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# DESCRIPTION

## Field of the invention

**[0001]** The present invention concerns a Ventricular Assist Device (VAD) for percutaneous insertion. In particular, the invention relates to a specific control method of a VAD, such as a percutaneous insertable blood pump, and a corresponding control device as well as a system comprising the control device and the VAD.

## Introduction

**[0002]** Ventricular Assist Devices (VADs), herein also referred as blood pumps, are used to support the function of a patient's heart, either as a left ventricular assist device (LVAD) or right ventricular assist device (RVAD)(eg. see US2005/0215843). An intravascular blood pump for percutaneous insertion typically comprises a catheter and a pump unit and is inserted into the patient's heart, e.g. through the aorta into the left ventricle. The pump unit comprises a blood flow inlet and a blood flow outlet and a cannula through which the blood flow is created e.g. by a rotor of the pump unit. For example, the cannula may extend through the aortic valve with the blood flow inlet disposed at a distal end of the cannula in the left ventricle and the blood flow outlet disposed at a proximal end of the cannula in the aorta. By creating the blood flow the pressure difference between the outlet and the inlet is overcome.

**[0003]** An important aspect of VADs, amongst others, is explanting the VAD from a patient and therefore affirming that the natural heart function has recovered.

**[0004]** This may be done, for example, by adequately reducing the amount of assistance provided by the VAD, so that the VAD can be finally explanted once the heart is found sufficiently recovered.

**[0005]** Up to now, there is no physical signal known that sufficiently represents the status of heart recovery as a VAD is implanted. While the VAD is assisting the heart it is not possible to know the unassisted heart function. And when the VAD is switched off flow regurgitation through the cannula occurs so that it is impossible to know about the unassisted heart function.

**[0006]** In the state-of-the-art the current VAD speed setting is manually reduced by a physician gradually, e.g. by one level, based on professional experience. After reduction of the VAD speed, the average aortic pressure is monitored. Some institutions perform echography based left ventricular volume assessments and continuous cardiac output measurements. If the mean aortic pressure remains stable it is assumed that the heart is able to take over work from the VAD. However, if the mean aortic pressure drops, it is assumed that the heart still needs more assistance so that the VAD speed needs to be increased again. Further, before VAD

explantation, an so-called on/off approach is applied, In doing so, the VAD speed is significantly reduced, e.g. for some hours, in which the patient's physiological condition and the ventricular expansion in particular are observed, e.g. based on echocardiography (ECHO) measurements and/or cardiac ventriculography. ECHO may provide information on the heart such as size and shape, e.g. internal chamber size quantification, pumping capacity, and allow a calculation of the cardiac output, ejection fraction, and diastolic function. Cardiac ventriculography involves injecting contrast media into the heart's ventricle to measure the volume of blood pumped. Measurements obtained by cardiac ventriculography are ejection fraction, stroke volume, and cardiac output.

**[0007]** When the VAD is switched off and if the heart still works insufficiently, the no longer assisted ventricle would significantly dilate so that not enough blood volume is ejected from the ventricle during the systolic phase, leading to an increase in left ventricular end-diastolic volumes and pressures. That is to say, due to the reduction of the VAD speed the heart is loaded what may correspond to an acute overload of a still not recovered heart. This may result in a setback of the therapy of several days.

**[0008]** Thus, the actual monitoring process before explantation of a VAD is more or less a trial-and-error procedure, in which, if the patient's condition remains stable, the VAD speed is further reduced, and if it becomes worse, the VAD speed needs to be increased again.

### **Summary of the invention**

**[0009]** It is an object of the present invention to provide an improved control method and correspondingly improved control device for a VAD as well as a system comprising the control device and a VAD, wherein the VAD can be operated such that a better assessment of the status of heart recovery can be ascertained.

**[0010]** The object is achieved by the features of the independent claim. Advantageous embodiments and further developments are defined in the respective dependent claims.

**[0011]** For sake of clarity, the following definitions will apply herein:

The term "characteristic parameter of the heart" is to be understood as a particular value derived from a physiological signal that is able to characterize a heart's condition with respect to, for example, loading, such as overloaded or unloaded, and/or a physiological condition, such as weak, strong, or recovered.

**[0012]** The "human circulatory system" is an organ system that permits blood to circulate. The essential components of the human circulatory system are the heart, blood and blood vessels. The circulatory system includes the pulmonary circulation, a "loop" through the lungs where blood is oxygenated; and the systemic circulation, a "loop" through the rest of the body to provide oxygenated blood.

**[0013]** The herein disclosed improvement concerns VADs that comprise settable blood flow levels. For example, in case of a rotational blood pump, "settable blood flow levels" may be discrete blood flow levels or continuously settable blood flow levels in a range defined by a minimum blood flow and a maximum blood flow.

**[0014]** The basic idea of the herein proposed control device and corresponding control method for controlling a VAD is to provide a mode in which the current blood flow through the VAD can be kept very low compared to its blood flow outlet capacity, preferably at zero. Preferably, the blood flow through the VAD is kept between 0 and 1 L/min, more preferable between 0 and 0.5L/min, between 0 and 0.2 L/min or even between 0 and 0.1 L/min. Most preferably, the blood flow through the VAD is kept at about zero flow. In that case the VAD is controlled such that it does neither produce a positive nor a negative blood flow. This operation mode is herein called zero-flow control mode. For example a zero-flow may be established and/or maintained by controlling a drive unit, e.g. a motor, of the VAD, in particular the current drive speed of the drive unit, e.g. motor, and thereby the blood flow such that just the current pressure difference between the blood flow inlet and the blood flow outlet of the blood pump is compensated.

**[0015]** "Zero flow" within this context has to be understood as zero flow or a very low blood flow. As the purpose of the zero flow control mode is to achieve knowledge about a recovery status of the heart, it may also be adequate to perform a very low blood flow. A low blood flow, such as up to 0.1 L/min, up to 0.2 L/min or even up to 0.5 L/min, shall be regarded as "zero flow" within this context. In any case "zero-flow" shall not be negative. In other words the zero-flow shall not allow any back flow through the VAD.

**[0016]** A VAD for percutaneous insertion within this context comprises a catheter and a pump unit and is inserted into the patient's heart via a blood vessel, e.g. through the aorta into the left ventricle. The pump unit comprises a blood flow inlet and a blood flow outlet and a cannula through which the blood flow is created by a drive unit for driving the pump unit. For example, the pump unit may comprise a rotor or impeller that is driven by a drive unit, e.g. a motor, to convey blood from the blood flow inlet towards the blood flow outlet. For example, the cannula may extend through the aortic valve with the blood flow inlet disposed at a distal end of the cannula in the left ventricle and the blood flow outlet disposed at a proximal end of the cannula in the aorta. The natural heart function creates a pressure difference that has to be overcome, for example between the aorta and the left ventricle.

**[0017]** By applying the zero-flow control mode, the VAD does not supply assistance or very low assistance to the heart and advantageously avoids regurgitation, i.e. the VAD does not allow a backflow of blood. For example, in case of left ventricle assistance, during diastole, the VAD does not allow a back-flow of blood from the aorta back into the left ventricle.

**[0018]** By applying the zero-flow control mode, the drive unit of the VAD, e.g. a rotor or impeller is still spinning. Thus, there is a reduced risk of thrombus formation due to the still moving parts.

**[0019]** By means of the zero-flow operation mode, the assistance provided by the VAD to the heart is set to be basically zero. Basically zero means any blood flow still produced has to be at least negligible, but in any case is not negative, i.e. the VAD does not allow back-flow through the VAD.

**[0020]** In the zero-flow operation mode, the complete work in overcoming the pressure difference between the pressure in the assisted ventricle, e.g. the left ventricle, and the pressure in the adjacent blood vessel, e.g. the aorta, is provided solely by the heart. This way, the zero-flow operation mode allows monitoring one or more suitable characteristic parameters of the heart which may be used or interpreted as indicator for the status of the heart recovery.

**[0021]** Preferably the blood flow of the VAD is related to the drive speed of the drive unit, e.g. a motor, an electrical current supplied to the drive unit and/or the pressure difference between the outlet and the inlet of the VAD. This relation can be stored in a memory, for example in a look-up table. That is to say, command signal values can be stored in a memory of the control device or a memory in the VAD accessible by the control device.

**[0022]** A first aspect provides control device for controlling a blood flow  $Q_{VAD}(t)$  of a percutaneous inserable ventricular assist device (VAD). The VAD comprises a pump unit and a drive unit for driving the pump unit that is configured to convey blood from a blood flow inlet towards a blood flow outlet. The control device is configured to operate the VAD in a selectable zero-flow control mode, wherein a blood flow command signal  $Q_{VAD}^{set}(t)$  is selected. The control device comprises a first controller and a second controller, wherein the first controller is configured to control the blood flow  $Q_{VAD}(t)$  by adjusting a speed command signal  $n_{VAD}^{set}(t)$  for the drive unit, and the second controller is configured to control a drive speed  $n_{VAD}(t)$  of the drive unit.

**[0023]** Preferably, the VAD comprises between the blood flow inlet and the blood flow outlet a cannula through which the blood flow is created by pump unit.

**[0024]** For example, the controlled blood flow can be constant. By compensating the current pressure difference between the blood flow inlet and the blood flow outlet the actual blood flow through the VAD results in a zero-flow. That is to say, in the zero-flow control mode, a current pressure difference between the blood flow outlet and the blood flow inlet is counteracted by controlling the blood flow via control of the drive speed.

**[0025]** Preferably, the first controller is configured to determine the speed command signal  $n_{VAD}^{set}(t)$  based on a difference  $\Delta Q$  between the blood flow command signal  $Q_{VAD}^{set}(t)$  and the blood flow  $Q_{VAD}(t)$ .

**[0026]** Preferably, the second controller is configured to control the drive speed  $n_{VAD}(t)$  by adjusting a drive current  $I_{VAD}(t)$  supplied to the drive unit. For example, the drive unit can

comprise a motor and the adjusted drive current can be a motor current supplied to the motor. Thus, in case of rotating drive unit the command speed signal  $n_{\text{VAD}}^{\text{set}}(t)$  and set drive speed  $n_{\text{VAD}}^{\text{set}}(t)$  of the drive unit can be a rotational speed.

**[0027]** The control device is configured to control the blood flow  $Q_{\text{VAD}}(t)$  for a predetermined zero-flow control period.

**[0028]** For example, the predetermined zero-flow control period may be set to last a fraction of one cardiac cycle of an assisted heart. That is, the zero-flow control mode is only briefly applied "within-a-beat". In this case, the predetermined zero-flow period is preferably small in comparison with the duration of the heart cycle. This way, information on the recovery status of the heart may be gathered without any overload of the heart since the duration without assistance to the heart is kept at a minimum. For example, the predetermined zero-flow control period may be set to last at least one complete cardiac cycle or a predetermined number of complete consecutive heart cycles.

**[0029]** Preferably, the control device is configured to synchronize the zero-flow control period with an occurrence of at least one characteristic heart cycle event. For example, a beginning and/or end of the zero flow control period is synchronized with the occurrence of the at least one characteristic heart cycle event. Particularly, the beginning and the end of the zero flow control period may be synchronized with the occurrence of two characteristic heart cycle events. This way, the zero-flow control mode can be set to a time interval of the cardiac cycle in which a particular characteristic parameter of the heart may provide particular useful information which directly or indirectly indicates the status of heart recovery.

**[0030]** For example, the characteristic heart cycle events may be the opening of the aortic valve or the closing of the aortic valve. For example, the control device can be configured to detect the opening of the aortic valve by one of: presence of equilibrium of the left ventricular pressure and the aortic pressure, the occurrence of the R-wave in an electrocardiogram, ECG, signal led from the patient with the assisted heart.

**[0031]** Further characteristic heart cycle events may be the opening of the mitral valve, the closing of the mitral valve or the occurrence of an end diastolic left ventricular pressure.

**[0032]** Preferably, the control device is configured to monitor values of one or more characteristic heart parameters. That is, the control device can be configured to, in the zero-flow operation mode, monitor one or more characteristic heart parameters, each time the zero-flow operation mode is applied.

**[0033]** Preferably, the control device is configured to operate the VAD in the zero-flow control mode periodically or randomly. The periodically or randomly application of the zero-flow operation mode may be performed over a predetermined time span, e.g. from fractions of a heart cycle up to several days.

**[0034]** Preferably, the control device is configured to identify a trend of the one or more values of monitored characteristic heart parameters. The trend of the thereby monitored one or more characteristic heart parameters may be used as an indicator for the recovery status of the heart or the status of heart recovery as such, i.e. one may assist whether there is a progress in recovery at all. The trend may be indicated to a physician via a user interface of the control device so that the physician is enabled to decide on the heart recovery status.

**[0035]** For example, the at least one characteristic parameter of the heart can be the arterial blood pressure measured each time the zero-flow operation mode is established. By applying the zero-flow control mode the arterial blood pressure may drop. The pressure drop reaching a critical value or showing a critical decrease indicates that it is not possible to explant the VAD as the heart hasn't recovered. In another example, the arterial blood pressure might stay stable or only shows a minor pressure drop during zero-flow control mode. In this case it can be assumed that the heart has sufficiently recovered and the VAD can be explanted.

**[0036]** Preferably, the at least one characteristic heart parameter is at least one of: the arterial pressure pulsatility  $AOP|_{max} - AOP|_{min}$ , the mean arterial pressure, the contractility of the heart  $dLVP(t)/dt|_{max}$ , the relaxation of the heart  $dLVP(t)/df|_{min}$ , the heart rate HR.

**[0037]** Preferably, the control device is configured to measure the blood flow  $Q_{VAD}(t)$  by means of a sensor or to calculate. For example, the pressure difference between the blood flow outlet and the blood flow inlet may be determined by respective pressure sensors located at the inlet and the outlet of the VAD, i.e. a pressure sensor capturing the after-load of the VAD and a pressure sensor capturing the pre-load of the VAD. The VAD may comprise, alternatively or additionally, one sensor configured to measure just the pressure difference directly. Further in the alternative the pressure difference between the blood flow outlet and the blood flow inlet may be estimated, measured or calculated.

**[0038]** However, monitoring the one or more suitable characteristic heart parameter just by applying the zero-flow control mode within-a-beat, the heart may not sufficiently adapt to the missing assistance of the VAD. Thus, the monitored characteristic heart parameters may still not sufficiently indicate the true status of heart recovery, e.g. the actual pumping capacity of the heart. Thus it is possible to repeat the zero-flow control mode within-a-beat in several consecutive heart cycles.

**[0039]** Thus, the predetermined zero-flow period can be set to last at least one complete cardiac cycle or a predetermined number of complete consecutive heart cycles. For example, the predetermined zero-flow period may be set to be a fraction of a heart cycle up to several hours. This way, the heart can fully adapt to the condition of zero assistance by the VAD so that the actual status of heart recovery can be better ascertained.

**[0040]** It is also possible to combine zero-flow within-a-beat and over a complete heart cycle. For example, the zero flow control mode may be applied at first for a relatively short time

period, for example in a fraction of a heart cycle in 1 to 300 consecutive heart cycles. After acknowledgement of a natural heart function and a sufficient recovery status, the zero flow control modes can be applied over a longer time period, such as over complete heart cycles for several minutes or hours up to days.

**[0041]** A second aspect provides a system comprising a VAD for assistance of a heart and a control device according to the first aspect.

**[0042]** Preferably, the blood pump is catheter-based.

**[0043]** Preferably, the blood pump may be implemented as a rotational blood pump, i.e. a blood pump driven by a rotational motor.

**[0044]** The blood pump may be catheter-based to be implanted or placed directly percutaneously into a heart through corresponding blood vessels. For example, the VAD may be a blood pump as published e.g. in US 5 911 685, which is particularly arranged for a temporary placement or implantation into the left or right heart of a patient.

**[0045]** Preferably, the VAD is a low inertia device. (a) The VAD is a low inertia device by comprising one or more of the following characteristics; (b) Moving, in particular rotating, parts, for example a rotor or impeller, of the VAD have low masses by being made of a low weight material, for example plastic; (c) The drive unit, such as an electric motor, is arranged near, preferably very near, most preferably adjacent, to a moving part, for example a rotor or impeller, of the pump unit driven by the drive unit; (d) If the VAD is catheter-based, there is no rotational drive cable or drive wire. (e) A coupling or connection, for example a shaft, of the drive unit with a rotating part, for example the rotor or the impeller, of the pump unit driven by the drive unit is short; and (f) all moving, in particular rotating, parts of the VAD have small diameters.

**[0046]** A third aspect provides a method for controlling a blood flow  $Q_{VAD}(t)$  of a percutaneous insertable ventricular assist device, VAD as discussed with the first aspect. That is, the VAD comprises the pump unit with a drive unit and is configured to convey blood from a blood flow inlet towards a blood flow outlet. The method comprises the steps: (i) comparing a set blood flow value  $Q_{VAD}^{set}(t)$  with a blood flow value  $Q_{VAD}(t)$  resulting in a control error  $e(t)$  in a first closed-loop cycle; (ii) determining a set speed value  $n_{VAD}^{set}(t)$  for the drive means from the control error  $e(t)$ ; controlling a drive speed  $n_{VAD}(t)$  of the drive unit by comparing the set speed value  $n_{VAD}^{set}(t)$  with the drive speed  $n_{VAD}(t)$  in a second closed-loop cycle.

**[0047]** Preferably, the method further comprises the steps of providing a zero flow mode in which the set blood flow value  $Q_{VAD}^{set}(t)$  is zero for a predetermined zero-flow control period, and preferably setting the predetermined zero-flow control period to last a fraction of one cardiac cycle of an assisted heart, or to last at least one complete cardiac cycle or a

predetermined number of consecutive cardiac cycle fractions and/or complete cardiac cycles.

**[0048]** Preferably, the first closed-loop cycle is an outer control loop and the second closed-loop cycle is an inner control loop of a cascade control.

**[0049]** Preferably, the method further comprises: synchronizing the zero-flow control period with at least one particular characteristic heart cycle event.

**[0050]** Preferably, a beginning and/or an end of the zero flow control period is synchronized with the occurrence of the at least one characteristic heart cycle event.

**[0051]** Preferably, the method further comprises: monitoring one or more values of characteristic heart parameters.

**[0052]** Preferably, the method further comprises: identifying a trend in the one or more monitored values of the characteristic heart parameters.

**[0053]** A fourth aspect provides a control device according to the first aspect which is configured to carry out a method according to the third aspect.

**[0054]** The above-discussed functions or functionalities of the control device and correspondingly of the control method can be implemented by a corresponding computing unit, in hardware or software or any combination thereof, of the control device. Such computing unit can be configured by means of corresponding computer programs with software code for causing the computing unit to perform the respectively required control steps. Such a programmable computing unit is basically well known in the art and to the person skilled in the art. Therefore, there is no need to describe such a programmable computing unit here in detail. Moreover, the computing unit may comprise particular dedicated hardware useful for particular functions, such as one or more signal processors for processing and/or analyzing e.g. the discussed measuring signals. Further, respective units for controlling the speed of a drive of the VAD may be implemented by respective software modules as well.

**[0055]** The corresponding computer programs can be stored on a data carrier containing the computer program. Alternatively, the computer program may be transferred, e.g. via the Internet, in the form of a data stream comprising the computer program without the need of a data carrier.

#### **Detailed description of the drawings**

**[0056]** Hereinafter the invention will be explained by way of examples with reference to the accompanying drawings.

Figure 1

shows a block diagram of a feedback control

Figure 2

shows an exemplary blood pump laid through the aorta and extending through the aortic valve into the left ventricle together with a block diagram of a control device for the pump speed of the blood pump.

Figure 3

shows the exemplary blood pump of Figure 1 in more detail.

Figure 4

is an exemplary diagram showing a set of characteristic curves representing the relationship between the actual pressure difference between the intake and the outlet of the VAD, the actual blood pump speed of the VAD, and the blood flow produced through the VAD.

### Detailed description

**[0057]** Figure 1 shows a block diagram which is an example of the feedback control loop for blood flow control realized as a cascade control system. The control loop comprises an outer controller 401 and an inner controller 402. The outer controller 401 is embedded in outer control loop and regulates a generated blood flow  $Q_{VAD}(t)$  of e.g. the VAD 50 shown in Figure 3 by comparing a blood flow command signal  $Q_{VAD}^{set}(t)$  with the generated blood flow  $Q_{VAD}(t)$ , and by setting the set-point of the inner control loop, namely the speed command signal  $n_{VAD}^{set}(t)$  of the VAD 50. The inner controller 402 is part of the inner control loop and controls the speed  $n_{VAD}(t)$  of the VAD 50 by adjusting a motor current  $I_{VAD}(t)$  accordingly.

**[0058]** In the feedback loop shown in Figure 1, the generated blood flow  $Q_{VAD}(t)$  is exemplarily calculated by means of a look-up table which represents e.g. the relation of the electrical current  $I_{VAD}(t)$ , the speed  $n_{VAD}(t)$  and the generated blood flow  $Q_{VAD}(t)$ . Alternatively or additionally, another look-up table may be used to represent the relation of the pressure difference between the VAD outlet 56 and the VAD inlet 54 (cf. Fig. 3), the speed  $n_{VAD}(t)$  and the blood flow  $Q_{VAD}(t)$ . Another alternative or additional option for data acquisition of the generated blood flow  $Q_{VAD}(t)$  is to use a flow sensor.

**[0059]** The flow control regulates the blood flow  $Q_{VAD}(t)$  through the VAD 50 according to the blood flow command signal  $Q_{VAD}^{set}(t)$ , which can be a constant value (also called set-value) or a changing signal over time. A constant blood flow set-value  $Q_{VAD}^{set}(t)$  may be in the range of [-5 ... 10] L/min, preferably in the range of [0 ... 5] L/min, and most preferably 0 L/min or a very low blood flow as zero-flow.

**[0060]** One of the aims of the here disclosed flow control is to monitor values of characteristic parameters of the heart with the implanted pump 50 for determining the recovery state of the heart while reducing the effect of the pump on the heart function. For this aim, the flow control may use a set blood flow  $Q_{VAD}^{set}(t)$  of 0 L/min or a very low blood flow as zero-flow.

**[0061]** It was found, that the inner control loop may have a small time constant relative to the outer control loop. This way, the inner control loop responds much faster than the outer control loop. In addition, the inner control loop may be performed at a higher sampling rate than the outer control loop.

**[0062]** For example, a sampling rate  $f_{sIN}$  of the data in the inner control loop may be in the range of [250...10k] Hz, preferably [1...3] kHz, and most preferably 2.5 kHz.

**[0063]** For example, a sampling rate  $f_{sOUT}$  of the data in the outer control loop may be in the range of [25... 1000] Hz, preferably [100 ... 300] Hz, most preferably 250 Hz.

**[0064]** Figures 2 and 3 show an example for a VAD. The VAD is configured for a percutaneous insertion into a heart. In the embodiment shown, the VAD is a micro axial rotary blood pump, in the following for short called blood pump or VAD 50. Such a blood pump is, for example, known from US 5 911 685 A.

**[0065]** The VAD 50 is based on a catheter 20 by means of which the VAD 50 can be temporarily introduced via a vessel into a ventricle of a patient's heart. The VAD 50 comprises in addition to the catheter 20 a rotary drive unit 51 fastened to the catheter 20. The rotary drive unit 51 is coupled with a pump unit 52 located at an axial distance therefrom.

**[0066]** A flow cannula 53 is connected to the pump unit 52 at its one end, extends from the pump unit 52 and has blood flow inlet 54 located at its other end. The blood flow inlet 54 has attached thereto a soft and flexible tip 55.

**[0067]** The pump unit 52 comprises the pump housing with blood flow outlet 56. Further, the pump unit 52 comprises a drive shaft 57 protruding from the drive unit 51 into the pump housing of the pump unit 52. The drive shaft 57 drives an impeller 58 as a thrust element. During operation of the VAD 50 blood is sucked through the blood flow inlet 54, conveyed through the cannula 53 and discharged through the blood flow outlet 56. The blood flow is generated by means of the rotating impeller 58 driven by the drive unit 51.

**[0068]** In the embodiment shown, through the catheter 20 pass three lines, namely two signal lines 28A, 28B and a power supply line 29 for supplying electrical power to the drive unit 51 of the VAD 50. The signal lines 28A, 28B and the power-supply line 29 are attached at their proximal end to the control device 100 (Figure 2). The signal lines 28A, 28B are associated with respective blood pressure sensors with corresponding sensor heads 30 and 60, respectively. The power supply line 29 comprises supply lines for supplying electrical power to

the drive unit 51.

**[0069]** The drive unit 51 may be a synchronous motor. In an exemplary configuration the electrical motor may comprise several motor winding units for driving the impeller 58 that is coupled with the drive shaft 57. A rotor of the synchronous motor may comprise at least one field winding or, alternatively, a permanent magnet in case of a permanent magnet excited synchronous motor.

**[0070]** In a preferred embodiment, the VAD 50 is a catheter-based micro axial rotational blood pump for percutaneous insertion through a patient's vessel into the patient's heart. Here, "micro" indicates that the size is small enough so that the blood pump can be percutaneously inserted into the heart, e.g. into one of the ventricles of the heart, via blood vessels leading to the heart. This also defines the VAD 50 as an "intravascular" VAD for percutaneous insertion. Here, "axial" indicates that the pump unit 52 and the drive unit 51 driving it are arranged in an axial configuration. Here, "rotational" means that the pump's functionality is based on a rotating operation of the trust element, i.e. the impeller 58, driven by the rotational electrical motor of the drive unit 51.

**[0071]** As discussed above, the VAD 50 is based on the catheter 20 by which the insertion of the blood pump 50 through the vessels can be performed and through which the power supply line 29 can be passed for supplying electrical power to the drive unit 51 and control signals, e.g. from the drive unit 51 and the sensor heads 30, 60.

**[0072]** As shown in Figure 2, each signal line 28A, 28B connects to one respective blood pressure sensor with the corresponding sensor head 30 and 60, respectively, which are located externally on the housing of the pump unit 52. The sensor head 60 of the first pressure sensor is connected with signal line 28B and is for measuring the blood pressure at the blood flow outlet 56. The sensor head 30 of the second blood pressure sensor is connected with signal line 28A and is for measuring the blood pressure at the blood flow inlet 54. Basically, signals captured by the pressure sensors, which carry the respective information on the pressure at the location of the sensor and which may be of any suitable physical origin, e.g. of optical, hydraulic or electrical, etc., origin, are transmitted via the respective signal lines 28A, 28B to corresponding inputs of a data processing unit 110 of the control device 100. In the example shown in Figure 2, the VAD 50 is positioned in the aorta and via the aortic valve in the left ventricle of the heart so that the pressure sensors are arranged for measuring the aortic pressure  $AoP(t)$  by sensor head 60 and the left ventricular pressure  $LVP(t)$  by sensor head 30.

**[0073]** The data processing unit 110 is configured for acquisition of external and internal signals, for signal processing, which includes for example calculation of a difference between pressure signals as a basis for estimating the generated blood flow  $Q_{VAD}(t)$  which may serve as control signal for the flow control approach, for signal analysis to detect the occurrence of characteristic events during the cardiac cycle based on the acquired and calculated signals, and for generating trigger signals  $\sigma(t)$  for triggering a speed command signal generator 120, just to name a few examples.

**[0074]** For the given example of a flow control approach, the speed command signal generator 120 represents the outer controller 401 in Figure 1.

**[0075]** In the shown embodiment, the data processing unit 110 is connected via corresponding signal lines to additional measurement devices which are depicted in general by 300. Such additional measurement devices are, in the embodiment, a patient monitoring unit 310 and an electrocardiograph (ECG) 320; apparently, these two devices 310 and 320 are just two examples and not exhaustive, i.e. other measuring devices may be used for providing useful signals, as well. The depicted ECG 320 provides an ECG signal  $ECG(t)$  to the data processing unit 110.

**[0076]** The control device 100 further comprises a user interface 200. The user interface 200 for interaction with the user of the device. The user interface 200 comprises as output means a display 210 and as input means a communication interface 220. On the display 210, values of setting parameters, values of monitored parameters, such as measured pressure signals, and other information is displayed. Further, by the communication interface 220, the user of the control device 100 is enabled to take control of the control device 100, e.g. by changing the setup and settings of the whole system comprised of the VAD and the control device 100.

**[0077]** For the given example of a flow control approach, a setting would be the choice of the desired pump flow  $Q_{VAD}^{set}(t)$  in Figure 1.

**[0078]** The data processing unit 110 is particularly configured to derive or predict the time of occurrence of one or more predefined characteristic events during the cardiac cycle of the assisted heart. For example, the data processing unit 110 is configured to detect a predefined characteristic cardiac cycle event during the cardiac cycle by means of real-time analysis of monitored signals. Alternatively or additionally, a predefined characteristic cardiac cycle event, such as e.g. the R-wave, may be identified by the ECG signal from the ECG 320.

**[0079]** The occurrence of one or more determined predefined characteristic events are used for generation of a particular trigger signal  $\sigma(t)$  or a sequence of trigger signals  $\sigma(t)$ . The resulting trigger signal  $\sigma(t)$  (or sequence thereof) is forwarded to the speed command signal generator 120 to correspondingly trigger speed command signal changes provided to a speed control unit 130.

**[0080]** In the context of the present invention, the speed command signal generator 120 is configured to operate the blood pump 50 in the zero-flow control mode.

**[0081]** The data processing unit 110 may be configured to predict the time of occurrence of the at least one predefined characteristic cardiac cycle event in an upcoming cardiac cycle based on the stored information about the characteristic cardiac cycle events occurring during the current and/or previous cardiac cycles, and analyze previous values of these speed command

signals  $n_{VAD}^{set}(t)$ , as well.

**[0082]** For example, a characteristic cardiac cycle event may be the beginning of contraction of the heart at the beginning of the systolic phase. The detected occurrence or the predicted occurrence of such characteristic cardiac cycle event can be used for synchronizing sequential applications of a particular control approach for the VAD 50 within one or several cardiac cycles or within a particular time interval of the cardiac cycle.

**[0083]** Correspondingly, the speed command signal generator 120 is configured to adjust the speed command signal  $n_{VAD}^{set}(t)$  for the VAD 50 to control the generated blood flow  $Q_{VAD}(t)$  according to a given blood flow command signal  $Q_{VAD}^{set}(t)$  which maybe set to be e.g. 0 L/min.

**[0084]** To control the generated blood flow  $Q_{VAD}(t)$ , the speed command signal generator 120 is configured as an outer controller in the cascade control system to provide a suitable speed command signal  $n_{VAD}^{set}(t)$  to the speed control unit 130 either in a time-continuous way by continuously controlling the generated blood flow  $Q_{VAD}(t)$  (as a first setup) or in an event-based switching control manner (as a second setup).

**[0085]** In the first setup, the command signal generator 120 continuously provides the speed command signal  $n_{VAD}^{set}(t)$  to the speed control unit 130 as part of a cascaded blood-flow control system being fed with external and internal signals by the data processing unit 110.

**[0086]** In the second setup, the speed command signal generator 120 operates as in the first setup with the additional feature to switch the continuous blood flow control on and off.

**[0087]** In the zero-flow control mode, the speed command signal  $n_{VAD}^{set}(t)$  is continuously adjusted by the flow controller in the outer control loop. The on/off switching is triggered by at least one trigger signal  $\sigma(t)$  provided by the data processing unit 110.

**[0088]** The second setup is suitable, if the zero flow control is just applied for a short time interval, in particular short compared with the duration of one cardiac cycle; in other words, the generated blood flow is controlled with a blood flow command signal  $Q_{VAD}^{set}(t)$  of 0 L/min just for a brief time interval within the cardiac cycle (within-a-beat blood flow control).

**[0089]** The speed control unit 130 controls the speed  $n_{VAD}(t)$  of the VAD 50, in accordance with the speed command signal  $n_{VAD}^{set}(t)$ , by supplying an electrical current  $I_{VAD}(t)$  to the drive unit 51 of the VAD 50 via the power-supply line 29.

**[0090]** The current level of the supplied motor current  $I_{VAD}(t)$  corresponds to the electrical current currently required by e.g. an electrical motor of the drive unit 51 to establish a target

speed level as defined by the speed command signal  $n_{VAD}^{set}(t)$ . A measuring signal such as the supplied motor current  $I_{VAD}(t)$  can be used as a representative signal of an internal signal of the control device 100 and may be provided to the data processing unit 110 for further processing. Via the power-supply line 29, the VAD 50 may also communicate with the control unit 100.

**[0091]** Basically, amongst others, the control device 100 is configured to operate the VAD 50 in the selectable zero-flow control mode, in which the blood flow  $Q_{VAD}(t)$  of the VAD 50 is controlled to counteract the changing pressure difference between the blood outlet 56 and the blood inlet 54 due to heart beat which can be regarded as disturbance. The blood flow  $Q_{VAD}(t)$  is controlled by adjusting the speed command signal  $n_{VAD}^{set}(t)$ . As proposed herein, the control device 100 is configured to control the blood flow  $Q_{VAD}(t)$  of the VAD 50 such that the VAD 50 generates zero blood flow for a predetermined zero-flow control period.

**[0092]** In the first setup with continuous flow control, the predetermined zero-flow control period is set to last at least one complete cardiac cycle or a predetermined number of complete consecutive heart cycles. Further in the first setup, the control device 100 is configured to monitor the values of one or more characteristic heart parameters with the implanted VAD 50. Again, the monitored values of one or more characteristic heart parameters can be used as an indicator for the status of heart recovery.

**[0093]** In the second setup with an event-based zero-flow control, the predetermined zero-flow control period is set to be a fraction of the duration of one cardiac cycle of the heart with the implanted VAD 50. In this setup, the control device 100 is configured to synchronize the beginning and the end of the zero-flow control period with the occurrence of a particular characteristic heart cycle event.

**[0094]** Notably, the control device 100 may control the blood flow  $Q_{VAD}(t)$  through the VAD 50 periodically or randomly.

**[0095]** In a particular implementation, a characteristic heart cycle event is the opening of the aortic valve or the closing of the aortic valve or the opening of the mitral valve or the closing of the mitral valve, or particular pressure values as the end-diastolic left ventricular pressure.

**[0096]** Further in the second set-up, as in the first setup, the control device 100 is configured to monitor the values of one or more characteristic heart parameters of the heart with the implanted VAD 50 during the zero-flow control period. The monitored values of one or more characteristic heart parameters can be used as an indicator for the status of heart recovery, as well.

**[0097]** The control device 100 is further configured to identify a trend in the values of one or more monitored characteristic parameters. As mentioned herein above, the trend can be interpreted as an indicator for the status of heart recovery, too.

**[0098]** In any case, for implementing the zero-flow control mode, the control device 100 is configured to control the blood flow  $Q_{VAD}(t)$  by adjusting the speed command signal  $n_{VAD}^{set}(t)$  of the VAD 50 whereby the drive speed  $n_{VAD}(t)$  is affected by the changing blood pressure difference between the blood flow outlet 56 of the VAD 50 and the blood flow inlet 54 of the VAD 50 during the cardiac cycle.

**[0099]** Particularly, the control device 100 is configured to determine the blood flow  $Q_{VAD}(t)$  of the VAD 50 based on predetermined signals as e.g. the drive speed  $n_{VAD}(t)$ , the electrical current  $I_{VAD}(t)$  and/or the pressure difference between the blood flow outlet 56 and the blood flow inlet 54 of the VAD 50.

**[0100]** Figure 4 is an exemplary diagram showing a set of characteristic curves representing the relationship between the pressure difference  $\Delta P_{pump}(t)$  between the blood flow outlet 56 and the blood flow inlet 54 of the blood pump 50, the drive speed  $n_{pump}(t)$  of the VAD 50, and the blood flow  $Q_{pump}(t)$  generated by the VAD 50, e.g. through the flow cannula 53 in Figure 3.

**[0101]** In order to perform the zero flow control, the data processing unit 110 is configured to continuously determine the blood flow  $Q_{VAD}(t)$  generated by the VAD 50, based on the known the speed  $n_{pump}(t)$ , the known electrical current  $I_{pump}(t)$  supplied to the pump unit 51 and/or the monitored pressure difference  $\Delta P_{pump}(t)$  between the blood flow outlet 56 and the blood flow inlet 54 of the VAD 50. A set blood flow value  $Q_{VAD}^{set}(t)$  could be zero or at least a positive value close to zero.

**[0102]** For example, based on Figure 4, in the case, the monitored pressure difference  $\Delta P_{pump}(t)$  between the blood flow outlet 56 and the blood flow inlet 54 of the VAD 50 is 60 mmHg, the drive speed  $n_{pump}(t)$  has to be at about 20.000 1/min to generate a blood flow  $Q_{VAD}(t)$  of about 0 L/min.

**[0103]** As discussed before, the current pressure difference  $\Delta P_{pump}(t)$  can be determined by means of pressure sensors (e.g. sensors 30, 60, Figure 3) of the VAD 50. Thus, speed control unit 130 can be continuously provided with values out of storage unit, e.g. a look-up table in which the characteristic curves of Figure 4 (here representing the relationship between the above-discussed values  $\Delta P_{pump}(t)$ ,  $Q_{pump}(t)$ , and  $n_{pump}(t)$ ) are stored. The storage unit may be a read only memory of the data processing unit 110 or, alternatively, a storage chip in the VAD 50 or in the control console 130 thereof.

**[0104]** The at least one characteristic heart parameter value is at least one of: the arterial blood pressure measured each time the zero-flow operation mode is established.

**[0105]** Preferably, the VAD 50 is a low inertia device. This particularly achieved in that moving,

in particular rotating, parts, for example a rotor or impeller, of the VAD 50 comprise low masses, by being made of a low weight material, for example plastic. Additionally, the drive unit, such as an electric motor, is arranged near, preferably very near, most preferably adjacent, to a part, such as the trust element, for example a rotor or impeller 58, driven by the drive unit. Additionally, even though the VAD 50 is catheter-based, there is no rotational drive cable or drive wire. Additionally, a coupling or connection, for example the shaft 57, of the drive unit 51 with the trust element, for example a rotor or impeller 58, driven by the drive unit 51 is kept short. Additionally, all moving, in particular rotating, parts of the VAD 50 have small diameters.

**[0106]** Summarizing, in the herein proposed zero-flow control approach, the control device 100 controls the generated blood flow  $Q_{VAD}(t)$  through the VAD 50 in a cascade control consisting of an outer and an inner control loop. That means the generated blood flow  $Q_{VAD}(t)$  through the VAD 50 is controlled by adjusting the speed command signal  $n_{VAD}^{set}(t)$  for the drive unit 51 of the VAD 50 in the outer control loop, and the drive speed  $n_{VAD}(t)$  by adjusting the electrical current  $I_{VAD}(t)$  is controlled in the inner control loop. The zero flow control approach is either applied continuously or partly continuously, i.e. the zero-flow control period lasts either one or several complete cardiac cycles or just a fraction of a cardiac cycle. In the case, that the predetermined zero-flow control period lasts just a portion of the duration of the cardiac cycle, the zero-flow control period may be synchronized with the heartbeat by means of at least one characteristic event of the cardiac cycle.

## REFERENCES CITED IN THE DESCRIPTION

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### Patent documents cited in the description

- [US20050215843A \[0002\]](#)
- [US5911685A \[0044\] \[0064\]](#)

**Patentkrav**

- 1.** Styringsindretning (100) til styring af en blodstrømning  $Q_{VAD}(t)$  af en perkutan indsættelig ventrikulær hjælpeindretning, VAD, (50), hvor VAD'en (50) omfatter  
5 en pumpeenhed (52) og en drivenhed (51) til at drive pumpeenheden, som er konfigureret til at transportere blod fra et blodstrømningsindløb (54) mod et blodstrømningsudløb (56), hvor  
styringsindretningen (100) er konfigureret til at operere VAD'en (50) i en valgbar nulstrømningsstyringstilstand, hvor et blodstrømningskommandosignal  $Q_{VAD}^{set}(t)$   
10 er valgt, hvor styringsindretningen (100) er konfigureret til at styre blodstrømningen  $Q_{VAD}(t)$  i en forudbestemt nulstrømningsstyringsperiode, hvor den forudbestemte nulstrømningsstyringsperiode er indstillet til at være en brøkdel af en hjertecyklus af et assisteret hjerte eller den forudbestemte nulstrømningsstyringsperiode er indstillet til at være mindst en komplet  
15 hjertecyklus eller et forudbestemt antal af komplette konsekutive hjertecykluser; og  
styringsindretningen (100) omfatter en første kontroller (401) og en anden kontroller (402), hvor den første kontroller (401) er konfigureret til at styre blodstrømningen  $Q_{VAD}(t)$  ved at justere et hastighedskommandosignal  $n_{VAD}^{set}(t)$   
20 for drivenheden (51), og den anden kontroller (402) er konfigureret til at styre en drivhastighed  $n_{VAD}(t)$  af drivenheden (51).
- 2.** Styringsindretning (100) ifølge krav 1, hvor den første kontroller (401) er yderligere konfigureret til at bestemme hastighedskommandosignalet  $n_{VAD}^{set}(t)$   
25 baseret på en forskel  $\Delta Q$  mellem blodstrømningskommandosignalet  $Q_{VAD}^{set}(t)$  og blodstrømningen  $Q_{VAD}(t)$ .
- 3.** Styringsindretning (100) ifølge krav 1 eller 2, hvor den anden kontroller (402) er konfigureret til at styre drivhastigheden  $n_{VAD}(t)$  ved at justere en drivstrøm  
30  $I_{VAD}(t)$ , der forsynes til drivenheden (51).
- 4.** Styringsindretning (100) ifølge et hvilket som helst af kravene 1 til 3, hvor styringsindretningen (100) er konfigureret til at synkronisere nulstrømningsstyringsperioden med en forekomst af mindst en karakteristisk

hjerterecykusbegivenhed.

**5.** Styringsindretning ifølge krav 4, hvor en begyndelse og/eller slutning af nulstrømningsstyringsperioden er synkroniseret med forekomsten af den mindst ene karakteristiske hjerterecykusbegivenhed.

**6.** Styringsindretning (100) ifølge krav 5, hvor den mindst ene karakteristiske hjerterecykusbegivenhed er åbningen af aortaklappen eller lukningen af aortaklappen.

10

**7.** Styringsindretning (100) ifølge et hvilket som helst af kravene 1 til 6, hvor styringsindretningen (100) er konfigureret til at overvåge værdier af en eller flere karakteristiske hjerteparametre.

15 **8.** Styringsindretning (100) ifølge et hvilket som helst af kravene 1 til 7, hvor styringsindretningen (100) er konfigureret til at operere VAD'en (50) i nulstrømningsstyringstilstanden periodisk eller tilfældigt.

20 **9.** Styringsindretning (100) ifølge et hvilket som helst af kravene 7 til 8, hvor styringsindretningen (100) er konfigureret til at identificere en tendens af den ene eller flere værdier af overvågede karakteristiske hjerteparametre.

25 **10.** Styringsindretning (100) ifølge krav 9, hvor den mindst ene karakteristiske hjerteparameter er mindst en af: arterielt trykpulsalitet  $AOP|_{\max} - AOP|_{\min}$ , det gennemsnitlige arterielle tryk, kontraktiliteten af hjertet  $dLVP(t)/dt|_{\max}$ , relaksationen af hjertet  $dLVP(t)/dt|_{\min}$ , hjertefrekvensen HR.

30 **11.** Styringsindretning (100) ifølge et hvilket som helst af kravene 1 til 10, hvor styringsindretningen (100) er konfigureret til at måle blodstrømmen  $Q_{VAD}(t)$  ved hjælp af en sensor eller ved at beregne.

**12.** System, der omfatter en VAD (50) til understøttelse af et hjerte og styringsindretningen (100) ifølge et hvilket som helst af kravene 1 til 11.

**13.** System ifølge krav 12, hvor VAD'en (50) er en lav inertiindretning ved at omfatte en eller flere af følgende karakteristikker: at bevæge, især roterende, dele, for eksempel en rotor eller impeller, af VAD'en, der har lave masser ved at være fremstillet af et lavvægtsmateriale, for eksempel plast; drivenheden (51),  
5 såsom en elektrisk motor, er anbragt nær, fortrinsvist meget nær, mest fortrinsvist tilstødende, en bevægelig del, for eksempel en rotor eller impeller, der drives af drivenheden (51), og, hvis kateter-baseret, fortrinsvis uden rotationsdrivkabel eller drivwire; en kobling eller forbindelse, for eksempel en aksel, af drivenheden (51) med en roterende del, for eksempel rotoren eller  
10 impelleren, der er drevet af at drivenheden (51) er kort; og alle bevægelige, især roterende, dele af VAD'en, har små diametre.

DRAWINGS

Fig. 1

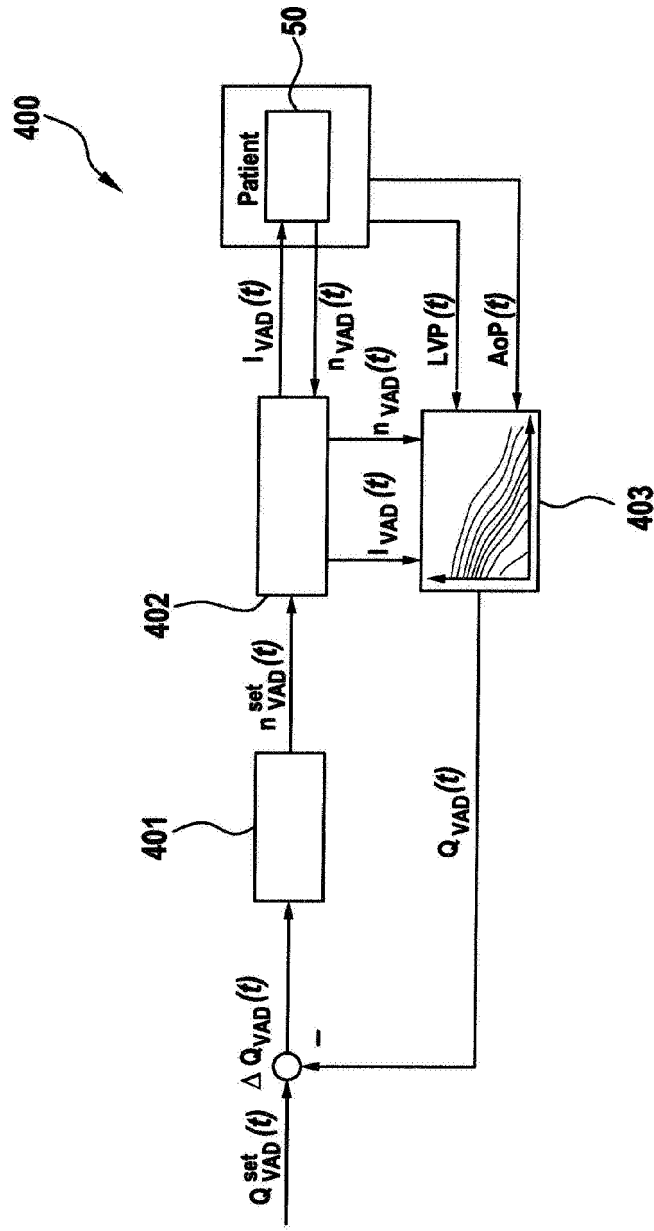


Fig. 2

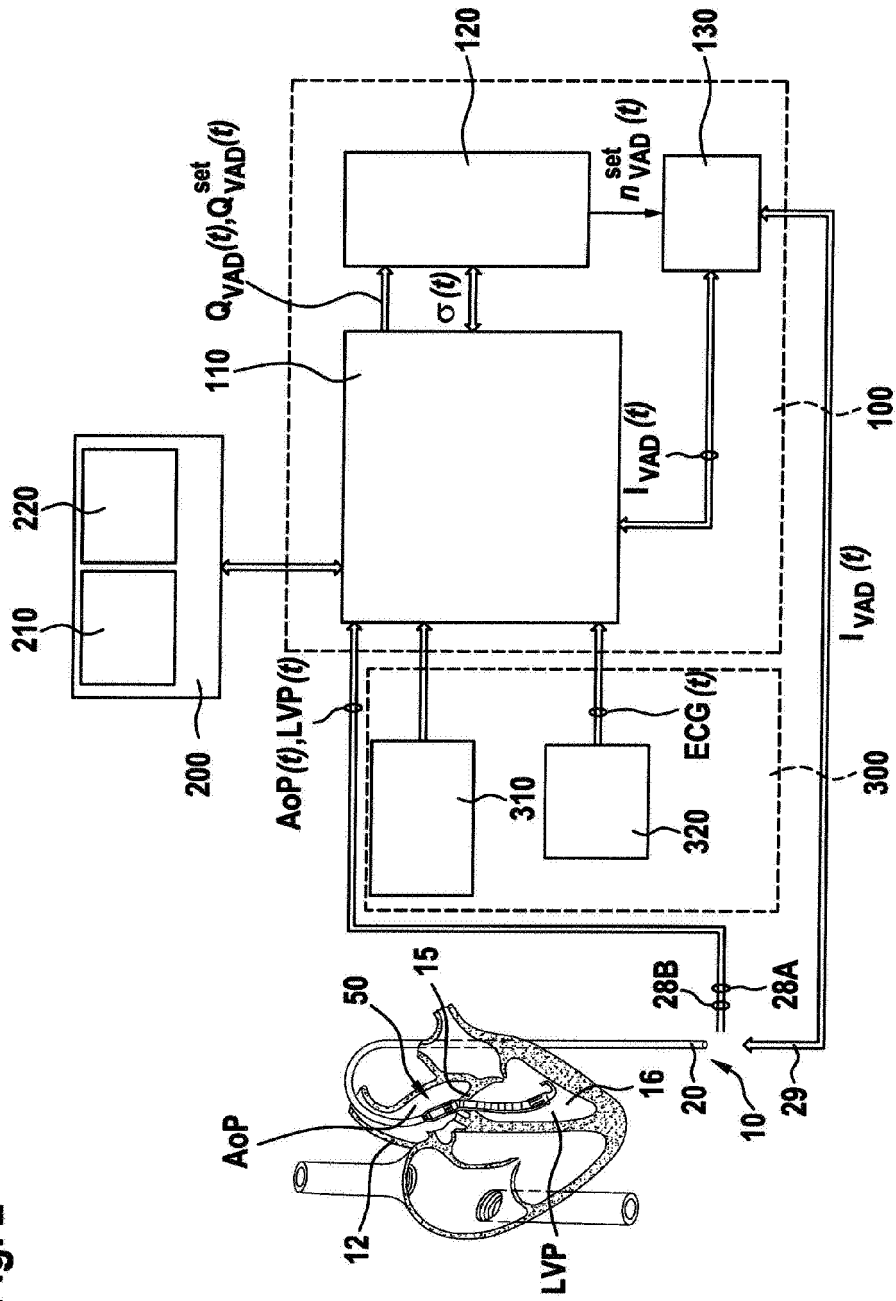


Fig. 3

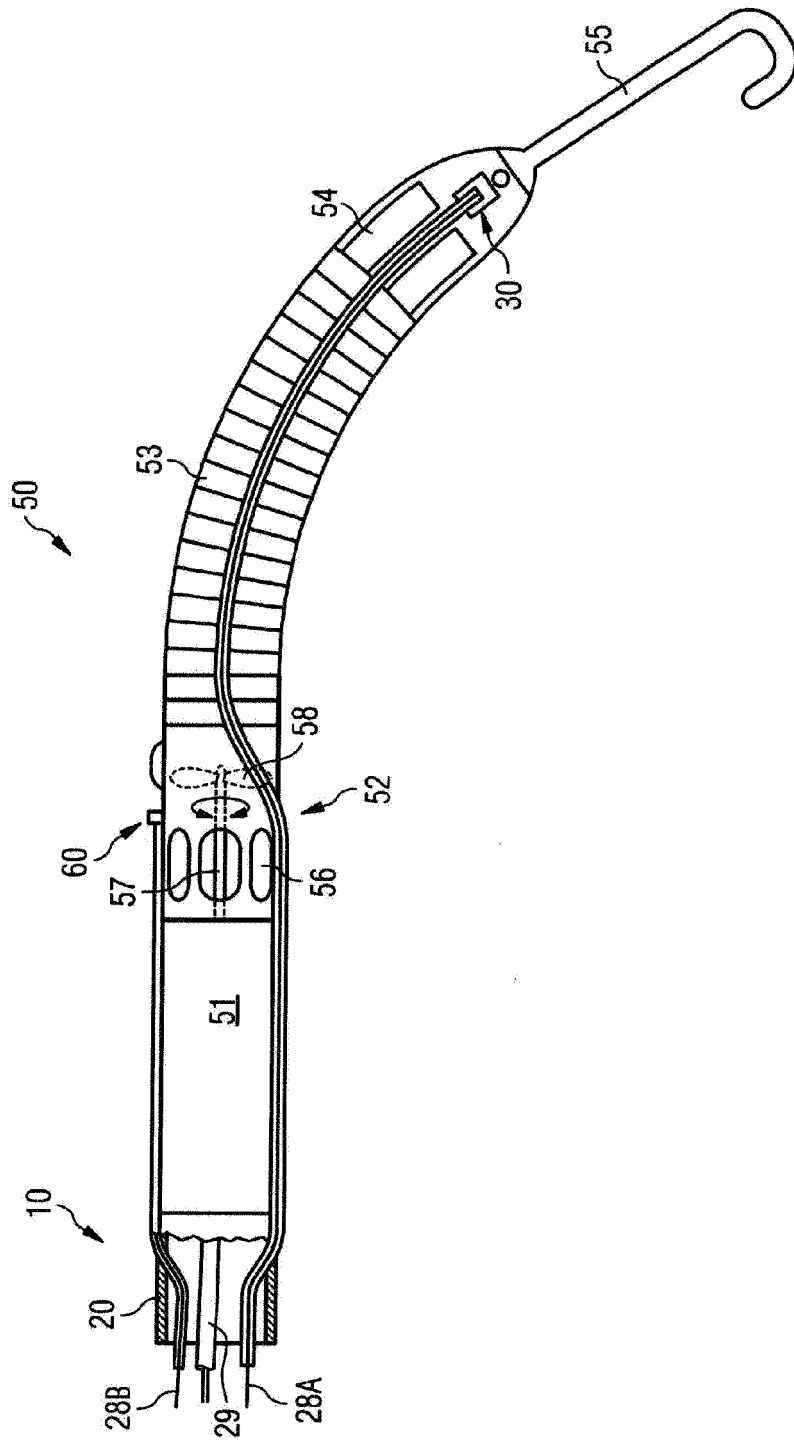


Fig. 4

