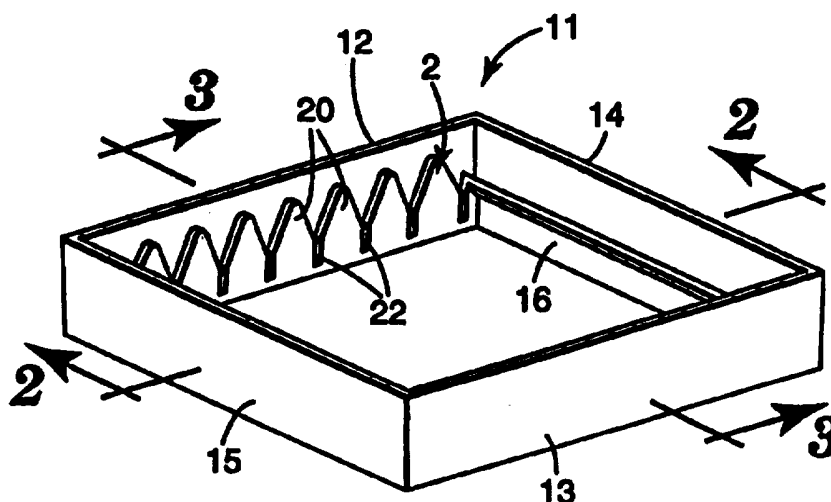


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<p>(21) International Application Number: PCT/US96/13710</p> <p>(22) International Filing Date: 23 August 1996 (23.08.96)</p> <p>(30) Priority Data: 08/529,144 15 September 1995 (15.09.95) US</p> <p>(71) Applicant: MINNESOTA MINING AND MANUFACTURING COMPANY [US/US]; 3M Center, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).</p> <p>(72) Inventor: DUFFY, Dean, R.; P.O. Box 33427, Saint Paul, MN 55133-3427 (US).</p> <p>(74) Agents: BOND, William, J. et al.; Minnesota Mining and Manufacturing Company, Office of Intellectual Property Counsel, P.O. Box 33427, Saint Paul, MN 55133-3427 (US).</p>		<p>(81) Designated States: CA, JP, SG, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p>Published <i>With international search report.</i></p>

(54) Title: PLEATED FILTER ASSEMBLY



(57) Abstract

There is provided a pleated zig-zag filter in a filter frame assembly. The filter frame has triangular shaped pleats stabilizing elements on two sidewalls with the pleat stabilizing elements forming a pleat retaining gap that frictionally engage with the filter pleat tips on one face of the filter holding the filter in the frame assembly. The pleat stabilizing elements engage the filter pleat tips on the second face.

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PLEATED FILTER ASSEMBLY

Background and Field of the Invention

This invention relates to a filter housing and pleated filter for the filtration of particulate matter from fluids, particularly air.

There are many known filters that use a fibrous nonwoven filter media that is pleated into a zigzag shape. This shape of filter media is desirable in terms of providing good filter efficiency and loading capacity in a relatively small cross-sectional area.

The structural integrity of the pleated filter's zigzag shape is typically provided by a rigidifying member other than the filter material. For example, U.S. Patent No. 3,793,813 discusses the use of a cardboard frame in which is included the fibrous filter material. Also employed in the art are wire supports, such as discussed in U.S. Patent No. 3,853,529 and polymeric rigidifying materials which are disclosed, for example, in U.S. Patent Nos. 5,376,218, 5,240,479 and 5,306,321 and PCT Application No. 93/11849. With the possible exception of thicker wire mesh support, generally these rigidifying elements are not sufficiently rigid at the bending lines to maintain the pleat spacing of the filter material and additional external supports are required. A common approach is to adhesively secure the side edges of the pleated filter to the sidewalls of a rigid filter frame, such as disclosed in U.S. Patent Nos. 5,397,632 and 5,098,767. However, there have been proposals to maintain pleat spacing by other methods including adhesive beads placed on the strip along the tops of the pleat structures as disclosed in U.S. Patent No. 3,692,184 and a bead of adhesive, such as disclosed in U.S. Patent No. 3,397,518.

5 In U.S. Patent No. 5,306,321 pleat spacing is maintained by forming indents at the tops of the pleated filter which indents form "Pleatloc" dimples. These dimples contact each other and thereby prevent the pleated filter faces from coming into full contact and
10 also maintain pleat spacing.

 In U.S. Patent No. 5,167,740 the pleat spacing of a zigzag filter is maintained by placing the filter material, along with any polymeric rigidifying netting, into a jig with a portion of thermoplastic filter
15 material and netting extending beyond the jig. This extended filter and netting material is then swiped with a heated platen while in the jig. This fuses the thermoplastic filter material and netting forming a rigid continuous side panel of the fused thermoplastic
20 material. As the fused side panel is still continuously connected to the pleated filter material the pleat spacing of the filter material is locked in place.

 Mechanical means for maintaining pleat spacing include zigzag teeth-type elements. In U.S. Patent No.
25 3,793,813, two zigzag shaped ribs are placed along two opposing sidewalls of a filter housing. The rigid pleated filter, in a cardboard frame, sits on these ribs so that the ribs maintain the pleat spacing of the filter. In U.S. Patent No. 4,547,950, two tapered combs
30 are placed on the downstream face of a pleated filter where the teeth of the combs extend partially into the upstream pleat structures. The teeth of the combs space the pleats on one face of the zigzag filter. The airflow presses the filter media against the teeth on the
35 opposite face thereby maintaining the pleat spacing on that face. In both of the above patents the filter media would be pressed into the teeth valleys by the oncoming air stream. A problem with this approach is that the filter media can easily fall out if not restrained on

5 the opposite face when not in use (i.e., during production, installation, shipping, etc.).

In other U.S. patents, instead of teeth-type support structures on only one face of the pleated filter, two interengaging teeth structures are used. 10 These interengaging teeth are on opposite faces of the pleated filter thereby clamping the zigzag shaped filter media between the opposing teeth elements, such as disclosed in U.S. Patent Nos. 2,058,669, 4,177,050 and 3,873,288. This avoids problems with the filter media 15 falling out, however, filter media performance is lost where the opposing teeth elements engage. In the first two patents, multiple interengaging teeth elements are placed along the central body portion of the filter housing, although in the '050 patent the opposing teeth 20 do not fully engage. This is to avoid overly compressing the filter medium to minimize loss of filter performance. In U.S. Patent No. 3,873,288 the opposing interengaging teeth fully compress the filter medium between the engaging teeth elements, however, the teeth 25 elements also form the housing and as such, the teeth only peripherally engage and compress the filter medium.

Brief Summary of the Invention

A zigzag filter comprising a zigzag shaped filter 30 media and a filter frame. The filter frame has two continuous outer sidewalls and two inner sidewalls. Each of said inner and outer sidewalls are separated by a continuous fluid impermeable spacer element. The inner sidewalls have a plurality of upstanding triangular 35 shaped pleat stabilizing elements with first pleat stabilizing element sidewalls tapering outward from a peak toward second pleat stabilizing element sidewall segments. Adjacent second sidewall segments form a pleat retaining gap. The pleat stabilizing elements have a

5 shape such that the pleat stabilizing elements support and engage the zigzag filter first pleat tips on a first face of the filter. The second series of pleat tips are frictionally engaged by the pleat retaining gaps on the first filter face such that the filter second face pleat
10 tips are partially compressed in said pleat retaining gaps.

Brief Description of the Drawings

Fig. 1 is a perspective view of a first embodiment
15 of a filter frame in accordance with the invention.

Fig. 2 is a cross-sectional view of the Fig. 1 filter frame taken along lines 2-2.

Fig. 3 is a cross-sectional view of the Fig. 1 filter frame taken along lines 3-3.

20 Fig. 4 is a cross-sectional view, as in Fig. 2, with a zigzag filter media inserted in the filter frame.

Fig. 5 is a cross-sectional cutaway view of an invention filter frame pleat stabilizing elements.

25 Fig. 6 is a cross-sectional cutaway view of a second embodiment of an invention filter frame pleat stabilizing elements.

Fig. 7 is a top view of a blank used to form a second embodiment filter frame in accordance with the invention.

30 Fig. 8 is a perspective view of a third embodiment filter frame in accordance with the invention.

Fig. 9 is a side view of a pleatable filter media usable in the invention filter frame.

35 Fig. 10 is a cutaway perspective view of a filter housing used with an invention filter frame.

Fig. 11 is a perspective view of a fourth embodiment filter frame in accordance with the invention.

5 Detailed Description of the Preferred Embodiments

 Fig. 1 is a perspective view of an invention filter
frame 11 with outer endwalls 14 and 15 and outer
sidewalls 12 and 13. Spaced from the two outer
sidewalls 12 and 13 are side pleat stabilizing inner
10 sidewalls 2 with pleat stabilizing elements 20, which
are generally triangular structures in the shape of an
individual filter pleat. Spaced from the two outer
endwalls 14 and 15 are terminal pleat retaining inner
endwalls 16. The inner endwalls 16 are spaced from the
15 outer endwalls 14 and 15 to form a terminal pleat
retaining gap 18, as shown in Fig. 2. Preferably, the
inner endwall 16 is tapered to facilitate insertion of
the terminal filter pleat into the terminal pleat
retaining gap 18.

20 The two outer sidewalls 12 and 13 and the inner
sidewalls 2 can be straight as shown in Fig. 1 or they
can form an arched or curved shape. The endwalls (14,
15, 16) are preferably straight and parallel. The inner
sidewalls 2 are provided with the pleat stabilizing
25 elements 20 which between adjacent pleat stabilizing
elements 20 form a pleat receiving gaps 21, as shown in
Fig. 2. The pleat receiving gaps 21 terminate in a
pleat tip retaining gap 22.

 The pleat tip retaining gap 22 is of a size and
30 shape such that it frictionally engages with the outer
face of the filter media 1 pleat tips 4 as shown in Fig.
4 which shows the filter 10 with the filter frame 11 and
media 1. The opposing filter media 1 pleat tip 5 inner
face follows the contour of the pleat stabilizing
35 element 20 tip 27.

 The pleat stabilizing element 20 tapers away from
tip 27 with generally smooth sidewalls 28 until the
pleat tip retaining gap 22 as shown in Fig. 5. The tip
27 generally has a radius of curvature such that the

5 filter media can follow the tip without any gaps forming
between the filter media and the pleat stabilizing
element 20, although this depends on the conformability
of the filter media, stiffer media will require a larger
radius of curvature than a more conformable filter
10 media. Similarly, tapering sidewalls 28 do not have any
abrupt angles or structures and are preferably flat so
that the filter media 1 follows the sidewalls without
any gaps forming between the sidewalls 28 and the filter
media 1. The pleat stabilizing element 20 sidewalls 28
15 generally taper at an angle of from 20 to 55 degrees,
preferably 30 to 45 degrees. At the terminal portion of
sidewalls 28 two adjacent pleat stabilizing elements 20
form a pleat tip retaining gap 22 by two adjacent
sidewall segments 25. Sidewall segments 25 taper at an
20 angle of from about 0 to 10 degrees, preferably, from 0
to 5 degrees. Although more difficult to manufacture,
the sidewall segments 25 could also flare outwardly
(i.e., get wider from top 29 to bottom 30) or taper at
different angles at different segments along the
25 sidewalls. The minimum width 23 of the pleat retaining
gap is generally 90 to 10 percent of the uncompressed
thickness of the filter media and any stiffening
elements at the pleat tip of the zigzag pleated filter,
preferably 80 to 20 percent. The minimum width 23 is
30 preferably present over an extent 24 of at least three
times the width of gap 23 and is preferably at least
five times the width of gap 23. The extent and percent
of the filter media pleat tip 4 in the pleat tip
retaining gaps 22 determine the amount of force required
35 to dislodge the filter media from the pleat tip
retaining gaps 22. Other factors will include the
relative coefficient of friction between the filter
media pleat tips 4 and the pleat tip retaining gap
sidewalls 25, the depth and thickness of the pleat tip

5 retaining gaps 22 and the method by which the filter media is inserted into the pleat tip retaining gaps 22.

The pleat tip retaining gap 22 sidewalls 25' can have a frictional engaging surface such as shown in Fig. 6 where the sidewall surface is textured or roughened to
10 enhance the frictional engagement between the sidewalls 25' and the filter media 1. Also, suitable for a frictional engaging surface would be providing sidewalls 25 with a high coefficient of friction surface or adhesive coating, such as a natural or synthetic rubber
15 based coating, to enhance the frictional engagement with the filter media 1.

The terminal pleat tip retaining gap 18, if provided, can also be designed to frictionally engage the terminal pleat(s) of the pleated filter media.

20 Downstream of the pleat tip retaining gap 22, the inner and outer sidewalls and endwalls are joined by spacer 17. The spacer 17 is preferably continuous so as to not permit passage of unfiltered fluid or air between the inner and outer sidewalls and endwalls, if provided.
25 Otherwise, the space between the inner and outer sidewalls and endwalls is without any structure to allow for unobstructed insertion of the pleated filter media into the pleat tip retaining gap 22 and any terminal pleat retaining gap 18. Once inserted into the pleat
30 tip retaining gap 22, the filter media 1 is relatively secure allowing for conventional handling without risk of the pleated filter media inadvertently falling out. However, the filter media 1 is not permanently held in so that it can be easily removed, if required and
35 replaced.

The embodiment of Figs. 1 to 4 is preferably a molded structure formed from a moldable thermoplastic material, such as a polyolefin polymer like polypropylene. In a second embodiment, Fig. 7, a rigid

5 or semirigid deformable material is formed into a flat
preframe element 31 with outer sidewall flaps 34 and 35
and outer endwall flaps 32 and 33. The inner sidewall
flaps 42 are provided with pleat stabilizing elements
40. Inner endwall flaps 36 are also provided. To form
10 the filter frame, the inner and outer sidewall flaps
(34, 35 and 42) and the endwall flaps (32, 33 and 36)
are folded upward, preferably along pre-formed score
lines. The outer sidewall flaps and endwall flaps (32,
33, 34 and 35) are joined at their corner portions by
15 conventional means such as heat bonding, ultrasonic
welding, adhesives, clips or the like. Alternatively,
the corner portions could be provided with an engaging
structure such as a tongue and groove joint, a snap
structure or the like. Spacer element 37 provides a
20 fluid tight spacing between the sidewalls and the
endwalls. The Fig. 7 pre-frame element could be
produced by molding as for the Fig. 1 embodiment or by
nonmolding process (e.g., stamping or die-cutting) from
non-moldable yet deformable materials such as
25 fiberboard, cardboard, sheet metal, sheet plastics or
the like.

Fig. 8 shows a third embodiment filter frame 51.
This circular filter frame 51 has outer sidewalls 54 and
55 and inner sidewalls 52 as in the Figs. 1 to 4
30 embodiment, however, these sidewalls have no ends
forming an annular body. The inner sidewalls 52 are
also provided with pleat stabilizing elements 50 as per
the Figs. 1 to 4 embodiment. The need for endwalls is
eliminated provided that the filter media terminal pleat
35 ends are joined such as by gluing, heat bonding,
ultrasonic welding, mechanical clips, sewing or the
like. The two sidewall sections are separated by
connecting elements 53 which are shown as rods, however,
these connecting elements could be any suitable shape as

5 long as they provide an open area for fluid passage through the pleated filter media. The sidewalls are shown forming a circular angular body but other shapes are possible.

Fig. 10 shows an invention filter 10 filter frame 10 11, such as that of the first two embodiments, in a filter housing 3. The filter housing 3 preferably has a lip structure 7 that projects into the filter frame face such that it engages the filter media 1 at the periphery of peaks 27. This helps to secure the filter media 1 15 into the filter frame 11.

Fig. 11 is a third method of forming a filter frame 66 such as shown in Fig. 1. The filter frame 66 is formed of a flexible material, generally a thermoplastic polymer that has elastic recovery properties under 20 deformation and is capable of forming a living hinge. Such a flexible thermoplastic material would be polypropylene. The outer sidewalls 62 and 63 fold out flat, preferably along a living hinge, allowing the frame 66 to be resiliently deformed into the arched 25 shape shown in Fig. 11. The inner sidewalls 61 are upstanding with the pleat retaining gaps 72 extending down so as to be adjacent or closely adjacent to the spacer elements allowing the frame to arch with the pleat stabilizing elements 60 moving apart, also causing 30 the pleat tip retaining gaps 72 to widen. This widening of the gaps 72 facilitates insertion of the pleated filter media 1 pleat tips 4 into the pleat tip retaining gaps 72. Once the filter media pleat tips 4 are inserted, the deformation force on the filter frame 66 35 is released allowing the frame to return to its original shape narrowing the pleat tip retaining gaps 72 to grip the pleated filter pleat tips. The outer sidewalls 62 and 63 can then be joined to outer endwalls 64 and 65 as described for the Fig. 7 embodiment which locks the

5 pleat tip retaining gaps into their narrow form and firmly locks the filter media into the pleat retaining gaps while also making the filter frame rigid.

The filter media 1 (Fig. 9) can be formed of any conventional pleatable filter web 8 or filter web
10 laminate. Suitable filter webs or laminates include those formed with at least one fibrous nonwoven or woven web. Preferably, the filter web or laminate 8 is formed with a nonwoven fibrous web which can be provided with an electret charge to enhance filter efficiency. In a
15 laminate form, the web 8 can have suitable cover web layers or the like. If the filter web or laminate 8 is not sufficiently stable when pleated one or more relatively pleatable element(s) 9 can be provided. These pleatable elements can be a deformable metal or
20 pleatable (e.g., by heat) thermoplastic netting. The pleatable element 9 generally provides no filtering properties and little pressure drop resistance. Overall, the filter web 8 or filter web laminate and pleatable elements 9 should be resiliently compressible
25 such that when compressed by at least 10 percent, preferably 20 to 80 percent the filter media will exhibit an elastic recovery force such that the compressed filter media 1 will press against sidewall segments 25, which sidewall segments form the pleat
30 retaining gaps 22, inhibiting removal of the filter media from the filter frame 11.

5 I Claim:

1. A zigzag filter comprising a zigzag pleated filter media and a filter frame, said filter frame having two continuous outer sidewalls and two inner
10 sidewalls each of said inner and outer sidewalls are separated by a continuous fluid impermeable spacer element, the inner sidewalls having a plurality of upstanding triangular pleat stabilizing elements with first pleat stabilizing element sidewalls tapering
15 outward from a peak toward second pleat stabilizing element sidewall segments, two adjacent second sidewalls segments on separate pleat stabilizing elements forming pleat tip retaining gaps, the pleat stabilizing elements having a shape such that the pleat stabilizing
20 elements support and engage the zigzag filter media first pleat tips on a first face of the pleated filter media, second pleat tips being frictionally engaged by the pleat retaining gaps on said first face of the pleated filter media such that the filter media second
25 face pleat tips are partially compressed in said pleat tip retaining gaps, said continuous fluid impermeable spacer element being downstream of said pleat tip retaining gaps.

30 2. The filter of claim 1 wherein the first pleat stabilizing element sidewalls taper at an angle of from 20 to 55 degrees.

3. The filter of claim 1 wherein the first
35 sidewalls taper at an angle of from 30 to 45 degrees.

4. The filter of claim 1 wherein the second sidewall segments taper at an angle of less than 10 degrees.

- 5 5. The filter of claim 1 wherein the second
 sidewall segments taper at an angle of from 0 to 10
 degrees.
6. The filter of claim 1 wherein the second
10 sidewall segments taper at an angle of from 0 to 5
 degrees.
7. The filter of claim 1 wherein the pleat tip
 retaining gap second sidewall segments have a frictional
15 engaging surface.
8. The filter of claim 1 wherein the frictional
 engaging surface is a textured surface.
- 20 9. The filter of claim 1 wherein the pleat tip
 retaining gap width is from 90 to 10 percent of the
 uncompressed thickness of the filter media at the second
 pleat tip over an extent of at least three times the
 width of the pleat tip retaining gap.
- 25 10. The filter of claim 4 wherein the pleat tip
 retaining gap width is from 80 to 20 percent of the
 uncompressed thickness of the filter media at the second
 pleat tip over an extent of at least three times the
30 width of the pleat tip retaining gap.
11. The filter of claim 1 wherein the filter frame
 further includes two pairs of inner endwalls and outer
 endwalls joined by a fluid impermeable spacer, each pair
35 of an inner endwall and an outer endwall forming a
 terminal pleat engaging gap , the fluid impermeable
 spacer being downstream of the terminal pleat engaging
 gap.

5 12. The filter of claim 11 wherein the filter
frame is an integral molded structure formed from a
thermoplastic polymer with the outer sidewalls and
endwalls joined at their respective ends to form a
continuous outer wall.

10

 13. The filter of claim 11 wherein the filter
frame is an integral structure with the outer sidewalls
and endwalls joined at their respective ends to form a
continuous outer wall with the spacer elements for the
15 fluid impermeable endwalls and sidewalls forming a
continuous integral spacer element.

 14. The filter of claim 11 wherein the outer
endwalls and sidewalls are joined at their respective
20 ends by a bonding means.

 15. The filter of claim 14 wherein the endwalls
and sidewalls have been folded along fold lines on
either side of said continuous integral spacer element.
25

 16. The filter of claim 1 wherein said two pairs
of inner and outer sidewalls are joined by connecting
elements.

30 17. The filter of claim 16 wherein said connecting
elements are elongated rods.

 18. The filter of claim 16 wherein said two pairs
of inner and outer sidewalls form two annular rings.
35

 19. The filter of claim 14 wherein said bonding
means is an adhesive.

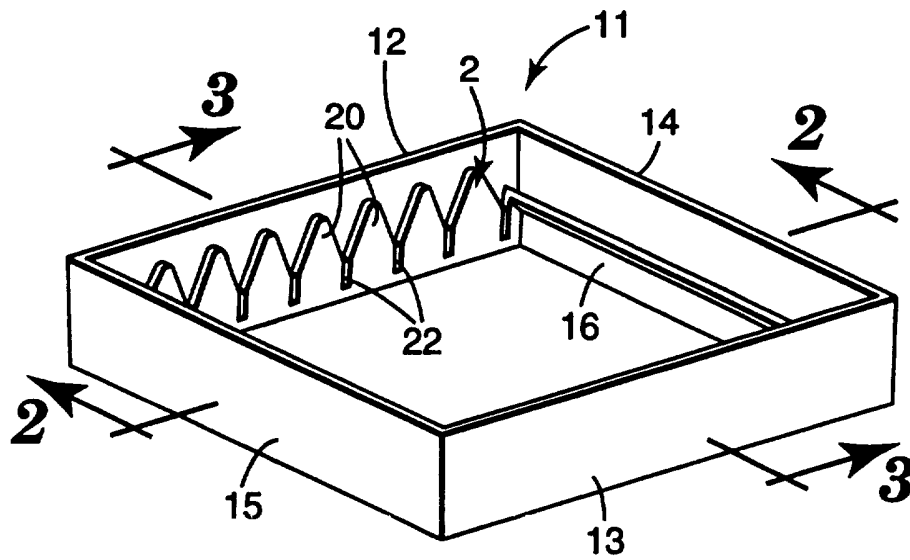
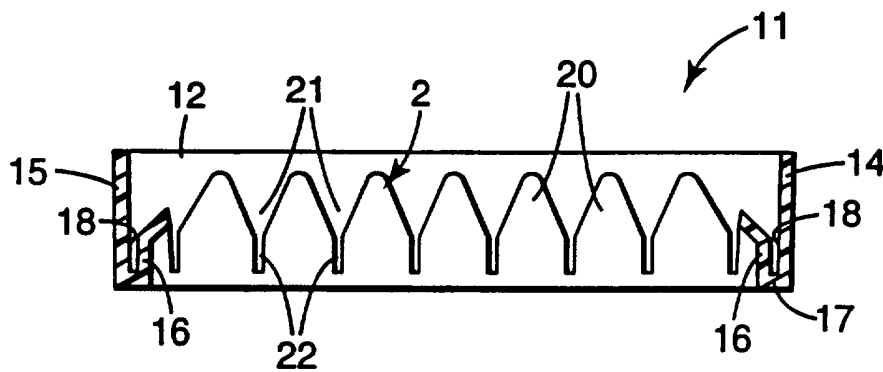
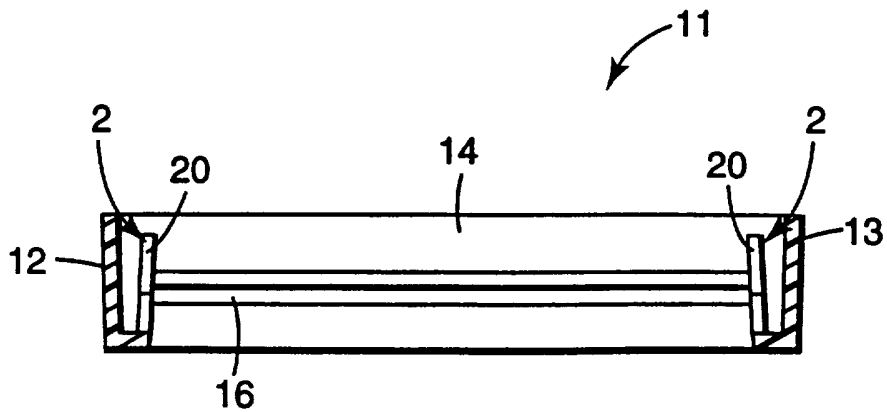
5 20. The filter of claim 14 wherein said bonding means is an ultrasonic weld.

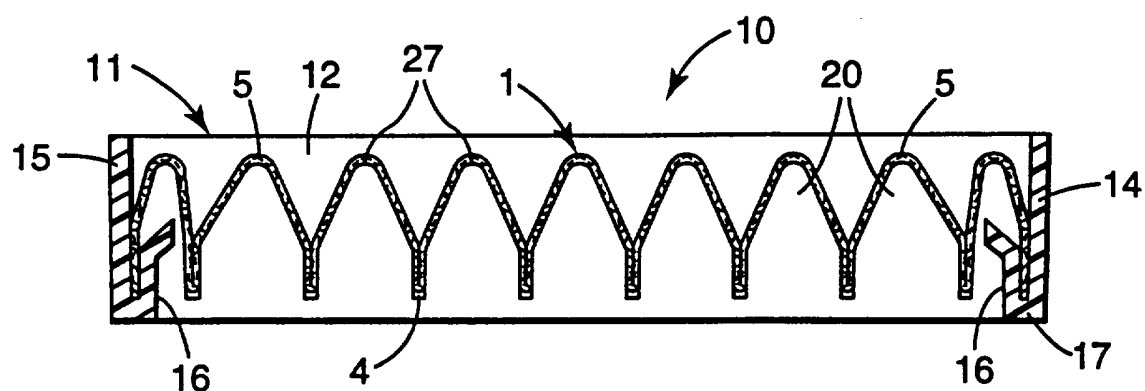
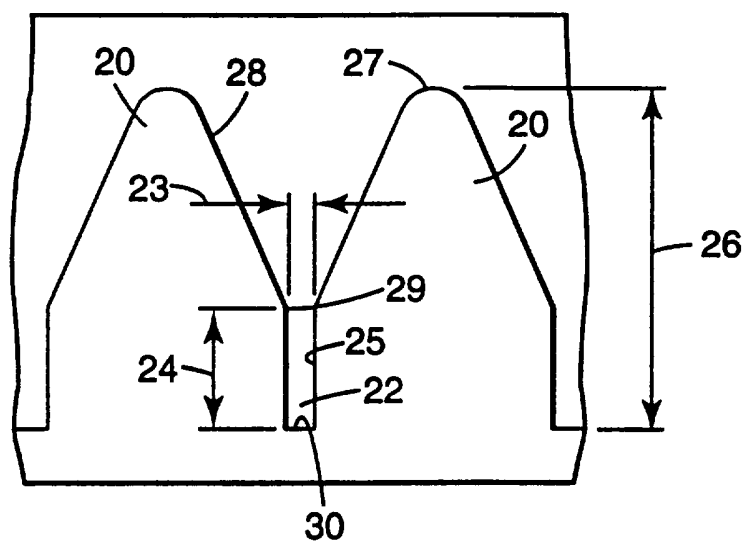
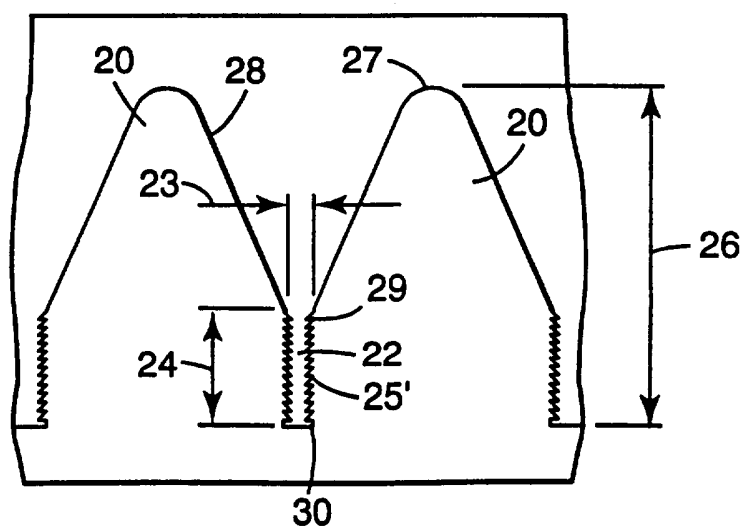
 21. The filter of claim 14 wherein the filter
frame is a flexible material, when the pleat retaining
10 gaps extend down closely adjacent to the spacer element
so as to allow the filter frame to be resiliently
deformed when the outer endwalls and sidewalls are not
joined allowing the pleat tip retaining gap to widen to
facilitate insertion of the pleated filter media second
15 pleat tips into the pleat tip retaining gap.

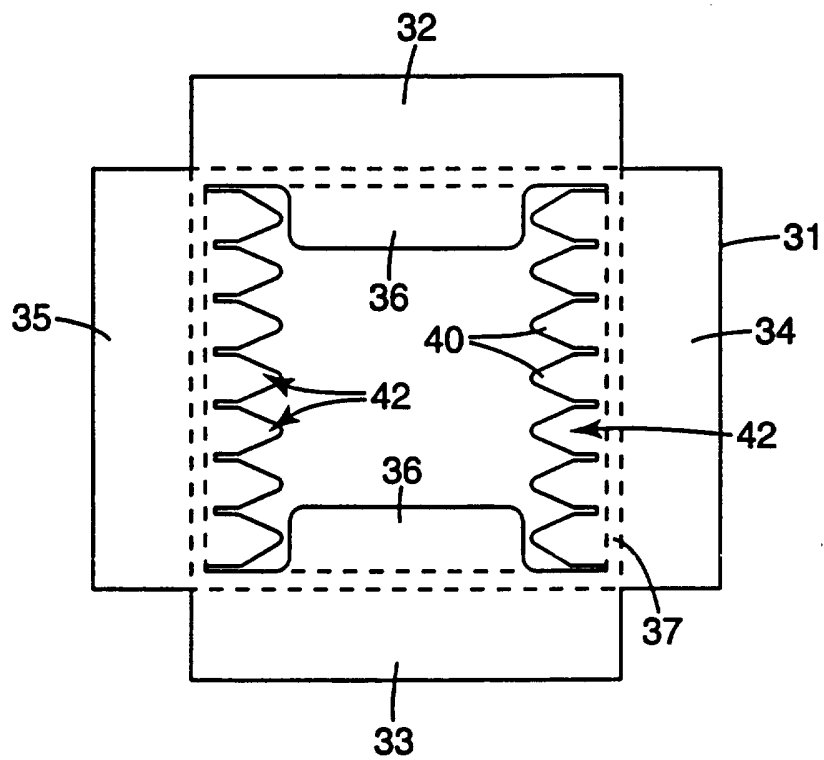
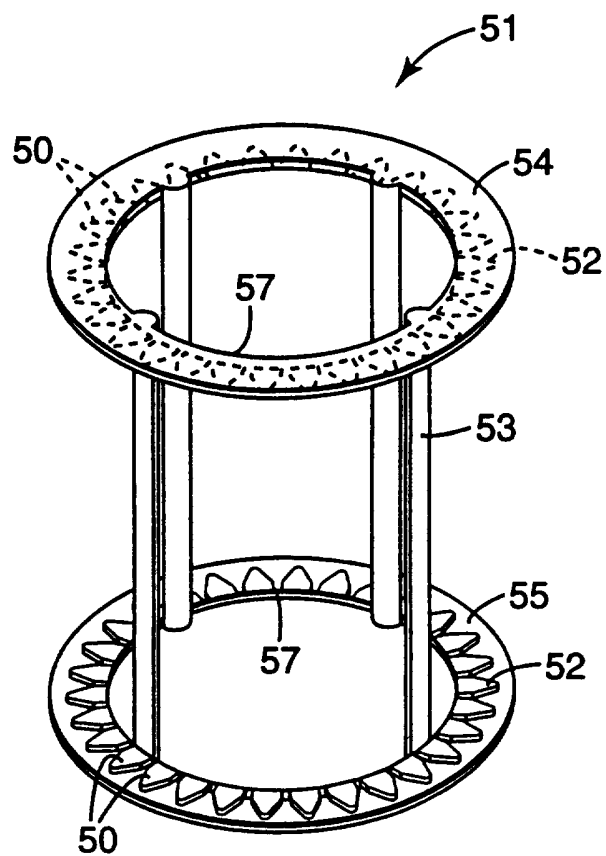
 22. The filter of claim 1 wherein said filter media comprises a nonwoven fibrous web.

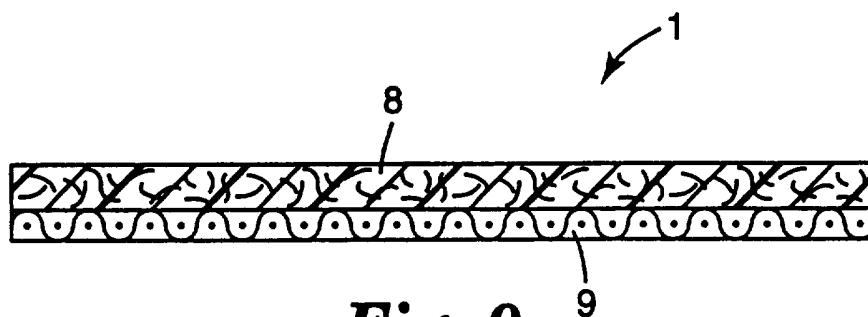
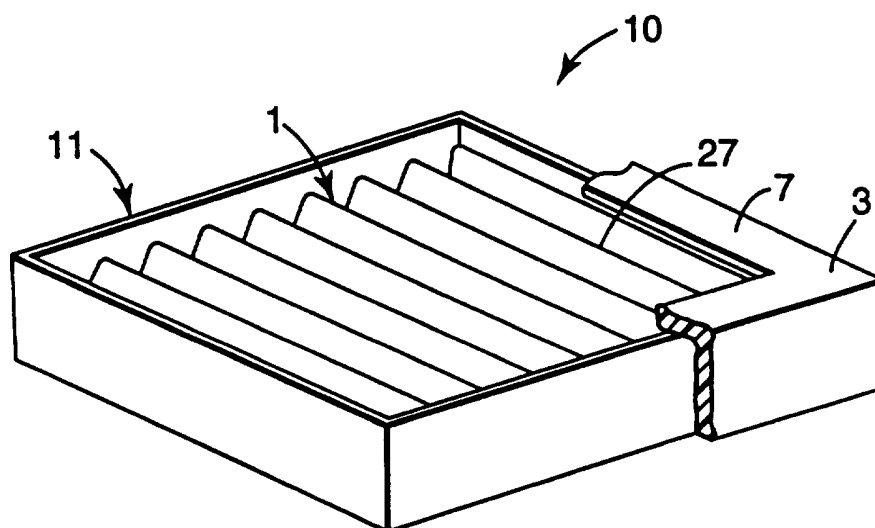
20 23. The filter of claim 22 wherein said nonwoven fibrous web fibers are electret charged.

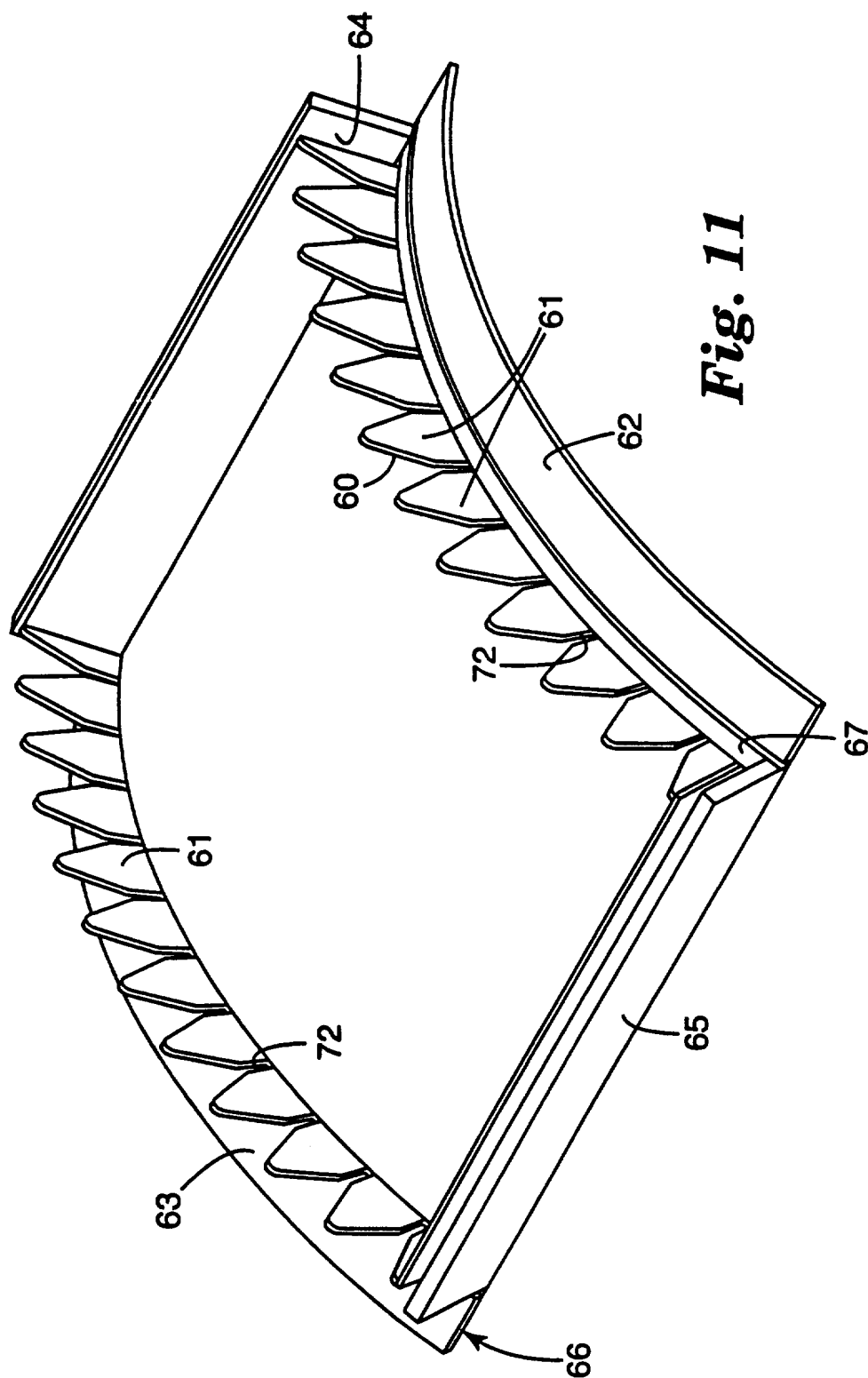
 24. The filter of claim 22 wherein said filter media further comprises a pleatable netting.

**Fig. 1****Fig. 2****Fig. 3**

**Fig. 4****Fig. 5****Fig. 6**

**Fig. 7****Fig. 8**

**Fig. 9****Fig. 10**



INTERNATIONAL SEARCH REPORT

Int: onal Application No
PCT/US 96/13710

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 B01D46/52

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 B01D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US,A,4 177 050 (CULBERT ROBERT M ET AL) 4 December 1979 cited in the application see column 3, line 40 - column 5, line 55; figures 1-6	1-6, 9-12,15, 16,22
A	FR,A,2 231 409 (SCHIRP KG A) 27 December 1974 see the whole document	1-6,12, 13
A	DE,A,43 27 368 (MINNESOTA MINING & MFG) 16 February 1995 see the whole document	1-24
A	EP,A,0 170 643 (DONALDSON CO INC) 5 February 1986	1-6, 11-13
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Date of the actual completion of the international search

8 November 1996

Date of mailing of the international search report

21. 11. 96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+ 31-70) 340-3016

Authorized officer

Cubas Alcaraz, J

INTERNATIONAL SEARCH REPORT

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C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US,A,2 232 065 (HASSELWANDER) 18 February 1941 -----	1

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 96/13710

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-4177050	04-12-79	NONE	
FR-A-2231409	27-12-74	DE-A- 2327605 NL-A- 7406624	02-01-75 03-12-74
DE-A-4327368	16-02-95	CA-A- 2167585 EP-A- 0713421 WO-A- 9505235	23-02-95 29-05-96 23-02-95
EP-A-0170643	05-02-86	US-A- 4617122 CA-A- 1259041 DE-A- 3584800 JP-C- 1686455 JP-B- 3050569 JP-A- 61107918	14-10-86 05-09-89 16-01-92 11-08-92 02-08-91 26-05-86
US-A-2232065	18-02-41	NONE	