Title: BIOPOLYMER BASED BARRIER MATERIAL AND METHOD FOR MAKING THE SAME

Abstract: The present invention relates to a biopolymer based barrier coating composition wherein said biopolymer based barrier coating composition comprises a plasticizer, a nano-sized clay and a biopolymer comprising a native starch and/or a de-graded starch and/or a chemically modified starch. The present invention also relates to a method for preparing the biopolymer based barrier coating composition as well as to a method for coating a cellulose based substrate with the biopolymer based barrier coating composition. Finally, the present invention relates to a cellulose based substrate coated with said biopolymer based barrier coating composition.
BIOPOLYMER BASED BARRIER MATERIAL AND METHOD FOR MAKING THE SAME

TECHNICAL FIELD OF THE INVENTION
5 The present invention relates to biopolymer based barrier coating compositions with improved properties for providing barrier coatings on cellulose based substrates, e.g. paper and paperboard, as well as paper and paperboard provided with such coating.

It also relates to a method for preparing a biopolymer based barrier coating composition and to a method for preparing cellulose based substrates with barrier properties.

BACKGROUND OF THE INVENTION
Barrier coatings are used in paper and paperboard packaging to provide barrier properties to paper and paperboard by reducing or eliminating the permeability of gases through the material and/or the absorption of liquids in the fiber structure.

Barrier coatings are required to prevent the egress from the package of flavors, aromas and other ingredients of the packaged product as well as to prevent the ingress into the package of oxygen, moisture, grease and other contaminants that might deteriorate the quality of the packaged product. Oxygen and water vapour are the gases for which barriers are normally tested but the barriers are useful for other gases as well, including carbon dioxide.

Various coatings have been applied to paper or paperboard substrates to provide composite materials that may be used for various purposes. Polymer dispersions or latexes have become attractive in recent years as a replacement for petroleum-based plastics for use as barrier materials. Barrier dispersions can be applied using conventional coating techniques, both online and off-line. Common applications of dispersion coatings are corrugated board, sacks, disposables, frozen and chilled food cartons, ream wrappings for copy paper, electronic packages and wallpaper base. The most commonly used latexes consist of polymers or copolymers of styrene, butadiene, acrylates, vinyl acetate and polyolefins dispersed in water. Several additives are used to reach the desired level of consistency, durability and runnability, e.g. colloidal stabilizers, thickeners, waxes, antifoaming agents, biocides and pesticides.

35 There is a need for biopolymer based barrier-coating compositions which are easy and inexpensive to produce, which have good barrier properties with respect to moisture,
gas and grease and which have low brittleness. There is also a need for barrier coating compositions which can easily be separated from the cellulose fibres in recycling and repulping processes.

5 Natural polymers or biopolymers that come from renewable sources show many interesting properties in terms of film forming ability and resistance to oxygen and grease. However, the moisture sensitivity of biopolymers makes them inappropriate as barrier films for food packaging applications.

10 Another disadvantage of barriers based on natural polymers is the brittleness of the coatings, i.e. the sensitivity of barrier properties to mechanical stress applied in converting operations. Cracking of the barrier film causes the barrier properties to be lost.

15 The patent document WO 00/40404 describes coated films with improved barrier properties and relates to coating compositions which use a polymeric binder and a nanoscale particle size additive to provide improved moisture barriers. The area concerned is thermoplastic films and the coating compositions are suited for application to polypropylene and polyethylene films in order to improve the barrier characteristics of said polypropylene and polyethylene films and thereby making them acceptable for food packaging applications. The polymers used are not biopolymers and the intention is not to replace petroleum-based plastic films with more environmentally friendly coatings that provide sufficient barrier protection to paper or paperboard.

20 The patent document EP 1 736 504 describes improvement of barrier properties of a water soluble gas barrier material by adding nanoparticles of calcium carbonate. The polymers used are synthetic polymers and not biopolymers and the purpose is to improve oxygen barrier properties, not water vapour barrier properties.

30 The patent document WO 03/078734 describes a composition for surface treatment of paper by use of nanoparticles of synthetic layered silicates or precipitated calcium carbonate in a carrier fraction comprising plate-like pigment particles (talc and/or kaolin) and a binder such as a polymer latex (styrene-butadiene). The purpose is to improve the printing properties of paper, not to provide paper with improved barrier properties. Starch is mentioned as a surface sizing agent in order to improve the strength of the paper surface.
A study by Thang, X, Alavi, S and Herald, T, (Carbohydrate Polymers 74 (2008) 552-558 [available online 22 April 2008]) considers corn starch with glycerol (0-20 wt%), urea (15 wt%) or formamide (15 wt%) as plasticizer. Montmorillonite clay is added to 6 wt%. Both the plasticizer and especially the clay concentrations are lower than in our 'most preferred' formulation. Furthermore, the materials were mixed by a twin-screw extruder, followed by grinding and dispersion of the ground material in water. Finally, the water dispersion was cast to self-supporting films. The WVTR was measured at 25°C and 75% RH.

A study by Kampeerapappum, P, Aht-ong, D, Pentrakoon, D and Srikulkit, K, (Carbohydrate Polymers 67 (2007) 155-163 [available online 23 June 2006]) refers to cassava starch in combination with chitosan (0-15% of the dry amount of starch). Chitosan is used as a compatibilizing agent to get a homogeneous dispersion of montmorillonite clay in the starch matrix. Clay was added at a concentration of 0-15 wt% of the dry amount of starch. Glycerol was used as a plasticizer. Self-supporting films with a thickness of about 70 µm were cast from the aqueous dispersion. WVTR was measured at 38°C and 90% RH and values of 1000-2000 g/m²-d were reported. These values are 10-20 times higher than for paper coatings, measured under the same conditions (Example 6 below).

In a study by Cyras, VP, Manfredi, LB, Ton-That, M-T and Vazquez, A, (Carbohydrate Polymers 73 (2008) 55-63 [available online 22 November 2007]) native starch (not chemically modified) is used in combination with 0-5 wt% Na-Cloisite. Self-supporting films were cast from water solution and the resulting film thickness was 250 µm. The equilibrium water uptake and water absorption rate was measured, but the article do not report any measurement of water vapour barrier properties.

SUMMARY OF THE INVENTION
It is an object of the present invention to overcome or at least minimize at least one of the drawbacks and disadvantages of the above described prior art. This can be obtained by providing barrier coatings based on natural polymers with improved barrier properties for coating of cellulose based substrates, e.g. paper and paperboard.

The barrier coatings of the present invention are easily applied as water-borne dispersions on paper and paperboard, are environmentally safe, have excellent film-forming properties and has competitive barrier properties with respect to oxygen, grease
and moisture. The barrier films formed by the applied dispersion show an intermediate brittleness and therefore some resistance to mechanical stress.

Starch from potato is an example of a biobased polymer with several interesting features. It is renewable, highly available at low price, approved for food contact and has potential for chemical modification. Starch can be native starch, degraded and/or chemically modified.

Chemically modified starch can easily be applied as water-borne dispersions on paper and paperboard and shows excellent film-forming properties. The film formed has in general good resistance to grease and oxygen but is highly moisture sensitive.

In the present invention oxidized, hydroxypropylated potato starch was chosen as the biopolymer. Other possible biobased polymer materials could be starch from other plant sources (e.g. wheat or corn); starch with other types of chemical modification, or cellulose derivatives.

It has been found that addition of nanoparticles are very helpful in lowering the moisture sensitivity of the barrier film. In nanotechnology, a particle is defined as a small object that behaves as a whole unit in terms of its transport and properties. It is further classified according to size. Nanoparticles have one dimension in the range between 1 and 100 nanometers and may or may not exhibit size-related properties that differ significantly from those observed in fine particles or bulk materials. Although the size of most molecules would fit into the above outline, individual molecules are usually not referred to as nanoparticles.

Nanoparticles are, in the present invention, defined as having a size below 100 nm and can be either inorganic (silicates, metal oxides) or organic (polymers, dyes). In the present invention nano-sized clay particles, hereinafter called nanoclays, have been investigated.

Clay is a generic term which encompasses a well-documented range of minerals; some pertinent examples of which include the kaolinite group, the talc group, the smectite group (which includes montmorillonite, hectorite, saponite and their associated impurities), the vermiculite group, the illite group, the chlorite group and the mica/brittle mica group. It is important to recognize the important group called bentonites. Bentonites are impure smectites, particularly montmorillonite, which contain
ancilliary minerals such as quartz, cristabolite, feldspar, mica, illite, calcium carbonate and titania. Commercial bentonites are the most common source of montmorillonite which is a layered clay mineral with an aluminosilicate structure having a hydrophilic character. Through surface modification, swelling clays can be made organophilic, which makes them more compatible and more easily dispersible in organophilic polymers. The process replaces the naturally occurring Na⁺-ions in the swelling clay galleries with organic cations, e.g. alkylammonium or alkylphosphonium (onium) surfactants.

The nanoclays used in the present invention have ion exchange capacities and belong to the bentonite type of clays, more precisely sodium montmorillonite and calcium montmorillonite and blends thereof. Other possible nanoclays would be multivalent- or organic cation exchanged grades and inorganic cation-exchanged clays, as well as other clays which can be purified and suitably ion exchanged, all of which are available from commercial suppliers and as samples or gifts from deposits all around the world.

The relationship between surface diameter and thickness of the nanoclay particles is defined as the aspect ratio. Typically, commercial nanoclays have aspect ratios between 50 and 1000, which is much larger than for typical clay pigments (10-30) used in conventional paper or paperboard coating. The large aspect ratio of nanoclays makes them effective for barrier improvement even at very low (<5% by weight) concentrations. Higher weight additions may be difficult from a processing perspective, because the viscosity of the dispersions increases significantly at increased loads of clay. The use of nanoparticles in paper and paperboard coating is thus advantageous, particularly given that less material is required (thinner coating layers) to reach the desired barrier or mechanical properties. Less material use leads to reduced costs and reduced amounts of waste.

However, a disadvantage with the addition of nanoclay is that nanoclay exacerbates the brittleness of the film. To overcome the problems with brittleness of starch films and cracking of the barrier film it has been found that addition of plasticizers to the barrier composition increases the film flexibility and maintains the protective properties. The barrier and mechanical properties are however strongly affected by the nature and amount of the plasticizer. It has also been found that the relative proportions between chemically modified starch, nanoclay and plasticizer can be adjusted to meet the requirements set on processability of the formulation for industrial scale application.
The plasticizer molecules (often short-chain, low-molecular-weight polymers or oligomers) arrange themselves between the polymer chains such that the intermolecular hydrogen bonding is disrupted, hence giving films with less stiffness. The most commonly used plasticizer for starch and proteins is glycerol. Other hydrophilic plasticizers include sorbitol, polyethylene glycol (PEG) and polypropylene glycol (and mixtures thereof), polyvinyl alcohol (PVOH), amino acids, amides, di- and triethanolamine. Organophilic (hydrophobic) plasticizers, such as diacetin, triacetin and tributyrin, can also be used. The plasticizer can also be a mixture including both hydrophilic and organophilic plasticizers. The plasticizer not only affects the properties of the polymer matrix, it also interacts with the clay particles and thereby influences their orientation in the coating layer.

Multilayer coating is more effective than just increasing the coat weight. The coverage of the substrate surface is increased by multilayer coating. Calendering of the paper or paperboard improves the barrier properties by elimination of coating defects.

The biopolymer barrier coating dispersion can be applied on uncoated surfaces as well as on pre-coated surfaces which consist of a pigment coating, a biopolymer based coating, a dispersion barrier coating or laminates thereof.

The ordering of clay platelets in the coating is affected by plasticizer type, application strategy and post-treatment and will affect the final barrier properties.

**BRIEF DESCRIPTION OF THE DRAWINGS**

The invention will in the following be described more detailed with reference to the appended drawings, in which:

Figure 1 shows XRD patterns for the coated samples presented in Table 3a. The sample designation G refer to glycerol and PEG to polyethylene glycol. The values within brackets are the concentration of plasticizer in pph.

Figure 2 shows various sequences of mixing the three components of the biopolymer based coating composition of the present invention.

Figure 3 shows flow curves for OHP starch-plasticizer-Na/Ca-Cloisite formulations at 60°C and where the figure legends refer to the Na/Ca-Cloisite ratio.

**DETAILED DESCRIPTION**

The following description is of the best mode for practicing the invention. The description is not to be taken in a limiting sense, but is made merely for the purpose of
describing the general principles of the invention. The scope of the invention should be ascertained with reference to the issued claims.

The present invention describes the performance of water-based coating formulations of oxidized, hydroxypropylated starch, henceforth called OHP starch, plasticized with glycerol or polyethylene glycol or sorbitol or triacetin to which different loads of sodium montmorillonite and/or calcium montmorillonite has been added. The plasticizer was added to reduce the brittleness of the coating. The goal is to get an aqueous coating suspension which has an appropriate viscosity for use in conventional coating applicators. Applying the water-based composition on the paper or paperboard surface comprises the steps of preparing an aqueous based dispersion comprising a biopolymer, a plasticizer and a nanoclay; coating the cellulose based substrate with the dispersion and allowing the dispersion to dry on the substrate.

The sodium montmorillonite and calcium montmorillonite used in the present invention have the trade names Na-Cloisite and Ca-Cloisite, respectively, and will be the terms henceforth used.

**Example 1. Effects of chemicals on formulation viscosity**

Na-Cloisite was dispersed in deionized water in a dispergator using a Cowles propeller operated at a speed of 1000 rpm. Two different concentrations of clay were used: 1) low concentration to get a completely delaminated clay, 2) higher concentration to get as high solids content as possible in the formulations. The viscosity was measured by a Brookfield viscometer operated at 100 rpm (Table 1).

<table>
<thead>
<tr>
<th>Na-Cloisite</th>
<th>Solids, %</th>
<th>Viscosity, mPas</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dispersion 1</td>
<td>4.7</td>
<td>64</td>
</tr>
<tr>
<td>Dispersion 2</td>
<td>9.5</td>
<td>4710</td>
</tr>
</tbody>
</table>

Due to the formation of a thick paste at high loads of clay, 9.5% by weight indicates the upper concentration limit of a Na-exchanged clay but not necessarily the upper concentration limit of other clay with other cations (both inorganic and organic) on the exchange sites.

OHP starch was cooked to 20% concentration.

Glycerol was used as plasticizer and was added to the cooked starch at a constant level of 30 parts per 100 parts of OHP starch on dry basis. Na-Cloisite dispersion 1 or 2 was
added at various amounts and the suspensions were mixed by a propeller rotor operated at 500 rpm for 20 minutes.

The relative proportions between OHP starch, plasticizer and nanoclay were adjusted to reach a viscosity within the range of 500-2000 mPas, which may be an appropriate viscosity for coating runnability with various coating techniques, while keeping the overall solids content at the highest possible level (Table 2).

Table 2. Relative proportions of Na-Cloisite-OHP starch-plasticizer and effects on formulation viscosity.

<table>
<thead>
<tr>
<th>Content, % of dry matter</th>
<th>Total solids, %</th>
<th>Viscosity, mPas</th>
</tr>
</thead>
<tbody>
<tr>
<td>Na-Cloisite</td>
<td>OHP starch</td>
<td>Glycerol</td>
</tr>
<tr>
<td>25.2</td>
<td>58.0</td>
<td>16.8</td>
</tr>
<tr>
<td>23.0</td>
<td>51.1</td>
<td>25.9</td>
</tr>
<tr>
<td>15.4</td>
<td>65.1</td>
<td>19.5</td>
</tr>
<tr>
<td>27.3</td>
<td>55.9</td>
<td>16.8</td>
</tr>
<tr>
<td>37.5</td>
<td>39.3</td>
<td>23.2</td>
</tr>
<tr>
<td>23.0</td>
<td>48.5</td>
<td>28.6</td>
</tr>
</tbody>
</table>

As can be seen from Table 2, row 4 shows the best result resulting in a viscosity within the viscosity range of 500-2000 mPas.

The amount of total solids decreases with increasing addition of dispersion of Na-Cloisite as a result of the addition of more water to the composition.

A water dispersion of Na-Cloisite with 9.5 wt% clay was used in the first five experiments and the results are shown in rows 1-5, Table 2.

A water dispersion of Na-Cloisite with a lower content of clay (4.7 wt% clay) was used in the experiment which results are shown in row 6, Table 2. Since the content of clay in the water dispersion of Na-Cloisite was low the dispersion contained more water than the dispersions used in the first five experiments. The low content of clay led to a low content of total solids causing a very low viscosity (240 mPas). In both the experiments with results shown in rows 2 and 6, the content of Na-Cloisite was 23.0 wt% of dry matter. Despite of this the viscosities varied much due to the difference in total solids.

Further, Table 2 shows that even small variations in total solids results in large variations in viscosity, e.g. a comparison of the results in rows 1 and 2 shows a difference in total solids of 0.6% resulting in a difference in viscosity of 3610 mPas. It
is understood from Table 2 that the proportions of the Na-Cloisite-OHP starch-plasticizer and the amount of total solids have a very big influence on the viscosity. It is of great importance to find out the optimal proportions in order to get a viscosity within the range of optimal viscosity for coating runnability.

Example 2. Effect of plasticizer type and concentration

Glycerol and polyethylene glycol (PEG) were used as plasticizers and were added at levels of 10, 20 and 30 parts per 100 parts of OHP starch on dry basis.

A water dispersion of Na-Cloisite (with 9.5 wt% clay) was used. The nanoclay dispersion was mixed into the starch-plasticizer solutions while keeping the temperature at 60°C using a hotplate. Table 3a shows the total solids content, the relative concentrations of Na-Cloisite, OHP starch and plasticizer (as percentages of dry matter) and the resulting Brookfield viscosities (confer Table 2).

Table 3a. Viscosity and water vapour transmission rate (WVTR) for formulations of OHP starch-Na-Cloisite as a function of plasticizer type and concentration. Brookfield viscosities at 100 rpm were recorded at a temperature of 60°C. Data for pure OHP starch is shown for comparison.

<table>
<thead>
<tr>
<th>Plasticizer, pph</th>
<th>Total solids, %</th>
<th>Na-Cloisite</th>
<th>Content, % of dry matter</th>
<th>Viscosity, mPas</th>
<th>WVTR, g/m²·d</th>
<th>d001 Å</th>
<th>d001 Å</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0</td>
<td>0</td>
<td>100</td>
<td>0</td>
<td>0</td>
<td>1150</td>
<td>295 ± 5 n/a n/a</td>
</tr>
<tr>
<td>10</td>
<td>18.5</td>
<td>29.7</td>
<td>63.9</td>
<td>6.4</td>
<td>-</td>
<td>1790</td>
<td>110 ± 6 27.6 21.0</td>
</tr>
<tr>
<td>20</td>
<td>19.6</td>
<td>28.3</td>
<td>59.7</td>
<td>12.0</td>
<td>-</td>
<td>1710</td>
<td>91 ± 4 27.6 20.0</td>
</tr>
<tr>
<td>30</td>
<td>20.4</td>
<td>25.2</td>
<td>58.0</td>
<td>16.8</td>
<td>-</td>
<td>1800</td>
<td>83 ± 8 21.0 12.6</td>
</tr>
<tr>
<td>10</td>
<td>17.8</td>
<td>29.6</td>
<td>63.9</td>
<td>-</td>
<td>6.5</td>
<td>1710</td>
<td>74 ± 6 13.8 -</td>
</tr>
<tr>
<td>20</td>
<td>19.4</td>
<td>28.3</td>
<td>59.7</td>
<td>-</td>
<td>12.0</td>
<td>1870</td>
<td>27 ± 1 15.5 -</td>
</tr>
<tr>
<td>30</td>
<td>21.2</td>
<td>27.1</td>
<td>56.0</td>
<td>-</td>
<td>16.9</td>
<td>1750</td>
<td>39 ± 2 15.5 -</td>
</tr>
</tbody>
</table>

A viscosity minimum was found at the intermediate plasticizer level (20 pph) and the viscosity values obtained are below target maximum level of 2000 mPas. Slightly lower viscosities were obtained with polyethylene glycol than with glycerol at all plasticizer levels.

Based on these data, a OHP starch-plasticizer-nanoclay composition should preferably consist of 20-40 wt% nanoclay, 50-70 wt% OHP starch and 5-30 wt% plasticizer.

When using other nanoclays with other cations the of content of nanoclay in the coating composition may be as high as 70 wt%.
Laboratory coating
All formulations were coated on a packaging board using a laboratory bench coater fitted with a wire-wound rod. All coated substrates were dried in 105°C for 2 minutes.

Water vapour transmission rate, WVTR
The water vapour transmission rate, WVTR, is defined as the amount of water vapour that is transmitted through a unit area in a unit time under specified conditions of temperature and humidity. Common standards for measurement of WVTR by the gravimetric method are ASTM E 96, DIN 53122-1, ISO 2528, TAPPI T 448 and T 464.

The WVTR of coated paperboard materials generally decreases exponentially with increased coating layer or film thickness. Permeation will mainly take place through coating defects such as cracks, voids and pinholes or through the amorphous regions of polymer films. Packaging of foodstuff with intermediate requirements on moisture protection typically have a critical level of WVTR below 10 g/m²-d. Materials having WVTR below 1 g/m²-d are considered as good moisture barrier whereas materials having WVTR above 50 g/m²-d are commonly regarded as poor barriers.

Measurements of WVTR were carried out with the gravimetric cup method in an environment of 23°C and 50% RH using silica gel as desiccant and the coated sides exposed to the humid air.

WVTR data is presented in Table 3a. All formulations containing Na-Cloisite showed a significant decrease in WVTR compared to the reference OHP starch coating. At all plasticizer levels, the formulations containing polyethylene glycol showed lower WVTR values than those containing glycerol. This may partly be due to the hygroscopic character of the latter. A minimum in WVTR was observed at 20 pph PEG.

The effect of a hydrophobic plasticizer (triacetin) and a potentially less hygroscopic, hydrophilic plasticizer (sorbitol) on the WVTR values were also evaluated. Coating and measurement of WVTR was carried out as above. The coating compositions and corresponding WVTR values are shown in Table 3b.
Table 3b. Water vapour transmission rate (WVTR) for formulations of OHP starch-Na-Cloisite as a function of plasticizer type and concentration.

<table>
<thead>
<tr>
<th>Plasticizer, pph</th>
<th>Total solids, %</th>
<th>Na-Cloisite</th>
<th>OHP starch</th>
<th>Sorbitol</th>
<th>Triacetin</th>
<th>WVTR, g/m²-d</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>17.8</td>
<td>29.6</td>
<td>63.9</td>
<td>6.5</td>
<td>-</td>
<td>61 ± 5</td>
</tr>
<tr>
<td>20</td>
<td>19.4</td>
<td>28.3</td>
<td>59.7</td>
<td>12.0</td>
<td>-</td>
<td>40 ± 4</td>
</tr>
<tr>
<td>30</td>
<td>21.2</td>
<td>27.1</td>
<td>56.0</td>
<td>16.9</td>
<td>-</td>
<td>25 ± 5</td>
</tr>
<tr>
<td>10</td>
<td>17.8</td>
<td>29.6</td>
<td>63.9</td>
<td>-</td>
<td>6.5</td>
<td>54 ± 1</td>
</tr>
<tr>
<td>20</td>
<td>19.4</td>
<td>28.3</td>
<td>59.7</td>
<td>-</td>
<td>12.0</td>
<td>49 ± 5</td>
</tr>
<tr>
<td>30</td>
<td>21.2</td>
<td>27.1</td>
<td>56.0</td>
<td>-</td>
<td>16.9</td>
<td>38 ± 7</td>
</tr>
</tbody>
</table>

Both these plasticizers were more effective in reducing the WVTR, as compared to glycerol, when used in combination with OHP starch and Na-Cloisite (confer Table 3a). A higher concentration of sorbitol (30 pph) was required to reach the same level of WVTR as was obtained with 20 pph of polyethylene glycol.

X-ray diffraction pattern of coated paper

The barrier properties of nanoclay reinforced coatings are strongly affected by the ordering of the clay particles within the polymer matrix. The gallery spacings between clay particles as an effect of plasticizer type and concentration was therefore analyzed by studying the X-ray Diffraction patterns (XRD) for coated paperboard samples. Figure 1 presents the x-ray traces for the six samples in Table 3a. These samples all displayed relatively sharp, intense x-ray peaks when using the peak intensities from the paper, at 2Θ values near 16 and 22.5°, as a comparative standard (2Θ refers to the angle between the incident and diffracted x-ray beam). Note that some of the peaks near 5°2Θ exhibited two poorly resolved peaks. The position of these peaks was used to calculate the d-spacings presented in the two right hand columns in Table 3a.

The XRD traces in Figure 1 illustrate the considerable differences in clay particle ordering and gallery spacing in the presence of PEG compared with glycerol. The broad, weak peaks displayed by the coatings prepared using glycerol suggest that the platelets are poorly ordered with respect to each other, whereas the narrow, intense peaks displayed by the samples containing PEG indicate that the clay platelets are well-aligned with respect to each other and oriented with their basal surface parallel to the paper surface.

The d-spacings of the clay in different coatings are shown in the last two columns in Table 3a. The last three samples prepared using PEG, exhibited sharp, intense peaks
with d-spacings of 13.8, 15.5 and 15.5 Å, respectively, whereas the samples prepared using glycerol exhibited broader peaks to lower angle indicating more than one gallery spacing.

Thus the combination of the WVTR and XRD results indicate that the particular combination of OHP starch-nanoclay-plasticizer used resulted in a very uniform coating which formed on the surface of the paper web. For this reason when the amount of plasticizer exceeds a lower limit (i.e. above 10 pph) the clay becomes uniformly expanded and very well aligned. These aligned stacks of clay particles may help counteract the influence of the deepest depressions in the underlying paper web, encouraging the coating to 'hold out' from absorption into the paper web. These aligned clay stacks also appear to increase the tortuosity of the path through the coated paper, thus contributing to an improved barrier to moisture and other gases.

Example 3. Effect of mixing sequence of the components
Various sequences of mixing the three components were investigated and are explicitly illustrated in Figure 2. The mixing sequences are presented as non-limiting examples of the practical use of the invention. Sequence 1 is described in Example 1-2 and the proportions between the three components are shown in Table 3a.

In Sequence 1 the OHP starch is dispersed in water and cooked, thus forming a biopolymer solution with a starch concentration of 10-30 percent by weight on dry basis. The plasticizer is then added to said biopolymer solution forming a biopolymer-plasticizer solution with a concentration of 10-30 parts plasticizer per 100 parts of biopolymer on dry basis. Finally, a water dispersion of nanoclay is added to the biopolymer-plasticizer solution.

Sequence 2 involves the dispersion of nanoclay as a dry substance (and not as a water dispersion of nanoclay as in Seq. 1), henceforth called dry nanoclay, in the OHP starch-plasticizer solution.

Sequence 3 implies dispersion of dry nanoclay in a water solution containing a predetermined amount of plasticizer, followed by mixing the nanoclay-plasticizer-water dispersion with cooked starch to reach identical proportions of the three components as in Example 2 corresponding to 20 parts plasticizer per 100 parts of OHP starch (Table 3a, row 6). This composition was selected for investigation of mixing sequences because it showed the lowest WVTR when following Sequence 1.
Sequence 4 implies blending dry nanoclay with OHP starch in powder form, followed by addition of water and plasticizer, prior to cooking, to reach identical proportions of the three components as in Example 2 corresponding to 20 parts plasticizer per 100 parts of OHP starch (Table 3a, row 6). Coating of paperboard and measurement of WVTR was carried out as in Example 2.

Table 4 presents results on viscosity and WVTR following the specified mixing sequences (using polyethylene glycol as plasticizer). Sequence 1 is thus preferred from a viscosity perspective. Sequence 4 gives WVTR values of coated paperboard comparable to Sequence 1. In Sequence 3 (and 4) the complete delamination of clay platelets could be obstructed by the presence of plasticizer (or starch) in the water mixture. Furthermore, the presence of nanoclay particles is suspected to impair the swelling and gelatinization of OHP starch granules during the initial cooking step according to Sequence 4. Sequence 2 resulted in a high increase in the viscosity (>6000 mPas) even at low (14 weight percent on dry basis) additions of nanoclay and hence the composition fell outside the range for making application on paper possible. The advantage with this mixing procedure is however that the total solids content of the OHP starch-plasticizer-nanoclay formulation can be raised to higher levels than with any of the other methods.

Table 4. Brookfield viscosity of OHP starch-plasticizer-nanoclay formulations as an effect of mixing sequence. WVTR data obtained from coated paperboard.

<table>
<thead>
<tr>
<th>Mixing Sequence</th>
<th>Solids, %</th>
<th>Viscosity, mPas</th>
<th>WVTR, g/m²·d</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>15.4</td>
<td>1040</td>
<td>56 ± 2</td>
</tr>
<tr>
<td>3</td>
<td>17.9</td>
<td>1320</td>
<td>72 ± 1</td>
</tr>
<tr>
<td>4</td>
<td>16.1</td>
<td>1624</td>
<td>47 ± 5</td>
</tr>
</tbody>
</table>

With respect to practical utilize, appropriate viscosity range and acceptable WVTR, and lack of those problems associated with the other sequences specified above, Sequence 1 was selected as the most successful one.

An alternative mixing procedure would be to disperse nano-sized clay in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis and to dissolve the biopolymer in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis and then to add the biopolymer solution to the water dispersion of nano-sized clay followed by addition of the plasticizer.
Another alternative mixing procedure would be to disperse nano-sized clay at a concentration of 5-10 percent by weight on dry basis, the plasticizer is added to said water dispersion forming a water dispersion of nano sized clay-plasticizer, dissolve the biopolymer in water forming a water solution of biopolymer at a concentration of 10-30 percent by weight on dry basis followed by mixing the water solution of biopolymer with the nano sized clay-plasticizer dispersion.

The different mixing sequences result in the same final composition of the biopolymer based barrier coating composition but the viscosity of the final coating composition may differ from mixing sequence to mixing sequence as a result of in which order the components have been added.

As already mentioned, mixing Sequence 1 is preferred from a viscosity perspective for mixing the components in the desired proportion. If another mixing proportion of the ingredients is preferred, one of the other mixing sequences may be more advantageous in order to reach a viscosity within the preferred viscosity range of 500-2000 mPas.

**Example 4. Effect of gallery cation on starch-plasticizer-nanoclay dispersion and coating properties**

Dry blends of Na-Cloisite and Ca-Cloisite with the following Na/Ca percentage ratios were mixed: 100/0; 80/20; 60/40; 50/50; 40/60; 20/80 and 0/100. The Na/Ca-Cloisite blends were dispersed in deionized water in a dispergator. The resulting solids content was about 8% in all dispersions.

OHP starch was cooked to 20% concentration. Polyethylene glycol was added to the cooked starch solution corresponding to 20 parts polyethylene glycol per 100 parts starch on dry basis. The Na/Ca-Cloisite dispersions were added to the starch-plasticizer solution as in Example 2. The resulting compositions were 27 wt% clay, 61 wt% OHP starch and 12 wt% PEG on dry basis.

**Viscosity**

The viscosity of the OHP starch-plasticizer-nanoclay dispersions were measured by a controlled shear stress rheometer (Physica MCR 300, Physica Messtechnik GmbH, Ostfildern, Germany) with shear rates from 1 to 4000 s⁻¹ in concentric cylinder geometry at 60°C. Flow curves are shown in Figure 3.
All types of dispersions showed shear thinning behavior. It was anticipated that mixtures of Na- and Ca-exchanged nanoclay would exhibit a reduced viscosity which would allow higher nanoclay contents to be used. The results showed that the overall viscosity of the OHP starch-plasticizer-Na/Ca-Cloisite dispersions decreased with increasing amount of Ca-Cloisite. A remarkably large decrease in the viscosity was observed when going from 60 to 80% Ca-Cloisite and similarly when going from 80 to 100%.

**Laboratory coating and WVTR**

Coating of paperboard and measurement of WVTR were carried out as in Example 2. The dry coating thickness was measured to about 15-20 µm and the coat weights were determined to about 6-8 g/m². The effect of Na/Ca-Cloisite ratio on WVTR is shown in Table 5.

<table>
<thead>
<tr>
<th>Na/Ca-Cloisite ratio</th>
<th>WVTR, g/m²·d</th>
</tr>
</thead>
<tbody>
<tr>
<td>100/0</td>
<td>56 ± 2</td>
</tr>
<tr>
<td>80/20</td>
<td>51 ± 1</td>
</tr>
<tr>
<td>60/40</td>
<td>68 ± 4</td>
</tr>
<tr>
<td>50/50</td>
<td>58 ± 3</td>
</tr>
<tr>
<td>40/60</td>
<td>69 ± 1</td>
</tr>
<tr>
<td>20/80</td>
<td>114 ± 5</td>
</tr>
<tr>
<td>0/100</td>
<td>220 ± 3</td>
</tr>
</tbody>
</table>

In general, the WVTR was found to increase with increasing content of Ca-Cloisite. At 100% Ca-Cloisite, there is only a slight improvement of the barrier compared to the pure OHP starch coating (ca 295 g/m²·d). The data suggest however, that introduction of a small amount of Ca-Cloisite (maximum 20%) may favor the water vapor barrier properties over a coating with pure Na-Cloisite.

**Example 5. Effect of pre-coating**

The effect of Ca-Cloisite was further studied in the region between 0 and 20 wt% to validate the positive effect of a small amount of a divalent cation in the clay gallery, and to find out the optimum mixture of monovalent and divalent cations with respect to barrier properties. The proportions between nanoclay, starch and PEG was kept constant at 27:61:12 on dry basis.

The OHP starch-PEG-Na/Ca-Cloisite formulations were applied on paperboard by bench coater in a single layer of about 8-10 g/m² as described in previous examples. To
study the effect of a pre-coating on the barrier performance, the formulations were applied in a single layer on top of the following substrates:

- Uncoated paperboard
- Pigment coated paperboard
- Paperboard pre-coated with the same OHP starch-PEG-Na/Ca-Cloisite formulation; coat weight about 8-10 g/m²
- Paperboard pre-coated with OHP starch-plasticizer; coat weight 13 g/m²
- Paperboard pre-coated with a double layer of styrene-acrylate (SA) barrier latex; total coat weight 29 g/m²
- Paperboard pre-coated with a double layer of styrene-butadiene (SB) barrier latex filled with talc particles; total coat weight 28 g/m²

**Water vapor barrier properties**

WVTR values for the pre-coated substrates and the same substrates top-coated with the different Na/Ca-Cloisite formulations are shown in Table 6. The talc-filled barrier latex shows low WVTR values and should represent one of the most efficient dispersion barriers, using non-sustainable polymer matrices, commercially available on the market today.

Table 6. WVTR as a function of pre-coatings of different Na/Ca-Cloisite formulations.

<table>
<thead>
<tr>
<th>Substrate pre-coating</th>
<th>WVTR, g/m²·d</th>
<th>Na/Ca-Cloisite ratio</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>100/0</td>
</tr>
<tr>
<td>Uncoated paperboard</td>
<td>373 ± 4</td>
<td>57 ± 1</td>
</tr>
<tr>
<td>Pigment coated paperboard</td>
<td>341 ± 5</td>
<td>42 ± 1</td>
</tr>
<tr>
<td>OHP-PEG Na/Ca-Cloisite</td>
<td>-</td>
<td>33 ± 5</td>
</tr>
<tr>
<td>OHP-PEG</td>
<td>256 ± 3</td>
<td>127 ± 10</td>
</tr>
<tr>
<td>SA-latex</td>
<td>65 ± 1</td>
<td>33 ± 1</td>
</tr>
<tr>
<td>SB-latex (talc filled)</td>
<td>17 ± 3</td>
<td>11 ± 2</td>
</tr>
</tbody>
</table>

A single layer (ca 8-10 g/m²) of OHP starch-PEG-Na/Ca-Cloisite resulted in a WVTR around 50-57 g/m²·d, irrespective of the Na/Ca-Cloisite ratio (Table 6, row 1). It is notable that these values are lower than for a double layer coating of the SA-latex (WVTR 65 g/m²·d; Table 6, row 5) despite that the coat weight of the SA-latex coating (29 g/m²) was three times higher.

Application of the OHP starch-PEG-Na/Ca-Cloisite formulations on top of a conventional pigment pre-coating has a favorable effect on the WVTR, with a 15-25% reduction. This should be due to a more surface-located barrier layer, i.e. reduced
porosity of the substrate prevents too severe absorption of the barrier coating into the fiber structure.

Application of the OHP starch-PEG-Na/Ca-Cloisite formulations in two separate layers (total thickness measured to be about 30-40 μm and total coat weight determined to be about 16-20 g/m²) led to a reduction of WVTR by about 50% (Table 6, row 3). Also in this case it was found that an introduction of a small amount of Ca-Cloisite may favor the water vapor barrier properties over a coating with pure Na-Cloisite.

Application of the OHP starch-PEG-Na/Ca-Cloisite formulations on top of an OHP starch-PEG or a SA-latex pre-coating led to a reduction of WVTR by 50% as compared to the barrier level provided by these pre-coatings themselves. A slight trend towards lower WVTR values in the presence of 10 to 20% Ca-Cloisite was observed. Addition of a small amount of divalent gallery cations to the monovalent Na⁺-ions thus improves the barrier properties.

The WVTR of the talc-filled styrene-butadiene latex coated paperboard was further reduced by application of the OHP starch-PEG-Na/Ca-Cloisite on top and these combined pre- and top coatings together give WVTR values approaching the target level of ≤ 10 g/m²·d set for food packaging with intermediate demand for water vapor barriers. The combination of two layers of OHP starch-PEG-Na/Ca-Cloisite (Table 6, row 3) and one layer of OHP starch-PEG-Na/Ca-Cloisite on top of a SA-latex pre-coating (Table 6, row 5) also results in acceptable WVTR values, around 30 g/m²·d. Application in two layers will, besides an increased coat weight, also promote good barrier properties by eliminating the effect of pinholes and coating defects since these will most likely not propagate through both layers. Another likely positive effect is that the presence of well-ordered clay platelets throughout the coating will increase the probability of a substantially extended pathway for diffusing water vapor, and other, molecules at all locations of ingress over the surface.

Another alternative coating approach may be to first apply a single or double layer of biopolymer based barrier coating followed by application of a layer of a barrier latex coating, i.e. a first, single or double, layer of OHP starch-PEG-Na/Ca-Cloisite is applied to the paper or paperboard followed by application of a top coating comprising a dispersion barrier latex.
The applications and coatings described above have been applied on one side of the paperboard but can of course be applied in the same way on the opposite side of a paper or paperboard resulting in paper and paperboard coated on both sides.

Example 6. Effect of temperature, moisture and mechanical forces
The water vapor transmission rate of the most promising coatings, i.e. the OHP starch-plasticizer-nanoclay formulations applied in two layers (row 3 in Table 6) and the OHP starch-plasticizer-nanoclay formulations applied on top of the talc-filled SB-latex (row 6 in Table 6) was also measured at elevated relative humidity (23°C and 85% RH) and at tropical conditions (38°C and 90% RH). Both testing conditions are standard climates according to DIN 53122 and ISO 2528. In the packaging industry, the test conditions are often set to 23°C and 85% RH to match realistic packaging environments. The tests were carried out in a climate chamber. All samples were conditioned for > 12 hours in each climate before starting the test. The results are shown in Table 7 for OHP starch-plasticizer-nanoclay formulations with various Na/Ca-Cloisite ratios applied in two layers (2nd column) and on top of the talc filled SB-latex pre-coating (3rd and 4th columns) at specified testing climates. Also given are reference data for the commercial barrier latex.

Table 7. Effects of temperature and relative humidity on WVTR for various OHP starch-plasticizer-nanoclay compositions.

<table>
<thead>
<tr>
<th>Na/Ca-Cloisite ratio</th>
<th>WVTR, g/m²·d</th>
<th>SB-latex (talc filled)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>23°C 85% RH</td>
<td>23°C 85% RH</td>
</tr>
<tr>
<td>100/0</td>
<td>340 ± 47</td>
<td>40 ± 5</td>
</tr>
<tr>
<td>95/5</td>
<td>133 ± 0</td>
<td>31 ± 7</td>
</tr>
<tr>
<td>90/10</td>
<td>300 ± 1</td>
<td>35 ± 3</td>
</tr>
<tr>
<td>85/15</td>
<td>367 ± 27</td>
<td>46 ± 9</td>
</tr>
<tr>
<td>80/20</td>
<td>265 ± 0</td>
<td>37 ± 0</td>
</tr>
<tr>
<td>SB-latex (talc filled)</td>
<td>80 ± 5</td>
<td>217 ± 42</td>
</tr>
</tbody>
</table>

Increased temperature and humidity also increased the scatter in the data, which should be due to exaggerated impact of pinholes and coating defects (Table 7). The OHP starch/plasticizer/nanoclay coating is highly sensitive to enhanced moisture, due to the hygroscopic character of starch. Also the talc-filled SB-latex reacts strongly to increased moisture, with a 4-5 times increase in WVTR when going from 50% to 85%RH. However, the combination of a nanoclay top coating on a talc filled SB-latex pre-coating acts to keep the WVTR on a reasonable level at standard packaging testing conditions of 23°C and 85% RH (Table 7). The tougher testing conditions however did facilitate the differentiation of inherent coating properties and a trend for lower WVTR
for the coating containing 95/5 Na/Ca-Cloisite is clearly seen in all three columns in Table 7.

**Effect of creasing**

Creasing tests were carried out in order to study the brittleness of the coatings, i.e. the sensitivity of barrier properties to mechanical stress applied in converting operations. A simplified creasing assembly based on the design given in the TAPPI method UM 590 "Creasing of paperboard for water vapor transmission rate (WVTR) testing" was produced. The coated paperboard samples were creased once in the machine direction by placing the coated side down on the creasing rule and then applying the upper plate on top of it. A steel roller with a weight of 10.0 kg was rolled once forwards and once backwards along the length of the plate. After removal, the creased sample was folded 180° by hand towards the coated side. The steel roll was rolled over the folded sample once again, followed by flattening of the samples and repeated testing of the water vapour barrier properties (Table 8). The results should be compared to the uncreased samples in Table 6 (third row). Also given is reference data for the commercial barrier latex.

**Table 8. Effect of creasing on WVTR for various OHP starch-plasticizer-nanoclay formulations.**

<table>
<thead>
<tr>
<th>Na/Ca-Cloisite ratio</th>
<th>WVTR, g/m²·d</th>
</tr>
</thead>
<tbody>
<tr>
<td>100/0</td>
<td>72 ± 9</td>
</tr>
<tr>
<td>95/5</td>
<td>59 ± 5</td>
</tr>
<tr>
<td>90/10</td>
<td>69 ± 5</td>
</tr>
<tr>
<td>85/15</td>
<td>70 ± 2</td>
</tr>
<tr>
<td>80/20</td>
<td>65 ± 3</td>
</tr>
<tr>
<td>SB-latex (talc filled)</td>
<td>30 ± 6</td>
</tr>
</tbody>
</table>

Creasing leads to a significant loss of barrier properties for both the OHP starch/plasticizer/nanoclay and the reference SB-latex barrier coatings. The data indicates however once again that the barrier properties are improved by a small addition of Ca-Cloisite, with a minimum in WVTR for the formulation with 95/5 Na/Ca-Cloisite. It is evident that enhanced moisture (Table 7) has a greater negative effect on the WVTR than has induced mechanical stress.

**Comparative example 1.**

For comparison, a commercial nanocomposite coating consisting of a nanosilicate dispersed in a polyester resin (Nanolok PT 3575, InMat Inc.) was applied on top of the
talc-filled SB-latex. The obtained WVTR was $9 \pm 1$ g/m$^2$-d. As evident from Table 6, some of the starch-based nanoclay coatings are tangent to these values.

Summary and conclusions

• Double layer application seem to be more efficient for reduction of WVTR than the application of an increased thickness of an applied single layer.
• Starch-based nanoclay coatings were proven to offer competitive WVTR values when compared with commercial unfilled styrene-acrylate dispersion coatings.
• Starch-based nanoclay top coatings were shown to give the same level of water vapor barrier as commercial nanocomposite top coatings when applied on a suitable pre-coated paperboard. These combined pre- and top coatings together give WVTR values approaching the target level of $\leq 10$ g/m$^2$-d set for food packaging with intermediate demand for water vapor barriers.
• The surface energy of the pre-coating and the surface tension of the top coating is beneficially controlled to get optimum wetting and spreading properties of the top coating layer.
• A conventional, inexpensive pigment pre-coating strongly facilitates the barrier properties of a starch-based nanoclay coating.
• A small addition of Ca-Cloisite (5-10% by weight) has a positive effect on the WVTR of starch/plasticizer/nanoclay coatings. The effect is exaggerated at testing conditions involving enhanced temperature, enhanced moisture and induced mechanical stress (creasing).
• A higher load of nanoclay than conventional may be used.
• The successful formulation of the aqueous coating composition resulted in remarkably low WVTR values when applied on paper or paperboard.
• Very low WVTR values are achieved when applying the starch/clay nanocomposite on a barrier latex pre-coating.
• Positive synergistic effects of the plasticizer polyethylene glycol (PEG) and the controlled balance of counter ions (mixture of Na- and Ca-Cloisites) are observed.
• The nanoclay acts as a compatibiliser between starch and PEG.
It is understood that the objects of the present invention set forth above, among those made apparent by the detailed description, shall be interpreted as illustrative and not in a limiting sense.

The skilled person in the art realizes that other clays, e.g. hectorite, saponite, nontronite, vermiculite and their synthetic counterparts, may offer the same enhancement of barrier properties and still fulfil the basic principles according to this invention.

The same person also realizes that different types of coating machineries to coat the cellulose based substrate, e.g. paper or paperboard, may be used, e.g. blade, roll or curtain coating machines and still fulfil the basic principles according to the present invention.
CLAIMS

1. A biopolymer based barrier coating composition characterized in that said biopolymer based barrier coating composition comprises a plasticizer, a nano-sized clay and a biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch.

2. A biopolymer based barrier coating composition according to claim 1 characterized in that the plasticizer includes a hydrophilic plasticizer, such as a glycerol or a polyethylene glycol or a polypropylene glycol or a polyvinyl alcohol or a sorbitol or a mixture thereof.

3. A biopolymer based barrier coating composition according to claim 1 or claim 2 characterized in that the plasticizer includes a hydrophobic plasticizer, such as diacetin or triacetin and similar compounds or a mixture thereof.

4. A biopolymer based barrier coating composition according to claim 1, characterized in that said nano-sized clay is a nano-sized bentonite clay.

5. A biopolymer based barrier coating composition according to claim 4, characterized in that said nano-sized bentonite clay consists essentially of Sodium Bentonite and/or multivalent cation-exchanged bentonite.

6. A biopolymer based barrier coating composition according to claim 4, characterized in that the nano-sized bentonite clay consists essentially of Sodium Bentonite and/or Calcium Bentonite.

7. A biopolymer based barrier coating composition according to claim 6, characterized in that said nano-sized bentonite clay consists of 80-100 wt% Sodium Bentonite and 0-20 wt% Calcium Bentonite, more preferred 85-100 wt% Sodium Bentonite and 0-15 wt% Calcium Bentonite, most preferred 90-95 wt% Sodium Bentonite and 5-10 wt% Calcium Bentonite.

8. A biopolymer based barrier coating composition according to claims 4 to 7, characterized in that said nano-sized bentonite clay is a nano-sized montmorillonite clay.
9. A biopolymer based barrier coating composition according to any preceding claim, characterized in that said based biopolymer barrier coating composition has an amount of nano-sized clay of 5-40 weight percent of dry matter, more preferably 12-30 weight percent of dry matter and most preferably 25-30 weight percent of dry matter.


11. A biopolymer based barrier coating composition according to any preceding claim, characterized in that said biopolymer based barrier coating composition has a viscosity within the range of 500-2000 mPas.

12. Method for preparing a biopolymer based barrier coating composition comprising a plasticizer, a nano-sized clay and a biopolymer, said biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch, characterized in that the biopolymer is added to said coating composition as a dry substance or is added as a water based solution, thereby forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis.

13. Method according to claim 12, characterized in that the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis, the plasticizer is added to said biopolymer solution forming a biopolymer-plasticizer solution at a concentration of 10-30 parts plasticizer per 100 parts of biopolymer on dry basis.

14. Method according to claim 13, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis and that the water dispersion of nano-sized clay is dispersed in the biopolymer-plasticizer solution.

15. Method according to claim 13, characterized in that the nano-sized clay is added as a dry substance to the biopolymer-plasticizer solution.
16. Method according to claim 12, characterized in that a dry nano-sized clay is mixed with a dry biopolymer forming a dry mixture of nano-sized clay and biopolymer, the plasticizer is added to water forming a water-plasticizer mixture, the dry mixture of nano-sized clay and biopolymer is dispersed in the water-plasticizer mixture.

17. Method according to claim 12, characterized in that the plasticizer is added to water forming a water-plasticizer mixture, a dry nano-sized clay is dispersed in the water-plasticizer mixture forming a water dispersion of nano-sized clay-plasticizer, the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis and that the biopolymer solution is mixed with the water dispersion of nano-sized clay-plasticizer.

18. Method according to claim 12, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis, the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis, the biopolymer solution is added to the water dispersion of nano-sized clay followed by addition of the plasticizer.

19. Method according to claim 12, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis, the plasticizer is added to said water solution forming a water dispersion of nano sized clay-plasticizer, the biopolymer is dissolved in water forming a water solution of biopolymer at a concentration of 10-30 percent by weight on dry basis, and that the water solution of biopolymer is mixed with the nano sized clay-plasticizer dispersion.

20. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-19, characterized in that the nano-sized bentonite clay consists essentially of Sodium Bentonite and/or Calcium Bentonite and/or other multivalent cation-exchanged bentonite.

21. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-20, characterized in that the plasticizer includes a hydrophilic plasticizer, such as a glycerol or a polyethylene glycol or a polypropylene glycol or a polyvinyl alcohol or a sorbitol or a mixture thereof.
22. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-21, characterize in that the plasticizer includes a hydrophobic plasticizer, such as diacetin or triacetin and similar compounds or a mixture thereof.

23. Method according to anyone of claims 12-22, characterize in that the plasticizer, the nano-sized clay and the biopolymer are added in such amounts that the final proportions between the nano-sized clay, the biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch and the plasticizer of said biopolymer based barrier coating composition is 20-70:20-70:5-30, preferably 20-40:50-70:5-30, more preferred 24-30:58-64:9-15 and most preferred 26-28:60-62:1 based on the dry content of the biopolymer based barrier coating composition.

24. Method for coating a cellulose based substrate with a biopolymer based barrier coating composition according to anyone of claims 1-11, characterize in that said method comprises the steps of:
   - preparing an aqueous based dispersion comprising a biopolymer, a plasticizer and a nanoclay;
   - coating the cellulose based substrate with the dispersion;
   - allowing the dispersion to dry on the substrate.

25. Method according to claim 24, characterize in that said cellulose based substrate is a paper or a paperboard and that a first side of said paper or paperboard is coated with said biopolymer based barrier coating composition, forming a first biopolymer based barrier coating layer.

26. Method according to claim 25, characterize in that a layer of a barrier latex coating is applied on said first biopolymer based barrier coating layer.

27. Method according to claim 25, characterize in that a layer of the biopolymer based barrier coating composition is applied on a second side opposite said first side of said paper or paperboard.

28. Method according to claim 25, characterize in that at least one additional layer of a biopolymer based barrier coating composition is applied on the first
biopolymer based barrier coating layer.

29. Method according to claim 24, characterized in that the biopolymer based barrier coating composition is applied on a pre-coated surface.

30. Method according to claim 29, characterized in that the coating of the pre-coated surface comprises a pigment coating or a biopolymer based coating or a dispersion barrier coating or laminates thereof.

31. Method according to 29, characterized in that the coating of the pre-coated surface comprises a dispersion barrier coating comprising mineral filler.

32. Cellulose based substrate characterized in that said cellulose based substrate is coated with a biopolymer based barrier coating composition according to anyone of claims 1-11.

33. Cellulose based substrate according to claim 32, characterized in that said cellulose based substrate is a paper or a paperboard.
CLAIMS
1. A biopolymer based barrier coating composition, characterized in that said biopolymer based barrier coating composition is a water-based composition comprising a plasticizer, a nano-sized clay and a biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch.

2. A biopolymer based barrier coating composition according to claim 1, characterized in that the plasticizer includes a hydrophilic plasticizer, such as a glycerol or a polyethylene glycol or a polypropylene glycol or a polyvinyl alcohol or a sorbitol or a mixture thereof.

3. A biopolymer based barrier coating composition according to claim 1 or claim 2, characterized in that the plasticizer includes a hydrophobic plasticizer, such as diacetin or triacetin and similar compounds or a mixture thereof.

4. A biopolymer based barrier coating composition according to claim 1, characterized in that said nano-sized clay is a nano-sized bentonite clay.

5. A biopolymer based barrier coating composition according to claim 4, characterized in that said nano-sized bentonite clay consists essentially of Sodium bentonite and/or multivalent cation-exchanged bentonite.

6. A biopolymer based barrier coating composition according to claim 4, characterized in that the nano-sized bentonite clay consists essentially of Sodium Bentonite and/or Calcium Bentonite,

7. A biopolymer based barrier coating composition according to claim 6, characterized in that said nano-sized bentonite clay consists of 80-100 wt% Sodium Bentonite and 0-20 wt% Calcium Bentonite, more preferred 85-100 wt% Sodium Bentonite and 0-15 wt% Calcium Bentonite; most preferred 90-95 wt% Sodium Bentonite and 5-10 wt% Calcium Bentonite,

8. A biopolymer based barrier coating composition according to claims 4 to 7, characterized in that said nano-sized bentonite clay is a nano-sized montmorillonite clay.
9. A biopolymer based barrier coating composition according to any preceding claim, characterized in that said based biopolymer barrier coating composition has an amount of nano-sized clay of 5-40 weight percent of dry matter, more preferably 12-30 weight percent of dry matter and most preferably 25-30 weight percent of dry matter.


11. A biopolymer based barrier coating composition according to any preceding claim, characterized in that said biopolymer based barrier coating composition has a viscosity within the range of 500-2000 mPas,

12. Method for preparing a biopolymer based barrier coating composition comprising a plasticizer, a nano-sized clay and a biopolymer, said biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch, characterized in that the biopolymer is added to said coating composition as a dry substance or is added as a water based solution, thereby forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis,

13. Method according to claim 12, characterized in that the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis, the plasticizer is added to said biopolymer solution forming a biopolymer-plasticizer solution at a concentration of 0-30 parts plasticizer per 100 parts of biopolymer on dry basis.

14. Method according to claim 13, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration, of 5-10 percent by weight on dry basis and that the water dispersion of nano-sized clay is dispersed in the biopolymer-plasticizer solution.

15. Method according to claim 13, characterized in that the nano-sized clay is added as a dry substance to the biopolymer-plasticizer solution.
16. Method according to claim 12, characterized in that a dry nano-sized clay is mixed with a dry biopolymer forming a dry mixture of nano-sized clay and biopolymer, the plasticizer is added to water forming a water-plasticizer mixture, the dry mixture of nano-sized clay and biopolymer is dispersed in the water-plasticizer mixture.

17. Method according to claim 12, characterized in that the plasticizer is added to water forming a water-plasticizer mixture, a dry nano-sized clay is dispersed in the water-plasticizer mixture forming a water dispersion of nano-sized clay-plasticizer, the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis and that the biopolymer solution is mixed with the water dispersion of nano-sized clay-plasticizer.

18. Method according to claim 12, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis, the biopolymer is dissolved in water forming a biopolymer solution at a concentration of 10-30 percent by weight on dry basis, the biopolymer solution is added to the water dispersion of nano-sized clay followed by addition of the plasticizer.

19. Method according to claim 12, characterized in that the nano-sized clay is dispersed in water forming a water dispersion of nano-sized clay at a concentration of 5-10 percent by weight on dry basis, the plasticizer is added to said water solution forming a water dispersion of nano sized clay-plasticizer, the biopolymer is dissolved in water forming a water solution of biopolymer at a concentration of 10-30 percent by weight on dry basis, and that the water solution of biopolymer is mixed with the nano sized clay-plasticizer dispersion.

20. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-19, characterized in that the nano-sized bentonite clay consists essentially of Sodium Bentonite and/or Calcium Bentonite and/or other multivalent cation-exchanged bentonite.

21. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-20, characterized in that the plasticizer includes a hydrophilic plasticizer, such as a glycerol or a polyethylene glycol or a polypropylene glycol or a polyvinyl alcohol or a sorbitol or a mixture thereof,
22. Method for preparing a biopolymer based barrier coating composition according to anyone of claims 12-21, characterized in that the plasticizer includes a hydrophobic plasticizer, such as diacetin or triacetin and similar compounds or a mixture thereof.

23. Method according to anyone of claims 12-22, characterized in that the plasticizer, the nano-sized clay and the biopolymer are added in such amounts that the final proportions between the nano-sized clay, the biopolymer comprising a native starch and/or a degraded starch and/or a chemically modified starch and the plasticizer of said biopolymer based barrier coating composition is 20-70:20-70:5-30, preferably 20-40:50-70:5-30, more preferred 24-30:58-64:9-15 and most preferred 26-28:60-62:1-3 based on the dry content of the biopolymer based barrier coating composition.

24. Method for coating a cellulose based substrate with a biopolymer based barrier coating composition according to anyone of claims 1-11, characterized in that said method comprises the steps of:

- preparing an aqueous based dispersion comprising a biopolymer, a plasticizer and a nanoclay
- coating the cellulose based substrate with the dispersion
- allowing the dispersion to dry on the substrate.

25. Method according to claim 24, characterized in that said cellulose based substrate is a paper or a paperboard and that a first side of said paper or paperboard is coated with said biopolymer based barrier coating composition, forming a first biopolymer based barrier coating layer.

26. Method according to claim 25, characterized in that a layer of a barrier latex coating is applied on said first biopolymer based barrier coating layer.

27. Method according to claim 25, characterized in that a layer of the biopolymer based barrier coating composition is applied on a second side opposite said first side of said paper or paperboard.

28. Method according to claim 25, characterized in that at least one additional layer of a biopolymer based barrier coating composition is applied on the first biopolymer based barrier coating layer.
29. Method according to claim 24, characterized in that the biopolymer based barrier coating composition is applied on a pre-coated surface.

30. Method according to claim 29, characterized in that the coating of the pre-coated surface comprises a pigment coating or a biopolymer based coating or a dispersion barrier coating or laminates thereof.

31. Method according to 29, characterized in that the coating of the pre-coated surface comprises a dispersion barrier coating comprising mineral filler.

32. Cellulose based substrate, characterized in mat said cellulose based substrate is coated with a biopolymer based barrier coating composition according to anyone of claims 1-11.

33. Cellulose based substrate according to claim 32, characterized in that said cellulose based substrate is a paper or a paperboard.
A. CLASSIFICATION OF SUBJECT MATTER

IPC: see extra sheet
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC: C09D, D21H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE, DK, FI, NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

<table>
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<tr>
<th>Category</th>
<th>Citation of document, with indication, where appropriate, of the relevant passages</th>
<th>Relevant to claim No.</th>
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<tr>
<td>X</td>
<td>EP 1860138 A1 (SABANCI UNIVERSITESI), 28 November 2007 (28.11.2007), paragraphs [0015]-[0016], [0019], [0021], [0027], [0033], [0037], [0053], [0074]</td>
<td>1, 2, 4, 5, 8, 9</td>
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<td>X</td>
<td>WO 2006055504 A2 (MICHIGAN STATE UNIVERSITY), 26 May 2006 (26.05.2006), claims 15-16, 25-26, paragraphs [0021], [0036], examples 4-6</td>
<td>1, 2, 4, 8, 9</td>
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</table>

[X] Further documents are listed in the continuation of Box C. [X] See patent family annex.

* Special categories of cited documents:
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Date of the actual completion of the international search: 24 March 2010
Date of mailing of the international search report: 13-04-2010

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Telephone No. +46 8 782 25 00

Form PCT/ISA/210 (second sheet) (July 2009)
INTERNATIONAL SEARCH REPORT

Box No. II  Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. □ Claims Nos.:
   because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 1
   because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

   Present claim 1 relates to an extremely large number of possible compositions. In fact, the claims contain so many .../...

3. □ Claims Nos.:
   because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box No. III  Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. □ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.

2. ✗ As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of any additional fees.

3. □ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:

4. □ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- □ The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- ✗ The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- ☒ No protest accompanied the payment of additional search fees.

Form PCT/ISA/210 (continuation of first sheet (2)) (July 2008)
options, that a lack of clarity and conciseness within the meaning of Article 6 PCT arises to such an extent as to render a meaningful search of the claims impossible.

Consequently, the search has been carried out for those parts of the application which appear to be clear and concise, namely those mentioned in the description on page 4; bentonite, montmorillonite, cloisite and the expression "nanoclay".

Thence it follows that a reasoned statement under Rule 43bis.1(a) (1) with regard to novelty, inventive step or industrial applicability is established for those parts mentioned above.
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<td>X</td>
<td>HM. WILHELM, HM., Polymer International. &quot;The influence of layered compounds on the properties of starch/layered compound composites&quot;. 2003, Vol. 52, pages 1035-1044, page 1037, column 1, lines 36-39</td>
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<td>SORRENTINO, A., Trends in Food Science and Technology, &quot;Potential perspectives of bio-nanocomposites for food packaging applications&quot;, 2007, Vol. 18, pages 84-95, page 87, column 1, lines 40-49</td>
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<td>A</td>
<td>US 5288318 A (MAYER, JEAN M. ET AL), ZZ February 1994 (22.02.1994), column 9 - column 11, claim 1, examples 1-3 and 8-10</td>
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Form PCT/IS A/210 (continuation of second sheet) (July 2009)
International patent classification (IPC)

C09D 103/04 (2006.01)
C08K 3/34 (2006.01)
D21H 19/12 (2006.01)
C08K 7/00 (2006.01)

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Cited literature, if any, will be enclosed in paper form.
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