

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
5 April 2007 (05.04.2007)

PCT

(10) International Publication Number  
**WO 2007/038773 A1**

(51) International Patent Classification:

A61M 5/28 (2006.01) A61J 1/00 (2006.01)

(21) International Application Number:

PCT/US2006/038400

(22) International Filing Date:

28 September 2006 (28.09.2006)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/721,475 28 September 2005 (28.09.2005) US

(71) Applicant (for all designated States except US): **BIODEL, INC.** [US/US]; 6 Christopher Columbus Avenue, Danbury, CT 06810 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **STEINER, Solomon, S.** [US/US]; 24 Old Wagon Road, Mount Kisco, NY 10549 (US). **STEINER, Erik** [US/US]; 300 Mercer Street, Apartment 31F, New York, NY 10003 (US).

(74) Agents: **PABST, Patrea, L.** et al.; PABST PATENT GROUP LLP, 1201 PEACHTREE STREET, Suite 1200, Atlanta, GA 30361 (US).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

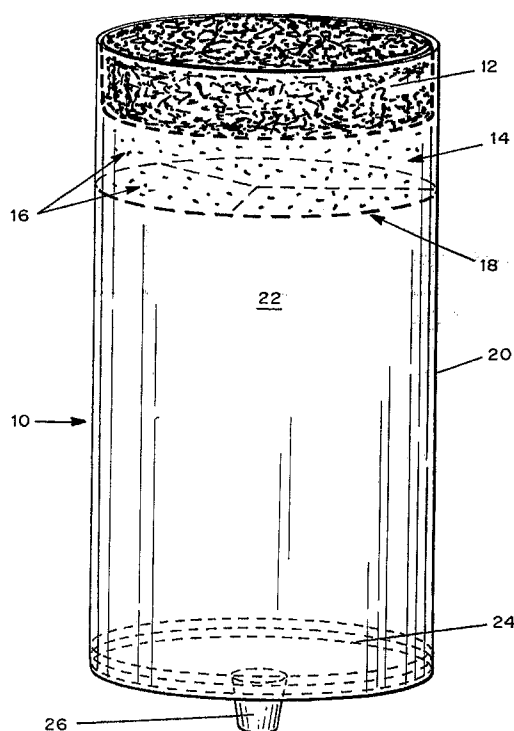
(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IS, IT, LT, LU, LV, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))

[Continued on next page]

(54) Title: SELF-FILLING TWO CHAMBER INJECTABLE DEVICE



(57) Abstract: A device has been designed which contains a chamber for diluent; a chamber for lyophilized or powdered medicament; and means for separating the medicament from the diluent. Suitable means for separation include a frangible disk and a separate container comprising the diluent. In the preferred embodiment, the medicament is lyophilized in the chamber and sealed under vacuum. In another embodiment, a movable piston acts as the seal. In still another embodiment, the diluent is packaged in a separate container under pressure. The two containers are connected by a tube, such as a nozzle or a needle. The tube can contain a dispersion or turbulence device (herein referred to as a "dispersion tube"). The dispersion tube has a one-way valve permitting flow of liquid from the pressurized container to the second container. When the user applies a force to the device such as a twisting or a downward push, the two containers are connected through the tube, and the contents of the pressurized container flow turbulently into the second container, ensuring thorough mixing. In the second embodiment, the movable piston is pushed to its full excursion by the incoming fluid. The diluent container and the connecting tube are then separated from the now filled cartridge, containing the dissolved medicament, under sterile conditions. The cartridge is placed in a pen device and is ready for use. The device described herein can be used for shipment, storage and use of unstable medicaments at room temperature. Suitable medicaments include, but not limited to peptide and proteins, DNA, RNA, antibodies and enzymes; preferably the medicament is insulin.

WO 2007/038773 A1



- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))
- of inventorship (Rule 4.17(iv))

- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

**Published:**

- with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## **Self-Filling Two Chamber Injectable Device**

### **Cross-Reference to Related Applications**

This application claims priority to U.S.S.N. 60/721,475 entitled "Self filling Two Chamber Injectable Device" filed September 28, 2005, by Solomon S. Steiner and Erik Steiner.

### **Field of the Invention**

The present invention is generally in the field of devices for dissolving and/or suspending a lyophilized medicament.

### **Background of the Invention**

One of the many advantages of administering a medicament by injection is control of the dose administered. A good example of this need is the tight control of the dose of insulin required to adequately treat diabetes, where a difference of 0.03 ml in the dose can result in the difference between good glycemic control and hypoglycemia. To improve the accuracy and convenience of self-injection, numerous devices, frequently described as "insulin pens", are currently available that allow the patient to dial in the dose with a high degree of accuracy. The medicaments for the devices are typically provided as liquid pre-filled disposable cartridges.

Since many injectables are unstable in aqueous solution or suspension, they either cannot be administered at all with the current devices, as is the case with glucagons, or their shelf life is markedly reduced, as is the case with insulin. Furthermore, the in aqueous solutions or suspensions containing the medicament frequently require refrigeration for both shipment and storage.

It is therefore an object of the present invention to provide devices which can be used for shipment, storage and use of unstable medicaments at room temperature.

### **Summary of the Invention**

A device has been designed which contains a chamber for diluent; a chamber for lyophilized or powdered medicament; and means for separating the medicament from the diluent. Suitable means for separation include a frangible disk and a separate container comprising the diluent. In the

preferred embodiment, the medicament is lyophilized in the chamber and sealed under vacuum. In another embodiment, a movable piston acts as the seal. In still another embodiment, the diluent is packaged in a separate container under pressure. The two containers are connected by a tube, such as a nozzle or a needle. The tube can contain a dispersion or turbulence device (herein referred to as a "dispersion tube"). The dispersion tube has a one-way valve permitting flow of liquid from the pressurized container to the second container. When the user applies a force to the device such as a twisting or a downward push, the two containers are connected through the tube, and the contents of the pressurized container flow turbulently into the second container, ensuring thorough mixing. In the second embodiment, the movable piston is pushed to its full excursion by the incoming fluid. The diluent container and the connecting tube are then separated from the now filled cartridge, containing the dissolved medicament, under sterile conditions. The cartridge is placed in a pen device and is ready for use. The device described herein can be used for shipment, storage and use of unstable medicaments at room temperature. Suitable medicaments include, but not limited to peptide and proteins, DNA, RNA, antibodies and enzymes; preferably the medicament is insulin.

#### **Brief Description of the Drawings**

Figure 1 is a perspective view of a device including a compartment for lyophilized or powdered drug and diluent, separated by a frangible disk.

Figures 2A and 2B are perspective views of a device having a connecting tube which can be used to supply diluent directly into the lyophilized medicament chamber.

#### **Detailed Description of the Invention**

Devices which can be used for shipment, storage and use of medicaments are described herein. These devices are particularly useful for medicaments that are unstable at room temperature. Suitable medicaments include, but not limited to peptide and proteins, DNA, RNA, antibodies and enzymes; preferably the medicament is insulin. Preferably the device is

designed to mix a single dose of diluent and lyophilized or powdered medicament.

As shown in Figure 1, device 10 consists of a closed, generally tubular system, containing a cartridge 20, which contains a movable piston 12, friable chamber 14 containing lyophilized or powdered medicament 16, a diluent chamber 22, and frangible disk 18 separating the lyophilized medicament 16 from the diluent 23 in the cartridge 20. In the preferred embodiment, the medicament is lyophilized in the friable chamber and sealed under vacuum. Alternatively, the movable piston may act as the seal. The device 10 can be attached to another device (not shown) by screw threads 24 for connection via the nozzle 26. Typically, the diluent 23 is added to the device 10 from another container, which can be a syringe or pre-pressurized container. Upon connection of the container containing the diluent 23 with the device 10, the diluent 23 transfers from the container into the device 10 (not shown in figures).

The cartridge can be placed in a suitable device for administering the medicament to a patient, such as a pen device. To mix the diluent 23 with the medicament 16 and force the medicament out of the cartridge, a user depresses the piston, which breaks the frangible disk and allows the diluent 23 to contact and mix with the medicament 16 to form a solution or suspension.

As shown in Figures 2A and 2B, in an alternative embodiment, the device 30 can be connected by a tube, such as a nozzle or a needle, to a pre-pressurized container 40 containing the diluent 23. The tube can contain a dispersion or turbulence device (herein referred to as a “dispersion tube”). The dispersion tube has a one-way valve permitting flow of liquid from the pressurized container to the second container.

In the embodiment shown in Figure 2A, the device 30 consists of a closed, generally tubular system, containing a cartridge 20, which contains a movable piston 12, and a friable chamber 14 containing lyophilized or powdered medicament 16, and a diluent chamber 22. A nozzle 26 and needle 44 are located at the distal end of the cartridge 20. As shown in

Figure 2A, the device 30 connects to the pre-pressurized container 40 containing diluent 23 via the needle 44. In the embodiment shown in Figure 2B, the device 30 consists of a closed, generally tubular system, containing a cartridge 20, which contains a movable piston 12, a diluent chamber 22 and a lyophilized or powdered medicament 16. A dispersion tube, such as a vortex nozzle 42, is located at the distal end of the cartridge 20. A one-way valve 50 is located at the wide end of the vortex nozzle 42, i.e. the end at which the nozzle attaches to the cartridge 20. The cartridge 20 is connected to the pre-pressurized container 40 using an accordion casing 46. The nozzle 26/needle 44 and the vortex nozzle 42/one-way valve 50 are interchangeable in the device.

The diluent 23 is transferred into the cartridge 20 by pressing the cartridge 20 in a downward direction towards the pre-pressurized container 40; this motion contracts the length of the accordion casing 46 and pushes the vortex nozzle 42 into the top 48 of the pre-pressurized container 40. Alternatively, the diluent 23 is transferred into the cartridge 20 by pushing a needle 44 into the top 48 of the pre-pressurized container 40. The diluent 23 travels out of the pre-pressurized container 40 and into the cartridge 20. The contents of the pressurized container flow turbulently into the cartridge, ensuring thorough mixing. The movable piston is pushed to its full excursion by the incoming fluid. The diluent container and the connecting tube can then be separated from the now filled cartridge, containing a solution or suspension comprising the medicament, under sterile conditions. The cartridge 20 can then be inserted in a suitable device for administering the medicament to a patient, such as a pen device.

The devices 10 and 30 are typically made of a material that can be molded into the desired shape and easily sterilized, such as a polycarbonate which can be made by molding and sterilized by exposure to gamma irradiation or ethylene oxide. The piston will typically be formed of a material such as a soft rubber or plastic.

We claim:

1. A tubular device for mixing a single dose of diluent and lyophilized or powdered medicament comprising a piston, friable chamber comprising the lyophilized or powdered medicament, and diluent chamber.
2. The device of claim 1 further comprising a nozzle for transfer of diluent to or from the device.
3. The device of claim 1, further comprising a frangible disk between the friable chamber and the diluent chamber.
4. The device of claim 3, wherein the piston is located at one end of the device and the diluent chamber is located at the other end of the device, and wherein the frangible disk and the friable chamber are located between the diluent chamber and the piston.
5. The device of claim 1 wherein the piston is placed in abutment with a friable chamber at a first end of the device, and wherein the first end of device contains a nozzle or needle.
6. The device of claim 5, wherein the nozzle is a vortex nozzle.
7. The device of claim 5, wherein the wide end of the nozzle is attached to a one way valve.
8. The device of claim 5, wherein the device is attached to an accordion casing and a pre-pressurized container comprising diluent.
9. A method for storing a medicament in a device comprising placing a lyophilized or powdered medicament in a tubular device comprising a piston, friable chamber, diluent chamber, and means for separating the medicament from a diluent, wherein the lyophilized or powdered medicament is placed in the friable chamber.
10. The method of claim 9, wherein the device further comprises diluent in the diluent chamber and wherein the means for separating the medicament from a diluent is a frangible disk.
11. The method of claim 9, wherein the means for separating the medicament from a diluent is a pre-pressurized container comprising diluent.
12. A method for mixing a medicament with a diluent in a tubular device, wherein the device comprises a piston, friable chamber, and diluent

chamber, wherein the method comprises placing a lyophilized or powdered medicament in the friable chamber of the device, and

adding a diluent to the diluent chamber.

13. The method of claim 12, wherein the device further comprises a frangible disk between the friable chamber and the diluent chamber.

14. The method of claim 13, further comprising breaking the frangible disk and allowing the diluent to contact and mix with the medicament.

15. The method of claim 12, further comprising attaching a tube to the end of the device, and attaching a pre-pressurized container comprising diluent to the tube.

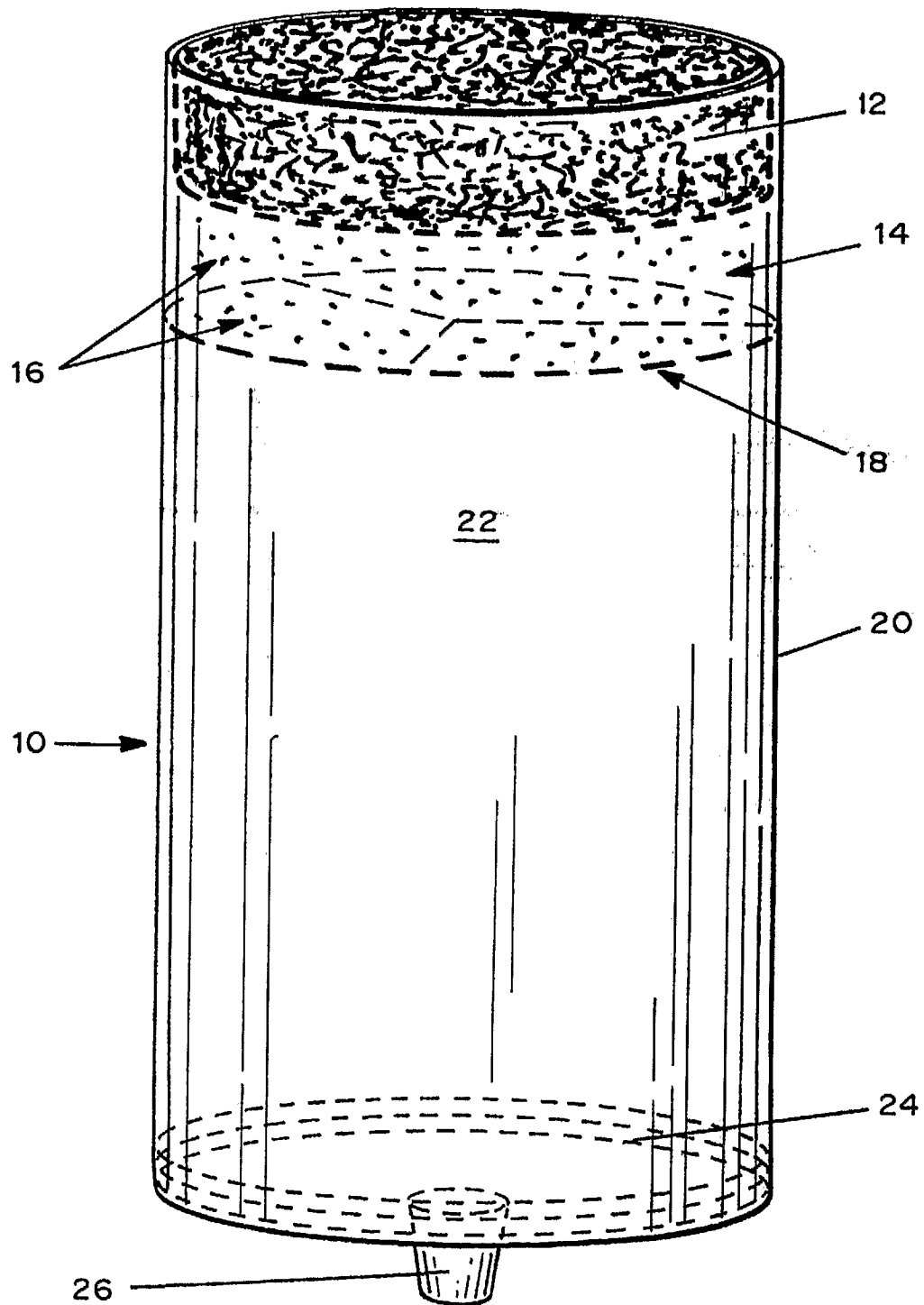
16. The method of claim 15, wherein the tube is a nozzle or a needle.

17. The method of claim 16, wherein the tube is a nozzle and the device is attached to an accordion casing.

18. The method of claim 17, wherein the step of adding diluent to the diluent chamber comprises pushing the device in a downwards direction towards the pre-pressurized container, and contacting the nozzle with the top of the pre-pressurized container, and allowing the diluent to transfer out of the pre-pressurized container and into the diluent chamber in the device.

19. The method of claim 16, wherein the tube is a needle.





**FIG. 1**

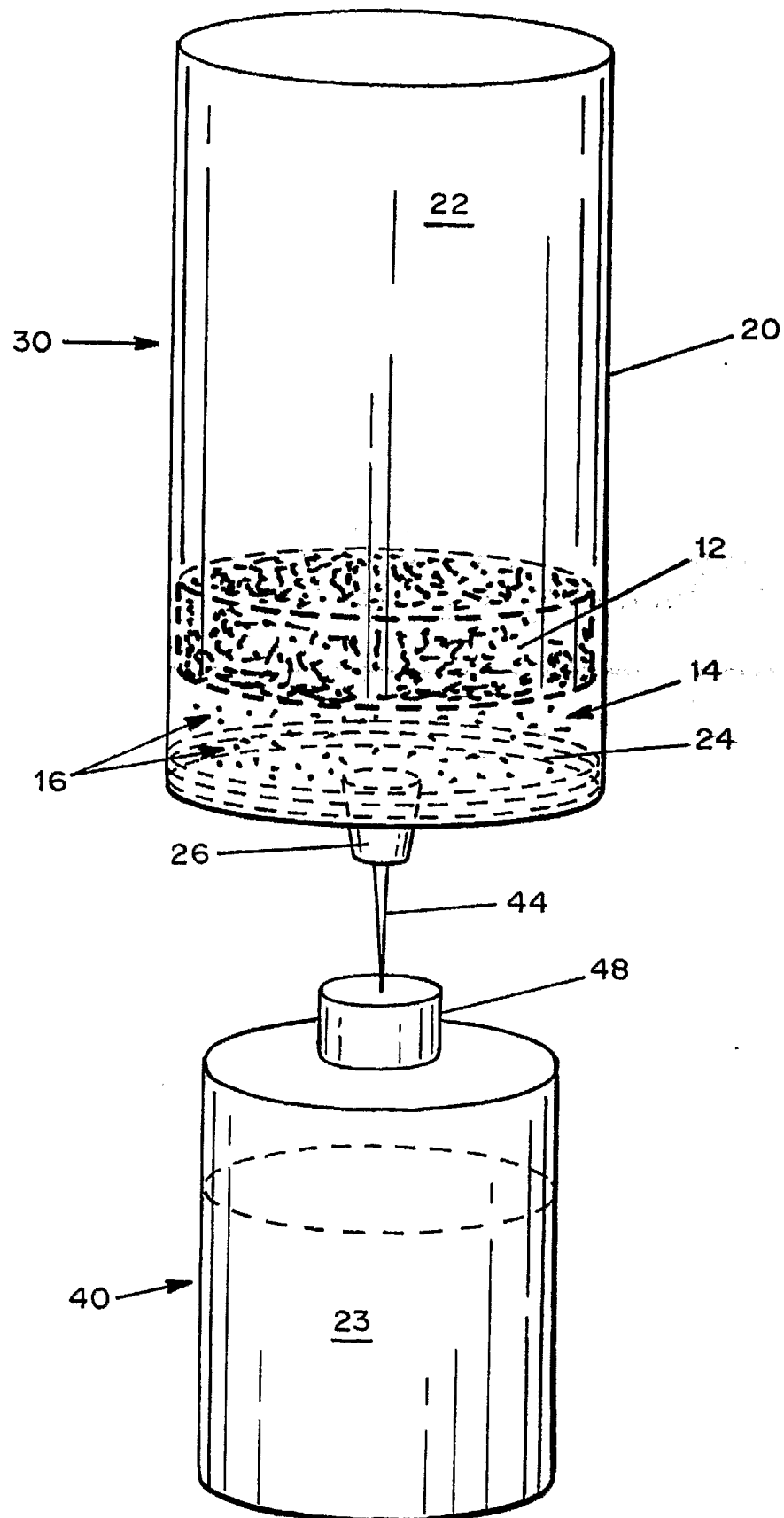
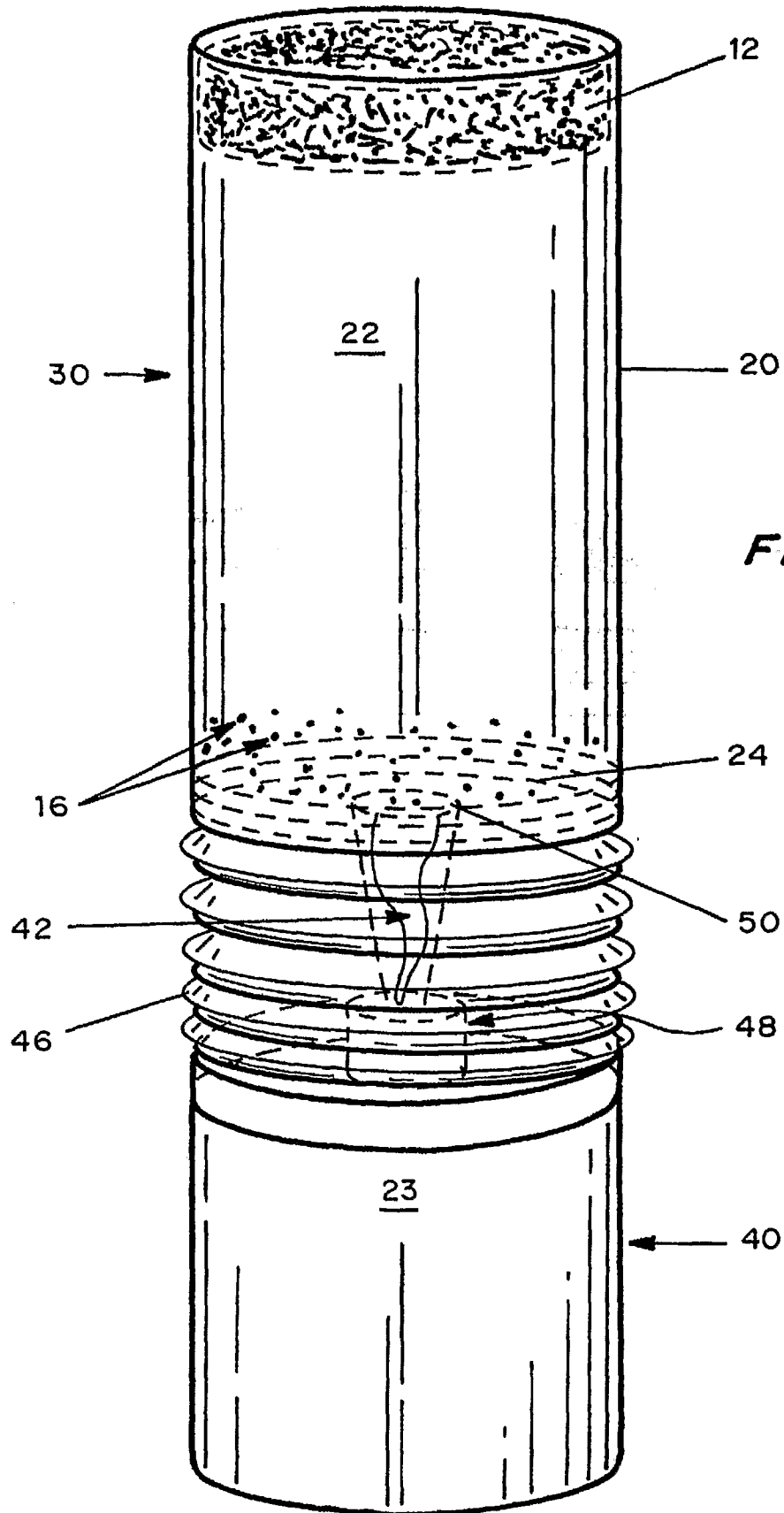


FIG. 2A



## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2006/038400

A. CLASSIFICATION OF SUBJECT MATTER  
 INV. A61M5/28 A61J1/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
 A61M A61J B65D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 429 603 A (MORRIS MICHAEL [DK]) 4 July 1995 (1995-07-04) column 6, line 39 - line 47 column 10, line 34 - line 44 column 12, line 12 - line 17 column 12, line 51 - line 63 column 15, line 11 - line 59 figures 1b,2,3,6a-6d,7a-7d	1-5,9, 10,12-14
X	US 2 708 438 A (COHEN MILTON J) 17 May 1955 (1955-05-17) column 2, line 56 - column 3, line 5 figure 3	1-5,9, 10,12-14
A	WO 92/11928 A (BYK GULDEN LOMBERG CHEM FAB [DE]) 23 July 1992 (1992-07-23) claim 2; figures 1,4	6,16
	-/--	

☒ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

## \* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

19 January 2007

Date of mailing of the international search report

26/01/2007

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
 NL - 2280 HV Rijswijk  
 Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
 Fax: (+31-70) 340-3016

Authorized officer

Sedy, Radim

## INTERNATIONAL SEARCH REPORT

International application No

PCT/US2006/038400

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 03/090683 A2 (SURGICAL SEALANTS INC [US]) 6 November 2003 (2003-11-06) page 7, line 9 - line 11 figures 1,2 -----	7
A	US 6 435 231 B1 (COOPER MATTHEW MARTIN DEROME [GB] ET AL) 20 August 2002 (2002-08-20) column 7, line 61 - column 8, line 9 abstract; figures 10,11 -----	7,11,15, 16
A	EP 0 373 016 A (CORBIERE JEROME) 13 June 1990 (1990-06-13) abstract; figure 1 -----	8,17
A	WO 96/26702 A (NOVONORDISK AS [DK]; LARSEN ANDRE [DK]; JOERGENSEN GABRIEL [DK]; JENSE) 6 September 1996 (1996-09-06) page 7, line 15 - line 18 figure 1 -----	8,15
A	US 2003/012690 A1 (TAYLOR MICHAEL A [US] ET AL) 16 January 2003 (2003-01-16) abstract; figures 1,3A-3C -----	1
A	US 3 739 947 A (BAUMANN E ET AL) 19 June 1973 (1973-06-19) column 4, line 53 - line 63 figure 2 -----	1
A	DE 36 18 318 A1 (RAU ROLAND [DE]) 3 December 1987 (1987-12-03) figures 3-7 -----	1

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2006/038400

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
  
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☒ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-5,9-11

tubular device having a piston, friable chamber having a lyophilized medicament, diluant chamber AND method for storing a medicament (purpose: increase of shelf life of medicament)  
---

2. claims: 1,5-8,12-19

tubular device having a piston, friable chamber having a lyophilized medicament, diluant chamber AND method for mixing a medicament with a diluent (purpose: improve the accuracy of injection)  
---

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2006/038400

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5429603	A	04-07-1995	AU 9027491 A WO 9210225 A1 EP 0560831 A1	08-07-1992 25-06-1992 22-09-1993
US 2708438	A	17-05-1955	NONE	
WO 9211928	A	23-07-1992	AR 244998 A1 AT 123930 T AU 9103391 A CA 2098710 A1 CZ 9301266 A3 DE 4193414 D2 DE 59105805 D1 DK 564505 T3 EP 0564505 A1 ES 2076739 T3 GR 3017442 T3 HU 65298 A2 IE 914563 A1 JP 6503976 T JP 3325565 B2 JP 3637022 B2 JP 2002301150 A MX 9102814 A1 NZ 241212 A ZA 9110191 A	30-12-1993 15-07-1995 17-08-1992 29-06-1992 17-11-1993 24-07-1997 27-07-1995 06-11-1995 13-10-1993 01-11-1995 31-12-1995 02-05-1994 01-07-1992 12-05-1994 17-09-2002 06-04-2005 15-10-2002 31-03-1994 26-08-1994 30-09-1992
WO 03090683	A2	06-11-2003	AU 2003228676 A1	10-11-2003
US 6435231	B1	20-08-2002	AT 249985 T AU 6355699 A CA 2338366 A1 DE 69911451 D1 DE 69911451 T2 DK 1127016 T3 EP 1127016 A1 ES 2207294 T3 JP 2002528349 T PT 1127016 T	15-10-2003 15-05-2000 04-05-2000 23-10-2003 08-07-2004 26-01-2004 29-08-2001 16-05-2004 03-09-2002 27-02-2004
EP 0373016	A	13-06-1990	FR 2639914 A1 US 5071034 A	08-06-1990 10-12-1991
WO 9626702	A	06-09-1996	AU 4713296 A EP 0812178 A1 JP 11500931 T US 6021824 A	18-09-1996 17-12-1997 26-01-1999 08-02-2000
US 2003012690	A1	16-01-2003	US 2004228769 A1	18-11-2004
US 3739947	A	19-06-1973	AT 318132 B CA 959457 A1 CH 537841 A DE 1939316 A1 FR 2057820 A5 GB 1314234 A NL 7011331 A SE 360974 B	25-09-1974 17-12-1974 15-06-1973 04-02-1971 21-05-1971 18-04-1973 03-02-1971 15-10-1973



## INTERNATIONAL SEARCH REPORT

### Information on patent family members

International application No

PCT/US2006/038400

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE 3618318	A1	03-12-1987	NONE