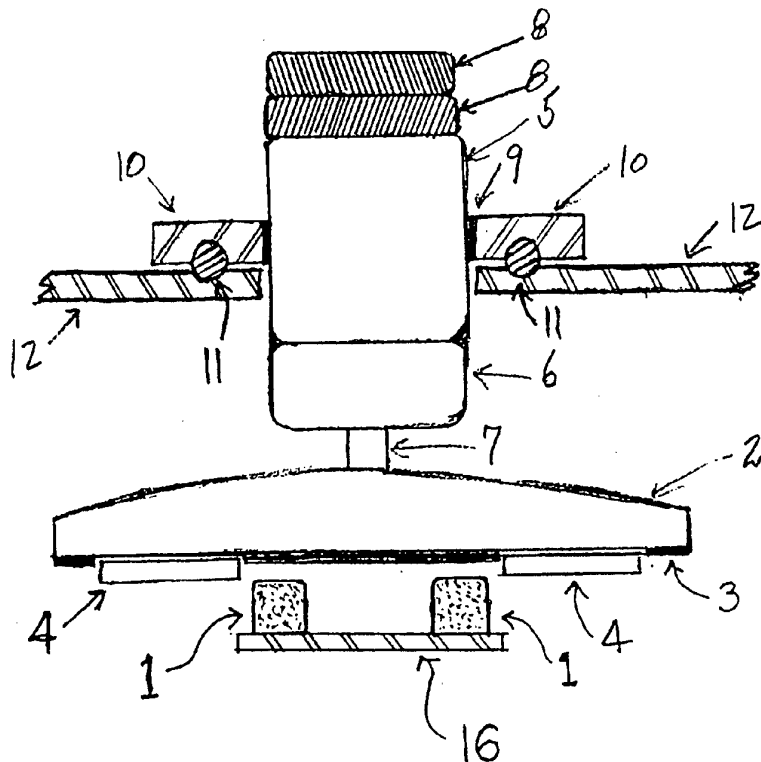




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US94/01574 (22) International Filing Date: 8 February 1994 (08.02.94) (30) Priority Data: 015,609 9 February 1993 (09.02.93) US (71) Applicant: RODEL, INC. [US/US]; 451 Bellevue Road, Newark, DE 19713 (US). (72) Inventor: JENSEN, Elmer, William; 325 South DuPont Highway, New Castle, DE 19720 (US). (74) Agent: BENSON, Kenneth, A.; P.O. Box 191, Chatham, PA 19318 (US).</p>		<p>(81) Designated States: JP, KR, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published With international search report.</p>

(54) Title: APPARATUS AND METHOD FOR POLISHING



(57) Abstract

An apparatus for polishing semiconductor wafers (4) in which the polishing pads (1) are linear, that is, the polishing pads have a long linear dimension relative to their width and have a uniform cross section along this linear dimension. In addition, the wafer holder (2) travels in a straight line parallel to the long linear dimension of the polishing pads (1).

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5,123,214. These machines all provide circular polishing pads to which polishing slurry is added as the silicon wafers are pressed against and passed over the pad surface. The wafers are held in carriers which hold one or more wafers. The carriers may rotate the wafers about a central carrier axis and may even provide an oscillatory motion to the wafers as they pass over the polishing pad. One disadvantage of this type of polishing machine is that the pieces to be polished repeatedly traverse the same path or series of tracks. As a result the polishing pad surface wears unevenly resulting in a non-level, concave pad surface. This dishing of the pad produces a convex work piece which is unacceptable. A semiconductor wafer has to be ultra-flat, have a precise thickness and have precise parallel surfaces. Another disadvantage of rotary polishing pads is that the speed of the pad relative to the wafer to be polished varies from the center to the

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circumference of the pad. Thus the surface contact rate and the polishing rate varies from the center to the periphery of the pad. U. S. Patent No. 5,020,283 shows a means to
5 make the polishing rate more uniform by providing a circular polishing pad with voids which are more numerous at the periphery of the pad. This is a very complex way to try to make the polishing rate more uniform over
10 the surface of the pad. A further disadvantage is that polishing slurry will not spread in an even manner over a circular pad surface no matter where on the surface it is introduced. Thus, polishing action will
15 vary from place to place on the pad surface not only due to the variation in the speed of the pad relative to the wafer, but also due to the uneven distribution of slurry on the pad. Such differences in polishing action
20 are minimized by the use of linear pads and the straight-line traverse of the wafer carrier. Other disadvantages are apparent

when the entire surface of a wafer to be polished is simultaneously in contact with the polishing pad. Polishing slurry trapped between the wafer and the polishing pad
5 causes the wafer to skate, sometimes unevenly, over the surface of the pad as it pushes slurry out from between the wafer and the pad. This skating action can cause uneven wear on the wafer even when it may be
10 rotating relative to the pad. Temperature uniformity is also difficult to control over a pad with a large surface area. Elaborate methods to control temperature uniformity are sometimes used, such as the technique shown
15 in U.S. Patent No. 5,113,622.

Disclosure of Invention

It is the object of the present invention to provide a machine for polishing semiconductor wafers which is flexible in
20 operation and will perform the polishing of semiconductor wafers with greater efficiency and preciseness than heretofore possible. It

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is a further object of the invention to provide polishing pads and equipment for polishing semiconductor wafers which is more cost effective.

5 In order to achieve these objectives polishing equipment is provided comprising linear polishing pads used with a workpiece carrier which travels in a straight line parallel to the long linear dimension of the
10 polishing pads. By passing the wafer to be polished over a relatively narrow polishing pad one is accomplishing the leveling of the plane of the semiconductor wafer by a surface which approaches a line. Indeed, if the
15 polishing pad has a curved surface opposing the surface of the wafer to be polished, the leveling action is that of a line across the surface of the wafer. This inherently gives a precise leveling of the surface. Also, if
20 the workpiece carrier is moving parallel to the pad, a different surface of the pad is exposed for each sweep of a wafer over each

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polishing pad. Thus there is no chance for the pad surface to dish or wear unevenly due to continual passage of wafers over the same pad surface. If the linear polishing pads
5 have a circular cross-section and are rotated as well, they will provide a fresh surface for contact with the wafers at all times. Such linear polishing pads make it easy to add slurry to the polishing operation and
10 have the slurry perform its chemical and physical role in the polishing operation quickly. In so doing, reactive monomers and other detrimental elements formed in the active slurry are easily flushed away before
15 further reaction with the surface of the semiconductor wafer occurs. Also it is readily seen that the temperature of linear polishing pads is easy to control by passing a liquid temperature control medium through
20 them or by any other temperature control system used in the art. The uniform cross-section of the pads and the uniform action of

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the semiconductor wafer in relation to the pad make it easy to maintain a constant temperature profile where the polishing action is being accomplished.

5 The accomplishment of these objectives and advantages will become apparent from the following description of the drawings and the discussion of the preferred embodiments of the invention.

10 Brief Description of Drawings

FIG. 1 shows a polishing apparatus with a single carrier designed to polish one surface of the wafers being held in the carrier and which traverses linear polishing pads.

15 FIG. 2 shows a polishing apparatus with a single carrier designed to polish both surfaces of the wafers being held in the carrier and which traverses linear polishing pads positioned on both side of the wafer carrier.

20 FIG. 3 shows a top view of the

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apparatus shown in FIG. 2 without the upper polishing pads and weights in place.

FIGS. 4, 5, 6, 7, 8 and 9 show several alternate forms for the shape of the polishing pads shown in FIGS. 1, 2 and 3.

Modes for Carrying Out the Invention

Figure 1 shows the cross-section of a common type of holder or head 2 on which semiconductor wafers 4 to be polished are held by vacuum or some other form of adhesion to indentations on the under surface 3 of the holder or head 2. The holder 2 can be rotated by spindle 7 which is actuated by gearbox 6 and motor 5. The motor 5 is held in a fixed horizontal position by collar 9 attached to motor mounts 10. The motor mounts 10 rest on stabilizer rails 11 which are held in a horizontal position by stabilizer support members 12. These support members may be actuated in such a way that the entire wafer holder assembly will press against the polishing pads with a force

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determined by the loading on the support members 12 and by the removeable dead weights 8 positioned on the motor 5. The loading on the support members and the wafer holder assembly may be accomplished by springs, weights, hydraulic mechanisms, magnetic induction or any other suitable means for applying a steady force. The polishing pads 1 are shown supported by table 16. The pads may be supported in other ways, for example, they may be supported at their ends in such a way that they may be rotated or otherwise moved in some way as the workpieces 4 travel down their length. The workpiece holder 2 may rotate or oscillate as the entire assembly moves slowly along the stabilizer rails 11. By such motion each wafer or work piece 4 does not traverse the linear polishing pad or finishing rail 1 on the same pattern more than once and each portion of the upper surface of the finishing rail 11 receives equal wear. The holder 2 may hold

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as many work pieces 4 as desired. Individual work pieces 4 may be made to rotate, oscillate, revolve or vibrate. The work pieces do not have to be wafers. They can be of any size, shape and of any material. The drive mechanism for moving the assembly down the stabilizer rails 11 is not shown. It may be any suitable drive such as a gear, screw or belt drive and may have variably adjustable speed.

FIG. 2 shows the cross-section of a similar machine in which wafers 4 are held in a holder 13 in such a way that both sides of each wafer may be polished at the same time. In this case there are top finishing rails 14 as well as bottom finishing rails 1. The top finishing rails 14 may be weighted with removeable dead weights 15 which can be adjusted to give the desired polishing action. Again, the holder 13 can be rotated, oscillated or vibrated as it moves slowly down the stabilizer rails 11.

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FIG. 3, a top plan view of the carrier 13 and bottom linear polishing pads 1 shown in FIG. 2, shows how the linear polishing pads 1 are divided into sections so that the type of pad, the shape of pad and the action of the pad may be easily changed as the carrier assembly moves linearly down the machine. One can readily see that more than one carrier can be travelling down the machine at the same time and that portions of the machine can be set up for any desirable activity such as abrasion of the workpiece, cleaning the surface of the workpiece as well as polishing the workpiece. Slurry for polishing may be introduced to the linear polishing pad surface at any desired points.

The linear polishing pads shown in FIGS. 1, 2 and 3 can be made in any number of cross-sectional shapes. FIG. 4 shows a hemispherical cross section. In this case the tangential meeting of the workpiece surface and the linear polishing pad provides

a narrow linear working surface which should maximize workpiece flatness. These linear polishing pads may be rotated or oscillated to expose a different surface to the workpiece at different times. In FIG. 5 the linear pads are shown split into pairs. They may, of course, be split into any number of linear units. In FIG. 6 the curved surface working surface is much shallower than the working surface shown for the FIG. 4 hemispherical pads. The pads could even be circular, as shown in FIG. 7 and FIG. 9. In circular form they could be made to rotate continuously or intermittently in either direction. As shown in FIG. 9 the pads on each side of the machine could rotate in opposite directions. Again, the polishing pad surface can be curved or flat and may even have a textured surface as shown on the ones in FIG. 8.

It is obvious from the preceding discussions that the entire polishing machine

need not be linear. Movement of the carrier from section to section of the machine may be through an angle or an arc without detrimental effect on the performance of the linear pads.

The preceding embodiments show the great versatility of a linear polishing machine. The linear polishing pads may have a narrow line contact with the workpiece or may have a broader contact with the workpiece if the upper surface of the polishing pad is flat and relatively wide. Also, with the movement of the workpiece along a series of straight-line paths, time and space is provided for different operations to be performed on the workpiece. As well as variable degrees of polishing, these may be cleaning, inspecting, measuring or even encasing the polishing operation in a chamber holding an inert atmosphere. The foregoing preferred embodiments are considered illustrative only. Other modifications will readily occur to

those persons skilled in the pertinent art.
Consequently, the disclosed invention is not
limited to the exact construction shown and
described but is defined by the claims
5 appended hereto.

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Claims

1. An apparatus for polishing a flat surface comprising: a. one or more linear polishing pads each of which has a uniform cross-section and a relatively long linear dimension; and b. a carrier which holds at least one workpiece to be polished by said linear polishing pads and which travels in a straight line parallel to said long linear dimension of said linear polishing pads.

2. An apparatus according to claim 1, wherein said workpiece is a semiconductor wafer.

3. An apparatus according to claim 1, wherein said carrier rotates about an axis perpendicular to said straight line of travel of said carrier.

4. An apparatus according to claim 3, wherein said workpiece is a semiconductor wafer.

5. An apparatus according to claim 1, further comprising: c. means for holding said

workpiece against said linear polishing pads with a steady pressure.

6. An apparatus according to claim 5, wherein said workpiece is a semiconductor wafer.

7. An apparatus according to claim 5, wherein the pressure holding said workpiece against said linear polishing pads is adjustable.

8. An apparatus according to claim 7, wherein said workpiece is a semiconductor wafer.

9. An apparatus according to claim 1, wherein the cross-section of said linear polishing pad is rectangular.

10. An apparatus according to claim 9, wherein said workpiece is a semiconductor wafer.

11. An apparatus according to claim 1, wherein the surface of said linear polishing pad in contact with said workpiece is curved.

12. An apparatus according to claim 11,

wherein said workpiece is a semiconductor wafer.

13. An apparatus according to claim 11, wherein said linear polishing pad is moved
5 about its center of curvature to continuously expose fresh pad surface to said workpiece.

14. An apparatus according to claim 13, wherein said workpiece is a semiconductor wafer.

10 15. An apparatus according to claim 1, wherein polishing composition is applied to said linear polishing pads.

16. An apparatus according to claim 15, wherein said workpiece is a semiconductor
15 wafer.

17. An apparatus according to claim 15, wherein said polishing composition is a slurry.

18. An apparatus according to claim 17,
20 wherein said workpiece is a semiconductor wafer.

19. A method for polishing a flat

surface of a workpiece comprising passing
said flat surface of said workpiece over a
linear polishing pad while maintaining the
long linear dimension of said linear
5 polishing pad parallel to the plane of said
flat surface of said workpiece.

20. A method according to claim 19,
wherein said workpiece is a semiconductor
wafer.

10 21. A workpiece polished by passing a
flat surface of said workpiece over a linear
polishing pad while maintaining the long
linear dimension of said linear polishing pad
parallel to the plane of said flat surface of
15 said workpiece.

22. A workpiece according to claim 21
wherein said workpiece is a semiconductor
wafer.

FIGURE 1

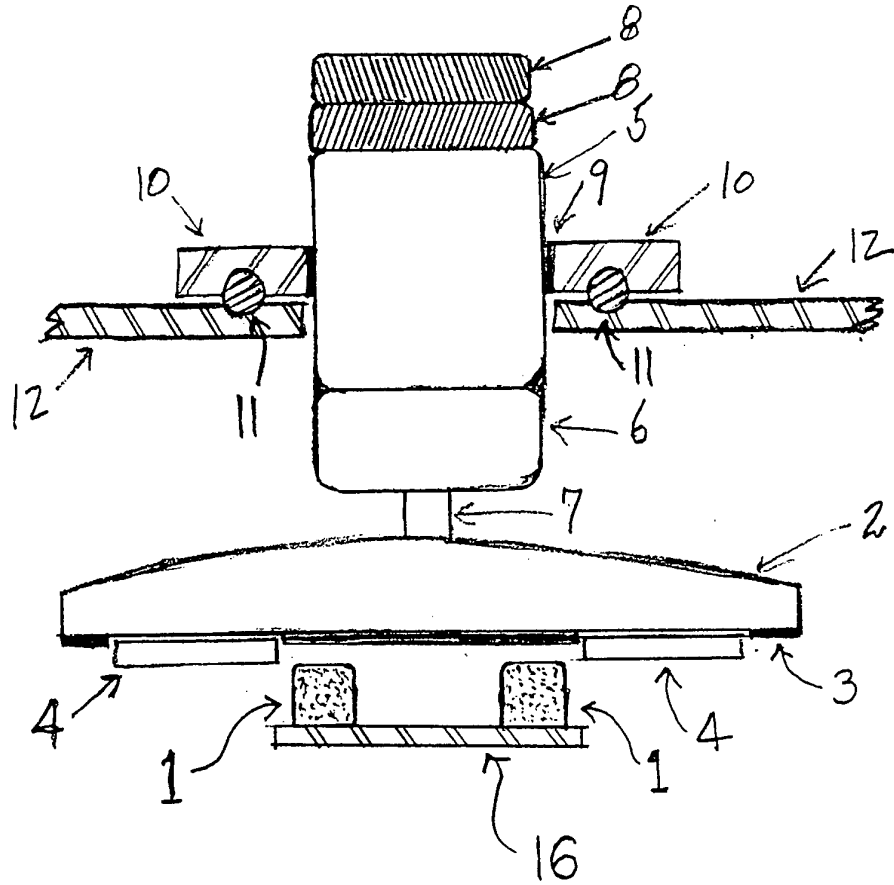
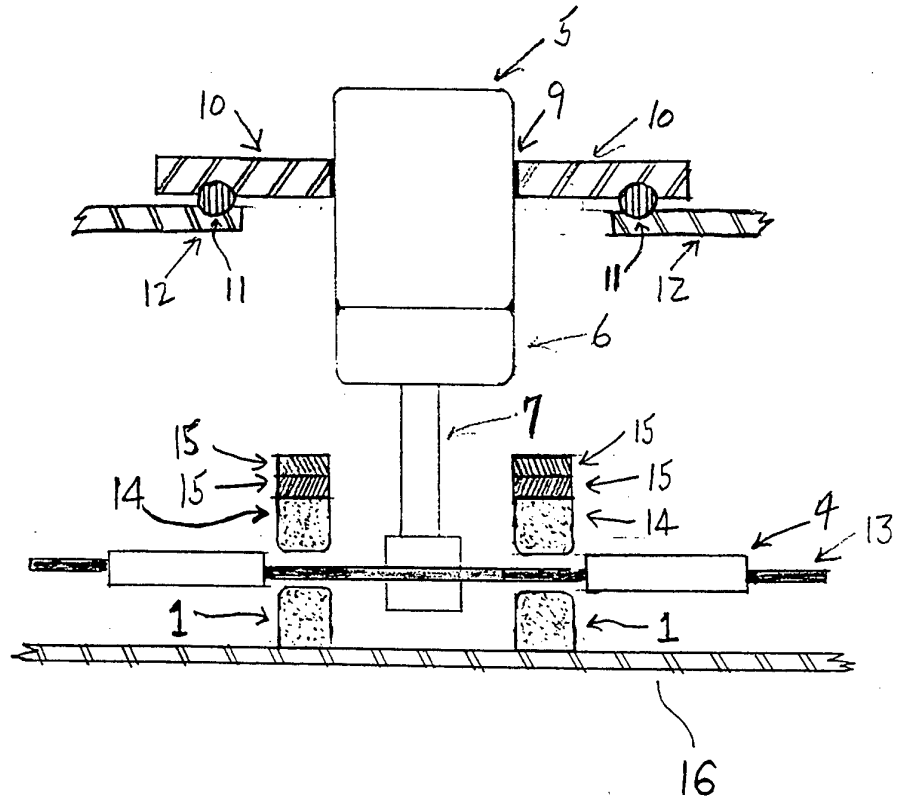


FIGURE 2 2/4



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FIGURE 3.

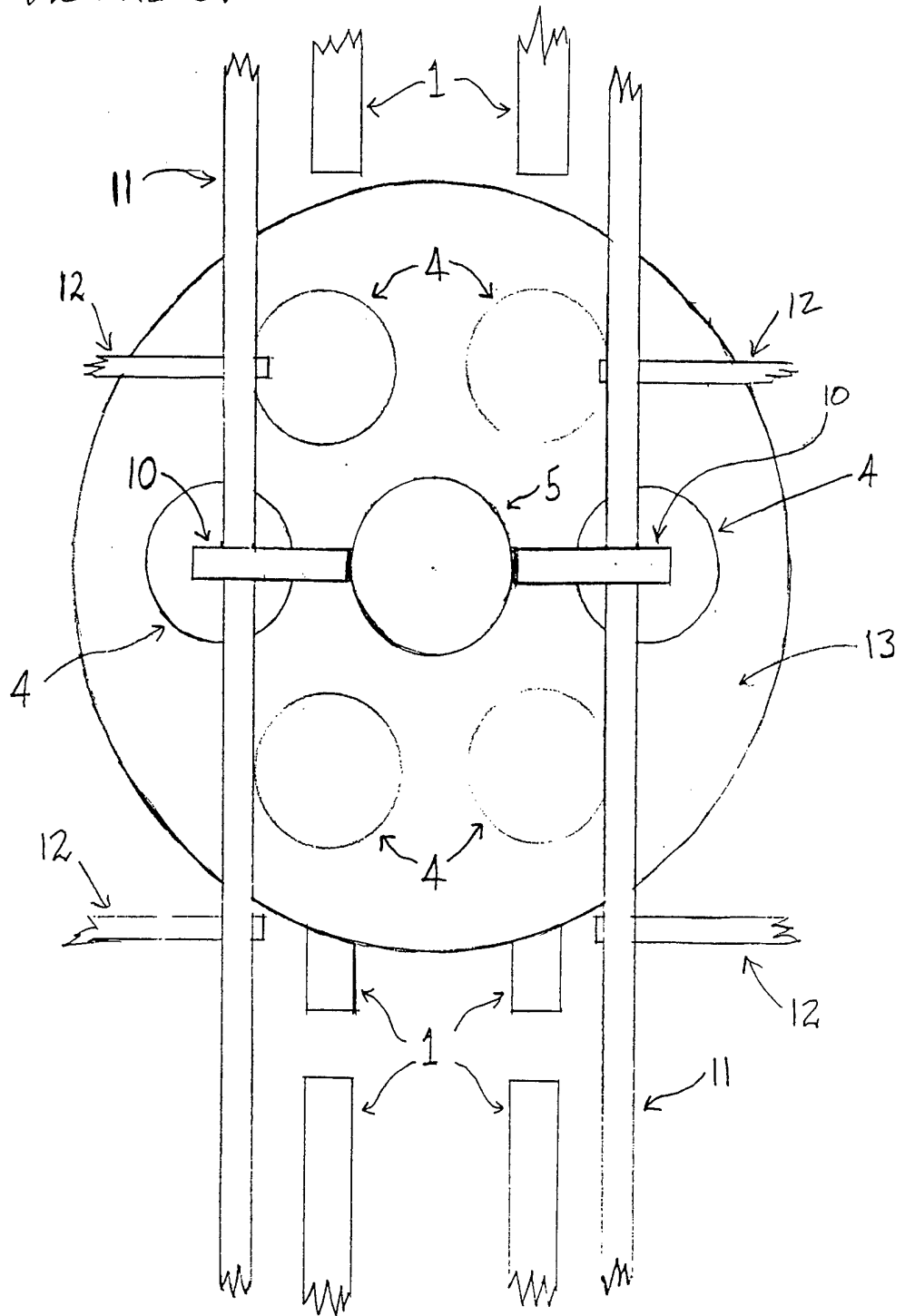


FIG. 4



FIG. 5



FIG. 6



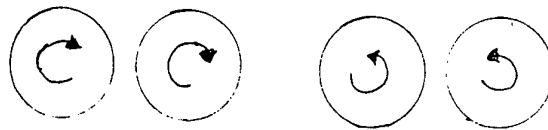
FIG. 7



FIG. 8



FIG. 9



INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/01574

A. CLASSIFICATION OF SUBJECT MATTER
 IPC(5) :B24B 7/22
 US CL :51/157,211R,236,283E,317
 According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED
 Minimum documentation searched (classification system followed by classification symbols)
 U.S. : 51/150,151,154,156,161,232,235,237R,263

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C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X,P	US, A, 5,230,189 (SOURLIS) 27 JULY 1993 SEE ENTIRE DOCUMENT	1-10,15-18,19-22
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