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(54) Title: DOSE AND REGIMEN FOR HDM2-P53 INTERACTION INHIBITORS

(57) Abstract: The present invention relates to HDM2-p53 interaction inhibitors for use in the treatment of cancer, wherein the drug is administered by a high dose intermittent dosing regimen. The present invention relates in particular to the HDM2-p53 interaction inhibitor HDM201 and the dosing regimen d1, d8 of a 4 week cycle.



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## Dose and regimen for hdm2-p53 interaction inhibitors

### FIELD OF THE INVENTION

- 5 The present invention relates to HDM2-p53 interaction inhibitors for use in the treatment of cancer, wherein the drug is administered following a high dose intermittent dosing regimen.

### BACKGROUND OF THE INVENTION

10 p53 is induced and activated by a number of potentially tumorigenic processes – including aberrant growth signals, DNA damage, ultraviolet light, and protein kinase inhibitors (Millard M, *et al. Curr Pharm Design* 2011;17:536–559) – and regulates genes controlling cell growth arrest, DNA repair, apoptosis, and angiogenesis (Bullock AN & Fersht AR. *Nat Rev Cancer* 2001;1:68–76; Vogelstein B, *et al. Nature Education* 2010;3(9):6).

15 Human Double Minute-2 (HDM2) is one of the most important regulators of p53. It binds directly to p53, inhibiting its transactivation, and subsequently directing it towards cytoplasmic degradation (Zhang Y, *et al. Nucleic Acids Res* 2010;38:6544–6554).

p53 is one of the most frequently inactivated proteins in human cancer, either through direct mutation of the TP53 gene (found in approximately 50% of all human cancers) (Vogelstein, B  
20 *et al. Nature* 2000;408:307–310) or via suppressive mechanisms such as overexpression of HDM2 (Zhao Y, *et al. BioDiscovery* 2013;8:4).

Potent and selective inhibitors of the HDM2–p53 interaction (also referred to as HDM2  
inhibitors or MDM2 inhibitors), e.g. NVP-HDM201, have been shown to restore p53 function  
in preclinical cell and in vivo models (Holzer P, *et al. Poster presented at AACR 2016,*  
25 *Abstract #4855*).

Different dosing regimens were described for HDM2 inhibitors and tested in clinical studies.  
E.g. US2013/0245089 discloses a method of treating a patient suffering from cancer by  
administering to the patient 4-[[[(2R,3S,4R,5S)-4-(4-Chloro-2-fluoro-phenyl)-3-(3-chloro-2-  
30 fluoro-phenyl)-4-cyano-5-(2, 2-dimethyl-propyl)-pyrrolidine-2-carbonyl]-amino}-3-methoxy-  
benzoic acid in an amount of from about 800 to about 3000 mg/day for an administration  
period of up to about 7 days, on days 1-7, of a 28 day treatment cycle, followed by a rest  
period of from about 21 to about 23 days.

A paper in Clinical Cancer Research by B. Higgins et al. (May 2014) disclosed a 28 days cycle schedule, where RG7388 is administered once weekly three times followed by 13 days of rest (28 days cycle schedule), or where the drug is administered for 5 consecutive days of a 28 days schedule. Further dosing regimens for HDM2 inhibitors are disclosed in WO  
5 2015/198266.

HDM2 inhibitors and how to prepare them were disclosed for example in WO2013/111105 or WO2011/076786.

## 10 SUMMARY OF THE INVENTION

One of the objectives in the development of HDM2 inhibitor drugs is to find a dosing regimen which allows the administration of a high dose which ensures efficacy but at the same time reduces the risk of the occurrence of adverse events.

15

It has been surprisingly found that one type of dosing regimen is particularly useful for the treatment of solid tumors with HDM2 inhibitors. This dosing regimen was also found useful for the treatment of hematological tumors with MDM2 inhibitors.

20 Specifically, the present invention provides the following aspects, advantageous features and specific embodiments, respectively alone or in combination, as listed in the following items:

1. An HDM2-p53 interaction inhibitor for use in the treatment of cancer,  
wherein the drug is administered on two different administration days within a  
25 treatment cycle,  
wherein the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier treatment cycle and the first administration of the following cycle are interrupted by a long administration-free period,  
30 wherein the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and  
wherein the treatment is composed of at least 2 treatment cycles.

2. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 1, wherein the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 18 to 22 days.
- 5 3. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 1, wherein the short administration-free period is composed of from 5 to 7 days, and the long administration-free period is composed of from 19 to 21 days.
- 10 4. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 1, wherein the short administration-free period is composed of 6 days, and the long administration-free period is composed of from 20 days.
- 15 5. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of items 1 to 4, wherein said inhibitor is selected from the group of idasanutlin (RG7388, RO5503781), RG7775 (RO6839921), AMG232, DS3032 (DS3032b), ALRN-6924, ATSP-7041, CGM097, and HDM201.
- 20 6. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of items 1 to 4, wherein said inhibitor is selected from the group of CGM097 and HDM201.
7. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of items 1 to 4, wherein said inhibitor is CGM097.
- 25 8. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of items 1 to 4, wherein said inhibitor is HDM201.
9. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 7, wherein said inhibitor is CGM097 sulfate.
- 30 10. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to items 8, wherein said inhibitor is HDM201 succinic acid.

11. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to items 8 or 10, wherein the daily dose on the administration days is from 100 mg to 200 mg.
- 5 12. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to items 8 or 10, wherein the daily dose on the administration days is from 100 mg to 150 mg.
- 10 13. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to items 8 or 10, wherein the daily dose on the administration days is 120 mg.
14. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding items, wherein the cancer is a TP53 wild-type tumor.
- 15 15. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding items, wherein the cancer is a solid tumor.
16. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 15, wherein the solid tumor is selected from sarcomas, e.g. liposarcoma or soft tissue sarcoma, melanomas, e.g. skin melanoma or uveal melanoma, blastomas (e.g. neuroblastoma), colon tumor, colorectal tumor, kidney tumor, and liver tumor.
- 20 16. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 15, wherein the solid tumor is selected from sarcomas, e.g. liposarcoma or soft tissue sarcoma, melanomas, e.g. skin melanoma or uveal melanoma, blastomas (e.g. neuroblastoma), colon tumor, colorectal tumor, kidney tumor, and liver tumor.
17. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to any one of the preceding items.
- 25 17. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to any one of the preceding items.
18. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to item 17, wherein the thrombopoietin receptor agonist is eltrombopag.
- 30 18. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to item 17, wherein the thrombopoietin receptor agonist is eltrombopag.
19. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding items, wherein the treatment reduces the risk of hematological toxicities.

20. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 19, wherein the hematological toxicities are selected from the group of thrombocytopenia, neutropenia, leucopenia, lymphopenia, anemia.
- 5 21. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 19, wherein the hematological toxicity is thrombocytopenia.
22. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the items 1 - 14, wherein the cancer is a hematological tumor.
- 10 23. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 22, wherein the hematological tumor is a leukemia.
24. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to item 15 22, wherein the hematological tumor is selected from acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), and acute lymphoblastic leukemia (ALL).
25. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of item 22 to 24, wherein the hematological tumor is a relapsed/refractory 20 hematological tumor.
26. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the items 1 - 4, wherein the inhibitor is CGM097 or HDM201, preferably HDM201, wherein the daily dose on the administration days is from 100 mg to 150 mg, 25 preferably 120 mg, and wherein the cancer is a relapsed/refractory *TP53* wild-type hematological tumors selected from acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), and acute lymphoblastic leukemia (ALL), preferably AML.

A preferred embodiment of the present invention is:

- 30 The HDM2-p53 interaction inhibitor drug HDM201 for use in the treatment of solid tumors, wherein the drug is administered on day 1 and day 8 of a 4 week (28 day) treatment cycle, wherein the treatment is composed of at least 2 treatment cycles, and the dose of the drug on each administration day is about 120 mg.

Another preferred embodiment of the present invention is:

The HDM2-p53 interaction inhibitor drug HDM201 for use in the treatment of hematological tumors, wherein the drug is administered on day 1 and day 8 of a 4 week (28 day) treatment cycle, wherein the treatment is composed of at least 2 treatment cycles, and the dose of the drug on each administration day is about 120 mg.

The dosing regimens of the present invention as described above provide a highly favorable therapeutic index, low incidence of grade 3/4 thrombocytopenia while achieving therapeutically relevant exposures, p53 pathway activation (GDF-15 upregulation), and clinical activity.

#### BRIEF DESCRIPTION OF THE DRAWINGS

In the following the present invention is described in detail with reference to accompanying figures in which:

**Figure 1** illustrates the most preferred dosing regimen 1B (line "Pref.") of the present invention and some alternative regimens A1-E2. X1 and X2 are those days on which the HDM2 inhibitor drug is administered.

**Figure 2** shows the average concentration per cycle estimated for patients treated at 120 mg on regimen 1B. Cohort 1: 120 mg. cohort 2: 120 mg, new variant. Dashed line: Tumor stasis (SJSA-1 cell line), Dotted line: Tumor stasis (liposarcoma cell line). Each individual patient is represented by a circle.

**Figure 3** shows the geometric mean concentration–time profile (Regimen 1A, Cycle 1 Day 1) (PAS).

**Figure 4** shows the Individual human average NVP-HDM201 concentration during first cycle (DDS). Individual C(average) = individual AUC mode at the end of Cycle 1 divided by duration of Cycle 1 in hours. Average dose level = total cumulative dose at the end of Cycle 1 divided by the duration of Cycle 1 in days.

5 **Figure 5** shows the platelet kinetic profiles modeled based on the following doses as tested in each regimen (in order from top to bottom): Reg2C (D1-7 Q4wk): 25mg (6.25mg/d); Reg2A (D1-14 Q4wk): 20mg (10mg/d); Reg1B (Days 1, 8 Q4wk): 150mg (10.7 mg/d); Reg1A (D1 Q3wk): 350mg (16.7 mg/d).

10 **Figure 6** illustrates the best percentage change in blast percentage in bone marrow (BM) aspirate in AML patients (patients with available bone marrow aspirate).

\*Ongoing treatment; #best percentage change is ≥100; TF: treatment failure; CR: complete response; CRi: morphologic CR with incomplete blood count recovery. Daily doses: Regimen 1A: 250, 350 or 400 mg. Regimen 1B: 150 mg. Regimen 2A: 20, 30 mg. Regimen 2C: 45 mg.

15 **Figure 7** shows the individual average concentration during first treatment cycle versus dose per regimen for patients with hematological tumors.

Line at 120 ng/mL = 95% tumor regression from human SJSA-1 xenograft rat. Line at 41 ng/mL = Average concentration for tumor stasis derived from TGI PK/PD modelling in human SJSA-1 (osteosarcoma) xenograft rat. Line at 19 ng/mL = Average concentration for tumor stasis derived from TGI PK/PD modelling in human HSAX2655 (liposarcoma) PDX rat.

20 Calculation of average dose level (mg/day):

Regimen	Daily dose (mg)	No. of administration days	Total dose per cycle (mg)	Cycle duration (days)	Average dose (mg/day)
1A	250	1	250	21	11.9
	350	1	350	21	16.7
	400	1	400	21	19
1B	150	2	300	28	10.7
2A	20	14	280	28	10
	30	14	420	28	15
2C	45	7	315	28	11.3

Figure 8 shows the best percentage change from baseline in sum of diameter and best overall response for sarcoma (liposarcoma and other sarcomas) patients treated with

HDM201 according to regimen 1B (September 2017). PD: progressing disease, SD: stable disease, PR: partial response.

#### DETAILED DESCRIPTION OF THE INVENTION

5

Herein after, the present invention is described in further detail and is exemplified.

In one aspect the invention provides:

An HDM2-p53 interaction inhibitor for use in the treatment of cancer,

10        wherein the drug is administered on two different administration days within a treatment cycle,

          wherein the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier treatment cycle and the first administration of the following cycle are interrupted by a long  
15        administration-free period,

          wherein the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and  
          wherein the treatment is composed of at least 2 treatment cycles.

20        “Administration day” means that this is a day on which the patient takes the HDM2 inhibitor drug. The patient may take the drug then as one single dose on that day or the daily dose is split up into smaller portions, e.g. in the morning one half of the daily dose and in the evening the other half. Preferably the dose is taken as one single dose.

25        “Administration-free days” are those days during which the patient is not receiving the HDM2 inhibitor drug. A series of administration-free days directly one after the other, not interrupted by a administration day, forms an “administration-free period.” The patient may receive other drugs on an administration-free day. Therefore, administration-free means just  
          free of the administration of an HDM2 inhibitor drug.

30

          “Period is composed of from x to y days” means that the duration of said period is from x to y days long. E.g. the “period composed of 6 days” is a 6 days long time period.

With “administered on two different administration days within a treatment cycle” it is meant that there are only two administration days per treatment cycle, and not e.g. three or more administration day, neither only one administration days. In other words, the treatment cycle consists of two different administration days. “Two different administration days” means that  
5 the two days do not fall together to one administration day but are two separate, individual days, e.g. day 1 and day 8 of an 28 day treatment cycle.

The term “treatment cycle” indicates the number and order of days which form one treatment scheme with administration days and administration-free days before this treatment scheme  
10 is then repeated again. E.g. a treatment cycle of 28 days with administration days 1 and 8, means that the drug is administered on day 1, day 8, day 29, day 36, day 57, day 64, day 85 day 92, etc.

The present invention provides an HDM2-p53 interaction inhibitor drug, or any  
15 pharmaceutically acceptable salt thereof, for use in the treatment of cancer,

wherein the drug is administered on two different administration days within a treatment cycle,

wherein the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier  
20 treatment cycle and the first administration of the following cycle are interrupted by a long administration-free period,

wherein the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and  
wherein the treatment is composed of at least 2 treatment cycles.

25

Alternatively, the present invention provides a method for the treatment of cancer in human patients in need of such treatment which comprises administering an effective amount of an HDM2-p53 interaction inhibitor drug, or any pharmaceutically acceptable salt thereof,

30 wherein said treatment is characterized in that the drug is administered on two different administration days within a treatment cycle,

and the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier

treatment cycle and the first administration of the following cycle are interrupted by a long administration-free period,

and the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and

5 the treatment is composed of at least 2 treatment cycles.

As a further alternative the present invention provides the use of an HDM2-p53 interaction inhibitor drug, or any pharmaceutically acceptable salt thereof, for the preparation of a medicament for the treatment of cancer,

10 characterized in that the drug is administered on two different administration days within a treatment cycle,

and the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier treatment cycle and the first administration of the following cycle are interrupted by a long

15 administration-free period,

and the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and

the treatment is composed of at least 2 treatment cycles.

20 As a further alternative the present invention provides a medicament for the treatment of cancer comprising an HDM2-p53 interaction inhibitor drug or any pharmaceutically acceptable salt thereof,

characterized in that the drug is administered on two different administration days within a treatment cycle,

25 and the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier treatment cycle and the first administration of the following cycle are interrupted by a long administration-free period,

30 and the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days, and

the treatment is composed of at least 2 treatment cycles.

The treatment is repeated as long as clinically meaningful, i.e. tumor growth is at least reduced, or controlled, and the adverse events are tolerable. The treatment of the present

invention is composed of at least 2 treatment cycles, preferably of from 2 to 20 treatment cycles. However, if clinically meaningful the therapy is continued beyond the 20<sup>th</sup> treatment cycle.

Figure 1 illustrates some specific dosing regimens which fall under the present invention.

5 Line "Pref." provides the most preferred dosing regimen. The treatment cycle of said dosing regimen is composed of 4 weeks, i.e. 28 days (day 1 – day 28). Administration days, indicated in that figure as "X1" (first administration day) and "X2" (second administration day) are on day 1 and day 8. That leaves an administration-free period of 6 days between X1 and X2, from day 2 to day 6 (short administration-free period) and an administration-free  
10 period of 20 days between X2 and X1 of the next treatment cycle from day 9 until day 28 (long administration-free period). Day 29 in this example would be then the day 1 of the next treatment cycle.

In the embodiments of the present invention, the doses on the administration days X1 and  
15 X2 are preferably the same, e.g. 120 mg at day 1 (X1) and 120 mg at day 8 (X2).

In the most preferred dosing regimen of the present invention, the treatment cycle lasts 28 days, i.e. 4 weeks, and the drug, the HDM2 inhibitor, is dosed on day 1 and day 8. This regimen is also referred to as "d1,d8 q4w" or by similar abbreviated versions. This most  
20 preferred dosing regimen is herein also referred to as "Regimen 1B".

Instead of the most preferred day 8 for the second administration day, that second administration day may alternatively be on day 6, 7, 9 or 10.

Depending on the length of the treatment cycle, preferably 3, 4, or 5 weeks, this leads to a  
25 shorter or longer administration-free periods. E.g. if the range of the length of the treatment cycle is from 3 to 5 weeks, the range for the short administration-free period is from 4 to 8 days, and the range of the long administration period is from 13 to 27 days. Most preferred is a treatment cycle of 4 weeks.

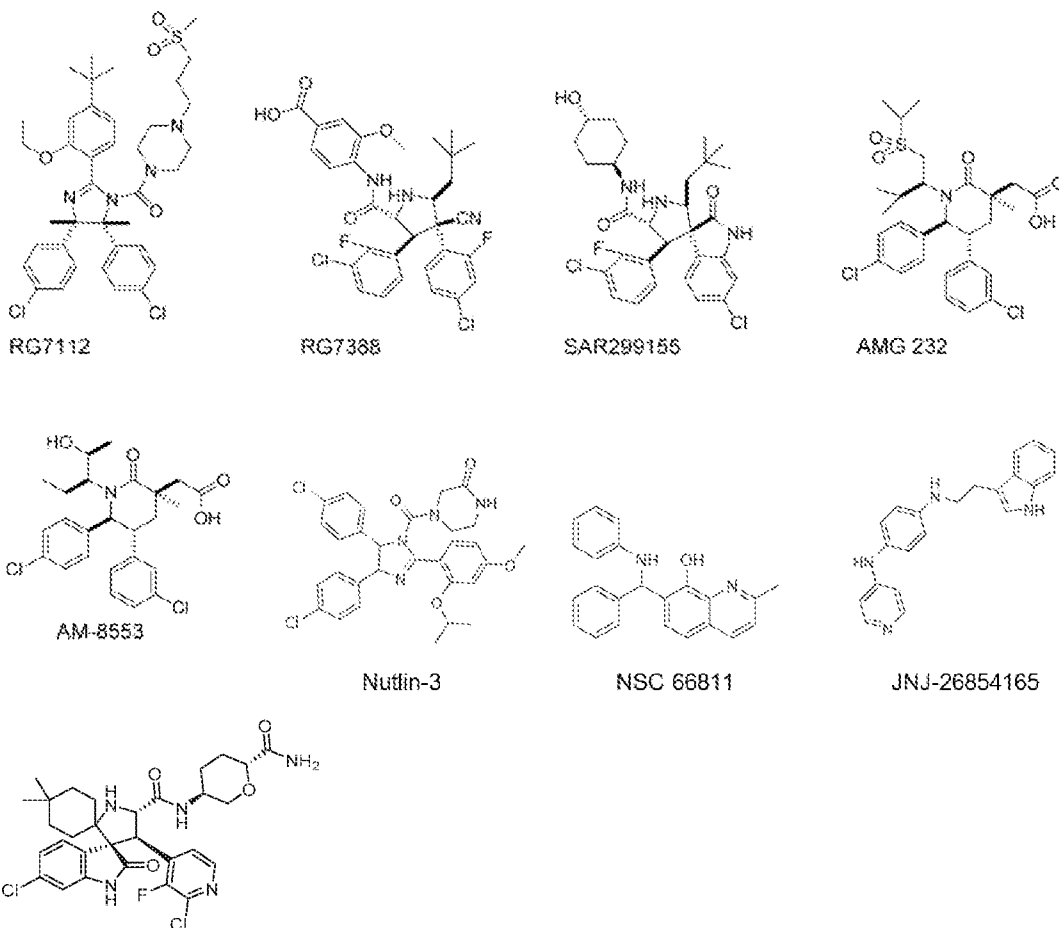
30 From all the possible regimens resulting from those ranges for the short and long administration-free periods as defined above, Figure 1 shows the preferred options in lines A1, A2, B1, B2, C1, C2, C3, D1, D2, E1, E2. More preferable regimens are A2, B1, B2, C1, C2, C3, D1, D2, E1. Even more preferable are the regimens A2, B2, C2, D1, E1. Even more

preferable are the regimens B2, C2, D1. Most preferable is regimen C2 which is equal to the "regimen 1B" as mentioned above.

The term "HDM2 inhibitor", also referred to as "HDM2i", "Hdm2i", "MDM2 inhibitor", "MDM2i", "Mdm2i", denotes herein any compound inhibiting the HDM-2/p53 or HDM-4/p53 interaction with an  $IC_{50}$  of less than 10  $\mu$ M, preferably less than 1  $\mu$ M, preferably in the range of nM, measured by a Time Resolved Fluorescence Energy Transfer (TR-FRET) Assay. The inhibition of p53-Hdm2 and p53-Hdm4 interactions is measured by time resolved fluorescence energy transfer (TR-FRET). Fluorescence energy transfer (or Foerster resonance energy transfer) describes an energy transfer between donor and acceptor 5 fluorescent molecules. For this assay, MDM2 protein (amino acids 2-188) and MDM4 protein (amino acids 2-185), tagged with a C-terminal Biotin moiety, are used in combination with a Europium labeled streptavidin (Perkin Elmer, Inc., Waltham, MA, USA) serving as the donor fluorophore. The p53 derived, Cy5 labeled peptide Cy5- TFSDLWKLL (p53 aa18-26) is the energy acceptor. Upon excitation of the donor 10 molecule at 340nm, binding interaction between MDM2 or MDM4 and the p53 peptide induces energy transfer and enhanced response at the acceptor emission wavelength at 665nm. Disruption of the formation of the p53-MDM2 or p53-MDM4 complex due to an inhibitor molecule binding to the p53 binding site of MDM2 or MDM4 results in increased donor emission at 615nm. The ratiometric FRET 15 assay readout is calculated from the 15 raw data of the two distinct fluorescence signals measured in time resolved mode (count rate 665nm/count rate 615nm x 1000). The assay can be performed according to the following procedure: The test is performed in white 1536w microtiterplates (Greiner Bio-One GmbH, Frickenhausen, Germany) in a total volume of 3.1 $\mu$ l by combining 100nl of compounds diluted in 90% DMSO/10% H<sub>2</sub>O (3.2% final DMSO 25 concentration) with 2 $\mu$ l Europium 20 labeled streptavidin (final concentration 2.5nM) in reaction buffer (PBS, 125mM NaCl, 0.001% Novexin (consists of carbohydrate polymers (Novexin polymers), designed to increase the solubility and stability of proteins; Novexin Ltd., ambridgehire, United Kingdom), Gelatin 0.01%, 0.2% Pluronic (block copolymer from ethylenoxide and propyleneoxide, BASF, Ludwigshafen, Germany), 1 mM DTT), followed by 30 the addition of 0.5 $\mu$ l MDM2-Bio or MDM4-Bio diluted in assay buffer (final concentration 10nM). Allow the solution to pre-incubate for 15 minutes at room temperature, followed by addition of 0.5 $\mu$ l Cy5-p53 peptide in assay buffer (final concentration 20nM). Incubate at room temperature for 10 minutes prior to reading the plate. For measurement of samples, an Analyst GT multimode microplate reader (Molecular Devices) with the following settings 30 is

used: Dichroic mirror 380nm, Excitation 330nm, Emission Donor 615nm and Emission Acceptor 665nm. IC50 values are calculated by curve fitting using XLfit. If not specified, reagents are purchased from Sigma Chemical Co, St. Louis, MO, USA.

- 5 In the aspects of the invention, the HDM2 inhibitor may be selected from (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(4-{methyl-[4-(3-oxo-piperazin-1-yl)-trans-cyclohexylmethyl]-amino}-phenyl)-1,4-dihydro-2H-isoquinolin-3-one;
- (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(4-{methyl-[4-(4-methyl-3-oxo-piperazin-1-yl)-trans-cyclohexylmethyl]-amino}-phenyl)-1,4-dihydro-2H-isoquinolin-3-one;
- 10 (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(6-{methyl-[4-(4-methyl-3-oxo-piperazin-1-yl)-trans-cyclohexylmethyl]-amino}-pyridin-3-yl)-1,4-dihydro-2H-isoquinolin-3-one;
- (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(6-{methyl-[4-(3-methyl-4-oxo-imidazolidin-1-yl)-trans-cyclohexylmethyl]-amino}-pyridin-3-yl)-1,4-dihydro-2H-isoquinolin-3-one;
- 15 (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(5-{methyl-[4-(3-methyl-4-oxo-imidazolidin-1-yl)-trans-cyclohexylmethyl]-amino}-pyrazin-2-yl)-1,4-dihydro-2H-isoquinolin-3-one;
- 1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(4-{methyl-[4-(4-methyl-3-oxo-piperazin-1-yl)-trans-cyclohexylmethyl]-amino}-phenyl)-1,4-dihydro-2H-isoquinolin-3-one;
- 20 (S)-5-(5-Chloro-1-methyl-2-oxo-1,2-dihydro-pyridin-3-yl)-6-(4-chloro-phenyl)-2-(2,4-dimethoxy-pyrimidin-5-yl)-1-isopropyl-5,6-dihydro-1H-pyrrolo[3,4-d]imidazol-4-one;
- 4-[(S)-5-(3-Chloro-2-fluoro-phenyl)-2-(2,4-dimethoxy-pyrimidin-5-yl)-3-isopropyl-6-oxo-3,4,5,6-tetrahydro-pyrrolo[3,4-d]imidazol-4-yl]-benzotrile;
- (S)-5-(5-Chloro-2-oxo-1,2-dihydro-pyridin-3-yl)-6-(4-chloro-phenyl)-2-(2,4-dimethoxy-pyrimidin-5-yl)-1-isopropyl-5,6-dihydro-1H-pyrrolo[3,4-d]imidazol-4-one;
- 25 (S)-5-(3-chloro-4-fluorophenyl)-6-(4-chlorophenyl)-2-(2,4-dimethoxy-pyrimidin-5-yl)-1-((R)-1-methoxypropan-2-yl)-5,6-dihydropyrrolo[3,4-d]imidazol-4(1H)-one;

**DS3032b**

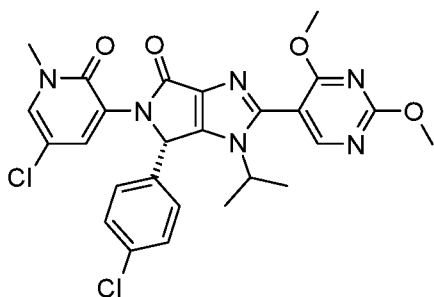
and

- 5 (S)-5-(5-chloro-1-methyl-2-oxo-1,2-dihydropyridin-3-yl)-6-(4-chlorophenyl)-2-(2,4-dimethoxy-d6-pyrimidin-5-yl)-1-((R)-1-methoxypropan-2-yl)-5,6-dihydropyrrolo[3,4-d]imidazol-4(1H)-one; Idasanutlin (RG7388, RO5503781); RG7775 (RO6839921); AMG232; DS3032 (also referred to as DS3032b); ALRN-6924; ATSP-7041; CGM097; and HDM201.

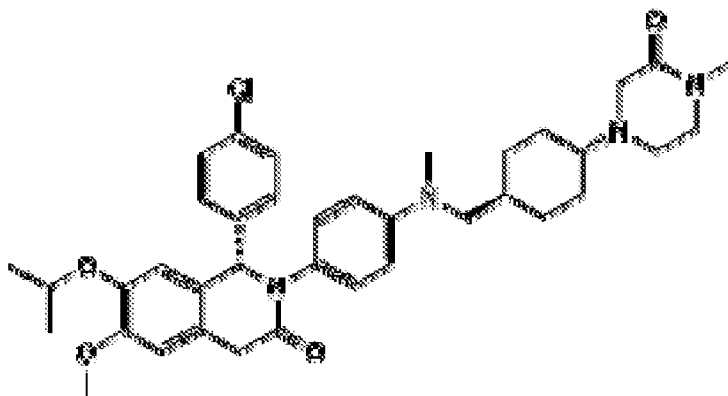
- 10 Preferably the HDM2 inhibitor is selected from Idasanutlin (RG7388, RO5503781), RG7775 (RO6839921), AMG232, DS3032 (DS3032b), ALRN-6924, ATSP-7041, CGM097, and HDM201.

- More preferably the HDM2 inhibitor is selected from HDM201, i.e. (S)-5-(5-Chloro-1-methyl-  
 15 2-oxo-1,2-dihydro-pyridin-3-yl)-6-(4-chloro-phenyl)-2-(2,4-dimethoxy-pyrimidin-5-yl)-1-

isopropyl-5,6-dihydro-1H-pyrrolo[3,4-d]imidazol-4-one, also referred to as (6S)-5-(5-Chloro-1-methyl-2-oxo-1,2-dihydropyridin-3-yl)-6-(4-chlorophenyl)-2-(2,4-dimethoxypyrimidin-5-yl)-1-isopropyl-5,6-dihydropyrrolo[3,4-d]imidazol-4(1H)-one,



- 5 and CGM097, i.e. (S)-1-(4-Chloro-phenyl)-7-isopropoxy-6-methoxy-2-(4-{methyl-[4-(4-methyl-3-oxo-piperazin-1-yl)-trans-cyclohexylmethyl]-amino}-phenyl)-1,4-dihydro-2H-isoquinolin-3-one, also referred to as trans-1(S)-(4-Chlorophenyl)-7-isopropoxy-6-methoxy-2-[4-[N-methyl-N-[4-(4-methyl-3-oxopiperazin-1-yl)cyclohexylmethyl]amino]phenyl]-1,2,3,4-tetrahydroisoquinolin-3-one,



10

CGM097 may be present as free base or a pharmaceutically acceptable salt, preferably it is present as sulphate salt, more preferably as bisulphate salt. CGM097 salts are described in WO2012/066095.

15

HDM201 may be present as free molecule or as acid variant. The acid variant may be a salt formed of HDM201 with the acid, or a HDM201 acid complex, or as HDM201 acid co-crystal, preferably HDM201 is present as co-crystal. Preferable the acid is succinic acid. Most

preferably, the HDM201 is present as succinic acid co-crystal. HDM201 variants are described in WO2013/111105.

5 Most preferably the HDM2 inhibitor is HDM201. The dosing regimens of the present invention are particularly well suited for the HDM201.

The dose on the two administration days of the dosing regimen of the present invention is particularly well suited for HDM201. For other HDM2 inhibitors this dose may need to be adapted initially based on the recommended total clinical dose per treatment cycle for those  
10 other HDM2 inhibitors divided by two. That initially adapted dose may then further adapted based on the observed clinical efficacy and toxicological findings with that initially adapted dose.

The daily dose of the HDM2 inhibitor on the administration days of the present invention may  
15 be from 50 mg to 400 mg, preferably from 80 mg to 300 mg, more preferably from 100 mg to 200 mg, even more preferably from 100 mg to 180 mg, even more preferably from 100 mg to 150 mg, even more preferably from 100 mg to 130 mg, even more preferably from 110 mg to 130 mg, most preferably about 120 mg. Those doses refer to the free drug molecule not taking into account any salt former, complex former or co-crystal former. Those daily doses  
20 are particularly suited for HDM201 as a HDM2 inhibitor.

In the embodiments of the present invention, the doses on the administration days X1 and X2 are preferably the same, e.g. 120 mg at day 1 (X1) and 120 mg at day 8 (X2).

Further, in the embodiments of the present invention, the doses on the administration days  
25 X1 and X2 are preferably single doses, taken by the patient as single dose units, e.g. 120 mg in one capsule or one tablet at day 1 (X1) and 120 mg in one capsule or one tablet at day 8 (X2).

The dosing regimens of the present invention are particularly well suited for solid tumors,  
30 particularly for TP53 wild-type solid tumors. Said solid tumors may be e.g. sarcomas, e.g. liposarcoma or soft tissue sarcoma, melanomas or osteosarcoma, melanomas, e.g. skin melanoma (cutaneous melanoma) or uveal melanoma, blastomas, e.g. neuroblastoma, colon tumor, colorectal tumor, kidney tumor (renal cell carcinoma), liver tumor (hepatic cell

carcinoma), testicular cancer. The dosing regimens of the present invention are even more particularly well suited for sarcomas, e.g. liposarcomas and other sarcomas.

5 The dosing regimens of the present invention have the advantage that they reduces the risk of hematological toxicities, e.g. thrombocytopenia, neutropenia, leucopenia, lymphopenia, anemia. The dosing regimens of the present invention are particularly suited to reduce the risk of thrombocytopenia.

10 The treatment comprising the HDM2-p53 interaction inhibitors may be in combination with a thrombopoietin receptor agonist, preferably said thrombopoietin receptor agonist is eltrombopag (INN) which is available under the trademarks PROMACTA or REVOLADE. This combination may further reduce the risk of cytopenias, especially thrombocytopenia and/or neutropenia.

15 According to the present invention the daily dose on the administration days is from 100 mg to 150 mg. For example, the daily dose may be 100 mg, 105 mg, 110 mg, 120 mg, 125 mg, 130 mg, 135 mg, 140 mg, 145 mg or 150 mg. Preferably the daily dose is selected from 120 mg, 125 mg, 130 mg, 135 mg, 140 mg, 145 mg or 150 mg. More preferably the daily dose is selected from 120 mg or 150 mg. Even more preferably the daily dose is 120 mg.

20

As a further aspect of the present invention there is provided:

A combination of an HDM2-p53 interaction inhibitor with one or more other therapeutically active agents for use in the treatment of cancer,

25 wherein the HDM2-p53 interaction inhibitor is administered on two different administration days within a treatment cycle,

wherein the first administration day and second administration day are interrupted by a short administration-free period, and the second administration day of the first or earlier treatment cycle and the first administration of the following cycle are interrupted by a long administration-free period,

30

wherein the short administration-free period is composed of from 4 to 8 days, and the long administration-free period is composed of from 13 to 27 days,

wherein the treatment is composed of at least 2 treatment cycles.

The other therapeutically active agent is preferably an anti-cancer agent.

5 When treating hematological tumors (e.g. AML, MDS), said anti-cancer agent may be selected from

FLT3 inhibitors (e.g. gilterinib, quizartinib),

BCL2 inhibitors (e.g. navitoclax, venetoclax),

Other HDM2 inhibitors (e.g. idasanutlin)

10 hypomethylating agents (HMA) (e.g. Vidaza [azacytidine, 5-azacytidine], Dacogen [decitabine], guadecitabine),

anthracyclines (e.g. idarubicin, daunorubicin, doxorubicin, epirubicin);

anti-CD33 antibodies (e.g. Mylotarg [gemtuzumab], vadaytuximab)

and other agents (e.g. AraC [cytarabine, aracytine])

15 When treating solid tumors (e.g. liposarcomas or other sarcomas, melanomas or uveal melanomas), said anti-cancer agent may be selected from

CDK-4/6 inhibitors (e.g. ribociclib, palbociclib),

20 Protein kinase C inhibitors (e.g. selinexor, one or more of the PKCi disclosed in WO2017/029588, i.e. 3-(1*H*-indol-3-yl)-4-[2-(4-methyl-piperazin-1-yl)-quinazolin-4-yl]-pyrrole-2,5-dione, or a pharmaceutically acceptable salt thereof or 3-amino-*N*-(3-(4-amino-4-methylpiperidin-1-yl)pyridin-2-yl)-6-(3-(trifluoromethyl)pyridin-2-yl)pyrazine-2-carboxamide, or a pharmaceutically acceptable salt thereof, 3-amino-*N*-(3-(4-aminopiperidin-1-yl)pyridin-2-yl)-6-(3-(trifluoromethoxy)pyridin-2-yl)pyrazine-2-carboxamide, or a pharmaceutically acceptable salt thereof, and 3-amino-*N*-(3-(4-amino-4-methylpiperidin-1-yl)pyridin-2-yl)-6-(3-

25 (trifluoromethoxy)pyridin-2-yl)pyrazine-2-carboxamide),

and other agents (e.g. Halaven [eribulin], Yondelis [trabectedin]).

## EXAMPLES

**Example 1**

5 This example provides a summary of the clinical safety and pharmacokinetic (PK) data that supports the dose and regimen of the present invention for single agent HDM201 for patients with solid tumors in the phase 1 trial CHDM201X2101.

Herein, data are disclosed from this multicenter, open-label, first-in-human Phase I study of HDM201 in patients with TP53 wild-type (WT) advanced solid tumors, progressing on standard therapy or for which no standard therapy exists (NCT02143635).

10 The preferred was found to be 120mg HDM201 given on d1 and d8 of a 4 w cycle (regimen 1B). The data are from the monotherapy trial with a data cut-off date of 19-Sep-2016.

The primary objective of the phase I part of the study is to determine the Maximum Tolerated Dose (MTD) and/or to identify the preferred dose of HDM201. The study design allowed parallel exploration of the safety, tolerability, and clinical activity of two broad dosing  
15 strategies for HDM201 across solid malignancies: intermittent high dose regimens (Regimen 1A and 1B) and extended low dose regimens (Regimen 2A and 2C). Table 1 summarizes the dosing regimens in each category that were evaluated in solid tumor patients. Table 2 provides the baseline characteristics of the patients involved in this study.

The endpoint for the primary objective is the incidence of Dose Limiting Toxicities (DLTs)  
20 during the first cycle of treatment. Although the primary analysis estimates the MTD based on DLT rate, the final preferred dose determination utilizes additional data beyond cycle 1 DLT rate, including later cycle tolerability, PK, PD and anti-tumor activity.

**Table 1: HDM201 Dosing regimens and dose levels evaluated in solid tumor group**

	Dosing Regimen	Dose levels (number of patients)	Total number of patients
<b>Intermittent high dose regimens</b>	1A (d1 Q3 weeks)	12.5mg (n=1)	N=26
		25mg (n=1)	
		50mg (n=4)	
		100 mg (n=4)	
		200mg (n=5)	
		250mg (n=6)	
		350mg (n=5)	
	1B (d1,d8 of 4 w cycle)	120mg (n=9 )	N=20
		150mg (n=8 )	
		200mg (n=3 )	
<b>Extended low dose regimens</b>	2A (2 weeks on/2 weeks off)	1mg (n=1)	N=20
		2mg (n=2)	
		4mg (n=4)	
		7.5mg (n=4)	
		15mg (n=4)	
		20mg (n=5)	
	2C (1 week on/3 weeks off)	15mg (n=8)	N=19
		20mg (n=6)	
		25mg (n=5)	

### Patient population

Patients involved in this study are characterized by the following criteria:

- 5       • Patients aged  $\geq 18$  years with a locally advanced or metastatic solid malignancy that had progressed despite standard therapy, or for which no effective standard therapy exists
- Tumors with documented TP53 WT status (minimum of no mutations in exons 5–8) obtained from a tumor biopsy collected no longer than 36 months before screening
- Measurable or non-measurable (but evaluable) disease as per Response Evaluation Criteria in Solid Tumors (RECIST) v1.1
- 10      • Eastern Cooperative Oncology Group (ECOG) performance status  $\leq 2$
- No prior treatment with compounds that inhibit the p53–MDM2 interaction, e.g. RG7388 or NVP-CGM097
- No treatment with growth factors targeting the myeloid lineage, e.g. G-CSF,  $\leq 2$  weeks prior to study treatment
- 15      • Absolute neutrophil count  $> 1,500/\mu\text{L}$ , platelet count  $> 100,000/\mu\text{L}$ , hemoglobin  $> 9.0\text{g/dL}$

Table 2 provides the baseline characteristics of the patients involved in this study.

**Table 2: Baseline characteristics (FAS)**

Characteristic	Regimen 1A (n=26)	Regimen 1B (n=20)	Regimen 2A (n=20)	Regime n 2C (n=19)	All Regimen s (N=85)
Age (median), years	62	63	60	57	60
Range	18–80	31–78	38–76	37–74	18–80
Sex (male), n (%)	9 (35)	11 (55)	15 (75)	13 (68)	48 (56)
Race, n (%)					
Caucasian	14 (54)	14 (70)	14 (70)	15 (79)	57 (67)
Black	1 (4)	0	0	0	1 (1)
Asian	8 (31)	5 (25)	4 (20)	4 (21)	21 (25)
Other	2 (8)	1 (5)	2 (10)	0	5 (6)
Missing	1 (4)	0	0	0	1 (1)
WHO/ECOG PS*					
n (%)					
0	12 (46)	9 (45)	11 (55)	10 (53)	42 (49)
1	14 (54)	11 (55)	9 (45)	9 (47)	43 (51)
Tumor type, n (%)					
Liposarcoma	3 (12)	4 (20)	1 (5)	1 (5)	9 (11)
Sarcoma (others)	8 (31)	2 (10)	6 (30)	3 (16)	19 (22)
Skin melanoma	0	1 (5)	2 (10)	0	3 (4)
Uveal melanoma	2 (8)	3 (15)	1 (5)	1 (5)	7 (8)
Colon	0	1 (5)	4 (20)	3 (16)	8 (9)
Kidney	0	0	1 (5)	1 (5)	2 (2)
Other	13 (50)	9 (45)	5 (25)	10 (53)	37 (44)
Number of prior antineoplastic regimens, n (%)					
0	0	2 (10)	1 (5)	1 (5)	4 (5)
1	7 (27)	5 (25)	1 (5)	1 (5)	14 (16)
2	7 (27)	4 (20)	7 (35)	5 (26)	23 (27)
≥3	12 (46)	9 (45)	11 (55)	12 (63)	44 (52)

\*WHO/ECOG PS: Eastern Cooperative Oncology Group/World Health Organization performance status

### Statistical Analyses

Dose-escalation decisions were guided by the Bayesian logistic regression model (BLRM) with the escalation with overdose control principle (EWOC).

- 5 Decisions were based on a synthesis of data available from all dose levels and regimens evaluated in the study including dose-limiting toxicities, all Common Terminology Criteria for Adverse Events (CTCAE) Grade  $\geq 2$  toxicity data during the first cycle of treatment, and pharmacokinetic and pharmacodynamic data from evaluable patients.

10 Cycle 2 hematological toxicities were also taken into account for dose escalation and regimen selection.

### Dose/Regimen Justification

Of the 4 dosing regimens evaluated in solid tumors with single agent HDM201, the intermittent high dose regimen 1B (d1 and d8 of 4 w cycle) were found to have the most favorable therapeutic index. Grade 3/4 thrombocytopenia was lowest in this regimen over all  
15 doses tested, and did not occur in patients treated at the selected RDE of 120mg (see Table 3-1). The most frequent non-hematologic toxicities were gastrointestinal, but were not dose limiting at any of the dose levels evaluated across the 4 regimens. Pharmacokinetic data demonstrated that therapeutically relevant exposures were achieved at the 120mg dose level  
20 for regimen 1B based on PK/PD modeling of preclinical data, and further supported by the observation of clinical efficacy in patients treated at this dose (1 patient with a long lasting PR, 1 patient with unconfirmed PR and 1 patient with SD). The 120mg dose was also within the range of favorable doses recommended by the Bayesian logistic regression model (BLRM) supporting dose escalation. Therefore, regimen 1B at the dose of 120 mg was seen as most preferred dose and regimen.

### Detailed Clinical Summary

At the time of data cut-off (19-Sep-16), 85 patients with solid tumors have been treated with HDM201 across the 4 dosing regimens evaluated (see Table 1). Dose limiting toxicities across all regimens evaluated were primarily related to myelosuppression.

- 5 Of all dose-limiting cytopenias, grade 3/4 neutropenia and thrombocytopenia were most commonly observed across the regimens (Table 3). Therefore, the comparative incidence of grade 3/4 cytopenias (most importantly thrombocytopenia) across the 4 regimens was a key factor informing the selection of regimen and dose for expansion.

10 It was found that during the study that HDM201-induced myelosuppression can have delayed onset (beyond cycle 1). Therefore, dose limiting hematologic toxicities occurring in cycle 2 were also factored into dose escalation decisions during the course of the study, using a non-binding sensitivity model. Table 4 summarizes the number of dose limiting toxicities during cycle 1 and dose limiting hematologic toxicities in cycle 2 across all the regimens evaluated in solid tumors.

- 15 Intermittent high dose regimen 1A and extended low dose regimen 2A were the first to be evaluated in dose escalation. Both regimens had unfavorable rates of DLT and delayed hematologic toxicities at dose levels achieving predicted therapeutically relevant exposures. Therefore, cohorts exploring two additional regimens were opened: intermittent high dose regimen 1B and extended low dose regimen 2C. In the regimen 2C, DLTs were observed at  
20 dose levels at which exposures were below those predicted to be efficacious based on PK/PD modeling.

Twenty patients have been treated according to regimen 1B at 3 different dose levels (120 mg, 150 mg and 200 mg). The most frequent AEs (all grades) reported as suspected due to study treatment in regimen 1B were nausea (12 patients, 60.0%), thrombocytopenia/platelet  
25 count decreased (9 patients, 45.0%), neutropenia/neutrophil count decreased (8 patients, 40.0%) and vomiting (5 patients, 25.0%). Nine patients (45.0%) of this group experienced at least one CTCAE grade 3/4 AE suspected to be treatment-related. The three most frequent CTCAE grade 3/4 AEs considered suspected to study treatment were:  
neutropenia/neutrophil count decreased (6 patients, 30.0%), lipase increase (3 patients,  
30 15%) and thrombocytopenia/platelet count decrease (2 patients, 10.0%). One event of prolonged neutropenia (onset on day 22 and lasting 18 days) meeting DLT criteria was observed in one patient treated at the dose of 150 mg. See Table 5 for further details. Of the

4 regimens evaluated, regimen 1B had the lowest overall incidence of grade 3/4 thrombocytopenia (Table 3).

At the preferred dose of 120mg (regimen 1B), there were no cases of grade 3/4 thrombocytopenia AEs (see Table 3-1). There were no dose interruptions or discontinuations due to thrombocytopenia at this dose level and no patients required platelet transfusions. The incidence of grade 3/4 neutropenia was similar across all regimens, and was observed in 2 out of 9 patients at the 120mg dose level. There were no non-hematologic dose limiting toxicities or grade 3/4 AEs at this dose level.

Importantly, meaningful clinical activity was observed at the preferred dose of 120mg (regimen 1B). Of 9 patients treated at this dose, there was 1 PR (lasting 18 weeks and still ongoing at the cutoff date) in a patient with soft tissue sarcoma, 1 unconfirmed PR and 1 SD (lasting 8 weeks) both in patients with liposarcoma, indicating that therapeutically relevant exposures are achieved at this dose and schedule.

**Table 3:** All cytopenia adverse events suspected to be study drug related – solid tumors

Regimen (n)	Neutropenia/ neutrophil count decreased*		Leukopenia/ white blood cell count decreased*		Anemia		Thrombocytopenia/ platelet count decreased*	
	All Grades n(%)	G3/4 n(%)	All Grades n(%)	G3/4 n(%)	All Grades n(%)	G3/4 n(%)	All Grades n(%)	G3/4 n(%)
Regimen 1A (n=26)	9 (34.6)	8 (30.7)	9 (34.6)	5 (19.2)	10 (38.5)	3 (11.5)	12 (46.2)	8 (30.8)
Regimen 1B (n=20)	8 (40.0)	6 (30.0)	5 (25.0)	1 (5.0)	5 (25.0)	0	9 (45.0)	2 (10.0)
Regimen 2A (n=20)	5 (25.0)	4 (20.0)	4 (20.0)	3 (15.0)	6 (30.0)	4 (20.0)	10 (50.0)	7 (35.0)
Regimen 2C (n=19)	3 (15.8)	2 (10.5)	2 (10.5)	1 (5.3)	4 (21.1)	3 (15.8)	8 (42.1)	3 (15.8)
RDE (Regimen 1B 120mg) (n=9)	2 (22.2)	2 (22.2)	3 (33.3)	0	2 (22.2)	0	4 (44.4)	0

\*includes combination of preferred terms

**Table 4: Treatment cycle 1 DLTs and Cycle 2 hematologic dose limiting toxicities in solid tumors**

	Dosing Regimen	Dose levels (n)	DLTs (cycle 1)	Hematologic dose limiting toxicities (cycle 2)
<b>Intermittent high dose regimens</b>	1A (d1 Q3 weeks)	12.5mg (n=1)	0	0
		25mg (n=1)	0	0
		50mg (n=4)	0	1
		100 mg (n=4)	0	0
		200mg (n=5)	0	1
		250mg (n=6)	0	1
		350mg (n=5)	2	2
	Total (%)	N=26	2 (7.7%)	5 (19.2%)
	1B (d1,d8 of 4 w cycle)	120mg (n=9 )	0	2
		150mg (n=8 )	1	1
200mg (n=3 )		0	Data not available at the clinical cutoff	
Total (%)	N=20	1 (5%)	3 (15%)	
<b>Extended low dose regimens</b>	2A (2 weeks on/2 weeks off)	1mg (n=1)	0	0
		2mg (n=2)	0	0
		4mg (n=4)	0	0
		7.5mg (n=4)	0	0
		15mg (n=4)	0	1
		20mg (n=5)	0	4
	Total (%)	N=20	0 (0%)	5 (25%)
	2C (1 week on/3 weeks off)	15mg (n=8)	0	1
		20mg (n=6)	0	0
		25mg (n=5)	2	0
Total (%)	N=19	2 (10.5%)	1 (5.3%)	

**Table 5:** All grades and grade 3/4 adverse events, suspected to be study drug related, by preferred term and treatment - solid tumors – Regimen 1B

MEDDRA Preferred Term	HDM201 1B 120 mg N=9		HDM201 1B 150 mg N=8		HDM201 1B 200 mg N=3		All subjects N=20	
	All Grades n (%)	Grade 3/4 n (%)	All Grades n (%)	Grade 3/4 n (%)	All Grades n (%)	Grade 3/4 n (%)	All Grades n (%)	Grade 3/4 n (%)
	-Total	9 (100)	4 (44.4)	7 (87.5)	4 (50.0)	3 (100)	1 (33.3)	19 (95.0)
Nausea	7 (77.8)	1 (11.1)	4 (50.0)	0	1 (33.3)	0	12 (60.0)	1 (5.0)
Neutropenia	2 (22.2)	2 (22.2)	4 (50.0)	3 (37.5)	0	0	6 (30.0)	5 (25.0)
Anaemia	2 (22.2)	0	2 (25.0)	0	1 (33.3)	0	5 (25.0)	0
Diarrhoea	3 (33.3)	0	2 (25.0)	0	0	0	5 (25.0)	0
Thrombocytopenia	1 (11.1)	0	4 (50.0)	2 (25.0)	0	0	5 (25.0)	2 (10.0)
Vomiting	3 (33.3)	0	2 (25.0)	0	0	0	5 (25.0)	0
Decreased Appetite	1 (11.1)	0	3 (37.5)	0	0	0	4 (20.0)	0
Fatigue	1 (11.1)	0	2 (25.0)	1 (12.5)	1 (33.3)	0	4 (20.0)	1 (5.0)
Lipase Increased	1 (11.1)	0	2 (25.0)	2 (25.0)	1 (33.3)	1 (33.3)	4 (20.0)	3 (15.0)
Platelet Count Decreased	3 (33.3)	0	1 (12.5)	0	0	0	4 (20.0)	0
Abdominal Pain	1 (11.1)	0	2 (25.0)	0	0	0	3 (15.0)	0
Neutrophil Count Decreased	0	0	3 (37.5)	2 (25.0)	0	0	3 (15.0)	2 (10.0)
White Blood Cell Count Decreased	2 (22.2)	0	1 (12.5)	0	0	0	3 (15.0)	0
Asthenia	1 (11.1)	0	1 (12.5)	0	0	0	2 (10.0)	0
Blood Creatine Phosphokinase Increased	2 (22.2)	1 (11.1)	0	0	0	0	2 (10.0)	1 (5.0)
Blood Creatinine Increased	1 (11.1)	0	1 (12.5)	0	0	0	2 (10.0)	0
Leukopenia	1 (11.1)	0	1 (12.5)	1 (12.5)	0	0	2 (10.0)	1 (5.0)
Lymphopenia	0	0	2 (25.0)	1 (12.5)	0	0	2 (10.0)	1 (5.0)
Alanine Aminotransferase Increased	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Alopecia	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Amylase Increased	0	0	0	0	1 (33.3)	0	1 (5.0)	0
Blood Bilirubin Increased	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Dehydration	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Dry Skin	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Dysgeusia	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Eye Pain	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Folliculitis	0	0	1 (12.5)	0	0	0	1 (5.0)	0

MEDDRA Preferred Term	HDM201 1B 120 mg N=9		HDM201 1B 150 mg N=8		HDM201 1B 200 mg N=3		All subjects N=20	
	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Gamma-Glutamyltransferase Increased	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Headache	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Hyperkalaemia	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Hypocalcaemia	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Influenza Like Illness	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Lethargy	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Monocytosis	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Musculoskeletal Pain	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Myalgia	1 (11.1)	0	0	0	0	0	1 (5.0)	0
Neuralgia	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Oedema	0	0	0	0	1 (33.3)	0	1 (5.0)	0
Oral Candidiasis	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Pruritus	0	0	1 (12.5)	0	0	0	1 (5.0)	0
Weight Decreased	1 (11.1)	0	0	0	0	0	1 (5.0)	0

- Preferred terms are sorted in descending frequency of <all grades> column, as reported in the <All subjects> column.

- A subject with multiple occurrences of an AE under one treatment is counted only once in the AE category For that treatment.

- A subject with multiple adverse events is counted only once in the total row.

- Only AEs occurring during treatment or within 30 days of the last study medication are reported.

## Safety

Dose-limiting toxicities, typically occurring during Cycle 2, were neutropenia and thrombocytopenia

- 5 Study drug-related all grade adverse events (AEs; occurring in  $\geq 10\%$  of all patients) are presented in Table 6.

**Table 6:** Adverse Events Suspected To Be Study-drug Related, By Combined Treatment Regimens (All Grades, Occurring in ≥10%)

Preferred Term, n (%)	All Regimens (N=85)	
	All Grades	Grade 3/4
Nausea	44 (52)	1 (1)
Thrombocytopenia	27 (32)	14 (16)
Anemia	25 (29)	10 (12)
Fatigue	19 (22)	2 (2)
Decreased appetite	19 (22)	2 (2)
Vomiting	19 (22)	0
Neutropenia	18 (21)	15 (18)
Platelet count decreased	15 (18)	7 (8)
Diarrhea	13 (15)	0
Leukopenia	12 (14)	8 (9)
White blood cell count decrease	11 (13)	3 (4)

5 The most frequent non-hematologic toxicities were gastrointestinal, but were not dose-limiting at any of the dose levels evaluated across the 4 regimens; the most common all grade gastrointestinal AE was nausea (44/85; 52%), which was mostly mild to moderate in severity

Study-drug related Grade 3/4 AEs of special interest are shown in Table 3. Grade 3/4  
 10 hematological toxicities suspected to be study-drug related were observed for all treatment regimens, occurring in up to ~35% of patients. Grade 3/4 thrombocytopenia was lowest in Regimen 1B.

**Clinical PK**

Pharmacokinetic data have been evaluated throughout the course of the dose escalation.  
 15 Two HDM201 drug variants have been evaluated during the course of the study (refer to the protocol for further details). Non-compartmental PK analysis showed a median time to reach

- maximum plasma concentrations ranging from 2.0 to 5.8 h across the dose range (2 to 350 mg). A preliminary dose proportionality assessment showed approximately dose proportional PK (AUClast and Cmax) over the dose range studied. For the majority of dose cohorts, the inter-patient variability (CV% Geo-mean) for AUClast and Cmax was low to moderate (6 to 58.5%). Furthermore, an integrated analysis of all available HDM201 concentrations was conducted using a population approach. The PK of HDM201 was best described by a 1-compartment PK model with a delayed zero- and first-order absorption process, and a linear clearance. Body weight was identified as a statistically significant covariate on apparent central volume of distribution (Vc/F), in which Vc/F increased with increasing body weight.
- 10 To further support the preferred dose for HDM201, compartmental PK modeling was used to estimate the individual average concentration per cycle for the 9 patients treated at 120 mg on regimen 1B (Figure 2). For the majority of patients (7 out of 9), the estimated average drug concentrations per cycle were near or above the most conservative average tumor stasis concentration of  $\approx 41$  ng/mL per cycle determined from PKPD modeling of preclinical data (human SJSA-1 xenograft rat model).
- 15

Representative geometric mean plasma concentration–time profiles for NVP-HDM201 after single dose (Day 1) for treatment Regimen 1A (12.5–350 mg) are presented in Figure 3

- 20 Oral absorption was fast (median Tmax 2–5.8 hours) and did not vary by dose group (2–350 mg)

Mean plasma exposures (AUClast and Cmax) increased with increasing dose, with no major deviations from dose proportionality after single and repeated doses

NVP-HDM201 steady-state was generally reached by Day 8, with limited accumulation upon daily dosing

- 25 Median half-life estimated after Day 1 single dose (50–350 mg) ranged from 13.7 to 23.1 h
- Inter-patient variability (CV% Geo-mean) in exposure was generally low to moderate. Compartmental population PK modeling of NVP-HDM201 was used to estimate the individual average plasma concentration for Cycle 1 and to allow comparison with preclinical average concentration for tumor stasis derived by PK/PD tumor growth modeling. The results are shown in Figure 4.
- 30

Compared with Regimen 2A/2C, the average plasma concentration reached with Regimen 1A/1B was closer to the predicted preclinical target efficacious levels (125 ng/mL) required for 95% tumor regression (upper dashed line in Figure 5) and near or above the estimated average concentrations for the most conservative average tumor stasis concentration of  $\approx 41$  ng/mL (dashed line) determined from PK/PD modeling of human SJSA-1 xenograft rat model (Figure 4).

The dashed line at concentration of  $\approx 19$  ng/mL represents average tumor stasis determined from PK/PD modeling of preclinical data from a liposarcoma (HSAX2655) patient-derived xenograft rat model.

The dashed line at concentration 29.4 ng/mL represents IC<sub>50</sub> value determined from the cellular activity in SJSA-1 cell line.

### Statistical Analysis

This study utilizes a Bayesian logistic regression model (BLRM) to support dose escalation and estimate the MTD and/or determine the preferred dose for HDM201. The BLRM with escalation with overdose control (EWOC) enables incorporation of available prior information and updates the model parameters based upon new information about observed dose limiting toxicities (DLT) seen in the clinical study. During the course of the dose escalation for regimen 1A and 1B, DLT incidence has been used to update the model and support the decision for the next dose. DLTs are defined as per Table 6-3 of the protocol and are events occurring during the first cycle treatment. When during the course of the study it became apparent that HDM201 induced bone marrow toxicity occurred predominantly during cycle 2, a non-binding sensitivity model including cycle 1 DLT and hematologic dose limiting AEs in cycle 2 (weighting all cytopenias equally) was used to guide dose escalation/RDE determinations. Additionally, decisions were at all times based on a synthesis of relevant data available from all dose levels evaluated in the study including low grade toxicities, PK, and PD data (when available) from evaluable patients.

The results of the BLRM using cycle 1 DLT events data from patients treated on regimen 1B (dose level 120 mg, 150 mg and 200 mg), supported escalation up to 400 mg HDM201. Median DLT rate at 120mg was 3.5% and 25.7% as per protocol analysis and sensitivity analysis, respectively. Thus, 120mg was found as preferred dose upon considering the lower

incidence of clinically relevant grade 3/4 thrombocytopenia, manageable neutropenia, and the meaningful clinical activity observed at this dose.

### Efficacy

- 5 At the time of data cut-off 2/46 (4%) patients receiving the high-dose intermittent regimens achieved PR (1 patient with STS-intimal sarcoma receiving Regimen 1A; 1 patient with STS-hemangiopericytoma receiving Regimen 1B) (Table 7). 15/46 (33%) patients receiving the high-dose intermittent regimens and 14/39 (36%) patients receiving the low-dose extended regimens achieved SD (Table 7).
- 10 While meaningful disease control was observed in all dosing regimens (DCR: 34%), PRs were only seen in Regimens 1A and 1B, suggesting that the high-dose intermittent regimens are more active.

By September 2017, strong antitumor efficacy had been observed for sarcoma patients (liposarcoma and other sarcomas). Out of 21 sarcoma patients treated with HDM201

- 15 according to regimen 1B, 5 patients showed partial response (PR), and 11 stable disease (SD). The disease only progressed (PD) in 5 patients (see Fig. 8).

**Table 7: Best Overall Response (FAS) (November 2016)**

BOR, n (%)	Regimen 1A (n=26)	Regimen 1B (n=20)	Regimen 2A (n=20)	Regimen 2C (n=19)
CR	0	0	0	0
PR	1 (4)	1 (5)	0	0
SD	8 (31)	7 (35)	7 (35)	7 (37)
PD	14 (54)	12 (60)	12 (60)	10 (53)
Unknown	3 (12)	0	1 (5)	2 (11)
ORR	1 (4)	1 (5)	0	0
95% CI	0.1–19.6	0.1–24.9	0.0–16.8	0.0–17.6
DCR	9 (35)	8 (40)	7 (35)	7 (37)
95% CI	17.2–55.7	19.1–63.9	15.4–59.2	16.3–61.6

- 20 BOR: best overall response; CI, confidence interval; CR: complete response; DCR: disease control rate (CR or PR or SD); FAS: full analysis set; ORR: overall response rate (CR or PR); PD: progressive disease; PR: confirmed partial response; SD: stable disease; BOR is based on investigator's

assessment of disease status using RECIST 1.1; CR and PR are confirmed by repeat assessments performed not less than 4 weeks after the criteria for response is first met. The 95% CI is calculated using the exact (Clopper–Pearson) interval.

5 The median relative dose intensity (RDI) for patients with at least stable disease or better at the end of 32 weeks of treatment was similar in low-dose extended Regimens 2A and 2C. Of the 2 high-dose intermittent regimens, Regimen 1B had a more favorable RDI, supporting its overall better tolerability at therapeutically relevant doses (Table 8).

10 **Table 8** Relative Dose Intensity Summary For Patients With At Least Stable Disease At The End Of 32 Weeks Of Treatment (SAS)

Relative dose intensity during the first 32 weeks of treatment	Regimen 1A (n=20)	Regimen 1B (n=20)	Regimen 2A (n=13)	Regimen 2C (n=19)
N	11 (55)	8 (40)	7 (53.8)	9 (47.4)
Median	0.73	0.87	0.97	1
Range	0.33–1	0.5–1	0.72–1.42	0.61–1

SAS, safety analysis set.

15 n = total number of patients treated including only the treatment groups in the corresponding regimens:

Regimen 1A: ≥100mg; Regimen 1B: ≥120 mg; Regimen 2A: ≥7.5mg; Regimen 2C: ≥15mg

N = number of patients with at least one SD or PR or CR or patients discontinued treatment for reasons other than PD.

20 **Example 2: Detailed Pharmacokinetical Data**

5.1.2.1.1 Monotherapy in adult patients [HDM201X2101]

25 The PK of HDM201 have been evaluated in the ongoing single agent Phase I clinical trial in patients with solid tumors and hematological malignancies (HDM201X2101). Patients have been treated with a single dose on Day 1 in a three-week cycle (q3w, regimen 1A), with a single dose on Day 1 and Day 8 in a four-week cycle (d1 and d8 of 4 w cycle, regimen 1B), or with daily dosing for the first 7 or 14 days in a four-week cycle (regimen 2C [q.d., 1 w on/3 w off] or regimen 2A [q.d., 2 w on/2 w off], respectively). PK assessments were conducted following single dose and repeated administration. Preliminary PK parameters

from non- compartmental analysis of plasma concentration-time profiles are summarized by dose regimen and tumor type (data cut-off 01-Apr-2016). PK parameters for Regimen 2A (Day 1 and 14 of Cycle 1 for doses from 1 to 20 mg) and Regimen 1A (Day 1 of Cycle 1 for doses from 12.5 to 350 mg) in solid tumor patients are summarized as  
 5 representative of the PK for daily and less frequent dosing regimens (Tables 9, 10 and 11).

**Table 9:** Summary of primary PK parameters for HDM201 daily regimen 2A after single dose (Solid malignancies)

	Treatment (Day 1) AUClast (ng*hr/mL), Cmax (ng/mL), Tmax (hr)
10	HDM201 2A, 1 mg (N=1) N=1 N=1 N=1 134.3 7.9 8.0
	HDM201 2A, 2 mg (N=2) N=2 N=2 N=2 169.1 (31.5) 12.0 (23.7) 3.4
	HDM201 2A, 4 mg (N=4) N=4 N=4 N=4
15	192.6 (29.1) 17.6 (22.8) 2.5
	HDM201 2A, 7.5 mg (N=4) N=4 N=4 N=4 598.1 (49.8) 39.0 (43.9) 5.8
	HDM201 2A, 15 mg (N=4) N=4 N=4 N=4 1301.6 (71.0) 91.5 (56.9) 3.1
20	HDM201 2A, 20 mg (N=5) N=5 N=5 N=5 2300.9 (33.3) 163.6 (15.9) 2.0

Values are Geo Mean (%CV) except for Tmax where median is presented.  
 AUClast is calculated from 0-24h

25 **Table 10:** Summary of primary PK parameters for HDM201 daily regimen 2A on day 14 (Solid malignancies)

	Treatment (Day 14) AUClast (ng*hr/mL) Cmax (ng/mL) Tmax (hr)
	HDM201 2A, 1 mg (N=1) N=1 N=1 N=1 99.5 14.9 4.0
30	HDM201 2A, 2 mg (N=2) N=2 N=2 N=2 109.3 (6.0) 17.5 (4.9) 3.9
	HDM201 2A, 4 mg (N=4) N=3 N=3 N=3 155.0 (21.7) 25.6 (23.9) 4.0
	HDM201 2A, 7.5 mg (N=4) N=2 N=2 N=2
35	221.7 (44.7) 36.1 (43.6) 3.9
	HDM201 2A, 15 mg (N=4) N=4 N=4 N=4

688.8 (29.2) 106.0 (27.9) 3.0  
 HDM201 2A, 20 mg (N=5) N=4 N=4 N=4  
 1264.0 (26.3) 214.5 (21.4) 4.0

Values are Geo Mean (%CV) except for Tmax where median is presented.

5 AUClast is calculated from 0-24h

**Table 11:** Summary of primary PK parameters for HDM201 q3w regimen 1A after single dose (Solid malignancies)

**Treatment (Day 1) AUClast (ng\*hr/mL) Cmax (ng/mL) T1/2 (hr) Tmax (hr)**

10	HDM201 1A, 12.5 mg (N=1) N=1 N=1 N=1 N=1	1483.1 118.0 7.2 3.2
	HDM201 1A, 25 mg (N=1) N=1 N=1 N=1 N=1	1773.6 100.0 31.1 2.1
	HDM201 1A, 50 mg (N=4) N=4 N=4 N=4 N=4	
15		8028.8 (25.6) 467.0 (13.0) 11.8 (27.9) 3.0
	HDM201 1A, 100 mg (N=4) N=4 N=4 N=3 N=4	14287.0 (58.5) 663.3 (30.1) 16.3 (21.6) 3.5
	HDM201 1A, 200 mg (N=5) N=5 N=5 N=4 N=5	26255.2 (56.2) 1168.8 (43.7) 15.1 (102.7) 3.0
20	HDM201 1A, 250 mg (N=6) N=6 N=6 N=5 N=6	23850.1 (38.5) 1072.6 (36.3) 15.3 (43.8) 3.5
	HDM201 1A, 350 mg (N=5) N=5 N=5 N=4 N=5	50527.1 (24.3) 1936.4 (40.5) 15.1 (47.1) 4.1

Values are Geo Mean (%CV) except for Tmax where median is presented.

25 AUClast is calculated from 0-24h

Following oral dosing (HDM201 capsule, fasted), the median time to reach maximum plasma concentrations ranged from 2.0 to 5.8 h across the dose range (2 to 350 mg). With the daily dosing regimen, HDM201 steady-state was generally reached by Day 8, and accumulation was less than 2-fold. Mean T1/2 estimated after a single dose (50 to 350 mg) ranged from 11.8 to 16.3 h. A preliminary dose proportionality assessment showed approximately dose proportional PK (AUClast and Cmax) over the dose range studied on Day 1 single dose (1 to 350 mg) and after multiple doses on Day 14 (1 to 30 mg q.d.). For the majority of dose cohorts, the inter-patient variability (CV% Geo-mean) for AUClast and Cmax was low to moderate (6 to 58.5%).

Furthermore, the individual PK data was best described by a 1-compartment PK model with a delayed zero- and first-order absorption process (data cut-off 01-Apr-2016). The mean population estimate for apparent oral clearance (CL/F) and apparent volume of distribution of the central compartment (Vc/F) were 6.18 L/h and 119 L respectively (relative standard error of 7%), with inter-individual variability of 48% (CL/F) and 30% (Vc/F). Body weight was identified as a statistically significant covariate on Vc/F.

### Example 3: PK/PD model of thrombocytopenia

Based on individual PK and platelet counts data over time a PK/PD model was established.

10 PK model: 1 compartment with biphasic absorption.

PD model: Adjusted Friberg model for thrombocytopenia including PLT transfusions and effect on HDM201 on proliferative cells and regulations.

Data base:

n= 73 subjects

15 1301 PK observations

1023 PD platelets observations

427 PD GDF15 observations

The platelet kinetic profiles shown in Figure 5 are modeled based on the following doses as tested in each regimen (in order from top to bottom in Figure 5):

20 Reg2C (D1-7 Q4wk): 25mg ((25 mg x 7 administration days) / 28 days cycle = 6.25mg/day)

Reg2A (D1-14 Q4wk): 20mg ((20 mg x 14 administration days) / 28 days cycle = 10mg/day)

Reg1B (Days 1, 8 Q4wk): 150mg ((150 mg x 2 admin. days) / 28 days cycle = 10.7 mg/day)

Reg1A (D1 Q3wk): 350mg ((350 mg x 1 administration day) / 21 days cycle = 16.7 mg/day)

25

Based on this modeling, 1B has best overall platelet kinetic profile of the regimens that have demonstrated single agent activity.

The first occurrence of G4 thrombocytopenia with regimen 1B 150mg in the clinical study occurred only after 100 days.

Addition of Eltrombopag to 1B could mitigate the relative delay and decreased peak of platelet recovery with subsequent cycles.

**Example 4: Drug product**

5 The drug product consists of HDM201 succinic acid drug substance filled directly into hard gelatin capsules (HGC), and does not contain any other excipients. The drug product is provided in four dosage strengths: 1 mg, 2.5 mg, 10 mg and 100 mg (based on the weight of the free form), intended for oral use. The 1 mg strength capsule is a "Size 3" yellow HGC, the 2.5 mg strength capsule is a "Size 3" Swedish Orange HGC, the 10 mg strength capsule is a  
10 "Size 1" Grey HGC, and the 100 mg is a "Size 0" Swedish Orange HGC. The drug product is packaged in child resistant, induction sealed High Density Polyethylene (HDPE) bottles.

**Example 5: Regimen 1B for hematological tumors**

15 This example provides a summary of the clinical data of the phase 1 trial CHDM201X2101 (data cut-off date of 07-Dec-2016) that demonstrates that the dose regimen "1B", is also suitable for the treatment of patients with hematological tumors.

Herein, data are disclosed from this multicenter, open-label, first-in-human Phase I study of  
20 HDM201 in patients with advanced TP53 wild-type (WT) leukemias.

The clinical study design allowed parallel exploration of the safety, tolerability, and clinical activity (efficacy) of two broad dosing strategies for HDM201 during dose escalation: intermittent high dose regimens (Regimen 1A and 1B) and extended low dose regimens  
25 (Regimen 2A and 2C). Table 1 summarizes the dosing regimens in each category that were evaluated in patients with hematologic tumors.

**Table 1** HDM201 Dosing regimens and dose levels evaluated in hematologic malignancies

	Dosing Regimen	Dose levels (number of patients)	Total number of patients
<b>Intermittent high dose regimens</b>	1A (d1 Q3W)	250mg (3)	16
		350mg (4)	

		400mg (8AML; 1ALL)	
	1B (d1,d8 Q4W)	150mg (6 )	6
<b>Extended low dose regimens</b>	2A (2 week on/2 weeks off)	20mg (3)	7
		30mg (4)	
	2C (1 week on/3 weeks off)	45mg (7AML;1 ALL)	8

At the time of data cut-off, a total of 37 patients (35 AML and 2 ALL) have been treated with HDM201 across the 4 dosing regimens evaluated (refer to Table 1). In regimen 1B, 6 patients with AML have been treated with HDM201 with 150 mg dose on d1 and d8 of a 28 d treatment cycle.

Table 2 provides the characteristics of those patients.

**Table 2** Patient characteristics

	<b>Reg 1A (n=16)</b>	<b>Reg 1B (n=6)</b>	<b>Reg 2A (n=7)</b>	<b>Reg 2C (n=8)</b>
Median age, years (range)	70 (23–81)	71 (64–83)	63 (26–72)	75 (41–81)
Male, n (%)	10 (63)	1 (17)	7 (100)	7 (88)
ECOG PS, n (%)				
0	2 (13)	0 (0)	4 (57)	0 (0)
1	13 (81)	5 (83)	2 (29)	7 (88)
2	1 (6)	1 (17)	1 (14)	1 (13)
<b>Disease history for AML patients</b>	<b>n=15</b>	<b>n=6</b>	<b>n=7</b>	<b>n=7</b>
Median prior treatment regimens, n (range)	TBC	TBC	TBC	TBC
WHO classification at initial diagnosis, n (%)				
AML (BM blasts >30%)	8 (53)	6 (100)	6 (86)	3 (43)
AML with multilineage dysplasia (BM blasts 21–30%)	6 (40)	0 (0)	1 (14)	4 (57)
Cytogenetics at initial diagnosis, n (%)				
Favorable	0 (0)	0 (0)	0 (0)	0 (0)
Intermediate	3 (20)	2 (33)	1 (14)	2 (29)
Unfavorable	3 (20)	0 (0)	1 (14)	2 (29)
Unknown	3 (20)	2 (33)	1 (14)	0 (0)

Missing	6 (40)	2 (33)	4 (57)	3 (43)
Prior allogeneic stem cell transplant, n (%)	2 (13)	1 (17)	1 (14)	0 (0)

The patient population is further characterized by the following inclusion criteria:

- 5 Patient (male or female) ≥ 18 years of age.
- 5 ECOG performance status 0-2.
- Relapsed/Refractory AML (both de novo or secondary AML) expect for Acute Promyelocytic Leukemia (APL) with t(15;17), or previously untreated patients who are considered inappropriate candidates for standard induction therapy
  - 10 In dose escalation only, high and very high risk MDS according to the revised International Prognostic Scoring System (IPSS-R) who have failed prior therapies, such as azacitidine and decitabine (Patients with IPSS-R score of > 4.5).
  - 15 In dose escalation only, relapsed/Refractory Acute Lymphoblastic Leukemia (B-ALL or T-ALL) including Ph+ ALL, or previously untreated patients who are considered inappropriate candidates for standard induction therapy. Patients with Ph+ ALL who show early markers of relapse in MRD surveillance can be considered for inclusion as long as other therapies such as TKIs are exhausted or cannot be given.
  - 20 Tumor of the patient is TP53wt characterized by, at a minimum, no mutations in exons 5, 6, 7 and 8, and the p53 status was obtained from a bone-marrow sample, collected no longer than 3 months before signing the main ICF.

**Safety and tolerability profile of regimen 1B in hematological tumors**

Tables 3 and 4 provide safety and tolerability information about dosing regimen 1B in comparison with other intermittend high dose regimens and extended low dose regimens.

25 For the regimen 1B only 1 dose limiting toxicity (DLT) were found. The occurrence of all grades and grade 3/4 adverse events were found to be comparatively low.

**Table 3** Cycle 1 DLTs in hematological tumors

Dosing Regimen	Dose levels (number of evaluable patients)	DLTs

<b>Intermittent high dose regimens</b>	1A (d1 Q3W)	250mg (3)	0
		350mg (4)	0
		400mg (9)	4
	Total	N=16	4: - Infection G3, GVHd reactivation G3 , Stomatitis G3 -Hypophosphatemia G4 -Subarachnoid hemorrhage (fatal) -Hypophosphatemia G4
	1B (d1,d8 Q4W)	150mg (6 )	1
	Total	N=6	1: - Acute Kidney Injury G4
<b>Extended low dose regimens</b>	2A (2 weeks on/2 weeks off)	20mg (3)	0
		30mg (3)	0
	Total	N=6	0
	2C (1 week on/3 weeks off)	45mg (8)	1
	Total	N=8	1 - Tumor Lysis Syndrome G4

**Table 4** All grades and grade 3/4 adverse events, suspected to be study drug related, by preferred term and regimens - hematological tumors

	HDM201		HDM201		HDM201		HDM201		All	
	Regimen 1A		Regimen 1B		Regimen 2A		Regimen 2C		All	
	subjects		subjects		Subjects		subjects		subjects	
	N=16		N=6		N=7		N=8		N=37	
	All	Grade	All	Grade	All	Grade	All	Grade	All	Grade
	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4
MEDDRA Preferred Term	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
-Total	15 (93.8)	12 (75.0)	5 (83.3)	5 (83.3)	5 (71.4)	3 (42.9)	8 (100)	5 (62.5)	33 (89.2)	25 (67.6)
Nausea	10 (62.5)	0	4 (66.7)	0	3 (42.9)	0	3 (37.5)	0	20 (54.1)	0
Thrombocytopenia/ Platelet Count Decreased	8 (50.0)	8 (50.0)	3 (50.0)	3 (50.0)	2 (28.6)	2 (28.6)	5 (62.5)	5 (62.5)	18 (48.6)	18 (48.6)

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All	Grade	All	Grade	All	Grade	All	Grade	All	Grade
	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Anaemia	6 (37.5)	4 (25.0)	2 (33.3)	2 (33.3)	3 (42.9)	2 (28.6)	5 (62.5)	3 (37.5)	16 (43.2)	11 (29.7)
Neutropenia/ Neutrophil Count Decreased	6 (37.5)	6 (37.5)	1 (16.7)	1 (16.7)	1 (14.3)	1 (14.3)	4 (50.0)	3 (37.5)	12 (32.4)	11 (29.7)
Febrile Neutropenia	4 (25.0)	4 (25.0)	2 (33.3)	2 (33.3)	2 (28.6)	2 (28.6)	3 (37.5)	3 (37.5)	11 (29.7)	11 (29.7)
Decreased Appetite	4 (25.0)	0	1 (16.7)	0	1 (14.3)	0	4 (50.0)	0	10 (27.0)	0
Tumour Lysis Syndrome	7 (43.8)	7 (43.8)	0	0	1 (14.3)	1 (14.3)	1 (12.5)	1 (12.5)	9 (24.3)	9 (24.3)
Vomiting	5 (31.3)	0	0	0	1 (14.3)	0	1 (12.5)	0	7 (18.9)	0
Diarrhoea	4 (25.0)	0	0	0	0	0	2 (25.0)	0	6 (16.2)	0
Fatigue	4 (25.0)	0	0	0	0	0	2 (25.0)	0	6 (16.2)	0
Asthenia	2 (12.5)	0	1 (16.7)	0	0	0	2 (25.0)	0	5 (13.5)	0
Pyrexia	3 (18.8)	0	0	0	1 (14.3)	0	0	0	4 (10.8)	0
White Blood Cell Count Decreased	1 (6.3)	0	0	0	1 (14.3)	1 (14.3)	2 (25.0)	2 (25.0)	4 (10.8)	3 (8.1)
Amylase Increased	3 (18.8)	0	0	0	0	0	0	0	3 (8.1)	0
Lipase Increased	3 (18.8)	2 (12.5)	0	0	0	0	0	0	3 (8.1)	2 (5.4)
Acute Kidney Injury	1 (6.3)	0	1 (16.7)	1 (16.7)	1 (14.3)	0			3 (8.1)	1 (2.7)

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Blood Creatinine Increased	1 (6.3)	0	0	0	1 (14.3)	0	1 (12.5)	1 (12.5)	3 (8.1)	1 (2.7)
Blood Phosphorus Increased	1 (6.3)	0	1 (16.7)	0	0	0	0	0	3 (8.1)	0
Hyperbilirubin aemia	1 (6.3)	0	1 (16.7)	0	1 (14.3)	0	0	0	3 (8.1)	0
Hyperphosphataemia	1 (6.3)	0	1 (16.7)	0	0	0	1 (12.5)	0	3 (8.1)	0
Abdominal Pain	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Atrial Fibrillation	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Blood Bilirubin Increased	1 (6.3)	0	1 (16.7)	0	0	0	0	0	2 (5.4)	0
C-Reactive Protein Increased	1 (6.3)	0	0	0	1 (14.3)	1 (14.3)	0	0	2 (5.4)	1 (2.7)
Constipation	0	0	1 (16.7)	0			1 (12.5)	0	2 (5.4)	0
Dyspepsia	1 (6.3)	0	0	0	1 (14.3)	0	0	0	2 (5.4)	0
Gamma-Glutamyltransferase Increased	2 (12.5)	1 (6.3)	0	0	0	0	0	0	2 (5.4)	1 (2.7)

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
General	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Physical Health Deterioration										
Gingival Bleeding	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Headache	1 (6.3)	0	1 (16.7)	0	0	0	0	0	2 (5.4)	0
Hyperuricaemia	2 (12.5)	1 (6.3)	2 (33.3)	0	1 (14.3)	0			2 (5.4)	1 (2.7)
Hypocalcaemia	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Hypophosphataemia	2 (12.5)	2 (12.5)	0	0	0	0	0	0	2 (5.4)	2 (5.4)
Hyponatraemia	1 (6.3)	0	1 (16.7)	0	0	0	0	0	2 (5.4)	0
Leukopenia	2 (12.5)	2 (12.5)	0	0	0	0	0	0	2 (5.4)	2 (5.4)
Malaise	1 (6.3)	0	0	0	1 (14.3)	0	0	0	2 (5.4)	0
Mouth Haemorrhage	2 (12.5)	0	0	0	0	0	0	0	2 (5.4)	0
Pancytopenia	0	0	1 (16.7)	1 (16.7)	0	0	1 (12.5)	1 (12.5)	2 (5.4)	2 (5.4)
Stomatitis	1 (6.3)	1 (6.3)	0	0	0	0	1 (12.5)	0	2 (5.4)	1 (2.7)
Abdominal Discomfort	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Abdominal Pain Upper	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All	Grade	All	Grade	All	Grade	All	Grade	All	Grade
	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Arthralgia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Atypical Pneumonia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Balanitis Candida	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Blood Alkaline Phosphatase Increased	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Blood Uric Acid Increased	1 (6.3)	1 (6.3)	0	0	0	0	0	0	1 (2.7)	1 (2.7)
Bone Marrow Failure	0	0	0	0	1 (14.3)	1 (14.3)	0	0	1 (2.7)	1 (2.7)
Cardiac Failure	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Cellulitis	0	0	1 (16.7)	1 (16.7)	0	0	0	0	1 (2.7)	1 (2.7)
Chronic Graft Versus Host Disease	1 (6.3)	1 (6.3)	0	0	0	0	0	0	1 (2.7)	1 (2.7)
Conjunctival Haemorrhage	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Cough	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Cystitis Viral	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Cytomegalovir us Infection	0	0	0	0	1 (14.3)	0	0	0	1 (2.7)	0

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Dyspnoea	1 (6.3)	1 (6.3)	0	0	0	0	0	0	1 (2.7)	1 (2.7)
Epistaxis	0	0	0	0	0	0	1 (12.5)	0	1 (2.7)	0
Graft Versus Host Disease	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Haemoglobin Decreased	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Hyperglycaemia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Hyperkalaemia	0	0	1 (16.7)	1 (16.7)	0	0	0	0	1 (2.7)	1 (2.7)
Hypoalbuminaemia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Hypomagnesaemia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Hypokalaemia	0	0	1 (16.7)	0	0	0	0	0	1 (2.7)	0
Hypotension	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Infection	1 (6.3)	1 (6.3)	0	0	0	0	0	0	1 (2.7)	1 (2.7)
Infective Glossitis	0	0	0	0	0	0	1 (12.5)	0	1 (2.7)	0
Influenza Like Illness	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Iron Overload	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Lip Oedema	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Macular Oedema	0	0	1 (16.7)	1 (16.7)	0	0	0	0	1 (2.7)	1 (2.7)

MEDDRA Preferred Term	HDM201 Regimen 1A subjects N=16		HDM201 Regimen 1B subjects N=6		HDM201 Regimen 2A Subjects N=7		HDM201 Regimen 2C subjects N=8		All subjects N=37	
	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4	All Grades	Grade 3/4
	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Oedema	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Oedema Peripheral			1 (16.7)	0	0	0	0	0	1 (2.7)	0
Oral Disorder	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Pain In Extremity	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Pneumonia	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Pollakiuria	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Rash	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Rash Erythematous	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Rash Papular	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Rectal Haemorrhage	0	0	1 (16.7)	0	0	0	0	0	1 (2.7)	0
Renal Failure	0	0	0	0	0	0	1 (12.5)	1 (12.5)	1 (2.7)	1 (2.7)
Skin Exfoliation	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0
Subarachnoid Haemorrhage	1 (6.3)	1 (6.3)	0	0	0	0	0	0	1 (2.7)	1 (2.7)
Weight Decreased	1 (6.3)	0	0	0	0	0	0	0	1 (2.7)	0

	HDM201		HDM201		HDM201		HDM201		All	
	Regimen 1A		Regimen 1B		Regimen 2A		Regimen 2C		All	
	subjects		subjects		Subjects		subjects		subjects	
	N=16		N=6		N=7		N=8		N=37	
	All	Grade	All	Grade	All	Grade	All	Grade	All	Grade
	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4	Grades	3/4
MEDDRA	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)	n (%)
Preferred										
Term										

- Preferred terms are sorted in descending frequency of <all grades> column, as reported in the <All subjects> column.
- A subject with multiple occurrences of an AE under one treatment is counted only once in the AE category for that treatment.
- A subject with multiple adverse events is counted only once in the total row.
- Only AEs occurring during treatment or within 30 days of the last study medication are reported.

**Anti-tumor activity/efficacy of regimen 1B in hematological tumors**

5 Tables 5 and 6 and Figure 6 provide efficacy information for dosing regimen 1B in comparison to other regimens. One patient treated following the regimen 1B showed a CRi and thus demonstrated regimen 1B as being effective also in hematological tumors. In the best percentage change in blast percentage in bone marrow (BM) aspirate (Figure 6) this CRi patient showed the strongest positive effect.

10

**Table 5a** Anti-tumor activity (March 2017)

BOR, n (%)	Regimen 1A (n=15)	Regimen 1B (n=6)	Regimen 2A (n=7)	Regimen 2C (n=7)	Total (N=35)
CR	2 (13)	0 (0)	0 (0)	1 (14)	3 (9)
CRi	0 (0)	1 (17)	0 (0)	3 (43)	4 (11)
ORR (CR+CRi+PR)	2 (13)	1 (17)	0 (0)	4 (57)	7 (20)

BOR, best overall response; CR, complete response; CRi, morphologic CR with incomplete blood count recovery; ORR, overall response rate

15 **Table 5b** Anti-tumor activity (Sep 2017)

	Regimen 1A	Regimen 1B	Regimen 2A	Regimen 2C
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Dose escalation	250 mg (3): 1 CR 350 mg (4): - 400 mg (9): 1 CR	150 mg (6): 1 CRi 120 mg (6): 1 CR	3 pts, 20mg (3): - 4 pts: 30 mg (4): -	45 mg (8): 1 CR, 3 CRi
Dose expansion	6 pts, 250 mg: 1 CRi	(expansion not started yet)	(no expansion)	45 mg (17): 1 CR, 1CRi

Number in brackets, number of patients, patients; CR, complete response; CRi, morphologic CR with incomplete blood count recovery

**Table 6a** Characteristics of AML patients with CR/CRi (March 2017)

Regime n	Dose	Age (years)	Cytogenetics at diagnosis	Time to CR/CRi (weeks)	Count recovery time from C1D1 (days)	Duration of CR/CRi (days)
<b>CR patients</b>						
High-dose: 1A	250 mg	81	Intermediate	7	48	50
High-dose: 1A	400 mg	71	Intermediate	6	40	23
Low-dose: 2C	45 mg	75	Missing	5	32	152
<b>CRi patients</b>						
High-dose: 1B	150 mg	83	Intermediate	11	NA	51
Low-dose: 2C	45 mg	80	Missing	7	NA	40
Low-dose: 2C	45 mg	70	Unfavorable	12	NA	1*
Low-dose: 2C	45 mg	79	Intermediate	4	NA	1 <sup>†</sup>

5 \*Patient withdrew consent while on CRi

†Patient ongoing at the time of data cut off

**Table 6b** Updated table (Sep 2017), characteristics of AML patients with CR/CRi

	Regimen	HDM dose	Sex/Age	WHO classification at initial diagnosis	Time to CR/CR1 (weeks)	Count recovery time from C1D1 (days)	Duration of CR/CRi (days)
CR achieved with HDM201	Reg 1A	250mg	Female /81	AML with MDS related changes	7	48	50
	Reg 1A	400mg	Male /71	AML	6	40	23
	Reg 1B	120mg	Male /67	AML	4	28	45
	Reg 2C	45mg	Male /76	AML	5	32	152
	Reg 2C	45mg	Female /71	AML with MDS related changes	4	30	41
CRi achieved with HDM201	Reg 1B	150mg	Female /83	AML with MDS related changes	11	NA	51
	Reg 1A	250mg	Female /73	AML	3	NA	67
	Reg 2C	45mg	Female /80	AML with multilineage dysplasia	7	NA	40
	Reg 2C	45mg	Male /70	AML	12	NA	1
	Reg 2C	45mg	Male /79	AML with MDS related changes	4	NA	62
	Reg 2C	45mg	Female /72	AML with multilineage dysplasia	4	NA	105

The already observed 2 CR/CRi results out of the small number of patients treated so far demonstrate the strong antitumor activity of the HDM201 in AML patients when dosed according to Regimen 1B.

## 5 Clinical PK

Pharmacokinetic data have been evaluated throughout the course of the clinical study. Non-compartmental PK analysis showed a median time to reach maximum plasma concentrations

- ranging from 2.0 to 5.8 h across the dose range (2 to 350 mg). A preliminary dose proportionality assessment showed approximately dose proportional PK (AUC<sub>last</sub> and C<sub>max</sub>) over the dose range studied. For the majority of dose cohorts, the inter-patient variability (CV% Geo-mean) for AUC<sub>last</sub> and C<sub>max</sub> was low to moderate (6 to 58.5%). Furthermore, an
- 5 integrated analysis of all available HDM201 concentrations was conducted using a population approach. The PK of HDM201 was best described by a 1-compartment PK model with a delayed zero- and first-order absorption process, and a linear clearance. Body weight was identified as a statistically significant covariate on apparent central volume of distribution (V<sub>c</sub>/F), in which V<sub>c</sub>/F increased with increasing body weight.
- 10 Compartmental PK modeling was used to estimate the individual average concentration during cycle 1 for patients with hematological tumors treated following the four different (Figure 7). For all patients with measured PK, the estimated average drug concentrations during cycle 1 were above the most conservative average tumor stasis concentration of
- 15 1 xenograft rat model).

**Claims**

1. An HDM2-p53 interaction inhibitor for use in the treatment of cancer,  
wherein the drug is administered on two different administration days within a  
5 treatment cycle,  
wherein the first administration day and second administration day are  
interrupted by a short administration-free period, and the second administration day of  
the first or earlier treatment cycle and the first administration of the following cycle are  
interrupted by a long administration-free period,  
10 wherein the short administration-free period is composed of from 4 to 8 days, and  
the long administration-free period is composed of from 13 to 27 days, and  
wherein the treatment is composed of at least 2 treatment cycles.
- 15 2. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to  
claim 1, wherein the short administration-free period is composed of from 4 to 8 days,  
and the long administration-free period is composed of from 18 to 22 days.
- 20 3. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to  
claim 1, wherein the short administration-free period is composed of from 5 to 7 days,  
and the long administration-free period is composed of from 19 to 21 days.
- 25 4. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to  
claim 1, wherein the short administration-free period is composed of 6 days, and the  
long administration-free period is composed of from 20 days.
5. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any  
one of claims 1 to 4, wherein said inhibitor is selected from the group of Idasanutlin,  
RG7775, AMG232, DS3032, ALRN-6924, ATSP-7041, CGM097, and HDM201.
- 30 6. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any  
one of claims 1 to 4, wherein said inhibitor is selected from the group of CGM097 and  
HDM201.

7. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of claims 1 to 4, wherein said inhibitor is CGM097.
- 5 8. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of claims 1 to 4, wherein said inhibitor is HDM201.
9. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 7, wherein said inhibitor is CGM097 sulfate.
- 10 10. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 8, wherein said inhibitor is HDM201 succinic acid.
- 15 11. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claims 8 or 10, wherein the daily dose on the administration days is from 100 mg to 200 mg.
- 20 12. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claims 8 or 10, wherein the daily dose on the administration days is from 100 mg to 150 mg.
13. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claims 8 or 10, wherein the daily dose on the administration days is 120 mg.
- 25 14. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding claims, wherein the cancer is a TP53 wild-type tumor.
15. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding claims, wherein the cancer is a solid tumor.
- 30 16. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 15, wherein the solid tumor is selected from sarcomas, e.g. liposarcoma or soft tissue sarcoma, melanomas, e.g. skin melanoma or uveal melanoma, blastomas, e.g. neuroblastoma, colon tumor, colorectal tumor, kidney tumor, and liver tumor.

17. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to any one of the preceding claims.
- 5 18. The HDM2-p53 interaction inhibitor in combination with a thrombopoietin receptor agonist for use in the treatment of cancer according to claim 17, wherein the thrombopoietin receptor agonist is eltrombopag.
- 10 19. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the preceding claims, wherein the treatment reduces the risk of hematological toxicities.
- 15 20. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 19, wherein the hematological toxicities are selected from the group of thrombocytopenia, neutropenia, leucopenia, lymphopenia, anemia.
- 20 21. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 19, wherein the hematological toxicity is thrombocytopenia.
- 25 22. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the claims 1 - 14, wherein the cancer is a hematological tumor.
- 25 23. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 22, wherein the hematological tumor is a leukemia.
- 30 24. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to claim 22, wherein the hematological tumor is selected from acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), and acute lymphoblastic leukemia (ALL).
- 30 25. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of claims 22 to 24, wherein the hematological tumor is a relapsed/refractory hematological tumor.

26. The HDM2-p53 interaction inhibitor for use in the treatment of cancer according to any one of the claims 1 - 4, wherein the inhibitor is CGM097 or HDM201, preferably HDM201, wherein the daily dose on the administration days is from 100 mg to 150 mg, preferably 120 mg, and wherein the cancer is a relapsed/refractory *TP53* wild-type hematological tumors selected from acute myeloid leukemia (AML), myelodysplastic syndrome (MDS), and acute lymphoblastic leukemia (ALL), preferably AML.
- 5

**Figures**

**Fig. 1**

week		2							3							4							5												
day		2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35
Pre	X	1	2	3	4	5	6	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20							
Gen.	X					X	X	X	X	X																									
A1	X	1	2	3	4	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15														
A2	X	1	2	3	4	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22							
B1	X	1	2	3	4	5	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20								
B2	X	1	2	3	4	5	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21							
C1	X	1	2	3	4	5	6	X	1	2	3	4	5	6	7	8	9	10	11	12	13														
C2	X	1	2	3	4	5	6	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20							
C3	X	1	2	3	4	5	6	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27
D1	X	1	2	3	4	5	6	7	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20						
D2	X	1	2	3	4	5	6	7	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20						
E1	X	1	2	3	4	5	6	7	8	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20					
E2	X	1	2	3	4	5	6	7	8	X	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20					

**Fig. 2**

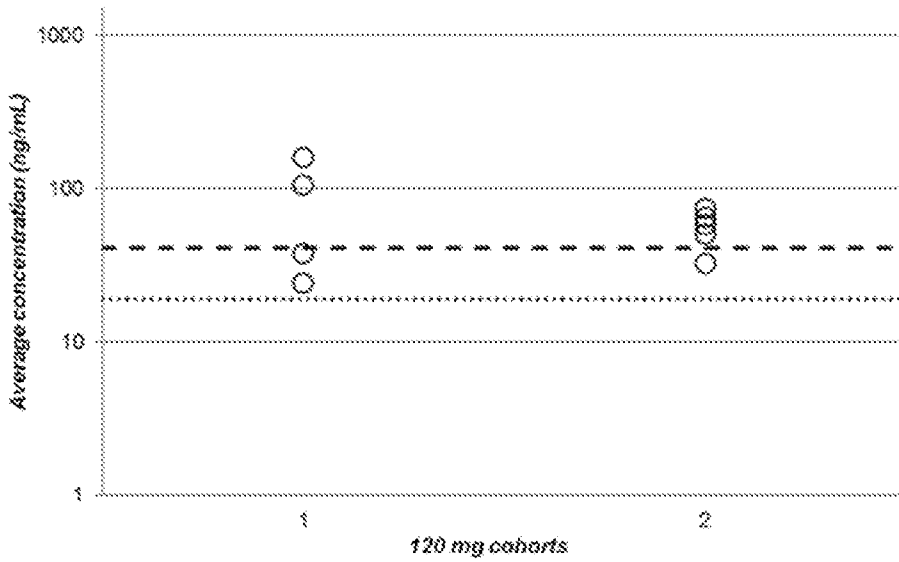


Fig. 3

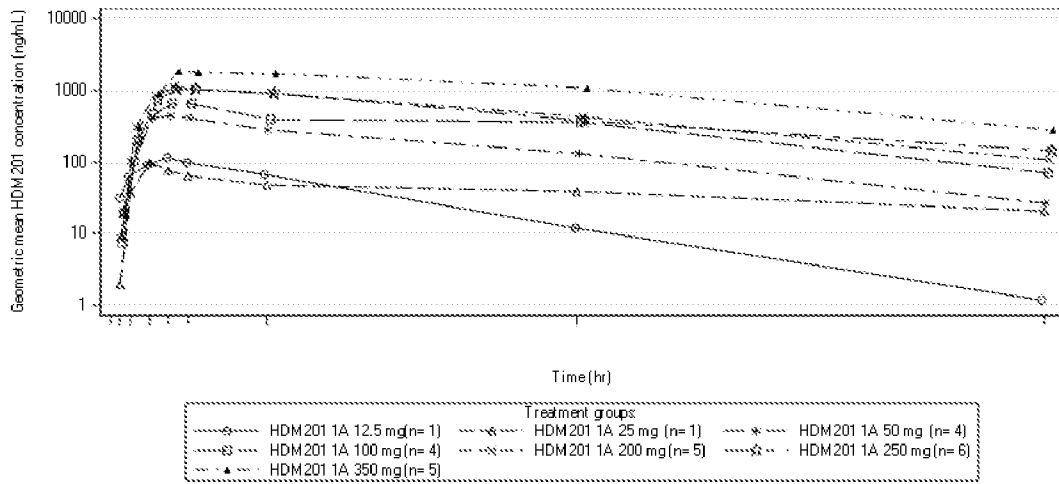


Fig. 4:

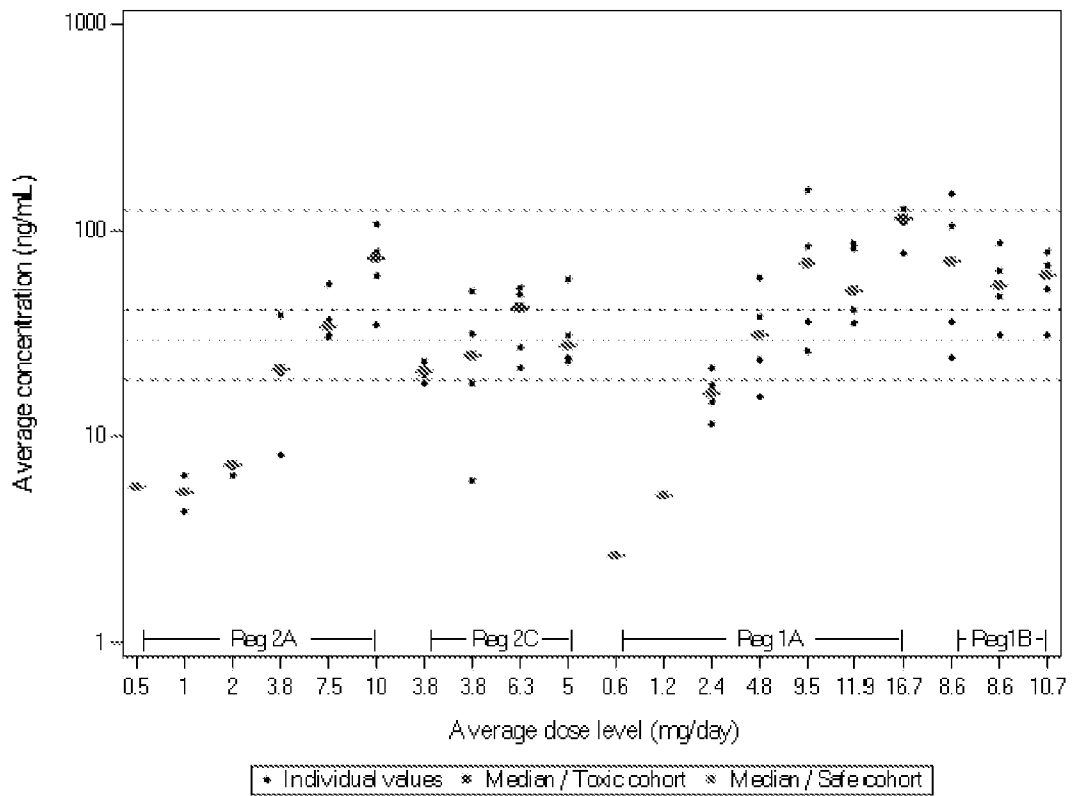


Fig. 5

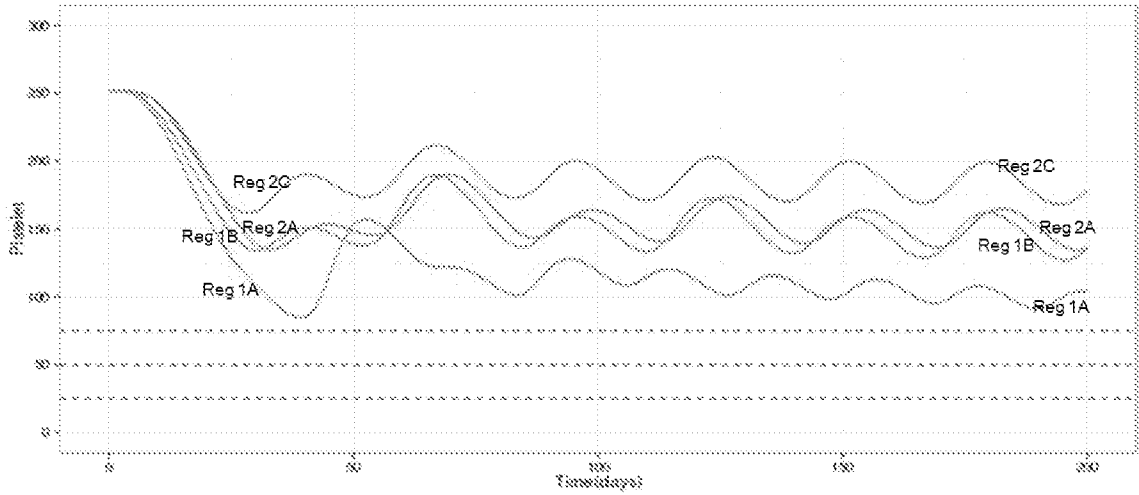


Fig. 6

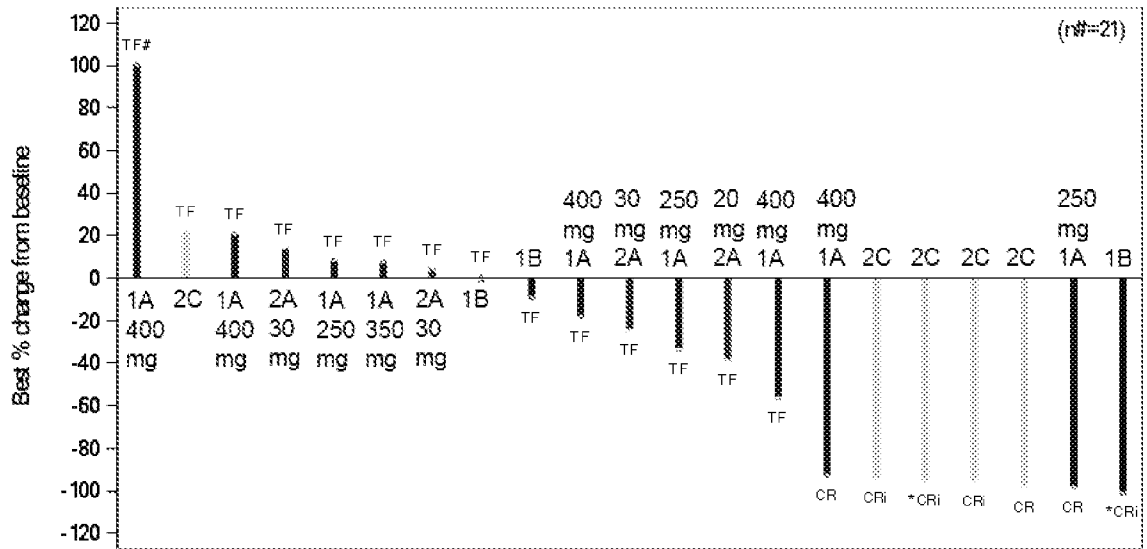


Fig. 7

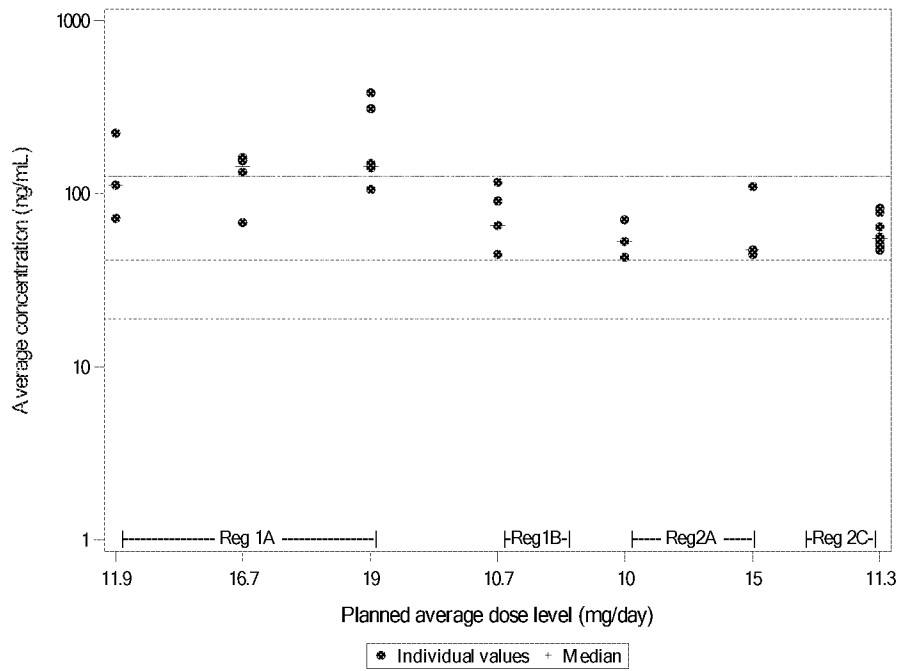
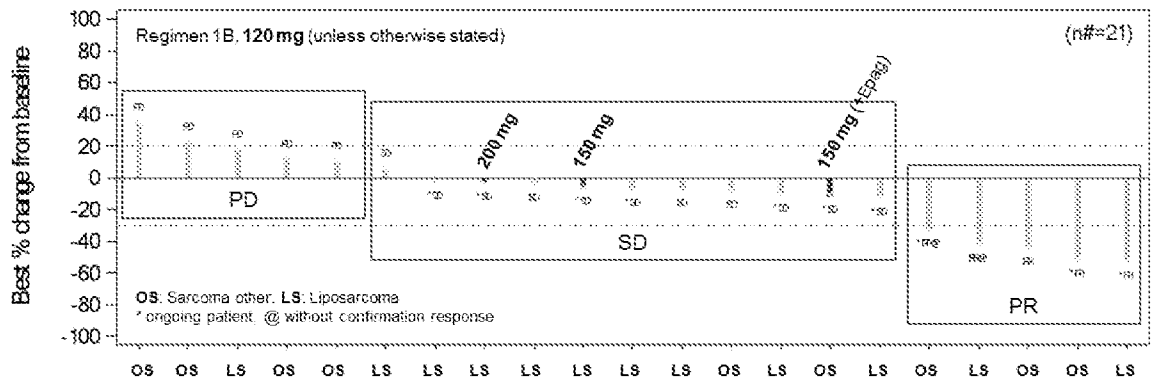


Fig. 8



# INTERNATIONAL SEARCH REPORT

International application No PCT/IB2017/057097
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<b>A. CLASSIFICATION OF SUBJECT MATTER</b>				
INV. A61K31/496	A61K31/506	A61K31/401		
A61K31/4439	A61K31/47	A61P35/00		
ADD.				
According to International Patent Classification (IPC) or to both national classification and IPC				
<b>B. FIELDS SEARCHED</b>				
Minimum documentation searched (classification system followed by classification symbols) A61K A61P				
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched				
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, BIOSIS, EMBASE				
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>				
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
A	WO 2015/198266 A1 (NOVARTIS AG [CH]; FERRETTI STEPHANE [CH]; JEAY SEBASTIEN [CH]) 30 December 2015 (2015-12-30) cited in the application claims 1-13 -----	1-26		
A	B. HIGGINS ET AL.: CLINICAL CANCER RESEARCH, May 2014 (2014-05), XP55205610, cited in the application conclusion of abstract -----	1-26		
A	US 2013/245089 A1 (GLENN KELLI [US] ET AL) 19 September 2013 (2013-09-19) cited in the application claim 1 -----	1-26		
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <span style="margin-left: 100px;"><input checked="" type="checkbox"/> See patent family annex.</span>				
* Special categories of cited documents : <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; vertical-align: top;"> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </td> <td style="width: 50%; border: none; vertical-align: top;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p> </td> </tr> </table>			<p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>
<p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier application or patent but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p>	<p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art</p> <p>"&amp;" document member of the same patent family</p>			
Date of the actual completion of the international search	Date of mailing of the international search report			
1 February 2018	12/02/2018			
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Trifilieff-Riolo, S			

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Information on patent family members

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