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(54) Title: CAPACITIVE MICROMACHINED ULTRASONIC TRANSDUCER (CMUT) DEVICE WITH THROUGH-SUBSTRATE VIA (TSV)

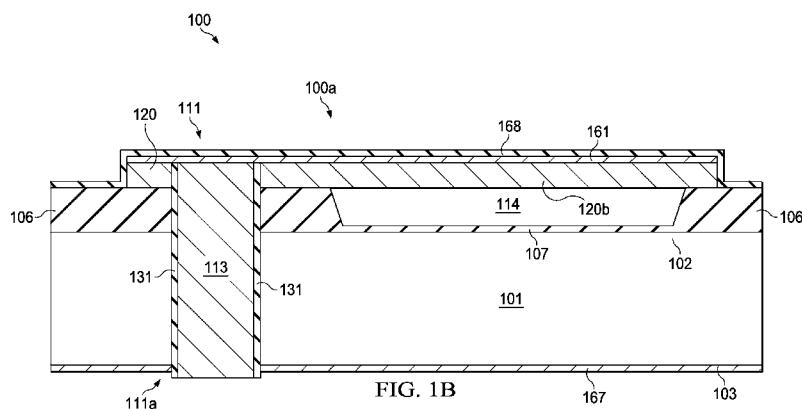


FIG. 1B

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(57) Abstract: A capacitive micromachined ultrasonic transducer (CMUT) device 100 includes at least one CMUT cell 101a including a first substrate 101 having a top side including a patterned dielectric layer thereon including a thick 106 and a thin 107 dielectric region. A membrane layer 120b is bonded on the thick dielectric region and over the thin dielectric region to provide a movable membrane over a microelectromechanical system (MEMS) cavity 114. A through-substrate via (TSV) 111 includes a dielectric liner 131 which extends from a bottom side of the first substrate to a top surface of the membrane layer. A top side metal layer 161 includes a first portion over the TSV, over the movable membrane, and coupling the TSV to the movable membrane. A patterned metal layer 167 is on the bottom side surface of the first substrate including a first patterned layer portion contacting the bottom side of the first substrate lateral to the TSV.



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**CAPACITIVE MICROMACHINED ULTRASONIC TRANSDUCER (CMUT) DEVICE  
WITH THROUGH-SUBSTRATE VIA (TSV)**

**[0001]** Disclosed embodiments relate to capacitive micromachined ultrasonic transducer (CMUT) devices and methods for their manufacture.

**BACKGROUND**

**[0002]** CMUT devices are becoming increasingly popular in medical applications. For example, CMUT devices have been used to improve medical ultrasound imaging probes. CMUT devices have also been used to provide high-intensity focused ultrasound for use in medical therapy. Conventional CMUT devices are typically produced directly on a silicon substrate (i.e. on a silicon wafer). For instance, conventional CMUT devices are often fabricated using a microelectromechanical system (MEMS) manufacturing technique in which a release layer is etched out, leaving a free-standing (flexible) membrane. The top of the membrane is metalized to provide a top (electrode) plate, and the membrane is then used as a transducer to transmit and receive ultrasonic signals.

**[0003]** Conventional CMUT devices utilize bond pads to provide electrical contact to the top plate for each of the CMUT elements in the device, such as a plurality of bond pads for a CMUT device including a plurality of CMUT elements arranged in a CMUT array. Since the bond wire is elevated above the bond pad, the bond pad is placed remote from the CMUT elements in the CMUT array to facilitate packaging. This constraint not only increases the CMUT device die size due to the need for interconnect routing lines, but it also complicates the packaging process. Both the increased die size and the complicated packaging process increase the cost of packaged CMUT die.

**SUMMARY**

**[0004]** Disclosed embodiments describe solutions to the CMUT device problems with conventional utilization of bond pads for connection to the top plate of each CMUT cell which is recognized to substantially constrain the design and increase size of CMUT devices including 2

dimensional (2D) CMUT arrays of CMUT elements, and also impair their performance. To connect the bond pads to each CMUT element of a conventional large 2D CMUT array involves extensive use of metal interconnect traces on the top side of the CMUT die, thereby increasing the die size and reducing CMUT performance. For CMUT arrays containing a large number CMUT elements (e.g., > 10 x 10 array of CMUT elements) the use of metal interconnect traces to provide contact to each element generally becomes prohibitively complicated for the internal elements, and alternative connection schemes are needed. One such connection scheme is the use of through-substrate connections.

**[0005]** Disclosed embodiments include CMUT devices having through-substrate vias (TSVs) which allows bottom side device (die) contact to make connections to the top plate (top electrode) of the CMUT cells or CMUT elements including a plurality of CMUT cells through the die to facilitate the production of 2D CMUT arrays. For CMUT devices having a plurality of CMUT elements, the top electrodes are separate for each CMUT element allowing separate addressing of the respective elements using a single TSV for each element, and there is generally a electrically common bottom side electrode (e.g., a solid sheet of Si substrate) for all CMUT elements on the device. In other embodiments the CMUT device can have an electrically common top electrode for all CMUT elements and an individual bottom electrode for each element to allow separate addressing of the respective elements.

#### BRIEF DESCRIPTION OF THE DRAWINGS

**[0006]** FIG. 1A is a top view depiction an example CMUT device shown as a CMUT element with a single CMUT cell, according to an example embodiment.

**[0007]** FIG. 1B is cross sectional depiction of the example CMUT device/element/cell shown in FIG. 1A along the cut line A-A' shown.

**[0008]** FIGS. 2A-2H are cross-sectional diagrams showing processing progression for an example method of forming a CMUT device, according to an example embodiment.

**[0010]** FIG. 3 is a top view depiction an example CMUT device including a plurality of CMUT elements each including a plurality CMUT cells shown in FIGS. 1A and 1B, according to an example embodiment.

#### DETAILED DESCRIPTION OF EXAMPLE EMBODIMENTS

**[0011]** The capacitive micromachined ultrasonic transducer (CMUT) sensor unit entity is a CMUT sensor cell. Multiple CMUT sensor cells can be connected in parallel (e.g., with an

electrically common movable membrane 120b) to form a CMUT element. A CMUT element can have any number ( $\geq 1$ ) of CMUT cells. Typically, the more CMUT cells in an element the greater the ultrasonic output pressure that the element can generate responsive to a given stimulus. A CMUT array (device/die) can have any number of CMUT elements. One of the electrodes (e.g., top) of the respective CMUT elements can be electrically isolated from the other electrodes (e.g., top) of the other CMUT elements to allow each CMUT element to be connected independently to be individually addressable. As described herein, having the movable membranes 120b for each CMUT cell in a CMUT element be electrically common allows addressing of all cells in the CMUT element by a single TSV.

**[0012]** FIG. 1A illustrates an example CMUT device 100 shown as a CMUT element with a single CMUT cell 100a, according to an example embodiment. A cut line A-A' is provided for the cross sectional depiction shown in FIG. 1B and other FIGS. 2A-H described below. The CMUT cell 100a includes a through-substrate via (TSV) 111, and a first substrate 101 of a single crystal material (e.g., bulk single crystal silicon or a silicon epitaxial layer on a single crystal substrate) having a top side 102 and a bottom side 103.

**[0013]** As shown in FIG. 1B, the top side 102 includes a patterned dielectric layer thereon including a thick dielectric region 106 and a thin dielectric region 107. The TSV 111 extends a full thickness of the first substrate 101 to the top surface of the membrane layer 120. The TSV 111 is electrically isolated from the first substrate 101 and membrane layer 120 by a dielectric liner 131. Although the dielectric liner 131 is shown along the full length of the TSV 111 including on the sidewalls of the thick dielectric region 106, in the case of a thermally formed dielectric liner 131 (e.g., silicon oxide), as opposed to a deposited dielectric liner 131, the dielectric liner 131 will not grow on the sidewalls of the thick dielectric region 106, and thus not be on the sidewalls of the thick dielectric region 106. TSV 111 includes a TSV filler material 113, such as Cu in one particular embodiment. TSV 111 is also shown including optional protruding TSV tips 111a which protrude from the bottom side 103 of the first substrate 101.

**[0014]** The membrane layer 120 of a second substrate shown as a silicon on insulator (SOI) substrate 115 (depicted in FIG. 2A) is bonded to the thick dielectric regions 106 and is over the thin dielectric regions 107 of the first substrate 101 to provide a movable membrane 120b over the MEMS cavity 114 shown. The bonding can comprise vacuum bonding, such as vacuum fusion bonding. A patterned top side metal layer (e.g., an AlCu layer) 161 is over the

top of the TSV 111 and over the top of the movable membrane 120b including a metal layer portion coupling the TSV 111 to the movable membrane 120b. A dielectric passivation layer 168 is shown over the top of the CMUT cell 100a.

[0015] The first substrate 101 can comprise single crystal silicon, or epitaxial silicon on single crystal silicon. The first substrate 101 typically has a resistivity less than or equal to ( $\leq$ ) 0.1  $\Omega$ -cm, and can be doped p-type or n-type. CMUT cell 100a is shown including a patterned metal layer 167 on a bottom side 103 of the first substrate 101 which provides a first electrode contact on a bottom side 103 of the CMUT cell 100a to realize a fixed electrode. As noted above, in the case of a CMUT device including a plurality of CMUT elements each including a plurality of CMUT cells 100a, the fixed electrode contact provided by patterned metal layer 167 is to a common bottom side fixed electrode (e.g., a solid sheet of the first substrate 101, such as a Si sheet) for all CMUT elements on the CMUT device.

[0016] TSV 111 provides a bottom side connection to movable membrane 120b which provides a top plate for the CMUT cell 100a of the CMUT device 100. As noted above, for CMUT devices having a plurality of CMUT elements, the top electrodes can be separate for each CMUT element allowing separate addressing of the respective elements using a respective TSV 111 for each element. No top side contact, nor bond pads are thus needed by the CMUT device 100.

[0017] It is noted CMUT device thicknesses and dimensions can be adjusted to fit specific applications. For example, typical example dimensions for an airborne ultrasound application at 180 kHz operation is a CMUT cell having a movable membrane 120b 1.12 mm in diameter, a patterned top side metal layer 161 plate width of 1.32 mm (100  $\mu$ m plate overlap of the top side metal layer 161 on the sides of the CMUT cell 100a), and a movable membrane 120b thickness of 14  $\mu$ m.

[0018] FIGS. 2A-2H illustrate steps in an example method of forming CMUT devices during different stages of fabrication, according to an example embodiment. Although the CMUT device is described being formed having a single element with a single CMUT sensor cell, as noted above disclosed CMUT devices may be formed having a plurality of CMUT elements each with one or more CMUT cells to form a CMUT array having a plurality of CMUT elements (see FIG. 3 described below).

**[0019]** FIGS. 2A-2H illustrate steps in processing for an example method of forming a CMUT device having a single CMUT element with a single CMUT cell 100a. Other techniques for forming disclosed CMUT devices can be used without departing from the scope of this disclosure, including forming CMUT devices including a plurality of disclosed CMUT elements. Several CMUT cells within a CMUT element can be connected in parallel by coupling together movable membranes 120b of CMUT cells in a given CMUT element, such as to increase the output pressure over a given area. Connecting CMUT cells in parallel reduces the impedance (for driving). The CMUT elements can be electrically isolated from one another for use independently to facilitate beam steering or for improved spatial resolution over a large area. One can also drive/sense the CMUT elements differentially to improve common mode signals or mitigate manufacturing asymmetries.

**[0020]** Thick dielectric regions 106, such as comprising a silicon oxide layer, are provided on a top side 102 of a first substrate 101. First substrate 101 can generally comprise any single crystal substrate material, including silicon-based substrates, or other substrates. The first substrate 101 provides a low substrate resistivity of  $\leq 0.1 \Omega\text{-cm}$ , such as about  $0.01 \Omega\text{-cm}$ .

**[0021]** In one particular embodiment, to form thick dielectric regions 106 a thick silicon oxide layer is grown to a thickness of  $4.5 \mu\text{m}$  to  $5.5 \mu\text{m}$  using a high pressure oxidation (HiPOx) process. The use of HiPOx facilitates the rapid growth of thick thermal oxide layers and generally provides good thickness control across the die of typically less than  $\pm 1\%$ . One particular example set of HiPOx process conditions include a temperature of  $1,000^\circ\text{C}$  at 25 atmospheres pressure in steam for 9.5 hours on virgin first substrates 101 (e.g., bulk single crystal silicon wafers), and the alignment marks for photolithography are etched later in the process.

**[0022]** As an alternative, thick dielectric regions 106 may also comprise conventional thermally grown silicon oxide (e.g., using a LOCal Oxidation of Silicon (LOCOS) process where silicon dioxide is formed in selected areas (here thick dielectric regions 106) on a silicon wafer generally using a silicon nitride as a mask to oxidation, or be a deposited dielectric layer, including silicon oxide or other dielectric material. However, the LOCOS approach with conventional oxidation will generally not yield  $5 \mu\text{m}$  thick (or thicker) oxide layers, and deposited dielectric (e.g., oxide) films will generally not provide less than  $\pm 1\%$  thickness control across the die.

[0023] The substrate vendor's laser scribe generally present can be used to ensure a minimum of surface contamination or roughness which will facilitate subsequent wafer bonding steps. Masking and etching of front side alignment marks can follow. Resist strip and a pre-clean process can help ensure a smooth surface for the thick dielectric regions 106 used later in the process for bonding the membrane layer 120 of a SOI substrate 115 or a second substrate such as a standard bulk silicon substrate thereto.

[0024] A first masking level "CELLETCHE" uses thick photoresist in order to support the subsequent etch through the thick dielectric regions 106 (e.g., thick silicon oxide layer) to initially begin to define at least one etched single cell CMUT element for each CMUT array/die on the first substrate 101 (e.g., a Si wafer). A plasma etch which is non-polymerizing can be used for etching a first portion of the thick dielectric region 106, such as to etch about 4.65  $\mu\text{m}$  of silicon oxide when thick dielectric regions 106 comprise silicon oxide and have a thickness of about 5  $\mu\text{m}$  to 5.3  $\mu\text{m}$ . A sidewall slope of  $\sim 80^\circ$  is generally desirable and can be achieved from the natural resist erosion. The remaining portion of the thick dielectric region 106 (e.g., 0.5  $\mu\text{m}$  silicon oxide) after plasma etch can be removed by wet etch that provides etch selectivity relative to the substrate material (e.g., Si) to avoid damaging the top side 102 of the first substrate 101.

[0025] About 50% of the top side 102 of the first substrate 101 (e.g., a wafer) will generally be open (exposed) during the etch of the thick dielectric region 106. The resist is then stripped (e.g., a wet strip process). Following an appropriate pre-oxidation clean, in an oxidation step a thin (e.g., 0.3  $\mu\text{m}$ ) CMUT cell oxide can be grown to form thin dielectric regions 107.

[0026] FIG. 2A shows a cross sectional depiction of the CMUT device in-process after vacuum fusion bonding of a SOI substrate (e.g., SOI wafer) 115 comprising a handle (e.g., wafer) 116, buried dielectric layer 117 (generally referred to in the art as a "buried oxide layer" or "(BOX) layer") and membrane layer 120 (e.g., generally referred in the art of SOI as the "active layer"). The membrane layer 120 is bonded to the thick dielectric regions 106 of the first substrate 101.

[0027] The handle 116 being sacrificial can represent any suitable semiconductor wafer formed from any suitable material(s), such as undoped or lightly-doped (n or p-doped) silicon. The buried dielectric layer 117 also being sacrificial can be any suitable layer(s) of electrically insulative (dielectric) material(s), such as a silicon oxide layer. The membrane layer 120 represents any suitable layer(s) of substrate material(s), such as doped single crystal silicon. In

particular embodiments, the handle 116 comprises a silicon wafer with a resistance of about 5 to 10  $\Omega$ -cm, the buried dielectric layer 117 represents a silicon oxide layer that is about 1.5  $\mu$ m to 2.5  $\mu$ m thick, and the membrane layer 120 represents doped silicon with a resistance of about 5  $\Omega$ -cm is about 14  $\mu$ m  $\pm$  5  $\mu$ m thick. For electrical interconnection purposes between cells or elements, the membrane layer 120 can include a metal layer thereon which renders the pathway provided a low resistivity pathway.

**[0028]** However, as noted above, as an alternative to SOI substrates to reduce cost, a second substrate comprising a standard silicon bulk substrate material (e.g., bulk Si wafer) can be bonded to the thick dielectric regions 106 of the first substrate 101 (CMUT substrate/wafer). In this embodiment, after bonding, the second substrate material can be thinned by backgrinding and polishing to the desired target membrane thickness, such as 14  $\mu$ m  $\pm$  5  $\mu$ m thick.

**[0029]** For embodiments where the CMUT device/die includes a plurality of CMUT elements (a CMUT array), the membrane layer 120 can be electrically common for all the CMUT cells in each CMUT element. Each CMUT element can have a separate/unique top plate including a plurality of electrically connected movable membranes 120b for the cells within the elements, which can be electrically connected through a dedicated TSV accessible from the bottom side 103 of the first substrate 101. Low resistivity of the top plate of each CMUT element can be provided by subsequent metal deposition on the movable membrane 120b and patterning process steps as described below. Proper known bonding procedures including cleans and plasma pre-treatments can be used.

**[0030]** For vacuum fusion wafer bonding, as is commonly known in the art, attributes which ensure good wafer bonding include the bonding surfaces being smooth with a surface roughness typically less than 3A. Grown thermal oxide and silicon substrates generally satisfy this requirement. Prior to bonding the surfaces can be treated with an RCA clean (SC-1, where SC stands for Standard Clean), with a 1:1:5 solution of NH<sub>4</sub>OH (ammonium hydroxide) + H<sub>2</sub>O<sub>2</sub> (hydrogen peroxide) + H<sub>2</sub>O (water) at 75 or 80 °C typically for 10 minutes. The second RCA clean step is a short immersion in a 1:50 solution of HF + H<sub>2</sub>O at 25 °C, in order to remove the thin oxide layer and some fraction of ionic contaminants. The third and last step RCA clean (called SC-2) is performed with a 1:1:6 solution of HCl + H<sub>2</sub>O<sub>2</sub> + H<sub>2</sub>O at 75 or 80 °C. This treatment effectively removes the remaining traces of metallic (ionic) contaminants. A N<sub>2</sub> plasma activation and a DI water rinse can follow. The vacuum bonding is typically performed at a

pressure less than  $8 \times 10^5$  mbar. As a final step the bonded surfaces are annealed in N<sub>2</sub> for several hours, such a 4 hour 1050 °C N<sub>2</sub> anneal.

[0031] The handle 116 is then removed after bonding, such as by backgrinding the handle 116 to about a 150  $\mu\text{m}$  post-backgrind target, performing a second 4 hour 1050 °C anneal after backgrinding prior to a wet etch of the handle remaining after backgrind, and then wet etching the remaining handle. The handle remaining after backgrind when the handle 116 comprises silicon can be etched in a wet silicon etch, such as using a hydroxide (e.g., KOH or TMAH), stopping on the buried dielectric layer 117. Masking and etching the movable membrane (e.g., silicon plate) 120b removes the membrane layer 120 over the alignment marks to reopen the alignment marks and enable proper alignment for subsequent process steps. Since the membrane layer 120 is generally a relatively thick layer for etching (e.g., about 14  $\mu\text{m}$  thick), a Bosch etch can compensate for resist erosion during the etch of the membrane layer 120. As known in the art, the Bosch process, also known as pulsed or time-multiplexed etching, alternates repeatedly between two modes/phases to achieve nearly vertical etched structures.

[0032] FIG. 2B illustrates the CMUT device in-process after the masking level Mask “TSVHOLE” (Mask #2). The TSV hole (an embedded via) 219 in one particular embodiment is 30  $\mu\text{m}$  in diameter and 150  $\mu\text{m}$  deep (total depth). The resist 217 should be thick enough to support etching through the stack presented, such as a 1.1  $\mu\text{m}$  buried dielectric layer 117, plus a 14  $\mu\text{m}$  membrane layer 120, plus a 5.1  $\mu\text{m}$  thick dielectric region 106, plus 130  $\mu\text{m}$  into the first substrate 101. The TSV hole 219 is then etched. Separate etch tools can be used for etching the buried dielectric layer 117 (e.g. silicon oxide) and Bosch etch for etching the first substrate 101 in the case of silicon.

[0033] The resist 217 is then stripped and a dielectric liner 131 (e.g., a 0.5  $\mu\text{m}$  dielectric oxide) is deposited, or is thermally grown on the semiconductor surfaces within the embedded via/ TSV hole 219. A diffusion barrier metal layer formed on the dielectric liner 131 frames the TSVs and protects against escape of the TSV filler (or core) material 113 into the semiconductor in the case of highly mobile metal TSV filler materials, such as Cu. For example, in one particular embodiment a 0.0875  $\mu\text{m}$  Ta/TaN diffusion barrier metal layer then a 1.5  $\mu\text{m}$  Cu seed layer 233 (see FIG. 2C) is deposited on the dielectric liner 131 for copper TSV filler material 113 embodiments. Alternatively, the seed layer 233 can, for example, comprise copper over titanium.

[0034] FIG. 2C illustrates the CMUT device in-process after the masking level Mask "CUMOLD" (Mask #3) which uses resist 221. This mask can be used to exclude a metal such as Cu from plating over portions of the CMUT cell. Negative resist can be used to ensure the TSV is resist free after masking. The mask is useful since the movable membrane 120b (e.g., a Si plate) may be deflected by several microns and the subsequent Cu chemical mechanical polishing (CMP) step in the case of copper filled TSVs may not fully remove the copper over the CMUT cells.

[0035] A metal layer is then deposited, such as electroplating a TSV filler material 113, (e.g., about 15  $\mu\text{m}$  of Cu used) with a subsequent CMP to define the filled TSV hole 219 with the TSV filler material 113. The resist 221 is then stripped. FIG. 2D shows a cross sectional depiction of the CMUT device in-process after TSV filler material 113 deposition (e.g., Cu), CMP of the TSV filler material 113, where as shown the metal nail heads from the TSV filler material 113 on the seed layer 233 over and lateral to the embedded TSV 111' have been removed, and after dielectric liner 131 along with the buried dielectric layer 117 removal over the field area. An anneal step can follow. A second TSV CMP can be used to remove all remaining barrier metal if present (e.g. Cu +TaN). A wet strip may be used to clear residue over the CMUT cell. The dielectric liner 131 along with the buried dielectric layer 117 is then removed stopping on the membrane layer 120 (e.g., Si).

[0036] FIG. 2E illustrates the CMUT device in-process after deposition of a top side metal layer 161, such as 0.5 $\mu\text{m}$  thick AlCu metal layer. Top side metal layer 161 provides the membrane layer 120 plate metallization and connects the membrane layer 120 to the top side of the embedded TSV 111'. The masking level "ALTOP" (Mask #4) that uses resist 223 is shown which allows etching to define the top side metal layer 161 (e.g., AlCu layer) over the CMUT element(s). The ALTOP CDs can be smaller (e.g., 1 $\mu\text{m}$ /side smaller) than the final to plate dimension. Patterning the top side metal layer 161 before patterning the movable membrane 120 (e.g., Si plate) avoids both top side metal layer 161 and resist step coverage issues. The top side metal layer 161 can be wet etched from the field area using the openings in the resist 223. The resist 223 is then stripped off.

[0037] FIG. 2F illustrates the CMUT device in-process after patterning of resist 225 using mask level "PLATESI" (Mask #5). The resist 225 can completely encapsulate the

patterned top side metal layer 161 (e.g., AlCu layer). This mask can be larger (e.g., 1 $\mu$ m/side larger) than the AlCu (ALTOP) mask described above.

[0038] The membrane layer 120 is then etched using resist 225 to separate the CMUT elements for CMUT devices having a plurality of CMUT elements and form the movable membrane 120b shown. The etch of the membrane layer 120 stops on the thick dielectric regions 106. A Bosch etch with a short cycle can be used to minimize side wall scalloping. The etch should be configured to not be reentrant. The resist 225 can then be stripped off.

[0039] FIG. 2G illustrates the CMUT device in-process after depositing a dielectric passivation layer 168, such as about a 0.2  $\mu$ m plasma tetraethyl orthosilicate (TEOS) derived silicon oxide layer, then a 0.2  $\mu$ m plasma nitride passivation layer, in one particular embodiment. The dielectric passivation layer 168 is shown covering the sidewalls of the movable membrane 120b. Depending on the CMUT application a thicker passivation stack can be used. A final alloy can be performed, such as at 400 °C in N<sub>2</sub>+H<sub>2</sub> (forming gas).

[0040] FIG. 2H illustrates the CMUT device in-process after optionally bonding the in-process CMUT substrate (e.g., wafer) to a temporary carrier wafer 180 using an adhesive 172, backgrinding then exposing the TSV on the bottom side 103 of the first substrate 101, and forming protruding TSV tips 111a. The backgrind can thin the in-process CMUT substrate (e.g., wafer) close to the embedded TSV, and can remove all bottom side films, and in one particular embodiment about 550  $\mu$ m of a 725  $\mu$ m thick Si substrate for first substrate 101 leaving about 175  $\mu$ m. The bottom side 103 of the first substrate 101 can be etched to expose the TSV tips 111a leaving first substrate 101 to be about 100  $\mu$ m thick. One can utilize a XeF plasma etch or wet Si etch, so that the dielectric liner 131 and the barrier metal (if present) are removed to form a TSV tip 111a having exposed TSV filler material 113 for the TSV 111. A plasma etch of the dielectric liner 131 and barrier metal will generally leave dielectric (e.g., oxide) spacers on the sidewalls of the TSVs 111 and 112.

[0041] A metal layer is then deposited on the bottom side 103 of the first substrate, such as a Ti/Ni/Ag layer in one specific embodiment (e.g., 1000 $\text{\AA}$  Ti + 2800 $\text{\AA}$  Ni + 1500 $\text{\AA}$  Ag). The bottom side 103 of first substrate 101 can be cleaned before the metal layer 167 deposition. A pre-sputter etch of about 300 $\text{\AA}$  may be used. A masking level TSVEXP" (mask #6) can then be used to protect areas of the metal layer 167 while it is stripped from the TSV tip 111a. The CMUT device 100 shown in FIG. 1B described above results (the Cu seed layer 233 not shown

in FIG. 1B) after patterning to form a patterned metal layer 167 on the bottom side 103 of first substrate 101, and stripping of the mask 6 resist. Following dicing (singulation), the resulting CMUT die can be packaged, such as bonded on top of a control die.

**[0042]** FIG. 3 shows an example CMUT device (die) 300 including a plurality of CMUT elements 301-306, with each capacitive CMUT element including four of the CMUT cells 100a in FIGS. 1A and 1B shown as CMUT cells 100a-100d connected together within the element, according to an example embodiment. As described above, the top electrodes can be separate for each CMUT element allowing separate addressing of the respective elements using a single TSV for each element, where there is common bottom side electrode (e.g., a solid sheet of Si) for all CMUT elements on the device. In other embodiments, the CMUT device has a common top electrode for each element and an individual bottom electrode for each element to allow separate addressing of the respective elements.

**[0043]** Although the CMUT device 300 is shown having six CMUT elements 301-306 with each CMUT element including four CMUT cells 100a-100d, disclosed CMUT devices can have any number of CMUT elements, each having any number of CMUT cells. The CMUT elements 301-306 can be electrically isolated from one another, connected in parallel on the die or off the die (e.g., by connecting their respective TSVs 111 to a common source) to reduce the impedance (for driving), or can be connected in series (on or off the die) to increase the impedance (for sensing). The respective CMUT elements can be driven/sensed differentially to improve common mode signals or mitigate manufacturing asymmetries.

**[0044]** Advantages of disclosed CMUT devices include the full process using as few as 4 mask levels. Other advantages include enabling smaller die size without the need for conventional bond pads which increase die size and require wire bonding to the couple to the ultrasonic transmitting surface (movable membrane 120b) on the top side of the CMUT device. Disclosed CMUT devices also simplify the packaging operation resulting in easy coupling to the transmitting medium, which reduces the packaging cost. Disclosed CMUT devices also facilitate the option of stacking the CMUT die on a control die since both electrodes are contacted from the bottom side of the CMUT device.

**[0010]** Disclosed embodiments can be used to form semiconductor die that may integrated into a variety of assembly flows to form a variety of different devices and related products. Those skilled in the art will appreciate that modifications may be made to the

described embodiments, and also that many other embodiments are possible, within the scope of the claimed invention.

## CLAIMS

What is claimed is:

1. A capacitive micromachined ultrasonic transducer (CMUT) device including at least one CMUT element with at least one CMUT cell, said CMUT cell including:

    a first substrate including a top side including a patterned dielectric layer thereon including a thick dielectric region and a thin dielectric region;

    a membrane layer bonded on said thick dielectric region and over said thin dielectric region providing a movable membrane over a microelectromechanical system (MEMS) cavity;

    a through-substrate via (TSV) including electrically conductive TSV filler material extending from a bottom side of said first substrate to a top surface of said membrane layer including a dielectric liner therein;

    a top side metal layer including a first portion over said TSV, over said movable membrane, and coupling said TSV to said movable membrane, and

    a patterned metal layer on said bottom side of said first substrate including a first patterned layer portion contacting said bottom side of said first substrate lateral to said TSV.

2. The device of claim 1, further comprising at least one dielectric passivation layer on a top of said CMUT device including over said top side metal layer.

3. The device of claim 1, wherein said CMUT device includes a plurality of said CMUT cells, and wherein all of said plurality of CMUT cells have a common bottom electrode provided by coupling cell-to-cell through said first substrate.

4. The device of claim 1, wherein said CMUT device includes a plurality of said CMUT elements, wherein each of said plurality of said CMUT elements include a plurality of said CMUT cells, wherein all of said movable membranes in each of said plurality of said CMUT elements are connected together so that said movable membranes in each of said plurality of said CMUT elements are all addressable by contacting said TSV.

5. The device of claim 1, wherein said membrane layer comprises single crystal silicon.
6. The device of claim 1, wherein said TSV filler material comprises copper, and said plurality of TSVs include protruding TSV tips that protrude from said bottom side of said first substrate.
7. The device of claim 1, wherein said first substrate has a resistivity less than or equal to ( $\leq$ )  $0.1\Omega\text{-cm}$ .
8. The device of claim 1, wherein said membrane layer is vacuum fusion bonded to said thick dielectric region.
9. A method of forming a capacitive micromachined ultrasonic transducer (CMUT) device including at least one CMUT element with at least one CMUT cell, comprising:
  - forming a patterned dielectric layer including a thick dielectric region and a thin dielectric region on a top side of a first substrate,
  - bonding a second substrate to said thick dielectric region to provide at least one sealed microelectromechanical system (MEMS) cavity;
  - thinning said second substrate to reduce a thickness of said second substrate to provide a membrane layer;
  - etching an embedded via through said membrane layer, through said thick dielectric region, and extending from said top side of said first substrate into said first substrate;
  - forming a dielectric liner which lines said embedded via along a surface of said first substrate and along said membrane layer;
  - filling said embedded via with an electrically conductive TSV filler material to form an embedded through-substrate via (TSV) extending to at least a top of said membrane layer;
  - forming a patterned top side metal layer including a first portion over a top of said membrane layer and over a top of said embedded TSV;
  - etching said membrane layer to define a movable membrane over said MEMS cavity;
  - exposing said TSV on a bottom side of said first substrate, and

forming a patterned metal layer on said bottom side of said first substrate including a first patterned layer portion contacting said bottom side of said first substrate lateral to said TSV.

10. The method of claim 9, wherein said bonding said second substrate comprises bonding said membrane layer of a semiconductor on insulator (SOI) substrate having a handle opposite said membrane layer and a buried dielectric layer in between said handle and said membrane layer, said thinning said second substrate comprises removing said handle of said SOI substrate, further comprising removing said buried dielectric layer after said filling said embedded via.

11. The method of claim 9, wherein said bonding comprises vacuum fusion bonding.

12. The method of claim 9, wherein said forming a patterned dielectric layer comprises a high pressure oxidation (HiPOx) growth process.

13. The method of claim 9, wherein said CMUT device includes a plurality of said CMUT cells, and wherein all of said plurality of CMUT cells have a common bottom electrode provided by coupling cell-to-cell through said first substrate.

14. The method of claim 9, wherein said membrane layer comprises single crystal silicon.

15. The method of claim 9, wherein said TSV filler material comprises copper, further comprising forming protruding TSV tips for said TSV that protrude from said bottom side of said first substrate.

16. The method of claim 9, further comprising depositing at least one dielectric passivation layer on a top of said CMUT device including over said patterned top side metal layer.

17. The method of claim 9, wherein said CMUT device includes a plurality of said CMUT elements, wherein each of said plurality of said CMUT elements include a plurality of said CMUT cells, wherein all of said movable membranes in each of said plurality of said CMUT

elements are connected together so that said movable membranes in each of said plurality of said CMUT elements are all addressable by contacting said TSV.

18. The method of claim 9, wherein said first substrate has a resistivity less than or equal to ( $\leq$ ) 0.1  $\Omega$ -cm.

19. A method of forming a capacitive micromachined ultrasonic transducer (CMUT) device including at least one CMUT element with at least one CMUT cell, comprising:

forming a patterned dielectric layer including a thick dielectric region and a thin dielectric region on a top side of a first substrate;

bonding a membrane layer of a semiconductor on insulator (SOI) substrate having a handle opposite said membrane layer and a buried dielectric layer in between said handle and said membrane layer to said thick dielectric region to provide at least one sealed microelectromechanical system (MEMS) cavity;

removing said handle of said SOI substrate;

etching an embedded via through said membrane layer, through said thick dielectric region, and extending from said top side of said first substrate into said first substrate;

forming a dielectric liner which lines said embedded via along a surface of said first substrate and along said membrane layer;

filling said embedded via with an electrically conductive TSV filler material to form an embedded through-substrate via (TSV) extending to at least a top of said membrane layer;

removing said buried dielectric layer;

forming a patterned top side metal layer including a first portion over a top of said membrane layer and over a top of said embedded TSV;

etching said membrane layer to define a movable membrane over said MEMS cavity;

exposing said TSV on a bottom side of said first substrate, and

forming a patterned metal layer on said bottom side of said first substrate including a first patterned layer portion contacting said bottom side of said first substrate lateral to said TSV.

20. The method of claim 19, wherein said membrane layer comprises single crystal silicon.

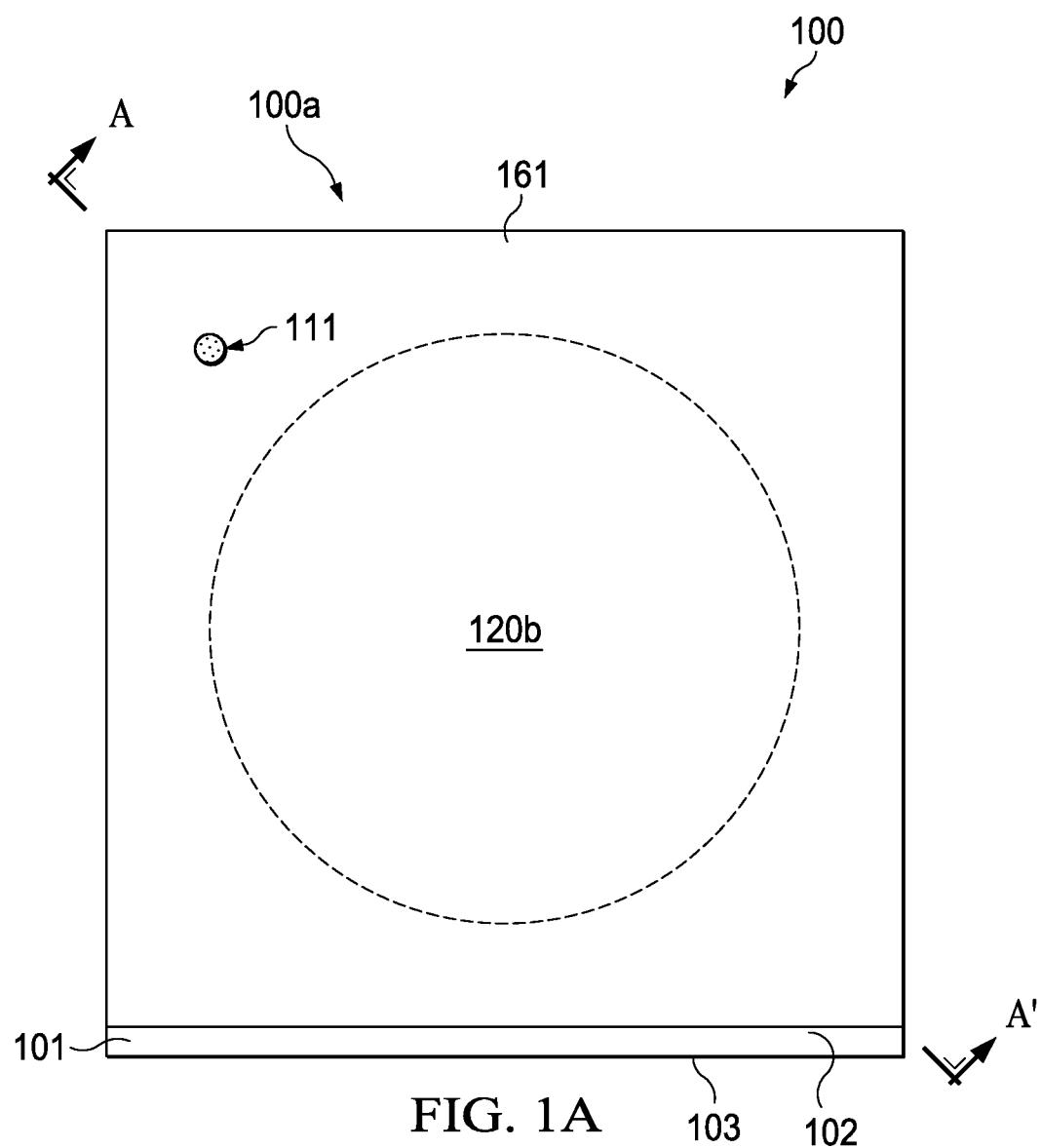


FIG. 1A

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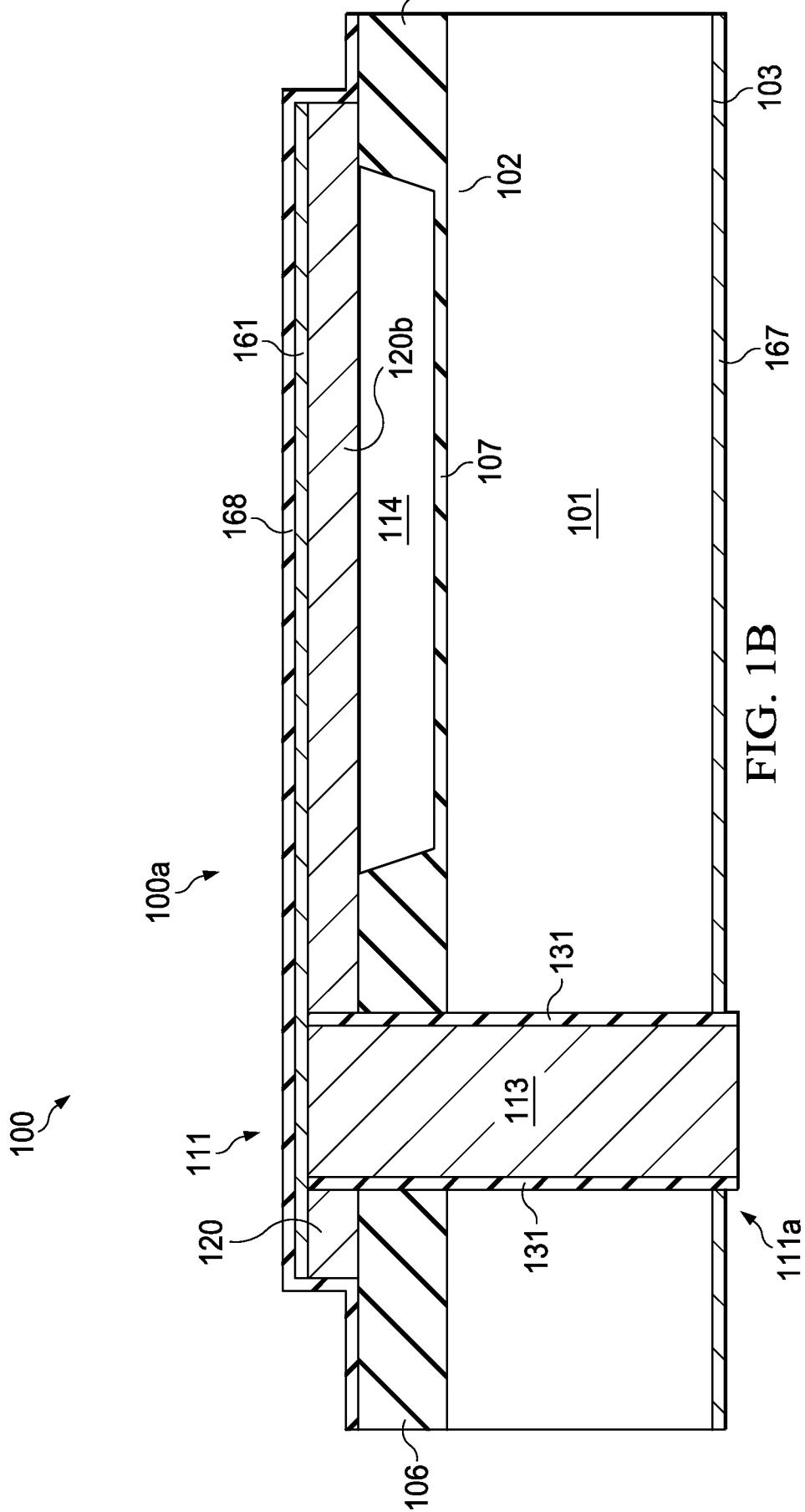


FIG. 1B

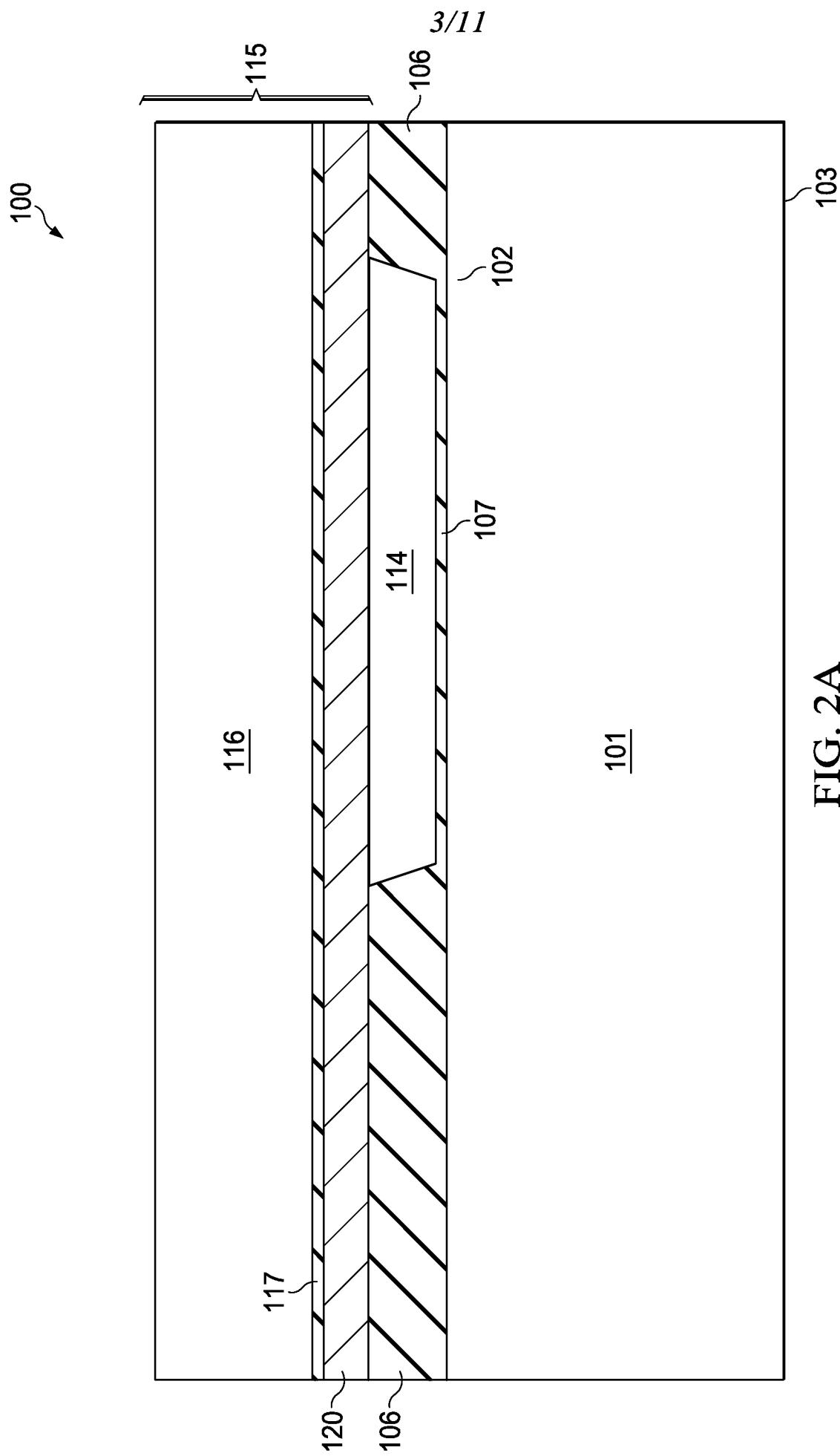


FIG. 2A

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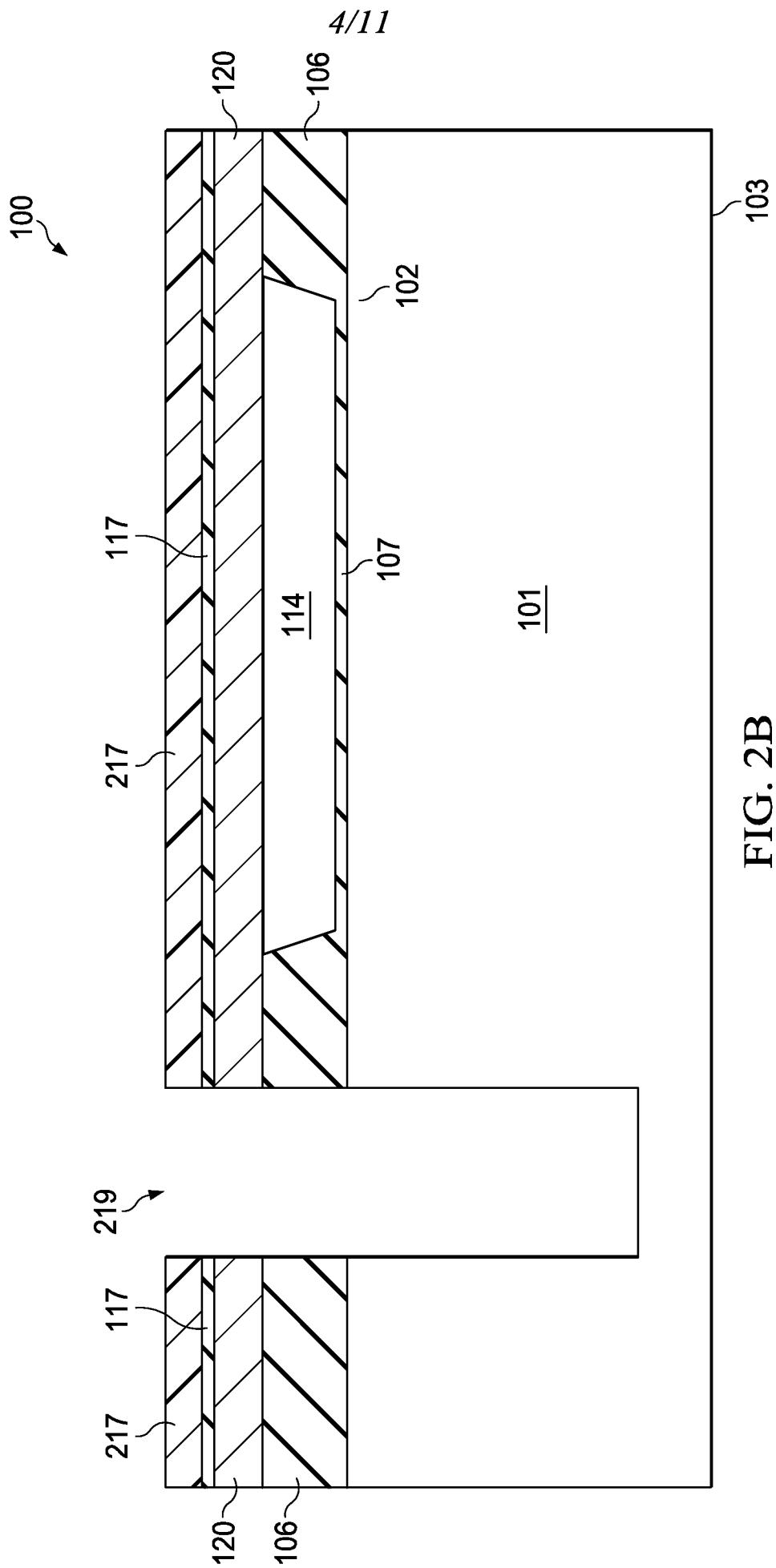


FIG. 2B

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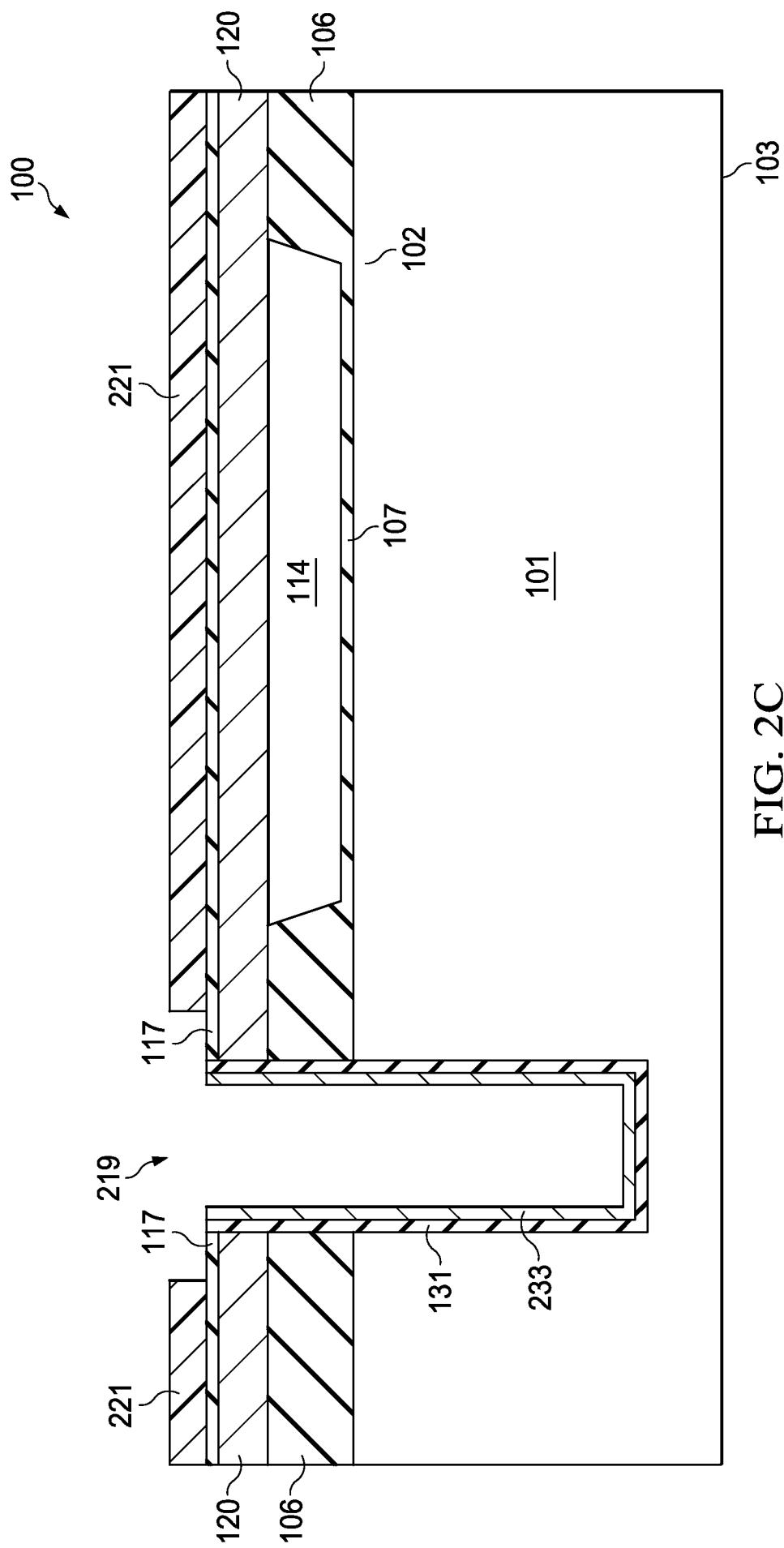


FIG. 2C

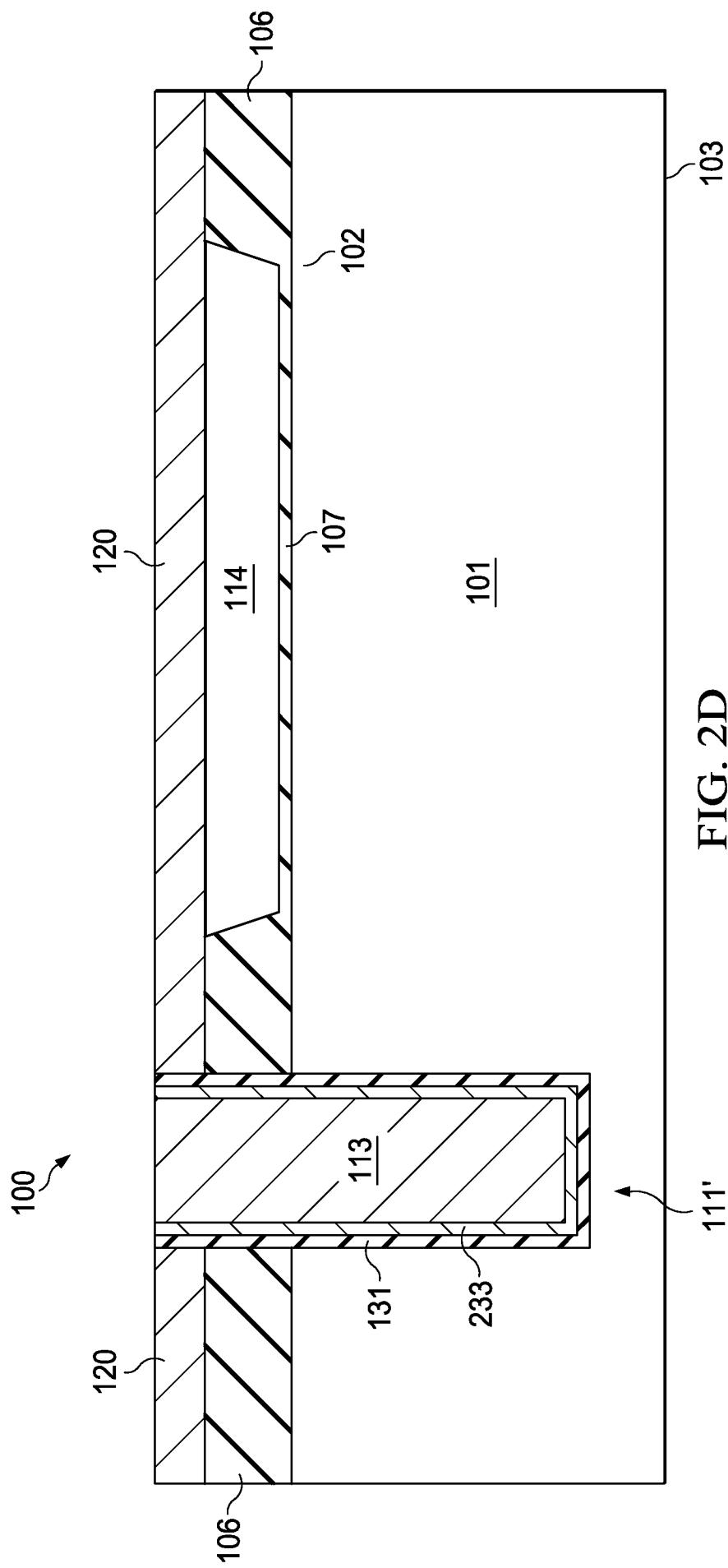


FIG. 2D

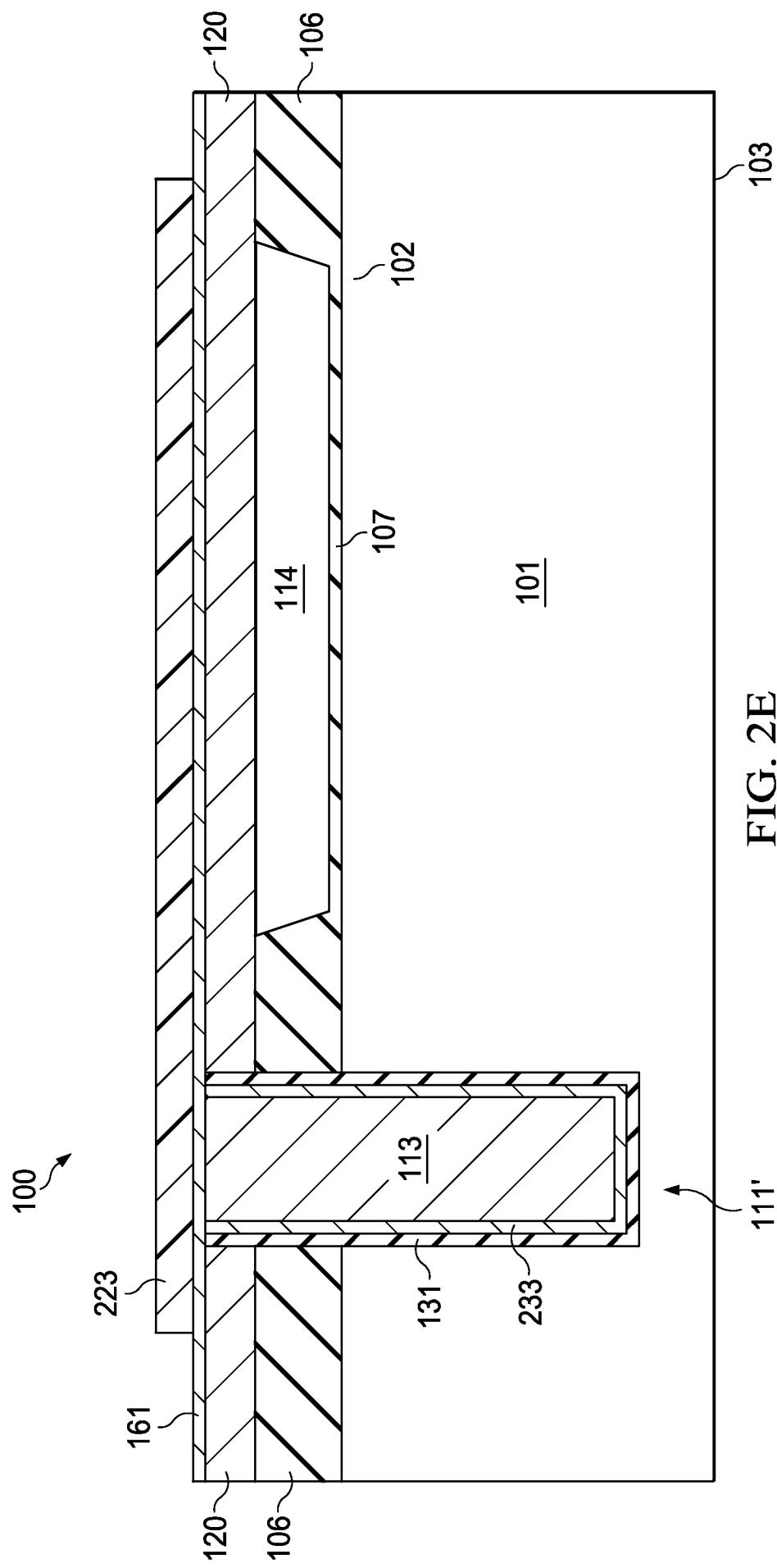


FIG. 2E

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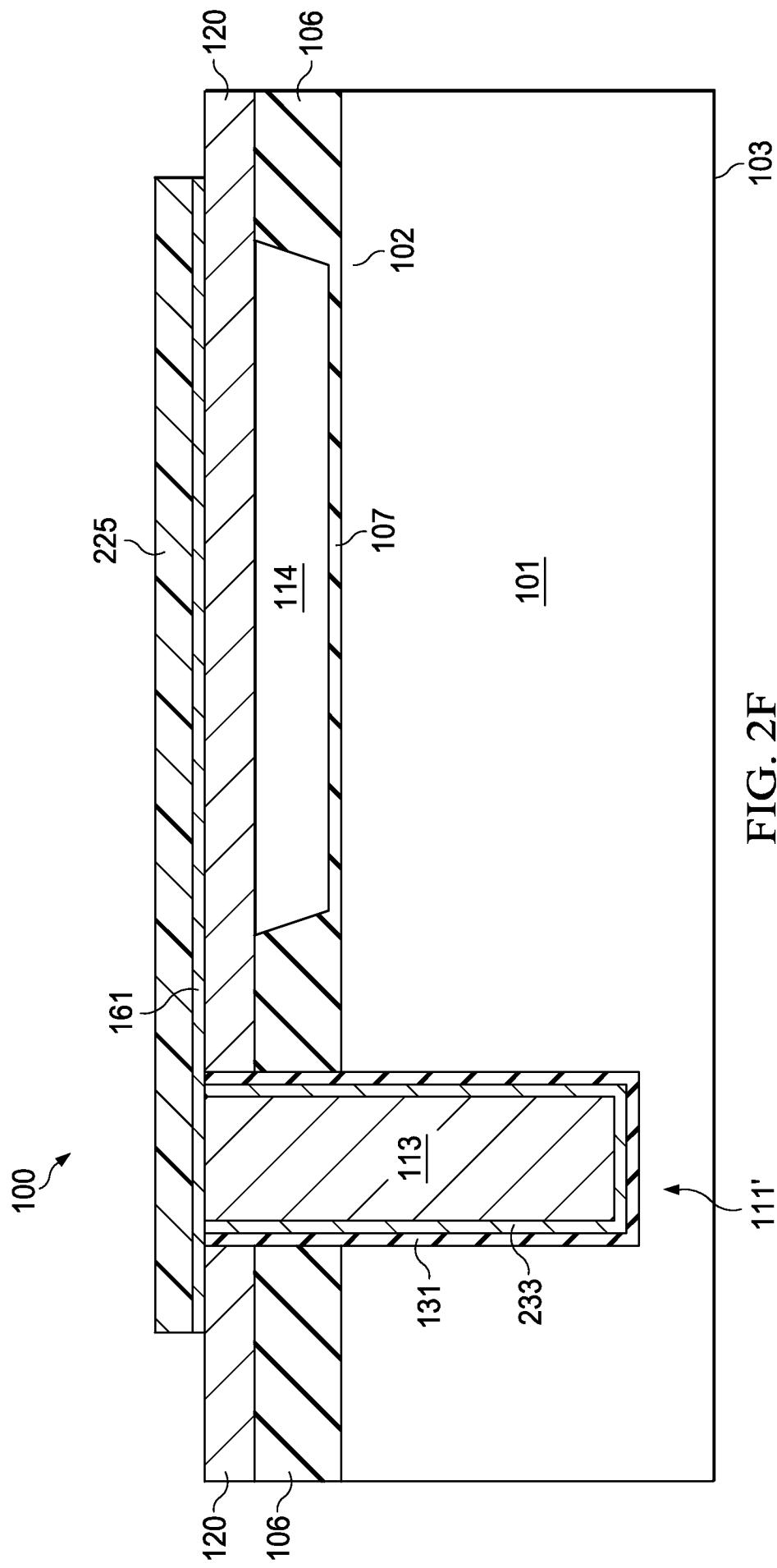


FIG. 2F

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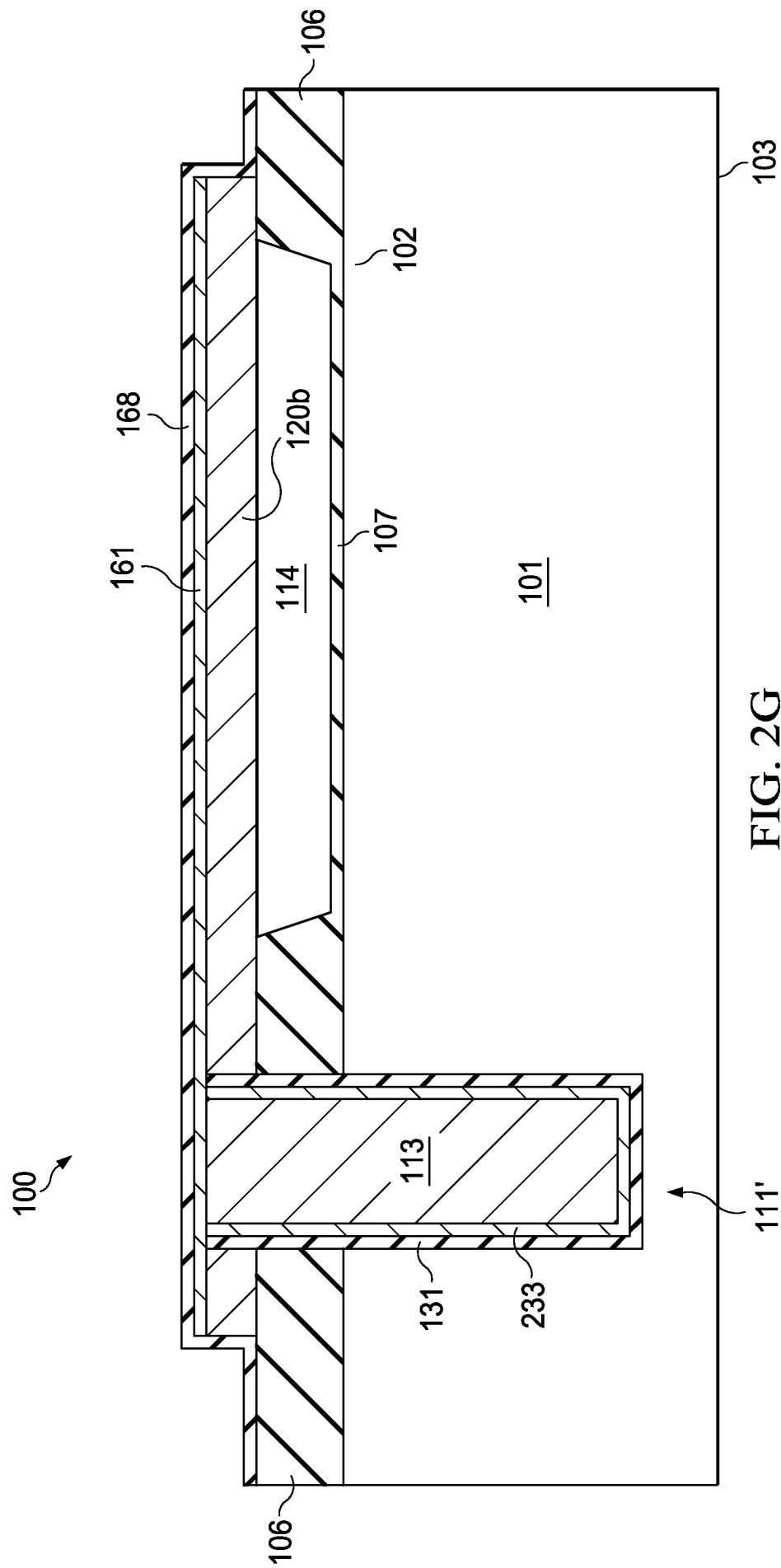
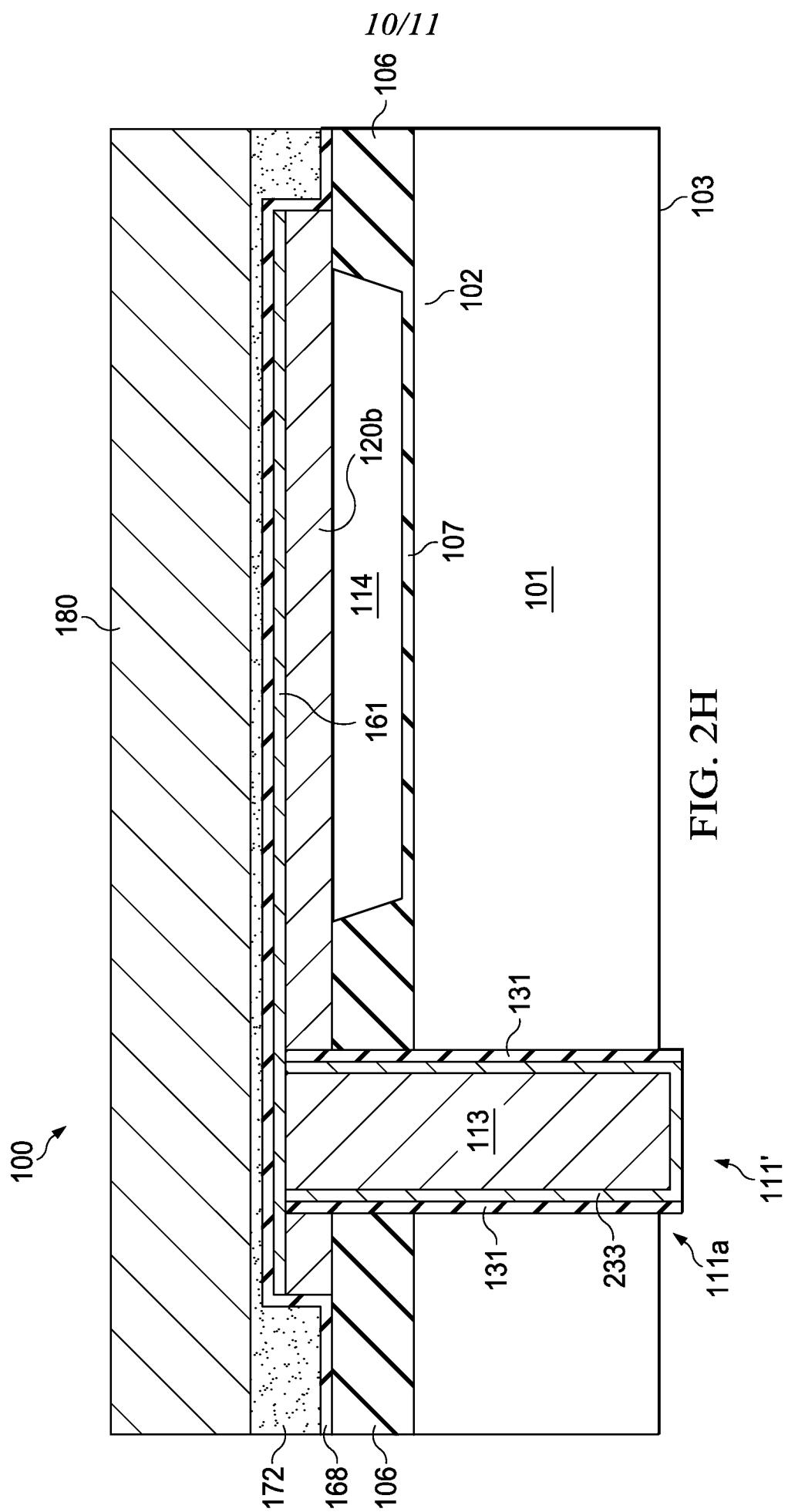
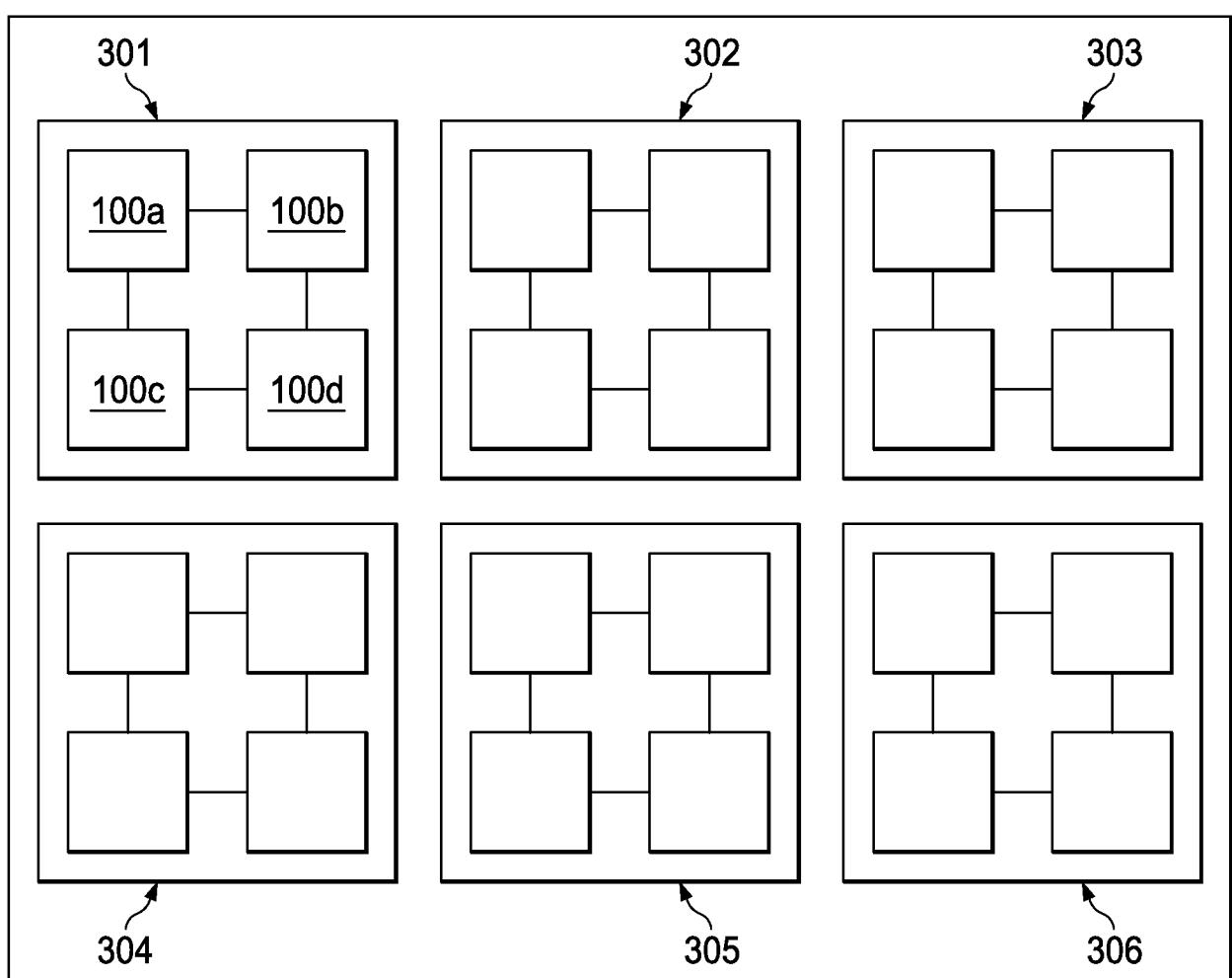


FIG. 2G



300

FIG. 3



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 2014/019011

## A. CLASSIFICATION OF SUBJECT MATTER

**A61B 8/00 (2006.01)**  
**H01L 29/84 (2006.01)**  
**H01L 21/02 (2006.01)**

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A61B 8/00, H01L 29/84, H01L 21/02

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Patentscope, USPTO DB, Espacenet, DWPI, CIPO (Canada PO), SIPO DB, AIPN, DEPATISnet, VINITI.RU, SCSML.FSSI.RU, PatSearch (RUPTO internal)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 2012/0187508 A1 (TEXAS INSTRUMENTS INCORPORATED) 26.07.2012, abstract, paragraphs [0006], [0021], [0027], [0028], [0037], [0038], [0044], [0052], fig. 5, claim 10	1-20
Y	US 2011/0187000 A1 (TEXAS INSTRUMENTS INCORPORATED) 04.08.2011, paragraphs [0007], claim 1, fig. 1	1-20
Y	US 6312581 B1 (AGERE SYSTEMS OPTOELECTRONICS GUARDIAN CORP.) 06.11.2001, col. 1, lines 34-37, col. 5, lines 30-31	7, 12, 18

Further documents are listed in the continuation of Box C.

See patent family annex.

* Special categories of cited documents:	“T” later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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“E” earlier document but published on or after the international filing date	“Y” document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
“L” document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	“&” document member of the same patent family
“O” document referring to an oral disclosure, use, exhibition or other means	
“P” document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

07 July 2014 (07.07.2014)

Date of mailing of the international search report

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