

(19) AUSTRALIAN PATENT OFFICE

(54) Title
Coupled knee prosthesis with a rotational bearing

(51)⁶ International Patent Classification(s)
A61F 2/38 (2006.01) 20060101AFI2006010
A61F 2/38 1BHAU
PCT/EP02/06900

(21) Application No: 2002352622 (22) Application Date: 2002 .06 .21

(87) WIPO No: W003/002039

(30) Priority Data

(31) Number	(32) Date	(33) Country
01115511.6	2001 .06 .27	EP

(43) Publication Date : 2003 .03 .03
(43) Publication Journal Date : 2003 .05 .15

(71) Applicant(s)
Waldemar Link GmbH & Co. KG

(72) Inventor(s)
Keller, Arnold

(74) Agent/Attorney
Griffith Hack, Level 29, Northpoint 100 Miller Street, North Sydney, NSW, 2060

(56) Related Art
EP 0410237
US4301553
GB2129306
DE3529894
FR2760352
RU2145821

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum
Internationales Büro



(43) Internationales Veröffentlichungsdatum
9. Januar 2003 (09.01.2003)

PCT

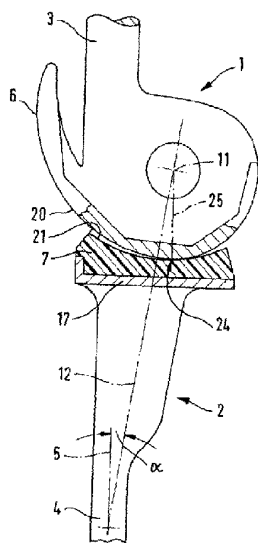
(10) Internationale Veröffentlichungsnummer
WO 03/002039 A3

- (51) Internationale Patentklassifikation⁷: A61F 2/38 (72) Erfinder; und
(21) Internationales Aktenzeichen: PCT/EP02/06900 (75) Erfinder/Anmelder (nur für US): KELLER, Arnold
[DE/DE]; An der Naherfurth 5, 23863 Kayhude (DE).
(22) Internationales Anmeldedatum: 21. Juni 2002 (21.06.2002) (74) Anwalt: GLAWE, DELFS, MOLL; Rothenbaum-
chaussee 58, 20148 Hamburg (DE).
(25) Eindreichungssprache: Deutsch (81) Bestimmungsstaaten (national): AU, AG, AI., AM, AT,
AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR,
CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE,
GH, GM, HR, HU, ID, IL, IN, IS, JP, KI, KG, KP, KR,
KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK,
MN, MW, MX, MZ, NO, NZ, OM, PI, PL, PT, RO, RU,
SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG,
US, UZ, VN, YU, ZA, ZM, ZW.
(30) Angaben zur Priorität: 01115511.6 27. Juni 2001 (27.06.2001) EP
(71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von
US): WALDEMAR LINK (GMBH & CO.) [DE/DE];
Barkhausenweg 10, 22339 Hamburg (DE).

[Fortsetzung auf der nächsten Seite]

(54) Title: COUPLED KNEE PROSTHESIS WITH A ROTATIONAL BEARING

(54) Bezeichnung: GEKOPPELTE KNEEPROTHESE MIT ROTATIONSLAGER



(57) Abstract: The invention relates to a knee prosthesis comprising a femur component (1) with condylar sliding surfaces (20) which are rigidly interconnected, a tibial component (2) provided with a tibial platform (7) which is linked to the former in a non-rotatable manner and which exhibits tibial sliding surfaces (21) which cooperate with the condylar sliding surfaces (20). Said prosthesis also comprises a coupling device (10) which forms a flexing bearing (11) with the femur component (1) and a rotational bearing (12) with the tibial component (2), the axis (12) of said rotational bearing being inclined in the tibial direction (5). The invention aims to achieve a more advantageous distribution of power in the prosthesis and a restoring force when the prosthesis parts rotate. To do so, in the region (24) of the tibial sliding surfaces (21), which is responsible for carrying most of the load in the extended position and which cooperates with the condylar sliding surfaces (20), the normal (25) is less inclined relative to the tibial direction (5) than the axis (12) of the rotational bearing, in the lateral view or sagittal section.

(57) Zusammenfassung: Knieprothese bestehend aus einer Femurkomponente (1) mit starr miteinander verbundenen Kondylengleitflächen (20), einer Tibiakomponente (2) mit einem undrehbar mit ihr verbundenen Tibiaplateau (7), das mit den kondylären Gleitflächen (20) zusammenwirkende tibiale Gleitflächen (21) aufweist, und einer Koppelungseinrichtung (10), die mit der Femurkomponente (1) ein Biegelager (11) und mit der Tibiakomponente (2) ein Rotationslager (12) bildet, dessen Achse (12) gegenüber der Schienbeinrichtung (5) geneigt ist. Um eine günstigere Kraftverteilung in der Prothese und eine Rückstellkraft bei Rotation der Prothesenteile zu erreichen, ist die Anordnung so getroffen, dass die Normale (25) auf den in der Streckstellung hauptsächlich lastübertragenden mit den kondylären Gleitflächen (20) zusammenwirkenden Bereich (24) der tibialen Gleitflächen (21) in der Seitenansicht bzw. Im Sagittalschnitt weniger gegenüber der Schienbeinrichtung (5) geneigt ist als die Achse (12) des Rotationslagers.

WO 03/002039 A3



(84) Bestimmungsstaaten (regional): ARIPO-Patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI-Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Erklärungen gemäß Regel 4.17:

hinsichtlich der Identität des Erfinders (Regel 4.17 Ziffer i) für die folgenden Bestimmungsstaaten AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO-Patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI-Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

— *hinsichtlich der Berechtigung des Anmelders, ein Patent zu beantragen und zu erhalten (Regel 4.17 Ziffer ii) für die folgenden Bestimmungsstaaten AE, AG, AL, AM, AT, AU,*

AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW, ARIPO-Patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), eurasisches Patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI-Patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

— *Erfindererklärung (Regel 4.17 Ziffer iv) nur für US*

Veröffentlicht:

— *mit internationalem Recherchenbericht*

(88) Veröffentlichungsdatum des internationalen

Recherchenberichts: 1. Mai 2003

Zur Erklärung der Zweibuchstaben-Codes und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

Coupled knee prosthesis with a rotational bearing

- Knee prostheses are known whose femoral and tibial components have different degrees of freedom relative to one another. The less the residual stability of the knee to be fitted with the prosthesis, the greater the stability that the prosthesis must provide and the smaller the number of degrees of freedom that can be given to the relative movement between the two components, and vice versa. The restriction on the degrees of freedom is achieved by a coupling device which acts between the femoral component and the tibial component.
- The present invention provides a knee prosthesis equipped with a coupling device which forms a flexion bearing with the femoral component and a rotational bearing with the tibial component. The flexion bearing determines the movement of the components about the transverse axis during flexion. The rotational bearing, whose axis extends approximately parallel to the tibial direction, permits a certain rotation about the vertical axis.

- In particular, the present invention provides a knee prosthesis comprising a femoral component with condylar sliding surfaces which are rigidly interconnected, a tibial component provided with a tibial platform which is rigidly held on the tibial component and has tibial sliding surfaces which cooperate with the condylar sliding surfaces, and a coupling device connected to the femoral component by a hinge so that movement of the femoral part is restricted about an axis and forming a flexion bearing with the femoral component and a rotational bearing with the tibial component, the axis of said rotational bearing being inclined relative to the tibial direction, wherein the normal with respect to that area of the tibial sliding surfaces which cooperates with the condylar sliding

surface and transmits most of the load in the extension position is less inclined relative to the tibial direction than is the axis of the rotational bearing, as seen in a side view or sagittal section.

5

The axial forces are transmitted from the condylar sliding surfaces of the femoral component to the tibial sliding surfaces cooperating with these on the top of the tibial platform. A distinction is drawn here between two groups.

10

In the prostheses of the first group, the rotational bearing typically comprises a platform which is rotatable relative to the tibial component and whose upper sliding surface cooperates only for the flexion movement with the condylar sliding surfaces of the femoral component (DE-B-

15

2334265, DE-A-2636816, EP-A-716839, US-A-488021, US-A-5370701). In the prostheses of the second group, the tibial platform typically is connected to the tibial component in a non-rotatable manner (US-A-5139521, EP-B-410237, EP-B-539654, EP-A-791343). The embodiments of the present invention concern the second group. In this case, a relative movement between the femoral condylar sliding surfaces and the tibial sliding surfaces take place not only during the flexion movement, but also during the rotation movement. To ensure, during the rotation movement, that both femoral condylar sliding surfaces maintain force-transmitting contact with the associated areas of the tibial sliding surface, it is considered necessary in the prior art for these areas of the tibial sliding surface to be oriented substantially perpendicular with respect to the axis of rotation. This applies at least to the flexion area in which most of the load transmission takes place. This is generally the extension position, or a flexion position close to the extension position. The requirement for substantially perpendicular orientation of the tibial sliding surfaces in this load-transmitting area relative to the axis of rotation has hitherto also been taken into consideration if the

35

direction of the axis of rotation is inclined relative to the tibial axis, so that it is directed not at the ankle joint but at the foot surface (EP-B-410237). The tibial sliding surface is then inclined like the axis of
5 rotation. It slopes down toward the rear. This has considerable drawbacks for the rotational bearing. The rearward inclination of the tibial sliding surface in fact leads to a horizontal force component which loads the rotational bearing with a moment about the horizontal axis
10 and thus exposes it to increased wear. It would be advantageous if the present invention would this drawback.

Although the axis of rotation is inclined, the tibial sliding surface according to embodiment of the invention
15 is not provided with a corresponding inclination, which seems contradictory in terms of kinematics because, in the event of rotation, it precludes symmetrical force transmission via both condylar surfaces. What this achieves is, firstly, that the stated horizontal force
20 component, and the excessive stressing of the rotational bearing caused by the latter, is avoided. Also, embodiments of the invention have the advantage that a rotational movement of the prosthesis components is at all times associated with the generation of a restoring force.
25 During rotation, one of the two contact points of the femoral condyles on the tibial sliding surface migrates forward, and the other migrates rearward. Since the tibial sliding surface is not perpendicular with respect to the axis of rotation, one of the two condylar contacts
30 gains height, during this displacement, relative to the rotational bearing compared to the previous state. Its attempt to return, under loading, to the lower, previous state generates the restoring force.

35 An embodiment is preferred in which the tibial sliding surface extends approximately perpendicular with respect to the tibial direction. More precisely, the direction of

the normal with respect to the tibial sliding surface preferably is parallel to the tibial direction. This applies to the area of the tibial direction. This applies to the area of the tibial sliding surface at which most of the load transmission from the condylar sliding surface to the tibial sliding surface takes place in the extended state of the prosthesis. The tibial sliding surface may also extend at a slight inclination, in particular if the angle between said normal and the tibial direction is not more than half as great as the angle between the axis of the rotational bearing and the tibial direction.

The fact that a restoring force is exerted on the rotated prosthesis components by the angle difference between the direction of the rotational bearing said normal does not mean that further means for generating such a restoring force have to be avoided. In particular, a raised central rib can be provided, as is known (DE 2744710), between the two areas of the tibial sliding surface which cooperate with the two condylar sliding surface parts.

Embodiments of the invention are explained in more detail below with reference to the drawing which depicts an advantageous illustrative embodiment, and in which:

Fig. 1 shows a dorsal view,
Fig. 2 shows a sectional view, and
Fig. 3 shows a side view of the prosthesis.

The prosthesis comprises a femoral part 1 and a tibial part 2 which are to be anchored respectively in the femur and in the tibia via stems 3 and 4, respectively. The direction 5 of the stem 4 indicates the tibial direction. The load is transmitted from the femoral part 1 to the tibial part 2 in each flexion position by femoral runners 6 and a tibial platform 7. For stabilizing purposes, the femoral part and tibial part are connected to one another

by an intermediate part 10 which, as coupling device, forms a hinge with the femoral part 1, the axis 11 of which hinge coincides with the flexion axis, and forms, with the tibial part 2, a rotational bearing the axis of rotation 12. The rotational bearing consists of a pin 15 of the intermediate part 10 and of a bore 13 in the tibial part, which bore 13 has a slide bushing 14, for example of polyethylene, which receives the pin 15 with a sliding fit. In the sagittal plane, the axis of rotation 12 encloses, with the tibial direction 5 of the prosthesis, an angle α which is 9° in the example shown (generally between 4° and 15°).

The runners 6 of the femoral part take the place of the natural condyles. The sliding surfaces 20 formed by them are therefore referred to as condylar sliding surfaces. In side view, they can be formed as an arc of a circle. In this case, their axis of curvature coincides with the flexion axis 11. They can also be made polycentric for better approximation to the natural conditions.

The tibial platform 7 is held rigidly on a plate 17 of the tibial part. It is also preferably secured thereon against lifting (for example by screws). It comprises an undercut 18 which, cooperating with a collar 19 of the intermediate part 10, ensures that the pin 12 remains in the rotational bearing.

At its top, the tibial platform 7 forms a tibial sliding surface 21. It forms a sliding surface area for each condylar sliding surface 20. Between these, the tibial platform forms a raised, ridge-like area 22 which protrudes into the intercondylar notch 23 of the femoral part.

In the side view or sagittal section, the tibial sliding surface 21 of the tibial platform 7 is expediently shaped

as a concave depression in order to approximate more or less to the shape of the condylar sliding surfaces 20. The surface contact pressure is thereby reduced. Complete congruence is of course possible, but in most cases it is
5 neither necessary nor desired. In the frontal section, by contrast, considerable agreement, agreement of shape of the condylar and tibial sliding surfaces 20, 21 is desired, it being assumed that they take up their neutral position with respect to the axis of rotation 12, which
10 position corresponds to the extension state of the leg.

If the tibial platform 7 is arranged securely on the tibial part 2, the relative position of the condylar and tibial sliding surfaces 20, 21 is determined by the
15 flexion axis 11. if the radius of curvature of the tibial sliding surface 21 is greater than that of the condylar sliding surface 20, then the geometric relationships are chosen such that the theoretical geometrical point of contact in the non-rotated state of the prosthesis parts
20 lies at an area of the tibial sliding surface whose normal (i.e. a line perpendicular to the surface of the area in question) extends approximately parallel to the tibial direction 5. This area and the associated normal are shown in Fig. 3 by reference numbers 24 and 25.

25 If (departing from the embodiment shown) the radii of curvature of the condylar and tibial sliding surfaces 20, 21 are identical in sagittal section, the load transmission is not distributed across the whole
30 theoretical contact surface. Rather, an area of main force transmission is likewise formed. This generally has a horizontal setting in the extension and standing position. Here once again, therefore, the normal extends approximately parallel to the tibial direction.

35 If (departing from the embodiment shown), the tibial platform 7 can be moved forward and backward relative to

the tibial part 1 on a guide plane during the flexion movement, the platform in each case takes up a position such that the tibial sliding surface at the point of greatest force transmission extends approximately parallel
5 to the guide plane of the tibial platform. The normal with respect to the main load-transmitting area is thus perpendicular to the guide surface.

In all these cases, the embodiments require that the
10 construction be made such that, in side view, the normal with respect to the main load-transmitting area is inclined less relative to the tibial direction than is the axis of the rotational bearing. If the tibial direction is imagined as being vertical, the tibial sliding surface
15 therefore is approximately horizontal at this area.

If the prosthesis components 1 and 2 are not turned relative to the axis 12 (neutral position), as is generally the case in the extension position, both
20 condylar sliding surface lie, transmitting forces, on the associated areas of the tibial sliding surface. When rotation about the axis 12 takes place between the prosthesis parts 1 and 2 and the sliding surfaces 20 and 21, there is a forward/backward relative displacement of
25 the sliding surface areas lying on one another at area 24. If the normal with respect to this area were to extend parallel to the axis of rotation 12, as is known, there would be no substantial change in the height of the affected area of the condylar sliding surface 20 in
30 relation to the rotational bearing. However, because, according to embodiments of the invention, the normal 25 with respect to this area has a direction other than the axis of rotation 12, the sliding surfaces 20, 21 at the area concerned are inclined relative to the
35 circumferential direction. The result of this is that, on one condylar side, lifting of the condylar sliding surface 20 relative to the tibial component of the prosthesis is

2002352622 31 Oct 2006

- 8 -

forced. Under loading, the arrangement therefore seeks to return to the neutral rotation position.

Embodiments of the invention also are the advantage that,
5 in most loading situations, the direction of the normal 25
corresponds approximately to the load direction. The
development of transversely extending forces and resulting
bending moments acting on the rotational bearing 13, 14,
15 are therefore less than would be the case if the
10 concerned area of the sliding surfaces were to be inclined
like the axis of rotation.

H:\juliad\keep\Spec\p51690.doc 31/10/06

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A knee prosthesis comprising a femoral component with condylar sliding surfaces which are rigidly interconnected, a tibial component provided with a tibial platform which is rigidly held on the tibial component and has tibial sliding surfaces which cooperate with the condylar sliding surfaces, and a coupling device connected to the femoral component by a hinge so that movement of the femoral part is restricted about an axis and forming a flexion bearing with the femoral component and a rotational bearing with the tibial component, the axis of said rotational bearing being inclined relative to the tibial direction, wherein the normal with respected to that area of the tibial sliding surfaces which cooperates with the condylar sliding surface and transmits most of the load in the extension position is less inclined relative to the tibial direction than is the axis of the rotational bearing, as seen in a side view or sagittal section.
2. The knee prosthesis as claimed in claim 1, characterized in that the angle between said normal and the tibial direction is not more than half as great as the angle between the axis of the rotational bearing and the tibial direction.
3. The knee prosthesis as claimed in claim 2, characterized in that said normal extends approximately parallel to the tibial direction.
4. The knee prosthesis as claimed in one of claims 1 through 3, characterized in that the tibial sliding surface is elevated in the intercondylar area.

2002352622 31 Oct 2006

- 10 -

5

5. A knee prosthesis substantially as herein described with reference to the drawings.

H:\juliad\keep\Speci\p51690.doc 31/10/06

