



- (51) International Patent Classification:
E21B 47/00 (2012.01) *E21B 49/08* (2006.01)
E21B 47/013 (2012.01)
- (21) International Application Number:
PCT/US2013/042270
- (22) International Filing Date:
22 May 2013 (22.05.2013)
- (25) Filing Language: English
- (26) Publication Language: English
- (71) Applicant: **HALLIBURTON ENERGY SERVICES, INC.** [US/US]; 10200 Bellaire Blvd., Houston, Texas 77072 (US).
- (72) Inventors: **CHEN, Shilin**; 147 Capetown, Montgomery, Texas 77356 (US). **CRAWFORD, Micheal Burl**; 9 Alba Rd., Montgomery, Texas 77356 (US).
- (74) Agents: **COOKE, Brett T.** et al.; Haynes and Boone, LLP, 2323 Victory Avenue, Suite 700, Dallas, Texas 75219 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

- (54) Title: ROLLER CONE SEAL FAILURE DETECTION USING AN INTEGRATED COMPUTATIONAL ELEMENT

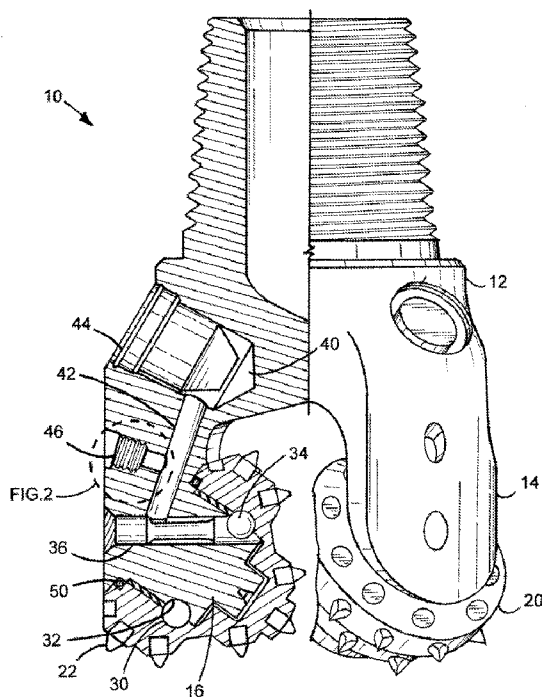


FIG.1

(57) Abstract: A method and apparatus for determining the effectiveness of bearing seals in a roller cone drill bit. The bit includes a sensor in optical communication with the bearing lubricant. The sensor includes a light source, an optical filter, and an optical detector arranged so that light interacts with the lubricant, is filtered, and detected, wherein the detected light is indicative of the amount of contaminant within the lubricant. The optical filter is preferably an integrated computing element that filters preselected orthogonal components from the light.



Declarations under Rule 4.17:

— *of inventorship (Rule 4.17(iv))*

Published:

— *with international search report (Art. 21(3))*

ROLLER CONE SEAL FAILURE DETECTION USING AN INTEGRATED COMPUTATIONAL ELEMENT

5 FIELD

The present disclosure relates generally to drill bits for boring holes in the earth, and specifically to lubrication systems for roller cone bits.

10 BACKGROUND

Roller cone bit used in the oil and gas drilling industry typically have sealed ball bearings that are lubricated from a lubricating system. Grease is often used as the lubricant. Of the bearing components, the bearing seal typically has the lowest mean time between failure, so that the bearing seal effectiveness typically determines the life of the bearing system. As the seal wears, the grease may become contaminated with drilling mud and water, which are gradually introduced into the lubrication system. Accordingly, the amount of contaminant fluid within the lubricant indicates the effectiveness of the seal, and when the contaminant reaches a predetermined limit, continued drilling must cease, lest a bearing failure occur that can leave one or more roller cones at the bottom of the borehole and require a costly fishing operation to retrieve them.

Prior art techniques are known for sensing an impending bearing failure due to a bearing seal failure. In some cases, bearing seal failure is gradual, but in other cases, bearing failure may occur catastrophically with little to no warning. Prior art methods of detecting seal failure may not be sensitive enough to predict an imminent seal failure or responsive enough to detect a seal failure and rapidly communicate such condition before significant bearing damage occurs. Accordingly, it is desirable to detect seal failure of a roller cone bit in real time.

30

BRIEF DESCRIPTION OF THE DRAWINGS

Embodiments are described in detail hereinafter with reference to the accompanying figures, in which:

35

Figure 1 is an elevation view with one-quarter cut away to reveal a longitudinal cross section of a roller cone drill bit according to a present embodiment, showing a bit body having arms terminating in journals, cutter assemblies rotatively mounted to the journals with ball bearings, and an internal bearing lubrication system; and

5

Figure 2 is an enlarged longitudinal cross section of an optical sensor according to a present embodiment for use within the lubrication system of the drill bit of Figure 1, showing a light source, an optical filter and an optical detector located within a cylindrical housing with a transparent window located at one end for immersion in a lubricant.

10

DETAILED DESCRIPTION

Figure 1 illustrates a roller cone drill bit 10 that is arranged for including an optical sensor 100, as shown in Figure 2, which is specifically designed and configured for detecting the presence of water within a grease, lubricant, or other fluid. Bit 10 includes a bit body 12, which preferably includes arms 14 that terminate as journals 16. A cutter assembly 20, typically a roller cone carrying a large number discrete cutters 22, is rotatively captured on each journal 16.

20 In one embodiment, the interior circumference of cutter assembly 20 has a semi-circular groove 30 formed therein that defines an outer bearing race. Likewise, the outer circumference of journal 16 has a semicircular groove formed therein that defines an inner bearing race 32. A plurality of hardened ball bearings 34 are received in the circular cavity defined between the inner and outer races 30, 32 to rotatively capture cutter assembly 20 upon journal 16. A ball passageway 36, which is plugged during ordinary use, is formed between the exterior surface and the circular bearing cavity within each arm 14 to allow the ball bearings to be loaded and unloaded as needed for installation, maintenance, and repair.

One or more cavities are formed in bit body 12 to serve as a reservoir 40 for lubricant. Reservoir 40 is in fluid communication with the ball bearings 34 and bearing races 30, 32, ideally through a conduit 42 that connects ball passageway 36 to reservoir 40. A diaphragm, piston, or similar pressure regulation assembly 44 may be provided to pressurize the lubrication system to minimize ingress of contaminants into the bearing assembly. To that end, one or more journal/cutter assembly seals 50 are provided to keep lubricant in and contaminants out of the bearing assemblies.

35

A seal failure sensor or monitor 100 (Figure 2) is positioned to be in fluid communication with the lubricant. In one embodiment, the sensor is positioned in a socket 46 that is fluidly coupled to conduit 42. However, in other embodiments, the sensor may be positioned
5 elsewhere in the drill bit about the lubricant system. Sensor 100 may be unitary, or it may consist of several discrete parts.

Figure 2 is a detailed view of socket 46 of drill bit 10 of Figure 1, showing sensor 100 positioned therein according to one embodiment. Sensor 100 includes a housing 102,
10 which may be cylindrical and threaded into socket 46. However, other techniques to mount sensor 100 within bit 10 may be used as appropriate.

The inner end of housing 102 includes, or is formed by, a window 104, which preferably forms a fluid tight seal with housing 102 but is transparent to wavelengths of light of
15 interest to the optical sensor 100. Window 104 is in optical communication, and ideally in physical contact, with lubricant 60, which may be contained in conduit 42 as shown in Figure 2. Sensor 100 includes a light source 106, and optical filter 108, and an optical detector 110, which are all preferably but not necessarily positioned within housing 102. The light source 106, and optical filter 108, and an optical detector 110 may all be discrete
20 components, or two or more may be conjoined. Regardless, these components are positioned so that light from light source 106 interacts with lubricant, passes through optical filter 108 (either before or after interaction with lubricant 60, as appropriate), and is received at optical detector 110.

As illustrated by arrows, light interaction with lubricant 60 may be by reflection
25 (predominantly at the window/lubricant interface) as shown by arrow 70, by transmission (with reflection off of the conduit wall), as shown by arrow 72, or by a combination of these mechanisms. Indeed, the light from light source 106 may also be subject to refraction, diffusion, and absorption so as long as some of the interacted light reaches
30 optical detector 110.

Sensor 100 may also contain a processing and telemetry module 120 that is operatively coupled to optical detector 110 for processing signals from optical detector 110 and communicating them to a remote location, for example, the surface of the earth. In this
35 manner, drilling operators can be accurately advised in real time of the amount of water

ingress in the bit lubrication system and accordingly, the effectiveness of the seals. Various forms of telemetry may be used, including electric, electromagnetic, acoustic, etc. As such telemetry techniques are well known in the art, further detail regarding telemetry is not provided herein.

5

In a first embodiment, each cutter assembly 20 may have an individual, dedicated optical sensor 100, which is preferably located within each arm 14. Telemetry may include signals from each sensor, which may be continual or sequential, for example. Alternatively, bit 100 may include a single optical detector 100, which monitors a common lubrication
10 system that serves all of the cutter assemblies 20 on the bit.

The principle of operation of sensor 100 is now described: When light interacts with matter, for example via transmission or reflection, it carries away information about the physical and chemical properties of the matter with which it interacted. A property of the
15 light, for example its intensity, may be measured and interpreted to provide information about the matter with which it interacted. That is, the data carried by the light through its intensity may be measured to derive information about the matter.

In general, it is difficult to convert a simple measurement of light intensity into information
20 because of interfering data. That is, several factors may contribute to the intensity of light, even in a relatively restricted wavelength range. It is often impossible to adequately measure the data relating to one of these factors, because the contribution of the other factors is unknown.

It is possible, however, to derive information from light. An estimate may be obtained, for
25 example, by separating light from several samples into wavelength bands and performing a multiple linear regression of the intensity of these bands against the results of conventional measurements of the desired information for each sample. In particular, a lubricant sample may be illuminated so that light from the lubricant carries information such as the sample's
30 water content. Light from each of several samples may be directed to a series of bandpass filters which separate predetermined wavelength bands from the light. Light detectors following the bandpass filters measure the intensity of each light band. If the water content of each lubricant sample is measured using conventional means, a multiple linear

regression of several measured bandpass intensities against the measured water content for each sample may produce an equation such as:

$$y = a_0 + a_1 w_1 + a_2 w_2 + \dots + a_n w_n \quad (\text{Equation 1})$$

5

where y is water content, a_n is a constant determined by the regression analysis, and w_n is the light intensity for each wavelength band.

Equation 1 may be used to estimate water content of subsequent samples of the same lubricant type. Depending on the circumstances, however, the estimate may be unacceptably inaccurate, because factors other than water may affect the intensity of the wavelength bands. Moreover, these other factors may not change from one sample to the next in a manner consistent with water.

15 A more accurate estimate may be obtained by compressing the data carried by the light into principal components. To obtain the principal components, spectroscopic data is collected for a variety of samples of the same type of light, for example from illuminated samples of the same type of lubricant. The light samples are spread into their wavelength spectra by a spectrograph so that the magnitude of each light sample at each wavelength may be measured. This data is then pooled and subjected to a linear-algebraic process known as singular value decomposition (SVD). SVD is at the heart of principal component analysis, which is generally well known by routineers in this art apart from the particular teachings of the present disclosure.

25 Briefly, principal component analysis is a dimension reduction technique which takes m spectra with n independent variables and constructs a new set of eigenvectors that are linear combinations of the original variables. The eigenvectors may be considered a new set of plotting axes. The primary axis, termed the first principal component, is the vector which describes most of the data variability. Subsequent principal components describe successively less sample variability, until only noise is described by the higher order principal components.

Typically, the principal components are determined as normalized vectors. Thus, each component of a light sample may be expressed as $x_n \hat{z}_n$, where x_n is a scalar multiplier and

$\hat{z}_n$ is the normalized component vector for the n^{th} component. That is, $\hat{z}_n$ is a vector in a multi-dimensional space where each wavelength is a dimension. Normalization determines values for a component at each wavelength so that the component maintains its shape and so that the length of the principal component vector is equal to one. Thus, each normalized component vector has a shape and a magnitude so that the components may be used as the basic building blocks of all light samples having those principal components. Accordingly, each light sample may be described in the following format by the combination of the normalized principal components multiplied by the appropriate scalar multipliers:

$$x_1 \hat{z}_1 + x_2 \hat{z}_2 + \dots + x_n \hat{z}_n$$

The scalar multipliers x_n may be considered the "magnitudes" of the principal components in a given light sample when the principal components have a standardized magnitude as provided by normalization.

Because the principal components are orthogonal, they may be used in a relatively straightforward mathematical procedure to decompose a light sample into the component magnitudes which accurately describe the data in the original sample. Since the original light sample may also be considered a vector in the multi-dimensional wavelength space, the dot product of the original signal vector with a principal component vector is the magnitude of the original signal in the direction of the normalized component vector. That is, it is the magnitude of the normalized principal component present in the original signal. This is analogous to breaking a vector in a three dimensional Cartesian space into its X, Y and Z components. The dot product of the three-dimensional vector with each axis vector, assuming each axis vector has a magnitude of 1, gives the magnitude of the three dimensional vector in each of the three directions. The dot product of the original signal and some other vector that is not perpendicular to the other three dimensions provides redundant data, since this magnitude is already contributed by two or more of the orthogonal axes.

Because the principal components are orthogonal, or perpendicular, to each other, the dot, or direct, product of any principal component with any other principal component is zero. Physically, this means that the components do not interfere with each other. If data is altered to change the magnitude of one component in the original light signal, the other

components remain unchanged. In the analogous Cartesian example, reduction of the X component of the three dimensional vector does not affect the magnitudes of the Y and Z components.

5 Principal component analysis provides the fewest orthogonal components that can accurately describe the data carried by the light samples. Thus, in a mathematical sense, the principal components are components of the original light that do not interfere with each other and that represent the most compact description of the entire data carried by the light. Physically, each principal component is a light signal that forms a part of the original
10 light signal. Each has a shape over some wavelength range within the original wavelength range. Summing the principal components produces the original signal, provided each component has the proper magnitude.

The principal components comprise a compression of the data carried by the total light
15 signal. In a physical sense, the shape and wavelength range of the principal components describe what data is in the total light signal while the magnitude of each component describes how much of that data is there. If several light samples contain the same types of data, but in differing amounts, then a single set of principal components may be used to exactly describe (except for noise) each light sample by applying appropriate magnitudes
20 to the components.

Thus, the principal components of light may be used to accurately estimate information carried by the light. Accordingly, light that has interacted with a test sample of a known material contaminated with an unknown quantity of a known contaminant can be resolved
25 into its principal components and compared to previously-measured principal components of reference samples with known quantities of contaminants to determine the quantity of contaminant within the test sample. This is the operating principle of the present embodiment.

30 In a preferred embodiment, optical filter 104 is a multivariate optical element, also known as an Integrated Computing Element ("ICE"), which is specifically designed and structured to detect the amount of water or other contaminant in grease or other lubricant. The design and operation of ICE structures are described in, for example, U.S. Patent. Nos. 6,198,531; 6,529,276; 7,697,141; and 8,049,881, each being owned by the Assignee of the present
35 disclosure, Halliburton Energy Services, Inc., of Houston, Texas.

The Abstract of the disclosure is solely for providing the United States Patent and Trademark Office and the public at large with a way by which to determine quickly from a cursory reading the nature and gist of technical disclosure, and it represents solely one or
5 more embodiments.

While various embodiments have been illustrated in detail, the disclosure is not limited to the embodiments shown. Modifications and adaptations of the above embodiments may occur to those skilled in the art. Such modifications and adaptations are in the spirit and
10 scope of the disclosure.

WHAT IS CLAIMED

1. A sensor for measuring a concentration of water within a fluid, comprising:
 - 5 a housing;
 - a window formed in said housing;
 - an optical filter positioned in said housing; and
 - an optical detector positioned in said housing; wherein
 - said optical filter and said optical detector are positioned so that light
 - 10 interacting with said fluid passes through said window, through said optical filter,
 - and is received by said optical detector; and wherein
 - said optical filter is structured to optically filter a plurality of predetermined
 - orthogonal components of said light therefrom so that a characteristic of the light
 - measured by said optical detector directly relates to the concentration of water
 - 15 within said fluid.
2. The sensor of claim 1 wherein:
 - said fluid includes a lubricant.
- 20 3. The sensor of claim 2 wherein:
 - said fluid includes a grease.
4. The sensor of claim 1 further comprising:
 - 25 a light source positioned in said housing so as to emit light to interact with
 - of said fluid.
5. The sensor of claim 1 wherein:
 - said housing is fluid-tight.
- 30 6. The sensor of claim 1 wherein:
 - said optical filter includes an integrated computational element.
7. A drill bit comprising:
 - a bit body;
 - at least one cutter assembly rotatively coupled to said bit body by a bearing
 - 35 assembly;

a reservoir formed in said bit body in fluid communication with said bearing assembly; and

an optical sensor positioned in said bit body and in fluid communication with said reservoir, said optical sensor arranged for optically determining a concentration of a contaminant within a lubricant in said reservoir.

8. The drill bit of claim 7 further comprising:

a telemetry device coupled to said optical sensor and arranged for communicating data from said optical sensor to a remote location.

9. The drill bit of claim 7 wherein:

the optical sensor includes a light source, an optical detector, and an optical filter optically coupled to said lubricant.

10. The drill bit of claim 9 wherein:

the optical sensor further includes at least one window fluidly isolating said a light source, optical detector, and optical filter from said lubricant.

11. The sensor of claim 9 wherein:

said optical filter includes an integrated computational element.

12. The drill bit of claim 7 wherein:

said drill bit is a roller cone bit characterized by a plurality of arms, each arm rotatively carrying a cutter assembly; and

said drill bit further includes an optical sensor positioned in at least one of said plurality of arms.

13. The drill bit of claim 12 wherein:

said drill bit further includes an optical sensor removably positioned in each of said plurality of arms.

14. A method of determining drill bit seal effectiveness comprising:

providing a drill bit having a bit body, at least one cutter assembly rotatively coupled to said bit body by a sealed bearing assembly, and a reservoir formed in said bit body in fluid communication with said bearing assembly;

disposing lubricant in said reservoir;
shining light to interact with lubricant;
selectively filtering said light; and
measuring said light to determine a concentration of a contaminant within
5 said lubricant.

15. The method of claim 14 further comprising:
telemetering data relating to said concentration from said drill bit to a
remote location.

10

16. The method of claim 14 wherein said step of selectively filtering comprises:
filtering a plurality of predetermined orthogonal components from said light
so that a characteristic of the measured light directly relates to the concentration of
said contaminant within said lubricant.

15

17. The method of claim 16 wherein:
said step of selectively filtering is accomplished at least in part by an
integrated computational element.

18. The method of claim 16 wherein said step of selectively filtering comprises:
20 filtering a plurality of predetermined orthogonal components from said light
so that a characteristic of the measured light directly relates to the concentration of
water within said lubricant.

1/2

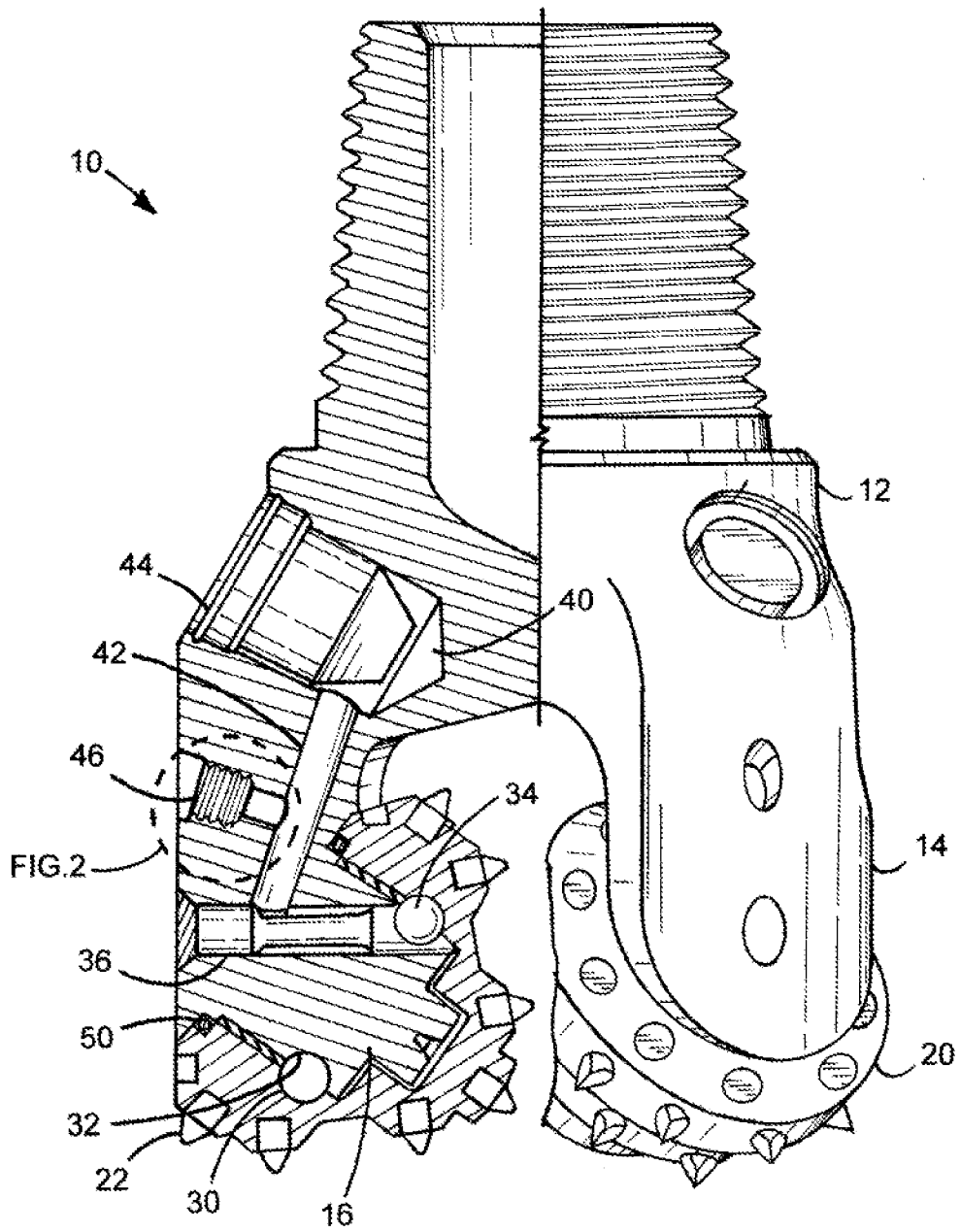


FIG.1

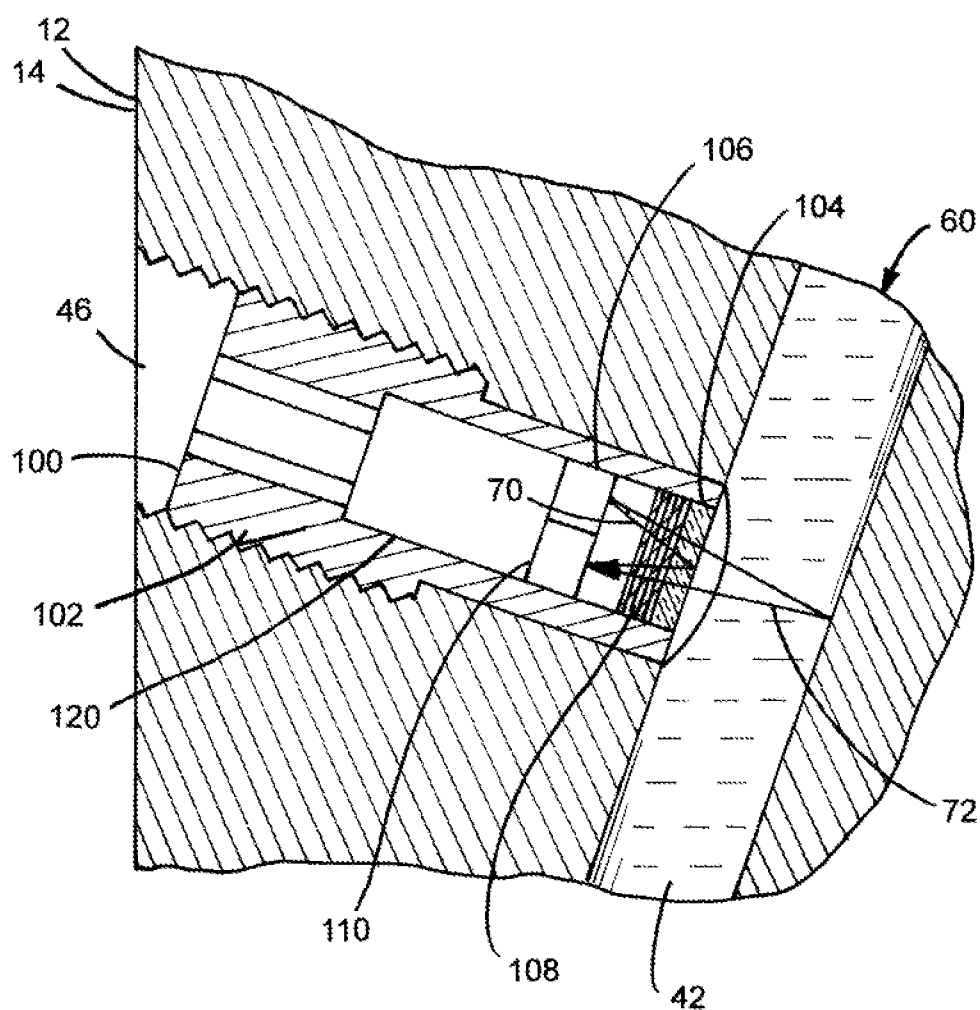


FIG.2

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US2013/042270**A. CLASSIFICATION OF SUBJECT MATTER****E21B 47/00(2006.01)i, E21B 47/013(2012.01)i, E21B 49/08(2006.01)i**

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

E21B 47/00; G01N 1/40; G01N 21/64; E21B 10/24; G01V 5/00; E21B 47/12; G01V 3/30; E21B 10/22; E21B 47/013; E21B 49/08

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Korean utility models and applications for utility models

Japanese utility models and applications for utility models

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

eKOMPASS(KIPO internal) & Keywords:drill bit, sensor, housing, window, filter, contamination, lubricant, and concentrations

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2011-0215234 A1 (ROSE, PETER E.) 08 September 2011 See abstract, paragraphs [0031],[0034], claim 1,8 and figures 1-3.	1,4-6
Y		2-3,7-18
Y	US 2003-0062197 A1 (MORAN et al.) 03 April 2003 See abstract, paragraphs [0010]-[0012],[0019]-[0022], claims 1,8 and figure 1.	2-3,7-18
A	US 6230822 B1 (SULLIVAN et al.) 15 May 2001 See column 3, lines 1-33, column 26, line 9 - column 28, line 15.	1-18
A	US 6466513 B1 (PABON et al.) 15 October 2002 See abstract, claims 1,17 and figure 3.	1-18
A	US 5475309 A (HONG et al.) 12 December 1995 SeeSee abstract, column 2, line 60 - column 6, line 1 and figures 1-4.	1-18



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier application or patent but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&" document member of the same patent family

Date of the actual completion of the international search

21 February 2014 (21.02.2014)

Date of mailing of the international search report

21 February 2014 (21.02.2014)

Name and mailing address of the ISA/KR

Korean Intellectual Property Office
189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City,
302-701, Republic of Korea

Facsimile No. +82-42-472-7140

Authorized officer

HWANG, Chan Yoon

Telephone No. +82-42-481-3347



INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No.

PCT/US2013/042270

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2011-0215234 A1	08/09/2011	WO 2011-109721 A1	09/09/2011
US 2003-0062197 A1	03/04/2003	CA 2405234 A1	01/04/2003
		CA 2405234 C	01/05/2007
		GB 2380212 A	02/04/2003
		GB 2380212 B	12/05/2004
		US 6698536 B2	02/03/2004
US 6230822 B1	15/05/2001	EP 0728915 A2	28/08/1996
		EP 0728915 A3	27/08/1997
		EP 0728915 B1	04/01/2006
		EP 1632643 B1	01/06/2011
		EP 1632644 A2	08/03/2006
		EP 1632644 A3	25/07/2007
		EP 1632644 B1	25/05/2011
		GB 2368360 A	01/05/2002
		GB 2375554 A	20/11/2002
		GB 2375554 B	15/06/2005
		GB 2377723 A	22/01/2003
		GB 2377724 A	22/01/2003
		GB 2382611 A	04/06/2003
		US 2001-0054514 A1	27/12/2001
		US 2004-0069539 A1	15/04/2004
		US 2004-0222018 A1	11/11/2004
		US 5813480 A	29/09/1998
		US 6419032 B1	16/07/2002
		US 6540033 B1	01/04/2003
		US 6543312 B2	08/04/2003
		US 6571886 B1	03/06/2003
		US 6626251 B1	30/09/2003
		US 7066280 B2	27/06/2006
US 6466513 B1	15/10/2002	CN 1196914 C0	13/04/2005
		CN 1298093 A0	06/06/2001
		DZ 3095 A1	20/06/2004
		GB 2355528 A	25/04/2001
		GB 2355528 B	28/11/2001
US 5475309 A	12/12/1995	US 6150822 A	21/11/2000