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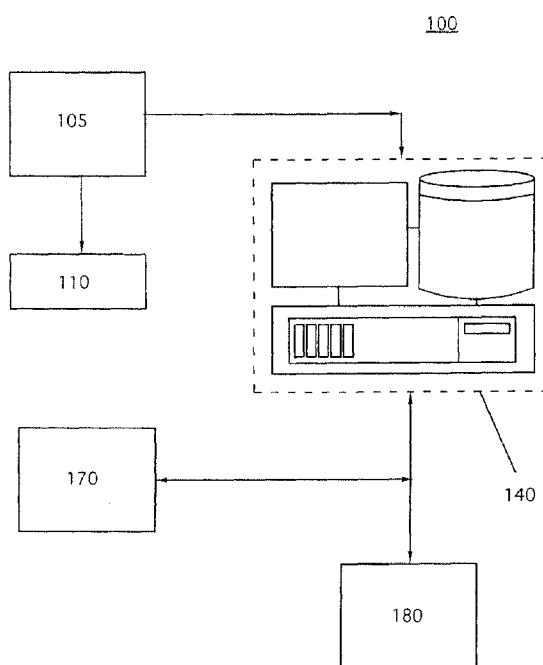
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(54) Title: MANAGED BIOMETRIC-BASED NOTIFICATION SYSTEM AND METHOD

FIG.1



(57) **Abstract:** A managed biometric-based notification system and method is provided. The system includes at least one image acquiring system adapted to capture a first content comprising a feature set, a comparison module including at least one processor, at least one database comprising a second content, the second content comprising a feature set, at least one search engine operatively coupled with the at least one image acquiring system and the at least one database, a memory, the at least one processor operatively coupled with the at least one image acquiring system and the at least one search engine, programmed to execute a series of instructions, stored on the memory, to process the feature set of the first content and a feature set of the second content, at least one notification component including at least one transmitted data point, a content management module, and at least one pre-selected receiving node.



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**MANAGED BIOMETRIC-BASED NOTIFICATION SYSTEM AND METHOD****BACKGROUND****1) Field of the Invention**

The invention relates to a notification system. More particularly, the invention relates to systems and methods of managed biometric-based notification.

**2) Discussion of the Related Art**

Retail crime, shoplifting, and fraud are increasing in the United States. This increase brings a rising incidence of violence. In 2006, it was reported that the value of property lost in such cases, excluding shoplifting, was \$18 billion. Shoplifting resulted in a \$40 billion loss that same year, totaling \$58 billion in 2006. Some suggest that part of the problem has been the "professionalism" of retail theft, which is essentially well organized individuals or gangs stealing large quantities of merchandise. Many surveillance systems are currently being used to combat this level of crime, however, the most effective systems are those which utilize biometric components.

Biometrics is the study of methods for characterizing and recognizing an individual based upon physical and behavioral traits, or in other words, a system which uses measurable biological properties to identify individuals. Physical traits are those related to the characteristics of the human body, e.g. fingerprints, iris geometry, and face recognition. Behavioral traits are those linked to a signature, voice or keystroke. It is because biometrics measures qualities that an individual cannot change, that it is most effective for authentication and identification purposes.

Biometrics have become an increasingly important part of an overall set of tools for securing a wide range of retail stores, facilities, areas, information, and environments. The use of biometric-based identification systems are becoming popular because such systems can provide substantially more security than many traditional security systems (e.g., usernames, passwords or personal identification numbers). Face recognition technologies can be used, for example, to determine whether an individual is permitted entry into a home, office, or similar environment, or to determine if an individual is wanted.

Generally, in what is known as "one-to-many match," and prior to a biometric feature extraction process, an analog or digital representation of biometric characteristics are obtained from a biometric capture device. Many conventional systems rely on digital imaging technologies to capture data, which can include optics, a camera, or other electronic equipment. The digital representation of the images (i.e., the raw or unprocessed image data) is then processed by an algorithm that converts the image data into a particular representation (i.e., a biometric marker or template).

Biometric features are information processed or extracted from a biometric sample or samples, which can then be used for comparison with a stored biometric reference. From the recognition sample, the biometric feature extraction creates a template which is compared with one or multiple biometric templates from a database. Due to the statistical nature of biometric samples, there is generally no exact match possible. For that reason, the decision process will only assign the biometric data subject to a biometric template and confirm recognition if the comparison score exceeds an adjustable

threshold. Face recognition works by using a computer to analyze an individual's facial structure. The biometric software takes a number of points and measurements, including the distances between characteristics such as eyes, nose and mouth. This may also include angles of certain features such as the jaw and forehead, and lengths of various portions of the face.

Research today is centered around the software aspect or algorithm development of biometric identification. The developing algorithms aim to reduce a known problem in the art, namely, the high numbers of false positives and negatives, which are called the False Acceptance Rate (FAR) and the False Recognition Rate (FRR), considered Type I and Type II errors in statistical models. The technologies involved in biometric identification include Segmentation, Decomposition Methods, namely, Eigenface, Local Feature Analysis (LFA), and Independent Component Analysis (ICA), and also include Support Vector Machines, Elastic Bunch Grapes, Implicit 3-D models and methods.

While more accurate and precise algorithms are essential to the identification of an individual, the implementation of current systems generally result in an inability to properly disseminate information. Furthermore, current systems are deficient in their implementation in a real-time environment using current technology network components. This deficiency stems from a lack of control over the environment, user integration and management over the system network.

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention is described by way of example with reference to the accompanying drawings wherein:

Figure 1 is a block diagram of a managed biometric-based notification system.

Figure 2 is a block diagram of at least one image acquiring system of the managed biometric-based notification system, adapted to capture a first content.

Figure 3 is a block diagram of the managed biometric-based notification system including at least one image acquiring system, a comparison module, and a notification component.

Figure 4 is a block diagram of the managed biometric-based notification system including the comparison module, a content management module, and the at least one notification component including at least one transmitted data point.

Figure 5 is a detailed block diagram of the content management module.

Figure 6 is a block diagram of at least one pre-selected receiving node.

Figure 7 is a block diagram of an embodiment of the at least one pre-selected receiving node.

Figure 8 is a block diagram of an embodiment of the managed biometric-based notification system.

Figure 9 is a block diagram of an embodiment of the managed biometric-based notification system.

Figure 10 is a block diagram of the managed biometric-based notification system including an image server.

Figure 11 is a block diagram of the managed biometric-based notification system including a web server.

Figure 12 is a flow chart of a process of the comparison module.

Figure 13 is a block diagram of an embodiment of the managed biometric-based notification system.

Figure 14 is a flow chart of a process of the at least one image acquiring system and comparison module.

Figure 15 is a block diagram an embodiment of the managed biometric-based notification system providing access to a third-party database.

Figure 16 is a block diagram of a process of enrollment.

Figure 17 is a block diagram of including an associated data to the process of enrollment.

Figure 18 is a block diagram of the content management module activating an event.

## DETAILED DESCRIPTION OF THE INVENTION

A managed biometric-based notification system 100 is provided. What is disclosed herein can include, among other things, an user interactive feedback system which is over a communication medium such as the Internet. The techniques described below include a computer software application which, when executed on the computer system of an user, configures that computer system so that the user can receive and provide information to other selected users or groups of users based on the result of a content comparison.

As used herein, the Internet refers at least to the worldwide collection of networks and gateways that use the transmission control protocol/Internet protocol (TCP/IP) to communicate. The World Wide Web (WWW) refers to the total set of inter-linked hypertext documents residing on hypertext transport protocol (HTTP) servers, wherein web servers can be any type of web server, e.g. APACHE. As used herein, the WWW also refers at least to documents accessed on secure servers, such as HTTP servers (HTTPS), which provide for encryption and transmission through a secure port. As used herein, the term "web site" refers at least to one or more related HTML documents and associated files, scripts, and databases that can be presented by an HTTP or HTTPS server on the WWW. The term "web browser" refers at least to software that lets a user view HTML documents and access files and software related to those documents.

It should be appreciated that any one or more elements of the system illustrated in the following embodiments can be located remotely from any or all of the other elements, and that any of the elements of a given embodiment can, in fact, be part of another system altogether. Furthermore, it should be understood that, for the following

embodiments, although they are described in connection with the managed biometric-based identification system 100, many aspects of the invention are usable with other biometric technologies, including but not limited to fingerprint recognition systems, iris recognition systems, hand geometry systems, and signature recognition systems. At least some embodiments of the invention are especially advantageous for biometric applications that utilize information captured from an image.

Figure 1 illustrates the managed biometric-based notification system 100. The managed biometric-based notification system 100 includes at least one image acquiring system 105 which is adapted to capture a first content 110, a comparison module 140 for comparing and distributing content within the system 100, a content management module 180 for controlling and managing the system 100, and at least one pre-selected receiving node 220.

Figure 2 illustrates the at least one image acquiring system 105 in more detail. The at least one image acquiring system 105 includes a lens 120, a processing unit 125, a digital sensor chip 130, a memory 135, and is adapted to capture the first content 110. The first content 110 is captured by the at least one image acquiring system 105 and transmitted to the comparison module 140 of the managed biometric-based notification system 100.

Figure 3 illustrates the comparison module 140 in more detail, and a notification component 170. The comparison module 140 is configured to receive the first content 110, and includes at least one processor 145, at least one database 150, at least one search engine 160, and an associated memory 165. The at least one search engine 160 is

operatively coupled with the at least one image acquiring system 105 and the at least one database 150. The at least one processor 145 is operatively coupled with the at least one image acquiring system 105 and the at least one search engine 160, wherein the notification component 170 is generated provided a statistical threshold is satisfied between compared content.

Figure 4 illustrates the comparison module 140, the content management module 180, the notification component 170, and at least one transmitted data point 175. The notification component 170 is transmitted by the comparison module 140 after the first content 110, and a second content 155, located in at least one database 150 are compared. The content management module 180 is configured to manage and control the distribution of the notification component 170 including the at least one transmitted data point 175, to the user or users of the system 100.

Figure 5 illustrates the content management module 180 in detail. The content management module 180 provides the means for managing and controlling the managed biometric-based notification system 100 functioning by interacting with the comparison module 140. In providing the necessary functionality to the user of the system 100, the content management module 180 includes a case module ("CM") 185, a notification module ("NM") 190, a database browsing and reporting module ("DBRM") 195, a subscription content module ("SCM") 200, a support module ("SM") 205, and an account management module 210 ("AMM").

The content management module 180 serves as a graphical user interface (GUI), and provides the user interaction, feedback functionality, and the ability to control and

manage the managed biometric-based notification system 100. In an embodiment, the content management module 180 is accessed through a network via a web portal. The content management module 180 is embodied in a computer-readable executable program comprising computer executable instructions stored on computer readable media, and is usable with a general purpose computer system. In another embodiment, the content management module 180 is embodied in a transmission medium, such as one or more carrier wave signals transmitted between computers and a server, on a wireless network.

The CM 185 is a module configured to allow the user to manage and create content and information for the managed biometric-based notification system 100. The CM 185 provides the ability to create case information and associated case identification numbers ("CIN"), and also provide the user the ability to modify case information. The user is able to create cases by "enrollment" of the first content 110 captured from the at least one image acquiring system 105. Enrollment is a process of collecting biometric data from an individual and subsequently storing the data in a reference template representing the individual's identity. The procedure is crucial where the user is familiar with an individual who is not otherwise recognized by the system 100. The created content is then stored in the at least one database 150 of the comparison module 140.

CM 185 provides the ability to also update the second content 155 with the first content 110, provided the threshold or substantial similarity requirement is met. This feature is essential where the managed biometric-based notification system 100 has outdated information and current information is available from the environment. The

CM 185 is also configured to allow the user to group case information based on specific criteria. For example, a "case group" can be labeled "shoplifters," very important persons," or "persons with records." Generally, the case group can be defined as any group where identification is important to the surrounding environment.

The CM 185 also manages stored content. The CM 185 generally contains all the functionality of an arrangement module within a database. The functionality contains fields, which can also be used to search stored content (see DBRM 195), use menus, and tab functionality for arranging information. The contained fields can include name, date of birth, sex, offense list, history, observations, transactions, and interactions in the environment. However, more fields can be added that may be specific to an individual or the environment, in order to better define the content and enhance search capabilities (see DBRM 195).

The NM 190 is a module configured to provide the user the means for notifying at least one user of the biometric-based notification system 100. The NM 190, in conjunction with the comparison module 140, allows the user to select different methods of interacting with the users of the system 100. The notification component 170 can be transmitted based on user preferences or pre-selected input into the NM 190 of user(s) or user group(s) in which to receive the information. The user(s) or user group(s) defined in the NM 190 can be attached to single or multiple case groups (configured by the CM 185). In an embodiment, the NM 190 allows the user to determine which user(s) or user group(s) receive the at least one notification component(s) 170 and transmitted data point(s) 175 based on time intervals, such as day, week, month and year.

The NM 190 also provides the user the ability to send a variety of notification components 170. In an embodiment, the notification component 170 can be transmitted from the comparison module 140, which in an embodiment can include a notification server, based on different preferences or methods of delivery, e.g. SMS, MMS, Email, Instant Messaging, Voicemail, and the like. Furthermore, the NM 190 also allows the user to select several different types of content within the at least one database 150 in which to be transmitted with the notification component 170.

In an embodiment, the user is also able to control the threshold in which the first and second content, 110 and 155, respectively, are compared. However, this function can also be implemented within the AMM 210. In an embodiment, the user is able to send a non-threshold comparison to an operator or automated operator module e.g. administrative authority, to determine substantial similarity, as a primary or secondary measure in validating the comparison. This function can be utilized in cases where an “unconfirmed” or non-threshold comparison or comparisons result.

Numerous scenarios can be detailed in which specific information is transmitted to appropriate users at pre-selected times and methods, who are selected to handle that type of information, or have requested specific information to be transmitted, based on a variety of factors specific to those individuals, as such, examples above are not designed to be limiting in any way.

The DBRM 195 is a module configured to provide the user the ability to develop reports and search the at least one database 150 within the managed biometric-based notification system 100. The at least one database 150 is searchable by any case

information filed within the system 100 through the fields created in the CM 185. The information can include records, notes, observations, details, and other information which can apprise the user(s) as to the status or propensities of an individual in an environment. The user is able to access and view cases, generate reports, which include, but are not limited to, match, enrollment and notification logs, as well as other internal statistics. The DBRM 195 also provides the ability to export these logs and reports in any general format.

The SCM 200 is a module configured to provide the user the ability to subscribe, manage, and browse current and available database subscriptions. This module increases the referenced content, or second content 155, within the managed biometric-based notification system 100. The system 100 also centralizes available databases and provides subscription information to the user, such as a description of the available database(s), associated pricing for each database, and a reference to the overall content. The SCM 200 also provides the user the ability to share databases, or form "Alliances," with other subscribers within the system 100. However, this functionality, in an embodiment, can be included in the CM 185. The functionality of this module also provides for the ability to request the authority who implements the system 100, to data mine other databases. The process of data mining, includes the ability to process data from other third party databases, and will create a database or databases based on processed or extracted feature sets from facial images and other information found within those databases.

The SM 205 is a module configured to provide customer interaction and support to the user of the content management module 180 with the managed biometric-based notification system 100 administrator, or authority, who implements the system 100. The SM 205 provides an interactive program configured to aid the user in resolving issues with the system 100. The issues presented within the SM 205 can also be tracked and managed. The SM 205 includes a frequently asked questions application providing answers to commonly filed questions, and also includes other methods of support including live help and chat programs.

The AMM 210 is a module configured to provide the administrator or authority who implements the managed biometric-based notification system 100, an interface to manage user accounts, access, and data within the system 100. Through the AMM 210, is the ability to set up preferences, as well as rights to and within the system 100, including adding, deleting, modifying access for other users and sub-users of the CM 185. The AMM 210 is also configured to add, edit, and remove the at least one image acquiring system 105, the at least one pre-selected receiving node 220, as well as other components associated with the system 100. This feature is particularly important where more components are added due to an increase in employees and/or areas in which to implement at least one image capturing device 105.

In an embodiment, the AMM 210 can be implemented with the comparison module 140 by a single authority, having control over multiple implemented managed biometric-based notification systems 100. In this embodiment, remaining modules of the content management system 180 can be implemented into an environment or be provided to the

user through a web portal. In this embodiment, the users of the system 100 are able to interact with the comparison module 140 through the modules described herein. This functionality allows for the control of implemented systems 100 within a plurality of environments and interaction between one or more of those environments.

Figure 6 illustrates the at least one pre-selected receiving node 220. In an embodiment, the node is a terminal, which can be a plurality, with a motherboard on a computer network, including a central processor 230, associated memory 240 (e.g., DRAM, ROM, EPROM, EEPROM, SRAM, SDRAM, and Flash RAM) for storing programs and or data, an input/output controller 250, optional special purpose logic devices (e.g., ASICs) or configurable logic devices (e.g., GAL and reprogrammable FPGA, a network interface 260, a display device 280, one or more input devices 290, a hard disk drive 300, a floppy disk drive 310, and a data bus 270 (e.g., a SCSI bus, an Enhanced IDE bus, or a Ultra DMA bus) coupling these components, allowing communication therein.

The central processor 230 can be any type of microprocessor. The display device 280 can be any type of display, such as a liquid crystal display (LCD), cathode ray tube display (CRT), or light emitting diode (LED), capable of displaying the generated outputs. The input device 290 can be any type-of device capable of providing the inputs described herein, such as keyboards, numeric keypads, touch screens, pointing devices, switches, styluses, and light pens.

The network interface 260 can be any type of a device, card, adapter, or connector that provides the at least one pre-selected receiving node 220 with network access to a

computer or other networked enabled device. In one embodiment, the network interface 260 enables the at least one pre-selected receiving node 220 to connect to a computer network such as the Internet. The content management module 180, in an embodiment, is loaded into the pre-selected receiving node 220 via the hard disk drive 300, the floppy disk drive 310, or the network interface 260, wherein in another embodiment, is included within the comparison module 140. Alternatively, the program can reside in a permanent memory portion (e.g., a read-only-memory (ROM)) chip) of the main memory 240.

The at least one pre-selected receiving node 220 can be implemented on at least one of client and server. A "client" can be broadly construed to mean one who requests or receives a file, and "server" can be broadly construed to be the entity that sends or forwards the file. In an embodiment, the at least one pre-selected receiving node 220 operates in a networked environment using a communication connection to connect to one or more remote computers. The remote computer can include a personal computer, server, router, network PC, a peer device, or other common network node. The communication connection can include a Local Area Network (LAN), a Wide Area Network (WAN), or other networks.

Figure 7 illustrates an embodiment of the at least one pre-selected receiving node 220. In an embodiment, the at least one pre-selected receiving node 220 is a transceiver or other similar means for receiving the at least one notification component 170. The receiving means can include, but is not limited to, a cell phone, a personal digital assistant (PDA) or other similar handheld portable device. Further, in this embodiment the at least one pre-selected receiving node 220 can function as at least one image

acquiring system 105, allowing the user means to capture the first content 110 and receive the notification component 170 and the at least one transmitted data point 175. The use of the transceiver supplies mobility and versatility to the user in the environment. The transceiver can be networked to other devices, such as in a client-server or peer to peer system, allowing the user to receive and send information to another transceiver in the environment.

In use, upon implementation of the managed biometric-based notification system 100 into an environment, the first content 110 is captured by the at least one image acquiring system 105. In an embodiment, the first content 110 is an image of a person, wherein the image substantially includes the facial region. In another embodiment, the first content 110 is an electronic translation of handwritten or printed images into machine text or optical character recognition (OCR), wherein the use of machine vision cameras or digital video cameras and computers is used to read and analyze alphanumeric characters. However, the first content 110 can generally be any type of information important to the environment, and while only certain types of information is recognized by the comparison module 140, the remainder of the information can be categorized, stored, and retrieved upon request by the user in the same manner as compared content.

Figure 8 illustrates, in one embodiment, the at least one image acquiring system 105 disposed in a commercial environment 320. In this embodiment, commercial establishments are able to implement the managed biometric-based notification system 100 to reduce the amount of "shrinkage" or other crimes occurring in the commercial environment 320. Illustrated in Figure 9, in another embodiment, stores within the same

community or stores within the same chain, who are subscribers to the system 100, are able to share at least one central database 325. The illustrated configuration will allow the sharing of information, via configuring the content management module 180, pertinent to a certain store or stores, placing other "Alliances" on notice of a specific activity or person specific to the chain of stores or community.

In an embodiment, the at least one image acquiring system 105 includes a machine vision camera. Machine cameras incorporate frames rates of either 15 fps (SXGA) or 34 fps (VGA), and include camera features such as a standard processor, operating system, SDRAM and I/O connectivity via Ethernet, USB serial port and monitor out. Components of a machine vision system will comprise several of the following: at least one digital or analog camera with optics for acquiring images. An interface for digitizing images (widely known, in the case of CCTV cameras, as a "frame grabber"). A processor (often a PC or embedded processor, such as a DSP) (In some cases, all of the above are combined within a single device, called a smart camera). Input/Output hardware (e.g. digital I/O) or communication links (e.g. network connection) to report results. Lenses to focus the desired field of view onto the image sensor. A program to process images and detect relevant features. In an embodiment, the machine vision camera includes a synchronizing sensor for detection (often an optical or magnetic sensor) to trigger image acquisition and processing. It can be understood by those skilled in the art that many available digital video and digital cameras can be used in conjunction with the managed biometric-based notification system 100 as a means for acquiring images, as such, the examples are not limiting in any way.

In this embodiment, as illustrated in Figure 10, the at least one image acquiring system 105, due to the large amounts of collected data, which can be uncompressed video, includes at least one server 385 on site to handle the large quantities of data collection. In this embodiment, the at least one image acquiring system 105 transmits the first content 110 to a image server 385, wherein the image server 385 would store the first content 110 and transmit to the comparison module 140 after comparison of the first and second content, 110 and 155, respectively, yields threshold level similarity (process illustrated in Figure 14). In another embodiment, as illustrated in Figure 11, the at least one image acquiring system 105 transmits the first content 110 to a web server 390 of the comparison module 140. This configuration allows elements of the system 100 to be in various locations connected to a network.

After capturing the first content 110 from an environment, or otherwise called a "tracking environment," the first content 110 is then transmitted to the comparison module 140. This step is generally called "Image Acquisition." Image Acquisition refers to how a computer gets image data from a camera into the computer. In an embodiment, the at least one image acquiring system 105 transmits uncompressed video to the comparison module 140. In another embodiment, the at least one image acquiring system 105 is on a network.

Figure 12 illustrates the process of the comparison module 140. The comparison module 140 is configured to generate a set of descriptors or the feature set 110a from the received first content 110. The comparison module 140 includes the at least one search engine 160, operatively coupled with the at least one image acquiring system 105 and the

at least one database 150. The at least one search engine 160 is programmed to execute a series of instructions, stored on the memory 165, to process a feature set 110a of the first content 110 and a substantially similar feature set 155a of the second content 155.

If the feature sets 110a and 155a meet a predetermined threshold, the comparison module 140 determines the comparison to be a match. The notification component 170 is then transmitted, which in an embodiment, can include the notification server operatively coupled to the comparison module 140. In another embodiment, the notification component 170 can also be transmitted if a comparison does not result in a match. As stated previously, the operator can be employed at this juncture to determining substantial similarity. However, regardless of whether a match occurs, the content is stored within the at least one database 150. The notification component 170 can be comprised of any variety of notification made available by the NM 190, delivered at any preference presented by the same module. Furthermore, the at least one transmitted data point 175 is also transmitted along with the notification component 170, and its form and timing are determined by the same.

Illustrated in Figure 13, and in connection with Figures 10 and 11, is an embodiment of the managed biometric-based notification system 100. In this embodiment, the comparison module 140 receives the feature set 110a of the first content 110. However, the first content 110 remains stored on the image server 385 or web server 390, as illustrated in Figures 10 and 11. In an embodiment, an extraction process is implemented within the local environment 320 at the at least one image acquiring system 105. In this embodiment, as illustrated in Figure 14, the processor 125 of the at

least one image acquiring system is programmed to execute a series of instructions, stored on the memory 135, to process the feature set 110a of the first content 110. Here, an algorithm or extraction module found in the comparison module 140 is implemented at the at least one image acquiring system 105. The comparison module 140 is then configured to compare the feature set 110a with the processed feature set 155a of the second content 155, also illustrated in Figure 10. If the statistical threshold or substantial similarity is satisfied the first content 110 is transmitted to the comparison module 140 upon request.

In an embodiment, the comparison module 140 includes a facial recognition algorithm designed to process the feature set 110a of the first content 110 and a feature set 155a of the second content 155. In another embodiment, a process algorithm, or modules therein, are implemented within the commercial environment at the at least one image acquiring system 105 and processes the feature set 110a, and comprise the comparison module 140. The series of instructions used by the comparison module 140 can include the "FACE-IT" facial recognition system from Identix® Inc. (Merged with L-1 Identity Solutions Company) or "FaceVACS"® from Cognitec Systems. Generally, the widely available technology can identify individuals independent of variances in human faces, including mimicry and aging variances, as well as variances coming from a new hair style, glasses, or lighting changes.

The algorithms involved in comparing content are implemented to determine the statistical closeness of the data involved, as such, multiple algorithms can be implemented for the determination of facial identification, as well as algorithms

identifying other features of an individual in the environment, e.g. textual, to further limit the statistical closeness of an individual. It can be understood by those skilled in the art that many available algorithms can be used in conjunction with the managed biometric-based notification system 100 for comparison of content, as such, the examples are not limiting in any way.

As indicated above, in comparing the first and second content, 110 and 155, respectively, the comparison module 140 draws from the at least one database 150. However, the comparison module 140 in conjunction with the content management module 180 provides access to a variety of different content forms, databases and servers. As Figure 15 illustrates, in an embodiment, the database content can be predetermined, in that, it is the result of subscriptions to public or third party databases, e.g. government, law enforcement agencies, state databases, or databases the user requests to data mine. In another embodiment, as indicated above, the second content 155 is predetermined by the local environment 320, which can be based off internal company records and documents. As such, database content can be built from generated content within the system 100 through user input and feedback. The second content 155 can also be generated from outside the system 100 environment.

Illustrated in Figure 16 is the enrollment process through the CM 185. In this embodiment, the user is able to enroll an individual into the managed biometric-based notification system 100. The system 100 comprehends the creation or enrollment when the feature set 110a is processed and stored in the at least one database 155. As illustrated in Figure 17, the CM 185 provides the ability to the user, to upload associated

data 110b with the first content 110, e.g. notes on interactions and/or observations related to the first content 110, as provided by the utility in Figure 6.

After the first content 110 and associated data 110b are within the at least one database 150, it becomes second content 155 and associated data 155b. In an embodiment, the comparison module 140 includes databases dedicated to the associated data 155b, and in another embodiment, includes databases dedicated to each type of associated data 155b described herein. The second content 155 can then be manipulated via the content management module 180 by the user. The managed biometric-based notification system 100 generally provides for the identification of an individual already retained in the system 100 and image retention for those individuals who are not within the system 100 but are to be identified at a future date.

The degree in which the first and second content, 110 and 155, respectively, must match is deemed "substantial similarity." This level of similarity is a threshold or a pre-defined set of parameters set forth by the user of the managed biometric-based notification system 100. These parameters may be modified by users, and in an embodiment, users who have been given authority within the system 100, such as that defined by AMM 210. The comparison module 140 determines the substantial similarity of the feature sets of 110a and 155a, and the notification component 170 and at least one transmitted data point 175 are transmitted as determined by the content management module 180 if that similarity threshold is met.

In an embodiment, if the comparison module 140 transmits a plurality of second content 155 corresponding to varying degrees of similarity among compared feature sets or where the feature sets of 110a and 155a do not meet the predetermined threshold of the system 100, the operator determines substantial similarity. And as mentioned above, the operator can also be implemented as a primary or secondary measure in determining substantial similarity.

After a comparison meets the predetermined threshold, the comparison module 140 transmits the notification component 170 based on pre-selected criteria inputted into the content management module 180 via the NM 190 by the user. The notification component 170 can be comprised of many different forms as set forth in the NM 190. The notification component 170 can be wirelessly communicated including but not limited to utilizing short messaging service (SMS), multimedia messaging service (MMS), email, instant messaging or an Email to SMS, SMS to Email, MMS to Email, or Computer to SMS applications.

The at least one transmitted data point 175 is information transmitted along with the notification component 170, and is comprised of information which, in an embodiment, includes an image, e.g. the comparison image of the comparison module 140 or any form of the second content 155 described herein. In another embodiment, the at least one transmitted data point 175 includes the associated data 155b, or the associated data 155b along with the recently compared second content 155. However, content can also be transmitted that describes the individual's record or other persons that individual is known to associate with, known accomplices, any other form mentioned herein.

Furthermore, in the criminal context, where a comparison of first and second content, 110 and 155, respectively, yields a substantially similar threshold, the at least one notification component 170 is sent out detailing the identification of that criminal, and can include associated data 155b, e.g. prior criminal history, bench warrant, state prison, jail, conviction(s), arrest(s), charge(s), involved agencies, previous stolen items, treatment of staff, and gang affiliation. In an embodiment, the at least one transmitted data point 175 can include audio and/or textual information, e.g. instructions, guides, and/or user manuals specific to a product in the environment, which can be recorded through the CM 185. However, the specifics of the at least one transmitted data point 175 is dependent to large degree on the content which is compared within the system 100. As such, general information about actions or events local to the commercial environment, or other individuals can also be transmitted. This feature can aid in providing customized service based on previous buying habits, amounts, and frequently asked questions.

The notification component 170 serves more than one function in the managed biometric-based notification system 100. In another embodiment, the notification component 170 can activate or initiate an event. The comparison module 140 transmits the notification component 170 as an instruction signal to an actuator 330 which functions to perform an event, e.g. motor, or activate in application another networked device. In activating a motorized event, a servomechanism can be connected which supplies and transmits a measured amount of energy for the operation of another mechanism or system. In an embodiment, functional interfaces can interact with an SMS

gateway to perform functions in the environment. However, the notification component 170 can take a variety of forms in activating events, and as such, the form is not limited by the provided examples.

Figure 18 illustrates an embodiment, where a transducer or an actuator module 330 is included, which typically includes a clock 335, a processing unit 345, and a receiver 340, and is able to receive an input signal from the content management module 180 via the NM 190. The actuator module 330 supplies as an output, a command via dry contact to control a motor and the circuitry of a door e.g. gate controller or lock via door strike or other similar device, in activating an event. In this embodiment, control over the environment can occur via a controller which can send an output command to a plurality of devices within a network. In another embodiment, a switch can be used to either turn on or off a device. In this embodiment, a binary command can be sent over a network to an interface, or remotely connect, to receivers controlling other devices and/or software in the environment.

Generally, the purpose of the at least one notification component 170 is to activate an event and/or notify the user of an individual. However, it can be understood by those skilled in the art that the notification of an individual or activation of an event can take a variety of forms and activate a variety and plurality of users and devices, depending on the context, as such, the examples are not limiting in any way.

In an embodiment, wherein the at least one notification component 170 is then sent to a pre-selected receiving node 220, the receipt of the at least one notification component 170 can occur on a stationary terminal and/or transceiver or other mobile

device. In an embodiment, the pre-selected receiving node 220 is a plurality of transceivers. The plurality of transceivers transmit to other transceivers and/or retransmit information to other transceivers within the environment. In this embodiment, employees within a commercial environment 320 are able to communicate and also send image data and enroll content in the content management module 180. In another embodiment, the transceiver can also serve as a "local" remote control, operating by sending a wireless (e.g. infra-red or radio frequency) signal to a transceiver which is connected to a motor or application via another pre-selected receiving node 220 and a receiver. This embodiment provides the user of the system 100 another level of control in the environment.

The managed biometric-based notification system 100 provides the ability to control and manage information gathered in a real-time environment, and interact that environment with up to date information from a variety of external sources. The system 100 provides the user with an interactive system component which includes the ability to transmit information to available resources using a variety of technologies and provide real-time feedback in a dynamic environment.

An advantage of the managed biometric-based notification system 100 is the content management module 180 element of the system 100. The content management module 180 controls the distribution of content into an environment in which identification of information is crucial. The content management module 180 allows the user to interact with the remainder of the system 100, through, in an embodiment, a browser-based interface. The user is also provided control over received, stored, and compared content within the system 100.

Specifically, an advantage of the managed biometric-based notification system 100 is the ability to manage the transmission of the at least one notification component 170. The content management module 180 allows the user the ability to manage events in the environment, such as the activation of applications or actuators linked to other devices, and also users and user groups in receiving information. This advantage intersects the resources in the environment with the result of the implemented algorithm in a real-time scenario.

The system 100 also provides the user with the ability to manage the type of notification, e.g. the mode of transmission. The time of transmission is can also be managed. Here, the notification component 170 can be directed based a variety of predetermined factors e.g. time and date intervals. While the transmission of at least one notification component 170 containing at least one data point 175 of an individual who is known for shoplifting can be transmitted to the available security guards, there are many more uses directed to the system 100, such as, the purchase history of an individual transmitted to a sales associate or a person who is known to have a heart condition to someone on staff who understands Cardio Pulmonary Resuscitation.

The application also can be used in allowing specialized or certain persons into a variety of areas in the environment. As such, it can be understood by those skilled in the art that many situations are possible in an environment requiring information specific for that situation, which can be used in conjunction with the managed biometric-based notification system 100, therefore the above examples are not designed to be limiting in any way.

Another advantage supplied by the content management module 180 is the ability to allow the user the option of creating a variety of databases and content within the managed biometric-based notification system 100. The content management module 180 includes the ability to create databases by subscription, data mining or create content from the local environment 320. This option allows the user of the system 100 control over the organization of the content.

The creation of content within the managed biometric-based notification system 100 can also include a variety additional data referencing created content. As such, an additional advantage of the system 100 is the ability to include associated data e.g. including images, notes, within the system 100. The associated data can be uploaded by a variety of methods identical or equivalent to the at least one notification component 170. Furthermore, another advantage of the system 100 is the ability to modify the stored content. Towards this end, the content management module 180 provides security measures via the AMM 210, for those who modify content within the system 100. Updated information about an individual is important where an individual has had certain hostile interactions with staff, maybe increasing in hostility, or is known to carry a weapon, or has previously targeted a specific area of the store. Thus, if given authority, modification of content is crucial to maintaining an updated system 100.

Another advantage of the managed biometric-based notification system 100 is the at least one pre-selected receiving node 220. In an embodiment, the at least one pre-selected receiving node is a transceiver. The transceiver provides the user the ability, not only to communicate crucial information to the next user or staff member in the

environment, and provide mobility, but also activate applications or events based on that information. This places an added level of control to those within the environment. Moreover, while the transceiver is able to communicate to another transceiver in the environment, the transceiver is also able to communicate an image to the comparison module 140 and receive the at least one notification component 170 based on the content transmitted.

The managed biometric-based notification system 100 can be used in a variety of environments. The system 100 can be implemented in the commercial environment 320, in perimeter surveillance, automated entry, customer recognition or pre-authorized visitor identification. In a retail environment, the system 100 will reduce operational costs and increase the efficiency of the staff.

After being placed in strategic locations, the managed biometric-based notification system 100 will also reduce shrinkage. The system 100 accomplishes this result by increasing the probability in matching an individual with a comprehensive image in a database. The system 100 is constantly being updated and connected with content, coming from a variety of sources, e.g. the environment in which it is placed , third party databases, watchlists, requested data mined sites. As such, retrieved information is being compared against current data from a variety of sources, increasing the probability of a match.

The managed biometric-based notification system 100 is designed to be employed in a plurality of locations and including a central station or headquarters. As such, first content 110 acquired from the plurality of locations networked to the system 100

generally would be transmitted to the central station wherein the comparison module 140 would start the process illustrated in Figure 10, however, an advantage of the system 100 is the implementation of the process within the at least one image acquiring system 105 as illustrated in Figures 13 and 14.

The advantage of processing the feature set 110a at the at least one image acquiring system 105 is that it allows for only the feature set 110a to be transmitted for comparison. If the comparison achieves the desired threshold of the system 100, the comparison module 140 requests the first content 100 from the at least one image acquiring system 105. This is particularly important where a large number of users of the system 100 are networked. This advantage reduces the amount of data transmitted over a network, and thus reduces the amount of strain on the available bandwidth.

While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that such embodiments are merely illustrative and not restrictive of the current invention, and that this invention is not restricted to the specific constructions and arrangements shown and described since modification can occur to those ordinarily skilled in the art.

## CLAIMS

### What is Claimed:

1. A managed biometric-based notification system, comprising:

at least one image acquiring system adapted to capture a first content comprising a feature set;

a comparison module configured to receive the first content, the comparison module including at least one processor, at least one database comprising a second content, the second content comprising a feature set, at least one search engine operatively coupled with the at least one image acquiring system and the at least one database, a memory, the at least one processor in operatively coupled with the at least one image acquiring system and the at least one search engine, programmed to execute a series of instructions, stored on the memory, to process the feature set of the first content and a feature set of the second content;

at least one notification component including at least one transmitted data point;

at least one pre-selected receiving node; and

a content management module arranged for controlling and managing the system, the comparison module configured to transmit the notification component to the at least one pre-selected receiving node, determined by the content management module, in response to compared feature sets of the first and second content.

2. The managed biometric-based notification system of claim 1 wherein the at least one image acquiring system is disposed in at least one commercial environment.

3. The managed biometric-based notification system of claim 2 including a central database operatively coupled to at least one commercial environment.
4. The managed biometric-based notification system of claim 1 including the at least one image acquiring system connected to at least one server.
5. The managed biometric-based notification system of claim 4 wherein the at least one image acquiring system includes a processor programmed to execute a series of instructions, stored on a memory, to process the feature set of the first content, storing the first content at the server, and transmitting the first content upon compared feature sets of the first and second content.
6. The managed biometric-based notification system of claim 1 wherein the at least one image acquiring system includes a machine vision camera.
7. The managed biometric-based notification system of claim 1 wherein the second content is predetermined.
8. The managed biometric-based notification system of claim 1 wherein the second content is determined by a local commercial environment.

9. The managed biometric-based notification system of claim 1 wherein the second content includes a plurality of associated data.

10. The managed biometric-based notification system of claim 1 wherein an operator is included in comparing the first and second content.

11. The managed biometric-based notification system of claim 1 where the at least one transmitted notification component and the at least one transmitted data point are pre-selected.

12. The managed biometric-based notification system of claim 1 wherein the at least one transmitted data point includes an image.

13. The managed biometric-based notification system of claim 1 wherein the at least one transmitted data point is comprised of at least one of audio and textual information.

14. The managed biometric-based notification system of claim 1 wherein the at least one notification component is transmitted via short messaging service communications protocol.

15. The managed biometric-based notification system of claim 1 wherein the at least one notification component activates an event.

16. The managed biometric-based notification system of claim 1 wherein the pre-selected receiving node is a transceiver.
17. The managed biometric-based notification system of claim 1 wherein the pre-selected receiving node generates the second content.
18. The managed biometric-based notification system of claim 1 wherein the system is operatively coupled by a network.

19. A method of notifying at least one user of a managed biometric-based notification system, comprising:

receiving a first content comprising a feature set from at least one image acquiring system;

processing the feature set of the first content;

processing a compared feature set of a second content stored within at least one database, the at least one database included in a comparison module, the comparison module further comprising at least one processor, at least one search engine operatively coupled with the at least one image acquiring system and the at least one database, a memory, the at least one processor operatively coupled with the at least one image acquiring system and the at least one search engine, programmed to execute a series of instructions stored on the memory; and

transmitting at least one notification component including at least one transmitted data point to at least one pre-selected receiving node, determined by a content management module for controlling and managing the system, in response to compared feature sets of the first and second content.

20. The method of notifying in claim 19 wherein the at least one image acquiring system is disposed in at least one commercial environment.

21. The method of notifying in claim 20 including a central database operatively coupled to at least one commercial environment.

22. The method of notifying in claim 19 including the at least one image acquiring system connected to at least one server.

23. The method of notifying in claim 22 wherein the at least one image acquiring system includes a processor programmed to execute a series of instructions, stored on a memory, further comprising: processing the feature set of the first content; storing the first content at the server; and transmitting to the comparison module the first content upon compared feature sets of the first and second content.

24. The method of notifying in claim 19 wherein the at least one image acquiring system includes a machine vision camera.

25. The method of notifying in claim 19 wherein the second content is predetermined.

26. The method of notifying in claim 19 wherein the second content is determined by a local commercial environment.

27. The method of notifying in claim 19 wherein the second content includes a plurality of associated data.

28. The method of notifying in claim 19 including comparing the first and second content by an operator.

29. The method of notifying in claim 19 including transmitting to a pre-selected notification component and at least one transmitted data point.
30. The method of notifying in claim 19 wherein transmitting the at least one transmitted data point includes an image.
31. The method of notifying in claim 19 wherein transmitting the at least one transmitted data point includes at least one of audio and textual information.
32. The method of notifying in claim 19 wherein transmitting the at least one notification component is by short messaging service communications protocol.
33. The method of notifying in claim 19 wherein transmitting the at least one notification component activates an event.
34. The method of notifying in claim 19 wherein the pre-selected receiving node is a transceiver.
35. The method of notifying in claim 19 including receiving second content from the pre-selected receiving node.

36. The method of notifying in claim 19 wherein the system is operatively coupled by a network.

37. A method of notifying at least one user of a client system, the method comprising:  
receiving a notification component including at least one transmitted data point on at least one pre-selected receiving node, determined by a content management module arranged for controlling and managing the system, transmitted from a comparison module in response to compared feature sets of a first and a second content, wherein the comparison module receives the first content comprising the feature set from at least one image acquiring system, the comparison module processing the feature set of the first content and a feature set of the second content stored in at least one database, the comparison module further comprising at least one processor, at least one search engine operatively coupled with the at least one image acquiring system and the at least one database, a memory, the at least one processor operatively coupled with the at least one image acquiring system and the at least one search engine, programmed to execute a series of instructions stored on the memory.

38. The method of notifying in claim 37 wherein the at least one image acquiring system is disposed in at least one commercial environment.

39. The method of notifying in claim 38 including a central database operatively coupled to at least one commercial environment.

40. The method of notifying in claim 37 including the at least one image acquiring system connected to at least one server.

41. The method of notifying in claim 40 wherein the at least one image acquiring system includes a processor programmed to execute a series of instructions, stored on a memory, further comprising: processing the feature set of the first content; storing the first content at the server; and transmitting to the comparison module the first content upon compared feature sets of the first and second content.

42. The method of notifying in claim 37 wherein the at least one image acquiring system includes a machine vision camera.

43. The method of notifying in claim 37 wherein the second content is predetermined.

44. The method of notifying in claim 37 wherein the second content is determined by a local commercial environment.

45. The method of notifying in claim 37 wherein the second content includes a plurality of associated data.

46. The method of notifying in claim 37 including comparing the first and second content by an operator.

47. The method of notifying in claim 37 including transmitting to a pre-selected notification component and at least one transmitted data point.
48. The method of notifying in claim 37 wherein transmitting the at least one transmitted data point includes an image.
49. The method of notifying in claim 37 wherein transmitting the at least one transmitted data point includes at least one of audio and textual information.
50. The method of notifying in claim 37 wherein transmitting the at least one notification component is by short messaging service communications protocol.
51. The method of notifying in claim 37 wherein transmitting the at least one notification component activates an event.
52. The method of notifying in claim 37 wherein the pre-selected receiving node is a transceiver.
53. The method of notifying in claim 37 including receiving second content from the pre-selected receiving node.

54. The method of notifying in claim 37 wherein the system is operatively coupled by a network.

55. A computer-executable program stored on a computer-readable storage medium for managing and controlling a biometric-based notification system, carrying one or more sequences of instructions, wherein execution of the one or more sequences of instructions by one or more processors embodied therein causes the one or more processors to perform the steps of:

receiving a first content comprising a feature set from at least one image acquiring system;

processing the feature set of the first content;

processing a feature set of a second content stored within at least one database, the at least one database included in a comparison module, the comparison module further comprising at least one processor, at least one search engine operatively coupled with the at least one image acquiring system and the at least one database, a memory, the at least one processor operatively coupled with the at least one image acquiring system and the at least one search engine, programmed to execute a series of instructions stored on the memory;

determining input preferences; and

transmitting, according to input preferences, at least one notification component including at least one transmitted data point to at least one pre-selected receiving node in response to compared feature sets of the first and second content.

56. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing receiving of the first content from an image acquiring system in at least one commercial environment.

57. The computer-executable program of claim 56 having stored thereon additional instructions, said additional instructions when executed by a computer, causing processing of the second content from a central database operatively coupled to at least one commercial environment content.

58. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing processing of the second content from the at least one image acquiring system connected to at least one server.

59. The computer-executable program of claim 58 having stored thereon additional instructions, said additional instructions when executed by a computer, causing processing by a processor of the at least one image acquiring system, programmed to execute a series of instructions, stored on a memory, the feature set of the first content; storing the first content at the server; and transmitting to the comparison module the first content upon compared feature sets of the first and second content.

60. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing receiving the first content from a machine vision camera of the at least one image acquiring system.
61. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing processing the feature set of a predetermined second content.
62. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing processing of the second content determined by a local commercial environment.
63. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting of associated data of the second content.
64. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting of the of the first and second content to an operator.

65. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting to a pre-selected notification component and at least one transmitted data point.

66. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting of an image of the at least one transmitted data point.

67. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting at least one of audio and textual information of the at least one transmitted data point.

68. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting of the at least one notification component by short messaging service communications protocol.

69. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing activating of an event by transmitting the at least one notification component.

70. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting of the notification component and the at least one transmitted data point a transceiver.

71. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing receiving of the second content from the pre-selected receiving node.

72. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing transmitting over a network.

73. The managed biometric-based notification system of claim 1 wherein the at least one image acquiring system is the at least one pre-selected receiving node.

74. The managed biometric-based notification system of claim 73 wherein the at least one-pre-selected receiving node is a mobile device.

75. The method of notifying in claim 19 wherein the receiving a first content comprising a feature set is the at least one pre-selected receiving node.

76. The method of notifying in claim 75 wherein the at least one pre-selected receiving node receiving a first content comprising a feature set is a mobile device.

77. The method of notifying in claim 37 wherein the at least one image acquiring system is the at least one pre-selected receiving node.

78. The method of notifying in claim 77 wherein the at least one pre-selected receiving node is a mobile device.

79. The computer-executable program of claim 55 having stored thereon additional instructions, said additional instructions when executed by a computer, causing receiving of the first content comprising a feature set, wherein the at least one image acquiring system is the at least one pre-selected receiving node.

80. The computer-executable program of claim 79 having stored thereon additional instructions, said additional instructions when executed by a computer, causing receiving of the first content comprising a feature set, wherein the at least one pre-selected receiving node is a mobile device.

FIG.1

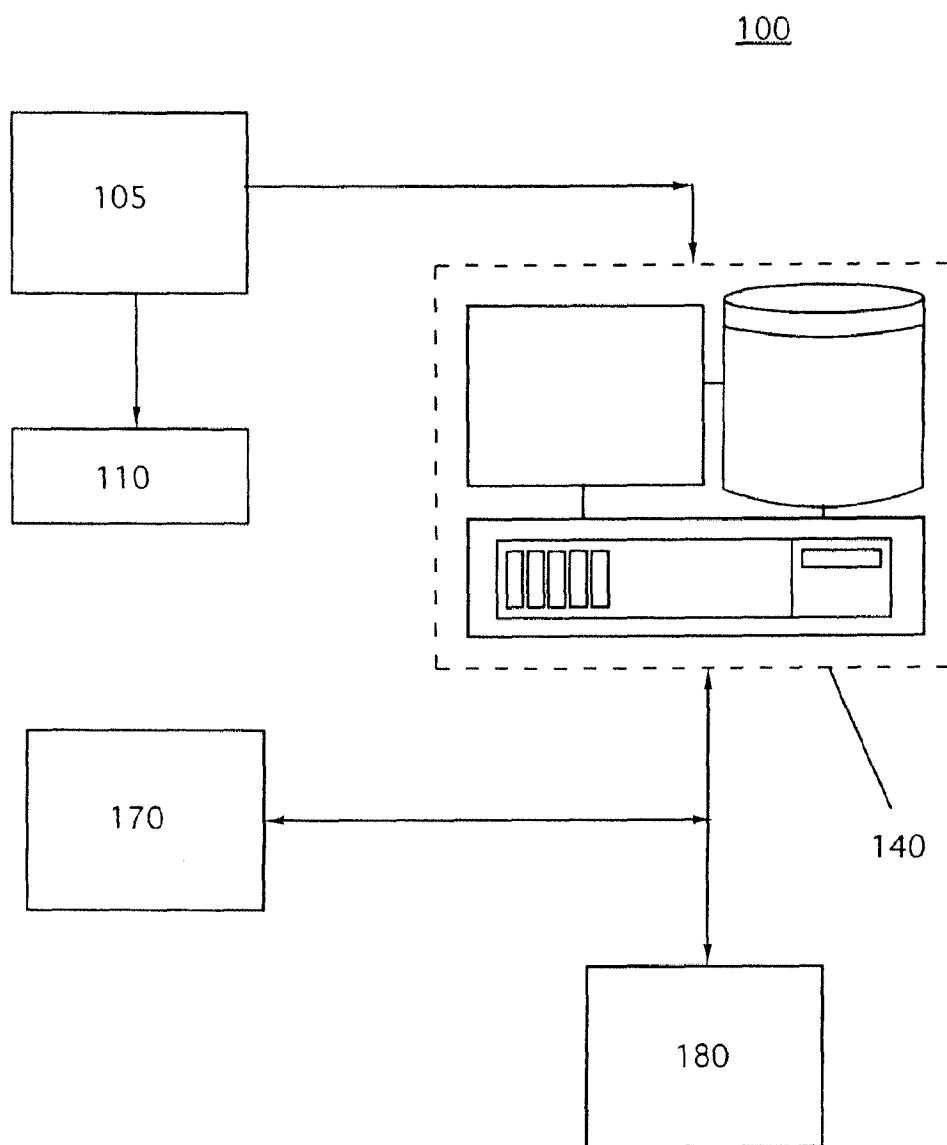


FIG.2

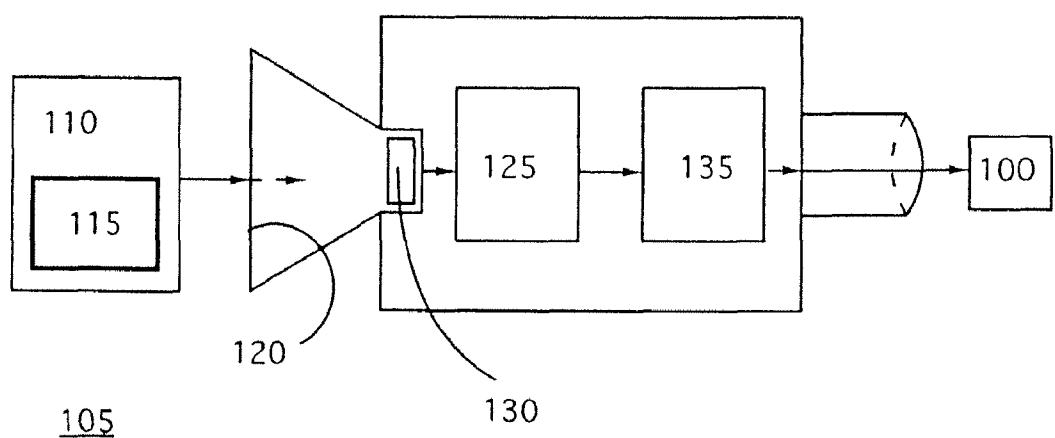


FIG.3

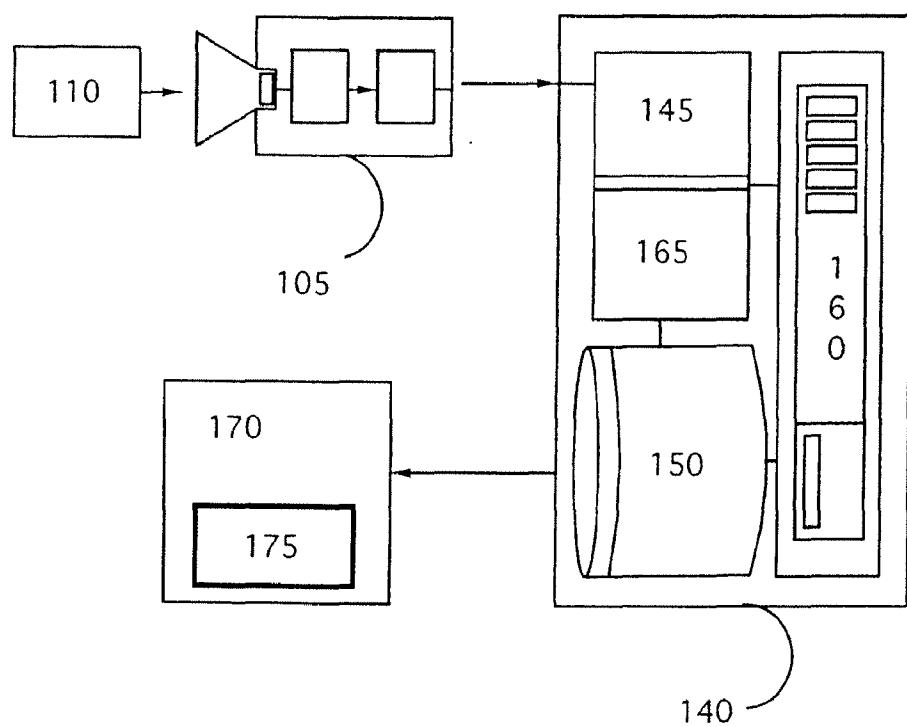


FIG.4

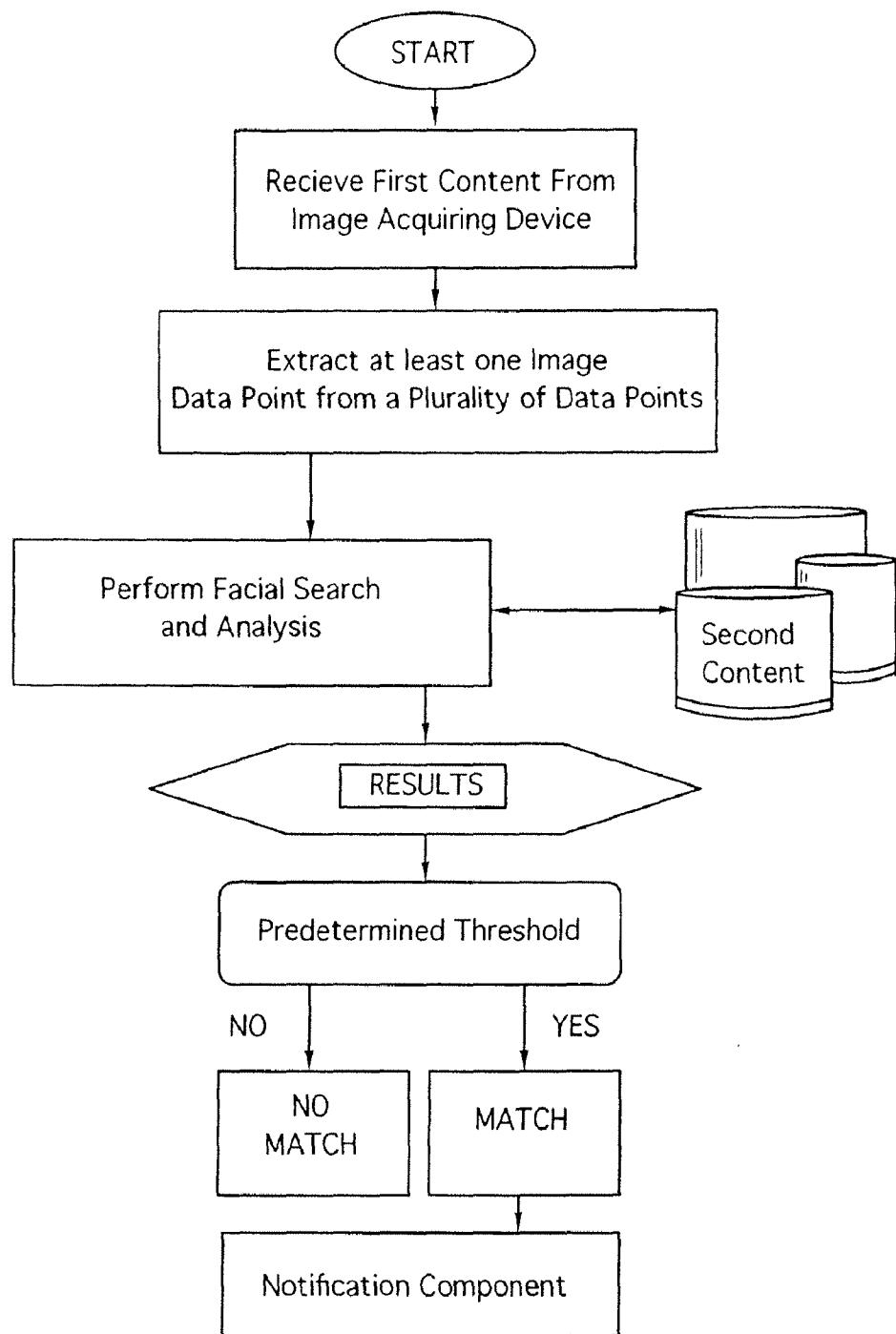


FIG.5

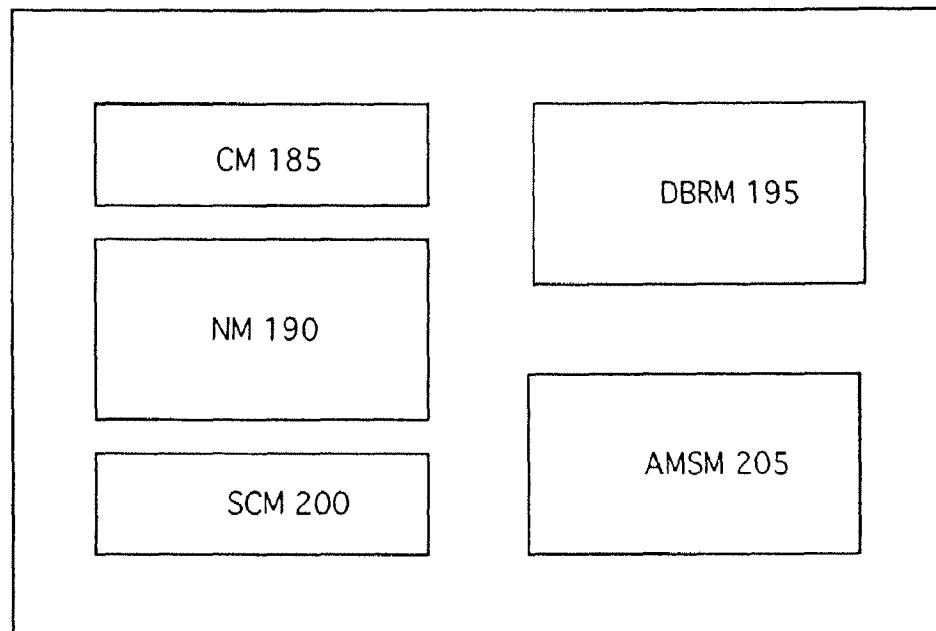
180

FIG.6

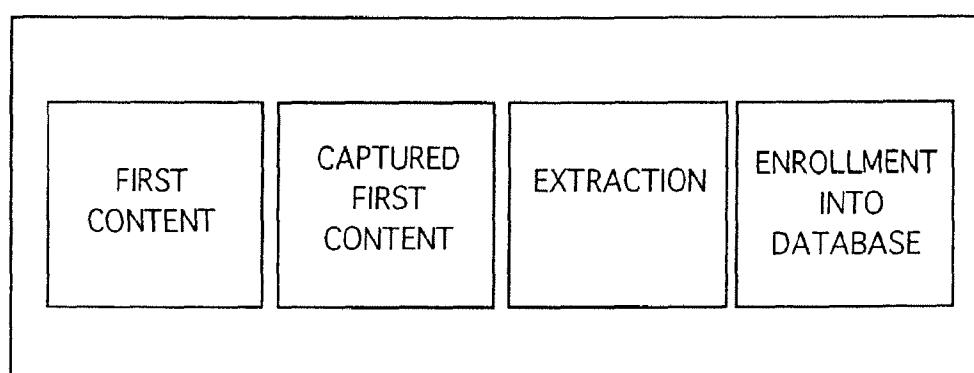


FIG.7

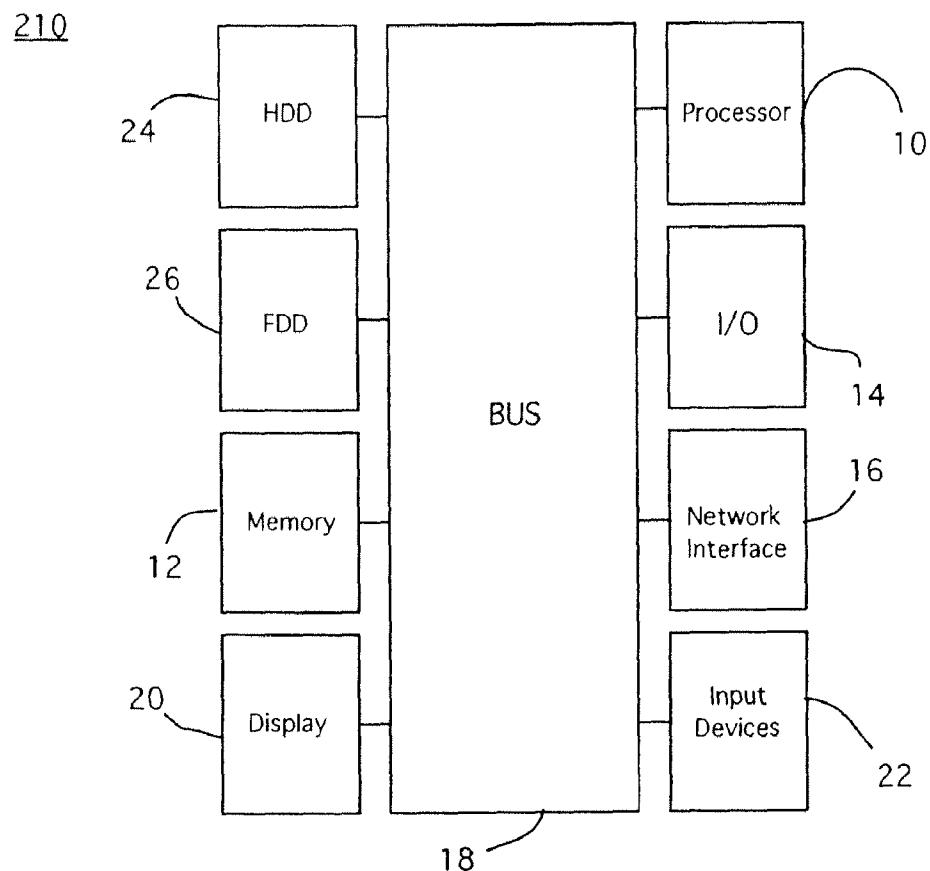


FIG.8

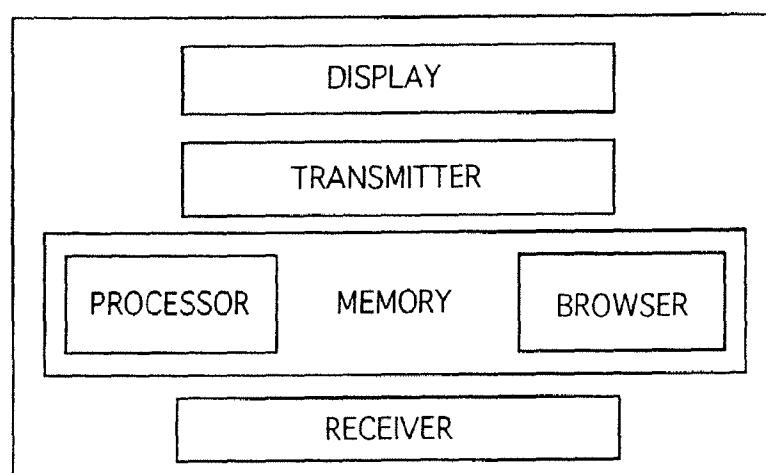
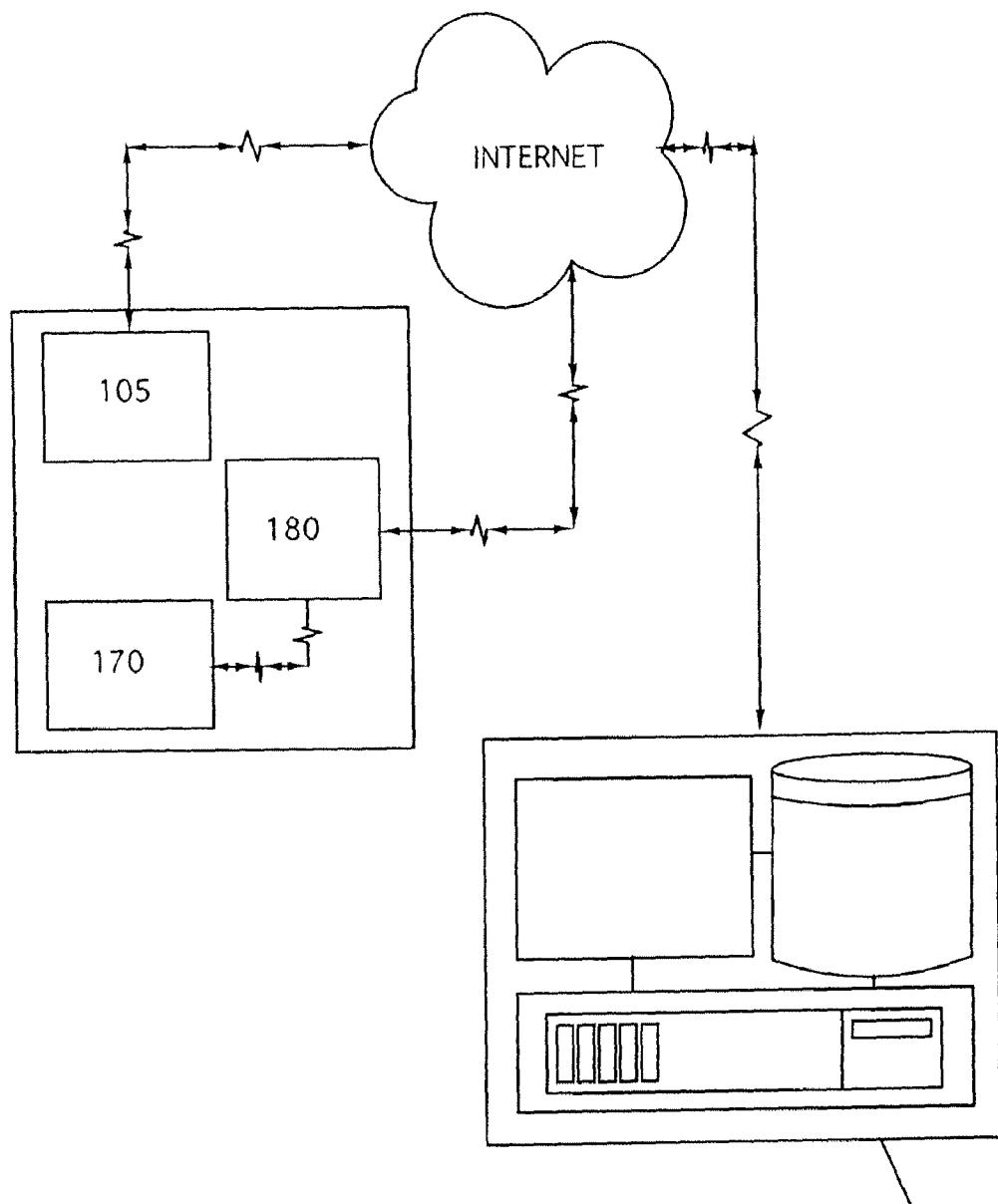


FIG.9

100

140

FIG.10  
100

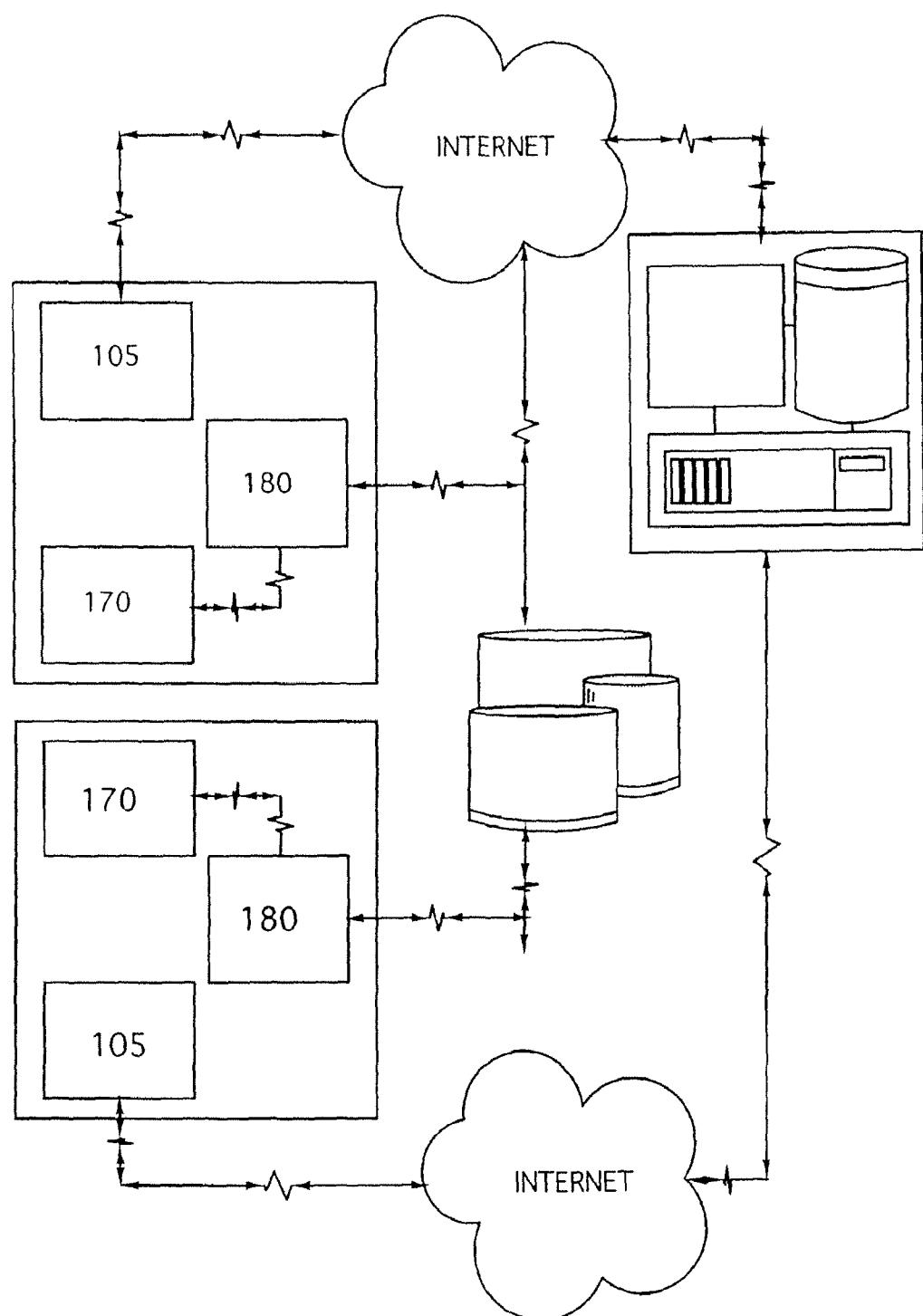
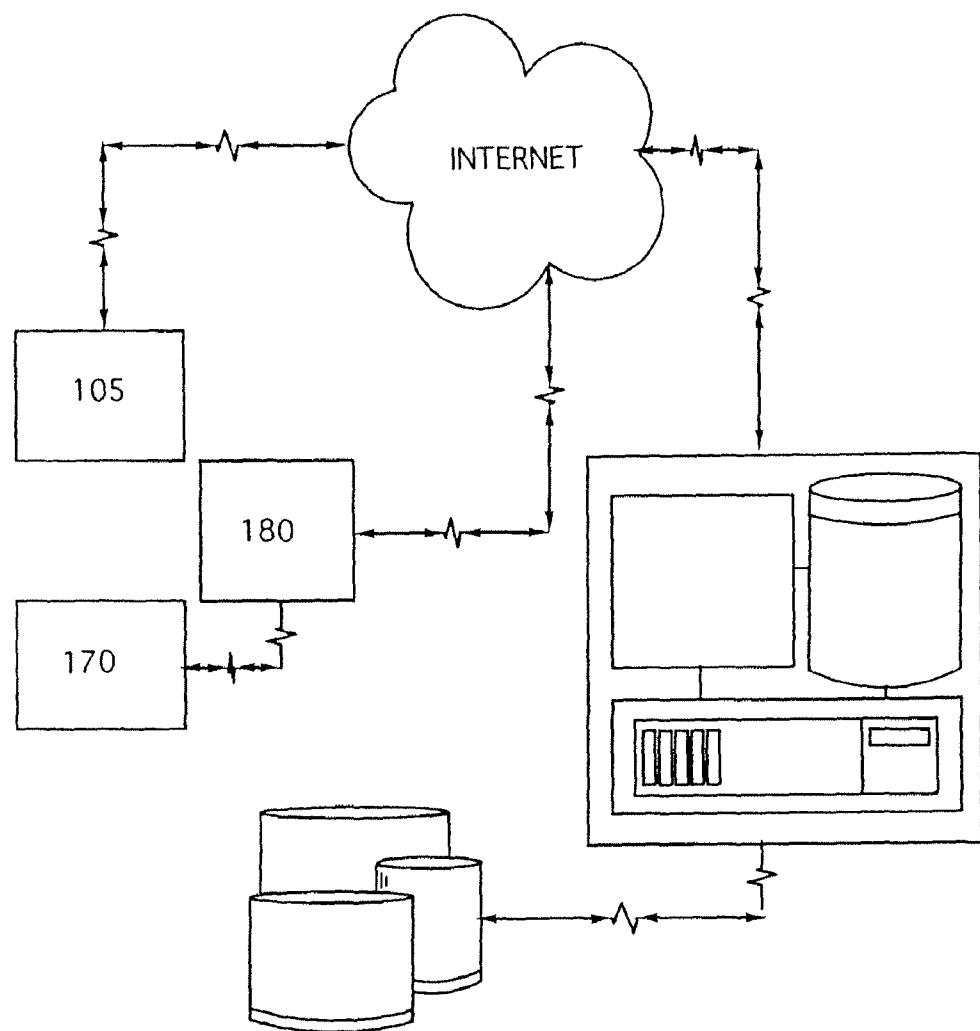


FIG.11

100

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US 09/51107

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC(8) - G06K 9/00 (2009.01)  
 USPC - 382/115

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
 IPC(8): G06K 9/00 (2009.01)  
 USPC: 382/115

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
 USPC: 382/115, 124 (text search)

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 WEST (USPT, PGPB, EPAB, JPAB, USOCR); Google; Search terms used: COMPARE MATCH RECOGNITION FEATURE BIOMETRIC TEMPLATE FACIAL FINGERPRINT SERVER DATABASE SMS TEXT NOTIFICATION OPERATOR USER CONFIRMATION VERIFICATION MOBILE CELLULAR SETTING PREFERENCE SECURITY MONITORING

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2003/0126121 A1 (Khan et al.) 03 July 2003 (03.07.2003), entire document, especially; para [0016]-[0024], [0044]-[0054]	1-13, 15-31, 33-49, 51-67 69-80
Y		----- 14, 32, 50, 68
Y	US 2007/0157018 A1 (Simon et al.) 05 July 2007 (05.07.2007), para [0026], [0038], [0039]	14, 32, 50, 68
A	US 2004/0031856 A1 (Alsmom et al.) 19 February 2004 (19.02.2004), para [0525]-[0550], [0811]-[0813]	1 - 80

Further documents are listed in the continuation of Box C.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
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