



(51) International Patent Classification:

C07D 321/00 (2006.01) C07C 59/60 (2006.01)

(21) International Application Number:

PCT/EP2017/060702

(22) International Filing Date:

04 May 2017 (04.05.2017)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

PA 2016 00273 04 May 2016 (04.05.2016) DK

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(81) Designated States (unless otherwise indicated, for every
kind of national protection available): AE, AG, AL, AM,
AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ,
CA, CH, CL, CN, CO, CR, CU, CZ, DE, DJ, DK, DM, DO,
DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN,
HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KH, KN, KP, KR,
KW, KZ, LA, LC, LK, LR, LS, LU, LY, MA, MD, ME, MG,
MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM,
PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC,
SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR,
TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every
kind of regional protection available): ARIPO (BW, GH,
GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ,
UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ,
TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK,
EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV,
MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM,
TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW,
KM, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- as to the identity of the inventor (Rule 4.17(i))
- as to applicant's entitlement to apply for and be granted a
patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the
earlier application (Rule 4.17(iii))
- of inventorship (Rule 4.17(iv))

(54) Title: NEW ADIPATE-TYPE COMPOUNDS AND A PROCESS OF PREPARING IT

(57) Abstract: The present invention regards new adipate-type compounds suitable as an intermediate in organic chemistry, a platform chemical for the production of other chemicals, and as a monomer and co-monomer useful for the preparation of polymers and co-polymers. The invention also regards the process of preparing the new adipate-type compounds from bio-based raw materials such as sugars.



Title: New adipate-type compounds and a process of preparing it**Technical Field**

5 The present invention regards a new compound suitable as an intermediate in organic chemistry, a platform chemical for the production of other chemicals, and as a monomer and co-monomer useful for the preparation of polymers and co-polymers. The invention also regards the process of preparing the new compound from bio-based raw materials such as sugars.

Background

10 In the chemical industry there is a great need for low-cost functionalized molecules that can be used for the production of polymers with improved performance characteristics. Polyesters comprise monomers with at least two chemical groups capable of binding together and forming a polymer chain. Molecules containing more than two functional groups can be desirable to use in polyester synthesis since they often lead to modified performance characteristics. Often such molecules are made via a complex chemical synthesis and require multiple processing steps, making them expensive and difficult to obtain. It is therefore relevant to find simple, low-cost ways of producing such molecules. In recent years, much attention has been directed towards developing efficient methods for obtaining chemical building blocks from renewable bio-based sources. Such molecules which can be produced directly from biomass at low cost, and which have the potential to be used for the synthesis of other chemicals, may be referred to as 'platform molecules'.

25 One platform molecule which is available from renewable sources, is methyl vinyl glycolate (methyl 2-hydroxybut-3-enoate, MVG). It is available e.g. by zeolite catalyzed degradation of mono- and/or disaccharides, such as described in EP 2 184 270. MVG has the potential to become an important renewable platform molecule for commercially relevant applications. MVG is a small molecule with a simple structure, and yet it possesses several functional groups, providing it with ample handles for many different chemical transformations.

30

Recently the formation of a vinyl glycolide dimer from 2-hydroxybut-3-enoic acid has been achieved in up to 24% yield, employing a shape selective zeolite catalyst (M. Dusselier, P. Van Wouwe, A. Dewaele, P. A. Jacobs, B. F. Sels, *Science* 2015, 349, 78–80).

5

MVG has also been copolymerized with lactic acid (LA), thus providing the possibility of tuning the properties of poly-lactic acid (PLA)-based polymers. This can be done either by varying the ratio between MVG and LA or through functionalization of the reactive vinyl side chain of the MVG units (M. Dusselier, P. Van Wouwe, S. De Smet, R. De Clercq, L. Verbelen, P. Van Puyvelde, F. E. Du Prez, B. F. Sels, *ACS Catal.* 2013, 3, 1786–1800).

10

Grubbs catalysts immobilized on silica supports have been tested on various substrates for catalytic activity and product selectivity. In Table 6, entry 8, butyl vinyl glycolate was converted in nonane as solvent in the presence of 2nd generation Hoveyda-Grubbs catalyst into an adipate-type compound. However, the yield is very low, and the end product does not crystallize.

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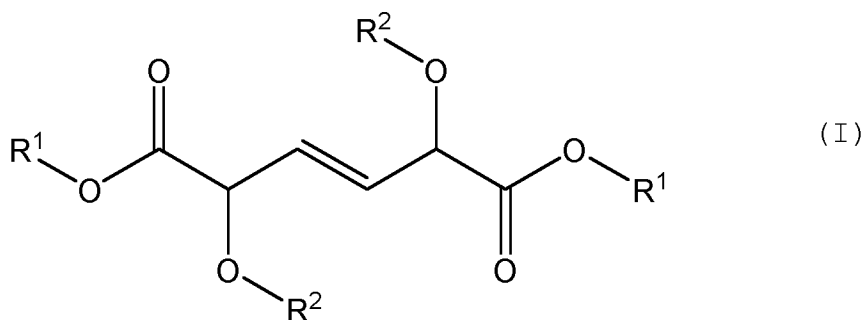
There is still a need for highly functionalized platform molecules which can be produced from renewable sources and by simple methods.

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Summary of Invention

According to the present invention a novel adipate-type compound is provided, of the formula I:

25



wherein

R¹ is selected from the group consisting of H-; and C₁-C₂ alkyl; and

30

R² is selected from the group consisting of -H; and -CH₃.

R1 may be substituted with a heteroatom selected from N and O. [ALT[1]]

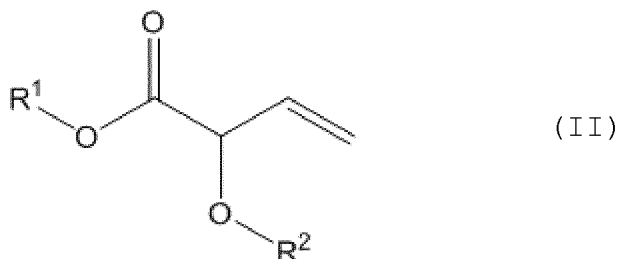
5 Such adipate-type compounds are highly functionalized and possess advantageous characteristics as platform molecules (or base chemical/intermediate) for the chemical industry such as for producing polyesters. They may be polymerized or copolymerized with other monomers, such as e.g. lactic acid.

10 The adipate-type compound of the formula (I) is a structurally interesting molecule for which many applications can be envisioned. The 1,6-diester structure resembles the structure of adipic acid, and therefore the compound of formula I can be utilized in similar applications, such as building blocks for polymers. Unlike adipic acid though, the adipate type dimer of the formula (I) possesses two substituents (R^2) which introduces the possibility of using it as a functionalized polyester monomer. Polymerization of poly-
15 mers derived from the building blocks of the present invention have been described in the article "Synthesis of Novel Renewable Polyesters and Polyamides with Olefin Metathesis", Dewaele, Annelies, Meerten, Lotte, Verbelen, Leander, Eyley, Samuel, Thielemans, Wim, Van Puyvelde, Peter, Dusselier, Michiel, Sels, Bert, ACS Sustainable Chem. Eng. 2016, 4 (11) pp 5943-5962.

20 In an aspect of the present invention R^2 is -H. In another aspect of the present invention R^1 is selected from the group consisting of -H, -CH₃, and -CH₂CH₃. In a further aspect of the present invention R^1 and R^2 each are -CH₃. In yet a further aspect, R^1 is C₁-C₂ alkyl and R^2 is H. In another aspect of the present invention the C-C double bond of
25 the compound of formula I is in (*E*)-configuration.

The adipate-type compounds of the formula (I) may according to the present invention be prepared by a process comprising the steps of:

i) providing a compound of the formula:



5

wherein

R1 is selected from the group consisting of H-; and C₁-C₂ alkyl and R2 is selected from the group consisting of -H; and -CH₃;

10

ii) providing a catalyst material catalyzing a metathesis reaction;

iii) converting the compound of i) in the presence of the catalytic material of ii); and

iv) recovering a reaction product comprising a compound of the formula (I).

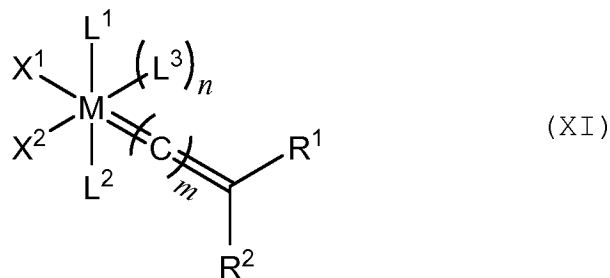
R1 may be substituted with a heteroatom selected from **N and O**.^{[ALT [2]]}

15

Generally, the process will be carried out by adding a feed comprising compound (II) and the catalyst material to a reaction vessel. Generally stirring is preferred.

20

A “catalyst material catalyzing a metathesis reaction” or a “metathesis catalyst material” for use in the process according to the present invention is any material catalyzing a metathesis reaction such as described in US 2009/0264672. A suitable metathesis catalyst is accordingly a material comprising a compound of the general formula (XI):



Wherein

M is a transition metal, such as ruthenium, molybdenum, osmium, chromium, rhenium and tungsten, and preferably a group 8 transition metal;

L¹, L² and L³ are each neutral electron donor ligands;

5 n is 0 or 1, so that L³ may or may not be present;

m is 0, 1 or 2;

X¹ and X² are each anionic ligands; and

10 R¹ and R² are each independently selected from the group consisting of hydrogen, hydrocarbyl, substituted hydrocarbyl, heteroatom containing hydro-carbyl, substituted heteroatom containing hydro-carbyl, and functional groups.

The catalyst material may be used in a homogeneous form or in a heterogeneous form, such as immobilized on a support, such as described in "Immobilized Grubbs Catalyst on Mesoporous silica materials: insight into support characteristics and their impact on catalytic activity and product selectivity", Dewaele, Annelies, Van Berlo, Boris, Dijkmans, Jan, Jacobs, Pierre A., Sels, Bert F, Catal. Sci. Technol., 2016, 6, 2580-2597.

15 In an embodiment, the compound (II) is provided in the form of a feed, which comprises at least 50 wt% of the compound (II). The substrate and the catalyst material of step ii) are mixed in step iii) to form a reaction mixture and the conversion in step iii) of compound (II) in to compound (I) takes place within the reaction mixture. Optionally also solvent is added. Preferably the mixture is exposed to mixing and preferably the mixing and converting takes place in a suitable reaction vessel, such as a stirred tank reactor. Ethylene formed during the conversion is preferably removed, since it can lower the activity of the catalytic material.

20 According to an aspect of the present invention, the compound of formula (I) is recovered as a composition comprising the compound of the formula (I). The composition may be the reaction product recovered in step iv) of the process according to the invention. Accordingly, the composition may further comprise a solvent and/or catalyst material. In an embodiment, the composition comprises at least 80 wt% such as at least 90, 95, 97 wt%, or such as 80-100, 90-100, 95-100 or 97-100 wt% of the (*E*)-isomer of the compound of formula (I).

The conversion of step iii) is preferably conducted with stirring and it is preferably conducted at a temperature in the range of from 20 to 120°C, more preferred of from 30 to 100°C. The period of time that the heating is applied in step iii) is preferably in the range of from 5 minutes to 24 hours. In an embodiment, the pressure is in the range of from 1 to 1000 kPa, such as from 10-125 kPa.

The compound (II) may be dissolved in an organic solvent, such as methyl lactate, ethyl lactate, toluene or dichloromethane, or mixtures thereof. The solvent is preferably miscible with the compound (II) and the catalyst material, but not with the compound produced (compound (I)). Preferably, the initial concentration of the compound (II) in the reaction mixture is at least 5wt%, such as in the range of from 5-90wt%, or 10-90wt%.

In an embodiment, step iii) is conducted without the addition of solvent. Accordingly, the reaction mixture comprises at least 50 wt% of the compound (II) and the rest may be other products from the preparation of compound (II), e.g. alkyl lactates, such as methyl and/or, ethyl lactate. Preferably, the reaction mixture comprises from 50 to 99.9 wt% of the compound (II), such as from 70 to 99.9, 80 to 99.9 or 95 to 99.9 wt%. An advantage of not using a solvent in step iii) of the process of producing the compound of formula I is that there is no solvent to remove after the conversion is completed. For the process according to the present invention, the yield of compound I has surprisingly shown to increase when no solvent was added. When no solvent is added in step iii) the conversion of compound (II) is also referred to as taking place in a solvent-free environment. When the conversion is carried out in a solvent-free environment, more than 95% of the reaction product is surprisingly recovered in (*E*)-configuration (or as the (*E*)-isomer). This is an advantage, since the (*E*)-configuration is more stable than the (*Z*)-configuration. Without being bound by theory, the inventors believe, that the solvent-free environment provides that the more abundant isomer will precipitate. At the same time the two isomers will be in equilibrium, and thus the more abundant isomer will continue to precipitate and the less abundant will convert into the more abundant one little by little. This equilibrium between the two isomers is catalyzed by the metathesis catalyst. If an organic solvent is present, however, this phenomenon is not observed, probably due to lack of precipitation of the (*E*)-isomer.

An advantage of converting the compound (II) in a solvent-free environment allows a low loading of catalyst material. In an embodiment, the catalyst loading is from 1 to 10,000 ppm of catalyst relative to compound (II) on a molar basis.

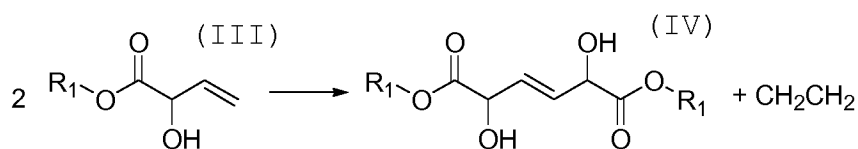
5 The recovery of reaction product in step iv) may be a simple collection of the reaction product resulting from the conversion. The reaction product may be exposed to a purification such as removal of any solvent or removal of any byproducts or reactants. Purification may be conducted by distillation, column chromatography or other suitable method.

10

The process may be conducted using a batch reactor or a continuous reactor (reaction vessel).

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In general the reaction scheme for homo metathesis of vinyl glycolic acid and ester derivatives of vinyl glycolic acid (compound II where $R^2=H$) forming an adipate-type compound may be depicted as follows:

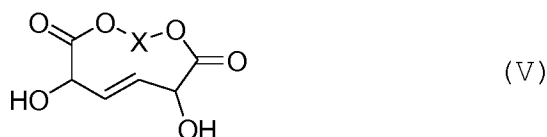


Wherein $R_1 = H$ in the case the substrate is vinyl glycolic acid also known as 2-hydroxy-3-butenic acid (VGA) and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid; $R_1 = CH_3$ in the case the substrate is methyl vinyl glycolate (MVG) also known as methyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid dimethyl ester; $R_1 = CH_2CH_3$ in the case the substrate is ethyl vinyl glycolate (EVG) also known as ethyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid diethyl ester; $R_1 = CH_2CH_2CH_3$ in the case the substrate is propyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid dipropyl ester; $R_1 = CH(CH_3)_2$ in the case the substrate is isopropyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid diisopropyl ester; $R_1 = CH_2CH_2CH_2CH_3$ in the case the substrate is butyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid dibutyl ester or $R_1 =$ any C_1 - C_{12} alkyl group in which case the substrate is C_1 - C_{12} alkyl 2-hydroxy-3-butenate and the adipate-type compound is 2,5-dihydroxy-hex-3-enedioic acid di(C_1 - C_{12} alkyl) ester.

2,5-dihydroxy-hex-3-enedioic acid (compound (IV), when $R^1=H$) and 2,5-dihydroxy-hex-3-enedioic acid dimethyl ester (compound (IV), when $R^1=CH_3$) can be transformed one into the other by standard esterification or hydrolysis procedures, respectively.

20

According to an aspect of the present invention another novel adipate-type compound is provided of the formula V:



25

wherein



or



or

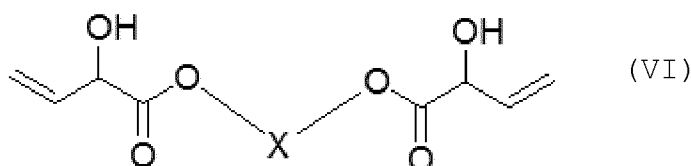


, and preferably, n is 2 or 3.

The compounds of formula (V) are also highly functionalized and possess advantageous characteristics as platform molecules (or base chemical/intermediate) for the chemical industry such as for producing polyesters. They are also suitable as monomers for ring opening polymerization reactions.

The adipate-type compounds of formula (V), may according to the present invention be prepared by a process comprising the steps of:

i) providing a compound of the formula (VI):



wherein



or



or



, and preferably, n is 2 or 3;

ii) providing a catalyst material catalyzing a metathesis reaction;

iii) converting the compound of i) in the presence of the catalyst material of ii); and

iv) recovering the reaction product comprising a compound of the formula (V).

Generally, the process will be carried out by adding a feed comprising compound (IV) and the catalyst material to a reaction vessel. Generally stirring is preferred.

The catalyst material may be used in a homogeneous form or in a heterogeneous form, such as immobilized on a support as described earlier.

In an embodiment, the compound (VI) is provided in the form of a feed, which comprises from 10 to 50 wt% of the compound (VI). The feed and the catalyst material of step ii) are mixed in step iii) to form a reaction mixture and the conversion in step iii) of compound (VI) into compound (V) takes place within the reaction mixture. Optionally
5 the reaction mixture also contains a solvent. Preferably the mixture is exposed to mixing and preferably the mixing and converting takes place in a suitable reaction vessel, such as a stirred tank reactor. Ethylene formed during the conversion is preferably removed, since it can lower the activity of the catalytic material.

10 The process may be conducted using a batch reactor or a continuous reactor (reaction vessel).

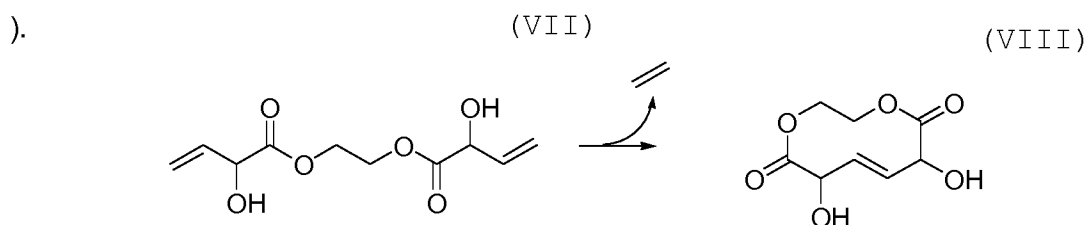
According to an aspect of the present invention, the compound of formula (V) is recovered as a composition comprising the compound of the formula (V). The composition
15 may be the reaction product recovered in step iv) of the process according to the invention. Accordingly, the composition may further comprise a solvent and/or catalyst material.

The conversion of step iii) is preferably conducted with stirring and it is preferably conducted at a temperature in the range of from 20 to 120°C, more preferred of from 30 to 100°C. The period of time that the heating is applied in step iii) is preferably in the
20 range of from 5 minutes to 24 hours. In an embodiment, the pressure is in the range of from 1 to 1000 kPa, such as from 10-125 kPa.

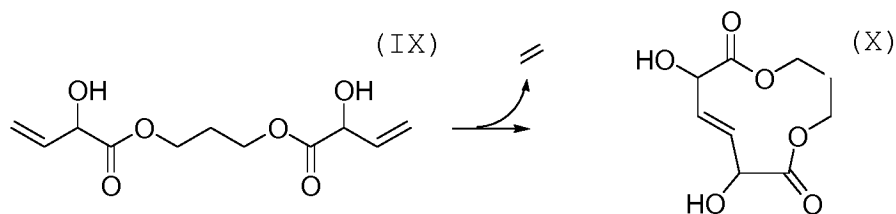
25 The compound (VI) may be dissolved in an organic solvent, such as methyl lactate, ethyl lactate, toluene or dichloromethane, or mixtures thereof. The solvent is preferably miscible with the compound (VI) and the catalyst material, but not with the compound produced (compound (V)). Preferably, the initial concentration of the compound (VI) in the reaction mixture is at least 5wt%, such as in the range of from 5-80wt%, or 10-
30 70wt%.

The recovery of reaction product in step iv) may be a simple collection of the reaction product resulting from the conversion. The reaction product may be exposed to a purification such as removal of any solvent or removal of any byproducts or reactants. Purification may be conducted by distillation, column chromatography or other suitable method.

In a particular embodiment of the invention, the substrate is 2-hydroxy-but-3-enoic acid 2-(2-hydroxy-but-3-enoyloxy)-ethyl ester (compound VII) which upon metathesis reaction is converted into the adipate-type compound 6,9-dihydroxy-2,3,6,9-tetrahydro-[1,4]dioxecine-5,10-dione (see compound (VIII) in the scheme below



In yet another particular embodiment of the invention, the substrate is 2-hydroxy-but-3-enoic acid 3-(2-hydroxy-but-3-enoyloxy)-propyl ester (compound (IX)) which upon metathesis is converted into the adipate-type compound 7,10-dihydroxy-1,5-dioxacycloundec-8-ene-6,11-dione (see compound (X) in the scheme below).



compounds of the formula (V) and specifically of the formula (VIII) and (X) are also suitable as monomers for ring opening polymerization reactions.

The compounds of formula (VI) may be prepared from MVG by conducting a transesterification reaction as described in example 2.

The adipate-type compounds according to the present invention are suitable as intermediates in the production of alternating co-polymers. In particular, they are suitable as co-monomers in the production of polyesters and polyamides. Their chemical structure enables them to be used as di-acids in combination with diols such as 1,2-ethanediol, 1,3-propanediol, 1,4-butanediol, 1,5-pentanediol and 1,6-hexanediol, cyclohexane dimethanol, or other similar linear diols for the synthesis of $[A-B]_n$ type co-polyesters. Similarly, the adipate-type compounds can be used as di-acids in combination with diamines such as 1,2-diaminoethane, 1,3-diaminopropane, 1,4-diaminobutane, 1,5-diaminopentane and 1,6-diaminohexane, para-phenylenediamine, or other similar diamines for the synthesis of $[A-B]_n$ type co-polyamides. The resulting polyester and polyamide materials obtained contain the secondary alcohol and olefin moieties from the adipate-type compounds in their backbone, allowing for further functionalization of the polymer if desired. Furthermore, the adipate-type compounds can be used as co-monomers in poly(lactic acid) and poly(glycolic acid) polyester materials either by conversion first to cyclic [1,4]dioxane-2,5-dione compounds together with lactic acid, glycolic acid or vinyl glycolic acid followed by co-polymerization with lactide, or by direct reaction with lactic acid or glycolic acid.

When the vinyl glycolate substrate is reacted in the absence of solvent, the yield of adipate type compound has shown to be particularly high. Accordingly, yields as high as 70%, 75%, 80%, 85%, 90% or even as high as 91, 92, 93, 94 or 95% have been obtained.

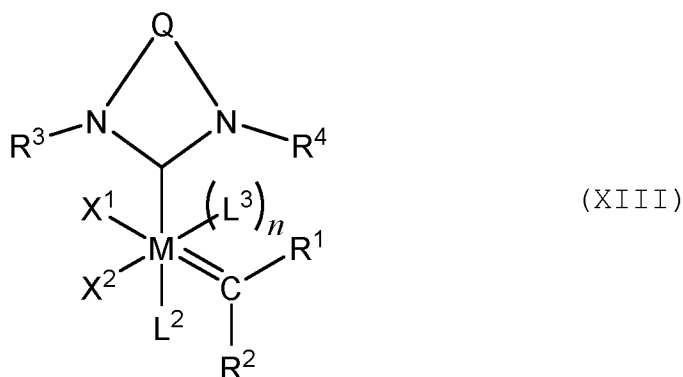
Preferred catalysts according to the invention are Grubbs 2nd generation catalysts and Hoveyda-Grubbs 2nd generation catalyst. In particular, Grubb's 2nd generation catalysts and Hoveyda-Grubbs 2nd generation catalysts have been found to give a high yield of the adipate type compound and the amount of catalyst necessary was low. Accordingly, the 2nd generation catalyst gave an isolated yield of 75% of 2,5-dihydroxy-hex-3-enedioic acid dimethyl ester with a 0.4% loading of catalyst.

s, t, u, v are each 0 or 1; and

R^3, R^4, R^5 and R^6 are each selected independently from the group consisting of hydrogen, hydrocarbyl, substituted hydrocarbyl, heteroatom containing hydro-carbyl, substituted heteroatom containing hydro-carbyl, and functional groups.

5

Preferably the catalyst is of the formula:



10

wherein

$M, L^2, L^3, n, X^1, X^2, R^1, R^2$ are as defined previously; and

Preferably at least one, and more preferably both, of the substituents R^3 and R^4 are alicyclic or aromatic and may or may not contain heteroatoms and/or substituents.

15

Q is a linker so that the entire ligand is an N-heterocyclic Carbene (NHC) ligand. Q is selected from the group consisting of hydrocarbylenes, substituted hydrocarbylenes, heteroatom containing hydro-carbylenes, and substituted heteroatom containing hydro-carbylenes, where two or more adjacent substituents in Q may be linked to form a polycyclic structure of two or more fused rings.

20

Another preferred catalytic material of step ii) comprises a catalyst of the formula:



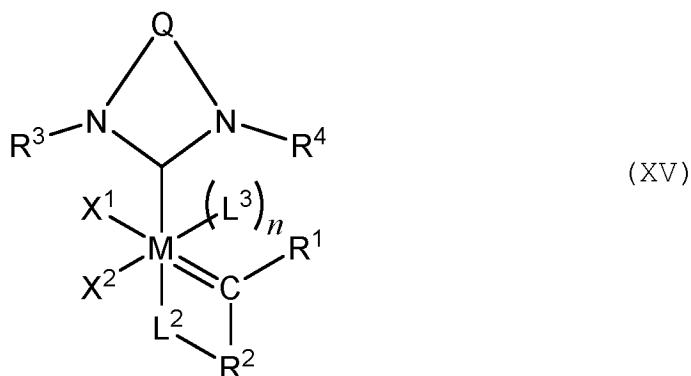
wherein

25

$M, L^1, L^2, L^3, n, X^1, X^2, R^1, R^2$ are as defined previously; and

L^2 and R^2 are linked to form a bidentate ligand.

Preferably the catalytic material of step ii) comprises a compound of the formula:



5 wherein

M, L¹, L², L³, n, X¹, X², R¹, R², R³, R⁴ and Q are as defined previously; and L² and R² are linked to form a bidentate ligand.

10 In the formulas of the catalysts given in formulas (X) to (XIV), the references to R¹ R² and X are different from those R¹ R² and X mentioned in formulas (I) to (IX) mentioned in the processes and should not be used to interpret the claims.

In an aspect of the invention the process of preparing 2,5-dihydroxy-hex-3-enedioic acid or esters thereof comprises the steps of:

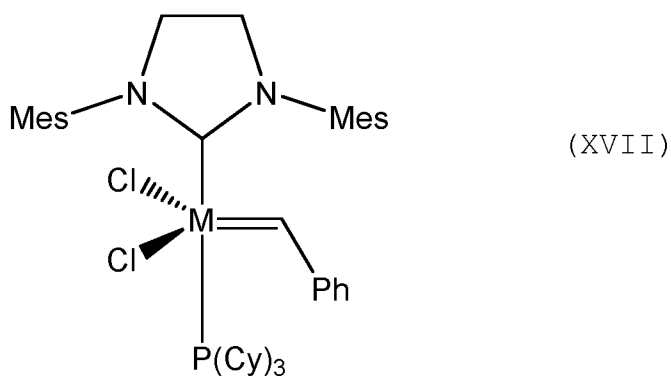
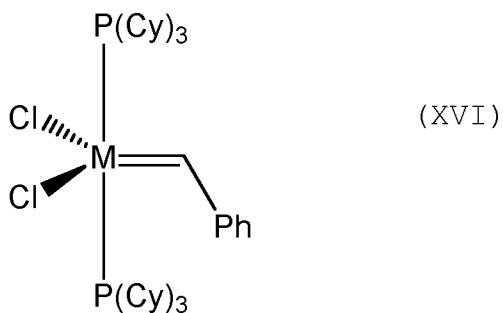
- 15
- a) Providing a feedstock solution of a sugar composition;
 - b) Converting the sugar in the presence of a metallo-silicate material and one or more metal ions, such as one or more of potassium ion, sodium ion, lithium ion, rubidium ion and caesium ion, to obtain a product;
 - c) providing a catalyst material catalyzing a metatasis reaction;
 - 20 d) converting the product of b) in the presence of the catalytic material of c)
 - e) v recovering the reaction product comprising 2,5-dihydroxy-hex-3-enedioic acid or esters thereof.

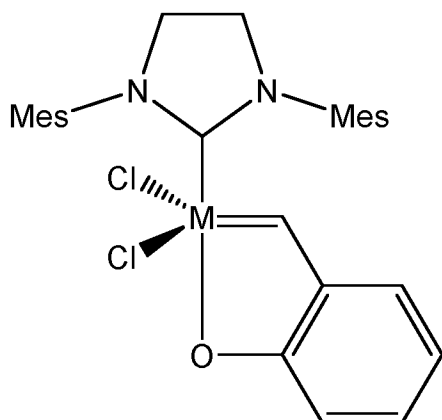
25 The sugar composition preferably comprises one or more C₆ and/or C₅ and/or C₄ and/or C₃ and/or C₂ saccharide units selected from the group consisting of sucrose, xylose, mannose, tagatose, galactose, glucose, fructose, sugar syrup, threose, erythrose, erythrulose, dihydroxyacetone, glyceraldehyde and glycolaldehyde,.

Suitable metallo silicate materials are those capable of converting the saccharide units into compound (II). See e.g. WO 2016/083137 for details on the conversion of the saccharide units into compound (II) which also suggest suitable reaction conditions for steps a) and b) above. For steps c) to e) above, the same reaction conditions apply as stated previously.

There will be bi-products in the product obtained in step b). It will comprise e.g. methyl lactate and/or ethyl lactate in various amounts. Therefore, the product of step b) may optionally be subjected to a purification step in which the concentration of a compound corresponding to formula II is increased prior to being contacted with the material catalyzing a metathesis reaction of step c).

Specific catalysts useful in the process according to the present invention are e.g.:





(XVIII)

As exemplified herein, catalytic homo metathesis of the compound of formula II using Grubbs-type catalysts (including Grubbs 1st, Grubbs 2nd and Hoveyda-Grubbs catalysts) affords the compound of formula I in excellent yield and with meso stereochemical configuration.

The metathesis reaction can be carried out industrially in a stirred tank reactor. When a stirred tank reactor is used, the feed comprising the compound of formula (II) or the compound of formula (VI), such as methyl vinyl glycolate, is preferably loaded into the tank containing the metathesis catalyst material, and the temperature may be adjusted in order to optimize the reaction rate and maintain a high productivity for the catalyst present in the tank. Preferably, the reactor comprises a mechanical stirrer, which provides a thorough mixing of the entire reaction mixture in order to keep the catalyst in constant contact with the substrate and avoid heterogeneities to develop in the reaction mixture. The formed ethylene co-product is continuously leaked from the reactor to avoid ethylene poisoning of the metathesis catalyst and to avoid any pressure build-up, and the ethylene may be compressed and collected for other use if desired. The entire metathesis reaction may be performed either as a batch process or continuously. It can be performed continuously by constantly feeding the feedstock and fresh catalyst to the reactor, while collecting precipitated metathesis product, and optionally also catalyst, at a similar rate. The collected metathesis product may be drained for unconverted substrate and catalyst, which may be recycled to the reactor. The catalyst collected, may be subjected to a regeneration or reactivation process.

Alternative processes can be envisaged so long as they are industrially applicable.

Definitions

In the present context, "adipate type compounds" are meant to refer to any compound consisting of a C₆- chain which has either two carboxylic acid groups, one ester and one carboxylic acid group or two ester groups, placed in a 1,6-relationship to each other. The carbon chain may be saturated or unsaturated and it may contain substituents on 0, 1, 2, 3 or 4 of the four available carbon-atoms. The substituents may be selected from a group consisting of hydrocarbyl, substituted hydrocarbyl, heteroatom containing hydrocarbyl, hydroxyl, hetero atoms and functional groups.

The reference to "a compound (I)" is meant to refer to "a compound of the formula (I)". And similarly for reference to compound (II) etc.

The term "vinyl glycolate substrate" is meant to refer to vinyl glycolic acid, 2-hydroxy but-3-enoic acid, or an ester hereof. In general, the term substrate is meant to refer to the compound of formula (II) or to the compound of formula (IV).

The term "catalyst material catalyzing a metathesis reaction" is meant to refer to any compound which catalyzes a metathesis reaction such as described in US 2009/0264672. It may also be referred to as a "metathesis catalyst" or a "metathesis catalyst material".

The "percentage loading of catalyst" in the reactor may be defined as the percentage (mol/mol) of the catalyst compared to the substrate.

The "yield" is calculated as a percentage (mol/mol) of the maximum theoretical yield of the reaction ($Y_{\max} = \text{mol compound II}/2$).

The term "without the addition of solvent" is meant to refer to avoiding to dilute the substrate for the process, i.e. to retain a high concentration of substrate. In practice, the substrate may be provided in a form which comprises bi-products. The bi-products may originate from the preparation of that component. I.e. the substrate may not be pure. If the substrate is produced by conversion of sugar, it may e.g. be provided in a form which comprises e.g. methyl lactate and/or ethyl lactate in various amounts. In practice the compound (II) will be present in the feed at a concentration of at least 50 wt% and the rest may be other products from the preparation of compound (II), e.g. alkyl lactates, such as methyl and/or, ethyl lactate. Preferably, the feed comprises from 50 to 100 wt% of the compound (II), such as from 70 to 100, 80 to 100 or 95 to 100 wt%. When the feed has been mixed with the catalyst material to form a reaction mixture, the concentration of substrate will be slightly lower, the lowering depending on the catalyst loading. However, high purity of substrate in the reaction mixture allows low loading of catalyst, yet retaining the high yields. When the process is carried out in a continuous manner, the concentration of compound (II) in the reaction mixture is in the same range, since the reaction product of formula (I) will precipitate from the reaction mixture and may be recovered on a continuous basis. "Without the addition of solvent" may also be referred to as "solvent-free".

The "reaction mixture" is meant to refer to the mixture wherein the conversion of compound (II) into compound (I) takes place in the presence of the catalytic material. It may include a solvent if such has been added. Preferably, the reaction mixture refers to the mixture contained in the reaction vessel in which the conversion takes place.

The term "feed" is meant to refer to the fluid added to the reaction vessel comprising the compound of formula (II) or the compound of formula (VI). The feed may also comprise a solvent.

In the present context, the terms "reacting" and "converting" is meant to refer to the same and the terms "reaction" and "conversion" is meant to refer to the same.

ExampleExample 1: Preparation of 2,5-dihydroxy-hex-3-enedioic acid dimethyl ester

In a clean dry glass flask equipped with a coldfinger condenser and a spigot suitable for connection to a vacuum outlet/gas inlet was placed a magnetic stirbar and the metathesis catalyst. The flask was evacuated and purged with dry nitrogen several times.

5 Methyl Vinyl Glycolate (the substrate) and optionally solvent (examples 3, 4 and 5) was added to the reaction vessel by the use of a syringe to form a reaction mixture. The reaction mixture was heated in an oil bath at 80 °C for 18 hours under a nitrogen atmosphere. After cooling to room temperature the metathesis product was obtained (or re-

10 covered) as a colorless solid. The compound was purified by recrystallization from ethyl acetate. All of the Grubbs catalysts used are ruthenium based.

Table 1. Yield of 2,5-dihydroxy-hex-3-enedioic acid dimethyl ester from MVG.

	Catalyst	Loading /mol%	Amount of substrate /mmol	Solvent /relative vol- ume	Yield /mol %
1	Grubbs 1st gen.	5	10	-	8 %
2	Grubbs 2nd gen.	0.3	5	-	74 %
3	Grubbs 2nd gen.	0.3	2.5	Toluene 1:1	55 %
4	Grubbs 2nd gen.	0.3	5	Toluene 2:1	63 %
5	Grubbs 2nd gen.	2.2	2.5	EtOAc 4:1	46 %
6	Grubbs 2nd gen.	0.4	10	-	75 %
7	Grubbs 2nd gen.	0.4	19	-	85 %
8	Grubbs 2nd gen.	0.4	39	-	88 %
9	Hoveyda-Grubbs 2nd gen.	0.4	2.5	-	73 %
10	Hoveyda-Grubbs 2nd gen.	0.4	19	-	93 %
11	Hoveyda-Grubbs 2nd gen.	0.2	19	-	90 %
12	Hoveyda-Grubbs 2nd gen.	0.05	20	-	77 %
13	Hoveyda-Grubbs 2nd gen.	0.045	39	-	80 %

15 The resulting product has 2 stereocenters, corresponding to three different stereoisomers, of which one is a meso form. Taking into account the possibility of forming both the (E)- and the (Z)-isomer, the total number of different isomers amounts to six. Sur-

prisingly, the reaction yields only one isomeric form of the product, which was determined by X-ray diffraction to be the meso form of the (E)-isomer. NMR spectra of the crude reaction mixture show no signals from a (Z)-double bond, and a GC of the crude reaction mixture show no presence of other diastereomeric forms.

5

It appears from rows 3, 4 and 5 that the yields are lower, when a solvent is added to the reaction mixture.

Example 2: preparation of a compound of formula (VII) from MVG

10

In a 50 ml round bottomed flask is put 5,0 g of MVG (43,1 mmol), 1,3 g of ethylene glycol (21,0 mmol) and 25 ml of anhydrous toluene. Finally, 500 mg of strongly acidic resin is added (Amberlyst 15) and the product mixture is refluxed gently for one hour. The flask is fitted with a distillation head and over the course of two hours, 15 ml of liquid is distilled from the flask. The residue is cooled to room temperature and filtered and then concentrated to obtain around 5 ml of liquid product which is purified by column chromatography to obtain the diester of ethylene glycol and vinyl glycolic acid (compound (VII)).

15

Example 3: Preparation of 6,9-dihydroxy-2,3,6,9-tetrahydro-[1,4]dioxecine-5,10-dione (compound (VIII))

20

compound (VIII) is made according to this method:

In a 25 ml round bottomed flask containing 10 ml toluene is added 2,26 g of 2-hydroxy-but-3-enoic acid 2-(2-hydroxy-but-3-enoyloxy)-ethyl ester (compound VII) and 31,0 mg of Hoveyda Grubbs 2nd generation catalyst under argon atmosphere (0,5% loading).

25

The flask is heated on an oil bath at 80 °C for 18 hours. Analysis of the reaction mixture by GCMS shows that the starting material is almost completely converted and a new peak with M/Z 198, corresponding to compound (VIII) appears.

Example 4: Preparation of 7,10-dihydroxy-1,5-dioxacycloundec-8-ene-6,11-dione (compound (X))

30

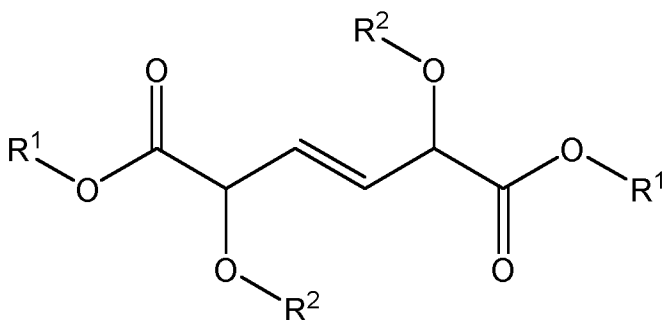
compound (X) is made according to this method:

In a 25 ml round bottomed flask containing 10 ml toluene is added 2,40 g of 2-hydroxy-but-3-enoic acid 3-(2-hydroxy-but-3-enoyloxy)-propyl ester (compound IX) and 31,0 mg of Hoveyda Grubbs 2nd generation catalyst under argon atmosphere (0,5% loading).

5 The flask is heated on an oil bath at 80 °C for 18 hours. Analysis of the reaction mixture by GCMS shows that the starting material is almost completely converted and a new peak with M/Z 212, corresponding to compound (X) appears.

Embodiments

Embodiment 1. A compound of the formula I:



5 wherein

R¹ is selected from the group consisting of H-; and C₁-C₁₂ alkyl; optionally substituted with a heteroatom selected from N, O, F, P, S, Cl, Br, and I;

R² is selected from the group consisting of -H; and -CH₃.

10 Embodiment 2. The compound according to embodiment 1, wherein R² is -H.

Embodiment 3. The compound according to any one of embodiments 1 or 2, wherein R¹ is selected from the group consisting of -H, -CH₃ or -CH₂CH₃, -C₃H₇ and -C₄H₉.

15

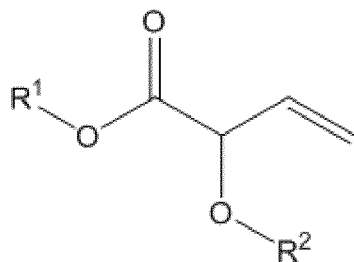
Embodiment 4. The compound according to embodiment 1, wherein R¹ and R² each are -CH₃.

20

Embodiment 5. The compound according to any one of embodiments 1 to 4, wherein the double bond is (E) configuration.

Embodiment 6. A process for preparing the compound according to any one of embodiments 1 to 5 comprising the steps of:

a. providing a compound of the formula:



wherein

R¹ is selected from the group consisting of H-; and C₁-C₁₂ alkyl; optionally substituted with a heteroatom selected from O, N, S, F, Cl, Br, and I;

5 R² is selected from the group consisting of -H; and -CH₃;

- b. providing a catalyst material catalysing a metathesis reaction;
- c. converting the compound of i) in the presence of the catalytic material of ii); and
- 10 d. recovering the reaction product.

Embodiment 7. The process according to embodiment 6, wherein the conversion temperature of iii) is in the range of from 20 to 120°C.

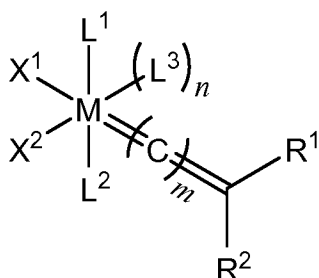
15 Embodiment 8. The process according to any one of embodiments 6 or 7, wherein step iii) is continued for a period of time in the range of from 5 minutes to 24 hours.

20 Embodiment 9. The process according to any one of embodiments 6 to 8, wherein the conversion step of iii) is conducted without the addition of solvent.

Embodiment 10. The process according to any one of embodiments 6 to 8, wherein the conversion step of iii) is conducted in the presence of an alkyl lactate, such as methyl, ethyl lactate or a mixture thereof.

25

Embodiment 11. The process according to any one of embodiments 6 to 10, wherein the catalytic material of step ii) comprises a compound of the formula:



5

wherein

M is a transition metal, for example ruthenium, molybdenum, osmium, chromium, rhenium and tungsten, preferably a group 8 transition metal;

L^2 and L^3 are neutral electron donor ligands;

10

n is 0 or 1;

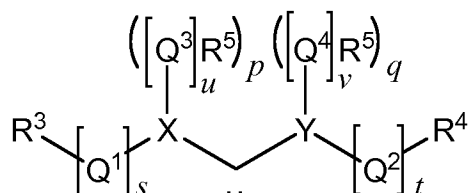
m is 0, 1 or 2;

X^1 and X^2 are anionic ligands;

R^1 and R^2 are each selected independently from the group consisting of hydrogen, hydrocarbyl, substituted hydrocarbyl, heteroatom containing hydro-carbyl, substituted heteroatom containing hydro-carbyl, and functional groups; and

15

L^1 is a carbene of the formula:



Wherein

20

Y and Z are heteroatoms, such as N, O, S or P, and if X and/or Y are O or S, then p and/or q are 0, respectively,

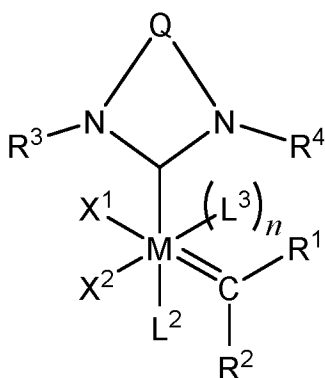
Q_1 , Q_2 , Q_3 and Q_4 are linkers, such as hydrocarbylene (including substituted hydrocarbylene, heteroatom containing hydro-carbylene, substituted heteroatom containing hydro-carbylene) or $-(CO)-$, and two or more substituents on adjacent atoms within Q_1 , Q_2 , Q_3 and Q_4 may be linked to form an additional cyclic group;

25

s , t , u , v are each 0 or 1

R3, R4, R5 and R6 are each selected independently from the group consisting of hydrogen, hydrocarbyl, substituted hydrocarbyl, heteroatom containing hydro-carbyl, substituted heteroatom containing hydro-carbyl, and functional groups.

- 5 Embodiment 12. The process according to embodiment 11, wherein the catalytic material of step ii) comprises a compound of the formula:



10

wherein

M, L2, L3, n, X1, X2, R1, R2 are as defined previously, and

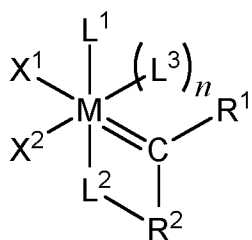
Preferably at least one, and more preferably both, of the substituents R3 and R4 are alicyclic or aromatic and may or may not contain heteroatoms and/or substituents.

15

Q is a linker selected from the group consisting of hydrocarbylenes, substituted hydrocarbylenes, heteroatom containing hydro-carbylenes, and substituted heteroatom containing hydrocarbylenes, where two or more adjacent substituents in Q may be linked to form a polycyclic structure of two or more fused rings, so that the entire ligand is an N-heterocyclic Carbene (NHC) ligand.

20

- Embodiment 13. The process according to any one of embodiments 6 to 10, wherein the catalytic material of step ii) comprises a compound of the formula:

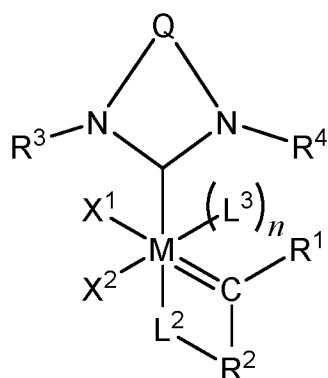


Wherein

M, L1, L2, L3, n, X1, X2, R1, R2 are as defined previously, and L2 and R2 are linked to form a bidentate ligand.

5

Embodiment 14. The process according to embodiment 13, wherein the catalytic material of step ii) comprises a compound of the formula:



10

Wherein

M, L1, L2, L3, n, X1, X2, R1, R2, R3, R4 and Q are as defined previously, and L2 and R2 are linked to form a bidentate ligand.

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Embodiment 15. The process according to any one of embodiments 6 to 14 conducted under continuous conditions.

Embodiment 16. A process of preparing 2,5-dihydroxy-hex-3-enedioic acid or esters thereof comprising the steps of:

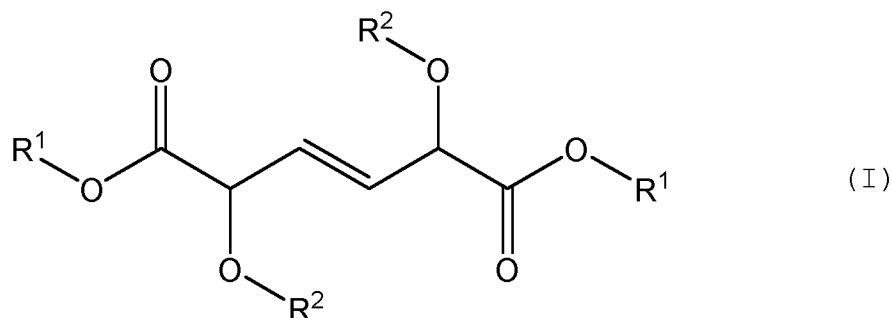
20

- i. Providing a feedstock solution of a sugar composition;
- ii. Converting the sugar in the presence of a metallo-silicate material and one or more metal ions, such as one or more of potassium ion, sodium ion, lithium ion, rubidium ion and caesium ion;
- iii. providing a catalyst material catalysing a metatasis reaction;

- iv. converting the compound of i) in the presence of the catalytic material of ii)
- iv) recovering the reaction product.

Claims

1. A compound of the formula I:



5 wherein

R¹ is selected from the group consisting of -H; and C₁-C₂ alkyl; and

R² is selected from the group consisting of -H; and -CH₃.

2. The compound according to claim 1, wherein R² is -H.

10

3. The compound according to any one of claims 1 or 2, wherein R¹ is selected from the group consisting of -H, -CH₃, and -CH₂CH₃.

4. The compound according to claim 1, wherein R¹ and R² each are -CH₃.

15

5. The compound according to any one of claims 1 to 4, wherein the C-C double bond of Compound (I) is in (*E*) configuration.

6. A composition comprising a compound of formula (I) according to any one of claims 1-5.

20

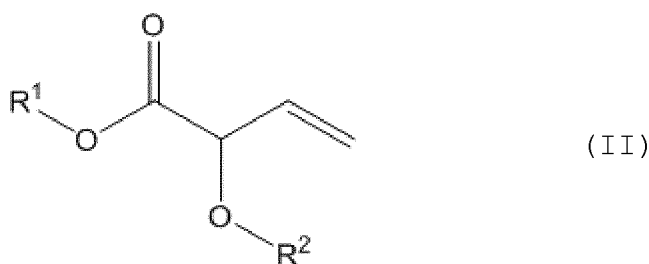
7. The composition according to claim 6, further comprising a solvent.

8. The composition according to any one of claims 6 or 7, wherein at least 80 wt% such as at least 90, 95, 97 wt%, or such as 80-100, 90-100, 95-100 or 97-100 wt% of the compound of formula (I) is in (*E*)-configuration.

25

9. A process for preparing the compound according to any one of claims 1 to 5 or the composition of any one of claims 6 to 8, comprising the steps of:

i) providing a compound of the formula:



wherein

R¹ is selected from the group consisting of H-; and C₁-C₂ alkyl; and

5 R² is selected from the group consisting of -H; and -CH₃;

ii) providing a catalyst material catalyzing a metathesis reaction;

iii) converting the compound of i) in the presence of the catalytic material of ii); and

iv) recovering a reaction product comprising a compound of the formula (I).

10

10. The process according to claim 9, wherein the conversion temperature of iii) is in the range of from 20 to 120°C.

15

11. The process according to any one of claims 9 or 10, wherein step iii) is continued for a period of time in the range of from 5 minutes to 24 hours.

20

12. The process according to any one of claims 9 to 11, wherein the conversion step of iii) is conducted at a pressure in the range of from 1 to 1000 kPa, such as from 10 to 125 kPa.

25

13. The process according to any one of claims 9 to 12, wherein the conversion step of iii) is conducted without the addition of solvent.

14. The process according to claim 13, wherein the compound of the formula (II) is provided in a feed comprising at least 50 wt% of the compound (II).

15. The process according to any one of claims 9 to 12, wherein the conversion step of iii) is conducted in the presence of an alkyl lactate, such as methyl lactate, ethyl lactate, or a mixture thereof.

16. The process according to any one of claims 9 to 15 conducted under continuous conditions.

5

17. A process of preparing 2,5-dihydroxy-hex-3-enedioic acid or esters thereof comprising the steps of:

- a) Providing a feedstock solution of a sugar composition;
- b) Converting the sugar in the presence of a metallo-silicate material and one or more metal ions, such as one or more of potassium ion, sodium ion, lithium ion, rubidium ion and caesium ion, to obtain a product;
- c) providing a catalyst material catalyzing a metathesis reaction;
- d) converting the compound of b) in the presence of the catalyst material of c); and
- e) recovering the reaction product comprising 2,5-dihydroxy-hex-3-enedioic acid or the methyl ester thereof.

10

15

18. The process according to claim 17, wherein the sugar composition comprises one or more C6 and/or C5 and/or C4 and/or C3 and/or C2 saccharide units selected from the group consisting of sucrose, xylose, mannose, tagatose, galactose, glucose, fructose, sugar syrup, threose, erythrose, erythrulose, dihydroxyacetone, glyceraldehyde and glycolaldehyde.

20

19. The process according to any one of claims 17 or 18, wherein the product of step b) is subjected to a purification step prior to being contacted with the catalyst material of step c).

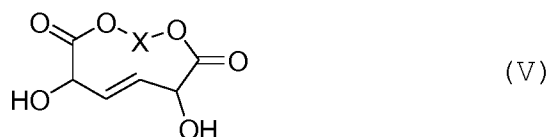
25

20. A product obtainable by the process according to any one of claims 17 to 19 comprising 2,5-dihydroxy-hex-3-enedioic acid or the methyl ester thereof.

30

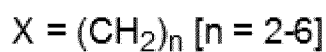
21. The product according to claim 20 wherein at least 80 wt% such as at least 90, 95, 97 wt%, or such as 80-100, 90-100, 95-100 or 97-100 wt% of the 2,5-dihydroxy-hex-3-enedioic acid or the methyl ester thereof, is in (*E*)-configuration.

22. A compound of the formula V:



wherein

5



or



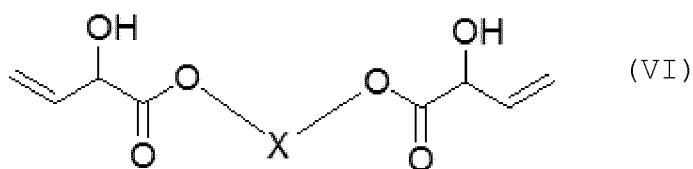
or



23. The compound according to claim 22, wherein n is 2 or 3.

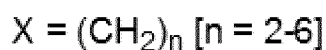
10 24. A process for preparing the compound according to any one of claims 22 or 23 comprising the steps of:

i) providing a compound of the formula (VI):



15

wherein



or



or



20 ii) providing a catalyst material catalyzing a metathesis reaction;

iii) converting the compound of i) in the presence of the catalyst material of ii); and

iv) recovering the reaction product comprising a compound of the formula (V).

25. The process according to claim 24, wherein n is 2 or 3.

26. The process according to any one of claims 24 or 25, wherein the conversion temperature of iii) is in the range of from 20 to 120°C.

5

27. The process according to any one of claims 24 to 26, wherein step iii) is continued for a period of time in the range of from 5 minutes to 24 hours.

10

28. The process according to any one of claims 24 to 27, wherein the conversion step of iii) is conducted at a pressure in the range of from 1 to 1000 kPa, such as from 10 to 125 kPa.

15

29. The process according to any one of claims 24 to 28, wherein the conversion step of iii) is conducted in the presence of a solvent selected from methyl lactate, ethyl lactate, toluene or dichloromethane, or mixtures thereof.

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2017/060702

A. CLASSIFICATION OF SUBJECT MATTER
INV. C07D321/00 C07C59/60
ADD.
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
Minimum documentation searched (classification system followed by classification symbols)
C07D C07C

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)
EPO-Internal, CHEM ABS Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	AMIE K. LUND ET AL: "The effects of alpha-pinene versus toluene-derived secondary organic aerosol exposure on the expression of markers associated with vascular disease", INHALATION TOXICOLOGY, vol. 25, no. 6, 6 May 2013 (2013-05-06), pages 309-324, XP055385302, US ISSN: 0895-8378, DOI: 10.3109/08958378.2013.782080	1-3
A	table 1 ----- -/--	4-21

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search 27 June 2017	Date of mailing of the international search report 05/09/2017
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Gavriliu, Daniela

INTERNATIONAL SEARCH REPORT

International application No
PCT/EP2017/060702

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	KLAUS NIEMELÄ ET AL: "Non-oxidative and oxidative alkaline degradation of pectic acid", CARBOHYDRATE RESEARCH, vol. 144, no. 1, 1 November 1985 (1985-11-01), pages 87-92, XP055385303, GB ISSN: 0008-6215, DOI: 10.1016/0008-6215(85)85009-6	1-3
A	table 1 -----	4-21
X	DATABASE CA [Online] CHEMICAL ABSTRACTS SERVICE, COLUMBUS, OHIO, US; KUHN, RICHARD ET AL: "Ring closures with esters of .alpha.,.delta.-dihydroxymuconic acid", XP002771435, retrieved from STN Database accession no. 1951:41253	1-3,6,7
A	the whole document & KUHN, RICHARD ET AL: "Ring closures with esters of .alpha.,.delta.-dihydroxymuconic acid", ANNALEN DER CHEMIE, JUSTUS LIEBIGS , 571, 44-68 CODEN: 9X224Y, 1951, -----	4,5,8-21
X,P	ANNELIES DEWAELE ET AL: "Synthesis of Novel Renewable Polyesters and Polyamides with Olefin Metathesis", ACS SUSTAINABLE CHEMISTRY & ENGINEERING, vol. 4, no. 11, 7 November 2016 (2016-11-07), pages 5943-5952, XP055385476, US ISSN: 2168-0485, DOI: 10.1021/acssuschemeng.6b00807 the whole document -----	1-21
X,P	AMANDA SØLVHØJ ET AL: "Methyl vinyl glycolate as a diverse platform molecule", GREEN CHEMISTRY, vol. 18, no. 20, 21 September 2016 (2016-09-21), pages 5448-5455, XP055385488, GB ISSN: 1463-9262, DOI: 10.1039/C6GC01556E the whole document -----	1-21

INTERNATIONAL SEARCH REPORT

International application No.
PCT/EP2017/060702

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.

3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-21

Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-21

A compound of formula (I) and its preparation.

2. claims: 22-29

A compound of formula (V) and its preparation
