

73739/87

APPLICATION ACCEPTED AND AMENDMENTS

ALLOWED.....14-5-90.....

COMMONWEALTH of AUSTRALIA

PATENTS ACT 1952

APPLICATION FOR A STANDARD PATENT

600273

K
We

FACET ENTERPRISES, INC., of
2929 East Apache,
Tulsa, Oklahoma 74150
United States of America.

hereby apply for the grant of a Standard Patent for an invention entitled:

"FILTER ASSEMBLY"

which is described in the accompanying ~~provisional~~ complete specification.

Details of basic application(s):—

Number

Convention Country

Date

US. 870,237

United States of America 3rd June, 1986

LODGED AT SUB-OFFICE
- 2 JUN 1987
Melbourne

The address for service is care of DAVIES & COLLISON, Patent Attorneys, of 1 Little Collins Street, Melbourne, in the State of Victoria, Commonwealth of Australia.

Dated this 2nd

day of June

1987

H. M. Rimington

To: THE COMMISSIONER OF PATENTS

(a member of the firm of DAVIES & COLLISON for and on behalf of the Applicant).

Davies & Collison, Melbourne and Canberra.

COMMONWEALTH OF AUSTRALIA

PATENTS ACT 1952

DECLARATION IN SUPPORT OF CONVENTION OR
NON-CONVENTION APPLICATION FOR A PATENT

Insert title of invention.

In support of the Application made for a patent for an invention
entitled: "FILTER ASSEMBLY"

Insert full name(s) and address(es)
of declarant(s) being the appli-
cant(s) or person(s) authorized to
sign on behalf of an applicant
company.

I Richard C. Brinckerhoff, of
We Facet Enterprises, Inc.
2929 East Apache
Tulsa, Oklahoma 74150
United States of America

Cross out whichever of paragraphs
1(a) or 1(b) does not apply

1(a) relates to application made
by individual(s)

1(b) relates to application made
by company; insert name of
applicant company.

do solemnly and sincerely declare as follows:-

~~1. (a) I am the applicant..... for the patent~~
~~We are~~

or (b) I am authorized by

FACET ENTERPRISES, INC

Cross out whichever of paragraphs
2(a) or 2(b) does not apply

2(a) relates to application made
by inventor(s)

2(b) relates to application made
by company(s) or person(s) who
are not inventor(s); insert full
name(s) and address(es) of inven-
tors.

the applicant..... for the patent to make this declaration on its behalf.

~~2 (a) I am the actual inventor..... of the invention~~
~~We are~~

or (b)

Ralph D. Terhune
1205 Sherwood Lane
Broken Arrow, Oklahoma 74012
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James D. Murphy
2 Vicarage Gate, Flat 15
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is the actual inventor(s)..... of the invention and the facts upon which the applicant.....
are
is
xxx entitled to make the application are as follows:-

State manner in which applicant(s)
derive title from inventor(s)

The said actual inventors assigned their rights in the
invention to the said applicant.

Cross out paragraphs 3 and 4
for non-convention applications.
For convention applications,
insert basic country(s) followed
by date(s) and basic applicant(s).

3. The basic application..... as defined by Section 141 of the Act ~~was~~ made
in United States of America on the 3rd June, 1986
by Ralph D. Terhune and James D. Murphy
in on the
by
in on the
by

4. The basic application..... referred to in paragraph 3 of this Declaration ~~was~~
the first application..... made in a Convention country in respect of the invention the subject
of the application.

Insert place and date of signature.

Declared at Tulsa, USA this 20 day of July, 1987
Facet Enterprises, Inc.

Signature of declarant(s) (no
attestation required)

Richard C. Brinckerhoff
Richard C. Brinckerhoff
By: *Executive Vice President*
Its:

Note: Initial all alterations.

DAVIES & COLLISON, MELBOURNE and CANBERRA.

(12) PATENT ABRIDGMENT (11) Document No. AU-B-73739/87
(19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 600273

(54) Title
FILTER ASSEMBLY

International Patent Classification(s)
(51)⁴ B01D 027/06 B01D 027/08

(21) Application No. : 73739/87

(22) Application Date : 02.06.87

(30) Priority Data

(31) Number	(32) Date	(33) Country
870237	03.06.86	US UNITED STATES OF AMERICA

(43) Publication Date : 10.12.87

(44) Publication Date of Accepted Application : 09.08.90

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(56) Prior Art Documents
GB 2030052
DE 1198790

(57) Claim

1. A filter assembly for mounting in a fuel tank comprising:

a base for supporting and providing fluid connection to a removable filter cartridge, said base being adapted for mounting at a port of said fuel tank and having a hollow connecting end for mating with said cartridge,

a removable filter cartridge comprising a cylindrical filter medium and having a connecting end shaped to mate coaxially with said end of said base in a rotatable, telescope relationship, said filter medium being adapted for inside-out flow, thereby causing said cartridge to be biased away from said base in use.

said filter medium including material causing coalescing of water in fuel passing through said medium,

the telescopically related mating ends of said base and cartridge providing radially opposed surfaces of circular cross section about the mutual axis of the connecting ends of said base and cartridge,

the connecting end of one of said base and said

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(10) 600273

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cartridge having lug means extending radially toward the end of the other,

the other said connecting end having corresponding passage means for lockably receiving said lug means,

said passage means extending in a first circumferential direction along a first circumferential path at a first axial position to an angular position and in an axial direction from said first circumferential path at said angular position to a locked position at a second axial position that is different from said first axial position, there being a stop member at a position along a second circumferential path at said second axial position such that said lug means is prevented from circumferential movement along said second circumferential path in a second circumferential direction opposite to said first circumferential direction,

a circular elastomeric sealing ring providing a radial seal between said circular cross-section surfaces, preventing liquid flow past it between said telescopic ends, and

a locking mechanism biasing said lug means relative to said passage means in said axial direction into said locked position, said biasing being in the direction to cause said cartridge to be biased away from said base,

whereby said cartridge can be easily secured to said base and removed from said base, and unintended disconnection of said cartridge from said base owing to vibration is avoided.

600273

COMMONWEALTH OF AUSTRALIA

PATENT ACT 1952

COMPLETE SPECIFICATION

(Original)

FOR OFFICE USE

Class

Int. Class

Application Number:
Lodged:

Complete Specification Lodged:
Accepted:
Published:

Priority:

Related Art:

This document contains the
amendments made under
Section 49 and is correct for
printing.

Name of Applicant: FACET ENTERPRISES, INC.

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Complete Specification for the invention entitled:

"FILTER ASSEMBLY"

The following statement is a full description of this invention,
including the best method of performing it known to us

FILTER ASSEMBLY

5

Background of the Invention

The invention relates to filter assemblies, e.g., those used to filter aviation fuel.

Filters used to remove impurities from aviation fuel
10 and to cause water in the fuel to coalesce into large drops that can settle and be removed by a sump pump are often sealably mounted over inlet openings in the bottom or side walls of fuel tanks or pressurized vessels through which the fuel flows immediately prior to
15 discharge, such that liquid must pass through the filter before it enters the tank or vessel. These filters are often cylindrical and have an axial opening at the bottom and a tubular filter medium wall through which there is so-called inside-out flow. Similarly shaped filters can
20 be mounted over outlets, in which case there would be outside-in flow.

These cylindrical filters have typically been secured by mating a threaded lower end of a filter cartridge base with a threaded opening in the floor of
25 the tank or vessel or by sliding the filter over a floor-mounted threaded rod and holding it in place with a nut at the top of the filter. If the filters are overtightened, the threads may become worn, and the filters hard to remove. If the filters are
30 undertightened, the filter may not seal well and may even be loosened by vibrations.

According to the present invention there is provided a filter assembly for mounting in a fuel tank comprising:

a base for supporting and providing fluid connection
35 to a removable filter cartridge, said base being adapted for mounting at a port of said fuel tank and having a hollow connecting end for mating with said cartridge,



a removable filter cartridge comprising a cylindrical filter medium and having a connecting end shaped to mate coaxially with said end of said base in a rotatable, telescope relationship, said filter medium being adapted for inside-out flow, thereby causing said cartridge to be biased away from said base in use.

said filter medium including material causing coalescing of water in fuel passing through said medium, the telescopically related mating ends of said base and cartridge providing radially opposed surfaces of circular cross section about the mutual axis of the connecting ends of said base and cartridge,

the connecting end of one of said base and said cartridge having lug means extending radially toward the end of the other,

the other said connecting end having corresponding passage means for lockably receiving said lug means, said passage means extending in a first circumferential direction along a first circumferential path at a first axial position to an angular position and in an axial direction from said first circumferential path at said angular position to a locked position at a second axial position that is different from said first axial position, there being a stop member at a position along a second circumferential path at said second axial position such that said lug means is prevented from circumferential movement along said second circumferential path in a second circumferential direction opposite to said first circumferential direction,

a circular elastomeric sealing ring providing a radial seal between said circular cross-section surfaces, preventing liquid flow past it between said telescopic ends, and

a locking mechanism biasing said lug means relative to said passage means in said axial direction into said



locked position, said biasing being in the direction to cause said cartridge to be biased away from said base, whereby said cartridge can be easily secured to said base and removed from said base, and unintended disconnection of said cartridge from said base owing to vibration is avoided.

In preferred embodiments lug means extend from the cartridge, and the passage means are located in the base; the base is provided with struts traversing the fluid passage; and the locking mechanism is a spring (most preferably a wave spring).

Embodiments of the invention will now be described by way of example only with reference to the accompanying drawings:-

Description of the Preferred Embodiment

The figures will be briefly described first.

Drawings

Fig. 1 is a diagrammatic perspective view, partially broken away, of a filter base and a mating removable filter cartridge according to the invention.

Fig. 2 is a partial elevation, partly broken away, showing the Fig. 1 base and cartridge lockably connected together.

Fig. 3 is a plan view of the Fig. 1 base.



Fig. 4 is an exploded perspective view of a connecting end member and filter medium support of the Fig. 1 cartridge.

Fig. 5 is an exploded perspective view of a portion of an alternative embodiment of the Fig. 1 cartridge.

Structure

Referring to Figs. 1 and 2, filter assembly 10 includes removable filter cartridge 12 and plastic adapter base 14 mounted on metal stool 16, welded to fuel tank wall 18, so that fluid entering or leaving the tank must pass through stool 16 and base 14.

Base 14 has a series of locking passages 22 for mating with lugs 24 on filter cartridge 12. Each passage 22 extends along an entrance 26 to a horizontal circumferential path ending at an angular position, and then extends axially upward slightly to a locked position circumferentially adjacent to locking tab 28, which prevents rotational movement of lug 24 within passage 22 when lug 24 is urged upward into the locked position.

Base 14 has a cylindrical surface 30 defining a fluid passage and is provided with struts 32, shown in Fig. 3, which extend radially from hub 34 to surface 30. Lip 37, directly on top of struts 32, supports wave spring 36 against which filter cartridge 12 is applied. Wave spring 36 is made of 0.030" thick, 1/8" wide, 316 stainless steel, making about 3 complete coils and bent to provide 3 1/2 peaks per 360°. The free height of spring 36 is 3/4", and it takes between 25 and 35 lb. force to push spring 36 down to its 1/4" compressed height.

Referring to the enlarged portion of Fig. 2. Cartridge 12 includes lower cylindrical surface 38,



telescopically received in and facing surface 30 of base 14. Surface 38 includes annular recess 40 in which elastomeric sealing O-ring 42 is retained. O-ring 42 serves to tightly seal cartridge 12 and base 14 together, regardless of application of any axial force, as with gaskets. Surface 30 is 3.000" + 0.002" - 0.000" in diameter; surface 38 is 2.997" + .000" - .001" in diameter; groove 40 is 2.778" + .000" - .002" in diameter and 0.190" + .002" - .003" wide, and O-ring 42 (industry designation 2-232) has a 2.734" inner diameter and a 0.139" + .004" - .004" cross section. Base 14 is connected to the stool 16 of base 12 by bolt 44.

Referring to Figs. 1 and 4, filter cartridge 12 includes connecting end member 46 with annular channel 48 into which the lower end of tubular filter medium 50 is sealably connected. The other end of filter medium 50 is similarly connected in a channel (not shown) in cap 52, provided with a pivotally mounted handle 54. Medium 50 includes a series of layers supported by plastic framed support 56. These include outer cotton fabric sock 65, 2" helical overlapping wrap of plastic screen 68, 1/4" thick layer 70 of fiberglass thereunder, sheet of plastic screen 72 thereunder wrapped around ribs and columns of framed support 56, and pleated filter medium 74 on the inside of support 56. Pleated filter medium 74 is approximately 7/8" in overall radial dimension, the inner portion at the bottom fitting within annular channel 48.

Referring to Fig. 4, connecting end member 46 is provided with three axial pins 58 with oversized heads 60. Oversized heads 60 are designed to fit into slots 62 of lower flat ring 63. Slots 62 consist of circular openings 66, larger in diameter than the heads 60 of pins 58, and elongated portions 64, narrower than



heads 60 of pins 58. In the finished cartridge 14, pins 58 are in narrow elongated portions 64, mechanically locking support 56 to end member 46 by wedging ring 63 against radial ribs 80.

5 Base 14, connecting end member 46 and frame 56 are made of structural plastic (available under the trade designation Valox from G.E., 15% glass filled).

10 Filter cartridge 12 is manufactured by inserting the lower end of filter components 65, 68, 70, 72, 74 and support 56 into annular groove 48 (already containing exothermic adhesive), mechanically locking support 56 to end member 46 using pins 58 and slots 64, and letting the adhesive set. The position of heads 60, the thickness of ring 63 and the height of radial ribs 80 are such that rotation of support 50 is against frictional forces acting to wedge support 56 in place. In a similar manner the other end of the filter cartridge is connected to top cap 52, shown in Fig. 1. Thus, both mechanical locking and chemical support are used to connect members together, more securely than prior use of adhesive alone.

Use

25 Filter cartridge 12 can be readily inserted into a fixed adapter base 14 by positioning the cartridge over the base as shown in Fig. 1. Lugs 24 of the cartridge are inserted into passages 22 of the base and pushed down using handle 54. The cartridge is then pushed against wavespring 36, rotated to the end of the circumferential portion of the passage, and released, wavespring 36 urging lugs 24 into locked position next to tabs 28. In this way filter cartridge 14 is securely fixed to base 12 and cannot be loosened by mere vibrational forces. The forces resulting from pressure difference inside and outside of cartridge 12 act



upward, the same direction as the spring, reinforcing the locking. In the locked position fluid passing through base 12 must pass through filter cartridge 12 and thus
5 through medium 50, a sealing O-ring 42 prevents liquid flow around the bottom of connecting end 46. The seal provided by O-ring 42 advantageously is not dependent on axial forces, as flat gaskets are. Filter medium 50 prevents solid particles from entering the tank and also
10 cause coalescence of water within the fuel entering the tank, so that, upon leaving the filter, the water is in the form of large droplets that settle to the bottom of the tank, where they are removed by a sump pump or other means.

15 Removal of the cartridge is accomplished by pushing down on cartridge 12, using handle 54, and rotating the assembly such that lugs 24 are moved to entrances 26. The cartridge can then be pulled from the base, and then quickly be replaced by a second cartridge inserted into
20 base 14, the entire changeover taking a matter of seconds, which is particularly significant when replacing a large number of filter cartridges at one time. Since the entire cartridge is constructed of combustible material, the removed cartridge can be incinerated and
25 readily disposed of.

In an alternative embodiment of the invention shown in Figure 5 further filter medium 80 is shown prior to connection sealing member 82, including two annular channels 84 with locking pins 86 for connection to slots
30 88 on framed support 56 of lower medium 50 and framed support 90 of further medium 80. A cap 52 and handle 54 (not shown) are attached to the top of support 90 and medium 80. The overall cartridge thus has twice the filtering and coalescing capacity of single stage filter
35 cartridge 12.



THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A filter assembly for mounting in a fuel tank comprising:

a base for supporting and providing fluid connection to a removable filter cartridge, said base being adapted for mounting at a port of said fuel tank and having a hollow connecting end for mating with said cartridge,

a removable filter cartridge comprising a cylindrical filter medium and having a connecting end shaped to mate coaxially with said end of said base in a rotatable, telescope relationship, said filter medium being adapted for inside-out flow, thereby causing said cartridge to be biased away from said base in use.

said filter medium including material causing coalescing of water in fuel passing through said medium,

the telescopically related mating ends of said base and cartridge providing radially opposed surfaces of circular cross section about the mutual axis of the connecting ends of said base and cartridge,

the connecting end of one of said base and said cartridge having lug means extending radially toward the end of the other,

the other said connecting end having corresponding passage means for lockably receiving said lug means,

said passage means extending in a first circumferential direction along a first circumferential path at a first axial position to an angular position and in an axial direction from said first circumferential path at said angular position to a locked position at a second axial position that is different from said first axial position, there being a stop member at a position along a second circumferential path at said second axial position such that said lug means is prevented from circumferential movement along said second circumferential path in a second circumferential



direction opposite to said first circumferential direction,

a circular elastomeric sealing ring providing a radial seal between said circular cross-section surfaces, preventing liquid flow past it between said telescopic ends, and

a locking mechanism biasing said lug means relative to said passage means in said axial direction into said locked position, said biasing being in the direction to cause said cartridge to be biased away from said base,

whereby said cartridge can be easily secured to said base and removed from said base, and unintended disconnection of said cartridge from said base owing to vibration is avoided.

2. A filter assembly according to claim 1 wherein said lug means extend from said cartridge, and said passage means is located in said base.

3. A filter assembly according to claim 1 wherein said base includes struts traversing a fluid passage of said base, said struts joining at a central hub having a hole therethrough for receiving a member for connecting said base to a vessel wall.

4. A filter assembly according to claim 1 wherein said locking mechanism is a spring.

5. A filter assembly according to claim 4 wherein said spring is a wave spring that completely surrounds a flow passage through said base and has portions at different axial positions.

6. A filter assembly according to claim 1 wherein one said connecting end has an annular groove in a radially opposed surface, and said ring is mounted in said groove



and has an inner diameter smaller than the diameters of said opposed surfaces and outer diameter larger than the diameters of said opposed surfaces.

7. A filter assembly according to claim 1 wherein one said connecting end has an annular groove in a radially opposed surface, and said ring is mounted in said groove and has an inner diameter smaller than the diameters of said opposed surfaces and an outer diameter larger than the diameter of said opposed surfaces, and wherein said groove is in said cartridge.

8. A filter assembly according to claim 1 wherein said filter cartridge has a handle at its top permitting rotation and connection or removal of said cartridge.

9. A filter assembly according to claim 1 wherein said filter cartridge has a handle at its top permitting rotation and connection or removal of said cartridge, and said handle is rotatable between an upright position and a horizontal position.

10. A filter assembly according to claim 1 wherein said cartridge is constructed from nonmetals.

11. A filter assembly according to claim 1 wherein said cartridge is completely made of material that can be incinerated, and includes structural plastic.

12. A filter assembly according to claim 1 wherein said cartridge is made of nonmetals, can be incinerated, and includes structural plastic and static dissipative material.

13. A filter assembly according to claim 1 wherein said cartridge includes two filter medium sections.



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14. A filter assembly according to claim 1 wherein said base has a diameter between 5 and 6 inches.

15. A filter assembly substantially as hereinbefore described with reference to the accompanying drawings.

DATED this 9th day of April 1990

Facet Enterprises, Inc.

By Its Patent Attorneys

DAVIES & COLLISON



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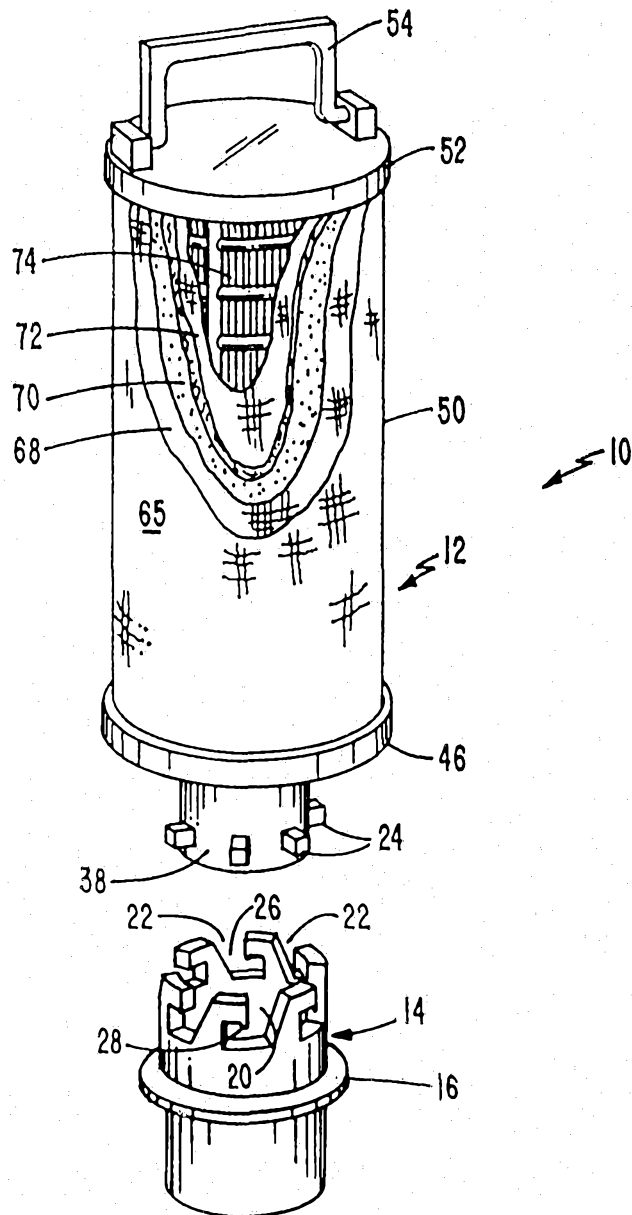


FIG 1

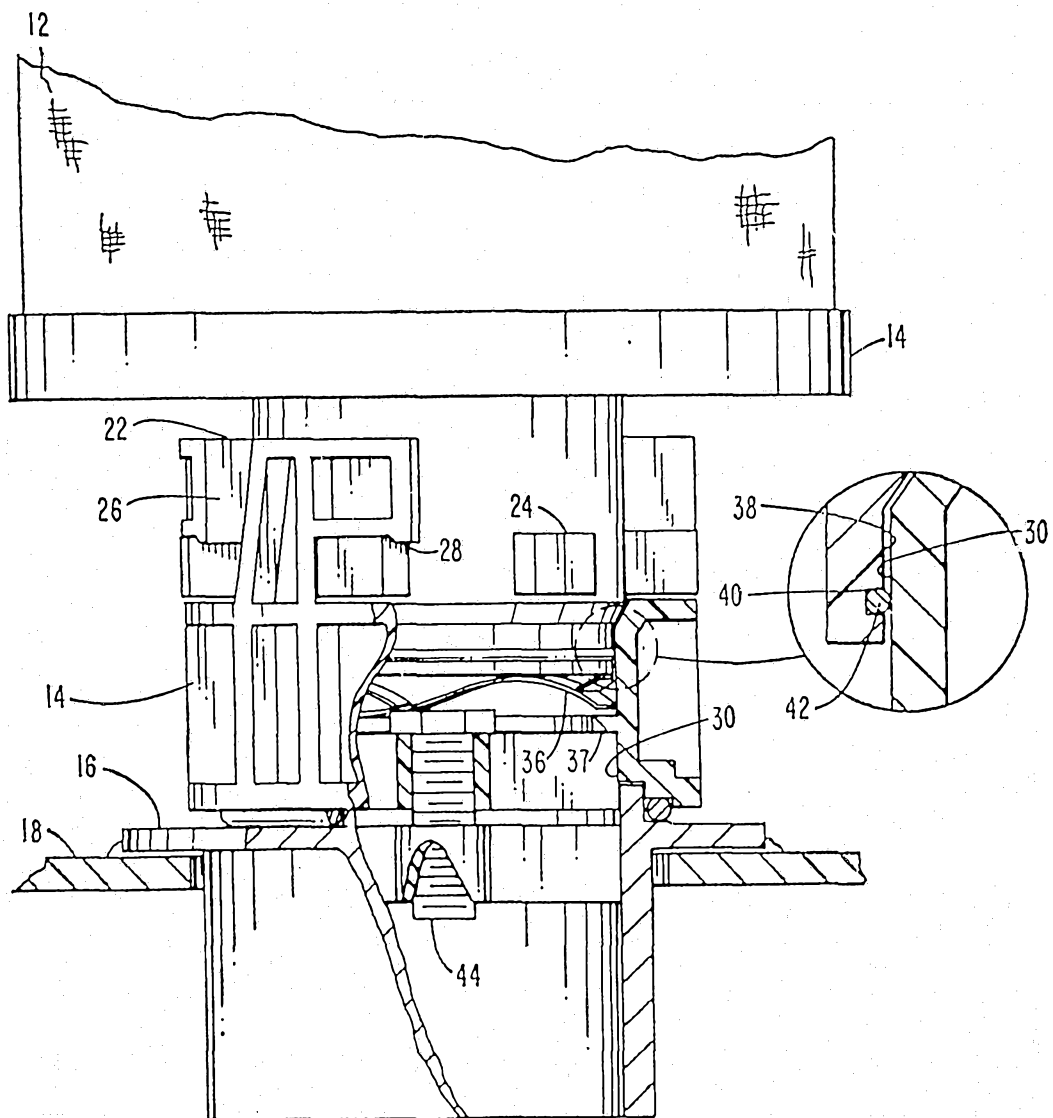


FIG 2

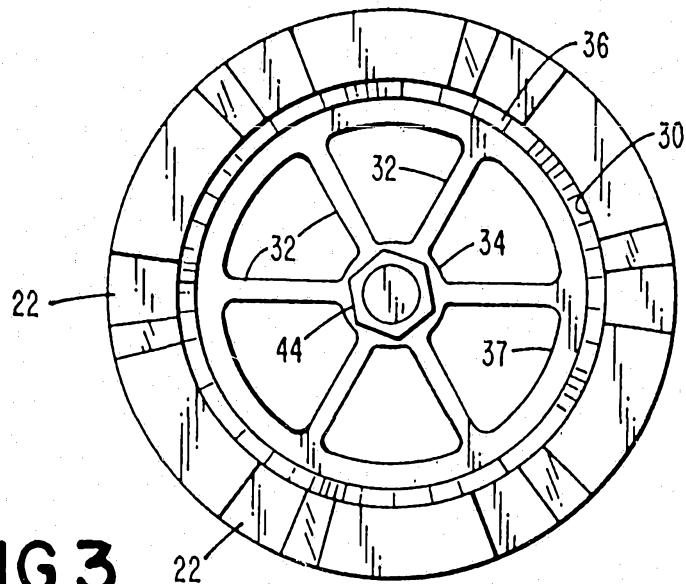


FIG 3

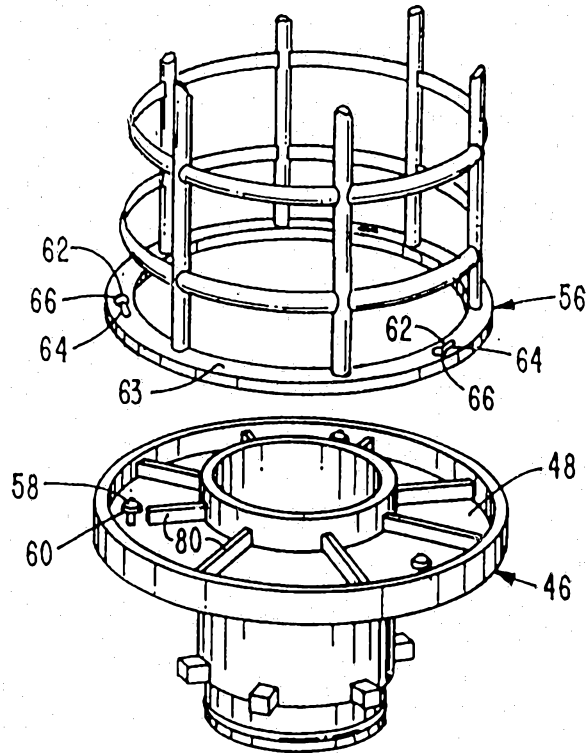


FIG 4

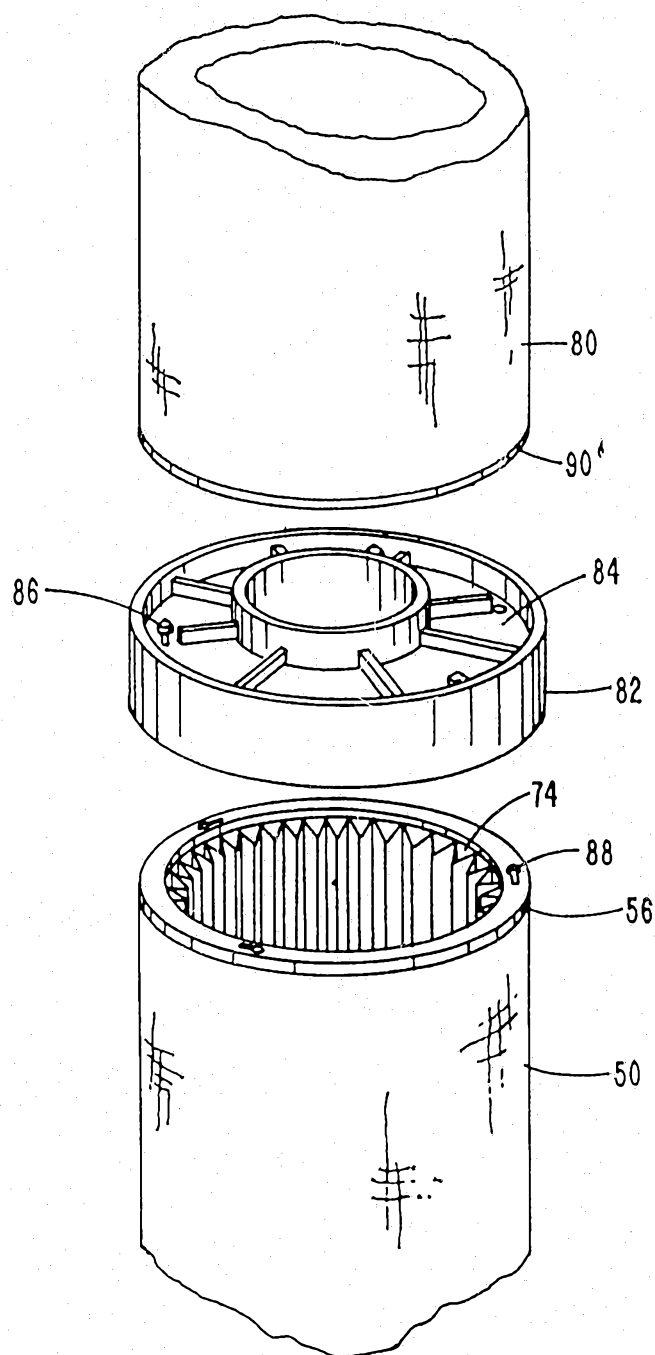


FIG 5