(54) Title: DATABASE HEAP MANAGEMENT SYSTEM WITH VARIABLE PAGE SIZE AND FIXED INSTRUCTION SET ADDRESS RESOLUTION

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(57) Abstract: A heap management system for a database uses "sets" of pages to store database information. As memory for each successive set of pages is allocated, more memory is allocated for storing rows in each page of the set. Similarly, the maximum number of rows of information storable in each page of each set is greater for each successive set of pages. The number of computer instructions needed to resolve (or calculate) the memory address for a particular row is fixed. Given a target row number, (and the number of rows in the first page, and the width of the column or column group), only a fixed number of computer instructions need to be executed to resolve the starting memory address for the target row. In addition, information of the same type (i.e., one or more columns of a table) may be stored in different pages, and these pages may be located in contiguous memory segments. This allows space for new rows to be allocated, without requiring all pre-existing rows to be moved to a different memory segment.
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DATABASE HEAP MANAGEMENT SYSTEM WITH VARIABLE PAGE SIZE AND FIXED INSTRUCTION SET ADDRESS RESOLUTION

[0001] Field of the Invention

[0002] The present invention relates to database management systems, and in particular, to heap management systems that store database information in pages.

[0003] Background

[0004] This invention relates database for software applications which are large, complex, or which require dynamic modification and high availability. The invention addresses the stringent requirements of time-critical real-time and other high performance systems. These systems are characterized by their primary need for query performance as opposed to query flexibility. For example, a telecommunications call processing system might need to process 500 calls per second during peak demand periods. If the underlying DBMS can complete a call in 3 milliseconds (just slightly longer than the average 2-millisecond call separation), the system degradation is progressive, and the system will completely collapse. After 10 minutes of peak demand, the call completion delay would be greater than 1 minute. Even if average DBMS performance averages better than 2 ms, any significant variation can result in periods of degraded performance unacceptable in the telecommunications industry. As a result the predictability of the performance is of equal concern.

[0005] Database information may be stored in computer memory (i.e., solid state memory directly addressable by a CPU using a physical address) or on hard drives. Computer memory tends to be very fast, but there are practical and cost limitations to how much
computer memory can be directly referenced by a CPU. Hard drives can store larger quantities of information, but it takes more time to move information between a CPU and a hard drive. This time constraint for information stored on hard drives makes hard drive storage unsuitable for databases that require extremely fast retrieval or storage of information. Moreover, even when an entire database is stored in computer memory, it is often important that the computer memory be located in one contiguous block, including having freespace available within the allocated block for new rows of information. This is because when there is not sufficient contiguous memory available for a new information, an entirely new, contiguous block of memory must be allocated from the operating system, and all of the database information must be copied from the old memory locations to the new, larger, memory locations where there is room to insert the new information. Even though such a moving of information can take place entirely in memory, it requires significant computing resources. Thus, the database may become temporarily unavailable, which makes such a system inadequate for some applications.

In most prior art systems, the heap management of pages function is usually performed by the operating system for all the applications running on the computer. This approach results in high levels of competition for resources and context switching, which results in a relatively slow and unpredictable response.

Two types of databases are relational databases and network (or interconnected) databases. Relational databases correlate _types_ of information (columns) between different tables in the database. In general, in a relational database, all queries are supported equally, and normalization is a function of the data, not the query. Network databases correlate _rows_ in different tables of the database by pointers, or references to specific rows, and are designed to focus on operational queries. These operational queries reflect a pre-determined decision by the database designer, who selects which columns will contain pointers to other tables. Stated differently, in a relational database, a join
occurs at the time of a query, while in a network database, the join happens when data is inserted into the database (because that is when the pointer to other rows are created).

Accordingly, objectives of the present invention include:

a. To provide a database system that is extremely fast;

b. To provide a database system in which address resolution is very fast regardless of the number of rows of a particular table;

c. To provide a database system in which address resolution requires only a fixed number of computer instructions regardless of the number of rows of a particular table;

d. To provide a database system for which the schema (database dictionary) may be modified without closing the database (i.e., applications can continue to store and retrieve database information while the schema is being modified);

e. To provide a database system in which the database takes control of all memory allocations within the major memory segment, and the operating system being called only when another large memory segment needs to be assigned or released.

Summary of the Invention

A database system uses "sets" of pages to store database information from a plurality of tables of information. For a specific type of information (one or more columns of a table) each "set of pages" for that information comprises one or more pages, and each page with a set is capable for storing the same number of rows. As memory for each successive set
of pages is allocated, more memory is allocated for storing rows in each page of the set. Similarly, the maximum number of rows of information storable in each page of each set is greater for each successive set of pages.

[0011] In one embodiment of the invention, the number of computer instructions needed to resolve (or calculate) the memory address for a particular row is fixed. Unlike balanced binary tree implementations, where the required number of queries to an index increases with the size of the database, with the present invention, given a target row number, (and the number of rows in the first page, and the width of the column or column group), only a fixed number of computer instructions need to be executed to obtain the starting memory address for the target row.

[0012] In addition, information of the same type (i.e., one or more columns of a table) may be stored in different pages, and these pages may be located in discontiguous memory segments. As compared to prior systems requiring contiguous memory, this allows space for new rows to be allocated, without requiring all pre-existing rows to be moved to a different memory segment.

[0013] In another aspect of the invention, the database system interfaces with software applications that access database information. The system maintains a master copy of each page table. As a part of the interface, the database system maintains a version number for each page table, and provides copies of the page tables to the applications. This allows the applications to calculate physical addresses for information without having to access shared memory, which tends to be slower. This appreciably increases the speed with which information may be retrieved from the database by applications. Moreover, if a change to the database schema (data dictionary) is made, the version number of the master copy is incremented. Before an application attempts to access database information, it checks to see whether the version number of its copy of a page
table matches that in the master copy. If they are different, then the application's page
table copy is refreshed. This technique allows changes to be made to the database
schema while applications access the database information, i.e., the database does not
need to be closed.

[0014] **Brief Description of the Drawings**

[0015] Fig. 1 shows a memory map layout for an exemplary embodiment of the invention.

[0016] **Detailed Description**

[0017] The invention comprises a database system comprised of multiple tables of information.
Each table comprises one or more columns of information. The database is a linked list
database. While linked list databases are well-known in the art (see, e.g., U.S. Patent No.
5,560,006) some of the distinguishing characteristics of them relevant to the present
invention are:
a. Each row of a table contains a pointer (such as a row number) to the preceding and
next row in the table (or an indicator that the row is an ending (first or last) row in
the table).
b. Relationships among rows in different tables (keys) are defined by pointers (such as a
row number) to row numbers of another table.

[0018] An example of linked list tables is exemplified by the following:

Table - PersonInfo (contains names and phone numbers)

<table>
<thead>
<tr>
<th>Row No. (Not Stored)</th>
<th>Name</th>
<th>Phone</th>
<th>NextName</th>
<th>Prior Name</th>
<th>Pointer to SSN Row No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Smith</td>
<td>555-1234</td>
<td>*</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>2</td>
<td>Jones</td>
<td>555-2222</td>
<td>1</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>3</td>
<td>Brown</td>
<td>555-8888</td>
<td>4</td>
<td>*</td>
<td>1</td>
</tr>
<tr>
<td>4</td>
<td>Johnson</td>
<td>555-0000</td>
<td>2</td>
<td>3</td>
<td>2</td>
</tr>
</tbody>
</table>
The database system may use "sets" of pages to store database information from a plurality of tables of information. For a specific type of information (one or more columns of a table) each "set of pages" for that information comprises one or more pages, and each page with a set is capable for storing the same number of rows. For example, in one embodiment, there are 8 pages in the first "set" of pages, and four pages in each successive set of information. Suppose the first page of data is sized so to hold 100 rows of information. Each of the next 7 pages would also hold 100 rows, for a total capacity of 800 rows in the 8 pages comprising the first page set. The number of rows held by the 9th through 12th pages may be doubled to 200 rows, so 1,600 rows may be stored in total. For the third set of pages (13 - 16), each page may hold 400 rows. The progression is shown by the following table:

<table>
<thead>
<tr>
<th>Row No. (Not Stored)</th>
<th>SSN</th>
<th>No. Exemptions</th>
<th>Next SSN</th>
<th>Prior SSN</th>
<th>Pointer to Name Row No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>222-33-4444</td>
<td>3</td>
<td>2</td>
<td>3</td>
<td>3</td>
</tr>
<tr>
<td>2</td>
<td>444-33-2222</td>
<td>4</td>
<td>4</td>
<td>1</td>
<td>4</td>
</tr>
<tr>
<td>3</td>
<td>111-22-3333</td>
<td>1</td>
<td>1</td>
<td>*</td>
<td>1</td>
</tr>
<tr>
<td>4</td>
<td>555-44-3333</td>
<td>4</td>
<td>*</td>
<td>2</td>
<td>2</td>
</tr>
</tbody>
</table>

The progression may continue. As memory for each successive set of pages is allocated, more memory is allocated for storing rows in each page of the set. Similarly, the maximum number of rows of information storable in each page of each set is greater for each successive set of pages. In the example shown above, the number of pages in the first set is 8. It will be appreciated that memory need only be allocated for one page at a
time; memory for all pages in a set need not be allocated when memory for the first page of a new set of pages is allocated. In addition, allocation of memory for a page does not necessarily mean that all of the available memory in the page is populated with data. For example, in the example above, when memory for the first page of the first set is allocated, even if there are only, say 52 rows of database information, the system will still allocate enough space for 100 rows. The remaining 48 rows will be populated as new rows are added to the database. Allocating memory for the 101st row may be deferred until the 101st row of data is actually requested by the particular application adding data to the database.

In one embodiment, pages contain a power of 2 of the number of entries (entries per page - epp). The base epp (bepp) is the initial epp for pages 0..7. Pages 8..11 have 2*bepp, pages 12..15 have 4*bepp and so forth. For a beginning number or rows in the first page of 512, the progression of increasing page size may be as follows

<table>
<thead>
<tr>
<th>page number</th>
<th>rows</th>
<th>total</th>
<th>per no. page</th>
<th>rows</th>
</tr>
</thead>
<tbody>
<tr>
<td>0 .. 7</td>
<td>512</td>
<td>4K</td>
<td>512</td>
<td>4K</td>
</tr>
<tr>
<td>8 .. 11</td>
<td>1K</td>
<td>8K</td>
<td>1K</td>
<td>8K</td>
</tr>
<tr>
<td>12 .. 15</td>
<td>2K</td>
<td>16K</td>
<td>2K</td>
<td>16K</td>
</tr>
<tr>
<td>16 .. 19</td>
<td>4K</td>
<td>32K</td>
<td>4K</td>
<td>32K</td>
</tr>
<tr>
<td>20 .. 23</td>
<td>8K</td>
<td>64K</td>
<td>8K</td>
<td>64K</td>
</tr>
<tr>
<td>24 .. 27</td>
<td>16K</td>
<td>128K</td>
<td>16K</td>
<td>128K</td>
</tr>
<tr>
<td>28 .. 31</td>
<td>32K</td>
<td>256K</td>
<td>32K</td>
<td>256K</td>
</tr>
<tr>
<td>32 .. 35</td>
<td>64K</td>
<td>512K</td>
<td>64K</td>
<td>512K</td>
</tr>
<tr>
<td>36 .. 39</td>
<td>128K</td>
<td>1M</td>
<td>128K</td>
<td>1M</td>
</tr>
<tr>
<td>40 .. 43</td>
<td>256K</td>
<td>2M</td>
<td>256K</td>
<td>2M</td>
</tr>
<tr>
<td>44 .. 47</td>
<td>512K</td>
<td>4M</td>
<td>512K</td>
<td>4M</td>
</tr>
<tr>
<td>48 .. 51</td>
<td>1M</td>
<td>8M</td>
<td>1M</td>
<td>8M</td>
</tr>
<tr>
<td>52 .. 55</td>
<td>2M</td>
<td>16M</td>
<td>2M</td>
<td>16M</td>
</tr>
<tr>
<td>56 .. 59</td>
<td>4M</td>
<td>32M</td>
<td>4M</td>
<td>32M</td>
</tr>
<tr>
<td>60 .. 63</td>
<td>8M</td>
<td>64M</td>
<td>8M</td>
<td>64M</td>
</tr>
<tr>
<td>64 .. 67</td>
<td>16M</td>
<td>128M</td>
<td>16M</td>
<td>128M</td>
</tr>
<tr>
<td>68 .. 71</td>
<td>32M</td>
<td>256M</td>
<td>32M</td>
<td>256M</td>
</tr>
<tr>
<td>72 .. 75</td>
<td>64M</td>
<td>512M</td>
<td>64M</td>
<td>512M</td>
</tr>
<tr>
<td>76 .. 79</td>
<td>128M</td>
<td>1G</td>
<td>128M</td>
<td>1G</td>
</tr>
<tr>
<td>80 .. 83</td>
<td>256M</td>
<td>2G</td>
<td>256M</td>
<td>2G</td>
</tr>
</tbody>
</table>
[0022] Efficient calculation techniques may be realized by having 8 pages in the first set of pages, though this is not a requirement of the invention. (However, the number of pages in the first set of pages is preferably a power of two, i.e., 2, 4, 8, 16, 32, 64, etc.). Also, while the number of rows in each page of each set preferably doubles for each successive set of pages, and this allows some efficient calculation techniques to be utilized, it is not mandatory that the increase be by two. Aspects of the invention merely require that there be a continuous increase in the number of rows that may be stored in the each page of successive sets of pages.

[0023] Page Tables. In one embodiment, a contiguous memory space is allocated to at least each page. Because tables or columns may be stored in multiple, discontiguous pages, not all data elements of the same data type (columns) are likely to be contiguous in memory. Although logically, multiple columns of a single table are often thought of as being "contiguous," the invention does not require that all data elements of a row be stored in contiguous memory. For example, for the four records in the PersonInfo table could be stored with all of the information for Name field being stored first, then all the information for the phone number field, as follows (assuming 10 bytes are allocated for name, 8 for phone, and one byte for each of the next, previous, and pointer fields (yielding a row width of 21 bytes):

```
Smith----555-1234*23Jones----555-2222144Brown----555-88884*1Johnson-555-000232---------------------
```

In the above example, the final string of 84 "-"s represents memory allocated for the first page capable of holding 8 rows, wherein the final 4 rows that are not yet populated with data. Of course, those of skill in the art will further appreciate that this illustrative example assumes that since only one byte is allocated for the next, prior and pointer fields, that the maximum number of rows for the record will be $2^8$ or 64. In actual
implementations, many more bytes would likely be allocated for these numbers, yielding a larger row width.

Page tables may be used to keep track of the pages. A page table includes an array identifying page numbers, and for each page number, an identification of the memory address (either an offset form a memory segment or a physical memory address) containing the beginning of the data for the column/table page.

In one embodiment, a separate page is created for each column (or sets of columns) of a table that will contain pointers to other tables. The decision of what columns will be combined is usually based on whether the column will serve as an index or key. For example, suppose a table will contain information regarding names, addresses and phone numbers, and the address field will be comprised of street address, city, state and zipcode. If the database designer wishes to have an index only on name, phone number and zip code, then initially, three pages may be created: one for name, street address, city and state, one for phone number, and one for zipcode. In essence, although there are six logical "columns" of information, for purposes of implementation, there will be only three columns, as 4 columns will be grouped together for storage purposes (one of which will be indexed).

One benefit of the present system is that the number of computer instructions needed to calculate the memory address for a particular target row is fixed. The request to retrieve database information can be distilled to: For a given database table (or column), what is the beginning memory address (offset from the beginning of the page) where row x is located (firstbyte in the instructions below)? Answering this question is known as "address resolution." The "memory address" referred to in this embodiment is to the offset from the beginning of the page of memory in which the row resides. To answer this question, several database parameters will be known:
a. The target row number. This is \textit{row}.

b. The number of rows in the first page of memory allocated for data elements in the table (or column). This number (or in one embodiment, a variant or indicator of this number, namely the base shift of the number (the log base 2 of the number of rows in the first page of memory allocated for the table or column)) will be stored in the database dictionary. This is \textit{bsh} (for base shift).

c. The width the data element (column, or set of columns) This will be stored in the database dictionary. This is \textit{width}.

d. The address in memory for the first byte in memory for the data of the page in which the target row is calculated. This is \textit{pagestart}. This will be stored in the page table.

\textit{bsh} and \textit{width} may be stored in the header file for the particular table / column(s).

Specifically, given a target row number (row, in this example, a 64 bit integer), the first byte of memory of the row containing the target row may be calculated as follows.

\[
\begin{align*}
\text{sh} &= \text{bsrl}(\text{row} \mid (1 \leftarrow (bsh+2))) - 2 \quad ; /\text{calculate the shift for a given row using the barrel shift right logical command} \\
\text{mask} &= (1 \leftarrow \text{sh}) - 1 \quad ; /\text{calculate a mask to be used for page row (prow) calculation} \\
\text{pg} &= (\text{row} \leftarrow \text{sh}) + ((\text{sh} - \text{bsh}) \leftarrow 2) \quad ; /\text{Calculate the page # (0 based) (the first page is page no. 0)} \\
\text{prow} &= \text{row} \& \text{mask} \quad ; /\text{calculate the row on the page # from above} \\
\text{poff} &= \text{prow} \ast \text{width} \quad ; /\text{calculate the byte offset for the row on the page} \\
\text{firstbyte} &= \text{pagestart} + \text{poff} \quad /\text{calculate the firstbyte of the target row}
\end{align*}
\]

Thus, the number of computer instructions needed to show the calculations that must be performed to calculate the memory address can be represented by the above six statements. Those of skill in the art will appreciate that these equations will work
regardless of the number rows in the database. The only limit on the size of the database will be the number of bits used to store an integer.

[0028] Of course, larger or smaller databases may be accommodated by selecting CPU architectures other than 64 bit architecture.

[0029] Once the page and offset from a row have been calculate, the remaining calculations are as follows:

2. Get page size in KBytes

   Given epp and width

   \[
   \text{pgsz} = \left( (\text{epp} \times \text{width}) + \text{HEAPUNIT} - 1 \right) \gg \text{HEAPSHIFT} ;
   \]

   // MKMOD(epp*width,HEAPUNIT) \gg HEAPSHIFT

3. Get epp (and pgsz) given page

   Given bsh

   if((\text{pg} \& 7) = 0) \text{sh} = \text{bsh} ;

   else \text{sh} = \text{bsh} + ((\text{pg} - 4) \gg 2) ;

   as before: \text{epp} = (U64)1 \ll \text{sh} ;

   \text{pgsz} = \text{MKMOD(epp*width,HEAPUNIT)} ;

4. Get initial bsh, bepp and bpgsz given width and depth

   if (\text{depth} = 0) // Try for depth ~ 8K/width

   \text{bsh} = \text{bsrl}(8192/\text{width}) ; // ok if it is 0

   else

   \text{bsh} = \text{bsrl}(\text{depth}\gg 3) ;

   \text{bepp} = 1 \ll \text{bsh} ;

   \text{bpgsz} = \text{MKMOD(bepp*width,HEAPUNIT)} \gg \text{HEAPSHIFT} ;

5. Get total N given pages and bsh

   if ((\text{pages} \gg 3) = 0) \text{totN} = \text{pages} \ll \text{bsh} ;

   else {

   \text{sh} = \text{bsh} + ((\text{pages} - 5) \gg 2) ;

   }
totN = (((((pages-l)&3)+l) « sh) + (1 « (sh+2)))
}

Note: last page = pages - 1
database depth = totN - 1, row: [0..totN-1]

Once poff (Page Offset) is known, this number may be simply added to a number
representing the beginning address of the page in which the row is located, to yield the
physical memory address of the first byte of the row. The latter information may be
stored in a page table, or a combination of a page table and a memory segment table (if
the system is implemented on a computer in which multiple memory segments may be
allocated to the database system). In summary, the physical memory address for the first
byte or a row equals the sum of: (a) the beginning address of the memory segment in
which the page is stored; (b) the beginning address (measured from the beginning of the
memory segment) of the beginning of the data for the page; and (c) the page offset. For
example, in one embodiment, the database system can request from the operating system
multiple memory segments as the need arises, and each memory segment may store one
or more pages. For example, the following table shows how three exemplary memory
segments might be allocated. Typically, the database system will request additional
memory to be allocated (usually by the operating system) whenever space for additional
rows must be allocated that exceeds the amount of space available in the existing memory
segment(s).

Memory Segment Table

<table>
<thead>
<tr>
<th>Memory Segment Name</th>
<th>Size</th>
<th>Physical Memory Starting Address</th>
</tr>
</thead>
<tbody>
<tr>
<td>Segment 1</td>
<td>250,000,000</td>
<td>50,000,000</td>
</tr>
<tr>
<td>Segment 2</td>
<td>500,000,000</td>
<td>475,000,000</td>
</tr>
<tr>
<td>Segment 3</td>
<td>1,000,000,000</td>
<td>2,300,000,000</td>
</tr>
</tbody>
</table>
Similarly, one or more pages may be stored in each memory segment.

### Page Table

<table>
<thead>
<tr>
<th>Page Name</th>
<th>Size of Page</th>
<th>Name of Memory Segment Page is Stored In</th>
<th>Physical Memory Starting Address of segment</th>
<th>Offset from Beginning of Segment to Page</th>
<th>Physical Memory Address of first byte of column / page</th>
</tr>
</thead>
<tbody>
<tr>
<td>Column 1 Page 1</td>
<td>50,000</td>
<td>Segment 1</td>
<td>50,000,000</td>
<td>0</td>
<td>50,000,000</td>
</tr>
<tr>
<td>Column 2 Page 2</td>
<td>75,000</td>
<td>Segment 1</td>
<td>50,000,000</td>
<td>50,001</td>
<td>50,050,001</td>
</tr>
<tr>
<td>Column 1 Page 3</td>
<td>150,000</td>
<td>Segment 1</td>
<td>50,000,000</td>
<td>125,000</td>
<td>50,125,001</td>
</tr>
<tr>
<td>Column 1 Page 4000</td>
<td>500,000</td>
<td>Segment 3</td>
<td>2,300,000,000</td>
<td>1,000</td>
<td>2,300,001,000</td>
</tr>
<tr>
<td>Column 2 Page 4001</td>
<td>750,000</td>
<td>Segment 3</td>
<td>2,300,000,000</td>
<td>501,000</td>
<td>2,305,000,000</td>
</tr>
<tr>
<td>Column 3 Page 4002</td>
<td>600,000</td>
<td>Segment 3</td>
<td>2,300,000,000</td>
<td>12,501,000</td>
<td>2,312,501,000</td>
</tr>
</tbody>
</table>

For purposes of illustration, the above page table contains more information than is actually necessary. The only information that needs to be specified in a page number table is, for each page, an offset into memory for the beginning memory address of the page. It is a matter of choice as to whether this offset refers to an absolute physical memory address, or to the offset from the beginning of the particular memory segment in which the page is stored. If the latter, then each time a beginning page address is retrieved from the page table, the beginning physical address of the memory segment must be added to it to yield the beginning physical memory address for the page. As noted above there can thereafter be added to this number the product of the target row number and width of the table / column to yield the physical first byte address of the target row.

Those of skill in the art will further appreciate that the page tables are transient. Specifically, the page tables may be re-created when a database is opened.

In addition, information of the same type (i.e., one or more columns of a table) may be stored in multiple pages, and these pages may be located in discontiguous memory segments. As compared to prior systems requiring contiguous memory, this allows space
for new rows to be allocated, without requiring all pre-existing rows to be moved to a
different memory segment.

[0035] Thus, in the example shown above, to calculate the physical memory address for a
particular row of a given column which begins with the 399th byte of the page
Column_2_Page_4001, one would sum 2,300,000,000 (beginning address of the
Segment_3), 12,501,000, and 399 to yield 2,312,501,399.

[0036] Those of skill in the art will appreciate that memory segment tables and pages will
typically include some header information, so the first byte of data will not necessarily be
the first byte of the memory allocated for the page. However, the size of the header can
either be a predetermined amount, or be stored in a predetermined byte offset from the
first byte of the page file.

[0037] One design consideration involves the number of rows that should be allocated for on the
first page. One method for doing this is to default to some number, say 512. Another
method is to allow the application requesting the creating of a table (or column) to
specify an estimated maximum number of rows the database will hold, and divide this
number by four, and round up to next highest power of two. For example, if a table with
400,000 rows is estimated, the initial page may have 65,536 rows. Seven pages would
yield 458,752 rows for the estimated 400,000-row requirement.

[0038] Those of skill in the art of network databases will appreciate that several characteristics of
a network database well-known in the art are inherent. For example, when a row of data
is deleted, prior and subsequent rows are not ordinarily shifted to occupy the same
physical memory space of the deleted data. Instead, the availability of the memory space
formerly occupied by the deleted data is noted by either a reference in the header of the
page or, for example, by inserting null values in the space. Moreover, when data is
inserted into a row, its pointers to related rows of other tables are updated at the time consistent with the database schema.

[0039] In another aspect of the invention, the database system interfaces with software application(s) that access database information. The system maintains a master copy of each page table. As a part of the interface, the database system maintains a version number for each page table, and provides copies of the page tables to the applications. This allows the applications to calculate physical addresses for information without having to access shared memory, which can be slower. This increases the speed with which information may be retrieved from the database. Moreover, if a change to the database schema (data dictionary) is made, the version number of the master copy is incremented. Before an application attempts to access database information, it checks to see whether the version number of its copy of a page table matches that in the master copy. If they are different, then the application's page table copy is refreshed. This technique allows changes to be made to the database schema while applications access the database information, i.e., the database does not need to be closed.

[0040] The database system of the present invention maintains a data dictionary maintains a version number that inciements each time a change is made to the schema (data dictionary). However, those of skill in the art will appreciate that changes to application data - inserts, updates, deletes - do not change the data dictionary. The primary access routines (the API) may resolve certain data structure addresses and keep them in local application data space. The next time a resource must be accessed, the application checks the data dictionary version number to see if it has changed. If this version number has not changed, then the local copies of the data structure addresses may be used. If this version number has changed, then the local copies of these addresses must be re-calculated. Address caching recovers the performance lost due to page-table lookups. In one
embodiment, all of this activity is managed totally transparently to the applications using
the database.

[0041] In one embodiment, the invention also includes a backup facility that continuously copies
database modifications into persistent (disk) storage or to remote memory structures.
This facility is accomplished using a multi-layered copy facility that protects against any
single point of failure and is transparent and non-intrusive to the applications using the
invention. In one embodiment, the database will be "out-of-service" for less than 1
millisecond when the backup occurs regardless of the size of the total database.

[0042] In one embodiment, the backup event can be triggered in one of three ways.

1. Any of the applications using the database may request a backup at any time. The
database administrator may make interactive backup requests.

2. Pages that are modified are marked "dirty" (changed) and the total size of the dirty
pages since the last backup is maintained. If a pre-defined limit is reached, a backup
event occurs.

3. A timer is set to force regular interval backup events even if no database
modifications have occurred since the last backup event.

[0043] System Services: In one embodiment, a collection of database services is incorporated
into the invention such as the following:

• Startup: Build a new database.
• Modify: Add new rows and columns to existing tables. Drop rows, columns, or entire tables that are no longer needed.

• Backup: Perform one-time or continuous backup of the database. Applications may continue to modify the database during the backup process.

• Replicate: An "in-memory" replica of the database may be initiated at any time. The replica may be local or remote. Continuous updates to the replica (or replicas) are performed similar to the way that continuous backups are performed. Applications may continue to modify the database during the replication process.

• Restart/ Recovery: Modifications to the database that occur between backup events are written to a sequential journal file. This file may be used to recover the system in the event of a catastrophic failure. The last good backup is recalled into main storage and then transactions from the journal file are spooled back into the database.

• Monitor: A monitor program keeps track of critical database properties. If a problem is anticipated, a notification may be sent so that corrective action may be taken.

• Shutdown: A facility will be provided to perform an orderly shutdown of the system.

• SQL: SQL procedures may be pre-processed in the database server.

• Fix: A collection of troubleshooting procedures and corrective action utilities are included. For example, the index on a key may be rebuilt online if it is found to be damaged, with no disruption to the use of the database.
• **File Load:** A utility to incrementally load data from files into database tables is provided.

• **Batch Load:** A utility that performs the initial load of data into a table is provided. This utility uses batch sort techniques (quicksort) to add additional performance (10x) at startup.

[0044] In one embodiment, the server uses the TCP/IP networking protocol to manage remote requests. A single "listen" socket is used for all requests. When a connection is made to the server, a new execution thread is created (multi-threading) to service the request. New services may be added at any time (online) using shared library dynamic linking. Multi-threading is used to take advantage of modern multiprocessor computers where separate threads actually execute simultaneously.

[0045] **Lock Granularity:** Another feature of the database system is a lock management facility based on spinlock technology. Spinlocks represent the highest performance mechanism used to control access to shared resources. The database system provides a multidimensional locking feature called lock domains. Access to database resources from multiple applications involves special considerations to avoid common pitfalls associated with shared structures. As used herein, "database resources" means data stored in the database. These problems include:

- Two applications needing access to totally separate data structures should not block each other.

- Two applications needing access to the same set of resources must be queued (one blocks the other) but must not be allowed to deadlock (each blocks the other).

- Two applications needing "read" access to the same set of resources should not block.
To update a table, an application needs to have "read" access to the data dictionary and "write" access to the columns of the table to be updated. Multiple read-locks are allowed on a single resource but a write-lock must block all other readers and writers. For example, suppose App1 needs to update Table1 and App2 needs to update Table2. No blocking action is needed. They may both read-lock the data dictionary and write-lock the separate columns to be updated.

Now suppose App1 wishes to read from Table2 - Col1 and Col2. App2 wishes to update (write) Table2 - Col3 based on what it finds (reads) in Col2. Even though both applications are accessing Table2, the write-lock on Col3 from App2 does not conflict with the any of the read-locks form App1. Here again, these two applications will not block.

Spinlocks are used to manage these lock domains for performance reasons. When a blocking action is needed, the operating system services are required to suspend one of the applications (threads). This facility is relatively slow compared to the database's performance parameters. For this reason, these services should be avoided unless it is absolutely necessary. A spinlock is used to mark a resource as locked. If the lock is released before any other thread tries to access the resource, the block is avoided and no operating system services are needed.

Double Linked Lists: One embodiment of the database includes software to manage one to many relationships using double-linked list structures. Inserts and deletes are supported at any point in the list. Linked list traversal is fast. This technology is an effective tool for managing non-contiguous data. The concept of double linked lists in the invention has been extended to incorporate the ability to dynamically modify the database logical structure definition that is embodied in the linked lists. Linked lists are the
preferred method of addressing data when the information structure is time base or sequentially related. This is the fastest way to traverse a data set of this type.

[0050] **Balanced Binary Trees:** One embodiment of the database may implement sorted "Keys" using a balanced binary tree indexing scheme. The index tree is re-balanced with every insert or delete. Lookups use this index to provide guaranteed worst case times proportional to \( \log(N) \) (where \( N \) represents the number of rows in the table). This results in a very gradual decline in performance with size. The approach to balanced binary tree indexing used in the system may follow the red/black model of Sedgwick ("Algorithms in C++", Sedgwick). The algorithms described by Sedgwick may be adapted to permit dynamic modification of a database logical structure definition. Binary tree structures are the preferred approach when the lookup function is a random search in large database.

[0051] It will be appreciate by those of skill in the art that various terms, such as a database "storage area" or a database "resource," as used in the, claims refers to a logical data structure or software, respectively, as may be defined, for example, by software used to implement the invention. While such software could be executing on a computer, it is the intent that the claims also embody software that has not yet been installed on a computer. For example, the storage "area" and "memory pages" can all be defined in software.

[0052] Source code for implementing aspects of the invention is shown in the Appendix 1. This includes the structure for the header of the shared memory segment table. The first entry in the database (shared memory segment 0) is the "Magic" number. This is an arbitrary binary value used to identify a valid database. The current value is 3500094376. The "Version" number starts at 1 (one) and is incremented whenever a change is made to the database header. This would include adding a
new memory segment to the database of adding new pages to the Heap. The
'ShmMax' value is taken from the license file and defines the maximum number
of shared memory segments. This number never exceeds 256. The "ShmLnuse"
value identifies the current number of shared memory segments. The
"SessionMax" value is taken from the license file and defines the maximum
number of database connections allowed. This might be -1 (minus one) which
indicates an unlimited number of connections. The "SessionLnuse" value identifies
the current number of connected sessions. The "Locale" string defines the current
native language locale. This value is used to establish international character sort
order. The "HeapPgTblLnuse" defines the number of entries in the Heap data page
table. The "HeapPgTblShift" defines the Heap data page table initial shift
property. The initial number of entries per page (Heap page 0) is defined as 2
raised to the HeapPgTblShift power. The default value is 8. The initial default
entries per page is 256. The "HeapPgTbl" consists of 64 page table entries for the
Heap data pages. This represents a maximum of 32 million heap entries. Each
heap entry represents a minimum of 1 kilobyte and a maximum of 2 terabytes.
The "ResFCP" defines the start location (byte offset from the beginning of
segment 0) of the reserved FCPs.

Appendix 1 also shows header information for the page tables (or Field Control Properties
/ FCP). The user defined FCP entries follow the reserved FCP entries. Each user
defined data type represents a database field (column or a database link). User
defined fields are assigned integer unit numbers starting with one and growing as
needed to the maximum allowed fields of $2^{31}$ (two raised to the 31 power) ~2
billion. Reserved FCP entries are assigned negative unit numbers starting with -1.
Unit number 0 is associated with the Key field that holds the database table and column names. This Key is searched to associate table and column names with field unit numbers.

[0054] The four address resolver entries (in the ResAdd structure) provide the necessary structure to convert a row number into a physical address.

[0055] A representative API for allowing a database system of the present invention to interface with applications is shown in Appendix 2.
APPENDIX 1

// atdb_p.h
//
// Private data structures used in ATDB.
// Including:
// Database header
// FC_PROPS (field control properties) in the data dictionary.
// (similar to FIELD_PROPS in atdb.h but not the same.)
// Preferences (used at startup)
// Internal typedefs...
//

#ifndef ATDBJPINCLUDED
#define ATDB_P__INCLUDED 1
#endif

#ifndef NULL
#define NULL (void *)0
#endif

#include <stdlib.h>
#include "atdb.h"
#include "shmlib.h"

// Spinlock target
typedef struct
{
    U32 lock;  // "test & set" operates on this
    U32 count;  // Read lock count
    U32 collisions;  // unnecessary
    U32 flags;  // special
} ATDB_SPIN_t, *pATDB_SPIN;
#define EXTEND_PENDING OxI  // flag bit used by atdb_extend_table()
#include "PLAT_P.h"

// What "ENDIAN" are we - should be defined in <stdlib.h> via endian.h
// (otherwise hard code _BYTE_ORDER in PLAT_P.h)
#ifndef _BYTE_ORDER
#error "Need to define _BYTE_ORDER"
#endif
#if _BYTE_ORDER == __BIG_ENDIAN
#define ATDB_BIGENDIAN
#endif
#define ATDB_MAGIC 0xd09f33a8 // Whatever?
#define COMPERROR Ox8fffffff
#define MKMOD(N,MOD) (((N)+(MOD)-1) & (-((MOD)-1)))

// The Private database structure definition
typedef struct
{
    pSHMOBJ_t *shm;  // Array of shared memory objects (license file issue)
    132 ShmInuse;  // How many are there (compare to hdr->ShmInuse)
    132 ShmMax;  // How many shm are allowed (compare to hdr->ShmMax)
    132 err;

    ...
ATDB, *pATDB_t;

// Define the minimum page allocation unit (Currently IK)
#define HEAPSHIFT 10
#define HEAPUNIT (1<<HEAPSHIFT)

// The heap page table located in DD at hdr->HeapPgTbl
typedef struct {
    U32 seg ; // shared memory segment #
    U32 loc ; // offset to page in HEAPUNITs
} HEAP_PGTBLJ, *pHEAP_PGTBLj ;

// Shared memory segment definition
typedef struct {
    U32 size ; //HEAPUNITS
} SHM_SEGSJ, *pSHM_SEGSJ ;

// ATDB Header structure (first data in mem segment)
typedef struct {
    U32 Magic ; // IDs this segment as a valid ATDB database
    U32 Version ; // Data dictionary version number.
    U32 ShmMax ; // Maximum allowed shared memory segments this database (license issue)
    U32 Shmlnuse ; // Shared memory segments currently active
    U32 SessionMax ; // Maximum number of sessions (license issue)
    U32 Sessionlnuse ; // Sessions currently active
    U8 Locale[64] ; // Database locale ID
    U32 HeapPgTbllnuse ; // InUse entries in the heap page table
    U32 HeapPgTbLSHIFT ; // Heap base epp = 1«base-shift
    HEAP_PGTBLJ HeapPgTbl[64] ; // Heap Data Page table (hardcode 64??)
    U32 ResFCP ; // Byte offset to the reserved FCP table.
    // Freespace = ResFCP - sizeof(ATDBJHDRJ)
} ATDB_HDRJ, *pATDB_HDRj ; // 412 = 1024 - 612

// Session table
typedef struct {
    U32 fill[4] ;
} SESJTBLJ, *pSESJTBLJ ;

#define ATDBJUFCP 32 // How many reserved FCPs
#define ATDBJ_CPINIT -1
#define ATDB_HEAP_UNIT -2
#define ATDB_OWNS_UNIT -3
#define ATDB_POINTS_UNIT -4
#define ATDBjrRANS_CACHE_UNIT -5
#define ATDB_STRUCT_UNIT -6
#define ATDB_STMEMB_UNIT -7

// ResAdd (resolve address) needs this struct to do its job
typedef struct {
    void **add; // Array of page start addresses
    U32 pages; // How many pages
    U32 bsh; // Base shift (might be 0) Base epp = 1-bsh
    U32 width; // width of entry (bytes);
} RESADD_t, *pRESADD_t;

//---------- Field Control Properties -------------------
typedef struct {
    ATDB_SPIN_t spin; // Lock structure (Keep on 16 byte boundary for HP)
    U32 Version; // change requires refresh_fp
    132 type; // Type of field (0 = deleted field)
    132 lock; // Lock struct to use
    132 fmt; // Data format
    164 depth; // Max rowID (Huge! !)
    132 width; // width in bytes
    132 UID; // User ID
    132 GID; // Group ID
    132 Permissions; // Permission bits rwxrwxrwx
    132 owner; // Table unit number
    132 point; // Foreign key unit number
    132 Data; // Heap entry of first Data Page Table
    132 VData; // Heap entry of first VData Page Table
    132 Index; // Heap entry of first Index Page Table
    132 Head; // Heap entry of first LL Head Page Table
    132 fill[4]; // Currently FCP is 96 bytes (16-byte aligned)
} FC_PROPS_t, *pFC_PROPS_t;

/*---------- Private version of Field Property Page ----------------------------*/
typedef struct STJ *PJTHIS {
    132 unit; // Unit number for this field (index into Names)
    U32 type; // Type of field
    U32 fmt; // Data Type
    U32 width; // Length in bytes for Data (if any)
    U64 depth; // Max RcwlID
    164 row; // row (pos)
    164 orow; // owner row (opos)
    132 owner; // Unit number of owner (Table?)
    132 point; // Unit number of foreign field
    132 fhum; // The function that caused the error
    132 err; // Error code
    U32 bufsz; // The actual (malloc) size of buf
    void *buf; // user data

/*---------- The rest is hidden -------------------------------*/
    pATDB_OBJ_t db; // The database object
    U32 *pVersion; // Points to version number in FCP
    U32 Version; // Last known version number - compare to pVersion
    132 lockstat; // Is it Read or Write locked


void *lock ; // Pointer to lock entry
pRESADD_t pRA_Data ; // Pointer to Data address resolver
pRESADDj pRA_VData ; // Pointer to VData address resolver
pRESADD_t pRAJfodex ; // Pointer to Index address resolver
pRESADDj pRA_Head ; // Pointer to Head address resolver
132 (*Compare)(struct ST_FP_THIS *fp,U32 row) ; // Compare for this format & type
132 (*Insert) (struct ST_FP_THIS *fp) ;
132 (*Delete) (struct ST_FP_THIS *fp) ; // The access functions depend on properties
132 (*Find) (struct ST_FP_THIS *fp) ;
132 (*Get) (struct ST_FP_THIS *fp) ;
132 (*Put) (struct ST_FP_THIS *fp) ;
132 (*Next) (struct ST_FP_THIS *fp) ;
132 (*Pre) (struct ST_FP_THIS *fp) ;
} FIELD_PROPS_t, *pFIELD_PROPS_t ;

typedef struct {
    U32 seg ; // Segment number (4 billion!!?)
    132 size ; // size (HEAPUNITS), negative size means inuse
    U32 loc ; // offset in segment (HEAPUNITS)... Actual offset = (UXX)IoC « HEAPSHIFT
    U32 next ; // heapent for next loc
    U32 pre ; // heapent for pre loc
    U32 fhext ; // The next heap entry in the field page table.
} HEAP_NODEJ, *pHEAP_NODEj ;
#defineATFMT_HEAP 17

// HEAP_TRAN_t used in heap transaction processing,
typedef struct {
    pFIELD_PROPSJ fpheap ;
    U32 *list ;
    U32 sz ;
    U32 count ;
} HEAP_TRANJ, *pHEAP_TRAN_t ;

// Red black tree node. Three of these makes an index row
typedef struct {
#ifdefATDB_BIGENDIAN
    U32 red:1 ;
    U32 row:31 ;
#else
    U32 row:31 ;
    U32 red:1 ;
#endif
} BT_NODE_t, *pBT_NODE_t ;
#define LEFT 0
#define RIGHT 1
#define PARENT 2
#define NEXTO 1
#define PRE 1

// Linked List Node
typedef struct {
    U32 next ;
    U32 pre ;
} LL_NODEJ, *pLL_NODE_t ;
// Paging struct used by atdb_page_parts()
typedef struct {
  U64 depth ;
  U64 epp ;
  U64 mask ;
  U64 totN ;
  U32 width ;
  U32 shift ;
  U32 pgsz ;
  U32 pages ;
} PAGE_PARTS_t, *pPAGE_PARTS_t ;

// page dependent page parts
typedef struct {
  U64 epp ;
  U32 bsh ;
  U32 width ;
  U32 pgsz ;
  U32 pg ;
} THIS_PAGE_t, *pTHIS_PAGE_t ;

// Bin table used for vdata
typedef struct {
  LL_NODE_t tab[65] ; // bins 0..63 + the wilderness
  U32 pages ; // pages allocated (= next page #)
} BINTABJ, *pBINTAB_t ;

// CData inuse blocks have this header
typedef struct {
  U32 chunk: 15 ; // Size of this block in chunks
  U32 pba: 1 ; // The previous block is free
  U32 size: 16 ; // Actual bytes used for user data (first bit allways 0)
} INUSE_BLOCKJ, *pINUSE_BLOCK_t ;

#ifndef __cplusplus
extern "C" {
#endif

  atdb_create_database(pATDB_PREFS_t) ;

// Heap Management Routines
  atdb_heap_alloc(pFIELD_PROPS_t,U32 size) ; // Returns HeapEnt (row) (or - err)
  atdb_heap_free(pFIELD_PROPS_t,U32 HeapEnt) ;
  atdb_compare_heapent(pFIELD_PROPS_t fp, U32 HeapEnt) ;
  atdb_compare_ATFMT_U8(pFIELD_PROPS_t fp, U32 width) ;
  atdb_VCompare(pFIELD_PROPS_t fp, U32 width) ;
  pFPROPS_t atdb_new_fp(pATDBJ ) ;
  pFC_PROPS_t atdb_getJcp(pATDBJ db, I32 unit) ;
  pHEAP_TRANJ atdb_startJieapJieapJransaction(pATDBJ db) ;
bt_inuse(pFIELD_PROPS_t);
atdb_ll_inuse(pFIELD_PROPS_t);
atdb_drop_unit(pATDB_t db, I32 unit);

void atdb_page_parts(pPAGE_PARTS_t);
void atdb_this__page(pTHIS_PAGE_t);
atdb_vget(pFIELD_PROPS_t);
atdb_vupdate(pFIELD_PROPSJ);
atdb_fget(pFIELD_PROPS_t);
atdb_fput(pFIELD_PROPS_t);
atdb_fkey_put(pFIELD_PROPS_t);

// insert key
bt_insert(pFIELD_PROPS_t);

// delete key
bt_delete(pFIELD_PROPS_t);

// find key
bt_find(pFIELD_PROPS_t);

// inuse (key)
btt_unuse(pFIELD_PROPS_t);

// get (key)
btt_get(pFIELD_PROPS_t);

// next on key
bt_next(pFIELD_PROPSJ);

// pre on key
bt_pre(pFIELD_PROPS_t);

// Link List insert
atdb_ll_insert(pFIELD_PROPS_c);

// Link List delete
atdbJl_delete(pFIELD_PROPS_t);

// Link List next
atdbJl_next(pFIELD_PROPSj);

// Link List pre
atdbJl_pre(pFIELD_PROPS_t);

// Link List link
atdb_llJink(pFIELD_PROPS_t);

// Link List inuse
atdb_ll_inuse(pFIELD_PROPS_t);

#include "bsrl.h"
static inline_ void *ResAdd(U32 row, pRESADDJ ra)
{
  U32 pg, sh;
void *add;
s h = bsrl(row | (1 << (ra->bsh+2))) - 2;
mask = (1 << sh) - 1;
pg = (row >> sh) + ((sh - ra->bsh) << 2);
add = ra->add[pg];
add += (UXX)(TOW & mask) * (UXX)ra->width;
return(add);

void *ResHeapAdd(pFIELD_PROPS_t fp_heap, U32 heapent);

typedef struct {
    U8 m:3; // For e=0, size = m*2
    U8 e:3; // For e>0, size = (m+8)*e
} SZ_TAG_t, *pSZ_TAG_t;

#ifdef ATDB_LOCK
    // Multi lock structures
    typedef struct {
        pFIELD_PROPS_t fp ;
        132 (*Lock)(pFIELD_PROPS_t,U32 *);
    } MLCK_t, *pMLCK_t;

    typedef struct {
        U32 count ;
        U32 inuse ;
        pMLCK_t fpa; //array of MLCK_t structs
    } MULTI_LOCK_t, *pMULTI_LOCK_t;
    // MLCK_t is void * in atdb.h

    // Spinlock stuff
    #define WRITE_LOCKED Oxffffffff
    #ifdef SMP
        #define smp_machine 1
    #else
        #define smp_machine 0
    #endif

    static void SpinLock(pATDB_SPINJ spin)
    {
        int ntries = 0;

        while(SpinTrylock(spin)) {
            spin->collisions--; // Predecrease
            ntries=0;
            while(SpinIsLocked(spin)) {
                if (smp_machine & & ntries++ < MAX_BUSY_LOOPS) continue;
                ntries=0;

As you can see, the content of the document includes definitions, typedefs, function declarations, and variable declarations. It seems to be a section of code related to heap management and lock structures. The code snippet includes operations such as bit shifting, bitwise operations, and conditional branching, which are typical in low-level heap management and memory allocation code. The presence of structures like `SZ_TAG_t` and `MULTI_LOCK_t` suggests that it deals with managing blocks of memory in a heap, possibly with some locking mechanisms to prevent concurrent access issues.
yield_cpu();
}
}
}

static void SpinReadLock(pATDB_SPIN_t spin)
{
    int ntries = 0;
    while(1) {
        SpinLock(spin);
        if ((spin->count ^ WRITE_LOCKED) != 0) {
            spin->count++;
            SpinUnlock(spin);
            return;
        }
        spin->collisions++;
        SpinUnlock(spin);
        ntries=0;
        while(spin->count ^ WRITE_LOCKED) {
            if (smp_machine & & ntries++ < MAX_BUSY_LOOPS) continue;
            ntries = 0;
            yield_cpu();
        }
    }
}

static void SpinWriteLock(pATDB_SPIN_t spin)
{
    int ntries;
    while(1) {
        SpinLock(spin);
        if (spin->count = 0) {
            spin->count = WRITE_LOCKED;
            SpinUnlock(spin);
            return;
        }
        spin->collisions++;
        SpinUnlock(spin);
        ntries = 0;
        while(spin->count != 0) {
            if (smp_machine & & ntries-H- < MAX_BUSY_LOOPS) continue;
            ntries = 0;
            yield_cpu();
        }
    }
}
static void SpinWriteUnlock(pATDB_SPIN_t spin)
{
    SpinLock(spin);
    spin->count = 0;
    SpinUnlock(spin);
}
static void SpinReadUnlock(pATDB_SPIN_t spin)
{
    SpinLock(spin);
    spin->count--;
    SpinUnlock(spin);
}
static __inline__ 132 ReadLock(pFIELD_PROPS_t fp, U32 *mylock)
{
    if(fp->lockstat) {
        *mylock = 0;
        return(0);
    }
    SpinReadLock(fp->lock);
    *mylock = 1;
    fp->lockstat = 1;
    return(0);}
}
static __inline__ 132 WriteLock(pFIELD_PROPS_t fp, U32 *mylock)
{
    if(fp->lockstat) {
        if(fp->lockstat == 1) return(fp->err = ATE_LOCK); // It's read locked!
        *mylock = 0;
        return(0);
    }
    SpinWriteLock(fp->lock);
    *mylock = 2;
    fp->lockstat = 2;
    return(0);
}
static __inline__ 132 UnLock(pFIELD_PROPS_t fp, U32 *mylock)
{
    if(!*mylock) return(0);
    if(fp->lockstat == 1) SpinReadUnlock(fp->lock);
    if(fp->lockstat == 2) SpinWriteUnlock(fp->lock);
    fp->lockstat = 0;
    *mylock = 0;
    return(0);
}
#endif//ATDB_LOCK
#ifdef cplusplus
}
#endif
#endif //ATDB _PINCLUDED
Utilities
The utilities are standalone programs that implement one or more of the ATDB library functions.

create_database - create a new database
Synopsis:
create_database [-n <DB_Name>] [-s <db_size] [kKmMgGi(T)] [-d <dd_entries>] [-h heap_ents]

create_database establishes a new shared memory segment and initializes the data dictionary. The
database name string is a fully qualified file name that is used as a "handle File" for the initial shared
memory segment. This file must NOT be edited, moved or deleted once the system is started.

Optional runstring parameters include:
-n <db_name> The default database name is provided in the environment variable
ATDB_HANDLE. The -n parameter may be used to override this name.
-s <db_size> The default database size is 20 Megabytes. This may be overridden using the -s
runstring option followed by a new database size. The database size parameter consists
of an integer number followed by an optional multiplier character. The allowed multipliers are:
   k or K for kilobytes (1024 bytes = 1 kilobyte)
   m or M for megabytes (= 1024 kilobytes)
   g or G for gigabytes (= 1024 megabytes)
   t or T for terabytes (= 1024 gigabytes)
-d <dd_entries> The default number of data dictionary entries is 255. This value may be overridden
using the -d runstring parameter followed by an integer number. Each ATDB field (column)
requires one data dictionary entry.
-h <heap_ents> The default number of heap entries is 64 times the number of data dictionary entries. In most
cases this value will not need to be changed. Heap entries are used to manage allocated and
freed shared memory pages.

Note: The dbadmin utility may also be used to establish a new database.

atwh - print database usage statistics
synopsis: atwh [dbname]
atwh displays size (depth) and % full values for each table (primary field) in the database. It also displays the
shared memory usage and the heap entries usage values.

The default database name is provided in the environment variable ATDB_HANDLE. This may be
 overridden using a single runstring parameter that indicates the fully qualified database handle file name.

drop_database - destroy the database
Synopsis: drop_database <dbnname>
drop_database frees all shared memory segments and destroys all stored data in the database. It does NOT
prompt of any conformation. USE WITH CAUTION! It requires a single runstring parameter - the fully
qualified database handle file name. The database handle is NOT taken from environment variables; however,
if set, may be supplied in the runstring e.g. dorp_database $ATDB_HANDLE

save_database - save entire database to a file
Synopsis: save_database <filename>
save_database saves the "inuse" memory elements (heap entries) into a single file. This file may be used to
reestablish the database using the restore_database utility.
restore_database reestablishes the database from a file produced by the save_database utility. All memory segments that existed when the database was saved are restored.

(Note: An enhanced version is planned that will combine multiple memory segments into a single segment.)

addsegment - add a new shared memory segment to the database
Synopsis: add_segment <size [KmMgGtT]>

add_segment may be used to add a new shared memory segment to an existing database. The allowed number of shared memory segments is limited by the license file and the system imposed shared memory segment limit, whichever is less. New segments may be added while other applications are using the database. The newly added segment is instantly available for use in creating new tables or extending existing tables.

extend_table - add new rows to an existing table.
Synopsis: extend_table <tablename> [newdepth | X]

extend_table is used to add rows to an existing table (field). All columns associated with the table are also extended. The table name <tablename> is the only required runstring parameters. The new depth (number of rows in the table) will default to 1.14 times the current depth. This value may be overridden using the third runstring parameter. This parameter will express the new depth as an integer number of rows or a fractional multiplier. The multiplier is identified by the presents of a decimal point (.) in the number. The actual number of rows added to the table is rounded up to a page boundary. Pages grow in size as the table grows.

dbadmin - manage ATDB database properties
Synopsis: dbadmin <schema_file | - "single command">

The dbadmin utility is used to create a new database, add to an existing database, or shutdown an existing database. The commands are normally taken from a schema file; however, if the first runstring parameter is a dash (-) than the next parameter will be accepted as a single command. The following commands are allowed in the schema file.

CREATE DATABASE
DROP DATABASE
OPEN DATABASE
CREATE TABLE
EXTEND DATABASE
EXTEND TABLE

(see example schema files for allowed parameters)

rdmbuild - database emulation build utility
Synopsis: rdmbuild <old schema file> | - "single command">

rdmbuild takes commands of the form:
name=ccc.type=n,fmt=ccc,c=n,depth=n,owner=ccc,point=ccc,cr
These commands create fields in the ATDB data dictionary. The first character of each attribute may be used, e.g. n=ccc rather than name=ccc.

The width and depth parameters may be omitted or set to 0 (zero). A zero width is interpreted as a variable width flag, as in varchar. Keep in mind that variable width may be applied to any format type (int, float, etc).

A zero depth parameter may be used and will establish 8 Kbytes for the initial data page size. This will establish an initial depth of something like 8192/width. (For varchar, width will be 4 bytes).

crkey - create a key in database TESTDB
Synopsis: crkey <KeyName> [Howmany]

- 34 -
This is a simple wrapper for atdb_create_field() to create a key in $ATDB_HANDEL database. The default depth is 1000000 rows. The first runstring parameter is the Key field name. It may be a simple string (no dot). The second (optional) parameter sets the number of rows in the Key.

crl- Create an item-linked-list in database $ATDB_HANDEL
Synopsis: crl <ListName> <Howmany> <OwnerKey>

This utility creates an item-linked-list in database TESTDB.

Assorted Benchmark Utilities
A collection of stand alone benchmark utilities are provided including:
tinsert <keyname> <rows> - add rows to key or linked list
tfind <keyname> <howmany> - lookup <howmany> random rows in <keyname>
tget <keyname> <howmany> - directly fetch <howmany> random rows from column
tnext <colname> <howmany> - walk in sort ordered <howmany> rows from <colname>
tdelins <colname> <howmany> - randomly delete and re-insert <howmany> rows.
Pdd - print the data dictionary for TESTDB
pheap - print the heap entries for TESTDB

ATDB Library - Application Programming Interface (API) definitions
The ATDB runtime library is provided as a statically linked library (libatdb.a) and a shared library (libatdb.so). Data structure definitions are located in the include file atdb.h. A platform dependent include file (PLAT.h) is referenced by atdb.h. The following definitions are found in libatdb.a.

new_atdb_str() - [atdb_free_str()]l - Allocate a new [free existing] atdb string object.
Synopsis: pATDB_STRJ atdb_new_str();
            void atdb_free_str(pATDB_STR_t st);

Example:
            pATDB_STRJ st;
            st = atdb_new_str();
            free_atdb_str(st);

The string object (st) is used to dynamically manage thread safe string parameters. It is used in the atdb_getenv() and atdb_err() functions. The string is stable until the next call that uses the object.

atdb_getenv - get environment variable
Synopsis: char *atdb_getenv(const char *tag , pATDB_STR_t st)

The environment variable of interest is supplied (tag) and is not modified (assumed constant). If found, a new string is allocated that is large enough to hold the assigned value and added to the ATDB_STR_t object. The char * part of this object is the return value of this function. This string (returned value) is stable until the next call to a function using the ATDB_STR_t object or until atdb_free_str is called.

atdb_err - create error string from error code and function number.
Synopsis: char *atdb_err(pFPROPS_t fp, pATDB_STRJ st)

The return value is a printable string of the form:
            <function> : <error string> at row <n>

atdb_open - Open a existing ATDB database
Synopsis: pATDB_OBJ_t atdb_open(ctnr *dbname, U32 how)
atdb_open establishes a connection to an existing database given the handle file name (dbname). The "how" parameter will be used to establish remote connections in future versions of this routine.

On success, the routine returns a database object (opaque void *) value.
On failure, a NULL is returned and errno is set to indicate the system error code.

atdb_getenv may be used to pass the handle name into atdb_open.
PATDB_STRJ st;
PATDB_OBJJ db;

st = atdb_new__str();
db = atdb_open(atdb_getenv("ATDB_HANDLE",0));

atdb_close - disconnect from an open database
Synopsis: atdb_close(pATDB_OBJJ db)
Disconnect from the database (db) and free the memory used by the object.

atdb_new_field — establish a connection to a field (column)
Synopsis: pFPROPSJ atdb_new_field(pATDB_OBJJ db, char *fname)
atdb_new_field() allocates a FPROPS_t structure and initializes it for use by other database access routines.

Returns: a newly allocated and initialized FPROPS_t structure pointer.

On error atdb_new_field() returns NULL.

atdb_new_field_unit - establish a connect to a field (column) given unit number
Synopsis: pFPROPSJ atdb_new_field_unit(pATDB_OBJJ db, 132 unit)
Like atdb_new_field() but requires a unit number rather than a field name.

atdb_free_fJ() - free memory used by the FPROPS_t structure
Synopsis: void atdb_free_fJ(pFPROPSJ J)
Frees all memory allocated for the FPROPSJ; structure.

atdb_insert—insert into linked list field
Synopsis: 132 atdb_ll_msert(pFPROPSJ J)
The data buffer must be supplied.

If the format is variable width, the width parameter must be supplied.

For Linked List field types, the owner row (orow) is required.
If row = 0, the element is added at the beginning of the list. If row = -1, the element is added at the end of the list. Otherwise the element is added after the given row.

atdb_delete - delete from key or linked list field
Synopsis: 132 atdb_delete(pFPROPSJ J fp)
Deletes element from field (column). For Key field types, the data buffer must be supplied. For Linked List field types, the row and owner row (orow) must be supplied.

atdb_find - Search for data in Key field
Synopsis: 132 atdb_find(pFPROPSJ J fp)
A fast search is performed using Key field index. The data buffer is required. If the format is variable width, the width parameter (fp->width) must be supplied.

If the datum is not found, fp->row points to the row that is (lexically) next larger than the supplied buffer.

atdb_get - read element from column given row
Synopsis: 132 atdb_get(pFPROPSJ J fp)
Retrieve element from column given the row. For variable width format fields, the width is returned and might be 0 (NULL).

atdb_put - copy data into field
Synopsis: 132 atdb_put(pFPROPSJ J)
Copy the given datum (fp->buf) at the given row (fp->row). If format is variable width, the width parameter is required and may be 0 (NULL).
atdbjnext - traverse field
Synopsis: 132 atdbjnext(pFPROPS_t fp)

The list is initialized by setting row (fp->row) to 0.

For Key types, the list is traversed in ascending (low to high) sort order.

For Linked List types, the list is traversed in FIFO (first in - first out) order.

atdb_pre - traverse field
Synopsis: 132 atdb_jll_pre(pFPROPS_t fp)

The list is initialized by setting row to 0.

For Key types, the list is traversed in descending (high to low) sort order.

For Linked List types, the list is traversed in LIFO (last in - first out) order.

atdb_inuse - report rows used in linked list or key field
Synopsis: 132 atdbJMnuse(pFPROPSJ)

atdb_type — return the type of field
Synopsis: 132 atdb_type( pPROPSJ )

atdb_fmt - return the format of the field
Synopsis: 132 atdb_fmt( pFPROPSJ )

atdb_width - return the width of the field
Synopsis: 132 atdb_width( pFPROPSJ ;)

Note: For variable width fields, 0 is returned.

atdb_depth - return the depth of the field
Synopsis: 164 atdb_depth( pFPROPS_t)
Note: returns a 64-bit depth.

atdb_lock - lock a column
Synopsis: 132 atdb_lock( pFPROPSJ, U32 how)
Lock a field for reading (find, next, pre, get) (how = ATDB_READLOCK) or writing (insert, delete, put) (how = ATDB_WRITELOCK). The field remains locked untill atdb_unlock() is called.

Only one field may be locked at a time using this routine. Any attempt to lock another field will fail. If more than one field must be locked, use atdb_multilock().

atdb_unlock - Remove lock from field
Synopsis: 132 atdb_unlock( pFPROPS_t)

atdb_new_multi_lock - allocate a new multilock object.
Synopsis: pMULTI_LOCK_t atdb_new_multi_lock(pATDB_OBJ_t db) ;

atdb_multi_lock_prepare - Add field to multilock object
Synopsis: 132 atdb_multi_lock_prepare(pMULTI_LOCKJ ml,pFPROPS_t fp,U32 opt)

This routine allows many fields to be simultaneously locked. The first call to this routine must supply a NULL MULTI_LOCK_t pointer. The field object is required. The option parameter (opt) is either ATDB_READLOCK or ATDB_WRITELOCK. The actual lock is performed by atdb_multi_lock()

atdb_multi_lock - Lock an array of fields for reading and/or writing
Synopsis: 132 atdb_multi_lock(pMULTI_LOCK_t ml)
This routine locks all of the fields associated with the MULTI_LOCK_t object (ml). Deadlocks are avoided by locking all fields in sort order. If a collision (locked field) occurs, this routine will wait (hang) until the collision is removed (unlocked).

**atdb_multi_unlock** - Unlock all fields in the MULTI_LOCK object.
Synopsis: `132 atdb_multi_unlock(pMULTI_LOCK_t ml)`

**atdb_free_multi_lock** - Free multi lock object
void atdb_free_multi_lock(pMULTI_LOCK_t ml);

Utility Library Routines

**randx** - Random number generator (thread safe)
Synopsis: `void *new_randx(int seed) ;
U32 randx(void *rn) ;
void free_randx(void *rn) ;`

randx generates a pseudo random unsigned 32-bit integers.

**xtimer** - Measure time interval in microseconds.
Synopsis: `void *new_xtimer() ;
void reset_xtimer(void *tm) ;
U32 xtimer(void *tm) ;
free_xtimer(void *tm) ;`

xtimer returns the number of microseconds since the last reset_xtimer() call.
Claims

We claim:

1. A system for resolving addresses of information stored in a network database, wherein the database is comprised of a plurality of tables of information, each table containing rows of a data element, comprising:
   - A plurality of sets of pages, each set comprising:
     - one or more pages,
     - each of said pages being capable of storing the same number of rows;
   - wherein the maximum number of rows of information storable in each page of each set is greater for each successive set of pages.

2. The system of claim 1 wherein the maximum number of rows storable in each page of each successive set of pages is twice the number of maximum number of rows storable in each page of the preceding set of pages.

3. The system of claim 1 wherein the maximum number of rows storable in each page is a factor of two.

4. The system of claim 1 wherein number of pages for a data element is proportional to the log of the maximum number of rows capable of storing all of the data elements.

5. The system of claim 1 wherein the maximum number of rows capable of being stored in a page is a function of the page number.

6. The system of claim 1 wherein the function for calculating the row is based on the following steps:
   $$sh = \text{bsrl}( \text{row} \:\|\: (1 \ll (\text{bsh} + 2)) ) - 2;$$
   $$\text{mask} = (1 \ll sh) - 1;$$
\[ \text{pg} = (\text{row} \gg \text{sh}) + ((\text{sh} - \text{bsh}) \ll 2) \]

Wherein:

- \( \text{Bsh} \) is the base shift (the log base 2 of the number of rows in the first page of memory allocated for the table or column))
- \( \text{Row} \) is number of the target row number; and
- \( \text{Bsrl} \) is the Barrel Shift Right Logical function.

7. The system of claim 1 further comprising electronic memory in which network database is stored.

8. The system of claim 1 wherein all pages for a specific table of information are not in a contiguous memory space.

9. A system for resolving addresses of a row of information stored in a database, wherein the database is comprised of a plurality of tables of information, each table containing rows of information, comprising:

- a plurality of pages
  - each page storing rows of data elements;
  - at least one of the pages being in memory space discontiguous from the other pages storing the same type of data elements;
- a page table comprising:
  - a plurality of page numbers and
  - a memory address for the beginning memory location of the page associated with each page number;
- an indicator of the number of rows of data elements stored in the first page;
- an indicator of the width of each row in the table;
- means for receiving a desired row number;
- means for calculating the beginning memory location of the page containing the desired row of information from the following inputs:
  - the page table;
o the desired row number,
o the indicator of the number of rows of data elements stored in the first page, and
o the indicator of the width of each row in the table;
• wherein the means for calculating includes the execution of a fixed number of computer
instructions regardless of the values of the inputs.

10. The system of claim 9 wherein the maximum number of rows capable of being stored
in a page is different for two different pages that store the same type of data element(s).

11. The system of claim 9 wherein:
• the plurality of pages are comprised of multiple page sets; and
• the maximum number of rows of information storable in each page of each set is greater for
each successive set of pages.

12. The system of claim 9 further comprising:
• a plurality of tables of information, each table containing rows of information.

13. The system of claim 9 wherein the computer instructions executed during the means
for calculating steps are selected from the follow instruction set: AND, OR, shift, ADD, mask and
integer multiplication.

14. The system of claim 13 wherein the means for calculating includes the following
steps:

\[
\begin{align*}
sh &= \text{bsrl} (\text{row} \mid (1 \ll (\text{bsh}+2))) - 2; \\
mask &= (1 \ll sh) - 1; \\
pg &= (\text{row} \gg sh) + ((sh - \text{bsh}) \ll 2); \\
\text{Address} &= \text{pagetable}[pg] + (\text{row} \& \text{mask}) \times \text{width};
\end{align*}
\]

Wherein:

o Bsh is the base shift (the log base 2 of the number of rows in the first page of
memory allocated for the table or column))

o Row is number of the target row number
Bsr is the Barrel Shift Right Logical function

Width is the number of bytes of a row in the table / column

Pagetable is an array associating the number of each page with a beginning memory address of the page.

15. A system for storing database information, comprising:

- a plurality of pages capable of storing database information
  - for each page, a master page table comprising:
    - a page table version number
    - a plurality of page identifiers and
    - a physical memory address for the beginning memory location of the page associated with each page identifier;
  - means for providing an application with a copy of the master page table;
  - means for updating the master page table version number;
  - means for comparing the page table version numbers of the master page table and the copy stored by the application, and if they are different, replacing the copy stored by the application by the master page table.

16. The system of claim 15 further comprising a network database.

17. The system wherein of claim 15 wherein at least some of the pages:

- contain the same data element, and
- are grouped into sets of pages, and
- the maximum number of rows of information storable in each page of each set is greater for each successive set of pages.
<table>
<thead>
<tr>
<th>Section</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Database Header</td>
<td></td>
</tr>
<tr>
<td>Reserved FCPs</td>
<td>(32 entries)</td>
</tr>
<tr>
<td>User FCPs</td>
<td>(initial pages)</td>
</tr>
<tr>
<td>Heap</td>
<td>(initial data pages)</td>
</tr>
<tr>
<td></td>
<td>(initial index pages)</td>
</tr>
<tr>
<td>Data Dictionary Names</td>
<td>(initial data pages)</td>
</tr>
<tr>
<td></td>
<td>(initial index pages)</td>
</tr>
<tr>
<td>Owns list</td>
<td>(initial pages)</td>
</tr>
<tr>
<td>Points list</td>
<td>(initial pages)</td>
</tr>
<tr>
<td>Free space</td>
<td>managed by the Heap</td>
</tr>
</tbody>
</table>