

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization

International Bureau



(10) International Publication Number

WO 2016/130693 A1

(43) International Publication Date

18 August 2016 (18.08.2016)

WIPO | PCT

(51) International Patent Classification:

*C12N 15/86* (2006.01) *C12N 5/0783* (2010.01)  
*C12N 15/12* (2006.01) *C12Q 1/68* (2006.01)  
*C12N 15/33* (2006.01) *A61K 48/00* (2006.01)  
*C07K 14/705* (2006.01) *A61P 35/00* (2006.01)  
*C07K 14/725* (2006.01)

(72) Inventor: **MALOULI, Daniel**; 2836 NW Adagio Way, Hillsboro, OR 97124 (US).

(74) Agents: **PREWITT, Steven J.** et al.; Schwabe, Williamson & Wyatt, P.C., Pacwest Center, Suite 1900, 1211 SW 5th Avenue, Suite 1900, Portland, OR 97204 (US).

(21) International Application Number:

PCT/US2016/017373

(22) International Filing Date:

10 February 2016 (10.02.2016)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

62/114,203 10 February 2015 (10.02.2015) US  
62/196,520 24 July 2015 (24.07.2015) US  
62/220,703 18 September 2015 (18.09.2015) US

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

Published:

— with international search report (Art. 21(3))



WO 2016/130693 A1

(54) Title: METHODS AND COMPOSITIONS USEFUL IN GENERATING NON CANONICAL CD8+ T CELL RESPONSES

(57) Abstract: Methods of inducing a CD8+ T cell response to a heterologous antigen in which at least 10% of the CD8+ T cells are MHC-E restricted are disclosed. The method involves immunizing with a CMV vector that does not express UL128 and UL130 proteins. Also disclosed are recombinant CMV vectors comprising nucleic acids encoding a heterologous protein antigen, a UL40 protein, and a US28 protein but that do not express an active UL128 and UL130 protein. Also disclosed are recombinant CMV vectors comprising nucleic acids encoding a heterologous protein antigen, but that do not express an active UL40 protein, UL128 protein, UL130 protein, and optionally a US28 protein. Also disclosed are recombinant CMV vectors comprising nucleic acids encoding a heterologous protein antigen, but that do not express an active US28 protein, UL128 protein, UL130 protein, and optionally a UL40 protein.

**TITLE****METHODS AND COMPOSITIONS USEFUL IN GENERATING NON CANONICAL CD8+ T CELL  
RESPONSES**

5

**CROSS REFERENCE TO RELATED APPLICATIONS**

The present application claims the priority benefit of U.S. Provisional Application No. 62/114,203, filed February 10, 2015; U.S. Provisional Application No. 62/196,520, filed July 24, 2015; and U.S. Provisional Application No. 62/220,703, filed September 18, 2015, each of which are hereby incorporated by reference in their entirety.

10

**FIELD**

Generally, the field is the use of CMV vectors in immunization. More specifically, the field is the generation of CD8<sup>+</sup> immune responses characterized by non-canonical MHC restriction. Still more specifically, the field is the generation of T cells, including CD8<sup>+</sup> with receptors that are restricted by MHC-E.

**ACKNOWLEDGEMENT OF GOVERNMENT SUPPORT**

This invention was created with the support of the United States government under the terms of grant number P01 AI094417, awarded by the National Institutes of Health. The United States government has certain rights in this invention.

**BACKGROUND**

Rhesus Cytomegalovirus (RhCMV) vaccine vectors expressing Simian Immunodeficiency Virus (SIV) proteins (RhCMV/SIV) provide protection from pathogenic SIV (Hansen, S.G. *et al.*, 25 *Nat Med* 15, 293 (2009); Hansen, S.G. *et al.*, *Nature* 473, 523 (2011); both of which are incorporated by reference herein). This protection is fundamentally distinct from other T cell vaccines in its extreme efficacy and nearly instantaneous onset, with ~50% of vaccinees manifesting complete control of viral replication following a profoundly blunted and contracted

acute phase of viremia. Although RhCMV-protected macaques exhibited periodic low-level “blips” of viremia, CD4<sup>+</sup> memory T cell depletion was not observed, SIV-specific antibody responses did not develop, and subsequently, over time, viral nucleic acid became barely quantifiable while replication competent virus disappeared from the tissues of protected 5 animals. These events did not occur in spontaneous SIV elite controllers and DNA prime/Ad5 boost vaccinated controllers (Hansen, S.G. et al., *Nature* 502, 100 (2013); incorporated by reference herein). Given the central role of RhCMV-induced CD8<sup>+</sup> T cells in mediating this protective effect in RhCMV/SIV-vaccinated macaques, defining the functional properties of these T cells is critical to understanding their mechanistic contribution to RhCMV/SIV vector-10 induced control of SIV replication. Understanding these properties can in turn lead to new uses for cytomegalovirus (CMV) vaccine vectors expressing heterologous antigens.

## SUMMARY

Disclosed herein is a method of generating an immune response to at least one 15 heterologous antigen in a subject. The method involves administering to the subject an effective amount of a CMV vector. The CMV vector comprises a first nucleic acid that encodes the at least one heterologous antigen, a second nucleic acid sequence that encodes at least one active UL40 protein, or a homolog or ortholog thereof, and a third nucleic acid sequence that encodes at least one US28 protein, or a homolog or ortholog thereof. The CMV vector does not 20 express an active UL128 protein, or an ortholog thereof, and does not express an active UL130 protein, or an ortholog thereof, and at least 10% of the CD8<sup>+</sup> T cells generated by the vector are restricted by MHC-E or a homolog thereof. In some embodiments, the third nucleic acid sequence encodes two through five active US28 proteins, or homologs or orthologs thereof. The heterologous antigen can be any antigen, including a pathogen-specific antigen derived 25 from, for example, human immunodeficiency virus (HIV), simian immunodeficiency virus (SIV), herpes simplex virus, hepatitis B or C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*. In still further examples, the heterologous antigen can be a tumor antigen including, for example, a tumor antigen related to acute myelogenous leukemia,

chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors. In still further examples, the 5 heterologous antigen can be a tissue-specific antigen or a host self-antigen including, for example, an antigen derived from the variable region of a T cell receptor (TCR), an antigen derived from the variable region of a B cell receptor, a sperm antigen, or an egg antigen. In still further examples, the vector does not encode (1) an active UL40 protein (or an ortholog thereof) and/or an active US28 protein (or an ortholog thereof), (2) an active UL128 protein (or 10 an ortholog thereof), and (3) an active UL130 protein (or an ortholog thereof), giving rise to MHC-II "supertope" restricted CD8<sup>+</sup> T cells but not HLA-E restricted CD8<sup>+</sup> T cells.

Also disclosed herein is a human or animal cytomegalovirus vector that includes a first nucleic acid sequence that encodes (1) at least one heterologous protein antigen, (2) a second nucleic acid sequence that encodes at least one active UL40 protein, or a homolog or ortholog 15 thereof, and (3) a third nucleic acid sequence that encodes at least one active US28 protein, or a homolog or ortholog thereof. The vector does not express active UL128 and UL130 proteins, or orthologs thereof. In some embodiments, the third nucleic acid sequence encodes two through five active US28 proteins, or homologs or orthologs thereof.

Also disclosed is a human or animal cytomegalovirus vector that (1) does not express an 20 active UL128 protein (or an ortholog thereof), (2) does not express an active UL130 protein (or an ortholog thereof), and (3) does not express an active UL40 protein (or an ortholog thereof) and/or an active US28 protein (or an ortholog thereof).

Also disclosed herein is a method of generating CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes. This method involves administering to a first subject a CMV vector that 25 encodes (1) at least one heterologous antigen, (2) at least one active UL40 protein (or an ortholog or homolog thereof), and (3) at least one active US28 gene (or an ortholog or homolog thereof), in an amount effective to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes. The CMV vector does not encode active UL128 and UL130 proteins, or orthologs

thereof. In some embodiments, the CMV vector encodes two through five active US28 proteins or orthologs or homologs thereof. The heterologous antigen can be any antigen, including a pathogen-specific antigen, a tumor antigen, a self-antigen, or a tissue-specific antigen. In some embodiments, the self-antigen is an antigen derived from the variable region of a T or B cell receptor. In some embodiments, this method may further comprise identifying a first CD8<sup>+</sup> T cell receptor from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> TCR recognizes a MHC-E/heterologous antigen-derived peptide complex. In some embodiments, the first CD8<sup>+</sup> T cell receptor is identified by DNA or RNA sequencing. In some embodiments, this method may further comprise transfecting one or more T cells isolated from the first subject or a second subject with an expression vector, wherein the expression vector comprises a nucleic acid sequence encoding a second CD8<sup>+</sup> T cell receptor and a promoter operably linked to the nucleic acid sequence encoding the second CD8<sup>+</sup> T cell receptor, wherein the second CD8<sup>+</sup> T cell receptor comprises CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> T cell receptor, thereby generating one or more transfected CD8<sup>+</sup> T cells that recognize a MHC-E/heterologous antigen-derived peptide complex. In some embodiments, this method may further comprise administering the transfected CD8<sup>+</sup> T cells to the first or second subject to treat a disease, such as cancer, a pathogenic infection, or an autoimmune disease or disorder. In some embodiments, this method may further comprise administering the transfected CD8<sup>+</sup> T cells to the first or second subject to induce an autoimmune response to a self-antigen or a tissue-specific antigen.

Also disclosed is a transfected CD8<sup>+</sup> T cell that recognizes MHC-E-peptide complexes prepared by a process comprising the steps of: (1) administering to a first subject a CMV vector in an amount effective to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes, wherein the CMV vector comprises a first nucleic acid sequence encoding at least one heterologous antigen, a second nucleic acid sequence encoding at least one active UL40 protein, or an ortholog or homolog thereof, and a third nucleic acid sequence encoding at least one active US28 protein, or an ortholog or homolog thereof, and wherein the CMV vector does not express active UL128 and UL130 proteins, or orthologs thereof; (2) identifying a first CD8<sup>+</sup> T cell receptor from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> T cell receptor recognizes a

MHC-E/heterologous antigen-derived peptide complex; (3) isolating one or more CD8<sup>+</sup> T cells from the first subject or a second subject; and (4) transfecting the one or more CD8<sup>+</sup> T cells isolated from the first or second subject with an expression vector, wherein the expression vector comprises a nucleic acid sequence encoding a second CD8<sup>+</sup> T cell receptor and a 5 promoter operably linked to the nucleic acid sequence encoding the second T cell receptor, wherein the second CD8<sup>+</sup> T cell receptor comprises CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> T cell receptor, thereby creating a transfected T cell that recognizes MHC-E-peptide complexes. The heterologous antigen can be any antigen, including a pathogen-specific antigen or a tumor antigen. In some embodiments, the third nucleic acid sequence of the CMV vector encodes two 10 through five active US28 proteins, or orthologs or homologs thereof. Also disclosed herein are methods of treating a disease, such as cancer, a pathogenic infection, or an autoimmune disease or disorder, the method comprising administering the transfected CD8<sup>+</sup> T cell that recognizes MHC-E-peptide complexes to the first or second subject.

## 15 BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWINGS

Some of the graphs and plots included herein may be better understood using color, which is not available in a patent application publication. Applicants consider all originally disclosed images and graphs (whether in color or not) part of the original disclosure and reserve the right to present color graphs and plots of the herein described figures in later proceedings.

20 Figure 1A is a set of flow cytometry plots of peripheral blood mononuclear cells (PBMCs) from a strain 68-1 RhCMV/gag-vaccinated macaque (either Rh22034 or Rh21826). As discussed in Example 1, RhCMV strain 68-1 does not express gene products from the Rh13, Rh60, Rh157.5 and 157.4 (HCMV RL11, UL36, UL128 and UL130, respectively) open reading frames. PBMCs were evaluated for peptide-specific CD8<sup>+</sup> T cell recognition using flow cytometric intracellular 25 cytokine staining (ICS) to detect IFN- $\gamma$  and/or TNF- $\alpha$  production (response frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with the indicated antigen presenting cells that were pulsed with the peptide shown. The parental, MHC-I negative K562 cells were used as

negative controls and also transfected to express the MHC-I molecule indicated, while autologous B-lymphoblastoid cell lines (BLCL) were used as the positive control.

Figure 1B is a set of flow cytometry plots (left panel) and a bar graph (right panel) of CD8<sup>+</sup> T cells in PBMC from a strain 68-1 RhCMV/gag vector-vaccinated macaque (Rh22034 and Rh21826) showing IFN- $\gamma$  and/or TNF- $\alpha$  production (response frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with antigen presenting cells (autologous BLCL or K562 transfectant expressing only Mamu-E) that were pulsed with Gag<sub>273-287</sub> (SIVmac239 Gag 15-mer #69). The antigen presenting cells were incubated with the Gag 15-mer indicated along with either no additional peptide (no blocking) or in the presence of the Mamu-E binding peptide Rh67<sub>8-16</sub> VL9 (Rh67 VL9) or the Mamu-A\*002:01 binding peptide Gag<sub>71-79</sub> GY9 (SIVgag GY9). The right panel is a comparison of peptide blocking conditions on IFN- $\gamma$  and/or TNF- $\alpha$  production from CD8<sup>+</sup> T cells from four strain 68-1 RhCMV/gag vector-vaccinated macaques incubated with autologous BLCL or a Mamu-E transfectant pulsed with Gag<sub>273-287</sub> (SIVmac239 Gag 15-mer #69). Data are normalized to the response observed with no peptide blocking.

Figure 1C is a set of flow cytometry plots (left panel) and a bar graph (right panel) of CD8<sup>+</sup> T cells in PBMC from a strain 68-1 RhCMV/gag vector-vaccinated macaque (Rh22034 and Rh21826) showing IFN- $\gamma$  and/or TNF- $\alpha$  production (response frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with antigen presenting cells (autologous BLCL or K562 transfectant expressing only Mamu-E) that were pulsed with Gag<sub>477-491</sub> (SIVmac239 Gag 15-mer #120). The antigen presenting cells were incubated with the Gag 15-mer indicated along with either no additional peptide (no blocking) or in the presence of the Mamu-E binding peptide Rh67<sub>8-16</sub> VL9 (Rh67 VL9) or the Mamu-A\*002:01 binding peptide Gag<sub>71-79</sub> GY9 (SIVgag GY9). The right panel is a comparison of peptide blocking conditions on IFN- $\gamma$  and/or TNF- $\alpha$  production from CD8<sup>+</sup> T cells from four strain 68-1 RhCMV/gag vector-vaccinated macaques incubated with autologous BLCL or a Mamu-E transfectant pulsed with Gag<sub>477-491</sub> (SIVmac239 Gag 15-mer #120). Data are normalized to the response observed with no peptide blocking.

Figure 2A is a table illustrating the CD8<sup>+</sup> T cell responses to SIVmac239 Gag epitope-mapped using flow cytometric ICS to detect recognition of 125 consecutive 15mer Gag peptides

(with an 11 amino acid overlap) in macaques vaccinated with strain 68-1 RhCMV/gag vectors (n = 6), strain 68-1.2 RhCMV/gag vectors (n = 9), MVA/gag vectors (n = 7), and in SIVmac239 infected macaques (n = 8). As discussed in Example 1, expression of Rh60, Rh157.5, and Rh157.4 (HCMV UL36, UL128, and UL130, respectively) is restored in RhCMV strain 68-1.2.

5 Peptides resulting in above background CD8<sup>+</sup> T cell responses were subjected to MHC-I (mAb W6/32), MHC-E (Rh67 VL9), and MHC-II (mAb G46-6) blockade and classified as MHC-I blocked (boxes with white fill), fully MHC-E blocked (boxes with grey fill), partially MHC-E blocked (boxes with horizontal hatch fill), MHC-II blocked (boxes with black fill), or indeterminate (boxes with vertical hatch fill). The minimal number of independent MHC-E blocked epitopes potentially

10 contained within these reactive peptides in each macaque is designated at right (see Methods). Note that macaques 22063 and 22624 were vaccinated with BAC-derived RhCMV/gag while macaques 21826, 22034, 22436, and 22607 were vaccinated with non-BAC derived RhCMVgag(L).

Figure 2B is a set of flow cytometry plots of CD8<sup>+</sup> T cells in PBMC from a MamuA1\*001:01+ strain 68-1 RhCMV/gag vector-vaccinated macaque showing IFN- $\gamma$  and/or TNF- $\alpha$  production (response frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with antigen presenting cells (autologous BLCL or K562 transfectant expressing only MamuA1\*001:01 or Mamu-E) that were pulsed with the Gag<sub>69-83</sub> (Gag #18) peptide alone (no blocking), or in the presence of MHC-E-binding Rh67<sub>8-16</sub> VL9 or Mamu-A\*01-binding Gag<sub>181-189</sub> CM9 peptide.

Figure 2C is a set of flow cytometry plots of CD8<sup>+</sup> T cells in PBMC from a MamuA1\*001:01- strain 68-1 RhCMV/gag vector-vaccinated macaque incubated with antigen presenting cells as described for Figure 2B.

Figure 3A is a set of two plots showing bulk surface MHC-I (measured by mAb W6/32) on the surface of productively SIV-infected (CD4<sup>-</sup> Gag p27<sup>+</sup>) or uninfected (CD4<sup>+</sup> Gagp27<sup>-</sup>) CD4<sup>+</sup> T cell targets. Representative flow cytometry plots are shown on the left panel while the right panel depicts the mean fluorescent intensity (MFI) of bulk MHC-I staining in SIV infected versus uninfected CD4<sup>+</sup> T cells derived from a total of 16 unrelated rhesus macaques.

Figure 3B is a set of two plots showing MHC-E (measured by mAb 4D12) on the surface of productively SIV-infected (CD4<sup>-</sup> Gag p27<sup>+</sup>) or uninfected (CD4<sup>+</sup> Gagp27<sup>-</sup>) CD4<sup>+</sup> T cell targets. Representative flow cytometry plots are shown on the left panel while the right panel depicts the MFI of MHC-E staining in SIV infected versus uninfected CD4<sup>+</sup> T cells derived from a total of 5 16 unrelated rhesus macaques.

Figure 3C is a plot showing the phenotype of MHC-E restricted CD8<sup>+</sup> T cells responding to Gag<sub>273-287</sub> (69) or Gag<sub>477-491</sub> (120) peptide stimulation. Percentages were calculated by examining the number of IFN- $\gamma$  and/or TNF- $\alpha$  producing cells expressing each marker.

Figure 4A is a set of representative flow cytometry plots of CD8<sup>+</sup> T cells isolated from 10 macaques vaccinated with either strain 68-1 RhCMV/gag, MVA/gag, strain 68-1.2 RhCMV/gag, or infected with SIV, showing IFN- $\gamma$  and/or TNF- $\alpha$  production from CD8<sup>+</sup> T cells following incubation with autologous SIVmac239-infected CD4<sup>+</sup> T cells alone (no block), or in the presence of the MHC-II binding Class II-associated invariant chain peptide (CLIP) plus the pan-MHC-I blocking mAb W6/32 (W6/32 + CLIP), or Rh67<sub>8-16</sub> VL9 plus CLIP (VL9 + CLIP).

15 Figure 4B is a bar graph of a comparison of the normalized response frequencies for the recognition and blocking experiments represented in Fig. 4A for CD8<sup>+</sup> T cells from macaques vaccinated with strain 68-1 RhCMV/gag (n = 5), MVA/gag (n = 6), strain 68-1.2 RhCMV/gag (n = 4), or infected with SIV (n = 6).

Figure 4C is a set of flow cytometry plots illustrating the recognition of SIV-infected cells 20 by CD8<sup>+</sup> T cell lines (CL) specific for either the MHC-E restricted Gag<sub>477-491</sub> Gag #120 epitope (top row) or the Mamu-A\*001:01 restricted Gag<sub>181-189</sub> CM9 epitope (bottom row). CLs were incubated with uninfected or SIV-infected CD4<sup>+</sup> T cells (from Rh22607) in the presence of the blocking conditions indicated.

Figure 5 (left panel) shows the percentage of CD8<sup>+</sup> T cells in PBMC from a rhesus 25 macaque inoculated with a Rh67 (UL40)-deleted 68-1 RhCMV expressing SIVgag showing IFN- $\gamma$  and/or TNF- $\alpha$  production following incubation with overlapping peptides corresponding to SIVgag at the indicated time points. The central panel shows that CD8<sup>+</sup> T cells in PBMC from the same animal do not respond to the Mamu-E-restricted peptides Gag<sub>273-287</sub> (Gag69) or Gag<sub>477-491</sub>

(Gag120). The right panel shows the percentage of CD8<sup>+</sup> T cells in PBMC from the same animal responding to MHC-II restricted peptides (Gag53 and Gag73). The MHC-II peptides correspond to so-called supertopes, *i.e.* these peptides are presented by many different MHC-II alleles and hence elicit responses in most animals.

5 Figure 6 is a table illustrating the CD8<sup>+</sup> T cell responses to SIVmac239 Gag epitope-mapped using flow cytometric ICS to detect recognition of 125 consecutive 15mer Gag peptides (with an 11 amino acid overlap) in macaques vaccinated with strain 68-1 RhCMV/gag vectors (n = 3) lacking Rh67. Peptides resulting in above background CD8<sup>+</sup> T cell responses were subjected to MHC-I (mAb W6/32), MHC-E (Rh67 VL9), and MHC-II (mAb G46-6) blockade and classified as  
10 MHC-I blocked (boxes with white fill), MHC-E blocked (boxes with grey fill), and MHC-II blocked (boxes with black fill). Note that all peptides are restricted by MHC-II demonstrating the need for Rh67 to elicit HLA-E specific CD8<sup>+</sup> T cell responses.

Figure 7A is a set of plots showing surface staining of MHC-II, MHC-Ia, MHC-E, or MHC-F by cell lines transfected with single Mamu-D molecules.

15 Figure 7B is a table showing genotyping of the indicated rhesus macaque (RM) individuals. Individuals were *Mamu-A*, *-B*, and *-E* genotyped by Roche/454 pyrosequencing. Grey shading indicates alleles selected for MHC-I transfectant generation. Where multiple alleles are listed, the bolded allele was produced.

20 Figure 7C is a set of two plots wherein one *MHC-Ia* or *MHC-Ib* allele was transfected into a parental (MHC-I negative) cell line (.221 cells or K562, respectively). Cells were stained with a cross-reactive human MHC-I monoclonal antibody (W6/32) for 15 minutes at room temperature to assess MHC-I expression. Cells were washed once with 1X PBS supplemented with 10% fetal bovine serum, fixed with 2% paraformaldehyde, collected on a LSRII flow cytometer, and analyzed with FlowJo. MHC-I-expressing B-lymphoblastoid cells (BLCL) served as  
25 a positive control, while the MHC-I negative parental cell lines were used as a negative control.

Figure 8A is a set of plots showing representative flow data of a restriction assay from Rh22607 for Gag 120.

Figure 8B is a table showing PBMC from the 4 indicated RM (#s 21826, 22436, 22034, and 22607; *Mamu-I* alleles shown in Fig. 7B) were incubated with autologous B lymphoblastoid cells (BLCL), MHC-I-null .221 or K562 cells, or the indicated single *Mamu-I* transfectants pulsed with the indicated SIVgag peptides and were then analyzed for CD8<sup>+</sup> T cell responses by flow cytometric ICS (see Fig. 1). Beginning in the second column, combinations that resulted in CD8<sup>+</sup> T cell responses above background (no peptide) are indicated by + signs (grey boxes); combinations that did not result in CD8<sup>+</sup> T cell responses above background are indicated by - signs (open boxes). In the first column, *Mamu-I* alleles that are expressed in each RM are indicated in grey boxes; non-expressed alleles are shown in open boxes.

Figure 9 is a set of flow cytometry plots of MHC-I vs. MHC-E blockade studies. Representative flow cytometry plots of CD8<sup>+</sup> T cells in PBMC from (left) a strain 68-1 RhCMV/gag vector-vaccinated macaque, or (B) a strain 68-1.2 RhCMV/gag vector-vaccinated macaque showing IFN- $\gamma$  and/or TNF- $\alpha$  production (response frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with the Gag 15-mer peptide indicated on top and the blocking condition indicated at left.

Figure 10A is a set of flow cytometry plots showing PBMC from strain 68-1 RhCMV/gag vector-vaccinated macaques were stimulated with Gag<sub>273-287</sub> (SIVmac239 Gag 15-mer #69) and flow cytometric ICS was performed. CD8<sup>+</sup> T cells responding to these MHC-E bound Gag peptides were identified via IFN- $\gamma$  and TNF- $\alpha$  and then compared against the remaining cells in PBMC for expression of the markers indicated. Numbers in black indicate the overall percentage of cells in PBMC that are positive for the marker indicated, while the numbers in gray indicate the percentage of IFN- $\gamma$  and TNF- $\alpha$  producing cells that are positive.

Figure 10B is a set of flow cytometry plots showing PBMC from strain 68-1 RhCMV/gag vector-vaccinated macaques were stimulated with Gag<sub>477-491</sub> (SIVmac239 Gag 15-mer #120) and flow cytometric ICS was performed. CD8<sup>+</sup> T cells responding to these MHC-E bound Gag peptides were identified via IFN- $\gamma$  and TNF- $\alpha$  and then compared against the remaining cells in PBMC for expression of the markers indicated. Numbers in black indicate the overall

percentage of cells in PBMC that are positive for the marker indicated, while the numbers in gray indicate the percentage of IFN- $\gamma$  and TNF- $\alpha$  producing cells that are positive.

Figure 11 collectively shows MHC restriction of strain 68-1 RhCMV/SIVgag-elicited CD8 $^{+}$  T cells.

Figure 11A is a set of plots showing results from flow cytometric intra-cellular cytokine staining (ICS) analysis of PBMC from a representative strain 68-1 RhCMV/SIVgag-vaccinated macaque (Rh22034; of 4 similarly analyzed). PBMC from vaccinated macaques were stimulated with the indicated 15mer peptide epitopes pulsed onto the surface of the indicated MHC-I transfectants or control cells with CD8 $^{+}$  T cell recognition determined by detection of IFN- $\gamma$  and/or TNF- $\alpha$  production by flow cytometric ICS assay (response frequencies of gated CD8 $^{+}$  T cells shown in each quadrant). The parental MHC-I-negative .221 and K562 cells were used as negative controls, while autologous B-lymphoblastoid cells (BLCL) were used as the positive control. The MHC-I molecules tested included both those expressed by Rh22034.

Figure 11B is a set of plots showing results from flow cytometric ICS analysis of additional macaque and human MHC-E molecules not expressed by Rh22034 similar to that of Figure 11A.

Figure 11C is a set of plots showing phenotypic analysis of PBMC from RM treated with the same strain 68-1 RhCMV/SIVgag vector-vaccinated macaque as shown above (representative of 4 similarly analyzed) were stimulated with autologous BLCL pulsed with either SIVgag<sub>273-287</sub>(69) or SIVgag<sub>477-491</sub>(120), and responding CD3 $^{+}$  lymphocytes (IFN- $\gamma$  and TNF- $\alpha$ -producing; gate shown in left plot) were phenotyped by flow cytometric ICS assay with responding cells and non-responding cells within the designated gates indicated in grey and black, respectively, in each plot (and their relative % within the rectangular regions shown in each plot indicated in the same colors).

Figure 11D is a set of plots of the results where single MHC-E transfectants were pre-incubated with canonical MHC-E-binding peptide VMAPRTLLL (VL9) or a control non-MHC-E binding peptide (SIVgag GY9) prior to pulsing with the indicated SIVgag 15mer peptide epitope. Flow cytometric ICS assays were conducted as described above using PBMC from strain 68-1

RhCMV/SIVgag-vaccinated macaques, and the following MHC-E transfectants: Mamu-E\*02:04 for SIVgag<sub>273-287</sub>(69), SIVgag<sub>385-399</sub>(97), and SIVgag<sub>433-447</sub>(109) and Mamu-E\*02:11 for SIVgag<sub>257-271</sub>(65) and SIVgag<sub>477-491</sub>(120).

Figure 12 collectively shows that MHC-E restriction is limited to CD8<sup>+</sup> T cell responses elicited by ΔRh157.5/.4 RhCMV vectors.

Figure 12A is a table showing that CD8<sup>+</sup> T cell responses to SIVgag were epitope-mapped using flow cytometric ICS to detect recognition of 125 consecutive 15mer gag peptides (with an 11 amino acid overlap) in macaques vaccinated with the indicated SIVgag expressing viral vectors or infected with SIVmac239 itself (n = 6 per group shown). Peptides resulting in above background CD8<sup>+</sup> T cell responses are indicated by a box, with the fill of the box designating MHC restriction as determined by blocking with the anti-pan-MHC-I mAb W6-32, the MHC-E blocking peptide VL9 and the MHC-II blocking peptide CLIP. MHC-Ia-, MHC-E-, and MHC-II-restriction was based on >90% response blocking by W6-32 alone (boxes with white fill), W6-32 and VL9 alone (boxes with grey fill), and CLIP alone (boxes with black fill), respectively, with responses not meeting these criteria labeled indeterminate (boxes with vertical hatch fill). The minimal number of independent epitopes in these MHC restriction categories is shown at right for each macaque.

Figure 12B is a table showing CD8<sup>+</sup> T cell responses to SIVpol and the *M. tuberculosis* proteins Ag85B, ESAT-6, and RpfA epitope-mapped as described above in macaques vaccinated with strain 68-1 RhCMV vectors expressing these proteins.

Figure 12C is a set of plots (right), another set of plots (middle), and a bar graph (right) showing that analysis of SIV-infected CD4<sup>+</sup> cell recognition by CD8<sup>+</sup> cells isolated from macaques vaccinated with strain 68-1 RhCMV/gag, MVA/gag, strain 68-1.2 RhCMV/gag vectors, or infected with SIV. The flow profiles at left show IFN-γ and TNF-α production following CD8<sup>+</sup> T cell incubation with autologous SIVmac239-infected CD4<sup>+</sup> T cells alone (no block), or in the presence of the pan-MHC-I-blocking mAb W6/32 plus the MHC-II-binding CLIP peptide (anti-MHC-I + CLIP), or MHC-E-binding peptide VL9 plus CLIP (VL9 + CLIP). All plots are gated on live, CD3<sup>+</sup>, CD8<sup>+</sup> cells. The bar graph at right shows the results from all studied macaques.

Figure 13A is a plot showing a comparison of the total number of distinct MHC E- (grey) vs. MHC-Ia (black)-restricted SIVgag epitopes recognized by circulating CD8<sup>+</sup> T cells in individual macaques vaccinated with strain 68-1 RhCMV/gag vs. conventional viral vectors, the latter including MVA/gag (n = 11), Ad5/gag (n = 3) and electroporated DNA/gag + IL-12 (n = 4), or in 5 macaques with controlled SIVmac239 infection (plasma viral load <10,000 copies/ml; n = 12). The horizontal bars indicate median values.

Figure 13B is a plot showing a comparison of the density (epitope number per 100 amino acids of protein length) of MHC E-restricted epitopes recognized by circulating CD8<sup>+</sup> T cells in individual macaques vaccinated with strain 68-1 RhCMV vectors expressing each of the 10 indicated antigens (note: RhCMV IE1 responses were evaluated in CMV naïve macaques administered 68-1 RhCMV/gag). The horizontal bars indicate median values for each group.

Figure 13C is a bar graph of an analysis of the breadth of MHC-E-restricted SIVgag epitope-specific CD8<sup>+</sup> T cell responses across 125 overlapping (11 amino acid overlap), consecutive SIVgag 15mer peptides in 42 strain 68-1 RhCMV/gag vector-vaccinated macaques. 15 Note that 109/125 15mers (87%) were recognized by MHC-E-restricted CD8<sup>+</sup> T cells in at least 1 macaque.

Figure 13D (left) is a sequence LOGO indicating the frequency of each amino acid in a given position (relative to their background frequency in SIVmac239 Gag; see methods) by the height of the letter, based on 11 optimal, MHC-E-restricted SIVgag 9mer peptide epitopes 20 recognized by CD8+ T cells in strain 68-1 RhCMV vector-vaccinated macaques. The sequence LOGO is colored according to enrichment (letters with grey fill or hatched letters) or underrepresentation (letters with white fill) among 551 peptides eluted from HLA-E in a TAP-deficient setting by Lampen MH *et al.*, Mol Immunol 53, 126-131 (2013); incorporated by reference herein. Amino acids enriched in the 2<sup>nd</sup> and C-terminal anchor positions among the 25 551 Lampen *et al.* peptides were rare among our 11 optimal SIVgag peptides (right), while those that were significantly underrepresented were enriched. The percentage of strain 68-1 RhCMV/gag-vaccinated macaques that responded to each optimal peptide is noted as the “Recognition Frequency”.

Figure 14 is a plot showing that the SIVgag<sub>276-284</sub> and SIVgag<sub>482-490</sub> epitopes are recognized by CD8<sup>+</sup> T cells in all strain 68-1 RhCMV/gag-vaccinated rhesus macaques. The CD8<sup>+</sup> T cell response to the indicated SIVgag 9mer peptides was determined in 120 strain 68-1 RhCMV/gag-vaccinated RM using flow cytometric ICS, using peptide-specific induction of TNF- $\alpha$  and/or IFN- $\gamma$  within CD3<sup>+</sup>/CD8<sup>+</sup> T cells as the response read-out. All macaques manifested detectable responses to these supertopic epitopes after background subtraction. The response frequencies shown have been memory-corrected to reflect the frequency of epitope-responding cells with the CD8<sup>+</sup>, CD95<sup>high</sup> memory subset. Horizontal bars indicate median values.

Figure 15 collectively shows the validation of transfected cell lines expressing single MHC-I molecules corresponding to MHC-I molecules expressed by 4 strain 68-1 RhCMV/SIVgag-vaccinated macaques.

Figure 15A is a table showing the results where four strain 68-1 RhCMV/SIVgag-vaccinated macaques were Mamu-A, -B, and -E genotyped by Roche/454 pyrosequencing. Grey shading indicates alleles selected for MHC-I transfectant generation. Where multiple alleles are listed, a transfectant expressing the bolded allomorph was produced.

Figure 15B is a set of two plots showing expression of single MHC-I molecules. MHC-Ia or MHC-Ib alleles were transfected into a parental (MHC-I negative) cell line (.221 cells or K562 cells) and stained with pan-MHC-I monoclonal antibody (W6/32). MHC-I-expressing B-lymphoblastoid cells (BLCL) served as a positive control, while the MHC-I-negative parental cell lines were used as negative controls.

Figure 16A and 16B collectively show a comprehensive analysis of the MHC-Ia and MHC-Ib specificity of RhCMV/SIVgag-induced CD8<sup>+</sup> T cell response in 4 macaques.

Figure 16A is a set of plots showing representative flow cytometric ICS profiles of MHC restriction analysis of the SIVgag<sub>433-447</sub>(109) response using PBMC from Rh22034. The TNF- $\alpha$  vs. IFN- $\gamma$  flow profiles shown were gated on CD3<sup>+</sup>, CD8<sup>+</sup> lymphocytes, with the fraction of cells in each quadrant indicated in the figure.

Figure 16B is a table showing PBMC from the 4 indicated macaques (MHC-typing shown in Fig. 15A) that were incubated with autologous B-lymphoblastoid cells (BLCL), MHC-I-negative .221 or K562 cells, or single MHC-I transfectants pulsed (and washed) with the indicated SIVgag peptides, and were then analyzed for CD8<sup>+</sup> T cell responses by flow cytometric ICS. Beginning in 5 the second column, combinations that resulted in CD8<sup>+</sup> T cell responses above background (no peptide) are indicated by + signs (grey boxes); combinations that did not result in CD8<sup>+</sup> T cell responses above background are indicated by - signs (open boxes). In the first column, MHC-I alleles expressed in each RM are indicated in grey boxes; non-expressed alleles are shown in open boxes (expression of Mamu-F\*01:01 unknown).

10 Figure 17 is a table showing that classical MHC-Ia allomorphs capable of presenting SIVgag peptides to strain 68-1 RhCMV/SIVgag-elicited CD8<sup>+</sup> T cells are not the restricting MHC alleles for these T cell responses. A cohort of 20 strain 68-1 RhCMV/SIVgag vector-vaccinated macaques were MHC-typed for the presence of Mamu-A1\*001:01 and -A1\*002:01 and tested for CD8<sup>+</sup> T cell responses specific for SIVgag<sub>69-83</sub>(18), SIVgag<sub>129-143</sub>(33), and SIVgag<sub>197-211</sub>(50).  
15 Note that the detection of CD8<sup>+</sup> T cells specific for these three epitopes in strain 68-1 RhCMV/gag vector-vaccinated macaques is independent of the presence of Mamu-A1\*001:01 or -A1\*002:01 in the vaccinated animal.

Figures 18A and 18B collectively show that strain 68-1 RhCMV/SIVgag-elicited CD8<sup>+</sup> T cells recognize peptide in the context of both rhesus macaque and human MHC-E molecules.

20 Figure 18A is a set of plots showing PBMCs from strain 68-1 RhCMV/SIVgag vector-vaccinated macaques [Rh21826: SIVgag<sub>89-103</sub>(23), SIVgag<sub>129-143</sub>(33), SIVgag<sub>257-271</sub>(65), SIVgag<sub>473-487</sub>(119); Rh22034: SIVgag<sub>61-75</sub>(16), SIVgag<sub>69-83</sub>(18), SIVgag<sub>271-287</sub>(69), SIVgag<sub>385-399</sub>(97), SIVgag<sub>477-491</sub>(120); Rh22436: SIVgag<sub>197-211</sub>(30), SIVgag<sub>197-211</sub>(50)] were evaluated for peptide-specific CD8<sup>+</sup> T cell recognition using flow cytometric ICS to detect IFN- $\gamma$  and/or TNF- $\alpha$  production (response 25 frequencies of CD8<sup>+</sup> T cells shown in each quadrant) following incubation with the indicated Gag 15-mer peptides pulsed (and washed) on the indicated MHC-E transfectants and control antigen presenting cells (see Fig. 11). Note that all 12 MHC-E-restricted 15mer peptide epitopes

can be effectively presented to strain 68-1 RhCMV/SIVgag vector-elicited CD8<sup>+</sup> T cells on both Mamu-E allomorphs and on HLA-E.

Figure 18B is an amino acid alignment of the  $\alpha 1$  and  $\alpha 2$  regions of human and rhesus macaque MHC-E molecules expressed by transfectants represented in Fig. 18A, with the key B and F pocket residues indicated with grey shading. All of the B and F pocket residues interacting with bound peptide are conserved between HLA-E\*01:03, Mamu-E\*02:04, and Mamu-E\*02:11, while substitutions exist in these residues in Mamu-E\*02:20, the most disparate of the MHC-E molecules studied here. Despite harboring substitutions in both B and F pocket residues compared to the other allomorphs, Mamu-E\*02:20 is able to bind and present the identical peptides.

Figure 19 is a plot showing that strain 68-1 RhCMV/SIVgag-elicited, supertope-specific CD8<sup>+</sup> T cells exhibit a conventional CD8 $\alpha\beta^+$  T cell phenotype. The figure summarizes the phenotypic analysis of MHC-E-restricted CD8<sub>+</sub> T cells responding to SIVgag<sub>273-287</sub>(69) or SIVgag<sub>477-491</sub>(120) peptide stimulation in four 68-1 RhCMV/SIVgag-vaccinated macaques (Rh21826, Rh22034, Rh22436, Rh22607). The figure shows the percentages of peptide-responding CD3<sup>+</sup> T cells (IFN- $\gamma^+$  and TNF- $\alpha^+$ ) that express the designated phenotypes (see flow cytometric profiles in Fig. 11C).

Figure 20A is a set of plots of single MHC-E transfectants pre-incubated with canonical MHC-E-binding peptide VMAPRTLLL (VL9) or control peptide prior to pulsing with the indicated SIVgag 15-mer peptide epitope. Flow cytometric ICS was conducted as described for Fig. 11 using PBMC from strain 68-1 RhCMV/SIVgag-vaccinated macaques: Rh21826 for SIVgag<sub>89-103</sub>(23), SIVgag<sub>129-143</sub>(33), SIVgag<sub>197-211</sub>(50), and SIVgag<sub>473-487</sub>(119) responses; Rh22034 for SIVgag<sub>61-75</sub>(16) and SIVgag<sub>69-83</sub>(18) responses; Rh22436 for the SIVgag<sub>117-131</sub>(30) response. The following MHC-E transfectants were utilized: Mamu-E\*02:04 for the SIVgag<sub>69-83</sub>(18) and SIVgag<sub>89-103</sub>(23) responses; Mamu-E\*02:11 for the SIVgag<sub>61-75</sub>(16), SIVgag<sub>117-131</sub>(30), SIVgag<sub>129-143</sub>(33), SIVgag<sub>197-211</sub>(50), and SIVgag<sub>473-487</sub>(119) responses. The following control peptides were utilized at a final concentration of 20 $\mu$ M: Mamu-A1\*002:01-binding peptide SIVgag<sub>71-79</sub>(GY9) for SIVgag<sub>89-103</sub>(23), SIVgag<sub>117-131</sub>(30), and SIVgag<sub>129-143</sub>(33) responses, and the Mamu-

A1\*001:01-binding peptide SIVgag<sub>181-189</sub>(CM9) for the SIVgag<sub>69-83</sub>(18), SIVgag<sub>197-211</sub>(50), and SIVgag<sub>473-487</sub>(119) responses. These data, along with the data in Fig. 11D, indicate that the VL9 peptide efficiently blocks CD8+ T cell recognition of 12 diverse MHC-E-presented 15mer peptide epitopes.

5 Figure 20B is a plot where the indicated antigen-presenting cells were pre-incubated with increasing concentrations of VL9 prior to pulse with the SIVgag<sub>477-491</sub>(120) SIVgag 15-mer or optimal Mamu-A1\*001:01-restricted Gag-CM9 or Tat-SL8 peptides. These antigen-presenting cells were then incubated with the indicated effectors for flow cytometric ICS analysis, as described for Fig. 20A. Rh22436 is a 68-1 RhCMV/SIVgag-vaccinated RM, while Rh27002 is SIV-  
10 infected. Note that increasing concentrations of VL9 peptide progressively block the ability of MHC-E-expressing antigen-presenting cells to activate SIVgag<sub>477-491</sub>(120)-specific CD8<sup>+</sup> T cells from a strain 68-1 RhCMV/gag vector-vaccinated macaque, but have no effect on conventionally MHC-Ia-restricted CD8+ T cells specific for Gag-CM9 or Tat-SL8.

15 Figure 21 shows formal truncation analysis for 8 additional MHC-E-restricted 15 peptide epitopes using peptide-specific CD8<sup>+</sup> T cell expression of TNF- $\alpha$  and/or IFN- $\gamma$  by flow cytometric ICS as response readout. CD8<sup>+</sup> T cell responses to amino terminal and carboxy terminal truncations of the parent 15mer were initially determined to define optimal peptide length and the amino- and carboxy-termini of the core epitope (top panel, with grey shading indicating the terminal amino acids of the most stimulatory amino- and carboxy-terminal-truncated peptides).  
20 The optimal 9mer implied by this truncation approach was then confirmed by analysis of the 7 consecutive 9mers that make up each 15mer (bottom panel). The 9mers shaded in grey in each of the bottom panels represent the optimal epitope for each parent 15mer.

25 Figure 22A and 22B collectively show dose response of MHC-E-restricted CD8<sup>+</sup> T cells to optimal 9mers pulsed on human and rhesus macaques MHC-E transfectants. Mamu-E\*02:04, Mamu-E\*02:20 and HLA-E\*01:03 transfectants were pulsed with the indicated concentration of the optimal SIVgag 9mer peptide epitopes SIVgag<sub>476-484</sub>, SIVgag<sub>259-267</sub>, SIVgag<sub>276-284</sub>, or SIVgag<sub>482-490</sub> (see fig. 21), washed, and combined with PBMC from 3-4 68-1 RhCMV/SIVgag-vaccinated

macaques for flow cytometric ICS determination of the frequency of responding CD8<sup>+</sup> T cells (IFN- $\gamma$ <sup>+</sup> and/or TNF- $\alpha$ <sup>+</sup>).

Figure 22A is a set of plots showing a representative analysis of the dose response to SIVgag<sub>476-484</sub> in Rh22607.

5 Figure 22B is a set of plots showing the dose response (mean  $\pm$  SEM response frequencies) for CD8<sup>+</sup> T cells responding to SIVgag<sub>476-484</sub>, SIVgag<sub>259-267</sub>, SIVgag<sub>276-284</sub>, SIVgag<sub>482-490</sub> with response frequencies normalized to the response observed with the transfectant pulsed with 10  $\mu$ M peptide dose.

Figure 23 is a chart of genomic differences between RhCMV vector strains 68-1, 68-1.2 and  $\Delta$ Rh157.4/.5 68-1.2. In low passage isolates of RhCMV, the Rh157.5 (UL128), Rh157.4 (UL130) and Rh157.6 (UL131A) genes are encoded on the 2<sup>nd</sup> strand in reverse orientation. During serial passage in tissue culture, RhCMV 68-1 acquired distinctive fibroblast adaptations. The Rh157.5 (UL128) ORF and most of exon 2 of the Rh157.4 (UL130) ORF were deleted and the adjacent genomic region inverted, resulting in loss of the pentameric receptor complex that mediates viral entry into non-fibroblasts. Fibroblast adaptation of strain 68-1 RhCMV also resulted in insertion of an additional thymidine in the Rh61/Rh60 (UL36) gene, resulting in a frame shift mutation and a premature stop codon. In RhCMV 68-1.2, a functional pentameric complex was restored by insertion of Rh157.5 (UL128) and exon 2 of Rh157.4 (UL130) from RhCMV strain 180.92 into RhCMV 68.1 right after the first exon of Rh157.4 (UL130), and the Rh61/Rh60 (UL36) mutation was reverted to wild type configuration. To ensure that the unconventional MHC restriction of CD8<sup>+</sup> T cells elicited by strain 68-1 RhCMV vectors was attributable to the Rh157.5/.4 (UL128/UL130) deletion (and consequent lack of a functional pentameric complex), Rh157.5 (UL128) and Rh157.4 (UL130) were specifically re-deleted from strain 68-1.2 by homologous recombination starting 50 bp upstream of the Rh 157.6 (UL131A) stop codon up to the Rh157.5 (UL128) stop codon, leaving the Rh61/Rh60 (UL36) repair intact. Therefore, phenotypic features shared between this  $\Delta$ Rh157.5/.4 ( $\Delta$ UL128/UL130) strain 68-1.2 RhCMV vector and the original strain 68-1 vectors that differ from the repaired strain 68-1.2 RhCMV vector would be directly attributable to Rh157.5/.4 (UL128/UL130) deletion.

Figure 24 collectively shows differential utilization of MHC-E vs. MHC-Ia by CD8<sup>+</sup> T cells elicited by strain 68-1 vs. strain 68-1.2 RhCMV/gag vectors.

Figure 24A shows representative flow cytometric response profiles (IFN- $\gamma$  vs. TNF- $\alpha$  on gated CD3<sup>+</sup>, CD8<sup>+</sup> T cells) of MHC-I-dependent, SIVgag epitope-specific CD8<sup>+</sup> T cells elicited by the strain 68-1 (Rh157.4/.5-deleted) RhCMV/gag vectors, with and without blocking with the pan anti-MHC-I-blocking mAb W6-32 or the MHC-E-blocking VL9 peptide.

Figure 24B shows representative flow cytometric response profiles (IFN- $\gamma$  vs. TNF- $\alpha$  on gated CD3<sup>+</sup>, CD8<sup>+</sup> T cells) of MHC-I-dependent, SIVgag epitope-specific CD8<sup>+</sup> T cells elicited by the strain 68-1.2 (Rh157.4/.5-intact) RhCMV/gag vectors, with and without blocking with the pan anti-MHC-I-blocking mAb W6-32 or the MHC-E-blocking VL9 peptide (see Fig. 20). Note that the VL9 peptide only blocks all MHC-I-dependent responses elicited by the strain 68-1 RhCMV vector.

Figure 25 is a restriction analysis of epitope-specific CD8<sup>+</sup> T cell responses elicited by RhCMV/gag vectors (strains 68.1 and 68-1.2), MVA/gag vector, and by controlled SIV infection. As described for Fig. 12A, CD8<sup>+</sup> T cell responses to SIVgag were epitope-mapped using flow cytometric ICS to detect recognition of 125 consecutive 15mer gag peptides (with an 11 amino acid overlap) in additional macaques (over the 6 animals from each group shown in Fig. 12A) vaccinated with the indicated SIVgag expressing viral vectors or infected with SIVmac239 itself (SIVmac239 controller macaques). Peptides resulting in above background CD8<sup>+</sup> T cell responses are indicated by a box, with the fill of the box designating MHC restriction, as determined by blocking with the anti-pan-MHC-I mAb W6-32, the MHC-E blocking peptide VL9 and the MHC-II blocking peptide CLIP. MHC-Ia-, MHC-E-, and MHC-II-restriction was based on >90% response blocking by W6-32 alone (boxes with white fill), W6-32 and VL9 alone (boxes with grey fill), and CLIP alone (boxes with black fill), respectively, with responses not meeting these criteria labeled indeterminate (boxes with vertical hatch fill). The minimal number of independent epitopes in these MHC restriction categories is shown at right for each macaque. Note that all evaluable epitopes recognized by CD8<sup>+</sup> T cells from strain 68-1 RhCMV/gag vector-vaccinated macaques were unconventionally restricted, either by MHC-II or MHC-E. In contrast,

all responses elicited by the strain 68-1.2 RhCMV/gag and MVA/gag vectors were conventionally MHC-Ia-restricted. The vast majority of SIVgag epitope-specific CD8<sup>+</sup> T cell responses identified in SIV controller macaques were also MHC-Ia-restricted, but 4 of 12 of these animals manifested one epitope-specific response that was unequivocally MHC-II-restricted (4 responses out of 179 total responses = 2.2%), indicating the MHC-II-restricted CD8<sup>+</sup> T cells can be identified as a minor component of conventional immune responses to infection.

Figure 26 is a chart showing epitope mapping of CD8<sup>+</sup> T cell responses to the RhCMV Immediate Early-1 (IE1) protein in natural (wild type) RhCMV infection and both primary and secondary infection with the strain 68-1 RhCMV/gag vector. CD8<sup>+</sup> T cell responses to RhCMV IE1 were epitope-mapped using flow cytometric ICS to detect recognition of 137 consecutive 15mer IE1 peptides (with an 11 amino acid overlap) in 1) macaques that were naturally infected with wildtype (colony circulating) RhCMV (top panel), 2) RhCMV naïve macaques inoculated with the strain 68-1 RhCMV/gag vector (middle panel), and 3) naturally wild type RhCMV-infected macaques that were superinfected with the strain 68-1 RhCMV/gag vector (bottom panel). Peptides resulting in above background CD8<sup>+</sup> T cell responses are indicated by a box, with the fill of the box designating MHC restriction as determined by blocking with the anti-pan-MHC-I mAb W6-32, the MHC E blocking peptide VL9 and the MHC-II blocking peptide CLIP. MHC-Ia-, MHC-E-, and MHC-II-restriction was based on >90% response blocking by W6-32 alone (boxes with white fill), W6-32 and VL9 alone (boxes with grey fill), and CLIP alone (boxes with black fill), respectively, with responses not meeting these criteria labeled indeterminate (boxes with vertical hatch fill). The minimal number of independent epitopes in these MHC restriction categories is shown at right for each macaque. Note that the IE1 epitope-specific responses in the naturally infected macaques are entirely MHC Ia-restricted, whereas in the macaques solely infected with the strain 68-1 RhCMV/gag vector, these responses are broader and entirely unconventionally restricted (an ~1:1 ratio of MHC-II- and MHC-E-restricted epitopes). The naturally RhCMV-infected macaques that were superinfected with the strain 68-1 RhCMV/gag vectors show the expected admixture of IE1-epitope-specific CD8<sup>+</sup> T cells that were conventionally (MHC-Ia) and unconventionally (MHC-II and MHC-E) restricted.

Figure 27A is a validation of the specificity of MHC-E-specific mAb 4D12 in rhesus macaques. Histograms showing surface staining of single MHC-Ia or MHC-Ib transfectants by the pan-MHC-I mAb W6/32 (top row) versus the MHC-E-specific mAb 4D12 (bottom row). Note that all Mamu-Ia and Mamu-E allomorphs were transfected into the murine cell line RMA-S, 5 which expresses human  $\beta$ 2-microglobulin. Macaque BLCL were used as a positive control, whereas the parent RMA-S cell line was used as a negative control (light gray histogram). Note the restriction of 4D12 reactivity to the Mamu-E transfectants.

Figure 27B shows the surface expression of total MHC-I as determined by staining with mAb W6/32),

10 Figure 27C shows the surface expression of total MHC-I, as determined by staining with mAb 4D12, on productively SIV-infected and uninfected CD4 $^{+}$  T cells in the same cultures, with SIV-infected cells recognized by intracellular expression of Gag Ag and CD4 down-regulation (Gag $^{+}$ /CD4 $^{\text{low}}$ ), and uninfected cells recognized by lack of Gag reactivity and high levels of 15 surface CD4 expression (Gag $^{-}$ /CD4 $^{\text{high}}$ ). The left panels show representative flow cytometric histograms. The right panels depict the MFI of total MHC-I or specific MHC-E staining in SIV infected versus uninfected CD4 $^{+}$  T cells derived from a total of 16 unrelated macaques. P values were determined by the paired Student's T test.

Figure 28 is a population-level analysis of MHC-Ia-restricted CD8 $^{+}$  T cell responses to SIVgag. Analysis of the breadth of conventionally MHC-Ia-restricted SIVgag epitope-specific 20 CD8 $^{+}$  T cell responses across 125 overlapping (11 amino acid), consecutive SIVgag 15mer peptides in 30 macaques vaccinated with conventional SIVgag-expression vaccines (11 MVA/gag, 3 Ad5/gag, 4 DNA/gag + IL-12) or infected with SIVmac239 (with plateau-phase viral loads <10,000 copies/ml; n = 12). The asterisk (\*) indicates the Gag-45 15mer peptide which includes the Mamu-A1\*001:01-restricted immunodominant SIVgag<sub>181-189</sub>(CM9) epitope. 25 Selection of monkeys for this cohort was largely unbiased with respect to MHC-Ia allomorphs, except for preferential selection of Mamu-A1\*001:01 (expressed by 19 of the 30 macaques), accounting for the high frequency of monkeys responding to the Gag45 15mer. Except for the Gag45 peptide, with its artificially increased response frequency, the frequency of monkeys

with MHC-I-restricted CD8<sup>+</sup> T cells reactive to any of the other Gag 15mers is relatively low (only two 15mers with 40% recognition and none >40%), compared to the MHC-E-restricted CD8<sup>+</sup> T cell responses elicited by the strain 68-1 RhCMV/gag vector (19 epitopes with  $\geq$ 40% recognition frequency, including 2 universal supertopes; Fig. 3C). However, all but one of the 125 consecutive SIVgag 15mers are recognized by MHC-Ia-restricted CD8<sup>+</sup> T cells in at least one macaque, and all but 13 SIVgag 15mers are targeted in 2 or more macaques. In contrast, the MHC-E-restricted CD8<sup>+</sup> T cells elicited in 42 macaques by the strain 68-1 RhCMV/gag vector failed to recognize 16 of 125 SIVgag 15mers. Thus, while the MHC-E-restricted CD8<sup>+</sup> T cell responses elicited by strain 68-1 RhCMV vectors are remarkably broad for a functionally monomorphic restricting element, they are not as broad as responses supported by an entire population of polymorphic MHC-Ia molecules, perhaps accounting for the evolutionary dominance of the MHC-Ia-restricted antigen presentation system.

Figure 29 is a set of three plots. The left panel shows the percentage of CD8<sup>+</sup> T cells in PBMC from a rhesus macaque inoculated with a Rh214 to Rh220-deleted 68-1RhCMV expressing SIVgag showing IFN- $\gamma$  and/or TNF- $\alpha$  production following incubation with overlapping peptides corresponding to SIVgag at the indicated time points. The gene region Rh214 to Rh220 encodes five genes with homology to human cytomegalovirus (HCMV) US28: Rh214, Rh215, Rh216, Rh218, Rh220 (D. Malouli *et al.*, *J Virol* 86, 8959 (2012); incorporated by reference herein). The center panel shows that CD8<sup>+</sup> T cells in PBMC from the same animal do not respond to the Mamu-E-restricted peptides Gag<sub>273-287</sub> (Gag69) or Gag<sub>477-491</sub> (Gag120). The right panel shows the percentage of CD8<sup>+</sup> T cells in PBMC from the same animal responding to MHC-II restricted peptides (Gag53 and Gag73). The MHC-II peptides correspond to so-called supertopes, *i.e.* these peptides are presented by many different MHC-II alleles and hence elicit responses in most animals.

Figure 30 is a table illustrating the CD8<sup>+</sup> T cell responses to SIVmac239 Gag epitope-mapped using flow cytometric ICS to detect recognition of 125 consecutive 15mer Gag peptides (with an 11 amino acid overlap) in macaques vaccinated with strain 68-1 RhCMV/gag vectors (n = 3) lacking Rh214-220. Peptides resulting in above background CD8<sup>+</sup> T cell responses were

subjected to MHC-I (mAb W6/32), MHC-E (Rh67 VL9), and MHC-II (mAb G46-6) blockade and classified as MHC-I blocked (boxes with white fill), MHC-E blocked (boxes with grey fill), MHC-II blocked (boxes with black fill), or indeterminate (boxes with hatch fill). Note that all peptides are restricted by MHC-II demonstrating the need for Rh214-220 to elicit HLA-E specific CD8<sup>+</sup> T cell responses.

## DETAILED DESCRIPTION

The present invention provides novel recombinant CMV vectors including, but not limited to, recombinant CMV vectors comprising nucleic acids encoding at least one heterologous protein antigen, at least one active UL40 protein, and at least one active US28 protein, but that do not express active UL128 and UL130 proteins. The present invention also provides recombinant CMV vectors including, but not limited to, recombinant CMV vectors comprising nucleic acids encoding at least one heterologous antigen, but that do not express (1) an active UL40 protein and/or an active US28 protein, (2) an active UL128 protein, and (3) an active UL130 protein. Methods of using the novel recombinant CMV vectors, such as methods of generating an immune response to at least one heterologous antigen in a subject, methods of generating CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes, and methods of treating disease, are further provided.

### 20 I. Definitions

Unless otherwise noted, technical terms are used according to conventional usage. Definitions of common terms in molecular biology may be found in Benjamin Lewin, *Genes V*, published by Oxford University Press, 1994 (ISBN 0-19-854287-9); Kendrew et al. (eds.), *The Encyclopedia of Molecular Biology*, published by Blackwell Science Ltd., 1994 (ISBN 0-632-2502182-9); and Robert A. Meyers (ed.), *Molecular Biology and Biotechnology: a Comprehensive Desk Reference*, published by VCR Publishers, Inc., 1995 (ISBN 1-56081-569-8).

All publications, patents, patent applications, internet sites, and accession numbers/database sequences (including both polynucleotide and polypeptide sequences) cited

herein are hereby incorporated by reference in their entirety for all purposes to the same extent as if each individual publication, patent, patent application, internet site, or accession number/database sequence were specifically and individually indicated to be so incorporated by reference.

5        Unless otherwise explained, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this disclosure belongs. The singular terms “a,” “an,” and “the” include plural referents unless context clearly indicates otherwise. Similarly, the word “or” is intended to include “and” unless the context clearly indicates otherwise. It is further to be understood that all base sizes or amino acid sizes, 10 and all molecular weight or molecular mass values, given for nucleic acids or polypeptides are approximate, and are provided for description. Although methods and materials similar or equivalent to those described herein can be used in the practice or testing of this disclosure, suitable methods and materials are described below. The term “comprises” means “includes.” In addition, the materials, methods, and examples are illustrative only and not intended to be 15 limiting. In order to facilitate review of the various embodiments of the disclosure, the following explanations of specific terms are provided:

Antigen: As used herein, the terms “antigen” or “immunogen” are used interchangeably to refer to a substance, typically a protein, which is capable of inducing an immune response in a subject. The term also refers to proteins that are immunologically active in the sense that 20 once administered to a subject (either directly or by administering to the subject a nucleotide sequence or vector that encodes the protein) is able to evoke an immune response of the humoral and/or cellular type directed against that protein.

Administration: To provide or give a subject an agent, such as a composition comprising an effective amount of an HCMV vector comprising an exogenous antigen by any effective 25 route. Exemplary routes of administration include, but are not limited to, injection (such as subcutaneous, intramuscular, intradermal, intraperitoneal, and intravenous), oral, sublingual, rectal, transdermal, intranasal, vaginal and inhalation routes.

Cancer: A disease or condition in which abnormal cells divide without control and are able to invade other tissues. Cancer cells may spread to other body parts through the blood and lymphatic systems. Cancer is a term for many diseases. There are more than 100 different types of cancer in humans. Most cancers are named after the organ in which they originate. For 5 instance, a cancer that begins in the colon may be called a colon cancer. However, the characteristics of a cancer, especially with regard to the sensitivity of the cancer to therapeutic compounds, are not limited to the organ in which the cancer originates. A cancer cell is any cell derived from any cancer, whether *in vitro* or *in vivo*.

Cancer also includes malignant tumors characterized by abnormal or uncontrolled cell 10 growth. Other features often associated with cancer include metastasis, interference with the normal functioning of neighboring cells, release of cytokines or other secretory products at abnormal levels and suppression or aggravation of inflammatory or immunological response, invasion of surrounding or distant tissues or organs, such as lymph nodes, etc.

“Metastatic disease” or “metastasis” refers to cancer cells that have left the original 15 tumor site and migrate to other parts of the body for example via the bloodstream or lymph system. The “pathology” of cancer includes all phenomena that compromise the wellbeing of the subject. This includes, without limitation, abnormal or uncontrollable cell growth, metastasis, interference with the normal functioning of neighboring cells, release of cytokines or other secretory products at abnormal levels, suppression or aggravation of inflammatory or 20 immunological response, neoplasia, premalignancy, malignancy, invasion of surrounding or distant tissues or organs, such as lymph nodes, etc.

Effective amount: As used herein, the term “effective amount” refers to an amount of an agent, such as a CMV vector comprising a heterologous antigen or a transfected CD8+ T cell 25 that recognizes a MHC-E/heterologous antigen-derived peptide complex, that is sufficient to generate a desired response, such as reduce or eliminate a sign or symptom of a condition or disease or induce an immune response to an antigen. In some examples, an “effective amount” is one that treats (including prophylaxis) one or more symptoms and/or underlying causes of any of a disorder or disease. An effective amount can be a therapeutically effective amount,

including an amount that prevents one or more signs or symptoms of a particular disease or condition from developing, such as one or more signs or symptoms associated with infectious disease, cancer, or autoimmune disease.

**Mutation:** A mutation is any difference in a nucleic acid or polypeptide sequence from a

5 normal, consensus or “wild type” sequence. A mutant is any protein or nucleic acid sequence comprising a mutation. In addition a cell or an organism with a mutation may also be referred to as a mutant.

Some types of coding sequence mutations include point mutations (differences in individual nucleotides or amino acids); silent mutations (differences in nucleotides that do not 10 result in an amino acid changes); deletions (differences in which one or more nucleotides or amino acids are missing, up to and including a deletion of the entire coding sequence of a gene); frameshift mutations (differences in which deletion of a number of nucleotides indivisible by 3 results in an alteration of the amino acid sequence. A mutation that results in a difference in an amino acid may also be called an amino acid substitution mutation. Amino acid 15 substitution mutations may be described by the amino acid change relative to wild type at a particular position in the amino acid sequence.

As used herein, an “inactivating mutation” is any mutation in a viral gene which finally leads to a reduced function or to a complete loss of function of the viral protein.

**Nucleotide sequences or nucleic acid sequences:** The terms “nucleotide sequences” and 20 “nucleic acid sequences” refer to deoxyribonucleic acid (DNA) or ribonucleic acid (RNA) sequences, including, without limitation, messenger RNA (mRNA), DNA/RNA hybrids, or synthetic nucleic acids. The nucleic acid can be single-stranded, or partially or completely double stranded (duplex). Duplex nucleic acids can be homoduplex or heteroduplex.

**Recombinant:** A recombinant nucleic acid or polypeptide is one that has a sequence that 25 is not naturally occurring or has a sequence that is made by an artificial combination of two or more otherwise separated segments of sequence, for example a CMV vector comprising a heterologous antigen and/or made replication deficient by the mutation of one or more genes. This artificial combination is often accomplished by chemical synthesis or, more commonly, by

the artificial manipulation of isolated segments of nucleic acids, *e.g.*, by genetic engineering techniques. A recombinant polypeptide can also refer to a polypeptide that has been made using recombinant nucleic acids, including recombinant nucleic acids transferred to a host organism that is not the natural source of the polypeptide (for example, nucleic acids encoding 5 polypeptides that form a CMV vector comprising a heterologous antigen).

Replication-deficient: As used herein, a replication deficient CMV is a virus that once in a host cell, cannot undergo viral replication, or is significantly limited in its ability to replicate its genome and thus produce virions. In other examples, replication-deficient viruses are dissemination-deficient, *i.e.* they are capable of replicating their genomes, but unable to infect 10 another cell either because virus particles are not released from the infected cell or because non-infectious viral particles are released. In other examples, replication-deficient viruses are spread-deficient, *i.e.* infectious virus is not secreted from the infected host and therefore the virus is unable to spread from host to host. In some embodiments, a replication-deficient CMV 15 is a CMV comprising a mutation that results in a lack of expression of one or more genes essential for viral replication (“essential genes”) or required for optimal replication (“augmenting genes”). CMV essential and augmenting genes have been described in the art (in particular US 2013/0136768, which is incorporated by reference herein) and are disclosed herein.

Pharmaceutically acceptable carriers: The pharmaceutically acceptable carriers of use 20 are conventional. Remington’s Pharmaceutical Sciences, by E.W. Martin, Mack Publishing Co., Easton, PA, 19th Edition, 1995, describes compositions and formulations suitable for pharmaceutical delivery of the compositions disclosed herein. In general, the nature of the carrier will depend on the particular mode of administration being employed. For instance, parenteral formulations usually comprise injectable fluids that include pharmaceutically and 25 physiologically acceptable fluids such as water, physiological saline, balanced salt solutions, aqueous dextrose, glycerol or the like as a vehicle. For solid compositions (such as powder, pill, tablet, or capsule forms), conventional non-toxic solid carriers can include, for example, pharmaceutical grades of mannitol, lactose, starch, or magnesium stearate. In addition to

biologically neutral carriers, pharmaceutical compositions to be administered can contain minor amounts of non-toxic auxiliary substances, such as wetting or emulsifying agents, preservatives, and pH buffering agents and the like, for example sodium acetate or sorbitan monolaurate.

5 Polynucleotide: As used herein, the term “polynucleotide” refers to a polymer of ribonucleic acid (RNA) or deoxyribonucleic acid (DNA). A polynucleotide is made up of four bases; adenine, cytosine, guanine, and thymine/uracil (uracil is used in RNA). A coding sequence from a nucleic acid is indicative of the sequence of the protein encoded by the nucleic acid.

10 Polypeptide: The terms “protein”, “peptide”, “polypeptide”, and “amino acid sequence” are used interchangeably herein to refer to polymers of amino acid residues of any length. The polymer can be linear or branched, it may comprise modified amino acids or amino acid analogs, and it may be interrupted by chemical moieties other than amino acids. The terms also encompass an amino acid polymer that has been modified naturally or by intervention; for example disulfide bond formation, glycosylation, lipidation, acetylation, phosphorylation, or 15 any other manipulation or modification, such as conjugation with a labeling or bioactive component.

20 Sequence identity/similarity: The identity/similarity between two or more nucleic acid sequences, or two or more amino acid sequences, is expressed in terms of the identity or similarity between the sequences. Sequence identity can be measured in terms of percentage identity; the higher the percentage, the more identical the sequences are. Sequence similarity can be measured in terms of percentage identity or similarity (which takes into account conservative amino acid substitutions); the higher the percentage, the more similar the sequences are. Polypeptides or protein domains thereof that have a significant amount of sequence identity and also function the same or similarly to one another (for example, proteins 25 that serve the same functions in different species or mutant forms of a protein that do not change the function of the protein or the magnitude thereof) can be called “homologs.”

Methods of alignment of sequences for comparison are well known in the art. Various programs and alignment algorithms are described in: Smith & Waterman, *Adv Appl Math* 2,

482 (1981); Needleman & Wunsch, *J Mol Biol* 48, 443 (1970); Pearson & Lipman, *Proc Natl Acad Sci USA* 85, 2444 (1988); Higgins & Sharp, *Gene* 73, 237-244 (1988); Higgins & Sharp, *CABIOS* 5, 151-153 (1989); Corpet *et al.*, *Nuc Acids Res* 16, 10881-10890 (1988); Huang *et al.*, *Computer App Biosci* 8, 155-165 (1992); and Pearson *et al.*, *Meth Mol Bio* 24, 307-331 (1994). In 5 addition, Altschul *et al.*, *J Mol Biol* 215, 403-410 (1990), presents a detailed consideration of sequence alignment methods and homology calculations.

The NCBI Basic Local Alignment Search Tool (BLAST) (Altschul *et al.* (1990), *supra*) is available from several sources, including the National Center for Biological Information (NCBI, National Library of Medicine, Building 38A, Room 8N805, Bethesda, MD 20894) and on the 10 Internet, for use in connection with the sequence analysis programs blastp, blastn, blastx, tblastn and tblastx. Additional information can be found at the NCBI web site.

BLASTN is used to compare nucleic acid sequences, while BLASTP is used to compare amino acid sequences. If the two compared sequences share homology, then the designated 15 output file will present those regions of homology as aligned sequences. If the two compared sequences do not share homology, then the designated output file will not present aligned sequences.

Once aligned, the number of matches is determined by counting the number of positions where an identical nucleotide or amino acid residue is presented in both sequences. The percent sequence identity is determined by dividing the number of matches either by the 20 length of the sequence set forth in the identified sequence, or by an articulated length (such as 100 consecutive nucleotides or amino acid residues from a sequence set forth in an identified sequence), followed by multiplying the resulting value by 100. For example, a nucleic acid sequence that has 1166 matches when aligned with a test sequence having 1154 nucleotides is 75.0 percent identical to the test sequence ( $1166 \div 1154 \times 100 = 75.0$ ). The percent sequence 25 identity value is rounded to the nearest tenth. For example, 75.11, 75.12, 75.13, and 75.14 are rounded down to 75.1, while 75.15, 75.16, 75.17, 75.18, and 75.19 are rounded up to 75.2. The length value will always be an integer. In another example, a target sequence containing a 20-nucleotide region that aligns with 20 consecutive nucleotides from an identified sequence as

follows contains a region that shares 75 percent sequence identity to that identified sequence (that is,  $15 \div 20 \times 100 = 75$ ).

For comparisons of amino acid sequences of greater than about 30 amino acids, the Blast 2 sequences function is employed using the default BLOSUM62 matrix set to default parameters, (gap existence cost of 11, and a per residue gap cost of 1). Homologs are typically characterized by possession of at least 70% sequence identity counted over the full-length alignment with an amino acid sequence using the NCBI Basic Blast 2.0, gapped blastp with databases such as the nr database, swissprot database, and patented sequences database. Queries searched with the blastn program are filtered with DUST (Hancock & Armstrong, 10 *Comput Appl Biosci* 10, 67-70 (1994.) Other programs use SEG. In addition, a manual alignment can be performed. Proteins with even greater similarity will show increasing percentage identities when assessed by this method, such as at least about 75%, 80%, 85%, 90%, 95%, 98%, or 99% sequence identity to a protein.

When aligning short peptides (fewer than around 30 amino acids), the alignment is be 15 performed using the Blast 2 sequences function, employing the PAM30 matrix set to default parameters (open gap 9, extension gap 1 penalties). Proteins with even greater similarity to the reference sequence will show increasing percentage identities when assessed by this method, such as at least about 60%, 70%, 75%, 80%, 85%, 90%, 95%, 98%, or 99% sequence identity to a protein. When less than the entire sequence is being compared for sequence identity, 20 homologs will typically possess at least 75% sequence identity over short windows of 10-20 amino acids, and can possess sequence identities of at least 85%, 90%, 95% or 98% depending on their identity to the reference sequence. Methods for determining sequence identity over such short windows are described at the NCBI web site.

One indication that two nucleic acid molecules are closely related is that the two 25 molecules hybridize to each other under stringent conditions, as described above. Nucleic acid sequences that do not show a high degree of identity may nevertheless encode identical or similar (conserved) amino acid sequences, due to the degeneracy of the genetic code. Changes in a nucleic acid sequence can be made using this degeneracy to produce multiple nucleic acid

molecules that all encode substantially the same protein. Such homologous nucleic acid sequences can, for example, possess at least about 50%, 60%, 70%, 80%, 90%, 95%, 98%, or 99% sequence identity to a nucleic acid that encodes a protein.

5      Subject: As used herein, the term “subject” refers to a living multi-cellular vertebrate organisms, a category that includes both human and non-human mammals.

Treatment: As used herein, the term “treatment” refers to an intervention that ameliorates a sign or symptom of a disease or pathological condition. As used herein, the terms “treatment”, “treat” and “treating,” with reference to a disease, pathological condition or symptom, also refers to any observable beneficial effect of the treatment. The beneficial effect 10 can be evidenced, for example, by a delayed onset of clinical symptoms of the disease in a susceptible subject, a reduction in severity of some or all clinical symptoms of the disease, a slower progression of the disease, a reduction in the number of relapses of the disease, an improvement in the overall health or well-being of the subject, or by other parameters well known in the art that are specific to the particular disease. A prophylactic treatment is a 15 treatment administered to a subject who does not exhibit signs of a disease or exhibits only early signs, for the purpose of decreasing the risk of developing pathology. A therapeutic treatment is a treatment administered to a subject after signs and symptoms of the disease have developed.

II.      Recombinant CMV Vectors and Methods of Using the Same

20      Disclosed herein are human or animal cytomegalovirus (CMV) vectors capable of repeatedly infecting an organism. The CMV vectors comprise a nucleic acid sequence that encodes a heterologous protein antigen and lack expression of active UL128 and UL130 proteins, or orthologs thereof (homologous genes of CMVs that infect other species). The heterologous antigen can be any antigen, including a pathogen-specific antigen derived from, 25 for example, HIV, SIV, herpes simplex virus, hepatitis B or C virus, papillomavirus, Plasmodium parasites, and *Mycobacterium tuberculosis*. In still further examples, the heterologous antigen can be a tumor antigen including, for example, a tumor antigen related to acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic

leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors. In some examples the CMV vectors also lack an active UL40 protein (or an ortholog thereof) and/or an active US28 protein (or an ortholog thereof). In still further examples, the heterologous antigen can be a tissue-specific antigen or a host self-antigen including, for example, an antigen derived from the variable region of a T cell receptor, an antigen derived from the variable region of a B cell receptor, a sperm antigen, or an egg antigen.

In some examples, the vector does not express an active UL128, UL130, US28 or UL40 protein due to the presence of a mutation in the nucleic acid sequence encoding UL128, UL130, or UL40 (or orthologs thereof). The mutation may be any mutation that results in a lack of expression of active UL128, UL130, US28 or UL40 protein. Such mutations can include point mutations, frameshift mutations, deletions of less than all of the sequence that encodes the protein (truncation mutations), or deletions of all of the nucleic acid sequence that encodes the protein, or any other mutations.

In further examples, the vector does not express an active UL128, UL130, US28 or UL40 protein (or an ortholog thereof) due to the presence of a nucleic acid sequence in the vector that comprises an antisense or RNAi sequence (siRNA or miRNA) that inhibits the expression of the UL128, UL130, or UL40 protein (or an ortholog thereof). Mutations and/or antisense and/or RNAi can be used in any combination to generate a CMV vector lacking active UL128, UL130, US28 or UL40 (or an ortholog thereof).

The CMV vector can comprise additional inactivating mutations known in the art to provide different immune responses, such as an inactivating US11 mutation or an inactivating UL82 (pp71) mutation, or any other inactivating mutation. The CMV vector may also comprise at least one inactivating mutations in one or more viral genes encoding viral proteins known in the art to be essential or augmenting for viral dissemination (*i.e.* spread from cell to cell) *in vivo*. Such inactivating mutations may result from point mutations, frameshift mutations, truncation mutations, or a deletion of all of the nucleic acid sequence encoding the viral protein.

Inactivating mutations include any mutation in a viral gene which finally leads to a reduced function or to a complete loss of function of the viral protein.

Also disclosed herein are methods of generating CD8<sup>+</sup> T cell responses to heterologous antigens in a subject. The methods involve administering an effective amount of a CMV vector to the subject. In one embodiment, the CMV vector is characterized by having a nucleic acid sequence that encodes at least one heterologous antigen and a nucleic acid sequence that does not express an active UL128 protein (or an ortholog thereof), does not express an active UL130 protein (or an ortholog thereof), and expresses at least one active UL40 protein and at least one active US28 protein. The at least one active UL40 protein and the at least one active US28 protein can be orthologs or homologs of UL40 and US28. The CD8<sup>+</sup> T cell response elicited by this vector is characterized by having at least 10% of the CD8<sup>+</sup> T cells directed against epitopes presented by MHC-E. In further examples, at least 20%, at least 30%, at least 40%, at least 50%, at least 60%, at least 75%, at least 90%, at least 95% or at least 95% of the CD8<sup>+</sup> T cells are restricted by MHC-E. In some embodiments, the CMV vector expresses two to five active US28 proteins or orthologs or homologs thereof. In some embodiments, the method further comprises identifying a CD8<sup>+</sup> T cell receptor from the CD8<sup>+</sup> T cells elicited by the CMV vector, wherein the CD8<sup>+</sup> T cell receptor recognizes a MHC-E/heterologous antigen-derived peptide complex. In some embodiments, the CD8<sup>+</sup> T cell receptor is identified by RNA or DNA sequencing. In another embodiment, the CMV vector is characterized by having a nucleic acid sequence that does not express active UL128, UL130, and UL40 proteins, and this vector can be used to elicit CD8<sup>+</sup> T cells recognizing MC-II supertopes either together with HLA-E-restricted CD8<sup>+</sup> T cells (elicited by one or more additional vectors containing intact US28 and UL40) or without HLA-E restricted CD8<sup>+</sup> T cells (elicited by one or more additional vectors lacking a functional UL40 or US28 protein). In another embodiment, the CMV vector is characterized by having a nucleic acid sequence that does not express active UL128, UL130, and US28 proteins, and this vector can be used to elicit CD8<sup>+</sup> T cells recognizing MC-II supertopes either together with HLA-E-restricted CD8<sup>+</sup> T cells (elicited by one or more additional vectors containing intact US28 and UL40) or without HLA-E restricted CD8<sup>+</sup> T cells (elicited by one or more additional

vectors lacking a functional UL40 or US28 proteins). In another embodiment, the CMV vector is characterized by having a nucleic acid sequence that does not express active UL128, UL130, US28, and UL40 proteins, and this vector can be used to elicit CD8<sup>+</sup> T cells recognizing MC-II supertopes either together with HLA-E-restricted CD8<sup>+</sup> T cells (elicited by one or more 5 additional vectors containing intact US28 and UL40) or without HLA-E restricted CD8<sup>+</sup> T cells (elicited by one or more additional vectors lacking a functional UL40 or US28 proteins).

Also disclosed herein is a method of generating CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes. This method involves administering to a first subject (or animal) a CMV vector that encodes at least one heterologous antigen and an active UL40 protein, or a 10 homolog or ortholog thereof, to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes. The CMV vector does not encode active UL128 and UL130 proteins, or orthologs thereof, and the heterologous antigen can be any antigen, including a pathogen-specific antigen, a tumor antigen, a tissue-specific antigen, or a host self-antigen. In some 15 embodiments, the host self-antigen is an antigen derived from the variable region of a T cell receptor or a B cell receptor. This method further comprises: identifying a first CD8<sup>+</sup> T cell receptor from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> T cell receptor recognizes a MHC-E/heterologous antigen-derived peptide complex; and transfecting the one or more CD8<sup>+</sup> T cells with an expression vector, wherein the expression vector comprises a nucleic acid sequence 20 encoding a second CD8<sup>+</sup> T cell receptor and a promoter operably linked to the nucleic acid sequence encoding the T cell receptor, wherein the second CD8<sup>+</sup> T cell receptor comprises CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR, thereby creating one or more transfected CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes. The one or more CD8<sup>+</sup> T cells for transfection with the expression vector may be isolated from the first subject or a second subject. In some 25 embodiments, this method may further comprise administering the one or more transfected T cells to the first or second subject to treat a disease such as cancer, a pathogenic infection, or an autoimmune disease or disorder. In some embodiments, this method may further comprise administering the one or more transfected T cells to the first or second subject to induce an autoimmune response to a tissue-specific antigen or a host self-antigen.

Also disclosed is a transfected CD8<sup>+</sup> T cell that recognizes MHC-E-peptide complexes prepared by a process comprising the steps of: (1) administering to a first subject a CMV vector in an amount effective to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes, wherein the CMV vector comprises a first nucleic acid sequence encoding at least 5 one heterologous antigen and further comprises a second nucleic acid sequence encoding an active UL40 protein, and wherein the CMV vector does not express active UL128 and UL130 proteins, or orthologs thereof; (2) identifying a first CD8<sup>+</sup> T cell receptor from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> T cell receptor recognizes a MHC-E/heterologous antigen-derived peptide complex; (3) isolating one or more CD8<sup>+</sup> T cells from the first subject or a second 10 subject; and (4) transfecting the one or more CD8<sup>+</sup> T cells isolated from the first or second subject with an expression vector, wherein the expression vector comprises a nucleic acid sequence encoding a second CD8<sup>+</sup> T cell receptor and a promoter operably linked to the nucleic acid sequence encoding the second T cell receptor, wherein the second CD8<sup>+</sup> T cell receptor comprises CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> T cell receptor, thereby creating a transfected T 15 cell that recognizes MHC-E-peptide complexes. The heterologous antigen can be any antigen, including a pathogen-specific antigen, tissue-specific antigen, a host self-antigen, or a tumor antigen. In some embodiments, the first CD8<sup>+</sup> T cell receptor is identified by RNA or DNA sequencing. Also disclosed herein are methods of treating a disease, such as cancer, a pathogenic infection, or an autoimmune disease or disorder, the method comprising 20 administering the transfected T cell that recognizes MHC-E-peptide complexes to the first or second subject. Also disclosed herein are methods of inducing an autoimmune response to a host self-antigen or tissue-specific antigen, the method comprising administering the transfected T cell that recognizes MHC-E-peptide complexes to the first or second subject.

In further examples, the methods involve administering an effective amount of a second 25 CMV vector, the second CMV vector comprising a nucleic acid sequence that encodes a second heterologous antigen to the subject. This second vector can be any CMV vector, including a CMV vector with an active UL128 protein (or a homolog or ortholog thereof) and/or an active UL130 protein (or a homolog or ortholog thereof). The second CMV vector can comprise a

second heterologous antigen. The second heterologous antigen can be any heterologous antigen, including a heterologous antigen identical to the heterologous antigen in the first CMV vector. The second CMV vector can be administered at any time relative to the administration of the first CMV vector including before, concurrently with, or after the administration of the 5 first CMV vector. This includes administration of the second vector any number of months, days, hours, minutes or seconds before or after the first vector.

Human or animal CMV vectors, when used as expression vectors, are innately non-pathogenic in the selected subjects such as humans. In some embodiments, the CMV vectors have been modified to render them non-pathogenic (incapable of host-to-host spread) in the 10 selected subjects.

A heterologous antigen can be any protein or fragment thereof that is not derived from CMV, including cancer antigens, pathogen-specific antigens, model antigens (such as lysozyme, keyhole-limpet hemocyanin (KLH), or ovalbumin), tissue-specific antigens, host self-antigens, or any other antigen.

15 Pathogen-specific antigens can be derived from any human or animal pathogen. The pathogen may be a viral pathogen, a bacterial pathogen, or a parasite, and the antigen may be a protein derived from the viral pathogen, bacterial pathogen, or parasite. The parasite may be an organism or disease caused by an organism. For example, the parasite may be a protozoan organism, a protozoan organism causing a disease, a helminth organism or worm, a disease 20 caused by a helminth organism, an ectoparasite, or a disease caused by an ectoparasite.

The antigen can be a protein derived from cancer. The cancers include, but are not limited to, acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian 25 cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

The antigen can be a host self-antigen. Host self-antigens include, but are not limited to, antigens derived from the variable region of a T cell receptor or from the variable region of a B

cell receptor. The antigen can be a tissue-specific antigen. Tissue-specific antigens include, but are not limited to, sperm antigens or egg antigens.

The CMV vectors disclosed herein can be used as an immunogenic, immunological or vaccine composition containing the recombinant CMV virus or vector, and a pharmaceutically acceptable carrier or diluent. An immunological composition containing the recombinant CMV virus or vector (or an expression product thereof) elicits an immunological response--local or systemic. The response can, but need not be, protective. An immunogenic composition containing the recombinant CMV virus or vector (or an expression product thereof) likewise elicits a local or systemic immunological response which can, but need not be, protective. A vaccine composition elicits a local or systemic protective response. Accordingly, the terms "immunological composition" and "immunogenic composition" include a "vaccine composition" (as the two former terms can be protective compositions).

The CMV vectors disclosed herein can be used in methods of inducing an immunological response in a subject comprising administering to the subject an immunogenic, immunological or vaccine composition comprising the recombinant CMV virus or vector and a pharmaceutically acceptable carrier or diluent. For purposes of this specification, the term "subject" includes all animals, including non-human primates and humans, while "animal" includes all vertebrate species, except humans; and "vertebrate" includes all vertebrates, including animals (as "animal" is used herein) and humans. And, of course, a subset of "animal" is "mammal", which for purposes of this specification includes all mammals, except humans.

The CMV vectors disclosed herein can be used in therapeutic compositions containing the recombinant CMV virus or vector and a pharmaceutically acceptable carrier or diluent. The CMV vectors disclosed herein can be prepared by inserting DNA comprising a sequence that encodes the heterologous antigen into an essential or non-essential region of the CMV genome. The method can further comprise deleting one or more regions from the CMV genome. The method can comprise *in vivo* recombination. Thus, the method can comprise transfecting a cell with CMV DNA in a cell-compatible medium in the presence of donor DNA comprising the heterologous DNA flanked by DNA sequences homologous with portions of the CMV genome,

whereby the heterologous DNA is introduced into the genome of the CMV, and optionally then recovering CMV modified by the in vivo recombination. The method can also comprise cleaving CMV DNA to obtain cleaved CMV DNA, ligating the heterologous DNA to the cleaved CMV DNA to obtain hybrid CMV-heterologous DNA, transfecting a cell with the hybrid CMV-heterologous DNA, and optionally then recovering CMV modified by the presence of the heterologous DNA. Since in vivo recombination is comprehended, the method accordingly also provides a plasmid comprising donor DNA not naturally occurring in CMV encoding a polypeptide foreign to CMV, the donor DNA is within a segment of CMV DNA that would otherwise be co-linear with an essential or non-essential region of the CMV genome such that DNA from an essential or nonessential region of CMV is flanking the donor DNA. The heterologous DNA can be inserted into CMV to generate the recombinant CMV in any orientation that yields stable integration of that DNA, and expression thereof, when desired.

The DNA encoding the heterologous antigen in the recombinant CMV vector can also include a promoter. The promoter can be from any source such as a herpes virus, including an endogenous CMV promoter, such as a HCMV, RhCMV, murine CMV (MCMV), or other CMV promoter. The promoter can also be a non-viral promoter such as the EF1 $\alpha$  promoter. The promoter can be a truncated transcriptionally active promoter which comprises a region transactivated with a transactivating protein provided by the virus and the minimal promoter region of the full-length promoter from which the truncated transcriptionally active promoter is derived. The promoter can be composed of an association of DNA sequences corresponding to the minimal promoter and upstream regulatory sequences. A minimal promoter is composed of the CAP site plus TATA box (minimum sequences for basic level of transcription; unregulated level of transcription); “upstream regulatory sequences” are composed of the upstream element(s) and enhancer sequence(s). Further, the term “truncated” indicates that the full-length promoter is not completely present, *i.e.*, that some portion of the full-length promoter has been removed. And, the truncated promoter can be derived from a herpesvirus such as MCMV or HCMV, e.g., HCMV-IE or MCMV-IE. There can be up to a 40% and even up to a 90% reduction in size, from a full-length promoter, based upon base pairs. The promoter can also be

a modified non-viral promoter. As to HCMV promoters, reference is made to U.S. Pat. Nos. 5,168,062 and 5,385,839. As to transfecting cells with plasmid DNA for expression therefrom, reference is made to Felgner *et al.* (1994), *J. Biol. Chem.* 269, 2550-2561. And, as to direct injection of plasmid DNA as a simple and effective method of vaccination against a variety of 5 infectious diseases reference is made to *Science*, 259:1745-49, 1993. It is therefore within the scope of this invention that the vector can be used by the direct injection of vector DNA.

Also disclosed is an expression cassette that can be inserted into a recombinant virus or plasmid comprising the truncated transcriptionally active promoter. The expression cassette can further include a functional truncated polyadenylation signal; for instance an SV40 10 polyadenylation signal which is truncated, yet functional. Considering that nature provided a larger signal, it is indeed surprising that a truncated polyadenylation signal is functional. A truncated polyadenylation signal addresses the insert size limit problems of recombinant viruses such as CMV. The expression cassette can also include heterologous DNA with respect to the virus or system into which it is inserted; and that DNA can be heterologous DNA as 15 described herein.

As to antigens for use in vaccine or immunological compositions, see also Stedman's Medical Dictionary (24th edition, 1982, *e.g.*, definition of vaccine (for a list of antigens used in vaccine formulations); such antigens or epitopes of interest from those antigens can be used. As to heterologous antigens, one skilled in the art can select a heterologous antigen and the 20 coding DNA therefor from the knowledge of the amino acid and corresponding DNA sequences of the peptide or polypeptide, as well as from the nature of particular amino acids (*e.g.*, size, charge, *etc.*) and the codon dictionary, without undue experimentation.

One method to determine T epitopes of an antigen involves epitope mapping. Overlapping peptides of the heterologous antigen are generated by oligo-peptide synthesis. 25 The individual peptides are then tested for their ability to bind to an antibody elicited by the native protein or to induce T cell or B cell activation. This approach has been particularly useful in mapping T-cell epitopes since the T cell recognizes short linear peptides complexed with MHC molecules.

An immune response to a heterologous antigen is generated, in general, as follows: T cells recognize proteins only when the protein has been cleaved into smaller peptides and is presented in a complex called the “major histocompatibility complex (MHC)” located on another cell’s surface. There are two classes of MHC complexes--class I and class II, and each 5 class is made up of many different alleles. Different species, and individual subjects have different types of MHC complex alleles; they are said to have a different MHC type. One type of MHC class I molecule is called MHC-E (HLA-E in humans, Mamu-E in RM, Qa-1b in mice).

It is noted that the DNA comprising the sequence encoding the heterologous antigen can itself include a promoter for driving expression in the CMV vector or the DNA can be limited 10 to the coding DNA of the heterologous antigen. This construct can be placed in such an orientation relative to an endogenous CMV promoter that it is operably linked to the promoter and is thereby expressed. Further, multiple copies of DNA encoding the heterologous antigen or use of a strong or early promoter or early and late promoter, or any combination thereof, can be done so as to amplify or increase expression. Thus, the DNA encoding the heterologous 15 antigen can be suitably positioned with respect to a CMV-endogenous promoter, or those promoters can be translocated to be inserted at another location together with the DNA encoding the heterologous antigen. Nucleic acids encoding more than one heterologous antigen can be packaged in the CMV vector.

Further disclosed are pharmaceutical and other compositions containing the disclosed 20 CMV vectors. Such pharmaceutical and other compositions can be formulated so as to be used in any administration procedure known in the art. Such pharmaceutical compositions can be via a parenteral route (intradermal, intramuscular, subcutaneous, intravenous, or others). The administration can also be via a mucosal route, e.g., oral, nasal, genital, etc.

The disclosed pharmaceutical compositions can be prepared in accordance with 25 standard techniques well known to those skilled in the pharmaceutical arts. Such compositions can be administered in dosages and by techniques well known to those skilled in the medical arts taking into consideration such factors as the breed or species, age, sex, weight, and condition of the particular patient, and the route of administration. The compositions can be

administered alone, or can be co-administered or sequentially administered with other CMV vectors or with other immunological, antigenic or vaccine or therapeutic compositions. Such other compositions can include purified native antigens or epitopes or antigens or epitopes from the expression by a recombinant CMV or another vector system; and are administered 5 taking into account the aforementioned factors.

Examples of compositions include liquid preparations for orifice, *e.g.*, oral, nasal, anal, genital, *e.g.*, vaginal, *etc.*, administration such as suspensions, syrups or elixirs; and, preparations for parenteral, subcutaneous, intradermal, intramuscular or intravenous administration (*e.g.*, injectable administration) such as sterile suspensions or emulsions. In such 10 compositions the recombinant may be in admixture with a suitable carrier, diluent, or excipient such as sterile water, physiological saline, glucose or the like.

Antigenic, immunological or vaccine compositions typically can contain an adjuvant and an amount of the CMV vector or expression product to elicit the desired response. In human 15 applications, alum (aluminum phosphate or aluminum hydroxide) is a typical adjuvant. Saponin and its purified component Quil A, Freund's complete adjuvant and other adjuvants used in research and veterinary applications have toxicities which limit their potential use in human vaccines. Chemically defined preparations such as muramyl dipeptide, monophosphoryl lipid A, phospholipid conjugates such as those described by Goodman-Snitkoff *et al.* *J. Immunol.* 147:410-415 (1991), encapsulation of the protein within a proteoliposome as described by 20 Miller *et al.*, *J. Exp. Med.* 176:1739-1744 (1992), and encapsulation of the protein in lipid vesicles such as Novasome lipid vesicles (Micro Vescular Systems, Inc., Nashua, N.H.) can also be used.

The composition may be packaged in a single dosage form for immunization by parenteral (*i.e.*, intramuscular, intradermal or subcutaneous) administration or orifice 25 administration, *e.g.*, perlingual (*e.g.*, oral), intragastric, mucosal including intraoral, intraanal, intravaginal, and the like administration. And again, the effective dosage and route of administration are determined by the nature of the composition, by the nature of the expression product, by expression level if recombinant CMV is directly used, and by known

factors, such as breed or species, age, sex, weight, condition and nature of host, as well as LD<sub>50</sub> and other screening procedures which are known and do not require undue experimentation. Dosages of expressed product can range from a few to a few hundred micrograms, *e.g.*, 5 to 500 µg. The CMV vector can be administered in any suitable amount to achieve expression at 5 these dosage levels. In nonlimiting examples: CMV vectors can be administered in an amount of at least 10<sup>2</sup> pfu; thus, CMV vectors can be administered in at least this amount; or in a range from about 10<sup>2</sup> pfu to about 10<sup>7</sup> pfu. Other suitable carriers or diluents can be water or a buffered saline, with or without a preservative. The CMV vector can be lyophilized for resuspension at the time of administration or can be in solution. "About" can mean within 1%, 10 5%, 10% or 20% of a defined value.

It should be understood that the proteins and the nucleic acids encoding them of the present invention can differ from the exact sequences illustrated and described herein. Thus, the invention contemplates deletions, additions, truncations, and substitutions to the sequences shown, so long as the sequences function in accordance with the methods of the 15 invention. In this regard, substitutions will generally be conservative in nature, *i.e.*, those substitutions that take place within a family of amino acids. For example, amino acids are generally divided into four families: (1) acidic--aspartate and glutamate; (2) basic--lysine, arginine, histidine; (3) non-polar--alanine, valine, leucine, isoleucine, proline, phenylalanine, methionine, tryptophan; and (4) uncharged polar--glycine, asparagine, glutamine, cysteine, 20 serine threonine, and tyrosine. Phenylalanine, tryptophan, and tyrosine are sometimes classified as aromatic amino acids. It is reasonably predictable that an isolated replacement of leucine with isoleucine or valine, or vice versa; an aspartate with a glutamate or vice versa; a threonine with a serine or vice versa; or a similar conservative replacement of an amino acid with a structurally related amino acid, will not have a major effect on the biological activity. 25 Proteins having substantially the same amino acid sequence as the proteins described but possessing minor amino acid substitutions that do not substantially affect the immunogenicity of the protein are, therefore, within the scope of the disclosure.

The nucleotide sequences of the present invention can be codon optimized, for example the codons can be optimized for use in human cells. For example, any viral or bacterial sequence can be so altered. Many viruses, including HIV and other lentiviruses, use a large number of rare codons and, by altering these codons to correspond to codons commonly used 5 in the desired subject, enhanced expression of the heterologous antigen can be achieved as described in Andre *et al.*, *J. Virol.* 72:1497-1503, 1998.

Nucleotide sequences encoding functionally and/or antigenically equivalent variants and derivatives of the CMV vectors and the glycoproteins included therein are contemplated. These functionally equivalent variants, derivatives, and fragments display the ability to retain 10 antigenic activity. For instance, changes in a DNA sequence that do not change the encoded amino acid sequence, as well as those that result in conservative substitutions of amino acid residues, one or a few amino acid deletions or additions, and substitution of amino acid residues by amino acid analogs are those which will not significantly affect properties of the encoded polypeptide. Conservative amino acid substitutions are glycine/alanine; 15 valine/isoleucine/leucine; asparagine/glutamine; aspartic acid/glutamic acid; serine/threonine/methionine; lysine/arginine; and phenylalanine/tyrosine/tryptophan. In one embodiment, the variants have at least 50%, at least 55%, at least 60%, at least 65%, at least 70%, at least 75%, at least 80%, at least 85%, at least 86%, at least 87%, at least 88%, at least 89%, at least 90%, at least 91%, at least 92%, at least 93%, at least 94%, at least 95%, at least 20 96%, at least 97%, at least 98% or at least 99% homology or identity to the antigen, epitope, immunogen, peptide or polypeptide of interest.

Sequence identity or homology is determined by comparing the sequences when aligned so as to maximize overlap and identity while minimizing sequence gaps. In particular, sequence identity may be determined using any of a number of mathematical algorithms. A 25 nonlimiting example of a mathematical algorithm used for comparison of two sequences is the algorithm of Karlin & Altschul, *Proc. Natl. Acad. Sci. USA* 1990; 87: 2264-2268, modified as in Karlin & Altschul, *Proc. Natl. Acad. Sci. USA* 1993;90: 5873-5877.

Another example of a mathematical algorithm used for comparison of sequences is the algorithm of Myers & Miller, CABIOS 1988;4: 11-17. Such an algorithm is incorporated into the ALIGN program (version 2.0) which is part of the GCG sequence alignment software package. When utilizing the ALIGN program for comparing amino acid sequences, a PAM120 weight residue table, a gap length penalty of 12, and a gap penalty of 4 can be used. Yet another useful algorithm for identifying regions of local sequence similarity and alignment is the FASTA algorithm as described in Pearson & Lipman, Proc. Natl. Acad. Sci. USA 1988; 85: 2444-2448.

Advantageous for use according to the present invention is the WU-BLAST (Washington University BLAST) version 2.0 software. WU-BLAST version 2.0 executable programs for several 10 UNIX platforms can be downloaded from <ftp://blast.wustl.edu/blast/executables>. This program is based on WU-BLAST version 1.4, which in turn is based on the public domain NCBI-BLAST version 1.4 (Altschul & Gish, 1996, Local alignment statistics, Doolittle ed., Methods in Enzymology 266: 460-480; Altschul *et al.* (1990), *supra*; Gish & States, 1993; Nature Genetics 3: 266-272; Karlin & Altschul, Proc. Natl. Acad. Sci. USA 90: 5873-5877 (1993); all of which are 15 incorporated by reference herein).

The various recombinant nucleotide sequences and antibodies and/or antigens of the invention are made using standard recombinant DNA and cloning techniques. Such techniques are well known to those of skill in the art. See for example, "Molecular Cloning: A Laboratory Manual", second edition (Sambrook *et al.* 1989).

20 The nucleotide sequences of the present invention may be inserted into "vectors." The term "vector" is widely used and understood by those of skill in the art, and as used herein the term "vector" is used consistent with its meaning to those of skill in the art. For example, the term "vector" is commonly used by those skilled in the art to refer to a vehicle that allows or facilitates the transfer of nucleic acid molecules from one environment to another or that 25 allows or facilitates the manipulation of a nucleic acid molecule.

Any vector that allows expression of the viruses of the present invention can be used in accordance with the present invention. In certain embodiments, the disclosed viruses can be used *in vitro* (such as using cell-free expression systems) and/or in cultured cells grown *in vitro*

in order to produce the encoded heterologous antigen (e.g., pathogen-specific antigens, HIV antigens, tumor antigens, and antibodies) which may then be used for various applications such as in the production of proteinaceous vaccines. For such applications, any vector that allows expression of the virus in vitro and/or in cultured cells may be used.

5 For the disclosed heterologous antigens to be expressed, the protein coding sequence of the heterologous antigen should be “operably linked” to regulatory or nucleic acid control sequences that direct transcription and translation of the protein. As used herein, a coding sequence and a nucleic acid control sequence or promoter are said to be “operably linked” when they are covalently linked in such a way as to place the expression or transcription and/or  
10 translation of the coding sequence under the influence or control of the nucleic acid control sequence. The “nucleic acid control sequence” can be any nucleic acid element, such as, but not limited to promoters, enhancers, IRES, introns, and other elements described herein that direct the expression of a nucleic acid sequence or coding sequence that is operably linked thereto. The term “promoter” will be used herein to refer to a group of transcriptional control modules  
15 that are clustered around the initiation site for RNA polymerase II and that when operationally linked to the protein coding sequences of the invention lead to the expression of the encoded protein. The expression of the transgenes of the present invention can be under the control of a constitutive promoter or of an inducible promoter, which initiates transcription only when exposed to some particular external stimulus, such as, without limitation, antibiotics such as  
20 tetracycline, hormones such as ecdysone, or heavy metals. The promoter can also be specific to a particular cell-type, tissue or organ. Many suitable promoters and enhancers are known in the art, and any such suitable promoter or enhancer may be used for expression of the transgenes of the invention. For example, suitable promoters and/or enhancers can be selected from the Eukaryotic Promoter Database (EPDB).

25 The disclosure relates to a recombinant viral vector expressing a heterologous protein antigen. In some examples, the antigen is an HIV antigen. Advantageously, the HIV antigens include, but are not limited to, the HIV antigens discussed in U.S. Pub. Nos. 2008/0199493 A1 and 2013/0136768 A1, both of which are incorporated by reference herein. HIV, nucleic acid or

immunogenic fragments thereof, may be utilized as an HIV protein antigen. For example, the HIV nucleotides discussed in U.S. Pub. Nos. 2008/0199493 A1 and 2013/0136768 A1 can be used. Any antigen recognized by an HIV antibody can be used as an HIV protein antigen. The protein antigen can also be an SIV antigen. For example, the SIV antigens discussed in U.S. Pub. Nos. 2008/0199493 A1 and 2013/0136768 A1 can be used.

5 The vectors used in accordance with the present invention can contain a suitable gene regulatory region, such as a promoter or enhancer, such that the antigens of the invention can be expressed.

Expressing antigens of the invention in vivo in a subject, for example in order to 10 generate an immune response against an HIV-1 antigen and/or protective immunity against HIV-1, expression vectors that are suitable for expression on that subject, and that are safe for use in vivo, should be chosen. In some examples, it may be desired to express the antibodies and/or antigens in a laboratory animal, such as for pre-clinical testing of the HIV-1 15 immunogenic compositions and vaccines of the invention. In other examples, one can express the antigens in human subjects, such as in clinical trials and for actual clinical use of the immunogenic compositions and vaccine of the invention.

The CMV vectors described herein can contain mutations that can prevent host to host 20 spread, thereby rendering the virus unable to infect immunocompromised or other subjects that could face complications as a result of CMV infection. The CMV vectors described herein can also contain mutations that result in the presentation of immunodominant and non- 25 immunodominant epitopes as well as non-canonical MHC restriction. However, mutations in the CMV vectors described herein do not affect the ability of the vector to re-infect a subject that has been previously infected with CMV. Such CMV mutations are described in, for example, US Patent Publications 2013-0136768; 2010-0142823; 2014-0141038; and PCT application publication WO 2014/138209, all of which are incorporated by reference herein.

The disclosed CMV vectors can be administered in vivo, for example where the aim is to produce an immunogenic response, including a CD8<sup>+</sup> immune response, including an immune response characterized by a high percentage of the CD8<sup>+</sup> T cell response being restricted by

MHC Class II and/or MHC-E (or a homolog or ortholog thereof). For example, in some examples it may be desired to use the disclosed CMV vectors in a laboratory animal, such as rhesus macaques for pre-clinical testing of immunogenic compositions and vaccines using RhCMV. In other examples, it will be desirable to use the disclosed CMV vectors in human subjects, such as 5 in clinical trials and for actual clinical use of the immunogenic compositions using HCMV.

For such *in vivo* applications the disclosed CMV vectors are administered as a component of an immunogenic composition further comprising a pharmaceutically acceptable carrier. The immunogenic compositions of the invention are useful to stimulate an immune response against the heterologous antigen, including a pathogen-specific antigen and may be 10 used as one or more components of a prophylactic or therapeutic vaccine against HIV-1 for the prevention, amelioration or treatment of AIDS. The nucleic acids and vectors of the invention are particularly useful for providing genetic vaccines, i.e. vaccines for delivering the nucleic acids encoding the antigens of the invention to a subject, such as a human, such that the antigens are then expressed in the subject to elicit an immune response.

15 Immunization schedules (or regimens) are well known for animals (including humans) and can be readily determined for the particular subject and immunogenic composition. Hence, the immunogens can be administered one or more times to the subject. Preferably, there is a set time interval between separate administrations of the immunogenic composition. While this interval varies for every subject, typically it ranges from 10 days to several weeks, and is 20 often 2, 4, 6 or 8 weeks. For humans, the interval is typically from 2 to 6 weeks. In a particularly advantageous embodiment of the present invention, the interval is longer, advantageously about 10 weeks, 12 weeks, 14 weeks, 16 weeks, 18 weeks, 20 weeks, 22 weeks, 24 weeks, 26 weeks, 28 weeks, 30 weeks, 32 weeks, 34 weeks, 36 weeks, 38 weeks, 40 weeks, 42 weeks, 44 weeks, 46 weeks, 48 weeks, 50 weeks, 52 weeks, 54 weeks, 56 weeks, 58 weeks, 60 weeks, 62 weeks, 64 weeks, 66 weeks, 68 weeks or 70 weeks. The immunization regimes typically have 25 from 1 to 6 administrations of the immunogenic composition, but may have as few as one or two or four. The methods of inducing an immune response can also include administration of an adjuvant with the immunogens. In some instances, annual, biannual or other long interval

(5-10 years) booster immunization can supplement the initial immunization protocol. The present methods also include a variety of prime-boost regimens. In these methods, one or more priming immunizations are followed by one or more boosting immunizations. The actual immunogenic composition can be the same or different for each immunization and the type of 5 immunogenic composition (e.g., containing protein or expression vector), the route, and formulation of the immunogens can also be varied. For example, if an expression vector is used for the priming and boosting steps, it can either be of the same or different type (e.g., DNA or bacterial or viral expression vector). One useful prime-boost regimen provides for two priming immunizations, four weeks apart, followed by two boosting immunizations at 4 and 8 weeks 10 after the last priming immunization. It should also be readily apparent to one of skill in the art that there are several permutations and combinations that are encompassed using the DNA, bacterial and viral expression vectors of the invention to provide priming and boosting regimens. CMV vectors can be used repeatedly while expressing different antigens derived from different pathogens.

15

## EXAMPLES

The following examples are illustrative of disclosed methods. In light of this disclosure, those of skill in the art will recognize that variations of these examples and other examples of the disclosed method would be possible without undue experimentation.

20 ***Example 1 - Induction of MHC-E Restricted CD8<sup>+</sup> T cells by Rhesus Cytomegalovirus Vaccine Vectors lacking UL128 and UL130 but containing UL40 and US28 genes.***

It has been previously demonstrated that RhCMV/SIV vectors drive an alternate SIV-specific CD8<sup>+</sup> T cell response that is completely distinct from the canonical responses engendered by conventional vaccine modalities and even from SIV infection itself (Hansen, S.G. 25 et al., *Science* 340, 1237874 (2013), incorporated by reference herein).

While it had been established that the RhCMV/SIV-induced CD8<sup>+</sup> T cell response was dominated by the existence of a population of MHC-II restricted CD8<sup>+</sup> T cells, the molecule

restricting the remaining CD8<sup>+</sup> T cells - those which were inhibited by the pan-MHC-I blocking antibody W6/32 - remained unknown.

In particular, administration of 68-1 RhCMV/gag vector elicited MHC-I-restricted CD8<sup>+</sup> T cells targeting the SIVmac239 Gag<sub>273-287</sub> (Gag 15-mer #69) and Gag<sub>477-491</sub> (Gag 15-mer #120) 5 "supertopes" in every RhCMV/gag vector-vaccinated macaque regardless of MHC-Ia expression. This implied the involvement of a functionally conserved "non-classical" (i.e. non-polymorphic) MHC-Ib molecule. Described herein is the identification of the restricting MHC-I allele of these CD8<sup>+</sup> T cells. A panel of MHC-I transfectants expressing either a single "classical" (i.e. polymorphic) MHC-Ia or non-classical MHC-Ib allele was developed from a cohort of four strain 10 68-1 RhCMV/gag-vaccinated macaques mounting strong RhCMV/gag-induced CD8<sup>+</sup> T cell responses (Fig. 7). Using a previously described MHC restriction assay (Hansen *et al. Science* (2013), *supra*), it was established that CD8<sup>+</sup> T cells targeting the Gag<sub>273-287</sub> and Gag<sub>477-491</sub> supertopes recognize these epitopes in the context of MHC-E (Fig. 1A).

MHC-E (HLA-E in humans, Mamu-E in rhesus macaques, and Qa-1<sup>b</sup> in mice) is a highly 15 monomorphic, non-classical MHC-Ib molecule expressed in nearly every nucleated cell in the body, with particularly high expression in immune system cells (N. Lee *et al., Proc Natl Acad Sci USA* 95, 5199 (1998) and S. Coupel *et al., Blood* 109, 2806 (2007), both of which are incorporated by reference herein). In contrast to the over 8,500 HLA class I alleles currently 20 identified (J. Robinson *et al., Nucleic Acids Res* 41, D1222 (2013); incorporated by reference herein) only two HLA-E molecules exist, which vary at one amino acid located outside the peptide-binding groove, and are thus likely functionally identical (R. K. Strong *et al., J Biol Chem* 278, 5082 (2003); incorporated by reference herein). This highly monomorphic nature of MHC-E likely explains how every RhCMV/gag-vaccinated macaque is able to target the same Gag MHC-I supertope independent of the MHC-Ia alleles present in each animal.

25 MHC-E was also identified as the restricting allele for the remaining MHC-I blocked CD8<sup>+</sup> T cells in RhCMV/gag-vaccinated macaques (Fig. 1A). Although the structure of MHC-E is similar to that of classical MHC-Ia molecules, under normal physiological conditions MHC-E repetitively binds and presents only a single 9-mer peptide derived from the leader sequence of MHC-Ia

molecules for presentation to NK cells. However, under conditions of cellular stress such as during viral infection, MHC-E binds a completely separate set of highly diverse CD8<sup>+</sup> T cell epitopes whose binding motif do not match that of the dominant MHC-Ia leader peptides (Lampen *et al.*, *supra* and C.C. Oliveira *et al.*, *J Exp Med* 207, 207 (2010); both of which are 5 incorporated by reference herein). The ability of MHC-E to disengage the leader peptide and subsequently present an alternate peptide repertoire to CD8<sup>+</sup> T cells suggests that the alternate MHC-I-restricted CD8<sup>+</sup> T cell response is due largely, if not entirely, to presentation by MHC-E.

HLA-E restricted CD8<sup>+</sup> T cells have recently been discovered against several human pathogens including CMV (G. Pietra *et al.*, *Proc Natl Acad Sci U S A* 100, 10896 (2003); 10 incorporated by reference herein); EBV (Jorgensen PB *et al.*, *PLoS One* 7, e46120 (2012); incorporated by reference herein); *Salmonella typhi* (R. Salerno-Goncalves, *et al.*, *J Immunol* 173, 5852 (2004); incorporated by reference herein); and *Mycobacterium tuberculosis* (A. S. Heinzel *et al.*, *J Exp Med* 196, 1473 (2002) and SA Joosten *et al.* *PLoS Pathol* 6, e1000782 (2010); 15 both of which are incorporated by reference herein). However, no HIV/SIV-specific MHC-E restricted CD8<sup>+</sup> T cell response has been reported and no vaccine platform currently exists that induces these non-classically restricted CD8<sup>+</sup> T cells against any heterologous antigen.

MHC restriction data from animals was confirmed using MHC “blocking” peptides that bind to specific MHC allomorphs with high affinity, thereby outcompeting other peptides for the binding groove of that MHC molecule. To protect its infected host cell from NK-cell 20 mediated lysis, HCMV encodes the glycoprotein UL40 (the RCMV homolog is Rh67), that contains the exact 9-mer peptide (VMAPRTLLL, Rh67<sub>8-16</sub> VL9) derived from classical MHC-Ia leader sequences. The VL9 peptide specifically binds the MHC-E peptide binding groove with extremely high affinity (P. Tomasec *et al.*, *Science* 287, 1031 (2000); incorporated by reference herein). Antigen presenting cells were pre-incubated with either the Rh67-derived VL9 peptide 25 to block binding of the Gag<sub>273-287</sub> and Gag<sub>477-491</sub> peptides to MHC-E, or with an irrelevant Mamu-A\*002:01 (A\*02)-binding Gag<sub>71-79</sub> GY9 peptide. CD8<sup>+</sup> T cell recognition of the Gag<sub>273-287</sub> and Gag<sub>477-491</sub> supertopes on both autologous BLCL and transfectants expressing a single MHC-E allele was completely inhibited by the presence of the MHC-E high-affinity binding peptide

Rh67<sub>8-16</sub> VL9, confirming MHC-E as the presenting allele for the MHC-I supertope responses (Figs. 1B and 1C).

The contribution of MHC-E to the overall Gag-specific CD8<sup>+</sup> T cell response elicited by RhCMV/gag vectors was compared to that of a conventional Modified Vaccinia Ankara (MVA/gag) vector and native SIV infection. Flow cytometric ICS using blocking monoclonal antibodies (mAbs) specific for MHC-I or MHC-II along with the MHC-E blocking Rh67<sub>8-16</sub> VL9 peptide was used to assess the restriction of each epitope-specific response found in a cohort of 25 macaques: 6 vaccinated with strain 68-1 RhCMV/gag, 9 with strain 68-1.2 RhCMV/gag, 7 with MVA/gag, and 8 SIV-infected macaques. MHC-E-blocked CD8<sup>+</sup> T cell responses were found only in macaques vaccinated with strain 68-1 RhCMV/gag. Furthermore, every MHC-I-blocked response observed in the macaques vaccinated with strain 68-1 RhCMV/gag was presented by MHC-E (Figs. 2A, 8, and 9). No MHC-E restricted CD8<sup>+</sup> T cells were observed in macaques vaccinated with strain 68-1.2 RhCMV/gag vector.

The lack of MHC-E restricted CD8<sup>+</sup> T cells in strain 68-1.2 RhCMV/gag vector-vaccinated macaques was surprising given the minimal differences between the two CMV strains. During *in vitro* culture on fibroblasts prior to being cloned as a bacterial artificial chromosome (BAC), RhCMV 68-1 lost the ability to express gene products from the Rh13, Rh60, Rh157.5, and Rh157.4 (HCMV RL11, UL36, UL128, and UL130, respectively) open reading frames (D. Malouli *et al.*, *J Virol* 86, 8959 (2012) and WO 2014/138209; incorporated by reference herein). Of these, expression is restored for Rh60, Rh157.5, and Rh157.4 in the RhCMV 68-1.2 strain (A. E. Lilja, T. Shenk, *Proc Natl Acad Sci U S A* 105, 19950 (2008); incorporated by reference herein), suggesting that the presence of one or a combination of these gene products is sufficient to inhibit priming of CD8<sup>+</sup> T cells on MHC-E. Rh60 can be excluded as the gene mediating this inhibitory effect because it is present in the non-BAC derived RhCMV/gag(L) vector (Hansen, S.G, *et al.*, *Science* 328, 102 (2010); incorporated by reference herein) which induces MHC-E restricted CD8<sup>+</sup> T cells (Fig. 2A). Thus, the absence of the genes Rh157.5 and Rh157.4 (UL128-130 in HCMV) from CMV is necessary for induction of MHC-E restricted CD8<sup>+</sup> T cells.

Incomplete VL9 blocking for certain responses was observed (see Gag 15-mer #18 in Rh22607 in Fig. 2A). Indeed, while every RhCMV/gag-induced, W6/32-blocked CD8<sup>+</sup> T cell response recognized peptide in the context of MHC-E, these incompletely VL9-blocked peptides were recognized in the context of classical MHC-Ia alleles, such as Gag<sub>69-83</sub> (Gag 15-mer #18) 5 presented by Mamu-A\*001:01 (A\*01) in Rh22607 (Fig. 8B). To more closely understand this dual presentation, peptide blockade studies were performed. These showed that although the presence of the Mamu-A\*01-binding Gag<sub>181-189</sub> CM9 peptide was sufficient to inhibit presentation of Gag<sub>69-83</sub> on the Mamu-A\*01 transfectant, and the presence of the Rh67-derived VL9 peptide inhibited presentation of Gag<sub>69-83</sub> on the MHC-E transfectant, both peptides were 10 required to completely block presentation of Gag<sub>69-83</sub> on autologous BLCL from a Mamu-A\*01<sup>+</sup> macaque (Fig. 2B). In contrast, presentation of the same Gag<sub>69-83</sub> epitope was fully blocked by the presence of the Rh67<sub>8-16</sub> VL9 peptide alone on BLCL from a Mamu-A\*01- macaque, underscoring MHC-E as the primary restricting allele for these peptides (Fig. 2C). However, 15 given the ability of MHC-E restricted CD8<sup>+</sup> T cells to respond to cognate peptide in the context of either MHC-E or a classical MHC-Ia molecule, the TCR of these cells likely directly recognize the MHC-bound peptide itself or in conjunction with a conserved MHC structural motif. Surprisingly, the presence of an MHC allele capable of binding a specific peptide epitope was not sufficient for the generation of a CD8<sup>+</sup> T cell response targeting that epitope (Fig. 8), 20 indicating additional layers of immunological regulation in determining the specific set of epitopes targeted in each RhCMV-vaccinated macaque.

Next, it was established that MHC-E restricted CD8<sup>+</sup> T cells participate in the immune response against SIV. HIV and SIV evade CD8<sup>+</sup> T cell recognition by Nef-mediated down regulation of the classical MHC class I molecules from the cell surface (O. Schwartz, *et al.*, *Nat Med* 2, 338 (1996); K. L. Collins *et al.*, *Nature* 391, 397 (1998); both of which are incorporated 25 by reference herein). In contrast, Nef is unable to down regulate HLA-E and its surface expression actually increases with HIV infection (J. Natterman *et al.*, *Antivir Ther* 10, 95, (2005); incorporated by reference herein). First, the fate of Mamu-E on the surface of productively SIV-infected CD4<sup>+</sup> T cells was determined. Using the pan-MHC-I mAb W6/32 and the Mamu-E-

specific mAb 4D12, it was demonstrated that, like HLA-E during HIV infection, Mamu-E surface expression is significantly increased during SIV infection (Figs. 3A and 3B). Therefore, MHC-E restricted CD8<sup>+</sup> T cells might be particularly effective since they would be impervious to Nef-mediated down-modulation of their restricting MHC-I molecule. MHC-E interacts with both 5 TCR $\alpha\beta$  and CD94/NKG2 complexes, which are expressed on the surface of CD8<sup>+</sup> T cells (V.M. Braud *et al.*, *Nature* 391, 795 (1998); incorporated by reference herein).

In particular, high NKG2C expression is driven by CMV infection, and engagement of the NKG2C receptor triggers activation of NK and T cells interacting with MHC-E (S. Lopez-Verges *et al.*, *Proc Natl Acad Sci U S A* 108, 14725 (2011) and M. Guma *et al.*, *Eur J Immunol* 35, 2071 10 (2005); both of which are incorporated by reference herein). To investigate the possibility that the MHC-E restricted CD8<sup>+</sup> T cells in strain 68-1 RhCMV/gag-vaccinated macaques utilize NKG2C receptors to mediate MHC-E induced activation, the surface phenotype of these cells was examined, and little, if any, NKG2A/NKG2C expression (Figs. 3C and 10) was found. Furthermore, the MHC-E restricted CD8<sup>+</sup> T cells exhibited a conventional CD3<sup>+</sup>, CD8 $\alpha\beta^+$ , TCR $\gamma\delta^-$ , 15 NKG2A/C<sup>-</sup> phenotype suggesting that these T cells recognized MHC-E-bound peptides *via* CD8-stabilized TCR $\alpha\beta$  interactions.

Next, the ability of MHC-E restricted CD8<sup>+</sup> T cells present in 68-1 RhCMV/SIV vaccinated macaques to specifically recognize autologous, SIV-infected CD4<sup>+</sup> T cells was compared to that of the classically MHC-I restricted CD8<sup>+</sup> T cells found in macaques vaccinated with MVA/gag or 20 strain 68-1.2 RhCMV/gag or infected with SIV. CD8<sup>+</sup> T cells isolated from all treated macaques robustly recognized autologous SIV-infected CD4<sup>+</sup> T cells, and this recognition was completely blocked by the addition of the pan-MHC-I blocking mAb W6/32 and the MHC-II binding CLIP peptide (Figs. 4A and 4B). In contrast, CD8<sup>+</sup> T cell recognition of infected cells was fully restored when the MHC-I blocking mAb W6/32 was replaced by the MHC-E blocking Rh67<sub>8-16</sub> VL9 25 peptide in all cases except for CD8<sup>+</sup> T cells isolated from strain 68-1 RhCMV/SIV-vaccinated macaques. This suggests that MHC-E restricted CD8<sup>+</sup> T cells recognized SIV infected cells.

To more precisely examine if MHC-E bound, SIV-derived epitopes were present on the surface of infected cells a Gag<sub>477-491</sub> (Gag #120) supertope-specific, MHC-E restricted CD8<sup>+</sup> T cell

line was generated. This line was tested for the ability to respond to autologous SIV-infected CD4<sup>+</sup> T cells. For comparison, a classically MHC-restricted (Mamu-A\*001:01 restricted) Gag<sub>181-189</sub> CM9 CD8<sup>+</sup> T cell line was also assessed. Both Gag-specific CD8<sup>+</sup> T cell lines specifically recognized SIV-infected cells, and recognition was blocked when targets were pre-incubated 5 with the pan-MHC-I blocking mAb W6/32. In contrast, only the MHC-E restricted CD8<sup>+</sup> T cell line was unable to recognize SIV-infected cells when targets were pre-incubated with the MHC-E binding peptide Rh67<sub>8-16</sub> VL9 (Fig. 4C). Cumulatively, these data indicate that MHC-E restricted CD8<sup>+</sup> T cells specifically recognize SIV-derived peptide epitopes on the surface of infected cells.

Strain 68-1 vectors induce CD8<sup>+</sup> T cells that recognize peptide antigen in the context of 10 the non-classical MHC-E molecule. Such CD8<sup>+</sup> T cells represent a new cellular immune response for vaccine development and may be particularly effective given the unique immunobiology of MHC-E. In contrast to classical HLA molecules that are down regulated from the surface of HIV-infected cells, HLA-E expression is up regulated, and the increase of MHC-E expression occurs 15 within the first 24 hours of infection at the portal of viral entry (J. Natterman *et al.*, *Antivir Ther* 10, 95 (2005) and L. Shang *et al.*, *J Immunol* 193, 277 (2014); both of which are incorporated by reference herein). Only two functionally identical HLA-E alleles are present in the human 20 population (R. K. Strong *et al.*, *J Biol Chem* 278, 5082 (2003); incorporated by reference herein). Therefore, a vaccine platform specifically inducing MHC-E restricted T cells could result in a truly universal CD8<sup>+</sup> T cell vaccine with every vaccinated individual mounting identical T cell 25 responses impervious to HIV Nef-mediated immune evasion. Indeed, as disclosed herein, MHC-E-restricted CD8<sup>+</sup> T cells are strongly elicited by strain 68-1 RhCMV vectors, which have shown unparalleled protection against SIV in macaques (Hansen *et al.* (2009), *supra*; Hansen *et al.* (2011), *supra*; Hansen *et al.* *Nature* (2013), *supra*). Thus, a HIV vaccine that induces responses against both classical as well as non-classical epitopes might provide the necessary breadth of T cell responses required to effectively block viral replication and subsequently blunt viral transmission.

As mentioned above, HCMV encodes the glycoprotein UL40 (the RCMV homolog is Rh67), that contains the 9-mer peptide (VMAPRTLLL, Rh67<sub>8-16</sub> VL9) binding the MHC-E peptide

binding groove with extremely high affinity (P. Tomasec *et al.*, *Science* 287, 1031 (2000); incorporated by reference herein). Since the *in vitro* data indicated that VL9 competes for binding with antigen-derived peptides the possibility that deletion of Rh67 (UL40) from the genome of RhCMV 68-1 would further increase the frequency of HLA-E restricted CD8<sup>+</sup> T cells *in vivo* was considered. To examine this possibility, Rh67 (UL40) was deleted from the 68-1 RhCMV/gag vector. The resulting recombinant virus was inoculated into an animal that was naturally infected with RhCMV. At days 0, 7, 14, 21, 28 and 42 post-inoculation, PBMC were obtained, and the frequency of CD8<sup>+</sup> T cells responding to total SIVgag as well as MHC-E was measured by intracellular cytokine staining using specific peptides. As shown in Fig. 5, SIVgag responses to total SIVgag were detectable beginning at day 14 post-inoculation. In addition, CD8<sup>+</sup> T cells responded to MHC-II-restricted “supertope” peptides Gag53 and Gag73. Contrary to our expectations however, there was no increase of T cell responses to HLA-E-specific supertopes. In fact, no responses were detected to either HLA-E peptides examined in this experiment (Gag69 and Gag120). This surprising result therefore suggests that vectors lacking UL40 and UL128 and UL130 induce MHC-II restricted CD8<sup>+</sup> T cells, including MHC-II restricted supertopes, but not MHC-E-restricted CD8<sup>+</sup> T cells. Induction of MHC-E restricted CD8<sup>+</sup> T cells thus requires the presence of UL40 and the absence of UL128 and UL130.

To determine whether, in addition to Rh67 (UL40), RhCMV encodes additional genes that are required for the induction of HLA-E and/or MHC-II-restricted T cell responses by RhCMV lacking UL128-130, gene regions that are non-essential for growth *in vitro* were deleted from RhCMV 68-1, and the T cell response upon inoculation of rhesus macaques was monitored. While most deletion mutants did not affect T cell specificities, it was observed that deletion of the gene region Rh214-Rh220 eliminated the ability of RhCMV 68-1 to elicit MHC-E restricted responses, whereas MHC-II restricted CD8<sup>+</sup> T cell responses were still observed (Figs. 29 and 30). This result was unexpected since the Rh214-Rh220 region encodes five copies of genes that are homologous to (*i.e.*, homologs of) HCMV US28: Rh214, Rh215, Rh216, Rh218 and Rh220 (also known as RhUS28.4, RhUS28.3, RhUS28.2, RhUS28.1, and RhUS28.5, respectively, M. E. Penfold *et al.* *J Virol* 77: 10404 (2003) incorporated by reference herein). The previously

predicted open reading frames Rh217 and Rh219 are not considered to represent functional genes based on a series of previously described criteria (D. Malouli *et al.*, *J Virol* 86, 8959 (2012) incorporated by reference herein). HCMV US28 encodes a G-protein coupled receptor that binds to CC-chemokines (J.L. Gao and P. M. Murphy *J Biol Chem* 269: 28539 (1993)) and 5 chemokine binding was confirmed for at least one of the five RhCMV homologues (M. E. Penfold *et al.* *J Virol* 77: 10404 (2003)). However, a requirement of US28 for the induction of MHC-E restricted T cell responses was unexpected. This surprising result therefore suggests that vectors lacking US28, UL128, and UL130 induce MHC-II restricted CD8<sup>+</sup> T cells, including 10 MHC-II restricted supertopes, but not MHC-E-restricted CD8<sup>+</sup> T cells. Induction of MHC-E restricted CD8<sup>+</sup> T cells thus requires the presence of US28 and UL40, and the absence of UL128 and UL130.

#### Materials and Methods:

Rhesus macaques: A total of 46 purpose-bred male or female rhesus macaques (RM) 15 (*Macaca mulatta*) of Indian genetic background were used in the experiments reported in this example, including 9 RM vaccinated with strain 68-1 RhCMV/gag, 1 RM vaccinated with strain 68-1.2 RhCMV/gag, 1 RM inoculated with Rh67-deleted 68-1 RhCMV/gag, 7 RM vaccinated with MVA/gag, 19 unvaccinated RM with SIV infection, and 6 unvaccinated RM naturally infected 20 with colony-circulating strains of RhCMV. All RM were used with the approval of the Oregon National Primate Research Center Institutional Animal Care and Use Committee, under the standards of the US National Institutes of Health Guide for the Care and Use of Laboratory Animals. RM used in these experiments were free of cercopithicine herpesvirus 1, D-type simian retrovirus, and simian T-lymphotrophic virus type 1. Selected RM were MHC-I-genotyped by 25 deep sequencing. Briefly, amplicons of Mamu class I sequences were generated via amplification of cDNA by PCR using high-fidelity Phusion<sup>TM</sup> polymerase (New England Biolabs) and a pair of universal MHC-I-specific primers with the following thermocycling conditions: 98°C for 3min, (98 °C for 5s, 57 °C for 1s, 72 °C for 20s) for 23 cycles, and 72°C for 5 min. Each PCR primer contained a unique 10 bp Multiplex Identifier (MID) tag along with an adaptor sequence

for 454 Sequencing™ (5'-GCCTCCCTCGGCCATCAG-MID-GCTACGTGGACGACACG-3'; 5'-GCCTGCCAGCCGCTCAG-MID-TCGCTCTGGTTGTAGTAGC-3'). Resulting amplicons span 190 bp of a highly polymorphic region within exon two. The primary cDNA-PCR products were purified using AMPure XP magnetic beads (Beckman Coulter Genomics). Emulsion PCR and 5 pyrosequencing procedures were carried out with Genome Sequencer FLX instruments (Roche/454 Life Sciences) as per the manufacturer's instructions. Data analysis was performed using a Labkey database in conjunction with Geneious-Pro® bioinformatics software (Biomatters Ltd.) for sequence assembly.

RhCMV/SIV Vectors: The construction, characterization, and administration of strain 68-1-derived RhCMV/SIV have been previously described in detail (Hansen *et al.* (2009), *supra*; Hansen *et al.* (2011), *supra*; Hansen *et al.* *Nature* (2013), *supra*; Hansen *et al.* *Science* (2013), *supra*; Hansen *et al.* (2010), *supra*). All recombinant viruses used in this study were derived from strain RhCMV 68-1 BAC. Due to tissue culture adaptation, RhCMV 68-1 constructs contain a deletion of ORF 157.5 and most of ORF Rh157.4 encoding homologs of HCMV UL128 and 15 UL130, respectively (Hansen, S.G. *et al.*, *J Virol* 77, 6620 (2003); incorporated by reference herein).

To generate a vector that lacks UL40 expression, ORF Rh67 was deleted from RhCMV 68-1 by BAC recombineering. Briefly, Rh67 was replaced with a FRT-flanked Kanamycin-resistance gene-containing PCR fragment by homologous recombination, followed by excision of the 20 KanR-gene using FLP recombinase. Virus was recovered in rhesus fibroblasts and characterized for antigen expression and loss of Rh67(UL40).

To generate a vector with complete UL128-130 expression, the SIVgag expression cassette was inserted into Rh211 of RhCMV 68-1.2, a recombinant virus in which Rh61/Rh60 (UL36), Rh157.4 (UL130), and Rh157.5 (UL128) had been repaired (A. E. Lilja and T. Shenk, *Proc 25 Natl Acad Sci U.S.A.* 105, 19950 (2008); incorporated by reference herein). All of the recombinant viruses were characterized and confirmed by restriction digest, and antigen inserts, including their flanking regions, were sequence verified. Expression of SIV antigens was verified by immunoblot. Additionally, adjacent gene expression was verified by RT-PCR.

## Other Vaccines

MVA/gag was constructed by insertion of codon-optimized, full-length SIVmac239 gag gene into the MVA shuttle vector, pLW44, under the control of MH5, an early/late vaccinia promoter, to generate the recombinant plasmid, pJV7. Flanking sequences within pLW44 directed insertion of the recombinant construct into the thymidine kinase locus by homologous recombination. Chicken embryonic fibroblast cells were transfected with pJV7 followed by infection with MVA strain 1974 to generate recombinant virus expressing SIVmac239gag (SIVgag expression confirmed by immunoblot). Recombinant virus was plaque-purified and amplified in large-scale culture. Viral stocks were purified over a 24–40% sucrose gradient followed by pelleting through a 36% sucrose cushion with the pellet then suspended in 1 mM Tris-Cl, pH 9.0. For MVA/gag vaccination, RM were administered  $10^8$  plaque-forming units of this vector via intramuscular injection.

Antigens and Antigen-Presenting Cells: Sequential 15-mer peptides (overlapping by 11 amino acids) comprising the SIVgag protein were obtained from the NIH AIDS Reagent Program. Synthesis of specific 9-14-mer peptides within these proteins was performed by Genscript (Piscataway, NJ). All peptides are identified by the position of their inclusive amino acids from the n-terminus (e.g., Gag<sub>xx-yy</sub>). Consecutive 15-mers are also designated by their position starting from the n-terminal 15-mer (e.g., Gag<sub>1-15</sub> is 15mer #1; Gag<sub>5-19</sub> is 15mer #2, etc.). Unless otherwise specified, these peptides were used in T cell assays used at 2  $\mu$ g/ml. Autologous B-lymphoblastoid cell lines (BLCL) were generated by infecting rhesus PBMC with Herpesvirus papio, as described previously (Hansen *et al. Science* (2013), *supra*). Mammalian expression vectors for *Mamu* class I molecules were generated by ligating each allele into pCEP4 KpnI/NotI or HindIII/NotI restriction sites. Plasmids were cloned in DH5 $\alpha$  *E. coli* (Life Technologies, Grand Island, NY), sequence confirmed, and electroporated into MHC-I-negative K562, 721.221, or RMA-S (K. S. Anderson *et al., J Immunol* 151, 3407 (1993); incorporated by reference herein) cells using Nucleofector II/Kit C (Lonza, Allendale NJ). Transfectants were maintained on drug selection (Hygromycin B) and routinely confirmed for surface expression of MHC-I by staining with pan-MHC-I antibody clone W6/32. Throughout use in T cell assays, mRNA from MHC-I

transfectants was extracted using the AllPrep DNA/RNA Mini Kit (Qiagen), amplified by RT-PCR using primer pairs flanking a highly polymorphic region within exon 2, and sequence confirmed. MHC-I transfectants and BLCL were pulsed with Gag peptide of interest at a final concentration of 10  $\mu$ M for 90 minutes then washed three times with warm PBS and once with warm R10 to 5 remove unbound peptide before combining with freshly isolated PBMC at an effector:target ratio of 10:1. In order to stabilize Mamu-E surface expression, Mamu-E transfectants were incubated at 27° C for 3 hours prior to use in assays and maintained at 27° C throughout peptide incubation until combined with effectors. Autologous SIV-infected target cells were generated by isolation of CD4 $^{+}$  T cells from PBMC with CD4 microbeads and LS columns 10 (Miltenyi Biotec), activation with a combination of IL-2 (vendor), *Staphylococcus enterotoxin B* (vendor), and anti-CD3 (NHP Reagent Resource), anti-CD28, and anti-CD49d mAbs (BD Biosciences), and spinoculation with sucrose-purified SIVmac239, followed by 3-4 days of culture. Prior to use in T cell assays, SIV-infected target cells were purified using CD4 microbeads and LS columns (Miltenyi Biotec), as previously described (J. B. Sacha *et al.*, *J* 15 *Immunol* 178, 2746 (2007); incorporated by reference herein). Infected cell preparations were >95% CD4 $^{+}$  T cells and >50% SIV-infected following enrichment and were used at an effector:target ratio of 40:1 (PBMC and isolated CD8 $^{+}$  T cells) or 8:1 (T cell line effectors). In these experiments, uninfected, activated CD4 $^{+}$  T cells served as negative control APCs 20 (uninfected targets from SIV $^{+}$  RM were cultured with tenofovir (NIH AIDS Reagent Program, concentration)). To assess bulk MHC-I and MHC-E, SIV-infected CD4 $^{+}$  T cells were generated as described above without post-infection purification and stained for surface MHC-I (clone W6/32), MHC-E (clone 4D12; anti-mouse IgG1 M1-14D12), CD3, CD4 and intracellular SIV Gag p27 capsid.

T Cell Assays: Mononuclear cell preparations for immunologic assays were obtained 25 from blood with Ficoll-Paque (GE Healthcare). Purified CD8 $^{+}$  T cells (>90% pure) were obtained from PBMC using CD8 microbeads and LS columns (Miltenyi Biotec). Epitope-specific T cell lines were prepared by stimulation of PBMC with irradiated, peptide-pulsed BLCL and subsequent culture in media containing IL-2 (vendor), with re-stimulation performed weekly. SIV-specific

CD8<sup>+</sup> T cell responses were measured by flow cytometric ICS. Briefly, effector T cells (mononuclear cells, isolated CD8<sup>+</sup> T cells, or T cell lines) were incubated with antigen (peptide, peptide-pulsed APCs, or SIV-infected CD4<sup>+</sup> T cells) and co-stimulatory monoclonal antibodies (mAbs) to CD28 and CD49d (BD Biosciences) for 1 hour, followed by addition of Brefeldin A 5 (Sigma-Aldrich) for an additional 8 hours. Co-stimulation in the absence of antigen (no peptide, unpulsed APCs, or uninfected targets) served as background control. In restriction assays using MHC-I transfectants as APCs, co-stimulation in the presence of peptide-pulsed MHC-I-negative parental cell lines K562 or 721.221 cells served as additional negative controls. When indicated, mononuclear cells or antigen-presenting cells were pre-incubated for 1 hour with the following 10 blocking reagents: anti-MHC-I mAb (clone W6/32; 10 µg/ml), CLIP peptide (MHC-II-associated invariant chain, amino acids 89-100; 2 µg/ml), MHC-E-binding peptide VL9 (VMAPRTLLL; 20 µM), Mamu-A1\*001:01-binding peptide CM9 (CTPYDINQM; 20 µM), or Mamu-A1\*002:01- 15 binding peptide GY9 (GSENLKSLY; 20 µM). Stimulated cells were fixed, permeabilized, and stained as previously described (Sacha *et al.*, *The Journal of Immunology*, 178, 2746-2754 20 (2007); incorporated by reference herein) and flow cytometric analysis was performed on an LSR-II instrument (BD Biosciences). Analysis was done using FlowJo software (Tree Star), gating first on small lymphocytes followed by progressive gating on CD3<sup>+</sup>, then CD4/CD8a<sup>+</sup> T cell 20 subsets. Antigen specific response frequencies for resulting CD4<sup>+</sup>/CD8a<sup>+</sup> populations were determined from intracellular expression of TNF-α and IFN-γ. For epitope deconvolution experiments, strict response criteria were used to prevent false positives. In these studies, a response to a given 15-mer peptide was considered positive if the frequency of events clustered as CD69<sup>+</sup>, TNF-α<sup>+</sup> and IFN-γ<sup>+</sup> was >0.05%, with background <0.01% in at least 2 25 independent assays. The classification of individual peptide responses as blocked, shown in Figures 2A and 6, was based on >90% inhibition by blockade relative to the isotype control. Define partial blockade. Responses that did not meet these criteria were considered indeterminate. To be considered MHC-E-restricted by blocking, the individual peptide response must have been (1) blocked by both anti-MHC-I clone W6/32 and MHC-E-binding peptide VL9, and (2) not blocked by CLIP.

## Antibodies

The following conjugated Abs were used in these studies: a) from BD Biosciences, L200 (CD4; AmCyan), SP34-2 (CD3; PacBlu), SK1 (CD8a; TruRed, AmCyan), 25723.11 (IFNg; APC, FITC), 6.7 (TNF; APC), b) from Beckman Coulter, L78 (CD69; PE).

5 **Example 2 – Generation of CD8<sup>+</sup> T cells specific for peptides of interest in the context of MHC-E**

T cell receptors recognizing antigen-derived peptides of interest in the context of classical, polymorphic MHC-Ia molecules can be used to transfect autologous T cells for immunotherapy of disease, such as cancer or infectious disease. A major obstacle to this approach is the MHC-Ia diversity in the human population that limits the use of a given TCR to 10 MHC-Ia matched patients. By generating TCR recognizing antigen-derived peptides of interest (e.g., tumor antigen-derived peptides and pathogen-derived peptides) in the context of non-classical, non-polymorphic MHC-E molecules, MHC-matching becomes obsolete, and the resulting TCR can be used in all patients.

CD8<sup>+</sup> T cells recognizing MHC-E/peptide complexes are rare in nature, and there is not 15 currently a reliable method to generate such T cells directed against antigens of interest, such as tumor antigens, pathogen-derived antigens, tissue-specific antigens, or host self-antigens. The method described herein is based upon the finding that a rhesus cytomegalovirus (RhCMV) lacking the genes Rh157.5 and Rh157.4 (homologs of HCMV UL128 and UL130) elicits MHC-E-restricted CD8<sup>+</sup> T cells in rhesus monkeys at a frequency of about 1 peptide epitope per 30-40 20 amino acids of protein sequence. By inserting an antigen of interest into UL128 and 130-deleted RhCMV, CD8<sup>+</sup> T cells directed against individual peptides presented by MHC-E can be generated. The MHC-E/peptide-recognizing TCRs can be identified by any of a number of methods but generally rely on sequencing the alpha and beta chains either directly by PCR from the cDNA of single cells, clonally expanded single cells, or deep sequencing pools of peptide 25 specific CD8<sup>+</sup> T cells. Alternatively the sequence may be derived indirectly by expanding the RNA template by first creating a whole transcriptome library for a single cell, clonally expanded single cell, or pool of peptide specific CD8<sup>+</sup> T cells. Peptide specific variable sequences may be generated by rapid amplification of cDNA ends (RACE) or switching mechanism at 5'end of RNA

template (SMART) protocols performed on the mRNA. PCR anchored in flanking constant regions or similarly from whole transcriptome libraries of single peptide reactive CD8<sup>+</sup> cells can be sequenced directly or deep sequenced for their respective TCR variable regions. Validated combinations of alpha and beta chains derived from the TCR sequence of individual or pools of peptide reactive CD8<sup>+</sup> T-cells can further be synthesized or cloned. The resulting TCR constructs can then be transfected into T cells that can in turn be administered to patients as a therapy (e.g., cancer therapy or infectious disease therapy). Methods of cloning and transfecting TCR variable regions are also discussed in Barsov EV *et al.*, PLoS One 6, e23703 (2011), which is incorporated by reference herein.

10 **Example 3 - Broadly targeted CD8<sup>+</sup> T cell responses restricted by major histocompatibility complex-E**

Major histocompatibility complex (MHC)-E is a highly conserved, ubiquitously expressed, non-classical, MHC-Ib molecule with limited polymorphism primarily involved in regulation of NK cell reactivity via interaction with NKG2/CD94 receptors. Here, priming of rhesus macaques with Rh157.5/.4 gene-deleted RhCMV vectors uniquely diverts MHC-E function to presentation of highly diverse peptide epitopes to CD8 $\alpha/\beta^+$  T cells, approximately 4 distinct epitopes per 100 amino acids, in all tested protein antigens. Since MHC-E is up-regulated on cells infected with HIV/SIV and other persistent viruses to evade NK cell activity, MHC-E-restricted CD8<sup>+</sup> T cell responses have the potential to exploit pathogen immune evasion adaptations, a capability that might endow these unconventional responses with superior efficacy.

Adaptive cellular immunity against intracellular pathogens is the primary responsibility of CD8<sup>+</sup> T cells that recognize short (8-10mer) pathogen-derived peptide epitopes presented by highly polymorphic MHC-Ia molecules on the surface of infected cells (Neefjes J *et al.*, Nat Rev Immunol 11, 823 (2011) and Nikolich-Zugich J *et al.*, Microbes Infect 6, 501 (2004); both of which are incorporated by reference herein). MHC-Ia allomorphs vary considerably in their peptide binding properties, and therefore the particular pathogen-derived peptides targeted by pathogen-specific CD8<sup>+</sup> T cells is largely determined by the peptide binding specificity of the

limited number of MHC-Ia allomorphs expressed by the infected individual (Yewdell JW, Immunity 25, 533 (2006); incorporated by reference herein) Consequently, the epitopes recognized by CD8<sup>+</sup> T cells responding to the same pathogen are highly diverse across individuals. This recognition heterogeneity is important, as the nature of epitopes targeted by 5 CD8<sup>+</sup> T cell responses can have an enormous influence on the ability of the individual to clear or control various intracellular pathogens, in particular agents like HIV with a high intrinsic capacity for mutational immune escape (Nikolich Zugich (2004), *supra* and Goulder, P.J. and Watkins, D.I. *Nat Rev Immunol* 8, 619 (2008); incorporated by reference herein). From an evolutionary perspective, this MHC-Ia polymorphism-mediated response diversity allows large 10 populations to survive emerging pathogens because of the high likelihood that at least some members of the population will have MHC-Ia allomorphs that support effective CD8<sup>+</sup> T cell responses (Nikolich-Zugich (2004), *supra* and Prugnolle F *et al.*, *Curr Biol* 15, 1022 (2005); incorporated by reference herein). On the other hand, this biology inevitably results in certain 15 individuals within a population being highly susceptible to a given pathogen, even when vaccinated, which hampers efforts to develop universally effective vaccines based on CD8<sup>+</sup> T cell responses (Goulder and Watkins (2008), *supra* and Picker, L.J. *et al.*, *Ann Rev Med* 63, 95 (2012); incorporated by reference herein)

It was recently reported that SIV-targeted vaccine vectors based on strain 68-1 (fibroblast-adapted) RhCMV strikingly violate the above-described rules of MHC-Ia-restricted 20 CD8<sup>+</sup> T cell recognition (Hansen *et al.* *Science* (2013), *supra*), and offer a potential solution to MHC-Ia-dependent response diversity in CD8<sup>+</sup> T cell-targeted vaccination. In rhesus monkeys, RhCMV/SIV vectors provide profound protection against highly pathogenic SIV challenge, resulting in stringent control and ultimate clearance of infection (Hansen *et al.* (2011), *supra* and Hansen *et al.* *Nature* (2013), *supra*). These vectors elicit SIV-specific CD8<sup>+</sup> T cell responses 25 that are entirely non-overlapping with conventional MHC-Ia-restricted CD8<sup>+</sup> T cells, despite responding to 3-fold as many epitopes as conventional vaccines expressing the same SIV protein. Part of this lack of epitope overlap was explained by the finding that many of these epitopes were restricted by MHC-II molecules, rather than MHC-Ia, a rare, but not

unprecedented mode of epitope recognition by CD8<sup>+</sup> T cells (Hansen *et al. Science* (2013), *supra*). Strain 68-1 RhCMV/SIVgag vectors also elicited CD8<sup>+</sup> T cells that recognized multiple MHC-I-dependent epitopes (e.g., responses entirely blocked by anti-MHC-I antibodies) that were common to most, or even all MHC-disparate macaques, an unprecedented degree of 5 cross-recognition for MHC-Ia-restricted CD8+ T cell responses. Indeed, two epitopes in the SIV gag protein (SIVgag<sub>276-284</sub> and SIVgag<sub>482-490</sub>) were targeted by 42 of 42 strain 68-1 RhCMV/SIVgag vector-immunized monkeys in the previous report (Hansen *et al. Science* (2013), *supra*), and CD8<sup>+</sup> T cell responses to these two 9mer epitopes have since been documented in 120 of 120 monkeys inoculated with this vector (Fig. 14).

10 To understand the basis of this unusually universal MHC-I-dependent recognition, 4 strain 68-1 RhCMV/SIVgag vector-vaccinated monkeys were selected for detailed MHC-I restriction analysis. These macaques displayed robust, unconventional MHC-I-dependent CD8<sup>+</sup> T cell responses to SIVgag, including responses to the SIVgag<sub>276-284</sub> and SIVgag<sub>482-490</sub> supertopes, as well as 10 other commonly recognized responses. The expressed MHC-I genes, both classical 15 MHC-Ia and non-classical MHC-Ib (Wiseman, R.W. *et al.*, *Nat Med* 15, 1322 (2009); incorporated by reference herein), were sequenced in each monkey, and a panel of MHC-I transfectants singly expressing these MHC-I molecules was constructed individually (Fig. 15). These single 20 MHC-I molecule transfectants were then used in a flow cytometric intra-cellular cytokine staining (ICS) assay to present the epitopic 15mer peptides to the strain 68-1 RhCMV/SIVgag vector-induced CD8<sup>+</sup> T cells from these monkeys (using parental MHC-I-negative and autologous B lymphoblastoid cell lines as negative and positive controls, respectively) (Figs. 11A, 11B; and 16). Remarkably, classical MHC-Ia allomorphs were able to present only 3 of the 12 epitopic peptides to these T cells (Mamu-A1\*001:01: SIVgag<sub>69-83</sub>(18) and SIVgag<sub>197-211</sub>(50); Mamu-A1\*002:01: SIVgag<sub>129-143</sub>(33)), and expression of these allomorphs in monkeys did not 25 track with these epitope-specific CD8<sup>+</sup> T cell responses (e.g., many monkeys lacking these allomorphs were still able to recognize these 3 peptides; Fig. 17). However, all 12 epitopic peptides stimulated CD8<sup>+</sup> T cells from all monkeys when presented by non-classical MHC-E molecules, and indeed, all peptides were presented by transfectants expressing 3 different

rhesus monkey MHC-E allomorphs (Mamu-E02:04, -E02:11, and -E02:20), independent of whether the responses originated in monkeys that expressed these alleles, as well as by a transfectant expressing a human version of this molecule (HLA-E\*01:03) (Figs. 11A, 11B, 16 and 18).

5 MHC-E is known to avidly bind canonical VMAPRTL(LVI)L peptides and other closely related 9mer peptides that are derived from positions 3-11 of MHC-Ia leader sequences for presentation to NKG2A (and to a lesser extent, NKG2C) molecules on NK cells (Lee, N. *et al.*, J Immunol 160, 4951 (1998); Braud, V.M. *et al.*, Nature 391, 795 (1998); Sullivan, L.C. *et al.*, Tissue Antigens 72, 415 (2008); and van Hall, T. *et al.*, Microbes Infect 12, 910 (2010); all of 10 which are incorporated by reference herein). This highly conserved interaction delivers a predominately inhibitory signal to NK cells when cells express normal levels of MHC-Ia. However, upon interference with MHC-Ia biosynthesis by viral infection or neoplastic transformation, this inhibitory signal is reduced, facilitating NK cell activation in response to 15 virally-infected or neoplastic cells (Loddenkemper, M.B. and Lanier, L.L. Nat Rev Microbiol 3, 59 (2005) and Wieten L *et al.*, Tissue Antigens 84, 523 (2014); both of which are incorporated by reference herein). Although a subset of CD8<sup>+</sup> T cells can also express NKG2A and/or NKG2C (Arlettaz L *et al.*, Eur J Immunol 34, 3456 (2004); incorporated by reference herein), phenotypic analysis of MHC-E-dependent, strain 68-1 RhCMV/SIVgag vector-elicited CD8<sup>+</sup> T cells revealed 20 the vast majority of responding cells were CD8 $\alpha$ / $\beta$ <sup>+</sup>, TCR  $\gamma$ / $\delta$ <sup>+</sup> T cells that lack both NKG2A and NKG2C expression (Figs. 11C and 19). Moreover, pre-incubation of MHC-E transfectants or 25 PBMC with a canonical MHC-E-binding VMAPRTLLL (VL9) peptide prior to specific peptide loading specifically blocked CD8<sup>+</sup> T cell recognition of all 12 peptides (Figs. 11D and 20), suggesting that the T cell recognition of these peptides is not mediated by NKG2A/C binding to peptide-loaded MHC-E, but rather reflects MHC-E-restricted epitope presentation to antigen-specific T cells. Indeed, each of the parent 15mers studied could be truncated to an optimal 9mer peptide that was common among different strain 68-1 RhCMV/SIVgag vector-vaccinated monkeys with responses to the parent 15mer (Fig. 21) (Hansen *et al. Science* (2013), *supra*). These optimal 9mers could trigger CD8<sup>+</sup> T cells from these monkeys when pulsed on Mamu-E

transfectants at doses less than 1 nM (Fig. 22), functional avidities that are comparable to T cell recognition of classically MHC-Ia-restricted epitopes (O'Connor DH *et al.*, *Nat Med* 8, 493 (2002); incorporated by reference herein). Taken together, these data strongly suggest the unconventional, MHC-I-dependent CD8<sup>+</sup> T cells elicited by strain 68-1 RhCMV/SIVgag vectors 5 are SIVgag-specific CD8<sup>+</sup> T cells that are primarily restricted by MHC-E, although in some cases can also recognize their specific peptide on conventional MHC-Ia allomorphs.

MHC-E-restricted CD8<sup>+</sup> T cell responses have been previously identified in HCMV, Hepatitis C virus, *Mycobacterium tuberculosis*, and *Salmonella enterica* infections, typically involving epitopes that are structurally related to the canonical MHC-Ia leader sequence 10 peptides, but foreign to the host (Sullivan (2008), *supra*; van Hall (2010), *supra*; Pietra G *et al.*, *J Biomed Biotechnol* 2010, 907092 (2010); and Caccamo N *et al.*, *Eur J Immunol* 45, 1069 (2015); all of which are incorporated by reference herein). To determine the extent to which MHC-E restricts responses to SIVgag in different settings, blocking with high affinity MHC-E-binding 15 peptide VL9 (in conjunction with blocking with anti-MHC-II CLIP peptide and anti-MHC I mAb W6/32) was used to restriction-classify all SIVgag epitope-specific CD8<sup>+</sup> T cell responses in monkeys vaccinated with strain 68-1 RhCMV/SIVgag vectors (Rh157.5/.4 gene-deleted), strain 68-1.2 RhCMV/SIVgag vectors (Rh157.5/.4-intact), ΔRh157.5/.4 strain 68-1.2 RhCMV/SIVgag 20 vectors (in which the Rh157.5/.4 genes were specifically re-deleted; Fig. 23), and Modified Vaccinia Ankara (MVA)/SIVgag vectors, as well as monkeys infected with SIV itself (Figs. 12, 24 and 25). This analysis revealed that essentially all SIVgag epitope-specific responses in strain 68- 25 1 RhCMV/SIVgag vector- and ΔRh157.5/.4 strain 68-1.2 RhCMV/SIVgag vector-vaccinated monkeys were either >90% blocked by the CLIP peptide or by both the anti-MHC-I mAb W6/32 and the VL9 peptide, demonstrating that the unconventional T cell responses elicited by Rh157.5/.4-deficient RhCMV are effectively entirely of either MHC-II- or MHC-E-restricted CD8<sup>+</sup> T cells.

In contrast, all SIVgag-specific CD8<sup>+</sup> T cell responses in the MVA/SIVgag vector-vaccinated and the strain 68-1.2 (Rh157.5/.4-expressing) RhCMV/SIVgag vector-vaccinated macaques were blocked by mAb W6/32, but not the VL9 peptide, indicating classical MHC-Ia

restriction. This was also the case for 98% of CD8<sup>+</sup> T cell responses in SIV-infected macaques, with the exception of 4 MHC-II-restricted CD8<sup>+</sup> T cell responses. The ability of Rh157.5/.4-deficient RhCMV vectors to elicit MHC-E- and MHC-II-restricted CD8<sup>+</sup> T cells is not limited to SIVgag-specific responses. Similar mixtures of MHC-E- and MHC-II-restricted, antigen-specific 5 CD8<sup>+</sup> T cell responses were observed with strain 68-1 (Rh157.5/.4-deficient) RhCMV vectors encoding SIVpol97-441, *M. tuberculosis* proteins (Ag85B, ESAT6 and RpfA), as well as intrinsic RhCMV proteins such as the Immediate Early-1 (IE1) protein (Figs. 12B and 26).

It has been previously reported that CD8<sup>+</sup> T cells elicited by strain 68-1 RhCMV/SIV vectors recognize autologous SIV-infected CD4<sup>+</sup> T cells, and that this recognition is partially 10 blocked by the anti-MHC-I mAb W6/32 and by the MHC-II-blocking CLIP peptide (Hansen *et al.* *Science* (2013), *supra*). To determine the contribution of MHC-E restriction to the MHC-I component of this recognition, it was asked whether the high affinity MHC-E-binding VL9 peptide could substitute for mAb W6/32 in blocking these responses. This experiment demonstrated that the combination of the MHC-II-blocking CLIP peptide and either mAb W6/32 15 or the VL9 peptide blocks these responses completely, whereas SIV-infected autologous cell recognition by SIVgag-specific CD8<sup>+</sup> T cells elicited by MVA/SIVgag vector- or strain 68-1.2 RhCMV/gag vector-vaccination or SIV infection was insensitive to the CLIP + VL9 peptide combination (Fig. 12C). Taken together, these data confirm that strain 68-1 RhCMV vectors uniquely elicit CD8<sup>+</sup> T cell responses that are either MHC-II or MHC-E-restricted, and that this 20 unusual immunobiology is a specific consequence of deletion of the RhCMV Rh157.5/.4 genes, which are orthologs of the HCMV UL128/UL130 genes and encode 2 components of the pentameric receptor complex involved in CMV infection of non-fibroblasts (Lilja AE and Shenk T, *Proc Natl Acad Sci U.S.A.* 105, 19950 (2008); incorporated by reference herein). Moreover, these data confirm that at least some of the epitopes recognized by these MHC-E-restricted 25 CD8+ T cells are naturally processed and presented by cells infected by SIV, a heterologous (non-CMV) pathogen.

Among 42 strain 68-1 RhCMV/SIVgag vector-vaccinated monkeys, a median of 20 distinct CD8<sup>+</sup> T cell-recognized, MHC-E-restricted, SIVgag 15mer epitopes per animal were

identified, a breadth that exceeds the median 11 and 14.5 distinct MHC-1a-restricted SIVgag-specific epitopes identified within SIVgag-specific CD8<sup>+</sup> T cell responses elicited by conventional vaccines or SIV infection, respectively (Fig. 13A). The density of MHC-E-restricted epitopes (~4 independent MHC-E-restricted epitopes per 100 amino acids of protein length) is similar among 5 all strain 68-1 RhCMV vector-elicited CD8<sup>+</sup> T cell responses, regardless of nature of the antigen analyzed (Fig. 13B). Notably, among the same 42 strain 68-1 RhCMV/SIVgag vector-vaccinated macaques, 109 of the 125 overlapping SIVgag 15mer peptides (87%) were recognized by MHC-E-restricted CD8<sup>+</sup> T cells in at least one macaque (Fig. 13C). Although MHC-E has previously 10 been shown to bind a broader array of peptides than the canonical leader sequence peptides (van Hall (2010), *supra* and Lampen *et al.*, *supra*), the extent of epitope diversity and breadth observed is highly surprising, especially given the limited polymorphism of MHC-E and the 15 observation that the presentation of all MHC-E-restricted epitopes tested to date is independent of this limited sequence polymorphism as well as the sequence difference between Mamu-E and HLA-E (Figs. 11B, 18 and 22). These data suggest that MHC-E-mediated epitope presentation (*e.g.*, MHC-E peptide binding) is even more diverse than previously 20 believed. In keeping with this, sequence analysis of 11 optimal MHC-E-restricted SIVgag 9mer epitopes showed only one epitope (the Gag<sub>273-287</sub> supertope) with a canonical (M at position 2: L at position 9) MHC-E-binding motif, whereas the other 10 optimal epitopes not only lacked this motif, but manifested no statistically significant overlap with previously characterized sets 25 of MHC-E bound peptides (Lampen *et al.*, *supra*) (Fig. 13D). Indeed, the other SIVgag<sub>482-490</sub> supertope manifested what could be considered an anti-MHC-E peptide-binding motif with lysines at both positions 2 and 9 (Fig. 13D). The molecular mechanisms for loading and binding of epitopic peptides to MHC-E are discussed in Hansen, S.G. *et al.*, "Broadly targeted CD8+ T cell responses restricted by major histocompatibility complex E", *Science*, published electronically on Jan 21, 2016, which is incorporated by reference herein.

Both HCMV and RhCMV encode proteins with a strategically embedded canonical VL9 peptide within the UL40 and Rh67 genes, respectively (Prod'homme, V. *et al.*, *J Immunol* 188, 2794 (2012) and Richards, R. *et al.*, *J Virol* 85, 8766 (2011); both of which are incorporated by

reference herein). The VL9 peptide of UL40 was shown to be loaded onto nascent MHC-E chains by a TAP-independent mechanism, and therefore functions to stabilize and up-regulate MHC-E expression in HCMV-infected cells in the face of virus-mediated TAP inhibition and profound MHC-Ia down-regulation mediated by the HCMV US2-11 gene products (Lodoen & Lanier 5 (2005), *supra* and Prod'homme (2012), *supra*). A similar function is likely for RhCMV Rh67 (Richards (2011), *supra*). MHC-E up-regulation is therefore thought to be a key viral strategy for evading the NK cell response to infected cells that lack MHC-Ia expression. However, this evasion strategy would have the consequence of enhancing MHC-E expression in virally infected cells, increasing the opportunity for loading and presentation of novel peptides to 10 MHC-E-restricted T cells. In this regard, the canonical MHC-E binding VL9 peptide might act as a chaperone that facilitates stable high expression of MHC-E and delivery to an endosomal compartment that would facilitate peptide exchange, analogous to the invariant chain-associated CLIP peptide and MHC-II. Consistent with such a peptide exchange mechanism, MHC-E peptide loading has been directly demonstrated in the *M. tuberculosis* phagolysosome 15 (Grotzke JE *et al.*, PLoS Pathog 5, e1000374 (2009); incorporated by reference herein).

CMV is not the only intracellular pathogen to up-regulate MHC-E expression. Hepatitis C also encodes an MHC-E-binding peptide which up-regulates MHC-E expression (Natterman J *et al.*, Am J Pathol 166, 443 (2005); incorporated by reference herein), and both HIV and SIV up-regulate MHC-E by an uncharacterized mechanism in concert with MHC-Ia down-regulation 20 (Natterman J *et al.*, Antivir Ther 10, 95 (2005); incorporated by reference herein) (Fig. 27). This common adaptation suggests that, for these and likely other intracellular pathogens, the evolutionary pressure to up-regulate MHC-E to counter NK cell responses outweighs the potential risk of increased susceptibility to MHC-E-restricted CD8<sup>+</sup> T cells, perhaps because MHC-E-restricted CD8<sup>+</sup> T cells are poorly primed during infection by these agents. The reason 25 MHC-E-restricted CD8<sup>+</sup> T cell responses are such a minor component of the modern mammalian immune system is unclear, especially given the finding in this report that such responses can be quite diverse and broad (although, arguably, less diverse and broad on a population level than polymorphic MHC-1a; Fig. 28). However, Rh157.5/.4 gene-deleted RhCMV vectors are able to

bypass the intrinsic constraint of MHC-E-restricted CD8<sup>+</sup> T cell priming. Although the mechanism by which this bypass is accomplished remains to be elucidated, the ability of these vectors to strongly elicit broad, diverse and MHC-Ia haplotype-independent CD8<sup>+</sup> T cell responses offers the opportunity to develop MHC-E-restricted, CD8<sup>+</sup> T cell-targeted vaccines

5 that exploit MHC-E up-regulation, an intrinsic vulnerability in the immune-evasion strategies of many highly adapted persistent pathogens. Moreover, because of limited MHC-E polymorphism, an MHC-E-restricted CD8<sup>+</sup> T cell response-targeted vaccine would elicit largely similar responses in all or most vaccinees, potentially providing for efficacy in all individuals regardless of MHC genotype. Evolution may have disfavored MHC-E as a primary restricting

10 molecule for CD8<sup>+</sup> T cells in modern mammals in lieu of the polymorphic MHC-Ia system, but if HCMV vectors are able to recapitulate in humans the biology of Rh157.5/.4 gene-deleted RhCMV vectors in macaques (or if alternative, non-CMV-based strategies to elicit broadly targeted MHC-E-restricted CD8<sup>+</sup> T cell responses can be developed), vaccinologists may be able to resurrect this dormant MHC-E-based adaptive immune system to attack pathogens with

15 novel immune responses that they are not adapted to effectively evade.

**Materials and Methods:**

Vaccines: The construction, characterization, and administration of 1) the strain 68-1 RhCMV vectors expressing SIV Gag and 5'-Pol, 2) the strain 68-1.2 RhCMV vector expressing SIV Gag, 3) the MVA and Adenovirus 5 (Ad5) vectors expressing SIV Gag, and 4) the SIV Gag-encoding DNA + IL-12 vaccine have been previously reported (Hansen *et al.* *Science* (2013), *supra*; Hansen *et al.* (2011), *supra*; Hansen *et al.* *Nature* (2013), *supra*; and Hansen *et al.* (2009), *supra*). A strain RhCMV 68-1 expressing a fusion protein of the *M. tuberculosis* gene products Rpfa, Rpfc and Rpfd driven by an MCMV IE promoter and inserted into the 5' region of Rh211 was provided by Aeras (Rockville, MD, USA). A Rh157.5 (UL128) – Rh157.4 (UL130) double

20 deletion mutant based on strain 68-1.2 RhCMV/gag was also constructed by homologous recombination. To accomplish this, recombination primers flanking the target region (forward mutagenesis primer 5'-AAACTATAATCAACAACTCTACCTTGTTGCTGATGCTA TTGCGT-3' and reverse mutagenesis primer 5'-ATTTTCGATAAAAAATCACAGCAAACATACTG

25

GTTTACACACTTAT-3') were designed. Since the Rh157.6 (UL131A) and Rh157.4 (UL130) open reading frames (ORFs) overlap in RhCMV, the deletion was constructed in a fashion that retained the end of the Rh157.6 (UL131A) ORF plus additional 50 bp to ensure expression of the encoded protein. Mini plasmid R6K-kan-F5 was used to amplify a kanamycin resistance cassette flanked by alternative (F5) FRT sites using the forward primer binding site (5'-GAAAAGTGCCACCTGCAGAT-3') and reverse primer binding site (5'-CAGGAACACTAACGGCTGA-3'), which were added to the 3' end of the mutagenesis primers. E/T homologous recombination in *E. coli* strain SW105 (Warming S *et al.*, Nucleic Acids Res 33, e36 (2005); incorporated by reference herein) was performed as published elsewhere (Muyrers JP *et al.*, Nucleic Acids Res 27, 1555 (1999); incorporated by reference herein). Successful deletion of the targeted ORFs was confirmed by performing polymerase chain reactions on viral DNA and cDNA of infected cells with primers specific for the deleted and neighboring genes. Expression of the SIVmac239gag transgene was confirmed by immunoblot analysis of primary rhesus fibroblasts infected with the ΔRh157.5 (UL128) – Rh157.4 (UL130) 68-1.2 RhCMV/gag vector. See Fig. 23 for depiction of genomic differences between strain 68-1, 68-1.2, and ΔRh157.5/Rh157.4 (ΔUL128/UL130) RhCMV vectors.

Rhesus Macaques: A total of 207 purpose-bred male or female rhesus macaques (*Macaca mulatta*) of Indian genetic background were used in the experiments reported in this example, 88 of which were also studied in a previous report (Hansen *et al.* *Science* (2013), *supra*). These macaques included 159 macaques vaccinated with strain 68-1 RhCMV vectors expressing SIVgag, SIV5'-pol, TB-ESAT-6/Ag85B or TB-RpfA/RpfC/RpfD inserts (62 previously reported); 9 macaques vaccinated with strain 68-1.2 RhCMV/gag vectors (6 previously reported); 4 macaques vaccinated with ΔRh157.5/.4-deleted strain 68-1.2 RhCMV/gag vectors (none previously reported); 11, 3, and 4 macaques vaccinated with SIVgag-expressing MVA/gag, Ad5/gag, and DNA/gag+IL-12 vaccines, respectively (3, all, and all previously reported, respectively); 13 unvaccinated macaques with controlled SIVmac239 infection (plateau phase plasma viral loads < 10,000 copies/ml; 6 previously reported); and 4 unvaccinated macaques naturally infected with ONPRC colony-circulating strains of RhCMV (all previously reported). All

macaques were used with the approval of the Oregon National Primate Research Center Institutional Animal Care and Use Committee, under the standards of the US National Institutes of Health Guide for the Care and Use of Laboratory Animals. Macaques used in these experiments were free of cercopithicine herpesvirus 1, D-type simian retrovirus, and simian T-5 lymphotropic virus type 1. Selected macaques were MHC-I genotyped by deep sequencing, as described (Wiseman (2009), *supra*). Briefly, amplicons of Mamu class I sequences were generated via amplification of cDNA by PCR using high-fidelity Phusion™ polymerase (New England Biolabs) and a pair of universal MHC-I-specific primers with the following thermocycling conditions: 98° C for 3 minutes, (98° C for 5 seconds, 57° C for 1 second, 72° C for 10 20 seconds) for 23 cycles, and 72° C for 5 minutes. Each PCR primer contained a unique 10 bp Multiplex Identifier (MID) tag along with an adaptor sequence for 454 Sequencing™ (5'-GCCTCCCTCGGCCATCAG-MID-GCTACGTGGACGACACG-3'; 5'-GCCTTGCAGCCCCGCTCAG-MID-15 TCGCTCTGGTTGTAGTAGC-3'). Resulting amplicons span 190 bp of a highly polymorphic region within exon two. The primary cDNA-PCR products were purified using AMPure XP magnetic beads (Beckman Coulter Genomics). Emulsion PCR and pyrosequencing procedures were carried out with Genome Sequencer FLX instruments (Roche/454 Life Sciences) as per the manufacturer's instructions. Data analysis was performed using a Labkey database in conjunction with Geneious-Pro® bioinformatics software (Biomatters Ltd.) for sequence assembly.

20 Antigens and Antigen-Presenting Cells: The synthesis of sequential 15-mer peptides (overlapping by 11 amino acids) comprising the SIVgag and pol, RhCMV IE1, and TB Ag85B, ESAT-6, and RpfA proteins, as well as specific 9-14mer peptides within these proteins, was performed by Intavis AG, based on the SIVmac239 Gag and Pol sequence (Genbank Accession #M33262), the strain 68-1 RhCMV IE-1 sequence (Genbank Accession #AY186194), or Erdman 25 strain *M. tuberculosis* Ag85B, ESAT-6, and RpfA sequences (Genbank Accession #s BAL65871.1; BAL68013.1; and BAL64766.1, respectively). All peptides are identified by the position of their inclusive amino acids from the N-terminus (e.g., Gag<sub>xx-yy</sub>). Consecutive 15mers are also designated by their position starting from the N-terminal 15mer (e.g., Gag1-15 (1) is 15mer #1;

Gag5-19 (2) is 15mer #2, etc.). Unless otherwise specified, these peptides were used in T cell assays at 2  $\mu$ g/ml. Autologous B-lymphoblastoid cell lines (BLCL) were generated by infecting rhesus macaque PBMC with Herpesvirus papio, as previously described (Hansen *et al.* *Science* (2013), *supra*). Mammalian expression vectors for Mamu class I molecules were generated by 5 ligating each allele into pCEP4 KpnI/NotI or HindIII/NotI restriction (Ulbrecht M *et al.*, *J Immunol* 164, 5019 (2000); incorporated by reference herein) sites. Plasmids were cloned in DH5 $\alpha$  *E. coli* (Life Technologies), sequence confirmed, and electroporated into MHC-I-negative K562, 721.221, or RMAS cells (Anderson KS *et al.*, *J Immunol* 151, 3407 (1993); incorporated by reference herein) using Nucleofector II/Kit C (Lonza). Transfectants were maintained on drug 10 selection (Hygromycin B) and routinely confirmed for surface expression of MHC-I by staining with pan-MHC-I antibody clone W6/32. Throughout use in T cell assays, mRNA from MHC-I transfectants was extracted using the AllPrep DNA/RNA Mini Kit (Qiagen), amplified by RT-PCR using primer pairs flanking a highly polymorphic region within exon 2, and sequence confirmed. MHC-I transfectants and BLCL were pulsed with peptides of interest at a final concentration of 15 10  $\mu$ M for 90 minutes then washed three times with warm PBS and once with warm RPMI 1640 media with 10% fetal calf serum to remove unbound peptide before combining with freshly isolated PBMC at an effector:target ratio of 10:1. In order to stabilize Mamu-E surface expression, Mamu-E transfectants were incubated at 27° C for >3 hours prior to use in assays and maintained at 27° C throughout peptide incubation until combined with effectors. 20 Autologous SIV-infected target cells were generated by isolation of CD4 $^{+}$  T cells from PBMC with CD4 microbeads and LS columns (Miltenyi Biotec), activation with a combination of IL-2 (NIH AIDS Reagent Program), *Staphylococcus enterotoxin B* (Toxin Technologies Inc.), and anti-CD3 (NHP Reagent Resource), anti-CD28, and anti-CD49d mAbs (BD Biosciences), and spinoculation with sucrose-purified SIVmac239, followed by 3-4 days of culture. Prior to use in T cell assays, 25 SIV infected target cells were purified using CD4 microbeads and LS columns (Miltenyi Biotec), as previously described (Sacha JB *et al.*, *J Immunol* 178, 2746 (2007); incorporated by reference herein). Infected cell preparations were >95% CD4 $^{+}$  T cells and >50% SIV-infected following enrichment and were used at an effector:target ratio of 40:1 (PBMC and isolated CD8+ T cells)

or 8:1 (T cell line effectors). In these experiments, uninfected, activated CD4+ T cells served as negative control APCs (uninfected targets from SIV+ macaques were cultured with 400  $\mu$ M tenofovir (NIH AIDS Reagent Program)). To assess total MHC-I and MHC-E expression, SIV-infected CD4 $^{+}$  T cells were generated as described above without post-infection purification and 5 stained for surface MHC-I (clone W6/32), MHC-E (clone 4D12; anti-mouse IgG1 clone M1-14D12), CD3, and CD4, followed by intracellular SIV Gag.

T Cell Assays: SIV-, RhCMV-, and TB-specific CD8 $^{+}$  T cell responses were measured in mononuclear cell preparations from blood by flow cytometric ICS, as previously described (Hansen *et al. Science* (2013), *supra*). Briefly, mononuclear cells or isolated CD8 $^{+}$  T cells were 10 incubated with antigen (peptides, peptide-pulsed BLCL or MHC-Ia or MHC-E transfectants, or SIV-infected autologous CD4 $^{+}$  T cells) and the co-stimulatory molecules CD28 and CD49d (BD Biosciences) for 1 hour, followed by addition of Brefeldin A (Sigma-Aldrich) for an additional 8 hours. Co-stimulation without antigen served as the primary background control. The MHC 15 association (MHC-Ia, MHC-E, MHC-II) of a response was determined by pre-incubating isolated mononuclear cells, antigen-presenting cells or SIV-infected CD4 $^{+}$  cells for 1 hour at room temperature (prior to adding peptides or combining effector and target cells and incubating per the standard ICS assay) in the presence of the following blockers: 1) the pan anti-MHC-I mAb W6/32 (10 mg/ml), 2) the MHC-II-blocking CLIP peptide (MHC-II-associated invariant chain, amino acids 89-100; 20 $\mu$ M), and 3) the MHC-E blocking VL9 peptide (VMAPRTLLL; 20  $\mu$ M), alone 20 or in combination. In some experiments, the Mamu-A1\*001:01-binding peptide CM9 (CTPYDINQM; 20  $\mu$ M), or the Mamu-A1\*002:01-binding peptide GY9 (GSENLKSLY; 20  $\mu$ M) were used as blocking controls. Stimulated cells were fixed, permeabilized and stained as previously 25 described (Hansen *et al. Science* (2013), *supra*), and flow cytometric analysis was performed on an LSR-II instrument (BD Biosciences). Analysis was done using FlowJo software (Tree Star). In all analyses, gating on the light scatter signature of small lymphocytes was followed by progressive gating on the CD3 $^{+}$  population and then the CD4 $^{-}$ /CD8 $^{+}$  T cell subset. Antigen-specific response frequencies for CD8 $^{+}$  T cell populations were routinely determined from intracellular expression of CD69 and either or both TNF- $\alpha$  and IFN- $\gamma$ . For epitope deconvolution

experiments, strict response criteria were used to prevent false positives. In these studies, a response to a given 15mer peptide was considered positive if the frequency of events clustered as CD69<sup>+</sup>, TNF- $\alpha$ <sup>+</sup> and IFN- $\gamma$ <sup>+</sup> was >0.05%, with background <0.01% in at least 2 independent assays. The classification of an individual peptide response as blocked was based on >90% 5 inhibition by blockade relative to the isotype control. Responses that did not meet these criteria were considered indeterminate. To be considered MHC-E-restricted by blocking, the individual peptide response must have been blocked by both anti-MHC-I clone W6/32 and MHC-E-binding peptide VL9, and not blocked by the CLIP peptide. Minimal independent epitope numbers were estimated from the positive responses identified by testing of consecutive 15mer peptides by 10 the following criteria: single positive peptide of same restriction type = 1 independent epitope; 2 adjacent positive peptides of same restriction type = 1 independent epitope; 3 adjacent positive peptides of same restriction type = 2 independent epitopes; 4 adjacent positive peptides of same restriction type = 2 independent epitopes; and 5 adjacent positive peptides of same restriction type = 3 independent epitopes.

15                   **Antibodies:** The following conjugated antibodies were used in these studies: a) from BD Biosciences, L200 (CD4; AmCyan), SP34-2 (CD3; PacBlu), SK1 (CD8a; TruRed, AmCyan), 25723.11 (IFN- $\gamma$ ; APC, FITC), 6.7 (TNF; APC), MAAb11 (TNF; Alexa700), b) from Beckman Coulter, L78 (CD69; PE), 2ST8.5H7 (CD8 $\beta$ ; PE), Z199 (NKG2A/C or CD159a/c; PE), c) from Biolegend, W6/32 (pan-MHC-I; PE), OKT-4 (CD4; PE-Cy7), B1 (TCR $\gamma$ / $\delta$ ; Alexa647), d) from Miltenyi Biotec, M-T466 (CD4; 20 APC), e) from eBiosciences, M1-14D12 (mouse IgG1; PE-Cy7). The following unconjugated antibodies were used in these studies: a) from Advanced BioScience Laboratories, 4324 (SIV Gag p27), b) from LSBio, 4D12 (HLA-E), c) W6/32 (pan-MHC-I). LIVE/DEAD Fixable Yellow Dead Cell Stain (LIFE Technologies) was used to assess cell viability.

Epitope Sequence Analysis: Sequence LOGOs were created using the Los Alamos HIV 25 database tool Analyze Align ([http://www.hiv.lanl.gov/content/sequence/ANALYZEALIGN/analyze\\_align.html](http://www.hiv.lanl.gov/content/sequence/ANALYZEALIGN/analyze_align.html)), which was based on WebLogo3 {Crooks, 2004 #150}. Statistical enrichment or underrepresentation of amino acids in each position in the 11 optimal MHC-E 9-mer epitopes recognized in the

macaques, and for the 551 HLA-E eluted peptides from TAP-deficient cells published in Lampen *et al.*, *supra* were calculated using the Composition Profiler Tool (<http://cprofiler.org/cgi-bin/profiler.cgi>) (Vacic V *et al.*, BMC Bioinform 8, 211 (2007); incorporated by reference herein). The amino acid composition of each position in the 11 optimal peptides was compared to the 5 amino acid frequencies found in SIVmac239 Gag (GenBank accession #M33262), the insert strain used for the vaccine. To compare the per position composition of the 11 optimal peptides to previously published peptides eluted from HLA-E in a TAP-deficient setting, the full set of 551 eluted peptides previously published in Lampen *et al.* was used. The peptides in Lampen *et al.* varied in length, between 8 and 13 amino acids; 9 was the most common length. They had used 10 a motif searching algorithm to explore amino acid enrichment and under-representation among 315 9 mers in their eluted set (Fig. 2 in Lampen *et al.*) as position 2 and the C-terminal position was of most interest, regardless of length, a slightly different approach was taken to exploring 15 their published data, and characterized an aligned version of all of their 551 eluted peptides. Gaps were added to maintain the alignment as needed after position 8, to enable a 2<sup>nd</sup> position and aligned C-terminus evaluation including all peptides. Their data was compared for each 20 alignment position to the amino acid frequencies found in natural proteins based on SwissProt 51 (Bairoch A *et al.*, Nucleic Acids Res 33, D154 (2005); incorporated by reference herein).

The sequence LOGO shown in Fig. 13D indicates the frequency of each amino acid in a given position (relative to their background frequency in SIVmac239 Gag) by the height of the 20 letter, based on 11 optimal, MHC-E-restricted SIVgag 9mer peptide epitopes recognized by CD8+ T cells in strain 68-1 RhCMV vector-vaccinated macaques. The sequence LOGO in Fig. 13D is colored according to enrichment (boxes with grey fill or hatched boxes) or underrepresentation (boxes with white fill) among 551 peptides eluted from HLA-E in a TAP-deficient setting by Lampen *et al.* As shown in the right panel of Fig. 13D, amino acids enriched 25 in the 2<sup>nd</sup> and C-terminal anchor positions among the 551 Lampen *et al.* peptides were rare among our 11 optimal SIVgag peptides, while those that were significantly underrepresented were enriched.

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or changes in light thereof will be suggested to person skilled in the art and are to be included within the spirit and purview of this application.

5        All publications, patents, patent applications, internet sites, and accession numbers/database sequences including both polynucleotide and polypeptide sequences cited herein are hereby incorporated by reference herein in their entirety for all purposes to the same extent as if each individual publication, patent, patent application, internet site, or accession number/database sequence were specifically and individually indicated to be so  
10      incorporated by reference.

## CLAIMS

1. A cytomegalovirus (CMV) vector comprising:
  - (1) a first nucleic acid sequence encoding at least one heterologous antigen;
  - (2) a second nucleic acid sequence encoding at least one active UL40 protein, or an ortholog or homolog thereof; and
  - (3) a third nucleic acid sequence encoding at least one active US28 protein, or an ortholog or homolog thereof;

wherein the CMV vector does not express an active UL128 protein, or an ortholog thereof, and does not express an active UL130 protein, or an ortholog thereof.
2. The CMV vector of claim 2, wherein the at least one heterologous antigen comprises a pathogen-specific antigen, a tumor antigen, a tissue-specific antigen, or a host self-antigen.
3. The CMV vector of claim 2, wherein the host self-antigen is an antigen derived from the variable region of a T cell receptor (TCR) or an antigen derived from the variable region of a B cell receptor.
4. The CMV vector of claim 2, wherein the pathogen-specific antigen is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.
5. The CMV vector of claim 2, wherein the tumor antigen is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

6. The CMV vector of any one of claims 1-5, wherein the CMV vector does not express an active UL128 or UL130 protein, or orthologs thereof, due to the presence of one or more mutations in the nucleic acid sequence encoding UL128 or UL130, or orthologs thereof.
7. The CMV vector of claim 6, wherein the one or more mutations in the nucleic acid sequence encoding UL128 or UL130, or orthologs thereof, are selected from the group consisting of: point mutations, frameshift mutations, truncation mutations, and deletion of all of the nucleic acid sequence encoding the protein.
8. The CMV vector of any one of claims 1-7, wherein the CMV vector further comprises a fourth nucleic acid sequence, wherein the fourth nucleic acid sequence comprises an antisense sequence or an RNAi sequence that inhibits the expression of UL128 or UL130, or orthologs thereof.
9. The CMV vector of any one of claims 1-8, wherein the CMV vector further comprises at least one inactivating mutation in one or more viral genes encoding viral proteins that are essential, non-essential, or augmenting for growth *in vivo*
10. The CMV vector of claim 9, wherein the at least one inactivating mutation is selected from the group consisting of: point mutation, frameshift mutation, truncation mutation, and deletion of all of the nucleic acid sequence encoding the viral protein.
11. The CMV vector of claim 9 or 10, wherein the at least one inactivating mutation is in UL82 (pp71)
12. The CMV vector of any one of claims 9-11, wherein the at least one inactivating mutation is in US11.

13. The CMV vector of any one of claims 1-12, wherein the CMV vector is a human CMV (HCMV) or rhesus CMV (RhCMV) vector.
14. A method of generating an immune response to at least one heterologous antigen in a subject, the method comprising administering to the subject the CMV vector of any one of claims 1-13 in an amount effective to elicit a CD8<sup>+</sup> T cell response to the first heterologous antigen in the subject.
15. The method of claim 14, wherein at least 10% of CD8<sup>+</sup> T cells elicited by the CMV vector are restricted by MHC-E, or an ortholog thereof.
16. The method of claim 15, wherein at least 20%, at least 30%, at least 40%, at least 50%, at least 60%, at least 75%, at least 90%, at least 95%, or at least 95% of the CD8<sup>+</sup> T cells elicited by the CMV vector are restricted by MHC-E, or an ortholog thereof.
17. The method of claim 15 or 16, further comprising identifying a CD8<sup>+</sup> TCR from the CD8<sup>+</sup> T cells elicited by the CMV vector, wherein the CD8<sup>+</sup> TCR recognizes a MHC-E/heterologous antigen-derived peptide complex.
18. The method of claim 17, wherein the CD8<sup>+</sup> TCR is identified by DNA or RNA sequencing.
19. The method of any one of claims 14-18, wherein the subject has been previously exposed to CMV.
20. The method of any one of claims 14-19, wherein the subject is a human or nonhuman primate.

21. The method of any one of claims 14-20, wherein administering the CMV vector comprises subcutaneous, intravenous, intramuscular, intraperitoneal, or oral administration of the CMV vector.
22. The method of any one of claims 14-21, further comprising administering a second CMV vector to the subject, wherein the second CMV vector comprises a nucleic acid sequence encoding at least one heterologous antigen.
23. The method of claim 22, wherein the second CMV vector expresses an active UL128 protein.
24. The method of claim 22 or 23, wherein the second CMV vector expresses an active UL130 protein.
25. The method of any one of claims 22-24, wherein the at least one heterologous antigen of the second CMV vector is a pathogen-specific antigen, a tumor antigen, a tissue-specific antigen, or a host self-antigen
26. The method of claim 25, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.
27. The method of claim 25, wherein the pathogen-specific antigen of the second CMV vector is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.
28. The method of claim 25, wherein the tumor antigen of the second CMV vector is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic

myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

29. The method of any one of claims 22-24, wherein the at least one heterologous antigen of the first CMV vector and the second CMV vector are the same antigen.

30. The method of any one of claims 22-29, wherein the second CMV vector is a HCMV or RhCMV vector.

31. The method of any one of claims 22-30, wherein administering the second CMV vector comprises intravenous, intramuscular, intraperitoneal, or oral administration of the second CMV vector.

32. The method of any one of claims 22-31, wherein the second CMV vector is administered before, concurrently with, or after the first CMV vector.

33. A CMV vector comprising a nucleic acid sequence encoding at least one heterologous antigen, wherein the CMV vector:

- (1) does not express an active UL128 protein, or an ortholog thereof;
- (2) does not express an active UL130 protein, or an ortholog thereof; and
- (3) does not express an active protein selected from UL40 or US28, or an ortholog thereof.

34. The CMV vector of claims 33, wherein the at least one heterologous antigen comprises a pathogen-specific antigen, a tumor antigen, a tissue-specific antigen, or a host self-antigen.

35. The CMV vector of claim 34, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.

36. The CMV vector of claim 34, wherein the pathogen-specific antigen is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.

37. The CMV vector of claim 34, wherein the tumor antigen is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

38. The CMV vector of any one of claims 33-37, wherein the vector does not express an active UL128, UL130, UL40, or US28 protein, or an ortholog thereof, due to the presence of one or more mutations in the nucleic acid sequence encoding UL128, UL130, UL40, or US28, or an ortholog thereof.

39. The CMV vector of claim 38, wherein the one or more mutations in the nucleic acid sequence encoding UL128, UL130, UL40, or US28 protein, or an ortholog thereof, are selected from the group consisting of: point mutations, frameshift mutations, truncation mutations, and deletion of all of the nucleic acid sequences encoding the protein.

40. The CMV vector of any one of claims 33-39, further comprising a second nucleic acid sequence, wherein the second nucleic acid sequence comprises an antisense sequence or an

RNAi sequence that inhibits the expression of UL128, UL130, UL40, or US28, or an ortholog thereof.

41. The CMV vector of any one of claims 33-40, wherein the CMV vector further comprises at least one inactivating mutation in one or more in viral genes encoding viral proteins that are essential, non-essential, or augmenting for growth *in vivo*.

42. The CMV vector of claim 41, wherein the at least one inactivating mutation is selected from the group consisting of: point mutation, frameshift mutation, truncation mutation, and deletion of all of the nucleic acid sequence encoding the viral protein.

43. The CMV vector of claim 41 or 42, wherein the at least one inactivating mutation is in UL82 (pp71).

44. The CMV vector of any one of claims 41-43, wherein the at least one inactivating mutation is in US11.

45. The CMV vector of any one of claims 33-44, wherein the CMV vector is a human CMV (HCMV) or rhesus CMV (RhCMV) vector.

46. A method of generating an immune response to at least one heterologous antigen in a subject, the method comprising administering to the subject the CMV vector of any one of claims 33-45 in an amount effective to elicit a CD8<sup>+</sup> T cell response to the at least one heterologous antigen in the subject.

47. The method of claim 46, wherein less than 1% of the CD8<sup>+</sup> T cells elicited by the CMV vector are restricted by MHC-E.

48. The method of claim 46 or 47, wherein more than 10% of CD8<sup>+</sup> T cells elicited by the CMV vector are stimulated by peptides presented by single or multiple MHC-II alleles.

49. The method of any one of claims 46-48, wherein the subject has been previously exposed to CMV.

50. The method of any one of claims 46-49, wherein the subject is a human or nonhuman primate.

51. The method of any one of claims 46-50, wherein administering the CMV vector comprises intravenous, intramuscular, intraperitoneal, or oral administration of the first CMV vector.

52. The method of any one of claims 46-51, further comprising administering a second CMV vector to the subject, wherein the second CMV vector comprises a nucleic acid sequence encoding at least one heterologous antigen.

53. The method of claim 52, wherein the second CMV vector expresses an active UL128 protein.

54. The method of claim 52 or 53, wherein the second CMV vector expresses an active UL130 protein.

55. The method of any one of claims 52-54, wherein the at least one heterologous antigen of the second vector is a pathogen-specific antigen, a tumor antigen, a tissue-specific antigen, or a host self-antigen.

56. The method of claim 55, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.

57. The method of claim 55, wherein the pathogen-specific antigen of the second CMV vector is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.

58. The method of claim 55, wherein the tumor antigen of the second CMV vector is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

59. The method of any one of claims 52-54, wherein the at least one heterologous antigen of the first CMV vector and the second CMV vector are the same antigen.

60. The method of any one of claims 52-59, wherein the second CMV vector is a HCMV or RhCMV vector.

61. The method of any one of claims 52-60, wherein administering the second CMV vector comprises intravenous, intramuscular, intraperitoneal, or oral administration of the second CMV vector.

62. The method of any one of claims 52-61, wherein the second CMV vector is administered before, concurrently with, or after the first CMV vector.

63. A method of generating CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes, the method comprising:

- (1) administering to a subject the CMV vector of any one of claims 1-13 in an amount effective to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes;
- (2) identifying a first CD8<sup>+</sup> TCR from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> TCR recognizes a MHC-E/heterologous antigen-derived peptide complex;
- (3) isolating one or more CD8<sup>+</sup> T cells from the subject; and
- (4) transfecting the one or more CD8<sup>+</sup> T cells with an expression vector, wherein the expression vector comprises a nucleic acid sequence encoding a second CD8<sup>+</sup> TCR and a promoter operably linked to the nucleic acid sequence encoding the second CD8<sup>+</sup> TCR, wherein the second CD8<sup>+</sup> TCR comprises CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR, thereby generating one or more transfected CD8<sup>+</sup> T cells that recognize a MHC-E/heterologous antigen-derived peptide complex.

64. The method of claim 63, wherein the first CD8<sup>+</sup> TCR is identified by DNA or RNA sequencing.

65. The method of claim 63 or 64, wherein the second CD8<sup>+</sup> TCR comprises CDR1 $\alpha$ , CDR2 $\alpha$ , CDR3 $\alpha$ , CDR1 $\beta$ , CDR2 $\beta$ , and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR.

66. The method of claim 65, wherein the nucleic acid sequence encoding the second CD8<sup>+</sup> TCR is identical to the nucleic acid sequence encoding the first CD8<sup>+</sup> TCR.

67. The method of any one of claims 63-66, wherein administering the CMV vector to the subject comprises intravenous, intramuscular, intraperitoneal, or oral administration of the CMV vector to the subject.

68. The method of any one of claims 63-67, wherein the subject has been previously exposed to CMV.

69. The method of any one of claims 63-68, wherein the subject is a human or nonhuman primate.

70. The method of any one of claims 63-69, wherein the at least one heterologous antigen of the CMV vector comprises a tumor antigen.

71. The method of claim 70, wherein the tumor antigen is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

72. The method of claim 70 or 71, further comprising administering the transfected CD8<sup>+</sup> T cells to the subject to treat cancer.

73. The method of any one of claims 63-69, wherein the at least one heterologous antigen of the CMV vector comprises a pathogen-specific antigen.

74. The method of claim 73, wherein the pathogen-specific antigen is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.

75. The method of claim 73 or 74, further comprising administering the transfected CD8<sup>+</sup> T cells to the subject to treat a pathogenic infection.

76. The method of any one of claims 63-69, wherein the at least one heterologous antigen of the CMV vector comprises a host self-antigen or a tissue-specific antigen.

77. The method of claim 76, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.

78. The method of claim 76 or 77, further comprising administering the transfected CD8<sup>+</sup> T cells to the subject to treat an autoimmune disease or disorder.

79. The method of claim 76 or 77, further comprising administering the transfected CD8<sup>+</sup> T cells to the subject to induce an autoimmune response to the host self-antigen or tissue-specific antigen.

80. A method of generating CD8<sup>+</sup> T cells that recognize MHC-E-peptide complexes, the method comprising:

- (1) administering to a first subject the CMV vector of any one of claims 1-13 in an amount effective to generate a set of CD8<sup>+</sup> T cells that recognize MHC-E/peptide complexes;
- (2) identifying a first CD8<sup>+</sup> TCR from the set of CD8<sup>+</sup> T cells, wherein the first CD8<sup>+</sup> TCR recognizes a MHC-E/heterologous antigen-derived peptide complex;
- (3) isolating one or more CD8<sup>+</sup> T cells from a second subject; and
- (4) transfecting the one or more CD8<sup>+</sup> T cells with an expression vector, wherein the expression vector comprises a nucleic acid sequence encoding a second CD8<sup>+</sup> TCR and a promoter operably linked to the nucleic acid sequence encoding the second CD8<sup>+</sup> TCR, wherein the second CD8<sup>+</sup> TCR comprises CDR3 $\alpha$  and CDR3 $\beta$  of

the first CD8<sup>+</sup> TCR, thereby generating one or more transfected CD8<sup>+</sup> T cells that recognize a MHC-E/heterologous antigen-derived peptide complex.

81. The method of claim 80, wherein the first CD8<sup>+</sup> TCR is identified by DNA or RNA sequencing.

82. The method of claim 80 or 81, wherein the first subject is a human or nonhuman primate.

83. The method of any one of claims 80-82, wherein the second subject is a human or nonhuman primate.

84. The method of any one of claims 80-83, wherein the first subject is a nonhuman primate and the second subject is a human, and wherein the second CD8<sup>+</sup> TCR is a chimeric nonhuman primate-human CD8<sup>+</sup> TCR comprising the non-human primate CDR3 $\alpha$  and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR.

85. The method of claim 84, wherein the second CD8<sup>+</sup> TCR comprises the non-human primate CDR1 $\alpha$ , CDR2 $\alpha$ , CDR3 $\alpha$ , CDR1 $\beta$ , CDR2 $\beta$ , and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR.

86. The method of any one of claims 80-83, wherein the second CD8<sup>+</sup> TCR comprises CDR1 $\alpha$ , CDR2 $\alpha$ , CDR3 $\alpha$ , CDR1 $\beta$ , CDR2 $\beta$ , and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR.

87. The method of claim 86, wherein the nucleic acid sequence encoding the second CD8<sup>+</sup> TCR is identical to the nucleic acid sequence encoding the first CD8<sup>+</sup> TCR.

88. The method of any one of claims 80-83, wherein the second CD8<sup>+</sup> TCR is a chimeric CD8<sup>+</sup> TCR.

89. The method of claim 88, wherein the second CD8<sup>+</sup> TCR comprises CDR1 $\alpha$ , CDR2 $\alpha$ , CDR3 $\alpha$ , CDR1 $\beta$ , CDR2 $\beta$ , and CDR3 $\beta$  of the first CD8<sup>+</sup> TCR.

90. The method of any one of claims 80-89, wherein administering the CMV vector to the first subject comprises intravenous, intramuscular, intraperitoneal, or oral administration of the CMV vector to the first subject.

91. The method of any one of claims 80-90, wherein the first subject has been previously exposed to CMV.

92. The method of any one of claims 80-91, wherein the at least one heterologous antigen comprises a tumor antigen.

93. The method of claim 92, wherein the tumor antigen is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia, myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

94. The method of claim 92 or 93, further comprising administering the transfected CD8<sup>+</sup> T cells to the second subject to treat cancer.

95. The method of any one of claims 80-91, wherein the at least one heterologous antigen comprises a pathogen-specific antigen.

96. The method of claim 95, wherein the pathogen-specific antigen is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.

97. The method of claim 95 or 96, further comprising administering the transfected CD8<sup>+</sup> T cells to the second subject to treat a pathogenic infection.

98. The method of any one of claims 80-91, wherein the at least one heterologous antigen comprises a host self-antigen or a tissue-specific antigen.

99. The method of claim 98, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.

100. The method of claim 98 or 99, further comprising administering the transfected CD8<sup>+</sup> T cells to the second subject to treat an autoimmune disease or disorder.

101. The method of claim 98 or 99, further comprising administering the transfected CD8<sup>+</sup> T cells to the subject to induce an autoimmune response to the host self-antigen or tissue-specific antigen.

102. A CD8<sup>+</sup> T cell generated by the method of any one of claims 63-101.

103. The CD8<sup>+</sup> T cell of claim 102, wherein the at least one heterologous antigen of the CMV vector comprises a tumor antigen.

104. The CD8<sup>+</sup> T cell of claim 103, wherein the tumor antigen is related to a cancer selected from the group consisting of: acute myelogenous leukemia, chronic myelogenous leukemia,

myelodysplastic syndrome, acute lymphoblastic leukemia, chronic lymphoblastic leukemia, non-Hodgkin's lymphoma, multiple myeloma, malignant melanoma, breast cancer, lung cancer, ovarian cancer, prostate cancer, pancreatic cancer, colon cancer, renal cell carcinoma (RCC), and germ cell tumors.

105. The CD8<sup>+</sup> T cell of claim 102, wherein the at least one heterologous antigen of the CMV vector comprises a pathogen-specific antigen.

106. The CD8<sup>+</sup> T cell of claim 105, wherein the pathogen-specific antigen is derived from a pathogen selected from the group consisting of: human immunodeficiency virus, simian immunodeficiency virus, herpes simplex virus, hepatitis B virus, hepatitis C virus, papillomavirus, *Plasmodium* parasites, and *Mycobacterium tuberculosis*.

107. The CD8<sup>+</sup> T cell of claim 102, wherein the at least one heterologous antigen of the CMV vector comprises a host self-antigen or a tissue-specific antigen.

108. The CD8<sup>+</sup> T cell of claim 107, wherein the host self-antigen is an antigen derived from the variable region of a TCR or an antigen derived from the variable region of a B cell receptor.

109. A method of treating cancer, the method comprising administering the CD8<sup>+</sup> T cell of claim 103 or 104 to a subject.

110. A method of treating a pathogenic infection, the method comprising administering the CD8<sup>+</sup> T cell of claim 105 or 106 to a subject.

111. A method of treating an autoimmune disease or disorder, the method comprising administering the CD8<sup>+</sup> T cell of claim 107 or 108 to a subject.

112. A method of inducing an autoimmune response to a host self-antigen or tissue-specific antigen, the method comprising administering the CD8<sup>+</sup> T cell of claim 107 or 108 to the subject.

Figure 1A

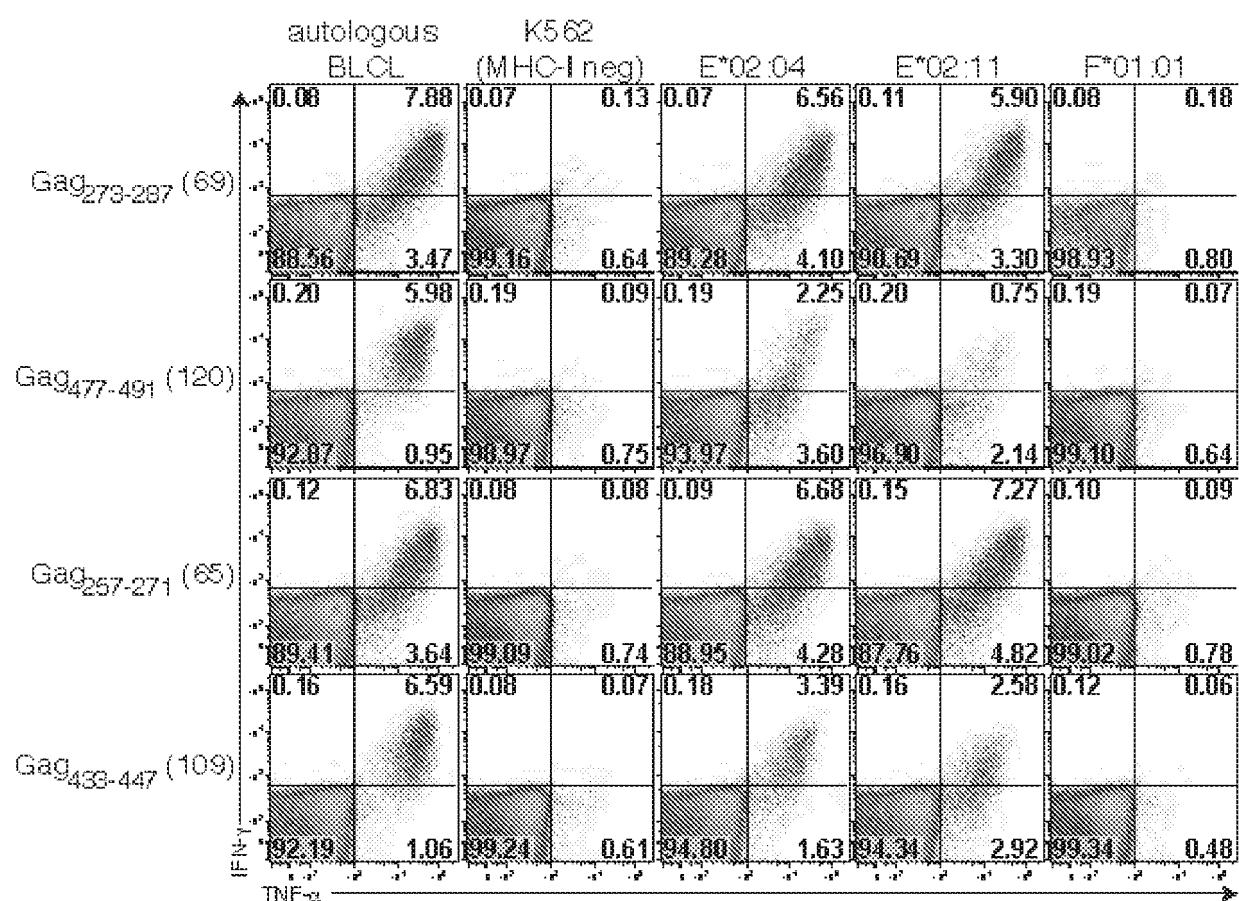


Figure 1B

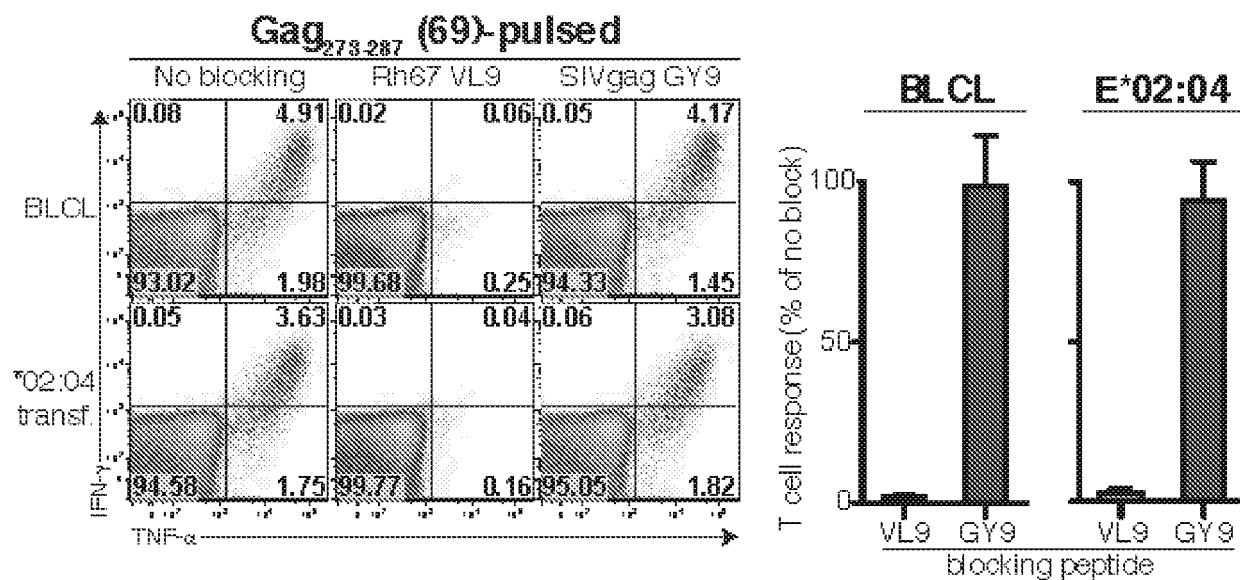


Figure 1C

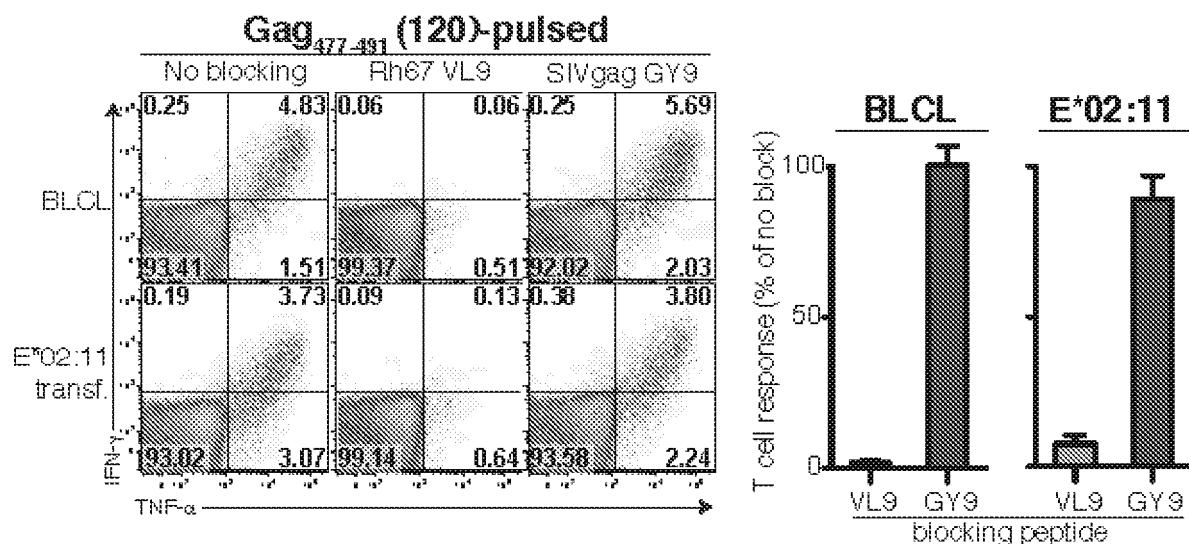


Figure 2A

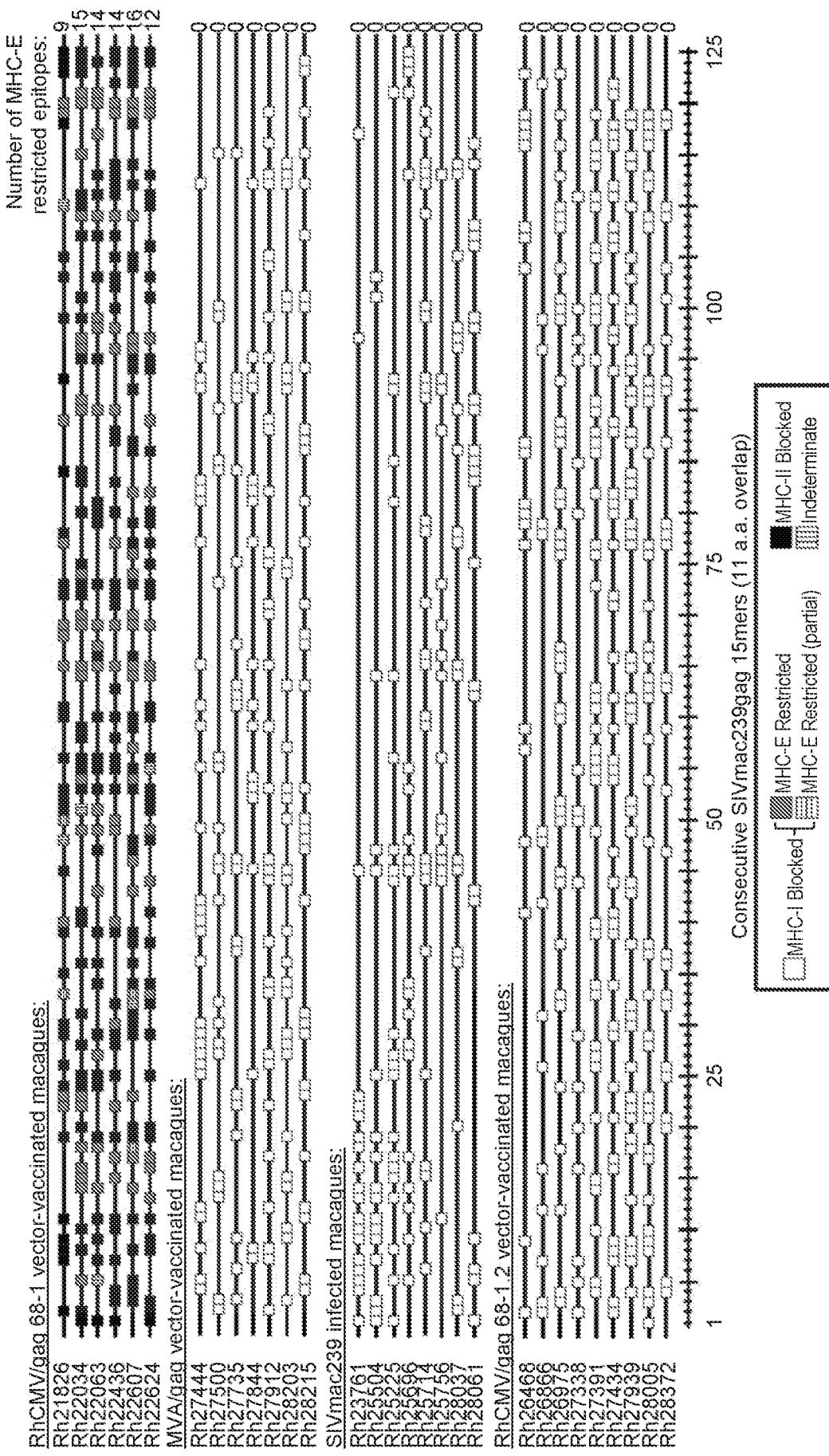


Figure 2B

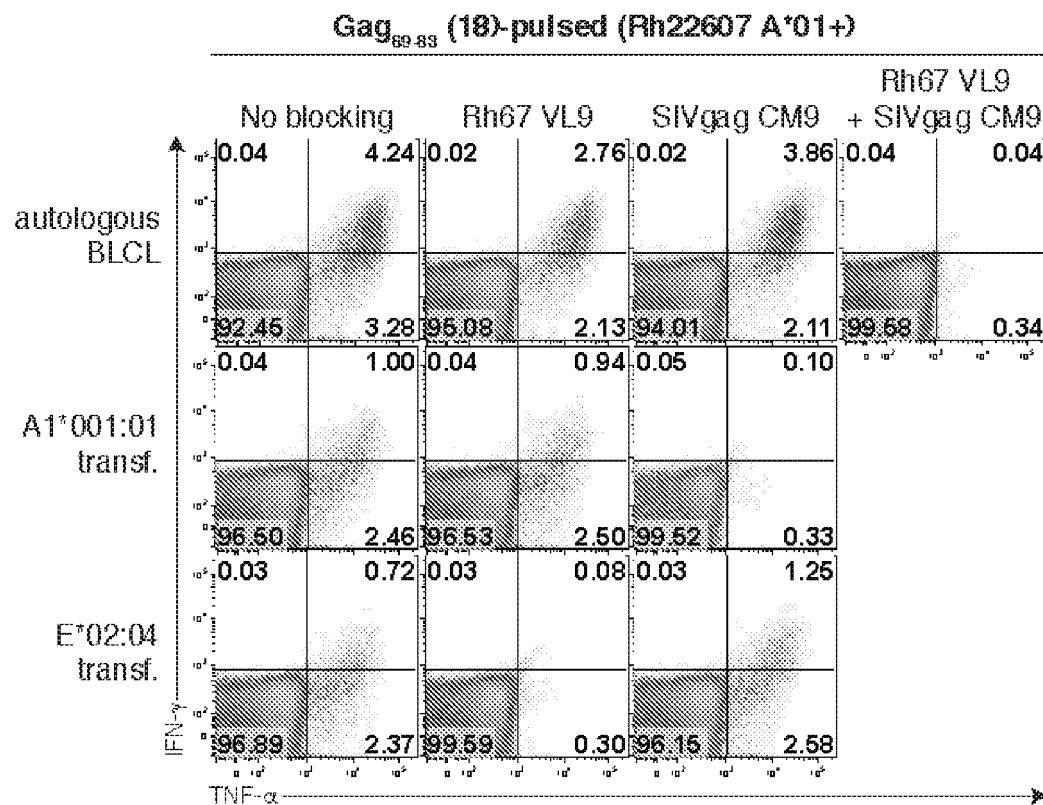


Figure 2C

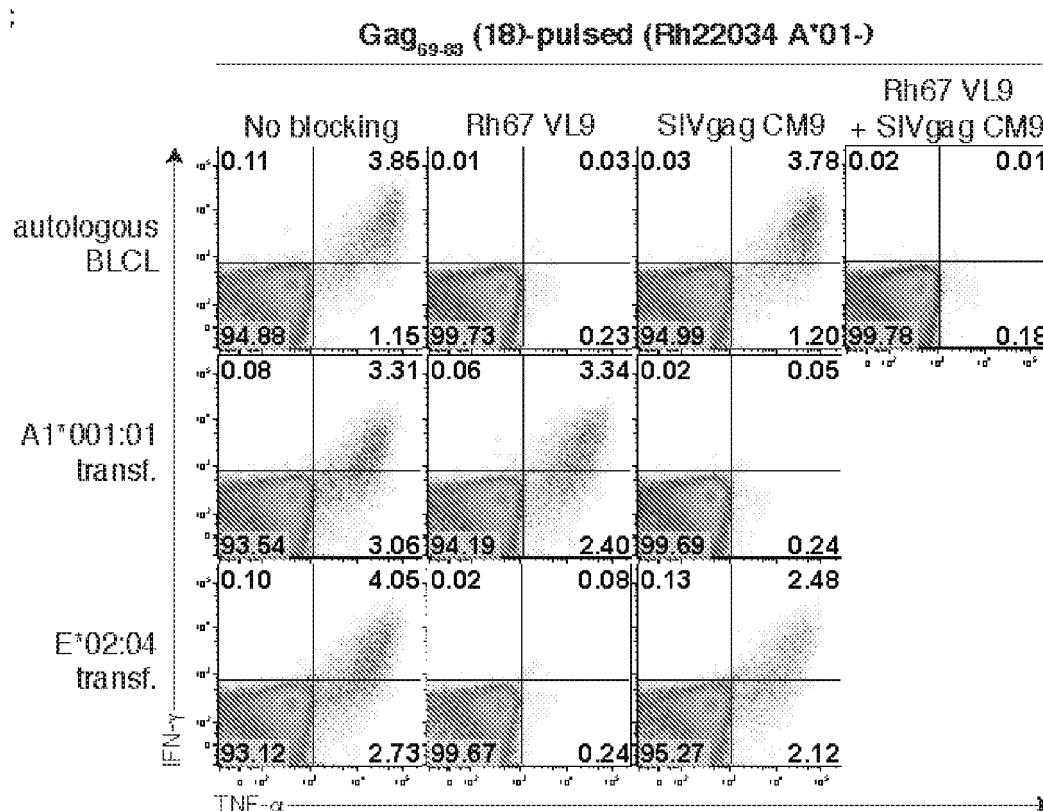


Figure 3A

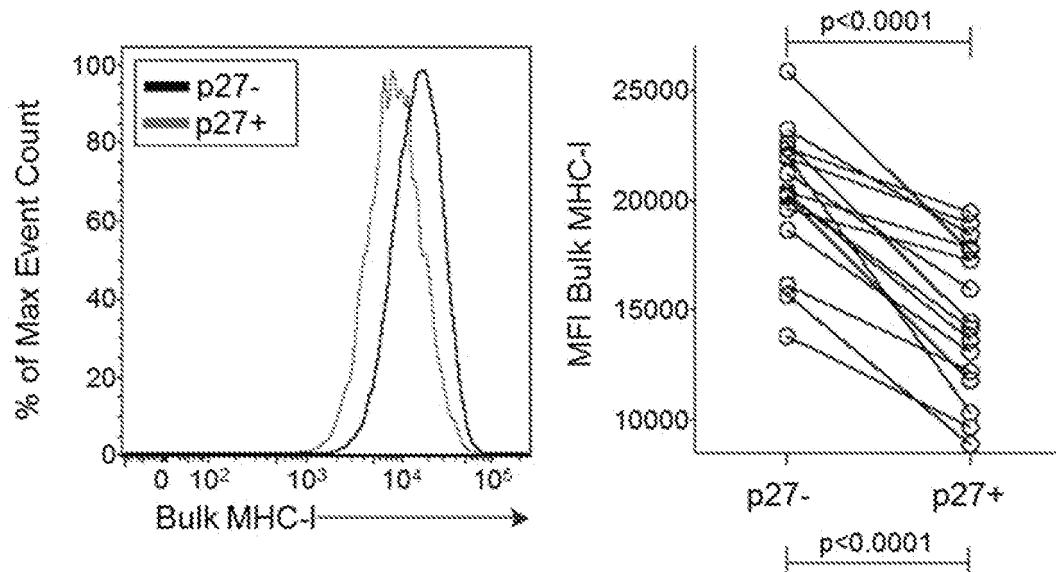
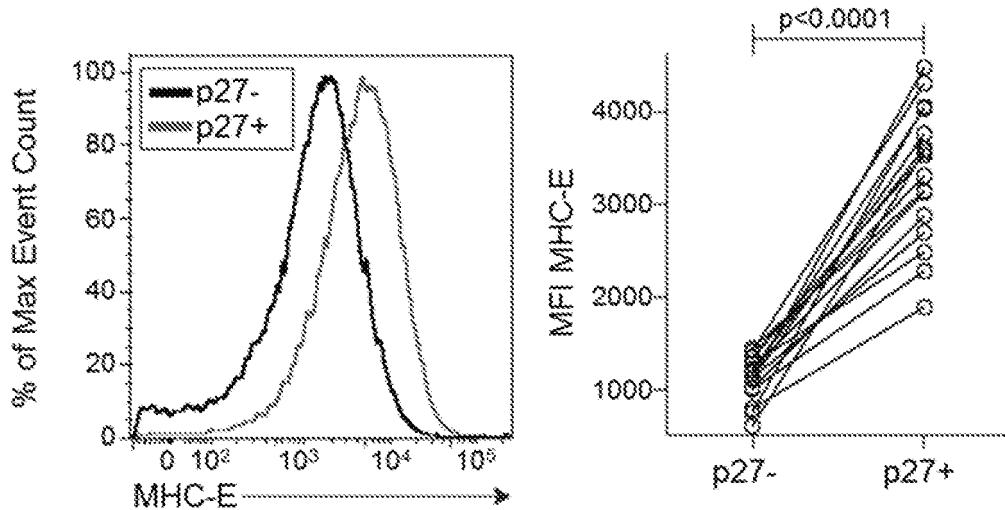


Figure 3B



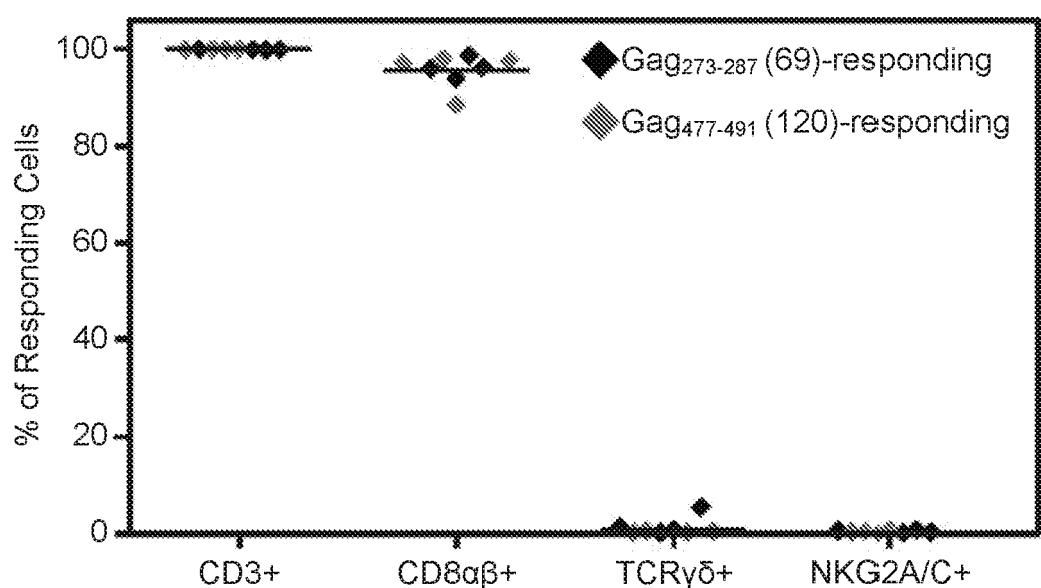
**Figure 3C**

Figure 4A

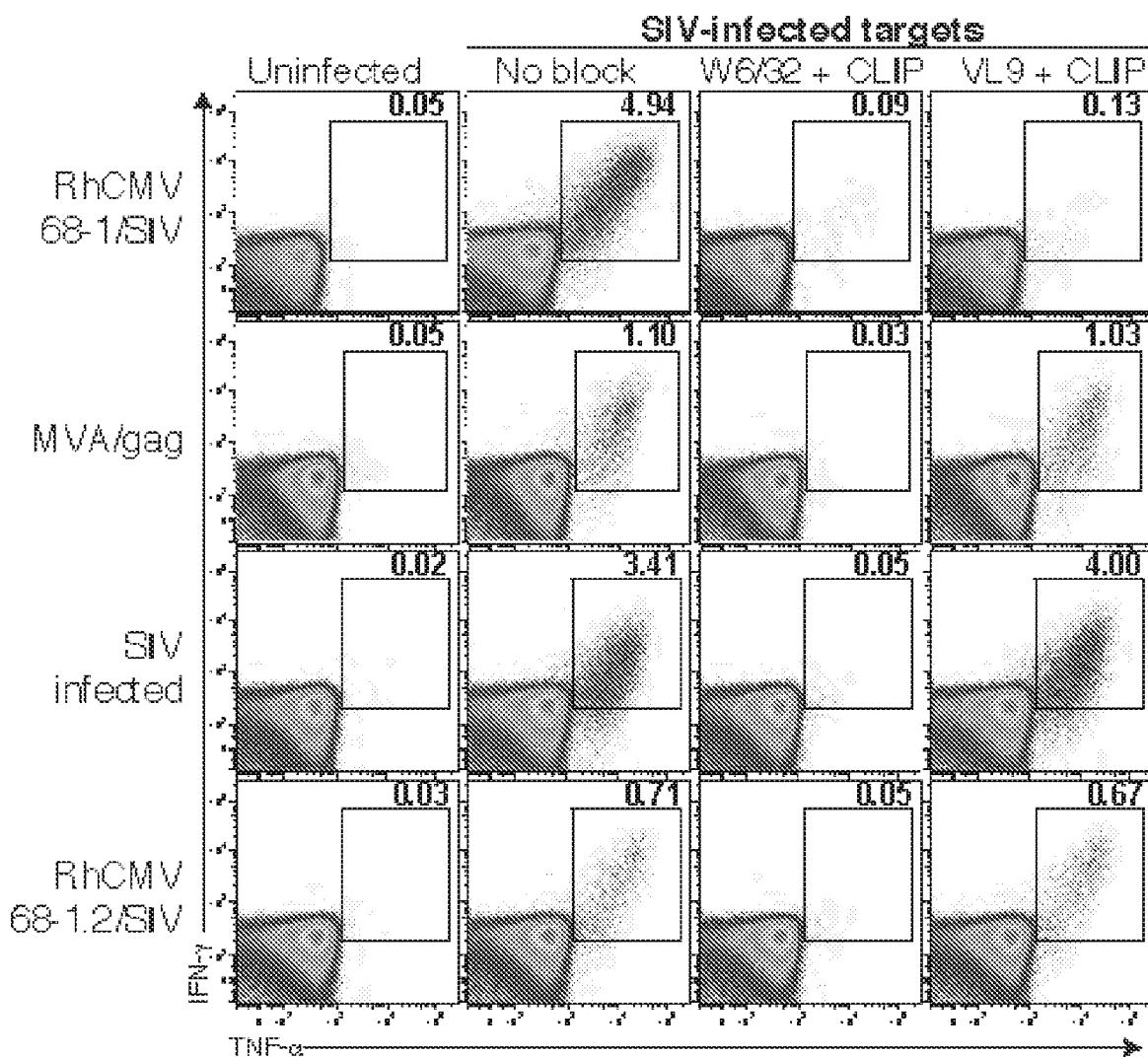


Figure 4B

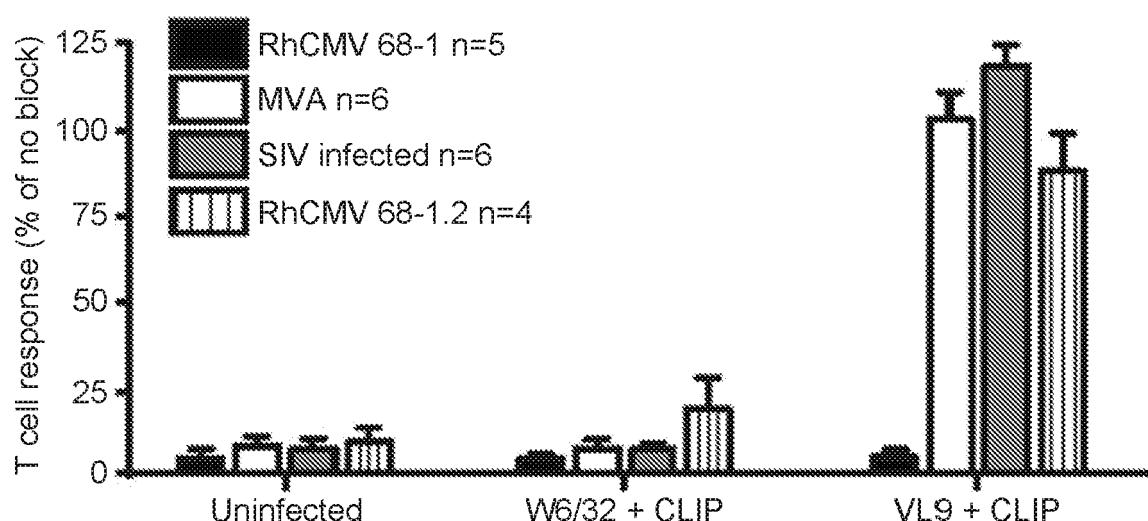


Figure 4C

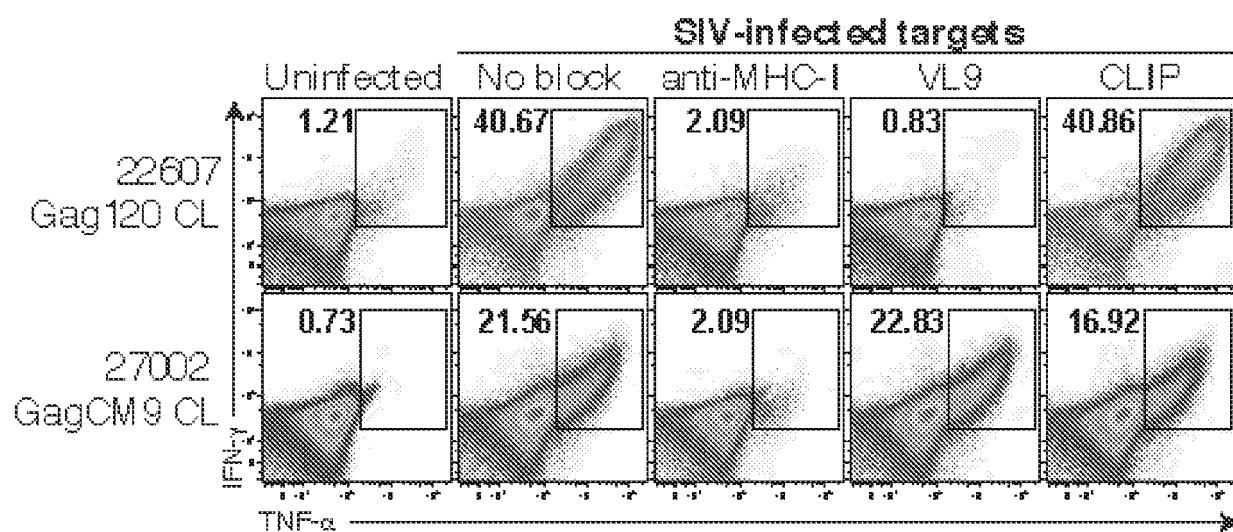


Figure 5

Rh67 deleted; strain 68-1 RhCMV/gag (UL128/UL130 deleted) vaccine:

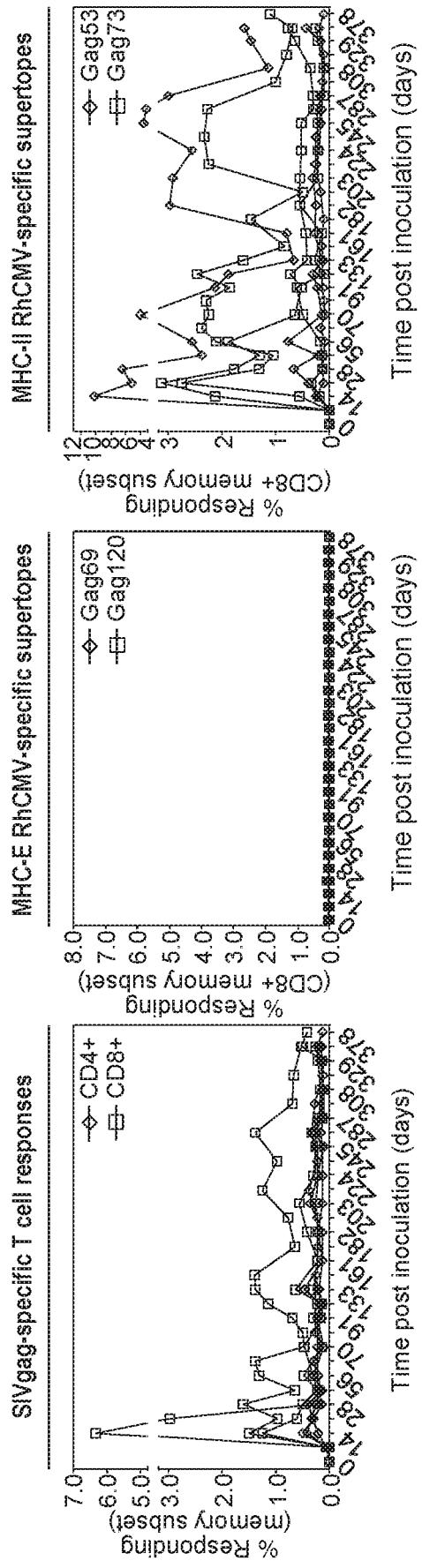
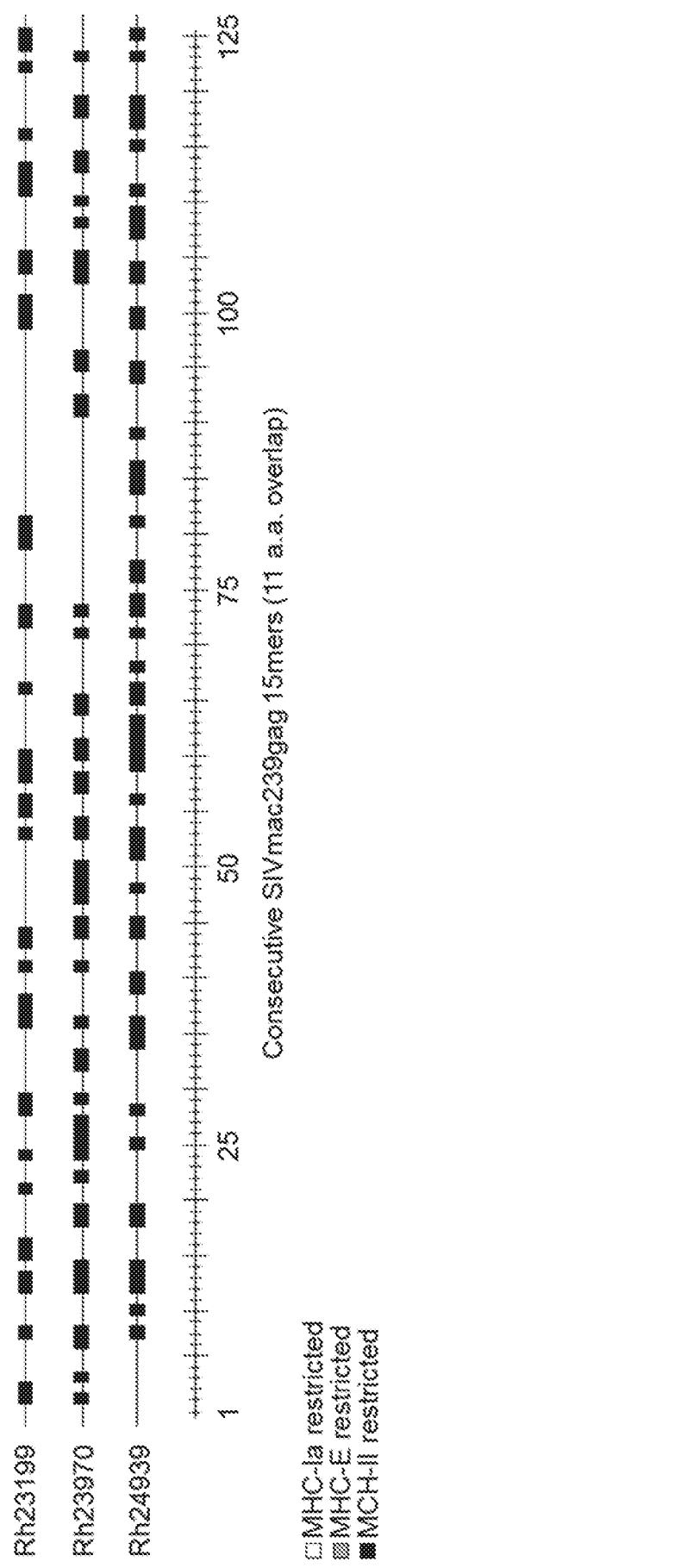


Figure 6



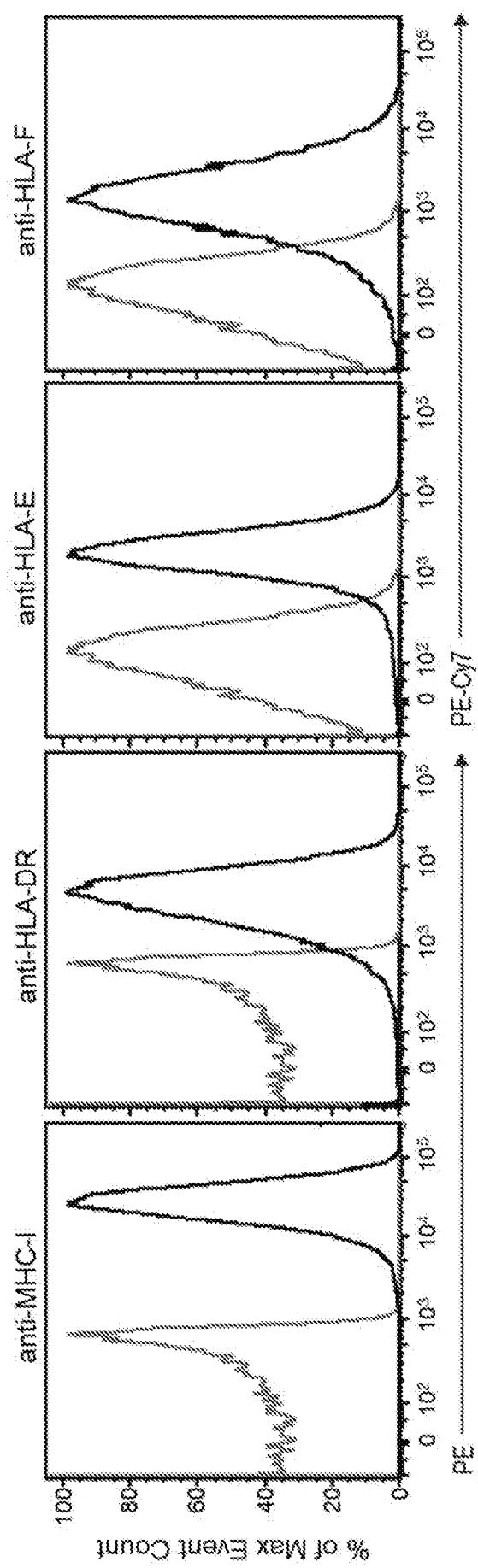


Figure 7A

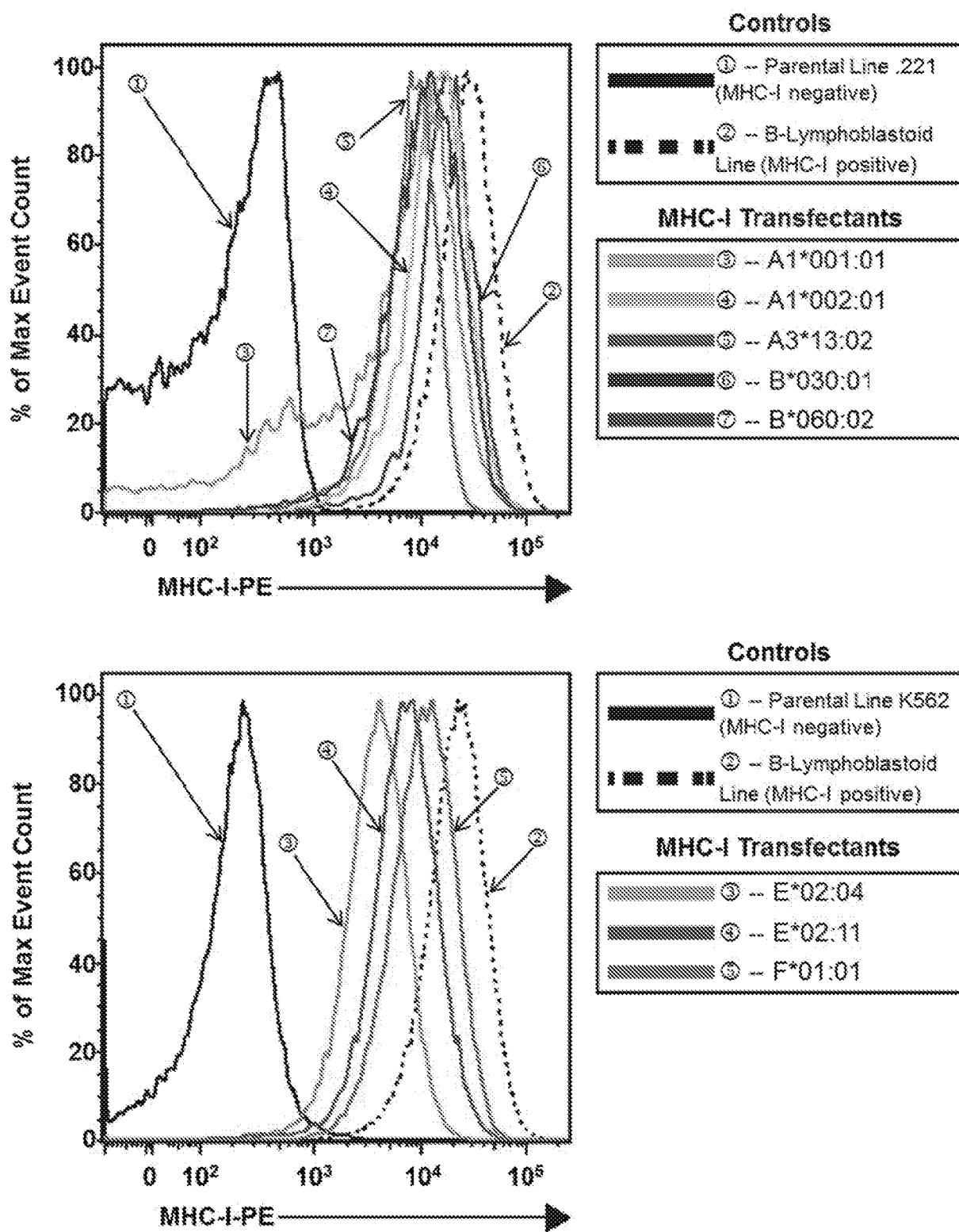
Figure 7B

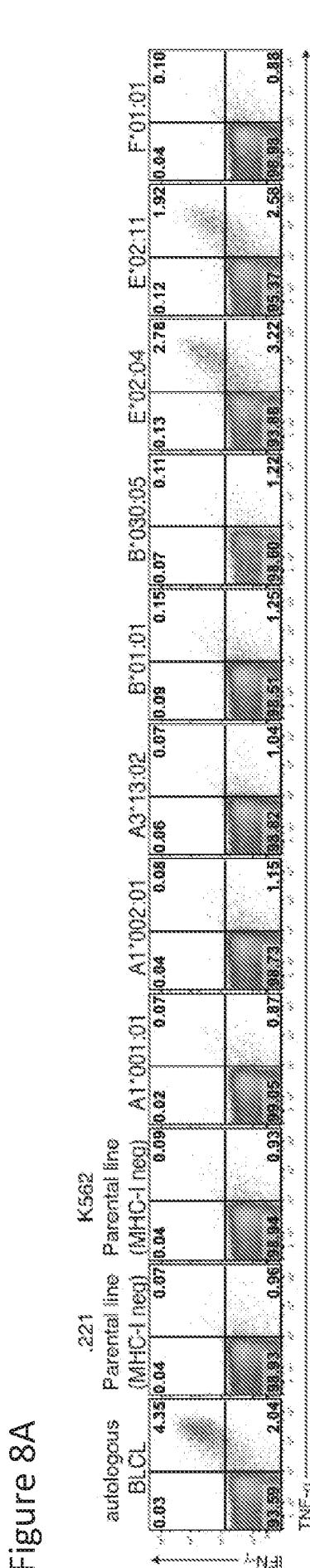
Rhesus macaques				
	21826	22034	22436	22607
<b>A1*001:01</b>	X			
<b>A1*002:01</b>	X	X	X	X
<b>A1*012:01</b>			X	
A1*023:01		X		
A2*05:11;				
A2*05:28;				
A2*05:32:01;				X
A2*05:32:02;				
A2*05:45				
A2*05:04:01;				
A2*05:04:03;				X
A2*05:10;				
A2*05:14				
<b>A3*13:02</b>	X	X	X	X
A4*14:03:01;		X		
A4*14:09				
<b>B*001:01:01</b>		X		X
<b>B*007:02</b>		X		X
<b>B*012:01</b>	X			X
<b>B*017:01</b>	X			
<b>B*021:01</b>		X		
<b>B*022:01</b>	X			
<b>B*026:01</b>		X		
<b>B*029:01</b>	X			
<b>B*030:01</b>	X			
<b>B*030:03</b>		X		X
<b>B*031:01</b>	X			
<b>B*041:01</b>			X	
<b>B*046:01:02</b>		X		
<b>B*048:01</b>			X	
<b>B*055:01</b>			X	
<b>B*057:01</b>	X			X
<b>B*058:02</b>			X	
<b>B*060:02</b>	X			
<b>B*061:01</b>	X			
<b>B*064:01</b>			X	
<b>B*068:03</b>		X		
B*072:01;			X	
B*072:02;				
Mm - B*nov121				X
<b>B*074:01</b>	X			X
<b>B*082:02</b>				X
Mm - B*nov037	X			
Mm - B*nov113		X		
<b>E*02:01:02</b>				
<b>E*02:10;</b>	X			
<b>E*02:11</b>				X
<b>E*02:04</b>	X	X	X	X
E*02:09	X			
E*02:12:01;		X		
E*02:12:02				
E*02:20	X			X



Transfector generated

Figure 7C





卷三

卷之三

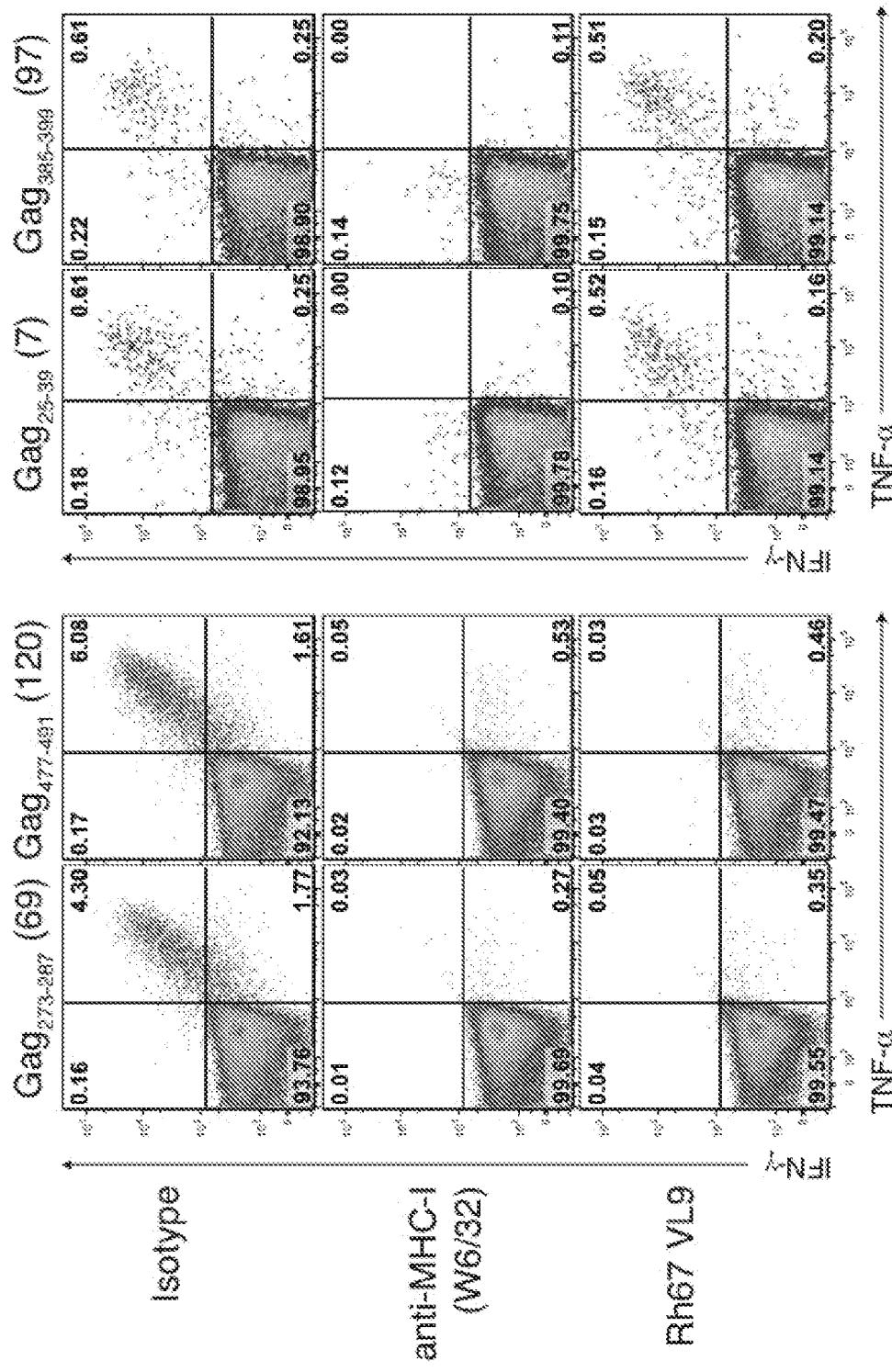


Figure 10A

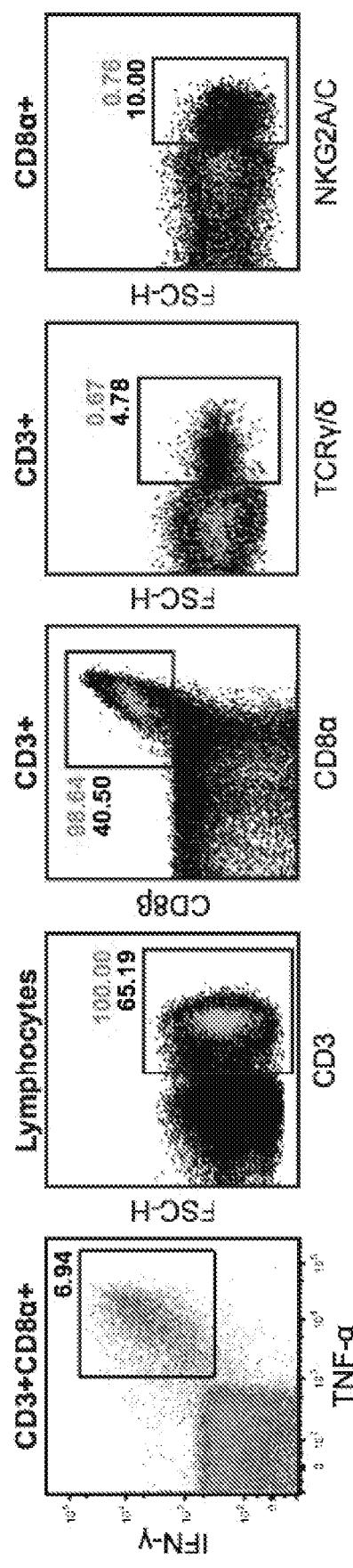


Figure 10B

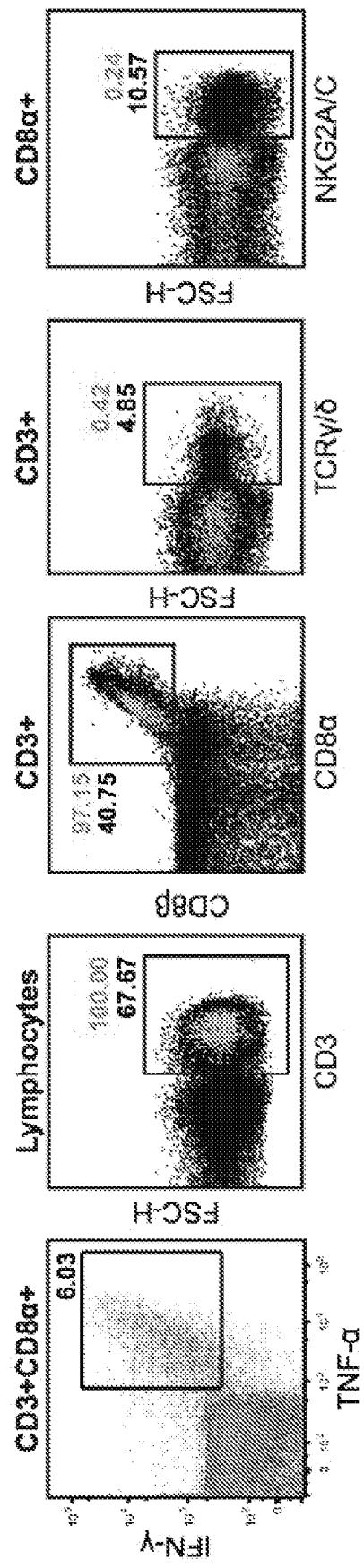
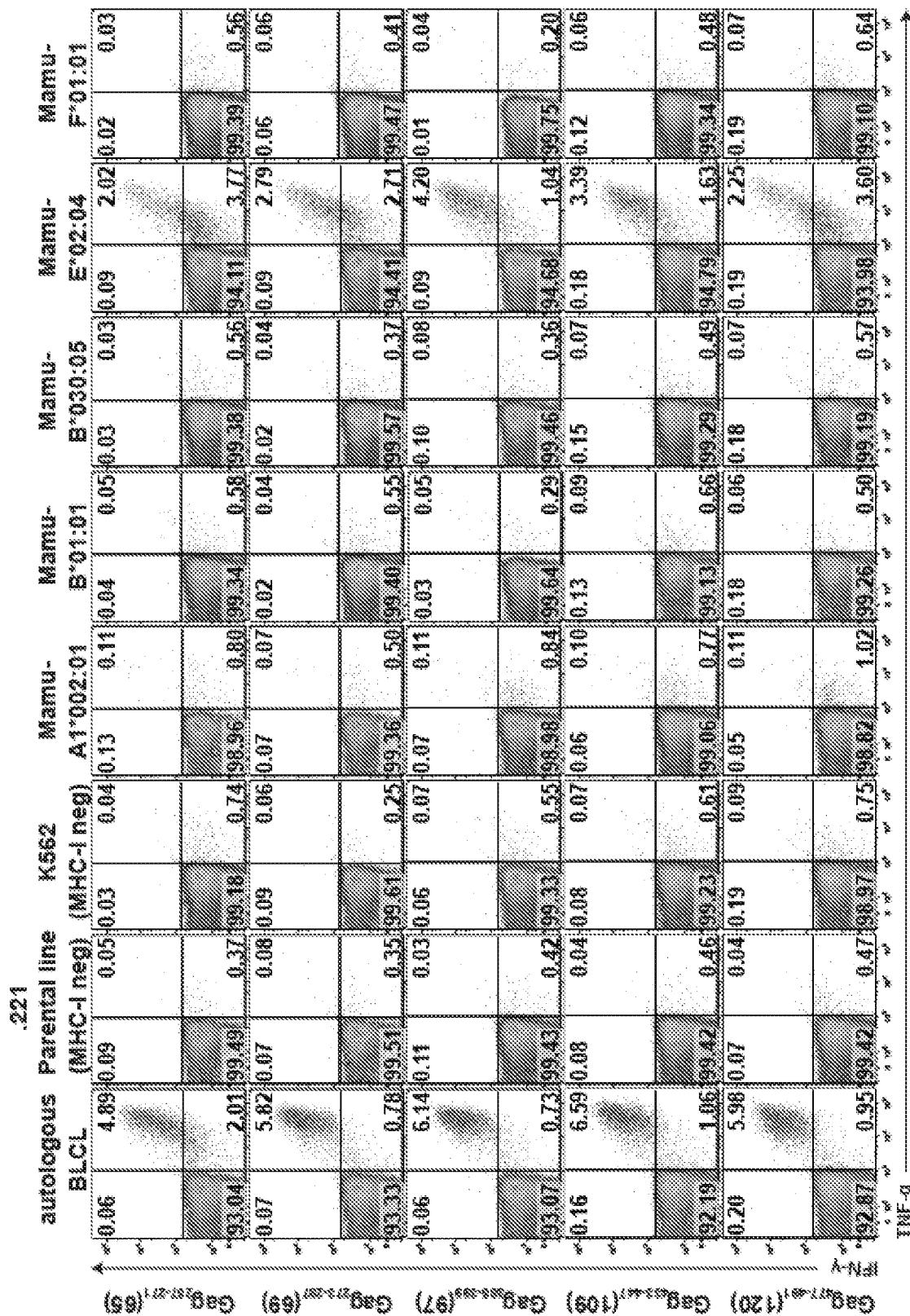


Figure 11A



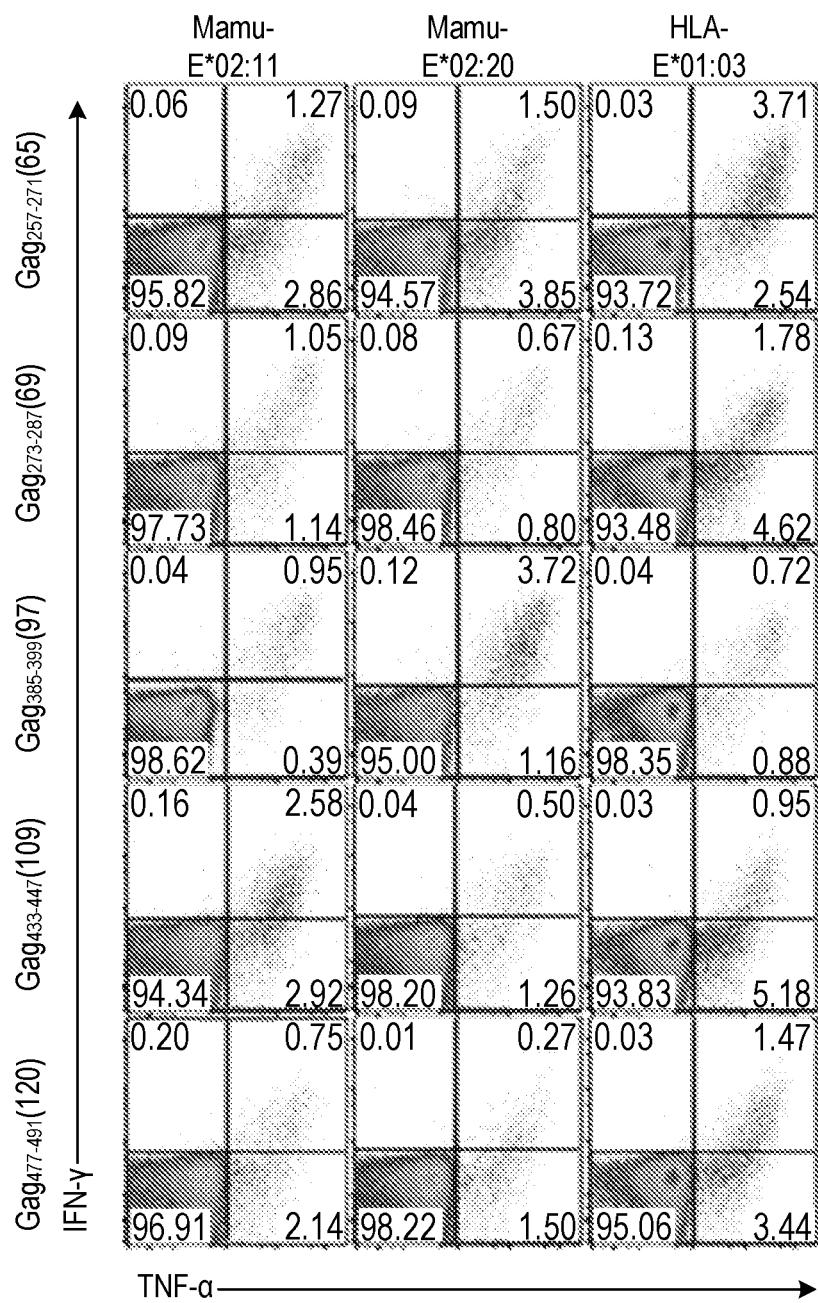
**Figure 11B**

Figure 11C

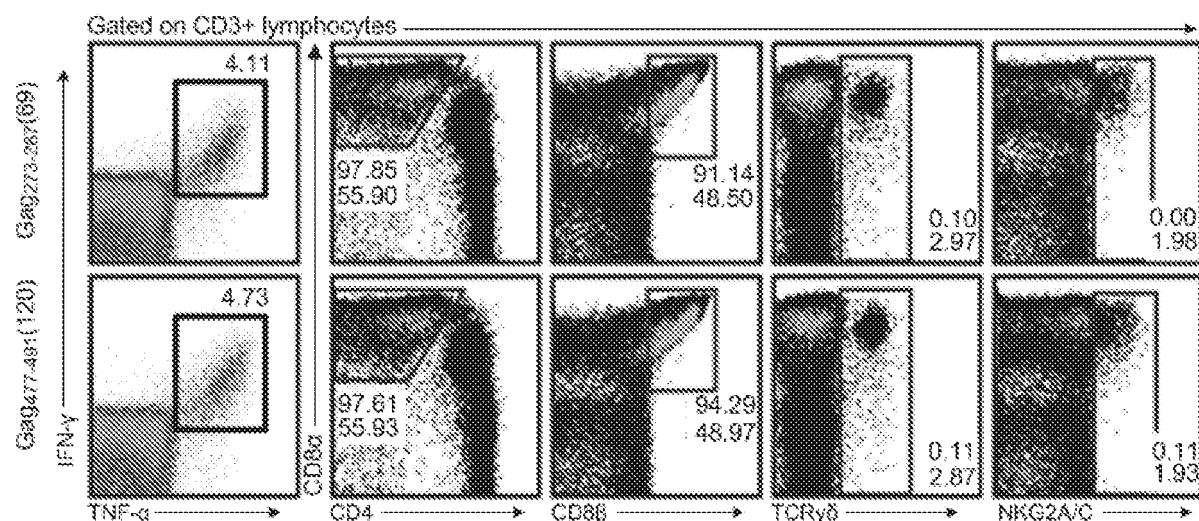


Figure 11D

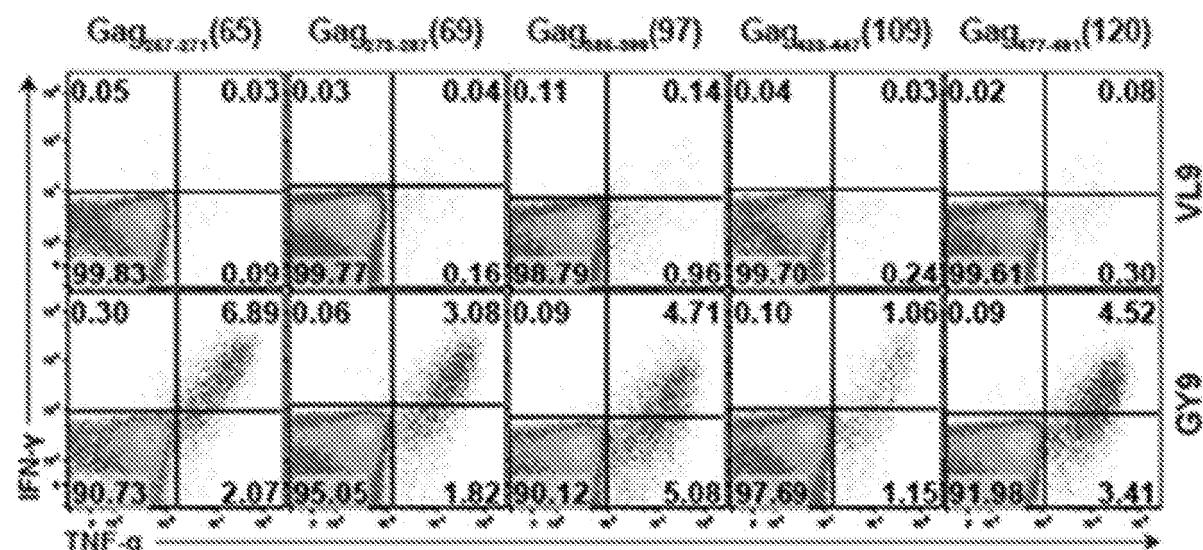


Figure 12A

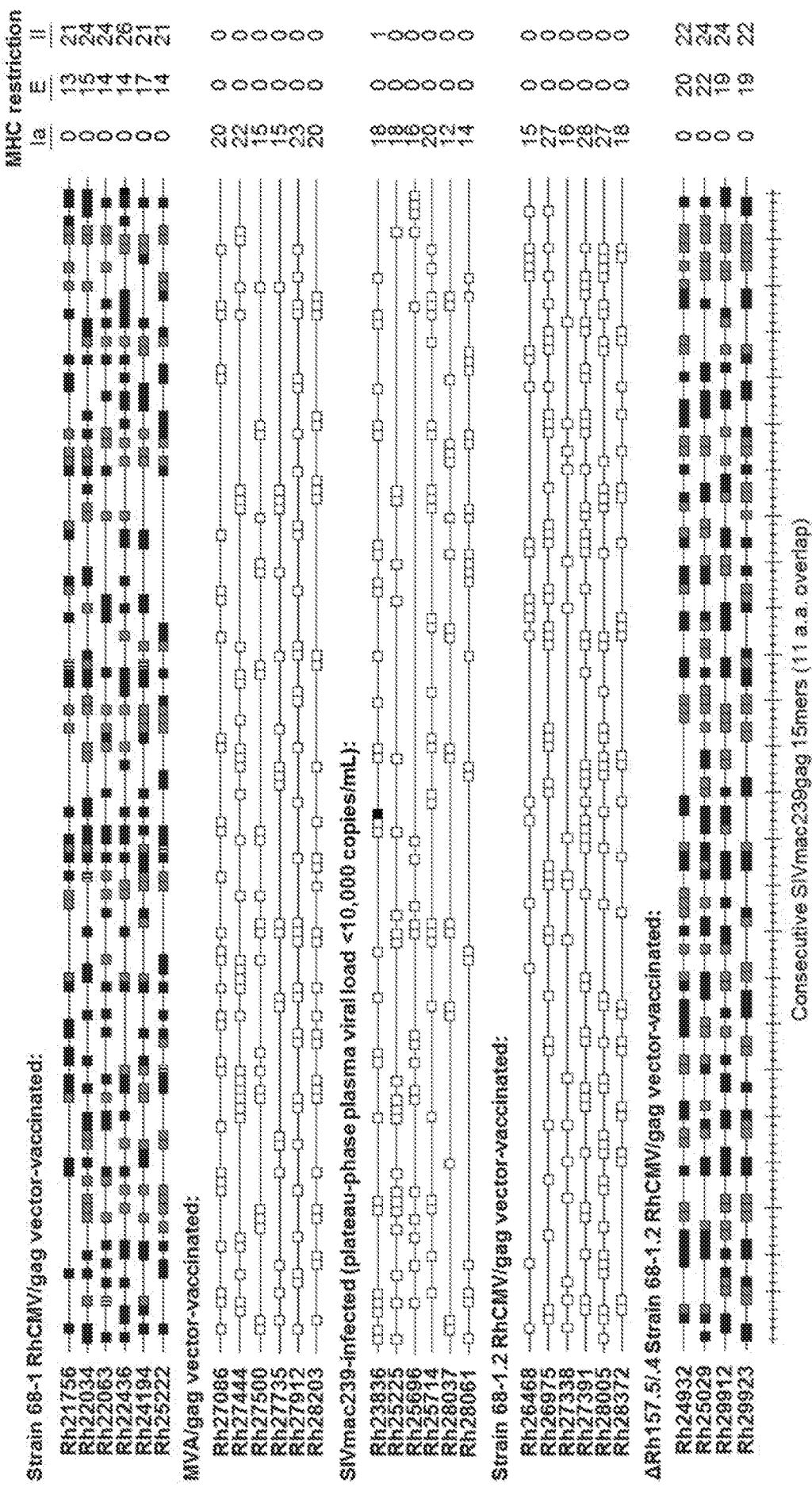
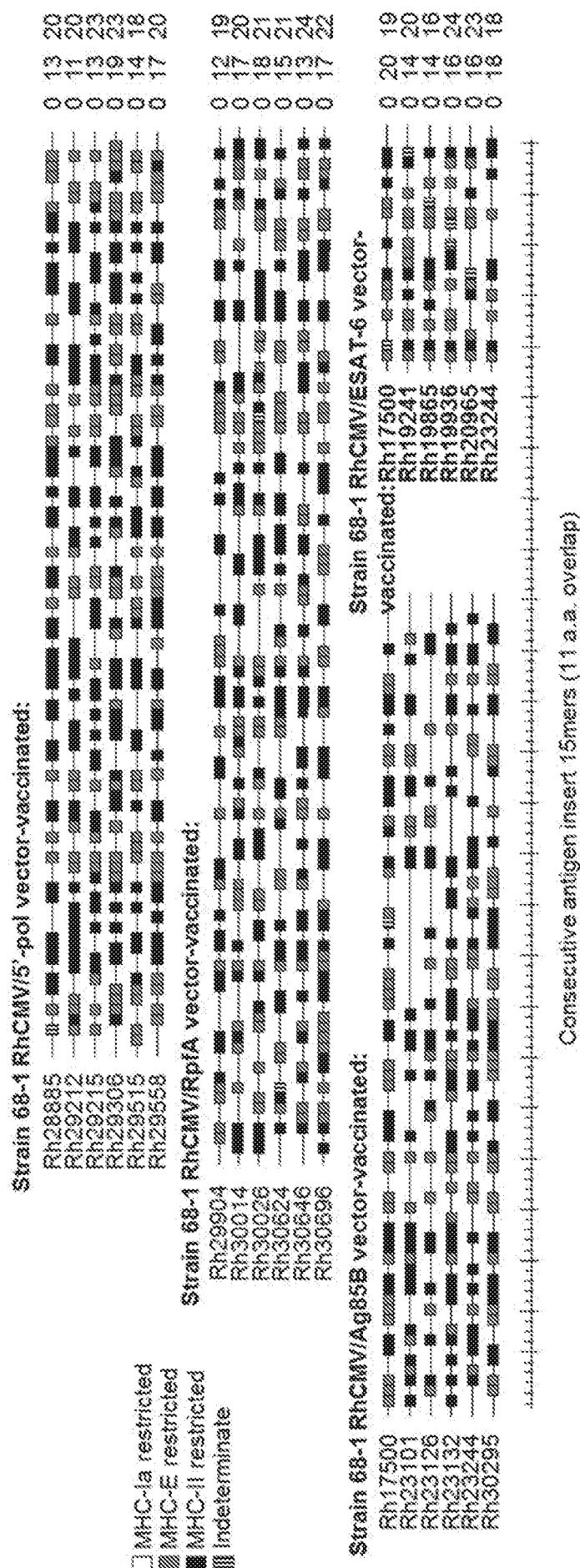


Figure 12B



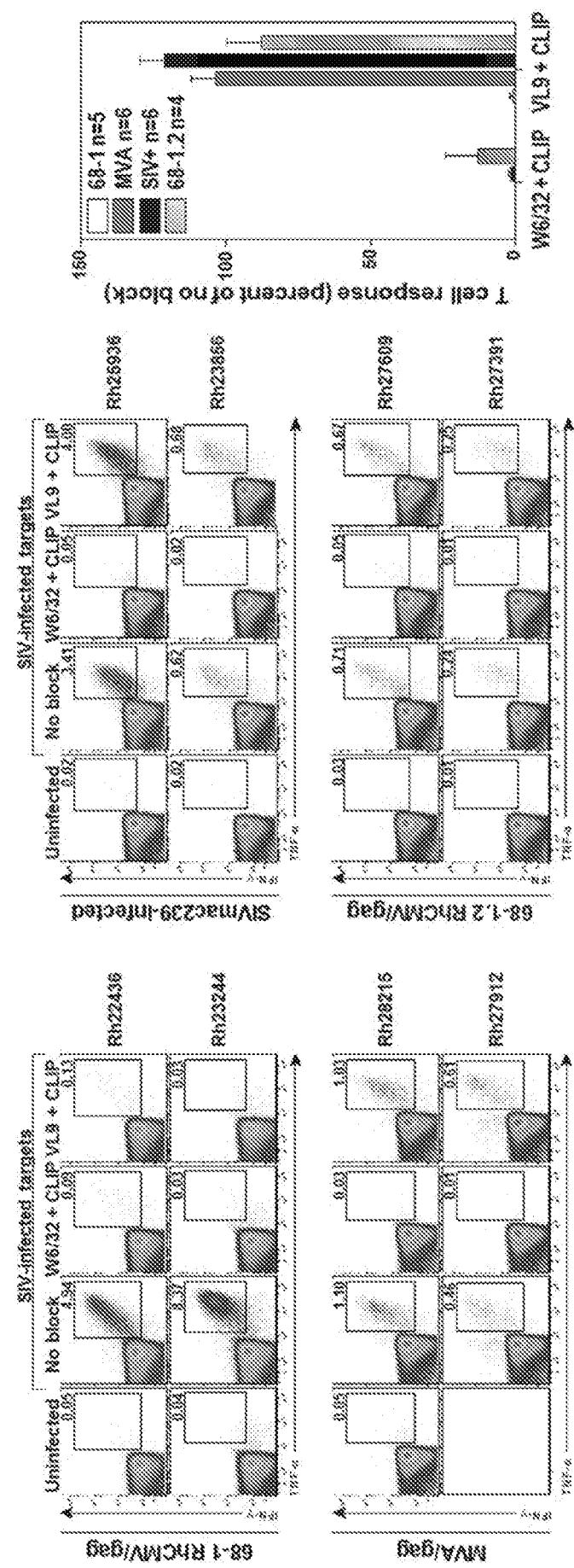


Figure 12C

Figure 13A

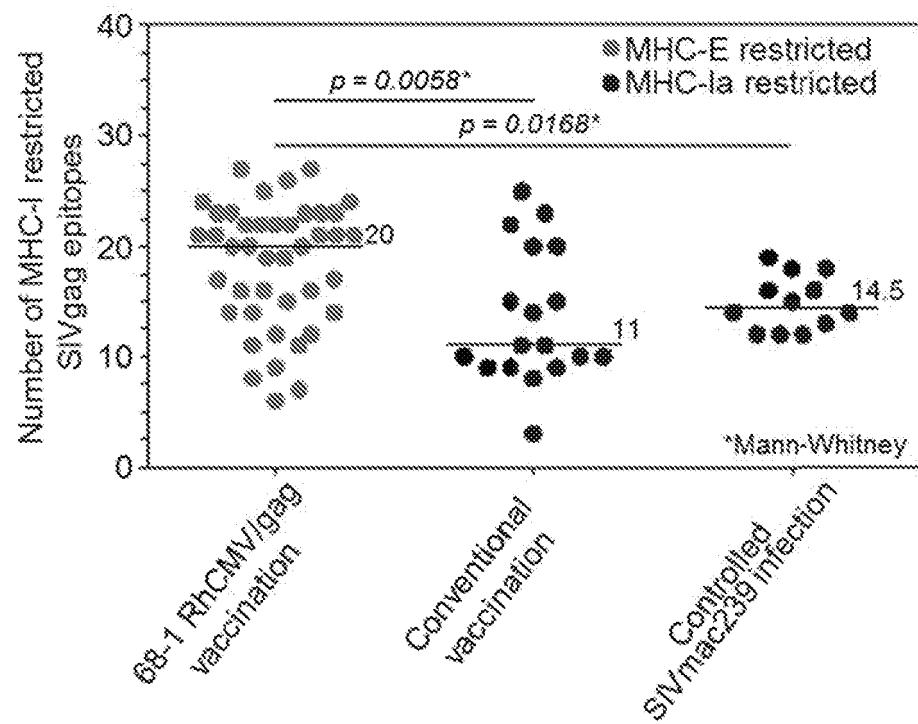
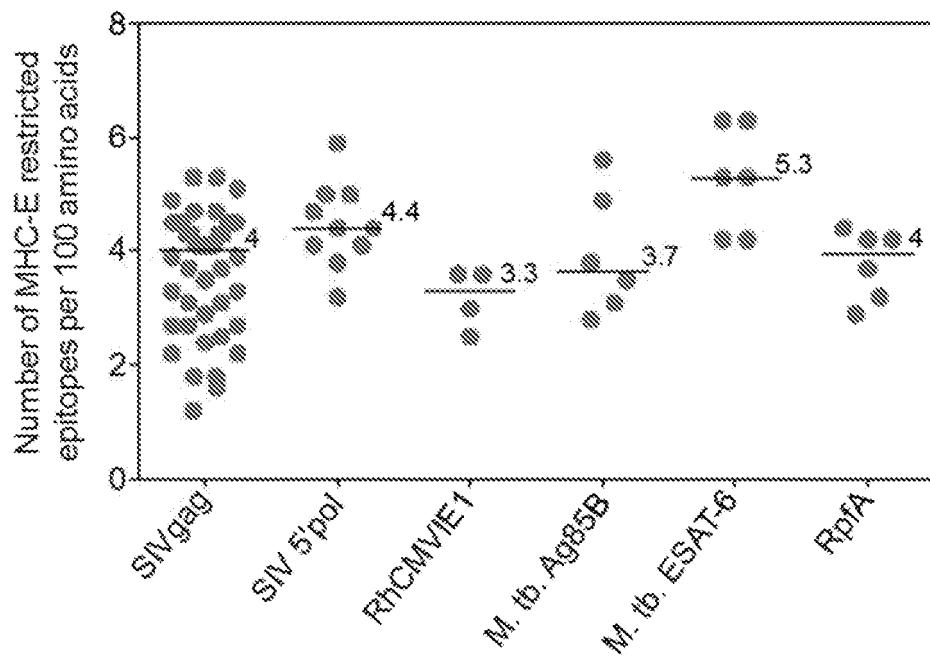


Figure 13B



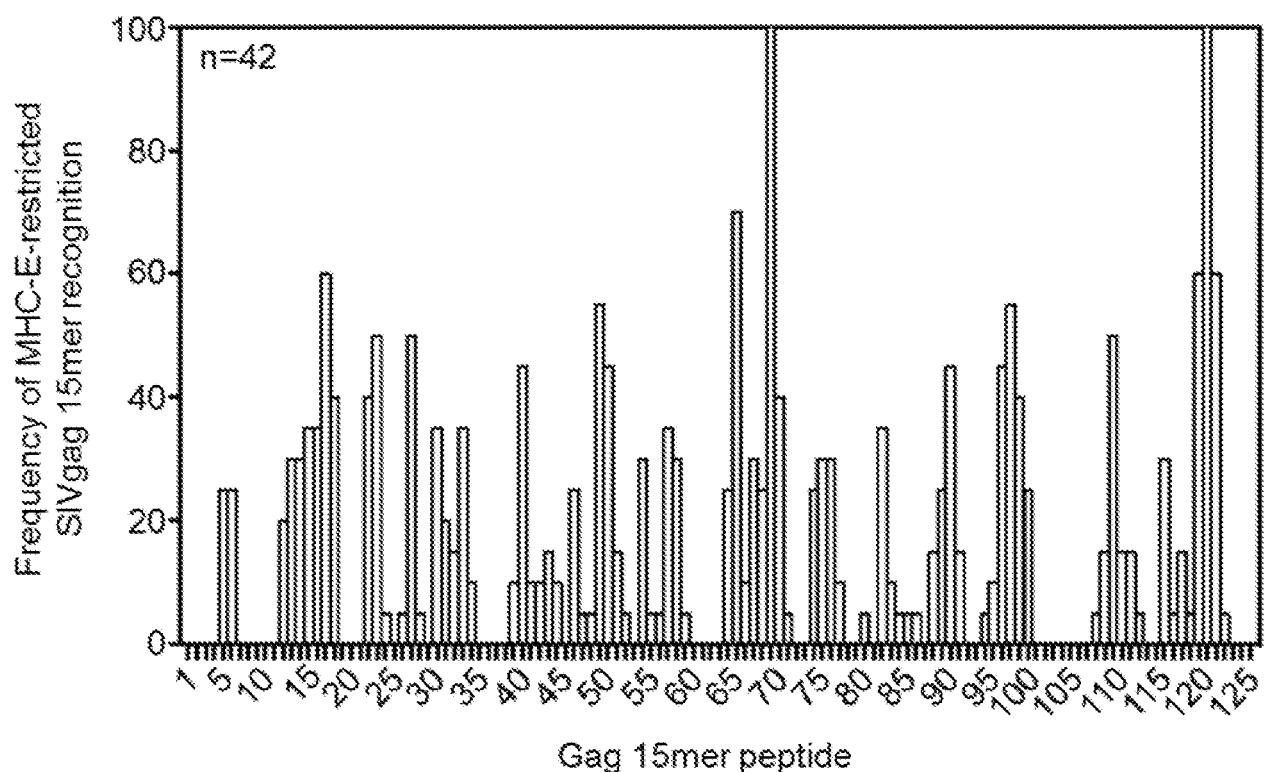
**Figure 13C**

Figure 13D

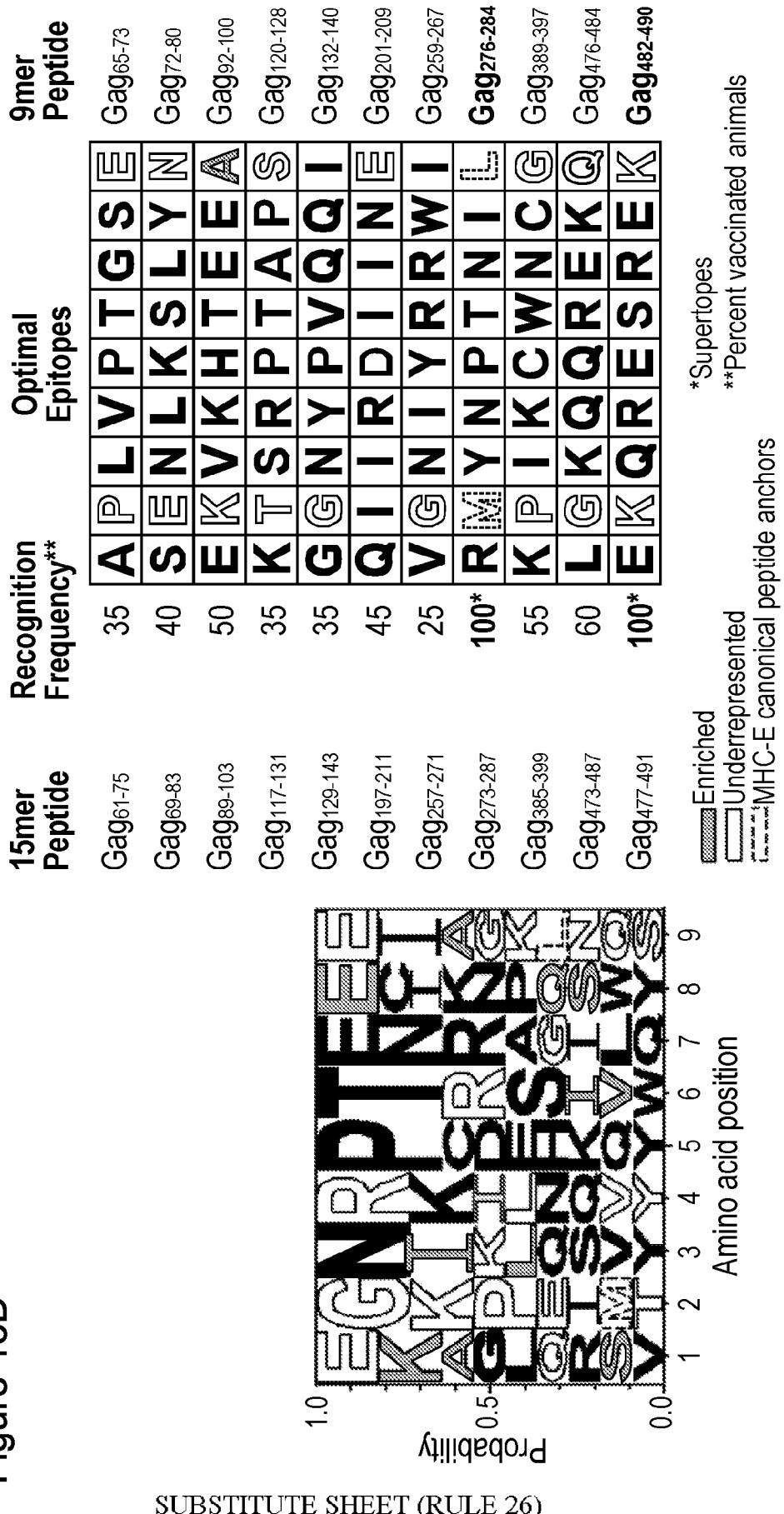


Figure 14

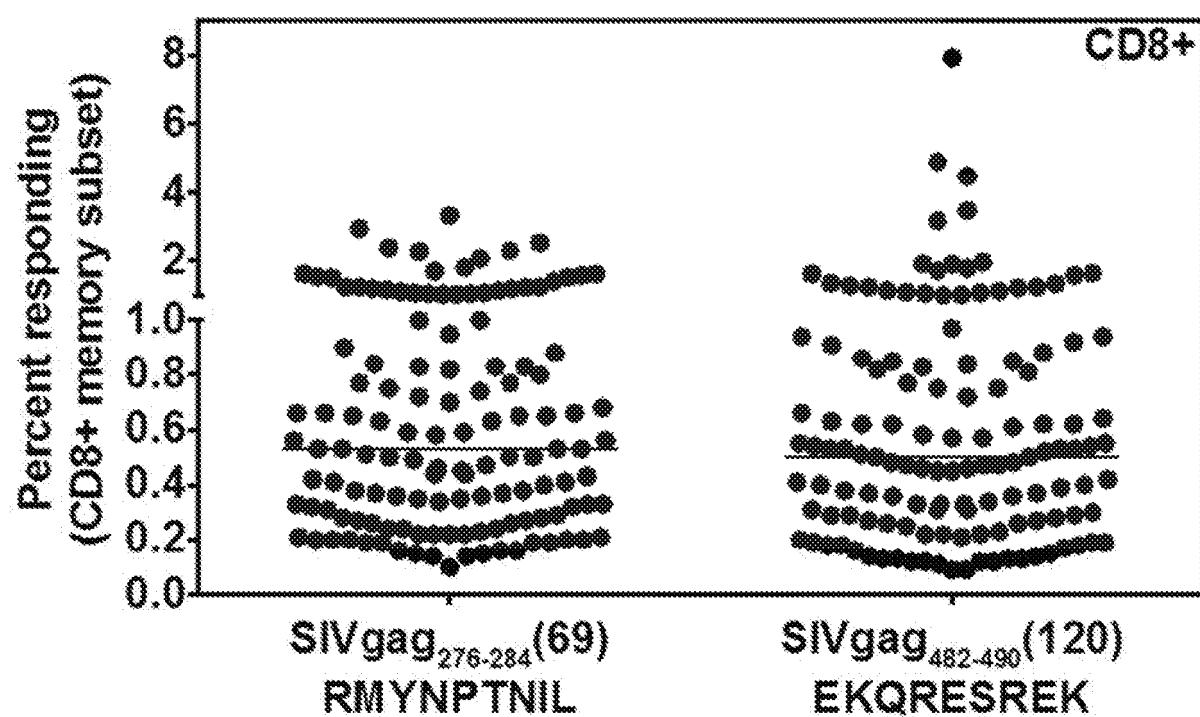


Figure 15A

Rhesus macaques				
	21826	22034	22436	22607
A1*001:01	X			
A1*002:01	X	X	X	X
A1*012:01			X	
A1*023:01		X		
A2*05:01; A2*05:11; A2*05:28; A2*05:32:01; A2*05:32:02; A2*05:45			X	
A2*05:04:01; A2*05:04:03; A2*05:10; A2*05:14				X
A3*13:02	X	X	X	X
A4*14:03:01; A2*14:09		X		
B*001:01:01		X		X
B*007:02		X		X
B*012:01	X			X
B*17:01	X			
B*021:01		X		
B*022:01	X			
B*028:01		X		
B*029:01	X			
B*030:01	X			
B*030:05		X		X
B*031:01	X			
B*041:01			X	
B*046:01:02		X		
B*048:01			X	
B*053:01			X	
B*057:01	X			X
B*058:02			X	
B*060:02	X			
B*061:01	X			
B*064:01			X	
B*068:03		X		
B*072:01; B*072:02;		X		
Mm-B*nov121				X
B*074:01	X			X
B*082:02				X
Mm-B*nov037	X			
Mm-B*nov113		X		
E*02:01:02; E*02:10; E*02:11				X
E*02:04	X	X	X	X
E*02:09	X			
E*02:12:01; E*02:12:02		X		
E*02:20	X			X

Transfected generated

Figure 15B

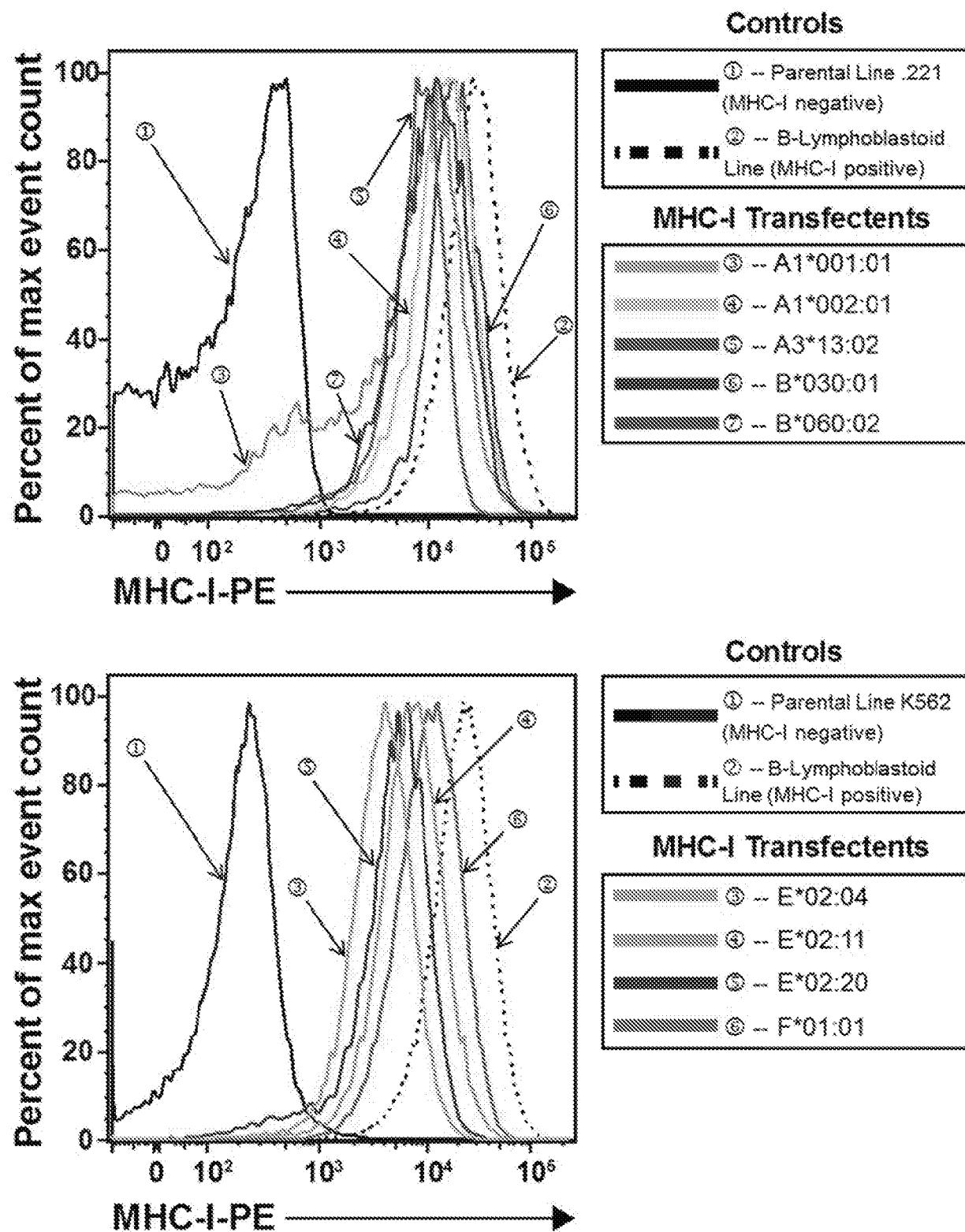
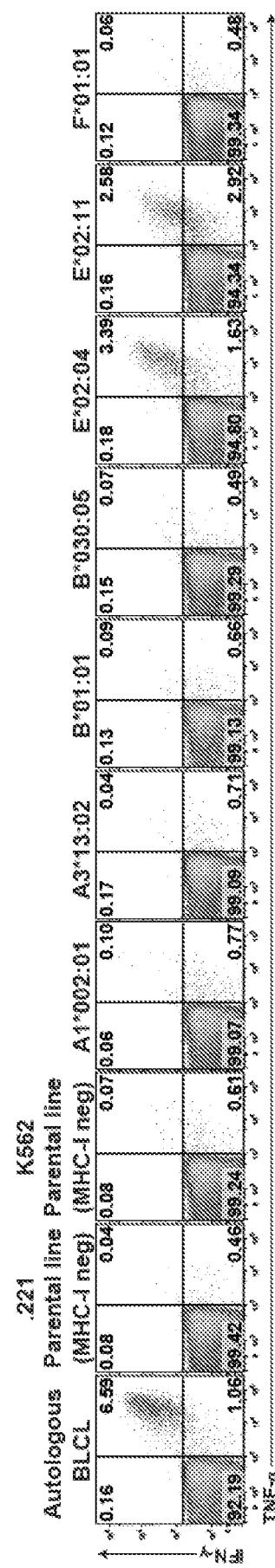


Figure 16A



**Figure 16B**

	Gag <sub>61-75</sub> (16)	Gag <sub>69-83</sub> (18)	Gag <sub>89-103</sub> (23)	Gag <sub>117-131</sub> (30)	Gag <sub>129-143</sub> (33)	Gag <sub>197-211</sub> (50)	Gag <sub>257-271</sub> (65)	Gag <sub>273-287</sub> (69)	Gag <sub>385-399</sub> (97)	Gag <sub>433-447</sub> (109)	Gag <sub>473-487</sub> (119)	Gag <sub>477-491</sub> (120)
BLCL	-	-	+	-	+	+	+	+	-	-	+	+
221	-	-	-	-	-	-	-	-	-	-	-	-
K562	-	-	-	-	-	-	-	-	-	-	-	-
A1*001:01	-	-	-	-	+	-	-	-	-	-	-	-
A1*002:01	-	-	-	-	-	-	-	-	-	-	-	-
A2*13:02	-	-	-	-	-	-	-	-	-	-	-	-
B*029:01	-	-	-	-	-	-	-	-	-	-	-	-
B*030:01	-	-	-	-	-	-	-	-	-	-	-	-
E*02:04	-	-	+	-	-	-	-	-	-	-	-	-
E*02:11	-	-	+	-	-	-	-	-	-	-	-	-
F*01:01	-	-	-	-	-	-	-	-	-	-	-	-

	Gag <sub>61-75</sub> (16)	Gag <sub>69-83</sub> (18)	Gag <sub>89-103</sub> (23)	Gag <sub>117-131</sub> (30)	Gag <sub>129-143</sub> (33)	Gag <sub>197-211</sub> (50)	Gag <sub>257-271</sub> (65)	Gag <sub>273-287</sub> (69)	Gag <sub>385-399</sub> (97)	Gag <sub>433-447</sub> (109)	Gag <sub>473-487</sub> (119)	Gag <sub>477-491</sub> (120)
BLCL	+	+	-	-	-	+	+	+	-	-	+	+
221	-	-	-	-	-	-	-	-	-	-	-	-
K562	-	-	-	-	-	-	-	-	-	-	-	-
A1*002:01	-	-	-	-	-	-	-	-	-	-	-	-
A2*13:02	-	-	-	-	-	-	-	-	-	-	-	-
B*001:01	-	-	-	-	-	-	-	-	-	-	-	-
B*030:05	-	-	-	-	-	-	-	-	-	-	-	-
E*02:04	+	+	-	-	-	-	-	-	-	-	+	+
E*02:11	+	+	+	-	-	-	-	-	-	-	-	-
F*01:01	-	-	-	-	-	-	-	-	-	-	-	-

Figure 16B (cont.)

	Gag <sub>61-75</sub> (16)	Gag <sub>69-83</sub> (18)	Gag <sub>89-103</sub> (23)	Gag <sub>117-131</sub> (30)	Gag <sub>129-143</sub> (33)	Gag <sub>197-211</sub> (50)	Gag <sub>257-271</sub> (65)	Gag <sub>273-287</sub> (69)	Gag <sub>35-399</sub> (97)	Gag <sub>433-447</sub> (109)	Gag <sub>473-487</sub> (119)	Gag <sub>477-491</sub> (120)
BLCL	-	-	-	+	+	+	+	-	+	+	+	+
221	-	-	-	-	-	-	-	-	-	-	-	-
K562	-	-	-	-	-	-	-	-	-	-	-	-
A1*002:01	-	-	-	-	-	-	-	-	-	-	-	-
A3*13:02	-	-	-	-	-	-	-	-	-	-	-	-
B*04:01	-	-	-	-	-	-	-	-	-	-	-	-
E*02:04	-	-	-	+	+	+	+	+	+	+	+	+
E*02:11	-	-	-	+	+	+	+	+	+	+	+	+
F*01:01	-	-	-	-	-	-	-	-	-	-	-	-
	Gag <sub>61-75</sub> (16)	Gag <sub>69-83</sub> (18)	Gag <sub>89-103</sub> (23)	Gag <sub>117-131</sub> (30)	Gag <sub>129-143</sub> (33)	Gag <sub>197-211</sub> (50)	Gag <sub>257-271</sub> (65)	Gag <sub>273-287</sub> (69)	Gag <sub>35-399</sub> (97)	Gag <sub>433-447</sub> (109)	Gag <sub>473-487</sub> (119)	Gag <sub>477-491</sub> (120)
BLCL	-	+	+	-	+	-	+	-	+	+	+	+
221	-	-	-	-	-	-	-	-	-	-	-	-
K562	-	-	-	-	-	-	-	-	-	-	-	-
A1*001:01	-	-	-	-	-	-	-	-	-	-	-	-
A1*002:01	-	-	-	-	-	-	-	-	-	-	-	-
A3*13:02	-	-	-	-	-	-	-	-	-	-	-	-
B*04:01	-	-	-	-	-	-	-	-	-	-	-	-
B*04:05	-	-	-	-	-	-	-	-	-	-	-	-
E*02:04	-	-	+	+	+	+	+	+	+	+	+	+
E*02:11	-	-	-	-	-	-	-	-	-	-	-	-
F*01:01	-	-	-	-	-	-	-	-	-	-	-	-

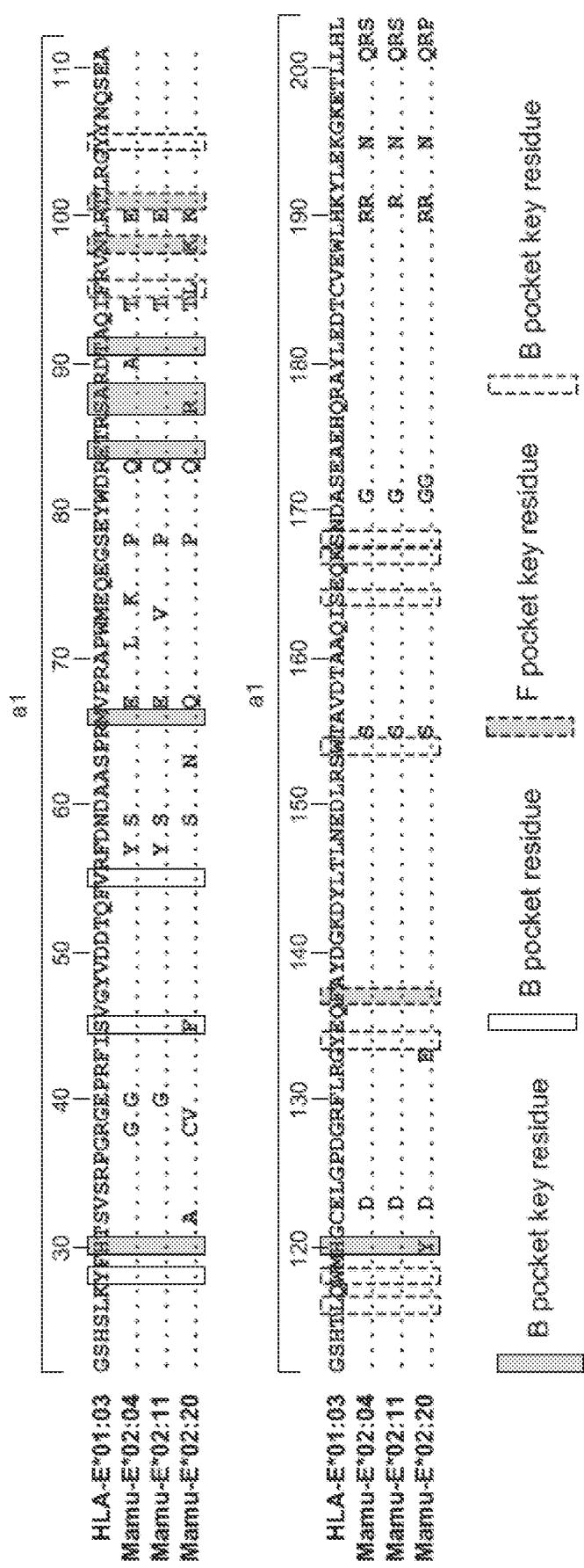
Figure 17

Macaque:	A1*001:01	A1*002:01	Gag <sub>69-83</sub> (18)	Gag <sub>129-143</sub> (33)	Gag <sub>197-211</sub> (55)
Rh22607	+	+	+	+	-
Rh21826	+	+	-	+	+
Rh25565	+	-	+	+	+
Rh25545	+	-	-	-	-
Rh22034	-	+	+	-	-
Rh28819	-	+	+	-	-
Rh28808	-	+	-	+	+
Rh22436	-	+	-	-	+
Rh24194	-	+	-	-	+
Rh27517	-	-	+	+	-
Rh22063	-	-	+	-	-
Rh27473	-	-	+	-	-
Rh27715	-	-	+	-	-
Rh29483	-	-	+	-	-
Rh25222	-	-	-	+	+
Rh29208	-	-	-	+	+
Rh29212	-	-	-	+	+
Rh22624	-	-	-	+	-
Rh29482	-	-	-	-	+
Rh21756	-	-	-	-	-

Figure 18A

Autologous B1 CL		K562 (MHC-I neg)		Mamu- E'02:11		Mamu- E'02:20		Mamu- E'01:03		Autologous B1 CL		K562 (MHC-I neg)		Mamu- E'02:11		Mamu- E'02:20		Mamu- E'01:03							
		HLA-A	HLA-B	HLA-C	HLA-D	HLA-E	HLA-F	HLA-G	HLA-I	HLA-A	HLA-B	HLA-C	HLA-D	HLA-E	HLA-F	HLA-G	HLA-H	HLA-I							
Gas <sub>01:03</sub> <sup>{16}</sup>	5.38	5.31	0.32	0.38	0.31	1.03	0.07	4.61	0.02	1.84	0.13	5.16	0.04	0.06	6.50	0.16	7.06	0.16	5.58	0.17	7.23				
Gas <sub>01:03</sub> <sup>{18}</sup>	5.13	4.99	0.43	0.46	0.31	1.21	0.34	1.40	0.07	0.22	0.35	1.40	0.02	0.02	0.41	0.23	0.40	0.24	0.47	0.24	4.16				
Gas <sub>01:03</sub> <sup>{23}</sup>	5.18	5.17	0.65	0.45	0.29	4.15	0.04	2.55	0.09	3.34	0.06	0.98	0.37	0.37	5.62	0.37	0.86	0.39	2.79	0.45	0.57	0.13	1.78		
Gas <sub>01:03</sub> <sup>{28}</sup>	5.21	5.55	0.39	0.50	0.37	2.29	0.10	3.61	0.22	2.16	0.06	0.88	0.31	0.31	0.13	0.24	0.50	0.42	2.74	0.72	1.44	0.34	0.82		
Gas <sub>01:03</sub> <sup>{33}</sup>	5.14	7.38	0.85	0.04	0.36	5.68	0.43	4.53	0.05	4.82	0.38	2.39	0.16	0.16	6.14	0.36	6.97	0.39	4.26	0.23	2.38	0.12	3.72	0.11	2.91
Gas <sub>01:03</sub> <sup>{38}</sup>	5.06	3.78	0.72	0.20	0.59	1.36	0.56	4.20	0.11	4.01	0.22	1.22	0.10	0.10	0.73	0.12	0.55	0.13	1.04	0.16	2.22	0.12	1.16	0.15	0.94
Gas <sub>01:03</sub> <sup>{43}</sup>	5.49	9.72	0.13	0.05	0.02	1.05	0.33	5.97	0.33	6.54	0.11	1.11	0.04	0.04	4.89	0.35	0.88	0.12	3.19	0.16	2.58	0.14	2.42	0.07	1.49
Gas <sub>01:03</sub> <sup>{48}</sup>	5.47	8.73	0.85	0.23	0.33	4.57	0.13	7.23	0.06	5.84	0.83	5.38	0.07	0.07	4.84	0.82	0.81	0.04	3.81	0.05	3.74	0.07	5.03	0.07	6.64
Gas <sub>01:03</sub> <sup>{53}</sup>	5.35	2.63	0.14	0.03	0.18	5.53	0.12	9.05	0.16	1.63	0.18	1.63	0.03	0.03	1.03	0.01	0.55	0.03	1.33	0.02	1.27	0.14	2.07	0.03	1.47
Gas <sub>01:03</sub> <sup>{58}</sup>	5.61	1.52	0.26	0.42	0.28	6.42	0.28	3.95	0.23	5.59	0.24	1.88	0.21	1.84	0.12	1.12	0.25	1.53	0.26	1.62	0.21	2.51	0.20	3.44	

Figure 18B



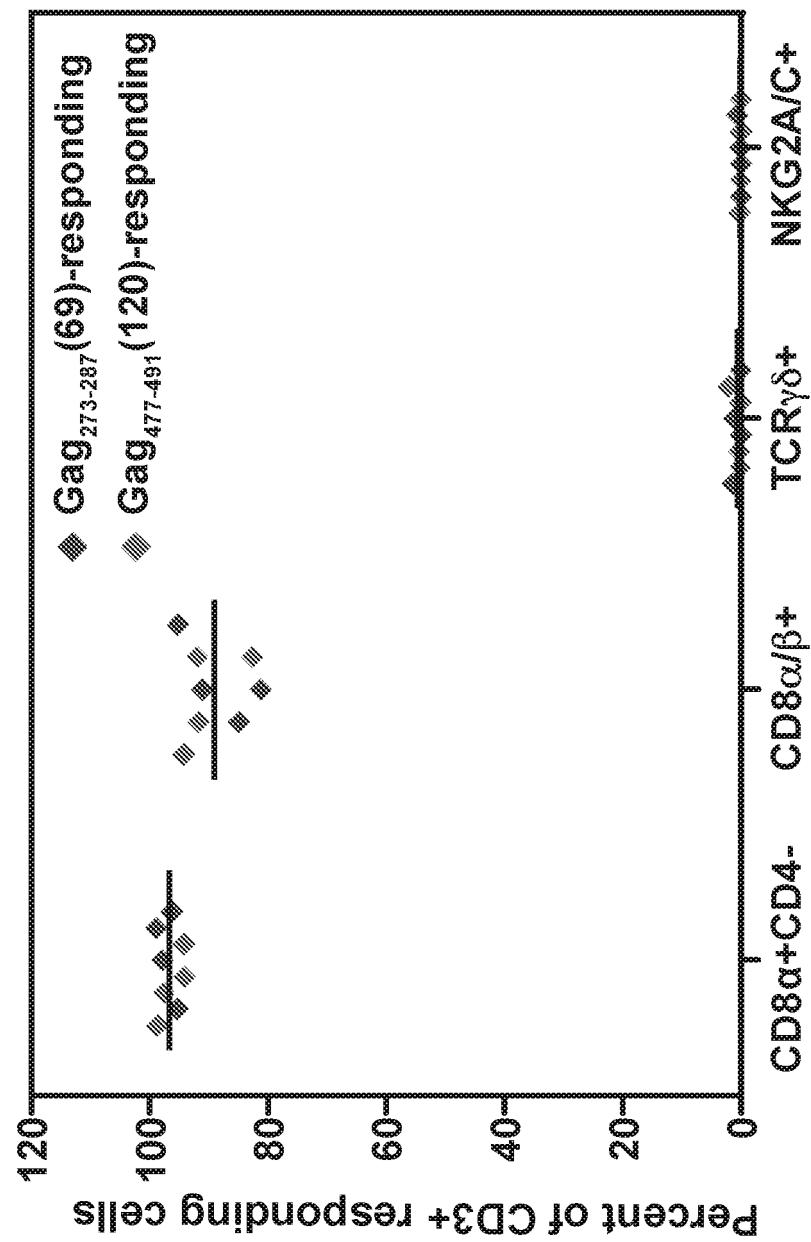


Figure 19

Figure 20A

	No blocking	VL9	Control peptide			
Gag <sub>51-75</sub> (16)	~0.05 ~97.06 ~0.36	1.60 1.29 2.73	0.02 99.85 0.02	0.03 0.09 0.09	0.04 97.94 0.41	1.55 0.47 3.30
Gag <sub>83-107</sub> (18)						
Gag <sub>103-123</sub> (23)						
Gag <sub>117-131</sub> (30)						
Gag <sub>133-145</sub> (33)						
Gag <sub>157-211</sub> (50)						
Gag <sub>273-367</sub> (119)						
	TNF- $\alpha$					

Figure 20B

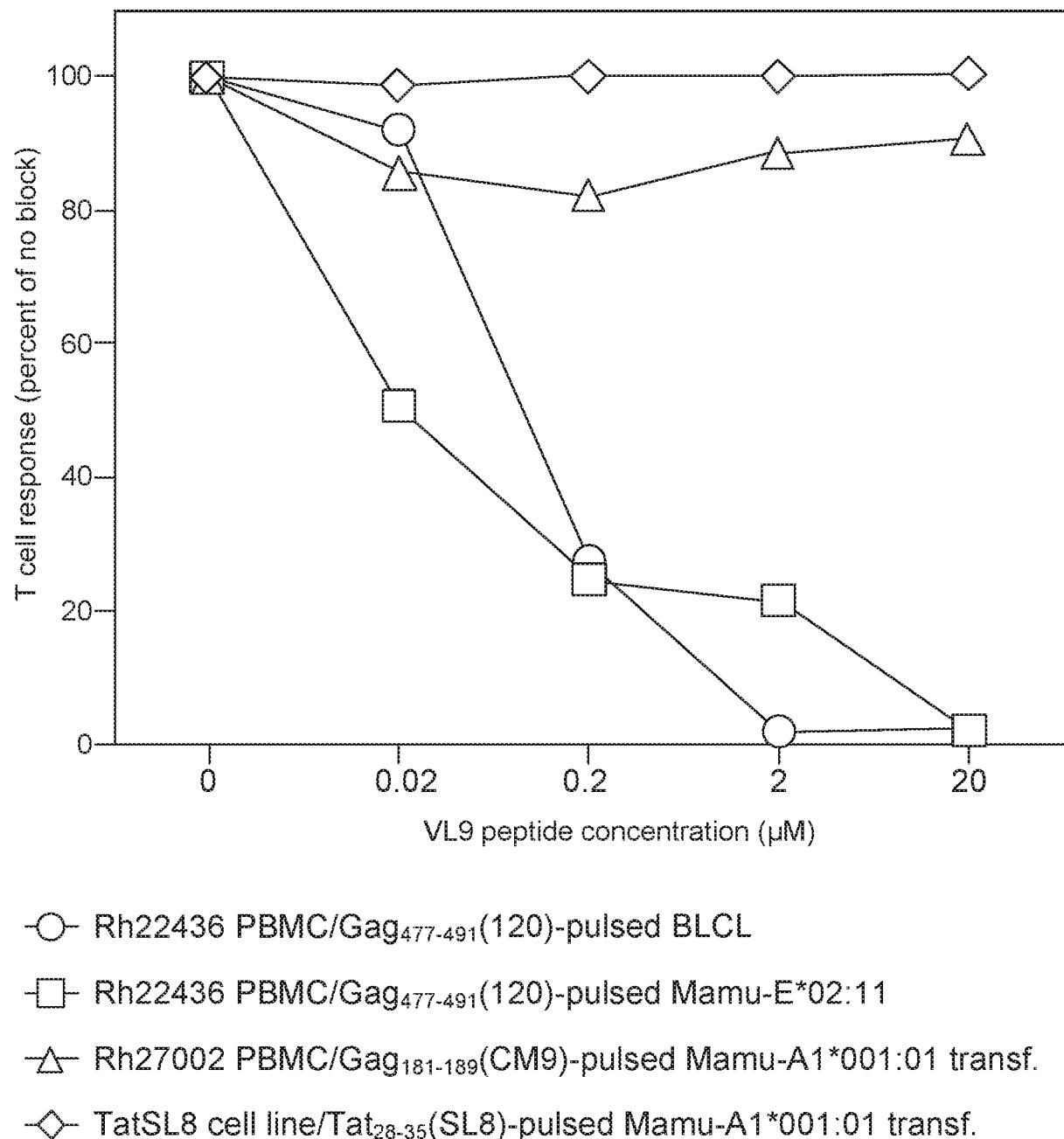


Figure 21

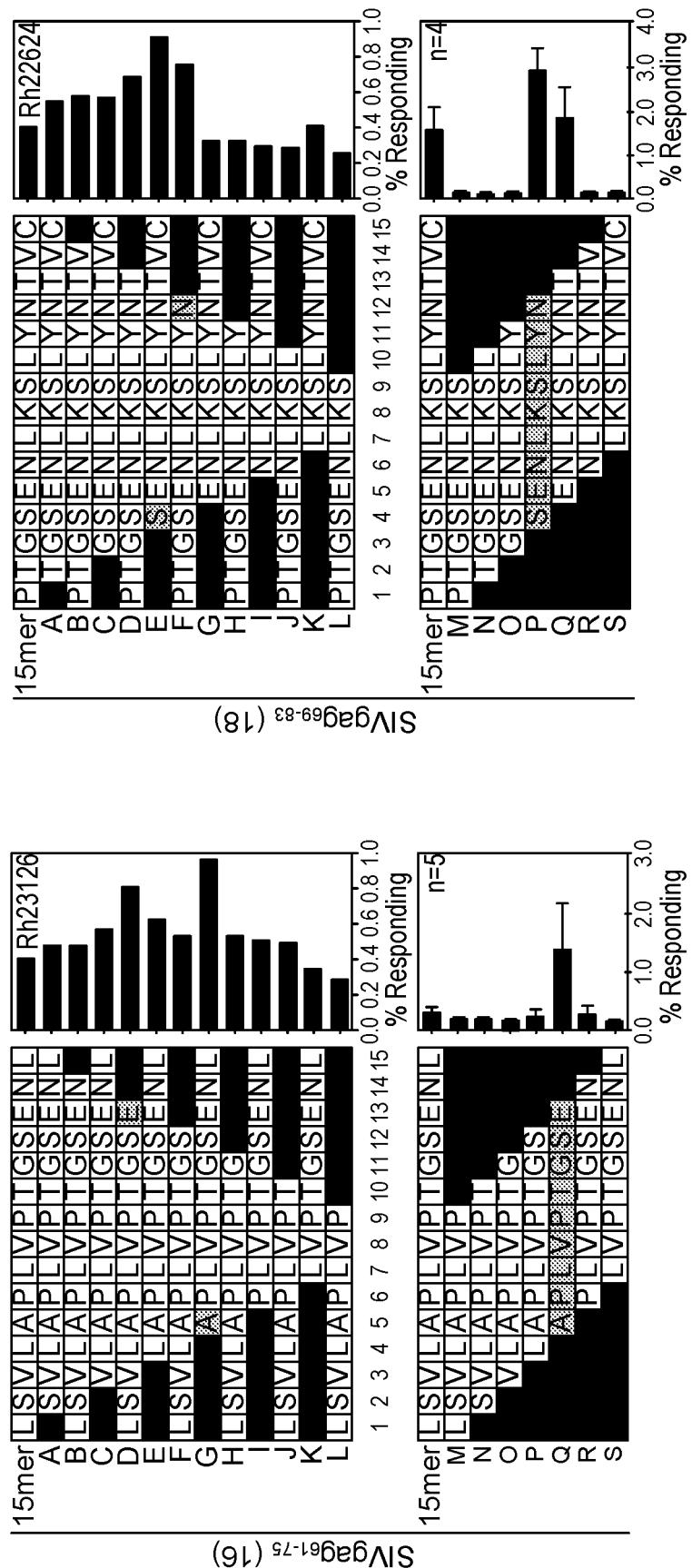


Figure 21  
(cont.)

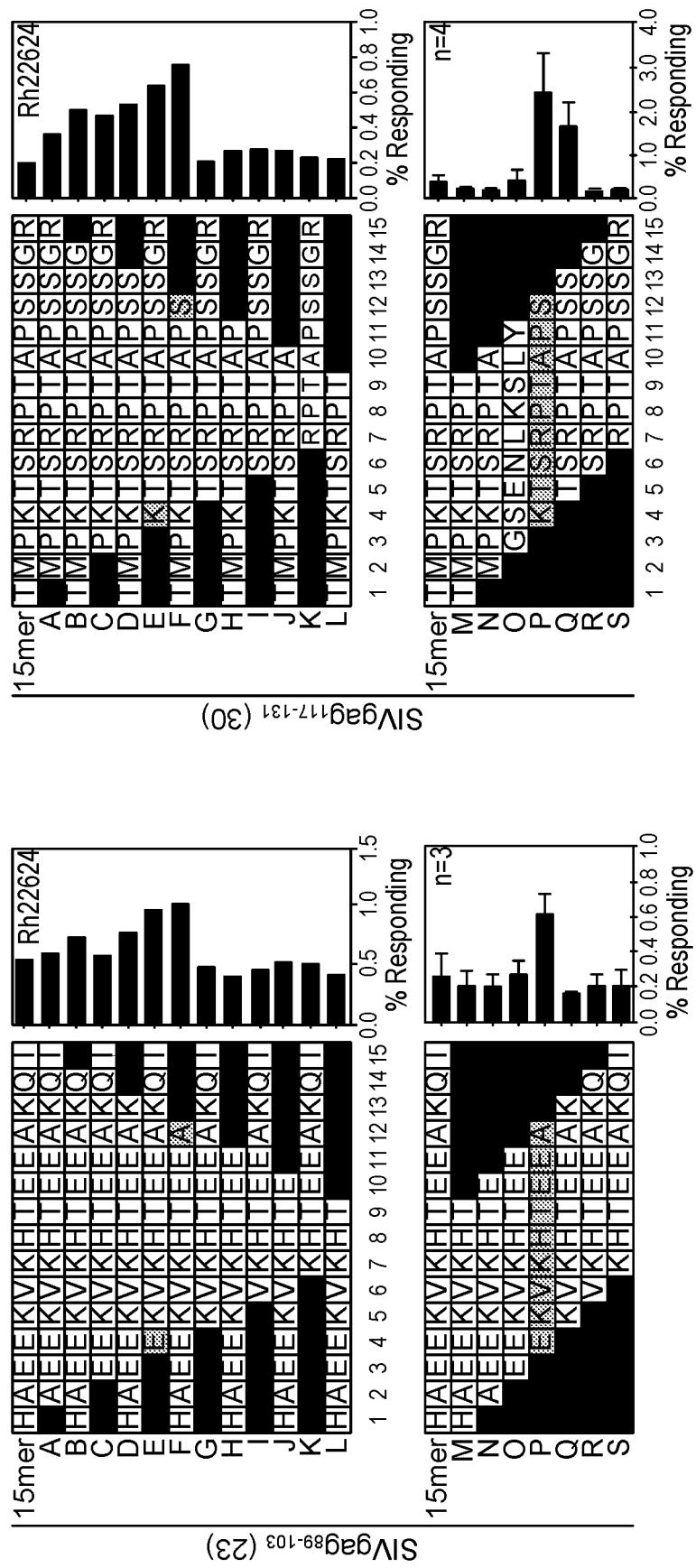


Figure 21  
(cont.)

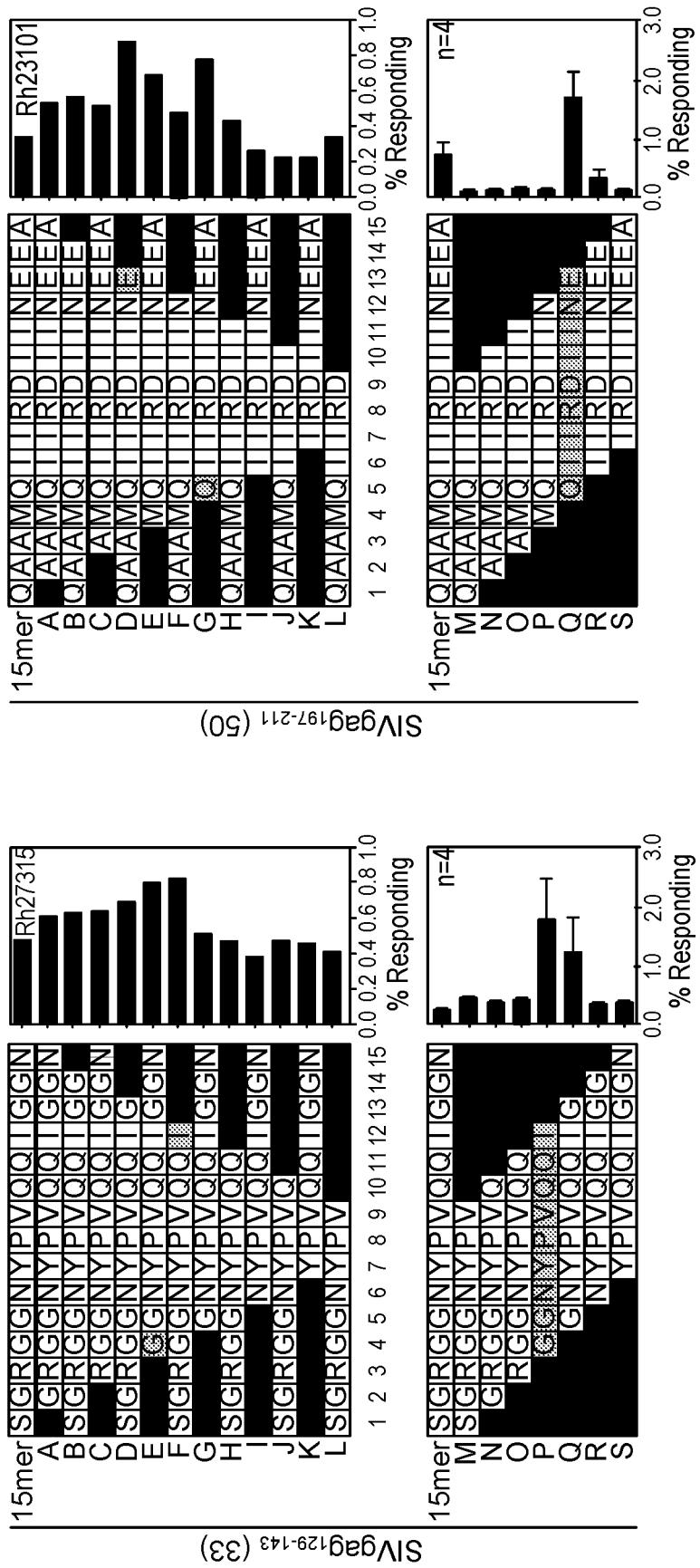


Figure 21  
(cont.)

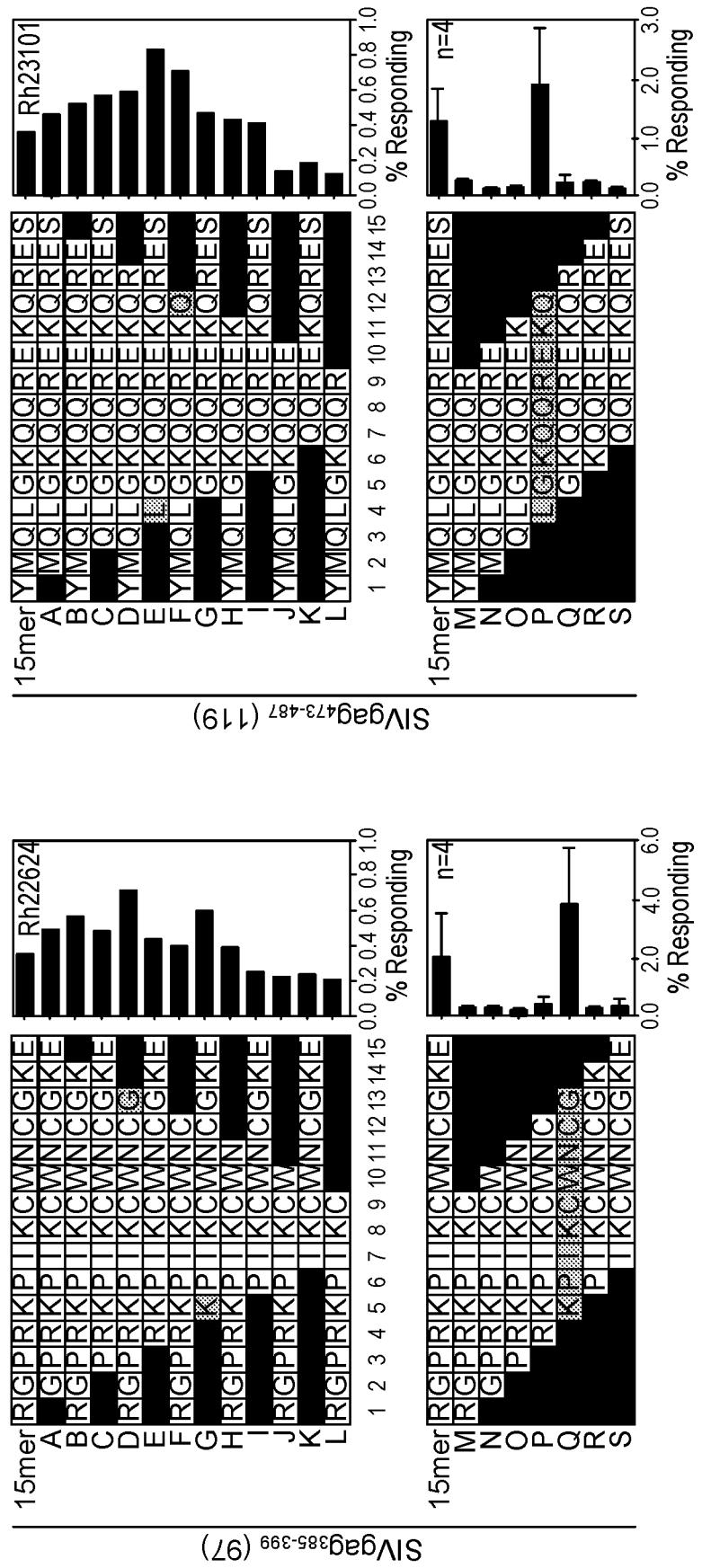


Figure 22A

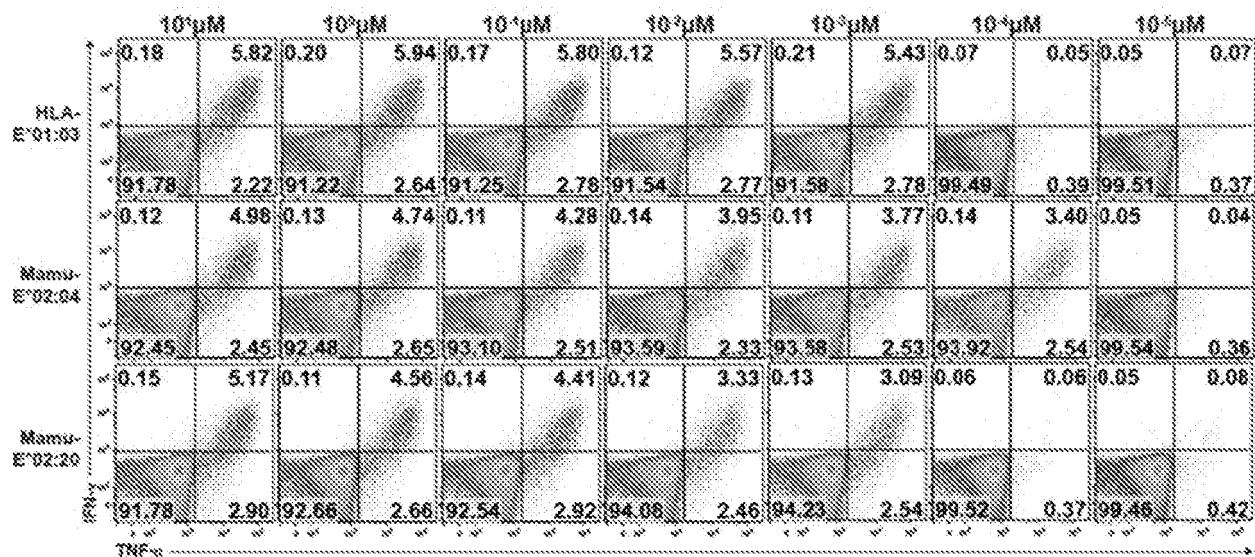


Figure 22B

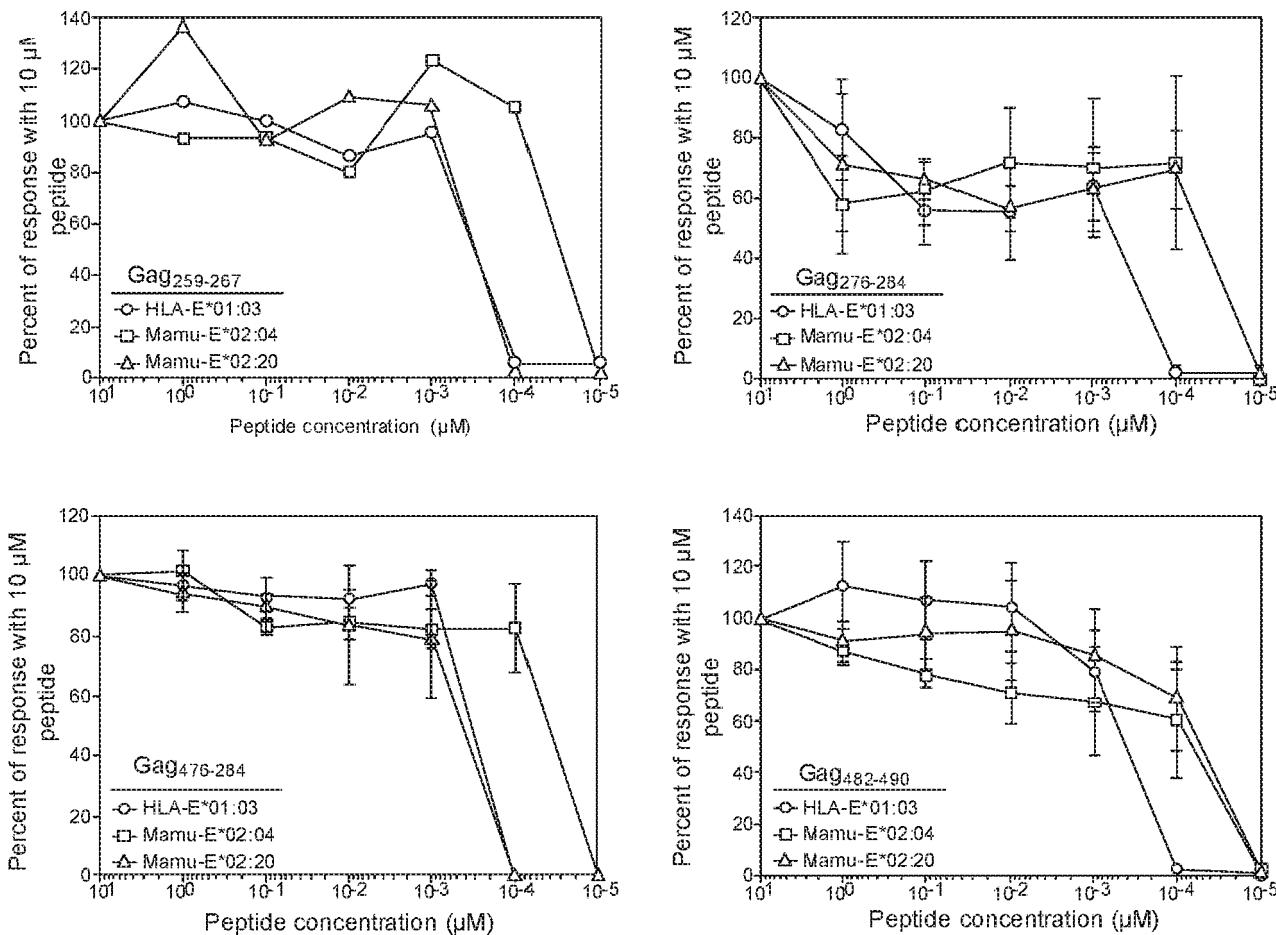


Figure 23

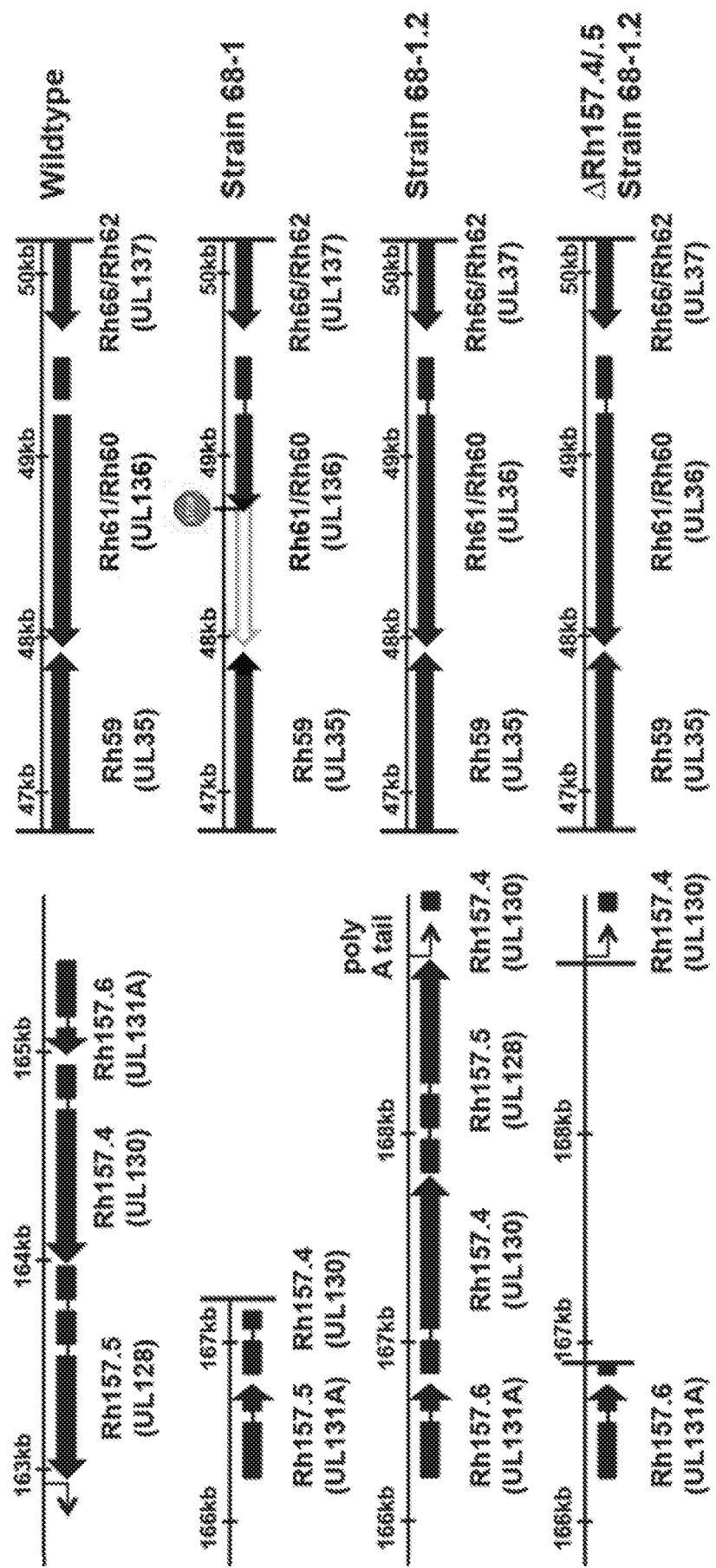


Figure 24A

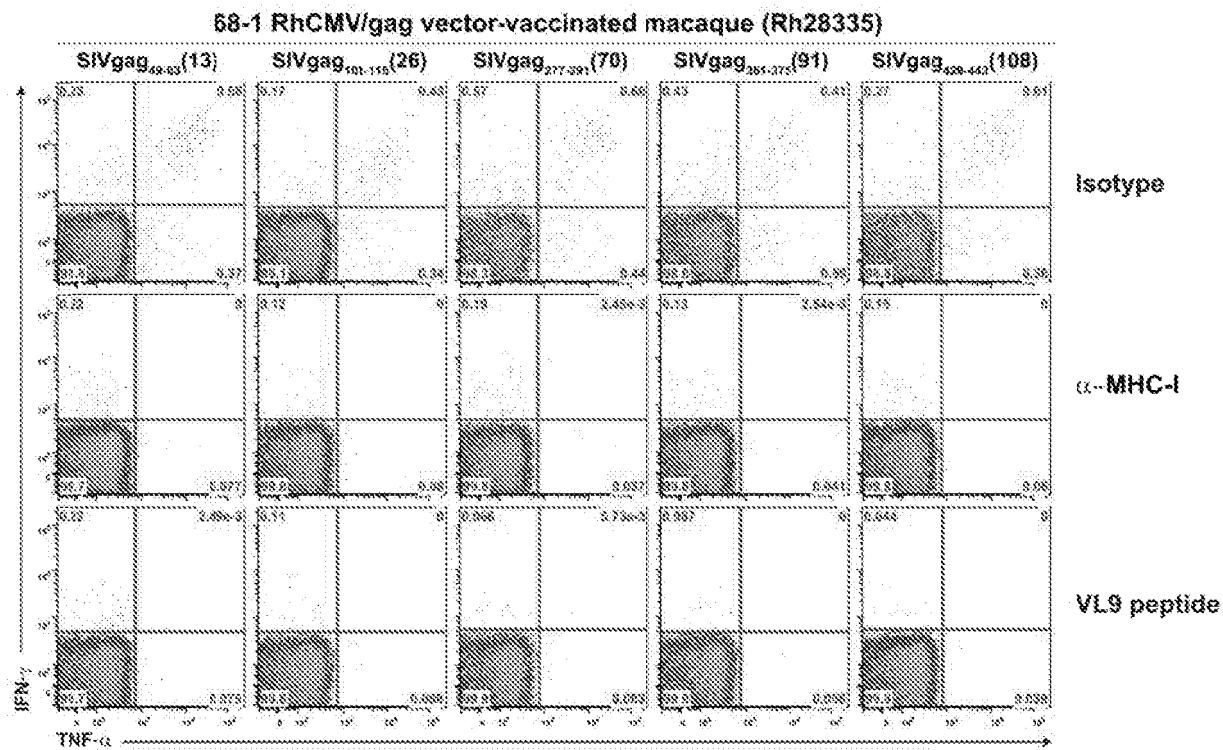
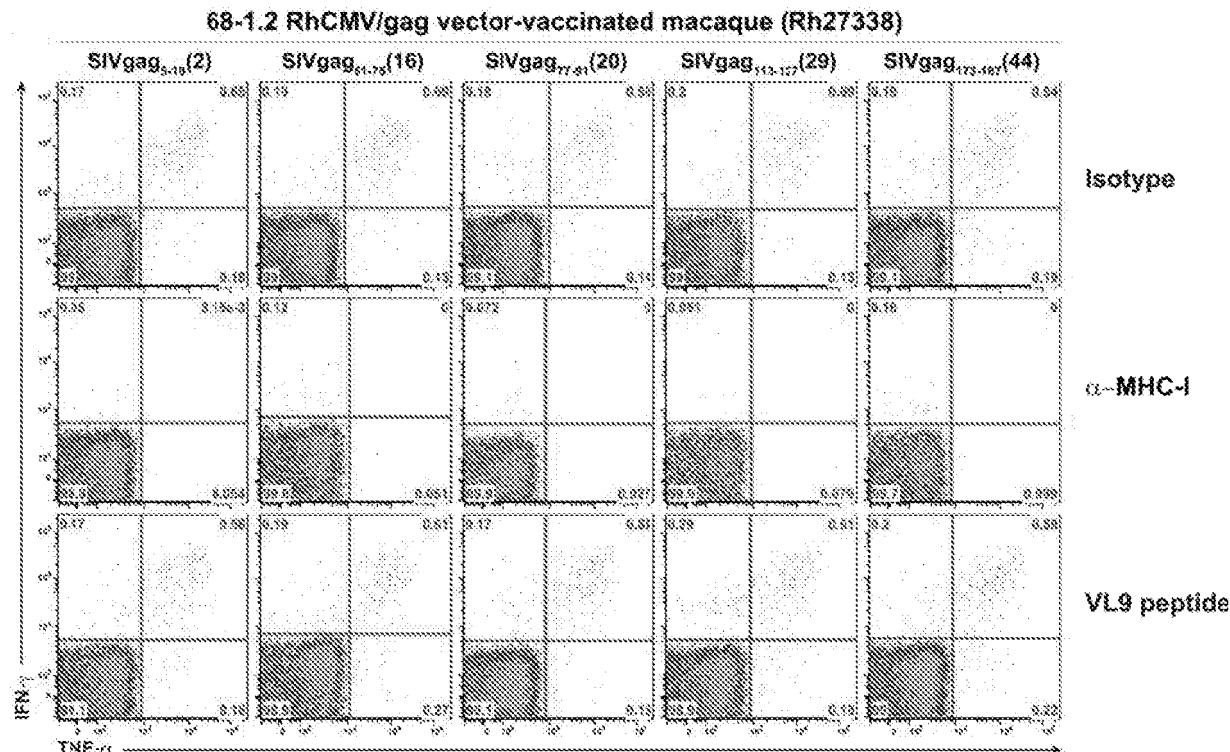


Figure 24B



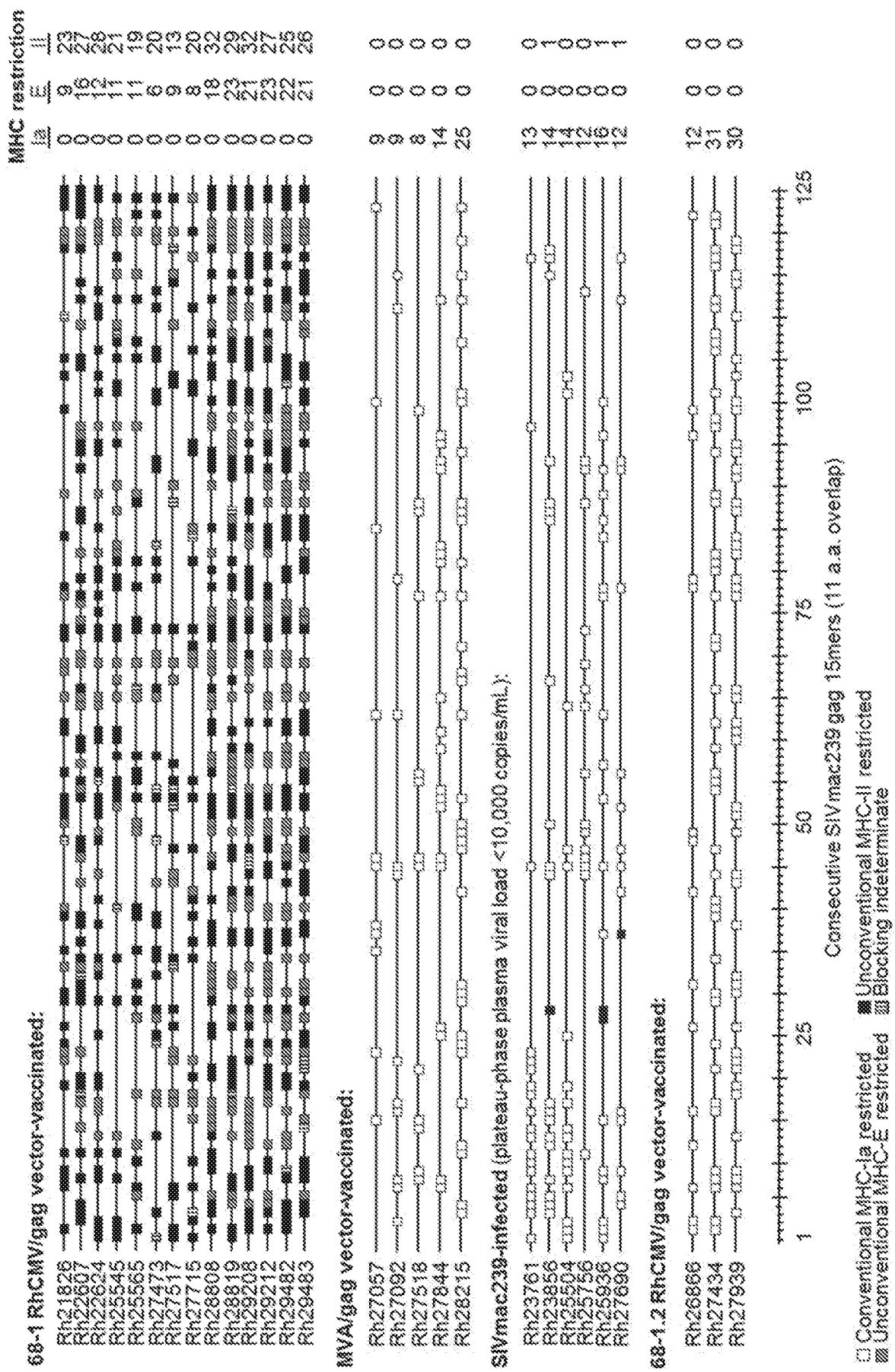


Figure 25

Figure 26

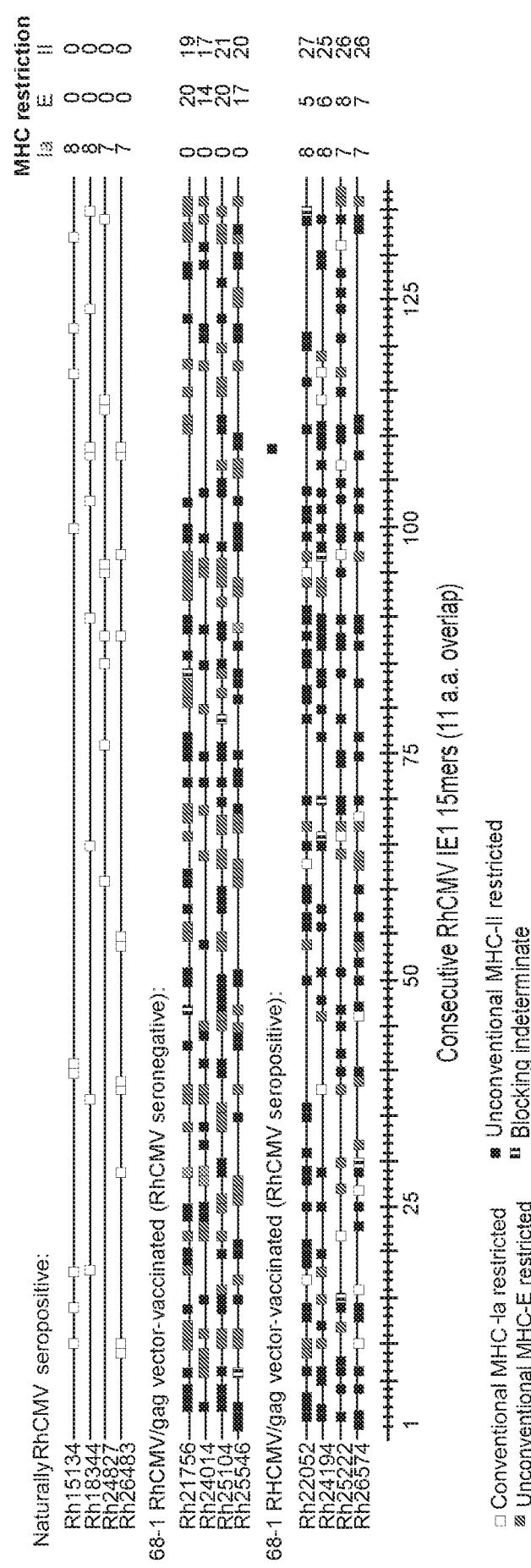


Figure 27A

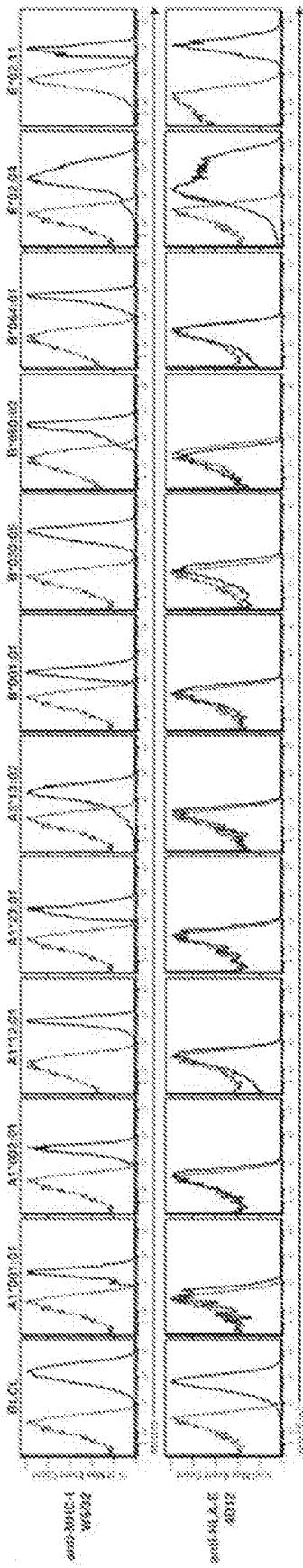


Figure 27B

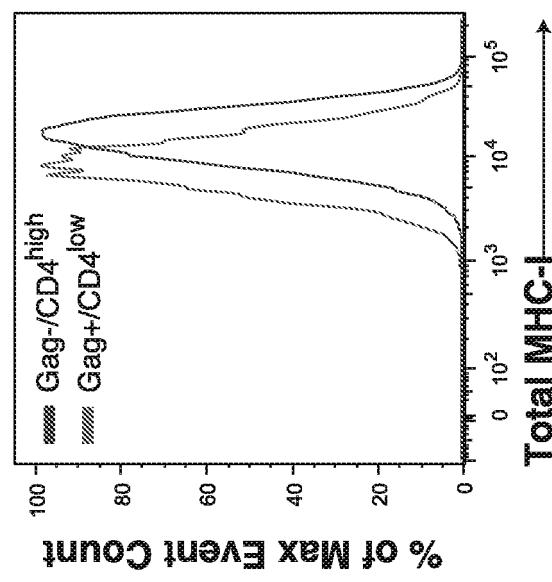


Figure 27C

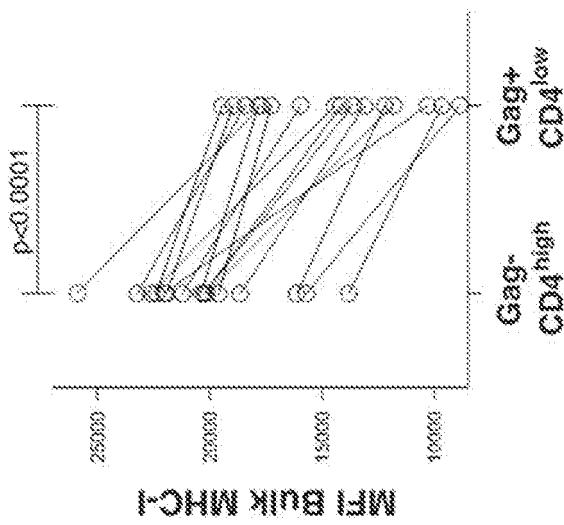


Figure 28

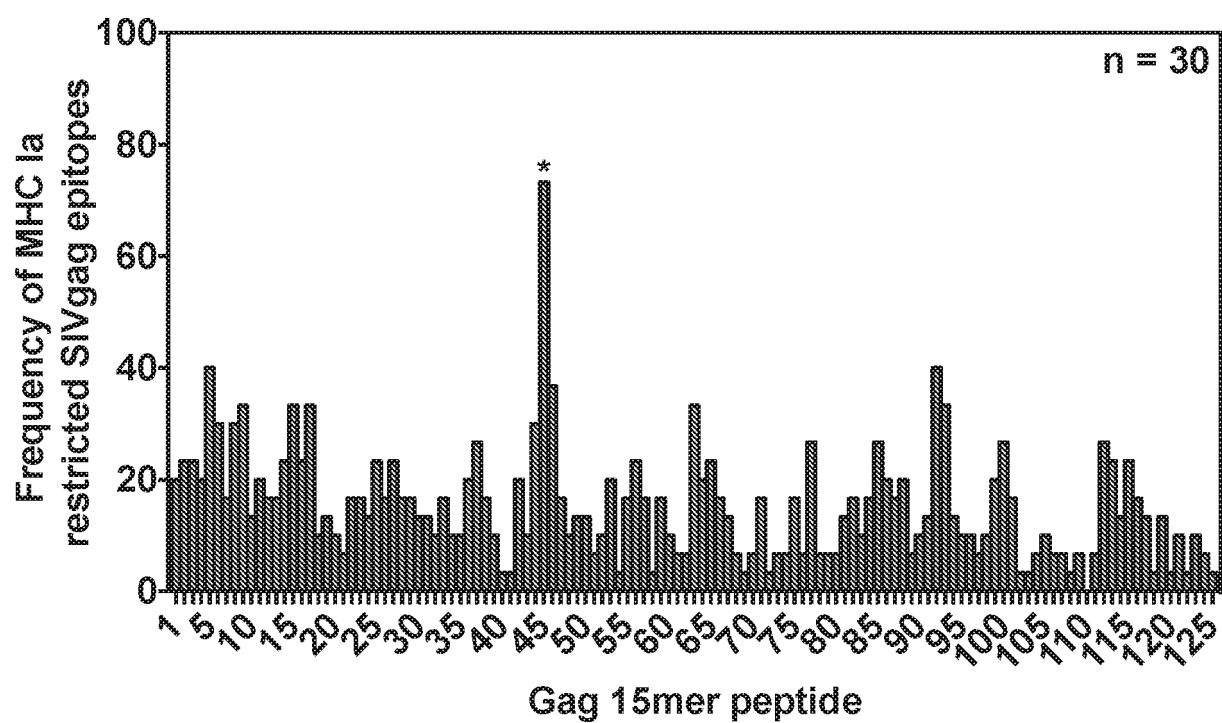


Figure 29

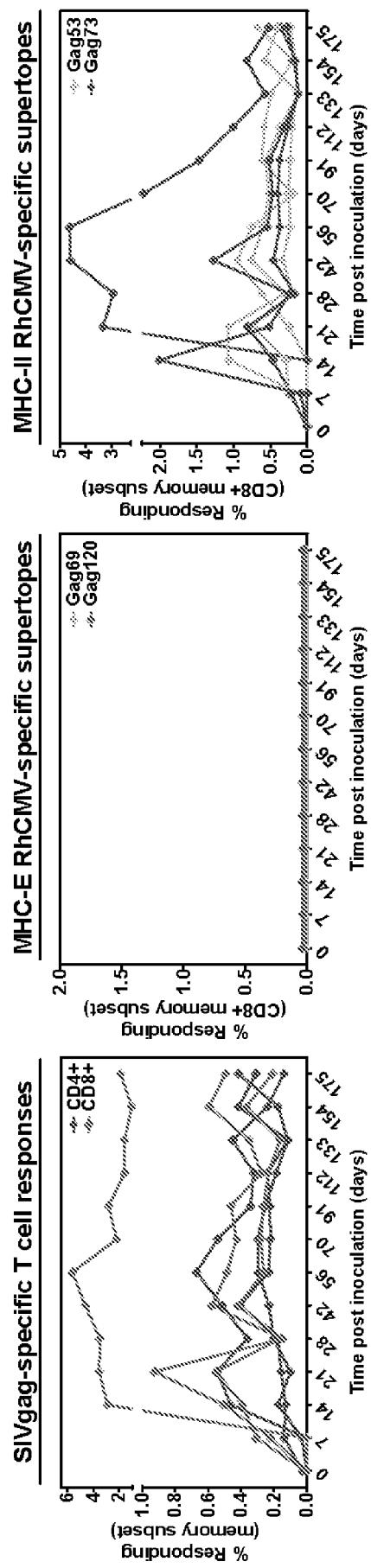
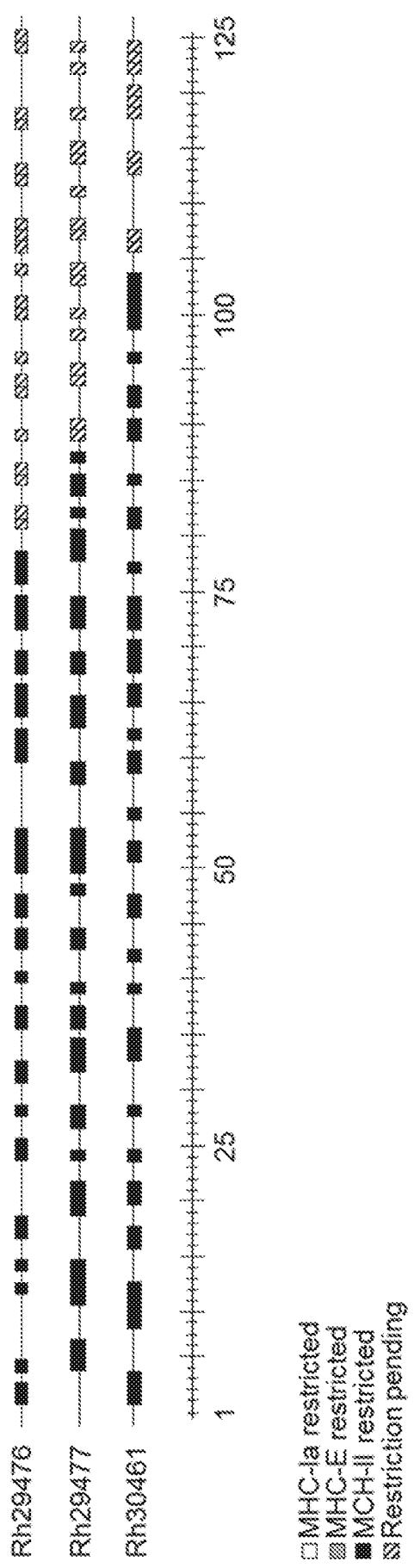
**Strain 68-1 ΔRh214-220 (ΔUS28) RhCMV/SIVgag vector-vaccinated macaques:**

Figure 30



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2016/017373

## A. CLASSIFICATION OF SUBJECT MATTER

C12N 15/86(2006.01)i, C12N 15/12(2006.01)i, C12N 15/33(2006.01)i, C07K 14/705(2006.01)i, C07K 14/725(2006.01)i, C12N 5/0783(2010.01)i, C12Q 1/68(2006.01)i, A61K 48/00(2006.01)i, A61P 35/00(2006.01)i

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

C12N 15/86; A61K 39/245; C12N 7/04; C12N 15/12; C12N 7/00; C12N 15/33; A61K 48/00; C12N 15/869; C07K 14/705; C07K 14/725; C12N 5/0783; C12Q 1/68; A61P 35/00

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
Korean utility models and applications for utility models  
Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
eKOMPASS(KIPO internal) & Keywords:cytomegalovirus, antigen, UL40, US28, UL128, UL130, immune response

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2014-138209 A1 (OREGON HEALTH & SCIENCE UNIVERSITY) 12 September 2014 See abstract; claims 1-2.	1-7,33-39
A	US 2004-0086489 A1 (SCHALL, THOMAS J. et al.) 06 May 2004 See abstract; claims 1, 4-5, 12-15 and 24.	1-7,33-39
A	HANLEY, PATRICK J. et al., 'Controlling cytomegalovirus: helping the immune system take the lead', Viruses, 27 May 2014, Vol. 6, No. 6, pp. 2242-2258 See the whole document.	1-7,33-39
A	WO 2011-143653 A2 (OREGON HEALTH & SCIENCE UNIVERSITY) 17 November 2011 See abstract; claims 1-6.	1-7,33-39
A	US 5720957 A (JONES, THOMAS R. et al.) 24 February 1998 See abstract; claims 1-4, 6 and 8-10.	1-7,33-39

 Further documents are listed in the continuation of Box C. See patent family annex.

\* Special categories of cited documents:  
 "A" document defining the general state of the art which is not considered to be of particular relevance  
 "E" earlier application or patent but published on or after the international filing date  
 "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)  
 "O" document referring to an oral disclosure, use, exhibition or other means  
 "P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  
 "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  
 "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art  
 "&" document member of the same patent family

Date of the actual completion of the international search  
23 May 2016 (23.05.2016)

Date of mailing of the international search report  
**23 May 2016 (23.05.2016)**

Name and mailing address of the ISA/KR  
International Application Division  
Korean Intellectual Property Office  
189 Cheongsa-ro, Seo-gu, Daejeon, 35208, Republic of Korea  
Facsimile No. +82-42-481-8578

Authorized officer  
HEO, Joo Hyung  
Telephone No. +82-42-481-8150



**INTERNATIONAL SEARCH REPORT**

International application No.  
**PCT/US2016/017373**

**Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 14-32,46-101,109-112  
because they relate to subject matter not required to be searched by this Authority, namely:  
Claims 14-32, 46-101 and 109-112 pertain to a method for treatment of the human body by therapy, and thus relate to a subject matter which this International Searching Authority is not required, under PCT Article 17(2)(a)( i ) and PCT Rule 39.1(iv), to search.
2.  Claims Nos.: 10,15-16,18,23,26-28,42,47,53,56-58,64,66,71,74,77,81,85,87,89,93,96,99,103-108  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:  
Claims 10, 15-16, 18, 23, 26-28, 42, 47, 53, 56-58, 64, 66, 71, 74, 77, 81, 85, 87, 89, 93, 96, 99 and 103-108 are unclear since they are referring to the multiple dependent claims which do not comply with PCT Rule 6.4(a).
3.  Claims Nos.: see extra sheet  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of any additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.

The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.  
**PCT/US2016/017373**

- Continuation of Box No. II :

> No. 3.

8-9,11-14,17,19-22,24-25,29-32,40-41,43-46,48-52,54-55,59-63,65,67-70,72-73,75-76,78-80,82-84,86,8  
8,90-92,94-95,97-98,100-102,109-112

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

**PCT/US2016/017373**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 2014-138209 A1	12/09/2014	AU 2014-225886 A1 CA 2904001 A1 EP 2964769 A1 US 2016-0010112 A1	15/10/2015 12/09/2014 13/01/2016 14/01/2016
US 2004-0086489 A1	06/05/2004	AU 2002-242085 A1 CA 2437201 A1 CA 2437201 C EP 1364037 A2 EP 1364037 A4 US 2002-0176870 A1 US 6740324 B2 WO 02-062296 A2 WO 02-062296 A3	19/08/2002 15/08/2002 18/11/2008 26/11/2003 03/08/2005 28/11/2002 25/05/2004 15/08/2002 13/02/2003
WO 2011-143653 A2	17/11/2011	AU 2011-230619 B2 CA 2793959 A1 CA 2798136 A1 EP 2550362 A2 EP 2569436 A2 EP 2569436 A4 EP 2772265 A2 EP 2772265 A3 US 2013-0129719 A1 US 2013-0136768 A1 US 9163242 B2 WO 2011-119920 A2 WO 2011-119920 A9 WO 2011-142970 A2 WO 2011-142970 A3 WO 2011-143650 A2 WO 2011-143653 A3	24/12/2015 29/09/2011 17/11/2011 30/01/2013 20/03/2013 27/11/2013 03/09/2014 21/01/2015 23/05/2013 30/05/2013 20/10/2015 29/09/2011 19/04/2012 17/11/2011 24/05/2012 17/11/2011 31/05/2012
US 5720957 A	24/02/1998	AU 1995-31535 B2 AU 1995-32745 B2 AU 1999-44522 A1 AU 1999-44522 B2 CA 2195668 A1 CA 2195668 C CA 2196207 A1 CA 2196207 C CA 2328638 A1 CN 1154718 A CN 1162336 A EP 0772681 A1 EP 0775209 A1 JP 10-503378 A JP 10-506268 A JP 4036469 B2	02/09/1999 19/08/1999 04/11/1999 10/05/2001 15/02/1996 21/09/2004 15/02/1996 30/04/2002 15/02/1996 16/07/1997 15/10/1997 06/05/2004 11/08/2004 31/03/1998 23/06/1998 23/01/2008

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

**PCT/US2016/017373**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
		KR 10-1997-0704883 A	06/09/1997
		KR 10-1997-0704884 A	06/09/1997
		US 5753476 A	19/05/1998
		US 5843458 A	01/12/1998
		US 5846806 A	08/12/1998
		US 5906935 A	25/05/1999
		US 5908780 A	01/06/1999
		WO 96-04383 A1	15/02/1996
		WO 96-04384 A1	15/02/1996

## 摘要

本發明公開了誘導 CD8+ T 細胞對異源抗原應答的方法其中至少 10% 的 CD8+ T 細胞為限制性 MHC-E。此方法涉及了用 CMV 輽體免疫但不表達 UL128 和 UL130 蛋白。本發明亦公開了重組 CMV 輽體包括編碼異源蛋白抗原、UL40 蛋白、和 US28 蛋白的核酸但不表達活性 UL128 和 UL130 蛋白。本發明亦公開了重組 CMV 輽體包括編碼異源蛋白抗原的核酸，但不表達活性 UL40 蛋白、UL128 蛋白、UL130 蛋白、和任選的 US28 蛋白。本發明亦公開了重組 CMV 輽體包括編碼異源蛋白抗原的核酸，但不表達活性 US28 蛋白、UL128 蛋白、UL130 蛋白、和任選的 UL40 蛋白。