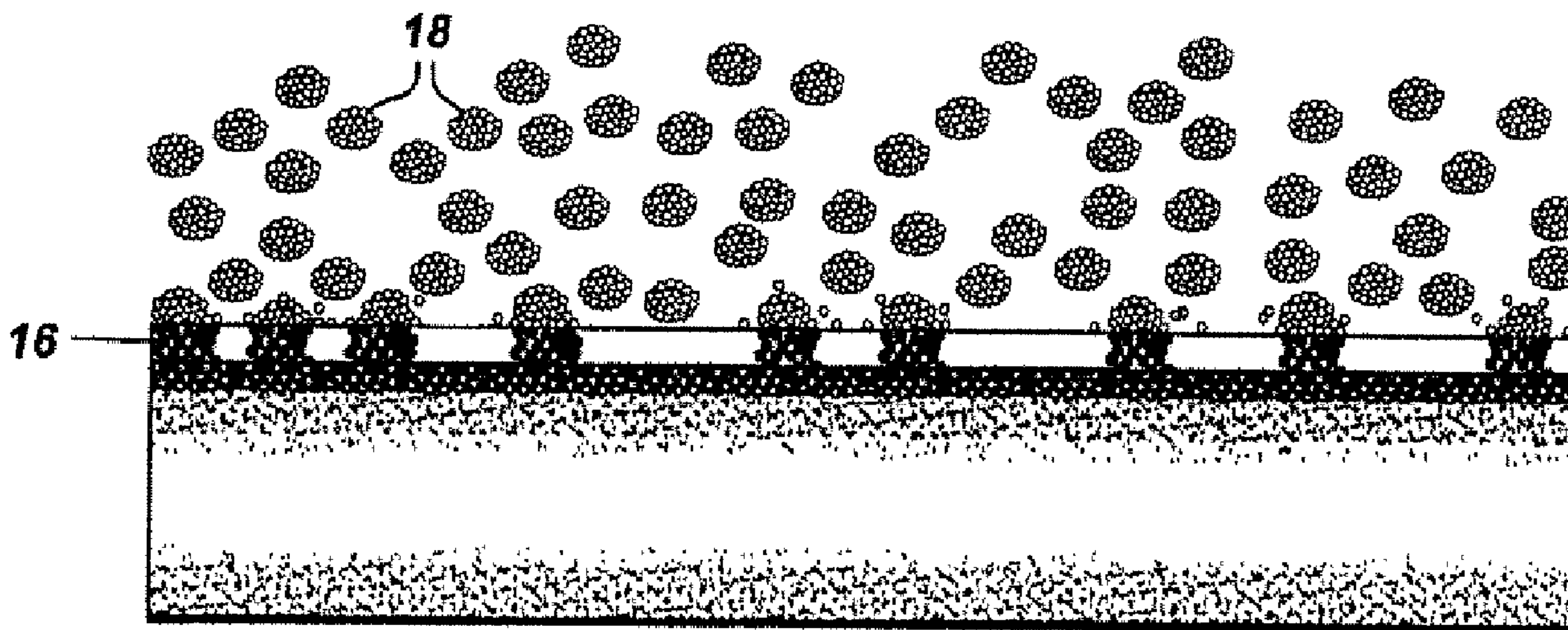




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Medical devices implanted or otherwise used in a mammal are made less prone to trigger adverse reactions by use of gas cluster ion-beam (GCIB) surface modification adhere various drug molecules directly into or onto the surface of medical devices, and optionally building adhered drug layers upon the first adhered layer to obtain a desired drug elution profile. This is accomplished without the need for a polymer or any other binding agent to retain the drug.

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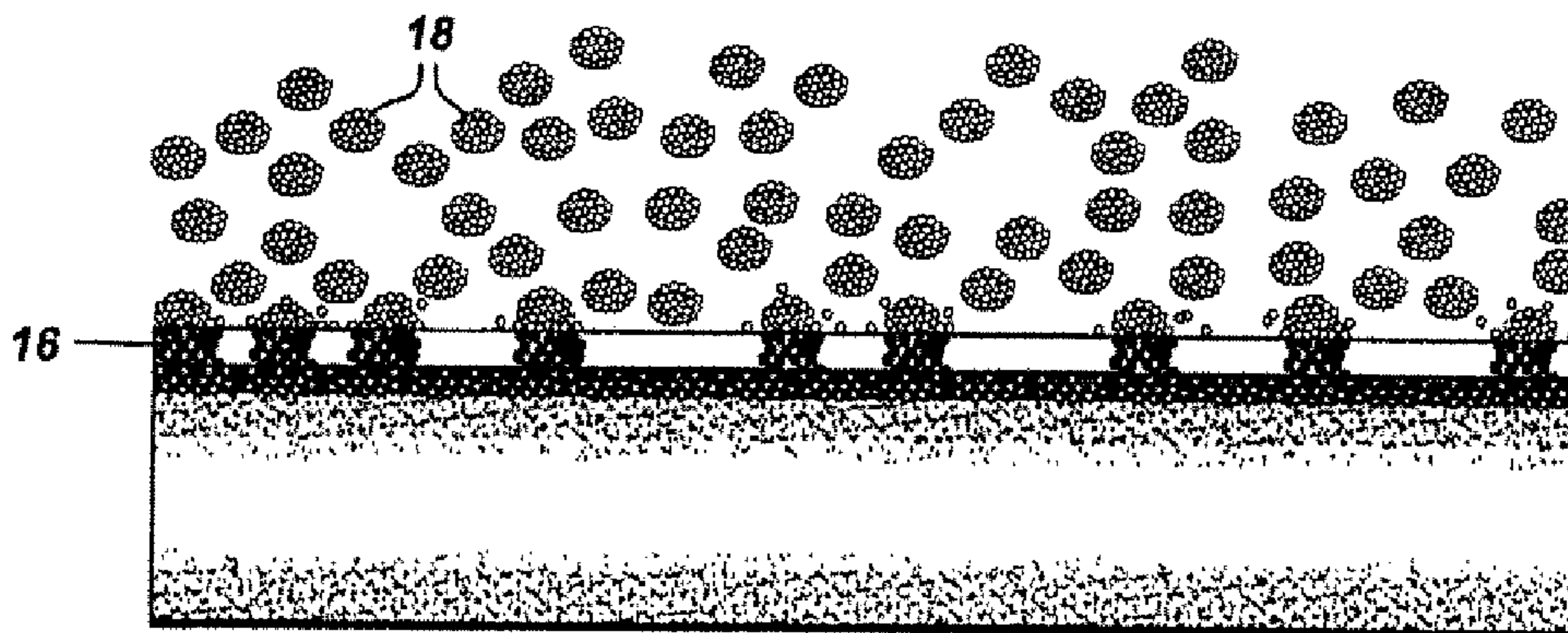
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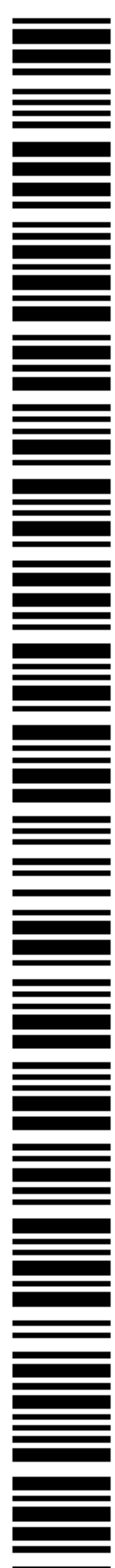
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## (54) Title: DRUG DELIVERY SYSTEM AND METHOD OF MANUFACTURING THEREOF



(57) Abstract: Medical devices implanted or otherwise used in a mammal are made less prone to trigger adverse reactions by use of gas cluster ion-beam (GCIB) surface modification adhere various drug molecules directly into or onto the surface of medical devices, and optionally building adhered drug layers upon the first adhered layer to obtain a desired drug elution profile. This is accomplished without the need for a polymer or any other binding agent to retain the drug.



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## DRUG DELIVERY SYSTEM AND METHOD OF MANUFACTURING THEREOF

### Field of the Invention

5           This invention relates generally to drug delivery systems such as, for example, medical devices implantable in a mammal (e.g., coronary stents, prostheses, etc.), and more specifically to a method and system for applying and adhering drugs to the surface of medical devices and for controlling the surface characteristics of such drug delivery systems such as, for example, the drug release rate and bio-reactivity, using gas cluster ion  
10 beam technology in a manner that permits efficacious release of the drugs from the surface over time.

### Background of the Invention

          A coronary stent is an implantable medical device that is used in combination with  
15 balloon angioplasty. Balloon angioplasty is a procedure used to treat coronary atherosclerosis. Balloon angioplasty compresses built-up plaque against the walls of the blocked artery by the inflation of a balloon at the tip of a catheter inserted into the artery during the angioplasty procedure. Unfortunately, the body's response to this procedure often includes thrombosis or blood clotting and the formation of scar tissue or other  
20 trauma-induced tissue reactions at the treatment site. Statistics show that restenosis or re-narrowing of the artery by scar tissue after balloon angioplasty occurs in up to 35 percent of the treated patients within only six months after these procedures, leading to severe complications in many patients.

          To reduce restenosis, cardiologists are now often placing small tubular devices of  
25 various forms, such as wire mesh; expandable metal; and non-degradable and biodegradable polymers called a coronary stent at the site of blockage during balloon angioplasty. The goal is to have the stent act as a scaffold to keep the coronary artery open after the removal of the balloon.

          However, there are also serious complications associated with the use of coronary  
30 stents. Coronary restenotic complications associated with stents occur in 16 to 22 percent

of all cases within six months after insertion of the stent and are believed to be caused by many factors acting alone or in combination. These complications could be reduced by several types of drugs introduced locally at the site of stent implantation. Because of the substantial financial costs associated with treating the complications of restenosis, such as catheterization, restenting, intensive care, etc., a reduction in restenosis rates would save money and reduce patient suffering.

Numerous studies suggest that the current popular designs of coronary stents are functionally equivalent. Although the use of coronary stents is growing, the benefits of their use remain controversial in certain clinical situations or indications due to their potential complications. It is widely held that during the process of expanding the stent, damage occurs to the endothelial lining of the blood vessel triggering a healing response that re-occludes the artery. To help combat that phenomenon, drug-coated stents are being introduced to the market to help control the abnormal cell growth associated with this healing response. These drugs are typically mixed with a liquid polymer and applied to the stent surface. When implanted, the drug elutes out of the polymer in time, releasing the medicine into the surrounding tissue. There remain a number of problems associated with this technology. Because the stent is expanded at the diseased site, the polymeric material has a tendency to crack and sometimes delaminate from the stent surface. These polymer flakes can travel throughout the cardio-vascular system and cause significant damage. There is some evidence to suggest that the polymers themselves cause a toxic reaction in the body. Additionally, because of the thickness of the coating necessary to carry the required amount of medicine, the stents can become somewhat rigid making expansion difficult. In other prior art stents, the wire mesh of the stent itself is impregnated with one or more drugs through processes such as high pressure loading, spraying, and dipping. However, loading, spraying and dipping do not satisfactorily adhere the drug to the stent surface and therefore, in many instances, do not yield the optimal, time-release dosage of the drugs delivered to the surrounding tissue. The polymer coating can include several layers such as the above drug containing layer as well as a drug free encapsulating layer, which can help to reduce the initial drug release amount caused by initial exposure to liquids when the device is first implanted. A further base coating of polymer located beneath the drug bearing layer is also known. One example of this arrangement used on stainless steel stents includes a base layer of Paralene C. and a drug/polymer mixture

including polyethylene-co-vinyl acetate (PEVA) and poly n-butyl methacrylate (PBMA) in a two to one ratio, along with an non-drug impregnated top layer of the same mixture of PEVA and PBMA. The drug used is Sirolimus, a relatively new immunosuppressant drug also known as Rapamycin. Several other drug/polymer combinations exist from several  
5 manufactures.

In view of this new approach to in situ drug delivery, it is desirable to have greater control over the drug release rate from the implantable device as well as control over other surface characteristics of the drug delivery medium.

It is therefore an object of this invention to provide a means of applying and  
10 adhering drugs to medical devices using gas cluster ion beam technology.

It is a further object of this invention to apply drugs to medical stents by gas cluster ion beams to decrease the complication of restenosis and thrombosis.

It is a further object of this invention to provide a means for controlling surface characteristics of a drug eluting material using gas cluster ion beam technology.

15 It is a further object of this invention to transform the surfaces of medical devices into drug delivery systems by applying and adhering drugs to the surfaces with gas cluster ion beams so as to facilitate a timed release of the drug(s) from the surfaces.

It is a further object of this invention to improve the functional characteristics of known in said to drug release mechanisms using gas cluster ion beam technology.

20

#### Summary of the Invention

The objects set forth above as well as further and other objects and advantages of the present invention are achieved by the invention described herein below.

25 The present invention is directed to the use of gas cluster ion-beam (GCIB) surface modification to implant, apply, or adhere various drug molecules directly into or onto the surface of a stent or other medical device, thereby eliminating the need for a polymer or any other binding agent and transforming the medical device surface into a drug delivery system. This will prevent the problem of toxicity and the damage caused by transportation of delaminated polymeric material throughout the body. Unlike the prior art stents  
30 described above that load the stent material itself, the present invention provides the

ability to adhere for time-release an optimal dosage of the drug or drugs.

The application of the drug(s) is achieved through the use of GCIB technology. The application of the drug(s) is accomplished by several methods:

5 The surface of the medical device, which may be composed, for example, of a polymer, metal or any other material, is optionally first processed using a GCIB which will remove any contaminants and oxide layers from the surface rendering the surface electrically active and creating dangling bonds. The desired drug will then be deposited upon the active surface and will bond with the dangling bonds.

10 A second method for producing a drug delivery system involves depositing a layer of one or more drug substances onto at least one surface region of a medical device (which may or may not have been pre-processed with a GCIB) in liquid, powder or other form, perhaps through sublimating the drug, and then impacting the deposited drug layer with an energetic GCIB so as to form an adhered drug layer. The GCIB dose creates a carbonized drug matrix including a plurality of interstices through which non-carbonized  
15 drug will diffuse or elute over time. If the deposited drug layer is suitably thin, some GCIB clusters may penetrate through the deposited drug layer and reach the surface of the medical device, such that the adhered drug layer may include some portion of the deposited drug molecules implanted sub-surface in the form of a mechanical bond. If the deposited drug layer has a thickness above a threshold thickness (for a particular GCIB  
20 dose), however, the carbonized drug matrix will not be "stitched" to the surface of the medical device. Rather, the carbonized matrix will be formed over the remaining non-carbonized, mobile volume of the deposited drug. In one stent embodiment, for example, a ring-like, carbonized drug matrix is formed concentrically about a layer of non-carbonized, deposited drug which, in turn, is disposed about the stent on the stent surface,  
25 with little to no portion of the carbonized matrix directly stitched to the stent surface.

In multi-layered embodiments of the invention, subsequent drug layers may be comprised of identical, similar or distinct drug substances. Additionally, identical, similar or different drug deposition techniques than those used to deposit preceding layers may be employed. Controlled variations in the GCIB characteristics and dosing delivered to  
30 different layers (and between spatially distinct regions of a single layer) may also be employed. Substantially similar GCIB doses delivered to substantially similar drug substances will result in similar drug elution profiles, while different doses can achieve

distinct inter-layer elution profiles. Judicious selection of drug substance(s), and control over the deposition technique and GCIB dosing permits formation of a drug delivery system comprised of multiple, adhered drug layers each having similar or differing drug elution profiles which, in preferred embodiments of the invention, cooperate to achieve at least one overall drug elution profile. For example, the elution profiles of individual layers may be designed such that, as drug is diffused from the outermost adhered drug layer, it is replenished by drug(s) eluting from lower adhered layers.

A number of techniques may be employed to deposit the drug substance(s) onto the medical device surface, or one or more spatially distinct regions thereof. If the drug is to be deposited in liquid form, techniques such as dipping, spraying, vapor phase deposition, and ultrasonic atomization may be utilized. Alternatively, if the drug is in powder form, it may be electrostatically deposited onto the medical device surface or deposited by sublimation, and then GCIB irradiated in the same manner described above.

Any of the methods described may optionally include an irradiation step prior to drug deposition to obtain a smoother surface, which will help reduce non-uniform thickness in the adhered drug layer(s).

The application of drugs via GCIB surface modification such as described above will reduce complications, lead to genuine cost savings and an improvement in patient quality of life, and overcome prior problems of thrombosis and restenosis. Preferred therapeutic agents for delivery in the drug delivery systems of the present invention include anti-coagulants, antibiotics, immunosuppressant agents, vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents, anti-tumor medications and combinations thereof.

In one embodiment, a drug delivery system, comprises a member including a combination of a drug substance and a polymer or other material, and an encapsulating layer formed in an outer surface of the member by gas cluster ion beam irradiation of the outer surface of the member, which encapsulating layer is adapted to determine a release rate for the drug from the member.

The encapsulating layer may include a plurality of openings located at an outer surface of the encapsulating layer and adapted to permit amounts of the drug substance to be released from the member at a rate determined by the encapsulating layer. The

encapsulating layer may include a carbonized or densified matrix. The encapsulating layer may be adapted to improve a measure of biocompatibility of the member.

The member may be located on a surface of a medical device. The drug substance may be selected from the group consisting of anti-coagulants, antibiotics, anti-tumor  
5 substances, immune-suppressing agents, vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.

A medical device may include the drug delivery system described above.

In another embodiment, a drug delivery system comprises a cohesive mixture including a combination of a drug substance and a polymer or other material, and a  
10 carbonized or densified matrix formed on an outer surface of the cohesive mixture, which carbonized or densified matrix is adapted to determine a release rate for the drug substance from the cohesive mixture.

In yet another embodiment, a method for producing a drug delivery system, comprises the steps of providing a member including a combination of a drug substance  
15 and a polymer or other material, and irradiating an outer surface of the member with a gas cluster ion beam to determine a release rate for the drug substance from the member.

The step of providing a member may include forming a cohesive mixture of the drug substance and the polymer or other material on a surface of a medical device. The step of irradiating may include forming an encapsulating layer on at least an external  
20 surface of the member, which encapsulating layer is adapted to control release of the drug substance from the member. The encapsulating layer may include a plurality of openings at an outer surface of the encapsulating layer so as to permit portions of the drug substance to be released from the member at a rate determined by the encapsulating layer. The encapsulating layer may include a carbonized or densified matrix.

25 The step of providing a member may include the steps of providing a polymer element and adhering a drug substance to an outer surface of the polymer element. The step of providing a polymer element may include the step of irradiating the outer surface of the polymer element with a gas cluster ion beam prior to the step of adhering. The step of irradiating may be adapted to lower in situ chemical reactivity of the external surface of  
30 the cohesive mixture. The drug substance may be selected from the group consisting of anti-coagulants, antibiotics, anti-tumor substances, immune-suppressing agents,

vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.

#### Brief Description of the Drawings

5 For a better understanding of the present invention, together with other and further objects thereof, reference is made to the accompanying drawings, wherein:

FIG. 1 is a schematic view of a gas cluster ion beam processing system used for practicing the method of the present invention;

10 FIG. 2 is an exploded view of a portion of the gas cluster ion beam processing system of FIG. 1 showing the workpiece holder;

FIG. 3 is an atomic force microscope image showing the surface of a coronary stent before GCIB processing;

FIG. 4 is an atomic force microscope image showing the surface of a coronary stent after GCIB processing;

15 FIGS. 5A-5H are illustrations of a surface region of a medical device at various stages of drug delivery system formation in accordance with an embodiment of the present invention;

FIGS. 6A-6B are illustrations of alternative drug delivery structure embodiments in accordance with the present invention;

20 FIG. 7A is a graph showing the release rate of fluorescence over time;

FIG. 7B is a graph showing the cumulative release rate of fluorescence over time;

FIG. 8 is a graph showing comparative drug elution rate test results for a conventional drug-coated stent and a stent processed in accordance with the present invention.

25 FIG. 9 is a cross section of a drug delivery system prior to processing in accordance with another embodiment of the present invention; and

FIG. 10 is a cross section of the drug delivery system of FIG. 9 shown during gas cluster ion beam processing performed in accordance with the present invention;

### Detailed Description of the Drawings

Beams of energetic ions, electrically charged atoms or molecules accelerated through high voltages under vacuum, are widely utilized to form semiconductor device junctions, to smooth surfaces by sputtering, and to enhance the properties of  
5 semiconductor thin films. In the present invention, these same beams of energetic ions are utilized for the applying and adhering drugs to a surface and for affecting surface characteristics of drug eluting medical devices, such as, for example, coronary stents, thereby converting the surface into a drug delivery system with enhanced drug delivery properties and bio-compatibility.

10 In the preferred embodiment of the present invention, gas cluster ion beam GCIB processing is utilized. Gas cluster ions are formed from large numbers of weakly bound atoms or molecules sharing common electrical charges and accelerated together through high voltages to have high total energies. Cluster ions disintegrate upon impact and the total energy of the cluster is shared among the constituent atoms. Because of this energy  
15 sharing, the atoms are individually much less energetic than the case of conventional ions or ions not clustered together and, as a result, the atoms penetrate to much shorter depths. Surface sputtering effects are orders of magnitude stronger than corresponding effects produced by conventional ions, thereby making important microscale surface effects possible that are not possible in any other way.

20 The concept of GCIB processing has only emerged over the past decade. Using a GCIB for dry etching, cleaning, and smoothing of materials is known in the art and has been described, for example, by Deguchi, *et al.* in U.S. Pat. No. 5,814,194, "Substrate Surface Treatment Method", 1998. Because ionized clusters containing on the order of thousands of gas atoms or molecules may be formed and accelerated to modest energies  
25 on the order of a few thousands of electron volts, individual atoms or molecules in the clusters may each only have an average energy on the order of a few electron volts. It is known from the teachings of Yamada in, for example, U.S. Pat. No. 5,459,326, that such individual atoms are not energetic enough to significantly penetrate a surface to cause the residual sub-surface damage typically associated with plasma polishing. Nevertheless, the  
30 clusters themselves are sufficiently energetic (some thousands of electron volts) to effectively etch, smooth, or clean hard surfaces.

Because the energies of individual atoms within a gas cluster ion are very small, typically a few eV, the atoms penetrate through only a few atomic layers, at most, of a target surface during impact. This shallow penetration of the impacting atoms means all of the energy carried by the entire cluster ion is consequently dissipated in an extremely  
5 small volume in the top surface layer during a period on the order of  $10^{-12}$  seconds (i.e. one picosecond). This is different from the case of ion implantation which is normally done with conventional monomer ions and where the intent is to penetrate into the material, sometimes penetrating several thousand angstroms, to produce changes in the surface properties of the material. Because of the high total energy of the cluster ion and  
10 extremely small interaction volume, the deposited energy density at the impact site is far greater than in the case of bombardment by conventional monomer ions.

Reference is now made to FIG. 1 of the drawings which shows the GCIB processor 100 of this invention utilized for applying or adhering drugs to the surface of a medical device such as, for example, coronary stent 10. Although not limited to the specific  
15 components described herein, the processor 100 is made up of a vacuum vessel 102 which is divided into three communicating chambers, a source chamber 104, an ionization/acceleration chamber 106, and a processing chamber 108 which includes therein a uniquely designed workpiece holder 150 capable of positioning the medical device for uniform GCIB bombardment and drug application by a gas cluster ion beam.

20 During the processing method of this invention, the three chambers are evacuated to suitable operating pressures by vacuum pumping systems 146a, 146b, and 146c, respectively. A condensable source gas 112 (for example argon or  $N_2$ ) stored in a cylinder 111 is admitted under pressure through gas metering valve 113 and gas feed tube 114 into stagnation chamber 116 and is ejected into the substantially lower pressure vacuum  
25 through a properly shaped nozzle 110, resulting in a supersonic gas jet 118. Cooling, which results from the expansion in the jet, causes a portion of the gas jet 118 to condense into clusters, each consisting of from several to several thousand weakly bound atoms or molecules. A gas skimmer aperture 120 partially separates the gas molecules that have not condensed into a cluster jet from the cluster jet so as to minimize pressure in the  
30 downstream regions where such higher pressures would be detrimental (e.g., ionizer 122, high voltage electrodes 126, and process chamber 108). Suitable condensable source gases 112 include, but are not necessarily limited to argon, nitrogen, carbon dioxide, oxygen.

After the supersonic gas jet 118 containing gas clusters has been formed, the clusters are ionized in an ionizer 122. The ionizer 122 is typically an electron impact ionizer that produces thermo-electrons from one or more incandescent filaments 124 and accelerates and directs the electrons causing them to collide with the gas clusters in the gas jet 118, where the jet passes through the ionizer 122. The electron impact ejects electrons from the clusters, causing a portion the clusters to become positively ionized. A set of suitably biased high voltage electrodes 126 extracts the cluster ions from the ionizer 122, forming a beam, then accelerates the cluster ions to a desired energy (typically from 1 keV to several tens of keV) and focuses them to form a GCIB 128 having an initial trajectory 154. Filament power supply 136 provides voltage  $V_F$  to heat the ionizer filament 124. Anode power supply 134 provides voltage  $V_A$  to accelerate thermoelectrons emitted from filament 124 to cause them to bombard the cluster containing gas jet 118 to produce ions. Extraction power supply 138 provides voltage  $V_E$  to bias a high voltage electrode to extract ions from the ionizing region of ionizer 122 and to form a GCIB 128. Accelerator power supply 140 provides voltage  $V_{Acc}$  to bias a high voltage electrode with respect to the ionizer 122 so as to result in a total GCIB acceleration energy equal to  $V_{Acc}$  electron volts (eV). One or more lens power supplies (142 and 144, for example) may be provided to bias high voltage electrodes with potentials ( $V_{L1}$  and  $V_{L2}$  for example) to focus the GCIB 128.

A medical device, such as coronary stent 10, to be processed by the GCIB processor 100 is held on a workpiece holder 150, and disposed in the path of the GCIB 128 for irradiation. The present invention may be utilized with medical devices composed of a variety of materials, such as metal, ceramic, polymer, or combinations thereof. In order for the stent to be uniformly processed using GCIB, the workpiece holder 150 is designed in a manner set forth below to manipulate the stent 10 in a specific way.

Referring now to FIG. 2 of the drawings, medical device surfaces that are non-planar, such as those of stents, must remain oriented within a specific angle tolerance with respect to the normal beam incidence to obtain paramount effect to the stent surfaces utilizing GCIB. This requires a fixture or workpiece holder 150 with the ability to be fully articulated to orient all non-planar surfaces of stent 10 to be modified within that specific angle tolerance at a constant exposure level for process optimization and uniformity. Any stent 10 containing surfaces that would be exposed to the process beam at angles of greater

than +/-15 degrees from normal incidence may require manipulation. More specifically, when applying GCIB to a coronary stent 10, the workpiece holder 150 is rotated and articulated by a mechanism 152 located at the end of the GCIB processor 100. The articulation/rotation mechanism 152 preferably permits 360 degrees of device rotation  
5 about longitudinal axis 154 and sufficient device articulation about an axis 156 perpendicular to axis 154 to maintain the stent's surface to within +/-15 degrees from normal beam incidence.

Referring back to FIG. 1, under certain conditions, depending upon the size of the coronary stent 10, a scanning system may be desirable to produce uniform smoothness.  
10 Although not necessary for GCIB processing, two pairs of orthogonally oriented electrostatic scan plates 130 and 132 may be utilized to produce a raster or other scanning pattern over an extended processing area. When such beam scanning is performed, a scan generator 156 provides X-axis and Y-axis scanning signal voltages to the pairs of scan plates 130 and 132 through lead pairs 158 and 160 respectively. The scanning signal  
15 voltages are commonly triangular waves of different frequencies that cause the GCIB 128 to be converted into a scanned GCIB 148, which scans the entire surface of the stent 10. Additional means for orienting, articulating and/or rotating devices such as stents and orthopedic products are disclosed in U.S. Patent Nos. 6,491,800 to Kirkpatrick, *et al.*, 6,676,989 to Kirkpatrick, *et al.*, and 6,863,786 to Blinn, *et al.*, the contents of each which  
20 are hereby incorporated by reference.

When beam scanning over an extended region is not desired, processing is generally confined to a region that is defined by the diameter of the beam. The diameter of the beam at the stent's surface can be set by selecting the voltages ( $V_{L1}$  and/or  $V_{L2}$ ) of one or more lens power supplies (142 and 144 shown for example) to provide the desired beam  
25 diameter at the workpiece.

In one processing step related to the present invention, the surface of a medical device is irradiated with a GCIB prior to the deposition of any substance on the surface thereof. This will remove any contaminants and oxide layers from the stent surface rendering the surface electrically active and capable of attracting and bonding drug and  
30 polymer molecules that are then introduced to the surface. One or more types of drugs are deposited upon surface through vapor phase deposition or by introducing a liquid form of the drug onto the surface. In some instances, the liquid form of the drug is in solution with

a volatile solvent thereby requiring the solvent to be evaporated. As the formed mechanical bonds are broken over time, the drug is slowly released to the site of device implantation.

Studies have suggested that a wide variety of drugs may be useful at the site of contact between the medical device and the in vivo environment. For example, drugs such as anti-coagulants, anti-proliferics, antibiotics, immune-suppressing agents, vasodilators, anti-thrombotic substances, anti-platelet substances, and cholesterol reducing agents may reduce instances of restenosis when diffused into the blood vessel wall after insertion of the stent.

In another processing step, GCIB processing is utilized to impact a deposited drug layer (and the surface of the medical device if the deposited drug layer is thin enough to permit gas clusters penetration to the surface) with energetic clusters thus implanting and forming a mechanical bond between the surface and the deposited drug molecules; or to implant the drug molecules of the electrostatically coated or sublimated medicine in powder form to the stent surface in the same manner described above. The impact energy of the gas clusters causes a portion of the deposited drug molecules to form a carbonized drug matrix. As the carbon matrix is formed, the remaining (non-carbonized) drug molecules become embedded within the interstices of the matrix, and/or are encapsulated between the carbon matrix and the medical device surface. Over time, these drug molecules diffuse through the matrix and are released at the contact site between the stent and the blood vessel wall thereby continuously providing medication to the site.

As the atomic force microscope (AFM) images shown in FIGS. 3 and 4 demonstrate, it is possible to dramatically affect the medical device surface utilizing gas cluster ion beam processing. FIG. 3 shows a stent surface before GCIB treatment with gross surface micro-roughness on a strut edge. The surface roughness measured an  $R_a$  of 113 angstroms and an  $R_{RMS}$  of 148 angstroms. These irregularities highlight the surface condition at the cellular level where thrombosis begins. FIG. 4 shows the stent surface after GCIB processing where the surface micro-roughness has been eliminated without any measurable physical or structural change to the integrity of the stent itself. The post-GCIB surface roughness measured an  $R_a$  of 19 angstroms and an  $R_{RMS}$  of 25 angstroms. In this manner, GCIB processing also provides the added benefit of smoothing the surface of the medical device. Non-smooth surfaces may snare fibrinogen, platelets, and other matter

further promoting stenosis.

With reference to FIGS. 5A-5F, a method of producing a drug delivery system will now be described. FIG. 5A illustrates a surface region 12 of a medical device such as, for example, stent 10, that has been positioned in a vacuum chamber such that it can be irradiated with gas clusters 15 of a GCIB, as would occur in an optional smoothing process step. FIG. 6A illustrates an exemplary drug delivery structure in accordance with an embodiment of the present invention. Note that the drug delivery structure may cover all or less than the entirety of the exterior surface of stent 10. In the latter case, surface region 12 represents but one of a plurality of spatially distinct surface regions 12-14 of stent 10 upon which the drug delivery system is formed. Each of the distinct surface regions 12-14 may elute the same or similar type of drug, or completely distinct types of drugs. For ease in understanding, the description that follows focuses on the formation of the drug delivery structure at surface region 12 only.

FIG. 5B illustrates surface region 12 as being relatively smooth, following an optional surface preparation step through GCIB irradiation. As described above, such processing removes contaminants and electrically activates the surface region 12. FIG. 5C shows a drug layer 16, which may be deposited by any of the techniques described above, and which preferably has been deposited to have a substantially uniform thickness in the vicinity of region 12. A "deposited drug layer" is used herein to refer to a contiguous drug layer deposited over the entirety of the surface of the medical device, such as deposited drug layer 16, or alternatively may be used in a collective sense to refer to numerous spatially distinct deposits of the same or different therapeutic agents on the surface 12. In either case, the deposited drug layer is GCIB irradiated to form an adhered drug layer on the device surface from which a portion of the deposited agent will be released over time to a patient's tissue adjacent the medical device.

As the term is used herein, an "adhered drug layer" refers collectively to the post-GCIB irradiated layer comprised of at least one portion of non-carbonized deposited drug substance(s) and at least one carbonized matrix through which the deposited drug substance(s) is released at an expected rate. In embodiments described below, a drug delivery system comprised of multiple, adhered drug layers may subsequently be formed by repeatedly depositing additional layers of a selected drug substance onto a preceding adhered layer and irradiating the additional deposited drug layer with GCIB's. The

selection of drug substance types, the method for depositing the drug (including sublimation) onto the medical device surface, and the control over GCIB dosing permits the precise formation of adhered drug layers such that a desired drug release rate, or elution profile, may be achieved in a multi-layered system. Subsequently adhered drug layers will have very few to no direct bonds between the carbonized matrix associated with the subsequent layer and the surface of the medical device. Rather, such layers will be adhered to preceding drug matrix layers. And in certain embodiments, the carbonized drug matrix of even the first layer will not be bonded, or stitched, to the stent surface.

FIG. 5D illustrates the step of irradiating the first deposited drug layer 16 with GCIB gas clusters 18. This results in the formation of a first adhered drug layer 18, which is comprised of two primary components, such as shown in FIG. 5E. First adhered drug layer 18, and subsequently formed adhered drug layers, each include a carbonized drug matrix 20 having a plurality of interstices 22 in which will be disposed the remainder of the deposited drug that was not carbonized by the GCIB. Drug layer 18 is adhered to the surface region 12, and a portion of the non-carbonized drug will be released at an expected rate (characterized as an elution profile) from the adhered drug layer 18 by diffusion through the interstices 22 of the carbonized drug matrix 20. A number of the interstices 22 are interconnected, and a portion of the interstices are open at each surface of the drug matrix 20 so as to permit non-carbonized drug to eventually elute from a substantial number of the interstices 22 of the drug matrix 20.

FIGS. 5F-5H illustrate how the drug deposition and GCIB irradiation process steps may be repeated, generally, to achieve multi-layered drug delivery structures having variable and extremely accurate drug loading. More particularly, FIG. 5F illustrates a second drug layer 24 deposited upon the first adhered drug layer 18 using the same or an alternative deposition process. The second drug layer 24 is then irradiated (FIG. 5G) with GCIB gas clusters 26 delivering substantially similar dosing or different, depending upon desired elution profile. Similar GCIB irradiation doses delivered to substantially similar or identical therapeutic agents will result in substantially similar elution profiles between or among adhered layers. FIG. 5H illustrates a drug delivery system comprised of an adhered drug layer 28 that is further comprised of the first adhered drug layer 18 and a second adhered drug layer 30. As many repetitions of the drug deposition and GCIB irradiation steps as needed to attain an overall elution profile, or profiles (if multiple therapeutic

agents are utilized), may be performed. In one preferred embodiment, the first adhered drug layer 18 and second adhered drug layer 30 are similarly formed to have similar elution profiles, such that, as drug is released from the interstices 32 of layer 30, drug eluting from layer 18 into layer 30 replenishes the released drug. The adhered drug layers 5 18, 30 are not necessarily, however, comprised of the same drug substance(s).

Several alternative drug delivery systems in accordance with the present invention will now be described, with reference to FIGS. 6A-6B.

As noted above, multiple factors, including the thickness of the deposited drug layer, will determine whether GCIB gas clusters will penetrate a deposited drug layer so as to reach the surface onto which a new drug layer is to be adhered. FIG. 6A (and FIG. 5E) illustrates a drug delivery system 38 that is further comprised of spatially distinct adhered drug structures 34-36 formed when GCIB gas clusters penetrate a thinly deposited drug layer (*e.g.*, on the order of several to tens of Angstroms, or greater.) Note that some portion of the adhered drug structures 34-36 are bonded (or stitched) to associated, 15 spatially distinct surface regions 12-14. Formation of each of the adhered drug structures 34-36 may be accomplished nearly simultaneously or in separate processing routines. The therapeutic agent to be released from each of the adhered drug structures 34-36 is deposited at the associated spatially distinct surface region 12-14 and then GCIB irradiated. Again, the drug deposited at each surface region 12-14 is not necessarily the 20 same. Forming adhered drug structures on less than the entire surface of the medical device has the benefit of cost savings when an expensive drug is to be used. Also, certain drugs may only need to be delivered at particular locations, such as at a site of significant tissue interaction with an implanted medical device.

FIG. 6B illustrates an alternative embodiment of a drug delivery system, such as 25 may be formed when the GCIB does not penetrate the thickness of a drug layer deposited on the surface region 12 of the medical device 10. In such embodiments, a carbonized drug matrix 22 is still formed having interstices within which some portion of non-carbonized drug is disposed, and from which non-carbonized drug is released, however the drug matrix 22 does not extend to the surface 12 of the medical device 10. Rather, the carbonized matrix 22 encapsulates the remainder of deposited drug 24 that was not 30 carbonized by the GCIB (and not captured in the interstices), between the drug matrix 22 and the surface 12 of the device 10. As noted above, the expression "adhered drug layer"

as used herein refers collectively to the carbonized matrix 22, and the non-carbonized portions of the deposited drug, whether disposed in the interstices or encapsulated by the drug matrix 22 and the device surface.

Now turning to FIGS. 7A and 7B, elution rates for a substance adhered to a surface of a coronary stent using GCIB processing in accordance with one embodiment of the present invention is shown. To demonstrate the release rate of a molecule adhered to the surface in accordance with the present invention, the surface was irradiated and a fluorescent organic dye was vapor deposited onto the freshly irradiated surface while the surface remained in the vacuum chamber. The dye elution rate was measured by observing the fluorescence of the elute as a function of time. In FIG. 7A, the release rate is shown over time. In FIG. 7B, the cumulative release rate is shown over time.

FIG. 8 illustrates results of comparative elution rate testing performed on a conventional drug-coated stent and a stent upon which an exemplary drug delivery system has been formed utilizing GCIB irradiation in accordance with the present invention. Paclitaxol was selected as the test drug, which in the case of the non-GCIB processed stent was deposited, and for the GCIB processed stent was deposited by ultrasonic atomization prior to being irradiated with an Argon GCIB while rotating the stent between 3-5 RPM. The Paclitaxol was allowed to elute from the respective stents over time into a 4% Bovine Serum Albumin/Phosphate Buffered Saline solution, and the drug remaining on the stents was measured. As shown, significantly more drug remained loaded on the drug-adhered stent for a longer period of time than the conventional drug coated stent.

With reference to FIG. 9, a drug delivery system 110, which includes a drug containing medium 112 and an optional substrate or medical device 114, is shown prior to processing by the method of the present invention. Medical device 114 is only representational and may take any suitable form. Device 114 may include an implantable medical device such as a stent or any other medical device which may benefit from an in situ drug delivery mechanism. Optionally, the use of substrate or device 114 may be limited to the fabrication of drug containing medium 112, wherein substrate or device 114 is removed from medium 112 prior to implantation. Substrate or device 114 may be constructed of any suitable material such as, for example, metal, ceramic or a polymer. Portions of substrate or device 114 may also be surface treated using GCIB in accordance with the method mentioned above, prior to the application of drug/polymer medium 112.

Drug containing medium 112 may take any suitable form such as the various polymer arrangements discussed above. Medium 112 may include just a single layer of drug containing material, or it may include multiple layers 116, 118, 120, as described above. Although the existing art identifies the use of an outer layer to control initial drug  
5 release, the process of the present invention may be used with this known arrangement to further control surface characteristics of the medium, including the drug release rate after initial in situ liquid exposure. Drug medium 112 may be applied to device 114 in any suitable arrangement from just a portion to complete or almost complete enclosure of device 114.

10 One method of application of medium 112 to device 114 uses a drug polymer mixture with a volatile solvent, which is deposited upon a surface of device 114. The solvent is evaporated to leave a cohesive drug/polymer mixture in the form of medium 112, attached to the substrate. Once the solvent is evaporated, drug medium 112 may form a cohesive mixture or mass and thereby provide a suitable drug delivery system, even in  
15 the absence of device 114.

With reference to FIG. 10, the drug delivery system 110 is shown undergoing irradiation with a gas cluster ion beam. A stream 130 of gas cluster molecules is being scanned across the cross section of drug delivery device 110. The clusters 132 break up upon impact with the surface 134 resulting in the shallow implantation of individual or  
20 small groups of molecules 136. Most of the individual molecules 136 stop within the first couple of molecular levels of medium 112 with the result that most of a thin layer 138 at surface 134 is densified or carbonized by the impinging molecules. The sealing of surface 134 is not complete, as various openings 139 remain in surface 134 which openings allow for the elution of drugs from medium 112. Thus, it is through the amount of GCIB  
25 irradiation that the characteristics of surface 134 are determined. The greater the amount of irradiation, the fewer and smaller are the openings in surface 134, thereby slowing the release of drugs from medium 112. Also, this densification or carbonization of surface 134 causes pacification or sealing of surface 134, which can decrease the bio-reactivity of surface 134 in contact with living tissue. In the case of some polymer materials which  
30 may be used for medium 112, the densification or carbonization can limit the release of volatile organic compounds by the medium 112 into surrounding living tissue. Thus, the process of the present invention enhances the choices of materials which may be used to

construct medium 12 and can reduce risk factors associated with those material choices.

Studies have suggested that a wide variety of drugs may be useful at the site of contact between the medical device and the in situ environment. For example, drugs such as anti-coagulants, anti-proliferics, antibiotics, immune-suppressing agents, vasodilators, anti-thrombotic substances, anti-platelet substances, and cholesterol reducing agents may  
5 reduce instances of restenosis when diffused into the blood vessel wall after insertion of the stent. Although the present invention is described in reference to stents, its applications and the claims hereof are not limited to stents and may include any contact with a living body where drug delivery may be helpful.

10 Although the invention has been described with respect to various embodiments, it should be realized this invention is also capable of a wide variety of further and other embodiments within the spirit and scope of the appended claims.

## WHAT IS CLAIMED IS:

1. Method of producing a drug delivery system, comprising the steps of:
  - 5 depositing a drug substance onto at least one surface region of a medical device so
  - as to form a first deposited drug layer;
  - forming a first gas cluster ion beam in a vacuum chamber;
  - positioning the at least one surface region of the medical device in the vacuum
  - 10 chamber for irradiation by the first gas cluster ion beam; and
  - irradiating the first deposited drug layer with the first gas cluster ion beam so as to adhere a drug layer to the at least one surface region of the medical device such that a portion of the deposited drug substance is permitted to be released from the first adhered drug layer at an expected rate.
- 15 2. The method of claim 1, wherein some portion of gas clusters comprising the gas cluster ion beam penetrate the first deposited drug layer and irradiate the surface of the medical device.
3. The method of claim 1, wherein the adhered drug layer comprises at least one carbonized matrix formed of a portion the first deposited drug layer and including
- 20 a plurality of interstices within which is disposed a non-carbonized portion of the first deposited drug layer, a plurality of the interstices being open at a surface of the carbonized matrix so as to permit the non-carbonized portion of the first deposited drug layer to be released at the expected rate.
4. The method of claim 3, wherein the adhered drug layer further comprises another
- 25 non-carbonized portion of the first deposited drug layer that is encapsulated between the carbonized matrix and the at least one surface region of the medical device.
5. The method of claim 1, further comprising the steps, repeated until an desired number of additional adhered drug layers are formed, of:
  - 30 depositing an additional drug layer onto the most recently adhered drug layer; and

irradiating the additional drug layer with an additional gas cluster ion beam so as to adhere an additional drug layer onto the most recently adhered drug layer such that a portion of the additional deposited drug substance is permitted to be released from the additional adhered drug layer at an expected rate.

- 5 6. The method of claim 5, wherein the drug substances respectively comprising the first deposited drug layer and the additional deposited drug layer are comprised of the same drug substance type.
7. The method of claim 5, wherein the first gas cluster beam and the additional gas cluster ion beam deliver substantially similar irradiation doses resulting in  
10 substantially similar drug elution profiles between the first adhered drug layer and the additional adhered drug layer(s).
8. The method of claim 5, wherein the first gas cluster beam and the additional gas cluster ion beam deliver different irradiation doses resulting in different drug elution profiles between the first adhered drug layer and the additional adhered  
15 drug layer(s).
9. The method of claim 1, wherein:  
the at least one surface region comprises a plurality of spatially distinct regions of  
the surface of the medical device;  
20 the first drug layer is comprised of a corresponding plurality of drug substance portions; and  
the depositing step comprises depositing each portion of the drug substance onto  
a corresponding one of the plurality of spatially distinct regions.
- 25 10. The method of claim 1, further comprising gas cluster ion beam irradiating the at least one surface region of the medical device prior to depositing the drug substance so as to smoothen the at least one surface region.
11. The method of claim 1, wherein the depositing step comprises vapor phase depositing the drug substance onto the at least one surface region.
- 30 12. The method of claim 1, wherein the depositing step comprises ultrasonically atomizing the drug substance onto the at least one surface region.

13. The method of claim 1, wherein the depositing step comprises electrostatically coating the at least one surface region with the drug substance in powder form.
14. The method of claim 1, wherein the depositing step comprises sublimating the at least one surface region with the drug substance.
- 5 15. The method of claim 1, wherein the at least one surface region comprises the entirety of the surface of the medical device.
16. The method of claim 1, wherein the medical device surface is comprised of at least one material selected from polymers, metals and ceramics.
17. The method of claim 3, wherein two or more of the interstices are interconnected.
- 10 18. The method of claim 1, further comprising the step of selecting the drug substance from the group consisting of anti-coagulants, antibiotics, anti-tumor substances, immune-suppressing agents, vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.
- 15 19. The method of claim 1, wherein the irradiating step further comprises scanning the gas cluster ion beam over an extended processing area of the at least one surface region.
20. The method of claim 1, wherein the irradiating step further comprises maintaining an orientation within a specific angle tolerance between the gas cluster ion beam and the at least one surface region being irradiated.
- 20 21. A drug delivery system, comprising:  
a medical device having at least one surface region; and  
a first drug layer adhered to the at least one surface region, the first drug layer comprised of at least one carbonized matrix of a first drug substance, the  
25 carbonized matrix including a plurality of interstices within which is disposed a non-carbonized portion of the first drug substance, a portion of the interstices being open at a surface of the carbonized matrix so as to permit the non-carbonized portion of the first drug substance to be released from the carbonized matrix at an expected rate.
- 30 22. The drug delivery system of claim 21, wherein the first adhered drug layer further comprises another non-carbonized portion of the first drug substance encapsulated

between the carbonized matrix and the at least one surface region of the medical device.

23. The drug delivery system of claim 21, further comprising at least one additional drug layer adhered to the first drug layer, the additional adhered drug layer  
5 comprised of at least one additional carbonized matrix of an additional drug substance, the at least one additional carbonized matrix including a plurality of interstices within which is disposed a non-carbonized portion of the additional drug substance, a portion of the interstices being open at a surface of the at least one additional carbonized matrix so as to permit the non-carbonized portion of the  
10 additional drug substance to be released from the at least one additional carbonized matrix at an expected rate and to permit the non-carbonized portion of the first drug substance to elute from the first adhered drug layer into the at least one additional adhered drug layer.

24. The drug delivery system of claim 23, wherein the first drug substance and the  
15 additional drug substance are comprised of the same drug substance type.

25. The drug delivery system of claim 23, wherein the first drug substance and the additional drug substance are comprised of the different drug substance types.

26. The drug delivery system of claim 23, wherein the first adhered drug layer and the  
20 at least one additional adhered drug layer have substantially similar drug elution profiles.

27. The drug delivery system of claim 23, wherein the first adhered drug layer and the at least one additional adhered drug layer have different drug elution profiles.

28. The drug delivery system of claim 21, wherein:

25 the at least one surface region comprises a plurality of spatially distinct regions of a surface of the medical device; and

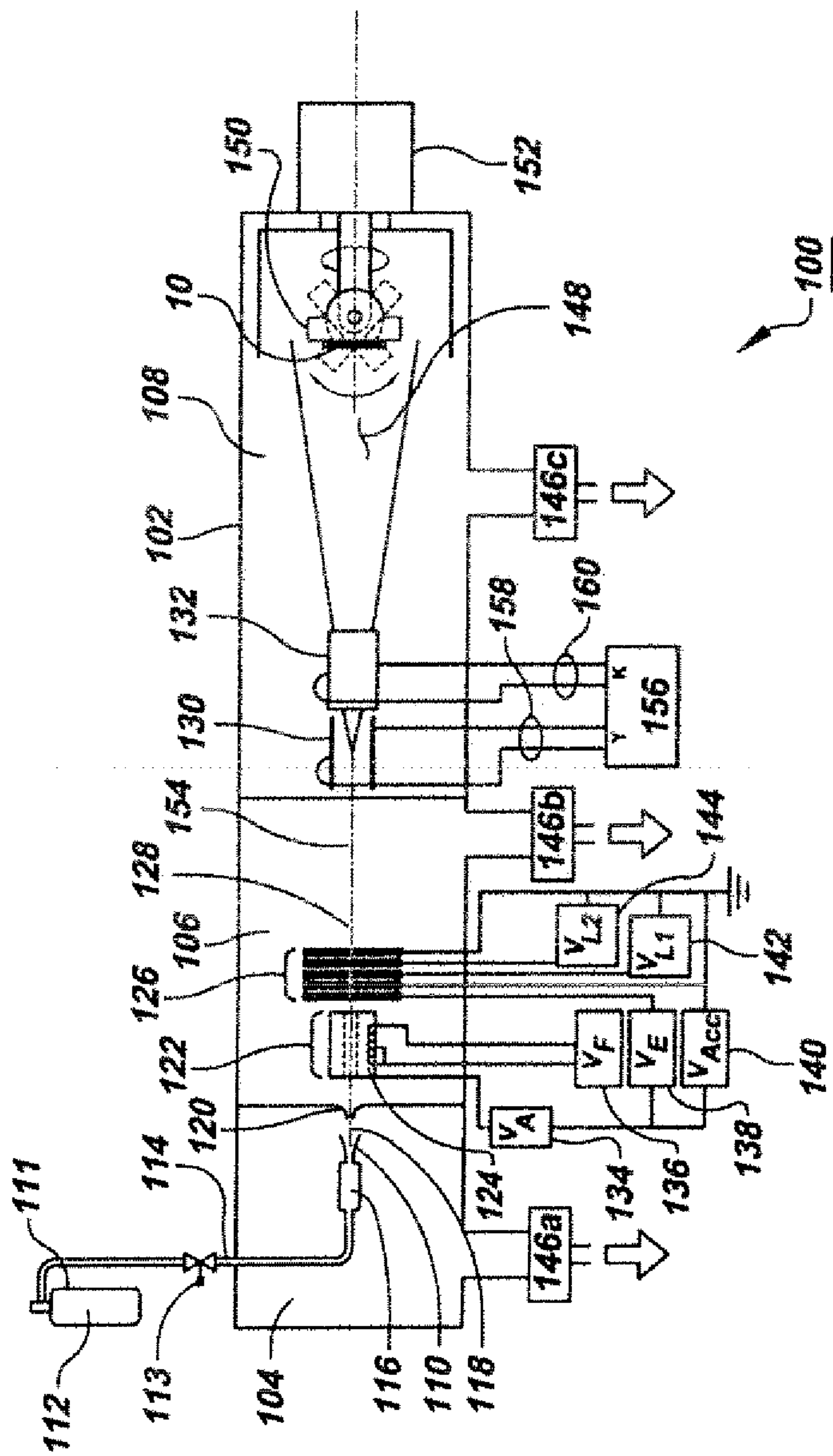
the first adhered drug layer is further comprised of at least a second carbonized matrix of a second drug substance, the second carbonized matrix including a plurality of interstices within which is disposed a non-carbonized portion of the second drug substance, a portion of the interstices being open at  
30 a surface of the second carbonized matrix so as to permit the non-carbonized portion of the second drug substance to be released from the second carbonized matrix at an expected rate

29. The drug delivery system of claim 28, wherein the first drug substance and the second drug substance are comprised of the same drug substance type.
30. The drug delivery system of claim 28, wherein the first drug substance and the second drug substance are comprised of different drug substance types.
- 5 31. The drug delivery system of claim 28, wherein the first carbonized drug matrix and the second carbonized drug matrix have substantially similar drug elution profiles.
32. The drug delivery system of claim 28, wherein the first carbonized drug matrix and the second carbonized drug matrix have different drug elution profiles.
33. The drug delivery system of claim 21, wherein the medical device surface is  
10 comprised of at least one material selected from polymers, metals and ceramics.
34. The drug delivery system of claim 21, wherein two or more of the interstices are interconnected.
35. The drug delivery system of claim 21, wherein the drug substance is of a type  
15 selected from the group consisting of anti-coagulants, antibiotics, anti-tumor substances, immune-suppressing agents, vasodilators, anti-proliferatives, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.
36. A drug delivery system, comprising:  
20 a member including a combination of a drug substance and a polymer or other material; and  
an encapsulating layer formed in an outer surface of the member by gas cluster ion beam irradiation of the outer surface of the member, which encapsulating layer is adapted to determine a release rate for the drug from the member.
37. The system of claim 36, wherein the encapsulating layer includes a plurality of  
25 openings located at an outer surface of the encapsulating layer and adapted to permit amounts of the drug substance to be released from the member at a rate determined by the encapsulating layer.
38. The system of claim 37, wherein the encapsulating layer includes a carbonized or densified matrix.
- 30 39. The system of claim 38, wherein the encapsulating layer is adapted to improve a measure of biocompatibility of the member.

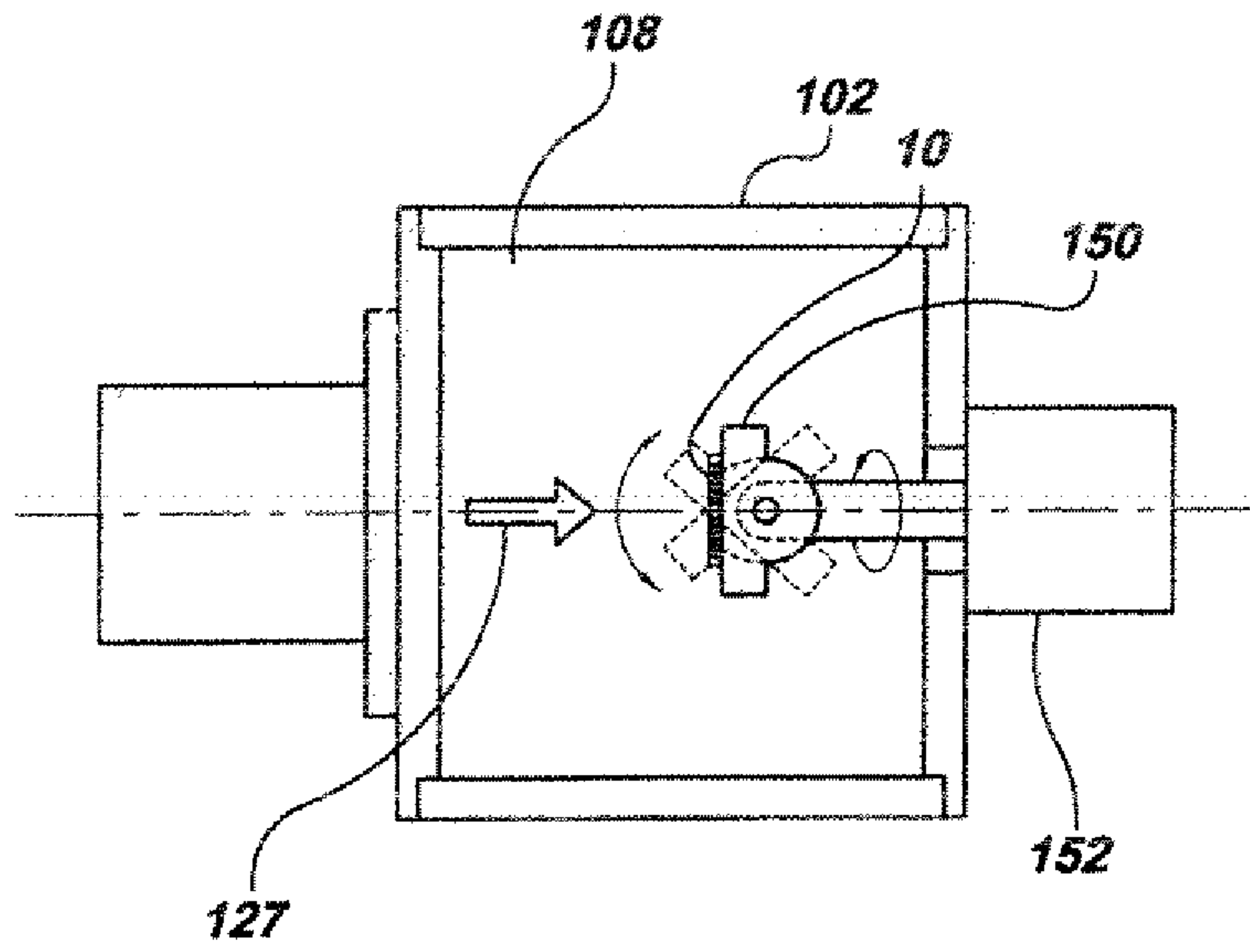
40. The system of claim 36, wherein the member is located on a surface of a medical device.
41. The system of claim 36, wherein the drug substance is selected from the group consisting of anti-coagulants, antibiotics, anti-tumor substances, immune-suppressing agents, vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.
42. A medical device including the drug delivery system of claim 36.
43. A drug delivery system, comprising:  
a cohesive mixture including a combination of a drug substance and a polymer or other material; and  
a carbonized or densified matrix formed on an outer surface of the cohesive mixture, which carbonized or densified matrix is adapted to determine a release rate for the drug substance from the cohesive mixture.
44. A method for producing a drug delivery system, comprising the steps of:  
providing a member including a combination of a drug substance and a polymer or other material; and  
irradiating an outer surface of the member with a gas cluster ion beam to determine a release rate for the drug substance from the member.
45. The method of claim 9, wherein the step of providing a member includes forming a cohesive mixture of the drug substance and the polymer or other material on a surface of a medical device.
46. The method of claim 45, wherein the step of irradiating includes forming an encapsulating layer on at least an external surface of the member, which encapsulating layer is adapted to control release of the drug substance from the member.
47. The method of claim 45, wherein the encapsulating layer includes a plurality of openings at an outer surface of the encapsulating layer so as to permit portions of the drug substance to be released from the member at a rate determined by the encapsulating layer.

48. The method of claim 45, wherein the encapsulating layer includes a carbonized or densified matrix.
49. The method of claim 44, wherein the step of providing a member includes the steps of providing a polymer element and adhering a drug substance to an outer surface  
5 of the polymer element.
50. The method of claim 49, wherein the step of providing a polymer element includes the step of irradiating the outer surface of the polymer element with a gas cluster ion beam prior to the step of adhering.
51. The method of claim 50, wherein the step of irradiating is adapted to lower in situ  
10 chemical reactivity of the external surface of the cohesive mixture.
52. The method of claim 44, wherein the drug substance is selected from the group consisting of anti-coagulants, antibiotics, anti-tumor substances, immune-suppressing agents, vasodilators, anti-proliferics, anti-thrombotic substances, anti-platelet substances, cholesterol reducing agents and combinations thereof.

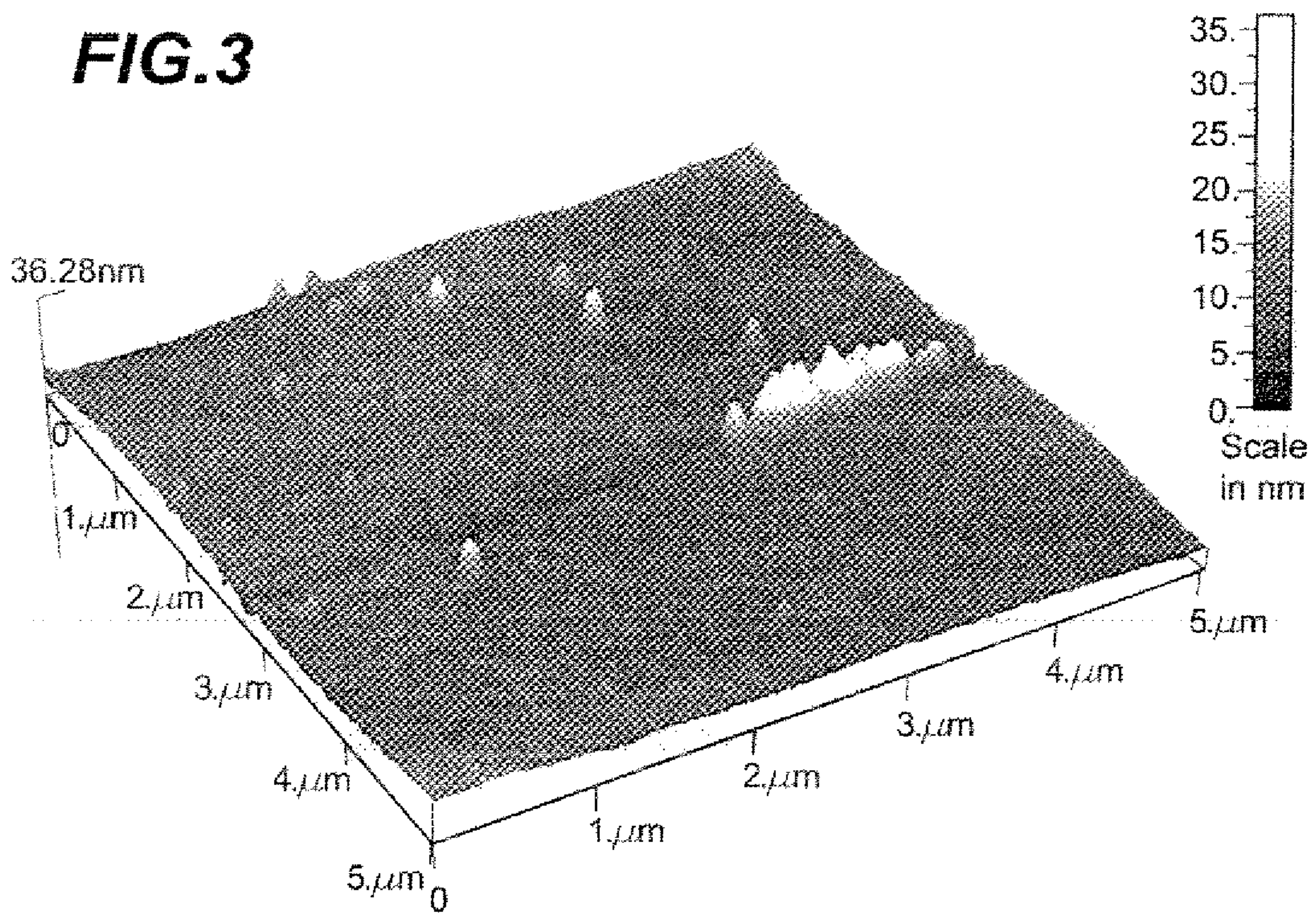
**FIG. 1**  
(PRIOR ART)



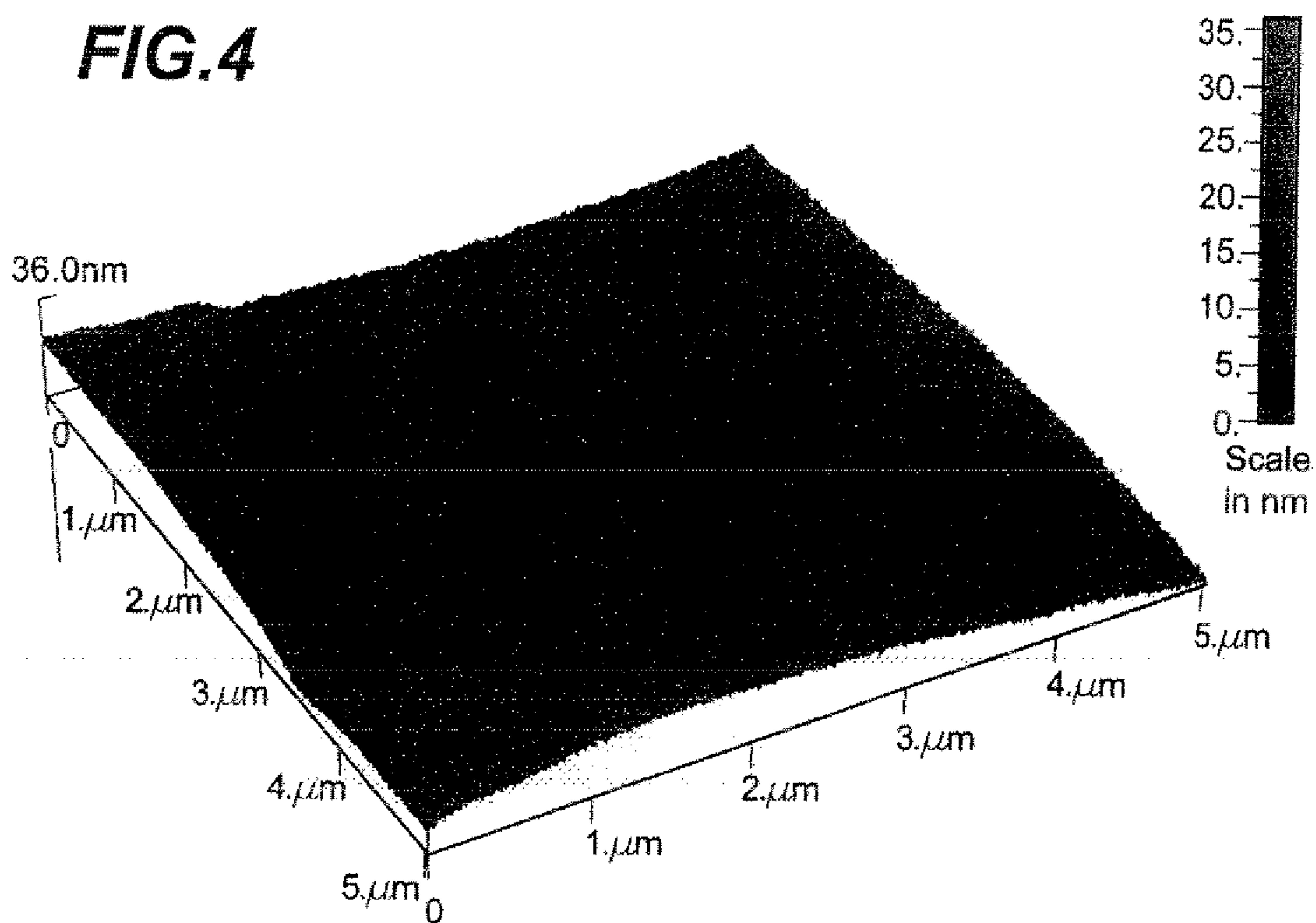
**FIG.2**



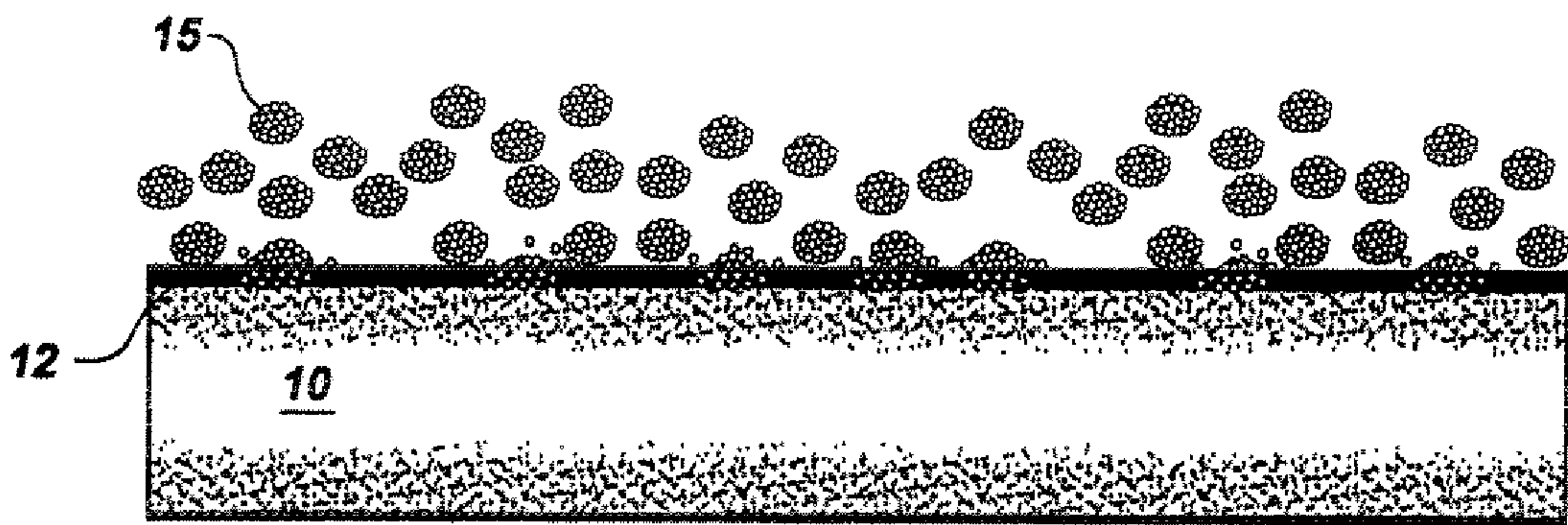
**FIG.3**



**FIG.4**



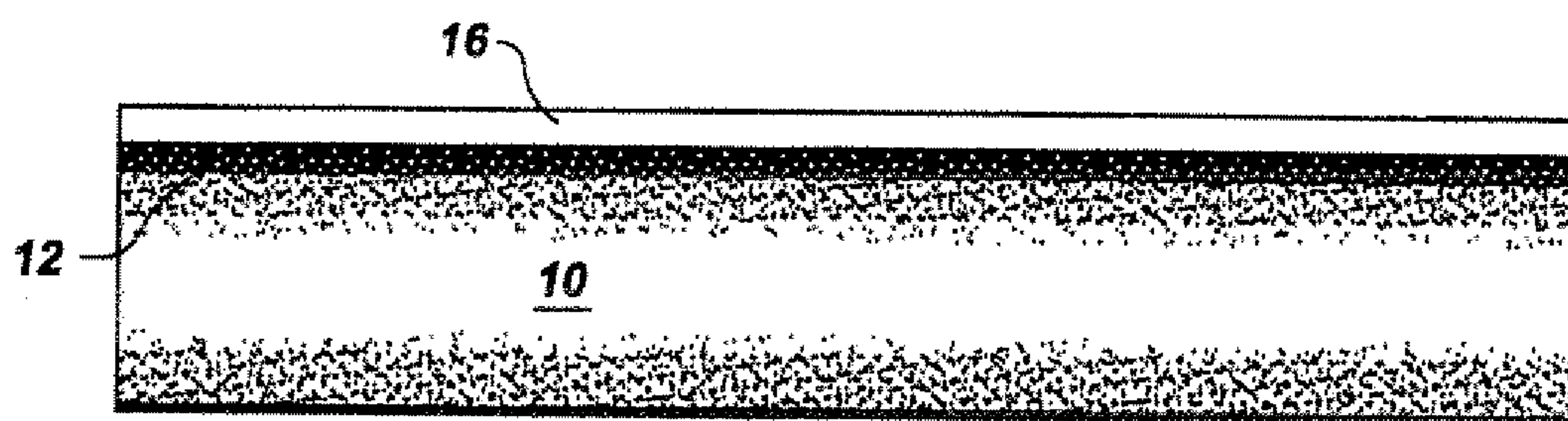
**FIG. 5A**



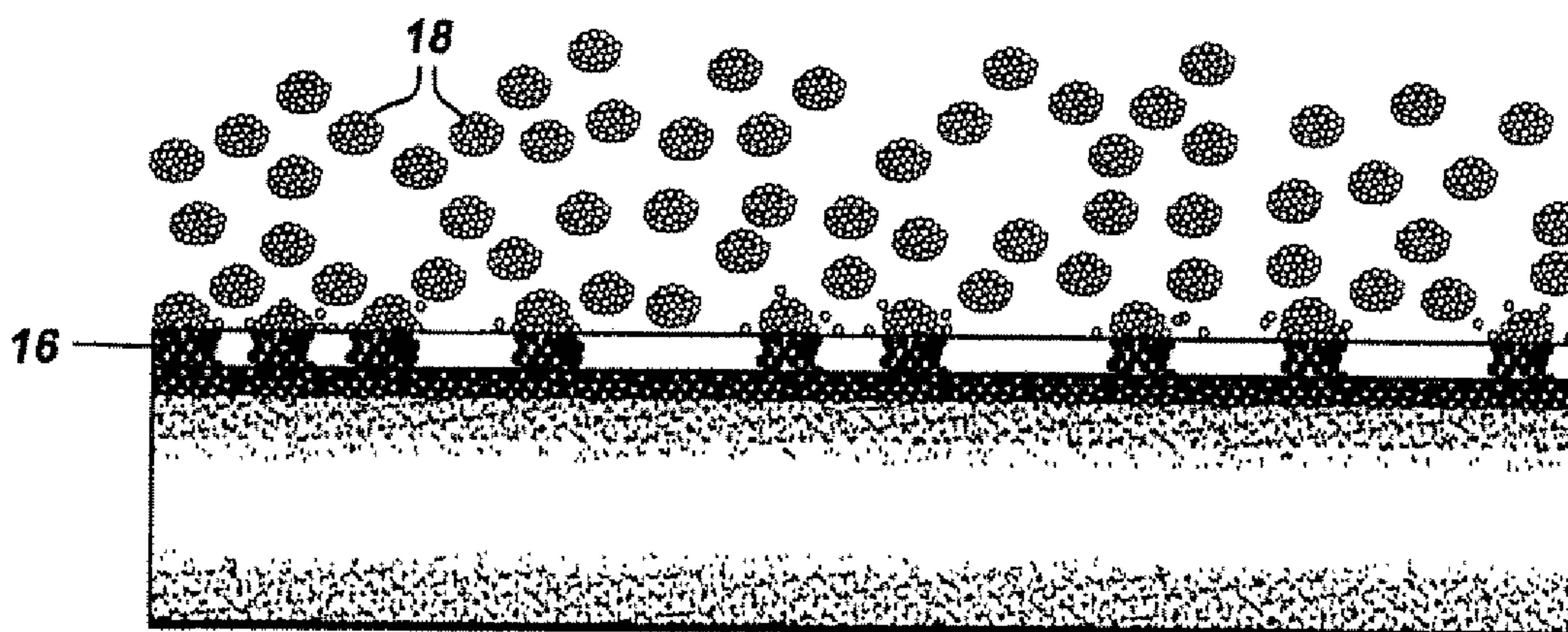
**FIG. 5B**



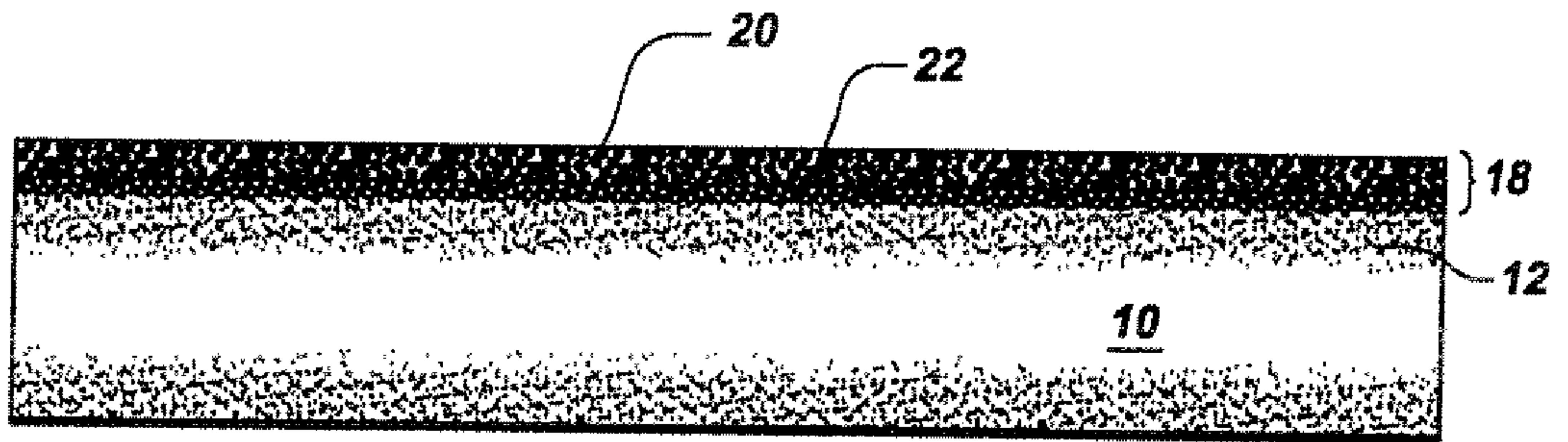
**FIG.5C**



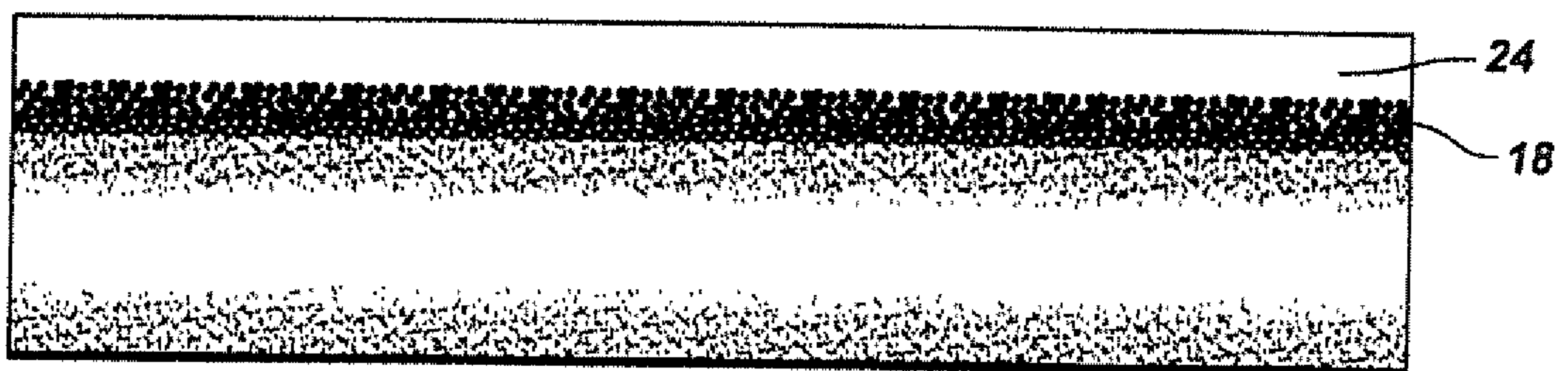
**FIG.5D**



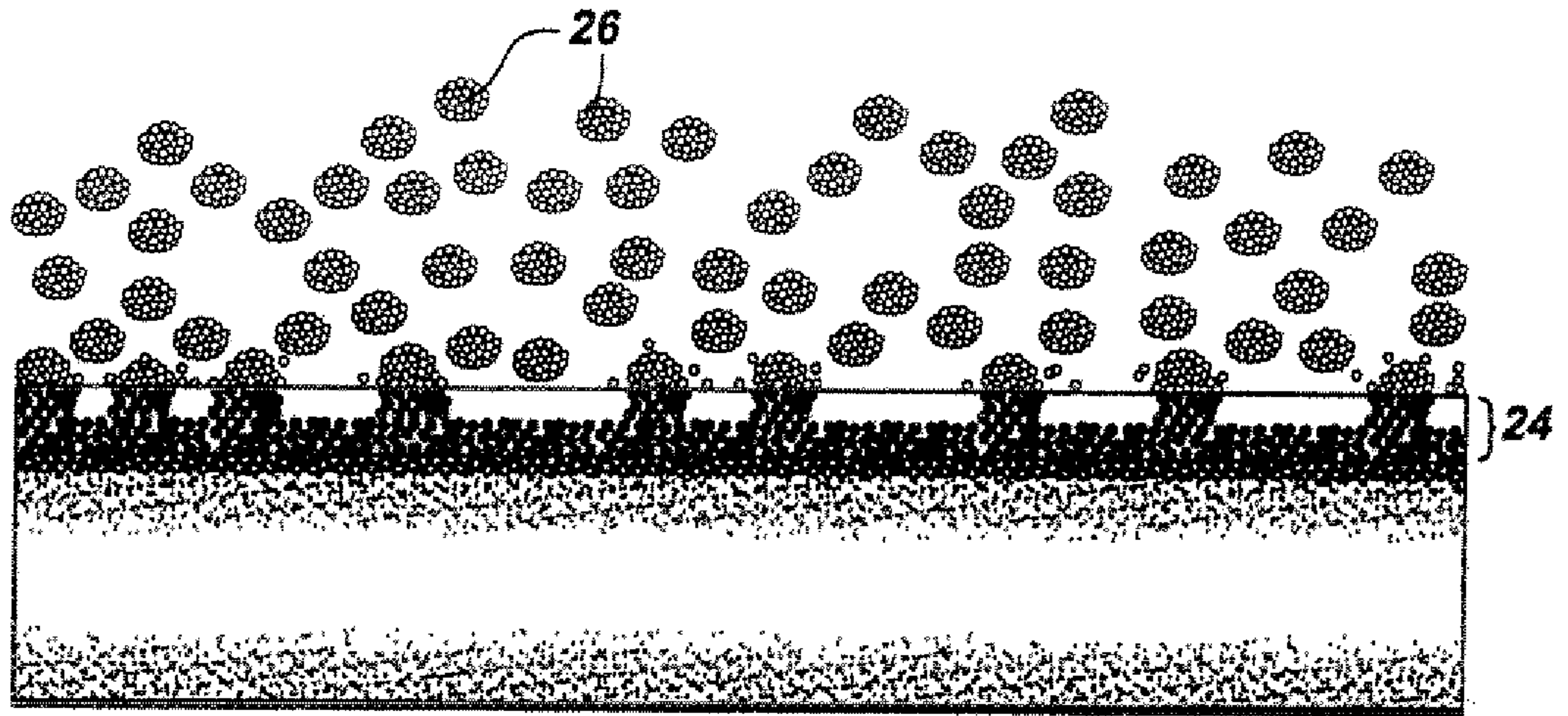
**FIG.5E**



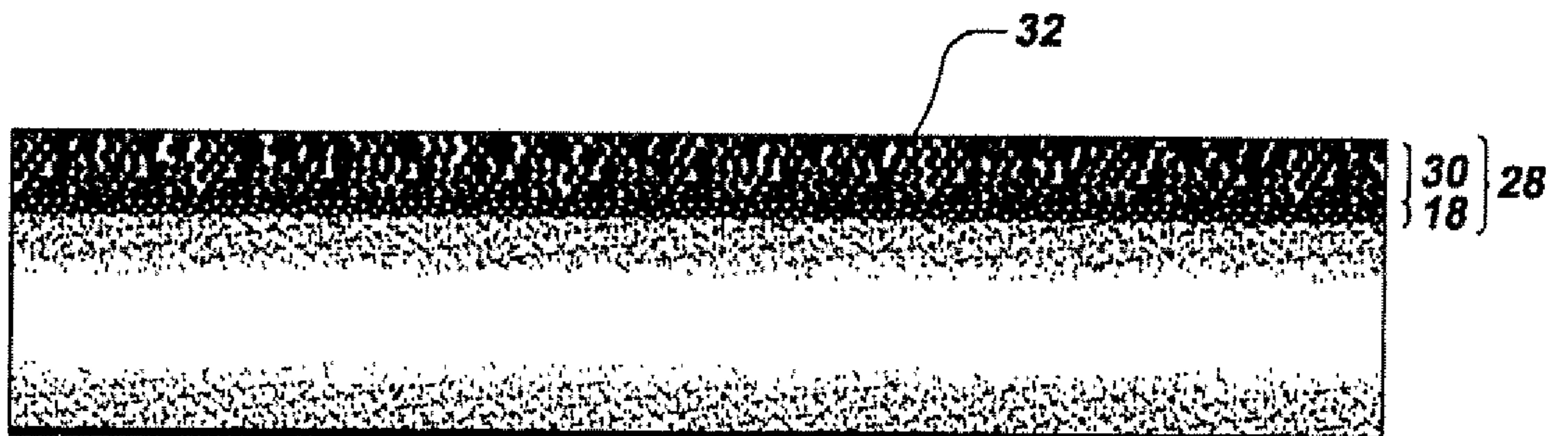
**FIG.5F**



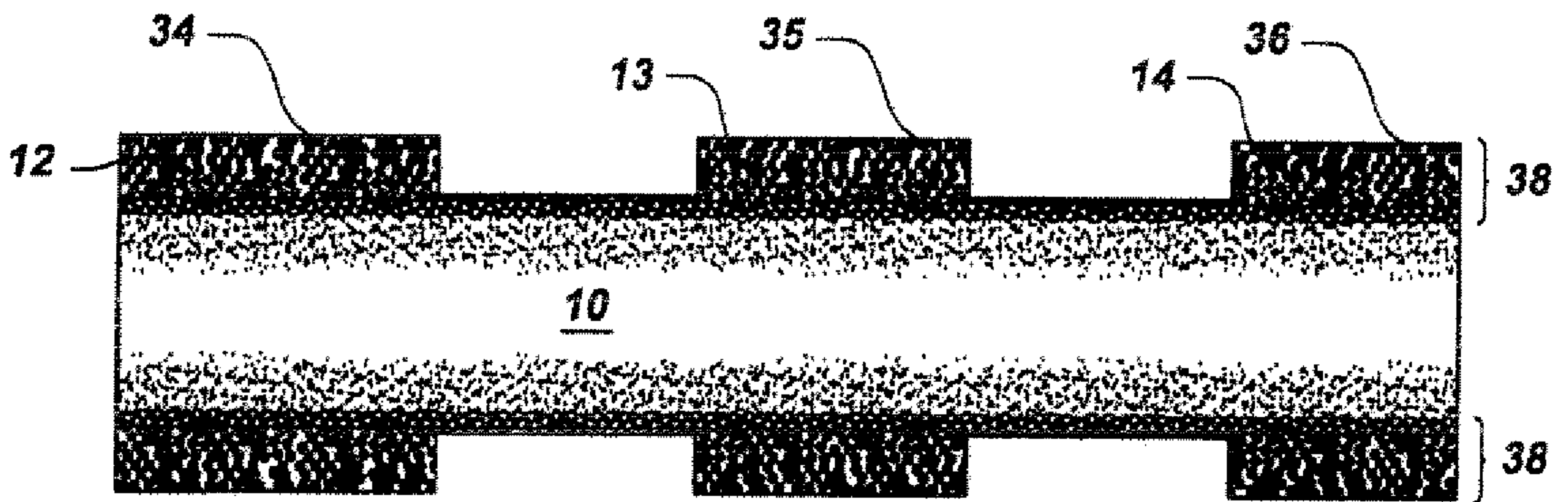
**FIG. 5G**



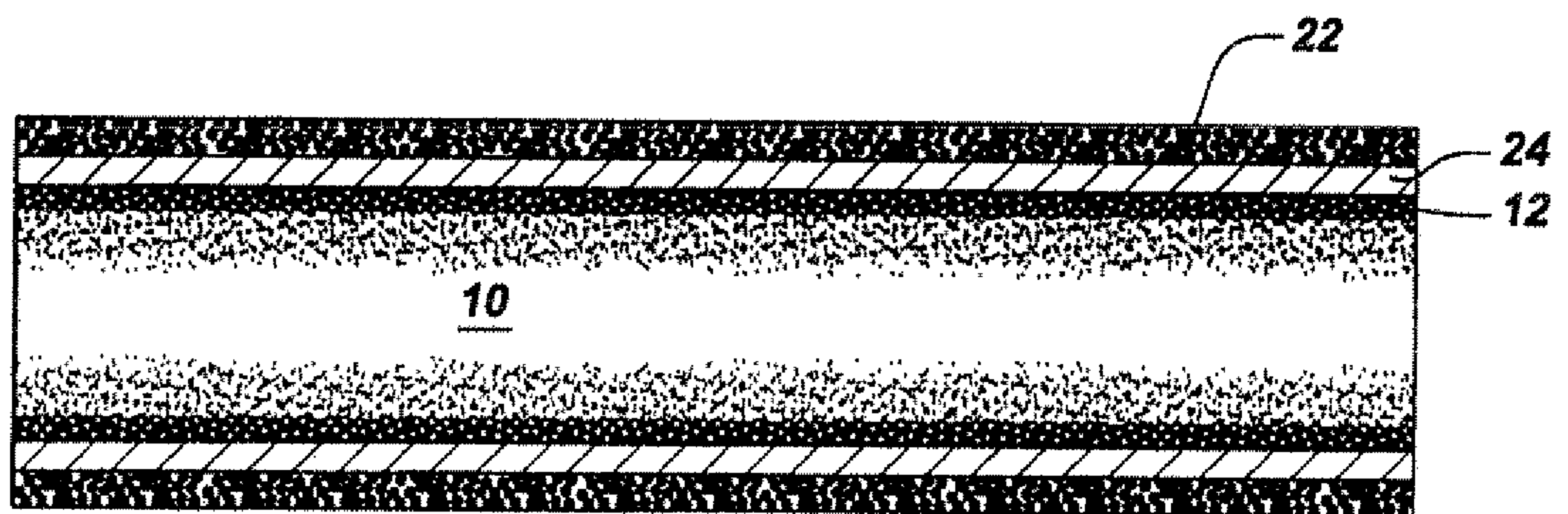
**FIG. 5H**



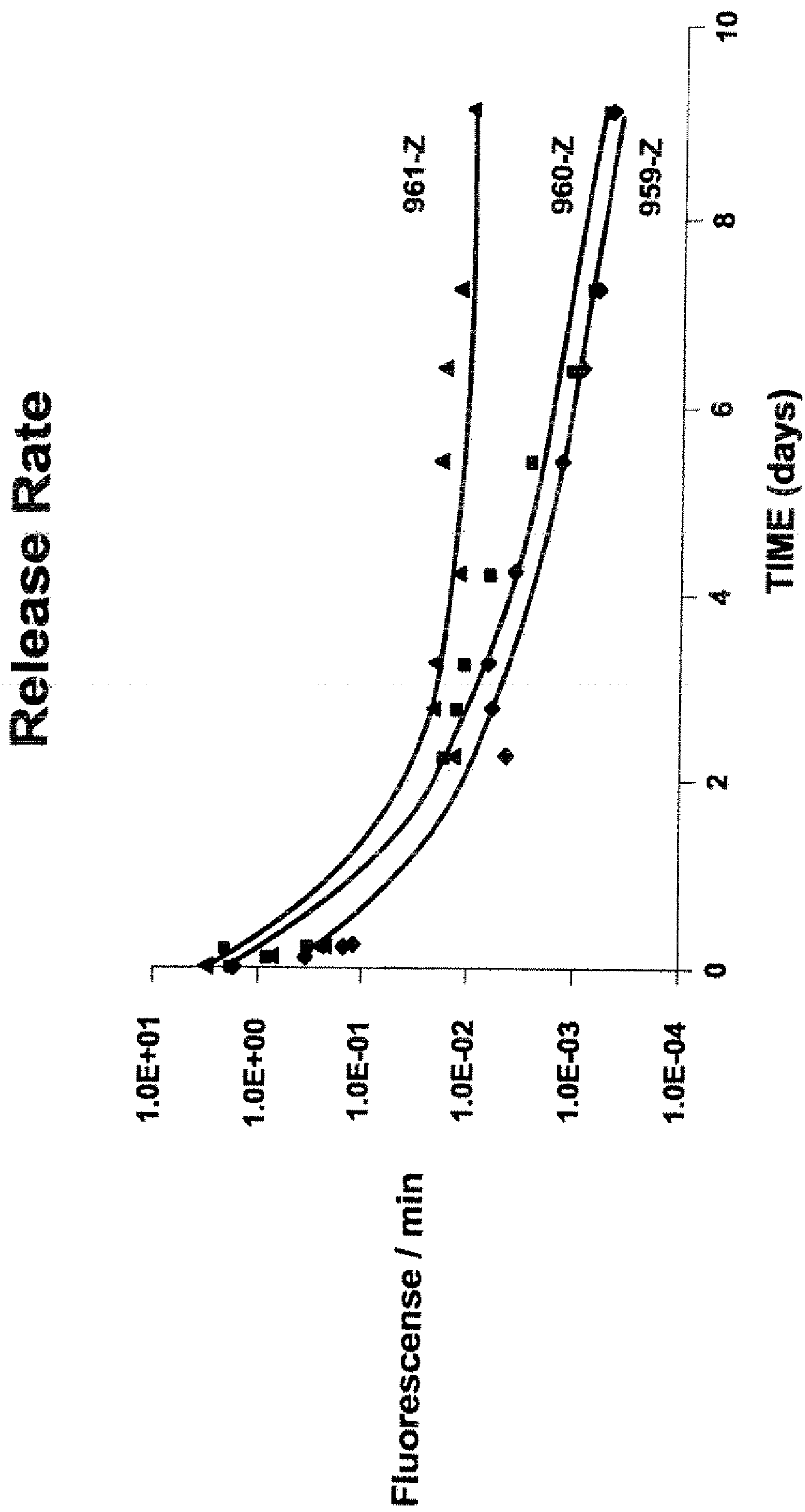
**FIG.6A**



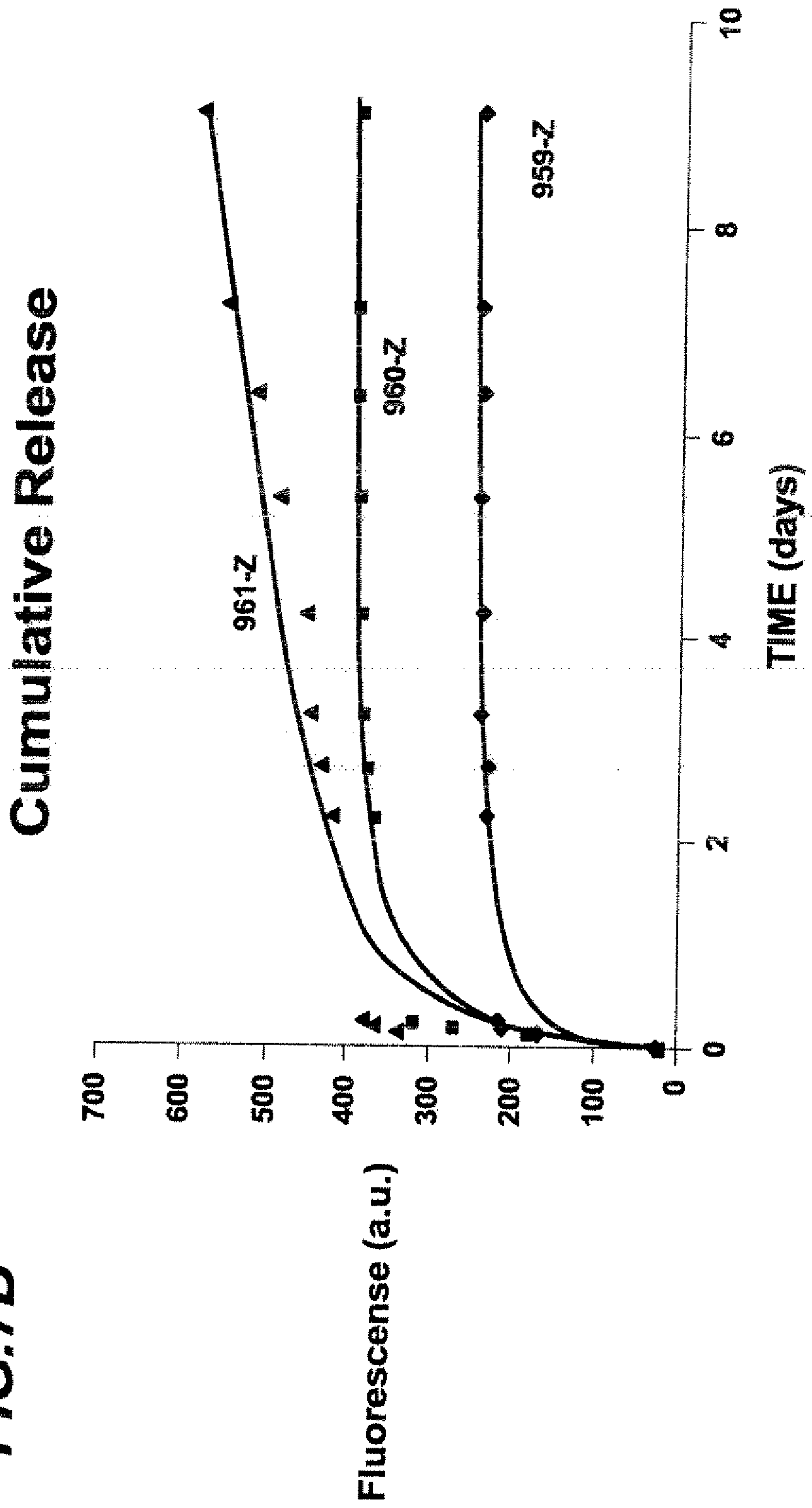
**FIG.6B**



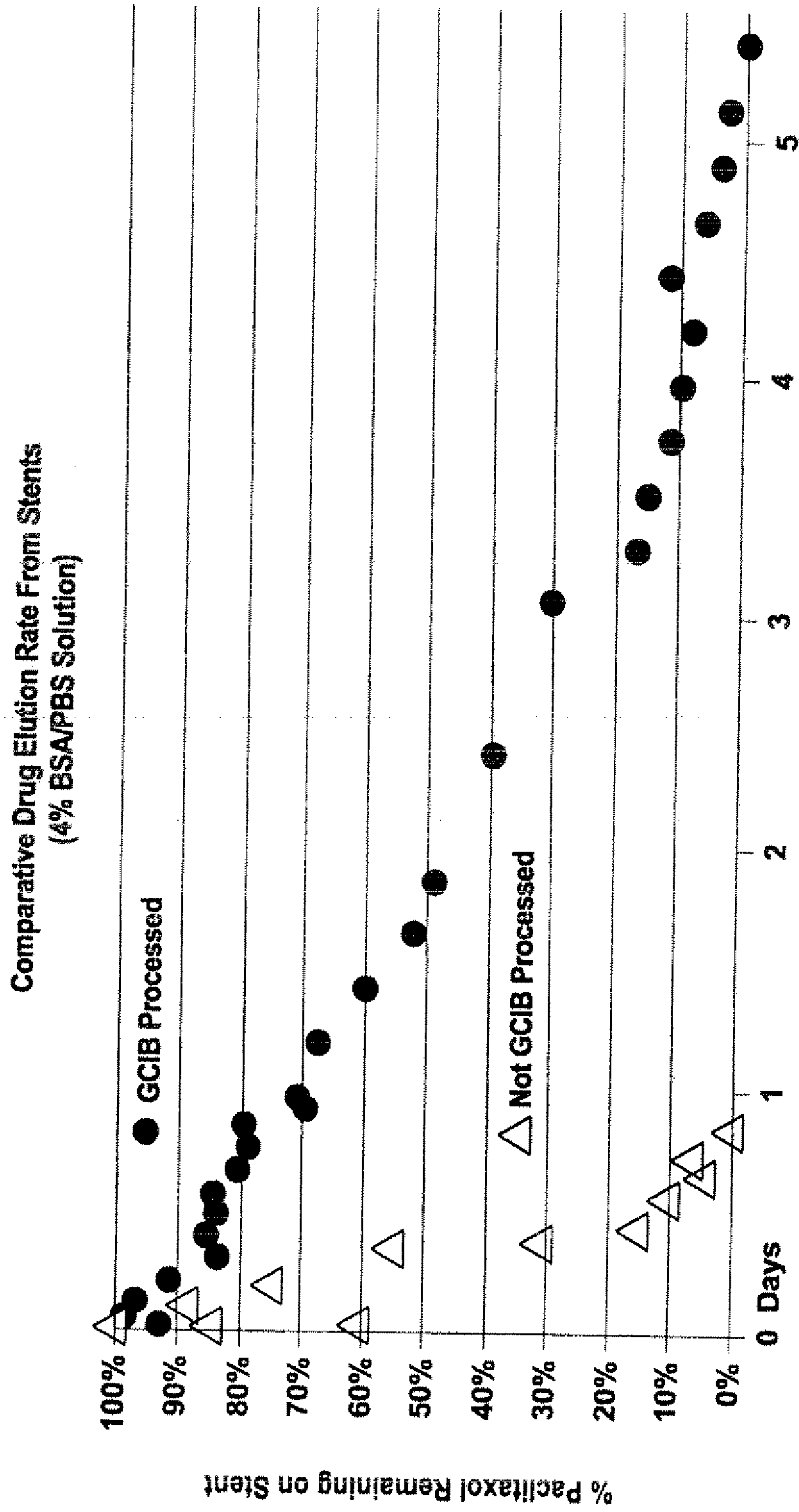
**FIG.7A**



**FIG. 7B**



**FIG.8**



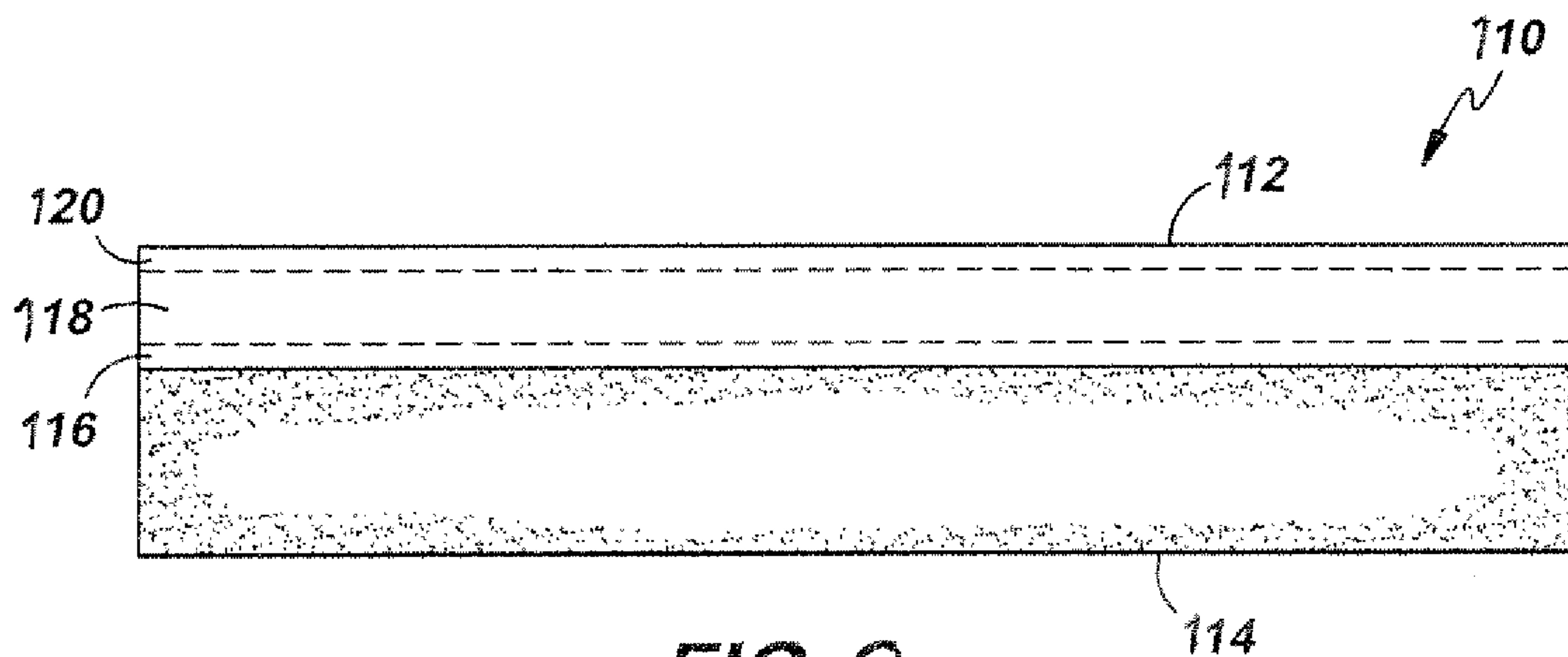


FIG. 9

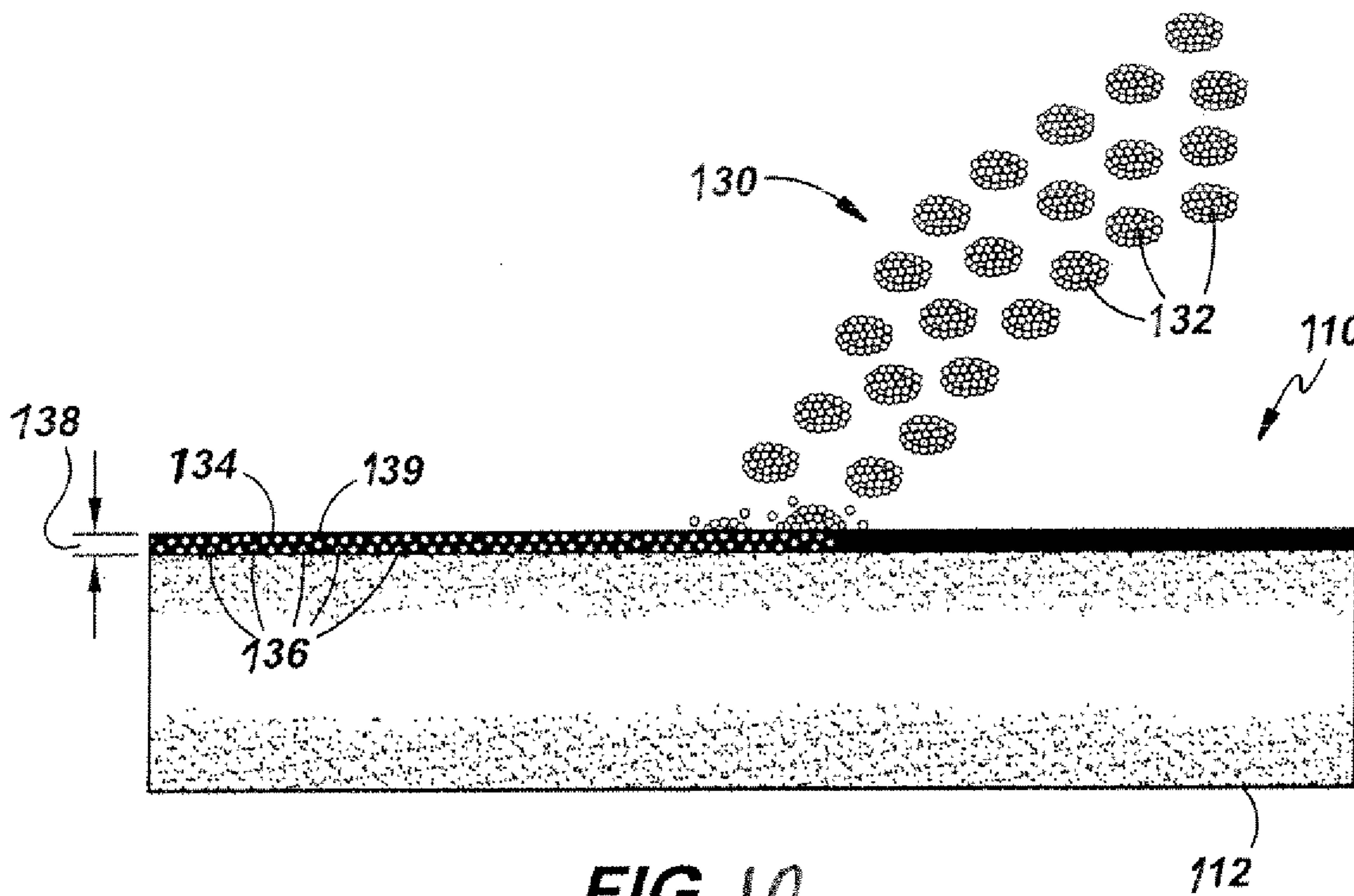


FIG. 10

