## **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



#### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>4</sup> :		(11) International Publication Number:	WO 87/ 02660
C05F 11/08	A1	(43) International Publication Date:	7 May 1987 (07.05.87)
(21) International Application Number: PCT/ (22) International Filing Date: 24 October 19	US86/02 86 (24.10.	(European patent), BG, BR,	CF (OAPI patent), CG can patent), CM (OAPI nt), DK, FI, FR (Euro-

(31) Priority Application Number: 795,272

(32) Priority Date: 5 November 1985 (05.11.85)

(33) Priority Country:: US

(71) Applicant: SOIL TECHNOLOGIES, CORP. [US/US]; 600 North Twelfth Street, Fairfield, IA 52556 (US).

(72) Inventors: SCHAEFER, Jimmie, W., Jr.; RR4, Box 68, Fairfield, IA 52556 (US). BOYUM, Kent, W.; 501 West Kirkwood Avenue, Fairfield, IA 52556 (US).

(74) Agents: HANDELMAN, Joseph, H. et al.; Ladas & Parry, 26 West 61 Street, New York, NY 10023 (US).

81) Designated States: AT (European patent), AU, BB, BE (European patent), BG, BR, CF (OAPI patent), CG (OAPI patent), CH (European patent), CM (OAPI patent), DE (European patent), DK, FI, FR (European patent), GA (OAPI patent), GB (European patent), HU, IT (European patent), JP, KR, LK, LU (European patent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL (European patent), NO, RO, SD, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent).

#### **Published**

With international search report.

(54) Title: MICROBIAL COMPOSITIONS AND METHODS FOR TREATING SOIL

#### (57) Abstract

A composition and method for production of the composition containing a dry, flowable mixture of flocculant-producing photosynthetic microorganisms in a resting stage and a dry, particulate, water dispersible carrier. The composition is capable of uniform application to dry soil to provide a cover crop of said microorganisms in a vegetative stage and to improve soil aggregation through the action of said flocculant.

## FOR THE PURPOSES OF INFORMATION ONLY

 $Codes \ used \ to \ identify \ States \ party \ to \ the \ PCT \ on \ the \ front \ pages \ of \ pamphlets \ publishing \ international \ applications \ under \ the \ PCT.$ 

ΑT	Austria	FR	France	ML	Mali
ΑU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic	SD	Sudan
CF	Central African Republic		of Korea	SE	Sweden
CG	Congo	KR	Republic of Korea	SN	Senegal
CH	Switzerland	LI	Liechtenstein	SU	Soviet Union
CM	Cameroon	LK	Sri Lanka	TD	Chad
DE	Germany, Federal Republic of	LU	Luxembourg	TG	Togo
DK	Denmark	MC	Monaco	US	United States of America
FI	Finland	MG	Madagascar		
	•				

15

20

# MICROBIAL COMPOSITIONS AND METHODS FOR TREATING SOIL CONVENTION

This invention relates to compositions containing photosynthetic microorganisms, preferably algae, for application to soil to provide a cover crop and to improve soil aggregation.

Cover crops are planted to improve the soil by adding organic matter, enhancing soil aggregation, controlling erosion, and improving moisture retention. They serve to improve the soil for the benefit of the

10 They serve to improve the soil for the benefit of the cash crop.

When plant material from cover crops decomposes, organic matter is added to the soil. When planted for this purpose, cover crops are known as green manure. Green manuring has been practiced in different forms, such as growing a legume crop in situ before planting the cash crop, simultaneous cultivation of a green manure and the cash crop, and addition of leaves and cuttings of plants or trees that have been grown elsewhere before or after planting the cash crop. Benefits credited to green manuring include increases in available plant nutrients and organic matter content and improvement in the microbiological and physical properties of the soil.

25 Traditional cover crops, however, have certain drawbacks that can outweigh their advantages. They can compete with the cash crop for moisture,

35

nutrients, or sunlight, if grown with the cash crop. If grown in lieu of the cash crop, valuable land is taken out of production. In addition, to provide the benefits of green manure, the conventional cover crop must be plowed under, resulting in the farmer's expenditure of time and money.

To overcome the disadvantages of traditional cover crops, microbial cover crops have been used. Certain photosynthetic microorganisms, especially algae, have been found to help control erosion, 10 improve moisture retention, enhance soil aggregation, provide nutrients and organic matter to the soil, and reduce salinity. Algae cultures can be applied to the soil easily, for example, by mixing with water and spraying the suspension on the soil. Algae can also 15 be grown at the same time as the cash crop, for a matter of weeks rather than the months needed to grow traditional cover crops, with little competition for nutrients, space, sunlight, or moisture, and with no need to plow the algae under for it to serve as a 20 green manure.

Soil algae are divided into Chlorophyta or green algae, Cyanophyta or blue-green algae, Bacillariophyta or diatoms, and Xanthophyta or yellowgreen algae. Blue-green and green algae are the subject of the preferred embodiments of this invention.

It is known that many species of algae, particularly those of the families Chlorophyta and Cyanophyta, can survive dessication, Trainor, F.R., 30 Phycologia, 9:111-113 (1970). Some species of algae, such as Chlamydomonas mexicana, undergo sexual reproduction when nutrients in the environment are depleted, which results in a cell type known as a zygospore that is very resistant to dessication. Lewin, R.A. J. Gen. Microbiol., 5:926-929 (1951).

One of the important benefits of growing

algae on soil is the modification of soil structure through the aggregation of soil particles. Bailey D., et al. J. Phycol., 9:99-101 (1973). Improved soil aggregation leads to better root growth, better transport of nutrients, water, and gases in the root zone, decreased erosion, and better water retention. (See, i.e. Metting, B. et. al., Soil Science Soc. of Amer. J., 47:682-685 (1983)).

Soil aggregation is caused by a mucus-like
material excreted by the algae that binds soil
particles. The material is believed to be composed
primarily of polysaccharides, which are known to be
flocculants. Little work has been done to
characterize in detail these polysaccharides and any
other substances that may be in the material. Thus,
this application uses the terms "flocculant" and
"flocculants" to characterize the soil aggregating
material produced by algae and other photosynthetic
microorganisms.

The use of certain types of flocculant producing algae in a method for treating soil is disclosed in U.S. Patent 3,969,844 to Fogel et al. Fogel et al. teaches that the creation of a nitrogen deficient state is critical to the production of algal flocculants.

The critical nature of the nitrogen deprivation step for enhancing the production of algal flocculants is also taught by U.S. Patent 3,958,364 to Schenck et al.

With respect to the nitrogen depletion step, there are a number of problems, as it is time-consuming and not cost-effective for the farmer, as well as difficult to monitor and control with available farm machinery.

Maintaining and transporting large volumes of algae in the vegetative or growing stage also presents problems, such as contamination of the

10

15

culture by undesired microorganisms. Furthermore, to maintain algal viability it is necessary for the nutrient supply to be constantly monitored and replenished as the culture grows. The algal cell density must also be watched to assure they receive adequate light. The addition of air and carbon dioxide is also required for long-term maintenance. Moreover, the storage and transportation of large bulky quantities of algae required for use as a soil conditioner is costly. At least half of the cost of transporting the product is attributable to its bulk and weight. Further, significant loss of viability occurs at approximately 14 days. The high transportation costs and limited shelf life tend to limit the scope of practical geographical distribution of the product. In particular, it is not commercially feasible to export the product.

In addition to being used to improve soil aggregation, algae have been used to fix nitrogen. In particular, certain blue-green algae have been used in flooded rice fields for this purpose. (See, i.e. Roger, P.A. and Kulasooriya, S.A., Blue-Green Algae and Rice (Manilla: The International Rice Research Institute, 1980), pgs. 49-50, hereinafter Roger and Kulasooriya. Those products appear incapable of dispersion in water or uniform application to soil and only applicable to flooded rice fields. Virtually any cash crop of interest other than rice is grown on a dry field.

Applicants have surprisingly discovered compositions of flocculant-producing photosynthetic microorganisms and methods for preparing and using the compositions to produce microbial cover crops which overcome the disadvantages of the abovementioned compositions and methods. Applicants have invented compositions containing drought-resistant, dormant, flocculant-producing photosynthetic microorganisms

20

25

30

that can be easily and cheaply transported virtually any distance and are capable of easy, uniform application to a dry field, such as by forming a suspension in water and spraying the suspension on the field. As a result, the cost of transporting such compositions is expected to be cut at least in half and possibly by as much as a factor of 10, and the shelf life of such compositions is expected to increase from two weeks to at least six months and perhaps as much as 18 months. Applicants have also invented methods of preparing such compositions and of using them to provide a cover crop and to improve soil aggregation. Finally, applicants have discovered, contrary to the teachings of the art, that it is not necessary for certain algae in the vegetative stage to be deprived of nitrogen before being able to produce significant quantities of flocculants.

According to this invention there is provided a composition comprising a dry, flowable mixture of flocculant-producing photosynthetic microorganisms in a resting stage and a dry, particulate, water dispersible carrier, said composition being capable of uniform application to dry soil to provide a cover crop of said microorganisms in a vegetative stage and to improve soil aggregation through the action of said flocculant.

Also according to this invention there is provided a method for the production of a dry, flowable microbial composition comprising growing flocculant-producing photosynthetic microorganisms in a nutrient-containing medium; and inducing a resting stage in said microorganisms and obtaining a dry flowable composition by:

- (a) mixing said microorganisms with a dry, particulate, water dispersible carrier;
  - (b) substantially separating said microorganisms from said medium, drying said substan-

tially separated microorganisms to produce a mass and reducing said mass to particles to produce a dry flowable composition; or

- (c) selecting algae as said microorganisms
  and growing said algae in a nutrient-containing
  medium, the nutrients in said medium to cause said
  algae to form zygospores, substantially separating
  said zygospores from said medium and mixing said substantially separated zygospores with a dry,
- particulate, water dispersible carrier; thereby obtaining a composition being capable of uniform application to dry soil to provide a cover crop and to improve soil aggregation through the action of said flocculant.
- In another particularly preferred embodiment, the composition is produced by growing separately at least two different, substantially pure cultures of algae in nutrient-containing media, substantially separating the algae from the media,
- mixing the substantially separated algae, and inducing a resting stage in the mixed algae by mixing them with a dry, particulate, water dispersible carrier to produce a dry, flowable composition, which is capable of uniform application to dry soil to provide a cover
- crop and to improve soil aggregation. In another particularly preferred embodiment, the resting stage in the algae is induced by spraying the separated algae onto a dispersion of dry, particulate, water dispersible carrier while mixing the algae with the carrier.

In an alternative embodiment, the composition is produced by growing algae in a nutrient-containing medium, inducing a resting stage in the algae by manipulating the nutrients in the medium to cause the algae to form zygospores, substantially separating the zygospores from the medium, and mixing the substantially separated zygospores with a dry,

5

10

15

20

-7-

particulate, water dispersible carrier to produce a dry, flowable composition, which is capable of uniform application to dry soil to provide a cover crop and to improve soil aggregation.

In still another alternative embodiment, the composition is produced by growing flocculantproducing photosynthetic microorganisms in a nutrientcontaining medium, substantially separating the
microorganisms from the medium, inducing a resting
stage in the substantially separated microorganisms by
drying them to produce a mass, and reducing the mass
to particles to produce a dry, flowable composition,
which is capable of uniform application to dry soil to
provide a cover crop and to improve soil aggregation.

This invention also provides a method for providing a cover crop and improving soil aggregation by the application to dry soil of a composition as herein defined. In a preferred embodiment, the composition is applied to soil that has a moisture content of approximately 50-100% of the soil's field capacity. In a particularly preferred embodiment, the composition is mixed with water substantially free of chlorine to form a suspension and the suspension is sprayed onto the soil.

25 This invention also provides a method for providing a cover crop and improving soil aggregation comprising the application of flocculant-producing algae in a vegetative stage to dry soil, wherein the algae are capable of producing flocculants in sufficient quantities to aggregate soil particles without 30 being deprived of nitrogen. In certain cases, sufficient moisture may need to be maintained in the soil so that the algae continue to multiply. Preferably, the algae in the vegetative state are substantially pure strains selected from any one or more 35 the species Nostoc commune, Chlamydomonas mexicana, or Chlamydomonas sajao.

The present invention relates to microbial compositions for application to soil to provide a cover crop and to improve soil aggregation. compositions comprise a dry, flowable mixture of flocculant-producing photosynthetic microorganisms in 5 a resting stage and a dry, particulate, water dispersible carrier. The compositions are capable of uniform application to dry soil to provide a cover crop of the microorganisms in a vegetative stage and to improve soil aggregation through the action of the 10 flocculant. The compositions may also include other materials in dry, particulate form, such as various plant nutrients, especially fertilizers. In some instances, it may also be desired to provide nutrients for the photosynthetic microorganisms in the 15 composition, for example, when the composition is being applied to nutrient-poor soil.

The photosynthetic microorganisms of the present invention are any flocculant-producing microorganisms that utilize chlorophyll to convert carbon dioxide, nutrients, and inorganic nitrogen into cell substance by means of energy derived from sunlight. The prime examples of such microorganisms are algae, particularly green and blue-green algae, which are the preferred microorganisms for compositions of the present invention. Microorganisms having photosynthetic or flocculant-producing capabilities introduced by any of the various techniques of genetic manipulation are also within the scope of the invention.

20

25

30

35

In a preferred embodiment, the compositions contain one or more strains of green or blue-green algae. Generally, it is preferable to use a mixture of strains so that the composition may be used on a wide variety of soil types. In particular, green algae have been found to survive and grow better than blue-green algae on soils with a pH of approximately 4

35

to approximately 7. On the other hand, blue-green algae generally survive and grow better than green algae on soils having a pH of approximately 7 to approximately 9. Thus, it is preferred that the composition contain at least one strain of blue-green algae and at least one strain of green algae. As used herein, the term "strain" shall mean a distinct subtype of a species.

For reasons of quality control and economics, the algae are generally grown in substan-10 tially pure cultures. That is, a particular species or strain of a species is grown in a nutrientcontaining medium which is substantially free of algae of different species and may even be substantially free of other strains of the same species. Algae from 15 such substantially pure cultures are then mixed into the soil treatment compositions. In a preferred embodiment, the compositions contain mixtures of at least two different, substantially pure strains from any one or more of the genera Chlamydomonas, 20 Chlorella, Nostoc, Oscillatoria, Aphanocapsa, Aphanothece, Schizothrix, or Microcoleus. One particularly preferred embodiment comprises a mixture of at least two different, substantially pure strains selected from any one or more of the species 25 Chlamydomonas nivalis, Chlorella pyrenoidosa, Oscillatoria prolifica (sometimes called Oscillatoria prolifera), or Aphanothece nidulans. Another particularly preferred embodiment also contains a substantially pure strain of Nostoc commune as well as 30 at least one strain selected from any one or more of the species mentioned in the preceding sentence.

In one particularly preferred embodiment, the green and blue-green algae are selected for their ability to produce relatively high amounts of floc-culants when compared to other strains of green or blue-green algae. It is difficult to define precisely

the criteria for determining high flocculant production because of variability in the way the flocculants are produced and in the physical properties of the flocculants themselves. The algal cells produce and excrete the flocculants to form a mucus-like sheath around the cells. Some types of algae produce such a sheath within a few hours, while others require several days. Sometimes the sheath can be fairly easily separated from the cells, and the volume or weight of the separated flocculants can be measured. Other times, it is difficult to separate the sheath, and visual examination must be relied upon.

10

Applicants have used the following assay to select for high flocculant-producing algae. Different species or strains of algae are grown under conditions 15 favoring the maximum production of flocculants for that species or strain. Approximately one-half ml of the algae in suspension is added to a four-inch wide petri dish filled with approximately ten ml of water. At the bottom of the petri dish is approximately one-20 half gram of very finely ground, reagent grade, pure kaolin clay. After the algal suspension is added to the petri dish, the dish is swirled several times by hand at a rate of approximately 40-50 revolutions per minute, and the clay is visually examined for 25 aggregation. When it occurs, such aggregation happens within approximately 15 seconds. Algae that cause such aggregation are considered to be high flocculant producers, while those that do not cause the aggregation are considered to be low flocculant 30 producers. The high flocculant producers have been shown to produce sufficient quantities of flocculants to aggregate soil particles after growing on the soil for a sufficient time, generally two to six weeks.

35 The algae in the compositions of the present invention are in a resting stage. In contrast with

algae in the vegetative stage, where they are growing and multiplying, algae in a resting stage are essentially dormant. The cells may be partially or completely dried or may be in the form of spores, where the cell wall is very heavy and resistant to the 5 environment. In this stage, the algae can survive dessication and other environmental extremes. still unknown about the physiological mechanisms by which algae may enter a dormant or resting stage when dried and then be revived by the addition of water to 10 re-enter the vegetative stage. Different species of algae appear to take different forms in this stage, while many others are unable to enter a resting stage so as to survive dessication. Thus, as used herein, the term "resting stage" shall mean any form or 15 physiological state entered into by algae or other photosynthetic microorganisms when dried that allows them to survive drying and to re-enter the vegetative stage when rewetted. Algae that are able to enter a resting stage usually have a fairly high survival 20 rate, i.e., 90 to 95% of the cells are able to reenter the vegetative stage. Those that are unable to enter the resting stage have an extremely low survival rate. Few, if any, survive dessication.

As previously mentioned, different species have different forms in the resting stage. In one embodiment of the invention, the cells appear to go directly from a vegetative stage to the resting stage by simply drying out. In another embodiment of the invention, other species of algae are able to enter a resting stage only after the nutrients in the culture medium are manipulated so as to cause the algae to begin reproducing sexually, forming zygotes. The zygotes then develop into heavily walled, environmentally resistant, dormant cells called zygospores, which are capable of surviving dessication.

In the compositions of the present

invention, the flocculant-producing photosynthetic microorganisms have been mixed with a dry, particulate, water dispersible carrier. The compositions are dry, flowable, and capable of uniform application to dry soil. As used herein, the term "capable of uniform application" means capable of even dispersion on the surface of soil over the entire area over which the cover crop is to be grown. As used herein, the term "water dispersible" means capable of dissolving in or forming a suspension in water.

Any carrier that is dry, particulate, and water dispersible may be used. The carrier need not be inert, but it should not be toxic or otherwise harmful to the microorganisms. Examples of such carriers include whey, guar gum, gum arabic, agar, malto, dextrin, lactose, and fine grain clay. Lactose or fine grain clay are particularly preferred. When clay is the carrier, any dry clay whose particles are water dispersible may be used. Particularly preferred are clay particles with a diameter from about 0.1 microns to about 5 microns. One such type of clay is Attapulgite clay, 99.7% of which will pass through a number 325 mesh screen.

10

The compositions of the invention are

capable of application to dry soil. As used herein,
the term "dry soil" means soil that is not flooded or
otherwise under water. More specifically, the term
means soil with a moisture content of from 100% to 0%
of field capacity. Field capacity is the percentage
of water remaining in a soil 2 or 3 days after having
been saturated and after free drainage has practically
ceased.

The algae of the inventive compositions generally grow best when the soil has a moisture content of at least 55% of field capacity. However, the algae will generally grow on soil with a moisture content as low as approximately 40% of field capacity.

Below approximately 40% of field capacity, the algae will stay in or re-enter the resting stage until the soil gains additional moisture. Thus, one of the advantages of the inventive compositions is that they can be applied to very dry (less than 40% of field capacity) fields without adding additional water. Instead, the farmer can rely on natural rainfall. In addition, if the algae have been growing and producing flocculants, and if the moisture content of the soil then drops below 40% of field capacity, the algae can enter the resting stage until the amount of moisture increases.

The compositions of the present invention are produced by the following method:

(a) growing flocculant-producing photosynthetic microorganisms in a nutrient-containing medium; and

10

(b) inducing a resting stage in the microorganisms by mixing them with a dry, particulate,
20 water dispersible carrier. In the resulting
composition, the majority of the microorganisms are
adhered to the particles of the carrier or inside a
collection of such particles. In a preferred
embodiment, the microorganisms are substantially
25 separated from the medium before being mixed with the
carrier to induce the resting stage.

with the carrier, the composition is substantially dry. If desired, it may be further dried by techniques known in the art at moderate temperatures that preserve viability of the cells. For example, the composition may be placed into flat trays and further dried by passing dry air over it. The temperature of the air should be no higher than approximately 65°C. and preferably in the range of approximately 25°C. to 35°C. Within this range, the drying time will be approximately one-half to two

hours. Alternatively, heat lamps or a drying drum can be used to complete the drying.

The inventive method generally produces compositions that are in particulate form, capable of dispersion in water for uniform application to a dry field. However, in some instances, it may be necessary or desirable to reduce the particles further in size. This may be accomplished by crumbling, grinding, pulverizing, or any method known in the art for reducing the size of particles, so long as such method preserves the ability of the resting stage microorganisms to re-enter the vegetative stage when subjected to sufficient moisture.

The organisms used in the inventive process are those previously mentioned with repect to the compositions.

It is generally preferred that the compositions contain a mixture of different strains of algae. The preferred process for producing this mixture is to grow separately at least two, 20 substantially pure cultures of algae in nutrientcontaining media, substantially separate the algae from the media, mix the substantially separated algae, and induce a resting stage in the mixed algae by mixing them with a dry, particulate, water-dispersible 25 carrier to produce the desired compositions. alternative embodiment, a resting stage is induced in each of the different, substantially separated algae by mixing each with a dry, particulate waterdispersible carrier to produce intermediate composi-30 tions, and the intermediate compositions are mixed to produce the desired composition.

The flocculant-producing photosynthetic microorganisms are grown by techniques known in the art. Methods for growing green and blue-green algae, for example, are disclosed in U.S. Patent 3,958,364 to Schenck et al., and in U.S. Patent 3,889,418 to Porter

et al. In general, the algae are grown in 3785-5678 liters (1,000-1,500 gallon) production tanks under conditions of sufficient light, nutrients, oxygen, and carbon dioxide to allow the algae to multiply. When originally added to the tanks, the water is sterile, filtered, and dechlorinated. It is continuously mixed in the tanks. The carbon dioxide is bubbled through the water to permit the algae to be grown and maintained at a higher density than otherwise possible. Artificial light is provided under a cycle of 18 hours of light and 6 hours of darkness, although other light regimes, including continuous light, may be used.

10

All algal species are maintained on agar

slants with nutrient enrichment of the agar by
nitrogen, potassium, phosphorous, and trace nutrients.

It is generally necessary to scale up through a series
of intermediate cultures to reach a culture with a
volume of approximately 1,000 gallons.

The algae in the production tanks are grown to a maximum density of approximately 10<sup>7</sup> cells per ml. Once the maximum density is reached, some cells must be harvested daily to maintain a stable, healthy culture. For green algae, 40% to 60% of the volume is harvested daily and replaced with sterile, filtered, dechlorinated water to return the volume to the original level. For blue-green algae, approximately 10% to 30% of the volume is harvested daily.

directly mixed with the carrier and dried. However, it is preferable to substantially separate the algae from the medium first. This can be done by any number of techniques, including centrifugation, settling, using a collecting screen, and skimming the cells from the surface of the medium. Centrifugation is preferred for green algae. Approximately 95% of the volume of the water can be removed in this way,

resulting in a paste of algae. The paste can be removed from the centrifuge by a pressurized stream of sterile, filtered, dechlorinated water that results in a slurry of green algae. This slurry is then mixed with the carrier.

Blue-green algae also can be centrifuged, but the filamentous species can clog the machine. Thus, the preferred method of separation from the medium is to cease mixing the cells, whereupon they settle into a mass at the bottom of the container. The mass can be removed through a drain spigot in the bottom of the container.

10

15

20

Blue-green algae can also be separated from the medium by pouring the slurry through a collecting tray with a screen bottom. However, the screen must be backwashed frequently with sterile water to prevent clogging.

Still another technique can be used for motile, photoactic cells, which are attracted to light. These are primarily green algae. When the mixing of the culture is stopped and the lights are left on, a thick, concentrated layer of cells will appear eventually on the surface. The layer may then be skimmed off.

In a preferred embodiment, the resting stage 25 is induced in the separated cells by mixing the cells with the carrier. In a particularly preferred embodiment, the algae are sprayed onto a dispersion of the carrier while being mixed with the carrier. The algae may be a mixture of two or more strains and such 30 strains may be mixed prior to or at the time of being spray-mixed with the carrier. The algae and carrier are spray-mixed at any ratio of volumes that will result in a substantially dry mixture. Generally, the ratio is approximately 1-5% by volume of algae in a 35 slurry to approximately 99-95% by volume of carrier. As previously mentioned, the resulting mixture can be

further dried by any technique that will preserve the ultimate viability of the cells that are in the resting stage.

In an alternative embodiment, the algae are induced to enter a resting stage by manipulating the 5 nutrients in the medium. This is accomplished by decreasing the level of nitrogen, which causes certain green algae to undergo sexual reproduction. example, the NaNO3 may be removed from the standard Bristol's medium. Some species, which are known as 10 heterothallic, form two different mating types, known as the mating type plus and the mating type minus. The different types mate to form zygotes. Other species, which are known as homothallic, form only one mating type, which also mate to form zygotes. 15 either type, the zygotes undergo a complex series of changes, which results in the formation of heavilywalled, environment-resistent, dormant cells called zygospores. The zygospores are removed from the medium, preferably by centrifugation or filtration as described above. They are then mixed with the carrier as described above. One particularly preferred species of algae for use in this embodiment is Chlamydomonas mexicana.

20

25 It is preferred that this technique be applied to separate cultures of different strains of zygospore-forming algae to form such zygospores. zygospores are then substantially separated from the media, mixed together, and then mixed with the carrier. Alternatively, the separated zygospores of 30 the different strains can each be mixed with carriers to form intermediate compositions, which are then mixed to form the compositions of the invention. still another alternative, the separated zygospores can be mixed with nonzygospore-forming algae, which 35 have been substantially separated from the media in which they were grown. The mixture is then mixed with

-18-

the carrier to produce the compositions.

5

10

15

nidulans.

If the algae is a heterothallic species, the plus and minus mating types can be grown in separate vats. During the growth stage, it is important that the cultures be kept actively growing so that they do not form gametic cells. This is accomplished by keeping the nitrogen level high enough to maintain the vegetative stage. When the algae has reached the desired density, the plus and minus mating types can be mixed together and the nitrogen level decreased so that mating or sexual reproduction is encouraged. This can be checked by microscopic inspection of samples drawn from the vat. When a suitable percentage of the cells have formed zygospores the zygospores are removed from the growth medium.

The same process is used to induce homothallic algae to form zygospores. However, the algae are grown in a single vat.

In still another embodiment, certain species of algae or other flocculant-producing photosynthetic 20 microorganisms may be induced to enter a resting stage by direct drying without simultaneously mixing with the carrier. Specifically, after the algae are grown in and separated from the medium as described above, they are dried under moderate temperatures. For 25 example, they may be dried by heat lamps or dry air. This produces a dry mass, which is usually hard and brittle. The mass can be crumbled into particles. The particles need not be mixed with the carrier, but can be applied to soil by simply suspending them in 30 water and adding the suspension to the soil. embodiment works best with green algae and nonfilamentous blue-green algae because a filamentous mass cannot be easily crumbled into particles. ferred species for this embodiment are <a href="Chlamydomonas">Chlamydomonas</a> 35 nivalis, Chlorella pyrenoidosa, and Aphanothece

-19-

The compositions of the invention are used to provide a cover crop and to improve soil aggregation. This is accomplished by applying the compositions uniformly to dry soil. If there is sufficient moisture in the soil, the resting stage flocculant-producing photosynthetic microorganisms in the compositions will enter the vegetative stage, multiply, and produce flocculants. If there is insufficient moisture in the soil, it can be added. Nutrients may also be added, although this is generally not necessary for most soils.

5

10

15

20

25

30

35

When the microorganisms are algae, sufficient moisture is approximately 40% of field capacity or more. However, for the algae to grow profusely, the moisture content of the soil surface should be at least approximately 50% of the soil's field capacity. If desired, soil moisture and nutrients may be maintained in the soil so that the algae continue to grow profusely, although this is generally not necessary. Moreover, as previously mentioned, it is not necessary to add or maintain a certain level of nitrogen initially and then allow the nitrogen to be depleted for the algae to produce sufficient quantities of

The compositions may be applied by any technique that permits their wide dispersal and uniform application to the soil. It is preferred that the compositions be mixed with water at or near the site of application to form a suspension, which may then be sprayed onto the field, such as through an existing irrigation system. The water should be substantially free of chlorine, which can kill the cells. The concentration of cells should be at least one hundred cells per square centimeter of soil surface. Preferably, from approximately 250 to approximately 1,000 cells per square centimeter of soil surface are added.

flocculants to aggregate soil particles.

In an alternative embodiment, the invention provides a method for providing a cover crop and improving soil aggregation comprising applying flocculant-producing algae in a vegetative stage to dry soil, wherein the algae are capable of producing flocculants without being deprived of nitrogen. Thus, cellular nitrogen does not have to be maintained at about 10% by weight and then be allowed to fall to about 5% by weight. In this embodiment, the vegetative stage algae may be a single strain or a 10 mixture of strains. Preferred strains are selected from the genera Nostoc or Chlamydomonas. The species Nostoc commune, Chlamydomonas mexicana, or Chlamydomonas sajao are particularly preferred, and the particularly preferred strain or strains may be 15 selected from any one or more of these species. concentration of cells should be at least 100 cells per square centimeter of soil surface and preferably from about 250 to about 1,000 cells per square centimeter of soil surface. If necessary, soil 20 moisture and/or nutrients other than nitrogen can be added or maintained so that the algae continue to multiply. Soil moisture is maintained at least at 40% of field capacity and preferably from approximately 25 50-100% of field capacity.

#### EXAMPLE 1

Preparation of Composition Containing

<u>Chlorella pyrenoidosa</u> in a Resting Stage:

A 20 liter innoculum of <u>Chlorella</u>

pyrenoidosa containing approximately 5 million cells per ml. and one liter of concentrated algae nutrient medium were added to a production tank containing 1,000 gallons of sterile, filtered, dechlorinated water. The water in the tank was continuously mixed and carbon dioxide was added to the water. The culture was illuminated under a cycle of 18 hours of light and six hours of dark by 5 1000-watt mercury-

-21-

halogen lights mounted above the tank. After approximately six days, the cells were at a maximum density. Fifty percent of the volume was harvested and replaced with sterile, filtered, dechlorinated water to return the volume to approximately 1,000 gallons.

The harvested cells were centrifuged by a dairy clarifier that can handle 900 gallon per hour of flow through material. The centrifugation removed approximately 95 percent by volume of the water,

- leaving a paste of algae in the centrifuge bowl. The machine was stopped, disassembled, and rinsed by sterile, filtered, dechlorinated water under pressure into a receiving bucket, producing a 15 liter slurry of algae at a density above 200 million cells per ml.
- The slurry was sprayed onto Attapulgite clay in a paddle mixer containing 50 lbs. of the clay. The mixer contained four paddle blades that mix the material at a rate of 48 rpm. About one percent of slurry by volume was added to about 99 percent of clay by volume. This produced a well-mixed, dry product. Additional drying was accomplished by placing the mixture into a flat, 3 ft. by 2 ft. by 3 in. tray and passing dry air at 80°F. over it for 2 hours. After
- removal of samples for testing, the composition was placed in plastic containers, sealed, and stored at room temperature.

The samples were re-wetted and sprayed on agar plates to assay for viability of the algae. The algae resumed vegetative growth within 7 days.

30 EXAMPLE 2

5

Preparation of Composition Containing Chlamydomonas mexicana Zygospores:

The opposite mating types of this strain of algae were grown on standard agar solidified medium at about 20°C. under continuous light. After the cells had reached a healthy population density, the two mating types were removed from the agar culture and

suspended separately in Bristol's medium and allowed to grow until they reached cell densities of approximately 106 cells/ml, so that approximately equal numbers of the opposite mating type were obtained.

5

10

15

20

25

30

35

The two mating type cultures were then mixed together and allowed to continue to grow in the Bristol medium. The culture was allowed to sit under continuous light at approximately 20°C. As the cells continued to divide vegetatively, the culture developed a darker green color. No additional nutrients were added to the culture to replenish the exhausted nutrients. The beginning of nutrient starvation was indicated by the yellowish color taken on by the culture. After a period of several days, the culture began to develop a brownish color which was shown microscopically to correlate with the formation of zygospores. Periodic microscopic examination of samples revealed that the percentage of zygospores reached a maximum after 21 days.

At this point, the culture was centrifuged and the supernatant poured off. The slurry remaining was a dark brown color. This slurry, composed of nearly 100 percent zygospores, was then removed from the centrifuge, spread on glass plates, maintained in calcium chloride, and left under dark conditions. material was allowed to sit for about a week during which time the water evaporated. The zygospore material was then mechanically scraped from the glass plate. At this point, it had the consistency of sawdust.

yields of approximately 10 percent were observed.

Zygospore

The material was tested for germination by placing samples of the powder in a standard culture medium. Germination percentages of up to 75% were observed. There was no observable decrease in germination percentage when the cells were held for as long as approximately eight weeks.

-23-

#### EXAMPLE 3

Application of Resting Stage Algae to Soil: <u>Description of Experimental Site and Methods</u>

The effects of drought on the test strains

of algae were assayed under shaded canopies, with controlled amounts of moisture, on bare soil in a field in Jefferson County, Iowa. The soil would be classified as a Haig and Grundy, silty clay loam.

Design of Canopies

Canopies of .01cm (4 mils) white plastic were erected over the test plots. Three separate structures 18.3m by 3m (60 feet by 10 feet) were built to cover the experimental plots, and the canopies were suspended 1.4 to 1.5m (4 1/2 feet to 5 feet) above the ground to keep any rain off of the plots. Each experimental plot was 1.2m by .6m (four feet by two feet) and separated by metal flashing.

The sides of the structures were open, allowing air flow and plenty of light to propagate the algae. Direct rainfall was kept off of the plots by the existence of the canopies, and a slight shading effect from direct solar radiation was accomplished which mimicked the shading a mature cash crop would have on the soil surface during summer months.

20

25

30

35

A plumbing system of PVC pipes with misting nozzles was mounted under the canopy above the plots for controlled moisture application. The plots were watered once daily between 11:00 a.m. and 12:00 noon and moisture levels in the surface 2.5 cm (one inch) of the soil were documented daily by daily reading of a total of twelve gypsum soil moisture blocks before watering with a Delmhorst Instrument Company moisture meter from A&L Labs. With daily watering, the surface maintained a very wet condition in the range of 10 on the meter.

Air temperature was recorded daily on six thermometers under the canopy at .6m (two feet) above

the soil surface, and the soil temperature in the top two inches was also monitored daily at six locations on the plots.

Five strains of resting stage algae were

tested. They were Nostoc muscorum, Aphanothece
nidulans, Nostoc commune, Chlorella pyrenoidosa, and
Chlamydomonas peterfii, referred to as BG-1, BG-2,
BG-3, C-1, and C-2, respectively. C-2 had been
prepared according to the method of Example 1, with
the carrier being dried agar. The other strains had
been dried without being mixed with a carrier. Seven
replicates for each strain were tested, resulting in
35 test plots. There were 8 control plots.

The dried stains were added to dechlorinated water and diluted to provide a suspension of 15 approximately 107 cells/ml. The suspension was sprayed onto the test plots at a concentration approximately 946 cm<sup>3</sup> (one quart) of suspension per 76 liters (20 gallons) of water per 4047  $m^3$  (acre) which provided a concentration of approximately 1010 20 cells  $4047 \text{ m}^3$  (per acre). These plots were not watered for seven days after application of the strains. Then all test plots were watered daily for 28 days. The control plots were watered in the same manner as the test plots, but no algae were applied to 25 them.

Soil samples and algae samples were collected at day 14 and 28 and analyzed for wet aggregate stability and modulus of rupture as soil physical assays; microscopically analyzed for viability and algal identification, and two weeks after the watering ended, a proving ring penetronometer was used to test soil compaction. All test plots and control plots were sampled.

#### 35 Methods

Sample Preparation

Bulk samples were assembled from trowel

scoops taken randomly over each plot. In the laboratory, they were spread and air dried for 72 hours and then gently crushed with a rubber mallet. The soil was screened by hand in 20.3 cm (8-inch) brass wire sieves into two fractions: (1) all material passing a 2.00 mm sieve and (2) material passing the 2.00 mm sieve but retained on a 1.00 mm sieve. The samples were stored in closed polyethylene buckets.

Wet Aggregate Stability

The method used closely followed Kemper, 10 W.D., "Aggregate Stability," Methods of Soil Analysis Part I: Physical and Mineralogical Properties, Including Statistics of Measurement and Sampling, C.A. Block (ed.), pgs. 511-519 (1965). Approximately 50 g. of aggregates retained on the 1.00 mm screen were wet 15 sieved for 7 minutes at 30 cycles/min. with a shaker table rise of 125 mm per cycle. The screen was a No. 60 (0.25 mm) Soiltest 20.3 cm (8-inch) diameter sieve. A No. 18 (1.00 mm) was placed above it and a No. 10 (2.00 mm) was placed below it to keep small aggregates 20 from escaping over the sides, but otherwise the flow of water was unrestricted. The aggregates were immersed rapidly, screened, washed into a drying dish and dried at 100°C. overnight. The weight of sand was determined by hand washing the dried sample on the No. 25 60 screen in a dispersing solution 5 g/l sodium metaphosphate. Sand retained on the screen was washed into the drying dish, dried and weighed for substraction from both water stable aggregates and initial aggregates, the ratio of which was termed 30 "percent water stable aggregates". Modulus of Rupture

The method used was that described by
Reeves, "Modulus of Rupture," Methods of Soil Analysis

Part I: Physical and Mineralogical Properties,

Including Statistics of Measurement and Sampling, C.A.

Black (ed.) pgs. 466-471 (1965). A briquet loading

device was custom made from hardwoods with loading by trickling water. The briquet molds were purchased from Soilmoisture Corp., Santa Barbara, CA. Material passing the 2.00 mm. sieve and reasonably free of vegetable trash was placed through a plastic funnel into the molds, which rested on filter paper on top of aluminum screen frames. Because most of the soils were fairly well aggregated, it was found necessary to add compaction to the standard method of this stage to produce briquets that could be handled and tested 10 without crumbling. A pine wood block was placed on the screened off soil surface and an 5.2 kg (11.4 lb.) weight gently applied for a few seconds. The pressure was 22.6 kPa (3.3 psi.) More soil was sprinkled on the compressed surface, screened off, and the same 15 compaction repeated. Eight briquets were manufactured per screen rack, which was wetted from below to saturation and soaked for one hour before drying at 50°C. for 36 hours. The briquets were broken as simple beams in the standard way, their dimensions 20 measured, and the modulus of rupture calculated from the formula for fiber stress in beams,  $s = FL/2 bd^2$  $dynes/cm^2$ , where F equals the breaking force applied at the center of the briquet beam span, L equals the distance between the briquet end supports, b equals 25 the width of the briquet, and d equals the depth or thickness of the briquet measured parallel to the direction of the applied load.

Macroscopic and Microscopic Analysis

At two weeks, a green growth was visible to the naked eye on most of the test plots and on the controls. The color of green varied from controls, the green algae, and the blue green algae. Visual examination of controls revealed mosses and probable algal growth; the green algae plots C-1 and C-2 had a more distinctive algal growth, and the blue-green plots, BG-1, BG-2, and BG-3 had a bluer green color.

-27-

Microscopic analysis revealed the presence of plant residue, numerous bacteria, other ubiquitous algae, soil animals, and the properly transplanted algae on all plots. Colonies of 500-5,000

transplanted algae cells for the tested organisms were observed.

## Field Penetration

The field plots were tested using a Soiltest Proving Ring Penetrometer. This device was 32.3 cm

(5-inch) diameter steel proving ring with .000254 cm
(0.0001-inch) guage to measure the force required to push a 5.1 cm (2.0-inch) long conical steel point into the soil. The point's angle was 30 degrees, and its diameter at the top was 2.54 cm (one inch). Five probings were made in both control and treated plots, including both high and low areas of the microterrain. The moisture content was less than field capacity.

#### Results

At two weeks of watering, after a week of drought, two of the five strains had a marked result on wet aggregate stability, above 70% significance, with BG-1 at 70% and BG-2 at 99%. At four weeks, the control plots had risen in aggregate stability so that none of the test plots were significantly different than the control. See Table 1.

At two weeks of watering after seven days of drought, BG-2 showed a softer modulus of rupture than the control at the 90% confidence level. All other strains showed no significant difference than the control at two weeks. At four weeks, BG-3 had stronger modulus of rupture than the control at a 90% confidence level, with BG-1 stronger at a 95% confidence level. BG-2 showed a 95% confidence level of difference with the control at a softer modulus of rupture. See Table 2.

Under the drought conditions, no green algae appeared, but at day ten, three plots were green, BG-3 and two controls. By day eleven 30 of 58 plots were green, with BG-1, BG-2 and BG-3 having five of seven plots green and the control, C-1, and C-2 at four or less green plots. By day twelve, 55 of 58 plots showed signs of green growth.

Microscopic analysis of all plots at 28 days of watering showed an active bacterial population, multivaried algal population, and active soil animals. Evidence of colonies of 500-5,000 of the test strains were found on each plot in clumps scattered among the diverse flora. Controls were dominated more by moss and plant debris than the experimental plots.

10

15

Field penetration data showed significant difference on four drought treatments but with the control softer than any treatment. See Table 3.

Discussion

We saw effects greater than the control for BG-1 and BG-2 at two weeks in wet aggregate stability and with BG-2 for modulus of rupture at two weeks. At four weeks of watering, aggregate stability on the controls was not significantly different from any of the strains, field penetration data was confused even though modulus of rupture was significantly stronger than control at 90% or greater for BG-1, BG-2 and BG-3 and 75% for C-1.

Conclusion

When the test strains were subjected to

drought conditions for seven days after inoculation,
there was growth on all plots after two weeks of
watering, with BG-1 and BG-2 showing statistically
detectable differences compared to the control on
aggregate stability. Under modulus of rupture, BG-2

showed a 90% significance level compared to control.
At four weeks, aggregate stability was not
significantly different than control for all strains,

but modulus of rupture showed statistically significant differences for BG-1, BG-2, and BG-3, greater than 90% compared to the control and at a 75% confidence level for C-1.

TABLE 1
WET AGGREGATE STABILITY

	2	Weeks	4 Wee			eks	
STRAIN	% Agg.*	Stan. Dev.	C.L.	% Agg.*	Stan. Dev.	C.L.	
CONTROL	27.0	2.1		29.7	8.1		
C-1	27.0	3.4	N.S.	29.7	4.6	N.S.	
BG-1	29.8	4.7	70%	29.0	4.3	N.S.	
BG-2	32.4	3.9	99%	32.8	4.1	N.S.	
C-2	29.1	3.9	N.S.	29.0	3.7	N.S.	
BG-3	30.1	6.2	N.S.	27.4	6.2	N.S.	

<sup>\*</sup>Based on 35 test plots and 40 control plots

5

C.L. = Confidence Level

N.S. = Not Significant

-30-TABLE 2 MODULUS OF RUPTURE

	2 Weeks 4	Weeks
5		

STRAIN	Avg.*	Stan. Dev.	C.L.	Avg.*	Stan. Dev.	C.L.
CONTROL.	2.03	59		210	54	
C-1	170	27	N.S.	241	64	75
BG-1	172	45	N.S.	316	73	95
BG-2	107	82	90%	159	39	95
C-2	194	85	N.S.	212	<b>67</b>	N.:
BG-3	191	80	N.S.	265	49	90

\*Based on 35 test plots and 40 control plots

C.L. = Confidence Level

N.S. = Not Significant

TABLE 3
FIELD PENETRATION

STRAIN	AVG. DIAL*	STAN. DEV.	AVG. FORCE*	C.L.	AVG. MOIST CONTENT*
CONTROL	109	22	36		12.2
C-1	126	28	41	99%	12.9
BG-1	168	44	55	99.9%	16.7
BG-2	111	31	37	N.S.	14.2
C-2	118	37	99	90%	13.4
BG-3	107	29	35	N.S.	12.4

<sup>\*</sup>Based on 35 test plots and 40 control plots

C.L. = Confidence Level

N.S. = Not Significant

#### -31-

#### EXAMPLE 4

Viability of Resting Stage Algae in Compositions of the Invention

#### Purpose

The purpose of this experiment was to test the survival of two algal species after mixing with a dry, water dispersible carrier.

Methods

Algae were combined with several different dry, water dispersible carriers according to the method of Example 1 and assayed for survival on agar plates. A cyanophyte, Nostoc commune, and a chlorophyte, Chlorella pyrenoidosa, were mixed with several dry, water dispersible powders. Nostoc

commune alone, Chlorella prenoidosa alone, and a mixture of the two were tested. The carriers were whey, guar gum, gum arabic, agar, malto dextrin, lactose, and clay.

Vegetative cells of each were concentrated from growing, active cultures by centrifugation and then sprayed-mixed with the dry carrier. A ratio of 99% carrier to 1% concentrated algae in a liquid slurry was used. All samples were air dried for 48 hours at 25°C.

Survival was tested by crumbling the dried mixture of algae and carrier onto nutrient agar plates replicated ten times. The plates were observed over 7 to 14 days.

#### Results

Results are presented in Tables 4, 5, and 6.

Survival after mixing and drying of both algal strains, separately and together, showed success with guar gum, gum arabic, agar, malto dextrin, lactose, and clay. Microscopic analysis verified the survival and growth was of the original species dried and tested. Visible growth of the cyanophyte was slower than the chlorophyte.

#### Conclusion

The method of using a mixture of dry, water dispersible material and vegetative algal cells to dry and preserve the algal cells was tested and found effective. Survival and growth after the dry, flowable composition was formed was documented by plating onto nutrient agar and observing over 7 to 14 days.

TABLE 4

10

5

Survival and Growth on Agar of
N. commune Mixed with Various Carriers

<u>Carrier</u> <u>Survival</u> (Ten replicates on agar)

15	Whey	Confounded with heavy bacterial growth
	Guar gum	9/10
	Gum arabic	9/10
	Agar	10/10
20	Malto dextrin	8/10
	Lactose	9/10
	Clay	10/10

TABLE 5

Survival and Growth on Agar of

25 <u>C. pyrenoidosa</u> Mixed with Various Carriers

<u>Carrier</u> <u>Survival</u> (Ten replicates on agar)

	Whey	0/10
30	Guar gum	8/10
	Gum arabic	9/10
	Agar	10/10
	Malto dextrin	9/10
	Lactose	10/10
35	Clay	10/10

-33-TABLE 6

# Survival and Growth on Agar of N. commune and C. pyrenoidosa Mixed with Various Carriers

<u>Survival</u> (Ten replicates on agar)

	<u>Carrier</u>	N. commune	C. pyrenoidosa
	Whey		bacterial growth
		and mo	old
10	Guar Gum	8/10	8/10
	Agar	9/10	8/10
	Malto dextrin	9/10	9/10
	Lactose	9/10	8/10
15	Clay	10/10	10/10
		EXAMPLE 5	

Application of Vegetative Stage Algae to Soil Purpose

The purpose of this experiment was to test the teaching of the prior art that, when the vegetative stage algae are applied to the soil surface, nitrogen must be added to the surface and then be allowed to deplete before significant amounts of flocculants would be produced.

# Materials and Sample Preparation 25

Algal Cover Crop

30

35

5

An algae suspension was prepared, comprising Chlamydomonas mexicana equal to 80% of the total cell count per ml of Nostoc commune equal to 20% of the cell count per ml. It contained a minimum of 107 total cells per ml.

The field plots studied herein were sprayed with a hand sprayer in November of the first year and March of the following (second) year at a concentration of approximately one quart of suspension with 76 liters (twenty gallons) of water per 4047 m<sup>2</sup> (acre). This provided approximately 10<sup>10</sup> cells per 4047 m<sup>2</sup> (acre). The surface 2.54 cm (1-inch) was

sampled in May of the second year. No nitrogen or other nutrients were added.
Field Plots

One level 4047 m<sup>2</sup> (acre) was leased. In the two years immediately before the initial spraying, it had been planted with corn, and in the three preceding years with alfalfa and orchard grass. The ground was subdivided into twenty 3m by 15 m (10-foot by 50-foot) test plots with intervening 3 m (10-foot) buffer zones seeded with rye to prevent lateral migration of algae from treated plots to control plots. Textures of all plots were determined by A & L Mid West Agricultural Laboratories, Inc., Omaha, NE, and plots were paired based on their textures to eliminate that variable.

This study compared Plot 2 (treated, with 30% sand, 55% silt, and 15% clay) with Plot 5 (control, with 30% sand, 53% silt, and 17% clay). The soil was rototilled in the autumn and left bare except for weeds. No fertilizers or chemicals were applied.

20 Sample Preparation

10

Bulk samples were assembled from trowel scoops taken randomly over each plot. In the laboratory, they were spread and air dried for 72 hours and then gently crushed with a rubber mallet.

The soil was screened by hand in 20.3 cm (8-inch) brass wire sieves into two fractions: (1) all material passing a 2.00 mm sieve and (2) material passing a 2.00 sieve mm but retained on a 1.00 mm sieve. The samples were stored in closed polyethylene buckets.

### Test Methods

35

Wet Aggregate Stability

The method used for determining wet aggregate stability was the same as in Example 3. Modulus of Rupture

The method used to determine modulus of rupture was the same as in Example 3.

#### Field Penetration

The plots were tested in May of the second year with a Soiltest Proving Ring Penetrometer. This device was a 5-inch diameter steel proving ring with .00254 cm (0.0001-inch) guage to measure the force required to push a 5.08 cm (2-inch) long conical steel point into the soil. The point's angle was 30 degrees and the diameter at the top was one inch. Twenty probings were made at the depth of the steel point in both control and treated plots, including both high and low areas of the micro-terrain. The moisture content was slightly less than field capacity. Soybean Emergence

A pair of 3m by 15m (10 feet x 50 feet) plots (plots 15 and 16) at the same site, which also 15 had been treated twice with the cover crop (November of the first year and March of the second year), was rototilled. Twenty-four planter boxes, 1.5  $m^2$  (fourfeet square) were built of 2.5 by 15.2 cm (1  $\times$  6 inches) lumber and set into the soil. The textural 20 analysis on Plots 15 and 16 were identical at 16% sand, 64% silt, and 20% clay. A crust was formed by applying one inch of water. Subsequent alternating rain and sun formed a 1.3 cm (1-inch) crust. Twelve soybean seeds per box were planted at a measure of 25 depth of 3.8 cm (1.5 inches), 30 scm (12-inches) apart with minimum disruption of the crust, which was then re-formed by watering. One hundred forty-four seeds each of two varieties were planted. The Stine 3560 of Adel, Iowa has the highest emergence rating of "1" and 30 the Williams 82 seed of Oskaloosa, Iowa has the poorest rating of "5" on a scale of one to five. emerging plants were tallied several times per week. Results and Discussion

35 The three physical properties tests showed significant differences between treated and control plots, Table 7. The treated soils produced a higher

percent of water stable aggregates which is favorable to most crops because it causes generally higher infiltration rates and aeration as well as reduced erosion. The modulus of rupture of the treated soil was significantly lower than the control, indicating less potential for hard surface crusting and clod formation upon drying. The field penetration test confirmed the modulus of rupture test in pointing to a softer soil surface.

After nine days, soybeans planted in treated soil had a significantly higher percent emergence than seeds planted in control soil. Table 8 contains the results at 21 days and shows the greater relative benefit to the weaker emerging seed, Williams 82.

The above results suggest this algal cover crop improves the aggregation of Midwestern clay soils. The results further demonstrate that, contrary to the teaching of the prior art, it was not necessary to add nitrogen to the soil and then allow the nitrogen to deplete for the algal cover crop to produce a sufficient amount of flocculants to improve soil aggregation.

TABLE 7

Results of Tests on Field Plots Treated With Algal Cover Crop vs. Matched Controls

Test	Treated (T) Control (C)	# of Repe tion	ti-	Stand- ard Devi- ation	Confi- dence Level*
Wet Aggre- gate Stability	T C	9 9	46.0% 35.8%	4.2% 6.7%	95%
Modulus of Rupture	T C	7 7	3.55 x 10 <sup>5</sup> dynes/cm <sup>2</sup> 4.52 x 10 <sup>5</sup> dynes/cm <sup>2</sup>	0.27	95%
Field Pene- tration	T C	20 20	94 lbs. 103 lbs.	14 lbs. 13 lbs.	95%

<sup>\* 2-</sup>tailed t-test

TABLE 8
Soybean Emergence Through Soil Crust

Soybean (S	Row. no ium of 4 anter boxes)	Percent Treated	Emergence Control	at 21 Days Confidence Level*
Stine 3560	1 2	83 75	81 67	90%
Williams 82	3 4 5 6	83 81 75 77	75 48 67 56	90%

<sup>\* 2-</sup>tailed t-test

PCT/US86/02294

#### CLAIMS

- A composition useful to improve soil, comprising a dry, flowable mixture of flocculant-producing photosynthetic microorganisms in a resting stage and a dry, particulate, water dispersible carrier, said composition being capable of uniform application to dry soil to provide a cover crop of said microorganisms in a vegetative stage and to improve soil aggregation through the action of said flocculant.
- 2. The composition of claim 1, wherein said flocculant-producing photosynthetic microorganisms are one or more strains of algae.
- 3. The composition of claim 2, wherein said algae is a mixture of at least two different, substantially pure strains.
- 4. The composition of claim 3, wherein said algae is a mixture of:
- (a) a first strain of green algae and a second strain of blue-green algae; or
- (b) a first strain viable in soil having a pH from approximately 4-7 and a second strain viable in soil having a pH from approximately 7-9; or
- (c) strains selected from any one or more of the genera <u>Chlamydonomas</u>, <u>Chlorella</u>, <u>Nostoc</u>, <u>Oscillatoria</u>, <u>Aphanocapsa</u>, <u>Aphanothece</u>, <u>Schizothrix</u>, or <u>Microcoleus</u>; or
- (d) strains selected from any one or more of the species <u>Chlamydonomas nivalis</u>, <u>Chlorella pyrenoidosa</u>, <u>Nostoc commune</u>, <u>Oscillatoria prolifica</u>, or <u>Aphanothece nidulans</u>.
- 5. The composition of any one of the preceding claims, wherein said carrier is one or more of whey, guar gum, gum arabic, agar, malto dextrin, lactose or clay.
- 6. The composition of claim 5, wherein said carrier is fine-grained clay.
- 7. A method for the production of a dry, flowable microbial composition, comprising growing flocculent-producing photosynthetic microorganisms in a nutrient-containing medium; and inducing a resting state in said microorganisms and

obtaining a dry flowable composition by:

- (a) mixing said microorganisms with a dry, particulate, water dispersible carrier; or
- (b) substantially separating said microorganisms from said medium, drying said substantially separated microorganisms to produce a mass and reducing said mass to particles to produce a dry flowable composition; or
- (c) selecting algae as said microorganisms and growing said algae in a nutrient-containing medium, manipulating the nutrients in said medium to cause said algae to form zygospores, substantially separating said zygospores from said medium and mixing said substantially separated zygospores with a dry, particulate, water dispersible carrier; thereby obtaining a composition being capable of uniform application to dry soil to provide a cover crop and to improve soil aggregation through the action of said flocculent.
- 8. The method of claim 7, wherein step (a) comprises the further step of substantially separating said microorganisms from said medium before inducing said resting stage in said microorganisms.
- 9. The method of claim 7 or 8, wherein steps (a) or (c) comprise the further step of reducing the particle size of said composition.
- 10. The method of any one of claims 7 to 9, wherein in steps (a) or (b) said photosynthetic microorganisms are one or more strains of algae.
- 11. The method of claim 7(c) or 10, wherein said algae produce flocculants in quantities sufficient to aggregate soil particles after growing on said dry soil.
- 12. The method of claim 10, wherein said step (a) further comprises spraying said separated algae onto a dispersion of said dry, particulate, water dispersible carrier while mixing said algae with said carrier.
- 13. The method of claim 12, wherein said algae is mixed with said carrier at a ratio of approximately 1-5% by volume of algae to approximately 99-95% by volume of carrier.
- 14. The method of any one of claims 7 to 13, wherein

said step (a) is done at temperature from about 25°C. to about 35°C.

15. The method of any one of claims 10 to 14, wherein said method comprises the steps of:

growing separately at least two different, substantially pure cultures of algae in nutrient-containing media;

substantially separating said algae from said media;
mixing said substantially separated algae; and
inducing a resting stage in said mixed algae by
mixing said mixed algae with a dry, particulate, water
dispersible carrier to produce a dry, flowable composition.

The method of any one of claims 10 to 14, wherein
said method comprises the steps of:

growing separately at least two different, substantially pure cultures of algae in nutrient-containing media;

substantially separating said algae from said media; inducing a resting state in said substantially separated algae by mixing said separated algae with a dry, particulate, water dispersible carrier to produce at least two intermediate compositions; and

mixing said intermediate compositions together to produce a dry, flowable composition.

- 17. The method of any one of claims 7 to 16, wherein said algae are one or more strains selected from any one or more of the genera <u>Chlamydomonas</u>, <u>Chlorella</u>, <u>nostoc</u>, <u>Oscillatoria</u>, <u>Aphanocapsa</u>, <u>Aphanothece</u>, <u>Schizothrix</u>, or <u>Microcoleus</u>.
- 18. The method according to any one of claims 7 to 17, wherein the microorganisms subjected to step (a) comprise one or more strains selected from any one or more of the species Chlamydomonas nivalis, Chlorella pyrenoidosa, Nostoc commune, Oscillatoria prolifica, or Aphanothece nidulans.
- 19. The method of claim 7, wherein in step (c) said substantially separated zygospores are mixed with nonzygospore-forming algae substantially separated from the

medium in which said nonzygospore-forming algae were grown and said mixture of zygospores and nonzygospore-forming algae is mixed with a dry, particulate, water dispersible carrier to induce a resting stage in said nonzygospore-forming algae and to produce said dry, flowable composition.

- 20. The method of claim 19, wherein said algae is Chlamydomonas mexicana.
- 21. The method of claim 7, said method comprising the steps: of:

growing separately at least two different, substantially pure cultures of algae in nutrient-containing media; in step (c) inducing a resting stage in said algae by manipulating the nutrients in said media to cause said algae to form zygospores;

substantially separating said zygopores from said media; and either:

(1) mixing said substantially separated zygospores; and

mixing said mixed zygospores with a dry, particulate, water dispersible carrier to produce a dry, flowable composition; or

(2) mixing said substantially separated zygospores with a dry, particulate, water dispersible carrier to produce at least two intermediate compositions; and

mixing said intermediate compositions together to produce a dry, flowable composition.

- 22. The method of claim 7, wherein the microorganisms separated in step (b) are algae which are one or more strains from any one or more of the species <u>Chlamydomonas</u> <u>nivalis</u>, <u>Aphanothece</u> <u>nidulans</u>, or <u>Chlorella</u> <u>pyrenoidosa</u>.
- 23. The method of any one of claims 7 to 22, comprising the further step of further drying said composition.
  - 24. A method as in any one of claims 7-23, wherein said carrier is one or more of whey, guar gum, gum arabic, agar, malto dextrin, lactose or clay.
  - 25. A method as in claim 24, wherein said carrier comprises fine grained clay.

PCT/US86/02294

- 26. A method for providing a cover crop and improving soil aggregation, comprising the uniform application of the composition of one of claims 1 to 25 to dry (as herein defined) soil.
- 27. The method of claim 26, wherein the concentration of said algae after application of said algae to said dry soil is at least 100 algal cells per square centimeter of soil surface.
- A method as in claim 26, wherein the concentration of said algae after application to the soil is approximately 250-1000 algal cells per square centimeter of soil surface.

# INTERNATIONAL SEARCH REPORT

International Application NoPCT/US86/02294

I. CLAS	I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) 3						
Accordin	According to International Patent Classification (IPC) or to both National Classification and IPC						
U.S. C	1• COOK TT\00						
	S SEARCHED						
II. FILLS		entation Searched 4					
Classificat	on System	Classification Symbols					
		Classification Cympols					
U.S.	71/6, 903; 47/1.4 58	8; 210/610					
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched 5							
	JMENTS CONSIDERED TO BE RELEVANT 14						
Category *	Citation of Document, 16 with indication, where app	propriate, of the relevant passages 17	Relevant to Claim No. 18				
х	US, A, 3,969,844, (Fogel et al.) See the entire document	), 20 July 1976,	1-28				
х	US, A, 3,889,418, (Porter et al. See the entire document	.), 17 June 1975,	1-28				
х	US, A, 3,958,364, (Schenck et al See the entire document	1.), 25 May 1976,	1-28				
"A" dod cor "E" ear	al categories of cited documents: 15 cument defining the general state of the art which is not sidered to be of particular relevance lier document but published on or after the international	"T" later document published after to priority date and not in conflicted to understand the principle invention  "X" document of particular relevance	ct with the application but e or theory underlying the				
"L" doc whi cita "O" doc oth "P" doc late	ument which may throw doubts on priority claim(s) or ch is cited to establish the publication date of another tion or other special reason (as specified) nument referring to an oral disclosure, use, exhibition or er means nument published prior to the international filing date but r than the priority date claimed	cannot be considered novel or involve an inventive step  "Y" document of particular relevant cannot be considered to involve document is combined with one ments, such combination being on the art.  "&" document member of the same p	cannot be considered to ce; the claimed invention an inventive step when the or more other such docu- bovious to a person skilled				
	IFICATION	1					
	e Actual Completion of the International Search 2  January 1987	Date of Mailing of this International Se					
	al Searching Authority 1	Signature of Authorized Officer 20					
ISA	-	Ferris Lander					