



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : B09B 3/00, C07C 4/00, 6/00, C12N 1/00, 1/20	A1	(11) International Publication Number: WO 98/51420 (43) International Publication Date: 19 November 1998 (19.11.98)
(21) International Application Number: PCT/US98/09851 (22) International Filing Date: 11 May 1998 (11.05.98) (30) Priority Data: 08/858,217 10 May 1997 (10.05.97) US (71) Applicants: ERM SOUTHWEST, INC. [US/US]; 16300 Katy Freeway, Houston, TX 77084 (US). BIOGEE International, Inc. [US/US]; Two Park Ten Place, Suite 100, 16300 Katy Freeway, Houston, TX 77094 (US). (72) Inventors: BOST, Richard, C.; 16300 Katy Freeway, Houston, TX 77084 (US). BARBER, Trey; Two Park Ten Place, Suite 100, 16300 Katy Freeway, Houston, TX 77094 (US). (74) Agent: MCGREGOR, Martin, L.; McGregor & Adler, LLP, 5380 W. 34th Street #345, Houston, TX 77092 (US).		(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i>
(54) Title: MICROORGANISM CULTURE FOR REMEDIATION OF CHLORINATED HYDROCARBONS AND METHOD OF USE		
(57) Abstract <p>The invention provides a method for removing a chlorinated hydrocarbon from soil or groundwater comprising the steps of adding to chlorinated hydrocarbon containing soil or groundwater a chlorinated hydrocarbon metabolizing microbial culture of the genus <i>Arthrobacter</i> and providing conditions supporting growth and reproduction of the microbial culture. The microbial culture removes chlorinated hydrocarbons including those selected from the group consisting of DDT, dieldrin, toxaphene, aldrin, 1,1,1-trichloroethane, 1,1-dichloroethane, 1,2-dichloroethene, trichloroethylene, methylene chloride, chloroform, or mixtures thereof. The soil or groundwater is treated in place, or removed, cleansed and returned. The invention provides a purified and isolated microbial culture of the genus <i>Arthrobacter</i> adapted to use chlorinated hydrocarbons as a sole carbon source.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

MICROORGANISM CULTURE FOR REMEDIATION OF CHLORINATED HYDROCARBONS and METHOD OF USE

5 TECHNICAL FIELD

This invention relates to the field of environmental remediation of chlorinated hydrocarbons. And particularly to the use of micro-organisms selected to degrade hydrocarbons, especially toxic chlorinated hydrocarbons.

BACKGROUND OF THE INVENTION.

10 Large quantities of wastes contain synthetic halogenated materials such as those found in dielectric fluids, flame retardants, refrigerants, heat transfer fluids, lubricants, protective coatings, pesticides, including herbicides, and insecticides. Many of these materials have been labeled as non-biodegradable. In many cases, these materials are byproducts from manufacture that have
15 accumulated in landfills or disposal sites. current environmental regulations demand contaminated soil either be treated and disposed of and replaced with clean uncontaminated soil. Among the nonbiodegradable materials are chlorinated hydrocarbons such as toxaphene.

Use of micro-organisms to treat hydrocarbon wastes is known. For example
20 U.S. Patent No. 3,871,957 discloses the use of freeze-dried cultures to treat beeches contaminated by hydrocarbons. Many aerobic organisms are listed as useful, including *Arthrobacter*. Patent 3,871,957 does not disclose the use of *Arthrobacter* for degrading chlorinated hydrocarbons. Three U.S. patents mention *Arthrobacter* among other genera present in composting plant materials, U.S. Patent Nos.
25 5,525,139; 5,100,455, and 4,511,657. While these patents indicate that chlorinated hydrocarbons are degraded by the composting plant material, none indicates any role of *Arthrobacter* species in the degradation. The references merely indicate that *Arthrobacter* species are present in the composting plant material used for remediation of contaminated soils.

2.

Many *Arthrobacter* species are known to be useful in production of amino acids and coproporphyrins. Several patents disclose degradation of hydrocarbons and chlorinated hydrocarbons by micro-organisms but none disclose use of *Arthrobacter* adapted to use hydrocarbons or chlorinated hydrocarbons as a carbon source. Because indigenous micro-organisms may not be compatible with cultures isolated from other sites, new hydrocarbon degrading cultures are continually in demand for bio remediation applications.

SUMMARY OF THE INVENTION

The invention provides a method for removing a hydrocarbon from soil or groundwater comprising the steps of adding to hydrocarbon-containing soil or groundwater a hydrocarbon-metabolizing microbial culture of the genus *Arthrobacter* and providing conditions supporting growth and reproduction of the microbial culture. A preferred method uses a purified and isolated microbial culture of the genus *Arthrobacter* adapted to use chlorinated hydrocarbons as a sole carbon source. The invention also provides a purified and isolated chlorinated hydrocarbon metabolizing microbial culture of the genus *Arthrobacter*. In a preferred method the microbial culture removes a chlorinated hydrocarbon selected from the group consisting of DDT, dieldrin, toxaphene, aldrin, 1,1,1-trichloroethane, 1,1-dichloroethane, 1,2-dichloroethene, trichloroethylene, methylene chloride, chloroform, or mixtures thereof. The soil may be treated in place, or excavated, cleaned and replaced. Treatment in place is preferred.

DETAILED DESCRIPTION OF THE INVENTION

The microbes of the invention were first isolated and cultured from soil samples collected from a pesticide processing plant site in Texas. The site has been in operation since the late 1950s. The plant has manufactured a variety of insecticide-containing formulations. The site was contaminated with toxaphene, and dioxathione as well as non-pesticide products, such as xylenes, ethylbenzene,

3

naphthalene, and alkylnaphthalene. Low concentrations of chloropyrifos, malathion, methyl parathion, ronnel, aldrin, lindane, chlordane, DDT, and dieldrin, were also present. Site remediation has been underway since 1990. As part of the remediation plan groundwater is extracted, treated to drinking water standards, and about 75 percent is reinjected into the zone under remediation. Indigenous micro-organisms were assayed to determine whether there was sufficient bio remediation potential to discontinue groundwater treatment.

Samples were collected in mid-1993, consisting of two liters of soil and groundwater. From these materials, two petrophillic strains and one heterotrophic strain were isolated that can utilize the constituents found at the site as an energy and growth source. A slightly modified version of the National Environmental Technology Applications Center method was utilized for all bacterial counts.

The samples were first screened for petrophillic character by growth on Bushnell-Hass media in order to determine if the microbes could use gasoline as a sole carbon and energy source. The Bushnell-Hass media contains only gasoline as a carbon source, therefore growth in this medium indicates petrophillic organisms.

If the microbial samples demonstrate that the organisms are petrophillic, then other constituents are added to be Bushnell-Hass medium. In this case toxaphene, naphthalene and benzene were added to the Bushnell-Hass medium in order to determine if the microbes could utilize the added constituents as an energy and carbon source.

The samples than demonstrated growth in the presence of toxaphene naphthalene or benzene were streaked onto nutrient agar plates and incubated at room temperature for 24-48 hours. The resulting colonies were transferred to agar slants to prepare stock cultures for further characterization and identification. The cultures obtained by this method were further characterized by their ability to grow on chlorinated hydrocarbon materials. One strain showed excellent initial growth with benzene and naphthalene. With extended growth and many transfers, the

4.

strain began to grow with toxaphene as a sole source of carbon. After full adaptation the strain's growth rate on toxaphene approached its growth rate on naphthalene. On further examination the strain was identified as a member of the genus *Arthrobacter*.

- 5 The new *Arthrobacter* strain was able to use either benzene, ethylbenzene, naphthalene, or toxaphene, as a sole carbon source. The result was surprising because no reference has been located wherein an *Arthrobacter* species has been reported to use chlorinated hydrocarbons as a sole carbon source.

10 **Example 1**

- The combined culture the two novel *Arthrobacter* strains was compared to the indigenous micro-organisms from the original site as well as microbes from two other contaminated sites referred to as Site 1 and Site 2. All cultures also contained heterotrophic organisms isolated from the same site. Viability studies were
- 15 conducted with a slightly modified version of the National Environmental Technology Applications Center method. Cultures were analyzed at time zero for microbial counts (petrophillic and heterotrophic). All cultures were incubated at room temperature in individual closed containers out of direct sunlight. Aliquots were removed from each container for viability counts at the following time: Day
- 20 0, Day 3, Day 5, Day 10, Day 14, Day 30. The results are set out in Table 1 below. Altogether six solutions were examined. They were an indigenous culture from the contaminated site (Control), Control plus nutrient, the combined culture, combined culture plus nutrient, site 1 culture and site 2 culture. The samples were made up of soil and water slurries from the original isolation site and the preceding
- 25 ingredients added except in the case of the control sample to which nothing was added.

5
TABLE 1

Day/ Type	Control	Control Plus Nutrients	Combined Culture	Combined Culture Plus Nutrients	Site 1 Culture	Site 2 Culture
0-Het	10^6	10^6	10^6	10^6	10^6	10^6
0-Pet	10^6	10^6	10^6	10^6	10^6	10^6
3-Het	10^8	10^7	10^8	10^8	10^7	10^8
3-Pet	10^7	10^6	10^6	10^5	10^6	10^5
5-Het	10^8	10^8	10^8	10^8	10^7	10^8
5-Pet	10^6	10^6	10^6	10^5	10^6	10^6
10-Het	10^{10}	10^{10}	10^{10}	10^{10}	10^{10}	10^{10}
10-Pet	10^4	10^4	10^4	10^6	10^5	10^4
14-Het	10^8	10^8	10^8	10^7	10^8	10^8
14-Pet	10^4	10^4	10^4	10^5	10^4	10^4
30-Het	10^6	10^6	10^7	10^6	10^6	10^6
30-Pet	10^3	10^3	10^4	10^4	10^3	10^4

NOTES: All measurements are CFU./ml

Het = heterotrophic

Pet = petrophillic

- 5 After treatment for 18 months with areation of the site to increase activity of the indigenous petrophillic organisms the site was again sampled and indigenous organisms compared to the combined culture. The test results are set out in Table 2 using the same conventions.

6
TABLE 2

Day/ Type	Control	Control plus Nutrients	Combined Culture ATCC No. _____
0-Het	2.05×10^6	*	*
0-Pet	1.29×10^9	*	*
18-Het	1.96×10^6	2.15×10^6	2.04×10^6
18-Pet	2.31×10^9	3.59×10^9	4.22×10^9
25-Het	1.38×10^6	6.50×10^5	4.50×10^6
25-Pet	2.22×10^9	2.29×10^9	5.69×10^9
32-Het	1.52×10^6	5.10×10^6	6.00×10^6
32-Pet	2.96×10^9	2.96×10^9	4.72×10^9
39-Het	1.32×10^6	1.00×10^6	4.52×10^6
39-Pet	5.06×10^9	3.12×10^9	3.23×10^9
45-Het	1.05×10^6	1.10×10^6	5.15×10^6
45-Pet	2.51×10^9	2.55×10^9	2.33×10^9

It is notable that after 18 months of treatment with supplemental oxygenation the population ratio of petrophillic organisms to heterotrophic organisms indigenous to the site changed from 1:100 to 1,000:1, a change of five orders of magnitude. It is of further note that the combined culture continued to perform as well as the now highly adapted petrophillic indigenous strains without inhibition.

Example 2:

10 Use of Cultures in Site Remediation:

The ability of the *Arthrobacter* culture to reduce chlorinated hydrocarbon contamination at a site was also demonstrated in a test conducted as in Table 2 of

Example 1 above. The samples as in Table 2 above were spiked with 5,500 parts per billion ("ppb") toxaphene. After 18 days the combined culture reduced the concentration of toxaphene to 2,600 ppb as measured by ERA Sw846 Method. At day 32 toxaphene concentration was below the detection limit of the analytical system. Similiar results were obtained with the highly adapted indigenous strains from the treated site.

The activity of the *Arthrobacter* culture observed is surprising in that it metabolizes toxaphene even in the presence of other carbon sources. Normally one observes that the "easier" hydrocarbons are depleted from the site before chlorinated hydrocarbons are utilized. In the present case, the *Arthrobacter* strains degrade toxaphene in the presence of other carbon sources.

Example 3:

Arthrobacter cultures isolated as described bove are also useful for bioremediation of sites contaminated with chlorinated hydrocarbons other than toxaphene. For treatment of a site a culture is provided with at least 10^8 CFU/ml metered into a groundwater injection system. Preferably the soil of the site is also provided with supplemental oxygen and nutrients to favor rapid expansion of the petrophillic micro-organism population. In this manner chlorinated hydrocarbons such as 1, 2-dichloroethane or 1,1,1-trichloroethane are efficiently removed from the soil with no residual contamination. Treatment times vary with the initial level of contamination to be removed and the age of the contamination.

CLAIMS:

I claim:

- 5 1. A method for removing a hydrocarbon from soil or ground water comprising
innoculating chlorinated hydrocarbon containing soil with a cholornated
hydrocarbon metabolizing microbial culture of the genus *Arthrobacter* and
providing or maintaining conditions supporting growth and reproduction of the
microbial culture.
- 10 2. A method according to claim 1 wherein the *Arthrobacter* culture is adapted
to use toxaphene as a sole carbon source.
3. A method according to claim 2 wherein the microbial culture metabolises a
15 chlorinated hydrocarbon in addition to toxaphene.
4. A method according to claim 1 wherein the microbial culture metabolises a
chlorinated hydrocarbon selected from the group consisting of DDT, dieldrin,
aldrin, 1,1,1-trichloroethane, 1,1-dichloroethane, 1,2-dichloroethene, 1,1,2-
20 trichloroethylene, methylene chloride, chloroform, or mixtures thereof.
5. A method according to claim 1 wherein the soil or groundwater is treated in
place.
- 25 6. A purified and isolated microbial culture of the genus *Arthrobacter* adapted
to use chlorinated hydrocarbons as a sole carbon source.
7. A microbial culture according to claim 6 adapted to grow on toxaphene as
its sole cabon source.

8. A microbial culture according to claim 6 wherein the microbial culture metabolises a chlorinated hydrocarbon in addition to toxaphene.
- 5 9. A microbial culture according to claim 6 wherein the microbial culture metabolises a chlorinated hydrocarbon selected from the group consisting of DDT, dieldrin, aldrin, 1,1,1-trichloroethane, 1,1-dichloroethane, 1,2-dichloroethene, 1,1,2-trichloroethylene, methylene chloride, chloroform, or mixtures thereof.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US98/09851

A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) :B09B 3/00; C07C 4/00, 6/00; C12N 1/00, 1/20

US CL :435/252.1, 262, 262.5, 264, 830

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 435/252.1, 262, 262.5, 264, 830

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, EPO, JPO

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	US 5,525,139 A (GILL) 11 June 1996, col. 6, line 51 - col. 8, line 24.	1-5 ----- 6-9
X --- Y	US 4,535,061 A (CHAKRABARTY et al) 13 August 1985, abstract, col. 2, lines 26-51, col. 6, lines 51-58, col. 11, line 56 - col. 12, line 44, and claim 2.	1, 3-5 ----- 2, 6-9



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

08 JULY 1998

Date of mailing of the international search report

24 AUG 1998

Name and mailing address of the ISA/US
Commissioner of Patents and Trademarks
Box PCT
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

CHRISTOPHER TATE

Telephone No. (703) 308-0196