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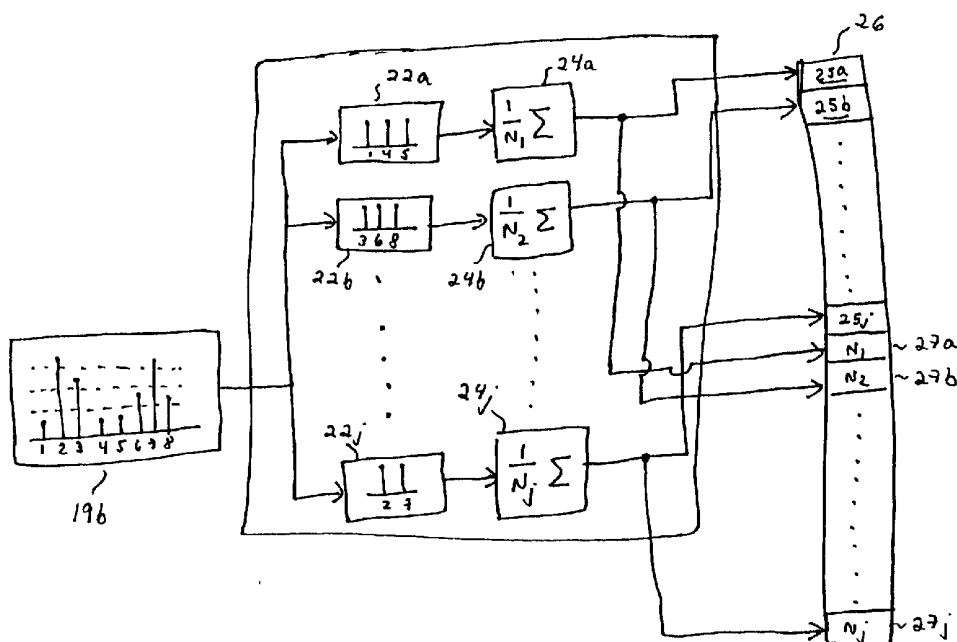
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- (71) Applicant (for all designated States except US): **MASSACHUSETTS EYE & EAR INFIRMARY** [US/US]; 243 Charles Street, Boston, MA 02114 (US).
- (72) Inventor; and  
(75) Inventor/Applicant (for US only): **THORNTON, Aaron** [US/US]; 701 S. 32nd Court, West Des Moines, IA 50265-5701 (US).
- (74) Agent: **OCCHIUTI, Frank, R.**; Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804 (US).
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(54) Title: MEASUREMENT OF ELECTROPHYSIOLOGIC RESPONSE



(57) Abstract: A method for estimating an electrophysiologic response contained in a measured signal includes obtaining a plurality of samples and defining a plurality of bins, each of which corresponds to a range of values of a sorting parameter associated with each of the samples. Each sample of the measured signal is then classified into one of the bins on the basis of a value of a sorting parameter associated with that sample. Then, for each bin, a statistic indicative of samples classified into that bin is maintained. On the basis of these bin statistics, the desired electrophysiologic response can then be estimated.



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5                   **MEASUREMENT OF ELECTROPHYSIOLOGIC RESPONSE**

**FIELD OF INVENTION**

The invention relates to the measurement of electrophysiologic responses, and more particularly to enhancing the signal-to-noise ratio in such measurements.

**BACKGROUND**

10           In making a diagnosis, it is often useful to have the patient's cooperation. This is particularly true in the diagnosis of disease involving sensory pathways to the brain. For example, a straightforward way to assess a patient's hearing is to simply ask the patient whether he can hear particular tones having various frequencies and amplitudes.

15           In many cases, one takes for granted that a patient will be able to answer such questions. However, in some cases, a patient cannot communicate his perception. This occurs most frequently when the patient is an infant, or when the patient is unconscious. In a veterinary setting, it is rare to encounter a patient that can accurately communicate perception at all.

20           One approach to evaluating an infant's hearing is to make a sound and to then measure an evoked response associated with that sound. This evoked response is typically an electrophysiologic signal generated in response to the sound and traveling between the inner ear and the brain along various neural pathways, one of which includes the auditory brainstem. This signal is thus referred to as the "auditory brainstem-response," hereafter referred to as the "ABR."

25           The ABR is typically only a small component of any measured electrophysiologic signal. In most cases, a noise component arising from other, predominantly myogenic, activity within the patient dwarfs the ABR. The amplitude of the ABR typically ranges from approximately 1 microvolt, for easily audible sounds, to as low as 20 nanovolts, for sounds at the threshold of normal hearing. The noise amplitude present in a measured  
30           electrophysiologic signal, however, is typically much larger. Typical noise levels range from between 2 microvolts to as much as 2 millivolts. The resulting signal-to-noise ratio is thus between  $-6dB$  and  $-100dB$

One approach to increasing the signal-to-noise ratio is to exploit differences between the additive properties of the ABR and that of the background noise. This

5 typically includes applying a repetitive auditory stimulus (a series of clicks, for example) and sampling the electrophysiologic signal following each such stimulus. The resulting samples are then averaged. The ABR component of the samples add linearly, whereas the background electrophysiologic noise, being essentially random, does not. As a result, the effect of noise tends to diminish with the number of samples. The number of samples  
10 required to reach a specified signal-to-noise level depends on the noise level present in the samples. In principle, therefore, one can achieve a specified signal-to-noise ratio either with a small number of relatively quiet samples or with a large number of relatively noisy samples.

In practice, signal averaging techniques such as that described above are unlikely  
15 to work when the signal-to-noise ratio is worse than  $-48dB$ . Since a minimally acceptable 5% confidence level requires a signal-to-noise ratio of at least  $-4dB$ , this signal-averaging approach is prone to inaccuracy.

Signal averaging methods as described above perform best when the background noise is relatively constant. For example, the steady drone of an air-conditioner can  
20 readily be separated from a signal of interest. Such background noise is referred to as “stationary” noise.

The noise component of an electrophysiologic signal is often non-stationary. For example, after a few minutes of taking measurements, an infant may begin to stir, thereby momentarily increasing the background electrophysiologic noise level. The infant might  
25 then return to a deep sleep, thereby reducing the background electrophysiologic noise level.

The non-stationary nature of the noise component poses a dilemma for a clinician attempting to measure the ABR. For example, if the infant begins to stir, the clinician might suspend taking measurements to avoid contaminating data already collected with  
30 noisy data. This might prove to be a good decision if the infant were to fall back into a deep sleep, since one could then acquire additional quiet samples. However, even noisy samples can improve signal-to-noise ratio, provided that there are enough of them available. Hence, this might also prove to be a poor decision if the infant were to continue stirring. In such a case, it would have been better to have acquired the additional, albeit  
35 noisy samples. Because the behavior of an infant is, to a great extent, unpredictable, the

- 5 clinician occasionally makes an incorrect guess, thereby either wasting time or needlessly corrupting acquired data.

## SUMMARY

The invention is based on the recognition that, by dividing the sequence of samples that make up the signal into subsequences of samples, one can reduce the signal-  
10 to-noise ratio of an electrophysiologic signal and avoid many difficulties posed by the presence of non-stationary noise. The samples within a particular subsequence are characterized by a common range of values of a sorting parameter. Each subsequence of samples yields a statistic that is independent of corresponding statistics yielded by other subsequences of samples. These statistics, each of which corresponds to a subsequence,  
15 can then be combined in different ways to derive an estimate of an electrophysiologic response contained in the signal. The presence of non-stationary noise can, to a great extent, be compensated for by appropriately combining the statistics associated with each subsequence.

In one practice of the invention, a plurality of samples of a measured  
20 electrophysiologic signal is obtained. The electrophysiologic signal typically includes an electrophysiologic response to a stimulus. The method of the invention seeks to estimate the value of this response.

The method includes defining a plurality of bins, each of which corresponds to a range of values of a sorting parameter associated with each of the samples. Preferably, the  
25 range of values for each bin is such that each value of the sorting parameter is associated with at most one bin.

Each sample of the measured signal is then classified into one of the bins on the basis of a value of a sorting parameter associated with that sample. Then, for each bin, a statistic indicative of samples classified into that bin is maintained. On the basis of these  
30 bin statistics, the desired electrophysiologic response can then be estimated. In one particular practice of the invention, maintaining the bin statistic includes maintaining a moving average of samples in the bin.

In one practice of the invention, the sorting parameter includes a measure of noise present in the samples. The noise might be electrophysiologic noise, ambient acoustic

5 noise, or any other noise process. The sorting parameter can also be derived from a combination of noise processes.

The estimation of electrophysiologic response can include combining the bin statistics to derive a quantity indicative of the electrophysiologic response. This might include averaging the bin statistics, or evaluating a weighted averaging of the bin  
10 statistics, with the weights being manually or automatically selected. In one practice, the weight assigned to a statistic for samples in a particular bin might be indicative of a quality of the samples in the bin. For example, the weight can be inversely proportional to a noise level associated with the particular bin. Alternatively, the weights can be selected to optimize a measure of an extent to which the quantity approximates the  
15 electrophysiologic response. The assignment of weights in a weighted average can also include excluding bin statistics associated with particular bins from being considered in evaluating the quantity indicative of the electrophysiologic response.

In another practice of the invention, a sequence of samples is decomposed into a plurality of subsequences, each of which includes samples selected on the basis of a value  
20 of a sorting parameter associated with each of the samples. The samples from each subsequence are then used to evaluate a plurality of subsequence statistics, each of which is associated with a corresponding subsequence. A subset of these subsequence statistics is then selected. The subset can include some or all of the subsequence statistics. On the basis of subsequence statistics from this set, the electrophysiologic response is then  
25 estimated.

In one practice of the invention, the subsequences are selected by selecting a noise threshold. Subsequence statistics that are associated with subsequences having noise levels above this threshold are then excluded from the subset.

The extent to which each of the selected subsequence statistics contributes to an  
30 estimate of the electrophysiologic response can be controlled. For example, one or more subsequence statistics can be weighted by an amount indicative of noise present in the corresponding subsequence. In this optional practice of the invention, subsequences statistics from subsequences that contain exceptionally noisy samples can be made to contribute less to the estimate than subsequence statistics from subsequences having  
35 samples that are not as noisy.

5           The method of the invention is applicable to various types of physiological stimuli. These stimuli include auditory, visual, olfactory, and gustatory stimuli, or combinations thereof.

          These and other features and advantages of the invention will better understood from the following detailed description and the accompanying figures, in which:

## 10   **BRIEF DESCRIPTION OF THE DRAWINGS**

          FIG. 1 is a block diagram of a system for acquiring electrophysiologic data; and

          FIGS. 2 and 3 illustrate the data acquisition process.

## **DETAILED DESCRIPTION**

          Referring to FIG. 1, a system **10** for acquiring electrophysiologic data for  
15   measurement of auditory brainstem response (“ABR”) includes a sensor **12** attached to an infant’s scalp. The sensors **12**, which are typically scalp electrodes, are configured to detect an analog signal **13** representing ongoing electrical activity. This analog signal **13** is provided to first and second band-pass filters **14a-b** that generate first and second filtered signals **15a-b**, respectively. In one embodiment, the first band-pass filter **14a** has  
20   a passband between 180 Hz and 2000 Hz and the second band-pass filter **14b** has a passband between 30 Hz and 2000 Hz. The resulting first and second filtered signals **15a-b** are then passed to first and second analog-to-digital (A/D) converters **18a-b** for conversion into a corresponding first and second digital signals **19a-b**. These digital signals **19a-b** are then provided to a digital signal processor **20**.

25           Referring now to FIG. 2, on the basis of noise measurements derived from the first digital signal **19a**, the digital signal processor **20** sorts the samples that make up the second digital signal **19b** into a plurality of bins **22a-j** each of which is associated with a band of noise amplitudes. The amplitude bands of the bins **22a-j** are selected to be non-overlapping. For the application described herein, there are ten bins. However, the  
30   number of bins **22a-j**, and the amplitude ranges associated with each bin **22a-j**, depend on the specific application of the data-acquisition system **10**. Each bin **22a-j** has an associated averaging accumulator **24a-j** that maintains a moving average **25a-j** of the samples in its corresponding bin **22a-j**. Each bin **22a-j** also has an associated counter **27a-j** that contains the number of samples  $N_i$  in its associated bin **22a-j**. Referring back to

5 FIG. 1, the moving averages **25a-j** and the counters **27a-j** are maintained in a data buffer **26** that is available to a processing system **28**.

Note that the first and second digital signals **19a-b** need not use the same time-base. For example, the first A/D converter **18a** might sample the first filtered signal **15a** at a sampling rate that differs from that used by the second A/D converter **18b** to sample  
10 the second filtered signal **15b**. In another example, the noise analysis may be made over a portion of the first filtered signal **15a** that corresponds to a time interval that precedes and/or follows the portion of the second filtered signal **15b** that corresponds to a time interval including the data being sorted into one of the bins. Additionally, noise analysis  
15 of a portion of the first filtered signal **15a** can impact the sorting of samples from several portions of the second filtered signal **15b**. The method of the invention can thus be used with any manner of noise analysis.

During data acquisition, each averaging accumulator **24a** averages only those samples within its associated bin **22a**. Since all samples are within one of the bins **22a-j**, each sample can affect no more than one moving average **25a-j**. Since the samples in any  
20 one bin **22a** are averaged independently of samples in other bins **22b-j**, samples from one bin **22a** are prevented from contaminating the moving averages **25b-j** obtained by averaging samples from other bins **22b-j**.

Referring now to FIG. 3, the clinician can, at any time select which of the moving averages **25a-j** available for each band are to be combined into a single average  
25 representative of an ABR measurement **38**. As shown in FIG. 3, the clinician controls switches  $u_i$  **29a-j** that selectively exclude selected bands (hereafter referred to as “excluded bands”) from consideration in evaluating the ABR measurement **38**. These switches **29a-j** are typically set to exclude from consideration all bands having a noise power above a selected threshold.

30 The clinician also controls weighting coefficients **30a-j** associated with each of the remaining bands (hereafter referred to as the “included” bands). These weighting coefficients **30a-j** can be controlled manually, or automatically. In either case, weighting coefficients **30a-j** can be controlled individually, or as a group. Additionally, particular combinations of weighting coefficients **30a-j** can be pre-programmed and selectively  
35 applied.



5           The moving averages **25a-j** of each included band, which are available in the accumulators **24a-j**, are then multiplied by the corresponding number of samples  $N_i$  in each band. The results are then scaled by their corresponding weighting coefficients **30a-j** at corresponding mixers **32a-j**. The outputs **35a-j** of the mixers **32a-j**, which are proportional to the weighted averages **34a-j** corresponding to each band, the accumulated  
10   number of samples summed across all included bands, and the sum of the weighting coefficients of the included bands, are then provided to an output averaging-element **36**, the output of which is the desired ABR measurement **38**. This ABR measurement **38** is obtained by summing the outputs of the mixers **32a-j** and normalizing the result by both the sum of the weighting coefficients of the included bands and the accumulated number  
15   of samples summed across the included bands.

          In the illustrated embodiment, the processing system **28** carries out the function of mixing the moving averages **25a-j** with the weighting coefficients **30a-c**, averaging the resulting products, and normalizing the result to obtain the desired ABR measurement **38**. However, without loss of generality, these functions can also be carried out by special-  
20   purpose hardware.

          In one practice of the invention, the data associated with each included band is weighted by the reciprocal of the noise amplitude associated with that band. As a result, data from noisier included bands will contribute less to the ABR measurement **38** than data from less noisy included bands. This reduces the possibility that contributions from  
25   noisier included bands will excessively degrade the accuracy of the ABR measurement **38**.

          In addition to processing the amplified signal received from the sensors, the digital signal processor **20** also generates repetitive auditory stimuli. These auditory stimuli are communicated to the infant through an earphone **40** in communication with  
30   the digital signal processor **20** by way of a digital-to-analog (D/A) converter **42**, as shown in FIG. 1. The auditory stimuli can be adaptively controlled by the digital signal processor **20** in response to the measurements obtained by the data-acquisition system **10**. For example, if no ABR response appears to be evoked, the digital signal processor **20** may gradually increase the amplitude of the auditory stimuli to identify the infant's  
35   hearing threshold.

5           The processing system **28** also executes user-interface software for displaying, on a display monitor **48**, the results of data manipulation performed by the digital signal processor **20**. In the illustrated embodiment, the processing system **28** uses a Windows NT® operating system to execute user-interface software necessary for convenient display of data.

10           The data-acquisition system **10** permits retrospective control over which bands to incorporate into the ABR measurement **38** and the extent to which each band contributes to the ABR measurement **38**. By judiciously selecting the weighting coefficients **30a-j**, the signal-to-noise ratio of the ABR measurement can be optimized even in the presence of non-stationary electrophysiologic noise. As the ABR measurement **38** unfolds during  
15 the data acquisition process, the weighting coefficients **30a-j** can be adjusted in an effort to maximize the signal-to-noise ratio of the ABR measurement **38**. These adjustments can be made either in real-time, while the test is being conducted, or after the test has been terminated. The clinician conducting the test can thus experiment with different weighting coefficients **30a-j** without discarding valuable data and/or unnecessarily  
20 replicating data.

Clinical ABR testing often results in multiple tests of the same stimulus condition, with measurements from each test being contaminated by different patterns of background noise. For example, in the middle of one test, a doctor's pager may suddenly go off, while in the middle of another test, the infant may cough or sneeze.

25           Previously, it was counterproductive to combine data from a relatively noiseless test with data from a test having greater average noise. The data-acquisition system **10** described herein, however, permits data to be combined band by band across several such tests in a manner that optimizes the signal-to-noise ratio of the resulting ABR measurement **38**.

30           In conventional data-acquisition systems, weighted averaging requires a priori selection of weighting coefficients. Thus, the weighting coefficients cannot be adaptively optimized in response to the signal-to-noise ratio of the resulting ABR measurement. In contrast, the data-acquisition system **10** described herein enables weighting coefficients **30a-j** to be assigned dynamically or after the fact, thereby providing considerably more

- 5 flexibility in the selection of methods for optimizing signal-to-noise ratio of the ABR measurement **38**.

The data-acquisition system **10** and method described herein are generally applicable to all clinical ABR testing, whether manual or automated. Such ABR testing can include neuro-diagnostic procedures, audiometric threshold estimation, and newborn  
10 screening.

The invention has been described in the context of measuring auditory response. However, evoked responses can arise from other stimuli, such as visual, tactile, olfactory, or gustatory stimuli. The principles described herein are applicable to measurement of evoked response resulting from whatever stimuli.

- 15 As described herein, samples are sorted into bins **22a-j** on the basis of electrophysiologic noise amplitudes. However, sorting parameters other than electrophysiologic noise amplitude can be used. Additionally, the sorting parameter can also be a multi-dimensional quantity. For example, the digital signal processor **20** may have a second input for measuring ambient acoustic noise level. In such a case, the digital  
20 signal processor **20** can assign samples to bins **22a-j** on the basis of both an electrophysiologic quantity, namely the sample amplitude, and on an acoustic quantity, namely the measured ambient acoustic noise level in the testing room. In this case, the sorting parameter is a two dimensional quantity and the bins **22a-j** can be viewed as a two-dimensional array. While this might complicate the implementation of the data-  
25 acquisition system **10**, the principle of the invention is itself unchanged.

- Alternatively, the sorting parameter can be made a function of more than one variable. For example, a measurement of ambient acoustic noise in the room might be converted into an equivalent electrophysiologic noise level. This equivalent electrophysiologic noise level could then be added to corresponding samples from the  
30 digital signal before those signals are sorted into bins **22a-j**.

It is to be understood that the foregoing description is intended to illustrate and not limit the scope of the invention. The invention is defined by the scope of the following claims. Other aspects, advantages, and modifications are within the scope of the following claims.

- 5           Having described the invention, and a preferred embodiment thereof, what I claim as new, and secured by letters patent is:

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**CLAIMS**

1. A method of estimating an electrophysiologic response contained in a measured electrophysiologic signal, said method comprising:

obtaining a plurality of samples of said measured electrophysiologic signal;

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defining a plurality of bins, each of said bins corresponding to a range of values of a sorting parameter;

for each sample, classifying said sample into one of said bins on the basis of a value of said sorting parameter, said value being associated with said sample;

for each bin, maintaining a bin statistic indicative of samples classified into said bin; and

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estimating said electrophysiologic response on the basis of said bin statistics.

2. The method of claim 1, wherein defining a plurality of bins comprises selecting a range of values for each bin such that each value of said sorting parameter is associated with at most one bin.

20

3. The method of claim 1, further comprising selecting said sorting parameter to include a measure of noise in said plurality of samples.

4. The method of claim 3, wherein selecting said sorting parameter comprises selecting said sorting parameter to include a measure of electrophysiologic noise in said plurality of samples.

25

5. The method of claim 3, wherein selecting said sorting parameter comprises selecting said sorting parameter to include a measure of ambient acoustic noise associated with said plurality of samples.

6. The method of claim 1, wherein maintaining said bin statistic comprises maintaining a moving average of samples in said bin.

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7. The method of claim 1, wherein estimating said electrophysiologic response comprises combining said bin statistics to derive a quantity indicative of said electrophysiologic response.

- 5     8.     The method of claim 7, wherein combining said bin statistics comprises evaluating an average of said bin statistics.
9.     The method of claim 8, wherein evaluating an average of said bin statistics comprises evaluating a weighted average of said bin statistics.
- 10     10.     The method of claim 7, wherein combining said bin statistics comprises selecting a subset of said bin statistics for deriving said quantity indicative of said electrophysiologic response.
11. The method of claim 7, wherein combining said bin statistics comprises selecting weights to apply to each of said bin statistics.
- 15     12.     The method of claim 11, wherein selecting said weights comprises selecting said weights to optimize a measure of an extent to which said quantity approximates said electrophysiologic response.
13.     The method of claim 11, wherein selecting said weights comprises selecting said weights on the basis of a measure of a quality of samples in bins corresponding to each of said weights.
- 20     14.     The method of claim 13, wherein selecting said weights on the basis of a measure of quality comprises assigning a weight to a particular bin on the basis of noise associated with samples in said particular bin.
15.     A system for estimating an electrophysiologic response contained in a measured electrophysiologic signal (13), said system comprising:
- 25     a digital signal processor (20) configured to receive samples of said measured electrophysiologic signal to define a plurality of bins (22a-j), each of said bins corresponding to a range of a sorting parameter; to classify each of said samples into one of said bins on the basis of a value of said sorting parameter, said value being associated with said sample, and to maintain a
- 30     plurality of bin statistics (25a-j), each of said bin statistics being indicative of samples classified into a corresponding bin;

- 5           a memory element (26) in communication with said digital signal processor, said memory element being configured to store said bin statistics; and
- a processor (28) in communication with said memory element, said processor being configured to estimate said electrophysiologic response on the basis of said bin statistics.
- 10   16.   The system of claim 15, wherein said digital signal processor is configured to select a range of values for each bin such that each value of said sorting parameter is associated with at most one bin.
17.   The system of claim 15, wherein said digital signal processor further comprises a noise analyzer for evaluating noise in said plurality of samples.
- 15   18.   The system of claim 17, wherein said noise analyzer is configured to evaluate a measure of electrophysiologic noise in said plurality of samples.
19.   The system of claim 17, wherein said noise analyzer is configured to evaluate a measure of ambient acoustic noise associated with said plurality of samples.
- 20   20.   The system of claim 15, wherein said digital signal processor is configured to maintain a moving average of samples in said bin.
21.   The system of claim 15, wherein said processing element is configured to estimate said electrophysiologic response by combining said bin statistics to derive a quantity (38) indicative of said electrophysiologic response.
- 25   22.   The system of claim 21, wherein said processing element is configured to evaluate an average of said bin statistics.
23.   The system of claim 22, wherein said processing element is configured to evaluate a weighted average of said bin statistics.
- 30   24.   The system of claim 21, wherein said processing element is configured to select a subset of said bin statistics for deriving said quantity indicative of said electrophysiologic response.

- 5     **25.**     The system of claim **21**, wherein said processing element is configured to select weights (30a-j) to apply to each of said bin statistics.
- 26.**     The system of claim **25**, wherein said processing element is configured to select said weights on the basis of a measure of a quality of samples in bins corresponding to each of said weights.
- 10    **27.**     The system of claim **26**, wherein said processing element is configured to assign a weight to a particular bin on the basis of noise associated with samples in said particular bin.
- 28.**     The system of claim **15**, wherein said digital signal processor comprises a general purpose digital computer.
- 15    **29.**     A method for detecting an electrophysiologic response from a sequence of samples of a measured signal, said method comprising:
- decomposing said sequence of samples into a plurality of subsequences, each of said subsequences including samples selected on the basis of a value of a sorting parameter associated with each of said samples;
- 20           evaluating a plurality of subsequence statistics, each of said subsequence statistics being associated with a corresponding subsequence;
- selecting a subset of said subsequence statistics; and
- estimating said electrophysiologic response on the basis of said subsequent statistics from said subset.
- 25    **30.**     The method of claim **29**, wherein selecting a subset comprises selecting each of said subsequence statistics.
- 31.**     The method of claim **29**, wherein selecting a subset comprises:
- selecting a noise threshold, and
- excluding, from said subset, a subsequence statistic associated with a
- 30           subsequence characterized by noise above said noise threshold.



- 5     **32.**     The method of claim **29**, wherein estimating said electrophysiologic response  
comprises controlling an extent to which each a subsequence statistics from said  
subset contributes to an estimate of said electrophysiologic response.
- 10     **33.**     The method of claim **32**, wherein controlling an extent comprises weighting a  
subsequence statistic from said subset by an amount indicative of noise present in  
samples from a subsequence corresponding to said subsequence statistic.

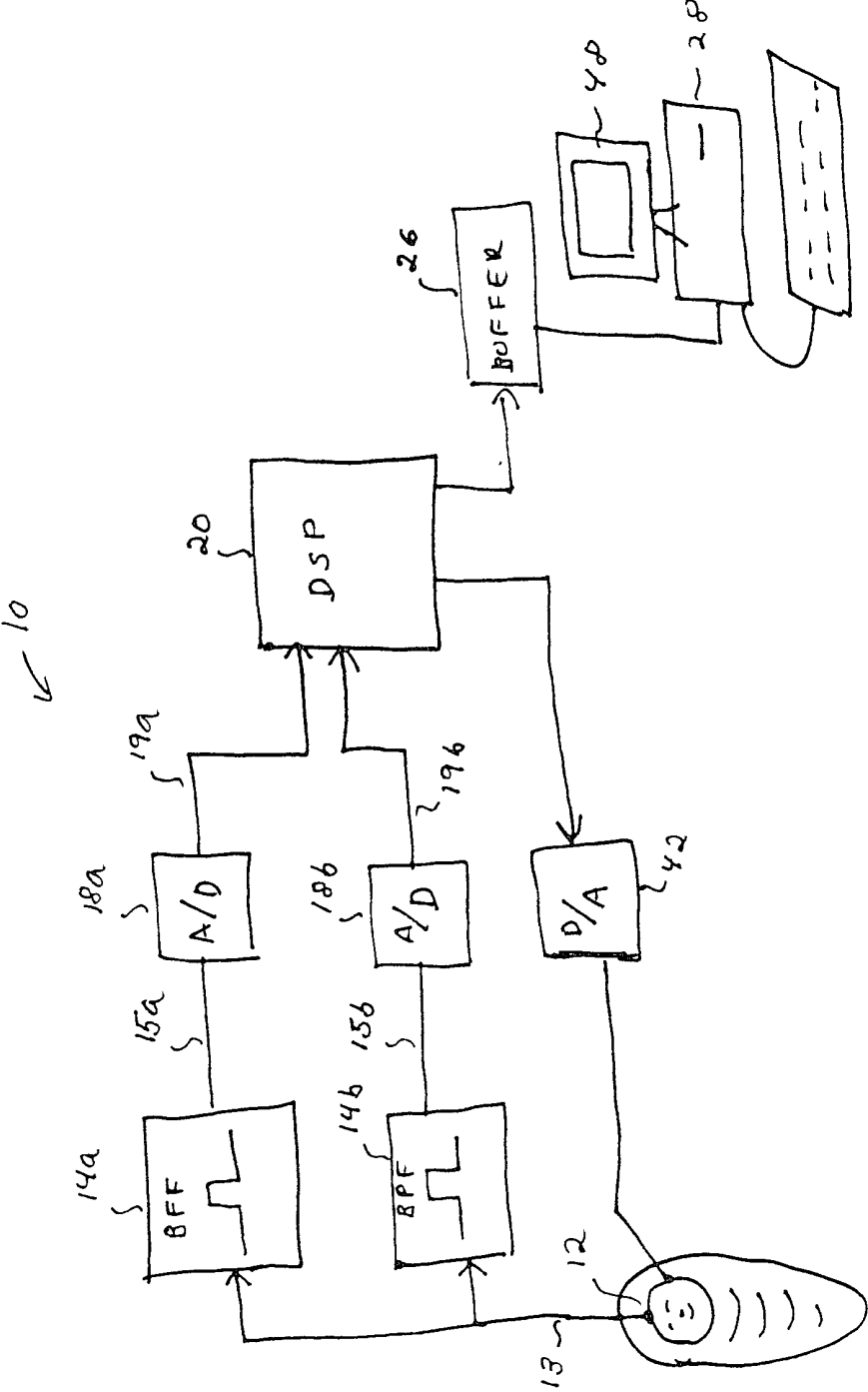


FIG. 1

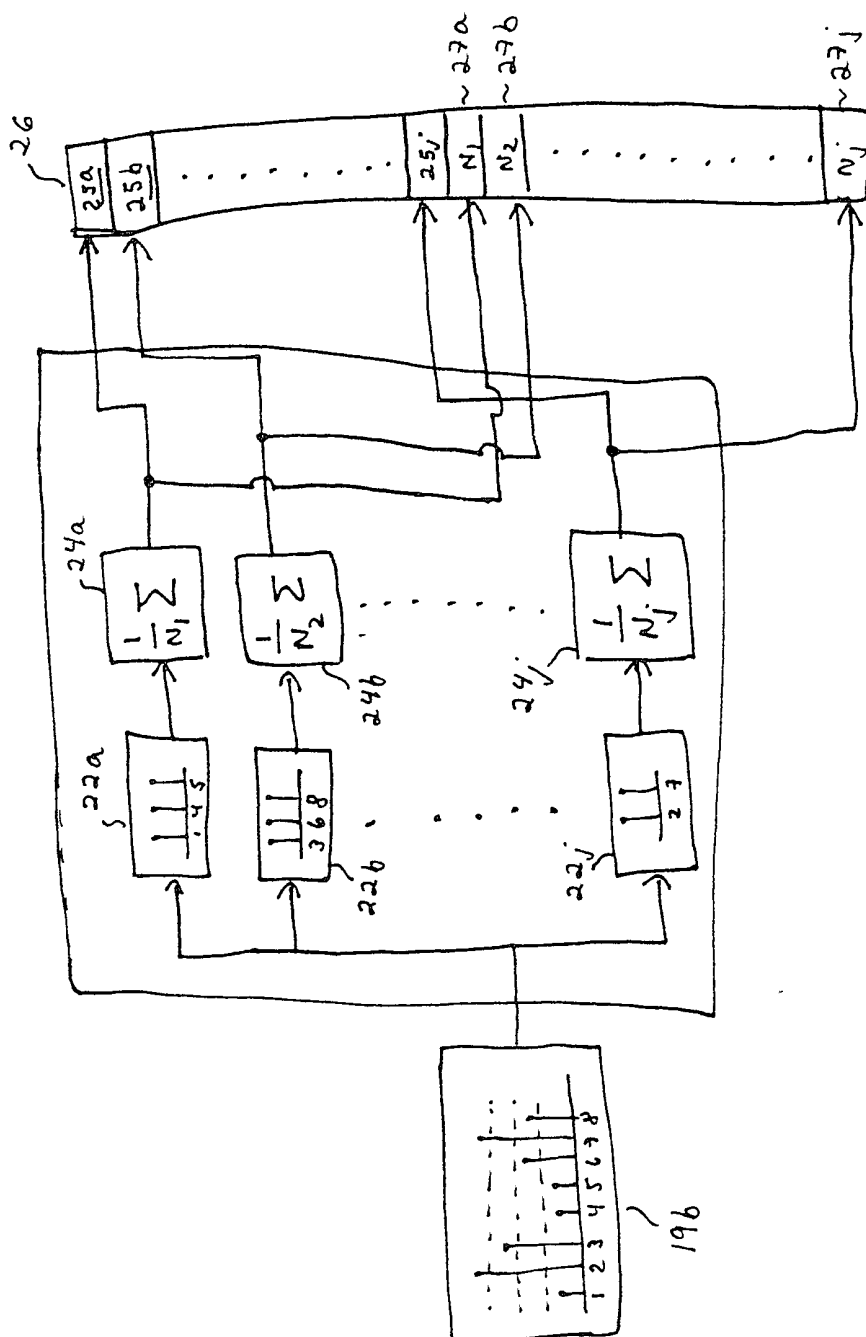


FIG. 2

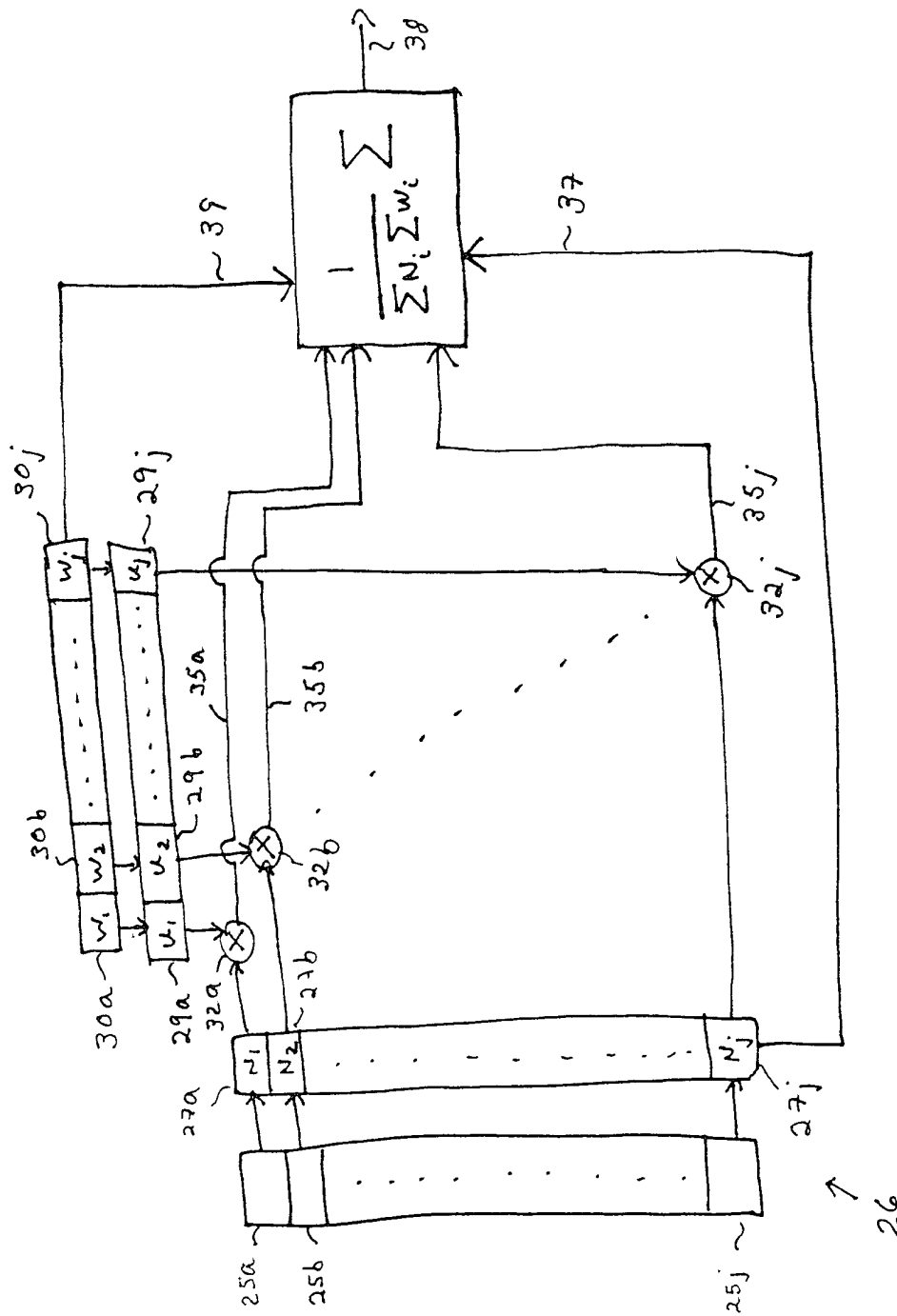


FIG. 3