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(71) Applicant: **BOSTON SCIENTIFIC SCIMED, INC.**  
[US/US]; One Scimed Place, Maple Grove, Minnesota  
55311-1566 (US).

(72) Inventors: **EIDENSCHINK, Tracee**; 2232 Pinto Drive,  
Wayzata, Minnesota 55391 (US). **WEBER, Jan**; 18112  
89th Place North, Maple Grove, Minnesota 55311 (US).

(74) Agent: **URZEDOWSKI, James, M.**; Vidas, Arrett &  
Steinkraus, 6109 Blue Circle Drive, Suite 2000, Min-  
netonka, Minnesota 55343-9185 (US).

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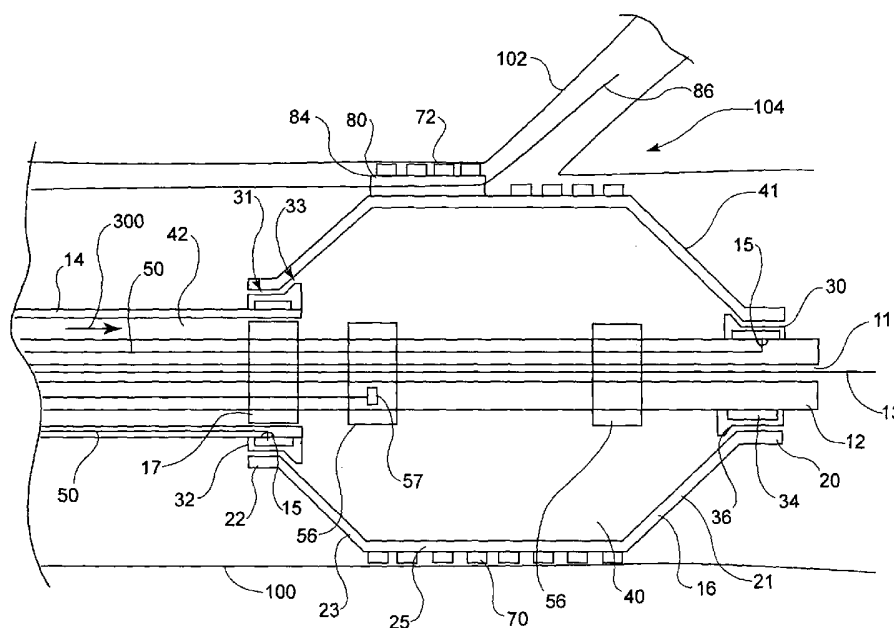
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(54) Title: ROTATABLE CATHETER ASSEMBLY



(57) Abstract: A catheter assembly comprises a catheter shaft, a balloon and a pair of collars engaged to the catheter shaft. Each collar has a body portion and at least one lip portion extending radially outward from the body portion. Each collar has a nonacti-  
vated state and an activated state, wherein in the nonactivated state the balloon is rotatable about the collars and each lip portion is  
constructed and arranged to abut a portion of the balloon to prevent the balloon from moving longitudinally relative thereto. In the  
activated state the body portion of each collar is sealingly engaged to at least a portion of the balloon.

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## TITLE

Rotatable Catheter Assembly

## CROSS-REFERENCE TO RELATED APPLICATIONS

- 5                    This Application is a Continuation-in-part application of U.S. Patent Application No. 10/785449, entitled Rotatable Catheter Assembly, and which was filed February 24, 2004, the entire contents of which is incorporated herein by reference.

## STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH

- 10                   Not Applicable

## BACKGROUND OF THE INVENTION

Description of the Related Art

- 15                   Stent delivery systems for deployment of one or more stent bodies at or around a vessel bifurcation have been proposed. Often such stents generally have an opening which allows for unimpeded blood flow into one or more side branch arteries, and/or through which an additional stent body may be deployed. However, problems are still encountered in orienting a stent relative to the side branch at the bifurcation of the primary and secondary passages. Moreover, such bifurcated assemblies are typically specially manufactured at an increased cost over a more standard stent intended for single  
20                   vessel deployment.

- In delivering a stent to a vessel location, many current devices rely on either passive torque (e.g., pushing the stent forward and allowing the stent that is fixed on the guidewire/balloon to passively rotate itself into place) or creating torque from  
25                   outside of the patient to properly orient the medical device in the passage. Such catheter assemblies include those described in U.S. 5,749,825; U.S.6,599,315 and U.S.6,290,673 the entire content of each of which being incorporated herein by reference.

- Unfortunately such devices still often require a significant portion of the catheter assembly in addition to the balloon to be subjected to torque in order to align the  
30                   stent with the side branch opening of the bifurcation. Subjecting the catheter as well as a

vessel to such extraneous torque may be considered undesirable.

Thus, a need exists to provide a catheter which is capable of allowing a medical device such as a stent to be easily maneuvered and aligned at a vessel bifurcation or other location without the need to torque or rotate the entire catheter shaft in order to align the stent at a vessel bifurcation. Various devices and methods described herein address this need by providing a catheter system with a rotatable balloon about which a stent may be mounted on or engaged to. The rotatable balloon is independently rotatable relative to the inner and/or outer catheter shafts thereby eliminating the need to apply torque to the catheter shaft to align the stent at a vessel bifurcation.

All US patents and applications and all other published documents mentioned anywhere in this application are incorporated herein by reference in their entirety.

Without limiting the scope of the invention a brief summary of some of the claimed embodiments of the invention is set forth below. Additional details of the summarized embodiments of the invention and/or additional embodiments of the invention may be found in the Detailed Description of the Invention below.

A brief abstract of the technical disclosure in the specification is provided as well only for the purposes of complying with 37 C.F.R. 1.72. The abstract is not intended to be used for interpreting the scope of the claims.

## BRIEF SUMMARY OF THE INVENTION

In at least one embodiment, the present invention is directed to catheter systems wherein the catheter comprises a balloon which is independently rotatable about the catheter shaft or shafts. In some embodiments, a catheter system employs electro-active polymer (EAP) materials in the form of a collar or balloon waist to provide the balloon with the ability to be selectively rotated about the catheter shaft or shaft. Systems employing such EAP collars are featured in U.S. Patent Application No. 10/785449, entitled Rotatable Catheter Assembly, and filed February 24, 2004, of which the entire contents are incorporated herein by reference.

As described in the aforementioned U.S. Application collars are at least partially constructed of an electro-active polymer (EAP) which expands to a predetermined extent upon exposure to an electric current. In some embodiments the collars are exposed to the electric current by a conductive element. A second conductive element may be provided by exposing the fluid that inflates the balloon, which is typically saline and/or a radiopaque solution) to a similar electrical current via a conductive element within the balloon. In some embodiments the EAP material of the collar and/or the collar itself will expand about 0.5 % to about 20% expansion in a predetermined manner and/or direction when subjected to an electric current of 0.001 microAmps to 1 milliAmps (-2 to +2 V). In at least one embodiment a collar is constructed of one or more conductive elements such as gold, silver, platinum, etc., which is at least partially surrounded by a layer of EAP material.

In embodiments where the collars are fixed to the catheter shaft, prior to exposure to the electric current the collars define an outside diameter which is sufficiently less than the inner diameter of the balloon waists which are respectively disposed there about so as to allow the waists, and thus the balloon body extending there between, to freely rotate about the collars. When the collars are exposed to the electric current through one or more conductive members within and/or adjacent to the catheter shaft the collars will expand and thus effectively push against the respective balloon waists, effectively sealing the interior of the balloon which may then be expanded.

In order to get an electric current to a collar, in some embodiments a conductive wire or member of gold, gold plated SS, Nitinol, silver coated SS, Elgiloy, etc. extends from a current source to a collar through or adjacent to the catheter shaft. In some embodiments the conductive member is in the form of an insulated wire or other member which engages the collar via an exposed end which extends through an opening in the catheter shaft. Such a member may be co-extruded with one or more catheter shafts and/or balloon. A proximal end of the wire is engaged to a current source which may be activated to transmit the current through the wire to the collar when desired. In at least one embodiment a conductive member is at least partially contained within one or more lumens defined by the catheter.

In some embodiments a collar is bonded, welded, adhesively engaged,

mechanically engaged or otherwise engaged to a portion of the catheters shaft underlying a waist of the balloon which is rotatable thereabout. In some embodiments, where the collar is fixed to a balloon waist, the waist may be reinforced with one or more layers of transition material positioned between the collar and the balloon waist in order to facilitate

5 engagement there between. In some embodiments the waist may likewise be reinforced. In some embodiments a transition material includes but is not limited to: Plexar, Selar, EMS Hytrel, and other similar materials. In at least one embodiment the collar is integral with the catheter shaft. In at least one embodiment a collar comprises only EAP material.

In some embodiments the catheter comprises one or more support members  
10 or rings which support the region of the catheter shaft(s) about which the collars are mounted. A support ring may be constructed of one or more materials including but not limited to: Polyamide, Nylon, Pebax, Acetyl, PTFE, HDPE, PI, PET, Christamid, Vestimid, metal reinforced polymers, braided reinforced polymers, Stainless steel, Nitinol, etc.

In some embodiments the catheter is disposed about a primary guidewire.  
15 In at least one embodiment the catheter is a fixed wire catheter. In some embodiments a secondary guidewire housing through which a side branch or secondary guidewire is positioned. In some embodiments the secondary guidewire housing is engaged to the balloon. In at least one embodiment the secondary guidewire housing is positioned at least partially under the stent prior to delivery.

20 In some embodiments the secondary guidewire extends into a side branch of a bifurcation through a secondary opening of the stent. By advancing the catheter along the secondary guidewire as the catheter is advanced through the main vessel to the bifurcation rotation will be imparted to the balloon to orient the secondary opening of the stent and/or the secondary guidewire housing with the side branch of the vessel bifurcation. When  
25 properly oriented the collars are subjected to an electric current thereby imparting the balloon with a fluid seal sufficient to allow inflation of the balloon. .

These and other embodiments which characterize the invention are pointed out with particularity in the claims annexed hereto and forming a part hereof. However, for a better understanding of the invention, its advantages and objectives obtained by its use,  
30 reference should be made to the drawings which form a further part hereof and the

accompanying descriptive matter, in which there is illustrated and described a embodiments of the invention.

#### BRIEF DESCRIPTION OF THE SEVERAL VIEWS OF THE DRAWING(S)

A detailed description of the invention is hereafter described with specific  
5 reference being made to the drawings.

FIG. 1 is a perspective view of an embodiment of the invention comprising a catheter assembly having a rotatable balloon.

FIG. 2 is a longitudinal cross-sectional view of the embodiment shown in FIG. 1 being advanced to a vessel bifurcation and prior to balloon expansion.

10 FIG. 3 is a longitudinal cross-sectional view of the embodiment shown in FIG. 2 shown during expansion of the balloon.

FIG. 4 is a block diagram illustrating the conductive relationship of the catheter assembly shown in FIG. 1 with a source of electric current.

15 FIG. 5 is a longitudinal cross-sectional view of the embodiment shown in FIG. 2 wherein the catheter assembly is provided with an alternative collar configuration.

FIG. 6 is a longitudinal cross-sectional view of the embodiment shown in FIG. 5 shown during expansion of the balloon.

FIG. 7 is a longitudinal cross-sectional view of the embodiment shown in FIG. 2 wherein the catheter assembly is provided with an alternative collar configuration.

20 FIG. 8 is a longitudinal cross-sectional view of the embodiment shown in FIG. 7 shown during expansion of the balloon.

FIG. 9 is a longitudinal cross-sectional view of the embodiment shown in FIG. 2 wherein the catheter assembly is provided with an alternative collar configuration.

25 FIG. 10 is a longitudinal cross-sectional view of the embodiment shown in FIG. 9 shown during expansion of the balloon.

#### DETAILED DESCRIPTION OF THE INVENTION

While this invention may be embodied in many different forms, there are described in detail herein specific embodiments of the invention. This description is an

exemplification of the principles of the invention and is not intended to limit the invention to the particular embodiments illustrated.

For the purposes of this disclosure, like reference numerals in the figures shall refer to like features unless otherwise indicated.

5 Referring now to the drawings which are for the purposes of illustrating embodiments of the invention only and not for purposes of limiting same, in at least one embodiment of the invention, an example of which is shown in FIG. 1, a catheter assembly 10 comprises an inner catheter shaft 12, an outer catheter shaft 14 and a rotatable balloon 16 rotatably engaged to one or both shafts 12 and 14.

10 Balloon 16 may be a typical angioplasty, stent delivery balloon or other inflatable member which may be used or incorporated into a catheter assembly. Typically the wall thickness of the waists 20 and 22 of the balloon 16 will be thicker than the thickness of the balloon body which extends there between. In some cases the thickness of one or both waists is about twice that of the balloon body but may be about 10 times more resistant to radial  
15 pressures.

In order to allow the balloon 16 to rotate freely relative to the shaft or shafts 12 and 14, each waist 20 and 22 of the balloon 16 is disposed about a collar 30 and 32 respectively. Collars 30 and 32 are at least partially constructed of EAP material such including of Poly-pyrrole (PPY), Poly-Aniline (PAni), Poly-Thiophene (PTH), Poly-Paraphenylene Vinylene  
20 (PPV), Nafion, or any other ionic electro-active polymer that is considered to have low voltage, low speed, high stress (up to 500 MPa), characteristics. EAP materials have the unique characteristic of expanding in size when exposed to an electric current of predetermined current or voltage. For example, in some embodiments the EAP material of the collar and/or the collar itself will expand about 0.5 % to about 20% when exposed to an  
25 electric current of 0.001 microAmps to 1 milliAmps (-2 to +2 V).

Another material which the collars 30 and 32 can be at least partially constructed from is commonly referred to as "bucky paper". Bucky paper is a carbon nano-tube structure which like EAP material is capable of expanding in size when exposed to a predetermined electric current or voltage. Bucky paper however is capable of an expansion  
30 of up to about 300%.

EAP materials and some of their notable characteristics are described in an article entitled *Electro-Active Polymer Actuators for Planetary Applications* by Y. Bar-Cohen et al. and published in Paper No. 3669-05 of the Proceedings of SPIE Annual International Symposium on Smart Structures and Materials, March 1999, Newport Beach, CA. SPIE Copyright 1999, the entire contents of which being incorporated herein by  
5 reference.

Bucky paper and its characteristics are described in an article entitled *Pneumatic Actuator Response from Carbon Nanotube Sheets* by Geoffrey M. Spinks et al. and published in Vol. 706 of the Material Research Society Symposium Procedure of the  
10 Material Research Society 2002 (Z9.22.1-Z9.22.6), the entire contents of which being incorporated herein by reference.

As a result of EAP materials, as well as materials such as bucky paper, herein after collectively referred to as electro-active materials, unique expansion characteristics a collar comprising electro-active materials, such as collars 30 and 32, may  
15 be formed to have a non-activated shape and an activated shape that is different or larger than the non-activated shape.

Non-activated refers to the condition of the collars 30 and 32 before the collars are exposed to an electric current sufficient to activate the EAP material. Activated refers to the condition of the collars 30 and 32 when the collars are being exposed to an  
20 electric current sufficient to activate the expansion of the EAP material.

In the embodiment shown in FIG. 2, the collars 30 and 32 are depicted in the non-activated state. In this state, collar 30 is fixedly engaged or integral with the inner shaft 12, and collar 14 is fixedly engaged or integral with the outer shaft 14 of the assembly 10.

The collars 30 and 32 are fixedly engaged about shafts 12 and 14  
25 respectively. In the non-activated state, the balloon 16 is rotatably disposed about the collars 30 and 32 such that the distal waist 20 of the balloon 16 is rotatably disposed about the distal collar 30 and the proximal waist 22 of the balloon 16 is rotatably disposed about the proximal collar 32 of the balloon 16. In the non-activated state each collar 30 and 32 has an outer diameter, or is sized and shaped, so that the balloon waists 20 and 22 are freely  
30 rotatable about the respective collars 30 and 32. In the activated state the collars 30 and 32



expand outward to engaged the waists 20 and 22 such as in the manner shown in FIG. 3. By engaging the waists 20 and 22 in this manner the interior 40 of the balloon 16 is made effectively fluid tight against the collars 30 and 32 thereby allowing the balloon to be expanded such as by inflation via an inflation fluid through inflation lumen 42.

5                   In some embodiments, such as in the example shown in FIGs. 2-3, it may be beneficial to support the distal end of the outer shaft 14 with a support ring or member 17. The support ring may be disposed about the inner shaft 12 and/or may be merely internally engaged to the outer shaft 14. In some embodiments the ring 17 is an extension of the outer shaft 14. In some embodiments the ring 17 extends between the inner shaft 12 and the  
10   outer shaft 14 but defines one or more openings there through which further define the inflation lumen 42. Ring 17 may be constructed of one or more materials including but not limited to: stainless steel coil, stainless steel stent like structure, stainless steel spiral cut hypotube, Nitinol, acetyl, PI, HDPE, LX2/TR55, Nanocomposites, Ceramics. In some embodiments the length of the ring 17 will be approximately the same length as the collar  
15   32 and/or 30 which it supports.

                  In some embodiments the inner shaft 12 has one or more bands 56 of radiopaque material. In some embodiments a band(s) 56 is detectable by imaging modalities such as X-Ray, MRI or ultrasound.

                  As shown in FIGs. 2-3, one or more conductive wires or other members 50  
20   may extend from a proximal region of the catheter 10 to the collars 30 and 32. A current source 60, as depicted in the circuit diagram of FIG. 4, is in communication with the wire(s) 50, which when activated, transmits the electric current, illustrated by arrows 62 in FIG. 2-3, to the wires 50 and collars 30 and 32, thereby causing expansion of the EAP material in the collars to sealingly engage the collars 30 and 32 to the waists 20 and 22, respectively, of  
25   the balloon 16. The current 62 traverses a circuit through the members 50 and collars 30 and 32.

                  In some embodiments the electric circuit may be completed as a result of the presence of saline or other fluid 300 which is used to expand the balloon 16. Fluid 300 has an electrically conductive nature that provides a path way for the current 62 to return to the  
30   source 60. The fluid 300 may thus effectively act as a negative terminal of the circuit,

whereas collars 30 and 32 act as the positive terminal(s). In some cases the conductive nature of some bodily fluids may also be utilized to complete the circuit.

In at least one embodiment, an additional conductive member or conductor 57 positioned within the balloon interior may act to facilitate completion of the circuit by transferring the current from the fluid 300 back to the source 60 directly such as in the manner depicted in FIG. 4. In some embodiments the conductor 57 is in electric communication with one or more marker bands 56 within the balloon interior.

Wires 50 maybe co-extruded with and/or within the material of either or both catheter shafts 12 and 14. An opening 15 in the shaft(s) exposes the wire 50 to the collars 30 and 32 in the manner shown in FIGs. 2-3. Alternatively, the catheter assembly may define any number of lumens through which a wire or wires may be positioned. In some embodiments a wire 50 may extend at least partially through the inflation lumen 42 to one or both collars 30 and 32.

As indicated above the collars 30 and 32 are at least partially constructed of one or more EAP materials. However, in order to more effectively transmit the electric current to the EAP material in some embodiments, such as shown in FIGs. 2-3, the collars 30 and 32 include a conductive member or marker 34 about which at least one layer 36 of EAP material is engaged. The markers 34 may be any type of conductive material or materials and is preferably biocompatible. Appropriate materials for the construction of the markers 34 include but are not limited to, gold, platinum, nitinol, silver, etc. The layer 36 of EAP material may partially or entirely surround the marker 34. The marker 34 may be configured to have a shape similar to that of the layer 36 but on a reduced scale or may have a different shape, size or configuration than that of the layer 36. For example, in some embodiments the marker 34 may comprise a plurality of conductive strips over or about which the layer 36 is disposed.

In at least one embodiment collars 30 and 32 are constructed of a conductive member 34 of gold and at least one layer 36 of PPy which is deposited thereon by electro polymerization. A description of this process is described in an article entitled Microfabricating Conjugated Polymer Actuators by Edwin W. H. Jager et al. which was published in Vol. 290 of the journal Science on November 24, 2000, the entire contents of

which are incorporated herein by reference.

In the embodiment depicted in FIGs. 2-3, the collars 30 and 32 are constructed so that at least a portion of the inside surface of the collar is defined by a marker 34. This allows direct contact of the conductive material of the marker to be  
5 directly engaged to the conductive wire 50. In this manner the current received by the marker may be distributed to the surrounding layer of EAP material in a substantially uniform manner to allow the EAP material engaged thereto to expand in a substantially uniform manner.

In the various embodiments shown in FIGs. 2-3, prior to electric activation  
10 of the collars 30 and 32, the balloon 16 is freely rotatable about the catheter shafts 12 and 14. This capacity to freely rotate allows a stent 70 mounted on the balloon 16 to be rotationally oriented within a body vessel 100 during advancement of the assembly 10 without necessitating torquing of the catheter shafts 12 and/or 14. Because the balloon 16 is freely rotatable, it is desirable to provide the balloon 16 with a mechanism which allows  
15 the balloon 16 to be rotated to a desired position.

In the various embodiments described herein the catheter assembly 10 may be a fixed wire catheter or any other catheter design. In the embodiment depicted in FIGs. 1-3 for example the catheter is an over the wire design wherein the inner shaft 12 defines a primary guidewire lumen 11 along which a primary guidewire 13 may be advanced.

20 In some embodiments, such as are illustrated in FIGs. 1-3, such a mechanism is comprised of a secondary guidewire housing 80. Housing 80 may be comprised of an tubular member which defines a secondary guidewire lumen 84 through which a secondary guidewire 86 may be advanced. The housing 80 is engaged to the balloon 16 or defined by the balloon wall as desired. The housing 80 may be comprised of one or more tubular  
25 members 82. Where multiple members 82 are included in the housing 80, the members are disposed about one another to provide the housing with a variety of flexibility, hardness, and/or stiffness characteristics as desired. As such the housing 80 may be constructed of any of a wide variety of materials including metal(s), polymer(s), natural rubber, silicone, multilayer materials, urethanes, Pebax, HDPE, etc.

30 When the stent 70 is properly positioned on the balloon 16, such as in the

manner depicted in FIGs. 1-3, a proximal portion 72 of the stent 70 is also disposed about at least a portion of the secondary guidewire housing 80. When the stent 70 is thusly positioned about the balloon 16 and the housing 80, in some embodiments a portion of the housing 80 and/or the secondary guidewire 86 may be configured to extend distally through a cell opening  
5 76 of the stent 70.

In some embodiments, the secondary guidewire 86 is merely slid between the balloon 16 and the stent 70 without the use of a housing 80. In some embodiments, where the stent 70 is to be positioned substantially proximal to a side branch of a vessel bifurcation, the guidewire 86 and/or housing 80 may be configured to extend under the entire length of the stent  
10 70.

In operation, the secondary guidewire 86 is initially advanced through the vessel 100 and into a side branch 102 of a bifurcation 104. By advancing the catheter assembly 10 along the secondary guidewire 86 in the manner described above, the balloon 16 and the stent 70 disposed thereabout will be rotated to align the secondary opening 78 of  
15 the stent 70 with the side branch vessel 102. Once properly positioned in this manner the collars 30 and 32 may be activated and the balloon 16 expanded to deliver the stent 70 such as in the manner depicted in FIG. 3. Once the stent 70 is delivered the balloon is deflated and the assembly is withdrawn from the vessel 100.

A therapeutic agent may be placed on the stent and/or other portion of the  
20 assembly 10 in the form of a coating. Often the coating includes at least one therapeutic agent and at least one polymer.

A therapeutic agent may be a drug or other pharmaceutical product such as non-genetic agents, genetic agents, cellular material, etc. Some examples of suitable non-genetic therapeutic agents include but are not limited to: anti-thrombogenic agents such as  
25 heparin, heparin derivatives, vascular cell growth promoters, growth factor inhibitors, Paclitaxel, etc. Where an agent includes a genetic therapeutic agent, such a genetic agent may include but is not limited to: DNA, RNA and their respective derivatives and/or components; hedgehog proteins, etc. Where a therapeutic agent includes cellular material, the cellular material may include but is not limited to: cells of human origin and/or non-  
30 human origin as well as their respective components and/or derivatives thereof. Where the

therapeutic agent includes a polymer agent, the polymer agent may be a polystyrene-polyisobutylene-polystyrene triblock copolymer (SIBS), polyethylene oxide, silicone rubber and/or any other suitable substrate.

5 In some embodiments, the balloon waists 20 and 22 may be reinforced or strengthened in any of a number of ways in order to provide an improved interface and seal between the collars 30 and 32 and the respective balloon waists 20 and 22 when in the activated state. In at least one embodiment the waists 20 and 22 may be constructed with or supplemented with one or more layers of a transition material or coating of one or more strands, fibers or layers of stainless steel or other suitable reinforcing material.

10 In some embodiments one or more hubs or other surface features may be provided adjacent to the balloon waists 20 and 22 in order to ensure that the balloon maintains its proper longitudinal position relative to the catheter shafts 12 and 14. In some cases however, the use of hubs may be considered to be undesirable as a hub may affect the flexibility of the portion of catheter adjacent thereto and provide an increase to the catheter's profile. To avoid  
15 such potential affects, in some embodiments the collars 30 and/or 32 may be provided with a shape which allows each collar to interfere with the longitudinal movement of the balloon 16 without affecting the rotational ability of the balloon 16 in the non-activated state. Some examples of collars 30 and 32 that are appropriately configured to prevent or limit the longitudinal movement of the balloon 16 relative to the shafts 12 and 14 are illustrated in FIGs.  
20 5-10.

As is shown in FIGs. 5-10 the balloon 16 comprises a distal waist 20 and a proximal waist 22. Immediately and distally adjacent to the distal waist 20 is a distal cone 21 that extends at an angle radially outward from the distal waist when the balloon 16 is expanded. Immediately and proximally adjacent to the proximal waist 22 is a proximal cone 23 which  
25 extends at an angle radially outward from the proximal waist when the balloon is expanded. The balloon body portion 25 extends between the proximal and distal cones 21 and 23. The cones and body of the balloon 16 define a balloon interior 40 and a balloon exterior 41. The balloon interior 40 is in fluid communication with the inflation lumen 42.

In the embodiment shown in FIGs. 5 and 6 each of the collars 30 and 32 may be  
30 characterized as having primary or body portion 31 and one or more lips or protrusions 33 which

13

extend radially outward from the body portion 31. Each lip 33 is positioned within the balloon interior 40 and defines an angle relative to the body portion 31, which corresponds to the angle defined by the respective balloon waist and balloon cone adjacent thereto. The extension of each lip 33 into the balloon interior 40 acts to interfere with the respective balloon cone 21 or 23, thereby "trapping" the balloon 16 between the lips 33 of each collar 30 and 32.

In the non-activated state shown in FIG. 5 the lips 33 should be configured to engage a portion of the balloon 16 in order to minimize longitudinal movement of the balloon 16 relative thereto. In the activated state, such as is shown in FIG. 6, the body 31 and/or lip 33 of each collar 30 and 32 will sealingly engage the respective balloon waist and/or cone, such as in the manner depicted.

The lips 33 may extend into the balloon interior 40 to any desired extent. In order to maintain a minimum of profile however, it may be desirable to extend the lips into the interior 40 only to an extent sufficient to abut the respective waist 20 and 22 and/or a portion of the cones 21 and 23.

In addition to preventing or limiting longitudinal movement of the balloon 16 the shape of the collars 30 and 32, and particularly the shape of the lips 33 relative to the respective balloon cones 21 and 23, may also aid in preventing bunching and/or tearing of a deflated balloon 16 during withdrawal of the catheter assembly 10 from the body.

Collars 30 and 32 are not limited the arrangement of providing a lip 33 within the balloon interior 40. Collars 30 and 32 may be provided with numerous configurations and arrangements of a body portion 31 and one or more lips 33. In FIGs. 7 and 8 for example the lip 33 of each collar extends radially outward from the body portion 31 of each collar 30 and 32, but is positioned such that the lip is external to the balloon 16. While each lip 33 may have any length desired, each lip 33 preferably has a length which is sufficient to abut a respective waist 20 and 22 of the balloon in the non-activated state, such as is depicted in FIG. 7. When the collars are in the activated state, such as is shown in FIG. 8, the collars 30 and 32 sealingly engage at least two sides of the adjacent and respective waist 20 and 22 as shown.

In yet another embodiment, an example of which is shown in FIGs. 9 and 10, each collar 30 and 32 is provided with a body portion 31 as well as two lips 33a and 33b. Lips 33a are positioned within the balloon interior 40, adjacent to a respective balloon cone 21 and

23, such as in the manner previously described in relation to FIGs. 5 and 6. Each lip 33b is positioned external and adjacent to a respective balloon waists 20 and 22, such as in the manner previously described in FIGs. 7 and 8. The resulting collar configuration ensures that longitudinal movement of the balloon 16 is limited to the distance provided between lips 33a and 33b. This distance may be adjusted to any extent desired merely by providing the collar body portion 31 with a longer or shorter length relative to the length of a balloon waist.

It is also noted that the above collar configurations are only examples of collar configurations which may be suitable for use with the catheter assembly 10. Other configurations may include the use of any of a variety of potential mechanical or other interfaces between the balloon and collars.

In some cases, the stent 70, or one or more portions of the assembly 10 thereof, may be configured to deliver one or more therapeutic agents to a delivery site within the vessel 100 or one or more areas adjacent thereto such as shown in FIGs. 2-3 and 45-10.

The above disclosure is intended to be illustrative and not exhaustive. This description will suggest many variations and alternatives to one of ordinary skill in this art. All these alternatives and variations are intended to be included within the scope of the claims where the term "comprising" means "including, but not limited to". Those familiar with the art may recognize other equivalents to the specific embodiments described herein which equivalents are also intended to be encompassed by the claims.

Further, the particular features presented in the dependent claims can be combined with each other in other manners within the scope of the invention such that the invention should be recognized as also specifically directed to other embodiments having any other possible combination of the features of the dependent claims. For instance, for purposes of claim publication, any dependent claim which follows should be taken as alternatively written in a multiple dependent form from all prior claims which possess all antecedents referenced in such dependent claim if such multiple dependent format is an accepted format within the jurisdiction (e.g. each claim depending directly from claim 1 should be alternatively taken as depending from all previous claims). In jurisdictions where multiple dependent claim formats are restricted, the following dependent claims should

each be also taken as alternatively written in each singly dependent claim format which creates a dependency from a prior antecedent-possessing claim other than the specific claim listed in such dependent claim below.

- 5       With this description, those skilled in the art may recognize other equivalents to the specific embodiment described herein. Such equivalents are intended to be encompassed by the claims attached hereto.

      This PCT application claims priority from US Application No. 10/915,209, filed on August 10, 2004, the entire contents of which is hereby incorporated by reference.



## CLAIMS:

1. A catheter assembly comprising:
  - a catheter shaft, the catheter shaft having a length and an outer surface;
  - a balloon, the balloon comprising a proximal balloon waist, a distal balloon
  - 5 waist and a body portion there between, a proximal balloon cone extending between the proximal balloon waist and the balloon body, a distal balloon cone extending between the distal balloon waist and the balloon body; the balloon having an expanded state and a unexpanded state, in the expanded state the body portion having an expanded diameter and in the unexpanded state the body portion having an unexpanded diameter that is less than
  - 10 the expanded diameter; and
    - a proximal collar and a distal collar, the proximal collar engaged to the catheter shaft and the distal collar engaged to the catheter shaft, each collar having a body portion and at least one lip portion, the at least one lip portion extending radially outward from the body portion, each collar having a nonactivated state and an activated state, in the
    - 15 nonactivated state the balloon being rotatable about the proximal collar and the distal collar, in the nonactivated state each lip portion constructed and arranged to abut a portion of the balloon to prevent the balloon from moving longitudinally relative thereto, in the activated state the body portion of the proximal collar being sealingly engaged to at least a portion of the proximal balloon waist and the distal collar being sealingly engaged to at least a portion
    - 20 of the distal balloon waist.
2. The catheter assembly of claim 1 wherein the at least one lip portion of each collar is external to the balloon.
3. The catheter assembly of claim 1 wherein the balloon defines a balloon interior, in the activated state a first lip portion of the proximal collar being engaged to at least a
- 25 portion of the proximal balloon cone within the balloon interior and the a first lip portion of the distal collar being engaged to at least a portion of the distal balloon cone within the balloon interior.
4. The catheter assembly of claim 3 wherein a second lip portion of the proximal collar is external to the balloon and a second lip portion of the distal collar is external to the
- 30 balloon.

5. The catheter assembly of claim 1 wherein the collars are actuated between the nonactivated state and the activated state by exposure to an electric current.
6. The catheter assembly of claim 5 further comprising at least one electrically conductive member, each collar being in electronic communication with the at least one electrically conductive member.
7. The catheter assembly of claim 6 further comprising a source of electrical current, the source being in electronic communication with the at least one electrically conductive member.
8. The catheter assembly of claim 7 wherein the catheter shaft comprises an inner catheter shaft and an outer catheter shaft, the proximal collar being engaged to a portion of the outer catheter shaft and the distal collar being engaged to a portion of the inner catheter shaft.
9. The catheter assembly of claim 8 wherein the at least one electrically conductive member is at least partially enclosed by the inner catheter shaft.
10. The catheter assembly of claim 9 wherein the at least one electrically conductive member is co-extruded with the at least one material of the inner catheter shaft.
11. The catheter assembly of claim 8 wherein the at least one electrically conductive member is at least partially enclosed by the outer catheter shaft.
12. The catheter assembly of claim 11 wherein the at least one electrically conductive member is co-extruded with the at least one material of the outer catheter shaft.
13. The catheter assembly of claim 8 wherein the outer catheter shaft is disposed about a portion of the inner catheter shaft and defines an inflation lumen therebetween which is in fluid communication with an interior of the balloon body.
14. The catheter assembly of claim 13 wherein the portion of the outer catheter shaft is disposed about a support ring, the inner catheter shaft extending through the support ring.
15. The catheter assembly of claim 6 wherein the proximal collar and the distal collar are comprised of electro-active material.
16. The catheter assembly of claim 15 wherein the electro-active material is an electro-active polymer (EAP), a carbon nano-tube structure (bucky paper) or any combination thereof.

17. The catheter assembly of claim 16 wherein the EAP material is selected from at least one member of the group consisting of: Poly-pyrrole (PPy), Poly-Aniline (PAni), Poly-Thiophene (PTH), Poly-Paraphenylene Vinylene (PPV), Nafion, and any combination thereof.

5 18. The catheter assembly of claim 15 wherein when the proximal collar and the distal collar are exposed to the electric current the electro-active material in each collar expands up to about 300 percent.

19. The catheter assembly of claim 16 wherein when the proximal collar and the distal collar are exposed to the electric current the EAP material in each collar expands about 0.5  
10 percent to about 20 percent.

20. The catheter assembly of claim 15 wherein the proximal collar and the distal collar are further comprised of at least one electrically conductive marker, the electro-active material being a layer of material engaged to at least a portion of a surface of the at least one electrically conductive marker.

15 21. The catheter assembly of claim 20 wherein the at least one electrically conductive marker is constructed of at least one material of the group consisting of gold, platinum, silver, nitinol, and any combination thereof.

22. The catheter assembly of claim 21 wherein the at least one electrically conductive marker is in direct contact with a portion of the at least one electrically conductive member  
20 which radially extends through at least one opening in the catheter shaft.

23. The catheter assembly of claim 1 further comprising a secondary guidewire housing, the secondary guidewire housing comprising a substantially tubular member engaged to the balloon, the secondary guidewire housing defining a secondary guidewire lumen through which a secondary guidewire may be slidingly positioned.

25 24. The catheter assembly of claim 23 further comprising a balloon expandable stent, the stent being expandable from an unexpanded configuration to an expanded configuration, in the unexpanded configuration the stent being disposed about at least a portion of the balloon body.

26 25. The catheter assembly of claim 24 wherein at least a proximal portion of the stent  
30 overlays at least a portion of the secondary guidewire housing.

26. The catheter assembly of claim 24 wherein the stent comprises a plurality of interconnected members, wherein adjacent members define openings there between, one of the openings being a secondary opening through which the secondary guidewire radially extends.

5 27. The catheter assembly of claim 7 further comprising an inflation fluid, the inflation fluid being injected into the balloon in order to expand the balloon from the unexpanded state to the expanded state, the inflation fluid being electrically conductive.

28. The catheter assembly of claim 27 wherein the proximal collar, the distal collar, the at least one electrically conductive member, the inflation fluid and the source of electric  
10 current forming an electric circuit through which the electric current flows to place the collars in the activated state.

FIG.1

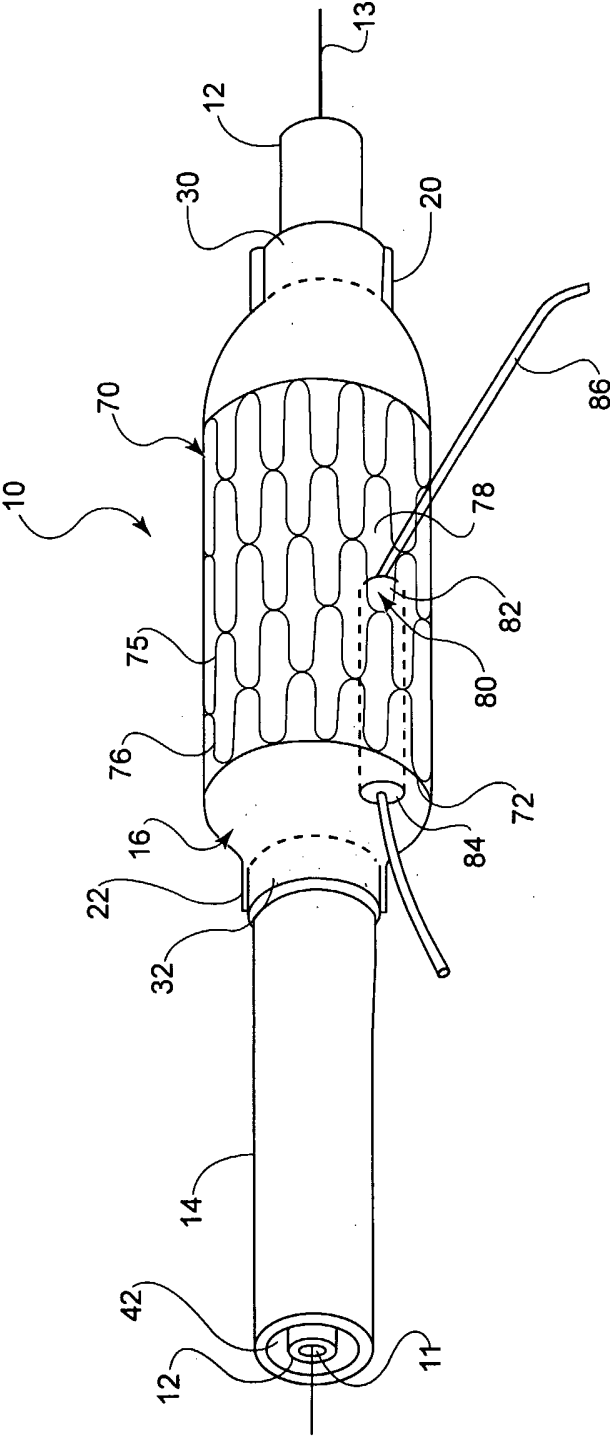


FIG.2

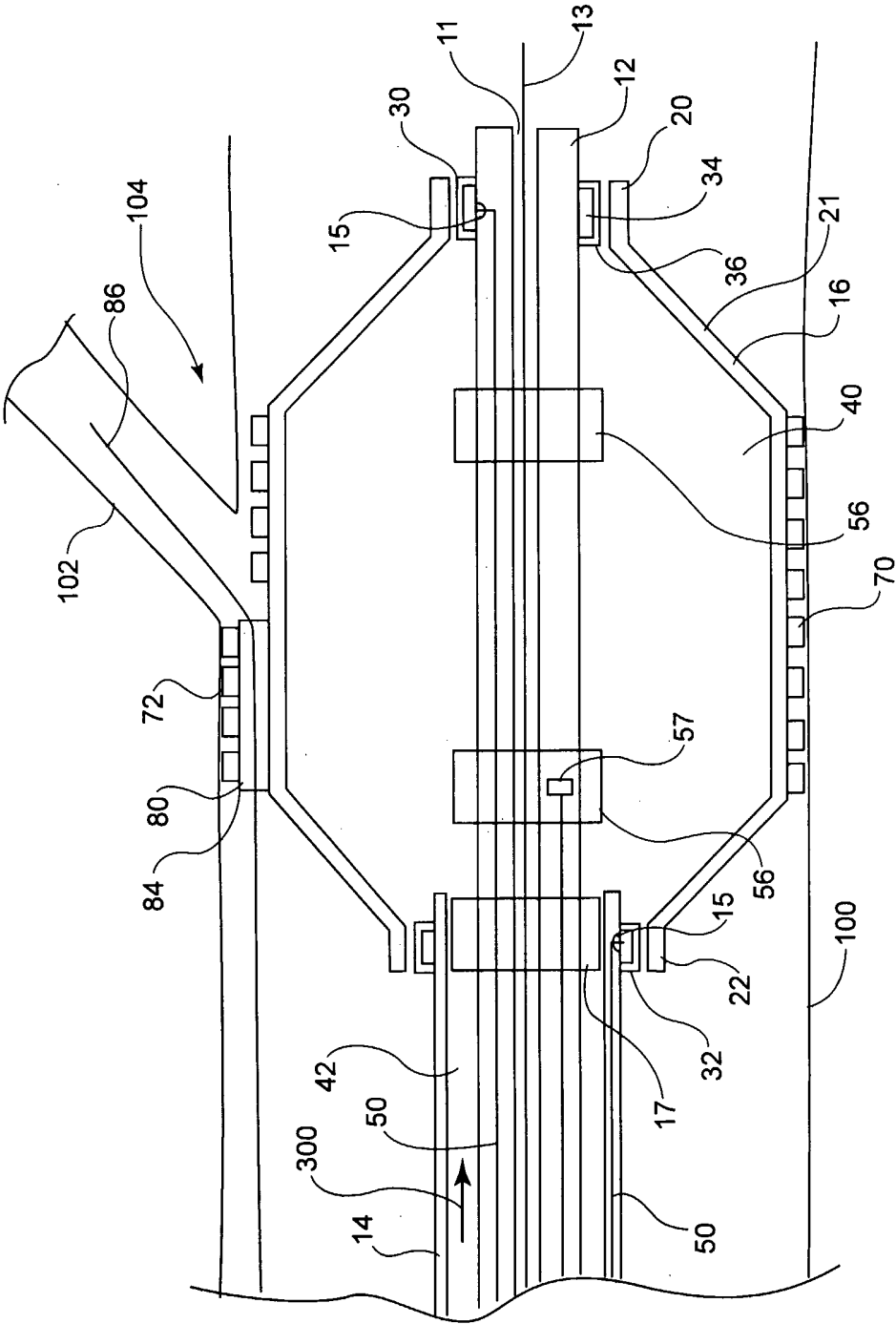
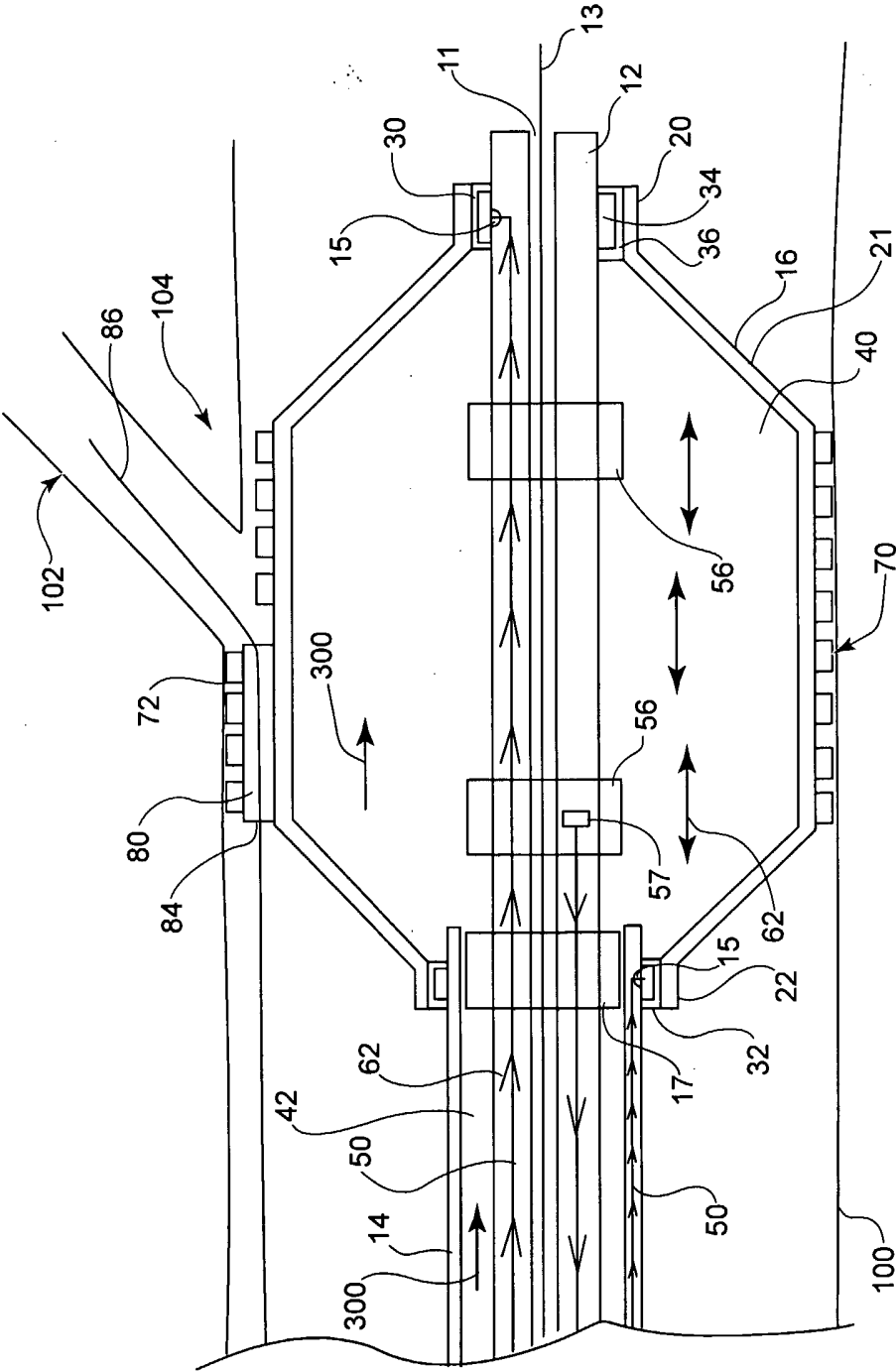
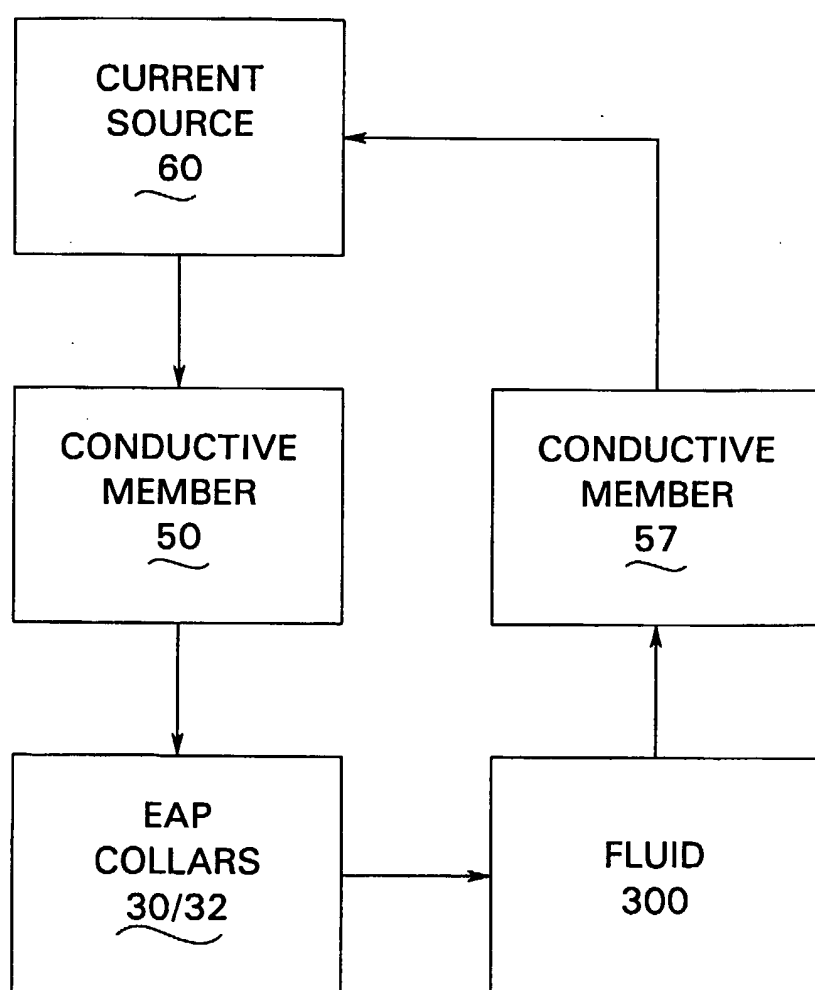


FIG.3



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FIG. 4







6/10

FIG.6

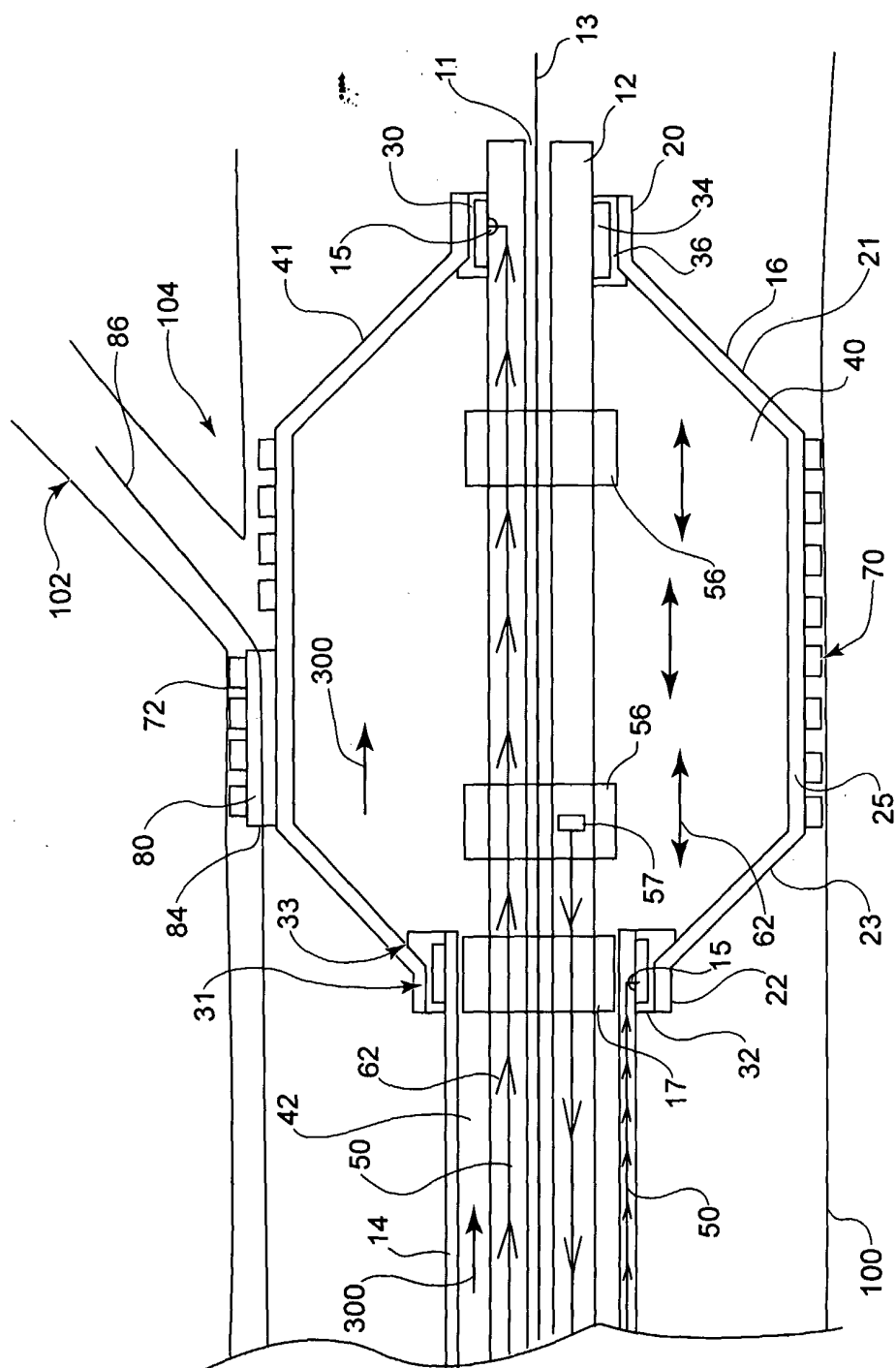


FIG. 7

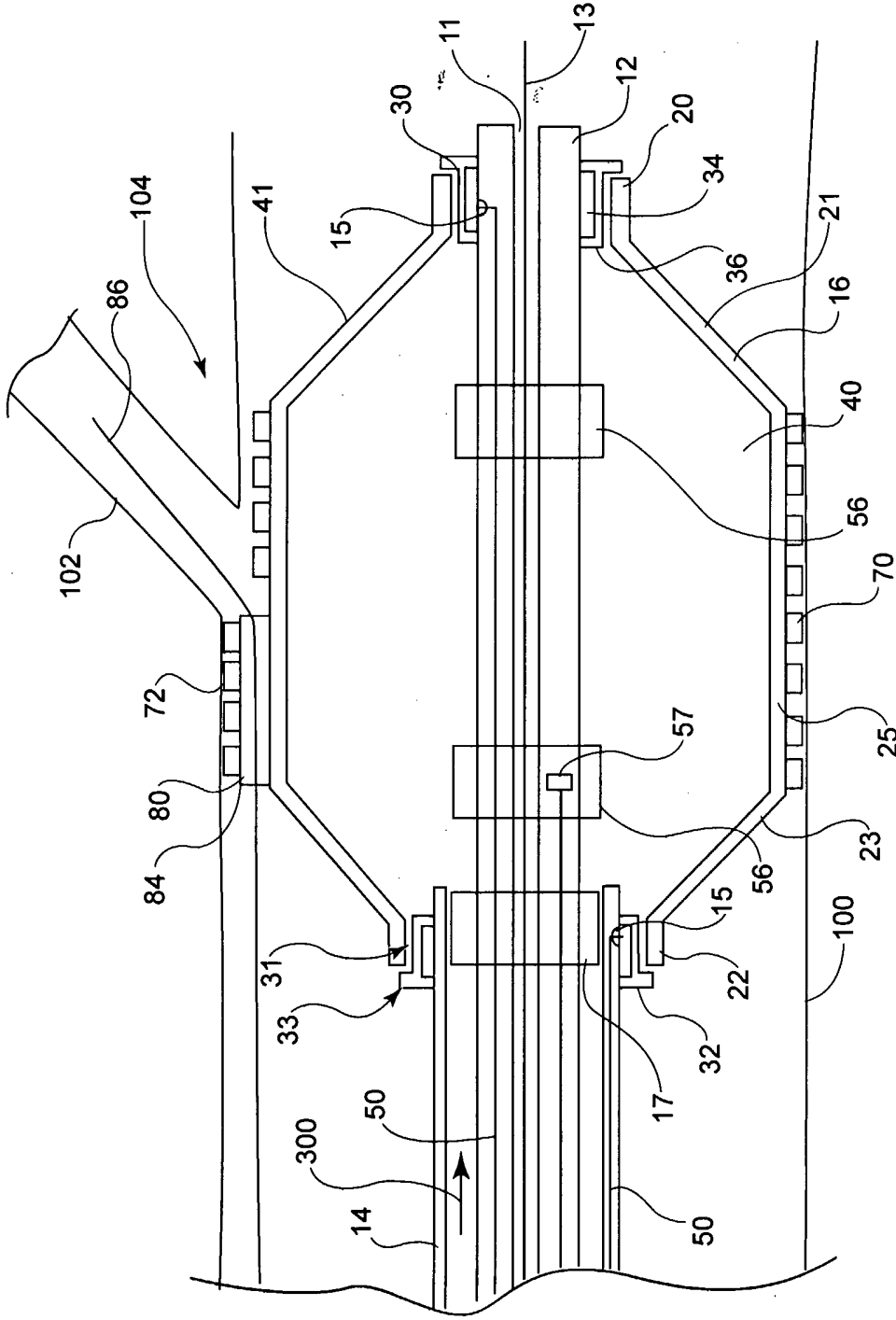


FIG.8

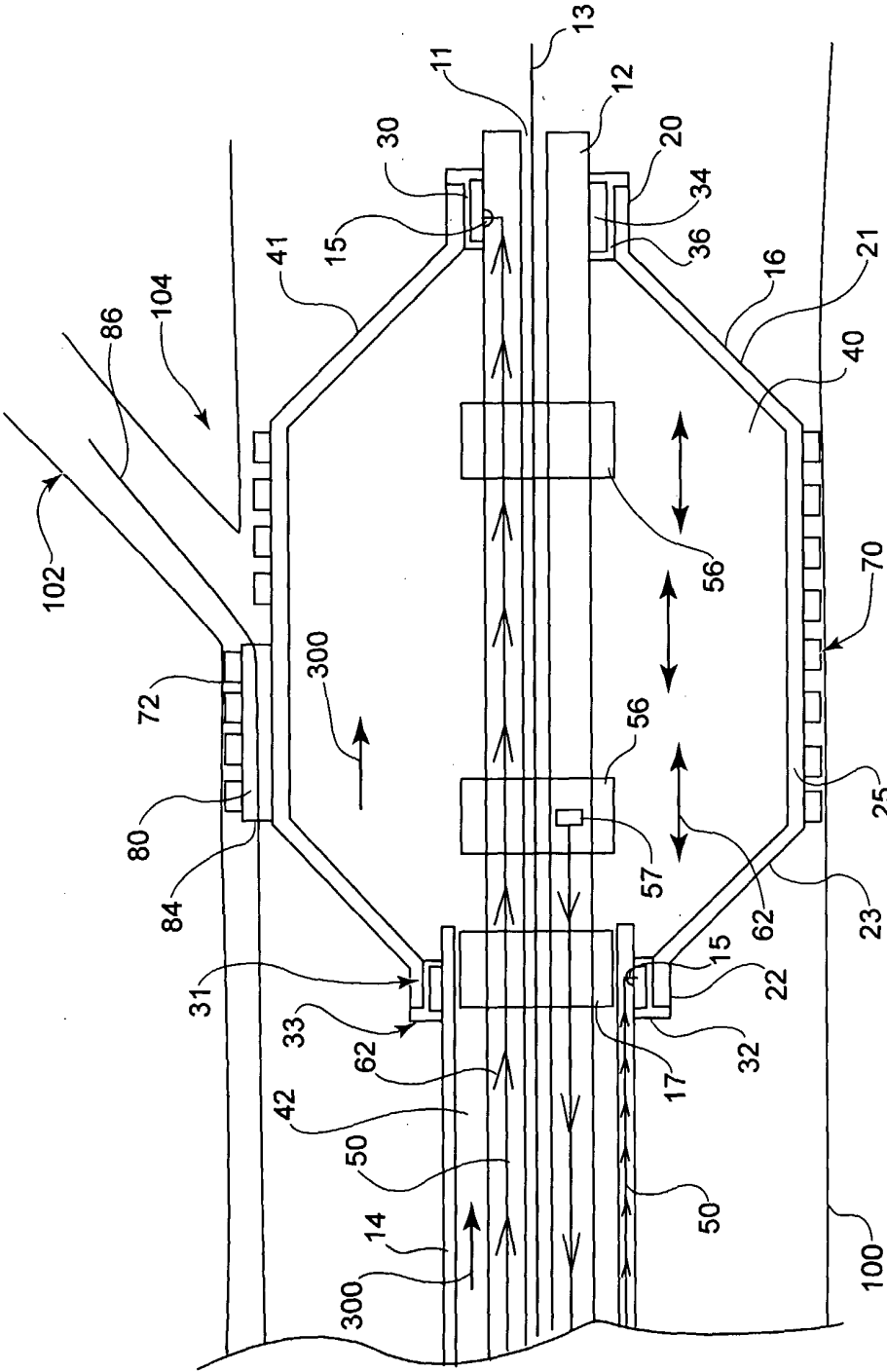


FIG.9

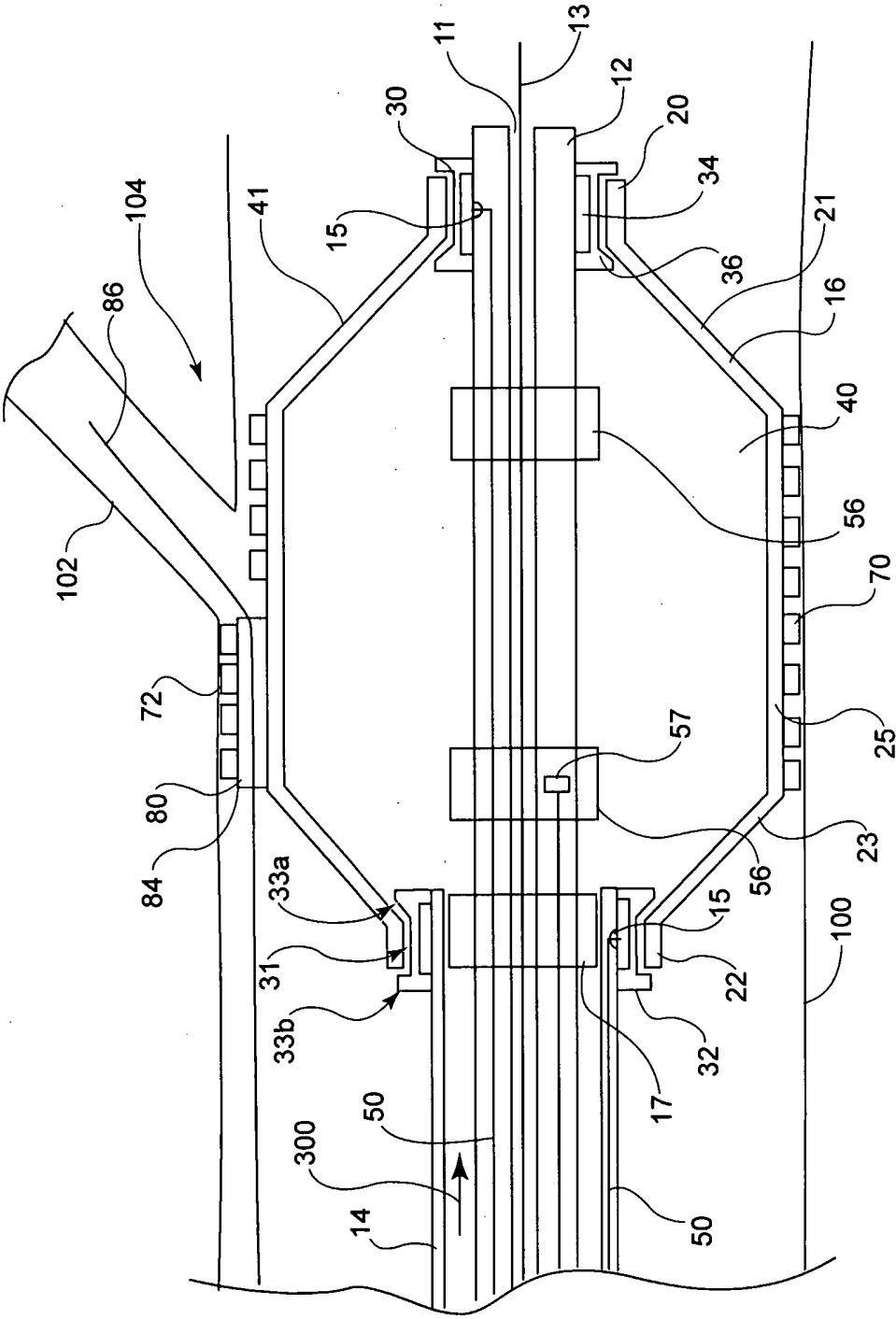
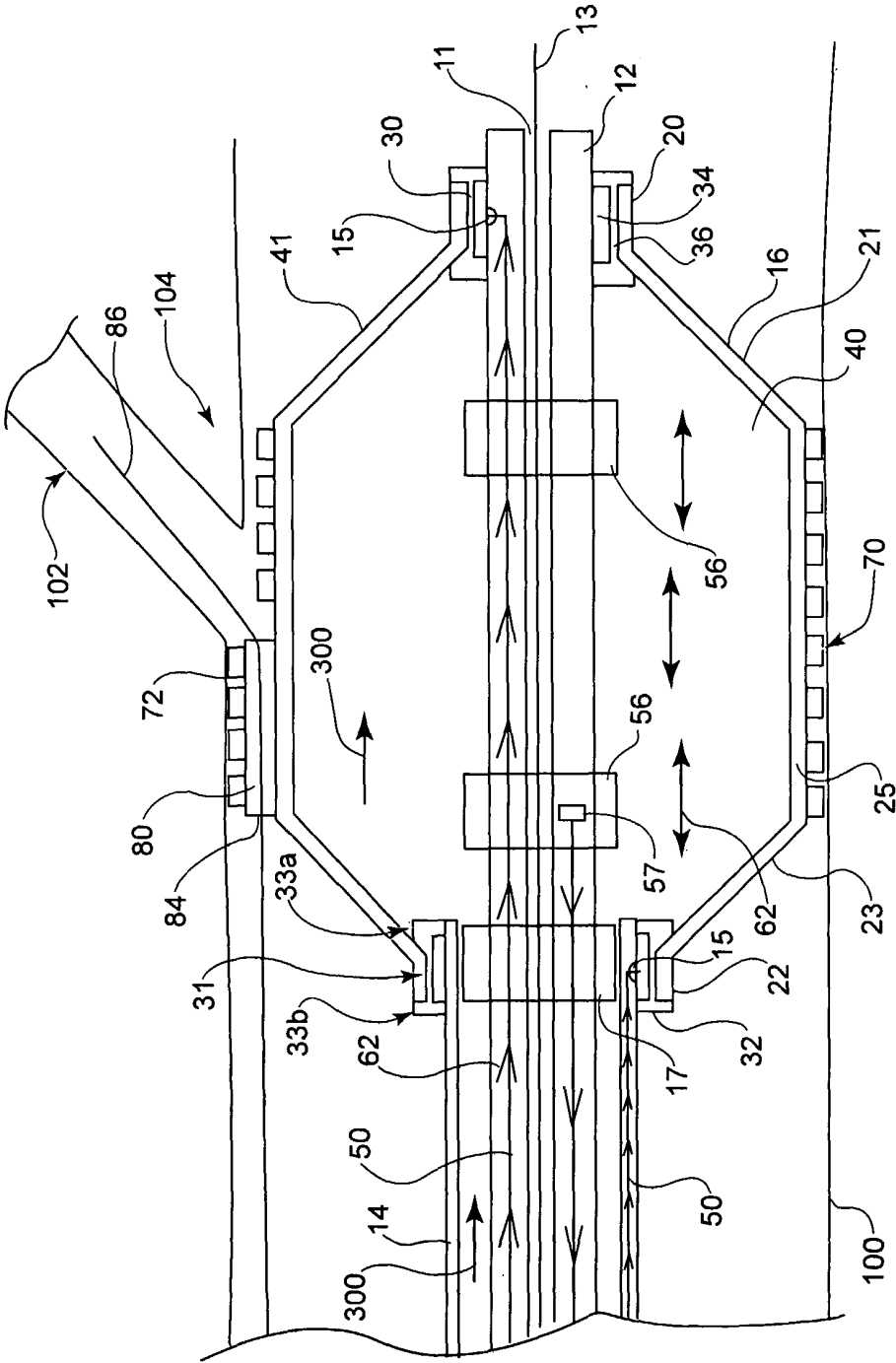


FIG.10



## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US2005/027423

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61F2/06 A61M25/10

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61F A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 03/017872 A (SCIMED LIFE SYSTEMS, INC) 6 March 2003 (2003-03-06) page 6, line 7 - page 10, line 26; figures -----	1-4
A	US 5 397 305 A (KAWULA ET AL) 14 March 1995 (1995-03-14) column 4, line 12 - column 7, line 9; figures -----	1-4
E	US 2005/187603 A1 (EIDENSCHINK TRACEE ET AL) 25 August 2005 (2005-08-25) paragraphs '0061! - '0067!; claims; figures 5-10 -----	1-28
P, A	WO 2005/025458 A (SCIMED LIFE SYSTEMS, INC) 24 March 2005 (2005-03-24) page 30, lines 6-15; figure 21 -----	1



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents:

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

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Date of the actual completion of the international search

26 October 2005

Date of mailing of the international search report

10/11/2005

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Vänttinen, H

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US2005/027423

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WO 2005025458	A	24-03-2005	NONE	