mairet *et al.*); U.S. Pat. No. 6,602,684 (Umana *et al.*); and US 2005/0123546 (Umana *et al.*). Antibody variants with at least one galactose residue in the oligosaccharide attached to the Fc region are also provided. Such antibody variants may have improved CDC function. Such antibody variants are described, *e.g.*, in WO 1997/30087 (Patel *et al.*); WO 1998/58964 (Raju, S.); and WO 1999/22764 (Raju, S.).

In several embodiments, the constant region of the antibody includes one or more amino acid substitutions to optimize *in vivo* half-life of the antibody. The serum half-life of IgG Abs is regulated by the neonatal Fc receptor (FcRn). Thus, in several embodiments, the antibody includes an amino acid substitution that increases binding to the FcRn. Several such substitutions are known to the person of ordinary skill in the art, such as substitutions at IgG constant regions T250Q and M428L (see, *e.g.*, Hinton *et al.*, *J Immunol.*, 176:346-356, 2006); M428L and N434S (the “LS” mutation, see, *e.g.*, Zalevsky, *et al.*, *Nature Biotechnology*, 28:157-159, 2010); N434A (see, *e.g.*, Petkova *et al.*, *Int. Immunol.*, 18:1759-1769, 2006); T307A, E380A, and N434A (see, *e.g.*, Petkova *et al.*, *Int. Immunol.*, 18:1759-1769, 2006); and M252Y, S254T, and T256E (see, *e.g.*, Dall’Acqua *et al.*, *J. Biol. Chem.*, 281:23514-23524, 2006). The disclosed antibodies and antigen binding fragments can be linked to a Fc polypeptide including any of the substitutions listed above, for example, the Fc polypeptide can include the M428L and N434S substitutions.

In some embodiments, the constant region of the antibody includes one or more amino acid substitutions to optimize antibody-dependent cell-mediated cytotoxicity (ADCC). ADCC is mediated primarily through a set of closely related Fc $\gamma$  receptors. In some embodiments, the antibody includes one or more amino acid substitutions that increase binding to Fc $\gamma$ RIIIa. Several such substitutions are known to the person of ordinary skill in the art, such as substitutions at IgG constant regions S239D and I332E (see, *e.g.*, Lazar *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 103:4005-4010, 2006); and S239D, A330L, and I332E (see, *e.g.*, Lazar *et al.*, *Proc. Natl. Acad. Sci. U.S.A.*, 103:4005-4010, 2006).

Combinations of the above substitutions are also included, to generate an IgG constant region with increased binding to FcRn and Fc $\gamma$ RIIIa. The combinations increase antibody half-life and ADCC. For example, such combination include antibodies with the following amino acid substitution in the Fc region: (1) S239D/I332E and T250Q/M428L; (2) S239D/I332E and M428L/N434S; (3) S239D/I332E and N434A; (4) S239D/I332E and T307A/E380A/N434A; (5) S239D/I332E and M252Y / S254T/T256E; (6) S239D/A330L/I332E and 250Q/M428L; (7) S239D/A330L/I332E and M428L/N434S; (8) S239D/A330L/I332E and N434A; (9) S239D/A330L/I332E and T307A/E380A/N434A; or (10) S239D/A330L/I332E and M252Y/S254T/T256E. In some examples, the antibodies, or an antigen binding fragment thereof is modified such that it is directly cytotoxic to infected cells, or uses natural defenses such as complement, antibody dependent cellular cytotoxicity (ADCC), or phagocytosis by macrophages.

In certain embodiments, an antibody provided herein may be further modified to contain additional nonproteinaceous moieties that are known in the art and readily available. The moieties suitable for derivatization of the antibody include but are not limited to water soluble polymers. Non-limiting examples of water soluble polymers include, but are not limited to, polyethylene glycol (PEG), 5 copolymers of ethylene glycol/propylene glycol, carboxymethylcellulose, dextran, polyvinyl alcohol, polyvinyl pyrrolidone, poly-1,3-dioxolane, poly-1,3,6-trioxane, ethylene/maleic anhydride copolymer, polyaminoacids (either homopolymers or random copolymers), and dextran or poly(n-vinyl pyrrolidone)polyethylene glycol, propylene glycol homopolymers, propylene oxide/ethylene oxide co-polymers, polyoxyethylated polyols (e.g., glycerol), polyvinyl alcohol, and mixtures thereof. 10 Polyethylene glycol propionaldehyde may have advantages in manufacturing due to its stability in water. The polymer may be of any molecular weight, and may be branched or unbranched. The number of polymers attached to the antibody may vary, and if more than one polymer are attached, they can be the same or different molecules. In general, the number and/or type of polymers used for derivatization can be determined based on considerations including, but not limited to, the particular properties or functions 15 of the antibody to be improved, whether the antibody derivative will be used in a therapy under defined conditions, etc.

The antibody or antigen binding fragment can be derivatized or linked to another molecule (such as another peptide or protein). In general, the antibody or antigen binding fragment is derivatized such that the binding to gp120 is not affected adversely by the derivatization or labeling. For example, the 20 antibody or antigen binding fragment can be functionally linked (by chemical coupling, genetic fusion, noncovalent association or otherwise) to one or more other molecular entities, such as another antibody (for example, a bi-specific antibody or a diabody), a detectable marker, an effector molecule, or a protein or peptide that can mediate association of the antibody or antibody portion with another molecule (such as a streptavidin core region or a polyhistidine tag).

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#### ***B. Conjugates***

The antibodies and antigen binding fragments that specifically bind to an epitope on gp120 can be conjugated to an agent, such as an effector molecule or detectable marker, using any number of means known to those of skill in the art. Both covalent and noncovalent attachment means may be used. One of 30 skill in the art will appreciate that various effector molecules and detectable markers can be used, including (but not limited to) toxins and radioactive agents such as  $^{125}\text{I}$ ,  $^{32}\text{P}$ ,  $^{14}\text{C}$ ,  $^3\text{H}$  and  $^{35}\text{S}$  and other labels, target moieties and ligands, etc. The choice of a particular effector molecule or detectable marker depends on the particular target molecule or cell, and the desired biological effect.

The choice of a particular effector molecule or detectable marker depends on the particular target 35 molecule or cell, and the desired biological effect. Thus, for example, the effector molecule can be a cytotoxin that is used to bring about the death of a particular target cell (such as an HIV-1 infected cell). In other embodiments, the effector molecule can be a cytokine, such as IL-15; conjugates including the cytokine can be used, e.g., to stimulate immune cells locally.

The procedure for attaching an effector molecule or detectable marker to an antibody or antigen binding fragment varies according to the chemical structure of the effector. Polypeptides typically contain a variety of functional groups; such as carboxylic acid (COOH), free amine (-NH<sub>2</sub>) or sulphydryl (-SH) groups, which are available for reaction with a suitable functional group on a polypeptide to result 5 in the binding of the effector molecule or detectable marker. Alternatively, the antibody or antigen binding fragment is derivatized to expose or attach additional reactive functional groups. The derivatization may involve attachment of any of a number of known linker molecules such as those available from Pierce Chemical Company, Rockford, IL. The linker can be any molecule used to join the antibody or antigen binding fragment to the effector molecule or detectable marker. The linker is capable 10 of forming covalent bonds to both the antibody or antigen binding fragment and to the effector molecule or detectable marker. Suitable linkers are well known to those of skill in the art and include, but are not limited to, straight or branched-chain carbon linkers, heterocyclic carbon linkers, or peptide linkers. Where the antibody or antigen binding fragment and the effector molecule or detectable marker are 15 polypeptides, the linkers may be joined to the constituent amino acids through their side groups (such as through a disulfide linkage to cysteine) or to the alpha carbon amino and carboxyl groups of the terminal amino acids.

In view of the large number of methods that have been reported for attaching a variety of radiodiagnostic compounds, radiotherapeutic compounds, labels (such as enzymes or fluorescent molecules), toxins, and other agents to antibodies one skilled in the art will be able to determine a 20 suitable method for attaching a given agent to an antibody or antigen binding fragment or other polypeptide. For example, the antibody or antigen binding fragment can be conjugated with effector molecules such as small molecular weight drugs such as Monomethyl Auristatin E (MMAE), Monomethyl Auristatin F (MMAF), maytansine, maytansine derivatives, including the derivative of maytansine known as DM1 (also known as mertansine), or other agents to make an antibody drug 25 conjugate (ADC). In several embodiments, conjugates of an antibody or antigen binding fragment and one or more small molecule toxins, such as a calicheamicin, maytansinoids, dolastatins, auristatins, a trichothecene, and CC1065, and the derivatives of these toxins that have toxin activity, are provided.

The antibody or antigen binding fragment can be conjugated with a detectable marker; for example, a detectable marker capable of detection by ELISA, spectrophotometry, flow cytometry, 30 microscopy or diagnostic imaging techniques (such as computed tomography (CT), computed axial tomography (CAT) scans, magnetic resonance imaging (MRI), nuclear magnetic resonance imaging (NMRI), magnetic resonance tomography (MTR), ultrasound, fiberoptic examination, and laparoscopic examination). Specific, non-limiting examples of detectable markers include fluorophores, chemiluminescent agents, enzymatic linkages, radioactive isotopes and heavy metals or compounds (for 35 example super paramagnetic iron oxide nanocrystals for detection by MRI). For example, useful detectable markers include fluorescent compounds, including fluorescein, fluorescein isothiocyanate, rhodamine, 5-dimethylamine-1-naphthalenesulfonyl chloride, phycoerythrin, lanthanide phosphors and the like. Bioluminescent markers are also of use, such as luciferase, Green fluorescent protein (GFP),

Yellow fluorescent protein (YFP). An antibody or antigen binding fragment can also be conjugated with enzymes that are useful for detection, such as horseradish peroxidase,  $\beta$ - galactosidase, luciferase, alkaline phosphatase, glucose oxidase and the like. When an antibody or antigen binding fragment is conjugated with a detectable enzyme, it can be detected by adding additional reagents that the enzyme 5 uses to produce a reaction product that can be discerned. For example, when the agent horseradish peroxidase is present the addition of hydrogen peroxide and diaminobenzidine leads to a colored reaction product, which is visually detectable. An antibody or antigen binding fragment may also be conjugated with biotin, and detected through indirect measurement of avidin or streptavidin binding. It should be noted that the avidin itself can be conjugated with an enzyme or a fluorescent label.

10 The antibody or antigen binding fragment can be conjugated with a paramagnetic agent, such as gadolinium. Paramagnetic agents such as superparamagnetic iron oxide are also of use as labels. Antibodies can also be conjugated with lanthanides (such as europium and dysprosium), and manganese. An antibody or antigen binding fragment may also be labeled with a predetermined polypeptide epitopes 15 recognized by a secondary reporter (such as leucine zipper pair sequences, binding sites for secondary antibodies, metal binding domains, epitope tags).

The antibody or antigen binding fragment can also be conjugated with a radiolabeled amino acid. The radiolabel may be used for both diagnostic and therapeutic purposes. For instance, the radiolabel 20 may be used to detect gp120 and gp120 expressing cells by x-ray, emission spectra, or other diagnostic techniques. Examples of labels for polypeptides include, but are not limited to, the following radioisotopes or radionucleotides:  $^3\text{H}$ ,  $^{14}\text{C}$ ,  $^{15}\text{N}$ ,  $^{35}\text{S}$ ,  $^{90}\text{Y}$ ,  $^{99}\text{Tc}$ ,  $^{111}\text{In}$ ,  $^{125}\text{I}$ ,  $^{131}\text{I}$ .

25 Means of detecting such detectable markers are well known to those of skill in the art. Thus, for example, radiolabels may be detected using photographic film or scintillation counters, fluorescent markers may be detected using a photodetector to detect emitted illumination. Enzymatic labels are typically detected by providing the enzyme with a substrate and detecting the reaction product produced by the action of the enzyme on the substrate, and colorimetric labels are detected by simply visualizing 30 the colored label.

The average number of effector molecule or detectable marker moieties per antibody or antigen 35 binding fragment in a conjugate can range, for example, from 1 to 20 moieties per antibody or antigen binding fragment. In certain embodiments, the average number of effector molecule or detectable marker moieties per antibody or antigen binding fragment in a conjugate range from about 1 to about 2, from about 1 to about 3, about 1 to about 8; from about 2 to about 6; from about 3 to about 5; or from about 3 to about 4. The loading (for example, effector molecule/antibody ratio) of an conjugate may be controlled in different ways, for example, by: (i) limiting the molar excess of effector molecule-linker intermediate or linker reagent relative to antibody, (ii) limiting the conjugation reaction time or 40 temperature, (iii) partial or limiting reductive conditions for cysteine thiol modification, (iv) engineering by recombinant techniques the amino acid sequence of the antibody such that the number and position of cysteine residues is modified for control of the number or position of linker-effector molecule attachments.

### C. Chimeric Antigen Receptors (CARs)

Also disclosed herein are chimeric antigen receptor (CARs) that are artificially constructed chimeric proteins including an extracellular antigen binding domain (*e.g.*, single chain variable fragment (scFv)) that specifically binds to gp120, linked to a transmembrane domain, linked to one or more intracellular T-cell signaling domains. Characteristics of the disclosed CARs include their ability to redirect T-cell specificity and reactivity towards gp120 expressing cells in a non-MHC-restricted manner. The non-MHC-restricted gp120 recognition gives T cells expressing a disclosed CAR the ability to recognize antigen independent of antigen processing.

The intracellular T cell signaling domains can include, for example, a T cell receptor signaling domain, a T cell costimulatory signaling domain, or both. The T cell receptor signaling domain refers to a portion of the CAR comprising the intracellular domain of a T cell receptor, such as the intracellular portion of the CD3 zeta protein. The costimulatory signaling domain refers to a portion of the CAR comprising the intracellular domain of a costimulatory molecule, which is a cell surface molecule other than an antigen receptor or their ligands that are required for an efficient response of lymphocytes to antigen.

#### I. Extracellular Region

Several embodiments provide a CAR including an antigen binding domain that specifically binds to gp120 as disclosed herein (*see, e.g.*, section II.A). For example, the antigen binding domain can be a scFv including the V<sub>H</sub> and the V<sub>L</sub> of any of the antibodies or antigen binding fragments thereof disclosed in section II.A.

In some embodiments, the antigen binding domain can include a V<sub>H</sub> and a V<sub>L</sub> including the HCDR1, HCDR2, and HCDR3, and LCDR1, LCDR2, and LCDR3 of the V<sub>H</sub> and V<sub>L</sub>, respectively, of one of the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or VRC40.04 antibodies (*e.g.*, as set forth in Table 1).

In some embodiments, the antigen binding domain includes a V<sub>H</sub> and a V<sub>L</sub> including the amino acid sequences set forth as SEQ ID NOs: 1 and 2, respectively; SEQ ID NOs: 3 and 4, respectively; SEQ ID NOs: 5 and 6, respectively, or SEQ ID NOs: 7 and 8, respectively. In several embodiments, the antigen binding domain can be a scFv. In some embodiments, the scFv includes a V<sub>H</sub> and a V<sub>L</sub> joined by a peptide linker, such as a linker including the amino acid sequence set forth as GGGGSGGGGSGGGGS (SEQ ID NO: 25).

The CAR can include a signal peptide sequence, *e.g.*, N-terminal to the antigen binding domain. The signal peptide sequence may comprise any suitable signal peptide sequence. In an embodiment, the signal peptide sequence is a human granulocyte-macrophage colony-stimulating factor (GM-CSF) receptor sequence, such as an amino acid sequence including or consisting of LLVTSLLLCELPHAFLLIPDT SEQ ID NO: 26. While the signal peptide sequence may facilitate expression of the CAR on the surface of the cell, the presence of the signal peptide sequence in an

expressed CAR is not necessary in order for the CAR to function. Upon expression of the CAR on the cell surface, the signal peptide sequence may be cleaved off of the CAR. Accordingly, in some embodiments, the CAR lacks a signal peptide sequence.

Between the antigen binding domain and the transmembrane domain of the CAR, there may be a 5 spacer domain, which includes a polypeptide sequence. The spacer domain may comprise up to 300 amino acids, preferably 10 to 100 amino acids and most preferably 25 to 50 amino acids. In some embodiments, the spacer domain can include an immunoglobulin domain, such as a human immunoglobulin sequence. In an embodiment, the immunoglobulin domain comprises an immunoglobulin CH2 and CH3 immunoglobulin G (IgG1) domain sequence (CH2CH3). In this regard, 10 the spacer domain can include an immunoglobulin domain comprising or consisting of the amino acid sequence set forth as SEQ ID NO: 27:

15 EPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVH  
NAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALPAPIEKTISKAKGQPREPQVYTLPPSR  
DELTKNQVSLTCLVKGFYPSDIAVEWESNGQPENNYKTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSC  
SVMHEALHNHYTQKSLSLSPGKKDPK

Without being bound to a particular theory, it is believed that the CH2CH3 domain extends the antigen binding domain of the CAR away from the membrane of CAR-expressing cells and may more accurately mimic the size and domain structure of a native TCR.

20

## 2. *Transmembrane Domain*

With respect to the transmembrane domain, the CAR can be designed to comprise a transmembrane domain that is fused to the extracellular domain of the CAR. In one embodiment, the transmembrane domain that naturally is associated with one of the domains in the CAR is used.

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The transmembrane domain may be derived either from a natural or from a synthetic source. Where the source is natural, the domain may be derived from any membrane-bound or transmembrane protein. Exemplary transmembrane domains for use in the disclosed CARs can include at least the transmembrane region(s) of the alpha, beta or zeta chain of the T-cell receptor, CD28, CD3 epsilon, CD45, CD4, CD5, CDS, CD9, CD 16, CD22, CD33, CD37, CD64, CD80, CD86, CD 134, CD137, 30 CD154. Alternatively the transmembrane domain may be synthetic, in which case it will comprise predominantly hydrophobic residues such as leucine and valine. In several embodiments, a triplet of phenylalanine, tryptophan and valine will be found at each end of a synthetic transmembrane domain.

35

Optionally, a short oligo- or polypeptide linker, preferably between 2 and 10 amino acids in length may form the linkage between the transmembrane domain and the intracellular T cell signaling domain and/or T cell costimulatory domain of the CAR. A exemplary linker sequence includes one or more glycine-serine doublets.

In some embodiments, the transmembrane domain comprises the transmembrane domain of a T cell receptor, such as a CD8 transmembrane domain. Thus, the CAR can include a CD8 transmembrane domain including or consisting of SEQ ID NO: 28:

TTTPAPRPPTPAPTIAHQPLSLRPEACRPAAGGAHVTRGLDFACDIYIWAPLAGTCGVLLSLVITLYC

In another embodiment, the transmembrane domain comprises the transmembrane domain of a T cell costimulatory molecule, such as CD137 or CD28. Thus, the CAR can include a CD28 transmembrane domain including or consisting of SEQ ID NO: 29:

5 IEVMYPPPYLDNEKSNGTIIHVKGKHLCPSPFFPGPSKPFWVLVVVGGVLACYSSLVTVAIFIIFWVR

3. *Intracellular Region*

The intracellular region of the CAR includes one or more intracellular T cell signaling domains responsible for activation of at least one of the normal effector functions of a T cell in which the CAR is 10 expressed or placed in. Exemplary T cell signaling domains are provided herein, and are known to the person of ordinary skill in the art.

While an entire intracellular T cell signaling domain can be employed in a CAR, in many cases it is not necessary to use the entire chain. To the extent that a truncated portion of the intracellular T cell 15 signaling domain is used, such truncated portion may be used in place of the intact chain as long as it transduces the relevant T cell effector function signal.

Examples of intracellular T cell signaling domains for use in the CAR include the cytoplasmic sequences of the T cell receptor (TCR) and co-stimulatory molecules that act in concert to initiate signal transduction following antigen receptor engagement, as well as any derivative or variant of these sequences and any synthetic sequence that has the same functional capability.

20 T cell receptor signaling domains regulate primary activation of the T cell receptor complex either in a stimulatory way, or in an inhibitory way. The disclosed CARs can include primary cytoplasmic signaling sequences that act in a stimulatory manner, which may contain signaling motifs that are known as immunoreceptor tyrosine-based activation motifs or ITAMs. Examples of ITAM containing primary cytoplasmic signaling sequences that can be included in a disclosed CAR include 25 those from CD3 zeta, FcR gamma, FcR beta, CD3 gamma, CD3 delta, CD3 epsilon, CDS, CD22, CD79a, CD79b, and CD66d proteins. In several embodiments, the cytoplasmic signaling molecule in the CAR includes an intracellular T cell signaling domain from CD3 zeta.

The intracellular region of the CAR can include the ITAM containing primary cytoplasmic 30 signaling domain (such as CD3-zeta) by itself or combined with any other desired cytoplasmic domain(s) useful in the context of a CAR. For example, the cytoplasmic domain of the CAR can include a CD3 zeta chain portion and an intracellular costimulatory signaling domain. The costimulatory signaling domain refers to a portion of the CAR comprising the intracellular domain of a costimulatory molecule. A costimulatory molecule is a cell surface molecule other than an antigen receptor or their ligands that is required for an efficient response of lymphocytes to an antigen. Examples of such molecules include 35 CD27, CD28, 4-1BB (CD137), OX40 (CD134), CD30, CD40, PD-1, ICOS, lymphocyte function-associated antigen 1 (LFA-1), CD2, CD7, LIGHT, NKG2C, and B7-H3. An additional example of a signaling domain that can be included in a disclosed CARs is a Tumor necrosis factor receptor

superfamily member 18 (TNFRSF18; also known as glucocorticoid-induced TNFR-related protein, GITR) signaling domain.

In some embodiments, the CAR can include a CD3 zeta signaling domain, a CD8 signaling domain, a CD28 signaling domain, a CD137 signaling domain or a combination of two or more thereof.

5 In one embodiment, the cytoplasmic domain includes the signaling domain of CD3-zeta and the signaling domain of CD28. In another embodiment, the cytoplasmic domain includes the signaling domain of CD3 zeta and the signaling domain of CD137. In yet another embodiment, the cytoplasmic domain includes the signaling domain of CD3-zeta and the signaling domain of CD28 and CD137. The order of the one or more T cell signaling domains on the CAR can be varied as needed by the person of ordinary skill in  
10 the art.

Exemplary amino acid sequences for such T cell signaling domains are provided. For example, the CD3 zeta signaling domain can include or consist of the amino acid sequence set forth as SEQ ID NO: 30

(RVKF SRSADAPAYQQGQNQLYNELNLGRREYDVL DKRRGRDPEMGGKPRRKNPQEGLYNELQKDKMAEA

15 YSEIGMKGERRRGKGHDGLYQGLSTATKDTYDALHMQALPPR), the CD8 signaling domain can include or consist of the amino acid sequence set forth as SEQ ID NO: 31

(FVPVFLPAKPTTPAPRPPTPAPTIASQPLSLRPEACRPAAGGAVHTRGLDFACDIYIWAPLAGTCGVLL  
LSLVITLYCNHRNR), the CD28 signaling domain can include or consist of the amino acid sequence set forth as SEQ ID NO: 32 (SKRSRLLHSDYMNMTPRRPGPTRKHYQPYAPPRDFAAYRS), the CD137

20 signaling domain can include or consist of the amino acid sequences set forth as SEQ ID NO: 33

(KRGKKLLYIFKQPFMRPVQTTQEEDGCSCRFPEEEGGCEL) or SEQ ID NO: 34

(RF SVVKRGRKKLLYIFKQPFMRPVQTTQEEDGCSCRFPEEEGGCEL).

The cytoplasmic signaling sequences within the cytoplasmic signaling portion of the CAR of the invention may be linked to each other in a random or specified order. Optionally, a short polypeptide 25 linker, preferably between 2 and 10 amino acids in length may form the linkage. A glycine-serine doublet provides a particularly suitable linker. Further, between the signaling domain and the transmembrane domain of the CAR, there may be a spacer domain, which includes a polypeptide sequence. The spacer domain may comprise up to 300 amino acids, preferably 10 to 100 amino acids and most preferably 25 to 50 amino acids.

30

#### 4. Additional Description of CARs

Also provided are functional portions of the CARs described herein. The term "functional portion" when used in reference to a CAR refers to any part or fragment of the CAR, which part or fragment retains the biological activity of the CAR of which it is a part (the parent CAR). Functional portions encompass, for example, those parts of a CAR that retain the ability to recognize target cells, or detect, treat, or prevent a disease, to a similar extent, the same extent, or to a higher extent, as the parent CAR. In reference to the parent CAR, the functional portion can comprise, for instance, about 10%, 25%, 30%, 50%, 68%, 80%, 90%, 95%, or more, of the parent CAR.

The CAR or functional portion thereof, can include additional amino acids at the amino or carboxy terminus, or at both termini, which additional amino acids are not found in the amino acid sequence of the parent CAR. Desirably, the additional amino acids do not interfere with the biological function of the CAR or functional portion, *e.g.*, recognize target cells, treat or prevent HIV-1 infection, 5 etc. More desirably, the additional amino acids enhance the biological activity, as compared to the biological activity of the parent CAR.

Also provided are functional variants of the CARs described herein, which have substantial or significant sequence identity or similarity to a parent CAR, which functional variant retains the biological activity of the CAR of which it is a variant. Functional variants encompass, for example, those variants 10 of the CAR described herein (the parent CAR) that retain the ability to recognize target cells to a similar extent, the same extent, or to a higher extent, as the parent CAR. In reference to the parent CAR, the functional variant can, for instance, be at least about 30%, about 50%, about 75%, about 80%, about 85%, about 90%, about 91%, about 92%, about 93%, about 94%, about 95%, about 96%), about 97%, about 98%, about 99% or more identical in amino acid sequence to the parent CAR.

15 A functional variant can, for example, comprise the amino acid sequence of the parent CAR with at least one conservative amino acid substitution. Alternatively or additionally, the functional variants can comprise the amino acid sequence of the parent CAR with at least one non-conservative amino acid substitution. In this case, it is preferable for the non-conservative amino acid substitution to not interfere with or inhibit the biological activity of the functional variant. The non-conservative amino acid 20 substitution may enhance the biological activity of the functional variant, such that the biological activity of the functional variant is increased as compared to the parent CAR.

The CARs (including functional portions and functional variants) can be of any length, *i.e.*, can comprise any number of amino acids, provided that the CARs (or functional portions or functional variants thereof) retain their biological activity, *e.g.*, the ability to specifically bind to antigen, detect 25 diseased cells in a mammal, or treat or prevent disease in a mammal, etc. For example, the CAR can be about 50 to about 5000 amino acids long, such as 50, 70, 75, 100, 125, 150, 175, 200, 300, 400, 500, 600, 700, 800, 900, 1000 or more amino acids in length.

The CARs (including functional portions and functional variants of the invention) can comprise synthetic amino acids in place of one or more naturally-occurring amino acids. Such synthetic amino 30 acids are known in the art, and include, for example, aminocyclohexane carboxylic acid, norleucine,  $\alpha$ -amino n-decanoic acid, homoserine, S-acetylaminomethyl-cysteine, trans-3- and trans-4-hydroxyproline, 4- aminophenylalanine, 4- nitrophenylalanine, 4-chlorophenylalanine, 4-carboxyphenylalanine,  $\beta$ -phenylserine  $\beta$ -hydroxyphenylalanine, phenylglycine,  $\alpha$  -naphthylalanine, cyclohexylalanine, cyclohexylglycine, indoline-2-carboxylic acid, 1 ,2,3,4- tetrahydroisoquinoline-3-carboxylic acid, 35 aminomalonic acid, aminomalonic acid monoamide, N'-benzyl-N'-methyl-lysine, N',N'-dibenzyl-lysine, 6-hydroxylsine, ornithine,  $\alpha$ -aminocyclopentane carboxylic acid,  $\alpha$ -aminocyclohexane carboxylic acid, oc- aminocycloheptane carboxylic acid, -(2-amino-2-norbornane)-carboxylic acid,  $\gamma$ -diaminobutyric acid,  $\alpha,\beta$ -diaminopropionic acid, homophenylalanine, and  $\alpha$ -tert-butylglycine.

The CARs (including functional portions and functional variants) can be glycosylated, amidated, carboxylated, phosphorylated, esterified, N-acylated, cyclized via, *e.g.*, a disulfide bridge, or converted into an acid addition salt and/or optionally dimerized or polymerized, or conjugated.

Methods of generating chimeric antigen receptors, T cells including such receptors, and their use are known in the art and further described herein (see, *e.g.*, Brentjens *et al.*, 2010, Molecular Therapy, 18:4, 666-668; Morgan *et al.*, 2010, Molecular Therapy, published online February 23, 2010, pages 1 -9; Till *et al.*, 2008, Blood, 112:2261 -2271; Park *et al.*, Trends Biotechnol., 29:550-557, 2011; Grupp *et al.*, N Engl J Med., 368:1509-1518, 2013; Han *et al.*, J. Hematol Oncol., 6:47, 2013; PCT Pub. WO2012/079000, WO2013/126726; and U.S. Pub. 2012/0213783, each of which is incorporated by reference herein in its entirety.) For example, a nucleic acid molecule encoding a disclosed chimeric antigen binding receptor can be included in an expression vector (such as a lentiviral vector) for expression in a host cell, such as a T cell, to make the disclosed CAR. In some embodiments, methods of using the chimeric antigen receptor include isolating T cells from a subject, transforming the T cells with an expression vector (such as a lentiviral vector) encoding the chimeric antigen receptor, and administering the engineered T cells expressing the chimeric antigen receptor to the subject for treatment, for example for treatment of a tumor in the subject.

#### **D. Polynucleotides and Expression**

Nucleic acids molecules (for example, cDNA molecules) encoding the amino acid sequences of antibodies, antigen binding fragments, CARs, and conjugates that specifically bind gp120 are provided. Nucleic acids encoding these molecules can readily be produced by one of skill in the art, using the amino acid sequences provided herein (such as the CDR sequences and V<sub>H</sub> and V<sub>L</sub> sequences), sequences available in the art (such as framework or constant region sequences), and the genetic code. In several embodiments, a nucleic acid molecule can encode the V<sub>H</sub>, the V<sub>L</sub>, or both the V<sub>H</sub> and V<sub>L</sub> (for example in a bicistronic expression vector) of a disclosed antibody or antigen binding fragment. In several embodiments, the nucleic acid molecules can be expressed in a host cell (such as a mammalian cell) to produce a disclosed antibody or antigen binding fragment.

One of skill in the art can readily use the genetic code to construct a variety of functionally equivalent nucleic acids, such as nucleic acids which differ in sequence but which encode the same antibody sequence, or encode a conjugate or fusion protein including the V<sub>L</sub> and/or V<sub>H</sub> nucleic acid sequence.

In a non-limiting example, an isolated nucleic acid molecule encodes the V<sub>H</sub> of a disclosed antibody or antigen binding fragment and includes the nucleic acid sequence set forth as any one of SEQ ID NOs: 36, 38, 40, or 42. In a non-limiting example, an isolated nucleic acid molecule encodes the V<sub>L</sub> of a disclosed antibody or antigen binding fragment and includes the nucleic acid sequence set forth as any one of SEQ ID NOs: 37, 39, 41, or 43. In a non-limiting example, an isolated nucleic acid molecule encodes the V<sub>H</sub> and V<sub>L</sub> of a disclosed antibody or antigen binding fragment and includes the nucleic acid

sequences set forth as any one of SEQ ID NOS: 36 and 37, respectively, SEQ ID NOS: 38 and 39, respectively, SEQ ID NOS: 40 and 41, respectively, or SEQ ID NOS: 42 and 43, respectively.

Nucleic acid sequences encoding the antibodies, antigen binding fragments, CARs, and conjugates that specifically bind gp120 can be prepared by any suitable method including, for example, cloning of appropriate sequences or by direct chemical synthesis by methods such as the phosphotriester method of Narang *et al.*, *Meth. Enzymol.* 68:90-99, 1979; the phosphodiester method of Brown *et al.*, *Meth. Enzymol.* 68:109-151, 1979; the diethylphosphoramidite method of Beaucage *et al.*, *Tetra. Lett.* 22:1859-1862, 1981; the solid phase phosphoramidite triester method described by Beaucage & Caruthers, *Tetra. Letts.* 22(20):1859-1862, 1981, for example, using an automated synthesizer as described in, for example, Needham-VanDevanter *et al.*, *Nucl. Acids Res.* 12:6159-6168, 1984; and, the solid support method of U.S. Patent No. 4,458,066. Chemical synthesis produces a single stranded oligonucleotide. This can be converted into double stranded DNA by hybridization with a complementary sequence or by polymerization with a DNA polymerase using the single strand as a template.

Exemplary nucleic acids can be prepared by cloning techniques. Examples of appropriate cloning and sequencing techniques, and instructions sufficient to direct persons of skill through many cloning exercises are known (see, *e.g.*, Sambrook *et al.* (*Molecular Cloning: A Laboratory Manual*, 4<sup>th</sup> ed., Cold Spring Harbor, New York, 2012) and Ausubel *et al.* (*In Current Protocols in Molecular Biology*, John Wiley & Sons, New York, through supplement 104, 2013). Product information from manufacturers of biological reagents and experimental equipment also provide useful information. Such manufacturers include the SIGMA Chemical Company (Saint Louis, MO), R&D Systems (Minneapolis, MN), Pharmacia Amersham (Piscataway, NJ), CLONTECH Laboratories, Inc. (Palo Alto, CA), Chem Genes Corp., Aldrich Chemical Company (Milwaukee, WI), Glen Research, Inc., GIBCO BRL Life Technologies, Inc. (Gaithersburg, MD), Fluka Chemica-Biochemika Analytika (Fluka Chemie AG, Buchs, Switzerland), Invitrogen (Carlsbad, CA), and Applied Biosystems (Foster City, CA), as well as many other commercial sources known to one of skill.

Nucleic acids can also be prepared by amplification methods. Amplification methods include polymerase chain reaction (PCR), the ligase chain reaction (LCR), the transcription-based amplification system (TAS), the self-sustained sequence replication system (3SR). A wide variety of cloning methods, host cells, and *in vitro* amplification methodologies are well known to persons of skill.

In some embodiments, the nucleic acid molecule encodes a CAR as provided herein for expression in a T cell to generate a chimeric antigen receptor T cell. The nucleic acid molecule encoding the chimeric antigen binding receptor can be included in a vector (such as a lentiviral vector) for expression in a host cell, such as a T cell. Exemplary cells include a T cell, a Natural Killer (NK) cell, a cytotoxic T lymphocyte (CTL), and a regulatory T cell. Methods of generating nucleic acid molecules encoding chimeric antigen receptors and T cells including such receptors are known in the art (see, *e.g.*, Brentjens *et al.*, 2010, *Molecular Therapy*, 18:4, 666-668; Morgan *et al.*, 2010, *Molecular Therapy*, published online February 23, 2010, pages 1 -9; Till *et al.*, 2008, *Blood*, 112:2261 -2271; Park *et al.*,

*Trends Biotechnol.*, 29:550-557, 2011; Grupp *et al.*, *N Engl J Med.*, 368:1509-1518, 2013; Han *et al.*, *J. Hematol Oncol.*, 6:47, 2013; PCT Pub. WO2012/079000, WO2013/126726; and U.S. Pub. 2012/0213783, each of which is incorporated by reference herein in its entirety.)

The nucleic acid molecules can be expressed in a recombinantly engineered cell such as bacteria, 5 plant, yeast, insect and mammalian cells. The antibodies, antigen binding fragments, and conjugates can be expressed as individual  $V_H$  and/or  $V_L$  chain (linked to an effector molecule or detectable marker as needed), or can be expressed as a fusion protein. Methods of expressing and purifying antibodies and antigen binding fragments are known and further described herein (see, *e.g.*, Al-Rubeai (ed), *Antibody Expression and Production*, Springer Press, 2011). An immunoadhesin can also be expressed. Thus, in 10 some examples, nucleic acids encoding a  $V_H$  and  $V_L$ , and immunoadhesin are provided. The nucleic acid sequences can optionally encode a leader sequence.

To create a scFv the  $V_H$ - and  $V_L$ -encoding DNA fragments can be operatively linked to another fragment encoding a flexible linker, *e.g.*, encoding the amino acid sequence (Gly<sub>4</sub>-Ser)<sub>3</sub>, such that the  $V_H$  and  $V_L$  sequences can be expressed as a contiguous single-chain protein, with the  $V_L$  and  $V_H$  domains joined by the flexible linker (see, *e.g.*, Bird *et al.*, *Science* 242:423-426, 1988; Huston *et al.*, *Proc. Natl. Acad. Sci. USA* 85:5879-5883, 1988; McCafferty *et al.*, *Nature* 348:552-554, 1990; Kontermann and Dubel (Ed), *Antibody Engineering*, Vols. 1-2, 2<sup>nd</sup> Ed., Springer Press, 2010; Harlow and Lane, *Antibodies: A Laboratory Manual*, 2<sup>nd</sup>, Cold Spring Harbor Laboratory, New York, 2013.). Optionally, a cleavage site can be included in a linker, such as a furin cleavage site.

20 The nucleic acid encoding a  $V_H$  and/or the  $V_L$  optionally can encode an Fc domain (immunoadhesin). The Fc domain can be an IgA, IgM or IgG Fc domain. The Fc domain can be an optimized Fc domain, as described in U.S. Published Patent Application No. 2010/093979, incorporated herein by reference. In one example, the immunoadhesin is an IgG<sub>1</sub> Fc.

25 The single chain antibody may be monovalent, if only a single  $V_H$  and  $V_L$  are used, bivalent, if two  $V_H$  and  $V_L$  are used, or polyvalent, if more than two  $V_H$  and  $V_L$  are used. Bispecific or polyvalent antibodies may be generated that bind specifically to gp120 and another antigen, such as, but not limited to CD3. The encoded  $V_H$  and  $V_L$  optionally can include a furin cleavage site between the  $V_H$  and  $V_L$  domains.

30 Those of skill in the art are knowledgeable in the numerous expression systems available for expression of proteins including *E. coli*, other bacterial hosts, yeast, and various higher eukaryotic cells such as the COS, CHO, HeLa and myeloma cell lines.

35 One or more DNA sequences encoding the antibodies, antigen binding fragments, CARs or conjugates can be expressed *in vitro* by DNA transfer into a suitable host cell. The cell may be prokaryotic or eukaryotic. The term also includes any progeny of the subject host cell. It is understood that all progeny may not be identical to the parental cell since there may be mutations that occur during replication. Methods of stable transfer, meaning that the foreign DNA is continuously maintained in the host, are known in the art. Hybridomas expressing the antibodies of interest are also encompassed by this disclosure.

The expression of nucleic acids encoding the antibodies and antigen binding fragments described herein can be achieved by operably linking the DNA or cDNA to a promoter (which is either constitutive or inducible), followed by incorporation into an expression cassette. The promoter can be any promoter of interest, including a cytomegalovirus promoter and a human T cell lymphotrophic virus promoter

5 (HTLV)-1. Optionally, an enhancer, such as a cytomegalovirus enhancer, is included in the construct. The cassettes can be suitable for replication and integration in either prokaryotes or eukaryotes. Typical expression cassettes contain specific sequences useful for regulation of the expression of the DNA encoding the protein. For example, the expression cassettes can include appropriate promoters, enhancers, transcription and translation terminators, initiation sequences, a start codon (*i.e.*, ATG) in

10 front of a protein-encoding gene, splicing signal for introns, sequences for the maintenance of the correct reading frame of that gene to permit proper translation of mRNA, and stop codons. The vector can encode a selectable marker, such as a marker encoding drug resistance (for example, ampicillin or tetracycline resistance).

To obtain high level expression of a cloned gene, it is desirable to construct expression cassettes which contain, at the minimum, a strong promoter to direct transcription, a ribosome binding site for translational initiation (internal ribosomal binding sequences), and a transcription/translation terminator. For *E. coli*, this can include a promoter such as the T7, trp, lac, or lambda promoters, a ribosome binding site, and preferably a transcription termination signal. For eukaryotic cells, the control sequences can include a promoter and/or an enhancer derived from, for example, an immunoglobulin gene, HTLV, SV40 or cytomegalovirus, and a polyadenylation sequence, and can further include splice donor and/or acceptor sequences (for example, CMV and/or HTLV splice acceptor and donor sequences). The cassettes can be transferred into the chosen host cell by well-known methods such as transformation or electroporation for *E. coli* and calcium phosphate treatment, electroporation or lipofection for mammalian cells. Cells transformed by the cassettes can be selected by resistance to antibiotics conferred by genes contained in the cassettes, such as the amp, gpt, neo and hyg genes.

When the host is a eukaryote, such methods of transfection of DNA as calcium phosphate coprecipitates, conventional mechanical procedures such as microinjection, electroporation, insertion of a plasmid encased in liposomes, or virus vectors may be used. Eukaryotic cells can also be cotransformed with polynucleotide sequences encoding the antibody, labeled antibody, or antigen biding fragment, and a second foreign DNA molecule encoding a selectable phenotype, such as the herpes simplex thymidine kinase gene. Another method is to use a eukaryotic viral vector, such as simian virus 40 (SV40) or bovine papilloma virus, to transiently infect or transform eukaryotic cells and express the protein (see for example, *Viral Expression Vectors*, Springer press, Muzyczka ed., 2011). One of skill in the art can readily use an expression systems such as plasmids and vectors of use in producing proteins in cells including higher eukaryotic cells such as the COS, CHO, HeLa and myeloma cell lines.

For purposes of producing a recombinant CAR, the host cell may be a mammalian cell. The host cell may be a human cell. In some embodiments, the host cell may be a peripheral blood lymphocyte (PBL) or a peripheral blood mononuclear cell (PBMC), or a T cell. The T cell can be any T cell, such as

a cultured T cell, *e.g.*, a primary T cell, or a T cell from a cultured T cell line, *e.g.*, Jurkat, SupT1, etc., or a T cell obtained from a mammal. If obtained from a mammal, the T cell can be obtained from numerous sources, including but not limited to blood, bone marrow, lymph node, the thymus, or other tissues or fluids. T cells can also be enriched for or purified. The T cell may be a human T cell. The T cell may be 5 a T cell isolated from a human. The T cell can be any type of T cell and can be of any developmental stage, including but not limited to, CD4<sup>+</sup>/CD8<sup>+</sup> double positive T cells, CD4<sup>+</sup> helper T cells, *e.g.*, Th<sub>1</sub> and Th<sub>2</sub> cells, CD8<sup>+</sup> T cells (*e.g.*, cytotoxic T cells), tumor infiltrating cells, memory T cells, naive T cells, and the like. The T cell may be a CD8<sup>+</sup> T cell or a CD4<sup>+</sup> T cell.

Also provided is a population of cells comprising at least one host cell described herein. The 10 population of cells can be a heterogeneous population comprising the host cell comprising any of the recombinant expression vectors described, in addition to at least one other cell, *e.g.*, a host cell (*e.g.*, a T cell), which does not comprise any of the recombinant expression vectors, or a cell other than a T cell, *e.g.*, a B cell, a macrophage, a neutrophil, an erythrocyte, a hepatocyte, an endothelial cell, an epithelial cell, a muscle cell, a brain cell, etc. Alternatively, the population of cells can be a substantially 15 homogeneous population, in which the population comprises mainly host cells (*e.g.*, consisting essentially of) comprising the recombinant expression vector. The population also can be a clonal population of cells, in which all cells of the population are clones of a single host cell comprising a recombinant expression vector, such that all cells of the population comprise the recombinant expression vector. In one embodiment of the invention, the population of cells is a clonal population comprising 20 host cells comprising a recombinant expression vector as described herein

Modifications can be made to a nucleic acid encoding a polypeptide described herein without diminishing its biological activity. Some modifications can be made to facilitate the cloning, expression, or incorporation of the targeting molecule into a fusion protein. Such modifications are well known to those of skill in the art and include, for example, termination codons, a methionine added at the amino 25 terminus to provide an initiation, site, additional amino acids placed on either terminus to create conveniently located restriction sites, or additional amino acids (such as poly His) to aid in purification steps. In addition to recombinant methods, the immunoconjugates, effector moieties, and antibodies of the present disclosure can also be constructed in whole or in part using standard peptide synthesis well known in the art.

Once expressed, the antibodies, antigen binding fragments, and conjugates can be purified 30 according to standard procedures in the art, including ammonium sulfate precipitation, affinity columns, column chromatography, and the like (see, generally, Simpson ed., *Basic methods in Protein Purification and Analysis: A laboratory Manual*, Cold Harbor Press, 2008). The antibodies, antigen binding fragment, and conjugates need not be 100% pure. Once purified, partially or to homogeneity as desired, if 35 to be used therapeutically, the polypeptides should be substantially free of endotoxin.

Methods for expression of the antibodies, antigen binding fragments, and conjugates, and/or refolding to an appropriate active form, from mammalian cells, and bacteria such as *E. coli* have been described and are well-known and are applicable to the antibodies disclosed herein. See, *e.g.*, Harlow and

Lane, *Antibodies: A Laboratory Manual*, 2<sup>nd</sup>, Cold Spring Harbor Laboratory, New York, 2013, Simpson ed., Basic methods in Protein Purification and Analysis: A laboratory Manual, Cold Harbor Press, 2008, and Ward *et al.*, *Nature* 341:544, 1989.

In addition to recombinant methods, the antibodies, antigen binding fragments, and/or conjugates 5 can also be constructed in whole or in part using standard peptide synthesis. Solid phase synthesis of the polypeptides can be accomplished by attaching the C-terminal amino acid of the sequence to an insoluble support followed by sequential addition of the remaining amino acids in the sequence. Techniques for solid phase synthesis are described by Barany & Merrifield, *The Peptides: Analysis, Synthesis, Biology*. Vol. 2: *Special Methods in Peptide Synthesis, Part A*. pp. 3-284; Merrifield *et al.*, *J. Am. Chem. Soc.* 10 85:2149-2156, 1963, and Stewart *et al.*, *Solid Phase Peptide Synthesis*, 2<sup>nd</sup> ed., Pierce Chem. Co., Rockford, Ill., 1984. Proteins of greater length may be synthesized by condensation of the amino and carboxyl termini of shorter fragments. Methods of forming peptide bonds by activation of a carboxyl terminal end (such as by the use of the coupling reagent N, N'-dicyclohexylcarbodiimide) are well known in the art.

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**E. Methods and Compositions**

1. Therapeutic methods

Methods are disclosed herein for the prevention or treatment of an HIV-1 infection. Prevention can include inhibition of infection with HIV-1. The methods include contacting a cell with a 20 therapeutically effective amount of a disclosed antibody, antigen binding fragment, conjugate, CAR or T cell expressing a CAR that specifically binds gp120, or a nucleic acid encoding such an antibody, antigen binding fragment, conjugate, or CAR. The method can also include administering to a subject a therapeutically effective amount of a disclosed antibody, antigen binding fragment, conjugate, CAR or T cell expressing a CAR that specifically binds gp120, or a nucleic acid encoding such an antibody, antigen 25 binding fragment, conjugate, or CAR, to a subject. In some examples, the antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule, can be used pre-exposure (for example, to prevent or inhibit HIV infection). In some examples, the antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule, can be used in post-exposure prophylaxis. In some examples, the antibody, antigen binding fragment, conjugate, or nucleic 30 acid molecule, can be used to eliminate or reduce the viral reservoir of HIV-1 in a subject. For example a therapeutically effective amount of an antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule, can be administered to a subject with HIV-1, such as a subject being treated with anti-viral therapy. In some examples the antibody, antigen binding fragment, conjugate, or nucleic acid molecule is modified such that it is directly cytotoxic to infected cells (e.g., by 35 conjugation to a toxin), or uses natural defenses such as complement, antibody dependent cellular cytotoxicity (ADCC), or phagocytosis by macrophages.

HIV-1 infection does not need to be completely eliminated or prevented for the method to be effective. For example, a method can decrease HIV-1 infection by a desired amount, for example by at

least 10%, at least 20%, at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98%, or even at least 100% (elimination of detectable HIV-1 infected cells), as compared to HIV-1 infection in the absence of the treatment. In some embodiments, the cell is also contacted with a therapeutically effective amount of an additional agent, such as anti-viral agent. The cell can be *in vivo* or *in vitro*. The methods can include administration of one or more additional agents known in the art. In additional embodiments, HIV-1 replication can be reduced or inhibited by similar methods. HIV-1 replication does not need to be completely eliminated for the method to be effective. For example, a method can decrease HIV-1 replication by a desired amount, for example by at least 10%, at least 20%, at least 50%, at least 60%, at least 70%, at least 80%, at least 90%, at least 95%, at least 98%, or even at least 100% (elimination of detectable HIV-1), as compared to HIV-1 replication in the absence of the treatment.

In one embodiment, administration of a disclosed antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule, results in a reduction in the establishment of HIV-1 infection and/or reducing subsequent HIV-1 disease progression in a subject. A reduction in the establishment of HIV-1 infection and/or a reduction in subsequent HIV-1 disease progression encompass any statistically significant reduction in HIV-1 activity. In some embodiments, methods are disclosed for treating a subject with an HIV-1 infection. These methods include administering to the subject a therapeutically effective amount of a disclosed antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule, thereby preventing or treating the HIV-1 infection.

Studies have shown that the rate of HIV-1 transmission from mother to infant is reduced significantly when zidovudine is administered to HIV-infected women during pregnancy and delivery and to the offspring after birth (Connor *et al.*, 1994 *Pediatr Infect Dis J* 14: 536-541). Several studies of mother-to-infant transmission of HIV-1 have demonstrated a correlation between the maternal virus load at delivery and risk of HIV-1 transmission to the child. The present disclosure provides antibodies, antigen binding fragments, conjugates, CAR, T cell expressing a CAR, and nucleic acid molecule that are of use in decreasing HIV-transmission from mother to infant. Thus, in some examples, a therapeutically effective amount of a gp120-specific antibody or antigen binding fragment thereof or nucleic acid encoding such antibodies or antibody antigen binding fragments, is administered in order to prevent transmission of HIV, or decrease the risk of transmission of HIV, from a mother to an infant. In some examples, a therapeutically effective amount of the antibody, or an antigen binding fragment or nucleic acid encoding such antibodies or antigen binding fragment, is administered to mother and/or to the child at childbirth. In other examples, a therapeutically effective amount of the antibody, antigen binding fragment, or nucleic acid encoding the antibody or antigen binding fragment is administered to the mother and/or infant prior to breast feeding in order to prevent viral transmission to the infant or decrease the risk of viral transmission to the infant. In some embodiments, both a therapeutically effective amount of the antibody, antigen binding fragment, or nucleic acid encoding the antibody or antigen binding fragment and a therapeutically effective amount of another agent, such as zidovudine, is administered to the mother and/or infant.

For any application, the antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule can be combined with anti-retroviral therapy. Antiretroviral drugs are broadly classified by the phase of the retrovirus life-cycle that the drug inhibits. The disclosed antibodies can be administered in conjunction with nucleoside analog reverse-transcriptase inhibitors (such as zidovudine, didanosine, zalcitabine, stavudine, lamivudine, abacavir, emtricitabine, entecavir, and apricitabine), nucleotide reverse transcriptase inhibitors (such as tenofovir and adefovir), non-nucleoside reverse transcriptase inhibitors (such as efavirenz, nevirapine, delavirdine, etravirine, and rilpivirine), protease inhibitors (such as saquinavir, ritonavir, indinavir, nelfinavir, amprenavir, lopinavir, fosamprenavir, atazanavir, tipranavir, and darunavir), entry or fusion inhibitors (such as maraviroc and enfuvirtide), maturation inhibitors, (such as bevirimat and vivecon), or a broad spectrum inhibitors, such as natural antivirals. In some examples, a disclosed antibody or active fragment thereof or nucleic acids encoding such is administered in conjunction with IL-15, or conjugated to IL-15.

Studies have shown that cocktails of HIV-1 neutralizing antibodies that target different epitopes of gp120 can treat macaques chronically infected with SHIV (Shingai *et al.*, *Nature*, 503, 277-280, 2013;

15 and Barouch *et al.*, *Nature*, 503, 224-228, 2013). Accordingly, in some examples, a subject is further administered one or more additional antibodies that bind HIV-1 Env (*e.g.*, that bind to gp120 and/or gp41), and that can neutralize HIV-1. The additional antibodies can be administrated before, during, or after administration of the novel antibodies disclosed herein (*e.g.*, the CH540-VRC40.01 antibody). In some embodiments, the additional antibody can be an antibody that specifically binds to an epitope on

20 HIV-1 Env such as the CD4 binding site (*e.g.*, b12, 3BNC117, VRC01, VRC07, VRC07-523, or VRC-CH31 (for description of VRC-CH31 see WO/2012/154311, which disclosure is incorporated by reference in its entirety), the membrane-proximal external region (*e.g.*, 10E8 antibody, DH512 antibody (for description of DH512 see U.S. Application Ser. No. 62/135,309 filed March 19, 2015 which disclosure and specifically sequences are incorporated by reference in their entirety), the V1/V2 domain

25 (*e.g.*, PG9 antibody, CAP256-VRC26 ), or the V3 loop (*e.g.*, 10-1074, PGT 121, DH270, DH429 (for description of DH270 and DH429 see U.S. Application Ser. No. 62/056,568 filed September 29, 2014 which disclosure and specifically sequences are incorporated by reference in their entirety), or PGT128 antibody), or those that bind both gp120 and gp41 subunits (*e.g.*, 35O22, PGT151, or 8ANC195).

Antibodies that specifically bind to these regions and neutralizing HIV-1 infection are known to the person of ordinary skill in the art. Non-limiting examples can be found, for example, in PCT Pub. No. WO 2011/038290, WO/2013/086533, WO/2013/090644, WO/2012/158948, which are incorporated herein by reference in their entirety.

In some examples, a subject is administered the DNA encoding the antibody or antigen binding fragments thereof, to provide *in vivo* antibody production, for example using the cellular machinery of the subject. Immunization by nucleic acid constructs is well known in the art and taught, for example, in U.S. Patent No. 5,643,578, and U.S. Patent No. 5,593,972 and U.S. Patent No. 5,817,637. U.S. Patent No. 5,880,103 describes several methods of delivery of nucleic acids encoding to an organism.

One approach to administration of nucleic acids is direct administration with plasmid DNA, such as with a mammalian expression plasmid. The nucleotide sequence encoding the disclosed antibody, or antigen binding fragments thereof, can be placed under the control of a promoter to increase expression. The methods include liposomal delivery of the nucleic acids. Such methods can be applied to the 5 production of an antibody, or antigen binding fragments thereof, by one of ordinary skill in the art. In some embodiments, a disclosed antibody or antigen binding fragment is expressed in a subject using the pVRC8400 vector (described in Barouch et al., *J. Virol.*, 79, 8828-8834, 2005, which is incorporated by reference herein).

The nucleic acid molecules encoding the disclosed antibodies (such as N6 antibody) or antigen 10 binding fragments can be included in a viral vector, for example for expression of the antibody or antigen binding fragment in a host cell, or a subject (such as a subject with or at risk of HIV-1 infection). A number of viral vectors have been constructed, that can be used to express the disclosed antibodies or antigen binding fragments, such as a retroviral vector, an adenoviral vector, or an adeno-associated virus (AAV) vector. In several examples, the viral vector can be replication-competent. For example, the viral 15 vector can have a mutation in the viral genome that does not inhibit viral replication in host cells. The viral vector also can be conditionally replication-competent. In other examples, the viral vector is replication-deficient in host cells.

In several embodiments, a subject (such as a human subject with or at risk of HIV-1 infection) can be administered a therapeutically effective amount of an adeno-associated virus (AAV) viral vector 20 that includes one or more nucleic acid molecules encoding a disclosed antibody or antigen binding fragment (such as N6 antibody). The AAV viral vector is designed for expression of the nucleic acid molecules encoding a disclosed antibody or antigen binding fragment, and administration of the therapeutically effective amount of the AAV viral vector to the subject leads to expression of a therapeutically effective amount of the antibody or antigen binding fragment in the subject. Non-limiting 25 examples of AAV viral vectors that can be used to express a disclosed antibody or antigen binding fragment in a subject include those provided in Johnson *et al* (“Vector-mediated gene transfer engenders long-lived neutralizing activity and protection against SIV infection in monkeys,” *Nat. Med.*, 15(8):901-906, 2009) and Gardner *et al.* (“AAV-expressed eCD4-Ig provides durable protection from multiple 30 SHIV challenges,” *Nature*, 519(7541): 87-91, 2015), each of which is incorporated by reference herein in its entirety.

In one embodiment, a nucleic acid encoding a disclosed antibody, or antigen binding fragments thereof, is introduced directly into cells. For example, the nucleic acid can be loaded onto gold microspheres by standard methods and introduced into the skin by a device such as Bio-Rad’s HELIOS™ Gene Gun. The nucleic acids can be “naked,” consisting of plasmids under control of a strong promoter.

35 Typically, the DNA is injected into muscle, although it can also be injected directly into other sites. Dosages for injection are usually around 0.5 µg/kg to about 50 mg/kg, and typically are about 0.005 mg/kg to about 5 mg/kg (see, *e.g.*, U.S. Patent No. 5,589,466).

## 2. Dosages

A therapeutically effective amount of a gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, will depend upon the severity of the disease and/or infection and the general state of the patient's health. A 5 therapeutically effective amount is that which provides either subjective relief of a symptom(s) or an objectively identifiable improvement as noted by the clinician or other qualified observer. The gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, can be administered in conjunction with another therapeutic agent, either simultaneously or sequentially.

10 Single or multiple administrations of a composition including a disclosed gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, can be administered depending on the dosage and frequency as required and tolerated by the patient. Compositions including the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, should

15 provide a sufficient quantity of at least one of the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules to effectively treat the patient. The dosage can be administered once, but may be applied periodically until either a therapeutic result is achieved or until side effects warrant discontinuation of therapy. In one example, a dose of the antibody or antigen binding fragment is infused for thirty minutes every other day.

20 In this example, about one to about ten doses can be administered, such as three or six doses can be administered every other day. In a further example, a continuous infusion is administered for about five to about ten days. The subject can be treated at regular intervals, such as monthly, until a desired therapeutic result is achieved. Generally, the dose is sufficient to treat or ameliorate symptoms or signs of disease without producing unacceptable toxicity to the patient.

25 Data obtained from cell culture assays and animal studies can be used to formulate a range of dosage for use in humans. The dosage normally lies within a range of circulating concentrations that include the ED<sub>50</sub>, with little or minimal toxicity. The dosage can vary within this range depending upon the dosage form employed and the route of administration utilized. The therapeutically effective dose can be determined from cell culture assays and animal studies.

30 In certain embodiments, the antibody or antigen binding fragment that specifically binds gp120, or conjugate thereof, or a nucleic acid molecule or vector encoding such a molecule, or a composition including such molecules, is administered at a dose in the range of from about 5 or 10 nmol/kg to about 300 nmol/kg, or from about 20 nmol/kg to about 200 nmol/kg, or at a dose of about 5, 10, 15, 20, 25, 30, 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, 90, 95, 100, 110, 120, 125, 130, 140, 150, 160, 170, 175, 180, 350, 400, 450, 500, 750, 1000, 1250, 1500, 1750 or 2000 nmol/kg, or at a dose of about 5, 10, 20, 30, 40, 50, 60, 70, 80, 90, 100, 110, 120, 130, 140, 150, 160, 170, 180, 190, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700, 750, 800, 850, 900, 950 or 1000 µg/kg, or about 1, 1.25, 1.5, 2, 2.5, 3, 3.5, 4, 4.5, 5, 5.5, 6, 6.5, 7, 7.5, 8, 8.5, 9, 9.5 or 10 mg/kg,

or other dose deemed appropriate by the treating physician. In some embodiments, the antibody or antigen binding fragment can be administered to a subject at a dose of from about 0.5 to about 40 mg/kg, such as about 1 to about 30, about 1 to about 20, about 1 to about 15, about 1 to about 10, about 1 to about 5, about 1 to about 3, about 0.5 to about 40 mg/kg, such as about 0.5 to about 30, about 0.5 to about 5, about 0.5 to about 15, about 0.5 to about 10, about 0.5 to about 20, about 0.5 to about 15, about 0.5 to about 10, about 0.5 to about 3, about 3 to about 7, about 8 to about 12, about 15 to about 25, about 18 to about 22, about 28 to about 32, about 10 to about 20, about 5 to about 15, or about 20 to about 40 mg/kg. The doses described herein can be administered according to the dosing frequency/frequency of administration described herein, including without limitation daily, 2 or 3 times per week, weekly, every 2 weeks, every 3 weeks, monthly, every 10 other month, etc.

In some embodiments, a disclosed therapeutic agent may be administered intravenously, subcutaneously, or by another mode daily or multiple times per week for a period of time, followed by a period of no treatment, then the cycle is repeated. In some embodiments, the initial period of treatment (e.g., administration of the therapeutic agent daily or multiple times per week) is for 3 days, 1 week, 2 weeks, 3 weeks, 4 weeks, 5 weeks, 6 weeks, 7 weeks, 8 weeks, 9 weeks, 10 weeks, 11 weeks or 12 weeks. In a related embodiment, the period of no treatment lasts for 3 days, 1 week, 2 weeks, 3 weeks or 4 weeks. In certain embodiments, the dosing regimen of the therapeutic agent is daily for 3 days followed by 3 days off; or daily or multiple times per week for 1 week followed by 3 days or 1 week off; or daily or multiple times per week for 2 weeks followed by 1 or 2 weeks off; or daily or multiple times per week for 3 weeks followed by 1, 2 or 3 weeks off; or daily or multiple times per week for 4, 5, 6, 7, 8, 9, 10, 11 or 12 weeks followed by 1, 2, 3 or 4 weeks off.

### 3. Modes of Administration

The gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, or a composition including such molecules, as well as additional agents, can be administered to subjects in various ways, including local and systemic administration, such as, e.g., by injection subcutaneously, intravenously, intra-arterially, intraperitoneally, intramuscularly, intradermally, or intrathecally. In an embodiment, a therapeutic agent is administered by a single subcutaneous, intravenous, intra-arterial, intraperitoneal, intramuscular, intradermal or intrathecal injection once a day. The therapeutic agent can also be administered by direct injection at or near the site of disease.

The gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, or a composition including such molecules may also be administered orally in the form of microspheres, microcapsules, liposomes (uncharged or charged (e.g., cationic)), polymeric microparticles (e.g., polyamides, polylactide, polyglycolide, poly(lactide-glycolide)), microemulsions, and the like.

A further method of administration is by osmotic pump (e.g., an Alzet pump) or mini-pump (e.g., an Alzet mini-osmotic pump), which allows for controlled, continuous and/or slow-release delivery of

the therapeutic agent or pharmaceutical composition over a pre-determined period. The osmotic pump or mini-pump can be implanted subcutaneously, or near a target site.

It will be apparent to one skilled in the art that the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, or a composition including such molecules can also be administered by other modes. Determination of the most effective mode of administration is within the skill of the skilled artisan. The gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, or a composition including such molecules can be administered as pharmaceutical formulations suitable for, *e.g.*, oral (including buccal and sub-lingual), rectal, nasal, topical, pulmonary, vaginal or parenteral (including intramuscular, intraarterial, intrathecal, subcutaneous and intravenous) administration, or in a form suitable for administration by inhalation or insufflation. Depending on the intended mode of administration, the pharmaceutical formulations can be in the form of solid, semi-solid or liquid dosage forms, such as tablets, suppositories, pills, capsules, powders, liquids, suspensions, emulsions, creams, ointments, lotions, and the like. The formulations can be provided in unit dosage form suitable for single administration of a precise dosage. The formulations comprise an effective amount of a therapeutic agent, and one or more pharmaceutically acceptable excipients, carriers and/or diluents, and optionally one or more other biologically active agents.

#### 4. Compositions

Compositions are provided that include one or more of the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, that are disclosed herein in a carrier. The compositions are useful, for example, for example, for the treatment or detection of an HIV-1 infection. The compositions can be prepared in unit dosage forms for administration to a subject. The amount and timing of administration are at the discretion of the treating physician to achieve the desired purposes. The gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules can be formulated for systemic or local administration. In one example, the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, is formulated for parenteral administration, such as intravenous administration.

In some embodiments, the compositions comprise an antibody, antigen binding fragment, or conjugate thereof, in at least 70% (such as at least 75%, at least 80%, at least 85%, at least 90%, at least 95%, at least 96%, at least 97%, at least 98% or at least 99% purity. In certain embodiments, the compositions contain less than 10% (such as less than 5%, less than 4%, less than 3%, less than 2%, less than 1%, less than 0.5%, or even less) of macromolecular contaminants, such as other mammalian (*e.g.*, human) proteins.

The compositions for administration can include a solution of the gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, dissolved in a pharmaceutically acceptable carrier, such as an aqueous carrier. A variety

of aqueous carriers can be used, for example, buffered saline and the like. These solutions are sterile and generally free of undesirable matter. These compositions may be sterilized by conventional, well known sterilization techniques. The compositions may contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions such as pH adjusting and buffering agents, toxicity 5 adjusting agents and the like, for example, sodium acetate, sodium chloride, potassium chloride, calcium chloride, sodium lactate and the like. The concentration of antibody in these formulations can vary widely, and will be selected primarily based on fluid volumes, viscosities, body weight and the like in accordance with the particular mode of administration selected and the subject's needs.

A typical composition for intravenous administration includes about 0.01 to about 30 mg/kg of 10 antibody or antigen binding fragment or conjugate per subject per day (or the corresponding dose of a conjugate including the antibody or antigen binding fragment). Actual methods for preparing administrable compositions will be known or apparent to those skilled in the art and are described in more detail in such publications as *Remington's Pharmaceutical Science, 19th ed.*, Mack Publishing Company, Easton, PA (1995). In some embodiments, the composition can be a liquid formulation 15 including one or more antibodies, antigen binding fragments (such as an antibody or antigen binding fragment that specifically binds to gp120), in a concentration range from about 0.1 mg/ml to about 20 mg/ml, or from about 0.5 mg/ml to about 20 mg/ml, or from about 1 mg/ml to about 20 mg/ml, or from about 0.1 mg/ml to about 10 mg/ml, or from about 0.5 mg/ml to about 10 mg/ml, or from about 1 mg/ml to about 10 mg/ml.

20 Antibodies, or an antigen binding fragment thereof or a conjugate or a nucleic acid encoding such molecules, can be provided in lyophilized form and rehydrated with sterile water before administration, although they are also provided in sterile solutions of known concentration. The antibody solution, or an antigen binding fragment or a nucleic acid encoding such antibodies or antigen binding fragments, can then be added to an infusion bag containing 0.9% sodium chloride, USP, and typically 25 administered at a dosage of from 0.5 to 15 mg/kg of body weight. Considerable experience is available in the art in the administration of antibody drugs, which have been marketed in the U.S. since the approval of RITUXAN® in 1997. Antibodies, antigen binding fragments, conjugates, or a nucleic acid encoding such molecules, can be administered by slow infusion, rather than in an intravenous push or bolus. In one example, a higher loading dose is administered, with subsequent, maintenance doses being administered 30 at a lower level. For example, an initial loading dose of 4 mg/kg may be infused over a period of some 90 minutes, followed by weekly maintenance doses for 4-8 weeks of 2 mg/kg infused over a 30 minute period if the previous dose was well tolerated.

Controlled-release parenteral formulations can be made as implants, oily injections, or as 35 particulate systems. For a broad overview of protein delivery systems see, Banga, A.J., *Therapeutic Peptides and Proteins: Formulation, Processing, and Delivery Systems*, Technomic Publishing Company, Inc., Lancaster, PA, (1995). Particulate systems include microspheres, microparticles, microcapsules, nanocapsules, nanospheres, and nanoparticles. Microcapsules contain the therapeutic protein, such as a cytotoxin or a drug, as a central core. In microspheres the therapeutic is dispersed

throughout the particle. Particles, microspheres, and microcapsules smaller than about 1  $\mu\text{m}$  are generally referred to as nanoparticles, nanospheres, and nanocapsules, respectively. Capillaries have a diameter of approximately 5  $\mu\text{m}$  so that only nanoparticles are administered intravenously. Microparticles are typically around 100  $\mu\text{m}$  in diameter and are administered subcutaneously or intramuscularly. See, for example, Kreuter, J., *Colloidal Drug Delivery Systems*, J. Kreuter, ed., Marcel Dekker, Inc., New York, NY, pp. 219-342 (1994); and Tice & Tabibi, *Treatise on Controlled Drug Delivery*, A. Kydonieus, ed., Marcel Dekker, Inc. New York, NY, pp. 315-339, (1992).

Polymers can be used for ion-controlled release of the antibody compositions disclosed herein. Various degradable and nondegradable polymeric matrices for use in controlled drug delivery are known in the art (Langer, *Accounts Chem. Res.* 26:537-542, 1993). For example, the block copolymer, poloxamer 407, exists as a viscous yet mobile liquid at low temperatures but forms a semisolid gel at body temperature. It has been shown to be an effective vehicle for formulation and sustained delivery of recombinant interleukin-2 and urease (Johnston *et al.*, *Pharm. Res.* 9:425-434, 1992; and Pec *et al.*, *J. Parent. Sci. Tech.* 44(2):58-65, 1990). Alternatively, hydroxyapatite has been used as a microcarrier for controlled release of proteins (Ijntema *et al.*, *Int. J. Pharm.* 112:215-224, 1994). In yet another aspect, liposomes are used for controlled release as well as drug targeting of the lipid-capsulated drug (Betageri *et al.*, *Liposome Drug Delivery Systems*, Technomic Publishing Co., Inc., Lancaster, PA (1993)). Numerous additional systems for controlled delivery of therapeutic proteins are known (see U.S. Patent No. 5,055,303; U.S. Patent No. 5,188,837; U.S. Patent No. 4,235,871; U.S. Patent No. 4,501,728; U.S. Patent No. 4,837,028; U.S. Patent No. 4,957,735; U.S. Patent No. 5,019,369; U.S. Patent No. 5,055,303; U.S. Patent No. 5,514,670; U.S. Patent No. 5,413,797; U.S. Patent No. 5,268,164; U.S. Patent No. 5,004,697; U.S. Patent No. 4,902,505; U.S. Patent No. 5,506,206; U.S. Patent No. 5,271,961; U.S. Patent No. 5,254,342 and U.S. Patent No. 5,534,496).

## 25 5. Methods of detection and diagnosis

Methods are also provided for the detection of the expression of gp120 *in vitro* or *in vivo*. In one example, expression of gp120 is detected in a biological sample, and can be used to detect HIV-1 infection as the presence of HIV-1 in a sample. The sample can be any sample, including, but not limited to, tissue from biopsies, autopsies and pathology specimens. Biological samples also include sections of 30 tissues, for example, frozen sections taken for histological purposes. Biological samples further include body fluids, such as blood, serum, plasma, sputum, spinal fluid or urine. The method of detection can include contacting a cell or sample, or administering to a subject, an antibody or antigen binding fragment that specifically binds to gp120, or conjugate there of (e.g. a conjugate including a detectable marker) under conditions sufficient to form an immune complex, and detecting the immune complex 35 (e.g., by detecting a detectable marker conjugated to the antibody or antigen binding fragment).

In several embodiments, a method is provided for detecting AIDS and/or an HIV-1 infection in a subject. The disclosure provides a method for detecting HIV-1 in a biological sample, wherein the method includes contacting a biological sample from a subject with a disclosed antibody or antigen

binding fragment under conditions sufficient for formation of an immune complex, and detecting the immune complex, to detect the gp120 in the biological sample. In one example, detection of gp120 in the sample confirms a diagnosis of AIDS and/or an HIV-1 infection in the subject.

In some embodiments, the disclosed antibodies or antigen binding fragments thereof are used to 5 test vaccines. For example to test if a vaccine composition including gp120 assumes a conformation including the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 epitope. Thus provided herein is a method for testing a vaccine, wherein the method includes contacting a sample containing the vaccine, such as a gp120 immunogen, with a disclosed antibody or antigen binding fragment under conditions sufficient for formation of an immune complex, and detecting the immune 10 complex, to detect the vaccine with an HIV-1 immunogen including the CH540-VRC40.01, CH540-VRC40.02, CH540-VRC40.03, or CH540-VRC40.04 epitope in the sample. In one example, the detection of the immune complex in the sample indicates that vaccine component, such as a HIV-1 Env immunogen assumes a conformation capable of binding the antibody or antigen binding fragment.

In one embodiment, the antibody or antigen binding fragment is directly labeled with a detectable 15 marker. In another embodiment, the antibody that binds HIV-1 Env (the first antibody) is unlabeled and a second antibody or other molecule that can bind the antibody that binds the first antibody is utilized for detection. As is well known to one of skill in the art, a second antibody is chosen that is able to specifically bind the specific species and class of the first antibody. For example, if the first antibody is a human IgG, then the secondary antibody may be an anti-human-IgG. Other molecules that can bind to 20 antibodies include, without limitation, Protein A and Protein G, both of which are available commercially.

Suitable labels for the antibody, antigen binding fragment or secondary antibody are described above, and include various enzymes, prosthetic groups, fluorescent materials, luminescent materials, magnetic agents and radioactive materials. Non-limiting examples of suitable enzymes include 25 horseradish peroxidase, alkaline phosphatase, beta-galactosidase, or acetylcholinesterase. Non-limiting examples of suitable prosthetic group complexes include streptavidin/biotin and avidin/biotin. Non-limiting examples of suitable fluorescent materials include umbelliferone, fluorescein, fluorescein isothiocyanate, rhodamine, dichlorotriazinylamine fluorescein, dansyl chloride or phycoerythrin. A non-limiting exemplary luminescent material is luminol; a non-limiting exemplary a magnetic agent is 30 gadolinium, and non-limiting exemplary radioactive labels include  $^{125}\text{I}$ ,  $^{131}\text{I}$ ,  $^{35}\text{S}$  or  $^3\text{H}$ .

#### **F. Kits**

Kits are also provided. For example, kits for treating a subject with an HIV-1 infection, or for detecting gp120 in a sample or in a subject. The kits will typically include a disclosed gp120-specific 35 antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic acid molecule encoding such molecules, or compositions including such molecules. More than one of the disclosed gp120-specific antibody, antigen binding fragment, conjugate, CAR, T cell expressing a CAR, or nucleic

acid molecule encoding such molecules, or compositions including such molecules can be included in the kit.

In one embodiment, the kit is a diagnostic kit and includes an immunoassay. Although the details of the immunoassays may vary with the particular format employed, the method of detecting gp120 in a 5 biological sample generally includes the steps of contacting the biological sample with an antibody which specifically reacts, under conditions sufficient to form an immune complex, to gp120. The antibody is allowed to specifically bind under immunologically reactive conditions to form an immune complex, and the presence of the immune complex (bound antibody) is detected directly or indirectly.

The kit can include a container and a label or package insert on or associated with the container. 10 Suitable containers include, for example, bottles, vials, syringes, etc. The containers may be formed from a variety of materials such as glass or plastic. The container typically holds a composition including one or more of the disclosed antibodies, antigen binding fragments, conjugates, nucleic acid molecules, or compositions. In several embodiments the container may have a sterile access port (for example the container may be an intravenous solution bag or a vial having a stopper pierceable by a hypodermic 15 injection needle). A label or package insert indicates that the composition is used for treating the particular condition.

The label or package insert typically will further include instructions for use of the antibodies, antigen binding fragments, conjugates, nucleic acid molecules, or compositions included in the kit. The package insert typically includes instructions customarily included in commercial packages of therapeutic 20 products that contain information about the indications, usage, dosage, administration, contraindications and/or warnings concerning the use of such therapeutic products. The instructional materials may be written, in an electronic form (such as a computer diskette or compact disk) or may be visual (such as video files). The kits may also include additional components to facilitate the particular application for which the kit is designed. Thus, for example, the kit may additionally contain means of detecting a label 25 (such as enzyme substrates for enzymatic labels, filter sets to detect fluorescent labels, appropriate secondary labels such as a secondary antibody, or the like). The kits may additionally include buffers and other reagents routinely used for the practice of a particular method. Such kits and appropriate contents are well known to those of skill in the art.

### 30 III. EXAMPLES

The following examples are provided to illustrate particular features of certain embodiments, but the scope of the claims should not be limited to those features exemplified.

#### EXAMPLE 1

##### 35 **Potent and broad neutralizing antibody CH540-VRC40.01** **targets glycan N276 of HIV-1 gp120**

This example illustrates the isolation and characterization of the CH540-VRC40.01 antibody and several somatic variants thereof (CH540-VRC40.02, CH540-VRC40.03, and CH540-VRC40.04).

CH540-VRC40.01 antibody is a broad and extremely potent gp120-specific antibody, which binds to the CD4 binding site on HIV-1 Env and an N-linked glycan at position 276 of gp120 that is conserved in ~94.7% of 4265 *env* sequences from the Los Alamos database (Huang *et al.*, *Nature* 515, 138-142, 2014). CH540-VRC40.01 and its clonal members are new glycan N276-directed neutralizing antibodies.

5 CH540-VRC40.01 neutralized 83% of a panel of 173 HIV-1 pseudotyped viruses with median IC<sub>50</sub> of 0.099 µg/ml, which is comparable to the potent VRC01-class antibody 3BNC117 and more potent and broad than HJ16 and 8ANC195, two other glycan N276-directed neutralizing antibodies. Although the CH540-VRC40.01 epitope overlaps with the CD4 binding site, the genetic features and the recognition mode of CH540-VRC40.01 are different from VRC01-class antibodies. CH540-VRC40.01 showed  
10 VH1-2\*02 usage but 9 amino acid LCDR3, while VRC01-class antibodies are restricted by 5 amino acid LCDR3. CH540-VRC40.01 showed glycan N276-dependent neutralization, while VRC01 exhibits increased neutralization of HIV-1 strains lacking a glycan linked to N276. In addition, CH540-  
15 VRC40.01 neutralized a subset of VRC01-resistant viruses and VRC01 escape mutants and the combination of CH540-VRC40.01 and VRC01 showed increased breadth of viral neutralization compared to either antibody alone.

**Neutralization and binding assays.** Neutralization activity of monoclonal antibodies or serum was measured using single-round HIV-1 Env-pseudovirus infection of TZM-bl cells as described previously (Li *et al.*, *J Virol* 79, 10108-10125, 2005). In the neutralization assay, heat-inactivated patient serum or monoclonal antibody was serially diluted four-fold or five-fold, respectively, with Dulbecco's 20 modified Eagle medium-10% FCS (Gibco), and 10 µl was incubated with 40 µl of pseudovirus in a 96-well plate at 37 °C for 30 min. TZM-bl cells were then added and plates were incubated for 48h. Assays were then developed with a luciferase assay system (Promega,), and the relative light units (RLU) were read on a luminometer (Perkin Elmer).

HIV-1 Env pseudoviruses were generated by co-transfection of 293T cells with pSG3 delta Env 25 backbone and a second plasmid that expressed HIV-1 Env at a ratio of 2:1. 72 h after transfection, supernatants containing pseudoviruses were harvested and frozen at -80°C until further use.

For ELISA assays each antigen (2 µg ml<sup>-1</sup>) was coated on 96-well plates overnight at 4 °C. Plates were blocked with BLOTTO buffer (PBS, 1% FBS, 5% non-fat milk) for 1 h at room temperature, followed by incubation with antibody serially diluted in disruption buffer (PBS, 5% FBS, 2% BSA, 1% 30 Tween-20) for 1 h at room temperature. 1:10,000 dilution of horseradish peroxidase (HRP)-conjugated goat anti-human IgG antibody (MP Biomedicals) was added for 1 h at room temperature. Plates were washed between each step with 0.2% Tween-20 in PBS. Plates were developed using 3,3',5,5'-tetramethylbenzidine (TMB) (Sigma) and read at 450 nm.

**Serum from donor CH540 showed glycan N276-directed neutralizing activity.** Serum 35 sample from donor CH540, a chronically HIV-1-infected individual from the Center for HIV/AIDS Vaccine Immunology (CHAVI) cohort, showed broad and potent neutralizing activity on a panel of 21 viruses (FIG. 1). It neutralized 86% viruses (IC50 cutoff of 1:40 serum dilution) with an average IC<sub>50</sub> of 1:119 serum dilution. The neutralization profile of the serum sample was compared to that of various

neutralizing antibodies using a fingerprint analysis assay as previously described in (Georgiev *et al.*, *Science*, 340, 751-756, 2013). The result showed fingerprint signal on VRC01, b12, HJ16 and 8ANC195, suggesting that neutralizing antibodies in the serum targeted an epitope overlapping with these antibodies (FIG. 1). Since glycan N276 is the shared target of antibody HJ16 (Balla-  
5 Jhagjhoorsingh *et al.*, *PLoS One*, 8, e688632013, 2013) and 8ANC195 (Scharf *et al.*, *Cell Rep*, 7: 785-795, 2014), the glycan N276-dependency for serum neutralization was investigated. The serum neutralization against wildtype HIV-1 strain BG505 and the corresponding glycan N276 knock-out mutant (BG505-T278A) was tested. Surprisingly, losing glycan N276 led to substantially reduced sensitivity to serum neutralization (FIG. 2A), suggesting that glycan N276-directed neutralizing  
10 antibodies were the main contributor to the serum neutralization against BG505. Similar results were observed in another strain, Q168.a2 (FIG. 2B), suggesting that the glycan N276-directed antibodies in the serum are likely to neutralize multiple strains.

**Isolation of monoclonal antibody CH540-VRC40.01 and lineage members.** Single B cell sorting using previously described protein probes followed by immunoglobulin gene amplification has been proved to be efficient for monoclonal antibody isolation (Wu *et al.*, *Science*, 329, 856-861, 2010). To determine the appropriate protein probe for antibody isolation from donor CH540, various candidate proteins were tested for their ability to adsorb neutralizing antibodies in the serum sample using a neutralization competition assay as described in Wu *et al.*, *Science*, 329, 856-861, 2010). Briefly, CH540 serum (1/24/08) was pre-incubated with HXB2 gp120 core protein 2CC-D368R, the glycan N276 mutant protein 2CC-D368R/N276D, and a media control and then tested for neutralization against a panel of 4 strains (Q168.a2, RWO20.2, Bal.01, TRJO.58). The HXB2 gp120 core protein 2CC is a modified HIV-1 gp120 core glycoprotein stabilized in the CD4-bound conformation that lacks variable loops 1, 2 and 3. The D368R mutation ablates gp120 binding to CD4, and the N276D mutation ablates gp120 binding to antibodies that depend on glycosylation of N276 for binding to gp120. If the probe was able to adsorb  
20 the serum neutralizing antibodies, a decreased neutralization would be expected.  
25

After incubation with serum sample, an HIV-1 HXB2 gp120 core protein 2CC carrying mutation D368R lead to substantial adsorption of serum neutralizing activities against four different HIV-1 pseudotyped virus strains (Q168.a2, RWO20.2, Bal.01 and TRJO.58) (FIG. 3), suggesting this protein had high affinity to the neutralizing antibodies in the CH540 serum. In contrast, an additional mutation 30 on the 2CC-D368R protein, N276D, which prevents glycosylation of N276, completely abolished the neutralization competition. This finding indicates that the 2CC-D368R/N276D probe does not bind to the neutralizing antibodies in the CH540 serum, and that CH540 serum neutralizing antibodies bind to 2CC-D368R through interaction with glycan N276. Therefore, the probe pair 2CC-D368R (positive) and 2CC-D368R/N276D (negative) was used to sort B cells with cell-surface expression of glycan N276-  
35 directed antibodies.

The PBMCs from donor CH540 were sorted for IgG+ B cells as described previously in Wu *et al.* (*Science*, 329, 856-861, 2010). Briefly, approximately 20 million PBMCs from donor CH540 (1/24/08) were used for antigen specific B cell sorting. CD3-CD8-CD14-CD19+IgG+ memory B cells

were stained with probes 2CC-D368R and 2CC-D368R/N276D. IgG+ B cells that stained with probe 2CC-D368R, but not 2CC-D368R/N276D, were sorted into 96 well plates at single cell per well (FIG. 4A). In addition, the recently described BG505.SOSIP.664 gp140 trimer probe (Sok *et al.*, *Proc Natl Acad Sci U S A*, **111**, 17624-17629, 2014) was also used for sorting. All IgG+ B cells that stained with the BG505.SOSIP.664 gp140 trimer probe were also collected (FIG. 4B).

Heavy and light chain immunoglobulin genes were then amplified from the collected single B cells and analysed using IMGT. Four cells were identified as having the following attributes: 1) positive staining with 2CC-D368R, but not 2CC-D368R/N276D (FIG. 4A); 2) positive staining with BG505.SOSIP.664 gp140 trimer (FIG. 4B); 3) heavy and light chain genes with the same immunoglobulin V and J gene assignments indicating that they were from the same antibody lineage (FIG. 4C); and 4) heavy and light chains that are all highly mutated (FIG. 4C), which is an unusual feature that is also seen in some broad and potent anti-HIV-1 antibodies such as VRC01 (Wu *et al.*, *Science* **329**, 856-861, 2010; Wu *et al.*, *Science* **333**, 1593-1602, 2011). These four heavy/light chain pairs, were cloned and expressed as monoclonal antibodies, named CH540-VRC40.01 to CH540-VRC40.04. The V<sub>H</sub>, V<sub>L</sub> and CDRs of the identified antibodies, and corresponding sequence identifiers are as follows:

Antibody	V <sub>H</sub> protein SEQ ID	V <sub>H</sub> CDR SEQ ID	V <sub>L</sub> protein SEQ ID	V <sub>L</sub> CDR SEQ ID	V <sub>H</sub> DNA SEQ ID	V <sub>L</sub> DNA SEQ ID
CH540-VRC40.01	1	9, 10, 11	2	12, 13, 14	36	37
CH540-VRC40.01	3	9, 15, 11	4	12, 13, 14	38	39
CH540-VRC40.01	5	16, 10, 11	6	12, 13, 17	40	41
CH540-VRC40.01	7	18, 10, 11	8	19, 13, 20	42	43

**Genetic characteristics revealed that CH540-VRC40 antibodies are not VRC01-class antibodies.** The DNA sequences of the CH540-VRC40 lineage members are provided herein as SEQ ID NOs: 36-43. Corresponding amino acid sequences are provided as SEQ ID NOs: 1-8. Determined by the IMGT database (imgt.org), each family member showed IGHV1-2\*02 usage and high mutation frequency (VH: 26-28%, VL: 19%) (FIG. 4C), which are the unusual features also shared by VRC01-class antibodies. However, VRC01-class antibodies all have a 5 amino acid LCDR3, but CH540-VRC40 antibodies each have a nine amino acid LCDR3. Thus, the genetic characteristics revealed that CH540-VRC40 antibodies are not VRC01-class antibodies. Of note, all CH540-VRC40 lineage antibodies showed similar five amino acid insertion in the heavy chain FR3 region (FIG. 13A), which is a unique feature of this lineage.

**CH540-VRC40 antibodies showed broad and potent neutralization.** The neutralizing activities of the four CH540-VRC40 antibodies were first tested on a panel of 31 HIV-1 pseudotyped viruses using the TZM-bl assay (as described in Wu *et al.*, *Science*, **329**, 856-861, 2010). The antibody CH540-VRC40.01 neutralized 28 viruses (90%) with geometric mean IC<sub>50</sub> titer of 0.102 µg/ml. All other

lineage members showed similar results (FIG. 5A). In comparison, VRC01 neutralized 27 viruses (87%) with geometric mean IC<sub>50</sub> titer of 0.266 µg/ml, while HJ16 and 8ANC195 neutralized 7 (23%) and 14 (45%) viruses, respectively. Moreover, CH540-VRC40.01 neutralized 27 viruses (87%) with geometric mean IC<sub>80</sub> and IC<sub>90</sub> titers of 0.36 and 0.864 µg/ml, respectively (FIGs. 5B and 5C).

5 To further assess the neutralizing breadth and potency, CH540-VRC40.01 was tested on a large panel of 173 viruses using a previously described assay (Doria-Rose *et al.*, *Nature* 509, 55-62, 2014). CH540-VRC40.01 neutralized 83% of the viruses in the large panel with a median IC<sub>50</sub> of 0.099 µg/ml (FIG. 6B). In comparison, VRC01 neutralized 89% viruses with median IC<sub>50</sub> of 0.442 µg/ml, and 3BNC117, which is a VRC01-class antibody (Scheid *et al.*, *Science*, 333, 1633-1637, 2011), neutralized 10 84% viruses with median IC<sub>50</sub> of 0.106 µg/ml. The potency and breadth curve of CH540-VRC40.01 are similar to that of 3BNC117 (FIG. 6A). These results demonstrated that the CH540-VRC40 antibodies are broad and potent antibodies with comparable neutralizing activities to VRC01-class antibodies.

15 Additionally, the combination of CH540-VRC40.01 and VRC01 neutralized 93% viruses with median IC<sub>50</sub> of 0.086 µg/ml of each component antibody (0.086 µg/ml of CH540-VRC40.01 combined with 0.086 µg/ml of VRC01 (FIG. 6B). The combination of CH540-VRC40.01 and VRC07-523-LS, which is a more potent and broad variant of VRC01, neutralized 96% viruses with median IC<sub>50</sub> of 0.034 µg/ml of each component antibody (FIG. 6B). Thus the combination improved virus coverage while maintaining neutralization potency, with no observed inhibitory effect.

20 **CH540-VRC40.01 neutralizes VRC01-resistant viruses.** On a panel of 21 viruses that are resistant to VRC01, CH540-VRC40.01 neutralized 6 viruses, including four strains (242-14, DU422.01, TV1.29 and TZA125.17) that are resistant to most VRC01-class antibodies (FIG. 7).

25 **CH540-VRC40.01 has a different mode of recognition for gp120 than VRC01.** CH540-VRC40.01 and other lineage members were isolated from B cells that were stained with probe 2CC-D368R but not 2CC-D368/N276D, suggesting that they are sensitive to glycosylation of N276. To confirm the glycan N276-dependence, CH540-VRC40.01 was tested for neutralization against four wildtype virus and the corresponding glycan N276 mutants using the TZM-bl assay (FIG. 8). Like HJ16 and 8ANC195, CH540-VRC40.01 completely lost neutralization sensitivity for all the mutant strains, suggesting that CH540-VRC40.01 binds an epitope on gp120 that includes glycan N276. In contrast, VRC01 neutralization was enhanced when glycan N276 was knocked out.

30 Further mapping of CH540-VRC40.01 on a panel of Q168.a2 mutant viruses showed no glycan N234-dependence, suggesting a different recognition mode for gp120 than that of 8ANC195 (FIG. 9). Compared to HJ16, which is highly sensitive to V5 mutations (R456W, G459A, N460A, N461A and N462A), CH540-VRC40.01 showed only limited sensitivity to mutation N462A. One non-limiting conclusion from this finding is that the limited sensitivity to the V5 mutation contributes to the broad and 35 potent neutralization activity of CH540-VRC40.01, particularly compared to HJ16.

To further interrogate CH540-VRC40.01 binding to gp120, CH540-VRC40.01 and control antibodies VRC01, HJ16, 8ANC195, b12, and 17b were serially diluted and tested for binding to HIV-1 HXB2 Env gp120 core protein 2CC and a panel of mutants thereof by ELISA (FIG. 10). CH540-

VRC40.01 binding to the 2CC core was sensitive to glycan N276 mutation N276D and V5 mutation R456S, suggesting that it targets glycan N276 and V5 loop. However, unlike b12, which is highly sensitive to the D368R mutation, CH540-VRC40.01 binds to 2CC-D368R protein in ELISA (FIG. 10).

Competition binding assays were performed to determine if CH540-VRC40.01 competed for binding to gp120 with CD4 binding site targeted antibodies (FIG. 11). HXB2 gp120 core protein (2CC) (FIG. 11A) or YU2 gp120 protein (FIG. 11B) were coated on ELISA plates, and the binding of biotinylated CH540-VRC40.01 were measured. Various antibodies were serially diluted and tested for competition with biotinylated CH540-VRC40.01. CH540-VRC40.01 was used as positive control. On the 2CC coated plate, the binding of biotinylated CH540-VRC40.01 was competed by VRC01 and b12. On the YU2 gp120 coated plate, the binding of biotinylated CH540-VRC40.01 was competed by b12, VRC13 and VRC01. Though CH540-VRC40.01 showed different recognition mode from VRC01 and b12, its binding on HIV-1 gp120 protein was competed by CD4 binding site antibody VRC01, b12 and VRC13, suggesting that the target on HIV-1 Env trimer overlaps with the CD4 binding site.

CH540-VRC40.01 was further tested for neutralization against a panel of gp120 mutants (N279K, N280D, R456W, G458D, or combinations thereof) on gp120 that have been identified as VRC01 escape mutants, that is, these mutants strongly reduce VRC01 neutralization (FIG. 12). Pseudotyped YU2.DG HIV-1 viruses carrying the indicated mutations were used in the neutralization assay. As shown in FIG. 12, CH540-VRC40.01 neutralization was not reduced by N279K or R456W mutations, or the combination N279K/R456W, suggesting that these VRC01 escape mutants will still be neutralized by CH540-VRC40.01. However, both VRC01 and CH540-VRC40.01 were sensitive to mutation N280D.

In summary, CH540-VRC40.01 and its clonal members specifically bind to an epitope on gp120 that include glycan N276, which is different from VRC01. The HIV-1 Env V5 region may also be involved in the contact, however, compared to HJ16, CH540-VRC40.01 showed only limited sensitivity to V5 mutations.

## Example 2

### Antibodies specific to gp120 for detecting HIV-1 in a sample or a subject

This example describes the use of HIV-1 monoclonal neutralizing antibodies specific to gp120 for the detection of HIV-1 in a sample or a subject. This example further describes the use of these antibodies to confirm the diagnosis of HIV-1 infection in a subject.

A biological sample, such as a blood sample, is obtained from the patient diagnosed with, undergoing screening for, or suspected of having an HIV-1 infection. A blood sample taken from a patient who is not infected is used as a control, although a standard result can also be used as a control. An ELISA is performed to detect the presence of gp120 in the blood sample. Proteins present in the blood samples (the patient sample and control sample) are immobilized on a solid support, such as a 96-well plate, according to methods well known in the art (see, for example, Robinson *et al.*, *Lancet* 362:1612-1616, 2003, incorporated herein by reference). Following immobilization, HIV-1 monoclonal

neutralizing antibodies specific to gp120 that are directly labeled with a fluorescent marker are applied to the protein-immobilized plate. The plate is washed in an appropriate buffer, such as PBS, to remove any unbound antibody and to minimize non-specific binding of antibody. Fluorescence can be detected using a fluorometric plate reader according to standard methods. An increase in fluorescence intensity of the 5 patient sample, relative to the control sample, indicates the gp120 antibody specifically bound proteins from the blood sample, thus detecting the presence of gp120 protein in the sample. Detection of gp120 protein in the patient sample indicates the patient has an HIV-1 infection, or confirms diagnosis of HIV-1 infection in the subject.

10

### Example 3

#### **HIV-1 monoclonal neutralizing antibodies specific for gp120 for the treatment of HIV-1**

This example describes a particular method that can be used to treat HIV-1 infection in a human subject by administration of one or more gp120-specific human neutralizing antibodies. Although particular methods, dosages, and modes of administrations are provided, one skilled in the art will 15 appreciate that variations can be made without substantially affecting the treatment.

Based upon the teaching disclosed herein, HIV-1 infection can be treated by administering a therapeutically effective amount of one or more of the neutralizing antibodies described herein, thereby reducing or eliminating HIV-1 infection.

20

#### *Screening subjects*

In particular examples, the subject is first screened to determine if they have an HIV-1 infection. Examples of methods that can be used to screen for HIV-1 infection include a combination of measuring a subject's CD4+ T cell count and the level of HIV-1 virus in serum blood levels. Additional methods using the gp120-specific antibodies described herein can also be used to screen for HIV-1 infection.

25

In some examples, HIV-1 testing consists of initial screening with an enzyme-linked immunosorbent assay (ELISA) to detect antibodies to HIV-1. Specimens with a nonreactive result from the initial ELISA are considered HIV-1-negative unless new exposure to an infected partner or partner of unknown HIV-1 status has occurred. Specimens with a reactive ELISA result are retested in duplicate. If the result of either duplicate test is reactive, the specimen is reported as repeatedly reactive and 30 undergoes confirmatory testing with a more specific supplemental test (e.g., Western blot or an immunofluorescence assay (IFA)). Specimens that are repeatedly reactive by ELISA and positive by IFA or reactive by Western blot are considered HIV-positive and indicative of HIV-1 infection. Specimens that are repeatedly ELISA-reactive occasionally provide an indeterminate Western blot result, which may be either an incomplete antibody response to HIV-1 in an infected person, or nonspecific reactions in an 35 uninfected person. IFA can be used to confirm infection in these ambiguous cases. In some instances, a second specimen will be collected more than a month later and retested for subjects with indeterminate Western blot results. In additional examples, nucleic acid testing (e.g., viral RNA or proviral DNA amplification method) can also help diagnosis in certain situations.

The detection of HIV-1 in a subject's blood is indicative that the subject is infected with HIV-1 and is a candidate for receiving the therapeutic compositions disclosed herein. Moreover, detection of a CD4+ T cell count below 350 per microliter, such as 200 cells per microliter, is also indicative that the subject is likely to have an HIV-1 infection.

5 Pre-screening is not required prior to administration of the therapeutic compositions disclosed herein

*Pre-treatment of subjects*

In particular examples, the subject is treated prior to administration of a therapeutic agent that 10 includes one or more antiretroviral therapies known to those of skill in the art. However, such pre-treatment is not always required, and can be determined by a skilled clinician.

*Administration of therapeutic compositions*

Following subject selection, a therapeutically effective dose of a gp120-specific neutralizing 15 antibody described herein (such as the CH540-VRC40.01 antibody) is administered to the subject (such as an adult human or a newborn infant either at risk for contracting HIV-1 or known to be infected with HIV-1). Additional agents, such as anti-viral agents, can also be administered to the subject simultaneously or prior to or following administration of the disclosed agents. Administration can be achieved by any method known in the art, such as oral administration, inhalation, intravenous, 20 intramuscular, intraperitoneal, or subcutaneous.

The amount of the composition administered to prevent, reduce, inhibit, and/or treat HIV-1 or a condition associated with it depends on the subject being treated, the severity of the disorder, and the manner of administration of the therapeutic composition. Ideally, a therapeutically effective amount of an agent is the amount sufficient to prevent, reduce, and/or inhibit, and/or treat the condition (e.g., HIV-1) in 25 a subject without causing a substantial cytotoxic effect in the subject. An effective amount can be readily determined by one skilled in the art, for example using routine trials establishing dose response curves. As such, these compositions may be formulated with an inert diluent or with a pharmaceutically acceptable carrier.

In one specific example, antibodies are administered at 5 mg per kg every two weeks or 10 mg 30 per kg every two weeks depending upon the particular stage of HIV-1. In an example, the antibodies are administered continuously. In another example, antibodies or antibody fragments are administered at 50 µg per kg given twice a week for 2 to 3 weeks.

Administration of the therapeutic compositions can be taken long term (for example over a period of months or years).

35

*Assessment*

Following the administration of one or more therapies, subjects with HIV-1 can be monitored for reductions in HIV-1 levels, increases in a subject's CD4+ T cell count, or reductions in one or more

clinical symptoms associated with HIV-1. In particular examples, subjects are analyzed one or more times, starting 7 days following treatment. Subjects can be monitored using any method known in the art. For example, biological samples from the subject, including blood, can be obtained and alterations in HIV-1 or CD4+ T cell levels evaluated.

5

*Additional treatments*

In particular examples, if subjects are stable or have a minor, mixed or partial response to treatment, they can be re-treated after re-evaluation with the same schedule and preparation of agents that they previously received for the desired amount of time, including the duration of a subject's lifetime. A 10 partial response is a reduction, such as at least a 10%, at least 20%, at least 30%, at least 40%, at least 50%, or at least 70% in HIV-1 infection, HIV-1 replication or combination thereof. A partial response may also be an increase in CD4+ T cell count such as at least 350 T cells per microliter.

It will be apparent that the precise details of the methods or compositions described may be 15 varied or modified without departing from the spirit of the described embodiments. We claim all such modifications and variations that fall within the scope and spirit of the claims below.

We claim:

1. An isolated monoclonal antibody, comprising:
  - (a) a heavy chain variable region ( $V_H$ ) comprising a heavy chain complementarity determining region (HCDR)1, a HCDR2, and/or a HCDR3 of the  $V_H$  set forth as one of SEQ ID NOs: 1 (CH540-VRC40.01  $V_H$ ), 3 (CH540-VRC40.02  $V_H$ ), 5 (CH540-VRC40.03  $V_H$ ), or 7 (CH540-VRC40.04  $V_H$ ), or a  $V_H$  comprising an amino acid sequence at least 90% identical thereto;
  - (b) a light chain variable region ( $V_L$ ) comprising a light chain complementarity determining region (LCDR)1, a LCDR2, and a LCDR3 of the  $V_L$  set forth as one of SEQ ID NOs: 2 (CH540-VRC40.01  $V_L$ ), 4 (CH540-VRC40.02  $V_L$ ), 6 (CH540-VRC40.03  $V_L$ ), or 8 (CH540-VRC40.04  $V_L$ ), or a  $V_L$  comprising an amino acid sequence at least 90% identical thereto; or
  - (c) a combination of (a) and (b); andwherein the antibody or antigen binding fragment specifically binds to gp120 and neutralizes HIV-1.
2. The isolated monoclonal antibody of claim 1, wherein:  
the HCDR1 comprises the consensus amino acid sequence set forth as SEQ ID NO: 21;  
the HCDR2 comprises the consensus amino acid sequence set forth as SEQ ID NO: 22;  
the HCDR3 comprises the amino acid sequence set forth as SEQ ID NO: 11;  
the LCDR1 comprises the consensus amino acid sequence set forth as SEQ ID NO: 23;  
the LCDR2 comprises the amino acid sequence set forth as SEQ ID NO: 13; and  
the LCDR3 comprises the consensus amino acid sequence set forth as SEQ ID NO: 24.
3. The isolated monoclonal antibody of claim 1 or claim 2, wherein:  
the HCDR1 comprises the amino acid sequence set forth as SEQ ID NO: 9, 16, or 18;  
the HCDR2 comprises the amino acid sequence set forth as SEQ ID NO: 10 or 15;  
the HCDR3 comprises the amino acid sequence set forth as SEQ ID NO: 11;  
the LCDR1 comprises the amino acid sequence set forth as SEQ ID NO: 12 or 19;  
the LCDR2 comprises the amino acid sequence set forth as SEQ ID NO: 13; and  
the LCDR3 comprises the amino acid sequence set forth as SEQ ID NO: 14, 17, or 20.
4. The isolated monoclonal antibody of claim 1, wherein in aggregate the sequences of the HCDR1, the HCDR2, the HCDR3, the LCDR1, the LCDR2, and the LCDR3 together comprise up to 10 amino acid substitutions compared to the corresponding CDR sequences of an antibody comprising:  
the  $V_H$  and  $V_L$  set forth as SEQ ID NOs: 1 and 2, respectively;  
the  $V_H$  and  $V_L$  set forth as SEQ ID NOs: 3 and 4, respectively;  
the  $V_H$  and  $V_L$  set forth as SEQ ID NOs: 5 and 6, respectively; or  
the  $V_H$  and  $V_L$  set forth as SEQ ID NOs: 7 and 8, respectively.

5. The isolated monoclonal antibody of any of the prior claims, wherein the HCDR1, the HCDR2, the HCDR3, the LCDR1, the LCDR2, and the LCDR3 comprise the amino acids sequences set forth as:

SEQ ID NOS: 9, 10, 11, 12, 13, and 14, respectively (CH540-VRC40.01);

SEQ ID NOS: 9, 15, 11, 12, 13, and 14, respectively (CH540-VRC40.02);

SEQ ID NOS: 16, 10, 11, 12, 13, and 17, respectively (CH540-VRC40.03); or

SEQ ID NOS: 18, 10, 11, 19, 13, and 20, respectively (CH540-VRC40.04).

6. The isolated monoclonal antibody of any one of the previous claims, wherein:

(a) the  $V_H$  comprises an amino acid sequence at least 90% identical to the sequence set forth as one of SEQ ID NOS: 1, 3, 5, or 7;

(b) the  $V_L$  comprises an amino acid sequence at least 90% identical to the sequence set forth as one of SEQ ID NO: 2, 4, 6, or 8; or

(c) the  $V_H$  and  $V_L$  comprise amino acid sequences at least 90% identical to the sequences set forth as one of SEQ ID NOS: 1 and 2, respectively, SEQ ID NOS: 3 and 4, respectively, SEQ ID NOS: 5 and 6, respectively, or SEQ ID NOS: 7 and 8, respectively.

7. The isolated monoclonal antibody of any of the preceding claims, wherein:

(a) the  $V_H$  comprises the amino acid sequence set forth as one of SEQ ID NOS: 1, 3, 5, or 7;

(b) the  $V_L$  comprises the amino acid sequence set forth as SEQ ID NO: 2, 4, 6, or 8; or

(c) the  $V_H$  and  $V_L$  comprise the amino acid sequences set forth as one of SEQ ID NOS: 1 and 2, respectively, SEQ ID NOS: 3 and 4, respectively, SEQ ID NOS: 5 and 6, respectively, or SEQ ID NOS: 7 and 8, respectively.

8. The isolated monoclonal antibody of claim 4, wherein in aggregate the sequences of the HCDR1, the HCDR2, the HCDR3, the LCDR1, the LCDR2, and the LCDR3 together comprise up to 2 amino acid substitutions compared to the corresponding CDR sequences of an antibody comprising:

the  $V_H$  and  $V_L$  set forth as SEQ ID NOS: 1 and 2, respectively;

the  $V_H$  and  $V_L$  set forth as SEQ ID NOS: 3 and 4, respectively;

the  $V_H$  and  $V_L$  set forth as SEQ ID NOS: 5 and 6, respectively; or

the  $V_H$  and  $V_L$  set forth as SEQ ID NOS: 7 and 8, respectively.

9. The isolated monoclonal antibody of any of the preceding claims wherein the  $V_H$  comprises a five amino acid insertion between Kabat positions 72A and 72B;

optionally wherein the five amino acid insertion comprises the consensus amino acid sequence set forth as SEQ ID NO: 46 ( $X_1X_2RTP$ , wherein  $X_1$  is D or S, and  $X_2$  is W or F); and

optionally wherein the five amino acid insertion comprises the amino acid sequence set forth as SEQ ID NO: 44 (DWRTP) or SEQ ID NO: 45 (SFRTP).

10. The isolated monoclonal antibody of any of the preceding claims, comprising a human framework region.

11. The isolated monoclonal antibody of any of the preceding claims, wherein the antibody is an IgG, IgM or IgA.

12. An antigen binding fragment of any of claims 1-11.

13. The antigen binding fragment of claim 12, wherein the antigen binding fragment is a Fv, Fab, F(ab')<sub>2</sub>, scFV or a scFV<sub>2</sub> fragment.

14. The antibody or antigen binding fragment of any of the preceding claims, wherein the antibody or antigen binding fragment neutralizes the 242-14, DU172.17, DU422.01, TV1.29, TZ125.17, and 57128.vrc15 strains of HIV-1 with an inhibitory concentration (IC<sub>50</sub>) of <50 µg/ml.

15. A bispecific antibody comprising the isolated human monoclonal antibody or antigen binding fragment of any of the preceding claims.

16. The bispecific antibody of claim 15, wherein the antibody specifically binds to gp120 and to CD3.

17. The antibody of any of claims 1-11, comprising a recombinant Fc domain comprising a modification that increases binding to the neonatal Fc receptor.

18. The antibody of claim 17, wherein the recombinant Fc domain comprising M428L and N434S mutations.

19. The antibody or antigen binding fragment of any of the prior claims, linked to an effector molecule or a detectable marker

20. The antibody or antigen binding fragment of claim 19, wherein the detectable marker is a fluorescent, enzymatic, or radioactive marker.

21. An isolated nucleic acid molecule encoding the antibody or antigen binding fragment of any of claims 1-20.

22. An isolated nucleic acid molecule encoding the V<sub>H</sub>, the V<sub>L</sub>, or the V<sub>H</sub> and V<sub>L</sub>, of the antibody or antigen binding fragment of any of claims 1-20.
23. The nucleic acid molecule of claim 21 or claim 22, wherein the nucleic acid molecule is a recombinant nucleic acid molecule.
24. The nucleic acid molecule of any of claims 21-23, comprising a cDNA molecule encoding the antibody or antigen binding fragment.
25. The nucleic acid molecule of any of claims 21-24, wherein the V<sub>H</sub> and the V<sub>L</sub> of the antibody or antigen binding fragment comprise the nucleic acid sequence set forth as
  - (a) SEQ ID NOs: 36 and 37, respectively, or a degenerate variant thereof;
  - (b) SEQ ID NOs: 38 and 39, respectively, or a degenerate variant thereof;
  - (c) SEQ ID NOs: 40 and 41, respectively, or a degenerate variant thereof; or
  - (c) SEQ ID NOs: 42 and 43, respectively, or a degenerate variant thereof.
26. The nucleic acid molecule of any one of claims 22-25, encoding a chimeric antigen receptor comprising an extracellular domain comprising the antigen binding fragment.
27. The nucleic acid molecule of any of claims 22-26, operably linked to a promoter.
28. An expression vector comprising the nucleic acid molecule of any of claims 22-27.
29. An isolated host cell transformed with the nucleic acid molecule or expression vector of any of claims 22-28.
30. The host cell of claim 29, wherein the host cell is a T cell.
31. A pharmaceutical composition for use in treating an HIV-1 infection, comprising:  
a therapeutically effective amount of the antibody, antigen binding fragment, nucleic acid molecule, expression vector, or host cell of any of claims 1-30; and  
a pharmaceutically acceptable carrier.
32. A pharmaceutical composition for use in treating an HIV-1 infection, comprising a therapeutically effective amount of:  
the antibody or antigen binding fragment of any of claims 1-20, a VRC01-class antibody or antigen binding fragment thereof, and a pharmaceutically acceptable carrier; or

the nucleic acid molecule or expression vector of any of claims 21-28, a nucleic acid molecule or expression vector encoding a VRC01-class antibody or antigen binding fragment thereof, and a pharmaceutically acceptable carrier.

33. The pharmaceutical composition of claim 32, wherein the VRC01-class antibody is VRC01 antibody or VRC07-523 antibody.

34. The pharmaceutical composition of any one of claims 31-33, wherein the composition is sterile and/or is in unit dosage form or a multiple thereof.

35. A method of producing an antibody or antigen binding fragment that specifically binds to gp120, comprising:

incubating the host cell of any one of claims 29-30 under *in vitro* conditions sufficient for expression of the nucleic acid molecule or expression vector to produce the antibody or antigen binding fragment.

36. The method of claim 35, further comprising purifying the antibody or antigen binding fragment.

37. A method of detecting an HIV-1 infection in a subject, comprising:  
contacting a biological sample from the subject with the antibody or antigen binding fragment of any of claims 1-20 under conditions sufficient to form an immune complex; and  
detecting the presence of the immune complex on the sample, wherein the presence of the immune complex on the sample indicates that the subject has the HIV-1 infection.

38. A method of inhibiting or treating an HIV-1 infection in a subject, comprising administering to the subject a therapeutically effective amount of the antibody, antigen binding fragment, nucleic acid molecule, expression vector, host cell, or pharmaceutical composition of any of claims 1-34, thereby preventing or treating the HIV-1 infection.

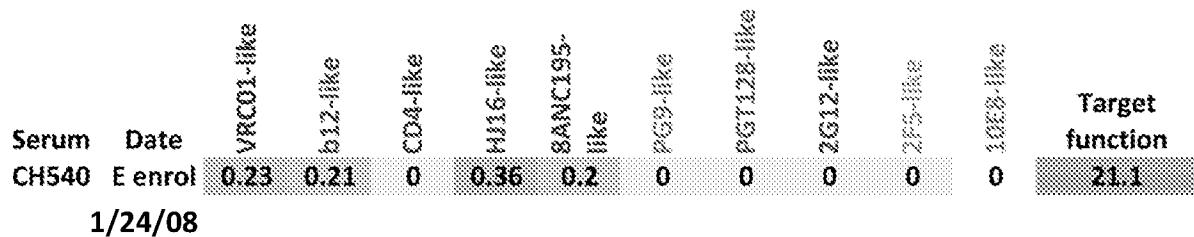
39. The method of claim 38, wherein the subject has AIDS.

40. The method of any of claims 38-39, further comprising administering to the subject an additional antibody, antigen binding fragment, or nucleic acid encoding the additional antibody or antigen binding fragment, wherein the additional antibody or antigen binding fragment specifically binds to gp120 and neutralizes HIV-1 infection.

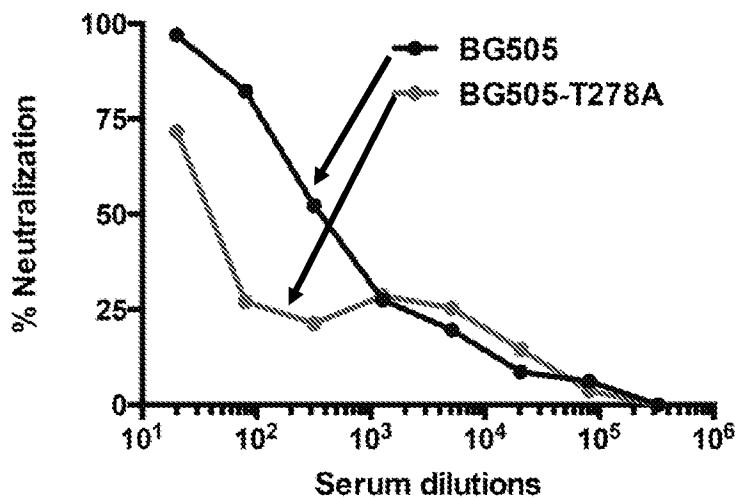
41. The method of claim 40, wherein the additional antibody is a VRC01-class antibody.

42. The method of claim 41, wherein the VRC01-class antibody is VRC01 or VRC07-523.

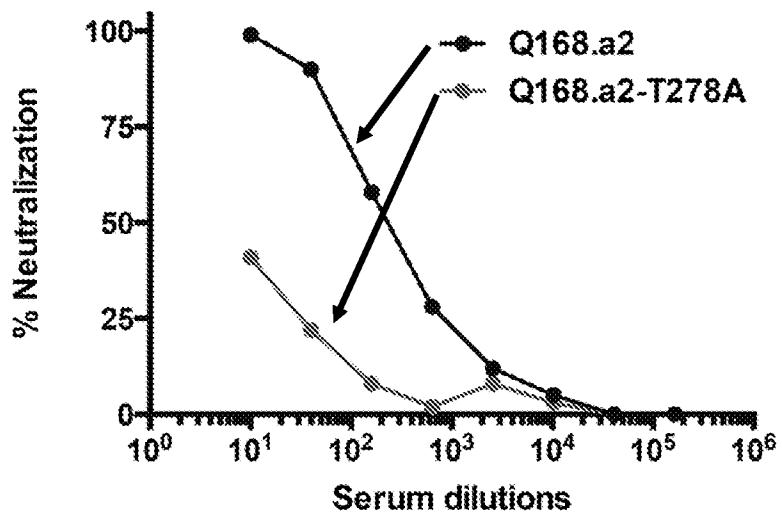
43. Use of the antibody, antigen binding fragment, nucleic acid molecule, expression vector, host cell, or pharmaceutical composition of any of claims 1-34 to inhibit or treat HIV-1 infection in a subject.

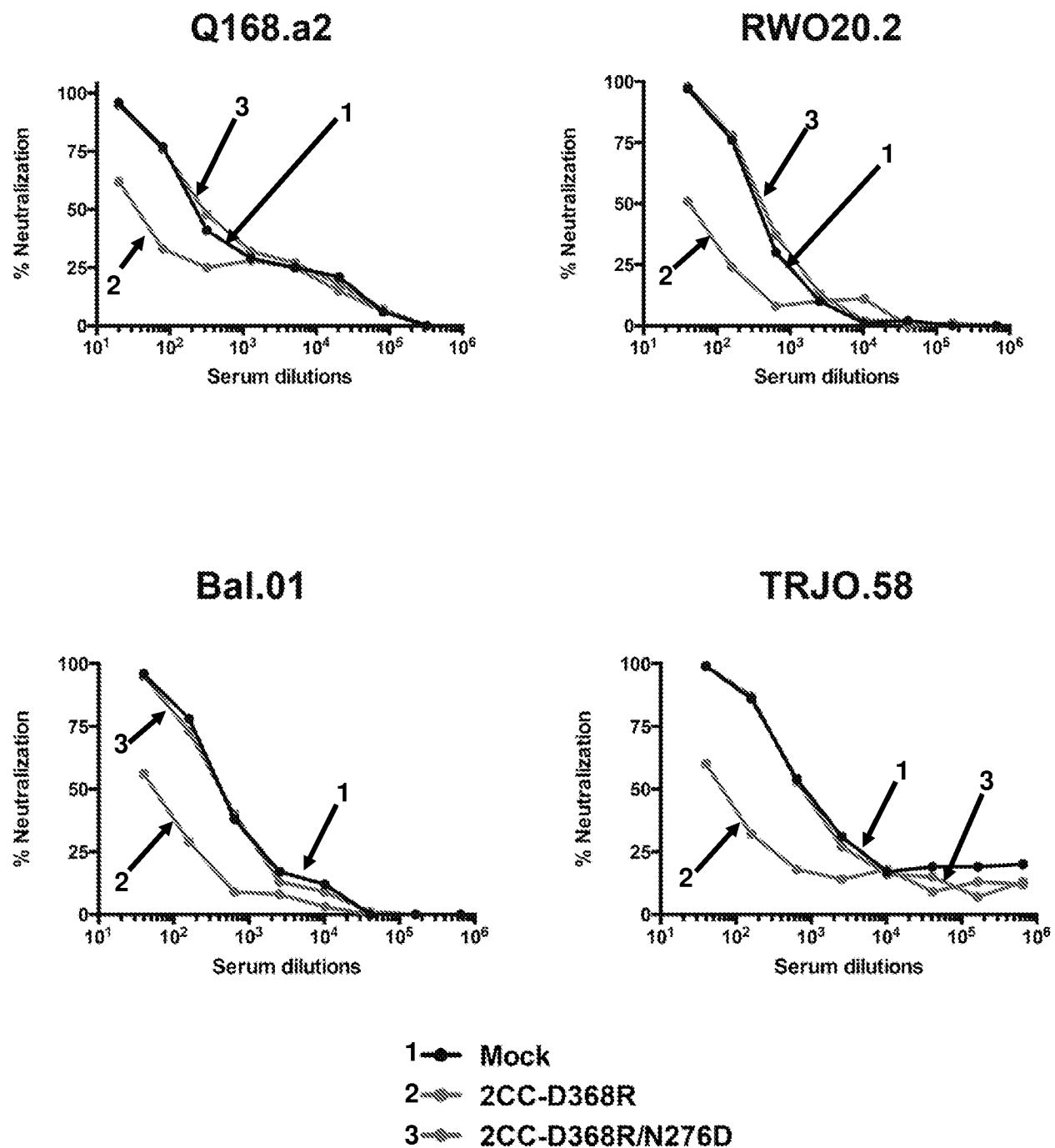
**FIG. 1****FIG. 2A**

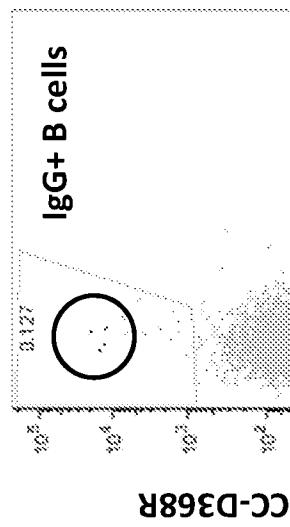
CH540 (1/24/08)\_CVL0843\_056

**FIG. 2B**

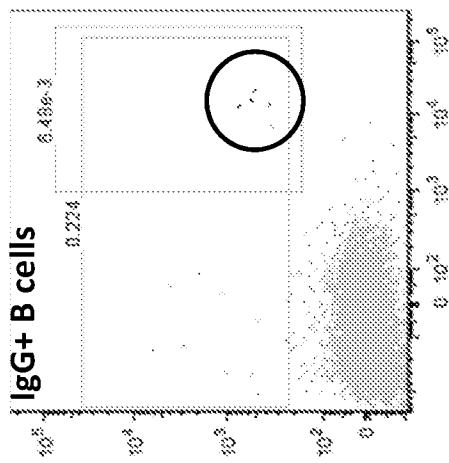
CH540 (1/24/08)\_CVL0843\_053



**FIG. 3**

**FIG. 4A**

2CC-D368R



BG505-T332N SOSIP

**FIG. 4B**

2CC-D368R/N276D

**FIG. 4C**

mAbs	Heavy chain			VH mutation frequency (nt)	Light chain			VK mutation frequency (nt)
	IGHV	IGHJ	CDRH3		IGHV	IGHJ	CDRL3	
CH540-VRC40.01	1-2*02	4*02	GRVVVDGFNAAAGPYLEF	27%	3-15*01	5*01	QQDYYVWPVTF	19%
CH540-VRC40.02	1-2*02	4*02	GRVVVDGFNAAAGPYLEF	27%	3-15*01	5*01	QQDYYVWPVTF	19%
CH540-VRC40.03	1-2*02	4*02	GRVVVDGFNAAAGPYLEF	28%	3-15*01	5*01	QQDYYVWPVTF	19%
CH540-VRC40.04	1-2*02	4*02	GRVVVDGFNAAAGPYLEF	26%	3-15*01	5*01	QQDYYVWPVTF	19%

FIG. 5A

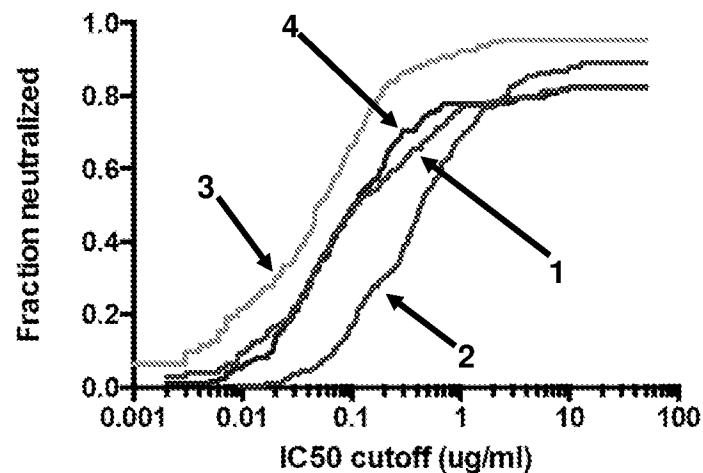
		CH540-VRC40						Other N276-directed mAbs						VRC01-3BNC117					
		CH540-VRC40	CH540- VRC40.01	CH540- VRC40.02	CH540- VRC40.03	CH540- VRC40.04	HJ16	8ANC195	VRC01	3BNC117	HJ16	8ANC195	VRC01	3BNC117	HJ16	8ANC195	VRC01	3BNC117	
	{IC50 (ug/mL)}	BG385.WBM.C2	1.31	1.03	0.307	0.136	>50	>50	0.061	0.151	>50	>50	0.061	0.151	>50	>50	0.061	0.151	
A	KEP2818.11	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	>50	>50	0.118	0.118	>50	>50	0.118	0.118	
	Q23.17	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	>50	>50	0.118	0.118	>50	>50	0.118	0.118	
	Q783.H5	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	>50	>50	0.118	0.118	>50	>50	0.118	0.118	
	RW026.2	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	>50	>50	0.118	0.118	>50	>50	0.118	0.118	
AG	DJ263.8	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	
	TH966.8	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	2.07	3.61	0.118	0.118	0.28	0.48	0.118	0.118	
	AE	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	9.920	1.480	2.39	0.31	0.31	0.31	0.31	0.31	
	C1980.c3	0.381	>50	0.125	>50	>50	>50	>50	0.118	0.118	>50	1.50	2.28	1.42	2.03	0.404	0.239	0.101	
AC	6540.v4.c1	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	
	AD	Q168.a2	0.381	>50	0.125	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	
	6101.1	0.381	>50	0.125	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	
	Bal.81	0.381	>50	0.125	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	
B	BG1168.1	0.381	1.11	0.463	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	0.523	
	CAAN.A2	0.381	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	
	JRC5F	0.381	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	0.223	
	JRFL	0.381	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	0.171	
B	PVO.04	0.381	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	0.195	
	THRO.18	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
	TR.JO.58	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
	TRO.11	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
C	YU2.DG	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
	DUT72.17	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
	DU156.12	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	
	DU422.01	0.381	>50	3.72	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	2.19	
C	ZA012.29	0.381	1.84	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712	0.712
	ZM106.9	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144
	CNE88	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144
	ZM85.28a	0.381	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144	0.144
D	57128.vrc15	0.381	0.277	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092	0.092
	X1632.52.B10	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50
	G	0.381	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50	>50
		No. of viruses	34	31	31	31	31	31	31	31	31	31	31	31	31	31	31	31	31
		Neutralized (IC50<50)	28	26	28	28	28	28	28	28	28	28	28	28	28	28	28	27	29
		% virus neutralized	90	84	90	90	90	90	90	90	90	90	90	90	90	90	90	87	94
		Geometric mean IC50	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381	0.381

FIG. 5B

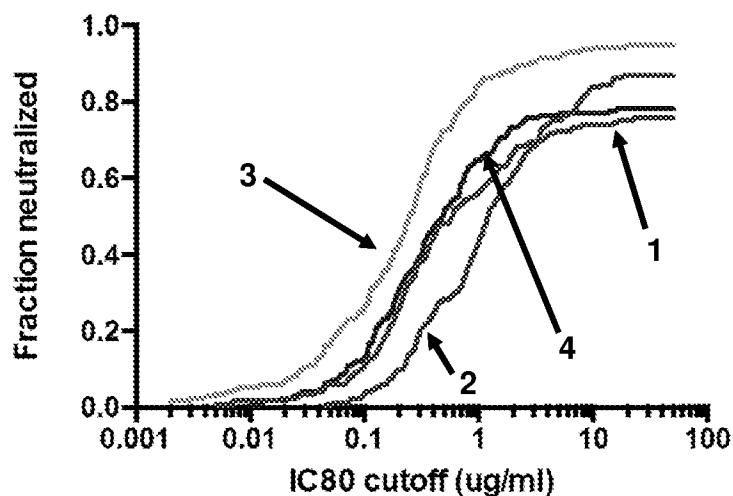
		CH540-VRC40				VRC01
IC80 (µg/mL)		CH540- VRC40.01	CH540- VRC40.02	CH540- VRC40.03	CH540- VRC40.04	
A	BG505.W6M.C2	0.156	0.200	0.222	0.322	0.250
	KER2018.11	1.50	9.34	1.58	4.84	1.98
	Q23.17	>50	>50	2.23	>50	0.322
	Q769.h5	0.287	0.260	0.296	0.316	0.232
	RWO20.2	0.110	0.155	0.136	0.252	0.813
AG	DJ263.8	>50	>50	>50	>50	1.21
AE	TH966.8	0.110	0.172	0.174	0.157	1.55
	CNE59	0.147	0.152	0.101	0.120	2.35
	C1080.c3	0.245	0.386	0.203	0.220	9.20
AC	6540.v4.c1	>50	>50	>50	>50	>50
AD	Q168.a2	0.148	0.200	0.195	1.11	0.315
B	6101.1	0.180	0.214	0.156	0.180	0.215
	BaL.01	0.299	>50	0.197	0.218	0.219
	BG1168.1	1.96	10.3	1.84	2.05	2.41
	CAAN.A2	2.06	2.76	1.86	1.95	4.28
	JRCSF	0.822	1.92	0.529	2.31	0.768
	JRFL	0.294	1.95	0.254	0.171	0.120
	PVO.04	0.353	0.811	0.263	0.424	1.66
	THRO.18	0.279	0.333	0.339	0.490	36.2
	TRJO.58	0.048	0.041	0.124	0.122	0.330
	TRO.11	0.141	0.161	0.105	0.132	1.86
C	YU2.DG	0.133	0.191	0.127	0.243	0.284
	DU172.17	0.110	0.288	0.439	0.775	>50
	DU156.12	0.233	0.749	0.475	1.03	0.278
	DU422.01	3.20	>50	26.0	14.4	>50
	ZA012.29	0.897	>50	1.39	0.513	0.918
	ZM106.9	0.185	0.230	0.229	0.260	0.919
	CNE58	0.189	0.310	0.156	0.160	0.611
D	ZM55.28a	0.842	7.38	0.639	0.491	1.08
	57128.vrc15	1.33	>50	0.468	3.69	>50
G	X1632.S2.B10	>50	>50	>50	>50	0.469
No. of viruses		31	31	31	31	31
Neutralized (IC50<50)		27	23	28	27	27
% virus neutralized		87	74	90	87	87
Geometric mean IC50		0.360	0.570	0.396	0.562	0.917

FIG. 5C

CH540-VRC40					VRC01																								
IC90 (µg/mL)	CH540-VRC40.01	CH540-VRC40.02	CH540-VRC40.03	CH540-VRC40.04																									
A	BG505.W6M.C2	0.279	0.736	0.560	1.26																								
	KER2018.11	3.20	>50	3.68	14.0																								
	Q23.17	>50	>50	>50	>50																								
	Q769.h5	0.586	0.846	0.868	0.685																								
	RWO20.2	0.199	0.308	0.270	0.613																								
AG	DJ263.8	>50	>50	>50	>50																								
AE	TH966.8	0.254	0.462	0.257	0.463																								
	CNE59	0.381	0.336	0.292	0.349																								
	C1080.c3	0.657	1.51	0.549	0.828																								
AC	6540.v4.c1	>50	>50	>50	>50																								
AD	Q168.a2	0.297	0.374	0.331	3.24																								
B	6101.1	0.298	0.361	0.269	0.309																								
	BaL.01	1.45	>50	0.259	0.791																								
	BG1168.1	3.86	>50	4.08	4.54																								
	CAAN.A2	3.91	5.41	3.13	3.47																								
	JRCSF	1.85	8.35	1.30	10.00																								
	JRFL	0.770	>50	0.739	0.359																								
	PVO.04	0.682	2.15	0.504	0.869																								
	THRO.18	0.555	0.693	0.642	1.08																								
	TRJO.58	0.555	0.667	0.369	0.333																								
	TRO.11	0.261	0.361	0.253	0.242																								
C	YU2.DG	0.253	0.372	0.246	0.440																								
	DU172.17	0.169	0.603	1.28	2.94																								
	DU156.12	0.523	3.56	1.93	14.6																								
	DU422.01	9.69	>50	>50	>50																								
	ZA012.29	4.33	>50	22.1	1.54																								
	ZM106.9	0.319	0.466	0.423	0.455																								
	CNE58	0.359	0.869	0.347	0.303																								
D	ZM55.28a	6.52	>50	3.45	2.33																								
	57128.vrc15	4.92	>50	1.36	13.9																								
	X1632.S2.B10	>50	>50	>50	>50																								
<table border="1"> <tr> <td>No. of viruses</td><td>31</td><td>31</td><td>31</td><td>31</td><td>31</td></tr> <tr> <td>Neutralized (IC50&lt;50)</td><td>27</td><td>19</td><td>26</td><td>27</td><td>25</td></tr> <tr> <td>% virus neutralized</td><td>87</td><td>61</td><td>84</td><td>87</td><td>81</td></tr> <tr> <td>Geometric mean IC50</td><td>0.364</td><td>0.867</td><td>0.854</td><td>1.55</td><td>1.37</td></tr> </table>						No. of viruses	31	31	31	31	31	Neutralized (IC50<50)	27	19	26	27	25	% virus neutralized	87	61	84	87	81	Geometric mean IC50	0.364	0.867	0.854	1.55	1.37
No. of viruses	31	31	31	31	31																								
Neutralized (IC50<50)	27	19	26	27	25																								
% virus neutralized	87	61	84	87	81																								
Geometric mean IC50	0.364	0.867	0.854	1.55	1.37																								

**FIG. 6A**

Panels D, F, G, H, K, L, N: 173 Viruses



Panels D, F, G, H, K, L, N: 173 Viruses

- 1 — CH540-VRC40.01
- 2 — VRC01
- 3 — VRC07-523-LS
- 4 — 3BNC117

**FIG. 6B**

	VRC40.01	VRC01	3BNC117	VRC07-523-LS	VRC40.01 + VRC01	VRC40.01 + VRC07-523-LS
Breadth (IC <sub>50</sub> , 50 µg/ml)	83%	89%	84%	96%	93%	96%
Median IC <sub>50</sub> * (µg/ml)	0.099	0.442	0.106	0.064	0.086	0.034
Median IC <sub>80</sub> * (µg/ml)	0.565	1.26	0.481	0.212	0.292	0.116

\*Median IC<sub>50</sub> and IC<sub>80</sub> were calculated based on all viruses.

**FIG. 7**

CH540-VRC40.01	VRC01 class mAbs					
	VRC01	CH31	VRC-PG04	3BNC117	12A12	12A21
6540.v4.c1	>50	>50	>50	>50	>50	0.167
6545.V3.C13	>50	>50	>50	>50	0.169	>50
6545.v4.c1	>50	>50	>50	>50	>50	>50
620345.c1	>50	>50	>50	>50	>50	>50
T278-50	>50	>50	>50	>50	>50	>50
242-14	>50	>50	>50	>50	3.16	>50
T250-4	>50	>50	>50	>50	0.184	>50
7165.18	>50	>50	>50	6.54	>50	>50
BL01.DG	>50	>50	>50	>50	>50	>50
H086.8	>50	>50	>50	>50	>50	>50
6322.V4.C1	>50	>50	>50	>50	5.45	0.14
6471.V1.C16	>50	>50	>50	>50	>50	>50
6631.V3.C10	>50	>50	>50	>50	22.4	4.21
CAP210.E8	>50	>50	>50	8.16	38.2	>50
DU172.17	>50	0.232	0.279	0.289	0.339	0.361
DU422.01	0.138	>50	>50	>50	>50	11.9
TV1.29	1.35	>50	>50	>50	3.96	>50
TZA125.17	0.477	>50	>50	>50	23.6	2.49
3817.v2.c59	>50	4.63	0.569	0.216	0.561	0.563
57128.vrc15	>50	>50	45.1	0.432	9.84	>50
X2088.c9	>50	>50	>50	>50	>50	22.6

**FIG. 8**

		CH540-VRC40.01		VRC01		HJ16		8ANC195	
		IC50 (ug/ml)	Folds change	IC50 (ug/ml)	Folds change	IC50 (ug/ml)	Folds change	IC50 (ug/ml)	Folds change
Q168.a2	wt	0.049		0.050		0.052		0.649	
	N276A	>50	>575	0.024	0.27	>50	>806	16.3	25.12
Hx82.DG	T278A	>50	>575	0.021	0.30	>50	>806	29.3	43.61
	wt	0.049		0.037		0.037		0.576	
TRO.11	T278A	>50	>1111	0.006	0.22	>50	>2941	>50	>86
	wt	0.049		0.316		0.032		0.180	
BG505	wt	0.049		0.051		24.0		0.180	
	T278A	>50	>833	0.006	0.18	>50	>2	>50	>277

**FIG. 9**

Q168.a2	CH540-VRC40.01		HJ16		8ANC195		VRC01	
	IC50 (ug/ml)	Fold change	IC50 (ug/ml)	Fold change	IC50 (ug/ml)	Fold change	IC50 (ug/ml)	Fold change
wildtype	0.087		0.032		0.462		0.09	
N234A	0.095	1.09	0.044	1.38	>50	>108	0.078	0.87
T236A	0.099	1.14	0.05	1.56	>50	>108	0.072	0.80
N276A	>50	>374	>50	>1562	10.4	22.51	0.024	0.27
T278A	>50	>374	>50	>1562	42.7	92.42	0.027	0.30
N280A	0.061	0.70	>50	>1562	0.665	1.44	0.085	0.94
S365A	0.091	1.05	0.04	1.25	0.556	1.20	0.179	1.99
R456W	0.102	1.17	0.505	15.78	0.448	0.97	0.097	1.08
G459A	0.05	0.57	>50	>1562	0.806	1.74	0.08	0.89
N460A	0.072	0.83	0.217	6.78	0.681	1.47	0.112	1.24
N461A	0.08	0.92	0.157	4.81	0.701	1.52	0.12	1.33
N462A	0.757	8.70	1.24	38.75	0.687	1.49	0.304	3.38
S463A	0.097	1.11	0.064	2.00	0.544	1.18	0.139	1.54
G471A	0.07	0.80	0.055	1.72	0.61	1.32	0.15	1.67

FIG. 10

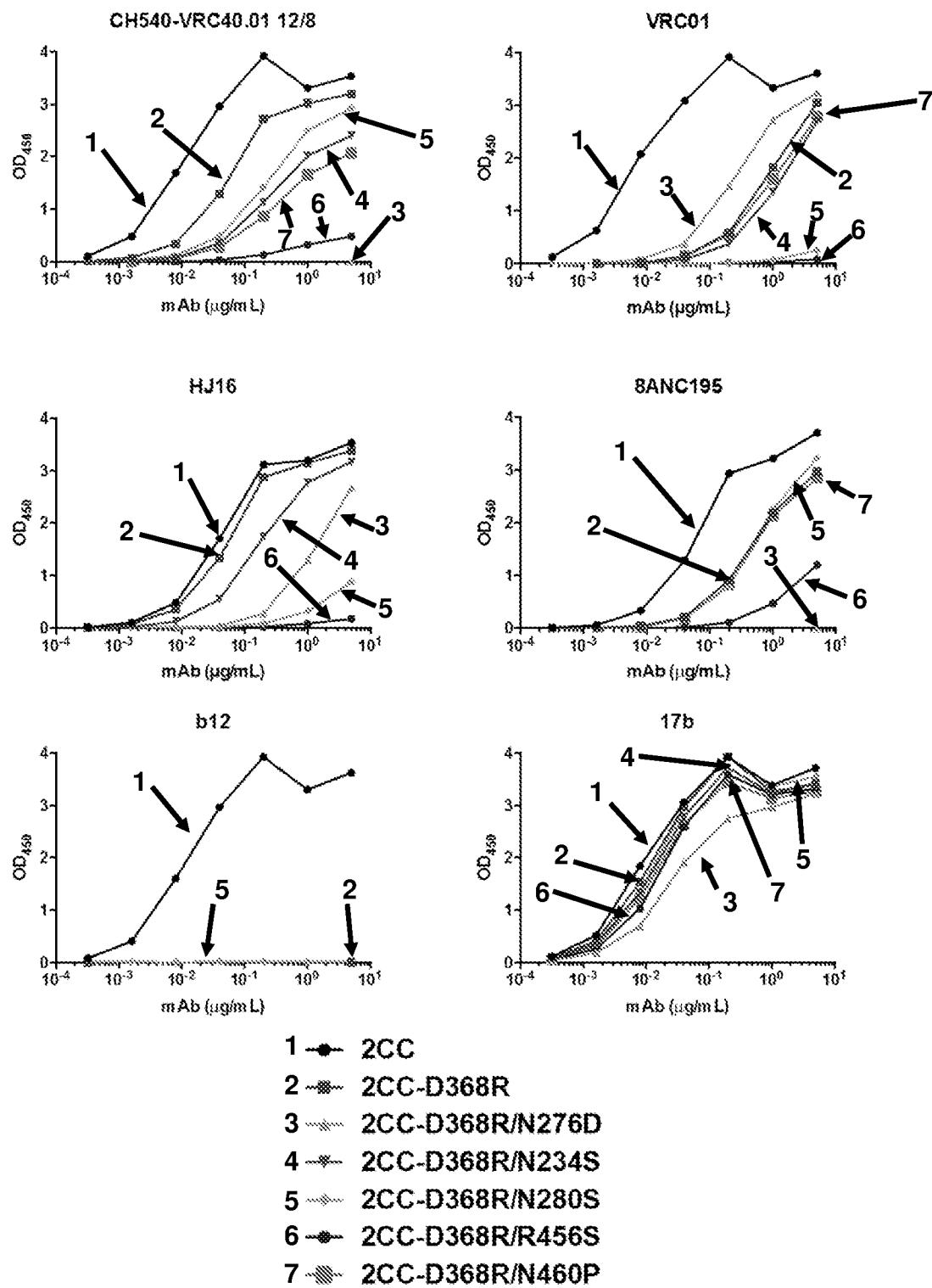


FIG. 11A

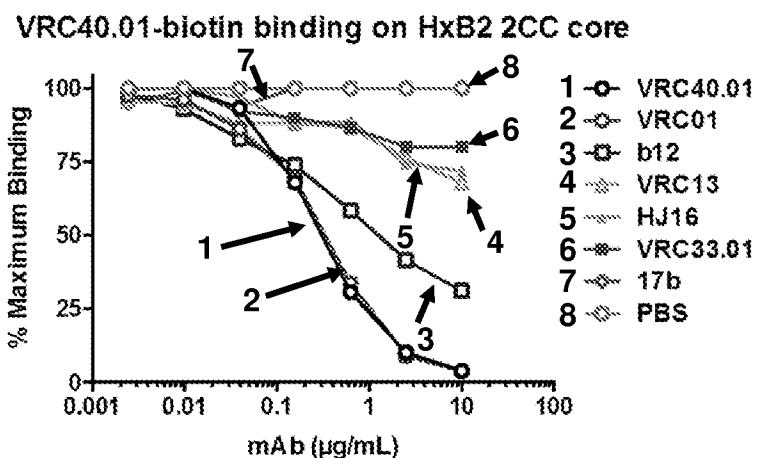


FIG. 11B

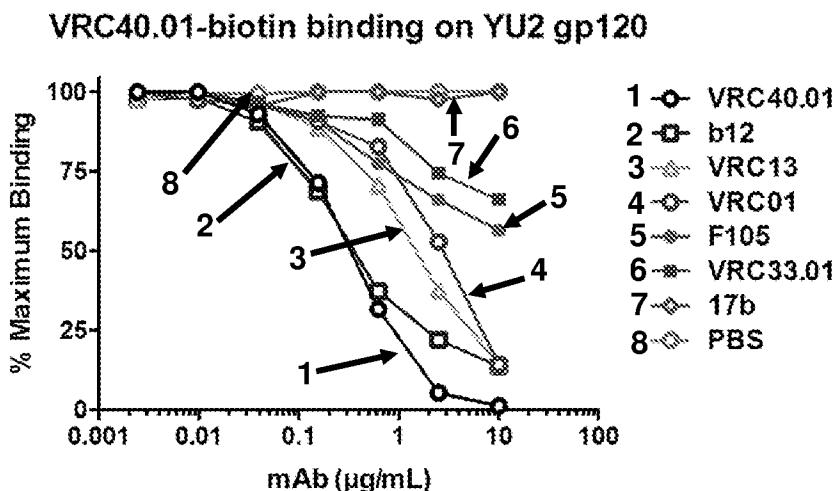


FIG. 12

YU2.DG	VRC01	CH540-VRC40.01
Wild-type	0.04	0.02
N279K	>50	0.03
N280D	9.89	5.69
R456W	0.10	0.04
N279K, R456W	>50	0.05
N279K, R456W, G458D	>50	>50
N279K, N280D, R456W, G458D	>50	>50

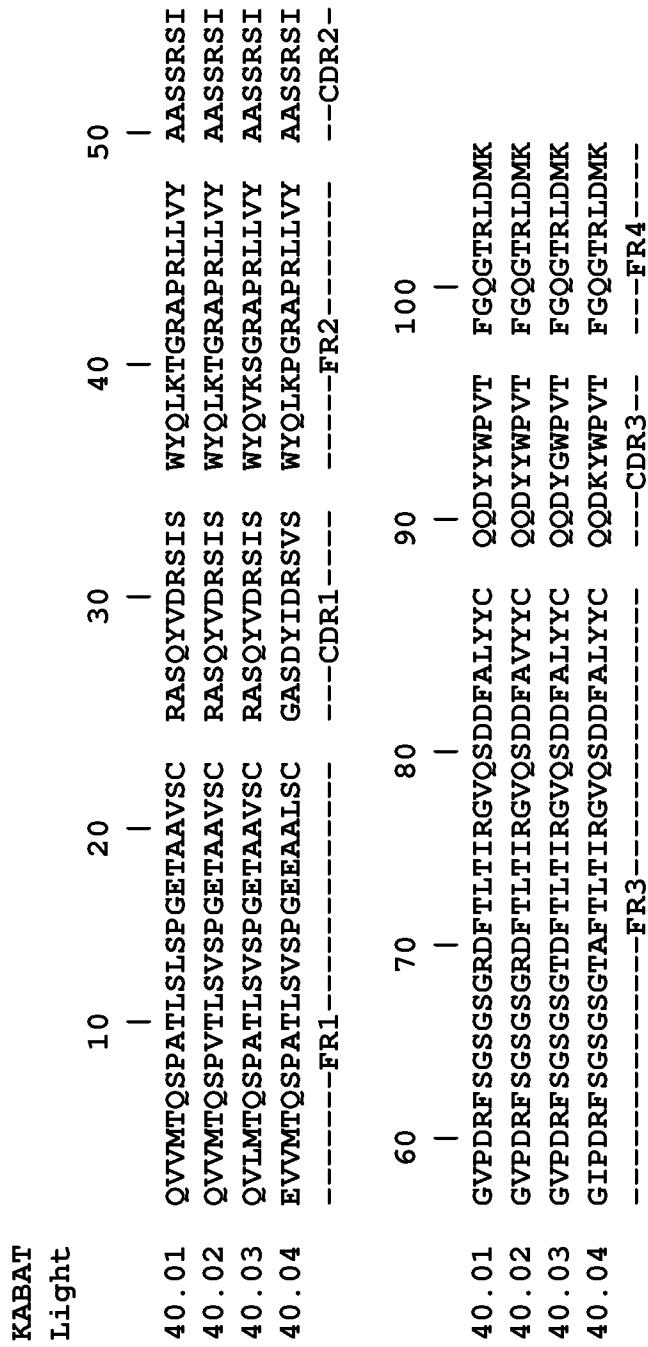
## IMGT

## FIG. 13A

IMGT	Heavy	Light				
40 . 01	QVQLIQSGPQFKTPGASVTVSCKAS	QVVMTOSPATLSSLSPGETAAVSCRAS	40 . 01	YLSHKFEGRLILRRVWDWRTPSLGTVNMELRNVRSSDDSAIYFC	40 . 01	SRSIGVPDRESGSGSGRDFLTIRGVQSDDFALYYC
40 . 02	QVRIMQSGPQLKTPGASVTVSCKAS	QVVMTOSPVTLSVSPGETAAVSCRAS	40 . 02	YLSYKFEGRLLRVDWRTPSLGTVYMEILKNLRSDDSAIYFC	40 . 02	SRSIGVPDRESGSGSGRDFLTIRGVQSDDFAVYYC
40 . 03	QVQLIQSGPQLKTPGASVTVSCKAS	QVLMTOSPATLSSLSPGETAAVSCRAS	40 . 03	YLSHKFEGRLILRRDWRTPSLGTLYMEILRNLIKSDDSAIYFC	40 . 03	SRSIGVPDRESGSGSGTDFLTIRGVQSDDFALYYC
40 . 04	QVRIMQSGTTEFKTPGASVVKVSCKTS	EVVMTQSPATLSSVSPGEEAALSCGAS	40 . 04	YLSPRFEGRVILRRESSERTPSLGTVMELRNLIKFDDSAVYFC	40 . 04	SRSIGVPDRESGSGSGTAAFTLTIRGVQSDDFALYYC
	-----FR1-----	-----FR1-----		-----FR1-----		-----FR1-----
	5 AA insertion			-----FR2-----		-----FR2-----
				-----FR3-----		-----FR3-----
				-----FR4-----		-----FR4-----

FIG. 13B

KABAT Heavy	10	20	30	40	5052A	60
40.01	QVQLIQSGPQFKTPGASVTVSCKASGYIFT		DYLIH	WVRLVPGKGLEWLG	RINTNAGLMLYLSHKFEG	
40.02	QVRLMOSGPQLKTPGASVTVSCKASGYIFT		DYLIH	WVRLVPGKGLEWLG	RINTNGGLMLYLSYKFEG	
40.03	QVQLIQSGPQLKTPGASVTVSCKASGYFA		DYLIH	WVRLVPGKGLEWLG	RINTNAGLMLYLSHKFEG	
40.04	QVRLMOSGTEFKTPGASVVKVSCKTSGYIFS		DYLIH	WVRLVPGKGLEWLG	RINTNAGLMLYLSPRFEG	
	-----FR1-----		-----FR1-----	-----FR1-----	-----FR2-----	-----FR2-----
7072A	72BC	80	90	100ABCDE	110	
40.01	RLILRRVVWDWRTPSLGTIVNMEELRNVRSDDSAIYFCGR			VVDGFNAAGPLEF	WGQGSPVIVSS	
40.02	RLILRRDWDWRTPSLGTIVYMEELKNLRSDDSAIYFCGR			VVDGFNAAGPLEF	WGQGSPVIVSS	
40.03	RLILRRDRDWRTPSLGTIVYMEELRNLKSDDSAIYFCGR			VVDGFNAAGPLEF	WGQGSPVIVSS	
40.04	RVILRRESSFRTPSLGTIVYMEELRNLKFDSSAVYFCGR			VVDGFNAAGPLEF	WGQGSLVIVSS	
	-----FR3-----		-----FR3-----	-----FR3-----	-----FR4-----	-----FR4-----

**FIG. 13C**

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2016/035791

**A. CLASSIFICATION OF SUBJECT MATTER**  
 INV. C07K16/10 A61K39/395 A61K39/00 A61K39/42 G01N33/569  
 ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 C07K A61K G01N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, BIOSIS, EMBASE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LOUISE SCHARF ET AL: "Antibody 8ANC195 Reveals a Site of Broad Vulnerability on the HIV-1 Envelope Spike", CELL REPORTS, vol. 7, no. 3, 1 May 2014 (2014-05-01), pages 785-795, XP55178551, ISSN: 2211-1247, DOI: 10.1016/j.celrep.2014.04.001 abstract page 791, left-hand column - page 792, left-hand column, paragraph 2	1,4, 6-24, 26-43
A	----- -/-	2,3,5,25



Further documents are listed in the continuation of Box C.



See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
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- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
20 July 2016	28/07/2016
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Irion, Andrea

## INTERNATIONAL SEARCH REPORT

International application No
PCT/US2016/035791

## C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	SUNITA S. BALLA-JHAGJHOORSINGH ET AL: "The N276 Glycosylation Site Is Required for HIV-1 Neutralization by the CD4 Binding Site Specific HJ16 Monoclonal Antibody", PLOS ONE, vol. 8, no. 7, 17 July 2013 (2013-07-17), page e68863, XP055289950, DOI: 10.1371/journal.pone.0068863	1,4, 6-24, 26-43
A	abstract page 4, right-hand column, paragraph 2 - page 6, left-hand column, paragraph 1 -----	2,3,5,25
A	XUELING WU ET AL: "Rational design of envelope identifies broadly neutralizing human monoclonal antibodies to HIV-1", SCIENCE, AMERICAN ASSOCIATION FOR THE ADVANCEMENT OF SCIENCE, vol. 329, no. 5993, 13 August 2010 (2010-08-13), pages 856-861, XP002669441, ISSN: 0036-8075, DOI: 10.1126/SCIENCE.1187659 [retrieved on 2010-07-08] page 859, right-hand column, paragraph 1 - page 860, right-hand column, paragraph 2 -----	1-43
A	PANKA D J ET AL: "Defining the structural correlates responsible for loss of arsonate affinity in an Id<CR> antibody isolated from an autoimmune mouse", MOLECULAR IMMUNOLOGY, PERGAMON, GB, vol. 30, no. 11, 1 August 1993 (1993-08-01), pages 1013-1020, XP023969623, ISSN: 0161-5890, DOI: 10.1016/0161-5890(93)90126-V [retrieved on 1993-08-01] abstract figure 4 -----	1-43
A	RUDIKOFF S ET AL: "Single amino acid substitution altering antigen-binding specificity", PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES, NATIONAL ACADEMY OF SCIENCES, US, vol. 79, 1 March 1982 (1982-03-01), pages 1979-1983, XP007901436, ISSN: 0027-8424, DOI: 10.1073/PNAS.79.6.1979 abstract figure 2 -----	1-43